

Emergence of long-range angular correlations in low-multiplicity proton-proton collisions

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for the ALICE Collaboration

CERN LHC seminar
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Based on PRL. 132 (2024) 172302



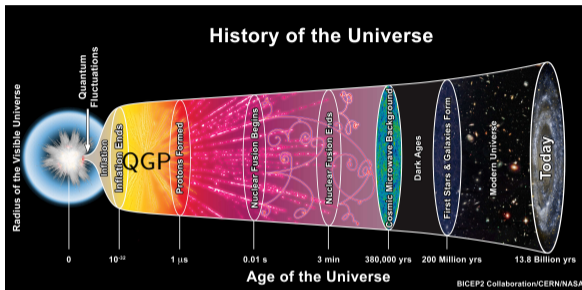
**Faculty
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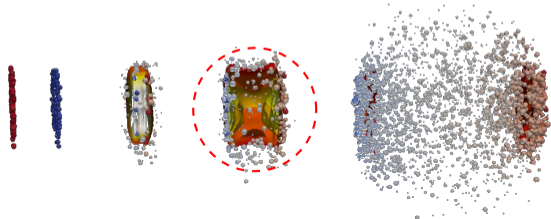


ALICE

Matter in early Universe



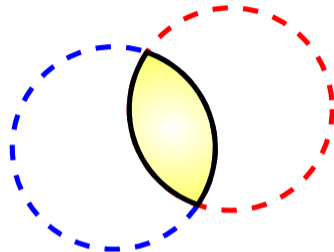
- Early Universe immediately after Big Bang: free quarks and gluons not confined to hadrons
- Can be created small nuclei-sized droplets at the LHC in heavy-ion collisions
- Study of this matter can improve the understanding on a strongly-interacting system as well as the conditions in early Universe



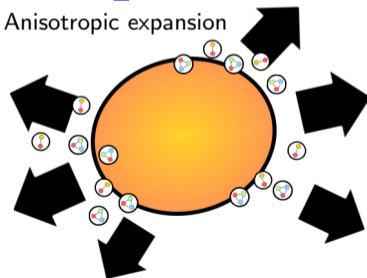
Observations on the heavy-ion systems (Pb–Pb, Au–Au, etc.)

- Strongly-interacting “medium” is formed in collisions according to several indicators (nuclear modification, jet quenching, strangeness enhancement, ...)
- This medium is fluid-like, implied by the anisotropic momentum pattern of the hadronic products
- The anisotropic expansion is a result of “collective” behavior, and is very prominent in heavy-ion systems
- Collectivity allows the constraining of the medium dynamical properties through model comparisons and tuning

Non-central collision

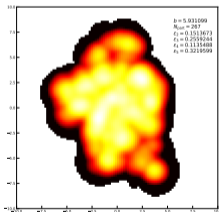
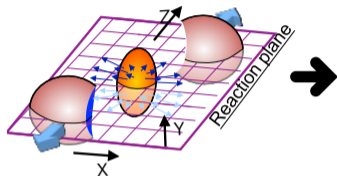


Anisotropic expansion



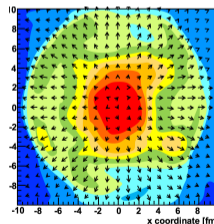
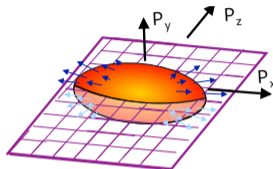
Origin of collectivity in heavy-ion theory

Initial stage:
Spatial anisotropy



Initial condition models

Medium stage:
Momentum anisotropy

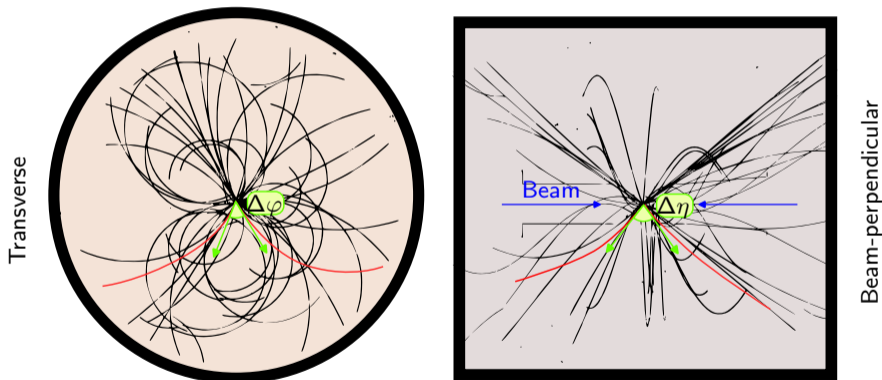


Relativistic hydrodynamics

- Hydrodynamics is a mainstream description of the medium
- The system undergoes a fluid-like expansion under the pressure gradients of the initial-state geometry.
- Successful description of wide range of experimental observables

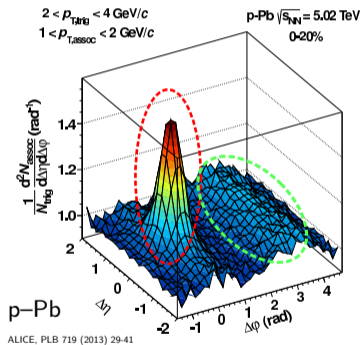
Two-particle correlations – experimental quantification of medium expansion

- Experimentally, only final-stage particles and their attributes can be measured
- How can the collective-like effects be experimentally quantified?

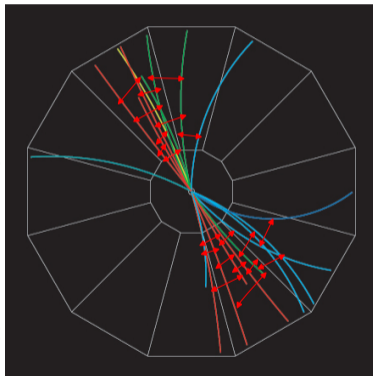


- Two-particle correlations:** measurement of the polar angle $\Delta\varphi$ and pseudorapidity $\Delta\eta$ between all pairs of charged particles coming from the collision. What sort of correlations can be observed?

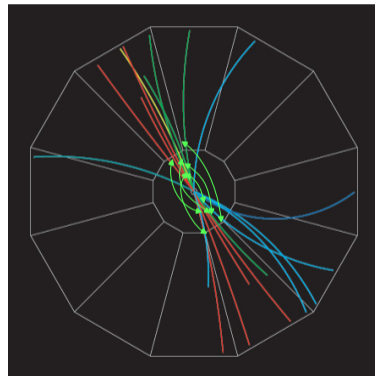
Two-particle correlations – typical features



pp event in STAR Experiment



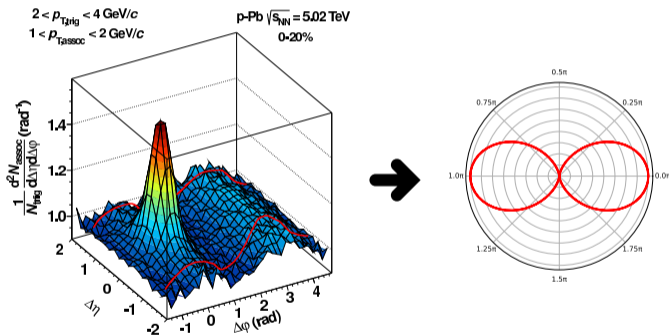
Particles from the same jet at low $\Delta\eta\Delta\phi$ form the **near-side peak**



Particles from back-to-back jets at $\Delta\phi \sim \pi$ form the **away-side peak**

Long-range correlations

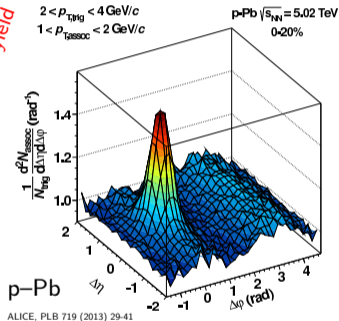
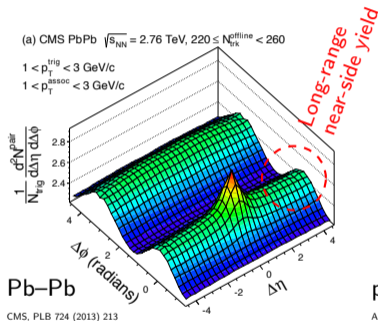
- Collective-like effects manifest themselves as the double-ridge structure in the long-range correlations



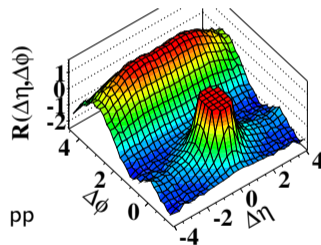
- The double-ridge emerges when a large *elliptic* harmonic component is present: characterizes the elliptic expansion

Long-range correlations across collision systems of varying size

- This signal is present across various collision system sizes



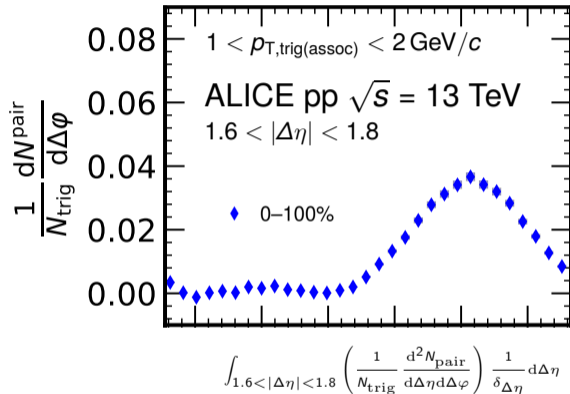
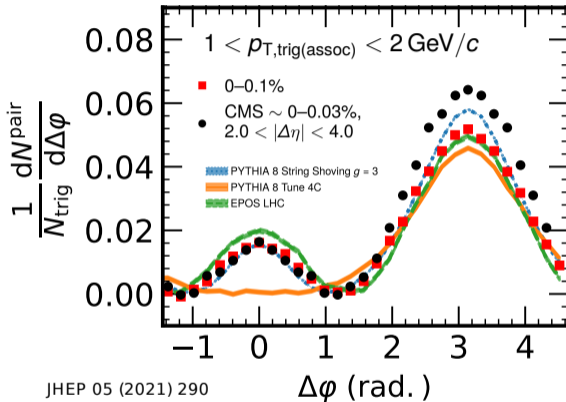
CMS, JHEP 09 (2010) 091

(d) CMS $N \geq 110$, $1.0 \text{ GeV}/c < p_T < 3.0 \text{ GeV}/c$ 

- Long-range correlation emerges during the early stages
- In heavy-ion systems medium response to initial conditions

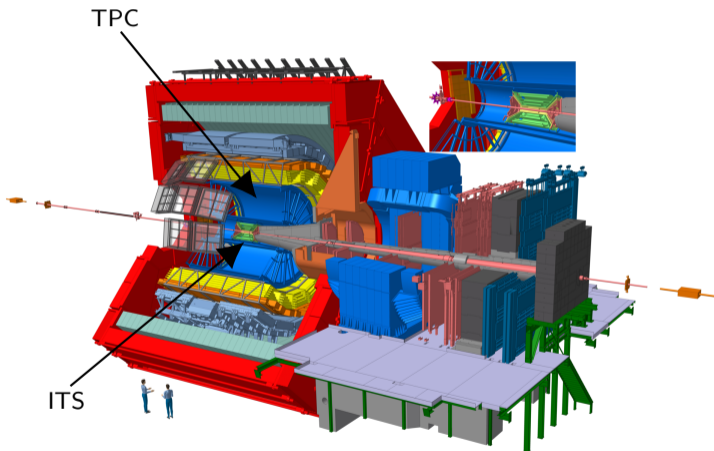
- **In pp unclear (QGP? Multi-parton scattering? PYTHIA ropes? Initial-stage effect?)**

Long-range correlations in pp – basic facts



- Prominent long-range near-side ridge in **high multiplicity** ($N_{\text{ch}} > 110$) collisions
- Small signal in **minimum bias** (0–100%)
- **How small can the system get and still exhibit these signals?**
- Several theoretical approaches with or without medium

Analysis details and selection criteria



The ALICE detector in Run 2.

Events and detectors:

- $pp \sqrt{s} = 13 \text{ TeV}$ recorded in 2017–2018
- 1.3 billion MB events
- TPC+ITS for tracking

Charged tracks and multiplicity:

- Multiplicity estimation: accepted tracks in $|\eta| < 1.0$, $p_T > 0.2 \text{ GeV}/c$
- Trigger and associated particles*
 $1.0 < p_T < 2.0 \text{ GeV}/c$, $|\eta| < 1.0$
- “Long-range” definition
 $1.4 < |\Delta\eta| < 1.8$

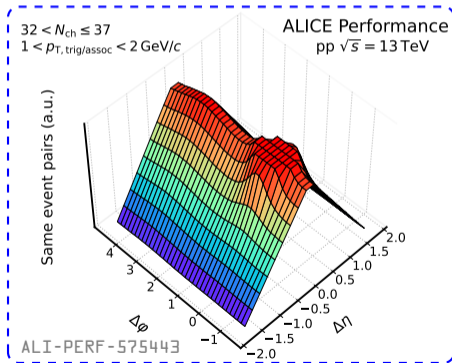
*In a two-particle correlation particle 1 “trigger” and particle 2 “associated”.

Analysis strategy for associated yield

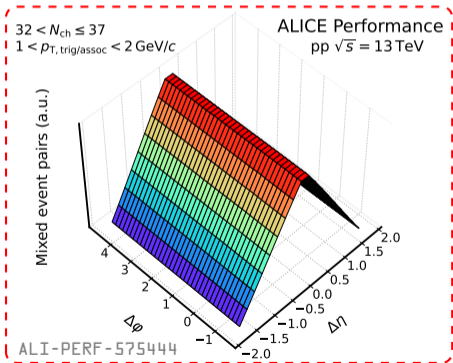
- Two-particle correlation function between trigger and associated particles ($p_{T,\text{trig}} > p_{T,\text{assoc}}$):

$$\frac{1}{N_{\text{trig}}^*} \frac{d^2 N_{\text{pair}}}{d\Delta\eta d\Delta\varphi}(\Delta\eta, \Delta\varphi) = N_{\text{pair}}^{*,\text{mixed}}(0,0) \frac{N_{\text{pair}}^{*,\text{same}}(\Delta\eta, \Delta\varphi)}{N_{\text{pair}}^{*,\text{mixed}}(\Delta\eta, \Delta\varphi)},$$

same: correlations of particles
from same event



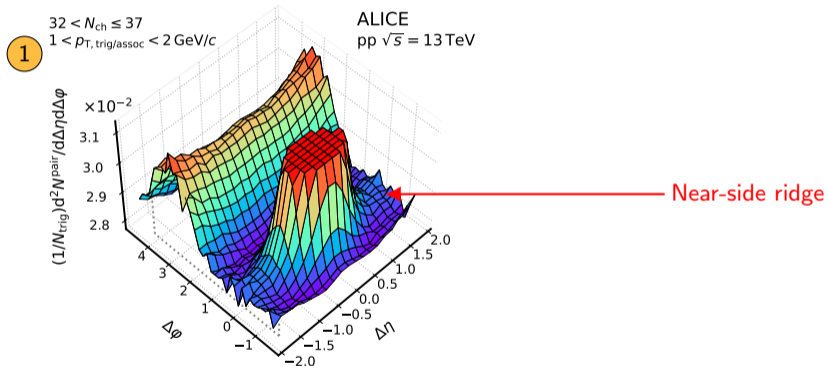
Mixed event pairs (a.u.)



mixed: correlations of particles
from different events

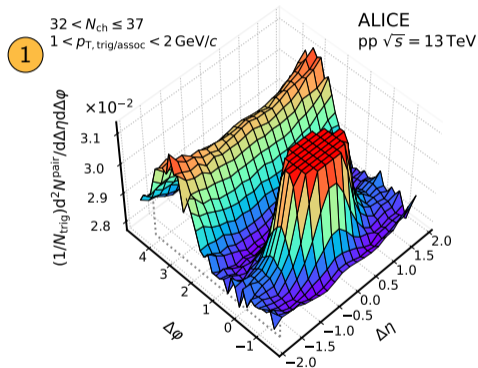
Long-range $\Delta\varphi$ -correlations in low multiplicity

- Near-side ridge clearly visible in high-multiplicity events.



Long-range $\Delta\varphi$ -correlations in low multiplicity

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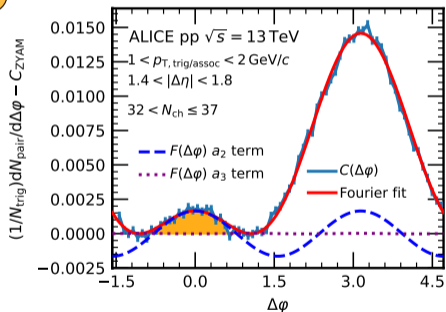
2

$$\int \left(\frac{1}{N_{\text{trig}}} \frac{d^2 N_{\text{pair}}}{d\Delta\eta d\Delta\varphi} \right) \frac{1}{\delta\Delta\eta} d\Delta\eta - C_{\text{ZYAM}}$$

$\int d\Delta\eta$

\rightarrow

$1.4 < |\Delta\eta| < 1.8$



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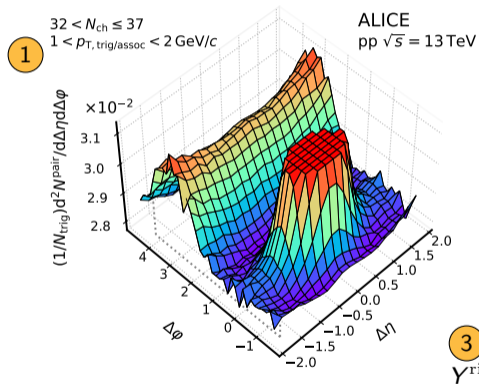
Find the ZYAM* and $|\Delta\varphi_{\text{min}}|$ by fitting $F(\Delta\varphi) = A(1 + 2 \sum_{n=1}^3 v_n^{2, \text{cent}} \cos(n\Delta\varphi)) + C_{\text{ZYAM}}$ to the signal.

- Measured in $1.4 < |\Delta\eta| < 1.8$ to suppress short-range nonflow correlations
- $p_T > 1.0 \text{ GeV}/c$ (trig and assoc) to avoid near-side jet broadening into $|\Delta\eta| > 1.4$

*Zero-Yield-At-Minimum

Long-range $\Delta\varphi$ -correlations in low multiplicity

- Near-side ridge clearly visible in high-multiplicity events.

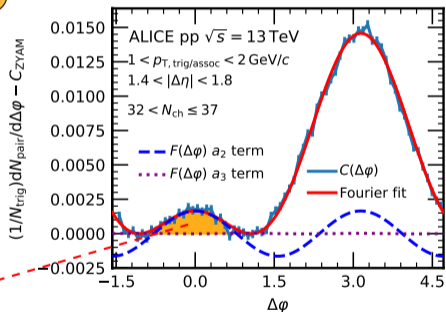


②

$$\int \left(\frac{1}{N_{\text{trig}}} \frac{d^2 N_{\text{pair}}}{d\Delta\eta d\Delta\varphi} \right) \frac{1}{\delta\Delta\eta} d\Delta\eta - C_{\text{ZYAM}}$$

$\int d\Delta\eta$

$1.4 < |\Delta\eta| < 1.8$

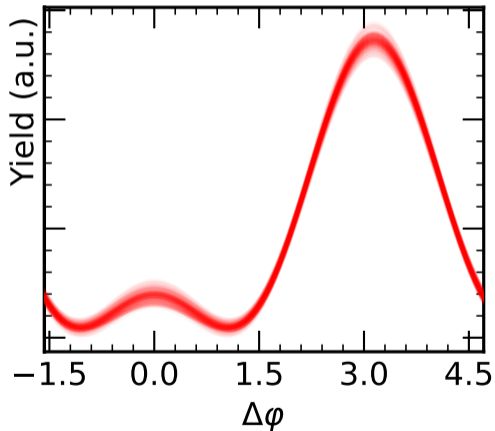


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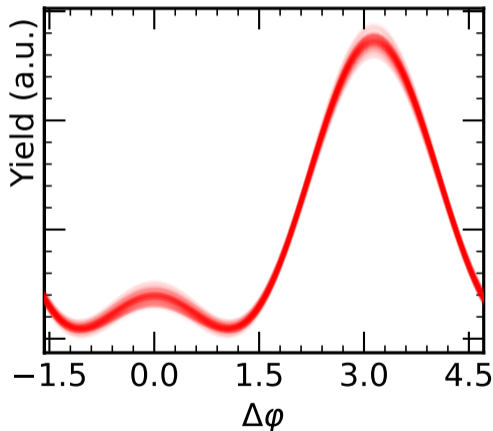
*Zero-Yield-At-Minimum

Precision uncertainty

Variant fits on the $Y(\Delta\varphi)$ (sketch)

- Method simple until one goes to very small signals
- Uncertainties evaluated using tailored bootstrapping method
- Upper-limits for non-gaussian yield-distributions
- Limits for feasible compatibility analysis with other results

Precision uncertainty

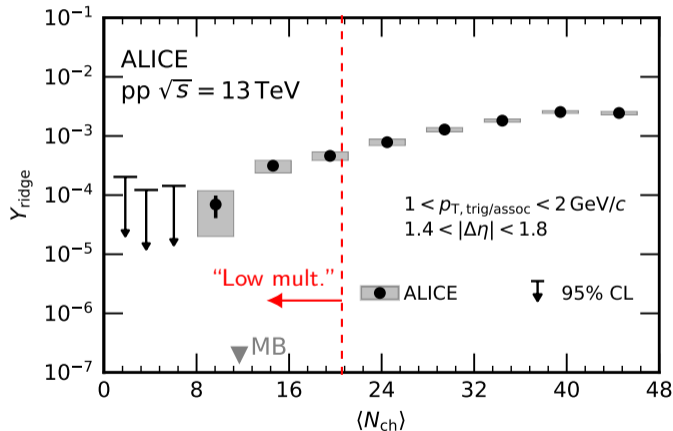
Variant fits on the $Y(\Delta\varphi)$ (sketch)

Bootstrapping strategies:

- Statistics evaluation: Gaussian-distributed relative offset to each bin of $Y(\Delta\varphi)$
- Combined evaluation: add a fixed Gaussian-distributed error based on the ratio between variant and default configuration
- Per-systematic evaluation: combine one configuration with statistics. Subtract statistics-only for pure systematic contribution

Configuration	Contribution
$ z_{\text{vtx}} < 10$ cm	$< 5\%$
Long-range $1.5 < \Delta\eta $	3–22%
Tracking mode	3–10%
Residual acceptance	$\sim 4\%$
Integration limit	5–25%

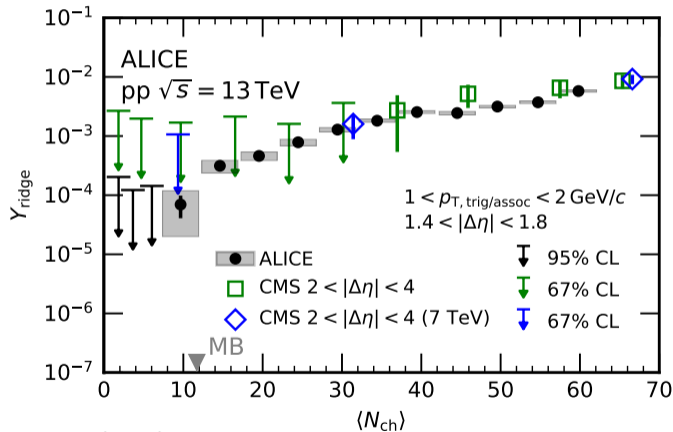
Ridge yield: precision measurement down to very low multiplicity in pp



- Near-exponential increase of yield toward higher multiplicities
- Non-zero yield even in very low multiplicity collisions
- The lowest bins are presented as 95% confidence limit

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Ridge yield: comparison with CMS results, PRL 116, 172302 (2016)



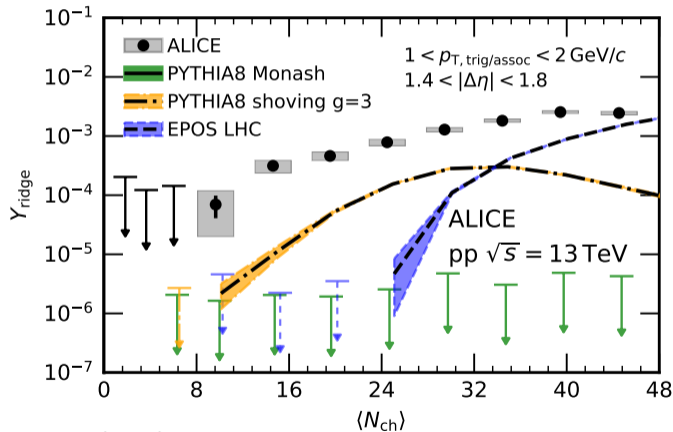
- Conversion of CMS multiplicity to ALICE using PYTHIA (factor = 0.59×1.15)
 - $p_{T, \text{min}} \rightarrow 0.0 \text{ GeV}/c$
 - $|\eta_{\text{max}}| \rightarrow 4.0$
 - CMS efficiency for multiplicity
- CMS data points in agreement (PRL 116, 172302 (2016))
- Improved uncertainty in the low multiplicity region: towards quantitative constraints!

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Models overview

Model	Physics	Observations	Refs
PYTHIA8 Monash	Jets only (2013)	No soft partonic or hadronic interactions	T. Sjöstrand <i>et al.</i> , pythia.org
PYTHIA8 String Shoving	Jets and Flow	Uses strings to simulate soft interactions	C. Bierlich <i>et al.</i> PLB 779 (2018) 58-63
EPOS4	Jets and Flow	Uses core (hydrodynamic expansion) and corona (hadrons from string decays)	K. Werner <i>et al.</i> PRC 92 (2015) 034906
AMPT String Melting	Jets and Flow	Uses soft and hard partonic and hadronic interactions	Zi-Wei <i>et al.</i> PRC 72 064901

Ridge yield: model comparisons



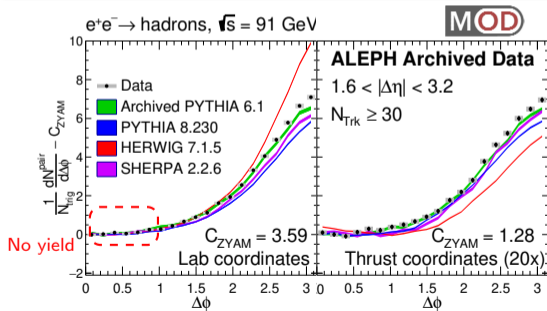
- Models use $2 < |\Delta\eta| < 4$ due to wide jet fragmentation peak
- Monash: no yield (expected)
- String shoving: yield is produced in lower multiplicities, but too small in magnitude
- EPOS: yield reproduced in high multiplicities, no yield in low multiplicities
- All models underestimate the ridge yield**

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Interpretation by comparison to e⁺e⁻

Non-trivial interpretation of pp results due to intricate structure.

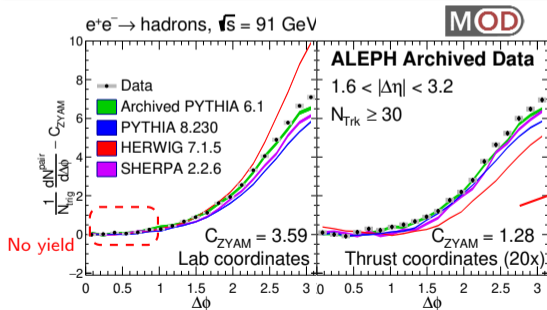
- Study the simpler processes involved in e⁺e⁻ annihilations (point-like collision: no uncertainties on initial geometry or parton distribution function description)
- e⁺e⁻ **do not exhibit** yield within the given confidence levels



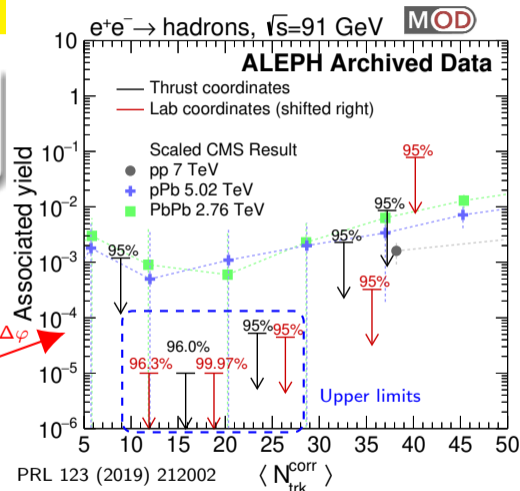
Interpretation by comparison to e^+e^-

Non-trivial interpretation of pp results due to intricate structure.

- Study the simpler processes involved in e^+e^- annihilations (point-like collision: no uncertainties on initial geometry or parton distribution function description)
- e^+e^- do not exhibit yield within the given confidence levels



$\int_{|\Delta\varphi_{\min}|} d\Delta\varphi$

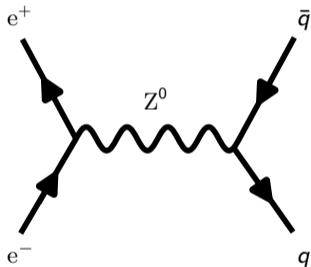


- Would we get similarly small values in pp as in e^+e^- or are the two systems intrinsically different?

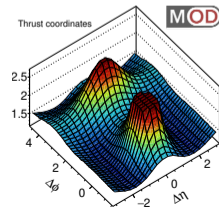
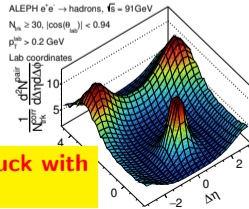
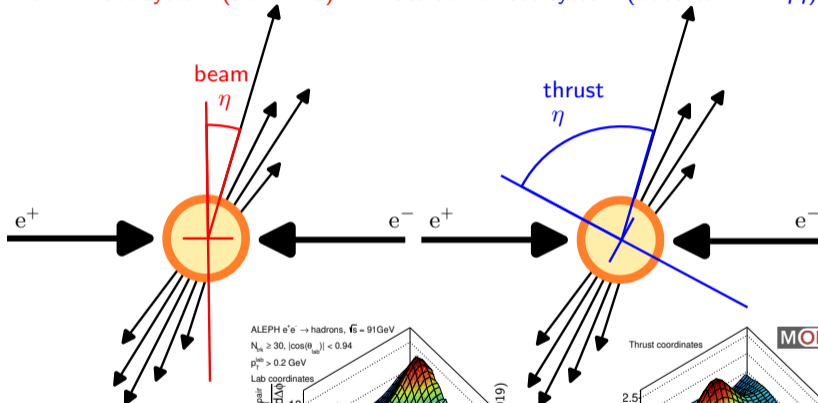
Main mechanism in e^+e^- , thrust vs beam axis

Lab coordinate system (also LHC) Thrust coordinate system (boosted $Z \rightarrow q\bar{q}$)

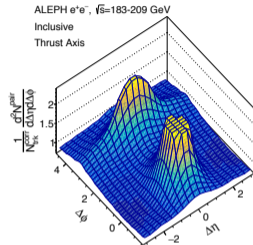
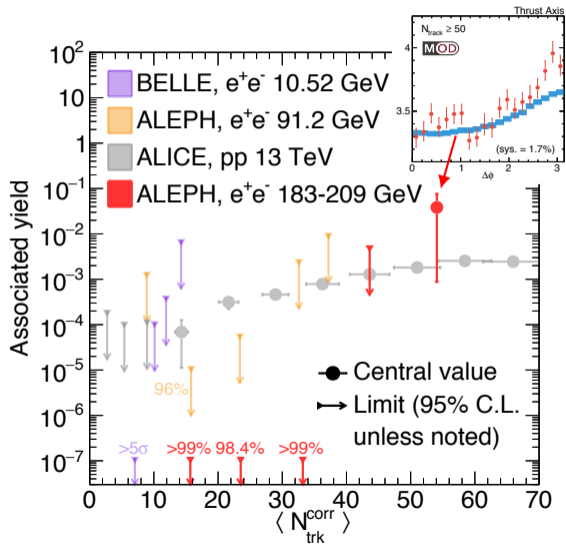
A decay of a Z-boson ...



... is a candidate for origin of potential long-range correlations in e^+e^- -collisions.



At the LHC one is stuck with this frame. →

Latest e⁺e⁻ insights

arXiv:2312.05084 (2023)

- New results of e⁺e⁻ long-range correlations: BELLE, ALEPH
- BELLE: no yield at the lowest multiplicities $N_{\text{ch}} \lesssim 10$
- ALEPH: Consistent observations in low multiplicity: no yield
- Hint of long-range correlations in e⁺e⁻ at higher multiplicity, currently 1.02σ signal

How to compare pp collisions to e⁺e⁻ collisions from the ALEPH archived data?

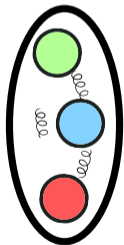
! Different collision systems



! Different center-of-mass energy

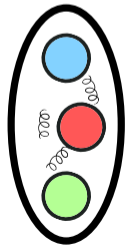


p



! Different experimental acceptance

p



How to draw a reasonable comparison between the two different cases?

Conversion of ALEPH multiplicity

- Estimate the limits of uncertainty on the conversion of the multiplicity
- Target: multiplicity defined by accepted particles within $|\eta| < 1.0$, $p_T > 0.2 \text{ GeV}/c$

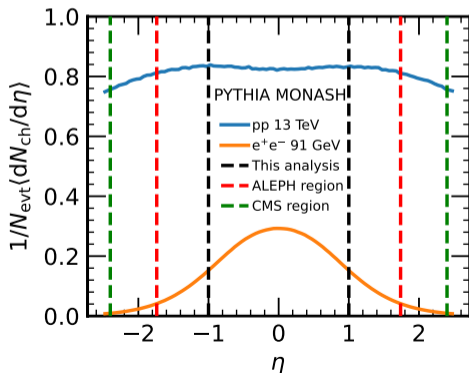
Experiment	$ \eta_{\max} $	$p_{T,\min}$	\sqrt{s}
ALICE pp	1.0	0.2	13 TeV
ALEPH e ⁺ e ⁻	1.738	0.2	91 GeV

Conversion of ALEPH multiplicity

- Estimate the limits of uncertainty on the conversion of the multiplicity
- Target: multiplicity defined by accepted particles within $|\eta| < 1.0$, $p_T > 0.2 \text{ GeV}/c$
- Multiplicity conversion between different systems and experiments is done using PYTHIA
 - 1 Simulate pp at $\sqrt{s} = 13 \text{ TeV}$ in both experimental acceptances. Multiplicity ratio to obtain α_A
 - 2 Simulate e⁺e⁻ at $\sqrt{s} = 91 \text{ GeV}$ in both experimental acceptances. Multiplicity ratio to obtain α_B

$$\alpha_A = \frac{N_{\text{ch,pp}}^{\text{ALICE}}}{N_{\text{ch,pp}}^{\text{ALEPH}}} \quad \alpha_B = \frac{N_{\text{ch,e}^+\text{e}^-}^{\text{ALICE}}}{N_{\text{ch,e}^+\text{e}^-}^{\text{ALEPH}}}$$

Experiment	$ \eta_{\text{max}} $	$p_{T,\text{min}}$	\sqrt{s}
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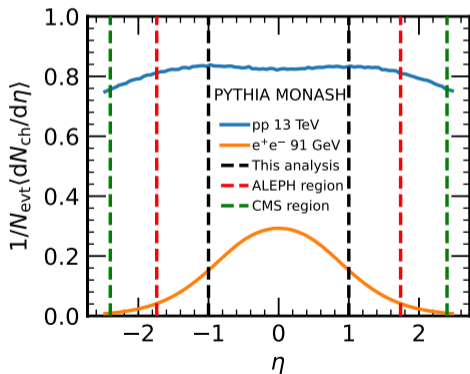


Conversion of ALEPH multiplicity

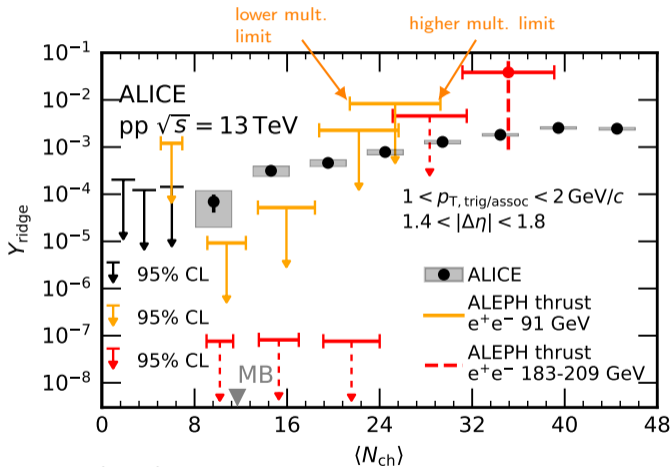
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 - 2 Simulate e^+e^- at $\sqrt{s} = 91 \text{ GeV}$ in both experimental acceptances. Multiplicity ratio to obtain α_B

Method	Experiment	Corr. factor $\alpha_{A/B}$
PYTHIA	ALEPH pp 13 TeV	0.57 (A)
	ALEPH e^+e^- 91 GeV	0.78 (B)
Flat $dN/d\eta$	ALEPH	0.63

Experiment	$ \eta_{\max} $	$p_{T,\min}$	\sqrt{s}
ALICE pp	1.0	0.2	13 TeV
ALEPH e^+e^-	1.738	0.2	91 GeV



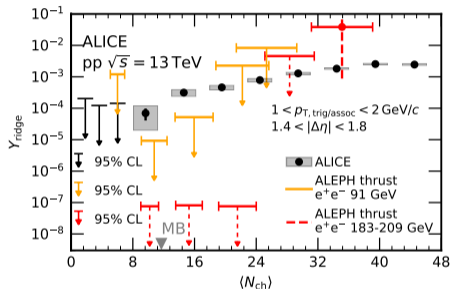
Ridge yield in low multiplicity pp: comparison to ALEPH archived data e⁺e⁻



- pp collision exhibits larger yield
 $Y_{\text{ridge}}^{\text{pp}} \gtrsim Y_{\text{ridge}}^{e^+e^-}$
 - 5 σ (best) at 91 GeV
 - 6.3 σ (best) at 183–209 GeV
- **A comparison to e⁺e⁻ can provide insight to what processes might or do not contribute to the yield**
 - PRL 123, 212002 (2019)
 - arXiv:2312.05084 (2023)
- **A reference point-like collision can also help understand the magnitude of initial stage effects**

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Summary



- Precise measurement of near-side ridge yield in 13 TeV pp collisions down to very low multiplicity
- pp Y^{ridge} larger than e^+e^- at 91 GeV by $\gtrsim 5\sigma$ and at 183-209 GeV $\gtrsim 6.3\sigma$
- **First quantitative comparison between the near-side yield of e^+e^- and pp collisions**
- Identify the underlying mechanisms responsible for the emergence of near-side yield in pp

The findings constrain the origin of collective-like effects in small hadronic collisions.

- Further precision measurements in Run3 enable a broad range of kinematic cuts, investigations on identified particles and measurements transverse to the jet propagation

Backup

Analysis strategy for associated yield

- Two-particle correlation function between trigger and associated particles ($p_{T,\text{trig}} > p_{T,\text{assoc}}$).

$$\frac{1}{N_{\text{trig}}^*(z)} \frac{d^2 N_{\text{pair}}}{d\Delta\eta d\Delta\varphi}(\Delta\eta, \Delta\varphi, z) = N_{\text{pair}}^{*,\text{mixed}}(0, 0, z) \frac{N_{\text{pair}}^{*,\text{same}}(\Delta\eta, \Delta\varphi, z)}{N_{\text{pair}}^{*,\text{mixed}}(\Delta\eta, \Delta\varphi, z)},$$

$$\frac{1}{N_{\text{trig}}} \frac{d^2 N_{\text{pair}}}{d\Delta\eta d\Delta\varphi} = \sum_z \dots$$

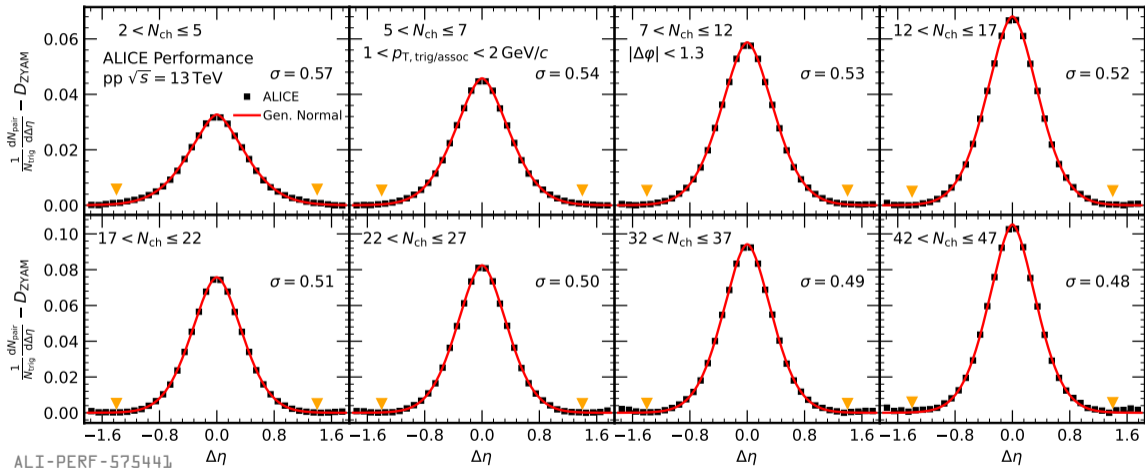
- Per-trigger yield. Measure over region $1.4 < |\Delta\eta| < 1.8$ at $p_T > 1$, GeV/c i.e. outside the jet contributions:

$$Y(\Delta\varphi) = \frac{1}{N_{\text{trig}}} \frac{dN_{\text{pair}}}{d\Delta\varphi} = \int_{1.4 < |\Delta\eta| < 1.8} \left(\frac{1}{N_{\text{trig}}} \frac{d^2 N_{\text{pair}}}{d\Delta\eta d\Delta\varphi} \right) \frac{1}{\delta_{\Delta\eta}} d\Delta\eta - C_{\text{ZYAM}}.$$

- Ridge-yield, obtained by integrating the near-side peak (main observable in this analysis):

$$Y^{\text{ridge}} = \int_{|\Delta\varphi| < |\Delta\varphi_{\text{min}}|} \frac{1}{N_{\text{trig}}} \frac{dN_{\text{pair}}}{d\Delta\varphi} d\Delta\varphi$$

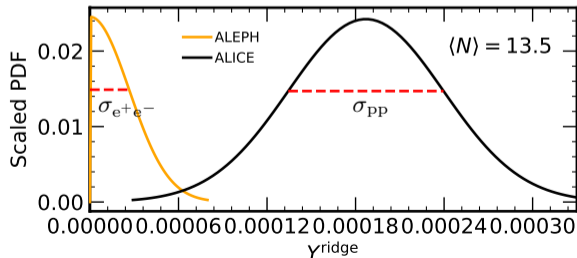
Near-side jet fragmentation peak



- Long-range minimum $\Delta\eta = 1.4$ (at \blacktriangledown) not affected by the jet-fragmentation peak.

Estimation of uncertainty and compatibility with ALEPH points

- Need a strategy to evaluate the uncertainty for very small low multiplicity signals
- The conversion limits approach entails two estimates of compatibility ($N\sigma$) between pp and e^+e^-
- Evaluation of compatibility needs a sufficiently conservative approach
- Underlying ALEPH Y_{ridge} distributions unknown to us



- Bootstrapping strategy to evaluate the uncertainty: multiple evaluations of Y_{ridge} by varying the underlying $Y(\Delta\varphi)$
- Systematic uncertainty included in the bootstrapping method
- Compatibility: interpolate ALICE results points at the ALEPH conversion limits
- Assume a conservative half-gaussian distribution for the ALEPH Y_{ridge}
- Consider entire range of ALEPH points to estimate the probability that the ALEPH and ALICE results overlap (p-value) $\rightarrow N\sigma$