

# Gauge invariance: quantization and geometry

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## Geometry of Dirac-BFV quantization and quantum cosmology

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# Outline

*Early attempts: Wheeler-DeWitt equation(s) vs Schroedinger equation, problem of time, etc.*

*Quantum gravity and Dirac quantization of constrained systems. Dirac quantization is incomplete*

*Batalin operator quantization within BFV formalism*

*Dirac quantization as truncation of BFV formalism: projector on the physical states, physical inner product and path integration*

*Semiclassical approximation: geometry of Dirac-BFV formalism, operator realization of constraints*

*Lagrangian versus canonical formalisms: one-loop approximation*

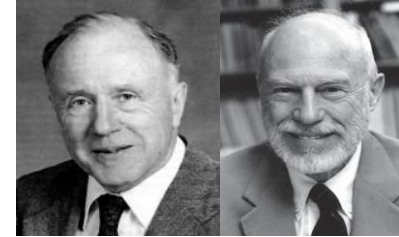
*Quantum cosmology: density matrix of the Universe vs no-boundary wavefunction*

# 50-ies and early 60-ies: quantum gravity and Dirac quantization of constrained systems

**Hamiltonian and momentum constraints**  $H_\mu = H_\perp(\mathbf{x}), H_i(\mathbf{x})$

$$H_\mu(q, p) = 0 \rightarrow \hat{H}_\mu |\Psi\rangle = 0.$$

**Wheeler-DeWitt equation(s):**



**Functional coordinate representation of Hamiltonian and momentum constraints**

$$q = \gamma_{ab}(\mathbf{x}), \phi(\mathbf{x}), \quad p = \pi^{ab}(\mathbf{x}), p_\phi(\mathbf{x}) \rightarrow \pi^{ab}(\mathbf{x}) = \frac{\hbar}{i} \frac{\delta}{\delta \gamma_{ab}(\mathbf{x})}, \quad p_\phi(\mathbf{x}) = \frac{\hbar}{i} \frac{\delta}{\delta \phi(\mathbf{x})}$$

$$\left\{ -\frac{2\hbar^2}{M_P^2} G_{ab,cd}(\mathbf{x}) \frac{\delta^2}{\delta \gamma_{ab}(\mathbf{x}) \delta \gamma_{cd}(\mathbf{x})} - \frac{M_P^2}{2} \gamma^{1/2}(\mathbf{x}) ({}^3R(\mathbf{x}) - 2\Lambda) + H_\perp^{\text{matter}}\left(\phi(\mathbf{x}), \frac{\hbar}{i} \frac{\delta}{\delta \phi(\mathbf{x})}\right) \right\} \Psi[{}^3\gamma, \phi] = 0,$$

$$\left\{ -2\gamma_{ab}(\mathbf{x}) \nabla_c \frac{\delta}{\delta \gamma_{bc}(\mathbf{x})} + H_a^{\text{matter}}\left(\phi(\mathbf{x}), \frac{\hbar}{i} \frac{\delta}{\delta \phi(\mathbf{x})}\right) \right\} \Psi[{}^3\gamma, \phi] = 0$$

# Schroedinger equation from Wheeler-DeWitt equation(s)

$$i \frac{\partial}{\partial t} |\Psi(t)\rangle = \hat{H} |\Psi(t)\rangle \iff \hat{H}_\mu \Psi[{}^3\gamma, \phi] = 0$$



First attempts to extract time and Schroedinger equation from the Wheeler-DeWitt equations (DeWitt (1967), Lapchinsky-Rubakov (1977))

$$\hat{H}_\mu = \hat{H}_\mu^{\text{grav}} + \hat{H}_\mu^{\text{matter}}$$

Semiclassical gravity factor

$$\Psi[{}^3\gamma, \phi] = \exp\left(\frac{i}{M_P^2} S[{}^3\gamma]\right) |\Psi[{}^3\gamma]\rangle$$

$$|\Psi(t)\rangle = |\Psi[{}^3\gamma_{ab}(t)]\rangle$$

quantum state of matter parametrically depending on 3-metric

Classical solution of vacuum Einstein equations

$$\hat{H}_\mu \Psi[{}^3\gamma, \phi] = 0 \implies$$

$$i \frac{\partial}{\partial t} |\Psi(t)\rangle = \hat{H}_{\text{matter}} |\Psi(t)\rangle + O(1/M_P^2)$$

graviton loops

$$\hat{H}_{\text{matter}} = \int d^3x \left( N^\perp \hat{H}_\perp^{\text{matter}} + N^a \hat{H}_a^{\text{matter}} \right)$$

Semiclassical expansion in  $1/M_P^2$ , no back reaction, tree-level gravitational field as a whole – source of time evolution

# Classical theory of gauge constrained systems: canonical formalism

In GR --- Dirac, Arnowitt-Deser-Misner (ADM)

$$S = \int dt \left\{ p_i \dot{q}^i - H_0(q, p) - N^\mu H_\mu(q, p) \right\} \rightarrow \frac{\delta S}{\delta N^\mu} = -H_\mu(q, p) = 0$$

**constraints**

ADM surface integral  
(0 in spatially closed cosmology)

DeWitt condensed notations

In GR:

$$\left\{ \begin{array}{l} q^i = \gamma_{ab}(\mathbf{x}), \phi(\mathbf{x}), \quad p_i = \pi^{ab}(\mathbf{x}), p_\phi(\mathbf{x}) \\ p_i \dot{q}^i = \int d^3x \left( \pi^{ab}(\mathbf{x}) \dot{\gamma}_{ab}(\mathbf{x}) + p_\phi(\mathbf{x}) \dot{\phi}(\mathbf{x}) \right) \\ N^\mu H_\mu = \int d^3x \left( N^\perp(\mathbf{x}) H_\perp(\mathbf{x}) + N^a(\mathbf{x}) H_a(\mathbf{x}) \right) \end{array} \right.$$

Constraints algebra  
(first-class constraints)

$$\begin{aligned} \{H_\mu, H_\nu\} &= U_{\mu\nu}^\lambda H_\lambda, \\ \{H_0, H_\nu\} &= U_{0\nu}^\lambda H_\lambda \end{aligned}$$

**Local gauge invariance of the action:**

**canonical transformation**

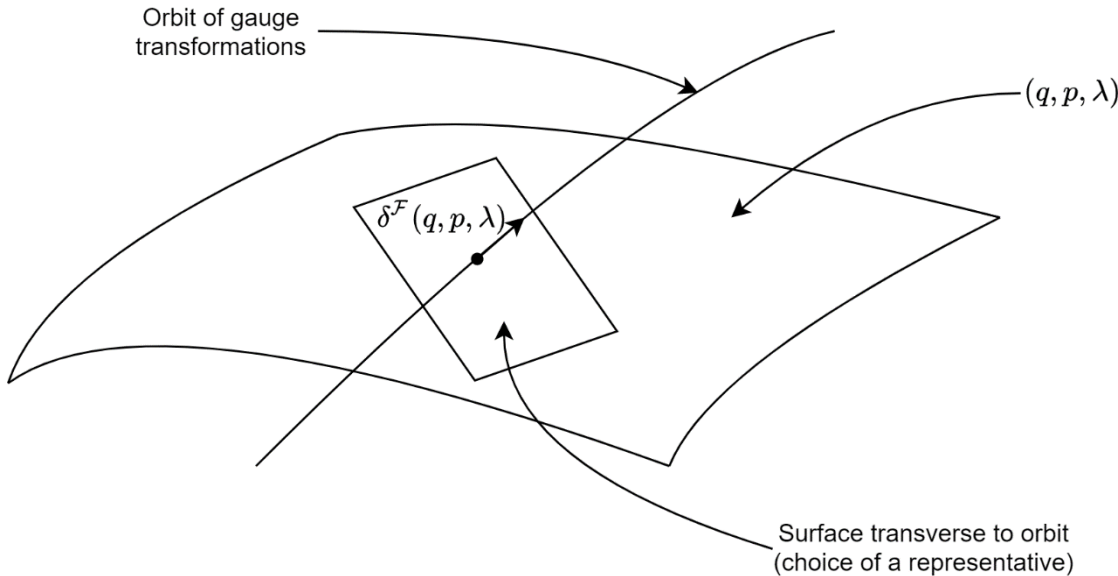
$$\delta^{\mathcal{F}} \begin{bmatrix} q \\ p \end{bmatrix} = \left\{ \begin{bmatrix} q \\ p \end{bmatrix}, H_{\mu} \right\} \mathcal{F}^{\mu}$$

**non-canonical**

$$\delta^{\mathcal{F}} N^{\mu} = \dot{\mathcal{F}}^{\mu} - U_{\alpha\beta}^{\mu} N^{\alpha} \mathcal{F}^{\beta} - U_{0\nu}^{\mu} \mathcal{F}^{\nu}$$

$$\delta^{\mathcal{F}} S = 0$$

local parameter



**Gauge fixation – choice of the representative of the equivalence class on the orbit of gauge group by imposing the gauge**

$$\chi^{\mu}(q, p) = 0$$

for uniqueness of the representative should be independent of the Lagrangian multipliers

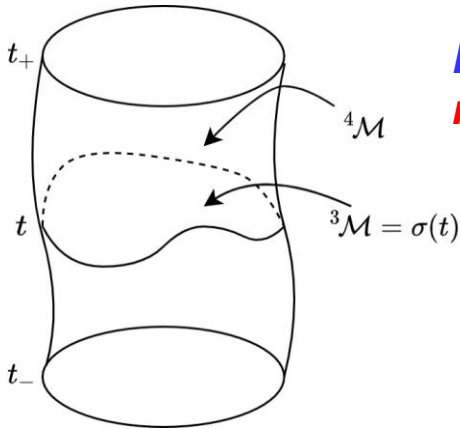
$$\delta^{\mathcal{F}} \chi^\mu = \{\chi^\mu, H_\nu\} \mathcal{F}^\nu \neq 0 \rightarrow J_\nu^\mu \equiv \det\{\chi^\mu, H_\nu\} \neq 0$$

{  
Canonical Faddeev-  
 Popov operator **is**  
 invertible

The inverse of Faddeev-  
 Popov operator

**Recovery of unique Lagrange multipliers**

$$\frac{d}{dt} \chi^\mu(q, p) = \{\chi^\mu, H_0\} + \{\chi^\mu, H_\nu\} N^\nu = 0 \rightarrow N^\mu = -J^{-1}{}^\mu{}_\nu \{\chi^\mu, H_0\}$$



For  $H_0 = 0$  lapse and shift functions should be **nonzero** ---  
 moving in spacetime spatial section  $\sigma(t)$



explicitly **time-dependent gauge**  $\chi^\mu(q) = 0 \rightarrow \chi^\mu(q, t) = 0$

$$N^\mu = -J^{-1}{}^\mu{}_\nu \frac{\partial \chi^\nu}{\partial t} \neq 0$$

**This is the problem of frozen time formalism and its solution**

## More general theories --- *hierarchy* of structure functions

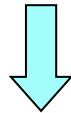
$$H_\mu, U_{\mu\nu}^\alpha \rightarrow G = \{H_\mu, U_{\mu\nu}^\alpha, U_{\mu\nu\lambda}^{\alpha\beta}, \dots\}$$
$$\{H_\mu, \{H_\sigma, H_\lambda\}\} + \text{cycle}(\mu, \sigma, \lambda) = 0$$

E.S.Fradkin, T.Fradkina  
Batalin, Fradkin, Vilkovisky

*Mechanism of origin of higher order structure functions*



$$\left( \{H_\mu, U_{\sigma\lambda}^\alpha\} + U_{\sigma\lambda}^\beta U_{\mu\beta}^\alpha + \text{cycle}(\mu, \sigma, \lambda) \right) H_\alpha = 0$$



$$\{H_\mu, U_{\sigma\lambda}^\alpha\} + U_{\sigma\lambda}^\beta U_{\mu\beta}^\alpha + \text{cycle}(\mu, \sigma, \lambda) = U_{\mu\nu\lambda}^{\alpha\beta} H_\beta, \quad U_{\mu\nu\lambda}^{\alpha\beta} = -U_{\mu\nu\lambda}^{\beta\alpha}$$



# Dirac quantization scheme

**Quantization**

$$(q, p, H_\mu, H_0) \rightarrow (\hat{q}, \hat{p}, \hat{H}_\mu, \hat{H}_0)$$

**Quantum Dirac constraints**

$$H_\mu(q, p) = 0 \rightarrow \hat{H}_\mu |\Psi\rangle = 0.$$

**Poisson bracket algebra  
to operator algebra**

$$\begin{aligned} \{H_\mu, H_\nu\} &= U_{\mu\nu}^\lambda H_\lambda \rightarrow [\hat{H}_\mu, \hat{H}_\nu] = i\hbar \hat{U}_{\mu\nu}^\lambda \hat{H}_\lambda, \\ \{H_0, H_\nu\} &= U_{0\nu}^\lambda H_\lambda \rightarrow \dots \end{aligned}$$

**Consistency conditions  
(generalization of  
Poisson bracket algebra)**

$$[\hat{H}_\mu, \hat{H}_\nu] = i\hbar \hat{U}_{\mu\nu}^\lambda \hat{H}_\lambda$$

Stands to the left of constraint operators

**Higher order structure  
functions**

$$U^{\alpha\beta}_{\mu\nu\lambda}, \dots \rightarrow \hat{U}^{\alpha\beta}_{\mu\nu\lambda}, \dots$$

$$[\hat{H}_\mu, \hat{U}_{\sigma\lambda}^\alpha] + i\hbar \hat{U}_{\sigma\lambda}^\beta \hat{U}_{\mu\beta}^\alpha + \text{cycle}(\mu, \sigma, \lambda) = i\hbar \hat{U}_{\mu\nu\lambda}^{\alpha\beta} \hat{H}_\beta, \quad \hat{U}_{\mu\nu\lambda}^{\alpha\beta} = -\hat{U}_{\mu\nu\lambda}^{\beta\alpha}$$

## Beyond classical theory --- quantization?

**No Hamiltonian, no time, no Schroedinger equation, no inner product, operator ordering ???**

**WDW equation is the "most useless" equation in theoretical physics?**

**Dirac quantization scheme is not complete !**

$$\hat{H}_\mu |\Psi\rangle = 0 \rightarrow \Psi(q) \sim \delta(\hat{H}_\mu)\Phi(q)$$

*For instance, problem of physical inner product*



$$\langle \Psi' | \Psi \rangle_{\text{phys}} \stackrel{?}{=} \int dq \Psi'^*(q) \Psi(q) \sim \int dq [\delta(\hat{H}_\mu)]^2 = \infty$$

**Resolution of these difficulties --- BFV**



# Batalin *operator* quantization within BFV formalism



**Canonical relativistic phase space:**

$$q^i, p_i \rightarrow Q^I, P_I = q^i, p_i; N^\mu, \pi_\mu; \underbrace{C^\mu, \mathcal{P}_\mu; \bar{C}_\mu, \bar{\mathcal{P}}^\mu}_{\text{ghosts}},$$
$$[Q^I, P_J] = i \delta_J^I, \quad \hbar = 1$$

$$[A, B] \equiv AB - (-1)^{n(A)n(B)} BA$$

**Grassmann parity:**  $n(q) = n(N) = 0, \quad n(C) = n(\mathcal{P}) = n(\bar{C}) = n(\bar{\mathcal{P}}) = 1$

$$||Q\rangle\rangle \equiv ||q, N, C, \bar{C}\rangle\rangle, \quad \hat{Q}^I ||Q\rangle\rangle = Q^I ||Q\rangle\rangle, \quad \Psi(Q) = \langle\langle Q || \Psi \rangle\rangle,$$

**BFV inner product:**

$$\langle\langle \Psi_1 || \Psi_2 \rangle\rangle = \int dQ \Psi_1^*(Q) \Psi_2(Q)$$

**Hermiticity:**  $C^{\mu\dagger} = C^\mu, \quad \mathcal{P}_\mu^\dagger = -\mathcal{P}_\mu, \quad \bar{C}_\mu^\dagger = -\bar{C}_\mu, \quad \bar{\mathcal{P}}^{\mu\dagger} = \bar{\mathcal{P}}^\mu$

## Nilpotent Grassmann BRST operator

$$[\hat{\Omega}, \hat{\Omega}] \equiv 2\hat{\Omega}^2 = 0$$

$$\hat{\Omega} = \pi_\alpha \bar{\mathcal{P}}^\alpha + C^\mu \hat{H}_\mu + \frac{1}{2} C^\nu C^\mu \hat{U}_{\mu\nu}^\lambda \mathcal{P}_\lambda + \dots, \quad \hat{\Omega}^\dagger = \hat{\Omega}$$



$$\hat{H}_\mu - \hat{H}_\mu^\dagger = i\hat{U}_{\mu\lambda}^\lambda + \dots$$

## Unitarizing Hamiltonian

$$\hat{\mathcal{H}}_\Phi = \hat{\mathcal{H}}_0 + \frac{1}{i} [\hat{\Phi}, \hat{\Omega}]$$

**BRS extension of**  $H_0$ ,  $[\hat{\mathcal{H}}_0, \hat{\Omega}] = 0$

## Gauge fermion

$$\hat{\Phi} = \mathcal{P}_\mu N^\mu + \bar{C}_\mu \chi^\mu(q), \quad n(\hat{\Phi}) = 1$$

**Unitary evolution operator**  $i\hbar \frac{\partial}{\partial t} \hat{U}_\Phi(t, t_-) = \hat{\mathcal{H}}_\Phi \hat{U}_\Phi(t, t_-), \quad \hat{U}_\Phi(t_-, t_-) = \mathbb{I}$

**Physical states**  $\hat{\Omega} || \Psi \rangle\rangle = 0$

**Main property: gauge independence of physical matrix elements**  $[\hat{\Omega}, \hat{U}_\Phi(t, t_-)] = 0$



$$\hat{\Omega} || \Psi_{1,2} \rangle\rangle = 0 \quad \rightarrow \quad \delta_\Phi \langle\langle \Psi_1 || \hat{U}_\Phi(t_+, t_-) || \Psi_2 \rangle\rangle = 0$$

**Path integral representation**

$$U_\Phi(t_+, Q_+ | t_-, Q_-) \equiv \langle\langle Q_+ || \hat{U}_\Phi(t_+, t_-) || Q_- \rangle\rangle$$

$$= \int_{Q(t_\pm)=Q_\pm} D[Q, P] \exp \left\{ i \int_{t_-}^{t_+} dt \left( P_I \dot{Q}^I - \mathcal{H}_0 - \{ \Phi, \Omega \} \right) \right\}$$

**BRS extension of  $H_0$**

**quantum measure**

**Liouville measure**  $D[Q, P] = \prod_t dQ(t) \prod_{t^*} dP(t^*)$

# Dirac quantization as truncation of BFM formalism

$$||\Psi\rangle\rangle \rightarrow ||\Psi\rangle\rangle' = ||\Psi\rangle\rangle + \hat{\Omega} ||\Phi\rangle\rangle$$

**Batalin-Marnelius**  
gauge fixing

$$||\Psi\rangle\rangle \rightarrow ||\Psi_{BM}\rangle\rangle : \\ \hat{\mathcal{P}}_\mu ||\Psi_{BM}\rangle\rangle = 0, \hat{N}^\mu ||\Psi_{BM}\rangle\rangle = 0$$



**Quantum Dirac**  
constraints

$$0 = \frac{1}{i} [\hat{\Omega}, \hat{\mathcal{P}}_\mu] ||\Psi_{BM}\rangle\rangle = (\hat{H}_\mu + C^\nu \hat{U}_{\nu\mu}^\lambda \hat{\mathcal{P}}_\lambda) ||\Psi_{BM}\rangle\rangle = \hat{H}_\mu ||\Psi_{BM}\rangle\rangle$$

**Independence of**  
ghosts

$$[\hat{\Omega}, N^\mu] ||\Psi_{BM}\rangle\rangle = \frac{\partial}{\partial C^\mu} \Psi_{BM}(Q) = 0, \quad \frac{\partial}{\partial \bar{C}_\mu} \Psi_{BM}(Q) = 0$$



**Truncation:**  
BFM to Dirac

$$\Psi_{BM}(Q) = \langle q | \Psi \rangle \delta(N) \equiv \Psi(q) \delta(N),$$

$$\hat{\Omega} \Psi_{BM}(Q) = 0 \rightarrow \hat{H}_\mu \Psi(q) = 0$$

**Dirac**  
wavefunction

## Physical inner product

$$\int dQ |\Psi_{BM}(Q)|^2 \sim \int dN [\delta(N)]^2 \int dC d\bar{C} = \infty \times 0 \quad ?$$

Gauge independent  
regularization of inner  
product

$$\langle\langle \Psi' || \Psi \rangle\rangle_{\text{phys}} = \langle\langle \Psi'_{BM} || e^{[\hat{\Phi}, \hat{\Omega}]} || \Psi_{BM} \rangle\rangle$$

$$[\hat{\Omega}, [\hat{\Phi}, \hat{\Omega}]] = 0, \quad \hat{\Omega} || \Psi_{BM} \rangle\rangle = 0 \quad \Rightarrow \quad \frac{\delta}{\delta\Phi} \langle\langle \Psi' || \Psi \rangle\rangle_{\text{phys}} = 0$$

Gauge fermion and  
Faddeev-Popov operator

$$\hat{\Phi} = \mathcal{P}_\mu N^\mu + \bar{C}_\mu \hat{\chi}^\mu \quad \Rightarrow \quad \hat{J}_\nu^\mu = \frac{1}{i} [\hat{\chi}^\mu, \hat{H}_\nu]$$

Physical inner product

$$\langle\langle \Psi' || \Psi \rangle\rangle_{\text{phys}} = \int dq \Psi'^*(q) \hat{M} \left( q, \frac{\partial}{i\partial q} \right) \Psi(q) = \langle \Psi' | \hat{M} | \Psi \rangle$$

**operator-valued measure**

**Physical inner product *measure* in the coordinate gauges (*q-gauges*)**

$$\hat{M} = \int dN dC d\bar{C} \delta(N) e^{-i\bar{C}_\mu \hat{J}_\nu^\mu C^\nu + \hat{\chi}^\mu \frac{\partial}{\partial N^\mu}} \delta(N)$$

$$= \int d\pi dC d\bar{C} e^{-i\bar{C}_\mu \hat{J}_\nu^\mu C^\nu + i\pi_\mu \hat{\chi}^\mu}$$

$$= \delta(\hat{\chi}) \det \hat{J}_\nu^\mu \left( 1 + O([\hat{\chi}, \hat{J}]) \right)$$

*multi-loop corrections*



$$\langle \Psi' | \Psi \rangle_{\text{phys}} = \int dq \Psi'^*(q) \delta(\chi(q)) \det \hat{J}_\nu^\mu \Psi(q) + O(\hbar)$$



## Projector on the space of physical states and path integral

$$\Psi(q) = \int dN \Psi(q, N, C, \bar{C}) \Big|_{C=0}$$

$$U(q_+, q_-) = \int dN_+ dN_- U_\Phi(t_+, Q_+ | t_-, Q_-) \Big|_{C_\pm=0}$$

$$U(q_+, q_-) \equiv \langle\langle \Psi_+ | \hat{U}_\Phi(t_+, t_-) | \Psi_- \rangle\rangle,$$

$$\Psi_\pm(q, N, C, \bar{C}) = \delta(q - q_\pm) \delta(C) \delta(\bar{C}), \quad \hat{\pi}_\alpha | \Psi_\pm \rangle\rangle = 0, \quad \hat{\Omega} | \Psi_\pm \rangle\rangle = 0$$

**Gauge and time independence**

$$\delta_\Phi U(q_+, q_-) = 0$$

$$i \frac{\partial}{\partial t_+} U(q_+, q_-) = \langle\langle \Psi_+ | \frac{1}{i} [\hat{\Phi}, \hat{\Omega}] \hat{U}_\Phi(t_+, t_-) | \Psi_- \rangle\rangle = 0$$

**Quantum Dirac constraints**

$$\hat{H}_\mu \hat{U} = 0, \quad \hat{U} \overleftarrow{H}_\mu^\dagger = 0$$



**Projector on the space of physical states**

$$U(q, q') = \langle q | \hat{U} | q' \rangle, \quad \hat{U} = \text{const} \times \prod_\mu \delta(\hat{H}_\mu)$$

**Choice of gauge fermion  
for relativistic gauge:**

$$\hat{\Phi} = \mathcal{P}_\mu N^\mu + \bar{C}_\mu \hat{\chi}^\mu$$



$$U(q_+, q_-) = \int D[Q, P] \exp \left[ i \int_{t_-}^{t_+} dt \left( p_i \dot{q}^i - N^\mu H_\mu + \mathcal{P}_\mu \dot{C}^\mu + \bar{\mathcal{P}}_\mu \dot{\bar{C}}^\mu \right. \right. \\ \left. \left. + \pi_\mu \underbrace{(\dot{N}^\mu - \chi^\mu)}_{\substack{\text{relativistic gauge} \\ \text{conditions}}} - \bar{C}_\mu J_\nu^\mu C^\nu - \mathcal{P}_\alpha (\bar{\mathcal{P}}^\alpha + U_{\mu\nu}^\alpha N^\mu C^\nu) \right) \right] \Bigg|_{\substack{q(t_\pm)=q_\pm, C_\pm=0 \\ N_\pm \text{ integrated over}}}$$

**Transition to unitary gauge:  
equivalence to quantization  
in a physical sector**

$$\chi^\mu \rightarrow \frac{\chi^\mu}{\varepsilon}, \quad \pi_\mu \rightarrow \varepsilon \pi_\mu, \quad \bar{C}_\mu \rightarrow \varepsilon \bar{C}_\mu; \quad \varepsilon \rightarrow 0$$

$$U(q_+, q_-) = \int_{q(t_\pm)=q_\pm} D[q, p] DN \left( \underbrace{\prod_{t_+ > t > t_-} \delta(\chi) \det J_\nu^\mu}_{\substack{\text{Faddeev-Popov gauge-fixing} \\ \text{factor (missing at } t_\pm)}} \right) \exp \left[ i \int_{t_-}^{t_+} dt \left( p_i \dot{q}^i - N^\mu H_\mu \right) \right]$$

# Semiclassical approximation

## Operator realization in coordinate representation

$$H_\mu \xrightarrow{?} \hat{H}_\mu : \quad [\hat{H}_\mu, \hat{H}_\nu] = i\hbar \hat{U}_{\mu\nu}^\lambda \hat{H}_\lambda, \quad \hat{H}_\mu - \hat{H}_\mu^\dagger = i\hbar \hat{U}_{\mu\lambda}^\lambda + \dots$$

$$\hat{H}_\mu = \mathcal{N}_W \left\{ H_\mu + \frac{i\hbar}{2} U_{\mu\nu}^\nu + O(\hbar^2) \right\},$$

Weyl ordering

semiclassical Weyl symbols

$$\hat{U}_{\mu\nu}^\lambda = \mathcal{N}_W \left\{ U_{\mu\nu}^\lambda - \frac{i\hbar}{2} U_{\mu\nu\sigma}^{\lambda\sigma} + O(\hbar^2) \right\}$$

V.Krykhtin & A.B., Class.  
Quantum Grav. 10 (1993) 1957

A.B. Class. Quantum Grav. 10  
(1993) 1985; gr-qc/9612003

**Geometrical properties of this realization ?**

## Covariance under diffeomorphisms of $q$ -space

Contact canonical transformations  
in phase space

$$q^i = q^i(q'), \quad p_i = p_{k'} \frac{\partial q^{k'}}{\partial q^i}, \quad G(q, p) = G'(q', p')$$

$$\hat{G} = \{\hat{H}_\mu, \hat{U}_{\mu\nu}^\alpha, \hat{U}_{\mu\nu\lambda}^{\alpha\beta}, \dots\}$$

$$\hat{G} = \mathcal{N}_W \tilde{G}(q, p)$$

Weyl symbol of  $\hat{G}$

$$q \rightarrow q', \quad \hat{G} \rightarrow \hat{G}'$$

$$\hat{G} \rightarrow \hat{G}' = \left| \frac{\partial q'}{\partial q} \right|^{-1/2} \hat{G} \left| \frac{\partial q'}{\partial q} \right|^{1/2}$$

A.B., gr-qc/9612003

$$\Psi'(q') = \left| \frac{\partial q}{\partial q'} \right|^{1/2} \Psi(q) \Leftrightarrow \langle \Psi_1 | \Psi_2 \rangle = \int dq \Psi_1^*(q) \Psi_2(q) = \langle \Psi'_1 | \Psi'_2 \rangle,$$

$\frac{1}{2}$ -weight density

## Transformation of the constraint basis

$$H'_\mu = \Omega^\nu_\mu H_\nu, \quad \Omega^\nu_\mu = \Omega^\nu_\mu(q, p), \quad \det \Omega^\nu_\mu \neq 0,$$

$$\hat{H}'_\mu = \hat{\Omega}^{-1/2} \hat{\Omega}^\nu_\mu \hat{H}_\nu \hat{\Omega}^{1/2}, \quad |\Psi'\rangle = \hat{\Omega}^{-1/2} |\Psi\rangle,$$

$$\hat{\Omega} = \det \hat{\Omega}^\mu_\nu, \quad \hat{\Omega}^\nu_\mu = \mathcal{N}_W \left\{ \Omega^\nu_\mu + O(\hbar^2) \right\}$$

A.B., gr-qc/9612003

## Invariant physical observables

$$\{\mathcal{O}_I, H_\mu\} = U^\lambda_{I\mu} H_\lambda,$$

$$\{\mathcal{O}_I, \mathcal{O}_J\} = U^L_{IJ} \mathcal{O}_L + U^\lambda_{IJ} H_\lambda, \quad U^L_{IJ} = \text{const}$$

$$\mathcal{O} \rightarrow \hat{\mathcal{O}}, \quad [\hat{\mathcal{O}}_I, \hat{H}_\mu] = i\hbar \hat{U}^\lambda_{I\mu} \hat{H}_\lambda,$$

$$\hat{\mathcal{O}}_I = \mathcal{N}_W \left\{ \mathcal{O}_I + \frac{i\hbar}{2} U^\lambda_{I\lambda} + \frac{i\hbar}{2} U^J_{IJ} + O(\hbar^2) \right\},$$

$$\hat{U}^\lambda_{I\mu} = \mathcal{N}_W \left\{ U^\lambda_{I\mu} - \frac{i\hbar}{2} U^{\lambda\sigma}_{I\mu\sigma} + O(\hbar^2) \right\}$$

## Semiclassical solution of quantum Dirac constraints

one-loop prefactor

$$U(q, q') = [P(q, q') + O(\hbar)] \exp \left[ \frac{i}{\hbar} S(q, q') \right]$$

$$\hat{H}_\mu U(q, q') = 0 \Rightarrow \begin{cases} H_\mu(q, \partial S / \partial q) = 0, & \text{Hamilton-Jacobi equation} \\ \underbrace{\frac{\partial}{\partial q^i} (\nabla_\mu^i P^2)} = U_{\mu\lambda} P^2, & \nabla_\mu^i \equiv \left. \frac{\partial H_\mu}{\partial p_i} \right|_{p=\partial S / \partial q} \end{cases}$$

"continuity" equations

**Degenerate matrix:**  $S_{ik'} = \frac{\partial^2 S(q, q')}{\partial q^i \partial q^{k'}}$   $\frac{\partial}{\partial q^{k'}} H_\mu(q, \partial S / \partial q) = 0$

$$\nabla_\mu^i S_{ik'} = 0, \quad S_{ik'} \nabla_\nu^{k'} = 0, \quad \nabla_\nu^{k'} \equiv \left. \frac{\partial H_\nu(q', p')}{\partial p_k'} \right|_{p' = -\partial S / \partial q'}$$

$$S_{ik'} \rightarrow F_{ik'} = S_{ik'} + \underbrace{\chi_i^\mu c_{\mu\nu} \chi_{k'}^\nu}_{\text{gauge-breaking term}}, \quad \underbrace{\chi_i^\mu \equiv \frac{\partial \chi^\mu(q)}{\partial q^i}, \quad \chi_{k'}^\nu \equiv \frac{\partial \chi^\nu(q')}{\partial q^{k'}}}_{\text{gauge matrices}}, \quad c_{\mu\nu}$$

**Solution of continuity equation**  $P(q, q') = \left[ \frac{\det F_{ik'}}{J J' \det c_{\mu\nu}} \right]^{1/2}$

A.B. Phys.Lett.  
B 241 (1990) 201

$$\left. \begin{aligned} J &\equiv \det J_\nu^\mu(q) \neq 0, \quad J_\nu^\mu(q) = \chi_i^\mu \nabla_\nu^i, \\ J' &\equiv \det J_\nu^\mu(q') \neq 0, \quad J_\nu^\mu(q') = \chi_{i'}^\mu \nabla_\nu^{i'} \end{aligned} \right\} \text{Faddeev-Popov determinants for gauges } \chi^\mu(q), \chi^\nu(q')$$

**Recognize in this expression the analogue of the one-loop effective action with the contribution of the Faddeev-Popov determinant.**

## ***Properties:***

### ***1) gauge independence of the prefactor***

$$\delta_\chi P(q, q') = 0, \quad \delta_c P(q, q') = 0$$

### ***2) closure of the Lie bracket algebra***

$$\nabla_\mu^i \frac{\partial \nabla_\nu^k}{\partial q^i} - \nabla_\nu^i \frac{\partial \nabla_\mu^k}{\partial q^i} = U_{\mu\nu}^\lambda \nabla_\lambda^k$$

### ***3) the “continuity” equation for the prefactor***

$$\frac{\partial}{\partial q^i} (\nabla_\mu^i P^2) = U_{\mu\lambda}^\lambda P^2$$



## Hamiltonian reduction to the physical sector

$$S = \int dt \left\{ p_i \dot{q}^i - H_0(q, p) - N^\mu H_\mu(q, p) \right\}, \quad \underbrace{i = 1, \dots, n, \quad \mu = 1, \dots, m}_{\text{formal counting (in field theory always both are infinite)}}$$

**Solution of first-class constraints and gauges**  
*(ultralocal in time, but nonlocal in space)*

$$\left. \begin{aligned} H_\mu(q, p) &= 0 \\ \chi^\mu(q, p) &= 0 \end{aligned} \right\} \Rightarrow \begin{aligned} q^i &= e^i(\xi^A, \pi_A) \\ p_i &= P_i(\xi^A, \pi_A), \quad A = 1, \dots, n - m \end{aligned}$$

$n - m = \#$  **physical degrees of freedom**

$$S_{\text{phys}}[\xi, \pi] = \int dt \left[ \pi_A \dot{\xi}^A - H_{\text{phys}}(\xi, \pi) \right]$$

$$H_{\text{phys}}(\xi, \pi) = H_0(e(\xi, \pi), P(\xi, \pi)) - P_i(\xi, \pi) \frac{\partial e^i(\xi, \pi, t)}{\partial t}$$

**if**  $\chi^\mu = \chi^\mu(q, p, t)$   
 $\rightarrow e^i = e^i(\xi, \pi, t)$

# Hamiltonian reduction and semiclassical inner product

“Moving” physical subspace  $\Sigma(t) : q^i = e^i(\xi, t), \chi^\mu(e^i(\xi, t), t) \equiv 0$

$$d^{n-m}\xi = d^n q \delta((\chi(q, t))) \mathcal{M}$$

measure factor

Physical Hamilton-Jacobi function

$$S(t, \xi|t', \xi') = S(q, q') \Big|_{q=e(\xi, t), q'=e(\xi', t')}$$

$$\frac{\partial^2 S(t, \xi|t', \xi')}{\partial \xi^A \partial \xi^{B'}} = S_{ik'} \frac{\partial e^i}{\partial \xi^A} \frac{\partial e^{k'}}{\partial \xi^{B'}} \Big|_{q=e(\xi, t), q'=e(\xi', t')}$$

Semiclassical unitary evolution operator in the physical sector (Pauli-Van Vleck)

$$U_{\text{phys}}(t, \xi|t', \xi') \equiv \left[ \det \frac{i}{2\pi\hbar} \frac{\partial^2 S(t, \xi|t', \xi')}{\partial \xi^A \partial \xi^{B'}} \right]^{1/2} e^{\frac{i}{\hbar} S(t, \xi|t', \xi')} + O(\hbar)$$

**Unitary map between physical and Dirac-Wheeler-DeWitt semiclassical evolution operators**

$$U_{\text{phys}}(t, \xi | t', \xi') = \text{const} \left( \frac{J}{\mathcal{M}} \right)^{1/2} P(q, q') \left( \frac{J'}{\mathcal{M}'} \right)^{1/2} \Big|_{q=e(\xi, t), q'=e(\xi', t')}$$

**Unitary map between wavefunctions**

A.B. Class. Quantum Grav. 10 (1993) 1985; gr-qc/9612003

$$\Psi_{\text{phys}}(t, \xi) = \text{const} \left( \frac{J}{\mathcal{M}} \right)^{1/2} \Psi(q) \Big|_{q=e(\xi, t)}$$

**Unitarity --- conservation of physical inner product**

$$\begin{aligned} (\Psi_1^{\text{phys}}(t) | \Psi_2^{\text{phys}}(t))_{\text{phys}} &\equiv \int d\xi \Psi_1^{\text{phys}*}(\xi, t) \Psi_2^{\text{phys}}(\xi, t) \\ &= \int dq \Psi_1^*(q) J_\chi \delta(\chi(q, t)) \Psi_2(q) \equiv \langle \Psi_1 | \Psi_2 \rangle_{\text{phys}} \end{aligned}$$

**measure**

**Gauge independence of physical inner product**

$$\frac{\delta}{\delta \chi} \int dq \Psi_1^*(q) J_\chi \delta(\chi) \Psi_2(q) = 0 \quad ?$$

## Exercise on Stokes theorem

$$\int dq \Psi_1^*(q) J_\chi \delta(\chi) \Psi_2(q) = \int_\Sigma \omega^{(n-m)},$$

A.B., Class. Quant.  
Grav. 10 (1993) 1985

$$\omega^{(n-m)} = \frac{dq^{i_1} \wedge \dots \wedge dq^{i_{n-m}}}{(n-m)!} \epsilon_{i_1 \dots i_n} \Psi_2^* \nabla_1^{i_{n-m+1}} \dots \nabla_m^{i_n} \Psi_1$$

$m = \text{codim } \Sigma$  -- # of continuity type equations:

*Lie bracket algebra  
of vector fields*

$$\left. \begin{aligned} \frac{\partial}{\partial q^i} (\nabla_\mu^i \Psi_2^* \Psi_1) &= U_{\mu\lambda}^\lambda \Psi_2^* \Psi_1 \\ \nabla_\mu^i \frac{\partial \nabla_\nu^k}{\partial q^i} - \nabla_\nu^i \frac{\partial \nabla_\mu^k}{\partial q^i} &= U_{\mu\nu}^\lambda \nabla_\lambda^k \end{aligned} \right\} \rightarrow d\omega^{(n-m)} = 0$$

closed form

$\mathcal{M}_{12}$  forms a cobordism of  $\Sigma_1$  and  $\Sigma_2$

$$\partial \mathcal{M}_{12} = \Sigma_1 \cup \Sigma_2, \quad \dim \mathcal{M}_{12} = \dim \Sigma_{1,2} + 1$$



$$\int_{\Sigma_2} \omega^{(n-m)} - \int_{\Sigma_1} \omega^{(n-m)} = \int_{\mathcal{M}_{12}} d\omega^{(n-m)} = 0$$

**Dirac constraints operators are Hermitian with respect to physical inner product**

$$\langle \hat{H}_\mu \Psi_1 | \Psi_2 \rangle_{\text{phys}} - \langle \Psi_1 | \hat{H}_\mu \Psi_2 \rangle_{\text{phys}}$$

multi-loop orders

$$= \langle \Psi_1 | \hat{H}_\mu^\dagger J \delta(\chi) | \Psi_2 \rangle - \langle \Psi_1 | J \delta(\chi) \hat{H}_\mu | \Psi_2 \rangle = O(\hbar)$$

Conjugation with respect to auxiliary BFV inner product

$$\hat{H}_\mu^\dagger = \hat{H}_\mu - i\hbar U_{\mu\lambda}^\lambda$$

A.B., unpublished e-print gr-qc/9612003

The same is true for invariant physical observables subject to classical algebra:

$$\langle \hat{O}_I^\dagger \Psi_1 | \Psi_2 \rangle_{\text{phys}} - \langle \Psi_1 | \hat{O}_I \Psi_2 \rangle_{\text{phys}} = O(\hbar)$$

# Lagrangian versus canonical formalisms

**Lagrangian variables**

$$g^a = (q^i(t), N^\mu(t)), \quad S[g] = \int_{t_-}^{t_+} dt L(q, \dot{q}, N)$$

**Lagrangian gauge generators**

$$R_\mu^a \frac{\delta S[g]}{\delta g^a} = R_\mu^a \left( \frac{d}{dt} \right) \frac{\delta S[g]}{\delta g^a(t)}, \quad \mu \rightarrow (\mu, t),$$

$$R_\mu^i = \delta(t - t') \left. \frac{\partial T_\mu}{\partial p_i} \right|_{p=p^0(q, \dot{q}, N)}, \quad R_\mu^\alpha = \left( \delta_\mu^\alpha \frac{d}{dt} - U_{\lambda\mu}^\alpha N^\lambda \right) \delta(t - t').$$

**Lagrangian path integral**

$$U(q_+, q_-) = \int Dg DC D\bar{C} \exp \frac{i}{\hbar} \left\{ \left( S[g] - \frac{1}{2} \chi^\mu c_{\mu\nu} \chi^\nu \right) + \bar{C}_\mu Q_\nu^\mu C^\nu \right\}.$$

$$\frac{1}{2} \chi^\mu c_{\mu\nu} \chi^\nu = \frac{1}{2} \int_{t_-}^{t_+} dt \chi^\mu(g, \dot{g}) c_{\mu\nu} \chi^\nu(g, \dot{g})$$

**gauge-breaking term**

**Relativistic gauge fixing:**

$$\chi^\mu = \chi^\mu(g, \dot{g}), \quad a_\nu^\mu = -\frac{\partial \chi^\mu}{\partial \dot{N}^\nu}, \quad \det a_\nu^\mu \neq 0$$

$$Q_\nu^\mu = \frac{\delta \chi^\mu}{\delta g^a} R_\nu^a, \quad Q_\nu^\mu \left( \frac{d}{dt} \right) \delta(t - t') = \left( -a_\nu^\mu \frac{d^2}{dt^2} + \dots \right) \delta(t - t')$$

**dynamical ghost operator**

## Boundary conditions on integration variables

$$q^i(t_{\pm}) = q_{\pm}^i, \quad C^{\mu}(t_{\pm}) = 0, \quad \bar{C}_{\nu}(t_{\pm}) = 0,$$

$$-\infty < N^{\mu}(t_{\pm}) < +\infty$$

*Lagrange multipliers at the boundaries are integrated over*

## Quantum Dirac constraints for the path integral

$$\int Dg DC D\bar{C} \frac{\delta}{\delta N^{\mu}(t_{+})} \left( \text{full path integral integrand} \right) = 0$$



$$\hat{H}_{\mu} \left( q, \frac{\hbar}{i} \frac{\partial}{\partial q} \right) U(q, q') = 0$$

H.Leutwyler (1964)

A.B. (1980)

Hartle-Hawking (1983)

**Boundary value problem for the saddle point configuration:**

$$\begin{aligned} \frac{\delta S[g]}{\delta g^a(t)} &= 0, \\ \chi^\mu(g, \dot{g}) &= 0, \quad t_- \leq t \leq t_+, \\ q(t_\pm) &= q_\pm \end{aligned} \quad \Rightarrow \quad g = g(t | q_+, q_-)$$

**Hamilton-Jacobi function --- on-shell action**      $S(q, q') = S[g(t | q_+, q_-)]$

**One-loop prefactor**      $P(q_+, q_-) = \frac{\text{Det } Q_\nu^\mu}{(\text{Det } F_{ab})^{1/2}} \Big|_{g=g(t | q_+, q_-)}$

**Hessian of the action**      $F_{ab} = S_{ab} - \chi_a^\mu c_{\mu\nu} \chi_b^\nu, \quad S_{ab} \equiv \frac{\delta^2 S[g]}{\delta g^a \delta g^b},$   
 $\chi_a^\mu \equiv \frac{\delta \chi^\mu}{\delta g^a} = \chi_a^\mu \left( \frac{d}{dt} \right)$



## Specification of functional determinants:

$$\delta \ln \text{Det } F_{ab} = G^{ba} \delta F_{ab},$$



**Mixed Dirichlet-Neumann problem**

$$\begin{aligned} F_{ca}(d/dt)G^{ab}(t, t') &= \delta_c^b \delta(t - t'), \\ G^{ib}(t_{\pm}, t') &= 0, \\ \chi_a^\mu \left( \frac{d}{dt_{\pm}} \right) G^{ab}(t_{\pm}, t') &= 0 \end{aligned}$$

$$\delta \ln \text{Det } Q_\nu^\mu = Q_\mu^{-1 \nu} \delta Q_\nu^\mu$$



**Dirichlet problem**

$$\begin{aligned} Q_\mu^\alpha (d/dt) Q_\alpha^{-1 \beta}(t, t') &= \delta_\mu^\beta \delta(t - t'), \\ Q_\alpha^{-1 \beta}(t_{\pm}, t') &= 0 \end{aligned}$$



A.B., Nucl. Phys. B 520 (1998) 533

$$(\text{Det } F_{ab})^{-1/2} = \text{Const} \left[ \frac{\det (\mathbf{S}_{ik'} + \chi_i^\mu c_{\mu\nu} \chi_{k'}^\nu)}{\det c_{\mu\nu}} \right]^{1/2}$$

$$\text{Det } Q_\nu^\mu = \text{const} \left( \det J_\nu^\mu \det J_\nu^{\prime\mu} \right)^{-1/2}, \quad J_\nu^\mu = \chi_i^\mu \nabla_\nu^i, \quad J_\nu^{\prime\mu} = \chi_{i'}^\mu \nabla_\nu^{i'}$$

**Gauge conditions matrices are built of basis functions of gauge and ghost operators**



**Analogue of Pauli-Van Vleck relation for gauge theories**

$$\frac{\text{Det } Q_\nu^\mu}{(\text{Det } F_{ab})^{1/2}} \Big|_{g=g(t|q_+, q_-)} = \left[ \frac{\det F_{ik'}}{J J' \det c_{\mu\nu}} \right]^{1/2}$$

**All this is really not working!**

$$U_{\mu\lambda}^{\lambda} = ?$$

$$U_{ax\ bx'}^{cx''} = \delta_b^c \partial_a \delta(\mathbf{x}, \mathbf{x}') \delta(\mathbf{x}', \mathbf{x}'') - (ax \leftrightarrow bx')$$

$$\int d^3x' U_{ax\ bx'}^{bx''} \Big|_{\mathbf{x}'=\mathbf{x}''} \sim \delta(0) = \infty$$

**+ other UV divergences**

**We need other 4D covariant formalism to be able to regularize and renormalize the theory --- *path integral method***

*Let us begin with minisuperspace applications in which this problem does not at all arise*

# Quantum cosmology: initial conditions for cosmological inflation

**Spatially homogeneous (minisuperspace) metric**

$$ds^2 = -N^2 dt^2 + a^2(t) \sigma_{ab} dx^a dx^b$$

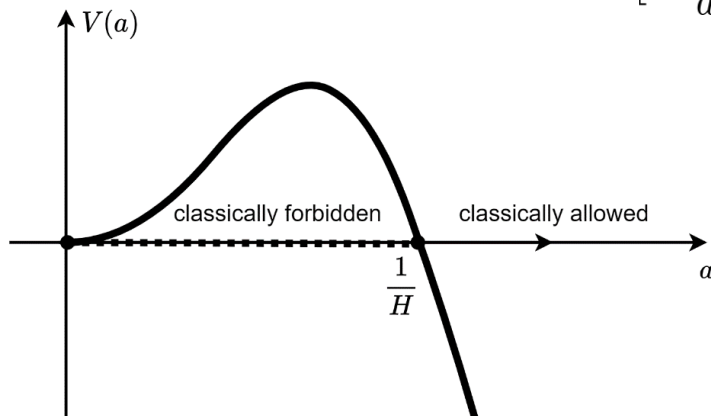
$$q^i, p_i, N^\mu H_\mu \mapsto a(t), p(t), N(t), H_\perp(a, p)$$

$$H_\perp(a, p) = \frac{1}{24\pi^2 M_P^2} \left[ -\frac{p^2}{a} + (12\pi^2 M_P^2)^2 a (H^2 a^2 - 1) \right]$$

$$H^2 = \frac{\Lambda}{3} \quad \text{Hubble constant in terms of the cosmological constant}$$

**Minisuperspace Wheeler-DeWitt equation**

$$\left[ -\frac{d^2}{da^2} - (12\pi^2 M_P^2)^2 a^2 (H^2 a^2 - 1) \right] \psi(a) = 0$$



**Stationary Schroedinger equation in the potential  $V(a)$  at the energy level  $E=0$**

**Underbarrier tunneling via a bounce solution**

# Action of underbarrier bounce --- euclideanized gravitational action

$$I[g_{\mu\nu}^E] = -\frac{M_P^2}{2} \int d^4x g^{1/2} (R - 2\Lambda) \Big|_{\text{Euclidean metric}} = -iS[g_{\mu\nu}] \Big|_{N \rightarrow iN^E}$$

in ADM parametrization

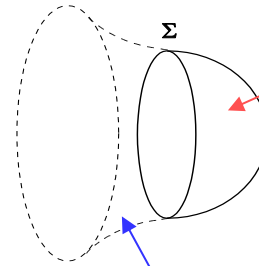
$$g_{\mu\nu} \rightarrow g_{\mu\nu}^E : N \rightarrow iN^E$$

$$I = -\frac{4\pi^2 M_P^2}{H^2} < 0 \quad \text{Negative Euclidean action}$$

4D Euclidean hemisphere

$$ds^2 = d\tau^2 + a_E^2(\tau) d\Omega_{(3)}^2$$

$$a_E(\tau) = \frac{1}{H} \sin(H\tau),$$



Euclidean spacetime

no tunneling, really:  
"birth from nothing"

Analytic continuation to Lorentzian signature de Sitter geometry:

$$\tau = \pi/2H + it$$

$$a_L(t) = \frac{1}{H} \cosh(Ht)$$

Hartle-Hawking no-boundary wavefunction

$$\Psi \sim e^{-I} = \exp \left[ +\frac{4\pi^2 M_P^2}{H^2} \right]$$

**Chaotic inflation --- effective cosmological and Hubble constants are generated by the inflaton scalar field potential**

$$\Lambda = 3H^2 \rightarrow \frac{V(\phi)}{M_P^2} = 3H^2(\phi)$$

**Probability of inflation:**

$$P(\phi) \simeq |\Psi(\phi)|^2 \Big|_{a=1/H(\phi)} \simeq \left( \exp \left[ \frac{4\pi^2 M_P^2}{H^2(\phi)} \right] \right)^2 = \exp \left[ \frac{24\pi^2 M_P^4}{V(\phi)} \right] \rightarrow \infty, \quad V(\phi) \rightarrow 0$$

**Point of nucleation of the Lorentzian spacetime from the Euclidean one**

**Most probable at the minimum of inflaton potential  $H_{\text{eff}} \rightarrow 0$  --- insufficient amount of inflation**

**Why the Hartle-Hawking wavefunction is called no-boundary ?**

# Euclidean quantum gravity path integral for **no-boundary** wavefunction (Hartle-Hawking)

$$\Psi_{no-boundary}[{}^3\gamma, \varphi] = \int D[{}^4g, \phi] e^{-I_{\mathcal{M}}[{}^4g, \phi]} \Big|_{{}^3g(\partial\mathcal{M})={{}^3\gamma}, \phi(\partial\mathcal{M})=\varphi}$$

Euclidean metric and matter regular on  $\mathcal{M}$ , includes all gauge fixing details

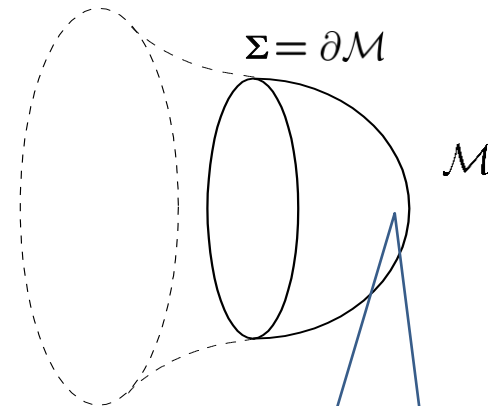
**Euclidean action**  $I_{\mathcal{M}}[g_{\mu\nu}, \phi] = -\frac{M_P^2}{2} \int_{\mathcal{M}} d^4x g^{1/2} (R - 2\Lambda) + S_{\text{matter}}[g_{\mu\nu}, \phi]$

**Semiclassically:**

$$\Psi_{no-boundary}[{}^3\gamma, \varphi] = e^{-I_{\mathcal{M}}[{}^4g_0, \phi_0]}$$

$${}^4g_0|_{\partial\mathcal{M}} = {}^3\gamma, \quad \phi_0|_{\partial\mathcal{M}} = \varphi$$

Saddle point -- solution subject to boundary data



no initial Cauchy boundary, just regularity

## The alternative --- microcanonical density matrix of the Universe

$$\hat{\rho} = \frac{1}{Z} \sum_{\text{all } |\Psi\rangle} w_{\Psi} |\Psi\rangle \langle \Psi|, \quad w_{\Psi} = 1$$

A.B., Phys. Rev. Lett.  
99, 071301 (2007)

sum over “everything” that satisfies  
the Wheeler-DeWitt equation

$$\hat{H}_{\mu} |\Psi\rangle = 0$$

### Motivation:

A simplest analogy in unconstrained system with a conserved Hamiltonian  $\hat{H}$  Is the microcanonical density matrix with a fixed energy  $E$

$$\hat{\rho} \sim \delta(\hat{H} - E)$$

Spatially closed cosmology does not have freely specifiable constants of motion. The only conserved quantities are the Hamiltonian and momentum constraints  $H_{\mu}$ , all having a particular value --- zero.

An ultimate **equipartition** in the full set of states of the theory --- “**Sum over Everything**”. Creation of the Universe from Everything is conceptually more appealing than **creation from Nothing**, because the democracy of the microcanonical equipartition better fits the principle of Occam razor, preferring to drop redundant assumptions, than the selection of a concrete state.

# This is the **projector** onto the subspace of quantum gravitational constraints

**Partition function**

$$\hat{\rho} = \frac{1}{Z} \prod_{\mu} \delta(\hat{H}_{\mu}), \quad Z = \text{Tr}_{\text{phys}} \prod_{\mu} \delta(\hat{H}_{\mu})$$

$$\langle q | \prod_{\mu} \delta(\hat{H}_{\mu}) | q' \rangle = U(q, q'), \quad q = (\gamma_{ab}, \phi)$$

$$\text{Tr}_{\text{phys}} \hat{U} = \int dq \hat{M}_+ U(q_+, q_-) \Big|_{q_{\pm}=q}$$

**Faddeev-Popov gauge-fixing factors (missing at  $t_{\pm}$ )**

$$Z = \int dq \hat{M}_{t_+} \int_{q(t_{\pm})=q} D[q, p] DN \left( \prod_{t_+ > t > t_-} M_t \right) \exp \left[ iS[q, p, N] \right]$$

$$= \int_{\text{periodic}} D[q, p] DN \left( \prod_{\text{all } t} M_t \right) \exp \left[ iS[q, p, N] \right]$$

$$= \int_{\text{periodic}} D[g_{\mu\nu}, \phi] e^{iS[g_{\mu\nu}, \phi]}$$

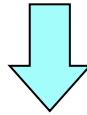
**inner product measure is absorbed into path integral measure**

**Lorentzian and covariant in relativistic gauge**

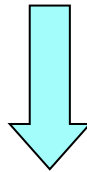
**No need for inner product and its measure – path integral takes care of it automatically!**



**Absence of periodic Lorentzian histories**



**rotation of integration contours over fields (or time)**



$$Z = \int_{\text{periodic}} D[g_{\mu\nu}, \Phi] e^{-S[g_{\mu\nu}, \Phi]}$$

**Euclideanization  
of the theory:**

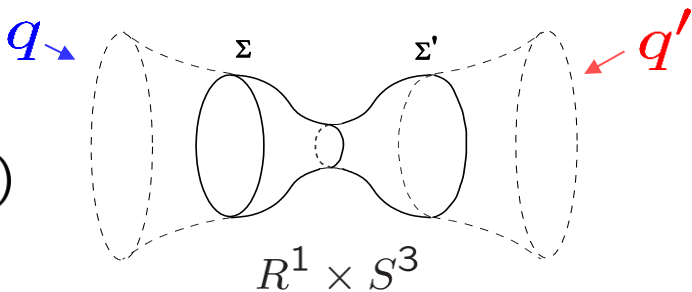
**Euclideanization of the metric  
and its gravitational action  
can be attained by the  
transition**

$$N \rightarrow -iN$$

$$iS \rightarrow -S_{\text{Euclidean}} \equiv -I$$

**Euclidean path integral and its saddle points**

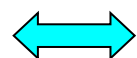
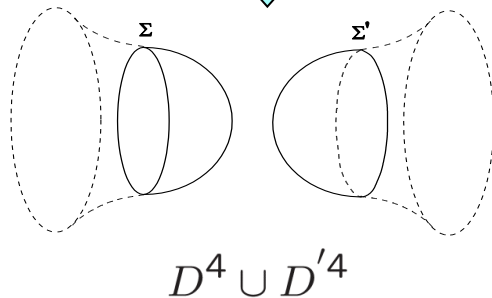
**Hartle-Hawking state as a vacuum member of the microcanonical ensemble:**

$$\hat{\rho}_{\text{mixed}} = \rho_{\text{mixed}}(q, q')$$


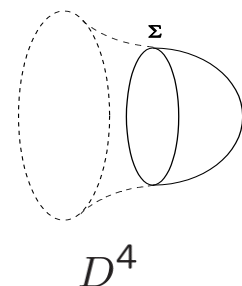
The diagram shows a tubular spacetime  $R^1 \times S^3$  represented as a hyperboloid of two sheets. Two cross-sections,  $\Sigma$  and  $\Sigma'$ , are indicated by solid ellipses. Dashed ellipses represent the continuation of these sections. A blue arrow labeled  $q$  points to the left boundary, and a red arrow labeled  $q'$  points to the right boundary.

*pinching a tubular spacetime*

$$|\Psi_{HH}\rangle\langle\Psi_{HH}| = \rho_{HH}(q, q')$$

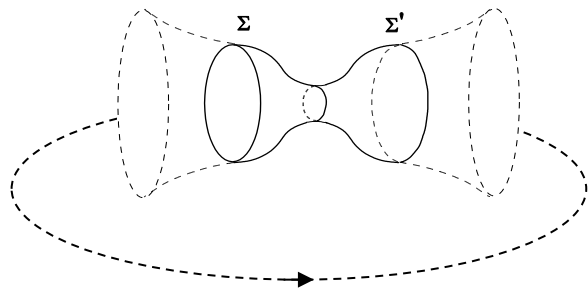


$$|\Psi_{HH}\rangle = \Psi_{HH}(q)$$

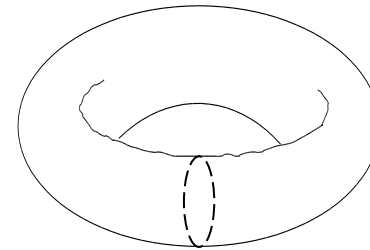
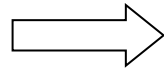


*density matrix representation of a pure Hartle-Hawking state*

## Transition to statistical sums



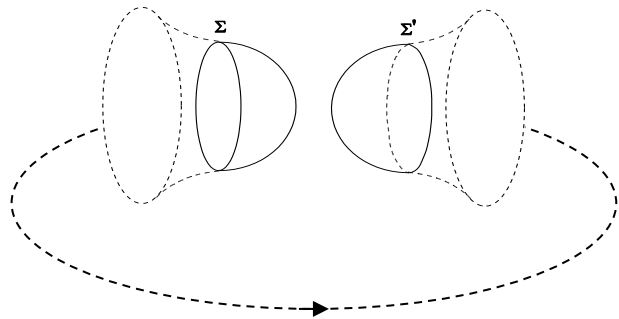
$$R^1 \times S^3$$



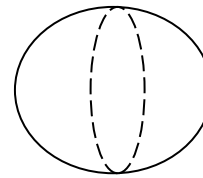
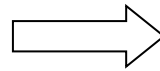
$$\Sigma = \Sigma'$$

$$S^1 \times S^3$$

**thermal  
instantons**



$$D^4 \cup D'^4$$



$$\Sigma = \Sigma'$$

$$S^4$$

**Hartle-Hawking  
(vacuum) instanton**

## Inflationary model driven by the trace anomaly of Weyl invariant fields --- **CFT driven cosmology**

$$S[g_{\mu\nu}, \Phi] = -\frac{M_P^2}{2} \int d^4x g^{1/2} (R - 2\Lambda) + S_{CFT}[g_{\mu\nu}, \Phi] \quad \Lambda \text{ -- primordial cosmological constant}$$



**Omission of graviton loops**

$$S_{\text{eff}}[g_{\mu\nu}] = -\frac{M_P^2}{2} \int d^4x g^{1/2} (R - 2\Lambda) + \Gamma_{CFT}[g_{\mu\nu}],$$

$$e^{-\Gamma_{CFT}[g_{\mu\nu}]} = \int D\Phi e^{-S_{CFT}[g_{\mu\nu}, \Phi]}$$

**Recovery of  $\Gamma_{CFT}$  from the conformal anomaly on a static Einstein Universe (anomaly, Casimir energy and free energy contributions)**

$$g_{\mu\nu} \frac{\delta \Gamma_{CFT}}{\delta g_{\mu\nu}} = \frac{1}{64\pi^2} g^{1/2} \left( \beta E + \alpha \square R + \gamma C_{\mu\nu\alpha\beta}^2 \right)$$

**Gauss -Bonnet term**

**Weyl**

$$\beta = \sum_s \beta_s N_s, \quad N_s \text{ -- \# of spin } s \text{ fields,} \quad \beta_s \text{ -- spin-dependent coefficients}$$

**$\beta$**  -- **critically important parameter (overall coefficient of Gauss-Bonnet term in conformal anomaly)**

**Effective Friedmann equation for saddle points of the path integral:**

$$\frac{1}{a^2} - \frac{\dot{a}^2}{a^2} = \frac{\varepsilon}{3M_{\pm}^2(\varepsilon)},$$

$$M_{\pm}^2(\varepsilon) = \frac{M_P^2}{2} \left( 1 \pm \sqrt{1 - \frac{\beta}{6\pi^2 M_P^4} \varepsilon} \right),$$

$$\varepsilon = M_P^2 \Lambda + \frac{1}{2\pi^2 a^4} \sum_{\omega} \frac{\omega}{e^{\eta\omega} - 1},$$

$$\eta = \int_{S^1} \frac{d\tau N}{a}$$

$$\frac{\delta S_{\text{eff}}[a, N]}{\delta N(\tau)} = 0$$

**Effective Friedmann equation**

**Effective Planck mass**

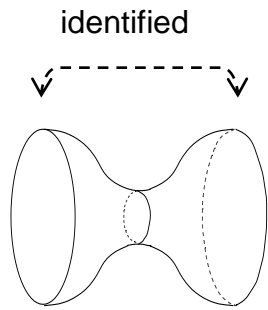
**Energy density =  $\Lambda$  + radiation of CFT particles -- sum over field oscillators with frequencies (eigenvalues of Laplacian on  $S^3$ )**

**Inverse temperature in units of conformal time period on  $S^1$**



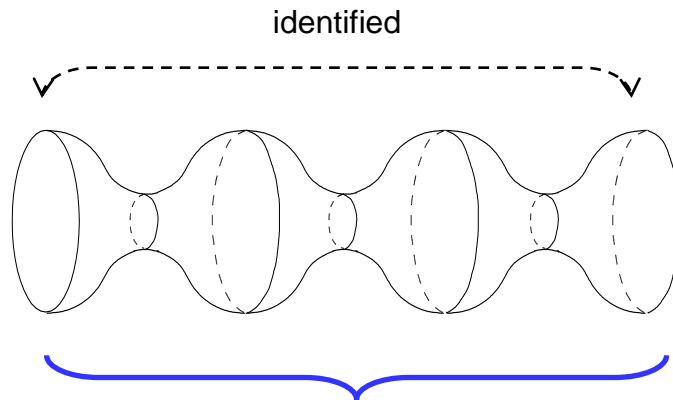
**Existence of the quasi-thermal stage preceding the inflation**

**Saddle point solutions --- set of periodic (thermal) garland-type instantons with oscillating scale factor ( $S^1 \times S^3$ ) and the vacuum Hartle-Hawking instantons ( $S^4$ )**

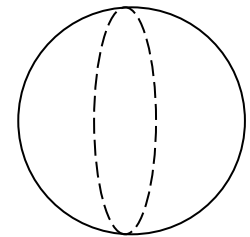


**1- fold,  $k=1$**

, ....



**$k$ - folded garland,  $k=1,2,3,\dots$**



**$S^4$**

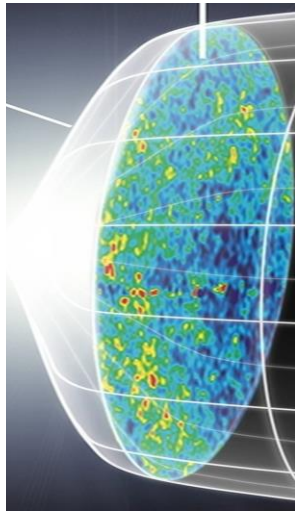
**does not contribute: ruled out by infinite positive Euclidean action (effect of conformal anomaly)**

**UV bounded cosmological constant range:**

$$\Lambda_{min} < \Lambda < \Lambda_{max} = \frac{12\pi^2}{\beta} M_P^2$$

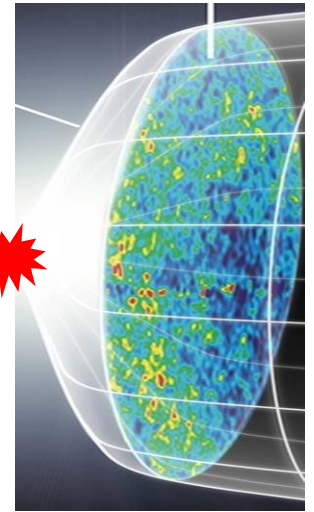
# Initial thermal state with the primordial temperature $T_{prim}$ of matter

## Standard inflation scenario versus Density matrix scenario



Inflation, hot  
big-bang  
→ relic radiation

Vacuum,  
absolute zero  
temperature



Inflation,  $T_{prim} \rightarrow 0$ ,  
hot big-bang  
→ relic radiation

Thermal state,  
primordial  
temperature  $T_{prim}$

# “SOME LIKE IT HOT” (SLIH) scenario



**J**ournal of **C**osmology and **A**stroparticle **P**hysics  
An IOP and SISSA journal

JCAP09(2006)014

**Cosmological landscape from nothing:  
some like it hot**

A O Barvinsky<sup>1</sup> and A Yu Kamenshchik<sup>2,3</sup>

*Known inflation paradigm retracted the BB concept by replacing it with the initial vacuum state.*

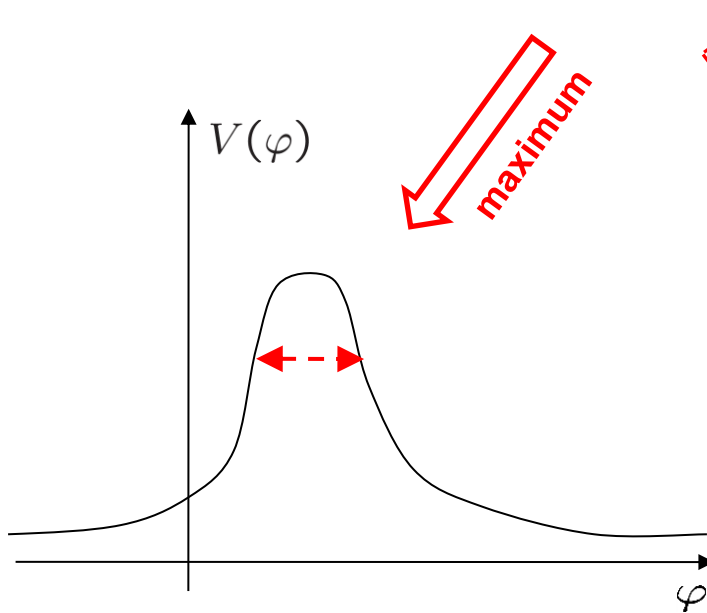
*“SOME LIKE IT HOT” (SLIH) scenario recovers a new incarnation of Hot Big Bang -- it incorporates effectively thermal state at the onset of the cosmological evolution.*

*So how does SLIH scenario matches with inflation?*

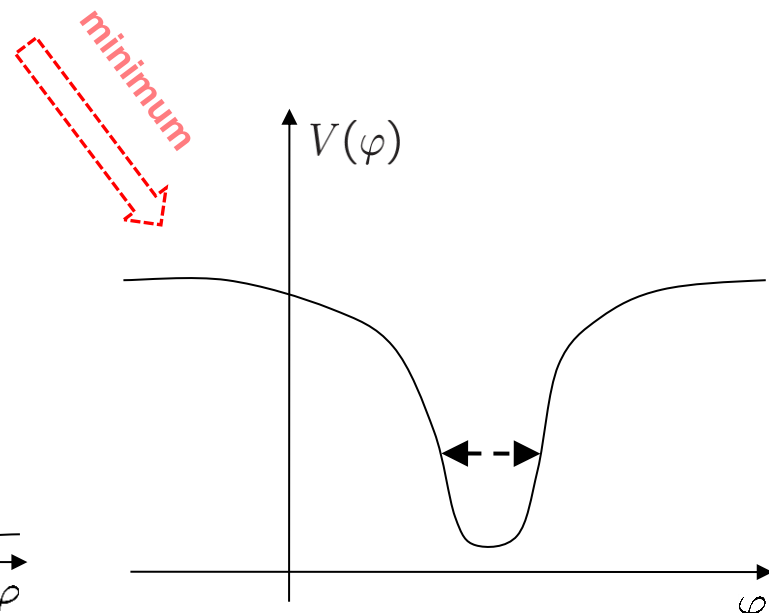


# Selection of inflaton potential *maxima* as initial conditions for inflation

**Critical feature:**  $\frac{d}{d\tau} a^3 \dot{\phi} = a^3 \frac{\partial V}{\partial \phi} \Rightarrow \oint d\tau a^3 \frac{\partial V}{\partial \phi} = 0 \Rightarrow \frac{\partial V}{\partial \phi} \approx 0$  **Potential extremum "inside" instanton**



**classically forbidden  
(underbarrier)  
oscillation**



**classically allowed (overbarrier)  
oscillation --- ruled out because of  
necessity of underbarrier oscillations**

## ***Predictions of microcanonical cosmological initial conditions:***

***CFT driven cosmology:*** suppression of no-boundary instantons; quasi-thermal stage preceding inflation and UV bounded range of its energy scale

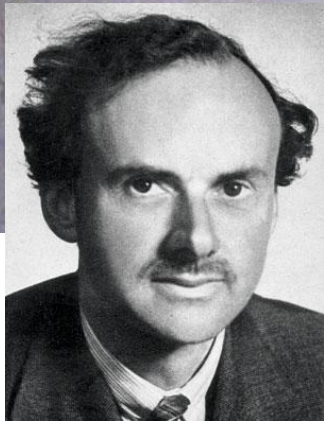
***New type of hill-top inflation,***  $\Lambda \rightarrow V(\phi)$  – selection of inflaton potential  $V(\phi)$  maxima

***Mechanism of hill-top potential:*** origin of non-minimal Higgs inflation and  $R^2$  gravity

***Conformal higher spin fields (CHS):*** solution of hierarchy problem -- origin of the Universe is the subplanckian phenomenon; justification of semiclassical expansion and  $1/N$ -expansion

***Thermally corrected CMB spectrum:*** observable signature of the primordial thermal epoch

Physical law should have mathematical beauty  
P A M Dirac  
3 Oct 1956



**THANK YOU!**