

Overview of experimental results on open heavy-flavour hadronization

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Workshop on Advances, Innovations, and Future Perspectives in High-Energy Nuclear Physics

Introduction

Heavy quarks are formed in initial hard scatterings, before hadronization

with cross sections that can be calculated with pQCD

→ "calibrated probes" of final-state effects, including hadronization, in all collision systems

LHC: heavy-flavour baryon formation not understood in hadronic collisions



Experimentally:

- event multiplicity, different collision systems
- different hadron species
 - lever arm to investigate hadronisation mechanisms

Recent review on the topic: Altmann, Dubla, Greco, AR, Skands arxiv 2405.19137

D,++

(cdd.cut

D0,4

(cu,cd)

strangeness content

D †



D- and B-meson cross sections and ratios

Precise measurements of prompt HF-meson cross sections

Test pQCD calculations of charm production relying on **factorisation** approach and assumption that **fragmentation functions** determined in e⁺e⁻ can be used in pp ("**universality**")

Yield-meson ratios compatible with e⁺e⁻ values

FONLL: JHEP 05 (1998) 007 GM-VFNS: PRD 101 (2020) 114021 *k*_T-fact: PRD 104 (2021) 094038





D- and B-meson cross sections and ratios

ALICE, JHEP 12 (2023) 086

Precise measurements of prompt HF-meson cross sections

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Including D_s⁺

FONLL: JHEP 05 (1998) 007 GM-VFNS: PRD 101 (2020) 114021 *k*_T-fact: PRD 104 (2021) 094038

Heavy-flavour baryons



PYTHIA with Lund string-fragmentation reproduces $c \rightarrow \Lambda_{c^{+}}$ "fragmentation fuction" in e⁺e⁻

pQCD-based models (GM-VFNS, POWHEG+PYTHIA6) strongly underestimate Λ_c^+ cross section

ALICE, PRC 104 054905 (2021) ALICE, PRL 127 202301 (2021) ALICE, PRC 107 (2023) 6, 064901



Λ_c^+/D^0 ratio higher (x4-5) values at low p_T than e^+e^- , ep

Significantly decreasing with $p_{\rm T}$, approaching e⁺e⁻ at high $p_{\rm T}$

Recently extended down to $p_{T} = 0$ at 5 and 13 TeV

	$\Lambda_c^+/D^0\pm stat.\pm syst.$	System	\sqrt{s} (GeV)	Notes
ALICE	$0.51 \pm 0.04 \pm 0.04 {}^{+0.01}_{-0.02}$	pp	5020	$p_{\rm T} > 0, y < 0.5$
ALICE	$0.43 \pm 0.03 \pm 0.05 \substack{+0.05 \\ -0.03}$	p–Pb	5020	$p_{\rm T} > 0, -0.96 < y < 0.04$
CLEO [16]	$0.119 \pm 0.021 \pm 0.019$	e^+e^-	10.55	
ARGUS [15, 17]	0.127 ± 0.031	e ⁺ e ⁻	10.55	
LEP average [18]	$0.113 \pm 0.013 \pm 0.006$	e ⁺ e ⁻	91.2	
ZEUS DIS [21]	$0.124 \pm 0.034 \substack{+0.025 \\ -0.022}$	e ⁻ p	320	$1 < Q^2 < 1000 \ {\rm GeV^2}, \label{eq:pt}$ $0 < p_{\rm T} < 10 \ {\rm GeV}/c, \ 0.02 < y < 0.7$
ZEUS γp, HERA I [19]	$0.220 \pm 0.035 \substack{+0.027 \\ -0.037}$	e ⁻ p	320	$130 < W < 300 \text{ GeV}, Q^2 < 1 \text{ GeV}^2,$ $p_{\text{T}} > 3.8 \text{ GeV}/c, \eta < 1.6$
ZEUS γp, HERA II [20]	$0.107 \pm 0.018 \substack{+0.009 \\ -0.014}$	e ⁻ p	320	$130 < W < 300 \text{ GeV}, Q^2 < 1 \text{ GeV}^2,$ $p_{\text{T}} > 3.8 \text{ GeV}/c, \eta < 1.6$

Similar values at LEP, B-factories, and ep: different $c\overline{c}$ production processes, very different jet energies

6

ALICE, PRC 104 054905 (2021) ALICE, PRL 127 202301 (2021) ALICE, PRC 107 (2023) 6, 064901



Not described by

PYTHIA 8 Monash

pQCD-based calculations

relying on **factorisation** approach and **universality** of fragmentation functions, which work well for mesons

Hadronisation not a universal process

Fragmentation:

either fragmentation (functions) not universal or not enough, other mechanisms needed

Is it surprising?

Beam remnants, quantum-number conservation, kinematic limitations



Leading-particle effect: c quarks can combine with pion valence quark \rightarrow Slightly more D⁻ than D+ with harder $x_{\rm F}$

... it surprised us, especially the size of the effect. But we did not expect fragmentation to always hold...

Nucleus-nucleus: recombination expected, needed to explain D meson data in Pb-Pb collisions expected to also alter particles abundances



Fig. from Altmann, Dubla, Greco, AR, Skands arxiv 2405.19137

ALICE, PRC 104 054905 (2021) ALICE, PRL 127 202301 (2021) ALICE, PRC 107 (2023) 6, 064901



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Hadronisation not a universal process already in pp, with large and not understood differences w.r.t. e⁺e⁻

Fragmentation:

either fragmentation (functions) not universal or not enough, other mechanisms needed

How does it evolve across systems from e⁺e⁻ to AA? What does regulate its modification? In which regimes does fragmentation dominate? Which models/mechanisms can better describe the data?



Not described by

- PYTHIA 8 Monash
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5 In which regimes does fragmentation dominate?

Which models/mechanisms can better describe the data?



ALICE, PRC 104 054905 (2021)

No evidence of dependence on collision energy







Data described by:

PYTHIA 8 with String Formation beyond Leading Colour

(JHEP 1508 (2015) 003)

Catania model: "sudden" coalescence (Wigner function) + "vacuum" fragmentation (PLB 821 (2021) 136622)

QCM: quark **recombination** model based on "equal quark-velocity" coalescence (EPJC 78, 2018 4, 344)

 Λ_{c}^{+}



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SHM+RQM, PLB 795 117-121 (2019): no info on partonic phase Hadron abundances ← Statistical Hadronisation Model + feed-down from augmented set of charm-baryon states (from Relativistic Quark Model)

 \rightarrow PDG: 5 Λ_c , 3 Σ_c , 8 Ξ_c , 2 Ω_c

 \rightarrow RQM: additional 18 $\Lambda_c,$ 42 $\Sigma_c,$ 62 $\Xi_c,$ 34 Ω_c





Many new states (being) discovered at the LHC

.. but not hundreds so far

om

Beauty baryons in pp collisions: LHCb results



Similar trend in beauty and charm sector, discovered even earlier for beauty - LHCB: PRD 85, 032008 (2012), PRD100 (2019) no.3, 031102, also observed by CDF (see backup)

Ratio of p_{T} -integrated yields: "turn-on" curve at low multiplicity than flat trend LEP values recovered at hight p_{T} and at low multiplicity: region of fragmentation dominance? SHM+RQM and EPOS4HQ+coal better describe the data

Λ_{c}^{+}/D^{0} from pp to central AA: p_{T} -integrated

ALICE, PRC 104 054905 (2021), PRL 127 202301 (2021), PLB 829 (2022) 137065, PLB 839 (2023) 137796



No evidence of evolution of p_{T} -integrated Λ_{c}^{+}/D^{0} ratio despite strong modification of p_{T} -differential trend (see later)

Data uncertainty large

Significantly higher values than e⁺e⁻

STAR Au-Au data compatible with ALICE

PYTHIA 8 CR-BLC expects increase with multiplicity SHMc (Pb-Pb): flat trend below data SHMc, JHEP 07 035 (2021 Note: no additional RQM high-mass baryons TAMU, Catania: similar values in pp and Pb-Pb TAMU, PRL 124, 4 (2020) 042301; Catania, EPJC 78 4 (2018) 348

Lowest multiplicity still to be covered: will recover e⁺e⁻ as in beauty case?

 \rightarrow more precise measurements from LHC new runs awaited

Not just a matter of how many particles

Typical charged particle multiplicities probed, $<dN_{ch}/d\eta>(\eta=0)$:

	Low mult.	Min. bias	High mult.
pp (13 TeV)	~4.5	~7 (INEL>0)	~32 (~0.5% of events)
p–Pb (5.02 TeV)	~7	~17 (NSD)	~42 (~5% of events)
Pb–Pb (5.02 TeV)		~415 (30-50% centrality)	~1760 (0-10% centrality)

Multiplicities in e⁺e⁻ at LEP not that low (high-energy jets) Can overlap with pp ones

Intrinsic difference: MPI in pp, only 1 scattering in $e^+e^ \rightarrow$ comparison of min. bias pp vs. e^+e^- very sensitive to MPI





Evolution with event activity: Λ_c^+/D^0 and D_s^+/D^0 vs. p_T in pp



D_s⁺/D⁰ independent on charged-particle multiplicity

 Λ_c^+/D^0 increases with multiplicity at midrapidity Trends qualitatively reproduced by PYTHIA 8 with CR-BLC \rightarrow interplay of Color Reconnection (CR) and MPI

Canonical Ensemble-SH (+ RQM baryons) catches Λ_c^+/D^0 **but not** D_s^+/D^0 : ratios decrease at low multiplicity from baryon and strangeness number conservation in smaller volume

ALICE, PLB 829 (2022) 137065 PYTHIA8: Monash, EPJ C74 (2014) 3024, CR-BLC JHEP 1508 (2015) 003 CE-SH, PLB 815 (2021) 136144

Λ_{c}^{+}/D^{0} vs. p_{T} in pp, p–Pb, Pb–Pb

ALICE, PRC 104 054905 (2021), PRL 127 202301 (2021), PRC 107 (2023) 064901



- Push (flow?) towards higher p_T of Λ_c^+/D^0 from (min bias) pp to p–Pb, described by QCM PRC 97 064915 (2018)
- Similar values in high-mult. pp, low- and high-mult p–Pb
 - \rightarrow very low multiplicity pp "isolated", ~threshold effect?

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ALICE, PRC 104 054905 (2021), PRL 127 202301 (2021), PRC 107 (2023) 064901



- Push (flow?) towards higher p_T of Λ_c^+/D^0 from (min bias) pp to p–Pb, described by QCM PRC 97 064915 (2018)
- Similar values in high-mult. pp, low- and high-mult p–Pb, peripheral and semicentral Pb–Pb
 → very low multiplicity pp "isolated", ~threshold effect?
- Central Pb–Pb: "radial-flow"-like peak appearing at intermediate p_T, which could be caused by recombination of charm with flowing light quarks

Constraining hadronization in the medium

 Λ_c^+ **D**⁰ evolution with p_T and centrality **described by models with recombination** Partly also by SHM

Indication higher prompt D_s^+/D^0 in central ______Pb-Pb than in pp collisions at intermediate p_T

Non-prompt D_s^+ (ALICE), B_s^0 (CMS): limited precision and momentum coverage though already telling

→ look forward to Run 3 measurements



Different baryon species



Particle	Mass (GeV/c²)		
D ⁰	1.865		
D+	1.870		
D_s^+	1.968		
${\Lambda_{c}}^{+}$	2.286		
Σ _c ^{0,++}	2.454		
Ξ_{c}^{0}	2.470		
E _c ⁺	2.468		
$\mathbf{\Omega}_{c}^{0}$	2.695		



$$\begin{split} & \boldsymbol{\Sigma}_{c} \text{ states suppressed w.r.t. } \boldsymbol{\Lambda}_{c} \text{ states} \\ & \text{In string fragmentation: from heavier diquark-} \\ & \text{antidiquark pair from vacuum} \\ & \boldsymbol{\Lambda}_{c} \text{ (isospin = 0) needs spin = 0 diquark (ud)}_{0} \\ & \boldsymbol{\Sigma}_{c} \text{ (isospin = 1) needs spin = 1 diquark (ud,duu)}_{1}, \\ & \text{ which is heavier than (ud)}_{0} \end{split}$$

 $\Lambda_c^+ \leftarrow \Sigma_c^{0,+,++}$ feed-down about twice larger than in e⁺e⁻ $\rightarrow \Sigma_c^{0,+,++}$ "enhancement" larger than Λ_c^+ one

 $\rightarrow \Sigma_c{}^{0,+,++} \text{produced differently in pp than e^+e^-}$

 \rightarrow suppression from (ud,dd,uu)₁ diquark creation reduced/absent, as comparison to models suggests



PRL 127 (2021) 272001



Similar trend than Λ_c^+/D^0

Even larger increase w.r.t. PYTHIA 8 Monash and e⁺e⁻

PYTHIA 8 with CR-BLC and **SHM+RQM** expect significant larger values than e⁺e⁻ but underestimate the data

Catania, POWLANG and QCM expectation close to data

POWLANG (PRD 109, L011501): local colour-neutralization mechanism 1) HQ recombined with light quark/diquarks from thermal source 2) Cluster decay or string fragmentation of the pair, depending on the pair mass

Large branching ratio (BR) uncertainty

Run 3 data will allow to reduce experimental uncertainty

$\equiv_{\rm c}{}^{0,+}/{\sf D}{}^0$ and $\Omega_{\rm c}{}^0/{\sf D}{}^0$ baryon-to-meson ratios



PLB 846 (2023) 137625



Unknown BR prevents from concluded High sensitivity to model details

Baryon-to-baryon: $\Xi_c^{0,+}$ and $\Sigma_c^{0,++}$



Differently to Ξ_c/D^0 , $D_s^+/(D^0+D^+)$ (prompt and non-prompt) compatible with expectations from e⁺e⁻

 $\Xi_c^{0,+}/\Sigma_c^{0,+,++}$ ratio close to default PYTHIA8, which strongly underestimates their production \rightarrow similar suppression in e⁺e⁻? Related to diquark rather than quarks? (note mass of spin-1 (dd,ud,uu)₁ diquarks might be similar to spin-0 (us,ds)₀ diquarks)

Fragmentation fractions: pp vs. e⁺e⁻ collisions

ALICE, ALICE, JHEP 12 (2023) 086

→ C. Terrevoli's talk



Calculated from sum of cross sections of weakly decaying hadrons

Values for mesons significantly lower than in e⁺e⁻

About 30-40% of charm quarks hadronise to baryons

No evidence of energy dependence

Lower p_{T} reach expected with Run 3 data will allow to further reduce extrapolation uncertainties

ALI-PUB-546222

Evolution with event activity in pp and p–Pb: $\Xi_c^{0,+}$



- Precision not enough to conclude about multiplicity trend of $\Xi_c^{0,+}/D^0$ in pp \rightarrow Run 3 data needed
- p–Pb: similar push towards higher p_T observed for Λ_c^+/D^0 and $\Xi_c^{0,+}/D^0$ ratios
 - Similar nuclear modification factor (R_{pPb})
 - Described by QCM within uncertainties QCM: PRC 97 064915 (2018)

Rapidity dependence

Rapidity dependence



Possible dependence on rapidity of Λ_c^+/D^0 ? To be revisited with run 3 data (also in pp)

Beauty: non-prompt Λ_c^+ ALICE data consistent with LHCb Λ_b^0 data $\rightarrow \log p_T$ region to be explored with run 3 data

What should we expect in coalescence models and SHM?

ALICE, JHEP 04 (2018) 108 ALICE, PRC 104 054905 (2021) LHCb (p-Pb), JHEP 02 102 (2019) ALICE, beauty: <u>arxiv 2308.04873</u> LHCb, beauty: PRD100 (2019) no.3, 031102

Rapidity dependence



Possible dependence on rapidity of Λ_c^+/D^0 ? To be revisited with run 3 data (also in pp)

• May apply also to $\Xi_c^{0,+}/D^0$

Beauty: non-prompt Λ_{c}^{+} ALICE data consistent with LHCb Λ_{b}^{0} data $\rightarrow \log p_{T}$ region to be explored with run 3 data

What should we expect in coalescence models and SHM?

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Jets and correlations



- Jets: indication of softer fragmentation $c \rightarrow \Lambda_c$ than $c \rightarrow D$
- Coherent with higher associated yield in the nearside of Λ_c⁺ hadron azimuthal correlations w.r.t. D-hadron
 ... away side surprisingly high!!! No straightforward explanation

Higher-mass states + decay kinematics? Production process? Do Λ_c^+ come on average from higher- p_T jets?

Summary: HF hadronisation in our QCD laboratories

Hadronization universality violated already in pp collisions Multiple parton interactions in pp build a system rich of quarks or gluons, dense enough to alter hadronisation w.r.t. e⁺e⁻

pp not far from vacuum - many independent scatterings (for HF at least) MPI, system size A-A Dense, extended-size system Equilibrium Flow (Semi)phenomenological models sufficient to describe relative particle abundances 36 once ingredients are tuned?

Dynamical model "Local" dynamical constraints (e.g. Lund string fragmentation, quarks and diquarks popping out from QCD potential)

"vacuum'



Summary: HF hadronisation in our QCD laboratories

From experimental side, quite clear picture emerged, general trends established

Main open points:

- Explain $\Xi_c^{0,+}$, $\Omega_c^{0,+}$ baryons and D_s + mesons in a coherent picture
- Rapidity puzzle
- HF jets and correlations (to be revisited with improved experimental precision)

Consolidation of emerging picture to better constrain models

- More precise and p_{T} differential measurements **down to** $p_{T} = 0$
- Especially for $\Xi_c^{0,+}$, $\Omega_c^{0,+}$ and $\Sigma_c^{0,++}$
- Cover low multiplicity for charm

Spectroscopy: measure production of higher-mass states and search for new ones

Understand role of diquarks

Further information expected from B_c, tetra and pentaquark-candidate states, and multi-charm



$\Lambda_{\rm b}^{0}$ from LEP to Tevatron

Before CDF measurement in ppbar collisions, the world average of the ratio $\Lambda_{\rm b}$ to (B⁺ + anti-B⁰) ratio was dominated by LEP data (DELPHI, Z. Phys. C 68, 375 (1995), Eur. Phys. J. C 2, 197 (1998)) in Z-boson hadronic decays

> $\frac{f_{\Lambda_b}}{f_{\Lambda_b}} \sim 0.125 \pm 0.042$ $f_{\mu} + f_{J}$

> > 34.0 ± 2.1

 10.1 ± 1.5

 21.8 ± 4.7

S. Eidelman et al, PDG, PLB 592 1 (2004)

CDF found a higher value (~0.28, 2.3 σ higher) in ppbar collisions at \sqrt{s} =1.96 TeV (PRD 77 072003 (2008)) 0.50

0.40

0.10

Possible explanations mentioned:

 B^+, B^0

b baryons

 B^0_{\circ}

- Dependence on bottom-hadron p_{T} +")/" 0.20 $\langle p_T(b) \rangle \sim 15$ (45) GeV/c at Tevatron (LEP)
 - Supported by different $\Lambda_{\rm b}$ and B $p_{\rm T}$ spectrum shapes and dedicated studies
- 0.00 Different hadro-production environment

b hadron Fraction at $\mathbb{Z}[\%]$ Fraction at $\overline{p}p$ [%]

 41.2 ± 0.8

 8.8 ± 1.3

 8.9 ± 1.2



+0.008

(3 + 0.0004)

Jets and correlations



Large Near Side... Large Away Side!

suggests that the $z_{//}$ distribution (and NS) are not altered because of local effect coming with hadronisation \rightarrow The most natural, straightforward conclusion we have is that a large fraction of low- $p_T \Lambda_c^+$ comes from moderate-high- p_T jets!

Beauty vs. charm (and light flavour)

ALICE, PRD 108 (2023) 11, 112003 LHCb, PRD100 (2019) no.3, 031102



baryon-to-meson ratios for $p_T>2$ GeV/c

Evolution with event activity: Λ_c^+/D^0 and D_s^+/D^0 vs. p_T in pp



Similar trend than Λ/K_s^0

ALICE, PLB 829 (2022) 137065 PYTHIA8: Monash, EPJ C74 (2014) 3024, CR-BLC JHEP 1508 (2015) 003 CE-SH, PLB 815 (2021) 136144

D_s^+/D^+ from ALICE with run 3 data

