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# Design and Assembly of the Optical Modules for Phase-2 of the NEMO Project

E. Leonora<sup>\*</sup>, S. Aiello

*on behalf of the NEMO Collaboration*

*INFN section of Catania, Via S.Sofia, 64, Catania, 95125, Italy*

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## Abstract

The NEMO collaboration team has undertaken a Phase-2 project, which aims at the realization and installation of a new infrastructure at the Capo Passero (Italy) deep-sea site at a depth of 3500 m. With this objective in mind, a fully equipped tower with 8-storey hosting two optical modules at each end is under construction. Following a well established procedure, 32 optical modules have been assembled. The optical module consists of a large area photomultiplier tube enclosed in a pressure resistant glass sphere with a diameter of 13 inch. The photomultiplier is a R7081 type, produced by Hamamatsu, with a photocathode area with a diameter of 10 inch and 10 dynodes. Mechanical and optical contacts between the front of the photomultiplier tube and the glass surface is ensured by an optical bi-component silicone gel. A mu-metal cage is used to shield the photomultiplier against the influence of the Earth's magnetic field.

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## 1. Introduction

At the end of Phase-1 of the NEMO project [1], which provided an important test of the technologies proposed for the construction and installation of an under-water neutrino detector, a Phase-2 project started, which aims at the development of a new infrastructure at the Capo Passero deep-sea site [1].

It consists of a shore station located in the harbour of Portopalo, near Capo Passero, a 100 km long electro-optical cable, linking the 3500 m deep-sea site to the shore, and the underwater infrastructures needed to connect the prototypes of the detection units of the detector. For deployment on this site, a fully equipped tower with 8-storey hosting two Optical Modules (OMs) at each end (4 OMs per storey) is under construction. The design and assembly of the optical modules, which are key elements for the detector, have been finalized

Corresponding author. Tel.: +390953785285; ; e-mail: emanuele.leonora@ct.infn.it

1 following an intense R&D phase. They are described  
2 in this paper.

### 3 2. Underwater Neutrino Detector

4 The major scientific objective of a high-energy  
5 neutrino telescope is the study of the Universe by  
6 means of neutrino detection [2]. The most widely-  
7 used technique for detecting neutrinos in the energy  
8 range of about  $10^{11} - 10^{16}$  eV is to detect in large  
9 volumes of water the Cherenkov light emitted by the  
10 muons and hadrons produced when neutrinos interact  
11 with the matter inside and around the detector. This  
12 light can be detected by a three-dimensional array of  
13 optical sensors called Optical Modules (OMs). The  
14 measurement of the arrival time of the Cherenkov  
15 light at each OM with the information of its position  
16 allows for the reconstruction of the muon direction;  
17 the amount of light collected can be used to estimate  
18 the muon energy.

### 19 3. Optical Module

20 The scientific goals of the NEMO project have led  
21 to some global requirements for the design of an  
22 Optical Module [3]. The detection of the Cherenkov  
23 light has to be optimized. Hence sensors with a large  
24 photon detection area must be used. The optical  
25 coupling between the water and the photocathode  
26 has to be good and the influence of the Earth's  
27 magnetic field on the performance of the  
28 photomultiplier must be minimized. A high pressure  
29 resistant vessel must protect the photon detector and  
30 its associated electronics against the pressure of the  
31 surrounding deep sea-water and ensure good light  
32 transmission. With a foreseen operation time of 10  
33 years, the reliability of all the components must be  
34 high. The Optical Module designed for the NEMO  
35 phase-2 project (Fig. 1) has a large area  
36 photomultiplier (PMT) glued inside a pressure  
37 resistant glass sphere by means of optical gel. A cage  
38 of mu-metal wire is used to shield the PMT against  
39 the Earth's magnetic field. Inside the OM there are  
40 also the voltage supply circuit soldered to the PMT,  
41 the front-end module (FEM) [4] and an optical  
42 system for timing calibration (Tim-Cal) [5].

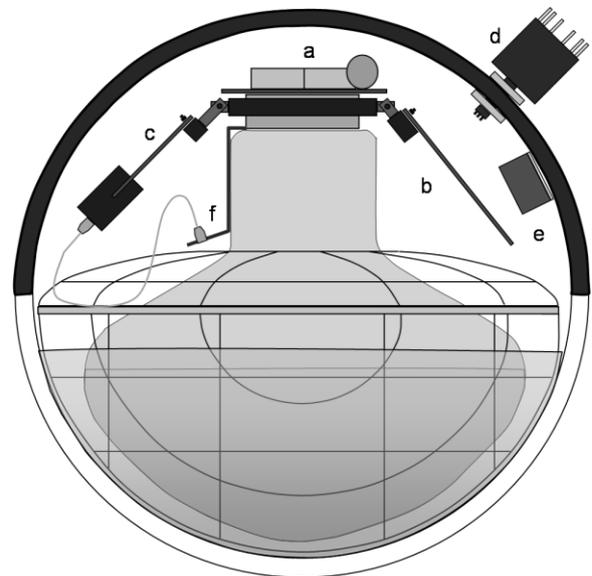


Figure 1 Sketch of an Optical Module: a)ISEG base; b)FEM;  
c)Tim-Cal; d)connector; e)pressure-gauge; f)optical fibre

43

#### 44 *The Transparent High-pressure Container*

45 The requirements for the high pressure glass  
46 sphere have been identified as: the ability to resist  
47 high hydrostatic pressure, up to 35 MPa, transparency  
48 to photons in the range of 400-600 nm and a  
49 refractive index close to that of the sea-water and of  
50 the window of the PMT. Some of the main  
51 characteristics are summarized in table 1.

52

Refractive index (>350nm)	1.48
Transmission (>350 nm)	> 95%
Density at 20°C (g cm <sup>-3</sup> )	2.23
Overall diameter (mm)	330
Wall thickness (mm)	11
Mass (Kg)	7.89
Depth rating (m)	10000
Diameter shrinkage per 1000 m (mm)	0.30

53

Table 1 Main properties of the glass sphere

54

55 The chosen glass sphere is a standard 13 inch. deep-  
56 sea instrumentation vessel in borosilicate glass,  
57 produced by Vitrovex. Each sphere is made of two  
58 hemispherical parts. The lower, transparent part  
59 contains the PMT and the mu-metal cage, glued by

1 means of the gel. The upper part is painted black, to  
 2 conserve the information regarding the direction of  
 3 the detected photons, and contains electronics, a  
 4 pressure gauge glued onto the inner surface, and a 12-  
 5 pin SEACON connector which provides electrical  
 6 connections (see Fig. 1).

### 8 *The Photomultiplier*

9 Different types of photomultipliers from various  
 10 manufacturers have been evaluated. The PMT  
 11 selected was a Hamamatsu PMT type R7081 [6],  
 12 with 10 dynode stages and a 10 inch. bi-alkali  
 13 photocathode with a quantum efficiency of about  
 14 25% at 400nm. Measurements of a batch of 72  
 15 PMTs [7] showed good charge characteristics, good  
 16 time resolution and a low dark count rate. In Table 2  
 17 the results are summarized. Also the measured  
 18 fractions of spurious pulses [7] are shown.  
 19 Measurements are performed using a pulsed laser set  
 20 in single photo-electron condition, and using the  
 21 voltage supply circuit described later.

	mean value	values range
Voltage at Gain 5E7 [V]	1655	1595÷1775
Dark Count rate [Hz] (1/3 spe)	1388*	674÷3000*
P/V ratio	3.5	2.6÷4.3
Charge resolution $\sigma$ [%]	31.6	23.5÷41.4
TTSFWHM [ns]	2.8	2.5÷3.3
Pre-Pulse [%]	0.02	0.001÷0.11
Late Pulse [%]	5.5	3.8÷6.6
Type 1 after pulse [%]	1.1	0.8÷1.9
Type 2 after pulse [%]	4.4**	2.2÷7.3 **

23 Table 2 Measurements from 72 R7081 PMTs

24 \* Excluding one PMT which has a DC rate of 4093 Hz

25 \*\* Excluding one PMT with type 2 after pulse fraction of 10.4%.

### 27 *The Voltage Supply Circuit*

28 The high voltage system provides all the  
 29 intermediate voltages necessary for the correct  
 30 operation of the PMT. An active base (PHQ7081-i-  
 31 2m) developed by ISEG and modified to meet the  
 32 NEMO requirements was chosen. The main features  
 33 are a low voltage supply (5 Volt) individually-

34 controllable, focusing voltage between photo-cathode  
 35 and first-dynode, maximum anode current of 100  
 36 mA and a power consumption of 150 mW at  
 37 2000V. Damping resistors were added at the output  
 38 to minimize the ringing effect on the anode signal.  
 39 Tests have shown that signal saturation starts at  
 40 around 100-120 pe, at about 1 nC for laser pulsed  
 41 (width of 60 ps).

### 43 *The Magnetic Shield*

44 Laboratory tests [3][8] have shown that the  
 45 performance of a large area photomultiplier is subject  
 46 to significant variations due to its orientation with  
 47 respect to the Earth's magnetic fields. Similarly to  
 48 other experiments [7], in the NEMO OMs a passive  
 49 magnetic shield was used: a wire cage made of 1 mm  
 50 diameter mu-metal wire, a nickel-iron alloy with high  
 51 magnetic permeability ( $\approx 10^5$ ) (ITEP, Moscow, [9]).  
 52 The cage used had two parts. A hemispherical part  
 53 (30 cm diameter, 14 cm height) which surrounds the  
 54 entire photocathode area, and a flat part (30 cm  
 55 diameter) which consists of a disk with a hole in its  
 56 centre through which the PMT neck fits. The wire  
 57 cage shielding provides a good compromise between  
 58 field reduction and shadowing effects on the  
 59 photocathode. The pitch of the grid was 68x68 mm,  
 60 giving a shadow effect on the photocathode of less  
 61 than 4%. The magnetic reduction factor (RF) inside  
 62 the volume of the cage was measured. An average RF  
 63 of 4 was found. Measurements made on the overall  
 64 response of the 10 inch. R7081 PMT while varying  
 65 its orientation relative to the Earth's magnetic field,  
 66 showed that without the magnetic shielding most of  
 67 the main parameters of the PMT varied widely [8].  
 68 The mu-metal cage described above greatly reduced  
 69 the variations and even improved performance. For  
 70 the detection efficiency the largest variation  
 71 measured with a PMT without shielding was up to  
 72 40%, a value that was reduced to less than 6% by  
 73 using the magnetic shield. The variation in gain was  
 74 reduced from 29% on the "naked" PMT to less than  
 75 7% for the shielded PMT. Even variations for charge  
 76 and timing resolution were minimized significantly  
 77 by using the magnetic shield.

### 79 *The Optical Gel*

80 The main purpose of the optical gel inside the OM  
 81 is twofold: it creates the optical coupling between

1 the PMT photocathode and the glass sphere and 42  
 2 ensures the mechanical contact of the PMT with the 43  
 3 glass sphere and the mu-metal cage. With these 44  
 4 objectives in mind, the main requirements for the gel 45  
 5 were high transparency, a refractive index close to 46  
 6 that of the glass sphere and PMT glass window and 47  
 7 sufficient mechanical stiffness to suspend the OM 48  
 8 components, with sufficiently elastic properties to 49  
 9 absorb shocks and prevent the deformation of the 50  
 10 glass under pressure. The properties must be stable 51  
 11 for the long operational period of about 10 years. The 52  
 12 material chosen was a two-component (A and B) 53  
 13 silicon gel, Wacker SilGel 612. Four mixtures with 54  
 14 different combinations of the two components were 55  
 15 produced and their optical and mechanical properties 56  
 16 were tested. Table 3 shows the optical measurements 57  
 17 of transmittance, absorption length and refraction 58  
 18 index.

Gel Composition	40B / 100A	50B / 100A	60B / 100A	70B / 100A
Transmittance [%] (@ 400 nm)	85	93.8	94.3	94.7
Absorption length [cm] (@ 400 nm)	12	30	33	35
Refraction index (@ 400 nm)	1.43	1.50	1.47	1.38

20 Table 3 Optical properties measured for 4 gel compositions

21  
 22 For mechanical tests of the gel a scale prototype 42  
 23 of the PMT and glass sphere system was made, and 43  
 24 the load and torque resistance of the gel was 44  
 25 measured. For the optical and mechanical tests, the 45  
 26 50B/100A mixture was selected as the combination 46  
 27 with properties closest to the project requirements.

#### 29 *The Optical Module Assembly Procedure*

30 Finally, the procedure to assemble the optical 42  
 31 module using the different components was defined. 43  
 32 For the acceleration of the outgassing of the optical 44  
 33 gel and for the closure of the two hemispheres of the 45  
 34 optical module, two plexiglass vacuum boxes were 46  
 35 constructed (1m x1m x1 m), in which the pressure 47  
 36 can be decrease to 300 mbar in less than 2 minutes.

37 The main phases of the assembly procedure were:

- 38 • soldering of the supply voltage circuit on the 42
- 39 leads of the PMT
- 40 • cleaning of each element by using optical paper 43
- 41 and methyl alcohol, with particular care on the 44

inner surface of the hemi-spheres and the mu-  
 metal cage

- 44 • positioning of the mu-metal cage in the glass 45
- 46 hemisphere
- 47 • 1 cycle of out-gassing in the vacuum box at 250 48
- 49 mbar (3 min.), followed by a phase of air reentry.
- 50 • mixture gel preparation : 1.5 litre x OM, with 1 l 51
- 52 A and 0.5 l B, mixed at 120 turns/min.
- 53 • pouring the gel into the glass hemisphere
- 54 • 3 cycles of out-gassing to remove residual air- 55
- 56 bubbles from the gel
- 57 • positioning the PMT in the hemisphere by means 58
- 59 of a centering tool
- 60 • 3 cycles of out-gassing
- 61 • polymerization of the gel at atmospheric pressure 62
- 63 and room temperature for over 12 h

64 After these steps, the front end module and the 42  
 65 timing calibration system were mounted on the neck 43  
 66 of the PMT (see Fig.1), and were cabled and 44  
 67 connected electrically to the twisted pairs of the inner 45  
 68 part of the connector. A mechanical support for an 46  
 69 optical-fibre connected to the optical-pulser of the 47  
 70 Tim-Cal was glued on the neck of the PMT (Fig.1).  
 71 Finally, the hemispheres were aligned and joint to  
 72 close the OM at a vacuum of 250 mbar. An external  
 73 adhesive and tape were applied to the joint between  
 74 the two hemispheres. The watertight and mechanical  
 75 resistance of each assembled OM was tested in the  
 76 hyperbaric chamber at the NEMO test site (Catania  
 77 harbour) up to 350 atm.

#### 72 **4. Conclusions**

73 A 13" optical module with single large 10" PMT  
 74 was designed as part of Phase-2 of the NEMO  
 75 project. Each component was chosen after an intense  
 76 testing phase which included PMTs, base of voltage  
 77 supply, composition of the optical gel and the  
 78 magnetic shield. Following a well established  
 79 assembly procedure, 32 OMs were assembled, tested  
 80 in a hyperbaric chamber. In 2012 they will be  
 81 deployed with the Phase 2 NEMO tower.

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