



EP-DT contributions to the CMS Calorimeter Endcap and Tracker upgrades for HL-LHC

Joao Batista Lopes (EP-DT-EO), Marta Krawczyk (EP-DT-TP)

EP-DT group meeting
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CMS upgrades for HL-LHC



L1-Trigger

<https://cds.cern.ch/record/2714892>

- Tracks in L1-Trigger at 40 MHz
- Particle Flow selection
- 750 kHz L1 output
- 40 MHz data scouting

Calorimeter Endcap

<https://cds.cern.ch/record/2293646>

- 3D showers and precise timing
- Active layers:
Silicon and Scint.+Silicon Photomultiplier
- Absorbers (main components):
Cu+CuW+Pb and Cu+Stainless Steel

Tracker

<https://cds.cern.ch/record/2272264>

- Si-Strip and Pixels increased granularity
- Design for tracking in L1-Trigger
- Extended coverage to $\eta \approx 3.8$

MIP Timing Detector

<https://cds.cern.ch/record/2667167>

- Precision timing with:
- Barrel layer: Crystals + SiPMs
 - Endcap layer: Low Gain Avalanche Diodes

Beam Radiation Instr. and Luminosity

<http://cds.cern.ch/record/2759074>

- Beam abort & timing
- Beam-induced background
- Bunch-by-bunch luminosity: 1% offline, 2% online
- Neutron and mixed-field radiation monitors

DAQ & High-Level Trigger

<https://cds.cern.ch/record/2759072>

- Full optical readout
- Heterogeneous architecture
- 60 TB/s event network
- 7.5 kHz HLT output

Barrel Calorimeters

<https://cds.cern.ch/record/2283187>

- ECAL crystal granularity readout at 40 MHz with precise timing for e/γ at 30 GeV
- ECAL and HCAL new Back-End boards

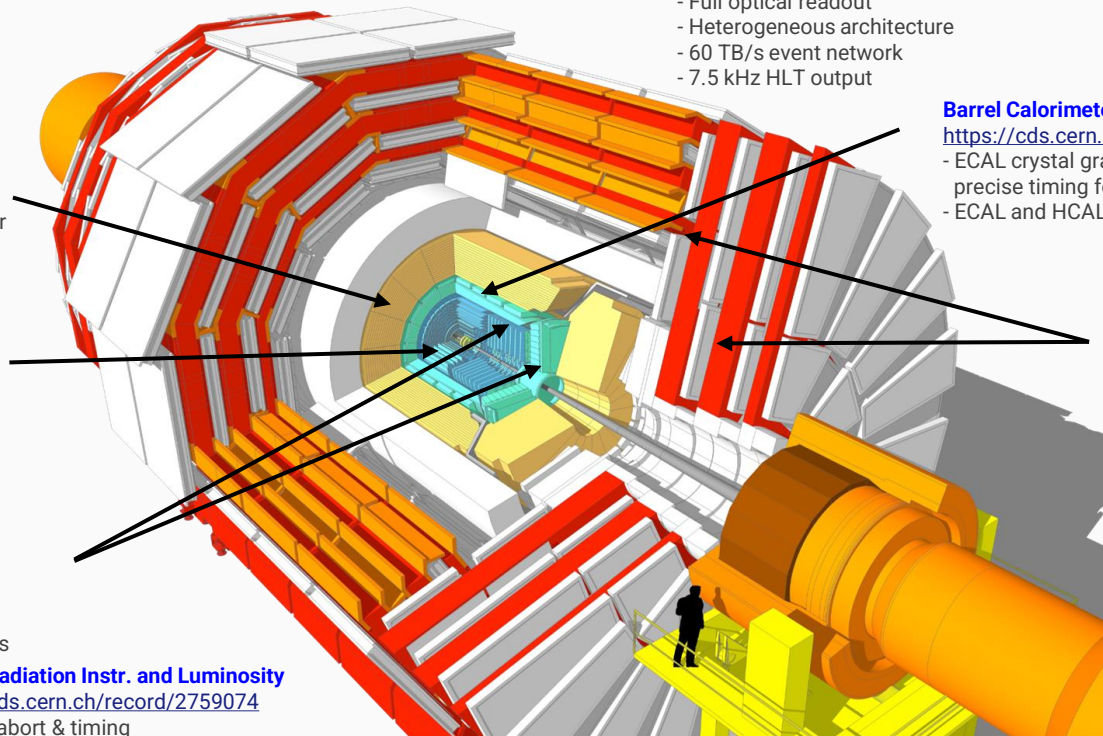
Muon systems

<https://cds.cern.ch/record/2283189>

- DT & CSC new FE/BE readout
- RPC back-end electronics
- New GEM/RPC $1.6 < \eta < 2.4$
- Extended coverage to $\eta \approx 3$

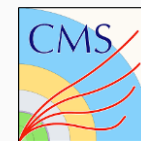
General services and infrastructure

- Replacement of C_6F_{14} cooling systems by CO_2



CMS detector as of today

DT contributions to CMS upgrades



L1-Trigger

DAQ & High-Level Trigger

Calorimeter Endcap → Marta

- Silicon sensor development
- Radiation hardness qualification
- Quality control of production sensors
- Readout electronics qualification using irradiated sensors
- Reconstruction software

Tracker → Joao

- Tracker:
 - Design and construction of TBPS and TB2S sub-detectors
 - Tracker integration and services design
 - Production of heating foils
 - Quality control of detector module hybrids

MIP Timing Detector

- Barrel Timing layer - Tracker integration design

Beam Radiation Instr. and Luminosity

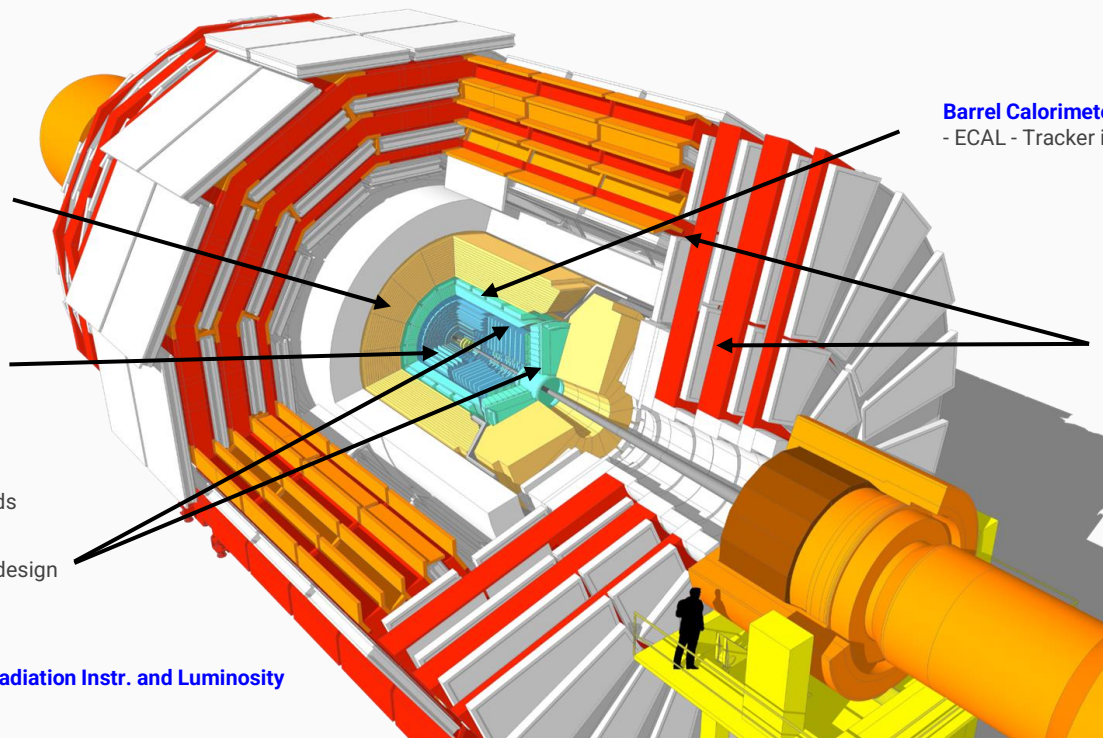
Barrel Calorimeters

- ECAL - Tracker integration design

Muon systems

General services and infrastructure

- Design and construction of CO₂ cooling systems for Tracker, Timing Layers and HGCAL
- Upgrade of ECAL thermal controls
- Upgrade of CMS Detector Safety System (DSS)



CMS detector as of today

CE: Calorimeter Endcap - a.k.a. HGCAL



Main parameters:

Active Elements:

- Hexagonal modules based on Si sensors in CE-E and high-radiation regions of CE-H
- "Cassettes": multiple modules mounted on cooling plates with electronics and absorbers
- Scintillating tiles with on-tile SiPM readout in low-radiation regions of CE-H

Key Parameters:

Coverage: $1.5 < |\eta| < 3.0$
~215 tonnes per endcap

Full system maintained at -35°C

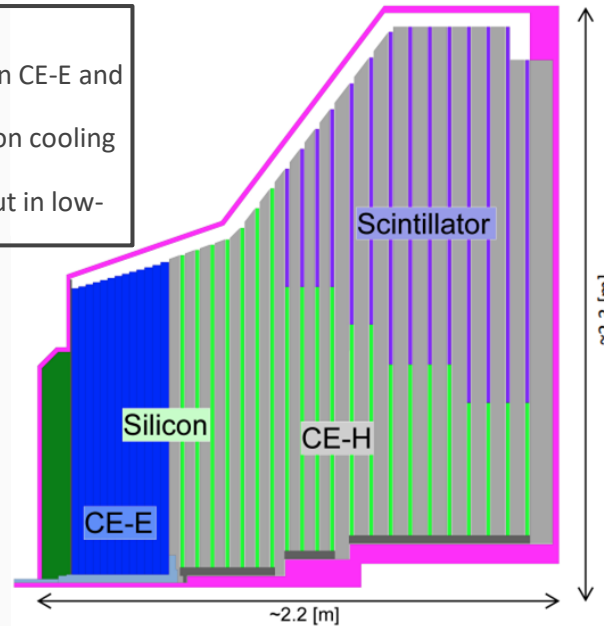
~620m² Si sensors in ~26000 modules

~6M Si channels, 0.6 or 1.2cm² cell size

~370m² of scintillators in ~3700 boards

~240k scint. channels, 4-30cm² cell size

Power at end of HL-LHC: ~125 kW per endcap



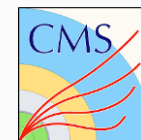
Electromagnetic calorimeter (CE-E): **Si**, Cu & CuW & Pb absorbers, 26 layers, $27.7 X_0$ & $\sim 1.5\lambda$

Hadronic calorimeter (CE-H): **Si & scintillator**, stainless steel absorbers, 21 layers, $\sim 8.5\lambda$

Project scale and challenges:

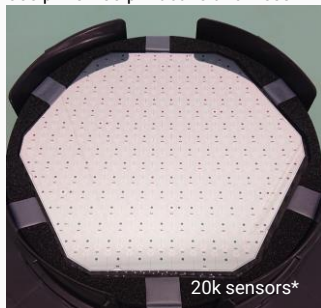
- By far **largest approved project** based on **silicon sensors** in HEP
 - 3x area of ATLAS/CMS trackers
- **First imaging calorimeter approved for installation in collider experiment**
 - Pave the way for future collider detectors
- **First application of 8" sensors** in a detector
 - Very large and fragile objects
 - Develop novel production process together with industrial suppliers
 - Need for radiation hardness qualification

620 m² of silicon sensors

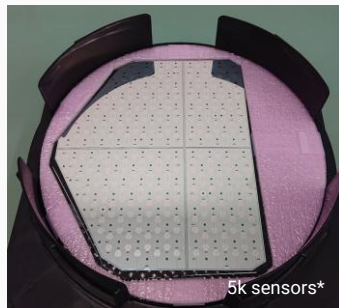


Low-Density sensor

~ 200 cells of 1.1 cm² size
300 μm & 200 μm active thickness

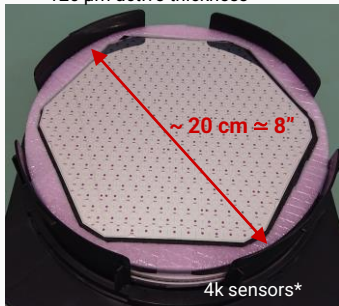


Low-Density "Partial sensor" example from "Multi-Geometry" sensor



High-Density sensor

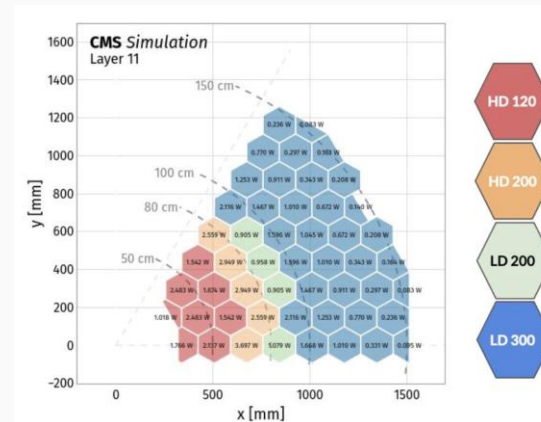
~ 450 cells of 0.5 cm² size
120 μm active thickness



High-Density "Partial sensor" example from "Multi-Geometry" sensor



* needed in the final detector

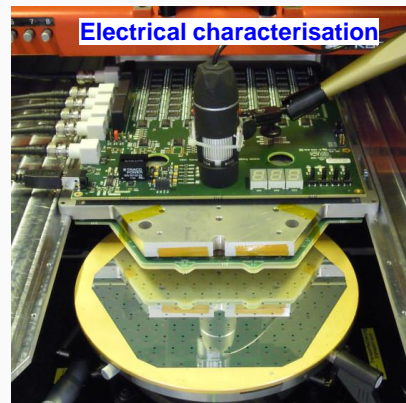
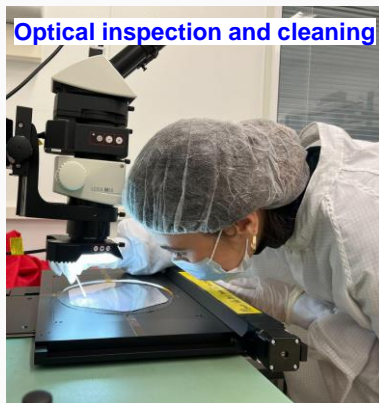


- Hexagonal silicon sensor geometry
 - Largest regular tiling polygon
 - Maximise wafer usage
 - "Partial" sensors to tile border regions
- Thickness and granularity adapted to radiation field
 - Fluence from 10¹⁴ to 10¹⁶ n_{eq}/cm²
- 8-inch wafers
 - Reduces number of modules w.r.t. 6-inch wafers
 - New production process and radiation-hardness qualification
- Planar, DC-coupled, p-type sensor cells
 - p-type more radiation tolerant than n-type sensors
- Sensor producer: Hamamatsu Photonics K. K. (HPK)

HGCAL sensors in production phase

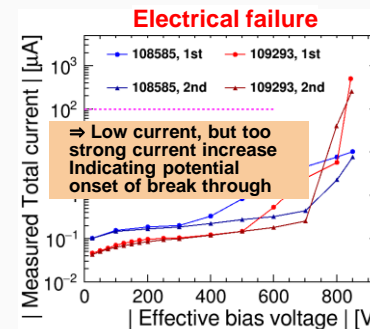
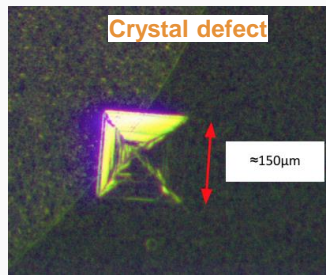
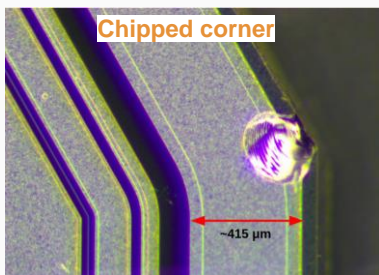
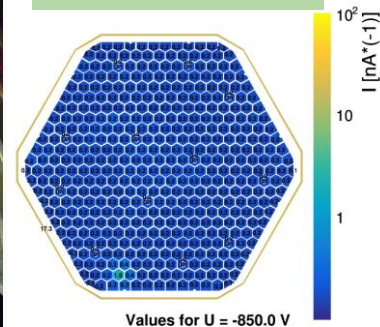


- Production phase from February 2023 to June 2025
 - 53% of all sensors already delivered to CERN
 - 75% already at testing sites (CERN+User, 2 x US, 1 x TW, 1 x CH)
 - 25% still in transit
- Sensor qualification concept
 - 100% of wafers qualified at vendor
 - ~10% of main sensors re-qualified in CMS
 - CERN important player, covering 1/3 of sensors so far
 - ~20% of test structures for process stability tests
- Main sensor quality so far
 - Tested 685 out of 10458 (~7%, goal ~10%)
 - 9 with visible damage
 - Can be detected during optical inspections before module assembly
 - 3 with non-compliant IV result
 - 0.44% of tested sensors (3/685)
 - At 95% CL, defect rate below 1.3%
 - Non-sampled sensors with same failure type covered by spare parts (4%)
 - Findings triggered increase of optical inspection rate to ~50%



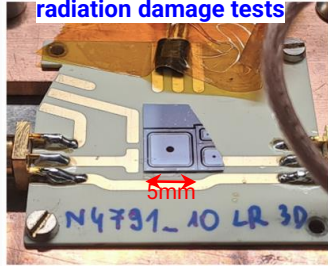
Per-cell leakage current:

⇒ No high current cells

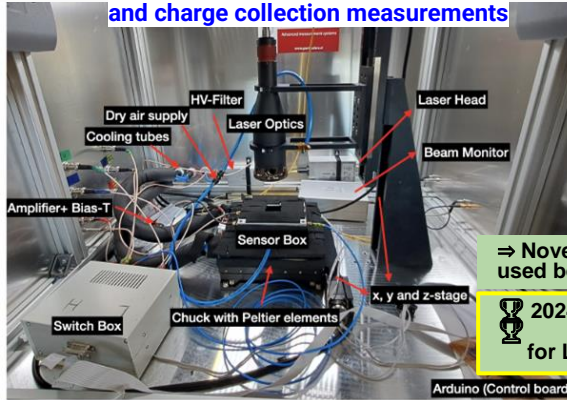


Radiation hardness of silicon sensors: Test structures

Single diode for bulk radiation damage tests



New setup for electrical characterisation and charge collection measurements



⇒ Novel setup to be used beyond HGCAL

🏆 2024 CMS award for Leena Diehl

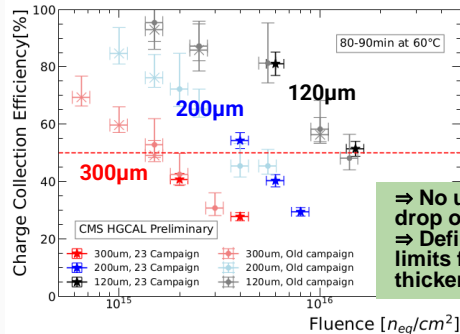
Challenge:

- Si sensors produced in new 8" process
- Requires validation of radiation hardness of silicon **bulk** and **oxide layer** on top

DT team's contributions:

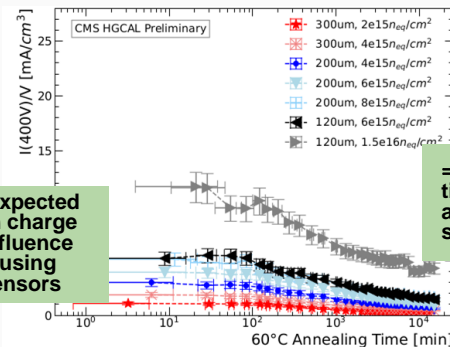
- Coordinated broad irradiation programmes
 - **Neutron** irradiation of diodes (bulk)
 - Validate radiation hardness (leakage current increase, charge collection decrease)
 - Get to know how damage changes with heat (annealing)
 - **X-ray** irradiation of MOS (Metal-Oxide-Silicon) and microstrip sensors (oxide)
 - Improve oxide process together with vendor for production sensors
 - Estimate cross talk between cells
 - Novel systems and software for in-situ and post irradiation testing
 - Deliver new data to scientific community
 - DRD3 presentations [1,2]
 - Publications in preparation

Decrease in charge collection efficiency with fluence



⇒ No unexpected drop of in charge
⇒ Define fluence limits for using thicker sensors

Decrease in leakage current after sample warming (annealing)



⇒ Extract annealing time constants used as input for operation scenario (T vs. time)

Radiation hardness of silicon sensors: Full sensors

Goal:

- Validate single-diode radiation hardness results for full 8" sensors
 - Study inter-pad and wafer effects

Challenge:

- No existing 8" irradiation facility

DT team's contribution:

- Validation of new 8" neutron-irradiation facility: Rhode Island Nuclear Science Centre (RINSC)
- Irradiation and measurement campaign covering many sensor variants and fluences (2020–2024)
- Deliver new data to scientific community
 - [JINST publication](#)
 - [RD50 presentation](#)
 - [DRD3 presentation](#)
 - Publication in preparation

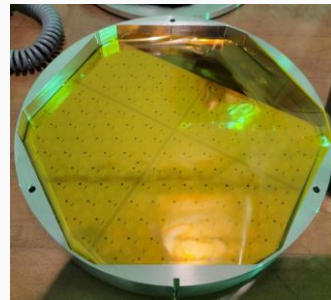
RINSC reactor core



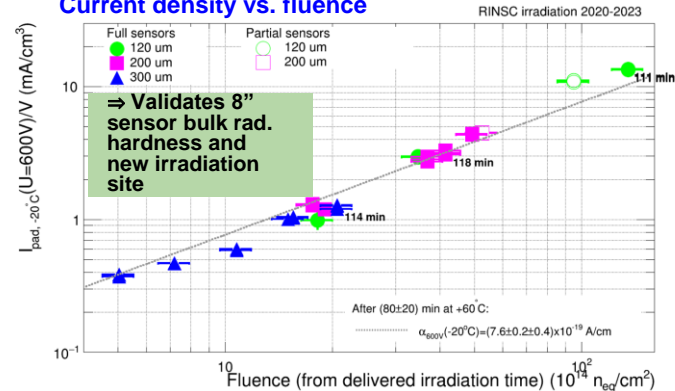
RINSC reactor beam port



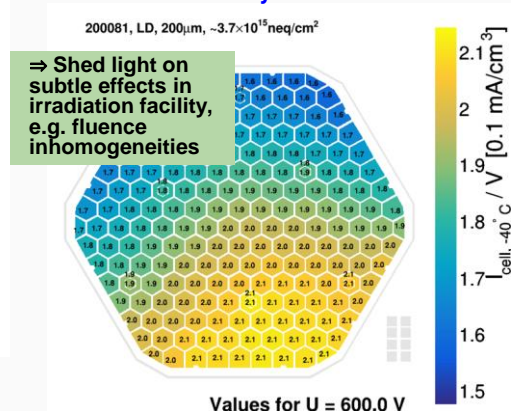
Irradiation holder hosting 8" partial sensors



Current density vs. fluence



Current density across wafer

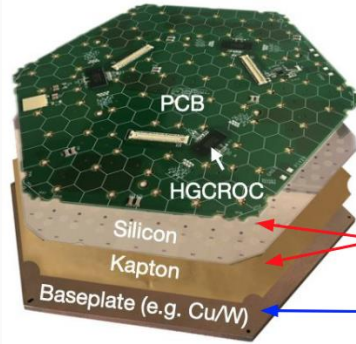


HGCAL silicon modules

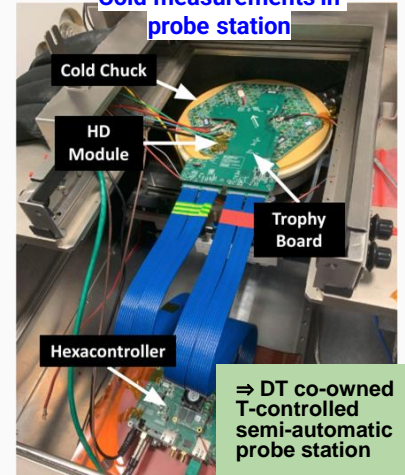


- Assembled **modules with neutron irradiated sensors**
- First usage and validation of leakage current compensation of readout chip (ROC) needed because of DC coupling
 - Leakage current increases with temperature
 - Measure temperature profiles within module
 - Sensitive to heat sources
 - First experimental tests of cooling performance with different base plates
 - CuW better than CF, better than PCB
- Charge measurements
 - Inject charge via infra-red laser
 - Measure cross talk between cells
 - Plan to study charge collection versus fluence
- All test results important input to Procurement Readiness Reviews

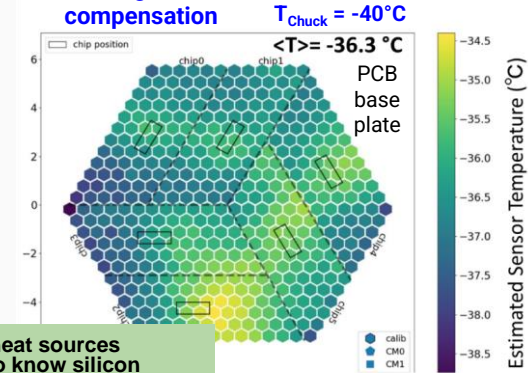
Module stack up



- Modules mostly assembled with tape instead of epoxy:
 - tape layer for all
 - tape layer for CF & CuW
 - glued/laminated for PCB

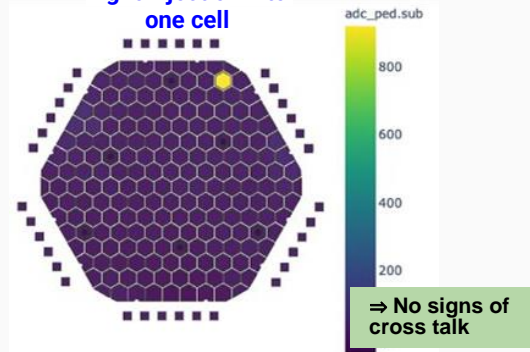


Temperature estimation via leakage current compensation



=> See heat sources
=> Get to know silicon temperature vs. cooling system temperature

Light injection into one cell

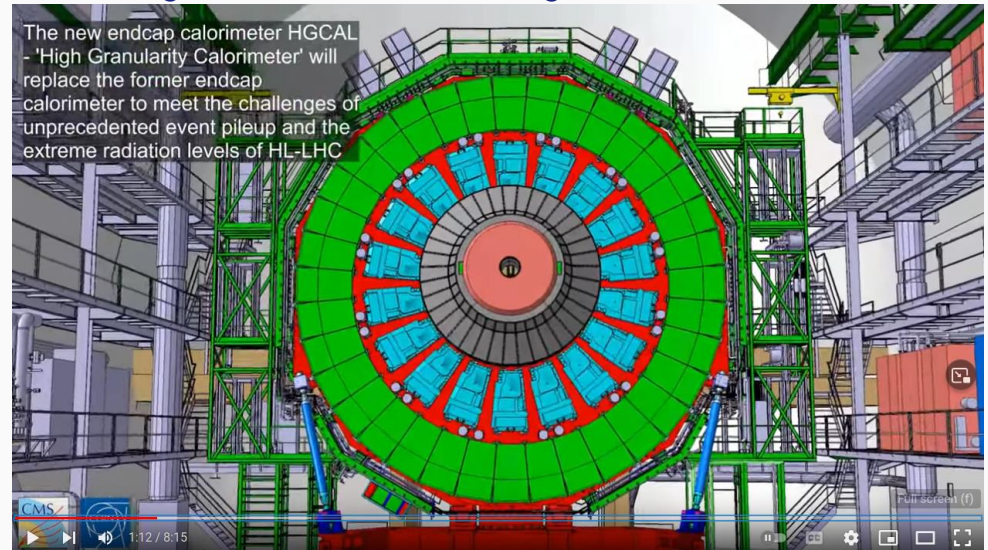


HGCAL ingredients and integration steps



- Silicon sensors
 - HGCROC
 - Hexaboards
 - Silicon modules
 - Scintillators
 - SiPM
 - Tileboards
 - TileModules
 - ECONs
 - Frontend Electronics
 - Cassettes
 - Mechanics
 - Stacking/integration HGCAL side 1
 - Stacking/integration HGCAL side 2
 - Cold test HGCAL side 1
 - Cold test HGCAL side 2
- Silicon sensor activity with strong contributions of DT team is progressing well
 - Production will come to an end in June 2025
 - Large interest to join further steps towards completion of HGCAL

[Video: schematic illustration of the detector ingredients and their integration](#)



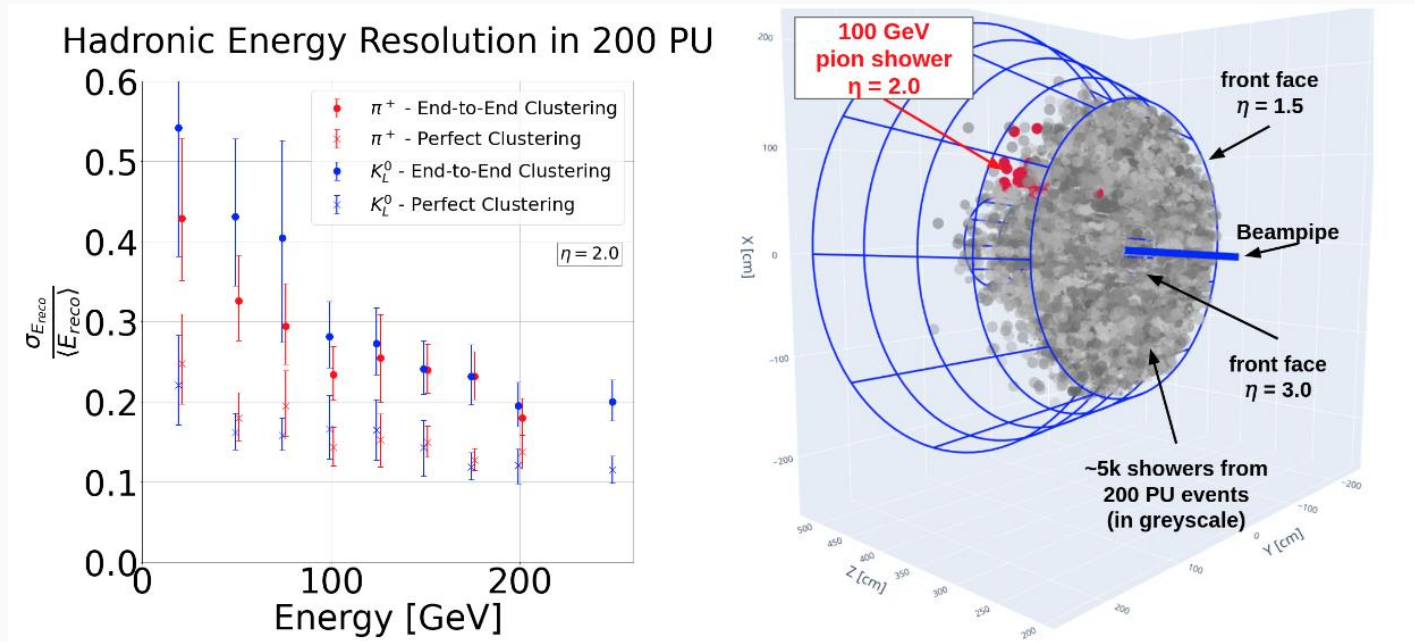


Backup

Reconstruction of simulated HGCAL-like data

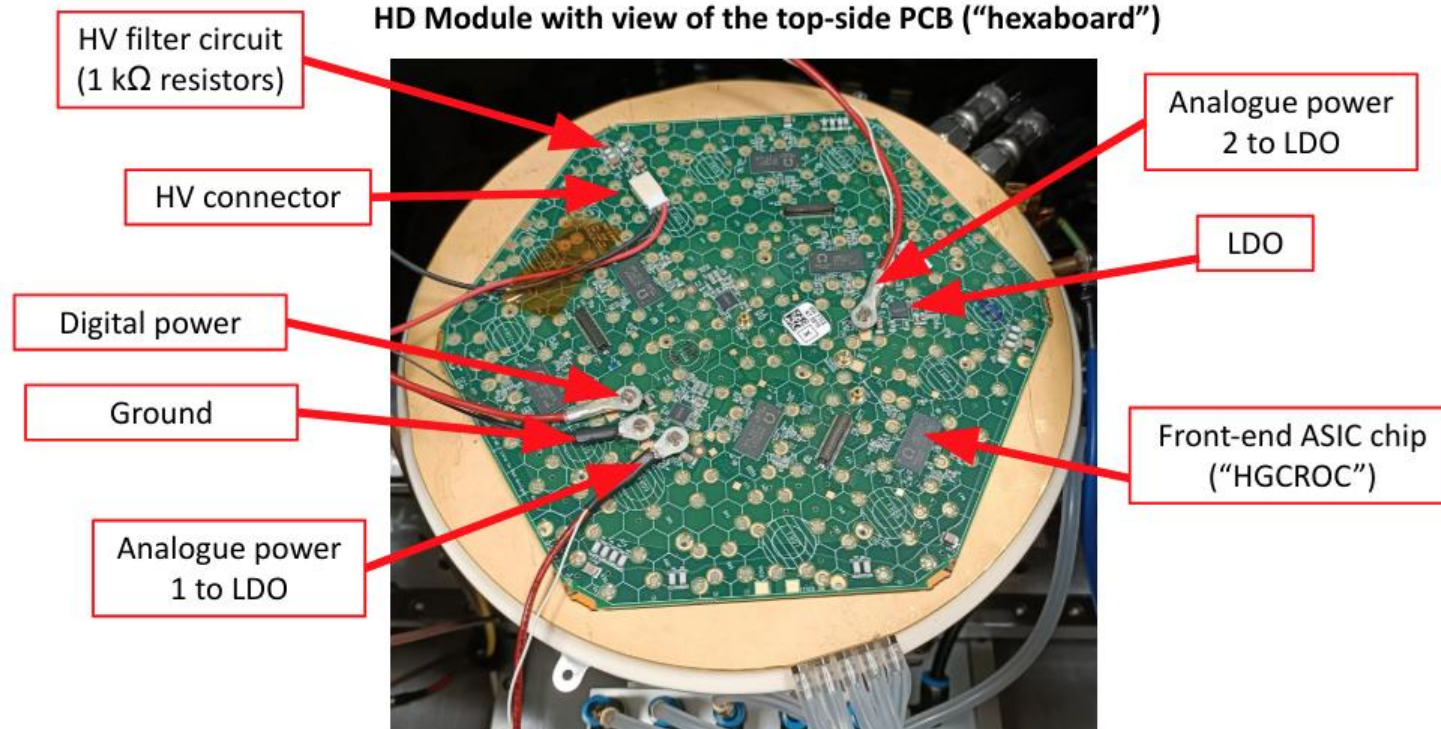


- ML4Reco (Machine Learning for Reconstruction) project
 - End-to-end machine-learning aided reconstruction of simulated HGCAL-like data
 - First full end-to-end reconstruction chain including particle-flow reconstruction
 - Example results: Energy resolution for hadronic showers in events with 200 pile-up events



HGCAL modules: Details

HD Module with view of the top-side PCB (“hexaboard”)



Team members



- **EP-DT:** Eva Sickling, Leena Diehl, Pablo Alvarez Dominguez (ETH Zürich), Oliwia Kałuzińska (KIT Karlsruhe(DE)), Marta Krawczyk, Natalya Gerassyova (Al-Farabi Kazakh National University (KAZ)), Philipp Zehetner (Ludwig Maximilians Universität (DE))
- Collaborations for work areas:
 - **HGCAL sensor production quality assurance:**
 - EP-CMG: Ankita Mehta, Filip Moortgat, Pedro Silva, David Walter
 - Users: Wesley Terrill (Carnegie-Mellon (US)), Buse Duran (Istanbul U. (TR)), Kerem Cankocak & Gizem Gul Dincer (Istanbul Technical U. (TR)), Ram Sharma & Xiaonan Hou (IHEP Beijing (CN))
 - **Radiation hardness of silicon sensors (Test structures):**
 - EP-CMG: Matteo Defranchis, David Walter
 - **Radiation hardness of silicon sensors (Full sensors):**
 - Brown University (US): Bjorn Burkle, Ulrich Heintz, Nick Hinton, Daniel Li, Meenakshi Narain, Nikolas Pervan, Eric Spencer, Catherine Tiley, Philipp Wagenknecht
 - Many former team members (campaign since 2020)
 - **HGCAL silicon modules:**
 - EP-CMG: Ankita Mehta, Fabio Monti, Pedro Silva
 - Users: Buse Duran (Istanbul University (TR)), Ram Krishna Sharma (IHEP Beijing (CN)), Wesley Terrill (Carnegie-Mellon University (US))