

PDFs @ Approximate N3LO - MSHT Review

Higgs WG1: aN3LO Meeting, CERN

Thomas Cridge

26th June 2024

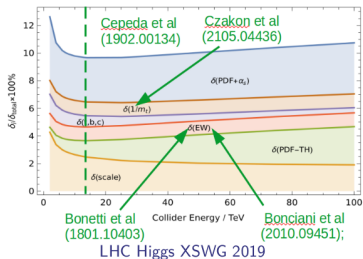


In collaboration with MSHT colleagues - T.C., L.A. Harland-Lang and R.S. Thorne.

Overview

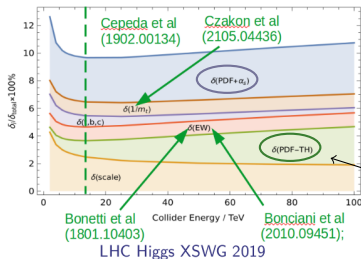
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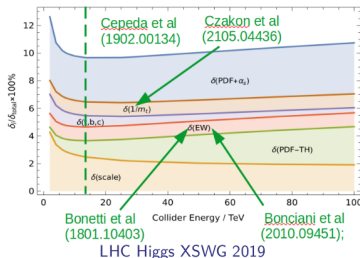


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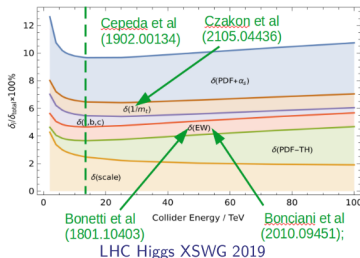
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 \Rightarrow Need more precise and more accurate PDFs:

- 1 Higher order PDFs \Rightarrow aN3LO.
- 2 Theory uncertainties from missing higher orders \Rightarrow MHOU.

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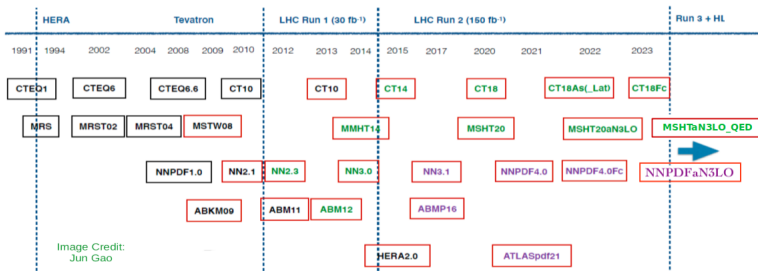
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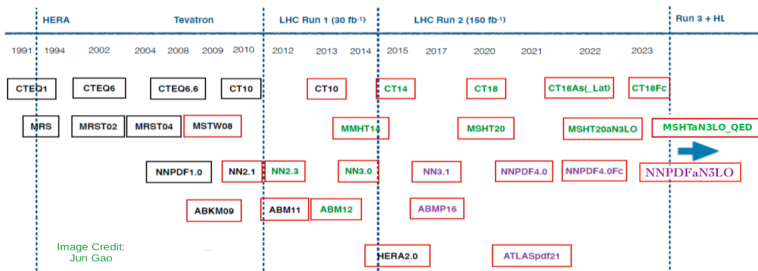
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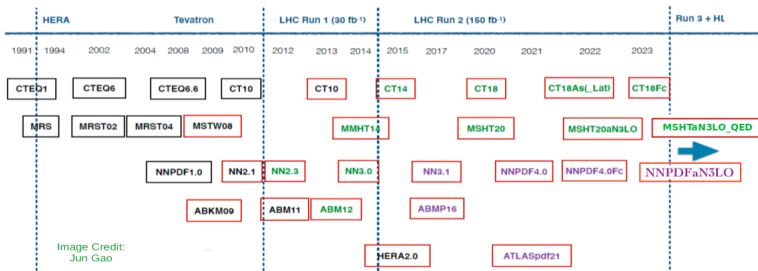
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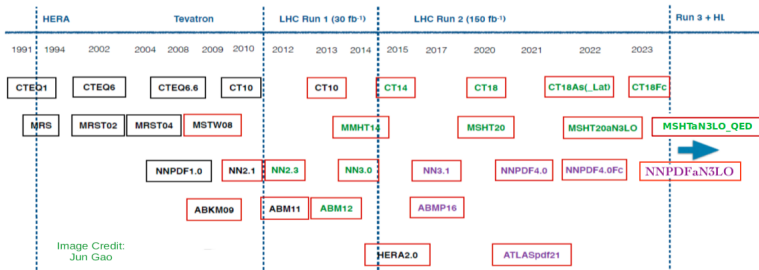
- Include available N3LO info at time of publication
- Include theoretical uncertainties for missing pieces

Differences

- Own approximations used for each piece
- Different methodology for theory uncertainty.

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- Also available for ~ 6 months:
MSHT20qed_an3lo, aN3LO QCD + QED PDF set.

MSHT work on α N³LO PDFs:

- 1 First Approximate N³LO PDF set plus with inclusion of theoretical uncertainties for missing higher orders (MHOU_s) - [2207.04739](#).

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MSHT work on aN3LO PDFs:

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- 2 Analysis of experimental pulls on PDFs including MSHT20aN3LO PDFs, with CT and others, [2306.03918](#).
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- 7 Benchmarking of QCD evolution at Approximate N3LO with theory (FHMRUVV), NNPDF and other colleagues (also in Les Houches SM WG Report), [2406.16188](#).

Current Knowledge of N3LO

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- ▶ **Splitting functions** - at 4-loop to evolve PDFs in (x, Q^2) :

$$P(x, \alpha_s) = \alpha_s P^{(0)}(x) + \alpha_s^2 P^{(1)}(x) + \alpha_s^3 P^{(2)}(x) + \alpha_s^4 P^{(3)}(x) + \dots$$

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- ▶ **Transition Matrix Elements** - at 3-loop to change number of PDF flavours at heavy quark mass (m_h) thresholds.

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- ▶ **Coefficient Functions for DIS** - at 3-loop to determine structure functions.

$$F_2(x, Q^2) = \sum_{\alpha \in H, q, g; \beta \in q, H} (C_{\beta, \alpha}^{VF, n_f+1} \otimes A_{\alpha i}(Q^2/m_h^2) \otimes f_i^{n_f}(Q^2))$$

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- Much already known, **only a few remaining missing pieces.**

What do we need to know for N3LO PDFs?

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- ▶ **Splitting functions** - at 4-loop to evolve PDFs in (x, Q^2) : Mellin moments, small x ,
high x limits [11-31].

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- ▶ **Coefficient Functions for DIS** - at 3-loop to determine structure functions. Light flavour known, heavy
flavour high Q^2 known,
approx for low Q^2 [43-45].

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- ▶ **Hadronic cross-section k-factors** - at N3LO. Very little known, PDFs
need differential with cuts.

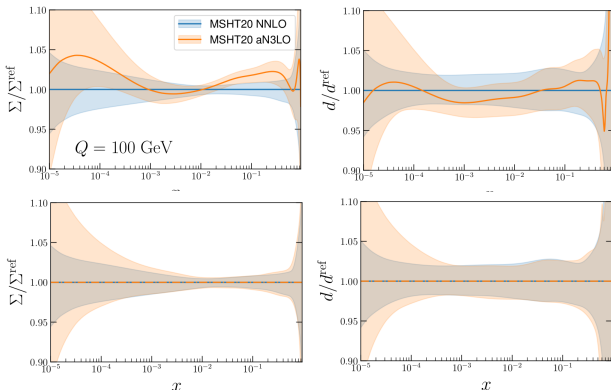
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Effect of N3LO on PDFs:

Impacts of aN3LO on MSHT PDFs:

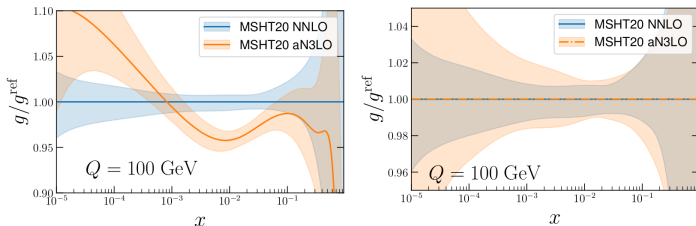
- Mild effects on most PDFs, particularly light quarks:



- Generally percent level impacts of aN3LO in data region and few percent elsewhere. Uncertainty generally similar in data region.
- Inclusion for first time of theoretical uncertainty from MHOU enlarges PDF uncertainty at small x .

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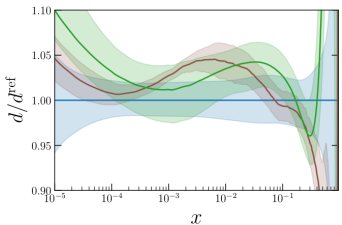
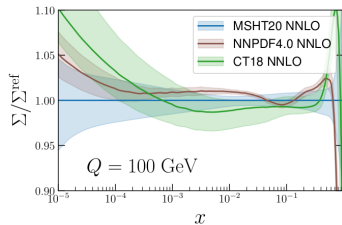
- Larger effect on gluon PDF:



- Observed **impact on gluon of aN3LO is larger** than for quarks, with $\sim 5\%$ dip around 10^{-2} .
- **Inclusion of theoretical uncertainty** for first time also **enlarges gluon uncertainty**, including small increase in data (Higgs) region.
- Whilst **PDF uncertainty is larger**, it's **more complete** (inclusion of theoretical error), **accurate and reliable**.

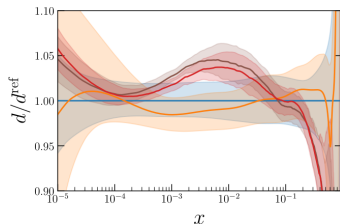
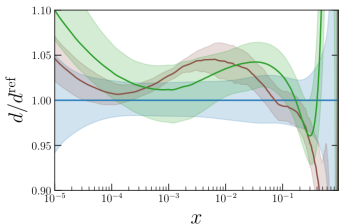
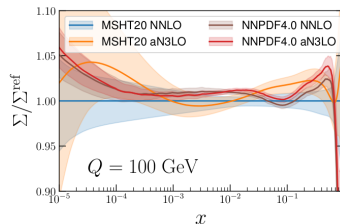
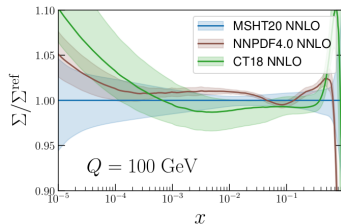
Comparison of aN3LO impacts on PDFs:

- Quarks relatively unaffected:



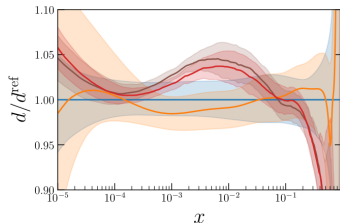
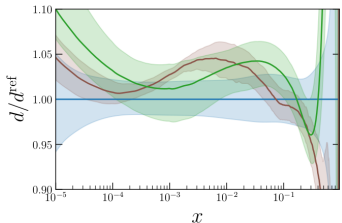
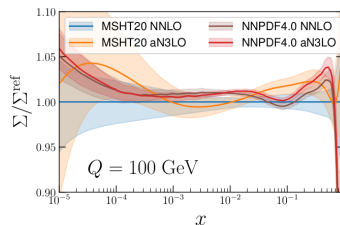
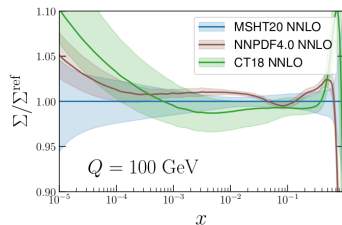
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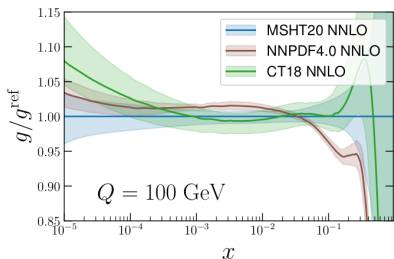
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- Singlet PDF - NNLO and aN3LO all show same % level differences.
- Down PDF - as much difference between aN3LO PDFs as NNLO.

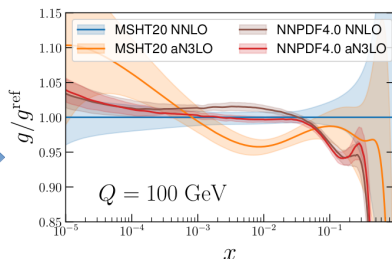
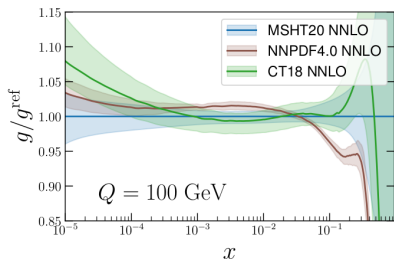
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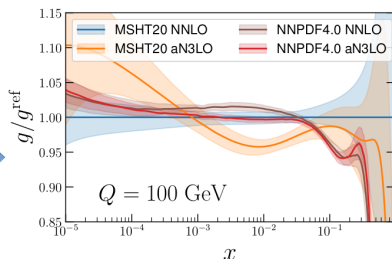
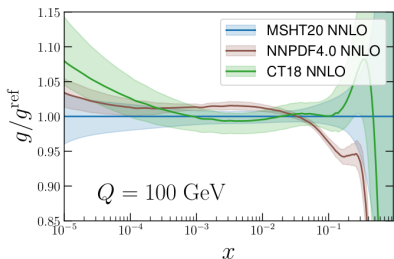
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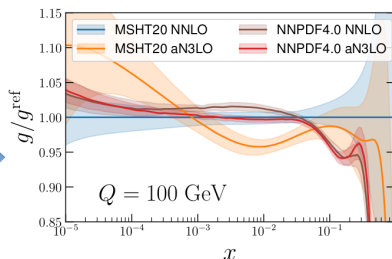
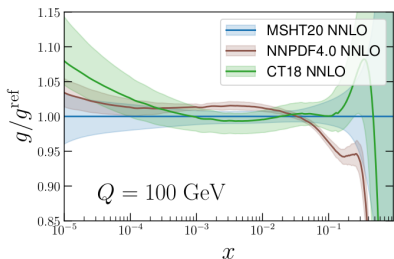
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- NNLO - gluon PDFs differ by up to 2-3 % in Higgs region.
- aN3LO - gluon PDFs differ by up to 4-5 % in Higgs region.

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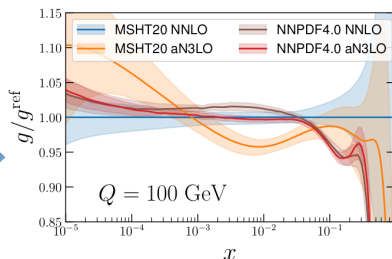
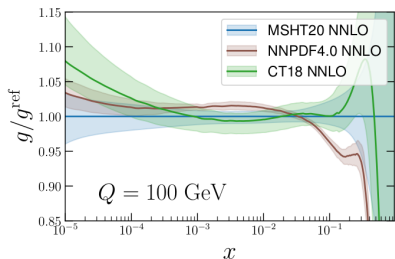
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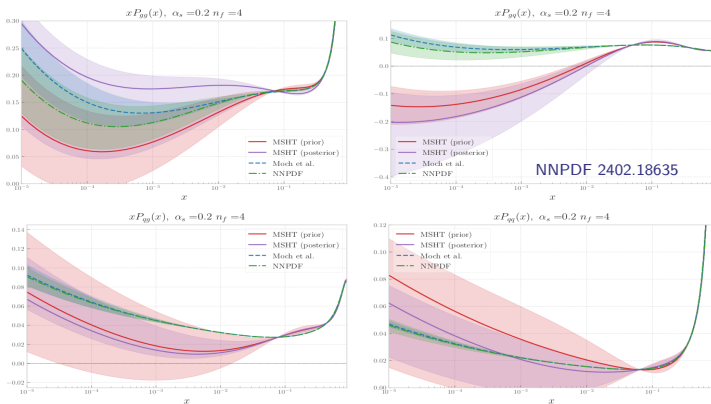
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- Uncertainty observed to grow, particularly at small x by both groups.

N3LO PDF Evolution

- One key ingredient is the N3LO DGLAP evolution.
- Some more info recently from [26-30] - FHMRUVV (also [31]).
- How do the aN3LO splitting function approximations compare?:



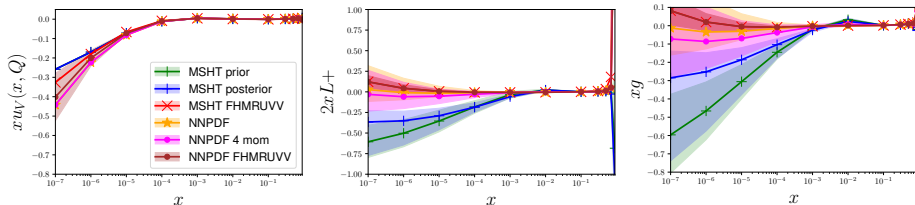
- Validation of methodology - results within uncertainties, exception P_{gq} .

Impact of α N3LO evolution on PDFs:

- N3LO evolution benchmarking - use toy PDFs, no fit or other issues:

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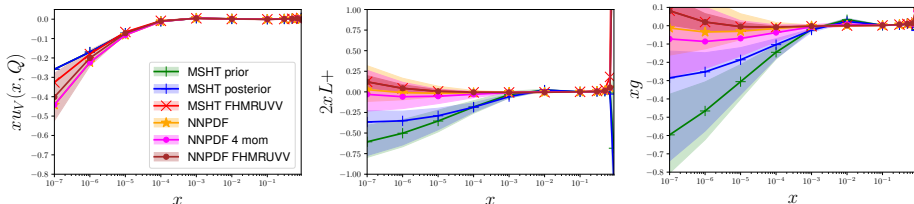
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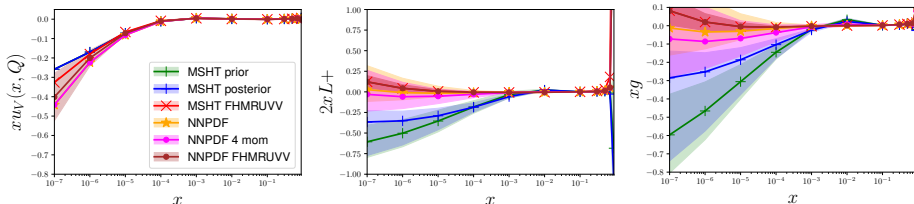
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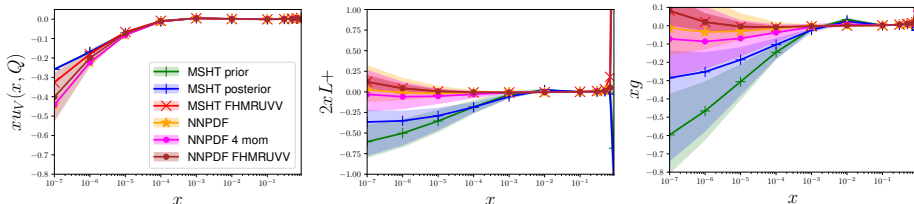
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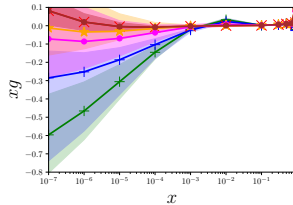
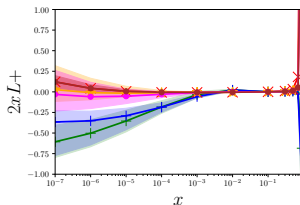
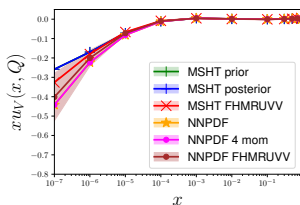


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- New information (FHMURVV) provides some additional constraints but still consistent with previous determinations.
- Per mille agreement when using the same splitting functions (right).

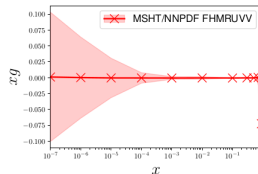
Les Houches Proceedings (2406.00708) and recent (yesterday) article - (2406.16188).

Impact of α N3LO evolution on PDFs:

- N3LO evolution benchmarking - use toy PDFs, no fit or other issues:



- Agreement down to 10^{-3} with \lesssim (few) % impacts over data region.
- Differences with larger uncertainties at (very) low x .
- New information (FHMRUVV) provides some additional constraints but still consistent with previous determinations.
- Per mille agreement when using the same splitting functions (right).



Les Houches Proceedings (2406.00708) and recent (yesterday) article - (2406.16188).

aN3LO QCD + QED:

T.C., L.A. Harland Lang, R.S. Thorne 2312.07665 [5]

- MSHT now for first time provide aN3LO QCD + QED PDFs!

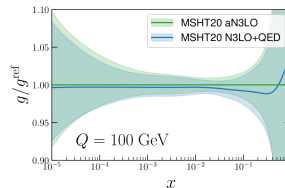
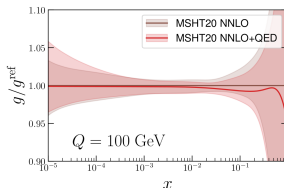
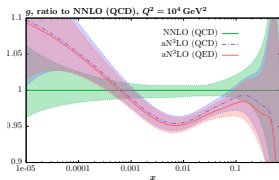
$$\text{QED} \quad P_{ij} = \frac{\alpha}{2\pi} P_{ij}^{(0,1)} + \frac{\alpha\alpha_S}{(2\pi)^2} P_{ij}^{(1,1)} + \left(\frac{\alpha}{2\pi}\right)^2 P_{ij}^{(0,2)}$$

$$\text{NNLO QCD} \quad + \frac{\alpha_S}{2\pi} P_{ij}^{(1,0)} + \left(\frac{\alpha_S}{2\pi}\right)^2 P_{ij}^{(2,0)} + \left(\frac{\alpha_S}{2\pi}\right)^3 P_{ij}^{(3,0)}$$

$$\text{aN3LO QCD} \quad + \left(\frac{\alpha_S}{2\pi}\right)^4 P_{ij}^{(4,0)}$$

	χ^2/N_{pt} aN ³ LO (QED)	$\Delta\chi^2_{\text{aN}^3\text{LO}}$ QED-QCD	$\Delta\chi^2_{\text{NNLO}}$ QED-QCD	$\Delta\chi^2_{\text{QCD,QED}}$ aN ³ LO-NNLO
Total	5323.6/4534	(+3.6)	(+17.3)	(-209.3, -223.1)

- Impact on fit at NNLO and aN3LO, substantial fit quality improvement remains true after adding QED.

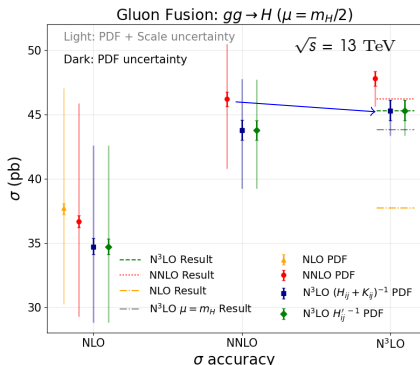


- Impact small relative to aN3LO QCD corrections in most regions.
- Same effect at NNLO (centre) and aN3LO (right), slight reduction in gluon due to momentum sum rule.

Consequences of aN3LO PDFs for Phenomenology

Gluon Fusion Higgs Production:

- Consider impact of our aN3LO PDFs on known N3LO Higgs production in gluon fusion^{32,33} - **shift down due to change in gluon:**



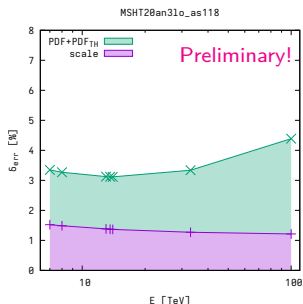
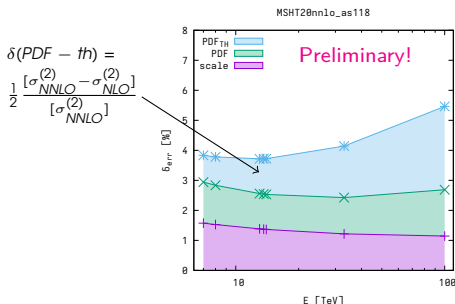
Note greater stability of full NNLO and N3LO xsec + aN3LO PDF results

Results obtained using ggHiggs code⁵⁰.

- Increase in cross-section at N3LO compensated by reduction in PDFs at aN3LO \Rightarrow **important to consider PDF and σ changes together.**
- aN3LO result lies within uncertainty band of full NNLO.
- aN3LO PDF uncertainty bands enlarged - inclusion of MHOU's.**

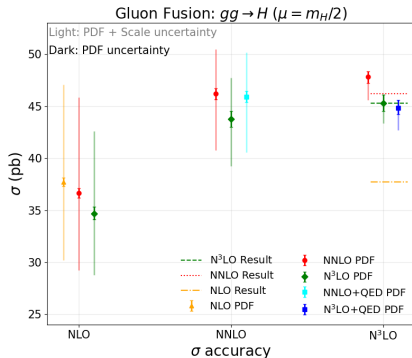
Gluon Fusion Higgs Production Uncertainty:

- Can compare total uncertainty on ggF Higgs production using aN3LO and NNLO PDFs:
- “PDF” uncertainty increased at aN3LO as incorporate “PDF-TH” part into it for first time \Rightarrow more rigorous determination of theory uncertainty from MHOUs.
- Nonetheless, still observe a net reduction in total uncertainty.



Gluon Fusion Higgs Production - PDFs:

- What is the effect of including QED on top of aN3LO QCD?:
 - ▶ Net effect is a further $\sim 1\%$ reduction in ggF Higgs production:



Impact of addition of QED
 \approx factorises from PDF QCD order.

- ▶ Impact comes through effect on gluon PDF.
- ▶ **Recommend to use aN3LO QCD + QED PDF, it's the highest accuracy we have.**
- ▶ Similar effects seen in NNPDF, though slightly larger in NNPDF.

Gluon Fusion Higgs Production - PDFs:

- ggF Higgs total inclusive σ (pb) at N3LO at $\sqrt{s} = 14\text{TeV}$
rough numbers with different PDFs, central values only:

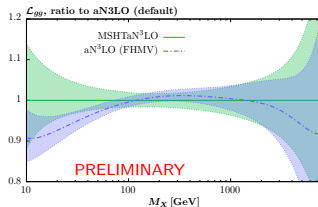
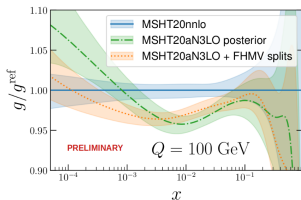
NNLO PDFs		aN3LO PDFs		aN3LO + QED PDFs	
MSHT20 NNLO	53.759	MSHT20 aN3LO	50.932	MSHT20 aN3LO + QED	50.500
"	"	MSHT20 aN3LO + FHMURVV	50.954	"	"
NNPDF4.0 NNLO	54.134	NNPDF4.0 aN3LO	52.978	NNPDF4.0 aN3LO + QED	52.106

Produced using the n3lox code⁴⁹.

- Observe: (not at all “official” numbers, for comparison only)
 - ▶ $\sim 2\%$ difference using NNLO (without QED) PDFs.
 - ▶ $\sim 3\%$ difference using aN3LO + QED PDFs.
 - ▶ MSHT/NNPDF see $\sim 5/2\%$ reduction in NNLO \rightarrow aN3LO PDFs.
 - ▶ MSHT/NNPDF see $\sim 1/2\%$ further reduction in aN3LO \rightarrow aN3LO+QED PDFs.
 - ▶ So net reduction MSHT/NNPDF see is $\sim 6/4\%$ in NNLO \rightarrow aN3LO+QED.
 - ▶ PDF uncertainty (inc. th) $\sim 1 - 2\%$, PDF+scale uncertainty \sim few %.
- Overall consistent picture qualitatively of net reduction of ggF Higgs from aN3LO QCD + QED gluon relative to NNLO QCD only.

Examination of aN3LO on gluon and ggF:

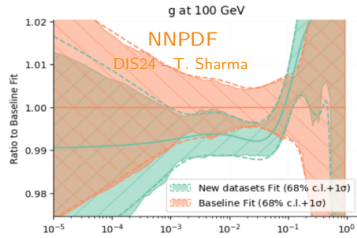
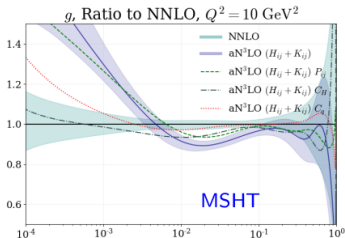
- Overall consistent trends observed, but some differences in sizes of effects, though similar to usual PDF differences! Can we explain them?
- **New moments available for some splitting functions** [26-30] since MSHT20an3lo (and further [31] since even NNPDFan3lo).
- Benchmarking is just evolution, what about effect in PDF fit?:



- Slight $\sim 1.5\%$ increase in gluon at $x \approx 10^{-2}$, though still consistent with MSHT20an3lo uncertainties.
- However, **gg luminosity (and $\sigma_{gg \rightarrow H}$) almost unchanged at m_H .**
- Occurs as luminosity integrates over rapidity so increase at $x \approx 10^{-2}$ is compensated by reduction in neighbouring regions of x .

Examination of aN3LO on gluon and ggF:

- Other potential sources of difference in the gluon PDF?
 - ▶ Other N3LO ingredients also important (lower left) - e.g. heavy flavour transition matrix elements, more info. now available [40-42].
 - ▶ Different implementation of theoretical uncertainties - theory nuisance parameters and scale variations.
- Plus we have the usual sources of PDF changes/differences:
 - ▶ Methodology, e.g. perturbative vs fitted charm, positivity can cause $\sim 1\%$ differences in the gluon.
 - ▶ Data, e.g. new 13TeV jet data lower NNPDF gluon (lower right).
 - ▶ Interplay of aN3LO effects with rest of PDF fit is non-trivial.



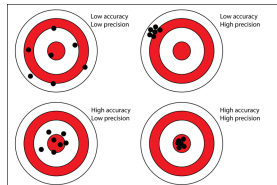
Initial Thoughts for YR5:

- MSHT recommend to use highest order PDFs available, aN3LO QCD effects should be included. In fact we see evidence of greater perturbative stability of “whole orders” rather than “partial orders”.
- Trend of reduced gluon PDF and ggF Higgs xsec for aN3LO PDFs seen by both groups, difference in details.
- Incorporation of theoretical uncertainty into PDFs important at this level of precision, impacts PDF central values and uncertainty.
- aN3LO PDFs include this directly in PDF eigenvectors (or replicas), output PDF uncertainty includes this out-of-the box \Rightarrow no need for artificial proxy used previously of extra $\delta(\text{PDF} - TH) \approx \frac{1}{2} \frac{[\sigma_{NNLO}^{(2)} - \sigma_{NLO}^{(2)}]}{[\sigma_{NNLO}^{(2)}]}$.
- Important to also consider QED effects on PDF \Rightarrow MSHT recommend using the aN3LO QCD + QED PDFs as highest accuracy: MSHT20qed_an3lo.
- We observe impact of QED on the fit approximately factorises, therefore potential to consider effect on top of PDF4LHC21?

Conclusions

Conclusions:

- As demands on PDFs become stronger we must aim for both *more precise and more accurate PDF central values and uncertainties*.
- MSHT produced the *world first approximate N3LO PDFs*, including both *higher order effects in PDFs and also theoretical uncertainties*.
- N3LO evolution benchmarking almost complete and shows consistency.
- Generally consistent results seen by both groups in terms of PDF impacts and consequences for phenomenology.
- Also *aN3LO QCD + QED PDFs* produced and *publicly available*.
- Important to consider aN3LO QCD and QED effects for ggF Higgs.
- Many developments, all part of ongoing work to increase PDF precision and accuracy.
- Any questions about them/their use
⇒ please ask us!



MSHT PDF sets available

All available at <https://www.hep.ucl.ac.uk/msht/>, and most also on LHAPDF.

- Overview of available MSHT20 PDF sets (this is a small selection!):

LHAPDF6 grid name	Order(QCD)	n_f^{\max}	N_{mem}	$\alpha_S(m_Z^2)$	Description
MSHT20nnlo_as118	NNLO	5	65	0.118	Default NNLO set
MSHT20nlo_as120	NNLO	5	65	0.118	Default NLO set
MSHT20lo_as130	NNLO	5	65	0.118	Default LO set
MSHT20nnlo_as_largerange	NNLO	5	23	0.108-0.130	$\alpha_S(M_Z^2)$ variation NNLO set
MSHT20nlo_as_largerange	NLO	5	23	0.108-0.130	$\alpha_S(M_Z^2)$ variation NLO set
MSHT20nnlo_mcrange_nf5	NNLO	5	9	0.118	Charm mass variation (1.2-1.6 GeV) NNLO set
MSHT20nnlo_mbrange_nf5	NNLO	5	7	0.118	Bottom mass variation (4.0-5.5 GeV) NNLO set
MSHT20nnlo_nf3,4	NNLO	3, 4	65	0.118	NNLO set with max. 3 or 4 flavours
MSHT20qed_nnlo	NNLO	5	77	0.118	NNLO set with QED effects and γ PDF
MSHT20qed_nnlo_(in)elastic	NNLO	5	77	0.118	NNLO set with QED effects and (in)elastic γ
MSHT20qed_nnlo_neutron	NNLO	5	77	0.118	NNLO neutron set with QED effects and γ
MSHT20an3lo_as118	aN3LO	5	105 (85)	0.118	Approximate N3LO set with theoretical uncertainties also included
MSHT20qed_an3lo	aN3LO	5	97	0.118	Approximate N3LO set with theoretical uncertainties also included and QED effects and γ PDF

Selection of some of the MSHT PDF sets available in LHAPDF format. Many more online!

Key:

- Default - $\alpha_S, m_{c,b}$ - QED - aN3LO - aN3LO+QED

- Feel free to contact us with questions about usage.

Selection of some references (others on slides):

- 1 M. Cepeda et al., 1902.00134.
- 2 Duhr, Mistlberger, 2111.10379.
- 3 J. McGowan et al. (inc. TC), 2207.04739.
- 4 R. D. Ball et al, 2402.18635.
- 5 T. Cridge et al., 2312.07665.
- 6 T. Cridge et al., 2312.12505.
- 7 T. Cridge et al., 2404.02964
- 8 R. D. Ball et al, 2401.10319.
- 9 L.A. Harland-Lang and R.S. Thorne, 1811.08434.
- 10 X. Jing et al (inc. TC), 2306.03918.
- 11 S. Moch, et al. 1707.08315.
- 12 J. Davies et al., 1610.07477.
- 13 J. M. Henn et al., 1911.10174.
- 14 C. Duhr et al, 2205.04493.
- 15 Y. L. Dokshitzer et al., hep-ph/0511302.
- 16 A. A. Almasy et al., 1012.3352.
- 17 V. S. Fadin et al., Phys. Lett. B 60, 50 (1975).
- 18 E. A. Kuraev et al., Sov. Phys. JETP 44, 443.
- 19 L. N. Lipatov, Sov. J. Nucl. Phys. 23, 338 (1976).
- 20 E. A. Kuraev et al., Sov. Phys. JETP 45, 199.
- 21 V. S. Fadin and L. N. Lipatov, hep-ph/9802290.
- 22 T. Jaroszewicz, Phys. Lett. B 116, 291 (1982).
- 23 M. Ciafaloni and G. Camici, hep-ph/9803389.
- 24 S. Catani and F. Hautmann, hep-ph/9405388.
- 25 J. Davies et al., 2202.10362.
- 26 G. Falcioni et al., 2302.07593.
- 27 G. Falcioni et al., 2307.04158.
- 28 G. Falcioni et al., 2310.01245.
- 29 S. Moch et al., 2310.05744.
- 30 G. Falcioni et al., 2404.09701.
- 31 T. Gehrmann et al., 2308.07958.
- 32 H. Kawamura et al., 1205.5727.
- 33 I. Bierenbaum et al., 0904.3563.
- 34 J. Ablinger et al., 1406.4654.
- 35 J. Ablinger et al., 1409.1135.
- 36 J. Blümlein et al, 2107.06267.
- 37 J. Ablinger et al., 1405.4259.
- 38 J. Ablinger et al., 1409.1435.
- 39 J. Ablinger et al., 1402.0359.
- 40 J. Ablinger et al., 2211.05462.
- 41 J. Ablinger et al., 2311.00644.
- 42 J. Ablinger et al., 2403.00513.
- 43 S. Catani et al., Phys. B 366, 135 (1991).
- 44 E. Laenen and S.-O. Moch, hep-ph/9809550.
- 45 J. A. M. Vermaseren et al. hep-ph/0504242.
- 46 C. Anastasiou et al., 1602.00695.
- 47 B. Mistlberger, 1802.00833.
- 48 F.A. Dreyer and A. Karlberg, 1606.00840.
- 49 J. Baglio et al., 2209.06138.
- 50 M. Bonvini, arXiv:1805.08785. 34
- 51 C. Duhr et al., 2001.07717.
- 52 C. Duhr et al., 2007.13313.
- 53 X. Chen et al., 2107.09085.
- 54 C. Duhr and B. Mistlberger, 2111.10379.
- 55 X. Chen et al., 2102.07607.
- 56 N. Kidonakis, 2203.03698.
- 57 M. Cacciari et al, 1506.02660.
- 58 NNPDF, 2406.01779.

Backup Slides

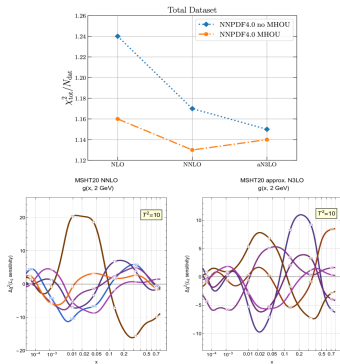
aN3LO effects on the PDF fit:

- aN3LO (and theory uncertainties) have impact on PDF fit.
 - ▶ MSHT and NNPDF - **Improvement order by order of fit quality:**

MSHT χ^2/N_{pts} (4363)	LO	NLO	NNLO	aN3LO
		2.57	1.33	1.17

$\Delta\chi^2$ improves by
 ~ -150 at aN3LO.

- ▶ MSHT - $Z p_T$ data (and DIS data) notably better fit.
 - ▶ **Reduced tensions between data** also seen.
 - ▶ MSHT - Dijet data also better fit at aN3LO than NNLO.
- T.C. et al, 2312.12505 [6].
- ▶ **High precision data requires high precision theory.**



L2 study 2306.03918

Perform aN3LO fit - fit quality:

- Perform aN3LO fit with identical dataset to MSHT20 NNLO PDF fit.
- Overall fit quality (4363 points)

χ^2/N_{pts}	LO	NLO	NNLO	aN3LO
	2.57	1.33	1.17	1.14

Smooth fit improvement with order and amount of improvement reducing with order - as we might hope.

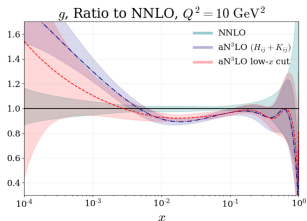
- Improvement in fit quality from NNLO to aN3LO is $\Delta\chi^2 = -154.4$.
- Much larger than number of parameters (20) introduced.

Dataset type	Total χ^2/N_{pts}	$\Delta\chi^2$ from NNLO	$\Delta\chi^2$ from NNLO (but no N3LO k-factors)
DIS datasets	2580.9/2375	-90.8	-86.2
Drell-Yan datasets	1065.4/864	-12.8	+10.4
Dimuon datasets	125.0/170	-1.2	+0.5
Top datasets	75.1/71	-4.2	-2.5
$V p_T / V + \text{jets}$ datasets	138.0/144	-77.2	-54.7
Inclusive Jets datasets	963.6/739	+21.5	+42.2
Total	4957.2/4363	-154.4	-83.6

- Over half of fit improvement occurs **without N3LO k-factors freedom**.
- Average TNP penalty $0.460 < 1$. **Fit able to describe data well with known info and only small departures around prior.**

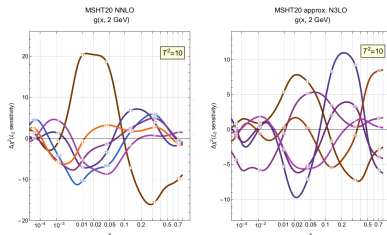
Perform aN3LO fit - Reduced Tensions:

- **Reduced tensions** between some datasets seen at aN3LO.
- Small x - high x data tension reduced.
- Precise ATLAS 8 TeV Zp_T data fit quality at NNLO is **poor**, but at aN3LO is **good**:



Order	NNLO	aN3LO
ATLAS 8 TeV Zp_T	1.87	1.04
Total	1.22	1.17

Fit qualities χ^2/N_{pts} .

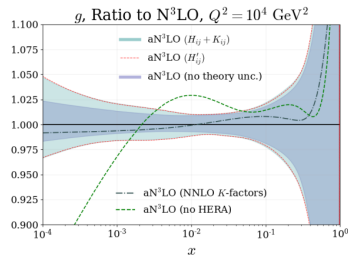
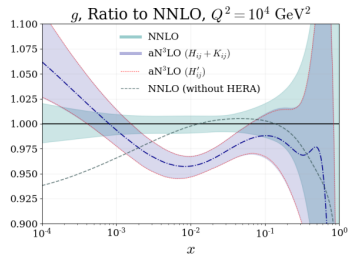
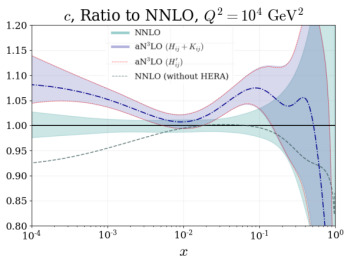


X. Jing et al. (inc. TC) 2306.03918 [10]

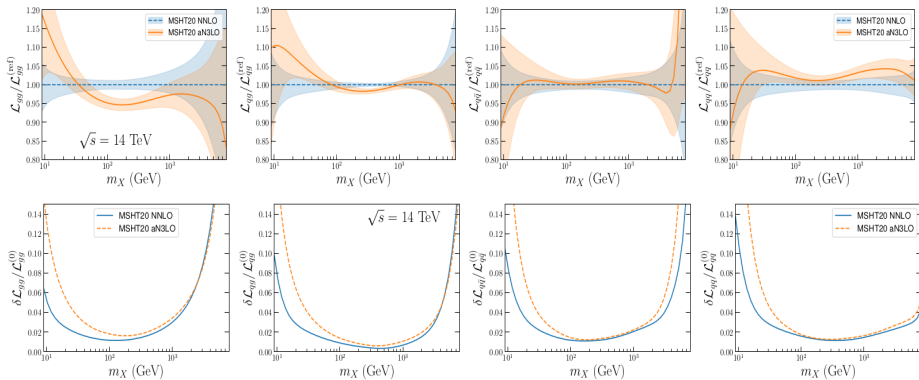
- **Tensions** between ATLAS 8TeV Zp_T and other data **reduced at aN3LO**.
- **High precision data requires high precision theory.**

Perform aN3LO fit - PDF impacts:

- **Glue enhanced at small x** - due to higher power large logs that appear.
- Glue **uncertainty increased at small x** due to theory uncertainty, largely on splitting functions.
- **Heavy quarks - c and b** (perturbatively generated) **raised** due to increase in gluon at lower x and raised A_{HG} at high x .



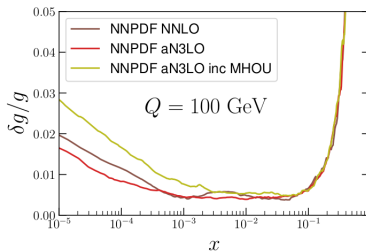
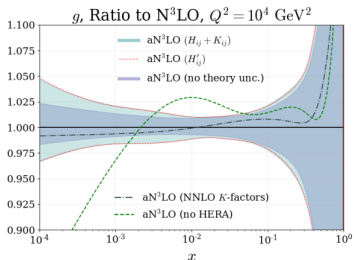
aN3LO PDF luminosities:



- PDF changes have implications for PDF luminosities for phenomenology.
- gg luminosity reduced around 100GeV and increased at 10GeV.
- Luminosity uncertainties enlarged (and more so at lower invariant masses) due to inclusion of aN3LO and PDF theory uncertainties.

Impact of aN3LO + MHO on PDF uncertainties:

- aN3LO and theory uncertainties from Missing Higher Orders (MHOUs) impact PDF errors.
- MSHT (left) and NNPDF (right) both see **added theory uncertainty increasing PDF uncertainties at low x** , e.g. gluon:
- Whilst **PDF uncertainty is larger**, it's **more accurate and reliable**.



How do we incorporate N3LO into PDFs?

- Consider usual PDF fit probability - add N3LO theory and theory uncertainty:

$$\begin{aligned}
 P(T|D) &\propto \exp\left(-\frac{1}{2} \sum_{k=1}^{N_{pt}} \frac{1}{s_k^2} (D_k - T_k - \sum_{\alpha=1}^{N_{corr}} \beta_{k,\alpha} \lambda_\alpha)^2 + \sum_{\alpha=1}^{N_{corr}} \lambda_\alpha^2\right) \\
 &\propto \exp\left(-\frac{1}{2} \sum_{k=1}^{N_{pt}} \frac{1}{s_k^2} (D'_k - T_k - \sum_{t=1}^{N_{TNPs}} u_{k,t} \theta'_t)^2 + \sum_{\alpha=1}^{N_{corr}} \lambda_\alpha^2 + \sum_{t=1}^{N_{TNPs}} \theta'_t{}^2\right)
 \end{aligned}$$

↑ Experimental Nuisance parameters
↓ Theory Nuisance Parameters

- Upgrade theory, T to now contain known N3LO info (aN3LO) and allow to vary by theory nuisance parameters, TNPs - θ' .
- Analogous to experimental nuisance parameters, allow fit to shift theory within some prior \Rightarrow theory uncertainty included into PDFs.
- Probes precisely the missing higher order terms.
- Allows inclusion of known N3LO information (a lot) without needing to wait for remaining few pieces.

(See TNP Talks at SCET Workshop 2024)

(Applications more widely - e.g. theory uncertainty for $Z p_T$ spectrum and $\alpha_S - T.C.$, G. Marinelli, F. Tackmann (work in progress).)

Theoretical Uncertainties - Splitting Functions:

- aN3LO PDF sets also first at highest order in QCD to include theory uncertainty from missing higher orders.
- MSHT and NNPDF take different approaches.
- Consider MSHT (first implementation of MHOUs at aN3LO):
 - ▶ Add varying parameter for missing piece of each N3LO ingredient.
E.g. for P_{qg}^3 :

$$P_{ab}^{(3)}(x) = \sum_{i=1}^k A_i f_i(x) + f_e(x, \rho_{ab})$$

where

$$f_e(x, \rho_{qg}) = \frac{C_A^3}{3\pi^4} \left(\frac{82}{81} + 2\zeta_3 \right) \frac{1}{2} \frac{\ln^2(1/x)}{x} + \rho_{qg} \frac{\ln 1/x}{x}$$

Construct from known Mellin moments.

Contains exact small (and high) x info e.g. from resummation.

Variational parameter as unknown coefficient.

Known structure

- ▶ Uncertainty on aN3LO comes through **varying functional basis $f_i(x)$** and **varying unknown coefficient** (“theory nuisance parameter” - TNP).

⇒ aN3LO PDF + theory uncertainty.

Transition Matrix Elements

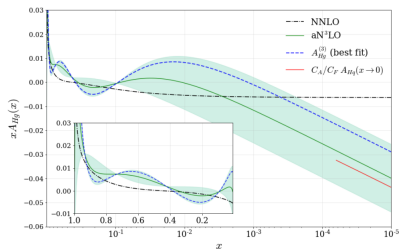
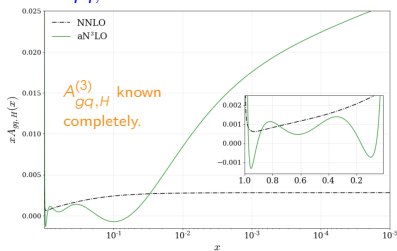
Ingredient 2

- Several transition matrix elements known completely - $A_{Hq}^{PS,(3)}$, $A_{qq,H}^{(3)}$.
- For others we know:
 - ▶ Even low-integer N Mellin Moments (4-8)
 - constrain intermediate and high x via $\int_0^1 dx x^{N-1} P(x)$.
 - ▶ Form at low x , in some case low and high x limits.
- Deal with as for Splitting functions - for $A_{Hg}^{(3)}$, $A_{qq,H}^{NS,(3)}$, $A_{gg,H}^{(3)}$
 - \Rightarrow 1 nuisance parameter each - 3 in total from here
 - $a_{Hg}, a_{qq,H}^{NS}, a_{gg,H}$.

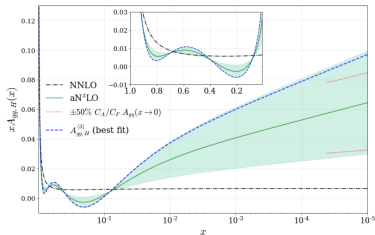
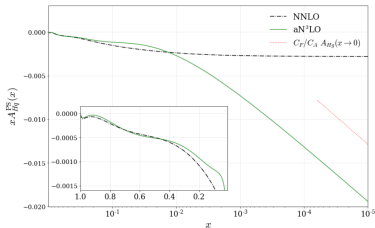
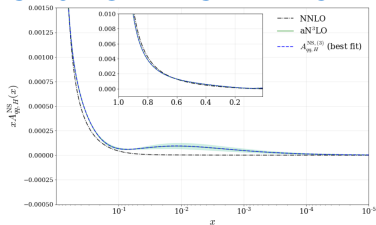
J. Ablinger et al
2311.00644,
2403.00513

J. Ablinger et al
2211.05462.

Known via [33,34,36].



Transition Matrix Elements:



- $A_{Hq}^{PS,(3)}$, $A_{gq,H}^{(3)}$ known completely, need to be approximated (without uncertainty) due to complex form. $A_{Hg}^{(3)}$, $A_{qq,H}^{NS,(3)}$, $A_{gg,H}^{(3)}$ have one theory nuisance parameter each at low x .

DIS Coefficient Functions

- Needed to produce N3LO Structure Functions, we know:
 - ▶ Light flavour coefficient functions known, just need heavy flavour.
 - ▶ Expressions for heavy flavour in high and low Q^2 limits:
 - ① Zero Mass ($Q^2 \rightarrow \infty$) case (ZM-VFNS) known exactly.
 - ② Massive case $Q^2 \leq m_H^2$ (FFNS) approximations known.
- Need to interpolate to generate full General-Mass Variable Flavour Number Scheme (GM-VFNS) prediction for all Q^2 .
- Include Transition Matrix Elements at aN3LO (last slide) so full cancellation of PDF discontinuities in the structure functions.
- Therefore some DIS coefficient functions inherit some uncertainty bands from these, e.g. $C_{H,g}^{VF,(3)}$ from $A_{Hg}^{(3)}$:

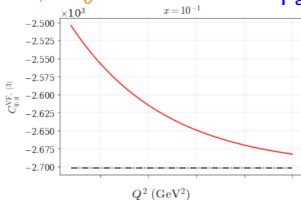
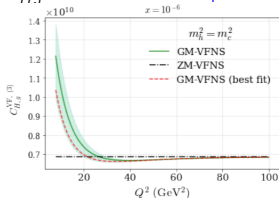
$$\begin{aligned}
 C_{H,g}^{VF,(3)} = & C_{H,g}^{FF,(3)} - C_{H,g}^{VF,(2)} \otimes A_{gg,H}^{(1)} - C_{H,H}^{VF,NS+PS,(2)} \otimes A_{Hg}^{(1)} \\
 & - C_{H,g}^{VF,(1)} \otimes A_{gg,H}^{(2)} - C_{H,H}^{VF,(1)} \otimes A_{Hg}^{(2)} - C_{H,H}^{VF,(0)} \otimes A_{Hg}^{(3)}
 \end{aligned}$$

DIS Coefficient Functions

$$C_{H,g}^{VF,(3)} = C_{H,g}^{FF,(3)} - C_{H,g}^{VF,(2)} \otimes A_{gg,H}^{(1)} - C_{H,H}^{VF,NS+PS,(2)} \otimes A_{Hg}^{(1)} \\ - C_{H,g}^{VF,(1)} \otimes A_{gg,H}^{(2)} - C_{H,H}^{VF,(1)} \otimes A_{Hg}^{(2)} - C_{H,H}^{VF,(0)} \otimes A_{Hg}^{(3)}$$

- **Approximations to low- Q^2 FFNS coefficient functions $C_{H,\{q,g\}}$ include known LL small x terms and mass threshold info, but unknown NLL small x piece \Rightarrow introduce theory nuisance parameters C_q^{NLL} and C_g^{NLL} :**

$$C_{H,i}^{(3),NLL}(Q^2 \rightarrow 0) \propto C_i^{NLL} \left[-4 \frac{1}{\gamma} + C_i^{LL} \frac{\ln 1/x}{\sqrt{x}} \right], \text{ for } i = q, g. \quad \Rightarrow 2 \text{ Theory Nuisance Parameters from here.}$$



- $C_{Hq}^{VF,(3)}$ and $C_{Hg}^{VF,(3)}$ have uncertainties from C_q^{NLL} and C_g^{NLL} parameters,
 $C_{Hq}^{VF,(3)}$ and $C_{qq,NS}^{VF,(3)}$ inherit uncertainty from $A_{Hg}^{(3)}$ and $A_{qq,NS}^{(3)}$.

Hadronic K-factors

Ingredient 4

- **N3LO calculations** becoming available but not yet for PDF fits:
 - ▶ **Drell-Yan** - Inclusive and some differential calculations ⁵¹⁻⁵⁵ - not yet for relevant fiducial cross-sections or in form usable for PDFs.
 - ▶ **Higgs** - ggF, VBF and VH ⁴⁶⁻⁵⁰ - doesn't go in PDFs.
 - ▶ **Top** (aN3LO) - soft gluon resummation approximation ⁵⁶.
- Overall, **much less known** than for other N3LO PDF fit ingredients.
- Parameterise N3LO k-factor as combination of **NLO and NNLO k-factors**, α_1, α_2 coeffs incorporating MHOUs into PDF uncertainties:

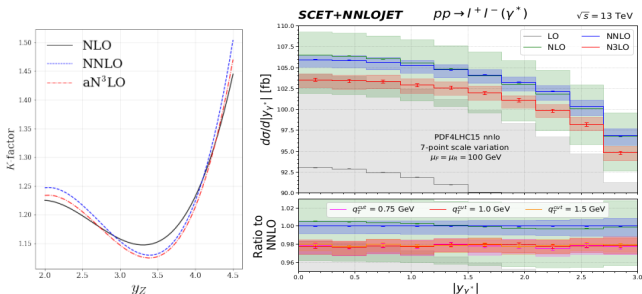
$$K^{N3LO/LO} = K^{NNLO/LO} (1 + \alpha_1 \mathcal{N}^2 \alpha_S^2 (K^{NLO/LO} - 1) + \alpha_2 \mathcal{N} \alpha_S (K^{NNLO/LO} - 1))$$

- **Default** prior is $\alpha_1, \alpha_2 = 0$, i.e. **no N3LO correction**.
- Categorise all hadronic processes into **5 types - jets (or dijets), Drell-Yan, top, vector boson p_T /jets, and dimuon**.
- **2 theory nuisance parameters each** \Rightarrow **10 theoretical parameters added**.

Hadronic K-factors - Drell-Yan

1 Drell-Yan (DY)

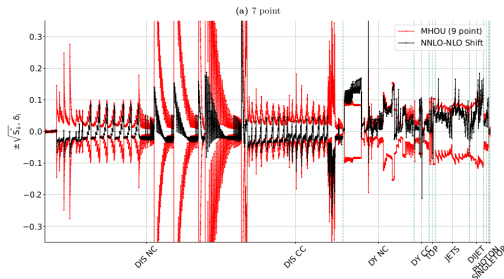
- Fit prefers a $\approx 1\%$ decrease in the N3LO k-factors relative to NNLO.
- Improved perturbative convergence with aN3LO PDFs.
- In qualitative agreement with recent N3LO results for NC DY⁵³.



- **Key point:** Method allows N3LO info. on any piece to be incorporated as it becomes available, rather than needing to wait for all info. - e.g. can include N3LO k-factors as they become available for PDFs.

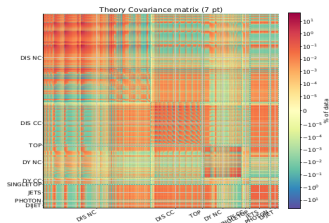
Alternative: Theory Uncertainty via Scale Vars

- New NNPDF aN3LO also include known N3LO pieces with uncertainty from missing info.
- Also vary functions for approximations of aN3LO splitting functions etc.
- Use **scale variations** (μ_F, μ_R) of current order instead of TNP's to represent MHOUs.



Alternative: Theory Uncertainty via Scale Vars

- New NNPDF aN3LO also include known N3LO pieces with uncertainty from missing info.
- Also vary functions for approximations of aN3LO splitting functions etc.
- Use **scale variations** (μ_F, μ_R) of **current order** instead of TNP's to represent MHO. (C.f. MSHT also use TNP's for N3LO K-factors).
- Construct **theory covariance matrix**, analogous to TNP's but different estimate of error [8].
- Requires **prescription for how to correlate scales** in different processes. (As does any approximation in absence of known N3LO K-factors).



- Overall, like NNLO, at aN3LO MSHT and NNPDF have similar info., formal accuracy, but some differences in approaches.

Theory Nuisance Parameter Summary

- So in total, we add **20 added theory nuisance parameters**, on top of 51 central PDF parameters (which give 32 PDF uncertainty parameters).
- Now have **52 eigenvectors** (32 as before + 20 new theory).

Origin	Parameters	Number of Added Parameters
Splitting Functions - $P_{qq}^{(3)}, P_{qq}^{NS,(3)}, P_{qq}^{PS,(3)}, P_{gg}^{(3)}, P_{gg}^{(3)}$	$\rho_{qg}, \rho_{qq}^{NS}, \rho_{qq}^{PS}, \rho_{gq}, \rho_{gg}$	5
Transition Matrix Elements - $A_{Hg}^{(3)}, A_{qq,H}^{NS,(3)}, A_{gg,H}^{(3)}$	$a_{Hg}, a_{qq,H}^{NS}, a_{gg,H}$	3
DIS Coefficient Functions - $C_{H,q}^{(3),NLL}, C_{H,g}^{(3),NLL}$	C_q^{NLL}, C_g^{NLL}	2
Hadronic K-factors - Drell-Yan Top Jets p_T Jets Dimuon	DY_{NLO}, DY_{NNLO} Top_{NLO}, Top_{NNLO} Jet_{NLO}, Jet_{NNLO} $p_T Jet_{NLO}, p_T Jet_{NNLO}$ $Dimuon_{NLO}, Dimuon_{NNLO}$	$5 \times 2 = 10$

- Using **MSHT20an3lo_as118** eigenvectors as usual naturally incorporates MHOUs at aN3LO into the PDF uncertainties.

N.B. We find the penalties on these parameters are almost all $< 1 \Rightarrow$ conservative priors set.

Theory Uncertainty via TNP

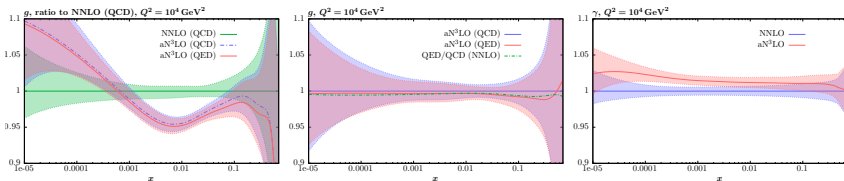
Advantages of TNP method:

- Probes precisely the missing higher order terms.
- Allows inclusion of known N3LO information (a lot) without needing to wait for remaining few pieces.
- Can be included in PDF fit in same way experimental data are.
- No requirement for scale variations - can underestimate MHO, issue of correlation between PDF fit and use [11].
- Exactly same data can be included at all orders - no need to raise Q^2 cut on data to enable downwards scale variations.
- Output eigenvectors include theory uncertainty from missing higher orders out-of-the-box \Rightarrow using MSHT20aN3LO PDF set exactly as previous sets includes theory uncertainty for no extra user effort.

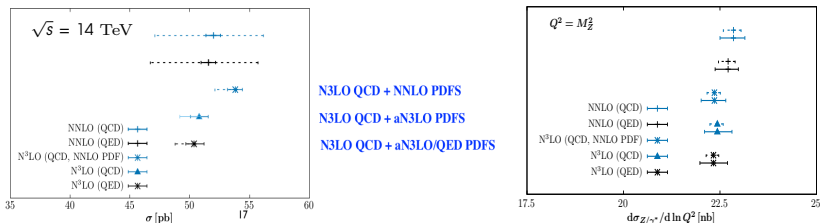
- Applications also more widely - e.g. theory uncertainty for $Z p_T$ spectrum and α_S . F. Tackmann SCET 2019; and T.C., G. Marinelli, F. Tackmann (work in progress).

aN3LO QCD + QED:

- Impact small relative to aN3LO QCD corrections in most regions.
- Effect of adding QED similar when applied to NNLO and aN3LO.



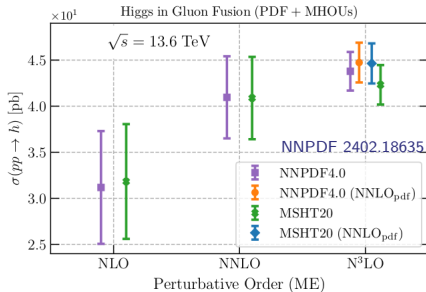
- Knock-on impact on cross-sections, ggF Higgs (left), Z (right):



T.C., L.A. Harland Lang, R.S. Thorne 2312.07665 [5]

Gluon Fusion Higgs Production:

- Consider impact of our aN3LO PDFs on known N3LO Higgs production in gluon fusion^{32,33} - shift down due to change in gluon:

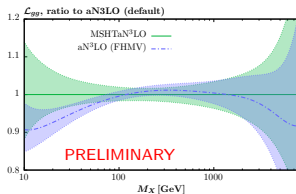
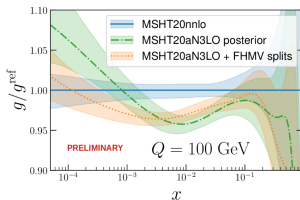


Note greater stability of full NNLO and N3LO xsec + aN3LO PDF results

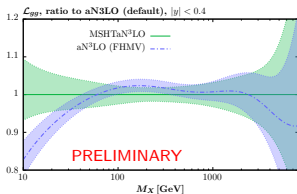
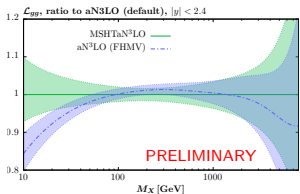
- Increase in cross-section at N3LO compensated by reduction in PDFs at aN3LO \Rightarrow important to consider PDF and σ changes together.
- aN3LO result lies within uncertainty band of full NNLO.
- NNPDF see similar effects, though slightly reduced due to changes in gluon PDF at aN3LO.

gg Luminosity with FHMV and rapidity cuts:

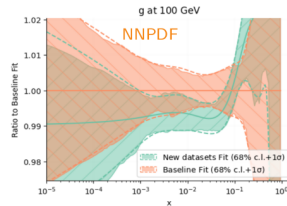
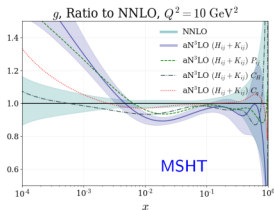
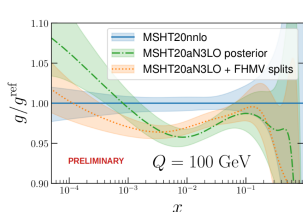
- Despite rise in gluon PDF with FHMV splitting functions[26-30], very little change in gg luminosity as integrating over rapidity (x).



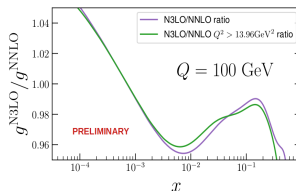
- Adding rapidity cuts - no change with $|y| < 2.4$ (lower left), only with stricter cuts (e.g. $|y| < 0.4$, lower right) do we see the lumi rise.



Further Considerations and PDF impacts:

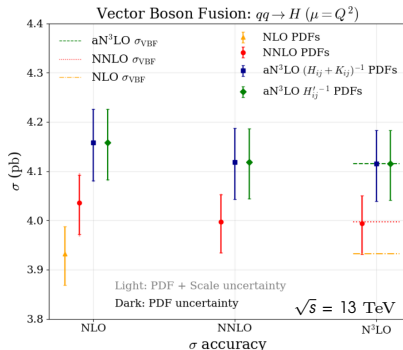


- New moments cause small increase, though consistent with before.
- Other aN3LO effects important, e.g. DIS coefficient functions.
- New data also changes gluon, e.g. new 13TeV jet data lower NNPf gluon closer to MSHT.
- If cut low Q^2 data as can be required for scale variation approach, impacts gluon.
- Several different aspects contribute to any differences. *Consistent picture emerging...*



Impact on Higgs cross-sections - VBF:

- Consider impact of our aN3LO PDFs on known N3LO Higgs production in vector boson fusion²⁷:



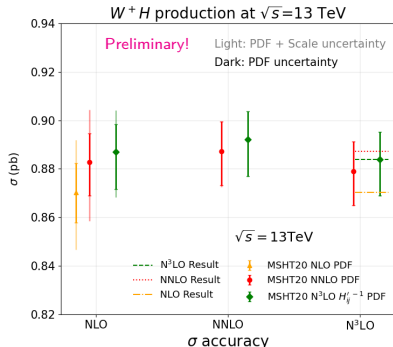
N.B. For scale variations - do μ_R and μ_F at NNLO but only μ_R at aN3LO as PDF uncertainty from MHOs already in PDF eigenvectors.

Results obtained using proVBFH code^{48,57}.

- Increase in σ using aN3LO PDFs, occurs due to enhanced charm and light quarks at high x .
- VBF more reliant on quark sector - changes less ($\sim 2.5\%$, cf $\sim 5\%$ for ggF) with PDF order as more data constraints on quarks.

Impact on VH cross-sections:

- Consider impact of our aN3LO PDFs on VH associated production (Higgsstrahlung) at LHC, e.g. W^+H at 13 TeV:



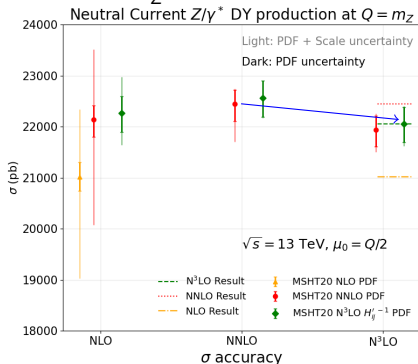
N.B. For scale variations - do μ_R and μ_F at NNLO but only μ_R at aN3LO as PDF uncertainty from MHOs already in PDF eigenvectors.

- Result with aN3LO PDFs raised slightly, reflects increased quarks at high X , antiquarks at low X and strange and charm.
- N3LO σ + aN3LO PDF result very close to NNLO σ + NNLO PDF result, increased stability in predictions.

Drell-Yan production:

Produced using the n3l0xs code⁴⁹.

- Consider impact of our aN3LO PDFs on Drell-Yan production at LHC, e.g. Neutral current at m_Z at 13 TeV:

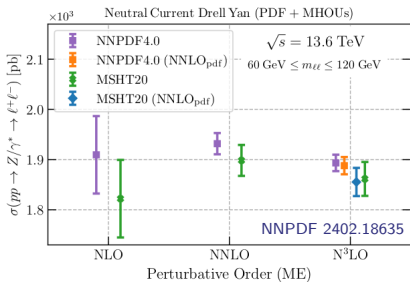


Note greater stability of full NNLO and N3LO xsec + aN3LO PDF results

- Only **small change in using aN3LO PDFs** relative to NNLO PDFs.
- Predictions with NNLO and aN3LO PDFs are stable.**
- PDF uncertainties** dominate at NNLO and N3LO, indeed **enlarged from MSHT20aN3LO** with inclusion of MHOUs.

Drell-Yan production:

- Consider impact of our aN3LO PDFs on Drell-Yan production at LHC, e.g. Neutral current at $60\text{GeV} < m_{ll} < 120\text{GeV}$ at 13.6 TeV:

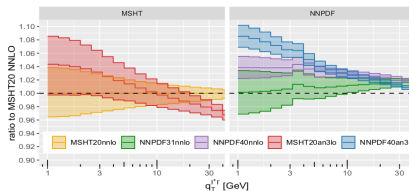
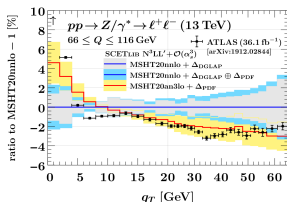


Note greater stability of full NNLO and N3LO xsec + aN3LO PDF results

- Only **small change in using aN3LO PDFs** relative to NNLO PDFs.
- Predictions with NNLO and aN3LO PDFs are stable.**
- NNPDF see similar small impact on DY** → also see small increase from aN3LO PDFs, also well within uncertainty.

Drell-Yan production - Transverse Momentum:

- $Z \rho_T$ spectrum - wish to use aN3LO PDFs to match resummation accuracy in predictions for $Z\rho_T$ spectrum at low q_T :
- MSHT20aN3LO and NNPDFaN3LO PDFs have **same impact on shape of q_T spectrum**:



- **Substantial aN3LO PDF effect on N3LL'/N4LL q_T spectrum.**

Left: SCETlib - Johannes Michel LHC EW WG meeting Sep 2022.

Centre: CuTe-MCFM - Tobias Neumann Loops and Legs March 2024

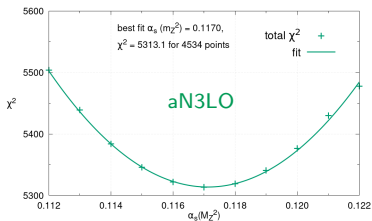
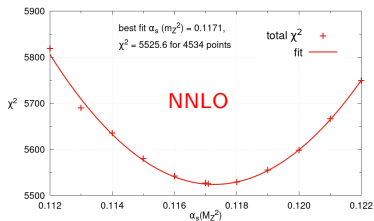
MSHT20 α_S dependence - NNLO and aN3LO

(first ever!)

- First global PDF $\alpha_S(M_Z^2)$ determination at aN3LO.
- Consistent with NNLO determination within uncertainties.
- Good perturbative convergence of α_S determination.

$$\alpha_{S,\text{NNLO}}^{\text{new}}(M_Z^2) = 0.1171$$

$$\alpha_{S,\text{aN3LO}}^{\text{new}}(M_Z^2) = 0.1170$$



Nice Quadratic
 χ^2 profile
 ✓

- Can also determine bounds (next slide).

T.C., L.A. Harland-Lang, R.S. Thorne 2404.02964 [7].

MSHT20 α_S bounds - aN3LO

Consistent with α_S bounds seen in previous studies, and between orders (NNLO and aN3LO).

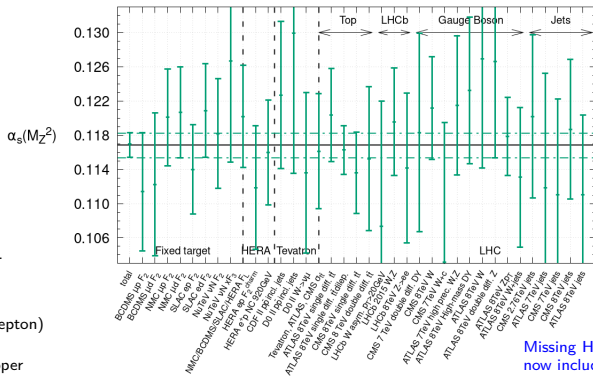
BCDMSp data strongest constraint upwards: $\Delta\alpha_S(M_Z^2) = +0.0013$.

F_2^C provides upwards bound of:

$$\Delta\alpha_S(M_Z^2) = +0.0020.$$

CMS and ATLAS (dilepton)

$t\bar{t}$ single diff. would give slightly higher upper α_S bounds, but not used.



SLAC deuteron data gives lower bound: $\Delta\alpha_S(M_Z^2) = -0.0016$.

NMC deuteron, ATLAS 8 TeV Z both give lower bounds of $\Delta\alpha_S(M_Z^2) = -0.0017$.

Missing Higher Order Uncertainties now included, in particular causes some LHC bounds to weaken as unknown N3LO K-factors.

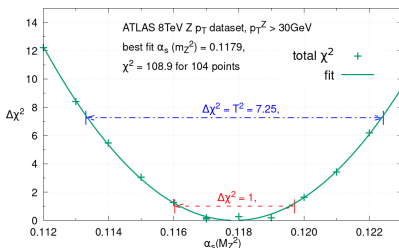
- Therefore upper/lower bounds are $+0.0013/-0.0016$ at aN3LO.

$$\alpha_{S,aN3LO}(M_Z^2) = 0.1170 \pm 0.0016$$

Consistent with (NNLO) World Average of 0.1180 ± 0.0009 .

MSHT20 ATLAS 8 TeV $Z p_T$ α_S dependence

- ATLAS 8 TeV $Z p_T$ data with $p_T^Z > 30$ GeV is in the MSHT PDF fit.
- What bounds does it offer within the global PDF fit on $\alpha_S(M_Z^2)$?

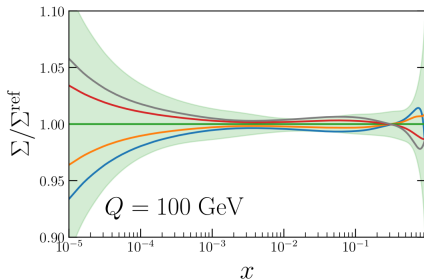
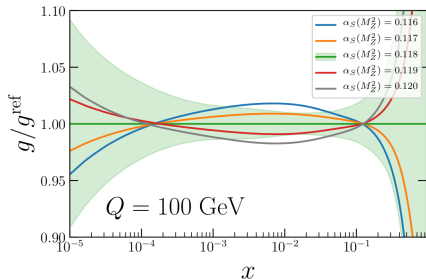


- If you do individual dataset extraction you use $\Delta\chi^2 = 1$ for bounds.
- If you do do in a global fit, factoring in tensions with other data you use $\Delta\chi^2 = T^2 = 7.25$ for bounds.
- $p_T^Z > 30$ GeV not very constraining on $\alpha_S(M_Z^2)$ in global PDF fit.
- ATLAS $Z p_T$ α_S result used $p_T^Z < 29$ GeV part of spectrum. Used MSHT20 aN3LO PDFs to correspond to accuracy used in resummation.

MSHT20 PDF α_S dependence

Forte, Kassabov: 2001.04986

- Correlations between PDFs and $\alpha_S \Rightarrow$ necessity of global fit.



- Changes generally within PDF uncertainties for $\Delta\alpha_S(M_Z) \approx \pm 0.001$.
- Gluon anti-correlated with $\alpha_S(M_Z^2)$ for $x \lesssim 0.1$ as maintains $dF_2/dQ^2 \sim \alpha_S g$. Implies correlated at high $x \gtrsim 0.1$ by momentum sum rule.
- Larger effect at low Q^2 as less evolution distance.
- Smaller effects on quarks, reduced/increased at high/low x by splitting. s less impacted, at high x may absorb some of change.

NLO and NNLO Cross-section Scale Variations

- For many processes NLO scale variations were not sufficient to incorporate NNLO result.

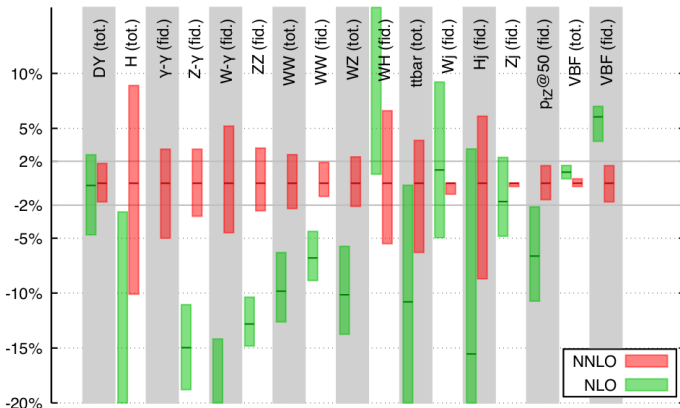
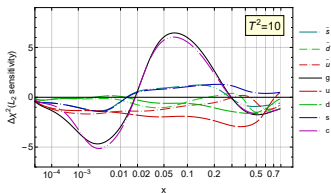


Image Credit:
G. Salam

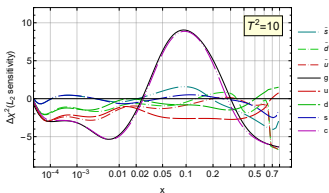
- Is there a better way to do this?

NNLO and aN3LO Data "Pulls" - L_2 Sensitivities - g

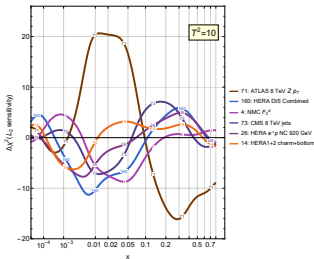
MSHT20 NNLO
CMS 8 TeV jets (73), Q=100 GeV



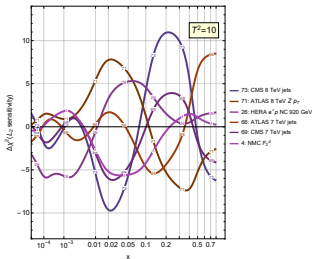
MSHT20 approx. N3LO
CMS 8 TeV jets (73), Q=100 GeV



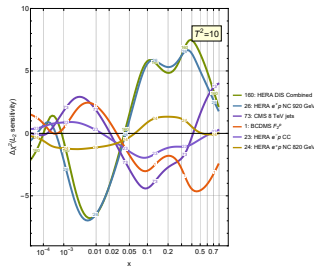
MSHT20 NNLO
g(x, 2 GeV)



MSHT20 approx. N3LO
g(x, 2 GeV)

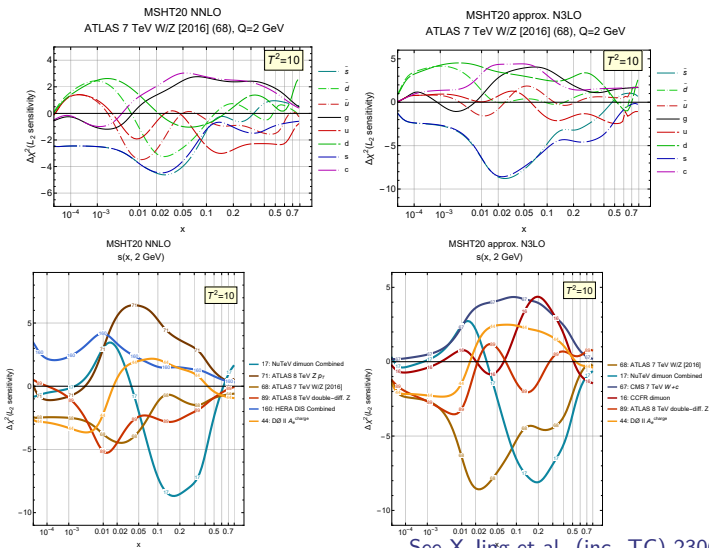


MSHT20 NNLO reduced
g(x, 2 GeV)



See X. Jing et al. (inc. TC) 2306.03918 [10]

NNLO and aN3LO Data “Pulls” - L_2 Sensitivities -

 s^+


See X.Jing et al. (inc. TC) 2306.03918 [10]

Acknowledgments



This project has received funding from the European Research Council (ERC) under the European Union's Horizon 2020 research and innovation programme (Grant agreement No. 101002090 COLORFREE).