



High Efficiency Klystrons for MuCol

G. Burt for HE project team at CERN & Lancaster:

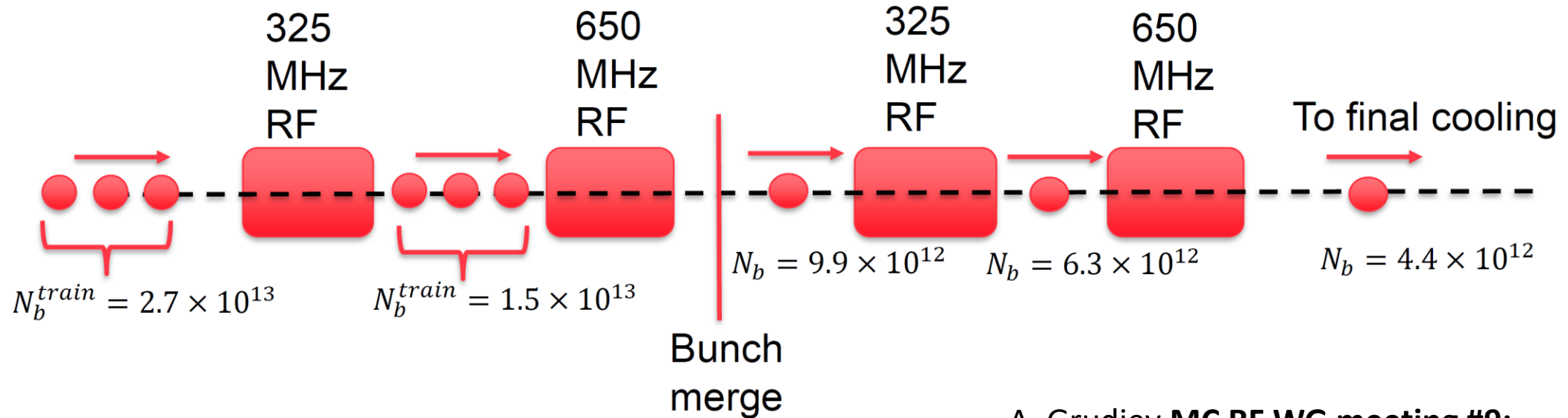
Igor Syratchev

Nuria Catalan

Zain Un Nisa

Anis Baig

Cooling channel: beam parameters



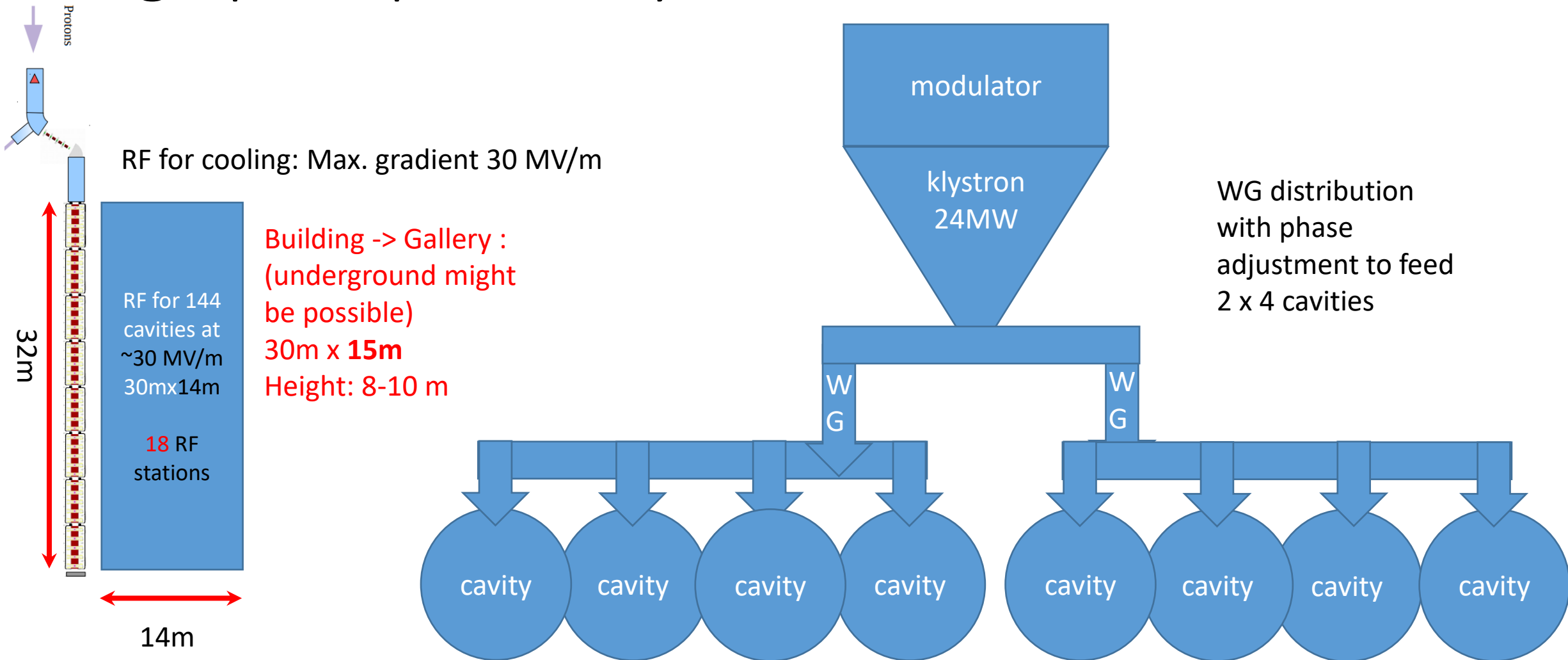
Take before merge
 $N_b^{train} = 2 \times 10^{13}$
 for simplicity

A. Grudiev MC RF WG meeting #9:
 March 22

Muon cooling demonstrator layout

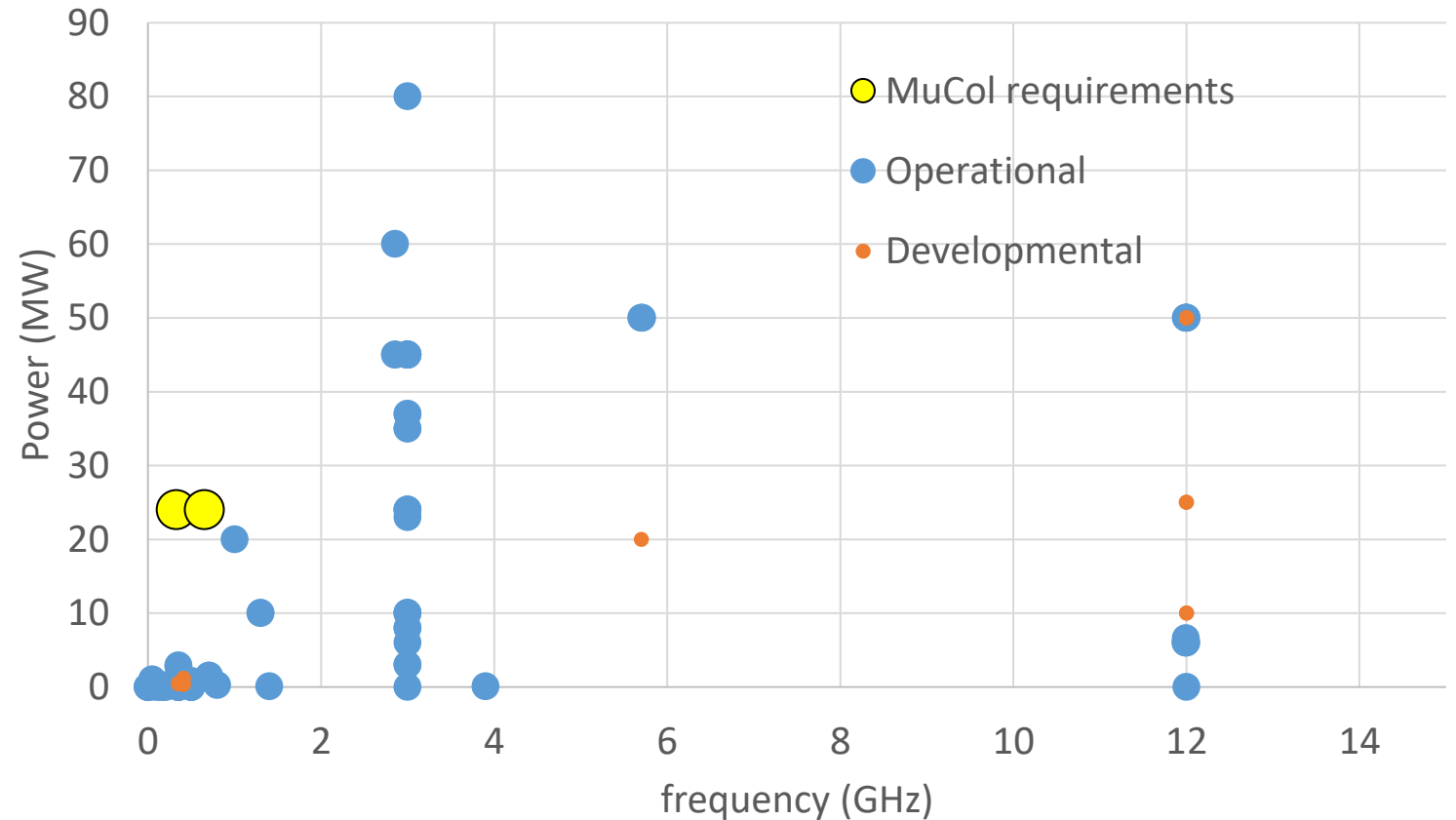
High peak power klystron: 24 MW

A. Grudiev and R. Losito
MC RF WG meeting #5:
Sept 21



Existing tubes

While tubes >24 MW exist they are all above 3 GHz
There are 10-20 MW tubes developed for CLIC drive beam and ILC at 1-1.3 GHz
Nothing of this power exists at 325 or 650 MHz
Issue is typically that to get high power means high voltage which makes the tubes longer
For scaling at low frequency this used to not be feasible as length is inversely proportional to frequency for constant beam
New developments may change that.....



High power L-band Multi Beam Klystrons (MBK). Commercial tubes.



Canon E37503



Thales TH1803



Thales TH1801



Toshiba E3736



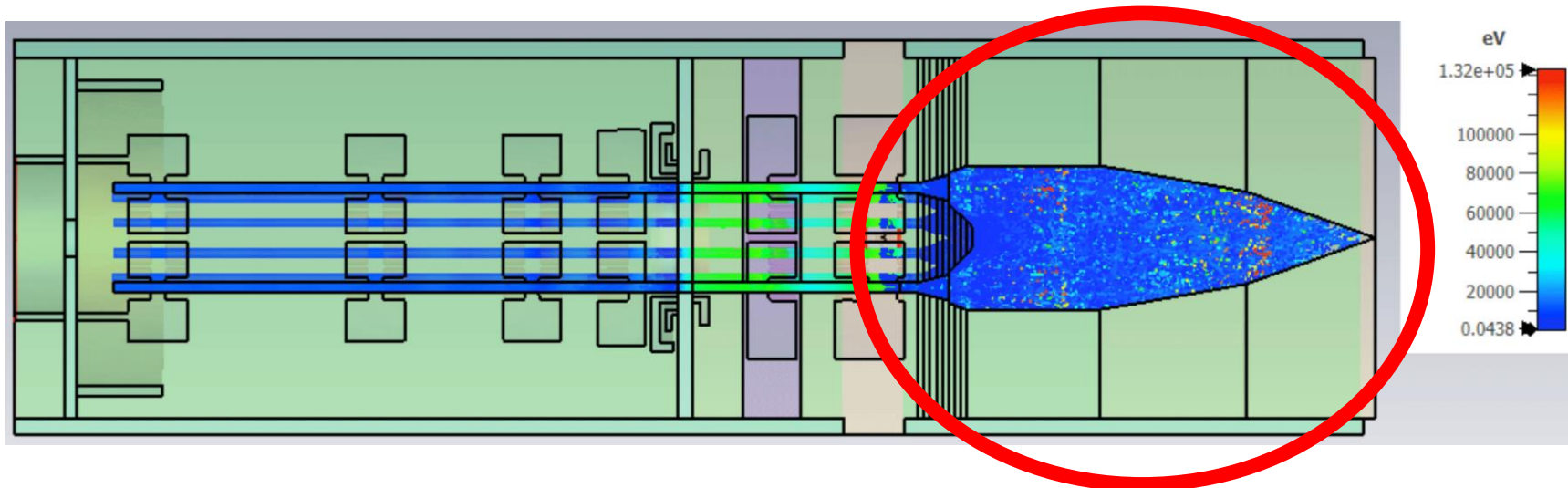
CPI VKL-8301

Frequency: **1.0 GHz**
Peak RF power: 20 MW
Efficiency: 70%

Frequency: **1.3 GHz**
Peak RF power: 10 MW
Efficiency: 65%

Collector

- A large chunk of a klystron's length is the collector
- In DC mode the collector must absorb the entire beam power, and dissipate the heat load without heating up too much
- The Canon E37503 had a max heat load of 300 kW and was 1.5m long (ie half the tubes length)
- The DC heat load for the muon collider klystron is sub-5 kW and hence a much shorter collector can be used (30 cm may be fine).



Scaling Procedures and Post-Optimization for the Design of High-Efficiency Klystrons

Jinchi Cai, Igor Syratcev^{1b}, and Zening Liu

Scaling Klystrons (PSP)

- Key numbers in klystron scaling is the electron wavelength, Beta, and the bunching parameter, A,

$$(\beta_e = \omega/v_e).$$

$$A = \frac{I_0}{U_0^{\frac{3}{2}}} \frac{\eta_0 U_e^{1/2} / \pi}{\gamma^2 (\gamma + 1)^{\frac{3}{2}}} \sum_{n=1}^{\infty} \frac{r_c^2}{r_b^2} \left[\frac{2}{\mu_{0n}^2} \frac{J_1(\mu_{0n} \frac{r_b}{r_c})}{J_1(\mu_{0n})} \right]^2.$$

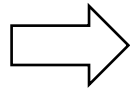
- If we scale a klystrons voltage, current, or frequency certain relationships need be maintained

$$\begin{aligned} U_0 I_0 &= \text{constant} \\ f(\beta_e z) &= \text{constant}, \quad \sqrt{A} \beta_e L_{\text{drift}} = \text{constant} \\ \frac{1}{\rho Q} \frac{1}{|M(\beta_{e0})|} \frac{1}{N_b I_0} &= \text{constant} \\ \frac{n\omega - \omega_0}{\rho \omega_0} \frac{1}{|M(\beta_{e0})|} \frac{1}{N_b I_0} &= \text{constant}. \end{aligned} \tag{12}$$

- In our case scaling from 1 GHz to 350 MHz, while keeping A constant would mean the length increases by a factor of 3

Scaling the Canon tube to 0.7GHz, 24MW and 30 μsec.

Canon E37503
6 beams MBK



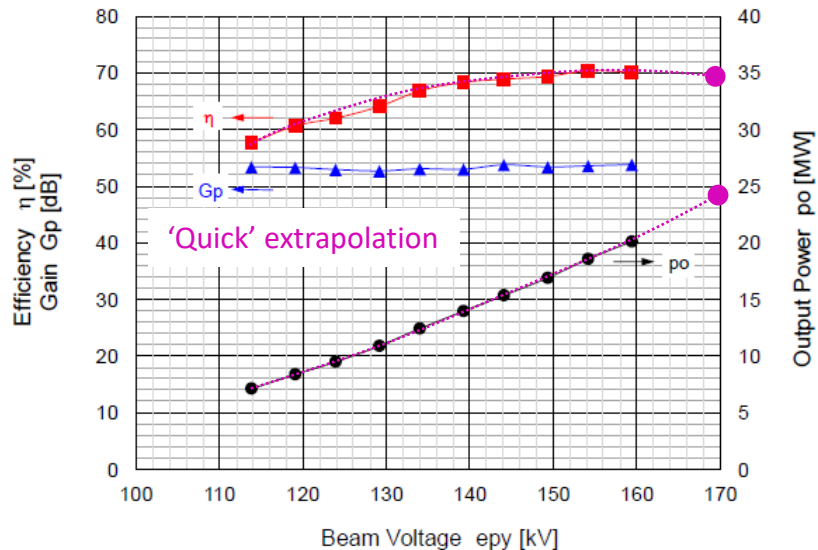
Mu-tube, 0.7 GHz
6 beams MBK

F=	999,5 MHz
P max=	20.2 MW
T =	150 μsec
V=	159.4 kV
I total =	180 A
Eff.=	70.5 %
uP=	0.47 μAxV ^{-3/2} /beam
Gain =	53.9 dB
P _{average} (50Hz)=	150kW

F=	700 MHz
P max=	24 MW
T =	30 μsec
V=	171 kV
I total =	200 A
Eff.=	70.0 %
uP=	0.47 μAxV ^{-3/2} /beam
Gain =	53.9 dB
P _{average} (5Hz) =	3.6kW

To our experience such a scaling is a 'low' risk development:

- For the fixed micro perveance, the tube length is proportional to the frequency
- Lower cathode current density (55%) and increased life time.
- Much lower average power (simpler collector)
- Marginal (~10%) increase of the modulator voltage and current.



Cost and schedule:

- The CLIC tube prototypes were designed/built about 10 years ago; Canon: **iiiiii** and Thales : **iiiiii**. Mu-tube cost will be within this range, as the companies shall do it not from scratch, but could scale it from exiting ones. *Though, today there is no market for such devices, thus the cost of 'unique' prototype could be even higher.*
- Similar to the CLIC tubes, it will take about 24 month to design, built and test the first Mu-tube prototype. Additional budget will be needed for the testing infrastructure (like RF loads etc.).

How do we reduce the size?

- Drift scales proportional to the bunching parameter, A, and the beam velocity.
 $\sqrt{A}\beta_e L_{\text{drift}} = \text{constant}$

- We can use a higher beam voltage

- Bunching parameter is given by

$$A = \frac{I_0}{U_0^{\frac{3}{2}}} \frac{\eta_0 U_e^{1/2} / \pi}{\gamma^2 (\gamma + 1)^{\frac{3}{2}}} \sum_{n=1}^{\infty} \frac{r_c^2}{r_b^2} \left[\frac{2}{\mu_{0n}^2} \frac{J_1(\mu_{0n} \frac{r_b}{r_c})}{J_1(\mu_{0n})} \right]^2.$$

- We could increase beamlet current (use less beams) but then the voltage needs to decrease
- Or the filling factor can be changed but this is only a 25% change.

Two-Stage Multi Beam Klystron (TS MBK) technology.

Specific features

1. Bunching at a low voltage (high perveance). Very **compact RF bunching circuit**.
2. Bunched beam acceleration and cooling (reducing $\Delta p/p$) along the short DC voltage post-accelerating gap.
3. Final power extraction from high voltage (low perveance) beam. **High efficiency**.

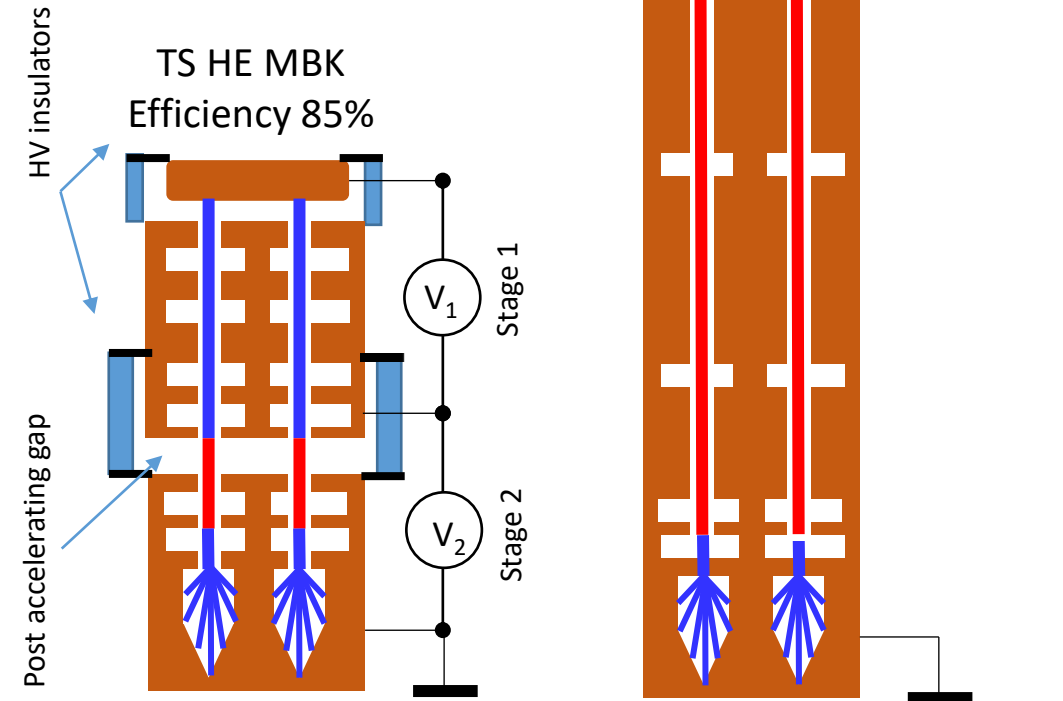
Additional advantages:

1. For pulsed tubes, the second HV stage can be operated in DC mode. Thus simplifying the modulator topology. (cost/volume) and increasing the modulator efficiency.
2. Simplified feedback for the first stage pulsed voltage. Improved klystron RF phase and amplitude stability.

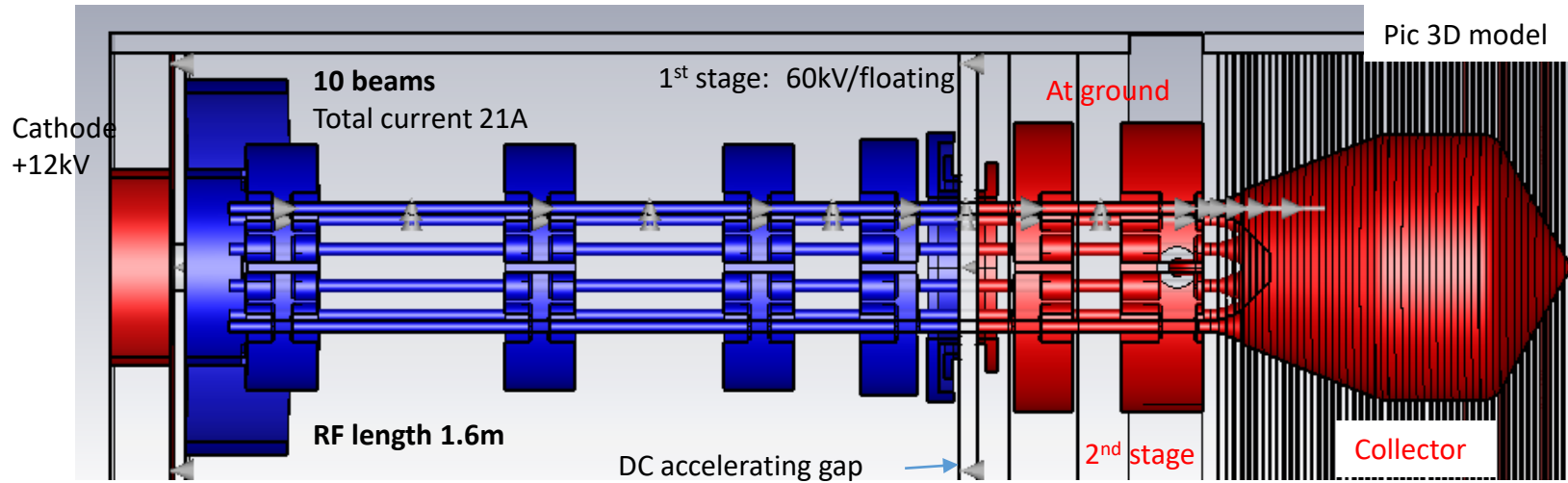
Drawbacks:

1. Reflected electrons from the output cavity and collector shall be **avoided at any cost**.
2. RF radiation into DC gap has to be sealed.
3. Requires special HV isolated RF feedthrough to inject RF signal into input cavity.

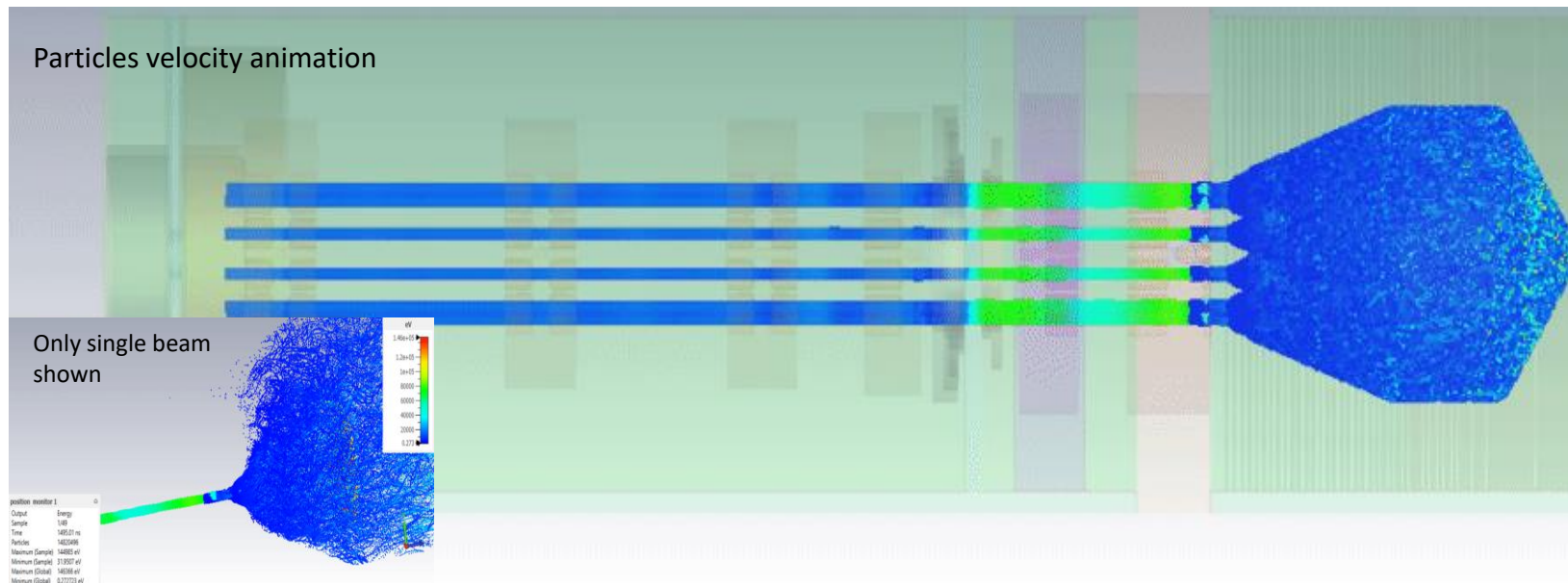
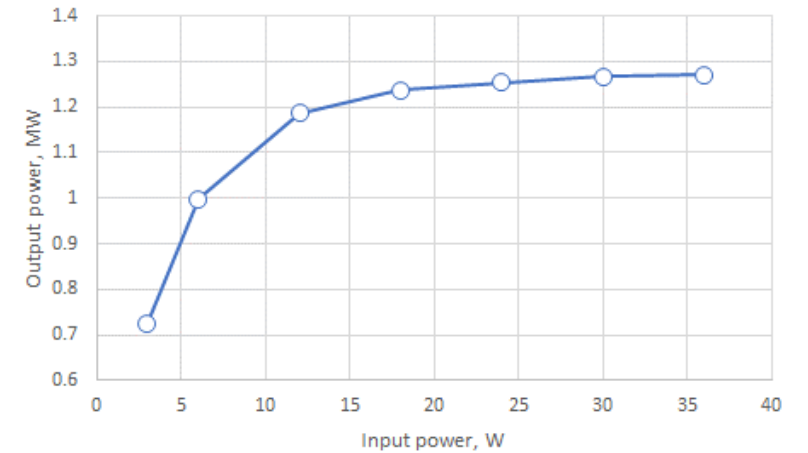
GOOD FOR:



FCC Two-stage MBK klystron: CW, 400MHz, 1.28MW.



Power gain curve at nominal voltage (PIC results)



- **Very Efficient: 84%**
- **Compact: ~2.5m length in total**
- **Low voltage: 60kV+12kV**
- **High saturated power gain: 46dB**

CLIC Two-stage MBK klystron: Pulsed, 1.0 GHz, 24 MW

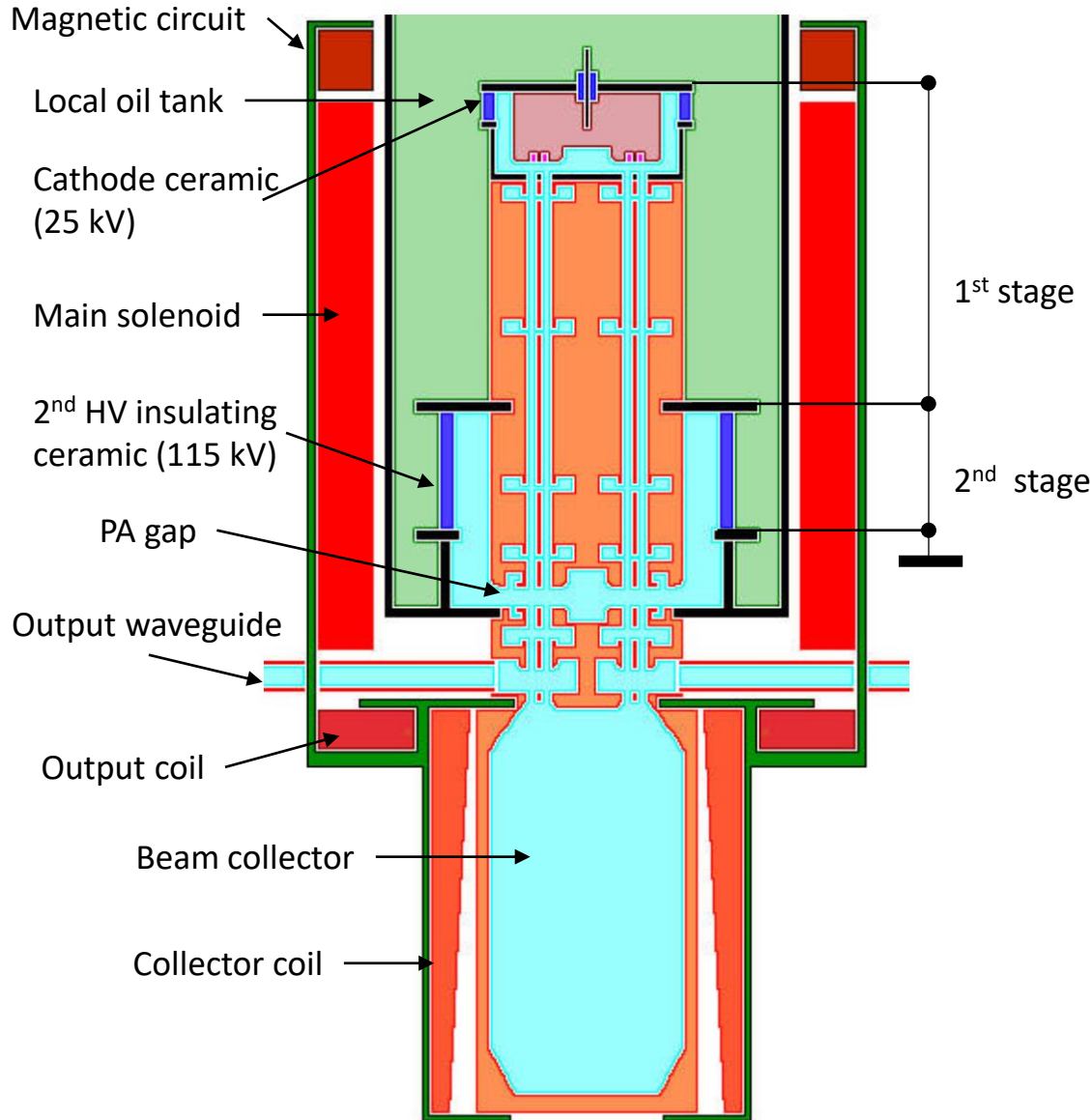
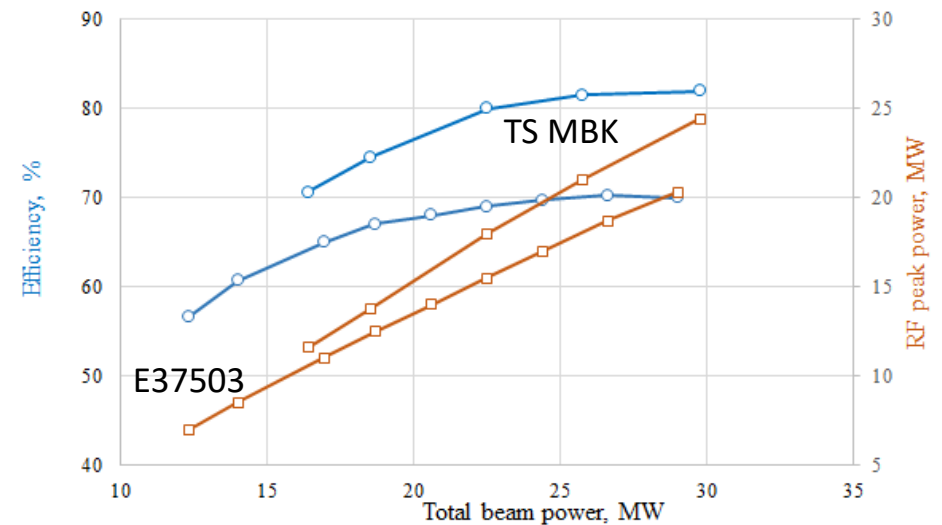
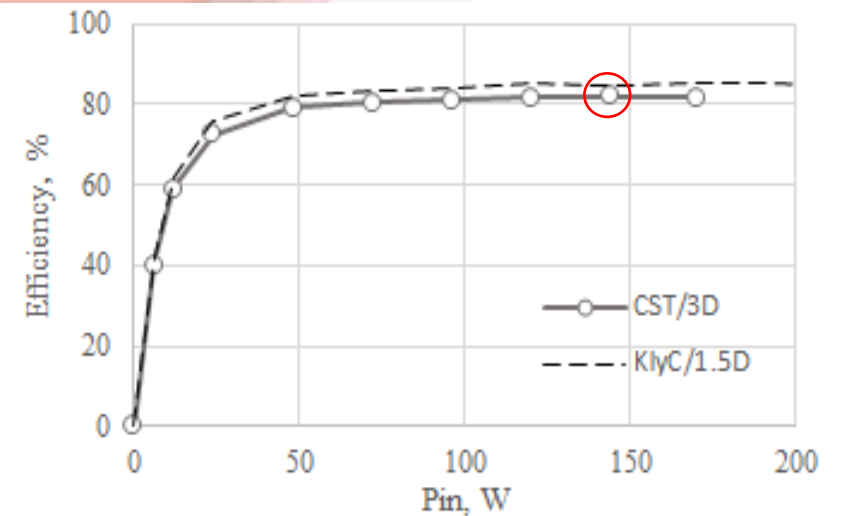
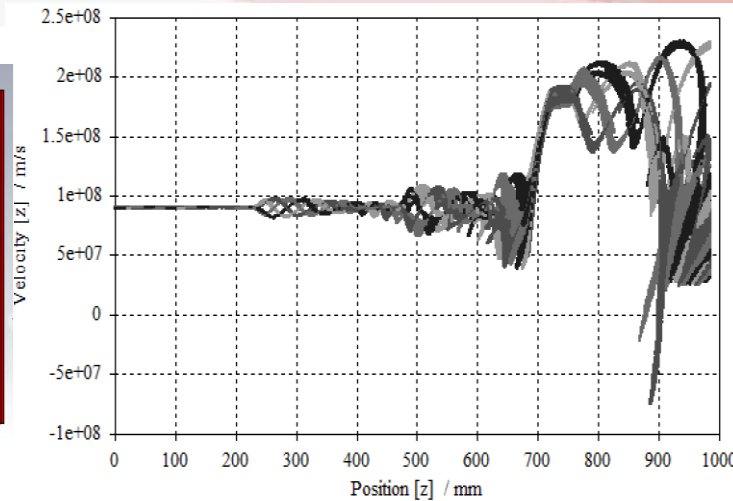
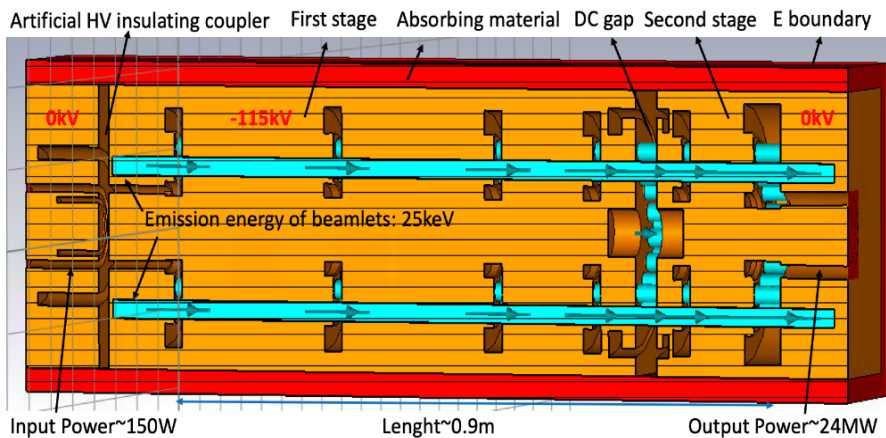
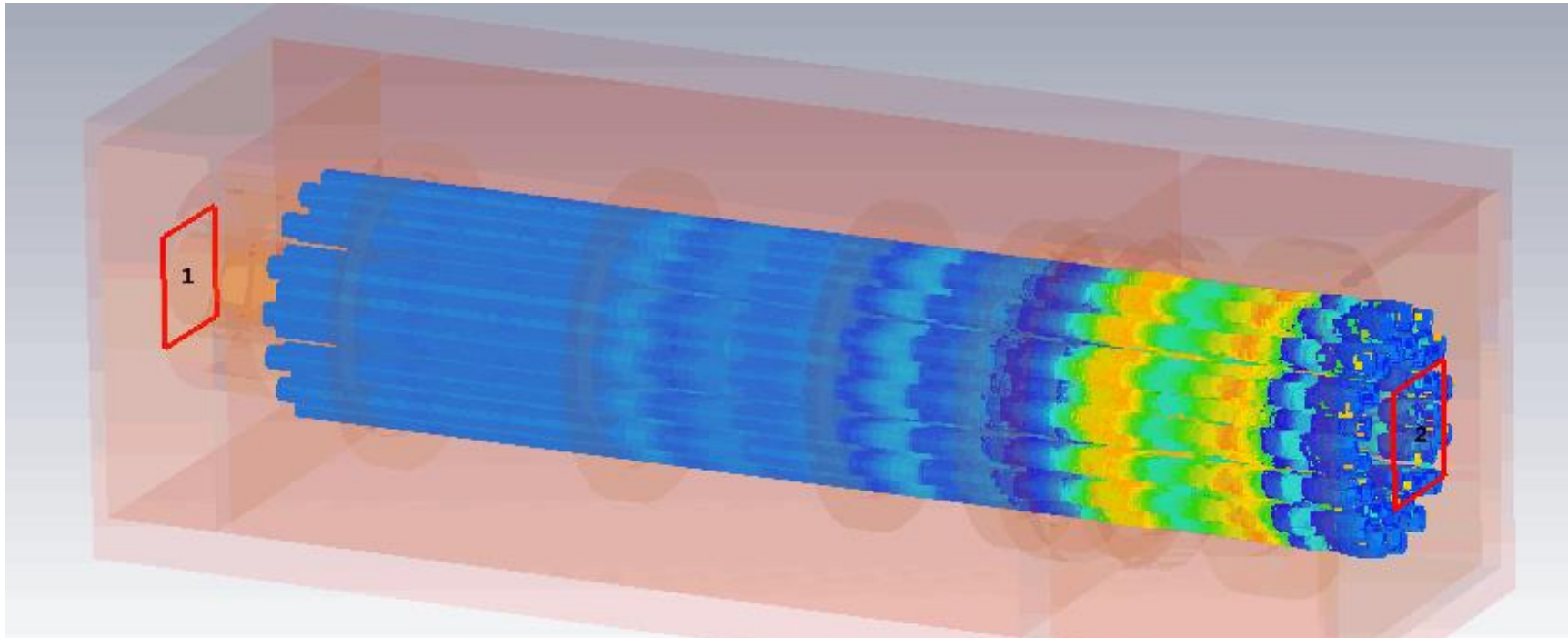


TABLE I. DESIGN AND SIMULATED PARAMETERS (CST/3D) OF THE CLIC TS MBK AND CANON MBK E37503 CATALOGUE DATA

Parameter	TS MBK	E37503	Unit
Operating frequency	1000	1000	MHz
Voltage at the 1 st stage	25	160	kV
Voltage at the 2 nd stage	140		
Total beam current	212	180	A
Number of beamlets	30	6	
Number of cavities	6	6	
Perveance at the 1 st stage	1.77	0.47	$\mu\text{A}/\text{V}^{3/2}$
Perveance at the 2 nd stage	0.133		
Output RF power	24.1	20	MW
Saturated power gain	52	54	dB
Saturated efficiency	82	70	%
Length of RF circuit	900	1500	mm

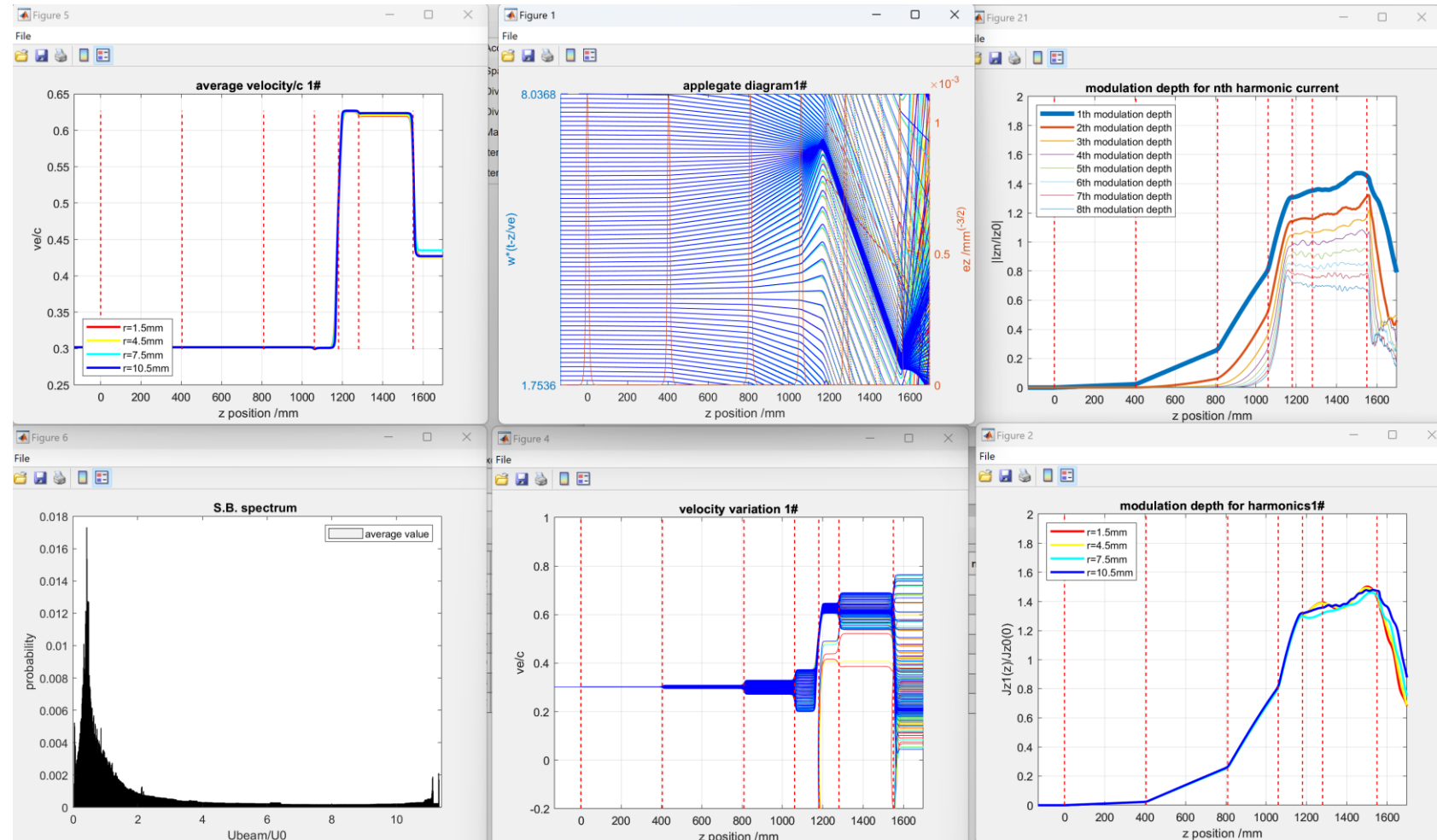


CLIC Two-stage MBK klystron: Pulsed, 1.0 GHz, 24 MW



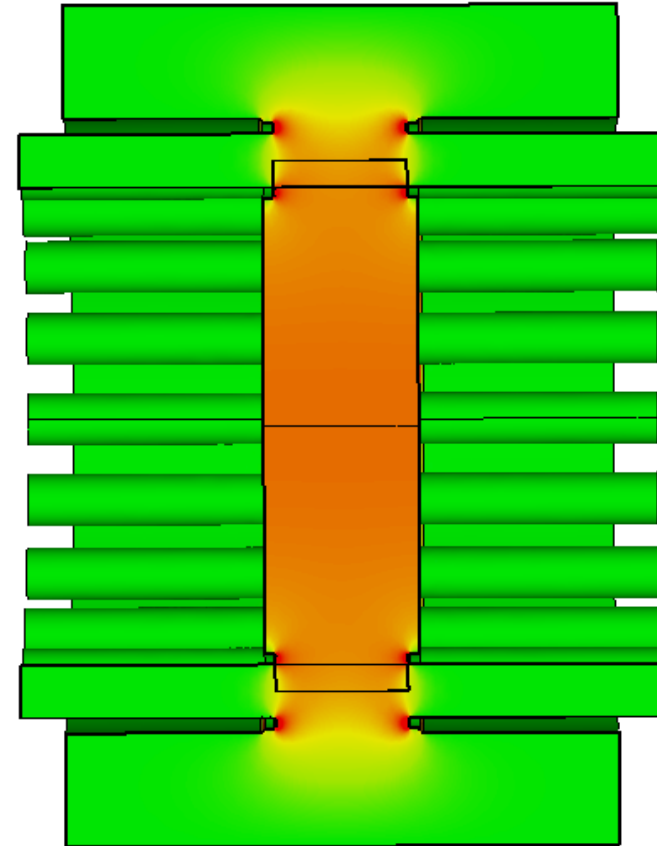
Example 352 MHz

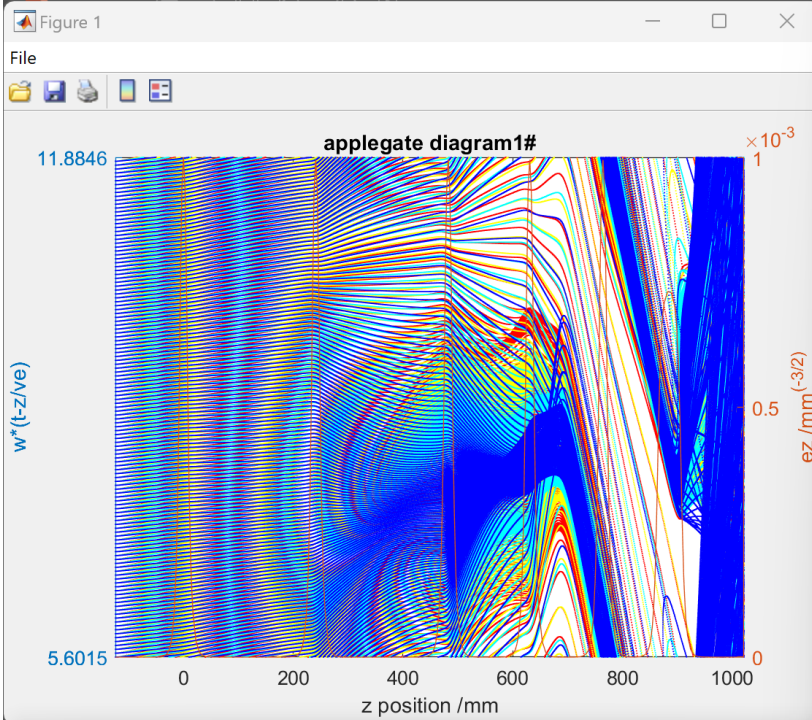
- (Incomplete) design of a 12 MW 352 MHz klystron.
- RF circuit is 1.55 meters long, total length inc. collector will be around 2 m
- Further work needed to get to 24 MW by adding more beamlets (CLIC was 30 this is only 20) and improving efficiency



Scaling CLIC Klystron to 400 MHz

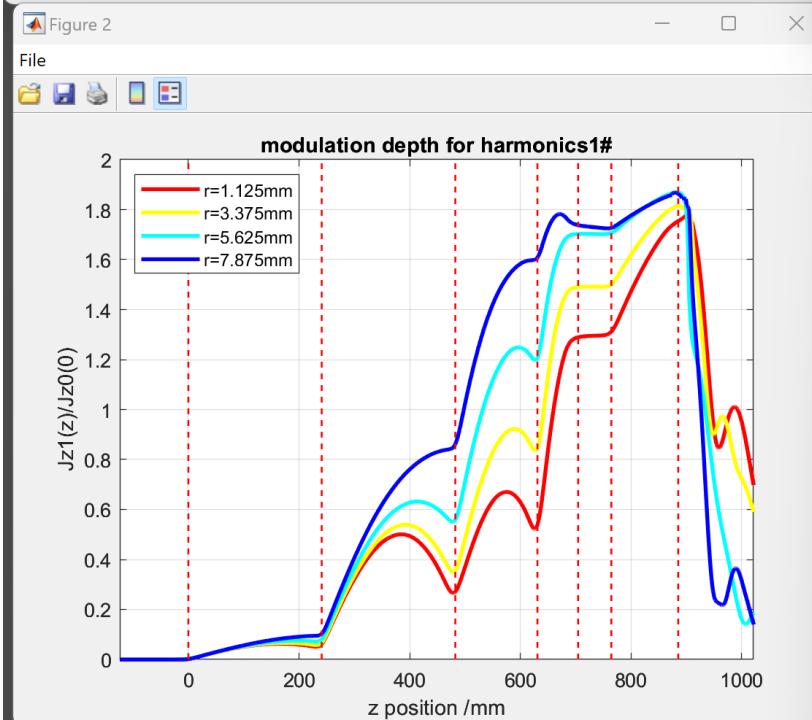
- High efficiency klystrons always have long gaps to allow bouncing electrons. This allows a larger gap voltage to flatten the deacceleration.
- At 400 MHz a comparable long gap would have 2.5 times more voltage at the same field.
- We will require low external Q's on the output gap to keep the voltage low.



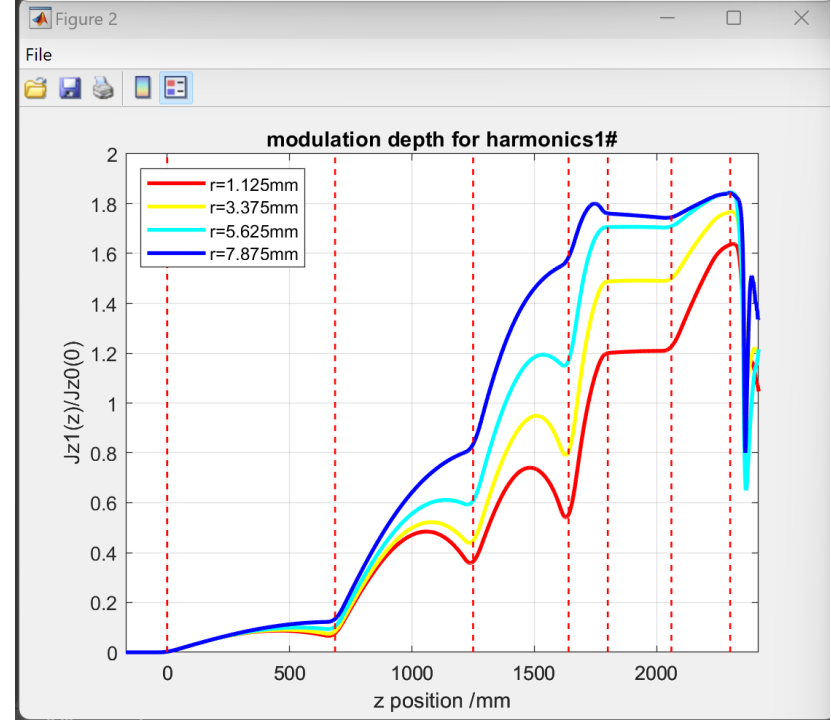
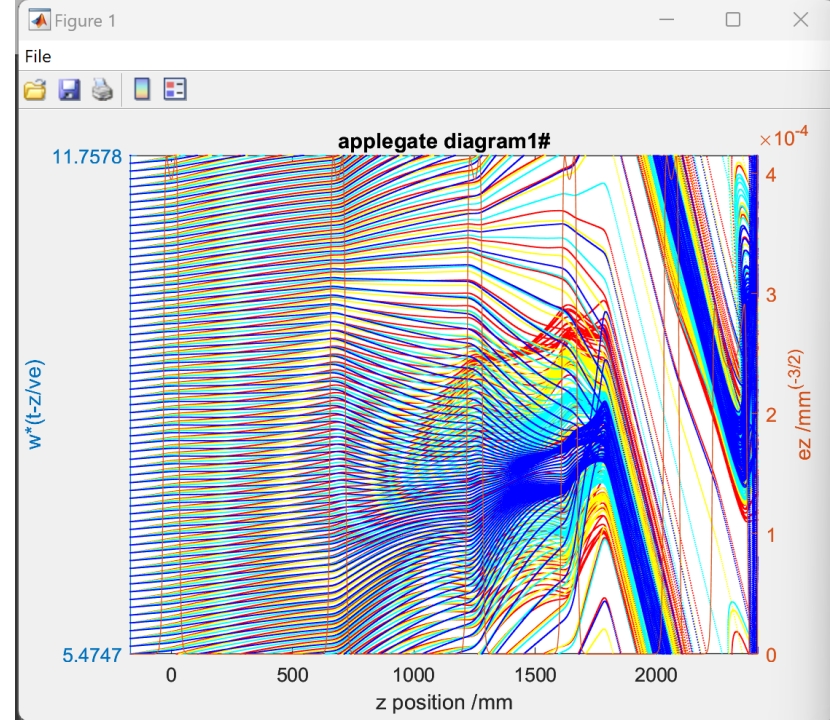


20 beam version

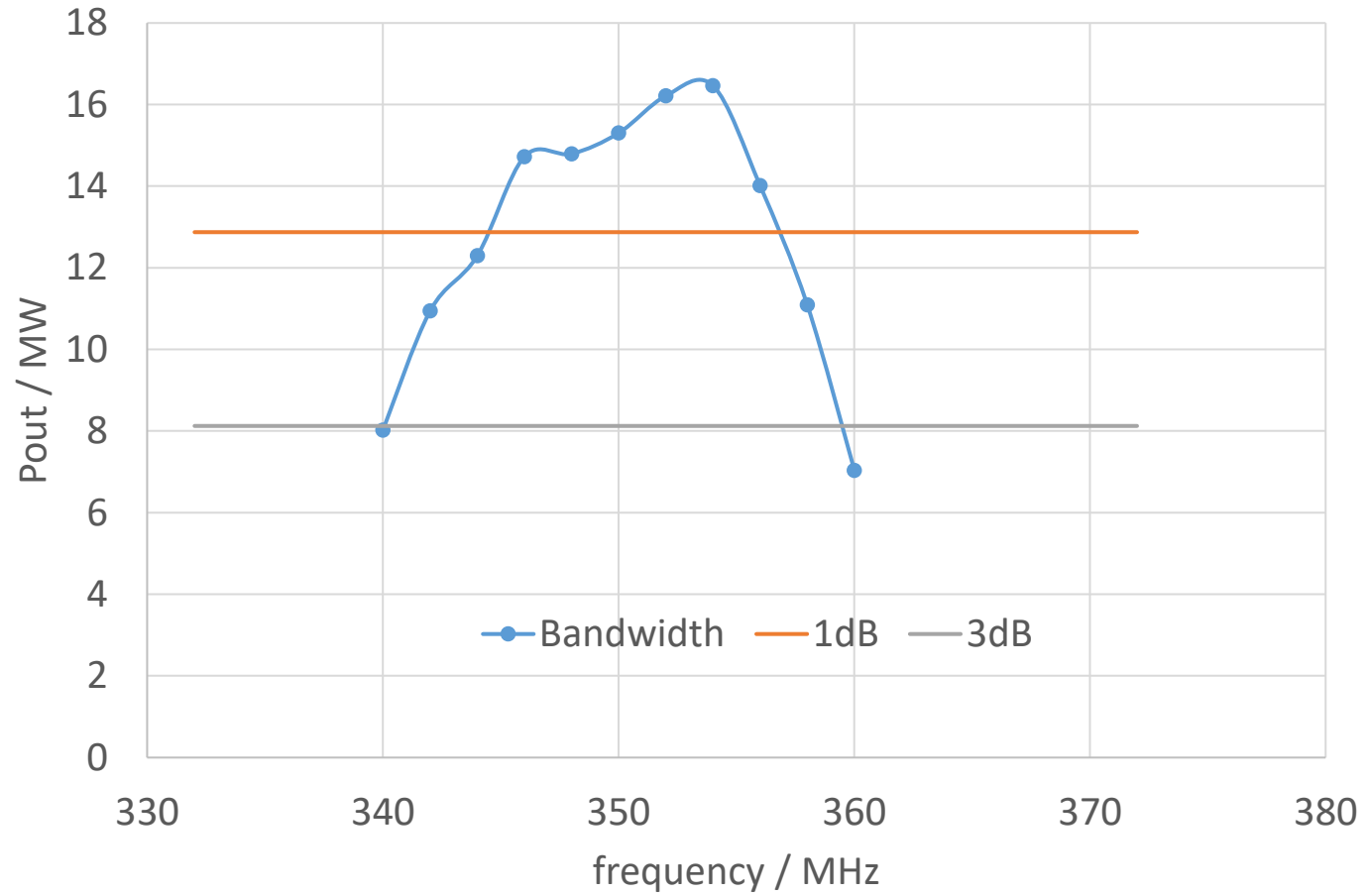
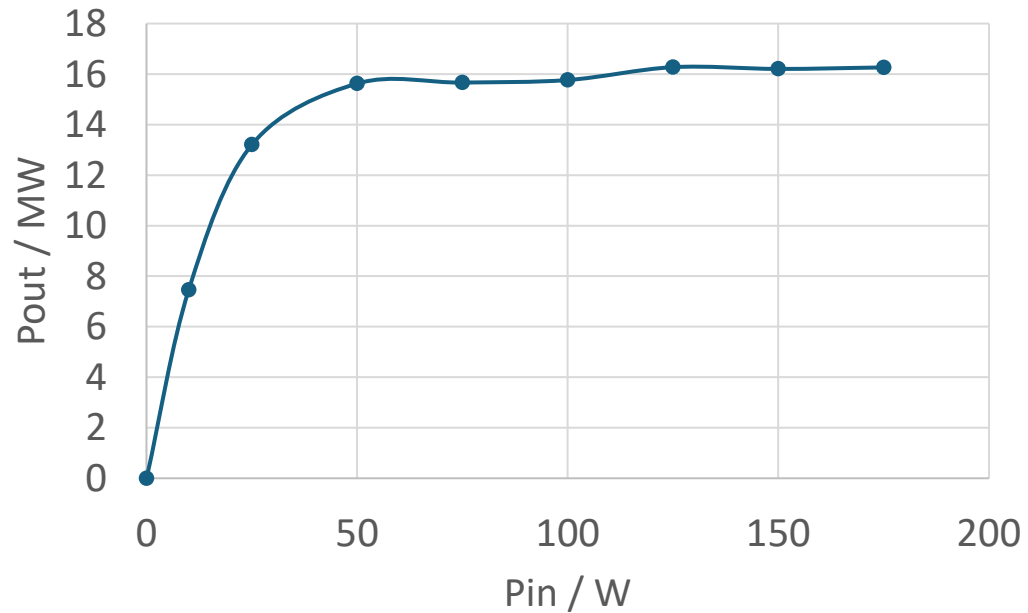
- CLIC is on left, Muon on right
- Not identical but very similar



- Needed some tweaking of frequencies and spacing as gap profile is different

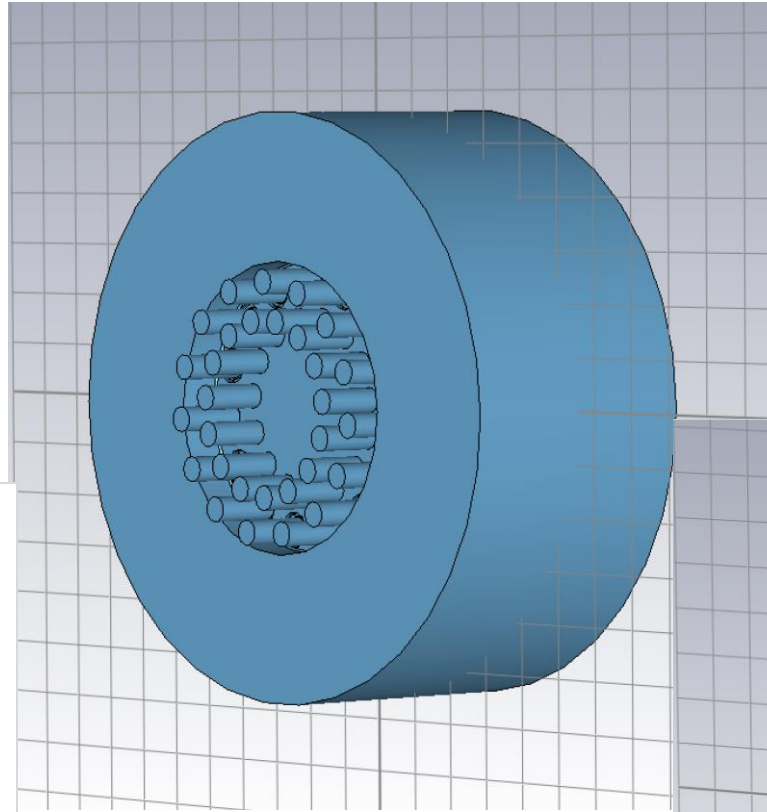
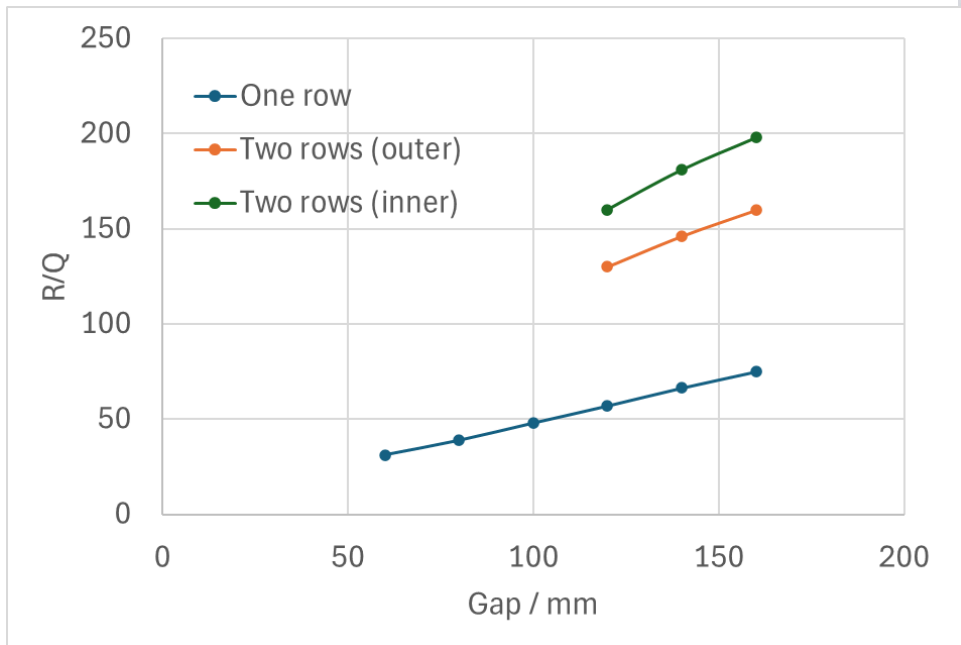


Band and gain

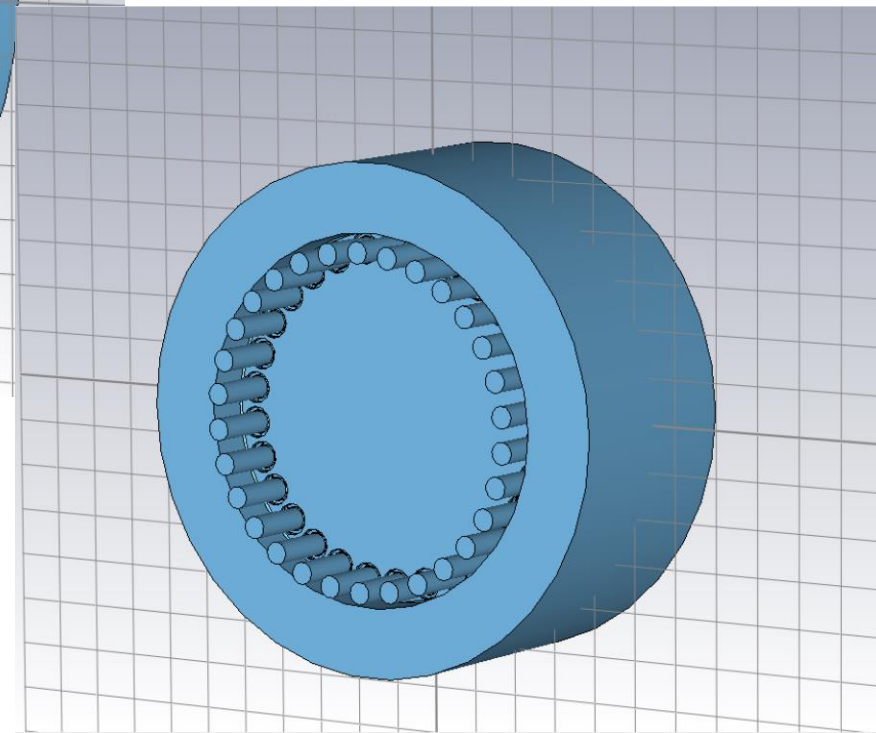


30 beams: 1 or 2 rows of beams?

- To fit in 30 beams we can have two rows of 15 or one row of 30

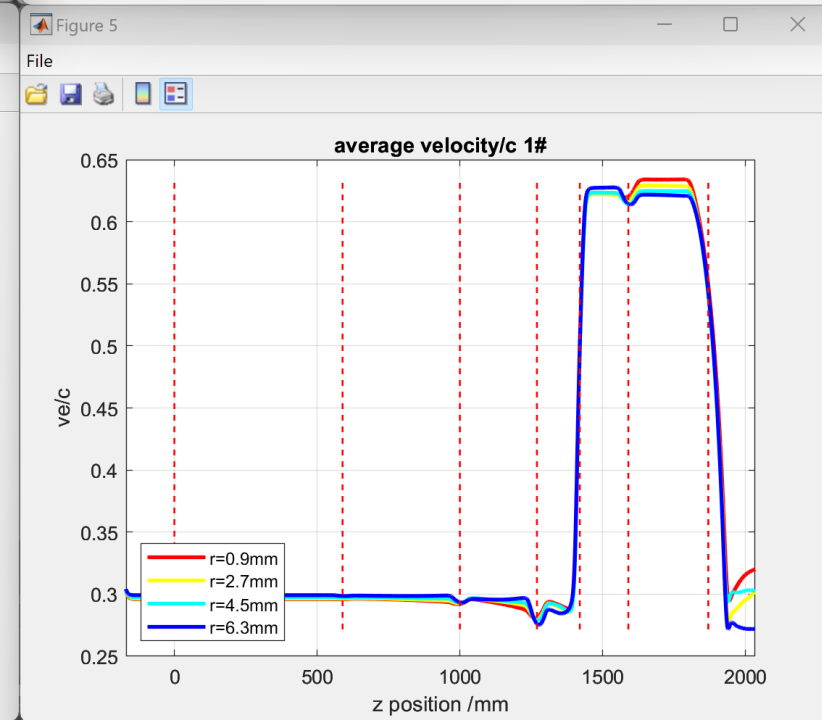
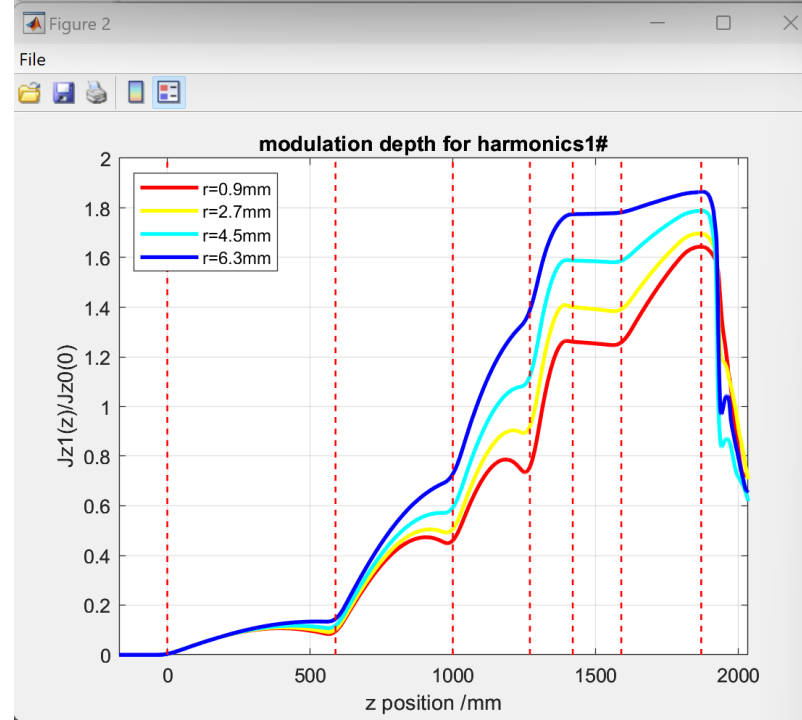
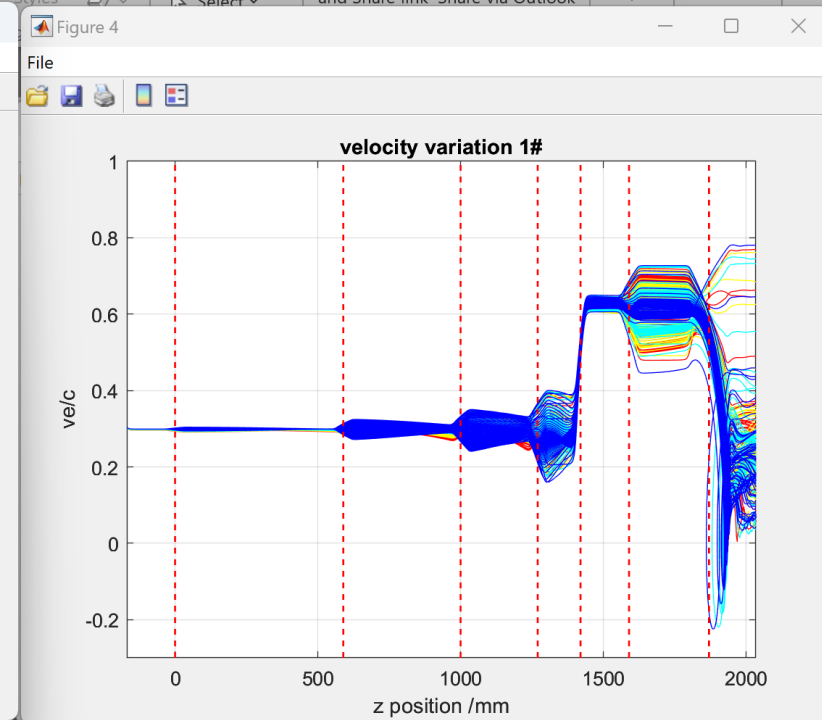
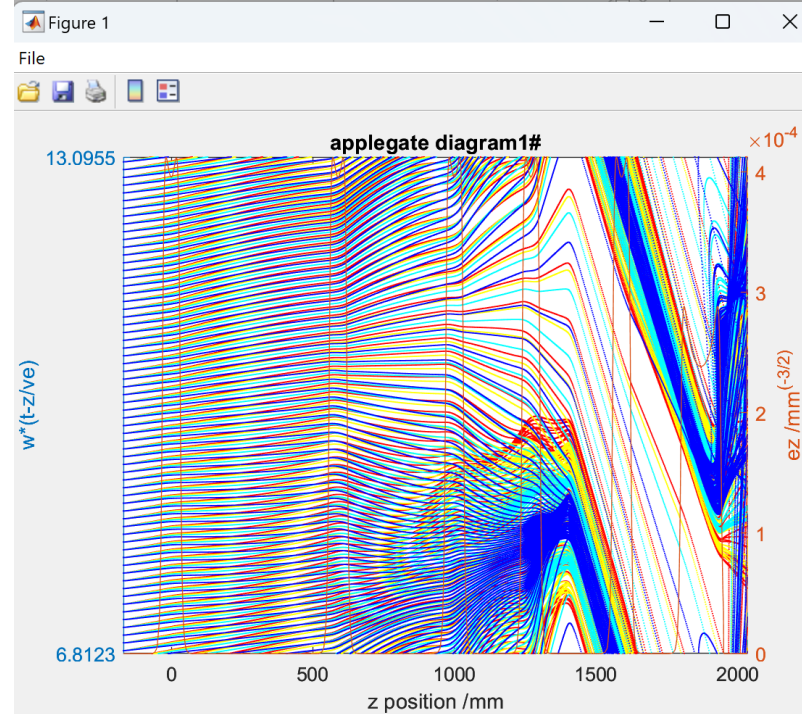


- One row needs a large aperture which lowers the impedance.
- Two rows means a different impedance

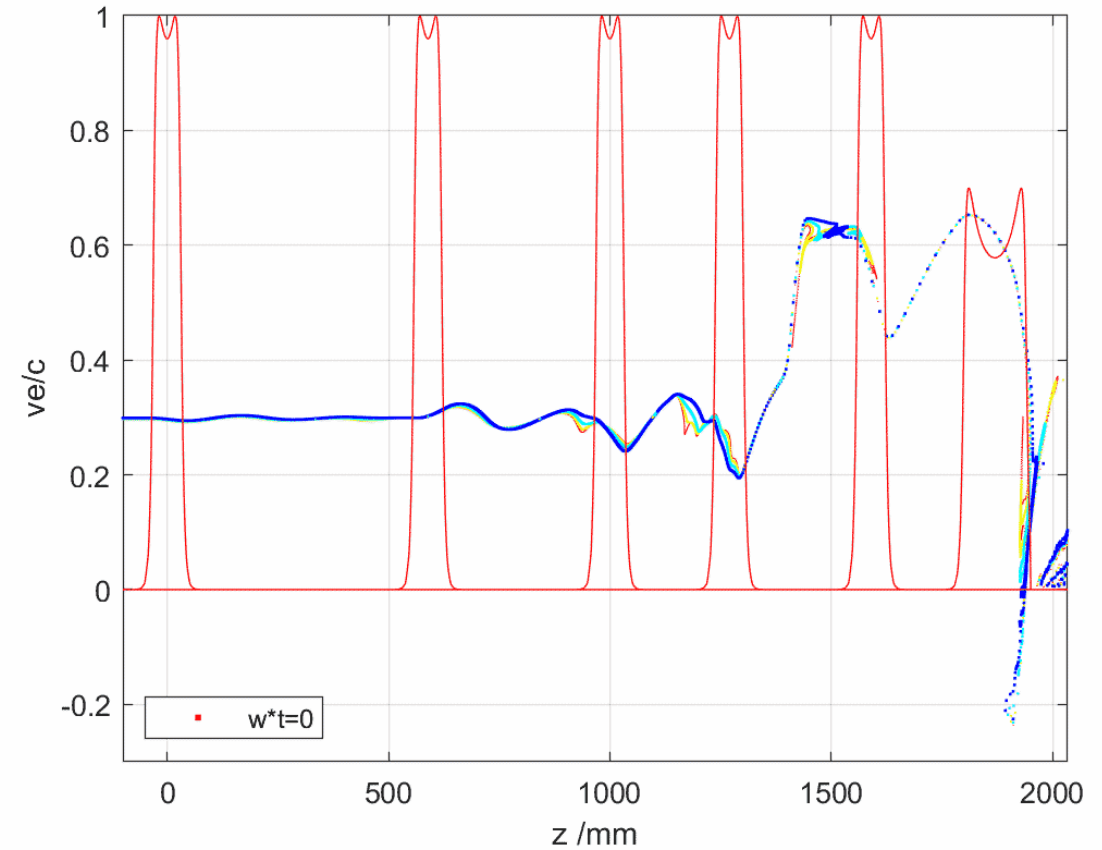
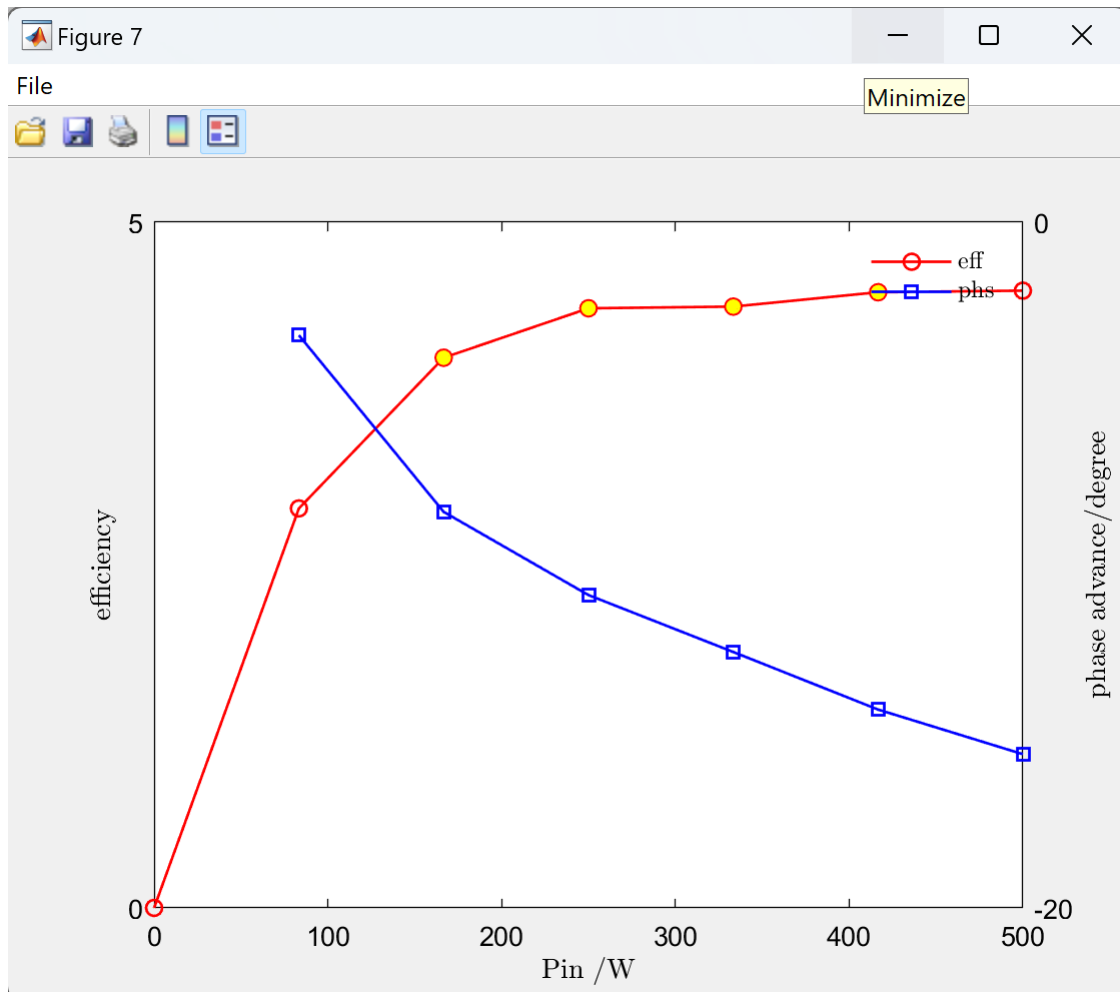


30 beam version

- Two rows high impedance
- 24.1 MW 83% efficiency



Reflected electrons



At lower input powers the beam is decelerated for the bounce, but doesn't get reaccelerated enough to escape leading to reflected electrons. Need to vary the gap

Shorter output gap (100->80 mm) big drop in power

- A shorter gap gets rid of the reflected electrons but the bounce is required for HE so efficiency drops
- Only 20 MW

The screenshot shows the CLICLDSMB_v4_muon30shortv2 software interface. The main window is divided into several sections:

- Beam Para. Eff. optimizer:** Beam Voltage (kV) = 25.400, Beam Current (A) = 7.070, Outer Radii (xb (mm)) = 7.200, Inner Radii (yb (mm)) = 0.000, Tube R. (m...) = 12.000, Beam Number = 30, Layer Number = 4.
- Accuracy Setting Plot setting:** Space Charge Field Order = 8, Division Number in λ_e = 128, Division Number in RF = 256, Max Iterations = 200, Iteration Residual Limit = 0.0001, Iteration Relaxation = 0.1.
- Simulation results summary:**
 - Pout = 2.02e+04 kW, Gain = 50.04 dB
 - Eff.RF = 429 %, Eff.BI = 374.9 %
 - Re.RF = 9.104e-05, Re.EI = 9.495e-05
 - IJ1/J0,i = 1.536, IJ1/J0,o = 1.779
 - ve/c.min = 0.03901, |Gamma| = 0.4487
 - Successful iteration: Yes
 - Reflected electrons: No
 - Tcpu = 33.36 min
- Excitation source:** Pin (W) = 200.000, degree = 360.000, chirp = 0.000.
- Cavity Parameters Table:**

Nu...	Type	Har...	f0(MHz)	R/Q (Ω)	M/z1(mm)	Qe/z2(mm)	Qin/Pin(W)	z (mm)
1	0	1	352	36.3854	0.8859	50	6000	0
2	1	1	379	33.7933	0.8686	9.5479e+04	6000	589
3	1	1	427	29.9945	0.8354	9.5479e+04	6000	1000
4	1	1	424	30.2068	0.8376	9.5479e+04	6000	1270
5	1	0	352	99.9956	0.9000	100000	2000	1420
6	1	1	379	33.7933	0.8686	9.5479e+04	6000	1590
7	-1	1	343	47.5470	0.8233	13	6000	1870
- gap(mm)/Em, nose(mm)/Tp, Lc(mm)/MB, sigma(SI), Rc(...), We(J/ifa), (x,y,z,Ez):**

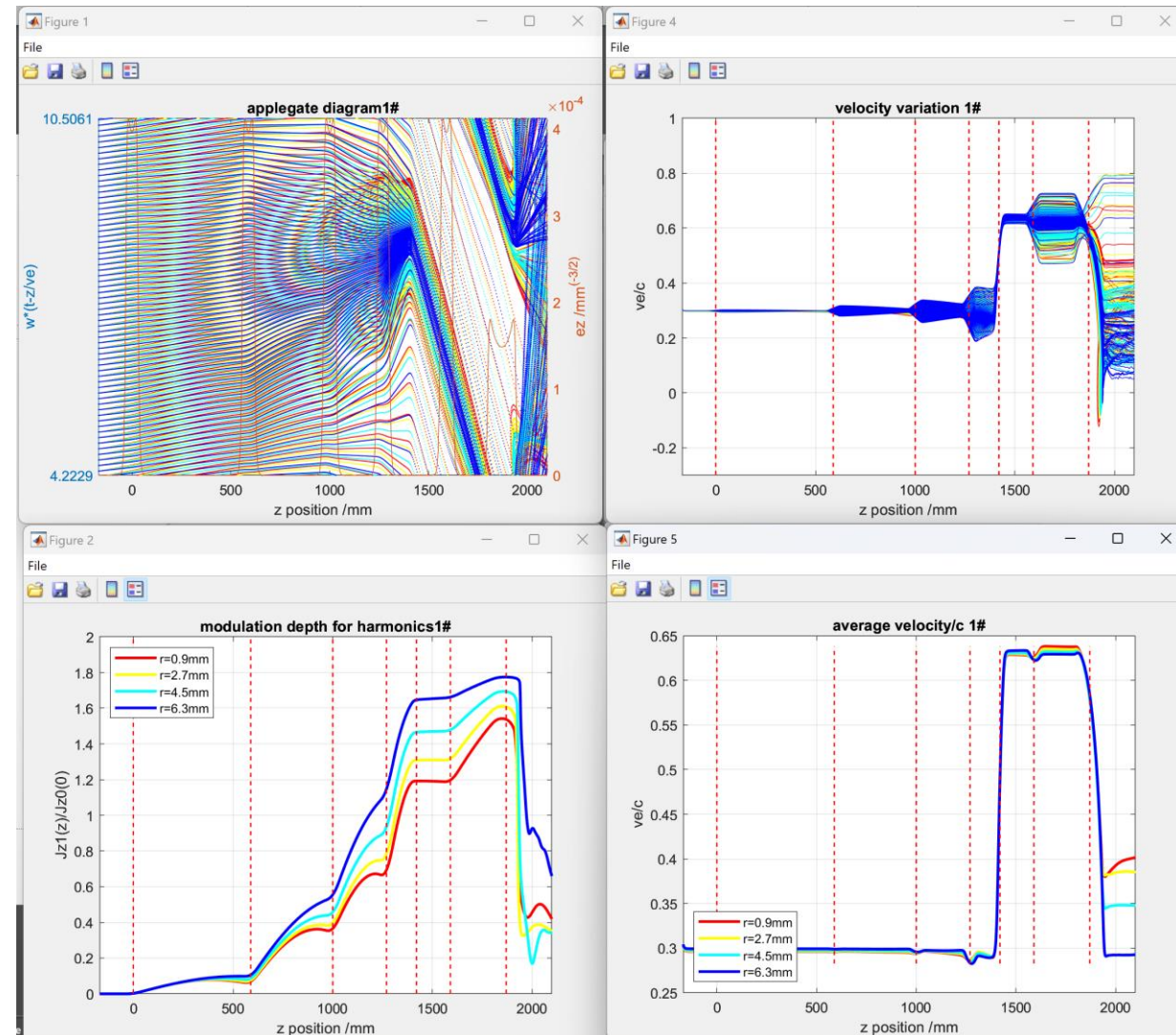
gap(mm)/Em	nose(mm)/Tp	Lc(mm)/MB	sigma(SI)	Rc(...)	We(J/ifa)	(x,y,z,Ez)
150	0	0	58000000	0	1	muon_outputKlyC60
150	1	0	58000000	0	1	muon_outputKlyC60
150	1	0	58000000	0	1	muon_outputKlyC60
53.2000	1	0	58000000	0	1	muon_outputKlyC60
0	1	0	0	0	-1	DCgap0
53.2000	1	0	58000000	0	1	muon_outputKlyC60
22.2000	1	0	58000000	0	1	muon_outputKlyC80

Larger gap 100->140 mm but also went to single row to decrease R/Q and increase Q

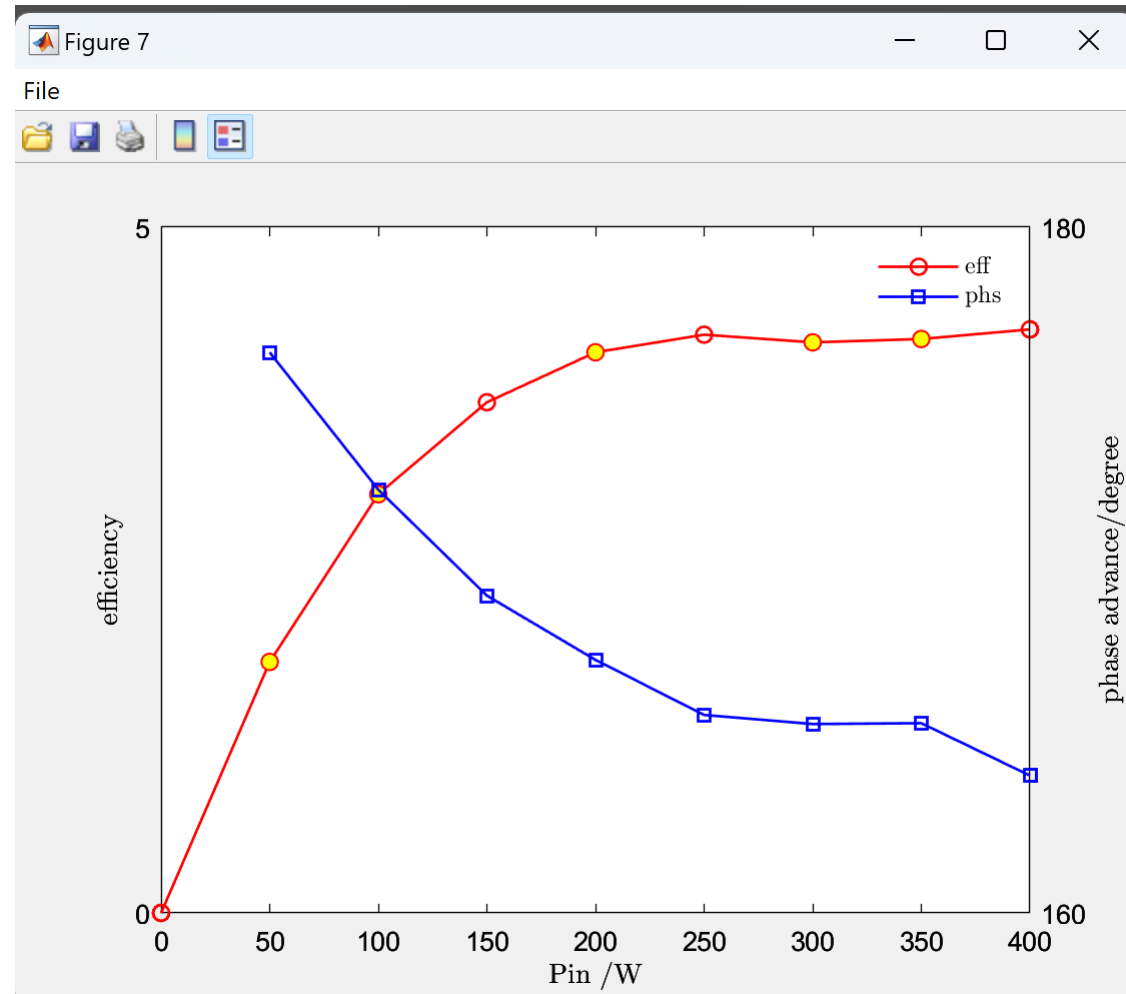
- Efficiency has improved and slowest electron velocity has increased

Simulation results summary

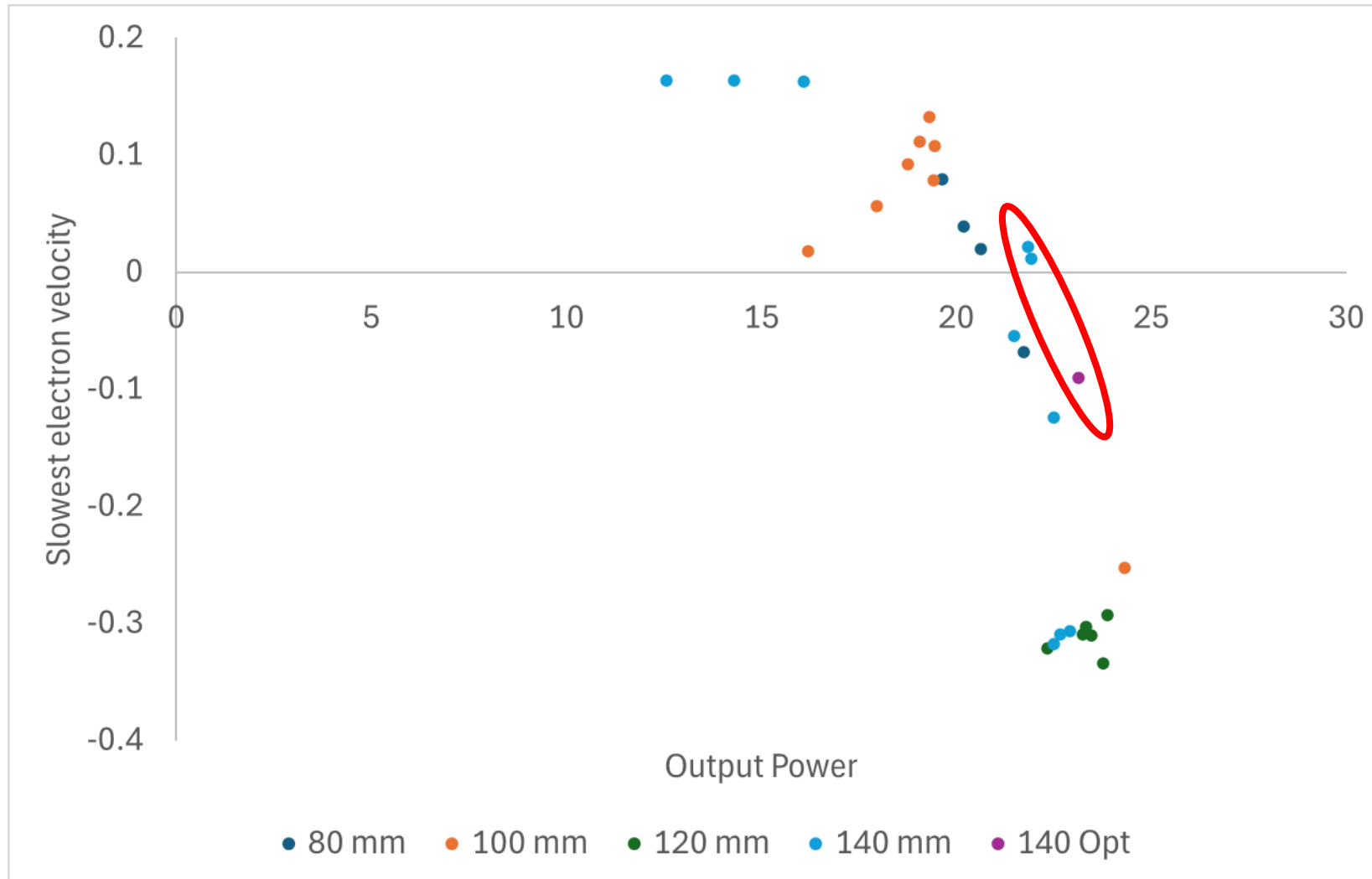
Pout=	2.25e+04 kW	Gain=	49.54 dB
Eff.RF=	477 %	Eff.BI=	417.6 %
Re.RF=	9.789e-05	Re.El=	0.007348
IJ1/J0 .i=		IJ1/J0 .o=	
	1.542		1.775
ve/c.min=	-0.1238	Gama =	0.4487



Still getting reflected electrons



Pareto front Power versus min vel.



- Optimizing the output cavity tends to keep a linear relationship between power and electron velocity
- Two outliers seem to break that
- Let KLyC optimizer play with the bunch hing circuit

KlyC optimiser

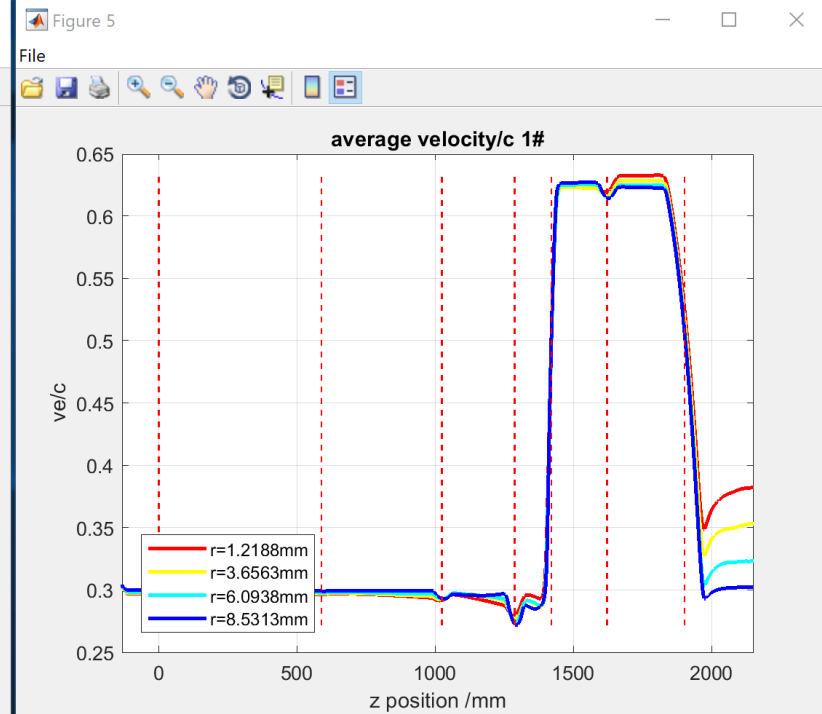
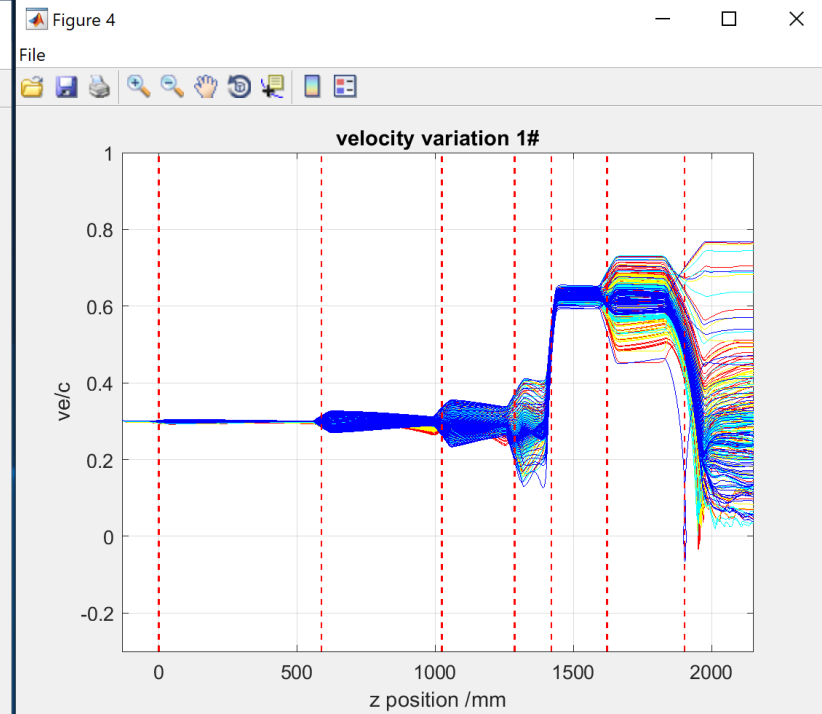
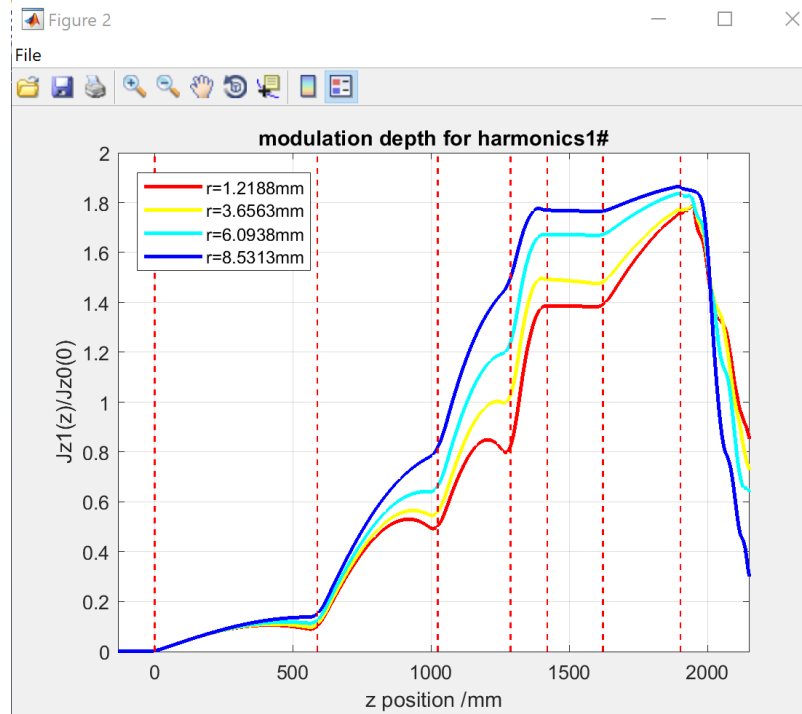
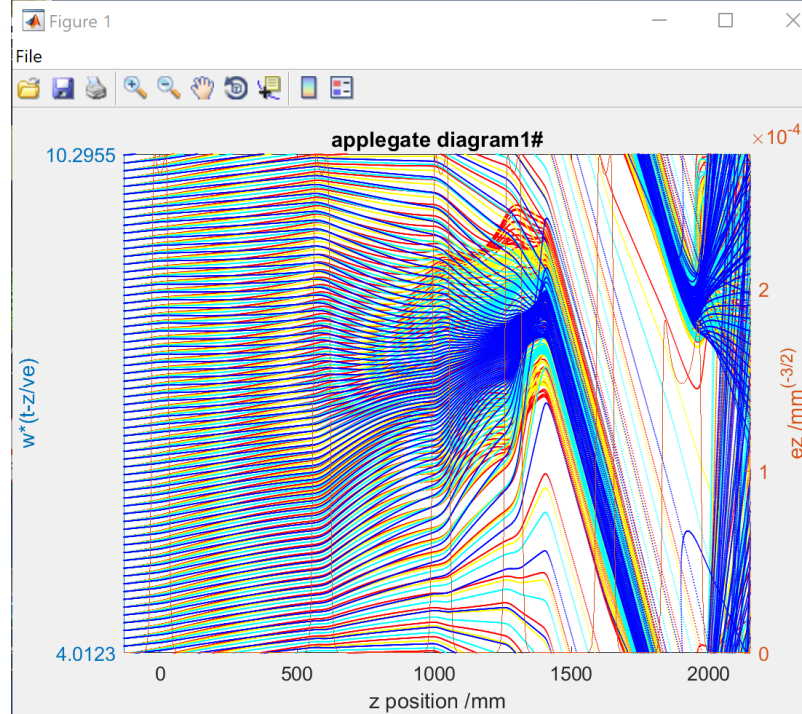
- KlyC optimizer has got it back to 78% efficiency and 23.13 MW
- Min electron velocity increased to $-10\%c$
- Significantly less stratification and not currently at saturation (ran out of time)

Conv. OL FigOff FigOn GIF on txt out

Simulation results summary

Pout=	2.313e+04 kW	Gain=	47.62 dB
Eff.RF=	481.2 %	Eff.BI=	429.4 %
Re.RF=	9.685e-05	Re.EI=	0.003409

IJ1/J0 i=	1.785	IJ1/J0 o=	1.875
ve/c.min=	-0.09744	Gamma =	0.3767



Conclusion

- Current 352 and 704 MHz klystrons are limited to 2.9 and 1.5 MW respectively, requiring a lot of klystrons for a muon collider.
- 704 MHz tube may well be within scaling range of the CLIC tubes at 24 MW
- The 352 MHz tube would be very long if scaled from the same tube
- Two-stage technologies significantly shorten low frequency, high power tubes and is suitable for 352 MHz solution
- KlyC simulations show that 12 MW klystron is possible in under 2 m, and further work should push this to 24 MW.
- Reflected electrons is an ongoing issue