



# Constraint on initial conditions from non-linear causality

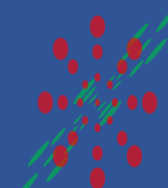
Tetsufumi Hirano (Sophia Univ.)

Collaborator: Tau Hoshino (Sophia Univ.)

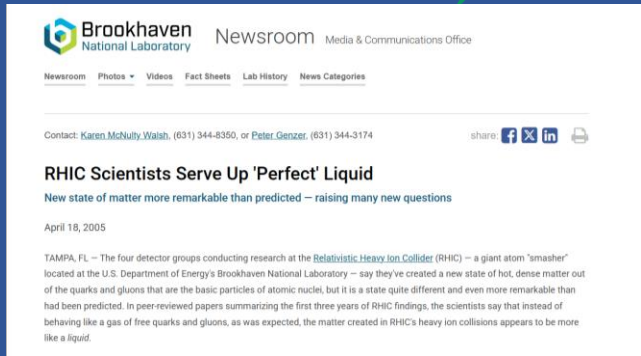


Based on arXiv:2412.02405[nucl-th]. (To appear in PRC)

# Introduction



Discovery of perfect fluidity  
announced in 2005



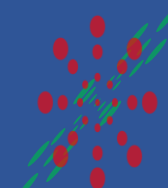
<https://www.bnl.gov/newsroom/news.php?a=110303>

Precision QGP physics  
spin/magneto hydrodynamics,  
Bayesian analysis,  
...

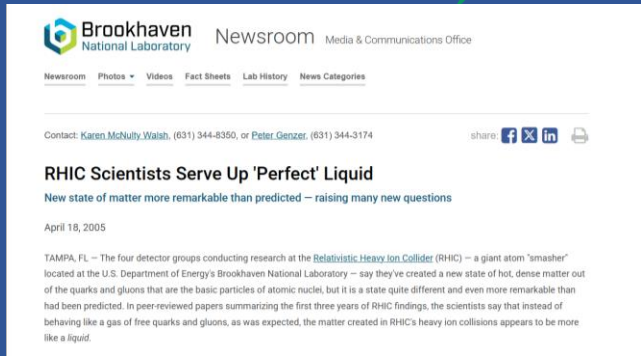
QGP fluids as thermal media  
thermal photon/dilepton  
jet quenching,  
heavy quark(onium)

Validation of QGP fluidity

# Introduction



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announced in 2005



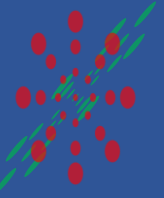
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Precision QGP physics  
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Validation of QGP fluidity

Today's talk!



# Validation through causality

Linearized 2<sup>nd</sup> order hydro  
under **static equilibrium**  
background  
( $\Pi = 0, \pi^{\mu\nu} = 0, u^\mu = 0$ )



**causality** ✓

As long as large relaxation time

W.A. Hiscock, L. Lindblom, *Annals of Physics* 151, 466 (1983).

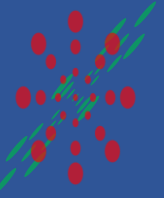
2<sup>nd</sup> order dissipative  
hydrodynamics in  
**nonlinear regime**



**causality ?**

F.S. Bemfica *et al.*, *Phys. Rev. Lett.* 126, 222301 (2021).

→ Validity of fluid picture and early  
thermalization/hydrodynamization?



# Conditions for nonlinear causality

Necessary conditions:

$$0 \leq v_c^2 \leq 1$$

$v_c$ : characteristic velocity  
(under specific situation)

Sufficient conditions:

$$g(v_c^2 > 1) > 0$$

$$g(v_c^2 < 0) < 0$$

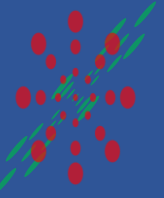
$g(v_c^2)$ : third-degree polynomial

F.S. Bemfica *et al.*, Phys. Rev. Lett. 126, 222301 (2021). See also Appendix B in T. Hoshino and TH, arXiv:2412.02405[nucl-th]

$$F_i(e, p, \Pi, \pi^{\mu\nu}, \eta, \zeta, \dots) \geq 0$$

Purpose: Scrutinize validation of hydrodynamic description from **nonlinear causality** in 1D expansion

# Model



# Equation of motion in 1D expansion

Balance eq.+ BRSSS eq. with boost invariant solutions

R. Baier *et al.*, JHEP 04, 100 (2008).

J.D. Bjorken, Phys. Rev. D 27, 140 (1983).

$$\tau \frac{d}{d\tau} e = -e - p(e) + \phi$$

$$\tau_{\pi} \frac{d}{d\tau} \phi = \frac{4\eta}{3\tau} - \phi - \frac{4\tau_{\pi}}{3\tau} \phi + \frac{\lambda}{2\eta^2} \phi^2$$

$e$ : energy density

$p$ : pressure

$\phi = \pi^{00} - \pi^{33}$ : shear pressure

$\eta$ : shear viscosity

$\tau_{\pi}$ : relaxation time

$\lambda$ : 2<sup>nd</sup> order transport coefficient

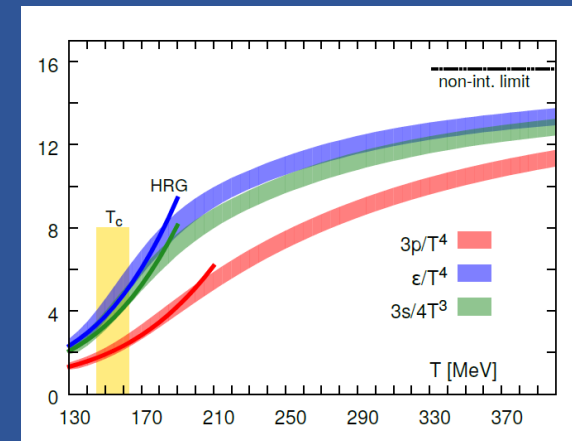
# Equation of state and transport coefficient

EoS 1: Conformal EoS (default)

EoS 2: Lattice EoS (see backup)

A. Bazavov *et al.*, Phys. Rev. D 90, 094503 (2014).

$$p(e) = \frac{1}{3} e$$

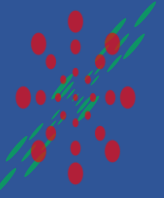


Transport coefficients (AdS/CFT)

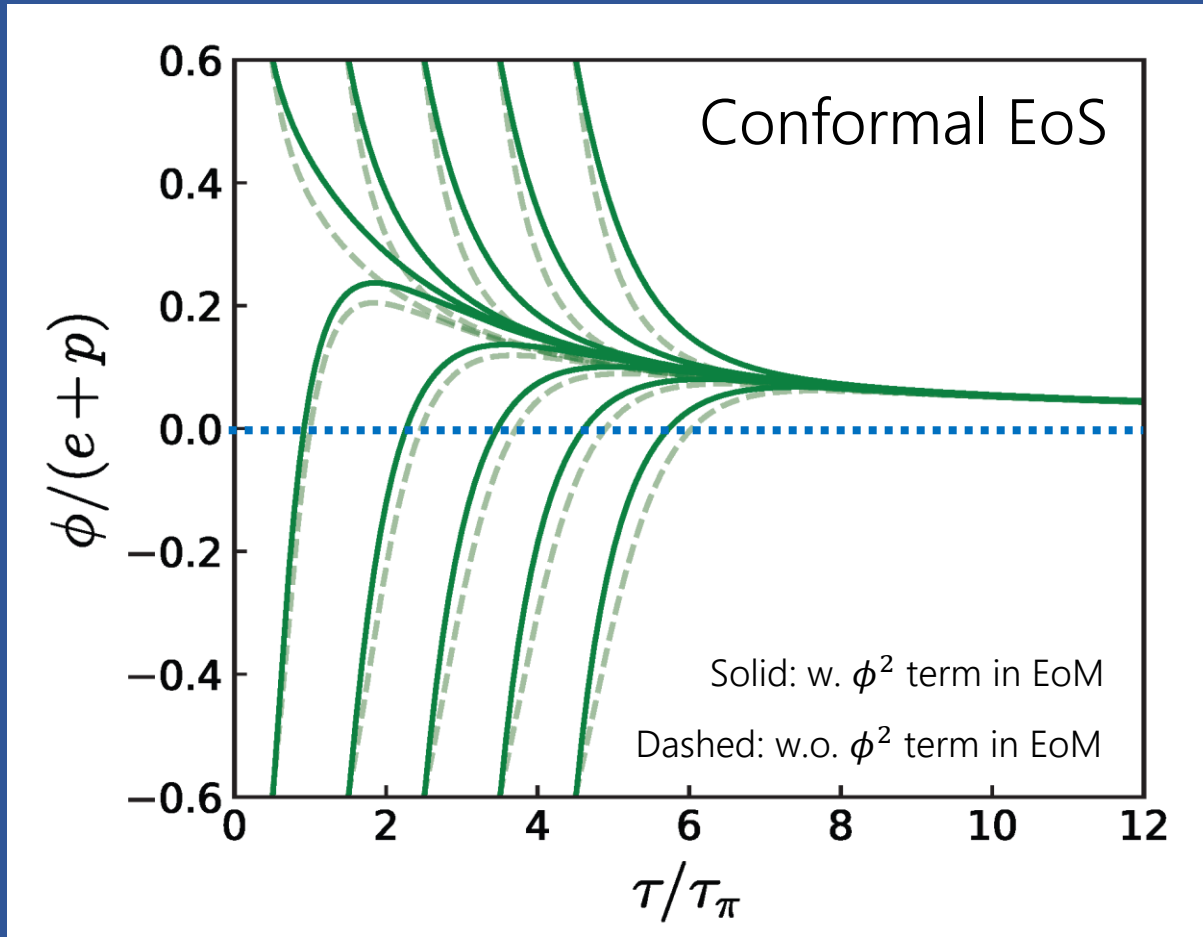
P. Kovtun *et al.*, Phys. Rev. Lett. 94, 111601 (2005); R. Baier *et al.*, JHEP 04, 100 (2008).

$$\frac{\eta}{s} = \frac{1}{4\pi}, \quad \tau_{\pi} T = \frac{2 - \ln 2}{2\pi}, \quad \frac{\lambda T}{\eta} = \frac{1}{2\pi}$$





# Behavior of solutions



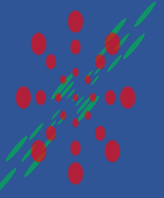
Hydrodynamization  
Attractor solution



Local equilibrium ( $\phi = 0$ )

Acceleration of  
hydrodynamization due  
to  $\phi^2$  term in EoM

# Results



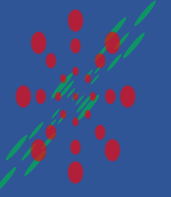
# Necessary conditions in 1D expansion

$$\eta \geq 0 \quad \frac{\eta}{\tau_\pi} \geq 0 \quad e + p - \frac{\eta}{\tau_\pi} \geq 0$$

$$e + p - \frac{\phi}{2} - \frac{\eta}{\tau_\pi} \geq 0 \quad e + p + \phi - \frac{\eta}{\tau_\pi} \geq 0$$

$$\left( e + p - \frac{\phi}{2} \right) c_s^2 + \frac{4}{3} \left( -\frac{\phi}{2} \right) + \frac{4\eta}{3\tau_\pi} \geq 0 \quad \left( e + p - \frac{\phi}{2} \right) (1 - c_s^2) + \frac{2}{3} \phi - \frac{4\eta}{3\tau_\pi} \geq 0$$

$$(e + p + \phi) c_s^2 + \frac{4}{3} \phi + \frac{4\eta}{3\tau_\pi} \geq 0 \quad (e + p + \phi) (1 - c_s^2) - \frac{4}{3} \phi - \frac{4\eta}{3\tau_\pi} \geq 0$$



# Sufficient conditions in 1D expansion

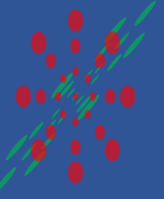
$\phi > 0$  case

$$e + p - \frac{\phi}{2} - \frac{\eta}{\tau_\pi} \geq 0 \quad \left( e + p - \frac{\phi}{2} \right) c_s^2 - \frac{2}{3} \phi + \frac{\eta}{3\tau_\pi} \geq 0$$

$$\frac{4}{3} \left( \phi + \frac{\eta}{\tau_\pi} \right) + \left( \frac{1}{2} + c_s^2 \right) \phi + \frac{3c_s^2 \phi^2}{e + p - \frac{\phi}{2} - \frac{\eta}{\tau_\pi}} \leq (e + p)(1 - c_s^2)$$

$$\left( \frac{\eta}{\tau_\pi} \right)^2 - 3c_s^2 \phi^2 \geq 0$$

$$\left( e + p - \frac{\phi}{2} \right) c_s^2 + \frac{4}{3} \left( -\frac{\phi}{2} + \frac{\eta}{\tau_\pi} \right) \geq \frac{(e + p + \phi)^2 \left( e + p - \frac{\phi}{2} + \frac{2\eta}{\tau_\pi} \right)}{3 \left( e + p - \frac{\phi}{2} \right)^2}$$



# Sufficient conditions in 1D expansion

$\phi < 0$  case

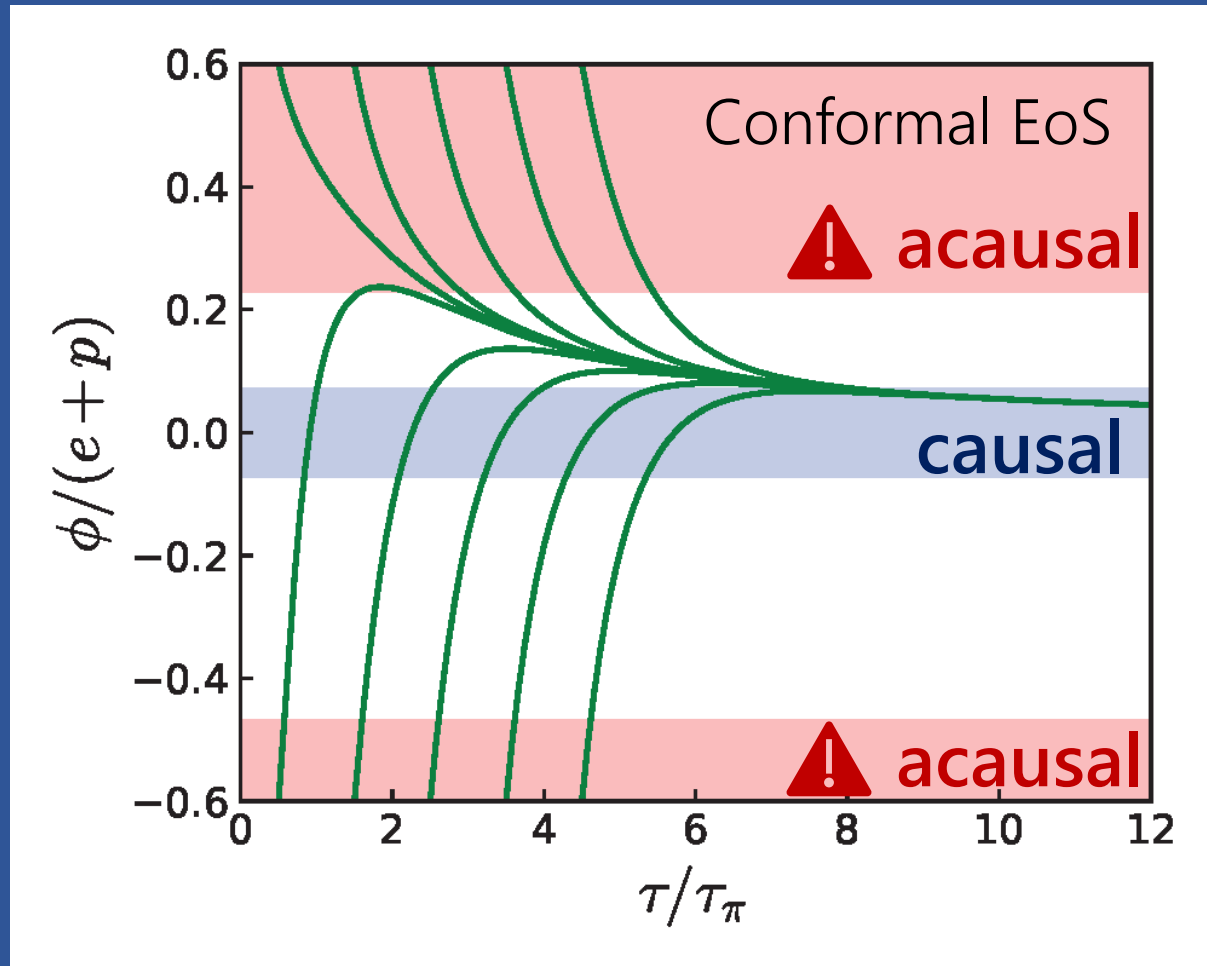
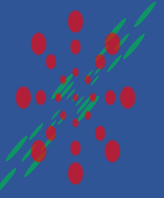
$$e + p - \phi - \frac{\eta}{\tau_\pi} \geq 0 \quad (e + p + \phi)c_s^2 + \frac{4}{3}\phi + \frac{\eta}{3\tau_\pi} \geq 0$$

$$\frac{4}{3} \left( -\frac{\phi}{2} + \frac{\eta}{\tau_\pi} \right) - \left( 1 + \frac{1}{2}c_s^2 \right) \phi + \frac{3c_s^2\phi^2}{e + p + \phi - \frac{\eta}{\tau_\pi}} \leq (e + p)(1 - c_s^2)$$

$$\left( \frac{\eta}{\tau_\pi} \right)^2 - 3c_s^2\phi^2 \geq 0$$

$$(e + p + \phi)c_s^2 + \frac{4}{3} \left( \phi + \frac{\eta}{\tau_\pi} \right) \geq \frac{\left( e + p - \frac{\phi}{2} \right) \left( e + p + \phi + \frac{2\eta}{\tau_\pi} \right)}{3(e + p + \phi)}$$

# Constraint on inverse Reynolds number



Necessary conditions

$$-0.47 \leq \frac{\phi}{e+p} \leq 0.23$$

Sufficient conditions

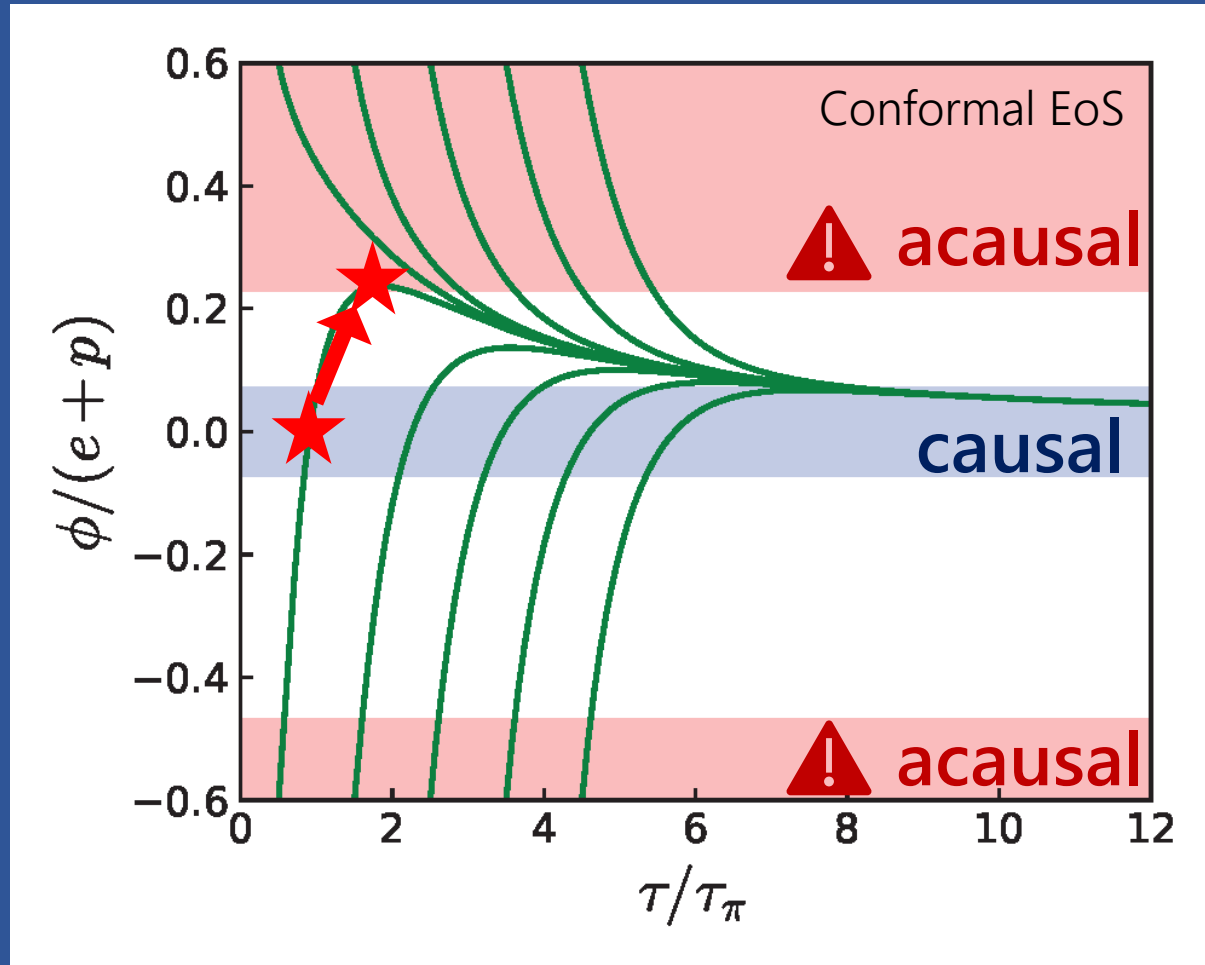
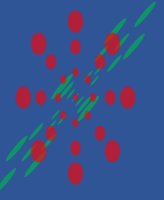
$$-0.07 \leq \frac{\phi}{e+p} \leq 0.07$$

Inverse Reynolds number

$$Re^{-1} \equiv \frac{|\phi|}{e+p}$$

← constrained from  
nonlinear causality

# Dynamical violation of causality



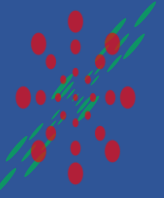
Local equilibrium state



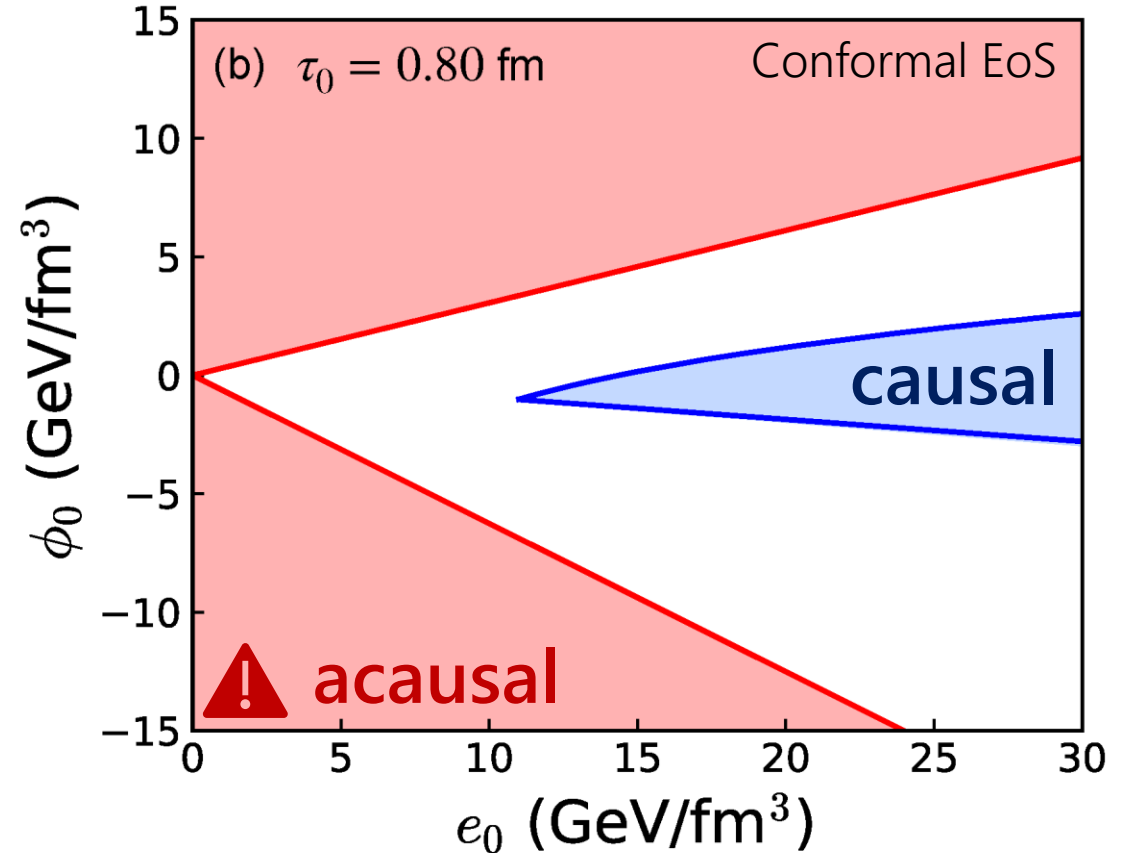
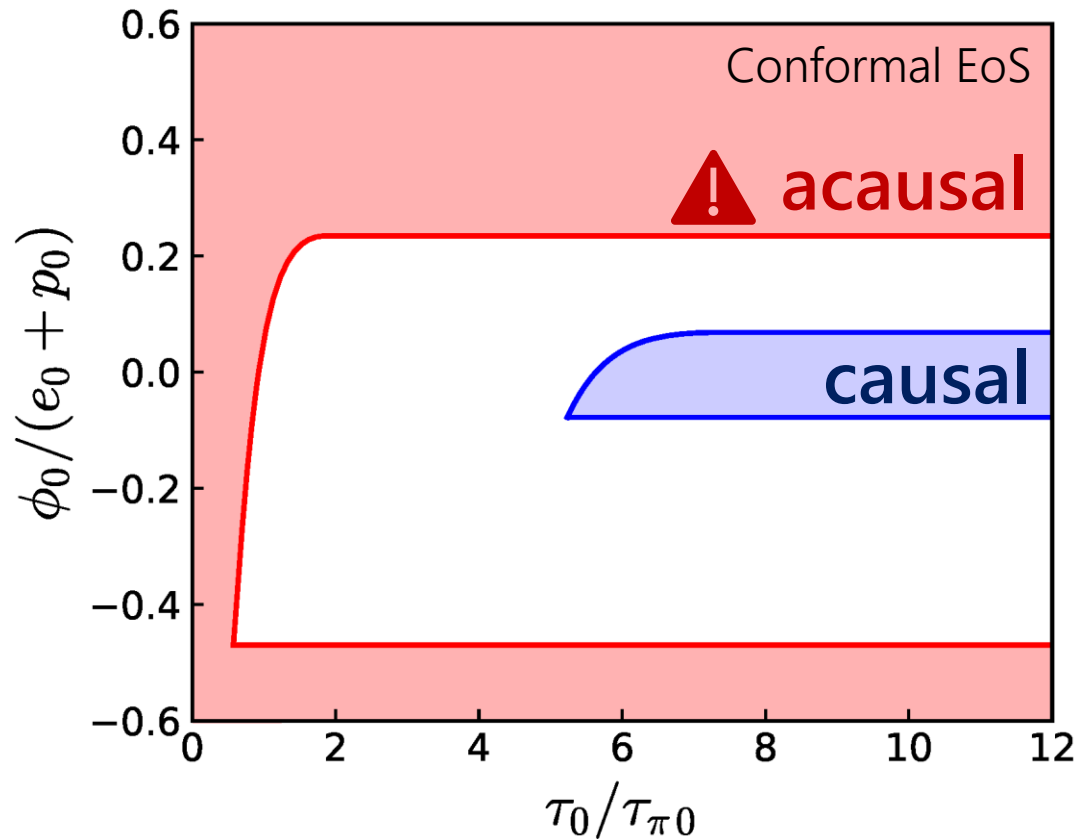
Large expansion rate  $\theta_{Bj} = 1/\tau$

Violation of causality 

- Limited available initial proper time
- Necessity of nonequilibrium description



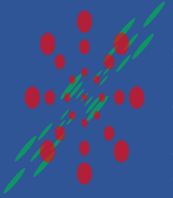
# Constraint on initial conditions



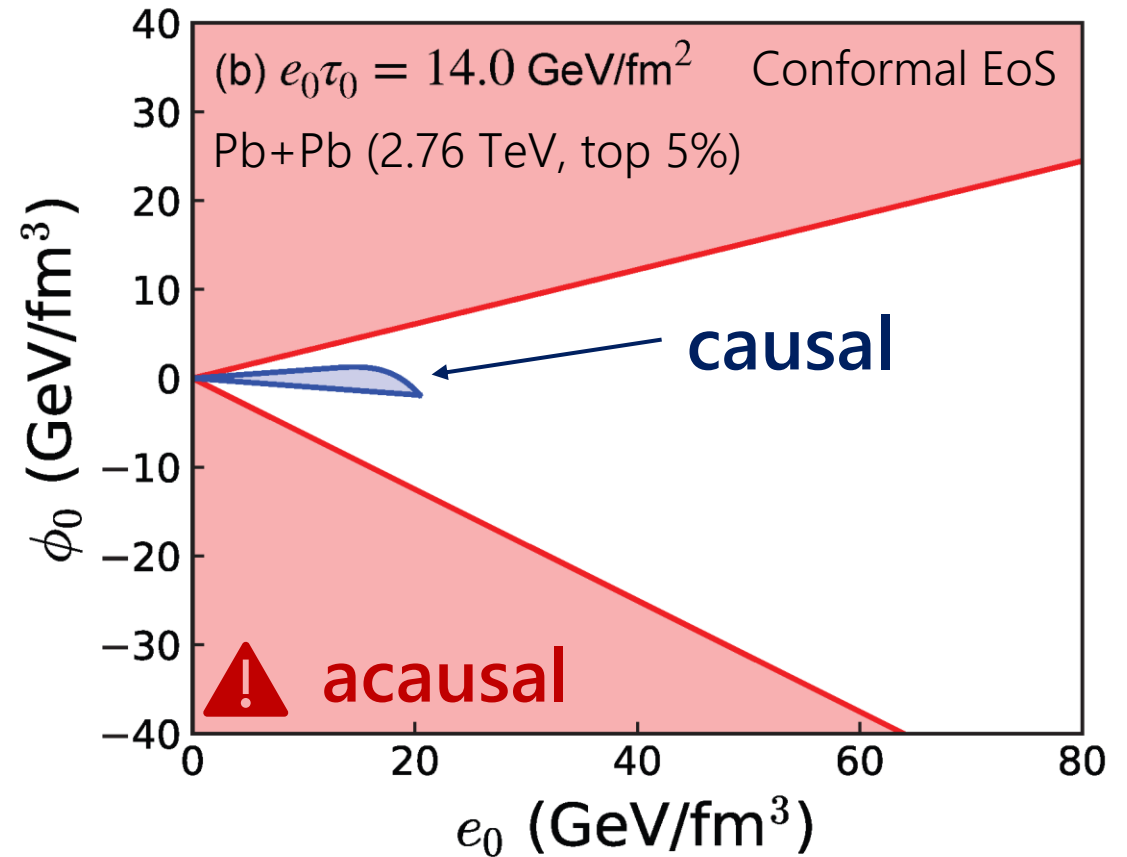
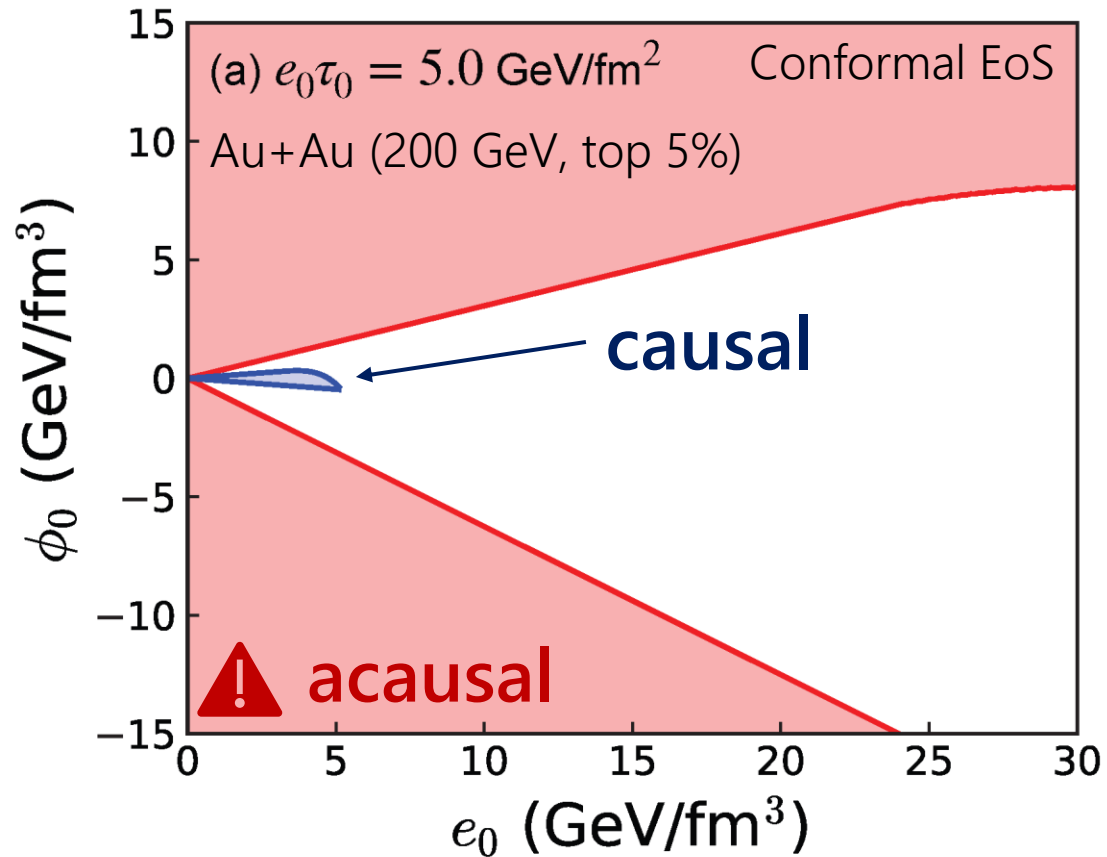
$$\exists \tau_{0,\min} \approx 5.3\tau_{\pi 0}$$

$$\exists e_{0,\min} \sim 10 \text{ GeV/fm}^3 \quad (\tau_0 = 0.8 \text{ fm})$$





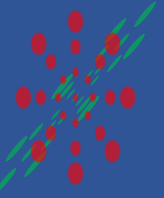
# Constraint from measurement



$$\tau_{0,\min} \sim 1 \text{ fm}, e_{0,\max} \sim 5 \text{ GeV/fm}^3$$

$$\tau_{0,\min} \sim 0.7 \text{ fm}, e_{0,\max} \sim 20 \text{ GeV/fm}^3$$


# Pocket formulae of minimum initial time and maximum energy density



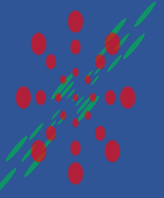
$$E_0 = \frac{1}{S} \frac{dE_T}{dy} \quad (\text{GeV}/\text{fm}^2)$$

Glauber estimation of transverse area  $\rightarrow$   $S$

Measurement of transverse energy  $\rightarrow$   $\frac{dE_T}{dy}$


$$\tau_{0,\min} \sim 1.6 E_0^{-\frac{1}{3}} \quad (\text{fm}) \qquad e_{0,\max} \sim 0.63 E_0^{\frac{4}{3}} \quad (\text{GeV}/\text{fm}^3)$$

(\*Conformal EoS with  $N_f = 3$  case)



# Summary

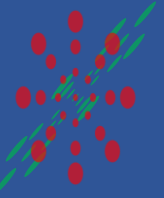
We scrutinized the initial conditions in 1D expansion from nonlinear causality.

- Nonlinear causality constrains the inverse Reynolds number

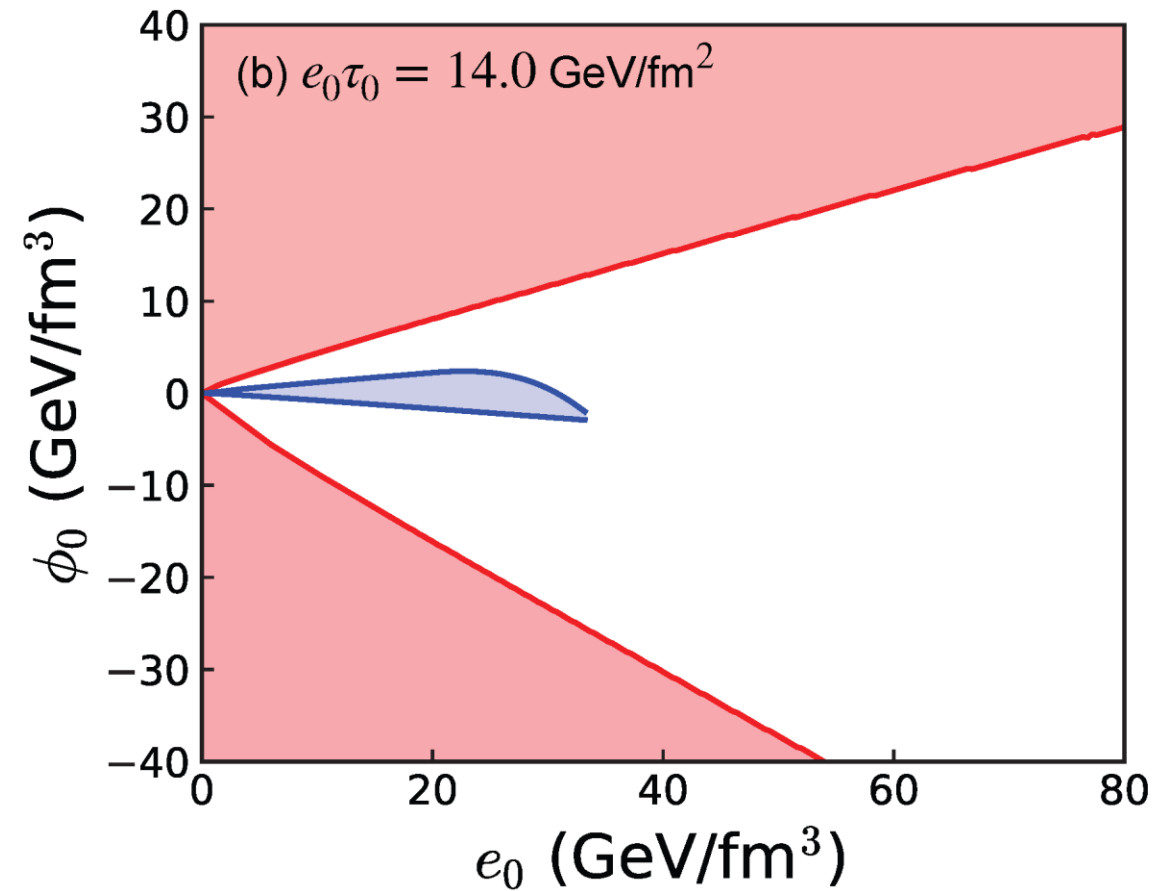
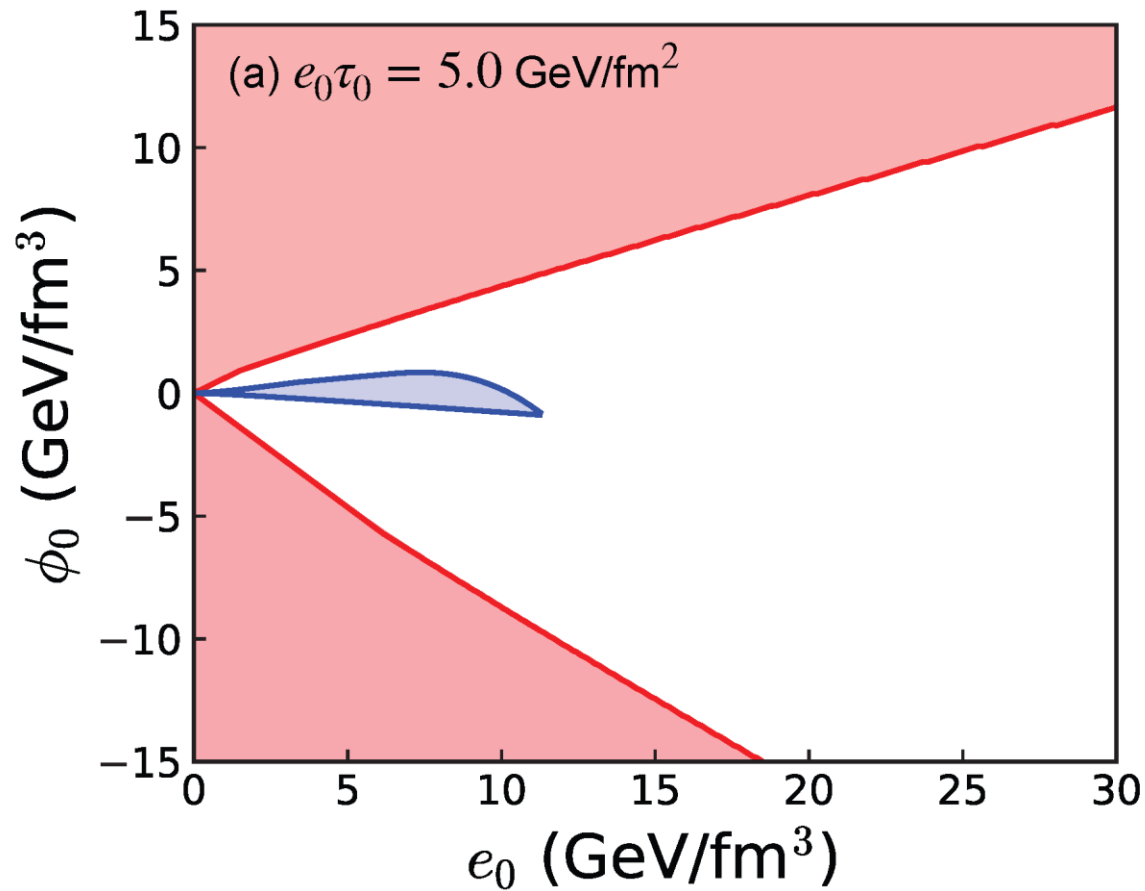
$$Re^{-1} < 0.23 \quad \text{From necessary conditions}$$

$$Re^{-1} < 0.07 \quad \text{From sufficient conditions}$$

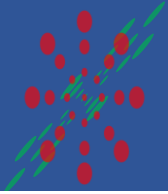
- Available regions of initial conditions from nonlinear causality
  - No hope for hydrodynamization  
→ Need nonequilibrium description
  - Insufficient to start from local equilibrium at early time
  - Existence of minimum time and maximum energy density with a help of Bjorken energy density



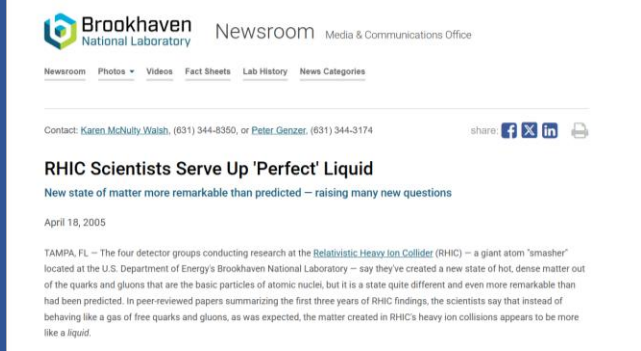
# Results with lattice EoS



# Introduction



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announced in 2005



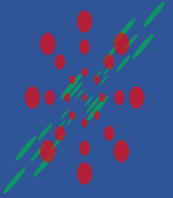
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spin/magneto hydrodynamics,  
Bayesian analysis,  
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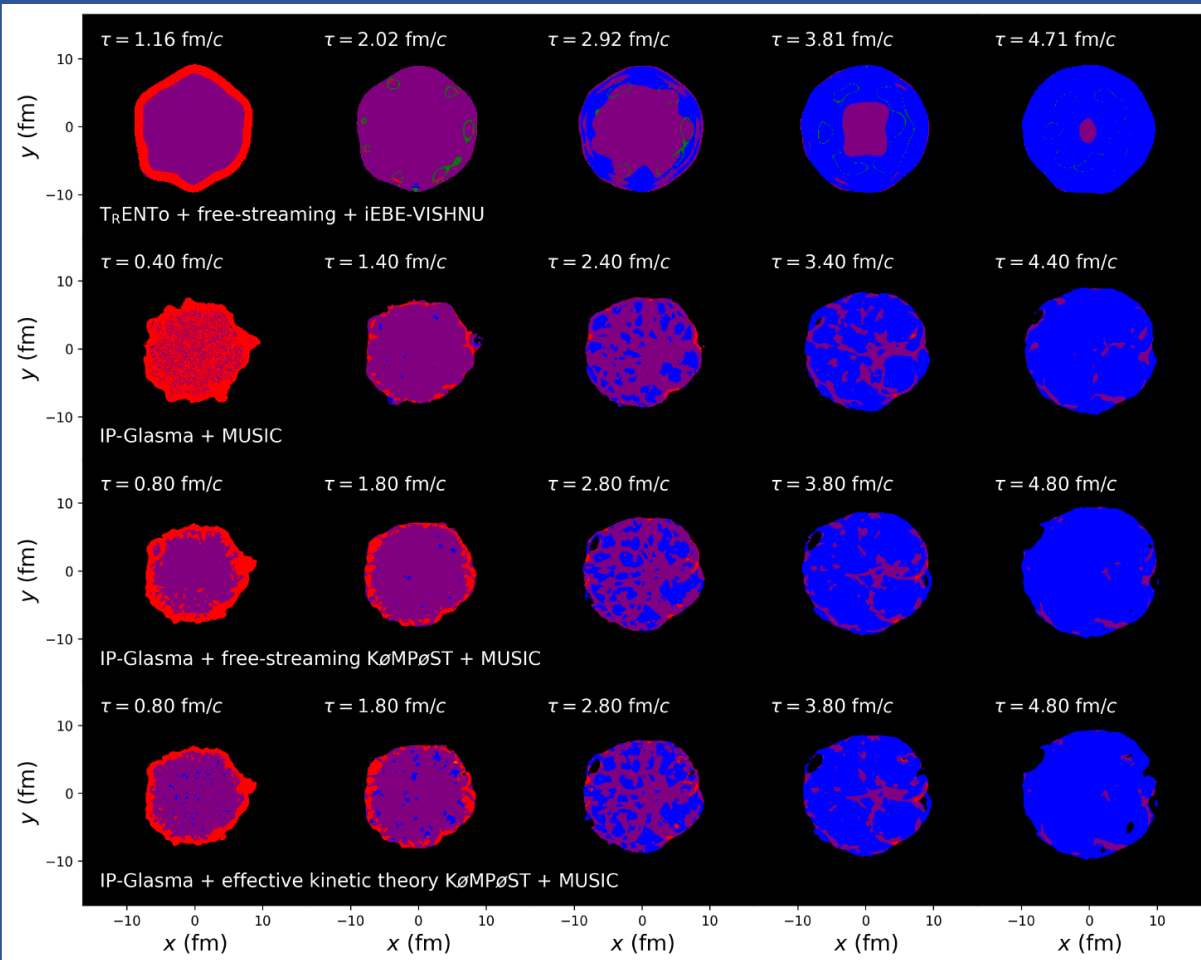
QGP fluids as thermal media  
thermal photon/dilepton  
jet quenching,  
heavy quark(onium)

Validation of QGP fluidity

# Causality violation in transverse plane



time

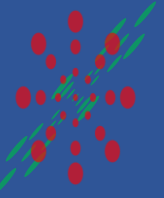


**Red:** Acausal  
**Blue:** Causal

Violations predominate in the early stage and/or the edge region.



To demonstrate this in a much simpler system, e.g., boost-invariant system



# Characteristic velocity

Hydro eqs. as quasi-linear PDE

$$A^\alpha(\Psi)\nabla_\alpha\Psi = F(\Psi)$$

$$\Psi = (e, u^\mu, \Pi, \pi^{0\mu}, \pi^{1\mu}, \pi^{2\mu}, \pi^{3\mu})^T$$



Characteristic eqs.

$$\det(A^\alpha\xi_\alpha) = 0, \quad \xi^\alpha = \nabla^\alpha\Phi(x)$$

Normal vector of characteristic surface

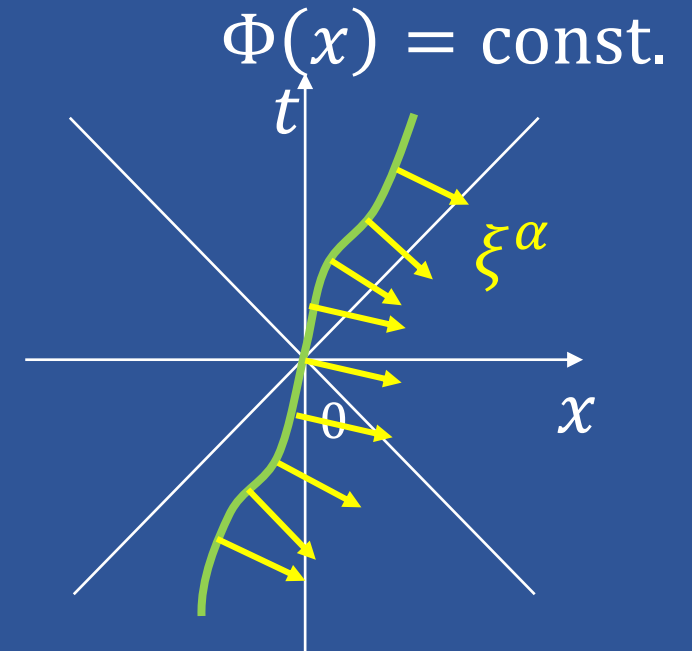
→ (Light-like or) space-like vector

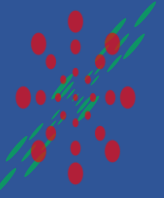
$$\xi^\alpha = bu^\alpha + a^\alpha, \quad \xi \cdot \xi = b^2 + a \cdot a \leq 0$$



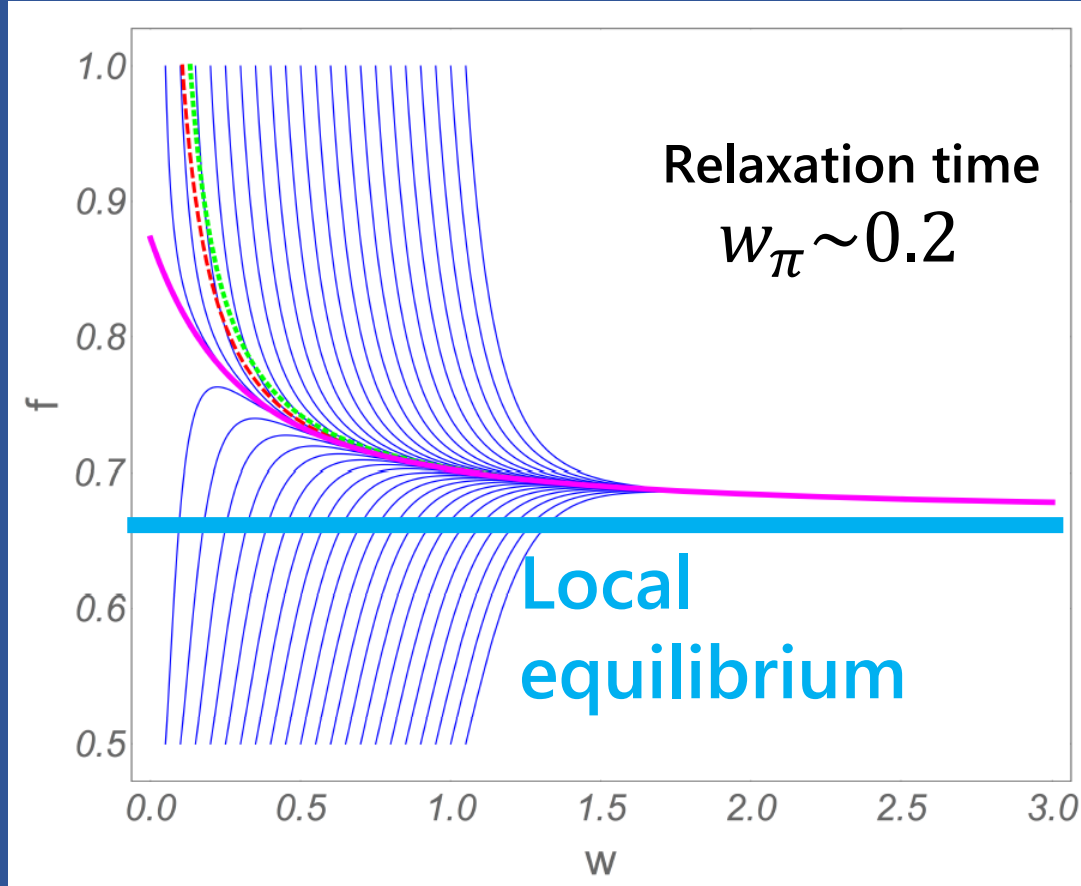
Characteristic velocity

$$0 \leq k(= -b^2/a \cdot a) \leq 1, \quad 0 \leq k = v_c^2 \leq 1$$





# Is hydrodynamic description valid after all?



Hydrodynamic **attractor** solution

→ Is fluid dynamics far from equilibrium justified?

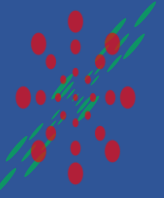
→ Are (almost) any initial conditions acceptable?

Purpose

Scrutiny of validation of hydrodynamic description from **nonlinear causality**

M.P. Heller and M. Spaliński, Phys. Rev. Lett. 115, 072501 (2015).





# Conformal fluids in Bjorken expansion

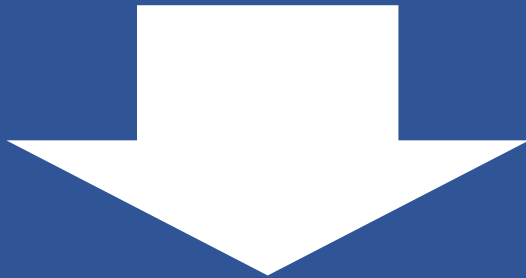
Balance eq. (Landau frame) and EoS

$$\partial_\mu T^{\mu\nu} = 0, \quad T^{\mu\nu} = eu^\mu u^\nu - P(g^{\mu\nu} - u^\mu u^\nu) + \pi^{\mu\nu}, \quad P = e/3$$

Constitutive eq. (BRSSS eq. with relevant terms in Bjorken expansion)

$$\tau_\pi D\pi^{\langle\mu\nu\rangle} + \pi^{\mu\nu} = 2\eta\nabla^{\langle\mu}u^{\nu\rangle} - \frac{4}{3}\tau_\pi\theta\pi^{\mu\nu} + \frac{\lambda_1}{\eta^2}\pi^{\langle\mu}{}_\rho\pi^{\nu\rangle\rho}$$

R. Baier *et al.*, JHEP 0804, 100 (2008).

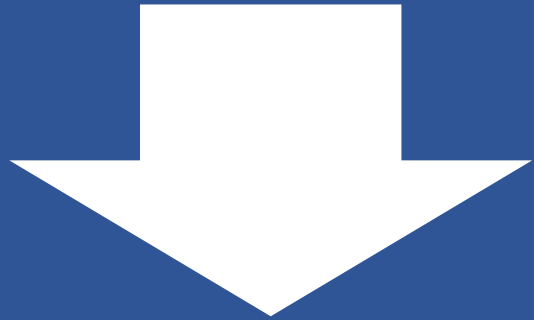
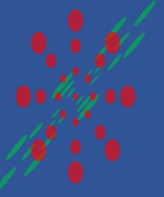


Boost invariant flow  $u^\mu = (\cosh \eta_s, 0, 0, \sinh \eta_s)$

J.D. Bjorken, Phys. Rev. D 27, 140 (1983).

$$\frac{d}{d\tau}e = -\frac{4}{3\tau}e + \frac{1}{\tau}\phi, \quad \left(1 + \tau_\pi \frac{d}{d\tau}\right)\phi = -\frac{4\tau_\pi}{3\tau}\phi + \frac{4\eta}{3\tau}, \quad \phi = \pi^{00} - \pi^{33} \quad \Rightarrow \quad P_L = \frac{e}{3} - \phi$$

\*Ignore  $\phi^2$  term for the moment by putting  $\lambda_1 = 0$  25



## Variable transformation

“Conformal time”:  $w = \tau T$

“Equilibrium measure”:  $f = \frac{3}{2} \tau \frac{1}{w} \frac{dw}{d\tau}$

$$C_{\tau\pi} w f \frac{df}{dw} + 4C_{\tau\pi} f^2 + \left( \frac{2}{3} w - \frac{32}{9} C_{\tau\pi} \right) f - C_{\eta} + 4C_{\tau\pi} - \frac{3}{2} w = 0$$

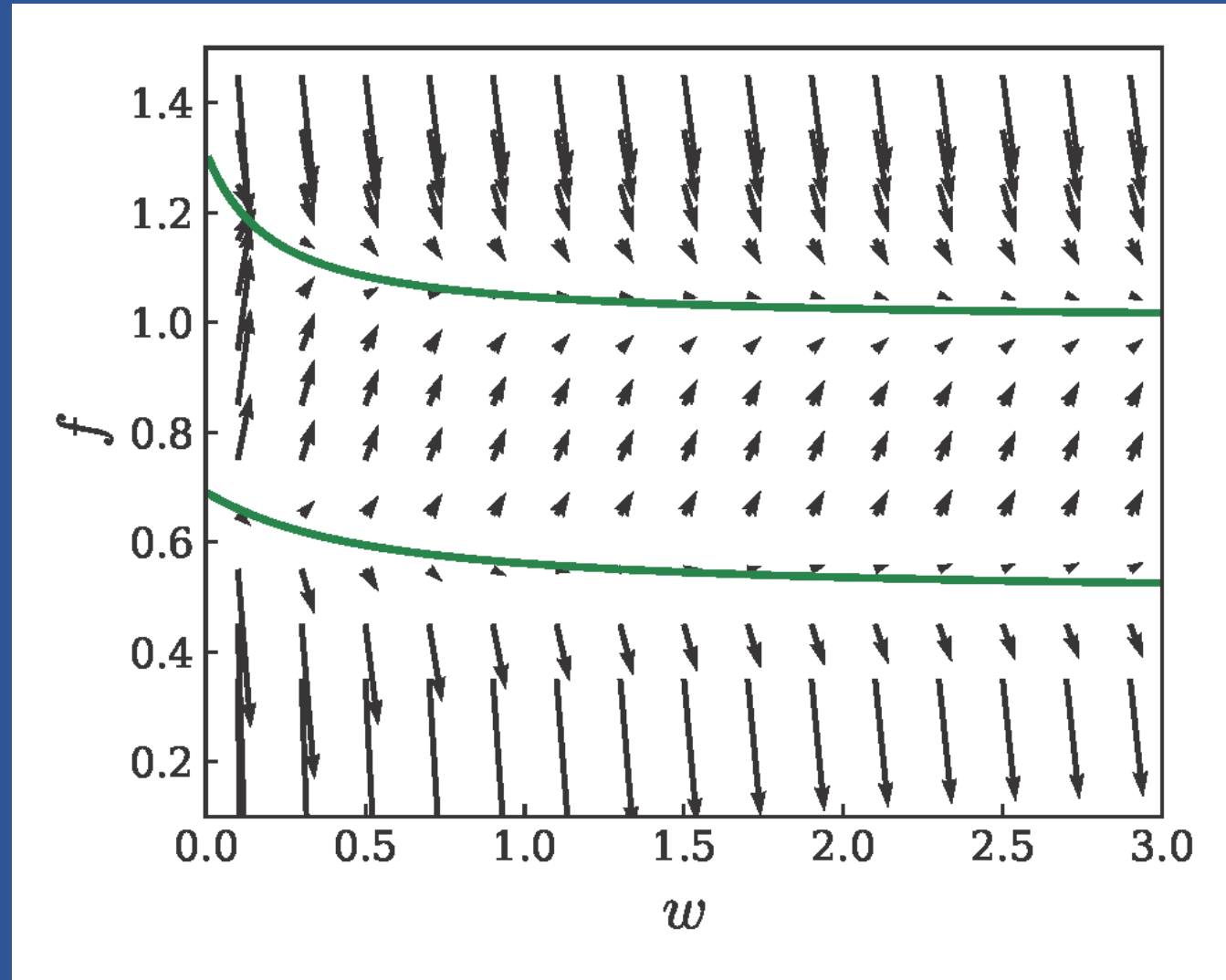
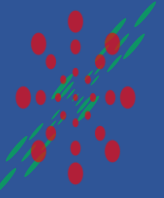
Transport coefficients:  $\eta = C_{\eta} S$ ,  $\tau_{\pi} = \frac{C_{\tau\pi}}{T}$

M.P. Heller and M. Spaliński, Phys. Rev. Lett. 115, 072501 (2015).

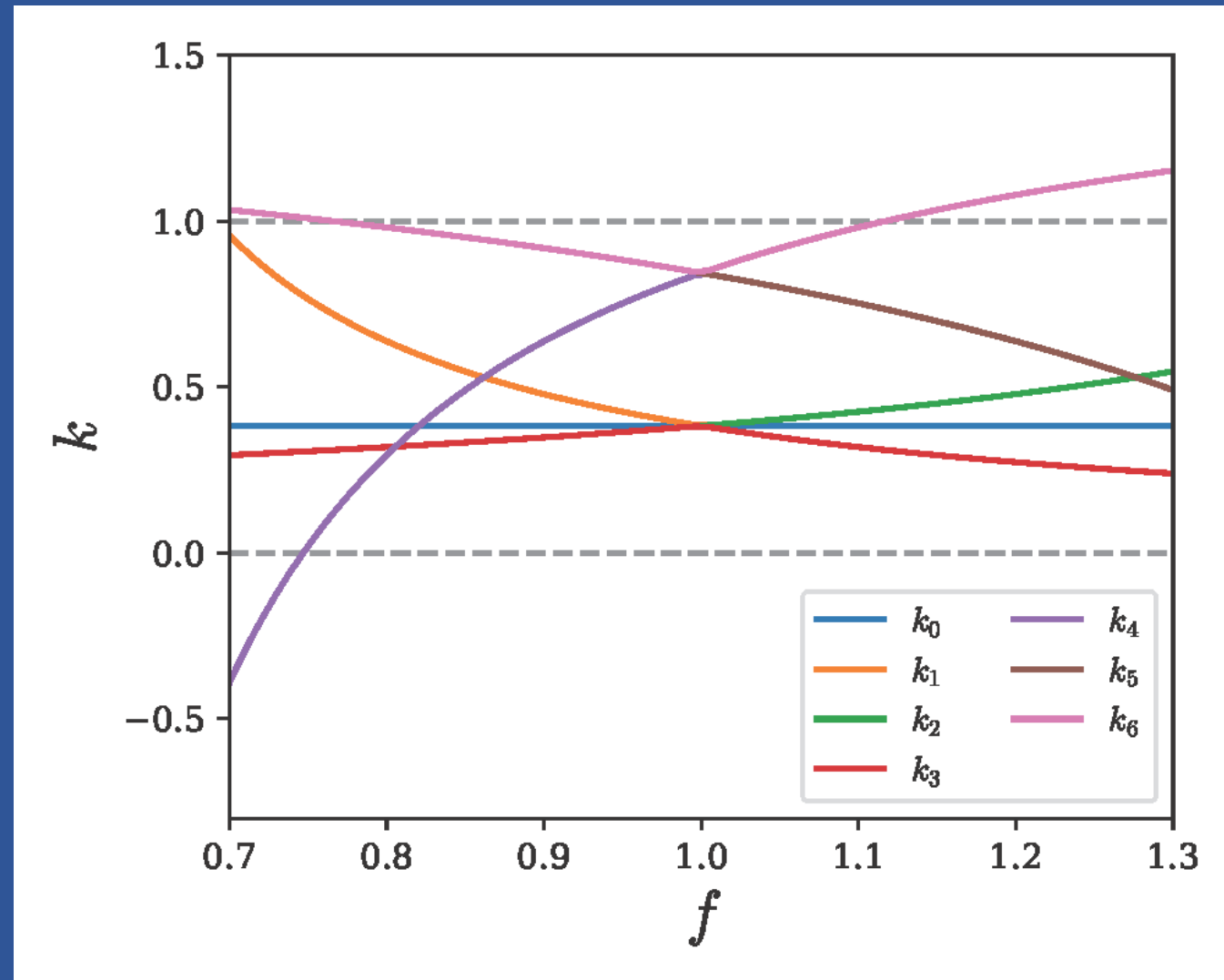
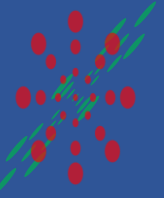
Note 1: In ideal hydrodynamics,  $w \propto \tau^{2/3}$  from  $T \propto \tau^{-1/3}$

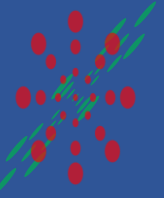
Note 2: Different normalization employed for  $f$

# Attractor and repulsive line



# Square of characteristic velocity





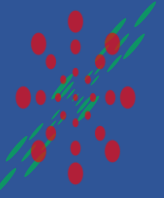
# Acausality of the first order relativistic dissipative equations

The "first" order theories (a.la. Eckart/Landau-Lifshitz)  
→ Entropy current with the first order terms in dissipative currents ( $s^\mu = s_0 u^\mu + q^\mu / T$ )

Dispersion relation against linear perturbation

$$\text{E.g.) Transverse mode } (\mathbf{k} \perp \mathbf{v}): \quad \omega = -i \frac{\eta}{e + P} k^2$$

Diffusive → Infinite characteristic speed → Acausal!



# Causality in non-linear regime?

Causality of second order hydrodynamics under static **equilibrium** background in linear perturbation

$$\Pi = 0, \quad \pi^{\mu\nu} = 0, \quad u^\mu = 0$$

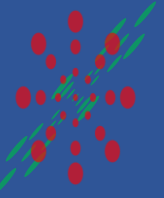
bulk pressure      shear stress      four velocity

See, e.g., W.A. Hiscock, L. Lindblom, Annals of Physics 151, 466 (1983).

→ Effects of transport coefficients in modern second order constitutive eqs. ?

$$\delta_{\pi\pi} \pi^{\mu\nu} \theta, \quad \tau_{\pi\pi} \pi^{\langle\mu} \sigma^{\nu\rangle\alpha}, \quad \lambda_{\pi\Pi} \Pi \sigma^{\mu\nu}$$

→ Need to go beyond linear regime to capture full **non-linearity** of relativistic dissipative hydrodynamic equation



# Conditions for non-linear causality

Quasi-linear PDE

$$A^\alpha(\Psi)\nabla_\alpha\Psi = F$$



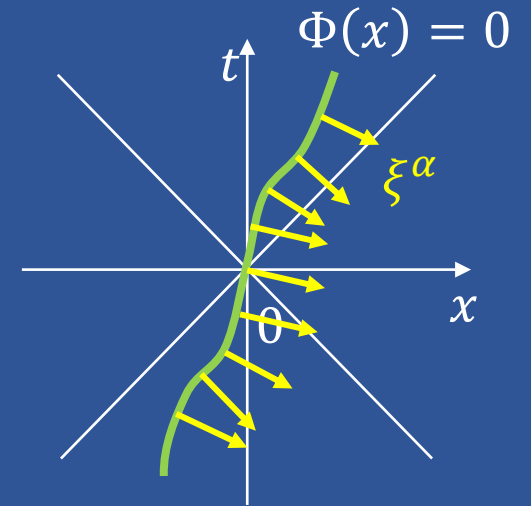
Characteristic eqs.

$$\det(A^\alpha\xi_\alpha) = 0, \quad \xi^\alpha = \nabla^\alpha\Phi(x)$$

The system is causal if\*

Condition 1: The roots of characteristic equations  $\xi^0 = \xi^0(\xi^i)$  are real.

Condition 2: The normal vector  $\xi^\alpha$  of a characteristic surface is **space-like** (or light-like) so that the surface  $\Phi(x) = \text{const.}$  is **time-like**.

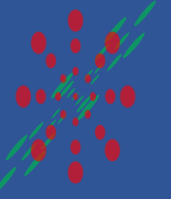


$$\xi^\alpha = bu^\alpha + a^\alpha, \quad \xi \cdot \xi = b^2 + a \cdot a \leq 0, \quad 0 \leq k(= -b^2/a \cdot a) \leq 1$$



\*There exists a mathematically rigorous definition of causality.

# Derivation of equilibrium measure in conformal + boost invariant flow

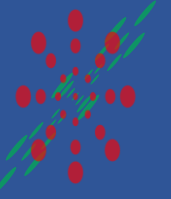


$$\begin{aligned} f &= \frac{3}{2} \tau \frac{1}{w} \frac{dw}{d\tau} \\ &= \frac{3}{2} \left( 1 + \tau \frac{1}{T} \frac{dT}{d\tau} \right) = \frac{3}{2} \left( 1 + \tau \frac{1}{4e} \frac{de}{d\tau} \right) \\ &= \frac{3}{2} \left[ 1 + \tau \frac{1}{4e} \left( -\frac{e + P - \phi}{\tau} \right) \right] \\ &= \frac{3}{2} + \frac{3}{8e} \left( -\frac{4}{3}e + \phi \right) = 1 + \frac{3\phi}{8e} \end{aligned}$$

Conformality  $e \propto T^4$

Bjorken equation





# Necessary conditions in DNMR

$$(2\eta + \lambda_{\pi\Pi}) - \frac{1}{2}\tau_{\pi\pi}|\Lambda_1| \geq 0$$

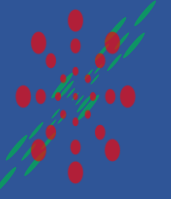
$$\frac{1}{2\tau_\pi}(2\eta + \lambda_{\pi\Pi}\Pi) + \frac{\tau_{\pi\pi}}{4\tau_\pi}(\Lambda_a + \Lambda_d) \geq 0$$

$$e + P_s + \Pi - \frac{1}{2\tau_\pi}(2\eta + \lambda_{\pi\Pi}\Pi) - \frac{\tau_{\pi\pi}}{4\tau_\pi}\Lambda_3 \geq 0$$

$$e + P_s + \Pi + \Lambda_a - \frac{1}{2\tau_\pi}(2\eta + \lambda_{\pi\Pi}\Pi) - \frac{\tau_{\pi\pi}}{4\tau_\pi}(\Lambda_d + \Lambda_a)$$

$$\frac{1}{2\tau_\pi}(2\eta + \lambda_{\pi\Pi}\Pi) + \frac{\tau_{\pi\pi}}{2\tau_\pi}\Lambda_d + \frac{1}{6\tau_\pi}[2\eta + \lambda_{\pi\Pi}\Pi + (6\delta_{\pi\pi} - \tau_{\pi\pi})\Lambda_d] + \frac{\zeta + \delta_{\Pi\Pi}\Pi + \lambda_{\Pi\pi}\Lambda_d}{\tau_\pi} + (e + P_s + \Pi + \Lambda_d)c_s^2 \geq 0$$

$$e + P_s + \Pi + \Lambda_d - \frac{1}{2\tau_\pi}(2\eta + \lambda_{\pi\Pi}\Pi) - \frac{\tau_{\pi\pi}}{2\tau_\pi}\Lambda_d - \frac{1}{6\tau_\pi}[2\eta + \lambda_{\pi\Pi}\Pi + (6\delta_{\pi\pi} - \tau_{\pi\pi})\Lambda_d] - \frac{\zeta + \delta_{\Pi\Pi}\Pi + \lambda_{\Pi\pi}\Lambda_d}{\tau_\pi} - (e + P_s + \Pi + \Lambda_d)c_s^2 \geq 0$$



# Sufficient conditions in DNMR

$$\tau_{\pi\pi} \leq 6\delta_{\pi\pi}$$

$$(e + P_s + \Pi - |\Lambda_1|) - \frac{1}{2\tau_\pi} (2\eta + \lambda_{\pi\Pi}\Pi) - \frac{\tau_{\pi\pi}}{2\tau_\pi} \Lambda_3 \geq 0$$

$$(2\eta + \lambda_{\pi\Pi}\Pi) - \tau_{\pi\pi}|\Lambda_1| > 0 \quad \frac{\lambda_{\pi\Pi}}{\tau_\Pi} + c_s^2 - \frac{\tau_{\pi\pi}}{12\tau_\pi} \geq 0$$

$$1 \geq \frac{\frac{12\delta_{\pi\pi} - \tau_{\pi\pi}}{12\tau_\pi} \left( \frac{\lambda_{\pi\Pi}}{\tau_\Pi} + c_s^2 - \frac{\tau_{\pi\pi}}{12\tau_\pi} \right) (\Lambda_3 + |\Lambda_1|)^2}{\left[ \frac{1}{2\tau_\pi} (2\eta + \lambda_{\pi\Pi}\Pi) - \frac{\tau_{\pi\pi}}{2\tau_\pi} |\Lambda_1| \right]^2}$$

$$\frac{1}{6\tau_\pi} [2\eta + \lambda_{\pi\Pi}\Pi + (\tau_{\pi\pi} - 6\delta_{\pi\pi})|\Lambda_1|] + \frac{\zeta + \delta_{\pi\Pi}\Pi - \lambda_{\pi\pi}|\Lambda_1|}{\tau_\Pi} + (e + P_s + \Pi - |\Lambda_1|)c_s^2 \geq 0$$

$$\frac{1}{3\tau_\pi} [4\eta + 2\lambda_{\pi\Pi}\Pi + (3\delta_{\pi\pi})\Lambda_3] + \frac{\zeta + \delta_{\pi\Pi}\Pi + \lambda_{\pi\pi}\Lambda_3}{\tau_\pi} + |\Lambda_1| + \Lambda_3 c_s^2 + \frac{\frac{12\delta_{\pi\pi} - \tau_{\pi\pi}}{12\tau_\pi} \left( \frac{\lambda_{\pi\Pi}}{\tau_\Pi} + c_s^2 - \frac{\tau_{\pi\pi}}{12\tau_\pi} \right) (\Lambda_3 + |\Lambda_1|)^2}{e + P_s + \Pi - |\Lambda_1| - \frac{1}{2\tau_\pi} (2\eta + \lambda_{\pi\Pi}\Pi) - \frac{\tau_{\pi\pi}}{2\tau_\pi} \Lambda_3} \leq (e + P_s + \Pi)(1 - c_s^2)$$

$$\frac{1}{3\tau_\pi} [4\eta + 2\lambda_{\pi\Pi}\Pi - (3\delta_{\pi\pi} + \tau_{\pi\pi}|\Lambda_1|)] + \frac{\zeta + \delta_{\pi\Pi}\Pi - \lambda_{\pi\pi}|\Lambda_1|}{\tau_\Pi} + (e + P_s + \Pi - |\Lambda_1|)c_s^2 \geq \frac{(e + P_s + \Pi + \Lambda_2)(e + P_s + \Pi + \Lambda_3)}{3(e + P_s + \Pi - |\Lambda_1|)} \left\{ 1 + \frac{2 \left[ \frac{1}{2\tau_\pi} (2\eta + \lambda_{\pi\Pi}\Pi) + \frac{\tau_{\pi\pi}}{2\tau_\pi} \Lambda_3 \right]}{e + P_s + \Pi - |\Lambda_1|} \right\}$$