

Acoustic Calibration for the KM3NeT Pre-Production Module

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Abstract

The proposed large scale Cherenkov neutrino telescope KM3NeT will carry photo-sensors on flexible structures, the detection units. The Mediterranean Sea, where KM3NeT will be installed, constitutes a highly dynamic environment in which the detection units are constantly in motion. Thus it is necessary to monitor the exact sensor positions continuously to achieve the desired resolution for the neutrino telescope. A common way to perform this monitoring is the use of acoustic positioning systems with emitters and receivers based on the piezoelectric effect. The acoustic receivers are attached to detection units whereas the emitters are located at known positions on the sea floor. There are complete commercial systems for this application with sufficient precision. But these systems are limited in the use of their data and inefficient as they were designed to perform only this single task. Several working groups in the KM3NeT consortium are cooperating to custom-design a positioning system for the specific requirements of KM3NeT. Most of the studied solutions hold the possibility to extend the application area from positioning to additional tasks like acoustic particle detection or monitoring of the deep-sea acoustic environment. The KM3NeT Pre-Production Module (PPM) is a test system to verify the correct operation and interoperability of the major involved hardware and software components developed for KM3NeT. In the context of the PPM,

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alternative designs of acoustic sensors including small piezoelectric elements equipped with preamplifiers inside the same housing as the optical sensors will be tested. These will be described in this article.

Keywords: Acoustic sensors, Calibration, KM3NeT, Neutrino detection

1. Introduction

KM3NeT [1] is a planned large scale neutrino telescope which will be located in the deep-sea environment of the Mediterranean. It will consist of flexible structures, the detection units (DU), anchored to the sea bed to instrument a water volume exceeding 1 km^3 . This size is necessary to detect high energy neutrinos as the expected flux of these particles strongly decreases with their energy. KM3NeT is designed as a deep-sea water Cherenkov neutrino telescope following the predecessor experiments ANTARES¹ [2], NEMO² [3] and NESTOR³ [4]. The experience gained in these projects benefit all activities related to KM3NeT. The detection principle used in Cherenkov neutrino telescopes is based on the detection of light emitted by charged particles travelling faster than the light group velocity in its surrounding dielectric medium. The underlying mechanism is well described by the Cherenkov effect. This method requires sensitive optical sensors with inter sensor spacings of the order of 100 m corresponding to the absorption length of the relevant light wavelength. Neutrinos, being neutral particles, do not emit Cherenkov radiation. Their detection is based on the detection of a muon generated in a neutrino interaction. The arrival times of light signals “seen” by the optical sensors can be used to reconstruct the muon track from which the neutrino track can be derived. The relative arrival time of a photon at the photo-sensor can be reconstructed with a precision of the order of 1 ns, see e.g. [5]. It is thus mandatory for this track reconstruction to know the exact position of the optical sensor to a precision of about 20 cm (the

¹Astronomy with a Neutrino Telescope and Abyss environmental RESearch

²NEutrino Mediterranean Observatory

³Neutrino Extended Submarine Telescope with Oceanographic Research

23 refractive index is about 1.33 in sea water).

24 The detection units are exposed to a highly dynamic deep-sea environment
25 with sea currents varying both in velocity and direction and thus the detection
26 units are constantly changing their positions/orientations compared to the un-
27 deflected equilibrium position. This necessitates a continuous monitoring of the
28 exact sensor positions. The monitoring with the help of electromagnetic waves
29 is impractical due to the large signal absorption and attenuation in sea water
30 which implies a huge amount of monitoring devices to cover several km^3 volume.
31 Instead acoustic waves constitute a well established way to perform the position
32 calibration of the detector. The attenuation length of sound waves in sea water
33 is of the order of 1 km (at 25 kHz, frequency dependent), signals from an emitter
34 at the sea floor can thus be received at the top of a DU. The viability of acoustic
35 calibration strongly depends on the feasibility to emit well defined signals and to
36 detect these pressure differences (sound waves) besides a high ambient pressure
37 of about 10^7 Pa per 1000 m water depth. In addition, the calibration signals
38 should be long ranged to allow for enhanced sensor spacings but with sufficient
39 protection for the biosphere, e.g. lowest possible signal amplitudes. A set of
40 dedicated emitters and receivers are necessary for this method. The emitters
41 will be located at fixed positions on the sea floor to ensure a well defined position
42 over the whole operation period of the detector. The anchors of the detection
43 units are well suited positions for emitters as there will be no need for additional
44 infrastructures to power and control these devices. The exact position of the
45 emitters has to be determined only once, e.g. from a ship above the detector
46 using an emitter with known position. The receivers of the acoustic signals will
47 be attached to the detection units to determine their positions relative to the
48 different emitters. This is done by triangulation of the different signal arrival
49 times at the detection units with respect to the known emitter positions and
50 emission times. It is not necessary to equip each optical sensor with an acoustic
51 receiver as it is possible to interpolate the sensor positions between two distant
52 acoustic receivers using a model of the shape of the DU, cf. the positioning sys-
53 tem in ANTARES [6]. It is possible to use either complete commercial systems

54 or to combine different emitters and receivers to a dedicated system. Latter
55 systems offer more flexibility concerning signal amplitudes, frequencies and sig-
56 nal shapes whereas commercial ones are characterised by a sophisticated and
57 reliable design. The use of a dedicated system is preferred as it allows for more
58 diverse applications and studies. The devices used for this kind of applications,
59 both for emission and reception, are mostly based on the piezoelectric effect.
60 These devices are capable of withstanding the high ambient pressure of the deep
61 sea while still offering a simple and reliable design without mechanical parts.
62 The long term stability of piezoelectric ceramics, hereafter called piezos, ensures
63 the operability of the acoustic calibration system over the whole lifetime of the
64 detector. In this article a short overview is given of different possible types of
65 piezos to be used for acoustic calibration as well as a more detailed description
66 of a new development in this field at the ECAP⁴ [7]. Some of these devices will
67 be tested on the Pre-Production Module (PPM) of KM3NeT in order to find
68 the best solution to monitor the positions of the detection units.

69 **2. Sensor types**

70 All sensors that are considered here comprise a piezo and are thus based
71 on the piezoelectric effect. If such a piezoelectric crystal is exposed to external
72 pressure/force variations, it accumulates electrical charge on its surfaces (de-
73 pending on the polarisation of the crystal and the direction of the variation).
74 The resulting difference in potential between those surfaces can be measured as
75 a voltage signal. This voltage signal is proportional to the applied force. The
76 voltage signal resulting from the deformation is very small and has to be am-
77 plified prior to its analysis. Typical reception sensitivities for commonly used
78 piezos are of the order of -200 dB re $1 \frac{\text{V}}{\text{Pa}}$. This effect is reversible and in this
79 way a piezo can also be used as acoustic emitter if it is exposed to an external
80 electrical field. A sufficiently large high voltage signal has to be applied to the

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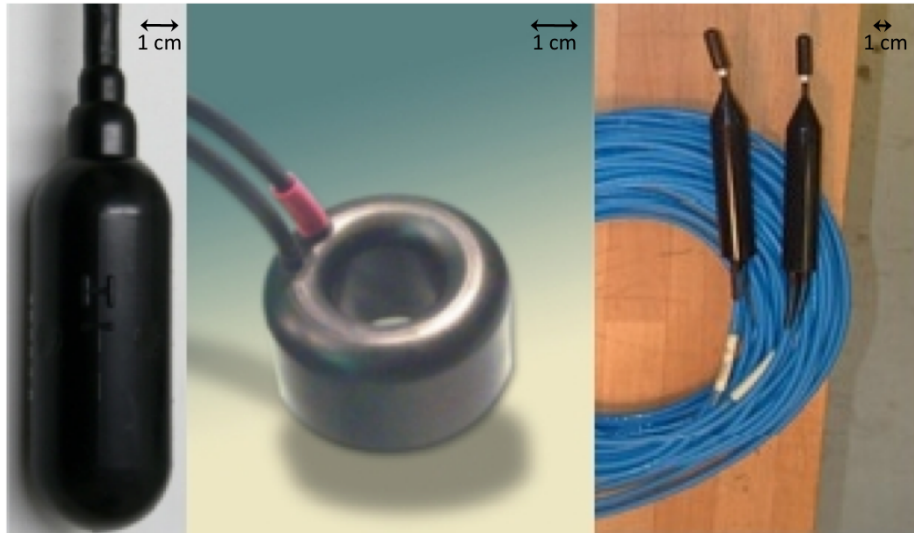


Figure 1: A short description of these three sensor types is given in the text.

81 emitter to generate a useful signal amplitude in this case. Typical emission sen-
 82 sitivities for commonly used piezos are of the order of $140 \text{ dB re } 1 \frac{\text{Pa}}{\sqrt{\text{V}}} @ 1 \text{ m}$. The
 83 sensitivity and directivity of the piezo strongly depends on the used material
 84 as well as on its geometry and polarisation. There is a variety of ultrasonic
 85 devices designed especially for acoustic calibration as well as for other different
 86 applications.

87 Figure 1 shows an exemplary set of acoustic sensors. The first one on the left
 88 side is a hydrophone from High Tech, Inc. [8] as used in the AMADEUS⁵ test
 89 setup [9]. These hydrophones comprise a hollow piezo cylinder and a preampli-
 90 fier moulded together in polyurethane. They are almost equally sensitive over a
 91 frequency range from 10 kHz to 50 kHz. This is the frequency range of interest
 92 for acoustic neutrino detection. These hydrophones are used for investigations
 93 of acoustic particle detection, positioning (and marine science). The acoustic
 94 sensor in the middle, cf. Figure 1, is a “Free Flooded Ring” (FFR) from Sen-

⁵ANTARES Modules for Acoustic DETection Under the Sea

95 sorTech [10]. This transceiver can be used either as emitter or as receiver as it is
96 not equipped with a preamplifier. This sensor type is studied at IGIC-UPV⁶. It
97 is planned to use this devices as emitters for the KM3NeT acoustic positioning
98 system. Its use as receiver is also under investigation. In the latter case the
99 FFRs are equipped with a preamplifier to detect small pressure variations. The
100 third sensor type seen in Figure 1 is a hydrophone from SMID [11] equipped
101 with a preamplifier. This hydrophone was developed in cooperation with INFN⁷
102 and is designed to work in the frequency range from 10 Hz to 70 kHz. These
103 sensors are used in the NEMO Phase II framework and are also under consider-
104 ation for the use in the KM3NeT detector. The acoustic monitoring of the deep
105 sea environment or long-run monitoring of the sea in general is a multidisci-
106 plinary task which also can be adressed with these types of sensors. Almost all
107 of these devices and their electronics are moulded into a single housing, e.g. in
108 polyurethane, to protect them from the sea water, the high ambient pressure
109 and other environmental influences. Polyurethane is a frequently-used material
110 for underwater acoustics as its acoustic impedance can be matched with the one
111 of the surrounding water. This ensures the best performance for emission and
112 reception while still protecting the device. Another possibility to protect the
113 acoustic receiver is presented in the next section.

114 **3. Opto-Acoustical Modules (OAMs)**

115 The so-called Acoustic Modules (AMs) deployed in the AMADEUS test
116 setup represent a different approach to the aforementioned moulding of acoustic
117 sensors. These modules consist of two bare piezos glued to the inside of pressure
118 resistant glass spheres, identical to those used for optical modules. Each piezo
119 is connected to a preamplifier which is located inside a copper tube together
120 with the piezo to shield the resulting sensor from electromagnetic influences.
121 The major difference compared to the use of dedicated receivers is the possi-

⁶IGIC-Universitat Politècnica de València

⁷Istituto Nazionale di Fisica Nucleare

122 ble combination of acoustic sensor and optical sensor inside the same housing.
123 The resulting Opto-Acoustical Module (OAM) would combine all features of a
124 standard optical module, the key building blocks of the detection units, with
125 the ability to determine its position with respect to a set of dedicated emitters
126 without the need for additional calibration devices. This reduces the required
127 underwater connectors and feedthroughs to a minimum as one connection is
128 sufficient to connect the OAM. Underwater connectors and feedthroughs con-
129 stitute potential points of failure with water ingress in the worst case. There is
130 no need to further protect the acoustic sensor against environmental influences
131 as it is perfectly protected by the glass sphere. The results obtained with the
132 AMs prove the principal concept to operate this type of acoustic sensor. A
133 result comparing exemplary the reconstructed heading using AMs with the one
134 obtained through dedicated compass devices is shown in Figure 2. The relative
135 difference between both methods is of the order of $1^\circ - 2^\circ$ which is of the same
136 order of the accuracy given by the compass device manufacturer ($\sim 1^\circ$).

137 The results prove the possibility to determine the position of the AMs to a
138 sufficient precision. A study of the angle dependence of a piezo glued to a glass
139 sphere can be found in [12]. The biggest obstacle to a combined sensor module
140 is expected from the high voltage necessary to operate a photomultiplier inside
141 the module. The high voltage itself or its transformation from a lower supply
142 voltage might lead to a “noisy” environment interfering with the signal path of
143 the acoustic device. On the other hand the acoustic device with its amplifiers
144 might influence the PMT operation. The latter case is unlikely and was not
145 observed so far due to the low voltage ($\sim 3.3\text{ V}$ to 5.0 V) necessary to power the
146 acoustic sensor but any parasitic influence has to be eliminated.

147 First tests, with results shown in Figure 3, demonstrated that PMTs and
148 piezos can be operated within a single sphere. Some interference of the PMT
149 operation with the piezo was observed. This can be attributed to the very poor
150 electromagnetic shielding of the first prototypes (no electromagnetic shielding
151 was applied) and the pulsed LED (connected to the same power supply as both

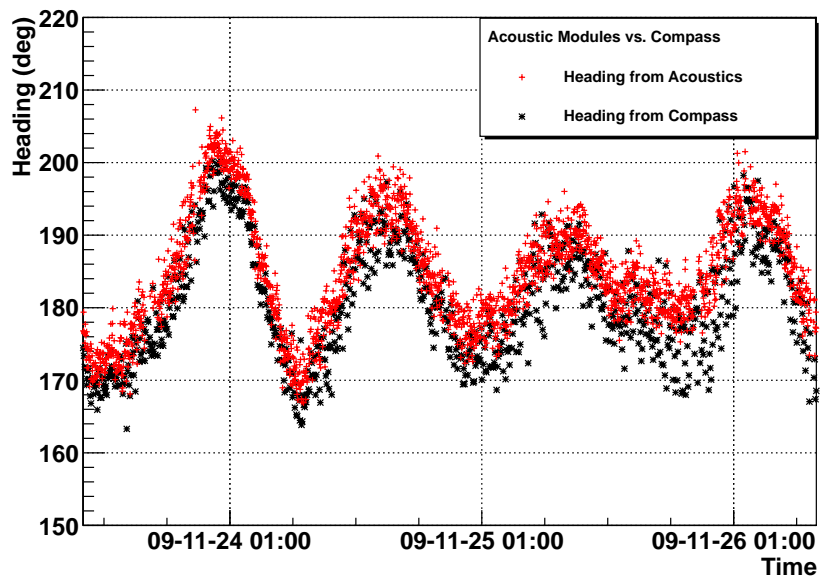


Figure 2: The graph depicts heading measurements carried out for an ANTARES structure holding AMs. The data obtained from a dedicated compass board are in good agreement to the heading reconstructed with the AM data.

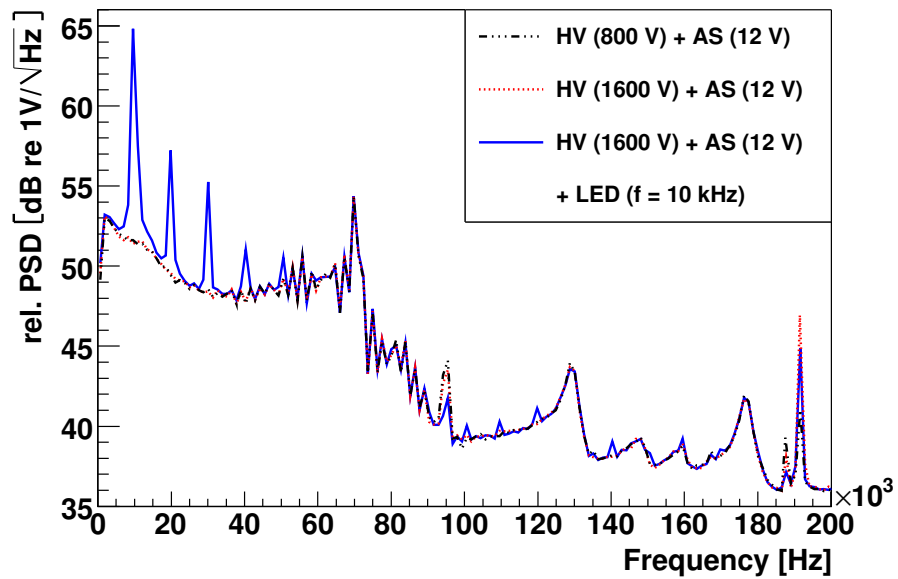


Figure 3: The figure shows the results of noise measurements for a first OAM prototype and different settings given in the legend. This test in a simplified setup shows some prominent features. These can be attributed to the poor shielding of the acoustic sensor as well as to the power supply. “HV” shows the high voltage between cathode and anode of the PMT. “AS” stands for the acoustic sensor which was powered by 12 V and “LED” gives the frequency of a flashing LED inside the test setup.

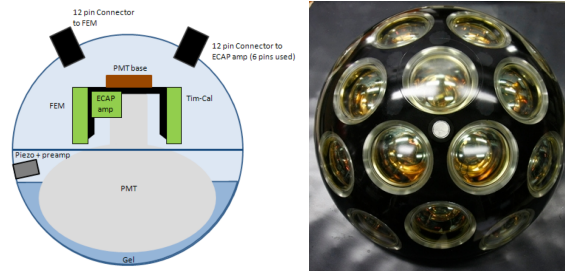


Figure 4: The figure on the left shows a schematic of a single PMT optical module equipped with an acoustic sensor to be used in NEMO Phase II. The picture on the right shows the exterior of a prototype multi PMT detection unit. The PMTs are clearly visible as well as a small circle, the metallised piezo surface.

152 sensors). Some tests in cooperation with LNS-INFN⁸ [13] were carried out to
 153 test the combined operation under more realistic conditions. In this context
 154 the NEMO Phase II infrastructure was used together with a new prototype
 155 of a piezo-preamp unit with better shielding and a different amplifier layout
 156 adapted to the operation conditions. In this configuration no drawbacks were
 157 visible during laboratory tests with the piezo mounted in a 13'' sphere next to
 158 a PMT powered almost at its nominal voltage with a dark count rate of several
 159 kHz. The small size of the acoustic sensor enables its integration into a variety
 160 of different optical module designs. See Figure 4 for the two designs currently
 161 pursued. The first one is the single PMT option used in the NEMO Phase II
 162 framework and the second one is a multi PMT (31 PMTs per module) option
 163 developed at Nikhef⁹ [14] in Amsterdam and will be used for the PPM.

164 4. Conclusions

165 The acoustic calibration is a way to determine the position and the orien-
 166 tation of detecton units. The choice of the appropriate acoustic devices for

⁸Laboratori Nazionali del Sud - Istituto Nazionale di Fisica Nucleare

⁹Nationaal instituut voor subatomaire fysica

167 this task strongly depends on the intended uses. Position calibration with
168 the required precision can be realised with each of the presented devices. The
169 KM3NeT Pre-Production Module provides the necessary infrastructure to test
170 different types of sensing devices to validate the positioning results and to fig-
171 ure out potential incompatibilities in the final system. The various components
172 are developed at different KM3NeT member institutes all over Europe. Joint
173 tests in the different laboratories yielded a perfect compatibility of the differ-
174 ent sensor components. The final confirmation requires the fully-fledged PPM
175 evaluated under real conditions. The multidisciplinary research adressed with
176 general purpose devices becomes more and more important as the complexity
177 and efforts to maintain large scale detectors increase and have to be partitioned
178 among several institutions and between different scientific subjects. KM3NeT
179 will serve as an observatory where many natural sciences collaborate to better
180 understand our planet with its deep sea environment as well as our universe as
181 a whole.

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