

## Heavy flavor Hadronization in relativistic heavy ion collisions

Jiaying Zhao (HFHF)

08/12/2024

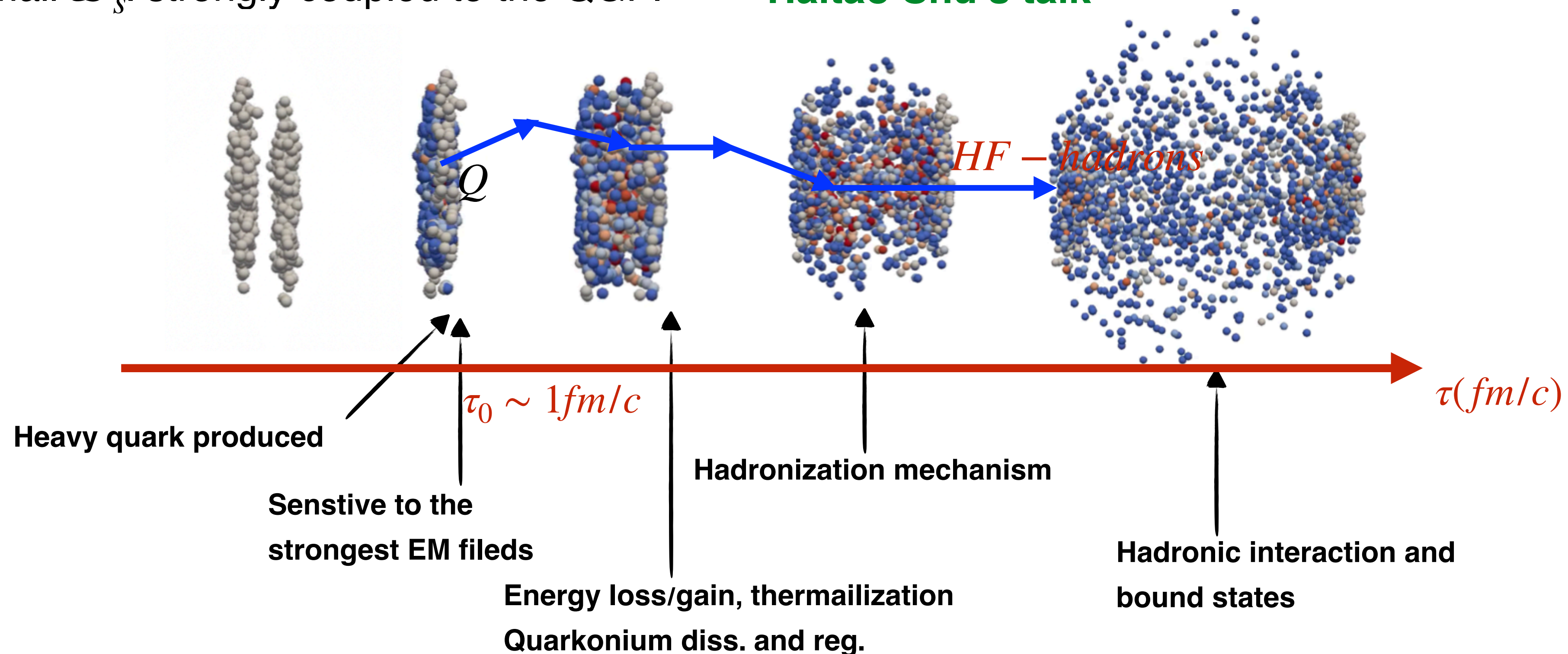


# Heavy flavor probes

$$m_c \sim 1.5\text{GeV}, m_b \sim 4.7\text{GeV}$$

- ◆  $\tau_c \sim 1/m_c, \tau_b \sim 1/m_b < \tau_0 \sim 1\text{fm}/c$ , “see” full system evolution.
- ◆  $\tau_c, \tau_b < \tau_B \approx R/\gamma \sim 0.1\text{fm}/c$ , feel strong electromagnetic fields in HICs.
- ◆  $m_c, m_b \gg \Lambda_{QCD}$ , produced by hard scattering, pQCD.
- ◆  $m_c, m_b \gg T$ , number is conserved during the evolution (thermal production can be neglected).
- ◆  $m \gg T \sim q$ , can be treated as a Brownian particle.
- ◆ Small  $\mathcal{D}_s$ , strongly coupled to the QGP.

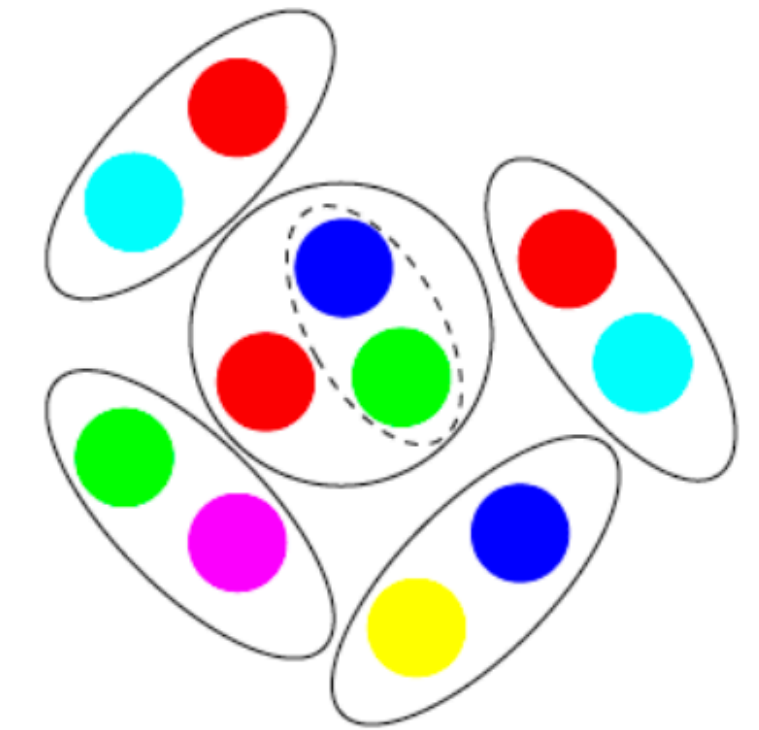
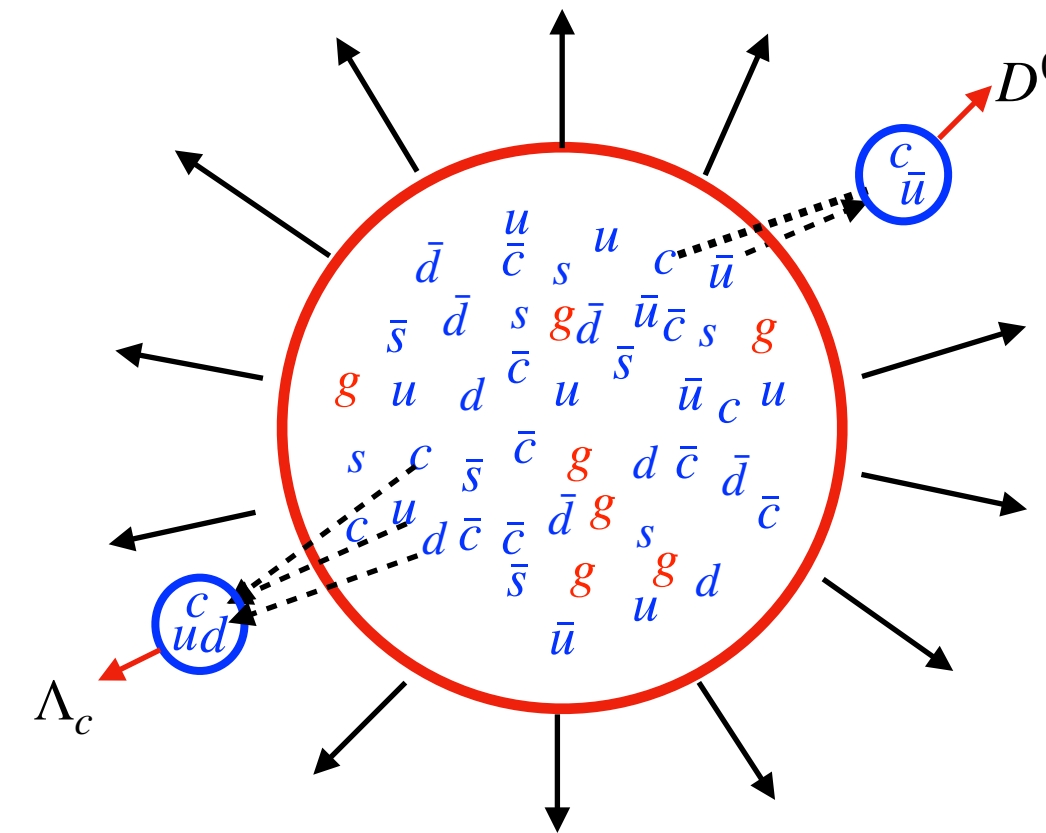
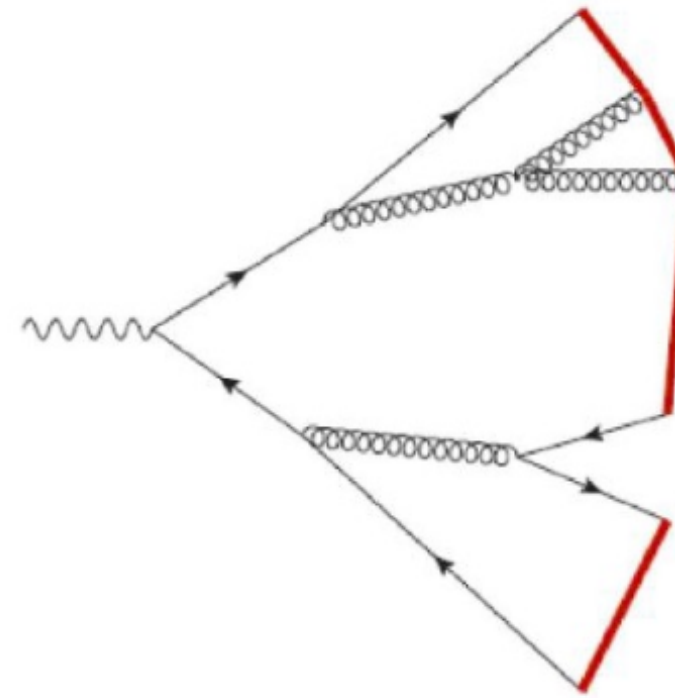
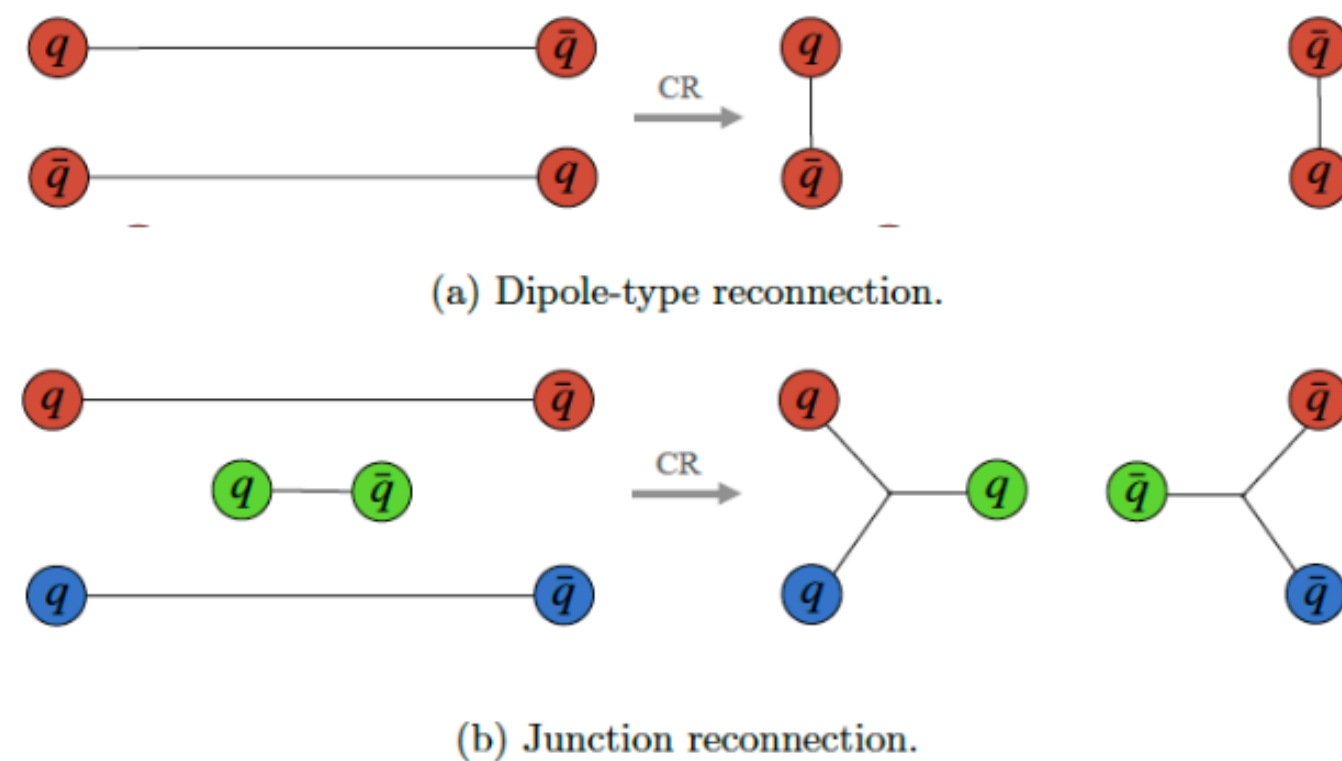
Haitao Shu's talk



# Hadronization of open heavy flavor

What's the hadronization?

→ Colorful quark to colorless hadron; non-perturbative due to soft gluon emission



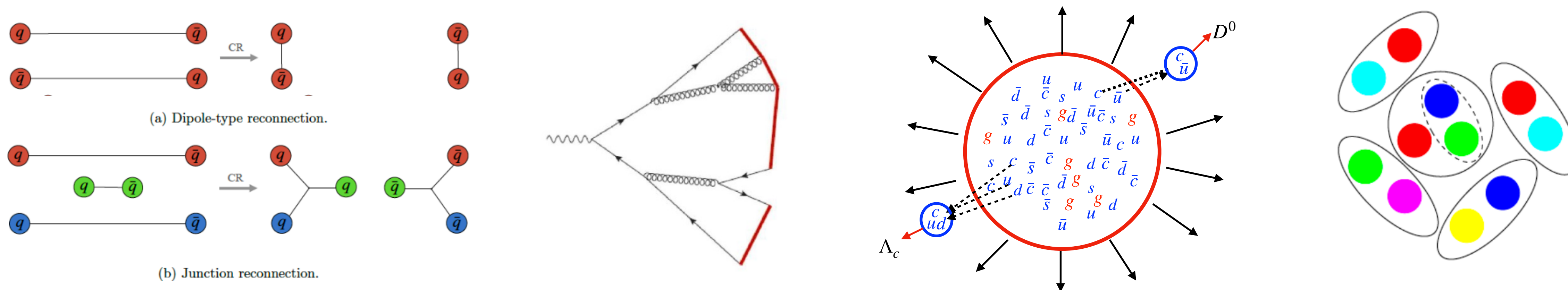
Color Reconnection (CR); Fragmentation; Coalescence; Local color recombination,....

- ◆ Hadronization itself reflect the non-perturbative properties of the QCD;
- ◆ Crucial to investigate no matter the heavy flavor production or energy loss in the QGP;
- ◆ Perspective to search new hadrons and detect their inner structures (diquark,....).

# Hadronization of open heavy flavor

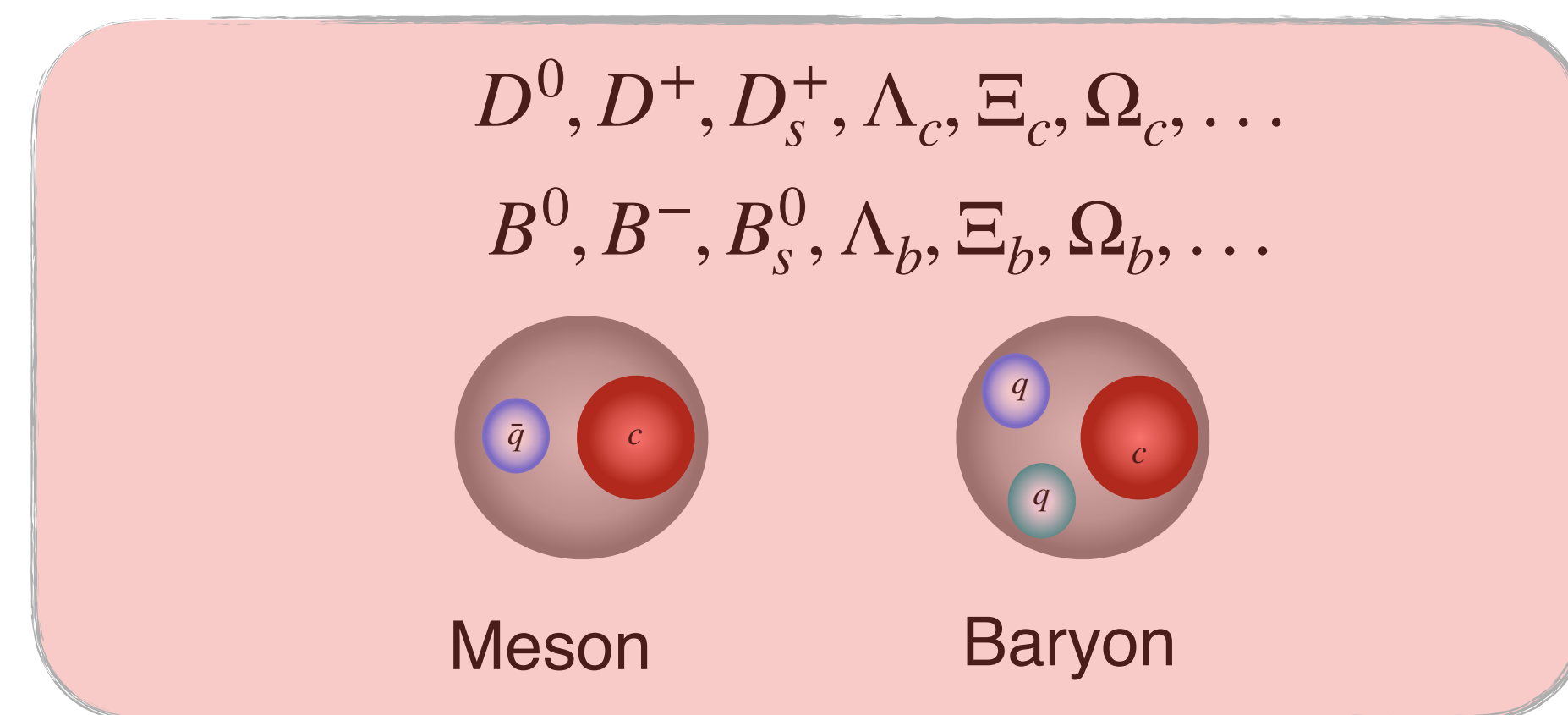
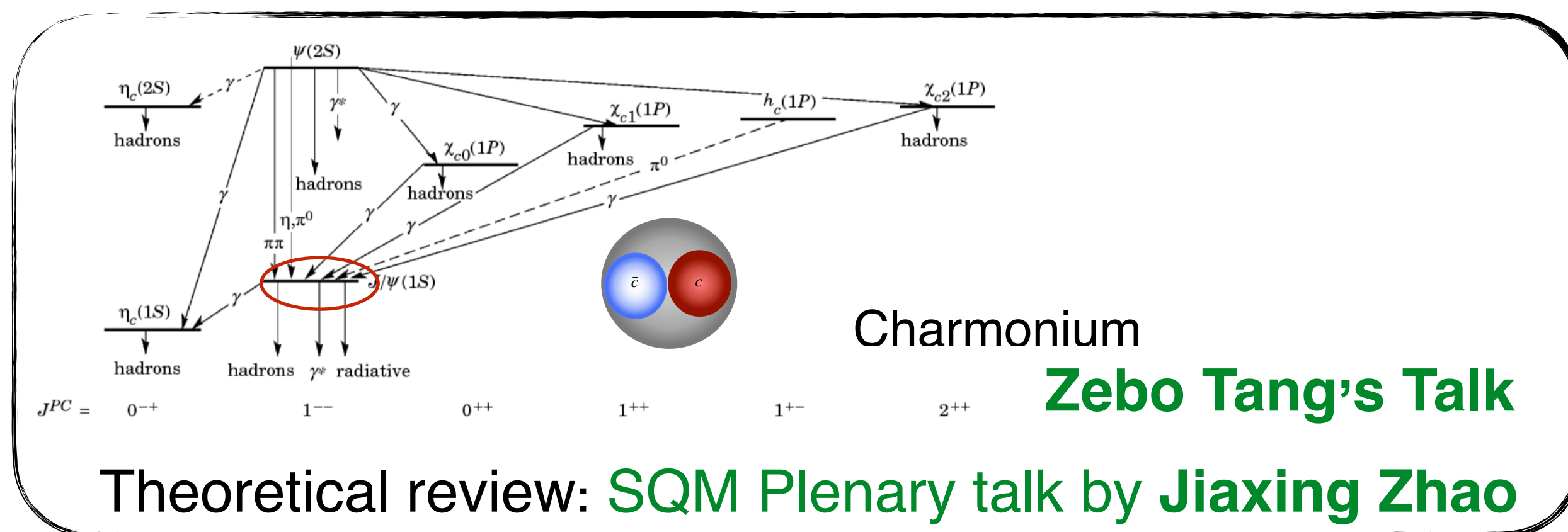
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→ Colorful quark to colorless hadron; non-perturbative due to soft gluon emission



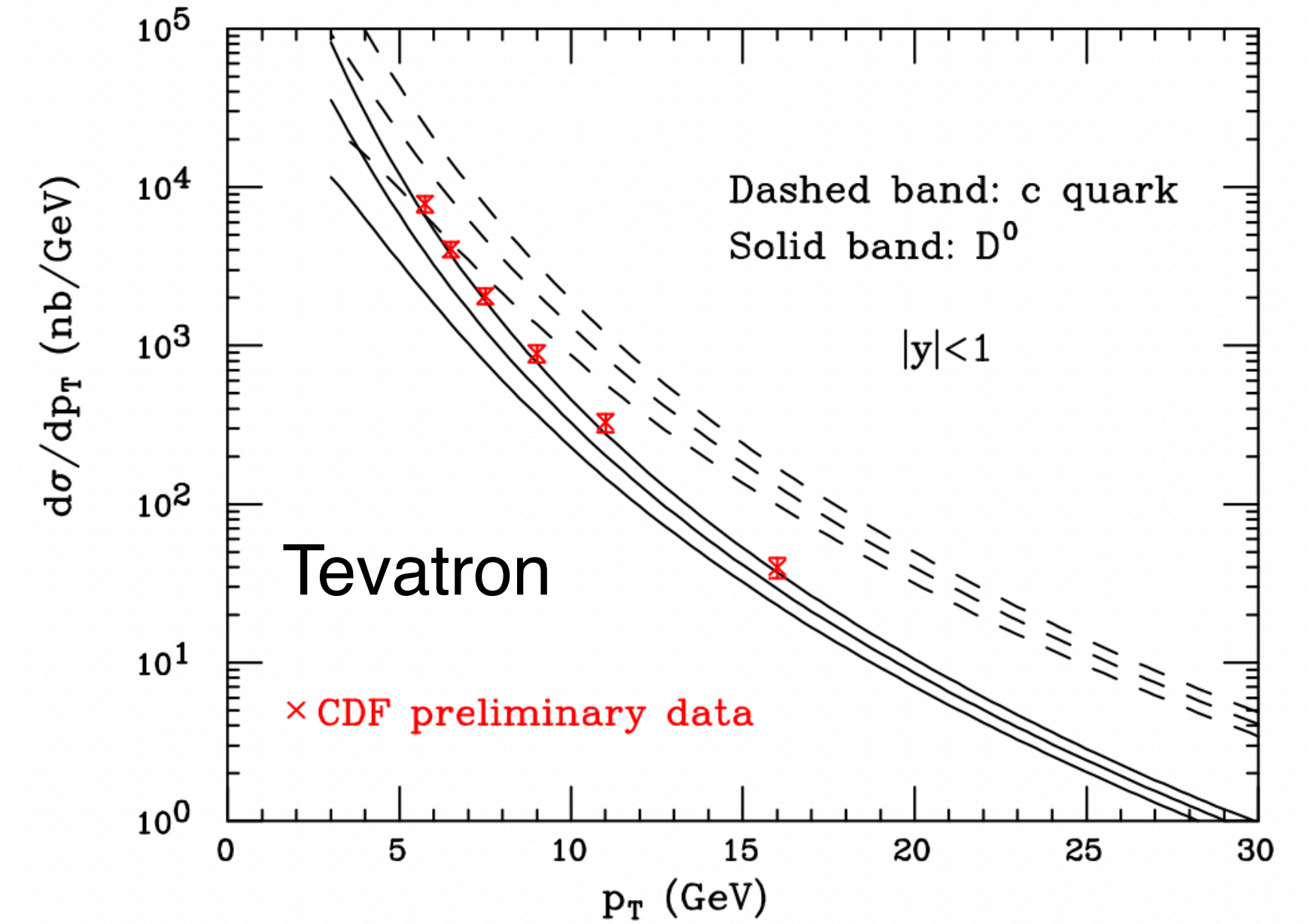
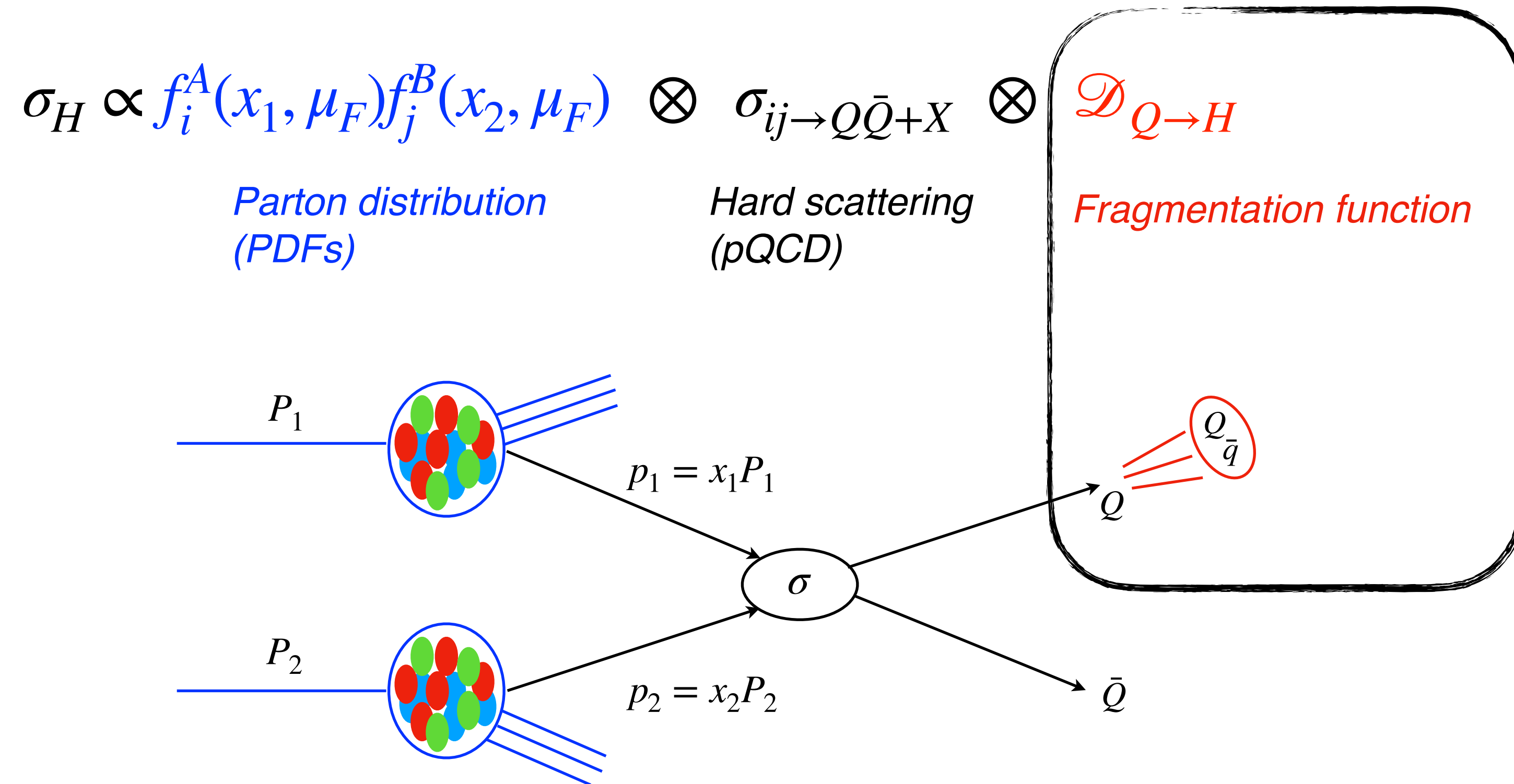
Color Reconnection (CR); Fragmentation; Coalescence; Local color recombination,....

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# Hadronization in the vacuum

QCD factorization & Fragmentation:



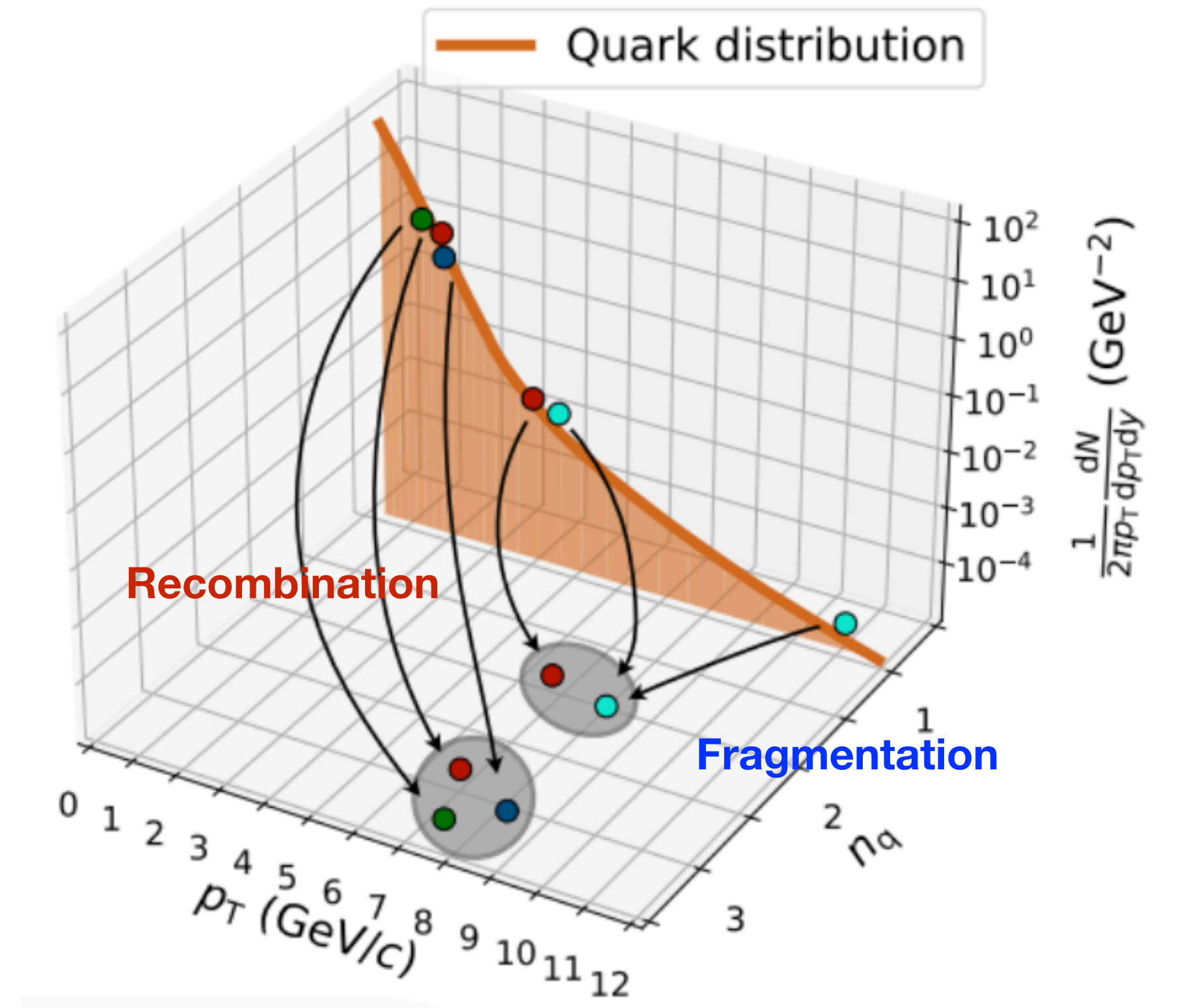
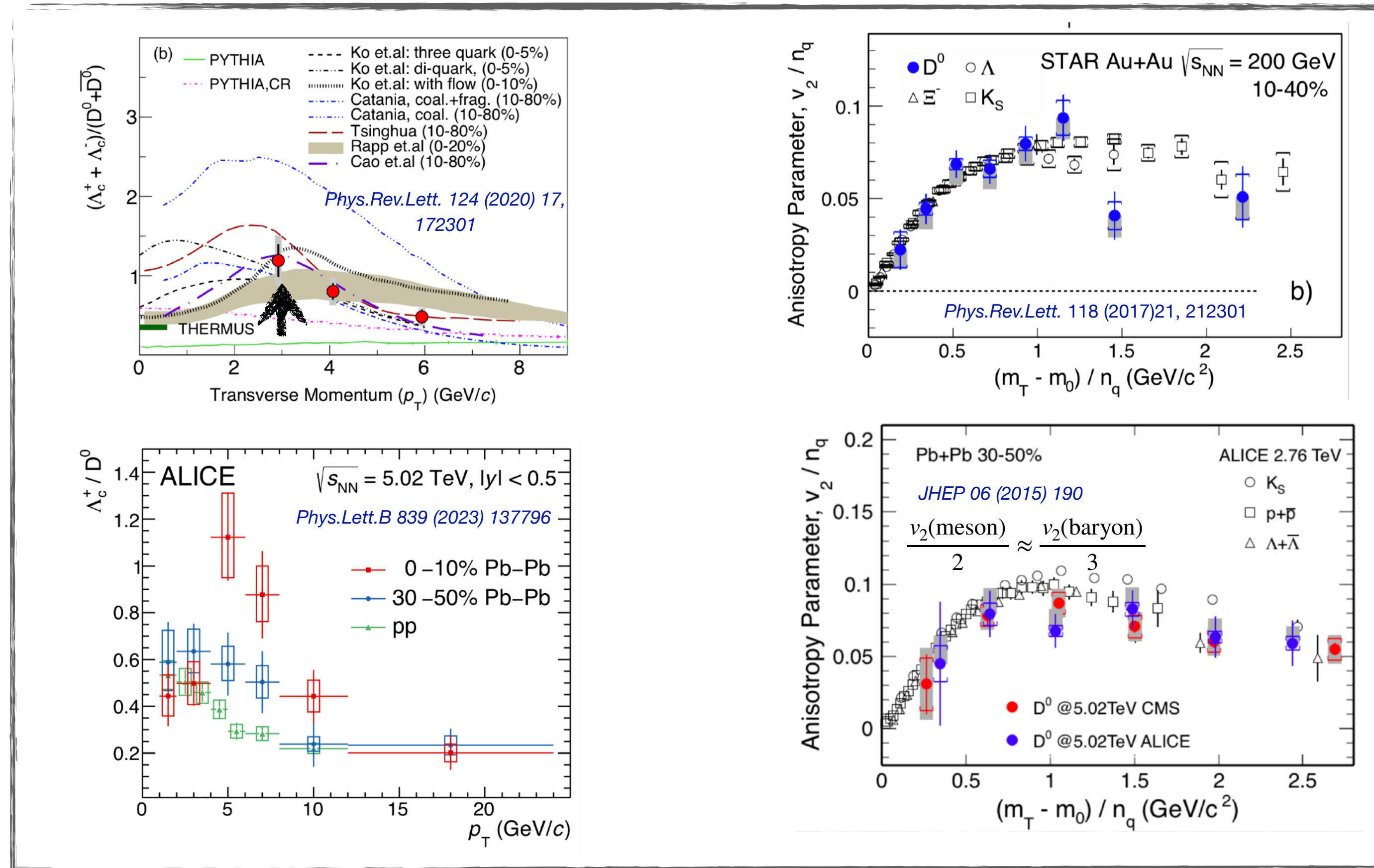
*M. Cacciari, P. Nason, JHEP 01 (2003) 006*

Fragmentation functions can be determined by fitting the experimental data ( $e^+e^-$ ,  $pp$ ,  $p\bar{p}$ , ...)

- Peterson fragmentation;
- String fragmentation;
- HQET fragmentation function;
- ...

# Hadronization in the hot QCD medium

Hadronization in the hot medium shows a huge difference compared to the vacuum case (Fragmentation).



- Enhancement Baryon / Meson Ratio
- Quark Number Scaling of Elliptic flow

The heavy quark combines with the light quark(s), which are close together in phase space, to form a hadron.

# State of art

**SOA: low  $p_T$  heavy quark hadronizes by recombination while high  $p_T$  hadronizes by fragmentation in heavy ion collisions. Still controversial in pp.** **Min He's talk**

In the theoretical side, there are many models:

**Catania** (coalescence+fragmentation; pp and AA),

**Duke** (coalescence+fragmentation; only AA),

**EPOS4** (coalescence+fragmentation: pp and AA),

**LBT** (coalescence+fragmentation; only AA),

**Nantes** (coalescence+fragmentation; only AA),

**PHSD** (coalescence+fragmentation; pp and AA),

**POWLANG** (local color neutralization; pp and AA),

**PYTHIA** (fragmentation/color reconnection; only pp),

**Qufu** (equal-velocity combination; only AA),

**TAMU** (pp-fragmentation; AA-resonance recombination+fragmentation; pp and AA),

**Tsinghua** (coalescence; only AA).

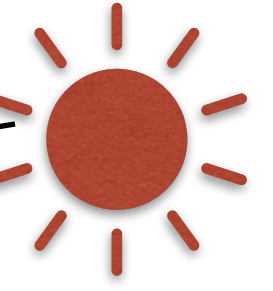
....

They give a more or less good description of the experimental data.

**experimental talks**

**What we want is not just to explain the exp. data!**

# Many related concerns



**There are many hidden problems:**

- 1, Simultaneous or sequential hadronization
- 2, How many states involved -> heavy flavor conservation
- 3, Recombination probability: Wigner density or other Criteria
- 4, Fragmentation function and ratio
- 5, Off-shell -> on-shell -> strong/EM decay
- 6, Hadronization of fully heavy states & exotic states
- 7, Equilibrium limit
- ...

**Pengfei Zhuang's talk**

**Jinfeng Liao's talk**



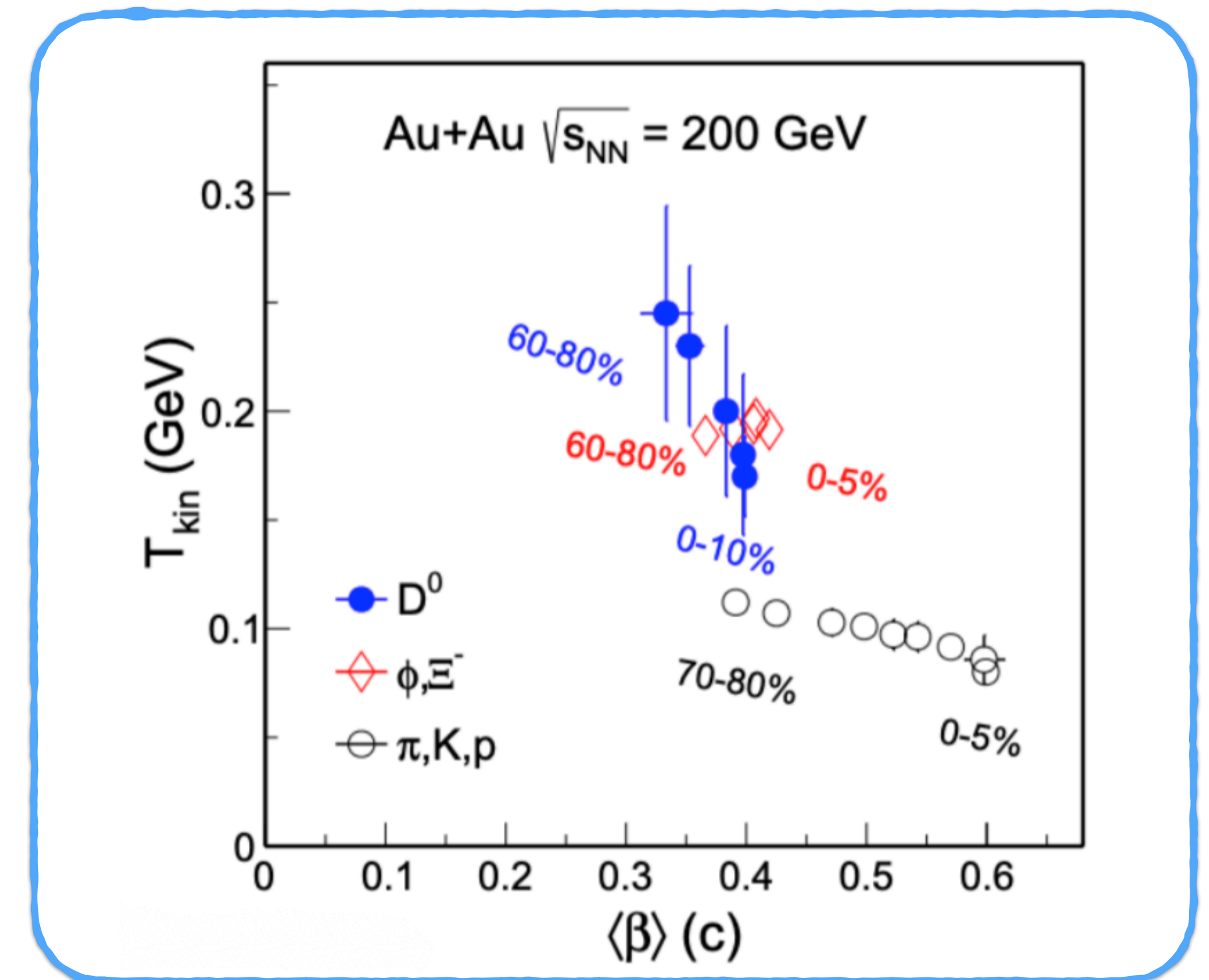
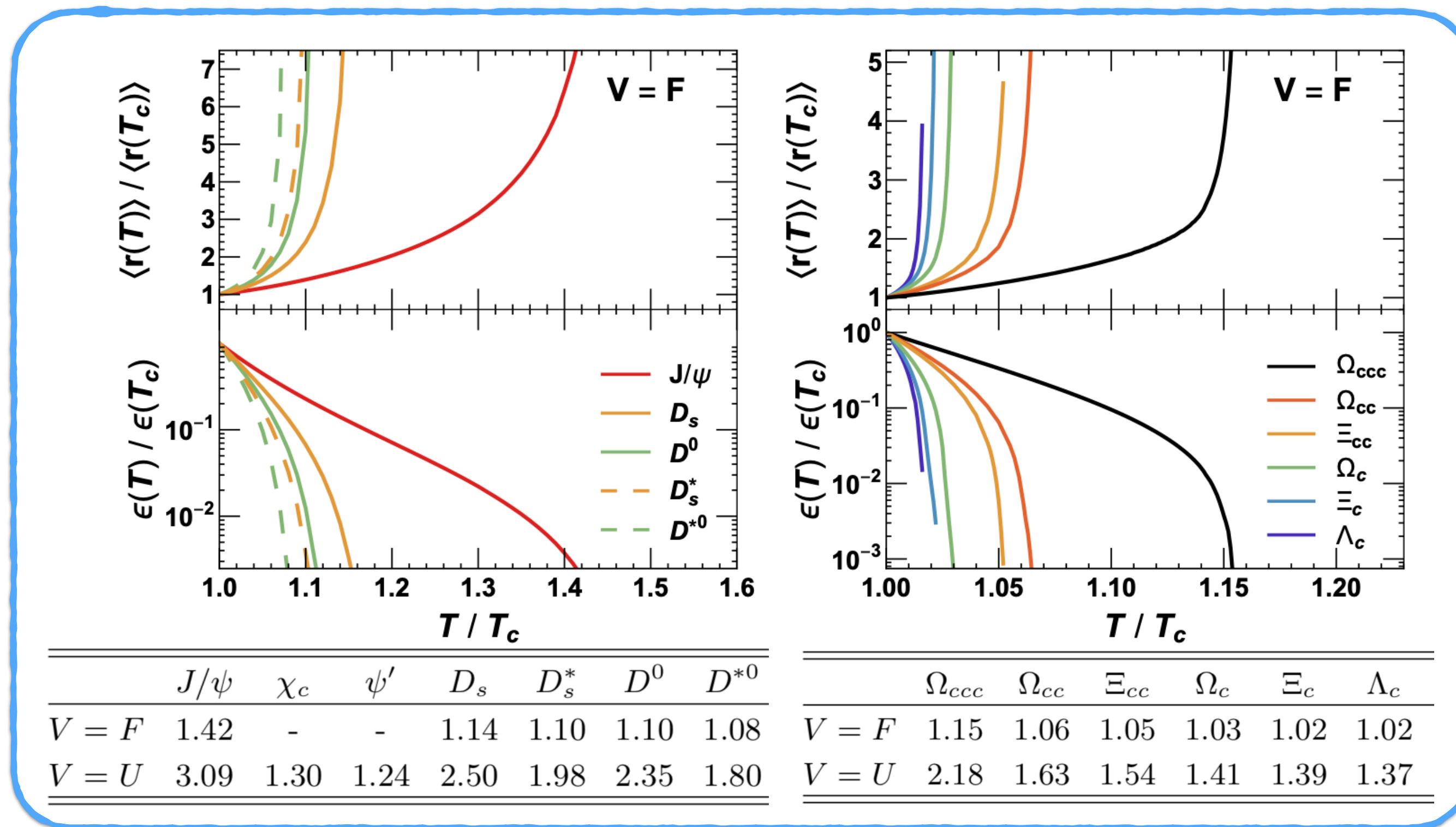
# 1. simultaneous vs. sequential

The dissociation temperature of various charmed hadrons are solved by 2-/3-body Dirac equation with F & U.

*S. Shi, JX, P. Zhuang, Chin.Phys.C 44 (2020) 8, 084101*

$D^0$  seem to decouple from the system **earlier** compared with light hadrons in Blast-Wave model! **Dandan Shen's talk**

*STAR Collaboration. Phys. Rev. C99, 034908 (2019)*



$$T_{J/\psi} > T_{D_s} > T_{D^0} > T_{\Lambda_c} > T_{\pi, K, N}$$

Hadrons with larger binding energy can survive at higher temperature and are earlier produced !

# 1. simultaneous vs. sequential

Simultaneous or sequential is related to **heavy quark number conservation**.

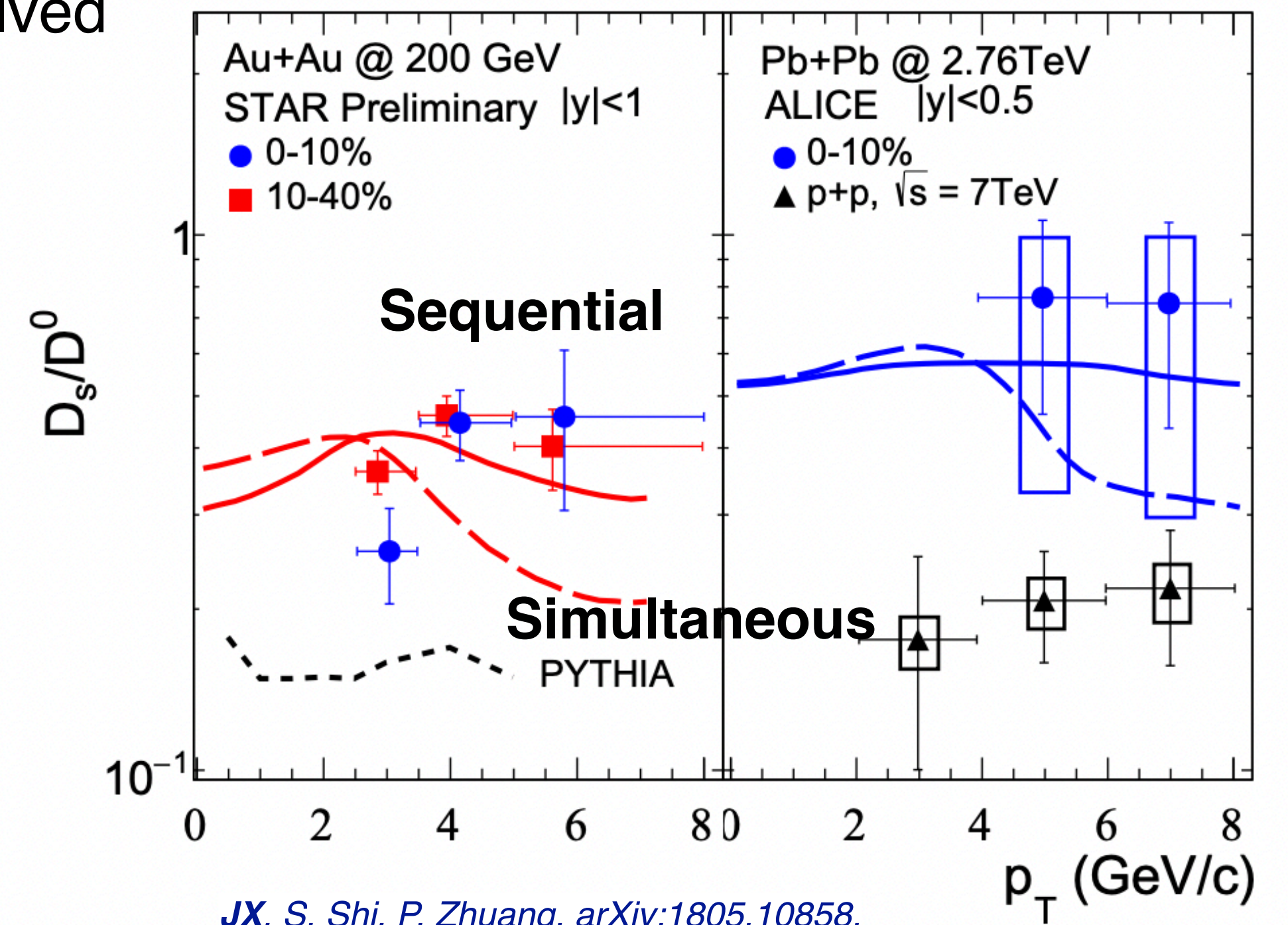
If all HF hadrons are **simultaneously** produced, the HF conservation contributes only a overall normalization constant, as used in most hadronization models.

If HF hadrons are **sequentially** produced, more heavy quarks are involved in the earlier production and less in the later production.

$$r_h = \frac{\text{involved charm quarks}}{\text{total charm quarks } N_c} = \begin{cases} 1 & \text{for } h = D_s \\ 1 - \frac{N_{D_s}}{N_c} (\sim 90\%) & \text{for } h = D^0 \\ 1 - (N_{D_s} + N_{D^0})/N_c (\sim 60\%) & \text{for } h = \Lambda_c \end{cases}$$

**Enhances** the **earlier** produced hadrons;

**Suppresses** the **later** produced hadrons.



JX, S. Shi, P. Zhuang, arXiv:1805.10858.

Still a challenge to confirm this point in the experiment due to the many other uncertainties involved.

## 2. how many states? PDG vs. RQM

Relativistic quark model (also the lattice QCD) predicted many HF hadrons but most of them have not yet been found.

e.g. charmed hadrons

PDG and D. Ebert, R. Faustov, and V. Galkin, *Phys.Rev.D* 84 (2011) 014025.

**D**

Meson	M(MeV)	$J^P$	Meson	M(MeV)	$J^P$
$D^\pm$	$1869.66 \pm 0.05$	$0^-$	$D_s^\pm$	$1968.35 \pm 0.07$	$0^-$
$D^0$	$1864.84 \pm 0.05$	$0^-$	$D_s^{*\pm}$	$2112.2 \pm 0.4$	$1^-$
$D^{*0}(2007)$	$2006.85 \pm 0.05$	$1^-$	$D_{s0}^{*\pm}(2317)$	$2317.8 \pm 0.5$	$0^+$
$D^{*\pm}(2010)$	$2010.26 \pm 0.05$	$1^-$	$D_{s1}^\pm(2460)$	$2459.5 \pm 0.6$	$1^+$
$D_0^*(2300)$	$2343 \pm 10$	$0^+$	$D_{s1}^\pm(2536)$	$2535.11 \pm 0.06$	$1^+$
$D_1(2420)$	$2422.1 \pm 0.6$	$1^+$	$D_{s2}^*(2573)$	$2569.1 \pm 0.8$	$2^+$
$D_1^0(2430)$	$2412 \pm 9$	$1^+$	$D_{s0}^+(2590)$	$2591 \pm 6$	$0^-$
$D_2^*(2460)$	$2461.1 \pm 0.8$	$2^+$	$D_{s1}^{*\pm}(2700)$	$2714 \pm 5$	$1^-$
$D_0^0(2550)$	$2549 \pm 19$	$0^-$	$D_{s1}^{*\pm}(2860)$	$2859 \pm 12$	$1^-$
$D_1^{*0}(2600)$	$2627 \pm 10$	$1^-$	$D_{s3}^{*\pm}(2860)$	$2860.5 \pm 0.6$	$3^-$
$D^{*\pm}(2640)$	$2637 \pm 2$	?	$D_{sJ}^\pm(3040)$	$3044 \pm 8$	?
$D_2^0(2740)$	$2747 \pm 6$	$2^-$			
$D_3^*(2750)$	$2763.1 \pm 3.2$	$3^-$			
$D_1^{*0}(2760)$	$2781 \pm 18$	$1^-$			
$D_0(3000)$	$3214 \pm 29$	?			

**$\Lambda_c$**

Baryon	M(MeV)	$J^P$	Meson	M(MeV)	$J^P$
$\Lambda_c^+$	$2286.46 \pm 0.14$	$1S(1/2)^+$	$\Lambda_c$	3747	$4D(3/2)^+$
$\Lambda_c^+(2765)$	$2766.6 \pm 2.4$	$?2S(1/2)^+$	$\Lambda_c^+(2880)$	$2881.63 \pm 0.24$	$1D(5/2)^+$
$\Lambda_c$	3130	$3S(1/2)^+$	$\Lambda_c$	3209	$2D(5/2)^+$
$\Lambda_c$	3437	$4S(1/2)^+$	$\Lambda_c$	3500	$3D(5/2)^+$
$\Lambda_c$	3715	$5S(1/2)^+$	$\Lambda_c$	3767	$4D(5/2)^+$
$\Lambda_c$	3973	$6S(1/2)^+$	$\Lambda_c$	3097	$1F(5/2)^-$
$\Lambda_c^+(2595)$	$2592.25 \pm 0.28$	$1P(1/2)^-$	$\Lambda_c$	3375	$2F(5/2)^-$
$\Lambda_c^+(2910)$	$2913.8 \pm 5.6$	$?2P(1/2)^-$	$\Lambda_c$	3646	$3F(5/2)^-$
$\Lambda_c$	3303	$3P(1/2)^-$	$\Lambda_c$	3900	$4F(5/2)^-$
$\Lambda_c$	3588	$4P(1/2)^-$	$\Lambda_c$	3078	$1F(7/2)^-$
$\Lambda_c$	3852	$5P(1/2)^-$	$\Lambda_c$	3393	$2F(7/2)^-$
$\Lambda_c^+(2625)$	$2628.00 \pm 0.15$	$1P(3/2)^-$	$\Lambda_c$	3667	$3F(7/2)^-$
$\Lambda_c^+(2940)$	$2939.6 \pm 1.4$	$2P(3/2)^-$	$\Lambda_c$	3922	$4F(7/2)^-$
$\Lambda_c$	3322	$3P(3/2)^-$	$\Lambda_c$	3270	$1G(7/2)^+$
$\Lambda_c$	3606	$4P(3/2)^-$	$\Lambda_c$	3546	$2G(7/2)^+$
$\Lambda_c$	3869	$5P(3/2)^-$	$\Lambda_c$	3284	$1G(9/2)^+$
$\Lambda_c^+(2860)$	$2856.1 \pm 2.0$	$1D(3/2)^+$	$\Lambda_c$	3564	$2G(9/2)^+$
$\Lambda_c$	3189	$2D(3/2)^+$	$\Lambda_c$	3444	$1H(9/2)^-$
$\Lambda_c$	3480	$3D(3/2)^+$	$\Lambda_c$	3460	$1H(11/2)^-$

# 2. how many states? PDG vs. RQM

Relativistic quark model (also the lattice QCD) predicted many HF hadrons but most of them have not yet been found.

$\Sigma_c$

$\Xi_c$

$\Omega_c$

Baryon	M(MeV)	$J^P$	Meson	M(MeV)	$J^P$
$\Sigma_c^+$	2443.97 ± 0.14	1S(1/2) <sup>+</sup>	$\Sigma_c$	3161	2P(5/2) <sup>-</sup>
$\Sigma_c$	2901	2S(1/2) <sup>+</sup>	$\Sigma_c$	3475	3P(5/2) <sup>-</sup>
$\Sigma_c$	3271	3S(1/2) <sup>+</sup>	$\Sigma_c$	3757	4P(5/2) <sup>-</sup>
$\Sigma_c$	3581	4S(1/2) <sup>+</sup>	$\Sigma_c$	3041	1D(1/2) <sup>+</sup>
$\Sigma_c$	3861	5S(1/2) <sup>+</sup>	$\Sigma_c$	3370	2D(1/2) <sup>+</sup>
$\Sigma_c(2520)$	2518.41 ± 0.22	1S(3/2) <sup>+</sup>	$\Sigma_c$	3043	1D(3/2) <sup>+</sup>
$\Sigma_c$	2936	2S(3/2) <sup>+</sup>	$\Sigma_c$	3366	2D(3/2) <sup>+</sup>
$\Sigma_c^+$	3293	3S(3/2) <sup>+</sup>	$\Sigma_c$	3040	1D(3/2) <sup>+</sup>
$\Sigma_c$	3598	4S(3/2) <sup>+</sup>	$\Sigma_c$	3364	2D(3/2) <sup>+</sup>
$\Sigma_c$	3873	5S(3/2) <sup>+</sup>	$\Sigma_c$	3038	1D(5/2) <sup>+</sup>
$\Sigma_c(2800)$	2801 ± 5	1P(1/2) <sup>-</sup>	$\Sigma_c$	3365	2D(5/2) <sup>+</sup>
$\Sigma_c$	3172	2P(1/2) <sup>-</sup>	$\Sigma_c$	3023	1D(5/2) <sup>+</sup>
$\Sigma_c$	3488	3P(1/2) <sup>-</sup>	$\Sigma_c$	3349	2D(5/2) <sup>+</sup>
$\Sigma_c$	3770	4P(1/2) <sup>-</sup>	$\Sigma_c$	3013	1D(7/2) <sup>+</sup>
$\Sigma_c$	2713	1P(1/2) <sup>-</sup>	$\Sigma_c$	3342	2D(7/2) <sup>+</sup>
$\Sigma_c$	3125	2P(1/2) <sup>-</sup>	$\Sigma_c$	3288	1F(3/2) <sup>-</sup>
$\Sigma_c$	3455	3P(1/2) <sup>-</sup>	$\Sigma_c$	3283	1F(5/2) <sup>-</sup>
$\Sigma_c$	3743	4P(1/2) <sup>-</sup>	$\Sigma_c$	3254	1F(5/2) <sup>-</sup>
$\Sigma_c$	2798	1P(3/2) <sup>-</sup>	$\Sigma_c$	3253	1F(7/2) <sup>-</sup>
$\Sigma_c$	3172	2P(3/2) <sup>-</sup>	$\Sigma_c$	3227	1F(7/2) <sup>-</sup>
$\Sigma_c$	3486	3P(3/2) <sup>-</sup>	$\Sigma_c$	3209	1F(9/2) <sup>-</sup>
$\Sigma_c$	3768	4P(3/2) <sup>-</sup>	$\Sigma_c$	3495	1G(5/2) <sup>+</sup>
$\Sigma_c$	2773	1P(3/2) <sup>-</sup>	$\Sigma_c$	3483	1G(7/2) <sup>+</sup>
$\Sigma_c$	3151	2P(3/2) <sup>-</sup>	$\Sigma_c$	3444	1G(7/2) <sup>+</sup>
$\Sigma_c$	3469	3P(3/2) <sup>-</sup>	$\Sigma_c$	3442	1G(9/2) <sup>+</sup>
$\Sigma_c$	3753	4P(3/2) <sup>-</sup>	$\Sigma_c$	3410	1G(9/2) <sup>+</sup>
$\Sigma_c$	2789	1P(5/2) <sup>-</sup>	$\Sigma_c$	3386	1G(11/2) <sup>+</sup>

Baryon	M(MeV)	$J^P$	Meson	M(MeV)	$J^P$
$\Xi_c'$	2578.2 ± 0.5	1S(1/2) <sup>+</sup>	$\Xi_c$	3303	2P(5/2) <sup>-</sup>
$\Xi_c(2970)$	2964.3 ± 1.5	2S(1/2) <sup>+</sup>	$\Xi_c$	3619	3P(5/2) <sup>-</sup>
$\Xi_c$	3377	3S(1/2) <sup>+</sup>	$\Xi_c$	3902	4P(5/2) <sup>-</sup>
$\Xi_c$	3695	4S(1/2) <sup>+</sup>	$\Xi_c$	3163	1D(1/2) <sup>+</sup>
$\Xi_c$	3978	5S(1/2) <sup>+</sup>	$\Xi_c$	3505	2D(1/2) <sup>+</sup>
$\Xi_c(2645)$	2645.10 ± 0.3	1S(3/2) <sup>+</sup>	$\Xi_c$	3167	1D(3/2) <sup>+</sup>
$\Xi_c$	3026	2S(3/2) <sup>+</sup>	$\Xi_c$	3506	2D(3/2) <sup>+</sup>
$\Xi_c$	3396	3S(3/2) <sup>+</sup>	$\Xi_c$	3160	1D(3/2) <sup>+</sup>
$\Xi_c$	3709	4S(3/2) <sup>+</sup>	$\Xi_c$	3497	2D(3/2) <sup>+</sup>
$\Xi_c$	3989	5S(3/2) <sup>+</sup>	$\Xi_c$	3166	1D(5/2) <sup>+</sup>
$\Xi_c$	2936	1P(1/2) <sup>-</sup>	$\Xi_c$	3504	2D(5/2) <sup>+</sup>
$\Xi_c$	3313	2P(1/2) <sup>-</sup>	$\Xi_c$	3153	1D(5/2) <sup>+</sup>
$\Xi_c$	3630	3P(1/2) <sup>-</sup>	$\Xi_c$	3493	2D(5/2) <sup>+</sup>
$\Xi_c$	3912	4P(1/2) <sup>-</sup>	$\Xi_c$	3147	1D(7/2) <sup>+</sup>
$\Xi_c$	2854	1P(1/2) <sup>-</sup>	$\Xi_c$	3486	2D(7/2) <sup>+</sup>
$\Xi_c$	3267	2P(1/2) <sup>-</sup>	$\Xi_c$	3418	1F(3/2) <sup>-</sup>
$\Xi_c$	3598	3P(1/2) <sup>-</sup>	$\Xi_c$	3408	1F(5/2) <sup>-</sup>
$\Xi_c$	3887	4P(1/2) <sup>-</sup>	$\Xi_c$	3394	1F(5/2) <sup>-</sup>
$\Xi_c$	2935	1P(3/2) <sup>-</sup>	$\Xi_c$	3393	1F(7/2) <sup>-</sup>
$\Xi_c$	3311	2P(3/2) <sup>-</sup>	$\Xi_c$	3373	1F(7/2) <sup>-</sup>
$\Xi_c$	3628	3P(3/2) <sup>-</sup>	$\Xi_c$	3357	1F(9/2) <sup>-</sup>
$\Xi_c$	3911	4P(3/2) <sup>-</sup>	$\Xi_c$	3623	1G(5/2) <sup>+</sup>
$\Xi_c$	2912	1P(3/2) <sup>-</sup>	$\Xi_c$	3608	1G(7/2) <sup>+</sup>
$\Xi_c$	3293	2P(3/2) <sup>-</sup>	$\Xi_c$	3584	1G(7/2) <sup>+</sup>
$\Xi_c$	3613	3P(3/2) <sup>-</sup>	$\Xi_c$	3582	1G(9/2) <sup>+</sup>
$\Xi_c$	3898	4P(3/2) <sup>-</sup>	$\Xi_c$	3558	1G(9/2) <sup>+</sup>
$\Xi_c$	2929	1P(5/2) <sup>-</sup>	$\Xi_c$	3536	1G(11/2) <sup>+</sup>

Baryon	M(MeV)	$J^P$	Meson	M(MeV)	$J^P$
$\Xi_c$	2467.71 ± 0.23	1S(1/2) <sup>+</sup>	$\Xi_c$	3945	4D(3/2) <sup>+</sup>
$\Xi_c$	2959	2S(1/2) <sup>+</sup>	$\Xi_c$	3076	1D(5/2) <sup>+</sup>
$\Xi_c$	3323	3S(1/2) <sup>+</sup>	$\Xi_c$	3407	2D(5/2) <sup>+</sup>
$\Xi_c$	3632	4S(1/2) <sup>+</sup>	$\Xi_c$	3699	3D(5/2) <sup>+</sup>
$\Xi_c$	3909	5S(1/2) <sup>+</sup>	$\Xi_c$	3965	4D(5/2) <sup>+</sup>
$\Xi_c$	4166	6S(1/2) <sup>+</sup>	$\Xi_c$	3278	1F(5/2) <sup>-</sup>
$\Xi_c^+(2790)$	2791.9 ± 0.5	1P(1/2) <sup>-</sup>	$\Xi_c$	3575	2F(5/2) <sup>-</sup>
$\Xi_c$	3179	2P(1/2) <sup>-</sup>	$\Xi_c$	3845	3F(5/2) <sup>-</sup>
$\Xi_c$	3500	3P(1/2) <sup>-</sup>	$\Xi_c$	4098	4F(5/2) <sup>-</sup>
$\Xi_c$	3785	4P(1/2) <sup>-</sup>	$\Xi_c$	3292	1F(7/2) <sup>-</sup>
$\Xi_c$	4048	5P(1/2) <sup>-</sup>	$\Xi_c$	3592	2F(7/2) <sup>-</sup>
$\Xi_c(2815)$	2816.51 ± 0.25	1P(3/2) <sup>-</sup>	$\Xi_c$	3865	3F(7/2) <sup>-</sup>
$\Xi_c$	3201	2P(3/2) <sup>-</sup>	$\Xi_c$	4120	4F(7/2) <sup>-</sup>
$\Xi_c$	3519	3P(3/2) <sup>-</sup>	$\Xi_c$	3469	1G(7/2) <sup>+</sup>
$\Xi_c$	3804	4P(3/2) <sup>-</sup>	$\Xi_c$	3745	2G(7/2) <sup>+</sup>
$\Xi_c$	4066	5P(3/2) <sup>-</sup>	$\Xi_c$	3483	1G(9/2) <sup>+</sup>
$\Xi_c$	3059	1D(3/2) <sup>+</sup>	$\Xi_c$	3763	2G(9/2) <sup>+</sup>
$\Xi_c$	3388	2D(3/2) <sup>+</sup>	$\Xi_c$	3643	1H(9/2) <sup>-</sup>
$\Xi_c$	3678	3D(3/2) <sup>+</sup>	$\Xi_c$	3658	1H(11/2) <sup>-</sup>

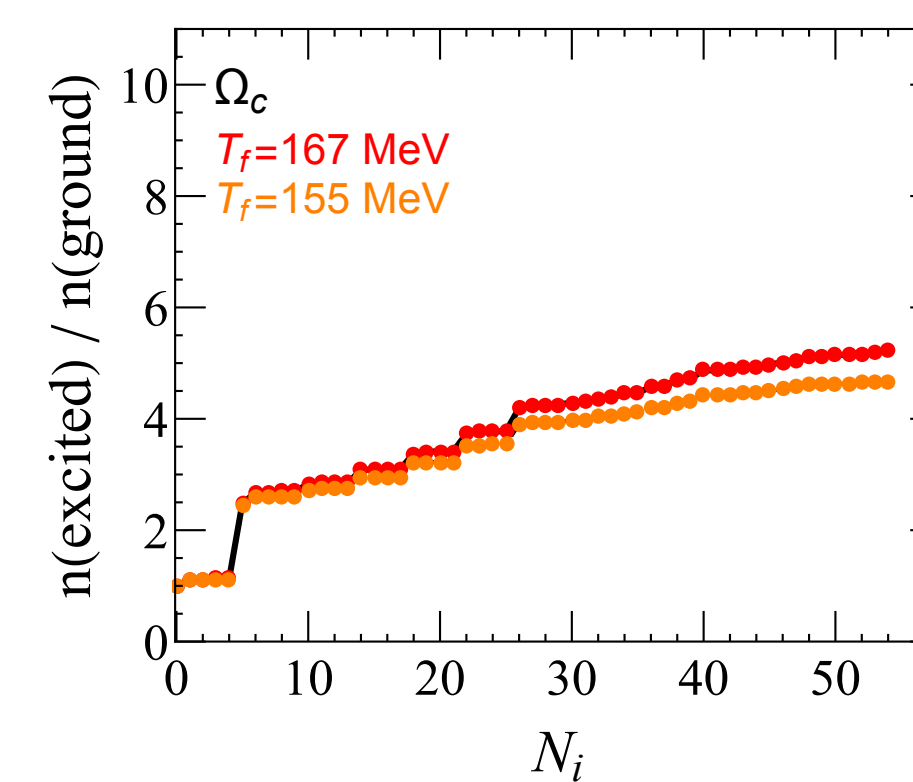
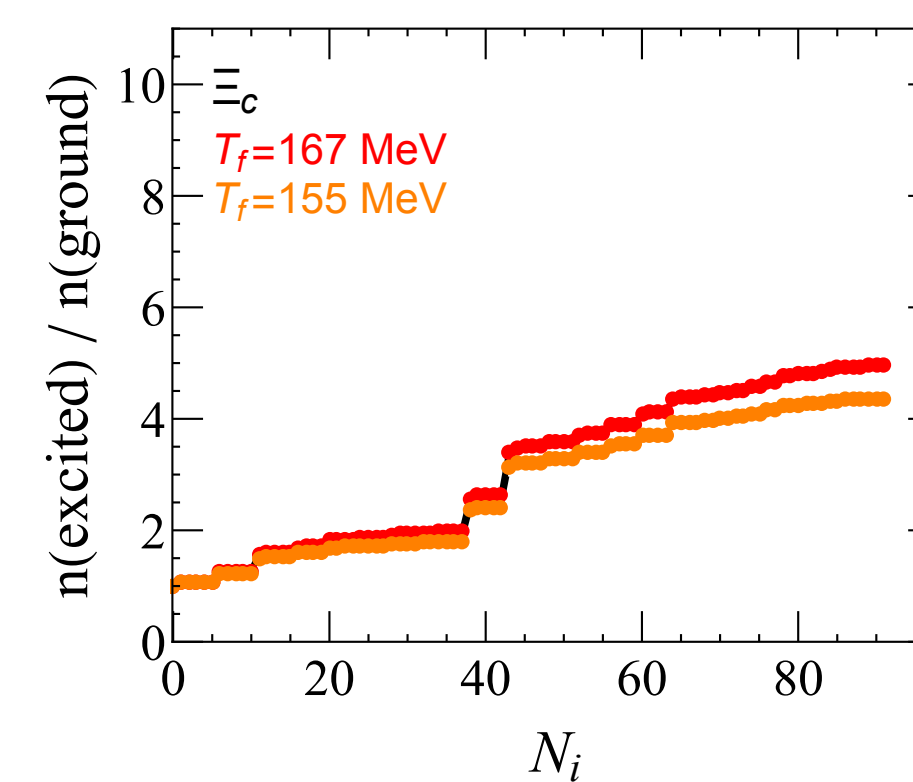
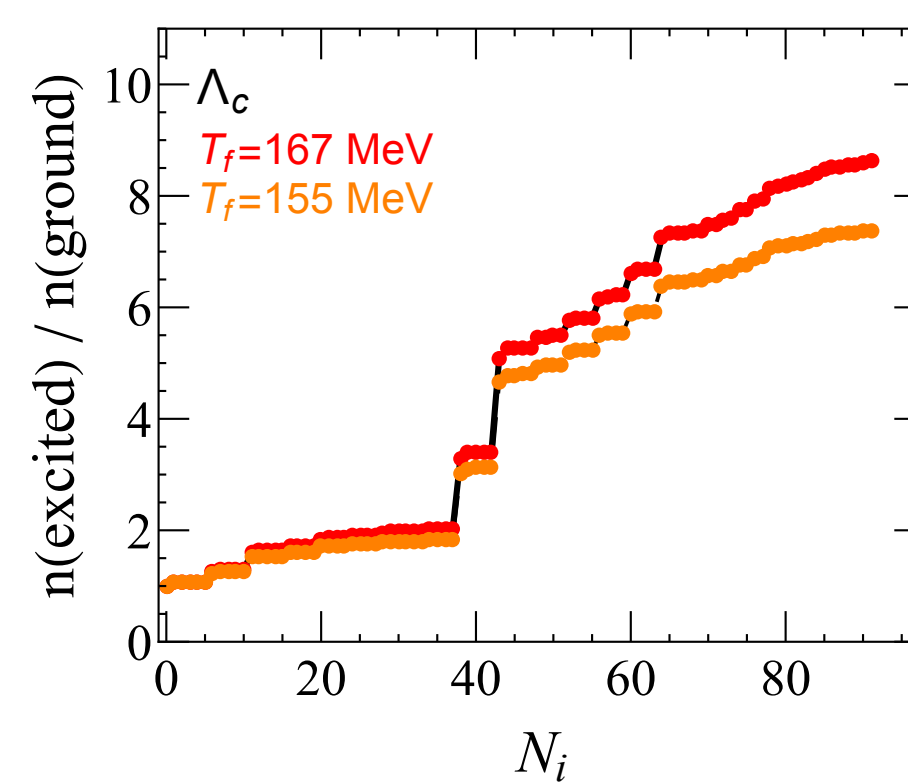
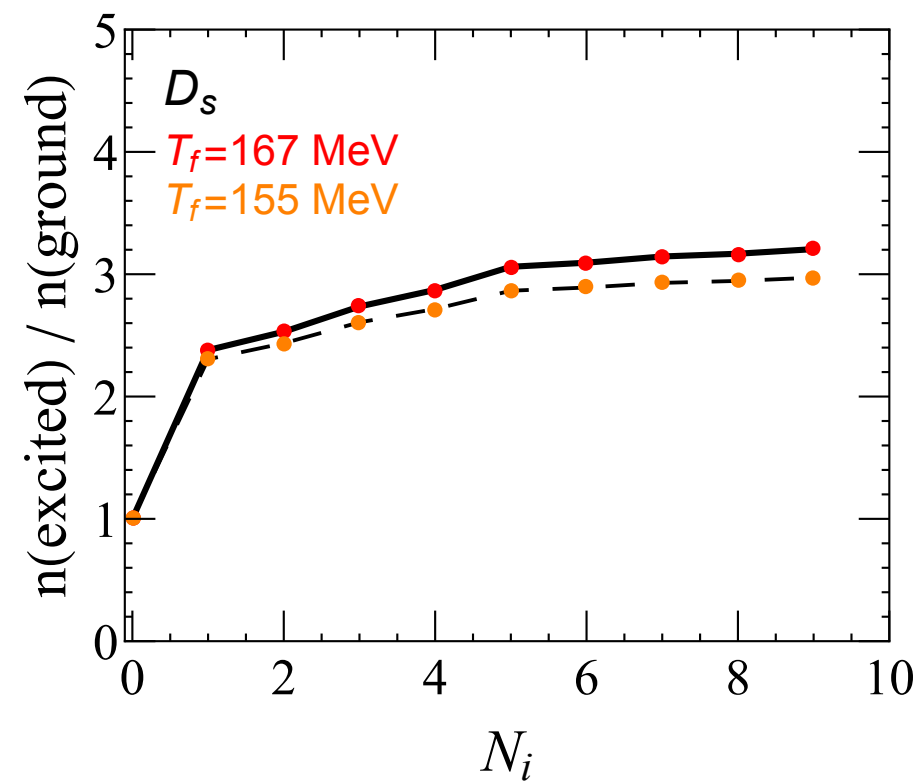
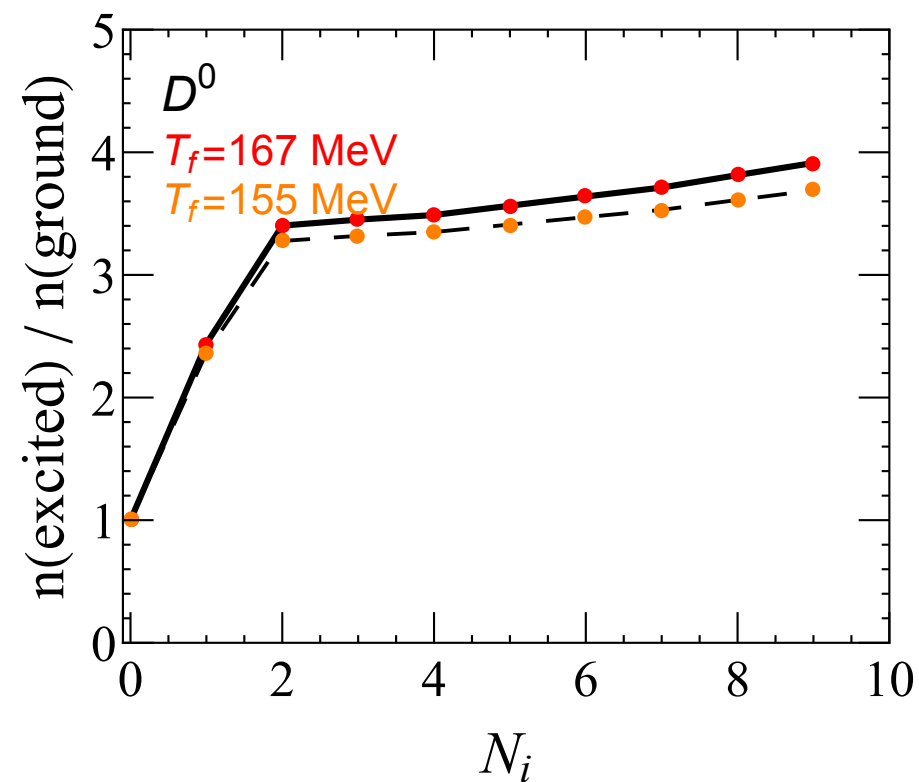
Baryon	M(MeV)	$J^P$	Meson	M(MeV)	$J^P$
$\Omega_c^0$	2695.2 ± 1.7	1S(1/2) <sup>+</sup>	$\Omega_c$	3427	2P(5/2) <sup>-</sup>
$\Omega_c^0(2770)$	3088	2S(1/2) <sup>+</sup>	$\Omega_c^+(2880)$	3744	3P(5/2) <sup>-</sup>
$\Omega_c$	3489	3S(1/2) <sup>+</sup>	$\Omega_c$	4028	4P(5/2) <sup>-</sup>
$\Omega_c$	3814	4S(1/2) <sup>+</sup>	$\Omega_c$	3287	1D(1/2) <sup>+</sup>
$\Omega_c$	4102	5S(1/2) <sup>+</sup>	$\Omega_c$	3623	2D(1/2) <sup>+</sup>
$\Omega_c^0(2770)$	2765.9 ± 2	1S(3/2) <sup>+</sup>	$\Omega_c$	3298	1D(3/2) <sup>+</sup>
$\Omega_c$	3123	2S(3/2) <sup>+</sup>	$\Omega_c$	3627	2D(3/2) <sup>+</sup>
$\Omega_c$	3510	3S(3/2) <sup>+</sup>	$\Omega_c$	3282	1D(3/2) <sup>+</sup>
$\Omega_c$	3830	4S(3/2) <sup>+</sup>	$\Omega_c$	3613	2D(3/2) <sup>+</sup>
$\Omega_c$	4114	5S(3/2) <sup>+</sup>	$\Omega_c$	3297	1D(5/2) <sup>+</sup>
$\Omega_c^0(3000)$	3000.46 ± 0.25	?1P(1/2) <sup>-</sup>	$\Omega_c$	3626	2D(5/2) <sup>+</sup>
$\Omega_c$	3435	2P(1/2) <sup>-</sup>	$\Omega_c$	3283	1D(5/2) <sup>+</sup>
$\Omega_c$	3754	3P(1/2) <sup>-</sup>	$\Omega_c$	3614	2D(5/2) <sup>+</sup>
$\Omega_c$	4037	4P(1/2) <sup>-</sup>	$\Omega_c$	3283	1D(7/2) <sup>+</sup>
$\Omega_c$	2966	1P(1/2) <sup>-</sup>	$\Omega_c$	3611	2D(7/2) <sup>+</sup>
$\Omega_c$	3384	2P(1/2) <sup>-</sup>	$\Omega_c$	3533	1F(3/2) <sup>-</sup>
$\Omega_c$	3717	3P(1/2) <sup>-</sup>	$\Omega_c$	3522	1F(5/2) <sup>-</sup>
$\Omega_c$	4009	4P(1/2) <sup>-</sup>	$\Omega_c$	3515	1F(5/2) <sup>-</sup>
$\Omega_c$	3054	1P(3/2) <sup>-</sup>	$\Omega_c$	3514	1F(7/2) <sup>-</sup>
$\Omega_c$	3433	2P(3/2) <sup>-</sup>	$\Omega_c$	3498	1F(7/2) <sup>-</sup>
$\Omega_c$	3752	3P(3/2) <sup>-</sup>	$\Omega_c$	3485	1F(9/2) <sup>-</sup>
$\Omega_c$	4036	4P(3/2) <sup>-</sup>	$\Omega_c$	3739	1G(5/2) <sup>+</sup>
$\Omega_c$	3029	1P(3/2) <sup>-</sup>	$\Omega_c$	3721	1G(7/2) <sup>+</sup>
$\Omega_c$	3415	2P(3/2) <sup>-</sup>	$\Omega_c$	3707	1G(7/2) <sup>+</sup>
$\Omega_c$	3737	3P(3/2) <sup>-</sup>	$\Omega_c$	3705	1G(9/2) <sup>+</sup>
$\Omega_c$	4023	4P(3/2) <sup>-</sup>	$\Omega_c$	3685	1G(9/2) <sup>+</sup>
$\Omega_c$	3051	1P(5/2) <sup>-</sup>	$\Omega_c$	3665	1G(11/2) <sup>+</sup>

## 2. how many states? PDG vs. RQM

	$D$	$D_s$	$\Lambda_c$
Catania	$D^0, D^+, D^{*0}, D^{*+}$	$D_s, D_s^{*+}$	$\Lambda_c, \Lambda_c(2595), \Lambda_c(2625), \Sigma_c(2455), \Sigma_c(2520)$
Duke	$D^0, D^+, D^{*0}, D^{*+}$	-	-
LBT	All $S$ and $P$ -wave $D^0$ and $D^+$	All $S$ and $P$ -wave $D_s$	All $S$ and $P$ -wave $\Lambda_c$ and $\Sigma_c$
Nantes	$D^0$	-	-
PHSD	Most $S$ and $P$ -wave $D^0$	Most $S$ and $P$ -wave $D_s$	-
TAMU	PDG	PDG	RQM <span style="color: red;">first introduced in the TAMU model (Min &amp; Ralf)</span>
Torino	<span style="color: red;">Also called POWLANG</span> $D^0$	$D_s$	$\Lambda_c$
LANL	$D^0, D^+, D^{*0}, D^{*+}$	-	-

How to include hundreds of excited states? Decay branching ratio?

Thermal model?  $n_i = \frac{g_i}{2\pi^2} T_{\text{FO}} m_i^2 K_2 \left( \frac{m_i}{T_{\text{FO}}} \right) \rightarrow \text{TAMU \& EPOS4}$



### 3. recombination probability: Wigner density vs. others

---

Hadronization in a hot QCD medium need to consider both statistics and dynamics.

$$N \propto f_Q(x_Q, p_Q) f_q(x_q, p_q) \times W$$

### 3. recombination probability: Wigner density vs. others

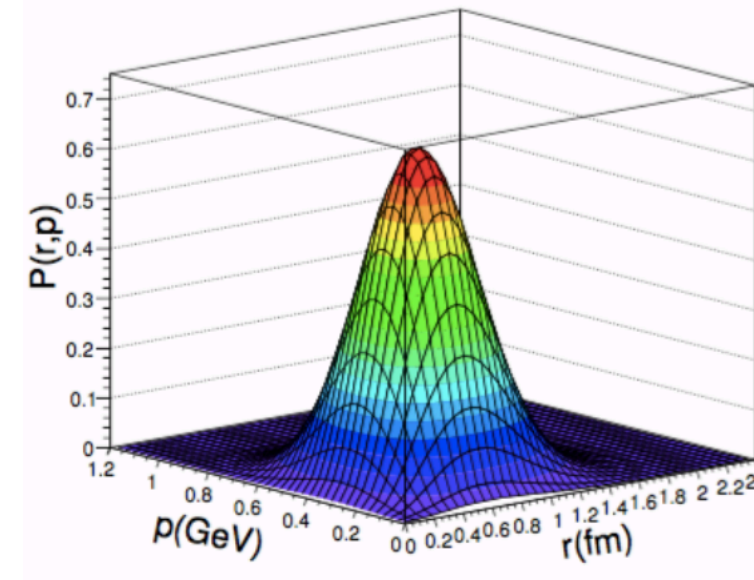
Hadronization in a hot QCD medium need to consider both statistics and dynamics.

$$N \propto f_Q(x_Q, p_Q) f_q(x_q, p_q) \times W$$

Wigner density (Breit-Wigner cross section) ---> the only QCD input to hadronization mechanism!

Separation of scales:  $m_Q \gg m_Q v \gg m_Q v^2$  QCD --> NRQCD --> pNRQCD --> potential model

$$\text{Wigner function (2-body): } W(r, p) = \int d^4 y e^{-i p y} \psi(r + \frac{y}{2}) \psi(r - \frac{y}{2})$$



Wavefunctions are given by 2/3-body Schrödinger equation (Dirac equation, Bethe-Salpeter equation,...)

*S. Shi, JX, P. Zhuang, Chin.Phys.C 44 (2020) 8, 084101 JZ, K. Zhou, S. Chen, P. Zhuang, PPNP. 114 (2020) 103801.*

→ If assume that the potential is 3-D isotropic harmonic oscillator

$$W_S(r, p) = 8e^{-\frac{r^2}{\sigma^2} - p^2 \sigma^2}$$

Catania, Nantes, Duke, LBT, EPOS4 ...

Only LBT & PHSD used p-wave formula

To any states: *JX, et al, EPJ Web Conf. 296 (2024) 09014.*

→ The width can be determined by the charge radius, mass radius, or geometry radius.

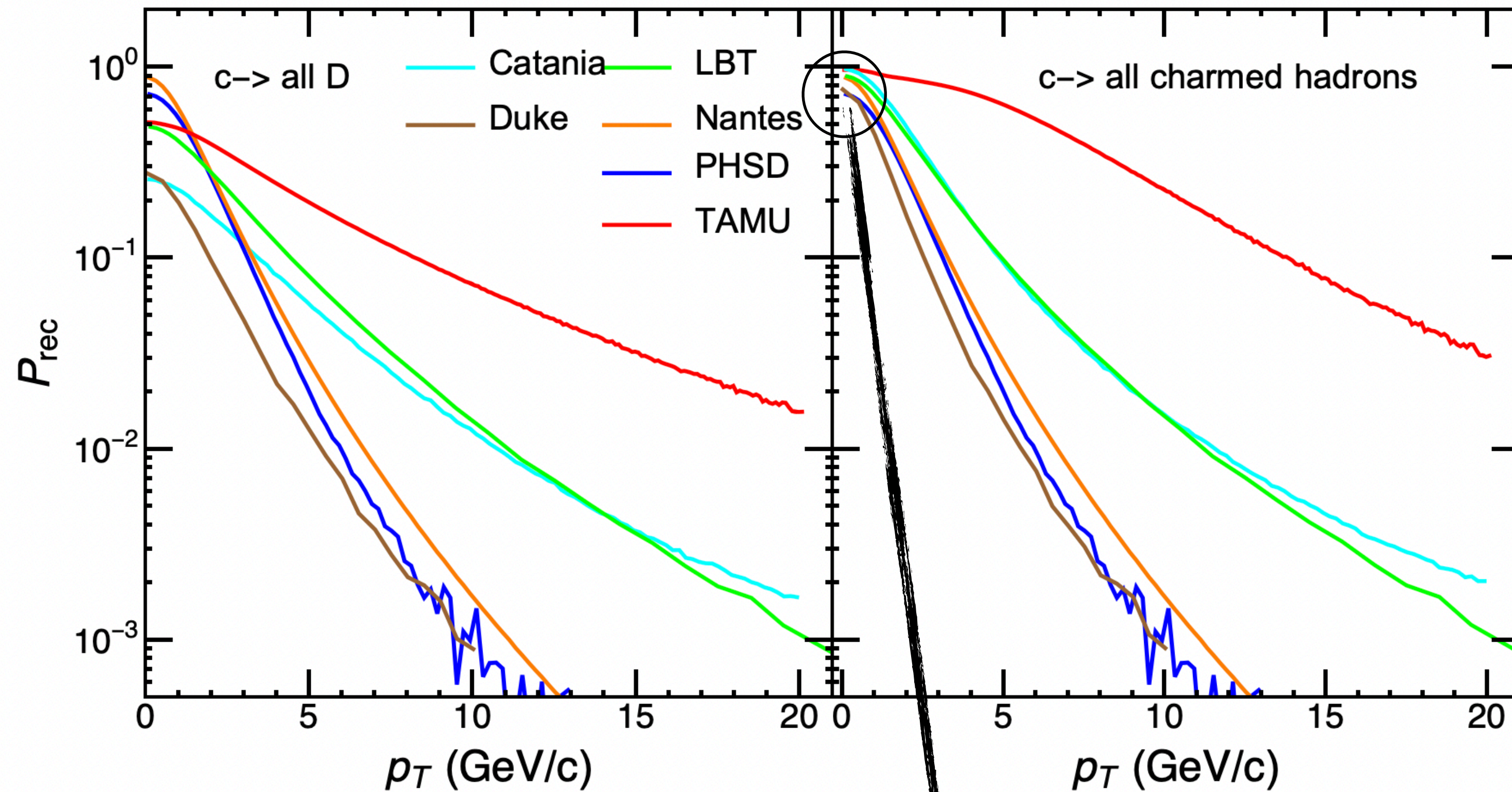
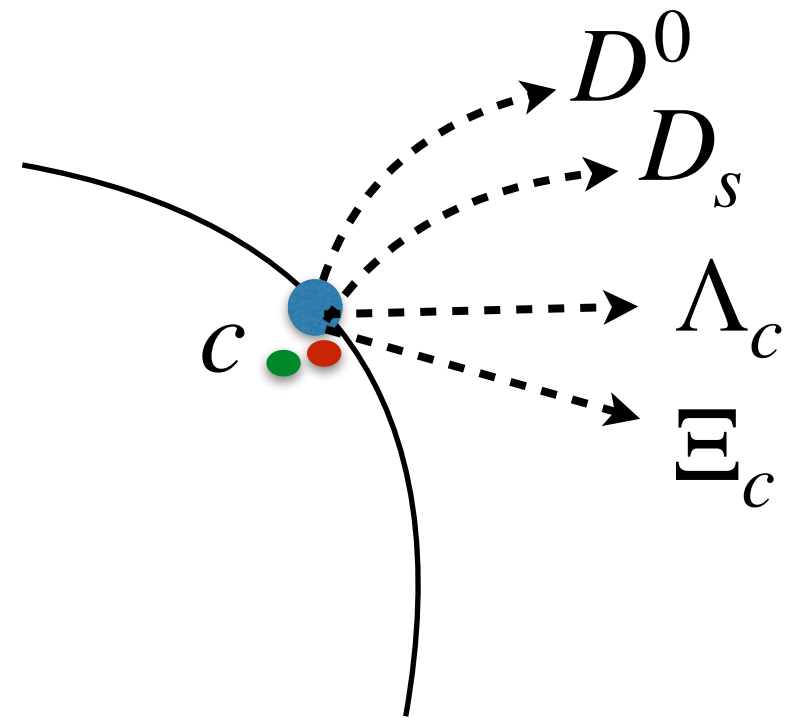
$$\langle r^2 \rangle_{charge} = \sum_i Q_i \langle (r_i - R)^2 \rangle \rightarrow \frac{3}{2} \frac{Q_1 m_2^2 + Q_2 m_1^2}{(m_1 + m_2)^2} \sigma^2 \quad \text{Catania, Duke, LBT, ...}$$

$$\langle r^2 \rangle_{geo.} = \sum_i \langle (r_i - R)^2 \rangle \rightarrow \frac{3}{2} \frac{m_2^2 + m_1^2}{(m_1 + m_2)^2} \sigma^2 \quad \text{PHSD, EPOS4, ...}$$

$$\langle r^2 \rangle_{mass} = \sum_i m_i \langle (r_i - R)^2 \rangle / (2\mu) \rightarrow \frac{3}{4} \sigma^2$$

To restore the prophecy and explore non-perturbative QCD --> potential model, instead of an effective parameter. 13

### 3. recombination probability: Wigner density vs. others



The differences come from:

- Light quark mass/distribution  $\rightarrow$  relative distance and momentum;
- Number of excited states involved and the Wigner density formula of the excited states;
- Width in the Wigner density (width in Breit-Wigner cross section)...

- Total recombination probability  $\sim 1.0$  at zero  $p_T$  required by all charm quarks hadronize via recombination at  $p_T \sim 0$ .



### 3. recombination probability: Wigner density vs. others

There are two main kinds of recombination criteria:

➔ Phase space criterion:

Catania, Nantes, Tsinghua, and PHSD model, phase-space Wigner function.

$$W(r, p) = 8e^{-\frac{r^2}{\sigma^2} - p^2\sigma^2}$$

➔ Momentum space criterion:

Duke, LBT, EPOS4 model, momentum-space Wigner function.

$$W(p) = \frac{(2\sqrt{\pi}\sigma)^3}{V} e^{-p^2\sigma^2}$$

TAMU model, resonance amplitude.

$$\sigma(s) = g_\sigma \frac{4\pi}{k^2} \frac{(\Gamma m)^2}{(s - m^2)^2 + (\Gamma m)^2}$$

Torino model, invariant mass.

$$M_D < M_{Cluster} < M_{max.}$$

Qufu model, equal-velocity combination.

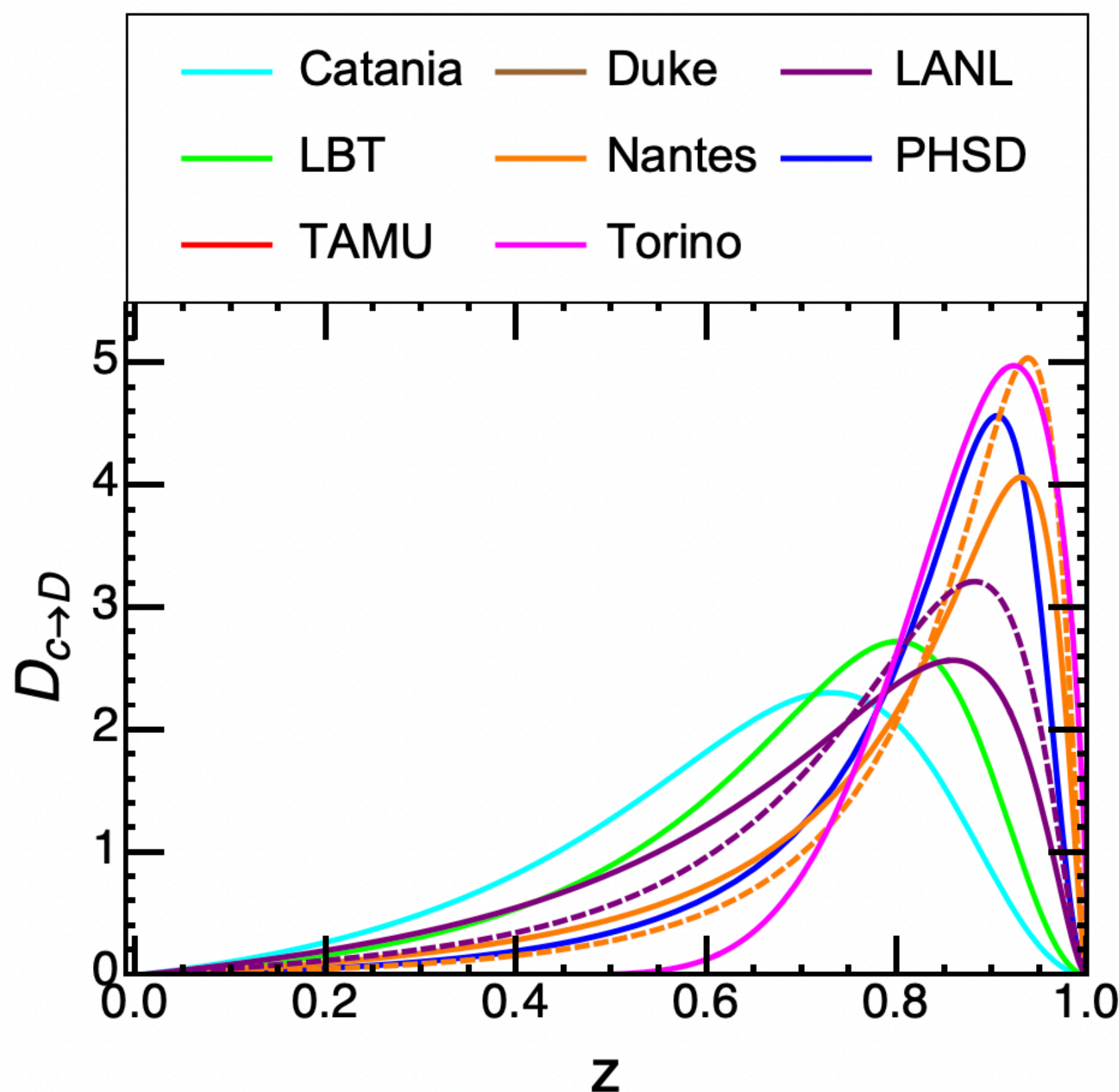
$$W = A \times \frac{m_Q + m_q}{m_Q m_q} \delta\left(\frac{p_Q}{m_Q} - \frac{p_q}{m_q}\right)$$

- Huge difference when  $p_T > 3$  GeV; Phase space criteria give a steep recombination probability.

## 4. fragmentation function and ratio

$$P_{frag.}(p_T) = 1 - P_{rec.}(p_T)$$

There are three main fragmentation functions used:



- ❖ Peterson fragmentation;

$$\mathcal{D}_{c \rightarrow H} \propto \frac{1}{z \left[ 1 - \frac{1}{z} - \frac{\epsilon}{1-z} \right]^2} \quad \text{Catania, LBT, PHSD model with different } \epsilon$$

- ❖ String fragmentation in PYTHIA and pure EPOS;

$$\mathcal{D}_{c \rightarrow H} \propto \frac{1}{z^{1+rbm_Q^2}} z^{a_\alpha} \left( \frac{1-z}{z} \right)^{a_\beta} \exp \left( -\frac{bm_T^2}{z} \right) \quad \text{Duke, Torino model}$$

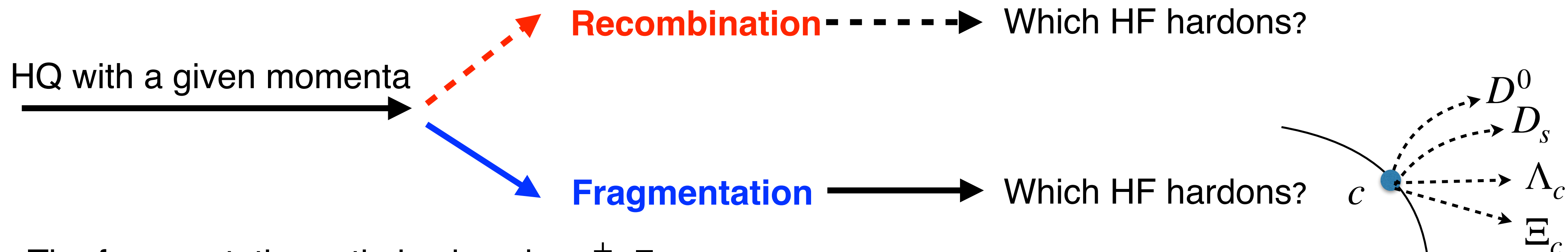
- ❖ HQET fragmentation function (for the pseudoscalar and vector meson):

$$\mathcal{D}_{c \rightarrow P} \propto \frac{rz(1-z)^2}{[1-(1-r)z]^6} \left[ 6 - 18(1-2r)z + (21 - 74r + 68r^2)z^2 - 2(1-r)(6 - 19r + 18r^2)z^3 + 3(1-r)^2(1-2r+2r^2)z^4 \right]$$

$$\mathcal{D}_{c \rightarrow V} \propto \frac{rz(1-z)^2}{[1-(1-r)z]^6} \left[ 2 - 2(3-2r)z + 3(3-2r+4r^2)z^2 - 2(1-r)(4-r+2r^2)z^3 + 3(1-r)^2(3-2r+2r^2)z^4 \right]$$

$r = 0.1$  in Nantes and TAMU models;  $r = 0.2$  in Los Alamos model.

# 4. fragmentation function and ratio

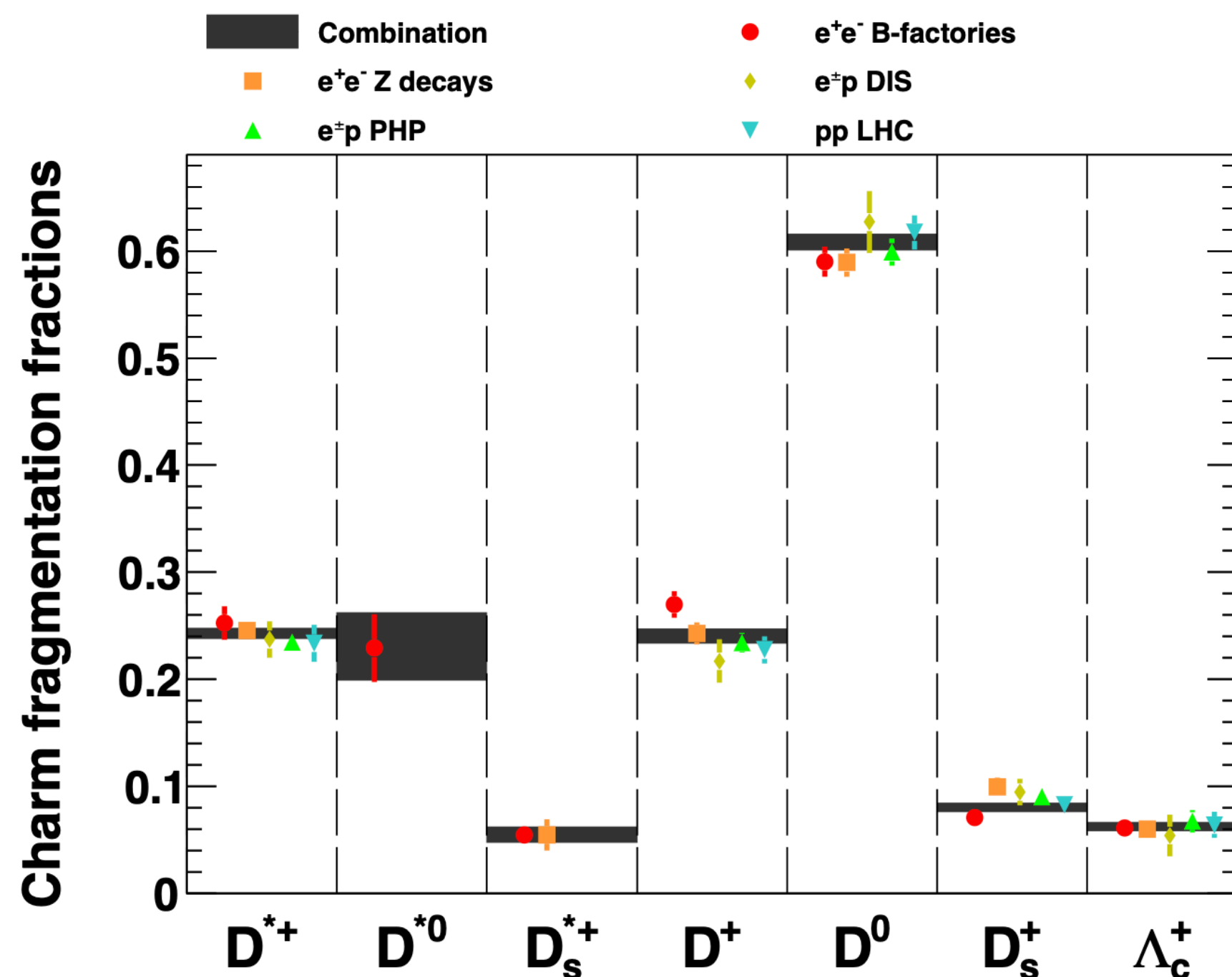


The fragmentation ratio is given by  $e^+e^-$ ,  $ep$ ,  $pp$

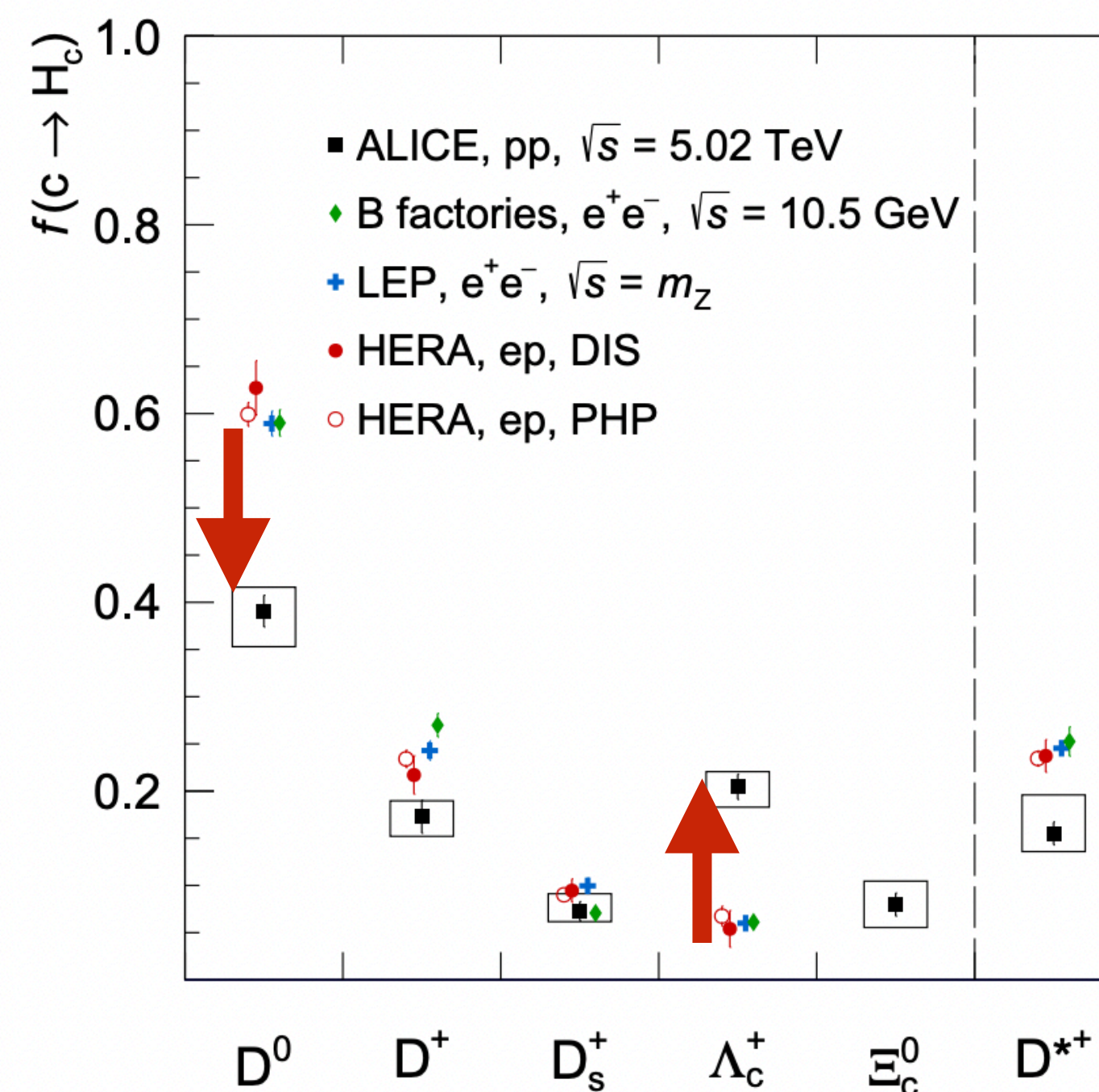
The high energy/multiplicity  $pp$  collisions show different ratios

-> a small QGP droplet & the ratio is modified by the recombination probability

Jing Wang's talk



M. Lisovyi et al, Eur.Phys.J.C 76 (2016) 7, 397

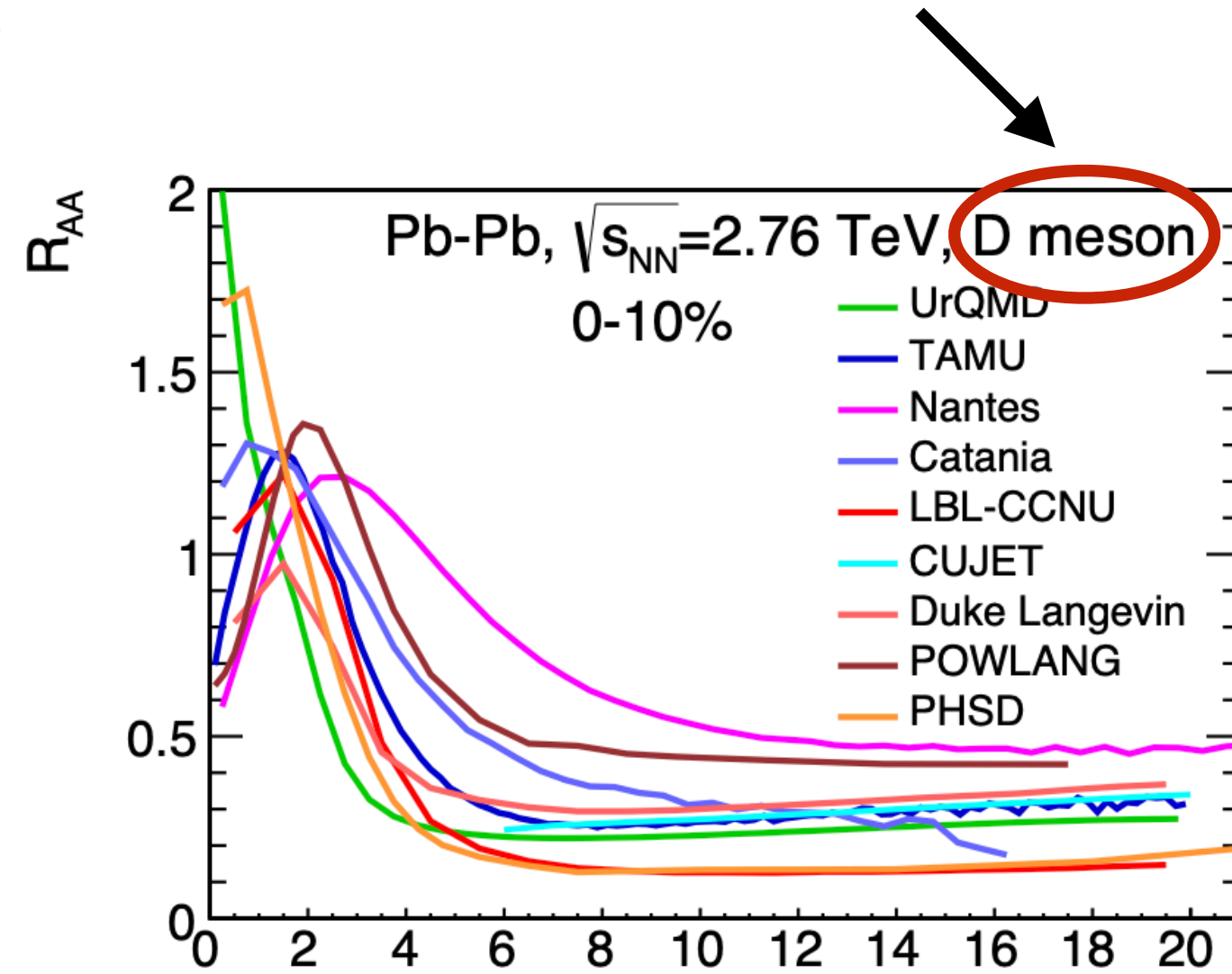
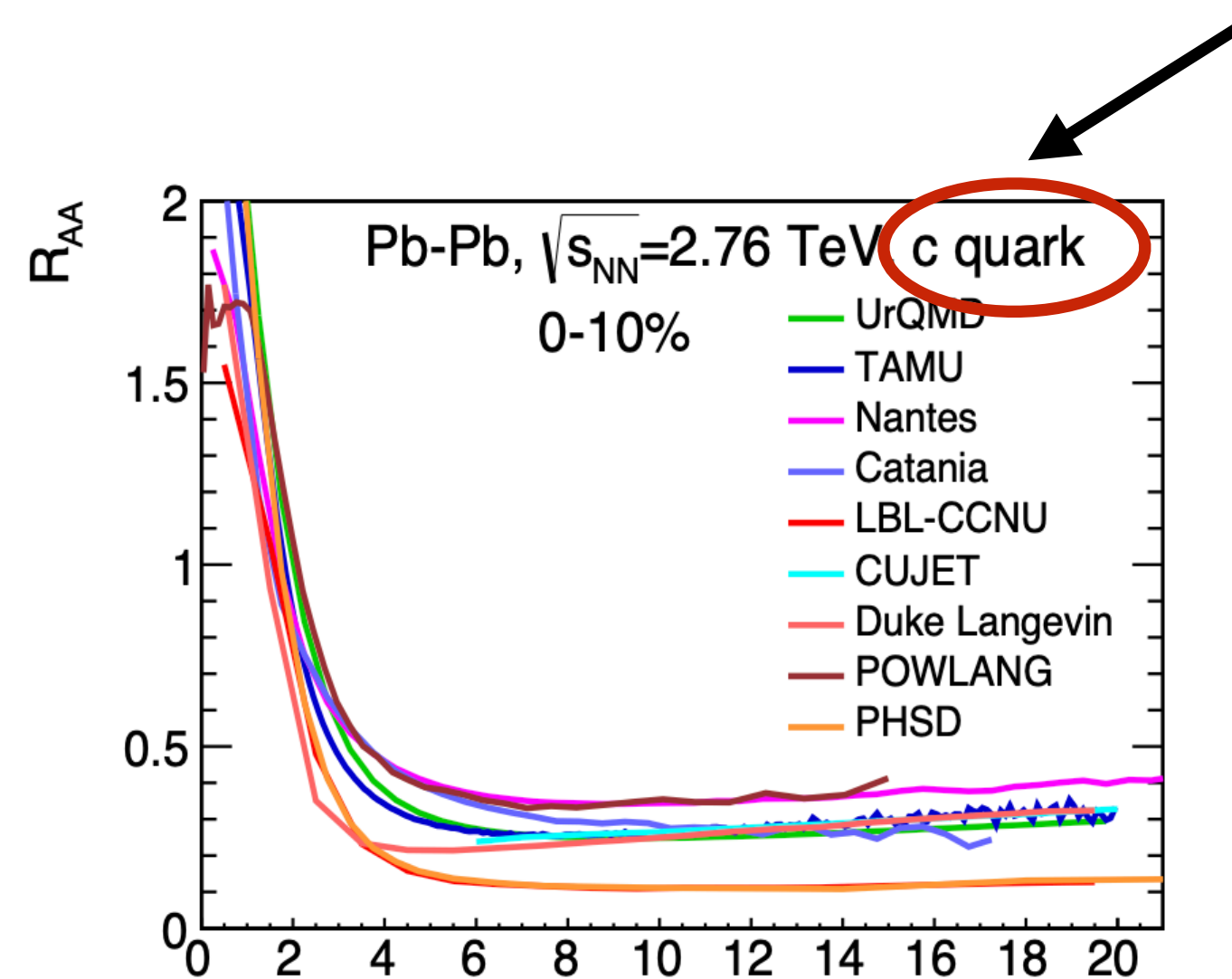


ALICE, Phys.Rev.D 105 (2022) 1, L011103

# Model comparison I

❖ Use a common the **initial charm distribution** & charm quark **transport coefficient**

Difference comes from the bulk evolution & hadronization mechanism (including temperature, chemistry,...)



Hadronization makes a larger difference!



Available online at [www.sciencedirect.com](http://www.sciencedirect.com)

ScienceDirect

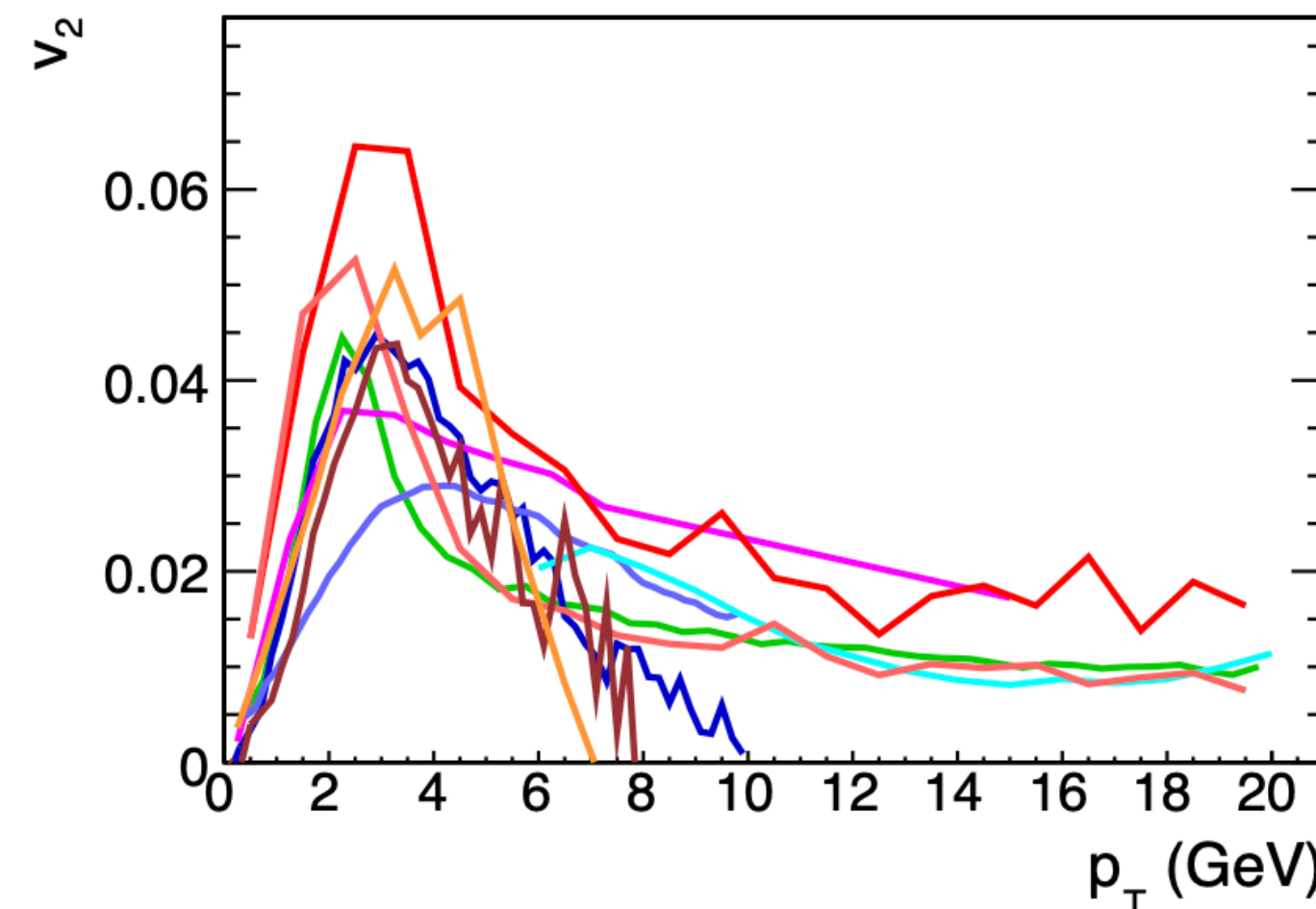
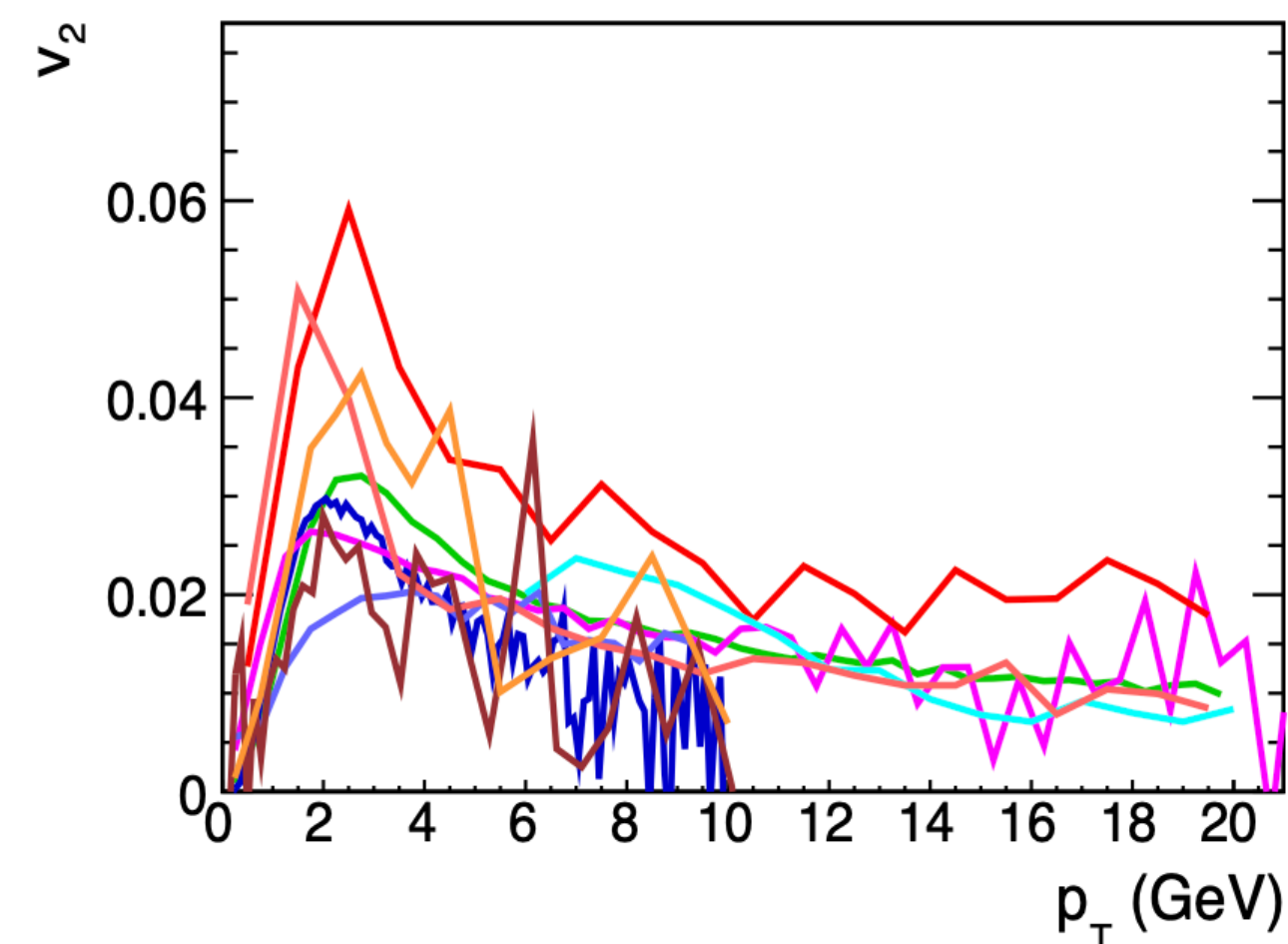
Nuclear Physics A 979 (2018) 21–86

NUCLEAR PHYSICS A

[www.elsevier.com/locate/nuclphysa](http://www.elsevier.com/locate/nuclphysa)

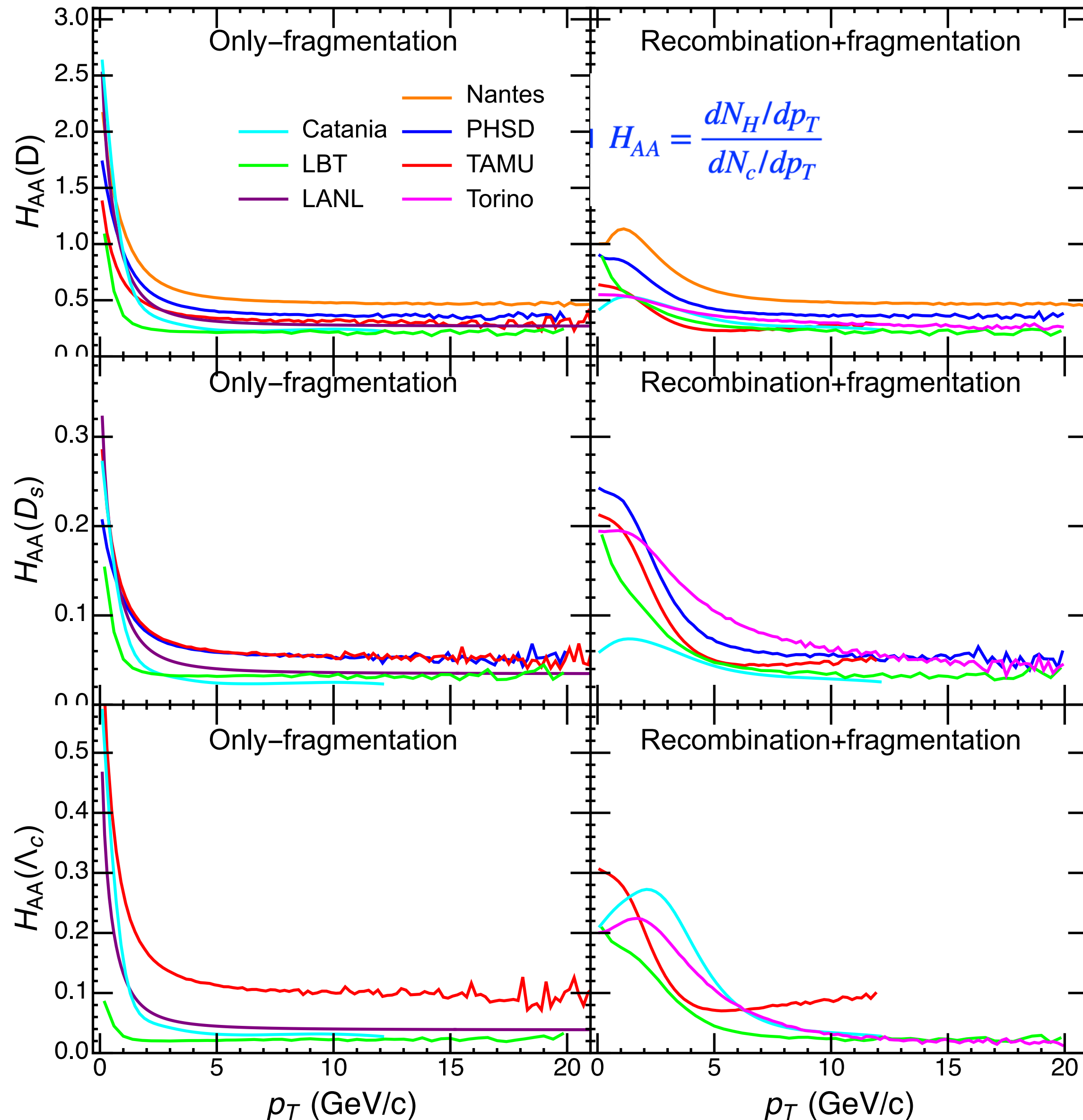
Extraction of heavy-flavor transport coefficients in QCD matter

R. Rapp<sup>a,1</sup>, P.B. Gossiaux<sup>b,\*,1</sup>, A. Andronic<sup>c,d,1</sup>, R. Averbeck<sup>c,1</sup>,  
 S. Masciocchi<sup>c,1</sup>, A. Beraudo<sup>e</sup>, E. Bratkovskaya<sup>c,f</sup>,  
 P. Braun-Munzinger<sup>c,g</sup>, S. Cao<sup>h</sup>, A. Dainese<sup>i</sup>, S.K. Das<sup>j,k</sup>,  
 M. Djordjevic<sup>l</sup>, V. Greco<sup>k,m</sup>, M. He<sup>n</sup>, H. van Hees<sup>f</sup>, G. Inghirami<sup>c,f,o,p</sup>,  
 O. Kaczmarek<sup>q,r</sup>, Y.-J. Lee<sup>s</sup>, J. Liao<sup>t</sup>, S.Y.F. Liu<sup>a</sup>, G. Moore<sup>u</sup>,  
 M. Nahrgang<sup>b</sup>, J. Pawłowski<sup>v</sup>, P. Petreczky<sup>w</sup>, S. Plumari<sup>k</sup>, F. Prino<sup>e</sup>,  
 S. Shi<sup>t</sup>, T. Song<sup>x</sup>, J. Stachel<sup>g</sup>, I. Vitev<sup>y</sup>, X.-N. Wang<sup>r,z</sup>



# Model comparison II

❖ Fix the **Hadronization hypersurface** and **charm and light quark distributions** at hadronization hypersurface.



- Given by the Fireball model for  $\sqrt{s_{NN}} = 2.76 TeV$  Pb+Pb with  $b=7fm$  and  $T_{fo}=180MeV$ .

- Uniform distribution in the coordinate space and momentum space is given by EMMI RRTF.

Catania, Duke, LBT, Los Alamos, Nantes, PHSD, TAMU, Torino groups/models

Still a clear difference, but smaller than before!  
 These behaviours can be explained by their recombination probabilities and fragmentation functions...

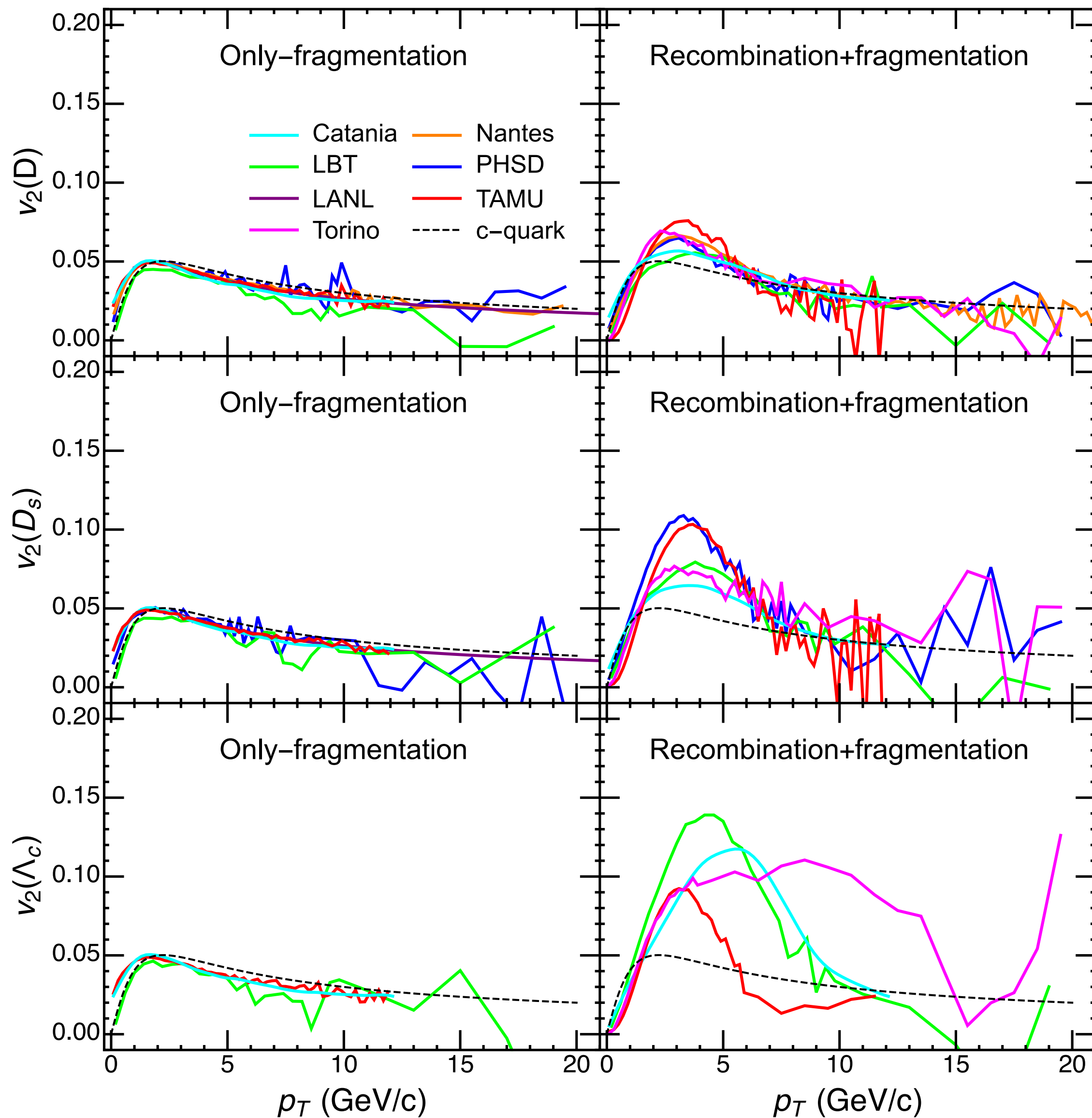
PHYSICAL REVIEW C **109**, 054912 (2024)

## Hadronization of heavy quarks

Jiaxing Zhao<sup>1</sup>, Jörg Aichelin<sup>1</sup>, Pol Bernard Gossiaux<sup>1</sup>, Andrea Beraudo<sup>2</sup>, Shanshan Cao<sup>3</sup>, Wenkai Fan<sup>4</sup>, Min He<sup>5</sup>, Vincenzo Minissale<sup>6,7</sup>, Taesoo Song<sup>8</sup>, Ivan Vitev<sup>9</sup>, Ralf Rapp<sup>10</sup>, Steffen Bass<sup>4</sup>, Elena Bratkovskaya<sup>8,11,12</sup>, Vincenzo Greco<sup>6,7</sup> and Salvatore Plumari<sup>6,7</sup>

# Model comparison II

- ❖ Fix the **Hadronization hypersurface** and **charm and light quark distributions** at hadronization hypersurface.



The  $v_2$  of charmed hadrons via only-fragmentation process gives the same  $v_2$  as charm quark.

The existence of  $v_2$  sequence:  $v_2(\Lambda_c) > v_2(D_s) > v_2(D)$ .

Final  $v_2$  comes from: charm quark  $v_2$ , light quark  $v_2$ , recombination probability, fragmentation ratio, and also excited states...

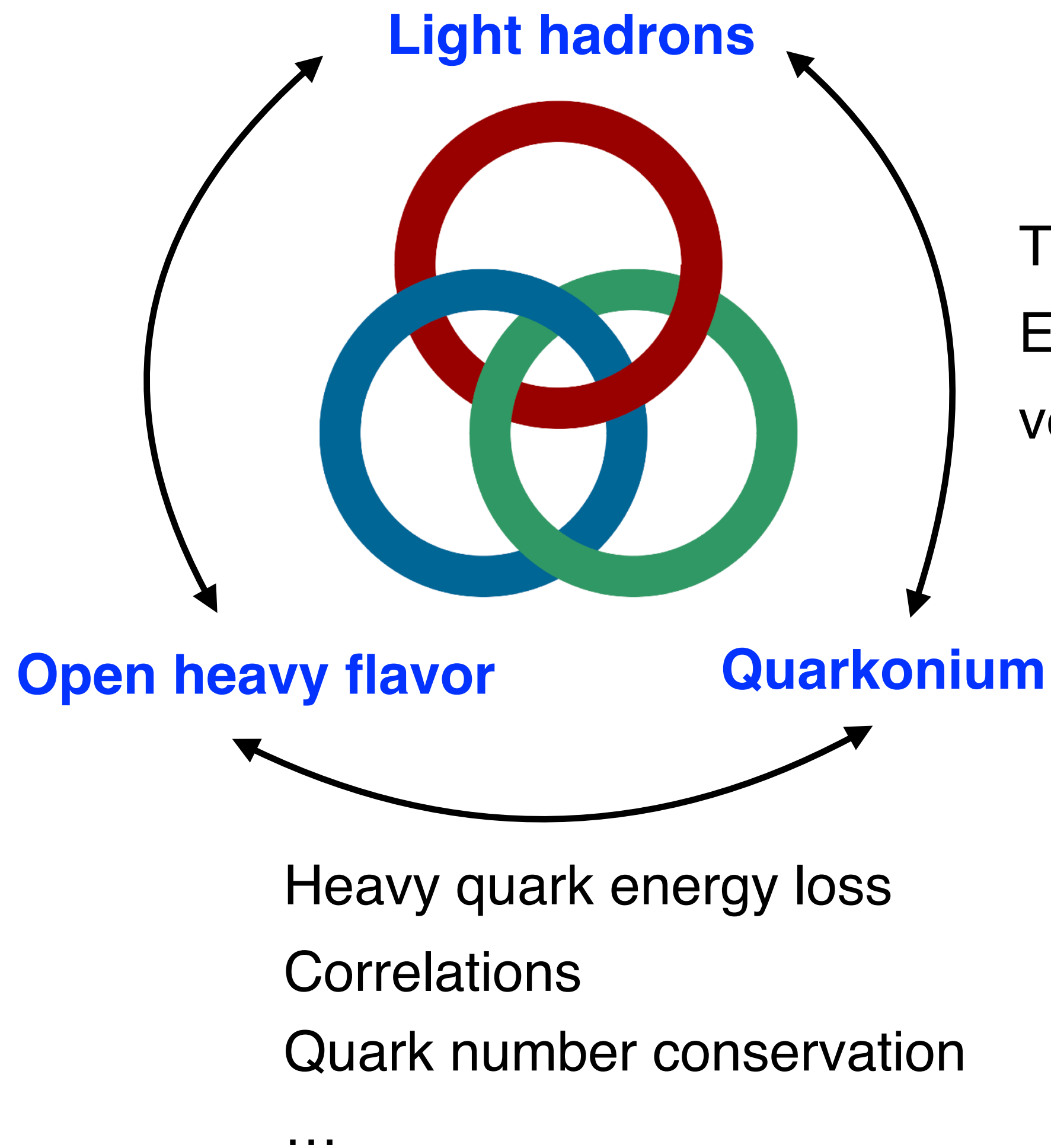
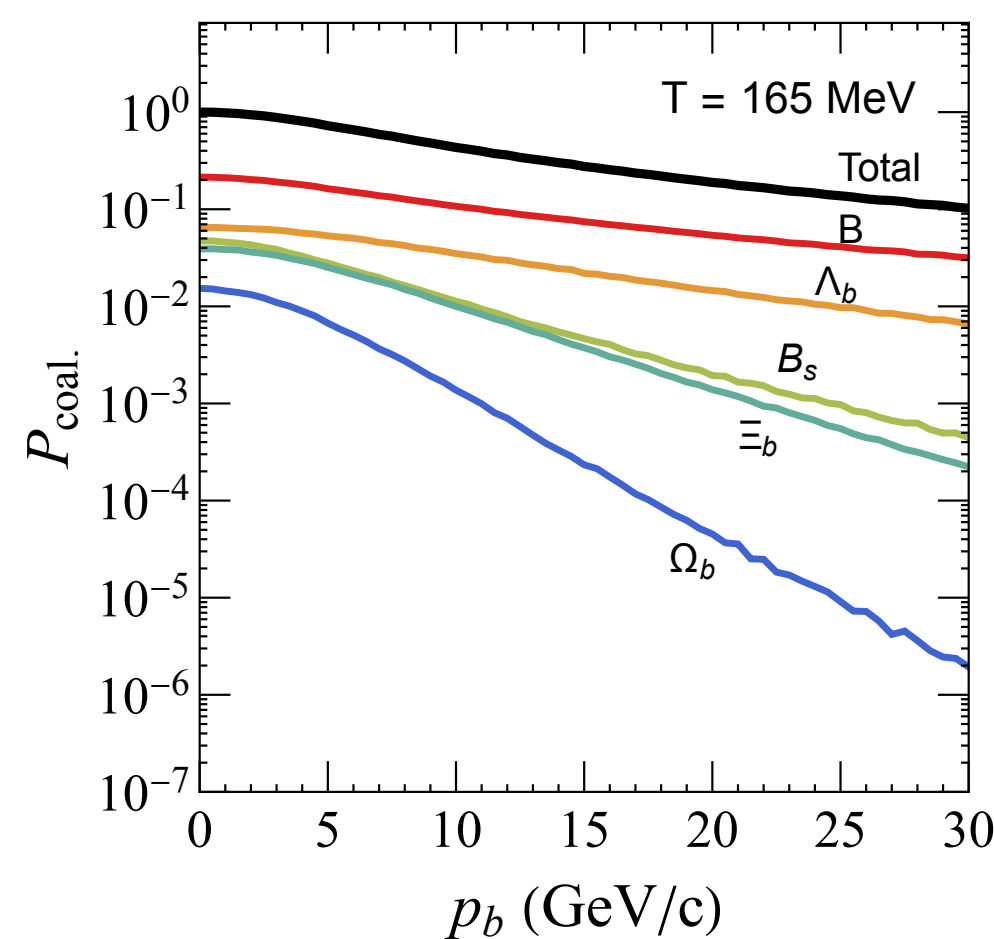
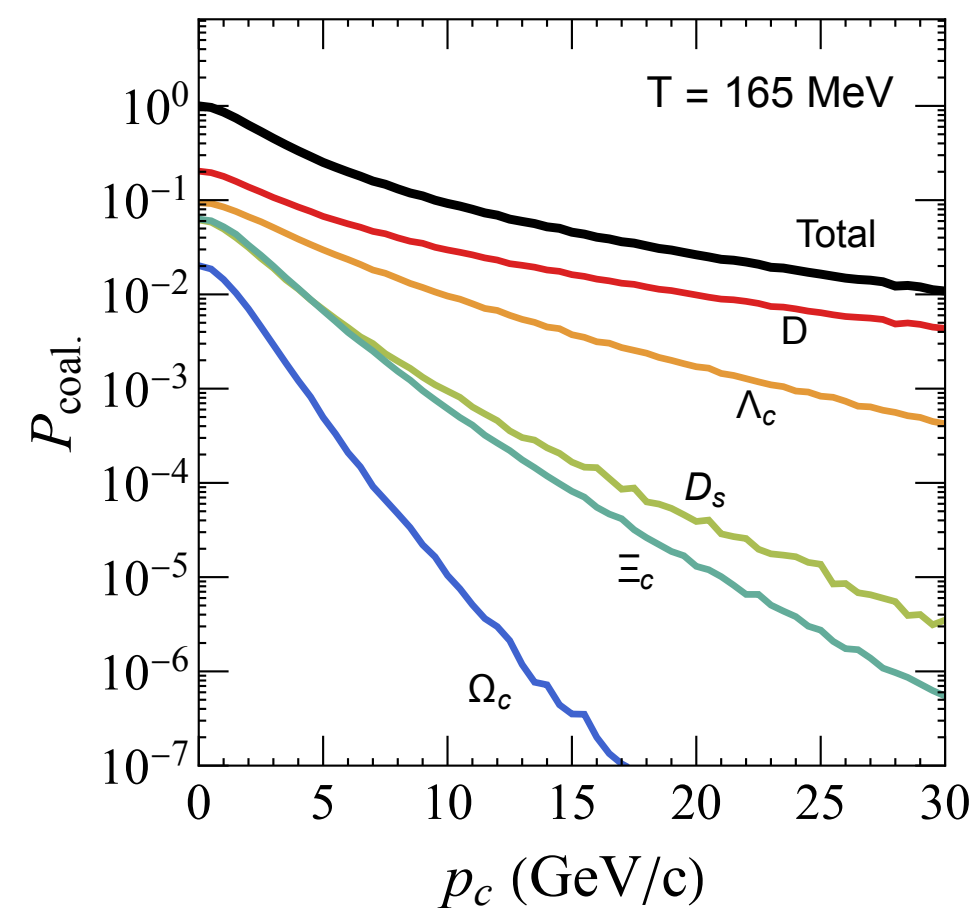
# Model comparison II

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- ❖ A big difference shows in these theoretical model but finally give a similar results and comparable with the exp. data. Because there are many other uncontrolled things, such as, QGP background, heavy quark energy loss, hadronic evolution,...
- ❖ With more and more precise data, it's still possible to study the mechanism of hadronization: simultaneous vs. sequential hadronisation; phase space recombination vs. momentum space recombination; excited states;...

# Bulid a unified framework

To combine the light with heavy, open heavy flavor with quarkonium!



→ **EPOS4**

**gives a good description of both charm and bottom hadrons production in pp & heavy ion collisions, central and peripheral collisions, RHIC and LHC energies!**

will be released soon! [JZ, J.Aichelin, P.B. Gossiaux, K.Werner, Phys.Rev.D 109 \(2024\) 5, 054011; Phys.Rev.C 110 \(2024\) 2, 024909; arxiv: 2407.20919.](#)



# Summary

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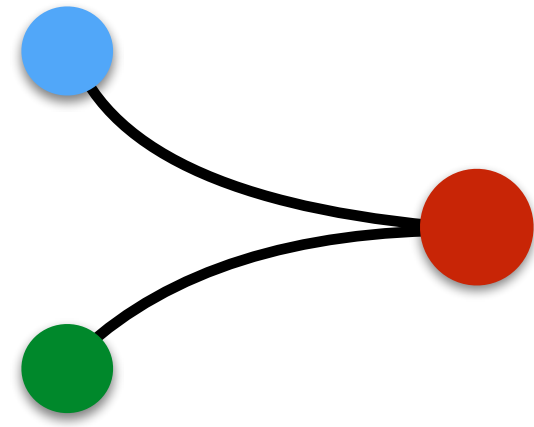
- ❖ Due to its non-perturbative nature, there is less first-principle input for heavy quark hadronisation, so it is very complicated and model-dependent. But it's very important and cannot be overlooked when studying other heavy flavor-related physics.
- ❖ A big difference shown in different hadronization models. We know where we are and how to improve based on the model comparison work.
- ❖ It is really worth combining the light and open heavy flavors and the quarkonium part together. Get constraints from all possible data to further the understanding.



Thanks for your attention!

backup

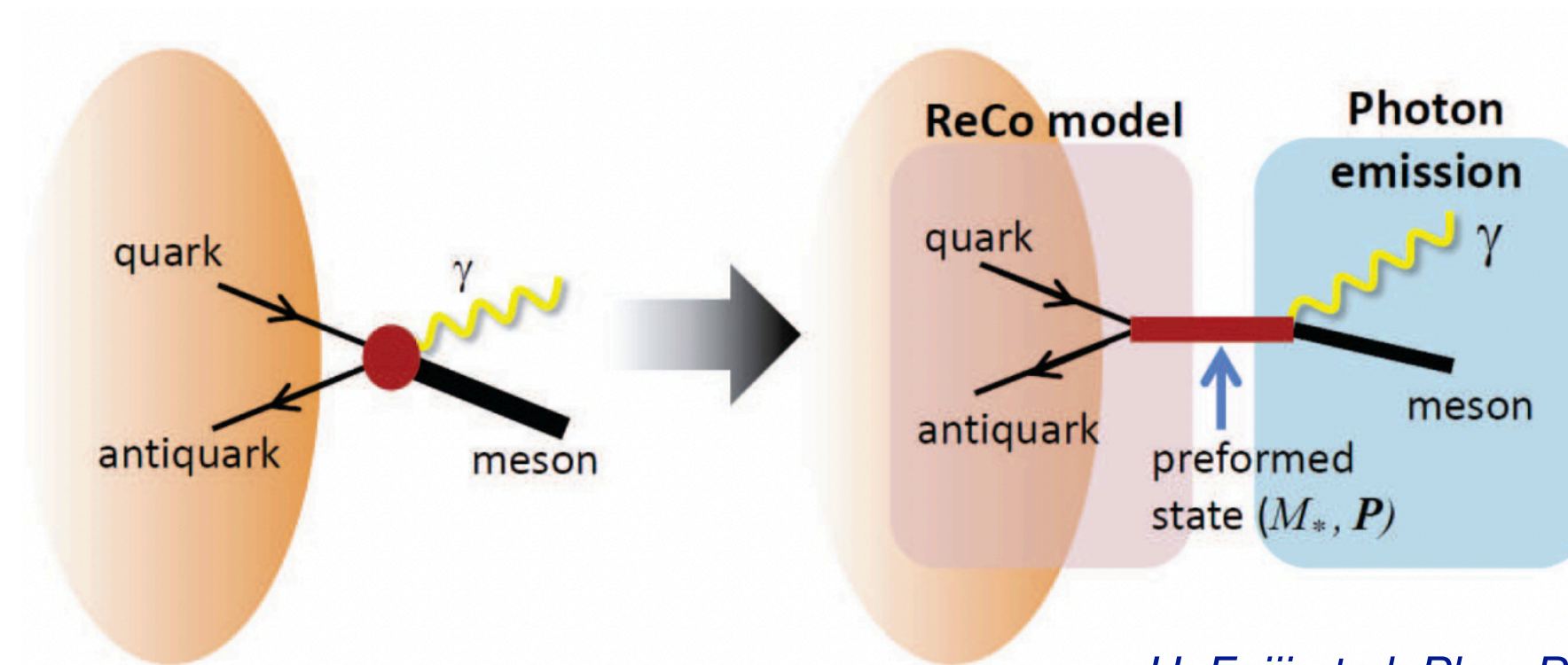
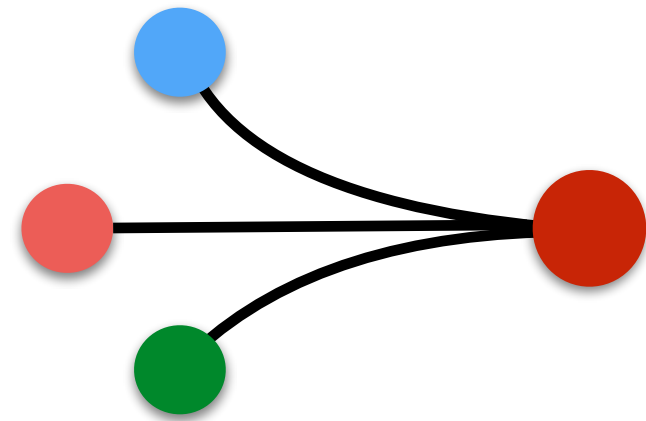
## 5. on-shell procedure



The four-momentum is not conserved !

The energy is not conserved in Coalescence model (it's satisfied in the TAMU model)

Physically, this off-shell hadron will emit gluons, **photons**, other light hadrons to become on-shell !



*H. Fujii et al, Phys.Rev.C 106 (2022) 3, 034906.*

In these heavy flavor models, there are two difference ways:

- 1, decay into an on-shell charmed hadron and a pion or photon *LBT, Torino*
- 2, modify the energy with the on-shell hadron mass and keep the 3-momentum unchanged.

*Catania, PHSD, EPOS4*

# EPOS4

## EPOS4: A Monte Carlo tool for simulating high-energy scatterings

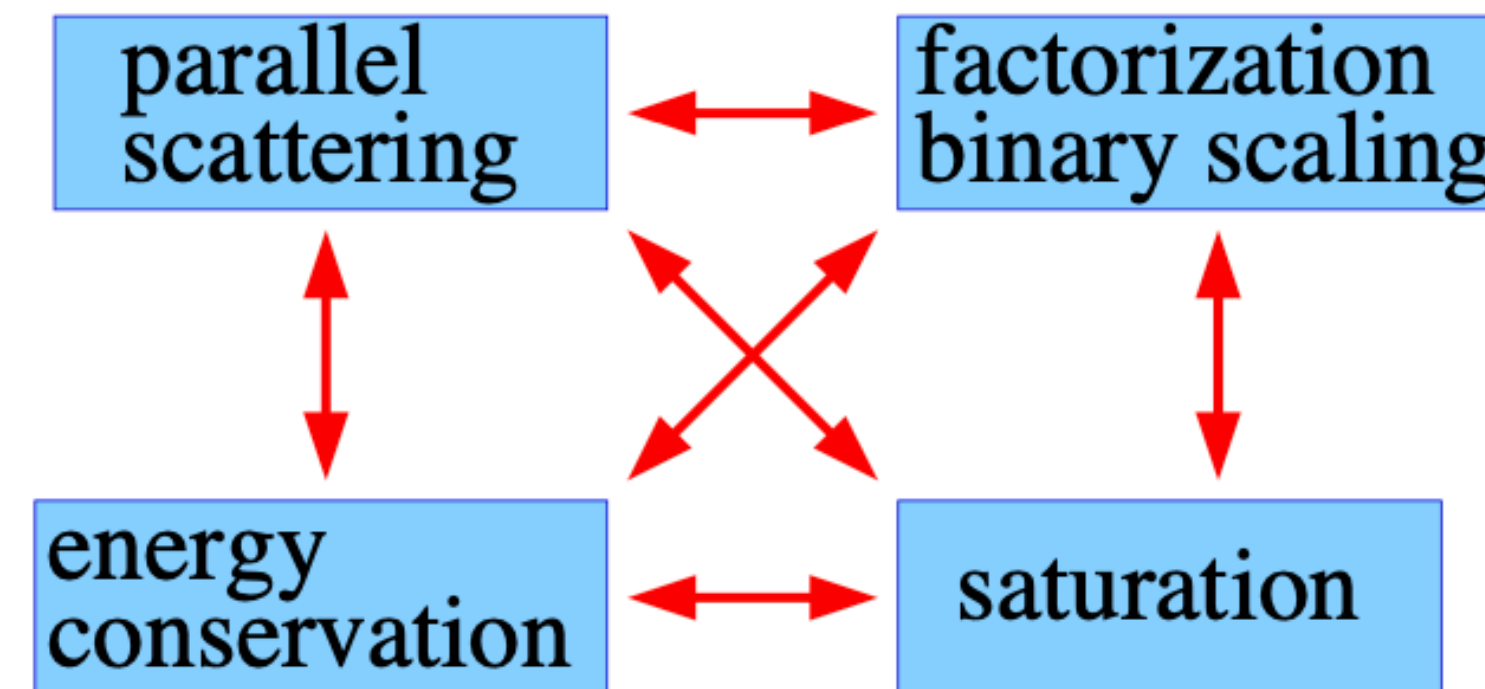
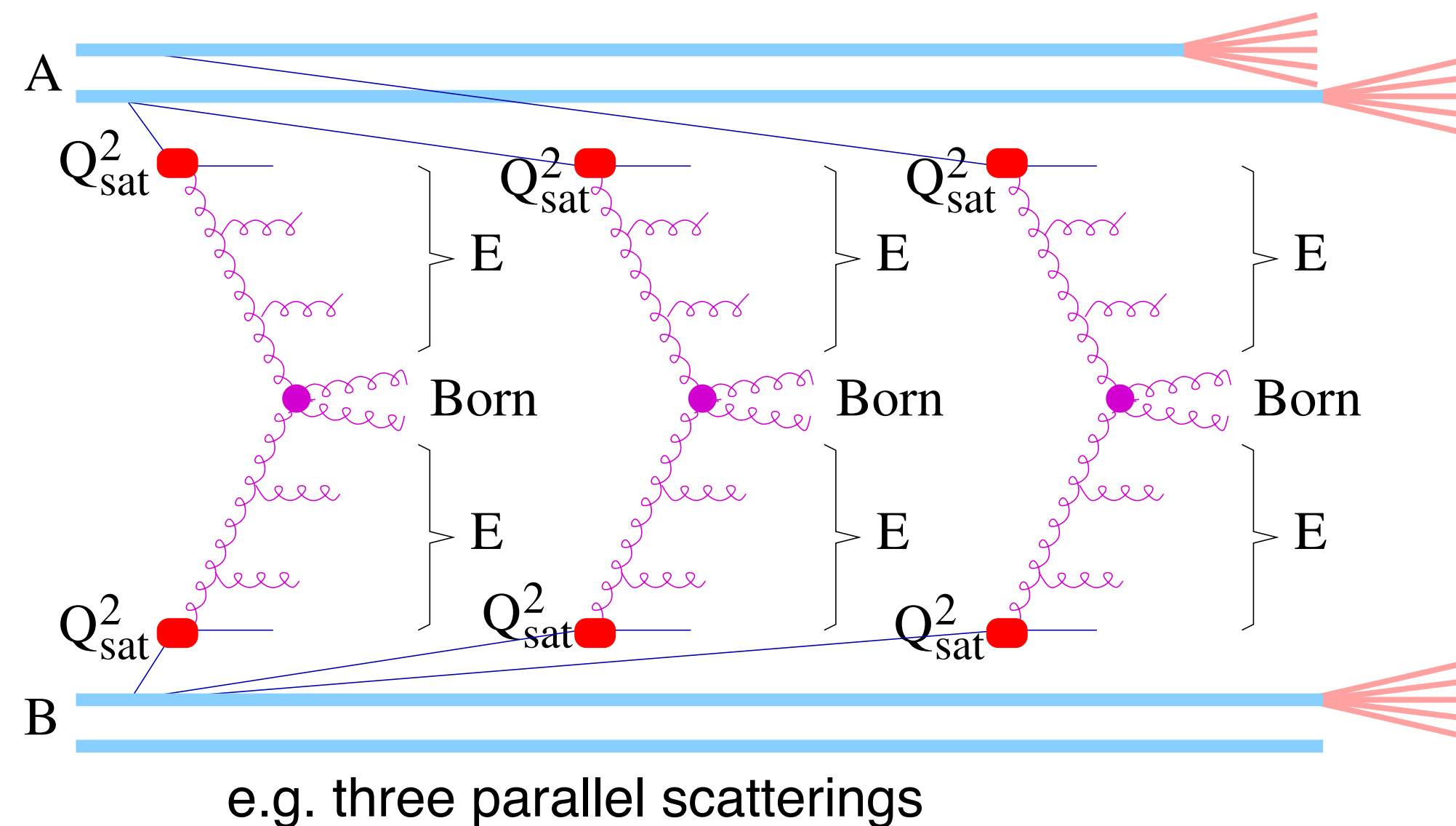
VENUS(1990) → NEXUS(2000) → EPOS1(2002) → EPOS2(2010) → EPOS3(2013) → EPOS4(2020)

An abbreviation of **E**nergy conserving quantum mechanical multiple scattering approach, based on **P**arton (parton ladders), **O**ff-shell remnants, and **S**aturation of parton ladders.

*K. Werner, PRC 108 (2023) 6, 064903*

*K. Werner, B. Guiot, PRC 108 (2023) 3, 034904*

*K. Werner, PRC 109 (2024) 1, 014910*



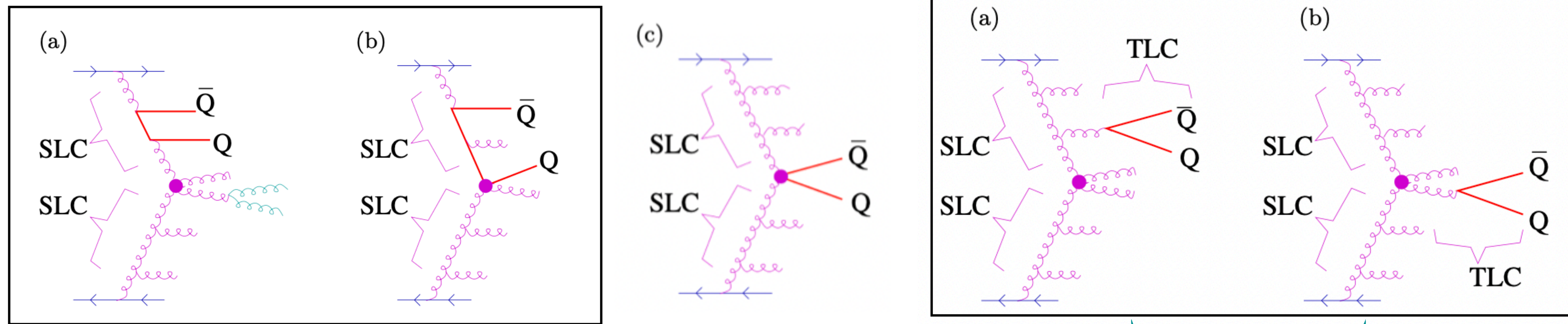
S-matrix theory (to deal with parallel scatterings happens in high energy collisions)

For each one we have a parton evolution according to the DGLAP .

**Consistently accommodate these four crucial concepts is realized in the EPOS4!**

# EPOS4: heavy quark production

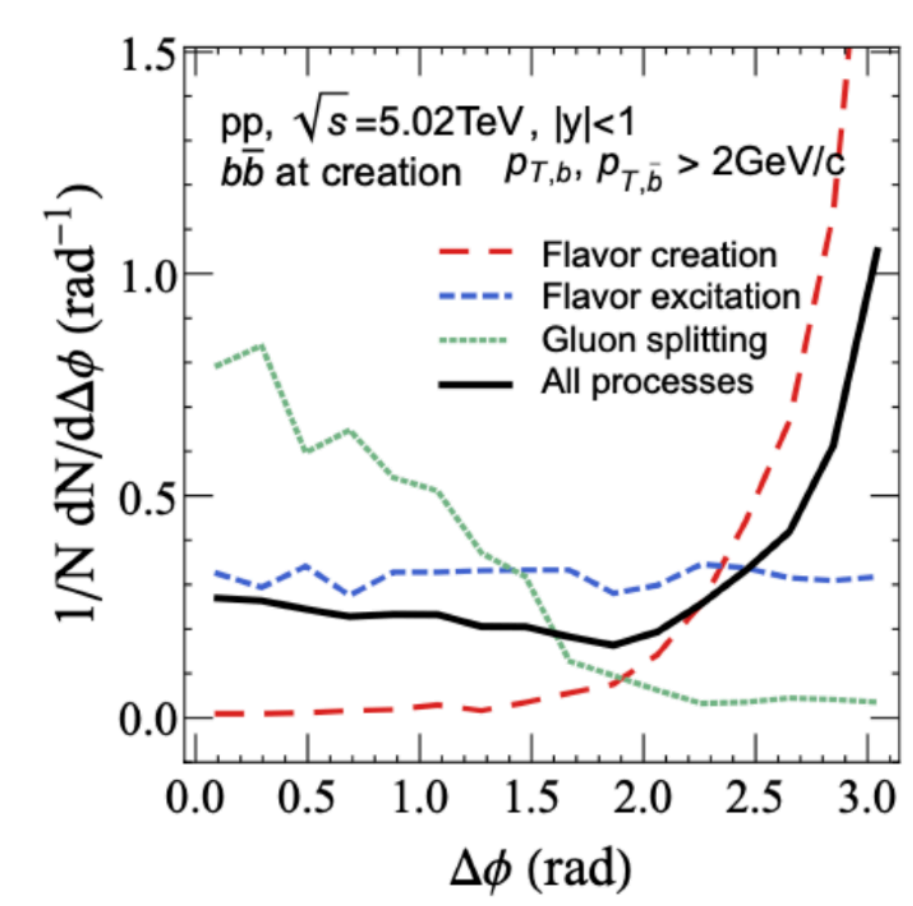
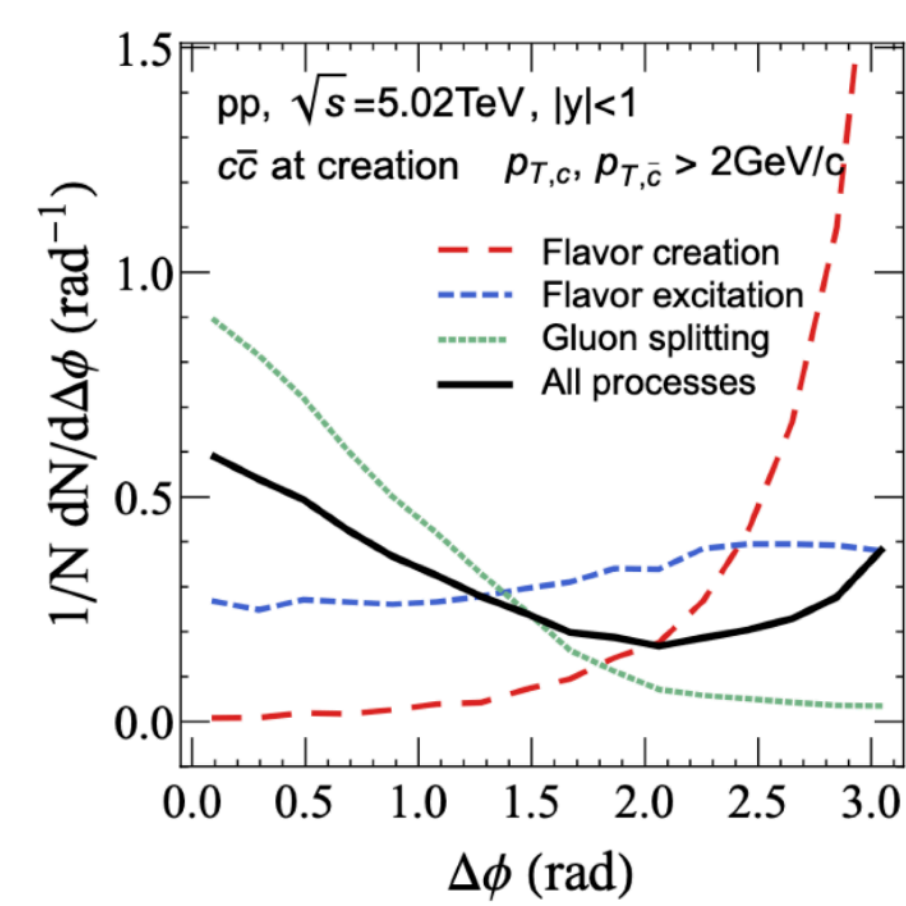
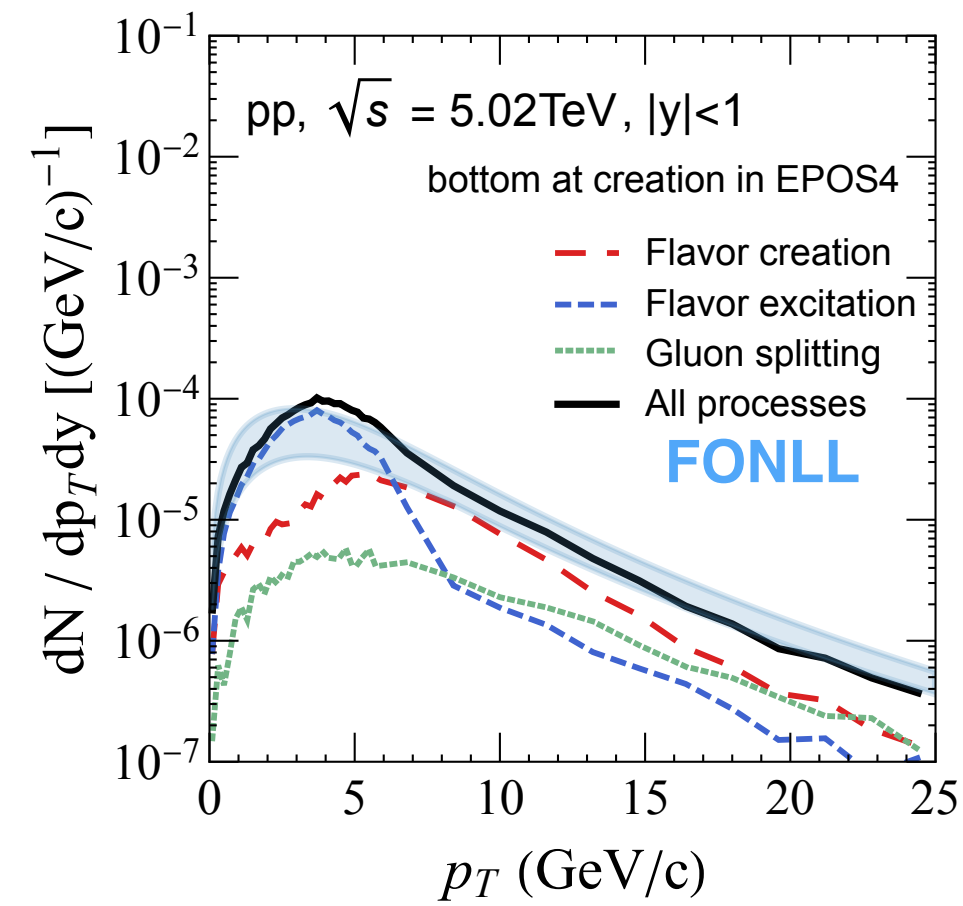
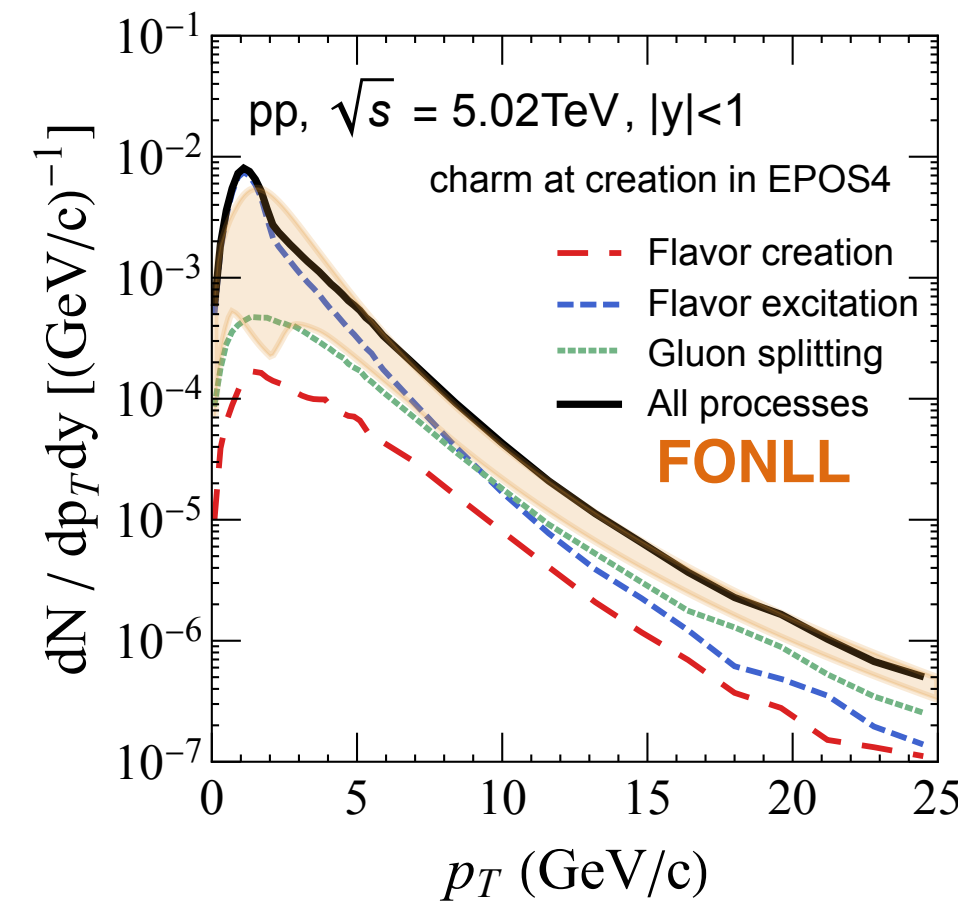
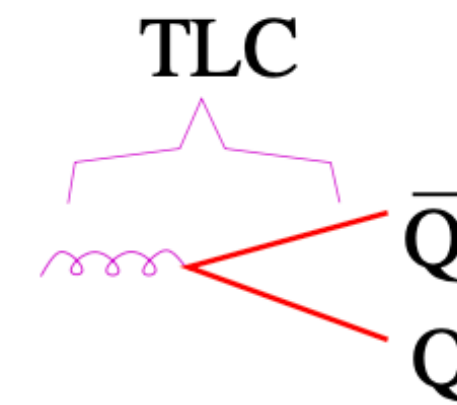
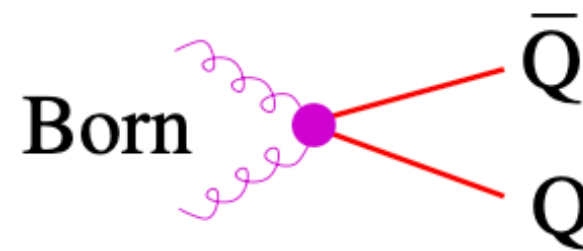
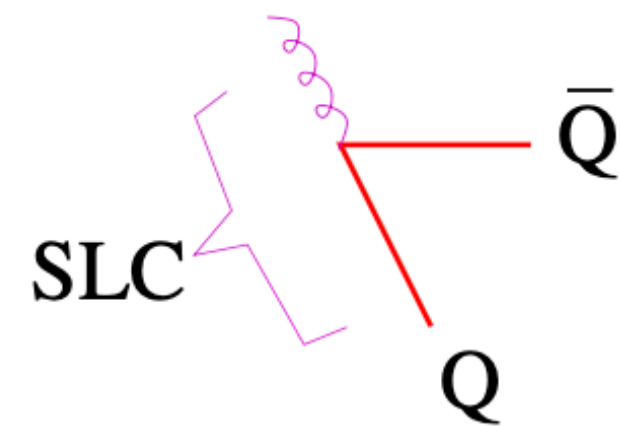
Heavy quarks are produced initially via:



(a)

(b)

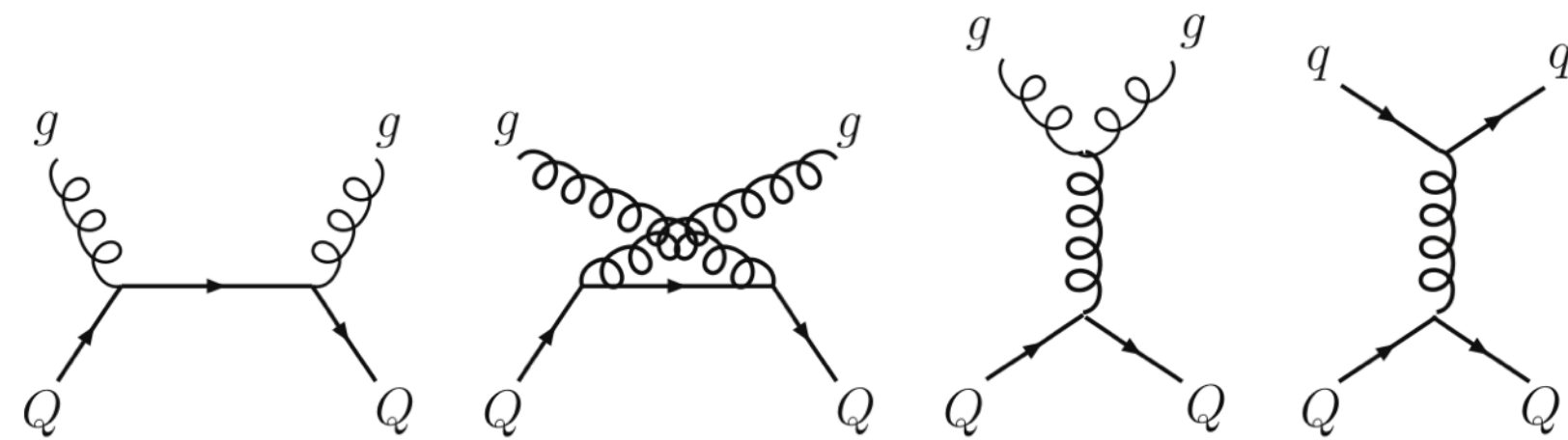
(c)



# EPOS4: heavy quark energy loss

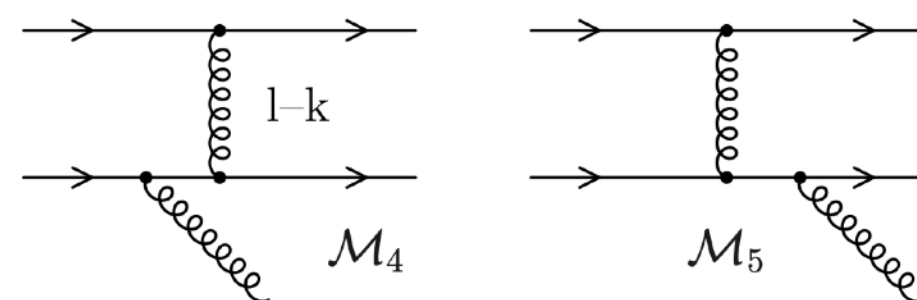
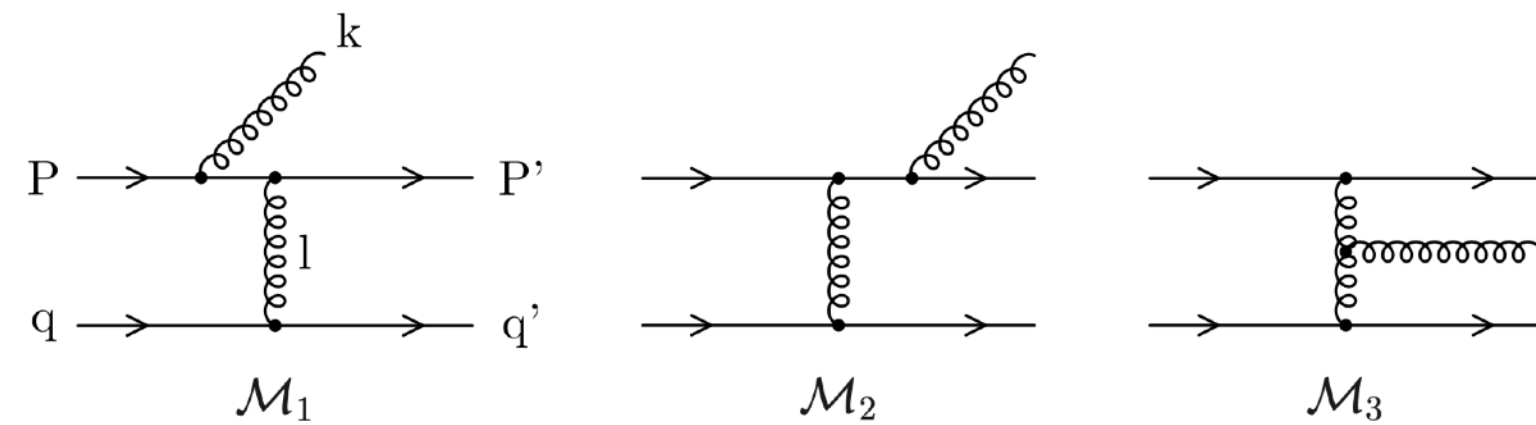
Heavy quark is treated as a Brownian particle and its evolution is described by the **Boltzmann equation**

Both collisional and radiative energy loss are included



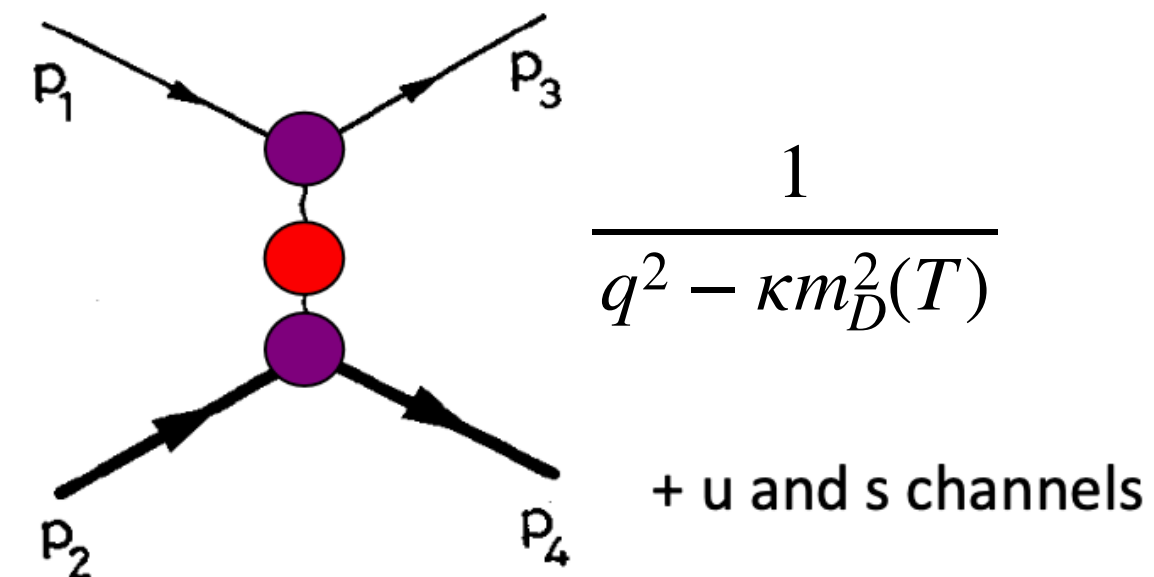
→ IR regulator  $\kappa m_D^2$ , where  $m_D$  given by HTL

→ Running coupling



→ Extension of Gunion-Bertsch approximation (massless and high energy)

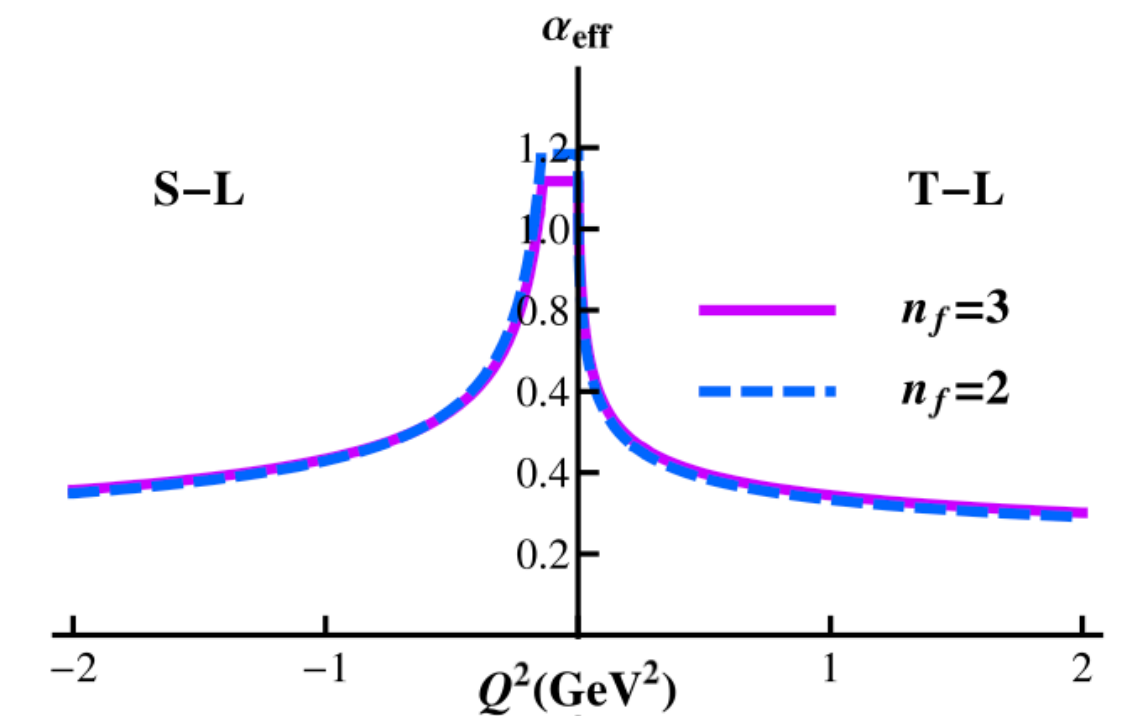
→ LPM effect for moderate gluon energy



$$m_D^2(T) = \left(1 + \frac{N_f}{6}\right) 4\pi\alpha_s T^2$$

$$\frac{d\sigma_{II}^{Qq \rightarrow Qgq}}{dx d^2k_t d^2\ell_t} = \frac{d\sigma_{el}}{d^2\ell_t} P_g(x, \vec{k}_t, \vec{\ell}_t) \Theta(\Delta).$$

$$P_g(x, \vec{k}_t, \vec{\ell}_t; M) = \frac{C_A \alpha_s}{\pi^2} \frac{1-x}{x} \left( \frac{\vec{k}_t}{\vec{k}_t^2 + x^2 M^2} - \frac{\vec{k}_t - \vec{\ell}_t}{(\vec{k}_t - \vec{\ell}_t)^2 + x^2 M^2} \right)^2.$$



$$\alpha \rightarrow \alpha_{\text{eff}}(Q^2) = \frac{4\pi}{\beta_0} \begin{cases} L_-^{-1} \\ \frac{1}{2} - \pi^{-1} \text{atn}(L_+/\pi) \end{cases} \quad \text{for } Q^2 \lesseqgtr 0,$$

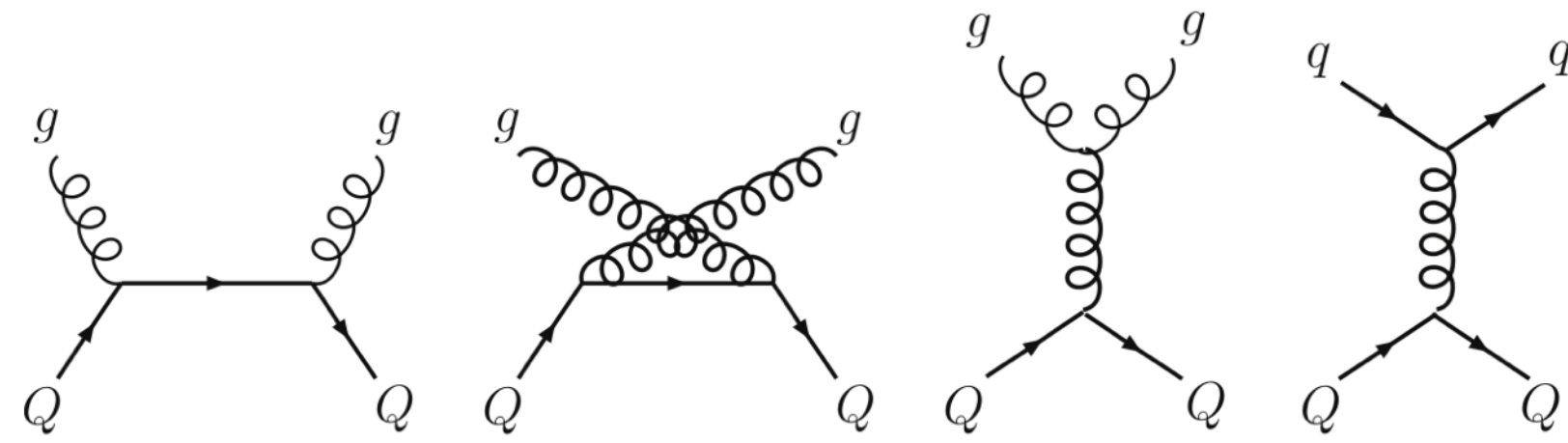
*P.B. Gossiaux, J. Aichelin, Phys.Rev.C 78 (2008) 014904.*

*J. Aichelin, P. B. Gossiaux, and T. Gousset, Phys. Rev. D 89, 074018 (2014)*

# EPOS4: heavy quark energy loss

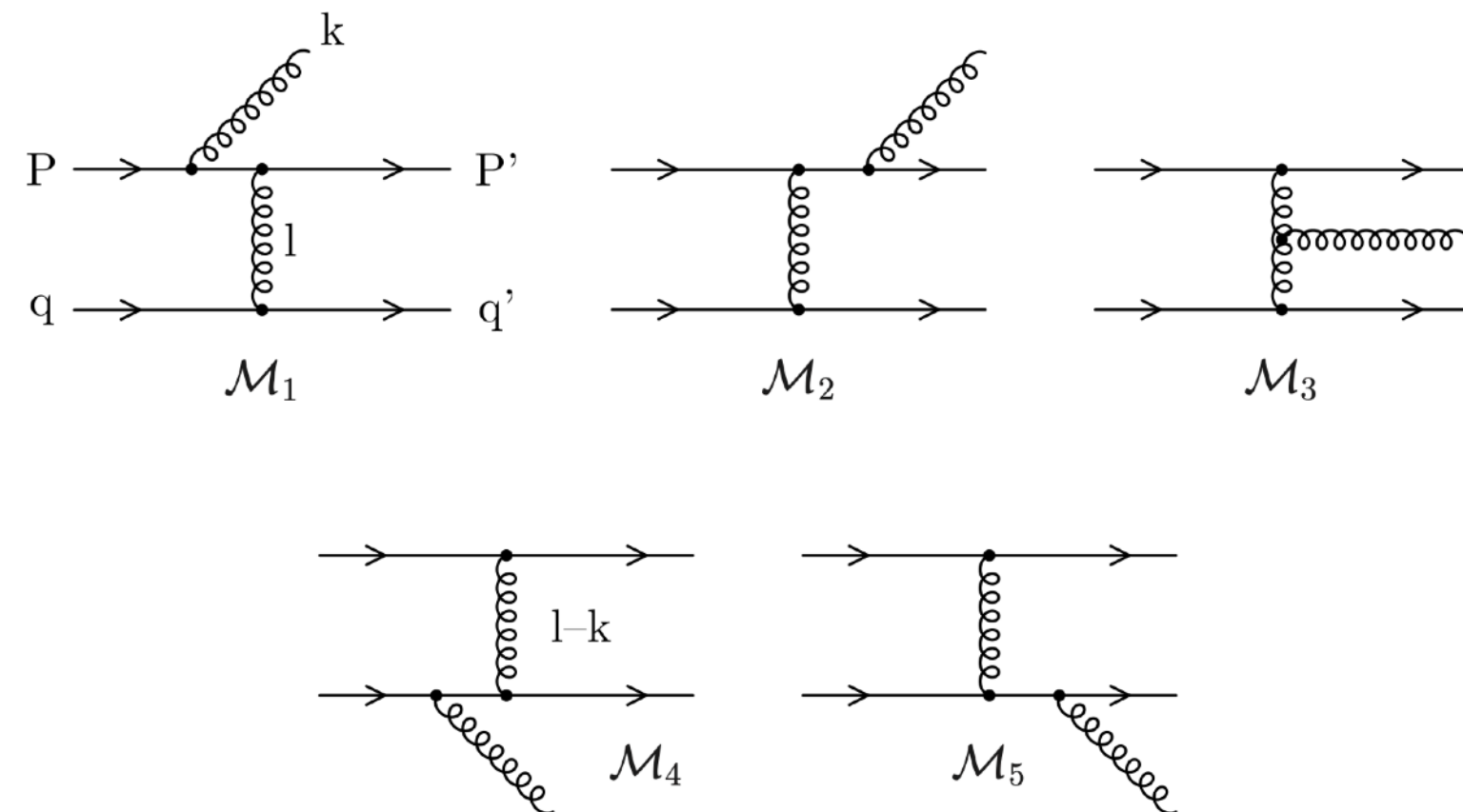
Heavy quark is treated as a Brownian particle and its evolution is described by the **Boltzmann equation**

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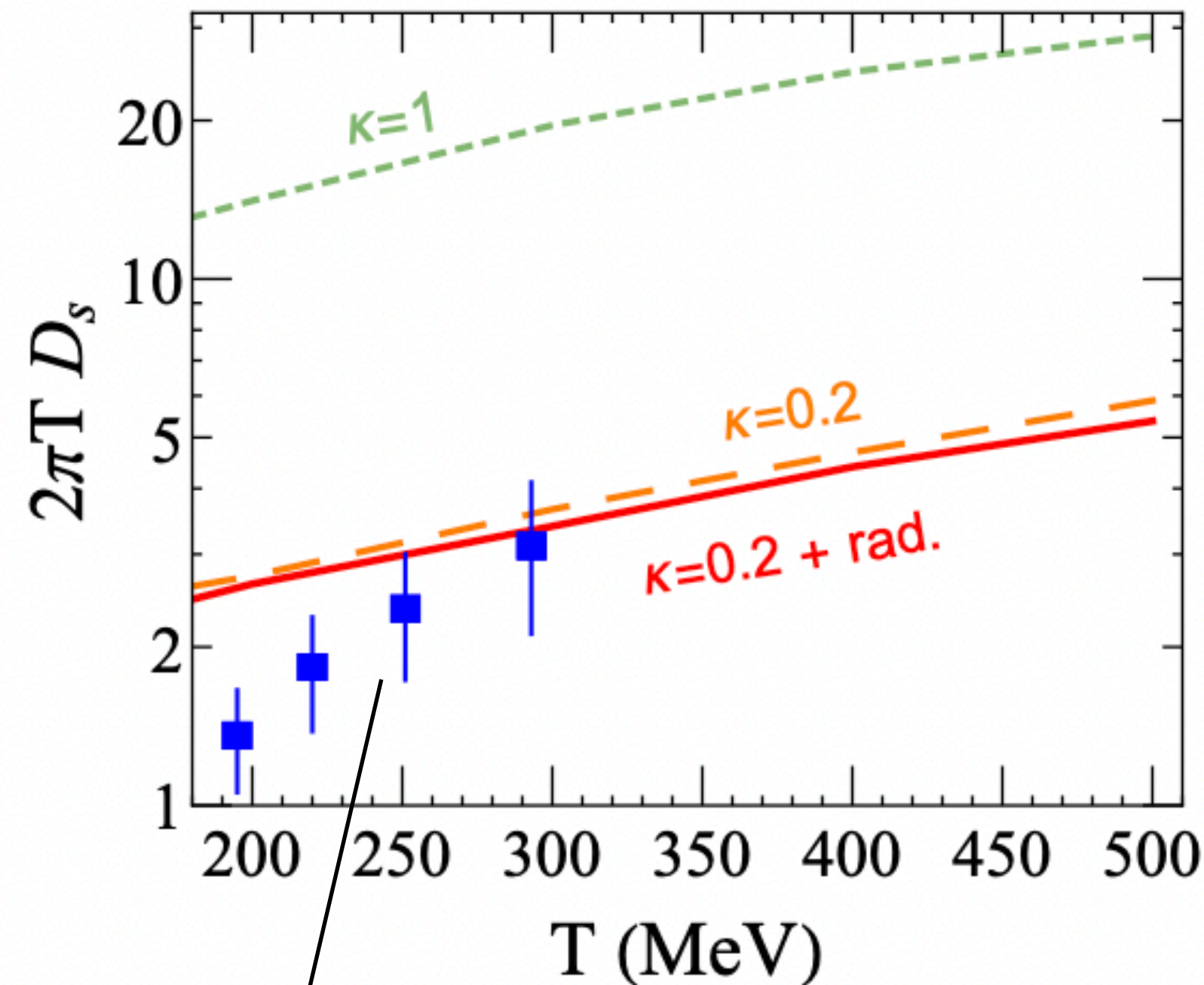
→ IR regulator  $\kappa m_D^2$ , where  $m_D$  given by HTL

→ Running coupling



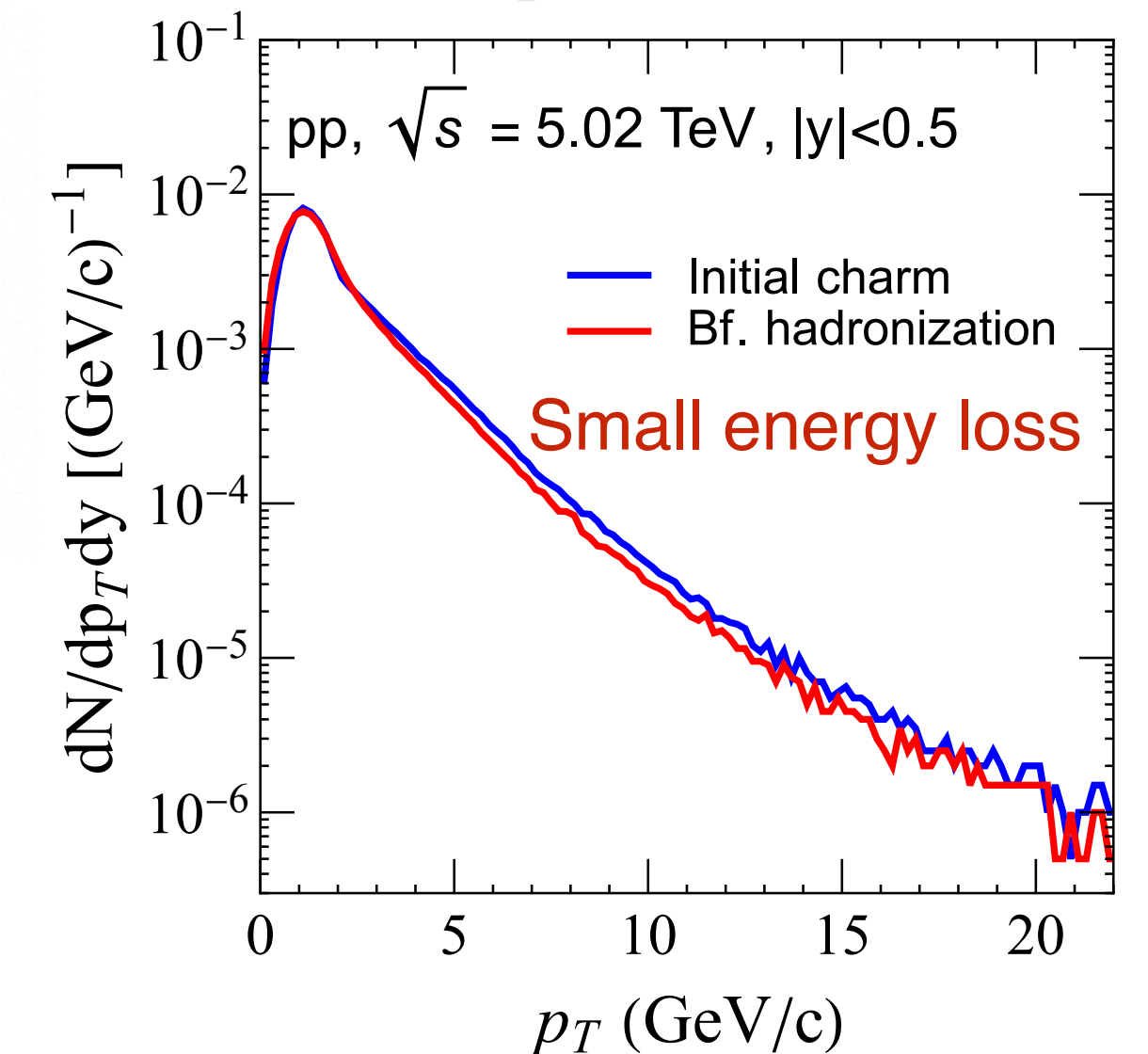
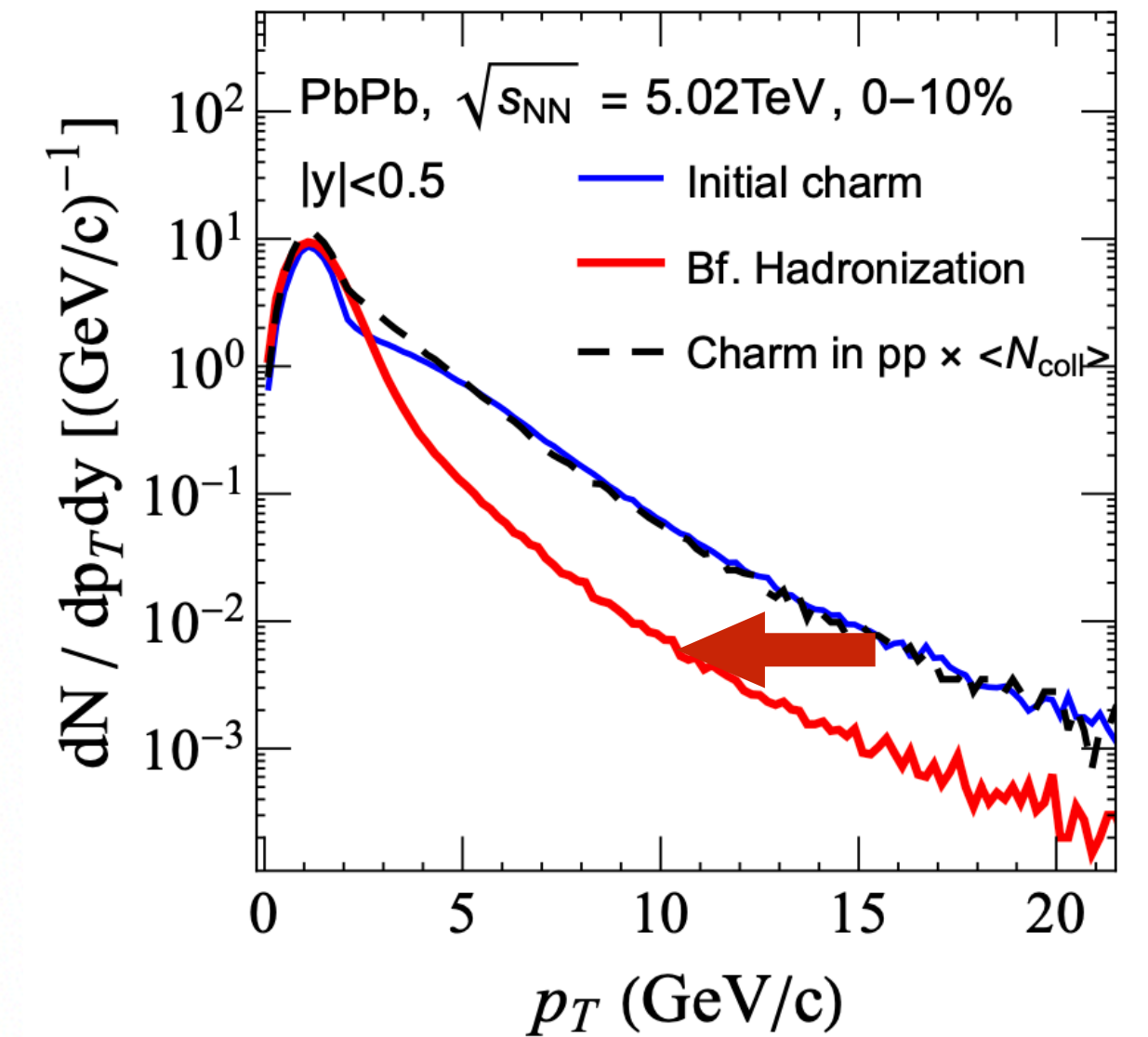
→ Extension of Gunion-Bertsch approximation (massless and high energy)

→ LPM effect for moderate gluon energy



Recent lattice results  
with dynamic quarks

*Olaf Kaczmarek et al, Phys.Rev.Lett. 130 (2023) 23, 231902*





## The Influence of bulk evolution models on heavy-quark phenomenology

#1

Pol Bernard Gossiaux (SUBATECH, Nantes), Sascha Vogel (SUBATECH, Nantes), Hendrik van Hees (Giessen U.), Joerg Aichelin (SUBATECH, Nantes), Ralf Rapp (Texas A-M, Cyclotron Inst. and Texas A-M) et al. (Feb, 2011)

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## Extraction of Heavy-Flavor Transport Coefficients in QCD Matter

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R. Rapp (Texas A-M and Texas A-M, Cyclotron Inst.)(ed.), P.B. Gossiaux (SUBATECH, Nantes)(ed.), A. Andronic (Darmstadt, EMMI and Munster U.)(ed.), R. Averbeck (Darmstadt, EMMI)(ed.), S. Masciocchi (Darmstadt, EMMI)(ed.) et al. (Mar 10, 2018)

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PHYSICAL REVIEW C **109**, 054912 (2024)

## Hadronization of heavy quarks

Jiaying Zhao <sup>1</sup>, Jörg Aichelin,<sup>1</sup> Pol Bernard Gossiaux,<sup>1</sup> Andrea Beraudo <sup>2</sup>, Shanshan Cao,<sup>3</sup> Wenkai Fan,<sup>4</sup> Min He,<sup>5</sup> Vincenzo Minissale <sup>6,7</sup>, Taesoo Song <sup>8</sup>, Ivan Vitev <sup>9</sup>, Ralf Rapp,<sup>10</sup> Steffen Bass <sup>4</sup>, Elena Bratkovskaya,<sup>8,11,12</sup> Vincenzo Greco <sup>6,7</sup> and Salvatore Plumari <sup>6,7</sup>