With Robert Thorne and Tom Cridge

MSHT PDFs: a First Global Closure Test and aN3LO Determination of the Strong Coupling

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Setting the Scene...

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- Parton distribution functions (PDFs): a key ingredient in hadron collider physics!
- QCD factorization: perturbative physics separated from universal non-perturbative PDFs



- Foundation of global PDF fits: use data at different scales and processes to extract PDFs.



Global PDF Fits

Why do we care about PDFs?

limiting factor:



CMS Physics Analysis Summary

• The LHC is a Standard Model precision machine, and PDFs are a key ingredient in this. Increasingly a











• The LHC is a **Higgs** factory: PDFs play a key role here.



- The LHC is a **BSM** search machine. Often need PDFs here.
- High mass = high x , where PDFs are less well known. Key when looking for small/smooth deviations.

Image Credit: Emanuele Nocera

x



Major PDF Analyses

- Multiple PDF analyses, with different methodogies and datasets. Cannot cover these all here!
- busy:
 - ★ Major push to approximate N3LO + theoretical uncertainties
 - **★ OED/EW** corrections standard
 - ★ Many dedicated studies



- Will focus here on **MSHT**.

• Major releases from 3 global fitters (CT, MSHT, NNPDF) ~ 2 or more years ago. But they have been

• These advances all build towards next generation of releases.

Image Credit: Jun Gao

Stress Testing the MSHT Approach

MSHT PDFs

- The 'Post-Run I' set from the MSTW, MMHT... group: MSHT20.
- Focus on including significant amount of new data, higher precision theory and on methodological improvements.
- Although no official NNLO release since MSHT20, we have been busy! Recent highlights:
 - ★ First global aN3LO PDF analysis. ★ First global QED and aN3LO PDF analysis. \star First global determination of strong coupling at aN3LO.



T. Cridge, LHL, R. Thorne, arXiv: 2404.02964

• Will focus on third study here, but before getting there, need to lay some ground work...





e Fitting Methodology
arameterising DFs: Neural Nets (NNPDF) or

$$(x, Q_0) \bigoplus_{i=1}^{n} \alpha_{f,i}P_i(y(x)), CT, MSE$$

 $(x, Q_0) \bigoplus_{i=1}^{n} \alpha_{f,i}P_i(y(x)), CT, MSE$
 $(x, Q_0) \bigoplus_{i=1}^{n} \alpha_{f,i}P_i(y(x)), CT, MSE$
 $NN_i(x) NNPDF$
 $A_{s_1}(1-x)^{s_1} (1+\sum_{i=1}^{n} a_{s_i}T_i(y(x)))$
 $(1+\sum_{i=1}^{n} a_{s_i}T_i(y($





MSHT PDF Uncertainty

- Find global minimum of χ^2 and evaluate eigenvectors of Hessian matrix at this point.
- Parameter shifts corresponding to given $\Delta \chi^2$ criteria given in terms of these

$$a_i(S_k^{\pm}) = a_i^0 \pm t \, e_{ik}, \quad \text{with } t \text{ adjusted to give}$$

- T = 1: `textbook' criterion for 68% C.L., would apply if:
 - * Complete statistical compatibility between multiple datasets entering fit. **★** Completely faithful evaluation of experimental uncertainties within each dataset. ★ Theoretical calculations that match these exactly.

















- T = 1: `textbook' criterion for 68% C.L., would apply if:
 - * Complete statistical compatibility between multiple datasets entering fit.
 - * Completely faithful evaluation of experimental uncertainties within each dataset.
 - \star Theoretical calculations that match these exactly.
- Good evidence that first two points do not always hold, while last point known not be true (though progress towards missing higher order uncertainties made).

G. Watt and R. Thorne, arXiv:1205.4024 M. Yan et al., arXiv.2406.01664 **J. Pumplin, arXiv:0909.0268**

- will increasingly not match accuracy with T = 1. Motivates enlarged tolerance T > 1 (more later).
- of 'closure tests'...

Fixed target, DIS, Tevatron, LHC

$$N_{\rm dataset} \sim 50 - 60$$



• Given complete statistical compatibility, global PDF fit very constraining. Danger is claimed (high) precision • Equally possible that parameterisation inflexibility may require this. Does it? To see we will present results





Global Closure Test



- NNPDF data/theory only difference is input parameterisation.
- comparison at level of full fit (not focus of current talk, but stay tuned!).

• Global Closure Test: generate pseudodata corresponding to global dataset with a particular input PDF set and perform usual MSHT fit to this. Then determine how faithfully underlying input is reproduced.

• This allows us to evaluate corresponding fit quality with a (MSHT) fixed parameterisation, but to

• Will use for closure tests (though not essential) - but setting things up in this way will allow direct



- **Always NNLO** • For direct comparison will consider perturbative charm - NNPDF4.0pch set as input.
- Then generate unshifted pseudodata for 4.0 global dataset ($N_{\text{pts}} = 4627$). In principle exact agreement possible, with $\chi^2 = 0$.
- Then perform fit with default MSHT parameterisation. What do we find?

$$\chi^2$$
 χ^2
Fit quality: 2.4

Remarkably good! In fact lower than reported result of NNPDF L0 closure test.

L. Del Debbio, T. Giani and $\chi^2/N_{\rm pts}$ M. Wilson, arXiv:2111.05787

- But apparently no issue with parameterisation inflexibility in this case. But what about PDFs?

 $\chi^2/N_{\rm pts}$

0.0005

4.0 meth. meth.

0.012 0.002

• Caveat: only one input set, may well be different (not quite as good) for others. Trend should be similar.



Kinematic coverage

NNPDF, arXiv:2109.02653



• First look: encouraging results! In more detail...















- Can also use closure test to motivate need for tolerance. Generate: **★** Fixed-Target DY + DIS data with HERAPDF2.0 input. **★** Hadron Collider data with NNPDF4.0 (pch) input.



Tolerance (Again)

See also G. Watt and R. Thorne. arXiv:1205.4024

Inputs are indeed in tension for various PDFs - simply model of incompatibility in fit. What do we find?





- Compare to public MSHT20 fit: only difference due to differing data + theory.



Tolerance (and Again)

Stay tuned for more!

• Final indication here. Perform fit to real NNPDF4.0 data + theory but with MSHT20 parameterisation.



- Compare to public MSHT20 fit: only difference due to differing data + theory.



- Results arguably speak for themselves!

See LHL, DIS2024

Tolerance (and Again)

Final indication here. Perform fit to real NNPDF4.0 data + theory but with MSHT20 parameterisation.

• Aside: MSHT parameterisation also performs very well vs. NN one. NNPDF uncertainties broadly $\sim T = 1$. **Stay tuned for more!** 23



MSHT at Approximate N3LO: MSHT20aN3LO

Motivation

• N3LO:

- $+1_{\sigma_1} + \alpha_s^{p+2} \overset{\star}{\sigma_2} \overset{\text{State of}_3}{\to} \overset{\text{f}_3}{\to} \overset{\text{f}_4}{\to} \text{ art is NNLO for PDF fits but a lot known at N3LO about}$ DGLAP evolution and DIS (light + heavy flavours). Why not use this? ★ For hadron colliders less is known but already quite a bit
 - Uncertainty due to lack of N3LO PDFs a key factor \Rightarrow need to - and can - go to N3LO!

$$\delta(PDF - TH) = \frac{1}{2} \left| \frac{\sigma_{\text{NNLO-PDFs}}^{(2)} - \sigma_{\text{NLO-PDFs}}^{(2)}}{\sigma_{\text{NNLO-PDFs}}^{(2)}} \right|$$

• Missing higher orders:

* As (LHC) data becomes ever more precise sensitivity to any data/theory mismatch increases.

$$\chi^2 \sim \sum \frac{(D-T)^2}{\sigma_{\exp}^2} \qquad T_{\rm I}$$

• Weight datasets correctly in fit (less well ★ Need to account for this missing More accurate PDF known \Rightarrow larger uncertainty). higher order uncertainty: uncertainty.

Drell Yan LHC 13TeV 1.025



C. Duhr and B. Mistleberger, arXiv:2111.10379



C. Anastasiou et al.,

arxiv:1602.00695

 $\Gamma_{\mathrm{N}^{x}\mathrm{LO}} \neq D \Rightarrow \chi^{2} \to \infty \text{ as } \sigma_{\mathrm{exp}} \to 0$





N3LO - What do we know?

- Approximate \neq poorly known! $P(x, \alpha_s) = \alpha_s P^{(0)}(x) + \alpha_s^2 P^{(1)}(x) + \alpha_s^3 P^{(2)}(x) + \alpha_s^4 P^{(3)}(x) + \dots$
 - **★** Splitting functions: a wealth of information. Moments & various limits, with much recent further progress. G. Falcioni et al., arXiv:2307.04158, arXiv:2302.07593

 $F_2(x,Q^2) = \sum (C_{\beta,\alpha}^{VF,n_f+1} \otimes A_{\alpha i}(Q^2/m_h^2) \otimes f_i^{n_f}(Q^2))$ $\alpha \in H, q, g; \beta \in q, H$

 $f_{\alpha}^{n_f+1}(x,Q^2) = [A_{\alpha i}(Q^2/m_b^2) \otimes f_i^{n_f}(Q^2)](x)$

 $\sigma = \sigma_0 + \sigma_1 + \sigma_2 + \sigma_3 + \dots \equiv \sigma_{N3/O} + \dots$

★ Hadronic Cross Sections: while much progress made, thus far not useable in PDF fits.

• First three ingredients now largely known with sufficient precision to give close to a N3LO fit. Final ingredient clearly the bottleneck for that - approximation + uncertainty required.

Emanuele Nocera, Forward Physics and QCD at the LHC and EIC, Bad Honnef 23

Splitting Functions

- Singlet $(P_{qq}, P_{gg}, P_{gq}, P_{qg})$
- large- n_f limit [NPB 915 (2017) 335; arXiv:2308.07958]
- small-x limit [JHEP 06 (2018) 145]
- large-x limit [NPB 832 (2010) 152; JHEP 04 (2020) 018; JHEP 09 (2022) 155]
- 5 (10) lowest Mellin moments [PLB 825 (2022) 136853; ibid. 842 (2023) 137944; ibid. 846 (2023) 1

Non-singlet $(P_{NS,v}, P_{NS,+}, P_{NS,-})$

- large- n_f limit [NPB 915 (2017) 335; arXiv:2308.07958]
- small-x limit [JHEP 08 (2022) 135]
- large-*x* limit [JHEP 10 (2017) 041]
- 8 lowest Mellin moments [JHEP 06 (2018) 073]

\star DIS: massless coefficient functions known (+ massive high Q^2). Massive low Q^2 approx. known.

★ Heavy Flavour: again wealth of information. Moments & various limits, with much recent progress.

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D (m)

To appear on arXiv on next week

• Recent benchmarking exercise - consider impact of evolution on toy set of PDFs.



- Largely accounted for within MSHT uncertainties, e.g. most significant change in data region, on gluon:

• Impact of new information at most at level of \lesssim few percent in data region. At low x rather larger.

• However in future release these updates can readily be included (in progress). Ability to do this built in to approach.

Including N3LO information - Hadronic K-Factors

- factor to be free in fit within reasonable prior.
- Could e.g. use scale variations. We instead use known lower order results to guide this:

 $K^{\rm N^3LO/LO} = K^{\rm NNLO/LO} \left(1 + a_1 (K^{\rm NLO/LO} - 1) + a_2 (K^{\rm NNLO/NLO} - 1) \right)$

- Divide datasets into subsets, with nuisance parameters correlated across these.
- Provides uncertainty from missing higher orders in hadronic cross sections.
- Interestingly preferred values in fits qualitatively similar to known results:
- But as results come in (e.g. DY), can and would replace with these!

• Second, hadronic K-factors. Much less known than is currently useable for a fit. We simply allow N3LO K-

Roughly half due to new aN3LO theory alone (not hadronic K-factors).

χ^2/N_{pts}	LO	NLO	NNLO	aN3LO
	2.57	1.33	1.17	1.14

Evidence that aN3LO reduces tensions between low and high *x* regions. Impact on PDFs...

• Largest change is in gluon at low and intermediate x. Some change in e.g. quarks at high x.

Results

• Clear improvement in fit quality. Going from NNLO to aN3LO find $\Delta \chi^2 = -154.4$ (4363 points).

Smooth fit improvement with order and amount of improvement reducing with order - as we might hope.

• Change in gluon corresponds to reduction in e.g. ggH at N3LO - improves stability.

• Some increase in NC DY - again mild improvement in stability.

Determination of the Strong Coupling at aN3LO

Extracting the strong coupling in a PDF fit

- \bullet
- \bullet
- Individual datasets have different α_S dependencies, but global determination provides robust fit.
- (PDF sensitive) hadronic measurements is via full refit.

- In original (up to) NNLO MSHT20 fit, the best fit values were found to be:
- What about aN3LO?

 $P(x, \alpha_s) = \alpha_s P^{(0)}(x) + \alpha_s^2 P^{(1)}(x) + \alpha_s^3 P^{(2)}(x) + \alpha_s^4 P^{(3)}(x) + \dots$

Global PDF fit sensitive to value of strong coupling through impact on evolution and cross sections.

While baseline sets often provided with $\alpha_S = 0.118$, can allow it be to free parameter and see what we find.

• Determination of α_S and PDFs highly correlated. Only completely consistent way to include impact of a S. Forte and Z. Kassabov, arXiv: 2001.04896

The strong coupling at aN3LO

• Can now extend this analysis to aN3LO. Baseline very similar (not identical) to MSHT20. Find:

- NNLO: similar to previous result (0.1174).

- Looking in more detail...

Minor updates + ATLAS 8 TeV jets

• Find that global χ^2 profile built up of different competing pulls...

*** Fixed target data**. DIS in particular sensitive through impact on evolution

★ LHC DY. Due to high precision provide reasonable constraints

Uncertainty Evaluation

• In textbook case, would simply take $\Delta \chi^2 = 1$ from minimum, to give (roughly):

 $\alpha_S = 0.1170 \pm 0.0005$

- However from discussion before, expect to be too aggressive. Enlarged tolerance needed.
- In MSHT apply 'dynamic tolerance' criterion. Briefly: **★** Evaluate individual χ^2 profile for each dataset testing' criterion $\Delta \chi^2 \lesssim \sqrt{2N}$ i.e. remains good according to this measure.

 - limits, i.e. uncertainty not driven by a single (potentially problematic) dataset.
 - **\star** Broadly corresponds to $T \sim 3$.

* Deviation with α_S increasing/decreasing monitored and limited such that this does not exceed 'hypothesis'

* In toy model can show given two datasets in tension that PDF uncertainty \propto difference (unlike T = 1). * Will result in one dataset setting most stringent upper/lower limits, but find many others with similar

*For experts: in reality, limit is rescaled by best fit value: $\Delta \chi^2 \lesssim \chi^2_{n,0} \left(\frac{\xi_{68}}{\xi_{50}} - 1 \right)$

T. Cridge and M. Lim, arXiv:2306.14885

With thanks to T. Cridge

Comparison to other results

$\alpha_{S,aN3LO}(M_Z^2) = 0.1170 \pm 0.0016$

- Consistent with world average and recent ATLAS measurement.
- Uncertainty larger but similar order.
- Again, if we took $\Delta \chi^2 = 1$ would be factor of ~ 2 smaller, but v. good reasons to believe that is too $\alpha_S = 0.1170 \pm 0.0005$ agressive.

ATLAS ATEEC CMS jets H1 jets HERA jets CMS tī inclusive CDF Z p₋ $Q\overline{Q}$ bound states **PDF** fits Electroweak fit Lattice World average

\star Clear correlation between PDFs and α_S , as expected. * Change generally within PDF uncertainties for $\Delta \alpha_S = \pm 0.001$ though close to edge for gluon.

from sum rule.

 \star Less impact on quarks - reduced/increased at high/low x from splitting.

PDFs

* Gluon anticorrelated with α_S for $x \leq 0.1$ to maintain $dF_2/dQ^2 \sim \alpha_S g$. Correlation at high $x \gtrsim 0.1$

final result. Important to treat these together! **\star** For LHC Higgs the anticorrelation between gluon and α_S compensates larger direct uncertainty. **★** For **DY** direct α_S uncertainty small, and largest effect from change in PDF. **\star** Combined PDF + α_S broadly leads to at most moderate increase over PDF uncertainty alone.

Cross Sections

 \star Impact on cross sections includes α_S variation in matrix elements + PDFs - non-trivial interplay to get

Jets vs. Dijets?

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- Studied in detail in recent paper. Worth briefly mentioning here. Bottom line:
 - distributions in dijets (more constraining!).
- * Supported by our study: fit quality better in dijet case at both NNLO and aN3LO
- ★ Some difference in pull on gluon at NNLO, better consistency at aN3LO.

T.C., L.A. Harland-Lang, R.S. Thorne 2312.12505.

> Note inclusive jets are fit in results so far!

* Potentially general reasons to prefer dijet data: non-unitary nature of inclusive jets, and potential for 3D

	Ν.	χ^2/N_{pts}			Ν	χ^2/N_{pts}	
Inclusive Jets	' ™ pts	NNLO	aN3LO	Dijets	™pts	NNLO	aN
Total	472	1.39	1.43	Total	266	1.12	1
Total (+ATLAS 8 TeV jets)	643	1.67	1.61	Total	266	1.12	1

★ Impact of full colour mild...

• What about α_S ?

***** NNLO: pull very different between jets and dijets. \star aN3LO: this stabilises!

* Much better consistency at aN3LO, though at NNLO consistent within (dynamic tolerance) uncertainties: $\alpha_S(M_Z^2)$ (Dijet, NNLO) = 0.1181 ± 0.0012 $\alpha_S(M_Z^2)$ (Jet, NNLO) = 0.1171 ± 0.0015 ~ 0.0004 **★** But in tension with $T^2 = 1$: ~ 0.0005

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Summary/Outlook

- * Parton Distribution Functions a key input in the LHC precision physics programme.
- achieve this.
- ★ Have presented here the first global closure test of the MSHT fitting approach: parameterisation inflexibility not observed to be major contribution to error budget.
- ★ But I have tried to motivate why an enlarged error definition is nonetheless needed in the complex environment of a global PDF fit.
- of results along with missing higher order uncertainties.

* Precise and accurate PDF determination crucial. Global PDF fits currently the best way to

* Approximate N3LO PDFs very well advanced - a lot is known and these improve accuracy

* First strong coupling determination at aN3LO - perturbative convergence has been reached.

Thank you for listening!

Backup

 10°

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Tolerance: Toy Model

$$t_0 = \frac{1}{2}(D_1 + D_2)$$
$$t = t_0 + \Delta t$$
$$\Delta t = \pm \frac{\sigma}{\sqrt{2}}$$

Independent of particular values of $D_{1,2}$ For consistent case

$$D_{1,2} = D_0 + \sigma \delta_{1,2}$$
,

this is **correct**.

 $\delta_{1,2}$: univariate Gaussian

Tolerance: Toy Model

$$t_0 = \frac{1}{2}(D_1 + D_2)$$
$$t = t_0 + \Delta t$$
$$\Delta t = \pm \frac{\sigma}{\sqrt{2}}$$

Independent of particular values of $D_{1,2}$ For **inconsistent** case

$$D_{1,2} = a_{1,2} + (D_0 + \sigma \delta_{1,2}) ,$$

this is **incorrect**.

 $\delta_{1,2}$: univariate Gaussian

Tolerance: Toy Model

$$t_0 = \frac{1}{2}(D_1 + D_2)$$
$$t = t_0 + \Delta t$$

Applying dynamic tolerance instead find

$$\Delta t \propto a_1 - a_2$$

i.e. larger spread to account for tension.

MSHT20 α_s bounds - NNLO

BCDMSp data strongest constraint upwards: $\Delta \alpha_S(M_7^2)$ = +0.0014.

 $\alpha_{s}(M_{Z}^{2})$

SLACp and ATLAS 8TeV Zp_T both give upper bound: $\Delta \alpha_S(M_Z^2) = +0.0018.$

CMS/ATLAS (dilepton) $t\overline{t}$ single diff. would give lower/same upper α_S bound, but not used.

$$\alpha_{S,\mathrm{NNLO}}(M_Z^2) =$$

With thanks to T. Cridge

Consistent with α_S bounds seen in previous studies, and between orders (NNLO and aN3LO).

> ATLAS 8 TeV Z data gives lower bound: $\Delta \alpha_S(M_7^2)$ = -0.0010.

NMC deuteron, ATLAS 8 TeV High Mass DY give lower bounds of $\Delta \alpha_S(M_Z^2)$ -0.0017, -0.0018.

• Therefore upper/lower bounds are +0.0014/-0.0010 at NNLO.

 $= 0.1171 \pm 0.0014$

Consistent with World Average of 0.1180 ± 0.0009 .

