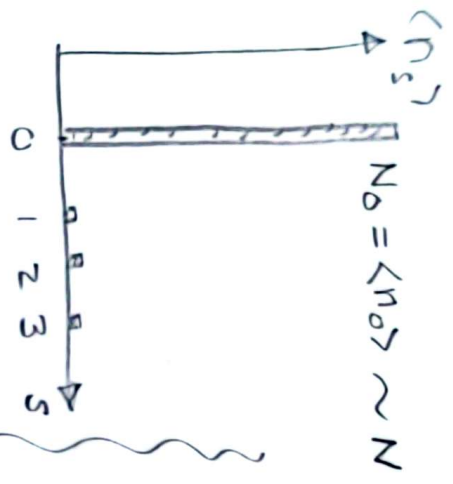


# Superfluidity and Bose-Einstein

①

## Condensation (BEC)



• Macroscopic occupancy of a single-particle state

Constraint:

$$\sum_i n_i = N$$

$$\therefore \sum_i \langle n_i \rangle = \langle N \rangle$$

$\mu$ : Chem. pot.

vary  $\mu$  till

constraint is satisfied

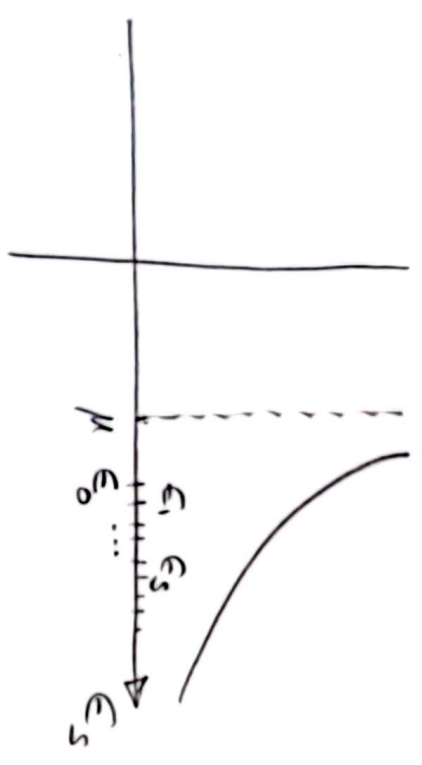
As  $\mu$  approaches  $\epsilon_0$ ,

a macroscopic fraction

$N_0$  Condenses into:

the lowest state

$$N_0/N > 0 \quad N \rightarrow \infty$$



$$\langle n_s \rangle = \frac{e^{\beta(\epsilon_s - \mu)}}{e^{\beta(\epsilon_s - \mu)} + 1}$$

only for non-interacting bosons

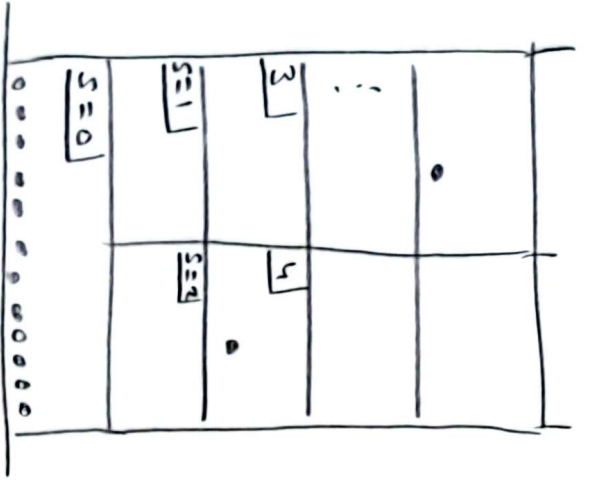
$$\hat{H} = \hat{n}_0 \epsilon_0 + \hat{n}_1 \epsilon_1 + \dots$$

$$= \sum_i n_i \epsilon_i$$

$$= \sum_i \epsilon_i a_i^\dagger a_i$$

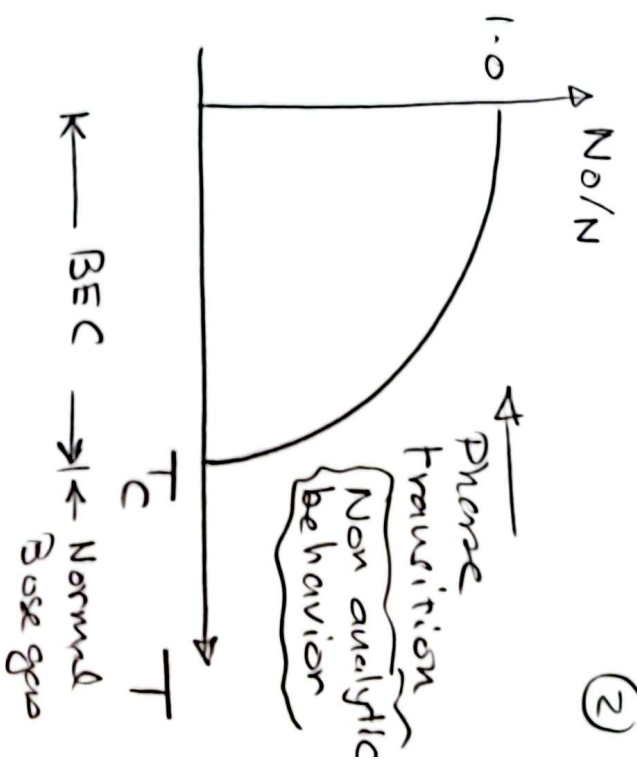
$$= \epsilon_0 a_0^\dagger a_0 + \dots + \epsilon_s a_s^\dagger a_s + \dots$$

$$|10^25\rangle \quad \begin{matrix} \uparrow \\ n_0 \end{matrix} \quad \begin{matrix} \uparrow \\ n_1 \end{matrix} \quad \dots \quad \begin{matrix} \uparrow \\ n_s \end{matrix} \quad \begin{matrix} \uparrow \\ \dots \end{matrix} \quad \begin{matrix} \uparrow \\ \dots \end{matrix}$$



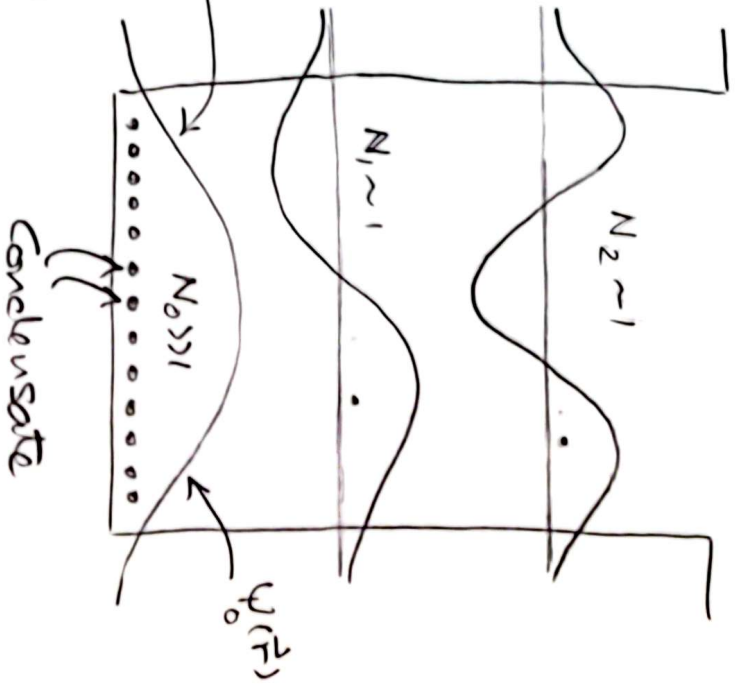
$$\hat{H} = \sum_s \epsilon_s n_s = \sum_s \epsilon_s a_s^\dagger a_s$$

What about real (interacting) systems?



(2)

macroscopic wavefunction  
Condensate wavefunction  
 $\psi_0(\vec{r})$



# Superfluidity in real Bose systems

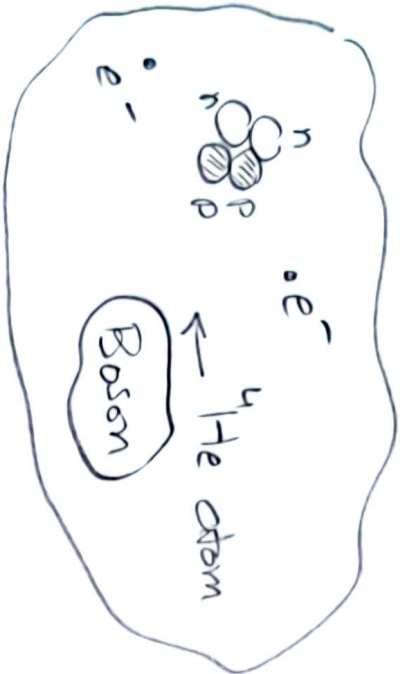
(3)

## Generalized BEC

\* Macroscopic occupation of a single-particle state, possibly varying with time, not necessarily at equilibrium.

\* Kapitza, Allen and Misener (1938)

Superfluidity found in



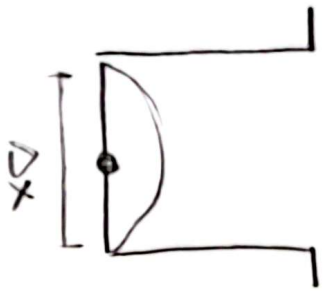
- \* Interactions are weak
- \* Light atoms
- \* Stays liquid

## Localization

$$DP \sim \frac{\hbar}{\Delta x}$$

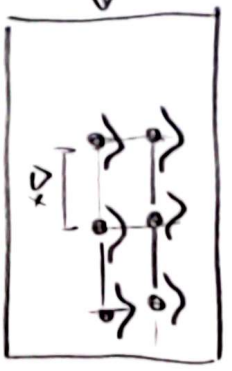
$$E = \frac{(DP)^2}{2m}$$

$$E \sim \frac{\hbar^2}{2m(\Delta x)^2}$$



- Localization costs kinetic energy even more when:
- \* Light particles
  - \* Tight confinement

Solid



# Landau's argument for superfluidity

(4)

$$\Delta E = \frac{1}{2} M (\vec{v}'^2 - \vec{v}^2) = \frac{1}{2} M (\vec{v}' + \vec{v}) \cdot (\vec{v}' - \vec{v})$$

$$\approx M \vec{v} \cdot \Delta \vec{v} \approx M V \Delta V \cos \theta$$

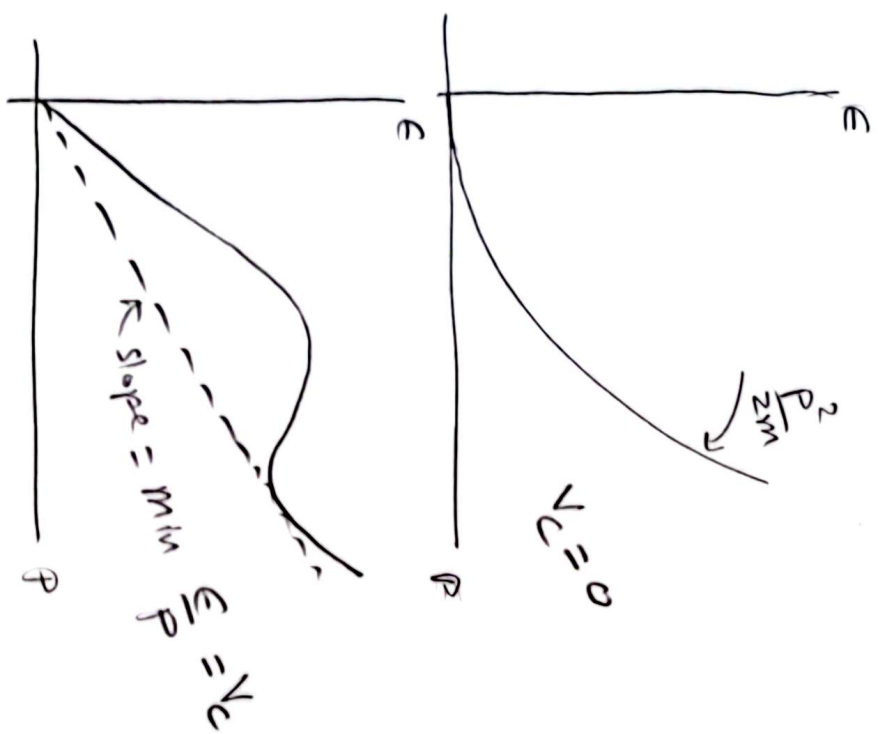
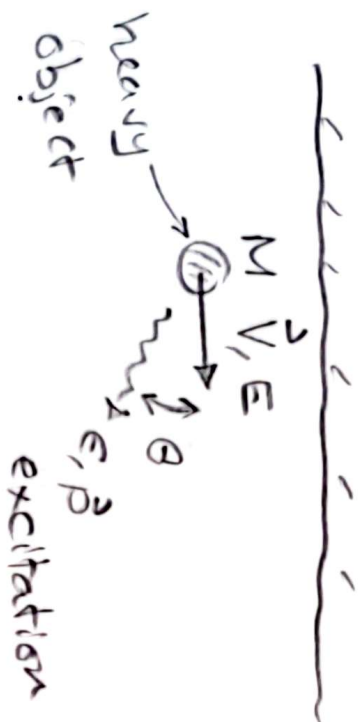
$$\Delta \vec{p} = M \Delta \vec{v}$$

$$\Delta E = M V \Delta V \cos \theta = \epsilon$$

$$|\Delta \vec{p}| = M \Delta V = \frac{\epsilon}{V}$$

$$\therefore \frac{|\epsilon|}{|\vec{p}|} = V |\cos \theta| \rightarrow V = \frac{1}{|\cos \theta|} \frac{|\epsilon|}{|\vec{p}|}$$

$$V_{\text{crit.}} = \frac{\epsilon}{|\vec{p}|} \Big|_{\text{min}}$$



# Bogoliubov Theory of a weakly interacting Bose gas

N. Bogoliubov (1946)

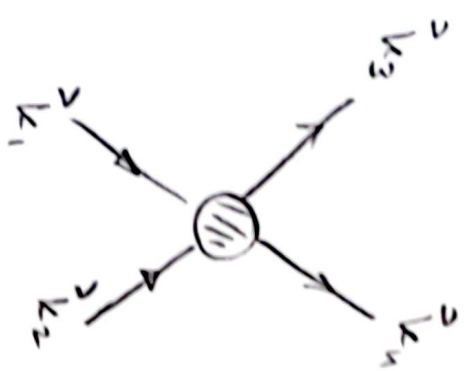


$$H = \sum_{\vec{k}} \left( \frac{\hbar^2 k^2}{2m} - \mu \right) a_{\vec{k}}^\dagger a_{\vec{k}}$$

$$+ \frac{\hbar^2}{2N} \sum_{k_1, \dots, k_n} \delta_{k_1+k_2+k_3+k_4} a_{k_1}^\dagger a_{k_2}^\dagger a_{k_3} a_{k_4}$$

$$a_0 |N_0\rangle = \sqrt{N_0} |N_0-1\rangle \approx \sqrt{N_0} |N_0\rangle$$

$$a_0^\dagger |N_0\rangle = \sqrt{N_0+1} |N_0+1\rangle \approx \sqrt{N_0} |N_0\rangle$$



Secretly Coherent state



Bogoliubov's Recipe:

(6)

In all low-lying states, replace  $a_0, a_0^\dagger$  by  $\sqrt{N_0}$

(only) !!!

Condensate  
 $\vec{k} = 0$

$$H_{int} \cong \frac{\lambda}{2V} \left[ a_0^\dagger a_0^\dagger a_0 a_0 + \sum_{\vec{k} \neq 0} \left[ \chi a_{\vec{k}}^\dagger a_0^\dagger a_{-\vec{k}} a_0 + a_{\vec{k}}^\dagger a_0^\dagger a_{-\vec{k}} a_0 \right] + a_0^\dagger a_0^\dagger a_{\vec{k}} a_{-\vec{k}} \right]$$

$$H_0 = \sum_{\vec{k}} (\epsilon_{\vec{k}} - \mu) a_{\vec{k}}^\dagger a_{\vec{k}}$$

$$= -\mu a_0^\dagger a_0 + \sum_{\vec{k} \neq 0} (\epsilon_{\vec{k}} - \mu) a_{\vec{k}}^\dagger a_{\vec{k}}$$

That's it !!

$$H \cong \underbrace{\sum_{\vec{k} \neq 0} (\epsilon_{\vec{k}} - \mu) a_{\vec{k}}^\dagger a_{\vec{k}} - \mu N_0 + \frac{\lambda}{2V} \left[ N_0^2 + 4N_0 \sum_{\vec{k} \neq 0} a_{\vec{k}}^\dagger a_{\vec{k}} + N_0 \sum_{\vec{k} \neq 0} (a_{\vec{k}}^\dagger a_{-\vec{k}}^\dagger + a_{\vec{k}} a_{-\vec{k}}) \right]}_{\text{Condensate}}$$

## Bogoliubov Transformation

$$a_k = b_k \cosh \theta + b_{-k}^+ \sinh \theta$$

$$\therefore H = \sum_k E_k b_k^+ b_k$$

$$E_k = \sqrt{[E(\vec{k}) + \mu]^2 - \mu^2}$$

Condensate + weakly interacting Bog. quasiparticles

