

# New forward physics results from CMS

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Minimum Bias and Underlying Event Working Group

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# Outline

- 1 Introduction
- 2 Hadronic FWD Calorimeter
- 3 FWD Energy Flow
- 4 Correlation: Energy Flow and Track Multiplicity in  $W$  Events
- 5 FWD Jet Cross Sections
- 6 Summary
- 7 Backup

# In this presentation:

## Focus:

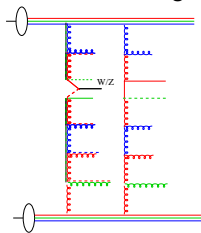
- Forward energy flow for different processes
- Forward jet cross sections
- Info that can be used for MC tuning

## Plots are taken from the following CMS analyses:

- **PAS FWD-10-001:** Observation of Diffraction in  $pp$  Collisions at 900 GeV and 2360 GeV com Energies at the LHC
- **PAS FWD-10-003:** Inclusive Forward Jet Production Cross Sections in Proton-Proton collisions at  $\sqrt{s} = 7$  TeV
- **PAS FWD-10-006:** Cross section measurement for simultaneous production of a central and a forward jet in proton-proton collisions at  $\sqrt{s} = 7$  TeV
- **PAS FWD-10-008:** Forward Energy Flow, Central Track Multiplicities and Large Rapidity Gaps in W and Z Boson Events at 7 TeV  $pp$  Collisions
- **PAS FWD-10-011:** Forward Energy Flow in the CMS Detector

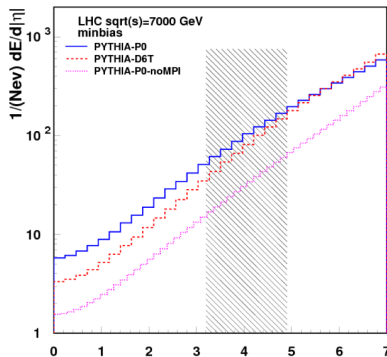
# Introduction

- FWD energy flow is sensitive to:
  - physics of the UE
  - amount of parton radiation
  - multi parton interaction (MPI)
  - the scale of the process
- can be used to:
  - discriminate different MPI models
  - eventually determine MPI model parameters
- MPI: so far tuned to central observables
- expect differences in FWD region



On generator level:

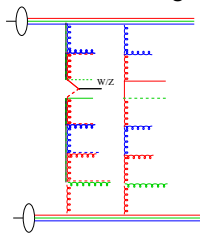
Soft scale: Minimum bias





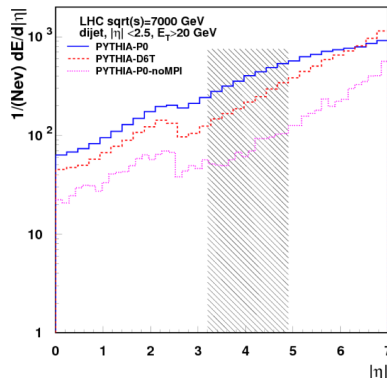
# Introduction

- FWD energy flow is sensitive to:
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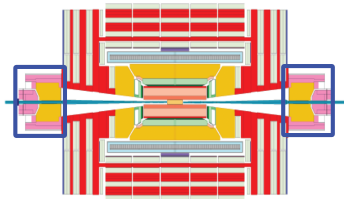


On generator level:

Hard scale: Di-jets



# The Hadronic Forward Calorimeter



- coverage:  $2.9 \leq |\eta| \leq 5.2$
- Distance from IP: 11.2 m
- Iron absorber + quartz fibers
- Able to distinguish electromagnetic and hadronic energy deposits
- $\approx 10\%$  energy scale uncertainty

## Variables used:

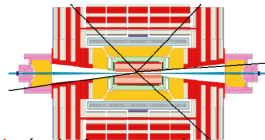
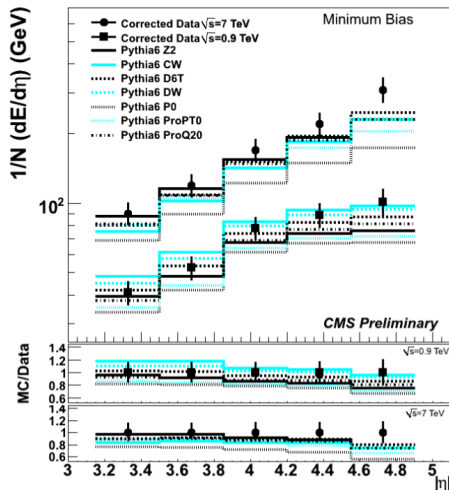
- Energy deposit in HF:  $\sum E_{tower}$ 
  - Where  $E_{tower}$  above some threshold to remove noise
  - Energy in HF as function of  $\eta$ :  $\frac{dE}{d\eta}$
  - Total energy in HF
- Central track multiplicity
  - $|\eta| < 2.5$
  - $p_t > 0.5/1 \text{ GeV}$

Soft scale	$\sqrt{s}$	Hard scale	$\sqrt{s}$	scale
Minimum Bias	900 GeV	Dijets	900 GeV	$p_t > 8 \text{ GeV}$
	7 TeV		7 TeV	$p_t > 20 \text{ GeV}$
		$W(Z)$ events	7 TeV	$m_{W(Z)}$

Differential FWD energy flow:  $\frac{dE}{d\eta}$

# FWD Energy Flow I

Minimum bias -  $\sqrt{s} = 900 \text{ GeV}$  &  $7 \text{ TeV}$



No hard scale (only few partonic interactions)  
 900 GeV vs. 7 TeV

- Minimum bias trigger

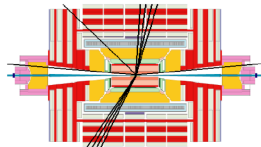
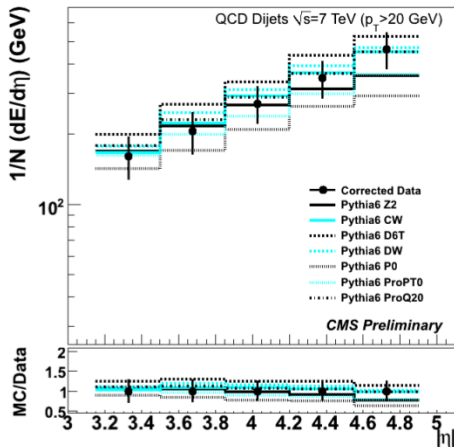
## PYTHIA 6

- increase with  $\eta$
- $\sqrt{s}$  dependence
- tune Z2: shows the same energy dependence
- distinguish different tunes e.g. D6T, ProQ20:
- discrepancy for  $\sqrt{s} = 7 \text{ TeV}$  at high  $\eta$
- no significant difference between  $p_t$  or  $Q^2$  ordered MC

Corrected to hadron level

# FWD Energy Flow II

Dijet sample -  $\sqrt{s} = 7$  TeV



With **hard scale** (high  $p_t$  partonic interactions)

- anti- $k_t$  algo with  $R = 0.5$
- $p_t > 8(20)$  GeV for  $\sqrt{s} = 0.9(7)$  TeV

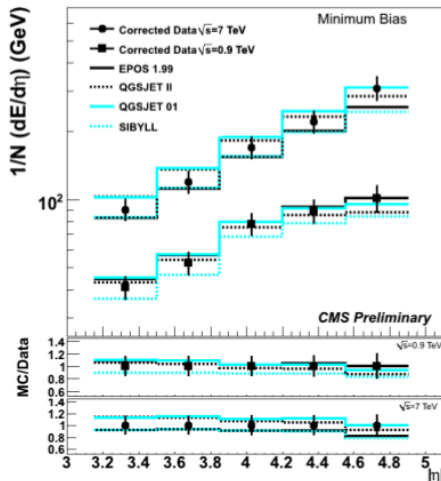
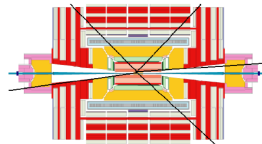
## PYTHIA 6 - dijets @ 7 TeV

- higher energy flow than in min bias
- different MC more spread
- Z2: same behaviour as in min bias
- D6T: shifted to higher energy, independent of  $\eta$  (with respect to min bias)
- ProQ20: agrees with data! (also for 900 GeV)

Corrected to hadron level, only 7 TeV shown

# Remark: Cosmic Ray MC

Comparing to predictions from cosmic ray MC...



Minimum bias

Excellent agreement between data and MC!

-proton (cosmic rays) interactions with atmosphere  
-based on Regge theory (Pomeron exchange)

- EPOS
- QGSJET
- SIBYLL

Corrected to hadron level

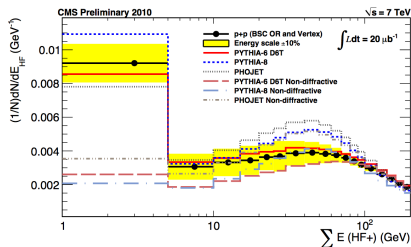
Total FWD energy flow deposited in HF:  $\sum E$



# Forward Energy Flow

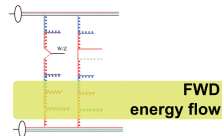
Total energy deposit in FWD calorimeter  
on detector level

## Minimum bias

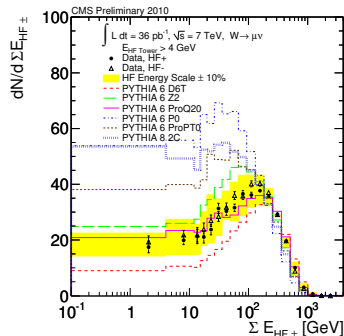


PYTHIA 6 D6T: good agreement  
PYTHIA 8 & PHOJET: good description at low  
 $E$ , but not total FWD energy flow

- Non-Diffractive PYTHIA 6 D6T: same behavior for min bias &  $W$  production!
- FWD energy flow strongly tune dependent for  $W$  production



## W events

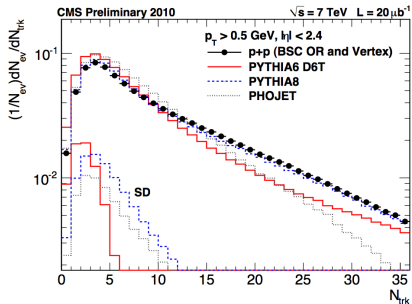


No PYTHIA tune describes FWD energy  
spectrum  
Large difference between tunes!

# Central Track Multiplicity

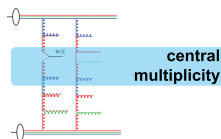
Track multiplicity in central region of the detector  
on detector level

Minimum bias

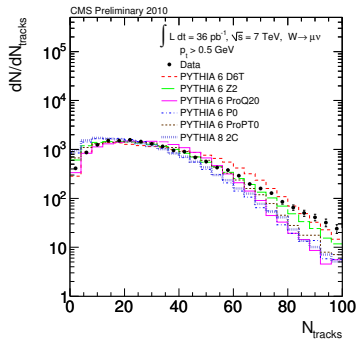


PYTHIA 8 (tune 1): good agreement  
PYTHIA 6 D6T & PHOJET: too low track multiplicity

- Track multiplicity is less tune dependent and
- strongly track  $p_T$  cut dependent!



W events



PYTHIA 8 2C: too low, but very good with 1 GeV  $p_T$  cut!

PYTHIA 6 Z2: good description

# Overview of the different tunes / MCs

Minimum bias:




	D6T	Pythia 8	PHOJET
Tracks $p_t > 0.5$ GeV	disagreement	good agreement	disagreement
Forward Energy Flow	good agreement	decent agreement	decent agreement
Central Calorimetry	decent agreement	decent agreement	disagreement

W analysis:

	D6T	Z2	ProQ20	Pythia 8
Tracks $p_t > 0.5$ GeV	decent agreement	decent agreement	decent agreement	disagreement
Tracks $p_t > 1.0$ GeV	disagreement	decent agreement	disagreement	good agreement
Forward Energy Flow	disagreement	disagreement	good agreement	disagreement

## Conclusion:

No single MC describes the data in their entirety

 good agreement  
 decent agreement  
 disagreement

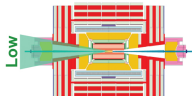
- Different PYTHIA tunes show same behaviour for a soft and a hard scale
- For  $W$  events: overall energy flow strongly tune dependent
- Some tunes are able to describe central multiplicity others not (depending of track selection)
- No studied MC model can do both at the same time

⇒ **Correlation Between Forward Energy Flow and Central Track Multiplicity in  $W$  Events**

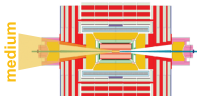
# Correlation Studies in $W$ events

To study differences and correlation of energy flow and track multiplicities in more detail, split in 3 HF energy ranges:

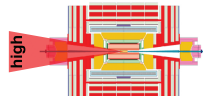
**Low:** 20 - 100 GeV  
(region of largest discrepancy)



**Medium:** 200 - 400 GeV  
(peak region)

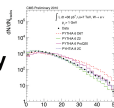


**High:** >500 GeV  
(high energy region)

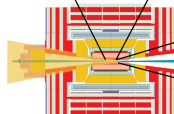


Categorize event with HF— energy deposit  
"Look" at opposite side (i.e. HF+) deposit and track multiplicities

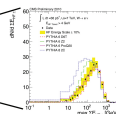
**central  
multiplicity**



**tag  
event**

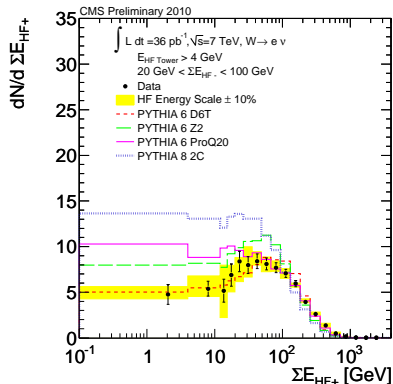
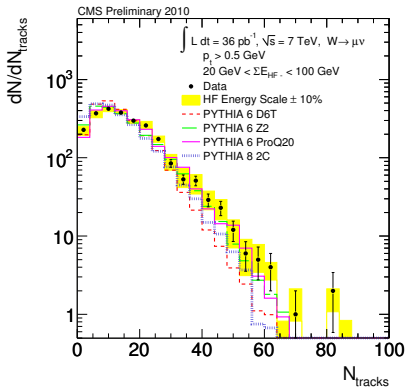
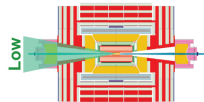


**FWD energy  
flow**



On the following slides, only the track multiplicity plots with  $p_t > 0.5$  GeV cut are shown. The 1 GeV cut plots can be found in the backup

## Low - 20 – 100 GeV

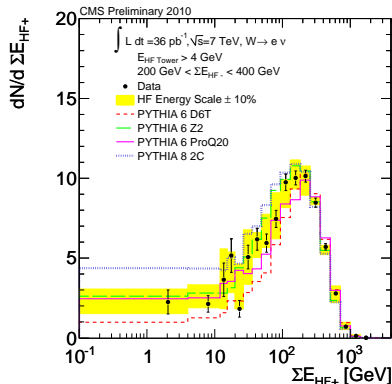
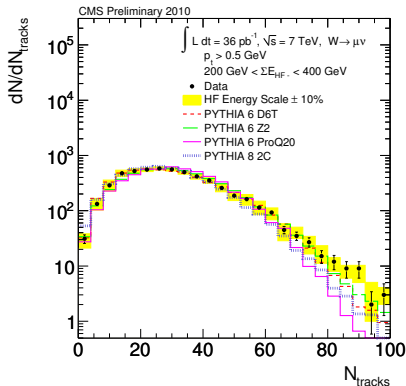
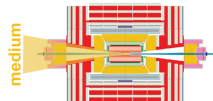
Multiplicity  $p_t > 0.5 \text{ GeV}$ , Muons

- good: PYTHIA 6: ProQ20, Z2
- slightly low: PYTHIA 6 D6T, PYTHIA 8 2C

## Energy Flow, Electrons

- too low: PYTHIA 6: ProQ20, Z2, PYTHIA 8 2C
- slightly high: PYTHIA 6 D6T

# Medium - 200 – 400 GeV



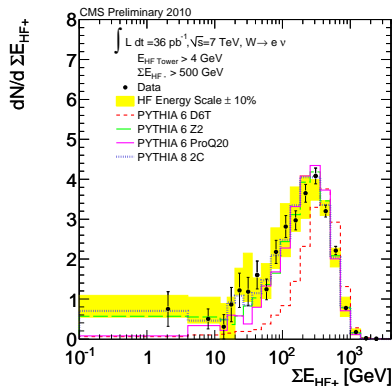
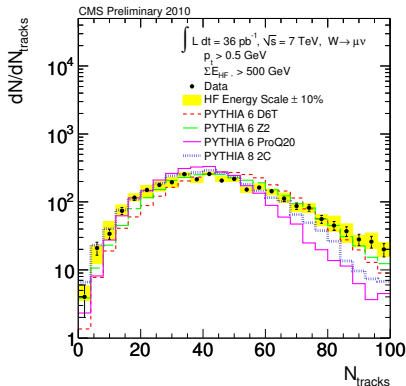
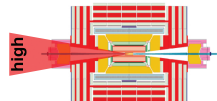
## Multiplicity $p_T > 0.5 \text{ GeV}$ , Muons

- good: PYTHIA 6: D6T, Z2
- slightly low: PYTHIA 8 2C
- shape: PYTHIA 6 ProQ20

## Energy Flow, Electrons

- good: PYTHIA 6 Z2
- too hard: PYTHIA 6: D6T, ProQ20
- too soft: PYTHIA 8 2C

## High - &gt; 500 GeV

Multiplicity  $p_T > 0.5 \text{ GeV}$ , Muons

- good: PYTHIA 8 2C
- higher: PYTHIA 6: D6T, Z2
- shape: PYTHIA 6 ProQ20

## Energy Flow, Electrons

- good: PYTHIA 6: Z2, ProQ20, PYTHIA 8 2C
- too hard: PYTHIA 6 D6T






# Overview of the different tunes - $W$ Analysis

It's impossible to give a short overview,  
and very subjective...

		D6T	Z2	ProQ20	Pythia 8
Tracks $p_t > 0.5$ GeV	all				
	low				
	medium				
	high				
Tracks $p_t > 1.0$ GeV	all				
	low				
	medium				
	high				
Forward Energy Flow	all				
	low				
	medium				
	high				

## Conclusion:

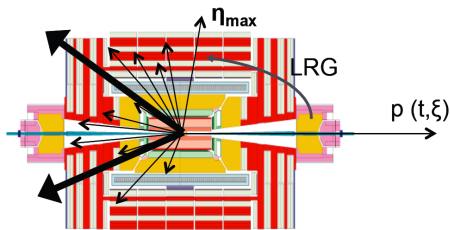
No PYTHIA tune is able to describe FWD energy flow and central track multiplicity simultaneously.

 good agreement  
 decent agreement  
 disagreement

# Size of rapidity gaps

Use Particle Flow to measure the gap size (with respect to the beam)

- LRGs mostly from **multiplicity fluctuations**
- **ND MC** can have large gaps too!



The largest (smallest)  $\eta_{max}$  ( $\eta_{min}$ ) gives the size of the gap

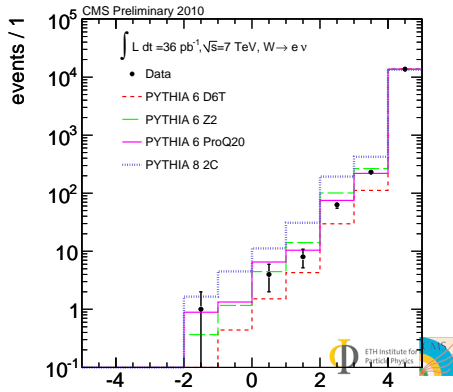
$$\tilde{\eta} = \min(\eta_{max}, -\eta_{min})$$

$$\Delta\eta_{gap}^{4.9} = 4.9 - \tilde{\eta}$$

with a too soft FWD energy spectrum or a too low track multiplicity, one can get too large gaps.

remark:  $\tilde{\eta} < 0 \Rightarrow$  "empty" hemisphere

Large differences between different tunes!

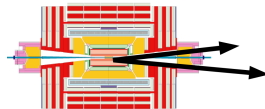


- Inclusive FWD jet cross section
- Central-FWD jet cross section

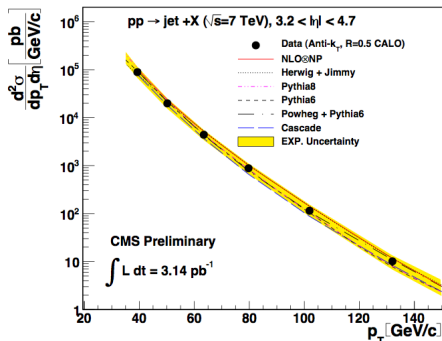
# Inclusive FWD Jet Cross Section

Jet production sensitive to UE, parton radiation & PDFs  
small  $x$  processes:  $\approx 10^{-5}$

$x_2 \ll x_1 \Rightarrow$  expect differences



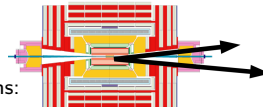
Measurement of the inclusive FWD jet cross section



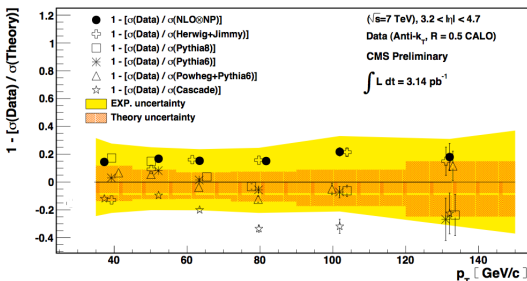
- Single jet trigger ( $\approx 100\%$  eff.)
- $3.2 < |\eta| < 4.7$ ,  $p_T > 35$  GeV
- anti- $k_T$  algo with  $R = 0.5$
- good quality jets

good agreement, within uncertainties,  
between calculated and measured cross  
section!

# Inclusive FWD Jet Cross Section



Comparison of measured x-sec with different model predictions:

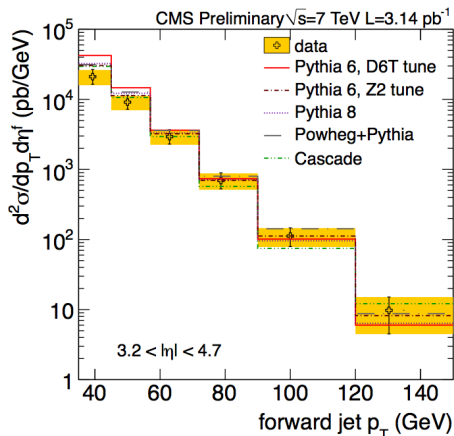
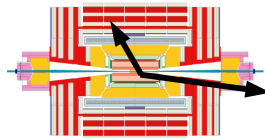


Systematic uncertainties (on final x-sec):

- Jet energy scale:  $\approx 20 - 30\%$
- Jet energy resolution:  $\approx 3 - 6\%$
- Luminosity: 4%
- HF calibration: 3 – 6%
- Model dependence: 3%

# Central-FWD Jet Cross Section

One central and one FWD jet



- Dijet trigger ( $\approx 100\%$  eff.)
- anti- $k_t$  algo with  $R = 0.5$
- central:  $|\eta| < 2.8$
- fwd:  $3.2 < |\eta| < 4.7$
- both:  $p_T > 35$  GeV
- good quality jets

- MC over predicts data
- MC spectrum steeper
- Max discrepancy at low  $p_T$

# Summary

- Measurements of FWD energy flow were shown:
  - for different processes with different scales
  - compared to predictions from different MC models
- The FWD energy flow is:
  - strongly model dependent
- The correlation between FWD energy flow and central track multiplicity was studied:
  - for  $W$  events
  - none of the studied tunes describes FWD energy flow and central multiplicity simultaneously
- A measurement of the FWD jet cross section was shown:
  - for inclusive fwd jets
  - and central fwd jets
  - the predictions agree within uncertainties to the measurement

# Backup Slides



# Size of the Gap II

- Ignoring HF  $\Rightarrow$  get information about more central LRGs
- LRGs mostly from **multiplicity fluctuations** (strongly tune dependent)
- **ND MC can have large gaps too!**

The largest (smallest)  $\eta_{max}$  ( $\eta_{min}$ ) gives the size of the gap

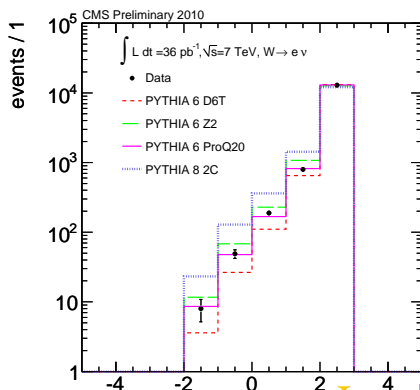
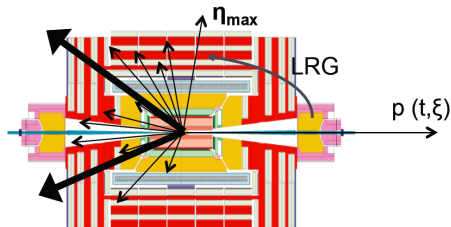
$$\tilde{\eta} = \min(\eta_{max}, -\eta_{min})$$

$$\Delta\eta_{gap}^{2.85} = 2.85 - \tilde{\eta}$$

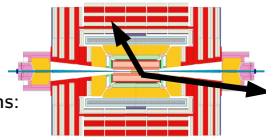
e.g.:

D6T: too high multiplicity  $\Rightarrow$  smaller gaps

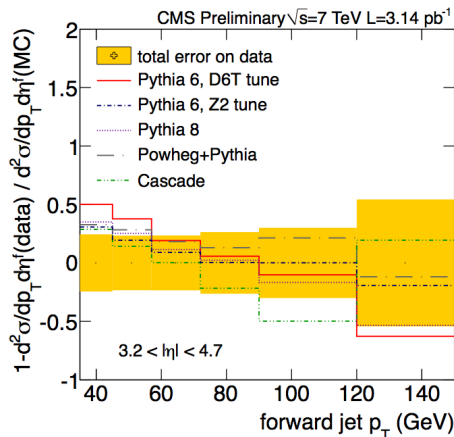
2C: too low multiplicity  $\Rightarrow$  larger gaps



# Central-FWD Jet Cross Section



Comparison of measured x-sec with different model predictions:



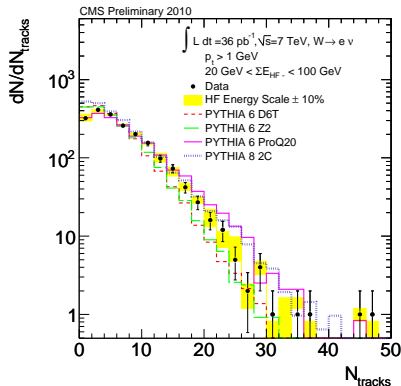
## Systematic uncertainties (on final x-sec):

- Jet energy scale:  $\approx 25\%$
- Jet energy resolution:  $\approx 3.5\%$
- Pile up:  $\approx 5\%$
- Luminosity: 4%
- Model dependence: 3%

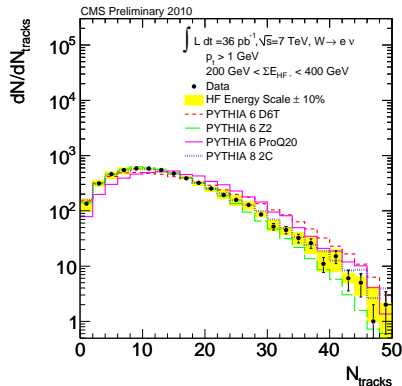
# Track Multiplicity - Low & Medium - Electrons

Electron plots only (i.e.  $p_t > 1$  GeV), muon plots in the talk:

## Low Range



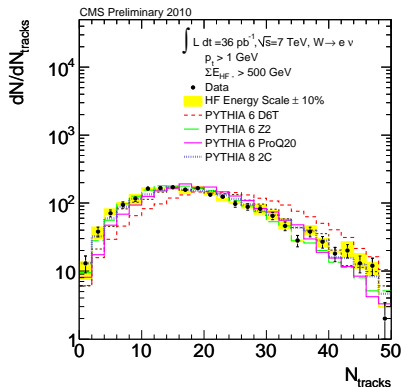
## Medium Range



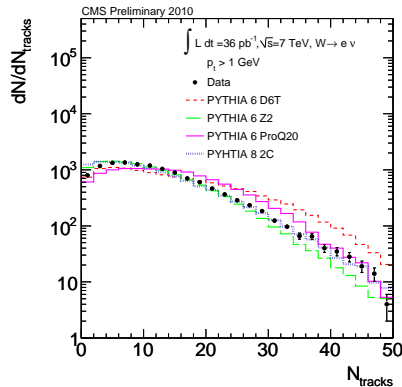
# Track Multiplicity - High & Inclusive - Electrons

Electron plots only (i.e.  $p_t > 1$  GeV), muon plots in the talk:

## High Range



## Inclusive



# Asymmetry in signed $\eta_{lepton}$ in LRG $W$ Events

Electron and muon channel combined

- signed  $\eta_{lepton} < 0 \Rightarrow$  lepton in opposite hemisphere than the gap
- ND: signed  $\eta_{lepton}$  is flat
- SD: tends to negative
- **Counting asymmetry:**  $-0.21 \pm 0.06$  ( $W$ ) and  $-0.2 \pm 0.16$  ( $Z$ )
- Fraction  $f_{SD}$  of SD component from binned maximum likelihood fit
- $f_{SD} \approx 50\%$  independent from the tune
- PYTHIA 6 ProQ20 + POMPYT
- for the other tunes, only the ND fraction is shown

$$f_{SD} = 50 \pm 9.3(stat.) \pm 5.2(syst.)\%$$

NB: for higher FWD energy deposits, the asymmetry disappears!

