



Experimental overview of open HF production in small systems

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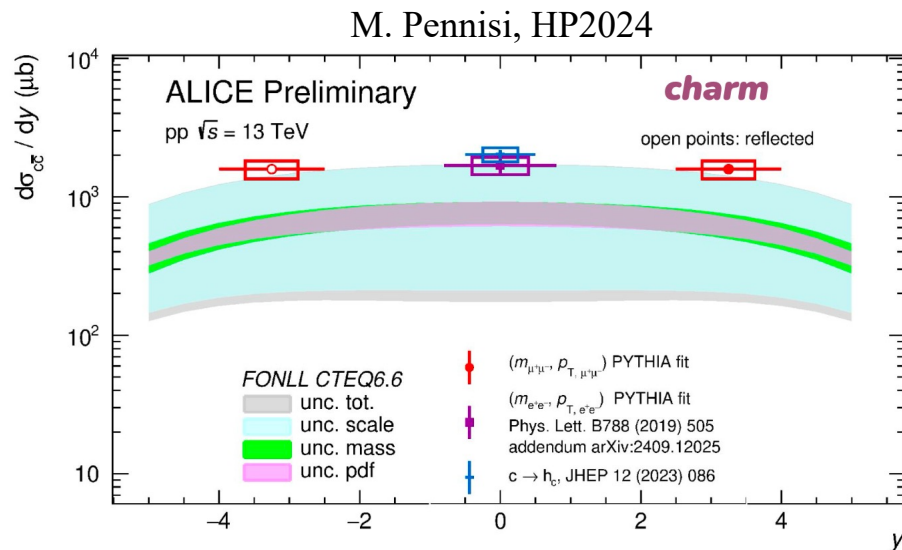
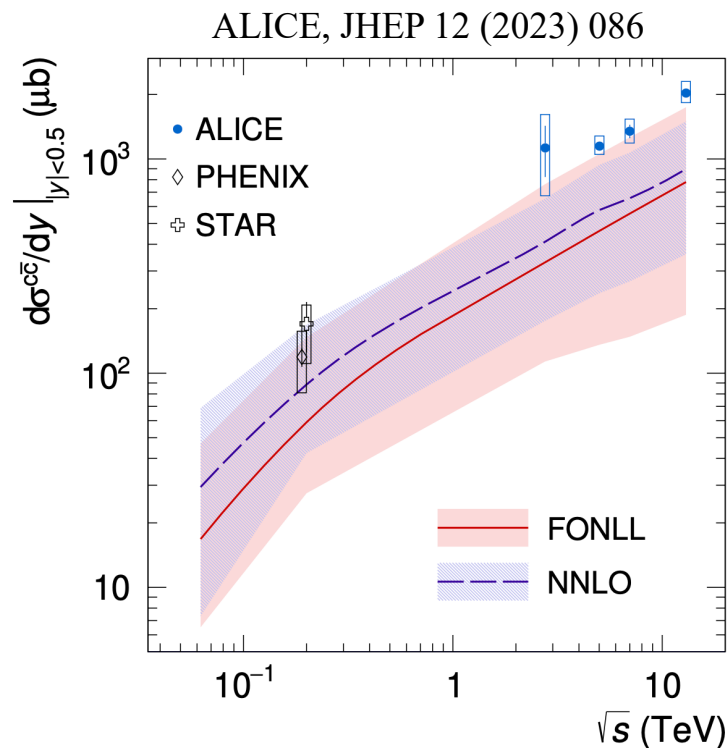
Outline

- Total charm/bottom cross-sections in pp
- Nuclear modification factors of heavy flavor hadrons
- Charm and bottom hadronization
- Summary

$$\sigma_{AB \rightarrow H} = \underbrace{\text{PDF}(x_a, Q^2)\text{PDF}(x_b, Q^2)}_{\text{Parton distribution functions}} \otimes \underbrace{\sigma_{ab \rightarrow q\bar{q}}(x_a, x_b, Q^2)}_{\text{Hard scattering cross section}} \otimes \underbrace{D_{q \rightarrow H}(z = p_H/p_q, Q^2)}_{\text{Fragmentation function (hadronization)}}$$

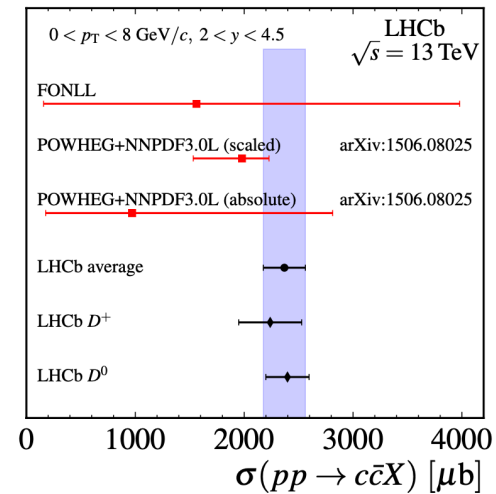
F. Zanone, HP2024

Total charm cross-sections in pp

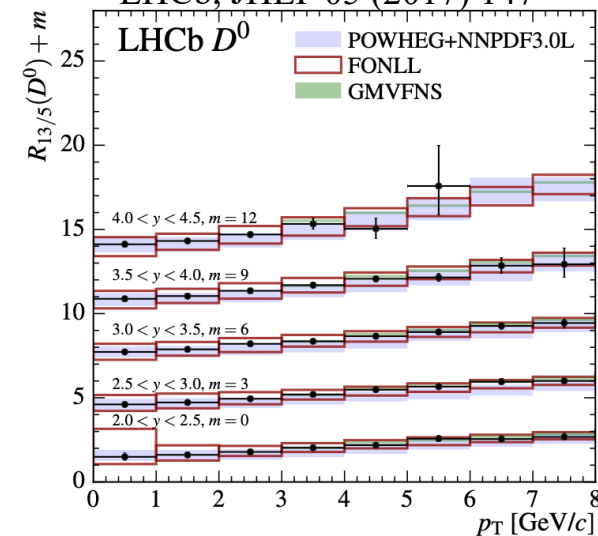


ALI-PREL-581604

LHCb, JHEP 05 (2017) 074



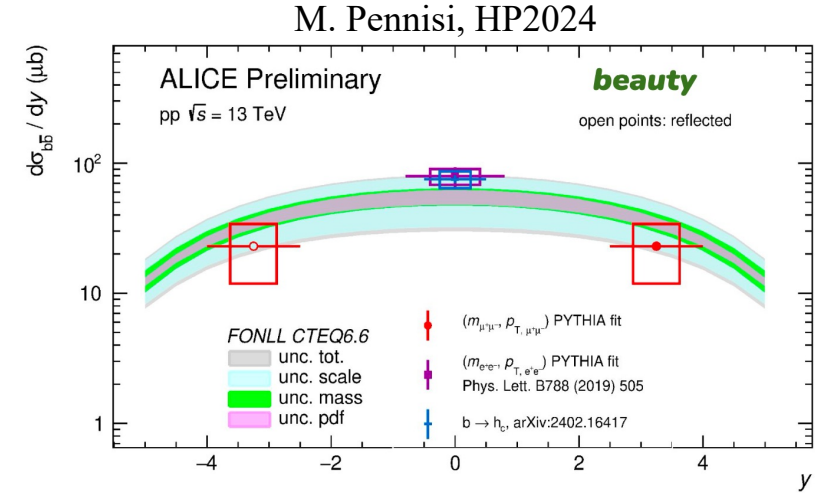
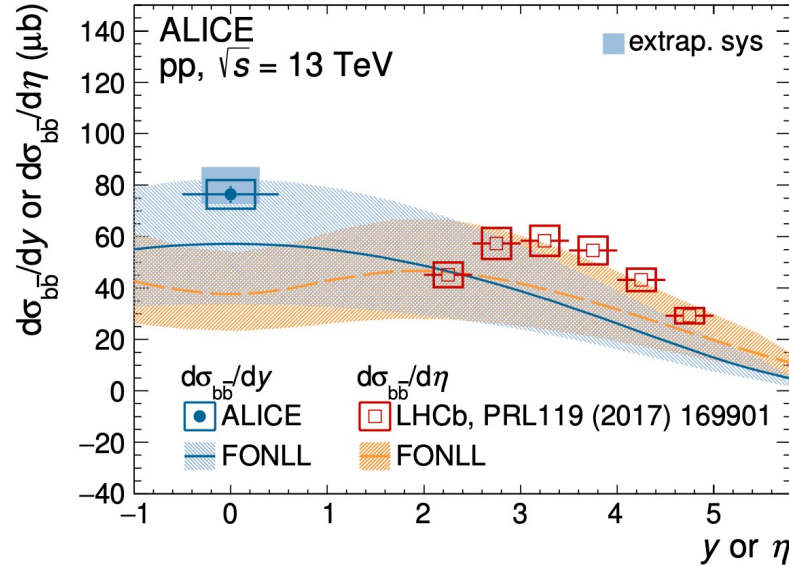
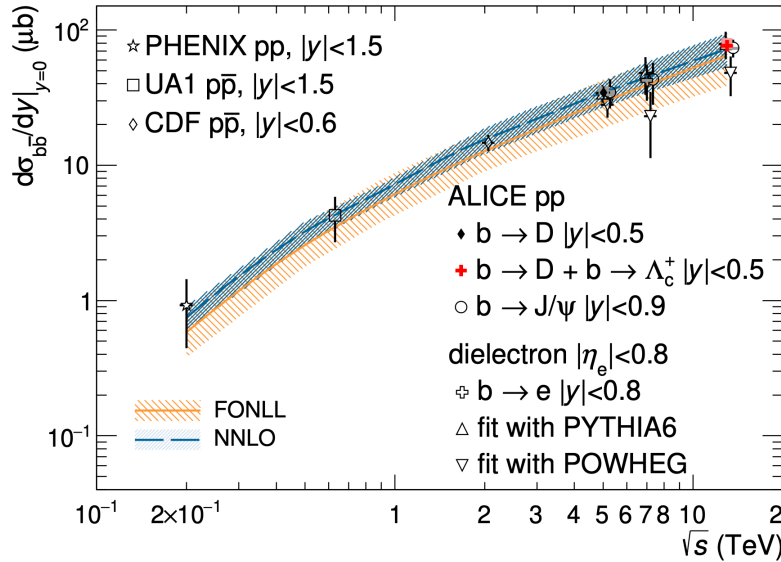
LHCb, JHEP 05 (2017) 147



- Lies at the upper edge of pQCD predictions at both mid and forward rapidities
- Ratios of the cross-sections for different energies consistent with theoretical predictions

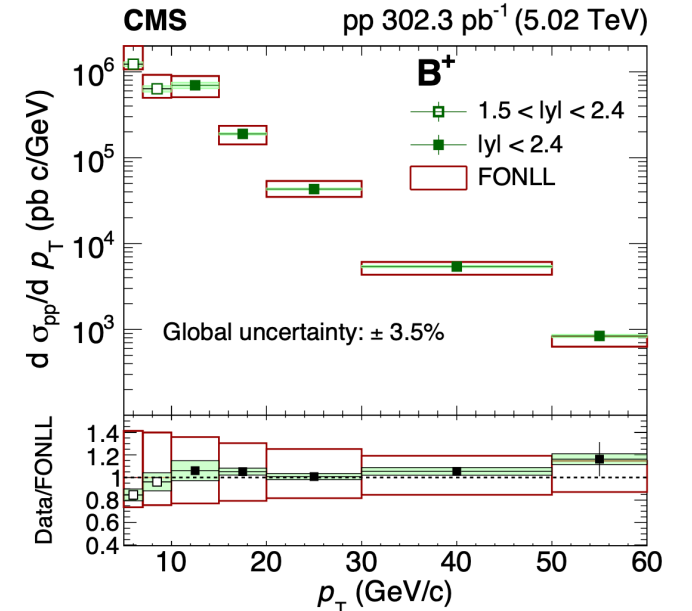
Total bottom cross-sections in pp

ALICE, JHEP 10 (2024) 110



ALI-PREL-581599

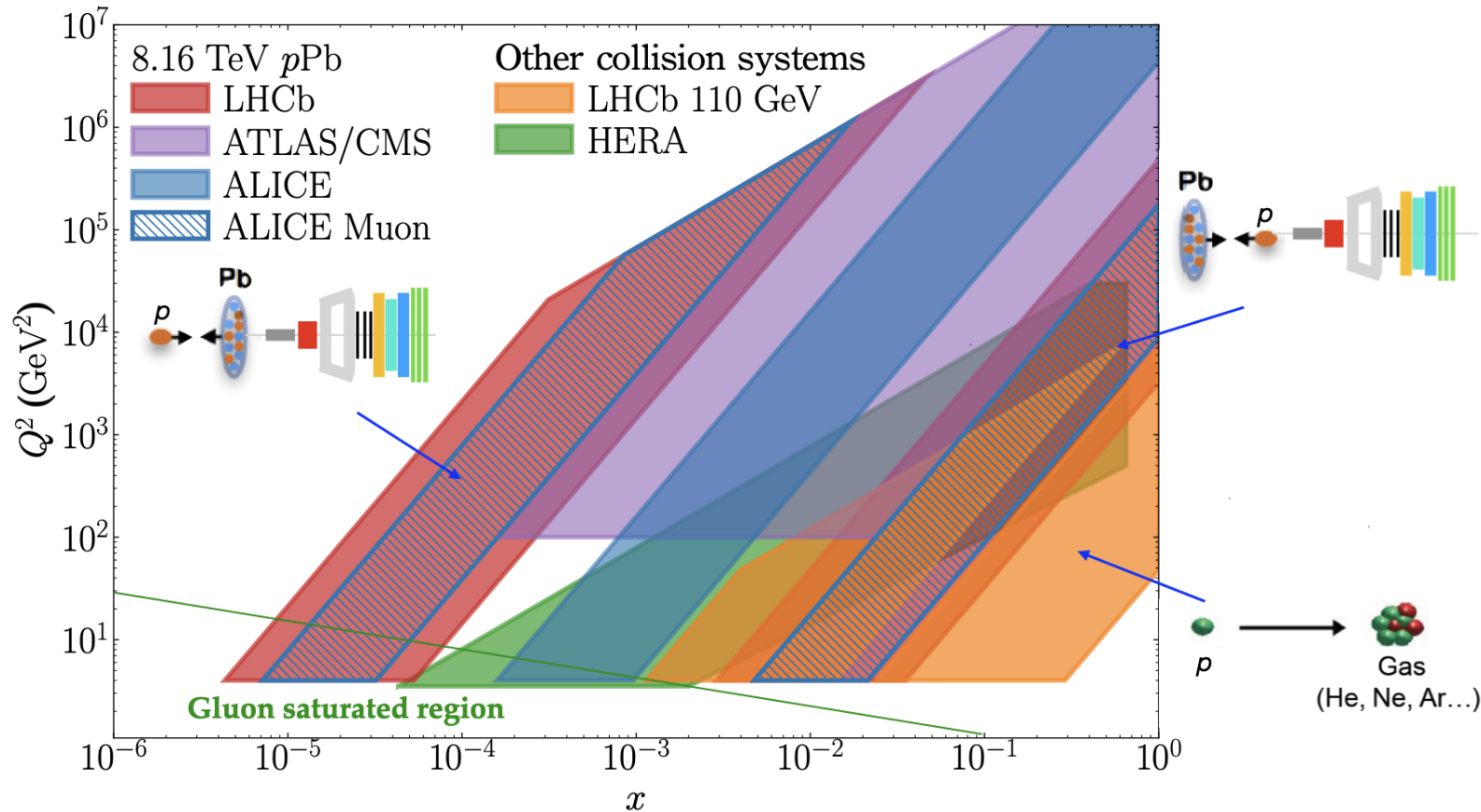
- Lies at the upper edge of NLO pQCD predictions at mid rapidity, closer to NNLO
- But pQCD calculations reproduce well the energy/rapidity/ p_T dependence



CMS, arXiv: 2409.07258

Cold nuclear matter effects studied in pA collisions

- nPDF; CGC; energy loss; multiple scattering (Cronin effect)



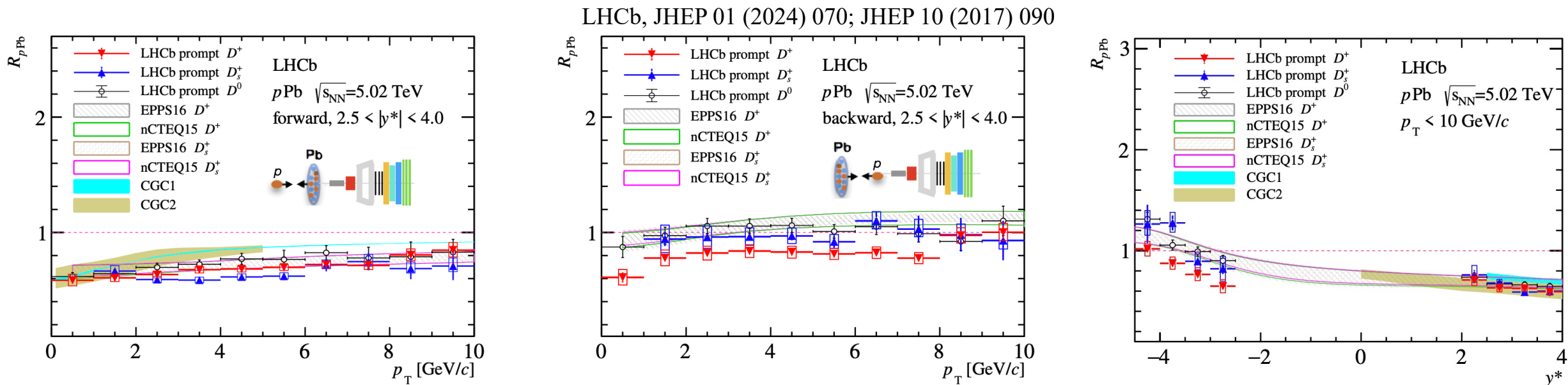
$$R_{p\text{Pb}}(p_T, y^*) \equiv \frac{1}{A} \frac{d^2\sigma_{p\text{Pb}}(p_T, y^*)/dp_T dy^*}{d^2\sigma_{pp}(p_T, y^*)/dp_T dy^*}$$

$$x_{\text{exp}} \equiv 2 \frac{\sqrt{p_T^2 + M^2}}{\sqrt{s_{\text{NN}}}} e^{-y^*}$$

$$Q_{\text{exp}}^2 \equiv p_T^2 + M^2$$

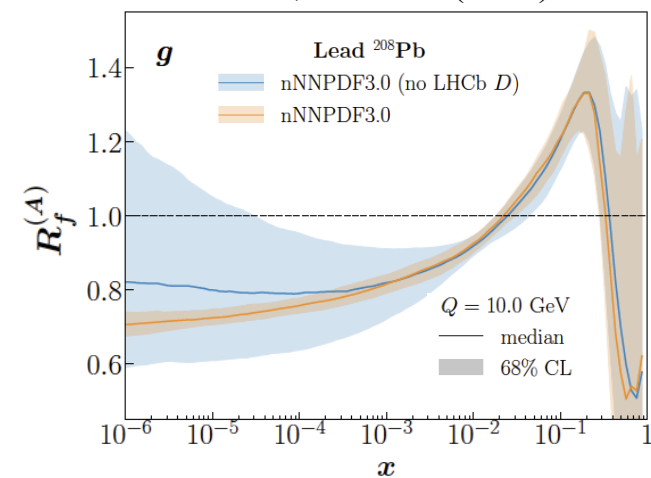
nPDF (x, Q^2) coverage of different experiments

Prompt D meson production in pPb at 5.02 TeV

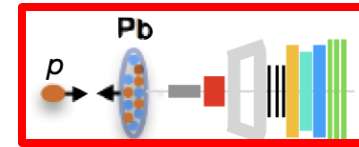


- One of the strongest constraint on gluon nPDF
- All D mesons suppressed at forward rapidity
→ gluon shadowing at small x
- D meson species dependence at backward rapidity
→ final state effects become important

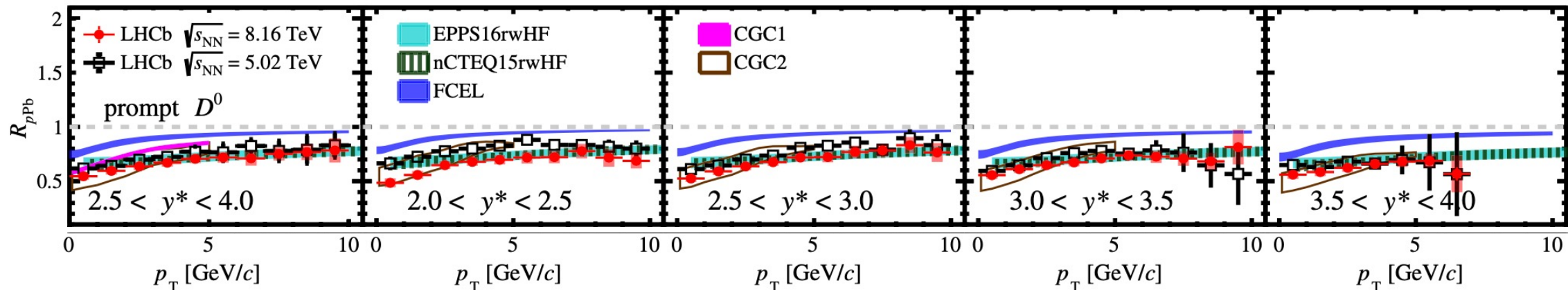
nNNPDF3.0, EPJC 82 (2022) 507



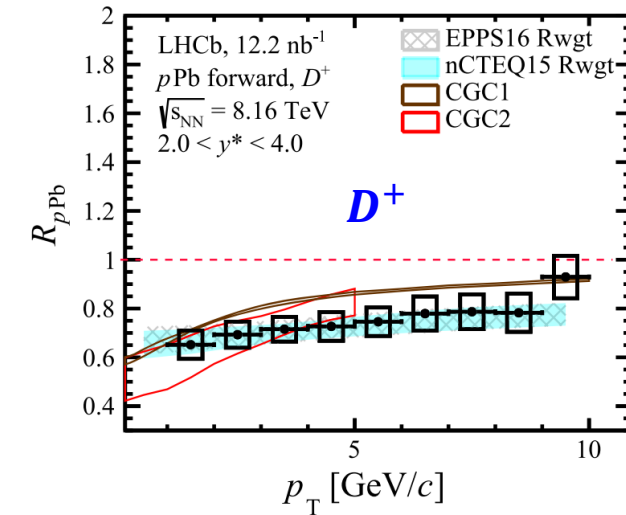
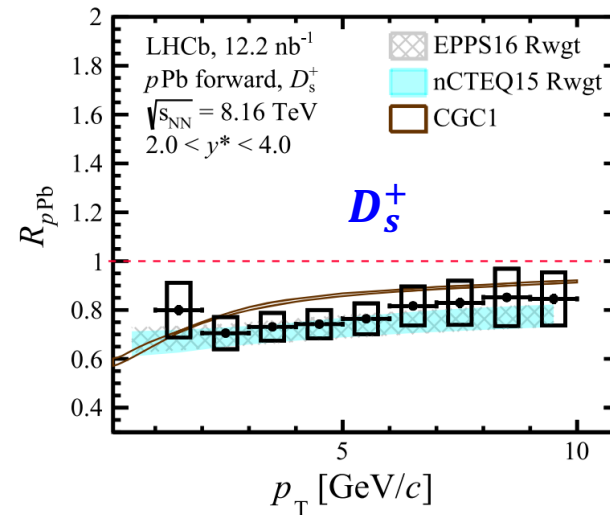
Prompt D meson production in pPb at 8.16 TeV



LHCb, PRL 131 (2023) 102301 D^0



- Precise differential measurements in different rapidity regions
→ gluon shadowing at forward rapidity
- D^0 data at low p_T closer to CGC prediction, or initial state energy loss becomes more important

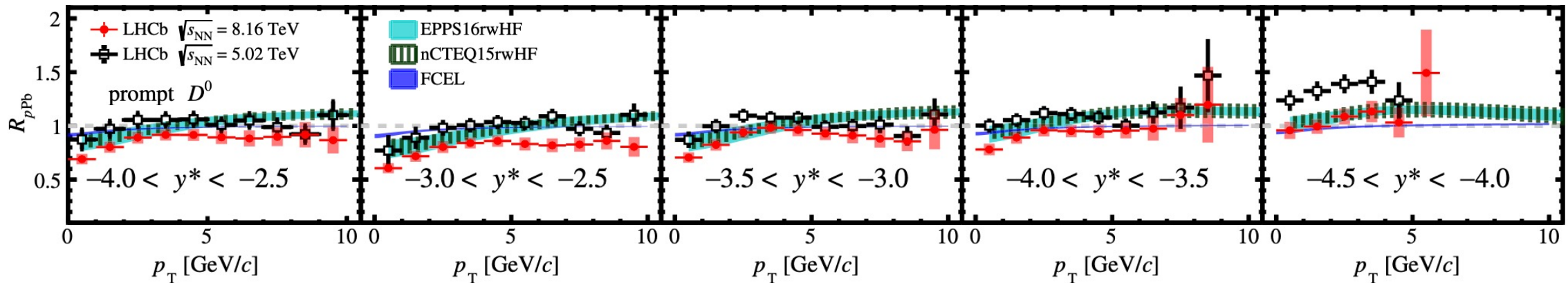
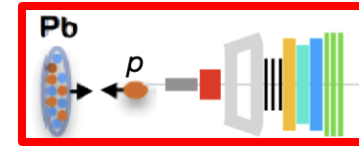


LHCb, PRD 110 (2024) L031105

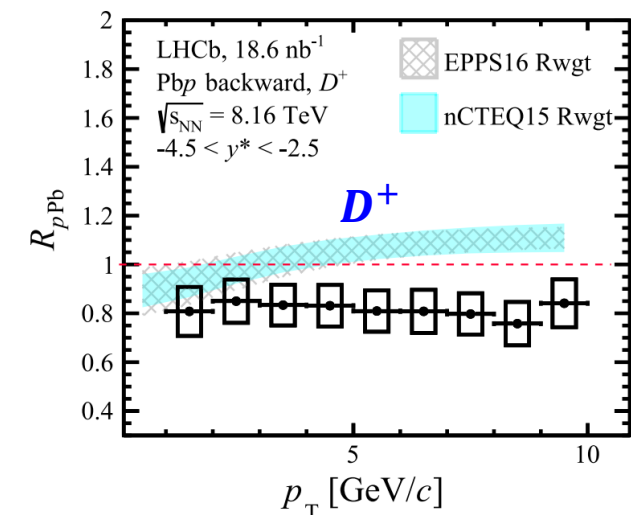
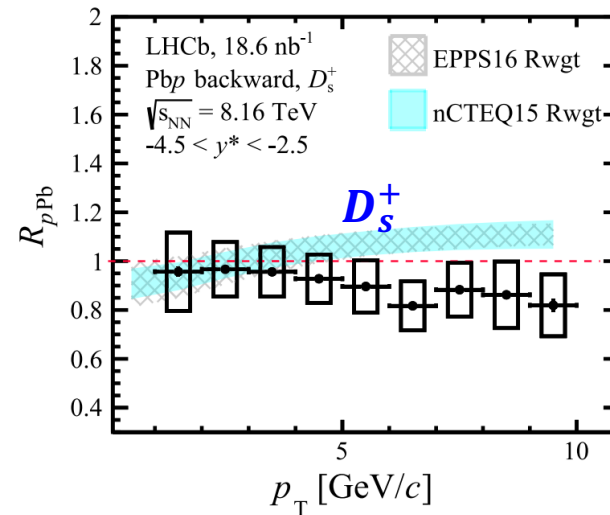
Prompt D meson production in pPb at 8.16 TeV

LHCb, PRL 131 (2023) 102301

D^0

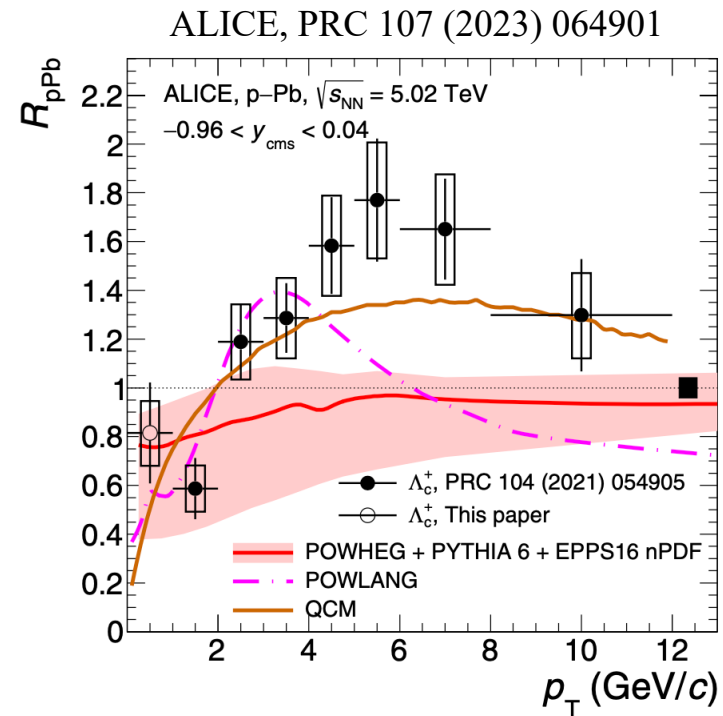
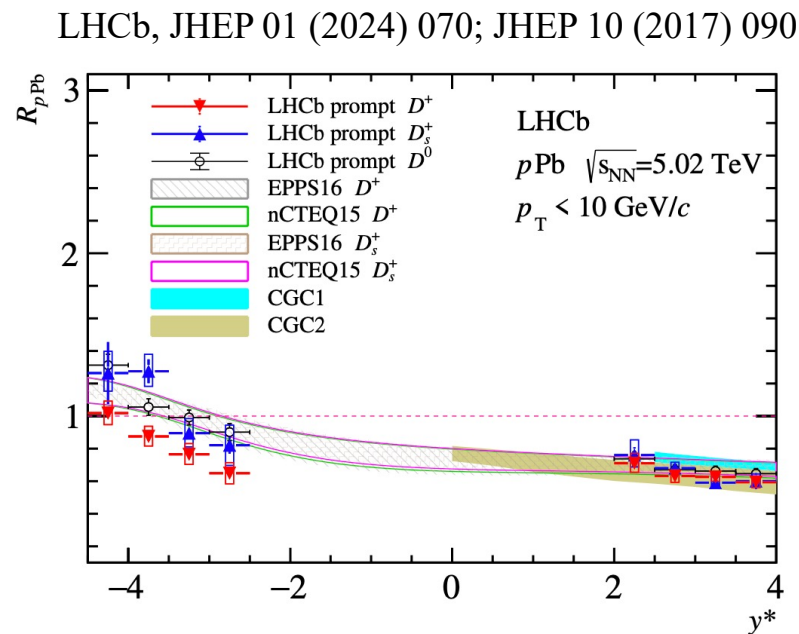
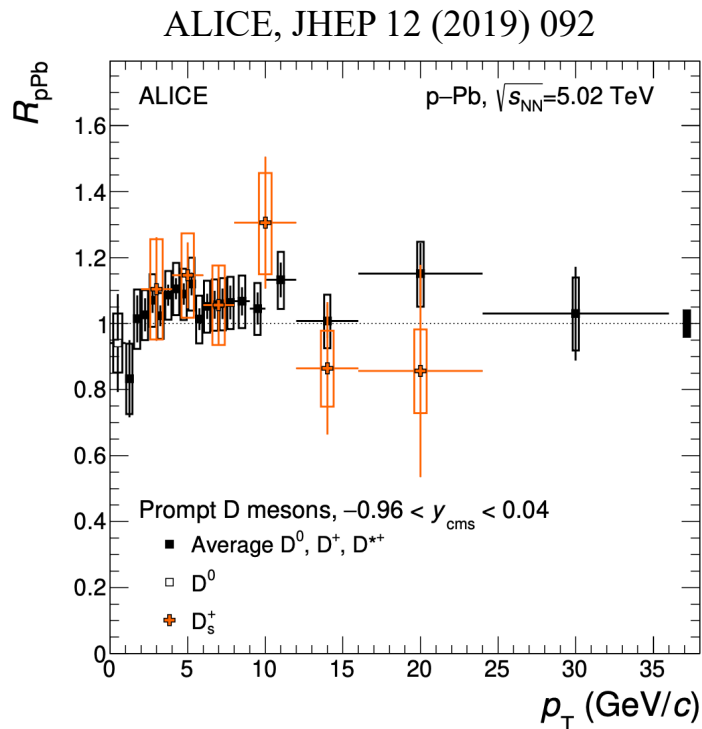


- All D meson data lower than nPDF calculations at high p_T !
 → Onset of charm energy loss in cold/hot nuclear matter ?
 Model comparisons desired
 → Or charmed baryon enhanced ?
 More measurements expected



LHCb, PRD 110 (2024) L031105

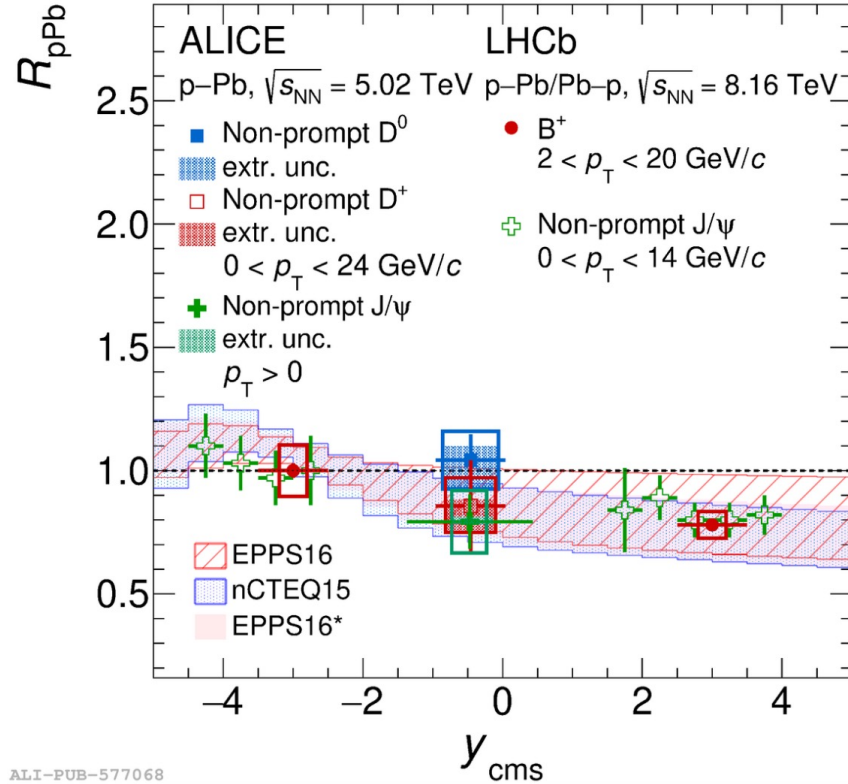
Charmed hadron R_{pPb} at mid-rapidity



- Prompt D mesons not suppressed at mid-rapidity across the whole p_T range ?
→ More precise measurements in Run3 expected
- Prompt Λ_c^+ indeed shows strong enhancement at intermediate to high p_T
→ More precise measurements (Run3, other experiments / charmed baryons) are essential

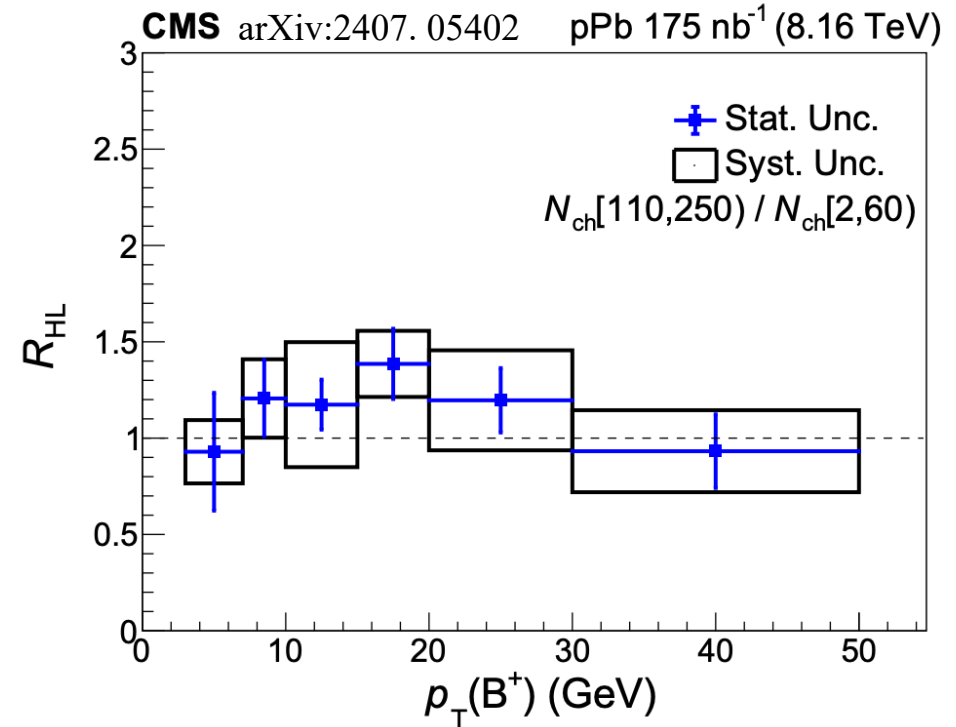
Bottom hadron R_{pPb}

ALICE, JHEP 11 (2024) 148



ALI-PUB-577068

$$R_{HL} = \frac{\langle N_{coll} \rangle|_{low} (d\sigma/dp_T)|_{high}}{\langle N_{coll} \rangle|_{high} (d\sigma/dp_T)|_{low}}$$

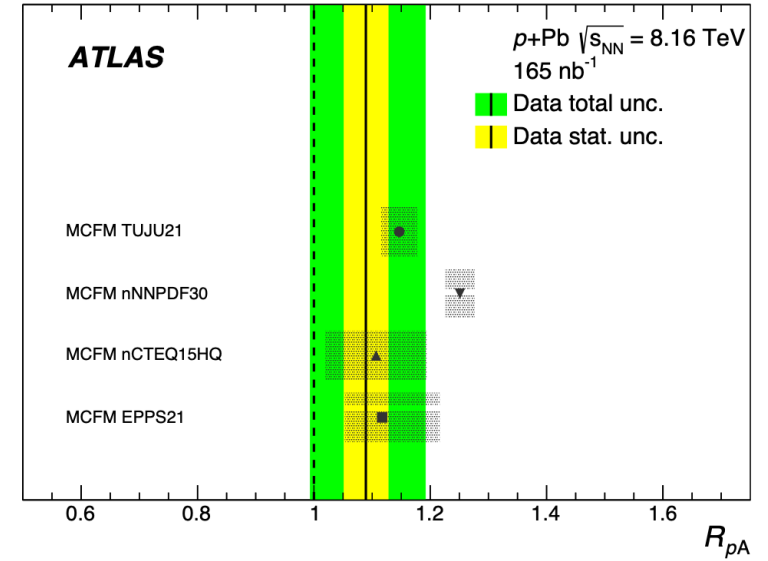
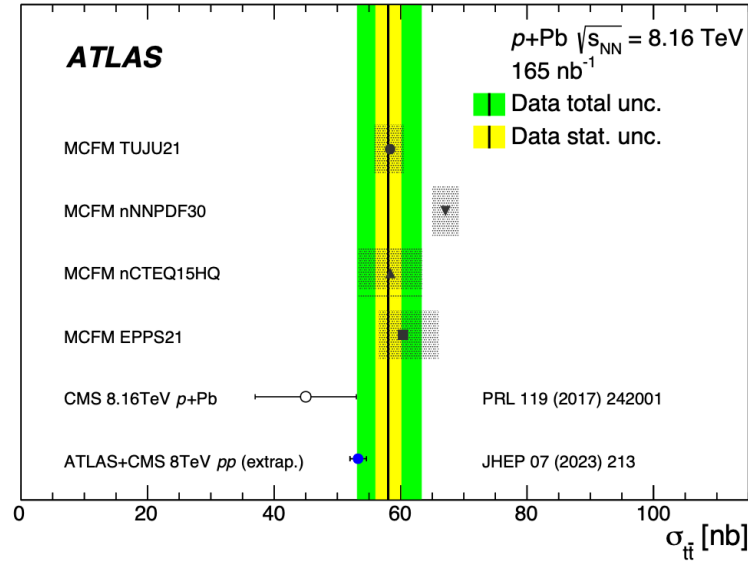
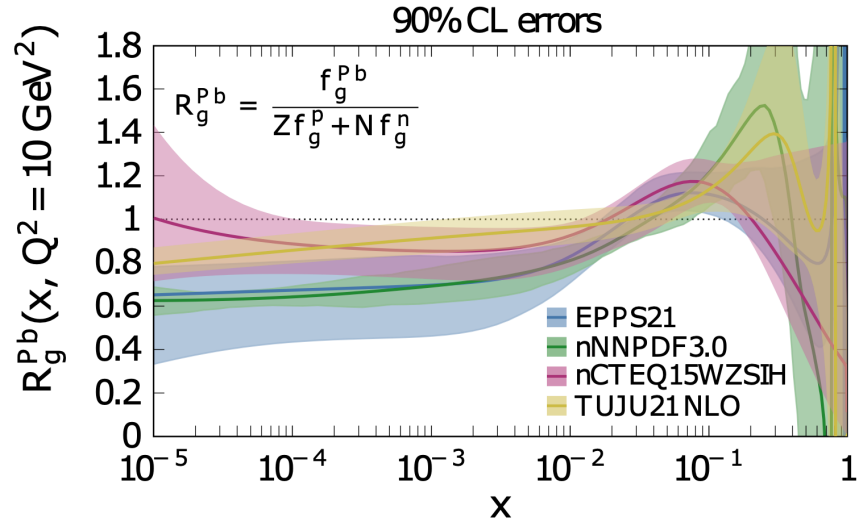


- Non-prompt D and non-prompt J/ψ data consistent with direct B^+ measurements
- Consistent with the nPDF calculations at all rapidity ranges
- R_{HL} data set constraints on medium effect on B^+ production

Top-quark pair R_{pPb}

QM2022 P. Paakkinen

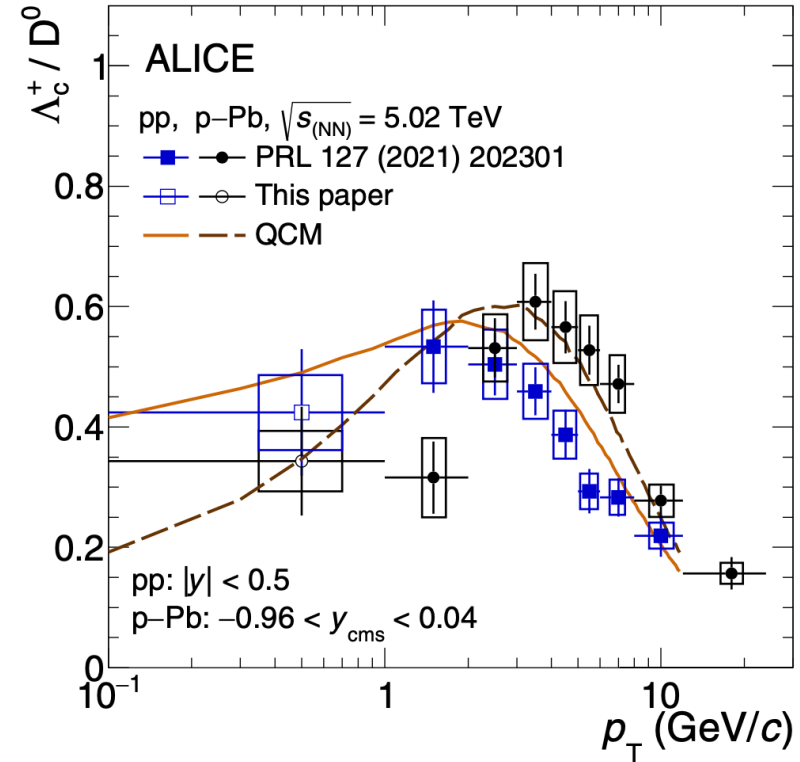
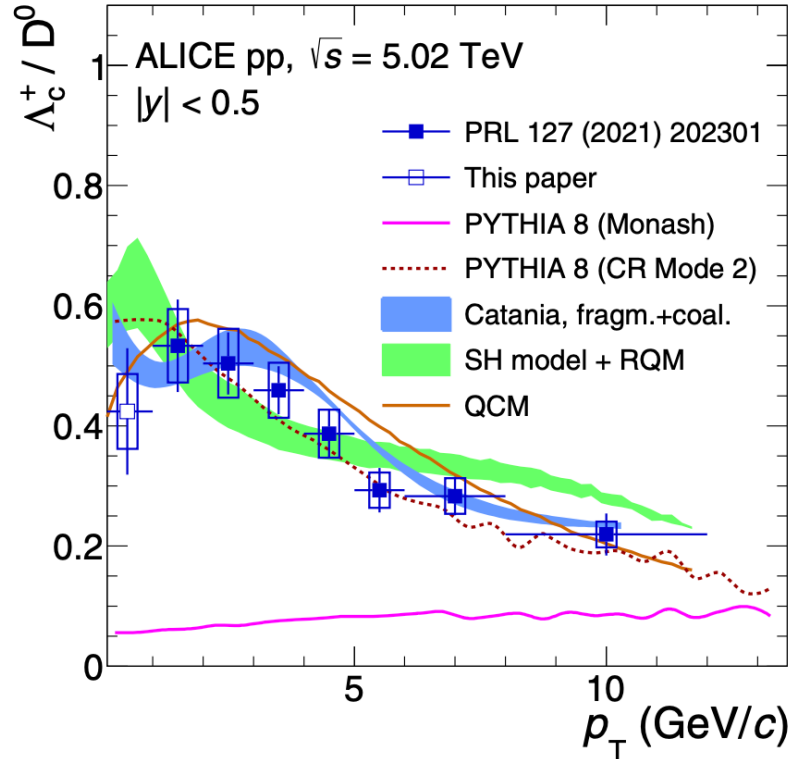
ATLAS, JHEP 11 (2024) 101



- Sensitive to gluon PDF in the large x (~ 0.1) and large Q^2 region
- Slightly larger than unity, good agreement with most NNLO+nPDF calculations

Prompt Λ_c^+ to D^0 cross-section ratios in pp and pPb

ALICE, PRC 107 (2023) 064901

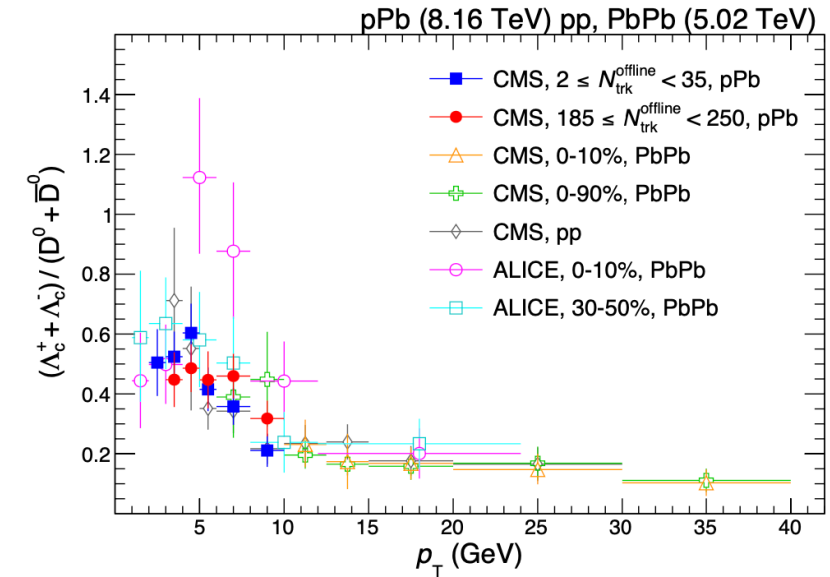
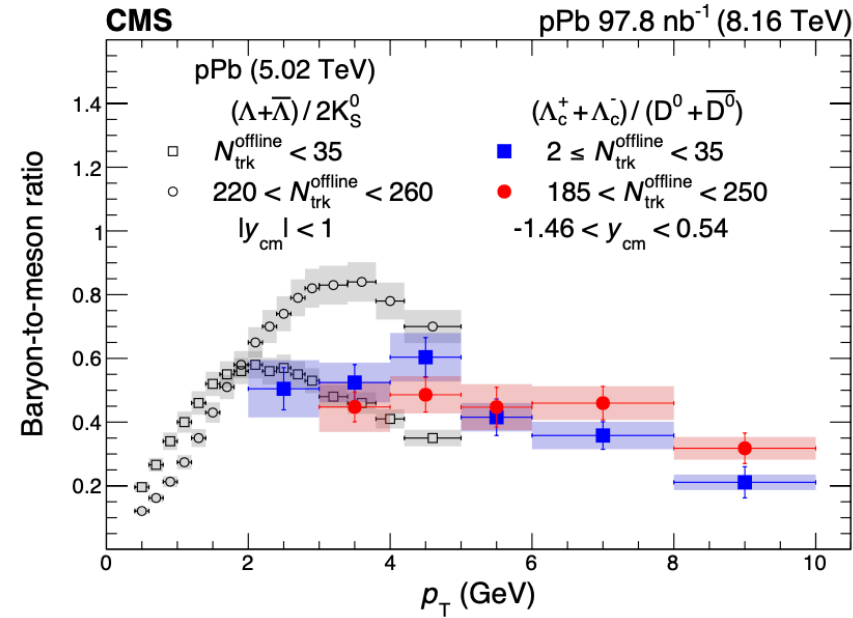
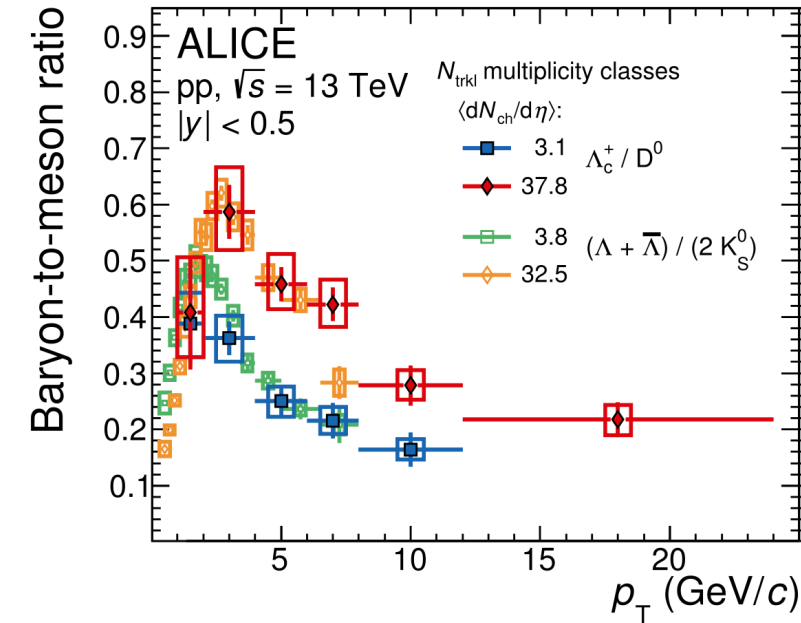


- Charmed baryon-to-meson enhancement (wrt ee/ep) at lower p_T , consistent with color reconnection / coalescence / statistical hadronization models
- Shift of distribution peak towards higher p_T in pPb could be attributed to radial flow. Uncertainties (at low p_T) need to be reduced in future measurements

Λ_c^+ / D^0 versus multiplicity in pp and pPb

ALICE, PLB 829 (2022) 137065

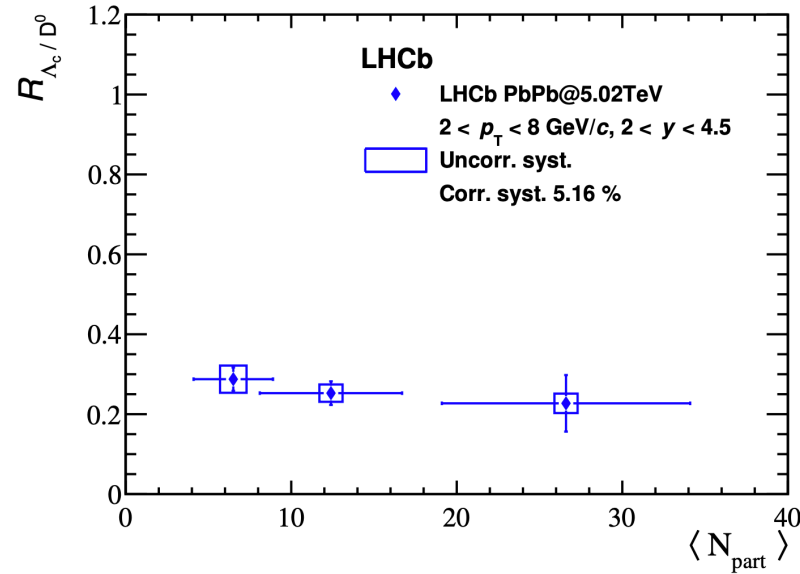
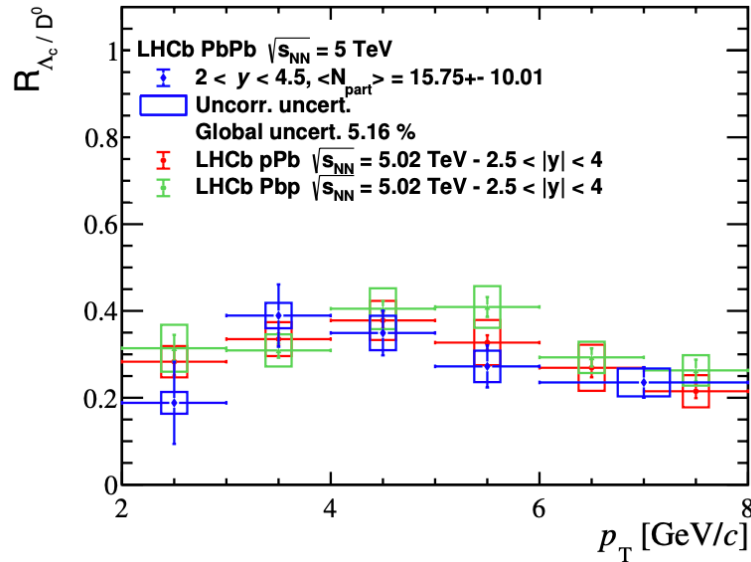
CMS, arXiv: 2407.13615



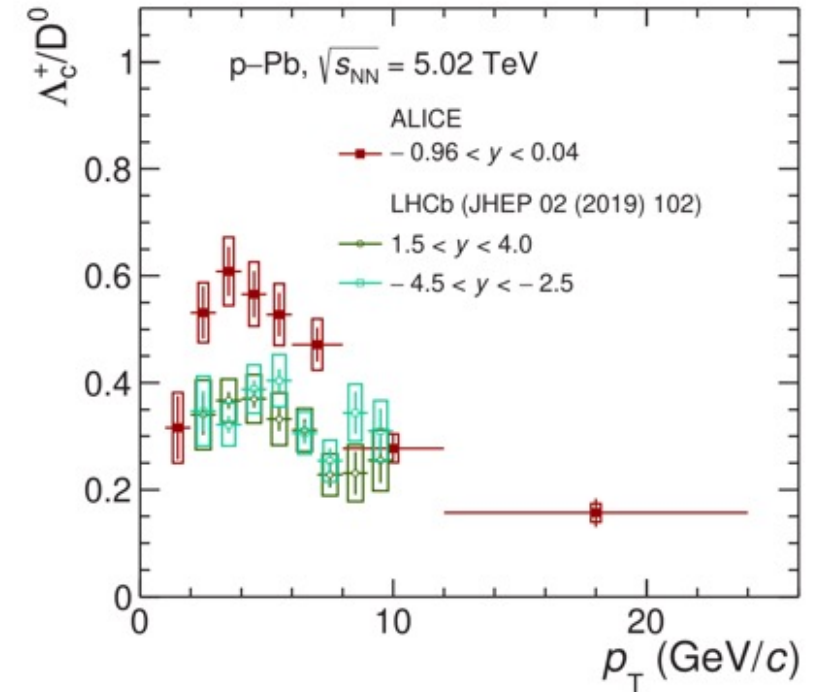
- Increases rapidly with the increasing multiplicity in pp collisions
- Stays almost unchanged in pPb and semi-central PbPb
- Suddenly increases again in most central PbPb, precise Run3 measurement expected

Λ_c^+ / D^0 versus multiplicity in forward rapidities

LHCb, JHEP 06 (2023) 132



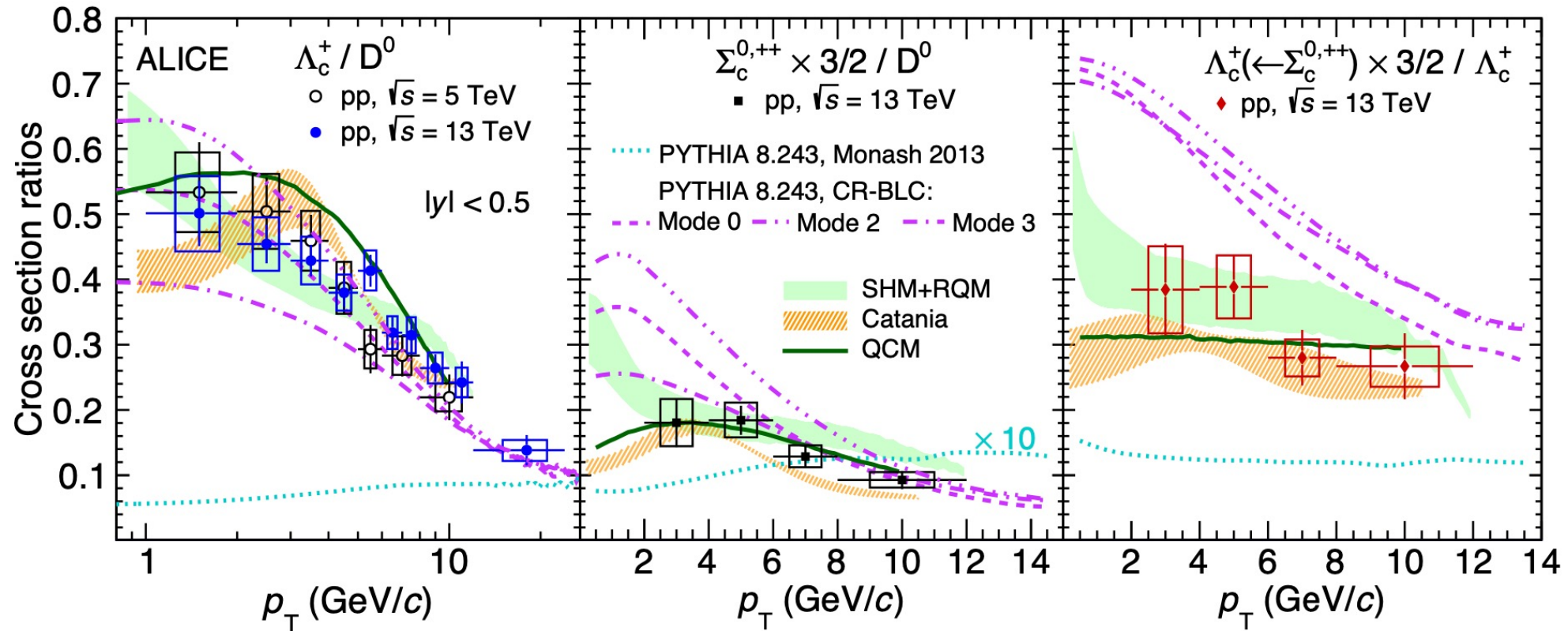
ALICE, PRC 104 (2021) 054905



- Stays almost unchanged in pPb/Pbp and peripheral PbPb collisions, much larger than ee/ep, charm hadronization changed in forward rapidity as well
- Tension with values at mid-rapidity, since rapidity independence predicted
- More independent measurements are desired

Prompt $\Sigma_c^{0,++}$ to D^0 cross-section ratios in pp @ 13 TeV

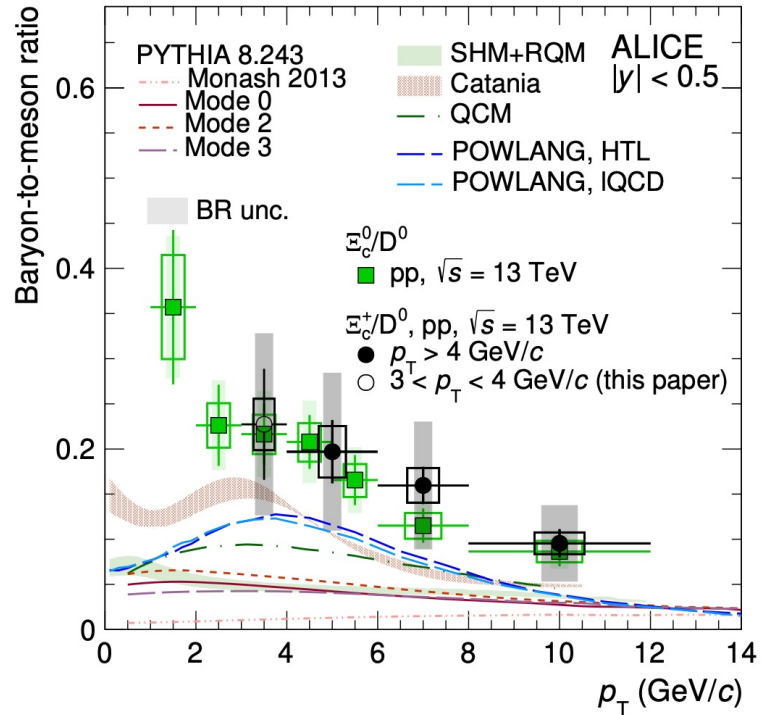
ALICE, PRL 128 (2022) 012001



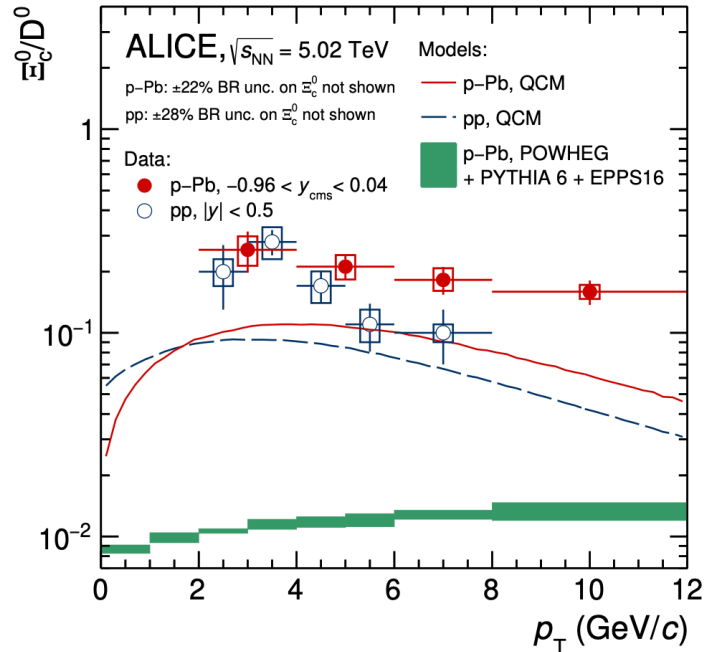
- Λ_c^+ / D^0 values almost energy independent
- $\Sigma_c^{0,++} / D^0$ production significantly enhanced, described by same models
- 40% Λ_c^+ from $\Sigma_c^{0,++}$ decays, enhanced feed-down contributes to Λ_c^+ / D^0 enhancement

Prompt Ξ_c to D^0 cross-section ratios in pp and pPb

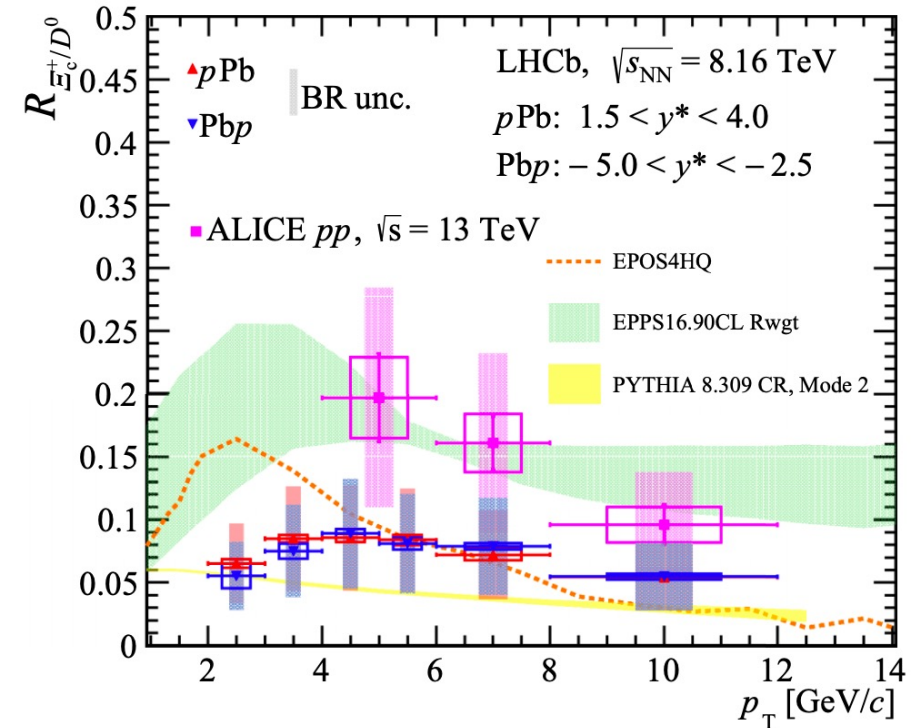
ALICE, JHEP 12 (2023) 086



ALICE, arXiv: 2405.14538



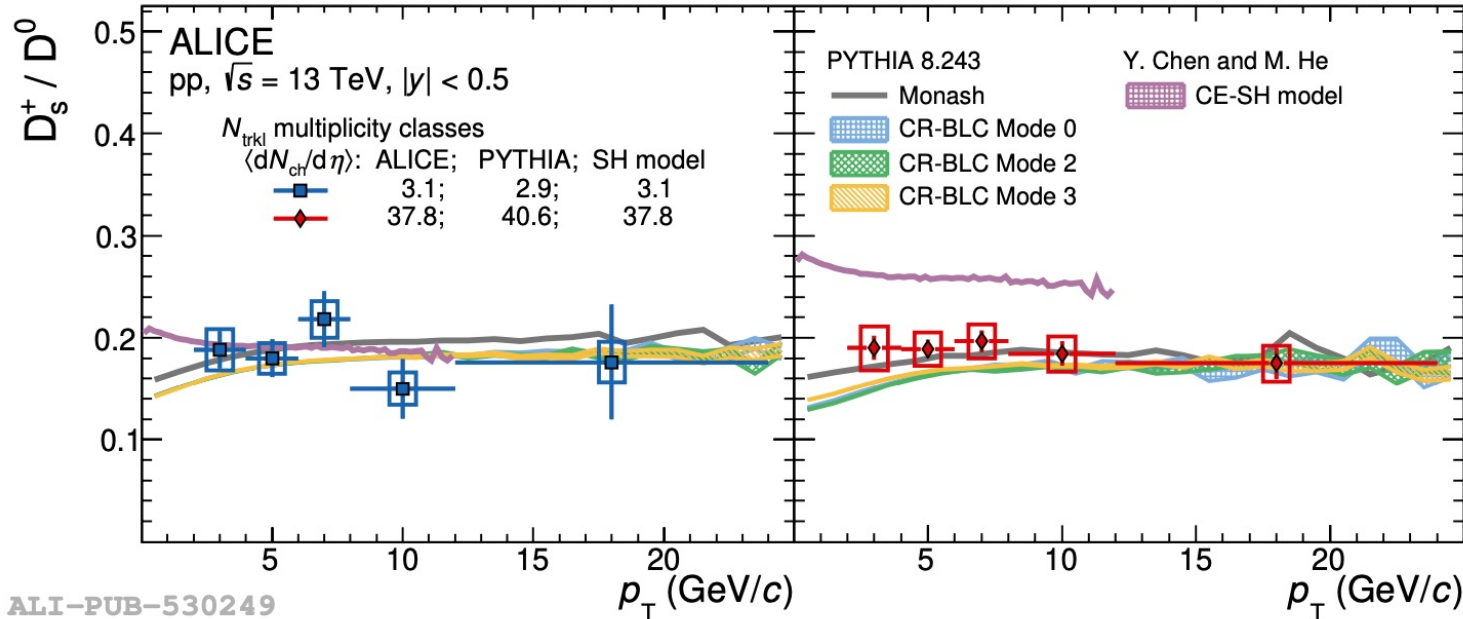
LHCb, PRC 109 (2024) 044901



- Significant enhancement also in strange-charmed-baryon to D^0 ratios
- Even stronger than coalescence model predictions, pp/pPb consistent
- Values at forward rapidity systematically lower than mid-rapidity

Prompt D_S^+ to D^0 ratios in pp and pPb

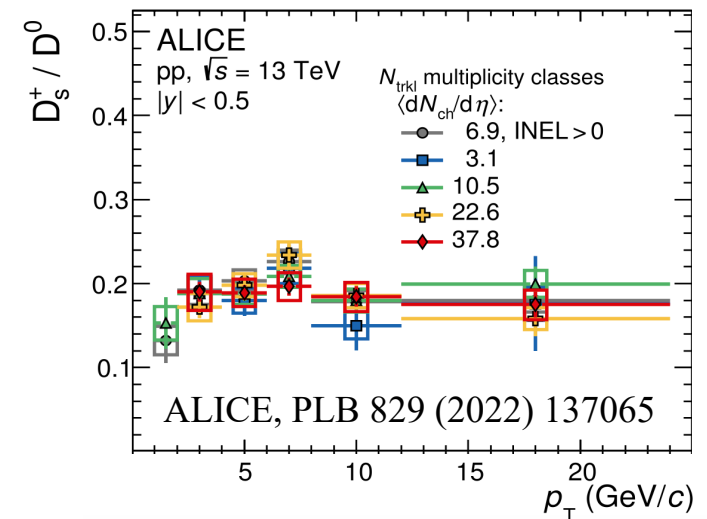
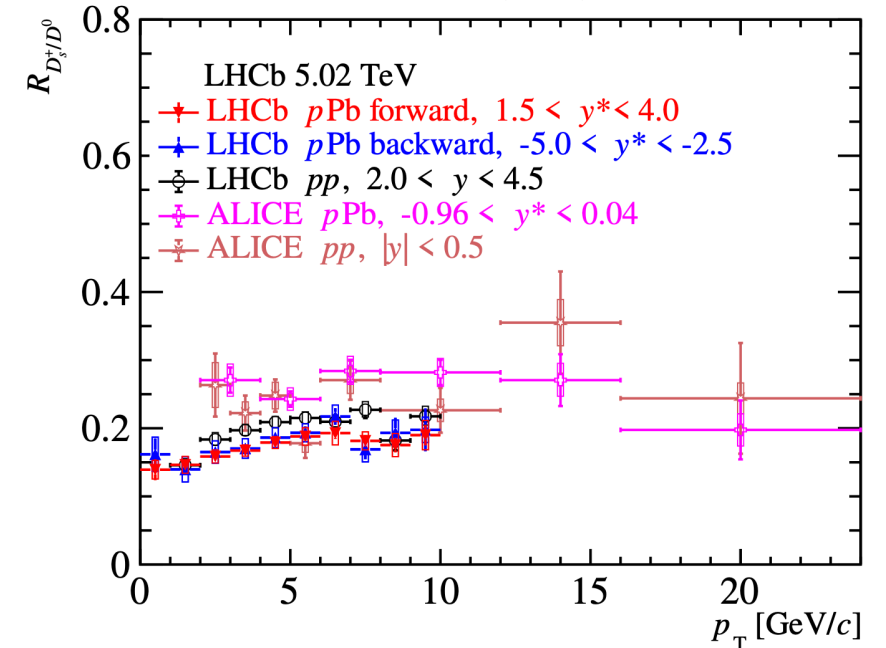
ALICE, PLB 829 (2022) 137065



ALI-PUB-530249

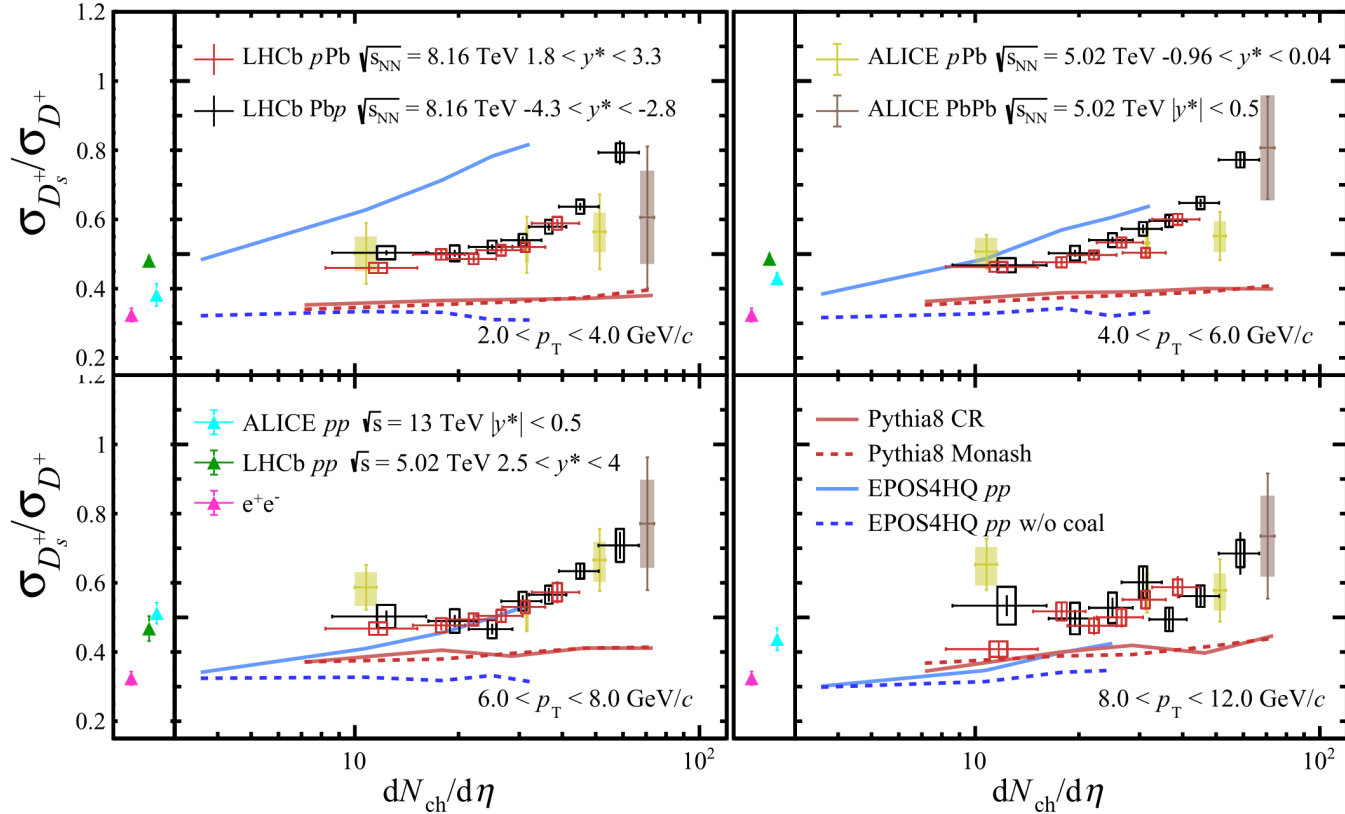
- Consistent D_S^+ / D^0 cross-section ratios in pp between forward and mid rapidities
- Independent of event multiplicity in pp collisions at mid-rapidity
- Consistent with PYTHIA8 calculations, CR mechanism almost does not affect this ratios

LHCb, JHEP 01 (2024) 070

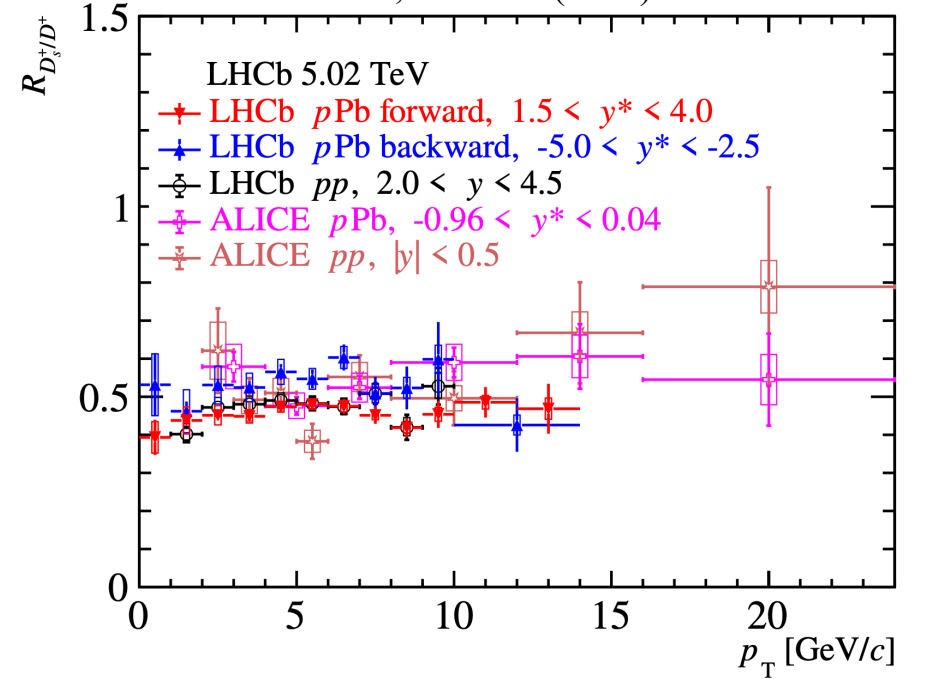


Prompt D_s^+ to D^+ ratios in pp and pPb

LHCb, PRD 110 (2024) L031105



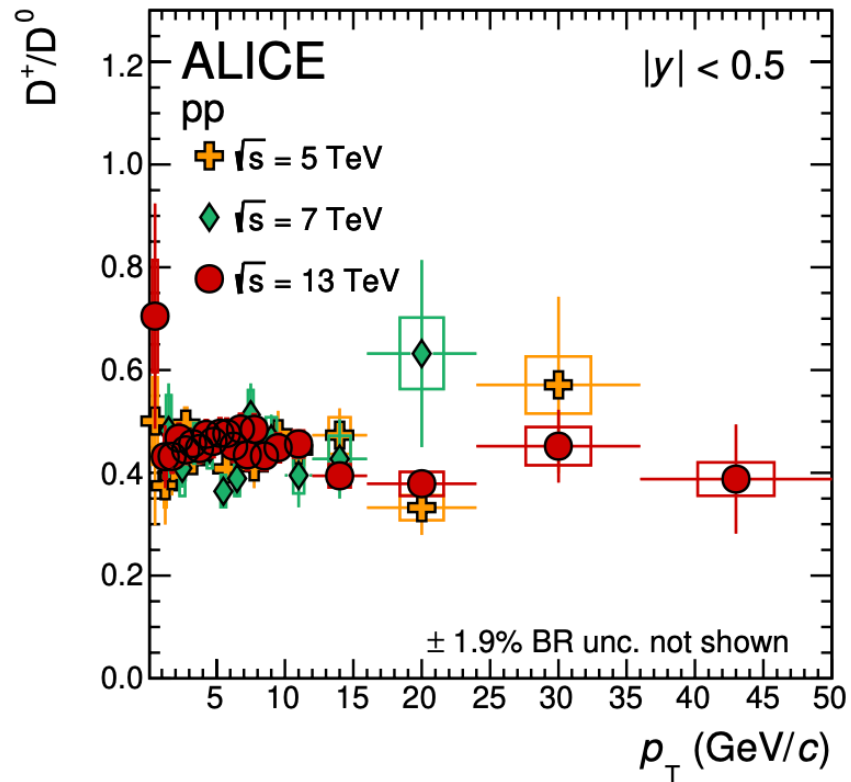
LHCb, JHEP 01 (2024) 070



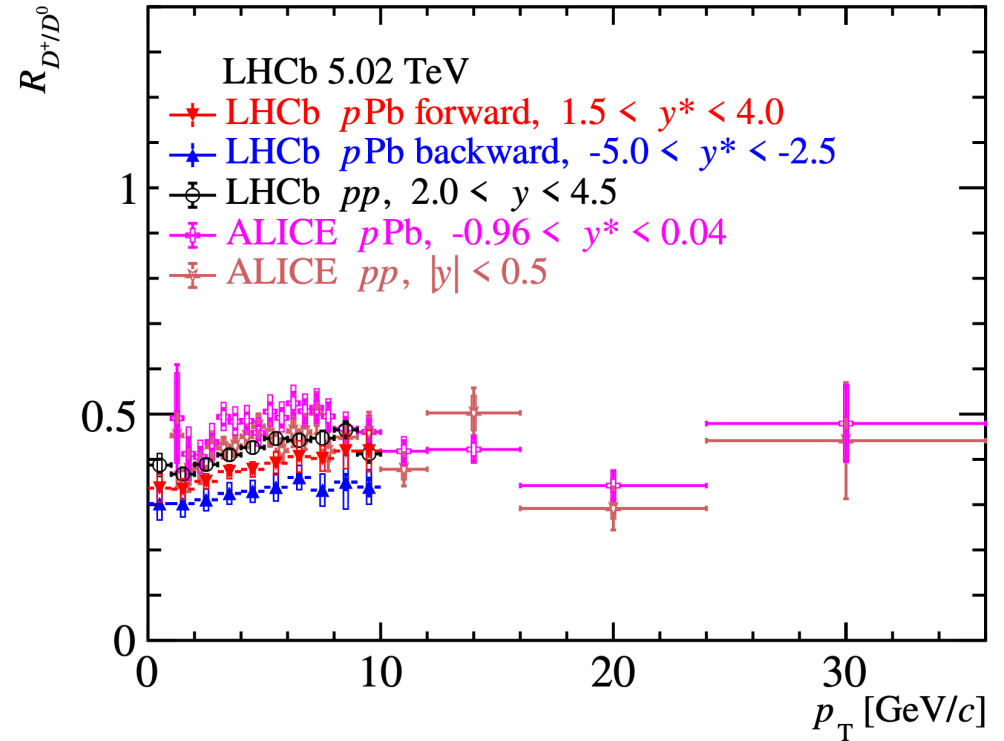
- Consistent D_s^+ / D^+ cross-sections ratios in pp between forward and mid rapidities
- Significant enhancement at high multiplicity in pPb, in particular for low p_T
- Contradiction to the D_s^+ / D^0 measurement in pp at mid-rapidity?

Prompt D^+ to D^0 ratios in pp and pPb

ALICE, JHEP 12 (2023) 086



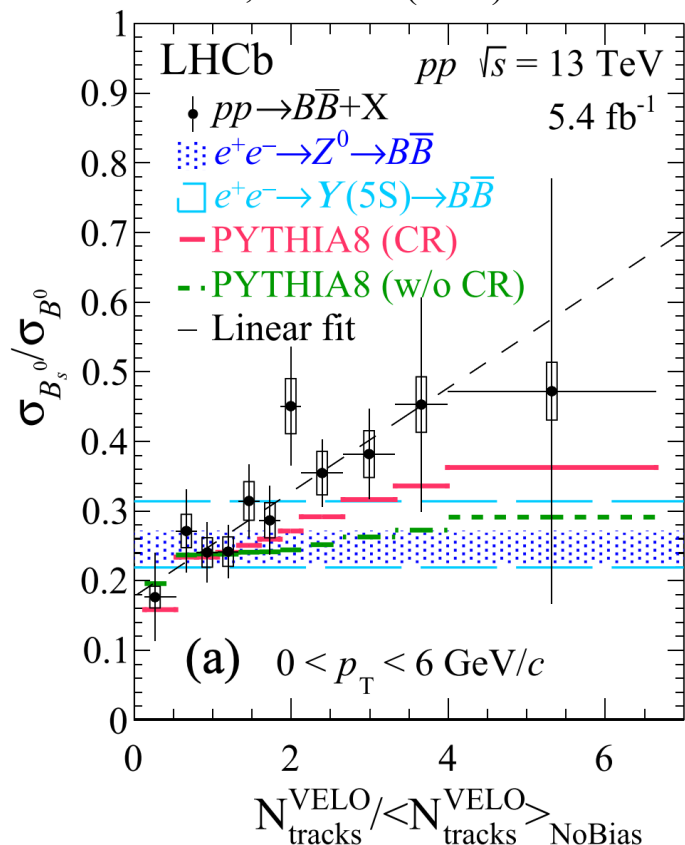
LHCb, JHEP 01 (2024) 070



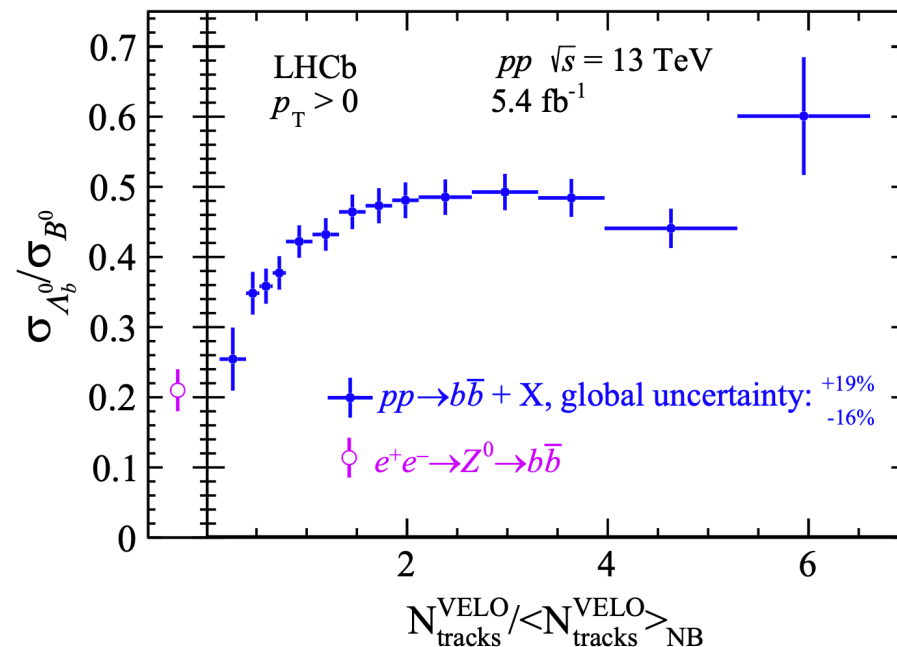
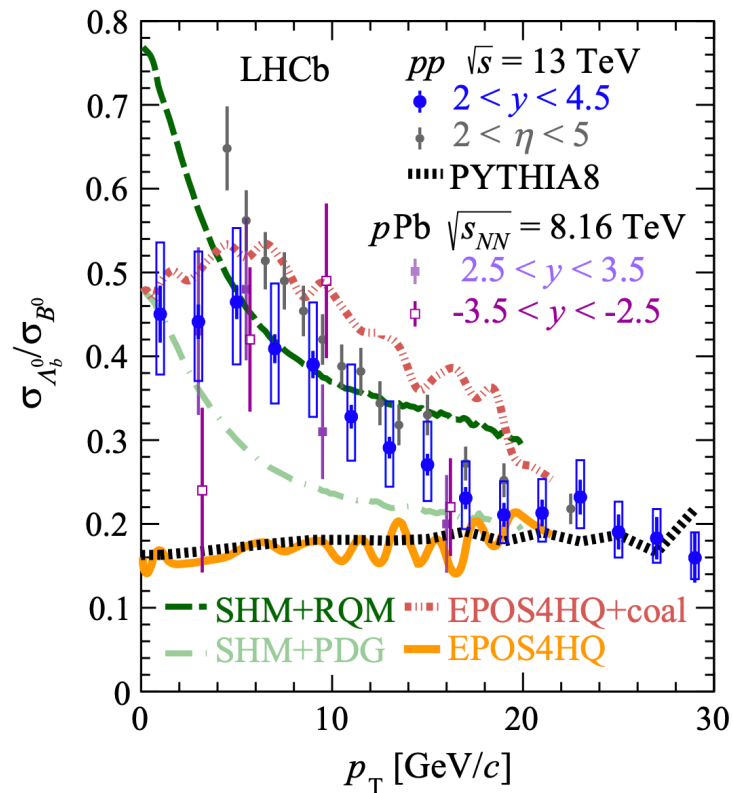
- Consistent D^+/D^0 values in pp between forward and mid rapidities
- Tension in pPb between forward and mid-rapidities
- At forward rapidities, clear hierarchy of D^+/D^0 ratios: pp > pPb > Pbp
- D^+/D^0 decreases with the increasing event multiplicity? More measurements expected

Bottom hadronization in pp

LHCb, PRL 131 (2023) 061901



LHCb, PRL 132 (2024) 081901



- Evidence of B_s^0/B^0 enhancement (at low p_T) in high multiplicity events
- A strong baryon enhancement of with multiplicity is observed
 - Ratio recovers e^+e^- value (QCD-vacuum) at low multiplicity
 - Ratio consistent with e^+e^- at high p_T

Summary & outlook

- pQCD well reproduces the energy/ p_T /rapidity dependence of total charm/bottom productions
- Heavy flavor R_{pPb} measurements at mid/forward rapidities constrain cold nuclear matter effects: e.g. gluon nPDF at various x and Q^2
- D mesons R_{pPb} shows mild high p_T suppression at backward rapidity
- Charm and bottom hadronization modified in pp/pPb collisions (wrt ee/ep)
- Future precision measurements across different energies/size/rapidity ranges are essential to fully understand heavy flavor production in small system collisions