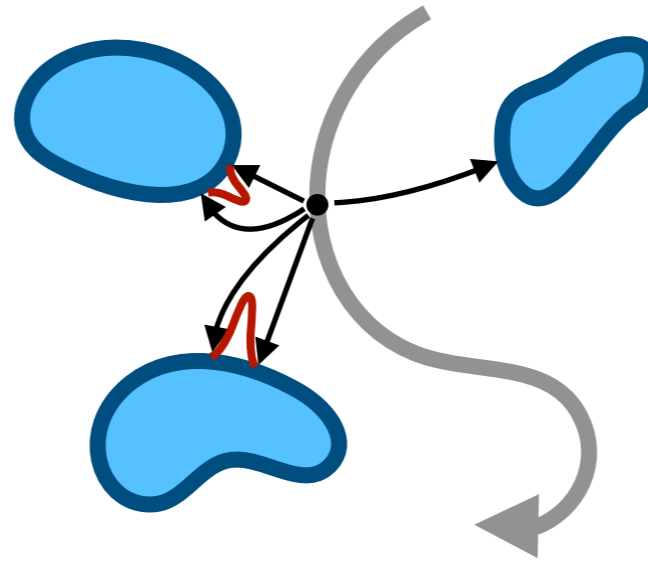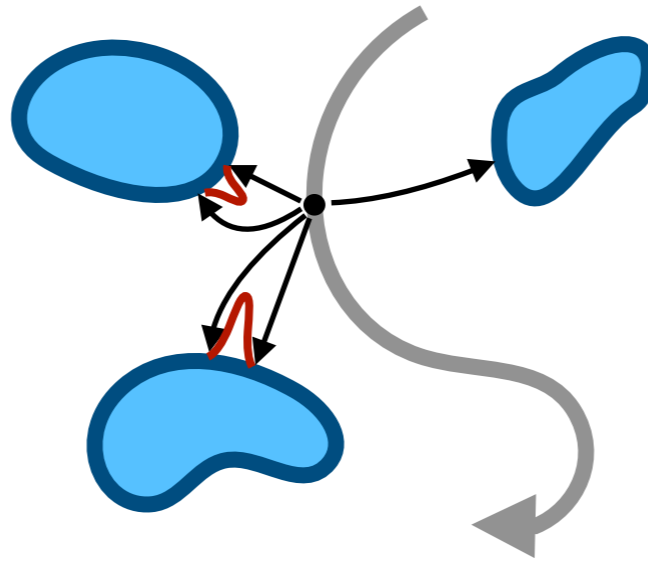# Ramo Shockley theory

Philipp Windischhofer

University of Chicago

UK Advanced Instrumentation Training; May 14, 2024

THE UNIVERSITY OF
CHICAGO

How to compute the electrical signal induced in your detector

Philipp Windischhofer

University of Chicago
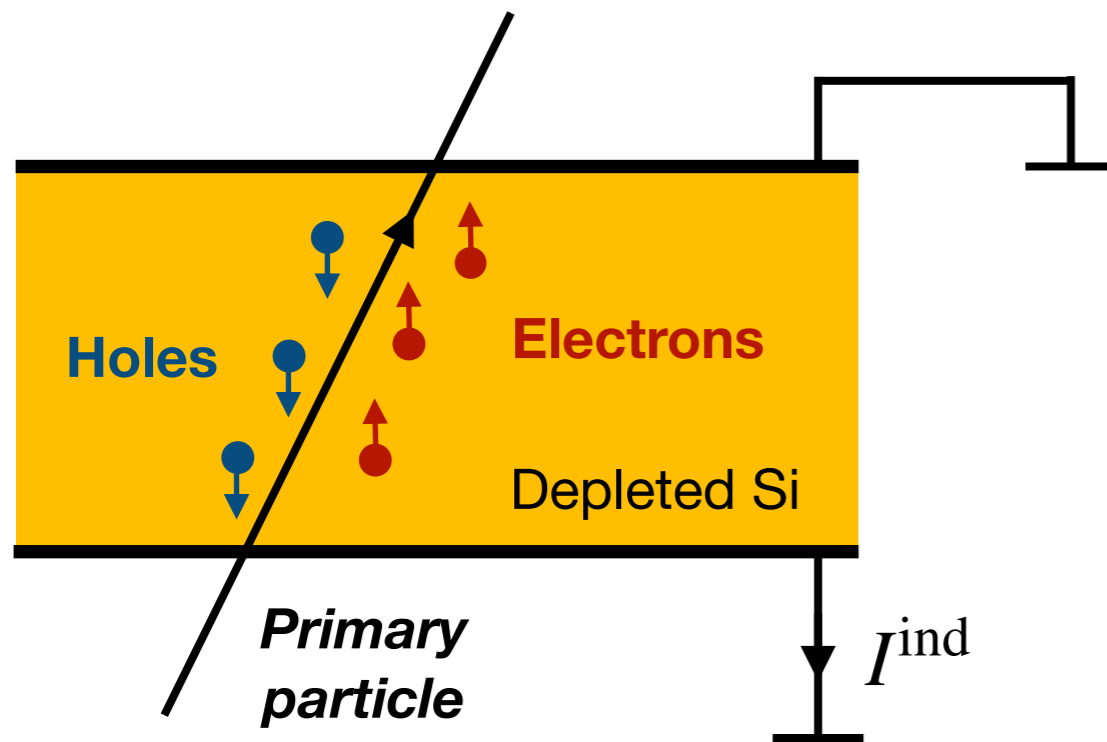
UK Advanced Instrumentation Training; May 14, 2024

THE UNIVERSITY OF CHICAGO

From moving charges to electrical signals

Many types of **particle detectors** generate **electrical signals** when **exposed to moving charged particles**

Silicon detector
(ATLAS tracker)

Beam current transformer
(LHC instrumentation)

Holes   **Electrons**

Depleted Si

Primary particle

I^{ind}

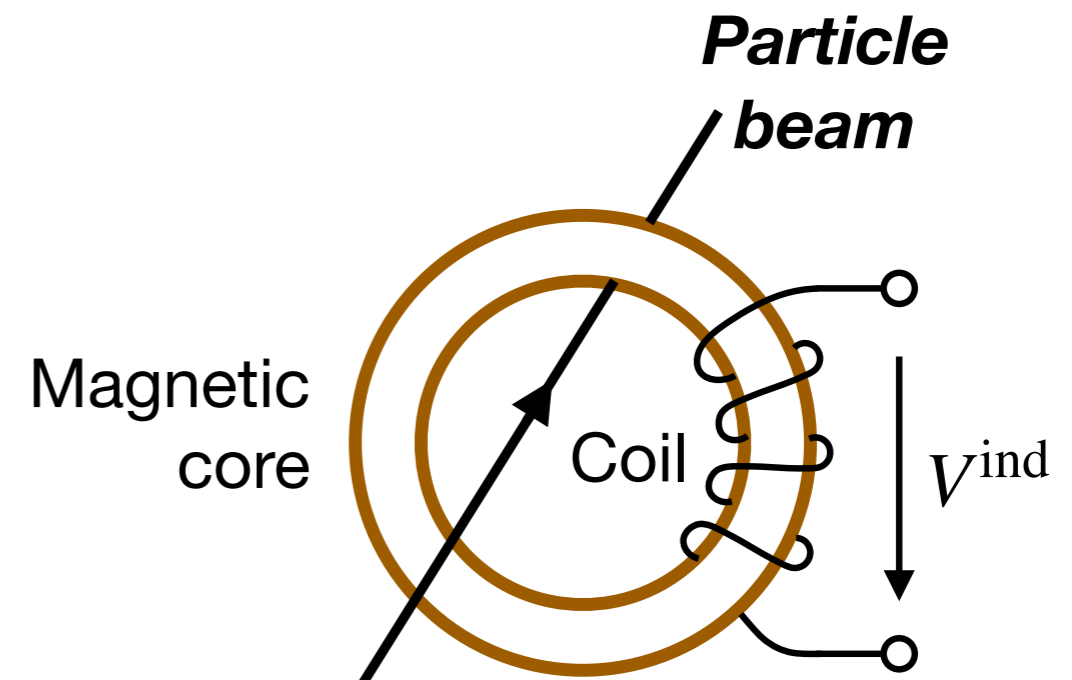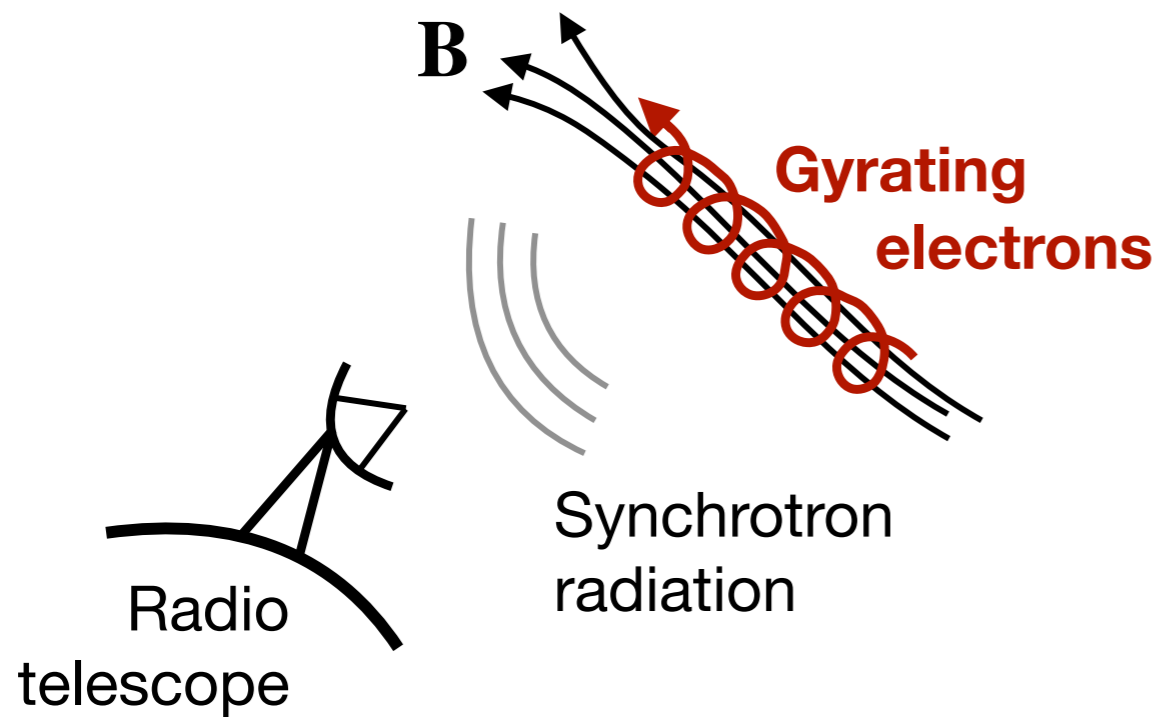**Particle beam**

Magnetic core

Coil

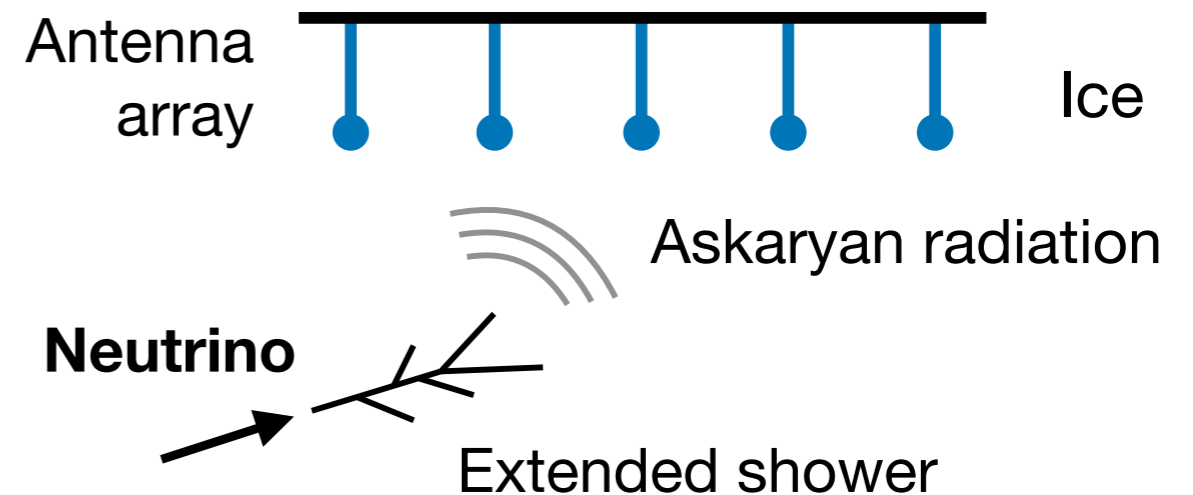V^{ind}

From moving charges to electrical signals

Many types of **particle detectors** generate **electrical signals**
when **exposed to moving charged particles**

Radio astronomy
(e.g. ALMA)

B

Gyrating electrons

Synchrotron radiation

Radio telescope

Neutrino observatories
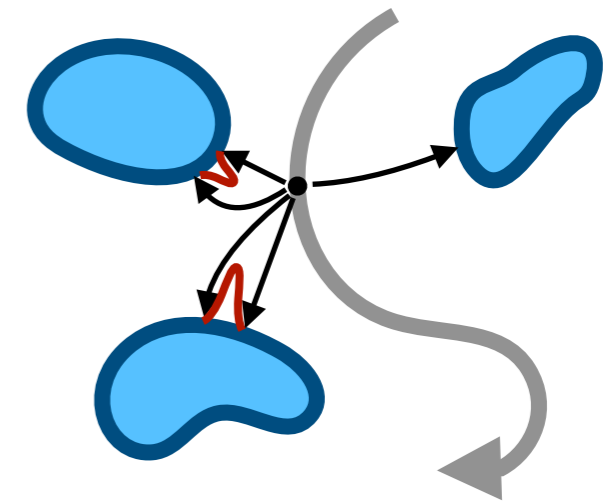*(e.g. RNO-g)*

Antenna array

Ice

Askaryan radiation

Neutrino

Extended shower

Goals for today

The physics / intuition behind signal formation

Electrostatics, surface charges, induction, …
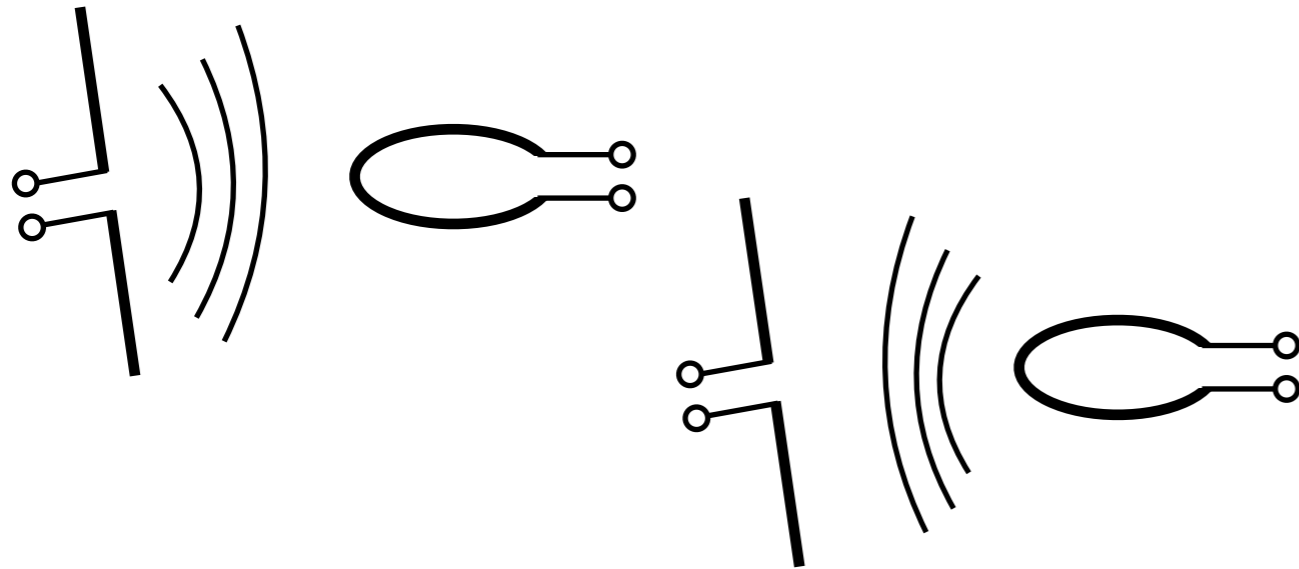
A general and efficient method

*Without approximations—
they just muddle the water*

Signals in silicon detectors

Pixels, LGADs, …
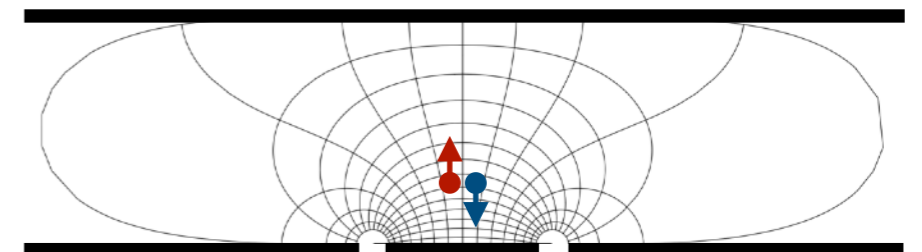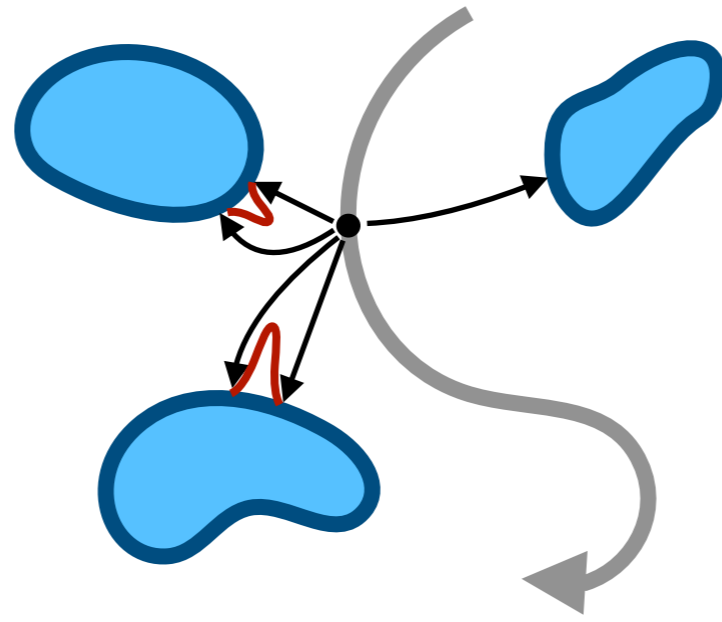
The physics of signal formation

Moving charges and conducting electrodes

For today:

Detector = arrangement of **metallic electrodes** *(and other materials)*
through which a **charged particle moves** along a **known trajectory**

Moving charges
(deposited by primary particle, trajectory from el. field inside the sensor)

Metallic readout electrodes

Holes

Electrons

Depleted Si

Primary particle

I^{ind}

Detector signal
On (AC-)grounded electrode

"Other material"

Moving charges and conducting electrodes

For today:

Detector = arrangement of **metallic electrodes** *(and other materials)* through which a **charged particle moves** along a **known trajectory**
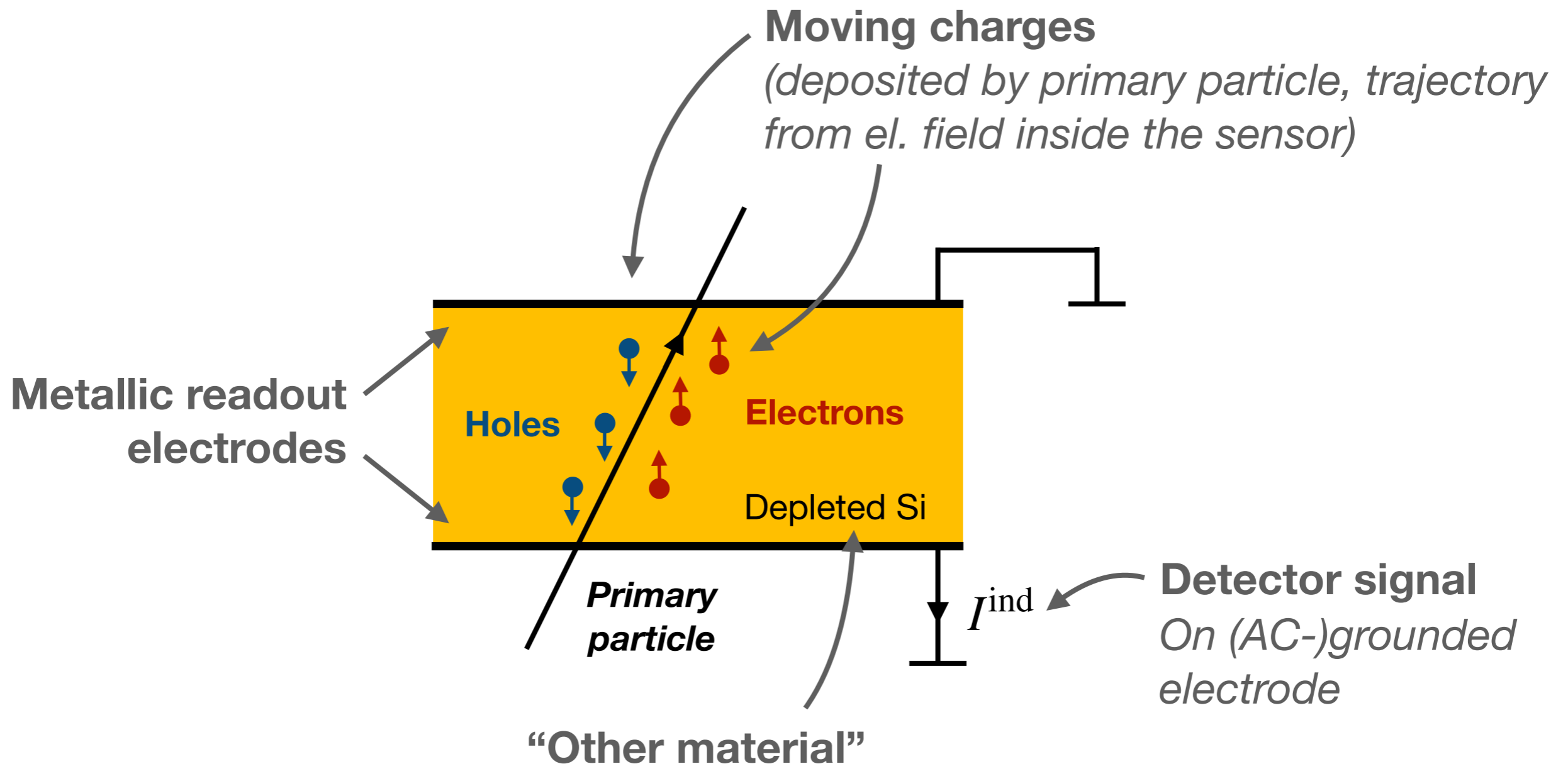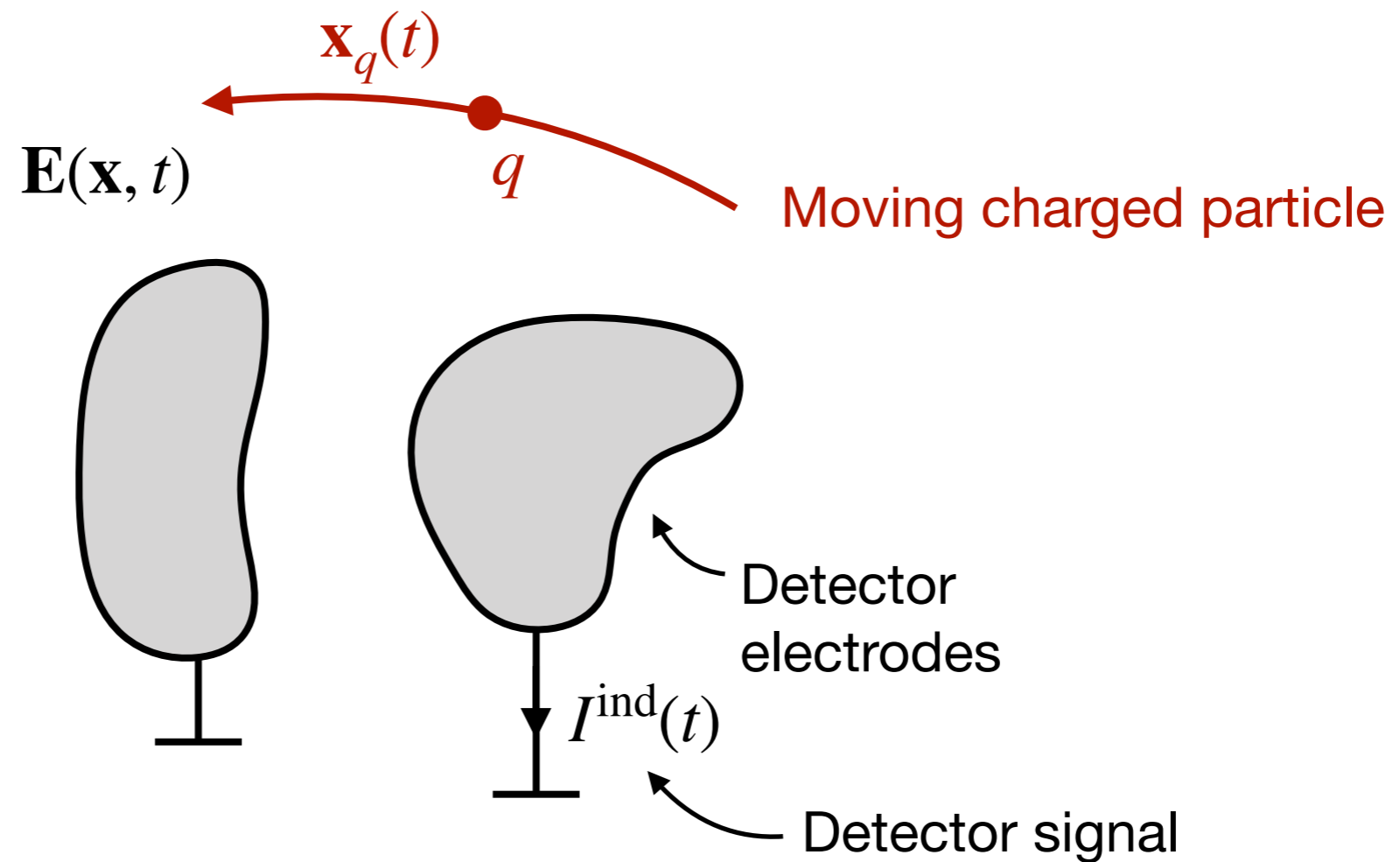
$$\mathbf{x}_q(t)$$

$$\mathbf{E}(\mathbf{x}, t)$$

$$q$$

Moving charged particle

Detector electrodes

$$I^{\mathrm{ind}}(t)$$

Detector signal

Charged particle in front of a grounded strip electrode

*(Later: signal on electrodes that are **not** grounded)*

1.) Charge creates electric field *(assume charge moves slowly → electrostatics)*

2.) Electrode builds surface charge density σ *(need to satisfy **E** = **0** in a conductor)*

3.) Total charge Q on strip electrode: integral over surface charge density

4.) Total current from electrode: negative change in time of total charge

$$\mathbf{x}_q(t) \quad\downarrow\quad q$$

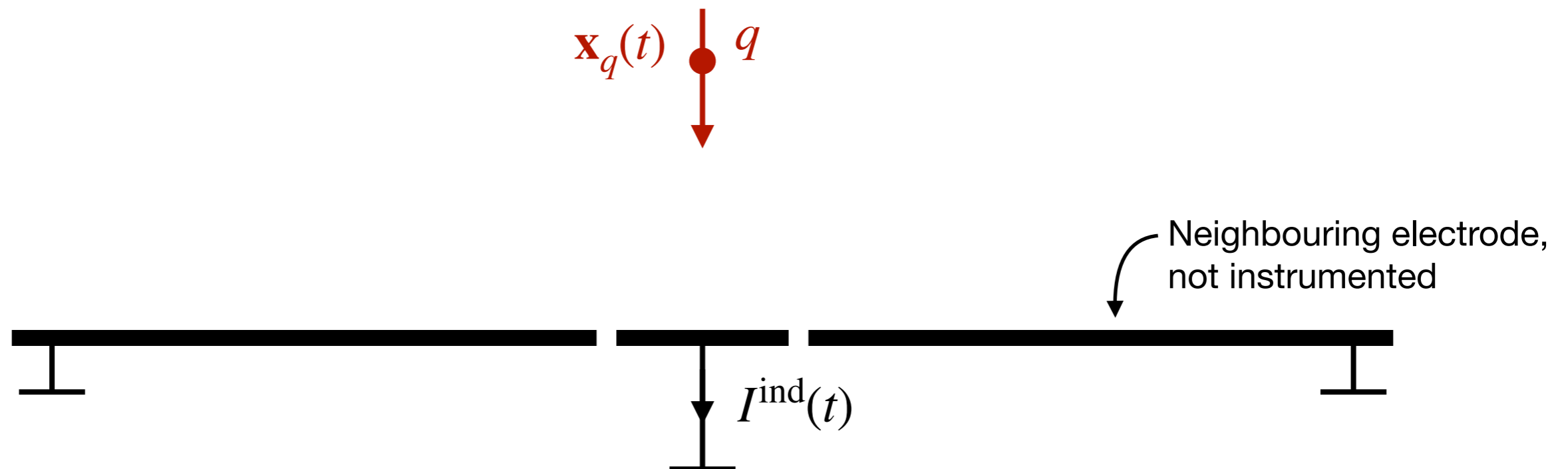Neighbouring electrode, not instrumented

$$I^{\mathrm{ind}}(t)$$

Simple example: strip electrode

Charged particle in front of a grounded strip electrode

*(Later: signal on electrodes that are **not** grounded)*

1.) Charge creates electric field *(assume charge moves slowly → electrostatics)*

2.) Electrode builds surface charge density σ *(need to satisfy **E = 0** in a conductor)*

3.) Total charge Q on strip electrode: integral over surface charge density

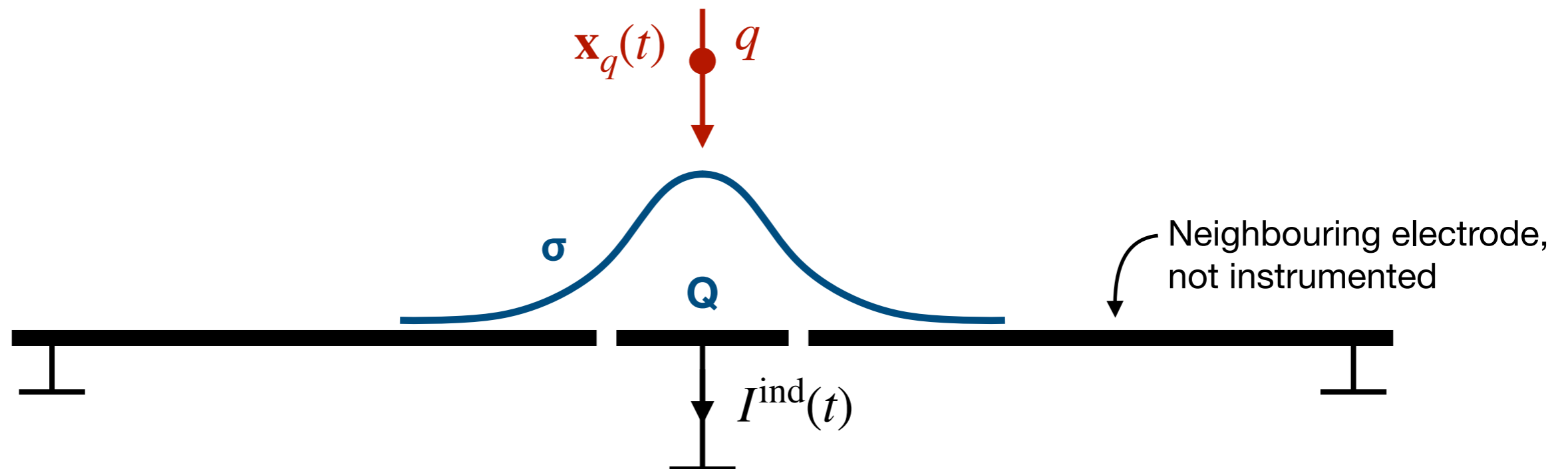**4.) Total current from electrode:** negative change in time of total charge

$\mathbf{x}_q(t)$ q

σ

Q

$I^{\text{ind}}(t)$

Neighbouring electrode, not instrumented

Simple example: strip electrode

Electric field produced by charge

*Narrow gap between strips: electrode appears as infinite **grounded plane***

Compute field with method of image charges:
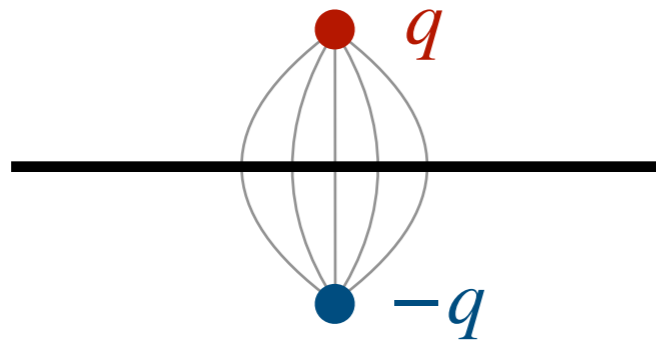
$$E^y(x, z, t) = -\frac{q}{2\pi\epsilon} \frac{y_q(t)}{\left(x^2 + y_q(t)^2 + z^2\right)^{3/2}}$$
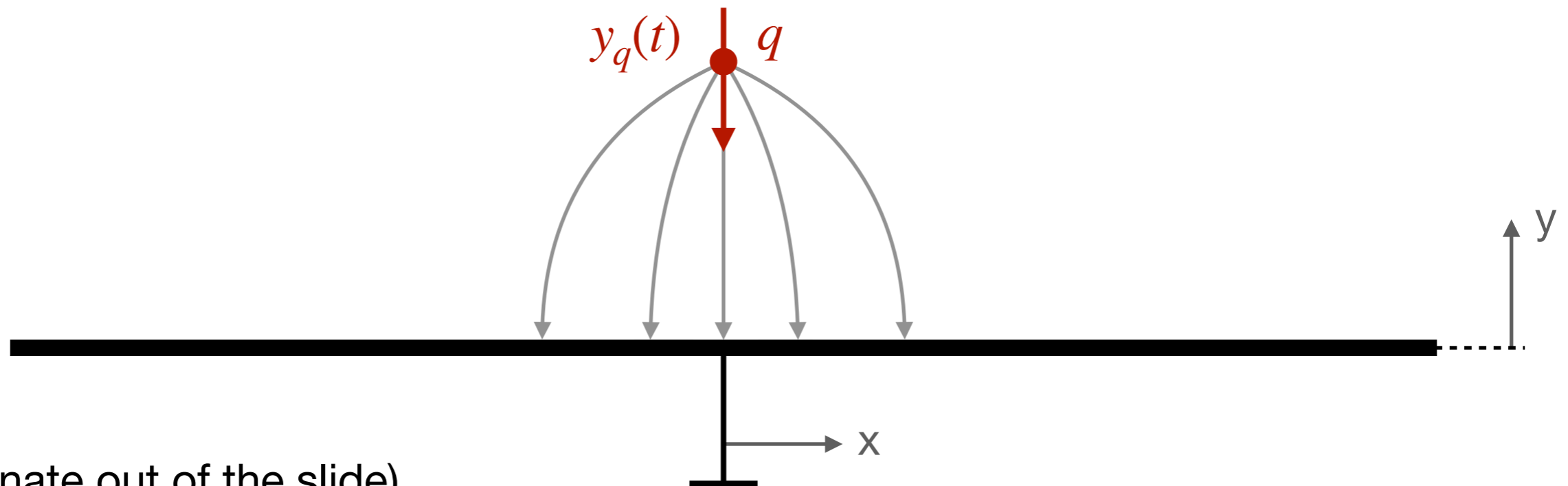
(z coordinate out of the slide)

Simple example: strip electrode

Surface charge density

$$\sigma = \epsilon\, \mathbf{E} \cdot \hat{\mathbf{n}} = \epsilon\, E^y$$

Electric field <u>perpendicular</u> to surface

$$\sigma(x, z, t) = -\frac{q}{2\pi} \frac{y_q(t)}{\left(x^2 + y_q(t)^2 + z^2\right)^{3/2}}$$
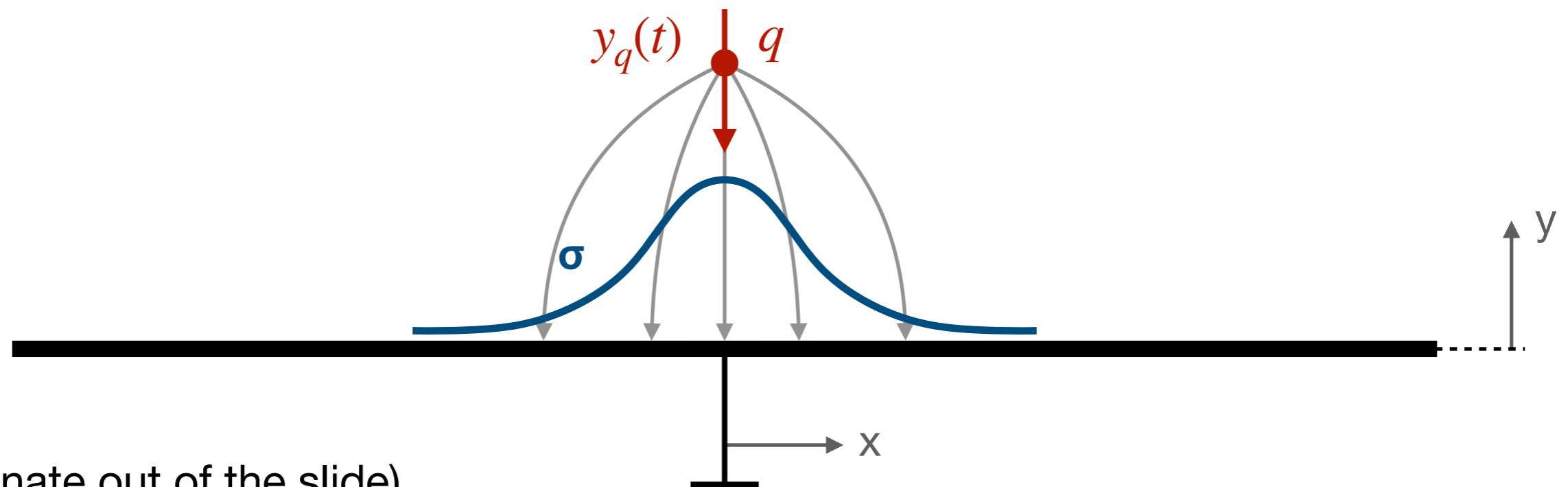
(z coordinate out of the slide)

Simple example: strip electrode

Total charge on strip electrode

$$Q(t) = \int_{-w/2}^{w/2} dx \int_{-\infty}^{\infty} dz\, \sigma(x, z, t) = -2\frac{q}{\pi} \arctan\left(\frac{w}{2y_q(t)}\right)$$

(z coordinate out of the slide)
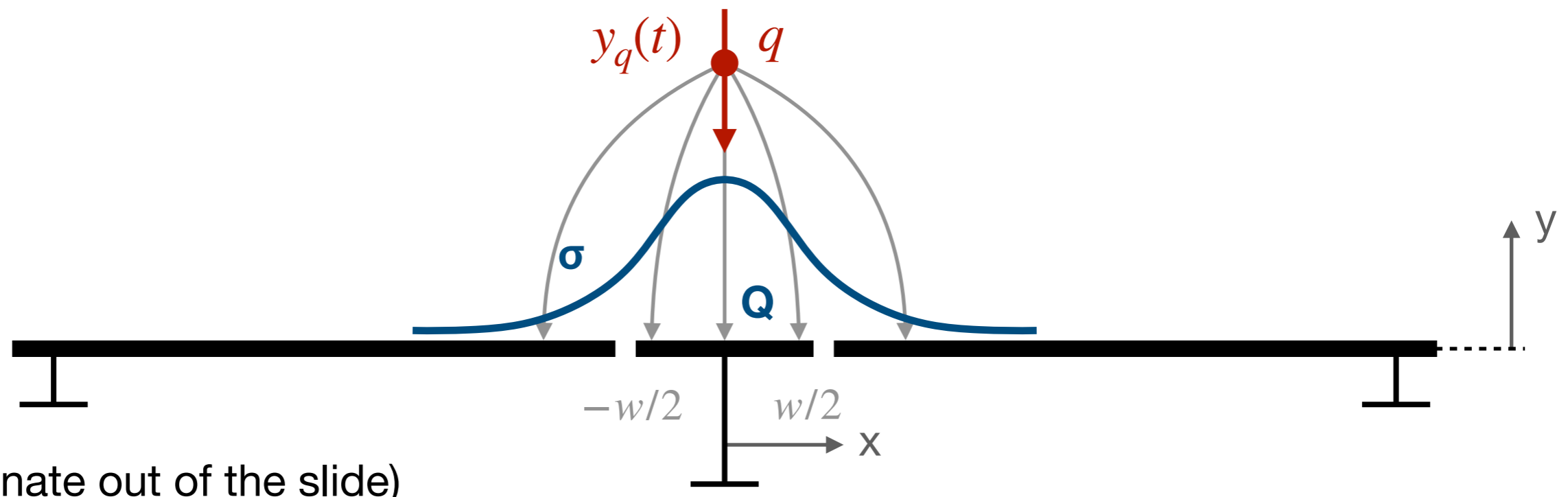
Simple example: strip electrode

Total charge on strip electrode

$$I^{\text{ind}}(t) = -\frac{dQ}{dt} = \frac{4qw}{\pi\left(w^2 + 4y_q(t)^2\right)} \cdot \dot{y}_q(t)$$

Detector geometry:
strip width

Particle trajectory:
drift velocity

$y_q(t)$ q

σ

Q

y

$I^{\text{ind}}(t)$
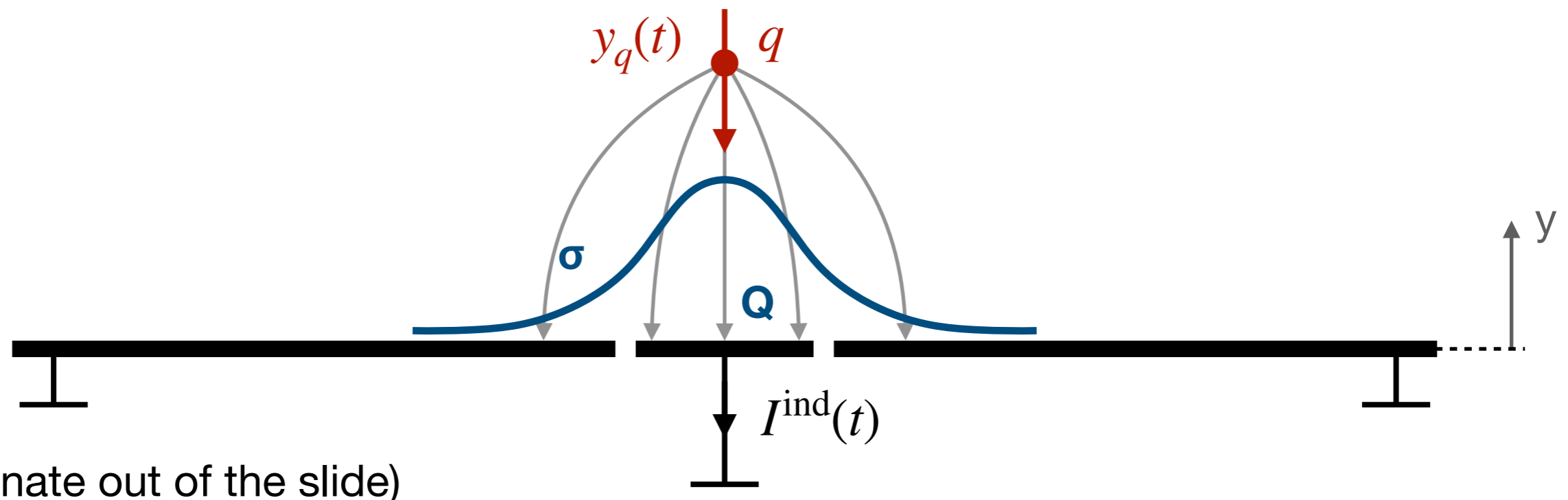
(z coordinate out of the slide)

Properties of the signal

Important properties of the induced signal

Produced by <u>moving</u> charges

Signal amplitude proportional to number of charges and their velocity

Signal <u>ends</u> when charges reach the electrodes

Signal is not due to charge "collection"

Charges can be far away from the electrodes and still induce a signal (radio telescopes!)
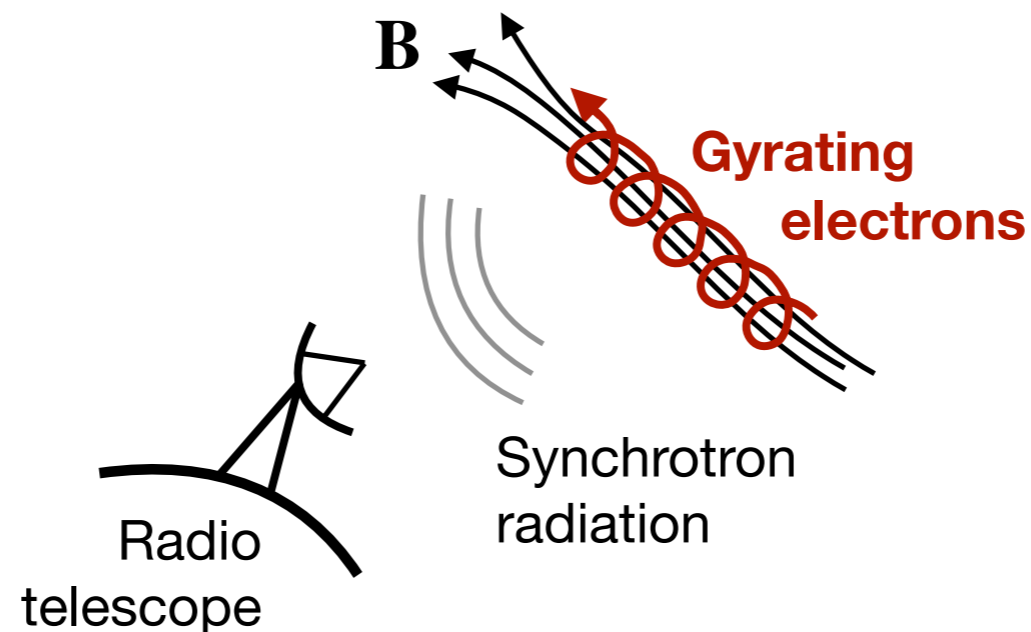
B

Gyrating electrons

Synchrotron radiation

Radio telescope

This is a "particle-centric" calculation

Particle trajectory $\mathbf{x}_q(t)$ → Maxwell's equations →
electric field distribution $\mathbf{E}(\mathbf{x}, t)$ → induced signal $I^{\mathrm{ind}}(t)$

$\mathbf{x}_q(t)$

$\mathbf{E}(\mathbf{x}, t)$

q

Moving charged particle

Detector electrodes

$I^{\mathrm{ind}}(t)$

Detector signal
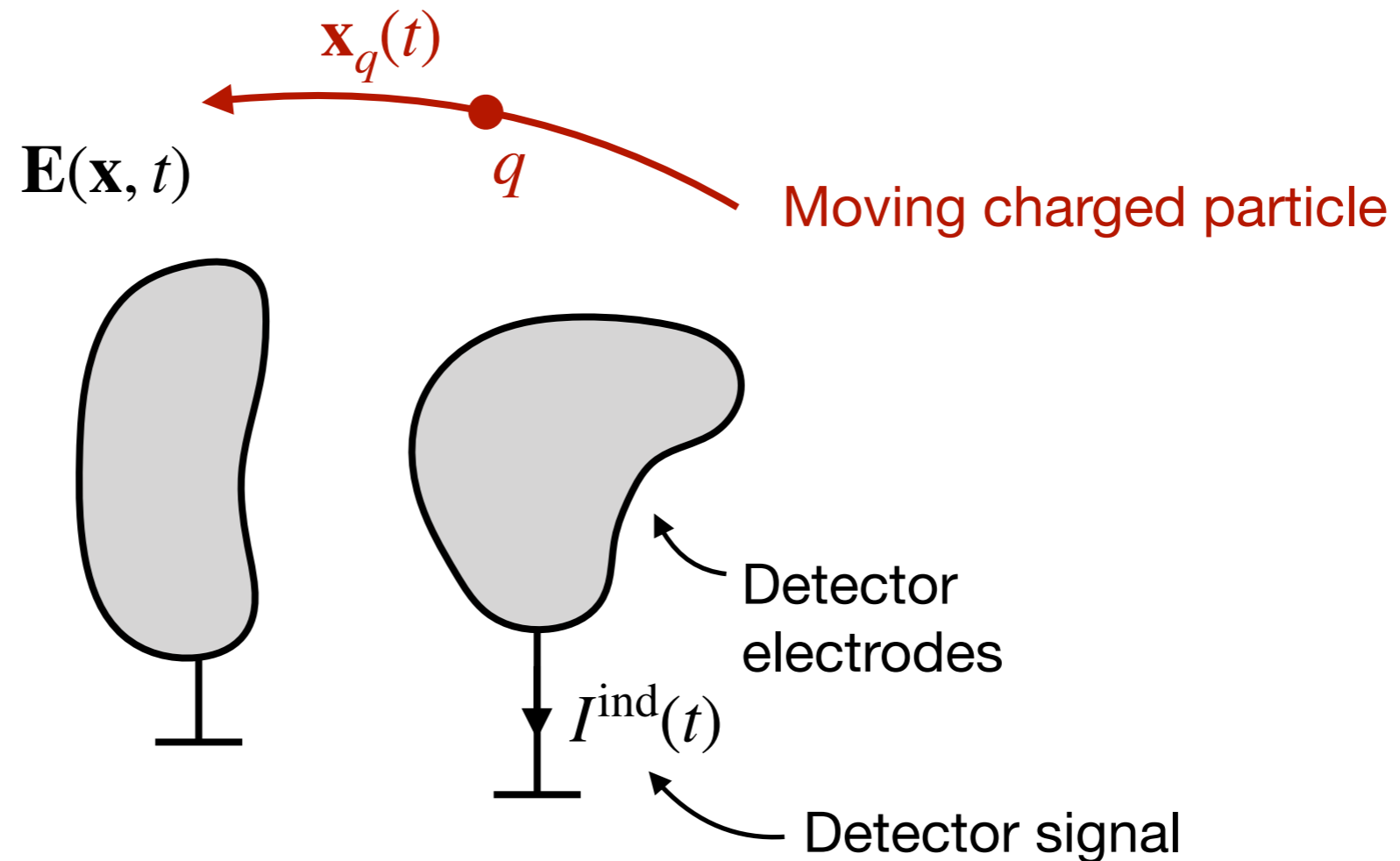
Very computationally expensive!

This is a "particle-centric" calculation

Particle trajectory $\mathbf{x}_q(t)$ → Maxwell's equations →
electric field distribution $\mathbf{E}(\mathbf{x}, t)$ → induced signal $I^{\mathrm{ind}}(t)$

$\mathbf{x}_q(t)$

$\mathbf{E}(\mathbf{x}, t)$

q

Moving charged particle

$\mathbf{E}'(\mathbf{x}, t)$

q

Detector electrodes

$I^{\mathrm{ind}}(t)$

$\mathbf{x}'_q(t)$
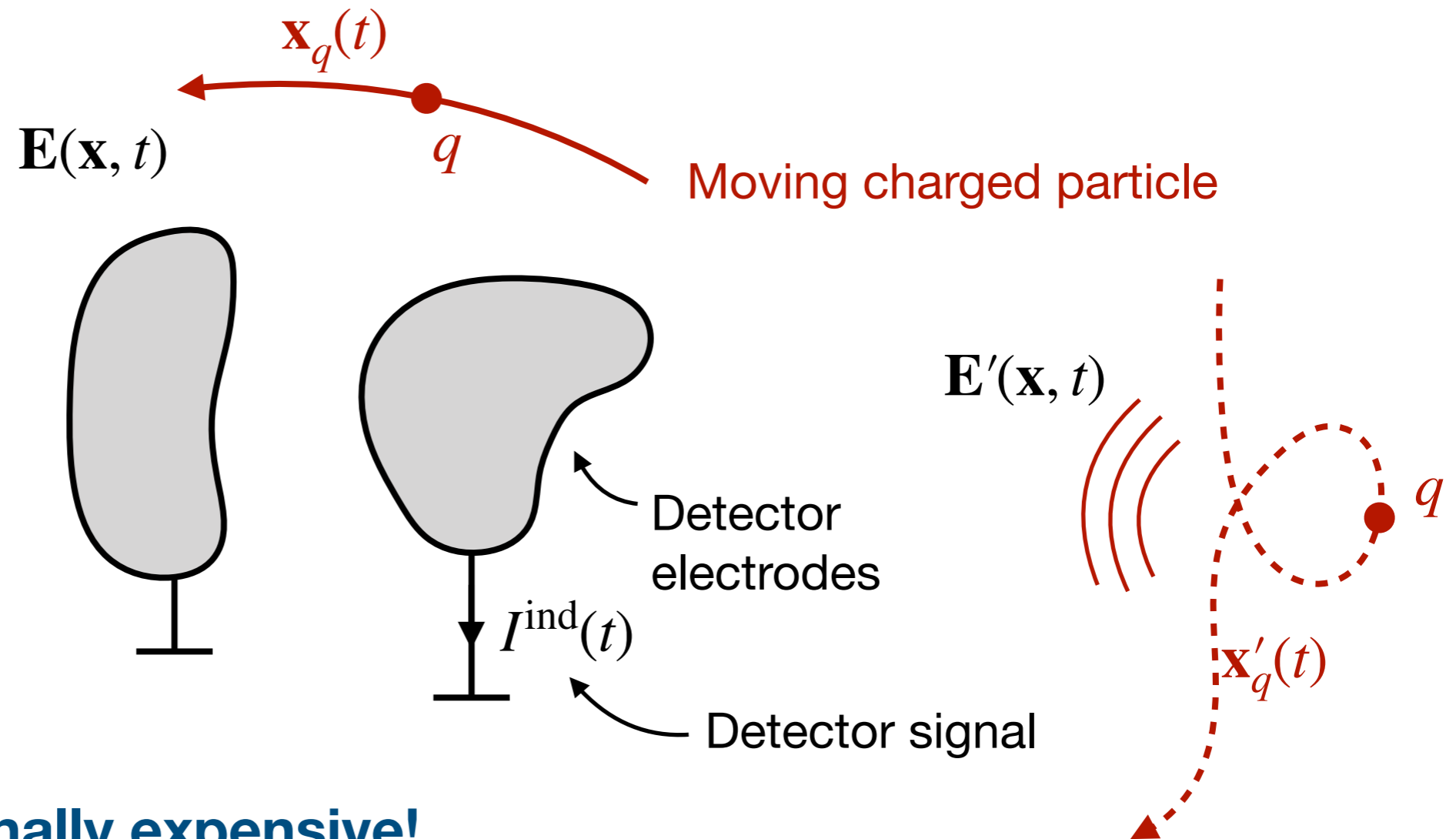
Detector signal

Very computationally expensive!
*(Need to repeat full calculation
for every trajectory.)*
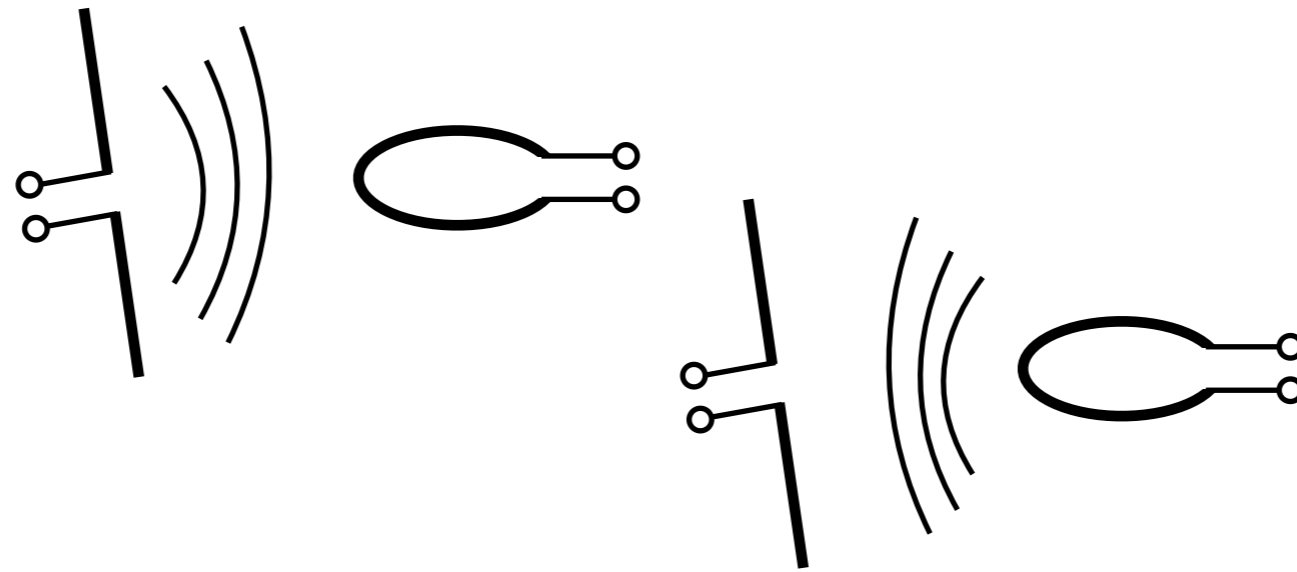
A general and efficient method to compute the signal

Towards a "detector-centric" calculation

Reciprocity: **electrodynamics has a built-in method**
to relate two different situations *(with identical geometry)*

$$I_1 \qquad\qquad V_2$$

Transmitter

Receiver

Antenna 2 "sees" antenna 1

$$\overline{V}_1 \qquad\qquad \bar{I}_2$$

Receiver

Transmitter
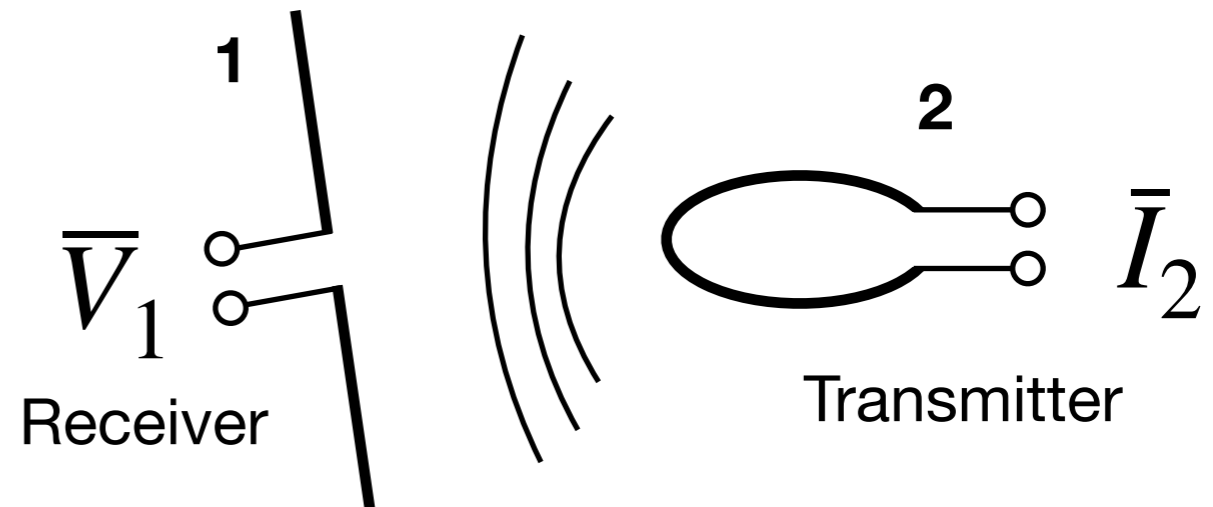
Antenna 1 "sees" antenna 2

Reciprocity:

$$V_2/I_1 = \overline{V}_1/\bar{I}_2$$

Two views of the same situation

Detector electrode "sees" particle
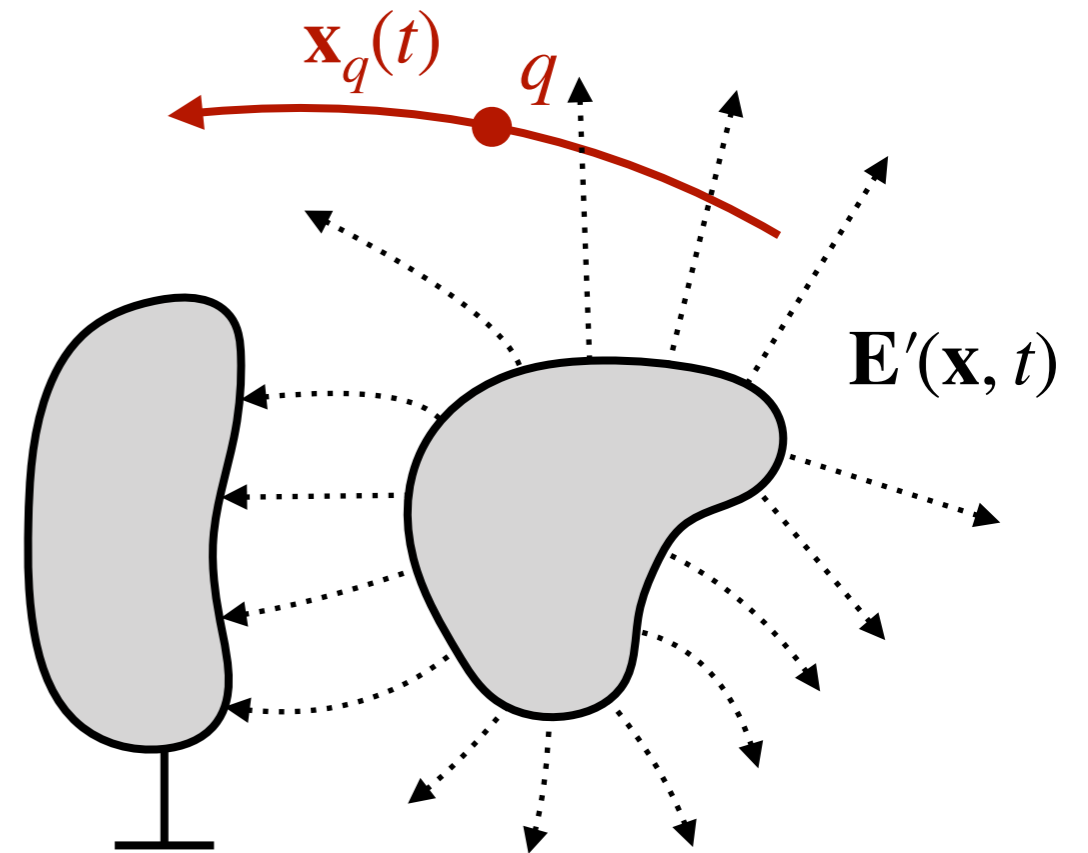
Particle "sees" detector electrode

Reciprocity: **electrodynamics has a built-in method
to relate two different situations** *(with identical geometry)*

General, linear material distribution: $\epsilon(\mathbf{x})$, $\mu(\mathbf{x})$, $\sigma(\mathbf{x})$

(taken to be symmetric for simplicity)

$$\mathbf{J}^e \xrightarrow{\text{Maxwell's eqns.}} \mathbf{E}, \mathbf{H}$$

External current distribution — Field distributions

$$\overline{\mathbf{J}}^e \xrightarrow{\text{Maxwell's eqns.}} \overline{\mathbf{E}}, \overline{\mathbf{H}}$$

External current distribution — Field distributions

Reciprocity: **electrodynamics has a built-in method
to relate two different situations** *(with identical geometry)*
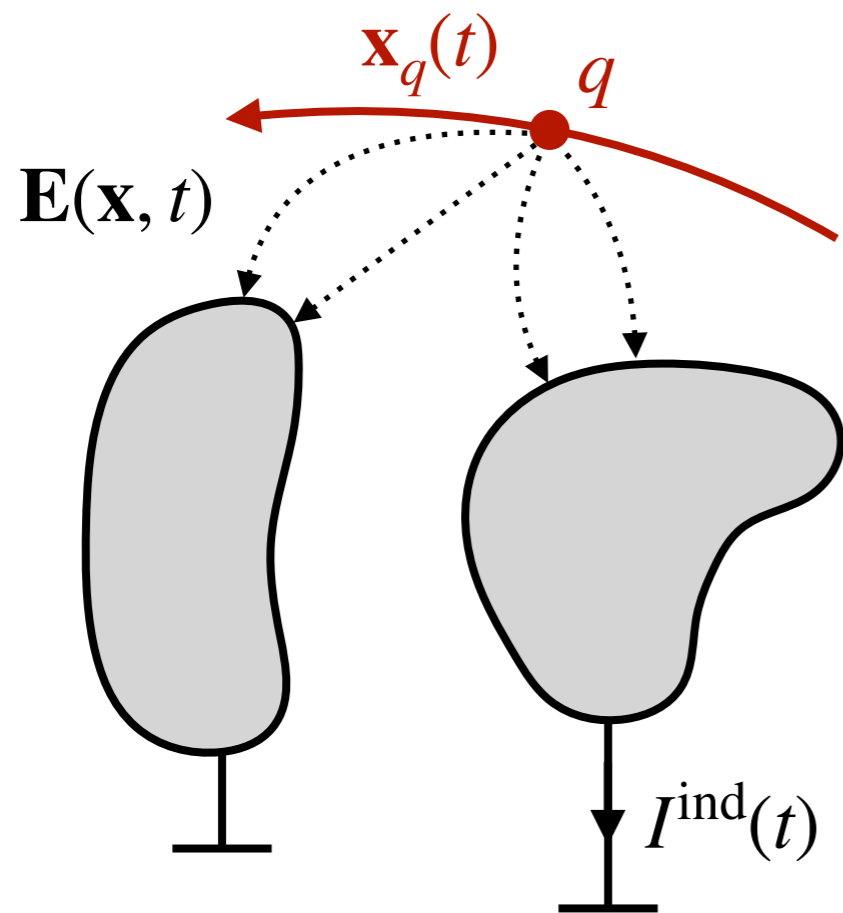
General, linear material distribution: $\epsilon(\mathbf{x})$, $\mu(\mathbf{x})$, $\sigma(\mathbf{x})$

(taken to be symmetric for simplicity)

**These field distributions are
not independent!**

For <u>arbitrary</u> $\mathbf{J}^e$, $\bar{\mathbf{J}}^e$:

$$\int_V dV\, \overline{\mathbf{E}}(\mathbf{x}, \omega) \cdot \mathbf{J}^e(\mathbf{x}, \omega) = \int_V dV\, \mathbf{E}(\mathbf{x}, \omega) \cdot \overline{\mathbf{J}}^e(\mathbf{x}, \omega)$$

*"Lorentz reciprocity" is a direct
consequence of Maxwell's equations*
(see backup)

H.A. Lorentz, Vers. Konig. Akad. Wetensch. 4, 176

$\hat{\epsilon}(\mathbf{x}, \omega$

$\hat{\mu}(\mathbf{x}, \omega$

$\hat{\sigma}(\mathbf{x}, \omega$

$\omega)$

$\mathbf{J}^e$ $\xrightarrow{\text{Maxwell's eqns.}}$ $\mathbf{E}, \mathbf{H}$

External current
distribution

Field
distributions

$\overline{\mathbf{J}}^e$ $\xrightarrow{\text{Maxwell's eqns.}}$ $\overline{\mathbf{E}}, \overline{\mathbf{H}}$

External current
distribution

Field
distributions

A detector-centric signal theorem

Use reciprocity to compute signal induced in detector

Primal situation:

$\mathbf{E}$

Electric field produced by **charged particle**

$\mathbf{J}^e$

$\mathbf{E}(\mathbf{x}, t)$

Detector *(antennas, cables, …)* **encoded** in **material distribution**

$\mathbf{x}_q(t)$

q

$\hat{\epsilon}(\mathbf{x}, \omega)$

$\hat{\mu}(\mathbf{x}, \omega)$

$\hat{\sigma}(\mathbf{x}, \omega)$

$\mathbf{x}_1$ $\mathcal{S}$ $\mathbf{x}_0$

Detector "**terminals**" where **signal** is available

$$V^{\mathrm{ind}}(t) = \int_{\mathbf{x}_1, \mathcal{S}}^{\mathbf{x}_0} \mathbf{E}(\mathbf{x}, t) \cdot d\mathbf{s}$$
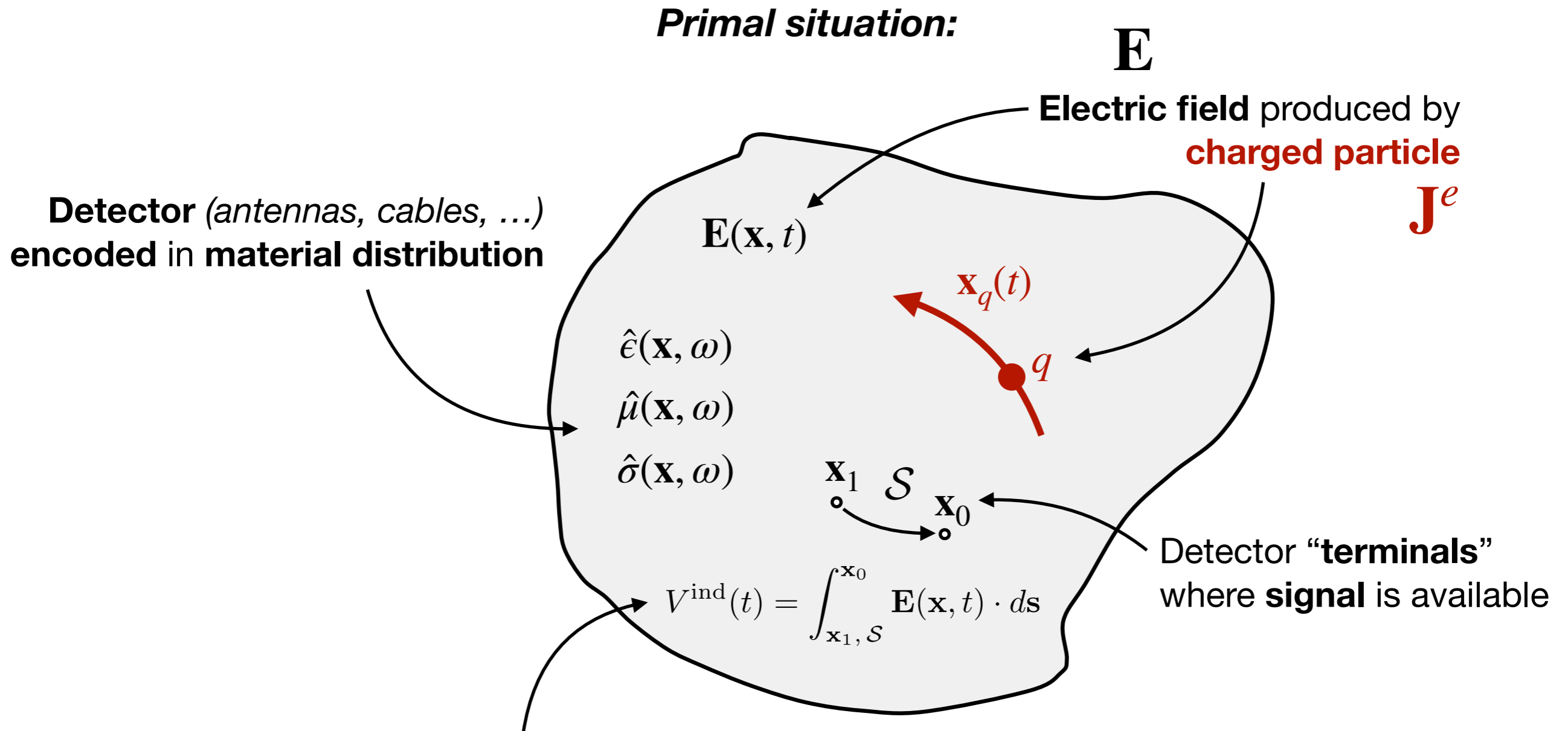
Detector **signal**: energy gain between terminals
(measured along a specific path $\mathcal{S}$, $\nabla \times \mathbf{E} \neq 0$ in general!)

A detector-centric signal theorem

Use reciprocity to compute signal induced in detector

The "dual" situation:

Detector acts as **transmitting device**:
"weighting field distribution"

$$\overline{\mathbf{E}}$$

Identical
material distribution

$$\hat{\epsilon}(\mathbf{x}, \omega)$$
$$\hat{\mu}(\mathbf{x}, \omega)$$
$$\hat{\sigma}(\mathbf{x}, \omega)$$

$$\mathbf{E}_w(\mathbf{x}, t)$$

$$\mathbf{x}_1 \quad \mathcal{S} \quad \mathbf{x}_0$$

$$I_w(t) = Q_w\, \delta(t)$$
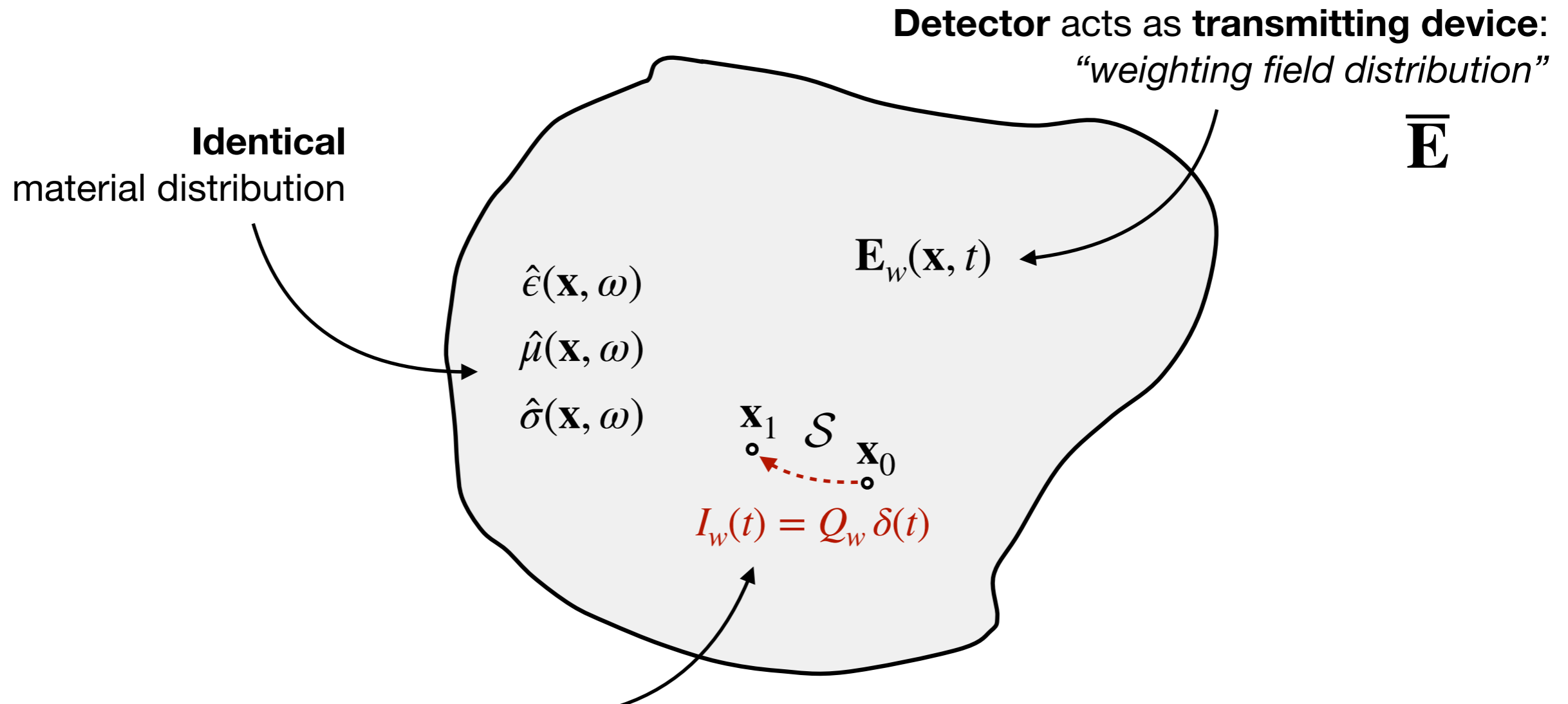
Current source attached to **detector terminals**: $\overline{\mathbf{J}}^e$
delta-like current along $\mathcal{S}$ (convention: in opposite direction)

A detector-centric signal theorem

Use reciprocity to compute signal induced in detector
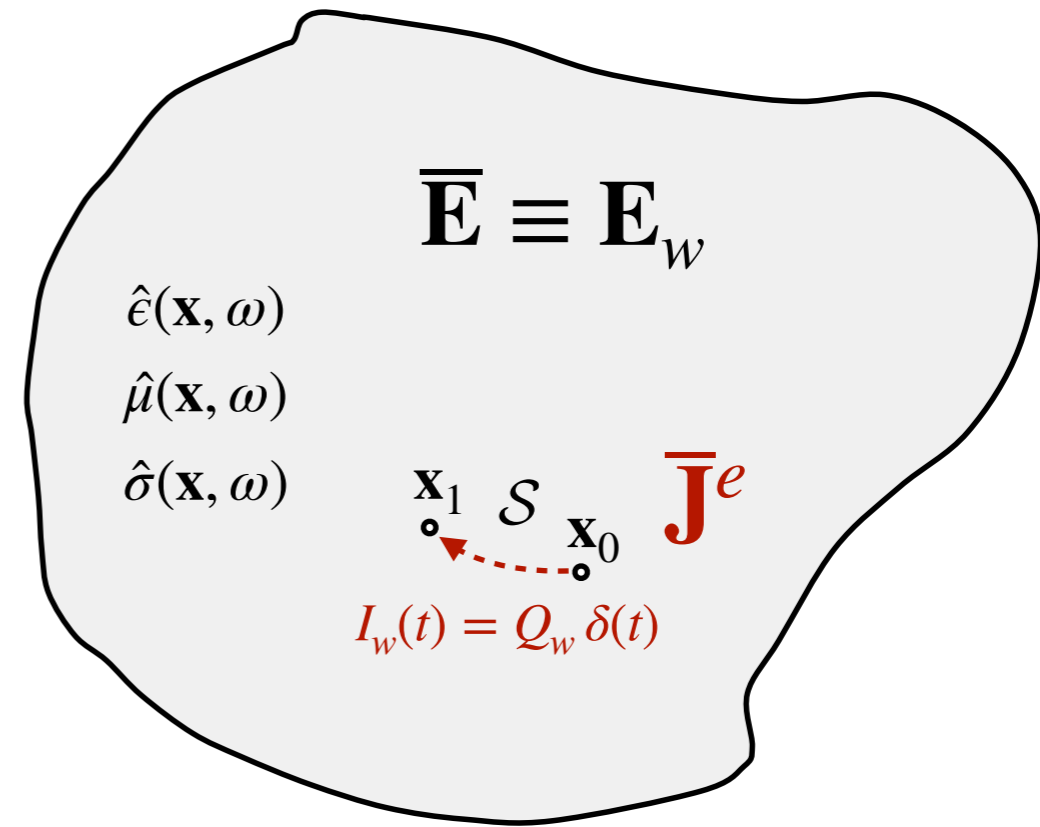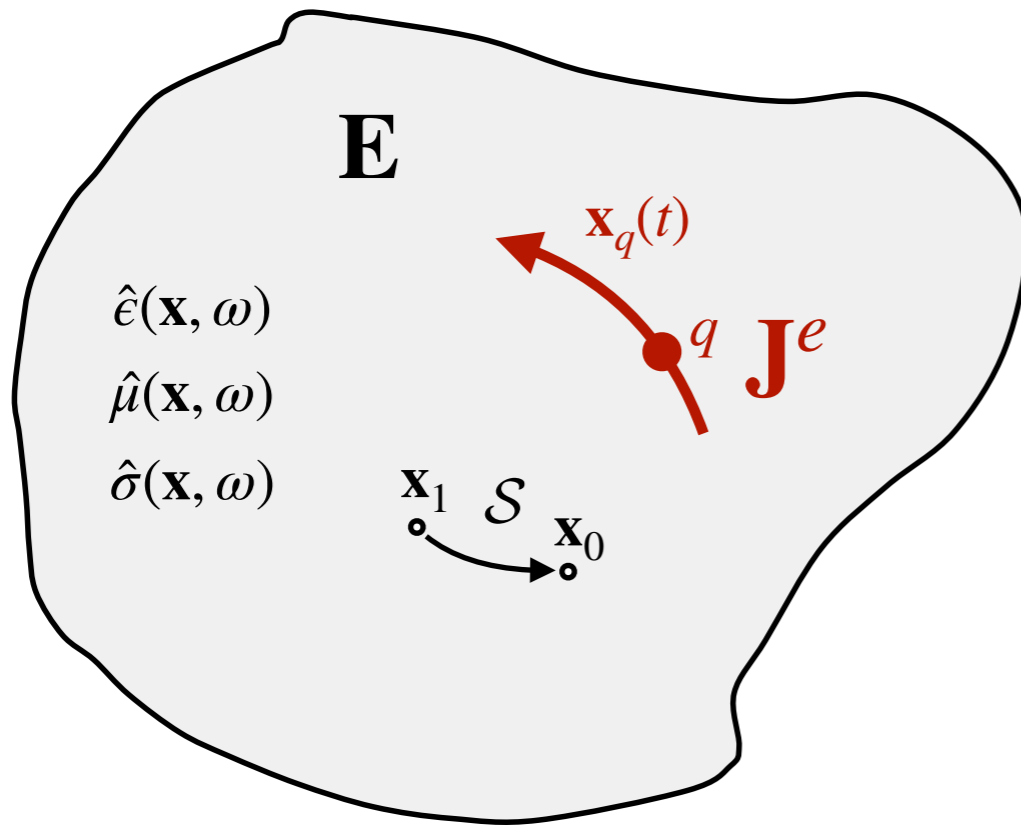
$$\text{Lorentz reciprocity:} \quad \int_V dV\, \mathbf{E}(\mathbf{x}, \omega) \cdot \overline{\mathbf{J}}^e(\mathbf{x}, \omega) = \int_V dV\, \overline{\mathbf{E}}(\mathbf{x}, \omega) \cdot \mathbf{J}^e(\mathbf{x}, \omega)$$

$$\boxed{-Q_w V^{\text{ind}}(\omega) = \int_V dV\, \mathbf{E}_w(\mathbf{x}, \omega) \cdot \mathbf{J}^e(\mathbf{x}, \omega)}$$

A detector-centric signal theorem

In the time domain:

*"Induced signal = weighting field * particle trajectory"*

$$V^{\mathrm{ind}}(t) = -\frac{q}{Q_w}\int_{-\infty}^{\infty} dt'\, \mathbf{E}_w(\mathbf{x}_q(t'), t - t') \cdot \dot{\mathbf{x}}_q(t')$$

Normalising constant   Weighting field   Particle trajectory

Weighting field: Green's function for detector signal

Encodes information about detector geometry and environment

Reciprocity: compute it by using detector as transmitter (Maxwell solver)

Compute once, use for arbitrary particle trajectories

(Numerical convolution is cheap!)

Fully general, no approximations

Holds exactly for all linear, anisotropic materials, approximately for nonlinear, anisotropic materials

Nonrelativistic limit

Charges move nonrelativistically in a typical detector (gas, silicon)

Quasi-electrostatics (c→∞): *no radiation, no propagation effects, …*

Also: want the induced <u>current</u> (on a grounded electrode)

Primal situation:

Electrostatics: permeability irrelevant — $\hat{\epsilon}(\mathbf{x})$

$\mathbf{E}(\mathbf{x}, t)$

Slowly moving charged particle

$\mathbf{x}_q(t)$

q

Grounded detector electrodes

$\mathbf{n}$

R V_n^{ind}

Resistance b/w electrode and ground measures current
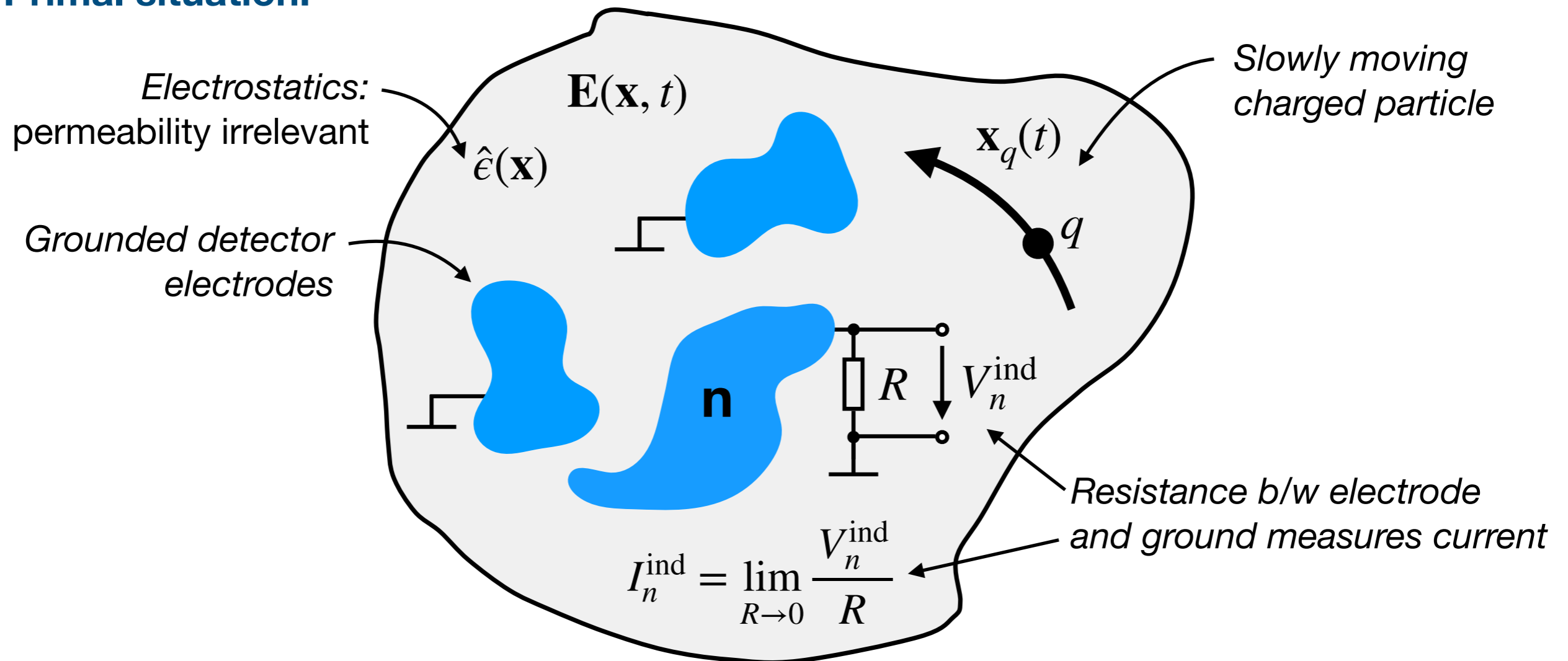
$$I_n^{\text{ind}} = \lim_{R \to 0} \frac{V_n^{\text{ind}}}{R}$$

Nonrelativistic limit

Charges move nonrelativistically in a typical detector (gas, silicon)

Quasi-electrostatics (c→∞): *no radiation, no propagation effects, …*

Also: want the induced <u>current</u> (on a grounded electrode)

Dual situation:

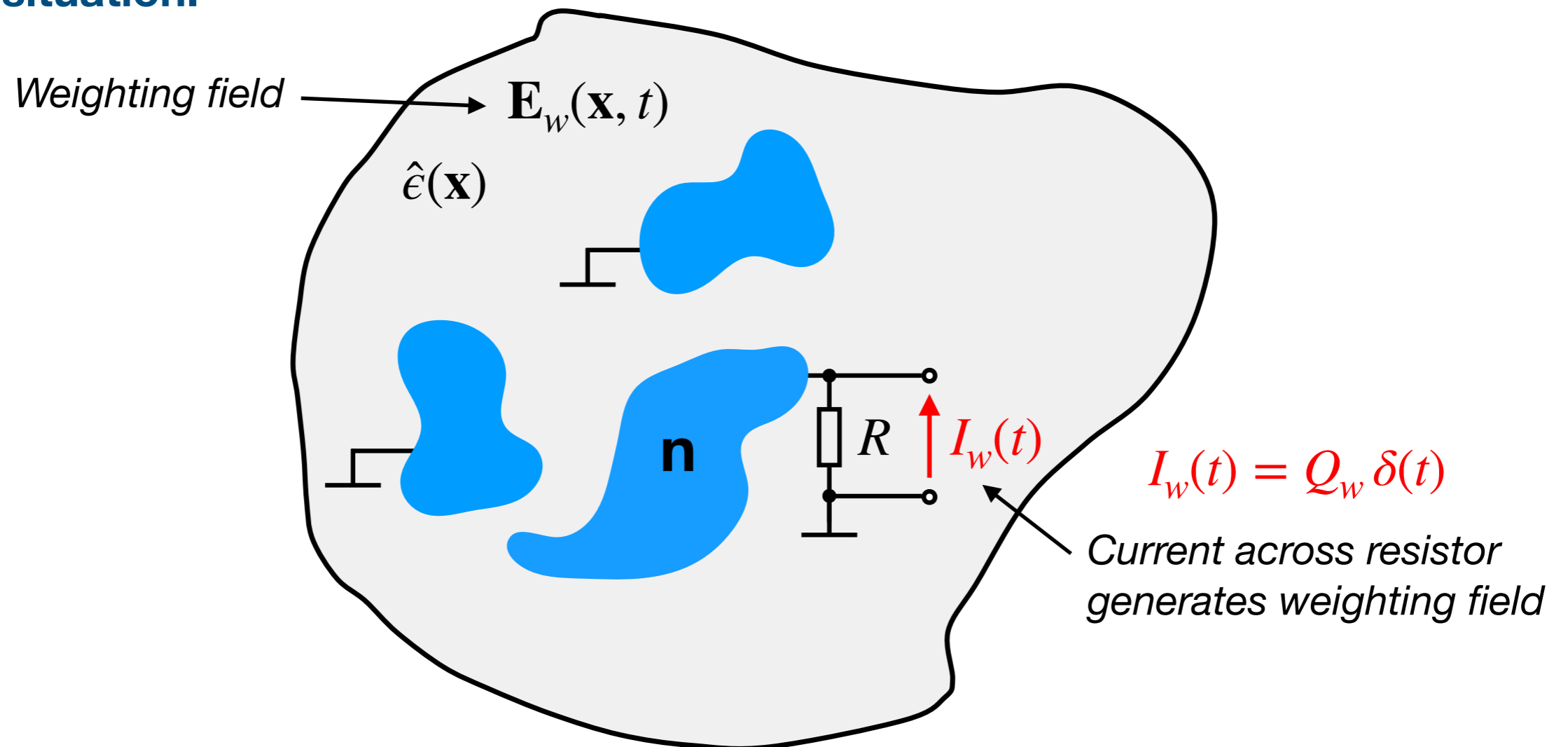*Weighting field* → $\mathbf{E}_w(\mathbf{x}, t)$

$\hat{\epsilon}(\mathbf{x})$

n

R $\quad I_w(t)$

$$I_w(t) = Q_w \, \delta(t)$$

Current across resistor generates weighting field

Weighting field
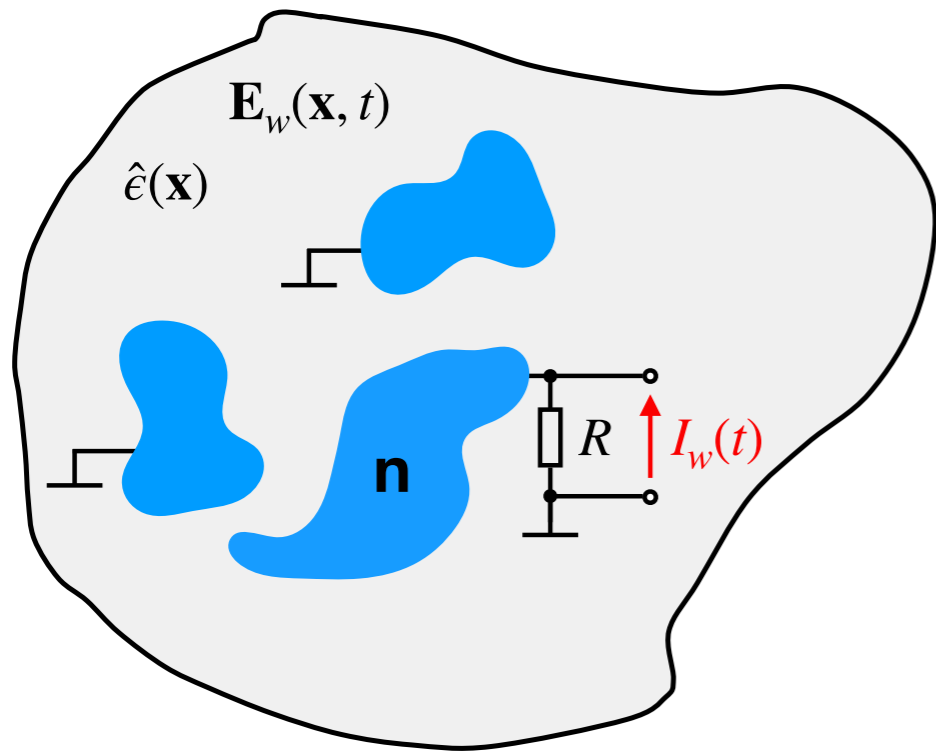
1.) The current I_w places a charge Q_w on electrode n at t = 0

$$I_w(t) = Q_w\,\delta(t)$$

2.) This puts the electrode at potential $V(0)$ and generates the electric field $\mathbf{E}_w(\mathbf{x}, t)$

3.) The electrode discharges through the resistor R for t > 0
→ time-dependent potential $V(t)$

Quasi-electrostatic limit: evolution is sequence of electrostatic configurations

If the field is $\mathbf{E}_n(\mathbf{x}; V)$ for electrode *n* at potential V, the weighting field is

$$\mathbf{E}_w(\mathbf{x}, t) = \mathbf{E}_n(\mathbf{x}; V(t)) = \frac{V(t)}{V_w}\mathbf{E}_n(\mathbf{x}; V_w)$$

Arbitrary constant

(Field scales homogeneously with the potential)

Induced voltage

Weighting field:
$$\mathbf{E}_w(\mathbf{x}, t) = \frac{V(t)}{V_w} \mathbf{E}_n(\mathbf{x}; V_w)$$

Signal theorem:
$$V^{\mathrm{ind}}(t) = -\frac{q}{Q_w} \int_{-\infty}^{\infty} dt' \, \mathbf{E}_w(\mathbf{x}_q(t'), t - t') \cdot \dot{\mathbf{x}}_q(t')$$

Induced current:
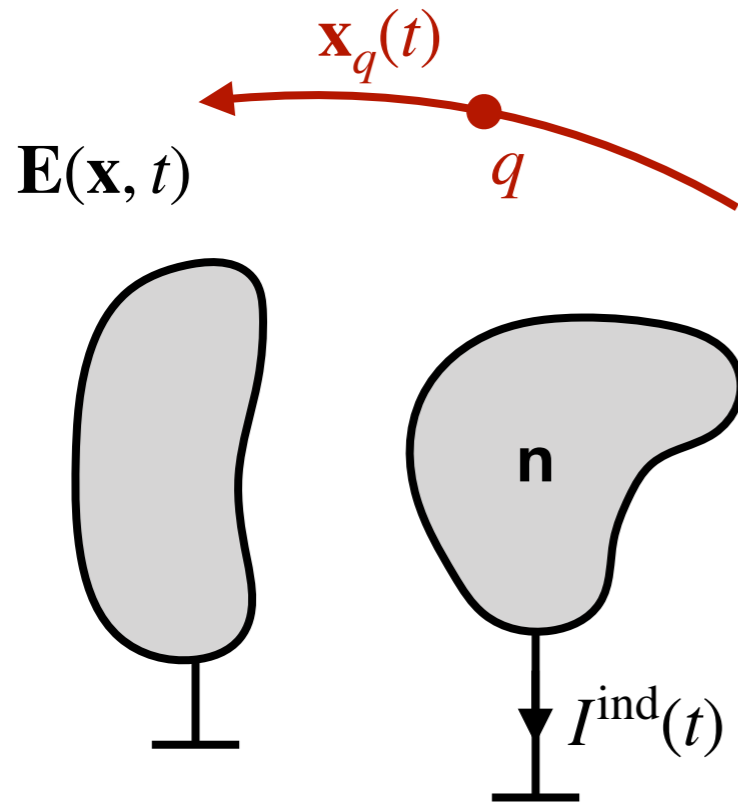$$I^{\mathrm{ind}}(t) = \lim_{R \to 0} \frac{V^{\mathrm{ind}}(t)}{R}$$

$$\implies I^{\mathrm{ind}}(t) = \lim_{R \to 0} -\frac{q}{Q_w R} \int dt' \frac{V_n(t - t')}{V_w} \mathbf{E}_n(\mathbf{x}_q(t'); V_w) \cdot \dot{\mathbf{x}}_q(t')$$

The discharge is very fast
for small resistances

$$\lim_{R \to 0} \frac{V_n(t)}{R} = Q_w \, \delta(t)$$

$$\implies I^{\mathrm{ind}}(t) = -\frac{q}{V_w} \mathbf{E}_n(\mathbf{x}_q(t); V_w) \cdot \dot{\mathbf{x}}_q(t)$$

Induced voltage

$\mathbf{x}_q(t)$

$\mathbf{E}(\mathbf{x}, t)$ q

$\mathbf{n}$

$I^{\text{ind}}(t)$

"Detector-centric" expression for current induced on grounded readout electrodes:

(Nonrelativistic limit)

$$I^{\text{ind}}(t) = -\frac{q}{V_w}\mathbf{E}_n(\mathbf{x}_q(t); V_w) \cdot \dot{\mathbf{x}}_q(t)$$

"Ramo-Shockley theorem"

Derived in the 1930s by Ramo and Shockley …

584   Proceedings of the I.R.E.   September, 1939

Currents Induced by Electron Motion*

SIMON RAMO†, ASSOCIATE MEMBER, I.R.E.

Summary—A method is given for computing the instantaneous current induced in neighboring conductors by a given specified motion of electrons. The method is based on the repeated use of a simple equation giving the current due to a single electron's movement and is believed to be simpler than methods previously described.

The meth
whose deriv

Currents to Conductors Induced by a Moving Point Charge

W. SHOCKLEY
Bell Telephone Laboratories, Inc., New York, N. Y.
(Received May 14, 1938)

General expressions are derived for the currents which flow in the external circuit connecting a system of conductors when a point charge is moving among the conductors. The results are applied to obtain explicit expressions for several cases of practical interest.

… specifically for the quasi-static case

Induced voltage
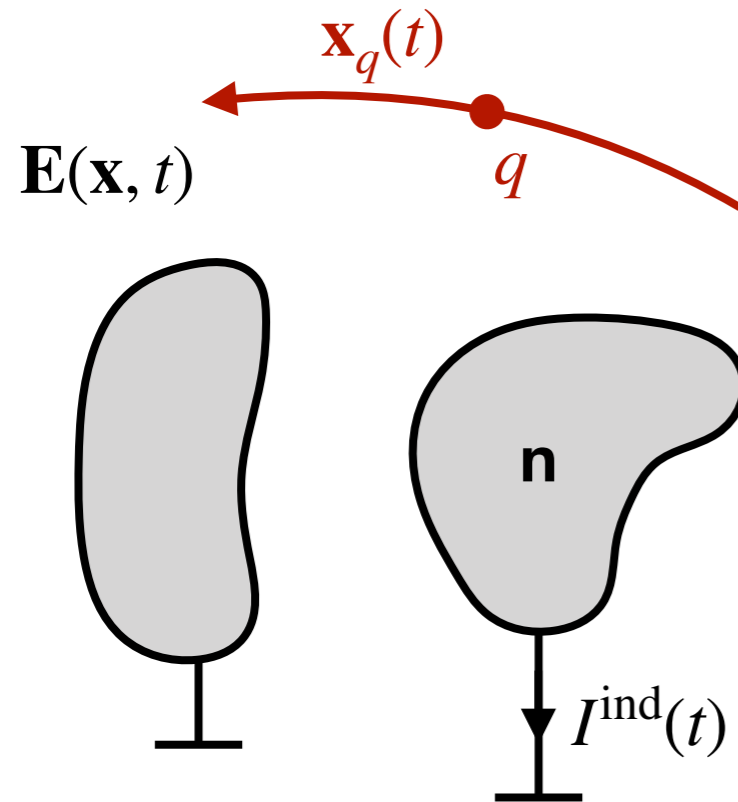
$\mathbf{x}_q(t)$

$\mathbf{E}(\mathbf{x}, t)$

q

$\mathbf{n}$

$I^{\mathrm{ind}}(t)$

"Detector-centric" expression for current induced on grounded readout electrodes:

(Nonrelativistic limit)

$$I^{\mathrm{ind}}(t) = -\frac{q}{V_w}\mathbf{E}_n(\mathbf{x}_q(t); V_w) \cdot \dot{\mathbf{x}}_q(t)$$

"Ramo-Shockley theorem"

Weighting field

Encodes detector geometry, can be computed once and for all

Particle trajectory

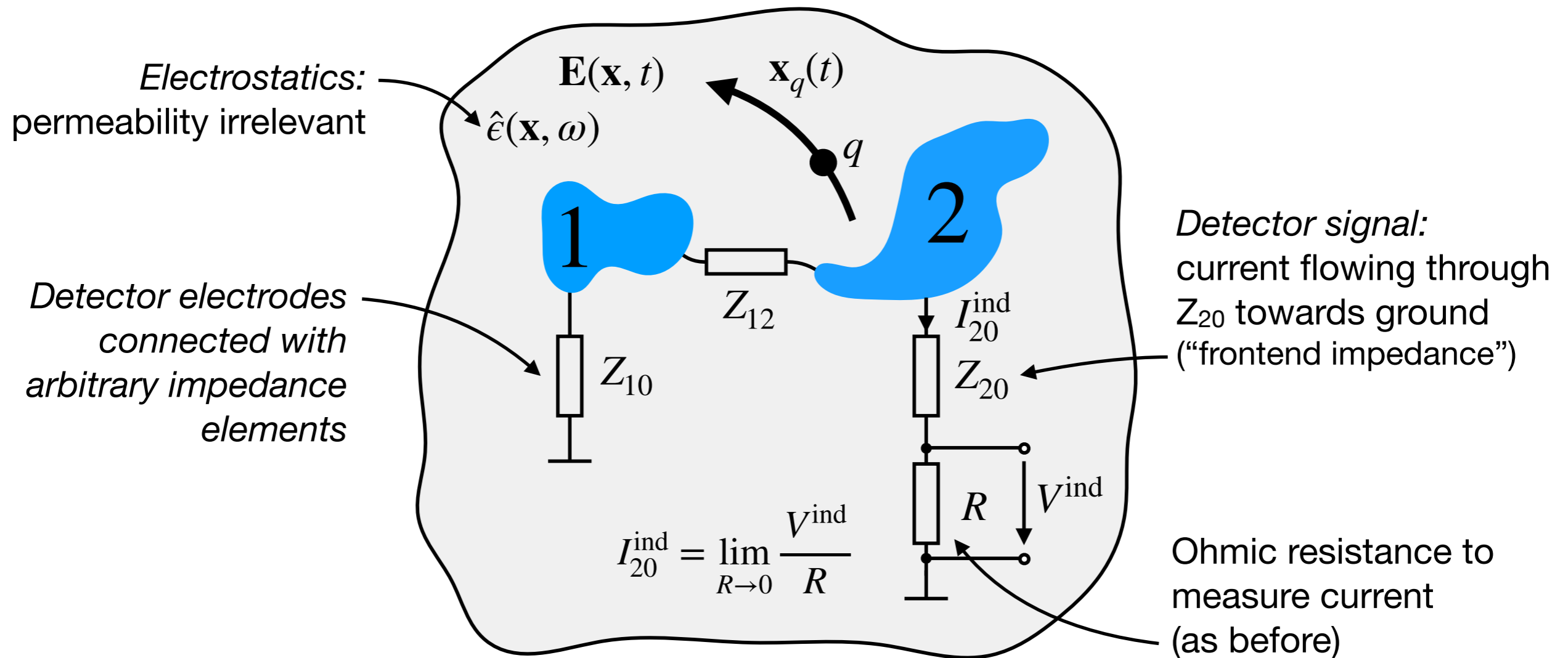*Nonzero <u>velocity</u> is required to produce a nonzero signal*

Very efficient! Multiplication instead of Poisson equation …

Grounded electrodes?

Real detector electrodes are embedded in electrical circuits

Insulation resistance, frontend electronics …

Primal situation:

Electrostatics:
permeability irrelevant

$$\mathbf{E}(\mathbf{x}, t) \quad \mathbf{x}_q(t)$$

$$\hat{\epsilon}(\mathbf{x}, \omega)$$

$$q$$

1

2

Detector electrodes connected with arbitrary impedance elements

$$Z_{12}$$

$$Z_{10}$$

$$I_{20}^{\text{ind}}$$

$$Z_{20}$$

$$R \qquad V^{\text{ind}}$$

$$I_{20}^{\text{ind}} = \lim_{R \to 0} \frac{V^{\text{ind}}}{R}$$

Detector signal:
current flowing through
Z_{20} towards ground
("frontend impedance")

Ohmic resistance to
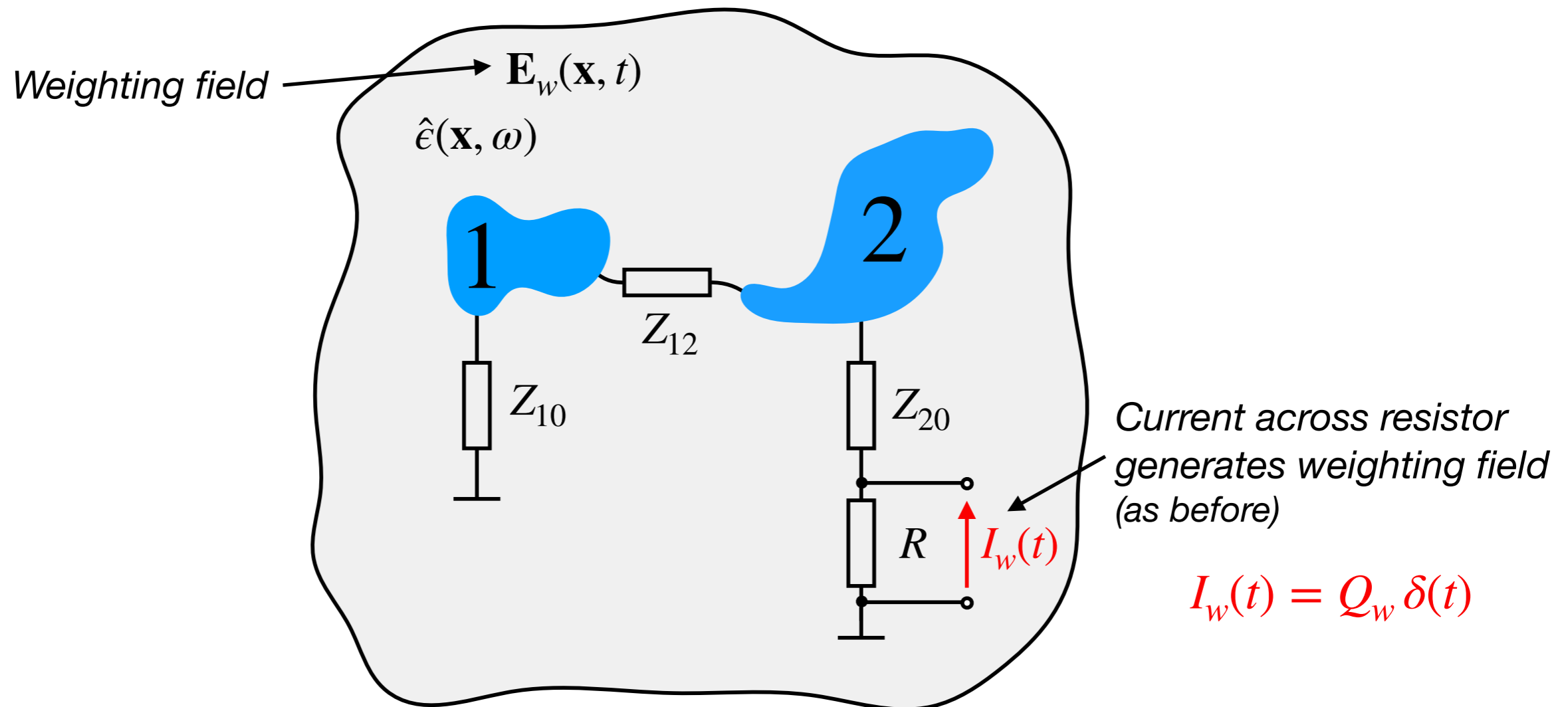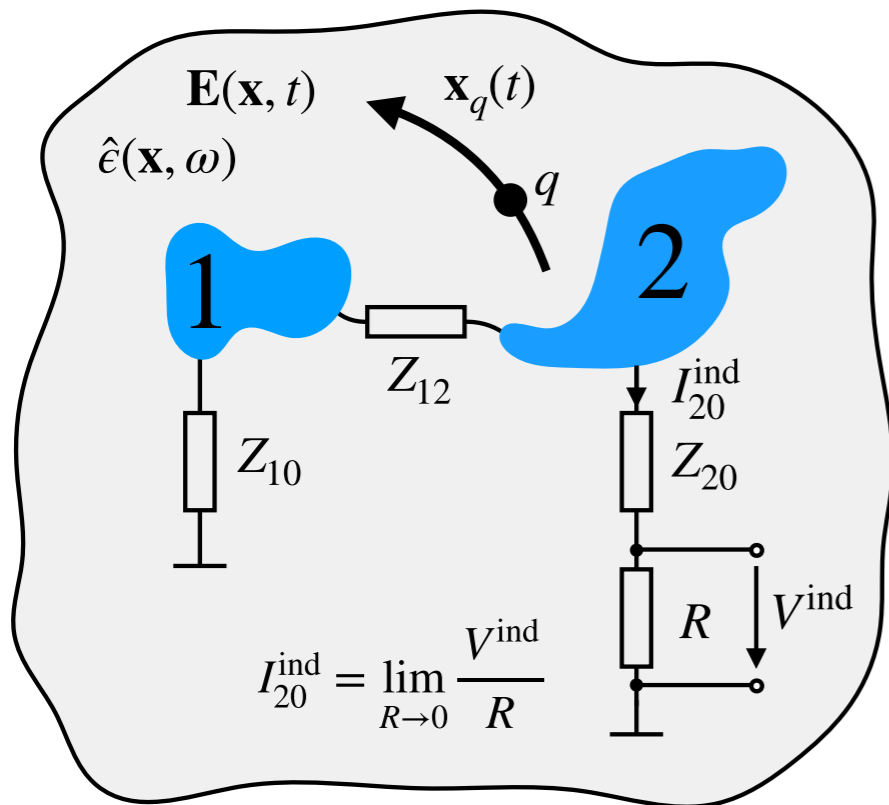measure current
(as before)

Grounded electrodes?

Real detector electrodes are embedded in electrical circuits

Insulation resistance, frontend electronics …

Dual situation:

Weighting field

$\mathbf{E}_w(\mathbf{x}, t)$

$\hat{\epsilon}(\mathbf{x}, \omega)$

1

2

Z_{12}

Z_{10}

Z_{20}

R

$I_w(t)$

Current across resistor generates weighting field (as before)

$$I_w(t) = Q_w\, \delta(t)$$
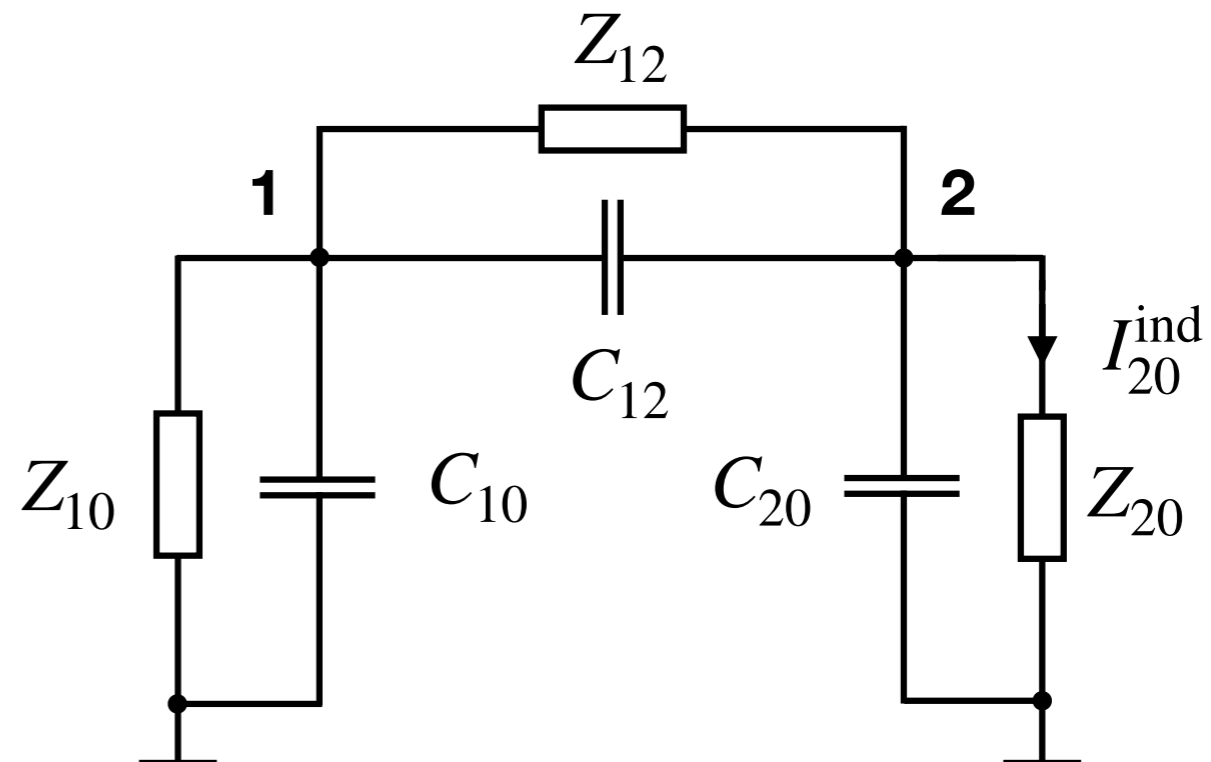
Induced signal

Derivation works as before:

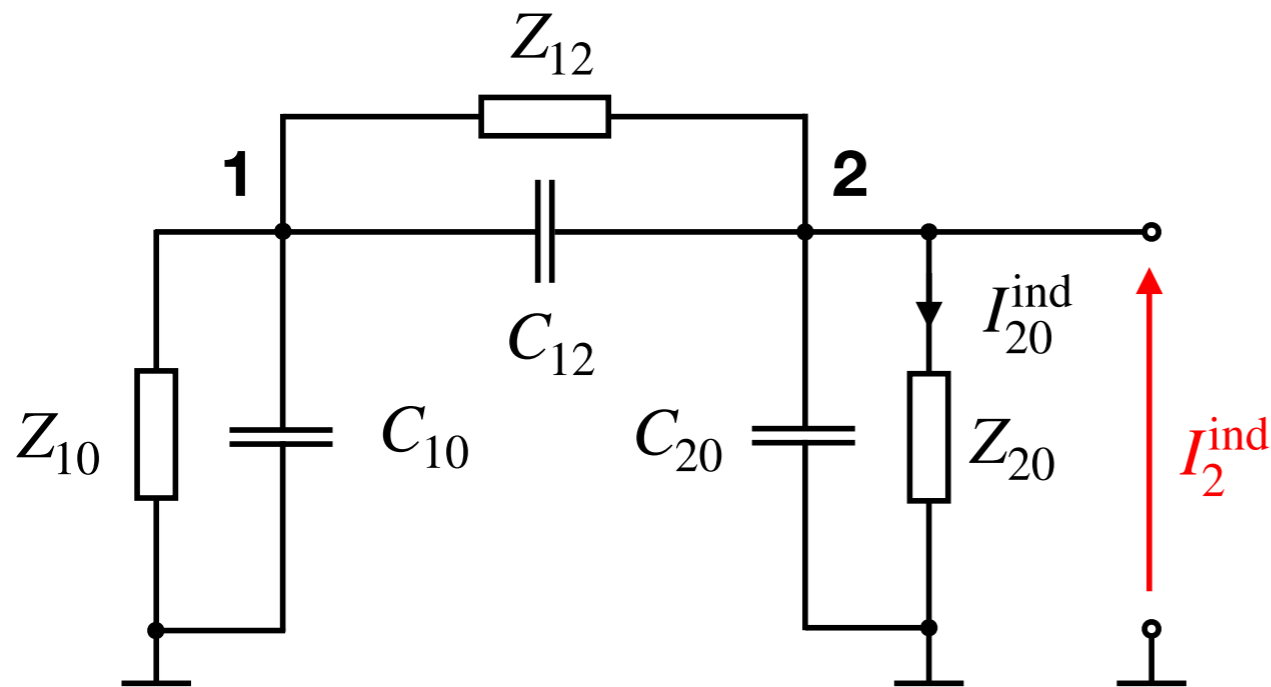$$\mathbf{E}_w(\mathbf{x}, t) = \frac{V_2(t)}{V_w}\mathbf{E}_2(\mathbf{x}; V_w)$$

Evolution of $V_2(t)$ now depends on the equivalent circuit of the situation

Equivalent circuit:

Explicit representation of circuit including mutual capacitances between electrodes

Induced signal

Result of derivation:

Convolution

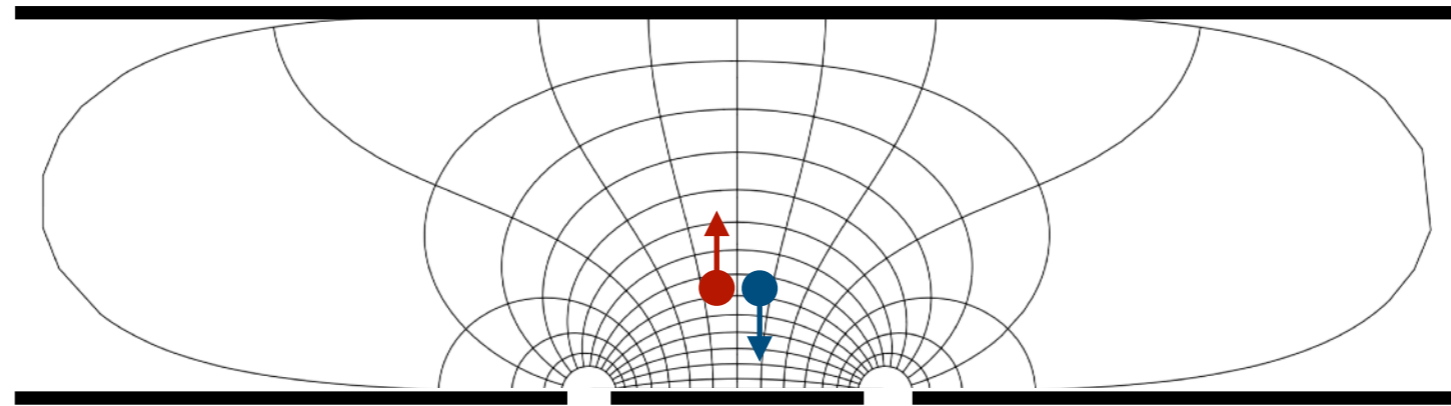$$\lim_{R \to 0} I_{20}^{\text{ind}}(t) = g(t) * I_2^{\text{ind}}(t)$$

Green's function of equivalent circuit

Current induced on <u>grounded</u> electrode

Practical workflow:

1.) Compute current $I_2^{\text{ind}}(t)$ induced on grounded electrode with Ramo-Shockley theorem

2.) Place this current as a source into equivalent circuit and solve with SPICE (or other simulator), read off $I_{20}^{\text{ind}}(t)$

Signals in silicon detectors

Signals in silicon detectors

Shape of signals in silicon detectors determined by

↓ ↓

Structure of weighting field **Trajectories of moving charges**

↕ ↕

Geometry of electrodes: **Electric field in detector**
Pixels, strips, … Drift trajectories,
avalanche multiplication, …

In the following: discuss some common situations

Parallel-plate geometry

(e.g. silicon pixel detector with large pitch)
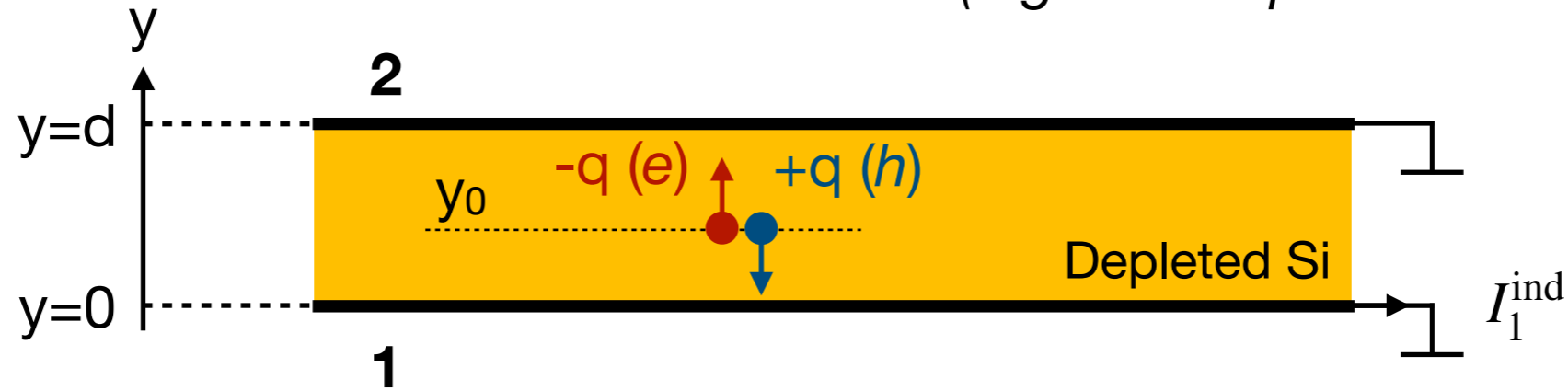
Parallel-plate geometry

(e.g. silicon pixel detector with large pitch)

Weighting field for electrode 1: $\quad \mathbf{E}_1 = \dfrac{V_w}{d}\,\hat{\mathbf{y}}$
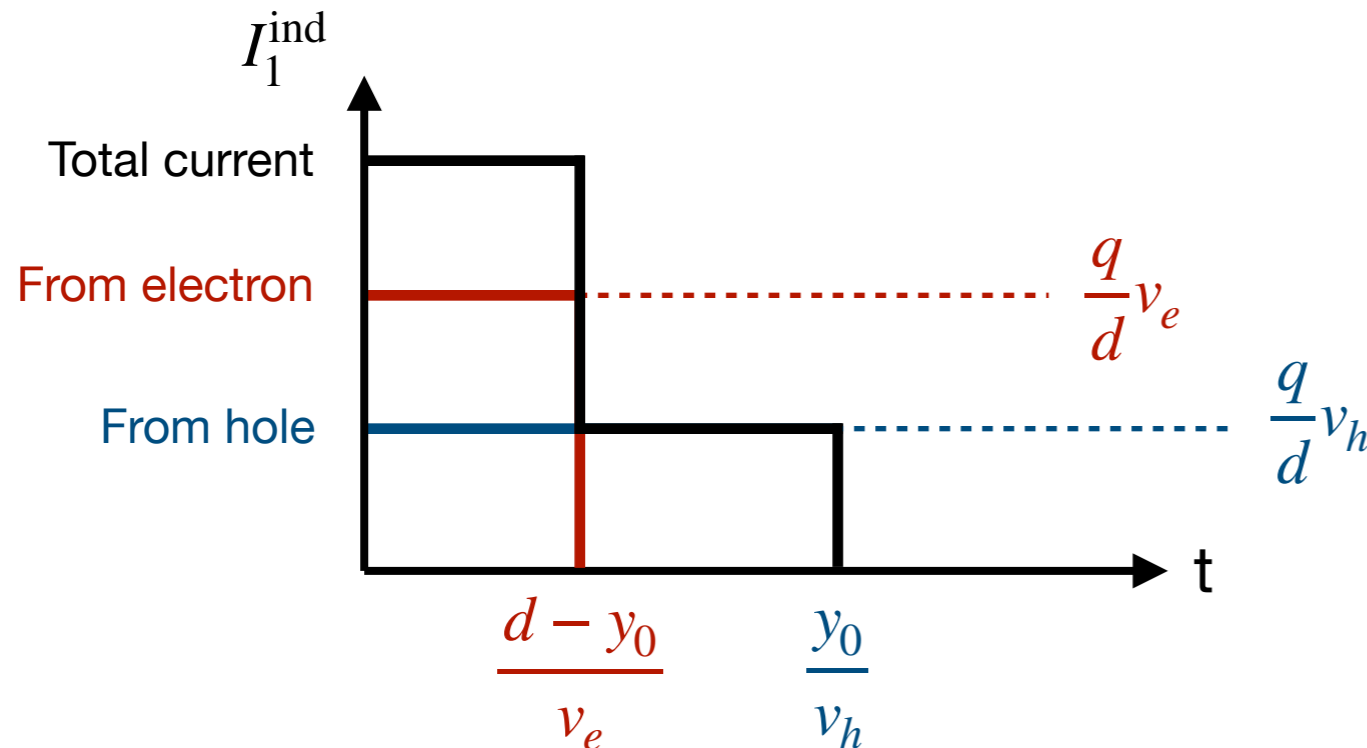
Signal induced by electron-hole pair

(e.g. silicon pixel detector with large pitch)

Weighting field for electrode 1: $\mathbf{E}_1 = \dfrac{V_w}{d}\,\hat{\mathbf{y}}$

Electron-hole pair deposited at y_0, drifting in opposite directions:

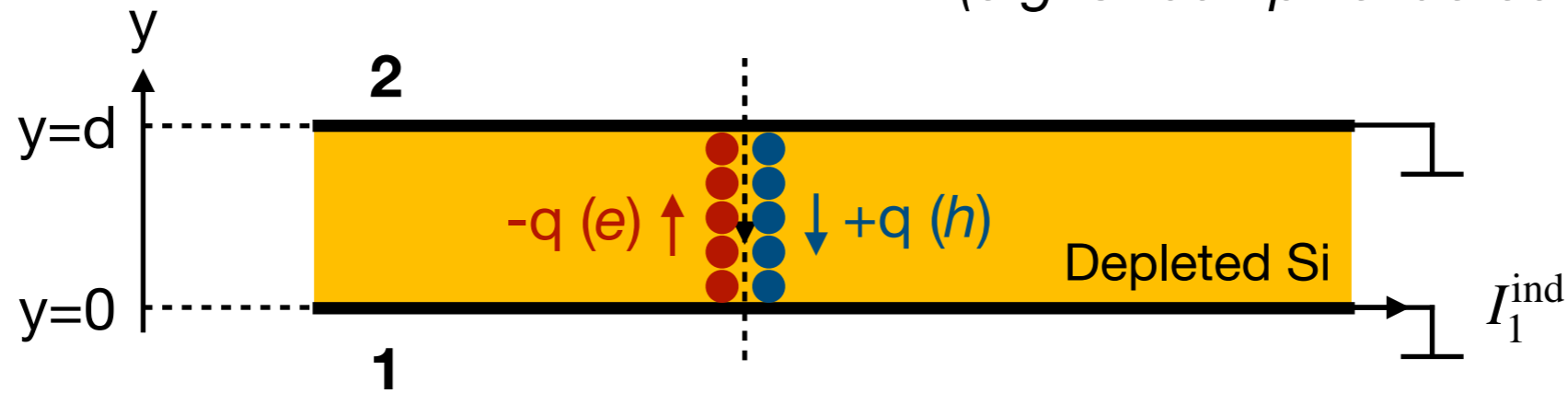Constant contribution to signal during drift
(electrons drift faster)

Total charge contained in signal:

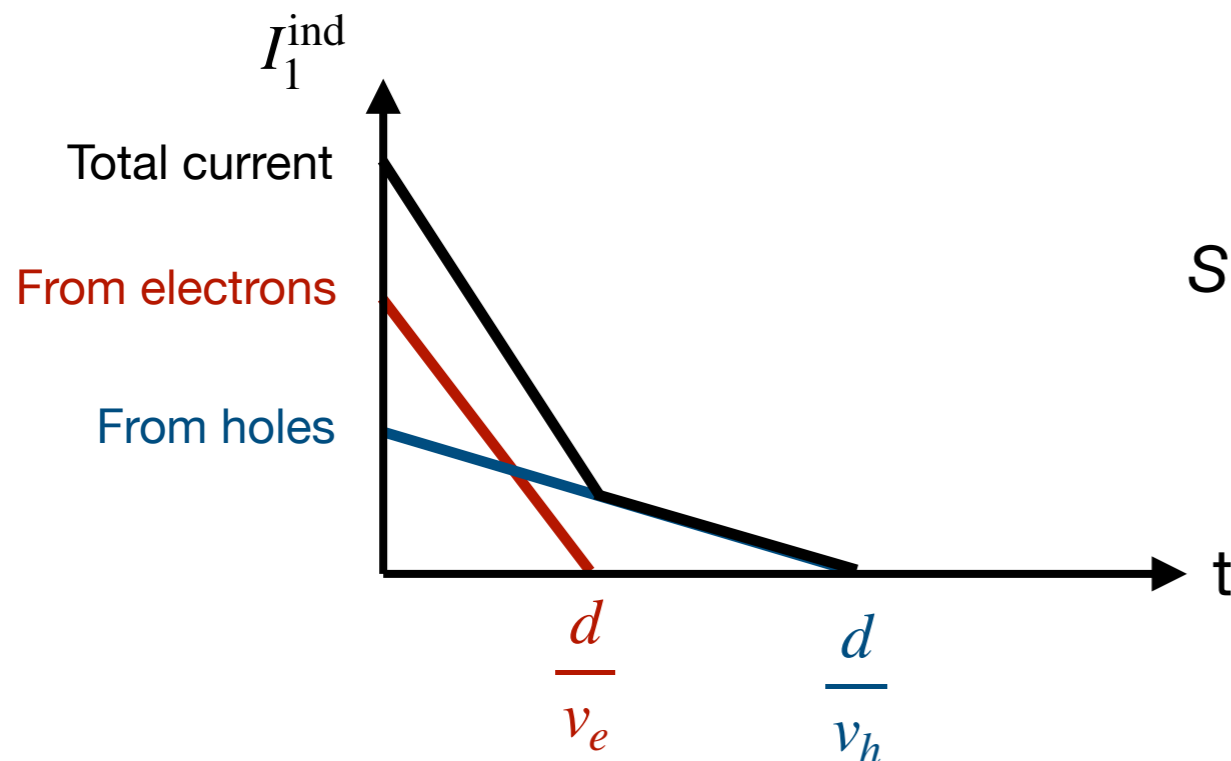$$Q_{\text{tot}} = \frac{q}{d}v_e \cdot \frac{d - y_0}{v_e} + \frac{q}{d}v_h \cdot \frac{y_0}{v_h} = q$$

(fraction carried by electrons / holes depends on y_0!)

Signal induced by charged particle

(e.g. silicon pixel detector with large pitch)

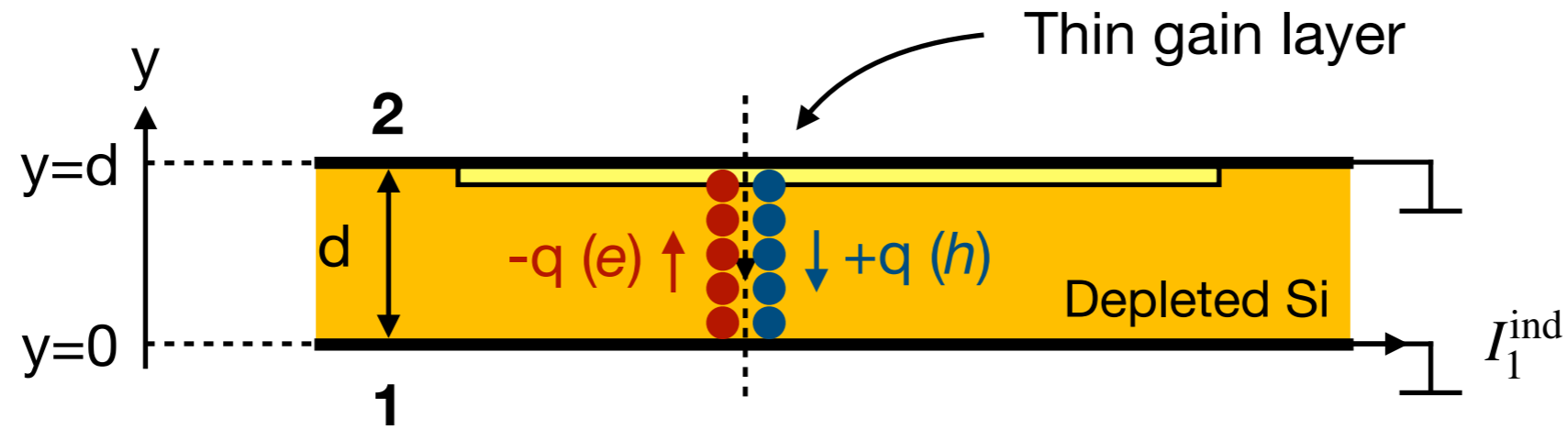**Weighting field for electrode 1:** $\mathbf{E}_1 = \dfrac{V_w}{d}\,\hat{\mathbf{y}}$

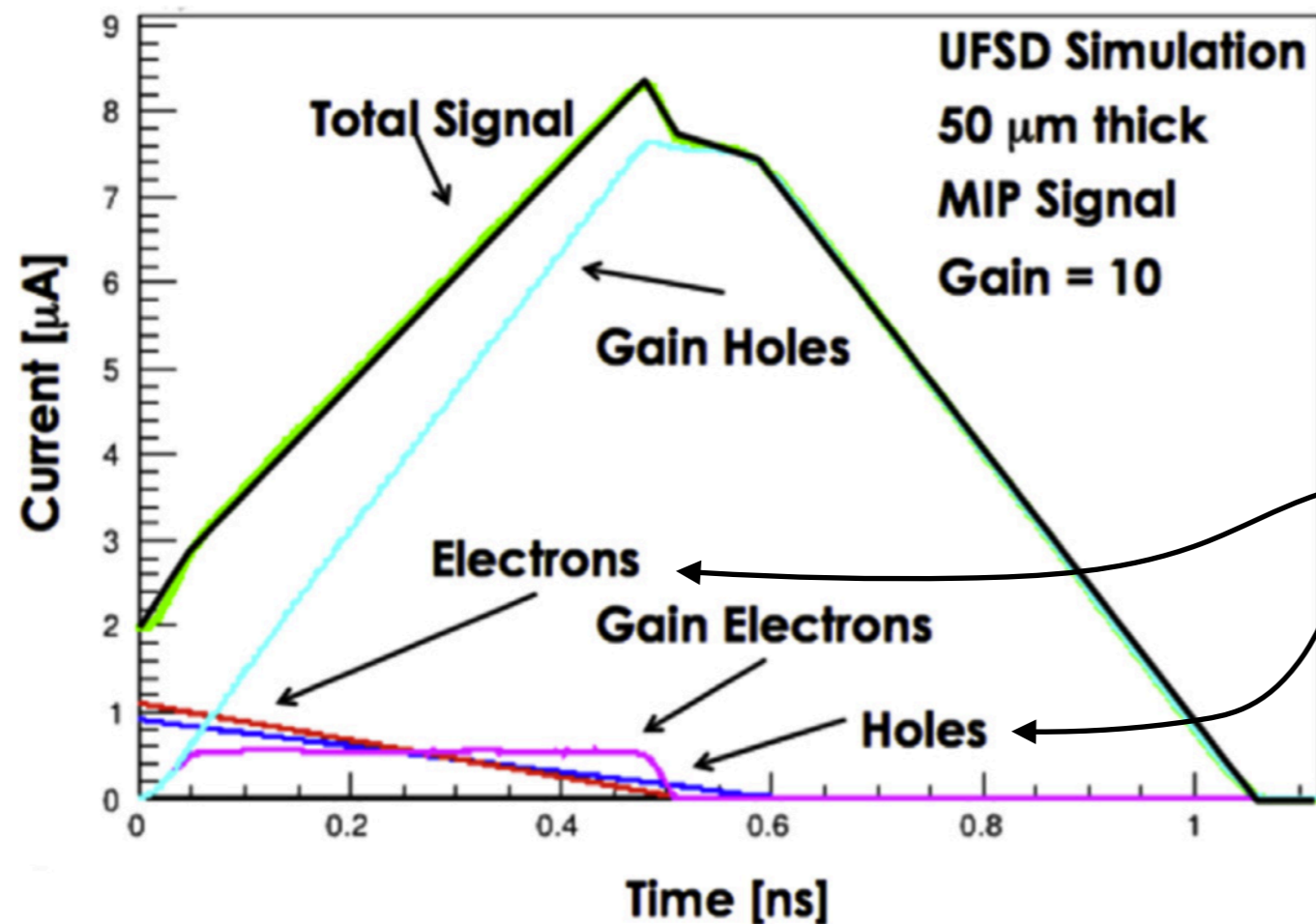**Primary particle** deposits two **line charges** (clusters of e/h pairs) along its **track**

Statistics of charge deposit: Karol Krizka's lectures

Number of drifting charges reduces linearly over time → triangular signal

Signals in LGADs

y = d

2

d

−q (e) ↑   ↓ +q (h)

y = 0

1

Thin gain layer

Depleted Si

I_1^{ind}

Cartiglia et al., *"Design optimization of ultra-fast silicon detectors"* [link]

Total Signal

Gain Holes

Electrons

Gain Electrons

Holes

UFSD Simulation
50 μm thick
MIP Signal
Gain = 10

Current [μA]

Time [ns]

Passing charged particle deposits line-charges, as before

Primary **electrons** and primary **holes**: *triangular signal contributions,*

Signals in LGADs
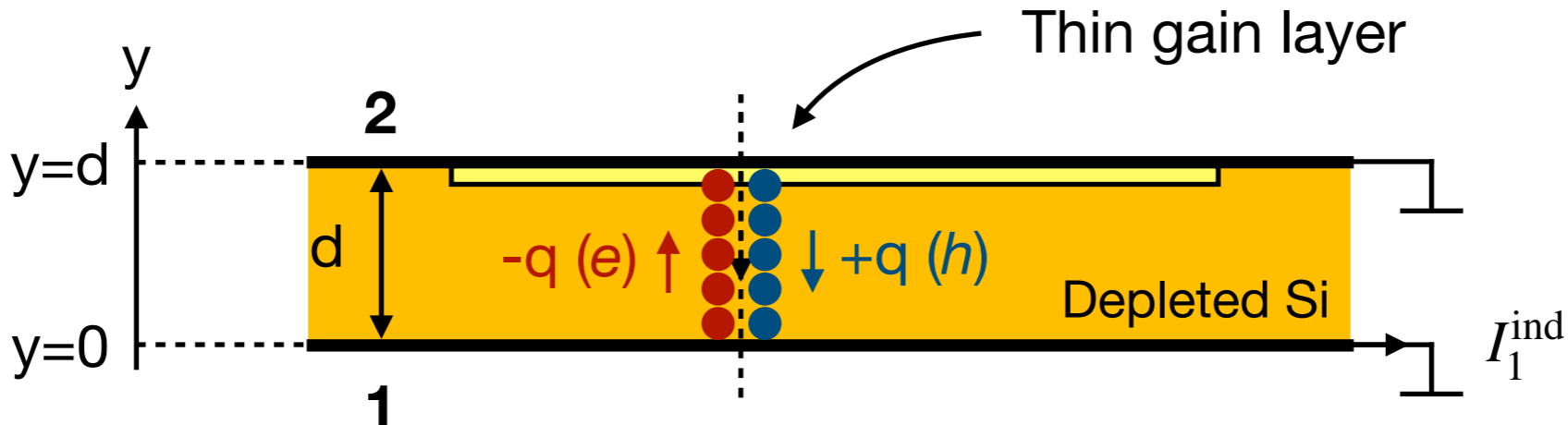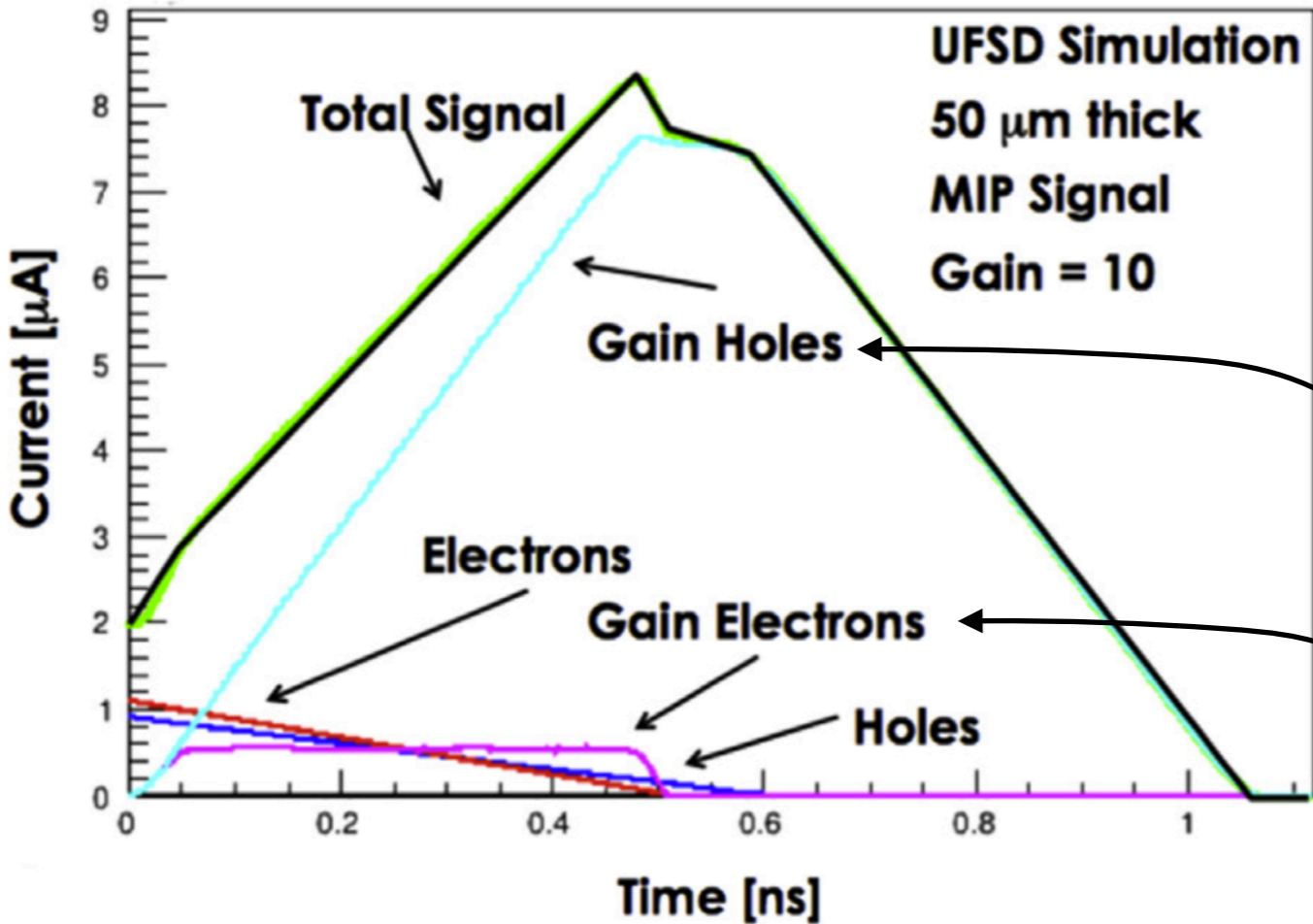

y=d

2

d

-q (e) ↑   ↓ +q (h)

Depleted Si I_1^{ind}

y=0

1

Thin gain layer

Cartiglia et al., *"Design optimization of ultra-fast silicon detectors"* [link]

UFSD Simulation
50 μm thick
MIP Signal
Gain = 10

Electrons arriving at **gain layer**
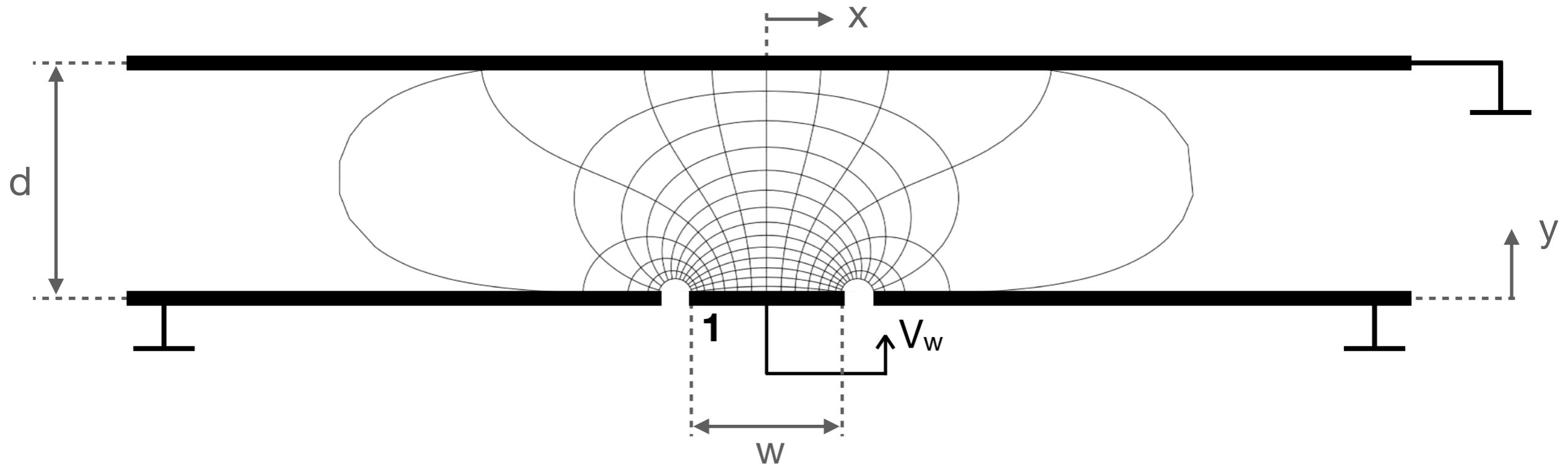are **multiplied**

e

e h

e

e
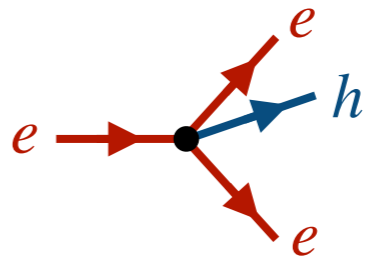
Gain holes produced at y=d
drift through the full detector and
dominate the total signal

Gain electrons produced at y=d
are not important for total signal

The real world is not a parallel plate capacitor!

Realistic detector geometries have more complicated weighting fields ...

→ **revisit the strip geometry from earlier**

Weighting field for electrode 1:

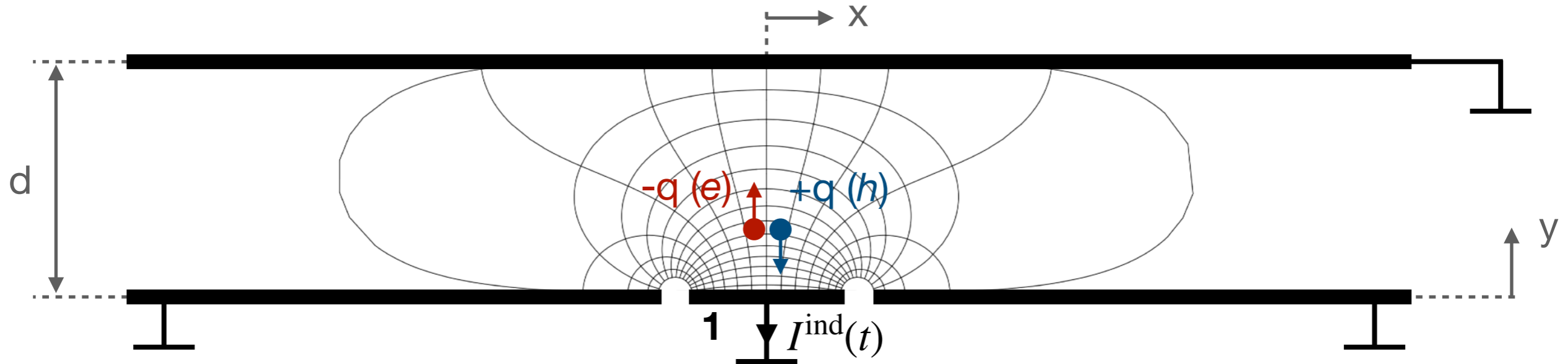$$E_1^y = \frac{V_w}{2d} \left[\frac{\sinh\left(\pi \frac{x+w/2}{d}\right)}{\cosh\left(\frac{x+w/2}{d}\right) - \cos\left(\frac{\pi y}{d}\right)} - \frac{\sinh\left(\pi \frac{x-w/2}{d}\right)}{\cosh\left(\frac{x-w/2}{d}\right) - \cos\left(\frac{\pi y}{d}\right)} \right]$$

Heubrandtner et al., "Static Electric Fields in an Infinite Plane Condensor with One or Three Homogeneous Layers" [link]

The real world is not a parallel plate capacitor!

Realistic detector geometries have more complicated weighting fields …

→ **revisit the strip geometry from earlier**

For **w ≫ d**, the situation reverts to the parallel-plate geometry

For **w ~ d**, the weighting field increases towards the strip
  → signal dominated by moving charges <u>close</u> to the strip

… similar for weighting field for pixel geometry

Heubrandtner et al., "Static Electric Fields in an Infinite Plane Condensor with One or Three Homogeneous Layers" [link]

Summary

Electrical signals in detectors are <u>exclusively</u> due to induction by moving charged particles

Signals in silicon detectors may be computed with the Ramo-Shockley theorem *(itself a special case of a more general fact)*

$$I^{\text{ind}}(t) = -\frac{q}{V_w}\mathbf{E}_n(\mathbf{x}_q(t); V_w) \cdot \dot{\mathbf{x}}_q(t)$$

Weighting field of electrode   Particle trajectory

Depending on the **geometry** and the **charge trajectory**, either electrons or holes are responsible for the **dominant part of the signal**

Any questions? Feel free to contact me at *philipp.windischhofer@cern.ch*

References

W. Shockley, *Currents to Conductors Induced by a Moving Point Charge*,
Journal of Applied Physics. 9 (10): 635 (1938)

S. Ramo, *Currents induced in electron motion*, PROC. IRE 27, 584 (1939)

W. Riegler, P. Windischhofer, *Signals induced on electrodes by moving charges:
a general theorem for Maxwell's equations based on Lorentz-reciprocity,*
Nucl. Instrum. Meth. A 980, 164471 (2020)

W. Riegler, *Signals in particle detectors*, CERN Academic Training Lectures,
https://indico.cern.ch/event/843083/

Backup

Maxwell's equations and Lorentz reciprocity

We assume the most general form of Maxwell's equations for a linear anisotropic material of position- and frequency-dependent permittivity matrix $\hat{\varepsilon}(\mathbf{x}, \omega)$, permeability matrix $\hat{\mu}(\mathbf{x}, \omega)$ and conductivity matrix $\hat{\sigma}(\mathbf{x}, \omega)$. These 3×3 matrices relate the vector fields

$$\mathbf{D} = \hat{\varepsilon}\mathbf{E} \qquad \mathbf{B} = \hat{\mu}\mathbf{H} \qquad \mathbf{J} = \hat{\sigma}\mathbf{E} \tag{1}$$

The source of the fields is an externally impressed current density $\mathbf{J}^e(\mathbf{x}, \omega)$. In the Fourier domain, Maxwell's equations then read as

$$\nabla \cdot \hat{\varepsilon}\mathbf{E} = \rho \qquad \nabla \cdot \hat{\mu}\mathbf{H} = 0$$

$$\nabla \times \mathbf{E} = -i\omega\hat{\mu}\mathbf{H} \qquad \nabla \times \mathbf{H} = \mathbf{J}^e + \hat{\sigma}\mathbf{E} + i\omega\hat{\varepsilon}\mathbf{E}$$

$$\nabla \cdot (\mathbf{F} \times \mathbf{G}) = \mathbf{G} \cdot (\nabla \times \mathbf{F}) - \mathbf{F} \cdot (\nabla \times \mathbf{G}) \tag{4}$$

We can therefore write

$$\nabla \cdot (\mathbf{E} \times \overline{\mathbf{H}}) = \overline{\mathbf{H}}(\nabla \times \mathbf{E}) - \mathbf{E}(\nabla \times \overline{\mathbf{H}}) \tag{5}$$

$$= -\mathbf{E}\overline{\mathbf{J}}^e - i\omega\overline{\mathbf{H}}\hat{\mu}\mathbf{H} - \mathbf{E}(\hat{\sigma}^T + i\omega\hat{\varepsilon}^T)\overline{\mathbf{E}} \tag{6}$$

$$\nabla \cdot (\overline{\mathbf{E}} \times \mathbf{H}) = \mathbf{H}(\nabla \times \overline{\mathbf{E}}) - \overline{\mathbf{E}}(\nabla \times \mathbf{H}) \tag{7}$$

$$= -\overline{\mathbf{E}}\mathbf{J}^e - i\omega\mathbf{H}\hat{\mu}^T\overline{\mathbf{H}} - \overline{\mathbf{E}}(\hat{\sigma} + i\omega\hat{\varepsilon})\mathbf{E} \tag{8}$$

By subtracting the two expressions and using the relation $\mathbf{G}\hat{m}\mathbf{F} = \mathbf{F}\hat{m}^T\mathbf{G}$, we get

$$\nabla(\mathbf{E} \times \overline{\mathbf{H}} - \overline{\mathbf{E}} \times \mathbf{H}) = \overline{\mathbf{E}}\mathbf{J}^e - \mathbf{E}\overline{\mathbf{J}}^e \tag{9}$$

Integrating over a volume V enclosed by surface A and applying Gauss' theorem we have

$$\oint_A (\mathbf{E} \times \overline{\mathbf{H}} - \overline{\mathbf{E}} \times \mathbf{H})d\mathbf{A} = \int_V (\overline{\mathbf{E}}\mathbf{J}^e - \mathbf{E}\overline{\mathbf{J}}^e)dV \tag{10}$$

Drift velocities in silicon