

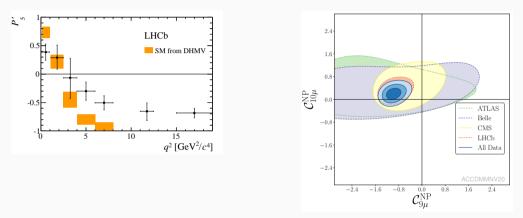
# The Phenomenology of Rare $b \rightarrow s \ell^+ \ell^-$ Decays

Danny van Dyk

Institute for Particle Physics Phenomenology, Durham

Seminar, University of Birmingham, Oct 30th 2024

## Motivation



► deviations between measurements and Standard Model (SM) predictions requires careful interpretation

#### Possible Explanations

- 1. QED: mismatch between predictions and measurements, particularly in differential observables
  - unlikely explanation

[Isidori/Nabeebaccus/Zwicky 2009.00929]

- "dangerous hard-collinear logarithms cancel at the differential level in the currently used experimental treatment"
- not further discussed here

#### Possible Explanations

- 1. QED: mismatch between predictions and measurements, particularly in differential observables
  - unlikely explanation

[Isidori/Nabeebaccus/Zwicky 2009.00929]

- "dangerous hard-collinear logarithms cancel at the differential level in the currently used experimental treatment"
- not further discussed here
- 2. QCD: lack of understanding of the Standard Model long-distance dynamics, which mimic beyond the Standard Model (BSM) effects
  - quantify potential hadronic and BSM effects (within the Weak Effective Theory)
  - topic of this presentation

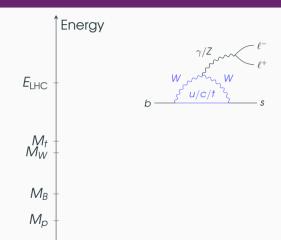
#### Possible Explanations

- 1. QED: mismatch between predictions and measurements, particularly in differential observables
  - unlikely explanation

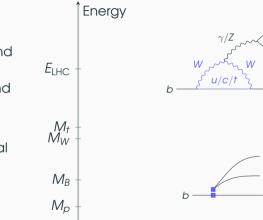
[Isidori/Nabeebaccus/Zwicky 2009.00929]

- "dangerous hard-collinear logarithms cancel at the differential level in the currently used experimental treatment"
- not further discussed here
- 2. QCD: lack of understanding of the Standard Model long-distance dynamics, which mimic beyond the Standard Model (BSM) effects
  - quantify potential hadronic and BSM effects (within the Weak Effective Theory)
  - topic of this presentation
- 3. BSM: genuine BSM effects in the data?
  - interpret potential BSM effects qualitatively
  - task for model builders (i.e.: not me!)

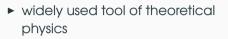
Interpretation within the Weak Effective Theory



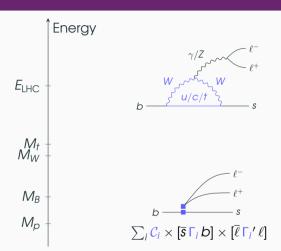
- widely used tool of theoretical physics
- used to predict obsevable in SM and interpret measurements w/o assuming a concrete model beyond SM

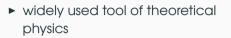


- widely used tool of theoretical physics
- used to predict obsevable in SM and interpret measurements w/o assuming a concrete model beyond SM
- ► replaces dynamical d.o.f. (here: t, W, Z) with coefficients C<sub>l</sub> and local operators (here: [s̄ Γ b][ℓΓ'ℓ])



- used to predict obsevable in SM and interpret measurements w/o assuming a concrete model beyond SM
- replaces dynamical d.o.f. (here: t, W, Z) with coefficients C₁ and local operators (here: [s̄ □ b][ℓ̄ □ 'ℓ])
- local operators must respect remaining U(1)<sub>EM</sub> × SU(3)<sub>C</sub> symmetry





- used to predict obsevable in SM and interpret measurements w/o assuming a concrete model beyond SM
- replaces dynamical d.o.f. (here: t, W, Z) with coefficients C<sub>i</sub> and local operators (here: [s̄ □ b][ℓ̄ □ 'ℓ])
- local operators must respect remaining U(1)<sub>EM</sub> × SU(3)<sub>C</sub> symmetry
- for  $b \to s\ell\ell$  we find in general

▶ ...

- 10 semileptonic  $[\overline{s} \Gamma b] [\overline{\ell} \Gamma' \ell]$  ops
- 20 four-quark  $[\overline{s} \Gamma b] [\overline{c} \Gamma' c]$  ops

Energy W ELHC Mr  $M_{D}$  $\sum_{i} C_{i} \times [\overline{s} \Gamma_{i} b] \times [\overline{\ell} \Gamma_{i}' \ell]$ 

▶ in the SM, only the following set of D = 6 effective operators contributes:

$$\mathcal{L}_{SM}^{eff} = \mathcal{L}_{QCD} + \mathcal{L}_{QED} + \frac{4G_F}{\sqrt{2}} \left[ \lambda_f \sum_{i=3}^{10} \mathcal{C}_i \mathcal{O}_i + \lambda_c \sum_{j=1}^2 \mathcal{C}_j^{\ c} \mathcal{O}_j^{\ c} + \lambda_u \sum_{k=1}^2 \mathcal{C}_k^{\ u} \mathcal{O}_k^{\ u} \right] \qquad \text{with } \lambda_q \equiv V_{qb} V_{qs}^*$$

radiative

$$\mathcal{O}_{7(\prime)} = \frac{e}{16\pi^2} m_b (\bar{s}\sigma^{\mu\nu} P_{R(L)} b) F_{\mu\nu} \qquad \qquad \mathcal{O}_{8(\prime)} = \frac{g_s}{16\pi^2} m_b (\bar{s}\sigma^{\mu\nu} P_{R(L)} T^A b) G^A_{\mu\nu}$$

▶ in the SM, only the following set of D = 6 effective operators contributes:

$$\mathcal{L}_{SM}^{eff} = \mathcal{L}_{QCD} + \mathcal{L}_{QED} + \frac{4G_F}{\sqrt{2}} \left[ \lambda_f \sum_{l=3}^{10} \mathcal{C}_l \mathcal{O}_l + \lambda_c \sum_{j=1}^2 \mathcal{C}_j^{\,c} \mathcal{O}_j^{\,c} + \lambda_u \sum_{k=1}^2 \mathcal{C}_k^{\,u} \mathcal{O}_k^{\,u} \right] \qquad \text{with } \lambda_q \equiv V_{qb} V_{qs}^*$$

radiative

$$\mathcal{O}_{7(\prime)} = \frac{\Theta}{16\pi^2} m_b (\bar{s}\sigma^{\mu\nu} P_{R(L)} b) F_{\mu\nu} \qquad \qquad \mathcal{O}_{8(\prime)} = \frac{g_s}{16\pi^2} m_b (\bar{s}\sigma^{\mu\nu} P_{R(L)} T^A b) G^A_{\mu\nu}$$

semileptonic

$$\mathcal{O}_{9} = \frac{\alpha}{4\pi} (\bar{s}\gamma_{\mu} P_{L} b) (\bar{\ell}\gamma^{\mu} \ell) \qquad \qquad \mathcal{O}_{10} = \frac{\alpha}{4\pi} (\bar{s}\gamma_{\mu} P_{L} b) (\bar{\ell}\gamma^{\mu} \gamma_{5} \ell)$$

▶ in the SM, only the following set of D = 6 effective operators contributes:

$$\mathcal{L}_{SM}^{\text{eff}} = \mathcal{L}_{QCD} + \mathcal{L}_{QED} + \frac{4G_F}{\sqrt{2}} \left[ \lambda_f \sum_{l=3}^{10} \mathcal{C}_l \mathcal{O}_l + \lambda_c \sum_{j=1}^2 \mathcal{C}_j^{\,c} \mathcal{O}_j^{\,c} + \lambda_u \sum_{k=1}^2 \mathcal{C}_k^{\,u} \mathcal{O}_k^{\,u} \right] \qquad \text{with } \lambda_q \equiv V_{qb} V_{qs}^*$$

radiative

$$\mathcal{O}_{7(\prime)} = \frac{e}{16\pi^2} m_b (\bar{s}\sigma^{\mu\nu} P_{R(L)} b) F_{\mu\nu} \qquad \qquad \mathcal{O}_{8(\prime)} = \frac{g_s}{16\pi^2} m_b (\bar{s}\sigma^{\mu\nu} P_{R(L)} T^A b) G^A_{\mu\nu}$$

#### semileptonic

$$\mathcal{O}_{\varphi} = rac{lpha}{4\pi} (\bar{s}\gamma_{\mu}P_{L}b)(\bar{\ell}\gamma^{\mu}\ell)$$
  
four-quark current-current ( $q = c, u$ )

$$\mathcal{O}_1^{\mathbf{q}} = (\overline{\mathbf{q}}\gamma_\mu P_L b)(\overline{s}\gamma^\mu P_L \mathbf{q})$$

$${\cal O}_{10}=rac{lpha}{4\pi}(ar{s}\gamma_\mu P_L b)(ar{\ell}\gamma^\mu\gamma_5\ell)$$

$$\mathcal{O}_2^{\mathbf{q}} = (\overline{\mathbf{q}} \gamma_\mu P_L T^a b) (\overline{s} \gamma^\mu P_L T^a \mathbf{q})$$

▶ in the SM, only the following set of D = 6 effective operators contributes:

$$\mathcal{L}_{SM}^{eff} = \mathcal{L}_{QCD} + \mathcal{L}_{QED} + \frac{4G_F}{\sqrt{2}} \left[ \lambda_f \sum_{l=3}^{10} \mathcal{C}_l \mathcal{O}_l + \lambda_c \sum_{j=1}^2 \mathcal{C}_j^{\,c} \mathcal{O}_j^{\,c} + \lambda_u \sum_{k=1}^2 \mathcal{C}_k^{\,u} \mathcal{O}_k^{\,u} \right] \qquad \text{with } \lambda_q \equiv V_{qb} V_{qs}^*$$

radiative

$$\mathcal{O}_{7^{(\prime)}} = \frac{e}{16\pi^2} m_b (\bar{s}\sigma^{\mu\nu} P_{R(L)} b) F_{\mu\nu} \qquad \qquad \mathcal{O}_{8^{(\prime)}} = \frac{g_s}{16\pi^2} m_b (\bar{s}\sigma^{\mu\nu} P_{R(L)} T^A b) G^A_{\mu\nu}$$

#### semileptonic

$$\mathcal{O}_{9} = rac{lpha}{4\pi} (\bar{s}\gamma_{\mu}P_{L}b)(\bar{\ell}\gamma^{\mu}\ell)$$
  
four-quark current-current ( $q = c, u$ )

$$\mathcal{O}_{1}^{\mathbf{q}} = (\overline{\mathbf{q}}\gamma_{\mu}P_{L}b)(\overline{s}\gamma^{\mu}P_{L}\mathbf{q})$$

four-quark QCD penguins

$$\mathcal{O}_{3,5} = (\bar{s}\Gamma_{\tilde{\mu}}P_Lb)\sum_{q}(\bar{q}\tilde{\Gamma}^{\tilde{\mu}}q)$$

$$\mathcal{O}_{10} = rac{lpha}{4\pi} (ar{s} \gamma_\mu P_L b) (ar{\ell} \gamma^\mu \gamma_5 \ell)$$

$$\mathcal{O}_2^{\mathbf{q}} = (\overline{\mathbf{q}}\gamma_{\mu}P_LT^{\alpha}b)(\overline{s}\gamma^{\mu}P_LT^{\alpha}\mathbf{q})$$

$$\mathcal{O}_{4,6} = (\bar{s}\Gamma_{\tilde{\mu}}T^{A}P_{L}b)\sum_{q}(\bar{q}\tilde{\Gamma}^{\tilde{\mu}}T^{A}q)$$

▶ in the SM, only the following set of D = 6 effective operators contributes:

$$\mathcal{L}_{\rm SM}^{\rm eff} = \mathcal{L}_{\rm QCD} + \mathcal{L}_{\rm QED} + \frac{4G_F}{\sqrt{2}} \left[ \lambda_f \sum_{l=3}^{10} \mathcal{C}_l \mathcal{O}_l + \lambda_c \sum_{j=1}^2 \mathcal{C}_j^{\,c} \mathcal{O}_j^{\,c} + \lambda_u \sum_{k=1}^2 \mathcal{C}_k^{\,u} \mathcal{O}_k^{\,u} \right] \qquad \text{with } \lambda_q \equiv V_{qb} V_{qs}^*$$

radiative

$$\mathcal{O}_{7(\prime)} = \frac{\Theta}{16\pi^2} m_{\rm b} (\bar{s}\sigma^{\mu\nu} P_{R(L)} b) F_{\mu\nu} \qquad \qquad \mathcal{O}_{8^{(\prime)}} = \frac{g_s}{16\pi^2} m_{\rm b} (\bar{s}\sigma^{\mu\nu} P_{R(L)} T^A b) G^A_{\mu\nu}$$

#### semileptonic

$$\mathcal{O}_{9} = rac{lpha}{4\pi} (\bar{s}\gamma_{\mu}P_{L}b)(\bar{\ell}\gamma^{\mu}\ell)$$
  
four-quark current-current ( $q = c, u$ )

$$\mathcal{O}_{1}^{\mathbf{q}} = (\overline{\mathbf{q}}\gamma_{\mu}P_{L}b)(\overline{s}\gamma^{\mu}P_{L}\mathbf{q})$$

four-quark QCD penguins

$$\mathcal{O}_{3,5} = (\bar{s}\Gamma_{\tilde{\mu}}P_{L}b)\sum_{q}(\bar{q}\tilde{\Gamma}^{\tilde{\mu}}q)$$

▶ SM contributions to  $C_i(\mu_b)$  known to high accuracy (NNLL)

$$\mathcal{O}_{10} = rac{lpha}{4\pi} (ar{s} \gamma_\mu P_L b) (ar{\ell} \gamma^\mu \gamma_5 \ell)$$

$$\mathcal{O}_2^{\mathbf{q}} = (\overline{\mathbf{q}}\gamma_\mu P_L T^a b)(\overline{s}\gamma^\mu P_L T^a \mathbf{q})$$

$$\mathcal{O}_{4,6} = (\bar{s}\Gamma_{\tilde{\mu}}T^{A}P_{L}b)\sum_{q}(\bar{q}\tilde{\Gamma}^{\tilde{\mu}}T^{A}q)$$

[Bobeth,Misiak,Urban '99; Misiak,Steinhauser '04, Gorbahn,Haisch '04] [Gorbahn, Haisch, Misiak '05; Czakon, Haisch, Misiak '06]

## Tangent 1: Renormalization Group Equations (RGE)

- ▶ Wilson coefficients  $C_i$  can be computed in perturbation theory at some high energy scale  $\mu_0 \sim M_W \gg m_b$
- ► however, matrix elements of operators are evaluated (e.g. from lattice QCD) at some low energy scale  $\Lambda_{had} < \mu_1 < m_b$
- mismatch must be resolved to obtain reliable predictions
- Renormalization Group Equations (RGEs) provide means to evolve both the Wilson coefficients and the matrix elements from their respective intrinsic scales to one common scale
  - $\Rightarrow$  RGE-improved perturbation theory

#### Tangent 1: Renormalization Group Equations (RGE)

RGE for multiplicatively-renormalizing quantities:

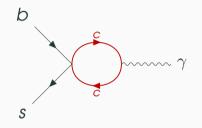
$$\mu \frac{d}{d\mu} \mathcal{C}(\mu) = \gamma(\alpha_s(\mu)) \mathcal{C}(\mu) \qquad \qquad \mu \frac{d}{d\mu} \alpha_s(\mu) = 2\beta(\alpha_s(\mu))$$
$$\gamma = \gamma^{(0)} \frac{\alpha_s}{4\pi} + \mathcal{O}\left(\alpha_s^2\right) \qquad \qquad \beta = \beta^{(0)} \left(\frac{\alpha_s}{4\pi}\right)^2 + \mathcal{O}\left(\alpha_s^3\right)$$

#### Solution

$$\mathcal{C}(\mu_{1}) = \mathcal{C}(\mu_{0}) \left[\frac{\alpha_{s}(\mu_{1})}{\alpha_{s}(\mu_{0})}\right]^{\left(\frac{\gamma^{(0)}}{2\beta^{(0)}}\right)} + \mathcal{O}\left(\alpha_{s}^{n+1}(\mu_{0})\ln^{n}\left(\frac{\mu_{1}}{\mu_{0}}\right)\right)$$

$$\overset{\text{NLL}}{\overset{\text{(*): resums all leading-logarithmic (LL) terms } \alpha_{s}^{n}(\mu_{0})\ln^{n}\left(\frac{\mu_{1}}{\mu_{0}}\right) \text{ via}}{\left[\frac{\alpha_{s}(\mu_{1})}{\alpha_{s}(\mu_{0})}\right]^{\left(\frac{\gamma^{(0)}}{2\beta^{(0)}}\right)}} = 1 - \gamma^{(0)}\alpha_{s}(\mu_{0})\ln\left(\frac{\mu_{1}}{\mu_{0}}\right) + \mathcal{O}\left(\alpha_{s}(\mu_{0})^{2}\ln^{2}\left(\frac{\mu_{1}}{\mu_{0}}\right)\right)$$

- sbcc 4-quark operators yield UV divergence
  - must be renormalized
  - require  $sb\ell\ell$  /  $sb\gamma$  counterterm ( $C_9$  /  $C_7$ )
- SM operator basis renormalizes multiplicatively
  - $\gamma$  is promoted to a matrix  $\gamma_{ij}$
  - operators mix under RGE
- phenomenologically important
  - SM sbcc operators contribute  $\sim$  50% of  $\mathcal{C}_{\rm g}^{\rm SM}(\mu_b)$  at NNLL



▶ in the presence of NP effects

$$\mathcal{L}_{\text{BSM}}^{\text{eff}} = \mathcal{L}_{\text{SM}}^{\text{eff}} + \frac{4G_{\text{F}}}{\sqrt{2}} \left[ \lambda_{t} \sum_{i} \mathcal{C}_{i} \mathcal{O}_{i} \right]$$

semileptonic

$$\mathcal{O}_{9'} = \frac{\alpha}{4\pi} (\bar{s}\gamma_{\mu}P_{R}b)(\bar{\ell}\gamma^{\mu}\ell)$$
$$\mathcal{O}_{S} = \frac{\alpha}{4\pi} (\bar{s}P_{R}b)(\bar{\ell}\ell)$$
$$\mathcal{O}_{P} = \frac{\alpha}{4\pi} (\bar{s}P_{R}b)(\bar{\ell}\gamma_{5}\ell)$$
$$\mathcal{O}_{T} = \frac{\alpha}{4\pi} (\bar{s}\sigma^{\mu\nu}b)(\bar{\ell}\sigma_{\mu\nu}\ell)$$

► regularly considered in the literature!

$$\mathcal{O}_{10'} = \frac{\alpha}{4\pi} (\bar{s}\gamma_{\mu}P_{R}b)(\bar{\ell}\gamma^{\mu}\gamma_{5}\ell)$$
$$\mathcal{O}_{S'} = \frac{\alpha}{4\pi} (\bar{s}P_{L}b)(\bar{\ell}\ell)$$
$$\mathcal{O}_{P'} = \frac{\alpha}{4\pi} (\bar{s}P_{L}b)(\bar{\ell}\gamma_{5}\ell)$$
$$\mathcal{O}_{T5} = \frac{\alpha}{4\pi} (\bar{s}\sigma^{\mu\nu}P_{L}b)(\bar{\ell}\sigma_{\mu\nu}\gamma_{5}\ell)$$

▶ in the presence of NP effects

$$\mathcal{L}_{\text{BSM}}^{\text{eff}} = \mathcal{L}_{\text{SM}}^{\text{eff}} + \frac{4G_F}{\sqrt{2}} \left[ \lambda_f \sum_i \mathcal{C}_i \, \mathcal{O}_i \right]$$

- add further  $2 \times 18$  operators with q = c, u
- ► add further "QCD-penguin" operators with q = d, s, b
- these operators are routinely ignored in the literature!

[except by Jäger,Kirk,Lenz,Leslie '17]

for a truly model-independent analysis of data, would need to fit coefficients of all operators!

- WET makes calculations in the SM possible in the first place
  - ► separates long-distance ( $[\overline{s}\Gamma b][...]$ ) physics from short-distance physics (C)
- "divides and conquers"
  - SM WET contributions under excellent theory control
  - ► precision of SM predictions hinges on accurate control of hadronic matrix elements
- accounts transparently and model-independently for the effects of physics beyond the SM
  - $\blacktriangleright$  treats Wilson coefficients  ${\cal C}$  as generalized couplings and fits them from data
  - provides an excellent interface to model builders

From the WET to the Observables

#### Anatomy of exclusive $b \rightarrow s\ell^+\ell^-$ decay amplitudes

$$\mathcal{A}_{\lambda}^{\chi} = \mathcal{N}_{\lambda} \left\{ (C_{9} \mp C_{10}) \mathcal{F}_{\lambda}(q^{2}) + \frac{2m_{b}M_{B}}{q^{2}} \left[ C_{7} \mathcal{F}_{\lambda}^{I}(q^{2}) - 16\pi^{2} \frac{M_{B}}{m_{b}} \mathcal{H}_{\lambda}(q^{2}) \right] \right\}$$

nomenclature of the essential hadronic matrix elements

 $q^2 = m_{\ell\ell}^2$ 

- $\mathcal{F}_{\lambda}$  local form factors of dimension-three  $\bar{s}\gamma^{\mu}b$  &  $\bar{s}\gamma^{\mu}\gamma_{5}b$  currents
- $\mathcal{F}^{I}_{\lambda}$  local dipole form factors of dimension-three  $\bar{s}\sigma^{\mu\nu}b$  currents
- $\mathcal{H}_{\lambda}$  nonlocal form factors of dimension-five nonlocal operators

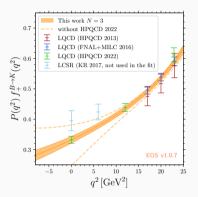
all three needed for consistent description to leading-order in  $\alpha_e$ 

### Local Form Factors

- Iocal form factors are conceptually "easy"
  - yet a substantial source of uncertainties
- ► lattice QCD provides results typically at large  $q^2$  for  $B \to K$ ,  $B \to K^*$ ,  $B_s \to \phi$ 
  - caveat: K\* is broad state, non-zero width can have O (10%) effects [Descotes-Genon,Khodjamirian,Virto '19]
  - new lattice results down to  $q^2 = 0$  for  $B \to K$  form factors [HPace '2]
- light-cone sum rules provide anchor points at small q<sup>2</sup>
  - caveat: systematic uncertainties hard to quantify

## Local Form Factors

- Iocal form factors are conceptually "easy"
  - yet a substantial source of uncertainties
- ► lattice QCD provides results typically at large  $q^2$ for  $B \to K$ ,  $B \to K^*$ ,  $B_s \to \phi$ 
  - caveat: K\* is broad state, non-zero width can have O (10%) effects [Descotes-Genon,Khodjamirian,Virto '19]
  - new lattice results down to  $q^2 = 0$  for  $B \rightarrow K$  form factors [HPacD '22]
- light-cone sum rules provide anchor points at small q<sup>2</sup>
  - caveat: systematic uncertainties hard to quantify
- IPPP group recently revisited dispersive bounds for all local  $b \rightarrow s$  form factors



[Gubernari,Reboud,DvD,Virto '23]

#### Tangent 3: Dispersive Bound

consider auxilliary quantity: moment of cross section  $\chi = \int ds \,\omega(s) \sigma(e^+e^- \rightarrow X_{b\bar{s}})$ 

#### exclusive picture

► moment of cross section is sum of positive-definite terms

► involves squares of  $\overline{B}K$ ,  $\overline{B}K^*$  form factors  $\chi \sim \int dq^2 |F(q^2)|^2 + \text{pos. terms}$ 

#### inclusive picture

► moment of cross section can be computed "perturbatively"

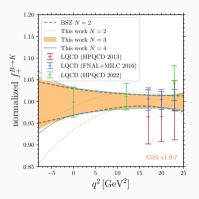
- ► focussing on one exclusive final state (e.g,  $\overline{B}K$ ), pertubartive results for  $\chi$  limits form factor parameter space
- using apt parametrization

$$F(q^2) = \frac{1}{\sqrt{\chi}\cdots}\sum_n a_n z(q^2)^n$$

the bound takes the form  $\sum_n |a_n|^2 < 1$ 

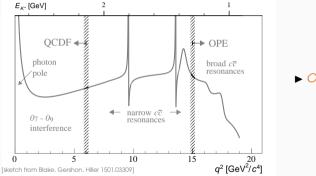
- global analysis finds good compatibility between LCSR and lattice QCD results
- dispersive bounds have been applied
  - reduce extrapolation error
  - turn hard-to-quantify systematic unc. into parametric unc.

- global analysis finds good compatibility between LCSR and lattice QCD results
- dispersive bounds have been applied
  - reduce extrapolation error
  - turn hard-to-quantify systematic unc. into parametric unc.
- commonly used BSZ parametrization surprisingly efficient
  - ► dispersive bound and BSZ very compatible for  $q^2 \ge 0$ , no need to swap params as of yet
  - ► theory will also require local form factors at  $q^2 < 0$ , where BSZ underestimates uncertainties



[Gubernari,Reboud,DvD,Virto '23]

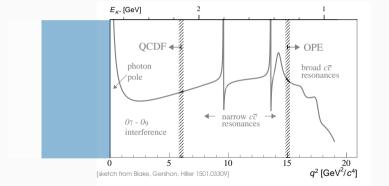




 $\blacktriangleright O_{1,2}^c \sim [\overline{s} \Gamma b] [\overline{c} \Gamma' c]$ 

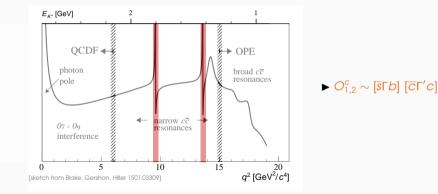
source of dominant systematic uncertainties in theoretical predictions! perturbative treatment does not reflect hadronic spectrum!





- leading contributions expressed through local form factors \$\mathcal{F}\_{\lambda}\$
- correction suppressed by  $1/(q^2 4m_c^2)$  can by systematically obtained

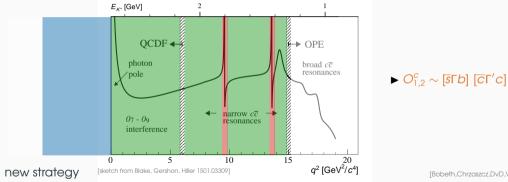




► for  $q^2 = M_{J/\psi}^2$  and  $q^2 = M_{\psi(2S)}^2$ , spectrum dominated by  $B \to K^*\psi(\to \mu^+\mu^-)$  decays

- experimental measurements provide additional information about  $\mathcal{H}_{\lambda}$ 





[Bobeth,Chrzaszcz,DvD,Virto '17]

- compute  $\mathcal{H}_{\lambda}$  at spacelike  $a^2$
- extrapolate to timelike  $q^2 < 4M_D^2$  using suitable parametrization
- include information from hadronic decays to narrow charmonia  $J/\psi$  and  $\psi(2S)$

## Tangent 4: QCD Factorization (QCDF)

- the literature frequently discusses "the QCDF" approach to the non-local form factors
   [Beneke,Feldmann,Seidel '01&'04]
  - more correctly labelled: 1-loop, perturbative approach to non-local form factors

# Tangent 4: QCD Factorization (QCDF)

- the literature frequently discusses "the QCDF" approach to the non-local form factors
   [Beneke,Feldmann,Seidel '01&'04]
  - ► more correctly labelled: 1-loop, perturbative approach to non-local form factors
- QCDF predicts ratios of local form factors, and ratios of some contributions to non-local form factors
  - QCDF is not predictive by itself, requires lattice QCD or light-cone sum rules as inputs
  - QCDF is not "dealing" with the charm loop contributions; it is agnostic of their treatment

# Tangent 4: QCD Factorization (QCDF)

- the literature frequently discusses "the QCDF" approach to the non-local form factors
   [Beneke,Feldmann,Seidel '01&'04]
  - ► more correctly labelled: 1-loop, perturbative approach to non-local form factors
- QCDF predicts ratios of local form factors, and ratios of some contributions to non-local form factors
  - QCDF is not predictive by itself, requires lattice QCD or light-cone sum rules as inputs
  - QCDF is not "dealing" with the charm loop contributions; it is agnostic of their treatment
- slightly more technical
  - QCDF is used to express exclusive form factors for small q<sup>2</sup> in terms of nonlocal B and K<sup>(\*)</sup> matrix elements (LCDAs)
  - ► this calculation encounters universal divergences ⇒ not predictive for an individual form factor
  - universal divergences cancel in ratios

Preparing  $b \rightarrow s\ell\ell$  predictions for the era of the High-Luminosity LHC

#### Reduce systematical theory uncertainties

 check previous computations of the nonlocal form factors at subleading power

[Gubernari,DvD,Virto '20]

- ► previous results incomplete, missing terms cancel known contributions
- subleading-power terms are negligible at spacelike  $q^2$
- improve the parametrization to control the extrapolation error

[Gubernari,DvD,Virto '20; Gubernari,Reboud,Virto '22; Gubernari,Reboud,Virto '23]

- ► use dispersively-bounded parametrization for both local and non-local form factors
- challenge implicit theory assumptions in the nonlocal form factors
  - determine WET Wilson coefficients of *sbcc* operators from data

[Kirk,McPartland,Reboud,DvD,Virto]





 $\checkmark$ 

ongoing

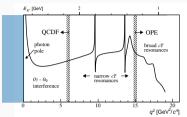
## Compute Light-Cone OPE

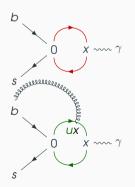
 $4m_c^2 - q^2 \gg \Lambda_{hadr.}^2$ 

▶ expansion in operators at light-like distances  $x^2 \simeq 0$ 

[Khodjamirian, Mannel, Pivovarov, Wang 2010]

► employing light-cone expansion of charm propagator [Balltsky, Braun 1989]





 $\int d^4x \, e^{i\mathbf{q}\cdot \mathbf{x}} \, \mathcal{T}\left\{J_{\text{em}}^{\mu}(\mathbf{x}), [C_1O_1^c + C_2O_2^c](\mathbf{0})\right\}$   $\xrightarrow{q^2 \ll 4m_c^2} \underbrace{\left(\frac{C_1}{3} + C_2\right)g(m_c^2, q^2)}_{\text{coeff #1}} \left[\overline{s} \, \Gamma \, b\right] + \cdots$ 

+ (coeff #2) × [ $\bar{s}_L \gamma^{\alpha} (in_+ \cdot D)^n \tilde{G}_{\beta \gamma} b_L$ ]

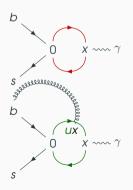
## Compute Light-Cone OPE

 $4m_c^2 - q^2 \gg \Lambda_{hadr.}^2$ 

▶ expansion in operators at light-like distances  $x^2 \simeq 0$ 

[Khodjamirian, Mannel, Pivovarov, Wang 2010]

► employing light-cone expansion of charm propagator [Balitsky, Braun 1989]



- $\Rightarrow \mathcal{H}_{\lambda} = \operatorname{coeff} \#1 \times \mathcal{F}_{\lambda} + \mathcal{H}_{\lambda}^{\operatorname{spect.}} + \operatorname{coeff} \#2 \times \tilde{\mathcal{V}}$
- ▶ leading part identical to QCD Fact. results

[Beneke, Feldmann, Seidel '01&'04]

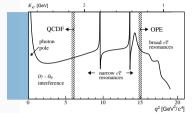
subleading coefficient computed previously

[Khodjamirian, Mannel, Pivovarov, Wang '10]

▶ we find full agreement, also cast result in convenient form

[Gubernari,Virto,DvD '20]

 $\blacktriangleright$  next step: determine "subleading form factor"  $\tilde{\mathcal{V}}$ 



## Compute Soft gluon matrix elements

 $B \rightarrow K$   $\tilde{\mathcal{A}}$  (+4.9 + 2.8).

Transition  $\tilde{\mathcal{V}}(q^2 = 1 \,\mathrm{GeV}^2)$ 

| GvDV2020                   | KMPW2010                            |
|----------------------------|-------------------------------------|
| $2 \pm 2.8) \cdot 10^{-7}$ | $(-1.3^{+1.0}_{-0.7})\cdot 10^{-4}$ |
|                            |                                     |

|                    |                         | ( , , , ,                                  | ( -0.//  |
|--------------------|-------------------------|--|--|
|                    | $\tilde{\mathcal{V}}_1$ | $(-4.4 \pm 3.6) \cdot 10^{-7}  \text{GeV}$ | (-1.5 <sup>+1.5</sup> <sub>-2.5</sub> ) · 10 <sup>-4</sup> GeV |
| $B  ightarrow K^*$ | $\tilde{\mathcal{V}}_2$ | $(+3.3\pm2.0)\cdot10^{-7}{ m GeV}$         | $(+7.3^{+14}_{-7.9}) \cdot 10^{-5}{ m GeV}$                    |
|                    | $\tilde{\mathcal{V}}_3$ | $(+1.1 \pm 1.0) \cdot 10^{-6}  \text{GeV}$ | $(+2.4^{+5.6}_{-2.7})\cdot 10^{-4}{ m GeV}$                    |
|                    | $\tilde{\mathcal{V}}_1$ | $(-4.4 \pm 5.6) \cdot 10^{-7}  \text{GeV}$ | _  |
| $B_s \to \phi$     | $\tilde{\mathcal{V}}_2$ | $(+4.3\pm3.1)\cdot10^{-7}{ m GeV}$         | —  |
|                    | $\tilde{\mathcal{V}}_3$ | $(+1.7\pm2.0)\cdot10^{-6}\text{GeV}$       | —  |

reduction by a factor of  $\sim 200$ 

- new structures in three-particle LCDAs account for factor 10 (due to cancellations!)
- updated inputs that enter the sum rules account for further factor 10
- ▶ similar relative uncertainties, but absolute uncertainties reduced by O(100)

- ongoing project at IPPP to compute leading non-local contributions for full BSM basis of *sbcc* operators
  - ► first step to full control of non-local form factors in the WET
  - $\checkmark$  one-loop calculation
  - w.i.p. two-loop calculation; working on reduction of master integrals
  - w.i.p. identifying observables that provide constraints on full basis (e.g.,  $\overline{B} \to KJ/\pi$  or *B* lifetime)
- ongoing project in Siegen to better classify non-local operators
  - of particular interest: contributions with hard-collinear gluon
  - relevant to "internal" charm loop

### Extrapolate Parametrisations

- Taylor expand  $\mathcal{H}_{\lambda}$  in  $q^2/M_B^2$  around 0
  - + simple to use in a fit
  - incomaptible with analyticity properties, does not reproduce resonances
  - expansion coefficients unbounded!

[Ciuichini et al. '15]

### Extrapolate Parametrisations

- Taylor expand  $\mathcal{H}_{\lambda}$  in  $q^2/M_B^2$  around 0
  - + simple to use in a fit
  - incomaptible with analyticity properties, does not reproduce resonances
  - expansion coefficients unbounded!
- use information from hadronic intermediate states in a dispersion relation [Khodjamirian et al. 10]  $\mathcal{H}_{\lambda}(q^2) - \mathcal{H}_{\lambda}(s_0) = \frac{q^2 - s_0}{\pi} \int ds \frac{\operatorname{Im} \mathcal{H}_{\lambda}(s)}{(s - s_0)(s - q^2)} + \dots$ 
  - + reproduces resonances
  - hadronic information above the threshold must be modelled
  - complicated to use in a fit, relies on theory input in single point  $s_0$

[Ciuichini et al. '15]

### Extrapolate Parametrisations

- Taylor expand  $\mathcal{H}_{\lambda}$  in  $q^2/M_B^2$  around 0
  - + simple to use in a fit
  - incomaptible with analyticity properties, does not reproduce resonances
  - expansion coefficients unbounded!
- use information from hadronic intermediate states in a dispersion relation [Khodjamirian et al. 10]  $\mathcal{H}_{\lambda}(q^2) - \mathcal{H}_{\lambda}(s_0) = \frac{q^2 - s_0}{\pi} \int ds \frac{\operatorname{Im} \mathcal{H}_{\lambda}(s)}{(s - s_0)(s - q^2)} + \dots$ 
  - + reproduces resonances
  - hadronic information above the threshold must be modelled
  - complicated to use in a fit, relies on theory input in single point  $s_0$
- expand the matrix elements in variable  $z(q^2)$  that develops branch cut at  $q^2 = 4M_D^2$

- + resonances can be included through explicit poles (Blaschke factors)
- + easy to use in a fit
- + compatible with analyticitiy properties
- expansion coefficients unbounded!

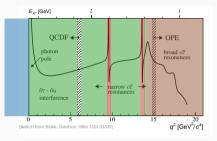
[Ciuichini et al. '15]

<sup>[</sup>Bobeth,Chrzaszcz,DvD,Virto '17]

## Extrapolate Parametrisation of the Non-Local Form Factors 20/31

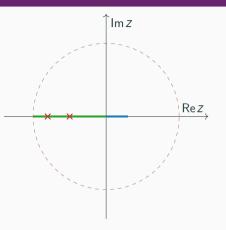
► map  $q^2$  to new variable *z* that develops branch cut at  $q^2 = 4M_D^2$  (Bobeth/Chr

[Bobeth/Chrzaszcz/DvD/Virto '17]



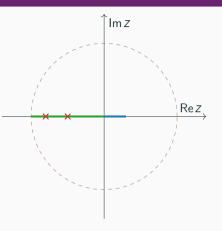
### Extrapolate Parametrisation of the Non-Local Form Factors 20/31

- ► map  $q^2$  to new variable *z* that develops branch cut at  $q^2 = 4M_D^2$  [Bobeth/Chrzaszcz/DvD/Virto '17]
  - branch cut is mapped onto unit circle in z
  - real-valued  $q^2 \le 4M_D^2$  is mapped to real-valued z
  - data and theory live insides the unit circle



## Extrapolate Parametrisation of the Non-Local Form Factors 20/31

- ► map  $q^2$  to new variable *z* that develops branch cut at  $q^2 = 4M_D^2$  [Bobeth/Chrzaszcz/DvD/Virto '17]
  - branch cut is mapped onto unit circle in z
  - real-valued  $q^2 \leq 4M_D^2$  is mapped to real-valued z
  - data and theory live insides the unit circle
- $\blacktriangleright$  expand in z
  - + resonances  $J/\psi$ ,  $\psi(2S)$  can be included (via explicit poles/Blaschke factors)
  - + easy to use in a fit to theory and data
  - + compatible with analyticity
  - expansion coefficients unbounded!



#### Extrapolate New Parametrisation w/ Dispersive Bound

matrix elements  $\mathcal{H}^{(\lambda)}$  arise from nonlocal operator

[Gubernari,DvD,Virto '20]

21/31

$$\mathcal{H}^{\mu} \sim \langle \mathcal{K} | \mathcal{O}^{\mu}(\mathbf{Q}; x) | B \rangle \qquad \mathcal{O}^{\mu}(\mathbf{Q}; x) \sim \int \mathcal{Q}^{4} y \, e^{i\mathbf{Q} \cdot y} \, T\{J^{\mu}_{\mathsf{em}}(x+y), [C_{1}O_{1}+C_{2}O_{2}](x)\}$$

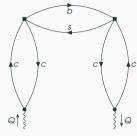
construct four-point operator to derive a dispersive bound

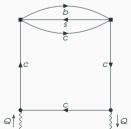
► define matrix element of "square" (i.e., hermitian) operator

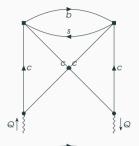
$$\int d^4x \, e^{i \mathbf{Q} \cdot x} \, \langle 0 | \, T\{ \mathcal{O}^{\mu}(\mathbf{Q}; x) \mathcal{O}^{\dagger, \nu}(\mathbf{Q}; 0) \} \, | 0 \rangle \equiv \left[ \frac{\mathcal{Q}^{\mu} \mathcal{Q}^{\nu}}{\mathcal{Q}^2} - g^{\mu \nu} \right] \Pi(\mathbf{Q}^2)$$

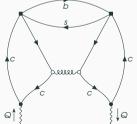
- Π(Q<sup>2</sup>) has two types of discontinuities
  - ▶ from intermediate unflavoured states (*cc*, *cccc*, ...)
  - ▶ from intermediate <u>bs</u>-flavoured states (<u>bs</u>, <u>bsg</u>, <u>bscc</u>, ...)

# Extrapolate Cuts of **Π**



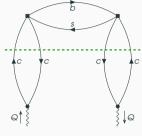


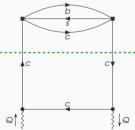


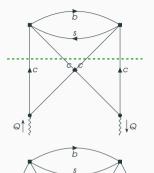


# Extrapolate Cuts of $\Pi$









Sume

c

C

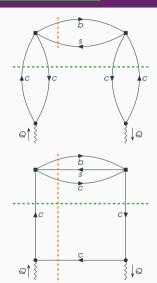
Q

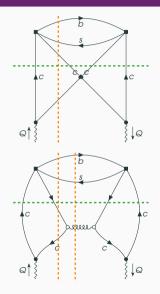
AC.

Q

• unflavoured states ( $c\overline{c}, c\overline{c}c\overline{c}, \ldots$ )

## Extrapolate Cuts of **Π**

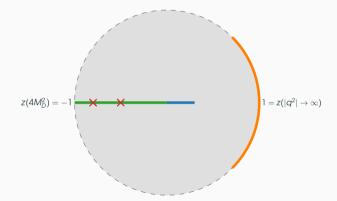




- unflavoured states ( $c\overline{c}, c\overline{c}c\overline{c}, \ldots$ )
- bs-flavoured states (bs, bsg, bscc, ...)

## Extrapolate Lay of the Land





light-cone OPE SL phase space  $J/\psi,\psi(2S)$  $\overline{sb}$  cut

#### Extrapolate Dispersion relation for $\Pi$

dispersive representation of the  $b\overline{s}$  contribution to a derivative of  $\Pi$ 

$$\chi(Q^2) \equiv \frac{1}{2!} \left[ \frac{d}{dQ^2} \right]^2 \frac{1}{2i\pi} \int_{(m_b+m_s)^2}^{\infty} ds \; \frac{\text{Disc}_{b\bar{s}} \, \Pi(s)}{s-Q^2} > 0 \qquad \text{if } Q^2 < 0$$

► Disc<sub>bs</sub>  $\Pi$  can be computed in the local OPE  $\rightarrow \chi^{OPE}(Q^2)$ 

- Disc<sub>bs</sub>  $\Pi$  can be expressed in terms of the nonlocal form factors  $|\mathcal{H}_{\lambda}|^2$  $\rightarrow \chi^{had}(Q^2)$
- ► global quark hadron duality suggests that  $\chi^{\text{OPE}}(Q^2) = \chi^{\text{had}}(Q^2)$
- ► parametrize  $\mathcal{H}_{\lambda} \propto \sum_{n} \boldsymbol{a}_{\lambda,n} f_{n}$  with orthonormal functions  $f_{n}$ ⇒ dispersive bound:  $\chi^{\text{OPE}} \ge \sum |\boldsymbol{a}_{\lambda,n}|^{2}$
- first application of such a bound to nonlocal form factors
- technically more challenging than for local form factors

## Extrapolate New parametrisation w/ dispersive bounds 25/31

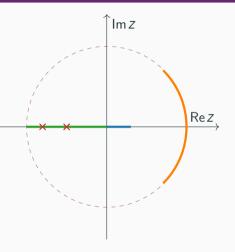
- $\blacktriangleright$  expand in z
  - $f_n(z)$  orthogonal on arc
  - + accounting for behaviour on arc produces dispersive bound on each parameter

[Gubernari/DvD/Virto '20]

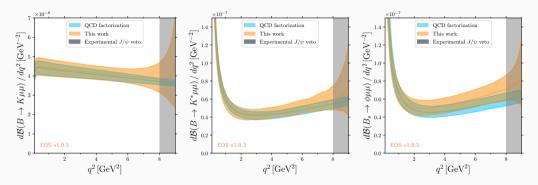
- ► turns (so far!) hardly quantifiable systematic theory uncertainties into parametric uncertainties
- ► implemented in



- open source software at github.com/eos/eos
- Python 3 interface, available via *pip* as *eoshep*

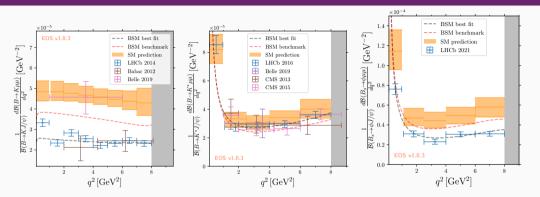


#### SM Predictions: Comparing to Previous Works



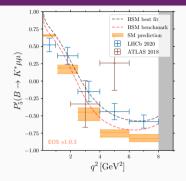
- ► predictions mutually compatible; slight change to the slope in  $B_s \rightarrow \phi$  due to local FFs
- our uncertainties larger, but systematically improvable

#### SM Predictions: Challenging Data



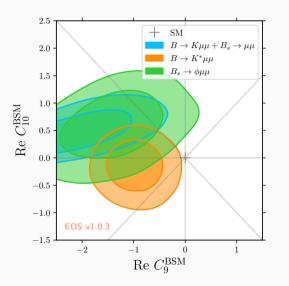
▶ substantial tensions in  $\mathcal{B}(B \to K\mu^+\mu^-)$  and  $\mathcal{B}(B_s \to \phi\mu^+\mu^-)$ , lower in  $\mathcal{B}(B \to K^*\mu^+\mu^-)$ 

#### SM Predictions: Challenging Data



- ▶ substantial tensions in  $\mathcal{B}(B \to K\mu^+\mu^-)$  and  $\mathcal{B}(B_s \to \phi\mu^+\mu^-)$ , lower in  $\mathcal{B}(B \to K^*\mu^+\mu^-)$
- ▶ tension in angular distribution in  $B \rightarrow K^* \mu^+ \mu^-$  remains

#### Updated BSM Interpretation



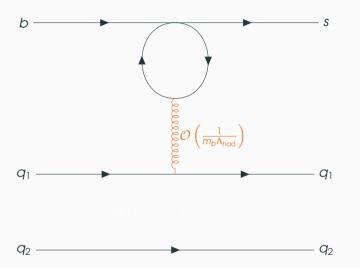
- no global fit yet
  - large # of nuisance params makes global fit difficult
  - instead, three individual fits
  - mutually compatible results!
  - compatible with previous analyses
- fits use all available data, incl. angular obs.
- ▶ substantial tensions in  $B \to K$  and  $B_s \to \phi$ , slightly lower in  $B \to K^*$

## $\Lambda_b ightarrow \Lambda \mu^+ \mu^{-1}$

- $\Lambda_b \rightarrow \Lambda \mu^+ \mu^-$  provides complementary constraints on Wilson coefficients  $C_9$  vs  $C_{9'}$  vs  $C_{10}$  vs  $C_{10'}$ , etc.
- however, theory is not as well developed

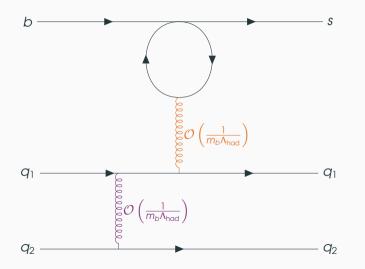
|                              | $\overline{B}  ightarrow \overline{K} \mu^+ \mu^-$ | $\Lambda_{ m b}  ightarrow \Lambda \mu^+ \mu^-$ |
|------------------------------|--|---|
| local FFs from lattice QCD   | $\checkmark$                                       | $\checkmark$                                    |
| local FFs: dispersive bounds | $\checkmark$                                       | $\checkmark$ (recently)                         |
| QCDF for non-local FFs       | $\checkmark$                                       | ×   |
| soft-gluon correction        | $\checkmark$                                       | ×   |

#### QCDF for Baryons?



- hard collinear spectator scattering
- Ist scattering does not suffice!

#### QCDF for Baryons?



- hard collinear spectator scattering
- Ist scattering does not suffice!
- 2nd scattering alignment?
- ► 2nd scattering LCDA?

Summary

#### Summary and Outlook

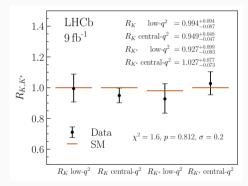
- ► phenomenology of rare *B* decays is a complicated business
  - WET under good control
  - local form factors see revitalized interest from lattice QCD
  - non-local form factors now under reasonable theory control
- ► new approach to (B)SM predictions corroborates earlier results qualitatively
  - ► larger uncertainties reduce significance of the anomalies somewhat
  - uncertainties very conservative and systematically improvable
- ► still: a lot to do for phenomenologists, amongst others:
  - performing a truly global fit in the new approach
  - extending analysis to  $\Lambda_{\mathcal{D}} \to \Lambda$  transitions

## **Backup Slides**

## The elephant in the room



#### Joint LHCb measurement of $R_{\mathcal{K}}$ and $R_{\mathcal{K}^*}$



<sup>[</sup>LHCb 2212.09153]

- ► lepton-flavour-nonuniversality in  $b \rightarrow s\ell^+\ell^-$  is gone!
  - not the longest standing anomaly by far!
  - not the only one, either!
- ► I prefer to think of it as a precision measurement of  $\mathcal{B}(B \to K^{(*)}e^+e^-)$ 
  - gives rise to a new anomaly
  - ►  $\mathcal{B}(B \to Ke^+e^-)$  deviates from SM prediction by roughly the same amount as  $\mathcal{B}(B \to K\mu^+\mu^-)!$