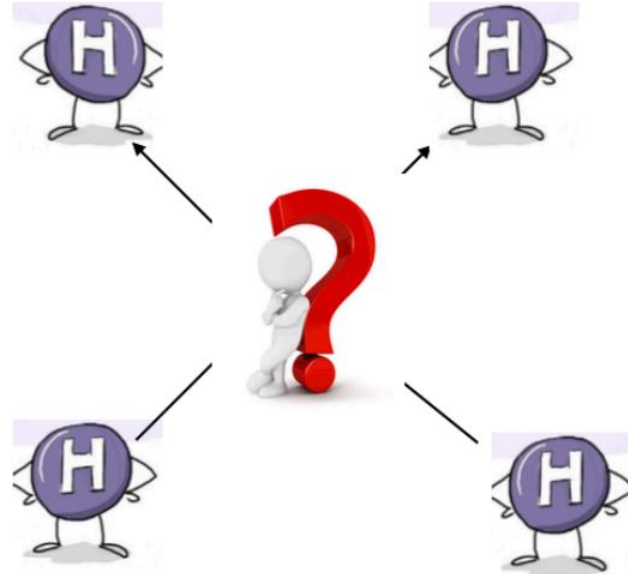


Extremal Higgs couplings



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CERN-Annecy-Geneva-EPFL (CAGE) Meeting

21.05.2024



Motivation

- ▶ **Analyticity (\mathcal{A}), Unitarity (\mathcal{U}), Crossing Symmetry (\mathcal{CS})** of the $2 \rightarrow 2$ scattering amplitude are very powerful general principles to constrain **low energy physics**.
- ▶ Central object: $2 \rightarrow 2$ interacting scattering amplitude, no Lagrangians, no perturbation theory etc.
Input: Particle spectrum of the theory. \rightarrow *Output:* Bounds on low energy coefficients.
- ▶ I will first try to convince you how the machinery works on a toy model.
Then we move to a more realistic model, a step towards Higgs sector in SM as an EFT.

Motivation

Toy model: Massive real scalar ϕ
with Z_2 symmetry (no cubic vertex)

- ▶ Interacting part of the amplitude

$$\mathcal{S}_{2 \rightarrow 2} \equiv \langle P_3 P_4, out | P_1 P_2, in \rangle \quad \mathcal{S}_{2 \rightarrow 2} = \text{Id}_{2 \rightarrow 2} + i \mathcal{M}_{2 \rightarrow 2}$$

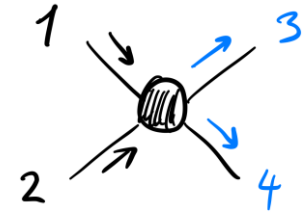
- ▶ Low energy expansion around $\bar{x} \equiv x - 4m^2/3$ to preserve \mathcal{CS}

$$M(\bar{s}, \bar{t}, \bar{u}) = c_0 + c_2 (\bar{s}^2 + \bar{t}^2 + \bar{u}^2) + c_3 \bar{s}\bar{t}\bar{u} \dots$$

Notice that there is no dim-6 coefficient c_1 due to (*).

- ▶ **Question :** Can coefficients $\{c_0, c_2, \dots\}$ attain any value?

Answer : Let us consider few examples ...



Mandelstam variables:

$$\begin{aligned} s &= (p_1 + p_2)^2 \\ t &= (p_1 - p_3)^2 \\ u &= (p_1 - p_4)^2 \end{aligned}$$

$$\begin{aligned} s + t + u &= 4m^2 \\ \bar{s} + \bar{t} + \bar{u} &= 0 \quad * \end{aligned}$$

Motivation - Example I

- **Unitarity:** $\mathcal{S}^\dagger \mathcal{S} = \text{Id}$ implies

$$\begin{aligned} 2 \operatorname{Im} M_{2 \rightarrow 2}(s, t) &= \sum_{n \geq 2} \int d\text{LIPS}_{2 \rightarrow n} |M_{2 \rightarrow n}|^2 \\ &\geq \int d\text{LIPS}_2 |M_{2 \rightarrow 2}|^2 \\ &\geq 0 \end{aligned}$$

“optical theorem”

$d\text{LIPS}_n$: Lorentz invariant
n-particle phase space

- **Analyticity:** Cauchy’s theorem $c_2 = \frac{1}{2\pi i} \oint dz \frac{M(z, 4m^2/3)}{(z - 4m^2/3)^3}$ and blow up the contour

$$c_2 = \frac{1}{\pi} \int_{4m^2}^{\infty} \frac{dz}{(z - 4m^2/3)^3} \underbrace{\operatorname{Im} M(z, 4m^2/3)}_{\text{positive}} \geq 0$$

⇒ Positivity bounds on some $\{c_i\}$ & ratios of $\{c_i\}$.

[Adams, Arkani-Hamed, Dubovsky, Nicolis, Rattazzi '06]

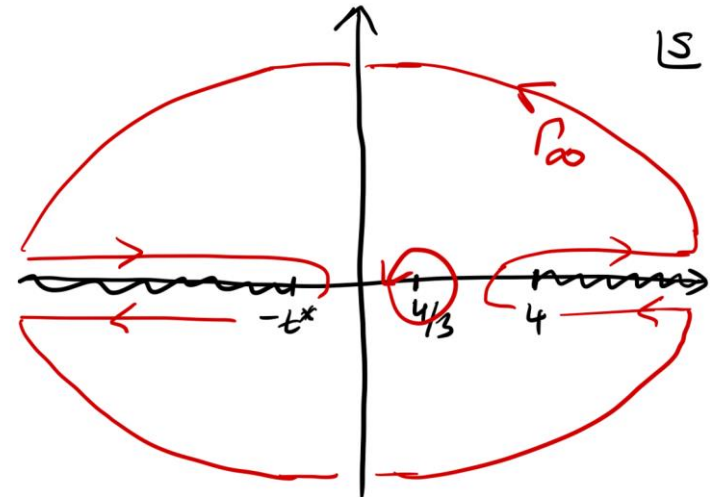
....

[Bellazzini, Elias Miró, Rattazzi, Riembau, Riva '20]

[Caron-Huot, Van Duong '20]

[Tolley, Wang, Zhou '20]

....



Motivation - Example II

- ▶ Partial wave expansion:

$$M(s, t) = \sum_{\ell=0}^{\infty} 16\pi (2\ell+1) f_{\ell}(s) P_{\ell}(\cos \theta)$$

$$\text{Im } M(s, t) = \sum_{\ell=0}^{\infty} 16\pi (2\ell+1) \text{Im} f_{\ell}(s) P_{\ell}(\cos \theta)$$

- ▶ Partial wave unitarity circle – for physical $s \geq 4m^2$:

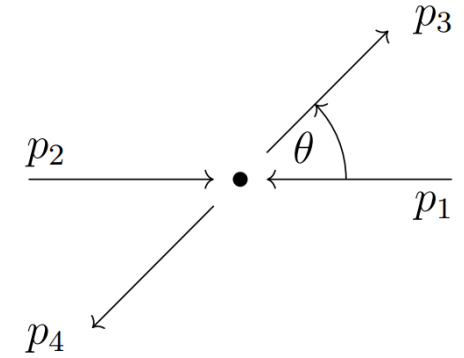
$$2 \text{Im} f_{\ell}(s) \geq \rho^2(s) \cdot |f_{\ell}(s)|^2 \quad \Rightarrow \quad 2/\rho^2 \geq \text{Im} f_{\ell}(s) \geq 0$$

- ▶ Upper bound on $c_2 \propto \int dz \sum_{\ell} \frac{\text{Im} f_{\ell}(z)}{(z-4m^2/3)^3} \leq \int dz \sum_{\ell} \frac{2/\rho^2(z)}{(z-4m^2/3)^3}$

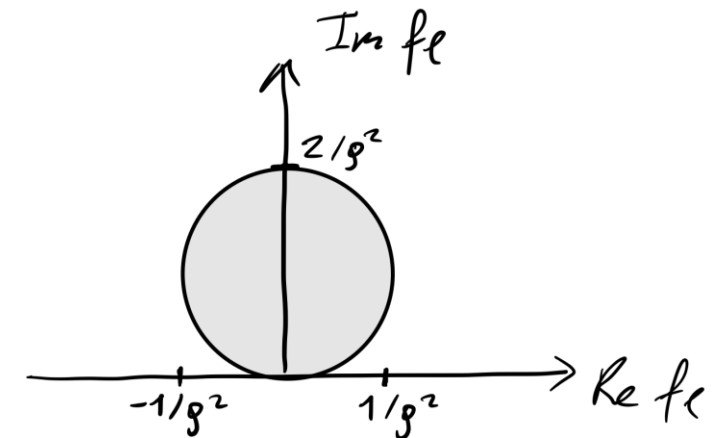
+ crossing symmetry $M(s, t) = M(t, s) = M(s, 4m^2 - s - t)$,

one can show that $0 \leq c_2 \Lambda^2 \leq O(1) \cdot (4\pi)^2$ in an EFT approximation.

[Caron-Huot, Van Duong '20]



$\rho^2(s) \equiv \sqrt{s - 4m^2}/\sqrt{s}$
two-body phase space factor



Motivation - Example III

- ▶ What about c_0 ? It is *non-dispersive*, i.e.

Blowing up $M(s) = \frac{1}{2\pi i} \oint dz \frac{M(z)}{(z-s)}$ around $z=s$ gives $M(s) = c_\infty + \frac{1}{\pi} \int_{\text{cuts}} dz \frac{\text{Im } M(z)}{(z-s)}$

$\Rightarrow c_0 = M(s = 4m^2/3)$ gets contribution from both $\{ \text{Im}M(s), c_\infty \}$

- ▶ Alternative way to write – choosing a different subtraction constant.

Blowing up $M(s) = \frac{(s-s_0)^2}{2\pi i} \oint \frac{dz M(z)}{(z-s)(z-s_0)^2}$ gives $\frac{M(s) - M(s_0)}{(s-s_0)^2} = \frac{1}{\pi} \int_{\text{cuts}} dz \frac{\text{Im } M(z)}{(z-s)(z-s_0)^2}$

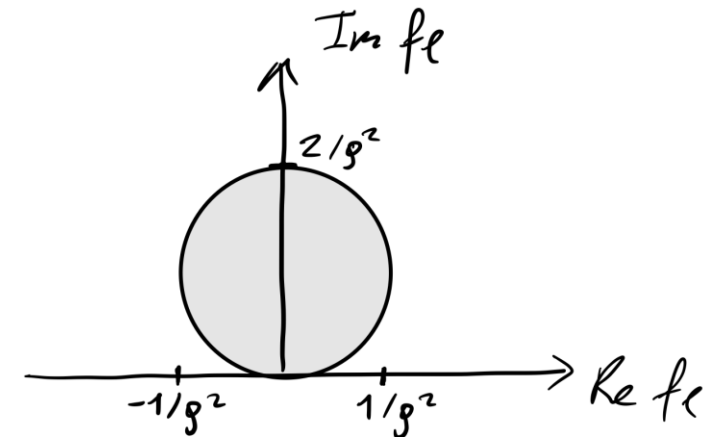
\Rightarrow We traded c_∞ for another unknown $M(s_0)$.

- ▶ Projecting onto spin-zero (Roy equation)

$$\frac{c_0}{16\pi} = \underbrace{\text{Re } f_0(s)}_{\text{bounded by unitarity circle!}} - \frac{1}{\pi} p.v. \int_{4m^2}^{\infty} dz \sum_{\ell=0}^{\infty} \ker_{0,\ell}(s,z) \underbrace{\text{Im } f_\ell(z)}_{\text{bounded by unitarity circle!}}$$

bounded by
unitarity circle!

bounded by
unitarity circle!



Motivation - Space of low energy coefficients

- ▶ We learnt that **the answer**: No, $\{c_i\}$ cannot take arbitrary values!
- ▶ What are the allowed values then?

We can use **the tool**: Numerical **primal/dual** S-matrix bootstrap

to study a single coefficient

$$-8.02\dots < c_0 \cdot (32\pi) < 2.6613\dots$$

or a multi-dimensional system

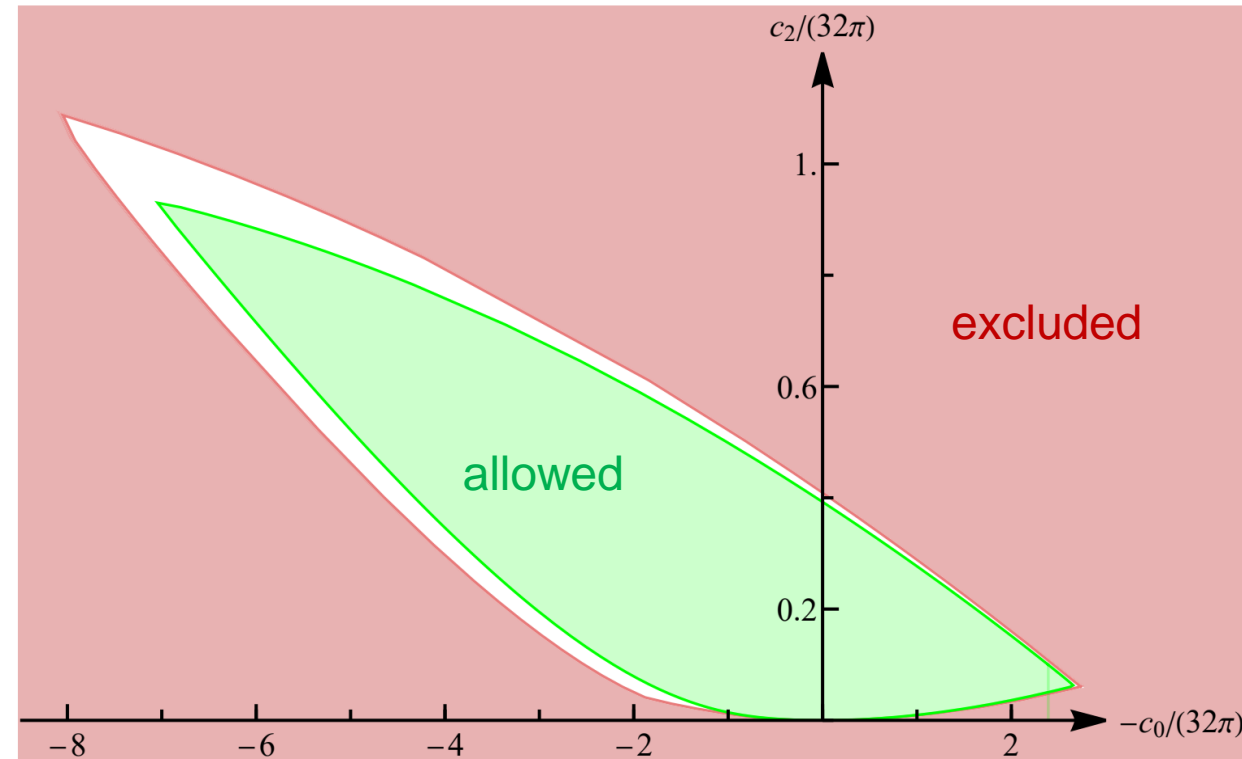
$$(c_0, c_2) = F[\text{Re}f_0(s), \text{Im}f_\ell(s)]$$

with F a linear functional.

[Elias Miró, Guerrieri, MAG '22]

[Chen, Fitzpatrick, Karateev '22]

[Tourkine, Zhiboedov '23]



Interlude: S-matrix Bootstrap

Interlude – Numerical S-matrix Bootstrap

Idea: Parametrize the amplitude in a basis of functions that makes manifest a subset of $\{\mathcal{A}, \mathcal{U}, \mathcal{CS}\}$.

- ▶ Partial wave expansion $M = \sum_{\ell} f_{\ell}(s)$ diagonalizes \mathcal{U} , but $\{\mathcal{A}, \mathcal{CS}\}$ mixes them.
- ▶ ρ -expansion manifestly solves for $\{\mathcal{A}, \mathcal{CS}\}$, but \mathcal{U} is non-trivial.

[Paulos, Penedones, Toledo, van Rees, Vieira, Homrich '16 – '19]

$$M = \sum_{a,b,c} \alpha_{(abc)} \rho(s)^a \rho(t)^b \rho(u)^c \quad \text{with } \rho(s) = \frac{2 - \sqrt{4m^2 - s}}{2 + \sqrt{4m^2 - s}}$$

Look for numerical solutions to the missing subset

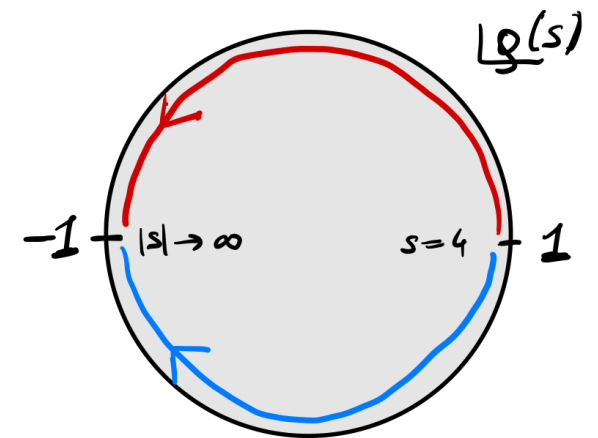
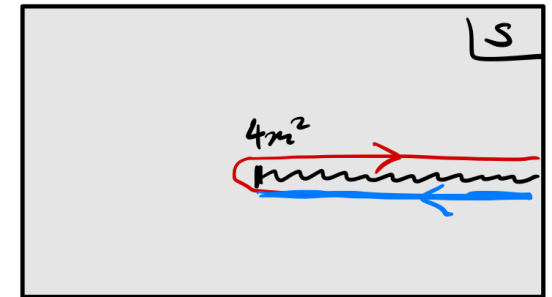
In case of \mathcal{U} , by using semi-definite linear programming.

$$\begin{pmatrix} 1 - (\rho^2/2) \operatorname{Im} f_{\ell} & \rho \operatorname{Re} f_{\ell} \\ \rho \operatorname{Re} f_{\ell} & 2 \operatorname{Im} f_{\ell} \end{pmatrix} \succeq 0$$

Optimize for the desired objectives $\{c_i\}$, giving us

the bounds $c_i^{\min/\max}$,

the extremal solution $M^{\min/\max}(s, t, u)$.



Interlude – Numerical S-matrix Bootstrap

- ▶ An optimization problem P with the objective \mathcal{O} over the set of admissible $M(s, t)$.

$$\mathcal{O} = \max_{\{M(s,t)\}} \pm c_i \quad \text{subject to}$$

analyticity	
unitarity:	$2 \operatorname{Im} M \geq \int M ^2$
crossing:	$M(s, t) = M(t, s) = M(s, u)$

- ▶ P admits two approaches.

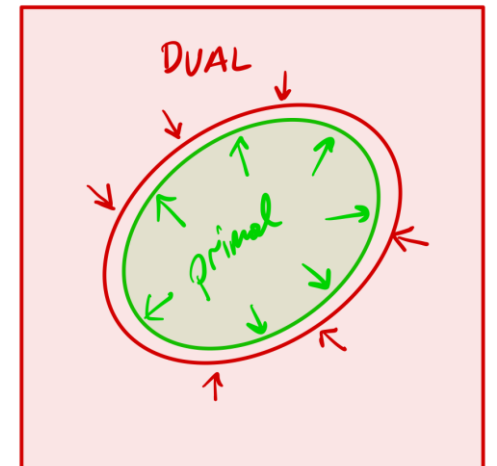
Primal approach:

Construct valid set of solutions and search for its max/min. (“filling inside”).

Dual approach:

Construct a dual problem \bar{P} with the dual objective $\bar{\mathcal{O}} > \mathcal{O}$.

Solving it “rules out” solutions.



Continuing

Motivation – Example IV

- ▶ S-matrix Bootstrap hinted at optimal constraints on low energy parameters.
Can we make use of it for potential BSM applications?

- ▶ Consider the Higgs sector of the SM
 - ▶ in custodial symmetric limit $SO(4) \simeq SU(2)_L \times SU(2)_R$
 - ▶ assume $g_{SM} \ll g_{BSM}$

- ▶ A Lagrangian description of this limit

$$\mathcal{L} = \frac{1}{2}(\partial\vec{\phi})^2 - \frac{m^2}{2}\vec{\phi}^2 - \frac{\lambda}{8}\vec{\phi}^4 - \frac{g_H}{4}\vec{\phi}^2(\partial\vec{\phi})^2 + \dots$$

Leading dim-6 deviation from the SM Lagrangian:

$$\vec{\phi}^2(\partial\vec{\phi})^2 \sim \partial_\mu(H^\dagger H)\partial^\mu(H^\dagger H) \equiv \mathcal{O}_H$$

Example IV

Next model: Massive real $O(n)$ scalars $\phi^{a \in \{1 \dots n\}}$

- ▶ Two-to-two amplitude

$$\mathbf{M}_{ab}^{cd} = M(s|t, u) \delta_{ab} \delta^{cd} + M(t|u, s) \delta_a^c \delta_b^d + M(u|s, t) \delta_a^d \delta_b^c$$

$M(s|t, u)$ is symmetric only in $t \leftrightarrow u$.

- ▶ Low energy expansion

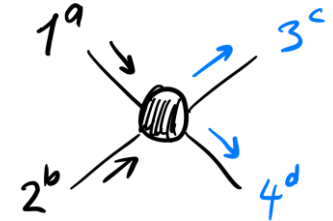
$$\frac{M(s|t, u)}{(4\pi)^2} = c_\lambda + c_H \bar{s} + c_2 (\bar{t}^2 + \bar{u}^2) + c'_2 \bar{s}^2 + O(\bar{s}^4, \bar{t}^4, \bar{u}^4)$$

Notice that there is now a dim-6 coefficient c_H !

- ▶ We study the bounds on the non-dispersive coeff.s (c_L, c_H)

$$c_\lambda 2\pi = \text{Re} f_0^{(sym)}(s) - \frac{1}{\pi} \int_{4m^2}^{\infty} dz \Sigma_{\ell, \text{rep}} K_{1, \ell}^{(sym, rep)}(s, z) \text{Im} f_\ell^{(rep)}(z)$$

$$c_H \frac{\pi}{3} (s - 4) = \text{Re} f_1^{(anti)}(s) - \frac{1}{\pi} \int_{4m^2}^{\infty} dz \Sigma_{\ell, \text{rep}} L_{1, \ell}^{(anti, rep)}(s, z) \text{Im} f_\ell^{(rep)}(z)$$



Mandelstam variables:

$$s = (p_1 + p_2)^2$$

$$t = (p_1 - p_3)^2$$

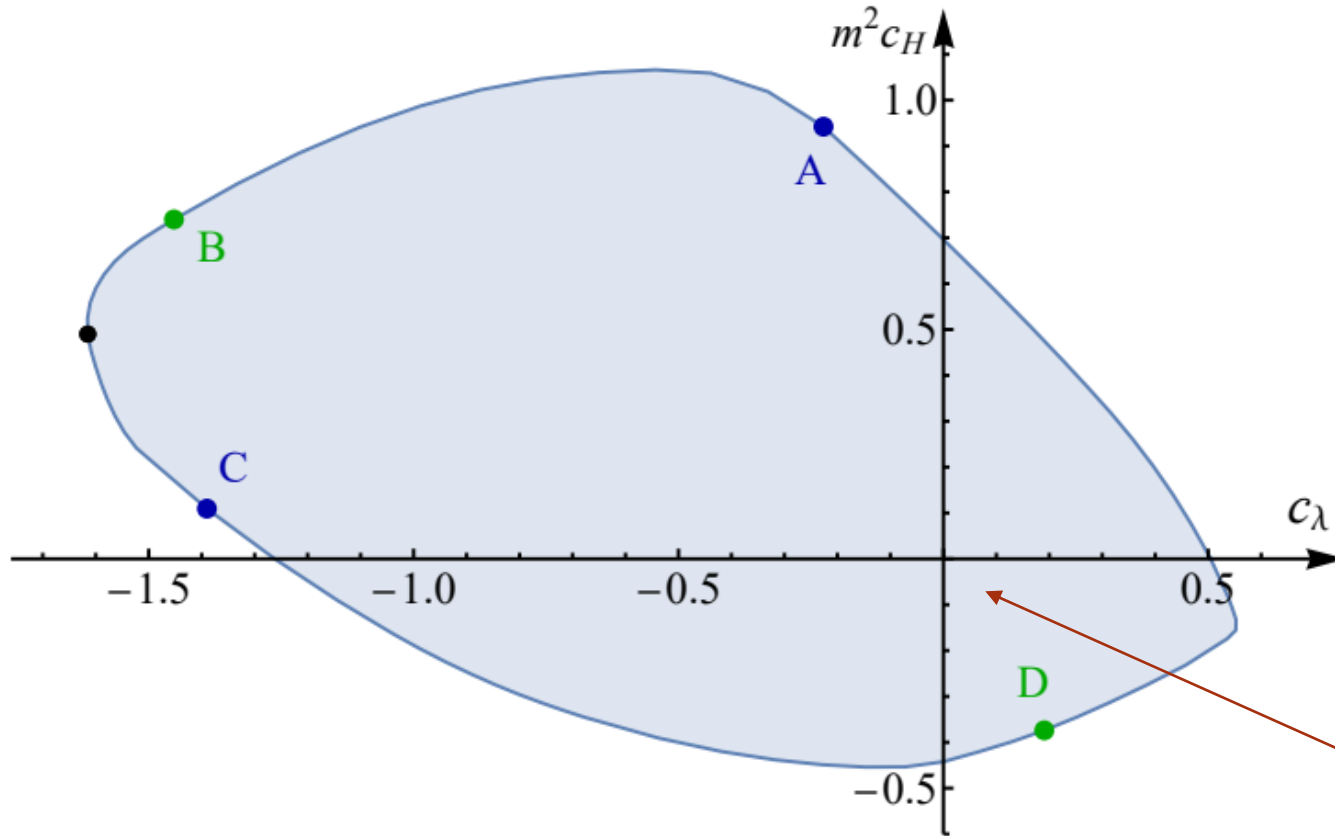
$$u = (p_1 - p_4)^2$$

$$s + t + u = 4m^2$$

$$\bar{s} + \bar{t} + \bar{u} = 0 \quad *$$

Example IV

Next model: Massive real $O(n)$ scalars $\phi^{a \in \{1 \dots n\}}$



► Nonperturbative two-sided (dual) bound:

$$-0.46 < c_H \cdot m^2 < 1.07$$

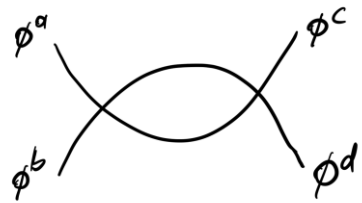
- The boundary is strongly coupled.
 - We detect various threshold singularities in each section of $A - B - C - D$.
 - We observe $c_\lambda \sim O(1)$ and $c_H \sim O(1)$ but, typically in an EFT, we expect $c_\lambda \sim O(1)$ and $c_H \sim O(1) \cdot m^2/\Lambda^2$
- Weakly coupled EFTs near the origin.

Example IV

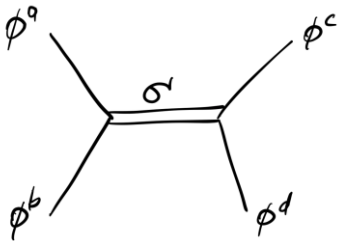
A simple UV completion confirms this insight:

$$\mathcal{L} = \frac{1}{2}(\partial\vec{\phi})^2 - \frac{1}{2}m^2\vec{\phi}^2 - \frac{\lambda}{8}(\vec{\phi} \cdot \vec{\phi})^2 + \frac{1}{2}(\partial\sigma)^2 - \frac{1}{2}M^2\sigma^2 - g\sigma(\vec{\phi} \cdot \vec{\phi})$$

- ▶ If we compute two-to-two scattering for ϕ^a and expand for small energies



$$= -\lambda + \frac{\lambda^2}{16\pi^2} \left(\frac{3(n+2)}{16} \left(3\sqrt{2} \tan^{-1} \frac{1}{\sqrt{2}} - 2 \right) \right) \bar{s} + \dots$$

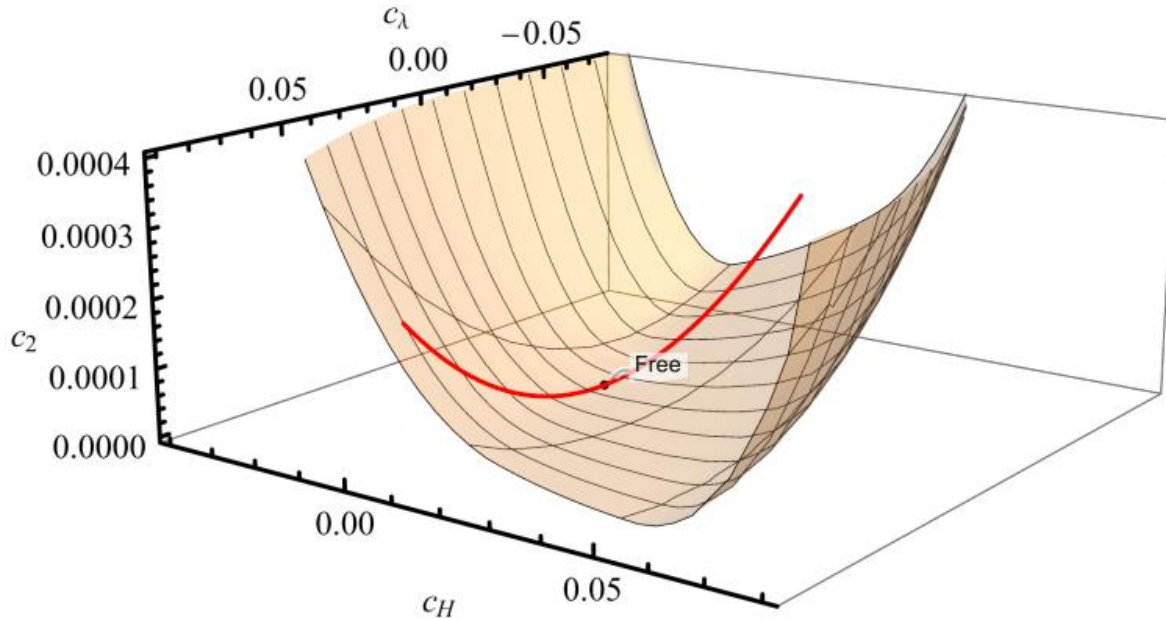


$$= \frac{g^2}{M^2 - 4m^2/3} + \frac{g^2}{(M^2 - 4m^2/3)^2} \bar{s} + \frac{g^2}{(M^2 - 4m^2/3)^3} \bar{s}^2 + \dots$$

- ▶ c_H w.r.t. c_λ is either coupling (λ) suppressed or scale (m^2/M^2) suppressed.
- ▶ Let us zoom further in the origin to locate EFT-like amplitudes.

Example IV – EFT approximation

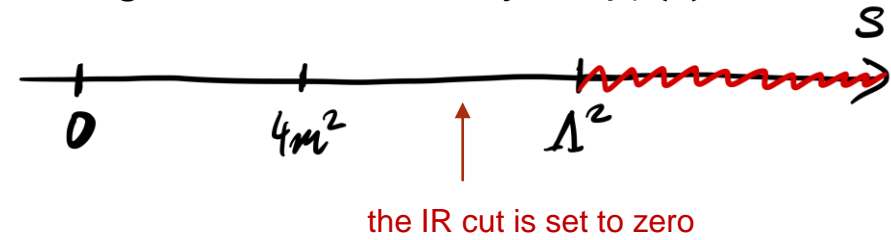
Study the 3d-system $\{c_\lambda, c_H, c_2 \geq 0\}$



Notice the agreement of one-loop $\vec{\phi}^4$ amplitude (red) with the nonperturbative boundary.

How can we isolate EFTs in the vast space of strongly coupled amplitudes?

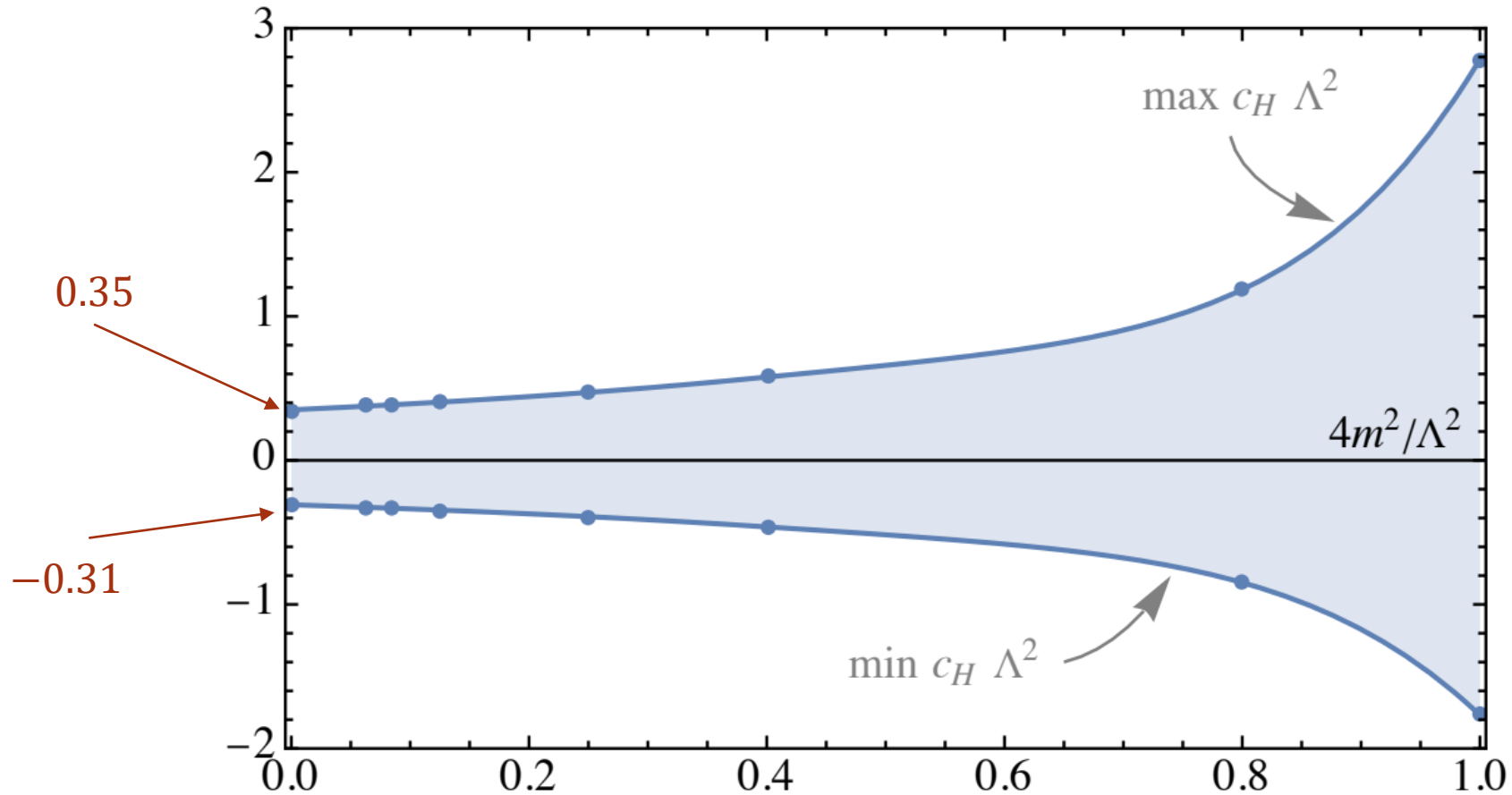
- Introduce the two scales m^2 and Λ^2 and the scale separation $m^2/\Lambda^2 \ll 1$ through the discontinuity $\text{Im} f_\ell(s)$



- We call this limit “exact UV domination”
- It is possible to refine it by fixing $\text{Im} f_\ell^{IR}(s)$ to some desired profile obtained from experiments.

Example IV – EFT approximation

- ▶ We dial up $\Lambda^2 \in [4m^2, 64m^2]$ and discover a scaling limit where $-0.31 < c_H \cdot \Lambda^2 < 0.35$
- ▶ Global fits from experiments report: $|c_H \cdot \Lambda^2| < O(1) \cdot 1 \text{ TeV}$



Conclusions

- ▶ Numerical S-matrix bootstrap allows us to study the space of theories with high precision, given a set of very general assumptions, such as unitarity, analyticity, and crossing symmetry.

Check out other 4d examples, such as fluxtubes [\[1906.08098\]](#), neutral Goldstones [\[2310.06027\]](#) etc.

- ▶ Theoretical constraints can be useful / complementary to experimental ones (see bounds on $c_H \cdot \Lambda^2$)

Some future outlook:

- ▶ Add other particles in the spectrum (transverse + longitudinal modes of heavy gauge bosons)
- ▶ Further modelling of the IR data – the more constraints in the input, the stricter the bounds we get.
- ▶ Adding inelasticity (particle production) would lead to tighter constraints.

Thank you!

Dual for $O(n)$ amplitudes

- ▶ We dualize the problem by means of a Lagrangian:

$$\mathcal{L}(\mathcal{P}, \mathcal{D}) = c_H[f_\ell] + w \cdot \text{Roy eq.}[f_\ell] + \lambda \cdot \text{unitarity}[f_\ell] + v \cdot \text{crossing}[f_\ell]$$

$\mathcal{P} \equiv \{f_\ell(s)\}$ are primal variables, and $\mathcal{D} \equiv \{w, \lambda, v\}$ are Lagrange multipliers.

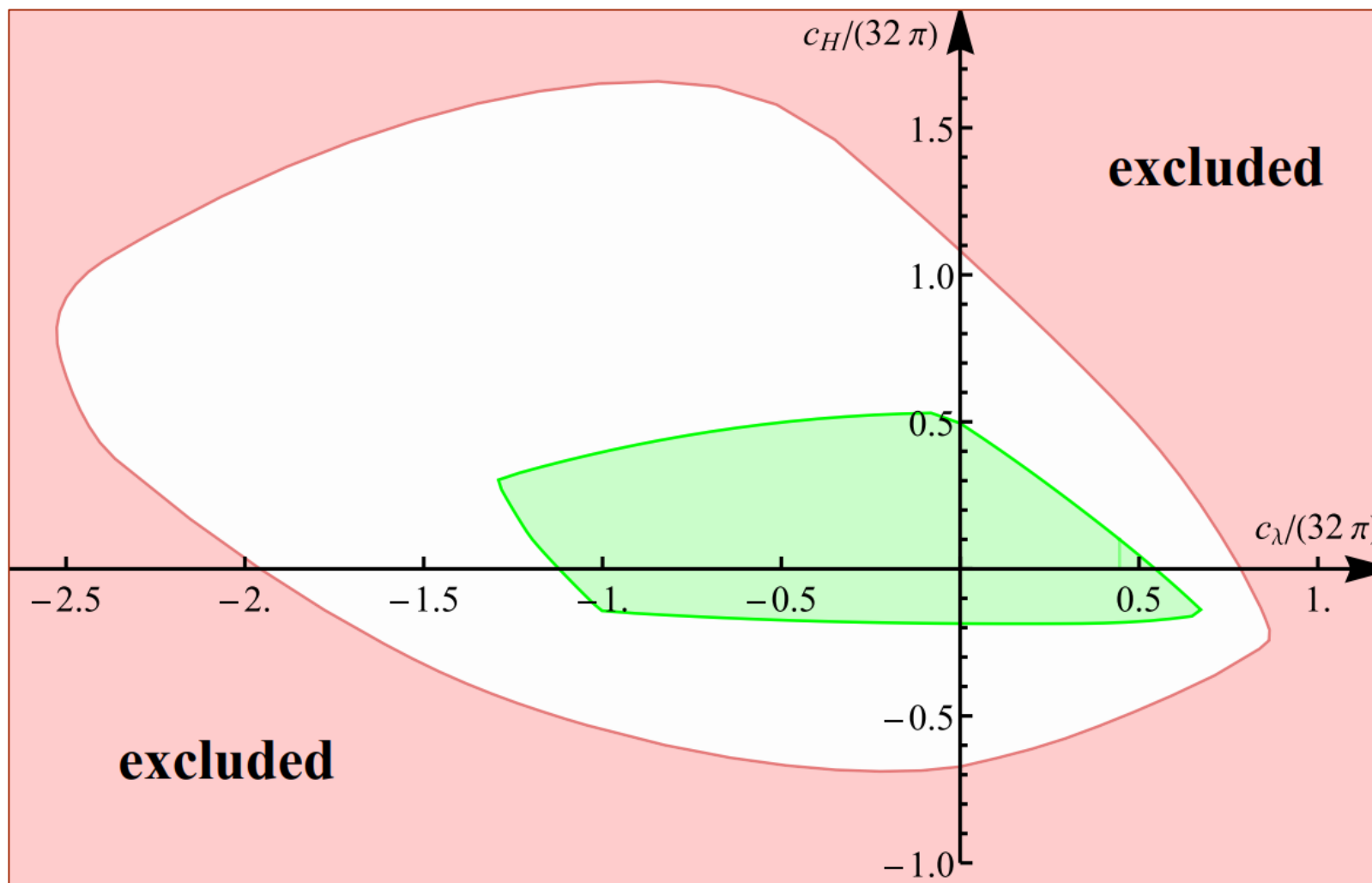
- ▶ Integrating out \mathcal{P} , gives the dual functional $d(\mathcal{D}) \equiv \max_{\mathcal{P}} \mathcal{L}(\mathcal{P}, \mathcal{D})$.

- ▶ For **all** choices of $\{w, \lambda, v\}$: $d(\mathcal{D}) \geq c_i$

- ▶ To do the optimal choice:

Nonlinear \rightarrow Semidefinite linear conditions \rightarrow SDPB.

Duality gap in $O(n)$

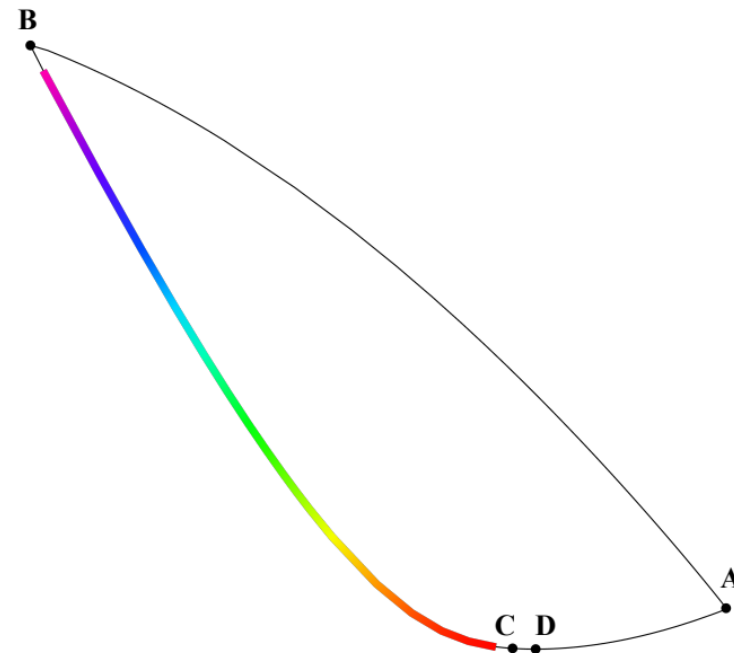
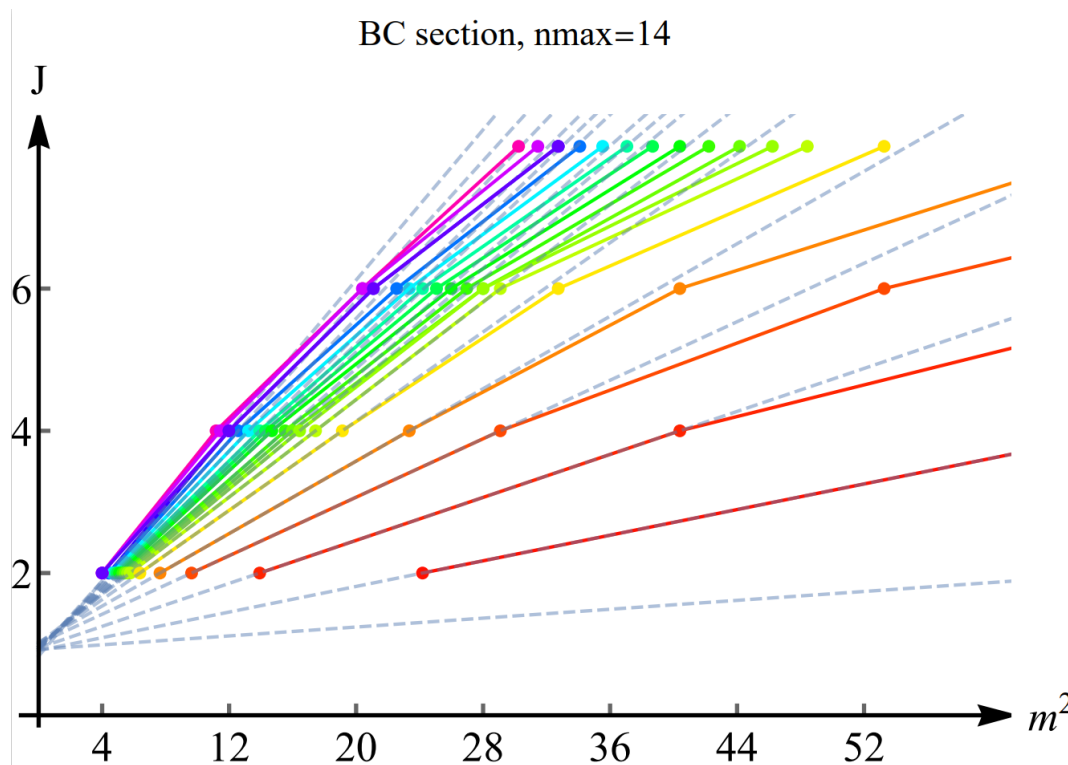


Regge Physics of O(1)

► Higher spin resonances.

π phase rotations in each $S_\ell(s)$.

They come in \sim linear Regge trajectories + align with asymptotic growth $M(s, t) \sim \beta(t)s^{\alpha(t)}$.



Analyticity

- ▶ Lehmann ellipses (small/large) for $\sum_{\ell} a_{\ell} P_{\ell}(z)$
 - ▶ For the amplitude, $z_{small} = 1 + 8m^2/(s - 4m^2)$
 - ▶ For its discontinuity, $z_{large} = 2z_{small}^2 - 1 = 1 + 32m^2/(s - 4m^2)^2$
- ▶ Ellipses shrink to 1 for large s.
- ▶ Martin's extension using unitarity + N-subtracted dispersion relations.
 - ▶ Analytic region for $|t| < 4m^2$ independent of s.
 - + elastic unitarity:
 - ▶ Double subtracted dispersion relation holds for any real $-28m^2 < t < 4m^2$.
- ▶ Dual approach is limited by $\Lambda_c^2 = 12m^2$ for fixed-t dispersion relations.