

# Progress in lattice QCD in studying CPV and mixing in charm

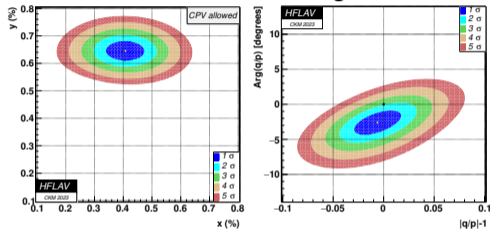
**Felix Erben**

Implications of LHCb measurements, CERN

23 October 2024



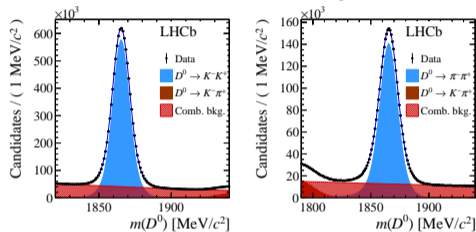
## $D^0 - \bar{D}^0$ mixing



[HFLAV, PRD 23]

- no-mixing point  $x = y = 0$  excluded at  $> 11.5\sigma$
- no evidence for indirect CP violation  
 $|q/p| \neq 1$  or  $\phi = \arg(q/p) \neq 0$

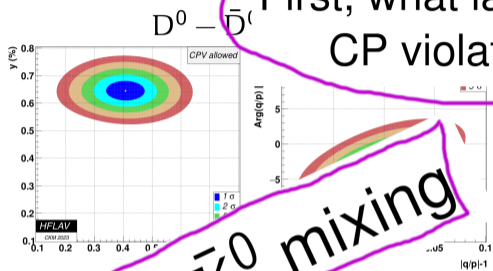
## hadronic D decays



[LHCb, PRL 19]

- $\Delta A_{\text{CP}} = A_{\text{CP}}(K^+K^-) - A_{\text{CP}}(\pi^+\pi^-) = (-15.4 \pm 2.9) \times 10^{-4}$

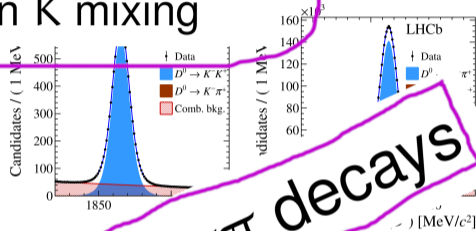
First, what lattice can do today:  
CP violation in K mixing



$K^0 - \bar{K}^0$  mixing

- $\text{CPV} \neq 0$  excluded at  $\alpha = \beta = 0$
- no evidence for indirect CP violation  
 $|q/p| \neq 1$  or  $\phi = \arg(q/p) \neq 0$

decays



$K \rightarrow \pi\pi$  decays

- $A_{CP}(K^+K^-) - A_{CP}(\pi^+\pi^-) = (-15.4 \pm 2.9) \times 10^{-4}$

# Neutral Meson Mixing

# K MESON MIXING

Warm-up exercise, CP violation in K mixing

$$|K_L\rangle \approx \frac{1}{\sqrt{2}} (|K^0\rangle + |\bar{K}^0\rangle)$$

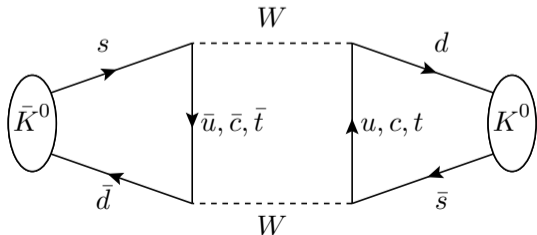
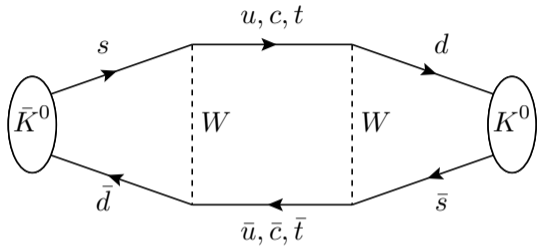
$$|K_S\rangle \approx \frac{1}{\sqrt{2}} (|K^0\rangle - |\bar{K}^0\rangle)$$

with a long-lived  $|K_L\rangle$  and a short-lived  $|K_S\rangle$ .  
Indirect CP violation parameter  $\epsilon_K$  can be parameterized by mass and widths splittings

$$\Delta M_K = M_{K_L} - M_{K_S}, \quad \Delta\Gamma_K = \Gamma_{K_S} - \Gamma_{K_L}$$

$$\phi_\epsilon = \frac{\Delta M_K}{\Delta\Gamma_K/2}$$

$$\epsilon_K = e^{i\phi_\epsilon} \sin(\phi_\epsilon) \left( \frac{-\text{Im}M_{\bar{0}0}}{\Delta M_K} + \frac{\text{Re}A_0}{\text{Im}A_0} \right)$$



$$\epsilon_K = e^{i\phi_\epsilon} \sin(\phi_\epsilon) \left( \frac{-\text{Im}M_{12}}{\Delta M_K} + \frac{\text{Re}A_0}{\text{Im}A_0} \right)$$

$A_0$  is the  $K \rightarrow (\pi\pi)_{I=0}$  decay amplitude

$M_{12}$  splits into

$$\begin{aligned} M_{12} &= \langle K^0 | \mathcal{H}_W^{\text{eff}} | \bar{K}^0 \rangle = \langle K^0 | \mathcal{H}_W^{\text{eff}} | \bar{K}^0 \rangle_{\text{SD}} + \langle K^0 | \mathcal{H}_W^{\text{eff}} | \bar{K}^0 \rangle_{\text{LD}} \\ &= \langle K^0 | \mathcal{H}_W^{\Delta S=2} | \bar{K}^0 \rangle + \sum_n \frac{\langle K^0 | \mathcal{H}_W^{\Delta S=1} | n \rangle \langle n | \mathcal{H}_W^{\Delta S=1} | \bar{K}^0 \rangle}{M_K - E_n} \end{aligned}$$

On the lattice, we can compute both:

- $\langle K^0 | \mathcal{H}_W^{\text{eff}} | \bar{K}^0 \rangle_{\text{SD}}$  [Kaon mixing beyond the standard model with physical masses; FE et al., PRD 24]
- $\langle K^0 | \mathcal{H}_W^{\text{eff}} | \bar{K}^0 \rangle_{\text{LD}}$  [Long-distance contribution to  $\epsilon_K$  from lattice QCD; Bai et al., PRD 24]

extracting the  $K - \bar{K}$  mixing amplitude from finite-volume correlators [Christ et al., PRD 13]

- closest Euclidean correlation function: integrated 4pt correlator  
$$\int dt_1 dt_2 \langle 0 | T [\bar{K}^0(t_f) H_W(t_2) H_W(t_1) \bar{K}^0(t_i)] | 0 \rangle$$
- on-shell intermediate states  $|n\rangle\langle n|$  between  $H_W$  complicate calculation:

## growing exponentials

- FV states  $E_n$  with mass  $M_n < M_K$  lead to unphysical growing exponentials
- these must be removed explicitly and then added back in later

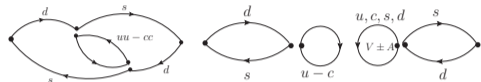
## finite-volume effects

- consequently, FV estimator has poles at removed energies
- power-like volume effects are understood and described by  $K \rightarrow \pi\pi$  and  $\pi\pi \rightarrow \pi\pi$  scattering amplitudes

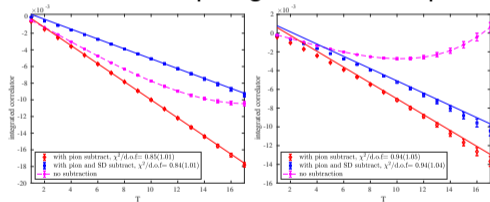
⇒ Precise knowledge of **excited-state spectrum** needed to extract long-distance amplitude from Euclidean finite-volume correlators

# EXPLORATORY CALCULATION [LONG-DISTANCE CONTRIBUTION TO $\epsilon_K$ FROM LATTICE QCD; BAI ET AL., PRD 24]

- RBC/UKQCD Domain-Wall Fermion ensembles
- one coarse lattice spacing  $a^{-1} = 1.78$  GeV
- 2 pion masses 339 MeV and 592 MeV
- non-perturbative renormalization
- result:  $\epsilon_K^{LD} = 0.195(77)e^{i\phi_\epsilon} \times 10^{-3}$
- comparison:  $\epsilon_K^{SD} = 1.360(154)e^{i\phi_\epsilon} \times 10^{-3}$
- smaller than experimental value:  
 $|\epsilon_K| = 2.228(11) \times 10^{-3}$
- discrepancy not understood, but  $|V_{cb}|$  contributes to  $\epsilon_K$  determination, present uncertainty in incl. vs excl.



a selection of topologies to be computed



integrated 4pt-correlator, with subtractions

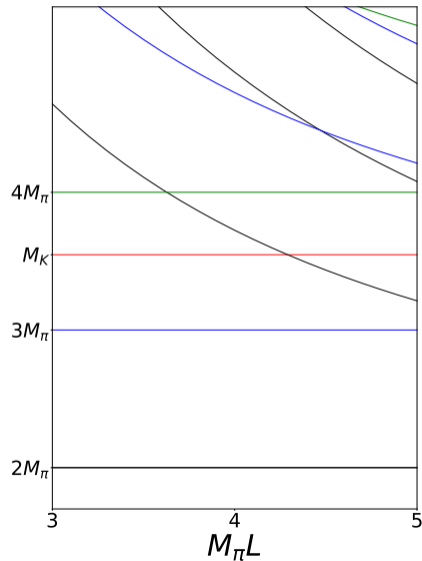
Calculation at physical pion mass underway, **progress report at this year's lattice conference** [Yikai Huo, Lattice 24]



# K – $\bar{K}$ MIXING

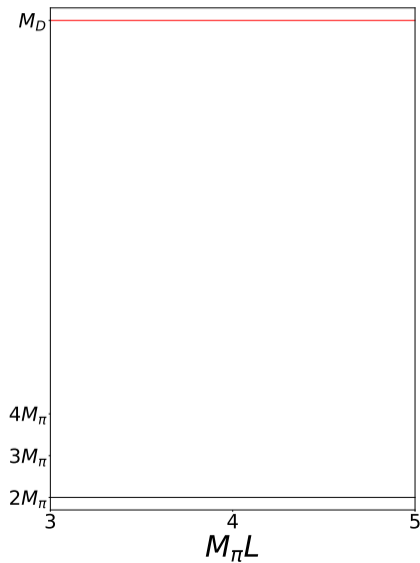
- Kaon decay spectrum on lattices  $M_\pi L \sim 4$
- removal of 2 – 3 states  $\rightarrow$  conceptually clear
- 3-pion state kinematically suppressed, not removed in RBC/UKQCD work
- formalism for explicit removal known

[Jackura, Briceño, Hansen; PoS Lattice22]



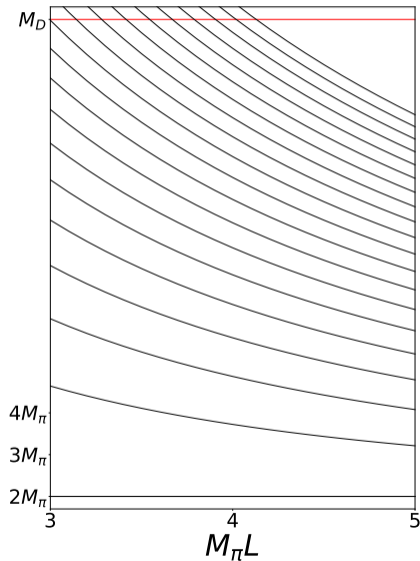
# D – $\bar{D}$ MIXING

- D-meson decay spectrum on lattices  $M_\pi L \sim 4$



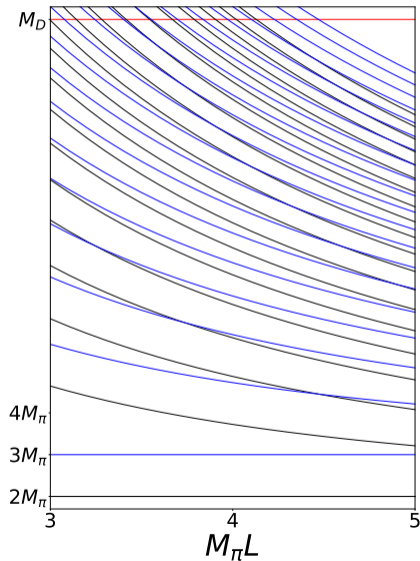
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- D-meson decay spectrum on lattices  $M_\pi L \sim 4$
- 17 interacting states below  $M_D$  at  $M_\pi L \sim 4$



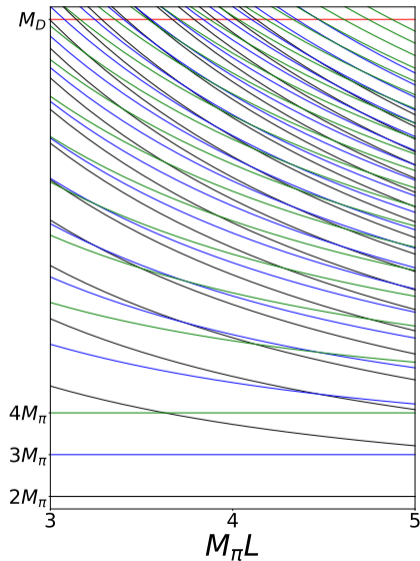
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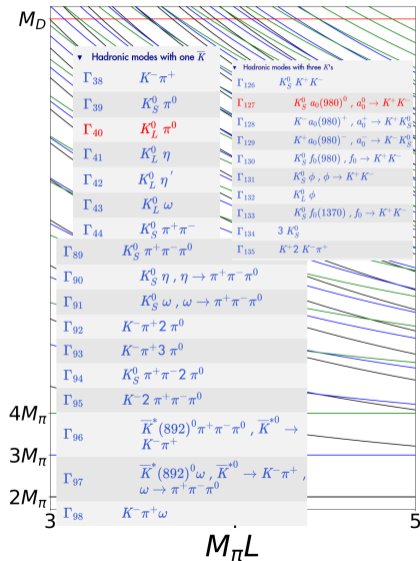
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- $4\pi$  states  $\rightarrow$  no formalism yet
- $K\pi, K3\pi, 3K, 6\pi, \dots \rightarrow$  🤔

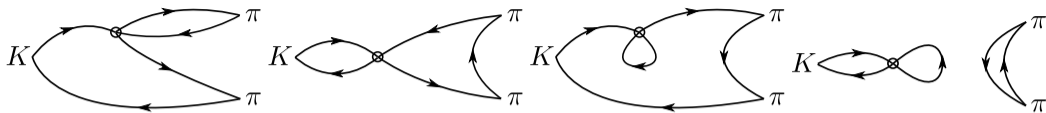


- no hope to extract full D-meson decay spectrum from lattice QCD with current formalisms and techniques
- exciting recent developments in **spectral-function methods** in lattice QCD
  - $O(3)$  non-linear  $\sigma$  model [Bulava et al.; JHEP 22]
  - R-Ratio [Alexandrou et al.; PRL 23], can help constrain  $(g - 2)_\mu^{\text{HVP}}$
  - inclusive decays [Hansen et al.; PRD 17] [Gambino, Hashimoto; PRL 21] [Barone, Lattice@CERN 24] [De Santis, Lattice24] [Groß, Lattice24] [Kellermann, Lattice24]
- **similar methods would apply here**, but formalisms do not exist as of yet
- a whole week was dedicated to these problems at our Lattice Theory Institute this year [Lattice@CERN 24, week 1]

# Hadronic Decays



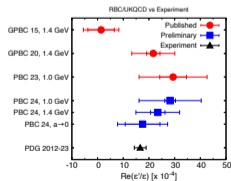
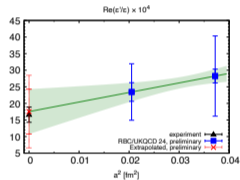
# RBC/UKQCD $K \rightarrow \pi\pi$ [ABBOTT ET AL.; PRD 20]



- One project where DWF at  $M_\pi^{\text{phys}}$  led to success:  $K \rightarrow \pi\pi$
- Domain-Wall Fermions lead to **clean 4-quark-operator renormalization**
- long-standing puzzle  $\text{Re}(A_0)/\text{Re}(A_2) = 22.45$  - factor 10 larger than perturbatively
- cancellation at  $M_\pi^{\text{phys}}$  between Wick contractions of  $\text{Re}(A_2)$ , **very sensitive to  $m_l$**

$$\Rightarrow \text{Re}(A_0)/\text{Re}(A_2) = 19.9(2.3)(4.4)$$

- Progress on direct Kaon CPV parameter  $\epsilon'$ 
  - second lattice spacing added to existing approach (G-parity boundary) [Kelly; Lattice24]
  - Periodic boundary conditions, excited state from variational techniques [Tomii; Lattice24]



# TOWARDS HADRONIC D DECAYS ON THE LATTICE

- first exploratory calculation:  $D \rightarrow K\pi$  at  $SU(3)_F$  symmetric point

[F Joswig, FE, MT Hansen, N Lachini, A Portelli; PoS Lattice22] [MT Hansen, Lattice23]

- Need to compute the  $D \rightarrow K\pi$  amplitude:

$$A = C^{LL} Z^{\overline{MS}} \langle n, L | \mathcal{H}_W | D, L \rangle$$

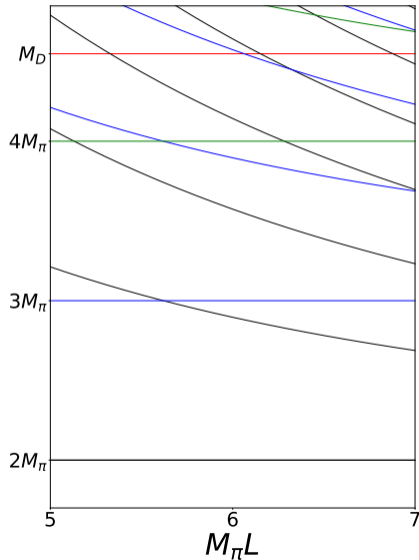
which includes the challenges:

- Lellouch-Lüscher factor  $C^{LL}$
- Renormalization  $Z^{\overline{MS}}$
- Finite-volume state  $\langle n, L |$
- 3-point matrix element  $\langle n, L | \mathcal{H}_W | D, L \rangle$

# FINITE-VOLUME SPECTRUM AT $SU(3)_F$ SYMMETRIC POINT

	$T \times L^3$	$a[\text{fm}]$	$M_\pi L$
a12m400	$96 \times 24^3$	0.12	5.988(28)
a094m400	$96 \times 32^3$	0.094	6.201(19)
a064m400	$96 \times 48^3$	0.064	6.383(14)

- ensembles by the OpenLat initiative [OpenLat]
- D-meson decay spectrum at  $SU(3)_F$  symmetric point,  $M_\pi = 410$  MeV
- even around  $M_\pi L \sim 6$  spectrum seems relatively manageable
- $M_K = M_\pi \Rightarrow$  no further states present
- $4M_\pi \sim M_D \Rightarrow$  Lellouch-Lüscher factor  $C^{LL}$  is known [Hansen, Sharpe; PRD 12]
  - simple form for  $2\pi$  spectrum factor
  - including heavily suppressed  $3\pi$  contributions is conceptually possible



## Renormalization:

- working on non-perturbative renormalization [Martinelli et al., 1995]
- fix renormalization conditions via tree-level matrix elements

$$Z_\Gamma \langle p | O_\Gamma | p \rangle \Big|_{p^2 = -\mu^2} = \langle p | O_\Gamma | p \rangle \Big|_{\text{tree}}$$

- four distinct flavours in  $D \rightarrow K\pi$  avoid power-divergent mixing

$$Q = (\bar{d}u)_{V-A} (\bar{c}s)_{V-A}$$

## finite-volume state:

Distillation technique allows large basis of two-hadron operators

$$K(\mathbf{p}_1)\pi(\mathbf{p}'_1) = |K\pi\rangle_1$$

...

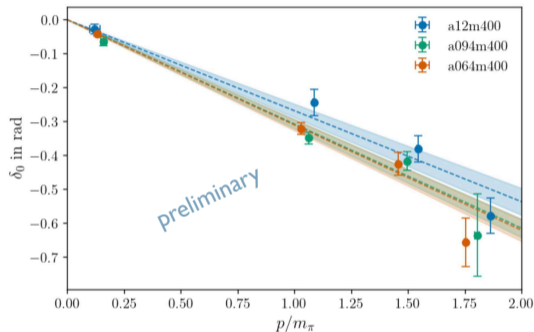
$$K(\mathbf{p}_m)\pi(\mathbf{p}'_m) = |K\pi\rangle_m$$

⇒ Generalized Eigenvalue Problem technique allows spectrum extraction

$$|n, L\rangle = v_1^n |K\pi\rangle_1 + \dots + v_m^n |K\pi\rangle_m$$

# STATUS OF THE CALCULATION

- S-wave phase shift  $\delta_0$  consistent over all ensembles
- $SU(3)_F$  symmetric point renders otherwise inachievable computation possible
- code & strategy for most complex contractions have been finalized

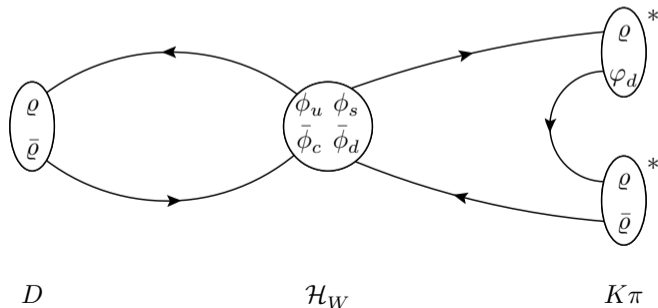


rest-frame phase shift  $\delta_0$  on the 3 ensembles

# 3-POINT MATRIX ELEMENTS

## 3-point matrix element:

- Incorporating the local 4-quark operator for  $\mathcal{H}_W$  into the distillation framework is challenging but straightforward
  - The four distinct flavours in  $Q = (\bar{d}u)_{V-A}(\bar{c}s)_{V-A}$  significantly simplifies the challenge
  - The code for computing  $D \rightarrow K\pi$  matrix elements has been developed already
- ⇒ Reduced number of Wick-contractions



## D – $\bar{D}$ mixing

- formalism and computations exist for  $K - \bar{K}$  mixing
  - full excited-state spectrum inachievable for D case
  - spectral reconstruction methods would be a more feasible approach
- ⇒ fast progress is made, but no formalism exists as of yet

## hadronic D decays

- formalism and computations exist for  $K \rightarrow \pi\pi$
- full excited-state spectrum also inachievable
- exploratory calculation studies  $D \rightarrow K\pi$  decays at  $SU(3)_F$  symmetric point
- symmetries and high pion masses render computation achievable



This project has received funding from the European Union's Horizon Europe research and innovation programme under the Marie Skłodowska-Curie grant agreement No. 101106913.