

High Efficiency Klystrons



# High-efficiency klystrons from a dream to a reality

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N. Catalan-Lasheras, I. Syrathev, C. Marrelli, Z. Un Nisa, P. Alonso Arias, O. Bruner (CERN); A. Baig, G. Burt (ULAN); J. Cai (UESTC); T. Anno (CETD); A. Beunas, K. Haj Khelifa (Thales).

## Introduction

- The klystron tube
- State of the art
- Bunching techniques and efficiency limitations

## The HE program at CERN

- KlyC development
- Aims and objectives

## Latest developments

- X-band medium power
- CW UHF klystron for LHC
- Two stage klystron for FCC

## General system efficiency

- Solenoids

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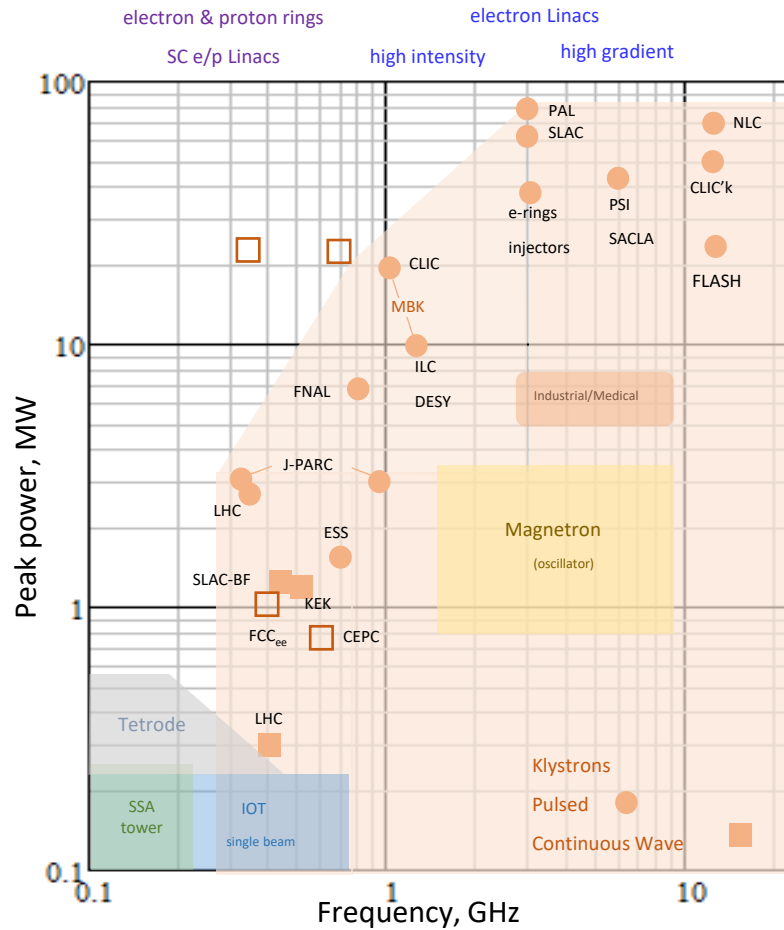
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# Klystrons for accelerators



Electro vacuum devices for science



**THALES**  
**Canon**

USA

France

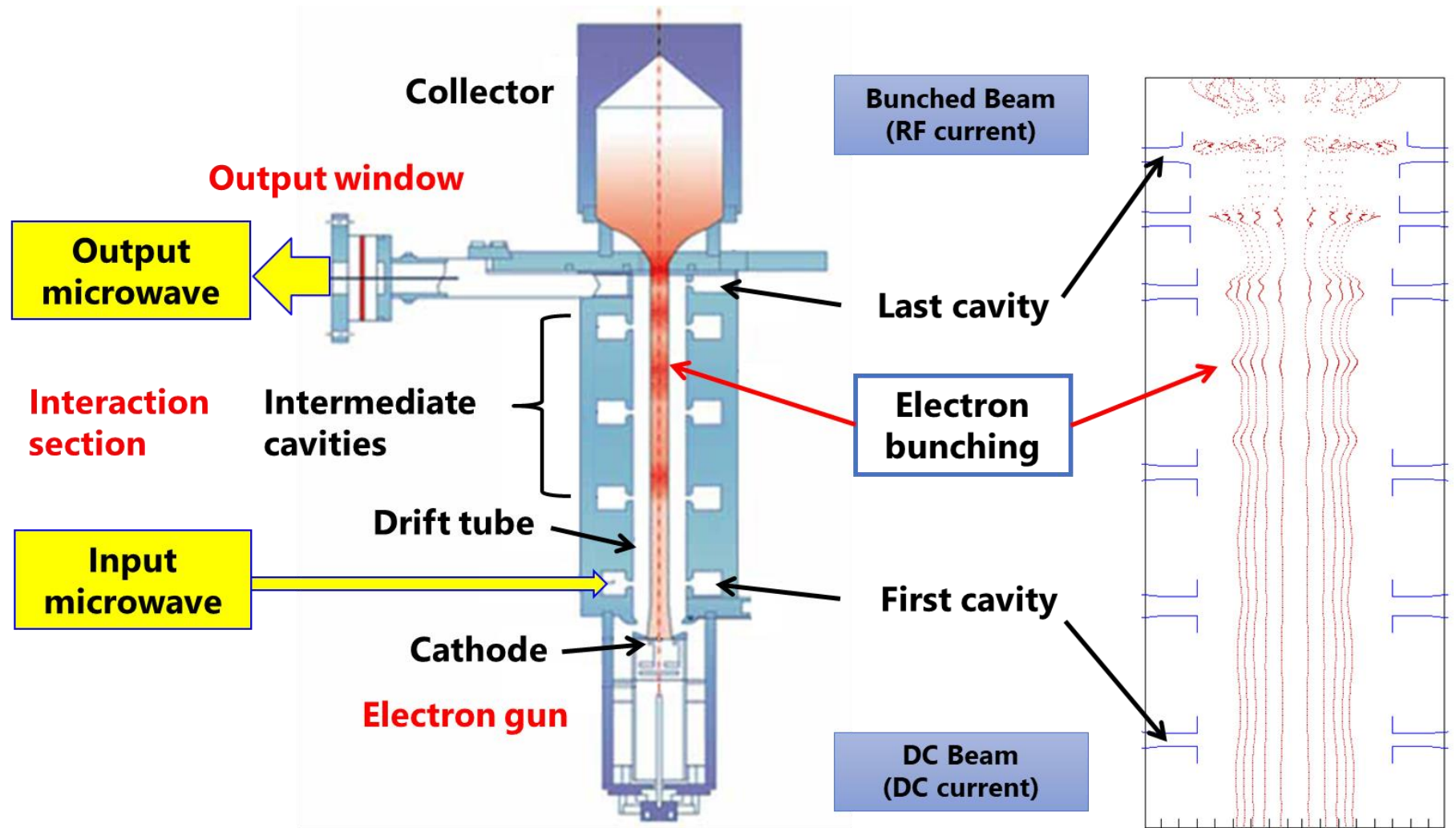
Japan

0.7 GHz, 1.5MW/ESS    1.3 GHz, 10MW/DESY    3 GHz, 60MW/SLAC    6 GHz, 50MW/PSI    12 GHz, 50MW/SLAC-CLIC





Russell and Sigurd Varian.  
1937



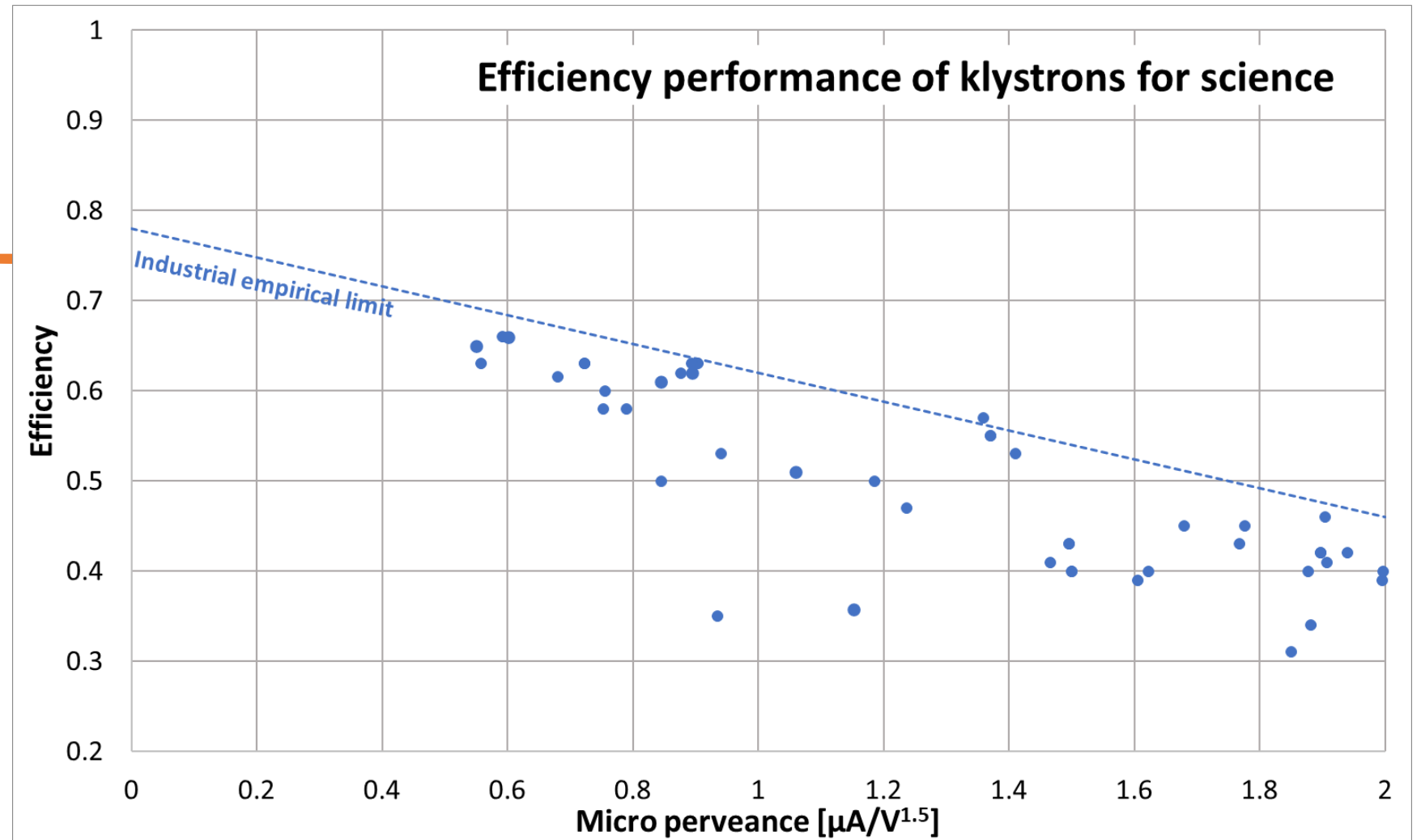
Courtesy of CETD

# The klystron

# Available klystrons for science

- $P = \frac{I}{3\sqrt{V^2}}$

- $\eta = \frac{P_{RFout}}{P_{Beam}}$

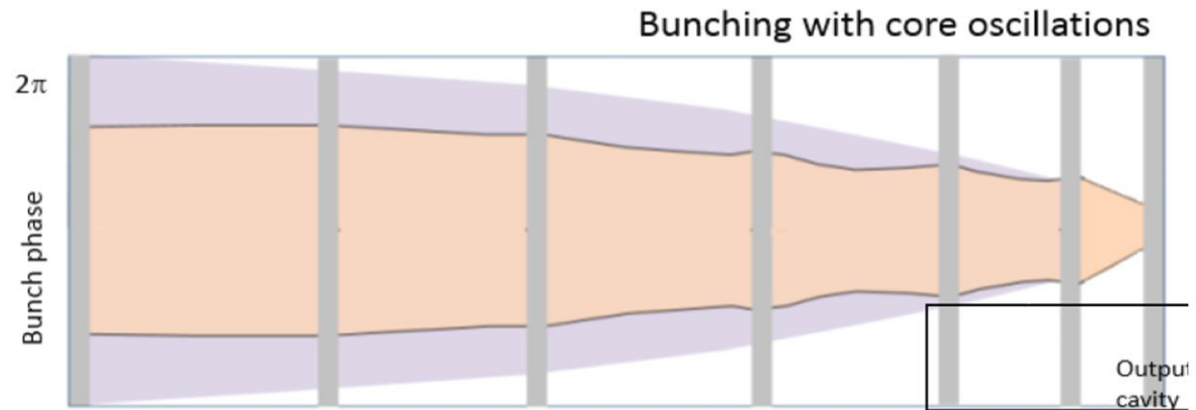
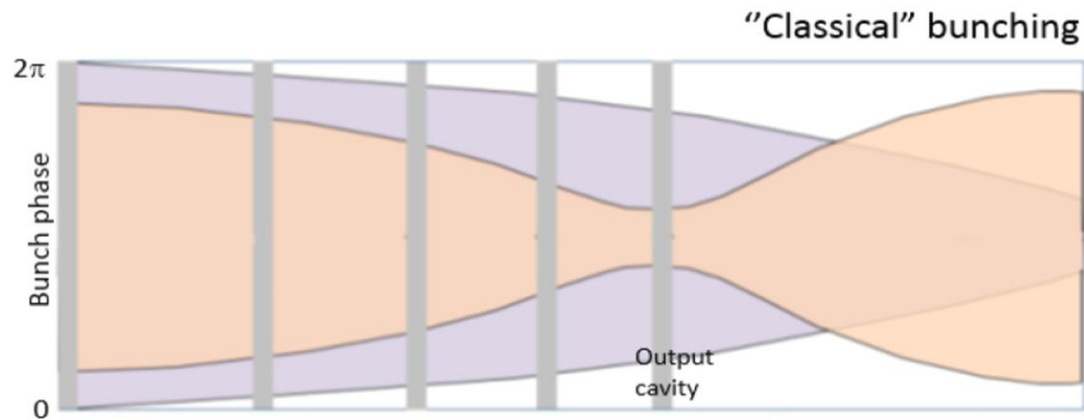


# Bunching strategies and limiting factors

COM Core oscillation method

BAC Bunch Align Collect

CSM Core Stabilization method



E field expansion in the drift tubes causes beam reacceleration when it leaves the output cavity.

Ohmic losses are proportional to the operating frequency.

Space charge depression is a partial conversion of the beam kinetic energy into the potential DC energy of beam traveling in the drift tube.

Radial bunch expansion happens during beam deceleration in the output cavity in the presence of external solenoidal magnetic field.

Bunch saturation is optimal, when all the electrons populate only the useful RF phase bucket leaving the anti-bunch empty.

Bunch congregation is a normalized electrons velocity spread along the bunch. It has an optimal value for every given bunch length.

Bunch stratification is a radial dependence of the bunch length and congregation. The ideal bunch should not have such a dependency.

Reflected electrons could be generated if some of the above effects are not balanced.

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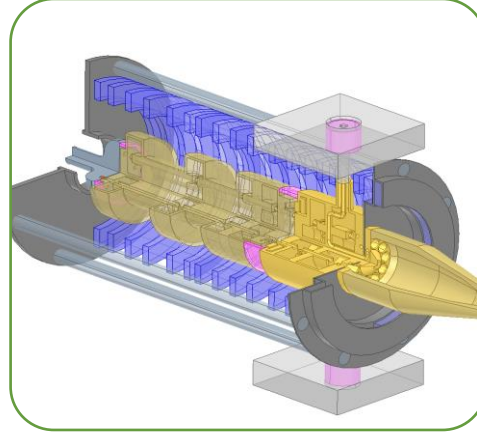
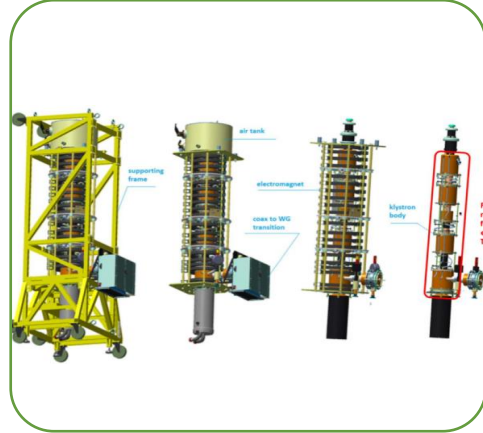
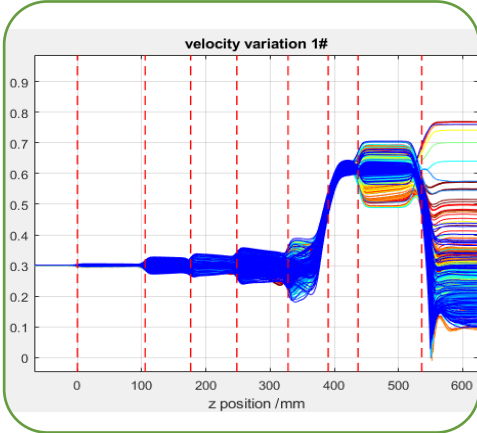
# The HE program at CERN

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- **High Efficiency klystrons** activity was initiated at CERN in 2014. In 2021 it was transformed into a CERN's **project**.
- Project leader: Igor Syratchev
- Project team @CERN: Zaib Un Nisa, Nuria Catalan Lasheras and Chiara Marrelli
- Project team @ Lancaster: Graem Burt, (Anisullah Baig), Lee Millar
- **Objectives:** Development, design, fabrication and testing of new HE klystrons for various accelerators projects in **collaboration with industry**.

High Efficiency Klystrons





### Task 1: Design & simulations

Maintenance and distribution of the CERN made klystron code KlyC.

High level expertise in using commercial tools like CST PIC., HFSS etc.

### Task 2: HE LHC 400 MHz klystron

- Retrofit upgrade of Thales klystron (60% to 70%) in close collaboration with industry.
- A base line option for HL-LHC.

### Task 3: Novel two-stage klystron technology with 80%+ RF production efficiency

- Design, fabrication and testing of the 400 MHz 1MW CW klystron for FCC in collaboration with industry.
- Promote this new technology towards CLIC, ILC and Muon\_C.

### Task 4: HE X-band klystrons in the power range 10-50MW

- Strong Collaboration with industry (Canon, CPI and Thales).
- Important for multiple projects (CompactLight, DEFT, EUPRAXIA etc.).
- Great show case for CERN's technology and contribution to worldwide society.

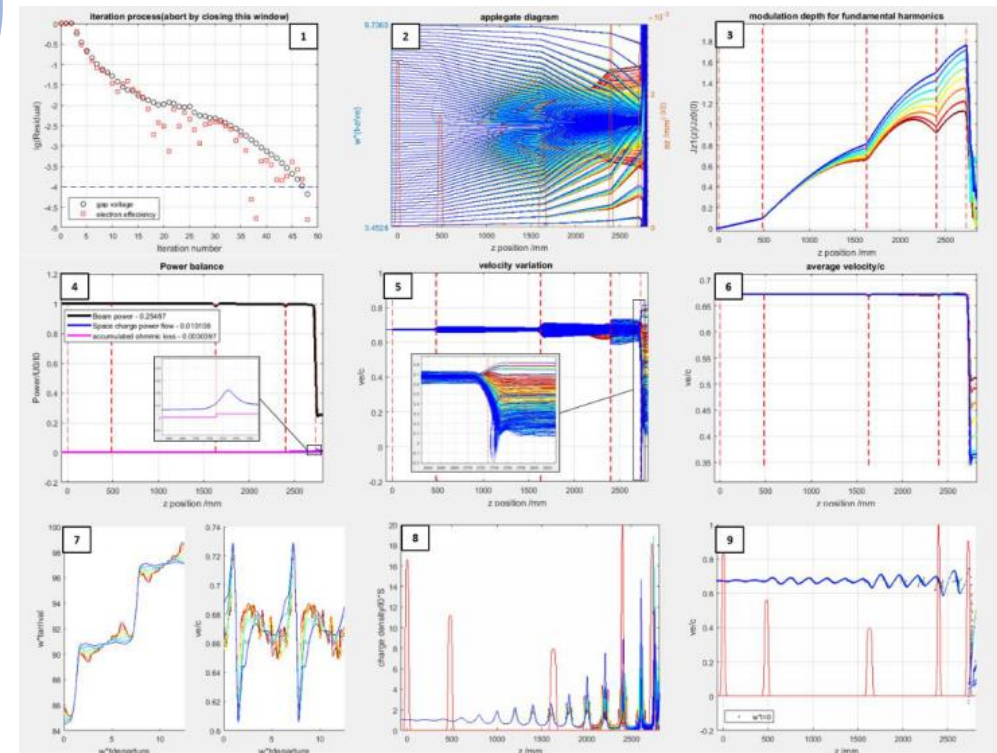
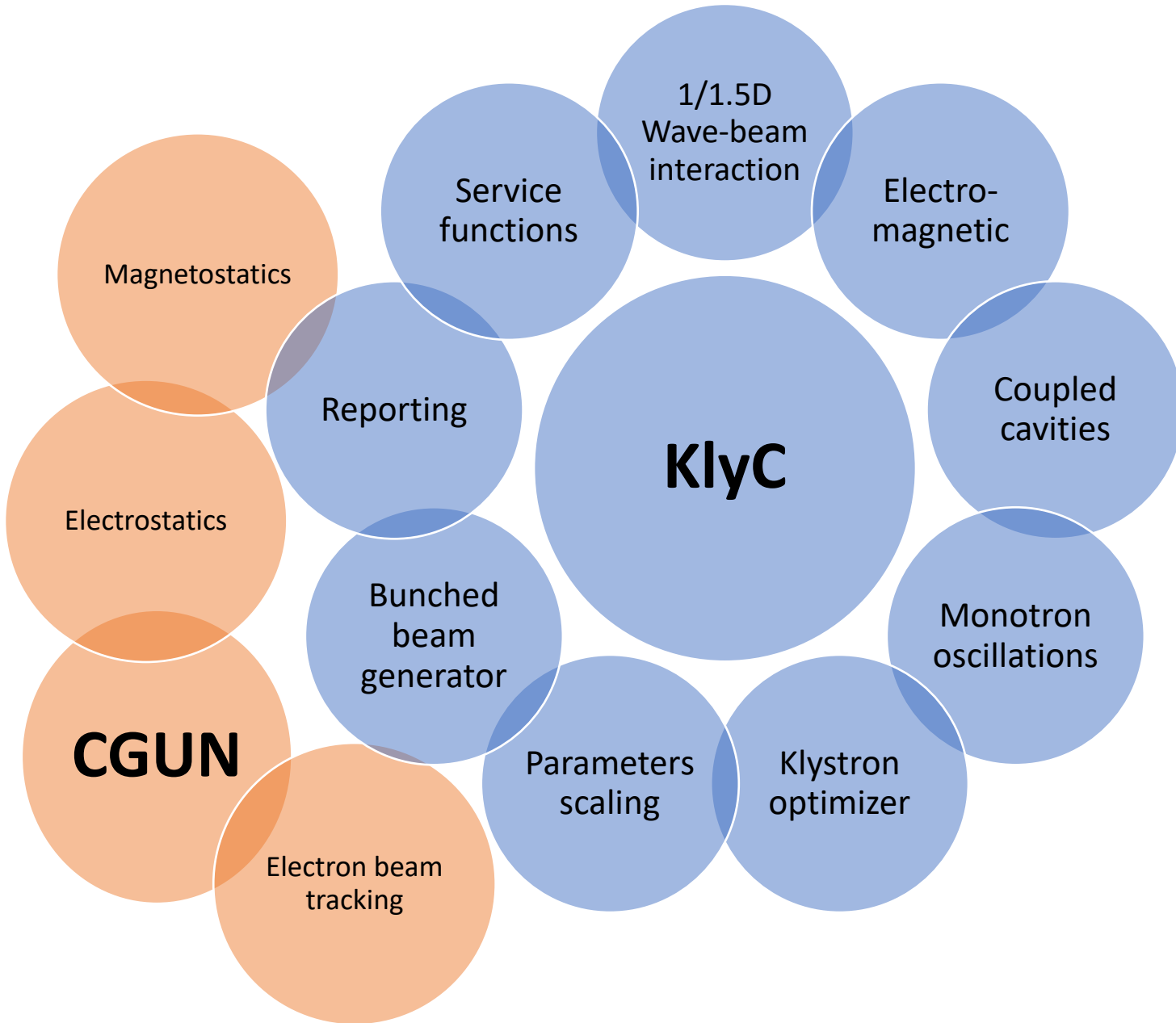


High Efficiency Klystrons



# Development of KlyC

[Principal developer Prof. J. Cai , UESTC]



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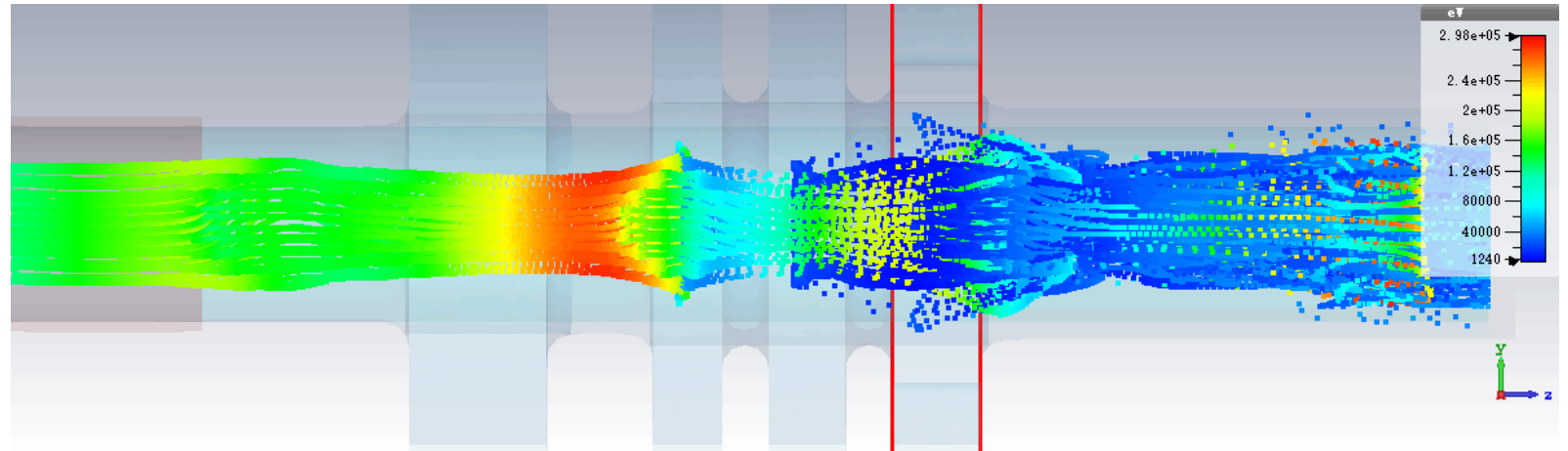
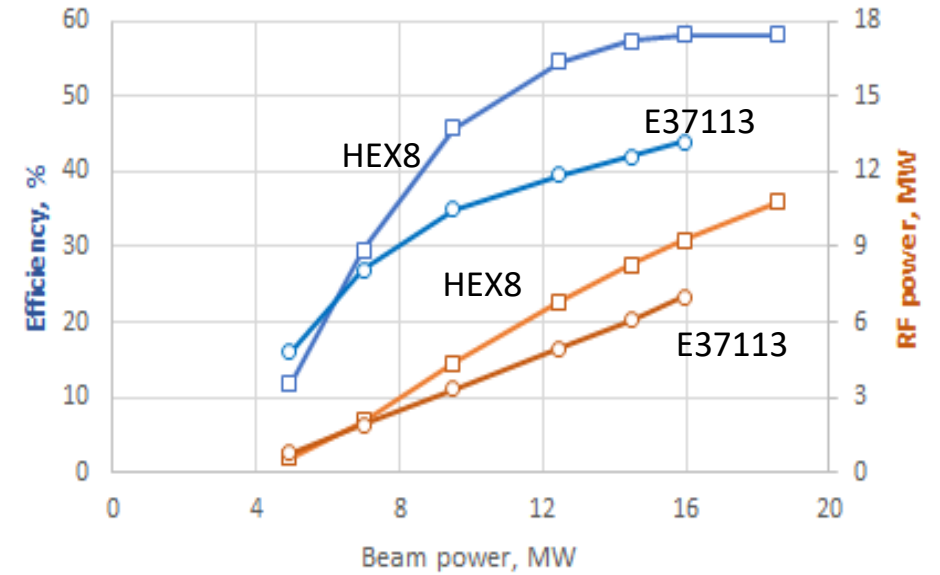
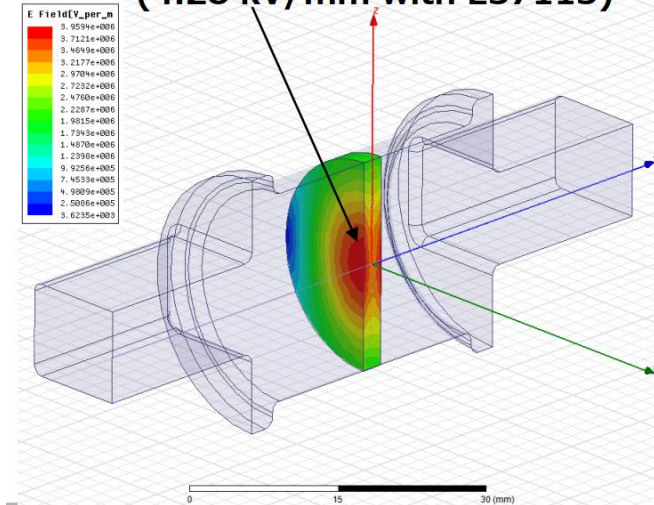
- Solenoids



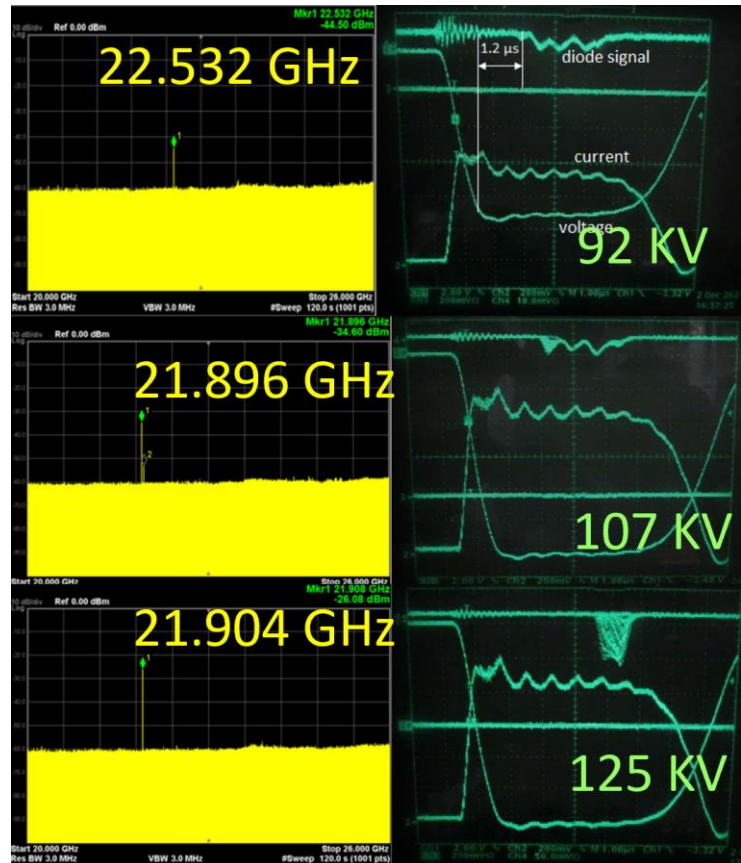
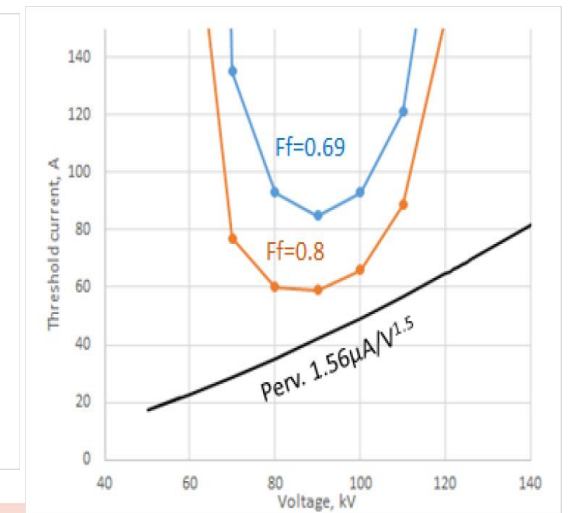
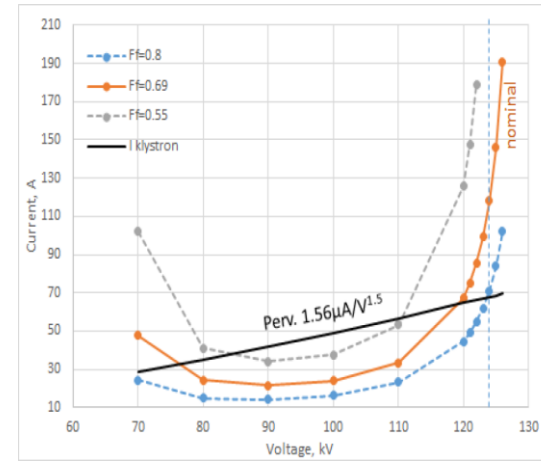
# X-band medium power pulsed

- CANON ETD 37113
  - 12 GHz, 6MW, 400 Hz
- Currently used in X-band facilities
  - CLIC prototypes @CERN and Melbourne University
- Equipped with a new window designed by CERN
- Retrofit with a COM circuit plus 2<sup>nd</sup> harmonic cavities
- ~60% efficiency

Ceramic  
3.04 kV/mm at 6MW  
(4.28 kV/mm with E37113)



# Observed instabilities after manufacturing the first tube

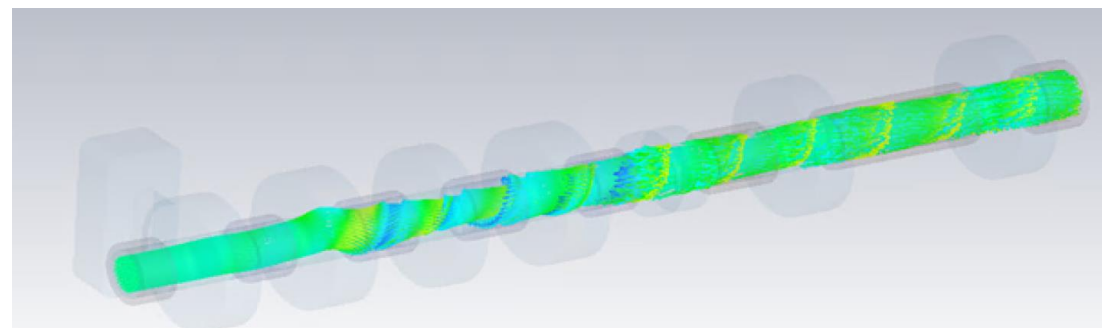


Monotron oscillations on the 2<sup>nd</sup> harmonic triplet

- Use of doublet instead

TE11 coupled modes instabilities in the bunching cavities

- Different gap length for each cavity (~20%)
- Damping using stainless steel



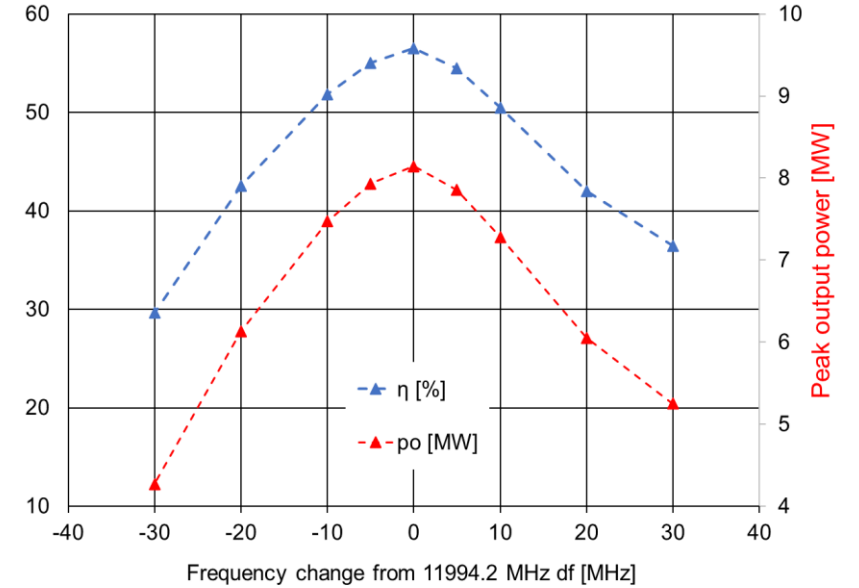
# New CETD E37117

High Efficiency Klystrons



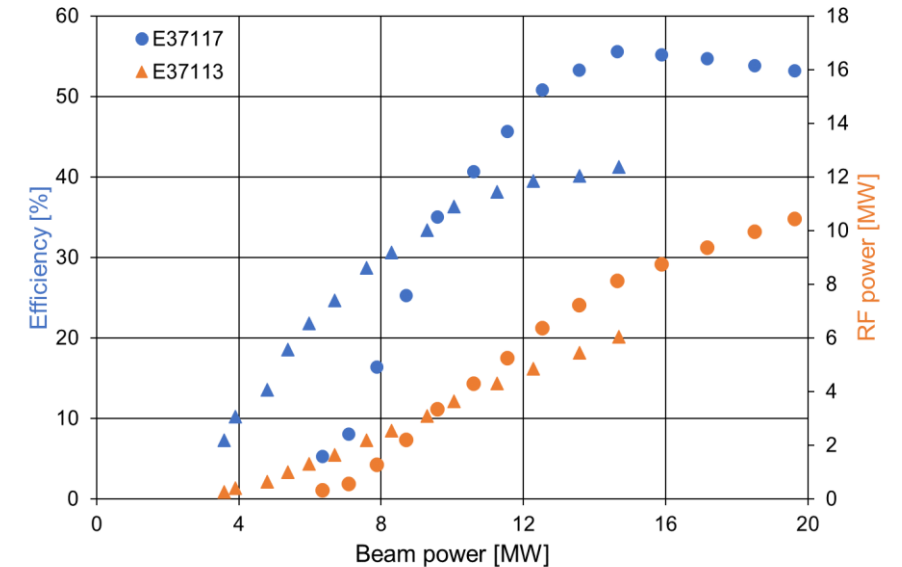
Retrofit design

E37117 Output power vs. Frequency



	E37113 at factory	E37117 at factory
Voltage, kV	154	154
Current, A	93	94
Frequency, GHz	11.994	11.994
Peak power, MW	6.2	<b>8.12</b>
Sat. gain, dB	49	<b>46</b>
Efficiency, %	42	<b>57</b>
Life time, hours	30 000	30 000
Solenoidal magnetic field, T	0.35	0.42
RF circuit length, m	<b>0.127</b>	<b>0.127</b>

Saturated Output Characteristics



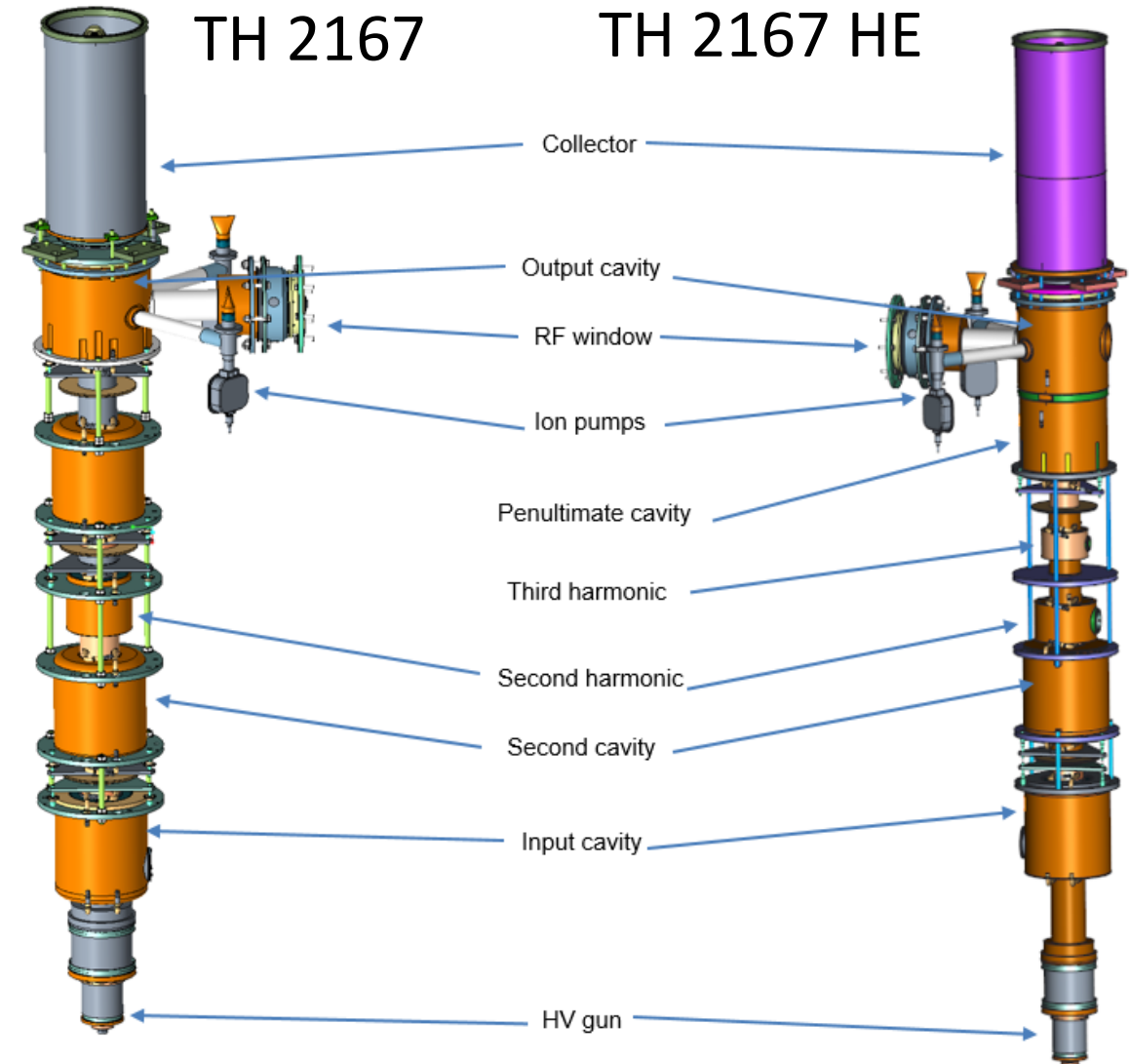
# CW UHF klystron for LHC

- Thales TH2167
  - 400 MHz, CW
  - Used in the LHC at CERN
  - Currently 60% efficient
- Retrofit intended to reach 72% and 350 kW required for the HL-LHC
- CSM bunching method with 2<sup>nd</sup> and 3<sup>rd</sup> harmonic cavities
- Collaboration CERN-Thales co-financed by EU through the IFAST programme



THALES

High Efficiency Klystrons





# CW UHF klystron for LHC

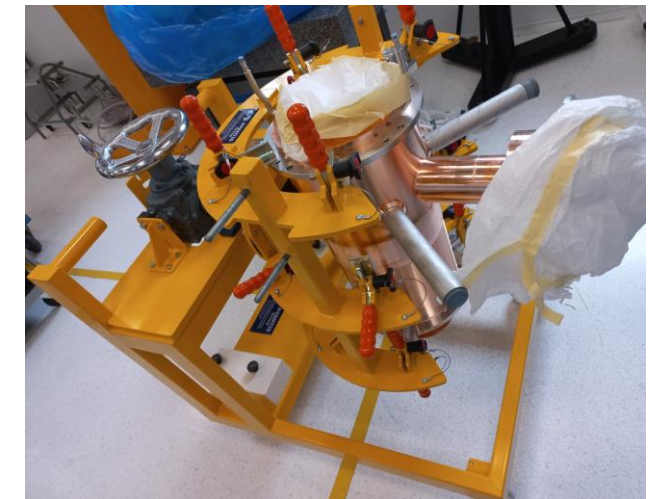
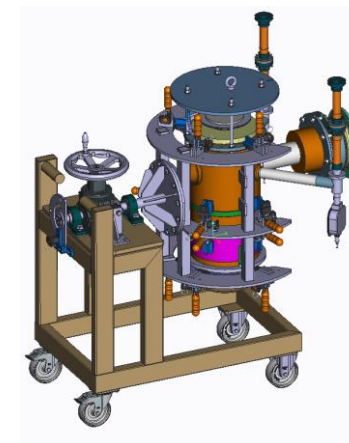
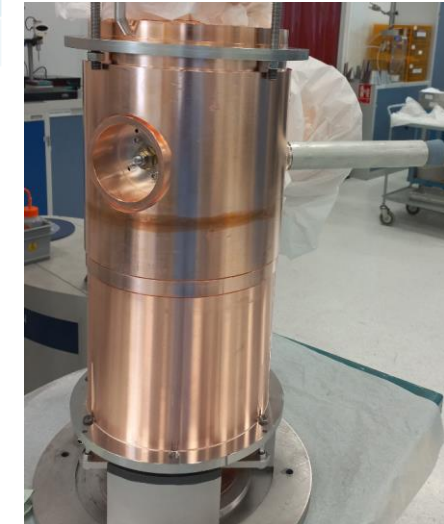
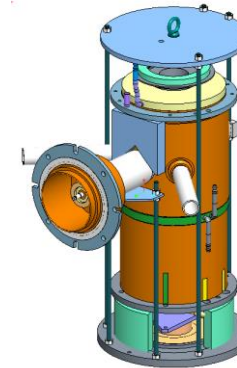
- Validation done on the Test Vehicle = Cavities 5&6:

- Assembly and the different soldering stages : **Ok**
- Vacuum-tightness (Leak rate :  $10^{-9}$  mbar.l/s ) : **Ok**
- Sealing of cooling circuits ( $10^{-9}$  mbar.l/s) : **Ok**
- RF performance (cold measurement): **Ok**
  - The possibility of adjusting cavity 6 with a radial tuning system

Cavity #	Qx initial meas.	Qx after correction	Targeted Qx	Status
6	35.94	36.24	$36.7 \pm 1$	OK

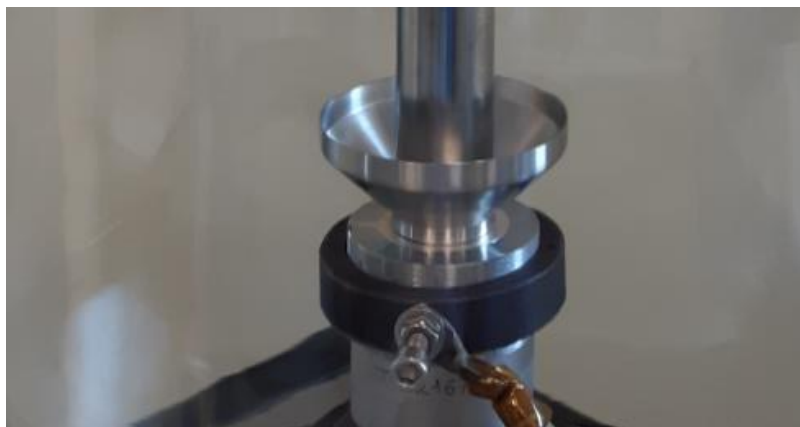
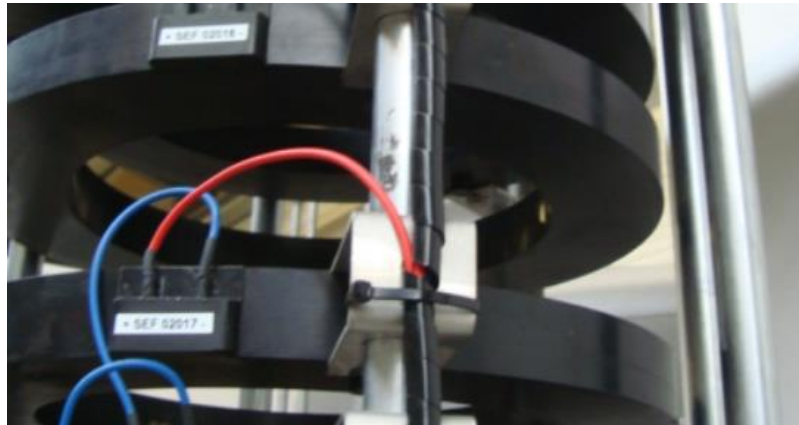
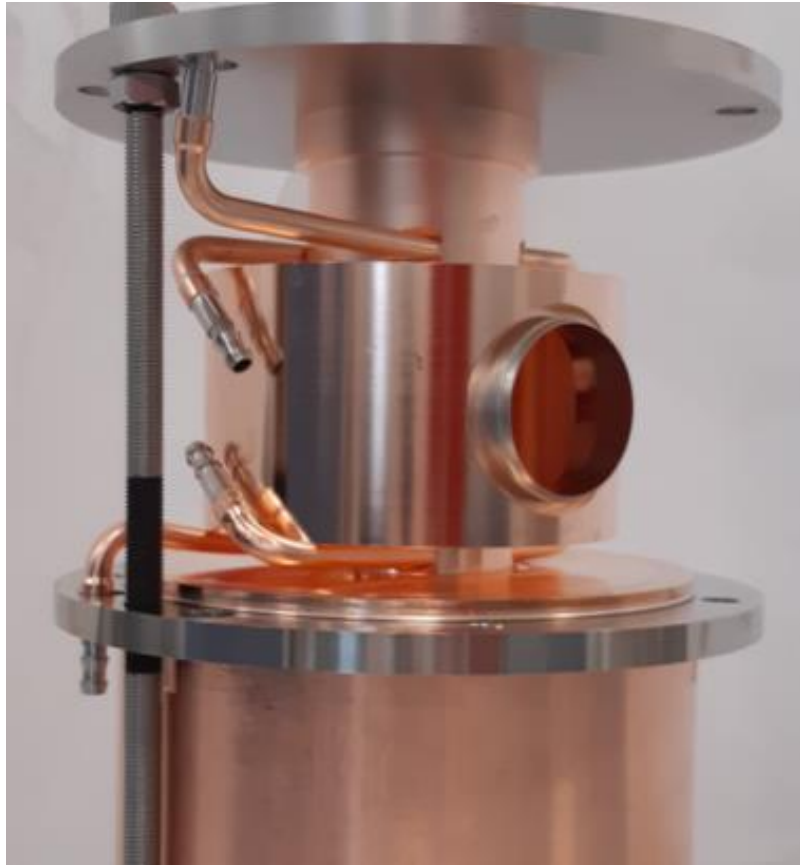
Cavity #	initial Freq. (MHz)	Freq. after correction (MHz)	Targeted Frequency	Status
5	411.3	413.02	$413.0 \pm 0.1$	OK
6	400.36	400.1	$400.1 \pm 0.1$	OK



THALES

High Efficiency Klystrons





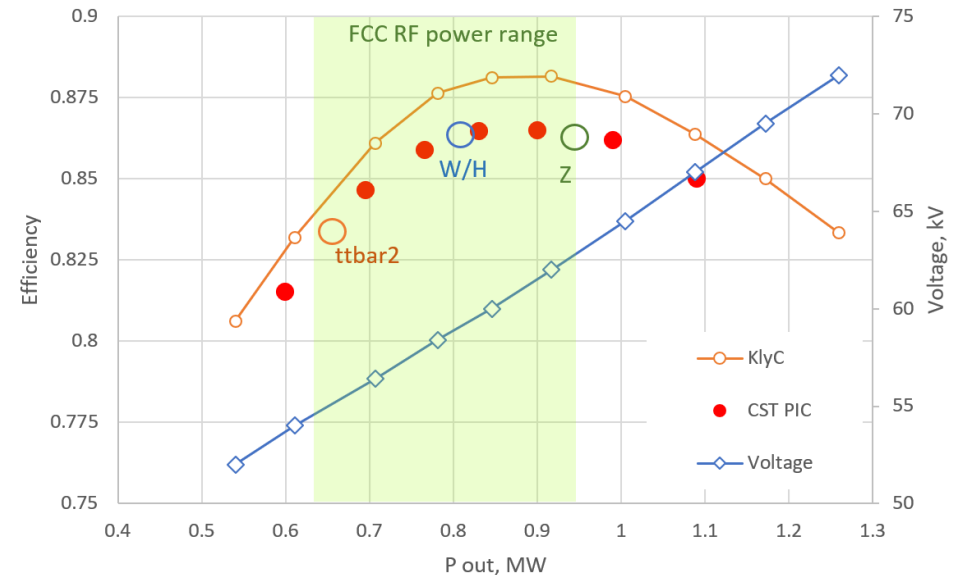
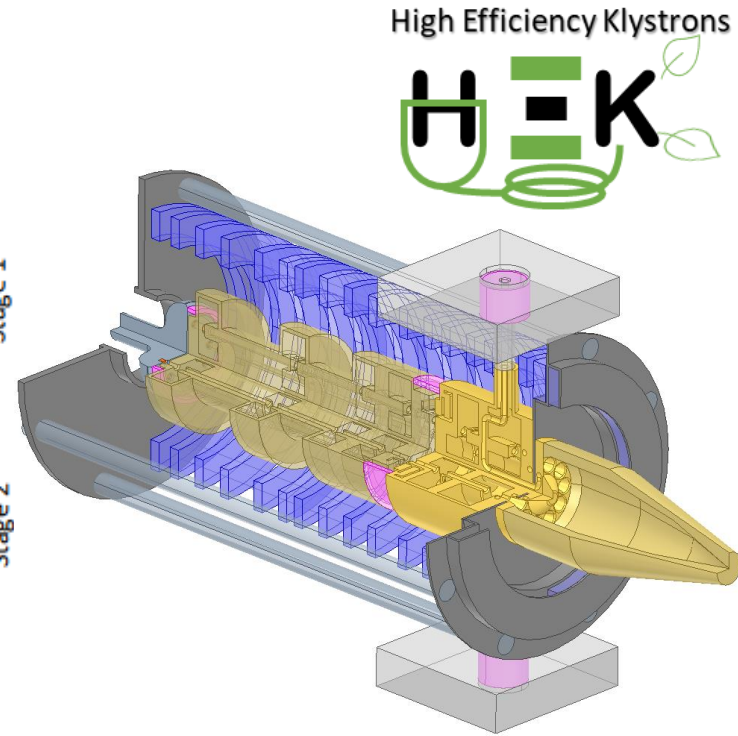
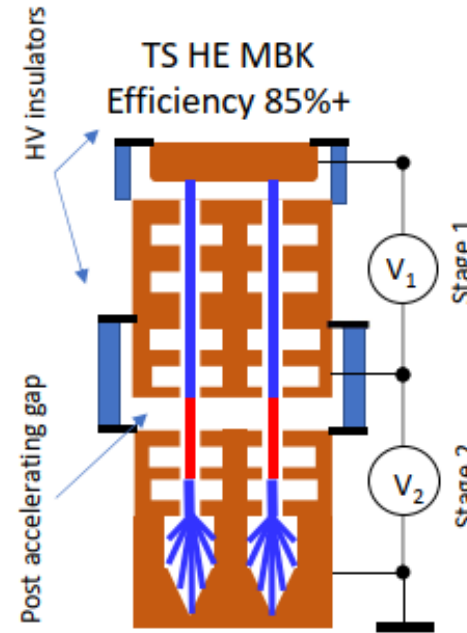
CW UHF klystron for LHC

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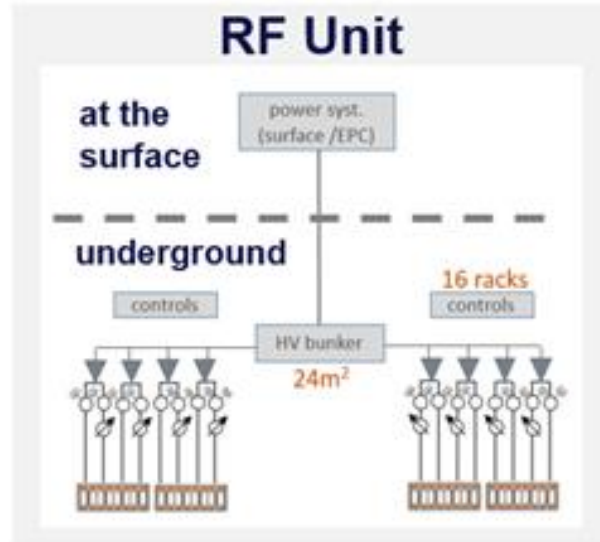
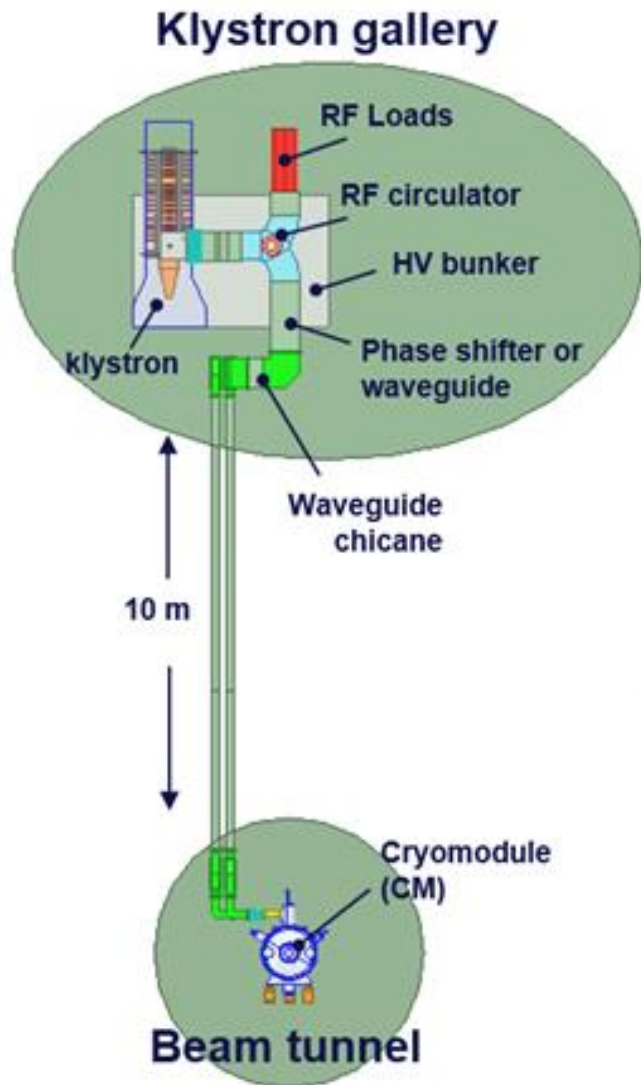
Expected delivery to CERN summer 2024

# TS-MBK for FCC

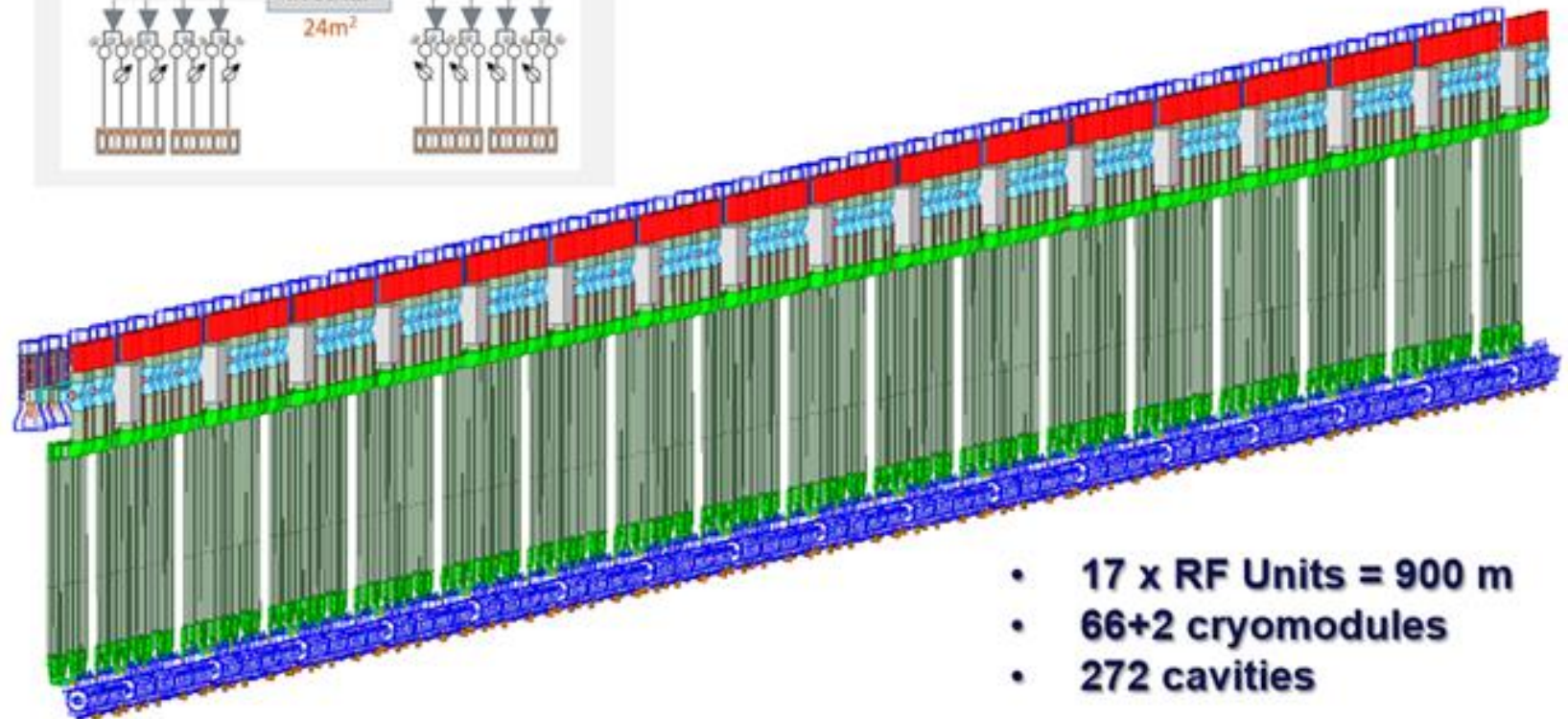
- Two stage klystron for FCC
  - 400 MHz, 1 MW, CW
  - Multibeam klystron MBK
- New topology with low and high perveance section
  - Very high efficiency above 86% in simulations
  - Low operating voltage to work without oil
  - Above 80% efficiency in all four operation points across the FCC physics program
  - Very compact solution (2.8m in total)



## RF system configuration for the Higgs factory

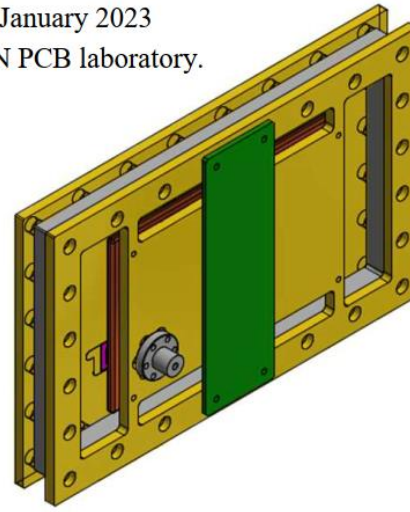


- To reduce tunnel cost (cross-section) and RF power sources/HV integration in the tunnel, compact (~3m long), vertical klystron solution is desirable.
- Operating tubes at moderate HV (60kV) is beneficial to avoid oil tanks in the tunnel (MBK option).



Courtesy of F. Peauger

n January 2023  
RN PCB laboratory.



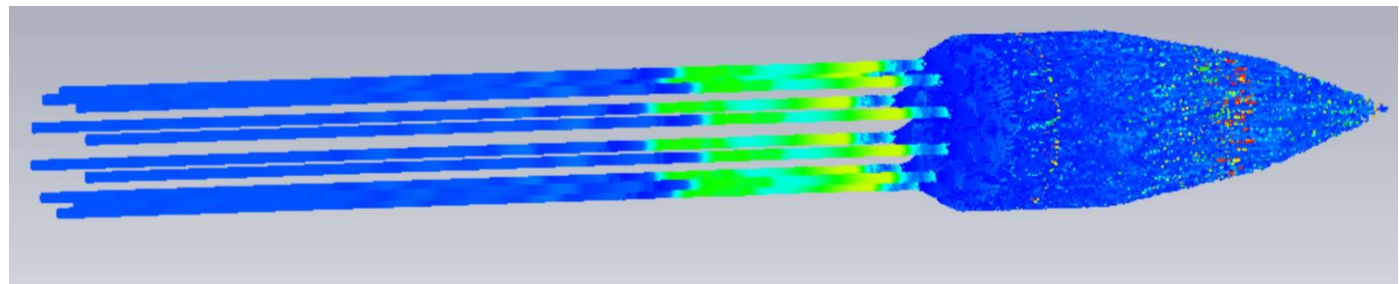
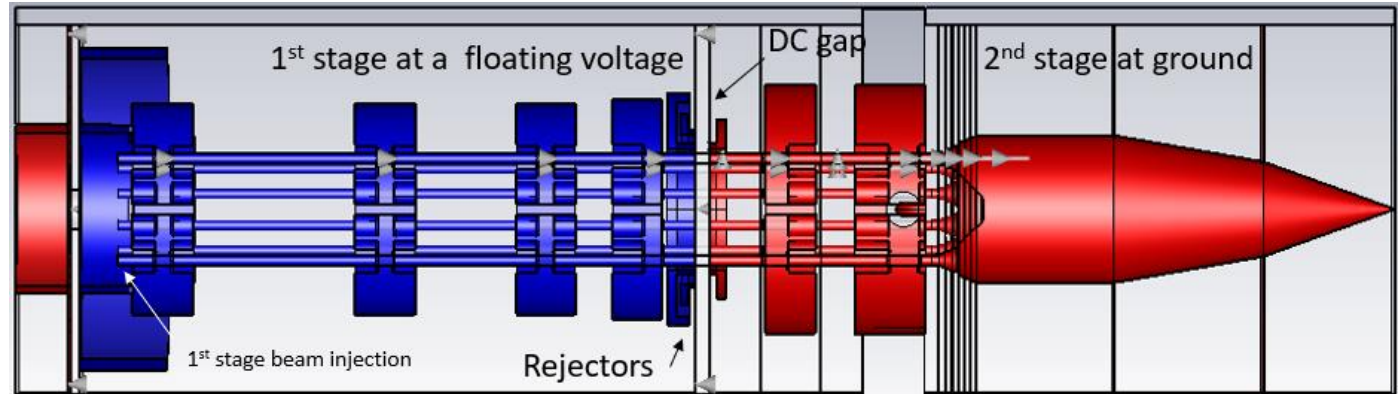
High Efficiency Klystrons



# TS-MBK for FCC

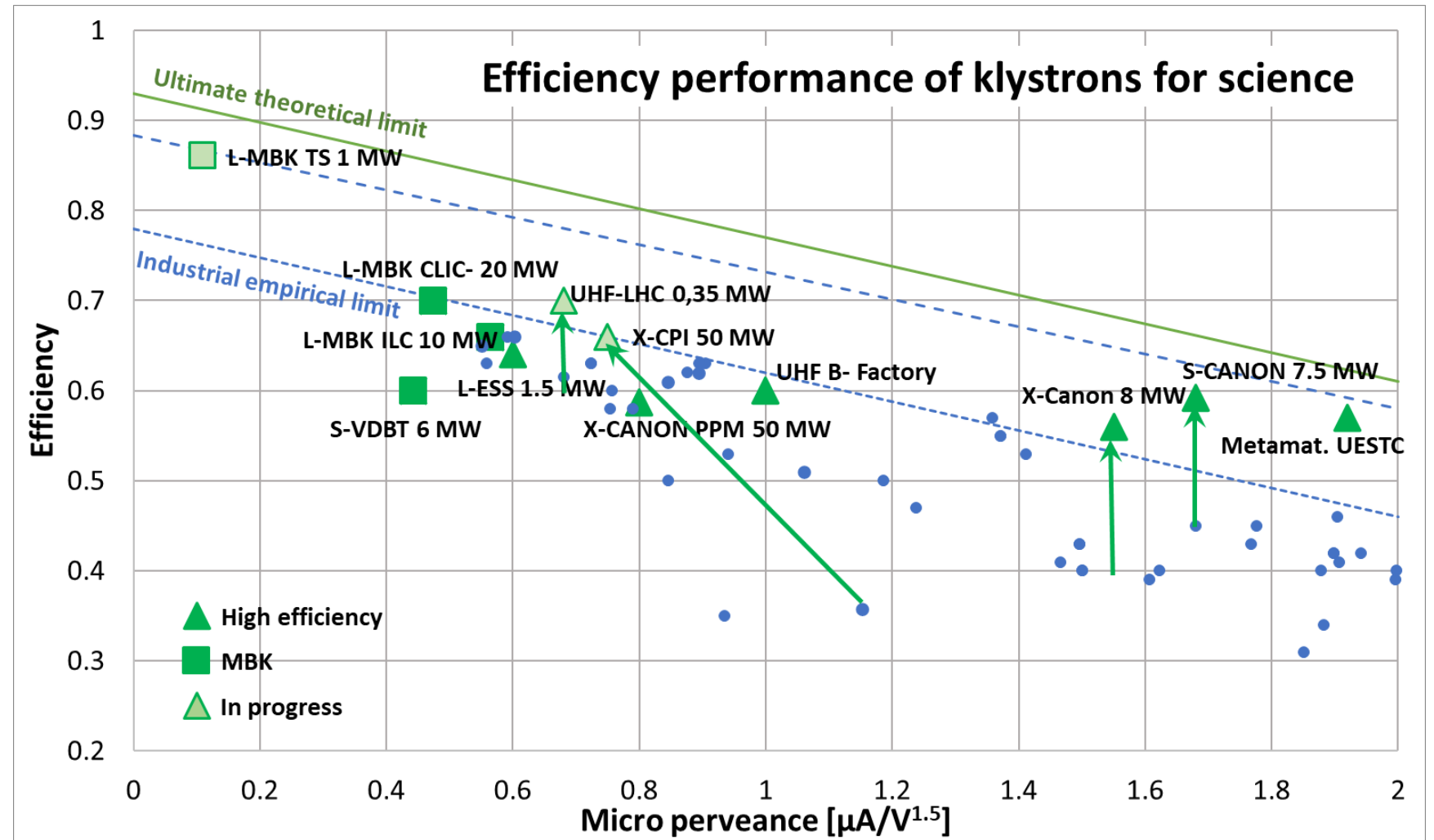
## Challenges:

- RF power radiation into DC gap
- High voltage isolated RF Feedthrough
- Large insulating ceramic between stages
- Prototype construction is currently the highest priority for CERN
- Very similar tube in L-band for CEPC, CLIC, ILC and MC



# Available klystrons for science

- Many klystrons built on the last decade have overcome the empiric limit
- Born from the collaboration of industry with research centres
- New practical limit well above state of the art



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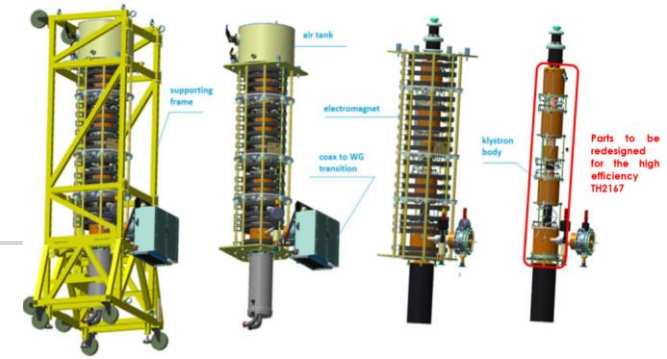
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## General system efficiency

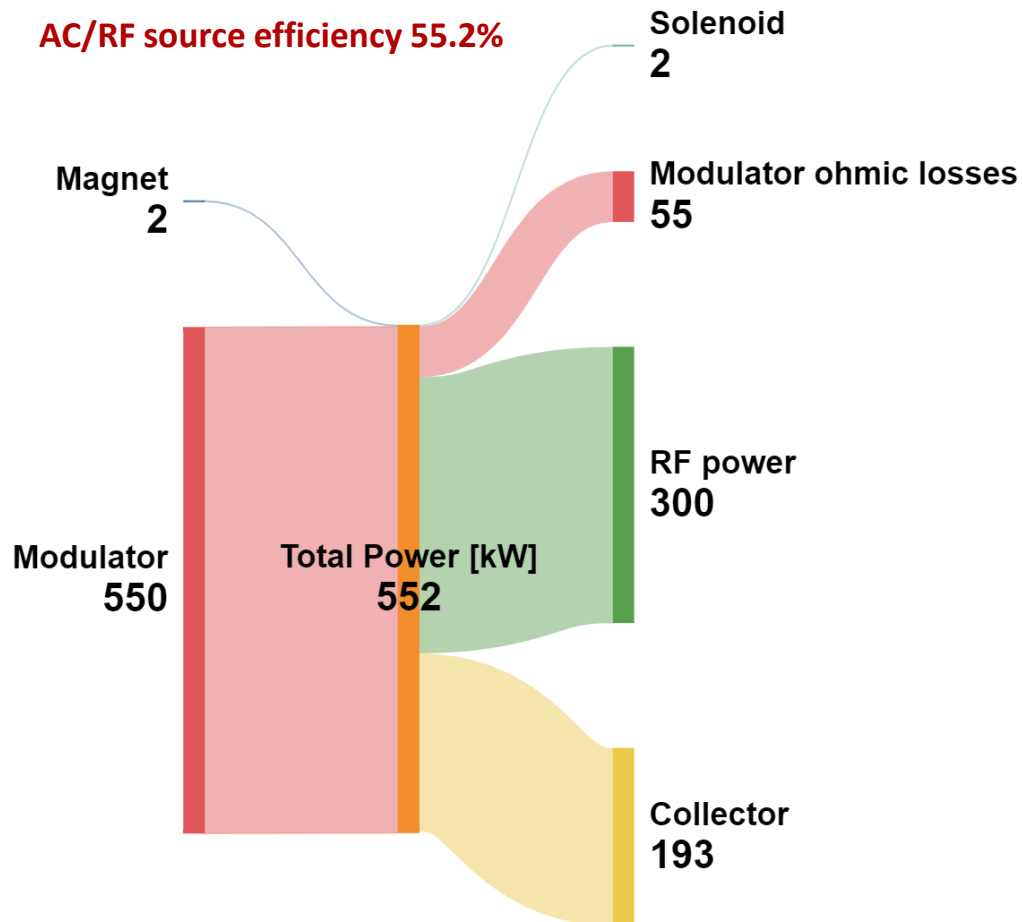
- Solenoids

# Power balanced for CW Klystrons



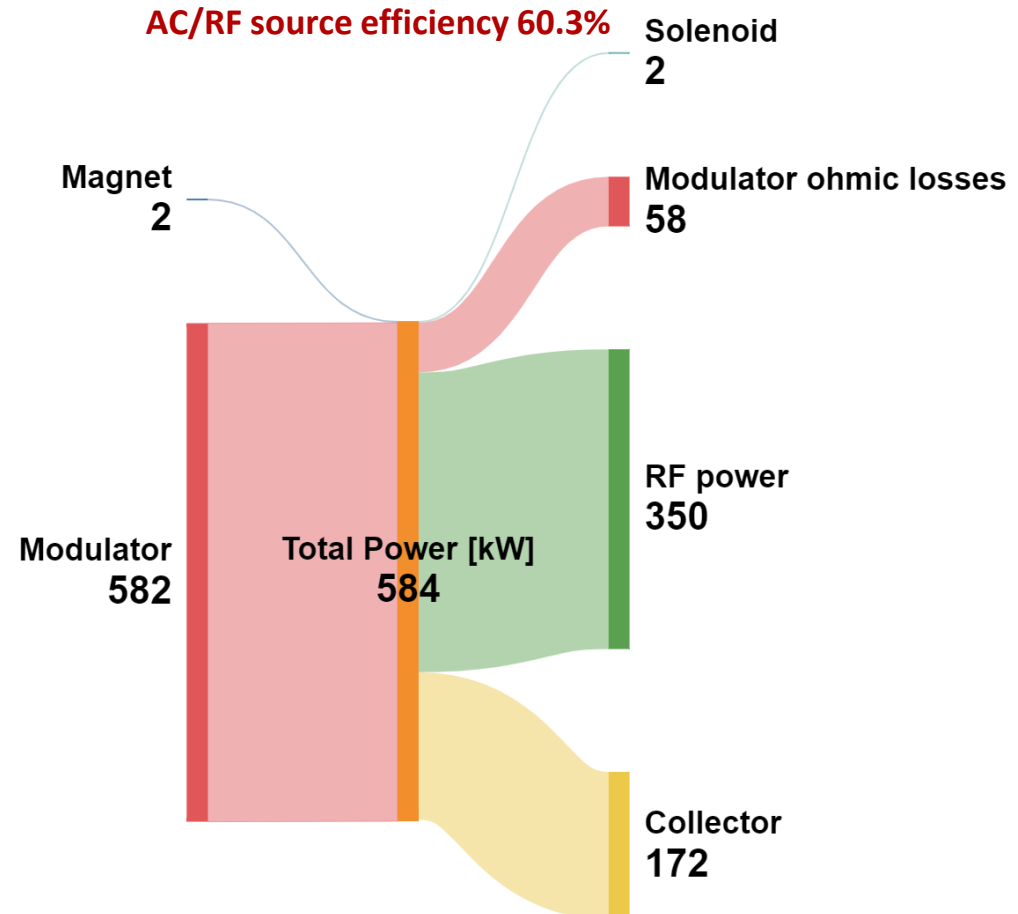
## TH2167

AC/RF source efficiency 55.2%



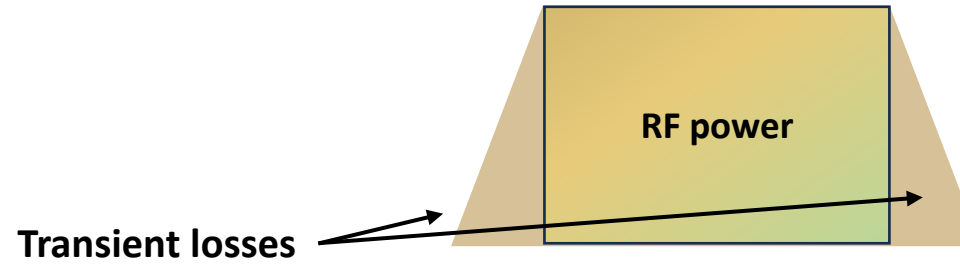
## HE TH2167

AC/RF source efficiency 60.3%



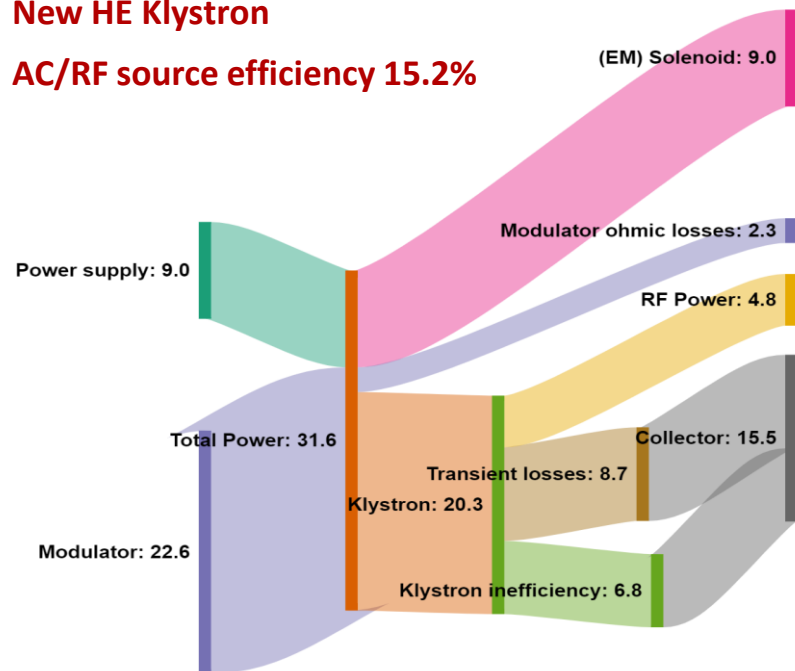


# Power balance for pulsed RF sources

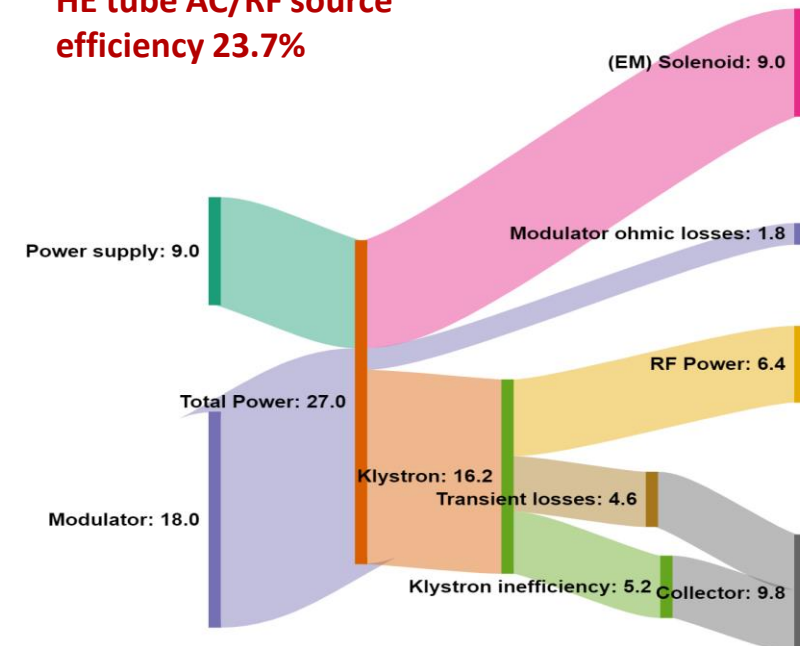


## New HE Klystron

AC/RF source efficiency 15.2%

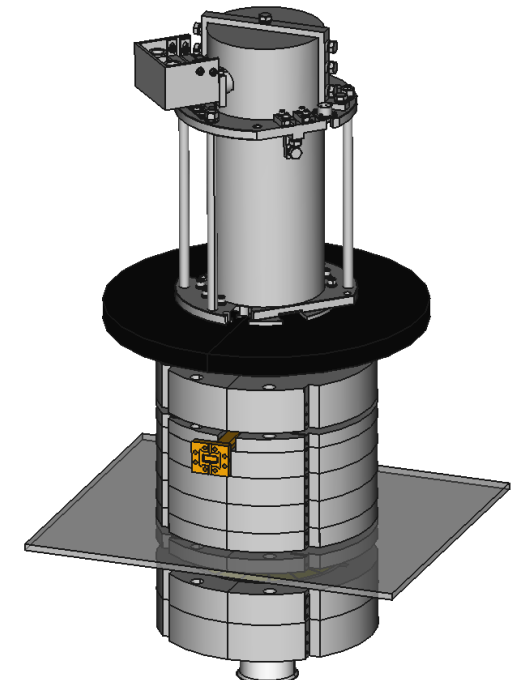
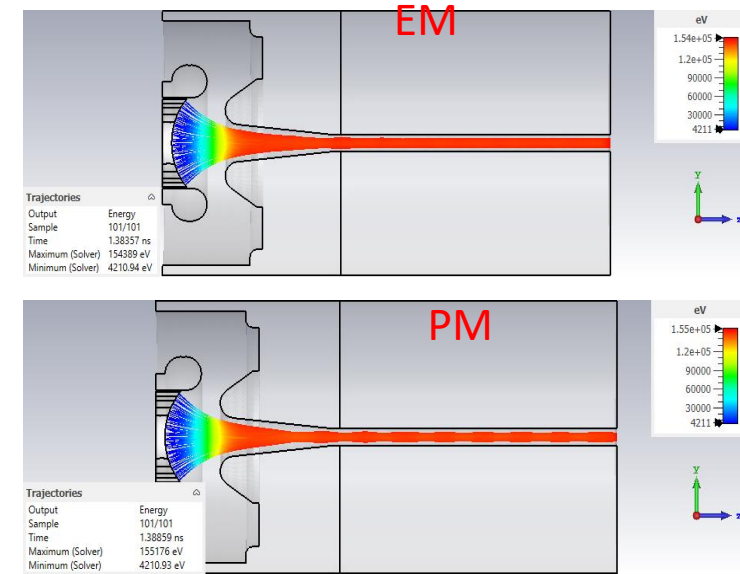
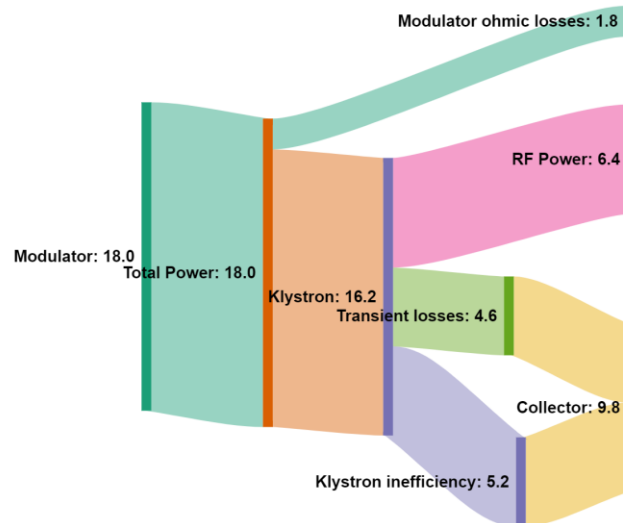
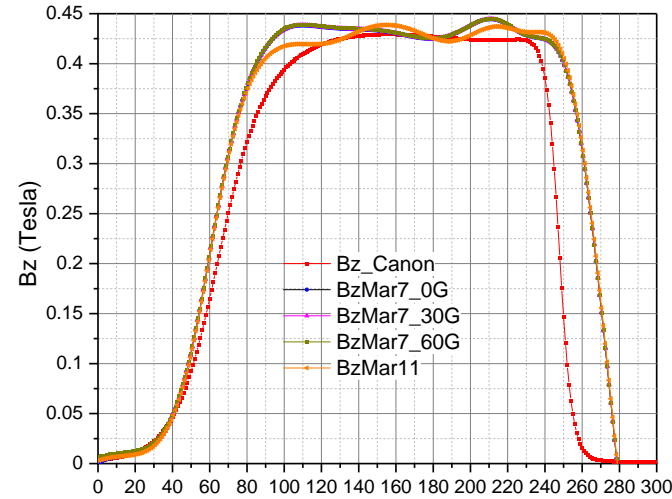


## HE tube AC/RF source efficiency 23.7%



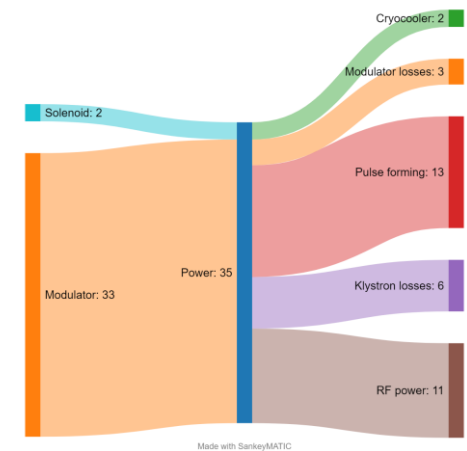
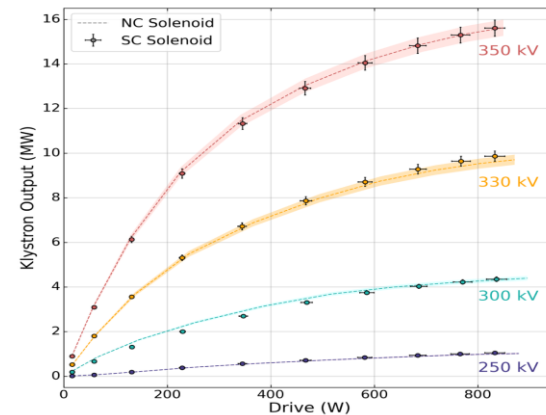
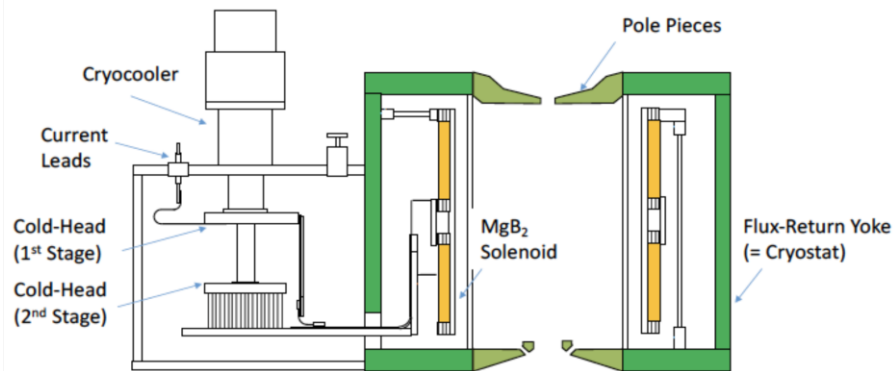
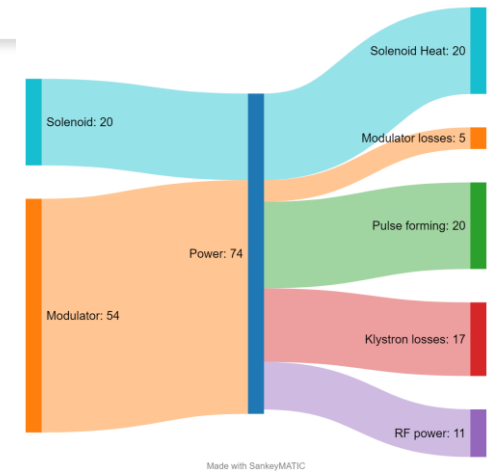
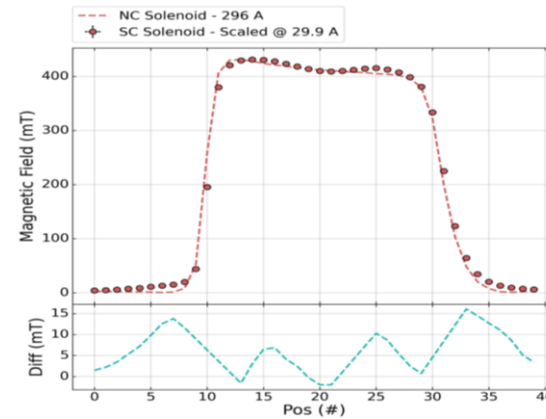
## PM Solenoid for CETD E37117

- New initiative inside IFAST program
- In collaboration with ELYTT
- Electromagnetic design checked against tube beam dynamics
- Mechanical design and procurement ongoing
- **HE tube with PM solenoid**  
**AC/RF source efficiency 35.5%**



# SC Solenoid for CPI VKX-8311A

MgB<sub>2</sub> conductor, conduction cooling  
 Collaboration with KEK and HITACHI  
 Currently operating at CERN since 2022  
 System efficiency from 21% to 31.7% in CLIC conditions



# Second workshop on Efficient RF sources

Funded by IFAST and the high efficiency Klystrons program

<https://indico.cern.ch/event/1407353/>

Previous workshop:  
<https://indico.cern.ch/event/1138197/>

## 2<sup>nd</sup> Workshop on efficient RF Sources



23-25 September 2024, Toledo, Spain



Sep 23 – 25, 2024  
Parador de Toledo  
Europe/Zurich timezone

Enter your search term

- Overview
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  - Travel
  - Accommodation
  - Practical information
  - Local weather
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Contact  
[sy.rf.secretariat@cern.ch](mailto:sy.rf.secretariat@cern.ch)

Following the successful Workshop on Efficient RF sources organized inside the framework of IFAST, we would like to announce a second workshop to be held in Toledo, Spain on 23-25 September 2024. The workshop is part of the I.FAST initiative for "Sustainable concepts and technologies"

The workshop is aimed at displaying the recent advances on energy efficient technology for RF sources mainly used in accelerators. As in previous events, we expect a number of experts from public and private sector to participate in the meeting and the discussions around the efficiency of klystrons, IOTS, Solid state amplifiers and RF systems in general.

Organizing Committee Chairs: Nuria Catalan Lasheras (CERN), Mike Seidel (PSI)

Scientific Committee Chair: Igor Syratchev (CERN)

[Processing of Personal Data at CERN: OC11](#)

Starts Sep 23, 2024, 9:00 AM  
Ends Sep 25, 2024, 2:00 PM  
Europe/Zurich

Parador de Toledo  
Cerro del Emperador, s/n 45002 Toledo, Spain  
[Go to map](#)

Igor Syratchev  
Mike Seidel  
Nuria Catalan Lasheras

[Registration](#)

# Conclusions



High efficiency klystrons are no longer a dream



Fruitful collaboration between industry and academia



Important energy savings



Very advantageous also from capital investment point of view

Less power, voltage, water cooling, etc



Attention should be paid to other systems!

Modulators, solenoid, LLRF, working point operation

- W. R. Fowkes et al., "1.2 MW Klystron for Asymmetric Storage Ring B Factory", Proceedings Particle Accelerator Conference, Dallas, TX, USA, 1995, pp. 1497-, doi: 10.1109/PAC.1995.505268
- I. Syratchev, "Introduction to HEIKA. Tentative structure and objectives," in Proc. CLIC Workshop, Geneva, Switzerland, 2015. [Online]. Available: <https://indico.cern.ch/event/336335/contributions/789041/>
- A. Y. Baikov, C. Marrelli and I. Syratchev, "Toward High-Power Klystrons with RF Power Conversion Efficiency on the Order of 90%," in IEEE Transactions on Electron Devices, vol. 62, no. 10, pp. 3406-3412, Oct. 2015, doi: 10.1109/TED.2015.2464096.
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