

# (Outer) tracker simulations

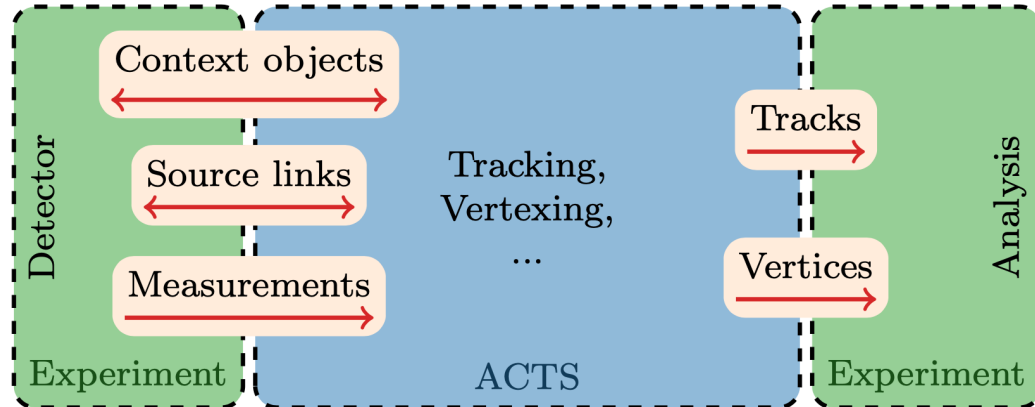
Berkin Ulukutlu, Henrik Fribert, Daniel Battistini,  
Igor Altsybeev (TUM), Pavel Larionov (Inha University)

ALICE Upgrade Week  
October 7, 2024

Overview:

[Comp. and Soft. for Big Science, 6, 8 \(2022\)](#)

Example of integration into experiment's framework:



- Being developed since 2016: [Github](#)
- Based on experience with track reconstruction in ATLAS
- **Experiment- and framework-independent toolkit**
- **High-level track reconstruction tools**
  - agnostic to the details of the detection technologies and magnetic field configuration

## *Why interesting for us:*

- Allows track reconstruction for ALICE 3 geometry **in the full range  $|\eta| < 4$**
- Our current **O2 software tailored for central barrel reconstruction**

# Some ACTS “clients”



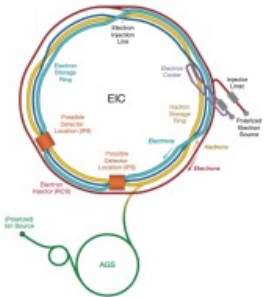
- ACTS Vertex reconstruction in Run-3
- Full ACTS powered reconstruction for Phase-2



Full ACTS-powered track reconstruction



Full ACTS- powered track reconstruction



Electron-Ion Collider (EIC) software stack, common track reconstruction software based on ACTS



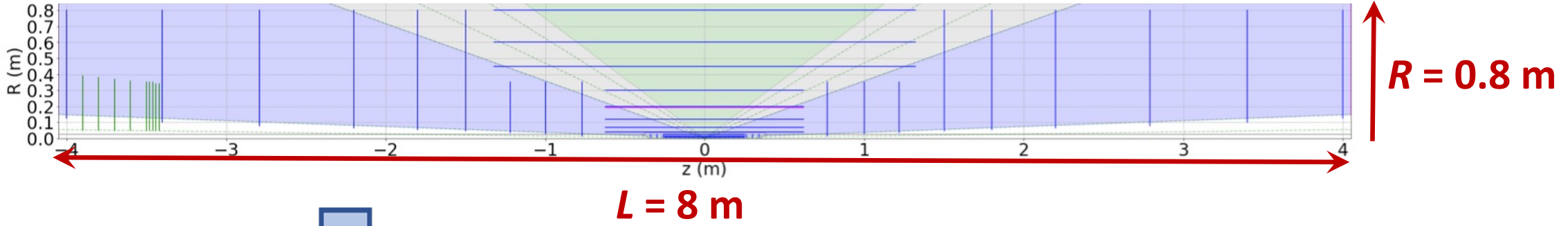
Test implementation for CEPC design study

... also NA60+, LDMX, STCF, Lohengrin, BGV

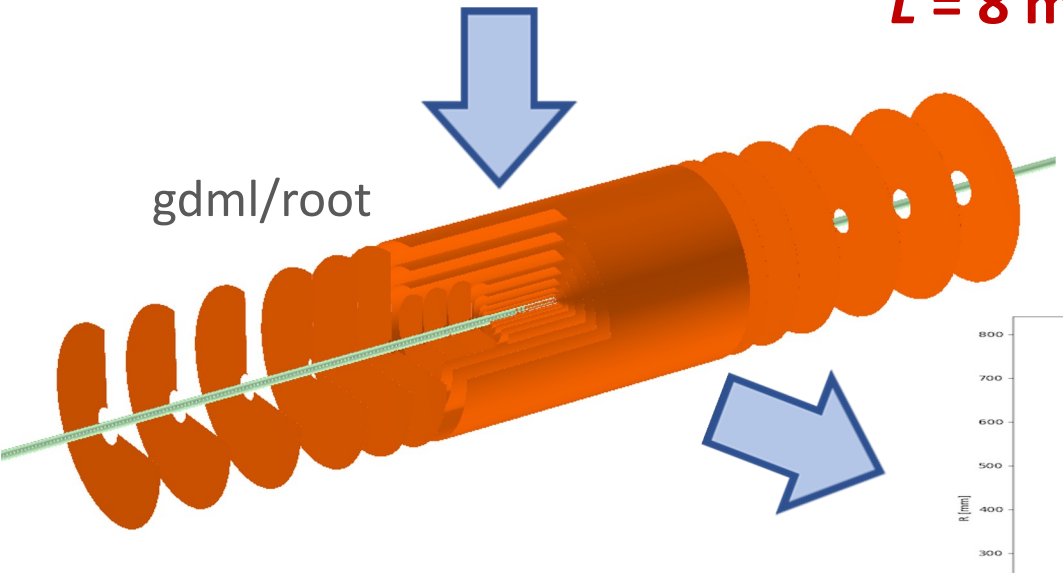
09:00	Introduction
	ATLAS
	ALICE
10:00	Coffee Break
	CEPC and STCF
	FASTER
11:00	Food: Lunch
12:00	
13:00	NA60+
	LDMX
	Lohengrin
14:00	ePIC
	sPHENIX

# Tracker layout in ACTS

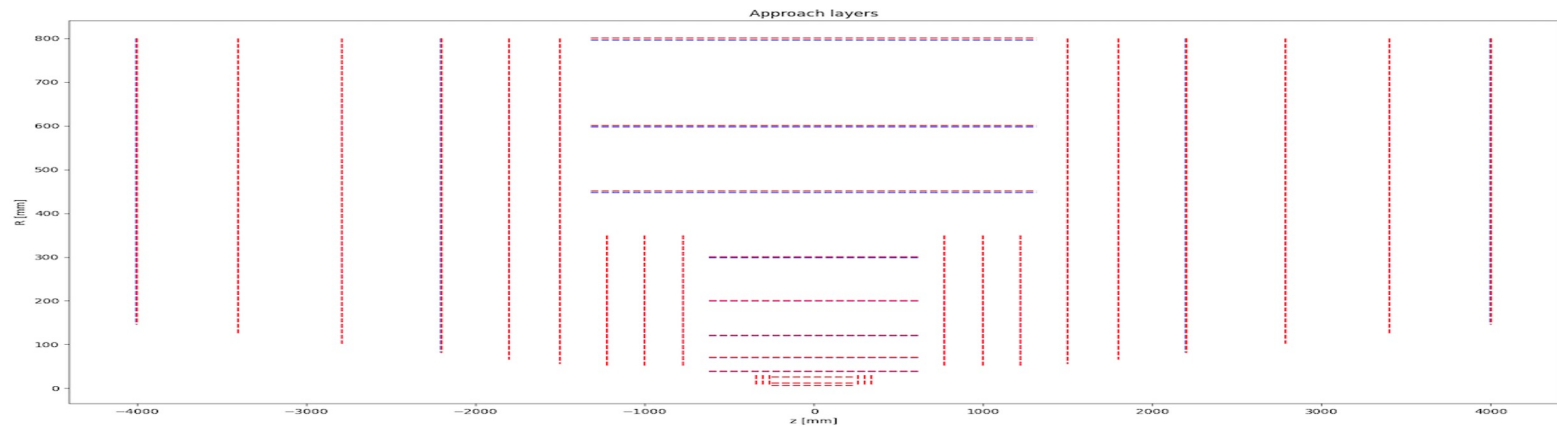
ALICE 3 tracker geometry



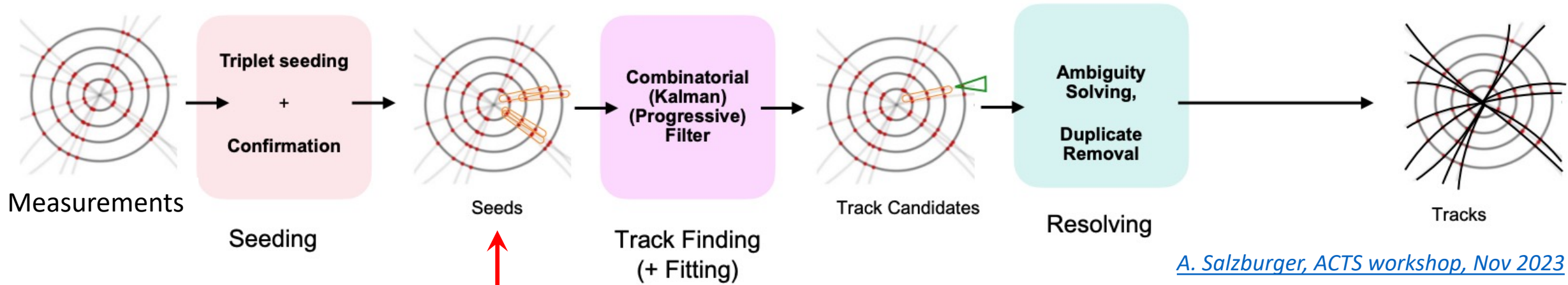
gdml/root



ACTS representation (cylinders + disks)  
with material mapped on surfaces



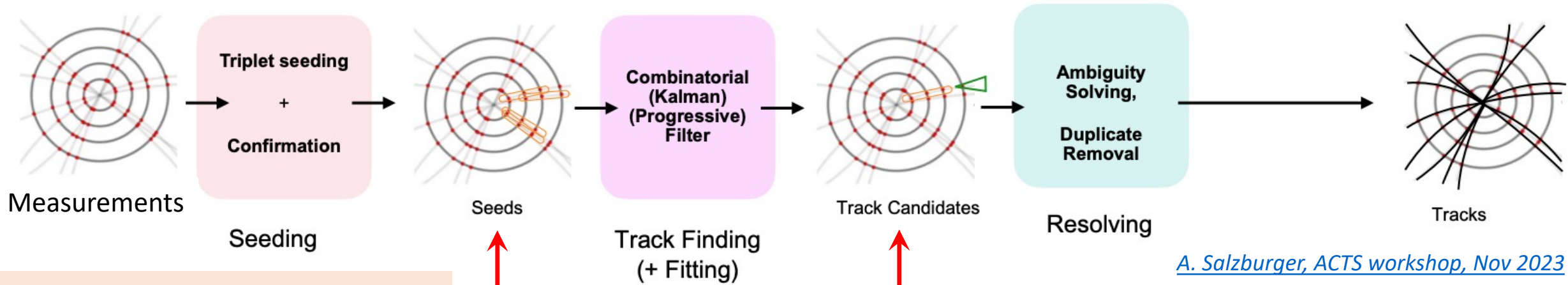
# Reconstruction: the "full chain"



**Seeds = triplets of points to initialize tracking**

*[A. Salzburger, ACTS workshop, Nov 2023](#)*

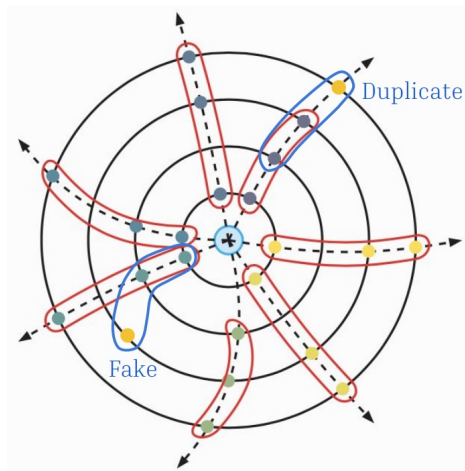
# Reconstruction: the "full chain"



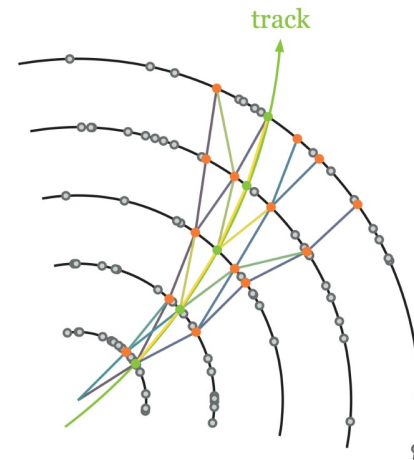
[A. Salzburger, ACTS workshop, Nov 2023](#)

## Problems we had in 2023:

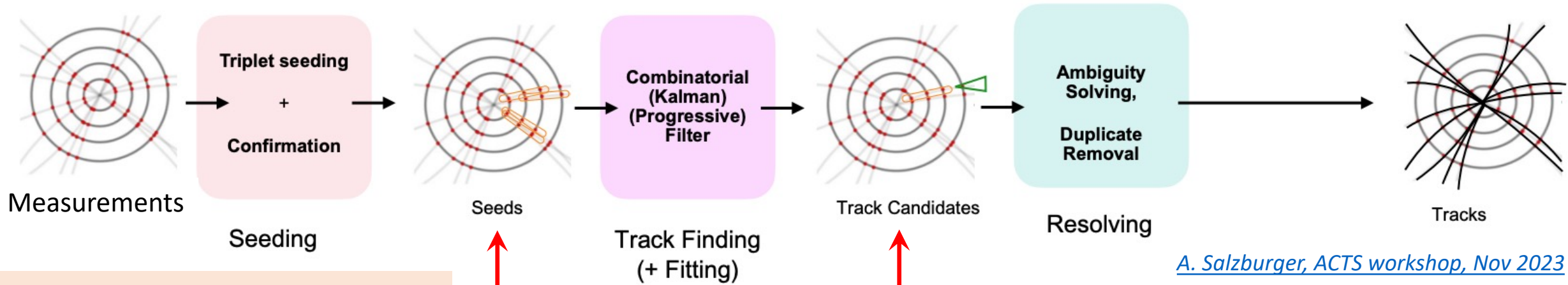
1. Multiple track seeds per particle  
(a seed = triplet of points)



2. Combinatorial Kalman Filter → *branching*  
(accepting multiple hits on a layer within a  $\chi^2$  window)



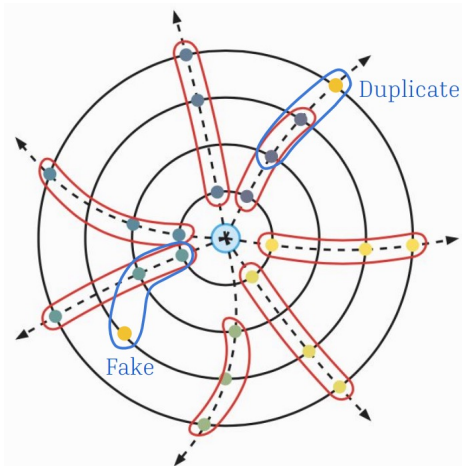
# Reconstruction: the "full chain"



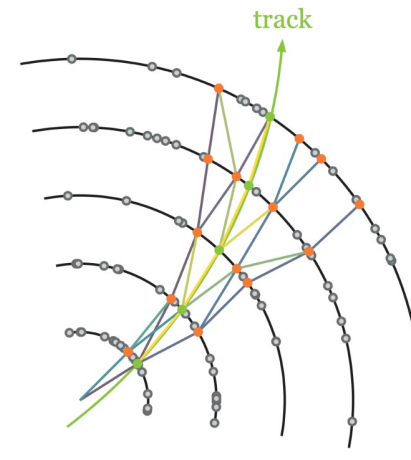
[A. Salzburger, ACTS workshop, Nov 2023](#)

## Problems we had in 2023:

1. Multiple track seeds per particle  
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2. Combinatorial Kalman Filter  $\rightarrow$  *branching*  
(accepting multiple hits on a layer within a  $\chi^2$  window)



## ACTS updates 2024:

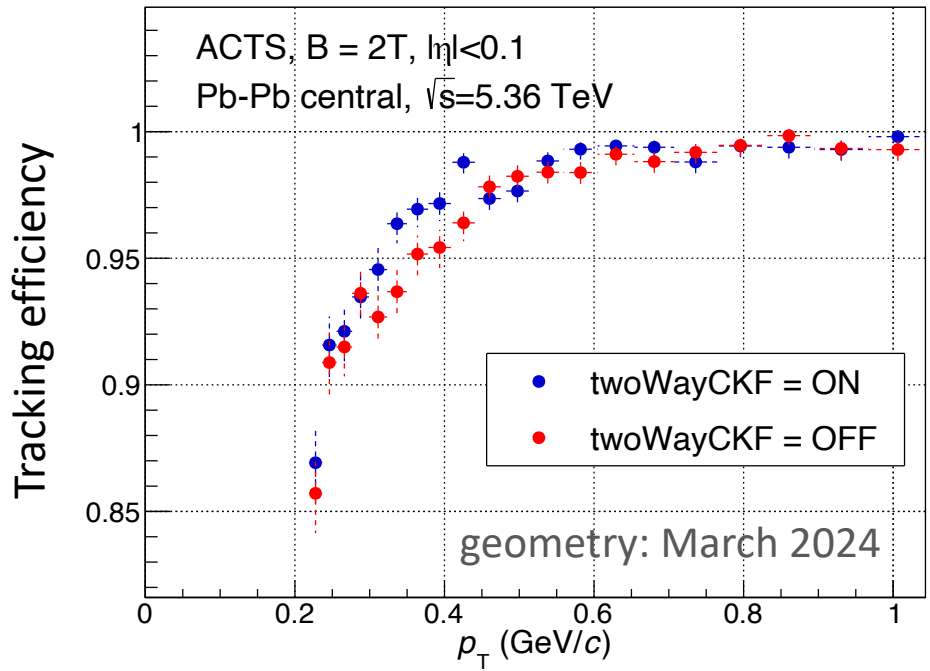
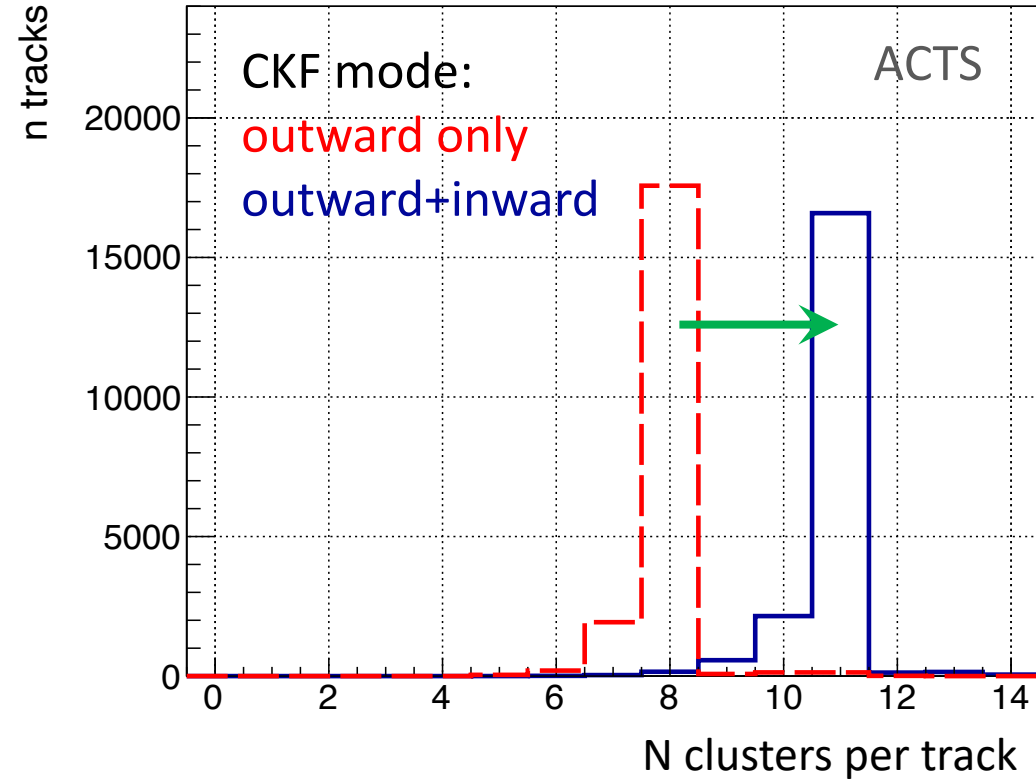
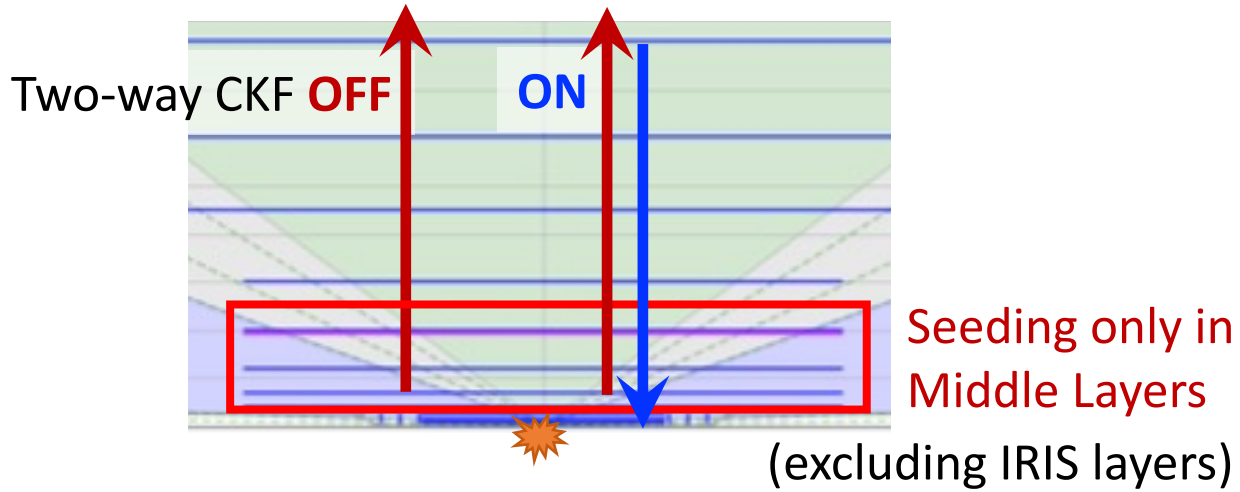
### Significant changes in CKF

In particular, two options:

1. **Seed de-duplication**
2. **Outward-inward fitting**

Current version used in this talk: **36.3**

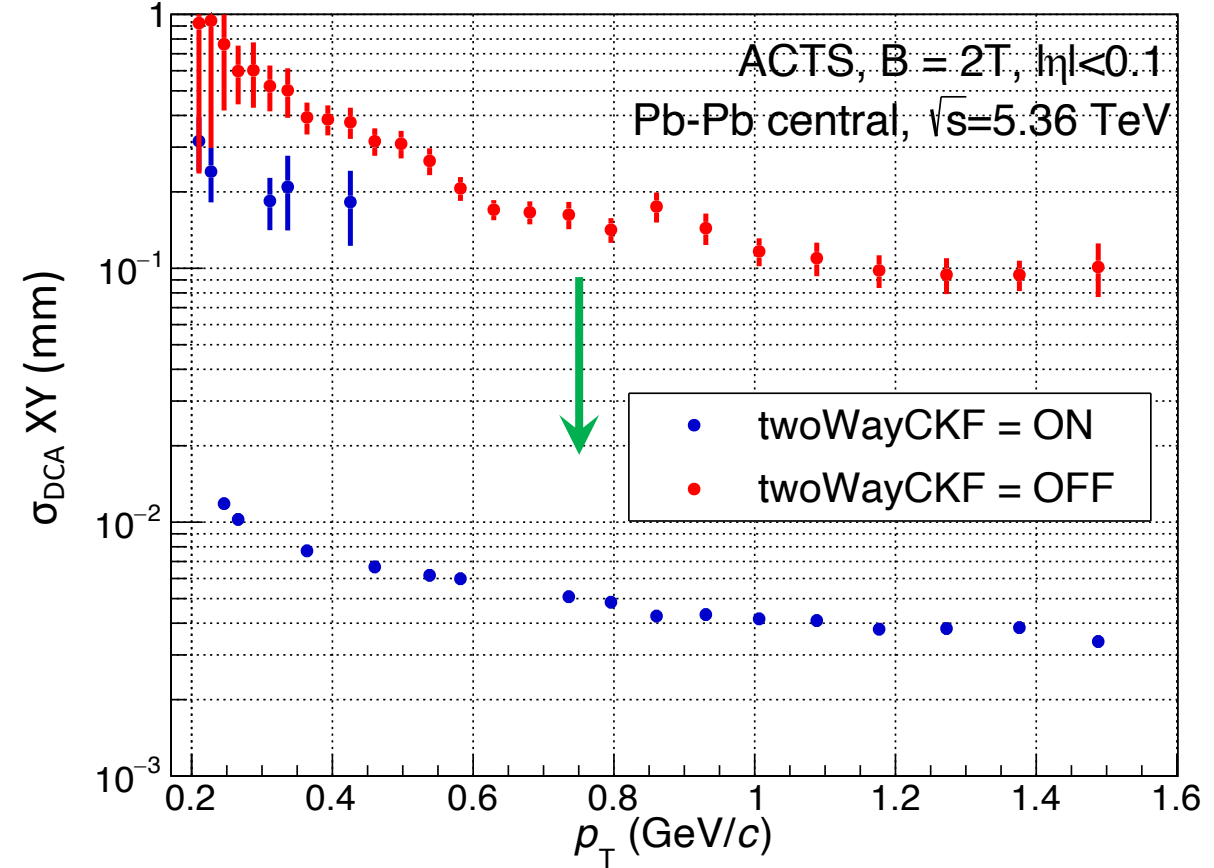
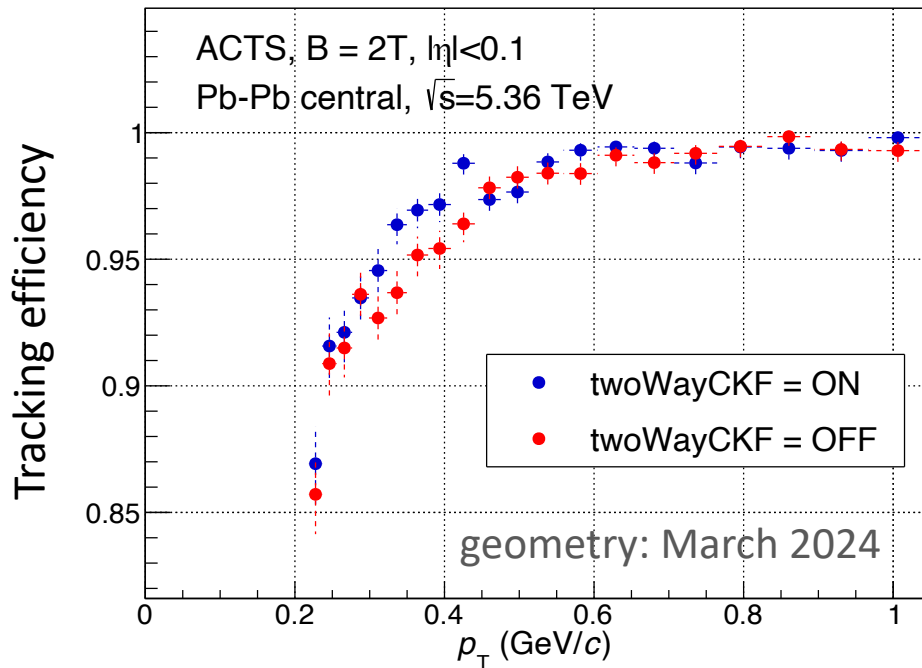
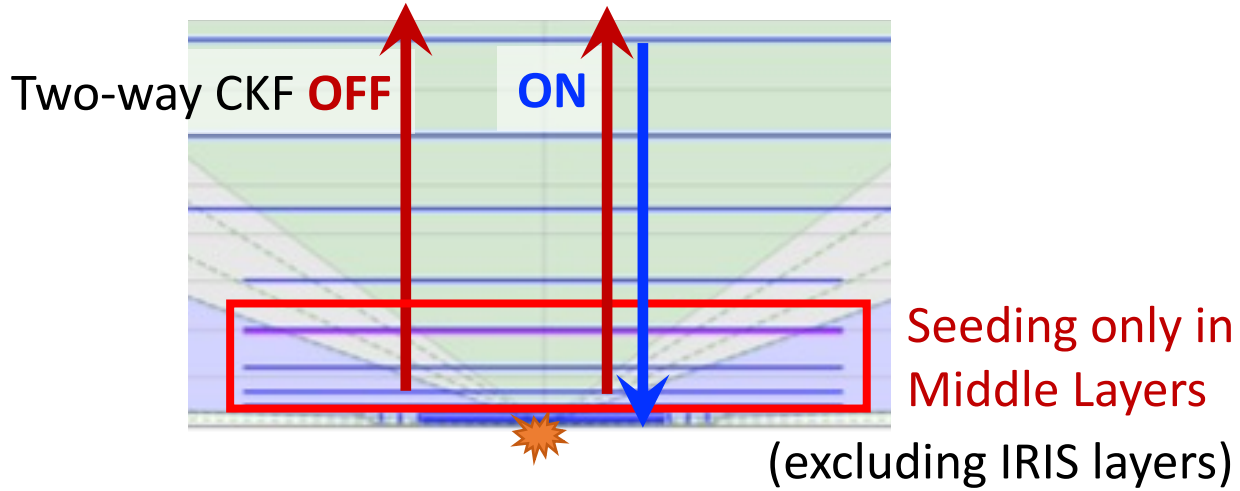
# Demonstration: outward+inward Combinatorial KF



- Two-way track finding allows to **recover missing inner clusters**, improving efficiency

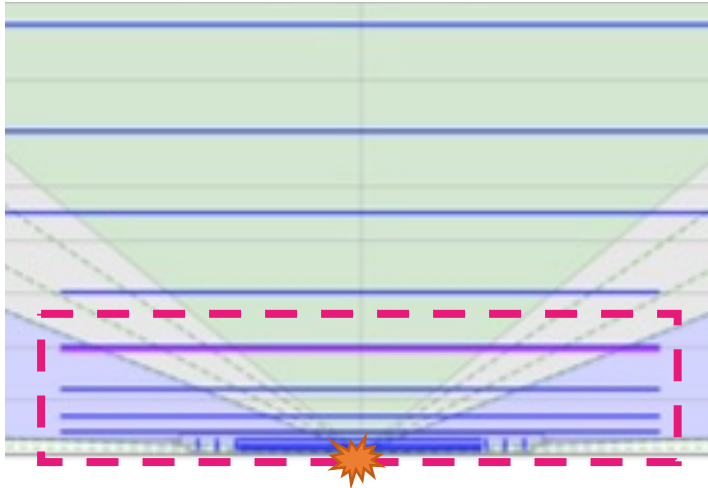


# Demonstration: outward+inward Combinatorial KF

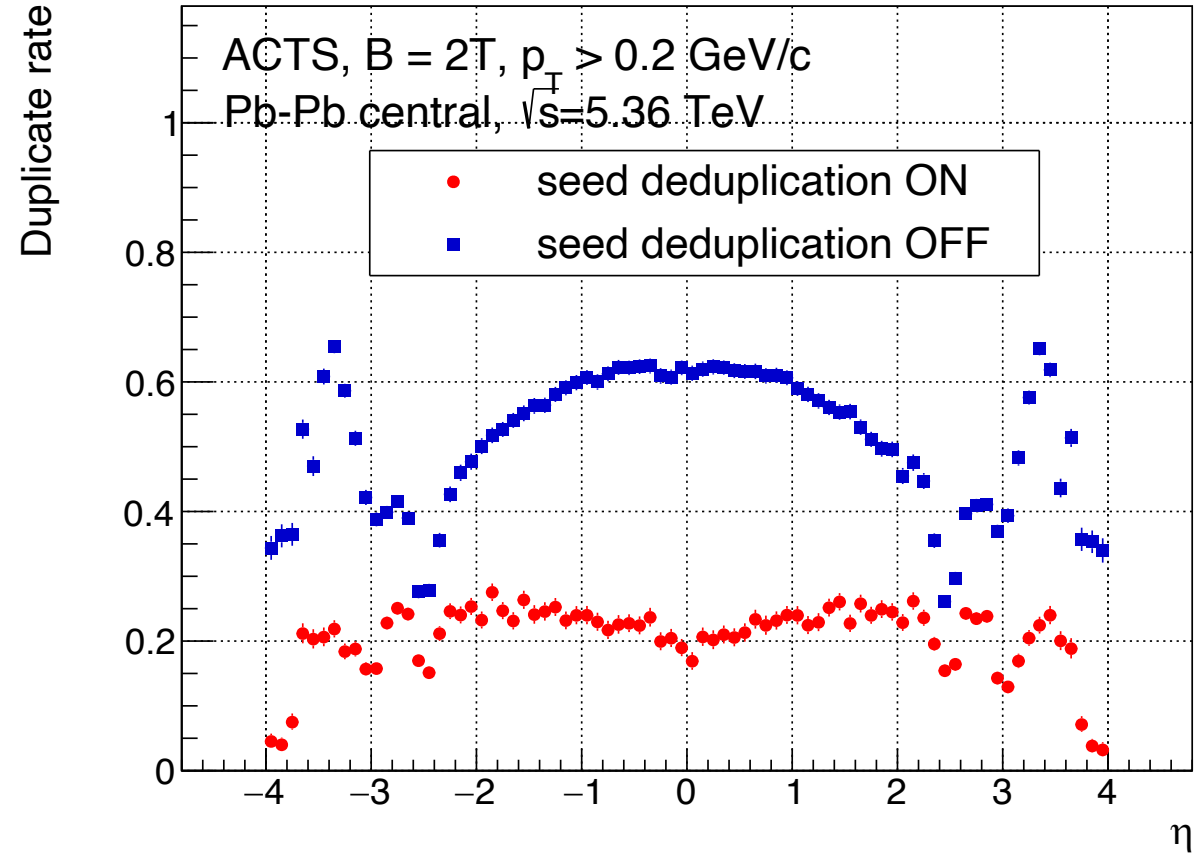
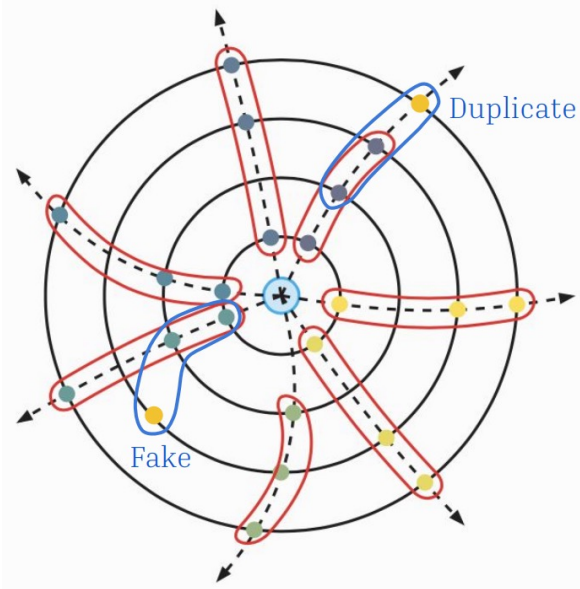


- Two-way track finding allows to **recover missing inner clusters**, improving efficiency
- Crucial also e.g. for DCA xy

# Demonstration: seed de-duplication for CKF



seeding in 3 inner + 4 middle layers



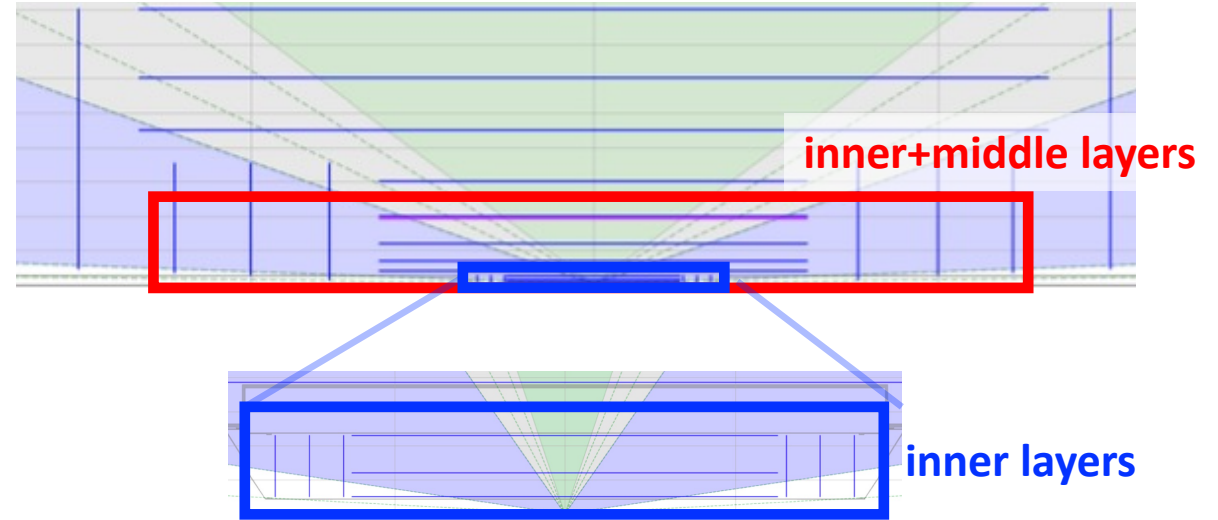
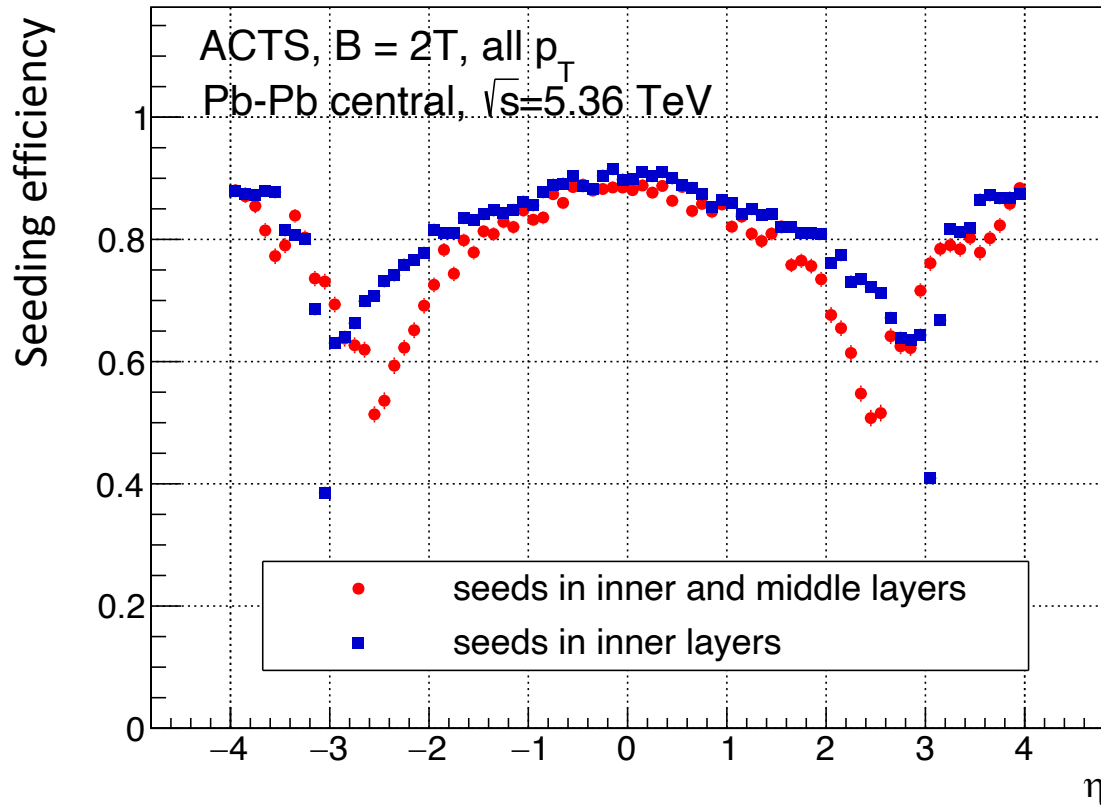
- Central Pb-Pb: ~40% faster with de-duplication=ON (~130 sec / ev)

TrackFinding printouts:

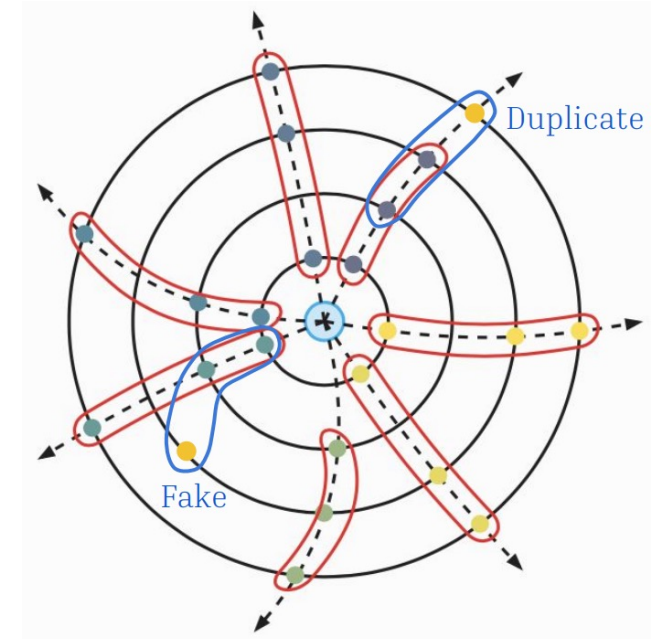
- total seeds: **193k**

- deduplicated seeds: **58k**

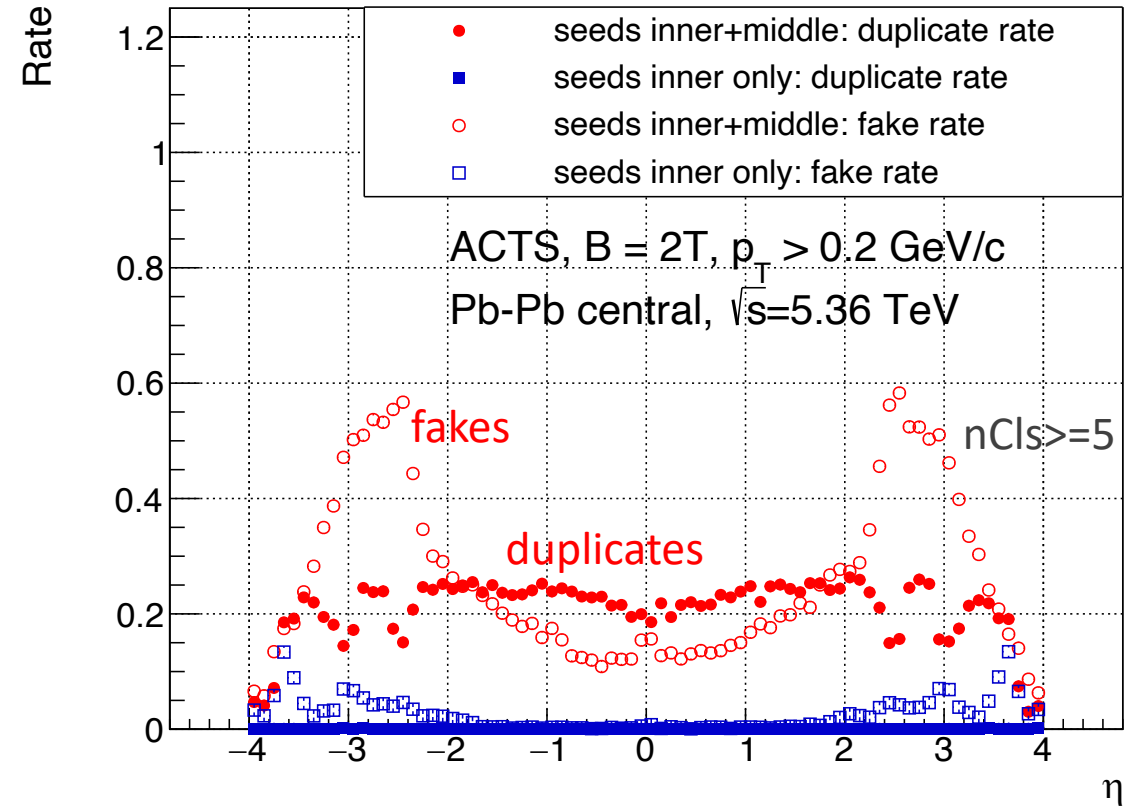
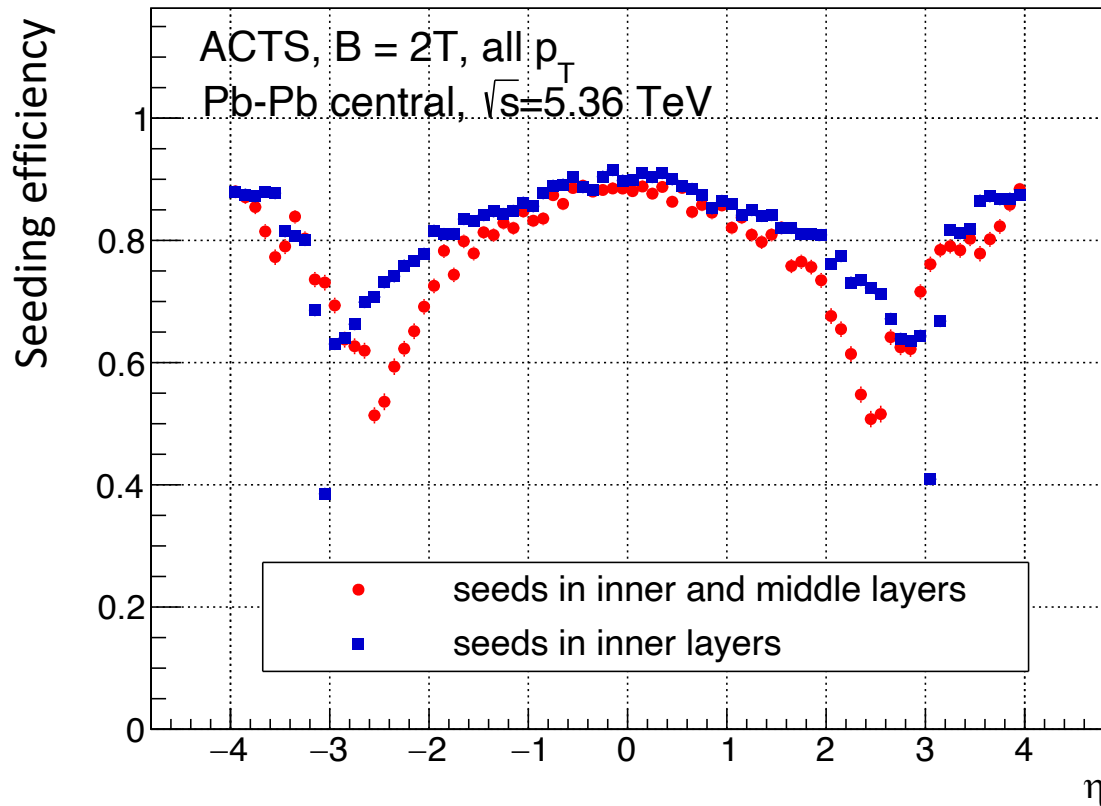
# Which layers are actually optimal for track seeding?



- Seeding from **inner+middle layers** gives reduced efficiencies, in contrast to seeding from **inner-only layers**



# Which layers are actually optimal for track seeding?

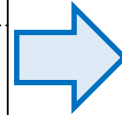
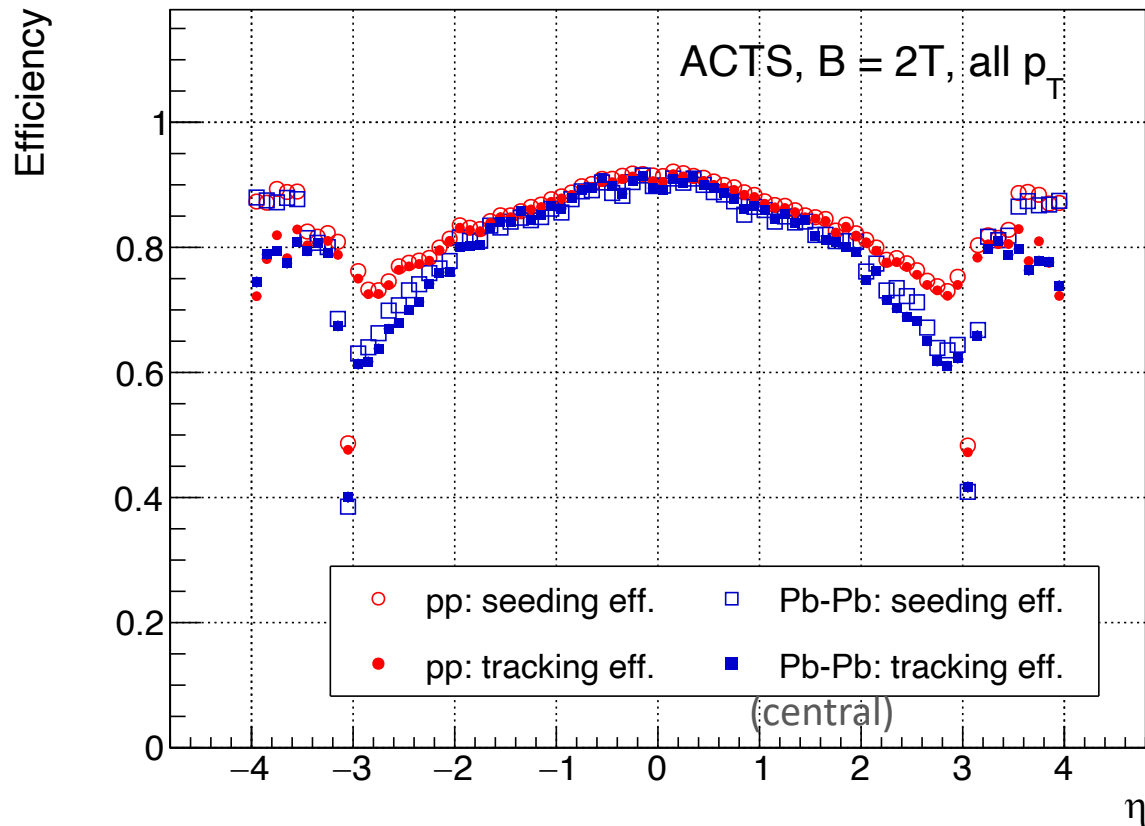


- Seeding from **inner+middle layers** gives reduced efficiencies, in contrast to seeding from **inner-only layers**

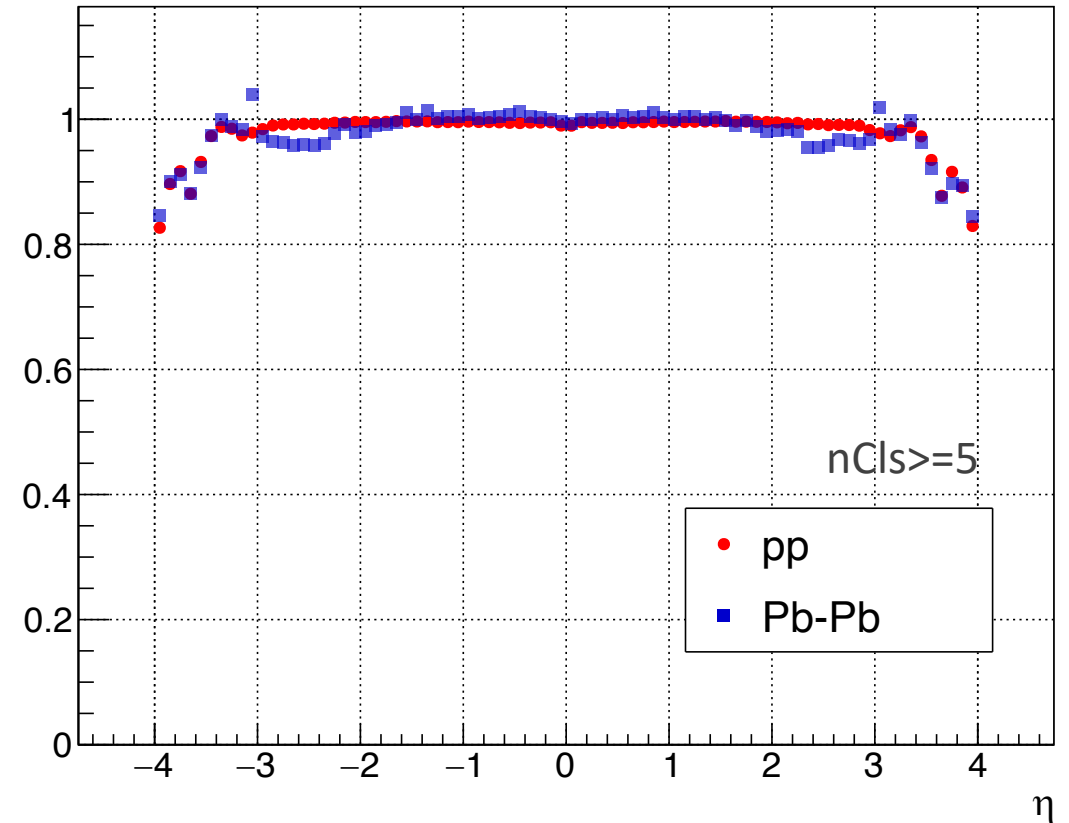
... and also increased duplication and fake track rates...

twoWay=On  
seedDeduplication=On

# Seeding vs tracking efficiency in pp and central Pb-Pb



tracking / seeding



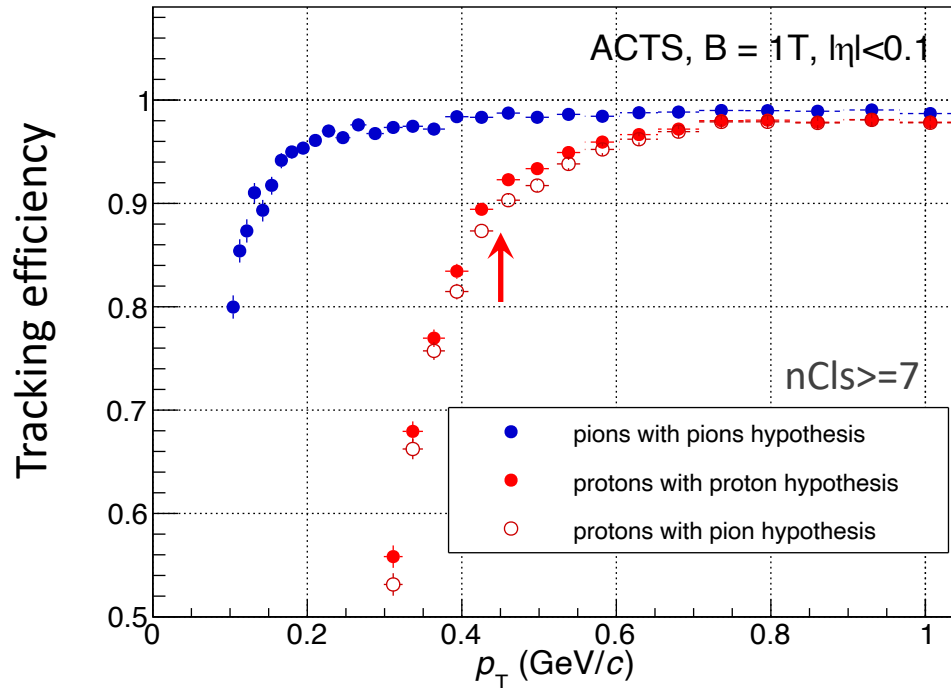
- Seeding is still the main issue for total tracking efficiency

- For found seeds, tracking efficiency is >95% within  $|\eta| < 3.5$

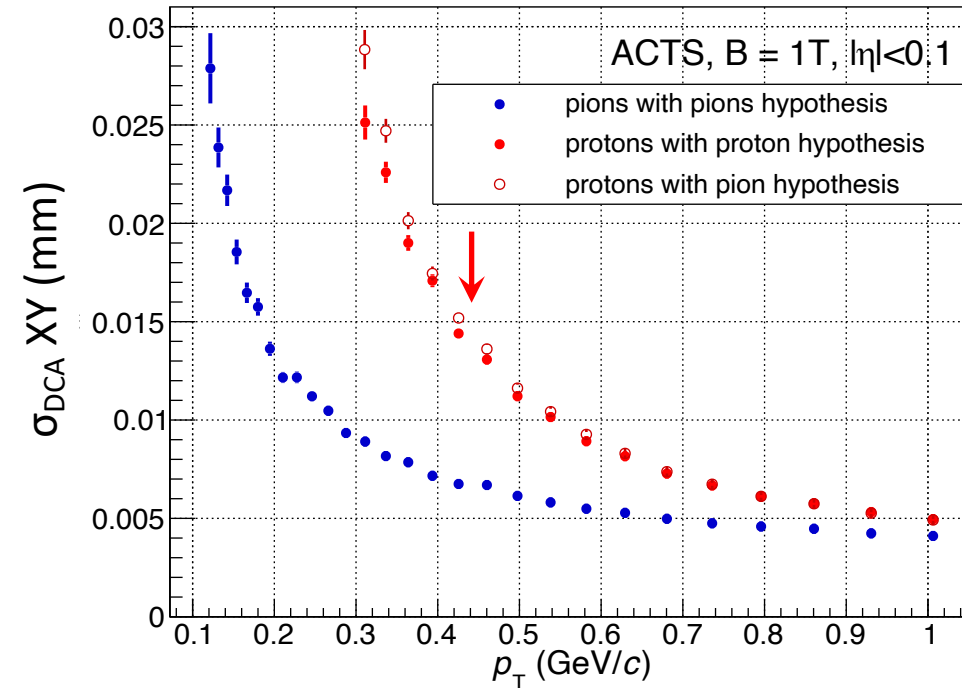
# PID hypothesis for tracking

```
245  def addSeeding(  
    ...  
271  particleHypothesis: Optional[  
272      acts.ParticleHypothesis  
273  ] = acts.ParticleHypothesis.pion,
```

- PID hypothesis in ACTS is **propagated together with track parameters from seeds to final tracks**
- **Pions are the default** → **try protons with proton hypothesis:**



Low  $p_T \sim 0.4$  GeV/c: **2-3% gain in proton efficiency**



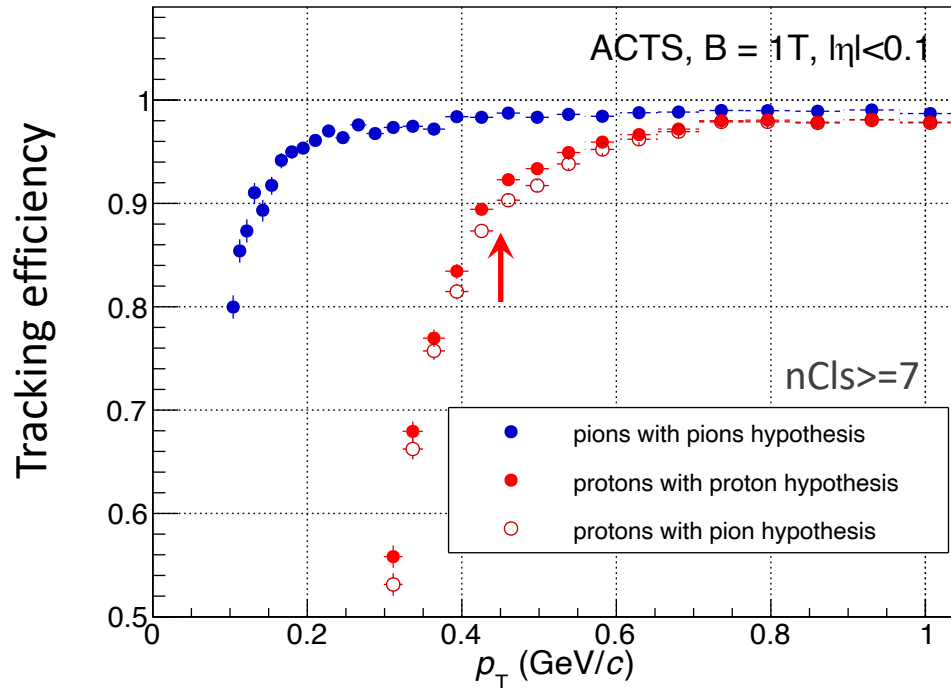
~5% better proton DCAxy

.. however, low- $p_T$  resolution worse by ~30%.

# PID hypothesis for tracking

```
245  def addSeeding(  
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272      acts.ParticleHypothesis  
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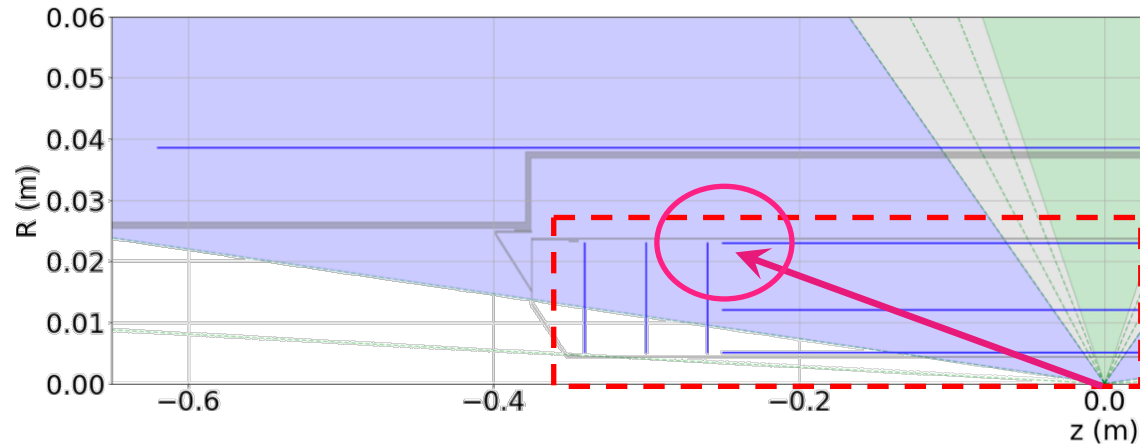


## Can we assign PID hypotheses for each track?

- One would have to hack the code...
  - e.g. assume pions and afterwards refit the found track using PID from TOF
- Somewhere here we hit the boundary of the current ACTS Examples...
  - The usual argument is that experiments will have to come up with their own framework if it gets too complicated.

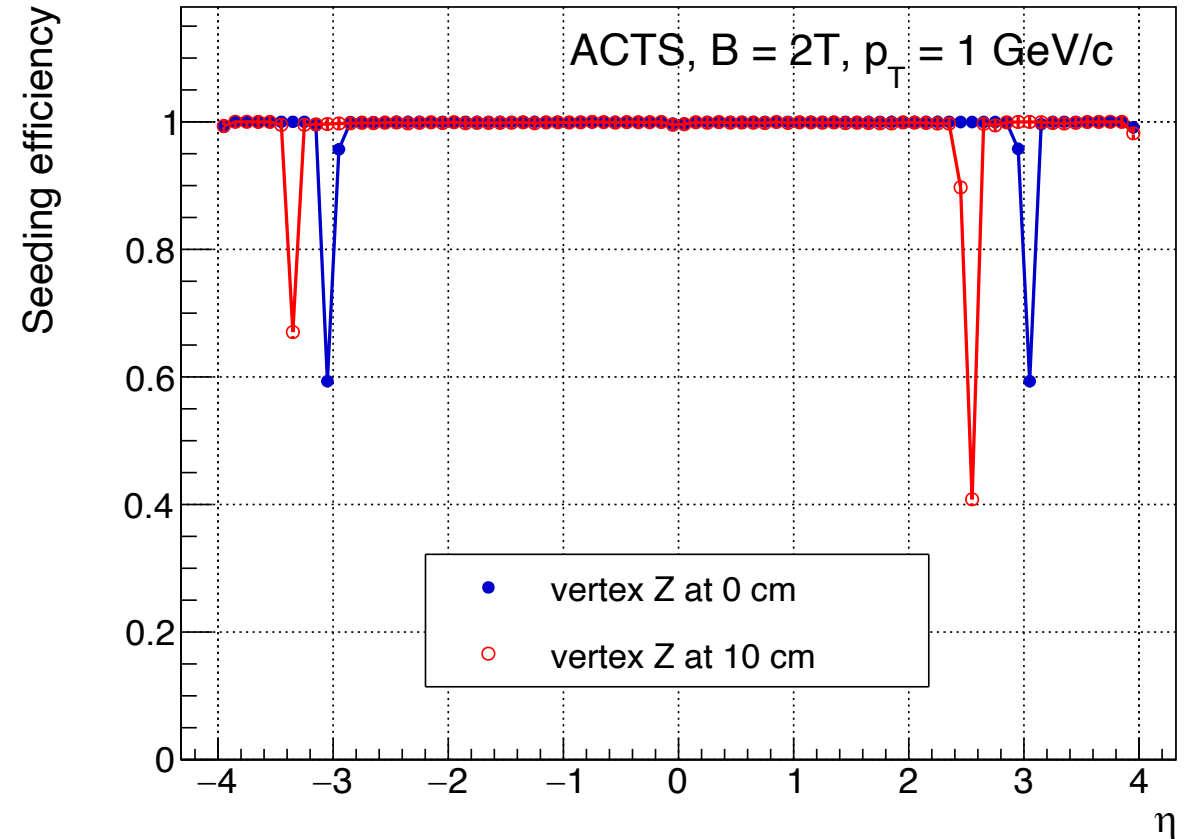
Low  $p_T \sim 0.4$  GeV/c: 2-3% gain in proton efficiency

# Last (geometrical) note about seeding



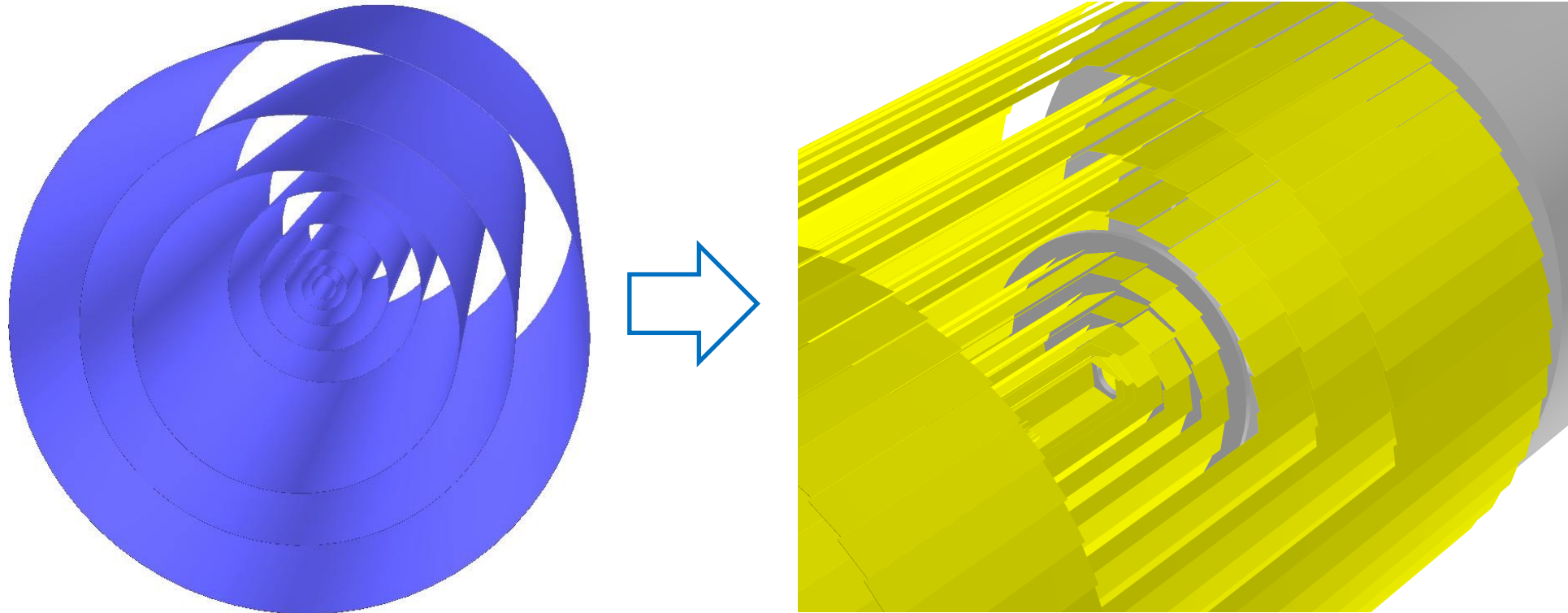
- If seeding is done in innermost layers (to have 3-point “tracklets”):

a small gap b/n Barrel and Endcap influence the performance



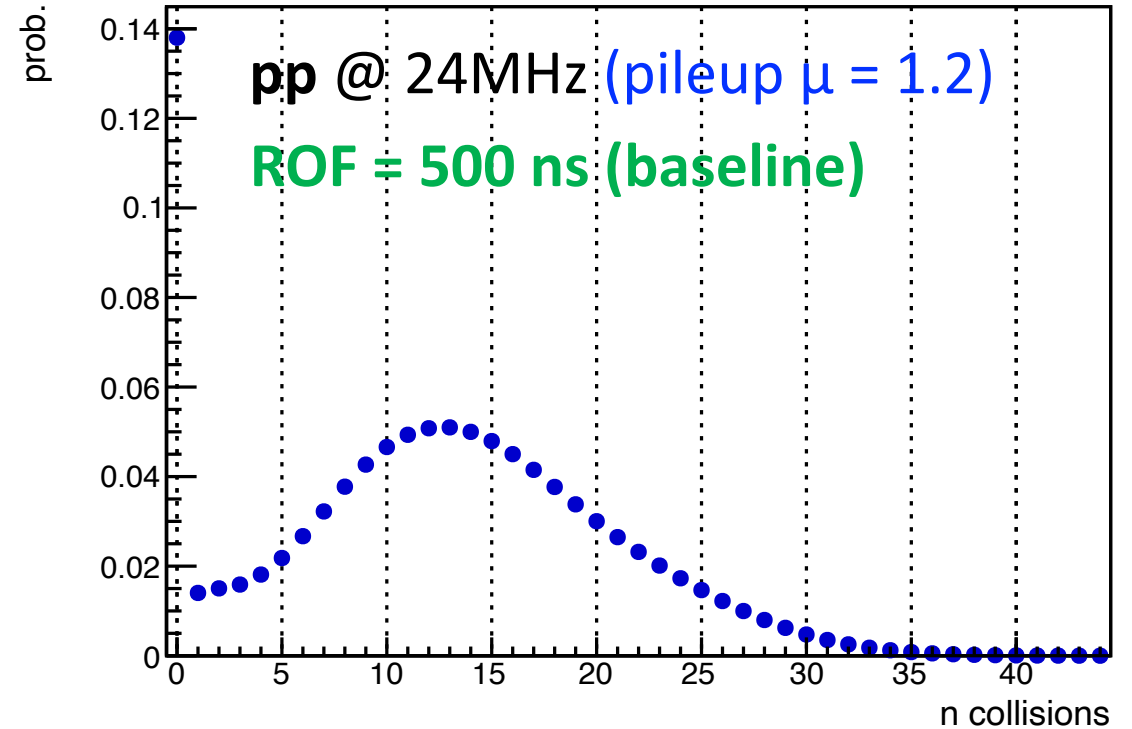
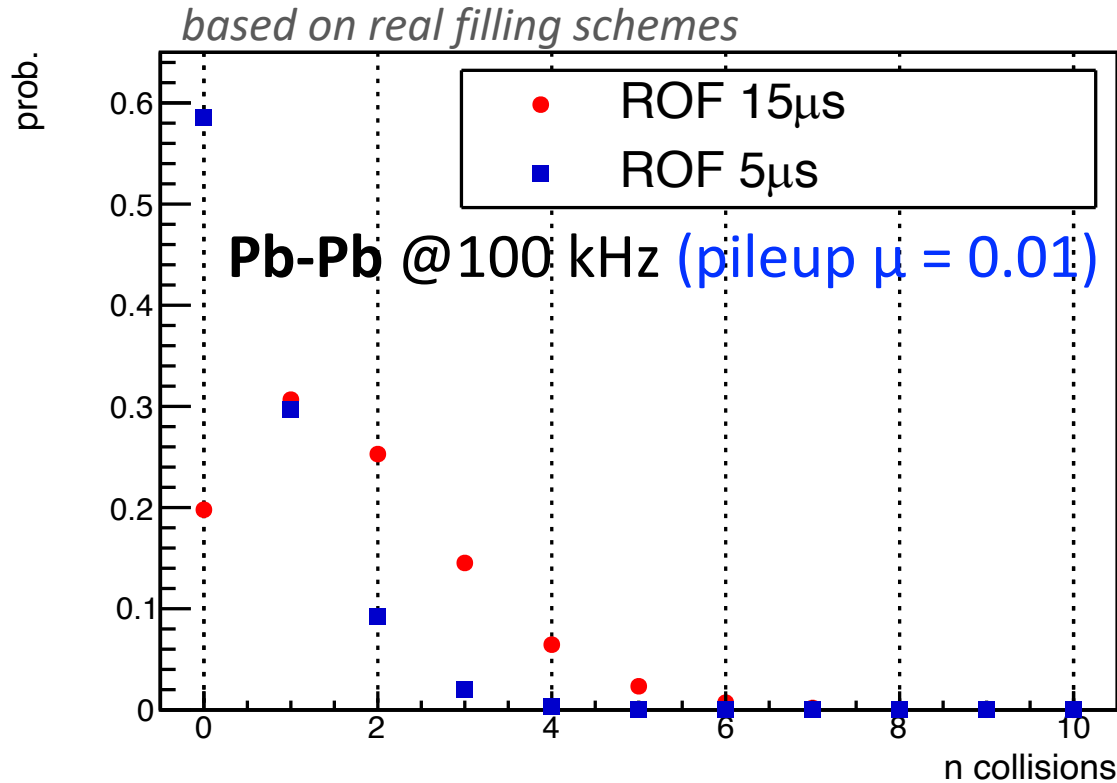


# Segmentation of Middle and Outer tracker barrel



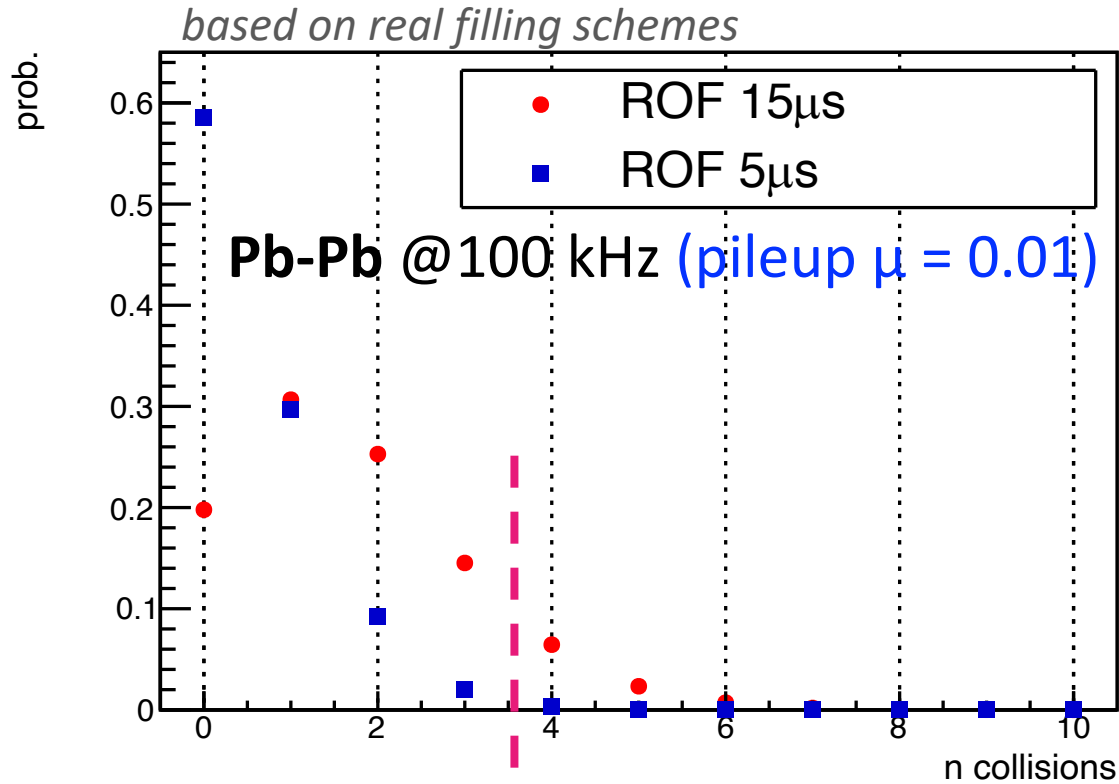
- Implementation of a realistic segmentation in the geometry of the detector is in progress
- **To be tried with ACTS** (currently – simple cylinders in barrel)

# Number of collisions in Tracker Readout frames



- Currently, ITS2 ROF in Pb-Pb is 15 μs
- **Can we keep the same 15 μs ROFs for Pb-Pb in ALICE3?**

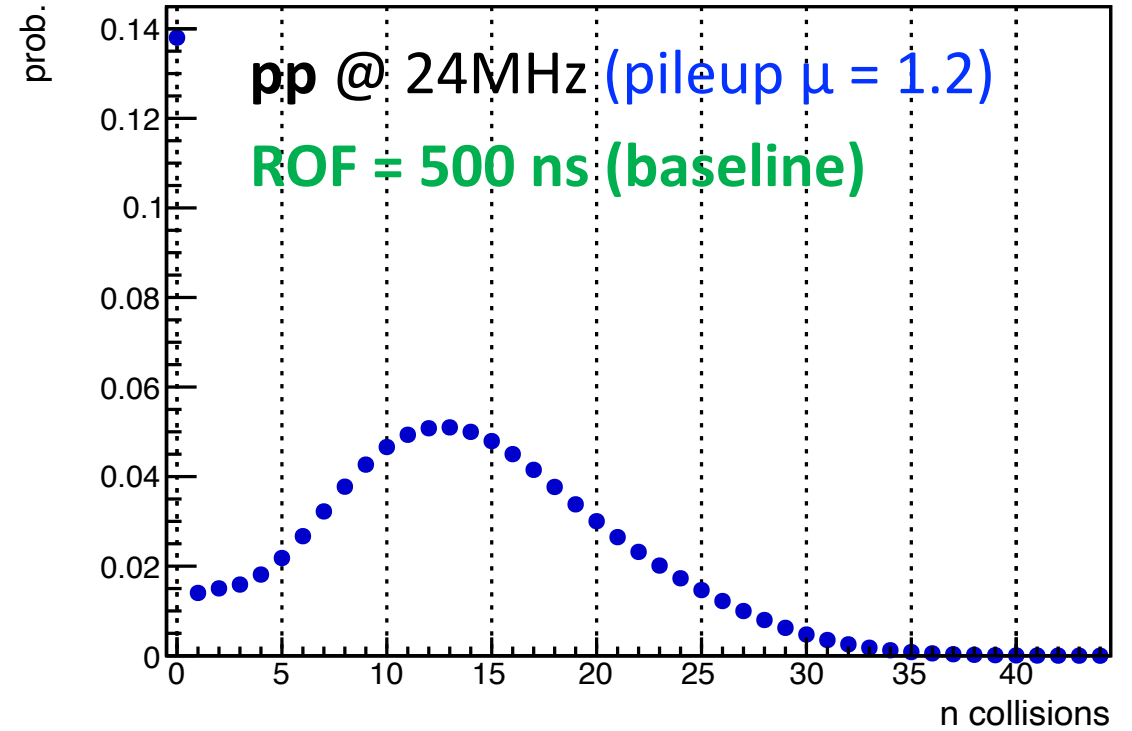
# Number of collisions in Tracker Readout frames



**Pb-Pb @ 100 kHz (pileup  $\mu = 0.01$ )**

ROF = 15  $\mu$ s:  
in 10% cases,  $\geq 4$  coll. per ROF

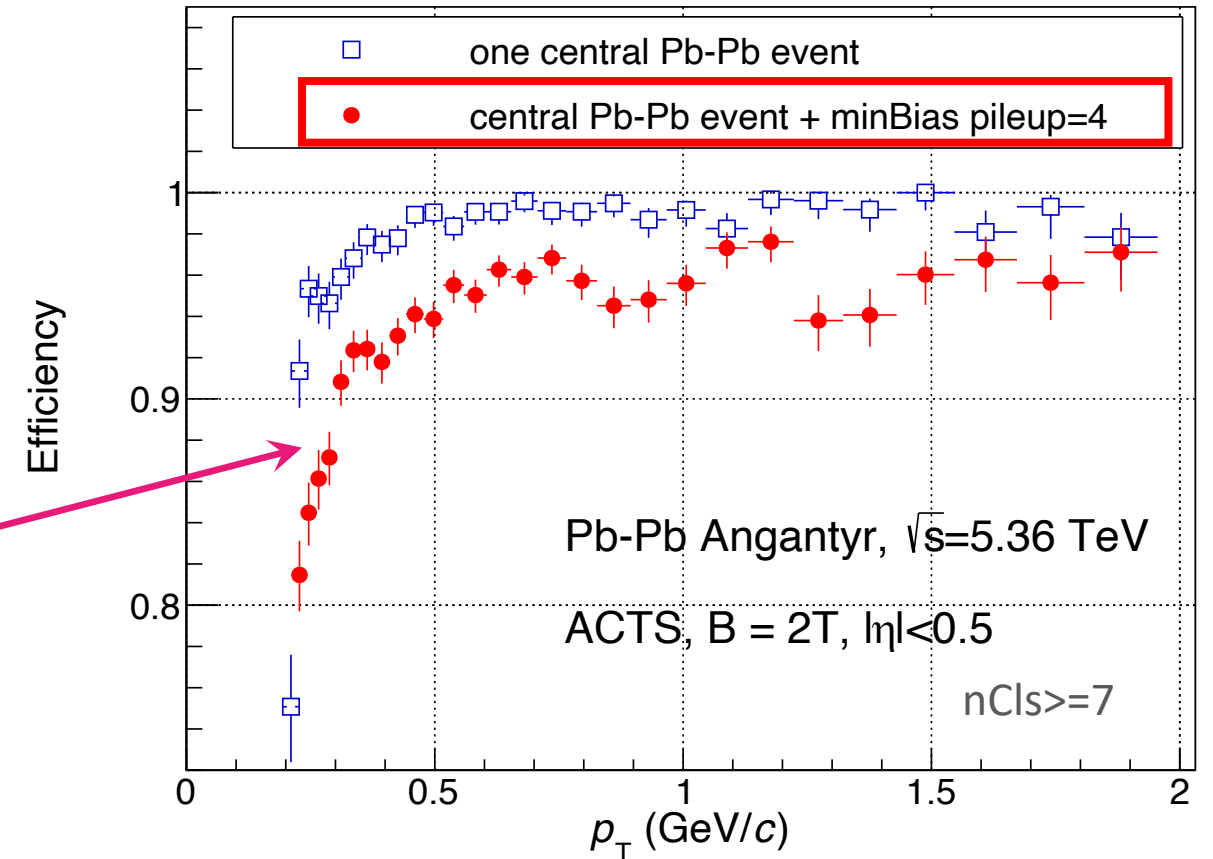
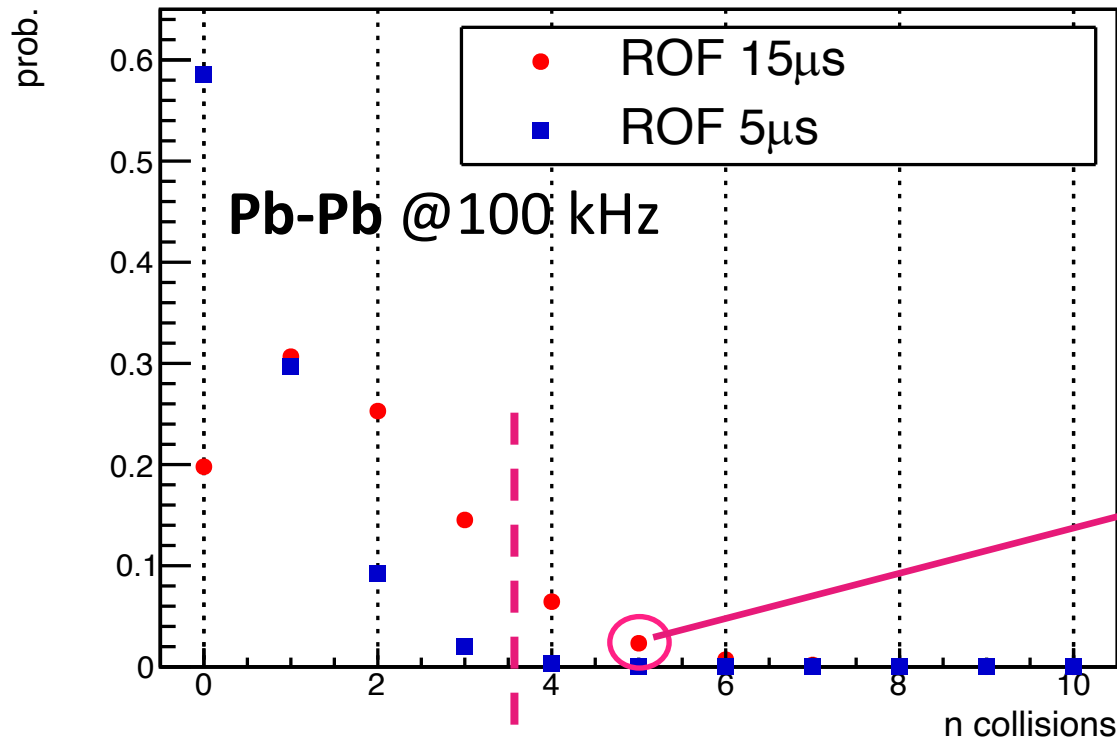
- Currently, ITS2 ROF in Pb-Pb is 15  $\mu$ s
- Can we keep the same 15  $\mu$ s ROFs for Pb-Pb in ALICE3?



**pp @ 24MHz (pileup  $\mu = 1.2$ )**  
**ROF = 500 ns (baseline)**

→ Try tracking (with ACTS)

# Tracking Efficiency for central Pb-Pb + pileup



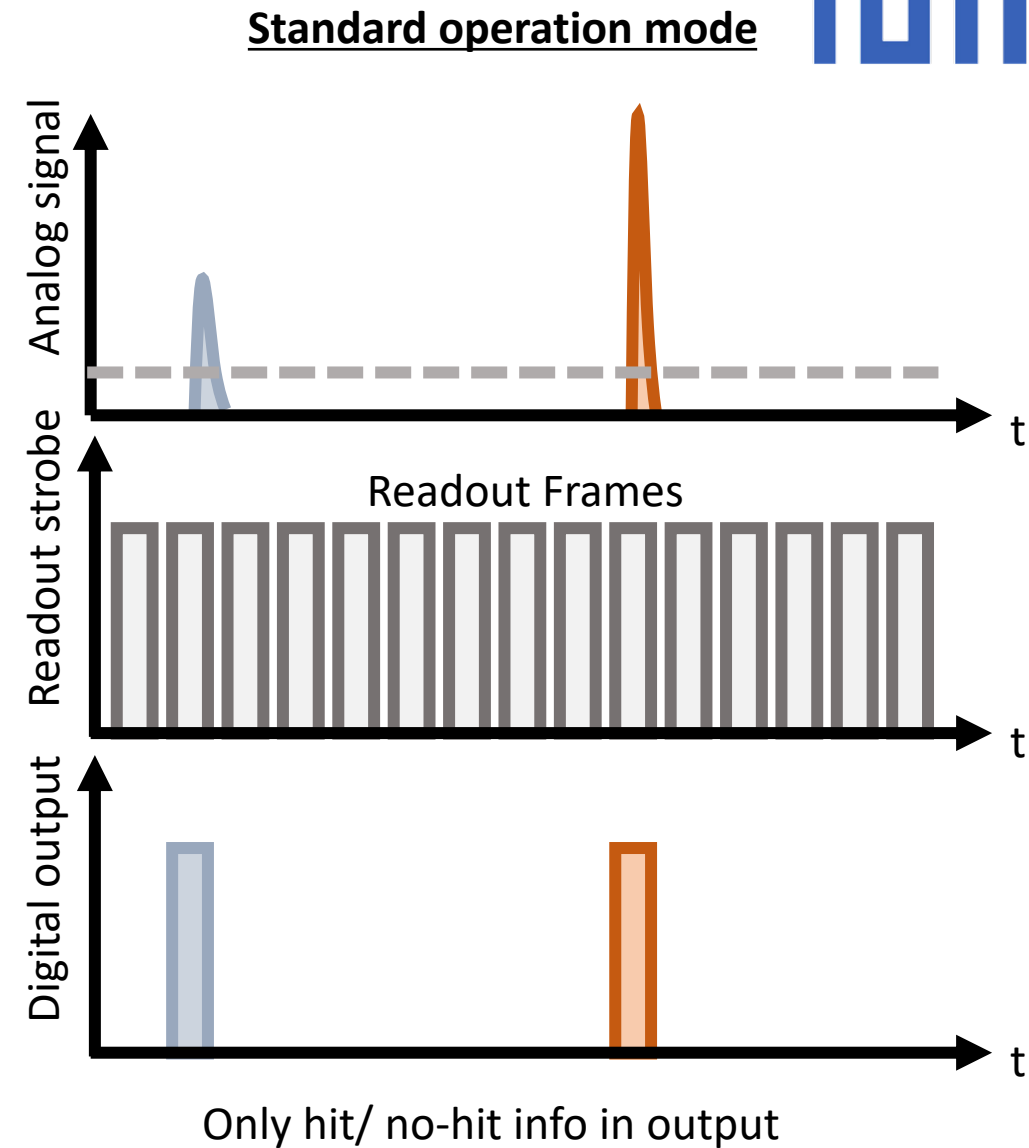
- Visible drop of the tracking efficiency with high in-ROF pileup
  - yet another thing to optimize

# Amplitude measurement via Time-Over-Threshold

- Similar to color runs in ITS2  
([see slide 18 in ALICE week talk](#))

- Over sampling the signal  
→ measure same hit multiple times
- Signal decay time (ToT) depends on signal amplitude  
(deposited charge)

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Henrik Friert

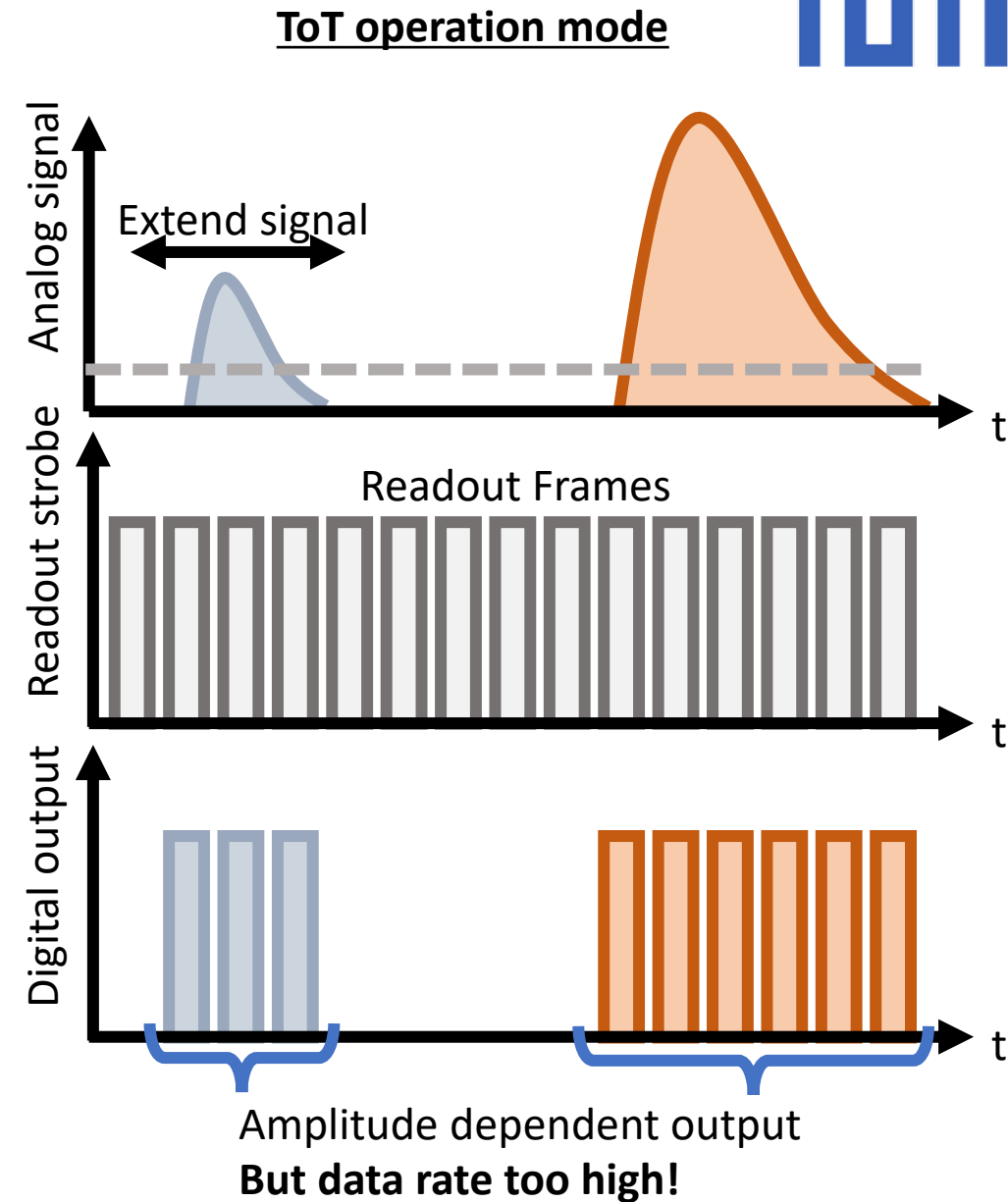


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- Data rate becomes too high to be feasible



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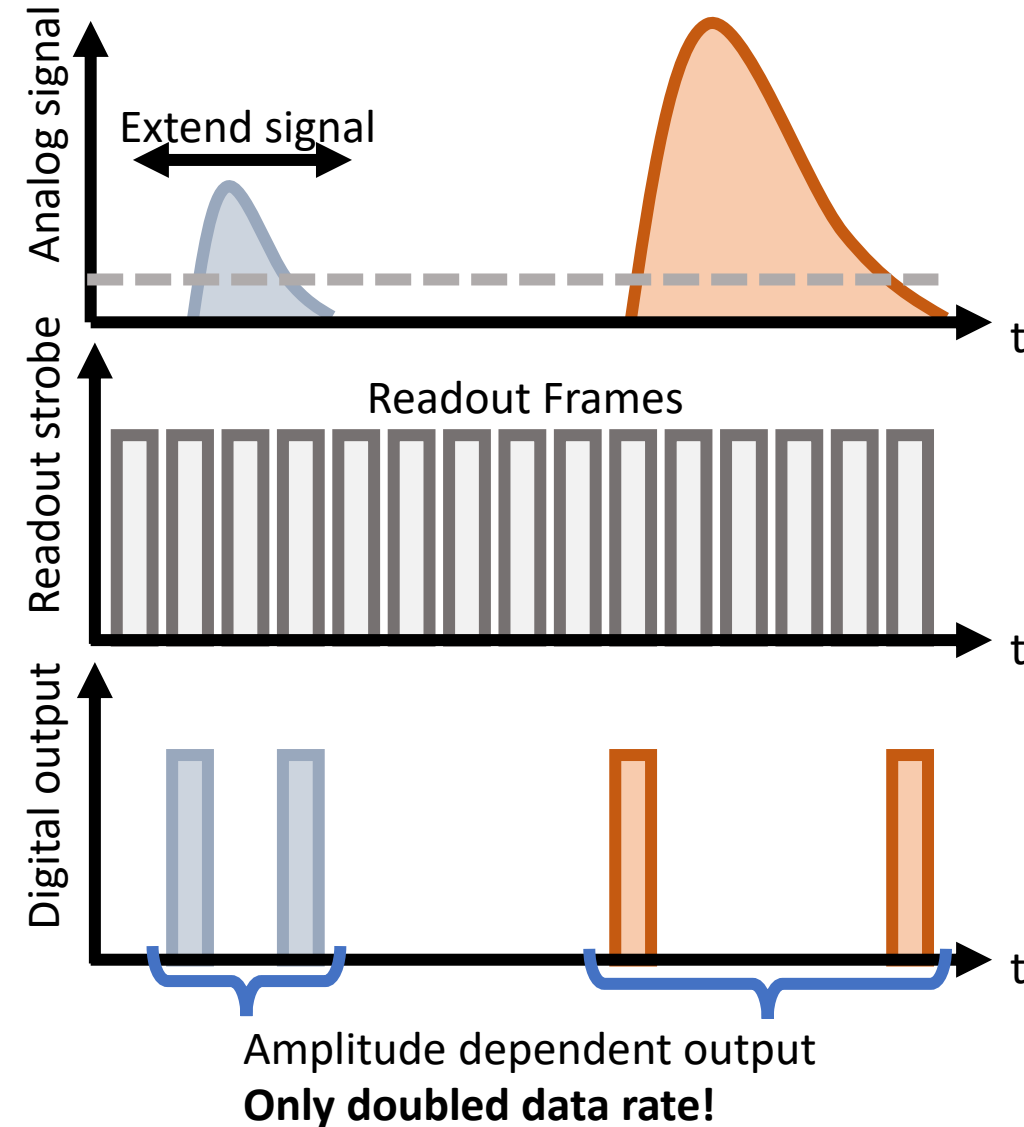
- Similar to color runs in ITS2 ([see slide 18 in ALICE week talk](#))
  - Over sampling the signal  
→ measure same hit multiple times
  - Signal decay time (ToT) depends on signal amplitude (deposited charge)
  - Data rate becomes too high to be feasible
- Front-end sensitive to both rising and falling edge  
→ data rate only doubled from standard operation mode

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Henrik Friert



**ALICE3: use the time difference for PID?..**

ToT operation mode

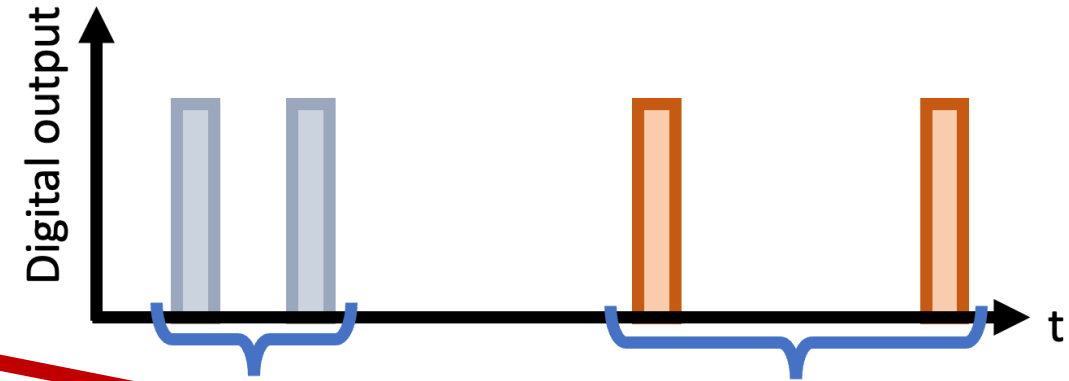


# PID Performance estimation

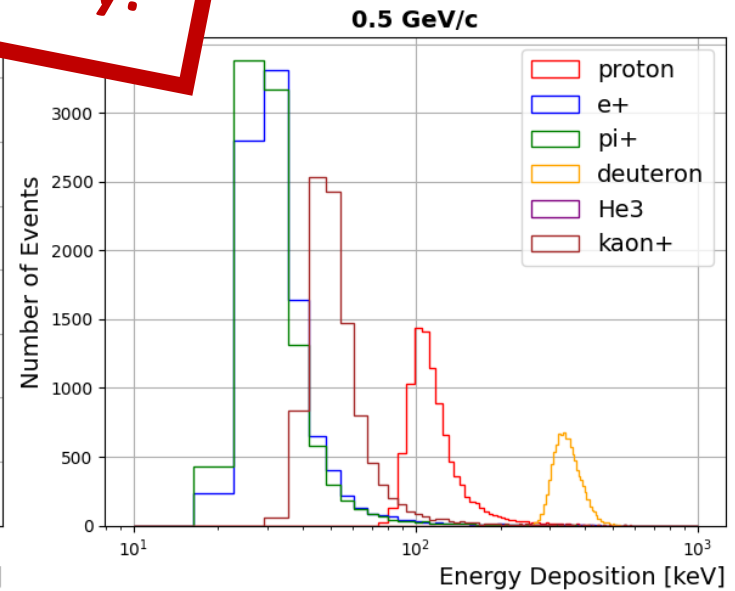
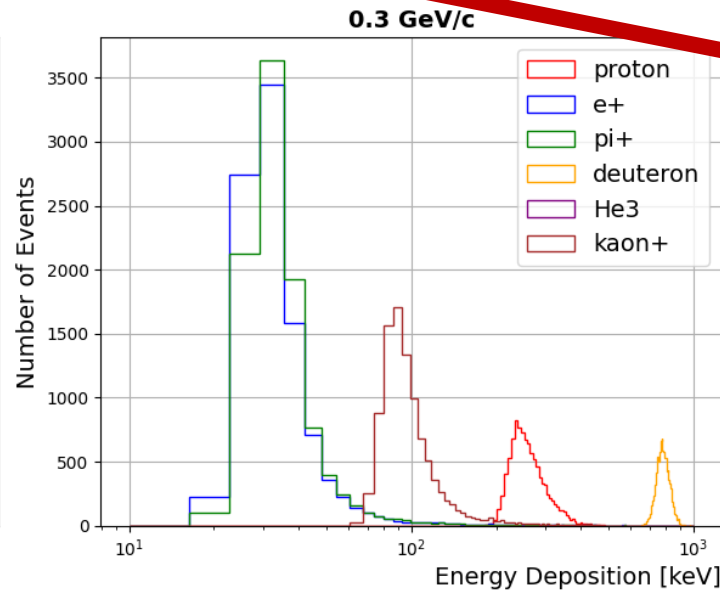
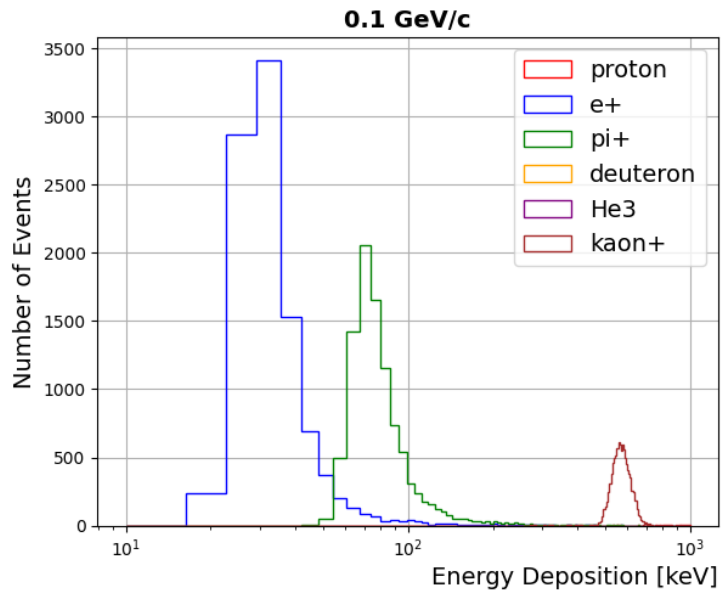
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Henrik Friert



- Average energy loss signal measured over 11 hits
- Separation of protons up to  $\sim 500$  MeV/c particle momentum



**Very preliminary!**





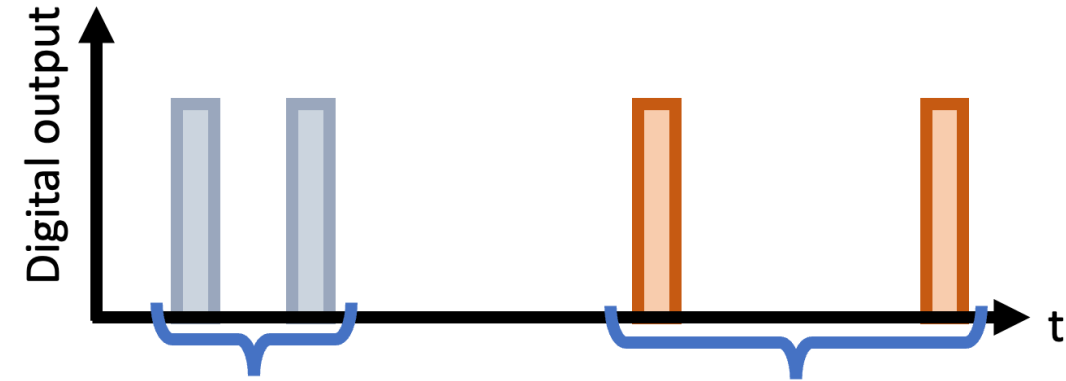
# PID Performance estimation

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Henrik Friert



## Potential use cases

- Improved PID for low  $p_T$  tracks and nuclei
- Seeding and ambiguity resolving
- Fake-hit rejection via ToT cuts
  - Noise hits do not lead to long ToT signal
  - Effect on efficiency needs to be studied for different configurations



## Challenges

- ToT measurement not applicable for high pixel occupancies
- Complication of tracking due to "phantom" hits from ToT-end signal
  - Introducing an additional bit (rising/falling edge) might be necessary

## Outlook

- Introducing ToT measurement into ACTS to estimate impact on tracking
- More detailed Geant4 simulations for differential PID performance based on ToT-resolution

*Concept to be proved*

# Summary

- Important tracking improvements in ACTS, including:
  - outward+inward tracking, seed deduplication
  - now we can fairly well operate at multiplicities of central Pb-Pb
- If continue using ACTS, we will soon need to customize it for ALICE3:
  - use our own seeding (CA)? (via “code intervention” or via plug-in mechanism)
  - PID hypothesis, etc.
- Segmentation of layers is added to the geometry → to be tried with ACTS
- ROF size for Pb-Pb – first performance checks
- PID using time difference b/n rising and falling edges of amplitudes? → concept to be proved

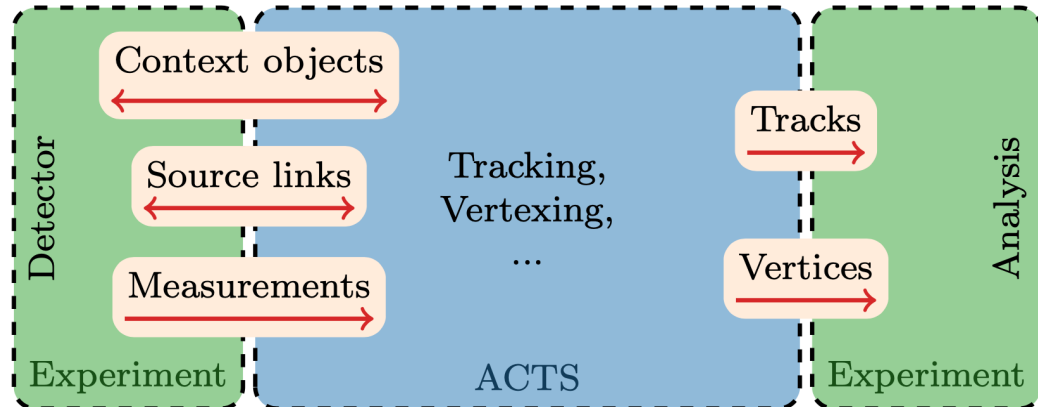
# Backup

Overview:  
[Comp. and Soft. for Big Science, 6, 8 \(2022\)](#)

Implementation for sPHENIX  
[Comp. and Soft. for Big Science, 5, 23 \(2021\)](#)

[github](#), [acts\\_alice3](#)

Example of integration into experiment's framework:

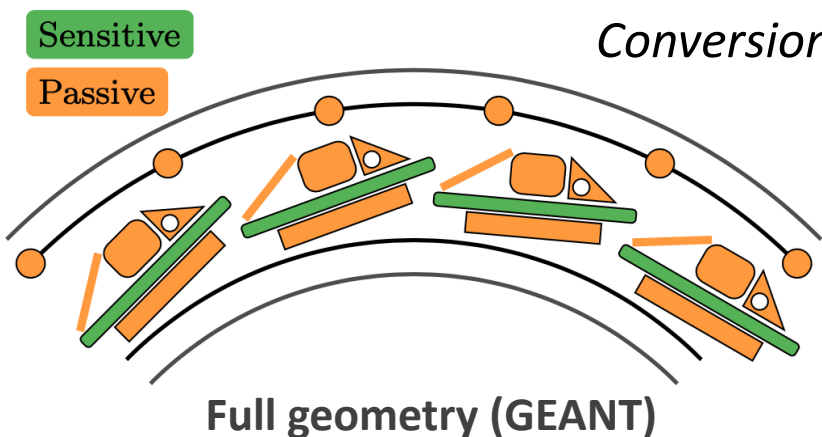


Full chain:

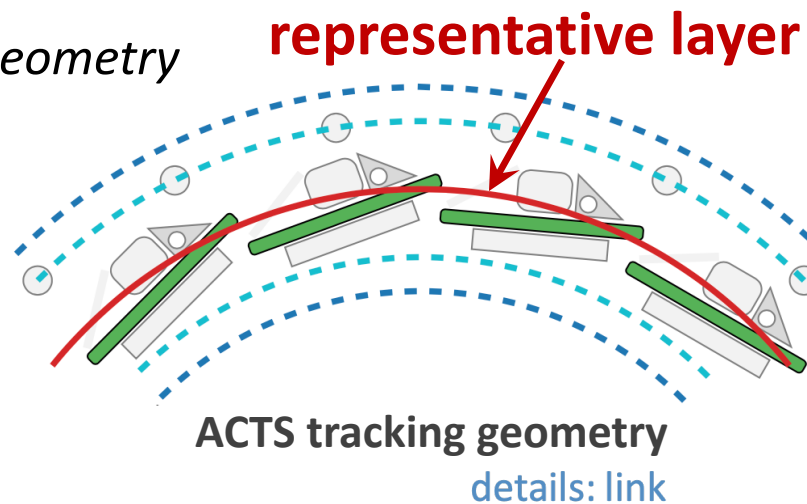
[sim] gen. particles → sim. hits → digitization

[rec] → seeding → track finder → track ambiguity resolver

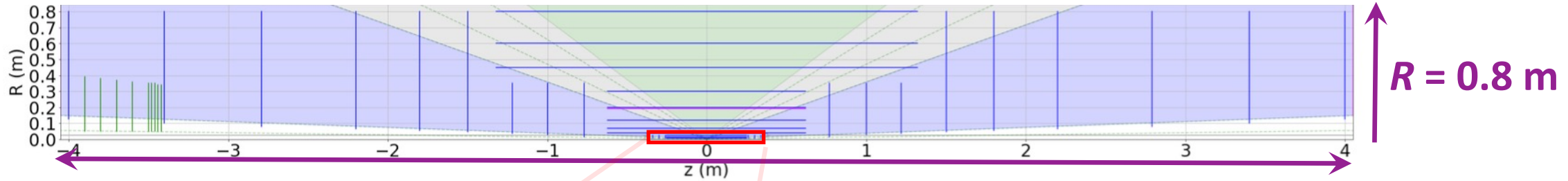
- can be run as a simple Python script



Conversion of the geometry



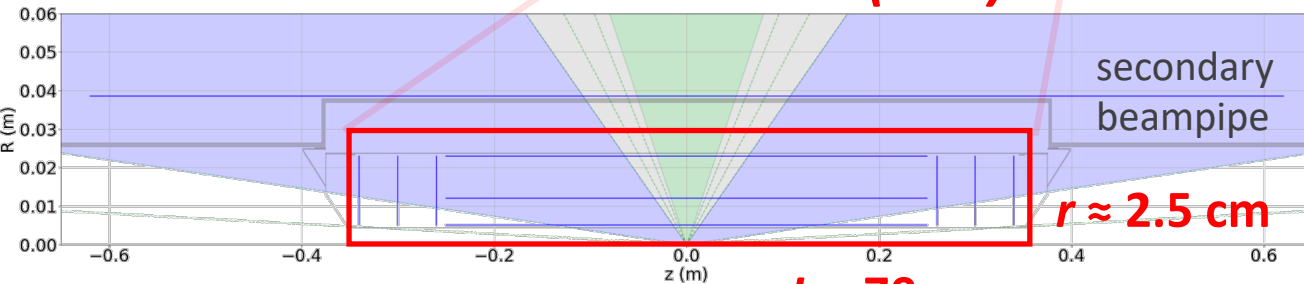
- ckf\_pion\_gunMult35\_1kEv\_pt05GeV\_B1
  - analysisTrackSummaryAmbi.root
  - estimatedparams.root
  - hits.root
  - measurements.root
  - particles\_final.root
  - particles\_initial.root
  - performance\_ambi.root
  - performance\_ckf.root
  - performance\_seeding.root
  - trackstates\_ambi.root
  - trackstates\_ckf.root
  - tracksummary\_ambi.root
  - tracksummary\_ckf.root



$L = 8 \text{ m}$

$R = 0.8 \text{ m}$

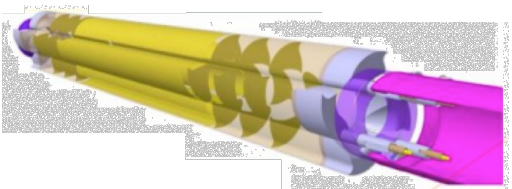
**Vertex detector (IRIS)**



$r \approx 2.5 \text{ cm}$

$L \approx 70 \text{ cm}$

**first layer at midrapidity:  
5 mm from beam!**



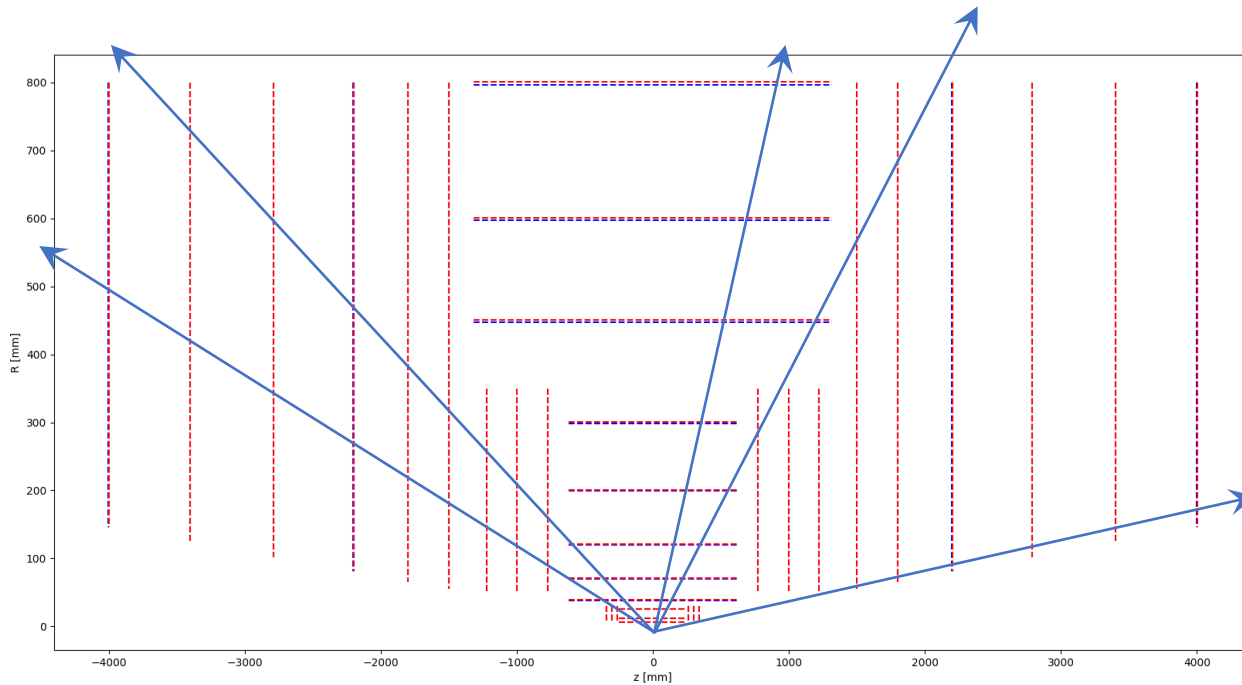
Layer	Material thickness (% $X_0$ )	Intrinsic resolution ( $\mu\text{m}$ )	Barrel layers		Forward discs		
			Length ( $\pm z$ ) (cm)	Radius ( $r$ ) (cm)	Position ( $ z $ ) (cm)	$R_{\text{in}}$ (cm)	$R_{\text{out}}$ (cm)
0	0.1	2.5	50	0.50	26	0.50	3
1	0.1	2.5	50	1.20	30	0.50	3
2	0.1	2.5	50	2.50	34	0.50	3
3	1	10	124	3.75	77	5	35
4	1	10	124	7	100	5	35
5	1	10	124	12	122	5	35
6	1	10	124	20	150	5	80
7	1	10	124	30	180	5	80
8	1	10	264	45	220	5	80
9	1	10	264	60	279	5	80
10	1	10	264	80	340	5	80
11	1				400	5	80

**Table 8:** Geometry and key specifications of the tracker.

# How to prepare a layout?

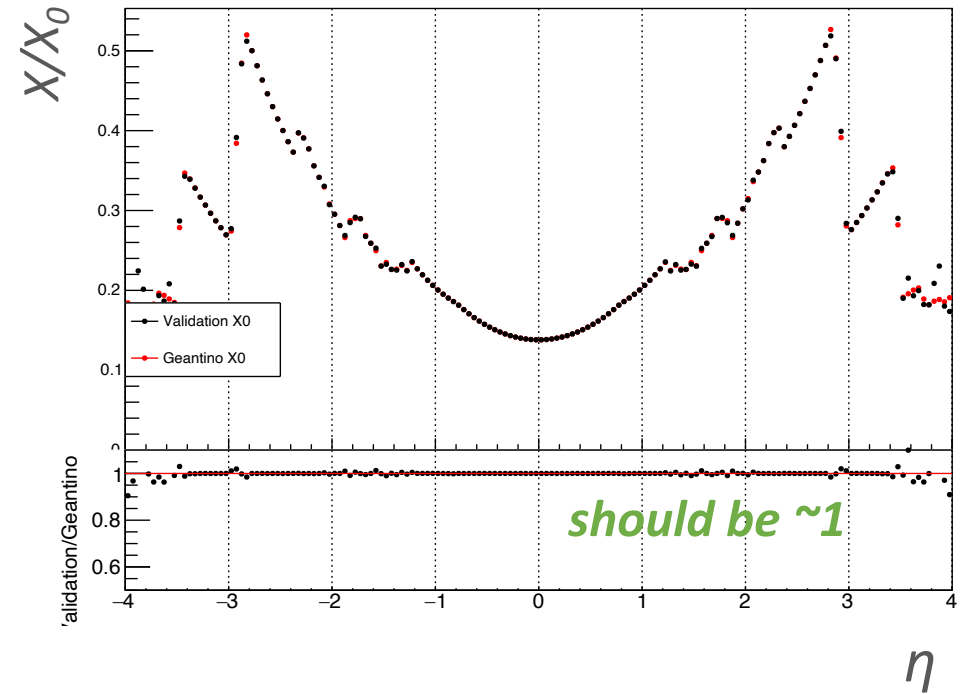
## 2) Do “material mapping”

- “scan” geometry with a particle gun with GEANT4,
- project material on pre-defined surfaces



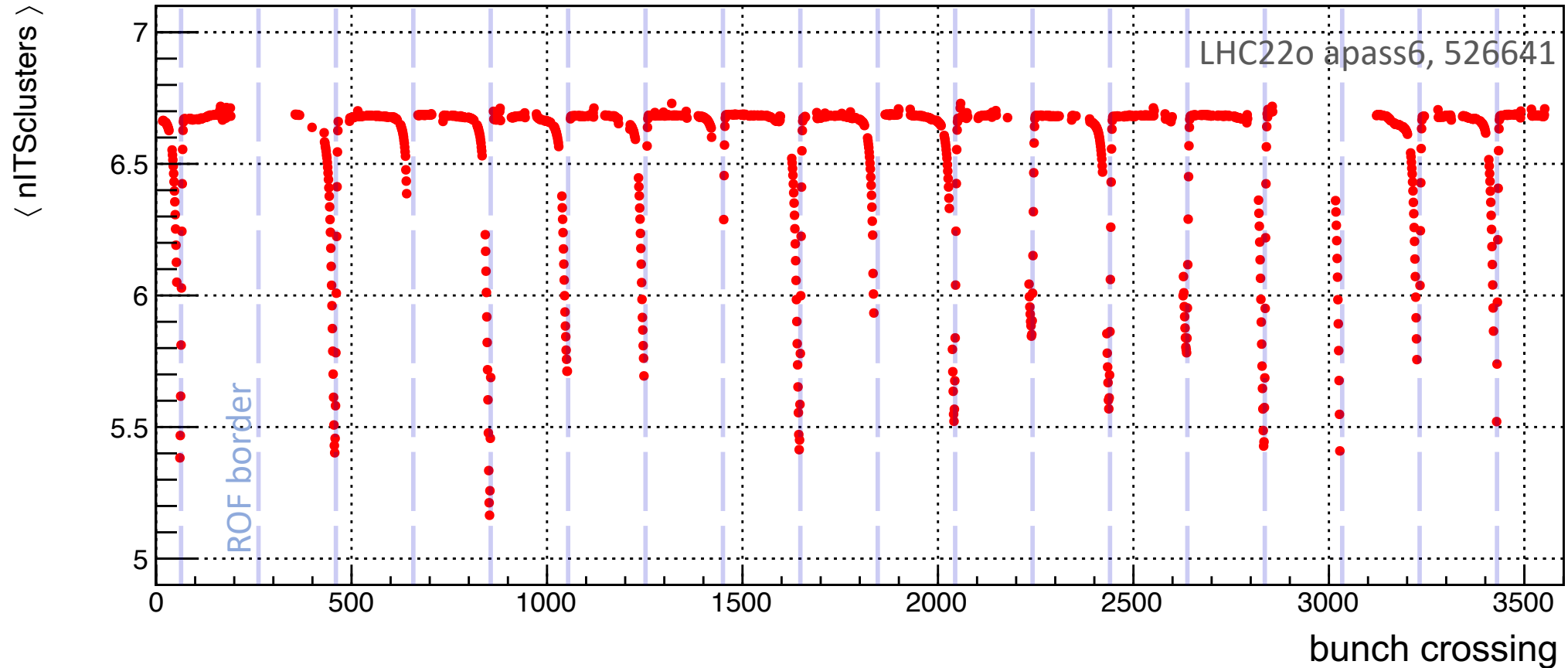
→ .json file

## QA: GEANT4 vs “mapped”



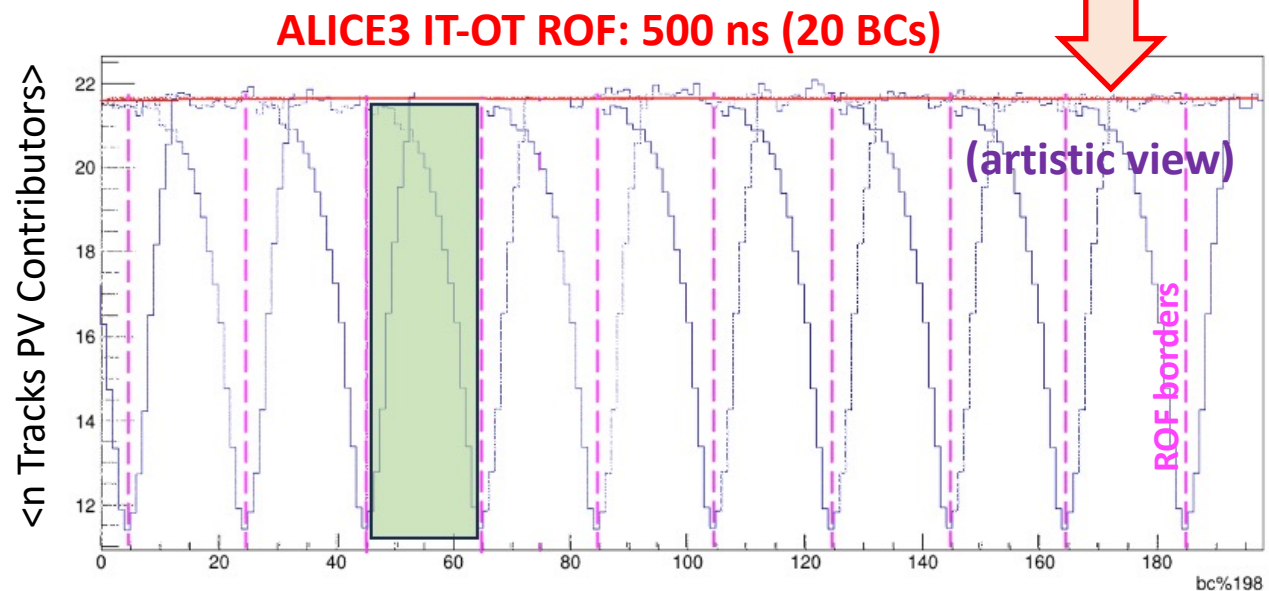
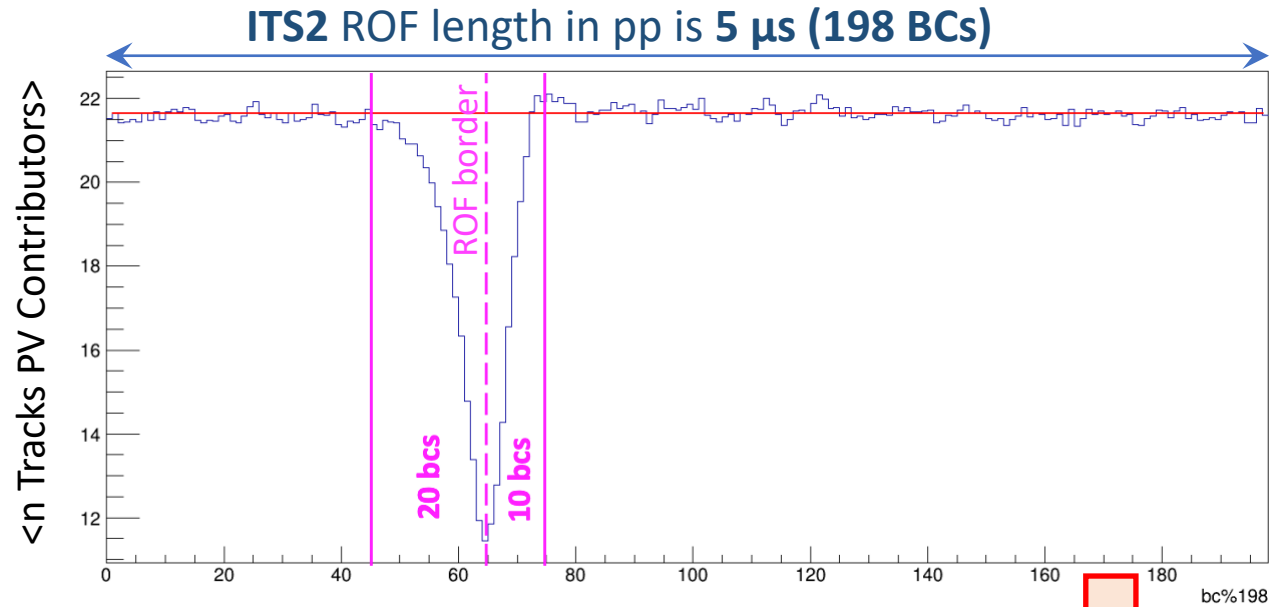
# ITS2 Readout Frames in Run 3

pp collisions: 18 ROFs per LHC orbit, each ROF 5  $\mu$ s (198 BCs)



- Cluster loss on the ROF boundary due to the *ALPIDE time walk*:
  - "Time walk" determines the **variations of the time distance** between when a particle crosses the detector and the corresponding pulse in the front-end goes above the threshold

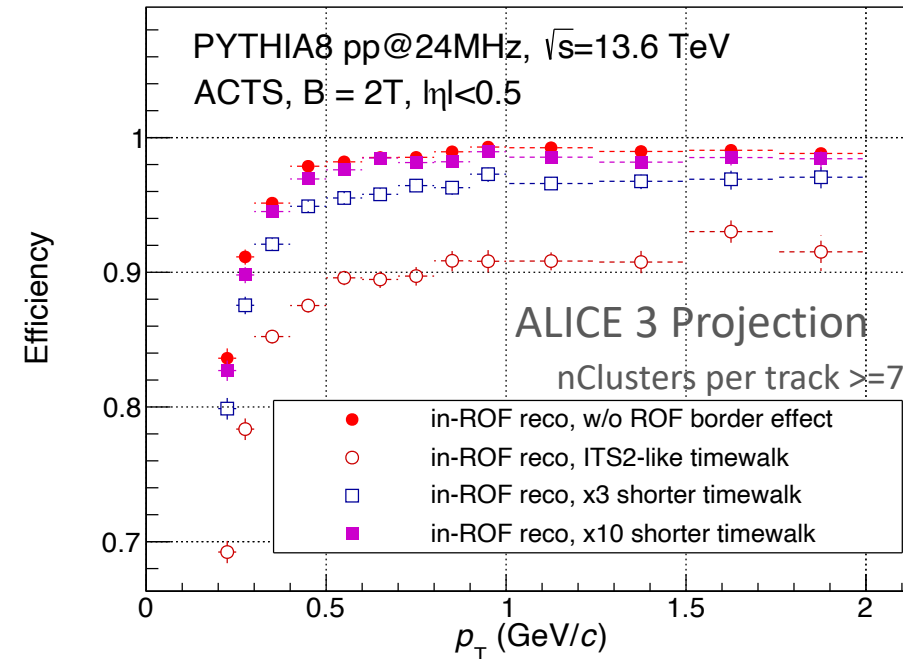
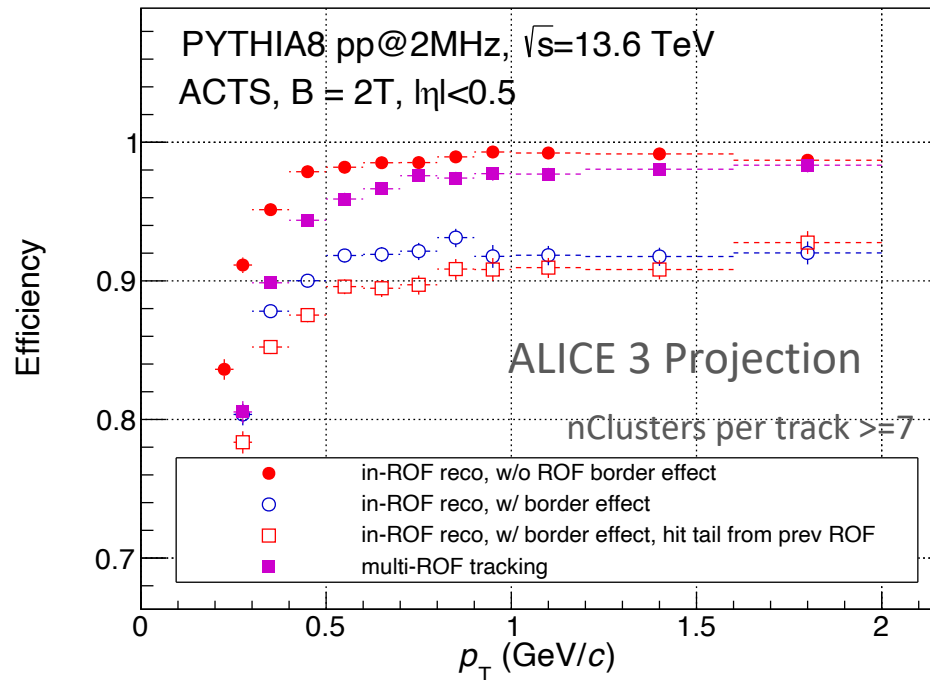
# “Projection” of the border effect on ALICE 3 ROFs



- The *time walk* in ITS3 is expected to be similar to ITS2
- If assume the same also for IT-OT of ALICE3, + take narrower ROFs of 500 ns  
→ the “gaps” in performance can even overlap!



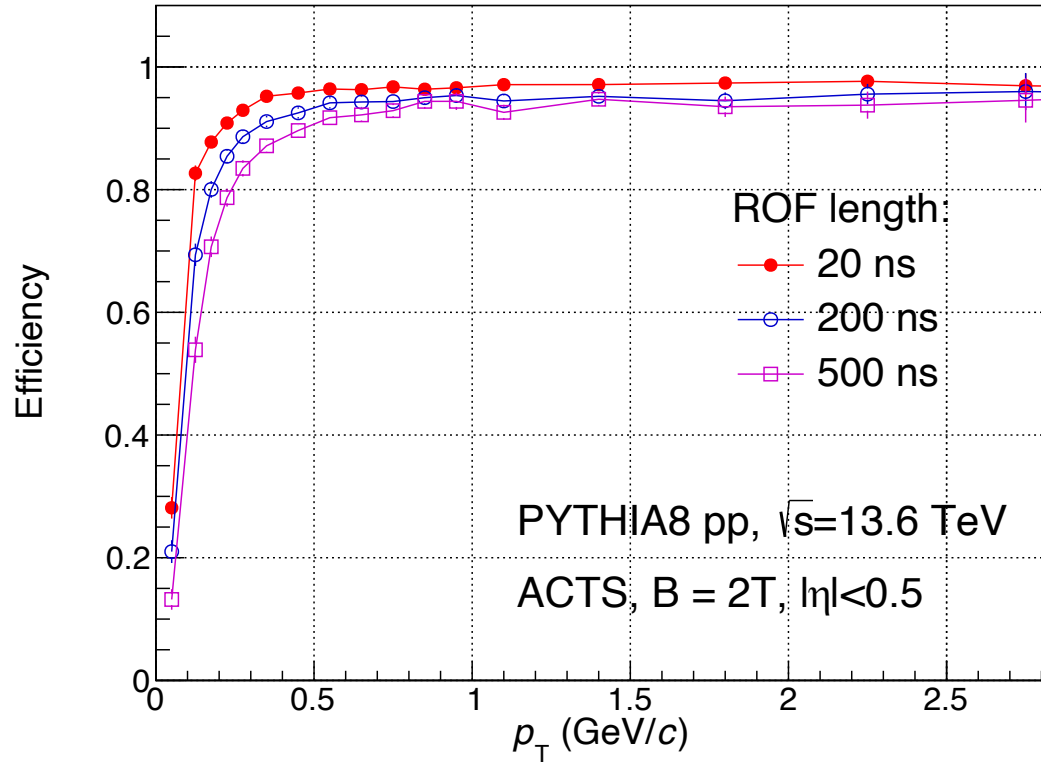
- ROF border effect should be very significant for short ROF lengths (500 ns for IT/OT @ ALICE 3)
- Multi-ROF tracking allows one to recover tracking efficiency in ROFs of ALICE3
  - demonstrated with simulations (assuming the same time-walk as in ITS2)
- The reduction of the time walk allows to recover the in-ROF rec. efficiency, important aspect of R&D



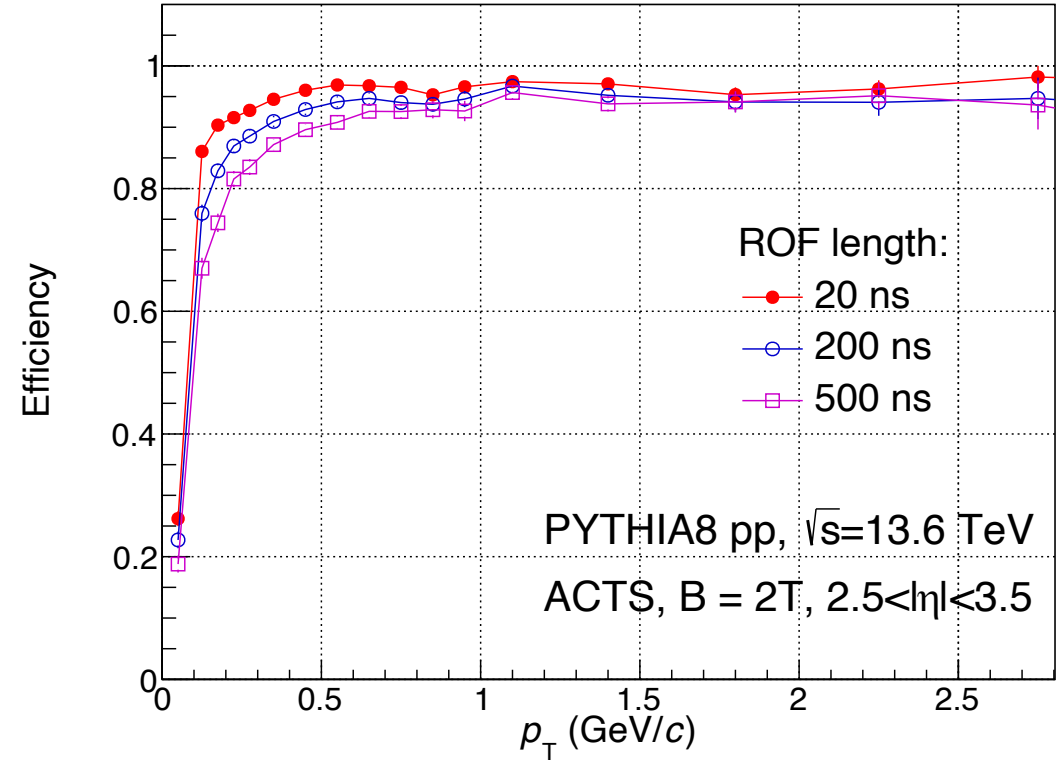
# Efficiency vs $p_T$ at different $pp$ pileup

Updated March 25:  
now with reconstructed seeds & in-ROF pileup

$|\eta| < 0.5$



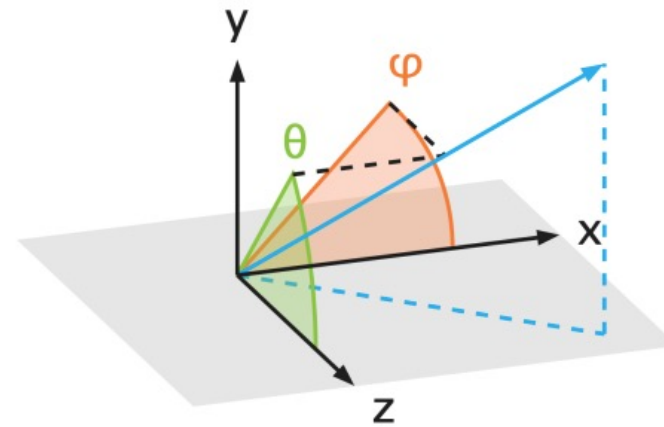
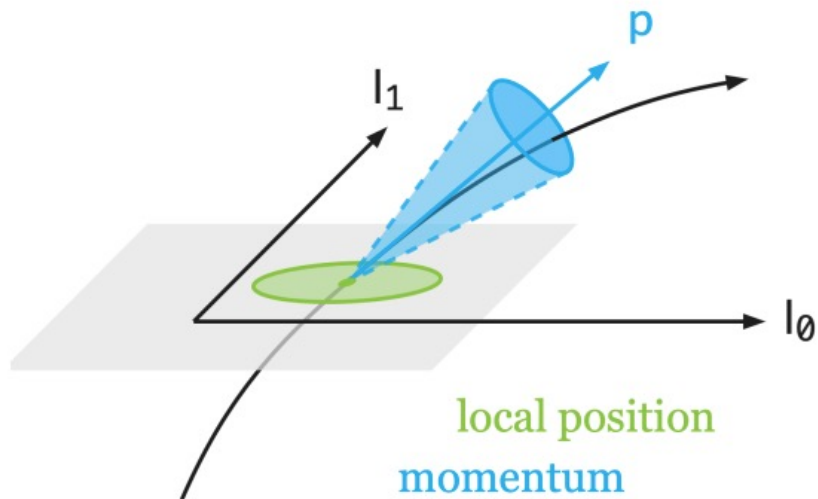
$2.5 < \eta < 3.5$



# ACTS Event Data Model

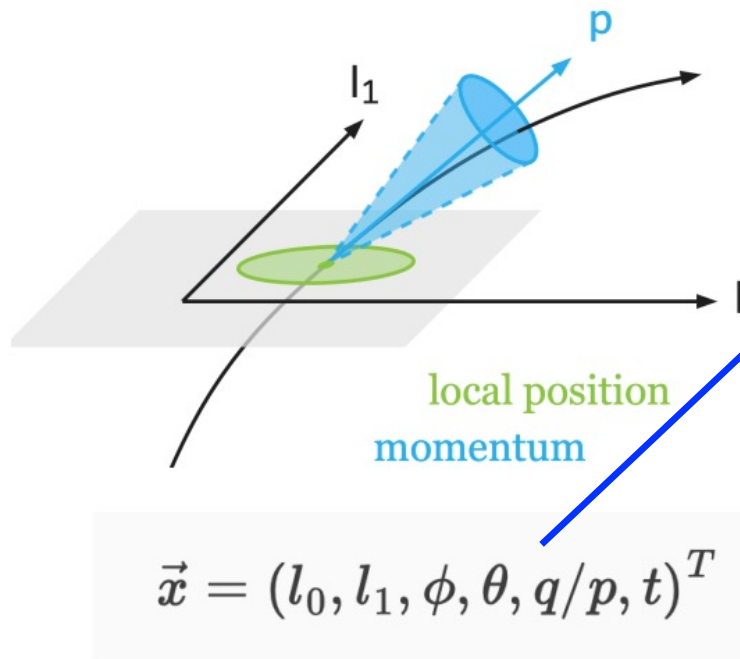
*Track parameterization:*

local coordinates of the surface + global momentum + timing info



$$\vec{x} = (l_0, l_1, \phi, \theta, q/p, t)^T$$

$$C = \begin{bmatrix} \sigma^2(l_0) & \text{cov}(l_0, l_1) & \text{cov}(l_0, \phi) & \text{cov}(l_0, \theta) & \text{cov}(l_0, q/p) \\ \cdot & \sigma^2(l_1) & \text{cov}(l_1, \phi) & \text{cov}(l_1, \theta) & \text{cov}(l_1, q/p) \\ \cdot & \cdot & \sigma^2(\phi) & \text{cov}(\phi, \theta) & \text{cov}(\phi, q/p) \\ \cdot & \cdot & \cdot & \sigma^2(\theta) & \text{cov}(\theta, q/p) \\ \cdot & \cdot & \cdot & \cdot & \sigma^2(q/p) \end{bmatrix}$$



Parameter	$l_0$	$l_1$	phi	theta	q/p	t
Bound track parameters	Green	Green	Green	Green	Green	Green
Pixel measurement	Green	Green	Orange	Orange	Orange	Orange
Pixel measurement with time	Green	Green	Orange	Orange	Orange	Green
Strip measurement (along local x)	Green	Orange	Orange	Orange	Orange	Orange
Strip measurement (along local y)	Orange	Green	Orange	Orange	Orange	Orange
Drift time/circle measurement	Green	Orange	Orange	Orange	Orange	Orange
Track segment (straight line)	Green	Green	Green	Green	Orange	Orange
...	Cyan	Cyan	Cyan	Cyan	Cyan	Cyan

possible types of measurements

- Measurements can be represented as *subsets* of the full bound parameter space