

# **(Anti)nuclei with NA61/SHINE**

**Anirvan Shukla**

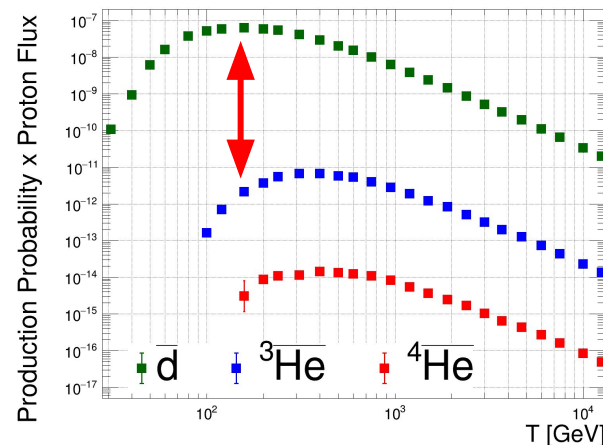
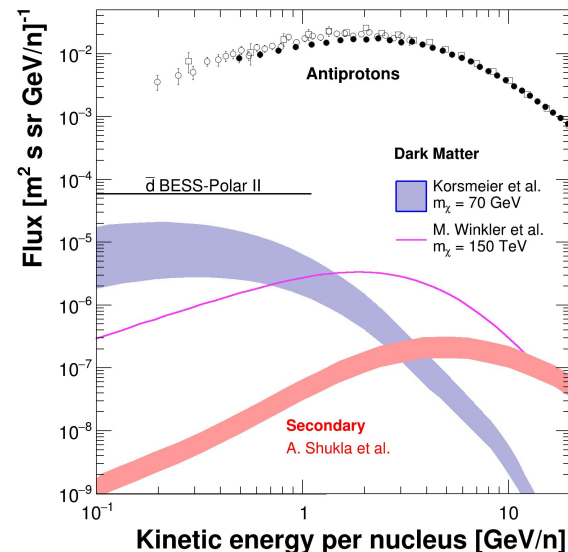
**University of Hawaii at Manoa**

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**JENAA workshop on Nuclear Physics at the LHC and  
connections to astrophysics**

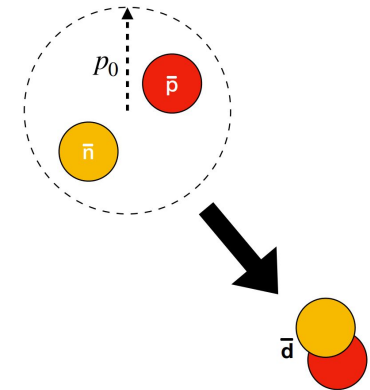
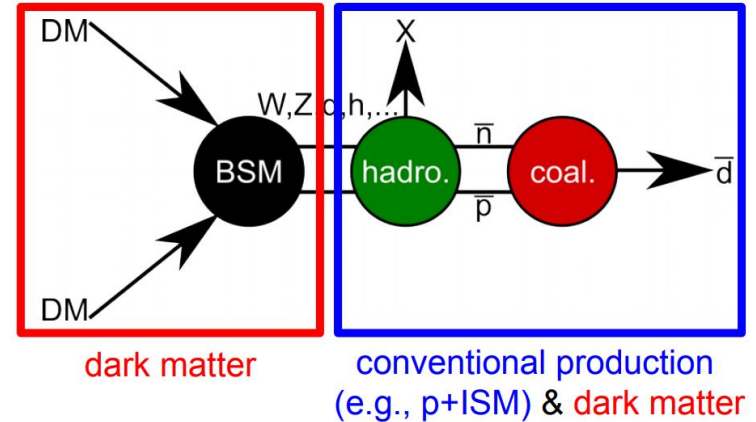
# Cosmic antinuclei as a probe of dark matter

- **Antideuterons** are unexplored probes for indirect dark matter detection:
  - Situation comparable to the mid 70s before the first detection of a handful of cosmic-ray antiprotons in 1979.
  - Predicted in a broad range of dark matter models.
  - **Expected astrophysical background at low energies is many orders of magnitude lower.**
- **Antihelium** candidates have been announced by AMS-02 (~1/year):
  - Puzzling due to mass hierarchy of cosmic-ray flux.
  - Secondaries, DM, or even nearby antistars?
- Large uncertainties in both **astrophysical production** and **Galactic transportation**.



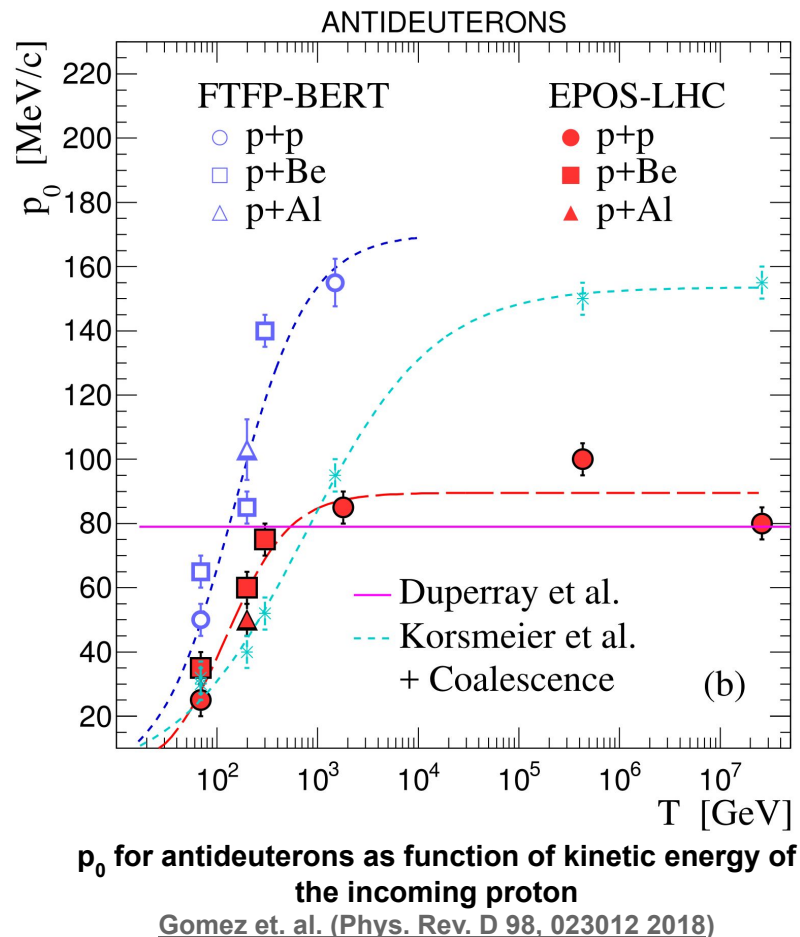
# (Anti)deuteron formation: coalescence model

- Formation of light nuclei and antinuclei is poorly understood.
- Typical hadronic models (Geant4, EPOS) do not produce (anti)deuterons.
- Coalescence model:
  - Simple (analytical) coalescence, OR
  - **Event-by-event coalescence** in hadronic generators.
  - (Anti)deuteron formed if (anti)proton-(anti)neutron pair has small relative momentum.
- This “coalescence momentum”  $p_0$  is determined through fits to experimental data.



# Coalescence momentum $p_0$

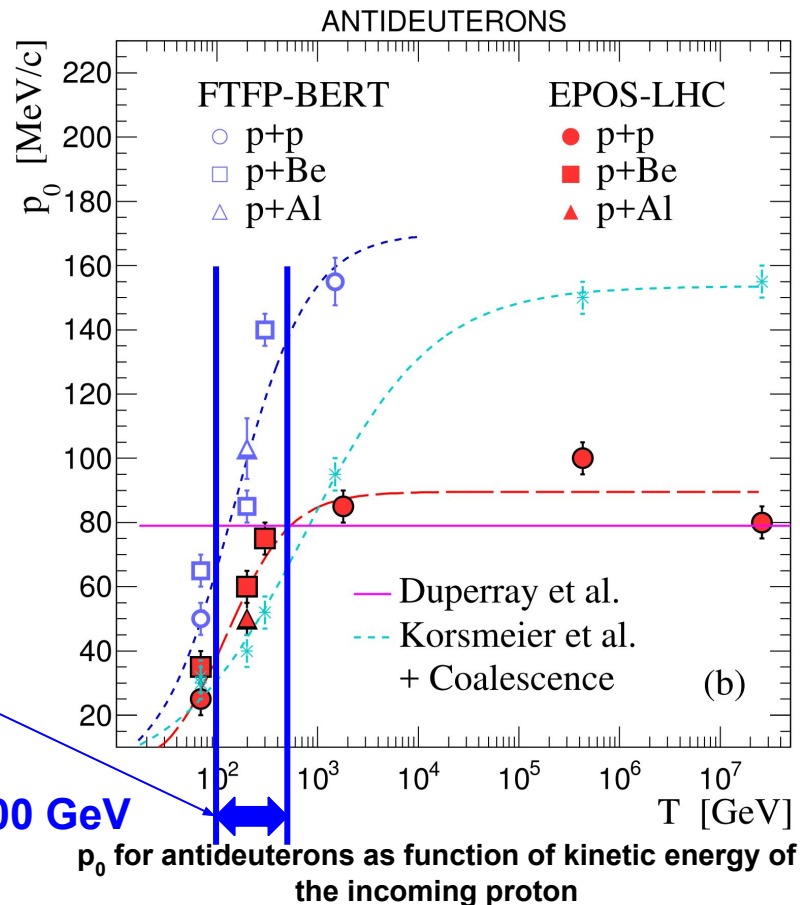
- Find  $p_0$  for each data set with both antiproton and antideuteron measurements
  - Sparse data: one low energy p+p point.
  - Supplement with p+A points.
- EPOS-LHC model was best match to available (anti)proton and (anti)neutron spectra.
- Fitted  $p_0$  showed strong energy dependence
  - in the energy range which is most important for cosmic antinuclei production.



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  - in the energy range which is most important for cosmic antinuclei production.
- **Need new accelerator-based precision measurements** for better constraints.

**~ 100-500 GeV**



Gomez et. al. (Phys. Rev. D 98, 023012 2018)

# Limitations of the coalescence model

- Hadronic generators not tuned for **antiparticle production**.
  - **10-30% mismatch for antiprotons!**
- (Anti)neutrons are challenging to measure.
- Many factors like spin, size of the formation region, not considered.
- Constraints usually only in momentum space.
- Highly sensitive to **two-particle correlations** between produced (anti)nucleons.
  - **Not available for the  $\sim 100\text{-}500$  GeV energies ( $\sqrt{s} \sim 15\text{-}25$  GeV).**

All such differences automatically shifts the calculated  $p_0$ .

# New coalescence model approach: Femtoscopy

- Typically, coalescence Parameter  $B_2$ :

$$E_{\bar{d}} \frac{d^3 N_{\bar{d}}}{dp_{\bar{d}}^3} = \underbrace{\frac{8\pi p_0^3}{3 m_{\bar{p}}}}_{= B_2} \left( E_{\bar{p}} \frac{d^3 N_{\bar{p}}}{dp_{\bar{p}}^3} \right) \left( E_{\bar{n}} \frac{d^3 N_{\bar{n}}}{dp_{\bar{n}}^3} \right)$$

- But new recipe which takes into account:
  - interaction source size ( $R$ ).
  - Two-particle interaction potential, and
  - (anti)deuteron wave function.

- Possible alternative definition for  $B_2$ :

- $R(p_T)$ : interaction source size.
- $D(q)$ : deuteron Wigner function from  $\Phi_{\sigma}$ , the (anti)deuteron wave function (various models).

$$B_2(p_T) \approx \frac{3}{2m} \int d^3 q D(q) e^{-R_{p_T}^2 q^2}$$

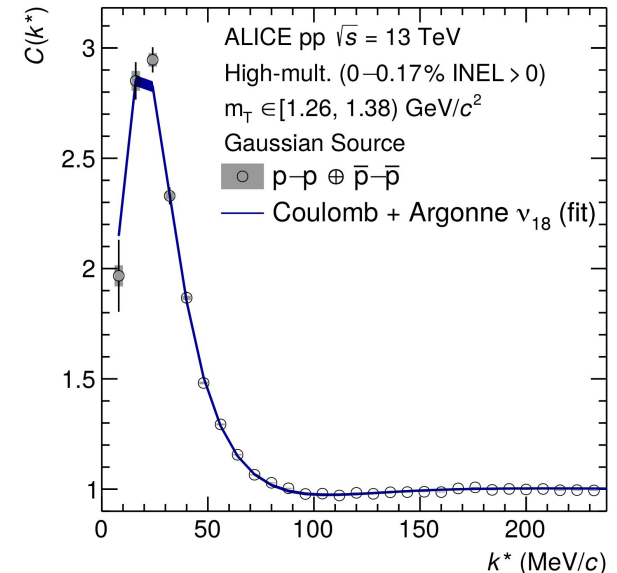
$$D(q) = \int d^3 r |\phi_d(r)|^2 e^{-iq \cdot r}$$

- $R(p_T)$  needs to be experimentally determined:
  - Need proton-proton correlation measurements.
- Along with precision (anti)proton and (anti)deuteron production measurements.

F. Bellini and A. Kalweit, Phys.Rev.C 99 (2019) 5, 054905  
 K. Blum and M. Takhimoto, Phys.Rev.C 99 (2019) 4, 044913  
 F. Bellini, K. Blum, A. Kalweit, M. Puccio, Phys.Rev.C 103 (2021)  
 Laura Fabbietti, 24.11.2021, Particle and Astroparticle Physics Colloquium Hamburg  
 ALICE, Phys. Lett. B 811 (2020) 135849

# Modelling astrophysical antideuterons with femtoscopy

- ALICE successfully extracted  $B_2$  to describe antideuteron data from source size measurements with:
  - Gaussian antideuteron wavefunction.
  - Argonne-v18 potential for the two-proton interaction potential.
  - But at very high energies.
- Cosmic antideuteron production peaks for p+p collisions at  $p_{\text{Lab}} \sim 300 \text{ GeV}/c$  ( $\sqrt{s} \sim 20 \text{ GeV}$ ).
  - No p-p correlation measurements at these lower energies yet.
- **NA61/SHINE** at CERN/SPS is ideal to help!
  - **Correlation function** measurements  $\rightarrow$  source size  $\rightarrow B_2$ .
    - New coalescence model.
  - Proton and **deuteron** measurements  $\rightarrow$  validate  $B_2$ .
  - **Precision antiproton** measurements  $\rightarrow$  antideuteron modelling.
  - Energy scan near the production thresholds ( $\sqrt{s} \sim 10\text{-}30 \text{ GeV}$ ) (?)



$k^* \text{ (MeV}/c)$   
ALICE Phys. Lett. B 811 (2020) 135849  
Mahlein et. al. Eur. Phys. J. C (2023) 83:804



# NA61/SHINE (SPS Heavy Ion and Neutrino Experiment)

NA61/SHINE – unique multi-purpose facility to study hadron production in hadron-proton, hadron-nucleus and nucleus-nucleus interactions at the CERN SPS

LHC

Detector  
H2

SPS

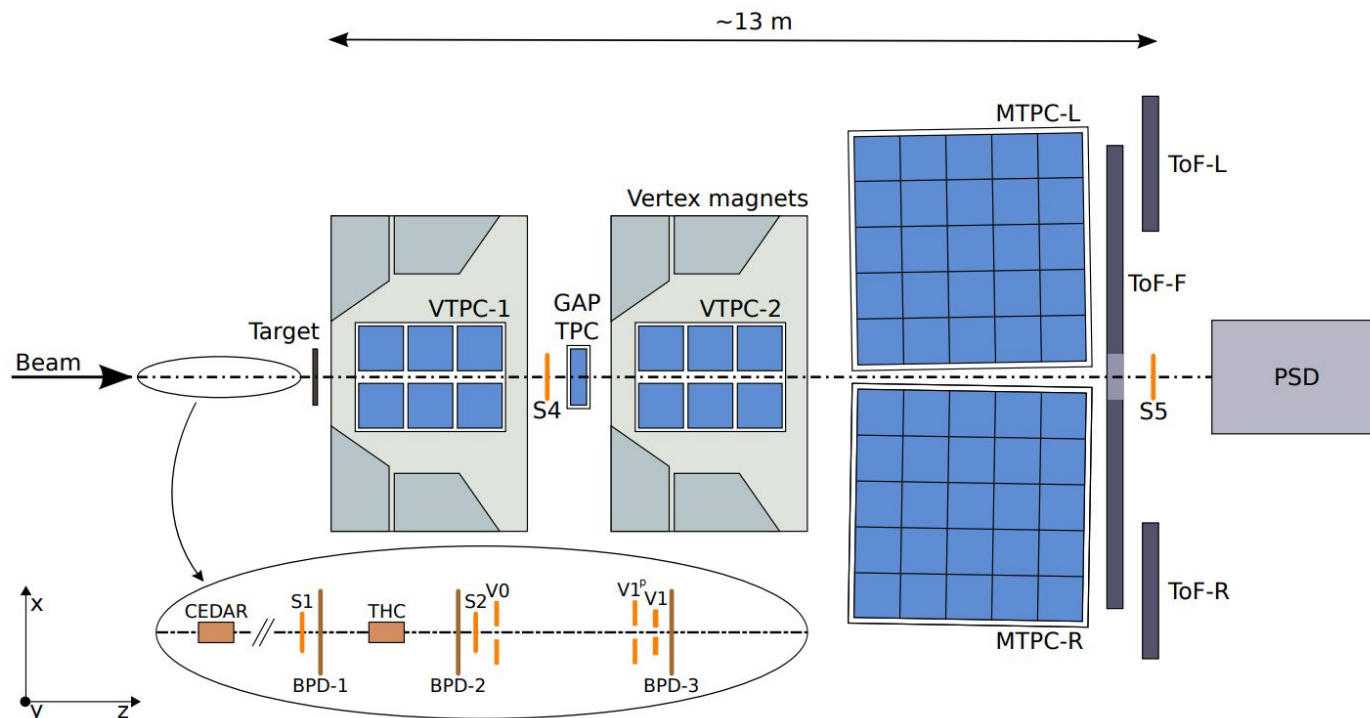
Acceleration chain → H2 beam-line → Detector



**Bird's-eye view of CERN in Geneva**

**NA61/SHINE in the experiment hall**

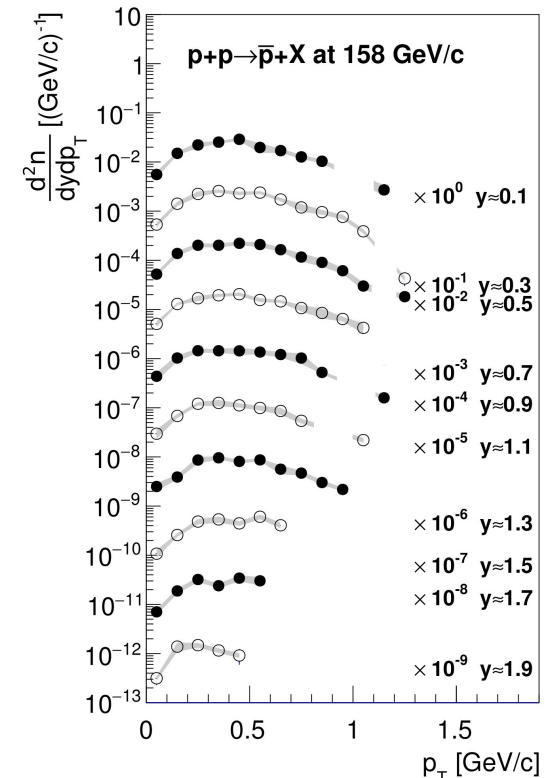
# NA61/SHINE detector layout



- Fixed-target, large-acceptance spectrometer (details: [Abgrall et. al. JINST 9 \(2014\) P06005](#)).
- TPCs are main tracking detectors. ToF placed downstream.
- Momentum resolution:  $\sim 1\%$ ,  $dE/dx$  energy resolution:  $\sim 4\%$ , TOF resolution:  $\sim 100\text{ps}$ .

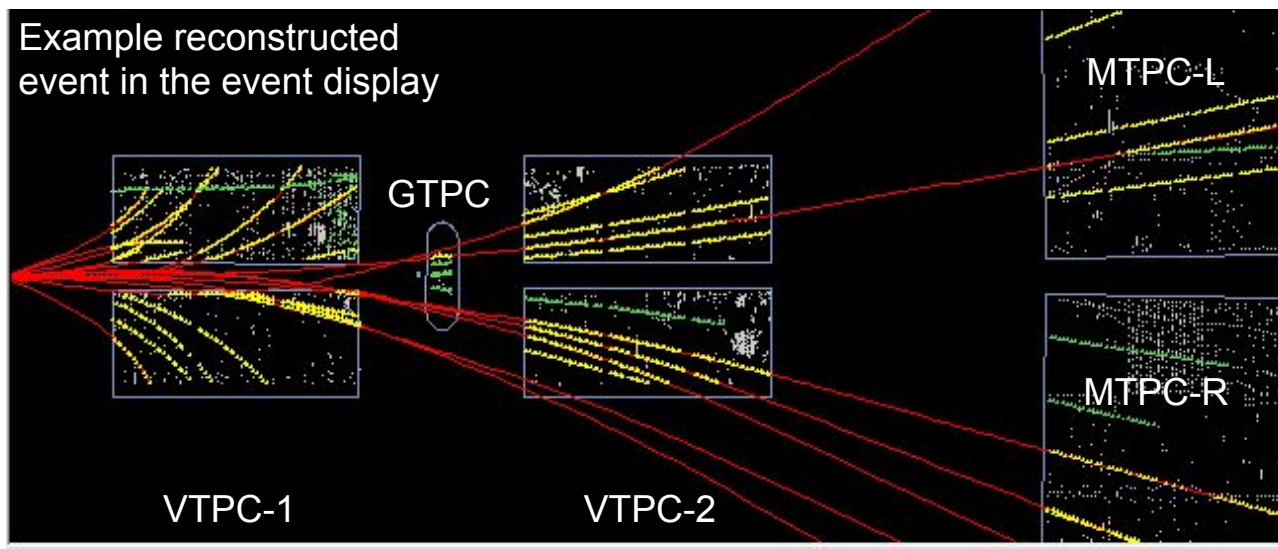
# Previous results from NA49 and NA61/SHINE

- Cosmic-ray antiproton studies have already benefited from NA49 and NA61/SHINE measurements:
  - di Mauro et. al. ([Phys. Rev. D 90, 085017](#)).
  - Winkler ([JCAP02 \(2017\) 048](#)).
  - Korsmeier et. al. ([Phys. Rev. D 97, 103019](#)).
- Antiproton data from p+p energy scan at NA61/SHINE at different beam momenta:
  - 20, 31, 40, 80, and 158 GeV/c.
  - $\sqrt{s} = 6.3, 7.7, 8.8, 12.3$  and  $17.3$  GeV, respectively.
- Now with **~15x p+p events** available in the new high-statistics data set.



[Aduszkiewicz et al.](#)  
[Eur. Phys. J. C \(2017\) 77: 671](#)

# Proton-proton collisions at 158 GeV/c



About 10% of the data were collected with an empty target for background corrections.

Run	Total p+p events (millions)	After event selection (millions)
2009	4	1
2010	44	16
2011	14	4



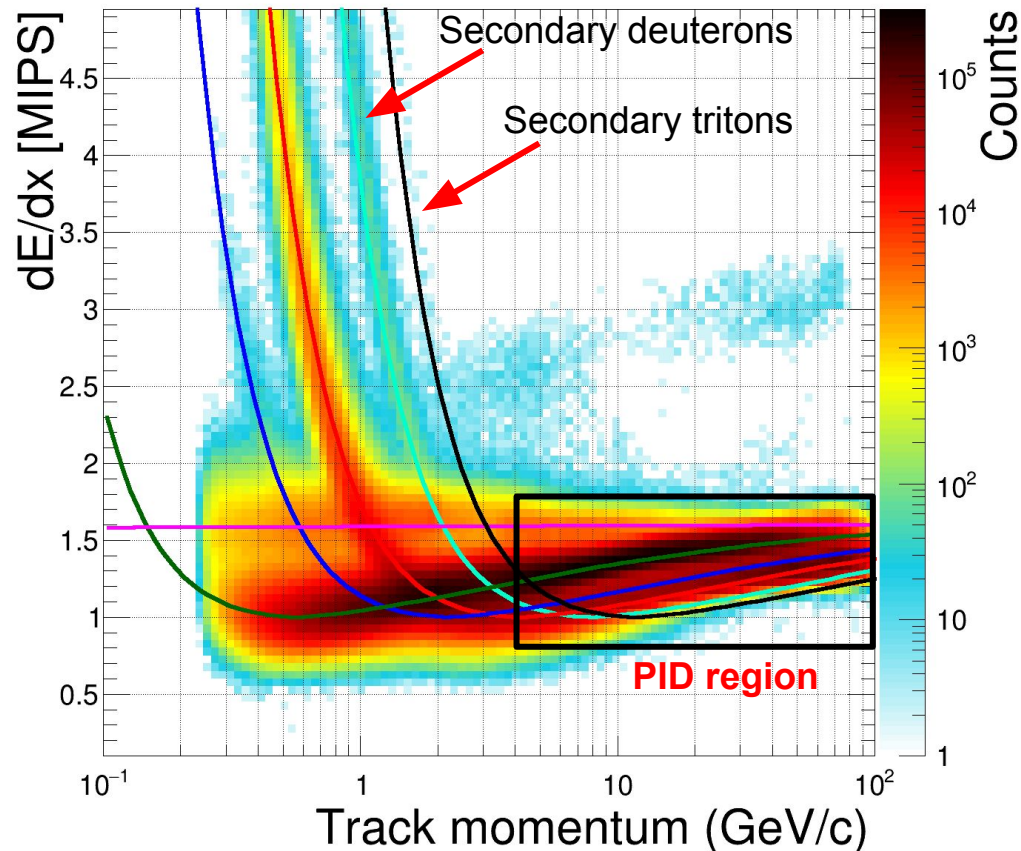
Already published in  
[Aduszkiewicz et al.  
Eur. Phys. J. C \(2017\)  
77: 671](#)

# Selection of p+p events and particle tracks

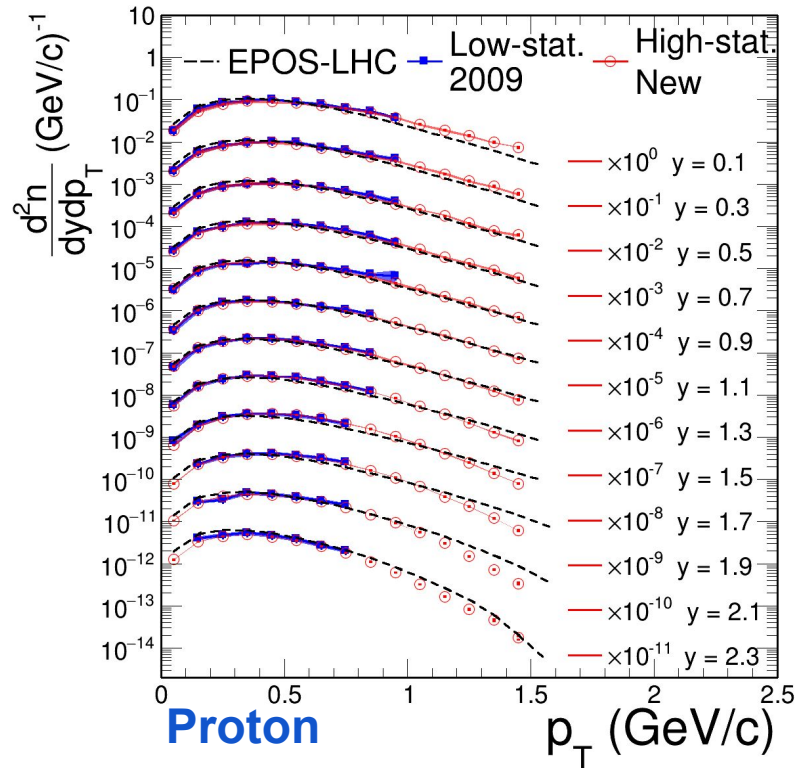
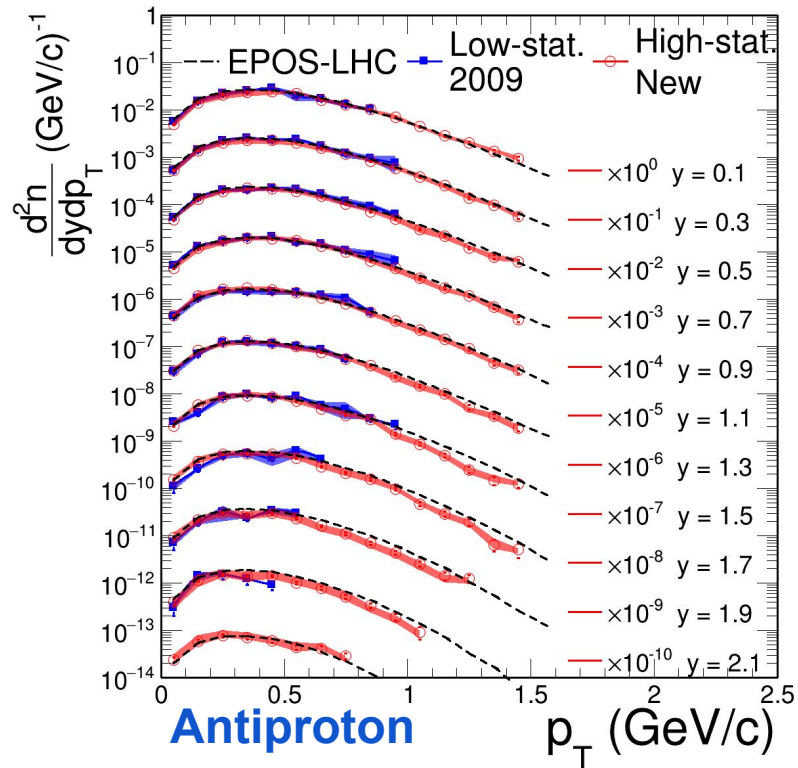
- Series of quality cuts applied to select clean, well reconstructed inelastic collision events and particle tracks.
- Instrumental background was subtracted using data taken without target.
- Detector acceptance & efficiencies and feed down effect corrected with MC simulations.
- **60 million events** → **30 million events** after selection.
- **750 million tracks** → **60 million tracks** after selection.

# Particle identification using $dE/dx$

- $dE/dx$  vs momentum distribution for all selected tracks.
- Particle identification possible in the black box region.
- Production of secondary deuterons seen at very low momentum.
  - Not from primary p+p interactions!
- Bethe-Bloch curves are shown for:
  - positrons (magenta)
  - pions (green)
  - kaons (blue)
  - protons (red)
  - deuteron (azure), and
  - tritons (black).



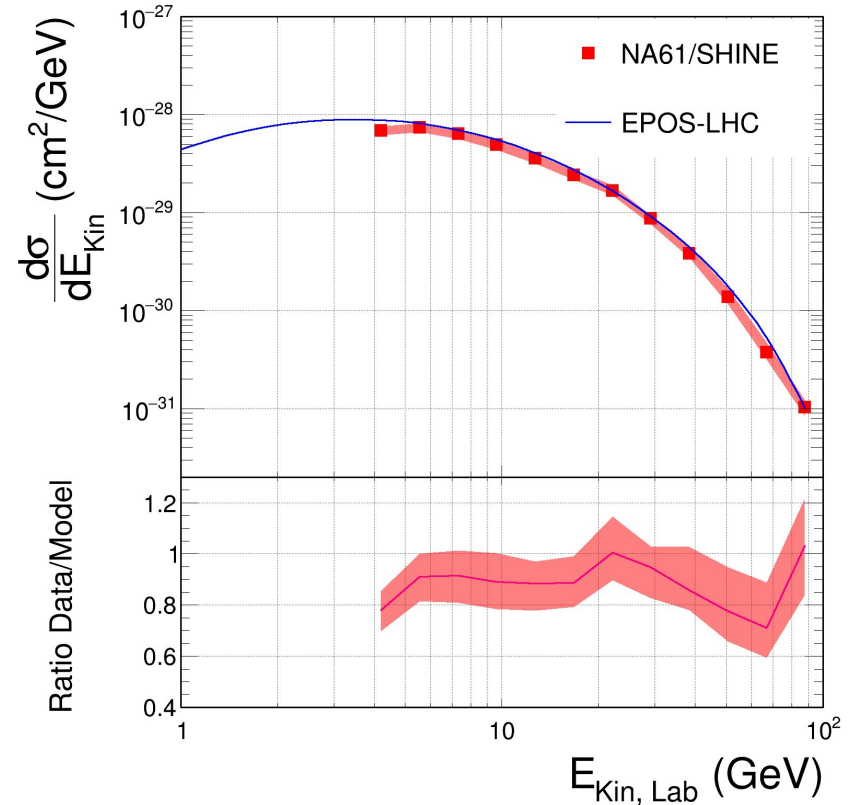
# Measured particle spectra (NA61/SHINE Preliminary)



- $\pi^\pm$  and  $K^\pm$  spectra also obtained.

# Antiproton differential production cross sections

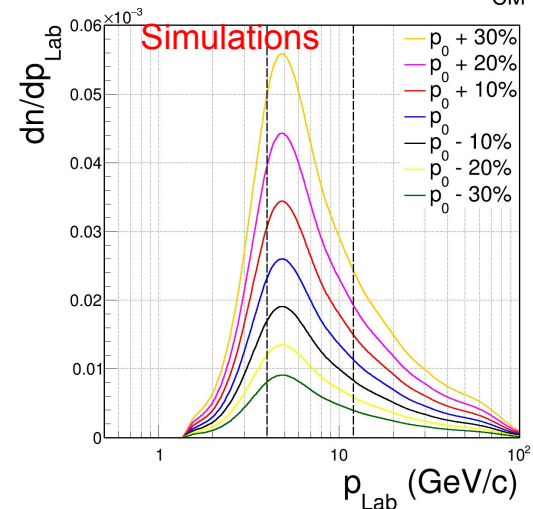
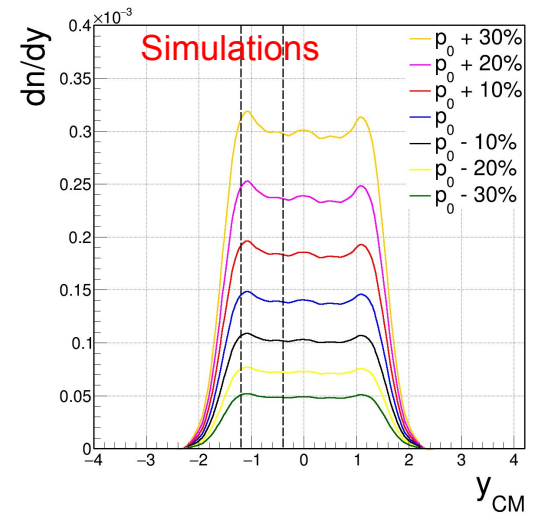
- Released by NA61/SHINE as preliminary.  
Publication coming later this year.
- Hadronic generators don't model antiprotons well.
- At low kinetic energies with the highest production, EPOS-LHC overpredicts by ~10–20%.
- Future models need to be further fine-tuned to reduce uncertainties related to astrophysical antinuclei production in our Galaxy.



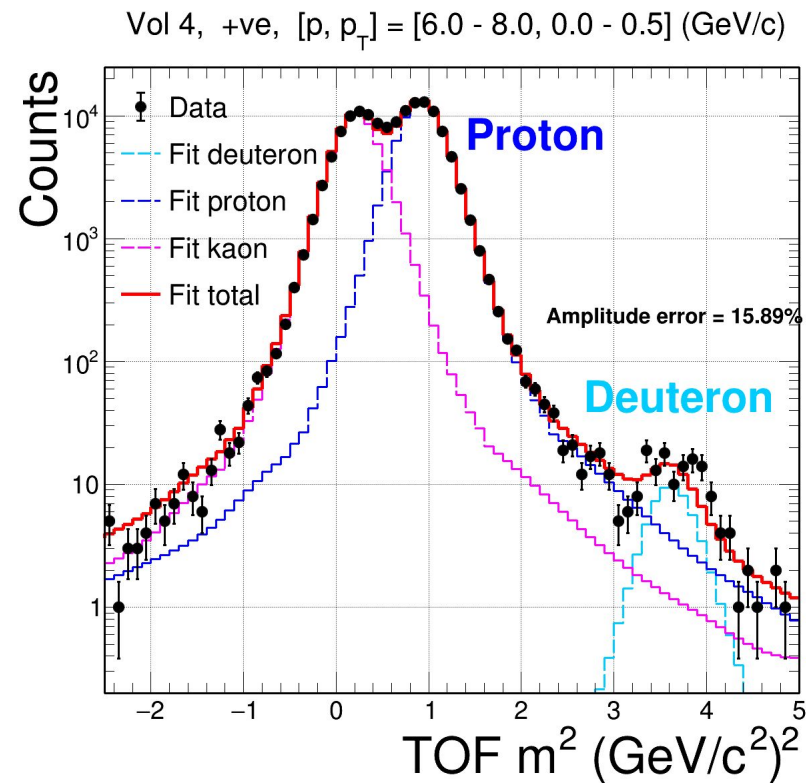
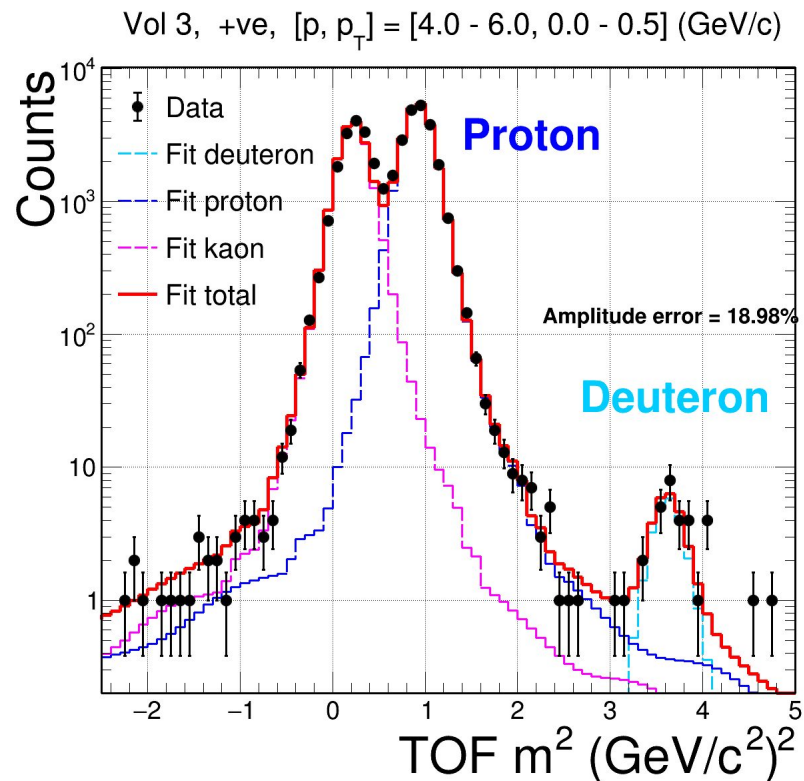


# Deuteron measurements in NA61/SHINE

- **Crucial to understand antideuteron formation** in cosmic-ray interactions.
  - Same coalescence production mechanism as antideuterons.
  - $\sim 100x$  more easily produced at SPS energies.
- **Challenging measurement** in p+p interactions:
  - Predicted production probability is still low: 0.02%–0.09%.
  - Large foreground of other positive charge particles.
- Advantages in NA61/SHINE:
  - Using **Time-of-Flight (ToF)** detectors along with measured momentum to calculate particle mass  $\rightarrow$  deuteron identification.
  - ToF acceptance is  $\sim 1\%$ , but covers the phase space where deuteron production is maximum.
  - **First measurements at energies relevant for production in cosmic rays.**



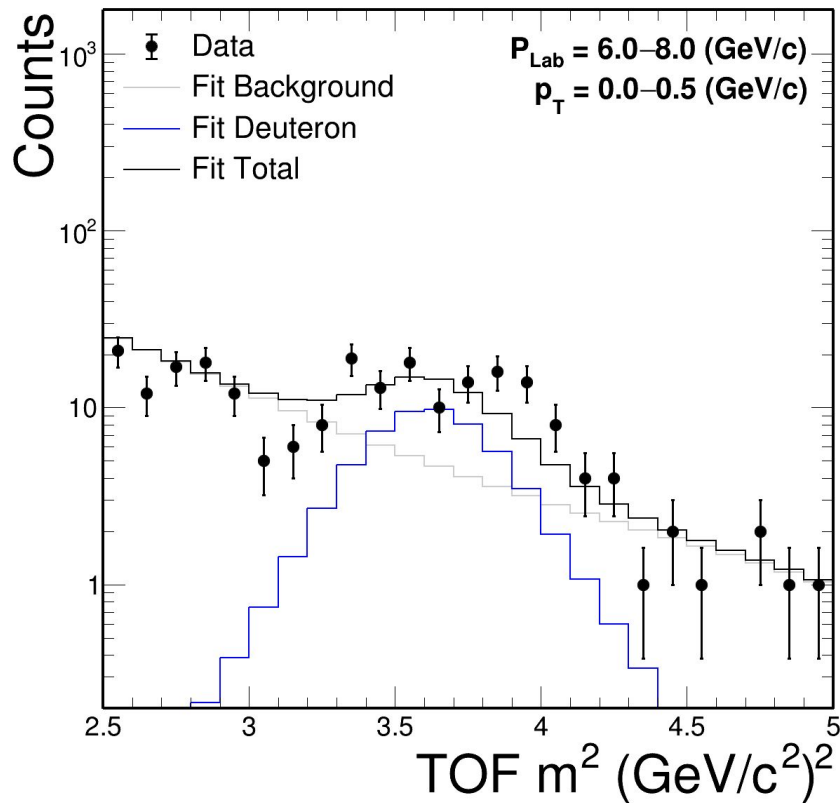
# Measured deuteron signals



Realistic estimation of the proton tail in the deuteron mass region is crucial.

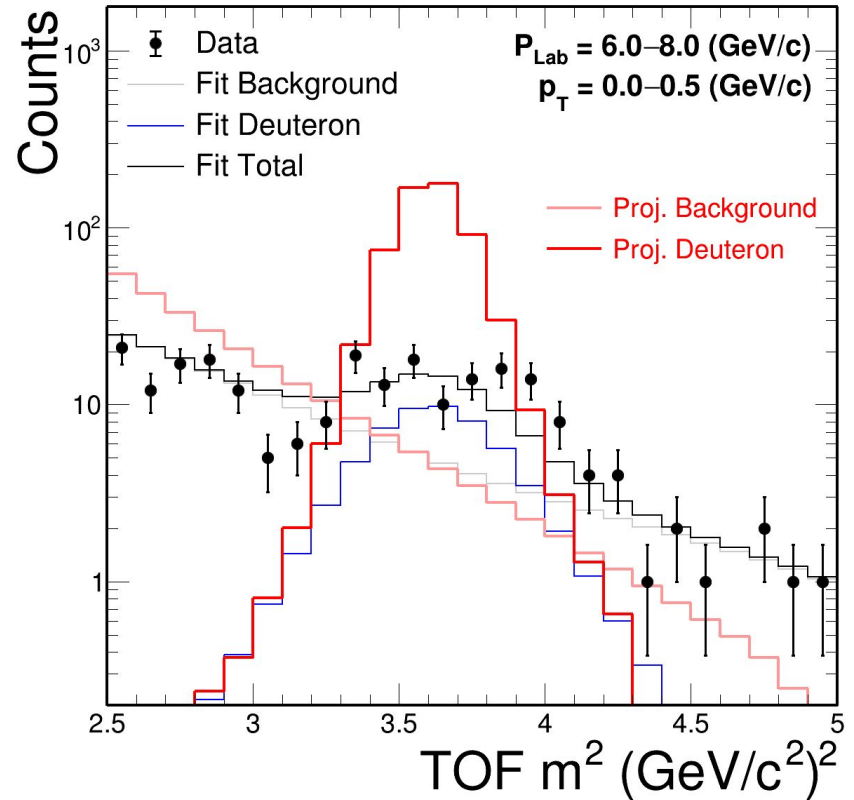
# First deuteron production measurements in p+p at SPS energies

- ~150-200 deuteron identified.
- Many cross checks ongoing, including for secondary deuterons (from secondary protons, from detector materials etc.)
- Analysis is ongoing.
  - Uncertainties are still large.
  - Publication expected next year.



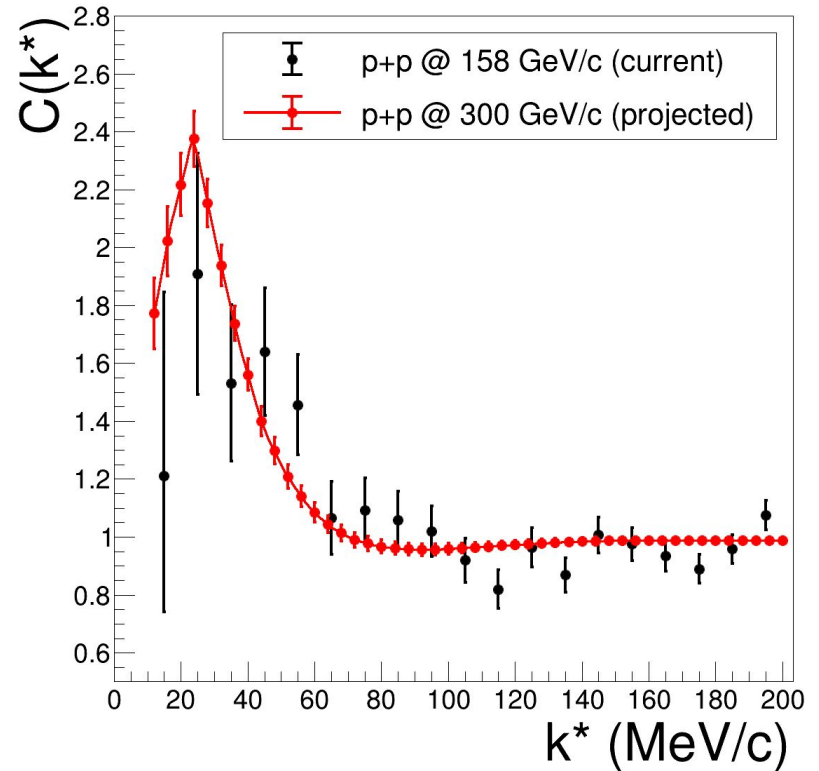
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- Analysis is ongoing.
  - Uncertainties are still large.
  - Publication expected next year.
- **Precision measurements possible in 2025** with upgraded NA61/SHINE:
  - 10x recorded p+p collisions with new DAQ.
  - dE/dx resolution: 2x better.
  - ToF resolution: 120ps  $\rightarrow$  80ps.
  - New forward TPCs, new reconstruction software etc.



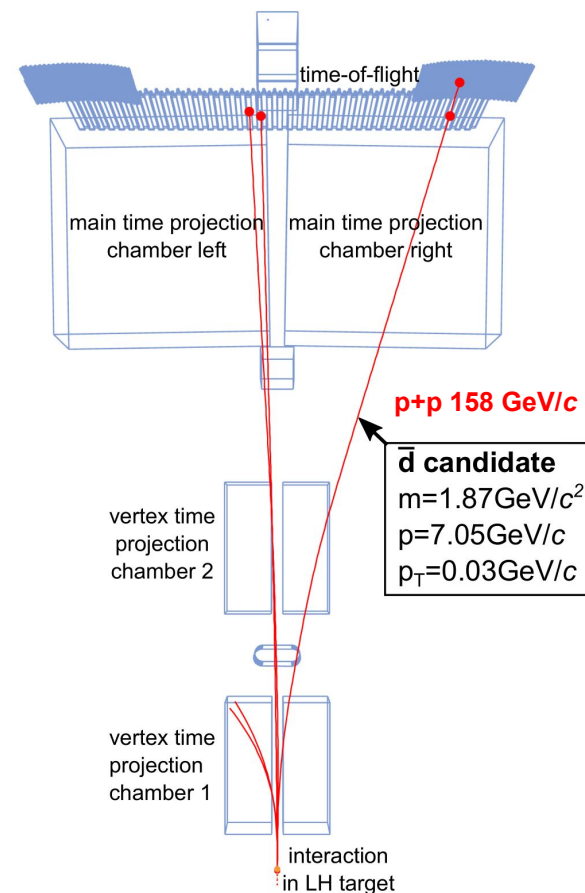
# Proton-proton correlations in NA61/SHINE

- **First source size measurement using proton pairs** in this energy range.
  - Complement high-energy RHIC & ALICE.
  - Two-pion correlations in Be+Be collisions recently measured by NA61/SHINE (Eur. Phys. J. C 83, 919 2023).
- Preliminary correlation function fit suggests a **source size (R) of 1–2 fm**.
- But multiple challenges:
  - Only ~ 40 proton-proton pairs around the peak.
  - Efforts to increase signal ongoing.
- Precision measurements would need 10x data with the upgraded NA61/SHINE.
  - Potential **5x reduction** in uncertainty of fitted R.



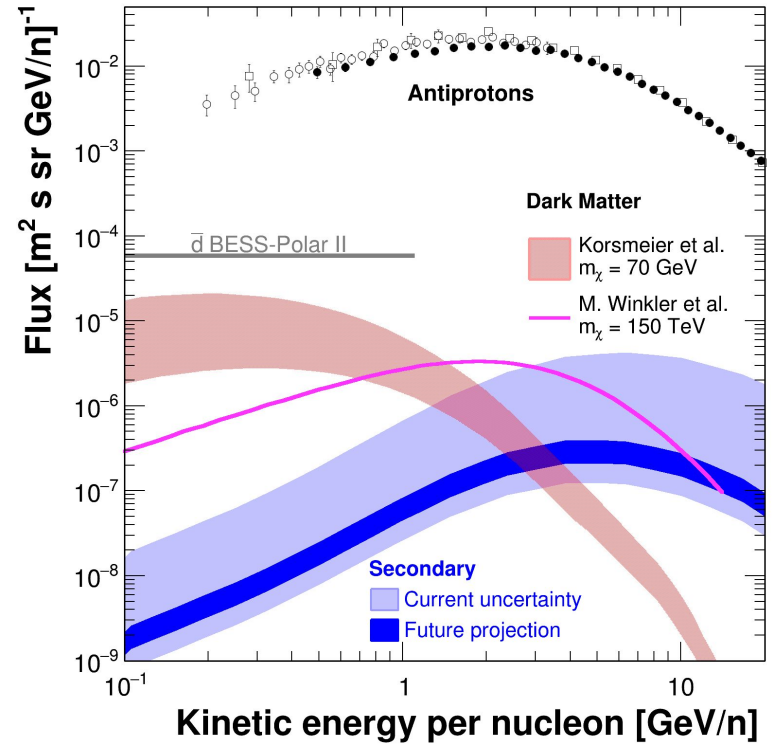
# Path Forward

- **p+p 400 GeV/c data:**
  - ~10 million events.
  - Calibration and analysis to start soon.
- **Future p+p 300 GeV/c data-taking with the upgraded detector:**
  - 10x events (~ 600M p+p collisions).
  - Precision deuteron and antiproton differential cross sections.
  - Correlation measurements: p-p, pbar-pbar etc.
  - Many more...
    - (Anti)nucleon production channels, with detailed accounting of pions, protons, antiprotons, etc. in association with deuterons.
    - Energy scan at different collision energies.
  - Antideuteron direct measurements (?)



# Conclusion

- New coalescence modelling for antideuterons:
  - First measurements of the **proton-proton correlation function** in p+p interactions at SPS energies.
  - First measurement of **deuteron production** in p+p interactions close to the deuteron production threshold.
  - Updated measurements of proton and **antiproton differential production cross sections**.
- Future measurements anticipated with upgraded NA61/SHINE in 2025:
  - Decisively reduce uncertainties in the cosmic antideuteron background.
  - **Enable evaluation of the hypothesized dark matter origin of the AMS-02 antideuteron candidates.**







# Backup

# Standard event & track selection cuts for analysis of data and simulated events

## Event and track selection for data:

- **For rec events in data**
  - Has main vertex.
  - T2 cut.
  - Has primary fitted vertex “ePrimaryFitZ”.
  - Main vertex fit quality is perfect.
  - Vertex Z position is within target region ( $-600 \text{ cm} < \text{vtxZ} < -560 \text{ cm}$ ).
  - Reject events with elastic collisions (single positive charge track with momentum close to beam momentum).
- **For rec tracks in data**
  - Vertex track has at least one track.
  - Vertex track status is perfect.
  - Cuts on impact parameters:  $|B_x| < 4\text{cm}$  and  $|B_y| < 2 \text{ cm}$ .
  - Track status is perfect.
  - At least 30 total points along track.
  - At least 15 points in VTPC 1 and 2, or at least 4 points in GTPC.
  - RST cut (i.e.  $p_x * q$  should be positive).

## Event and track selection for MC events:

- **For sim events in MC**
  - Has main vertex.
- **For sim tracks in MC**
  - RST cut - add extra factor of 2 in the MC correction factor.
  - Sim track must be of type “eGeneratorFinal”.
- **For rec events in MC**
  - **All cuts applied for rec events in data.**
  - Instead of T2 cut, ensure no energy deposited in S4 (an effective T2 trigger cut).
- **For rec tracks in MC**
  - All cuts applied for rec tracks in data.
  - Additional cut:
    - Rec track must have a matched sim track. (Particle ID of each rec track in MC is done by finding its corresponding sim track).

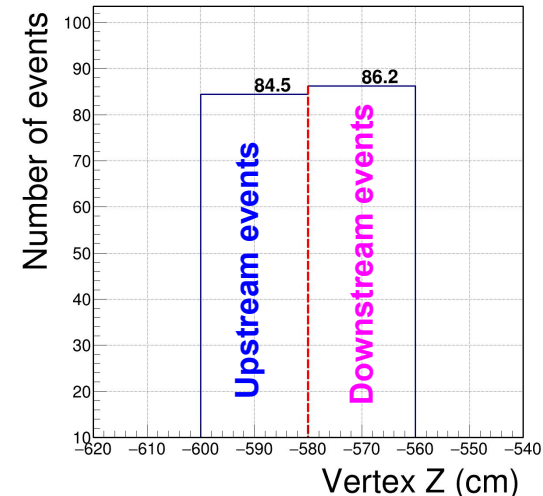
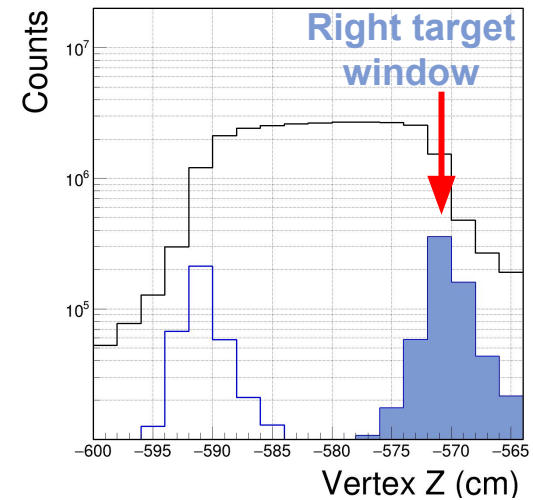
# Statistics after particle ID and background correction

	High-statistics combined p+p data
<b>Before cuts</b>	
Number of events with target in	55,461,598
Number of events with target out	5,146,310
<b>After cuts</b>	
Number of events with target in	30,355,687
Number of events with target out	1,110,946
<b>Identified particle counts</b>	
Pi+	21,386,856
Pi-	16,182,016
Proton	7,343,452
Antiproton	563,675
K+	1,899,210
K-	1,252,074

# Cross checks for primary deuterons

- Contribution from primary proton + Detector:
  - Subtracted using target-out data.
- Contribution from primary and secondary proton + C (production via fragmentation of C in target holder)
  - Deuterons seen in momentum range of 4 to 8 GeV/c or rapidity range of -1.2 to -0.8.
  - Sufficiently high in momentum/rapidity to rule this out.
- Contribution from secondary proton + C in the **right target window**.
  - Using target-out data-based estimation, essentially zero contribution found.
- Contribution from secondary proton + LHT.
  - Bkg-subtracted deuteron events **upstream** and **downstream** of target-midpoint.
  - Estimated 2% contribution.

**Measured deuteron signal is not secondary!**



# Precision measurements needed

- Ideal range for relevant cosmic-ray antinuclei cross section studies is **p+p at  $p_{\text{Lab}} = 100\text{-}500 \text{ GeV}/c$** .
  - Energy scan near the production thresholds.
  - $\sqrt{s} \sim 10\text{-}30 \text{ GeV}$ .
- Precision measurement of (anti)proton, (anti)neutron and (anti)deuteron production.
  - **Differential production cross sections**
- No angular correlation measurements available in p+p interactions at these energies.
  - **Proton-proton and antiproton-antiproton pairs.**
- **NA61/SHINE at CERN/SPS is ideal to help!**

