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How well does nonrelativistic QCD factorization work for inclusive quarkonium production at NLO?

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Outlines

1. Review of inclusive quarkonium production in NRQCD

2. Our fit-and-prediction descriptions in NRQCD at NLO

3. Summary

Quarkonium: A multi-scale problem

- Quarkonium: Excellent probe of PDFs, GPDs, TMDs, QGP.... Referred as the QCD version of hydrogen atom – The simplest QCD system.
- Quarkonium production at colliders is a typical multi-scale problem
 - m_Q , the heavy-quark mass scale, $m_c \sim 1.5$ GeV, $m_b \sim 4.75$ GeV;
 - $m_Q v$, the typical heavy-quark momentum;
 - $m_Q v^2$, the typical heavy-quark kinetic energy and binding energy.
- v is the typical heavy-quark velocity in the quarkonium rest frame,
 - $v^2 \simeq 0.25$ for charmonium;
 - $v^2 \simeq 0.1$ for bottomonium.
- 50 year passed since the discovery of J/ψ , its production mechanism is not fully understood yet. Puzzles still remain.

Nonrelativistic QCD (NRQCD) factorization

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 Nonrelativistic QCD (NRQCD) factorization is the most prominent approach to describe both quarkonium decay and production processes.

Bodwin, Braaten & Lepage, PRD 51, 1125 (1995), ~ 3000 citations.

$$\sigma_{\mathcal{Q}+X} = \sum_{n} \hat{\sigma} \left(ij \to Q\bar{Q}(n) + X \right) \langle \mathcal{O}^{\mathcal{Q}}(n) \rangle, \tag{1}$$

with $i, j = \{p, \bar{p}, e^+, e^-, \gamma, \gamma^*, ...\}$, $n = {}^{2S+1}L_J^{[1/8]}$, [1], [8] representing color-singlet (CS) and color-octet (CO), respectively.

- $\hat{\sigma}$, the short-distance-coefficients (SDCs), $Q\bar{Q}$ in state n produced at short distance, α_s expansion,
- $\langle \mathcal{O}^{\mathcal{Q}}(n) \rangle$, long-distance-matrix-elements (LDMEs), supposed to be universal, describing the hadronization $Q\bar{Q}(n) \rightarrow Q + X$, v^2 expansion.
- NRQCD factorization: double expansion of α_s, v^2 .

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p_T power counting



- At high p_T , p_T power counting dominates (over α_s , v^2 power counting).
- At LO, only ${}^{3}S_{1}^{[8]}$ channel gives p_{T} leading-power (LP, $1/p_{T}^{4}$) contribution, which leads to strong transverse polarization (The J/ψ polarization puzzle!).
- We need NLO calculation to include other LP contributions (CS contribution is small even at NNLO). Lansberg, EPJC 61, 693 (2009)

Heavy quark spin symmetry (HQSS)

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• For the spin-1 S-wave quarkonium V $(J/\psi, \Upsilon...)$, based on HQSS, we have

$$\langle \mathcal{O}^{V}({}^{3}P_{J}^{[8]})\rangle = (2J+1)\langle \mathcal{O}^{V}({}^{3}P_{0}^{[8]})\rangle(1+\mathcal{O}(v^{2})).$$
⁽²⁾

Relations between the LDMEs of η_c and J/ψ due to HQSS,

$$\langle \mathcal{O}^{\eta_c}({}^{1}S_0^{[1]}/{}^{1}S_0^{[8]})\rangle = \frac{1}{3} \langle \mathcal{O}^{J/\psi}({}^{3}S_1^{[1]}/{}^{3}S_1^{[8]})\rangle(1+\mathcal{O}(v^2)),$$
(3)

$$\langle \mathcal{O}^{\eta_c}({}^{3}S_1^{[8]})\rangle = \langle \mathcal{O}^{J/\psi}({}^{1}S_0^{[8]})\rangle(1+\mathcal{O}(v^2)),$$
(4)

$$\langle \mathcal{O}^{\eta_c}({}^1P_1^{[8]})\rangle = 3\langle \mathcal{O}^{J/\psi}({}^3P_0^{[8]})\rangle(1+\mathcal{O}(v^2)).$$
(5)

NRQCD long-distance-matrix elements (LDMEs)

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The definitions of the relevant spin-1 S-wave quarkonium (V) LDMEs are

$$\langle \mathcal{O}^{V}(^{3}S_{1}^{[1]})\rangle = \langle \Omega|\chi^{\dagger}\sigma^{i}\psi\mathcal{P}_{V(\boldsymbol{P}=\boldsymbol{0})}\psi^{\dagger}\sigma^{i}\chi|\Omega\rangle, \tag{6a}$$

$$\langle \mathcal{O}^{V}({}^{3}S_{1}^{[8]})\rangle = \langle \Omega|\chi^{\dagger}\sigma^{i}T^{a}\psi\Phi_{\ell}^{\dagger ab}\mathcal{P}_{V(\boldsymbol{P}=\boldsymbol{0})}\Phi_{\ell}^{bc}\psi^{\dagger}\sigma^{i}T^{c}\chi|\Omega\rangle,$$
(6b)

$$\langle \mathcal{O}^{V}({}^{1}S_{0}^{[8]})\rangle = \langle \Omega|\chi^{\dagger}T^{a}\psi\Phi_{\ell}^{\dagger ab}\mathcal{P}_{V(\boldsymbol{P}=\boldsymbol{0})}\Phi_{\ell}^{bc}\psi^{\dagger}T^{c}\chi|\Omega\rangle,$$
(6c)

$$\langle \mathcal{O}^{V}({}^{3}P_{0}^{[8]}) \rangle = \frac{1}{3} \langle \Omega | \chi^{\dagger}(-\frac{i}{2} \overleftrightarrow{\boldsymbol{D}} \cdot \boldsymbol{\sigma}) T^{a} \psi \Phi_{\ell}^{\dagger ab} \mathcal{P}_{V(\boldsymbol{P}=\boldsymbol{0})} \times \Phi_{\ell}^{bc} \psi^{\dagger}(-\frac{i}{2} \overleftrightarrow{\boldsymbol{D}} \cdot \boldsymbol{\sigma}) T^{c} \chi | \Omega \rangle,$$
 (6d)

here $\mathcal{P}_{V(P)} = \sum_{X} |V + X\rangle \langle V + X|, \Phi_{\ell} = P \exp[-ig \int_{0}^{\infty} d\lambda \,\ell \cdot A^{\mathrm{adj}}(\ell\lambda)]$ is the path-ordered Wilson line that ensures the gauge invariance.

- CS LDMEs can be related to quarkonium nonrelativistic wavefunctions.
- Unclear how to calculate CO LDMEs from first principle such as lattice, so the CO LDMEs are determined through fitting with experimental data.

Recent significant progress: Spin-1 S-wave LDMEs in pNRQCD

• Based on strong coupled pNRQCD, we have (up to $\mathcal{O}(1/N_c^2, v^2)$ corrections), Brambilla, Chung, Vairo & Wang, PRD105, L111503 (2022); JHEP 03 (2023) 242

$$\langle \mathcal{O}^V({}^3S_1^{[1]})\rangle = 2N_c \times \frac{3|R_V^{(0)}(0)|^2}{4\pi},$$
(7a)

$$\langle \mathcal{O}^{V}({}^{3}S_{1}^{[8]})\rangle = \frac{1}{2N_{c}m^{2}}\frac{3|R_{V}^{(0)}(0)|^{2}}{4\pi}\mathcal{E}_{10;10},$$
(7b)

$$\langle \mathcal{O}^V({}^1S_0^{[8]})\rangle = \frac{1}{6N_c m^2} \frac{3|R_V^{(0)}(0)|^2}{4\pi} c_F^2 \mathcal{B}_{00},\tag{7c}$$

$$\langle \mathcal{O}^{V}({}^{3}P_{0}^{[8]})\rangle = \frac{1}{18N_{c}} \frac{3|R_{V}^{(0)}(0)|^{2}}{4\pi} \mathcal{E}_{00},$$
(7d)

- c_F is the NRQCD(HQET) matching coefficient,
- $R_V^{(0)}(0)$ is the wave-function at the origin,

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• $\mathcal{E}_{10;10}$, \mathcal{B}_{00} , and \mathcal{E}_{00} are universal gluonic correlators of mass dimension 2,

Gluonic correlators

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$$\mathcal{E}_{10;10} = \left| d^{dac} \int_0^\infty dt_1 \, t_1 \int_{t_1}^\infty dt_2 \, g E^{b,i}(t_2) \right| \times \Phi_0^{bc}(t_1; t_2) g E^{a,i}(t_1) \Phi_0^{df}(0; t_1) \Phi_\ell^{ef} |\Omega\rangle \Big|^2, \tag{8a}$$

$$\mathcal{B}_{00} = \left| \int_0^\infty dt \, g B^{a,i}(t) \Phi_0^{ac}(0;t) \Phi_\ell^{bc} |\Omega\rangle \right|^2,\tag{8b}$$

$$\mathcal{E}_{00} = \left| \int_0^\infty dt \, g E^{a,i}(t) \Phi_0^{ac}(0;t) \Phi_\ell^{bc} |\Omega\rangle \right|^2,\tag{8c}$$

where $\Phi_0(t,t') = \mathcal{P} \exp[-ig \int_t^{t'} d\tau A_0^{\mathrm{adj}}(\tau,\mathbf{0})]$ is a Schwinger line.

• By evolving the scale of $\mathcal{E}_{10;10}$, \mathcal{B}_{00} , and \mathcal{E}_{00} from charm mass scale to bottom mass scale, we can related LDMEs between $\psi(nS)$ and $\Upsilon(nS)$.

pNRQCD predictive power

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- Significantly reduces the number of independent CO LDMEs $(15 \rightarrow 3)$.
- J/ψ and $\psi(2S)$ share the same $\mathcal{E}_{10;10}$, \mathcal{B}_{00} , and \mathcal{E}_{00} , thus their cross sections ratio equals the ratio of $|R_{J/\psi}^{(0)}(0)|^2$ and $|R_{\psi(2S)}^{(0)}(0)|^2$ (same for $\Upsilon(nS)$ states).



Figures from Brambilla, Chung, Vairo & \underline{Wang} , JHEP 03 (2023) 242

 The prediction is based on NRQCD factorization and pNRQCD relations of the LDMEs without explicit perturbative calculations!

J/ψ LDMEs fittings

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- Chao et al. : p_T > 7Gev, two linear combinations (of the 3 CO LDMEs) are constrained, but the best fit gives large (O^{J/ψ}(¹S₀^[8])).
 Ma, Wang & Chao, PRL 106, 042002 (2011)
- Butenschön et al. : $p_T>3$ Gev, global fit (pp, $p\bar{p}$, γp , $\gamma \gamma$, e^+e^-). Butenschön & Kniehl, PRD 84, 051501 (2011)
- Zhang et al. : $p_T > 7$ Gev, combine J/ψ and η_c hadron production data based on HQSS, constrains $\langle \mathcal{O}^{J/\psi}({}^1S_0^{[8]}) \rangle$ to be small. Zhang et al., PRL 114, 092006 (2015)
- Bodwin et al. : $p_T > 10$ Gev, combine leading-power resummation with NLO fixed-order calculation.

Bodwin et al., PRD 93, 034041 (2016)

- Feng et al. : $p_T > 7$ Gev, fit both J/ψ hadron production and polarization data. Feng et al., PRD 99, 014044 (2019)
- TUM : $p_T > 3(5) \times 2m_Q$, fit 3 gluonic correlators to the high $p_T J/\psi$, $\psi(2S)$, $\Upsilon(2S/3S)$ hadroproduction data based on the pNRQCD relations, also leads to small $\langle \mathcal{O}^{J/\psi}({}^{1}S_0^{[8]}) \rangle$.

Brambilla, Chung, Vairo & <u>Wang</u>, PRD105, L111503 (2022); JHEP 03 (2023) 242

J/ψ LDMEs fittings

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Table: Selected representative fitting results in units of 10^{-2} GeV³.

Group	$\langle \mathcal{O}^{J/\psi}({}^3S_1^{[8]})\rangle$	$\langle \mathcal{O}^{J/\psi}({}^1S_0^{[8]})\rangle$	$\langle \mathcal{O}^{J/\psi}({}^{3}P_{0}^{[8]})\rangle/m^{2}$
Chao et al. set 1	0.05	7.4	0
Chao et al. set 2	1.11	0	1.89
Butenschön et al.	$\textbf{0.168} \pm \textbf{0.046}$	3.04 ± 0.35	$-$ 0.404 \pm 0.072
Zhang et al.	1.0 ± 0.3	0.74 ± 0.3	1.7 ± 0.5
Bodwin et al.	$-$ 0.713 \pm 0.364	11 ± 1.4	$-$ 0.312 \pm 0.151
Feng et al.	0.117 ± 0.058	5.66 ± 0.47	0.054 ± 0.005
$TUM \; (p_T > 3 \times 2m_Q)$	1.72 ± 0.18	-4.7± 1.55	$\textbf{3.14} \pm \textbf{0.35}$
$\mathrm{TUM} \ (p_T > 5 \times 2m_Q)$	$1.57{\pm}~0.45$	-2.73 ± 3.64	2.89±0.87

- Dramatically different LDME sets are fitted, but none of them can well describe all the data, challenging the LDME universality.
- Fittings are based on NLO calculations, which are rather complicated and need super computer. Inclusive productions at NNLO are infeasible in near future.

Score card of fittings

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Table: Tests of the LDMEs for J/ψ from high $p_T pp$, and low $p_T \gamma p$, $\gamma \gamma$ collisions. $\checkmark \checkmark$ indicates marginally well (no serious conflict).

Group	$pp \ (p_T \ \text{in fit})$	pol. (pp)	$\eta_c(pp)$	$J/\psi + Z$	γp	$\gamma\gamma$
Chao et al. set 1	$\checkmark (p_T > 7 { m GeV})$	~	×	-	×	-
Chao et al. set 2	$\checkmark (p_T > 7 { m GeV})$	1	1	-	×	-
Butenschön et al.	$\checkmark (p_T > 3 \text{GeV})$	×	×	×	1	×
Zhang et al. $+\eta_c$	✓ ($p_T > 6.5 \text{GeV}$)	 Image: A second s	1	-	×	-
Bodwin et al.	$\checkmark (p_T > 10 {\rm GeV})$	 Image: A second s	×	×	×	-
Feng et al.	$\checkmark (p_T > 7 { m GeV})$	 Image: A second s	×	-	×	-
TUM (pNRQCD)	$\checkmark (p_T > 3 \times 2m_Q)$	 Image: A second s	×	🗸 🗡	×	-
TUM (pNRQCD)	$\checkmark (p_T > 5 \times 2m_Q)$	1	1	✓ X	×	-

The main conflicts/puzzles



Figures from M. Butenschön, B. A. Kniehl, Mod. Phys. Lett. A 28 (2013) 1350027.

- All high $p_T > 7$ GeV fittings overshoot the low $p_T \gamma p$ data by a factor of $\sim 5-10$ (see left figure, take Chao et. al as an example).
- Global fit cannot describe the low $p_T \gamma \gamma$ data and the J/ψ polarization data (see middle and right figures).

Motivations

- The conflict between low p_T and high p_T fittings and descriptions still remain.
- It has been argued that NRQCD factorization may only hold at $p_T \gg 2m_Q$ (see, for instance, the talk of Bodwin at LepageFest 2024). Really?
- Key observation 1: There is no theory prediction for $J/\psi p_T$ distribution in the region $1 \gg z$, although the data exist long time ago (surprising!).
- Key observation 2: There is no theory prediction using high p_T fit for the low p_T LEP data (surprising!), while the global low p_T fit cannot describe the data.
- Another motivation: Describe recent ATLAS (2309.17177, global fit cannot well describe the data at very high p_T) J/ψ production data with p_T ranging from 8 GeV to 360 GeV.

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Our new fitting strategies and fitting results

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- We combine LHC η_c and J/ψ data to fit $3 J/\psi$ CO LDMEs based on HQSS.
- We choose three different scale choices, $\mu_r = \mu_f = [\frac{1}{2}, 1, 2]m_T$, with the default scale choice $\mu_r = \mu_f = m_T$, where $m_T = \sqrt{4m_Q^2 + p_T^2}$;
- By choosing: $m_c = 1.5 \text{ GeV}, \langle \mathcal{O}^{J/\psi}({}^{3}S_1^{[1]}) \rangle = 1.16 \text{ GeV}^3, \langle \mathcal{O}^{\psi(2S)}({}^{3}S_1^{[1]}) \rangle = 0.76 \text{ GeV}^3 \text{ and } \langle \mathcal{O}^{\eta_c}({}^{1}S_0^{[1]}) \rangle = 0.328 \text{ GeV}^3,$

we obtain three sets of fitted CO LDMEs with uncertainties, corresponding to the three different scale choices (in units of 10^{-2} GeV³),

$\mu_r = \mu_f$	$\langle \mathcal{O}^{J/\psi}({}^3S_1^{[8]})\rangle$	$\langle \mathcal{O}^{J/\psi}({}^1S_0^{[8]})\rangle$	$\frac{\langle \mathcal{O}^{J/\psi}({}^{3}P_{0}^{[8]})\rangle}{m_{c}^{2}}$	$\frac{\chi^2_{\min}}{d.o.f}$
$m_T/2$	0.604 ± 0.106	-0.501 ± 0.171	0.716 ± 0.169	0.26
m_T	1.062 ± 0.195	-0.204 ± 0.229	1.905 ± 0.422	0.18
$2m_T$	1.367 ± 0.261	0.094 ± 0.288	3.232 ± 0.732	0.15

Fitting results – LHCb η_c production



- Inner bands correspond to the default scale choice, the outer bands encompass the uncertainties coming from the two other scale choices.
- The above figures show that CS channel saturates the cross sections and thus can constrain $\langle \mathcal{O}^{J/\psi}({}^1S_0^{[8]})\rangle$ to be small under HQSS.

Fitting results – CMS J/ψ production



- The cross sections are based on the cancellation between a large positive ${}^{3}S_{1}^{[8]}$ and a large negative ${}^{3}P_{J}^{[8]}$ J/ψ production channel.
- This cancellation is not fine-tuning, because NLO LDME mixing implies that only the sum of both contributions has physical significance.

Prediction $-J/\psi$ polarization



- Our predictions are In good agreement with the measurements and match the pattern that λ_{θ} turns from slightly negative at relatively low p_T to positive and converges to $\lambda_{\theta} \sim 0.3$ at high p_T .
- No polarization puzzle appear.

Prediction – ATLAS J/ψ production at very high p_T



- Excellent description up to the highest measured *p*_{*T*}, supprising!
- Contradicts with the negative cross section predictions (arXiv: 2408.04255).
- It is, however, unclear why it works at very high p_T . The resummation effect of $\log(m_c^2/p_T^2)$ is expected to be significant at very high p_T . Further investigations are needed to understand the deep reasons.

Prediction – LHCb J/ψ production at low p_T

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- The ${}^{3}P_{J}^{[8]}$ SDCs change sign from negative to positive when going below $p_{T} \approx$ 7 GeV, so that instead of a cancellation between ${}^{3}S_{1}^{[8]}$ and ${}^{3}P_{J}^{[8]}$ channels, there is an amplification.
- The resulting steep increase at low p_T is not observed in the data.
- Small-*x* resummation needed.

Prediction – ATLAS $\Upsilon(nS)$ production in pNRQCD



- ATLAS $\Upsilon(3S)$ data well reproduced, similar results for $\Upsilon(1S)$ and $\Upsilon(2S)$.
- Highly nontrivial test of the above pNRQCD relations.
- The scale evolutions of the gluonic correlators (mainly from $\mathcal{E}_{10;10}$, ${}^{3}S_{1}^{[8]}$ LDMEs) result in a very different Fock state decomposition in $\Upsilon(3S)$, where the cross section is dominated by the ${}^{3}S_{1}^{[8]}$ channel and feeddown from χ_{bJ} .

Prediction – ATLAS $J/\psi + Z$, single parton scattering (SPS)

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- ${}^{3}S_{1}^{[8]}$ channel dominates. DPS contribution is smaller at higher p_{T} .
- For the two highest p_T bins, predictions lie $\sim 2\sigma$ deviations below data. Underestimated DPS contributions, unlikely? or?

Prediction – LEP $\gamma\gamma \rightarrow J/\psi + X$



Figure: Left: global fit (Butenschön et al.); right: our prediction

The cross section is exclusively dominated by single-resolved photon contributions. CS contribution is far below the data. ³P_J^[8] channels dominate.

Prediction – HERA $\gamma p \rightarrow J/\psi + X$ (0.1 < z < 0.3)

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Figure: Our prediction with divided z bins (and figures in the next 2 slides). Inelasticity $z = E_{J/\psi}/E_{\gamma}$ in the proton rest frame.

• For 0.1 < z < 0.3, good description for the data except for a few lowest p_T bins, where resolved photon $(gg \rightarrow J/\psi + X)$ contribution dominates, which is similar to hadroproduction case, so, not surprised.

Prediction – HERA $\gamma p \rightarrow J/\psi + X$ (0.3 < z < 0.6)

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- The data can be well described in the whole measured p_T range, [1, 10]GeV.
- ${}^{3}P_{J}^{[8]}$ channels dominate, comparing to the ${}^{3}S_{1}^{[8]}$, ${}^{3}P_{J}^{[8]}$ cancellation scenario in large p_{T} hadroproduction.

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Prediction – HERA $\gamma p \rightarrow J/\psi + X$ (0.6 < z < 0.9)



- Obviously overshoot the data, regardless of p_T . For 0.75 < z < 0.9, predictions overshoot the data by factors of 5.2 to 20.
- The region $z \to 1$ corresponds to the endpoint region, where the NRQCD factorization may not be valid, v^2 expansion becomes $v^2/(1-z)$ expansion. Quarkonium shape function needed. Beneke, Rothstein & Wise, PLB 408, 373 (1997).

Update score card of fittings

Table: Tests of the LDMEs for J/ψ from high $p_T pp$, and low $p_T \gamma p$, $\gamma \gamma$ collisions. $\checkmark \checkmark$ indicates marginally well (no serious conflict).

Group	$pp (p_T \text{ in fit})$	pol. (pp)	$\eta_c (pp)$	$J/\psi + Z$	γp	$\gamma\gamma$
Chao et al. set 1	$\checkmark (p_T > 7 \text{GeV})$	1	×	-	×	-
Chao et al. set 2	$\checkmark (p_T > 7 \text{GeV})$	1	1	-	×	-
Butenschön et al.	$\checkmark (p_T > 3 \text{GeV})$	×	×	×	 Image: A set of the set of the	×
Zhang et al. $+\eta_c$	$\checkmark (p_T > 6.5 \text{GeV})$	1	1	-	×	-
Bodwin et al.	$\checkmark (p_T > 10 \text{GeV})$	1	×	×	×	-
Feng et al.	\checkmark (p_T > 7GeV)	1	×	-	×	-
TUM (pNRQCD)	$\checkmark (p_T > 3 \times 2m_Q)$	1	×	🗸 🗡	×	-
TUM (pNRQCD)	$\checkmark (p_T > 5 \times 2m_Q)$	1	1	🗸 🗶	×	-
This work	$\checkmark (p_T > 6.5 \text{GeV})$	1	1	🗸 🗡	✓ ($z < 0.6$)	1

• Now, J/ψ high p_T hadroproduciton and low p_T production from $\gamma p(z < 0.6)$, $\gamma \gamma$ collisions can be consistently described.

Summary

- Simple answer: NRQCD works pretty well except for end-point regions.
- The following data are well reproduced in NRQCD factorization at NLO:
 - High $p_T J/\psi$, η_c , $\Upsilon(nS)$ production \checkmark (highly nontrivial test of pNRQCD)
 - High $p_T J/\psi$ polarization \checkmark no polarization puzzle!
 - Very high p_T (360 GeV) J/ψ production \checkmark surprising! (why so well?)
 - J/ψ from $\gamma\gamma$ with $10 \text{ GeV}^2 > p_T^2 > 1 \text{ GeV}^2$ surprising!
 - J/ψ from γp with $100 \text{ GeV}^2 > p_T^2 > 1 \text{ GeV}^2$, z < 0.6 surprising!
 - $J/\psi + Z \checkmark$ (underestimated DPS contributions, unlikely? or?)
- Challenges the argument that NRQCD factorization may only hold at $p_T \gg 2m_Q$, NRQCD works well at low p_T from $\gamma p, \gamma \gamma$ collisions.
- Observables still evade a consistent description: coincide with "extensions" of endpoint regions.
 - Low p_T hadroproduction X small-x resummation
 - J/ψ photoproduction (z > 0.6), J/ψ from Belle X shape function
- Has significance impact on future quarkonium studies at EIC, EicC, HL-LHC.