



Fundamentals of Particle Accelerators II

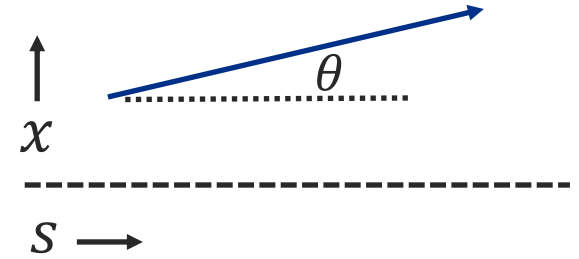
Dr. Karie Badgley
ASP 2024- Morocco



Overview

- Emittance
- Phase space
- Acceleration
 - Cyclotrons
 - RF cavities
- Phase stability

Last Time



$$x(s) = x_0 \cos(\sqrt{K}s) + \frac{x'_0}{\sqrt{K}} \sin(\sqrt{K}s)$$

$$x'(s) = -x_0 \sqrt{K} \sin(\sqrt{K}s) + x'_0 \cos(\sqrt{K}s)$$

$$M = \begin{pmatrix} \cos(\sqrt{K}s) & \frac{\sin(\sqrt{K}s)}{\sqrt{K}} \\ -\sqrt{K} \sin(\sqrt{K}s) & \cos(\sqrt{K}s) \end{pmatrix}$$

$$\begin{pmatrix} x \\ x' \end{pmatrix}_s = M \begin{pmatrix} x \\ x' \end{pmatrix}_{s_0}$$

$$x(s) = A \sqrt{\beta(s)} \cos(\psi(s) + \delta)$$

$$x(s) = A\sqrt{\beta(s)}\cos(\psi(s) + \delta)$$

$$\begin{pmatrix} x \\ x' \end{pmatrix}_{s_0+C} = \begin{pmatrix} \cos\Delta\psi + \alpha\sin\Delta\psi & \beta\sin\Delta\psi \\ -\gamma\sin\Delta\psi & \cos\Delta\psi - \alpha\sin\Delta\psi \end{pmatrix} \begin{pmatrix} x \\ x' \end{pmatrix}_{s_0}$$

α, β, γ are the Twiss parameters

Emittance

$$x(s) = A\sqrt{\beta(s)}\cos(\psi(s) + \delta)$$

Constants of integration

We can write the constant A as $\sqrt{\epsilon}$ and find this constant, emittance, is a useful value in describing the beam

$$\text{emittance} = \epsilon = \text{constant}$$

From the amplitude of the orbit equation, we can find the maximum and minimum particle displacement

$$\cos(\psi(s) + \delta) = \pm 1 \quad \text{Max displacement } x_{max} = \pm\sqrt{\epsilon\beta(s)}$$

This sets a limit on the minimum beam aperture a machine can have to prevent particle loss

-We haven't included other effects which influence the beam such as resonance, space-charge, ...

Emittance

We can also express emittance in terms of the Twiss parameters by eliminating the trigonometric terms in the betatron oscillation

$$x(s) = \sqrt{\epsilon\beta(s)}\cos(\psi(s) + \delta)$$

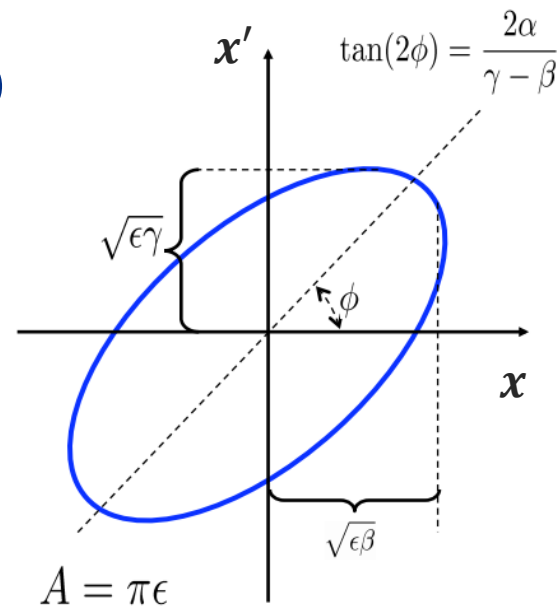
If we multiply x and x' by αx and $\beta x'$:

$$\alpha(s)x(s) + \beta(s)x'(s) = -\sqrt{\epsilon\beta(s)}\sin(\psi(s) + \delta)$$

Squaring and summing the above equations yields:

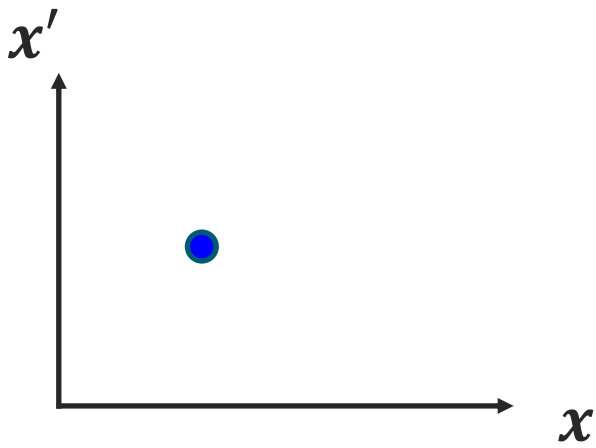
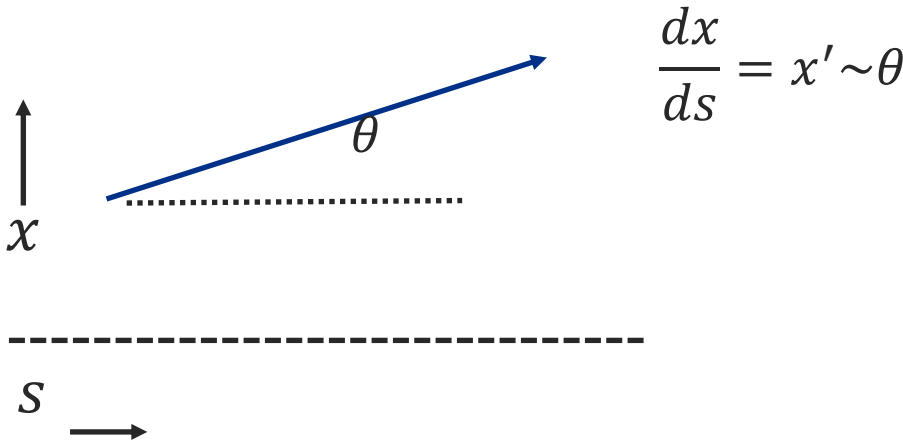
$$\epsilon = \gamma(s)x(s)^2 + 2\alpha(s)x(s)x'(s) + \beta(s)x'(s)^2$$

This Courant-Snyder invariant will be constant* for all locations through the lattice. Represents the area in phase space, measure of accelerator performance

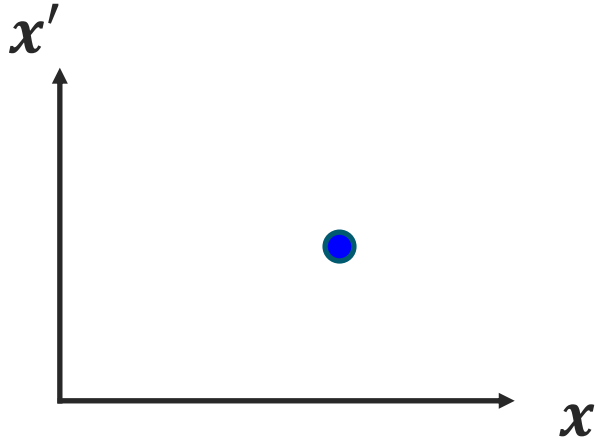


Shape and orientation of the ellipse will change

Phase Space

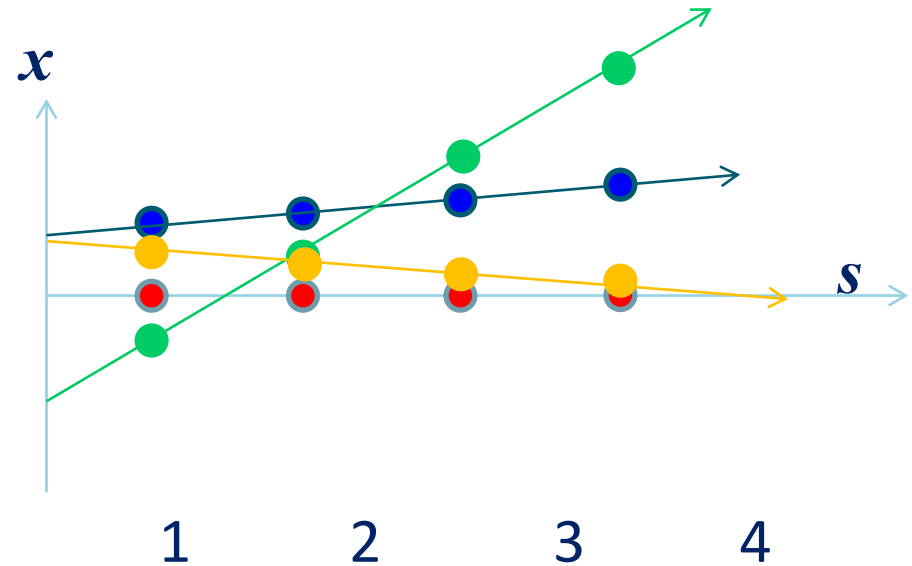
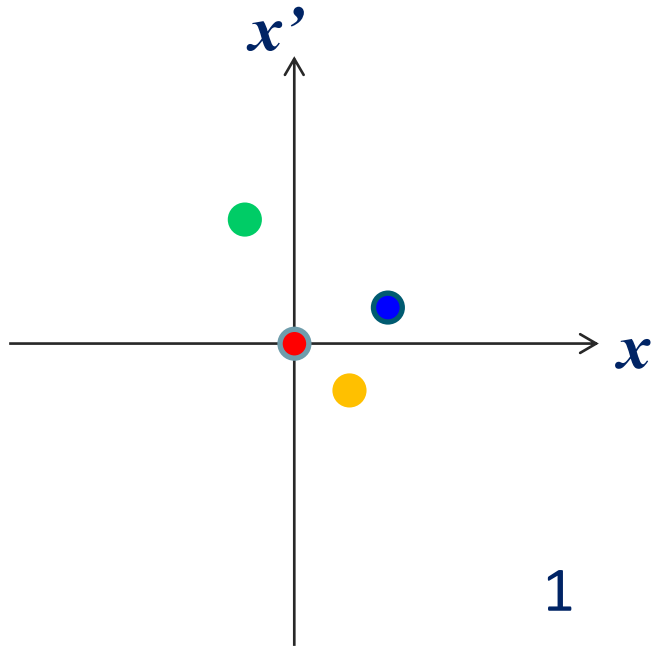


Initial $s(0)$



Final s

Single Particle to Beam Ellipse

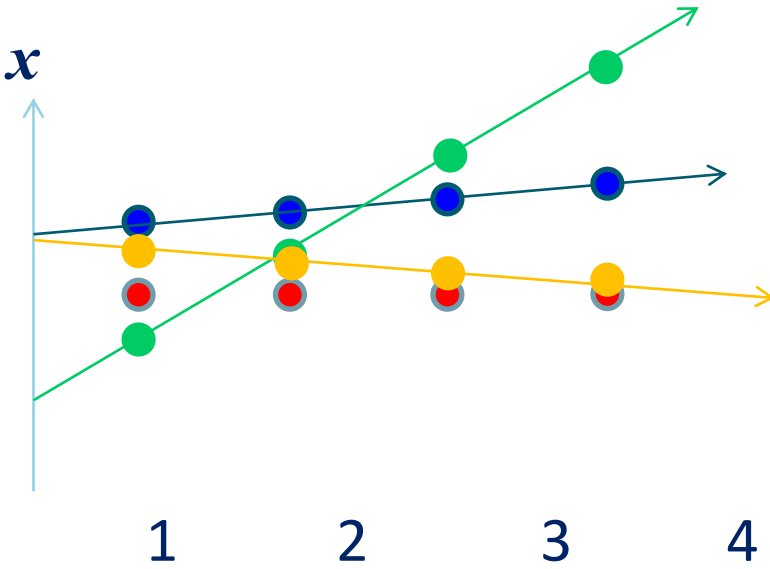
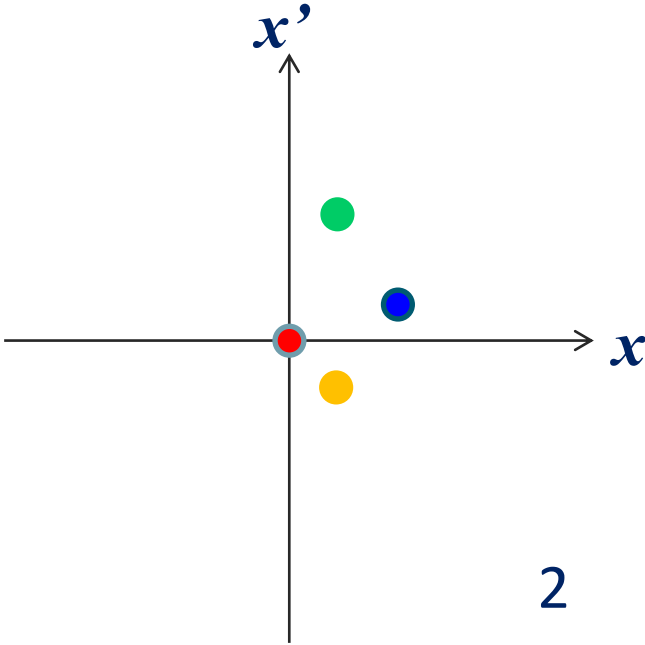


 Reference particle
Other particles

(No magnetic field from 1 to 4)

Slide by C. Biscari

Single Particle to Beam Ellipse

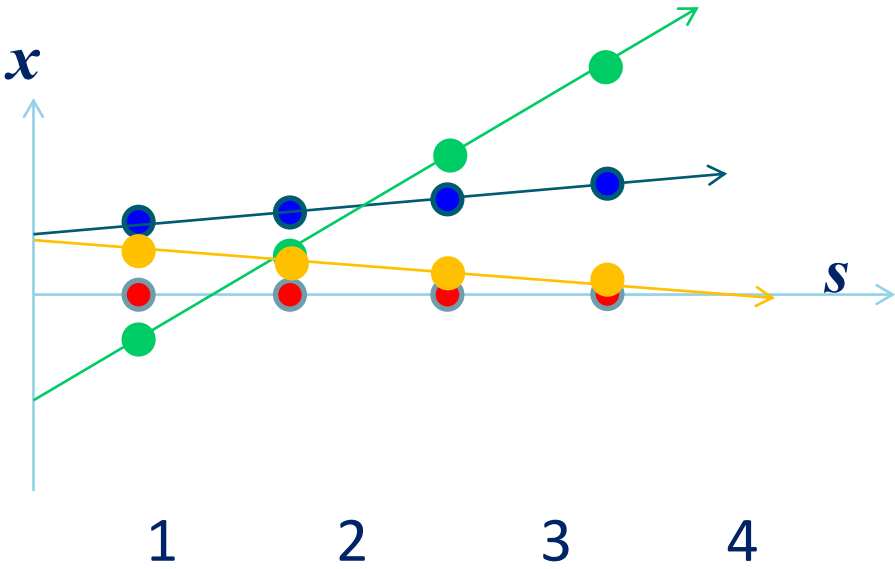
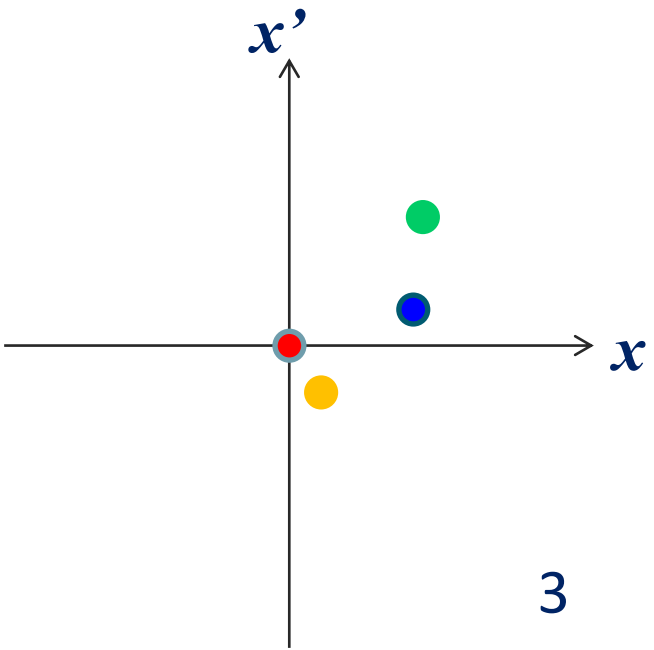


 Reference particle
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Single Particle to Beam Ellipse

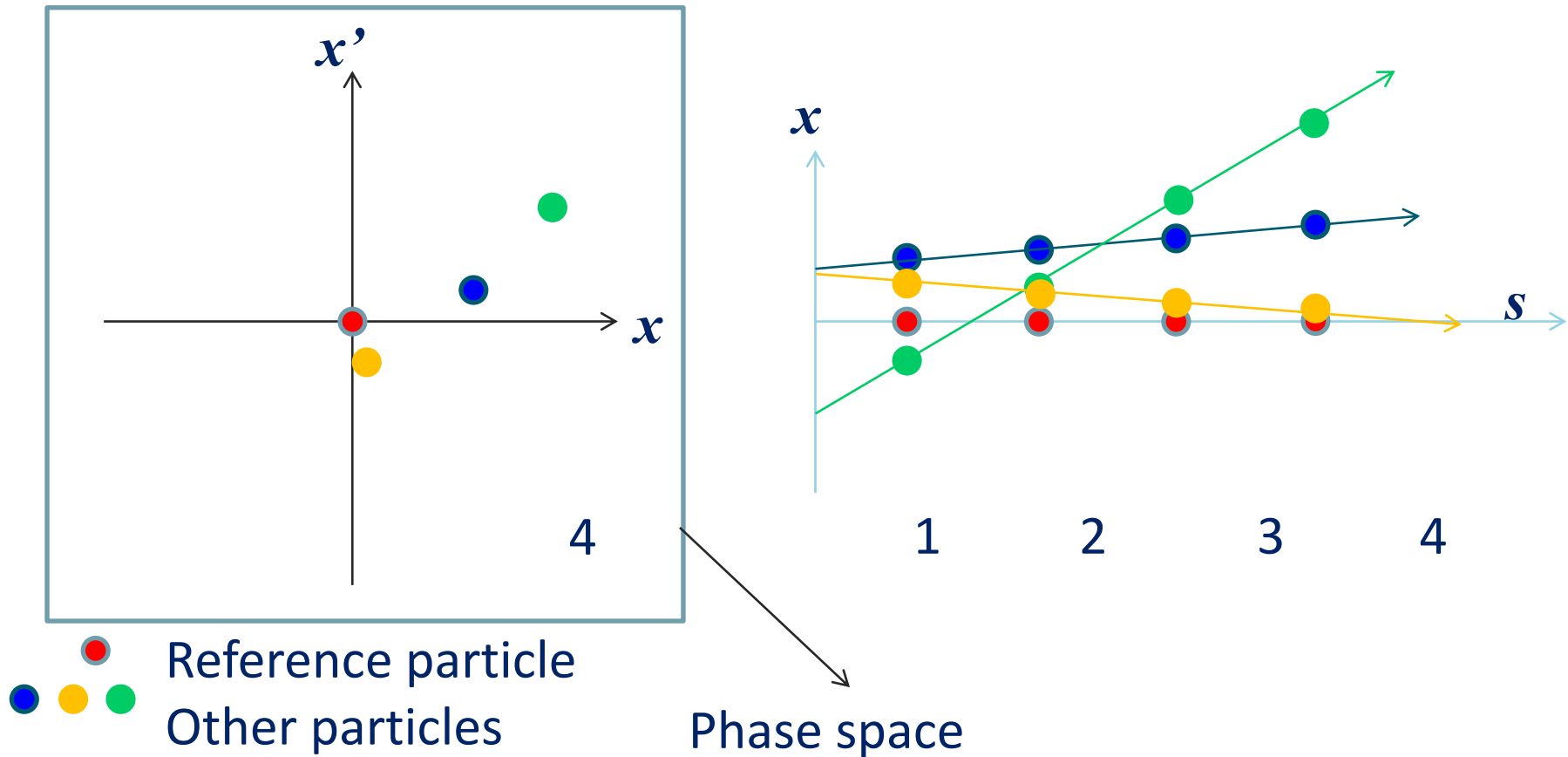



 Reference particle
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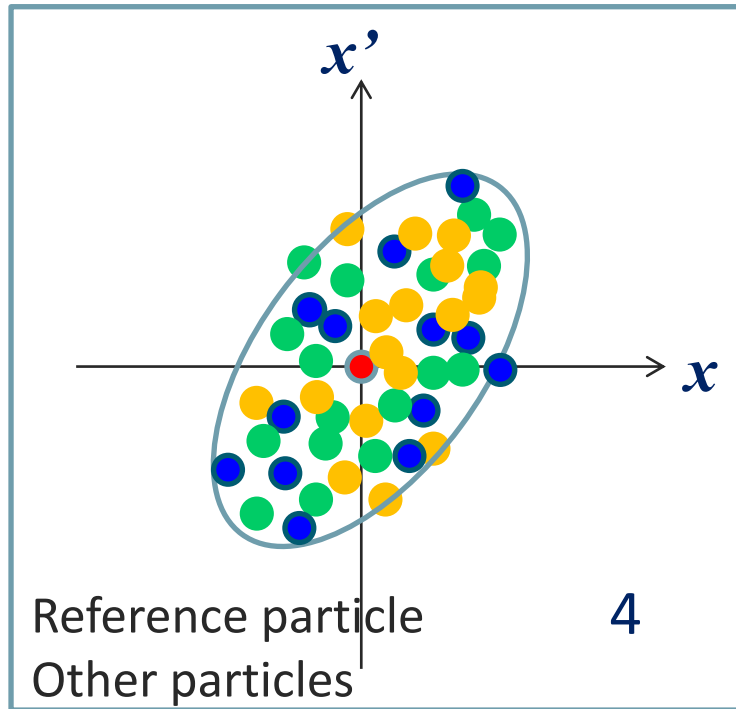
Single Particle to Beam Ellipse



(No magnetic field from 1 to 4)

Slide by C. Biscari

Single Particle to Beam Ellipse



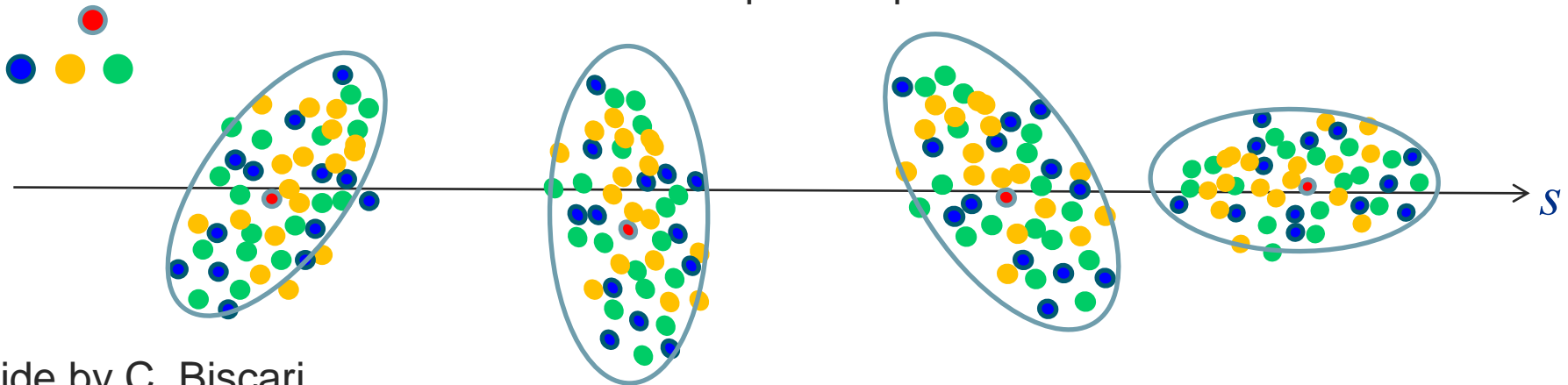
Emittance \sim Area of phase space

Beam will have emittance in each plane

- Horizontal (x, x')
- Vertical (y, y')
- Longitudinal (Time-Energy)

For unaccelerated particles, the area of the ellipse will remain constant, but the ellipse orientation and shape will change along s

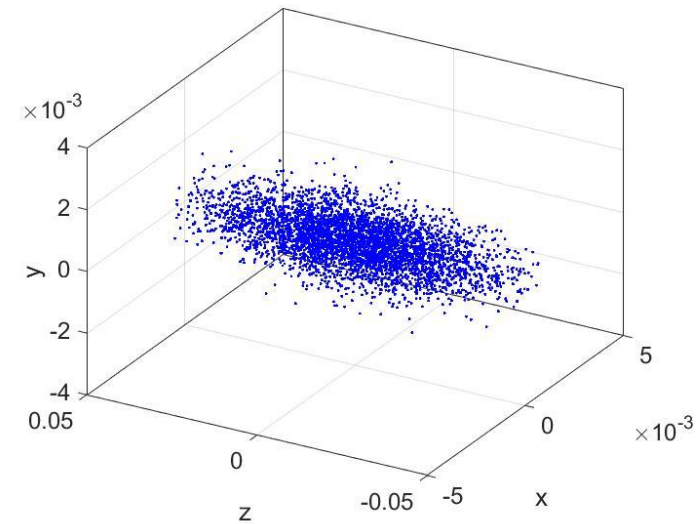
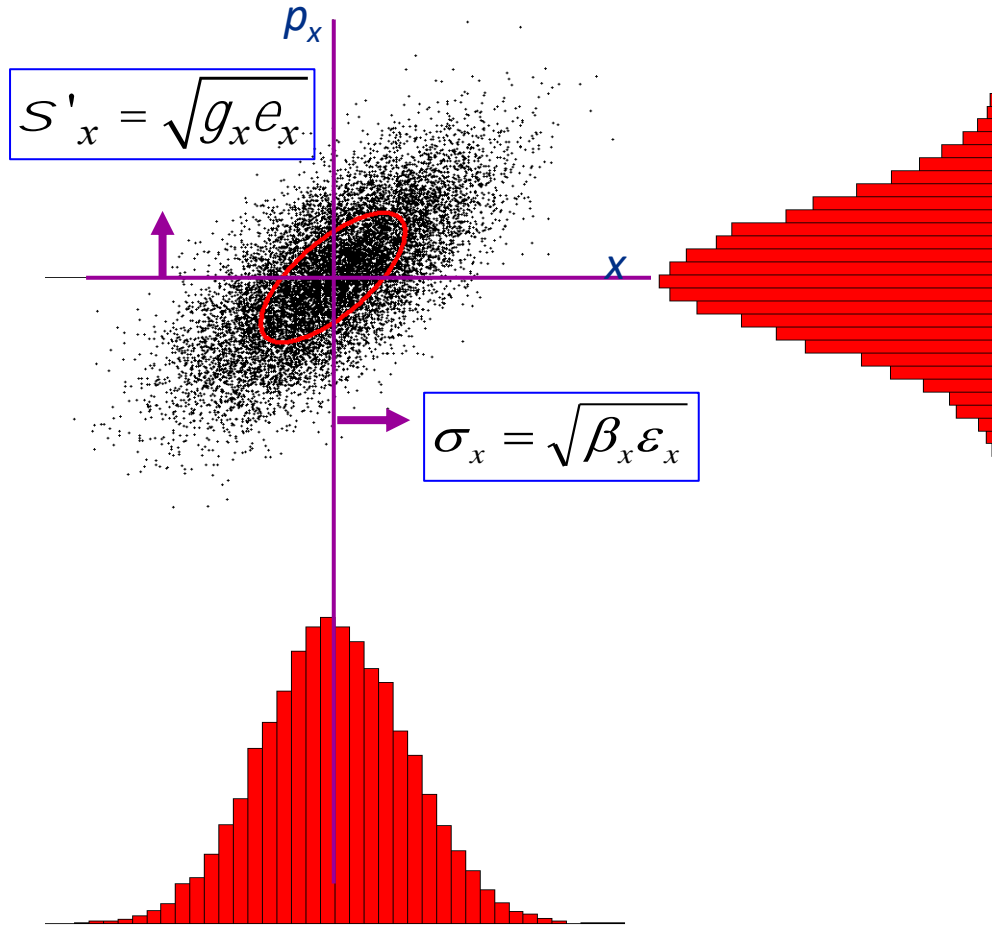
Particles will not be evenly distributed in phase space



Slide by C. Biscari

Beam Size

If you know the emittance and the Twiss parameters at a point in the accelerator, the beam dimensions σ_x and σ'_x can be obtained



Gaussian

Image from C. Biscari

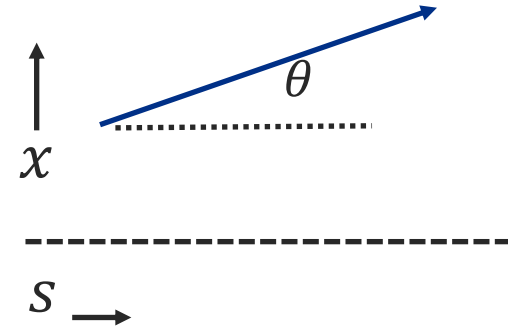
Adiabatic Damping

According to **Liouville's theorem**, the phase space area is constant if there are only conservative forces acting on the beam

- Magnetic fields of dipoles and quadrupoles are conservative

When there is acceleration, the emittance decreases proportional to increase in momentum

$$x' = \frac{dx}{ds} = \frac{dp_x}{dp}$$



We can also define a **normalized emittance**

$$\varepsilon_n = \varepsilon \beta \gamma \quad \beta \gamma \text{ are relativistic, not Twiss!}$$

With acceleration, the area in the $x - x'$ plane is no longer constant, but in the $x - p_x$ plane will remain constant

RMS Emittance

If the beam doesn't have an elliptical or gaussian distribution, a more general form of the emittance can be defined

$$\epsilon_{RMS} = \sqrt{\langle x^2 \rangle \langle x'^2 \rangle - \langle x \cdot x' \rangle^2}$$

Here $\langle \rangle$ is the variance

$$\langle x \rangle = \frac{1}{n} \sum_{i=1}^n (x_i - \mu)^2$$

↑
average

Luminosity

An important performance measure of a collider is the luminosity, number of particles passing through a cross section per second

For colliding beams[cm⁻²s⁻¹]:

$$\frac{dN_{events}}{dt} = L\sigma$$

events per second

production cross section

For head on collisions of a bunch of N particles:

$$L = \frac{N^2 n f_{rev}}{4\pi\sigma_x\sigma_y}$$

number of bunches

particles per bunch

revolution frequency

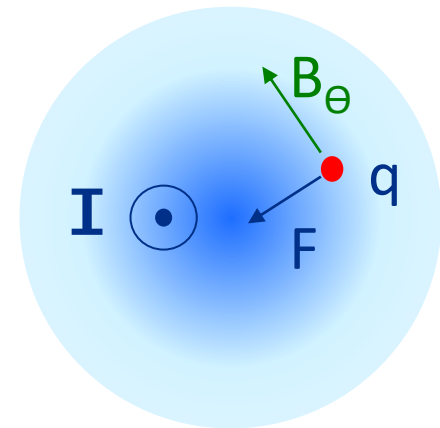
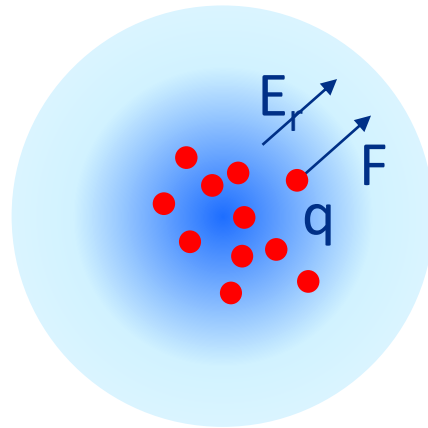
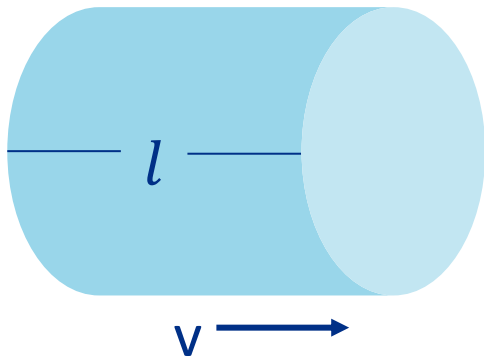
beam size

For round beams:

$$L = \frac{N^2 n f_{rev}}{4\pi\epsilon\beta}$$

emittance and betatron function

Space Charge



$$\vec{F} = q(\vec{E} + \vec{v} \times \vec{B})$$



$$F_r = q(E_r - vB_\theta)$$

Gaussian distribution:

$$n(r) = \frac{N}{2\pi l \sigma^2} e^{-\frac{r^2}{2\sigma^2}}$$

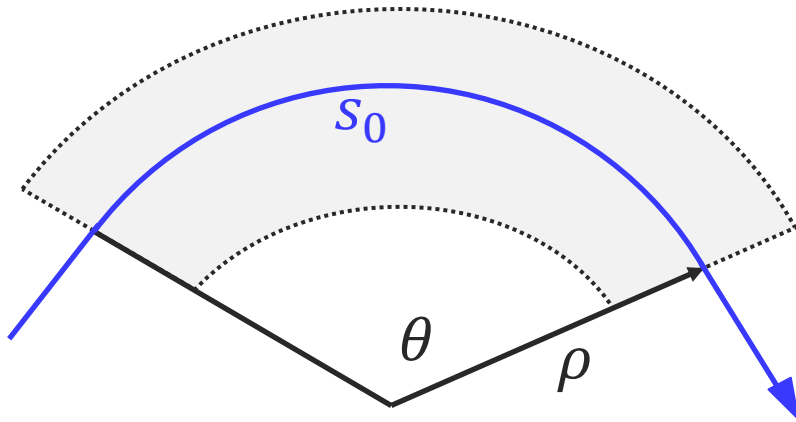
$$F_r = \frac{Nq^2}{2\pi\epsilon_0 l} (1 - \beta^2) \frac{1 - e^{-\frac{r^2}{2\sigma^2}}}{r}$$

Larger issue for lower energy

Longitudinal Motion

$$\vec{F} = q(\vec{E} + \vec{v} \times \vec{B})$$

Dipole Bend



$$s_0 = \rho\theta$$

$$qB = \frac{p}{\rho}$$

$$\theta = \frac{s_0}{\rho} = \frac{qBs_0}{p}$$

- Bend angle depends on momentum
- Similar to optics where index of refraction depends on frequency

Off-momentum particle

- If particle is off from design momentum (which it will be), it will have a slightly different orbit
- Radius off by x , path length:

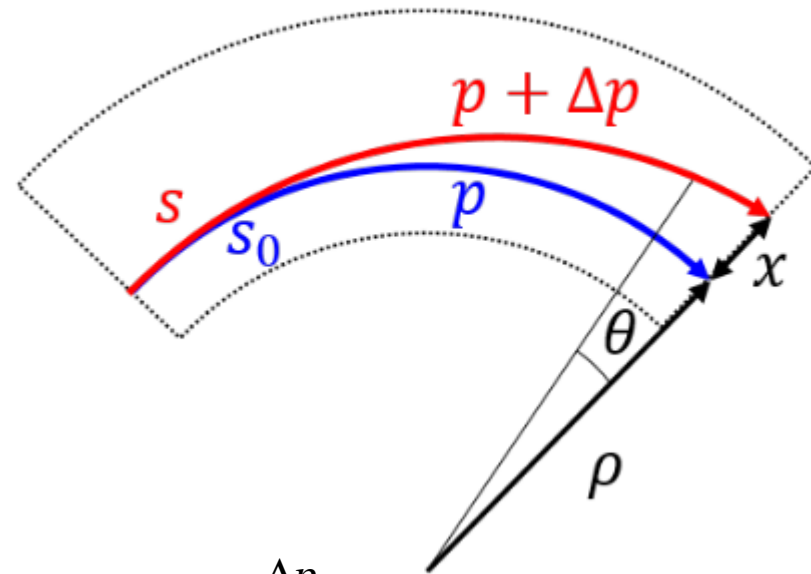
$$ds_0 = \rho d\theta \quad \rightarrow \quad ds_0 = (\rho + x)d\theta$$

- Relative difference in path length:

$$\frac{dl}{ds_0} = \frac{ds - ds_0}{ds_0} = \frac{x}{\rho} = \frac{D_x}{\rho} \frac{dp}{p}$$

- D_x is dispersion

– Change in closed orbit (position) as function of momentum



$$\Delta x(s) = D_x(s) \frac{\Delta p}{p_0}$$

Rob Williamson

Momentum Compaction

- Integrate to get total path length change

$$\Delta C = \oint dl = \oint \frac{x}{\rho(s_0)} ds_0 = \oint \frac{D_x(s_0)}{\rho(s_0)} \frac{dp}{p} ds_0$$

- Momentum compaction, α_c , is the change in closed orbit length as a function of momentum

$$\alpha_c \equiv \frac{dL/L}{dp/p} = \frac{1}{L} \oint \frac{D_x(s_0)}{\rho(s_0)} ds_0 \approx \frac{1}{C} \sum_i \langle D_x \rangle_i \theta_i$$

$$\frac{\Delta L}{L} = \alpha_c \frac{\Delta p}{p}$$

Updating equations of motion

- Our equations of motion now have an extra term:

$$x'' + K(s)x = 0 \quad \rightarrow \quad x'' + K(s)x = \frac{1}{\rho} \frac{\Delta p}{p_0} = \frac{\delta}{\rho}$$

- We can use a sum of solutions to the previous homogenous equations with an additional term:

$$x = x_{Hom} + D(s)\delta$$

$$\begin{aligned} x(s) &= x_0 C(s) + x'_0 S(s) + \delta D(s) \\ x'(s) &= x_0 C'(s) + x'_0 S'(s) + \delta D'(s) \end{aligned}$$

Previous solutions had this form

New term

Matrix Form

- We can add this to our matrix

Previous transfer matrix

$$\begin{pmatrix} x(s) \\ x'(s) \\ \delta \end{pmatrix} = \begin{pmatrix} m_{11} & m_{12} \\ m_{21} & m_{22} \\ 0 & 0 \end{pmatrix} \begin{pmatrix} d(s) \\ d'(s) \\ 1 \end{pmatrix} \begin{pmatrix} x_0 \\ x'_0 \\ \delta \end{pmatrix}$$

$$\frac{\Delta p}{p_0} = \delta$$

Velocity and Kinetic Energy

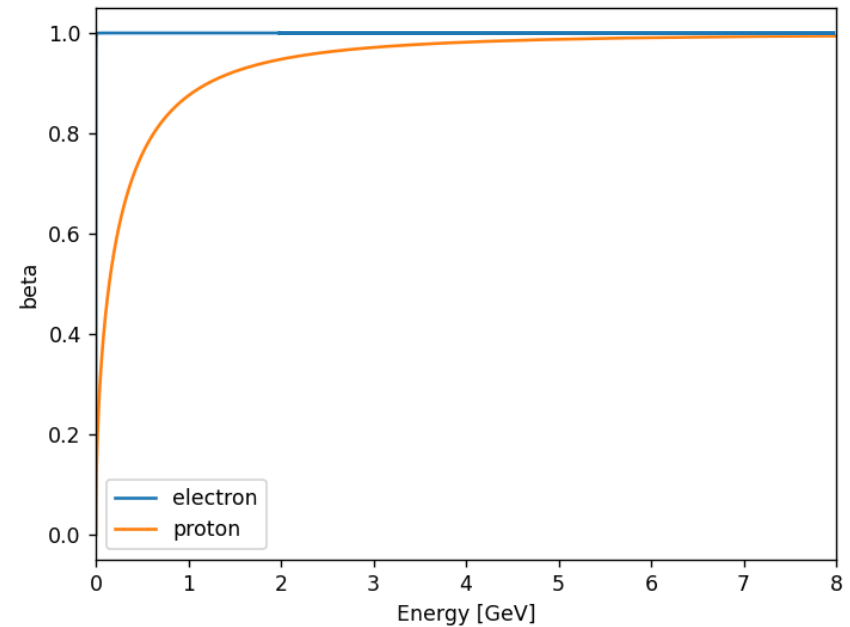
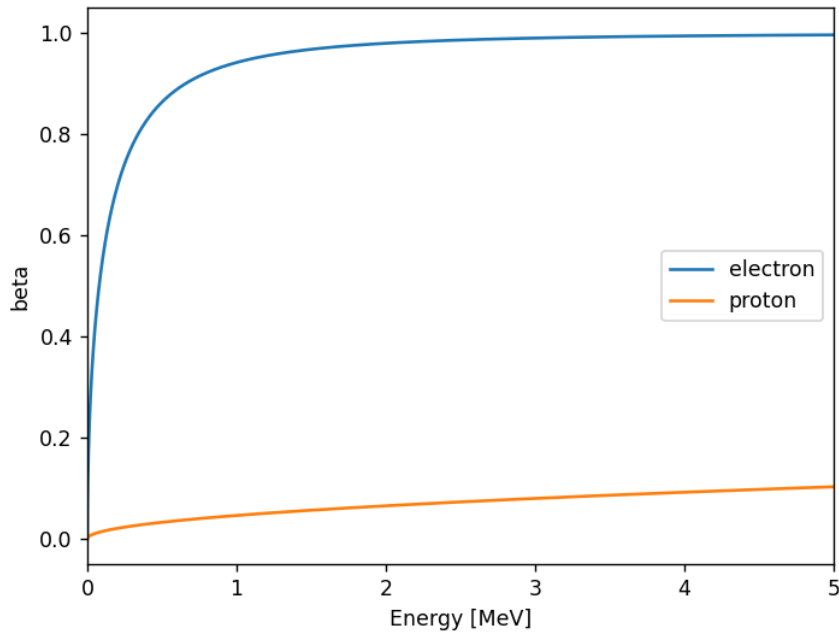
$$\beta = \frac{v}{c}$$

$$U = \gamma mc^2$$

$$\gamma = \frac{1}{\sqrt{1 - \beta^2}}$$

$$K = U - mc^2$$

Particle	Rest mass, eV/c ²
Electron, e ⁻	0.511 × 10 ⁶
Proton, e ⁺	938 × 10 ⁶



Electrons are relativistic at few MeV, protons at GeV

Electrostatic Fields-DC

- If we set $B=0$, we can only get static electric fields
 - Limited energy gain $\sim 60 \text{ MeV}/q$

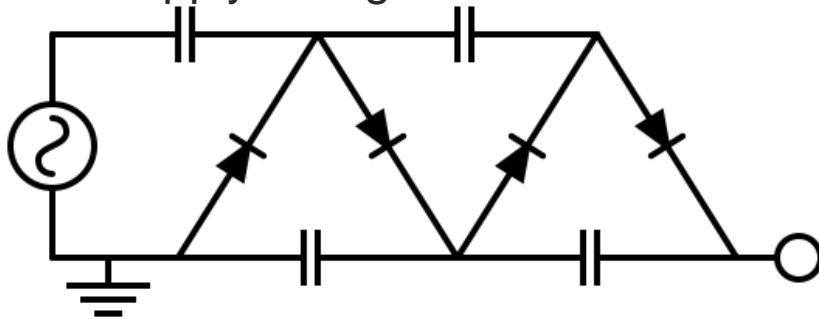
$$\vec{F} = q(\vec{E} + \vec{v} \times \vec{B})$$

0

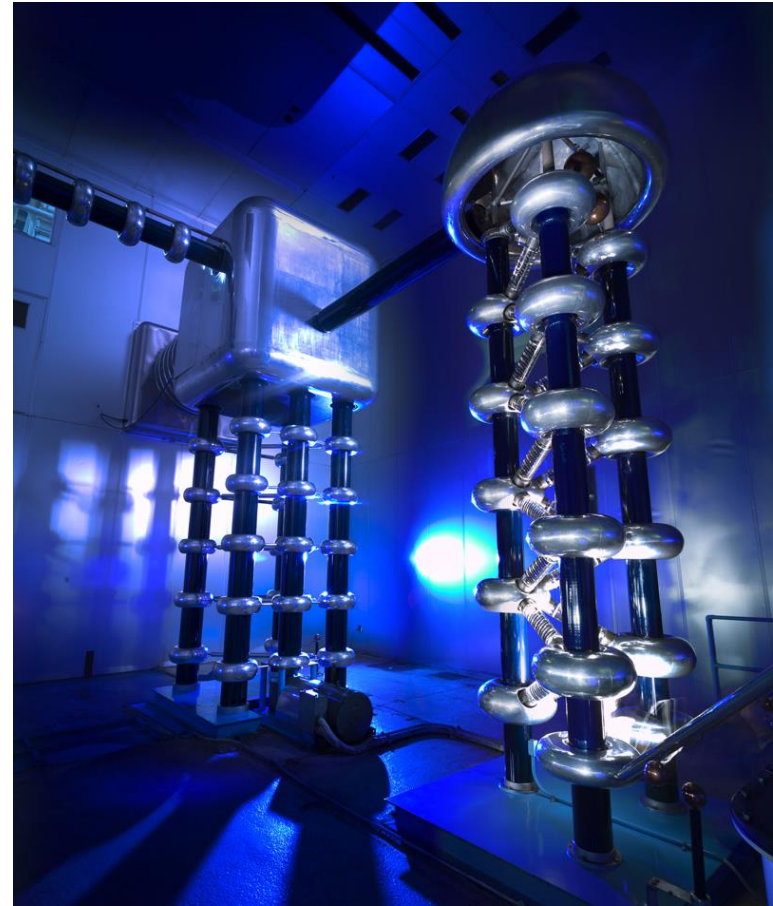
- 1929 Robert Van de Graaff
 - Up to $\sim 5 \text{ MV}$



- 1932 Cockroft-Walton
 - For N number of stages, able to get $N \times \text{supply voltage}$



A two-stage Cockroft-Walton multiplier
Wikipedia.org

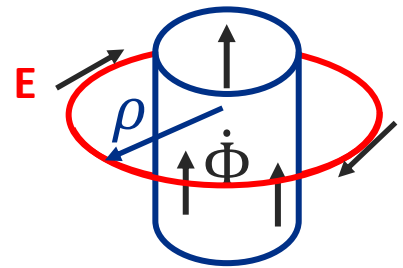


The Need for AC

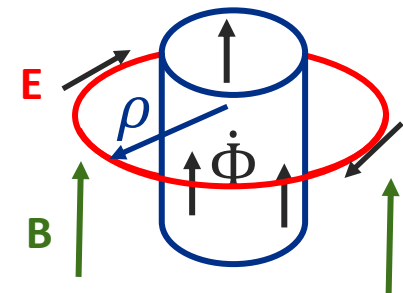
From Faraday's law, a changing magnetic flux will produce a tangentially directed electric field

$$\oint \mathbf{E} \cdot d\mathbf{l} = - \iint \frac{\partial \mathbf{B}}{\partial t} \cdot d\mathbf{A}$$

If there were a cylindrical region of changing magnetic flux, it would produce an E field around the cylinder

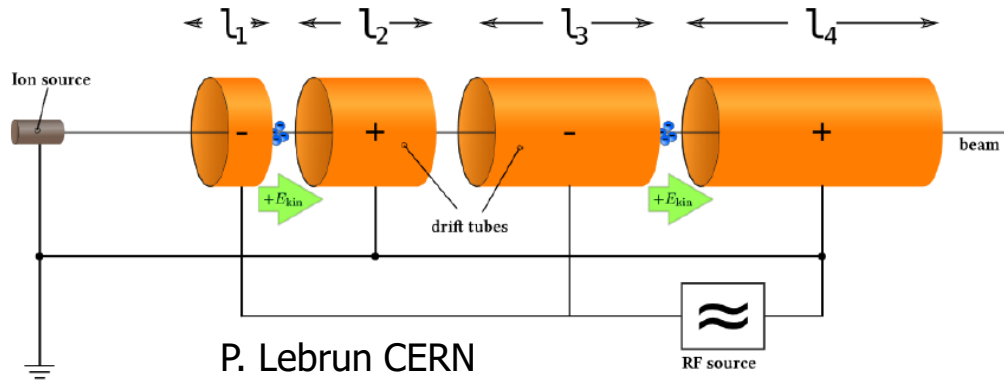


If now there is a B field perpendicular to this E field, we could have a particle travel around the circle at radius ρ



This is the idea behind the Betatron, the first circular accelerator to operate at a constant orbit radius

Alvarez Linac - Drift Tube



Acceleration occurs in the gaps between the drift tubes, length of tubes grows with velocity

Synchronism condition:

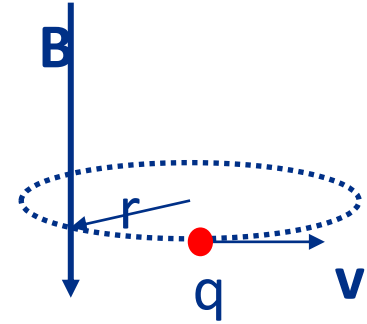
$$L = \frac{v}{2f_{RF}}$$

First practical linac (200 MHz, 32 MeV) built by L. Alvarez at Berkeley in 1946

Cyclotron

$$F = q(v \times B) = \frac{Mv^2}{r}$$

Another method is to accelerate particles in a circular path between two D shaped pole pieces and apply an alternating voltage across the gap



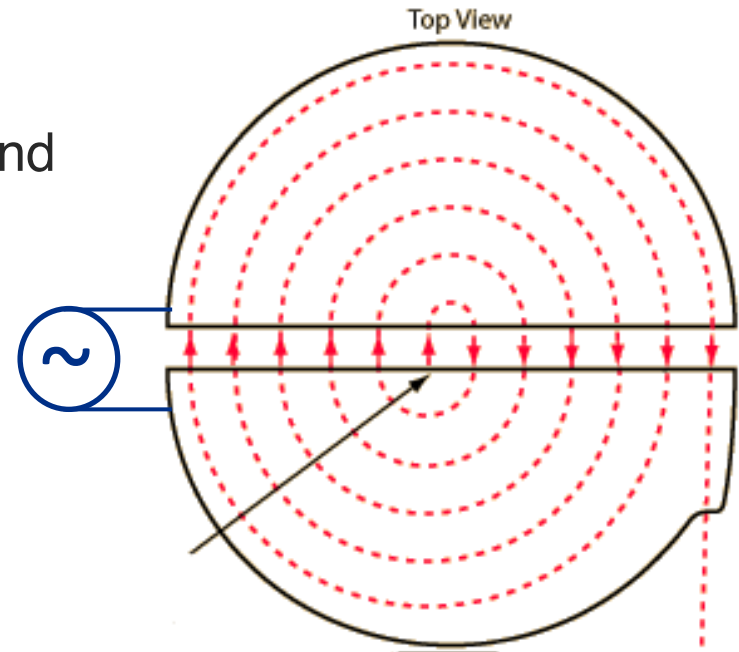
Cyclotron frequency:
$$\omega = \frac{qB}{M}$$

Particles must be isochronous “same time” and arrive at the gap at the same time to be accelerated-constant ω

Now add relativity:

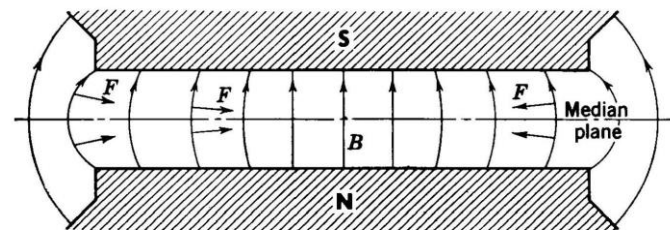
$$\beta = \frac{v}{c} \quad \gamma = \frac{1}{\sqrt{1 - \beta^2}} \quad M = \gamma M_0$$

B must increase as γB_0 to maintain isochronicity

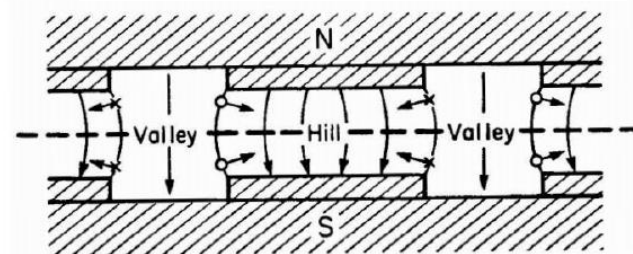
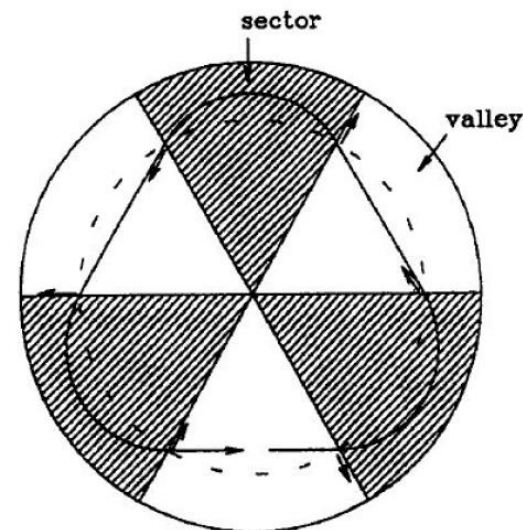


Cyclotrons

- If we radially increase B to maintain isochronicity, we destroy the weak focusing, limited for protons to about ~ 12 MeV

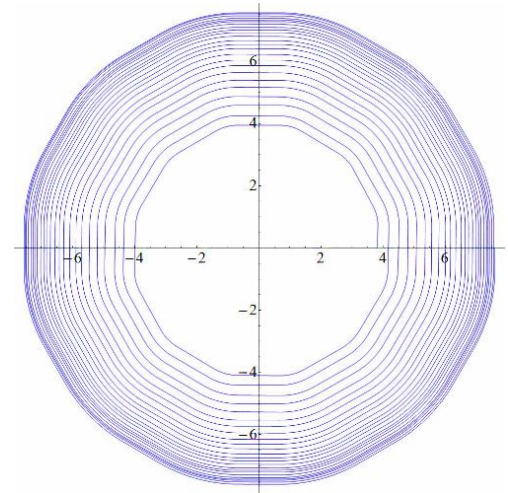
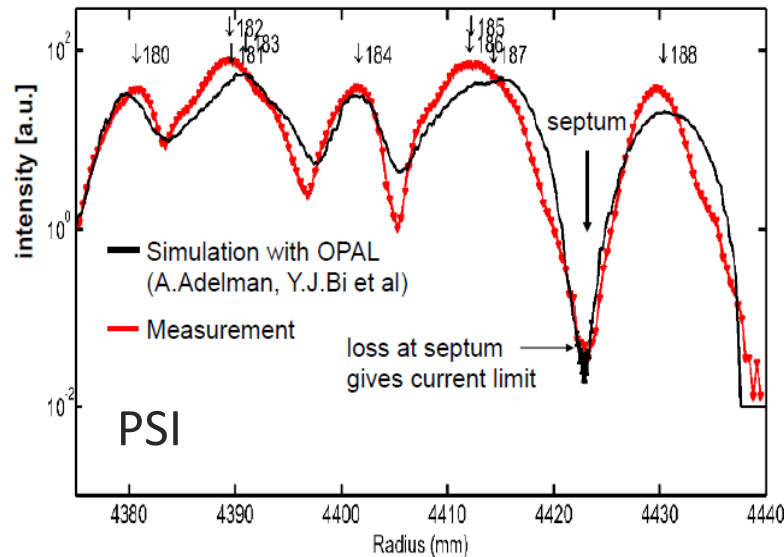


- L.H. Thomas proposed a separated sector cyclotron which allowed the radial field to increase, and gained focusing between the sectors

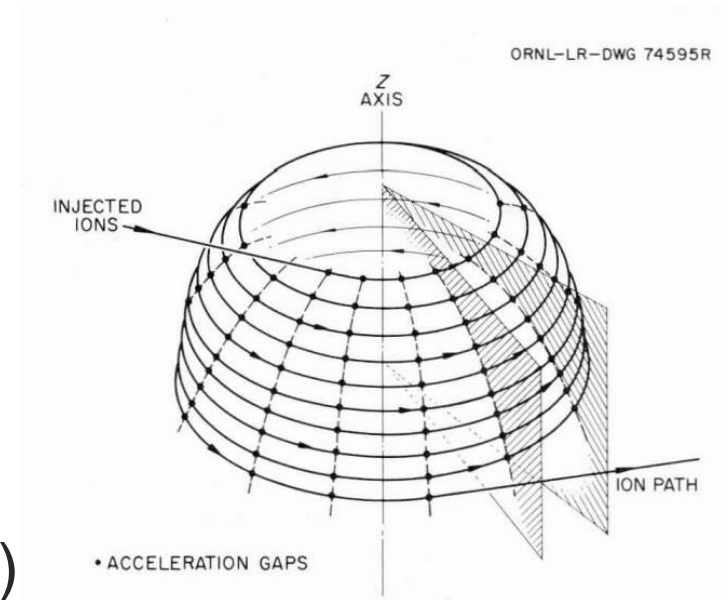


Cyclotrons

- Another issue arises if you don't have enough energy gain per turn, the turns can overlap

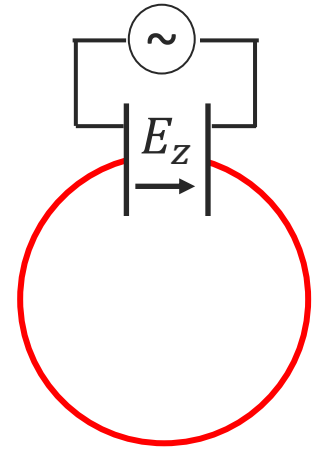


- To overcome this, in 1963 F.M. Russell proposed a “beehive” separated orbit cyclotron (never built)

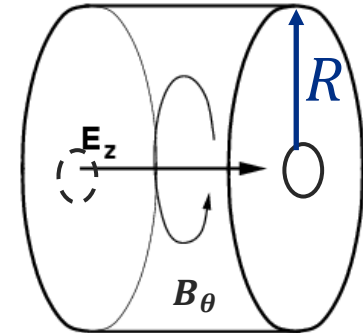


Resonant Cavity-Pillbox

Another setup would be to have an oscillating field in a region (or multiple regions) only when the particles are passing through



We also only want to produce an electric field in E_z , the direction of particle motion, and a magnetic field B_θ



Maxwells' equations reduce to:

$$\frac{1}{r} \frac{\partial}{\partial r} (r B_\theta) = \frac{1}{c^2} \frac{\partial E_z}{\partial t} \qquad \frac{\partial E_z}{\partial r} = \frac{\partial B_\theta}{\partial t}$$

Take the derivative w.r.t r
+

plug in to eliminate B_θ

$$\frac{\partial^2 E_z}{\partial r^2} + \frac{1}{r} \frac{\partial E_z}{\partial r} = \frac{1}{c^2} \frac{\partial^2 E_z}{\partial t^2}$$

Resonant Cavity

$$\frac{\partial^2 E_z}{\partial r^2} + \frac{1}{r} \frac{\partial E_z}{\partial r} = \frac{1}{c^2} \frac{\partial^2 E_z}{\partial t^2}$$

A solution with frequency ω will have the form:

$$E_z = E(r) e^{i\omega t} \quad E'' + \frac{E'}{r} + \left(\frac{\omega}{c}\right)^2 E = 0$$

This has the form of Bessel's equation of zero order, with known solutions:

$$E(r) = E_0 J_0 \left(\frac{\omega}{c} r \right)$$

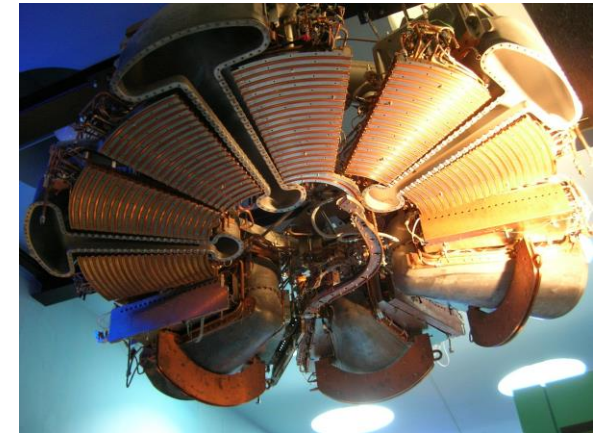
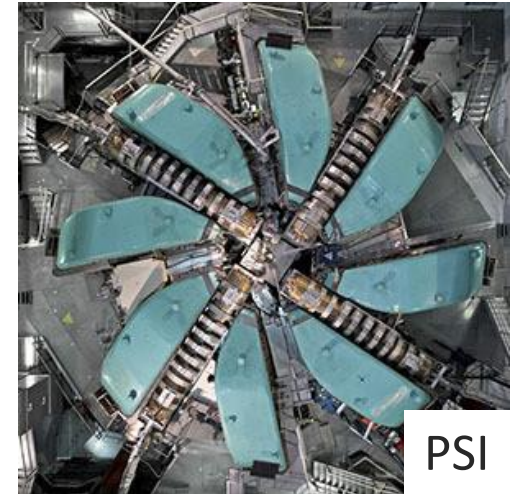
The surface of the pillbox is conducting, so at $r=R$, $E=0$ and the lowest frequency mode will be:

$$\frac{2\pi f}{c} R = 2.405$$

For a reasonable $R \sim 30$ cm, the frequency will be in the 400 MHz range- **RF** range

Cyclotrons

- D. W. Kerst proposed increasing the focusing by increasing the angle the particles make with the sectors
 - TRIUMF, Texas A&M, Michigan State, PSI
 - Problem is it creates an odd shape gap to put an accelerating structure
- TRITRON, was able to fully separate orbits through a combination of edge focusing, individual gradient windings along each sector
 - RF cavities were superconducting



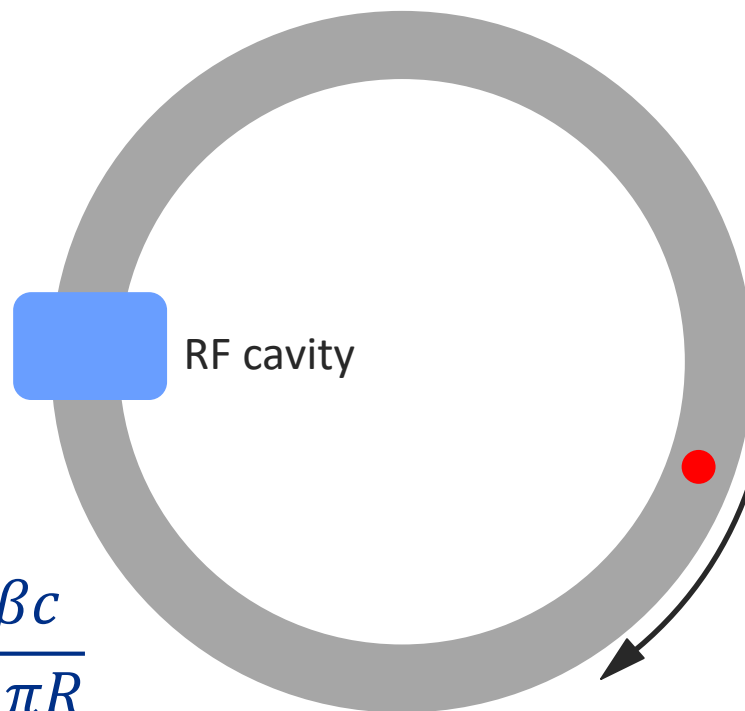
Synchrotron

“Particles should be constrained to move in a circle of constant radius thus enabling the use of an annular ring of magnetic field...which would be varied in such a way that the radius of curvature remains constant as the particle gains energy through successive accelerations by an alternating electric field applied between coaxial hollow electrodes.” - [Mark Oliphant](#)

- B increases synchronously with rising E
- Cavity has field oscillating with $f_{RF} = hf_{rev}$
- Synchronous particle
- Energy gain per turn:

$$\Delta E = \sim qV \sin \phi_s$$

$$f_{rev} = \frac{\beta c}{2\pi R}$$



Talk on Light Sources and Applications Monday 9:30

RF Cavity

There is a limit to the effective longitudinal length of the cavity

- If too long, the particle would be in the cavity when the field flipped and would decelerate the particle

The change in energy of a particle crossing a gap is given by:

$$\Delta E = \int_{t_0}^{t_0+T} \frac{qV_0}{g} \cos(\omega t) v dt$$

max voltage

particle's initial velocity

RF frequency, not revolution ω

gap length

The transit time T through the cavity must satisfy:

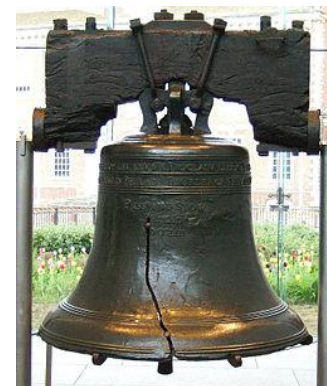
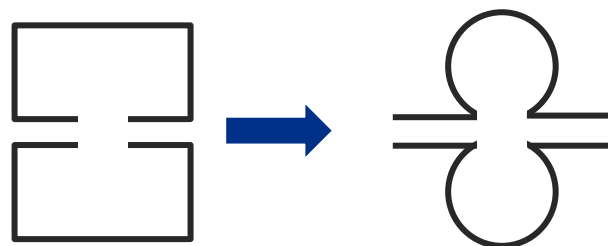
$$g = \int_{t_0}^{t_0+T} v dt$$

Quality Factor

- The quality factor, Q , is a figure of merit for a cavity
 - The higher the Q the better
 - High quality EM resonators: Typical $Q_0 > 10^{10}$
- Q is a ratio of the total stored energy to power lost

$$Q = \frac{\omega U}{P}$$

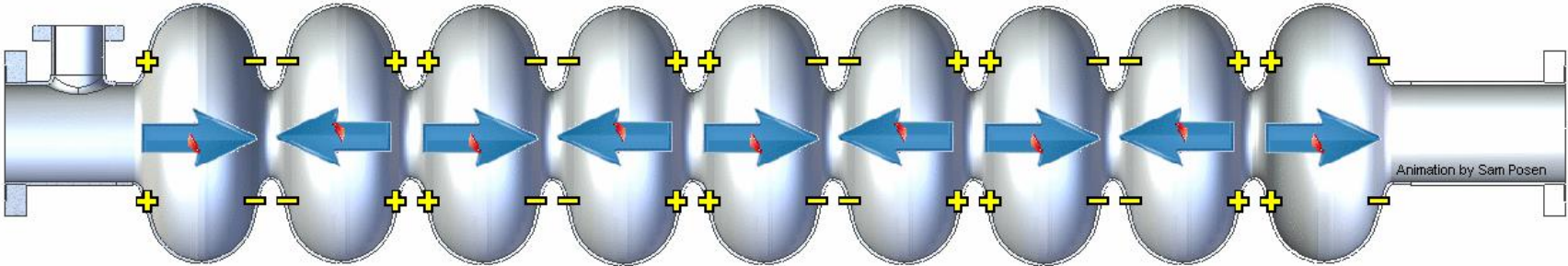
- Q is a measure of the power loss in the walls of the cavity due to current flowing through resistive walls
- The power loss can be reduced by
 - Shaping the pillbox surface
 - Making the walls out of superconducting material



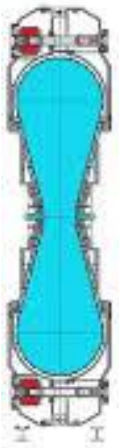
Ring for ~1 year

A single cavity is good, but multiple cavities much better!

Often multicell cavities are grouped together and run from a single source

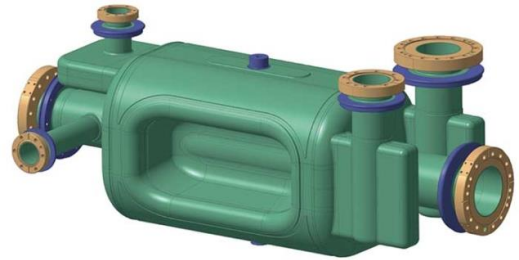
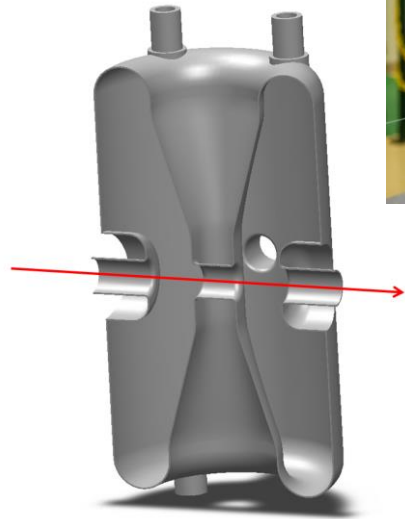


Other Cavity Shapes

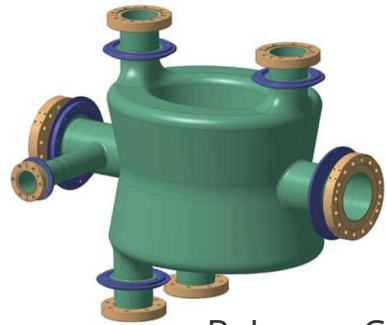


M. Seidel PSI

Photo: Ryan Postel, Fermilab



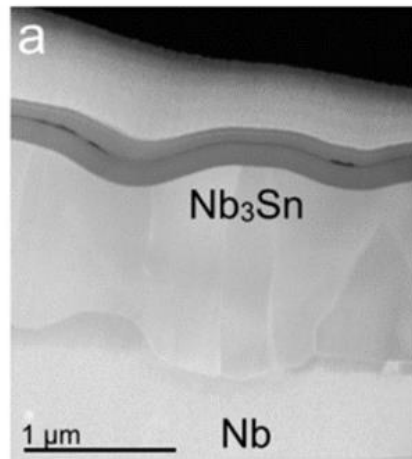
J. Holzbauer Fermilab



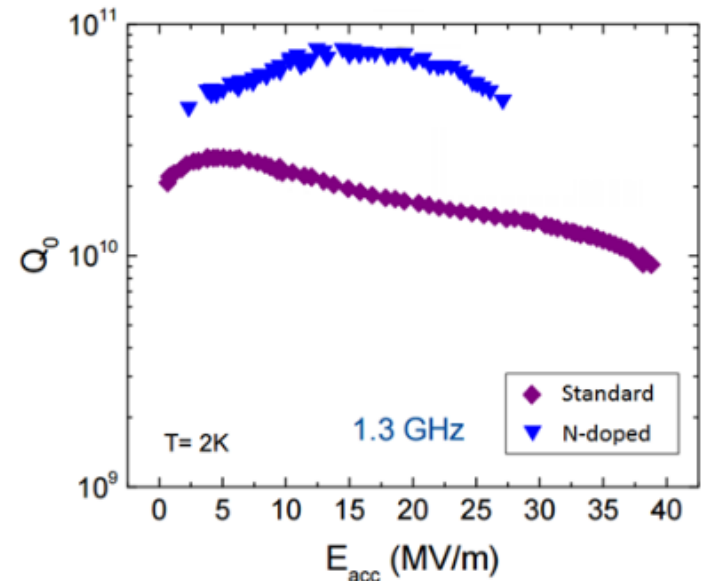
R. Leuxe CERN

Superconducting Cavities

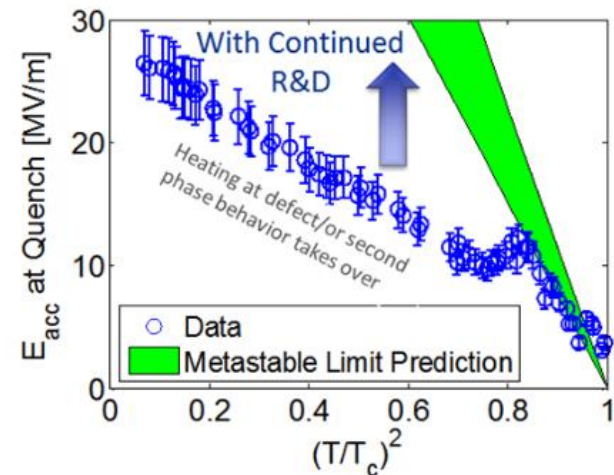
- Fermi has a large superconducting cavity research group
- Working to improve Q through
 - doping (Nitrogen)
 - efficient Meissner expulsion
 - coating cavities with superconducting Nb₃-Sn



TEM cross sectional image of a Nb₃Sn layer on a niobium substrate



<https://td.fnal.gov/srf-rd/>

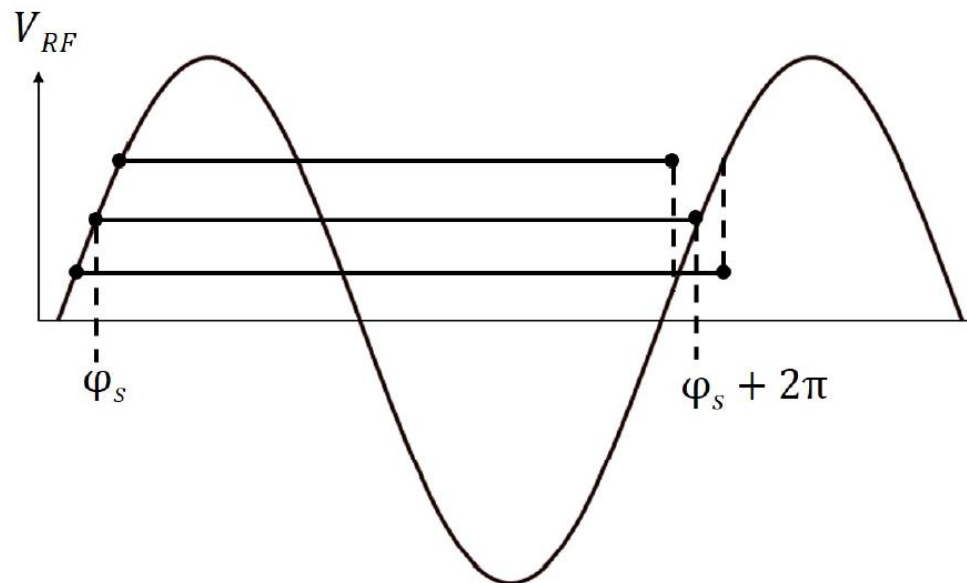


Voltage in RF Cavity

- A cyclotron or synchrotron is designed so the reference particle hits the RF wave at a desired phase φ_s

$$V_{RF}(t) = V \sin(\omega_{RF}t + \varphi_s)$$

- A synchronous particle would return to the same location on the voltage curve after one period (revolution)



Frequency change with changing momentum

- Revolution frequency change:

$$f_{rev} = \frac{\beta c}{2\pi R}$$

$$\frac{df}{f} = \frac{d\beta}{\beta} - \frac{dR}{R}$$

Change in velocity \uparrow

Change in orbit length \swarrow

- In terms of momentum compaction

$$\frac{\Delta L}{L} = \alpha_c \frac{\Delta p}{p}$$

$$\frac{df}{f} = \frac{d\beta}{\beta} - \alpha_c \frac{\Delta p}{p} = \left(\frac{1}{\gamma^2} - \alpha_c \right) \frac{\Delta p}{p}$$

Transition Energy

- Relative change in revolution frequency:

$$\frac{df}{f} = \left(\frac{1}{\gamma^2} - \alpha_c \right) \frac{\Delta p}{p} = \eta \frac{\Delta p}{p}$$

- η is the slip factor $\eta = \frac{1}{\gamma^2} - \alpha_c$

- Transition energy when $\eta = 0$ $\gamma_{tr} = \frac{1}{\sqrt{\alpha_c}}$

- Below transition, frequency is dominated by $\frac{d\beta}{\beta}$ term

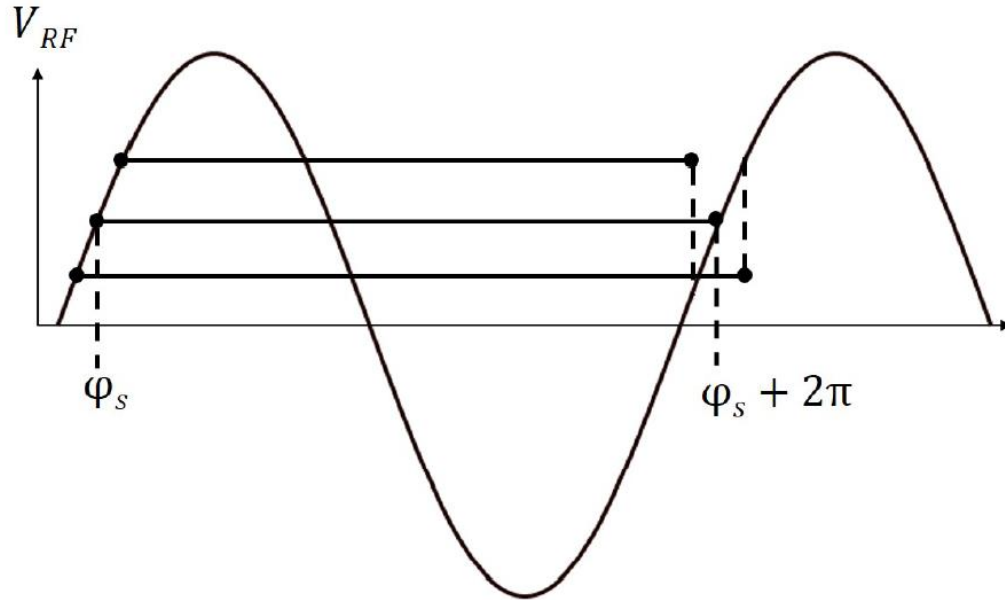
- Particles behave ~non-relativistically

- Above transition, $\frac{\Delta L}{L}$ term dominates

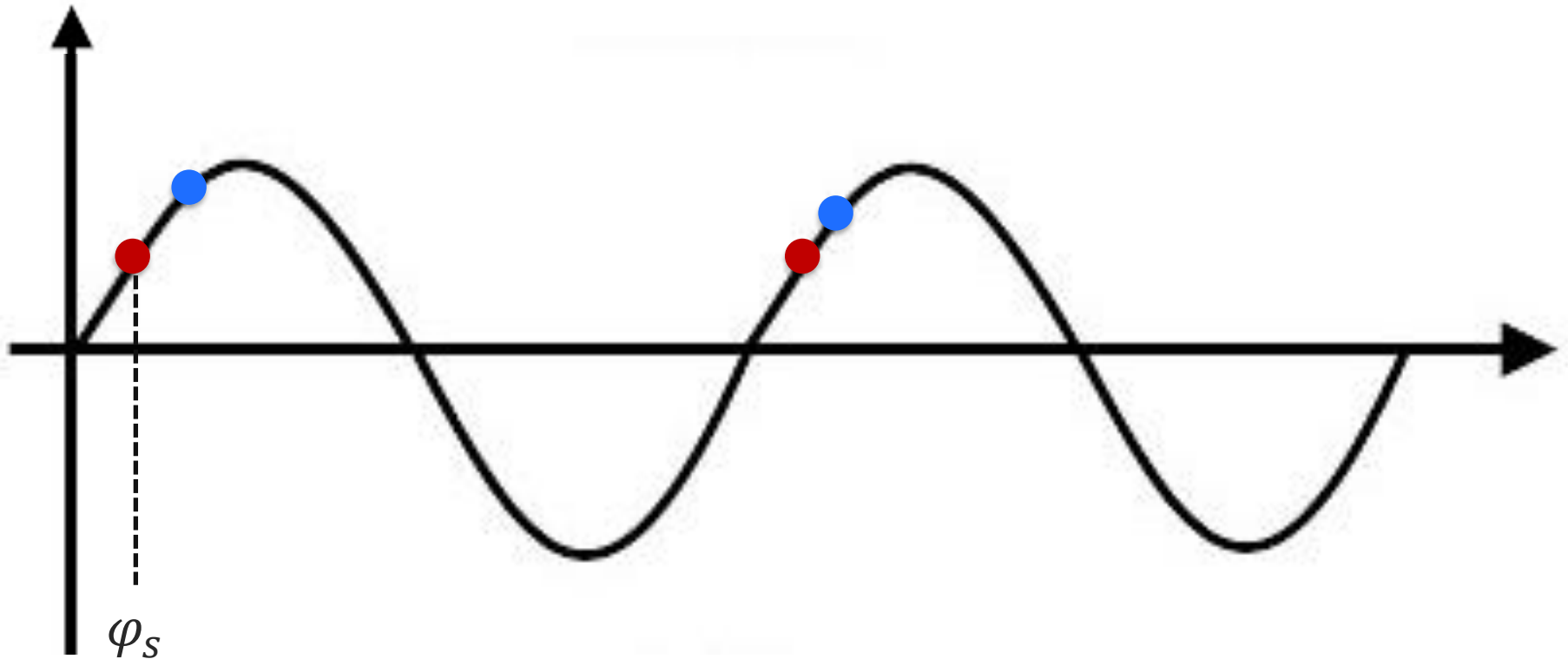
- Particles behave relativistically

Phase stability below transition, $\eta > 0$

- Particles with higher energy hitting the RF wave earlier in its ramp up cycle and receiving a smaller energy gain.
- The slower particles hit the RF wave after the reference particle where the RF wave has risen higher and thus receive a larger energy gain.
- By the next RF cavity, or on the next RF cycle, the particles have been adjusted toward the timing of the reference particle, and oscillate about its timing.

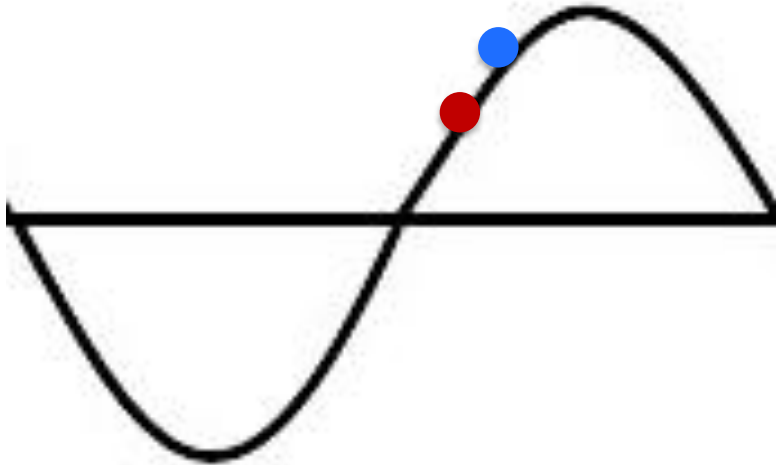


Below Transition

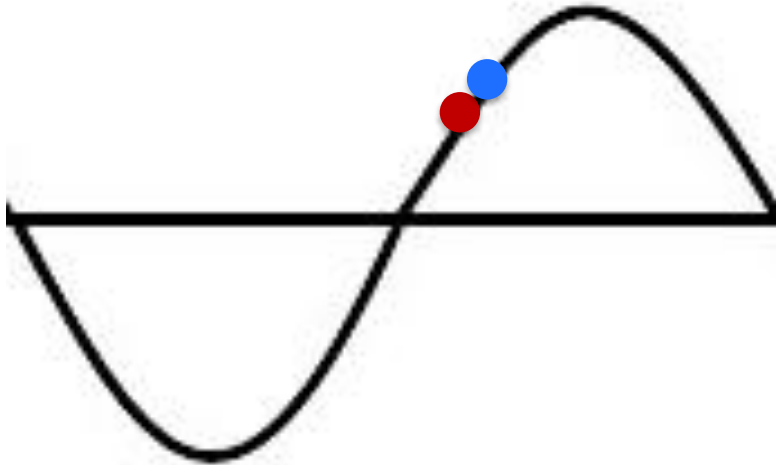


- Red particle revolution equals RF frequency
- Blue particle is later in time, sees a higher voltage, gains more energy, less late to the next cycle
- ...

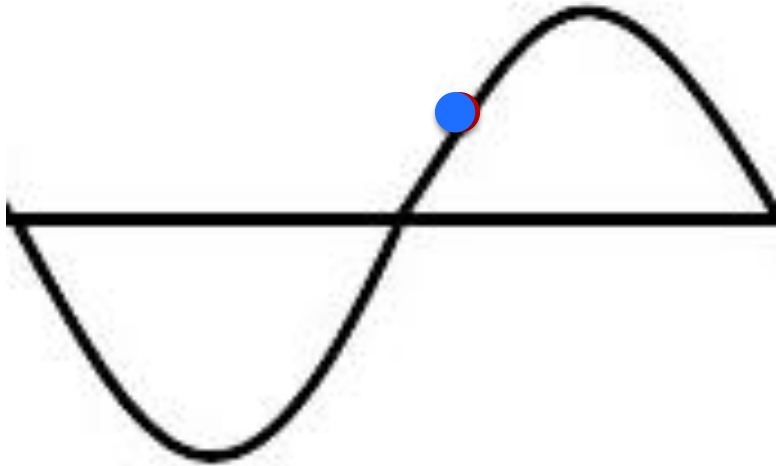
Subsequent turns



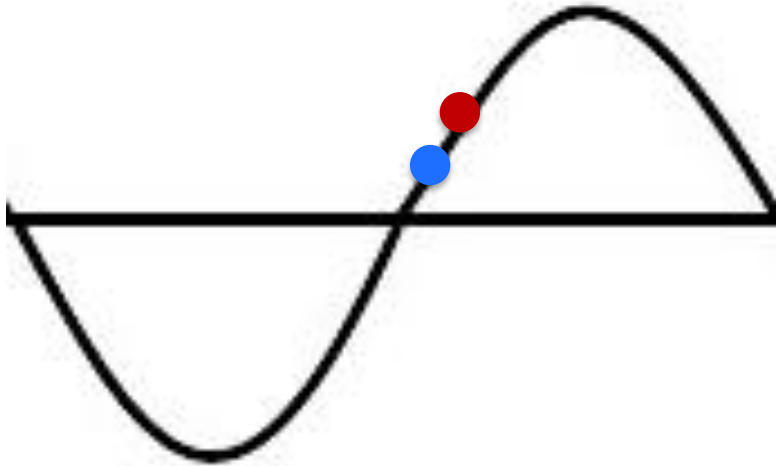
Subsequent turns



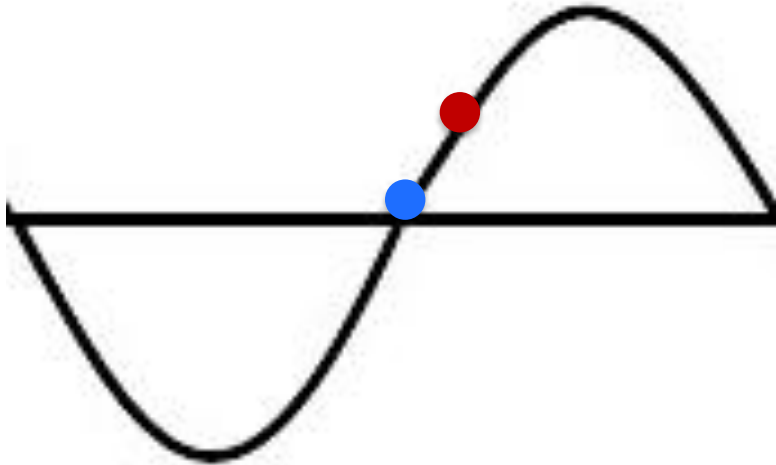
Subsequent turns



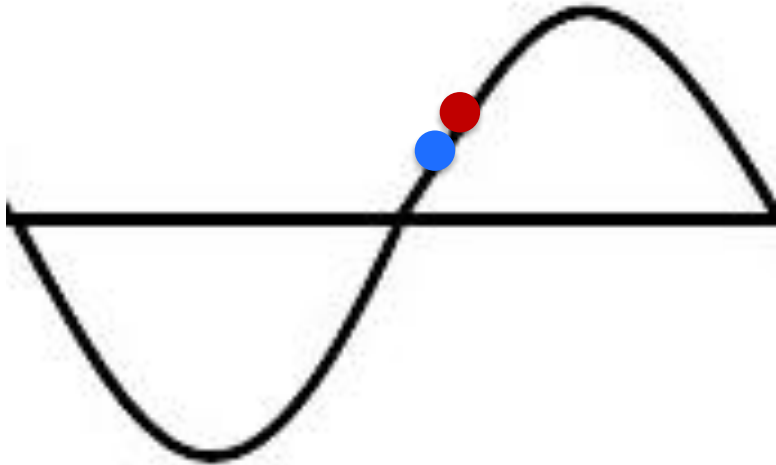
Subsequent turns



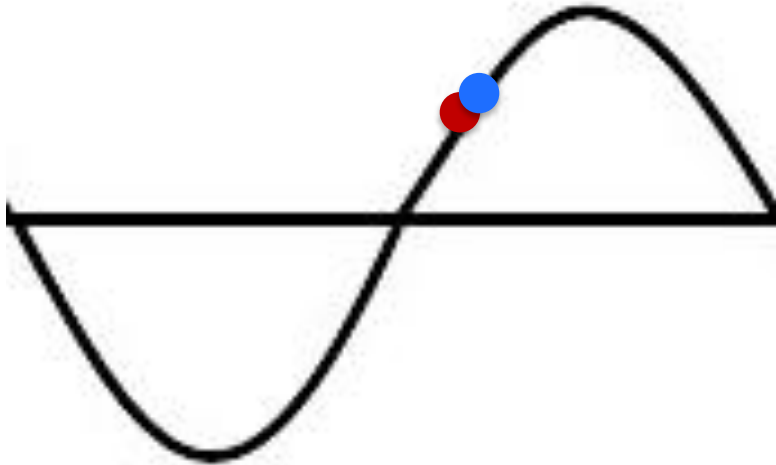
Subsequent turns



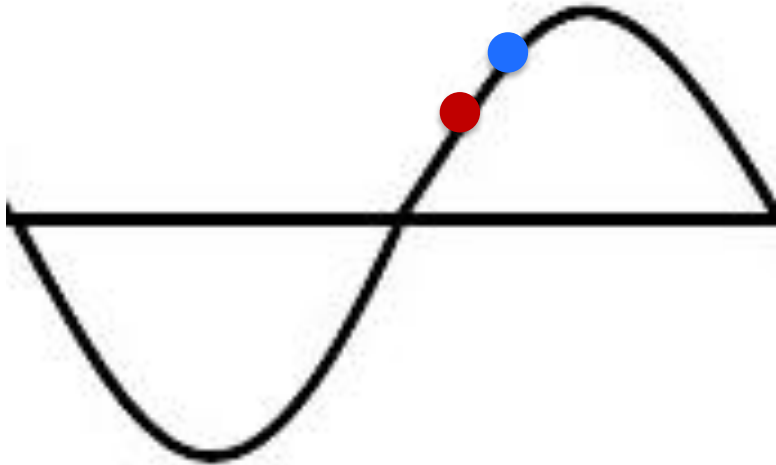
Subsequent turns



Subsequent turns



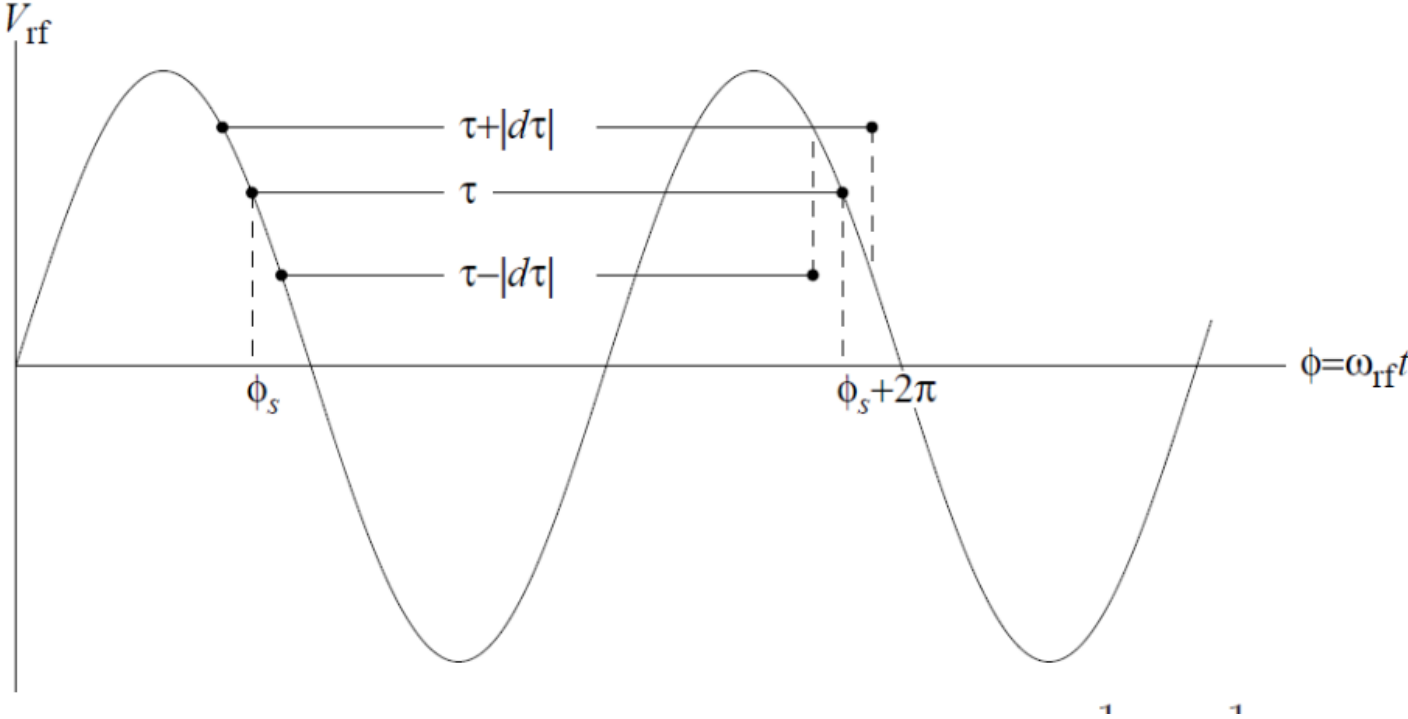
Subsequent turns



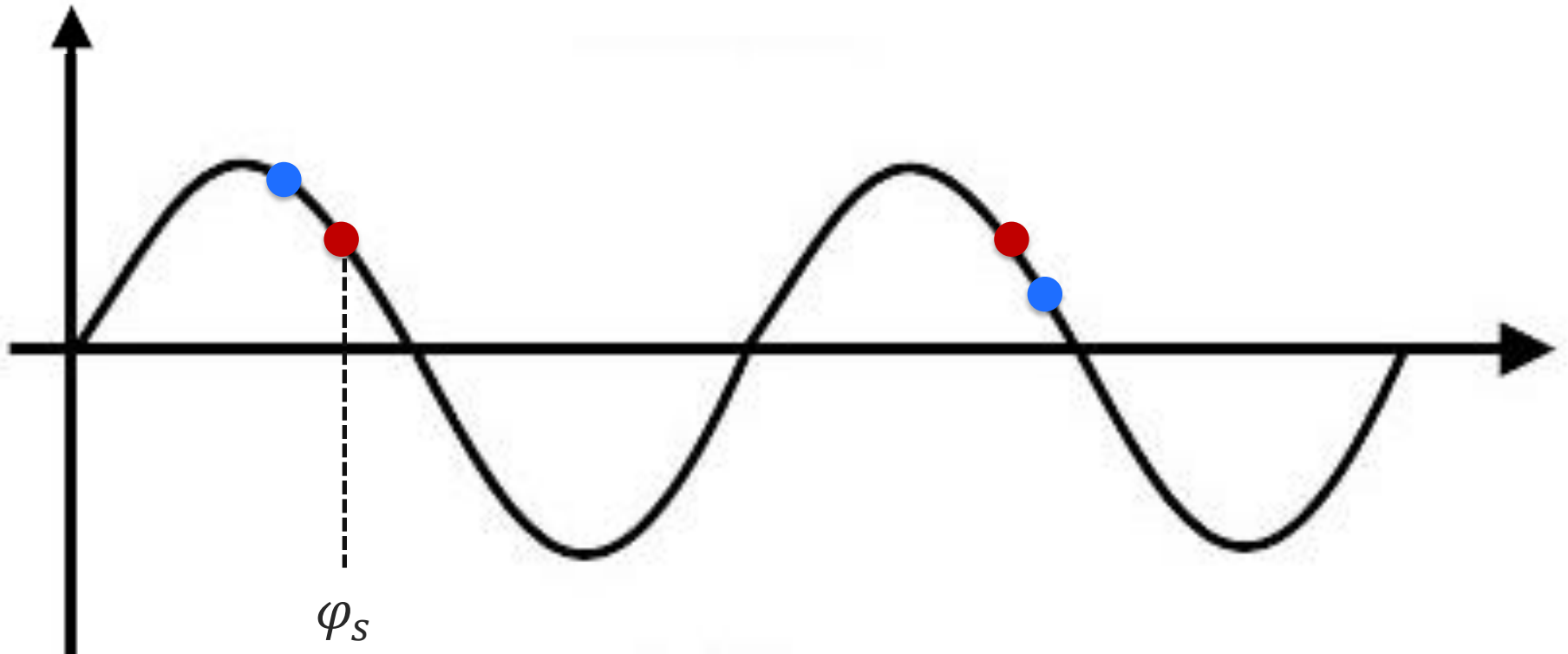
Synchrotron Oscillation

- The blue particle has made one oscillation around the red particle.
- This motion is similar to a pendulum with the RF forming a potential well
- This stable region is called the RF bucket
- For particles below transition, we sit on the rising edge of the sine wave
- For particles above transition, we shift to the falling edge of the sine wave

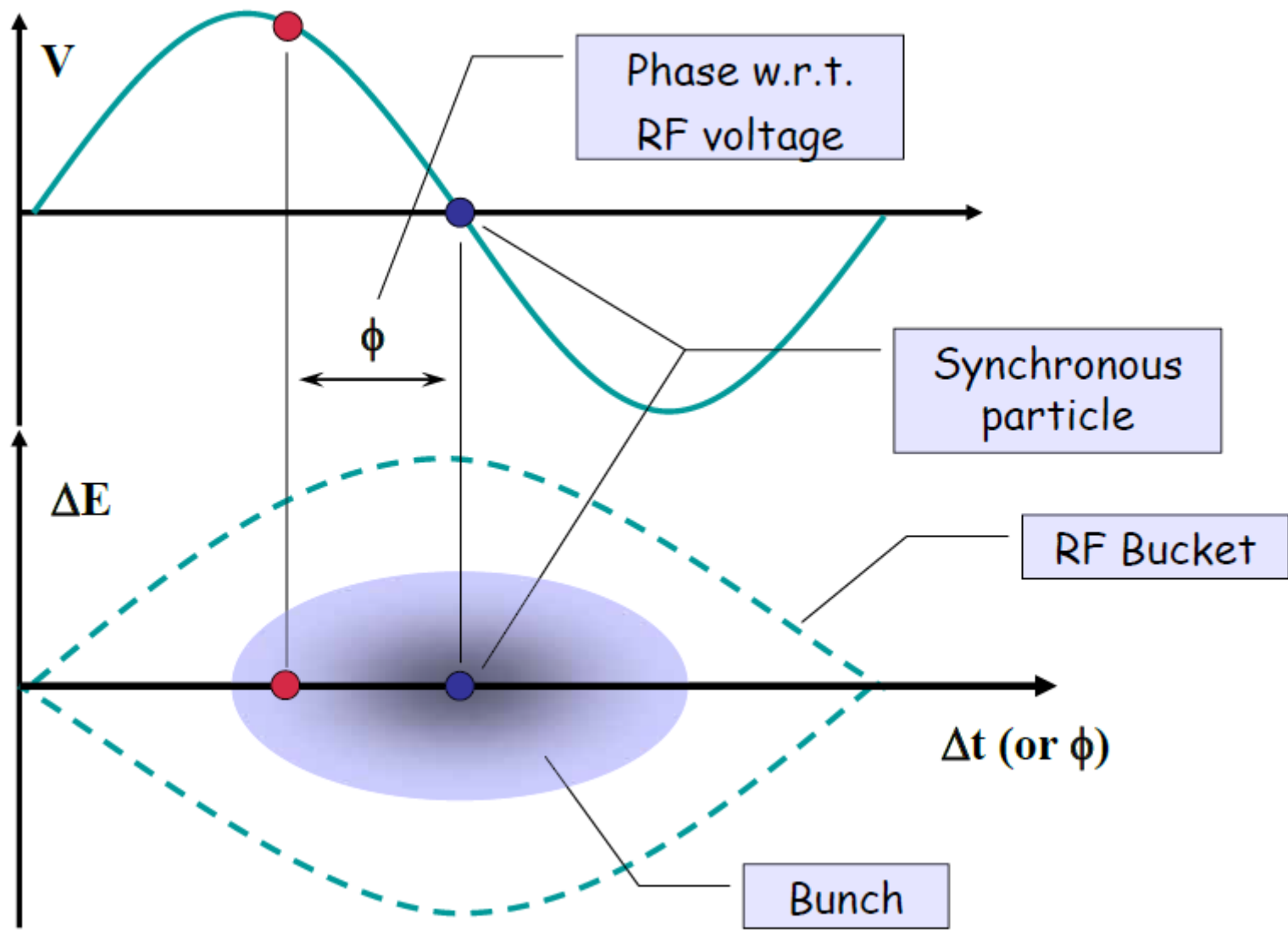
Above Transition , $\eta < 0$



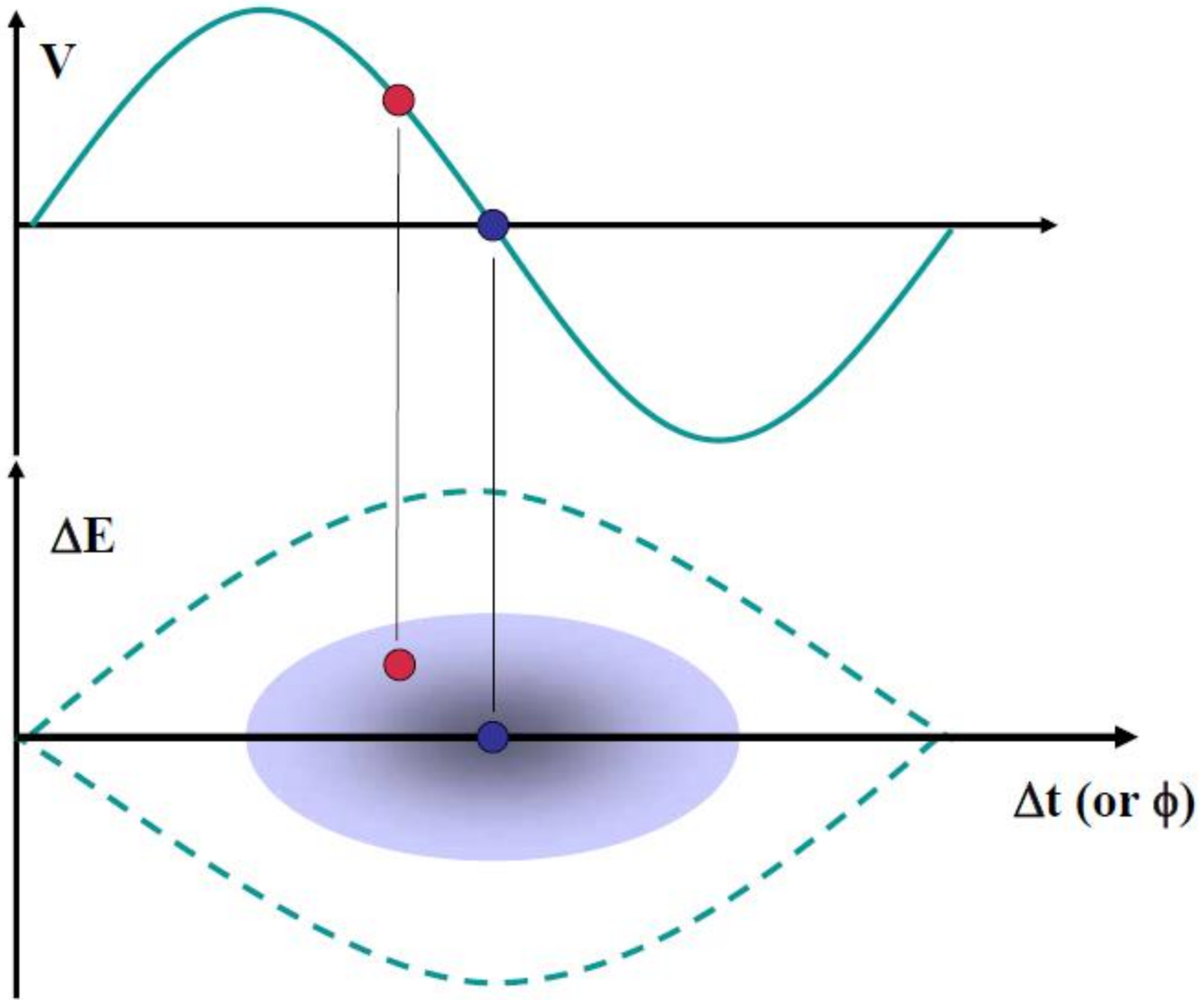
Above Transition

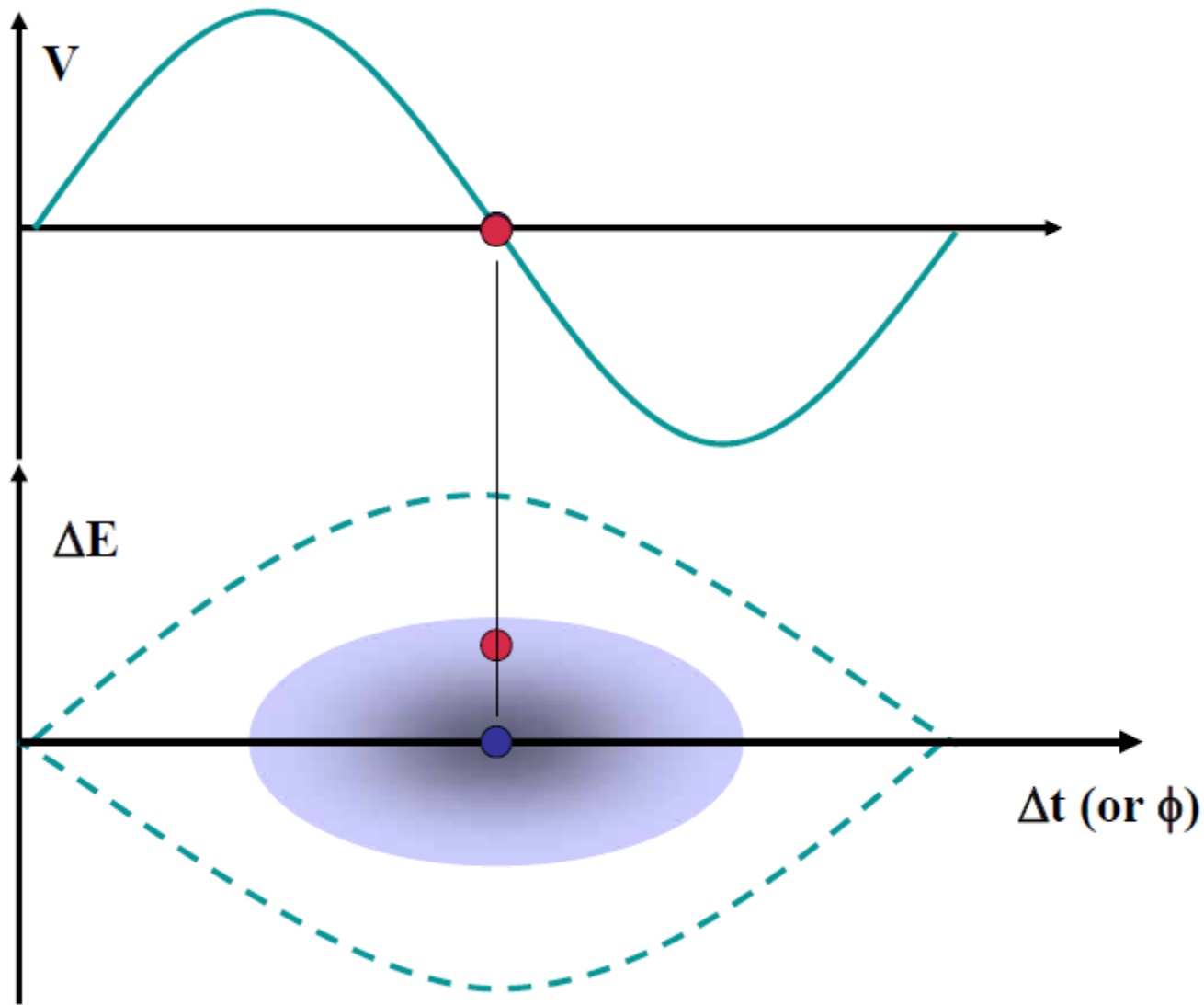


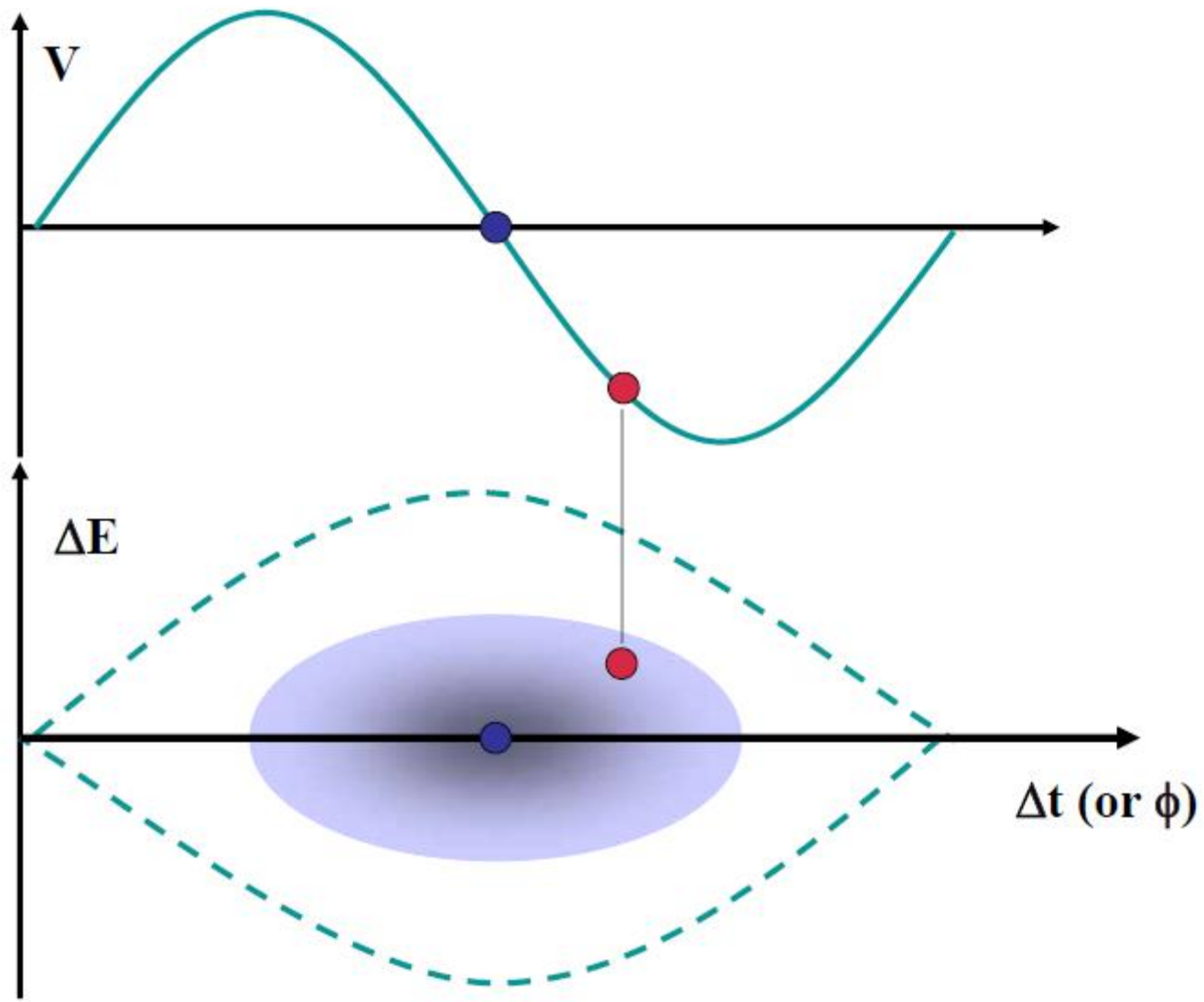
- Higher momentum particle has a lower f than the synchronous particle

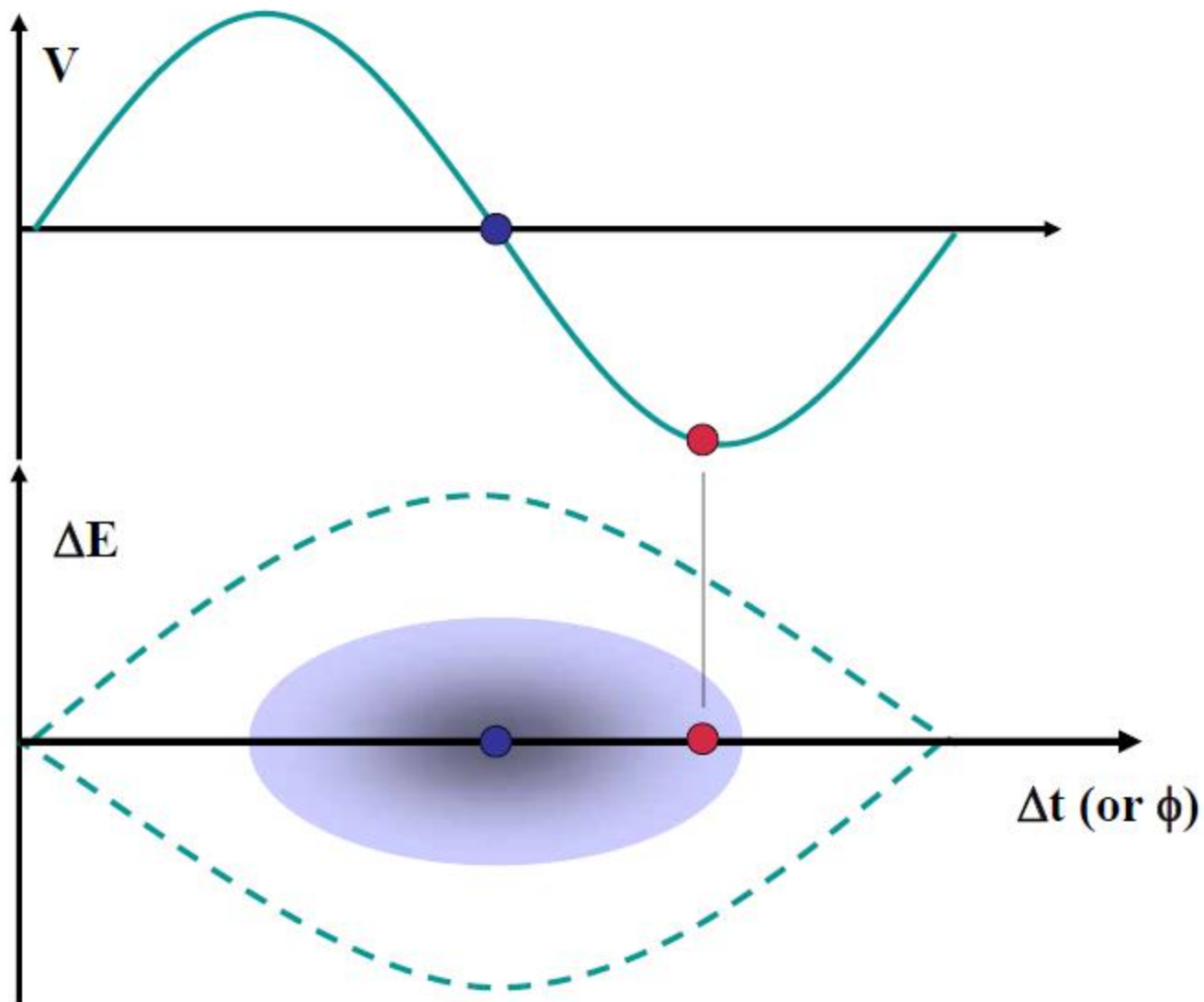


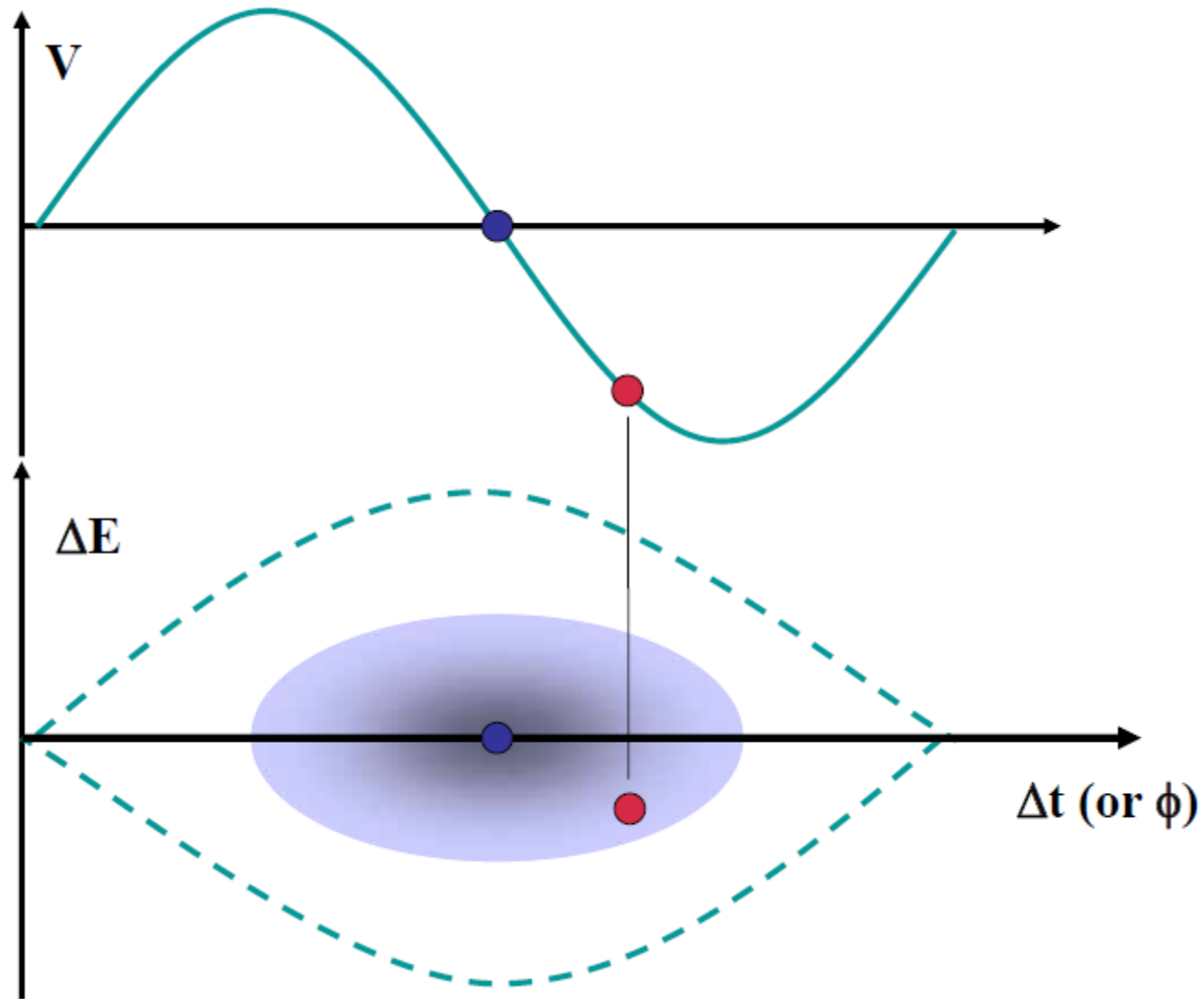
Slides by E. Wildner

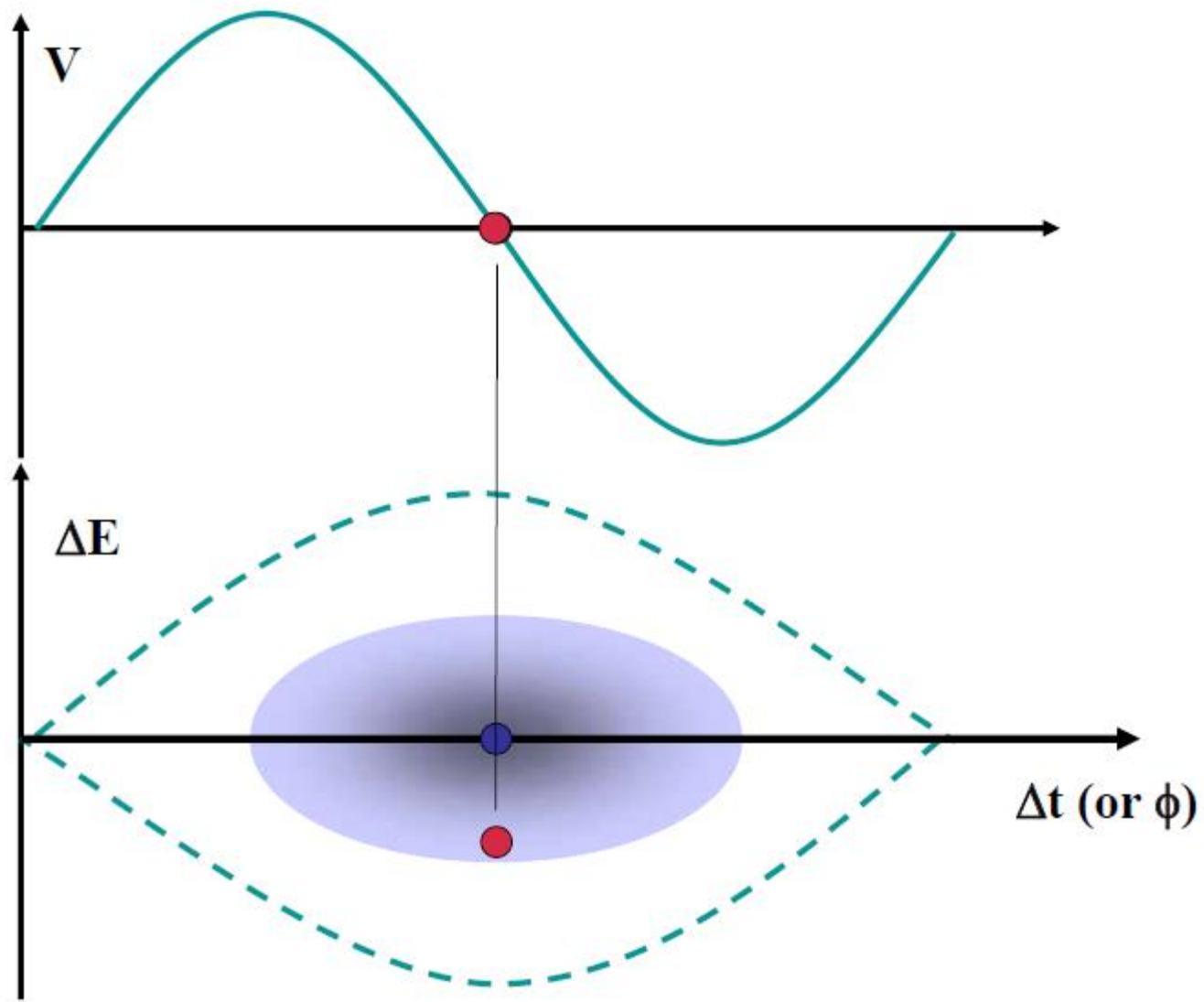












γ_t

So many other topics!

- We have just barely touched on the basics of accelerators and equations of motion with simple assumptions (perfect magnetic fields, alignment, ...)
- Hopefully you gained a sense of the various research topics and areas in accelerators (Material science, beam dynamics, ..)
- The really exiting stuff is in the details, some of which will be covered later this week
- If you have any questions about these lectures or Fermilab, please reach out

Kbadgley@fnal.gov

References

Lectures:

- African School of Physics (ASP)
 - Previous lectures (<https://www.africanschoolofphysics.org/>)
- US Particle Accelerator School (USPAS)
 - (<https://uspas.fnal.gov/>)
- Cern Accelerator School (CAS)
 - (<https://cas.web.cern.ch/>)

Books:

- Particle Accelerator Physics- Helmut Wiedemann
- Introduction to the Physics of Particle Accelerators- Mario Conte and William MacKay
- An introduction to the Physics of High Energy Accelerators- D.A. Edwards and M.J. Syphers

This is a second order differential inhomogeneous differential equation, so the solution is

$$x(s) = x_0 C(s) + x'_0 S(s) + \delta d(s)$$

$$x'(s) = x_0 C'(s) + x'_0 S'(s) + \delta d'(s)$$

Where $d(s)$ is the solution particular solution of the differential equation

$$d'' + Kd = \frac{1}{\rho}$$

We solve this piecewise, for K constant and find

$$K > 0: \quad d(s) = \frac{1}{\rho K} (1 - \cos \sqrt{K} s)$$

$$d'(s) = \frac{1}{\rho \sqrt{K}} \sin \sqrt{K} s$$

$$K < 0: \quad d(s) = -\frac{1}{\rho K} (1 - \cosh \sqrt{K} s)$$

$$d'(s) = \frac{1}{\rho \sqrt{K}} \sinh \sqrt{K} s$$

Transition crossing

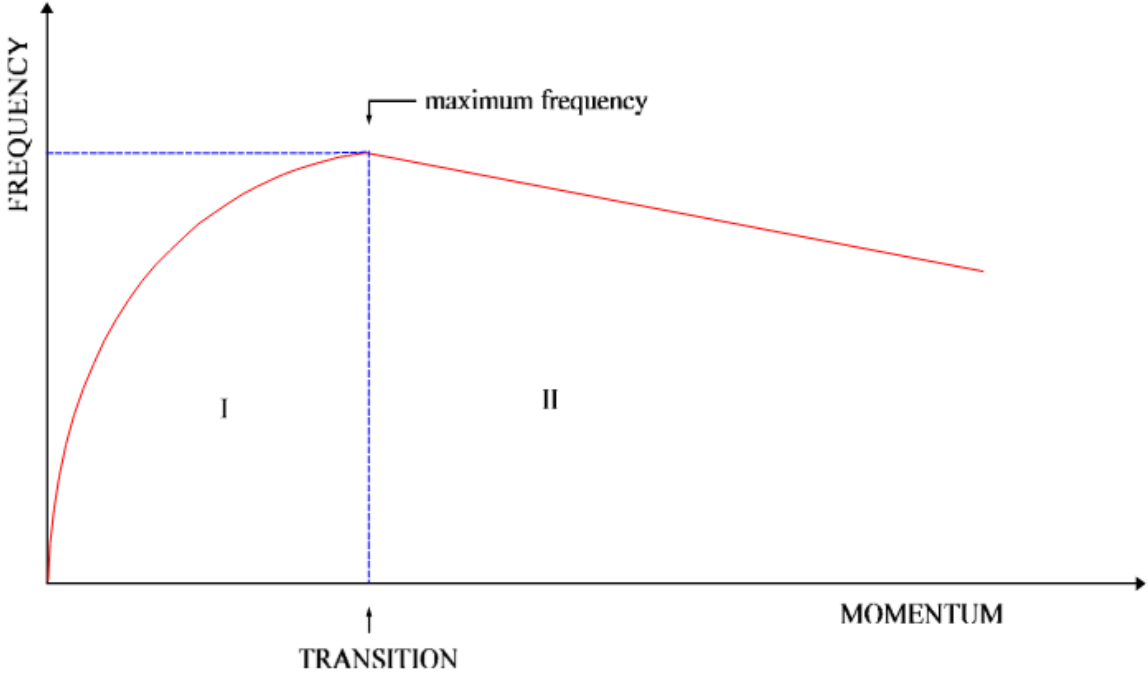


Figure 1: Revolution frequency .v. particle momentum

<https://intranet.cells.es/Intranet/Labs/Elec/chap6.pdf>