

# Primordial Black Hole Domination

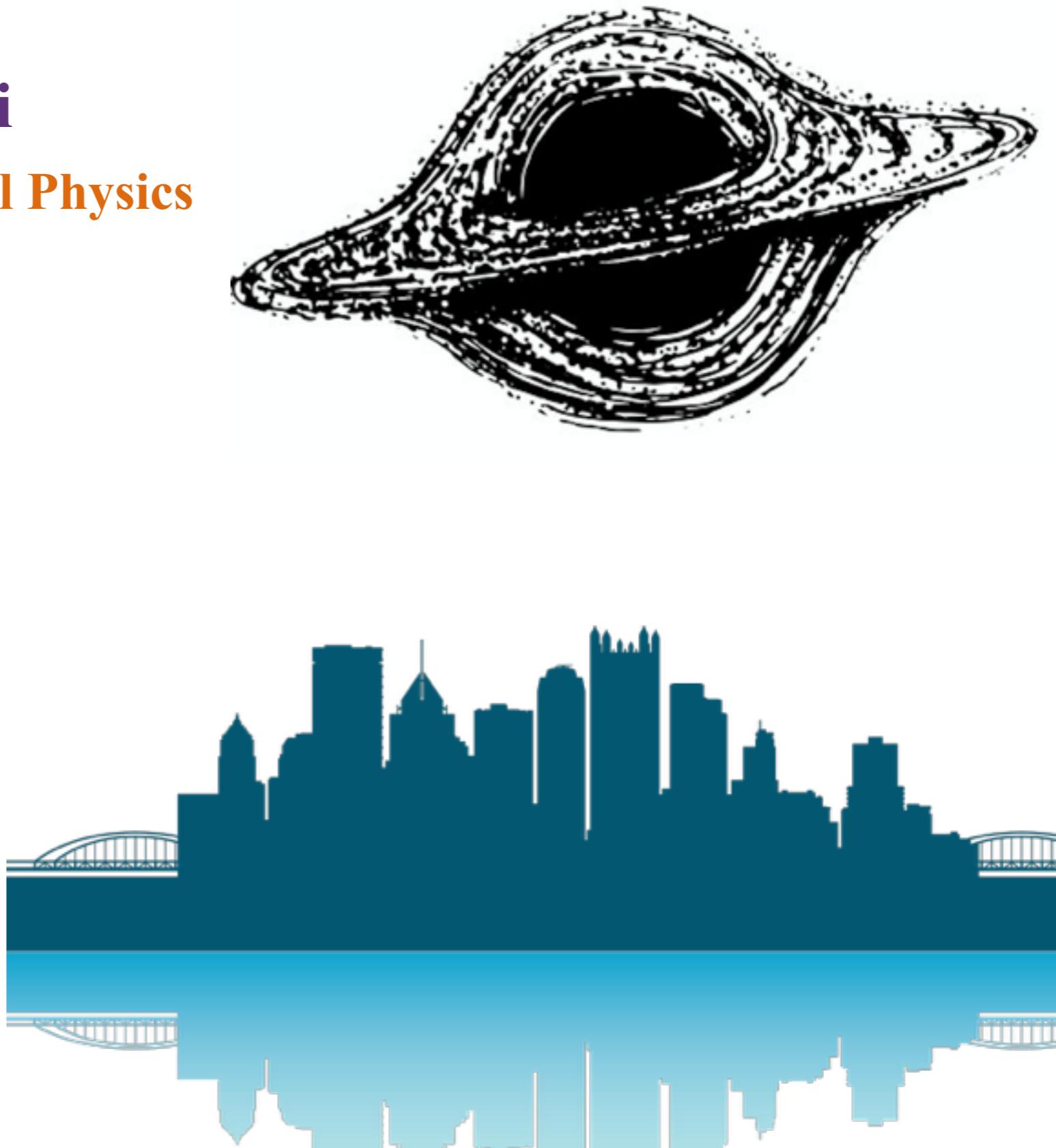
Barmak Shams Es Haghi

Weinberg Institute for Theoretical Physics  
UT Austin



PITT PACC Workshop:  
Non-Standard Cosmological Epochs  
and Expansion Histories

September 7, 2024



# **Outline:**

**Primordial Black holes (PBHs)**

**History**

**Motivations**

**light PBHs and:**

**Dark Matter**

**Dark Sectors**

**Dark Radiation**

**Baryogenesis**

**PBHs and Gravitational Waves**

# PBHS: BHs formed in the early Universe through a non-stellar way

Zel'dovich and Novikov 1960s

Hawking 1970s

Hawking and Carr 1970s

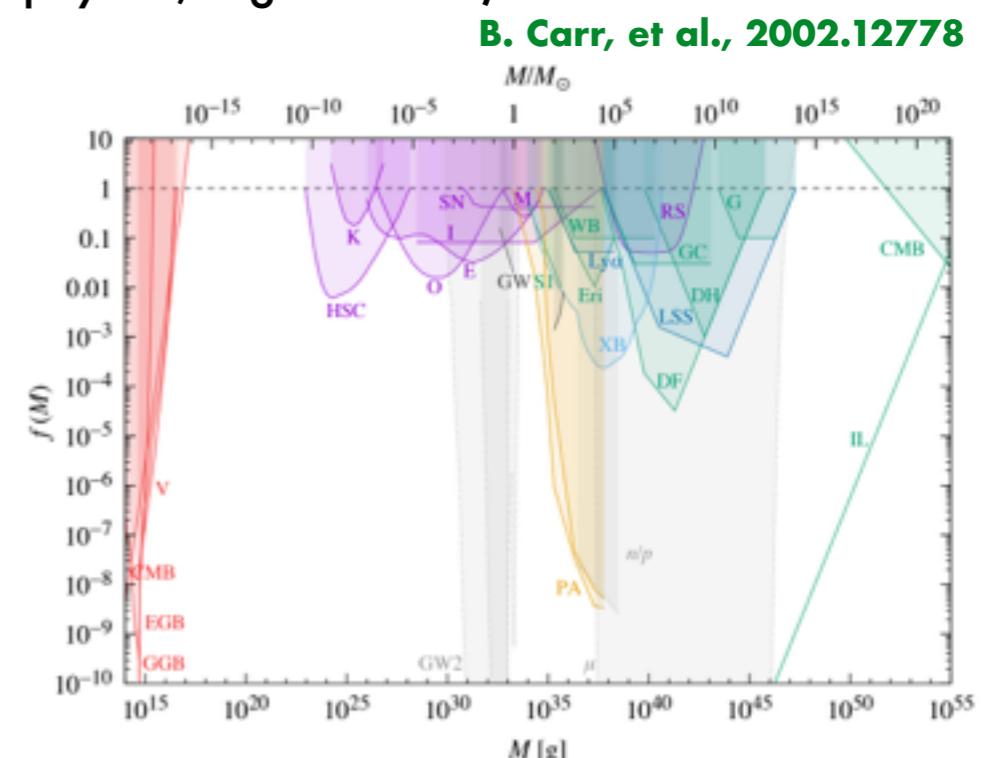
## Why PBHs?

Formation mechanism provides information about the early Universe

Can alter the evolution of the Universe (Non-Standard Cosmologies, Stasis)

Provide a Gravitational production channel for (heavy) particles via Hawking evaporation

Provide a DM candidate which (unlike WIMPs, axions, sterile neutrinos,...) is NOT a new particle (however their formation does usually require Beyond the Standard Model physics, e.g. inflation).



# Formation mechanism:

Collapse of primordial overdensities (standard scenario)

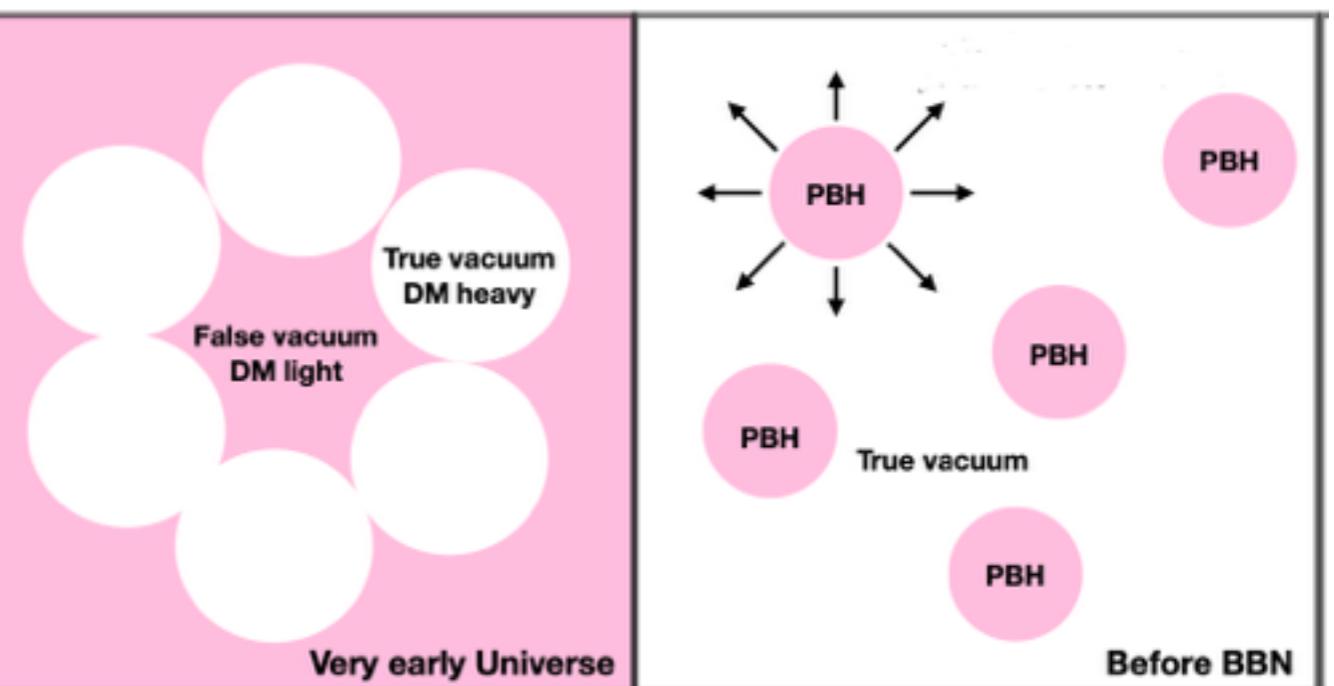
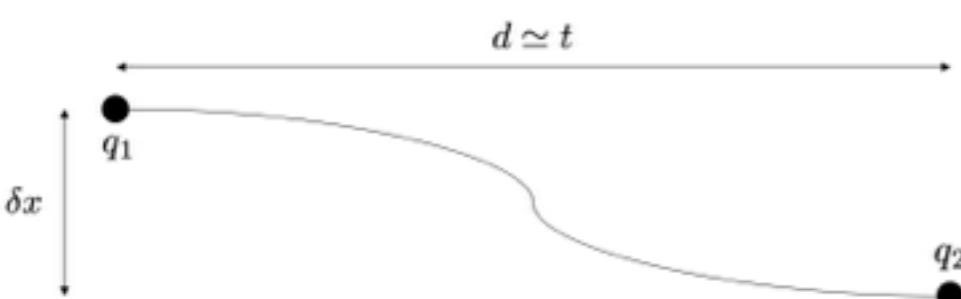
Collapse of topological defects

Particle Trapping by Bubble Walls

Scalar Fifth Force

Scalar-Field Fragmentation

Confinement (heavy quarks)



**BHs can be described by:**

mass, spin, charge

**mass and spin:** a wide range,  
monochromatic or extended distribution  
(depending on formation mechanism, cosmological epoch, ...)

# Hawking Evaporation of Kerr BHs:

$$T_K = \frac{1}{2\pi} \left( \frac{r_+ - M_{\text{BH}}}{r_+^2 + a^{*2} M_{\text{BH}}^2} \right)$$

$$a^* \equiv L/M_{\text{BH}}^2$$

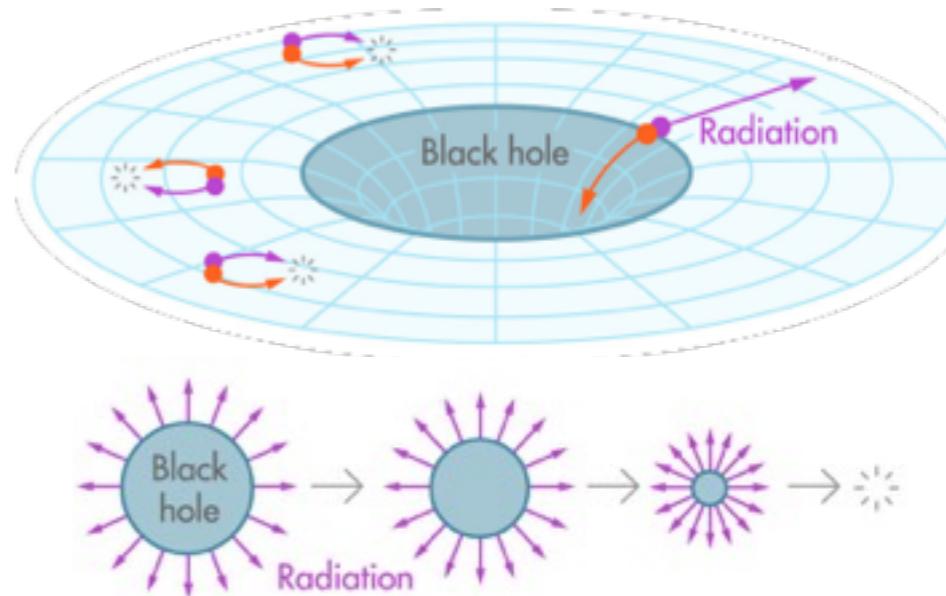
$$\frac{d^2 N_i}{dt dE} = \frac{1}{2\pi} \frac{\Gamma_{s_i}^{l,m}}{e^{E'/T_K} - (-1)^{2s_i}}$$

$$r_+ \equiv M_{\text{BH}}(1 + \sqrt{1 - a^{*2}})$$

$$E' \equiv E - m\Omega = E - ma^*/2r_+$$

$$\frac{dM_{\text{BH}}}{dt} = -\frac{f(M_{\text{BH}}, a^*)}{M_{\text{BH}}^2},$$

$$\frac{da^*}{dt} = \frac{a^* [2f(M_{\text{BH}}, a^*) - g(M_{\text{BH}}, a^*)]}{M_{\text{BH}}^3}$$



## Page factors

$$f(M_{\text{BH}}, a^*) \equiv M_{\text{BH}}^2 \int_0^{+\infty} \sum_i \sum_{\text{dof}} \frac{E}{2\pi} \frac{\Gamma_{s_i}^{l,m}(E, M_{\text{BH}}, a^*)}{e^{E'/T_K} - (-1)^{2s_i}} dE,$$

$$g(M_{\text{BH}}, a^*) \equiv \frac{M_{\text{BH}}}{a^*} \int_0^{+\infty} \sum_i \sum_{\text{dof}} \frac{m}{2\pi} \frac{\Gamma_{s_i}^{l,m}(E, M_{\text{BH}}, a^*)}{e^{E'/T_K} - (-1)^{2s_i}} dE$$

## numerical tools:

BlackHawk

**Arbey, Auffinger 1905.04268**

# Simpler case: Schwarzschild BHs (ignoring grabbed factors)

$$T_{\text{BH}} = \frac{M_{\text{Pl}}^2}{8\pi M_{\text{BH}}} \simeq 10^{13} \left( \frac{1 \text{ g}}{M_{\text{BH}}} \right) \text{ GeV}$$

$$\frac{d^2 u_i(E, t)}{dt dE} = \frac{g_i}{8\pi^2} \frac{E^3}{e^{E/T_{\text{BH}}} \pm 1}$$

$$M(t) = M_i \left( 1 - \frac{(t - t_i)}{\tau} \right)^{1/3}, \quad \tau = \frac{10240\pi}{g_*(T_{\text{BH}})} \frac{M_i^3}{M_{\text{Pl}}^4} \quad \tau(M = 10^{15} \text{ g}) \sim \tau_{\text{Univ.}}$$

**bosons:**

$$N_i = \frac{120 \zeta(3)}{\pi^3} \frac{g_i}{g_*(T_{\text{BH}})} \frac{M_{\text{BH}}^2}{M_{\text{Pl}}^2}, \quad T_{\text{BH}} > m_i$$

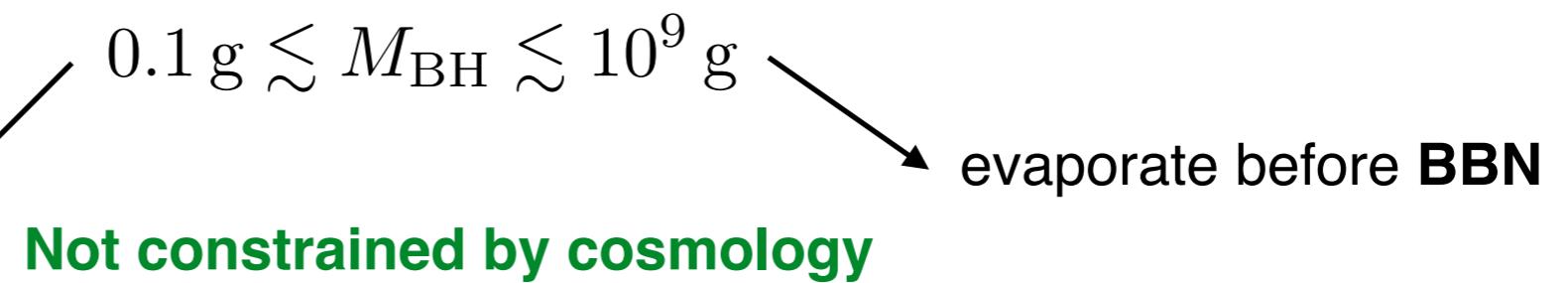
$$N_i = \frac{15 \zeta(3)}{8\pi^5} \frac{g_i}{g_*(T_{\text{BH}})} \frac{M_{\text{Pl}}^2}{m_i^2}, \quad T_{\text{BH}} < m_i$$

**fermions:**  $N_F = \frac{3}{4} \frac{g_F}{g_B} N_B$

**mass range in this talk:**

**CMB:** constraint on the size of Horizon at the end of inflation

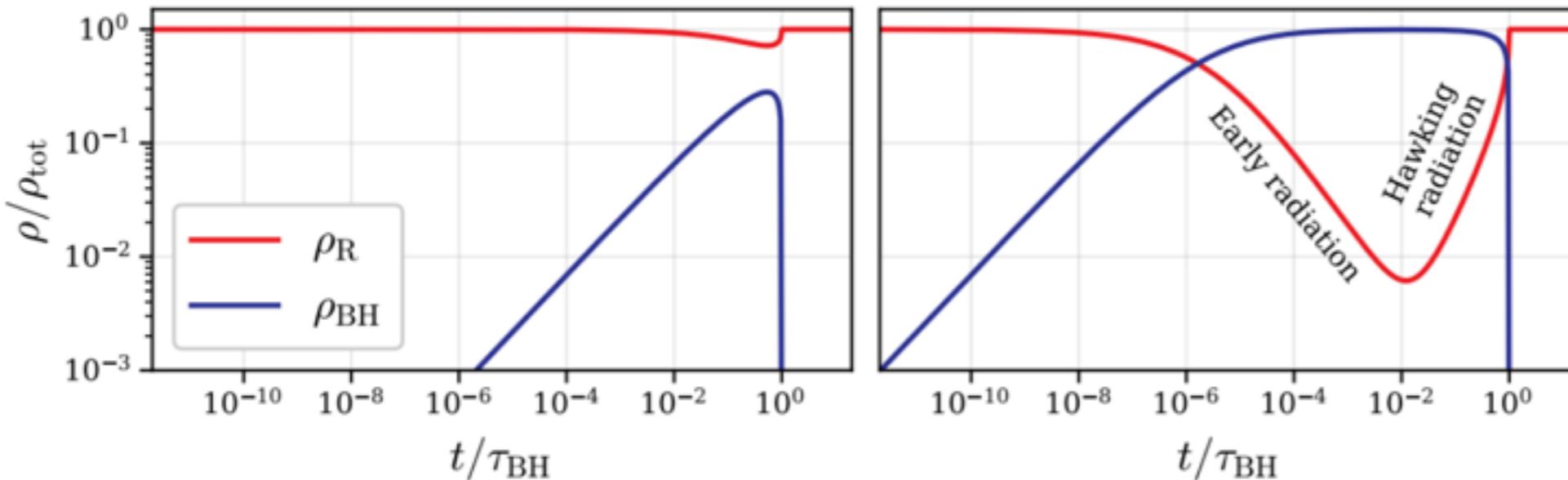
$$H_I \lesssim 10^{-5} M_{\text{Pl}} \quad (\text{Planck})$$



# PBH Energy Content:

initial abundance of PBHs

$$\beta \equiv \frac{\rho_{\text{PBH}}(t_i)}{\rho_{\text{rad}}(t_i)}$$



C. J. Shallue, J. B. Munoz, G. Z. Krnjaic 2406.08535

$$\rho_{\text{PBH}} \propto a^{-3}, \quad \rho_{\text{rad}} \propto a^{-4}$$

$$\rho_{\text{PBH}}(t_{\text{early-eq}})/\rho_{\text{rad}}(t_{\text{early-eq}}) \sim 1$$

$$t_{\text{early-eq}} \lesssim t_{\text{eva}}$$

critical initial abundance

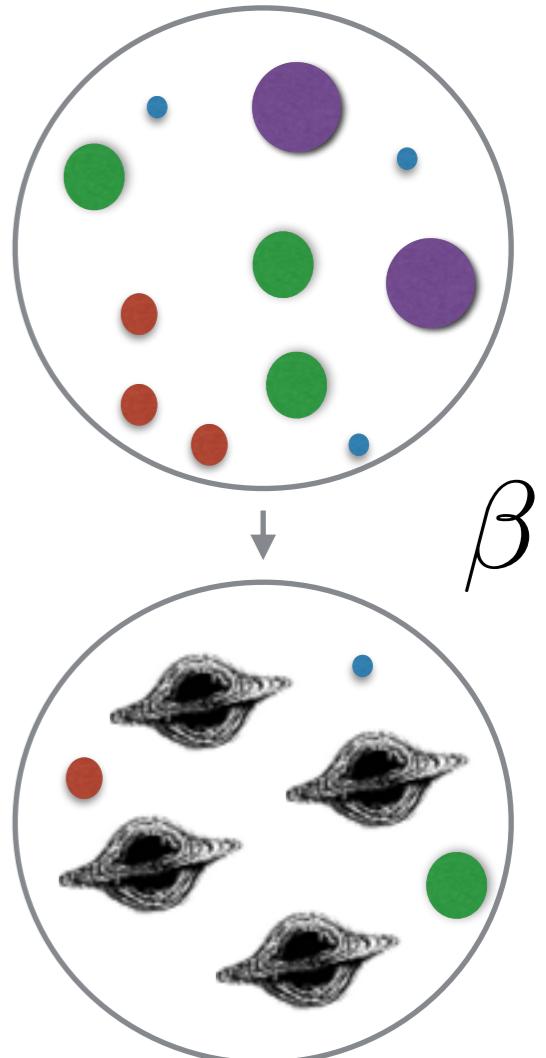
$$\beta_{\text{crit}} \sim \frac{M_{\text{Pl}}}{M_{\text{BH}}}$$

$$\beta < \beta_{\text{crit}}$$

evaporation happens in a RD Universe

$$\beta \geq \beta_{\text{crit}}$$

evaporation happens in a MD (PBH dominated) Universe  
(PBHs reheat the Universe)



# **Dark Matter Production**

**if DM only interacts gravitationally:**

**Assuming PBHs with monochromatic mass function, formed during RD, with a mass of the order of the Horizon mass at formation time:**

**evaporation in a RD Universe:**  $\beta < \beta_{\text{crit}}$

$$\Omega_\chi h^2 \simeq 7.3 \times 10^7 \beta \left( \frac{g_*(T_i)}{106.8} \right)^{-1/4} \left( \frac{\gamma}{0.2} \right)^{1/2} \left( \frac{m_\chi}{\text{GeV}} \right) \left( \frac{g_\chi}{g_*(T_{\text{BH}})} \right) \left( \frac{M_{\text{BH}}}{M_{\text{Pl}}} \right)^{1/2}, \quad T_{\text{BH}} > m_\chi$$

$$\Omega_\chi h^2 \simeq 1.2 \times 10^5 \beta \left( \frac{g_*(T_i)}{106.8} \right)^{-1/4} \left( \frac{\gamma}{0.2} \right)^{1/2} \left( \frac{m_\chi}{\text{GeV}} \right) \left( \frac{g_\chi}{g_*(T_{\text{BH}})} \right) \left( \frac{M_{\text{Pl}}^7}{M_{\text{BH}}^3 m_\chi^4} \right)^{1/2}, \quad T_{\text{BH}} < m_\chi$$

**evaporation in MD Universe:**  $\beta \geq \beta_{\text{crit}}$

$$\Omega_\chi h^2 \simeq 1.1 \times 10^7 \left( \frac{g_*(T_{\text{eva-BH}})}{106.8} \right)^{1/4} \left( \frac{g_\chi}{g_*(T_{\text{BH}})} \right) \left( \frac{m_\chi}{\text{GeV}} \right) \left( \frac{M_{\text{Pl}}}{M_{\text{BH}}} \right)^{1/2}, \quad T_{\text{BH}} > m_\chi$$

$$\Omega_\chi h^2 \simeq 1.7 \times 10^4 \left( \frac{g_*(T_{\text{eva-BH}})}{106.8} \right)^{1/4} \left( \frac{g_\chi}{g_*(T_{\text{BH}})} \right) \left( \frac{m_\chi}{\text{GeV}} \right) \left( \frac{M_{\text{Pl}}^9}{M_{\text{BH}}^5 m_\chi^4} \right)^{1/2}, \quad T_{\text{BH}} < m_\chi$$

D. Baumann, P. J. Steinhardt, N. Turok, 0703250

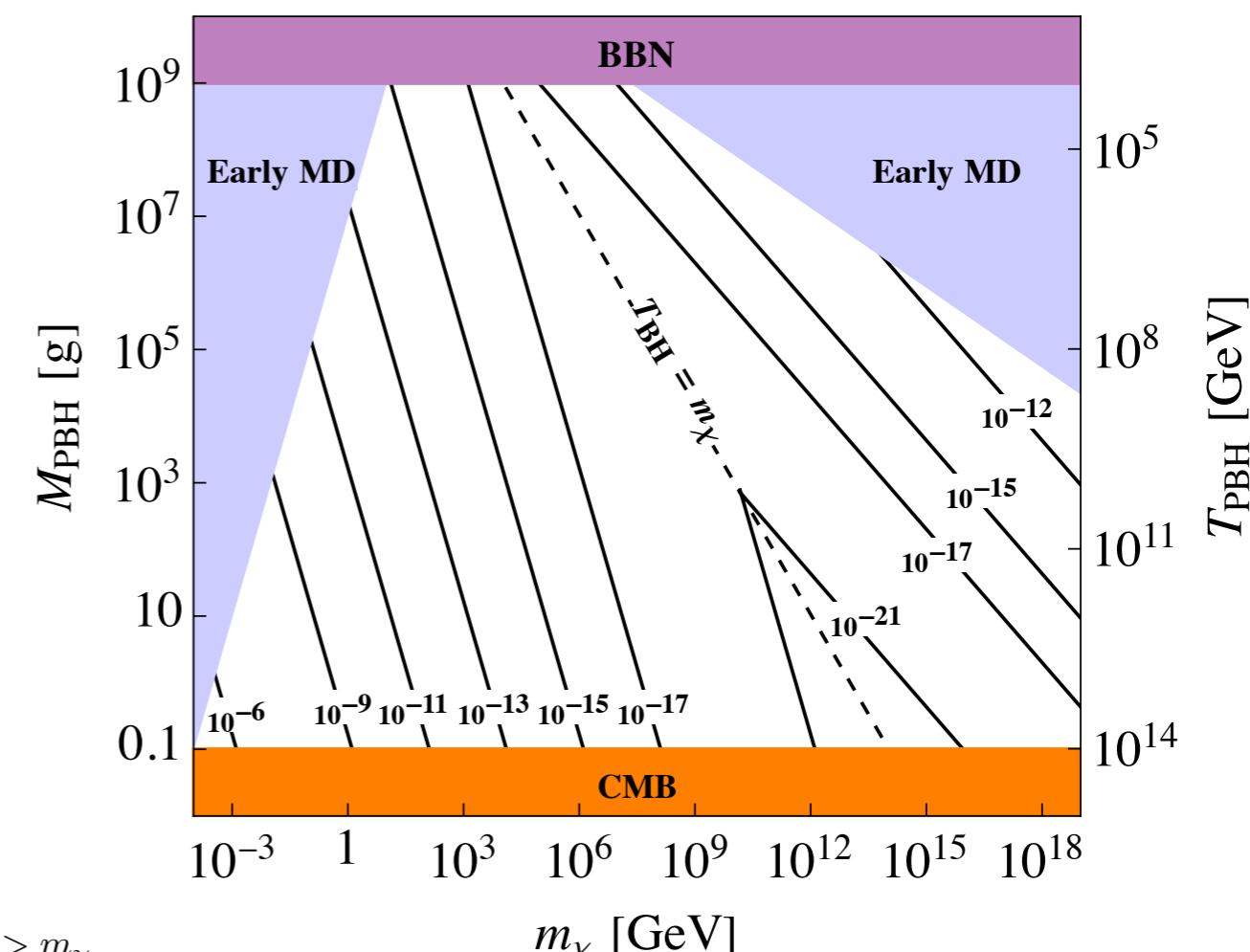
T. Fujita, et. al., 1401.1909

R. Allahverdi, J. Dent, J. Osinski, 1711.10511

O. Lennon, et. al., 1712.07664

L. Morrison, S. Profumo and Y. Yu, 1812.10606

D. Hooper, G. Krnjaic, S. D. McDermott, 1905.01301



In this case the yield is independent of initial abundance of PBHs.

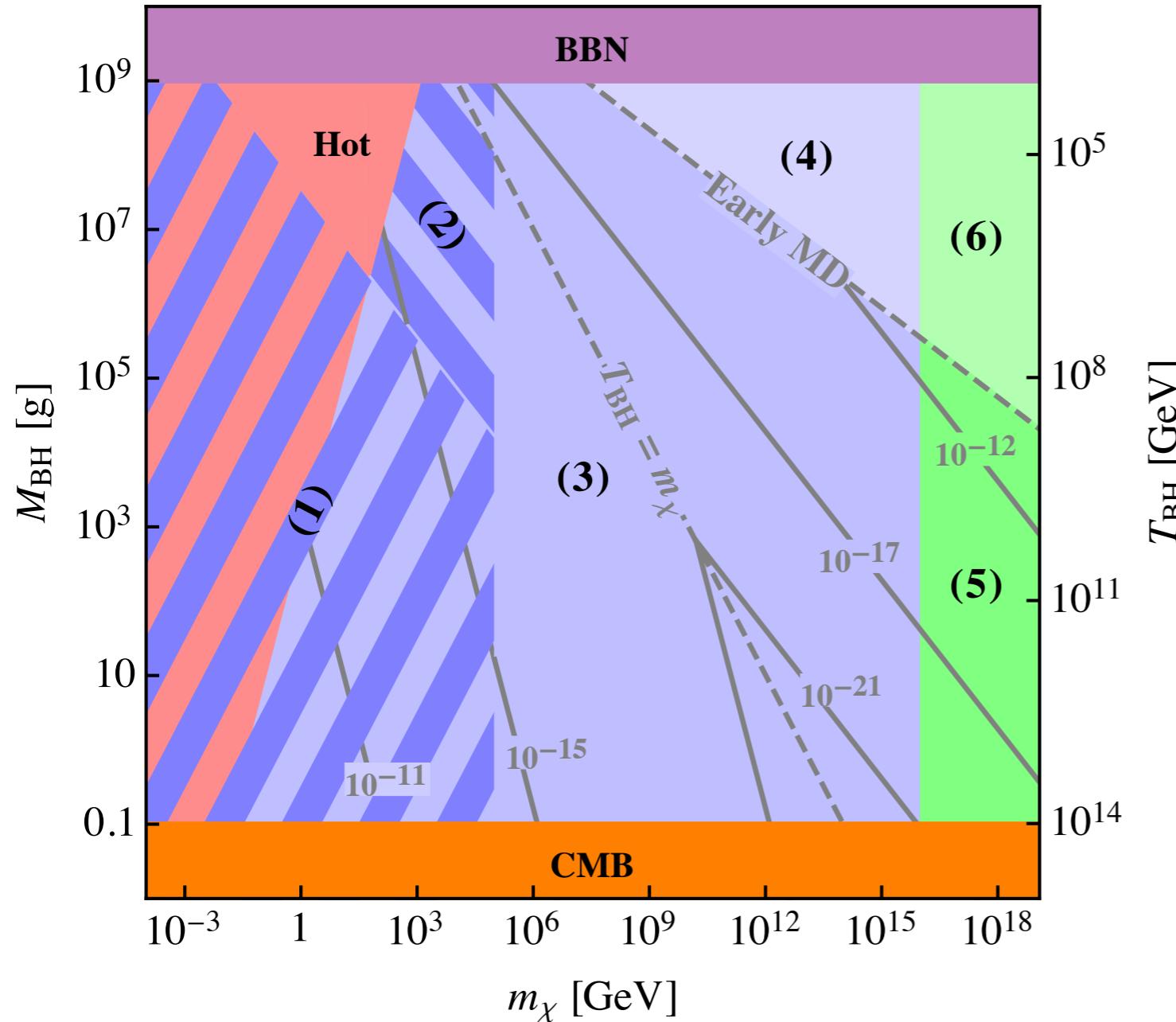
Light DM can be hot

## **Adding non-gravitational interactions: Interplay between PBH evaporation and other DM production mechanism**

- Freeze-out (WIMP, SIMP)
- Freeze-in
- or other gravitational production channels:  
Gravitational production of superheavy DM

$$\Omega_\chi^{\text{SM}}(m_\chi, \lambda) + \Omega_\chi^{\text{PBH}}(m_\chi, M_{\text{PBH}}, \beta) \leq \Omega_{\text{CDM}}$$

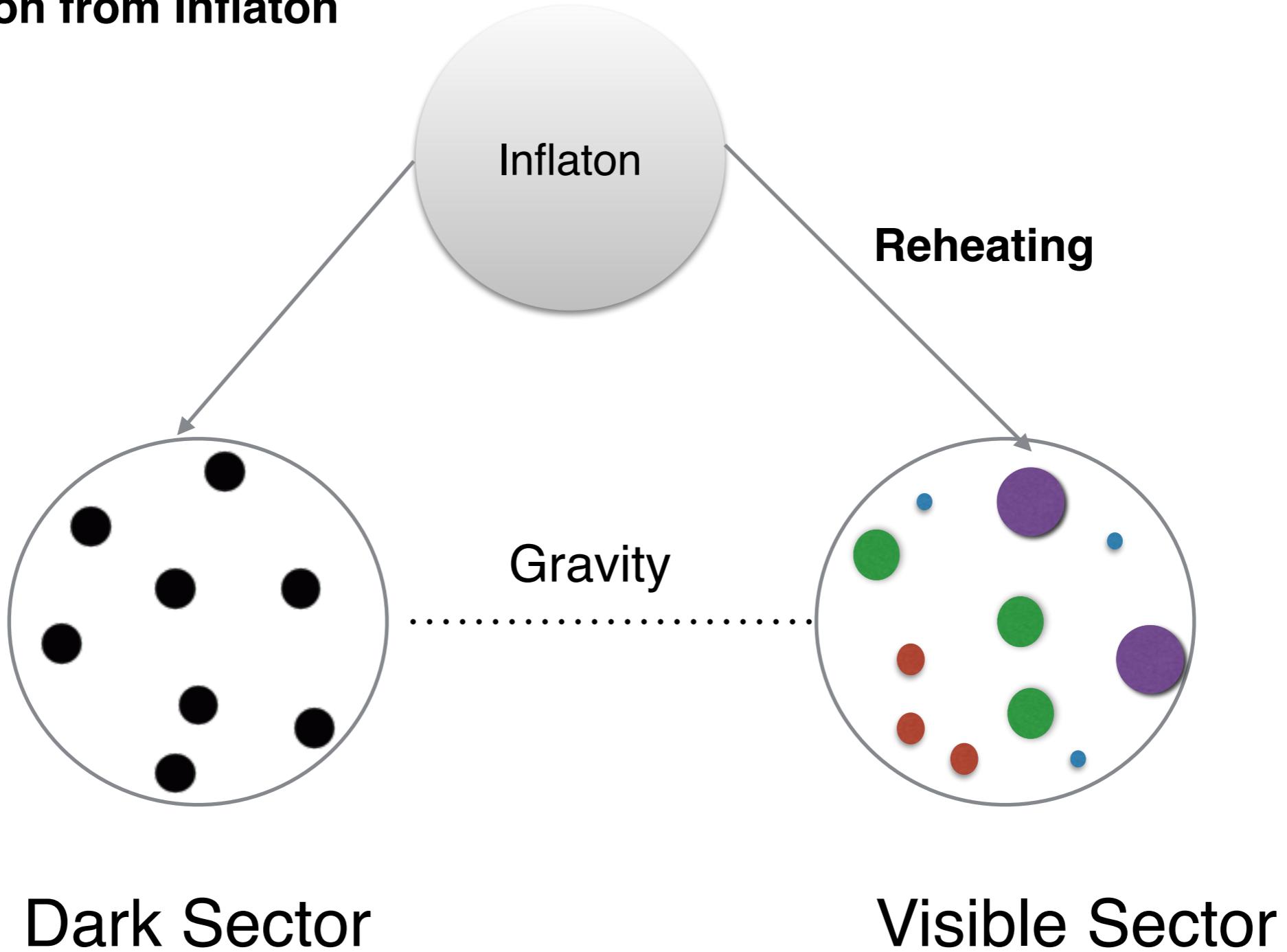
# PBHs can affect DM models.



- Sources of Dark Matter:
- (1): freeze-out only
  - (2): freeze-out and/or PBH
  - (3): freeze-in and/or PBH
  - (4): freeze-in required plus PBH
  - (5): WIMPZILLA and/or PBH
  - (6): WIMPZILLA required plus PBH

# **Populating a Dark Sector (Asymmetric Reheating)**

## Direct Production from Inflaton



issue: two sectors can exchange inflaton and thermalize

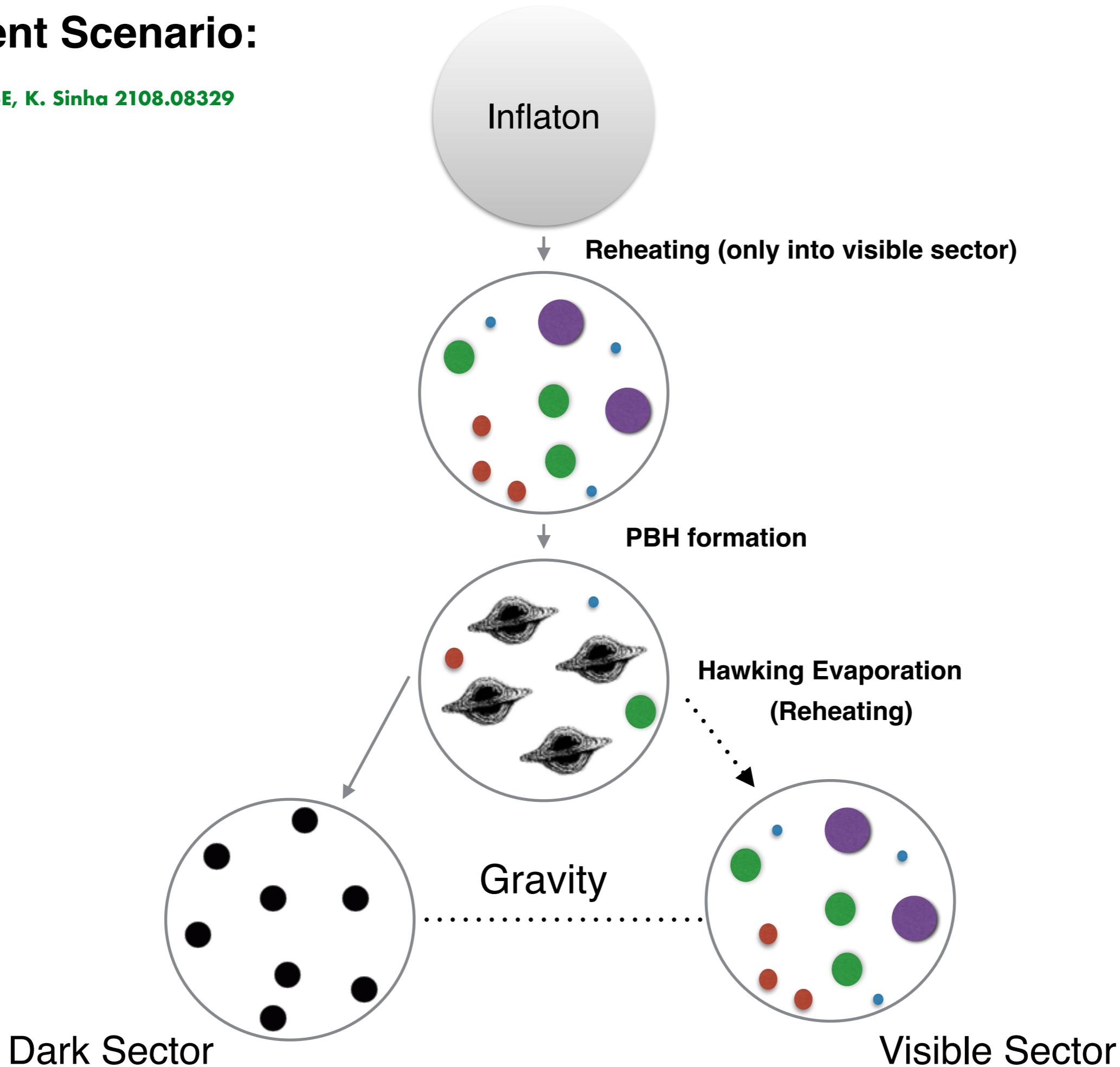
P. Adshead, Y. Cui, J. Shelton, 1604.02458

E. Hardy, J. Unwin, 1703.07642

P. Adshead, P. Ralegankar, J. Shelton, 1906.02755

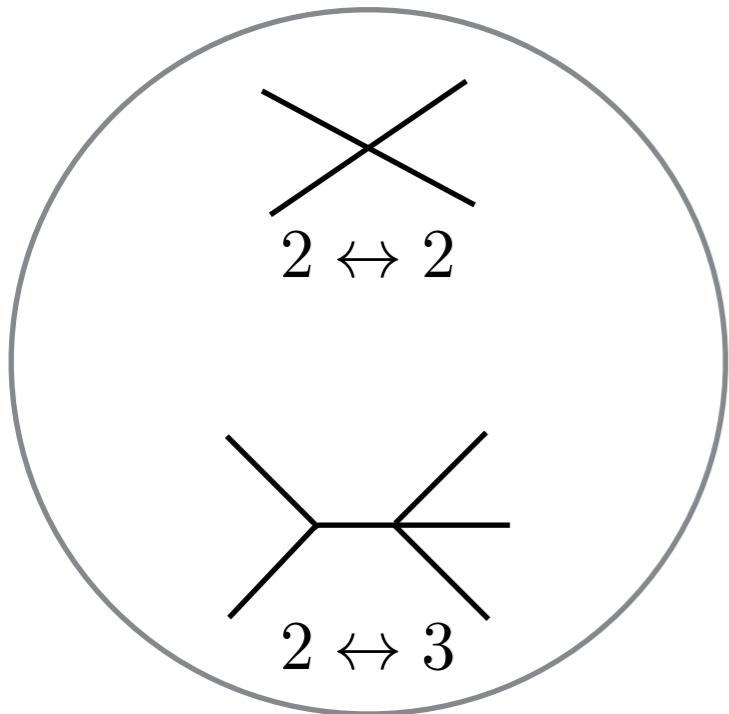
# A different Scenario:

P. Sandick, BSE, K. Sinha 2108.08329



# Populating a self-interacting dark sector by relativistic and far from equilibrium particles:

$$\mathcal{L} = \frac{1}{2}\partial_\mu\chi\partial^\mu\chi - \frac{1}{2}m_\chi^2\chi^2 - \frac{m_\chi\lambda}{3!}\chi^3 - \frac{\lambda^2}{4!}\chi^4, \quad 1 \lesssim \lambda \lesssim 4\pi$$

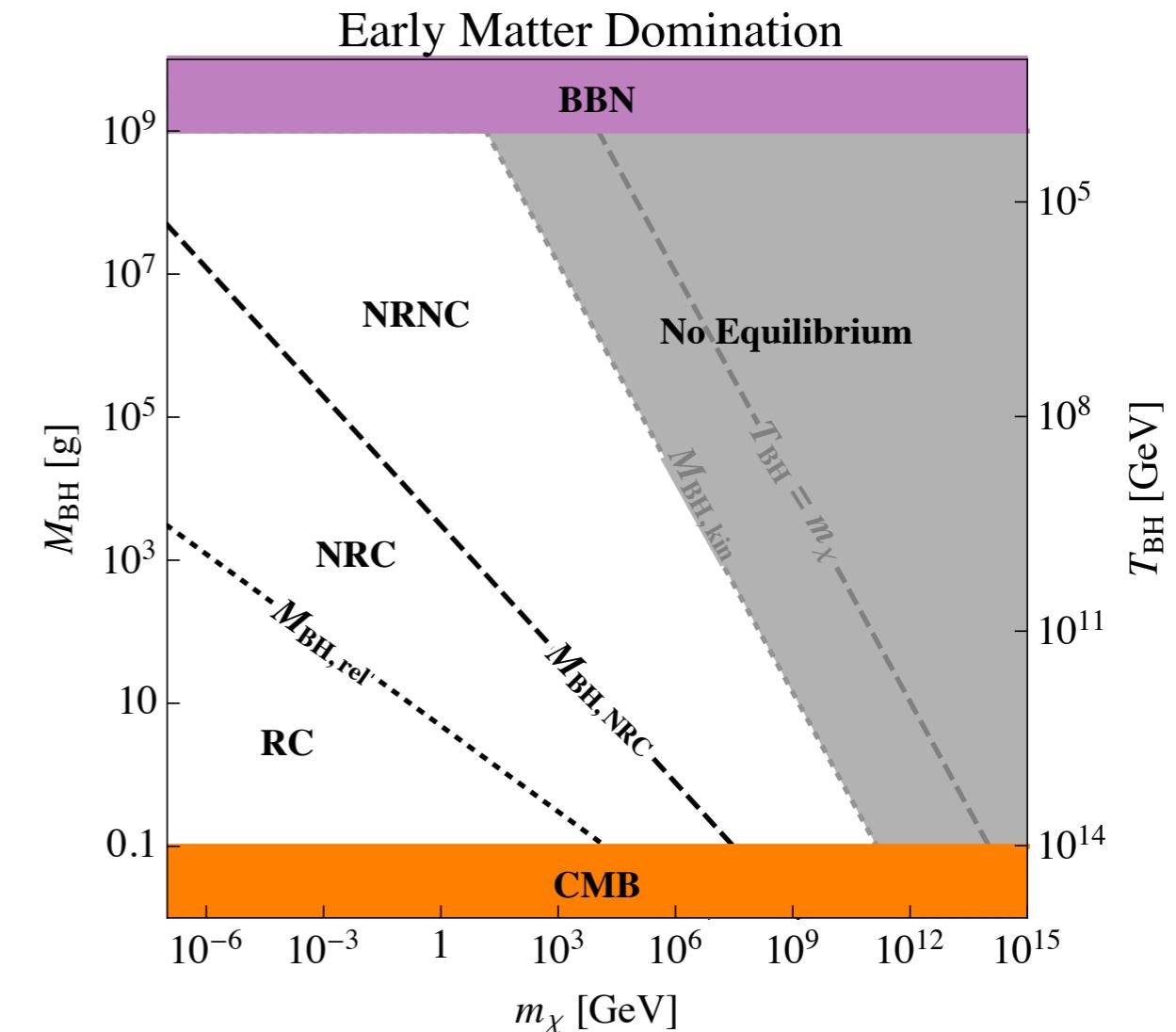
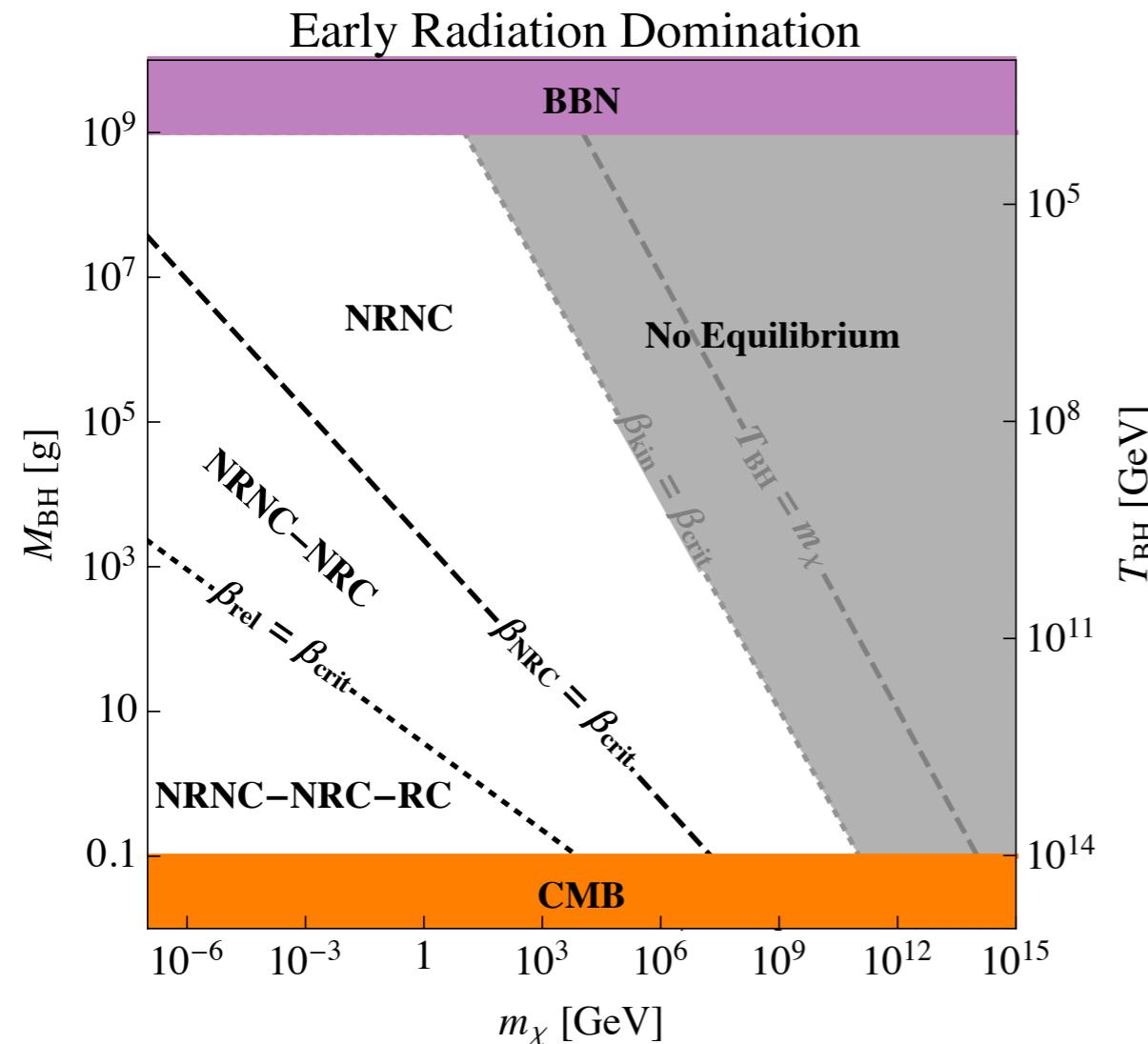


Dark Sector

kinetic equilibrium  
Chemical equilibrium  
Cannibalism/decoupling (freeze-out)

Thermal History	Early Radiation Domination	Early Matter Domination
NRNC (non-relativistic, no cannibalism)	$\beta_{\text{kin}} \lesssim \beta \lesssim \beta_{\text{NRC}}$	$M_{\text{BH, NRC}} \lesssim M_{\text{BH}} \lesssim M_{\text{BH, kin}}$
NRC (non-relativistic, cannibalism)	$\beta_{\text{NRC}} \lesssim \beta \lesssim \beta_{\text{rel}}$	$M_{\text{BH, rel}} \lesssim M_{\text{BH}} \lesssim M_{\text{BH, NRC}}$
RNC (relativistic, no cannibalism)	$\beta_{\text{rel}} \lesssim \beta \lesssim \beta_{\text{RC}}$	$M_{\text{BH, RC}} \lesssim M_{\text{BH}} \lesssim M_{\text{BH, rel}}$
RC (relativistic, cannibalism)	$\beta_{\text{RC}} \lesssim \beta \lesssim \beta_{\text{crit}}$	$M_{\text{BH}} \lesssim M_{\text{BH, RC}}$

# Thermal Histories



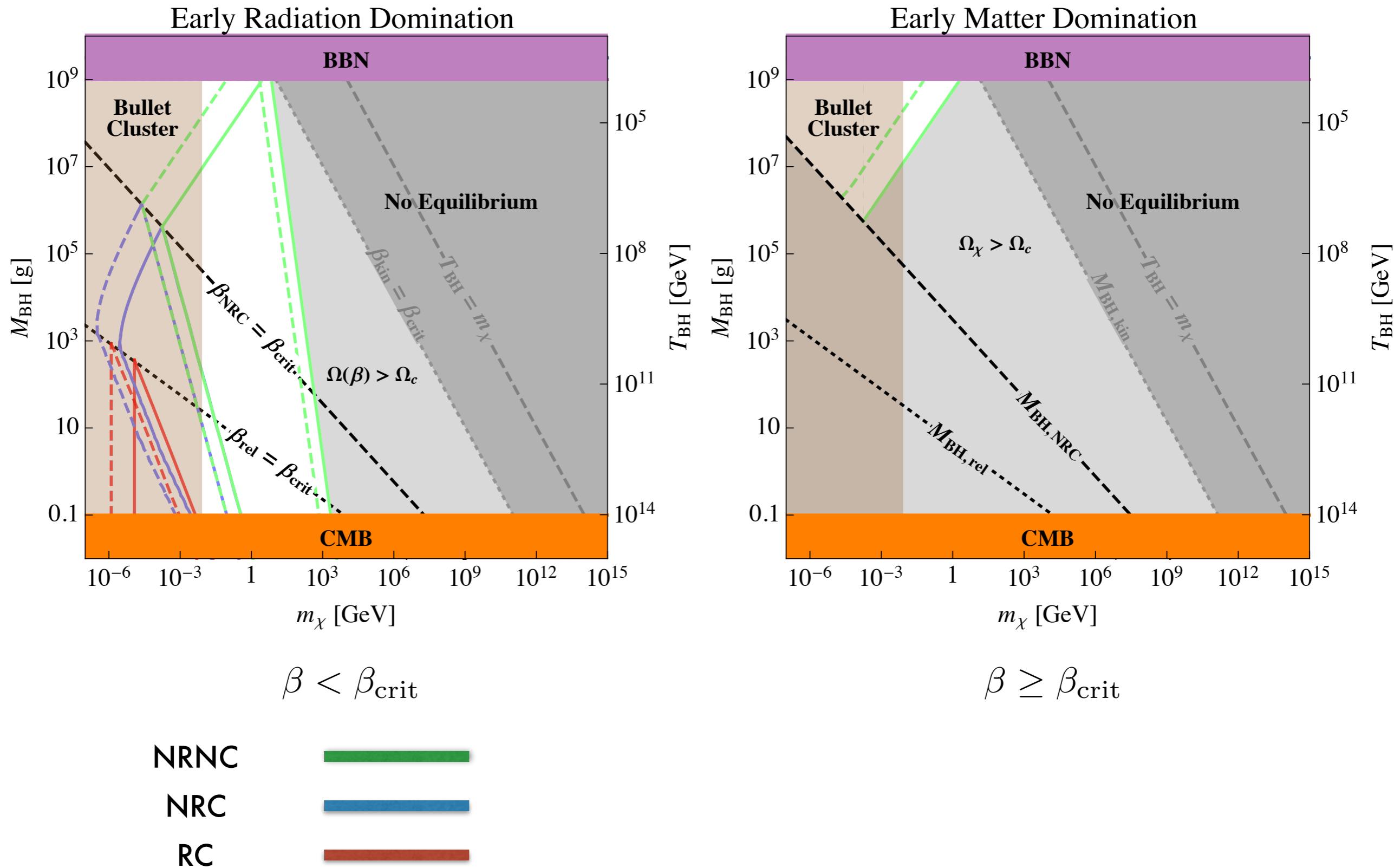
$$\beta < \beta_{\text{crit}}$$

$$\beta \geq \beta_{\text{crit}}$$

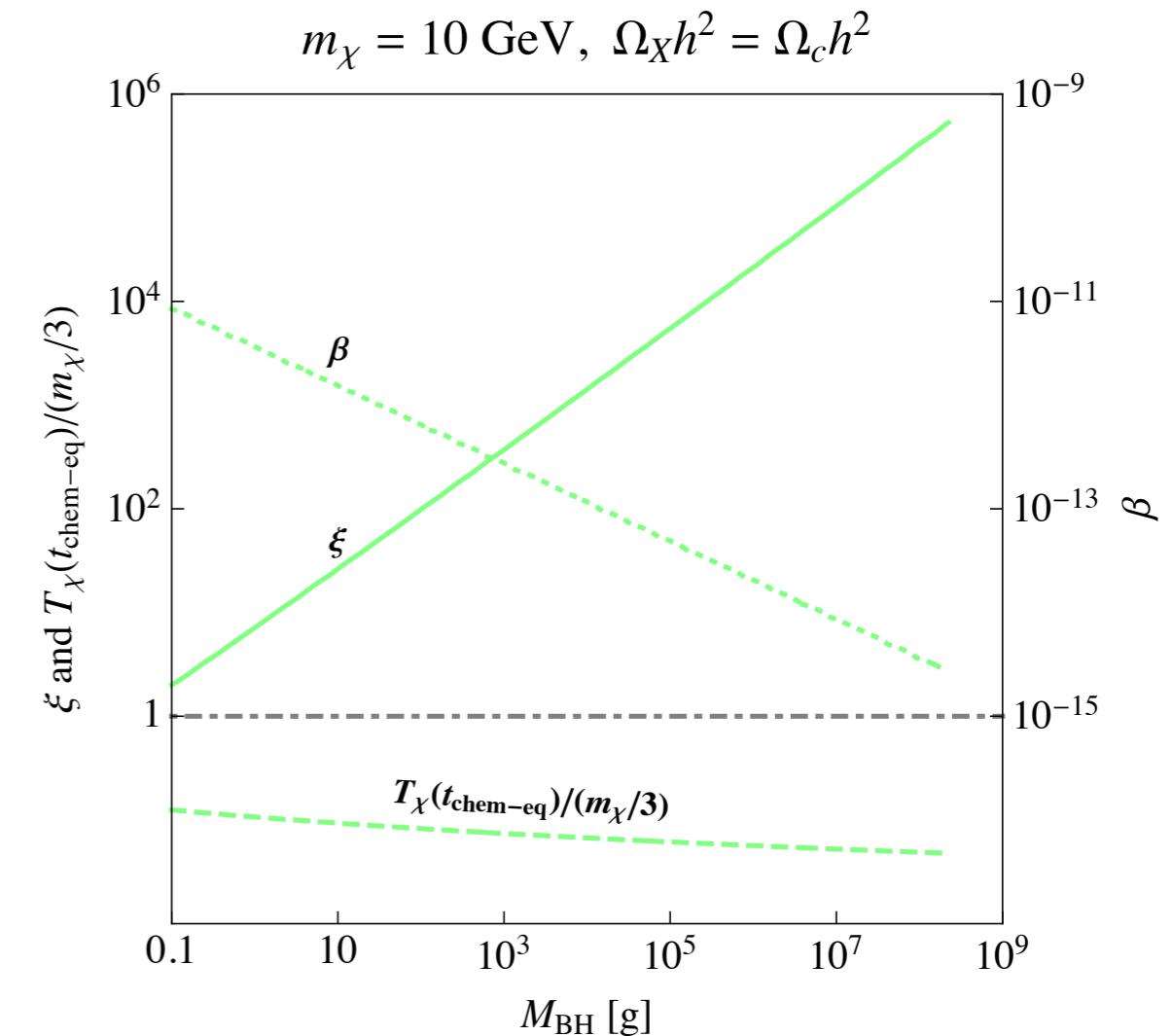
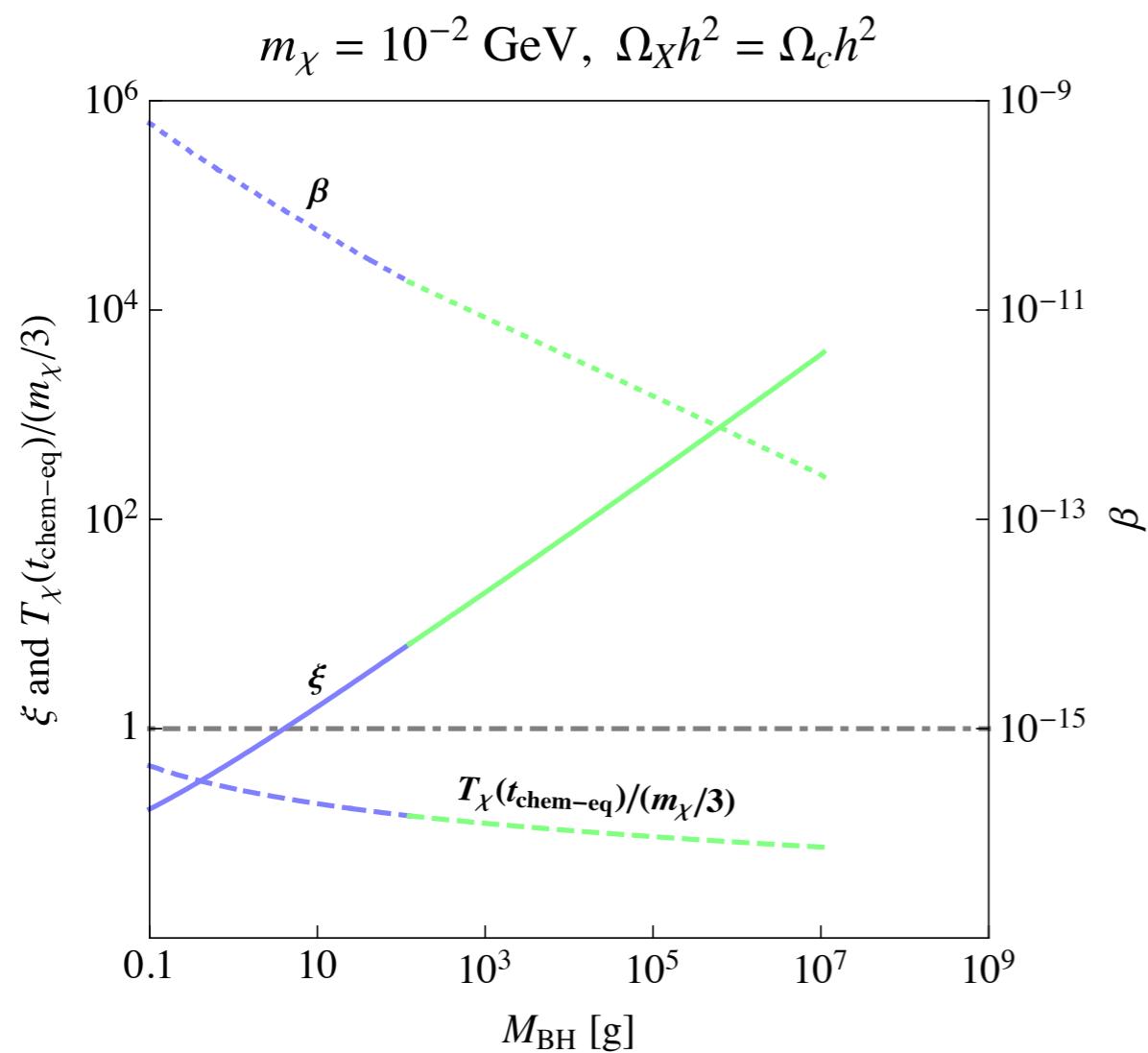
P. Sandick, BSE, K. Sinha 2108.08329

$T_{\text{BH}} < m_\chi$       no equilibrium  
 relativistic: cannibalism is inevitable

# Constraints: relic abundance, Bullet Cluster



# Dark Sector Temperature:



$$\xi = \frac{T_\chi(t_{\text{chem-eq}})}{T_V(t_{\text{chem-eq}})}$$

P. Sandick, BSE, K. Sinha 2108.08329

# **Dark Radiation**

PBHs can impact cosmology by emitting massless particles  
**the effective number of relativistic degrees of freedom**  $\Delta N_{\text{eff}}$

**massless particle: graviton**

Spinning BHs have enhanced emission of particles with higher spin.

**Graviton is spin 2!**

How large is the spin of PBHs?

Depends on the formation mechanism and environment:

**formation in RD:** small angular momentum

**formation in MD:** tidal forces and density

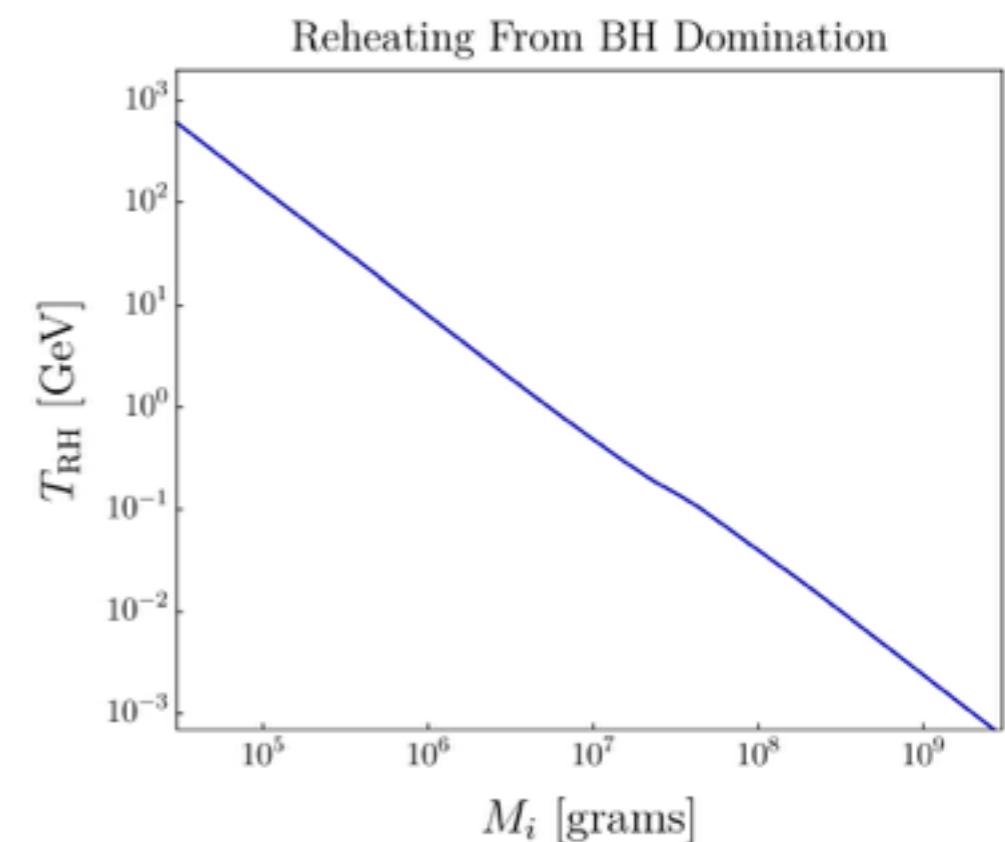
fluctuations can make collapsing regions non-spherical,  
 which can lead to very large PBH spins

**merger** also gives rise to high spin.

The effect becomes important for PBH domination, when  
 PBH evaporation reheats the Universe.

$$\Delta N_{\text{eff}} = \frac{\rho_{\text{DR}}(t_{\text{EQ}})}{\rho_{\text{R}}(t_{\text{EQ}})} \left[ N_{\nu} + \frac{8}{7} \left( \frac{11}{4} \right)^{4/3} \right] \quad N_{\nu} = 3.046$$

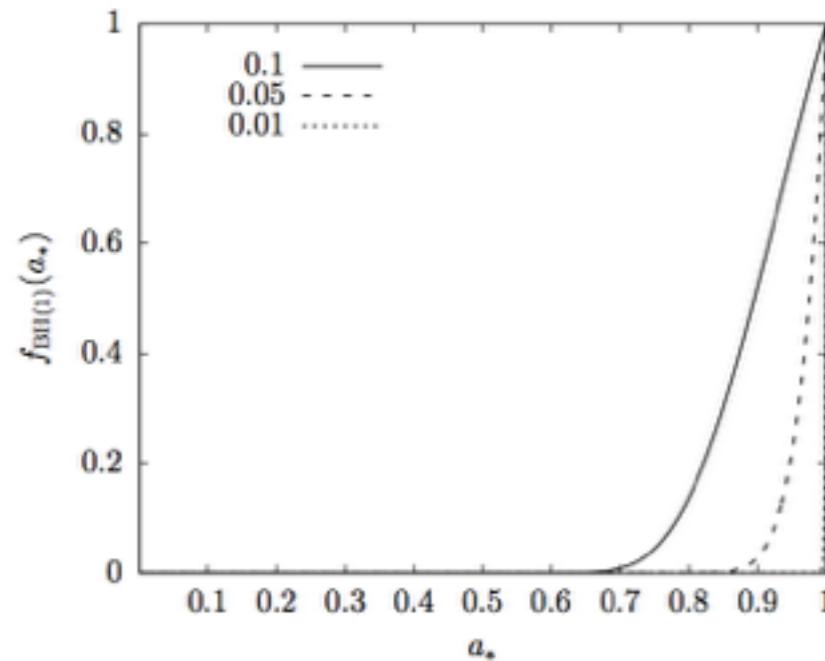
$$\frac{\rho_{\text{DR}}(t_{\text{EQ}})}{\rho_{\text{R}}(t_{\text{EQ}})} = \frac{\rho_{\text{DR}}(t_{\text{RH}})}{\rho_{\text{R}}(t_{\text{RH}})} \left( \frac{g_*(T_{\text{RH}})}{g_*(T_{\text{EQ}})} \right) \left( \frac{g_{*,S}(T_{\text{EQ}})}{g_{*,S}(T_{\text{RH}})} \right)^{4/3}$$



# Spin distribution

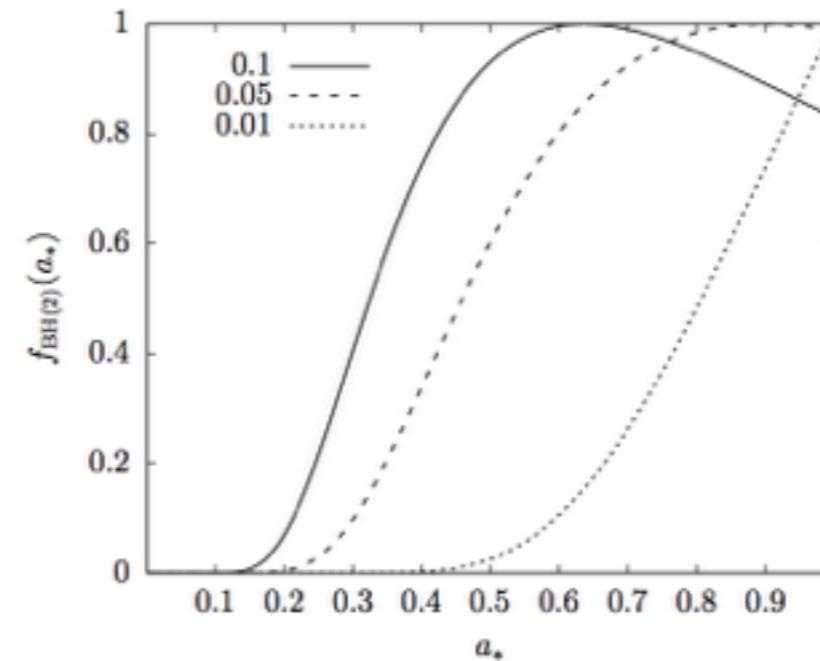
Harada, Yoo, Kohri, Nakao 1707.03595

EMDE: 1st order



1st order: deviation of the boundary of the volume from a sphere.

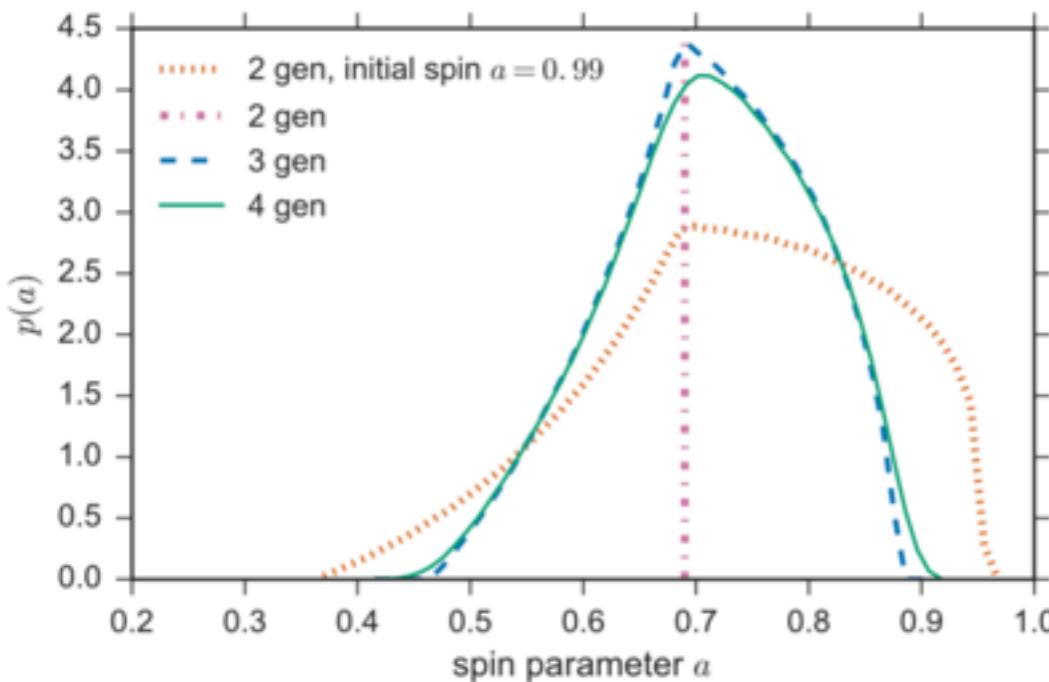
EMDE: 2nd order



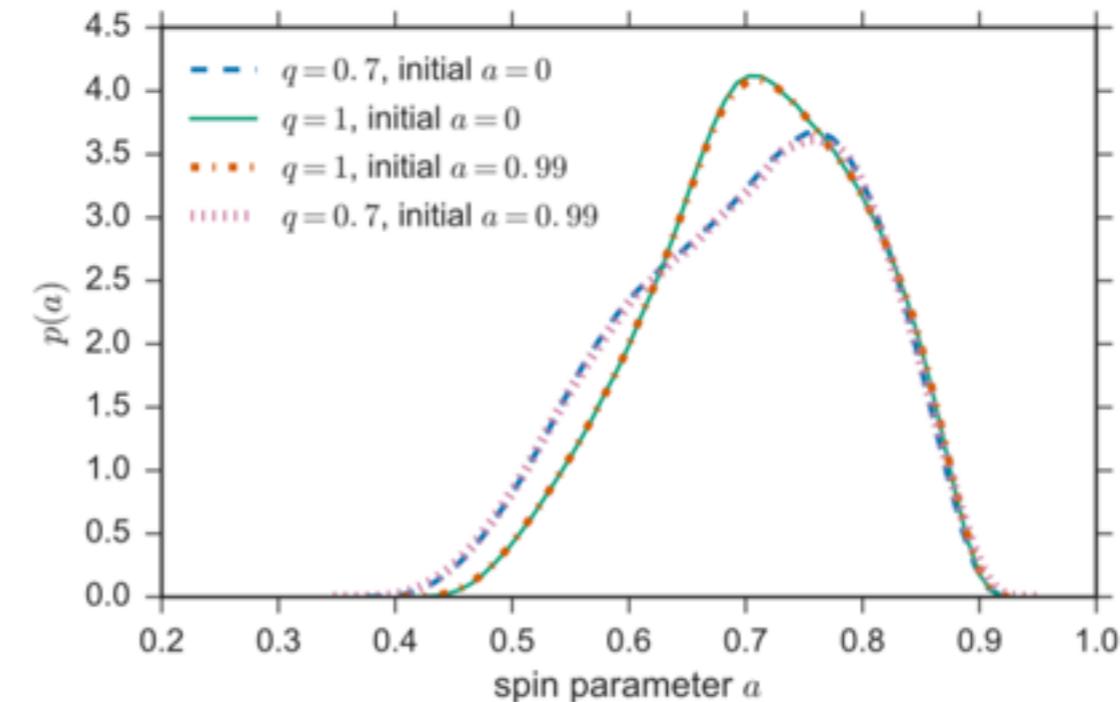
2nd order: density fluctuations in the comoving region.

spin distribution is a function of the mean variance of the density perturbations at horizon entry

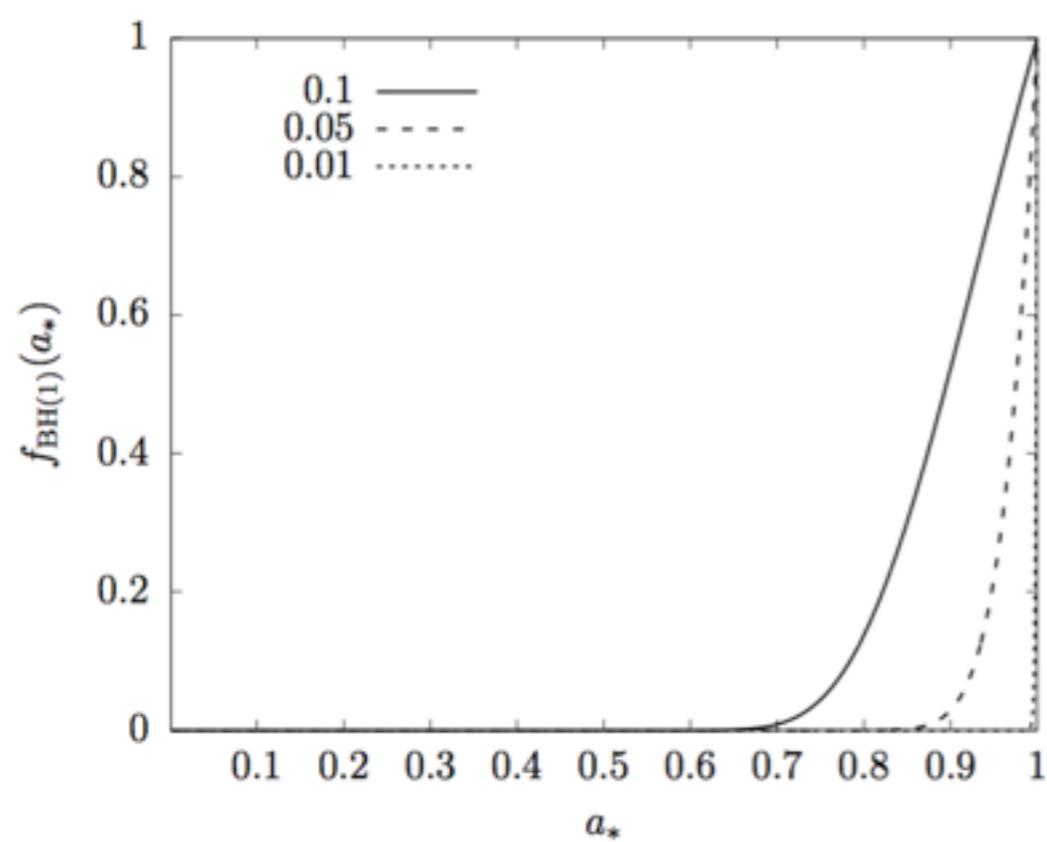
$$\sigma_H = \langle \delta_s(t_H)^2 \rangle^{1/2}$$



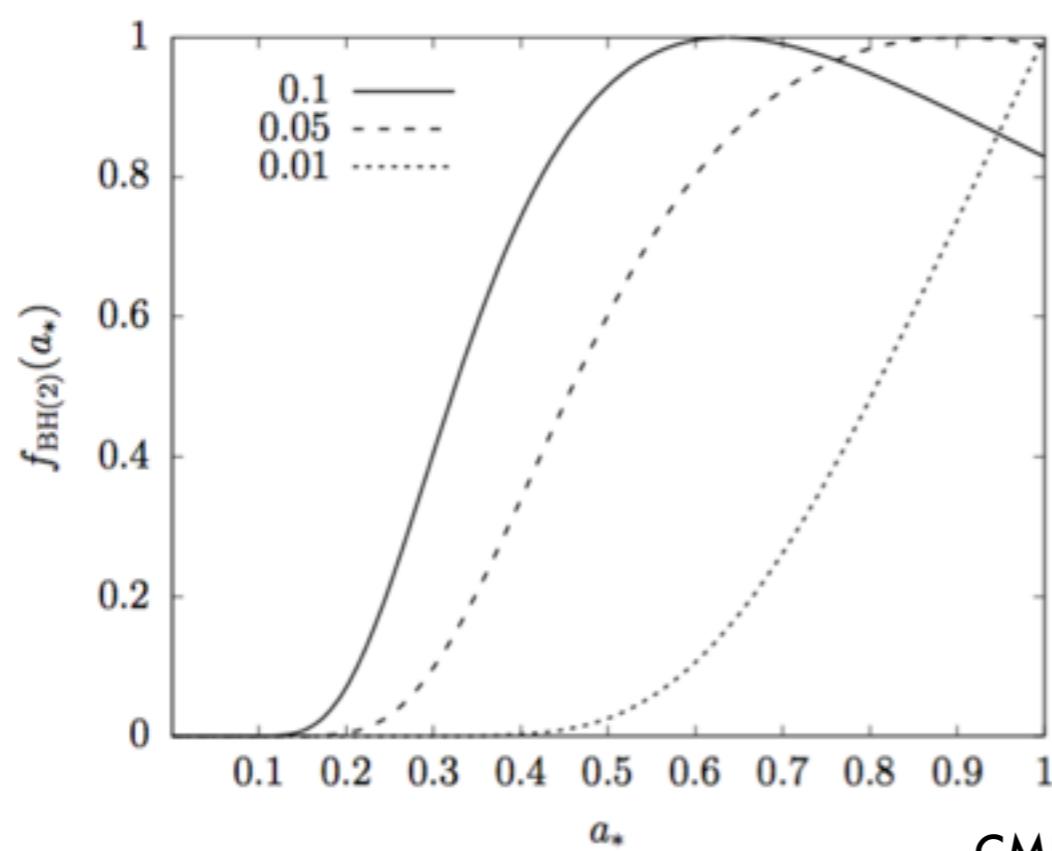
Fishbach, Holz, Farr 1703.06869



## 1st order

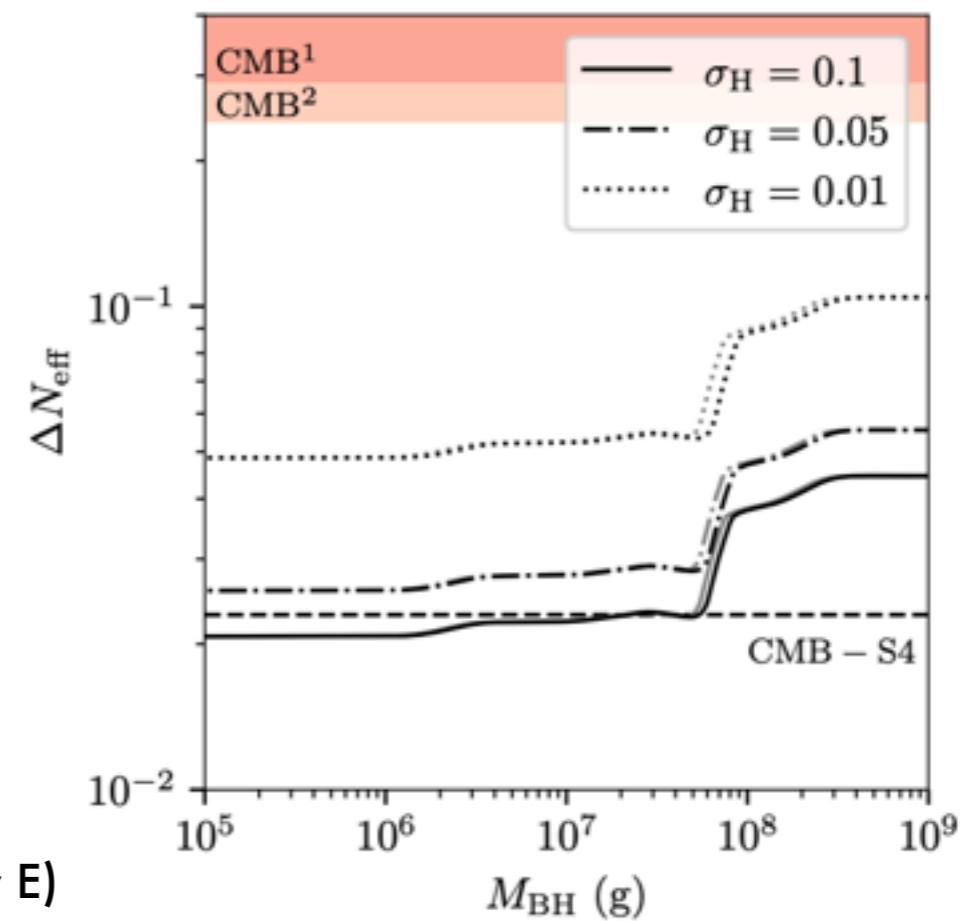
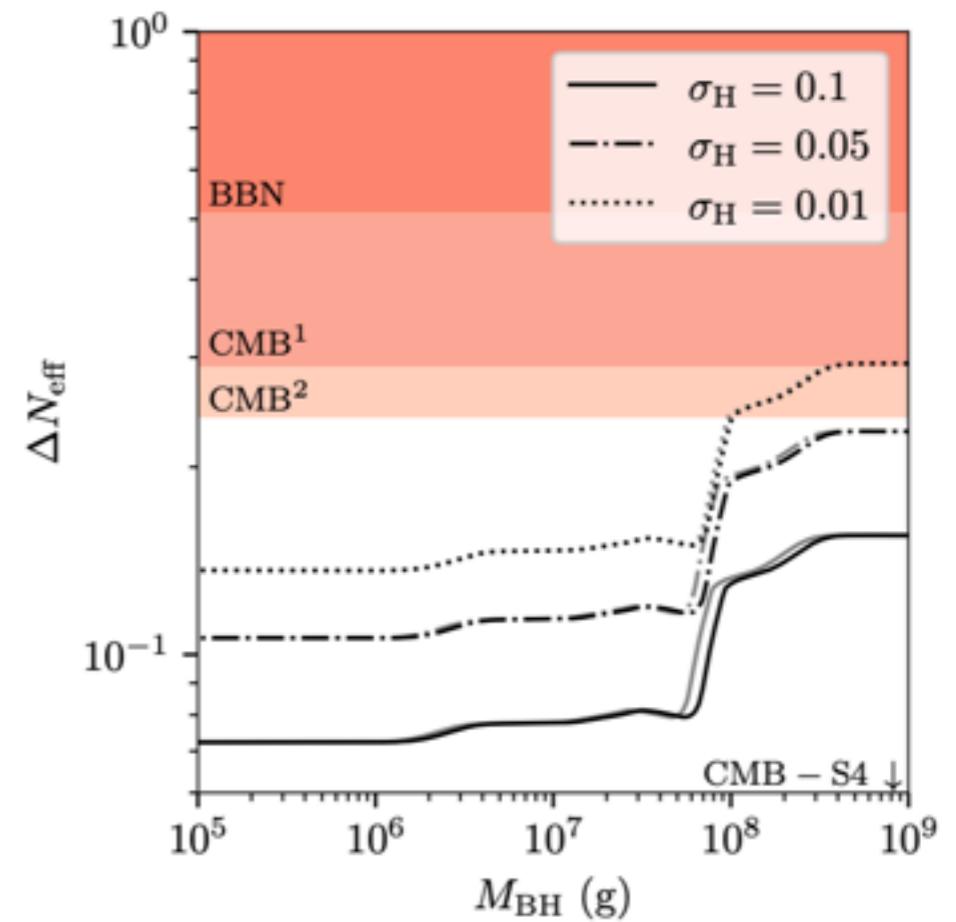


## 2nd order

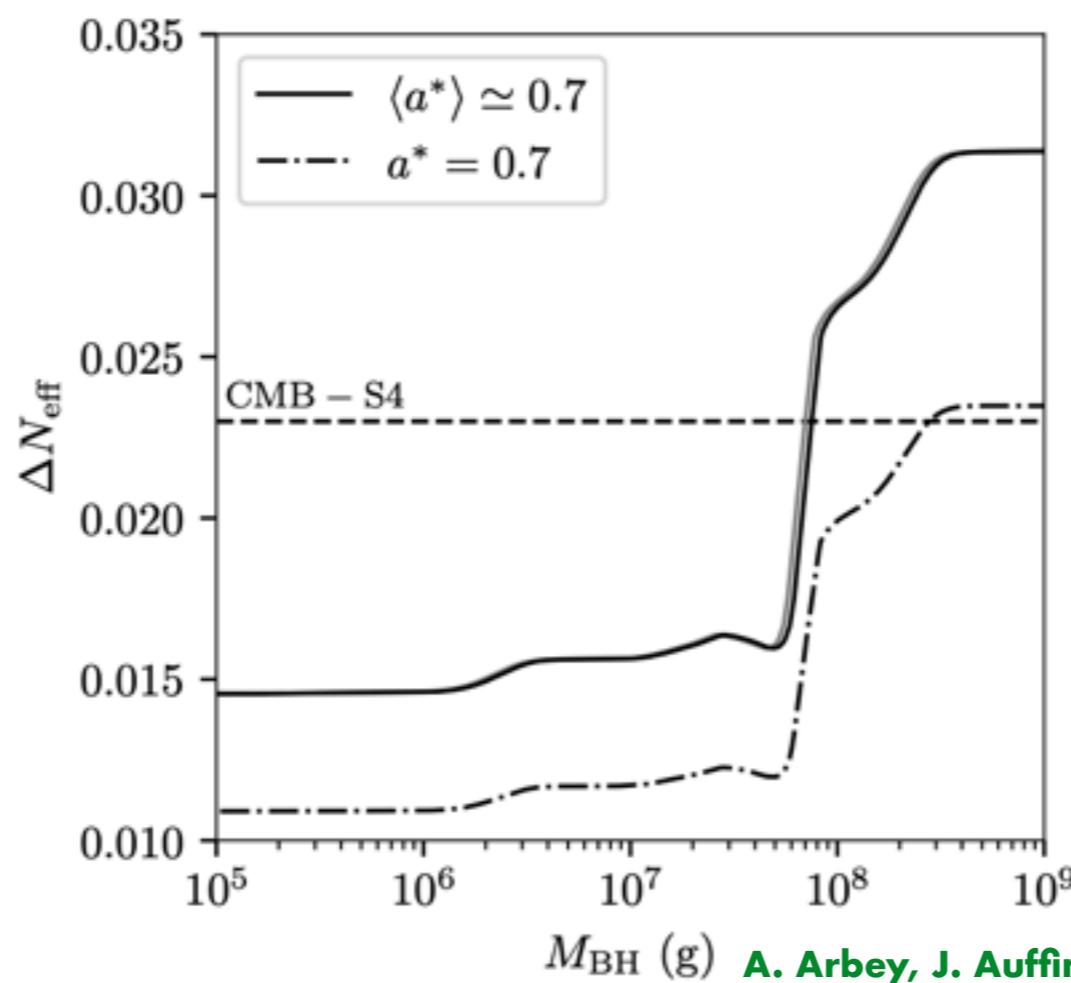
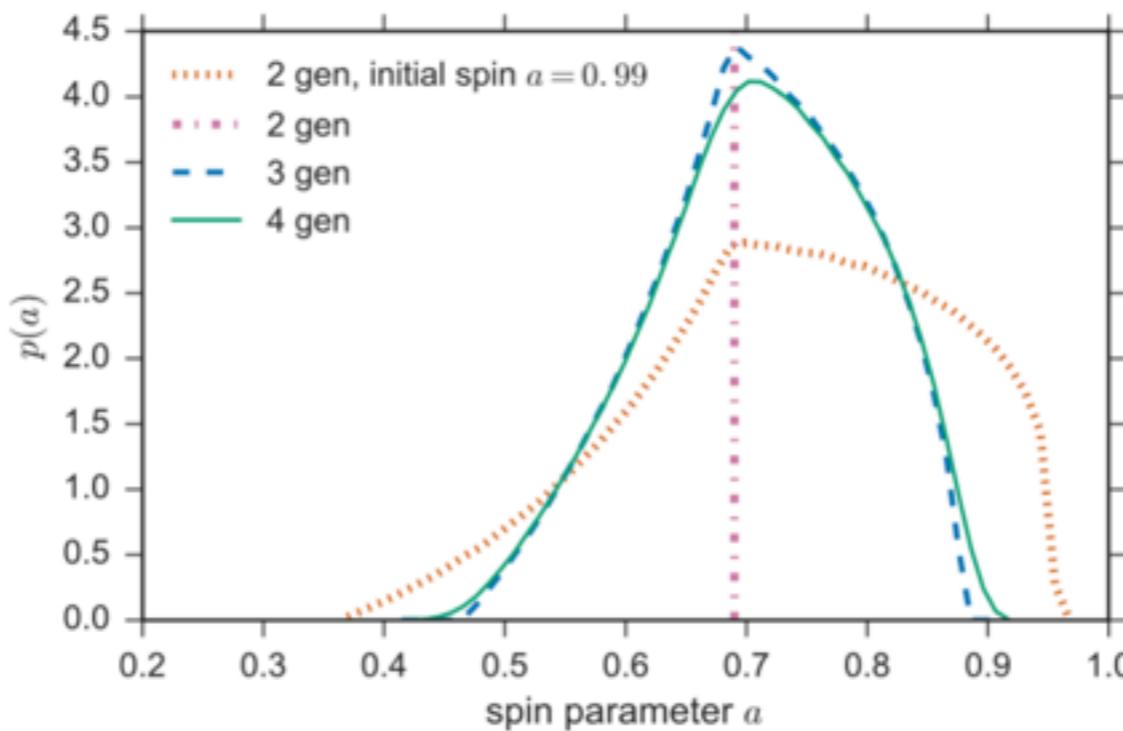


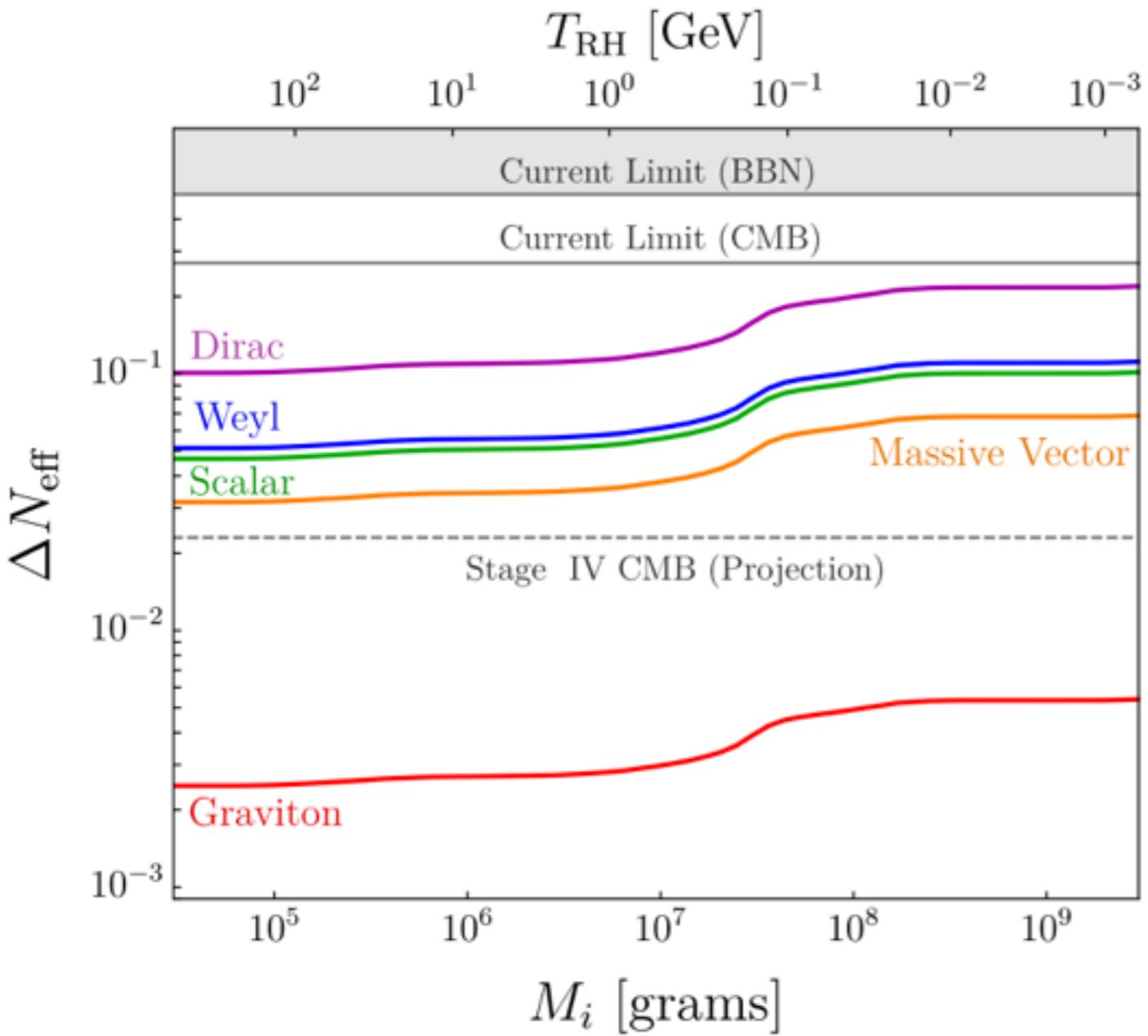
**A. Arbey, J. Auffinger, P. Sandick, BSE, K. Sinha, 2104.04051**

CMB1(TT+low E)  
CMB2(TT,TE,EE+low E)



# Inspirals:





O. Lennon, et. al., 1712.07664

D. Hooper, G. Krnjaic, S. D. McDermott, 1905.01301

regardless of reheating temperature  
light and feebly-coupled scalars

$N_{\text{axion}} \lesssim 7$

# **Baryogenesis**

PBHs produce a BSM particle X that has baryon number

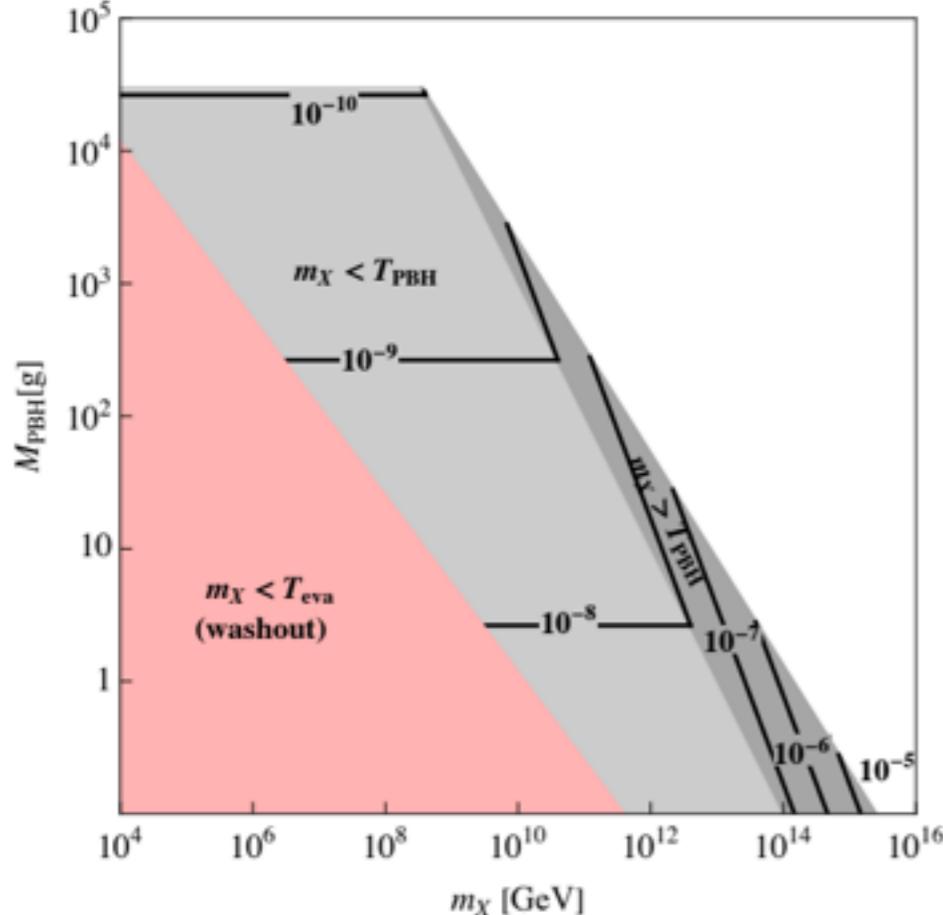
and CP violating decays to the SM.

$$\gamma_{CP} = \sum_i B_i \frac{\Gamma(X \rightarrow f_i) - \Gamma(\bar{X} \rightarrow \bar{f}_i)}{\Gamma_X}, \quad \gamma_{CP} \sim \frac{1}{16\pi^2};$$

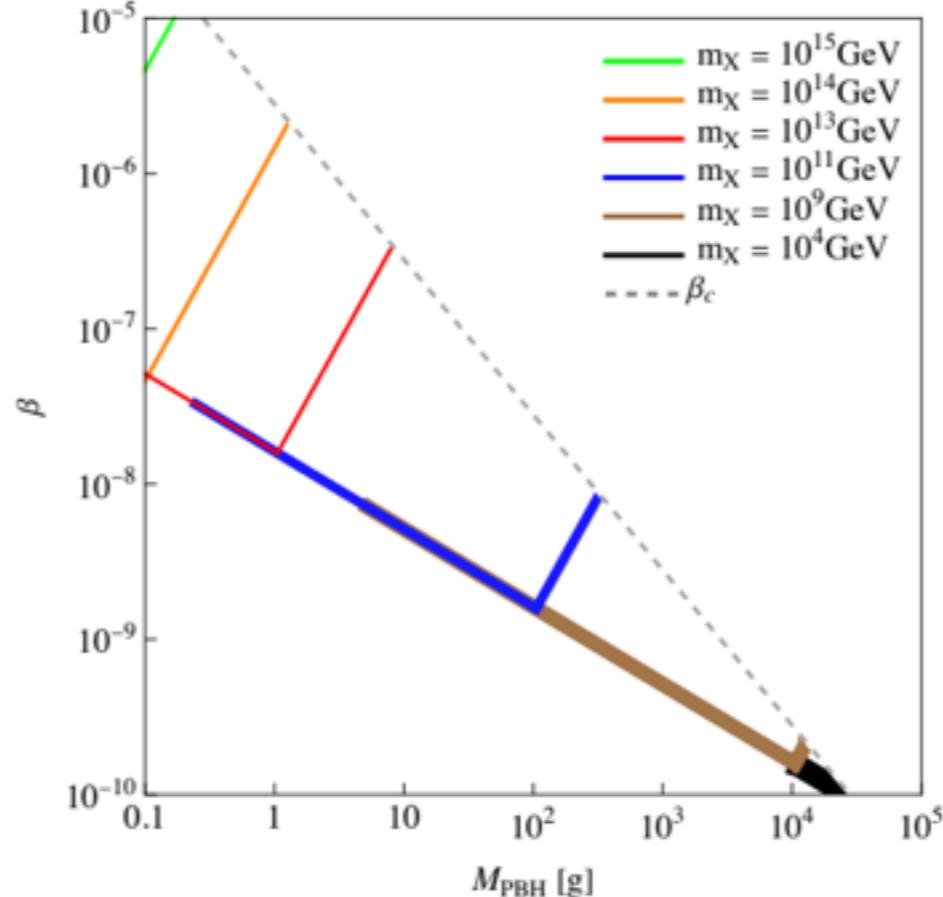
$$Y_B = \frac{n_B(t_0)}{s(t_0)} = \gamma_{CP} \frac{n_X(t_{\text{eva}})}{s(t_{\text{eva}})}$$

$$Y_B = \frac{n_B}{s} \simeq 8.7 \times 10^{-11}$$

No Early Matter Domination,  $Y_B = Y_{B,\text{obs}}$

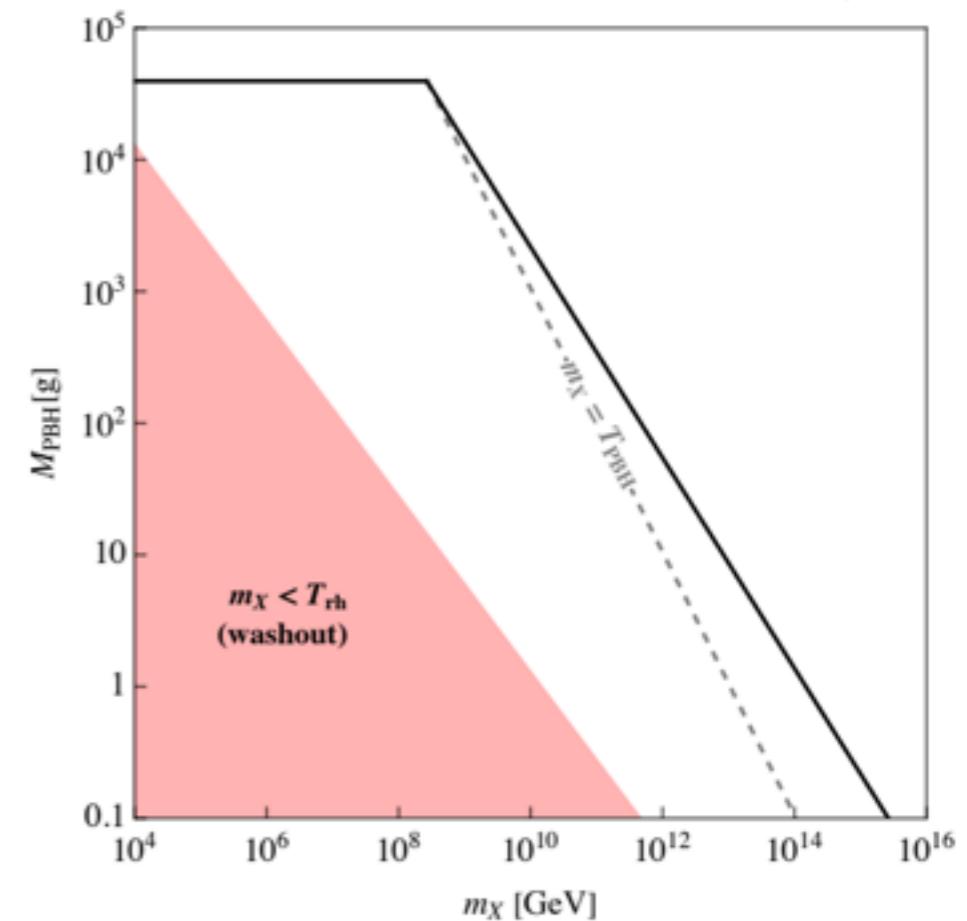


No Early Matter Domination,  $Y_B = Y_{B,\text{obs}}$



**T. C. Gehrman, BSE, K. Sinha, T. Xu, 2211.08431**

Early Matter (PBH) Domination,  $Y_B = Y_{B,\text{obs}}$



# **Gravitational Waves from PBHs**

## GWs associated with PBH formation:

scalar induced GWs from primordial overdensities

first order phase transition ...

## GWs from PBH binary mergers J. L. Zagorac, R. Easterer, and N. Padmanabhan, 1903.05053

## GWs from Hawking evaporation

R. Anantua, R. Easterer, and J. T. Giblin, 0812.0825

A. D. Dolgov and D. Ejlli, 1105.2303

R. Dong, W. H. Kinney, and D. Stojkovic, 1511.05642

A. Ireland, S. Profumo and J. Scharnhorst, 2302.10188

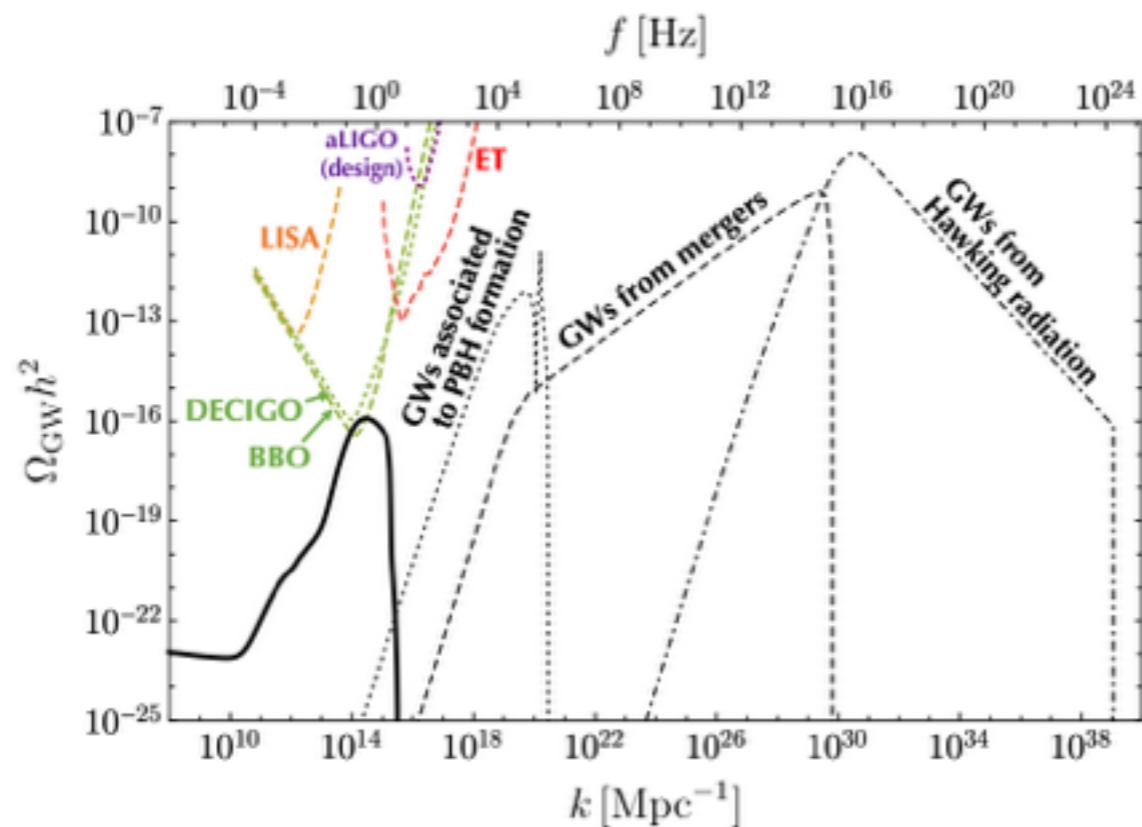
## GWs amplified by PBH reheating:

sudden transition from EMD to RD enhance the production of induced GWs

(due to fast oscillations of sub-horizon scalar modes)

K. Inomata, K. Kohri, T. Nakama, and T. Terada , 1904.12879

M. Pearce, L. Pearce, G. White, C. Balazs, 2311.12340



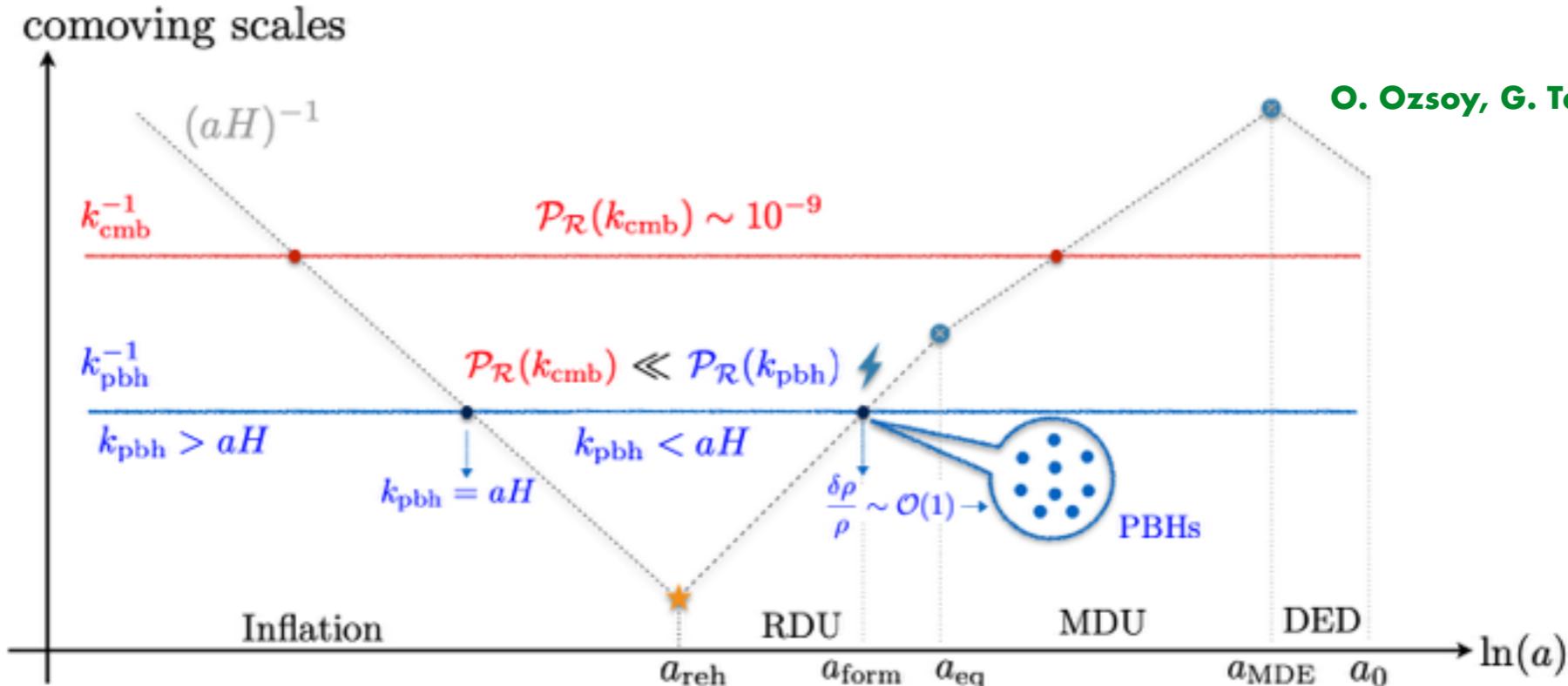
$$M_{\text{PBH},i} = 10^4 \text{ g} \text{ and } \beta = 10^{-7}$$

(PBHs domination)

K. Inomata, et. al., 2003.10455

# GW from formation mechanism:

Collapse of primordial overdensities (standard scenario): induce GWs at the second order



O. Ozsoy, G. Tasinato, 2301.03600

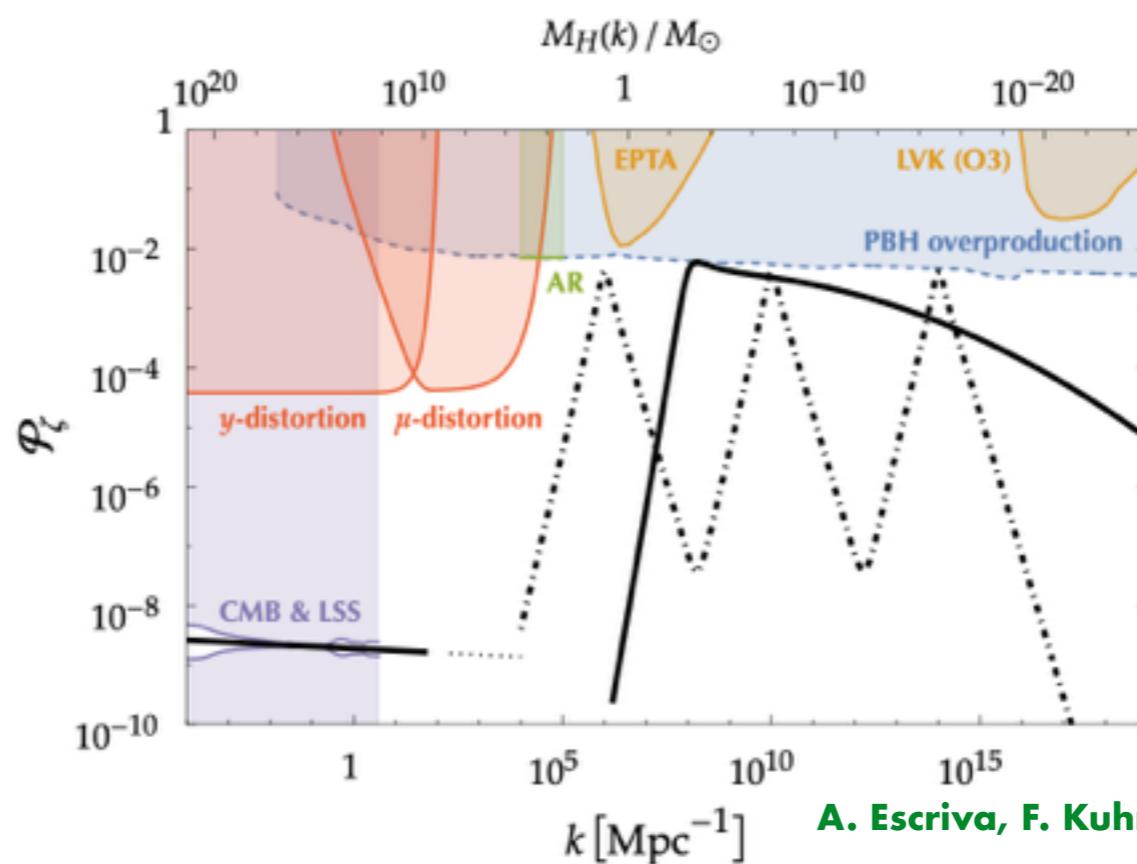
$$\delta\varphi_i \sim \delta\varphi_p \times \delta(\ln(k/k_p))$$

$$h_k'' + 2\mathcal{H}h_k' + k^2 h_k \approx k_p^2 \delta\varphi_p^2$$

$$f_{\text{peak}} = \frac{k_p}{2\pi} \sim 10^{-15} \left( \frac{k_p}{\text{Mpc}^{-1}} \right) \text{Hz}$$

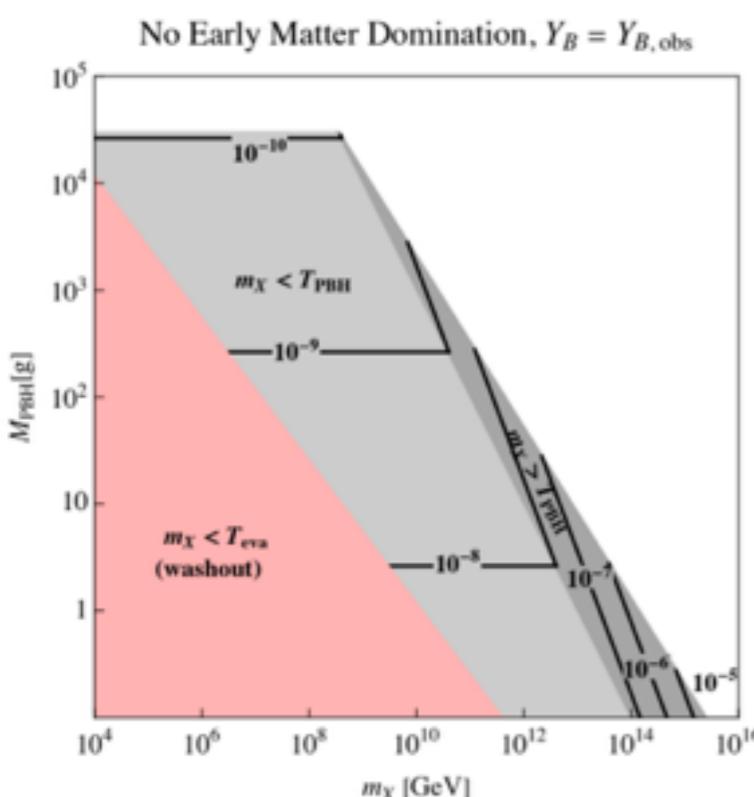
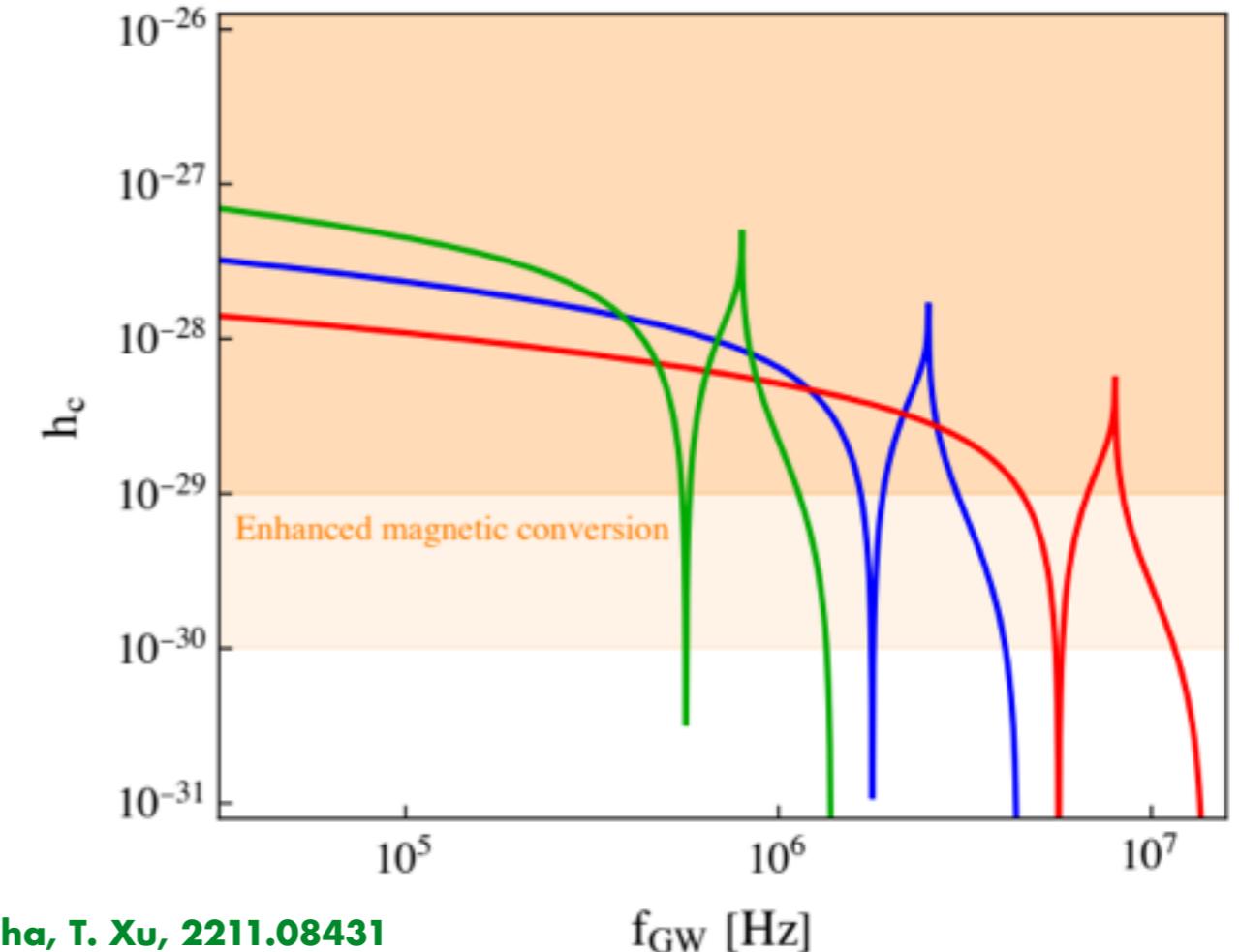
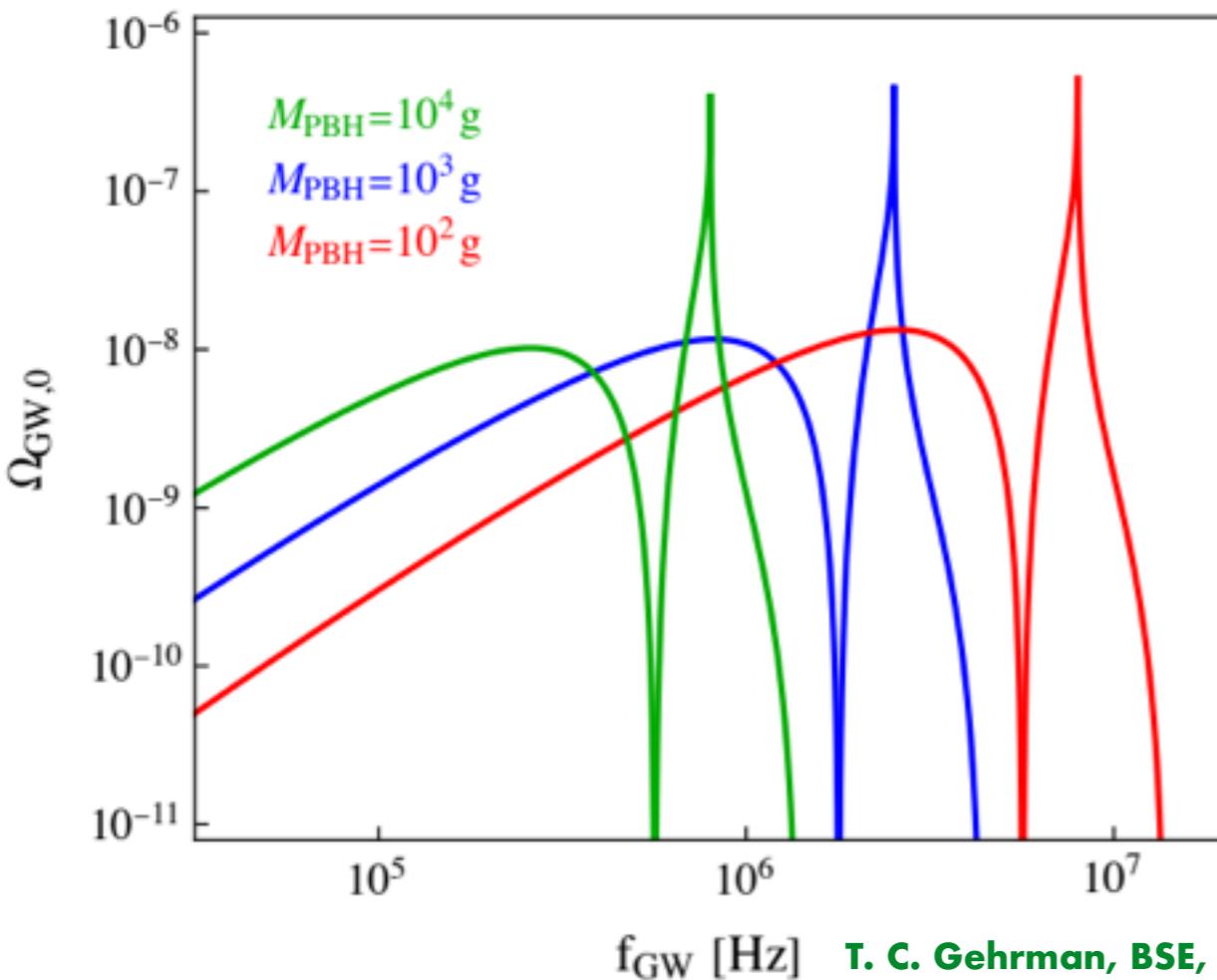
$$M_{\text{BH}} \sim M_H(k = aH) \sim \frac{1}{k^2}$$

$$f_{\text{GW}}^{\text{peak}} \simeq 2.82 \times \left( \frac{M_{\text{PBH}}}{10^4 \text{ g}} \right)^{-\frac{1}{2}} \text{MHz.}$$



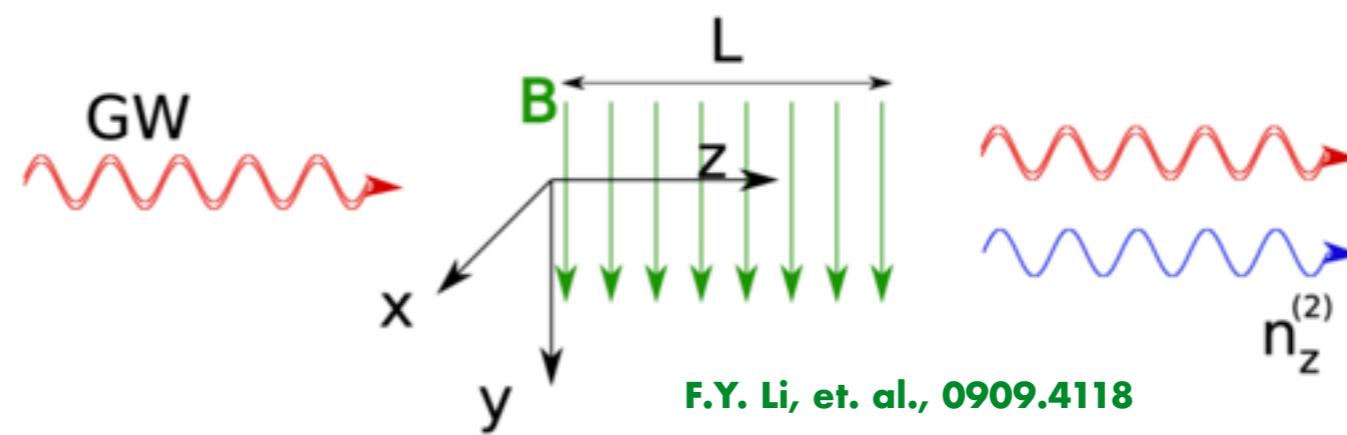
A. Escriva, F. Kuhnel, Y. Tad 2211.05767

# GWs and Baryogenesis:



## Probing baryogenesis by MHz-GHz GWs

detection proposal: the inverse Gertsenshtein effect



# Summary

PBHs:

provide important information about the early Universe.  
Also provide a gravitational production channel.

lead to non-standard cosmologies.

can be used to probe EMDEs.

populate dark sectors with interesting thermal histories.

associated with high frequency GWs.

# **Backup Slides**

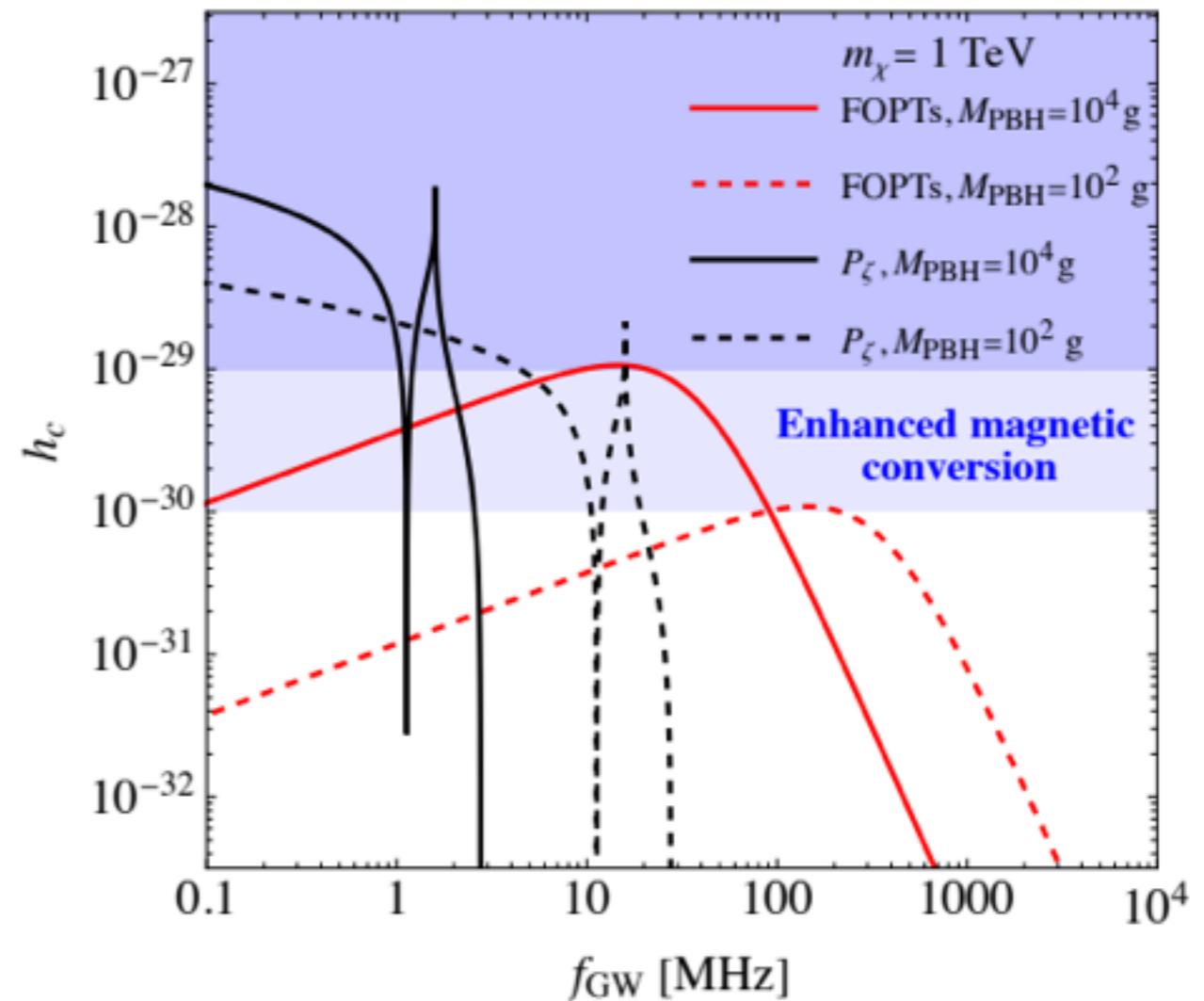
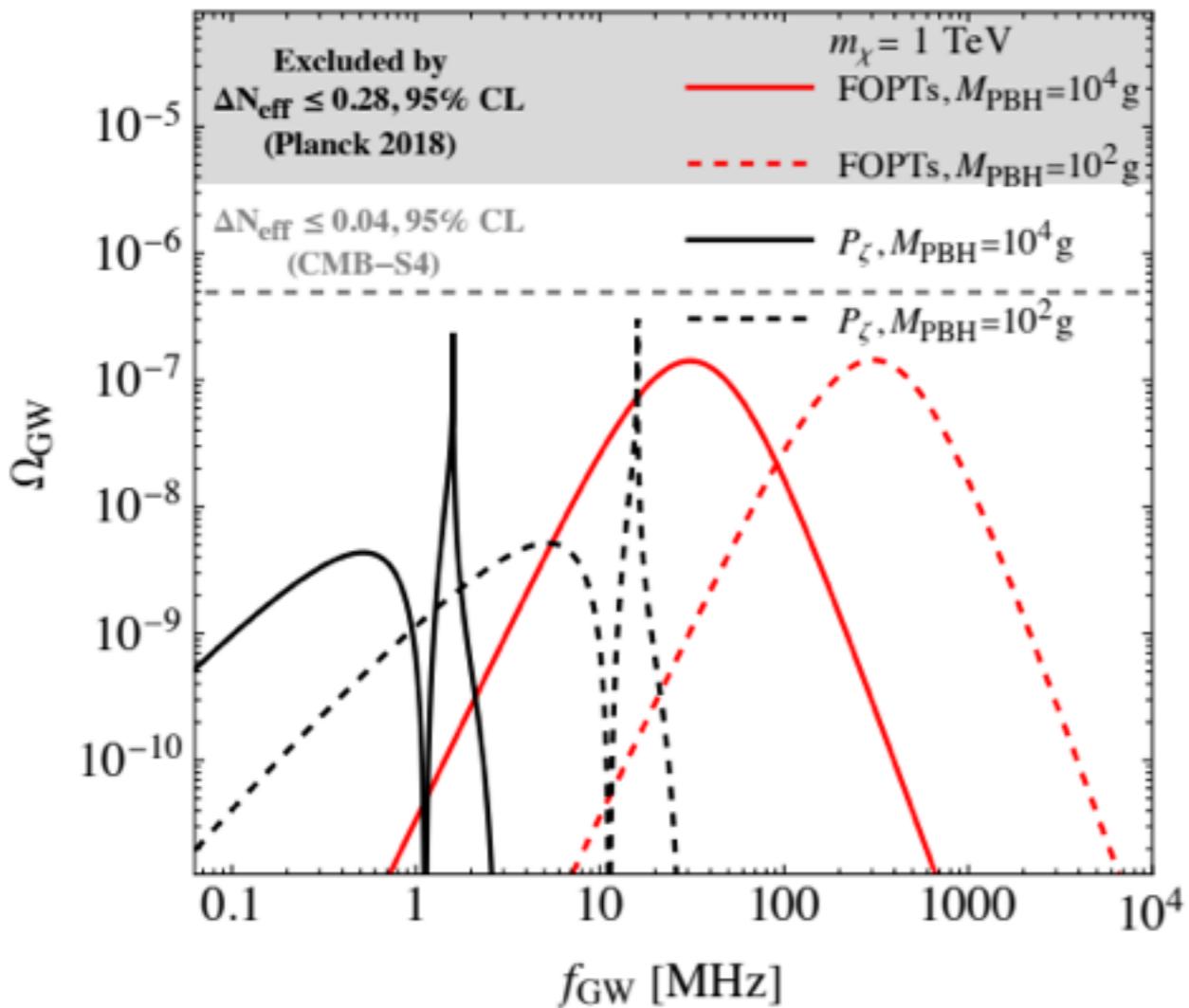
$$\delta=\delta\rho/\rho$$

$$p(\delta) = \frac{1}{\sqrt{2\pi}\sigma_0} \, e^{-\frac{\delta^2}{2\sigma_0^2}}.$$

$$\sigma_0^2(k=R^{-1})=\int_0^\infty\!\frac{{\rm d}k'}{k'}\frac{16}{81}(k'R)^4W^2(k',R)P_\zeta(k')$$

$$\begin{aligned}\beta_{\text{PBH}} &= \gamma \int_{\delta_c}^\infty d\delta \,\frac{1}{\sqrt{2\pi}\sigma_0}e^{-\frac{\delta^2}{2\sigma_0^2}} \qquad \qquad \delta_c=c_s^2 \\ &= \frac{\gamma}{2}\operatorname{Erfc}\left(\frac{\delta_c}{\sqrt{2}\sigma_0}\right).\end{aligned}$$

$$\delta(\vec{x},t)\simeq \frac{2(1+w)}{(5+3w)}\frac{\nabla^2\mathcal{R}(\vec{x})}{(aH)^2}+\ldots\implies \delta_k\simeq -\frac{4}{9}\left(\frac{k}{aH}\right)^2\mathcal{R}_k,$$



T. C. Gehrman, BSE, K. Sinha, T. Xu, 2304.09194