

# Asymptotic symmetries in the BRST formalism

Marc Henneaux  
(Université Libre de Bruxelles and Collège de France)

Workshop:  
Gauge invariance: quantization and geometry

Mons, 9 September 2024

# Igor Anatoljewitsch Batalin (1945-2024)

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## The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments



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Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

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Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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The formalism led also to many developments of remarkable breadth, in unexpected directions, not only in physics, but also in mathematics.

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Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

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The formalism led also to many developments of remarkable breadth, in unexpected directions, not only in physics, but also in mathematics.

This will be amply illustrated in the talks of this meeting and is extremely well summarized in the description of the meeting.

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symmetries in the  
BRST formalism

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de Bruxelles and  
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Batalin's work

Some history

Rigid (global)  
symmetries

Locality

Asymptotic  
symmetries

Conclusions and  
comments

“The principle of gauge invariance and the quantum paradigm are two cornerstones of modern fundamental physics and geometry. The intricate relation of the two underlies various challenges in the physics of fundamental interactions and modern developments in mathematics ranging from quantum gravity and topological effects in quantum physics to homological algebra, supergeometry and derived geometry. The by now classical works of Igor Batalin with E. Fradkin and G. Vilkovisky targeted the very compatibility of gauge invariance and quantisation, resulting in a very general mathematical formalism which by now is considered as a far reaching generalisation of the usual Lagrangian/Hamiltonian framework at both the classical and the quantum level. The applications of the approach of Batalin, Fradkin and Vilkovisky expanded far beyond the original scope of gauge theory quantisation and the approach itself is more and more considered as a proper language to define quantum gauge field theories, to look for new theories of fundamental interactions and to study geometrical structures.”

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## The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments



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## The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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## The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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## The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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I will then cover one specific feature of the BRST-antifield, namely, how asymptotic symmetries are described within it - as taken into account in the updated title.

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## The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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This is ongoing (and largely unfinished!) work, motivated by recent developments on the importance of asymptotic symmetries and charges in electromagnetism and gravity.

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## The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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The presentation will rely on various ideas such as locality, equivalence of the Lagrangian and Hamiltonian BRST approaches, asymptotic conditions etc.

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The impact of Igor Batalin's work

### Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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The impact of Igor Batalin's work

### Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

One very strong motivation for developing the antifield formalism was the derivation of the correct Feynman rules for an arbitrary gauge theory in relativistic gauges involving all the fields and not just the physical fields (as in physical gauges).

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The impact of Igor Batalin's work

### Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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The impact of Igor Batalin's work

### Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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# Where does it come from?

## Asymptotic symmetries in the BRST formalism

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The impact of Igor Batalin's work

## Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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# Where does it come from?

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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The impact of Igor Batalin's work

## Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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The impact of Igor Batalin's work

### Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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## Yang-Mills

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# Where does it come from?

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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The impact of Igor Batalin's work

### Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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Derivation by Feynman from unitarity

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## Asymptotic symmetries in the BRST formalism

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The impact of Igor Batalin's work

## Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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de Bruxelles and  
Collège de  
France)

The impact of Igor  
Batalin's work

## Some history

Rigid (global)  
symmetries

Locality

Asymptotic  
symmetries

Conclusions and  
comments

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The impact of Igor Batalin's work

## Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
(Université Libre de Bruxelles and Collège de France)

The impact of Igor Batalin's work

## Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

## Supergravities with “open algebras”

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## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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The impact of Igor Batalin's work

## Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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Solution : needs quartic (and possibly higher) ghost interactions.

Exact procedure worked out along different lines.

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The impact of Igor Batalin's work

## Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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Marc Henneaux  
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The impact of Igor Batalin's work

## Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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Marc Henneaux  
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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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de Bruxelles and  
Collège de  
France)

The impact of Igor  
Batalin's work

## Some history

Rigid (global)  
symmetries

Locality

Asymptotic  
symmetries

Conclusions and  
comments

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The impact of Igor Batalin's work

## Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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End of the problem of deriving the correct Feynman rules... but starting point of many unanticipated developments given the remarkable structure that BV uncovered!



# Motivations : BRST and rigid symmetries

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France)

The impact of Igor  
Batalin's work

Some history

**Rigid (global)  
symmetries**

Locality

Asymptotic  
symmetries

Conclusions and  
comments

# Motivations : BRST and rigid symmetries

## Asymptotic symmetries in the BRST formalism

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de Bruxelles and  
Collège de  
France)

The impact of Igor  
Batalin's work

Some history

**Rigid (global)  
symmetries**

Locality

Asymptotic  
symmetries

Conclusions and  
comments

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Collège de  
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The impact of Igor  
Batalin's work

Some history

**Rigid (global)  
symmetries**

Locality

Asymptotic  
symmetries

Conclusions and  
comments

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The impact of Igor  
Batalin's work

Some history

Rigid (global)  
symmetries

Locality

Asymptotic  
symmetries

Conclusions and  
comments

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Collège de  
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The impact of Igor  
Batalin's work

Some history

Rigid (global)  
symmetries

Locality

Asymptotic  
symmetries

Conclusions and  
comments

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(As we shall recall below, the two formalisms are equivalent and can be explicitly related.)

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## Asymptotic symmetries in the BRST formalism

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The impact of Igor  
Batalin's work

Some history

**Rigid (global)  
symmetries**

Locality

Asymptotic  
symmetries

Conclusions and  
comments

# Rigid symmetries in the antifield formalism

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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Collège de France)

The impact of Igor Batalin's work

Some history

**Rigid (global) symmetries**

Locality

Asymptotic symmetries

Conclusions and comments

In the antifield formalism, one associates with each rigid symmetry a BRST-invariant generator  $S_\Delta$  of ghost  $-1$  such that

# Rigid symmetries in the antifield formalism

## Asymptotic symmetries in the BRST formalism

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The impact of Igor Batalin's work

Some history

**Rigid (global) symmetries**

Locality

Asymptotic symmetries

Conclusions and comments

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## Asymptotic symmetries in the BRST formalism

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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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# Rigid symmetries in the antifield formalism

Asymptotic symmetries in the BRST formalism

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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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One then introduces global ghosts  $C^\Delta$  with antifields  $C_\Delta^*$  and constructs the solution  $S' = S + C^\Delta S_\Delta + \text{"more"}$ ,

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Asymptotic symmetries in the BRST formalism

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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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Asymptotic symmetries in the BRST formalism

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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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of the extended master equation  $(S', S') = 0$ .

One can show that a solution is guaranteed to exist provided one includes all the rigid symmetries including the higher order ones corresponding to higher order conservation laws  $\partial_\mu j^{\mu\mu_2\cdots\mu_k} = 0$ .

# Rigid symmetries in the antifield formalism

## Asymptotic symmetries in the BRST formalism

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Collège de  
France)

The impact of Igor  
Batalin's work

Some history

**Rigid (global)  
symmetries**

Locality

Asymptotic  
symmetries

Conclusions and  
comments

# Rigid symmetries in the antifield formalism

## Asymptotic symmetries in the BRST formalism

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de Bruxelles and  
Collège de  
France)

The impact of Igor  
Batalin's work

Some history

**Rigid (global)  
symmetries**

Locality

Asymptotic  
symmetries

Conclusions and  
comments

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# Rigid symmetries in the antifield formalism

## Asymptotic symmetries in the BRST formalism

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Collège de  
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The impact of Igor  
Batalin's work

Some history

Rigid (global)  
symmetries

Locality

Asymptotic  
symmetries

Conclusions and  
comments

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One can associate with them through the descent equation a BRST-invariant charge of ghost number  $-k$ .

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## Asymptotic symmetries in the BRST formalism

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France)

The impact of Igor  
Batalin's work

Some history

Rigid (global)  
symmetries

Locality

Asymptotic  
symmetries

Conclusions and  
comments

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The derivation of the Ward identities proceeds then as usual.



# Rigid symmetries in Hamiltonian BRST formalism

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Collège de  
France)

The impact of Igor  
Batalin's work

Some history

**Rigid (global)  
symmetries**

Locality

Asymptotic  
symmetries

Conclusions and  
comments

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## Asymptotic symmetries in the BRST formalism

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The impact of Igor  
Batalin's work

Some history

**Rigid (global)  
symmetries**

Locality

Asymptotic  
symmetries

Conclusions and  
comments

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# Rigid symmetries in Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

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France)

The impact of Igor  
Batalin's work

Some history

**Rigid (global)  
symmetries**

Locality

Asymptotic  
symmetries

Conclusions and  
comments

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# Rigid symmetries in Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

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France)

The impact of Igor  
Batalin's work

Some history

Rigid (global)  
symmetries

Locality

Asymptotic  
symmetries

Conclusions and  
comments

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# Rigid symmetries in Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

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Collège de  
France)

The impact of Igor  
Batalin's work

Some history

Rigid (global)  
symmetries

Locality

Asymptotic  
symmetries

Conclusions and  
comments

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# Rigid symmetries in Hamiltonian BRST formalism

Asymptotic symmetries in the BRST formalism

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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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where  $\Omega$  is the Hamiltonian generator of the BRST symmetry through the Poisson bracket and is nilpotent (of order two),

$$[\Omega, \Omega] = 0.$$

(These charges extend the phase space gauge-invariant charges  $Q_\Delta^{(0)}$  by terms involving the ghosts and their conjugate momenta,  $Q_\Delta = Q_\Delta^{(0)} + \mathcal{P}_a V_b^a \eta^b + \dots$  so that  $[Q_\Delta, \Omega] = 0$  holds. We denote the constraints by  $G_a$  and one has  $[Q_\Delta^{(0)}, G_a] \approx 0$ .)

# Rigid symmetries in Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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Collège de  
France)

The impact of Igor  
Batalin's work

Some history

**Rigid (global)  
symmetries**

Locality

Asymptotic  
symmetries

Conclusions and  
comments

# Rigid symmetries in Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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Collège de  
France)

The impact of Igor  
Batalin's work

Some history

**Rigid (global)  
symmetries**

Locality

Asymptotic  
symmetries

Conclusions and  
comments

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# Rigid symmetries in Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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de Bruxelles and  
Collège de  
France)

The impact of Igor  
Batalin's work

Some history

**Rigid (global)  
symmetries**

Locality

Asymptotic  
symmetries

Conclusions and  
comments

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$$[Q_\Delta, Q_\Gamma] = d_{\Delta\Gamma}^\Lambda Q_\Lambda + [Q_{\Delta\Gamma}, \Omega]$$

# Rigid symmetries in Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

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de Bruxelles and  
Collège de  
France)

The impact of Igor  
Batalin's work

Some history

Rigid (global)  
symmetries

Locality

Asymptotic  
symmetries

Conclusions and  
comments

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# Rigid symmetries in Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

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de Bruxelles and  
Collège de  
France)

The impact of Igor  
Batalin's work

Some history

Rigid (global)  
symmetries

Locality

Asymptotic  
symmetries

Conclusions and  
comments

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# Rigid symmetries in Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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Collège de  
France)

The impact of Igor  
Batalin's work

Some history

Rigid (global)  
symmetries

Locality

Asymptotic  
symmetries

Conclusions and  
comments

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such that  $[\Omega', \Omega'] = 0$ .

# Rigid symmetries in Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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de Bruxelles and  
Collège de  
France)

The impact of Igor  
Batalin's work

Some history

**Rigid (global)  
symmetries**

Locality

Asymptotic  
symmetries

Conclusions and  
comments

# Rigid symmetries in Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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de Bruxelles and  
Collège de  
France)

The impact of Igor  
Batalin's work

Some history

**Rigid (global)  
symmetries**

Locality

Asymptotic  
symmetries

Conclusions and  
comments

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# Rigid symmetries in Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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de Bruxelles and  
Collège de  
France)

The impact of Igor  
Batalin's work

Some history

**Rigid (global)  
symmetries**

Locality

Asymptotic  
symmetries

Conclusions and  
comments

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# Rigid symmetries in Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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de Bruxelles and  
Collège de  
France)

The impact of Igor  
Batalin's work

Some history

**Rigid (global)  
symmetries**

Locality

Asymptotic  
symmetries

Conclusions and  
comments

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# Rigid symmetries in Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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de Bruxelles and  
Collège de  
France)

The impact of Igor  
Batalin's work

Some history

Rigid (global)  
symmetries

Locality

Asymptotic  
symmetries

Conclusions and  
comments

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# Rigid symmetries in Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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de Bruxelles and  
Collège de  
France)

The impact of Igor  
Batalin's work

Some history

Rigid (global)  
symmetries

Locality

Asymptotic  
symmetries

Conclusions and  
comments

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The physical states transform in (in general) non trivial representations of the algebra of the  $Q_{\Gamma}$

(which would not be the case had we imposed  $\Omega'|\psi\rangle = 0$ ).

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## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
(Université Libre de Bruxelles and Collège de France)

The impact of Igor Batalin's work

Some history

**Rigid (global) symmetries**

Locality

Asymptotic symmetries

Conclusions and comments

# What about asymptotic symmetries?

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
(Université Libre de Bruxelles and Collège de France)

The impact of Igor Batalin's work

Some history

**Rigid (global) symmetries**

Locality

Asymptotic symmetries

Conclusions and comments

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# What about asymptotic symmetries?

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
(Université Libre de Bruxelles and Collège de France)

The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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# What about asymptotic symmetries?

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
(Université Libre de Bruxelles and Collège de France)

The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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and “asymptotic symmetries”

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# What about asymptotic symmetries?

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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de Bruxelles and  
Collège de  
France)

The impact of Igor  
Batalin's work

Some history

Rigid (global)  
symmetries

Locality

Asymptotic  
symmetries

Conclusions and  
comments

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How can one take these into account?



# Importance of locality in the asymptotic symmetry context

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

## Locality

Asymptotic symmetries

Conclusions and comments

# Importance of locality in the asymptotic symmetry context

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
(Université Libre de Bruxelles and  
Collège de France)

The impact of Igor  
Batalin's work

Some history

Rigid (global)  
symmetries

## Locality

Asymptotic  
symmetries

Conclusions and  
comments

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# Importance of locality in the asymptotic symmetry context

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
(Université Libre de Bruxelles and Collège de France)

The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

**Locality**

Asymptotic symmetries

Conclusions and comments

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# Importance of locality in the asymptotic symmetry context

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
(Université Libre de Bruxelles and  
Collège de France)

The impact of Igor  
Batalin's work

Some history

Rigid (global)  
symmetries

Locality

Asymptotic  
symmetries

Conclusions and  
comments

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# Importance of locality in the asymptotic symmetry context

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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Collège de France)

The impact of Igor  
Batalin's work

Some history

Rigid (global)  
symmetries

Locality

Asymptotic  
symmetries

Conclusions and  
comments

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The notion of surface terms (versus bulk terms) is well-defined only if the theory is described by a local action principle and locality is maintained throughout.

# Importance of locality in the asymptotic symmetry context

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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# Importance of locality in the asymptotic symmetry context

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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$$\int d^d x \phi = \int d^{d-1} S^i [\partial_i \chi], \quad \Delta \chi = \phi.$$

# Is the BRST charge/solution of the master equation local in space(time) ?

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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de Bruxelles and  
Collège de  
France)

The impact of Igor  
Batalin's work

Some history

Rigid (global)  
symmetries

## Locality

Asymptotic  
symmetries

Conclusions and  
comments



# Is the BRST charge/solution of the master equation local in space(time) ?

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
(Université Libre de Bruxelles and Collège de France)

The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

**Locality**

Asymptotic symmetries

Conclusions and comments

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# Is the BRST charge/solution of the master equation local in space(time) ?

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
(Université Libre de Bruxelles and  
Collège de France)

The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

**Locality**

Asymptotic symmetries

Conclusions and comments

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# Is the BRST charge/solution of the master equation local in space(time) ?

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
(Université Libre de Bruxelles and  
Collège de France)

The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

**Locality**

Asymptotic symmetries

Conclusions and comments

This raises the question :

Given a field theory described by a local Lagrangian,

$$S_0[\phi^i] = \int d^D x \mathcal{L}_0(\phi^i, \partial_\mu \phi^i, \dots, \partial_{\mu_1 \dots \mu_k}^k \phi^i)$$

# Is the BRST charge/solution of the master equation local in space(time) ?

Asymptotic symmetries in the BRST formalism

Marc Henneaux  
(Université Libre de Bruxelles and Collège de France)

The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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# Is the BRST charge/solution of the master equation local in space(time) ?

Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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is the corresponding solution  $S$  of the (classical) master equation  $(S, S) = 0$  also a local functional?

$$S = \int d^D x \mathcal{L}(\phi^A, \phi_A^*, \partial_\mu \phi^A, \partial_\mu \phi_A^* \dots, \partial_{\mu_1 \dots \mu_s}^s \phi^A, \partial_{\mu_1 \dots \mu_s}^s \phi_A^*) ???$$

# Is the BRST charge/solution of the master equation local in space(time) ?

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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de Bruxelles and  
Collège de  
France)

The impact of Igor  
Batalin's work

Some history

Rigid (global)  
symmetries

## Locality

Asymptotic  
symmetries

Conclusions and  
comments

# Is the BRST charge/solution of the master equation local in space(time) ?

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
(Université Libre de Bruxelles and Collège de France)

The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

Similarly, in the Hamiltonian formalism, is the BRST charge  $\Omega$ ,  $[\Omega, \Omega] = 0$ , given by a local expression in phase space,  $\Omega = \int d^d x \omega$ ?

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## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
(Université Libre de Bruxelles and Collège de France)

The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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“Jet spaces” provide the proper framework to discuss this issue.



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## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
(Université Libre de Bruxelles and Collège de France)

The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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This is not completely trivial as locality might have a more dramatic impact in related contexts.

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## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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Collège de France)

The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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(For instance, anomalies are BRST-exact if one allows non-local expressions but are non-exact in the space of local functionals.)

# What are the boundary conditions for the ghosts?

## Asymptotic symmetries in the BRST formalism

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de Bruxelles and  
Collège de  
France)

The impact of Igor  
Batalin's work

Some history

Rigid (global)  
symmetries

Locality

**Asymptotic  
symmetries**

Conclusions and  
comments

# What are the boundary conditions for the ghosts?

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

**Asymptotic symmetries**

Conclusions and comments

We assume that spacetime is the product of a finite time interval times  $\mathbb{R}^d$ .

# What are the boundary conditions for the ghosts?

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
(Université Libre de Bruxelles and Collège de France)

The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

**Asymptotic symmetries**

Conclusions and comments

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**The boundary conditions at the time boundaries are determined by which transition amplitudes one computes.**

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## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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We focus here on the boundary conditions at large spatial distances  $r \rightarrow \infty$  (spatial infinity).

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## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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We focus here on the boundary conditions at large spatial distances  $r \rightarrow \infty$  (spatial infinity).

What is the behaviour of the ghosts and the antifields as  $r \rightarrow \infty$ ?

[The asymptotic behaviour of the original fields is known from the "pre-BRST" theory.]

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## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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de Bruxelles and  
Collège de  
France)

The impact of Igor  
Batalin's work

Some history

Rigid (global)  
symmetries

Locality

**Asymptotic  
symmetries**

Conclusions and  
comments

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If one considers proper gauge symmetries, the ghosts go to zero. The antifields, which can be viewed as sources, may also be taken to go to zero.

## Asymptotic symmetries in the BRST formalism

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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

**Asymptotic symmetries**

Conclusions and comments

# What are the boundary conditions for the ghosts?

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

If one considers proper gauge symmetries, the ghosts go to zero. The antifields, which can be viewed as sources, may also be taken to go to zero.

There is then no problem with the master equation  $(S, S) = 0$ , which clearly strictly holds - and not just up to a surface terms.

# What are the boundary conditions for the ghosts?

## Asymptotic symmetries in the BRST formalism

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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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Indeed, the surface terms that may arise upon integrations by parts to establish  $(S, S) = 0$  are of ghost number one and contain therefore at least one ghost, which vanishes.

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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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Without loss of generality, one can analyse the problem in the Hamiltonian formulation.



# Anfield formalism and Hamiltonian BRST formalism

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de Bruxelles and  
Collège de  
France)

The impact of Igor  
Batalin's work

Some history

Rigid (global)  
symmetries

Locality

**Asymptotic  
symmetries**

Conclusions and  
comments

# Anfield formalism and Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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de Bruxelles and  
Collège de  
France)

The impact of Igor  
Batalin's work

Some history

Rigid (global)  
symmetries

Locality

**Asymptotic  
symmetries**

Conclusions and  
comments

This is because there is complete equivalence between the two formulations.

# Anfield formalism and Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

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de Bruxelles and  
Collège de  
France)

The impact of Igor  
Batalin's work

Some history

Rigid (global)  
symmetries

Locality

**Asymptotic  
symmetries**

Conclusions and  
comments

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# Anfield formalism and Hamiltonian BRST formalism

Asymptotic symmetries in the BRST formalism

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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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# Anfield formalism and Hamiltonian BRST formalism

Asymptotic symmetries in the BRST formalism

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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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One can assume that the gauge invariant action takes the Hamiltonian form

$$S_0[z^A, \lambda^a] = \int_{t_1}^{t_2} dt [B_A(z) \dot{z}^A - H_0 - \lambda^a G_a]$$

# Anfield formalism and Hamiltonian BRST formalism

Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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(where the integration over space is understood). Here,  $z^A$  are the canonical variables,  $\lambda^a$  the Lagrange multipliers for the constraints  $G_a \approx 0$ ,  $B_A$  is the symplectic pre-potential and  $H_0 = \int d^d x \mathcal{H}_0$  is the gauge invariant Hamiltonian.

# Anfield formalism and Hamiltonian BRST formalism

Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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We recall that the momenta and the Lagrange multipliers can be viewed as auxiliary fields.

# Anfield formalism and Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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de Bruxelles and  
Collège de  
France)

The impact of Igor  
Batalin's work

Some history

Rigid (global)  
symmetries

Locality

**Asymptotic  
symmetries**

Conclusions and  
comments



# Anfield formalism and Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

**Asymptotic symmetries**

Conclusions and comments

Two antifield formulations of a theory that differ in the auxiliary field content are equivalent (modulo measure terms - this is part of the standard ambiguity anyway).

# Anfield formalism and Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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# Anfield formalism and Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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The BRST charge reads

$$\Omega = G_a \eta^a + \dots \quad [\Omega, \Omega] = 0$$

# Anfield formalism and Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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The BRST charge reads

$$\Omega = G_a \eta^a + \dots \quad [\Omega, \Omega] = 0$$

while the BRST-invariant Hamiltonian is

$$H = H_0 + \dots \quad [H, \Omega] = 0$$

# Anfield formalism and Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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de Bruxelles and  
Collège de  
France)

The impact of Igor  
Batalin's work

Some history

Rigid (global)  
symmetries

Locality

**Asymptotic  
symmetries**

Conclusions and  
comments

# Anfield formalism and Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

**Asymptotic symmetries**

Conclusions and comments

The solution of the master equation takes a simple form in terms of  $H$  and  $\Omega$ .

# Anfield formalism and Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

**Asymptotic symmetries**

Conclusions and comments

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# Anfield formalism and Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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It is given by

$$S = \int dt (B_A \dot{z}^A + \lambda_a^* \dot{\eta}^a - H + z_a^* [z^A, \Omega] + \eta_a^* [\eta^a, \Omega] - [\Omega, \lambda_a^*] \lambda^a)$$



# Anfield formalism and Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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A crucial feature is that the momentum  $\mathcal{P}_a$  canonically conjugate to the ghost  $\eta^a$  in the Poisson bracket coincides with the antifield  $\lambda_a^*$  conjugate to the Lagrange multiplier  $\lambda^a$  in the antibracket.

# Anfield formalism and Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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One can verify that  $S$  fulfills all the properties that the solution of the master equation should.

# Anfield formalism and Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

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de Bruxelles and  
Collège de  
France)

The impact of Igor  
Batalin's work

Some history

Rigid (global)  
symmetries

Locality

**Asymptotic  
symmetries**

Conclusions and  
comments

# Anfield formalism and Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
(Université Libre de Bruxelles and Collège de France)

The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

**Asymptotic symmetries**

Conclusions and comments

In particular  $(S, S) = 0$  if and only if  $[\Omega, \Omega] = 0$  and  $[H, \Omega] = 0$ .

# Anfield formalism and Hamiltonian BRST formalism

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Marc Henneaux  
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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

In particular  $(S, S) = 0$  if and only if  $[\Omega, \Omega] = 0$  and  $[H, \Omega] = 0$ .

Surface terms at infinity are absent in  $(S, S)$  if and only if they are absent in  $[\Omega, \Omega]$  and  $[H, \Omega]$ .

# Anfield formalism and Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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# Anfield formalism and Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
(Université Libre de Bruxelles and Collège de France)

The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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A similar argument shows that surface terms are also absent in  $[H, \Omega]$  under the same conditions.

# Anfield formalism and Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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As mentioned above, the surface terms vanish in  $[\Omega, \Omega]$  if the ghosts go to zero at infinity.

A similar argument shows that surface terms are also absent in  $[H, \Omega]$  under the same conditions.

This is fine if one considers only proper gauge symmetries, but we want to include also improper ones. In that case, the equations might fail to hold because of non-vanishing surface terms (the bulk terms remain of course ok).



# Anfield formalism and Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

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de Bruxelles and  
Collège de  
France)

The impact of Igor  
Batalin's work

Some history

Rigid (global)  
symmetries

Locality

**Asymptotic  
symmetries**

Conclusions and  
comments

# Anfield formalism and Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

**Asymptotic symmetries**

Conclusions and comments

Following the treatment of rigid symmetries, the extended solution should take the form  $S' = S +$  terms involving the “rigid ghosts”.

# Anfield formalism and Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
(Université Libre de Bruxelles and Collège de France)

The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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This is equivalent to relaxing the asymptotic behaviour of the ghosts and let them go to infinity in the same way as the gauge parameters of the improper gauge transformations.

# Anfield formalism and Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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One can decompose the gauge transformations as

$$\epsilon^a = \epsilon^a_{proper} + \epsilon^a_{improper}$$

# Anfield formalism and Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
(Université Libre de Bruxelles and Collège de France)

The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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# Anfield formalism and Hamiltonian BRST formalism

Asymptotic symmetries in the BRST formalism

Marc Henneaux  
(Université Libre de Bruxelles and Collège de France)

The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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One can decompose the gauge transformations as

$$\epsilon^a = \epsilon_{proper}^a + \epsilon_{improper}^a$$

where  $\epsilon_{proper}^a$  goes to zero at infinity

and  $\epsilon_{improper}^a$  is an improper gauge transformation determined from its non trivial asymptotic behaviour in some definite way, e.g., through gauge conditions that fix in a unique way the continuation “inside” of  $\epsilon_{improper}^a$  from its asymptotic form  $\epsilon_{\infty}^a$ .

# Anfield formalism and Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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de Bruxelles and  
Collège de  
France)

The impact of Igor  
Batalin's work

Some history

Rigid (global)  
symmetries

Locality

**Asymptotic  
symmetries**

Conclusions and  
comments

# Anfield formalism and Hamiltonian BRST formalism

The generator of the improper gauge symmetries reads

Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments



# Anfield formalism and Hamiltonian BRST formalism

Asymptotic symmetries in the BRST formalism

Marc Henneaux  
(Université Libre de Bruxelles and Collège de France)

The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

The generator of the improper gauge symmetries reads

$$G[\epsilon^a] = \int d^d x \epsilon^a G_a + \oint d^{d-1} S_i \epsilon_\infty^a q_a^i.$$

# Anfield formalism and Hamiltonian BRST formalism

Asymptotic symmetries in the BRST formalism

Marc Henneaux  
(Université Libre de Bruxelles and Collège de France)

The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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# Anfield formalism and Hamiltonian BRST formalism

Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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where the first term weakly vanishes,  $G[\epsilon_{proper}^a] \approx 0$ , and the second term can be viewed as the generator of a “rigid” symmetry and contains the surface integral.

# Anfield formalism and Hamiltonian BRST formalism

Asymptotic symmetries in the BRST formalism

Marc Henneaux  
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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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# Anfield formalism and Hamiltonian BRST formalism

Asymptotic symmetries in the BRST formalism

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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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One can similarly decompose the ghosts as

$$\eta^a = \eta_{proper}^a + \eta_{improper}^a$$

where  $\eta_{proper}^a$  are the original ghosts and  $\eta_{improper}^a$  can be viewed as “rigid ghosts”.

# Anfield formalism and Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

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de Bruxelles and  
Collège de  
France)

The impact of Igor  
Batalin's work

Some history

Rigid (global)  
symmetries

Locality

**Asymptotic  
symmetries**

Conclusions and  
comments

# Anfield formalism and Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

Marc Henneaux  
(Université Libre de Bruxelles and Collège de France)

The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

**Asymptotic symmetries**

Conclusions and comments

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# Anfield formalism and Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

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de Bruxelles and  
Collège de  
France)

The impact of Igor  
Batalin's work

Some history

Rigid (global)  
symmetries

Locality

**Asymptotic  
symmetries**

Conclusions and  
comments

The situation is thus the same as in the case of rigid symmetries.

The extended BRST generator  $\Omega'$  including the asymptotic symmetries takes the same form as the original BRST generator  $\Omega$



# Anfield formalism and Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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# Anfield formalism and Hamiltonian BRST formalism

## Asymptotic symmetries in the BRST formalism

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Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

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Locality

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and one must include the surface term  $\oint d^{d-1} S_i \eta_\infty^a q_a^i$ , which completes the charges.

The quadratic terms in the ghosts are also automatically modified to take into account the algebra of the asymptotic symmetries.

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Some history

Rigid (global)  
symmetries

Locality

**Asymptotic  
symmetries**

Conclusions and  
comments

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Some history

Rigid (global) symmetries

Locality

**Asymptotic symmetries**

Conclusions and comments

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Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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Things remain simple because of the asymptotic behaviour of the conjugate momenta  $\mathcal{P}_a$ .

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Some history

Rigid (global)  
symmetries

Locality

Asymptotic  
symmetries

Conclusions and  
comments

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Since these coincide with the antifields conjugate to the Lagrangian multipliers, they are sources that can be assumed to go to zero at infinity, making equal to zero the surface integrals involving them.

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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

Conclusions and comments

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Batalin's work

Some history

Rigid (global)  
symmetries

Locality

Asymptotic  
symmetries

Conclusions and  
comments

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In the end, one gets an extended  $\Omega$  and an extended  $H$  that fulfill the necessary equations  $[\Omega, \Omega] = 0$  and  $[\Omega, H] = 0$

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The impact of Igor  
Batalin's work

Some history

Rigid (global)  
symmetries

Locality

Asymptotic  
symmetries

Conclusions and  
comments

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In the end, one gets an extended  $\Omega$  and an extended  $H$  that fulfill the necessary equations  $[\Omega, \Omega] = 0$  and  $[\Omega, H] = 0$  even if the ghosts are allowed to have a non trivial asymptotic behaviour at infinity.

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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

**Conclusions and comments**

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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

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The antifield formalism developed by Batalin and Vilkovisky is extremely powerful.

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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

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We have considered its formulation in non trivial asymptotic contexts.

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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

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One can consistently cover this case by relaxing the asymptotic conditions for the ghosts.

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The impact of Igor Batalin's work

Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

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Some history

Rigid (global) symmetries

Locality

Asymptotic symmetries

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THANK YOU!