

Fundamental Physics

Ming-chung Chu

Department of Physics

The Chinese University of Hong Kong

CERN Teacher Programme (Hong Kong) 2024

Fundamental Physics

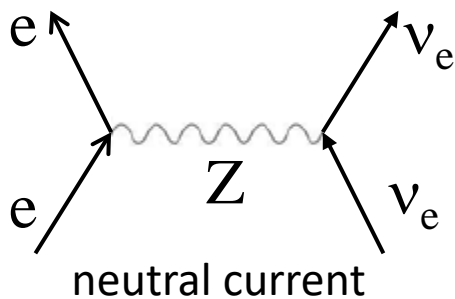
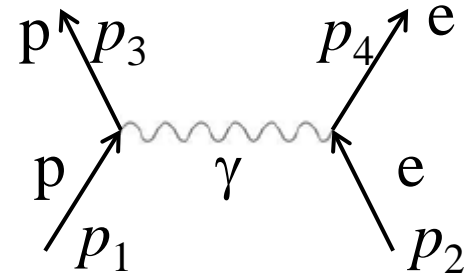
- Gauge theory: from symmetry to interaction
- Some open problems:
- Gravity – how to surpass Einstein?
- Matter-antimatter asymmetry – why have we not been annihilated?

Jeff: “We love symmetry. Without symmetry, we would not have Particle Physics!”

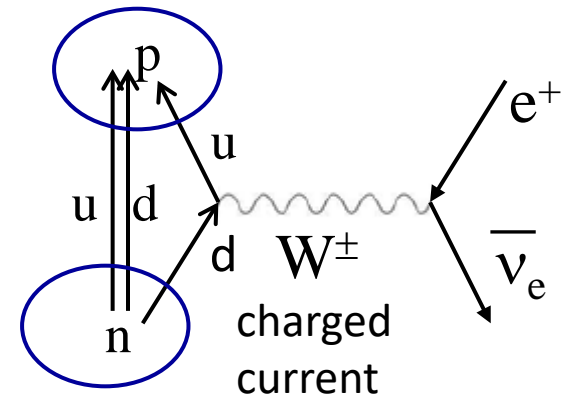


Some interactions in particle physics

- EM: exchange of virtual photons γ
 changes particles' momenta
 γ carries $p = p_3 - p_1 = p_2 - p_4$
- Weak: exchange of W^\pm, Z
 May change charge/flavor of a quark



Proton: uud

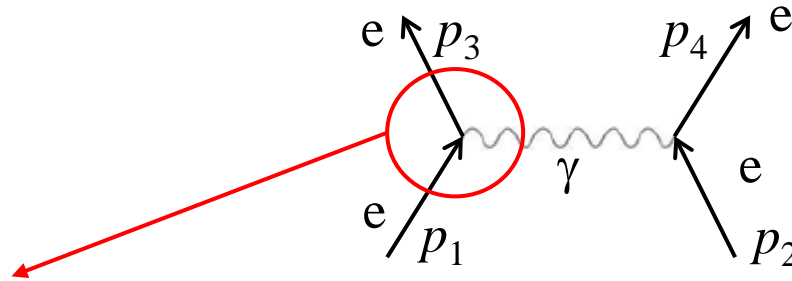


Neutron: udd

$$E^2 = m^2 + p^2 \quad (c = 1)$$

$$E_\gamma = p_\gamma \quad (c = 1)$$

Virtual vs. real particles



But: cannot conserve momentum and energy at the same time for the electron to emit a photon!

$$p_\gamma = -q \quad p_f = q$$

Rest frame of e before emission: $p_i = 0, E_i = m_e$

After emission: if momentum is conserved, $p_\gamma = -q \Rightarrow E_\gamma = q$
 $E_f = (m_e^2 + q^2)^{1/2} + E_\gamma > E_i$
 Energy is not conserved!

$\therefore \gamma \neq$ a real photon. It's a virtual photon allowed by the uncertainty principle – some energy is 'borrowed' from vacuum, returned when the virtual photon is absorbed and disappeared! One never observes a virtual photon.

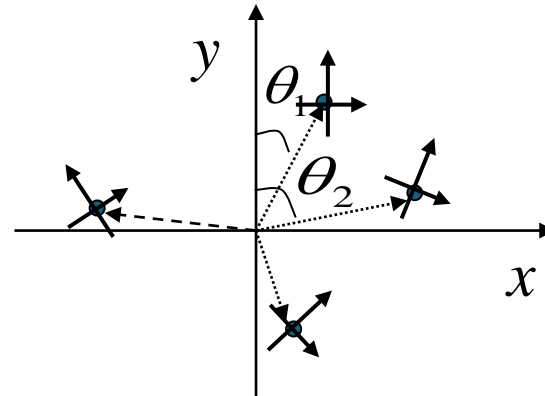
Gauge Symmetry

- **Global gauge symmetry:** a coordinate transformation independent of (x, t) that leaves the system unchanged. E.g. rotating the angle coordinates of all particles

$$\theta_i \rightarrow \theta_i + \theta_o$$

↑
constant, same for all particles anywhere

$$\Delta\theta_{ij} \equiv \theta_i - \theta_j \text{ independent of } \theta_o$$



Can we make the symmetry local $\theta_o(x)$?

The choice of coordinate systems should not affect the physics!

- Free particle Schrödinger Equation:

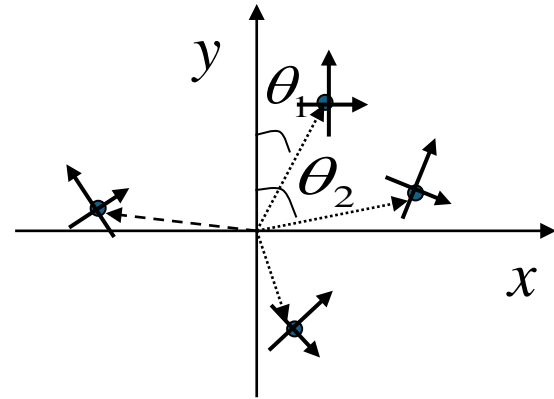
$$i\hbar\partial_t\psi = -\frac{\hbar^2}{2m}\nabla^2\psi \quad \psi = |\psi|e^{i\theta}$$

Global U(1) symmetry: $\theta \rightarrow \theta + \theta_o$ physics unchanged

Schrödinger Equation is global U(1) invariant.

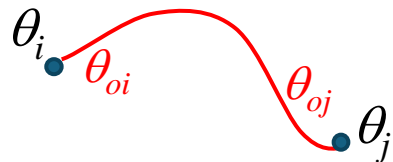
Local Gauge Symmetry

- Can we make the symmetry **local**?
- $\theta_o(x, t)$ or $\theta_{oi}(t)$?



Yes, the choice of coordinate systems should not affect the physics!

- Yes, as long as each particle also carries $\theta_{oi}(t)$ and **let others know**: imagine putting in a string between each pair of particles carrying the information $\theta_{oi}(t)$ and $\theta_{oj}(t)$.



$$\Delta\theta_{ij} \equiv \theta_i - \theta_j + \underbrace{\theta_{oi} - \theta_{oj}}_{\nabla\theta}$$

Symmetry: physics independent of coordinate systems

→ Interaction: keep track of differences

An analogy with global time



Why not?

Political Map of the World, April 2005
(With Top Level Domains)

AUSTRALIA Independent state
Bermuda Dependency or area of special sovereignty
Sicily / AZORES Island / island group
★ Capital
.de Top Level Domain

Scale 1:35,000,000
Robinson Projection
standard parallels 38 deg N and 38 deg S

Each location can freely choose $\theta(x)$
its local time, generally different
from the time in other locations

Each location may even
change the definition of time
(e.g. summer/winter time)

$$\theta(x, t)$$

Need to communicate the
time difference for travelers
etc. $\nabla\theta = \text{a } U(1) \text{ 'rotation'}$

This Photo by Unknown Author is licensed under CC BY-NC

This Photo by Unknown Author is licensed under CC BY-SA

This Photo by Unknown Author is licensed under CC BY-SA

U(1) symmetry

April 2005
This map is a reproduction of the map published by the CIA World Factbook in 2005. It is not intended to be used for any purpose other than for general information. The map is not to be used for any purpose other than for general information. The map is not to be used for any purpose other than for general information.

An analogy with colors



$$\begin{pmatrix} r \\ g \\ b \end{pmatrix}$$

Each person can freely choose his/her color scheme, generally different from those for others

$$\begin{pmatrix} r \\ g \\ b \end{pmatrix}$$



Each person may even change the color scheme over time

Need to communicate the color differences

~ rotation matrix λ_{ij} between any two persons

$$\begin{pmatrix} r \\ g \\ b \end{pmatrix}$$



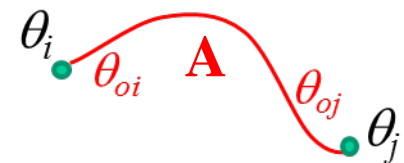
$$\begin{pmatrix} r \\ g \\ b \end{pmatrix}$$



SU(3) symmetry

An Analogy with Exchange Rates (after Prof. Kenneth Young)

- Many different ‘dollars’: RMB, HK\$, US\$, ...
- Locally, usually only one is used. Numerical values set by convention only ($\sim \theta_o$)
- Global gauge symmetry: change all denominations by a common factor (e.g. x2) \rightarrow nothing is changed.
- Local gauge symmetry: change each ‘dollar’ arbitrarily by **different** factors **locally** e.g. change 1 HK\$ to 2 old HK\$, 1 US\$ to 0.5 old US\$, ... (\sim local gauge transformation)
- Must have exchange mechanisms to restore invariance: the **exchange rate ($\sim \mathbf{A}$)** should change accordingly. E.g. 1US\$ = 7.8HK\$ \rightarrow 1US\$=31.2HK\$ (Gauge transformation of \mathbf{A})
- Exchange rate \sim gauge field. Properties of \mathbf{A} determined by local gauge transformations.
- \mathbf{A} in general carries 2 information (θ_{oi}, θ_{oj})



K. Young, Am. J. Phys. **67**, 862 (1999).

Local Gauge Symmetry

$\psi =$ coordinate wave function $= |\psi|e^{i\theta}$

Local U(1) Gauge Symmetry: $\theta \rightarrow \theta + \phi(x)$
 $\psi \rightarrow \psi' = \psi e^{i\phi(x)}$

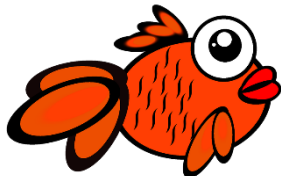
Physics should not depend on the arbitrary phase $\phi(x)$.

→ local transformation

Free Schrödinger Eq.: $i\hbar\partial_t\psi = -(\hbar^2/2m)\nabla^2\psi$

$\Rightarrow i\hbar\partial_t\psi' = -(\hbar^2/2m)[\nabla - i\nabla\phi]^2\psi'$

Free Schrödinger Equation **violates** local U(1) gauge transformation!



Free Schrödinger Equation

or

local U(1) invariance



This Photo by Unknown Author is licensed under [CC BY-SA-NC](https://creativecommons.org/licenses/by-sa/4.0/)

Gauge Transformation and Minimal Coupling rule

$$\mathbf{B} = \nabla \times \mathbf{A},$$

$$\text{Gauge symmetry of } \mathbf{A}: \mathbf{A}' = \mathbf{A} + \nabla \Lambda$$

$\Lambda(\mathbf{r}, t) = \text{arbitrary function}$

$$\mathbf{B} \text{ independent of } \Lambda :: \nabla \times \nabla \Lambda = 0$$

$$\mathbf{p} = -i\nabla \quad (\text{Quantization rule, } \hbar = 1)$$

$$\psi \rightarrow \psi' = \psi e^{i\phi(x)} \quad (\text{local } U(1) \text{ transformation})$$

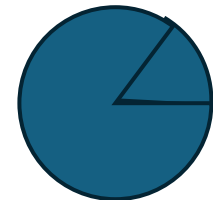
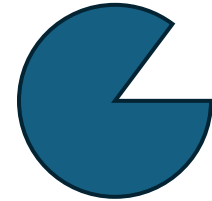
$$\mathbf{p}\psi = -i\nabla\psi \rightarrow -i\nabla[\psi e^{i\phi(x)}] = [\mathbf{p} + \nabla\phi(x)]\psi e^{i\phi(x)}$$

Bad term

Gauge transformations of \mathbf{A} and \mathbf{p} cancel each other if
 $\mathbf{p} \rightarrow \mathbf{p} - q\mathbf{A}$ and $q\Lambda = \phi!$

Minimal Coupling rule

→ Lorentz force (correct EM interaction!)



Rescuring Schrödinger Equation

Coupling the particle to \mathbf{A} ($\mathbf{p} \rightarrow \mathbf{p} - q\mathbf{A}$) restores local U(1) symmetry!

$$i\hbar\partial_t\psi' = -(\hbar^2/2m)[\nabla + (i/\hbar c)q\mathbf{A}]^2\psi'$$

is local U(1) invariant!

Local U(1) symmetry \rightarrow EM interaction

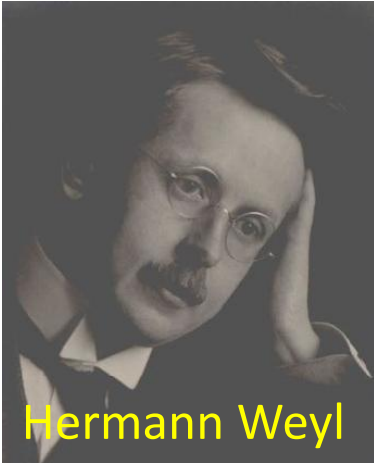
'Phase' = 2x1 matrix (spinor): SU(2) \rightarrow Yang-Mills, weak force

'Phase' = 3x1 matrix (color): SU(3) \rightarrow strong force

All fundamental interactions are believed to be generated by gauge theories.

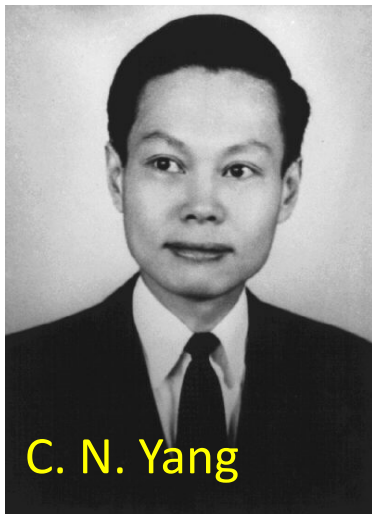
Symmetry \rightarrow Dynamics: Gauge theories

Gauge Theories - history



Change of scale (gauge) a local symmetry of General Relativity?

Change of phase for wavefunction \rightarrow EM U(1) gauge theory (Fock, Weyl, London), 1926



Yang & Mills: Non-abelian SU(2) gauge theory 1954

Gauge fields could be massive

But: the mass term of gauge field would violate gauge symmetry. W, Z are massive!

Englert–Brout–Higgs–Guralnik–Hagen–Kibble mechanism



Brout



Englert



Higgs



Guralnik



Hagen



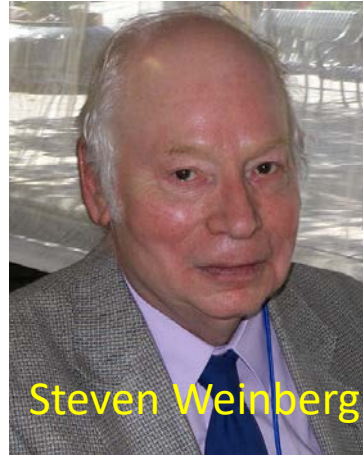
Kibble

Gauge Theories - history

1967: Glashow, Salam, Weinberg: electroweak unification
 $SU(2) \times U(1)$ gauge theory



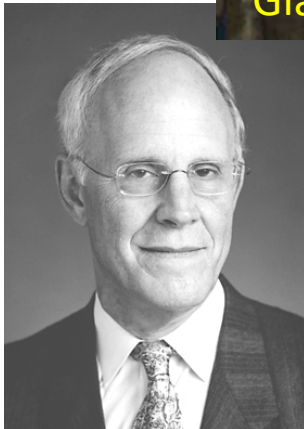
Sheldon
Glashow



Steven Weinberg



Abdus Salam



David Gross

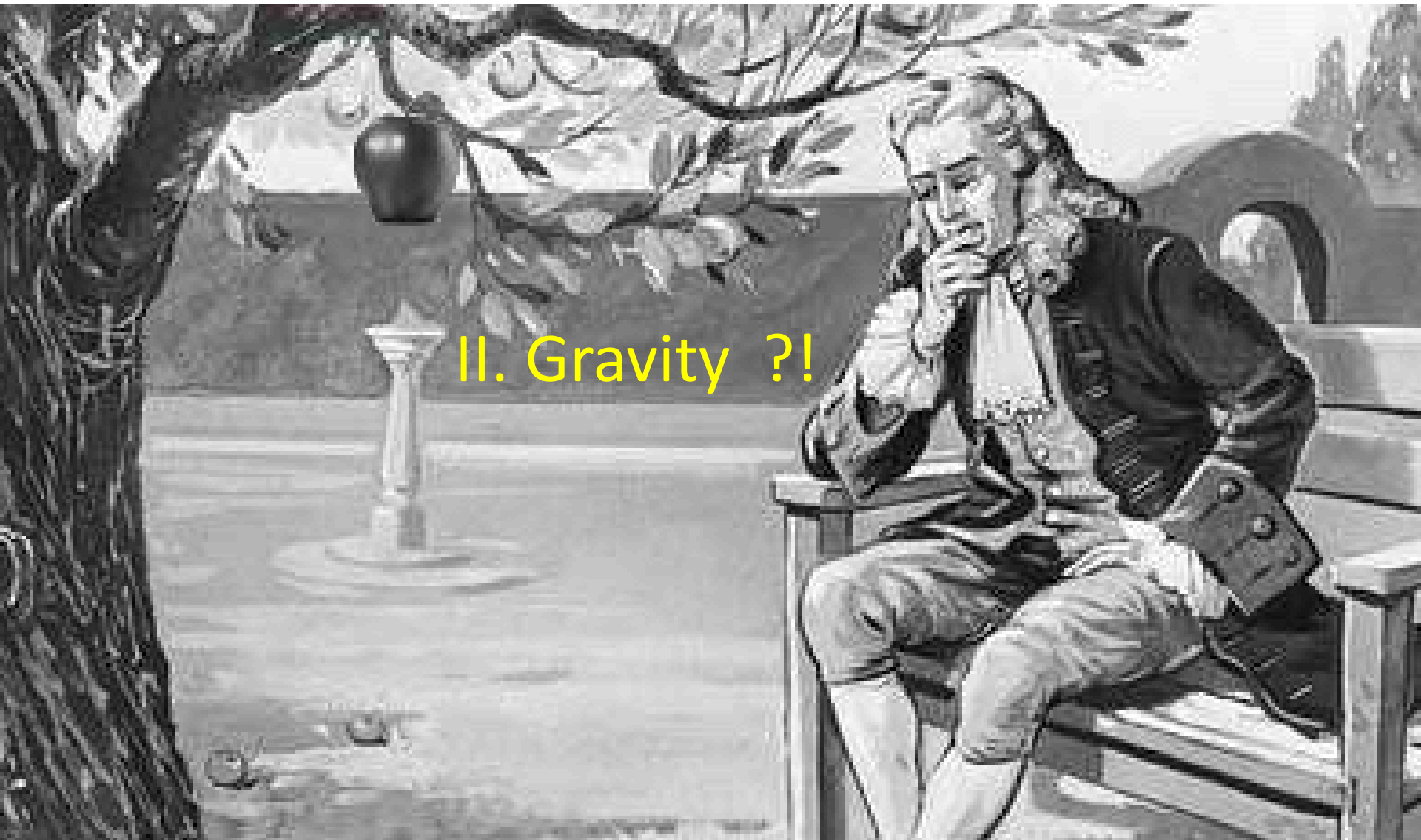


David Politzer



Frank Wilzek

1973: Discovery of asymptotic freedom in strong interaction
 $SU(3)$ gauge theory – needed to make field theory consistent,
but only for gauge theories!



II. Gravity ?!

Problems with Newtonian theory

- Mysterious invisible forces
- Instantaneous propagation: $F = GMm/r^2$
- Cannot be expressed in absolute terms



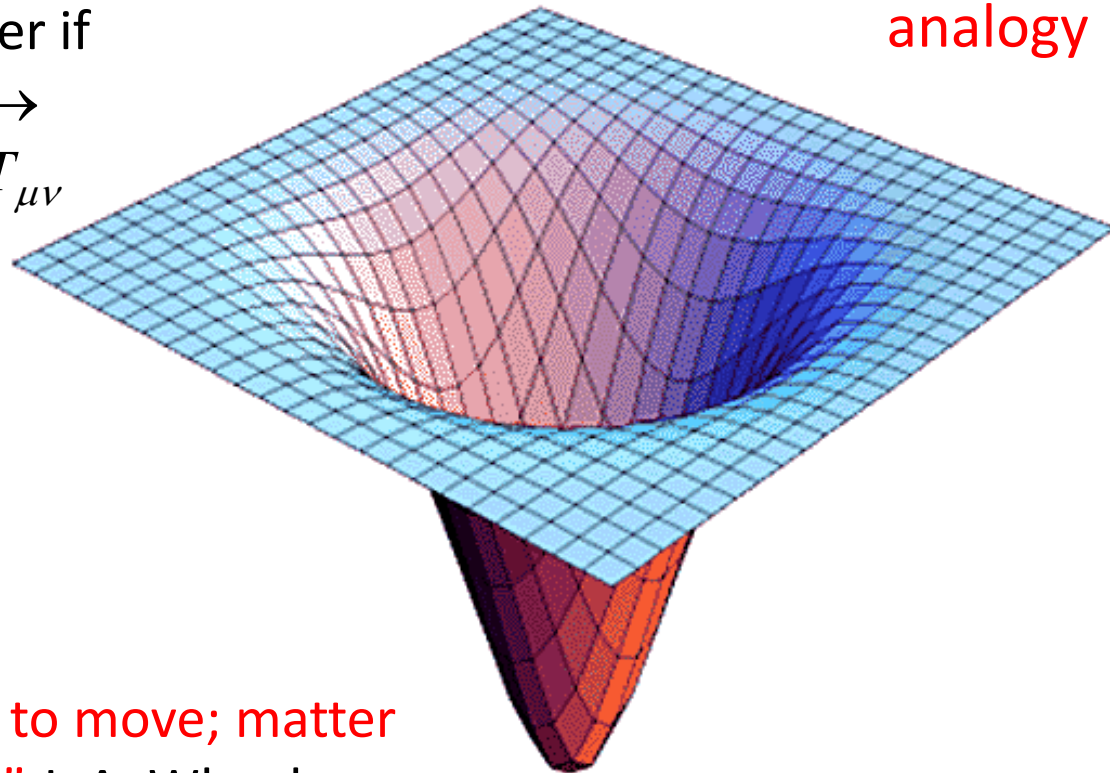
How to make gravity consistent with special relativity ?

Mass-energy curves space and time

<https://www.youtube.com/watch?v=wrwgljBUYVc>

- Matter curves space-time
- Space-time curvature is larger if there's more matter/energy → Einstein Equation $G_{\mu\nu} = 8\pi GT_{\mu\nu}$
- Trajectory of an object = **geodesic**

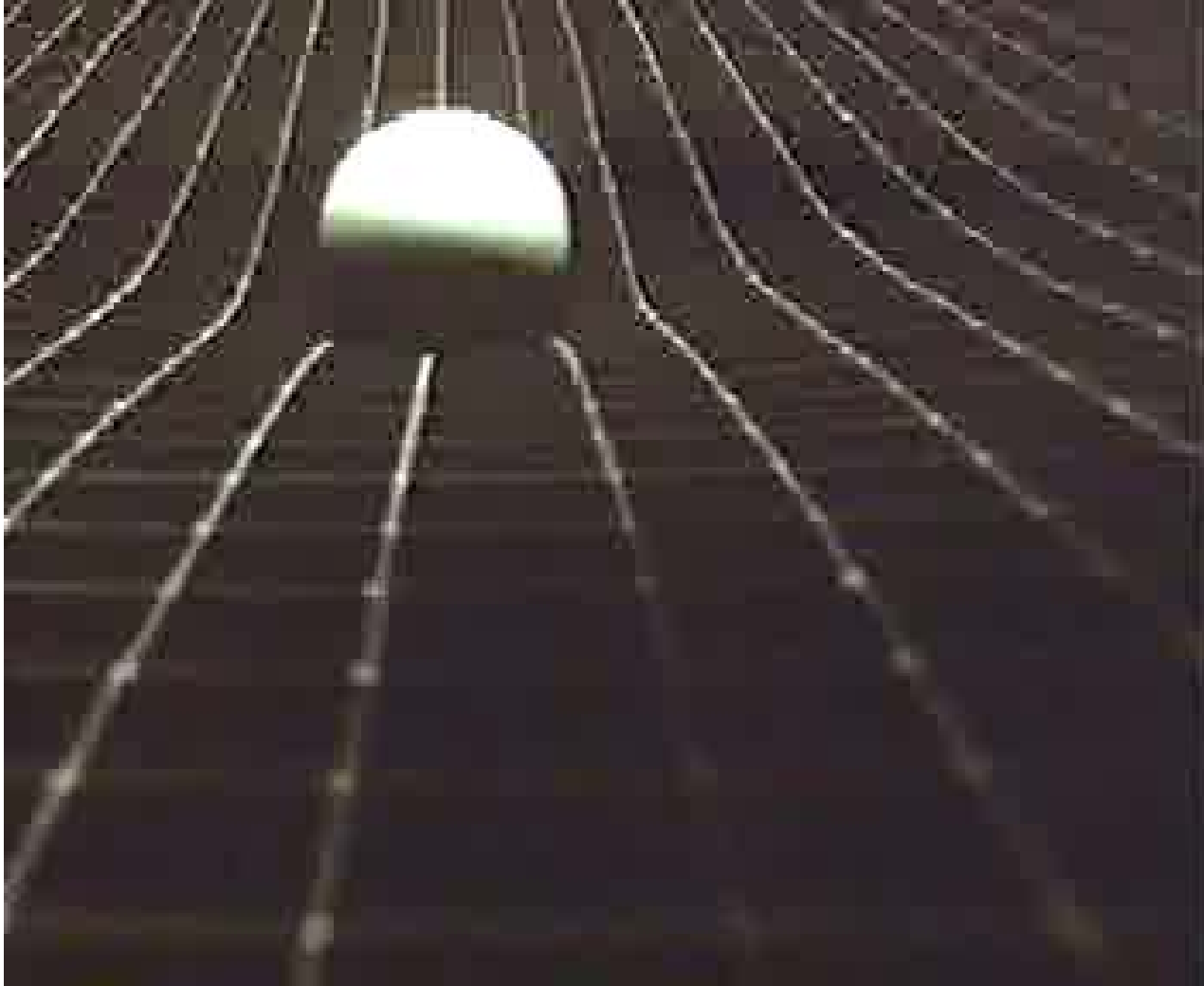
2-d
analogy



mass

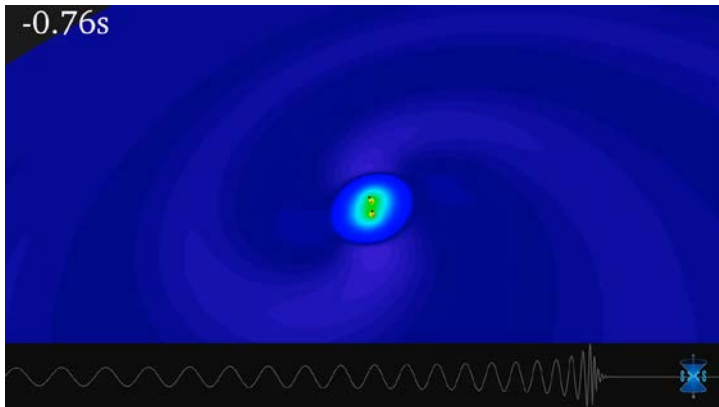
"Spacetime tells matter how to move; matter tells spacetime how to curve" J. A. Wheeler

Space-time curvature



Einstein's space-time

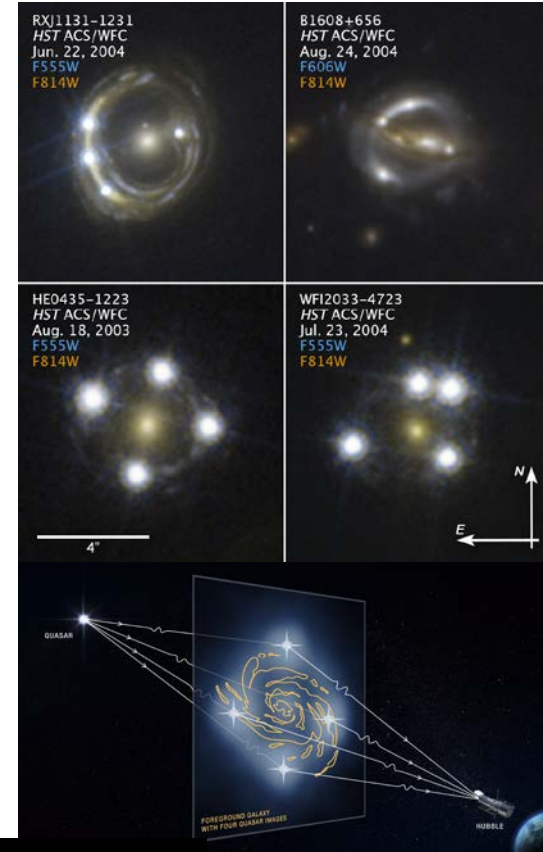
- Gravitational lens – small warping of space-time
- Gravitational waves – oscillations of space-time
- Expansion of the universe – dynamical space-time
- Black hole – interchanging space and time



Credit: LIGO

Credit: NASA

Credit:
NASA/STScI



Credit: NASA's Goddard Space
Flight Center/J. Schnittman and
B. Powell

<https://svs.gsfc.nasa.gov/14576>

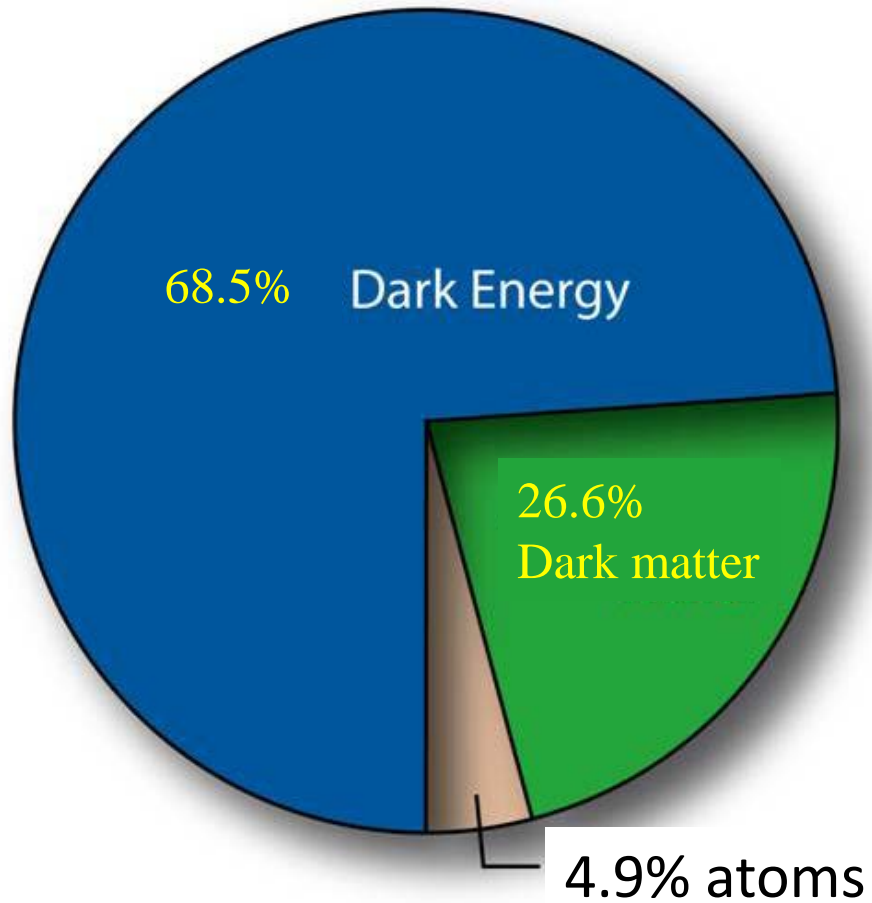
BUT ...

- Nobody knows how to quantize gravity
- GR is not based on gauge field theory
- Gravity is much weaker than other fundamental interactions $\sim 10^{-36}$ EM!
- Dark matter: unknown, invisible sources of gravity
- Dark energy: unknown, invisible source(s) of repulsive gravity

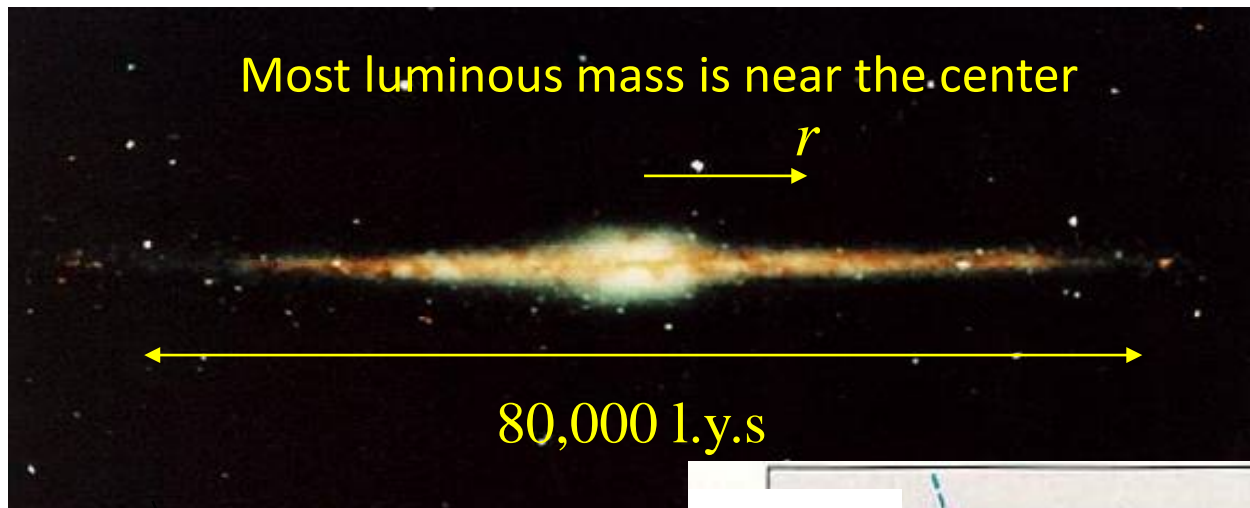


The gravity force of the entire Earth pulling on you is balanced by the EM force between a few atomic layer of Earth's surface and you

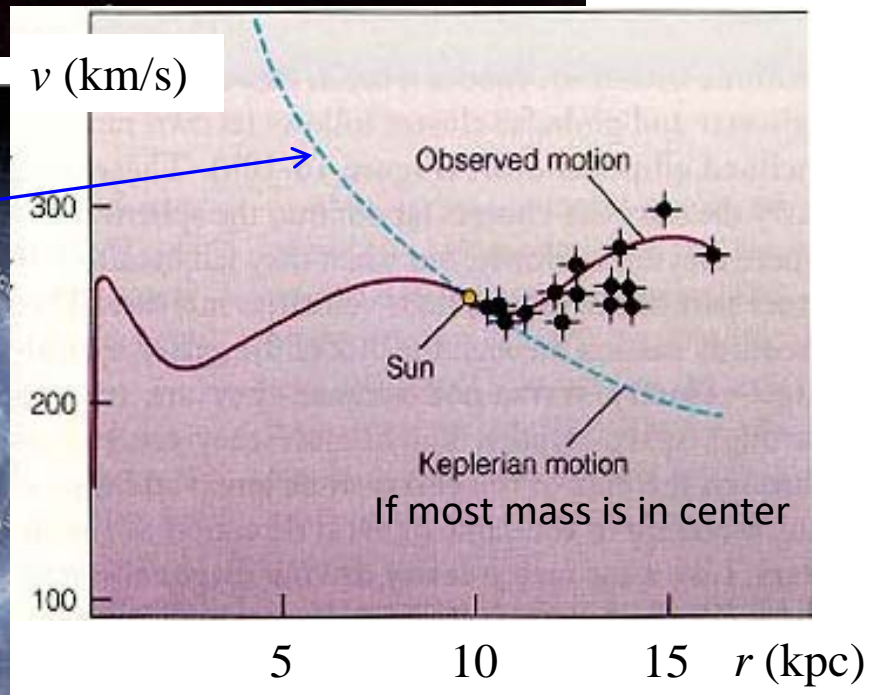
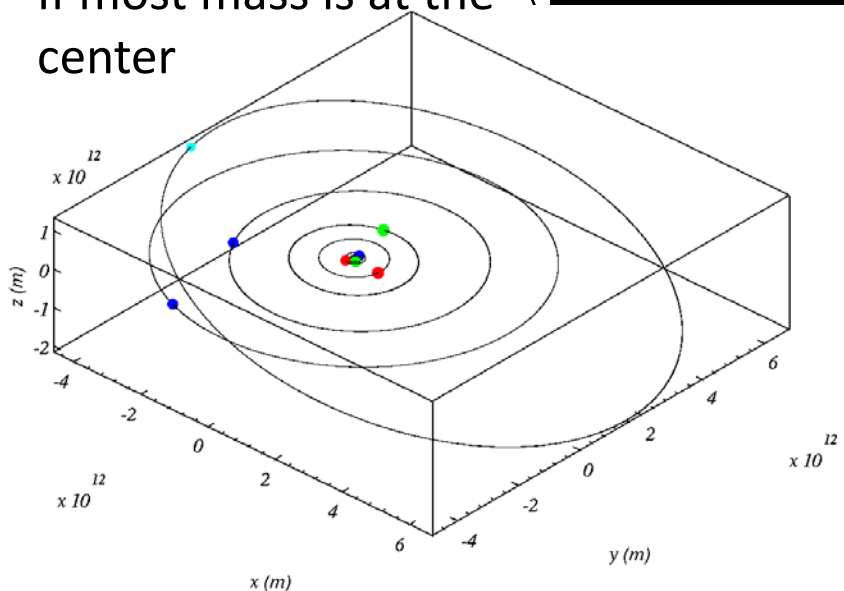
Dark Matter 暗物質



Self-rotation of Milky Way



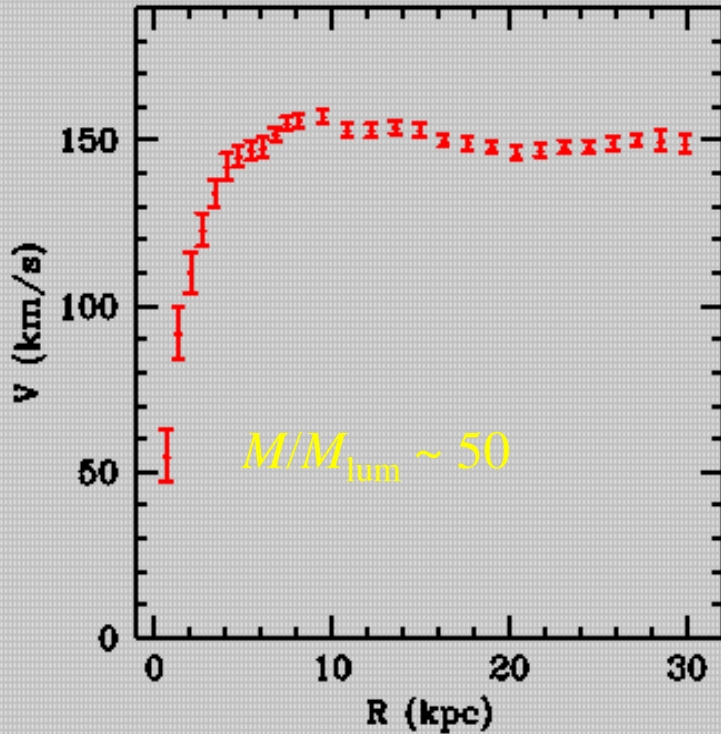
If most mass is at the center



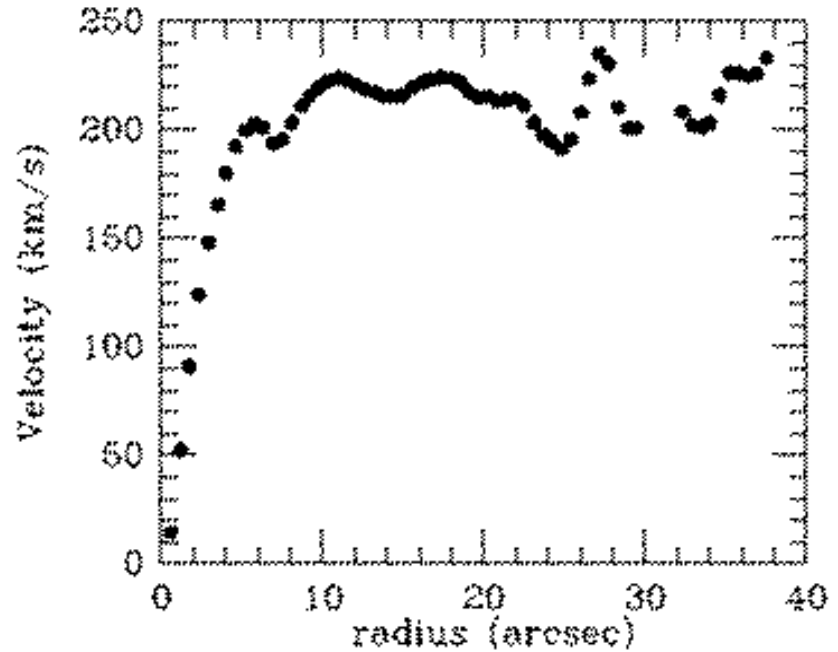
1 kpc ~ 3300 l.y.s

Deduce that Milky Way's mass extends to at least $3-6 \times 10^5$ l.y.s, but dark!

NGC3198 from Begeman 1989



UGC9242 from Vogt *et al.*



<http://astrosun2.astro.cornell.edu/academics/courses//astro201/rotcurve.htm>

circular motion:

For your reference only: $\frac{mv^2}{r} = \frac{GM(r)m}{r^2}$ $M(r)$ = total mass enclosed within r

$$\Rightarrow v(r) = \sqrt{\frac{GM(r)}{r}}$$

$v \rightarrow$ constant

$$\Rightarrow M(r) \propto r$$

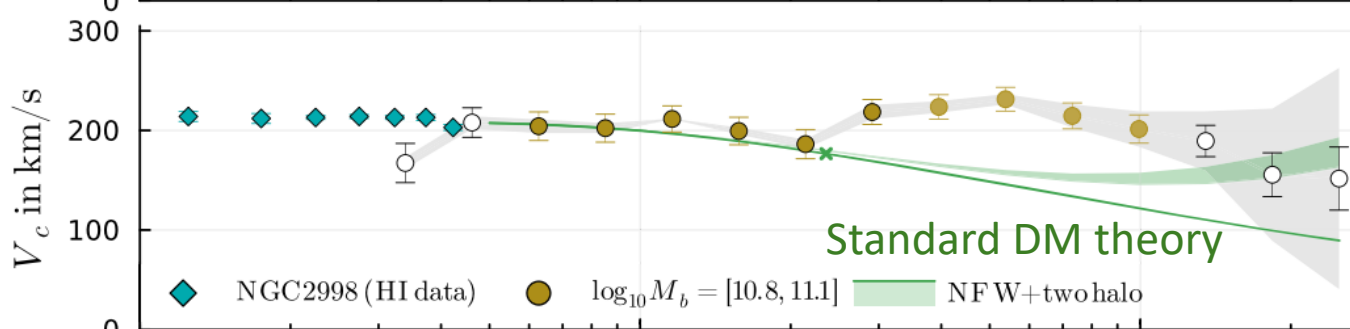
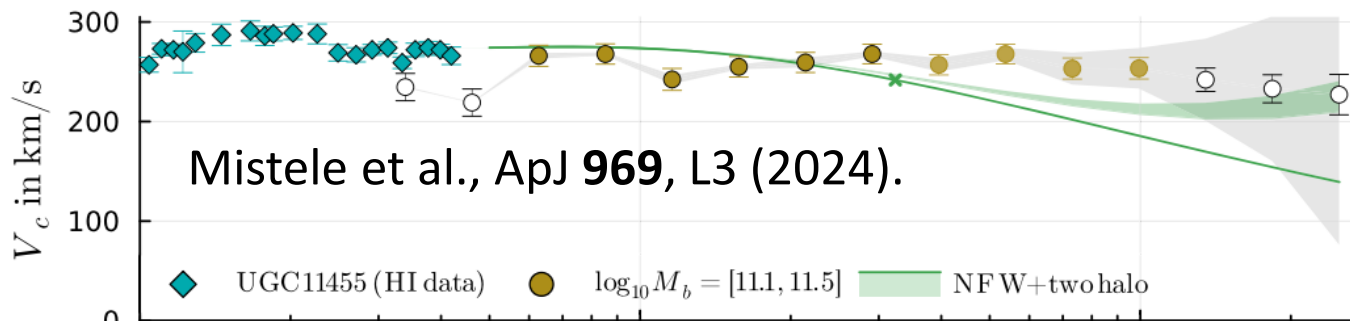
MOND:

$$F = m\mu(a/a_o)a$$

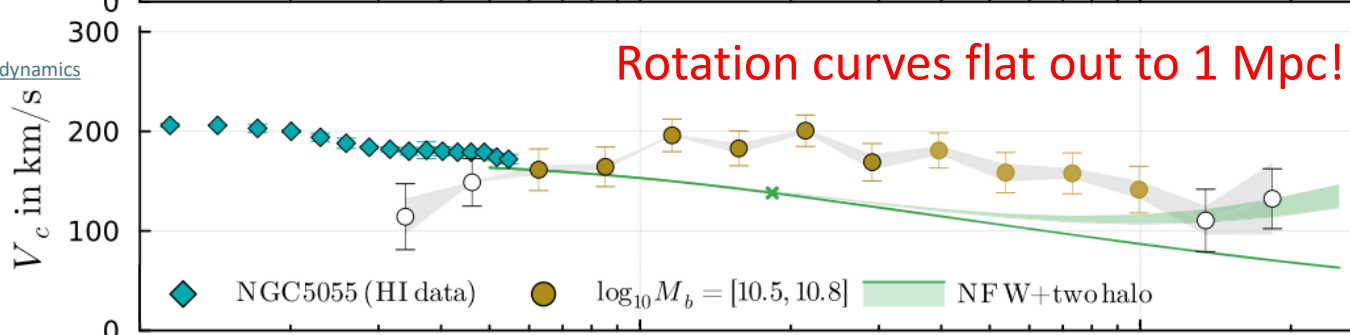
$$\mu(x) \rightarrow 1 \text{ if } a \gg a_o$$

$$\mu(x) \rightarrow x \text{ if } a \ll a_o$$

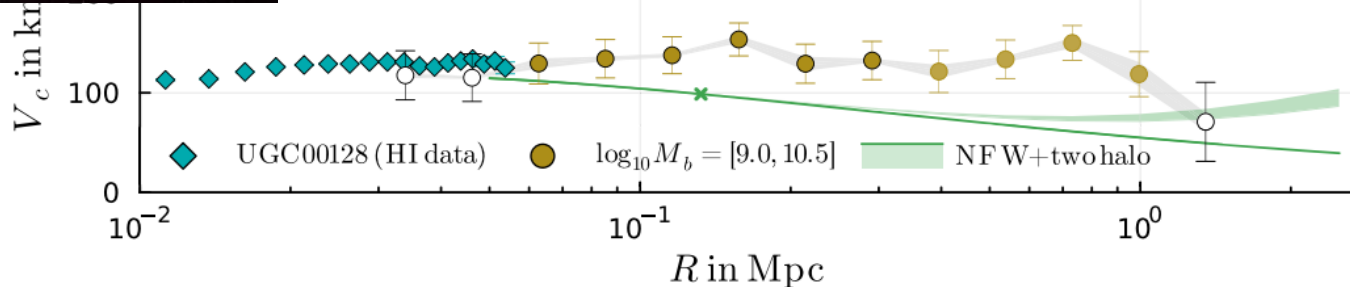
Mistele et al., ApJ 969, L3 (2024).



Rotation curves flat out to 1 Mpc!



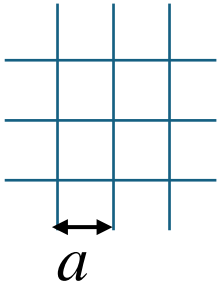
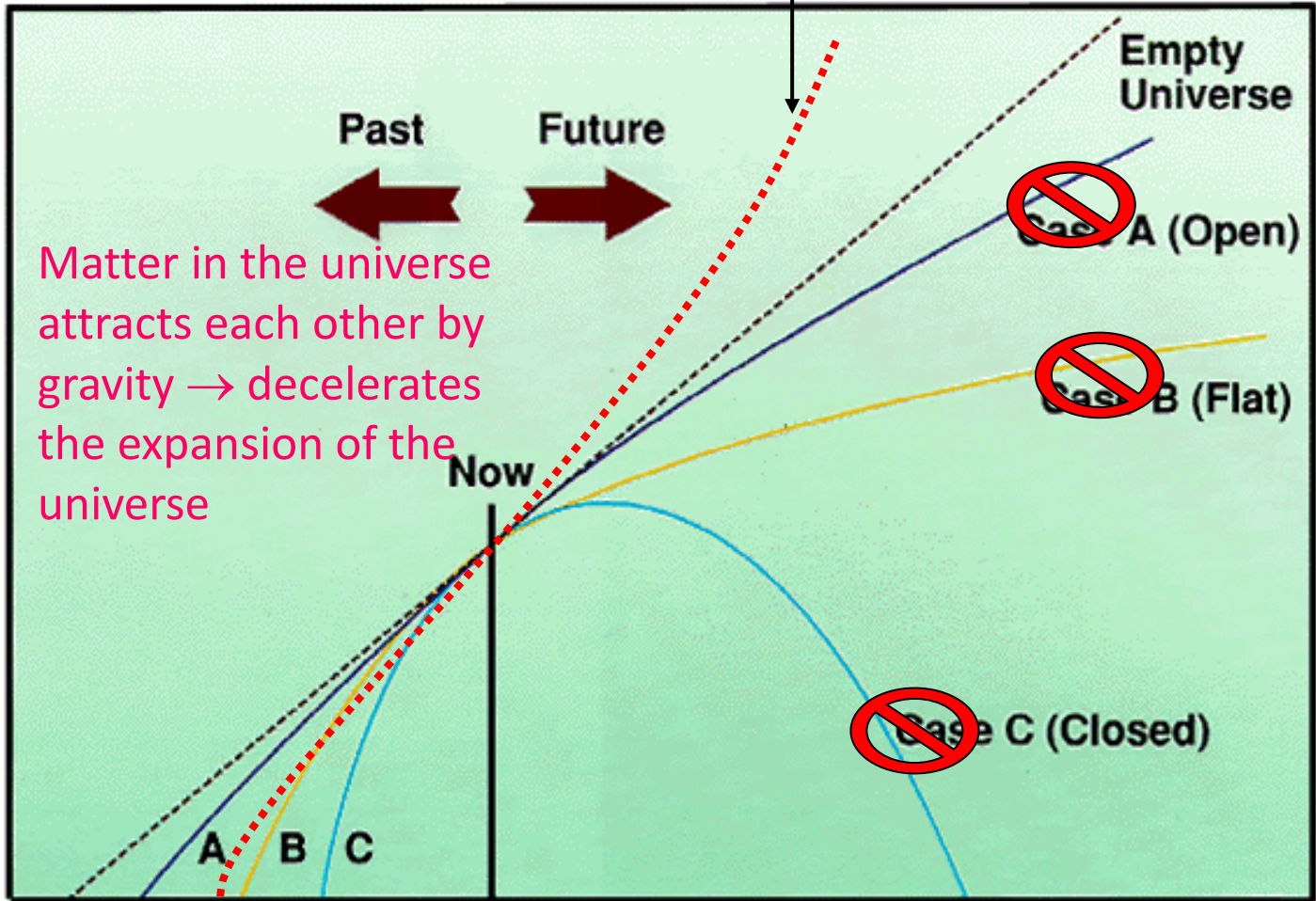
Size of Milky Way disk $\sim 10^{-2}$ Mpc



All wrong!

accelerating expansion

a
Size of the universe



Dark Energy

The image is a deep-field photograph from the Hubble Space Telescope, showing a vast field of galaxies. The galaxies are of various colors, including yellow, orange, red, and blue, and are scattered across a dark, star-filled background. The text is overlaid on the image in yellow and white.

Need a previously unknown repulsive force
(energy) to account for accelerating
expansion of the universe!

Zero-point energy? Wrong by 10^{-120} !

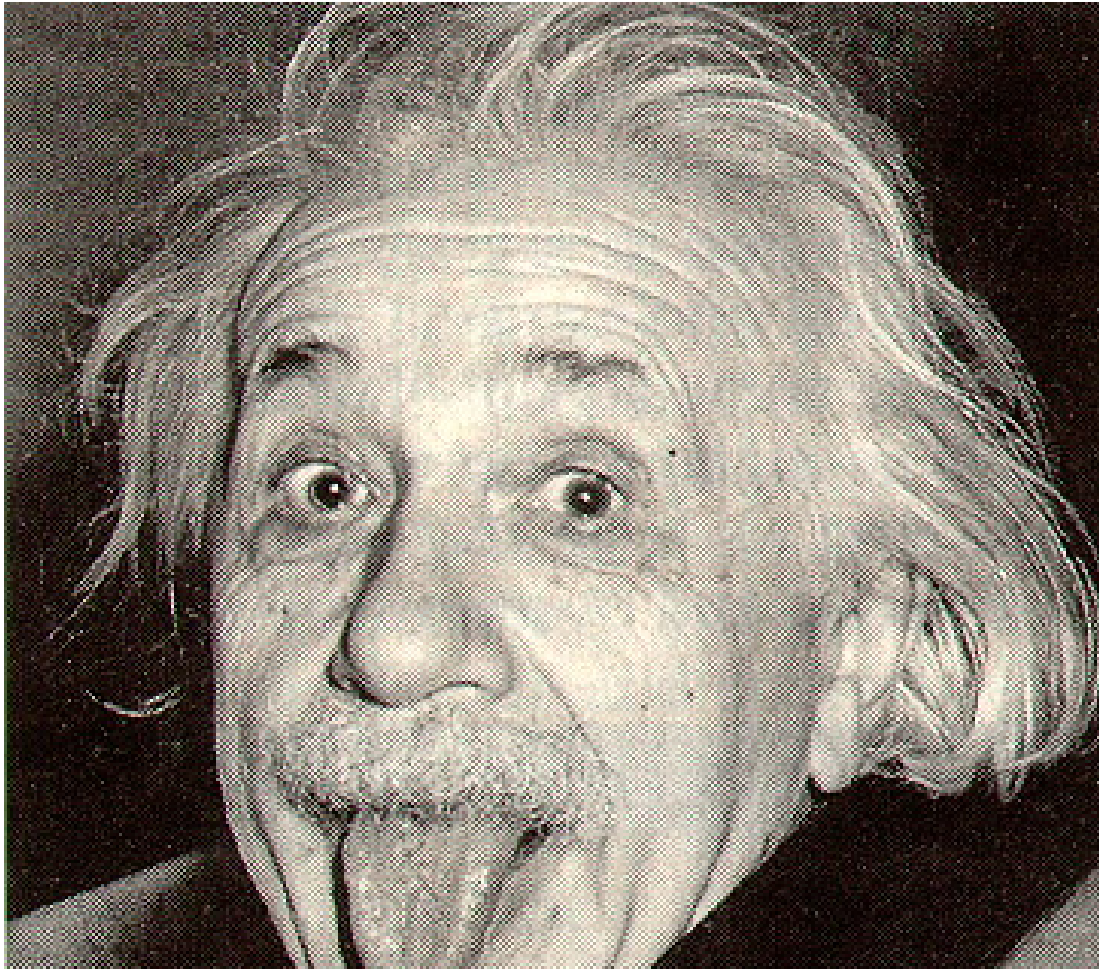
Biggest embarrassment in modern physics

Hubble Deep Field

HST · WFPC2

PRC96-01a · ST ScI OPO · January 15, 1996 · R. Williams (ST ScI), NASA

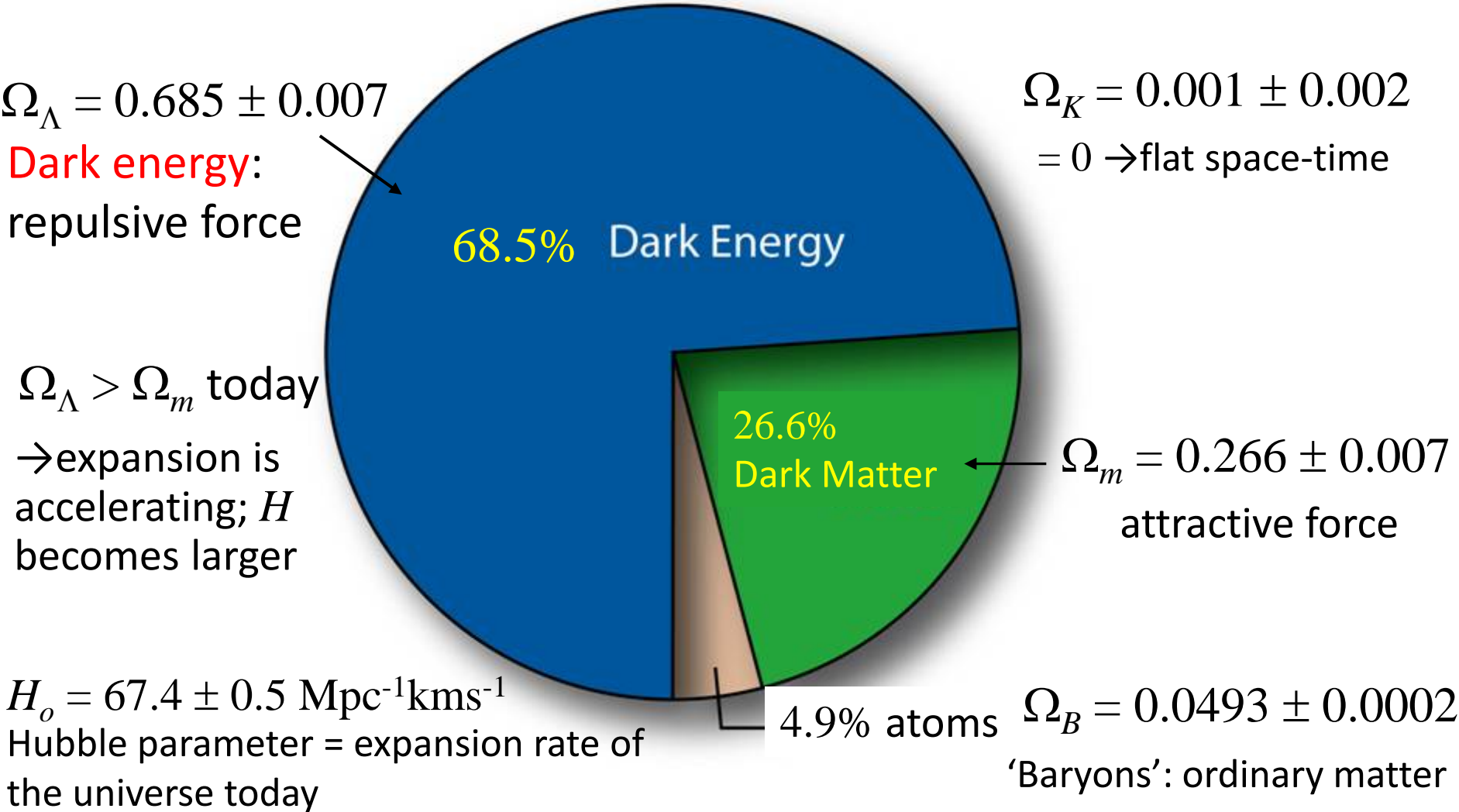
Einstein's 'mistake'



Einstein's cosmological constant does represent a vacuum repulsive force

His greatest blunder is probably thinking that he made the greatest blunder by introducing the cosmological constant.

Energy density of the universe



From Planck 2018 results

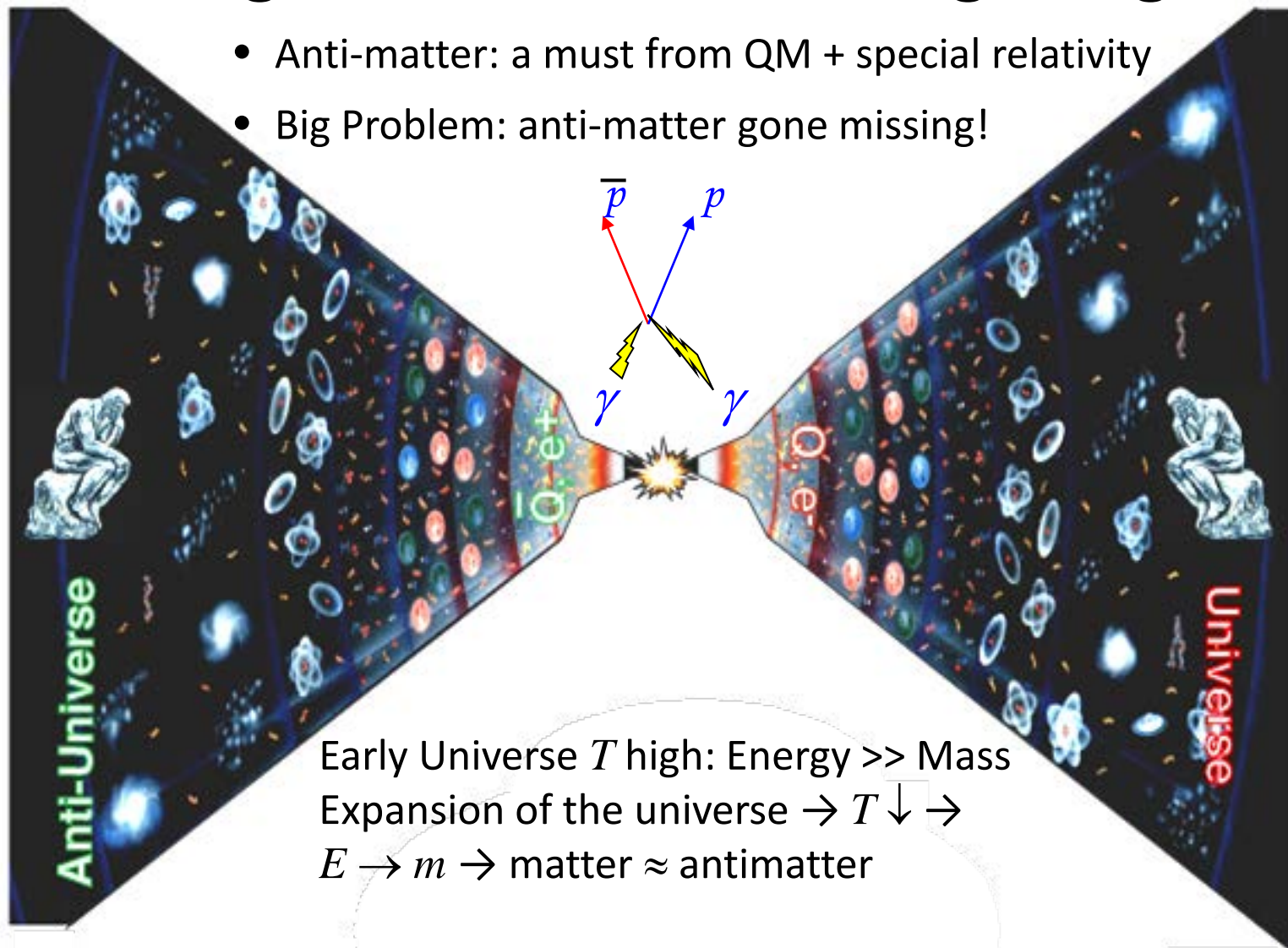
A visualization of the cosmic web, showing a complex network of filaments and voids. The filaments are colored in shades of purple, blue, green, and yellow, set against a dark background. The structure is highly interconnected and fractal-like, representing the large-scale structure of the universe.

III. Matter-antimatter asymmetry – why have we not been annihilated?

[This Photo](#) by Unknown Author is licensed under [CC BY-NC-ND](#)

Why have we not been annihilated? - a Big Problem with the Big Bang

- Anti-matter: a must from QM + special relativity
- Big Problem: anti-matter gone missing!



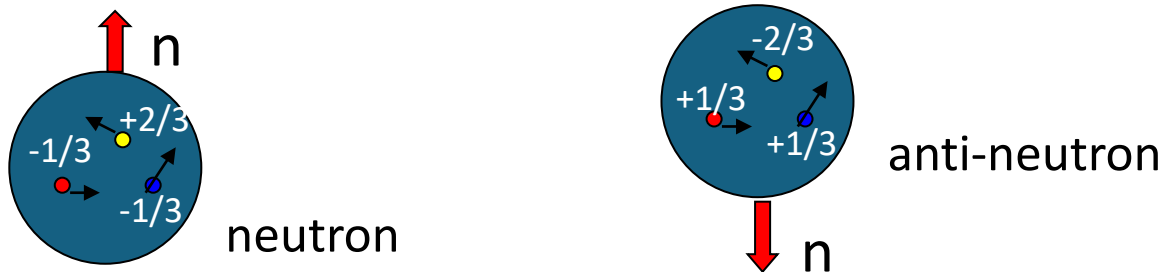
Early Universe T high: Energy \gg Mass
Expansion of the universe $\rightarrow T \downarrow \rightarrow$
 $E \rightarrow m \rightarrow$ matter \approx antimatter

Anti-particles

- Corresponding to each type of particles, there is an anti-particle
- Most properties (e.g. mass) of an anti-particle are the same as the corresponding particle, except that the electric charges are opposite.
- E.g.: electron: $-e$, positron = anti-particle of electron: $+e$.
- A neutron is made of quarks, which carry electric charges; an anti-neutron is made of anti-quarks.



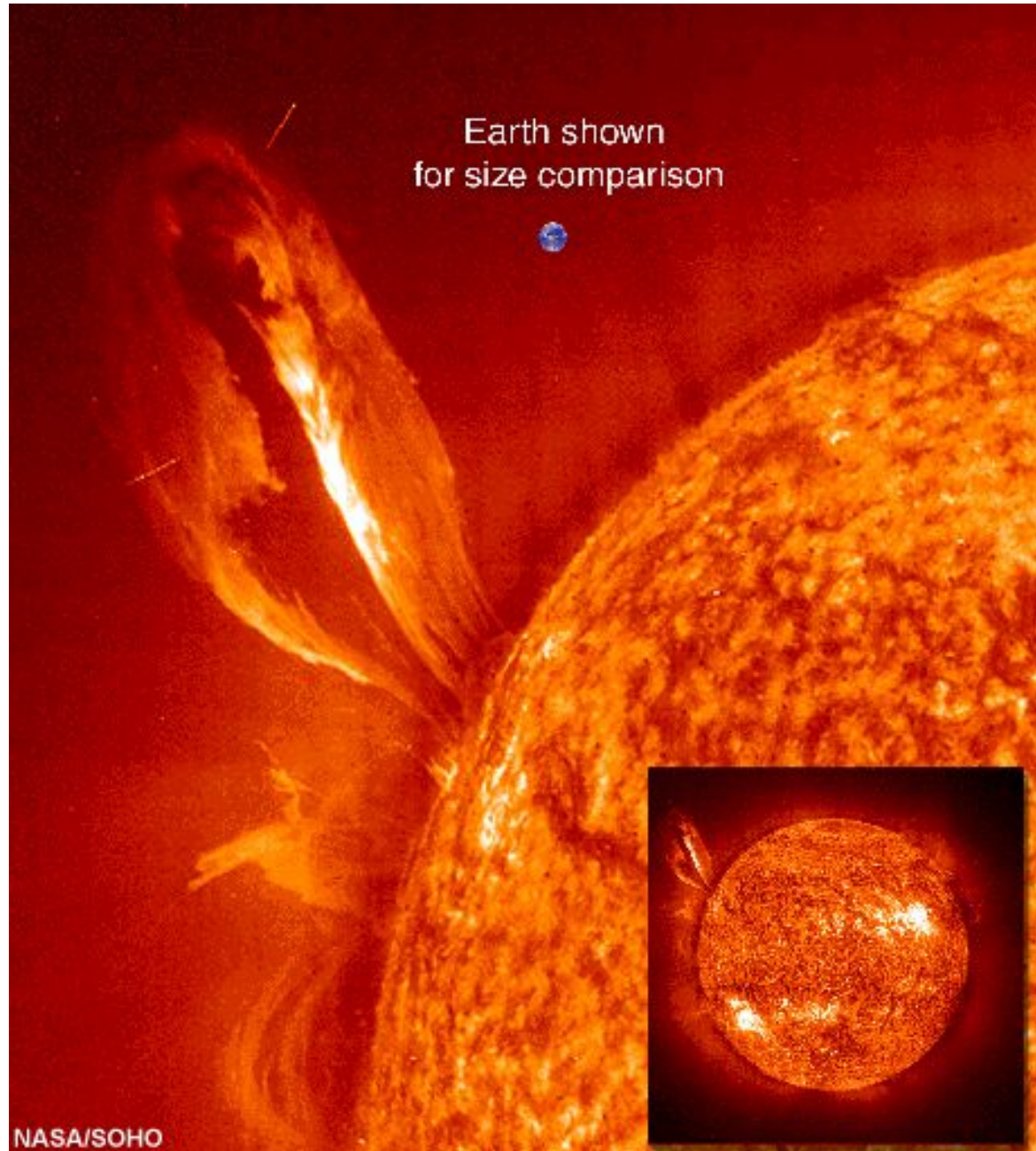
Nobel Prize 1933



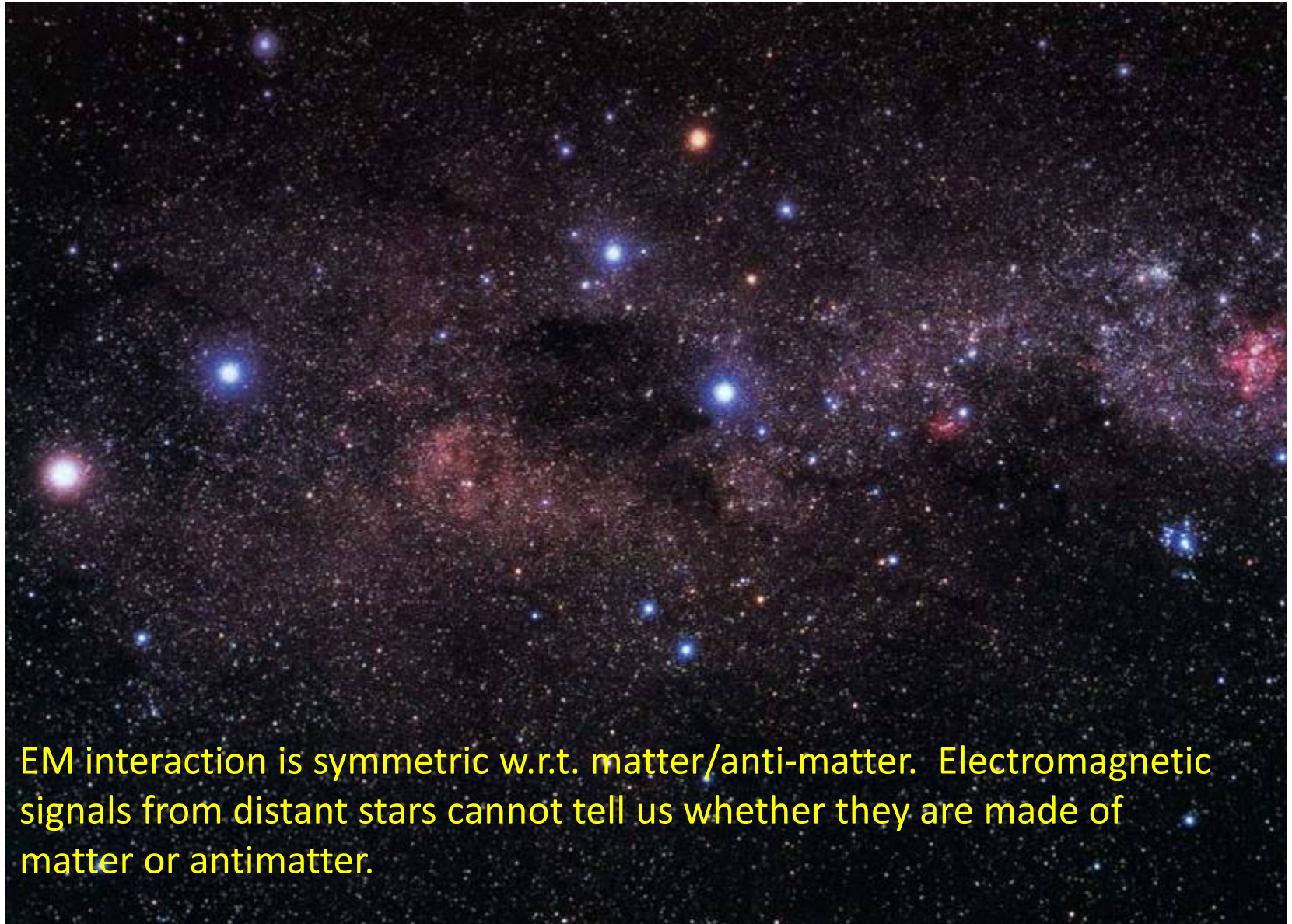
Matter dominates anti-matter

How do we know whether Sun is made of matter or anti-matter?

Solar wind is composed of mostly protons and electrons



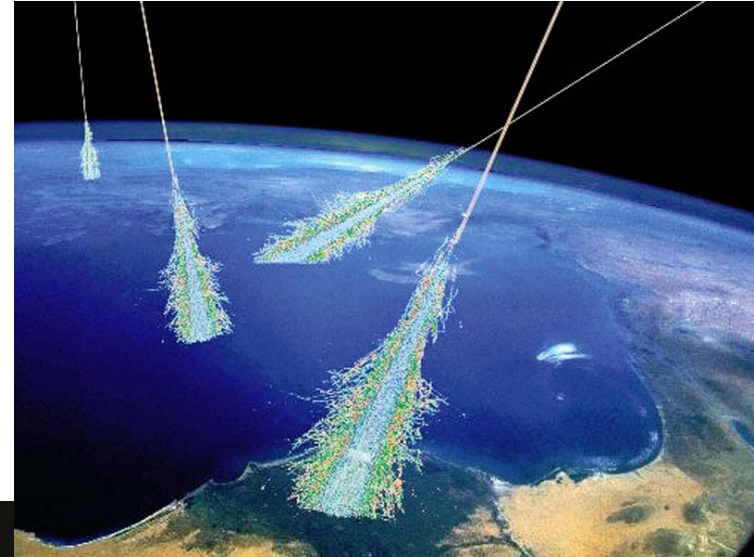
Where are the anti-matter?



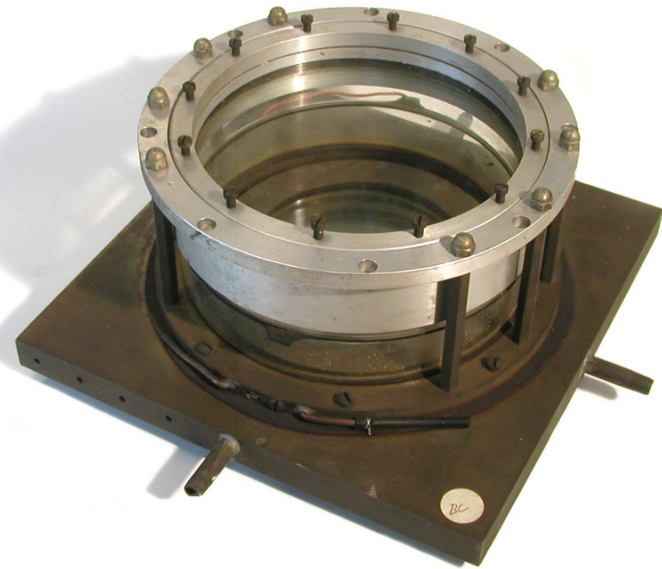
EM interaction is symmetric w.r.t. matter/anti-matter. Electromagnetic signals from distant stars cannot tell us whether they are made of matter or antimatter.

Cosmic Rays

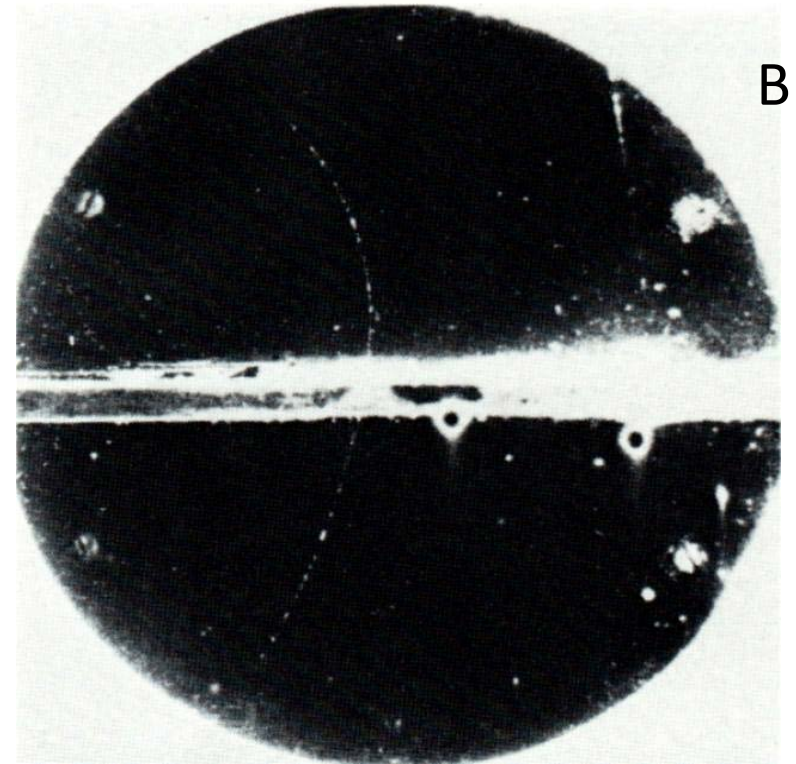
- Cosmic rays = subatomic particles from outside of Solar System
- Most are believed to be generated by dying stars (supernovae)



Discovery of anti-particles

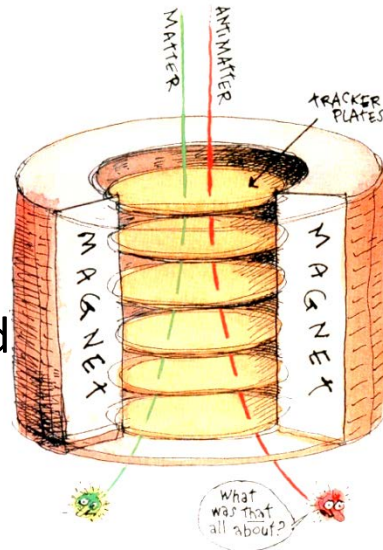


Carl Anderson



Anderson's cloud chamber

A charged particle (charge q , mass m) moving in a magnetic field bends with a radius proportional to q/m , with direction related to the sign of its charge



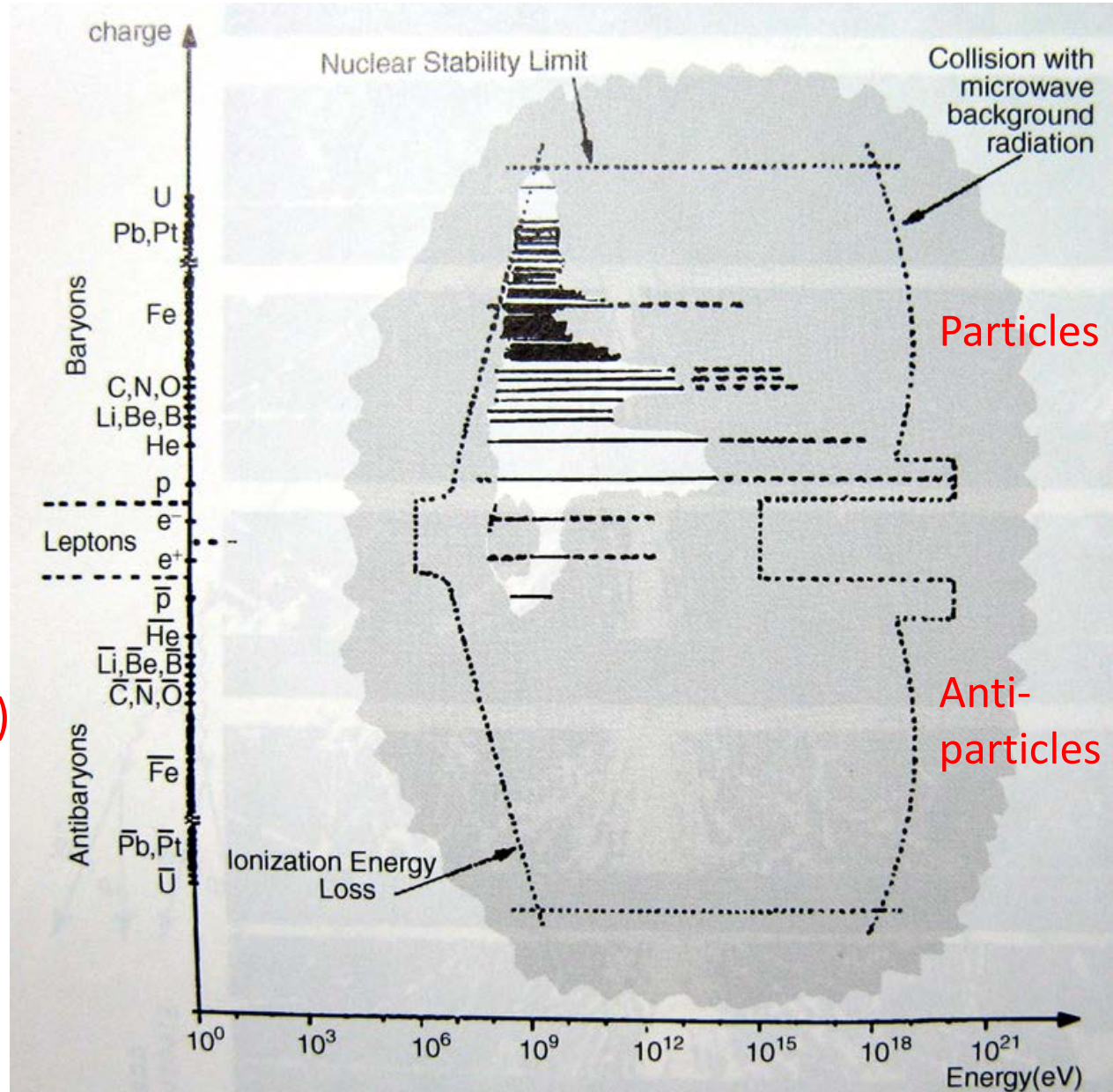
Carl Anderson discovered a particle with the same mass but opposite charge as the electron (1932) = **positron** 反電子

Composition of cosmic rays

Cosmic rays are made of mostly particles, very few anti-particles

There should be a 10^{-8} asymmetry in matter and anti-matter: CP Violation!
 100,000,001 (matter) – 100,000,000 (anti-matter)
 = our world
 Need a new source of CP violation!

R. Schlickeiser, *Cosmic Ray Astrophysics*, 2001



Source of CP Violation?

Standard Model of Elementary Particles

The least understood particles are:

	three generations of matter (fermions)			interactions / force carriers (bosons)	
	I	II	III		
mass	$\approx 2.2 \text{ MeV}/c^2$	$\approx 1.28 \text{ GeV}/c^2$	$\approx 173.1 \text{ GeV}/c^2$	0	$\approx 124.97 \text{ GeV}/c^2$
charge	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$	0	0
spin	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1	0
	u up	c charm	t top	g gluon	H higgs
	d down	s strange	b bottom	γ photon	
	e electron	μ muon	τ tau	Z Z boson	
	ν_e electron neutrino	ν_μ muon neutrino	ν_τ tau neutrino	W W boson	

We are working on this!

We worked on this (Daya Bay)!

Fundamental Physics

- Gauge theory: from symmetry to interaction
- Some open problems:
- Gravity – how to surpass Einstein?
- Matter-antimatter asymmetry – why have we not been annihilated?

Fundamental Physics

Ming-chung Chu

Department of Physics

The Chinese University of Hong Kong

CERN Teacher Programme (Hong Kong) 2024

Gauge fields

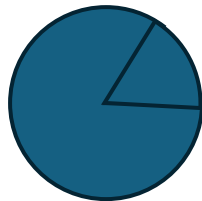


Free Schrödinger Equation:
violates U(1) symmetry
(\sim rotation symmetry)

Vector potential \mathbf{A}
Gauge field



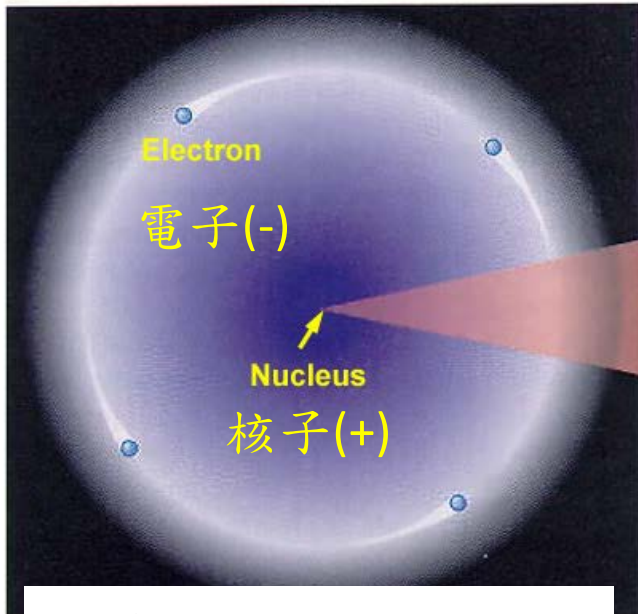
Missing piece, also
violates U(1) symmetry



Together restore U(1)
symmetry!

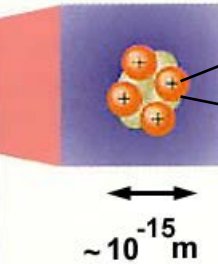
A charged (q) particle in EM field:
 $\mathbf{p} \rightarrow \mathbf{p} - q\mathbf{A}/c$ (minimal coupling rule)

atom



atomic nucleus

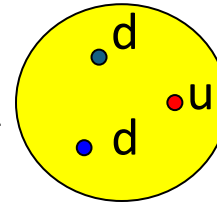
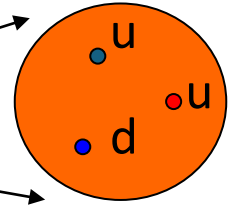
The nucleus takes up 99.95% of the mass



質子 proton (+)

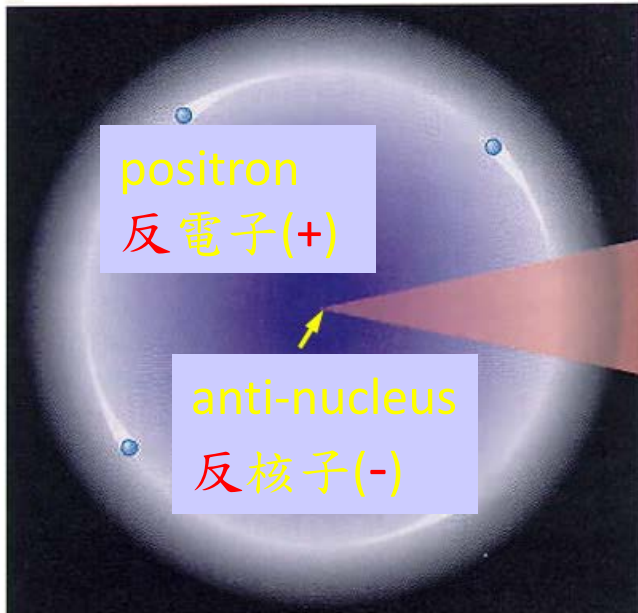
中子

neutron



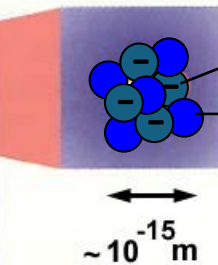
quark (夸克) $\begin{cases} u \ 2/3+ \\ d \ 1/3- \end{cases}$

anti-atom



anti-nucleus

The nucleus takes up 99.95% of the mass

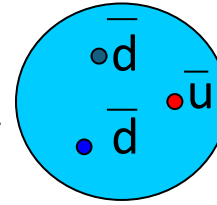
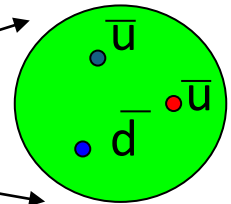


反質子 antiproton (-)

反中子

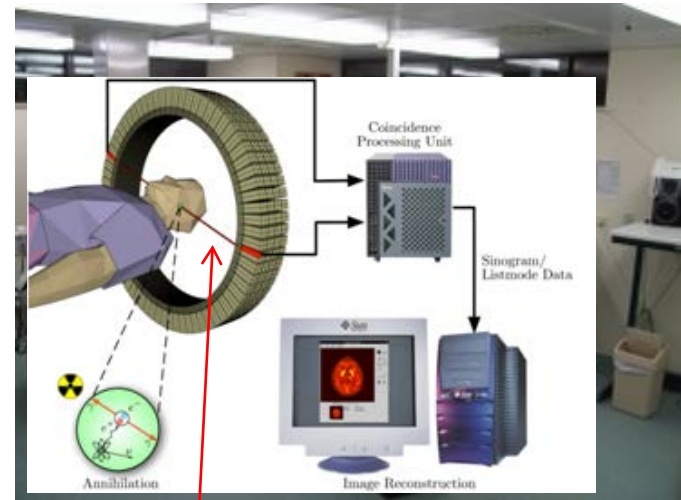
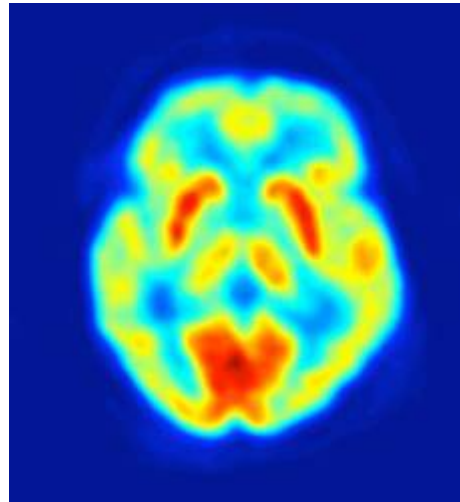
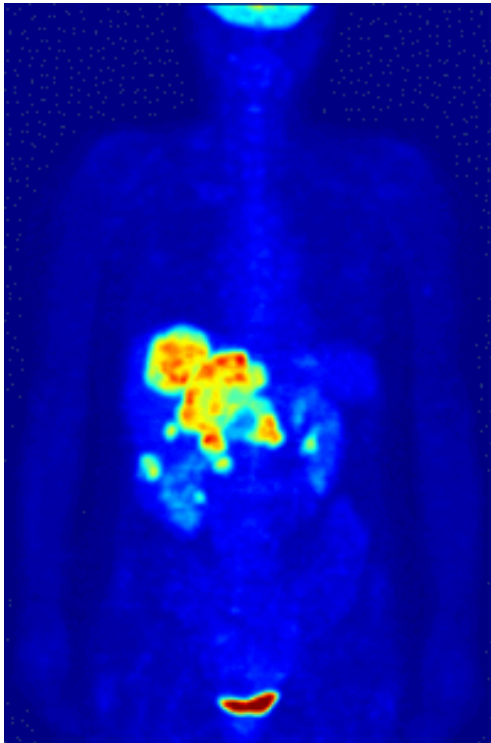
antineutro

n



anti-quark (反夸克) $\begin{cases} \bar{u} \ 2/3- \\ \bar{d} \ 1/3+ \end{cases}$

Positron Emission Tomography Scan



Drink liquid with radioactive isotopes that emit positrons → annihilate with electrons to give 2 511keV γ 's.

http://en.wikipedia.org/wiki/Positron_emission_tomography

<http://www.crump.ucla.edu/software/lpp/lpphome.html>

Dark energy = Casimir energy (zero-point energy)?

spherical conducting shell with radius R : $E_c = 3hc/128\pi R$

E.g. V. Nesterenko, I. G. Pirozhenko, ArXiv:hep-th/9707253v1

Casimir energy density = $\rho_C = 3E_c/4\pi R^3 = dhcR^{-4}$

d = dimensionless number ~ 0.01

R scales with $a(t) \Rightarrow \rho_C \propto a^{-4}$, \sim radiation, but different from cosmological constant ($\propto a^0$)!

Assume R = horizon $\sim c/H_o$, then $\rho_C \sim dhH_o^4 c^{-3}$

$\rho_C/\rho_\Lambda \sim d' hH_o^2 G/c^5$, d' = dimensionless order-1 number

\sim (Planck time/age of the universe) $^2 \sim 10^{-120}$

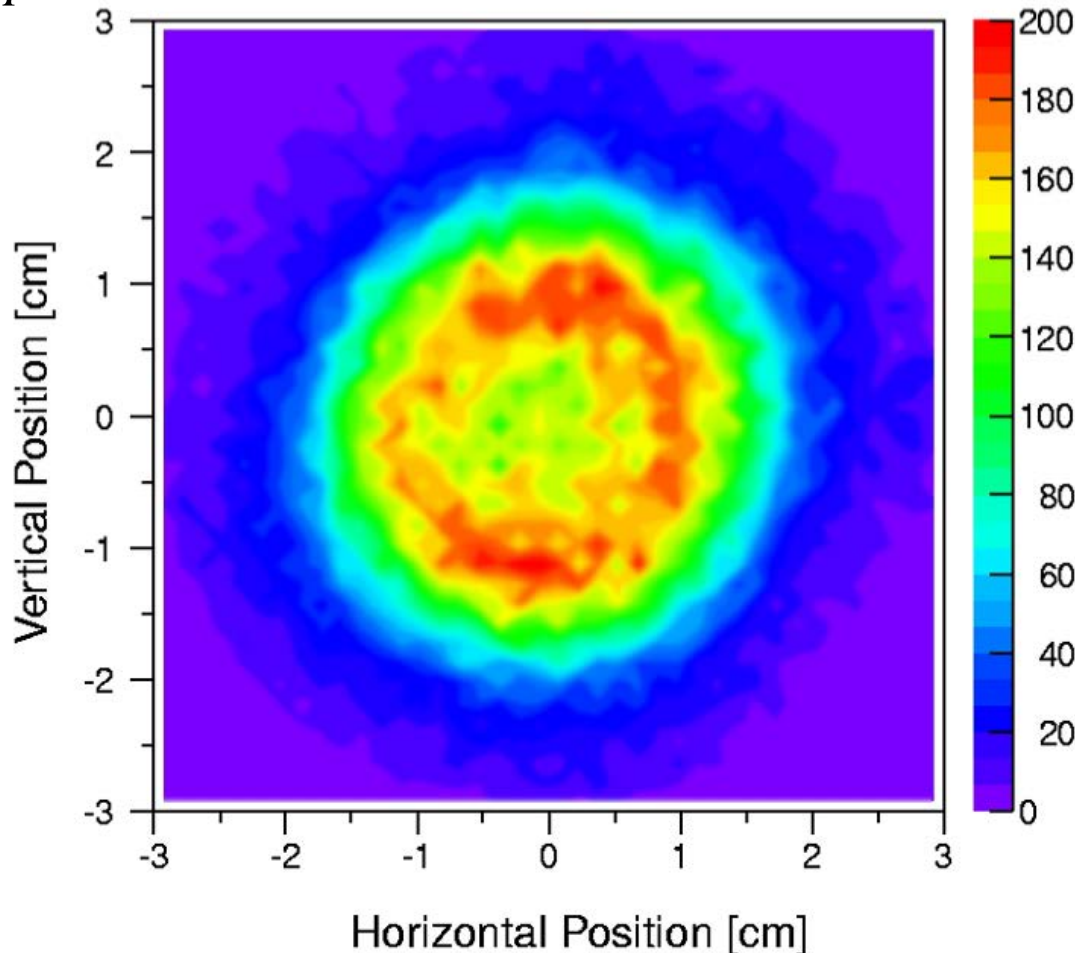
Biggest embarrassment in modern physics!

Particle-anti-particle annihilation (湮滅)

A particle and its anti-particle may **annihilate** each other, converting all their masses into energy/photons $E = mc^2$.

E.g.: $\bar{p} + p \rightarrow 2 \text{ GeV} \sim 3.2 \times 10^{-10} \text{ J}$.

H atom and
anti-H atom
annihilate to
emit photons
(gamma rays)



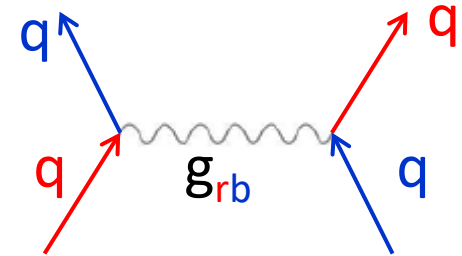
Credit: [Michael Martin Nieto](#), [Michael H. Holzscheiter](#), [Slava G. Turyshev](#)

Some interactions in particle physics

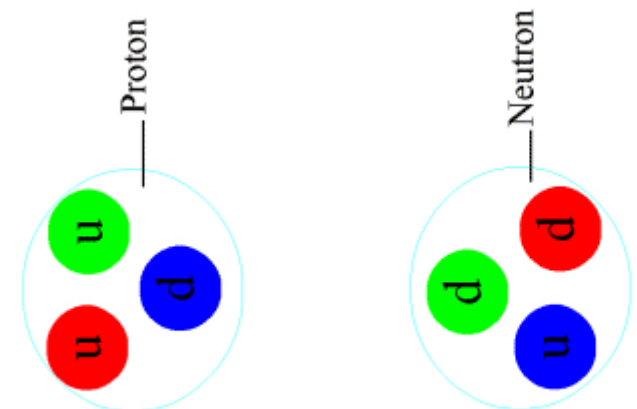
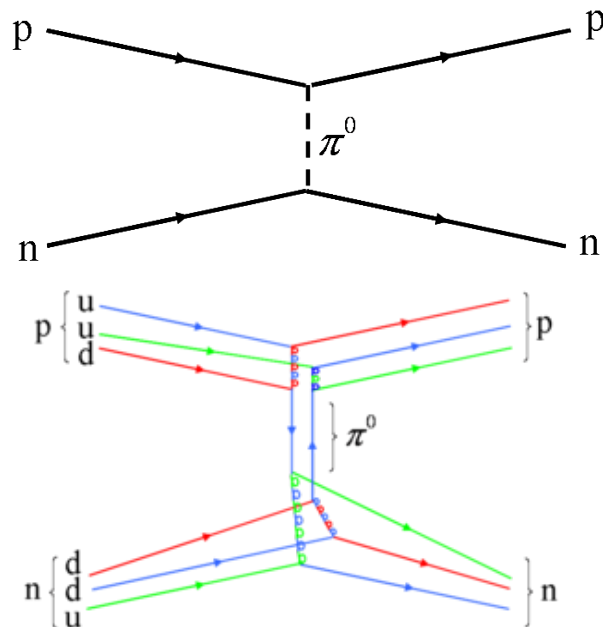
- Strong: exchange of gluons

Strong charge: 'colors' (r, g, b)

A gluon carries 2 colors: changes the color of a quark, but not its 'flavor' (u, d, s, ...)



- Nuclear: exchange of mesons



from: http://en.wikipedia.org/wiki/Nuclear_force