

SMEFT@NLO for e^+e^-

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UNIVERSITÄT
BERN

AEC
ALBERT EINSTEIN CENTER
FOR FUNDAMENTAL PHYSICS



Swiss National
Science Foundation

Direct searches for new physics

ATLAS Heavy Particle Searches* - 95% CL Upper Exclusion Limits

Status: March 2022

ATLAS Preliminary

$\int \mathcal{L} dt = (3.6 - 139) \text{ fb}^{-1}$

$\sqrt{s} = 8, 13 \text{ TeV}$

Model	ℓ, γ	Jets†	$E_{\text{T}}^{\text{miss}}$	$ \mathcal{L} dt [\text{fb}^{-1}]$	Limit	Reference		
Extra dimensions	ADD $G_{KK} + g/g$	$0 e, \mu, \tau, \gamma$	1-4 j	Yes	139	M_0 11.2 TeV $n=2$	2102.10874	
	ADD non-resonant $\gamma\gamma$	2 γ	-	-	36.7	M_2 8.6 TeV $n=3$ HLZ NLO	1707.04147	
	ADD QBH	-	2j	-	37.0	M_{BH} 8.9 TeV $n=6$	1703.09127	
	ADD BH multijet	2 γ	$\geq 3j$	-	3.6	M_{BH} 9.55 TeV $n=6, M_D = 3 \text{ TeV, rot BH}$	1512.02586	
	RS1 $G_{KK} \rightarrow \gamma\gamma$	-	-	-	139	$G_{KK} \text{ mass}$ $k/\overline{M}_{\text{Pl}} = 0.1$	2102.13465	
	Bulk RS $G_{KK} \rightarrow WW/ZZ$	multi-channel	-	-	36.7	$G_{KK} \text{ mass}$ $k/\overline{M}_{\text{Pl}} = 1.0$	1808.02380	
	Bulk RS $G_{KK} \rightarrow WW + \ell\nu_{qq}$	$1 e, \mu$	2j/1 J	Yes	139	$G_{KK} \text{ mass}$ $k/\overline{M}_{\text{Pl}} = 1.0$	2004.14636	
	Bulk RS $g_{KK} \rightarrow t\bar{t}$	$1 e, \mu$	$\geq 1 b, \geq 1j, 2j$	Yes	36.1	$g_{KK} \text{ mass}$ $\Gamma/m = 15\%$	1804.10823	
	2UED / RPP	$1 e, \mu$	$\geq 2 b, \geq 3j$	Yes	36.1	$KK \text{ mass}$ 1.8 TeV	1803.09678	
							$\text{Tier } (1, 1), \mathcal{R}(A^{H \rightarrow t\bar{t}}) = 1$	
Gauge bosons	SSM $Z' \rightarrow \ell\ell$	$2 e, \mu$	-	-	139	$Z' \text{ mass}$ 2.42 TeV	1903.06248	
	SSM $Z' \rightarrow \tau\tau$	2 τ	-	-	36.1	$Z' \text{ mass}$ 2.1 TeV	1709.07242	
	Leptophobic $Z' \rightarrow b\bar{b}$	$0 e, \mu$	2 b	-	36.1	$Z' \text{ mass}$ 2.1 TeV	1905.09299	
	Leptophobic $Z' \rightarrow t\bar{t}$	$0 e, \mu$	$\geq 1 b, \geq 2j$	Yes	139	$Z' \text{ mass}$ 4.1 TeV	2005.05138	
	SSM $W' \rightarrow \ell\nu$	$1 e, \mu$	-	-	Yes	139	$W' \text{ mass}$ 6.0 TeV	1906.05609
	SSM $W' \rightarrow \tau\nu$	1 τ	-	-	Yes	139	$W' \text{ mass}$ 5.0 TeV	ATLAS-CO NF-2021-025
	SSM $W' \rightarrow t\bar{b}$	-	$\geq 1 b, \geq 1j$	-	139	$W' \text{ mass}$ 4.4 TeV	ATLAS-CO NF-2021-043	
	HVT $W' \rightarrow WZ \rightarrow \ell\nu_{qq} \text{ model B}$	$1 e, \mu$	2j/1 J	Yes	139	$W' \text{ mass}$ 4.3 TeV	2004.14636	
	HVT $W' \rightarrow WZ \rightarrow \ell\nu_{qq} \text{ model C}$	$3 e, \mu$	2j (VBF)	Yes	139	$W' \text{ mass}$ 4.4 TeV	ATLAS-CO NF-2022-005	
	HVT $W' \rightarrow WH \text{ model B}$	$0 e, \mu$	$\geq 1 b, \geq 2j$	Yes	139	$W' \text{ mass}$ 3.2 TeV	2007.05293	
LRSM $W_R \rightarrow \mu N_R$	$2 e, \mu$	1 J	-	80	$W_R \text{ mass}$ 5.0 TeV	1904.12679		
CI	CI $q\bar{q}q\bar{q}$	-	2j	-	37.0	A 21.8 TeV η_{LL}	1703.09127	
	CI $\ell\ell q\bar{q}$	$2 e, \mu$	-	-	139	A 35.8 TeV η_{LL}	2006.12946	
	CI $e\bar{e}b\bar{b}$	$2 e$	1 b	-	139	A 1.8 TeV $g_s = 1$	2105.13847	
	CI $\mu\bar{\mu}b\bar{b}$	2μ	1 b	-	139	A 2.0 TeV $g_s = 1$	2105.13847	
CI $t\bar{t}t\bar{t}$	$\geq 1 e, \mu$	$\geq 1 b, \geq 1j$	Yes	36.1	A 2.57 TeV $ C_{\text{AB}} = 4e$	1811.02305		
DM	Axial-vector med. (Dirac DM)	$0 e, \mu, \tau, \gamma$	1-4 j	Yes	139	Φ_{DM} 2.1 TeV	2102.10874	
	Pseudo-scalar med. (Dirac DM)	$0 e, \mu, \tau, \gamma$	1-4 j	Yes	139	Φ_{DM} 376 GeV	2102.10874	
	Vector med. Z' -2HDM (Dirac DM)	$0 e, \mu, \tau$	2 b	Yes	139	Φ_{DM} 3.1 TeV	2106.13391	
Pseudo-scalar med. 2HDM+a	multi-channel	-	-	139	Φ_{DM} 560 GeV	ATLAS-CO NF-2021-036		
LO	Scalar LO 1 st gen	$2 e$	$\geq 2j$	Yes	139	LQ_{mass} 1.8 TeV	$\beta = 1$	
	Scalar LO 2 nd gen	2μ	$\geq 2j$	Yes	139	LQ_{mass} 1.7 TeV	$\beta = 1$	
	Scalar LO 3 rd gen	1 τ	2 b	Yes	139	LQ_{mass} 1.2 TeV	$\mathcal{R}(LQ_{\text{c}}^+ \rightarrow b\bar{r}) = 1$	
	Scalar LO 3 rd gen	$0 e, \mu$	$\geq 1j, \geq 2b$	Yes	139	$LQ_{\text{c}}^{\pm} \text{ mass}$ 1.24 TeV	$\mathcal{R}(LQ_{\text{c}}^+ \rightarrow t\bar{r}) = 1$	
	Scalar LO 3 rd gen	$\geq 2 e, \mu, \geq 1 \tau, \geq 1 b$	$\geq 1 b$	-	139	$LQ_{\text{c}}^{\pm} \text{ mass}$ 1.43 TeV	$\mathcal{R}(LQ_{\text{c}}^+ \rightarrow t\bar{r}) = 1$	
	Scalar LO 3 rd gen	$0 e, \mu, \geq 1 \tau, 0-2j, 2b$	$\geq 1 b, \geq 1j$	Yes	139	$LQ_{\text{c}}^{\pm} \text{ mass}$ 1.26 TeV	$\mathcal{R}(LQ_{\text{c}}^+ \rightarrow b\bar{r}) = 1$	
	Vector LO 3 rd gen	1 τ	2 b	Yes	139	$LQ_{\text{c}}^{\pm} \text{ mass}$ 1.77 TeV	$\mathcal{R}(LQ_{\text{c}}^+ \rightarrow b\bar{r}) = 0.5, \text{YM coupl.}$	
Heavy quarks	$WQ \text{ TT} \rightarrow Zt + X$	$2e, 2\mu, \geq 3e, \geq 1b, \geq 1j$	-	-	139	T mass 1.4 TeV	SU(2) doublet	
	$WQ \text{ BB} \rightarrow Wt Zb + X$	multi-channel	-	-	36.1	B mass 1.34 TeV	SU(2) doublet	
	$WQ \text{ T}_{313} \text{ T}_{313} \text{ T}_{313} \rightarrow Wt + X$	$2(S_{\text{SU}}) \geq 3 e, \mu, \geq 1 b, \geq 1j$	Yes	36.1	T mass 1.64 TeV	$\mathcal{R}(T_{313} \rightarrow Wt) = 1, c, (T_{313} W) = 1$	ATLAS-CO NF-2021-024	
	$WQ \text{ T} \rightarrow Ht/Zt$	$1 e, \mu, \geq 1 b, \geq 3j$	Yes	139	T mass 1.8 TeV	SU(2) singlet, $\kappa_T = 0$	1808.02343	
	$WQ \text{ Y} \rightarrow Wb$	$1 e, \mu, \geq 1 b, \geq 1j$	Yes	36.1	Y mass 1.85 TeV	$\mathcal{R}(Y \rightarrow Wb) = 1, c, (Wb) = 1$	1807.11883	
$WQ \text{ B} \rightarrow Hb$	$0 e, \mu, \geq 2b, \geq 1j, \geq 1j$	-	-	139	B mass 2.0 TeV	SU(2) doublet, $\kappa_B = 0.3$	ATLAS-CO NF-2021-018	
Excited fermions	Excited quark $q^* \rightarrow qg$	-	2j	-	139	$q^* \text{ mass}$ 6.7 TeV	only u^* and d^* , $A = m(q^*)$	1910.08447
	Excited quark $q^* \rightarrow q\gamma$	1 γ	1j	-	36.7	$q^* \text{ mass}$ 5.3 TeV	only u^* and d^* , $A = m(q^*)$	1709.10440
	Excited quark $b^* \rightarrow b\gamma$	-	1 b, 1j	-	36.1	$b^* \text{ mass}$ 2.6 TeV	only u^* and d^* , $A = m(q^*)$	1805.09299
	Excited lepton e^*	$3 e, \mu$	-	-	20.3	$e^* \text{ mass}$ 3.8 TeV	$A = 3.0 \text{ TeV}$	1411.2921
	Excited lepton τ^*	$3 e, \mu, \tau$	-	-	20.3	$\tau^* \text{ mass}$ 1.6 TeV	$A = 1.6 \text{ TeV}$	1411.2921
Other	Type III Seesaw	$2, 3, 4 e, \mu$	$\geq 2j$	Yes	139	$N^0 \text{ mass}$ 910 GeV	$m(W_R) = 4.1 \text{ TeV, } g_L = g_R$	2302.02039
	LRSM Majorana ν	2μ	2j	-	36.1	$N_{\mu} \text{ mass}$ 3.2 TeV	$N_{\mu} \text{ mass}$	1809.11105
	Higgs triplet $H^{\pm\pm} \rightarrow W^+ W^+$	$2, 3, 4 e, \mu$ (SS)	various	Yes	139	$H^{\pm\pm} \text{ mass}$ 350 GeV	DY production	2101.11961
	Higgs triplet $H^{\pm\pm} \rightarrow \ell\ell$	$2, 3, 4 e, \mu$ (SS)	-	-	139	$H^{\pm\pm} \text{ mass}$ 1.08 TeV	DY production, $\mathcal{R}(H^{\pm\pm} \rightarrow \ell\bar{\ell}) = 1$	ATLAS-CO NF-2022-010
	Higgs triplet $H^{\pm\pm} \rightarrow t\bar{t}$	$3 e, \mu, \tau$	-	-	20.3	$H^{\pm\pm} \text{ mass}$ 400 GeV	DY production, $ g = 5e$	1411.2921
	Multi-charged particles	-	-	-	36.1	multi-charged particle mass	DY production, $ g = 1g_{\text{SM, spin } 1/2}$	1812.03673
	Magnetic monopoles	-	-	-	34.4	monopole mass	DY production, $ g = 1g_{\text{SM, spin } 1/2}$	1905.10130

$\sqrt{s} = 8 \text{ TeV}$

$\sqrt{s} = 13 \text{ TeV}$
partial data

$\sqrt{s} = 13 \text{ TeV}$
full data

10^{-1}

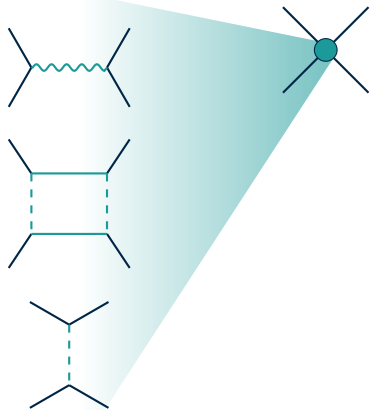
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Mass scale [TeV]

Effective field theory

High-energy physics manifests as contact interactions in EFTs

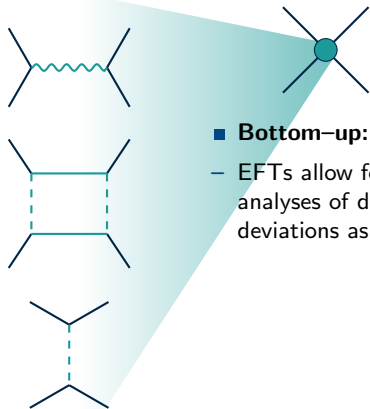


$$\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{\text{SM}} + \sum_{D=5} \sum_k \frac{C_{D,k}}{\Lambda^{D-4}} \mathcal{O}_{D,k}$$

UV Physics

Effective field theory

High-energy physics manifests as contact interactions in EFTs



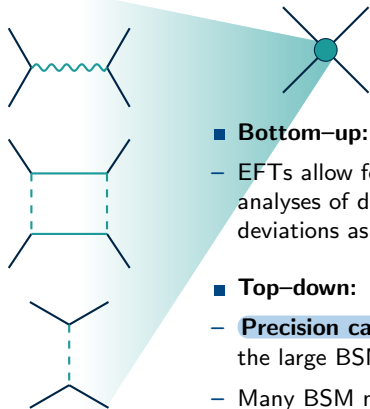
$$\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{\text{SM}} + \sum_{D=5} \sum_k \frac{C_{D,k}}{\Lambda^{D-4}} \mathcal{O}_{D,k}$$

UV Physics

■ Bottom-up:

- EFTs allow for **model-comprehensive** (“model-independent”) analyses of deviations from the SM, quantifying possible deviations as an expansion in E/Λ

High-energy physics manifests as contact interactions in EFTs



$$\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{\text{SM}} + \sum_{D=5} \sum_k \frac{C_{D,k}}{\Lambda^{D-4}} \mathcal{O}_{D,k}$$

UV Physics

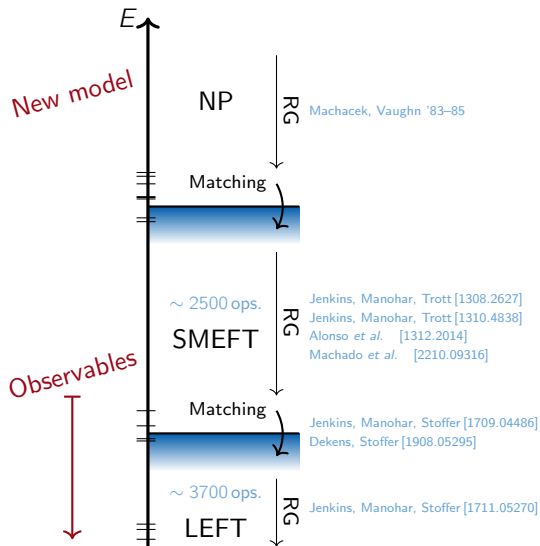
■ Bottom-up:

- EFTs allow for **model-comprehensive** (“model-independent”) analyses of deviations from the SM, quantifying possible deviations as an expansion in E/Λ

■ Top-down:

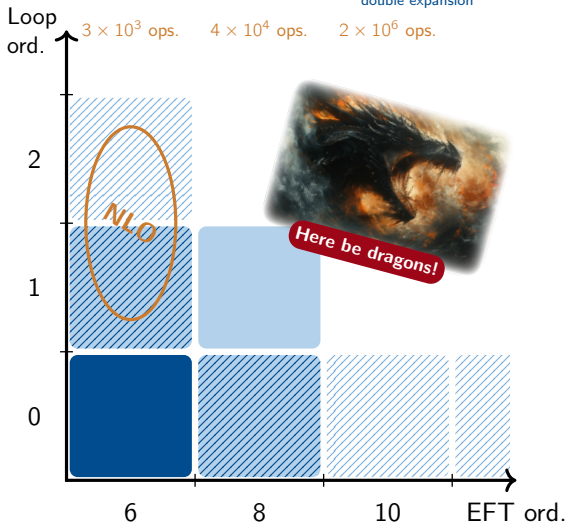
- **Precision calculations** necessitates the use of EFTs to separate the large BSM energy scales
- Many BSM models result in the same EFT and **calculations can be recycled**: you only need to compute once in the EFT

BSM EFT workflow

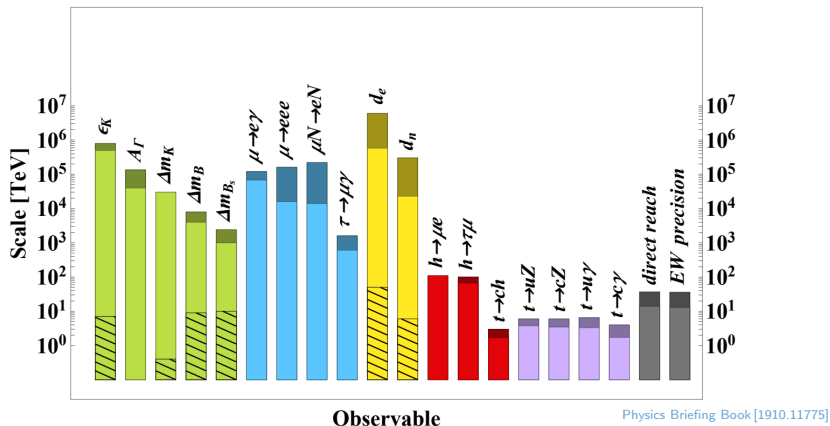


How advanced are SMEFT calculations?

$$\mathcal{L}_{\text{SMEFT}}(\phi) = \mathcal{L}_{\text{SM}}(\phi) + \sum_{d=5}^{\infty} \sum_{\ell=0}^{\infty} \sum_k \underbrace{\frac{C_{d,k}^{(\ell)}}{(16\pi^2)^\ell \Lambda^{d-4}}}_{\text{double expansion}} \mathcal{O}_{d,k}^{(\ell)}(\phi)$$

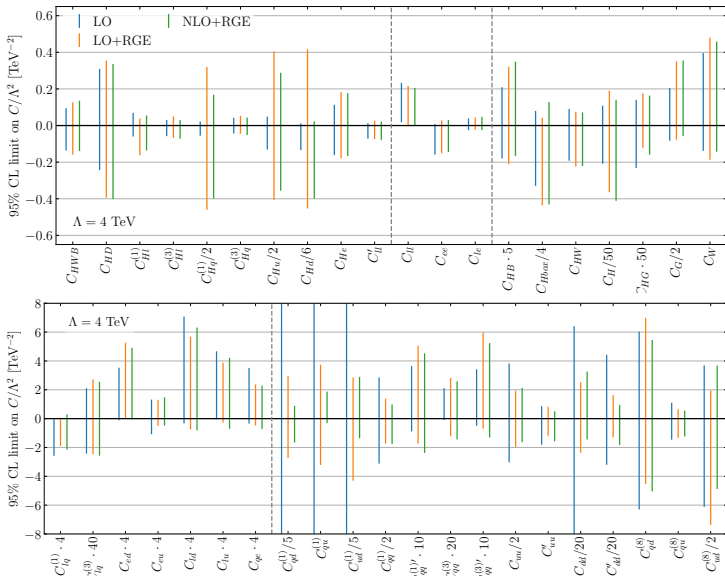


Why SMEFT at the FCC?



- Indirect searches very competitive with direct BSM searches (see talk by L. Allwicher)
- FCC to improve sensitivity to NP in rare-decays, Higgs physics, top physics, EW precision

Operator mixing, a SMEFT loop effect



Global fit with $U(3)^5$ flavor symmetry

Figure from Bartocci, Biekötter, Hurth [2412.09674]

Why we need NLO

- Sizable radiative QCD + top Yukawa effects
- Qualitatively new effects at 2-loop order

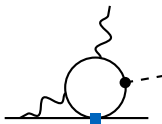
Das Bakshi *et al.* [2103.15861]



Heavy fermions
producing CP-odd
triple gauge boson
interactions

- Surprisingly large 2-loop effects

Ardu, Davidson [2103.07212]; Allwicher *et al.* [2302.11584]



LFV 4-fermion op-
erator contributing
to $\mu \rightarrow e\gamma$



4-top operator con-
tributing to m_W

- Restoration of scheme invariance \rightsquigarrow minimizes theory uncertainties

SMEFT@NLO: current status

	LO	NLO	Automated	
MC generators	✓	✓	✓	QCD effects only
Higgs physics	✓	✓		Many decay and production channels
EWP	✓	✓	✓ (LO)	Lacking full flavor dependence
RG (anomalous dims.)	✓	✗	✓	Very partial 2-loop results
SMEFT→LEFT matching	✓	✓	✓	
UV→SMEFT matching	✓	✓	✓	Broken gauge symmetries largely untested

Computational challenges

- Mature multi-loop EFT techniques have been developed for SM calculations (WEH). A basis for SMEFT calculations @ NLO
- **Continuation of γ_5** to $d = 4 - 2\epsilon$ dimensions
 - Semi-naive implementation (case by case validity)
 - BMHV-scheme (gauge-restoring counterterms)

$$\text{Tr}[\gamma_\alpha \gamma_\mu \gamma_\nu \gamma_\rho \gamma_\sigma \gamma^\alpha \gamma_5] = \dots ?!$$

- **Evanescent operators** become relevant @ NLO
- Experimentation with new computational framework (functional methods, heat-kernel, etc.)

$$\Gamma_{\text{UV}} = S_{\text{UV}} + \frac{i}{2} \log \left(\text{circle} \right) + \frac{i}{2} \overset{(1)}{\bullet} \left(\text{circle} \right) + \frac{1}{12} \left(\text{circle with two dots} \right) - \frac{1}{8} \left(\text{circle with one dot} \right) + \mathcal{O}(\hbar^3)$$

Two-loop SMEFT RGEs

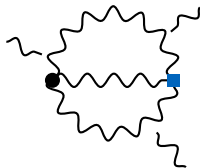


- Implementation based on Matchete
- Using newly developed functional methods
- Bosons are handled, fermions are WIP

Two-loop SMEFT RGEs

MATCHETE

- Implementation based on Matchete
- Using newly developed functional methods
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```
L = FreeLag[] + cGt[] Gtilde;  
L // NiceForm
```

iceForm=

$$-\frac{1}{4} G^{\mu\nu X^2} + (D_\mu \bar{c}_G^X \cdot D_\mu c_G^X) + g G^{\mu\nu Y} (\bar{c}_G^X \cdot D_\mu c_G^Z) f^{XYZ} + \frac{1}{6} cGt G^{\mu\rho Y} G^{\nu\rho Z} G^{\sigma\kappa X} f^{XYZ} \epsilon^{\mu\nu\rho\kappa}$$

```
ctlag = CountertermLagrangian[L, EFTOrder -> 6] // EchoTiming;  
ctlag // NiceForm
```

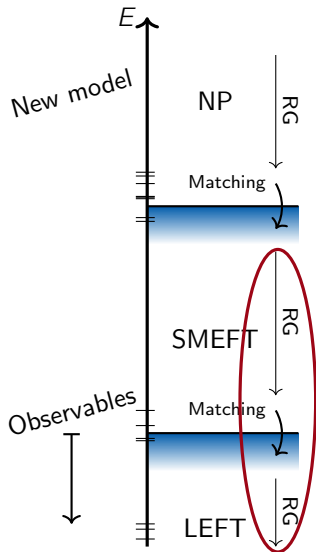
- » Added new CG cg1 with indices {SU3c[adj], SU3c[adj], SU3c[adj], SU3c[adj]}
- » Added new CG cg2 with indices {SU3c[adj], SU3c[adj], SU3c[adj], SU3c[adj], SU3c[adj]}

 13695.1 — [Mathematica, single core](#)

/NiceForm=

$$-\frac{11}{4} \hbar \frac{1}{\epsilon} g^2 G^{\mu\nu X^2} - \frac{51}{4} \hbar^2 \frac{1}{\epsilon} g^4 G^{\mu\nu X^2} - 3 \hbar \frac{1}{\epsilon} cGt g^2 G^{\mu\nu X} G^{\rho\sigma Y} G^{\sigma\kappa Z} f^{XYZ} \epsilon^{\mu\nu\rho\kappa} -$$
$$\frac{21}{2} \hbar^2 \frac{1}{\epsilon^2} cGt g^4 G^{\mu\nu X} G^{\rho\sigma Y} G^{\sigma\kappa Z} f^{XYZ} \epsilon^{\mu\nu\rho\kappa} - \frac{425}{24} \hbar^2 \frac{1}{\epsilon} cGt g^4 G^{\mu\nu X} G^{\rho\sigma Y} G^{\sigma\kappa Z} f^{XYZ} \epsilon^{\mu\nu\rho\kappa}$$

Born, Fuentes-Martín, Kvedaraitė, AET [2410.07320]+WIP



Evolving SMEFT coefficients:



Fuentes-Martín *et al.* [2010.16341]



Aebischer *et al.* [1804.05033]

RGEsolver

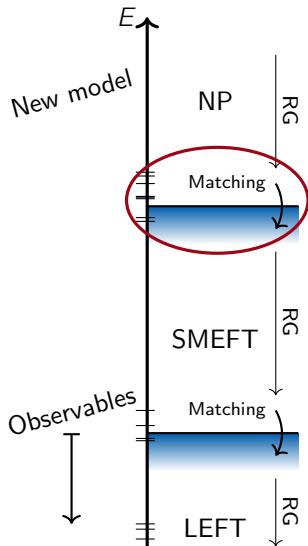
Di Noi, Silvestrini [2210.06838]

Common interface format:



Aebischer *et al.* [1712.05298]

Software tools



One-loop matching tools:



AET *et al.* [2212.04510]

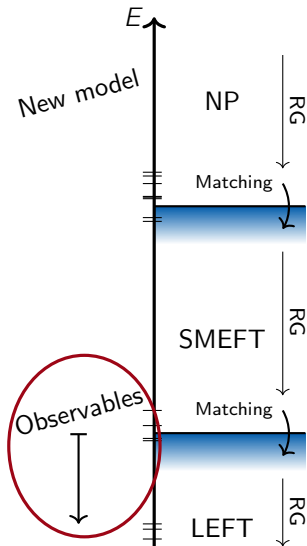


Carmona *et al.* [2112.10787]

One-loop dictionaries:



Guedes *et al.* [2303.16965]



SMEFT in event generators:

SMEFT@NLO
Degrande *et al.* [2008.11743]

SmeftFR
Dedes *et al.* [2302.01353]

SMEFTsim
Brivio [2012.11343]

Fitting tools:



Staub [1810.08132]



van Dyk *et al.* [2111.15428]



Aebischer *et al.* [1810.07698]



de Blas *et al.* [1910.14012]



Ellis *et al.* [2012.02779]



Allwicher *et al.* [2207.10756]

Fitmaker

Ellis *et al.* [2012.02779]

Summary and outlook

- Comprehensive SMEFT analyses benefit from inclusion of higher order effects
- New computational/QFT challenges
- Software packages \rightsquigarrow new flexibility!
- Great progress and ... much more to come before the 2040's

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Thank you!