

Curvature Perturbations Protected Against One Loop

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Looping in the Primordial Universe@CERN, Oct. 29, 2024

One sentence summary

I am going to show that

superhorizon-limit curvature perturbations are constant
even at one loop level

with the use of **spatially flat gauge**.

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I am going to show that

superhorizon-limit curvature perturbations are constant
even at one loop level

with the use of **spatially flat gauge**.


(Yes, my conclusion is different from Kristiano's and Ballesteros's talks.)

Outline

- Recent claim: Curvature is not conserved?
- Conservation of curvature at one loop
- Summary

What is curvature perturbation?

$$d^2s = g_{00}d\eta^2 + 2g_{0i}d\eta dx^i + a^2 e^{-2\psi} \delta_{ij} dx^i dx^j$$

curvature perturbation


(In the gauge where $g_{ij|i \neq j} = 0$)

In the isotropic and homogeneous Universe (FLRW metric),

$$a^2 e^{-2\psi} \delta_{ij} dx^i dx^j \rightarrow a^2 \left(\frac{dr^2}{1 - Kr^2} + r^2 (d\theta^2 + \sin^2 \theta d\Omega^2) \right)$$

$$\nearrow R_3 = \frac{6K}{a^2} = 4\nabla^2 \psi$$

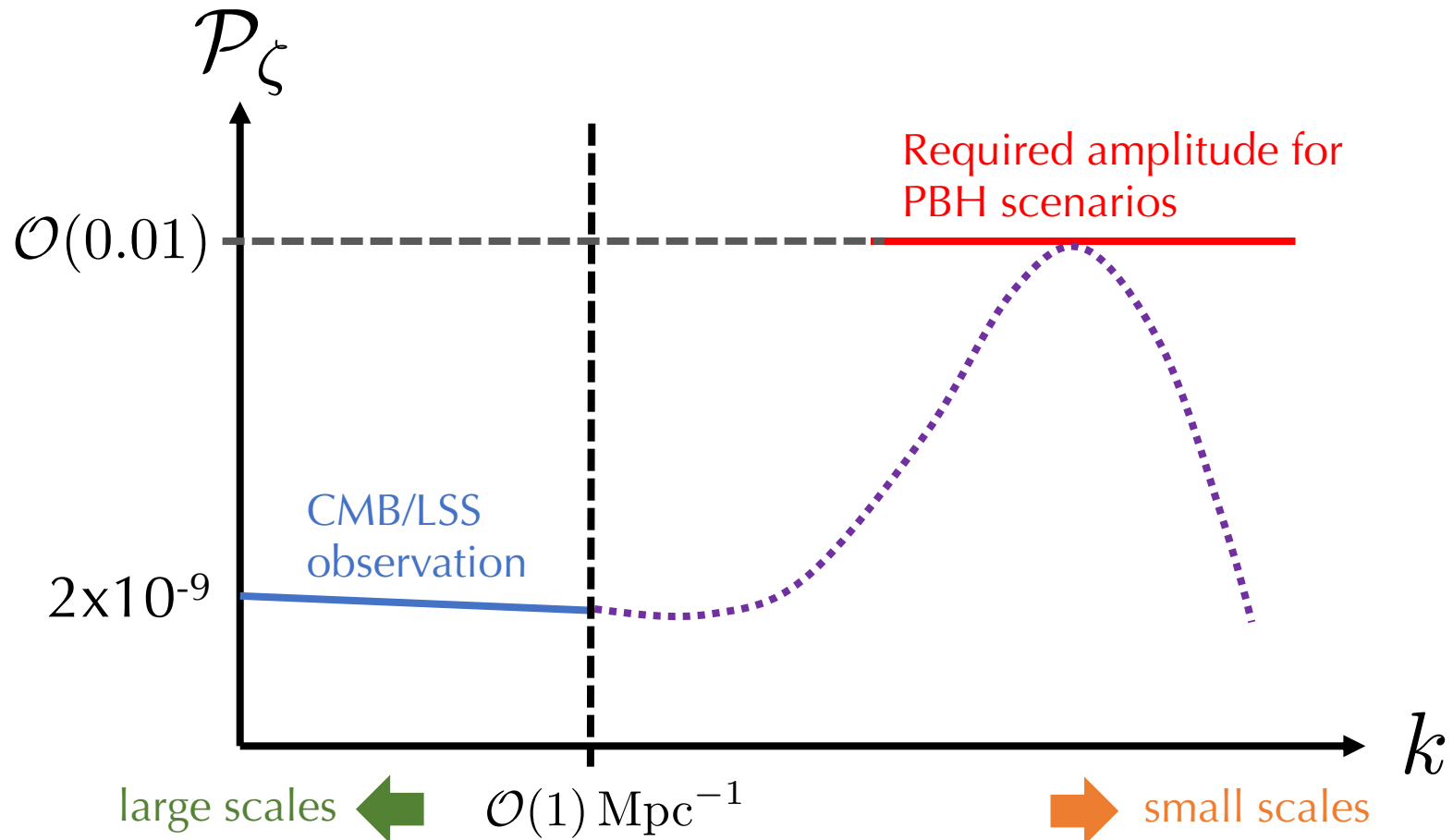
3-dim. Ricci scalar

Note that the spatially homogeneous part of ψ is a gauge artifact because it can be absorbed by coordinate rescaling.

$$dx^i \rightarrow dx^{i'} = e^{-\psi} dx^i$$

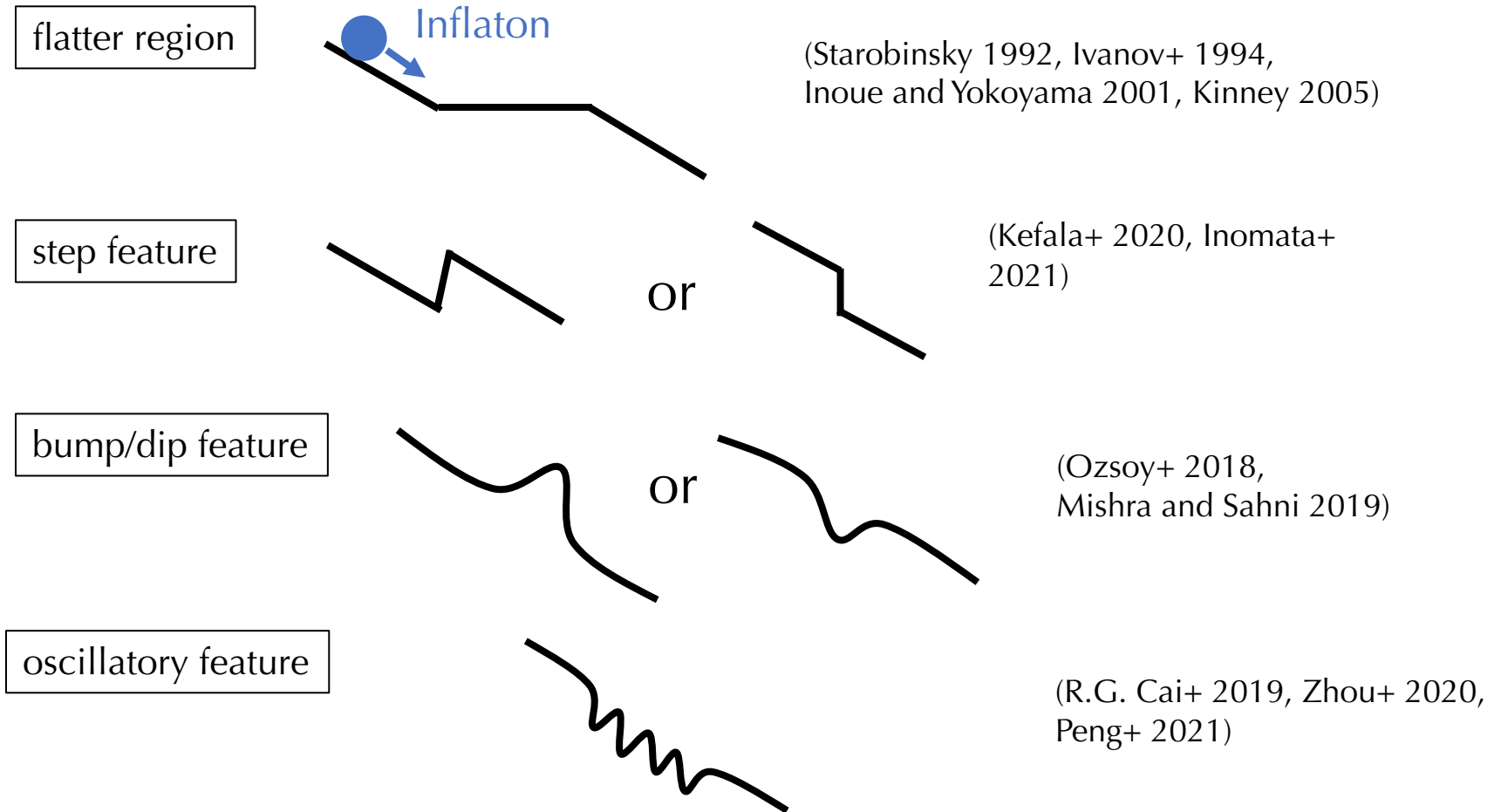
Large perturbations for PBH scenarios

Primordial black holes are candidates of DM and BHs detected by LIGO-Virgo-KAGRA collaborations.



Inflaton potentials for large amplification

Single field models for large amplification of density perturbations:



One loop corrections

Lagrangian:
$$\mathcal{L} = -\frac{1}{2}\partial^\mu\phi\partial_\mu\phi - V(\phi)$$

E.o.m. for the inflaton fluctuations: (slow-roll-parameter suppressed terms neglected)

$$\delta\phi'' + 2\mathcal{H}\delta\phi' - \nabla^2\delta\phi + a^2\frac{\partial^2 V}{\partial\phi^2}\delta\phi = -a^2\sum_{n>2}\frac{1}{(n-1)!}V_{(n)}(\delta\phi)^{n-1}$$

$(V_{(n)} \equiv \partial^n V / \partial\phi^n)$

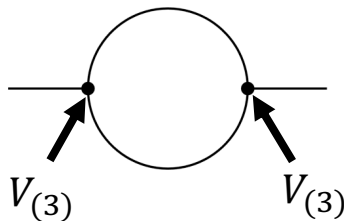
beyond linear order corrections

In-in formalism: (Jordan 1986, Calzetta and Hu 1987, Weinberg 2005)

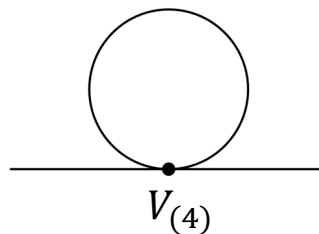
$$\langle\delta\phi_{\mathbf{k}}(\eta)\delta\phi_{\mathbf{k}'}(\eta)\rangle = \langle 0 | \left(T e^{-i\int_{-\infty}^{\eta} d\eta' H_{\text{int}}(\eta')} \right)^\dagger \delta\phi_{\mathbf{k}}(\eta)\delta\phi_{\mathbf{k}'}(\eta) \left(T e^{-i\int_{-\infty}^{\eta} d\eta'' H_{\text{int}}(\eta'')} \right) | 0 \rangle$$

$$\left(H_{\text{int},n} \equiv \int d^3x a^4 \mathcal{H}_n, \mathcal{H}_{n(>2)} = \frac{1}{n!} V^{(n)}(\phi)\delta\phi^n \right)$$

two vertices



one vertex



The lowest order corrections to linear power spectrum appear as one loops.

Superhorizon curvature evolves?

(Note: the conservation of linear ζ is well known.)

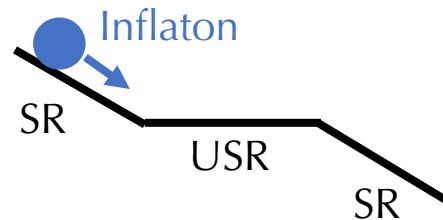
Recent claim:

Superhorizon-limit curvature perturbations **are not conserved at one-loop level** in the case of slow-roll (SR) \rightarrow ultra-slow roll (USR) \rightarrow SR.

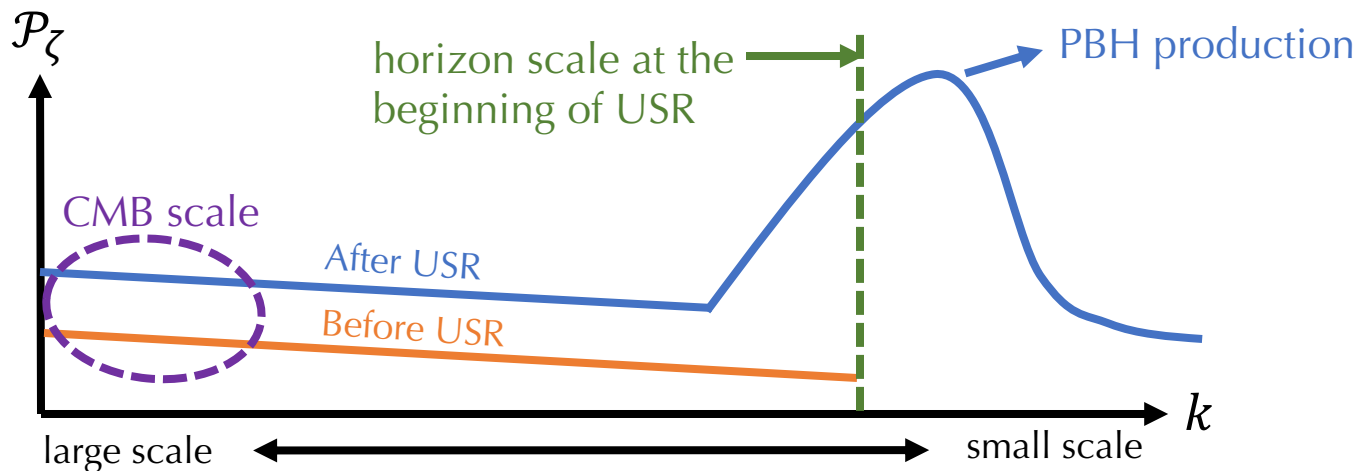
e.o.m.

$$3H\dot{\phi} + V'(\phi) = 0 \text{ (SR)}$$

$$\ddot{\phi} + 3H\dot{\phi} = 0 \text{ (USR)}$$



Kristiano & Yokoyama (2022), followed by
Riotto, Choudhury+, Firouzahai, Motohashi & Tada,
Franciolini+, Gianmassimo, Cheng+, Maity+, Davies+ (2023),
Saburov & Ketov, Ballesteros & Gambín (2024)



If the one loop corrections can be comparable to the tree-level power spectrum in some PBH models, CMB spectrum changed. \rightarrow models constrained?

However, this seems inconsistent with the separate Universe picture...

Why controversial?

Separate universe picture (= cosmological principle + causality)

If we consider a very large region (typically larger than Hubble distance), that region can be regarded as a homogeneous and isotropic Universe with the FLRW metric.

(Sasaki & Tanaka 1998, Wands+ 2000)

Separate universe in single-clock inflation

→ Superhorizon-limit curvature perturbations are conserved at non-perturbative level

(Lyth, Malik, and Sasaki, 2004)

Single-clock = The universe evolution is characterized only by ϕ (inflaton field value).

Single-clock during Ultra Slow Roll (USR)?

→ Depends on the scale of the region we consider.

For the superhorizon-limit region, which exits the horizon much before the USR, we can regard that region as a single-clock.

The recent claim violates the separate universe (cosmological principle or causality)?

Paradox in recent claim

The recent claim says the total ζ evolves as: ($\zeta^{(1)}$ is the superhorizon limit mode)

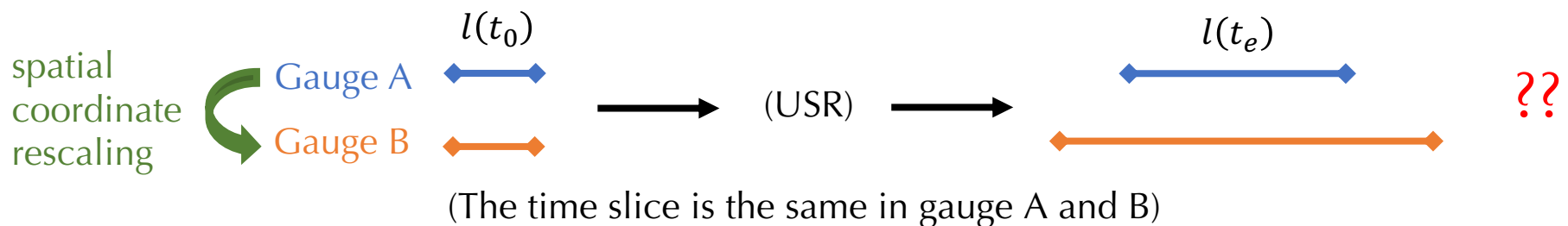
$$\zeta^{(1)} \text{ (first SR)} \rightarrow \text{(ultra-slow-roll)} \rightarrow \zeta^{(1)}(1 + C) \text{ (second SR)}$$

C : some constant proportional to $\mathcal{O}(\mathcal{P}_\zeta(k_{peak}))$.

Let's consider the distance between two points given by $l^2 \equiv g_{ij}\Delta x^i\Delta x^j$ inside the horizon. l^2 should be invariant under the spatial coordinate rescaling:

$$\Delta \mathbf{x} \rightarrow \Delta \bar{\mathbf{x}} = e^\zeta \Delta \mathbf{x}, \quad g_{ij} \rightarrow \bar{g}_{ij} = e^{-2\zeta} g_{ij}$$

However, if the recent claim is correct, the evolution of l^2 is gauge dependent because the evolution of ζ depends on $\zeta^{(1)}$, which is a gauge artifact for a local observer.



Does the universe expansion depend on the spatial coordinate rescaling?

Outline


- Recent claim: Curvature is not conserved?
- Conservation of curvature at one loop
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Two gauges

$$d^2s = g_{00}d\eta^2 + 2g_{0i}d\eta dx^i + a^2 e^{-2\psi} \delta_{ij} dx^i dx^j$$

Inflaton fluctuation

Comoving gauge:

$$\psi \neq 0, \delta\phi = 0$$


Kristiano's, Tada's, and Fumagalli's talk

Spatially-flat gauge:

$$\psi = 0, \delta\phi \neq 0$$

Ballesteros's talk and **my talk**

Strategy of this work

In this work, **spatially-flat gauge** ($\psi = \mathbf{0}$) is taken, where $\delta\phi$ is the basic quantity.

The metric perturbations are suppressed by slow-roll parameter ϵ , compared to $V_{(n)}$ terms.

($\epsilon \rightarrow 0$ is known as the decoupling limit in effective field theory of inflation.)

$$S = \int d^4x \sqrt{-g} \left[-\frac{1}{2} \partial^\mu \phi \partial_\mu \phi - V(\phi) \right]$$

$$\downarrow \quad \left(V_{(n)} \equiv \frac{\partial^n V(\phi)}{\partial \phi^n} \right)$$

$$S_n = - \int d^4x a^4 \frac{V_{(n)}(\bar{\phi})}{n!} \delta\phi^n \quad \text{Simple!}$$

Advantage: The higher order action can be easily obtained.
 → no need to worry about boundary terms!

Strategy: We first calculate the one-loop power spectrum of $\delta\phi$.
 Then, we connect it to the one loop-power spectrum of ζ .

One loop calculation

$$(\phi(\mathbf{x}) = \bar{\phi} + \delta\phi^{(1)}(\mathbf{x}) + \delta\phi^{(2)}(\mathbf{x}) + \delta\phi^{(3)}(\mathbf{x}) + \dots \text{ with } \bar{\phi} = \langle \phi(\mathbf{x}) \rangle)$$

Equation of motion:

$$\delta\phi'' + 2\mathcal{H}\delta\phi' - \nabla^2\delta\phi + a^2\frac{\partial^2 V}{\partial\phi^2}\delta\phi = -a^2\sum_{n>2}\frac{1}{(n-1)!}V^{(n)}(\delta\phi)^{n-1}$$



$$\hat{\mathcal{N}}_k\delta\phi_{\mathbf{k}}^{(1)} = 0,$$

$$\hat{\mathcal{N}}_k\delta\phi_{\mathbf{k}}^{(2)} = -\frac{a^2}{2}V^{(3)}\int\frac{d^3p}{(2\pi)^3}\delta\phi_{\mathbf{k}-\mathbf{p}}^{(1)}\delta\phi_{\mathbf{p}}^{(1)},$$

$$\hat{\mathcal{N}}_k\delta\phi_{\mathbf{k}}^{(3)} = -\frac{a^2}{2}V^{(3)}\int\frac{d^3p}{(2\pi)^3}(\delta\phi_{\mathbf{k}-\mathbf{p}}^{(1)}\delta\phi_{\mathbf{p}}^{(2)} + \delta\phi_{\mathbf{k}-\mathbf{p}}^{(2)}\delta\phi_{\mathbf{p}}^{(1)}) - \frac{a^2}{6}V^{(4)}\int\frac{d^3p}{(2\pi)^3}\int\frac{d^3p'}{(2\pi)^3}\delta\phi_{\mathbf{p}}^{(1)}\delta\phi_{\mathbf{p}'}^{(1)}\delta\phi_{\mathbf{k}-\mathbf{p}-\mathbf{p}'}^{(1)}$$

$$\hat{\mathcal{N}}_k \equiv \frac{\partial^2}{\partial\eta^2} + 2\mathcal{H}\frac{\partial}{\partial\eta} + k^2 + a^2V^{(2)}(\bar{\phi})$$

$$\delta\phi^{(1)}(\mathbf{x}, \eta) = \int\frac{d^3k}{(2\pi)^3}e^{i\mathbf{k}\cdot\mathbf{x}}\delta\phi_{\mathbf{k}}^{(1)}(\eta)$$

$$= \int\frac{d^3k}{(2\pi)^3}e^{i\mathbf{k}\cdot\mathbf{x}}[U_k(\eta)\hat{a}(\mathbf{k}) + U_k^*(\eta)\hat{a}^\dagger(-\mathbf{k})]$$

In-in formalism = equation-of-motion approach: (Musso (2013), Inomata+ (2022))

$$\begin{aligned} \langle \delta\phi_{\mathbf{k}}\delta\phi_{\mathbf{k}'} \rangle &= \langle 0 | \left(T e^{-i\int_{-\infty}^{\eta} d\eta' H_{\text{int}}(\eta')} \right)^\dagger \delta\phi_{\mathbf{k}}^{(1)}\delta\phi_{\mathbf{k}'}^{(1)} \left(T e^{-i\int_{-\infty}^{\eta} d\eta'' H_{\text{int}}(\eta'')} \right) | 0 \rangle \\ &= \dots \\ &= \langle 0 | \delta\phi_{\mathbf{k}}^{(1)}\delta\phi_{\mathbf{k}'}^{(1)} | 0 \rangle + \langle 0 | \delta\phi_{\mathbf{k}}^{(2)}\delta\phi_{\mathbf{k}'}^{(2)} | 0 \rangle + \langle 0 | \delta\phi_{\mathbf{k}}^{(1)}\delta\phi_{\mathbf{k}'}^{(3)} | 0 \rangle + \langle 0 | \delta\phi_{\mathbf{k}}^{(3)}\delta\phi_{\mathbf{k}'}^{(1)} | 0 \rangle \end{aligned}$$

$(H_{\text{int},n} \equiv \int d^3x a^4 \mathcal{H}_n, \mathcal{H}_{n(>2)} = \frac{1}{n!}V^{(n)}(\phi)\delta\phi^n)$

One loop calculation

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Equation of motion:

$$\delta\phi'' + 2\mathcal{H}\delta\phi' - \nabla^2\delta\phi + a^2 \frac{\partial^2 V}{\partial\phi^2} \delta\phi = -a^2 \sum_{n>2} \frac{1}{(n-1)!} V_{(n)} (\delta\phi)^{n-1}$$



$$\hat{\mathcal{N}}_k \delta\phi_{\mathbf{k}}^{(1)} = 0,$$

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$$\hat{\mathcal{N}}_k \delta\phi_{\mathbf{k}}^{(3)} = -\frac{a^2}{2} V_{(3)} \int \frac{d^3p}{(2\pi)^3} (\delta\phi_{\mathbf{k}-\mathbf{p}}^{(1)} \delta\phi_{\mathbf{p}}^{(2)} + \delta\phi_{\mathbf{k}-\mathbf{p}}^{(2)} \delta\phi_{\mathbf{p}}^{(1)}) - \frac{a^2}{6} V_{(4)} \int \frac{d^3p}{(2\pi)^3} \int \frac{d^3p'}{(2\pi)^3} \delta\phi_{\mathbf{p}}^{(1)} \delta\phi_{\mathbf{p}'}^{(1)} \delta\phi_{\mathbf{k}-\mathbf{p}-\mathbf{p}'}^{(1)}$$

$$\hat{\mathcal{N}}_k \equiv \frac{\partial^2}{\partial\eta^2} + 2\mathcal{H} \frac{\partial}{\partial\eta} + k^2 + a^2 V_{(2)}(\bar{\phi})$$

$$\delta\phi^{(1)}(\mathbf{x}, \eta) = \int \frac{d^3k}{(2\pi)^3} e^{i\mathbf{k}\cdot\mathbf{x}} \delta\phi_{\mathbf{k}}^{(1)}(\eta)$$

$$= \int \frac{d^3k}{(2\pi)^3} e^{i\mathbf{k}\cdot\mathbf{x}} [U_k(\eta)\hat{a}(\mathbf{k}) + U_k^*(\eta)\hat{a}^\dagger(-\mathbf{k})]$$

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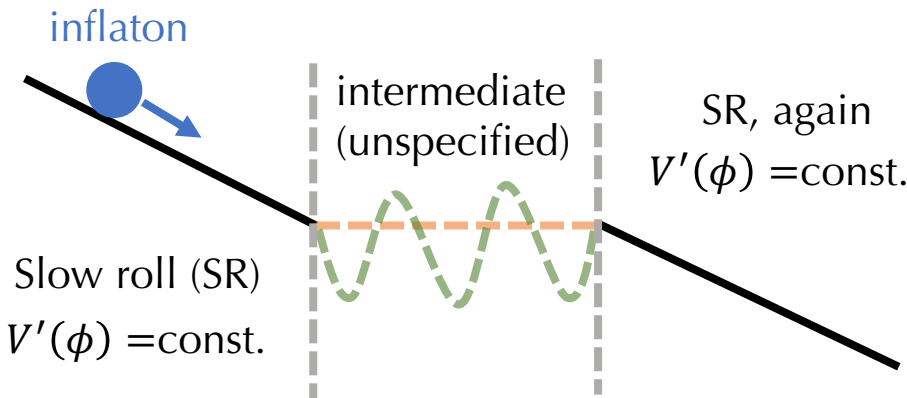
$$\begin{aligned} \langle \delta\phi_{\mathbf{k}} \delta\phi_{\mathbf{k}'} \rangle &= \langle 0 | \left(T e^{-i \int_{-\infty}^{\eta} d\eta' H_{\text{int}}(\eta')} \right)^\dagger \delta\phi_{\mathbf{k}}^{(1)} \delta\phi_{\mathbf{k}'}^{(1)} \left(T e^{-i \int_{-\infty}^{\eta} d\eta'' H_{\text{int}}(\eta'')} \right) | 0 \rangle \\ &= \dots \quad \left(H_{\text{int},n} \equiv \int d^3x a^4 \mathcal{H}_n, \mathcal{H}_{n(>2)} = \frac{1}{n!} V^{(n)}(\phi) \delta\phi^n \right) \\ &= \underbrace{\langle 0 | \delta\phi_{\mathbf{k}}^{(1)} \delta\phi_{\mathbf{k}'}^{(1)} | 0 \rangle}_{\text{tree}} + \underbrace{\langle 0 | \delta\phi_{\mathbf{k}}^{(2)} \delta\phi_{\mathbf{k}'}^{(2)} | 0 \rangle}_{\text{Poisson fluctuations}} + \underbrace{\langle 0 | \delta\phi_{\mathbf{k}}^{(1)} \delta\phi_{\mathbf{k}'}^{(3)} | 0 \rangle + \langle 0 | \delta\phi_{\mathbf{k}}^{(3)} \delta\phi_{\mathbf{k}'}^{(1)} | 0 \rangle}_{\text{nonzero even in superhorizon limit}} \\ &\quad \rightarrow 0 \text{ in superhorizon limit} \end{aligned}$$

Superhorizon curvature perturbations evolve at one loop? → **There is a trick!**

Conservation of curvature

The trick lies in the relation between $\delta\phi$ and ζ .

Consider the simplest case:



During the intermediate period,
 ζ is enhanced.
→ Peak power spectrum

We assume the separate Universe
satisfied at least during the SR periods
**(We do not assume separate Universe
during the intermediate period).**

From δN formalism, curvature
perturbations during the SR periods are:

$$-\zeta|_{\leq 1\text{-loop}} = \left. \frac{H\delta\phi}{\dot{\phi}} \right|_{\leq 1\text{-loop}} = \frac{H(\delta\phi^{(1)} + \delta\phi^{(3)})}{\dot{\phi}^{(0)} + \dot{\phi}^{(2)}} \quad (\text{SR})$$

On the other hand, $\frac{H\delta\phi}{\dot{\phi}}$ is **always** constant: $\left. \frac{H\delta\phi}{\dot{\phi}} \right|_{\leq 1\text{-loop}} = \frac{H(\delta\phi^{(1)} + \delta\phi^{(3)})}{\dot{\phi}^{(0)} + \dot{\phi}^{(2)}} = \frac{H\delta\phi^{(1)}}{\dot{\phi}^{(0)}} = \text{const.}$

Point: $\dot{\phi}$ gets the one-loop backreaction, $\dot{\phi}^{(2)}$, which cancels $\delta\phi^{(3)}$. (Backreaction is ignored in Balllesteros's talk)

ζ during the first and the second SR periods coincide. → ζ is conserved!

One loop backreaction

$$\ddot{\bar{\phi}} + 3H\dot{\bar{\phi}} + V_{(1)}(\bar{\phi}) = -\frac{1}{2}V_{(3)}(\bar{\phi}) \langle (\delta\phi^{(1)})^2 \rangle$$



Take time derivative

$$\hat{\mathcal{N}}_0 \bar{\Pi}^{(0)} = 0,$$

$$\left(\bar{\Pi} \equiv \dot{\bar{\phi}}, \hat{\mathcal{N}}_k \equiv \frac{\partial^2}{\partial \eta^2} + 2\mathcal{H} \frac{\partial}{\partial \eta} + k^2 + a^2 V_{(2)}(\bar{\phi}) \right)$$

$$\hat{\mathcal{N}}_0 \bar{\Pi}^{(2)} = -\frac{a^2}{2} \left(V_{(3)} \langle \delta\phi^2 \rangle' + V_{(4)} \langle \delta\phi^2 \rangle \bar{\Pi}^{(0)} \right)$$

Recap:

$$\hat{\mathcal{N}}_k \delta\phi_{\mathbf{k}}^{(1)} = 0,$$

$$\hat{\mathcal{N}}_k \delta\phi_{\mathbf{k}}^{(3)} = -\frac{a^2}{2} V_{(3)} \int \frac{d^3 p}{(2\pi)^3} (\delta\phi_{\mathbf{k}-\mathbf{p}}^{(1)} \delta\phi_{\mathbf{p}}^{(2)} + \delta\phi_{\mathbf{k}-\mathbf{p}}^{(2)} \delta\phi_{\mathbf{p}}^{(1)}) - \frac{a^2}{6} V_{(4)} \int \frac{d^3 p}{(2\pi)^3} \int \frac{d^3 p'}{(2\pi)^3} \delta\phi_{\mathbf{p}}^{(1)} \delta\phi_{\mathbf{p}'}^{(1)} \delta\phi_{\mathbf{k}-\mathbf{p}-\mathbf{p}'}^{(1)}$$

After some (easy) calculation, we find

$$\hat{\mathcal{N}}_q \delta\phi_{\mathbf{q}}^{(3)} \Big|_{q \rightarrow 0} = -\frac{a^2}{2} \left(V_{(3)} \langle \delta\phi^2 \rangle' + V_{(4)} \langle \delta\phi^2 \rangle \bar{\Pi}^{(0)} \right) \frac{\delta\phi_{\mathbf{q}}^{(1)}}{\bar{\Pi}^{(0)}}$$

We finally obtain

$$\delta\phi_{\mathbf{q}}^{(3)} = \frac{\dot{\bar{\phi}}^{(2)}}{\dot{\bar{\phi}}^{(0)}} \delta\phi_{\mathbf{q}}^{(1)} \quad \longrightarrow \quad \frac{H\delta\phi}{\dot{\bar{\phi}}} \Big|_{\leq 1\text{-loop}} = \frac{H(\delta\phi^{(1)} + \delta\phi^{(3)})}{\dot{\bar{\phi}}^{(0)} + \dot{\bar{\phi}}^{(2)}} = \frac{H\delta\phi^{(1)}}{\dot{\bar{\phi}}^{(0)}} = \text{const.}$$

This relation is always satisfied.

Renormalization

In general, the loop contributions have divergence, which must be cancelled out by counter terms.

$$\hat{\mathcal{N}}_0 \bar{\Pi}^{(2)} = -\frac{a^2}{2} \left(V_{(3)} \langle \delta\phi^2 \rangle \cdot + V_{(4)} \langle \delta\phi^2 \rangle \bar{\Pi}^{(0)} \right)$$

$$\hat{\mathcal{N}}_q \delta\phi_q^{(3)} \Big|_{q \rightarrow 0} = -\frac{a^2}{2} \left(V_{(3)} \langle \delta\phi^2 \rangle \cdot + V_{(4)} \langle \delta\phi^2 \rangle \bar{\Pi}^{(0)} \right) \frac{\delta\phi_q^{(1)}}{\bar{\Pi}^{(0)}}$$

Counter terms are introduced in the same way for $\bar{\Pi}^{(2)} (= \dot{\bar{\phi}}^{(2)})$ and $\delta\phi_q^{(3)}$ through $\hat{\mathcal{N}}_0$.

$$\hat{\mathcal{N}}_0 \equiv \frac{\partial^2}{\partial \eta^2} + 2\mathcal{H} \frac{\partial}{\partial \eta} + a^2 V_{(2)}(\bar{\phi}) + \underbrace{a^2 m_{\text{ct}}^2}_{\text{counter term}}$$

The introduction of the counter terms does not break the following relations:

$$\delta\phi_q^{(3)} = \frac{\dot{\bar{\phi}}^{(2)}}{\dot{\bar{\phi}}^{(0)}} \delta\phi_q^{(1)} \quad -\zeta = \frac{H\delta\phi}{\dot{\bar{\phi}}} = \frac{H(\delta\phi^{(1)} + \delta\phi^{(3)})}{\dot{\bar{\phi}}^{(0)} + \dot{\bar{\phi}}^{(2)}} = \frac{H\delta\phi^{(1)}}{\dot{\bar{\phi}}^{(0)}} = \text{const.}$$

Point: Counter terms for $\delta\phi^{(3)}$ are not independent of those for the inflaton potential.

QFT: static background \longleftrightarrow Cosmology: nonstatic background

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Summary

Non-conservation of superhorizon curvature perturbations at one-loop level has been claimed recently.

However, the claim seems inconsistent with the separate Universe picture.

I have taken the spatially-flat gauge and focused on $\delta\phi$ evolution at one loop level.

I have finally found that the superhorizon curvature is conserved if we carefully consider the one-loop backreaction.

(Note added: Apart from the talks in this workshop, conservation of curvature has also been shown in Kawaguchi+ 2024 with the path integral method.)

One sentence summary

I have shown that

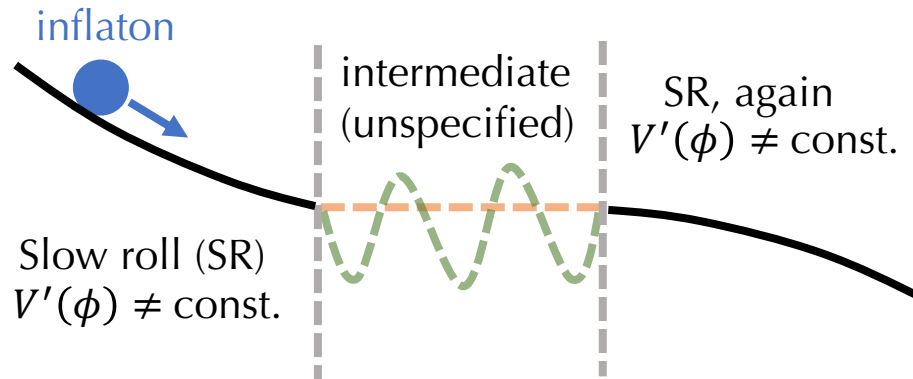
superhorizon-limit curvature perturbations are constant
even at one loop level

with the use of **spatially flat gauge**.

Backup



In general SR potentials



Again, we assume the separate Universe satisfied at least during the SR periods **(do not assume that during the intermediate period)**.

When the separate Universe is satisfied, the curvature perturbations are conserved even at non-perturbative (including one-loop) level. (Lyth, Malik, and Sasaki, 2004)

This means that, if we find one concrete SR potential for the conservation of ζ , the conservation is secured for any types of SR potential.

One concrete example: the SR potentials that have region of $V'(\phi) = \text{const.}$

Background equation of motion

We define the background as the homogeneous mode of the inflaton:

$$\phi(\mathbf{x}) = \bar{\phi} + \delta\phi^{(1)}(\mathbf{x}) + \delta\phi^{(2)}(\mathbf{x}) + \delta\phi^{(3)}(\mathbf{x}) + \dots$$

The background can be expressed with the spatial average of the inflaton:

$$\bar{\phi} = \langle \phi(\mathbf{x}) \rangle$$

By definition, $\langle \delta\phi(\mathbf{x}) \rangle = 0$ is satisfied.

The equation of motion is given by

$$\phi'' + 2\mathcal{H}\phi' - \nabla^2\phi + a^2V_{(1)}(\phi) = 0$$

Extracting the homogeneous mode, we obtain the background EOM:

$$\begin{aligned} \langle \phi'' + 2\mathcal{H}\phi' - \nabla^2\phi + a^2V_{(1)}(\phi) \rangle &= 0 \\ \Rightarrow \bar{\phi}'' + 2\mathcal{H}\bar{\phi}' + a^2 \langle V_{(1)}(\bar{\phi} + \delta\phi^{(1)} + \dots) \rangle &= 0 \\ \Rightarrow \bar{\phi}'' + 2\mathcal{H}\bar{\phi}' + a^2 \langle V_{(1)}(\bar{\phi}) \rangle &= -\frac{a^2}{2} V_{(3)}(\bar{\phi}) \langle (\delta\phi^{(1)})^2 \rangle \end{aligned}$$

What people call curvature perturbation

$$d^2s = g_{00}d\eta^2 + 2g_{0i}d\eta dx^i + a^2 e^{-2\psi} \delta_{ij} dx^i dx^j$$

ψ itself is gauge dependent (depends on the coordinate choice).

In Cosmology, the following gauge-invariant quantities are often used.

$$\zeta = -\psi + \frac{\delta\rho}{3(\rho + P)}$$

ζ coincides the curvature
in uniform density gauge, $\delta\rho = 0$.

$$\mathcal{R} = -\psi - \frac{H\delta\phi}{\dot{\phi}}$$

\mathcal{R} coincides the curvature
in comoving gauge, $\delta\phi = 0$.

In the superhorizon limit,

$$\zeta = \mathcal{R}$$

People often call ζ and \mathcal{R}
curvature perturbations.

Ongoing debates

The higher order action is needed for one loop calculation.

Comoving gauge ($\delta\phi=0$) is often taken, where ζ appears as a metric perturbation.

$$S = \int d^4x \sqrt{-g} \left[-\frac{1}{2} \partial^\mu \phi \partial_\mu \phi - V(\phi) \right]$$

astro-ph/0210603, Maldacena

$$S_3 = \int \frac{1}{4} \frac{\dot{\phi}^4}{\dot{\rho}^4} [e^{3\rho} \dot{\zeta}^2 \zeta + e^\rho (\partial\zeta)^2 \zeta] - \frac{\dot{\phi}^2}{\dot{\rho}^2} e^{3\rho} \dot{\zeta} \partial_i \chi \partial_i \zeta +$$

$$- \frac{1}{16} \frac{\dot{\phi}^6}{\dot{\rho}^6} e^{3\rho} \dot{\zeta}^2 \zeta + \frac{\dot{\phi}^2}{\dot{\rho}^2} e^{3\rho} \dot{\zeta} \zeta^2 \frac{d}{dt} \left[\frac{1}{2} \frac{\ddot{\phi}}{\dot{\rho}} + \frac{1}{4} \frac{\dot{\phi}^2}{\dot{\rho}^2} \right] + \frac{1}{4} \frac{\dot{\phi}^2}{\dot{\rho}^2} e^{3\rho} \partial_i \partial_j \chi \partial_i \partial_j \zeta$$

$$+ f(\zeta) \left. \frac{\delta L}{\delta \zeta} \right|_1$$

arXiv:0709.2708, Jarnhus & Sloth

$$S^{(4)} = \frac{1}{2} \int dt d^3x a^3 \left\{ -\frac{1}{3} \zeta^3 \partial^2 \zeta - 2\alpha^{(1)} (\zeta \partial_i \zeta \partial^i \zeta + \zeta^2 \partial^2 \zeta) + \dot{\phi}_e^2 \alpha^{(1)2} \left[\frac{9}{2} \zeta^2 - 3\zeta \alpha^{(1)} + \alpha^{(1)2} \right] \right.$$

$$\left. \left[\frac{1}{2} \zeta^2 + \zeta \alpha^{(1)} + \alpha^{(1)2} \right] [\partial_i \partial_j \chi^{(1)} \partial^i \partial^j \chi^{(1)} - \partial^2 \chi^{(1)} \partial^2 \chi^{(1)}] + (6H^2 - \dot{\phi}^2) \alpha^{(2)2} \right.$$

$$- 2[\zeta + \alpha^{(1)}] [\partial_i \partial_j \chi^{(1)} \partial^i \partial^j \chi^{(2)} - \partial^2 \chi^{(1)} \partial^2 \chi^{(2)} - 2\partial_i \partial_j \chi^{(1)} \partial^i \chi^{(1)} \partial^j \zeta]$$

$$- 2[2\partial_i \partial_j \chi^{(2)} \partial^i \chi^{(1)} \partial^j \zeta + 2\partial_i \partial_j \chi^{(1)} \partial^i \chi^{(2)} \partial^j \zeta - \partial_j \chi^{(1)} \partial_i \zeta \partial^i \chi^{(1)} \partial^j \zeta]$$

$$\left. + \frac{1}{2} \partial_i \beta_j^{(2)} \partial^i \beta^{j(2)} - 2\alpha^{(1)} \partial_i \partial_j \chi^{(1)} \partial^i \beta^{j(2)} \right\}$$

However, these expressions neglect the boundary terms.

$$\text{e.g. } \int dt A \dot{B} = - \int dt \dot{A} B + \int dt \frac{d}{dt} (AB)$$

boundary term

Ongoing debate: the missing boundary terms lead to the curvature conservation?

Fumagalli (2023), Tada+ (2023), Firouzhahi (2023), Braglia & Pinol (2024)