

# Training and fine-tuning foundation models: State of the art and future challenges

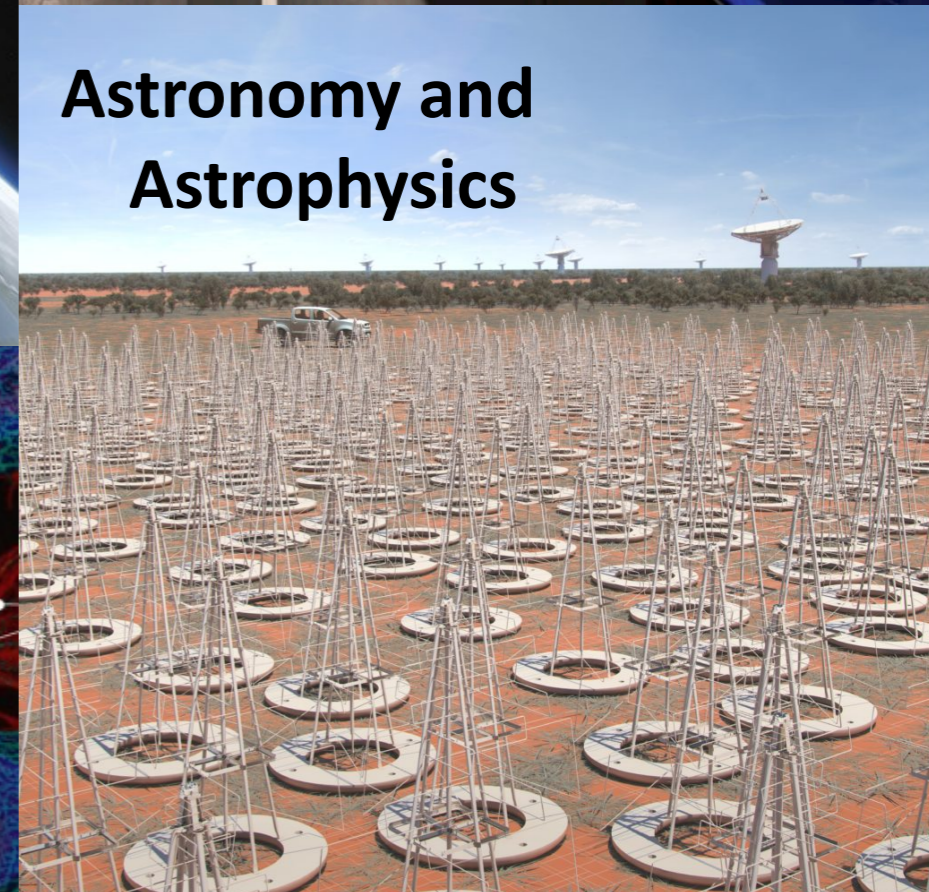
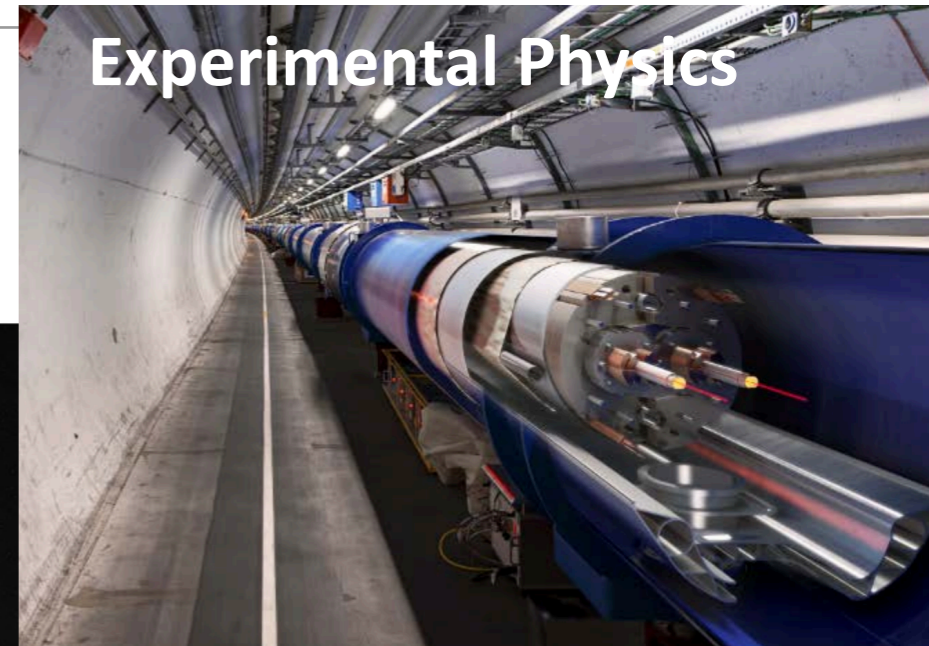
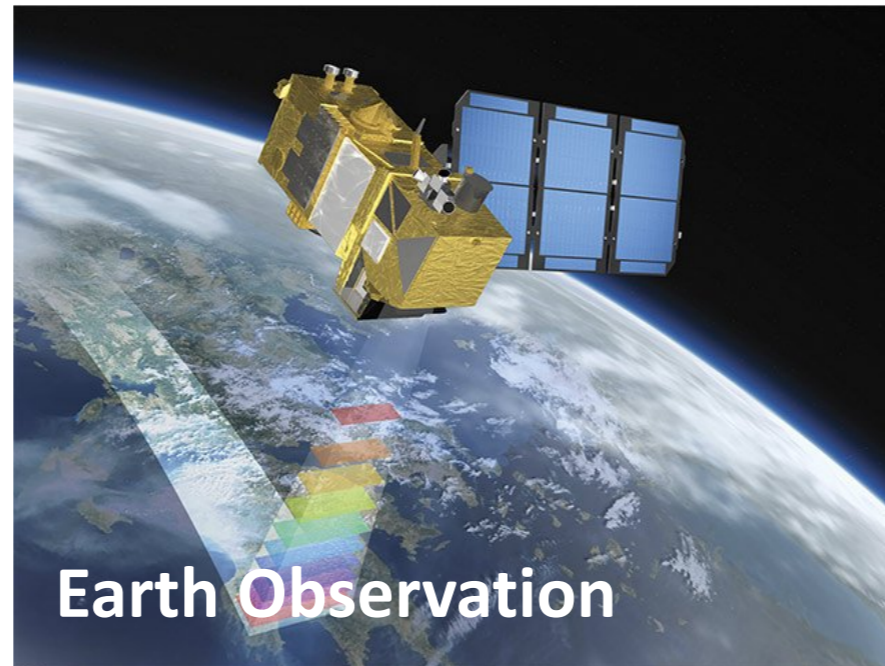
Ilaria Luise | Sofia Vallecorsa

CERN OpenLab summer student lectures  
26th July 2024



# Big Data in Science

Science produces more data than ever before and at an unmatched pace in history

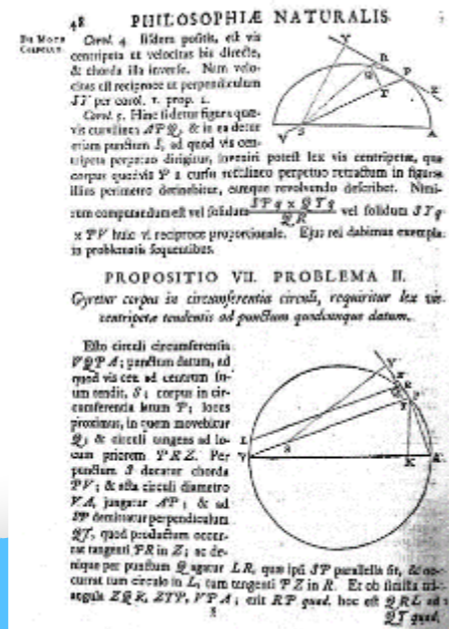


# Four Paradigms of Scientific Research



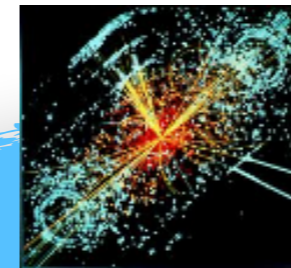
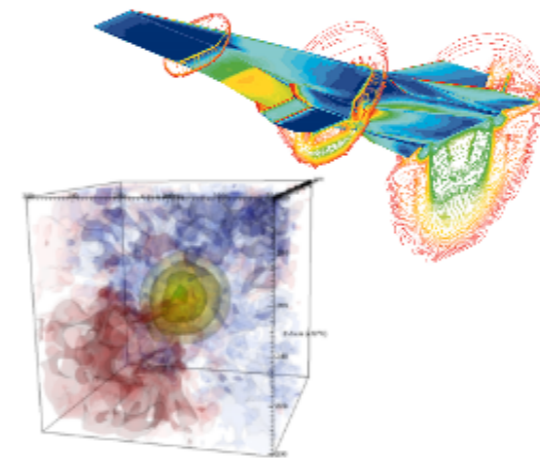
**4000 years**

Empirical observations



**500 years**

Generalization  
Theoretical models



**~50 years**

Simulations  
Computational sciences



**Today**

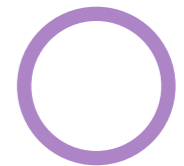
Data-driven science

# Data-driven science & AI

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Is Artificial Intelligence  
just a **refined, faster**  
approach to  
computational science?

Machine  
Learning



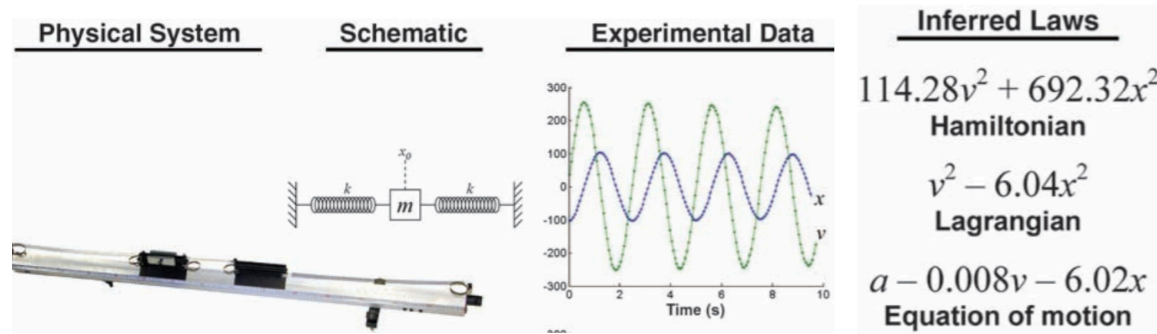
Artificial  
Intelligence

Deep  
Learning



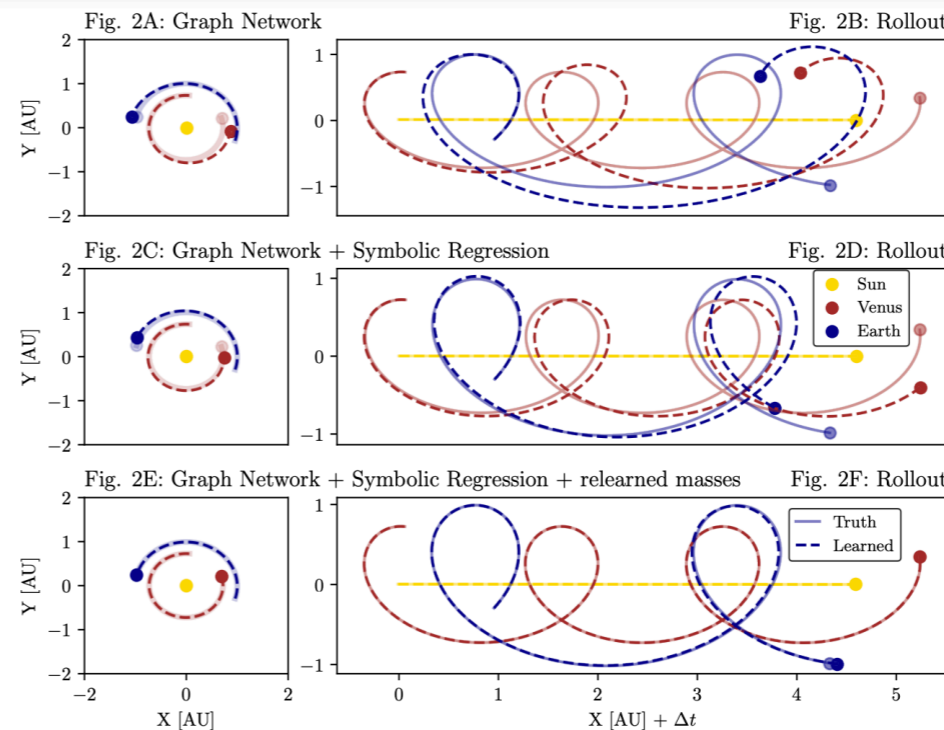
# Rediscovering physics

Schmidt, Michael, and Hod Lipson. "Distilling free-form natural laws from experimental data." *science* 324.5923 (2009): 81-85.

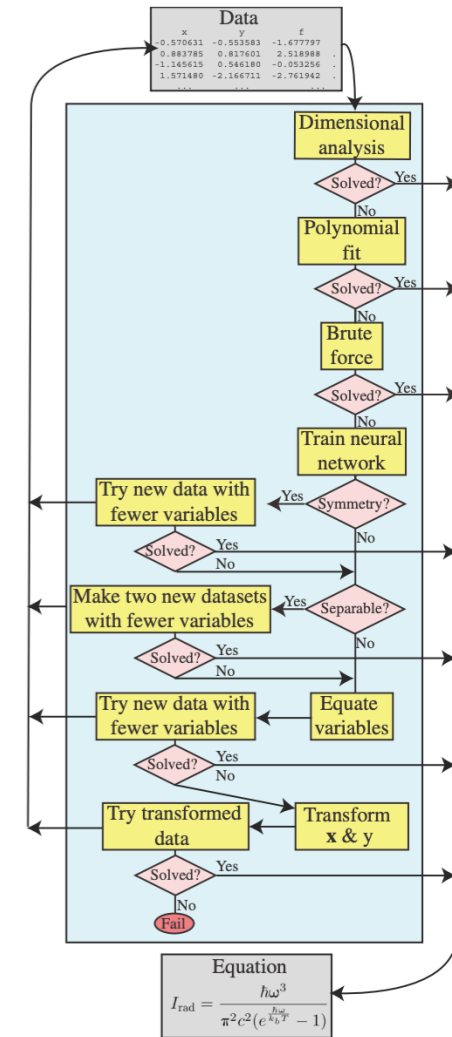
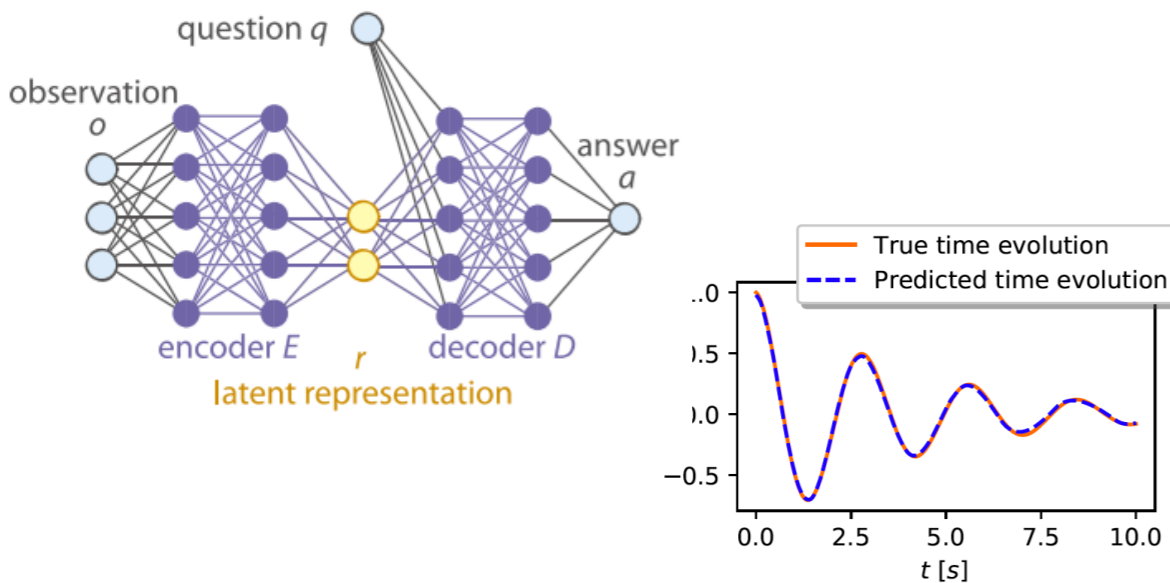


Udrescu, Silviu-Marian, and Max Tegmark. "AI Feynman: A physics-inspired method for symbolic regression." *Science Advances* 6.16 (2020): eaay2631.

Lemos, Pablo, et al. "Rediscovering orbital mechanics with machine learning." *arXiv:2202.02306* (2022)

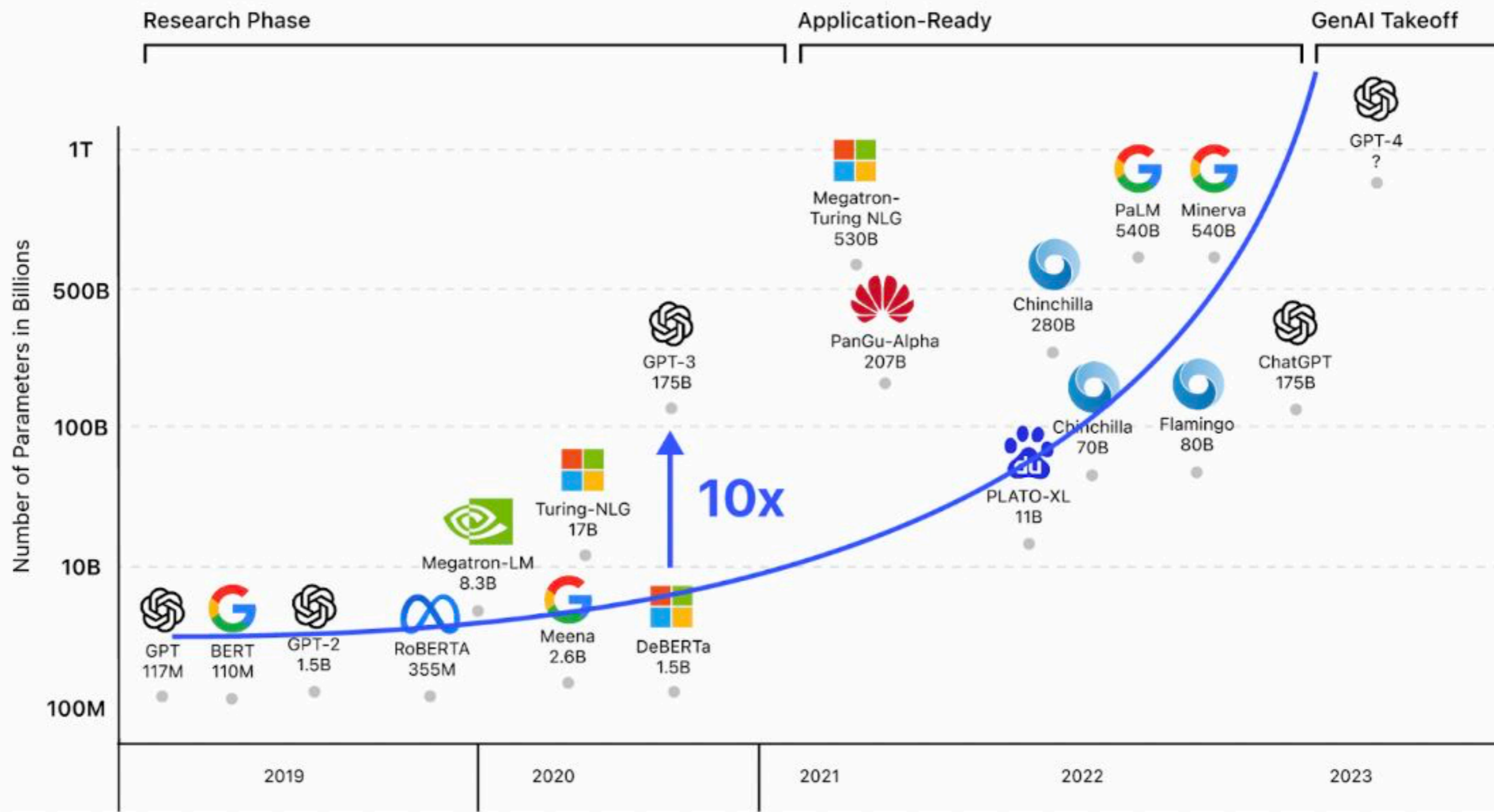


Iten, Raban, et al. "Discovering physical concepts with neural networks." *Physical review letters* 124.1 (2020): 010508.



Can we train AI to understand physics itself in order to achieve new discoveries ?

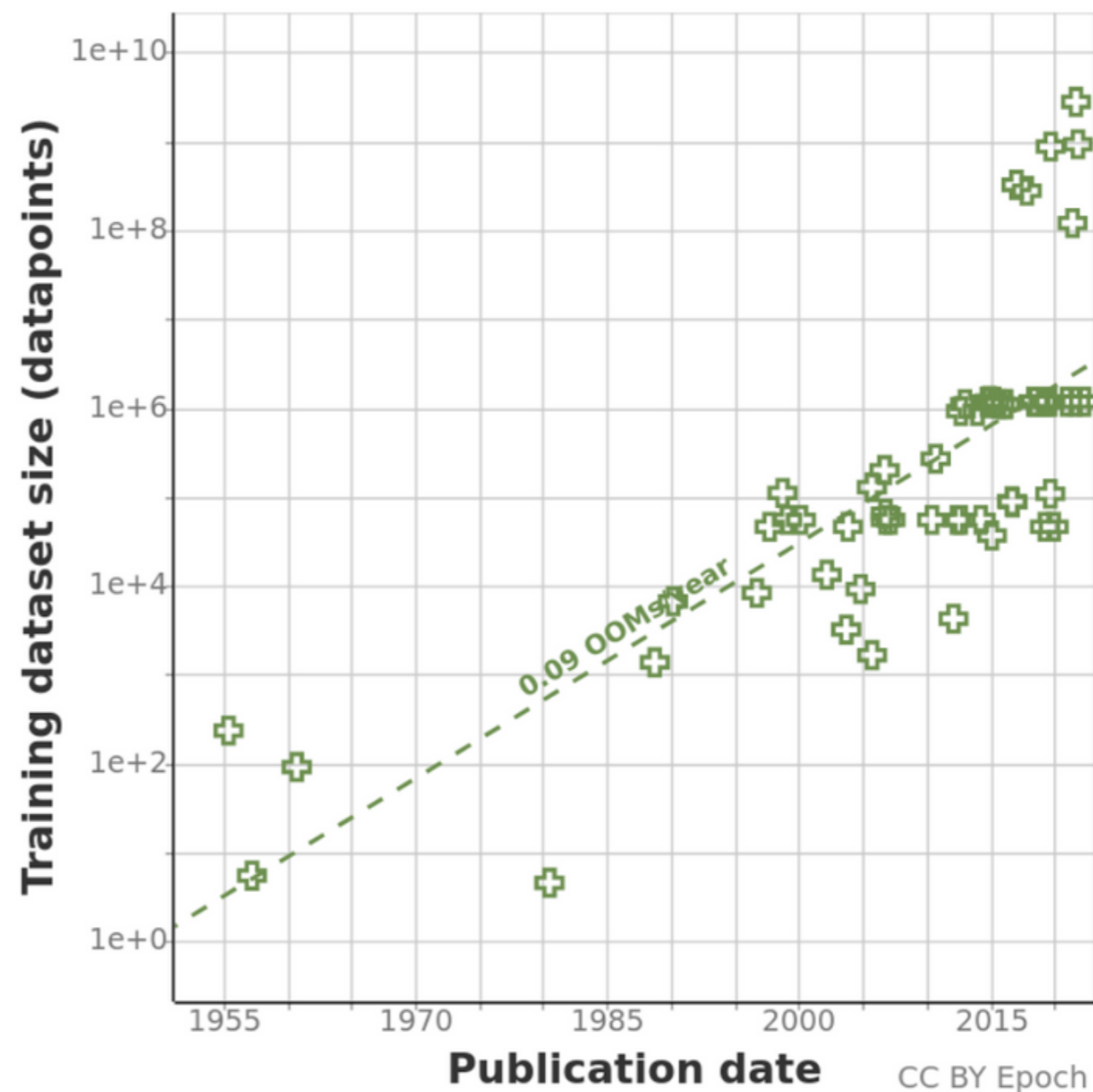
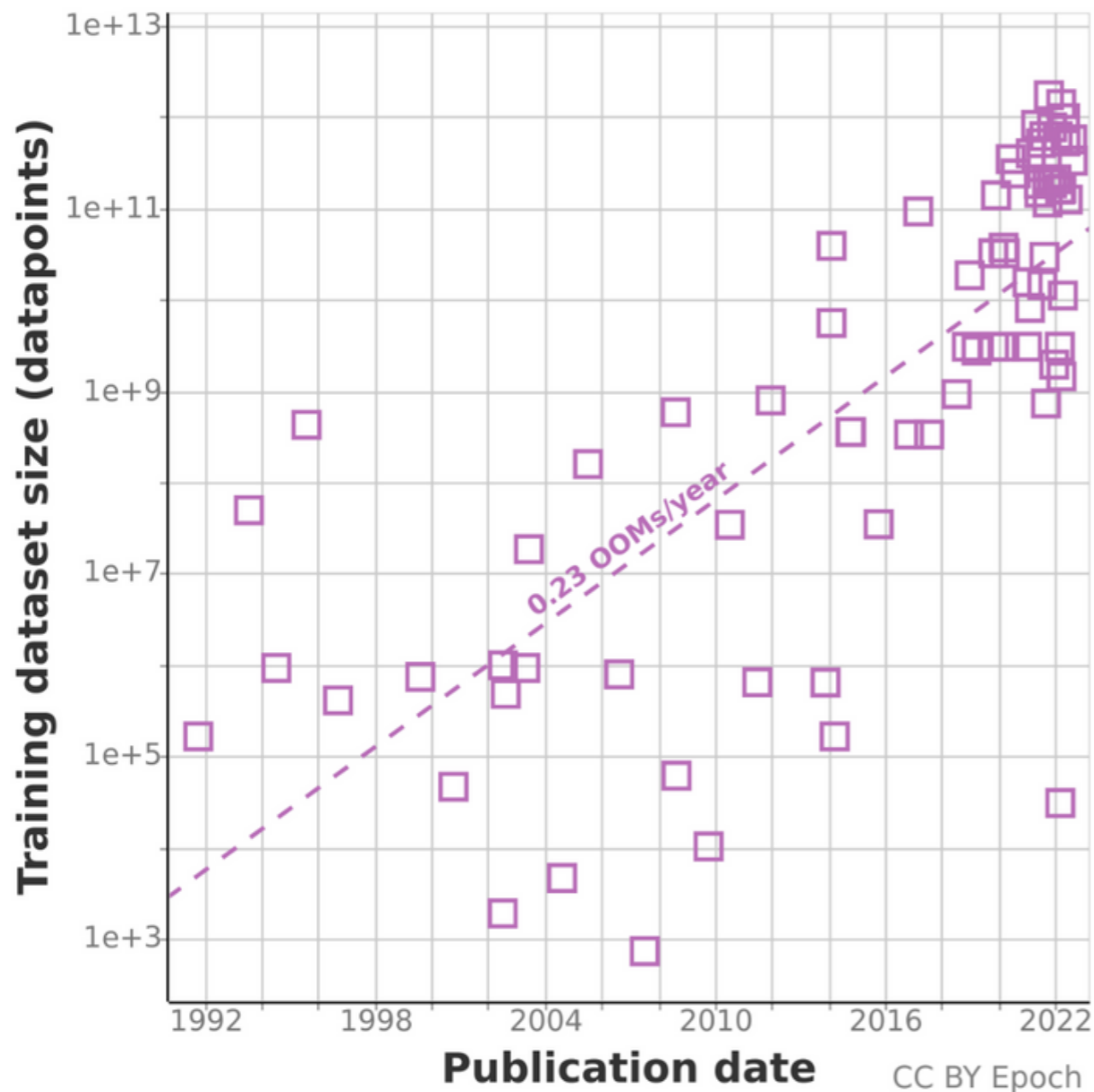
# Existing models



# Dataset sizes

Domain	Data points
Vision	#Images (eg: a model trained on 3B images has a dataset size of 3B)
Language	#Words (eg: a model trained on 1T English tokens has a dataset size of ~750B words, the exact quantity depends on the tokenization)

Training datasets for language (left) and vision (right)



<https://epochai.org/blog/trends-in-training-dataset-sizes>

# Machine learning at scale, for science

**Machine learning has been proven a very good tool to:**

- Extract information from (very large) datasets
- Efficiently analyse very large amounts of data
- Easily handle data from different sources
- Scalability to HPC environments

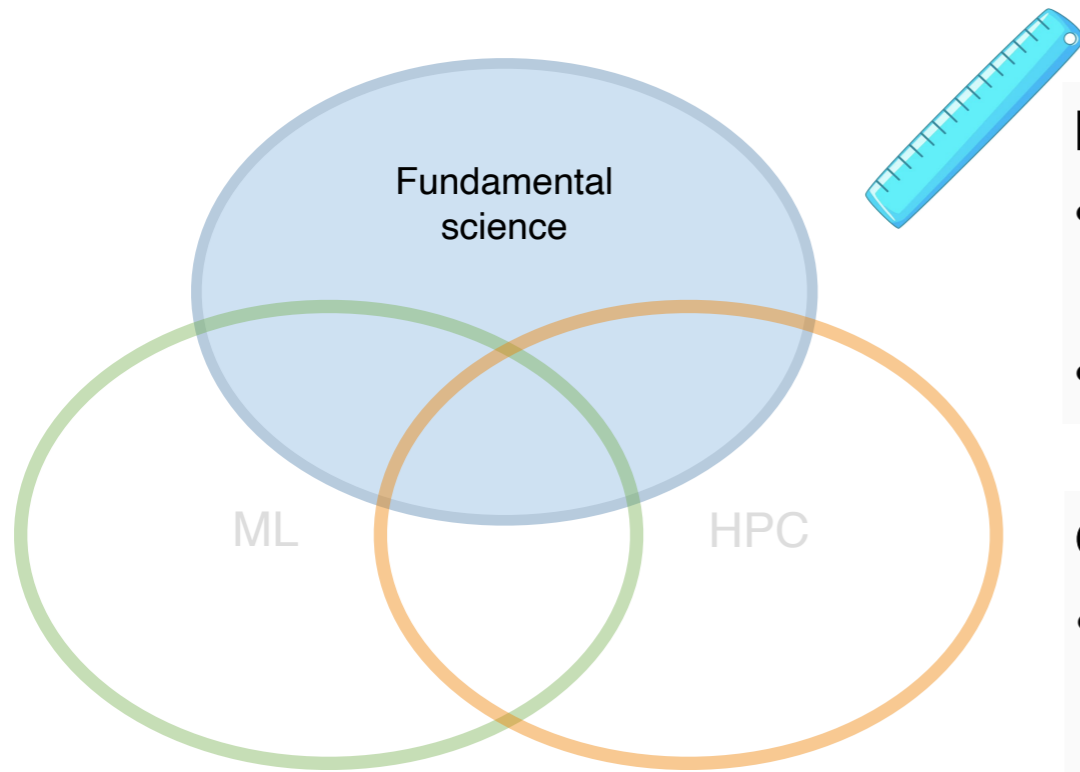
**Observation based datasets in physics are comparable or larger than these!**



**Can we use these tools for fully data-driven science?**



# Scientific opportunities

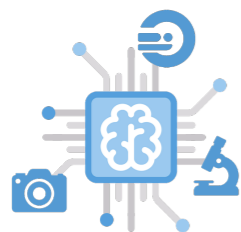


## Multi-scale dependencies:

- **Model complex higher-order, statistical relationships between observations, fields, ...**
- improve current simulations

## Compact representations:

- **Condense dataset information in a compact representation**
- eg. condense the info in a few GB rather than TB



## Multi-source models:

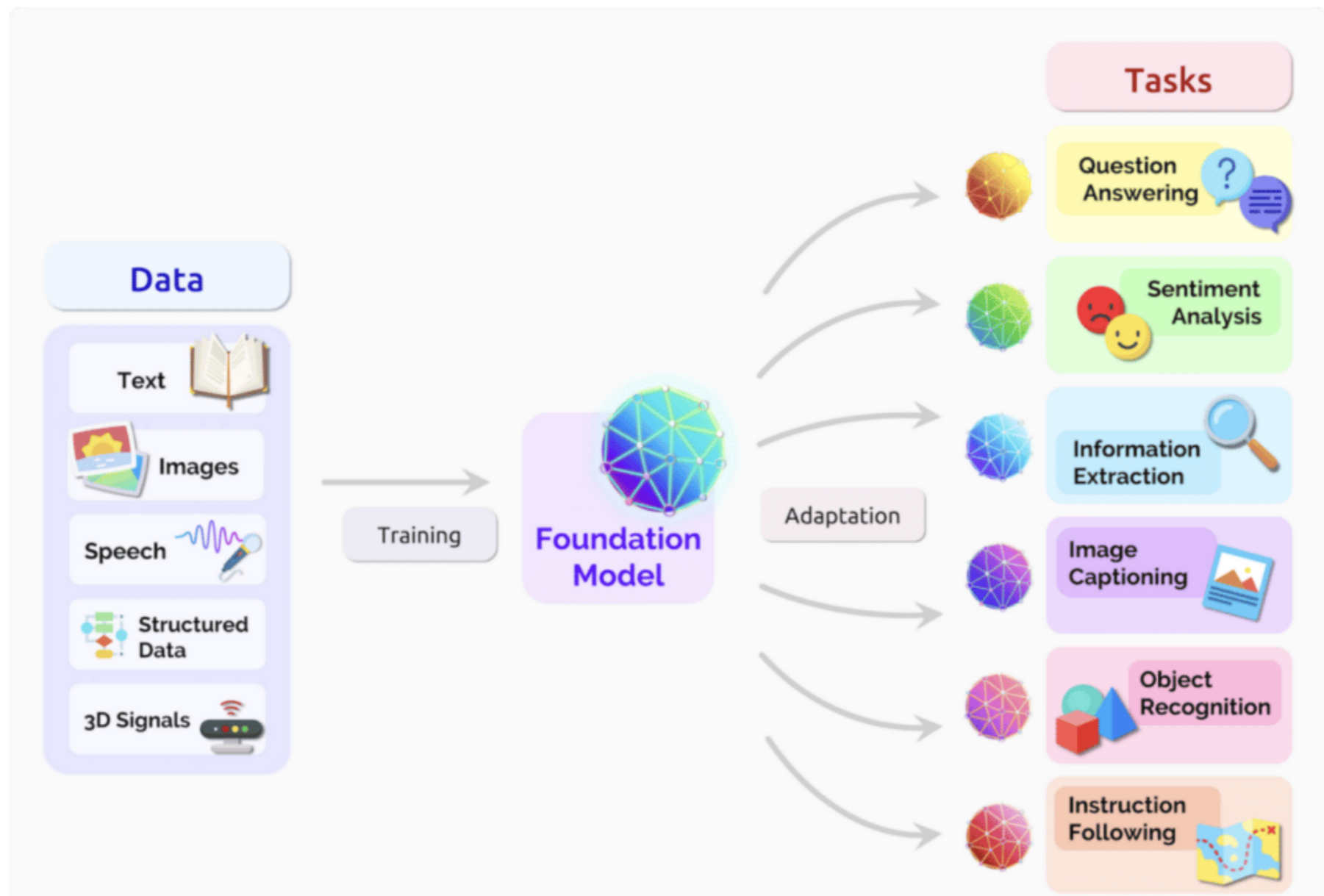
- **Enable multimodal and multi-source learning**
- eg. build models based on scientific data, GDP, birth rate etc..



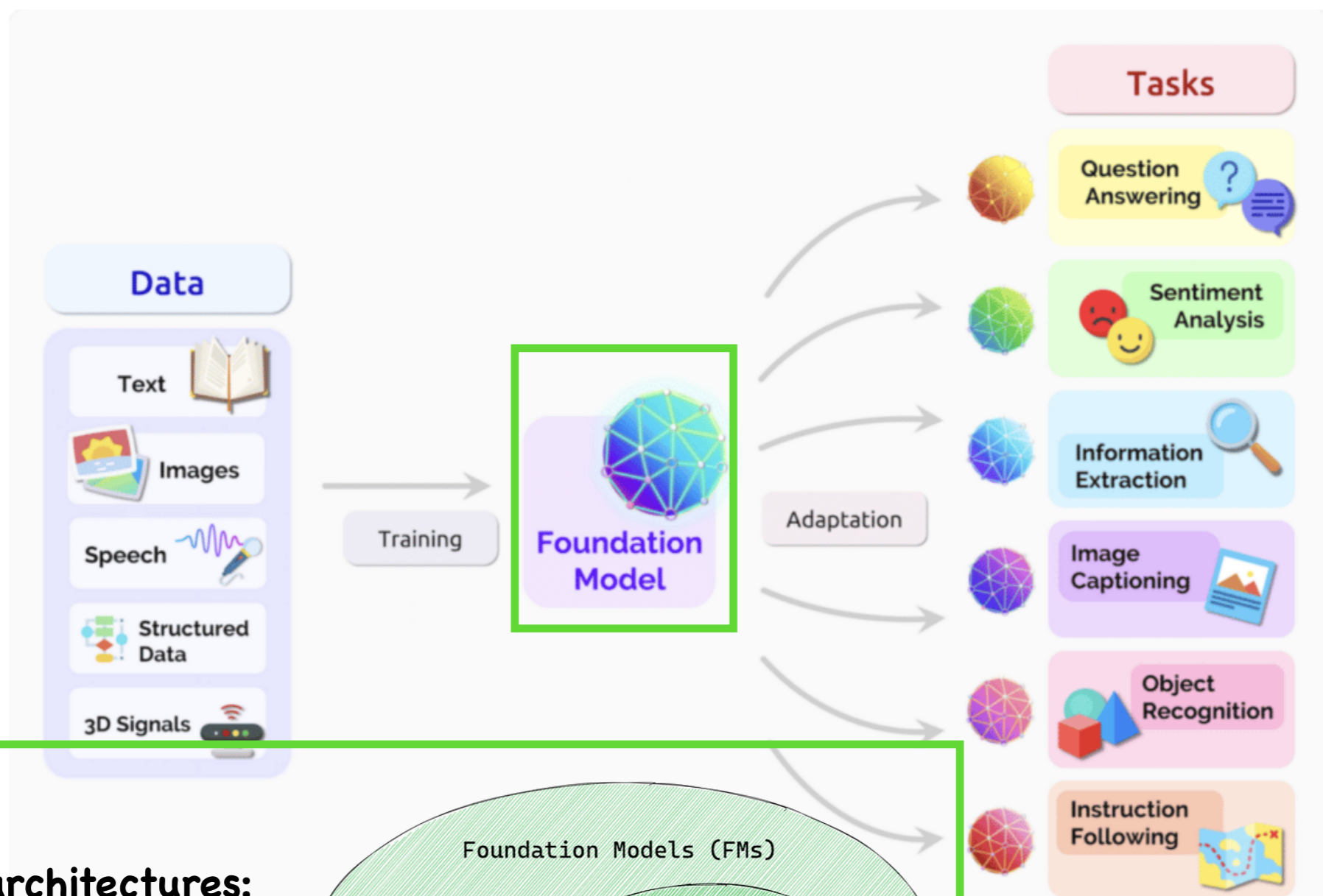
## New discoveries:

- **Explore the potential of unsupervised learning to extract new information directly from data**
- Learn unknown correlation patterns

# Introduction



# Introduction



**Model architectures:**  
Generative Models  
Attention & Self-Attention  
Transformers

Foundation Models (FMs)

- \* Pretrained
- \* Generalized
- \* Adaptable
- \* Large
- \* Self-supervised

Large Language Models (LLMs)  
ex: ChatGPT, Chinchilla, GPT-3

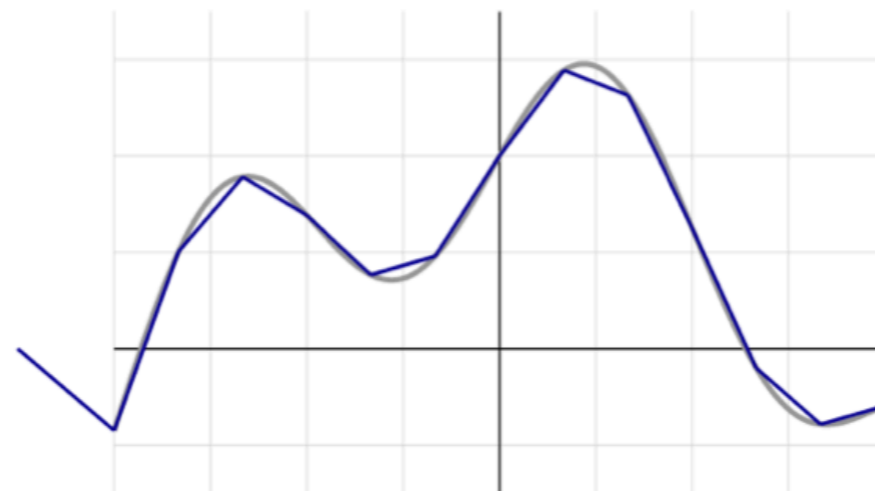
FMs are models trained on broad data (using self-supervision at scale) that can be adapted to a wide range of downstream tasks.  
<https://hai.stanford.edu/news/reflections-foundation-models>

## Universal approximation theorem

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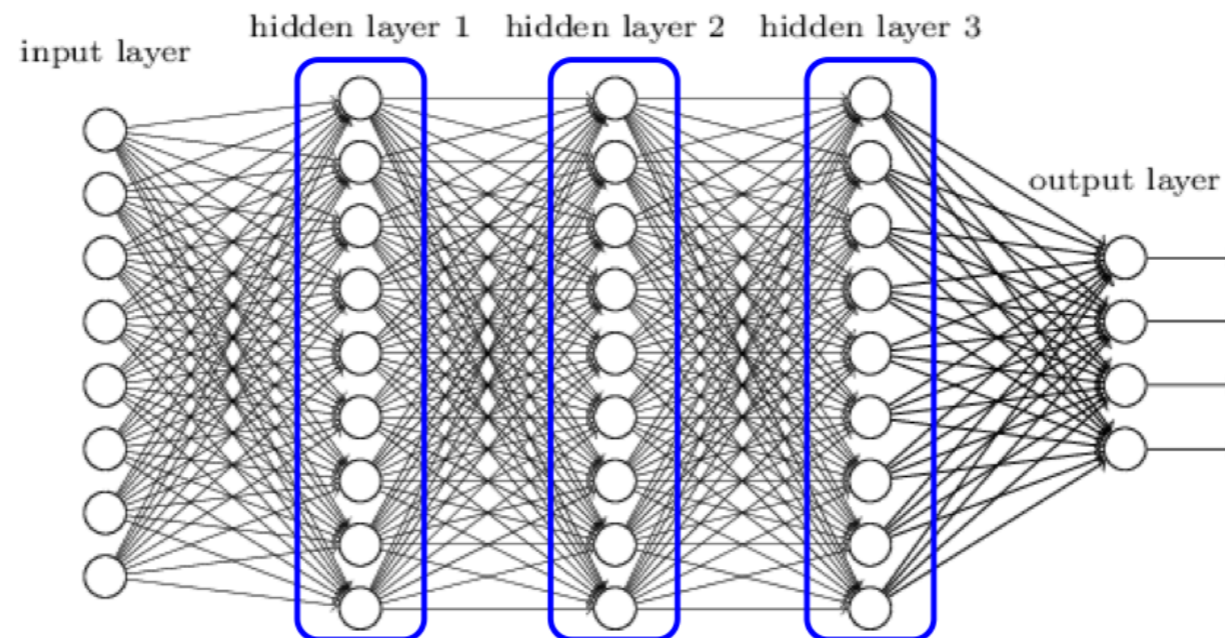
- Feed-forward neural network with a single hidden layer containing a finite number of non-linear neurons (ReLU, Sigmoid, and others) can approximate continuous functions arbitrarily well on a compact space of  $\mathbb{R}^n$

$$f(x) = \sigma(w_1x + b_1) + \sigma(w_2x + b_2) + \sigma(w_3x + b_3) + \dots$$



## Deep Neural Networks

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- As data complexity grows, need exponentially large number of neurons in a single-hidden-layer network to capture all structure in data
- Deep networks *factorize learning* of structure in data across layers
- Large datasets, fast computing (GPU / TPU) and new training procedures / network structures made training possible

# Generative Models and Representation Learning

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# Generative models

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## The problem:

Assume data sample follows  $p_{\text{data}}$  distribution

Can we draw samples from distribution  $p_{\text{model}}$  such that  $p_{\text{model}} \approx p_{\text{data}}$ ?

# Generative models

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## The problem:

Assume data sample follows  $p_{\text{data}}$  distribution

Can we draw samples from distribution  $p_{\text{model}}$  such that  $p_{\text{model}} \approx p_{\text{data}}$ ?

### Maximum Likelihood Estimator:

- Assume some form for  $p_{\text{model}}$  (prior knowledge, parameterized by  $\theta$ )
- draw samples from  $p_{\theta^*}$

$$\theta^* = \arg \max_{\theta} \sum_{\mathbf{x} \in \mathcal{D}} \log(p_{\text{model}}(\mathbf{x}; \theta))$$

Generative models don't look for mathematical expression of  $p_{\text{model}}$

Train NN as a generator  $g: \mathbb{R}^m \rightarrow \mathbb{R}^n$

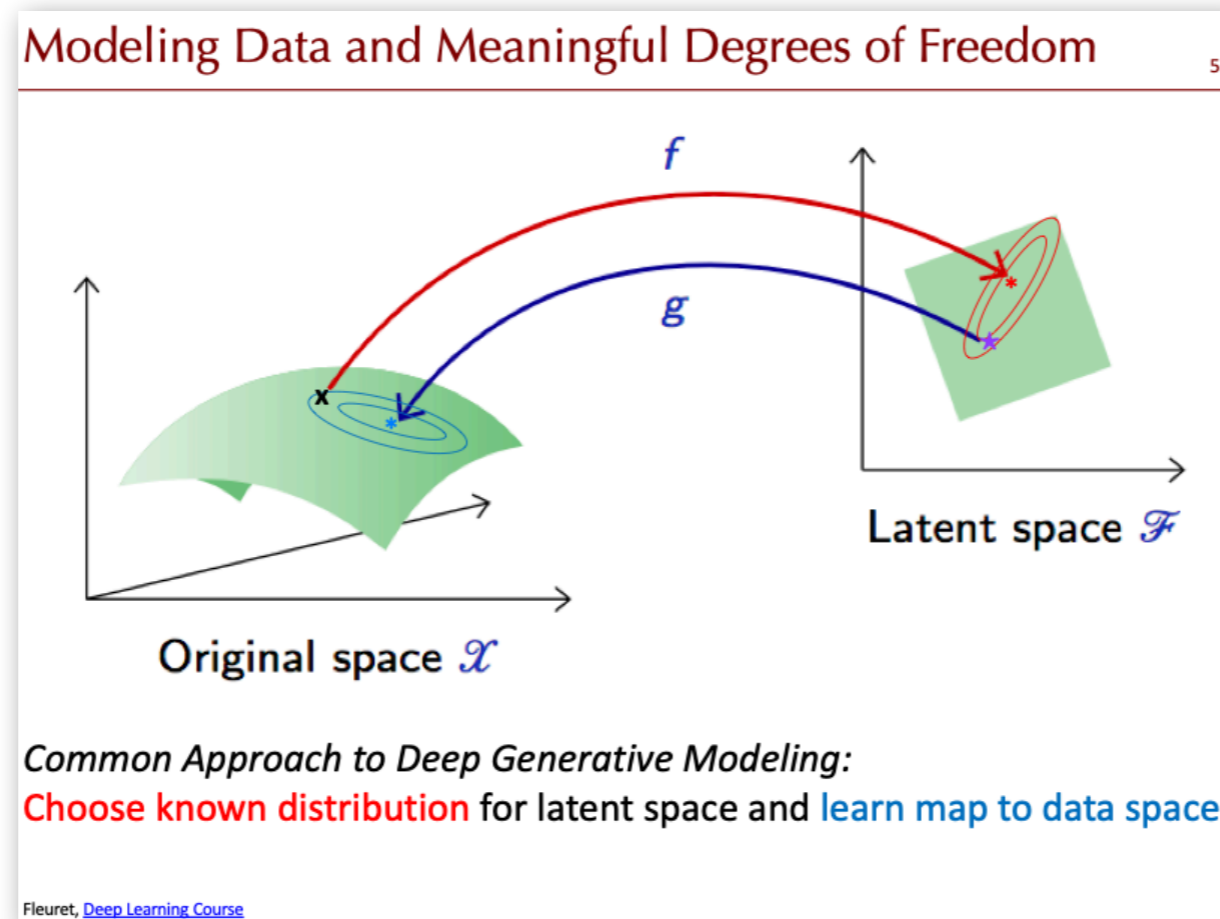
that maps samples from a tractable distribution supported in  $\mathbb{R}^m$  to points in  $\mathbb{R}^n$

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# Latent Representation

See M. Kagan lecture on July 5th :  
<https://indico.cern.ch/event/1392500/>

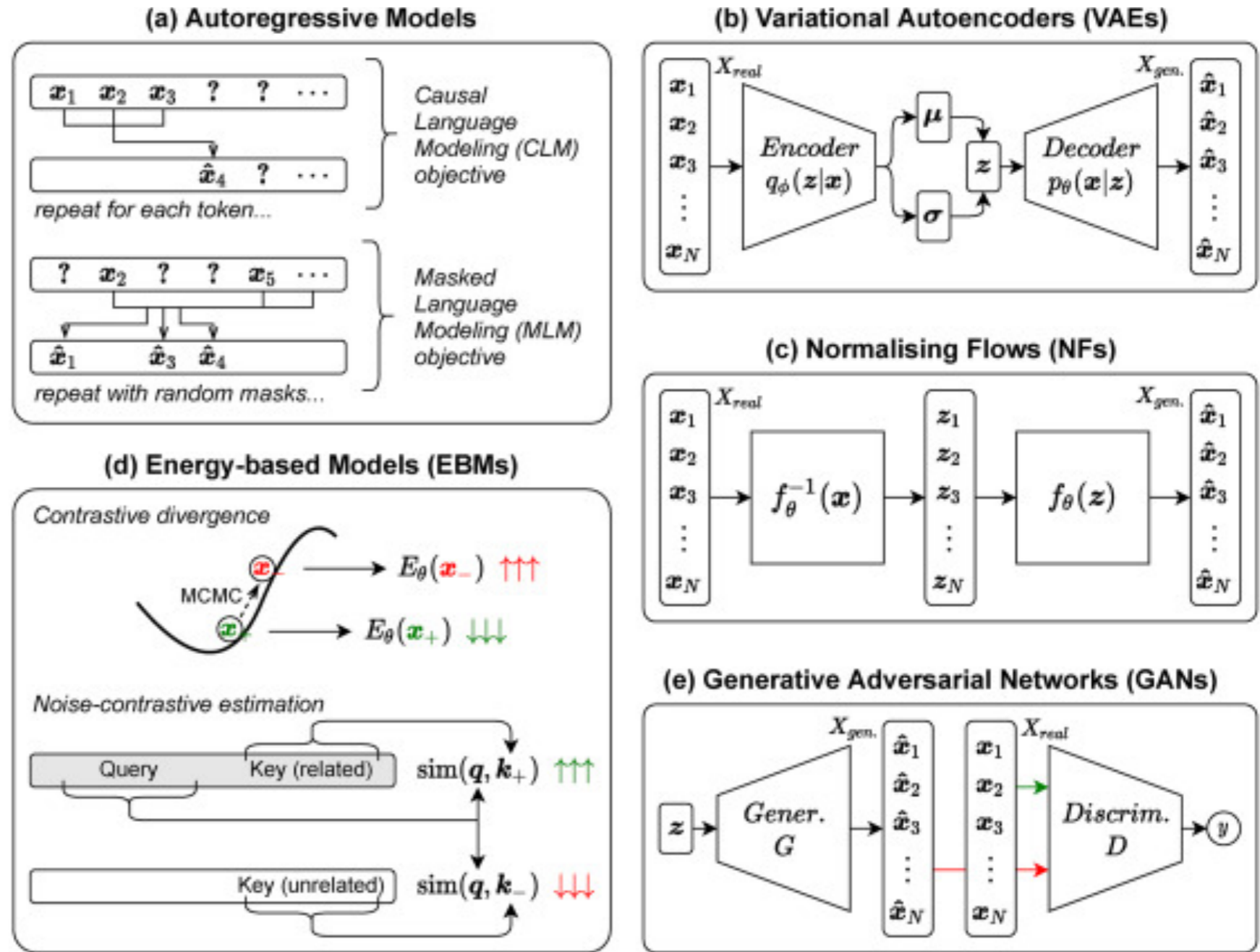


- Information content is preserved within a **hidden manifold with lower dimension**
- Can manipulate **latent space** (style specification, hypothesis testing directly in data, ...)
- Can optimise latent representation according to a specific task (**guided compression**)
- Can help with **multi-modality**

**NB: Problems exhibiting complex symmetries may benefit from latent space representations connected to the specific underlying symmetry group!**

# Deep Generative Models

Deep models allow **higher levels of abstractions** and **improve generalization** wrt to shallow models



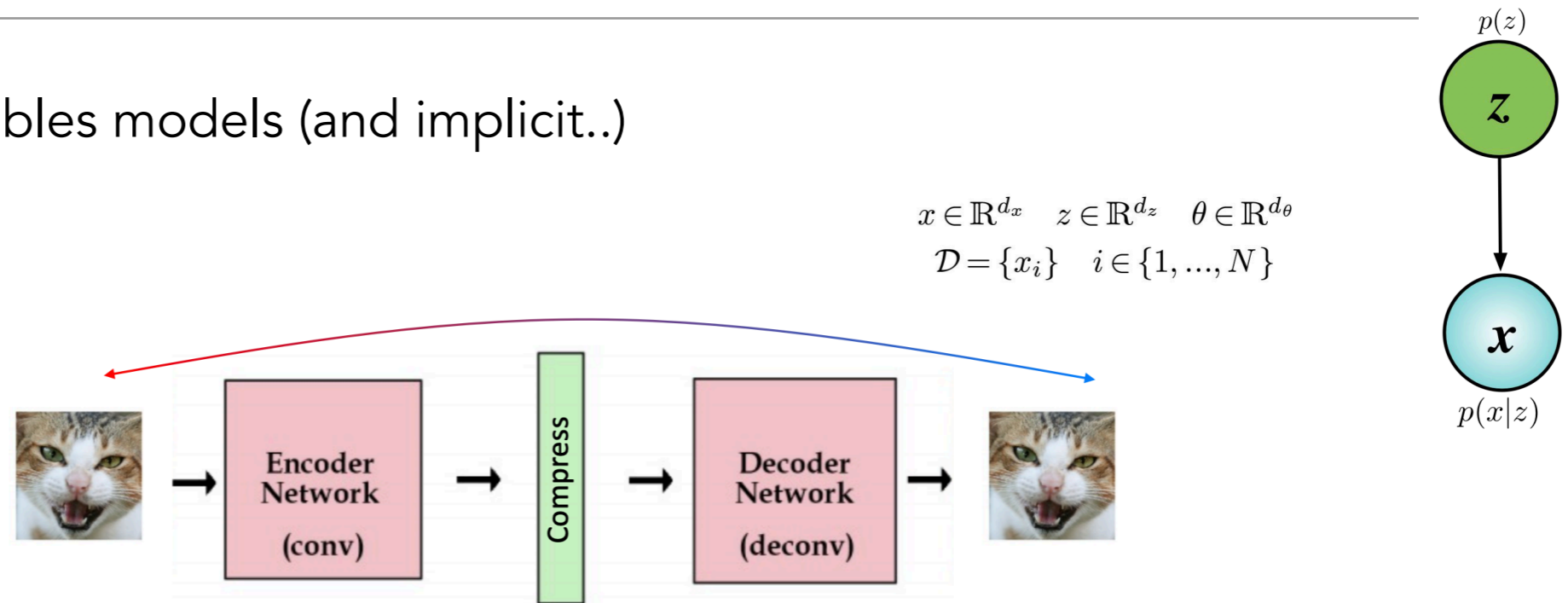
Current Opinion in Structural Biology

See Danilo Rezende tutorial on Deep Generative Models

# Auto-Encoders

Examples of latent variables models (and implicit..)

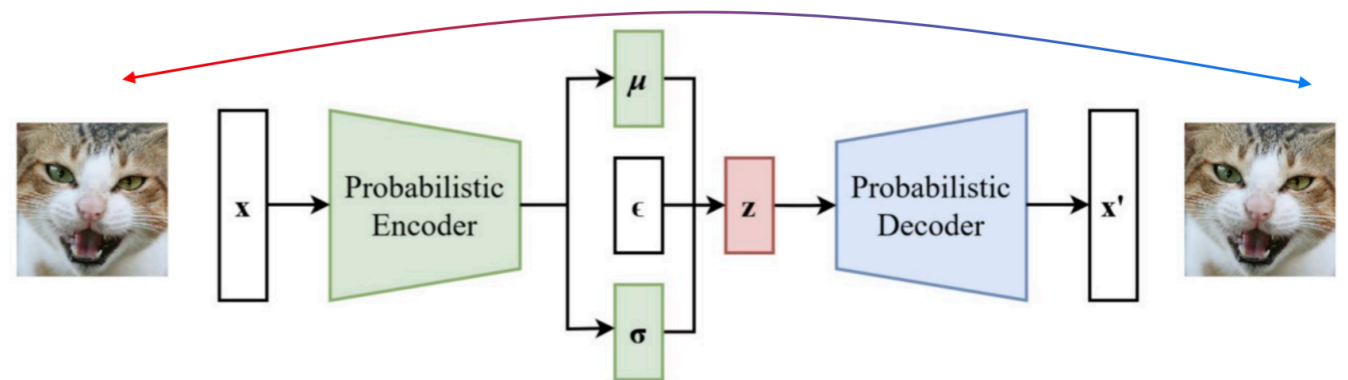
## Ex. Auto-Encoder



## Ex. Variational Auto-Encoder

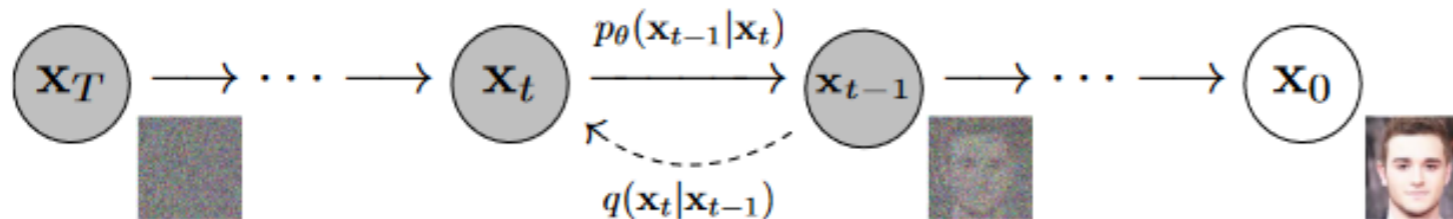
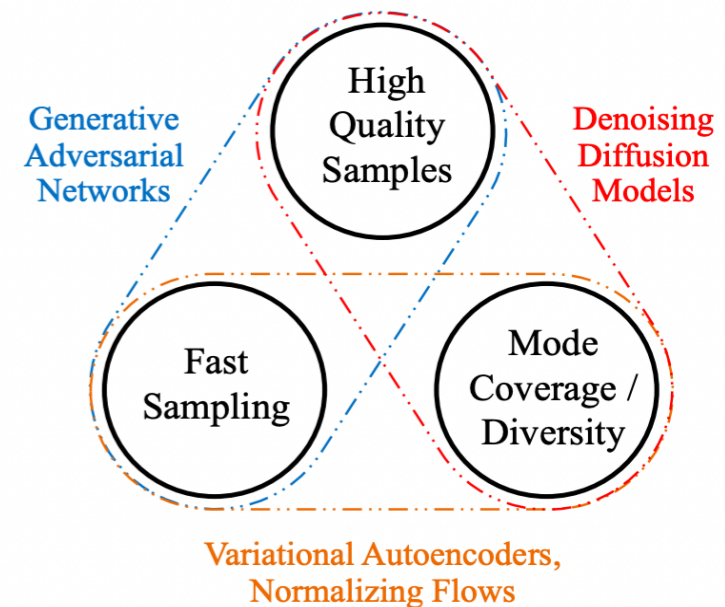
**Explicit constraints** on encoded representations (learn the **latent variable distribution**)

Two components in the loss function (**reconstruction loss** and **KL divergence** to constrain latent to prior)



# Diffusion models

- **Parametrized Markov Chains** trained using variational inference to produce samples matching the data after finite time.
  - Chain transitions are **reverse diffusions** (gradually adding noise to the data)
- Ex. DDPM (Diffusion Denoising Probabilistic Models) based on U-Net architecture, <https://arxiv.org/pdf/2006.11239.pdf>:
  - Iteratively add Gaussian noise to input image, eventually reaching pure noise
  - Generation process **inverts the diffusion**: start from pure noise sample, then iteratively de-noise it.



# Attention and Transformers

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# A step back

See M. Kagan lecture on July 5th :  
<https://indico.cern.ch/event/1392500/>

## Recurrent States

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- Input sequence  $x \in S(\mathbb{R}^m)$  of variable length  $T(x)$
- Recurrent model maintain a **recurrent state**  $h_t \in \mathbb{R}^q$  updated at each time step  $t$ . For  $t = 1, \dots, T(x)$ :

$$h_{t+1} = \phi(x_t, h_t; \theta)$$

– Simplest model:

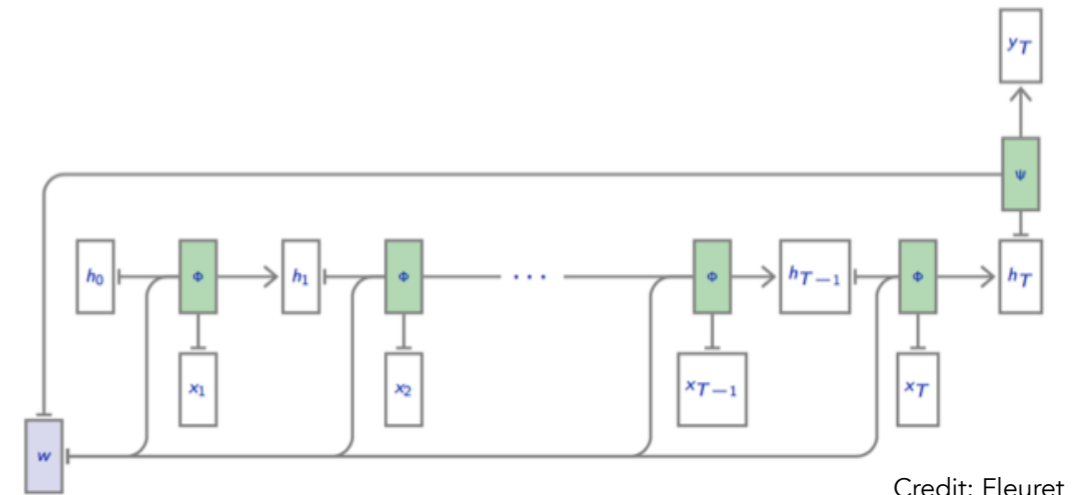
$$\phi(x_t, h_t; W, U) = \sigma(Wx_t + Uh_t)$$

- Predictions can be made at any time  $t$  from the recurrent state

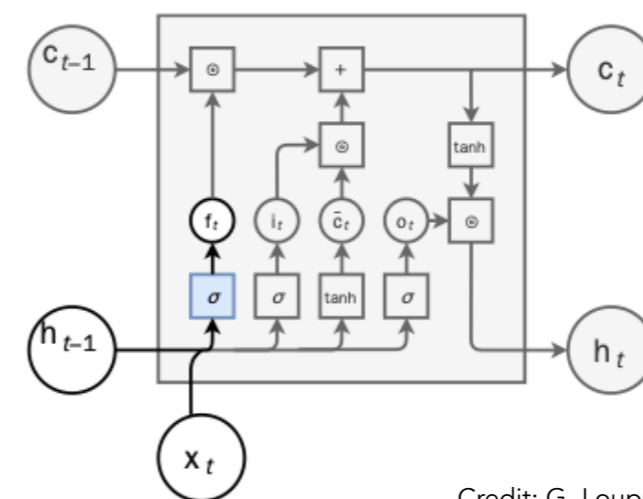
$$y_t = \psi(h_t; \theta)$$

Credit: F. Fleuret

## Recurrent Networks:



## LSTMs:



Credit: G. Louppe

# Bottleneck → Attention!

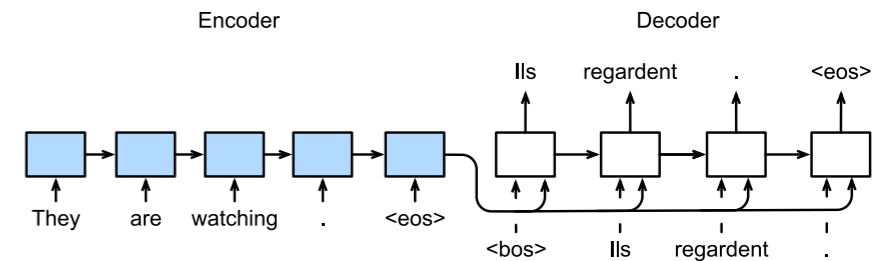
## Seq2seq models analyse sequences

Predict probability distributions of the next token given previous context

Encoder compresses the sequence in a fixed size vector

## Fixed size latent vector is a bottleneck

Decoder **next-step generation is suboptimal** since latent vector contains the same information



Credit: d2l.ai



Attention mechanism as originally formulated in a bi-directional LSTM Auto-Encoder

<https://arxiv.org/abs/1409.0473>

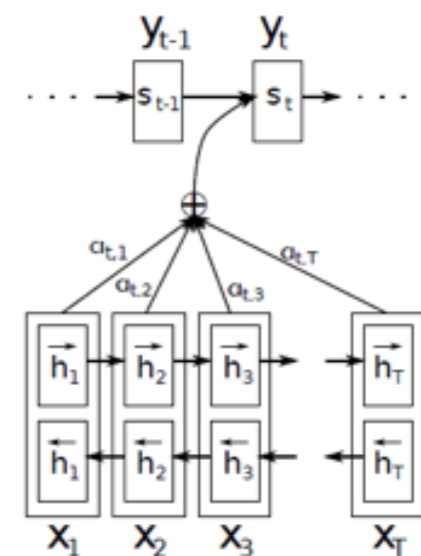
Can we avoid compression and pass the decoder entire input?

Need a mechanism to **focus on most relevant** input tokens at each prediction step

Introduce **softmax to calculate probability** (maintain differentiable architecture)

Output is **independent of the order** of input examples (set instead of sequences)

Use **relationships between input elements** (as graph representation).



# Attention mechanism

See tutorial G.. Weiss tutorial at IML workshop : <https://indico.cern.ch/event/1297159/>

**A key-value database** (differentiable, entries

are continuous vectors):  $Q = \{q_1, q_2, \dots, q_m\}$  QUERIES

$K = \{k_1, k_2, \dots, k_n\}$  KEYS

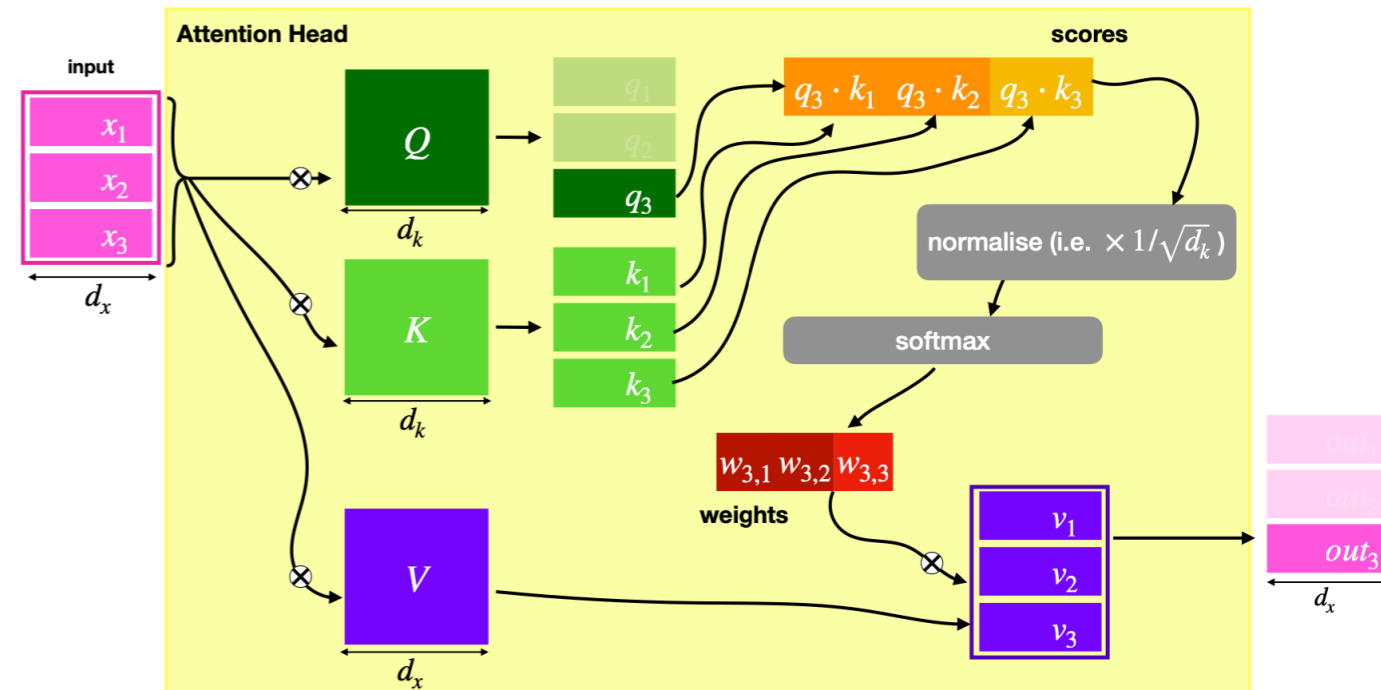
$V = \{v_1, v_2, \dots, v_n\}$  VALUES

A normalised **similarity** function between query-key pairs:

$$S_{ij} = \text{SIMILARITY}(q_i, k_j) \quad A_{ij} = \text{NORMALIZE}(S_{ij}) = \frac{e^{S_{ij}}}{\sum_{l=1}^n e^{S_{il}}}$$

A **weighted average** over values

$\{V\}$ , based on similarity:  $O_i = A_{ij} V^j$



Credit: G. Weiss

**NB. Weights are probabilities (use softmax)**

**Self-attention** uses same input for values, keys and queries.

Focus on relationship between elements (adds context)

$$\text{SIMILARITY}(q_i, k_j) = \frac{q_i \cdot k_j}{\sqrt{D}}$$

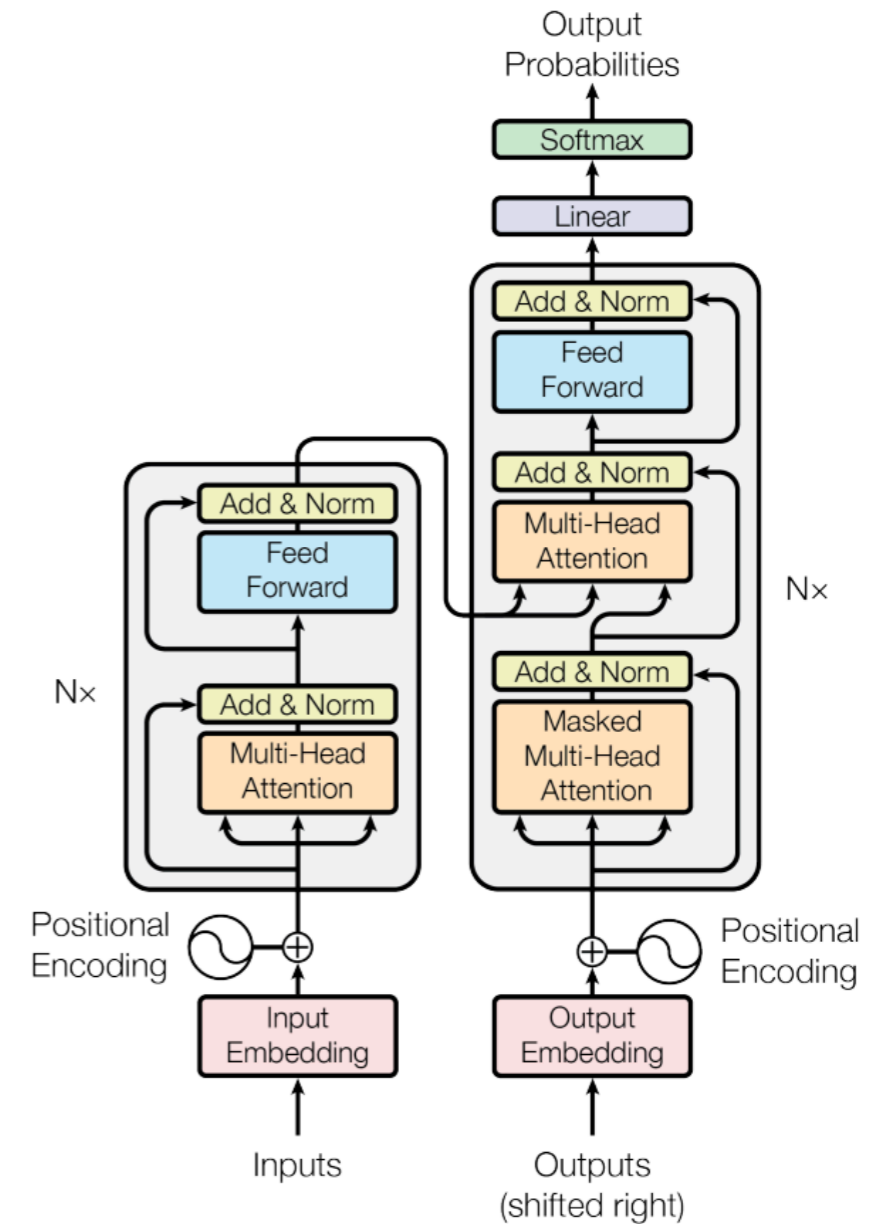
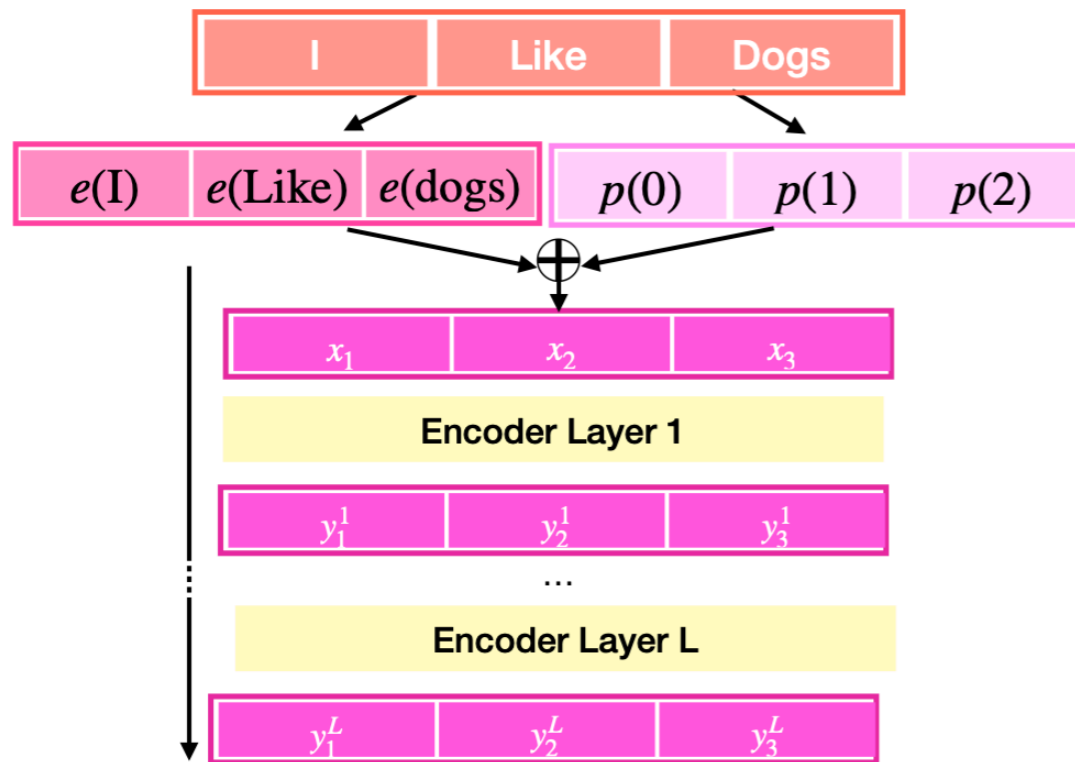
**Multi-head attention** splits input token in subgroups and processes them in parallel

**NB: Scaled dot-product is permutation equivariant**



# Transformers

See tutorial G.. Weiss tutorial at IML workshop :  
<https://indico.cern.ch/event/1297159/>



Transformer components include:

Multi Head **Attention**

**Normalisation** layers

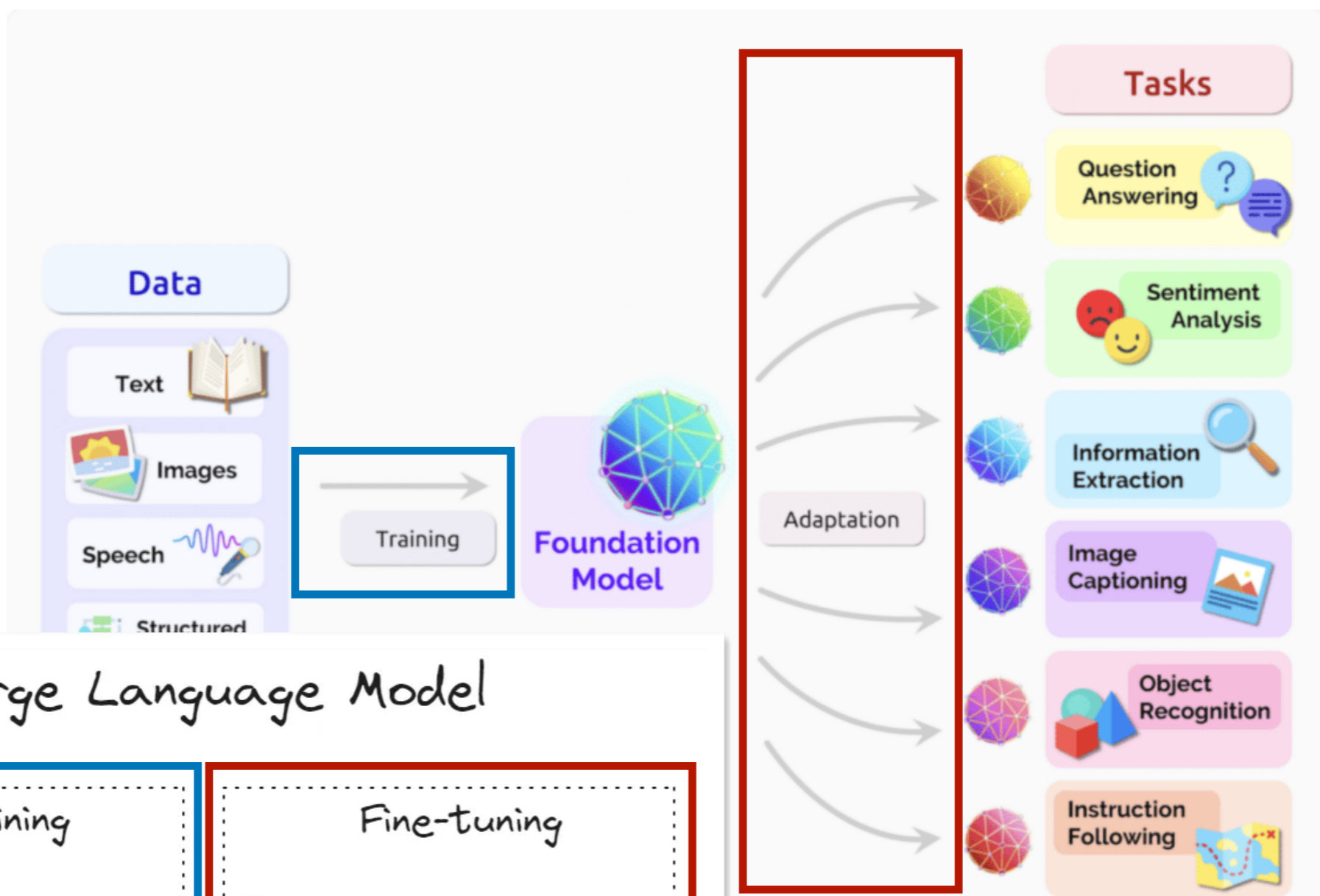
Position Independent **Feed Forward Layers**

**Skip Connections**

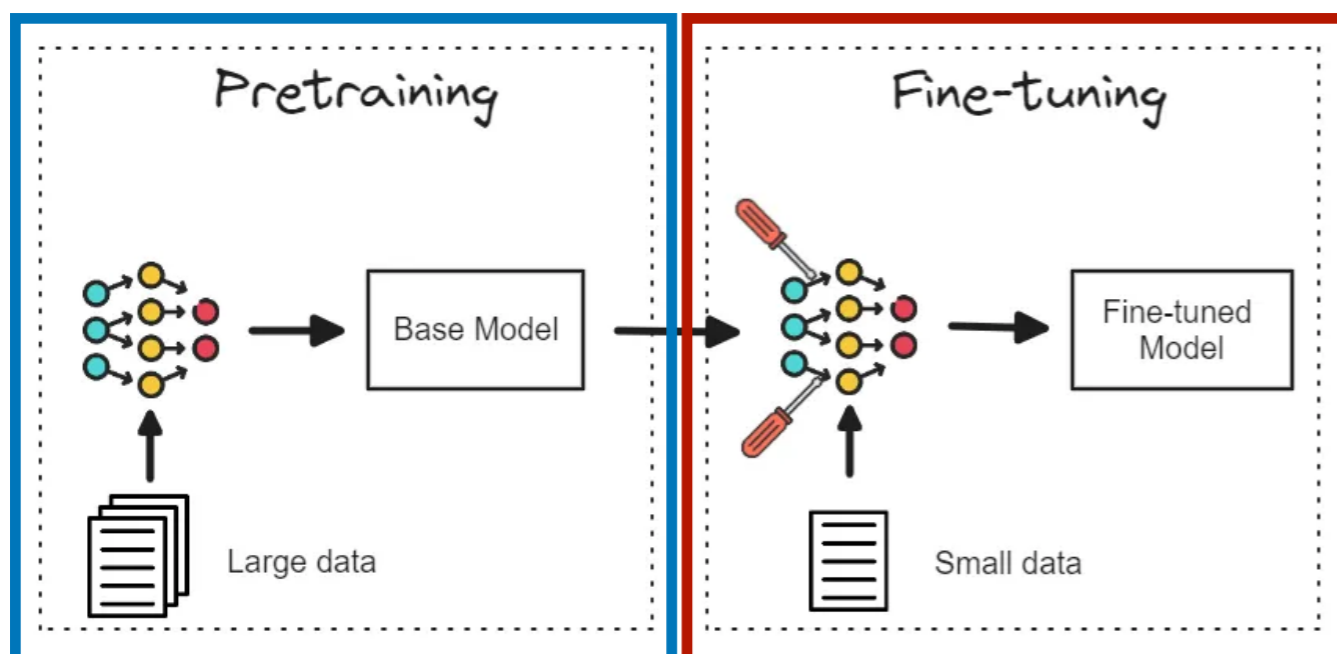
**NB. All tokens are processed in parallel**

Vaswani et al., *Advances in Neural Information Processing Systems*, 2017, 5998–6008

# Introduction

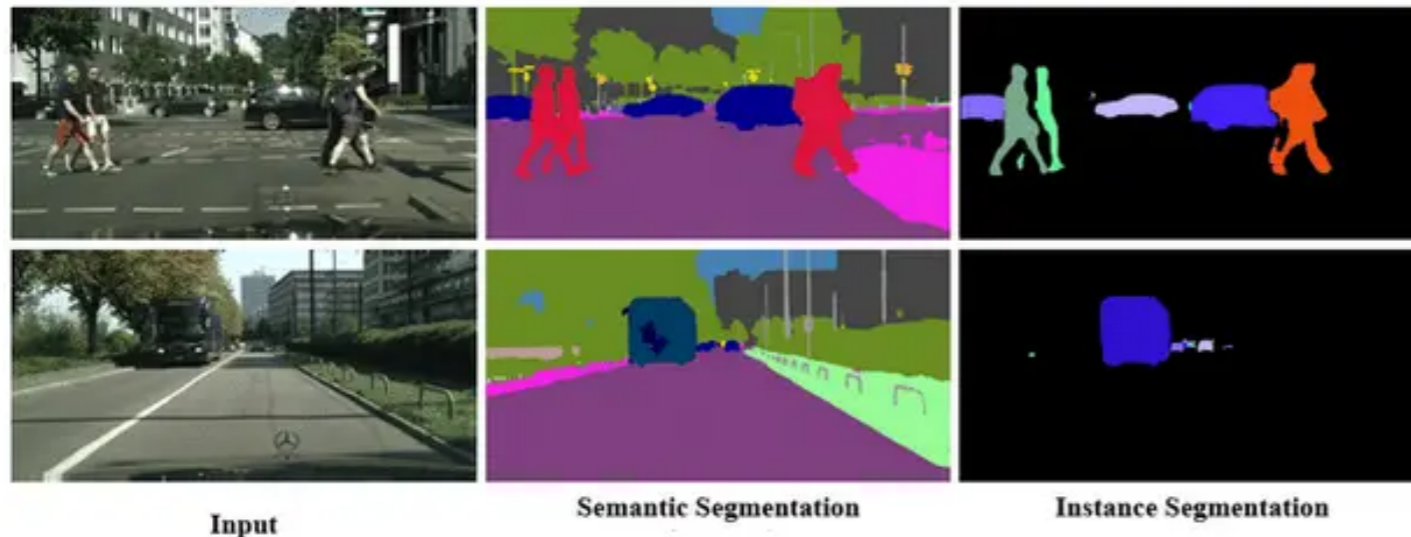


## Large Language Model



# A concrete example

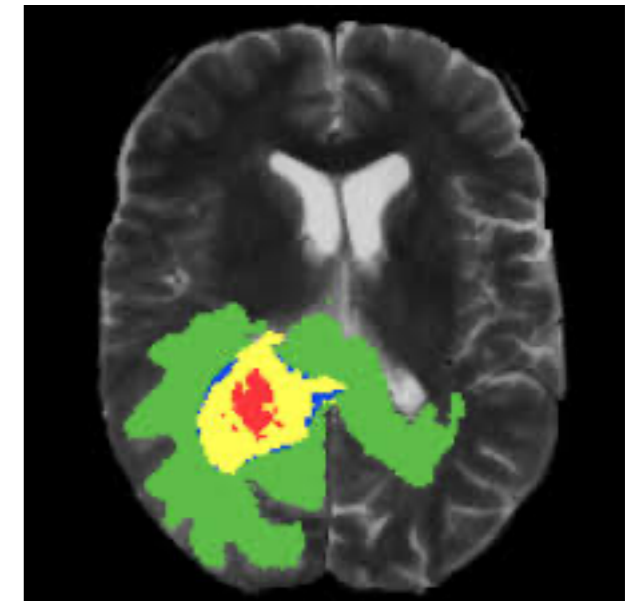
## Downstream scientific application: detect brain cancer with machine learning



We can adapt a general model to brain images to improve accuracy



We would now need a much smaller dataset to “fine-tune” the model for the task



Pre-training: learn how to segment images (aka cluster pixels together into the different objects):

- Learn how to detect edges
- Learn how to cluster objects with the same e.g. colour ...

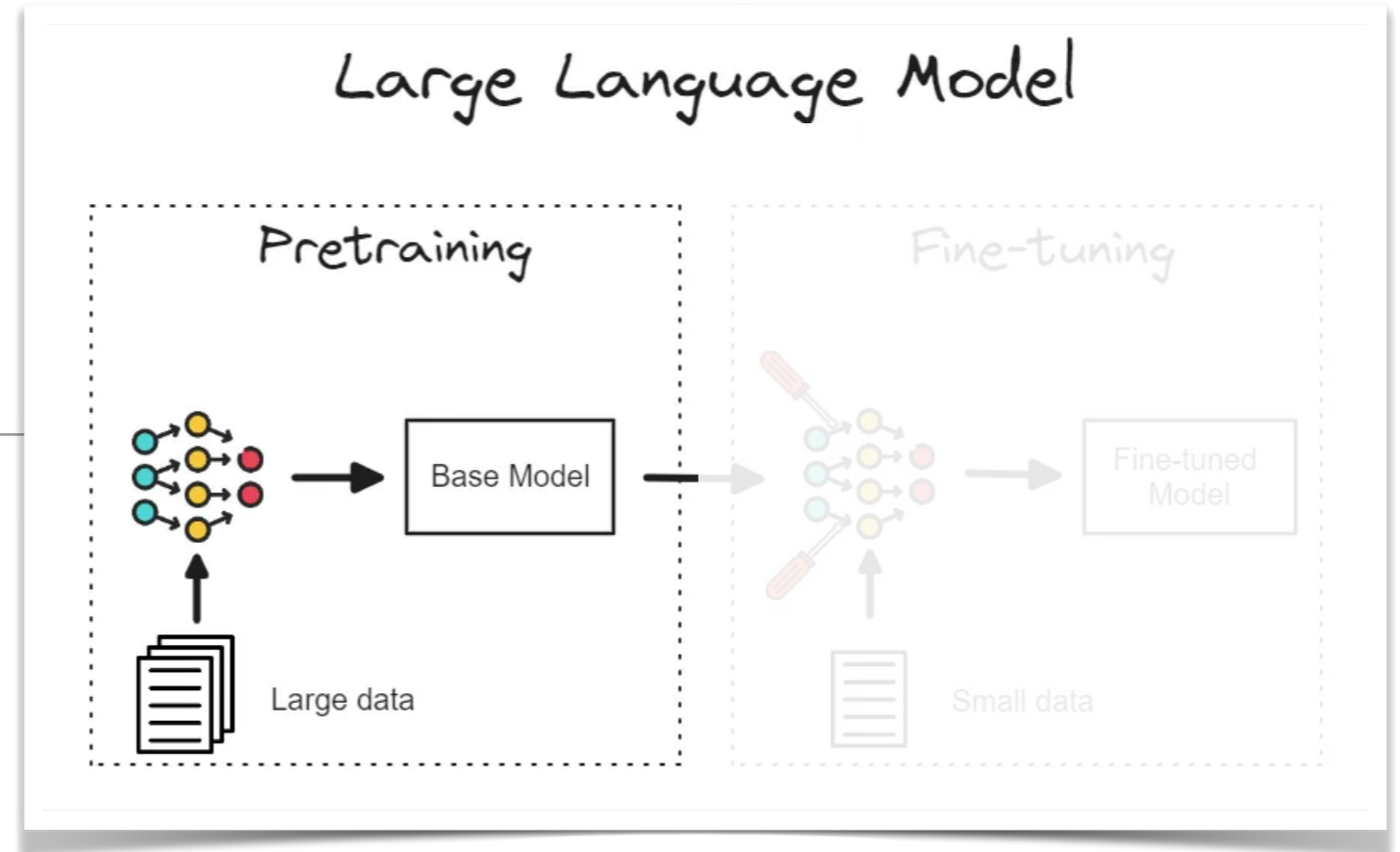
**These skills can be learnt from a large general dataset that has nothing to do with brain images**

Brain images:

- costly
- Not many available
- Sensitive data: Privacy and access problems

# Pre-training

Basic concepts



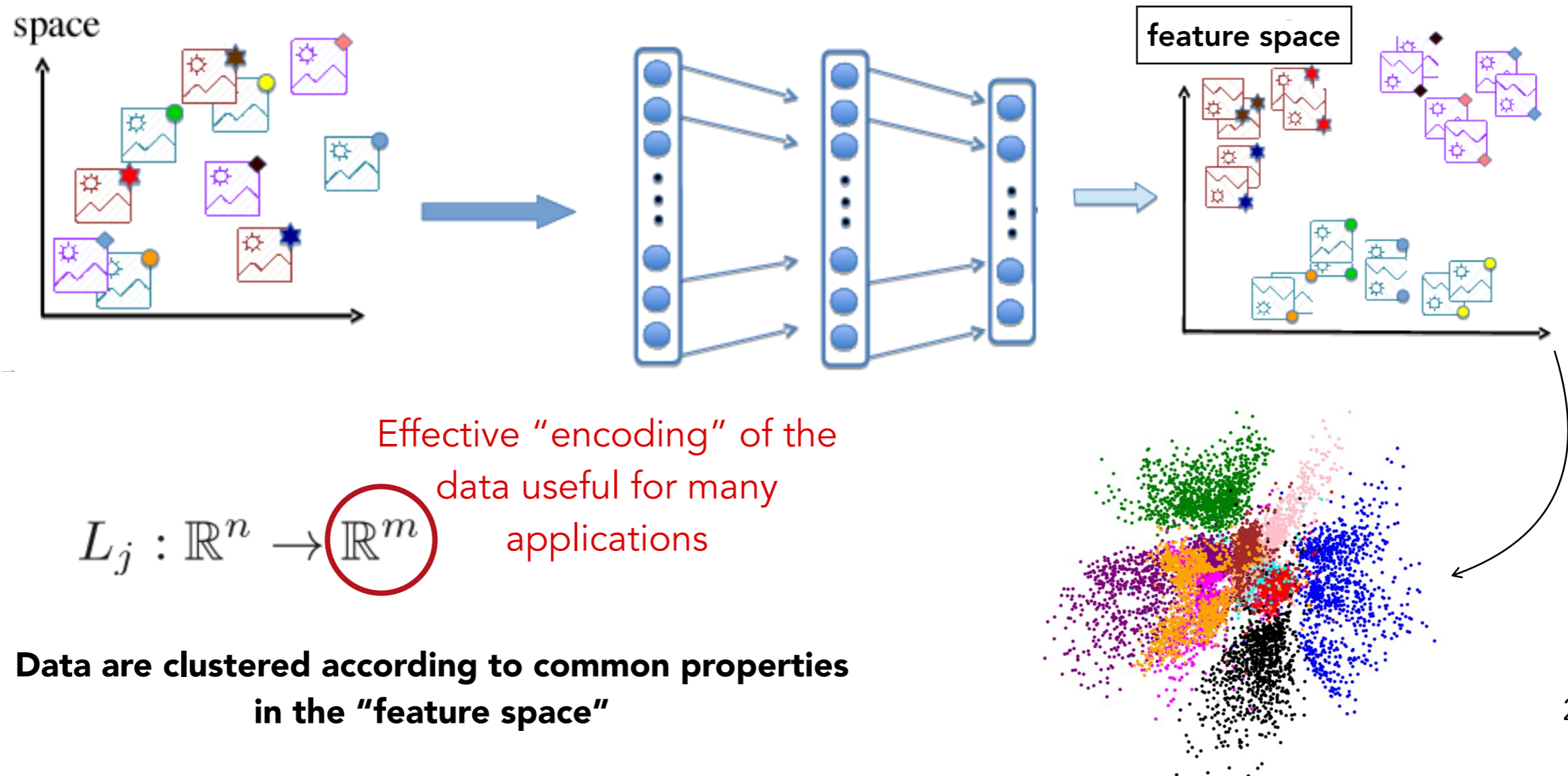
# Main goal

## Pre-training:

"train a model on a large dataset to learn general features and patterns before fine-tuning it for specific tasks or domains"

Representation learning:

- Learn a **task-independent representation** of the data in the **feature space** of the neural network



# Advantages of the pre-training step

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- **Improved Performance:**

- **Better Generalization** to new tasks.
- **Higher Accuracy** of the fine-tuning step compared to training from scratch.

- **Reduced Training Time:**

- **Faster Convergence** during fine-tuning.
- **Less Computational Resources**, since the model starts with a good initialization.

- **Data Efficiency:**

- **Less Data Required** during fine-tuning. This is particularly beneficial for tasks where labeled data is scarce or expensive to obtain.
- Applicability to **Multimodal and Multitask Learning**

- **Handling Overfitting:**

- **Robustness:** Starting from a pre-trained model can help mitigate overfitting, especially when the target dataset is small, by leveraging the broad knowledge encoded during pre-training.

- **Feature Extraction:**

- **Rich Feature Representations:** encapsulate complex correlations into an abstract representation
- **Versatility:** Pre-trained models can be adapted to various downstream tasks.

# ... and some drawbacks

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- **Data Dependency:**
  - Pre-training heavily relies on the availability and quality of large-scale datasets, posing **challenges in domains with limited data accessibility**.
- **Task Specificity:**
  - While pre-training initialises models with generalised knowledge, **fine-tuning for specific tasks may require additional data and computational resources**, impacting the overall training process.
- **Overfitting Risks:**
  - In certain scenarios, **pre-trained models may exhibit overfitting tendencies if not rigorously fine-tuned**, affecting their adaptability to new datasets.

# Workflow

**Data-preprocessing**  
e.g. normalisation, augmentation



**Embedding**  
Project the data into the feature space



**Training**  
Learn the correlations  
in the projected  
feature space



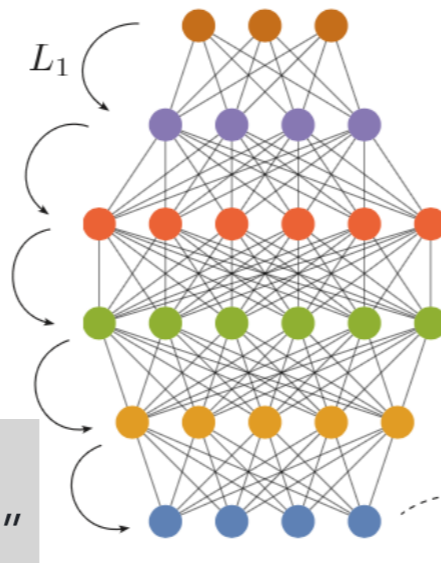
**Re-shuffle**  
project the data at a different "angle"



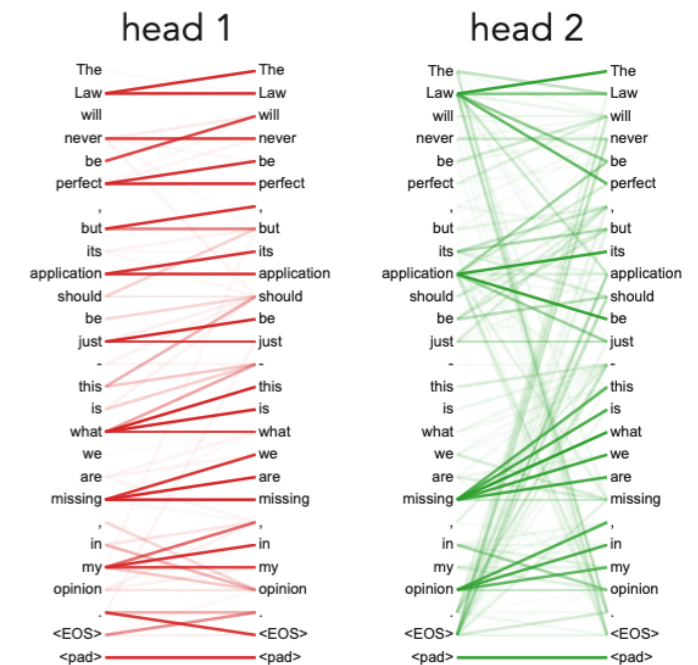
**Loss calculation**

**Important step: the embedding!**  
**Project the data into a vector space**  
→ **multimodality**

The Law Will Never Be Perfect



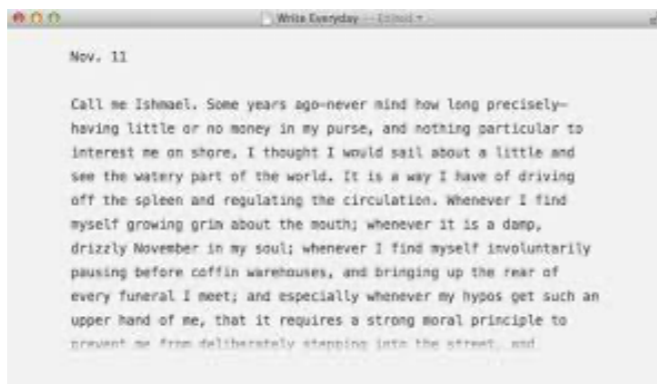
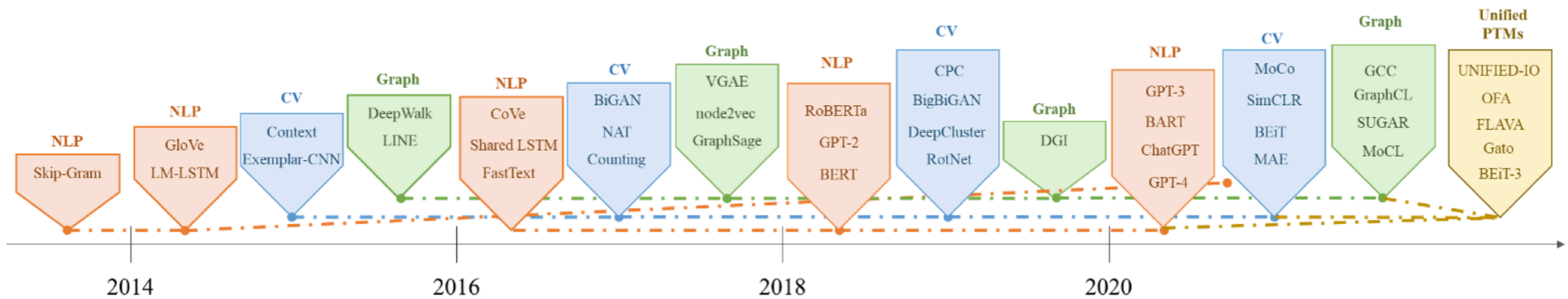
See each word  
as a vector in a  
complex space



"Attention is all you Need" Vaswani 2017



# Types of pre-trained models



NLP: Natural Language Processing



CV: Computer Vision



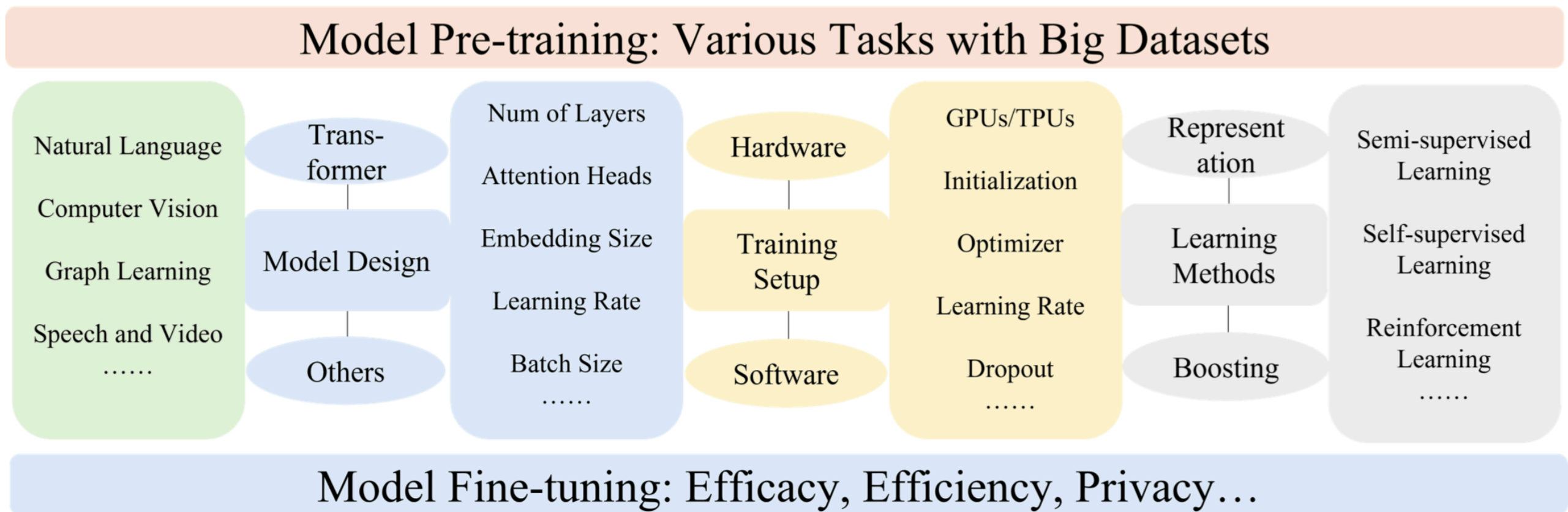
Graphs: Graph Learning (not covered here)



Unified Pre-trained Models

# Types of pre-trained models

Depending on the type of dataset (text, images, etc..) there are many choices to be done:



How do we pre-train?

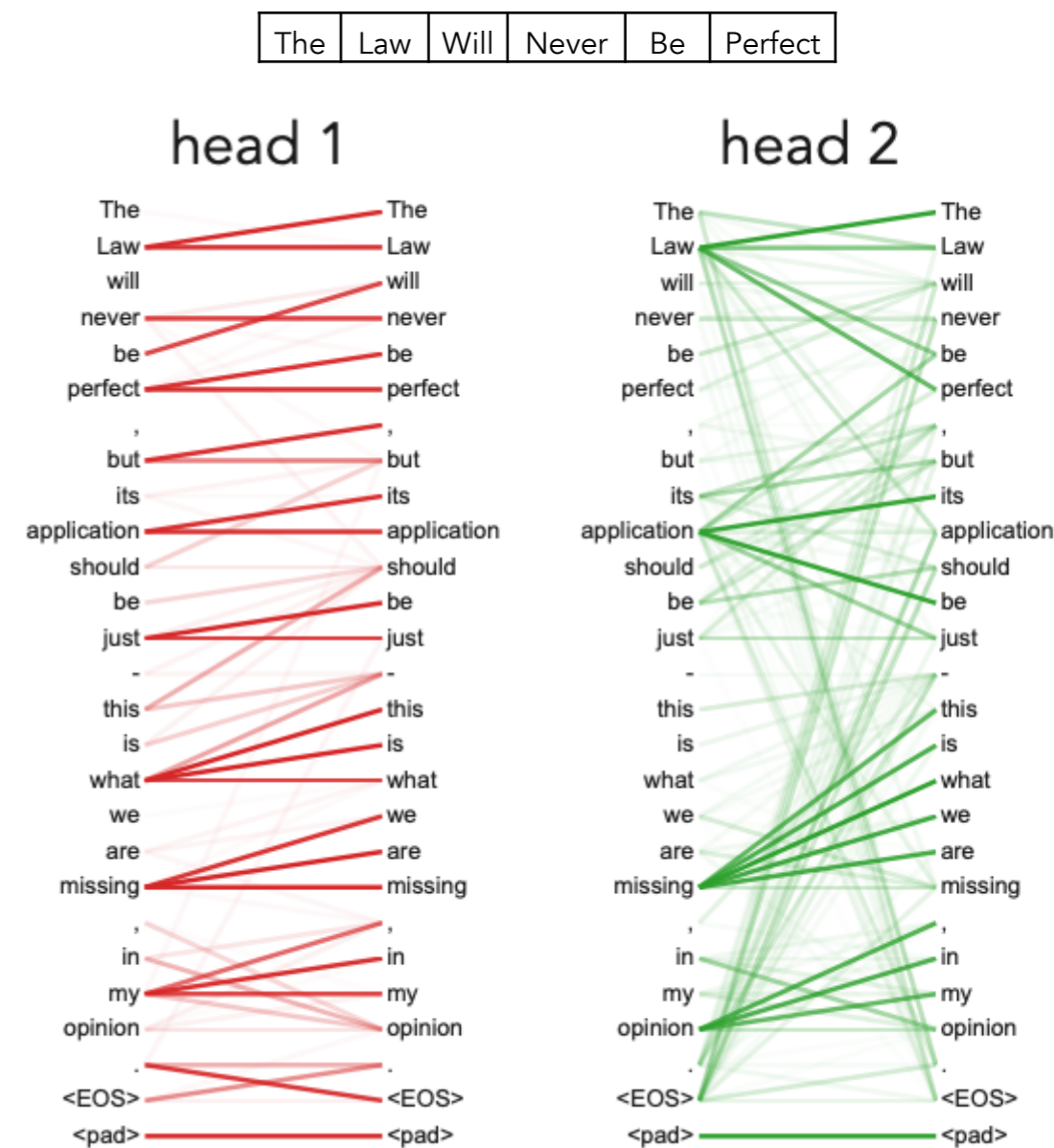
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# Pre-training: Natural Language Processing

- **Mask Language Modelling (MLM):** mask some words randomly in the input sequence and predict them back.
- **Denoising AutoEncoder (DAE):** Add noise to the original text and reconstruct the original input.
- **Replaced Token Detection (RTD):** replace tokens with other random tokens and discriminate which tokens have been replaced.

## Sentences (not covered here):

- **Next Sentence Prediction (NSP):** binary classification task. Predict whether a given sentence is the direct continuation of a preceding sentence.
- **Sentence Order Prediction (SOP):** binary or multi-classification task. It learns to determine the correct order of a given set of sentences



"Attention is all you Need" Vaswani 2017

# Pre-training NLP: Mask Language Modelling

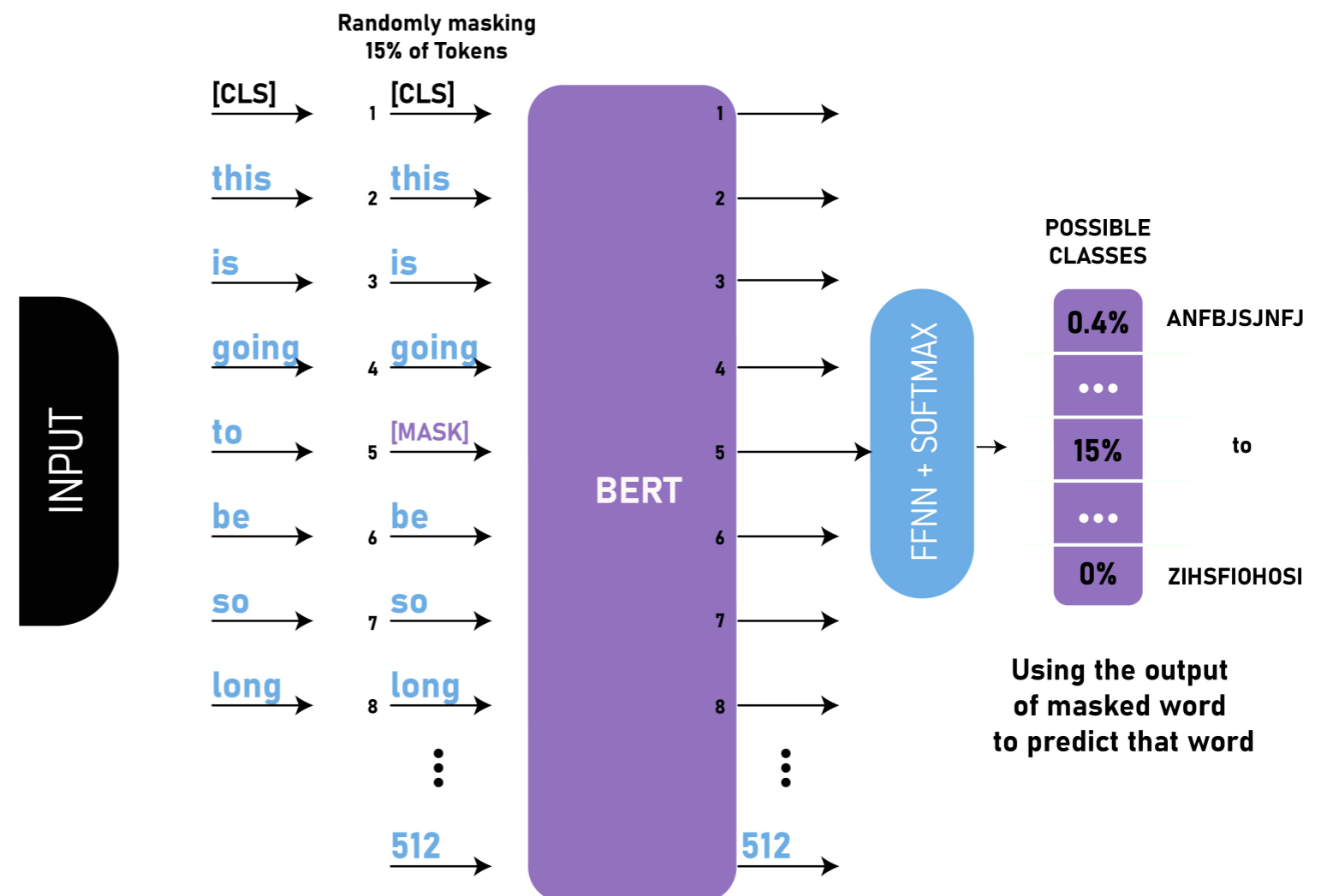
## How Does It Work?

- **Input Text:** Take a large corpus of text.
- **Masking:** Randomly mask a portion of the tokens in the input text (typically 15%).
- **Model Training:** Train the model to predict the masked tokens based on the surrounding context.

Example model: BERT  
(Bidirectional Encoder Representations from Transformers)

**Contextual Understanding:**  
Models learn bidirectional context, understanding the meaning of words in relation to their surrounding text.

**Bidirectional Context:** Unlike traditional language models that predict the next word, masked language models learn from both left and right contexts.

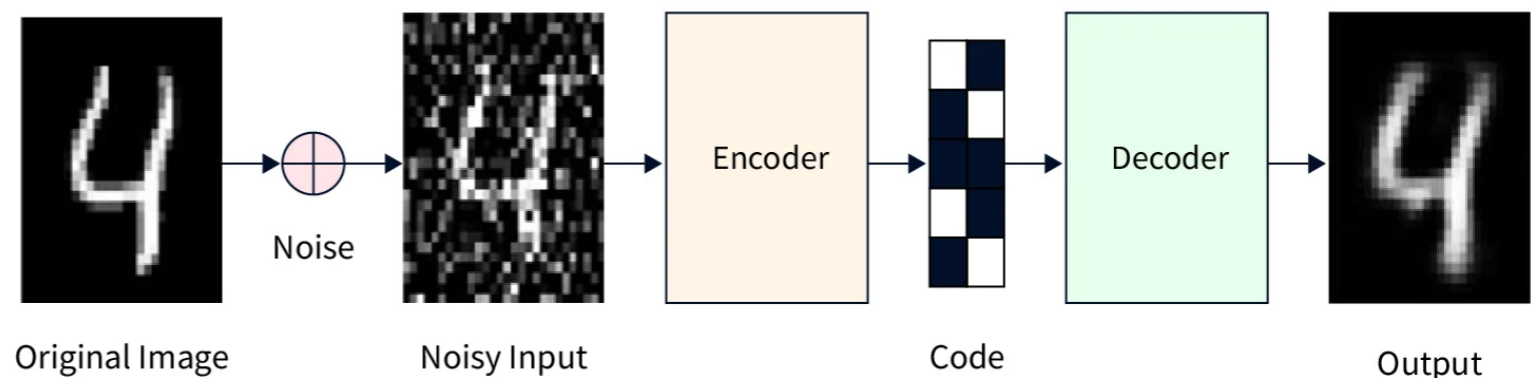


# Pre-training: Denoising AutoEncoder

## How Does It Work?

- **Input Corruption: Introduce noise to the input data (e.g., Gaussian noise, masking).**
  - Example: Original input: [0.1, 0.2, 0.3, 0.4] -> Noisy input: [0.1, 0.0, 0.3, 0.0].
- **Encoding:** The encoder processes the noisy input to produce a compressed representation.
  - This step captures the essential features while ignoring the noise.
- **Decoding:** The decoder reconstructs the original input from the latent representation.
  - It aims to remove the noise and recover the clean data.
- **Loss Calculation:** Compute the loss by measuring the difference between the original input and the reconstructed output (e.g. Mean Squared Error)

**Robust Feature Learning:**  
Learns to extract robust features that are resilient to noise.



## Noise Handling:

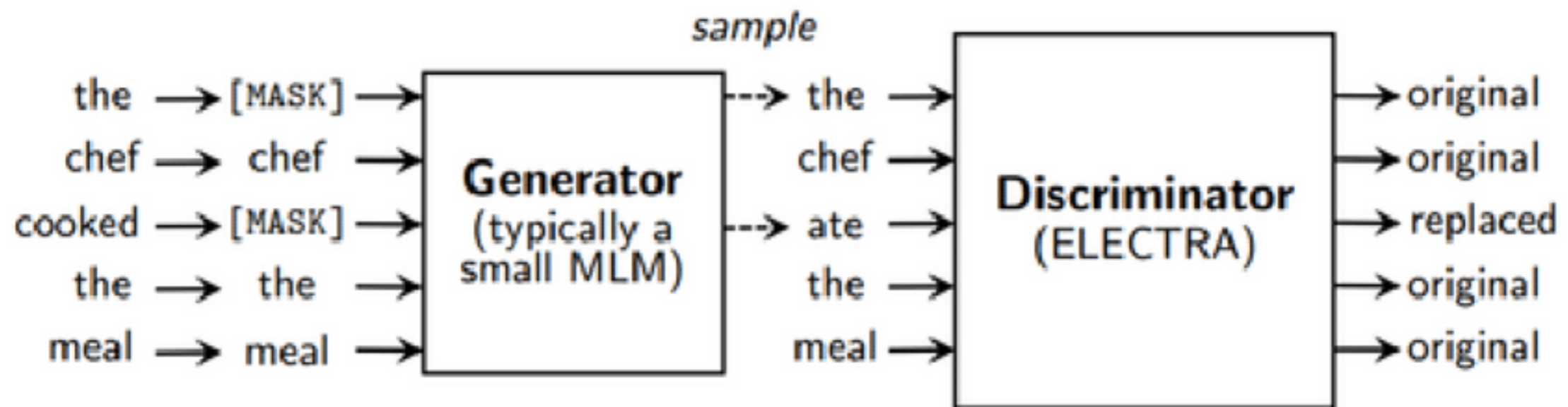
Effective in learning representations that are less sensitive to noise and corruption in the input data.

SCALER  
Topics

# Pre-training: Replaced Token Detection

## How Does It Work?

- **Input Preparation:** Randomly select some tokens in the text to be replaced with incorrect tokens (e.g., tokens from a different context or completely random tokens).
- **Task Formulation:** The model is given the modified text and tasked with identifying which tokens have been replaced.
  - Example:
    - Original Sentence: "The cat sat on the mat."
    - Modified Sentence: "The cat sat on the dog."
- **Loss Function:** Typically involves a binary classification loss where the model predicts whether each token is correct or replaced.

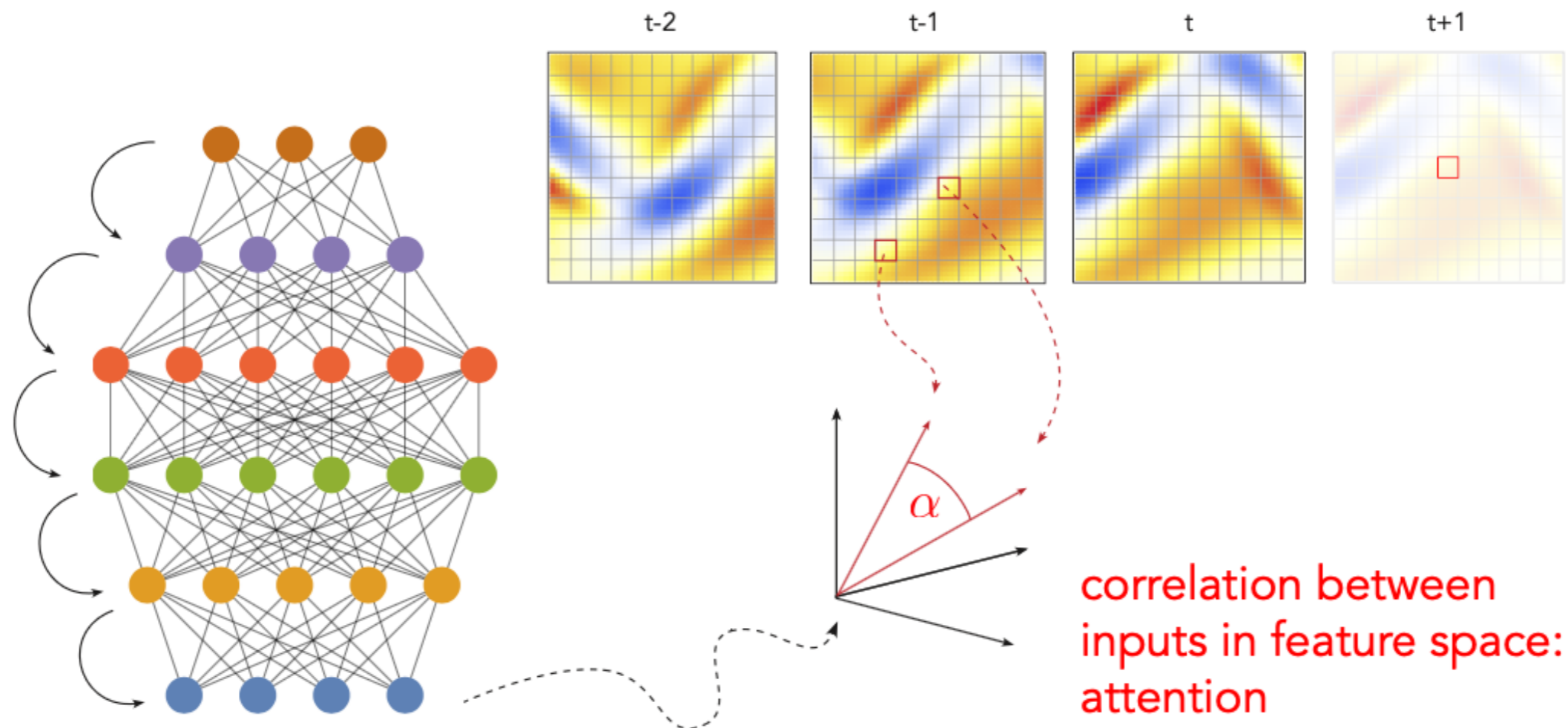


# Pre-training: Computer Vision

- Data reconstruction tasks
- Specific pretext tasks
- Frame order tasks (not covered)
- Miscellaneous

## **Complication: what is a token?**

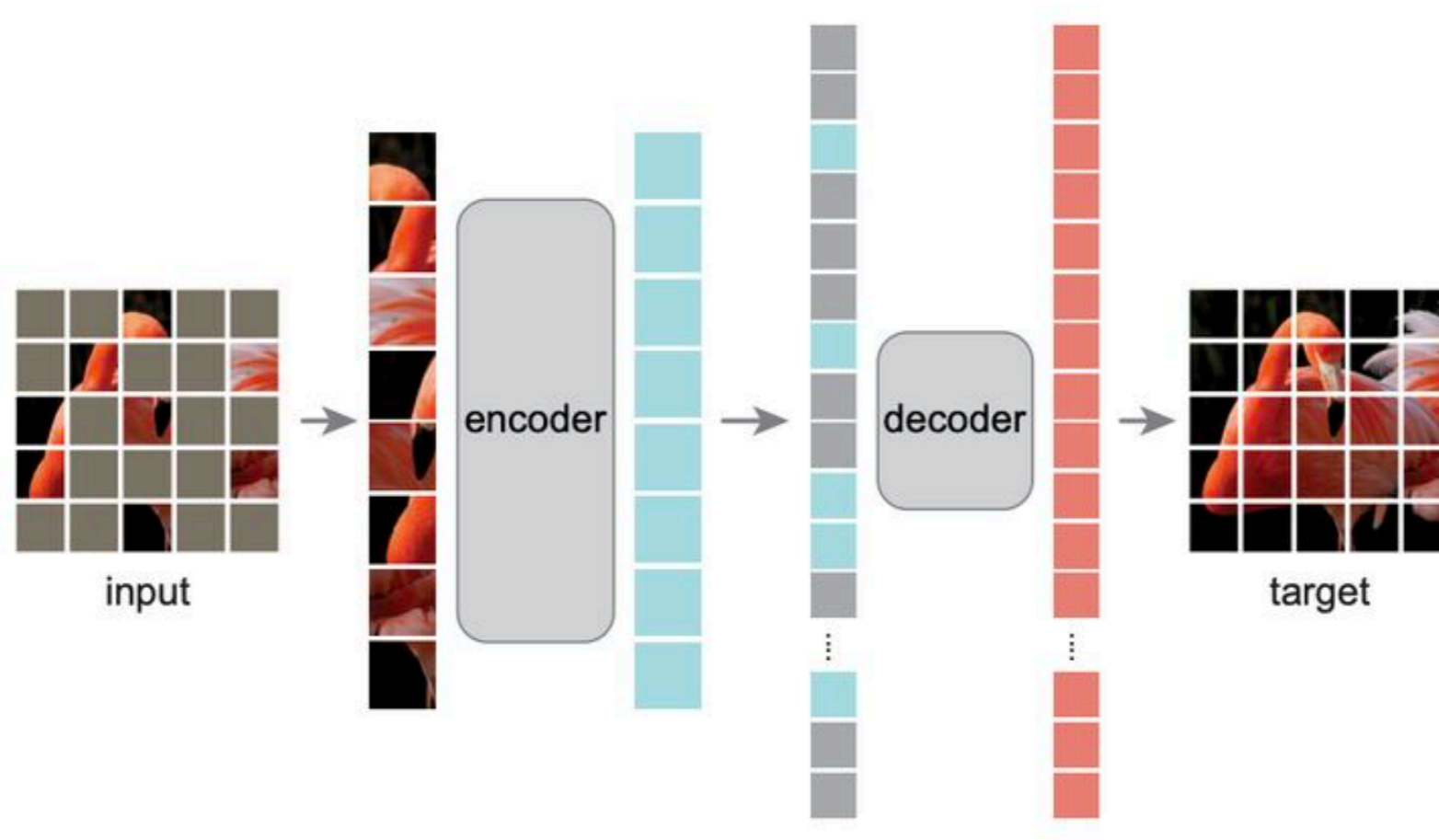
*Single pixels carry too little information.  
trade-off between token-size and information in  
each token*





# Pre-training: Data reconstruction tasks

**Image Inpainting:** Learn to fill in missing parts of an image.  
The model is trained to predict missing regions given the context of the surrounding pixels.

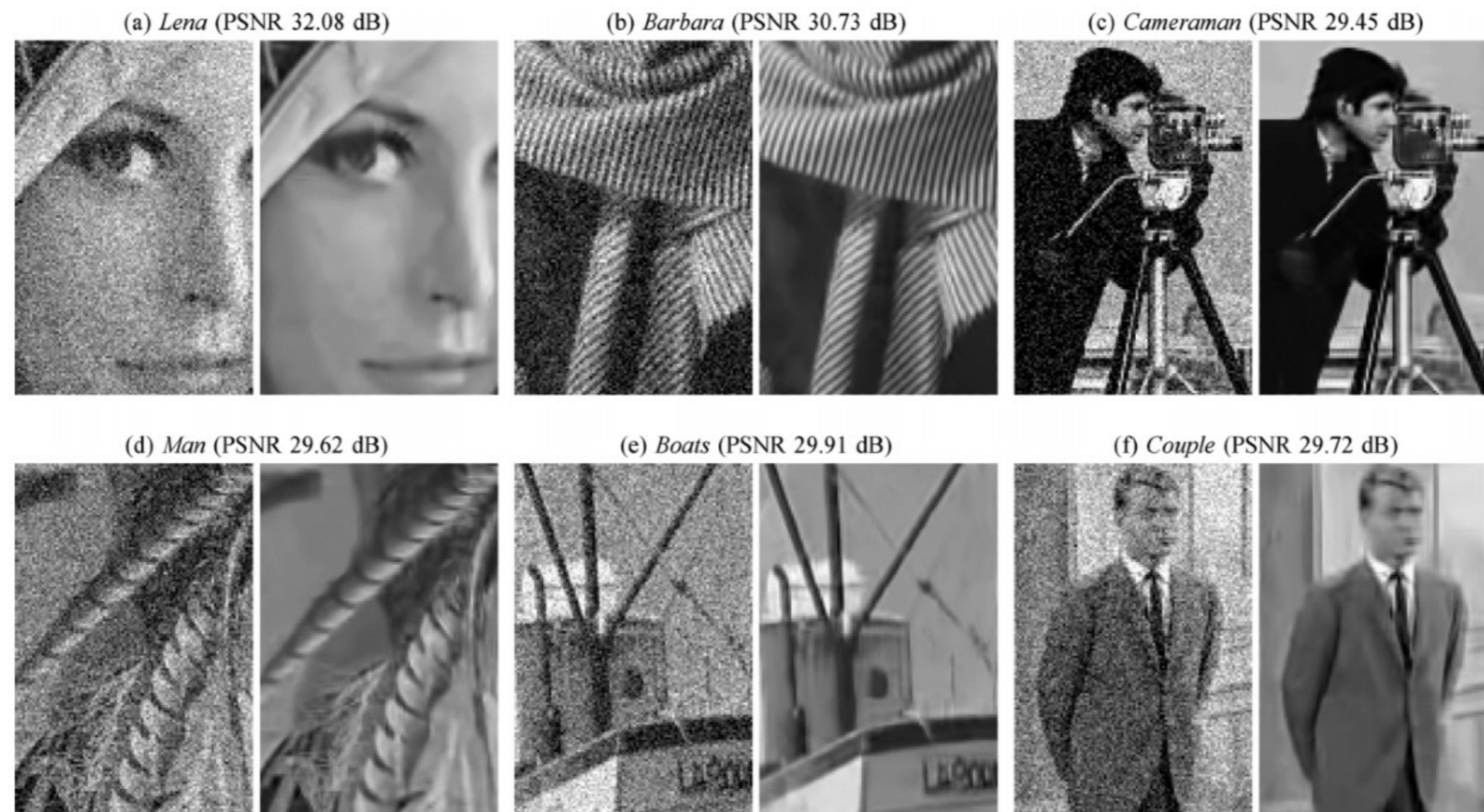


**Example:** Removing a portion of an image and training the model to reconstruct the removed region.

# Pre-training: specific pretext tasks

---

**Image Denoising: Remove noise from an image, generating a clear version from a noisy input.**

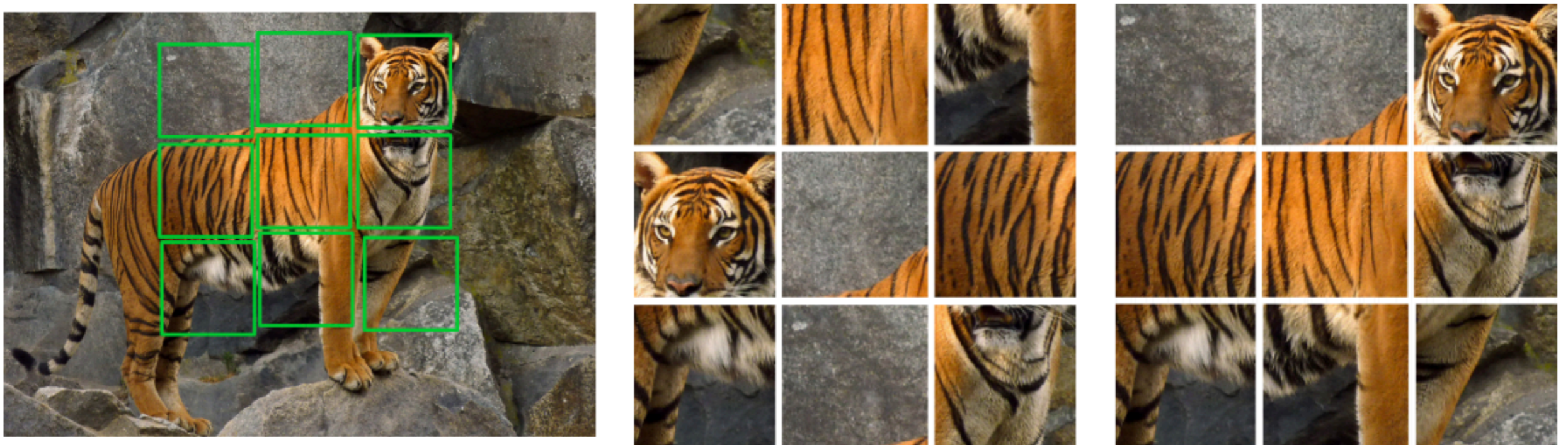


**Example:** A noisy image is input into the model, which predicts and outputs a clean, noise-free version.  
*(Different from diffusion models)*

# Pre-training: specific pretext tasks

---

**Jigsaw Puzzle Solving: Divide images into patches, shuffle them, and train the model to predict the correct arrangement of the patches.**

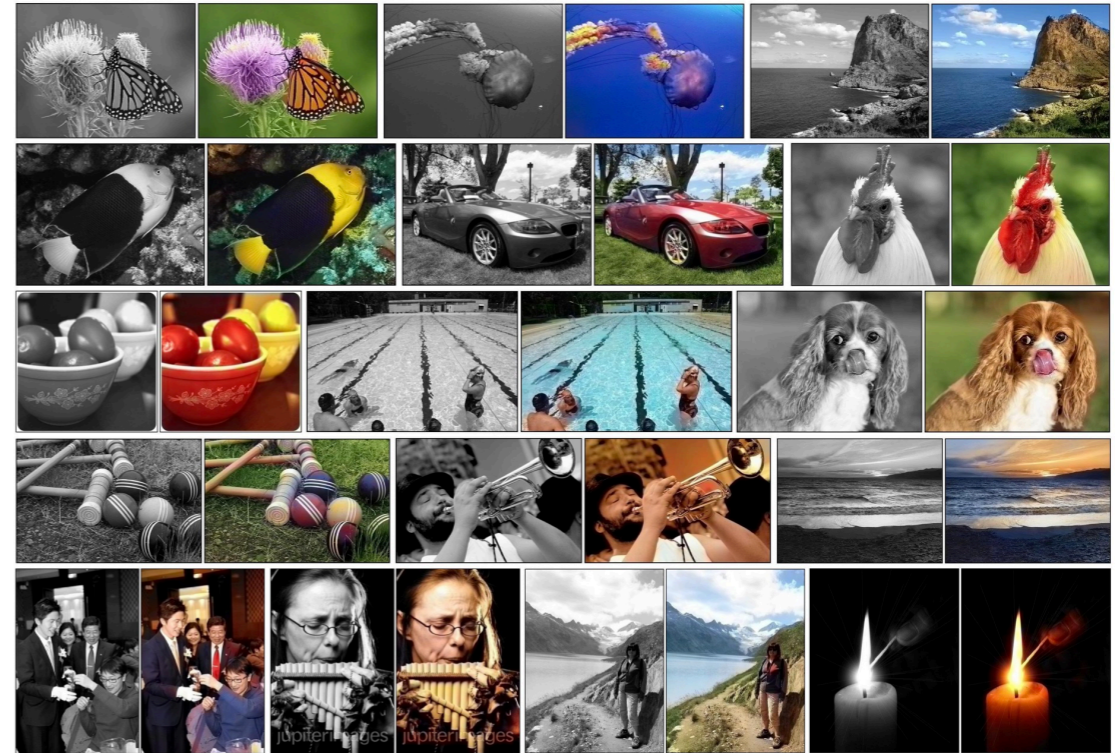


**Example:** Splitting an image into a 3x3 grid, shuffling the patches, and training the model to solve the puzzle.

# Pre-training: other specific pretext tasks

**Colourisation: Convert grayscale images to color. The model learns to predict the colours from the grayscale input.**

- **Example:** Training the model to colourise black and white images.



**Style Transfer: Transfer artistic styles from one image to another while preserving the original content. The model learns to separate and apply style and content features.**

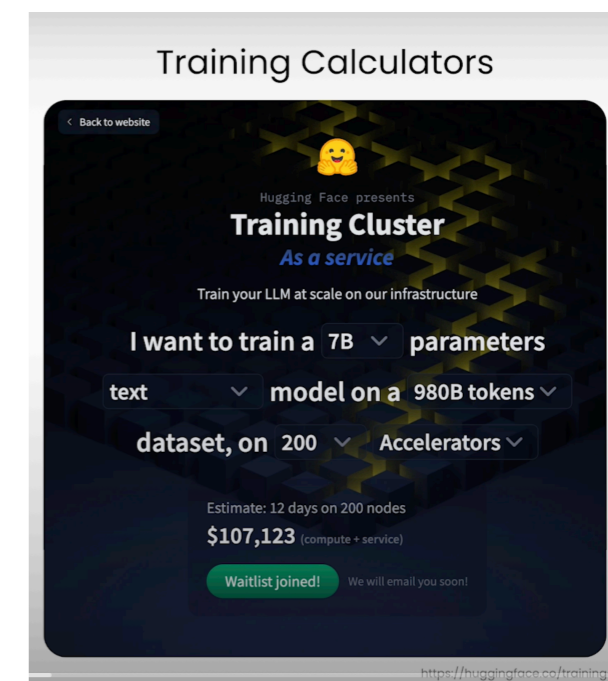
- **Example:** Applying the style of a famous painting to a photograph.

# Hardware and footprint

## Computing Resources: Distributed computing

- **High-Performance GPUs:** Foundation models often require GPUs or TPUs.
  - **Example:** NVIDIA A100, Google TPU v4.
- **High RAM and storage capacities** are needed to manage large datasets and model checkpoints.
  - hundreds of terabytes of storage and several terabytes of RAM.

## Training cost calculator



## CO2 Equivalent Emissions (Tonnes) by Selected Machine Learning Models and Real Life Examples, 2022

Source: Luccioni et al., 2022; Strubell et al., 2019 | Chart: 2023 AI Index Report

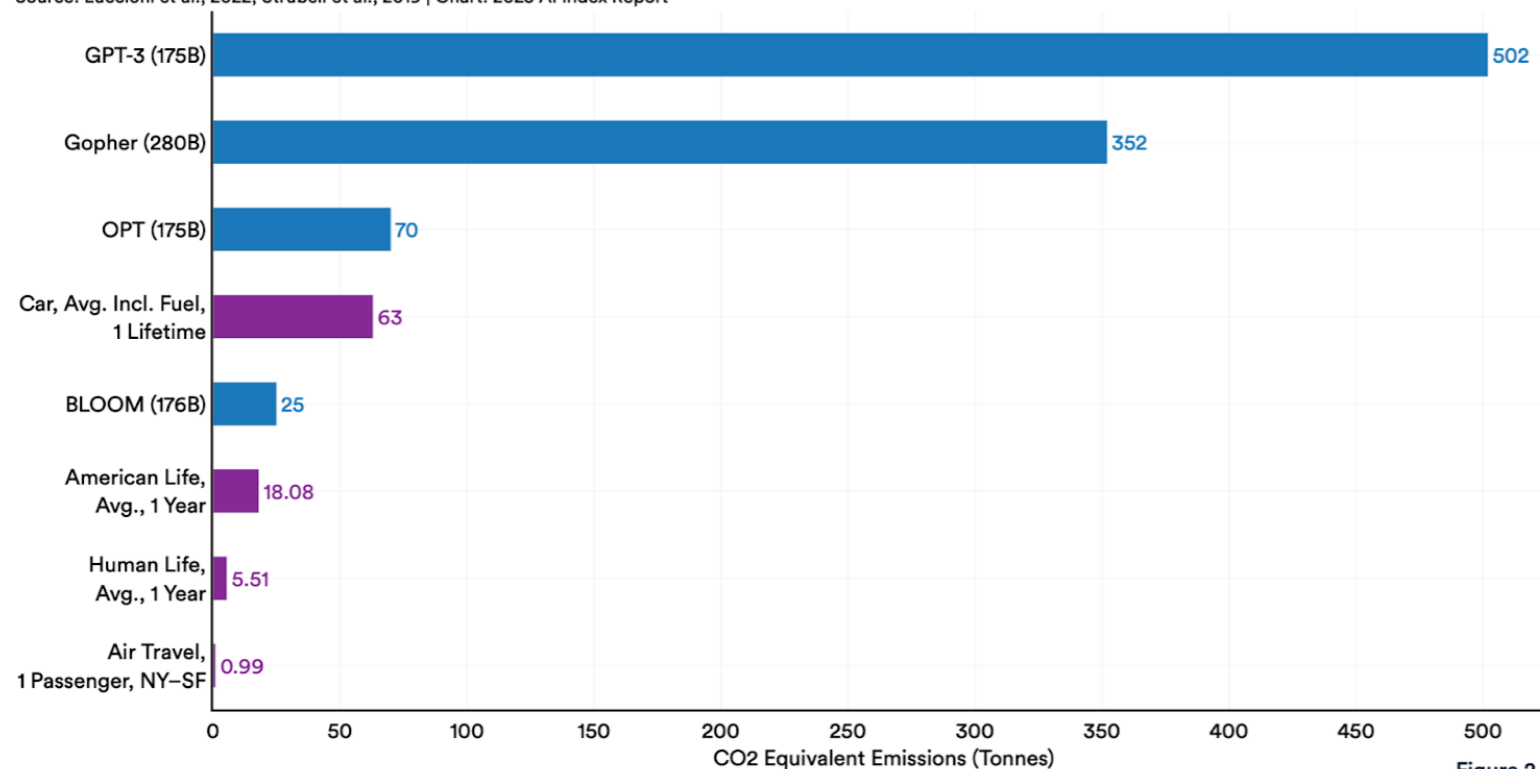
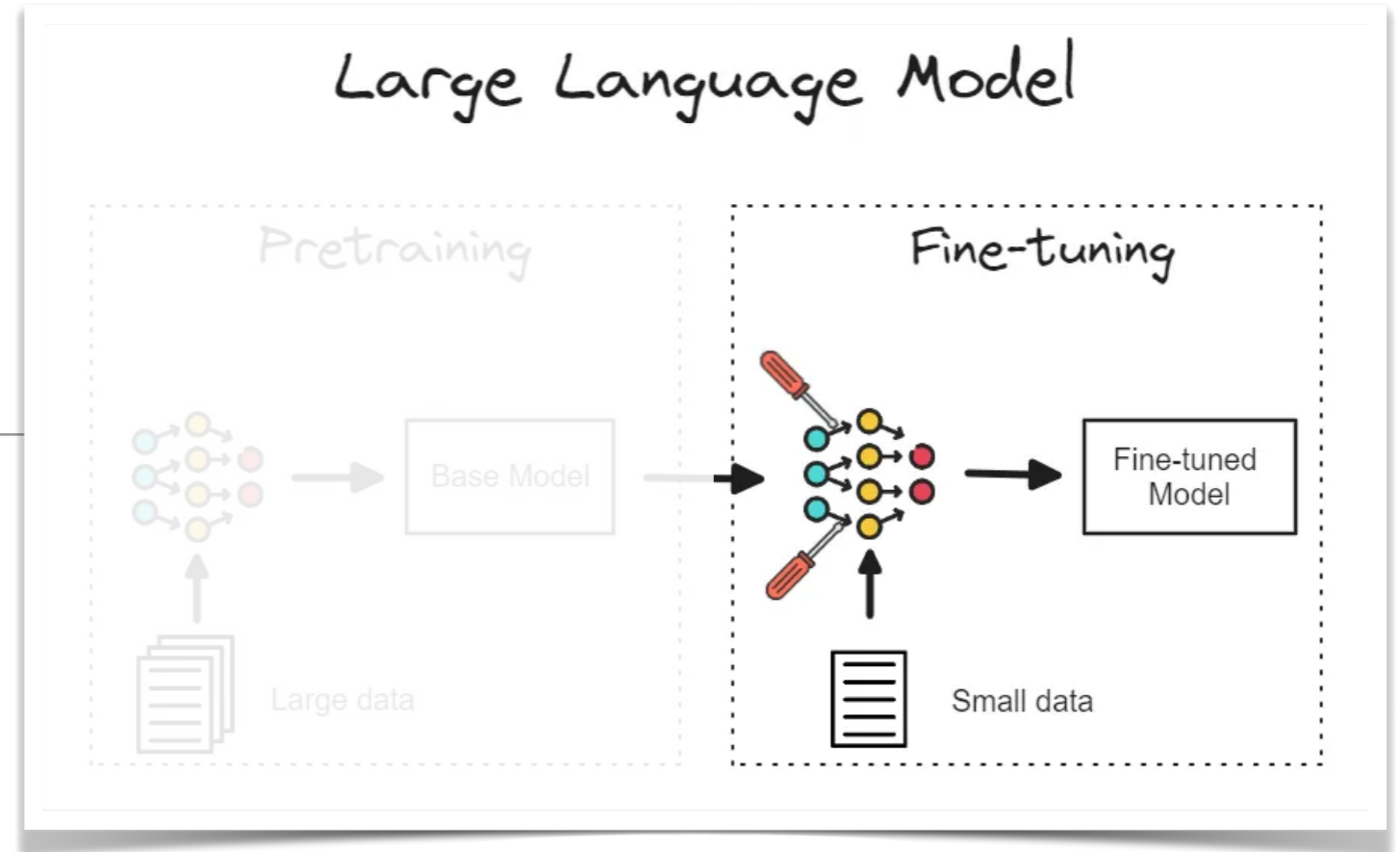


Figure 2.8.2

# Fine-tuning

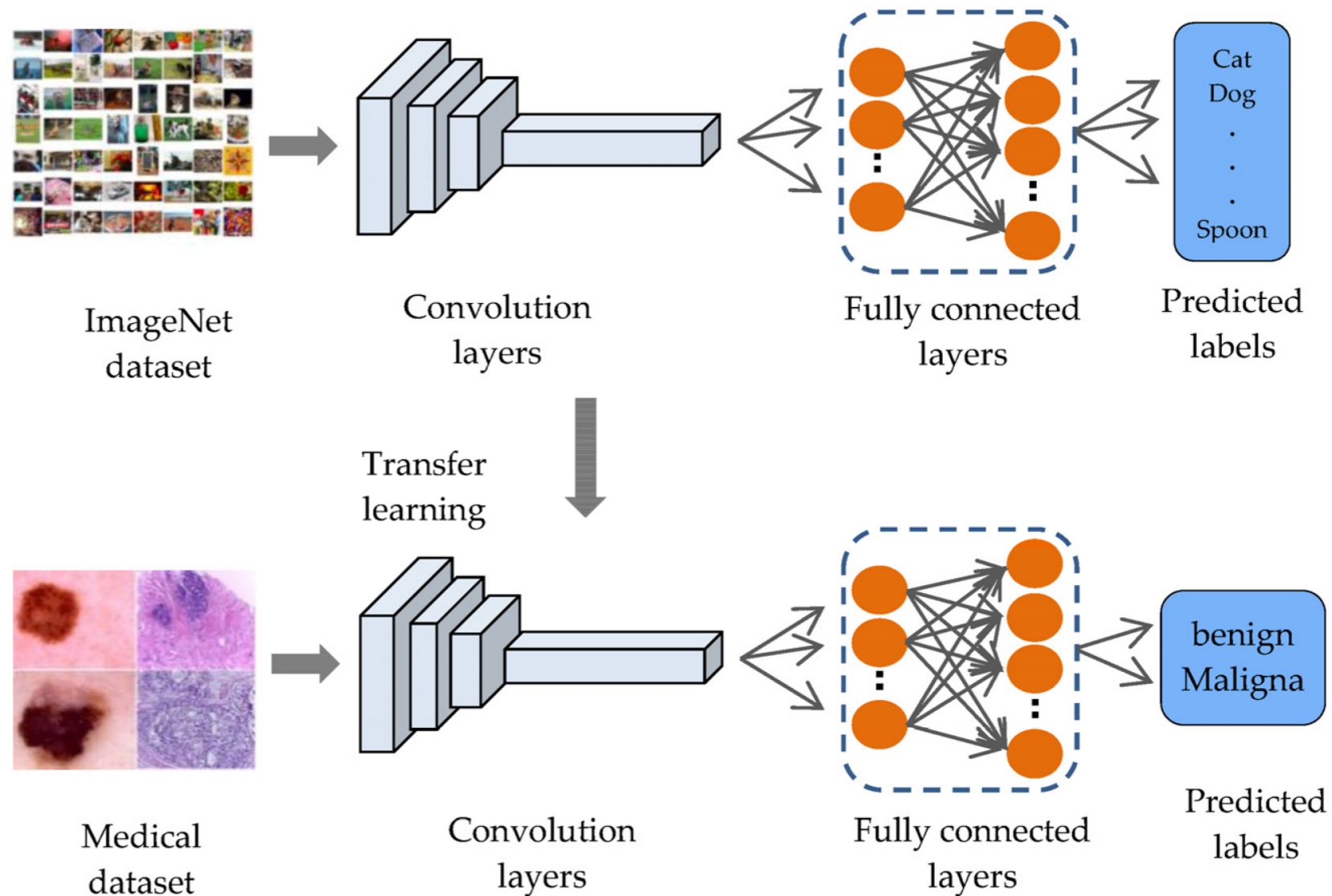
*Basic concepts*



# Introduction

## Fine-tuning:

**“the process of adapting a pre-trained model to a specific task by training it on a smaller, task-specific dataset.”**



leverage the knowledge learned from a large, general dataset and refine the model's performance on a more specific or targeted problem.

# Fine tuning - overview

---

1.

## **Pre-Trained Model:**

Use a model that has been pre-trained on a large dataset (e.g., ImageNet for images, large text corpora for NLP).

2.

## **Replace the Final Layers:**

Replace or modify the final layers of the model to fit the specific output requirements of the target task.

**Example:** Change the output layer from 1000 classes (ImageNet) to 10 classes (custom dataset).

3.

## **Continue the training on the Target Dataset:**

**Task:** Fine-tune the model by training it on a smaller, task-specific dataset.

**Optimisation:** Use a smaller learning rate to avoid overwriting the pre-learned features.

4.

## **Evaluate and Adjust:**

**Monitoring:** Evaluate the model's performance on the validation set.

**Tuning:** Adjust hyper-parameters and training duration as needed.



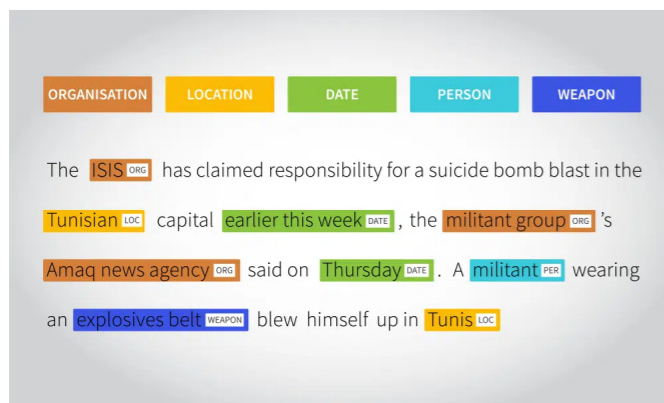
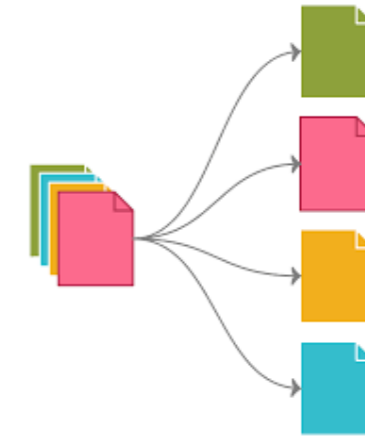
# Fine-tuning in NLP - examples

## Text Classification:

- **Task:** Classify movie reviews as positive or negative.
- **Example:** Using a pre-trained BERT model, fine-tune it on a dataset of labeled movie reviews to classify sentiment.

### Steps:

1. Load a pre-trained BERT model.
2. Replace the final classification layer with a binary classifier.
3. Train the model on the labeled sentiment dataset.



## Named Entity Recognition (NER):

- **Task:** Identify entities like names, dates, and locations in text.
- **Example:** Fine-tuning a pre-trained RoBERTa model on a labeled NER dataset such as CoNLL-2003.

### Steps:

1. Load a pre-trained RoBERTa model.
2. Replace the output layer with a sequence tagging head.
3. Train the model on the NER dataset.

## Text Generation (e.g. expert chat-bots):

- **Task:** Generate coherent text based on a prompt.
- **Example:** Fine-tuning GPT-3 or GPT-2 on a specific genre of text (e.g., technical manuals, creative writing).

### Steps:

1. Load a pre-trained GPT model.
2. Fine-tune on a corpus of text specific to the desired genre.
3. Use the model to generate text in the target domain.

Describe the ATLAS reconstruction software

GPT-3

The CERN ATLAS reconstruction software processes raw data from particle collisions, converting it into meaningful physical information to analyse particle interactions and properties in the Large Hadron Collider experiments.

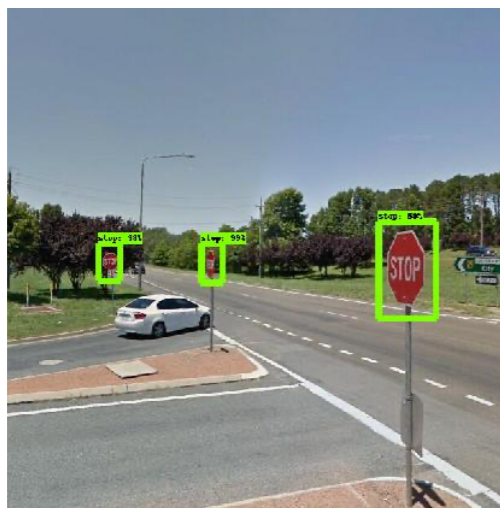
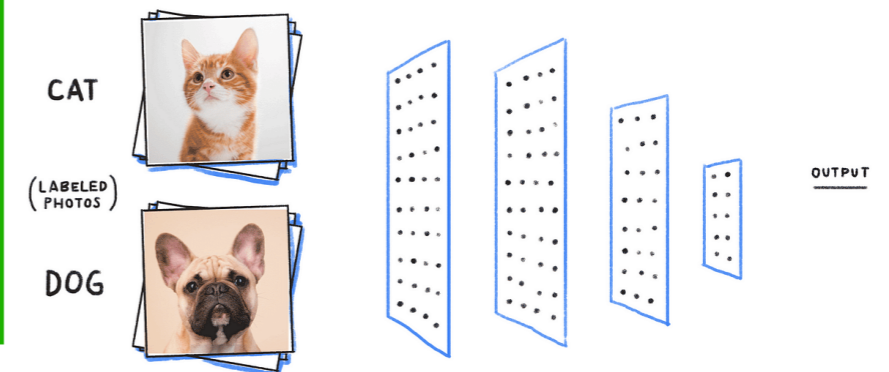
# Fine-tuning in Computer Vision - examples

## Image Classification:

- **Task:** Classify images into categories (e.g., cats vs. dogs).
- **Example:** Fine-tuning a pre-trained ResNet model on a dataset of pet images.

### Steps:

1. Load a pre-trained ResNet model.
2. Replace the final classification layer to match the number of target classes.
3. Train the model on the pet image dataset.



## Object Detection:

- **Task:** Detect and localise objects in images.
- **Example:** Fine-tuning a pre-trained YOLOv3 or Faster R-CNN model on a custom dataset of street signs.

### Steps:

1. Load a pre-trained object detection model.
2. Adjust the model for the specific number of object classes.
3. Train on the labeled object detection dataset.

## Image Segmentation:

- **Task:** Segment objects within an image.
- **Example:** Fine-tuning a pre-trained U-Net model on medical imaging data to segment tumours.

### Steps:

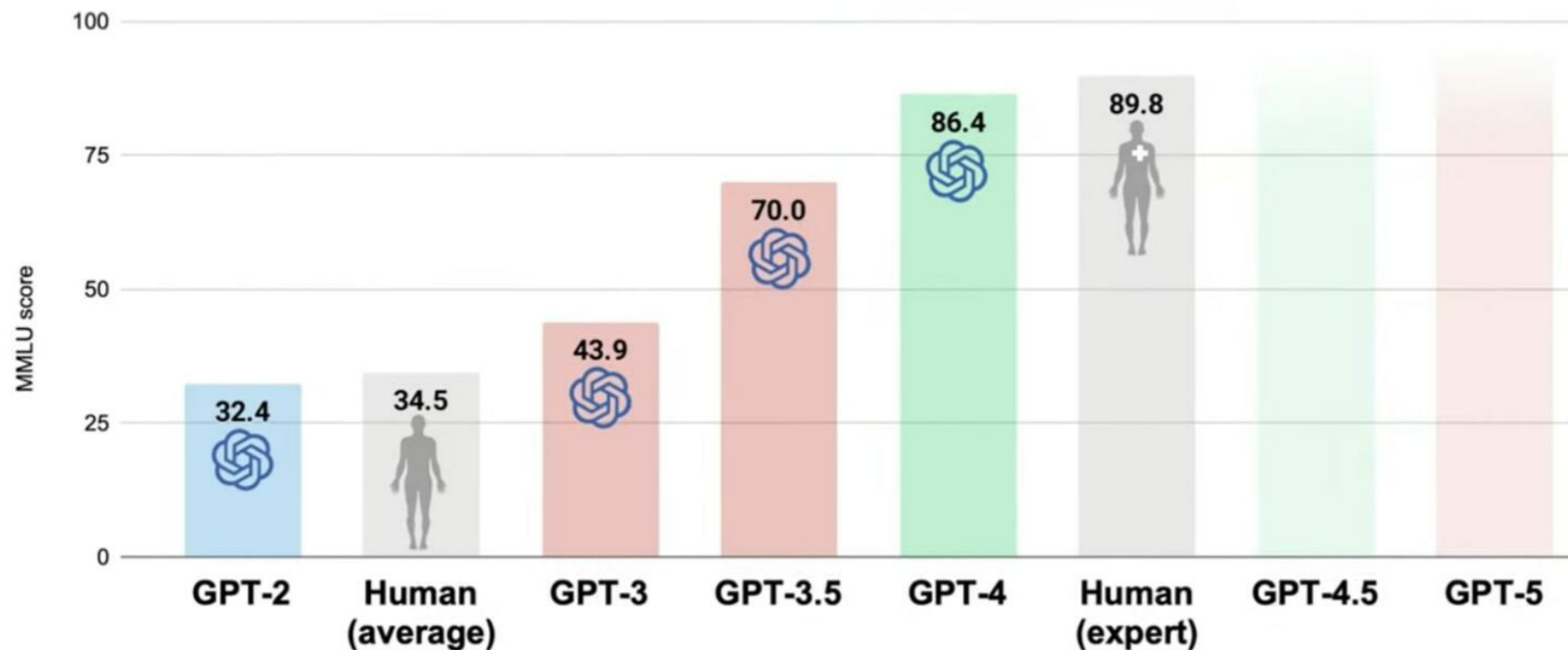
1. Load a pre-trained U-Net model.
2. Replace the output layer for segmentation tasks.
3. Train the model on annotated medical images.



# Benchmarking & model performance

## MMLU (Massive Multitask Language Understanding)

**MMLU** is a benchmark designed to quantify the model knowledge on a variety of language understanding tasks across different domains and topics (STEM, humanities, ..)



Benchmarking Metrics:

- Accuracy
- F1 Score

Subjects:

- Language
- Math
- Social Science
- Humanities
- ...

Benchmark (shots)	GPT-3.5	GPT-4	PaLM	PaLM-2-L	LLAMA 2
MMLU (5-shot)	70.0	86.4	69.3	78.3	68.9

Other evaluation metrics:

- Bilingual Evaluation Understudy (BLEU)
- ROUGE (Recall-Oriented Understudy for Gisting Evaluation).
- METEOR: explicitly sorted translation evaluation metric.
- Perplexity Perplexity is also called the degree of confusion.

$$Accuracy = \frac{(TP + TN)}{N}$$

$$F1 = \frac{2 \times Precision \times Recall}{Precision + Recall}$$

# Building a foundation model for science

---

*AtmoRep*

# The first breakthrough: weather & climate

## Large datasets:

**First time that an AI-model trained on TBs of pre-processed observations outperforms the numerical models for a 10 day forecasts**

Review Article | Published: 02 September 2015

## The quiet revolution of numerical weather prediction

Peter Bauer, Alan Thorpe & Gilbert Brunet

Nature 525, 47–55 (2015) | Cite this article

48k Accesses | 1239 Citations | 1116 Altmetric | Metrics

Perspective | Published: 22 February 2021

## The digital revolution of Earth-system science

Peter Bauer, Peter D. Dueben, Torsten Hoefler, Tiago Quintino, Thomas C. Schulthess & Nils P. Wedi

Nature Computational Science 1, 104–113 (2021) | Cite this article

18k Accesses | 94 Citations | 300 Altmetric | Metrics

Forecasting Global Weather

**The AI revolution in weather and climate modeling**

Abstract

We present a data-driven approach for forecasting global weather using graph neural networks. The system learns to step forward the current 3D atmospheric state by six hours, and multiple steps are chained together to produce skillful forecasts going out several days into the future. The underlying model is trained on reanalysis data from ERA5 or forecast data from GFS. Test performance on metrics such as Z500 (geopotential height) and T850 (temperature) improves upon previous data-driven approaches and is comparable to operational, full-resolution, physical models from GFS and ECMWF, at least when evaluated on 1-degree scales and when using reanalysis initial conditions. We also show results from connecting this data-driven model to live, operational forecasts from GFS.

DATA-DRIVEN HIGH-RESOLUTION 3D ADAPTIVE FOURIER NEURAL NETWORKS FOR WEATHER FORECASTING

medium-range solution System weather Forecast

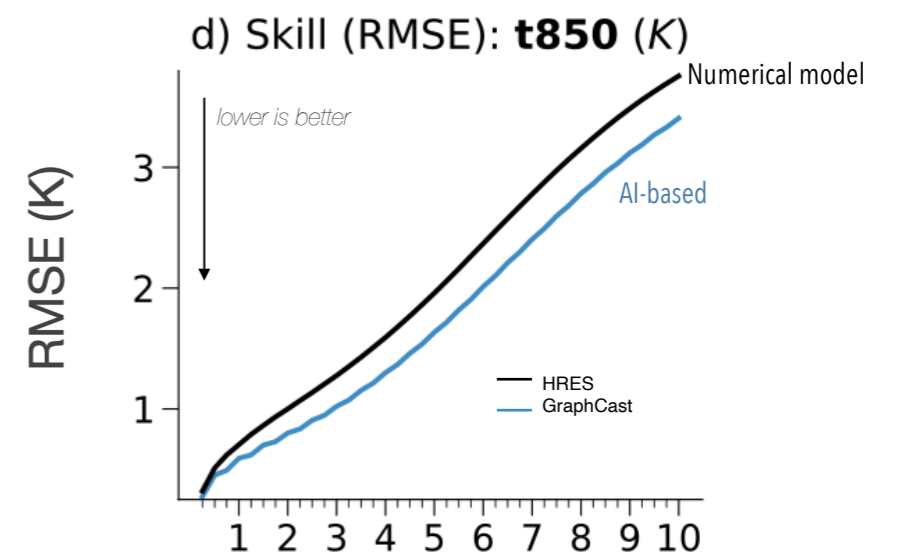
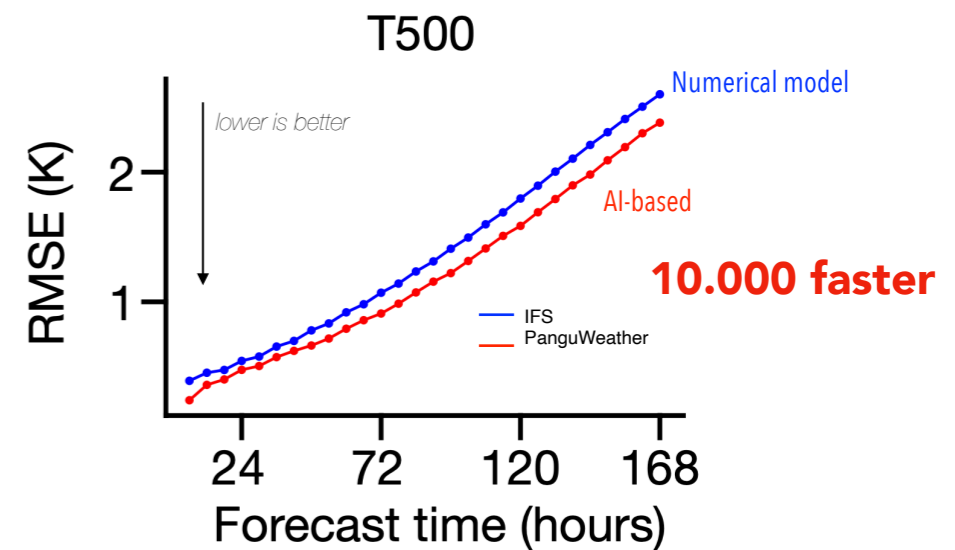
Abstract

—called “GraphCast”—which outperforms the current state-of-the-art weather forecasting system in the autoregressive model, based on graph representation, which we trained on historical weather forecasts (ECMWF’s ERA5 reanalysis) at intervals of five surface variables and on a 0.25° latitude-longitude grid, which is 10 times finer than the 2.5° grid used by HRES. Our results show GraphCast is more accurate than HRES, on 90.0% of the 2760 variables, and is 10 times faster than HRES.

1960-2010

2005-2025

2022-



**All these models have been trained on a single task: weather forecasting**

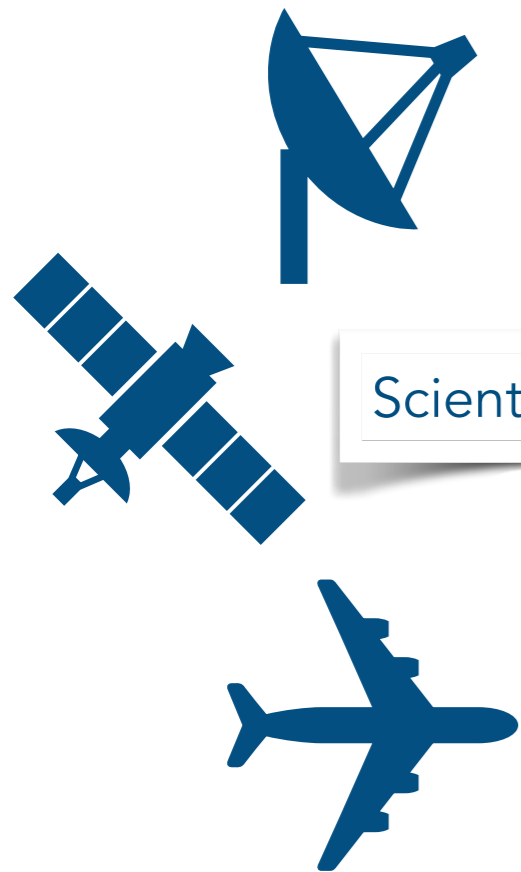
# Can we go beyond?

---

*Building foundation models for science*

# Multimodality

Data are getting **more and more multi-modal** and the **relationship between them is very complex to model**  
(and requires all kinds of approximations)



Scientific Data

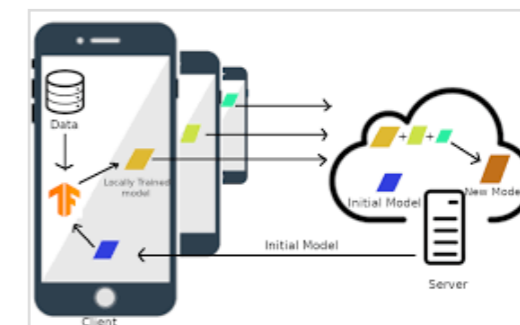
**Policy-oriented  
scientific models**

New data types

*Social media*



*Economic growth  
GDPs, birth rates...*



*Data from distributed devices*

**Conventional approaches for analysing and processing the data come to their limits**

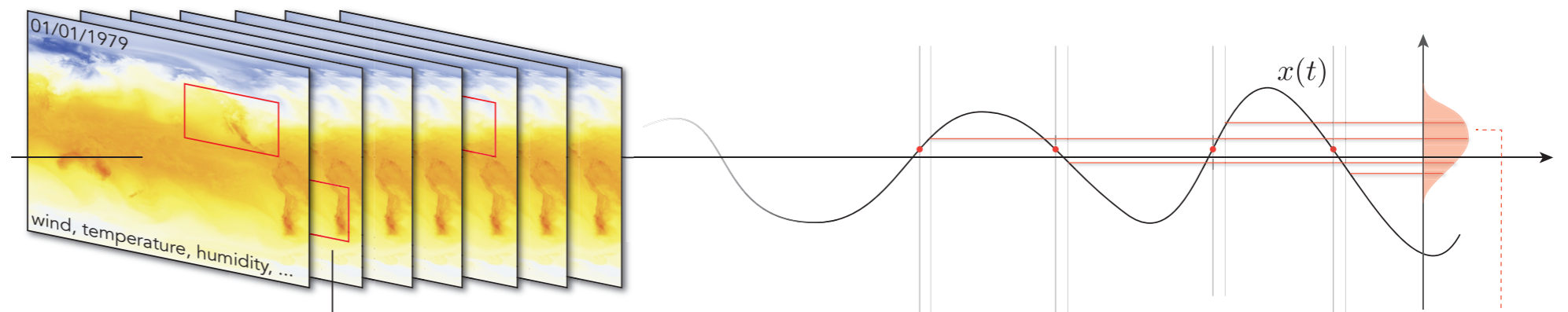
# What is a task-independent model for us?

Encapsulate the spatio-temporal evolution of a dynamical system

Probability of getting the state  $y$  given the initial state  $x$  and the auxiliary info  $\alpha$

$$p(y | x, \alpha)$$

Auxiliary info: position, absolute time etc..



Training

The distribution can be approximated by a large neural network

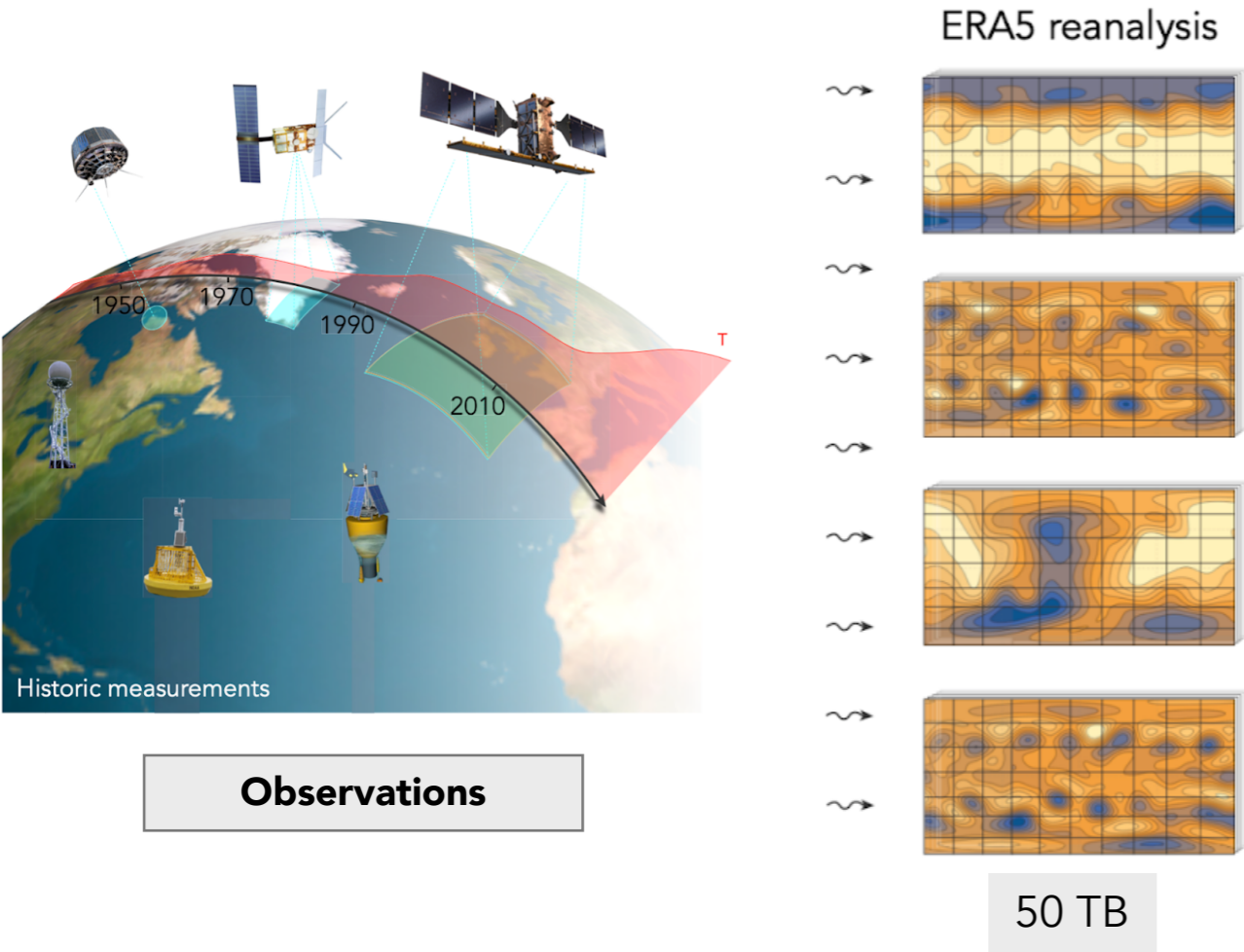
$$p(y | x, \alpha) \approx p_{\theta}(y | x, \alpha)$$

**foundation model:**

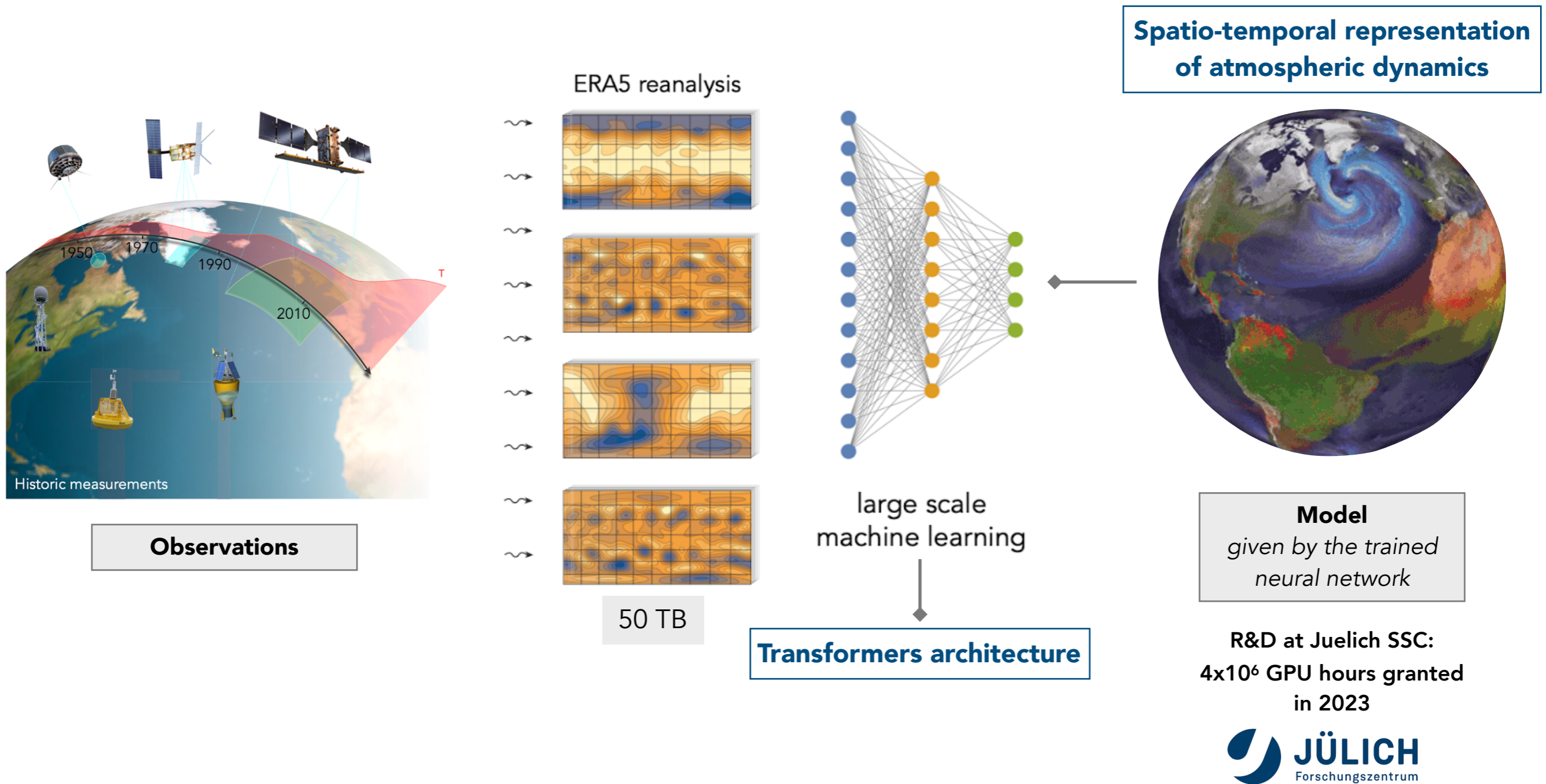
neural network that models data distribution for a specific domain



# The project in a nutshell

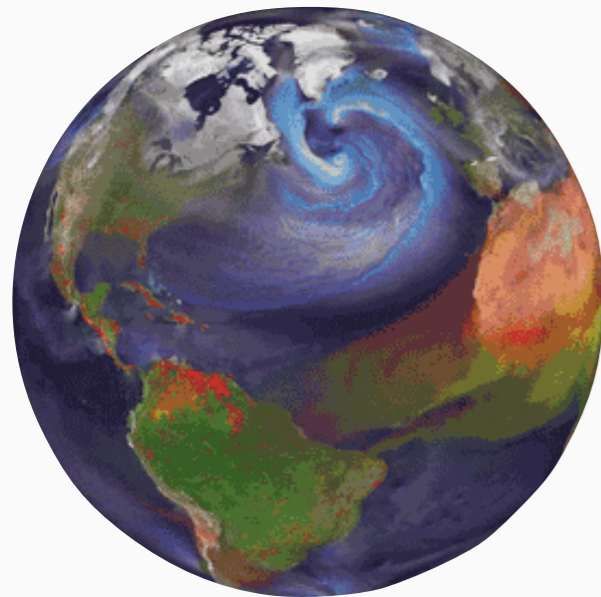


# The project in a nutshell



# Applications: one model for multiple purposes

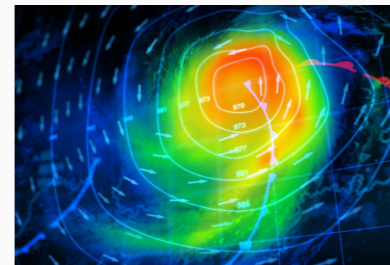
Spatio-temporal representation of atmospheric dynamics



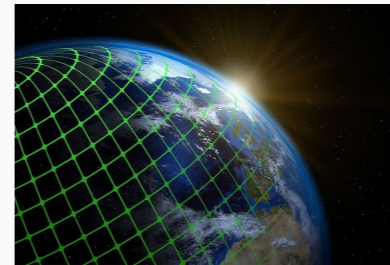
**Model**  
given by the trained neural network

Task-dependent adaptable smaller networks

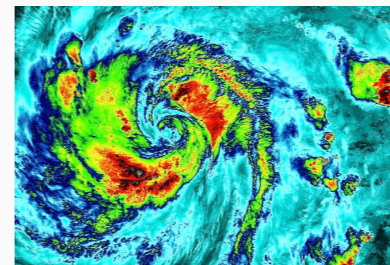
Adaptation



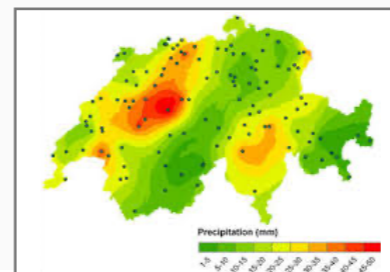
Weather predictions



Downscaling



Bias corrections



Spatio-temporal Interpolations (WIP)

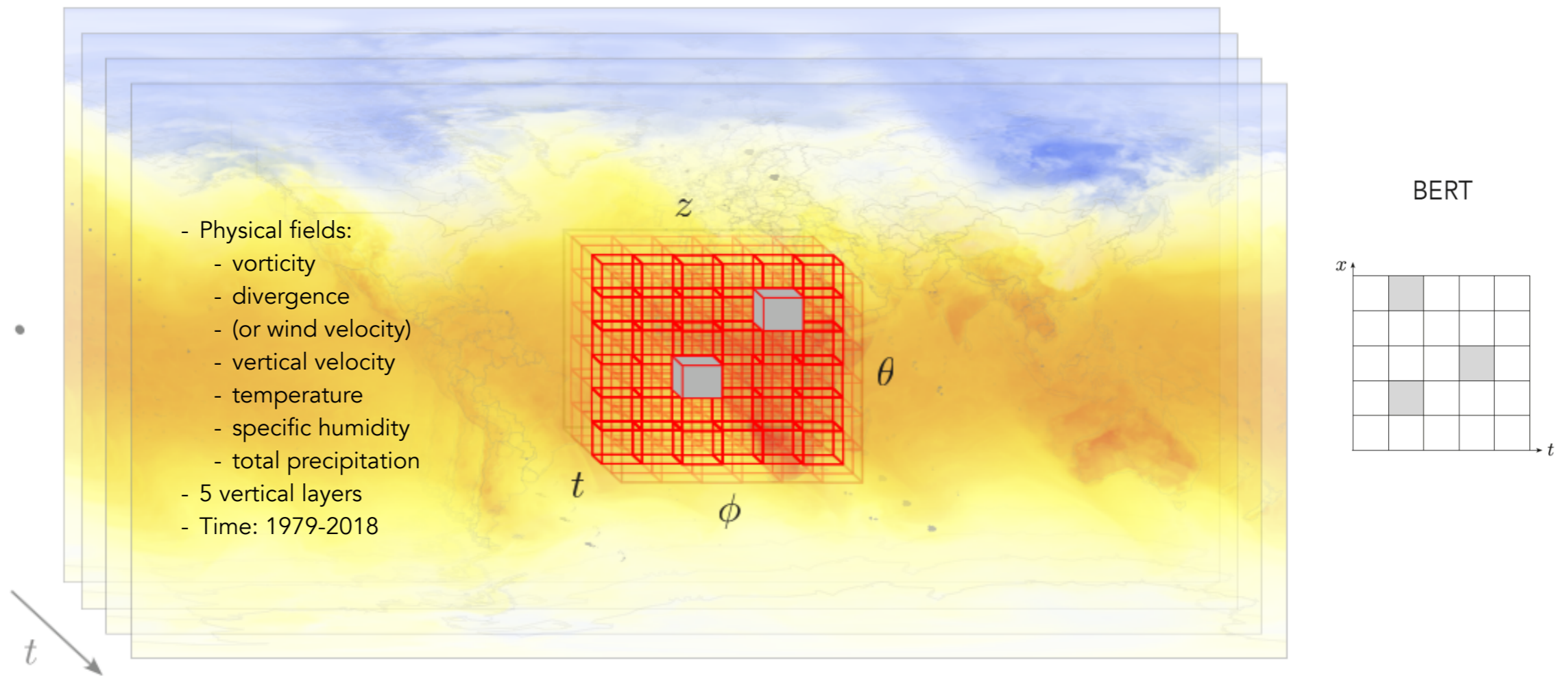


Physics-related applications = uncertainties  
Need for a **stochastic approach**

# Key Ingredient: The training protocol

Use an extension of BERT masked language modelling from self-supervised trainings in NLP

Random sampling of neighbourhoods for training



**Split cube in small space-time regions (3D cubes) → tokens**

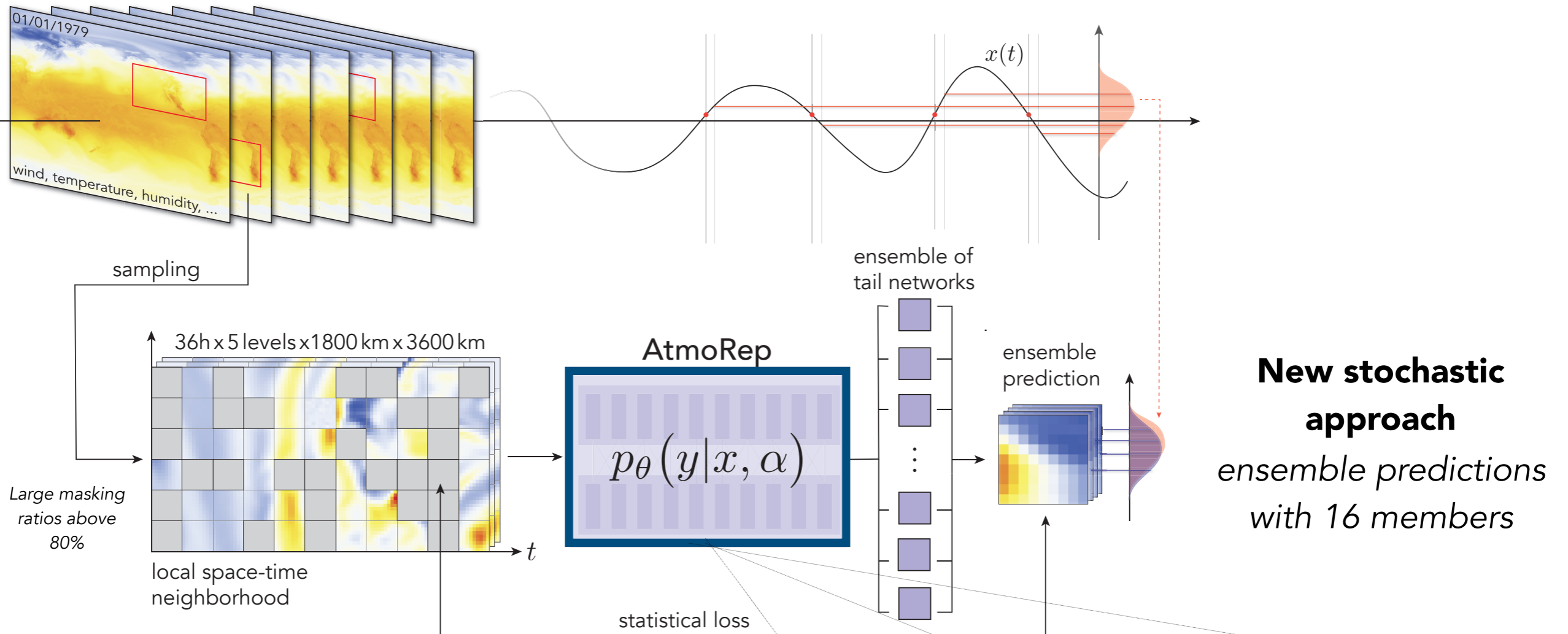
Mask random tokens within the hyper-cube and predict them

**Large masking ratios above 80% using full masking, noise and climatology**

Default: 12 x 6 x 12 tokens with 3 x 9 x 9 grid points

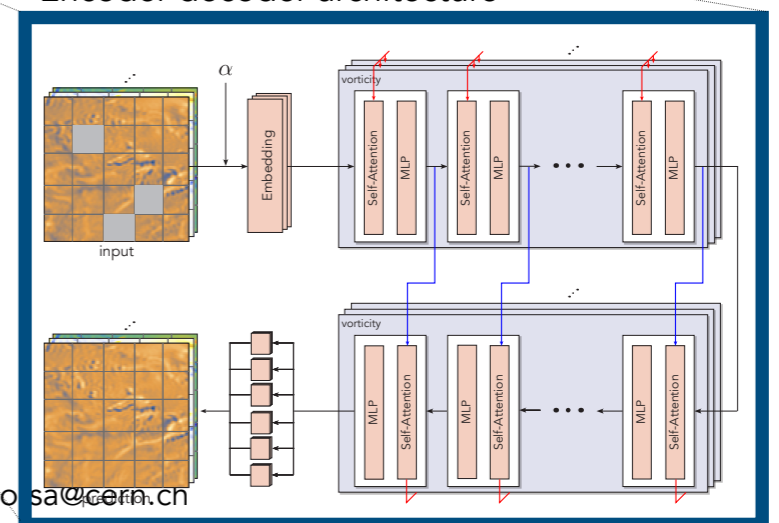
# The AtmoRep workflow

pre-processed historical observational record  $x(t)$  (ERA5 reanalysis)



**Approximate the 4-Dim PDF of the process using a Transformers-based network with 3.5 billion parameters**

Encoder decoder architecture

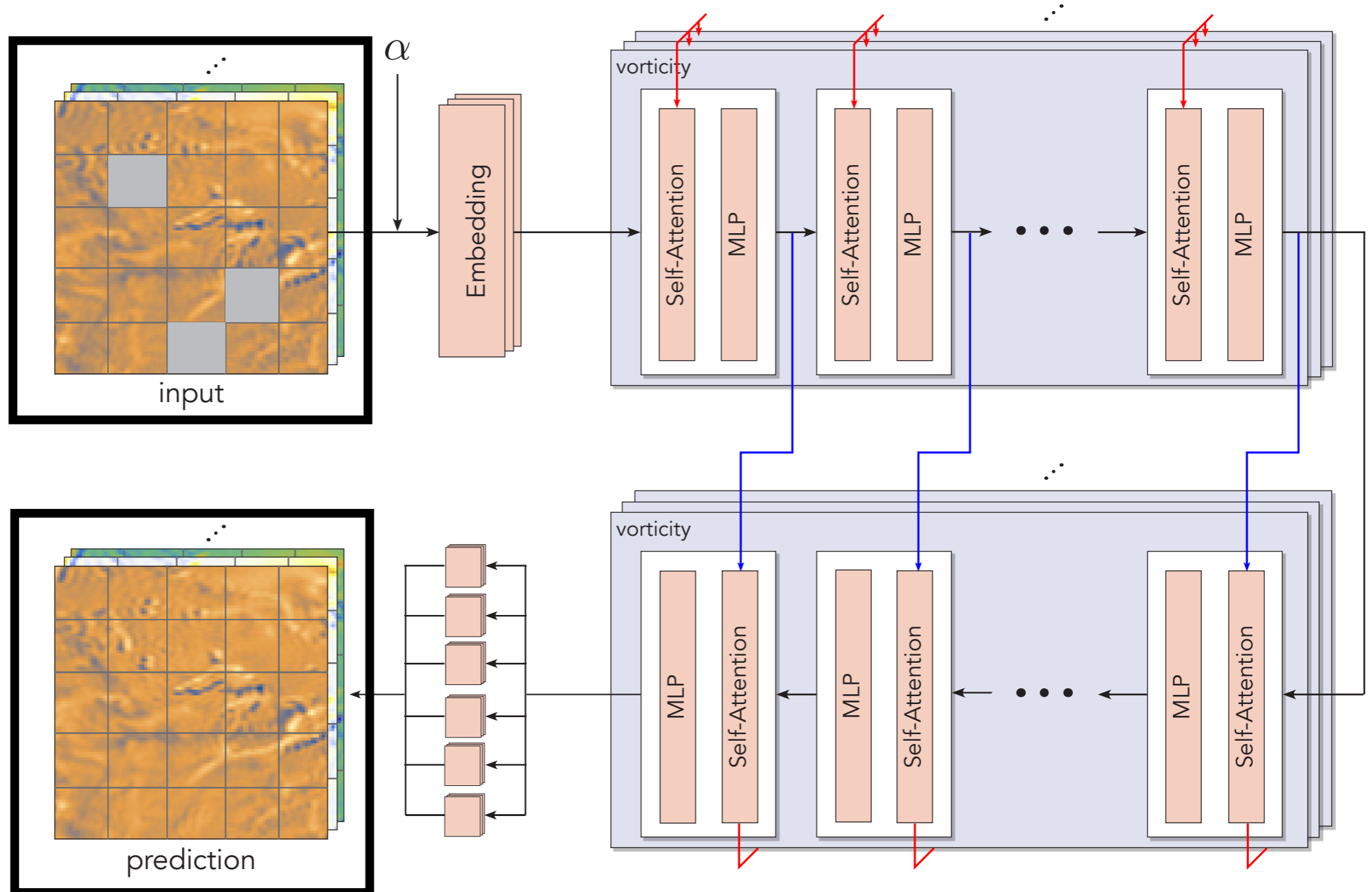


# Task-specific fine-tuning

**Goal: improve model performance for a specific task**  
**e.g. forecasting, downscaling...**

Examples:

*e.g. fix masking scheme*



OR

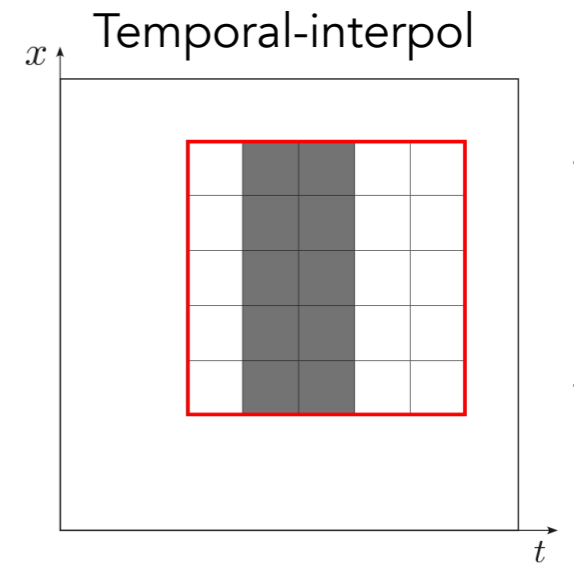
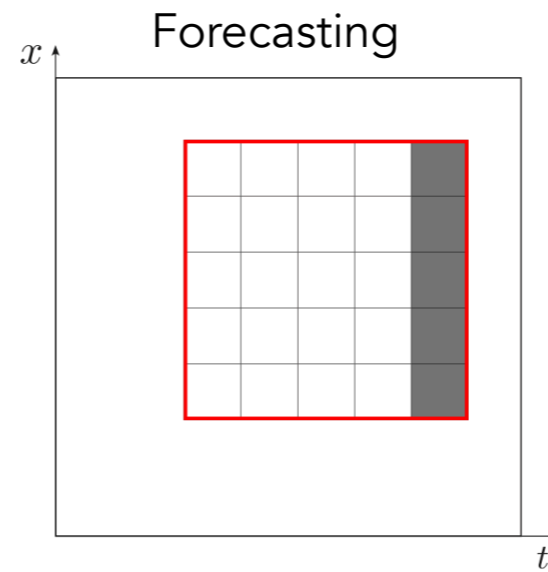
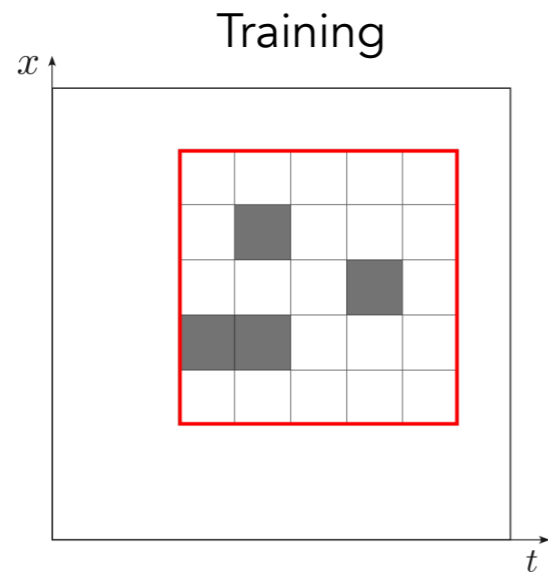
*Change target dataset*

# Task-specific fine-tuning

**Goal: improve model performance for a specific task**  
**e.g. forecasting, downscaling...**

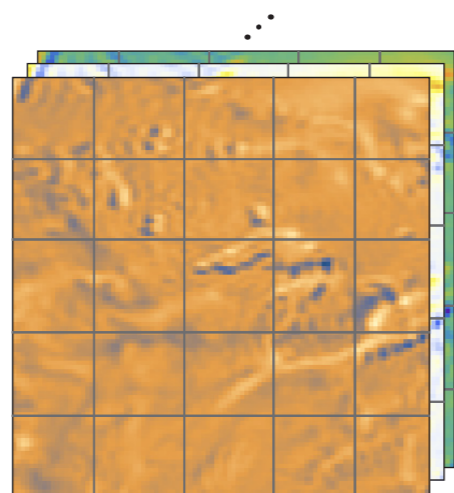
Examples:

*e.g. fix masking scheme*



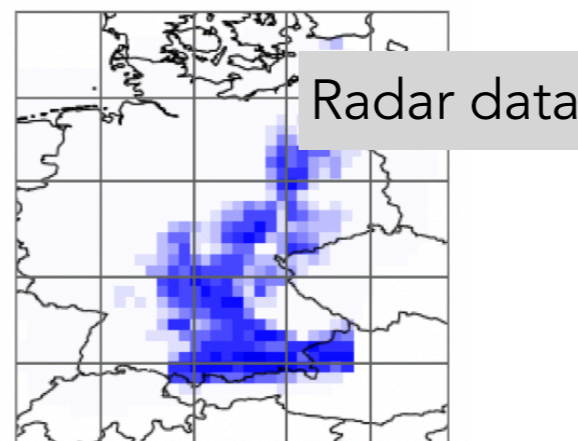
OR

*Change target dataset*



ERA5

Radklim data



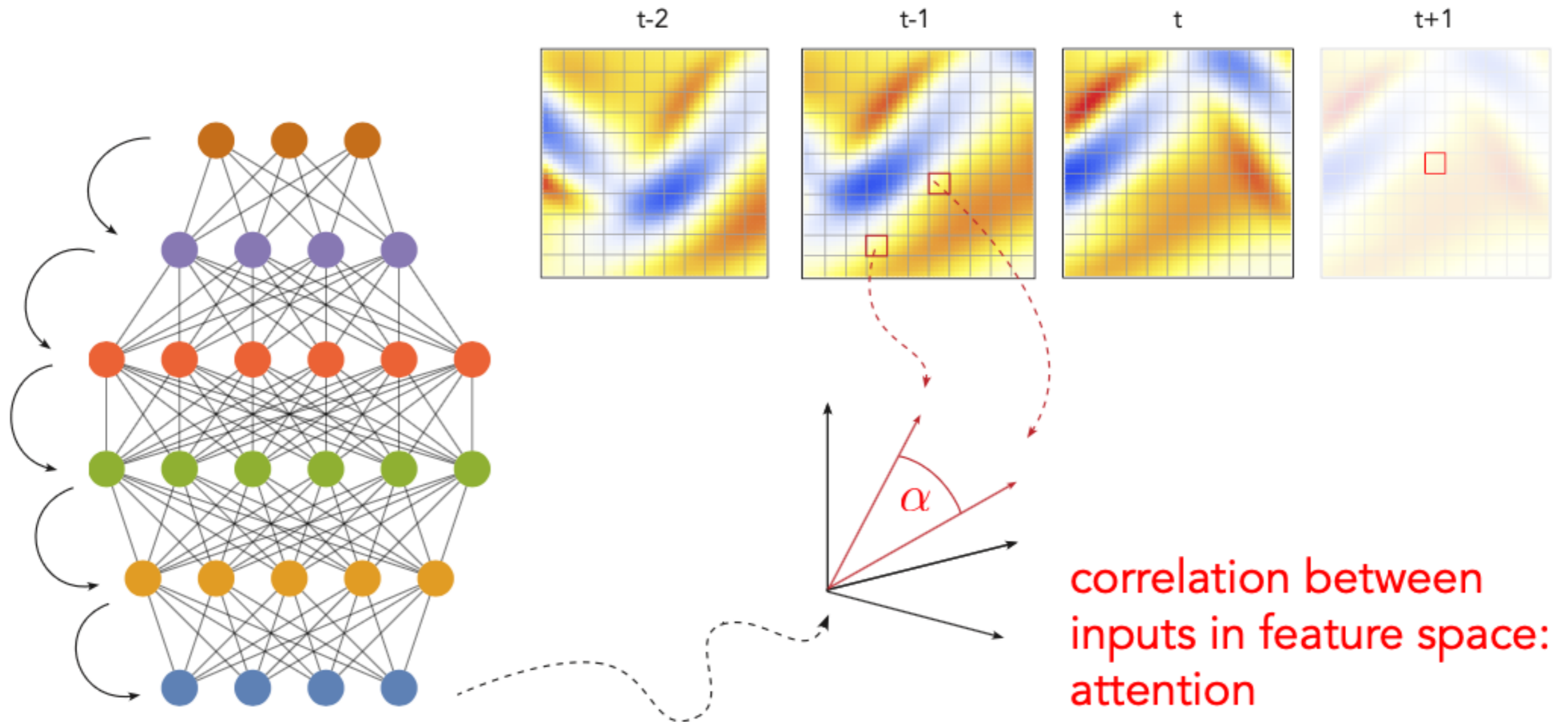
COSMO-REA6



# Attention maps and interpretability

Inspect the self-attention mechanism:

can we identify physics phenomena (e.g. hurricane formation) before they are even created?

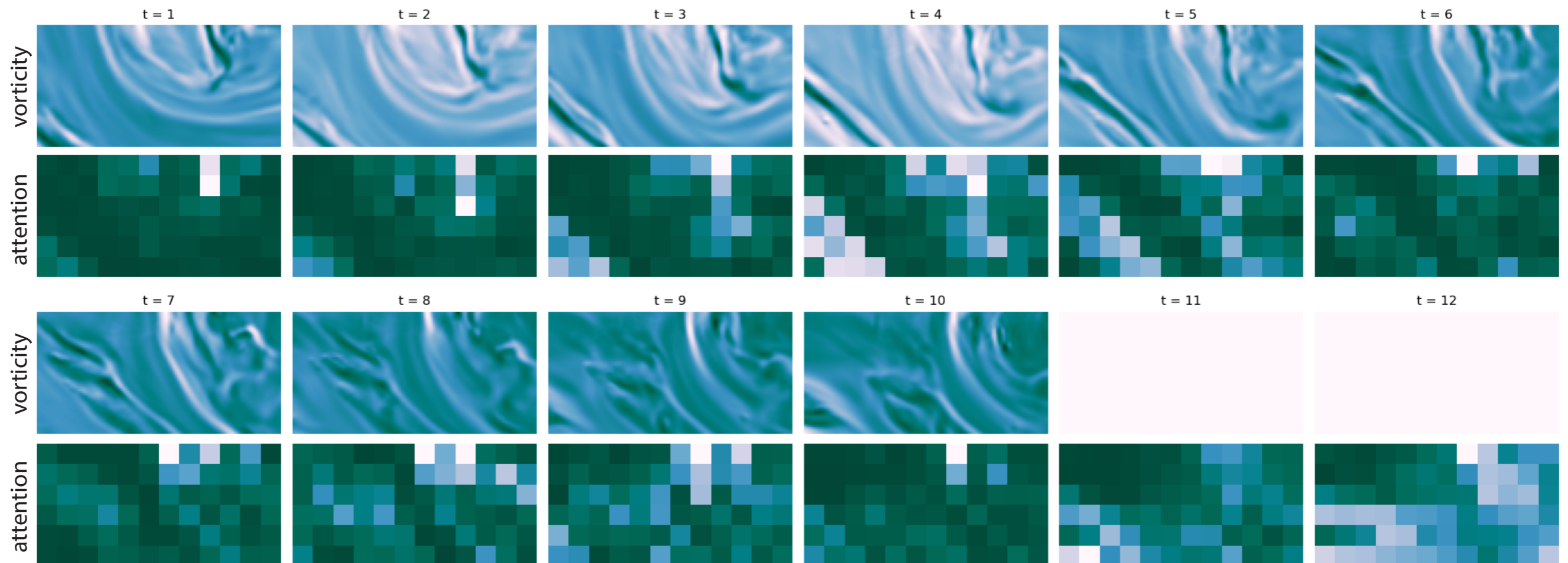




# Attention maps and interpretability

**Inspect the self-attention mechanism:**

**can we identify physics phenomena (e.g. hurricane formation) before they are even created?**



So *WHERE* and *HOW* can we use Foundation Models in HEP?

---

NB: LLMs are quickly entering our domain

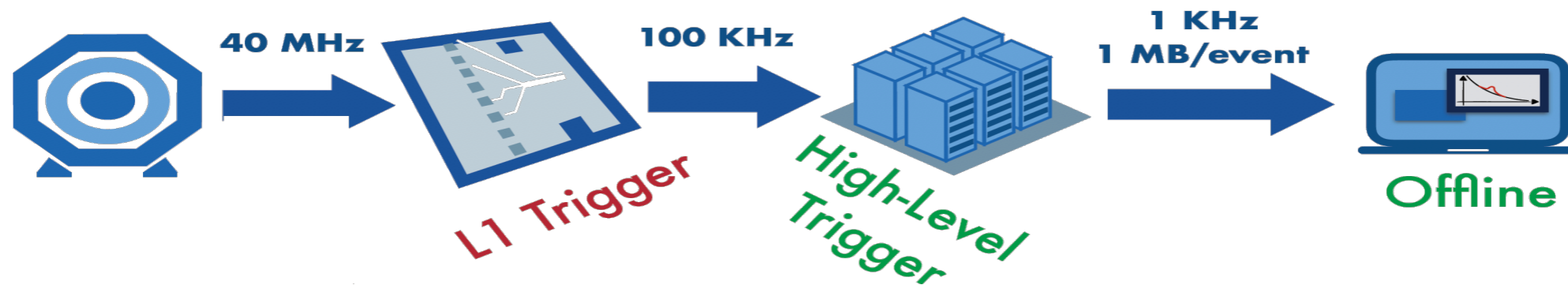
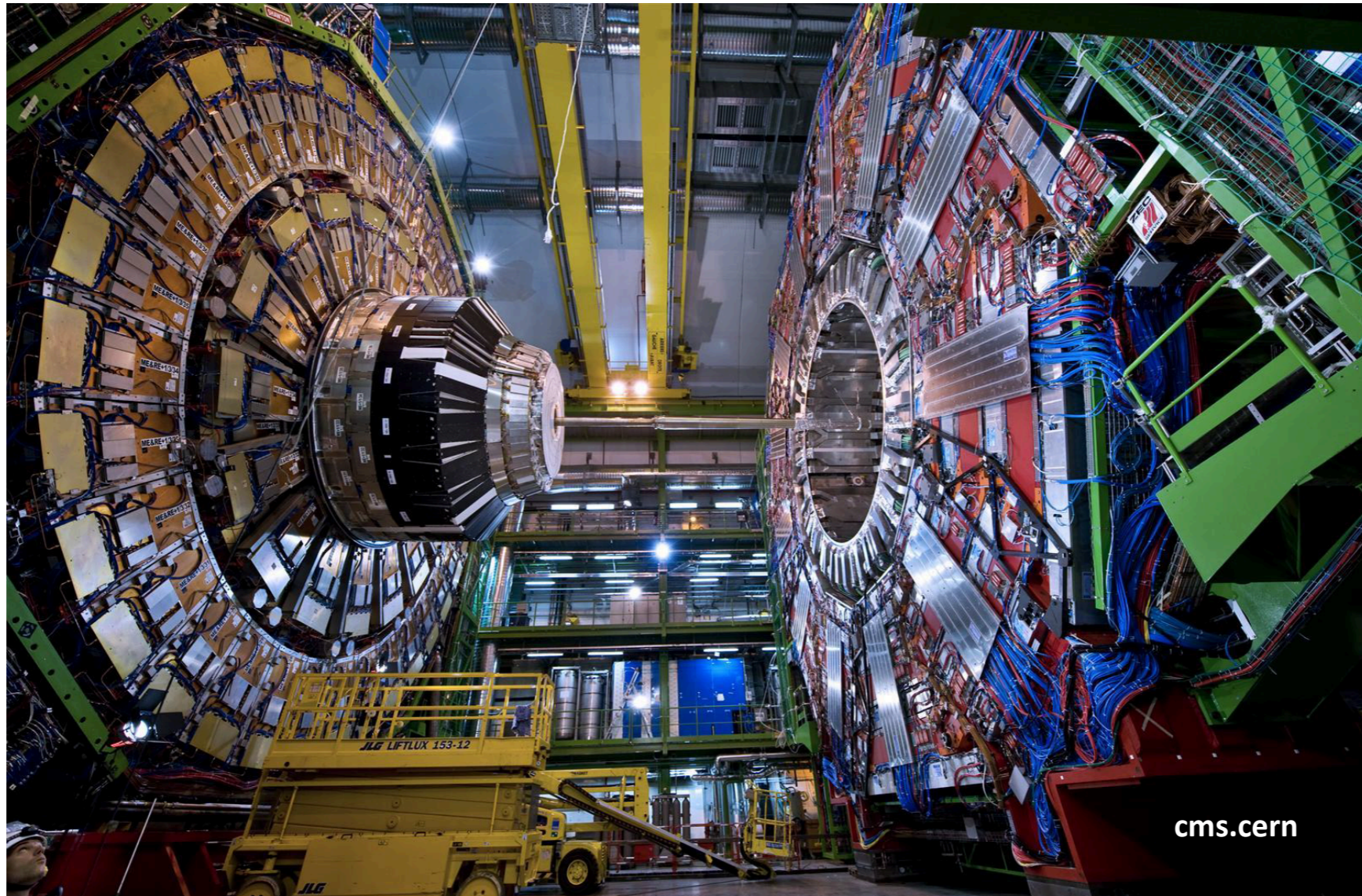
So **WHERE** and HOW can we use Foundation Models in HEP?

---

NB: LLMs are quickly entering our domain



# LHC data processing



# Selecting the unknown

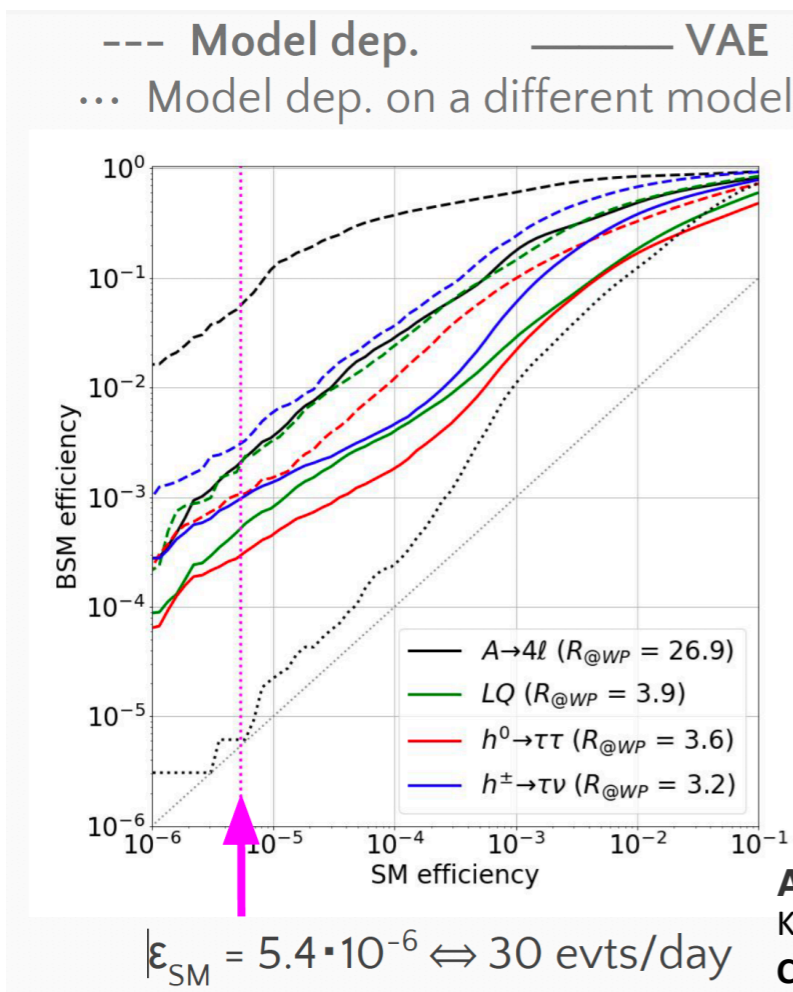
A GRAPHIC REPRESENTATION OF DATA (...) UNTHINKABLE COMPLEXITY

William Gibson

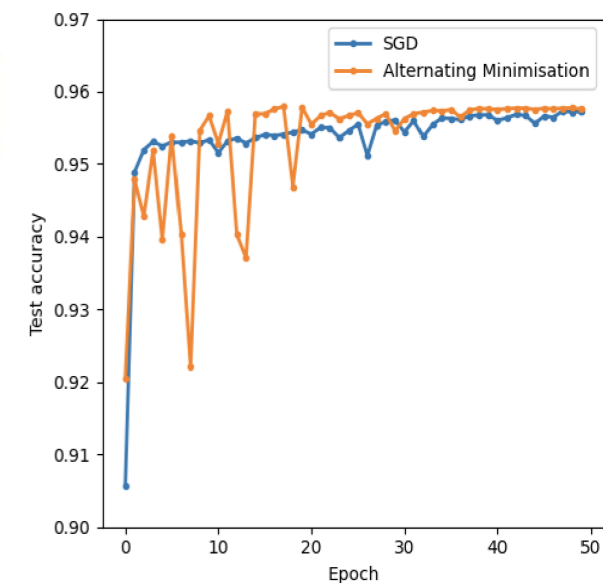
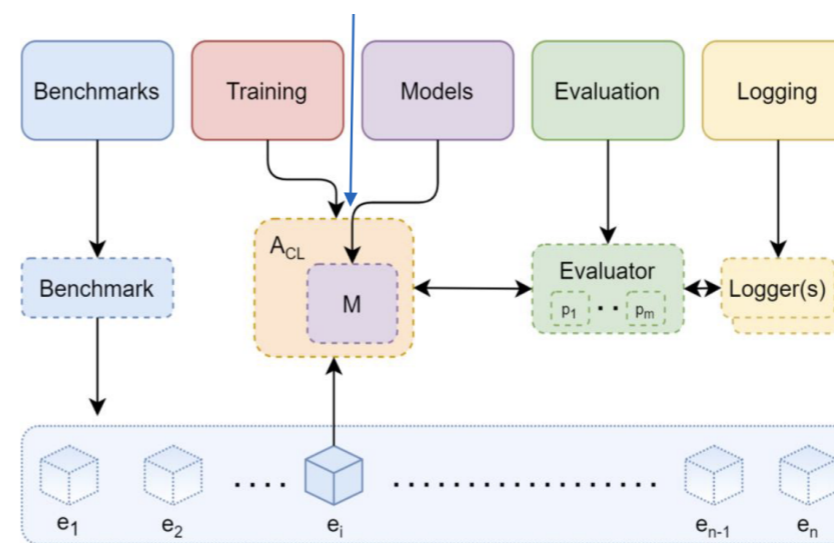
## Unsupervised and model independent tools for new physics searches

## Continual learning for online environment

Useful with **changing conditions**. Avoid retraining. Strong computational constraints. Proposed lightweight alternative to SGD.



Arxiv:1811.10276. Evolved into:  
 Knapp, Oliver, et al. "Adversarially Learned Anomaly Detection on CMS Open Data: re-discovering the top quark." *The European Physical Journal Plus* 136.2 (2021): 236.



Embedded Continual Learning for HEP, CHEP2023

# PATTERN RECOGNITION

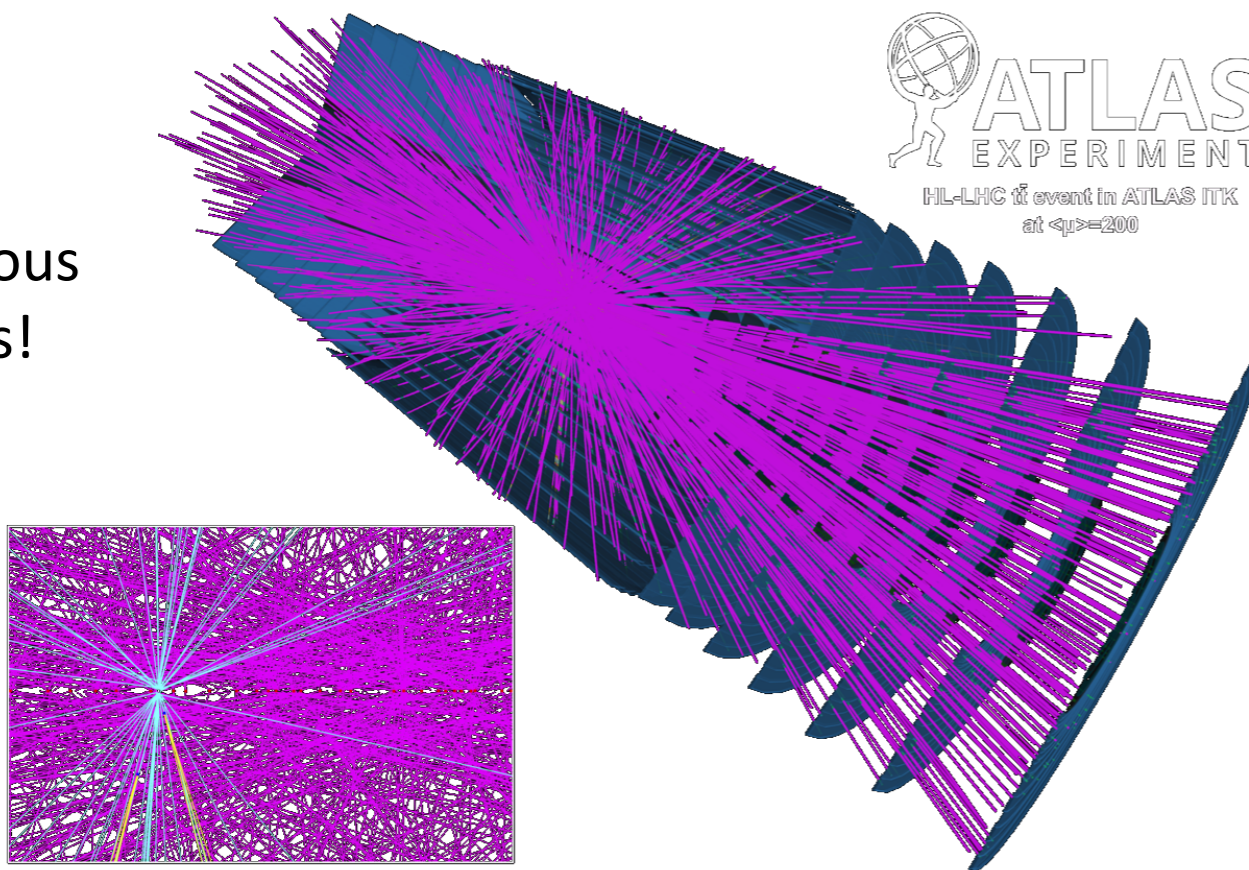
BE QUIET DARLING. LET PATTERN RECOGNITION HAVE ITS WAY

William Gibson

Multiple data processing tasks are formulated as pattern recognition and solved with AI:

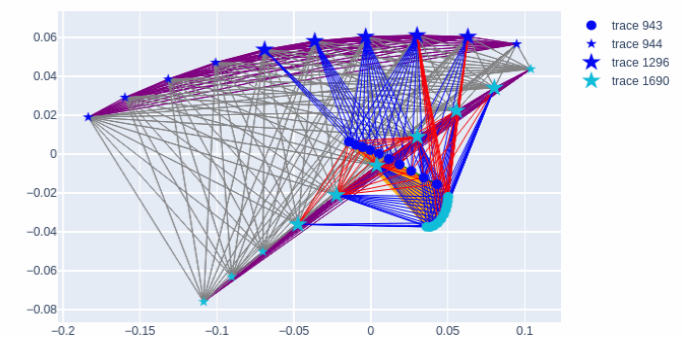
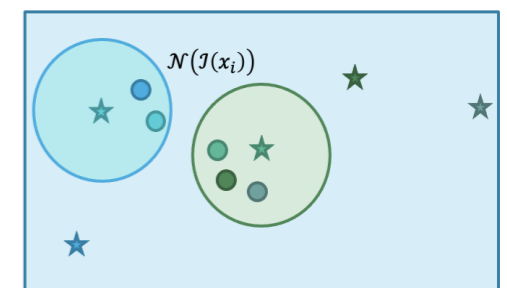
Point clouds and transformers, geometric learning & GNN, RNN, CNN, etc...

200  
simultaneous  
collisions!



Reconstruct  
particle  
trajectories using  
the influencer  
loss ! (social  
media inspired)

An Object Condensation  
Pipeline for Charged  
Particle Tracking,  
CHEP2023



# Synthetic data generation

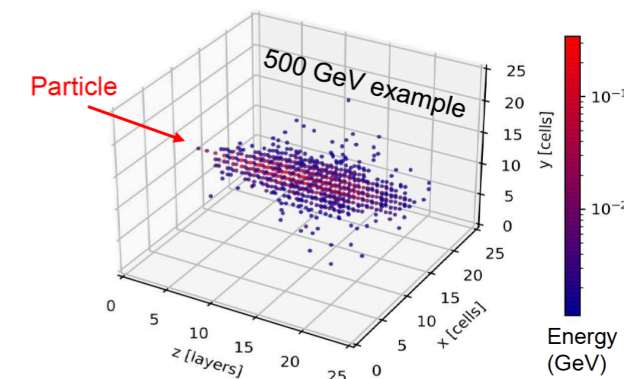
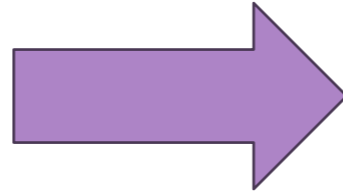
CYBERSPACE. A CONSENSUAL HALLUCINATION EXPERIENCED DAILY BY BILLIONS OF LEGITIMATE OPERATORS

William Gibson

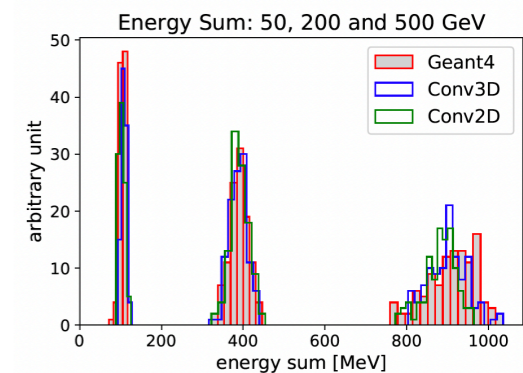
A major task, requiring high accuracy.

It is computationally expensive (**typically Monte Carlo based**)

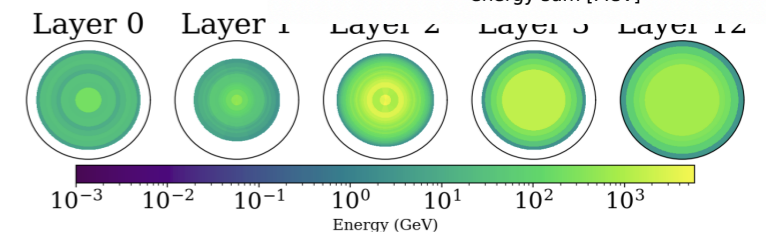
**Ideal task for state-of-the-art generative AI**



Rehm, Florian, et al.  
*arXiv:2105.08960* (2021).

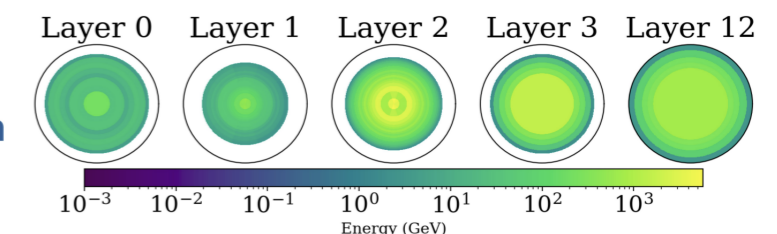


Geant



Diffusion models  
for shower  
generation,  
CHEP2023

Diffusion





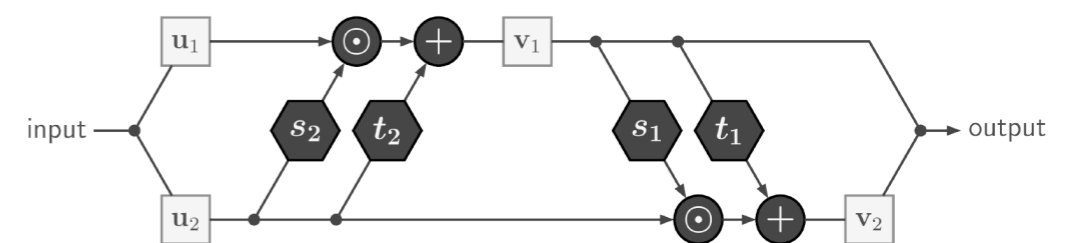
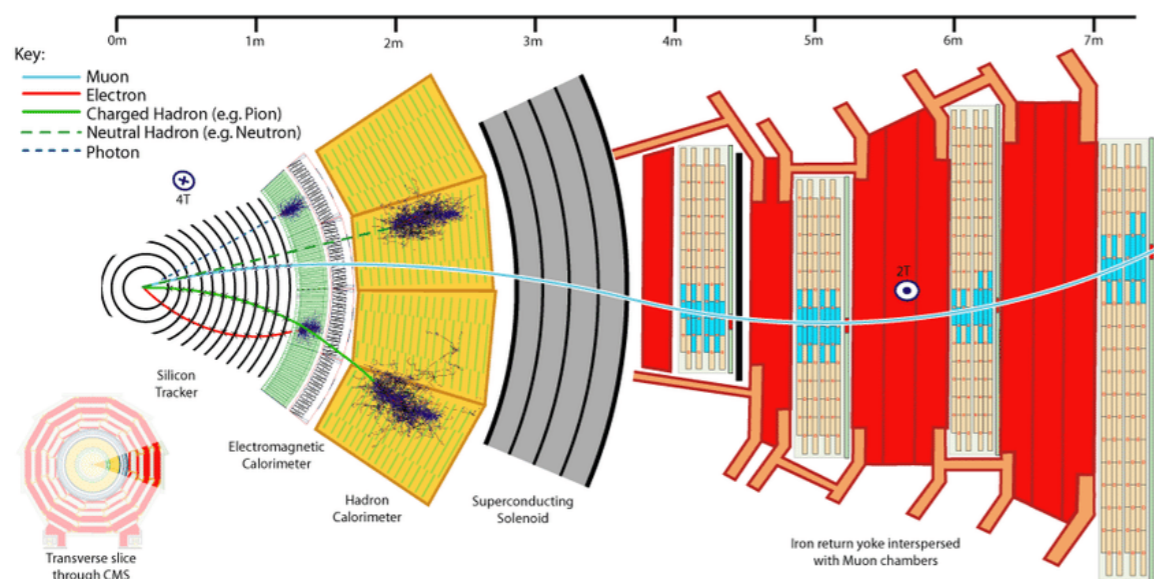
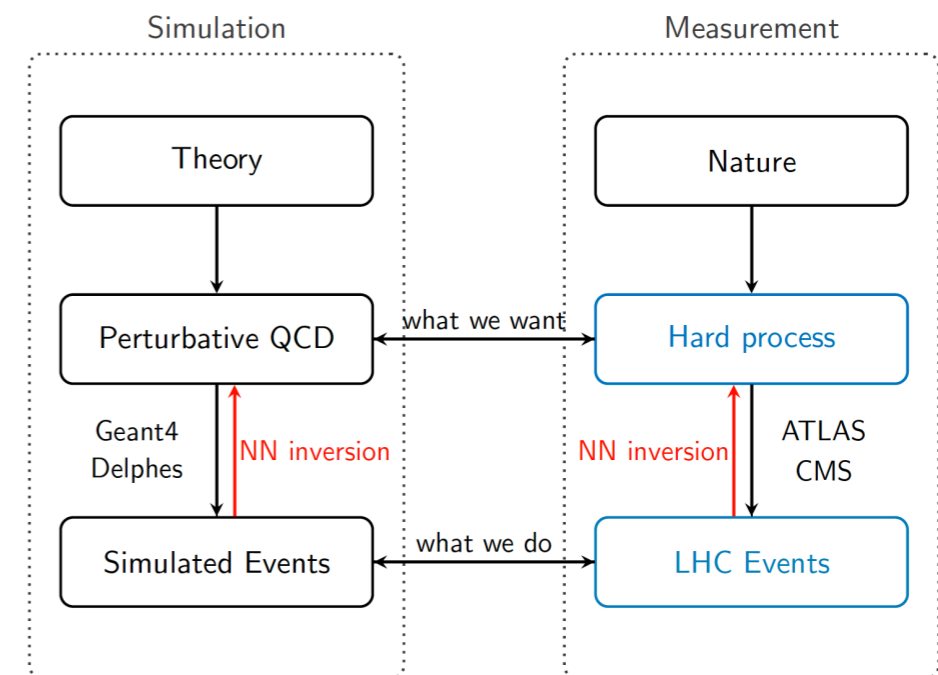
# Inverting the experiments

TIME MOVES IN ONE DIRECTION. MEMORY ANOTHER. WE ARE THAT STRANGE SPECIES THAT CONSTRUCTS ARTEFACTS INTENDED TO COUNTER THE NATURAL FLOW OF FORGETTING

William Gibson

Detectors measure the results of particle interactions with matter but we need the particle production processes

- Compare experimental data to theory through invertible networks !



arxiv:1808.04730  
arxiv:2006.06685

# Automation

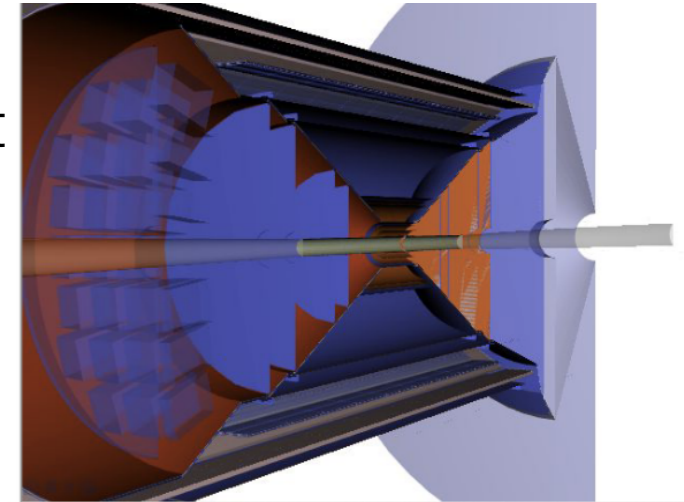
THE FUTURE IS ALREADY HERE – IT'S JUST NOT EVENLY DISTRIBUTED YET

William Gibson



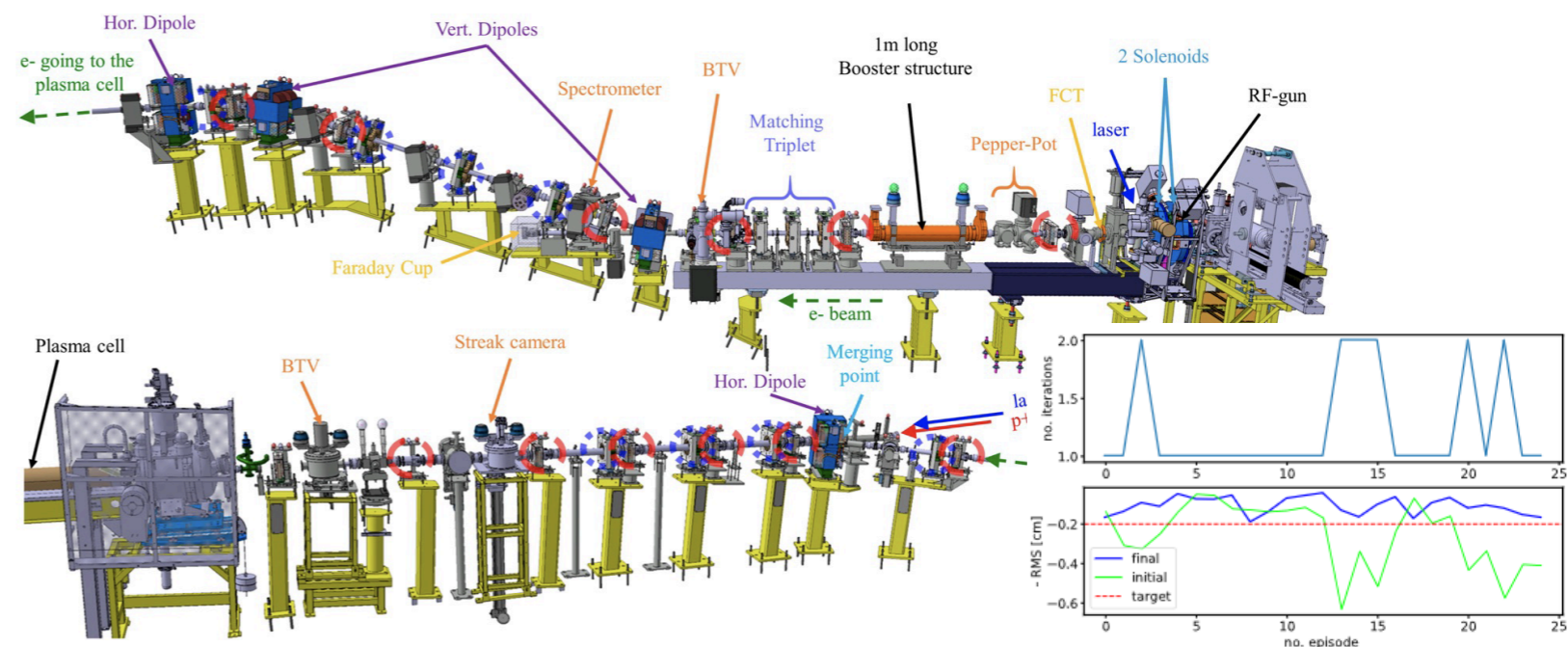
EPIC: First large scale experiment designed using AI/ML !

Artificial Intelligence and Machine Learning for EPIC: an Overview, CHEP2023



Autonomous inspection and environmental measurements  
Autonomous control systems

Reinforcement Learning agents for Beam Control at CERN



CERN Academic Lecture Series: Robotics activities at CERN - Robotic Solutions for remote maintenance, 2022,

<https://indico.cern.ch/event/1055745/>

Kain, Verena, et al. "Sample-efficient reinforcement learning for CERN accelerator control." *Physical Review Accelerators and Beams* 23.12 (2020): 124801.

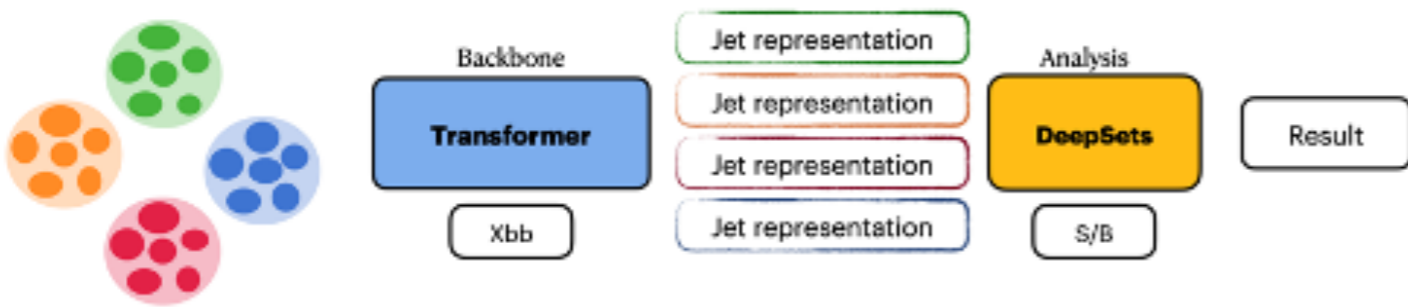
So WHERE and HOW can we use Foundation Models in HEP?

---

NB: LLMs are quickly entering our domain

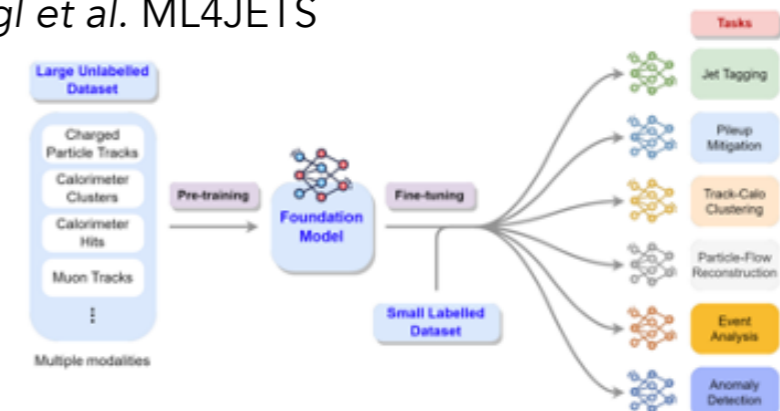
# Foundation Models in HEP

**Multiple studies** in HEP (transformers, self supervision, fine tuning for HEP data, etc..)  
 A topic present in **many conferences and workshops**, (IML, ACAT, CHEP, ML4JET, ...)  
 Direct **application of LLMs** to HEP (information mining, coding, etc..)

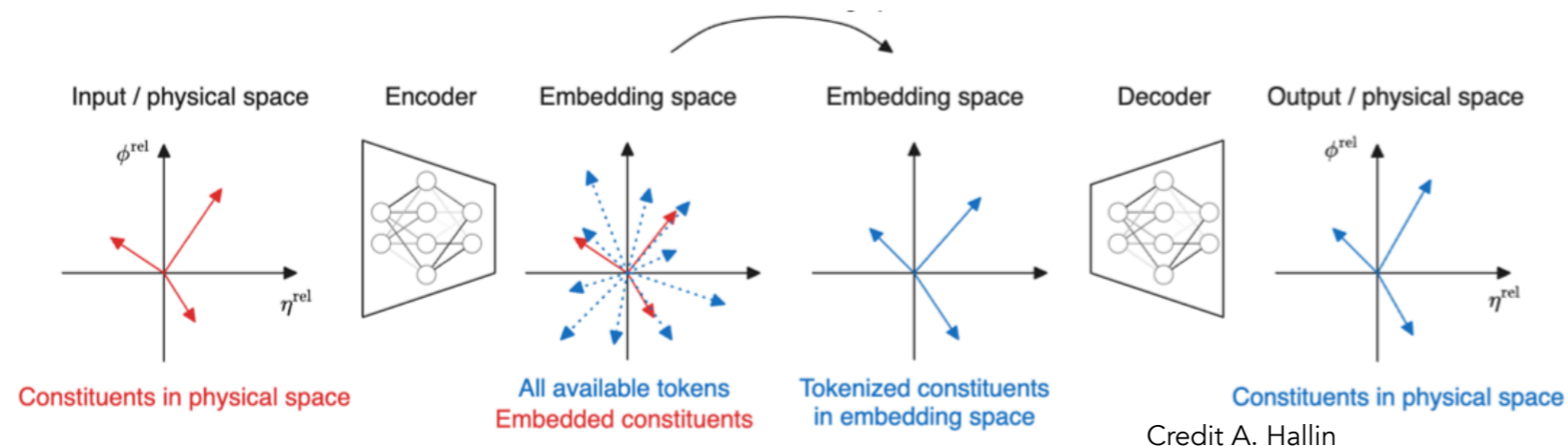


Masked particle modelling, *M. Leigh et al. ML4JETS*

Finetuning foundation models for analysis optimisation,  
*M. Vigl et al. ML4JETS*



What is the best way to represent HEP data for input to a foundation model?

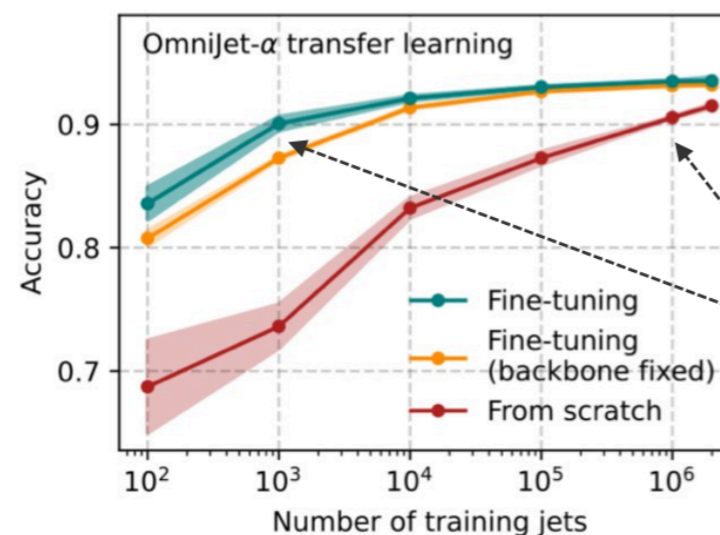
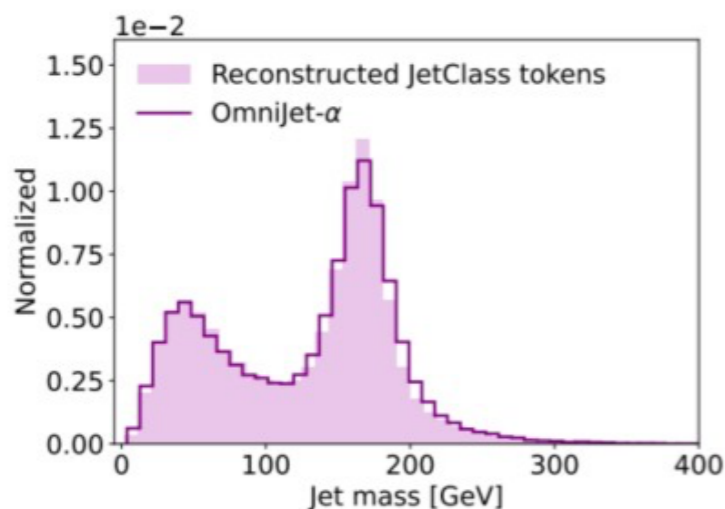
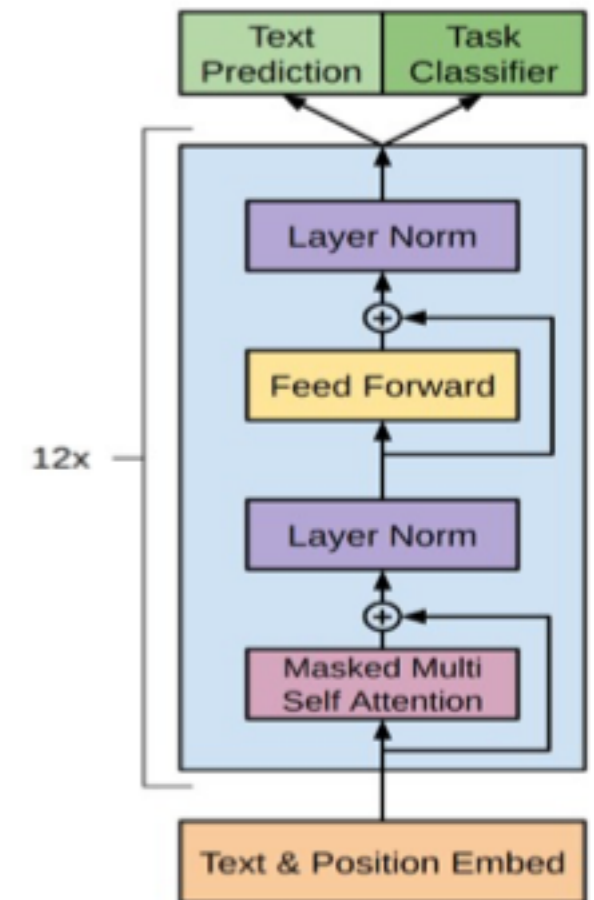
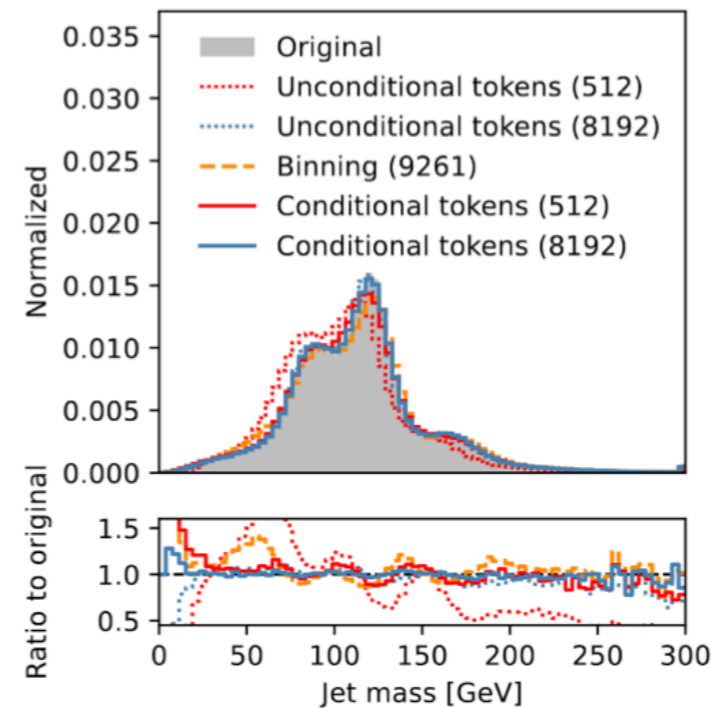


# Simulating particle jets

## Particle and jets are interpreted as words and sentences.

Use transformers as NLP to perform jet classification and generation

Transformers expect tokens  
What happens to the continuous physics information ?

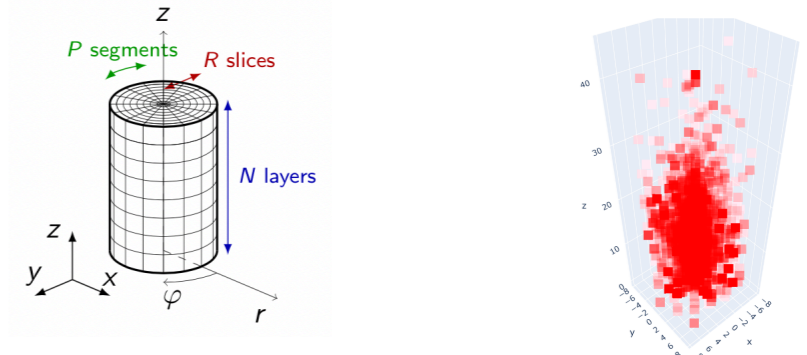
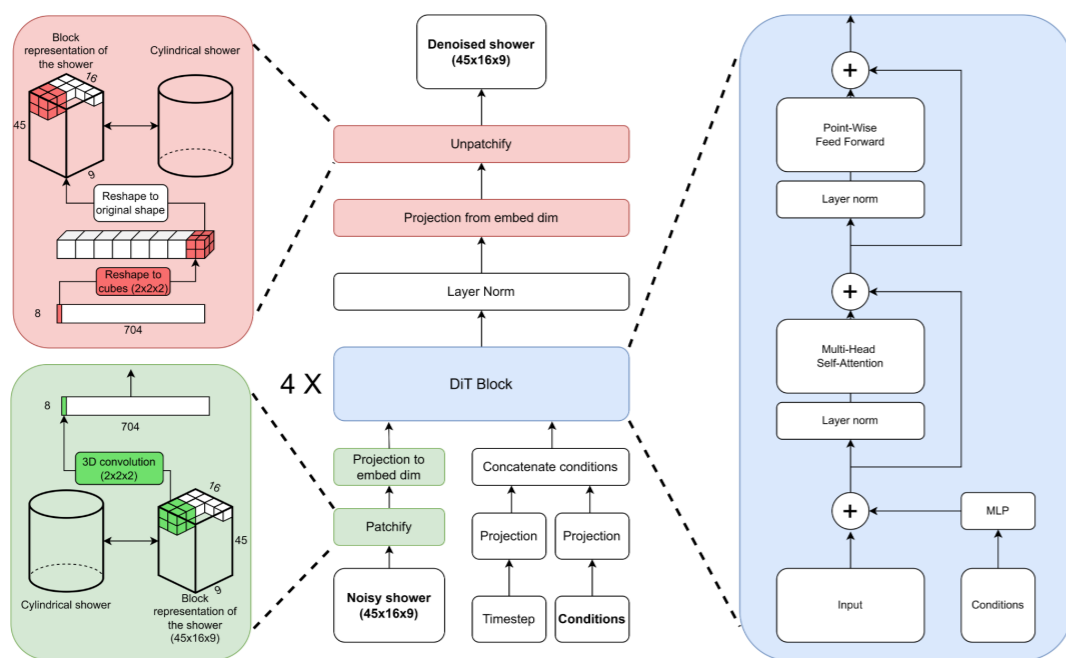


Pre-trained model requires only 1000 training events to reach the same accuracy level that the "from scratch" model reaches with 1M events

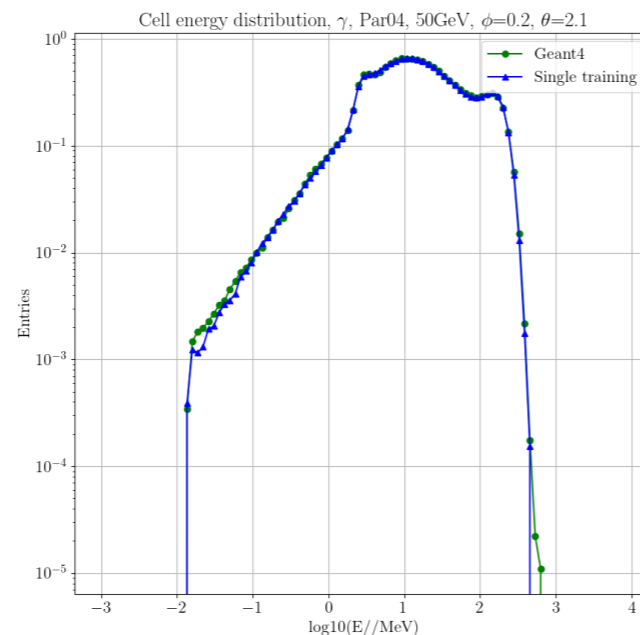
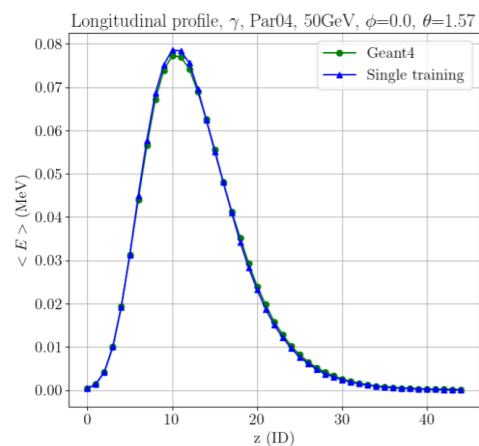
# Diffusion Transformers for detector simulation

Renato Cardoso, et al.  
CHEP 2023

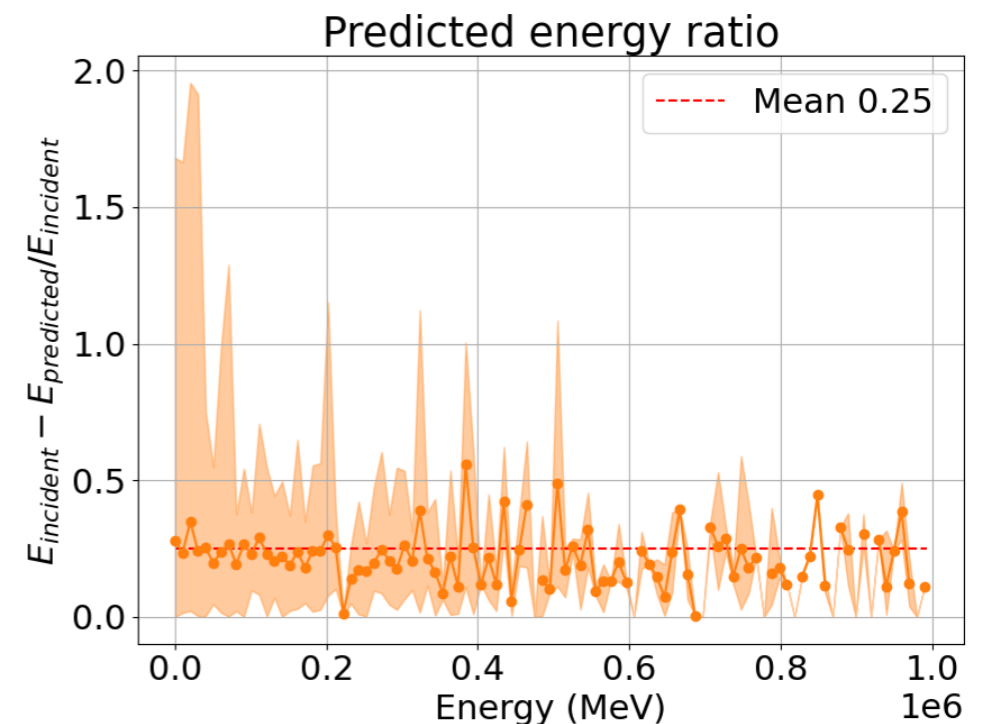
A **generalized architecture** that works with any type of data  
It models long-range dependencies via attention mechanism



Adaptability to Multi-Tasking:  
From image generation to regression



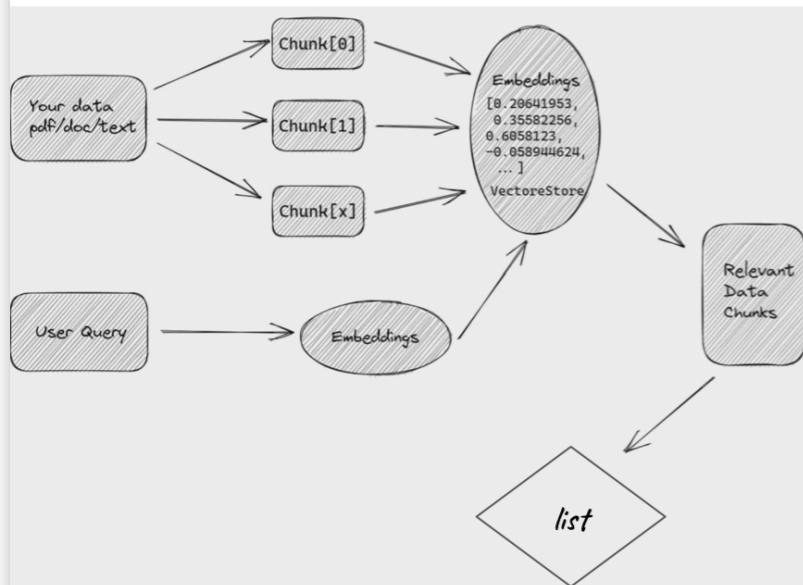
aria.luise@cern.ch |



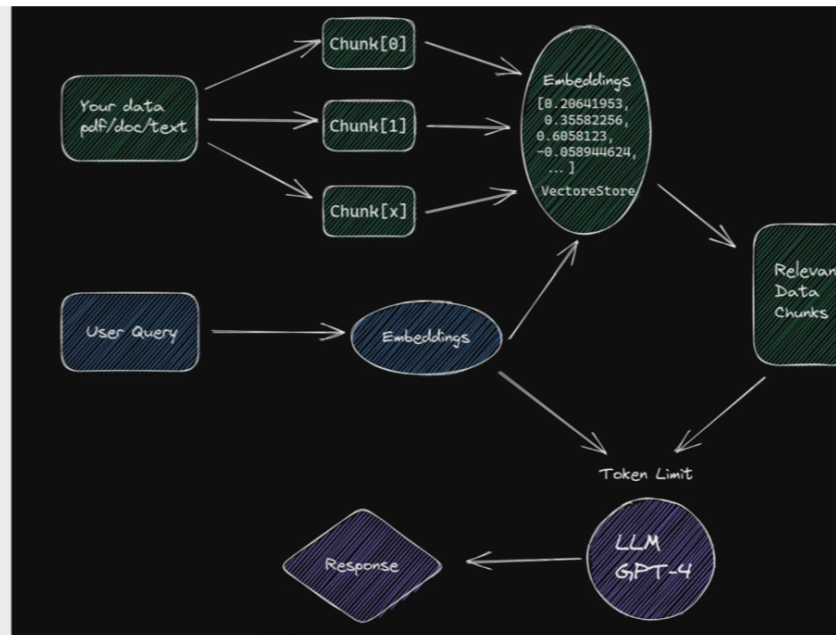
# LLMs as scientific Assistants

## chATLAS: RAG using various internal ATLAS sources

Search mode (not a RAG)



Assistant (RAG)



D. T. Murnane,  
IML: <https://indico.cern.ch/event/1395528/>

chATLAS An AI Assistant for the ATLAS Collaboration

IML Meeting, 9th

## Why AccGPT?

### AccGPT (Accelerating GPT).

- Our vision: Accelerating Research.

### First step: Enhancing knowledge retrieval.

- **Challenge: CERN has many and HUGE data bases:**
  - (>> 50 knowledge (web) domains for documentation.
    - Challenging to find information without knowing its location.
  - CERN wiki (Confluence): > 1M wiki pages.
  - CERN Document Server (CDS): > 500k documents.
  - CERN home: > 10k webpages.
  - CERNbox and more domains ...



By ChatGPT

→ **Objective:** Leverage AccGPT to improve knowledge finding, user support, streamline development processes, and enhance onboarding experiences.

F. Rehm,  
IML: <https://indico.cern.ch/event/1395528/>

# Resources

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Comprehensive overview of PTMs (2023):

<https://arxiv.org/pdf/2302.09419>

How to stay up to date?

- <https://alphasignal.ai/>
- <https://www.deeplearning.ai/the-batch/>

Wanna learn more about foundation models?

- [Coursera - Introduction to foundation models](#)
- <https://crfm.stanford.edu/>

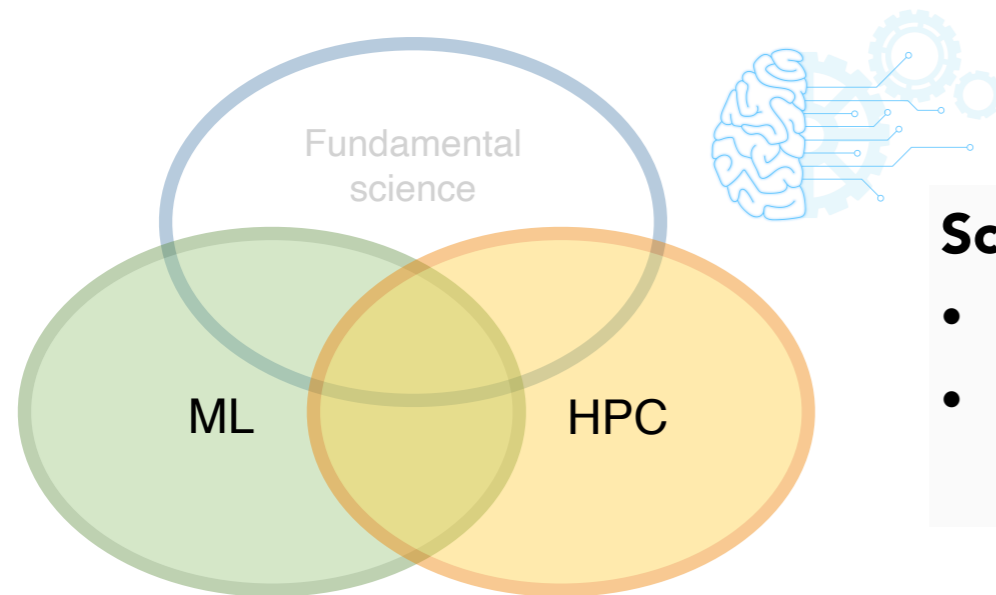




Backup

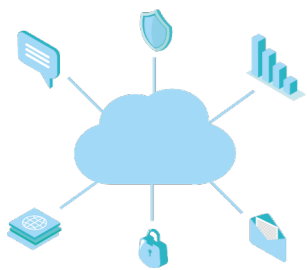
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# Future challenges



## Scaling:

- **Efficiently scaling distributed training to larger models**
- Develop the software infrastructure and model architecture suitable for such big models



## Accessibility:

- **Deployment of the models on the cloud**
- we need an integration of the HPC centers to provide **seamless access** and data movement in the background (example: Google Cloud)



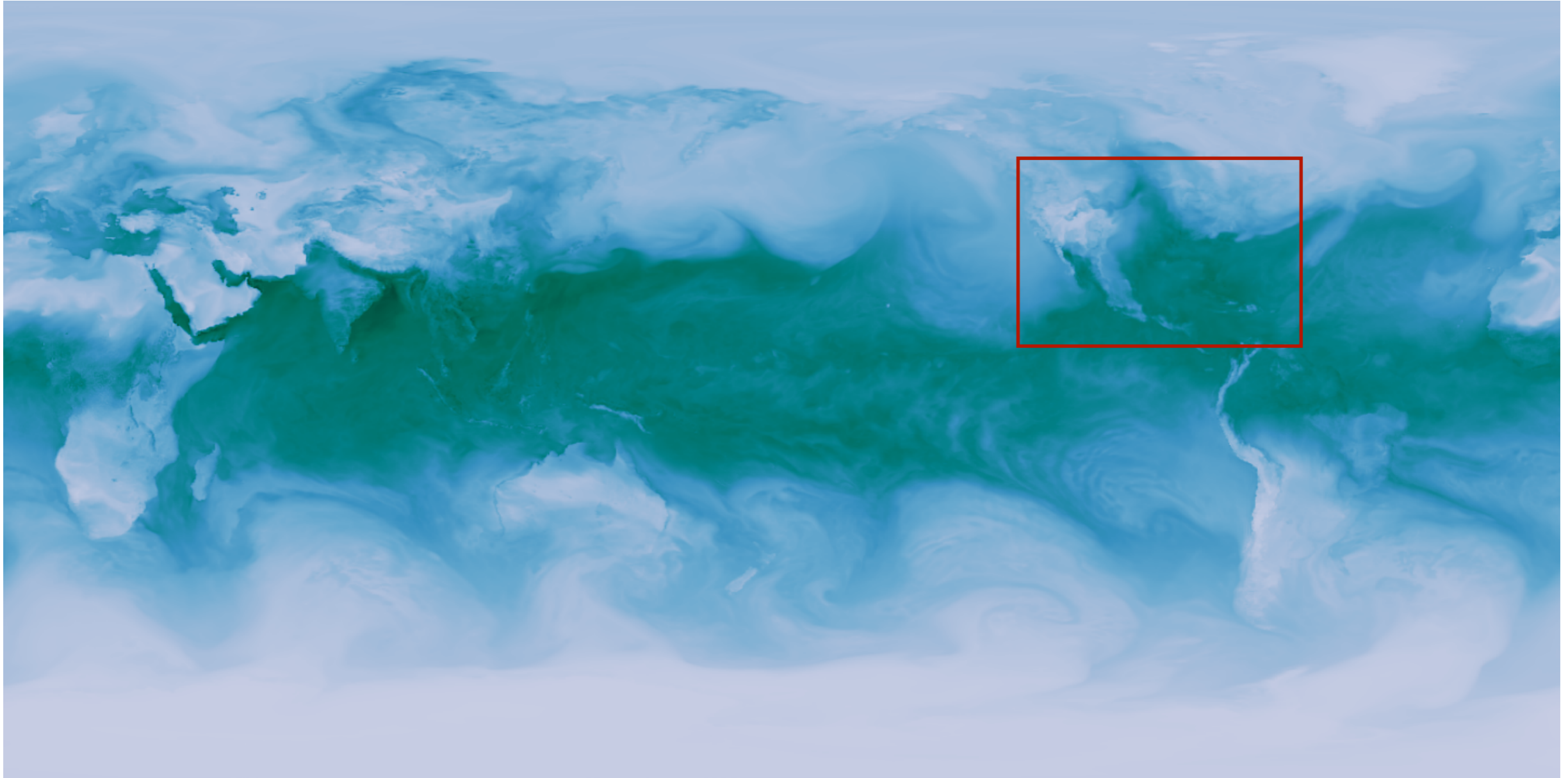
## Maintenance:

- **How to integrate new incoming data**
- How to **expand** to new fields/variables without fully retraining the model each time?

# Results: Target - ERA5

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specific humidity, June 15th 2018 13:00 UTC

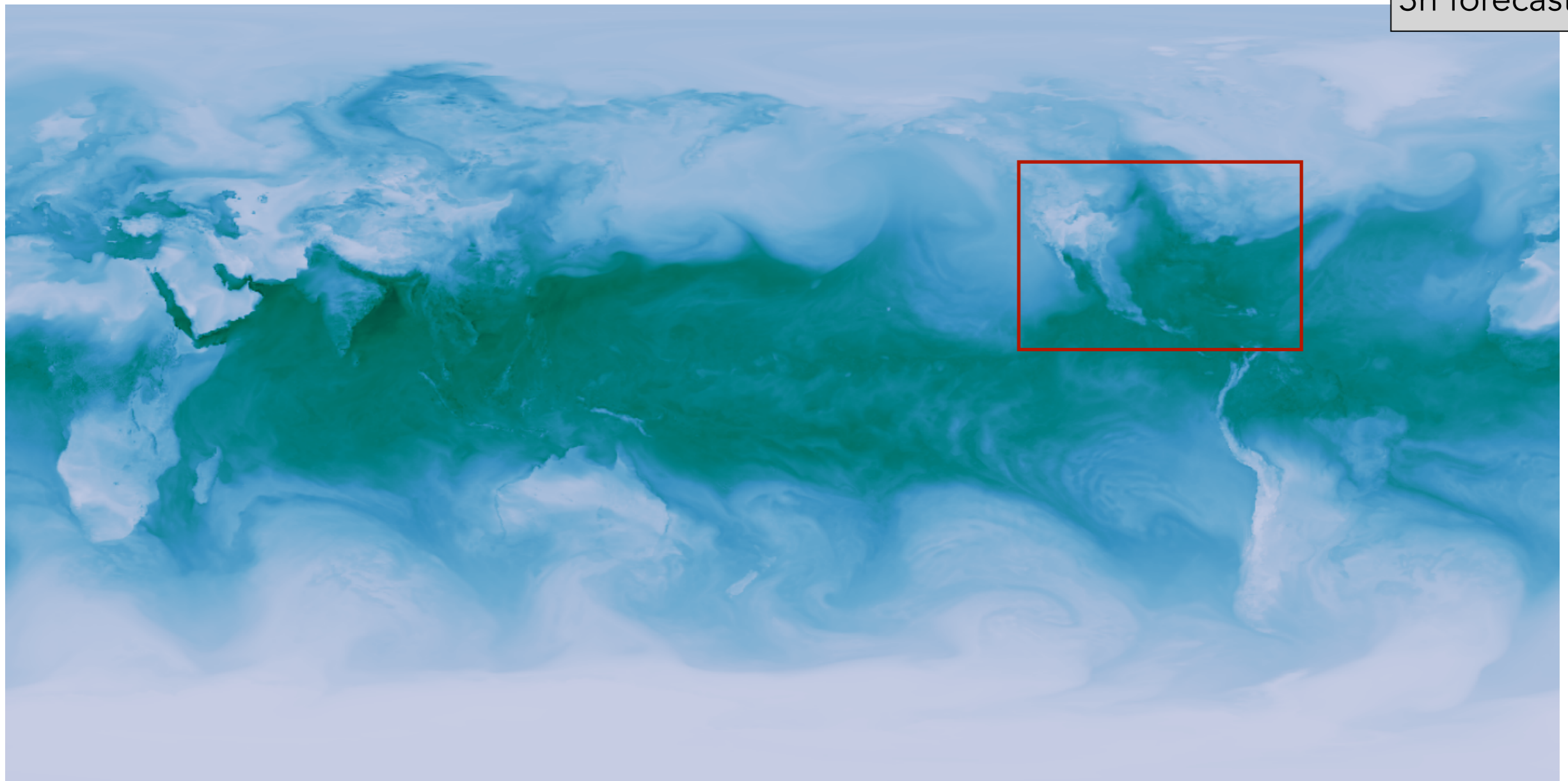


# Results: Prediction - AtmoRep

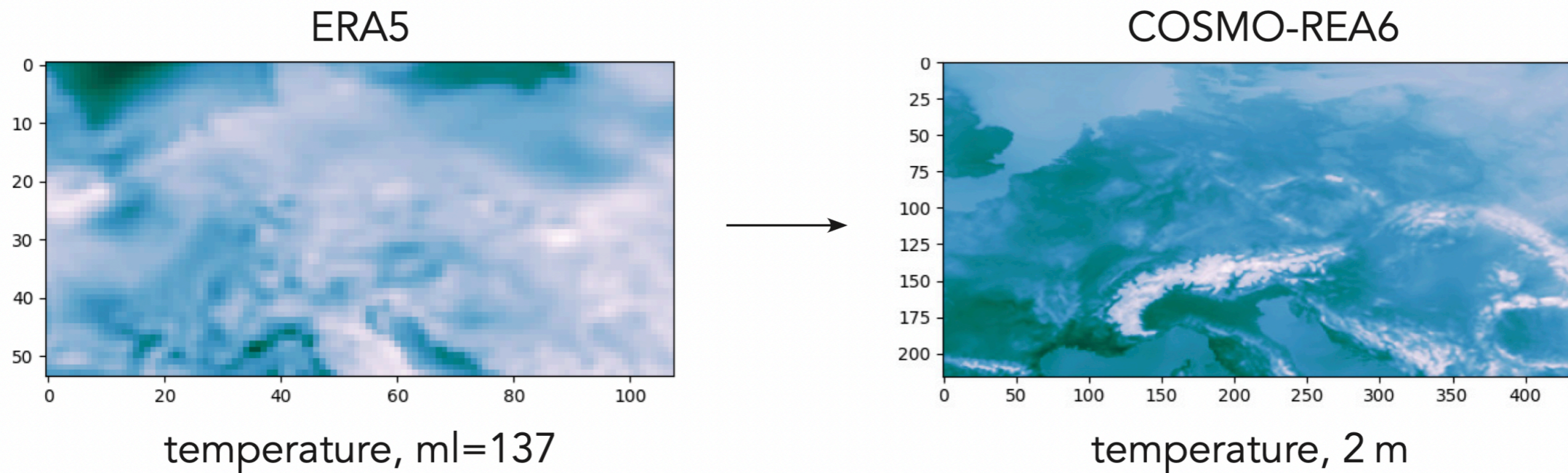
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specific humidity, June 15th 2018 13:00 UTC

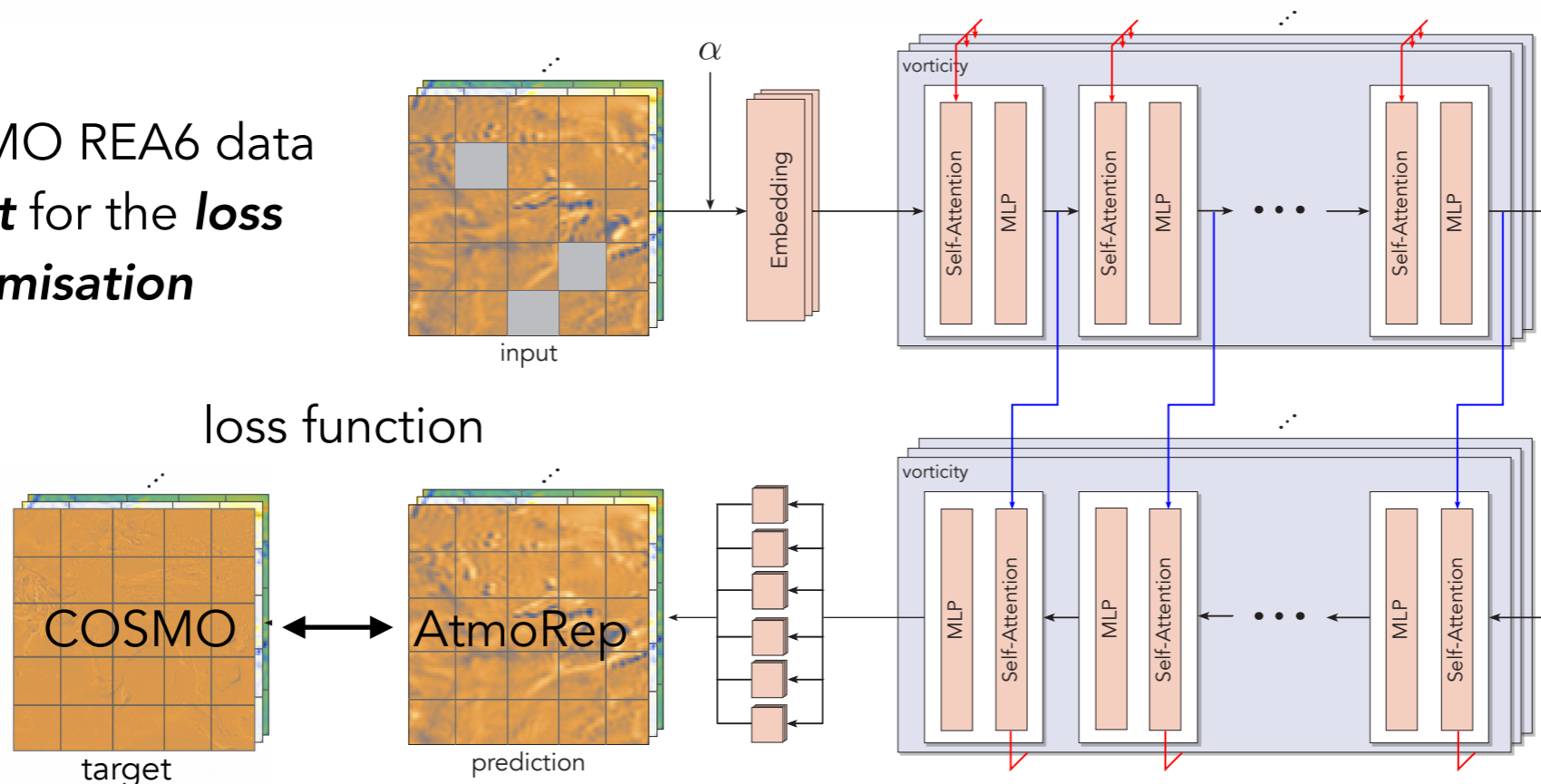
3h forecast



# Downscaling



Use COSMO REA6 data as **target** for the **loss minimisation**

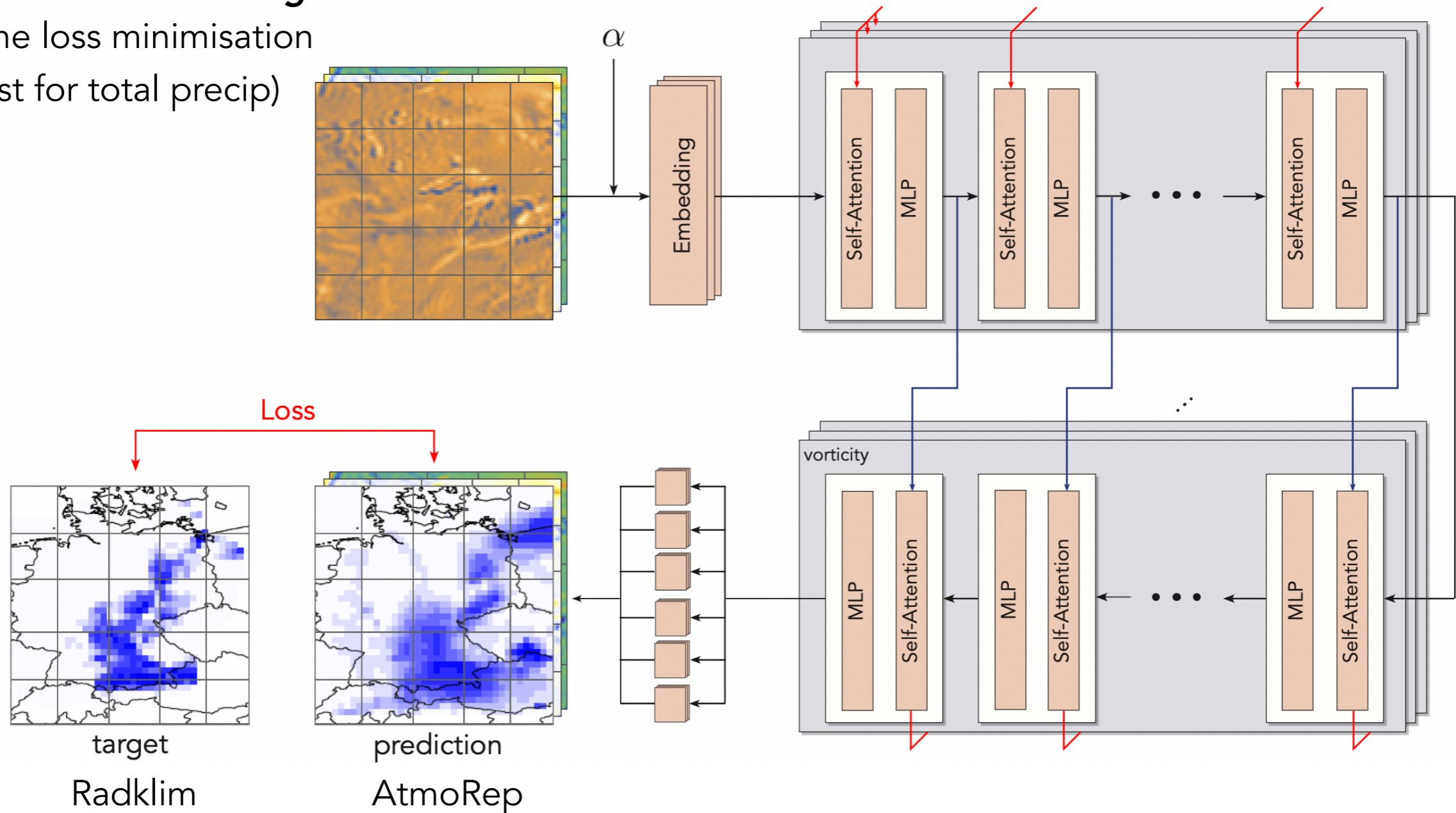


# Bias corrections

Precipitation rates are known to be suboptimal in ERA5

Use RADKLIM radar data to fine-tune the precipitation rates in AtmoRep

Use *Radklim* data as *target*  
for the loss minimisation  
(just for total precip)



# Bias corrections: Results

**Precipitation rates are known to be suboptimal in ERA5**  
**Use RADKLIM radar data to fine-tune the precipitation rates in AtmoRep**

