

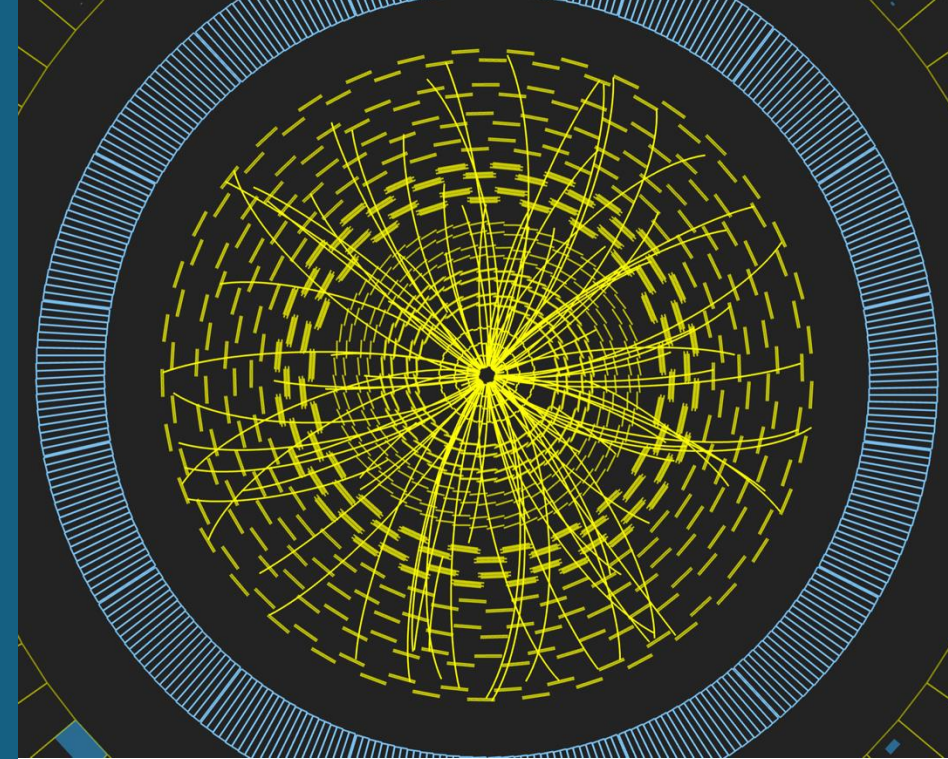
# Smartpixels with data reduction at the source

Jannicke Pearkes, University of Colorado

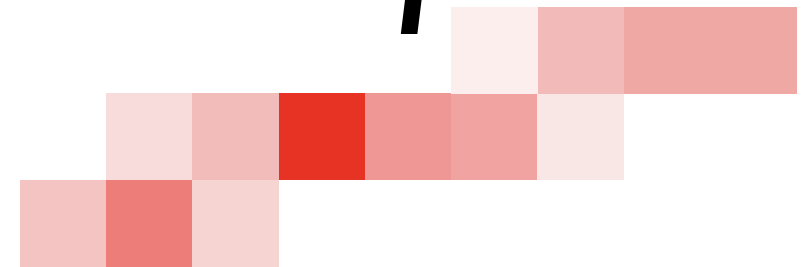
On behalf of the **smartpixels collaboration**

NGT workshop: hls4ml HEP Community Forum

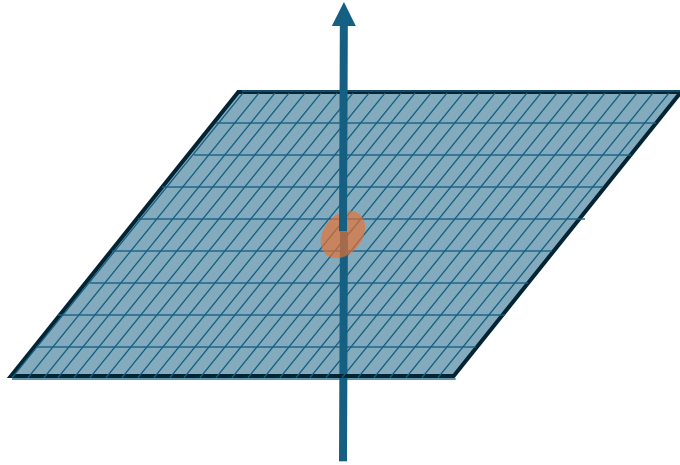
September 27<sup>th</sup>, 2024



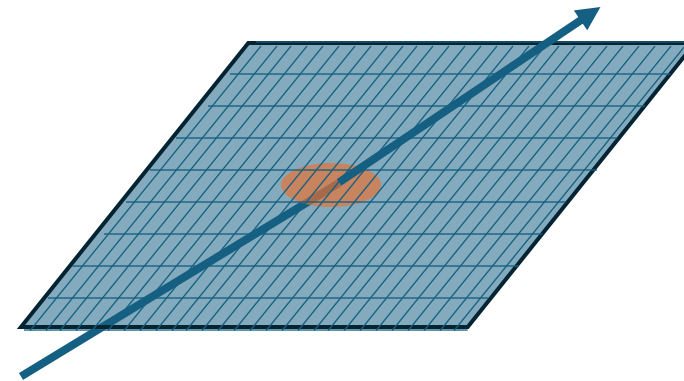
***smartpixels***



# Concept behind smartpixels



Charged particle path perpendicular to sensor:  
Regular charge cluster shape

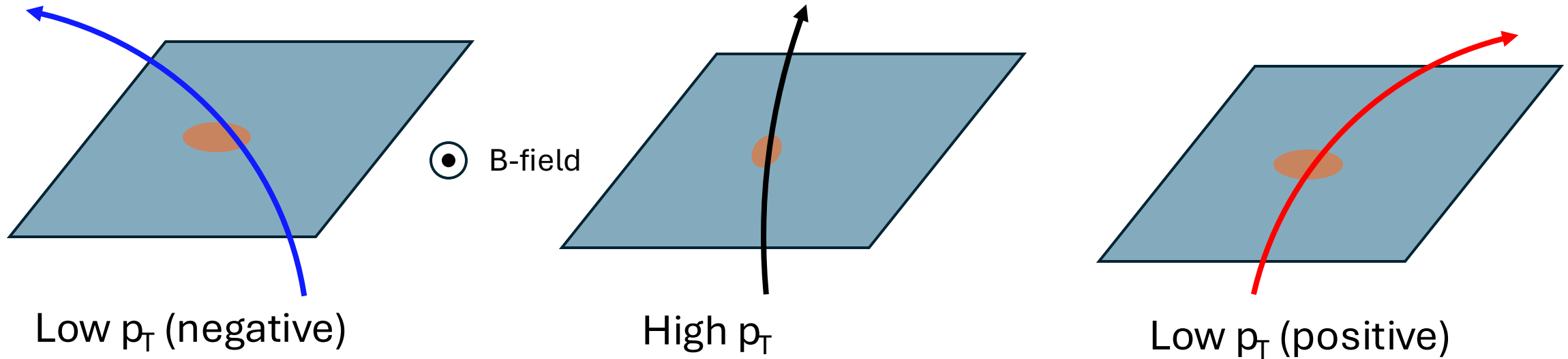


Charged particle path at angle to sensor:  
Smeared charge cluster shape

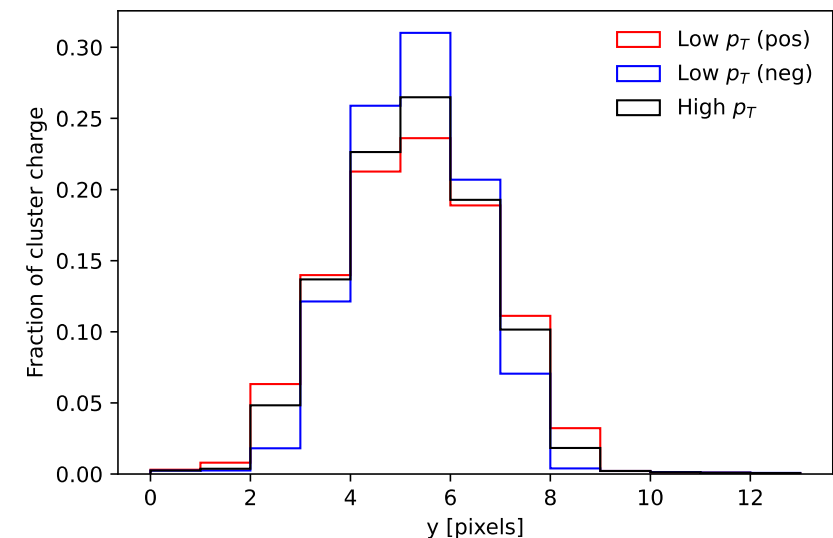
Use cluster shape to extract incident angle of particle traversing pixel sensors

# Concept behind smartpixels

[Yoo et al 2024 Mach. Learn.: Sci. Technol. 5 035047](#)

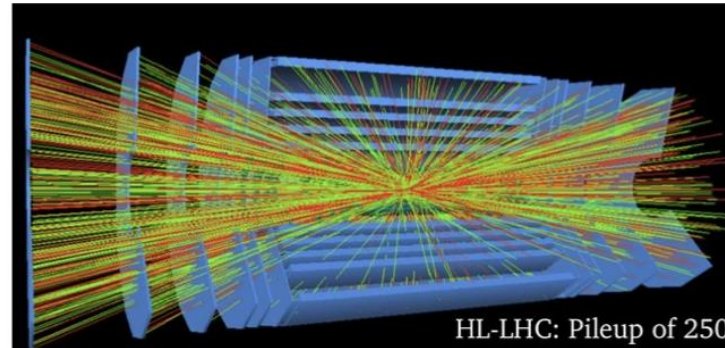
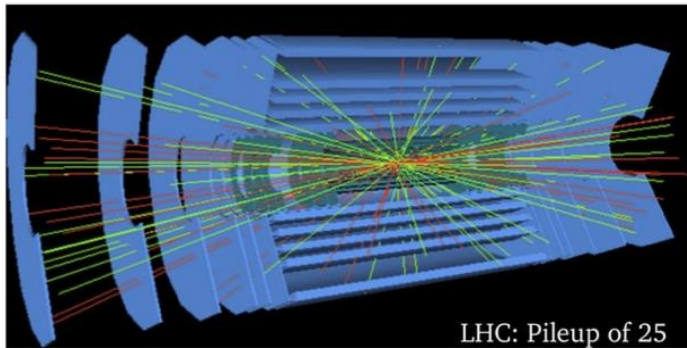


- Can use a locally customized neural network in sensor readout to distinguish low  $p_T$  from high  $p_T$  charged particles
- Lorentz drift shifts cluster charge distribution

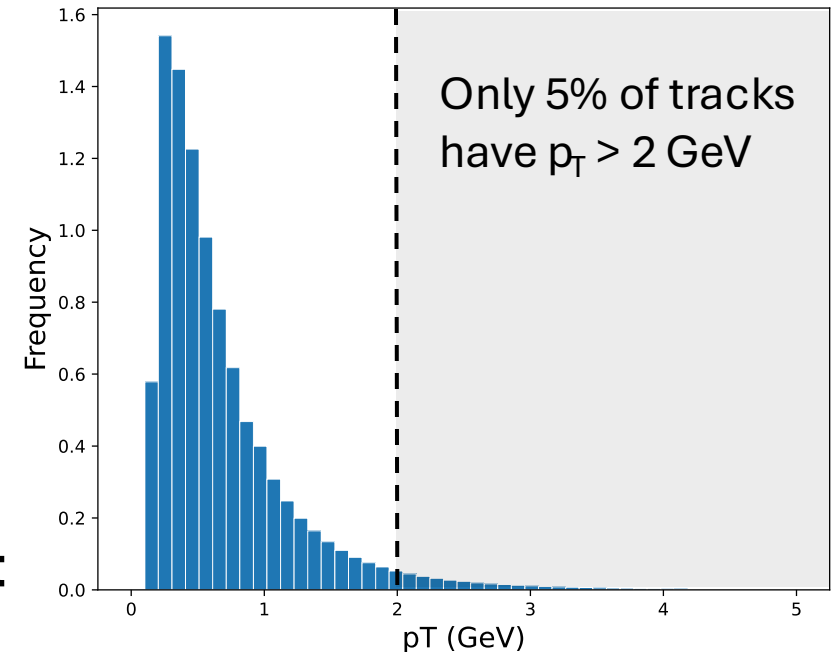


# Why smartpixels?

- High-luminosity LHC is going to result in unprecedented data rates especially in the tracking detectors

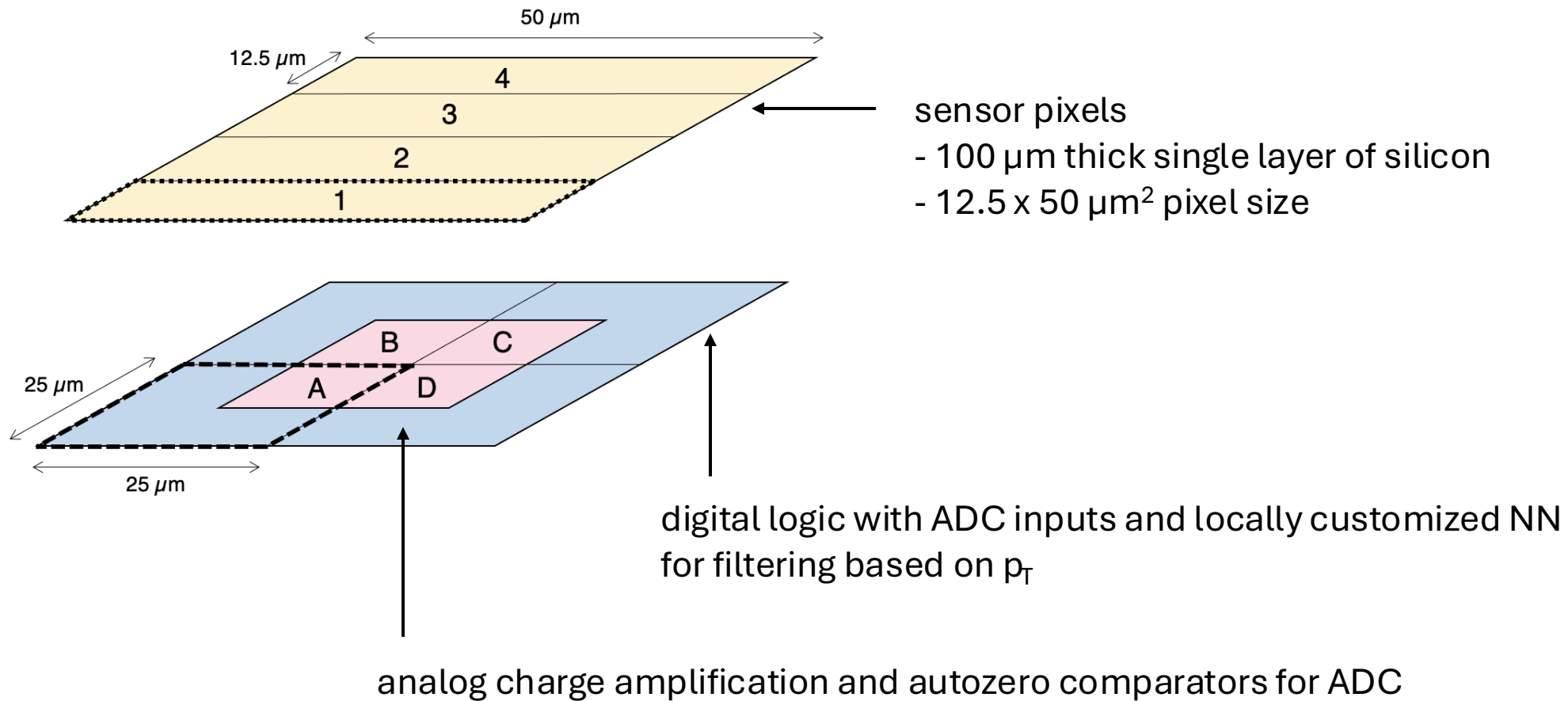


- **By filtering on track momentum with smartpixels we could reduce the data volume at the source**, lowering both rates and power consumption



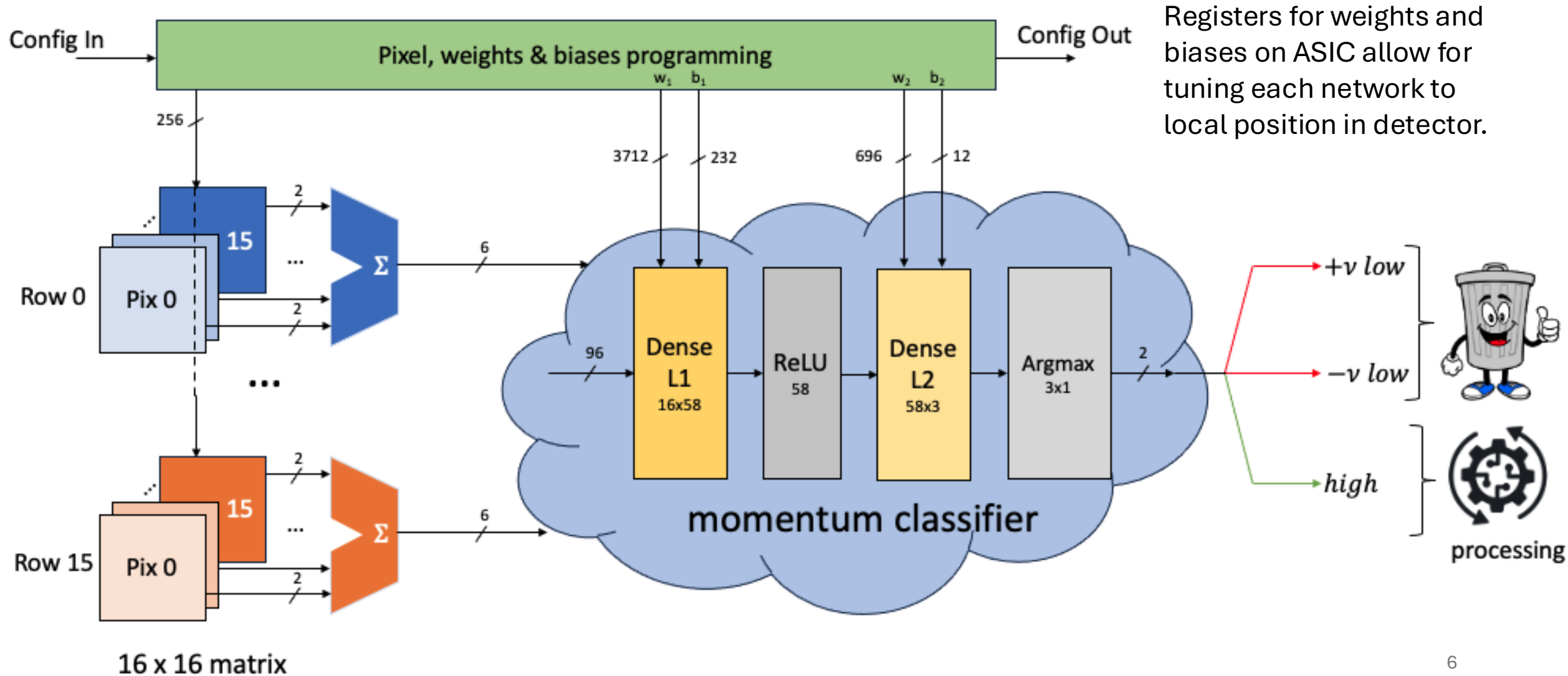
Momentum distribution of tracks reconstructed by the CMS detector during Run 2 data taking [2310.02474](#)

# Smartpixels design



# Neural network for $p_T$ filter

Image: Benjamin Parpillon



# How well does it work?

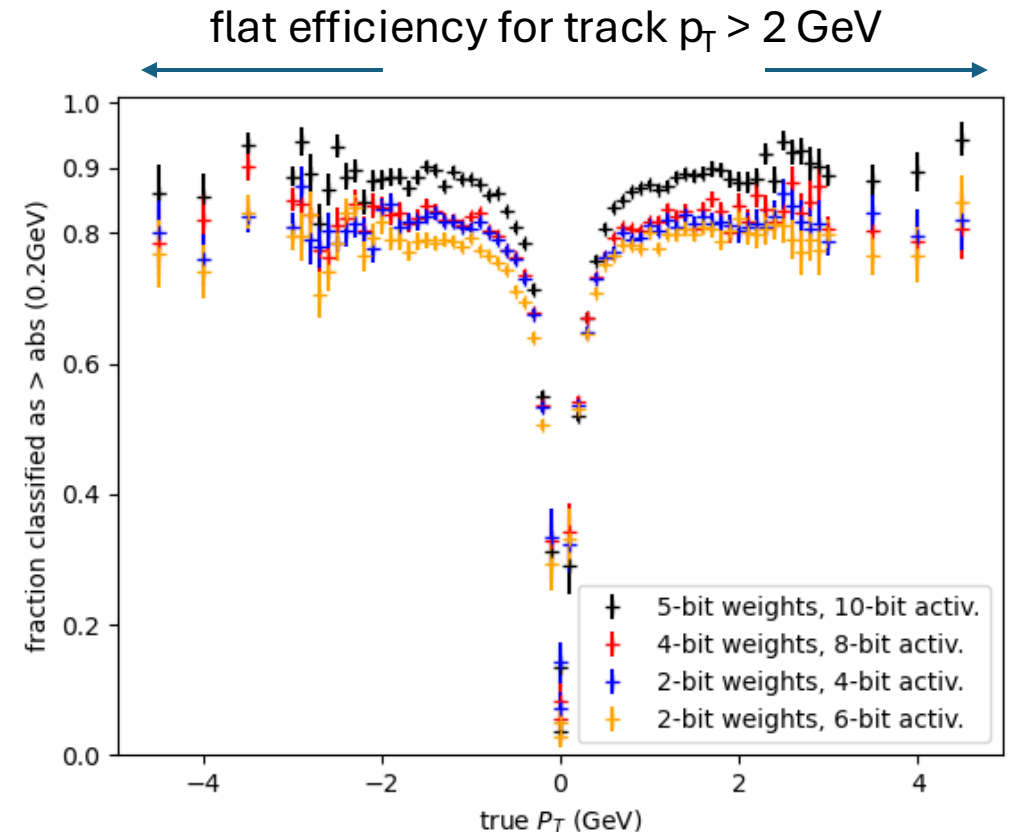
- Tested multiple network architectures and quantization options
- **Can reduce data rate by 54.4% - 75.4%**
- Expected power consumption 300  $\mu\text{W}/\text{cm}^2$
- Expected latency 3.9ns

## Simulation details:

- Tracked data taken from CMS with  $p_T$  up to  $\sim 5$  GeV
- Untracked data not included and includes CMS acceptances
- PixelAV simulation of silicon sensor
- Sensor placed at  $r=30\text{mm}$  in 3.8T magnetic field
- Single  $100\mu\text{m}$  thick layer of silicon with  $12.5\times 50 \mu\text{m}^2$  pixels
- Overall sensor area  $16\times 16 \text{mm}^2$
- Bias voltage of -100 V

| Model                      | Sig. efficiency | Bkg. rejection |
|----------------------------|-----------------|----------------|
| Full precision             | 93.3 %          | 25.1 %         |
| Quantized inputs           | 88.8 %          | 25.8 %         |
| Quantized weights & inputs | 87.3 %          | 28.2 %         |

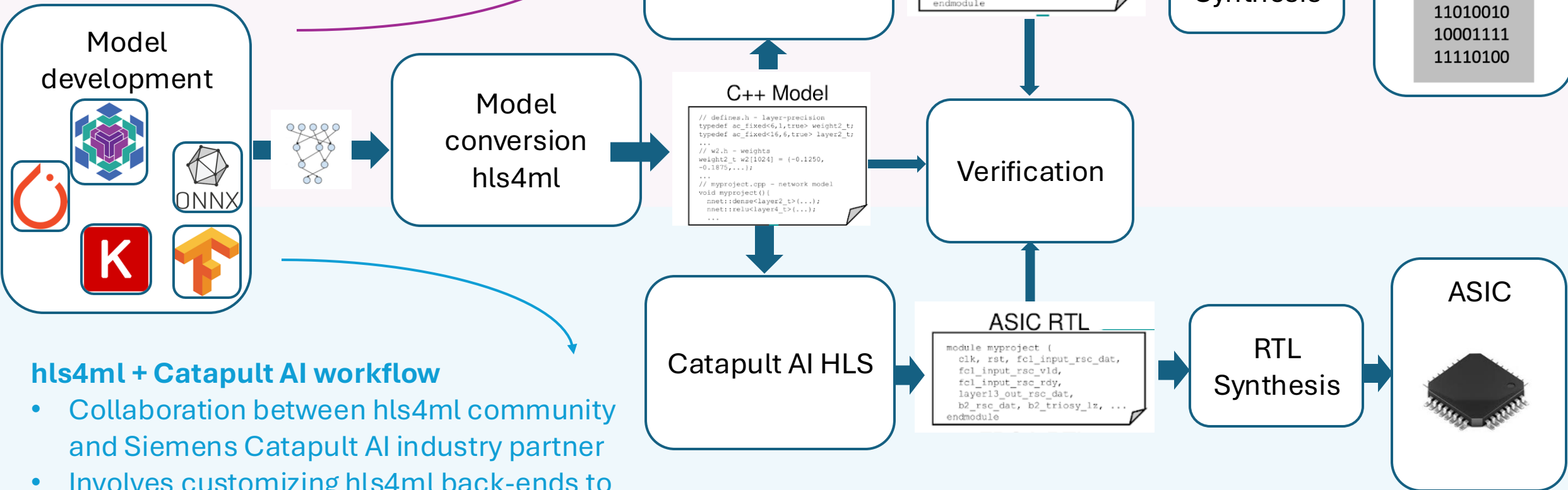
[Yoo et al 2024 Mach. Learn.: Sci. Technol. 5 035047](#)





# Integration of hls4ml and Catapult AI

## Typical hls4ml to FPGA workflow



## hls4ml + Catapult AI workflow

- Collaboration between hls4ml community and Siemens Catapult AI industry partner
- Involves customizing hls4ml back-ends to meet Catapult AI specifications



# Chip tape-out and testing:

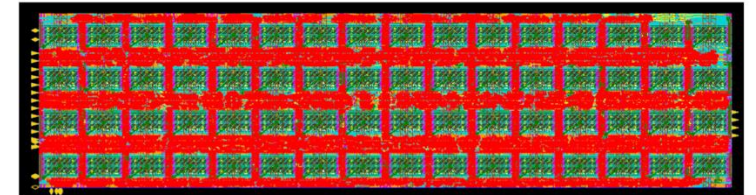
- Prototype 1.5mm<sup>2</sup> ASIC with momentum filtering NN in 28nm CMOS has been fabricated\*
- Tests of bare chip currently in progress

## Next steps after testing prototype:

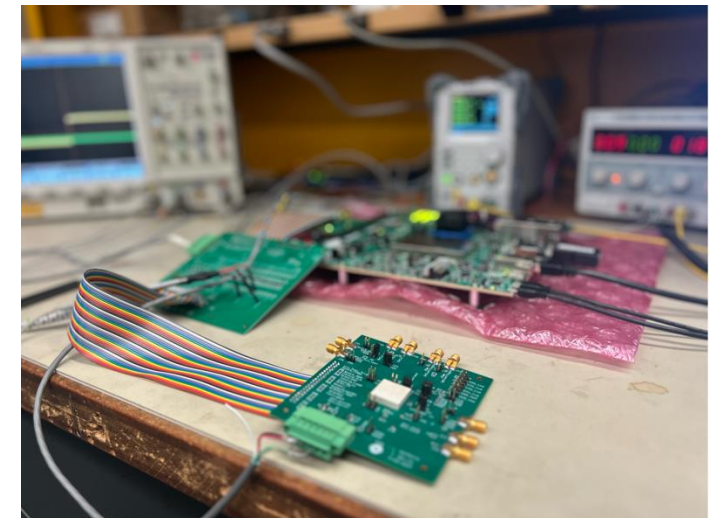
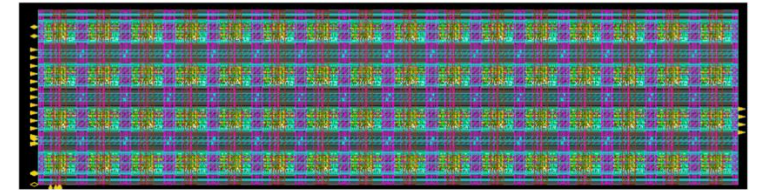
- Build a bigger chip to bump bond to sensor & test in a testbeam

<https://arxiv.org/abs/2406.14860>

Red = classifier algorithm



Floorplan with analog pixels with power and bias grid

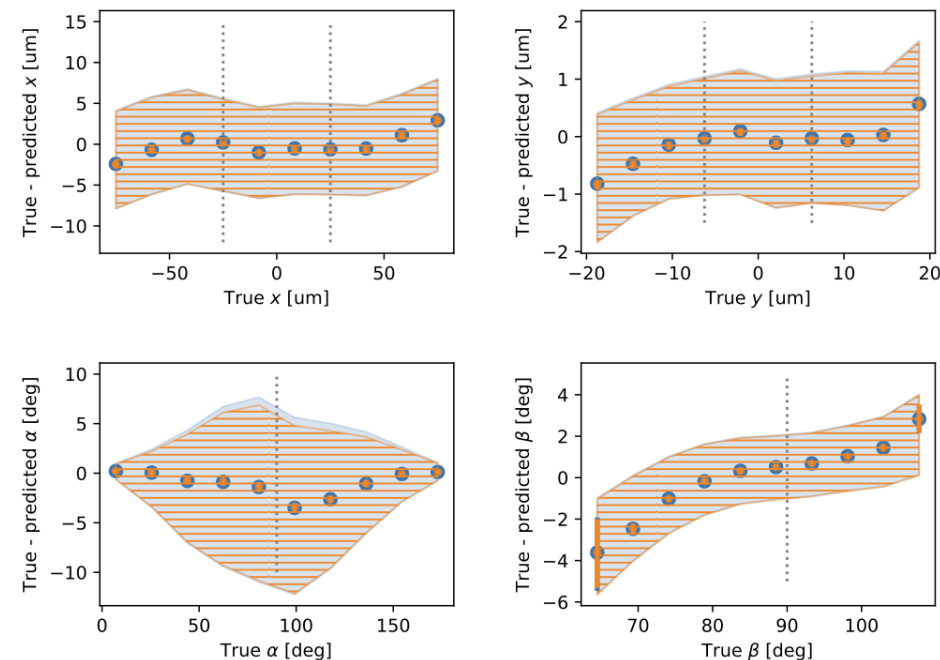


\*for characterizations of radiation hardness of 28nm, see [G. Borghello, TWEPP 2023](#)

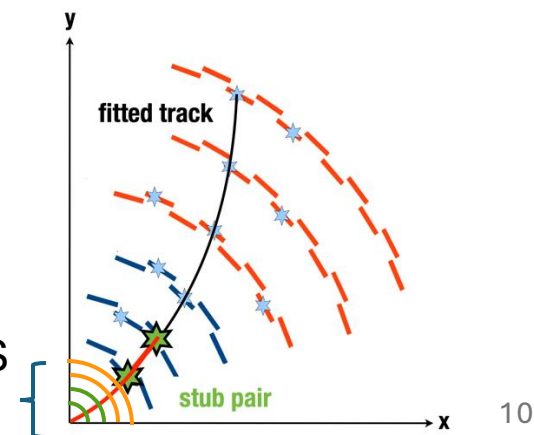
# Further developments

- Regression of position, angles and associated uncertainties of charged particle track with mixture density networks
- Regression could further reduce data volume by compressing pixel hits into salient physics quantities
- Combination of regression + momentum filtering could be used to include inner tracker in CMS L1 track trigger, with standard pixel hits being read out in parallel given an L1 accept
- Studies examining technical feasibility and physics outcomes of integrating within CMS L1 track trigger for Phase III (~2035)
- Applications in future colliders, e.g. rejecting beam-induced-backgrounds at muon colliders

<https://arxiv.org/abs/2312.11676>



Pixel layers unused in CMS L1 track trigger upgrade



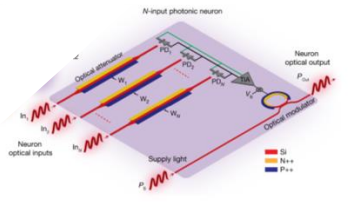
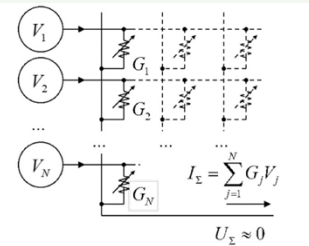
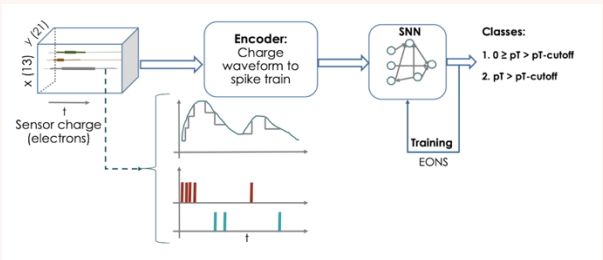
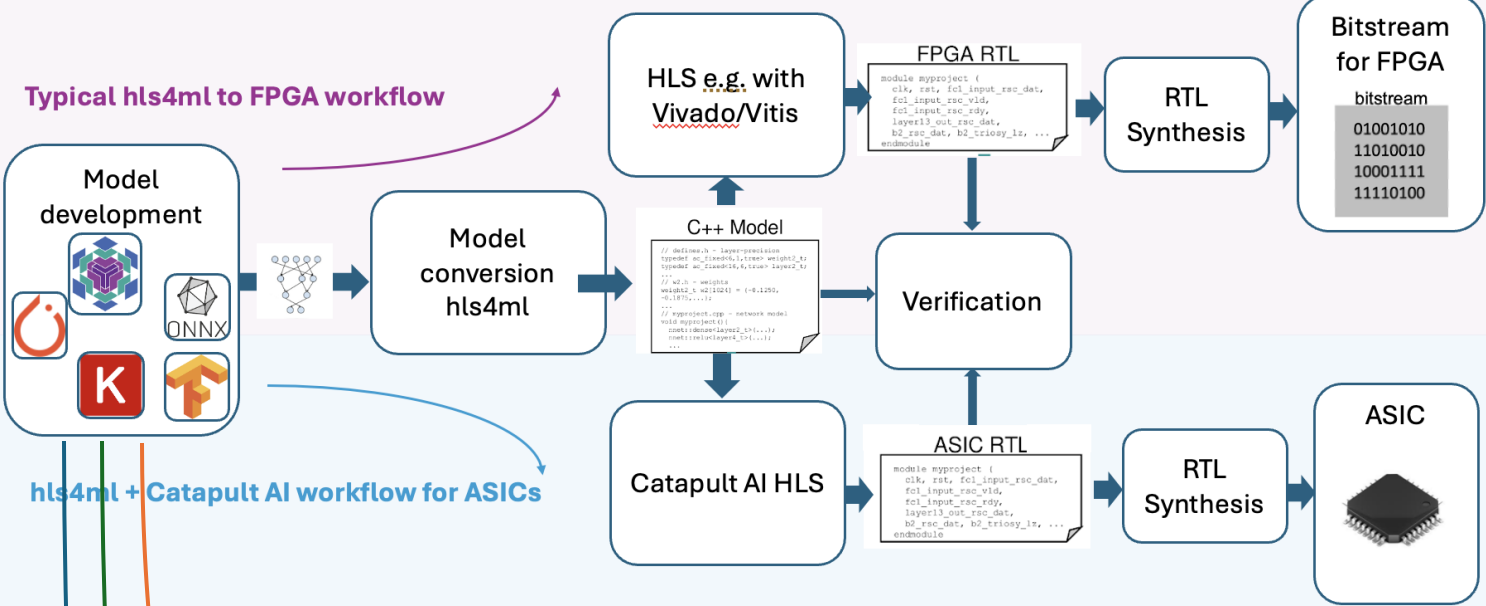
# What we want to see from the hls4ml community:

- Continued collaboration with Siemens + Catapult AI to keep architectures supported by hls4ml also supported in Catapult AI backend
- hls4ml on ASICs opens up space for larger range of “Smart detectors” applications e.g ML compressed readouts for high granularity or dual readout calorimeters

**Bold** indicates tested in Catapult AI Workflow so far, typically on a per-project basis

| NN Layers                               | Activation Functions | Pooling/Padding/Reshaping           |
|---|----------------------|-------------------------------------|
| Conv1D, Conv2D                          | ELU                  | AveragePooling1D, AveragePooling2D  |
| <b>SeparableConv1D, SeparableConv2D</b> | <b>LeakyReLU</b>     | <b>MaxPooling1D, MaxPooling2D</b>   |
| <b>BatchNormalization</b>               | PReLU                | UpSampling1D, UpSampling2D          |
| <b>Dense</b>                            | <b>ReLU</b>          | <b>ZeroPadding1D, ZeroPadding2D</b> |
| <b>DepthwiseConv1D, DepthwiseConv2D</b> | <b>Softmax</b>       | <b>Resize</b>                       |
| <b>PointwiseConv1D, PointwiseConv2D</b> | TernaryTanh          | Transpose                           |
| LSTM                                    | ThresholdedReLU      | Merge                               |
| SimpleRNN                               |                      | Dot                                 |
| TernaryDense                            |                      | Concatenate                         |
|   |                      | <b>Clone</b>                        |

“Can the hls4ml paradigm be applied across novel beyond CMOS microelectronics back-ends?”



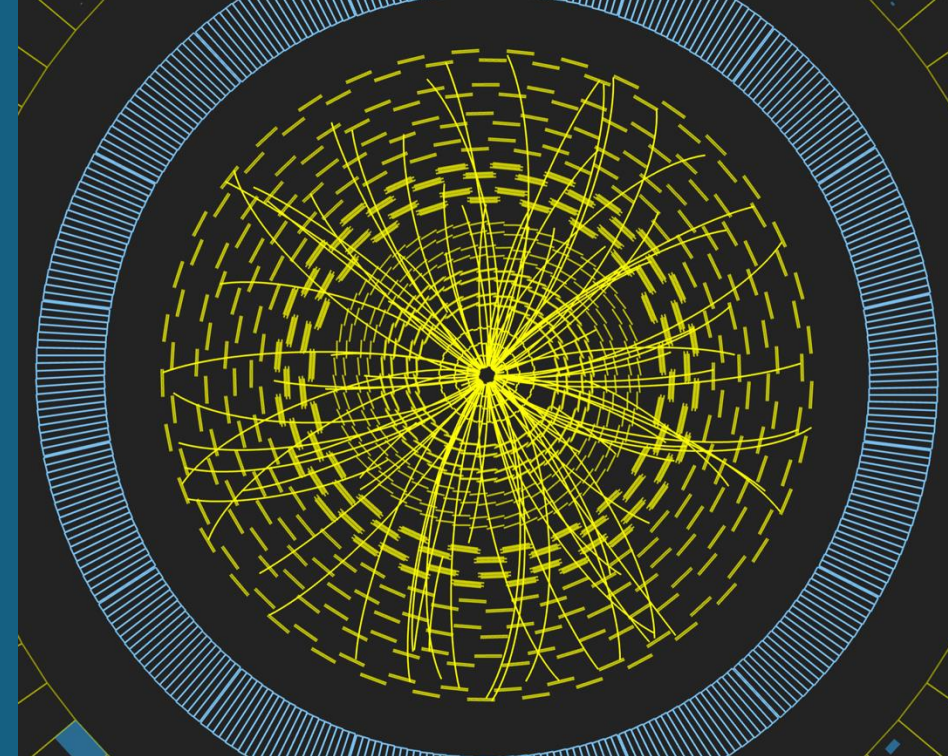
hls4ml for Spiking Neural Networks?  
 SNNs for smartpixels: <https://arxiv.org/abs/2307.11242>

hls4ml for analog circuit-based neural networks?

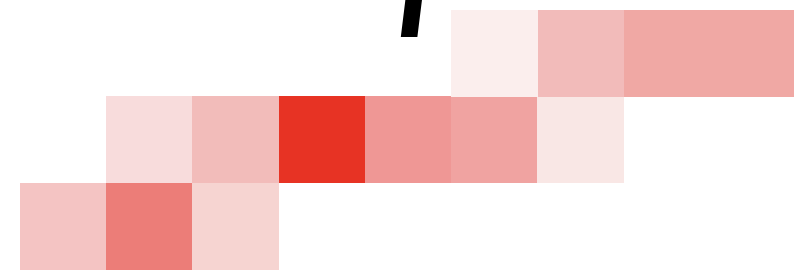
hls4ml for optical neural networks?  
 ... and many, many more.

See review in Applications and Techniques for Fast Machine Learning in Science

Thank you!



*smartpixels*

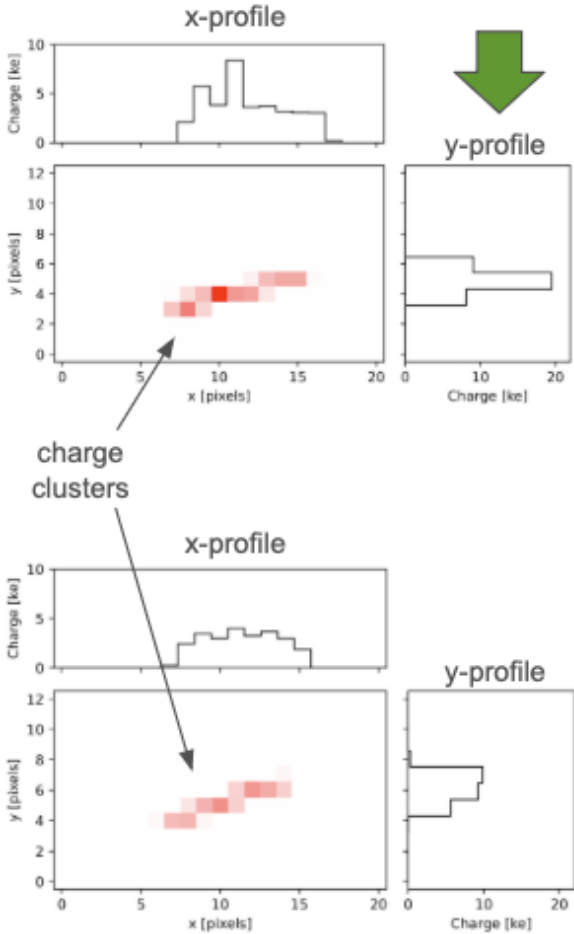
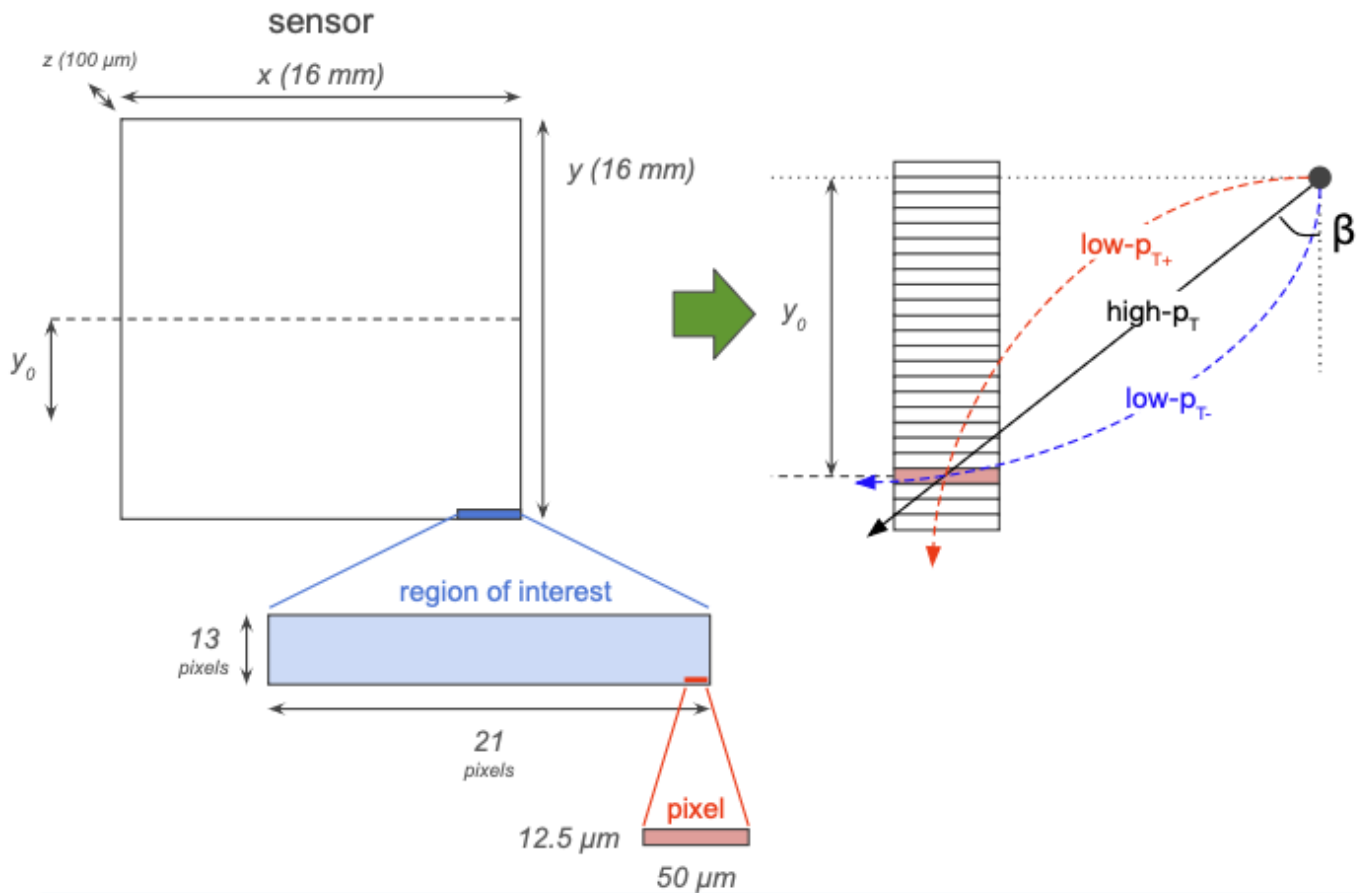




# References:

- On-Sensor Data Filtering using Neuromorphic Computing for High Energy Physics Experiments (Jul 2023) <https://arxiv.org/abs/2307.11242>
- Smart pixel sensors: towards on-sensor filtering of pixel clusters with deep learning (Oct 2023) <https://iopscience.iop.org/article/10.1088/2632-2153/ad6a00>
- Smartpixels: Towards on-sensor inference of charged particle track parameters and uncertainties (Dec 2023) <https://arxiv.org/abs/2312.11676>
- Smart Pixels: In-pixel AI for on-sensor data filtering (June 2024) <https://arxiv.org/abs/2406.14860>
- Siemens Catapult AI + hls4ml press release (May 2024) <https://newsroom.sw.siemens.com/en-US/siemens-catapult-ai-nn/>
- Jennet Dickinson, LCWS 2023 (May 2023) <https://indico.slac.stanford.edu/event/7467/contributions/5966/>
- Gisueppe Di Guglielmo, FastML @ ICCAD (Nov 2023) [https://fastmachinelearning.org/iccad2023/file/fastml4science\\_iccad\\_20231102.pdf](https://fastmachinelearning.org/iccad2023/file/fastml4science_iccad_20231102.pdf)
- Gisueppe Di Guglielmo, Siemens User2User (April 2024) [https://docs.google.com/presentation/d/1O4VMajkHL81xM0IaN0ZJ3sUANdr0aeQfKN0Amvl\\_nbkE/edit#slide=id.g2c55b12b4ca\\_0\\_96](https://docs.google.com/presentation/d/1O4VMajkHL81xM0IaN0ZJ3sUANdr0aeQfKN0Amvl_nbkE/edit#slide=id.g2c55b12b4ca_0_96)
- Anthony Badea ICHEP 2024 <https://indico.cern.ch/event/1291157/contributions/5888438/>

# Sensor geometry, charge clusters, and profiles





# RD53 Benchmarks

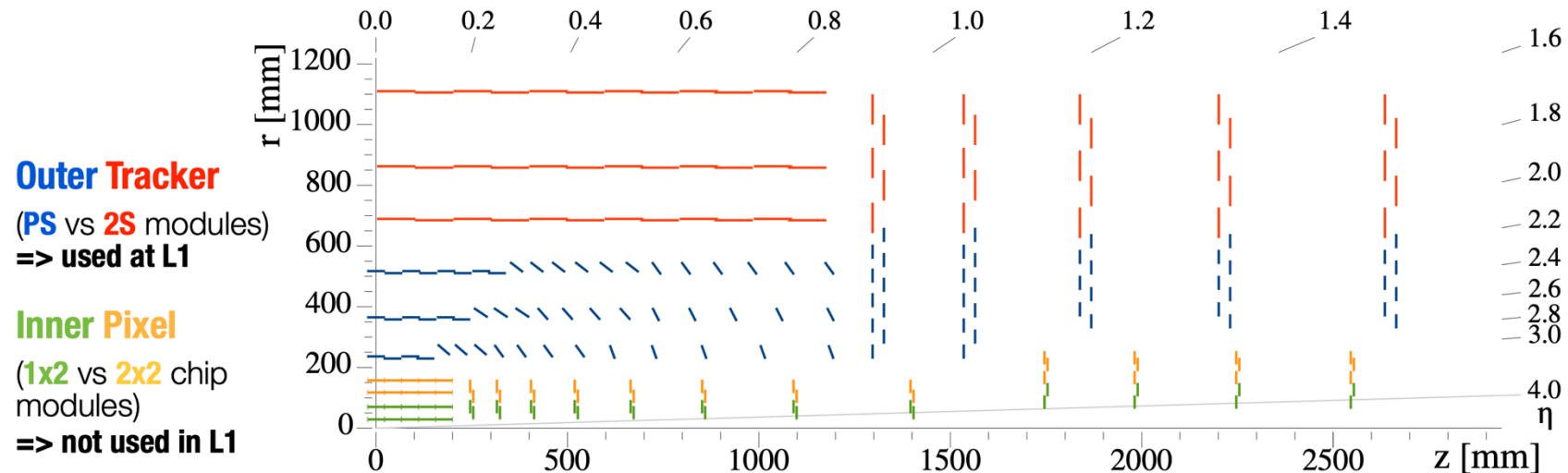
Talk by Flavio Loddo

|                            | <b>ATLAS/CMS</b>                                   |
|----------------------------|--|
| <b>Chip size</b>           | <b>20x21mm<sup>2</sup>/21.6x18.6mm<sup>2</sup></b> |
| <b>Pixel size</b>          | <b>50x50 μm<sup>2</sup></b>                        |
| <b>Hit rate</b>            | <b>3 GHz/cm<sup>2</sup></b>                        |
| <b>Trigger rate</b>        | <b>1 MHz/750kHz</b>                                |
| <b>Trigger latency</b>     | <b>12.5 us</b>                                     |
| <b>Min. threshold</b>      | <b>600 e-</b>                                      |
| <b>Radiation tolerance</b> | <b>500 Mrad @-15C</b>                              |
| <b>Power</b>               | <b>&lt; 1W/cm<sup>2</sup></b>                      |

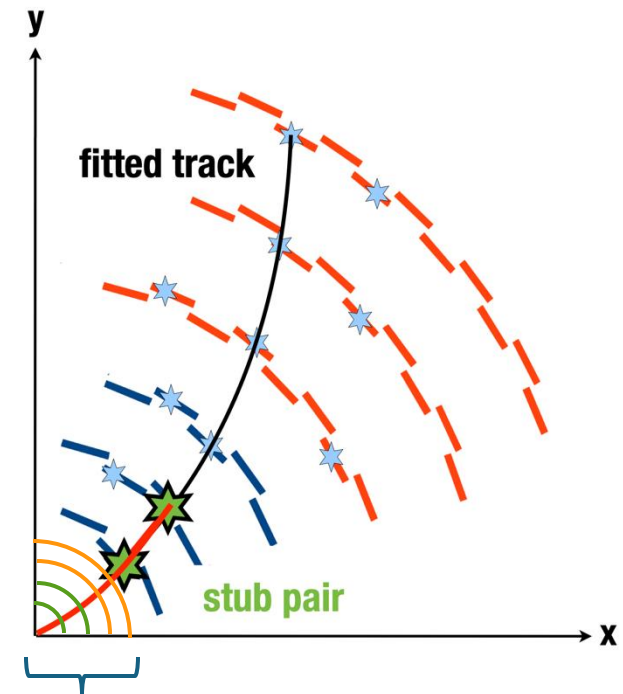
# Why smartpixels?

Images adapted from [L. Skinnari](#)

HL-LHC L1 track finding does not include hits from the inner tracker



- **Smartpixels could make L1 triggering with the inner tracker feasible.**
- Better L1 tracking resolutions
- Better L1 vertexing and pile-up rejection
- Better L1 b-tagging (e.g. for targeted HH->4b triggers)



pixel layers unused in CMS  
L1 trigger upgrade