

*“Doing astronomy by looking downward”*

# *“Ultra High Energy Neutrinos with JEM-EUSO”*

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*Erlangen, October 12-14, 2011*

VLVnT 11, Friederich-Alexander-Universität  
Erlangen-Nürnberg

Andrea Santangelo,  
Kepler Center-Tü

# *Outline of the presentation*

## *I. The JEM-EUSO Mission*

*Why from space and How?*

*What is JEM-EUSO?*

*Status of the mission*

## *II. The science case: the Neutrino Universe at UHE*

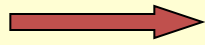
*Why neutrinos at UHE?*

*Perspectives for JEM-EUSO*

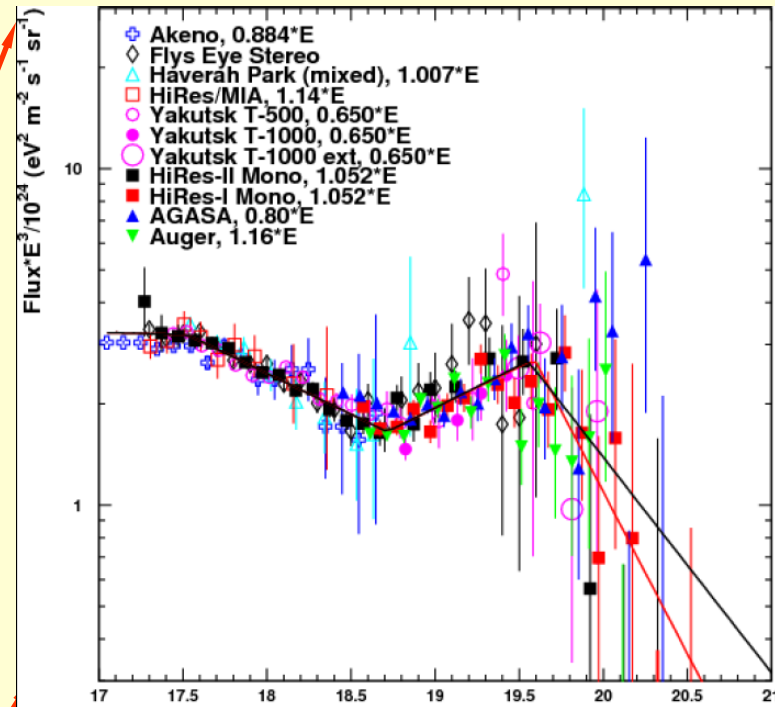
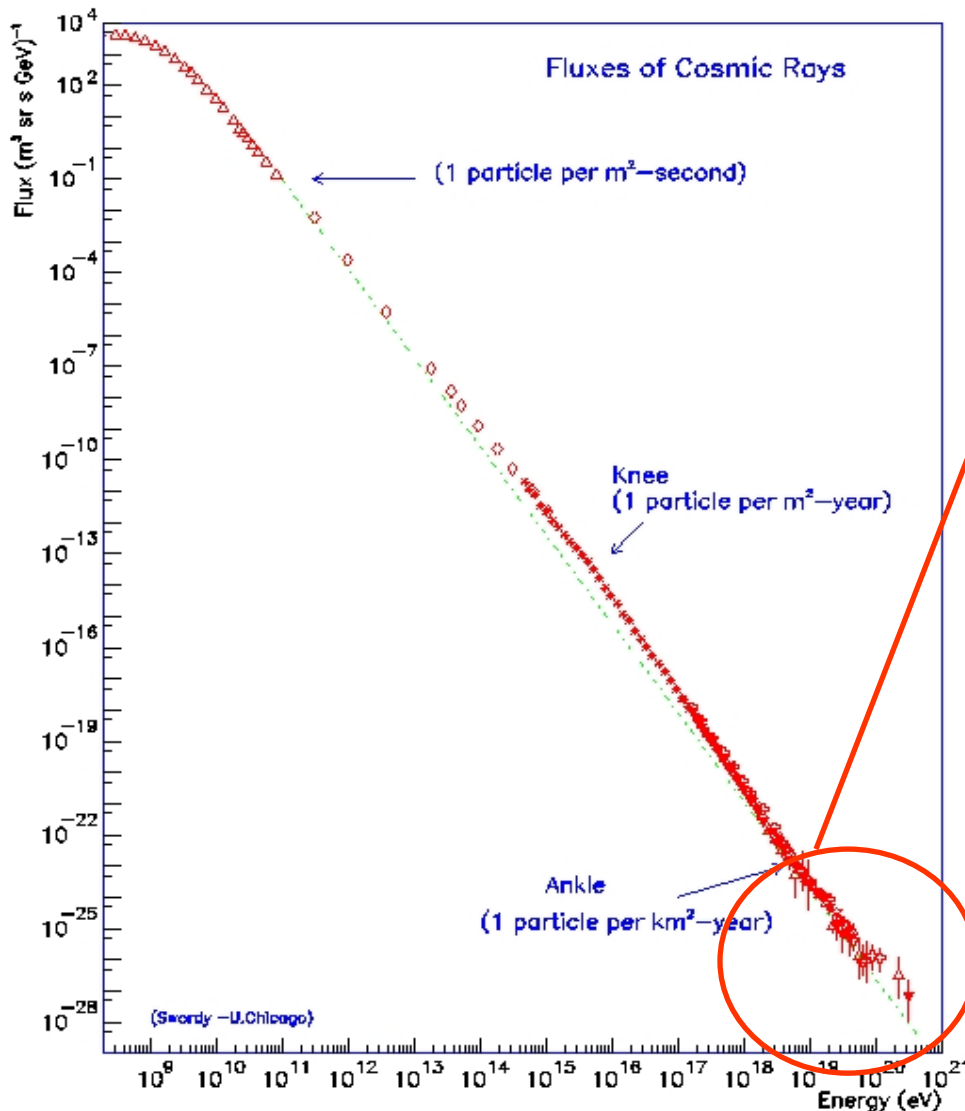
## *III. Conclusions*

*I. The JEM-EUSO mission  
(...to explore the UHE Universe)*

**UHE**

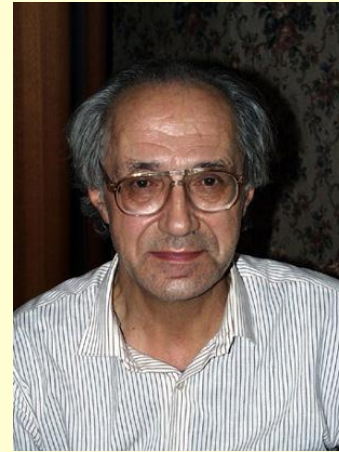


$E > (5-6) \times 10^{19} \text{ eV } (\sim 10^{16} \text{ keV})$



*Their Origin, their Nature and even their Route to Earth presents an extraordinary puzzle*

# Highest energies: The GZK Effekt

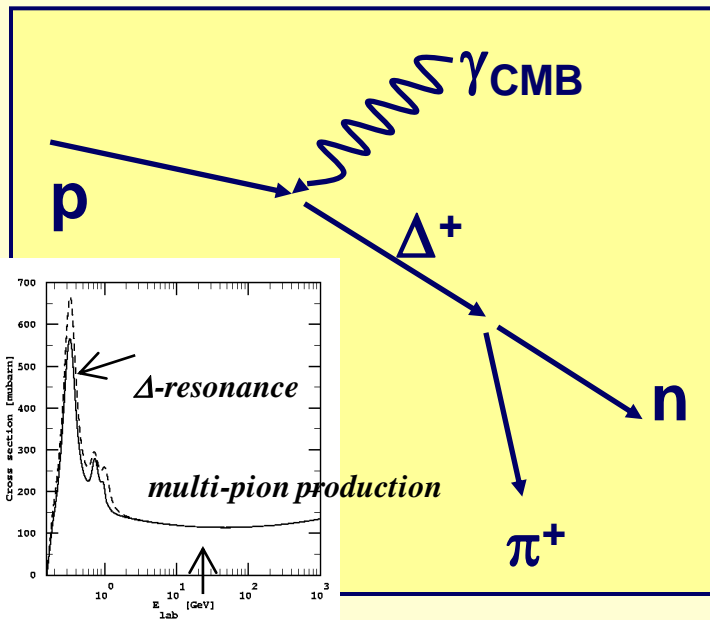


Greisen (1966)  
and, *independently*  
Zatsepin &  
Kuz'min (1966)

**Kenneth Greisen**

**George Zatsepin**

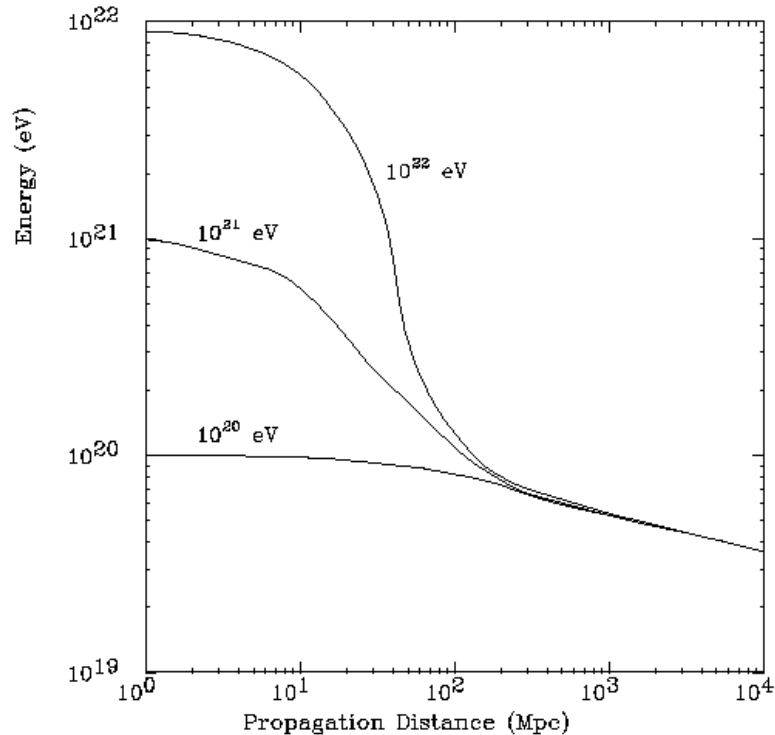
**Vadim Kuzmin**



$$E_{\text{th}} = \frac{2m_N m_p + m_p^2}{4e} \gg 5 \times 10^{19} \text{ eV}$$



# Attenuation length, a limited horizon

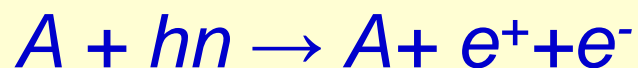
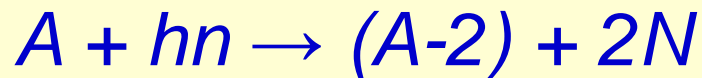
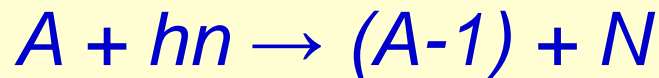


$$\Delta E_p \approx 20\% E_p$$

$$/_{\text{int}} \gg 10 \text{ Mpc}$$

$$L_{\text{Hor}}^{\text{GZK}} \gg 100 \text{ Mpc}$$

*Nagano & Watson, Rev. Mod. Phys, Vol. 72, N° 3 (2000)*



*Photodisintegration (Puget et al., 1976)*

*Pair production (Blumenthal, 1970)*

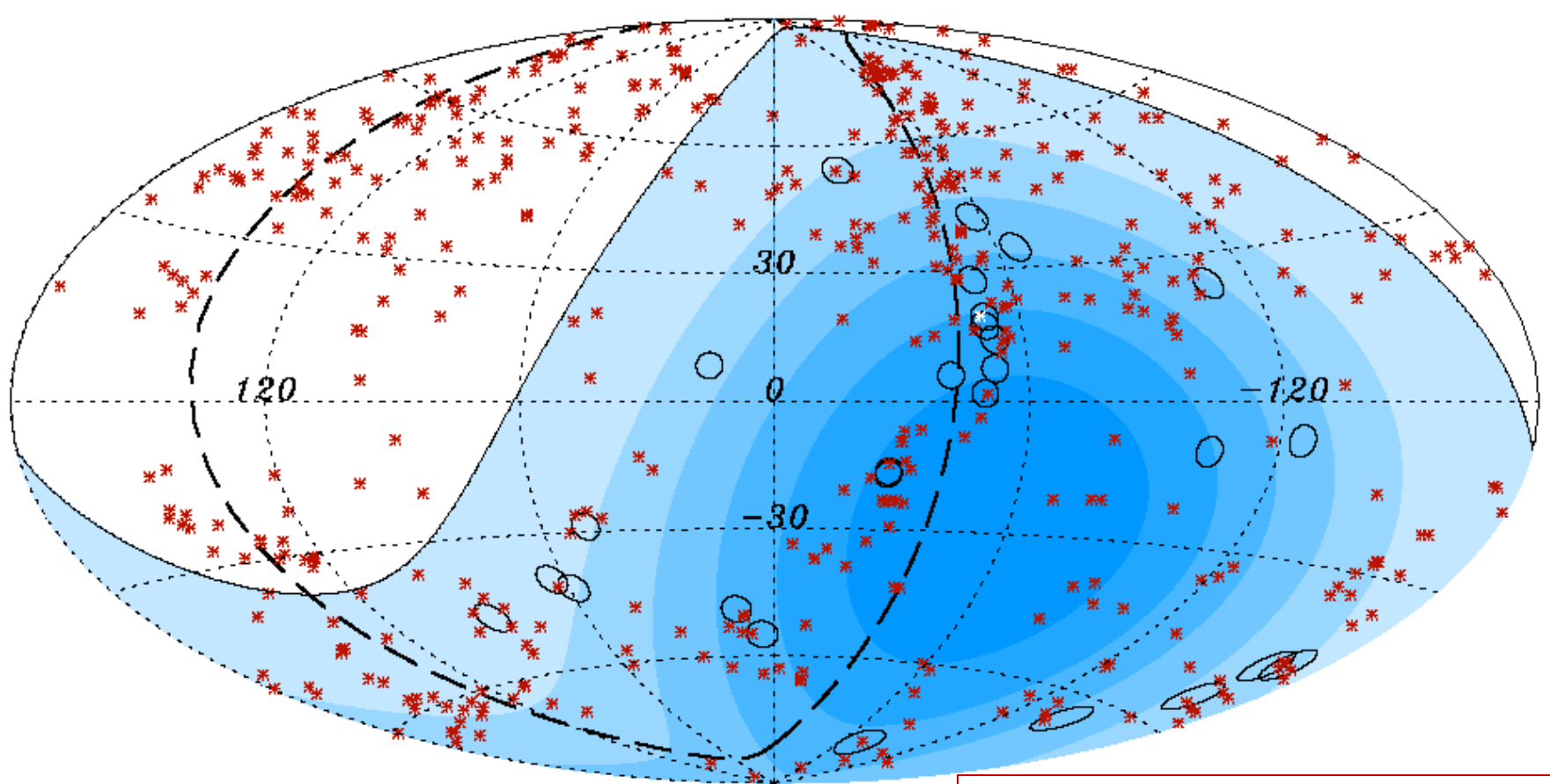
$E \sim 2 \cdot 10^{20} \text{ eV}$  (nuclei)

**GZK effect? May be...**

# *A key result from Auger*

*The Auger Collaboration (2007)*

Ang. Sep.  $\psi < 3.1^\circ$  ,  $z < 0.018$  (75 Mpc) and  $E > 56$  EeV



*Observation anisotropy of UHE particles at  $E > 5 \times 10^{19}$  eV*

*Enables Particle Astronomy*



*The Extreme Universe  
Space Observatory  
on-board the Japan  
Experiment Module  
(JEM) of the ISS*

**EUSO**



*2001- 2004*

*Heritage of the ESA EUSO study*



**JEM-EUSO**



# *JEM EUSO Collaboration*

- Japan, USA, Korea, Mexico, Russia
- Europe: Bulgaria, France, Germany, Italy, Poland, Slovakia, Spain, Switzerland
- 77 Institutions, more than 250 researchers
- RIKEN: Leading institution



# *Main Scientific Objectives (1)*

- Main Objective: Astronomy and Astrophysics through the particle channel
  - *Identification of sources* by high-statistics arrival direction analysis (+multi-wavelength!)
  - *Measurement of the energy spectra* of individual sources (spectral shape, flux, power)



*Understand and constrain acceleration and emission mechanisms*

*Physics and Astrophysics at  $E > 5. \times 10^{19} \text{eV}$*

# Exploratory Scientific Objectives (2)

- Exploratory Objectives: new messengers
  - *Discovery of UHE neutrinos* by neutrino discrimination and identification via  $X_0$  and  $X_{\max}$
  - *Discovery of UHE Gammas* by discrimination of  $X_{\max}$  due to geomagnetic and LPM effect
- Exploratory Objectives: magnetic fields
  - *Constrains on the galactic and local extragalactic fields*



*High discovery potential;  
tests of new physics models*

# *Take home messages:*

*Physics and Astrophysics at  $E > 5 \cdot 10^{19}$  eV*

*But also... Explore new physics in the energy range  $E \approx 10^{21}$  eV*

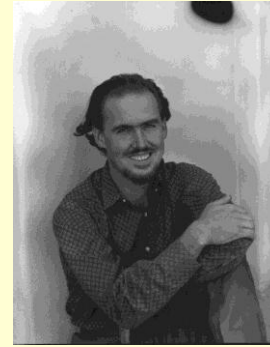
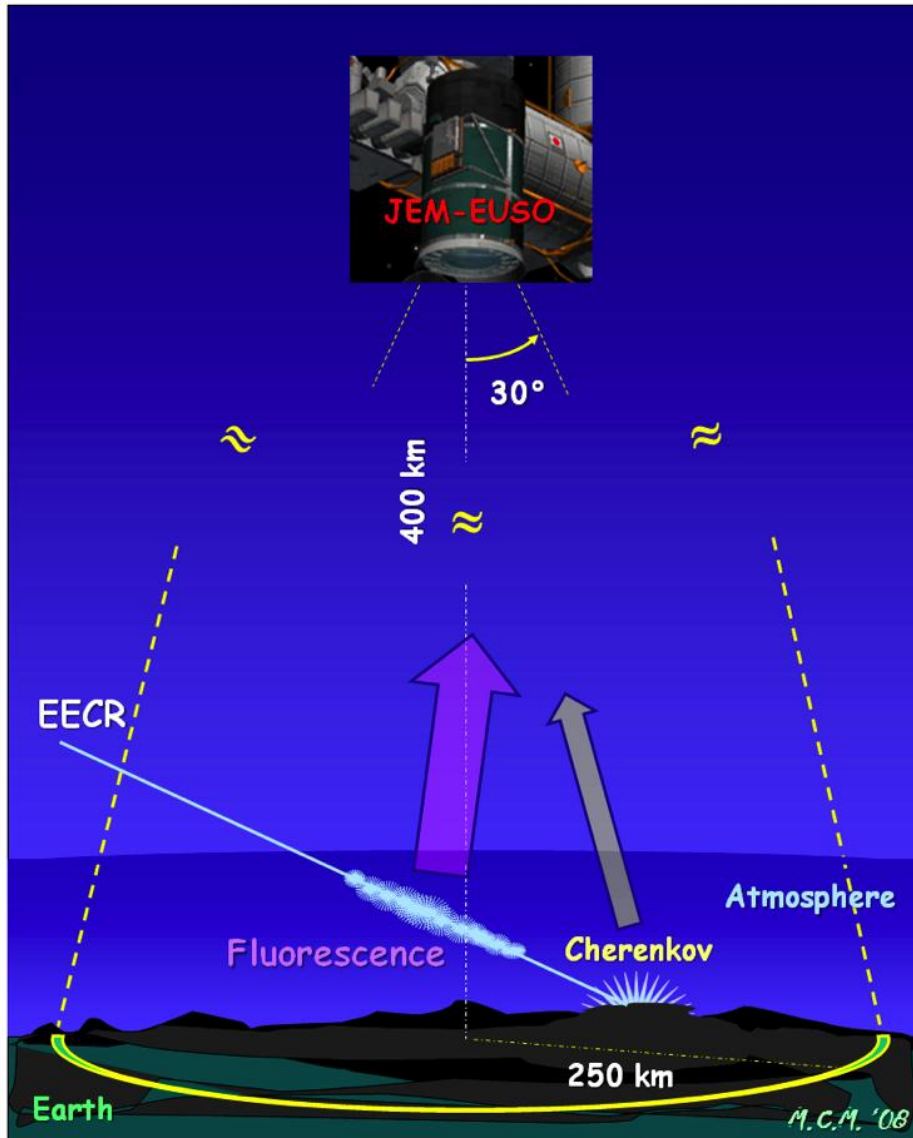
*Highest statistics and therefore largest exposures at extreme energies*

$$E \gg 10^{20-21} \text{ eV}$$

*Lower Energies are important for overlapping with current generation observatories with significant statistics...*

$$E < 5 \cdot 10^{19} \text{ eV}$$

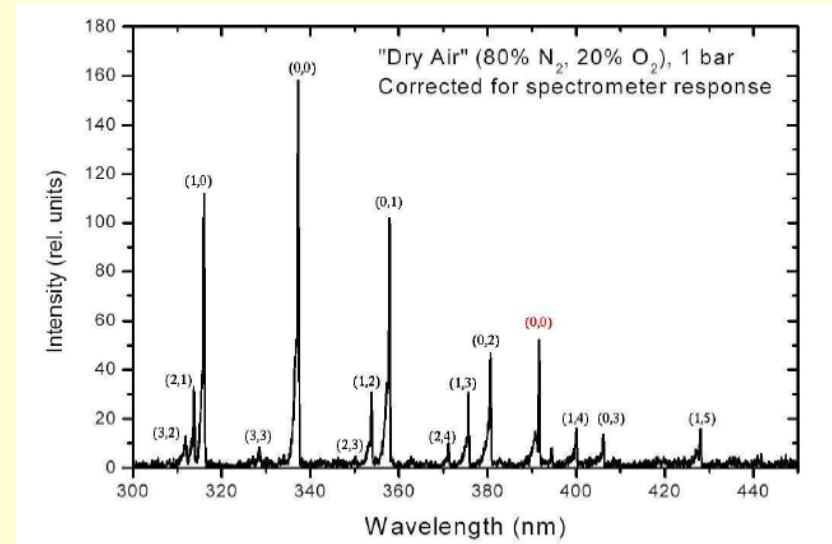
# Observational Technique: fluorescence from space



*J. Linsley*



*Y. Takahashi*

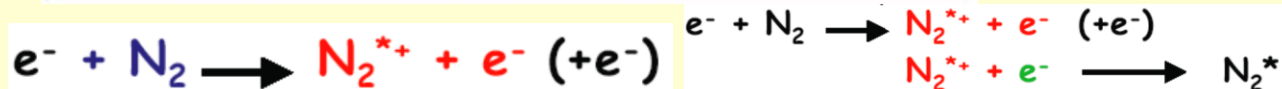


**330 - 400 nm, UV**

*Kakimoto et al., 1996 A. Bunner, 1967;*

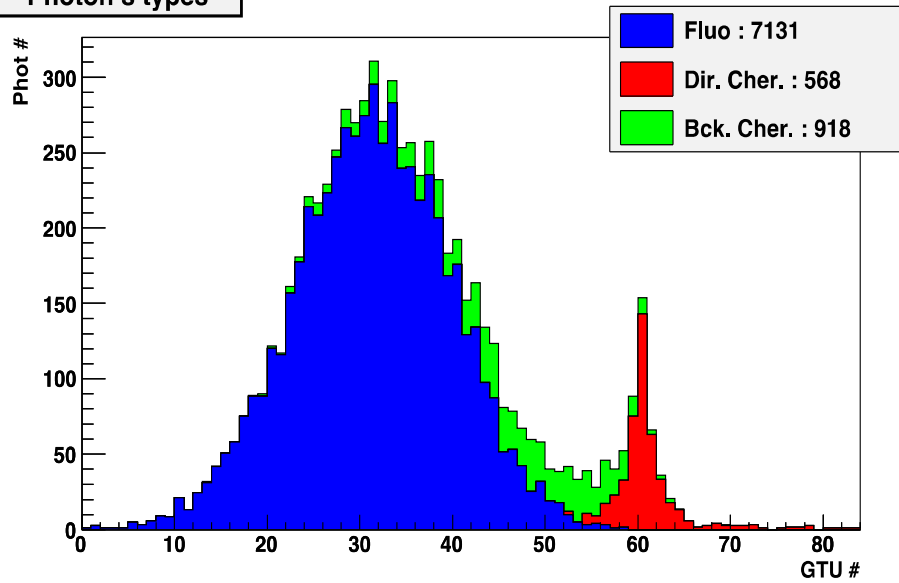
*Nagano, 2009;*

Andrea Santangelo,  
Kepler Center-Tü



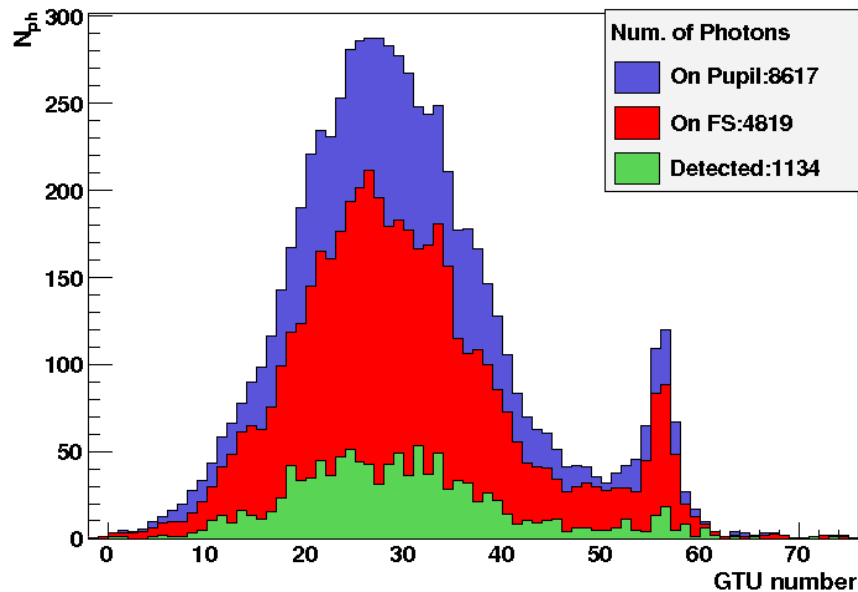


Photon's types



*GTU time units*

Photons vs GTU



*a) Fluorescence*

*b) Scattered Cherenkov*

*c) Direct (diffusively reflected Cherenkov)*

$$1 \text{ GTU} = 2.5 \text{ msec}$$

$$\text{Back.} = 500 / (\text{m}^2 \text{ sr ns})$$

***FAST SIGNAL***

*duration*  $\gg$  50 - 150 *ms*

*Simulation of the light profile observed at the entrance pupil (above) and through the instrument using the ESAF code*

# *Proton Shower (60 deg, $10^{20}$ eV)*

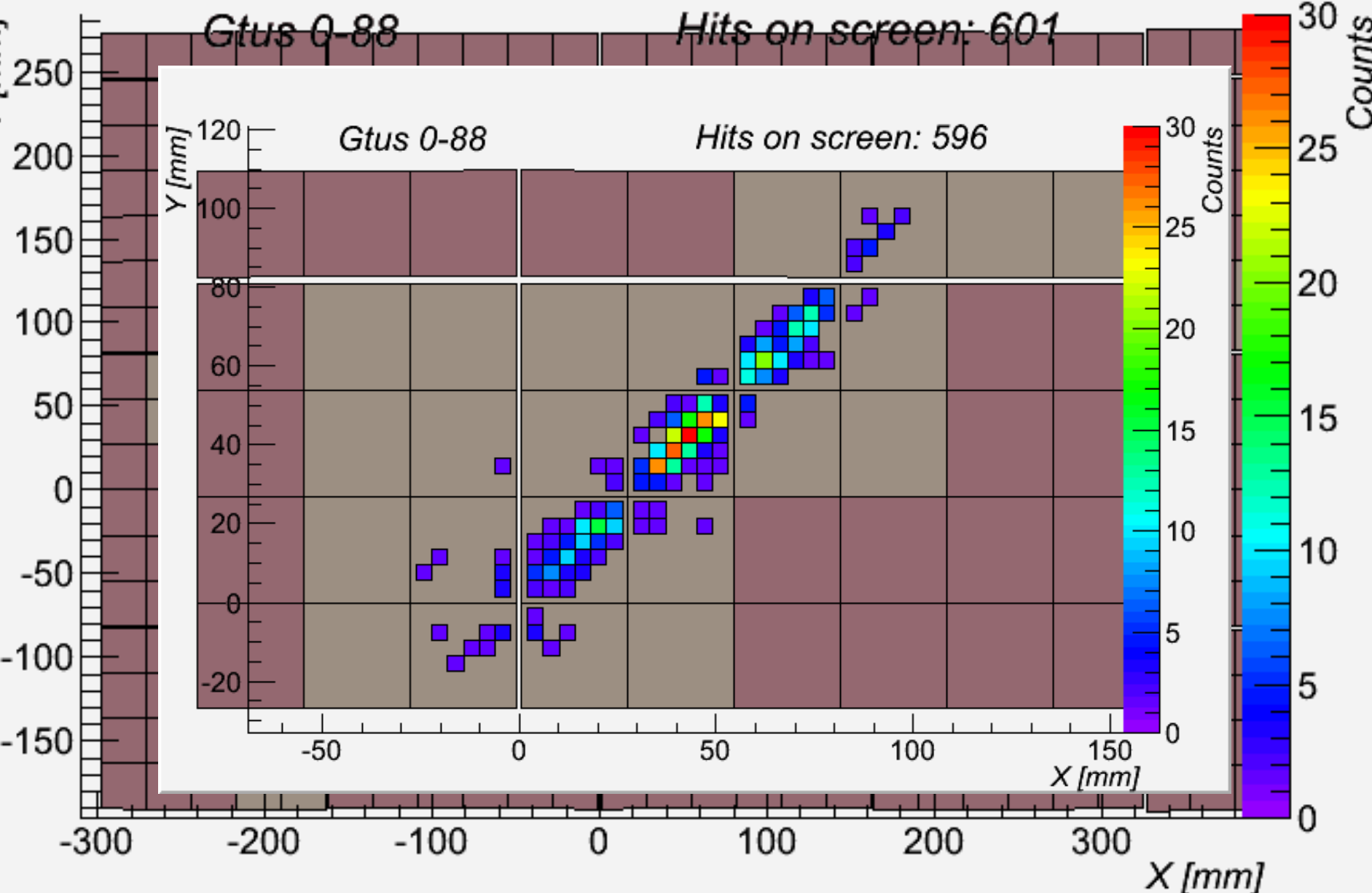
*GTU = 2.5  $\mu$ sec*

*Produced with VideoMach*  
[www.videomach.com](http://www.videomach.com)

*PDM*

*Andrea Santangelo,*  
Kepler Center-Tü

# Result of end-to-end simulation

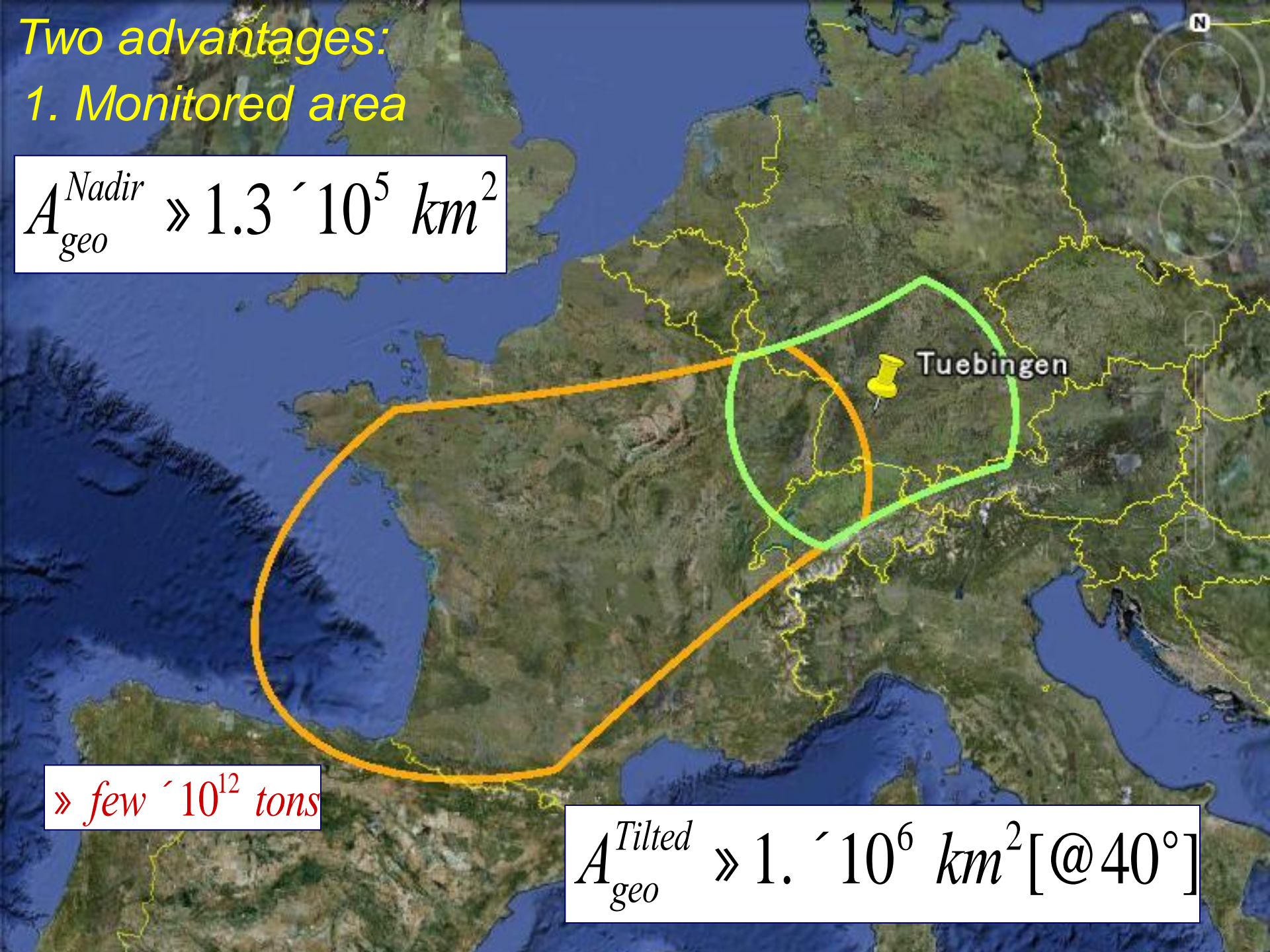


Two advantages:  
1. Monitored area

$$A_{geo}^{Nadir} \gg 1.3 \cdot 10^5 \text{ km}^2$$

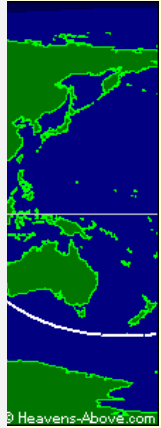
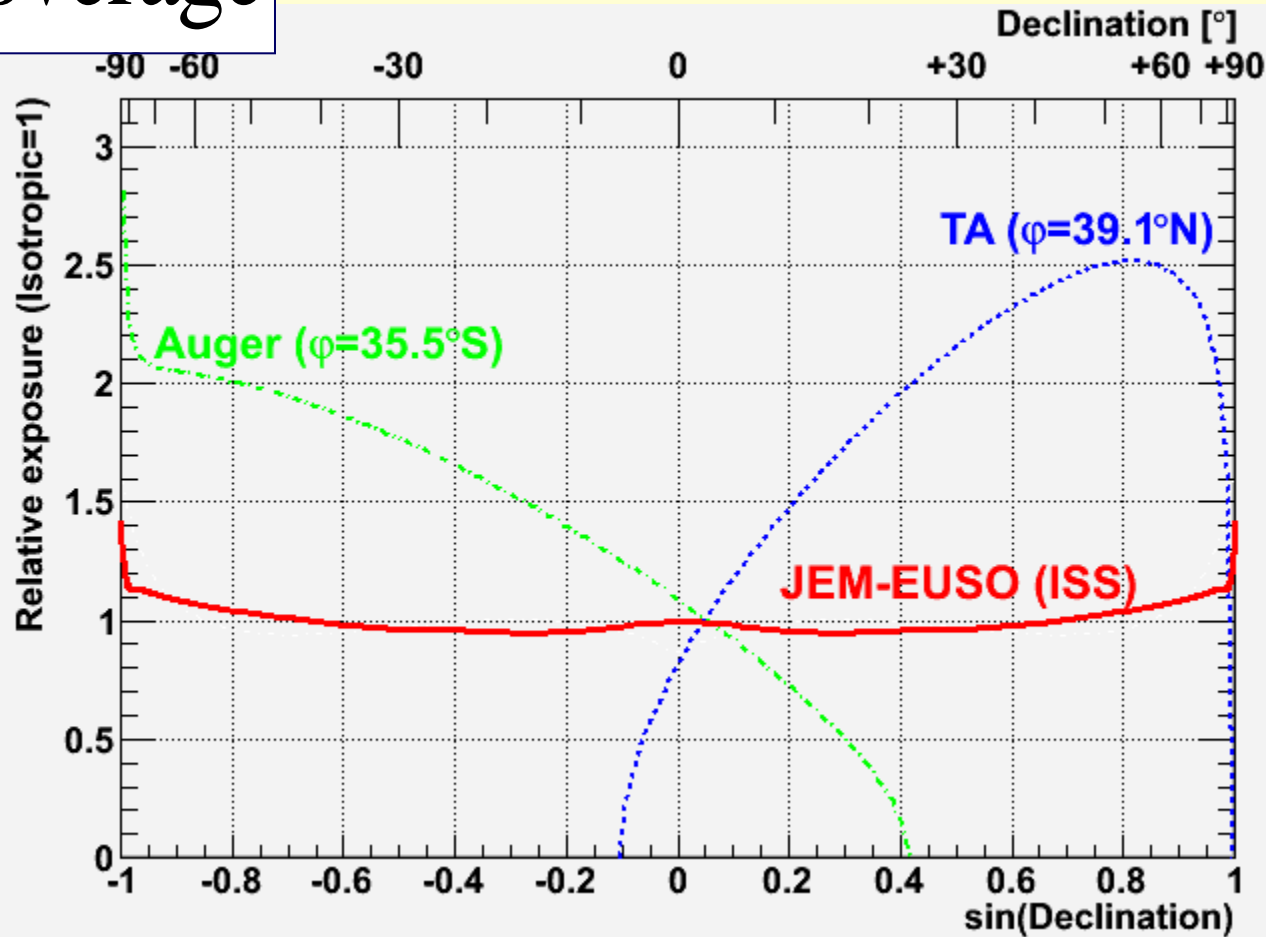
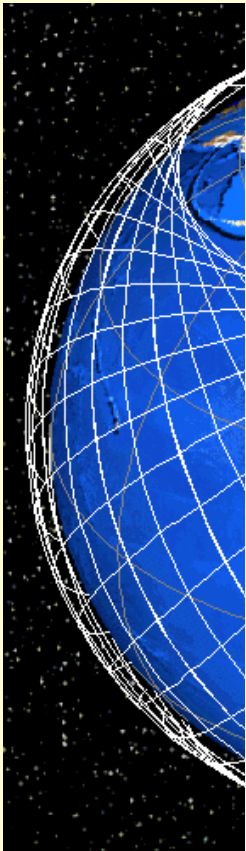
» few  $\cdot 10^{12}$  tons

$$A_{geo}^{Tilted} \gg 1. \cdot 10^6 \text{ km}^2 [ @ 40^\circ ]$$



## 2. ISS Orbit $\rightarrow$ Full sky Coverage...

4 $\rho$  coverage



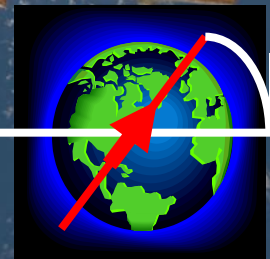
... and uniform exposure



# きぼう, Hope

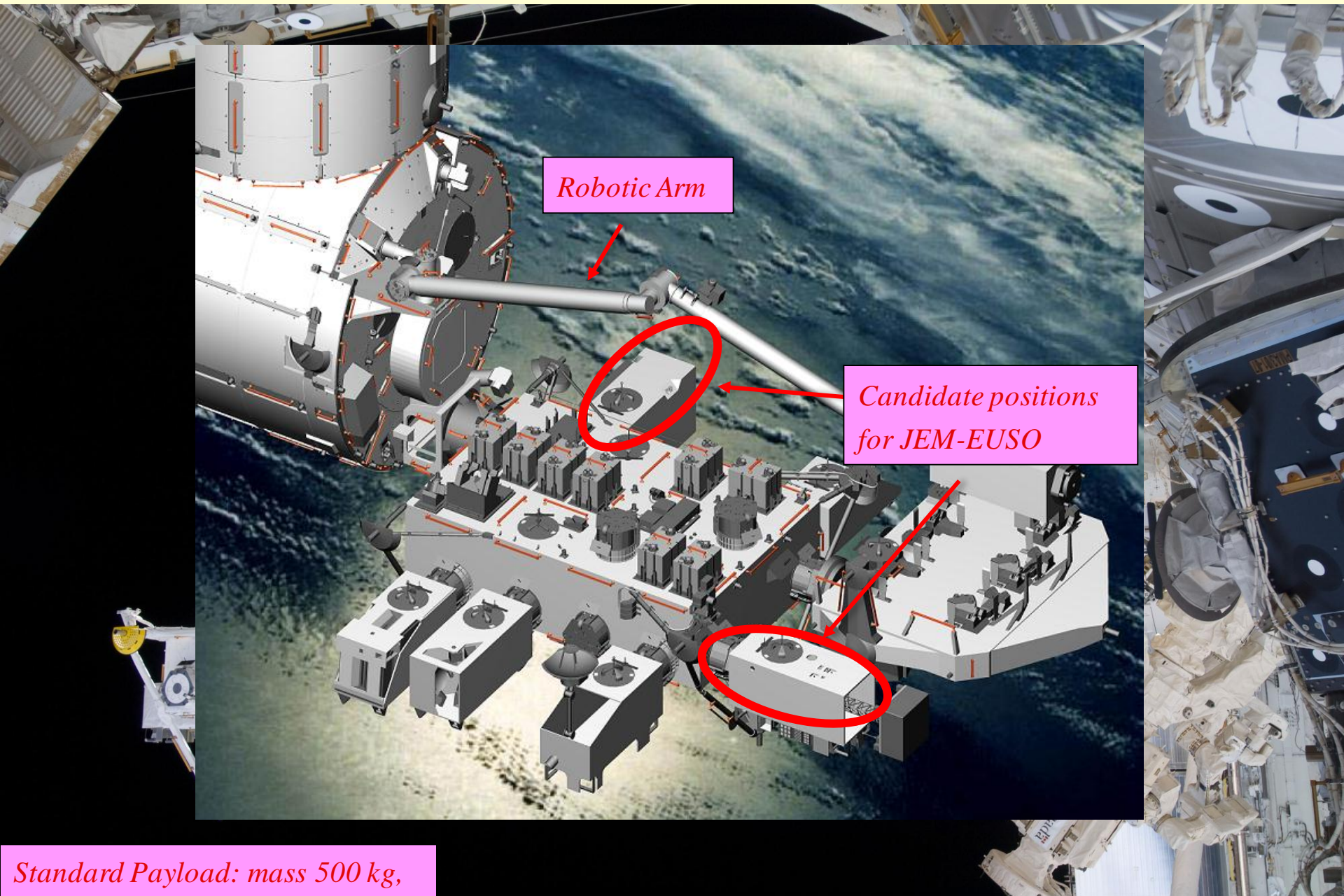


*Japanese Experiment Module  
"Kibo" July 2009*



51.6°





*Robotic Arm*

*Candidate positions  
for JEM-EUSO*

*Standard Payload: mass 500 kg,  
envelope: 1.85m × 1.0m × 0.8m*

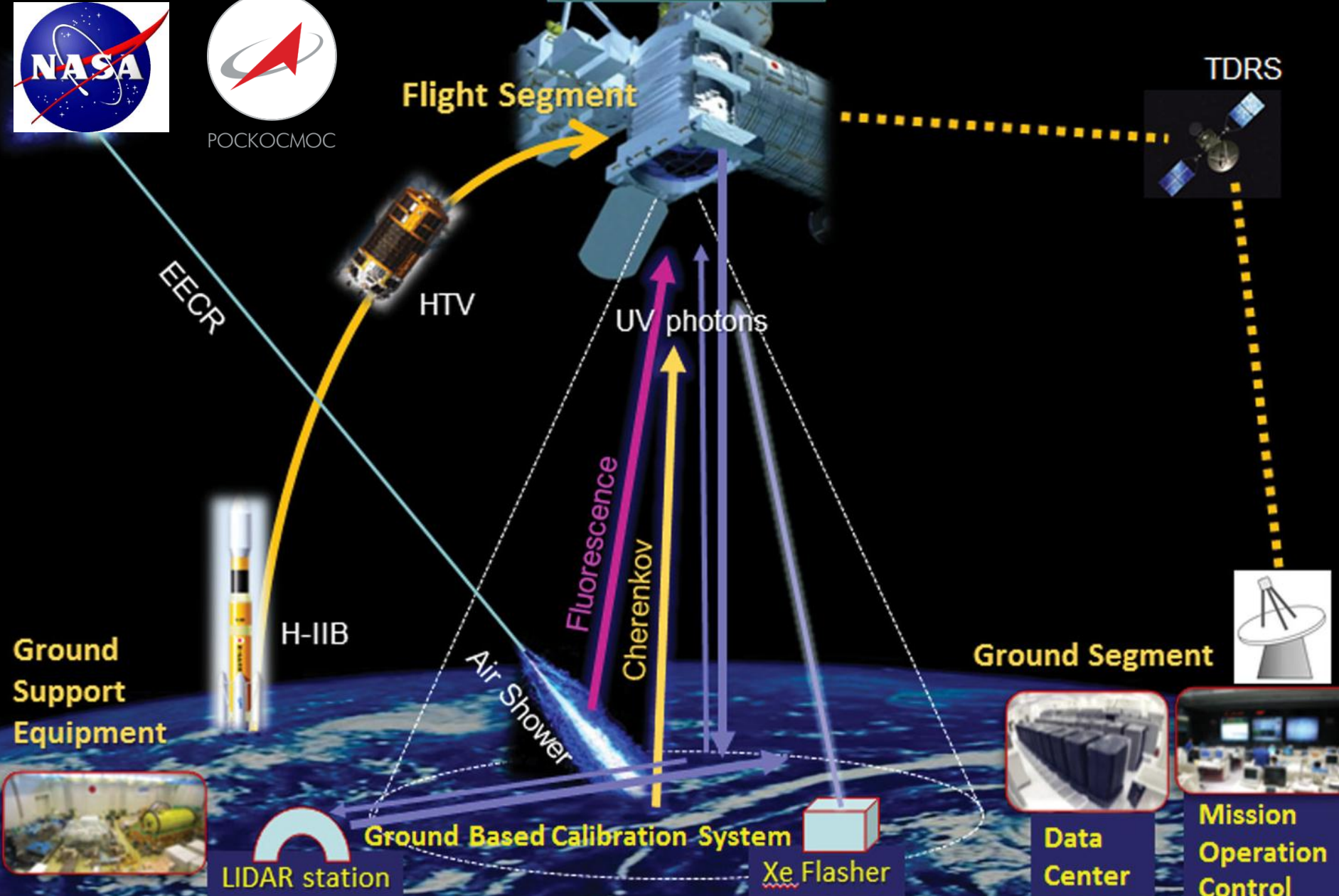
*Mission aspects have been successfully studies by JAXA and RIKEN*

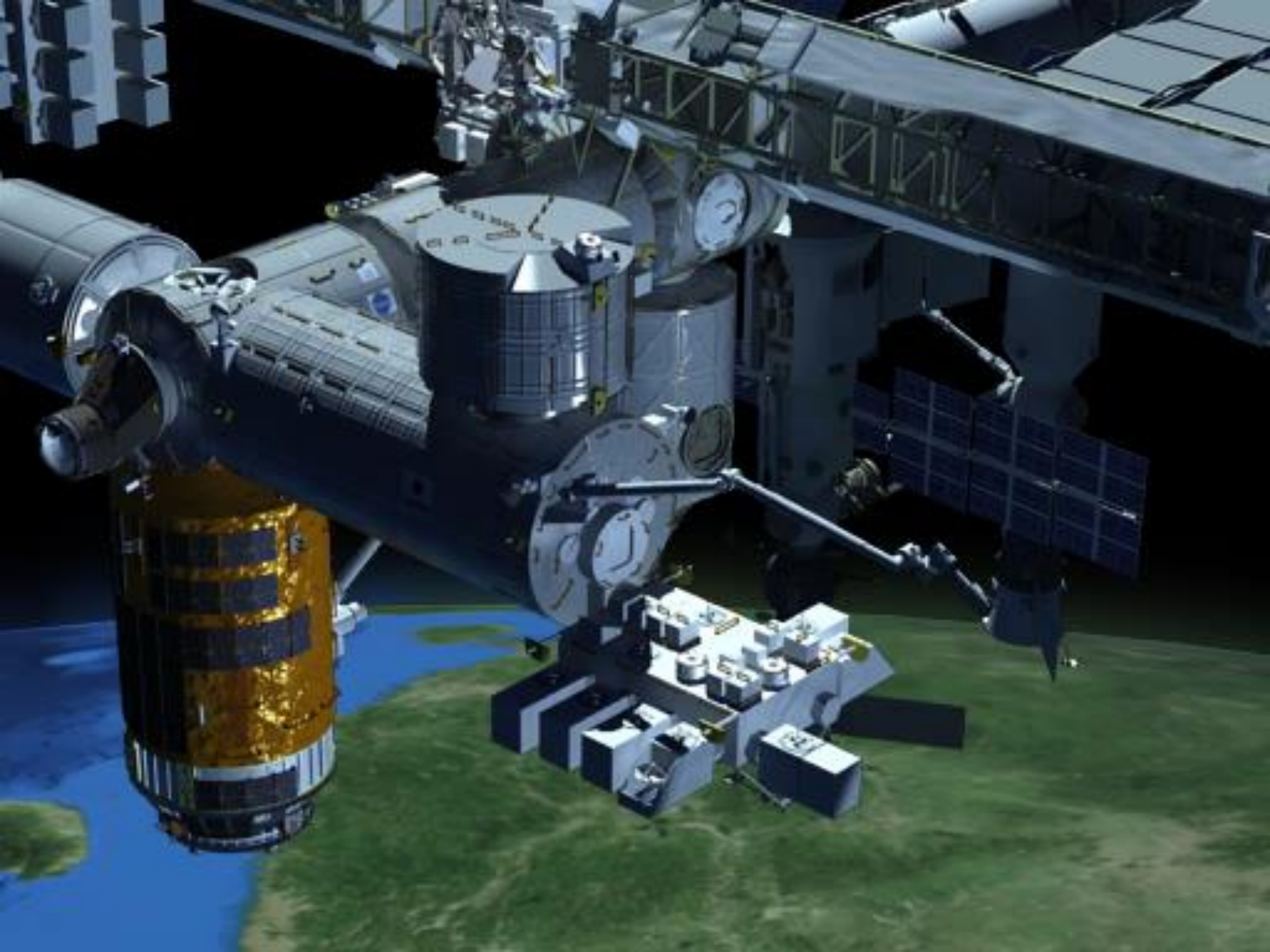
<b>Parameter</b>	<b>Value</b>
<b>Launch date</b>	<b>JFY 2016</b>
<b>Mission Lifetime</b>	<b>3+2 years</b>
<b>Rocket</b>	<b>H2B</b>
<b>Transport Vehicle</b>	<b>HTV</b>
<b>Accommodation on JEM</b>	<b>EF#2</b>
<b>Mass</b>	<b>1938 kg</b>
<b>Power</b>	<b>926 W (op.) 352 W (non op.)</b>
<b>Data rate</b>	<b>285 kbps (+ on board storage)</b>
<b>Orbit</b>	<b>400 km</b>
<b>Inclination of the Orbit</b>	<b>51.6°</b>
<b>Operation Temperature</b>	<b>-10° to 50°</b>





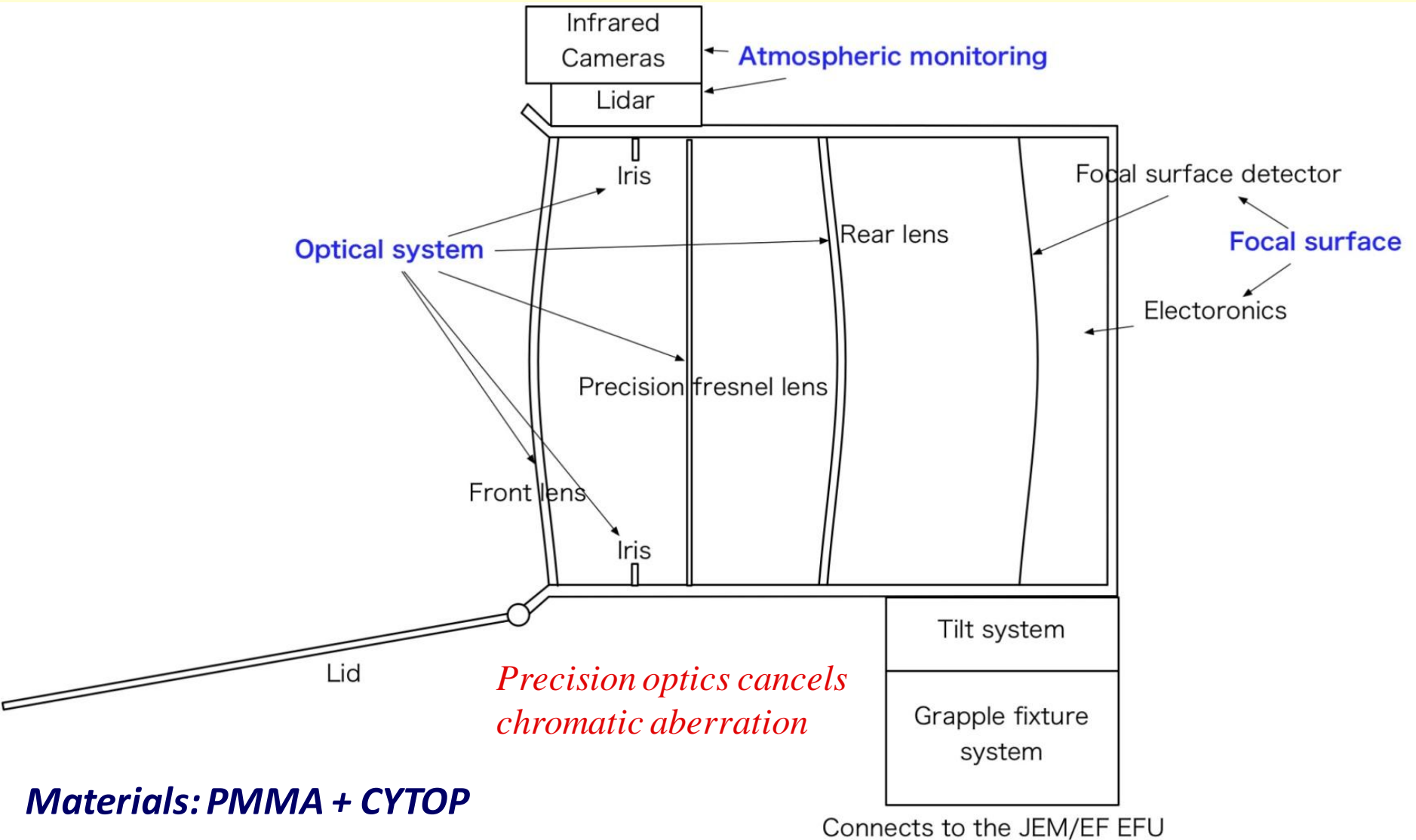
# JEM-EUSO





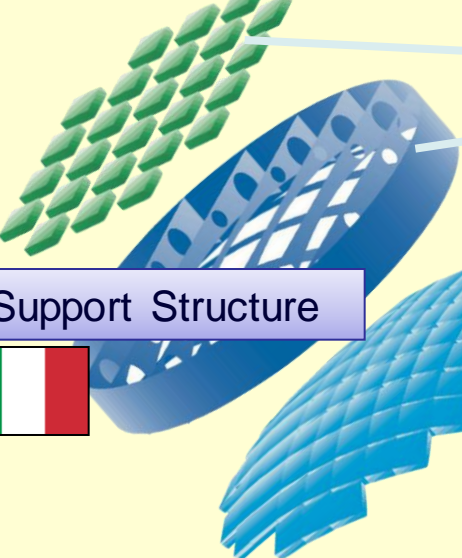


# Conceptual View of the JEM-EUSO Telescope



# International Role Sharing

DAQ Electronics



Support Structure



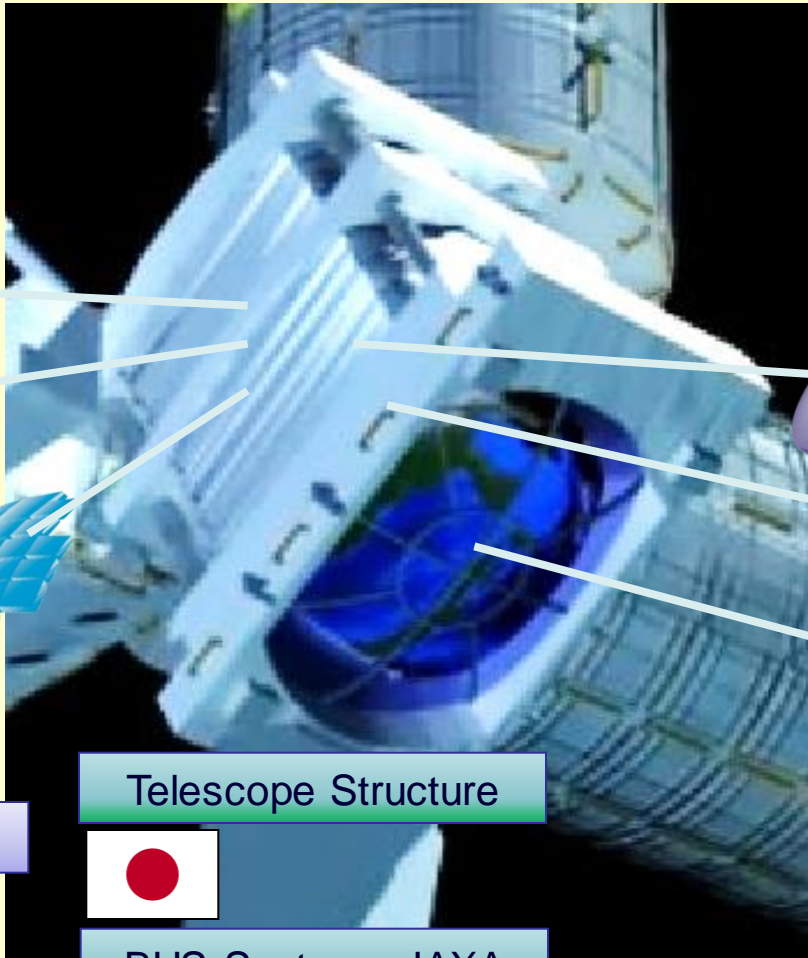
Focal Surface Detector



Housekeeping



Simulation : Worldwide



Telescope Structure



BUS System : JAXA



Atmospheric Monitoring



Optics



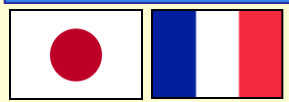
Rear Fresnel Lens

Precision Fresnel lens

Iris

Front Fresnel lens

On-board Calibration



Ground Based Calibration



Ground Support Equipment



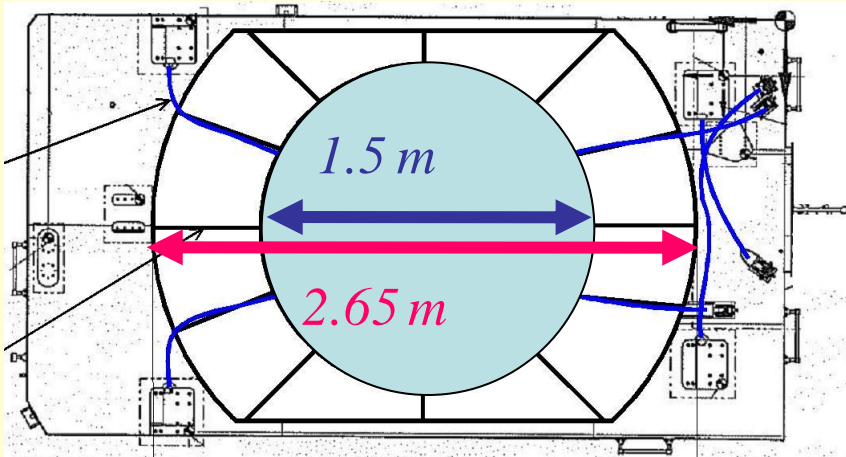
Tea Santangelo,  
Center-Tü

# The UV Telescope Parameters

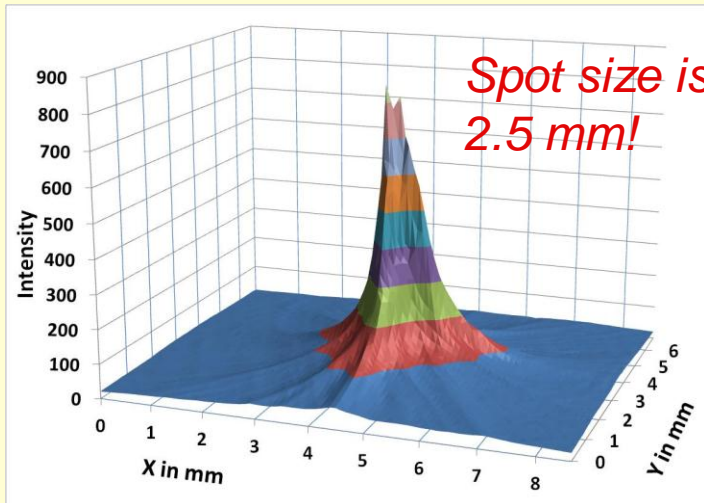
Parameter	Value
Field of View	$\pm 30^\circ$
Monitored Area	$>1.3 \times 10^5 \text{ km}^2$
Telescope aperture	$\geq 2.5 \text{ m}$
Operational wavelength	300-400 nm
Resolution in angle	$0.075^\circ$
Focal Plane Area	$4.5 \text{ m}^2$
Pixel Size	$< 3 \text{ mm}$ +
Number of Pixels	$\approx 3 \times 10^5$
Pixel size on ground	$\approx 560 \text{ m}$
Time Resolution	$2.5 \mu\text{s}$
Dead Time	$< 3\%$
Detection Efficiency	$\geq 20\%$ +

+ *Optics Throughput*

# *BBM of the Optics (Prototypes)*



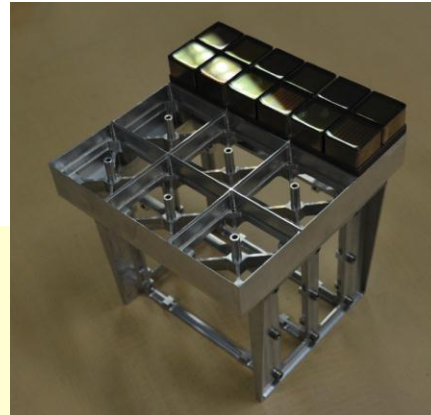
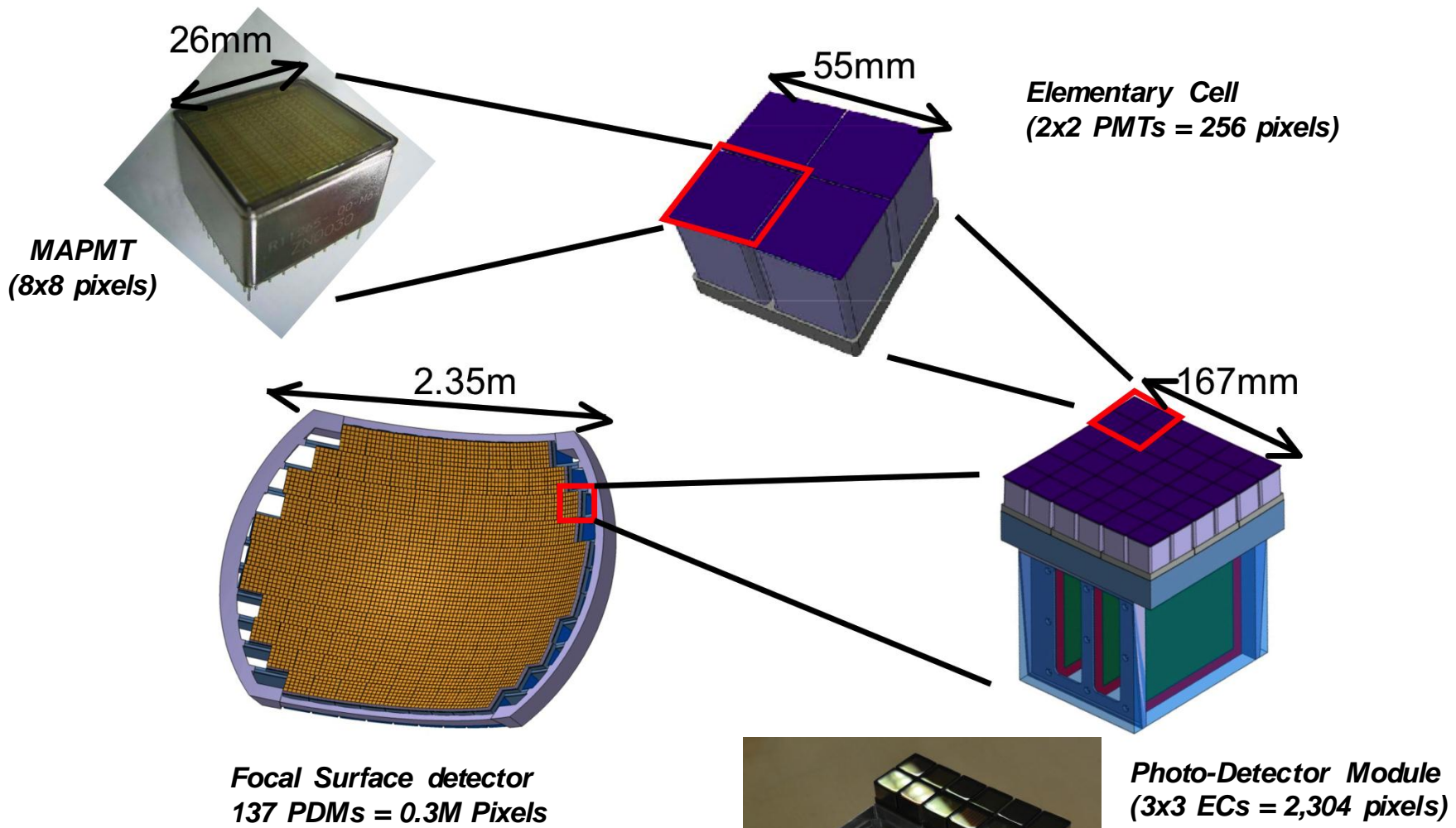
large diameter Fresnel lenses  
manufactured in Japan and  
tested in the US at the University  
of Alabama (Huntsville) and at  
MSFC (NASA)



Tested performances meet  
already the requirements  
(or are close to it)





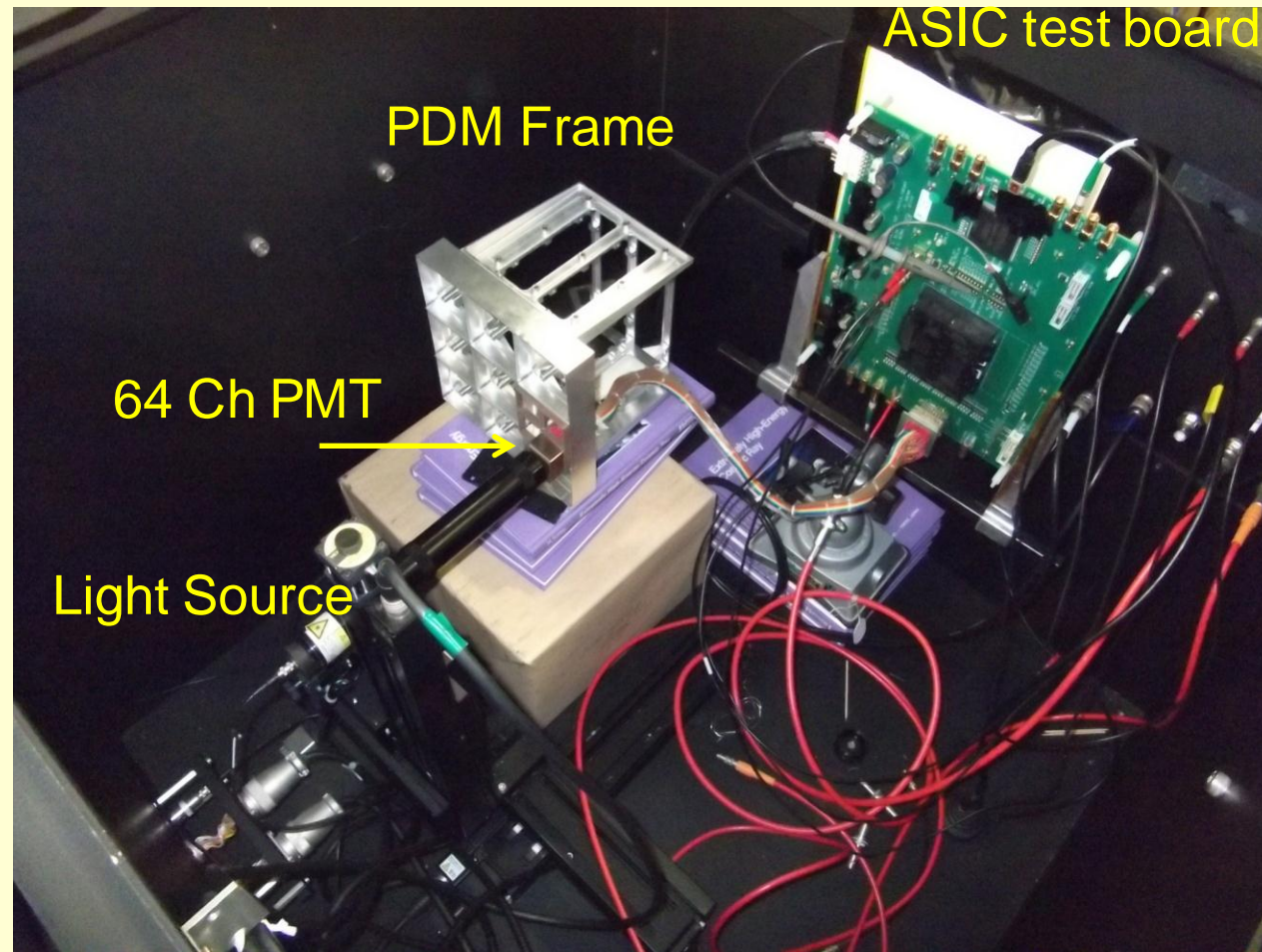


**Andrea Santangelo,**  
**Kepler Center-Tü**



# Detector and electronics

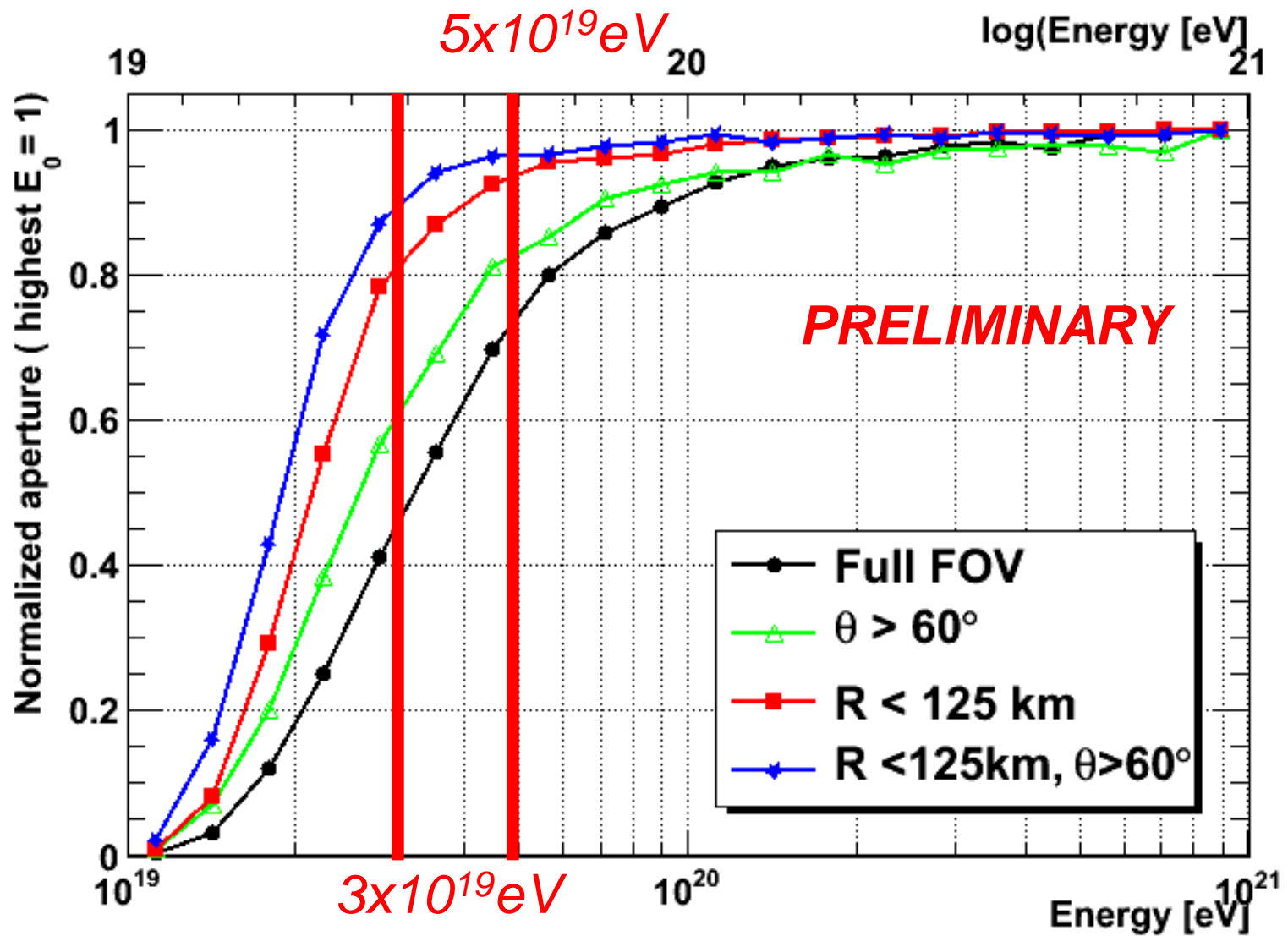
- MAPMT-64
- ASIC *Spaciroc*
- *Electronic Cell Board*
- 137 PDM *1st trigger and readout*
- CCB *2nd trigger*



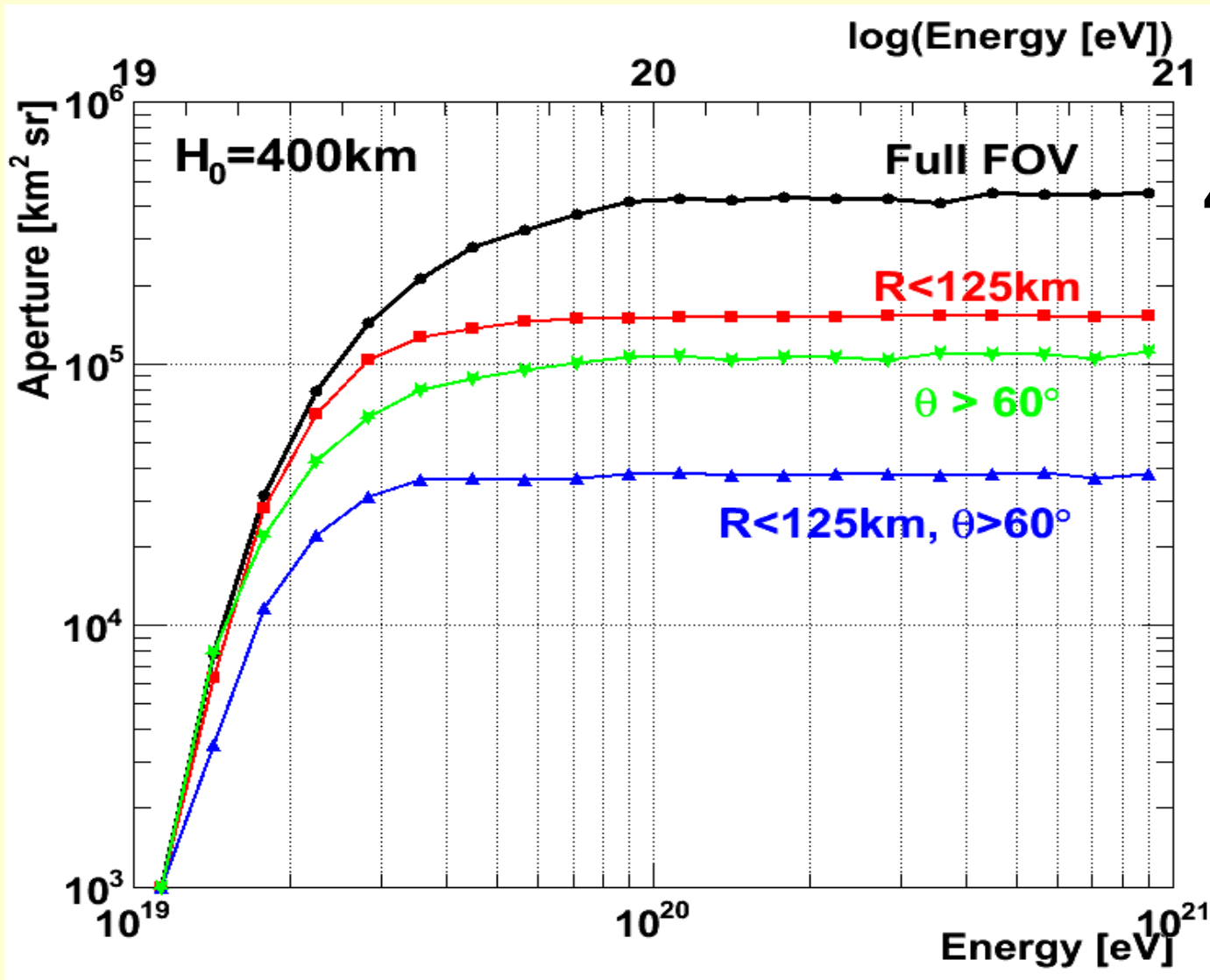
*From 9.6 GB/s to 3 GB/day on the entire FS*

*PDM Bread board model integrated at RIKEN*

# Normalised Aperture: Efficiency



# Instantaneous Aperture



$4.5 \cdot 10^5 \text{ km}^2 \text{sr}$

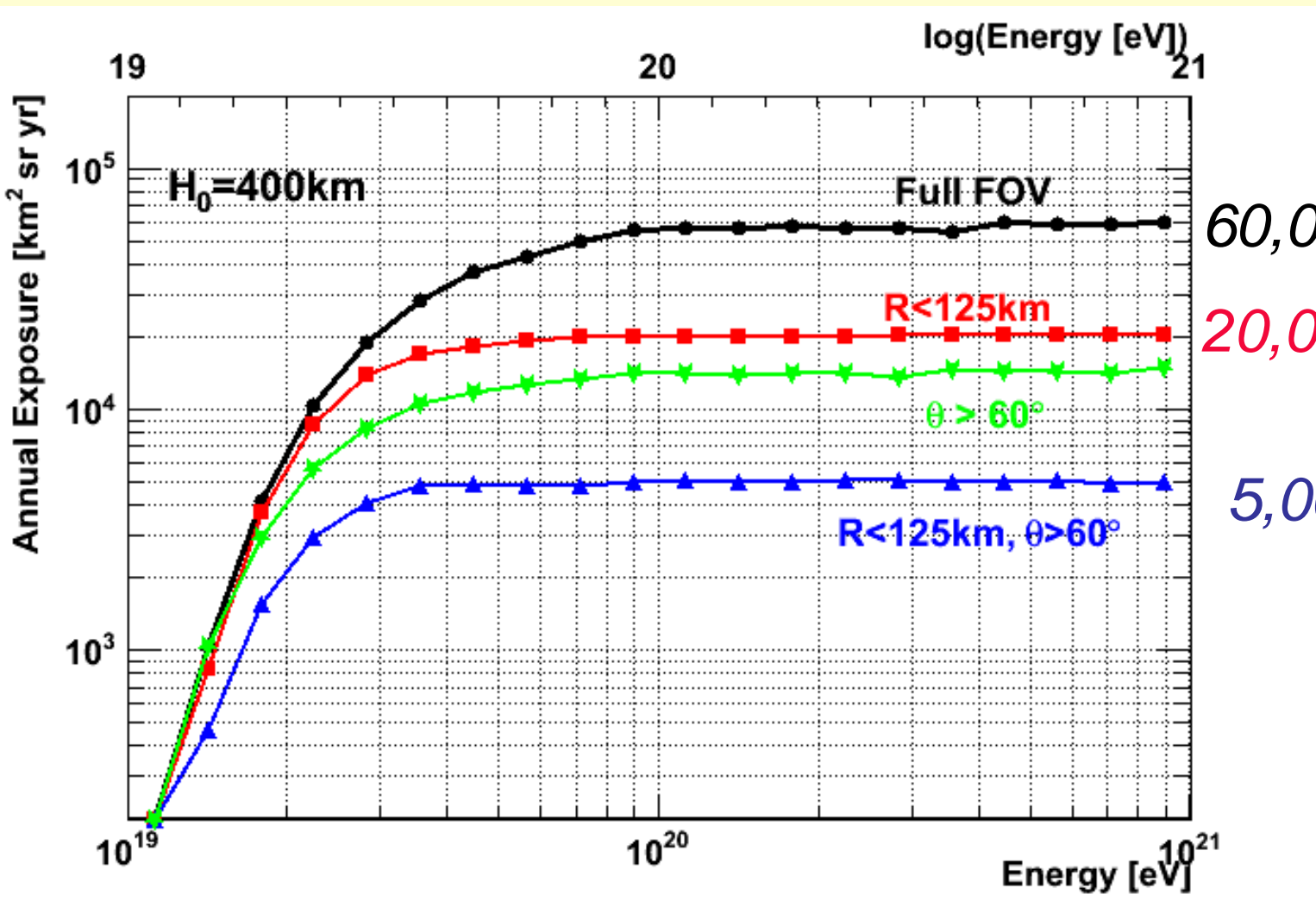
$1.5 \cdot 10^5 \text{ km}^2 \text{sr}$

$4 \cdot 10^4 \text{ km}^2 \text{sr}$

# Annual Exposure (...Nadir)

$$TA \times \eta \times k$$

↓  
~14%

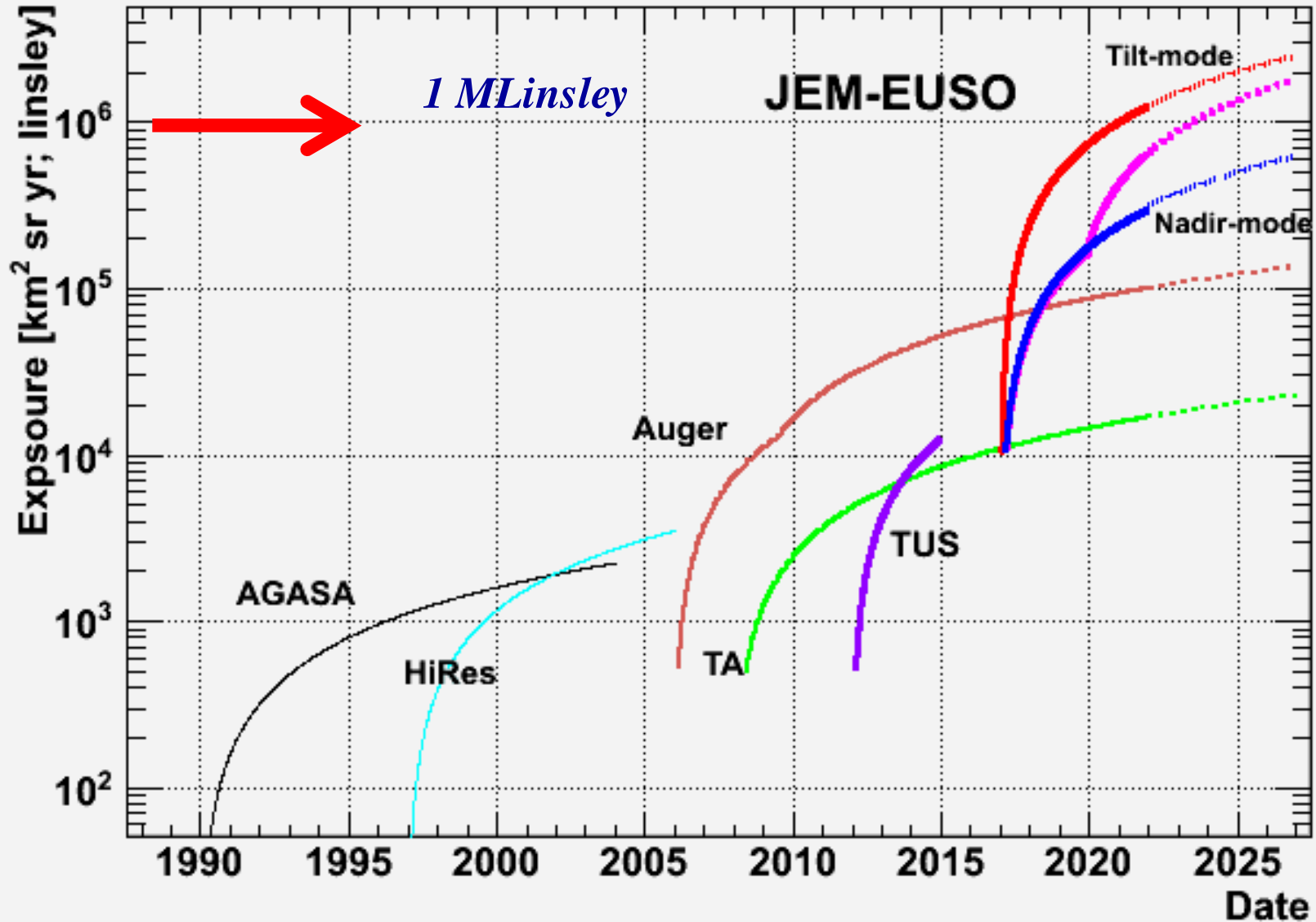


60,000 km<sup>2</sup> sr yr

20,000 km<sup>2</sup> sr yr

5,000 km<sup>2</sup> sr yr

# Why JEM-EUSO? Large exposure + Full sky coverage



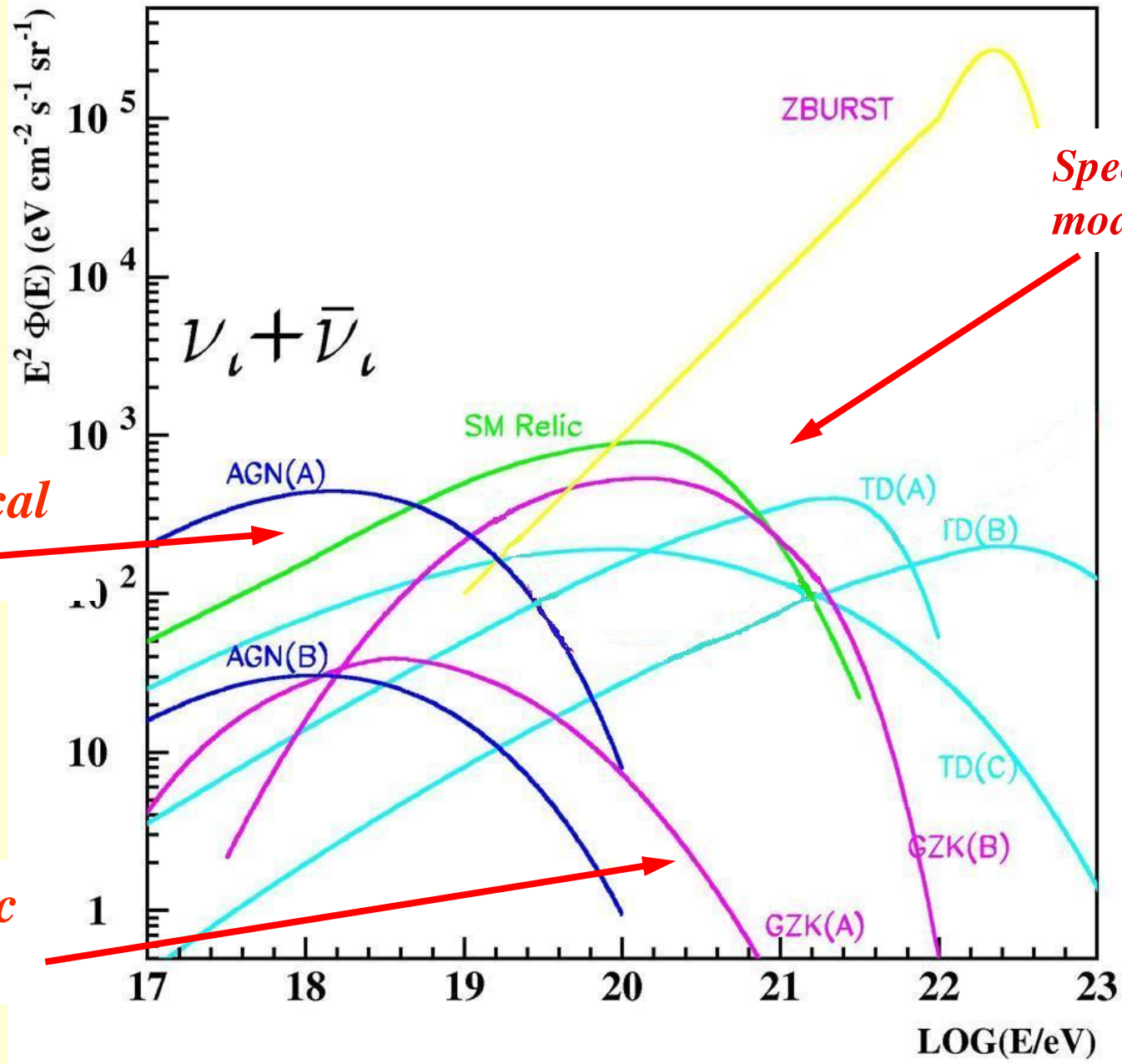
*II. Science case:  
JEM-EUSO and UHE neutrinos*



AGN  
Mannheim (1995)  
B)high – A)low

GZK(B) Kalashek,  
Kuzmin, Semokov,  
Sigl (2002)

GZK(A)  
Protheroe (1995)



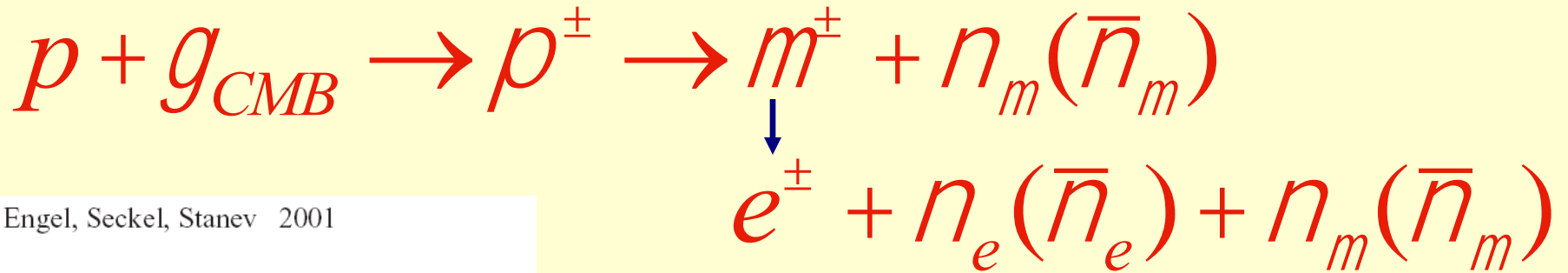
*Speculative models*

*Astrophysical models*

*Cosmogenic Neutrinos*

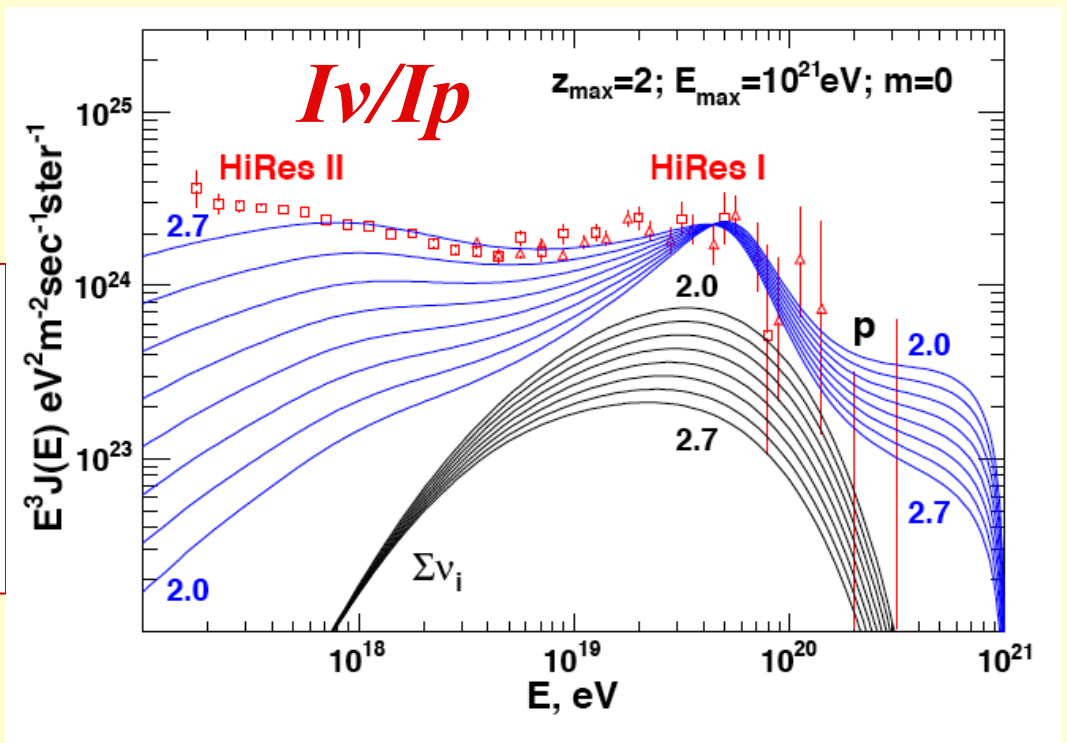
Old EUSO plot by Bottai et al., 2003

# Cosmogenic Neutrinos

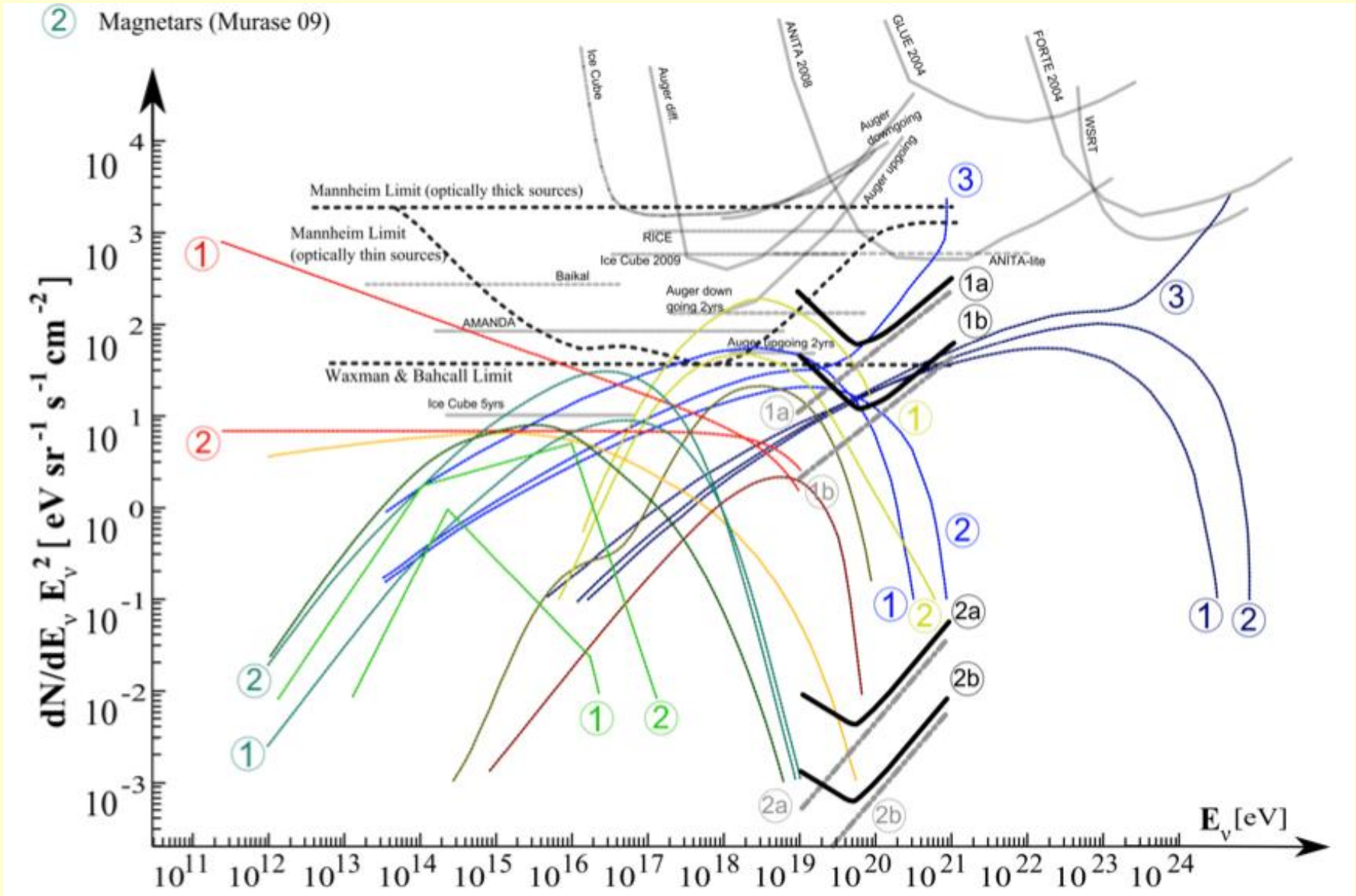


- Engel, Seckel, Stanev 2001
- Kalashev, Kuzmin, Semikoz, Sigl 2002
- Fodor, Katz, Ringwald, Tu 2003
- VB, Gazizov, Grigorieva 2003

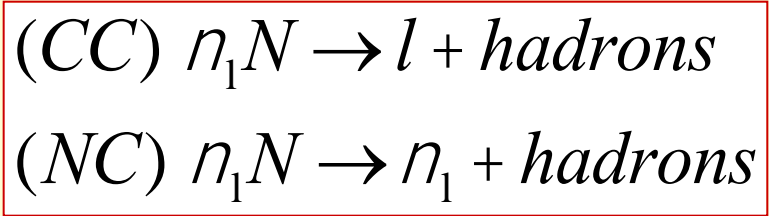
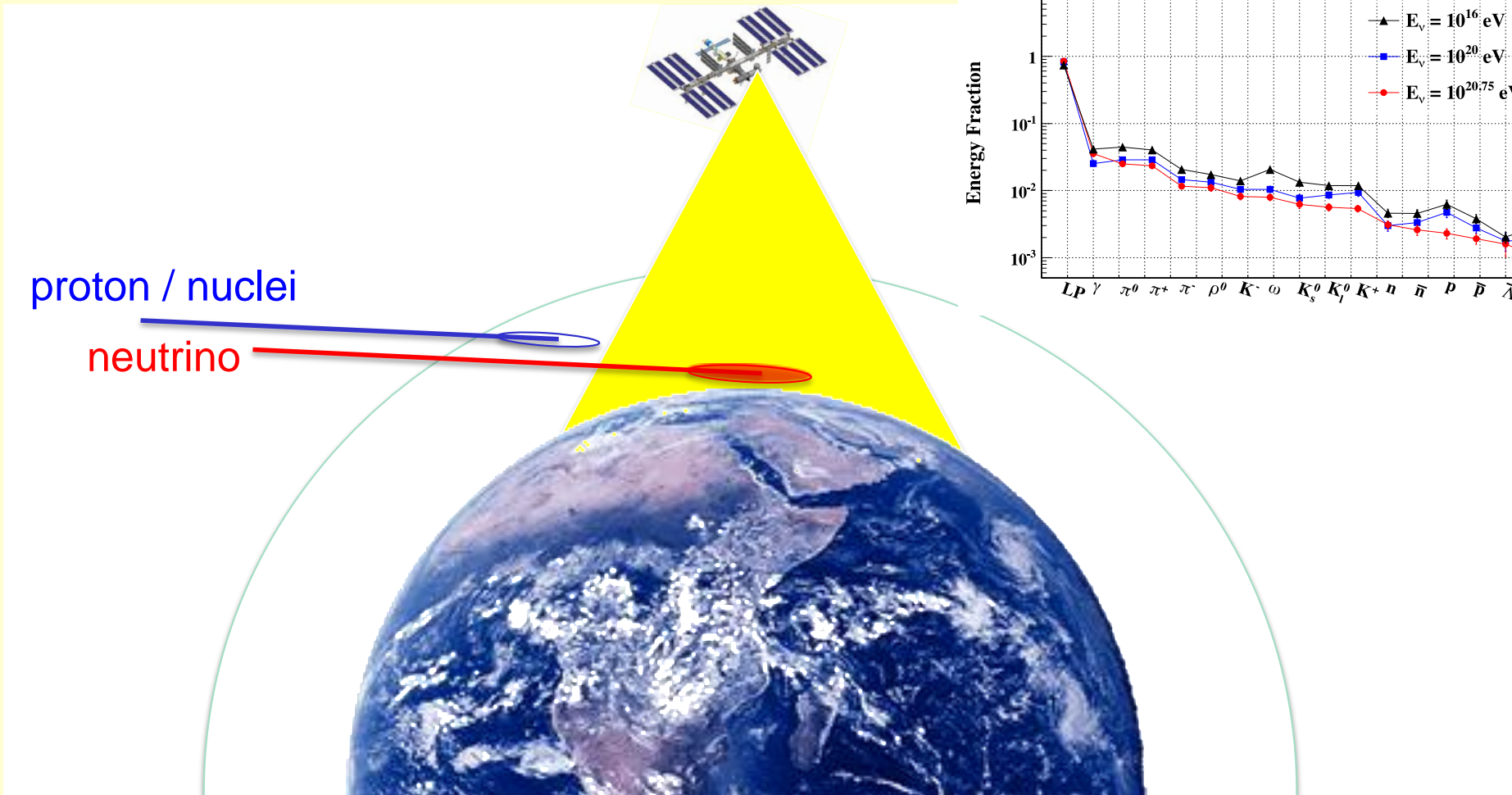
*Maximal Energy,  
Composition, Evolution of  
sources... is it really  
feasible?*



# The Zoo of neutrino models



# The key concept



# Neutrino shower simulation



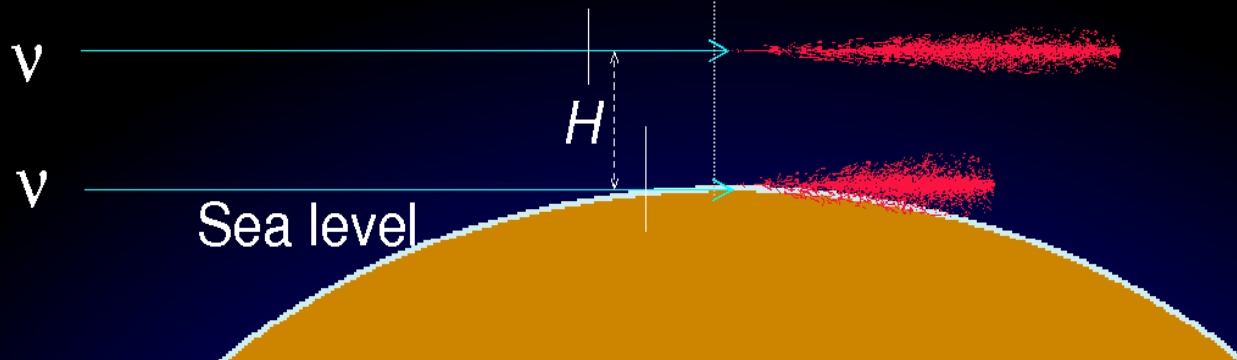
*Horizontally incident neutrinos*

*Survival prob. to come in FOV*

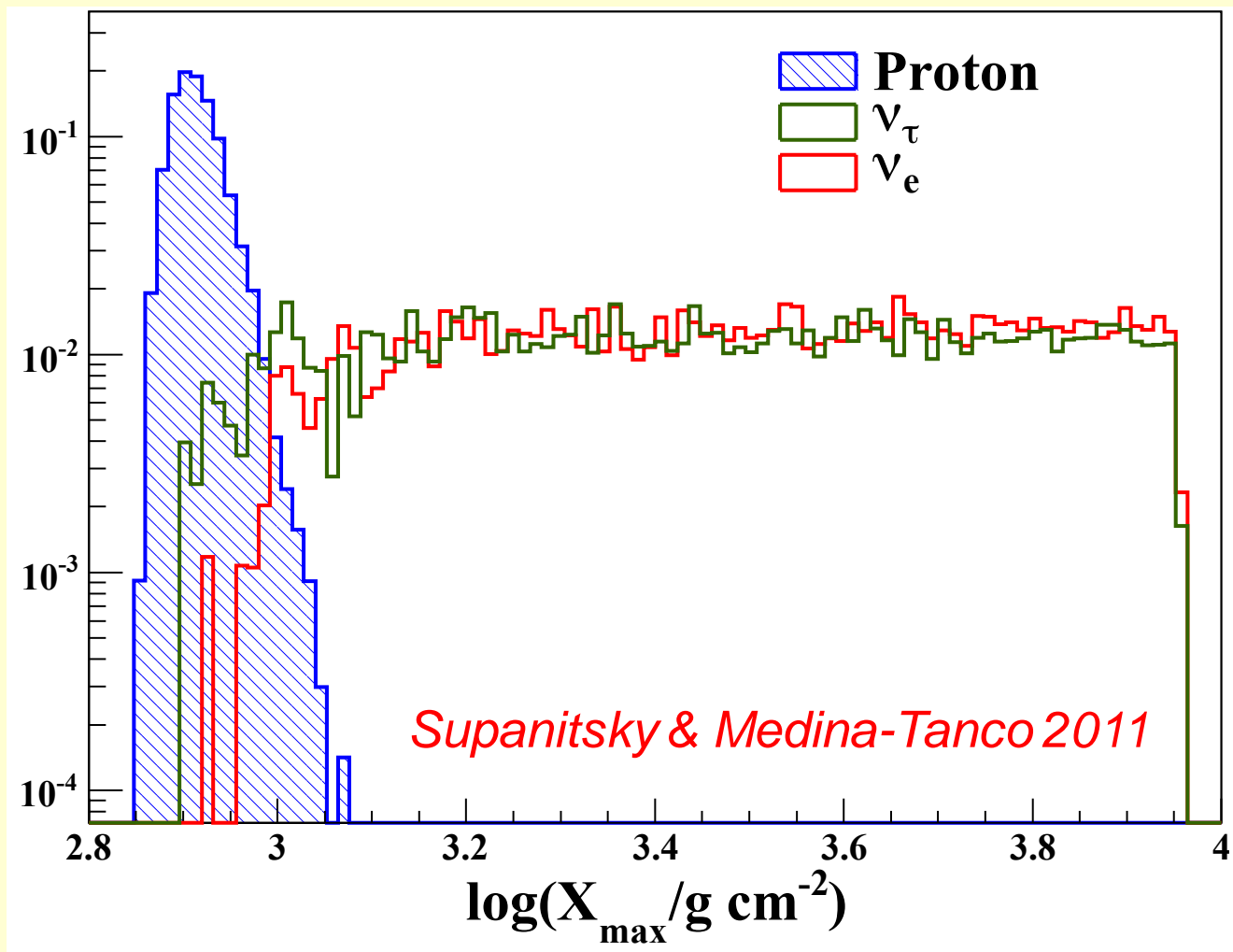
*Neutrino:  $\sim \exp(-0.001)$*

*Proton:  $\sim \exp(-1000)$  for  $10^{20}$  eV*

*CONEX code used for  
shower simulation in atmosphere*



# Neutrinos vs. Protons: $X_{max}$

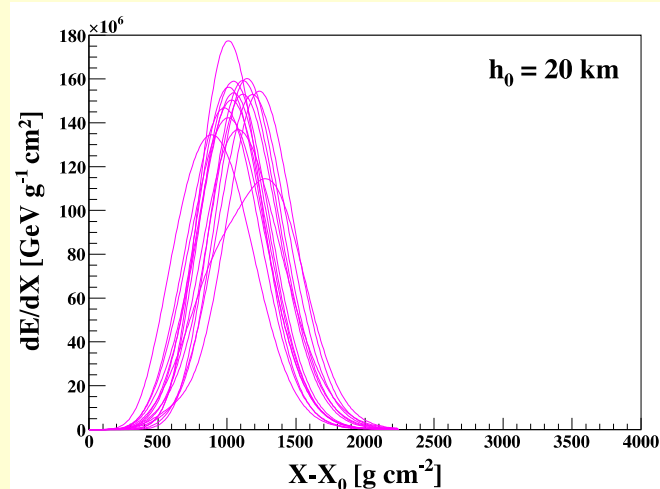
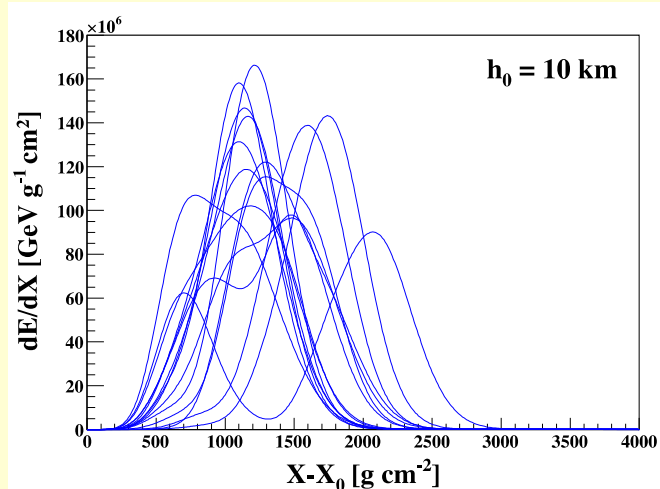
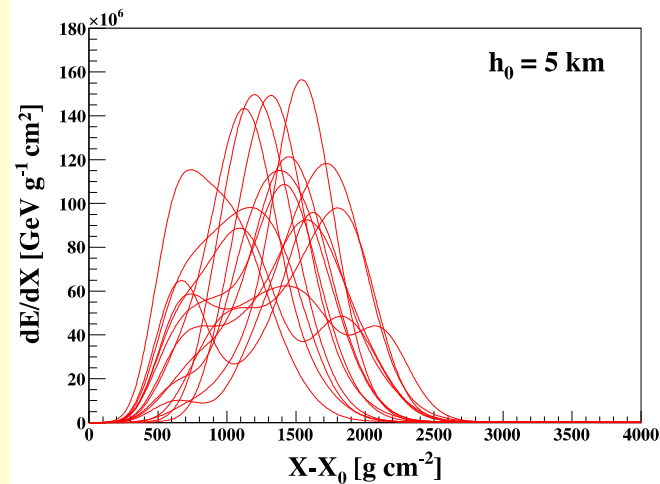
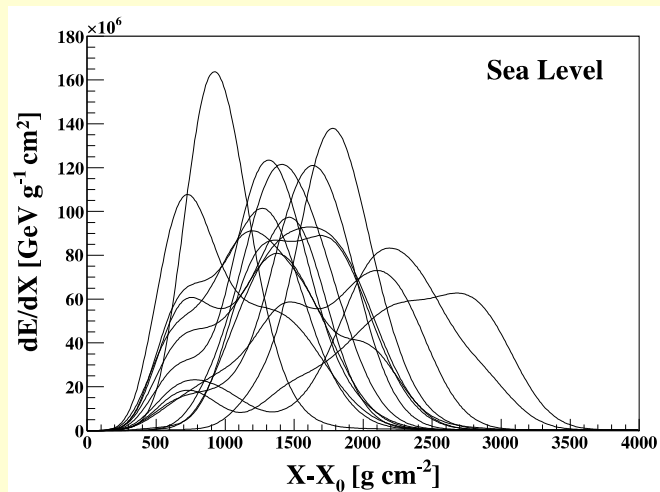


Distribution of  $X_{max}$  for protons and neutrinos for  $E=10^{20}$  eV and  $\theta=85^\circ$  (First Peak of the shower profile)



# Profiles

First peak from the hadronic and the em part of the shower, second and more from the em part.



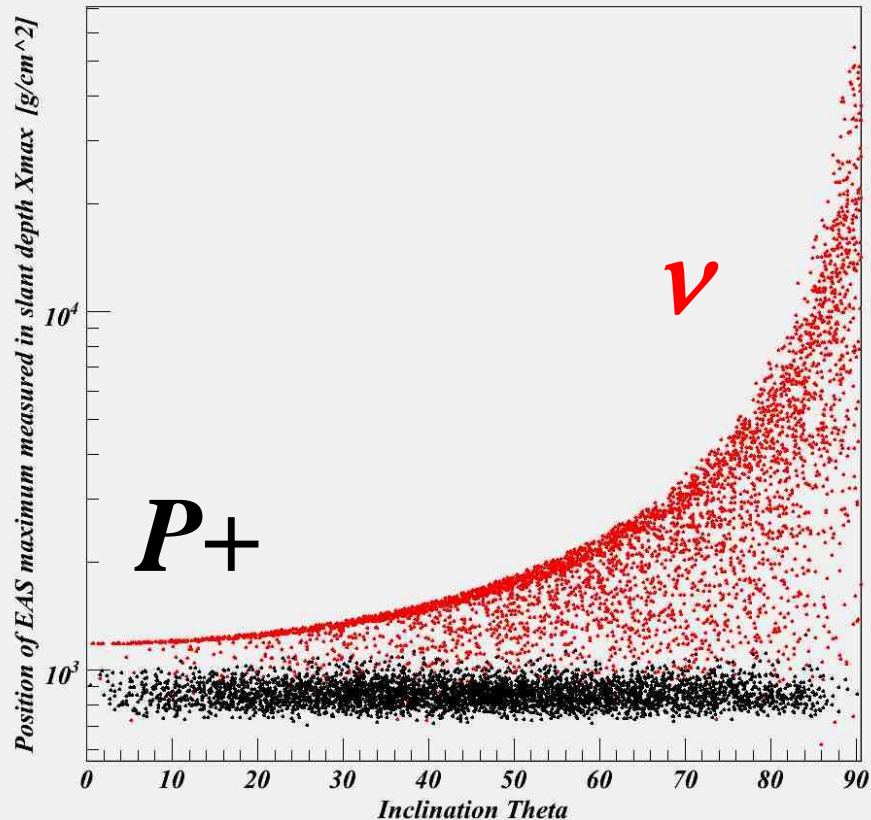
LPM\* effect reduces with altitude

\* Landau Pomeranchuk Migdal

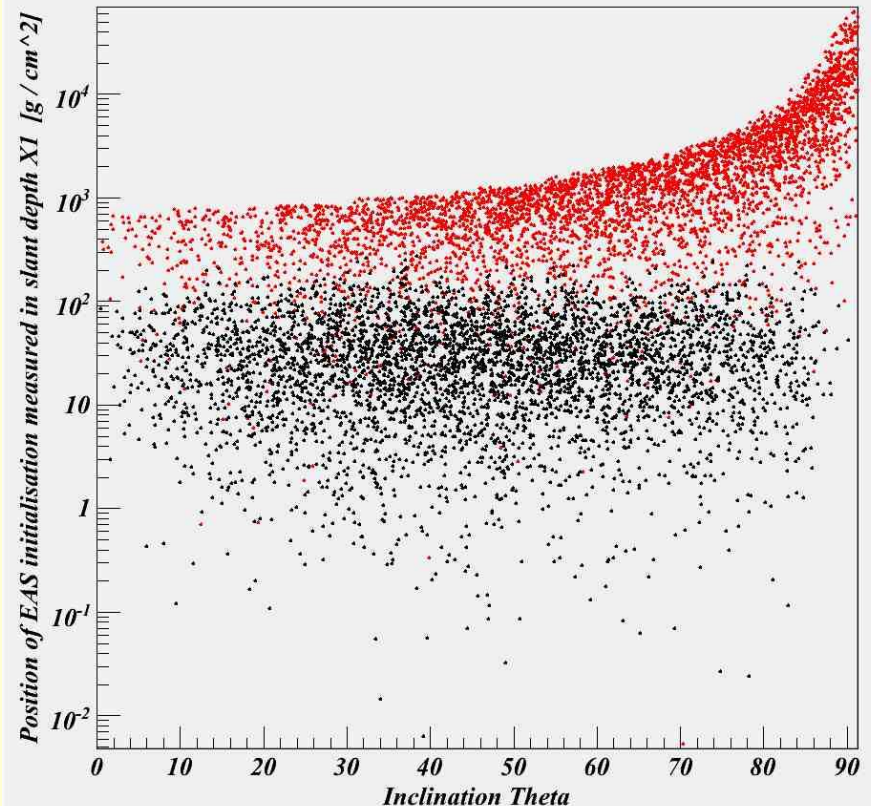
Profile of the shower for horizontal electron neutrinos at  $E=10^{20}$  eV for four different altitudes

# Discrimination of Neutrinos vs Protons

**Rejection >  $10^{-6}$**

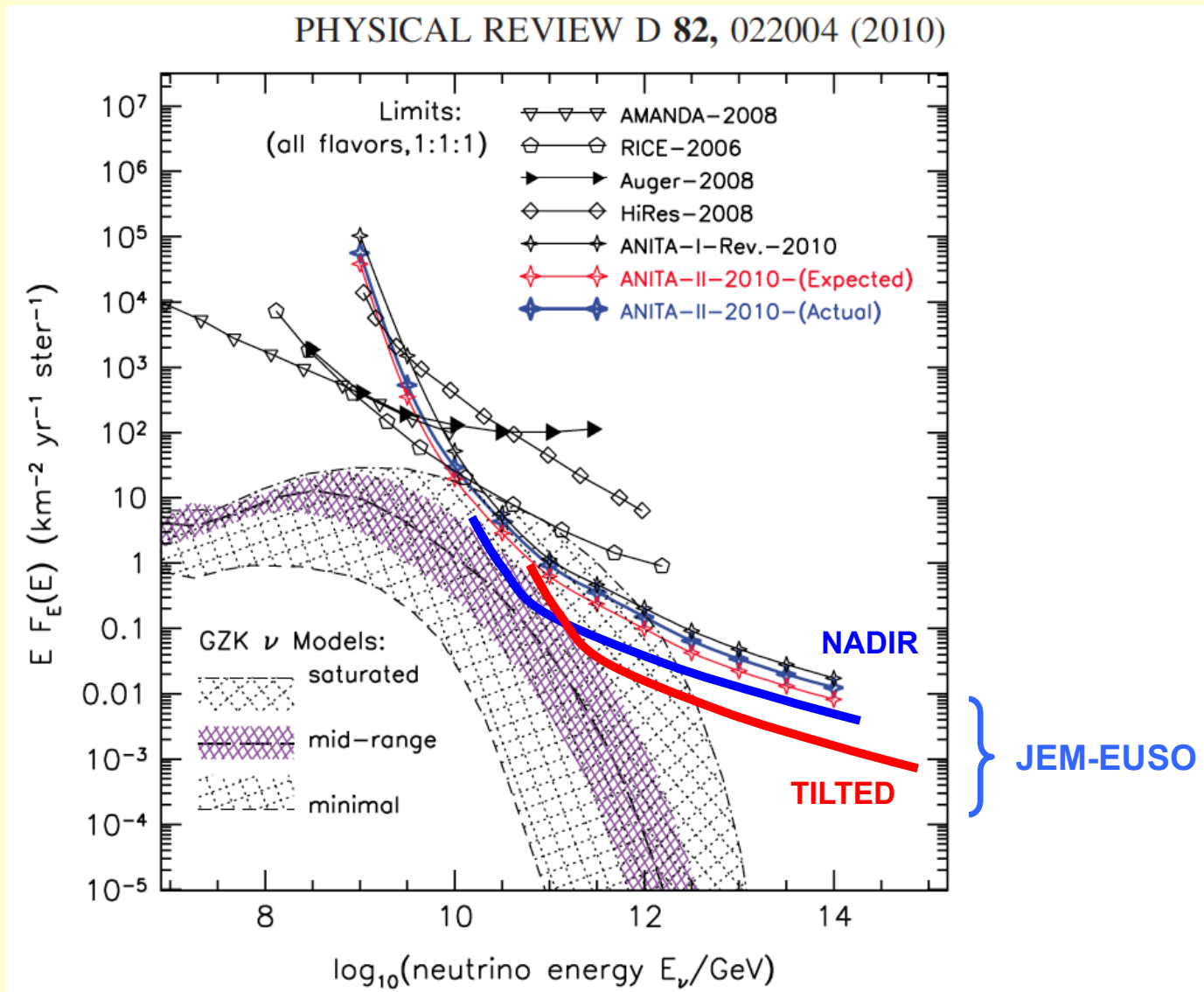


**$X_{max}$**



**$X_1$  initial point**

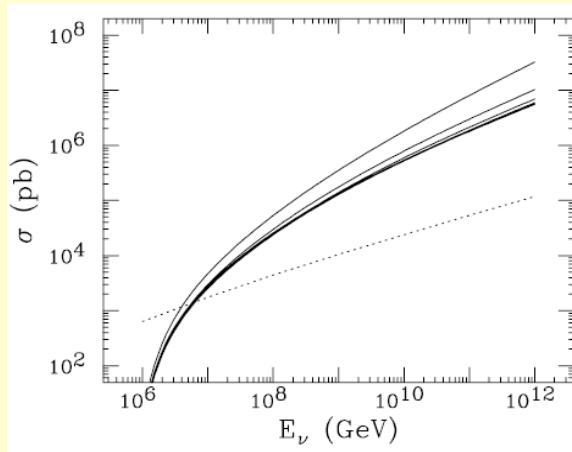
# Upper limits on neutrino fluxes



# *New Physics from Neutrinos?*

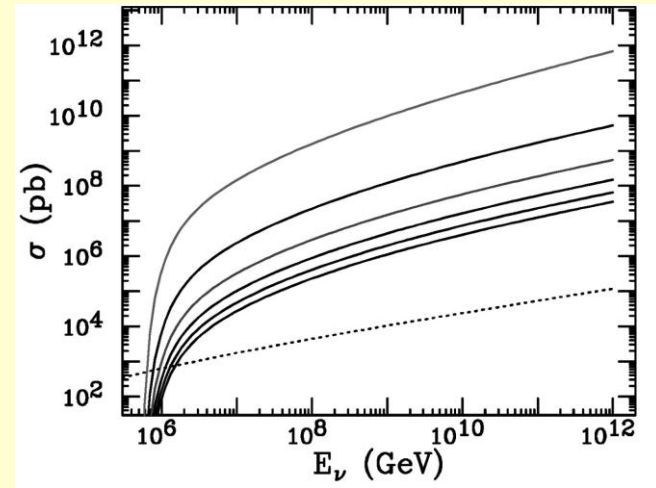
# Neutrino cross sections

## Black Hole production



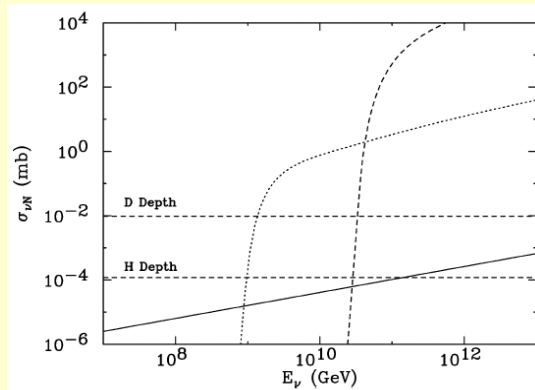
*Feng & Shapere, 2002*

## p-brane production



*Anchordoqui, Feng and Goldberg, 2002*

## EW instanton effects

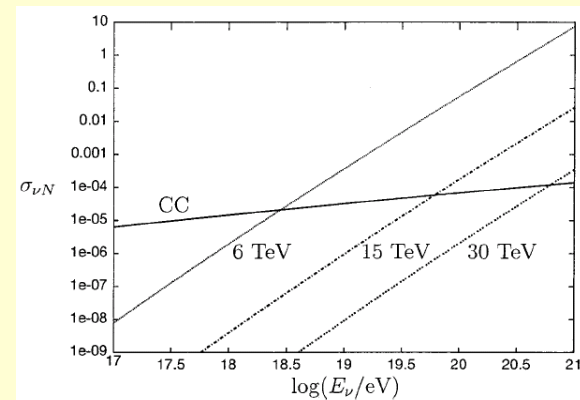


*Bezrukov et al.,  
2003a, 2003b*

*Ringwald, 2003*

*Han & Hooper, 2004*

## Exchange of KK modes

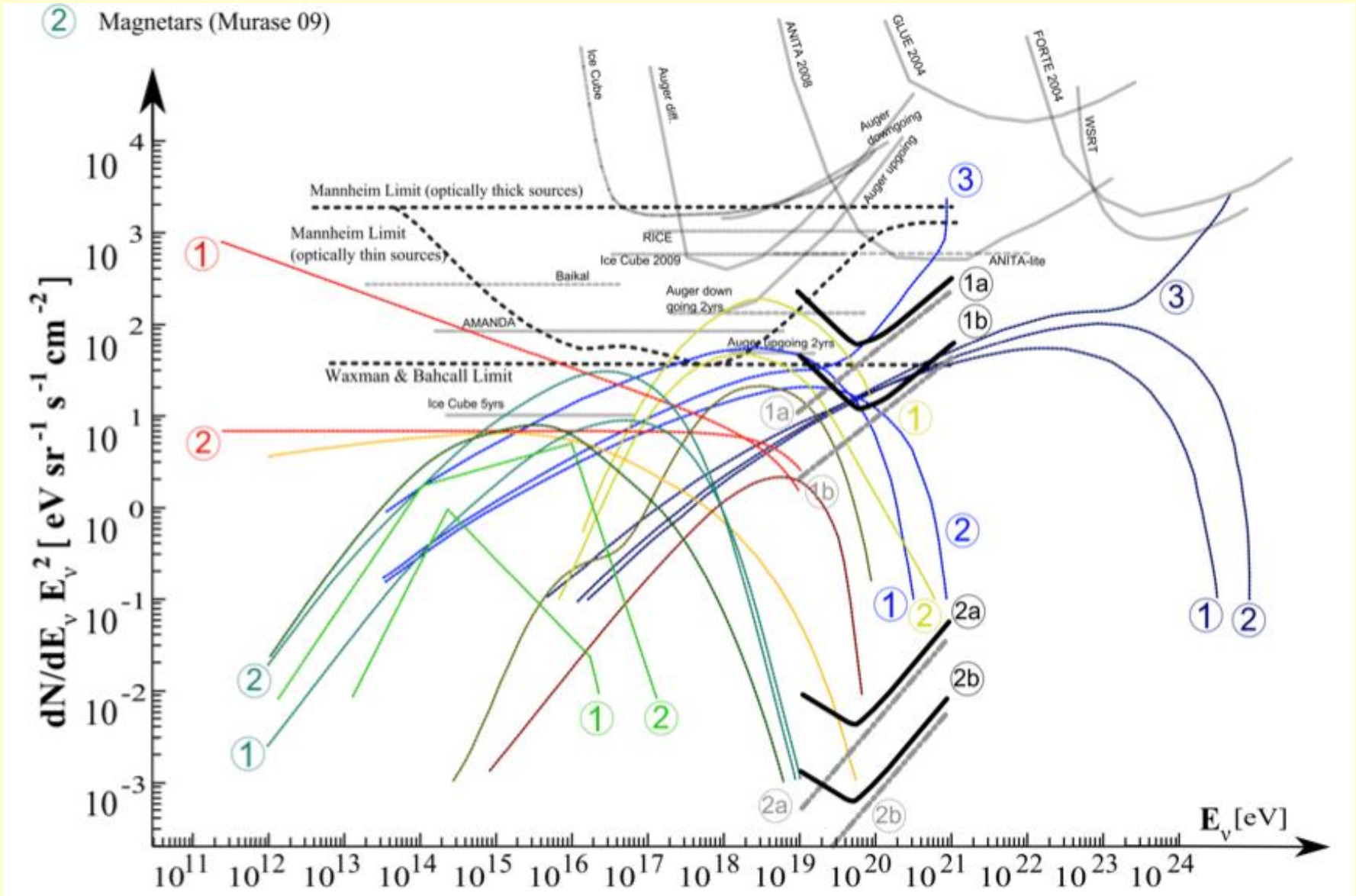


*Kachelriess & Plümacher, 2000*

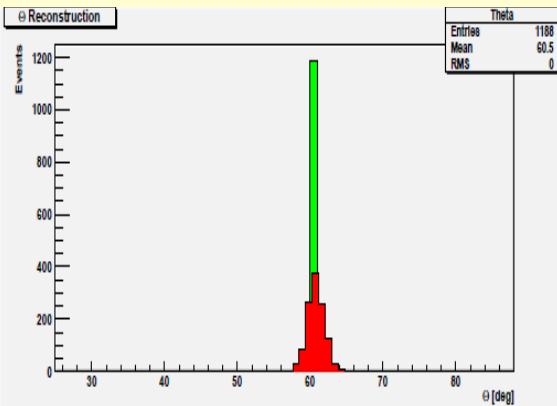
**Andrea Santangelo,  
Kepler Center-Tü**



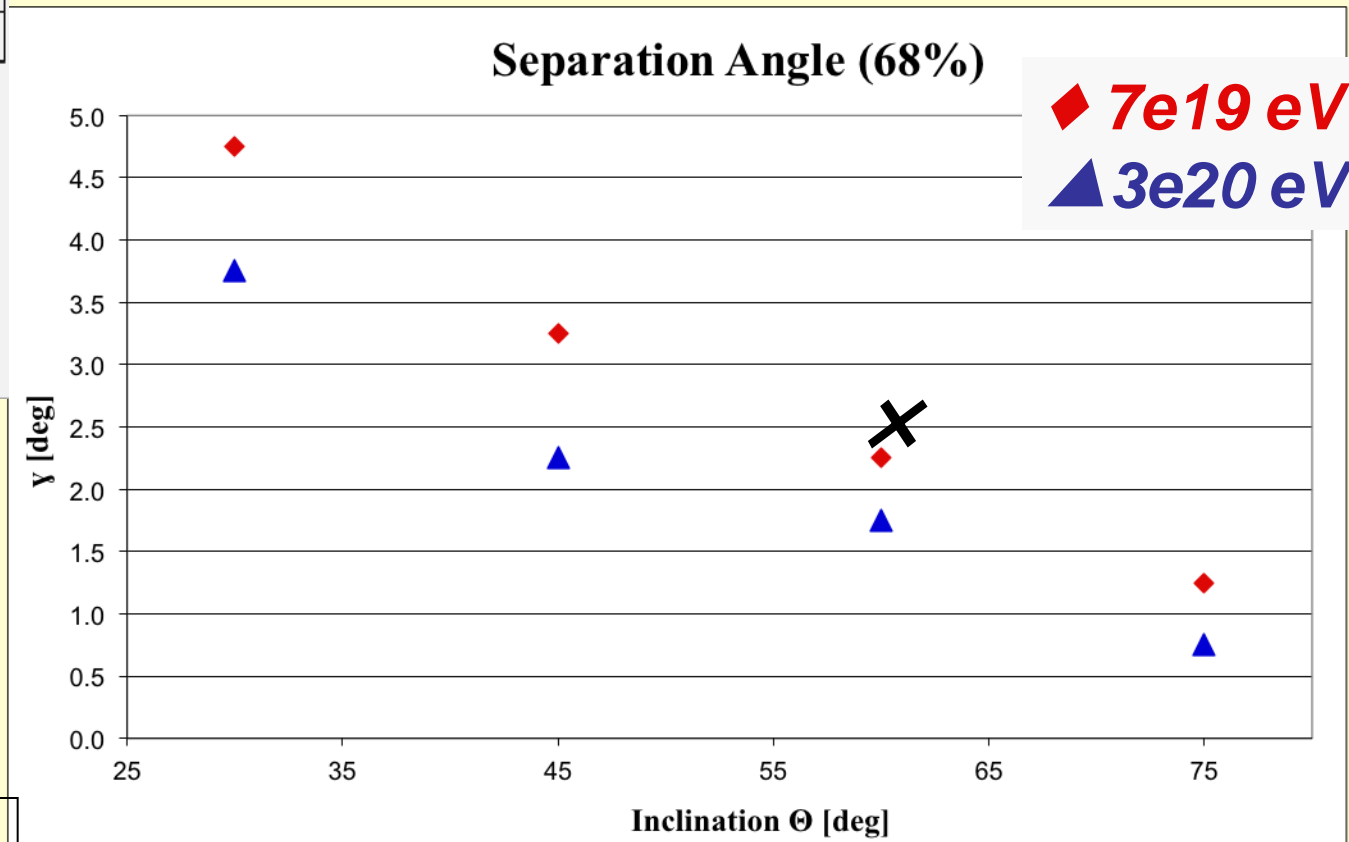
# The Zoo of neutrino models



# Angular Resolution



$\alpha(\text{deg})$



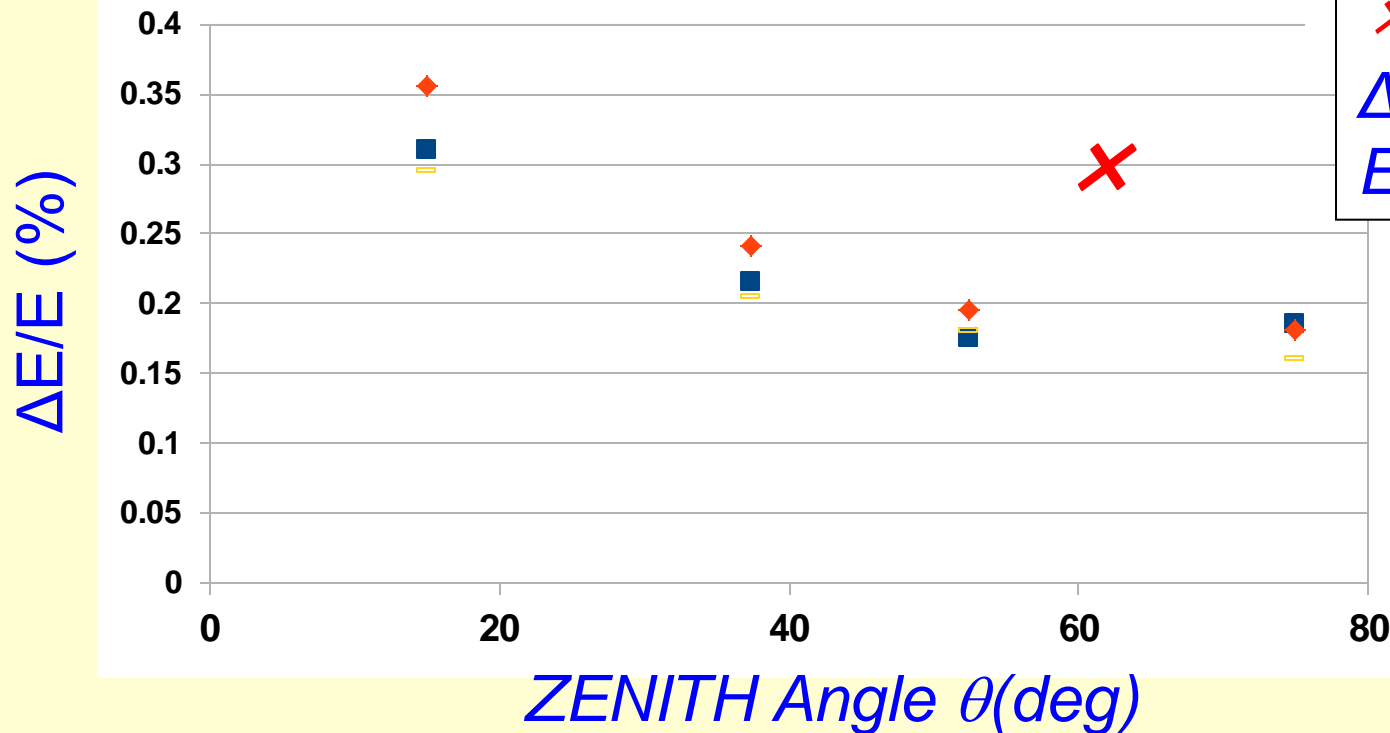
**Requirement**  
 $a < 2.5^\circ$  @  
 $E = 10^{20}$  eV,  $q = 60^\circ$

$\alpha(\text{deg})$

End to end simulations show that the requirement is met.

# Energy Resolution

- ◆  $\log(E/eV)=19.6$
- $\log(E/eV)=19.9$
- $\log(E/eV)=20.1$



*End to end simulations show that the requirement is met.*

$\Delta X_{max} < 70 \text{ gr/cm}^2$  (Requirement  $\Delta X_{max} < 120 \text{ gr/cm}^2$ ) OK

# Conclusions

- Science: UHECR → Evidence for GZK, Indication for Anisotropy, hints of sources but *puzzling scenario* (PAO, HiRes, TA). *No UHE neutrinos discovered...*
- Current generation of UHE Observatories is too small: *We need next generation to solve the puzzle and to explore the unknown → Neutrinos, Photons, new physics*
- *Breakthrough can come from space:* Large and uniform exposures of the entire sky, *JEM-EUSO is the pathfinder* with likely outstanding science output.
- JEM-EUSO will have *enough exposure and reconstruction capability at  $3 \times 10^{19}$  eV to overlap* with current generation observatory.
- The JEM-EUSO duty cycle and cloud impact have been thoroughly *estimated to be  $\eta \approx 20\%$  and  $\kappa > 70\%$ .*



# Conclusions

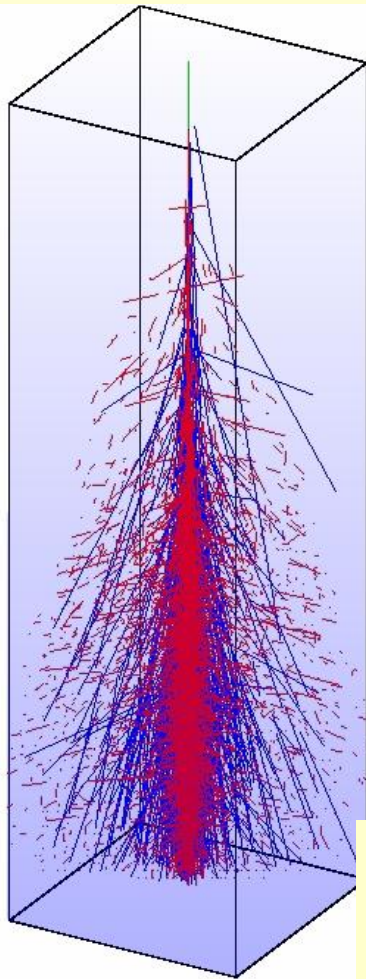
- JEM-EUSO is designed to have a annual exposure about *9xAuger at  $10^{20}$  eV in nadir mode* and *28xAuger* at the highest unexplored energies in tilt mode.
- Simulation shows that JEM-EUSO can distinguish neutrino- from proton-induced showers *with high confidence on the basis of  $X_{max}$ ,  $X_0$  and the shower shape.*
- Simulations (in nadir mode) shows that the energy, angular and  $X_{max}$  resolution meet the requirements.
- *JEM-EUSO is feasible:*
  - Phase A/B studies of JAXA and of the Collaboration confirms it
  - Prototyping phase has been started. Tests on the key mission elements have been conducted.
- *Launch in 2017 (?)* Stay tuned for surprises...

# Comparison with current observatories

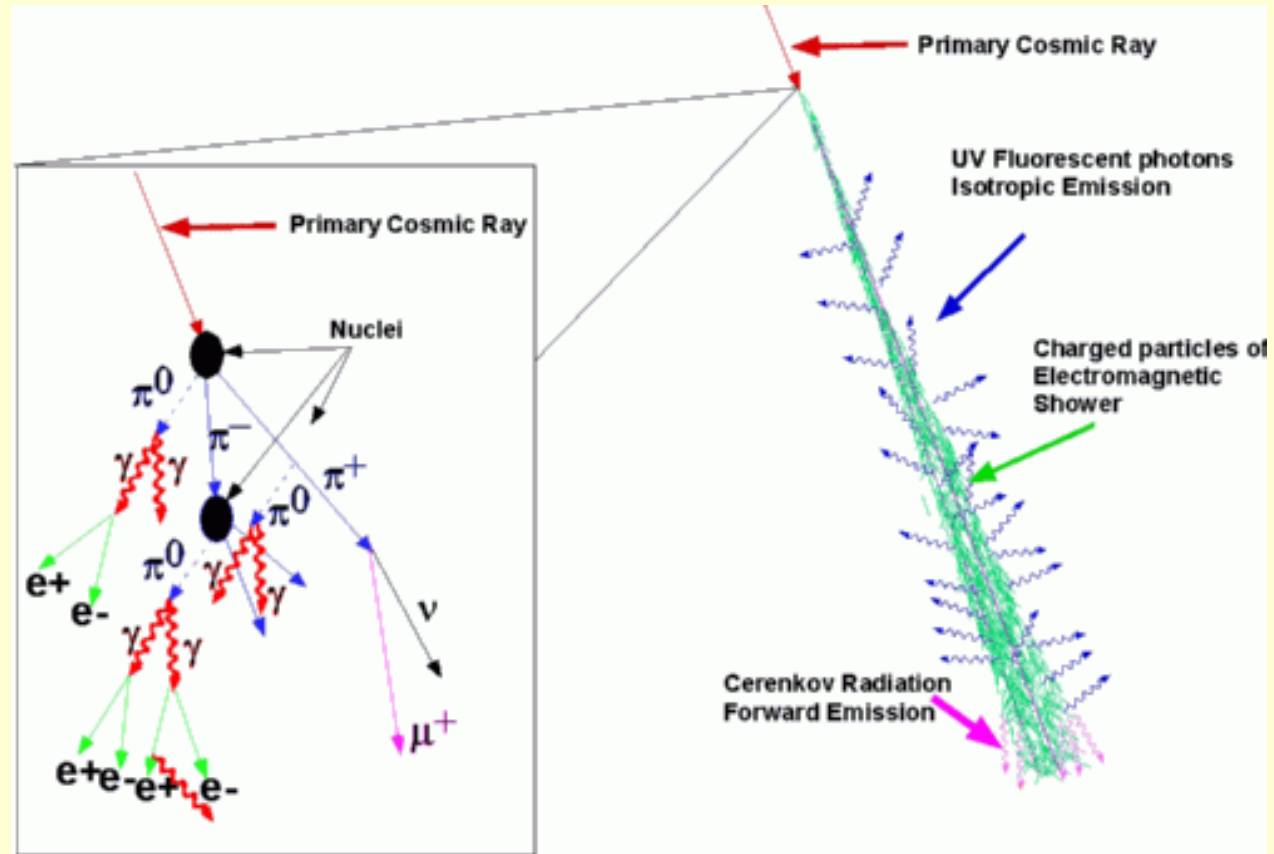
Observatory	Aperture km <sup>2</sup> sr	Status	Start	Lifetime	Duty cycle	Annual Exposure km <sup>2</sup> sr yr	Relative to Auger
Auger	7,000	Operations	2006	4 (16)	1	7000	1
TA	1,200	Operations	2008	2 (14)	1	1,200	0.2
TUS	30,000	Developed	2012	5	0.14	4,200	0.6
JEM-EUSO ( $E \approx 10^{20}$ eV)	430,000	Design	2017	5	0.14	60,000	9
JEM-EUSO (highest energies) Tilted mode 35°	1,500,000	Design	2017	5	0.14	200,000	28

*Let me open a parenthesis:*  
*How do we detect UHE particles?*  
*(in a nutshell...)*

# Extend Air Shower



**10-100 km**  
**30-300  $\mu\text{s}$**

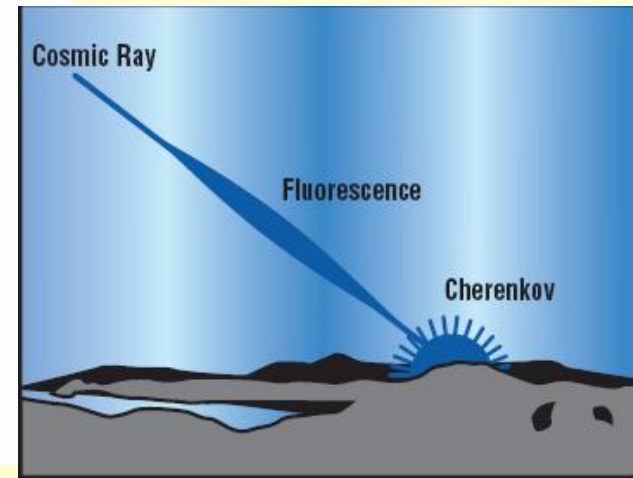
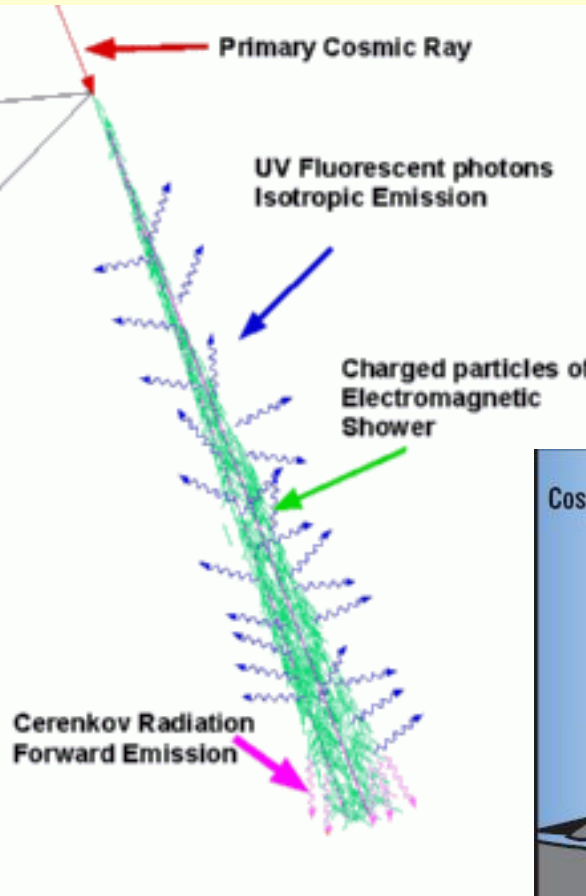
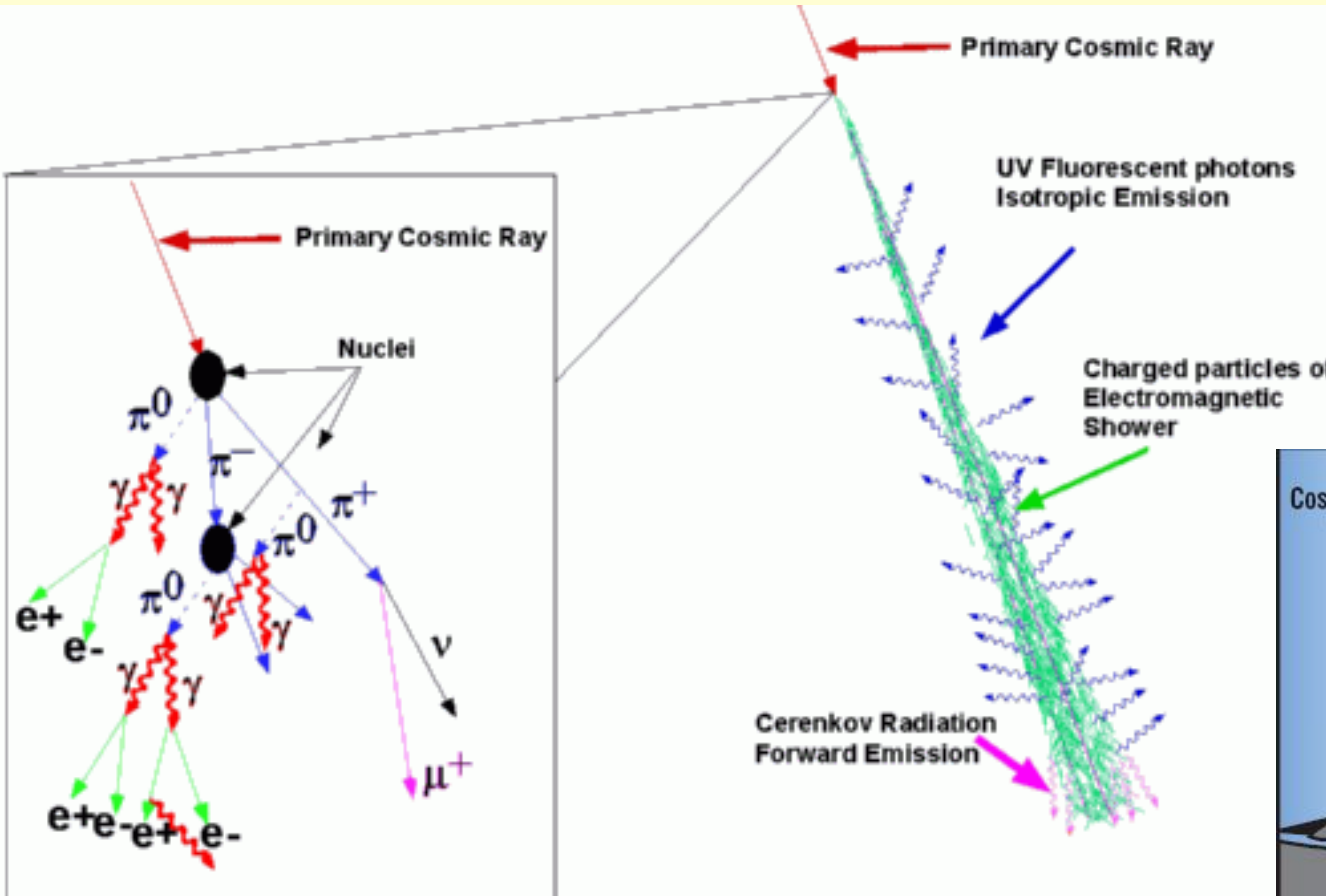


The primary interaction can be **hadronic**. A number of secondaries **mainly pions** are generated. These give rise to further hadronic interactions

**Hadronic Cascade**  
Charged pions  $\rightarrow$  muons

# Electromagnetic Component

*At each step 1/3 of the energy is given to neutral pions which decay into gamma photons*



*Photons produce  $e^-$  pairs and Compton electrons, which produce photons via bremsstrahlung*

Two types of light are produced:

- **Fluorescence photons** (isotropic)
- **Cherenkov photons** (beamed)



Viewed from a distance, an EAS appears as a **luminous disc moving at the speed of light**. Its luminosity increases up to a maximum and gradually fades

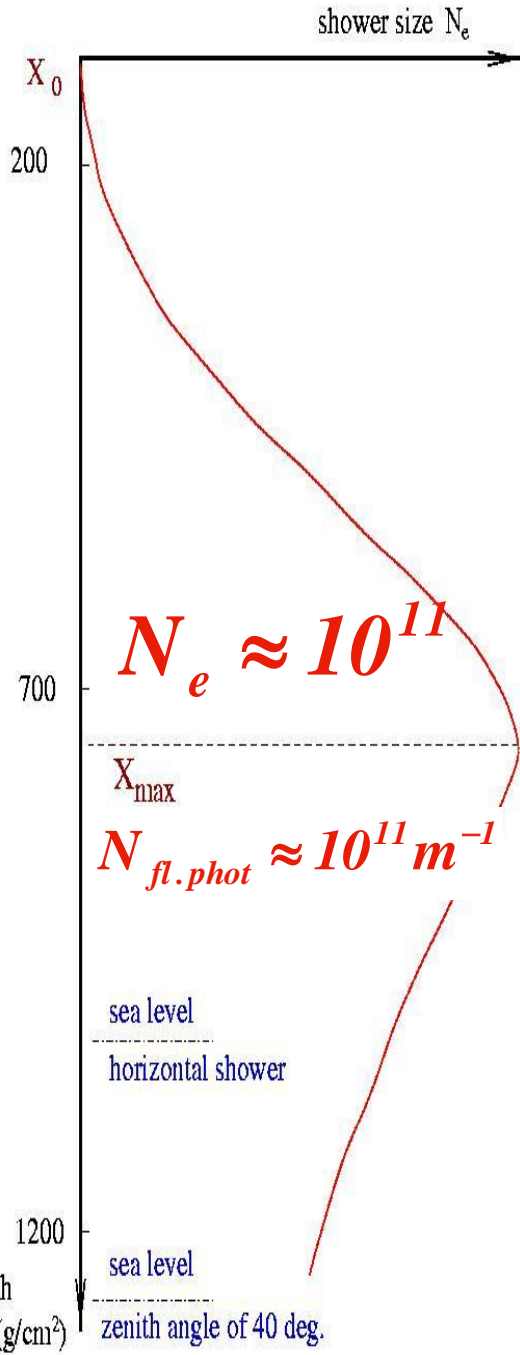
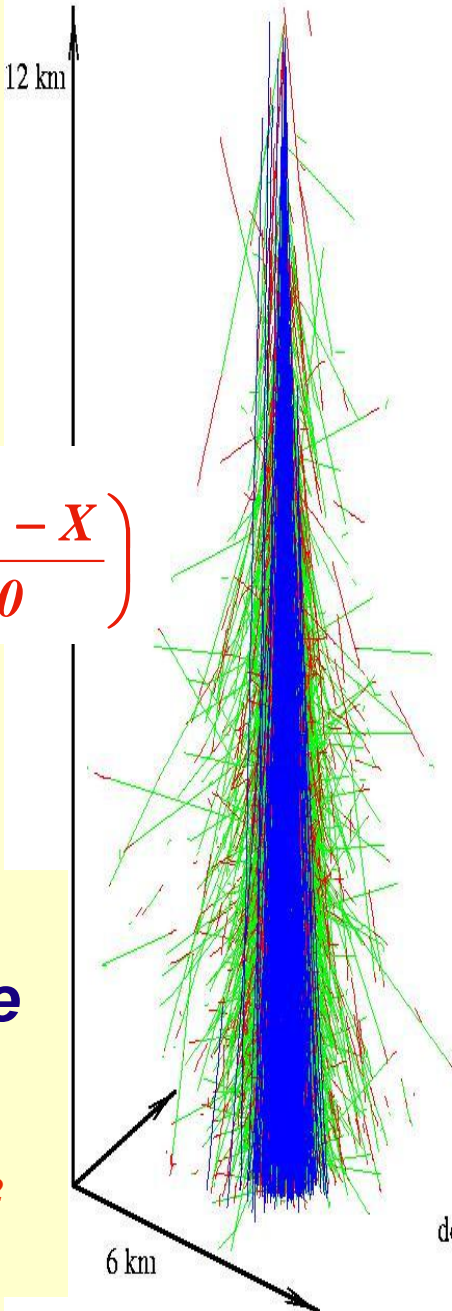
The number of charged particles (mainly e+ and e-) can be parametrized by the Gaisser-Hillas function

$$N_e = N_{max} \left( \frac{X - X_0}{X_{max} - X_0} \right)^{\frac{X_{max} - X_0}{70}} \exp\left( \frac{X_{max} - X}{70} \right)$$

$X_0$  is the depth of the first interaction

$X_{max}$  is the depth of the maximum (Energy, particle type)

$X$  is the cumulate slant depth, in g/cm<sup>2</sup> (thickness of air traversed)



# Open Questions remain

- *Is this the GZK suppression?* Or are the sources *running out of fuel...*
- Do we see a recovery of the spectrum ?
- Has the spectrum an end? *Which is the maximum energy*

*Do we have a high statistics description of the spectrum?*

- Requirement: A high precision measurement of the UHECR spectrum around and beyond the „GZK“ feature

## *Relevance of Auger's result:*

- (Bad news for current observatories) it implies *a very low flux:*

$$1 \text{ particle} / \text{km}^2 / \text{sr} / \text{century} \quad E > 6 \cdot 10^{19} \text{ eV}$$

$$1 \text{ particle} / \text{km}^2 / \text{sr} / \text{millennium?} \quad E > 10^{20} \text{ eV}$$

- (Good news) It *limits the horizon* and gives us the possibility to find local sources:
  - *Large angular separation*
  - *Smaller magnetic deflections*

**Sources?**

# *III. Performances*

# *Key observation and instrument requirements*

Observation area (Nadir)	$\geq 1.3 \times 10^5 (H_{orbit}/400[\text{km}])^2 \text{ km}^2$
Arrival direction determination accuracy	$\leq 2.5^\circ$ (at $E=10^{20}$ [eV] and $60^\circ$ zenith angle)
Energy determination accuracy	$\leq 30\%$ ( $E=10^{20}$ [eV] and $60^\circ$ zenith angle)
$X_{max}$ determination accuracy	$\leq 120$ [g/cm <sup>2</sup> ] ( $E=10^{20}$ [eV] and $60^\circ$ zenith angle)
Energy threshold	$\leq 5.5 \times 10^{19}$ [eV]
Duty cycle	$\geq 17\%$
Lifetime	$> 3$ years (goal: $> 5$ years)



# *Which is the annual exposure?*

- Of course it depends on the zenith angle and energy...
- It is determined by three factors:

*TA* *h* *k*

*TA* → *Trigger Aperture*

*Determined by the trigger efficiency*

*h* → *duty cycle*

*Determined by the background (and operation)*

*k* → *cloud impact*

*Determined by the cloud coverage*

# Duty cycle estimation

defined as the fraction of time in which the nightglow background doesn't hamper EAS observation

- Based on the *Universitetsky Tatiana satellite*  
*G. K. Garipov et al. 2005a, 2005b*
- Scaling of the UV intensity from Tatiana's to the ISS orbit

The JEM-EUSO duty cycle has been estimated for a set of *Solar Zenith angles* assuming an *UV background < 1500 photons/(m<sup>2</sup> ns sr)*

Solar zenith angle (deg.)	Duty cycle (%)
108	22.2
109	22.1
110	21.9
111	21.7
112	21.5
113	21.3
114	21.0
115	20.6
116	20.3
117	19.9
118	19.5
119	19.0
120	18.4

## *Duty cycle (2)*

*Note that:*

*Selecting bckg < 1500 photons/(m<sup>2</sup> ns sr) with its relative occurrence gives a trigger efficiency curve equivalent to an average bckg of 500 photons/(m<sup>2</sup> ns sr)*

*We can also operate at higher background rates (higher energies)*

# Cloud Coverage

*F. Garino et al., 2011*

## Cloud top

	<3 km	3-7 km	7-10 km	>10 km
Optical Depth $\tau > 2$	17.2	5.2	6.4	6.1
Optical Depth $\tau \approx 1-2$	5.9	2.9	3.5	3.1
Optical Depth $\tau \approx 0.1-1$	6.4	2.4	3.7	6.8
Optical Depth $\tau \approx 0.1$	29.2	<0.1	<0.1	1.2

Occurrence of clouds (in %) between 50° N and 50° S on TOVS database. The matrix Optical depth vs. Cloud-top altitude is shown.

*Confirmed by ISCCP, CACOLO & MERIS database*

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Kepler Center-Tü

# *Cloud-impact to trigger efficiency*

$E > 5 \cdot 10^{19} \text{ eV}$

*Cloud top*

*Optical Depth*

	<3 km	3-7 km	7-10 km	>10 km
$\tau > 2$	90%	65%	35%	20%
$\tau \approx 1-2$	90%	70%	45%	25%
$\tau \approx 0.1-1$	90%	80%	75%	70%
$\tau \approx 0.1$	90%	90%	90%	90%

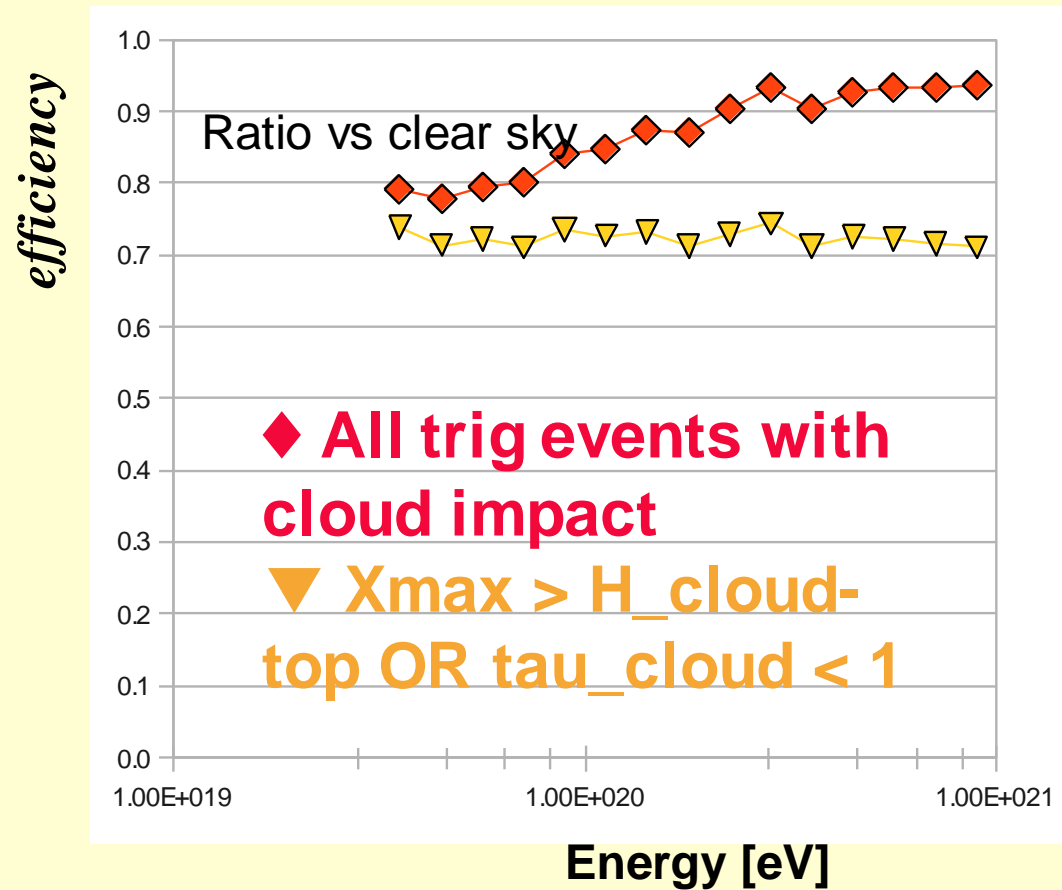
Average efficiency\* = 82% above 50 EeV

\*A spectral distribution  $dN/dE \propto E^{-3}$  is assumed



*L. Saez et al., 2011*

*K. Shinozaki et al. 2011*

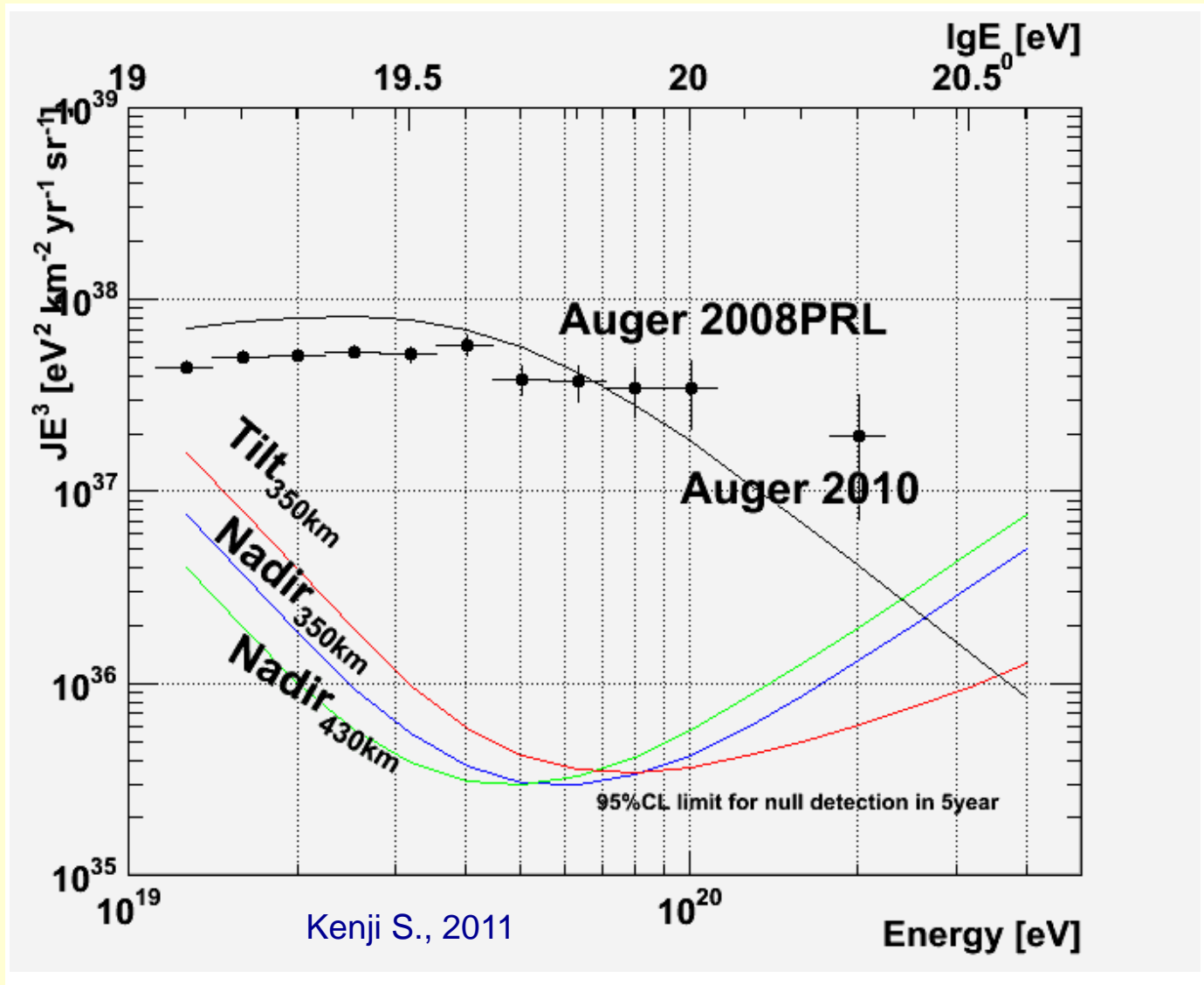


***Basic conclusion:***

***In more than 70% of the cases the UV track including  $X_{max}$  is observable***

*\*Different geometrical conditions for optically thick or optically thin clouds*

# *At the highest energies: recovery in the spectrum*



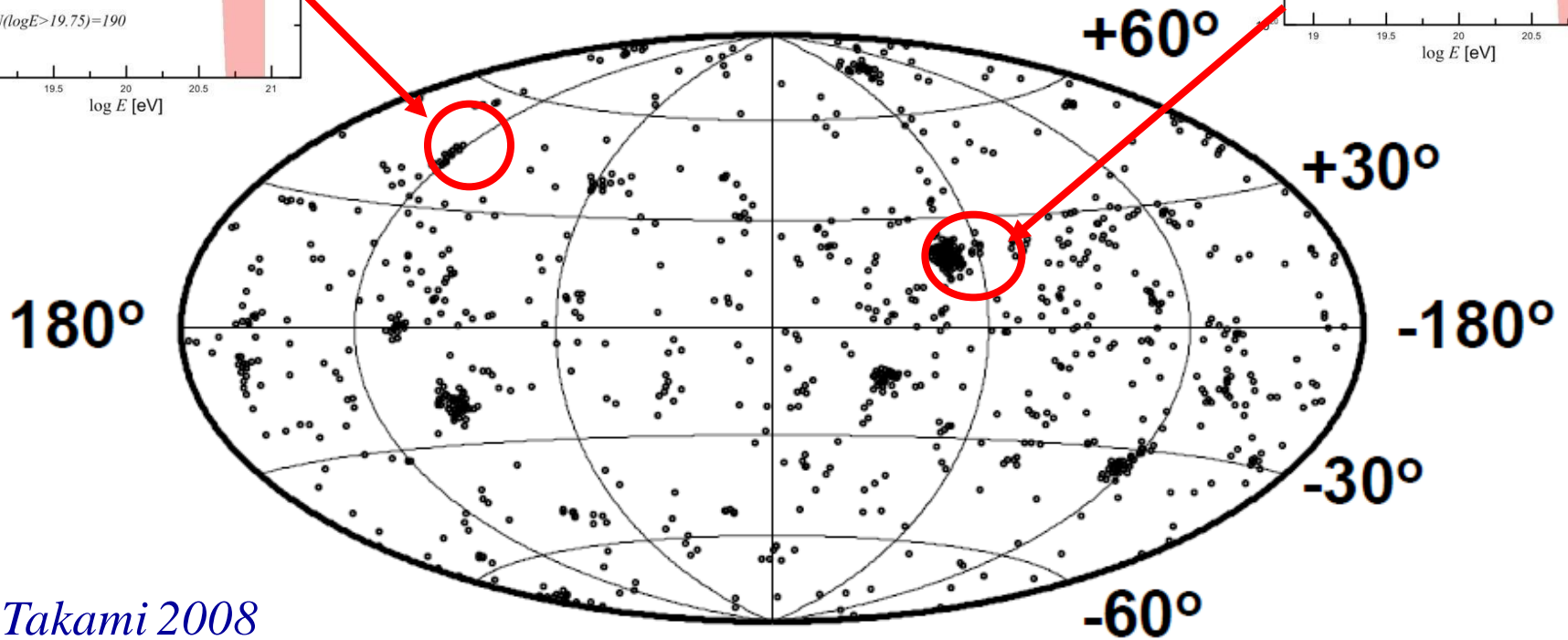
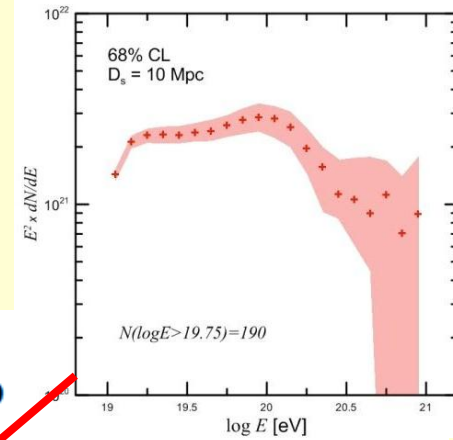
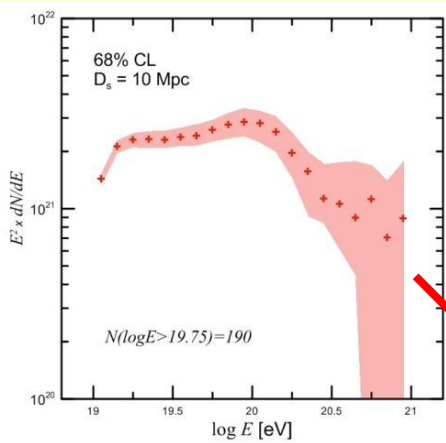
Kenji S., 2011

atangelo,

Kepler Center-Tü

# *JEM-EUSO sky*

*Forecast in case of 1,000 events  
Brightness proportional to AGN*



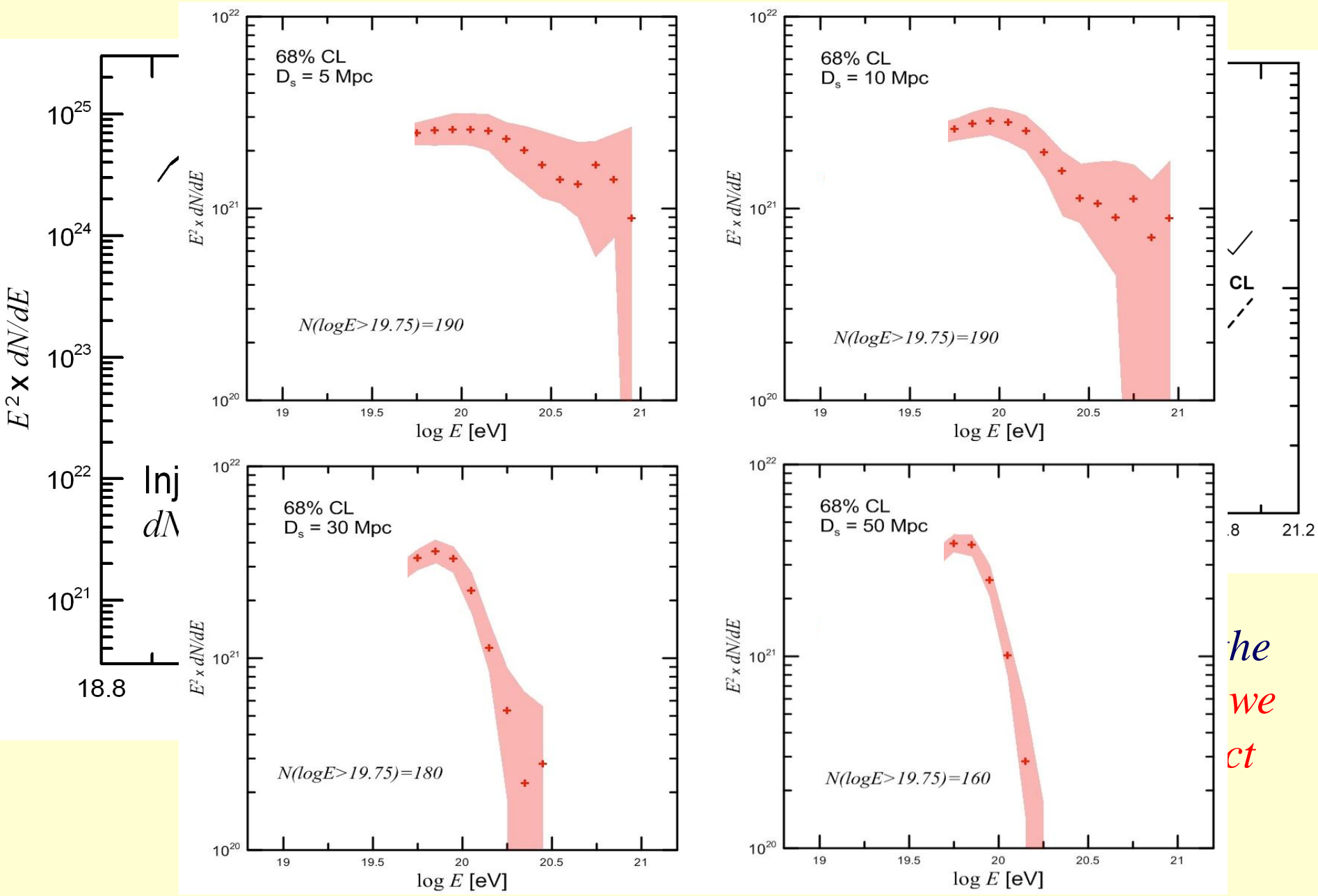
*Takami 2008*

*Brightness of particles  $\propto$  X ray (AGN)*

- *We expect to discover several tens of clusters*
- *Can observe the whole sky*

Andrea Santangelo,  
Kepler Center-Tü

# Test of the GZK effect



# *Other exploratory objectives*

## *From Particle Astronomy:*

- *Galactic and local intergalactic  
Magnetic Fields*

## *Exploratory Science Objectives:*

- *Neutrinos at UHE*
- *Photons at UHE*
- *Fundamental Physics*



# Conclusions

- Science: Evidence for GZK, Indication for Anisotropy, hints of sources but *puzzling scenario* (PAO, HiRes, TA)
- Current generation of UHE Observatories is too small: *We need next generation to solve the puzzle and to explore the unknown (Neutrinos, Photons, new physics)*
- *Breakthrough can come from space*: Large and uniform exposures of the entire sky, *JEM-EUSO is the pathfinder* with likely outstanding science output.
- JEM-EUSO will have *enough exposure and reconstruction capability at  $3 \times 10^{19}$  eV to overlap* with current generation observatory.
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# Conclusions

- JEM-EUSO is designed to have a annual exposure about *9xAuger at  $10^{20}$  eV in nadir mode* and *28xAuger* at the highest unexplored energies in tilt mode.
- To reach/approach 1ML integrated exposure it is *necessary to operate the mission also in tilted mode.*
- Simulations in nadir mode shows that the energy, angular and  $X_{max}$  resolution meet the requirements.
- *JEM-EUSO is feasible:*
  - Phase A/B studies of JAXA and of the Collaboration confirms it
  - Prototyping phase has been started. Tests on the key mission elements have been conducted.
- *Launch in 2017 (?)* Stay tuned for surprises...

# Conclusions

- *Science*: Evidence for GZK, Indication for Anisotropy, hints of sources but *puzzling scenario* (PAO, HiRes, TA)
  - Current generation of UHE Observatory is too small
  - *We need next generation*
  - *Exploration of the unknown*: UHE neutrinos, photons and new physics
- *Breakthrough can come from space*:
  - Large exposures, uniform exposures of the entire sky
  - JEM-EUSO is the pathfinder with potentially outstanding science output.