

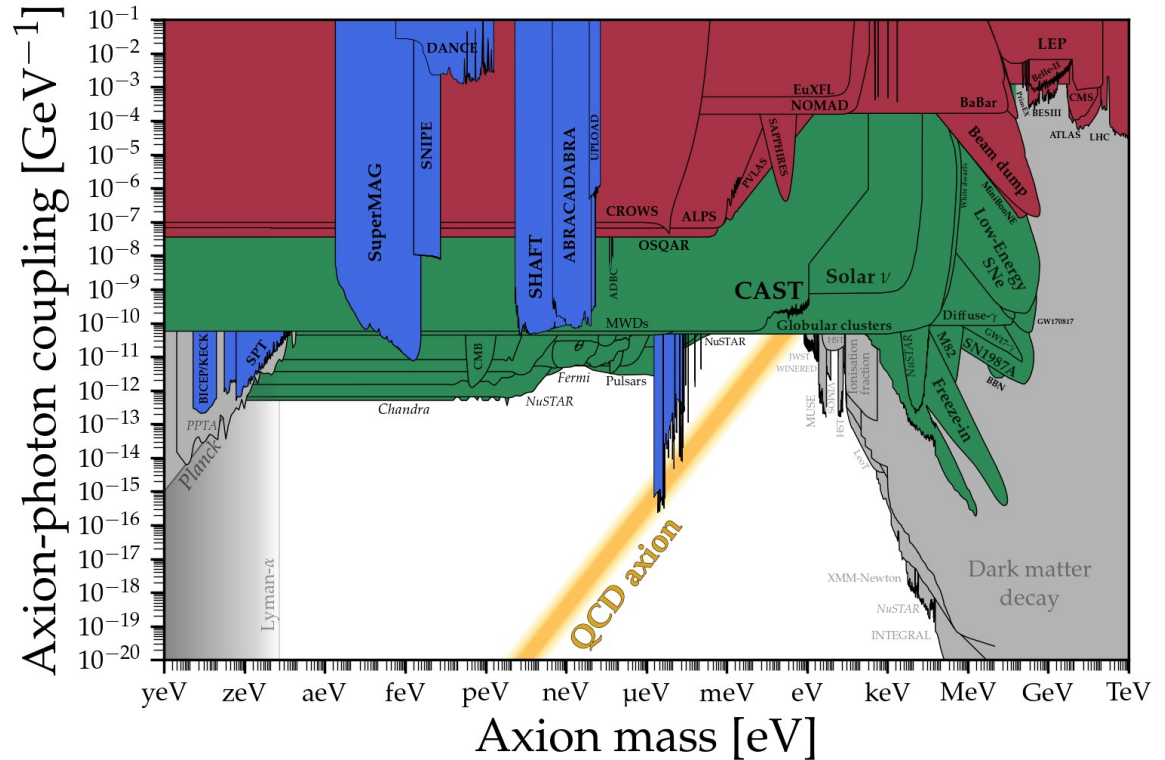
Searching for ALPs  
with the IDEA idea detector at FCC-ee

G. Polesello (INFN Pavia)  
on behalf of the BSM PED group

# Introduction

Axion Like Particles (ALP):  
hypothetical pseudoscalar  
with similar interactions as  
the QCD axion, appearing  
naturally in many extensions  
of the SM

Couples to Z/photon, can be  
abundantly produced at FCC-ee



High statistics of FCC-ee Z-pole run allows exploration of much lower  
couplings to photons than tested to date in mass range 0.1-90 GeV

In BSM group ongoing studies for different ALP decay modes:  
 $a \rightarrow \gamma\gamma$ ,  $a \rightarrow \text{gluon gluon}$ ,  $a \rightarrow \mu\mu$

# Typical ALP Lagrangian

Bauer et al:arXv:1808.10323

$$\mathcal{L}_{\text{eff}} = \frac{1}{2} (\partial_\mu a)(\partial^\mu a) - \frac{m_a^2}{2} a^2 + \sum_f \frac{c_{ff}}{2} \frac{\partial^\mu a}{\Lambda} \bar{f} \gamma_\mu \gamma_5 f$$

Fermions

Gluons

$$+ g_s^2 C_{GG} \frac{a}{\Lambda} G_{\mu\nu}^A \tilde{G}^{\mu\nu,A} + g^2 C_{WW} \frac{a}{\Lambda} W_{\mu\nu}^A \tilde{W}^{\mu\nu,A} + g'^2 C_{BB} \frac{a}{\Lambda} B_{\mu\nu} \tilde{B}^{\mu\nu}$$

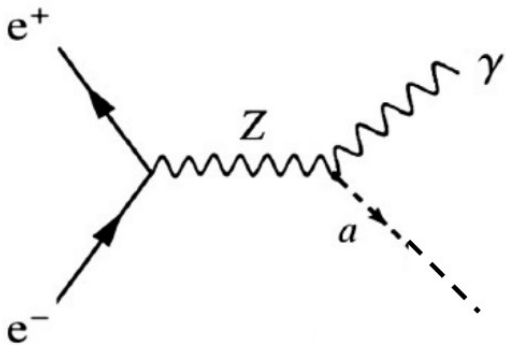
Vector Bosons

After EWSB:

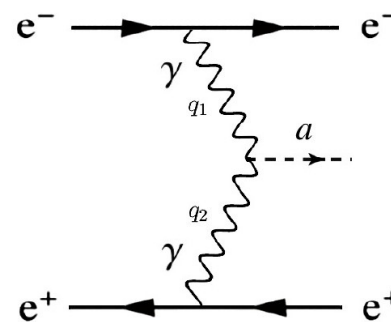
$$\mathcal{L}_{\text{eff}} \ni e^2 C_{\gamma\gamma} \frac{a}{\Lambda} F_{\mu\nu} \tilde{F}^{\mu\nu} + \frac{2e^2}{s_w c_w} C_{\gamma Z} \frac{a}{\Lambda} F_{\mu\nu} \tilde{Z}^{\mu\nu} + \frac{e^2}{s_w^2 c_w^2} C_{ZZ} \frac{a}{\Lambda} Z_{\mu\nu} \tilde{Z}^{\mu\nu}$$

$$C_{\gamma\gamma} = C_{WW} + C_{BB}, \quad C_{\gamma Z} = c_w^2 C_{WW} - s_w^2 C_{BB}, \quad C_{ZZ} = c_w^4 C_{WW} + s_w^4 C_{BB}$$

Production in FCC-ee Z-pole run



Decay  $Z \rightarrow \gamma a$ :  
function of  $C_{Z\gamma}$  coupling  
Mode assumed in  
all analyses shown today.  
Interplay of  $C_{Z\gamma}$  to other  
couplings key to  
phenomenology



Photon-photon fusion:  
function of  $C_{\gamma\gamma}$  coupling  
Studied in:

Rebello Teles et al.

$$a \rightarrow \gamma\gamma$$

G. Polesello, S. Gascon-Shotkin,  
G. Cacciapaglia, E. Jourd'huy,  
J. Xiao

Existing constraints from JHEP 12 (2017) 044

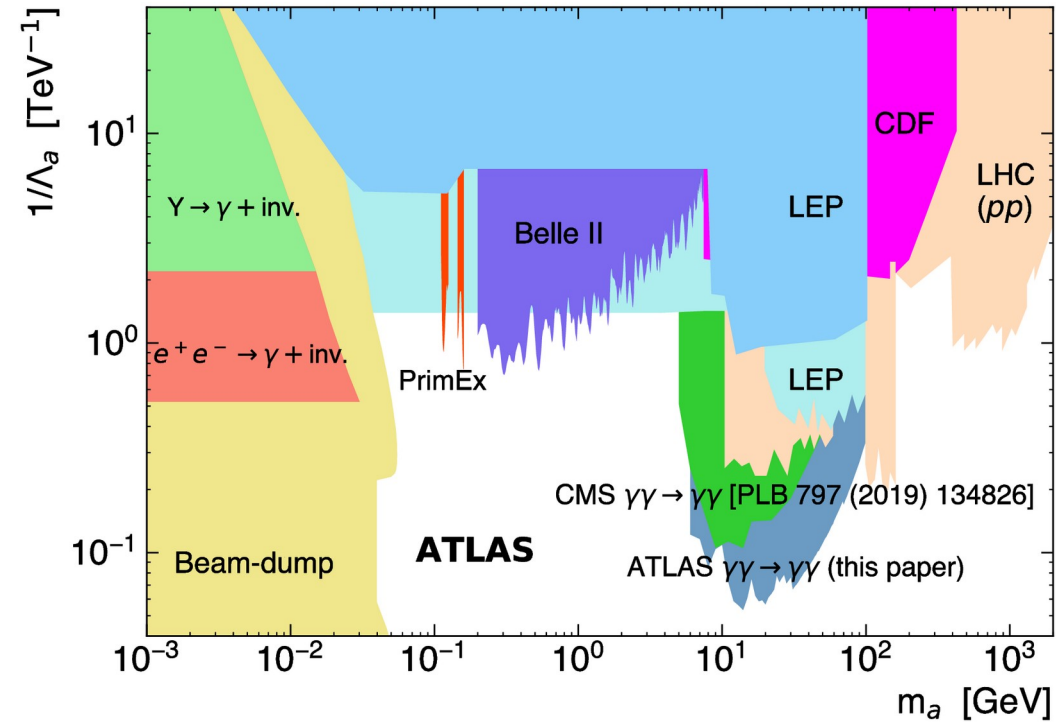


Figure from: [ATLAS:arXiv 200805355](https://arxiv.org/abs/2008.05355)

Canonical decay channel, several searches in literature. Regions of interest

- $0.1 < m_a < 10$  GeV:

loose limits from previous  $e+e-$  searches, out of reach of beam dump

- $10 < m_a < 90$  GeV:

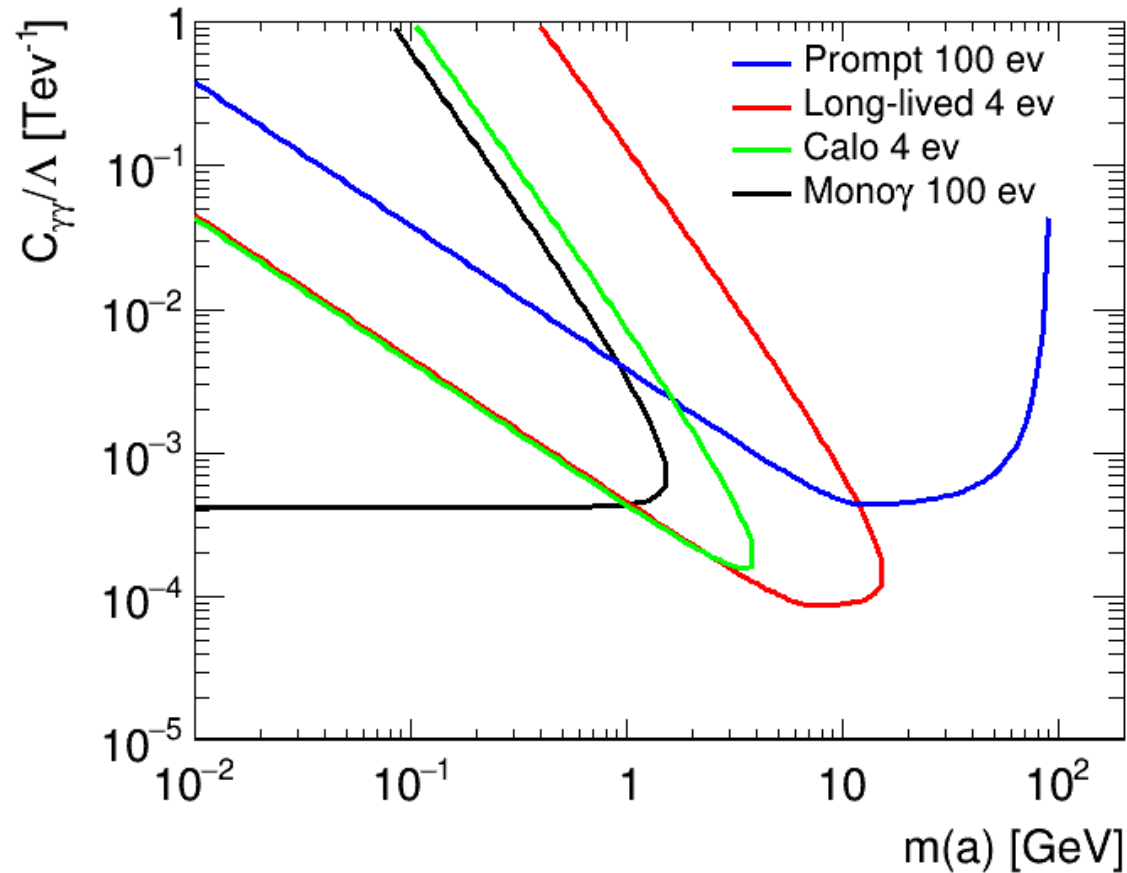
dominated LHC photon-photon fusion potential for FCC-ee Z pole run

- Assume  $a$  couples to hypercharge and not to SU2 ( $C_{WW}=0$ )

$$C_{\gamma Z} = -s_w^2 C_{\gamma\gamma}$$

- Assume  $BR(a \rightarrow \gamma\gamma)=100\%$   $\rightarrow$  three-photon signature

# Parameter space coverage for $e^+e^- \rightarrow \gamma a \rightarrow \gamma\gamma\gamma$



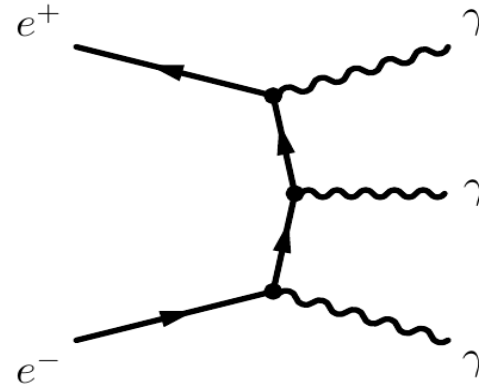
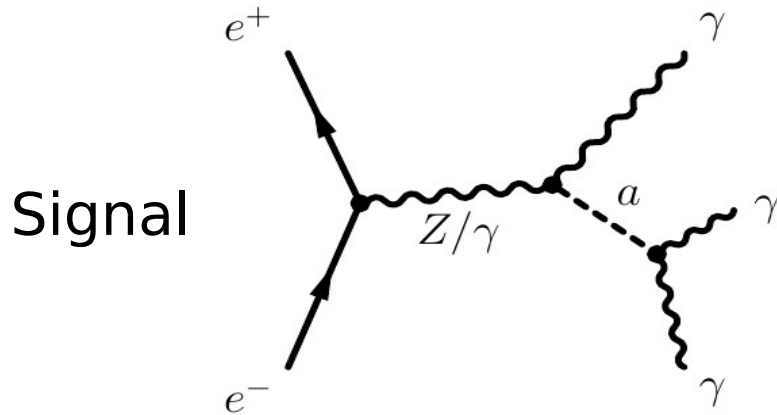
4 experimental regions depending on decay length  $L$  of ALP

- 100 events for  $L < 10$  mm (prompt)
- 4 events for  $10 < L < 2000$  mm (Long lived)  
Decay in ID
- 4 events for  $2000 < L < 4500$  mm (Calo)  
Decay in calorimeter
- 100 events for  $L > 4500$  mm: ALP decays outside the detector, only accompanying photon detected (monophoton)

Experimental distinction of  $3\gamma$  prompt analysis and LLP analyses depends on how well one can detect a ALP decay away from vertex  $\rightarrow$  today show  $3\gamma$  analysis making no assumptions on vertex detection.

In addition study very long-lived ALP resulting in a single photon recoiling against MET from undetected ALP

# 3 $\gamma$ ALP signal and background simulation



Dominant background for 3 $\gamma$  analysis

## Generation chain:

- LHE files produced with MG5MC@NLO
- Shower with PYTHIA8, DELPHES detector simulation inside FCC software
- PYTHIA and IDEA DELPHES for Winter23 production, output EDM4HEP files
- Write out flat ntuple from EDM4HEP with FCC software and run analysis
- EM calo response: two options

$$\text{Crystal: } \frac{\sigma(E)}{E} = \frac{0.139}{\sqrt{E}} + 0.006 \quad \text{Fibre} \quad \frac{\sigma(E)}{E} = \frac{0.03}{\sqrt{E}} \oplus 0.005 \oplus \frac{0.002}{E}$$

Better granularity for fibre option

Z-pole FCC-ee run,  $6 \times 10^{12}$  Z bosons,  $205 \text{ ab}^{-1}$  over three mass points

# 3 $\gamma$ analysis

- 3 photons within detector acceptance ( $|\eta| < 2.6$ ) and energy  $> 1$  GeV
- Scan test masses  $M$  between 0.1 and 85 GeV

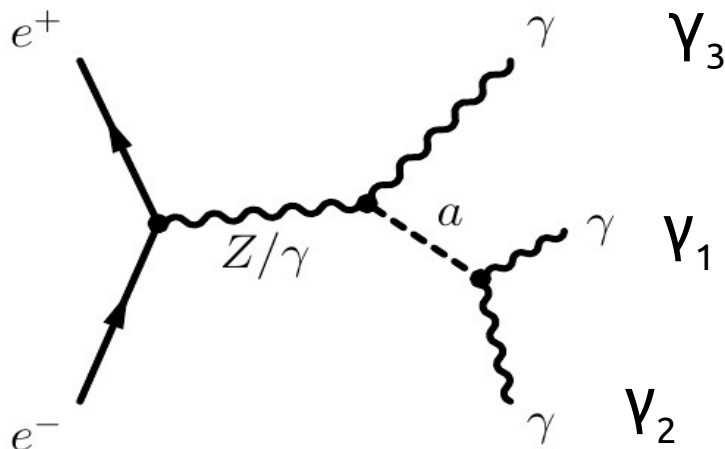
For each  $M$  and  $E_{CM}$  photon produced alongside ALP has energy  $E_\gamma = \frac{E_{CM}^2 - M^2}{2E_{CM}}$

Assign three photons to ALP or to Z decay:

For given test mass and assignment:

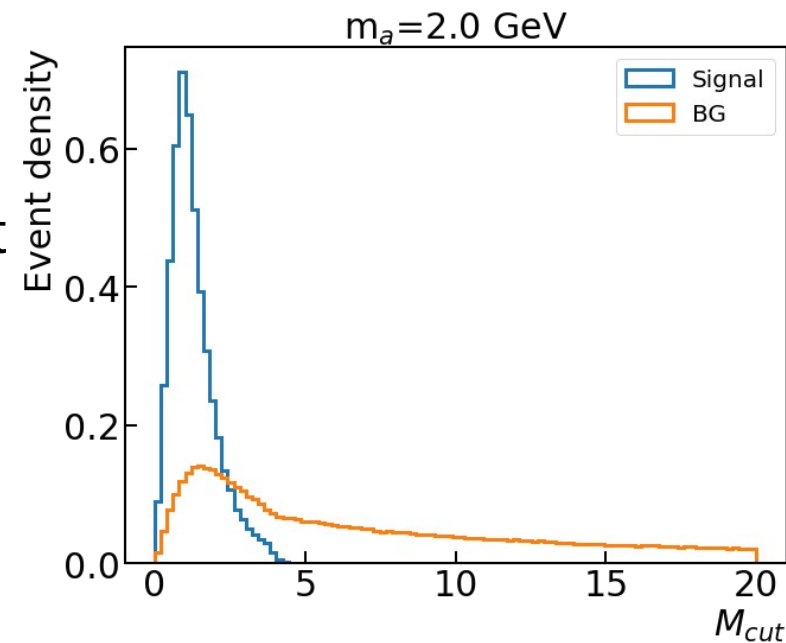
Measure compatibility with expected kinematics

$$M_{cut} = \sqrt{(M_a - M)^2 / \sigma_{M_a}^2 + (E_{\gamma_3} - E_\gamma)^2 / \sigma_{E_{\gamma_3}}^2}$$

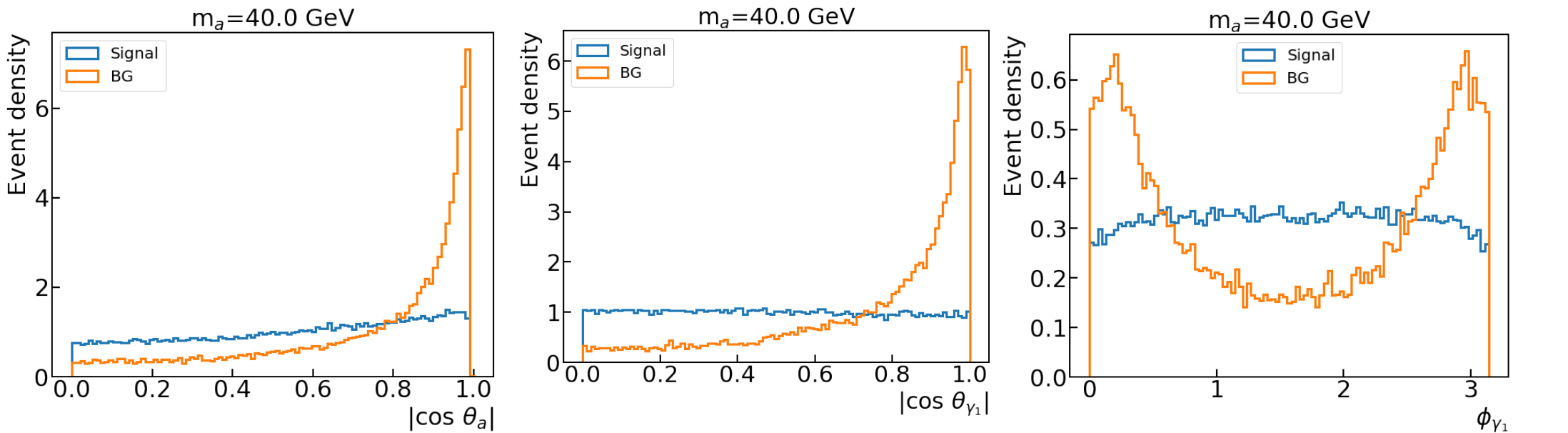


Choose assignment  
minimising  $M_{cut}$

$$m(\gamma_1, \gamma_2) \equiv M_a$$



# Discriminant variables

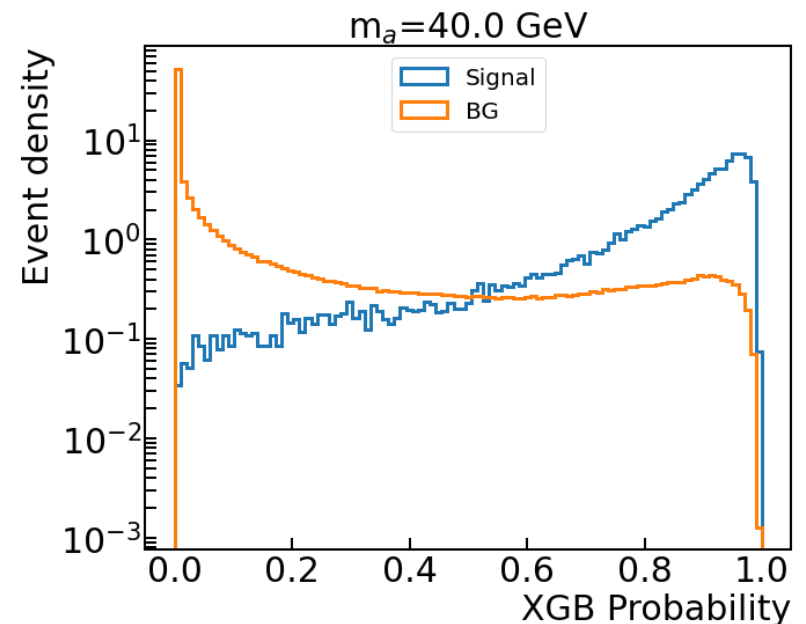


Require that event only contains three photons.

For a fixed mass, signal fully defined by three variables, after rotation such that  $\phi_{\gamma_3}=0$ :

- Polar angle of ALP in lab system  $|\cos \theta_\alpha|$
- Polar angle of  $\gamma_1$  in ALP rest system  $|\cos \theta_{\gamma_1}|$
- Azimuthal angle of  $\gamma_1$  in ALP rest system  $\phi_{\gamma_1}$

Train a boosted decision tree (XGB) on 5 variables, the three above+  $E_{\gamma_2}/E_{\gamma_1}$  and  $M_{\text{cut}}$



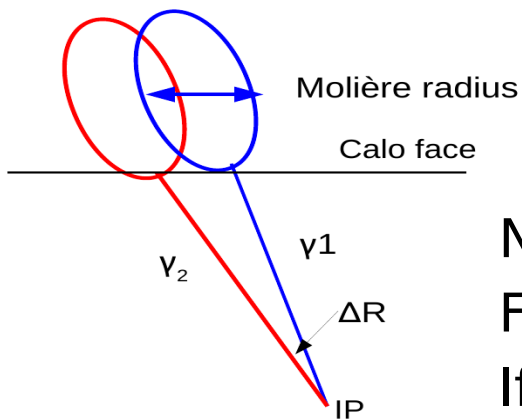


# Experimental issues at low masses ( $\sim < 5$ GeV)

Signal acceptance strongly affected by width of measured ALP mass

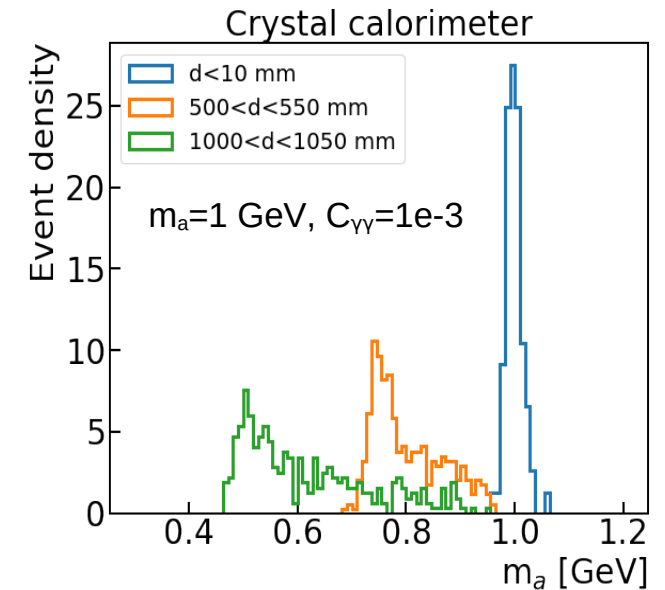
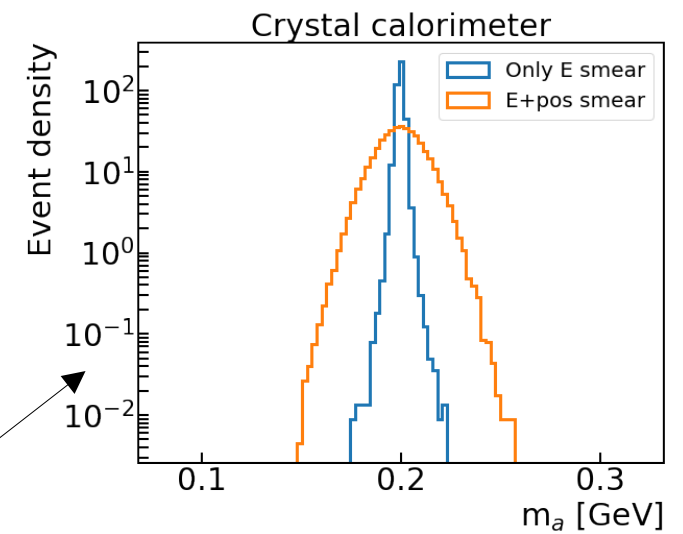
At low masses three geometrical effects:

- $\gamma\gamma$  Mass resolution of dominated by uncertainty on measured photon impact point
- ALP decaying far from interaction point: mass reconstruction assumes photons produced in centre of detector. If long decay path,  $\gamma\gamma$  angle  $\Delta\alpha$  and mass underestimated
- $\gamma\gamma$  from ALP decay coalesce in calorimeter:

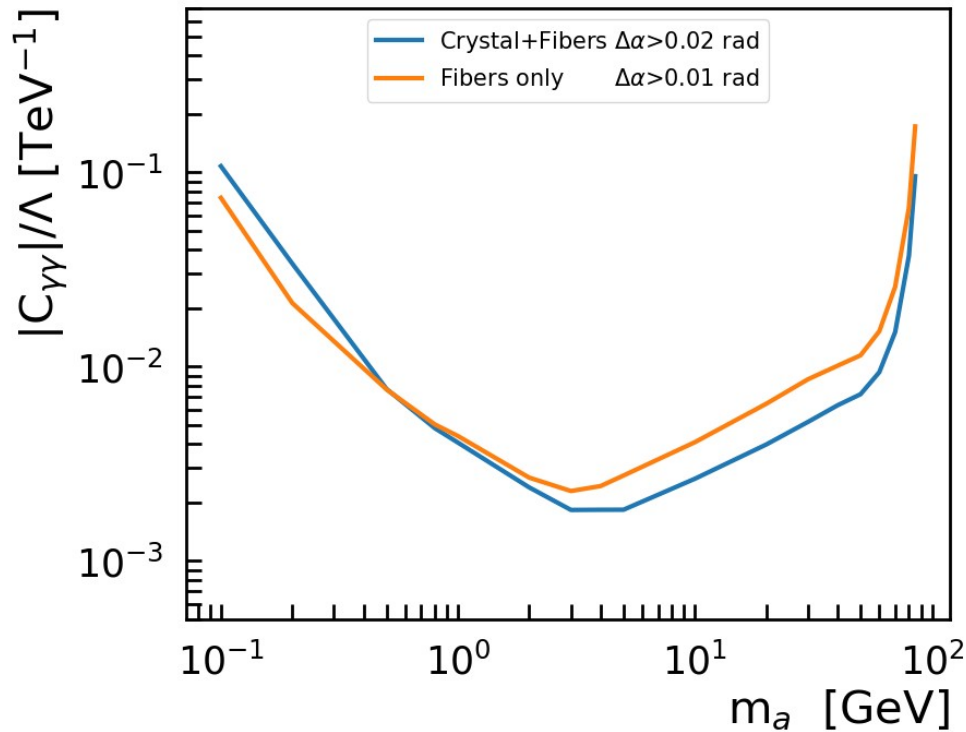


$$\Delta R_{\text{peak}} = 4m_a/m_Z$$

Need full simulation for separation of nearby photons  
For this study assume two photons reconstructed as one  
If  $\Delta\alpha > 0.2$  (0.1) for crystal (fibre) EM calo



# Results



For each signal and background sample events after cuts normalised to FCC-ee lumi  
 $s$  = number of signal events after cuts  
 $b$  = background events after cuts  
 $n = s + b$ ,  $\sigma$  = systematic uncertainty on  $b$   
 Find cut on XGB output maximising significance calculated as:

$$Z = \sqrt{2 \left( n \ln \left[ \frac{n(b + \sigma^2)}{b^2 + n\sigma^2} \right] - \frac{b^2}{\sigma^2} \ln \left[ 1 + \frac{\sigma^2(n - b)}{b(b + \sigma^2)} \right] \right)}$$

Significant advantage of better energy resolution at high masses  
 At low masses better granularity should allow better separation of close-by photons

Cross-section proportional to  $C_{\gamma\gamma}^2$   
 For each test mass plot  $C_{\gamma\gamma}$  such that  $Z=2$

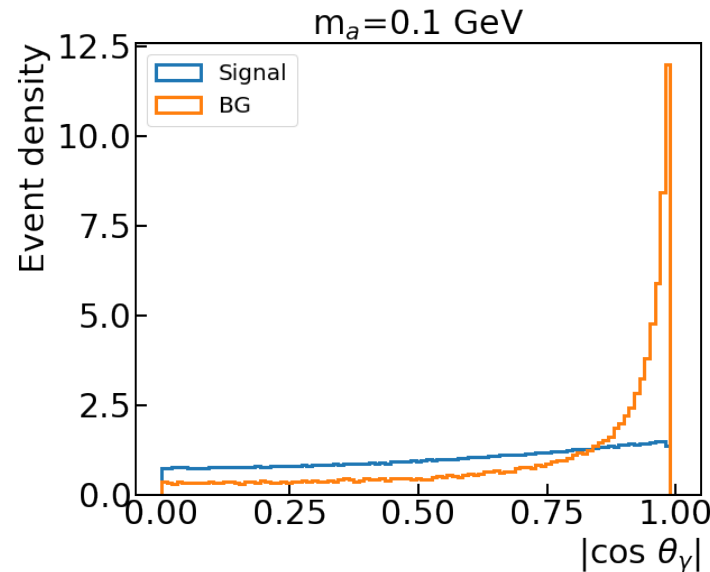
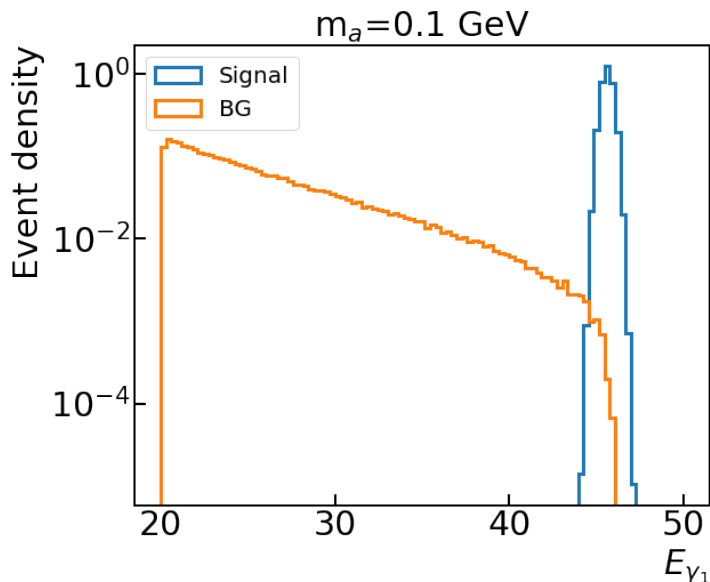
# $\gamma$ +MET analysis

Relevant mass range below  $\sim 2 \sim \text{GeV}$   $\rightarrow$  signature is a monochromatic photon of energy  $\sim 45.5 \text{ GeV}$  and nothing else in the detector

Consider two backgrounds: **irreducible:**  $e^+e^- \rightarrow \gamma\nu\nu$

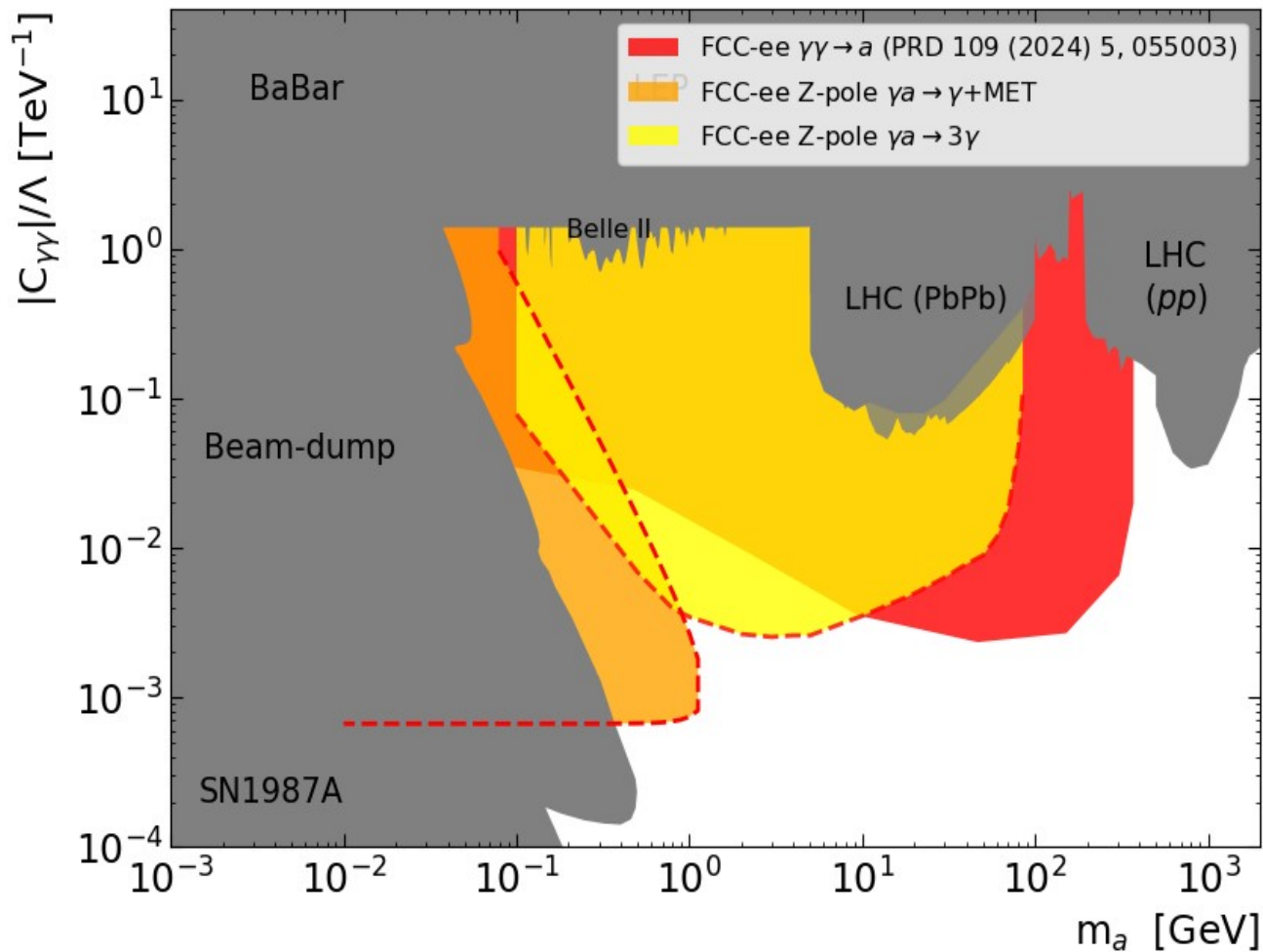
**reducible:**  $e^+e^- \rightarrow \gamma e^+e^-$  where the electron and positron are outside detector acceptance ( $|\eta| > 3$ ).

Signal and backgrounds produced with MG5MC@NLO and passed through the usual PYTHIA-DELPHES chain



Two variables characterise event, energy and polar angle of photon.  
Combine them through XGB as for prompt analysis

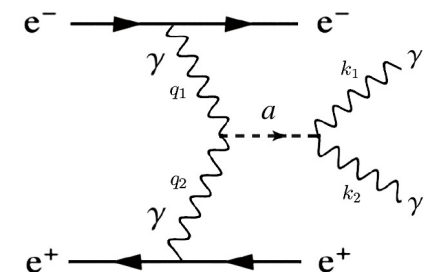
# Combined plot FCC-ee



Grey areas :existing  
exclusions

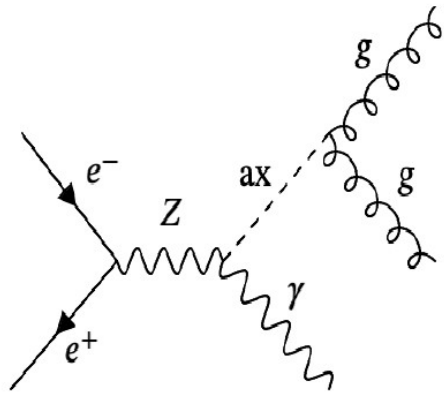
Yellow and orange areas  
are the two analyses of  
Previous slides

Red area is analysis of  
Rebello Teles et al.  
addressing ALP production  
in photon-photon fusion

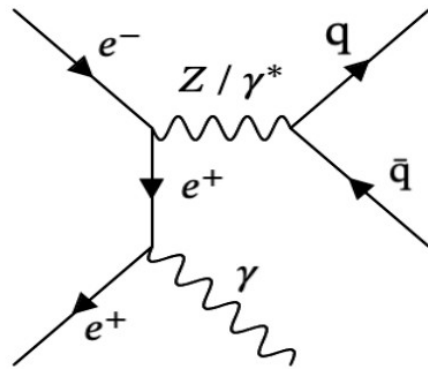


# a → gg

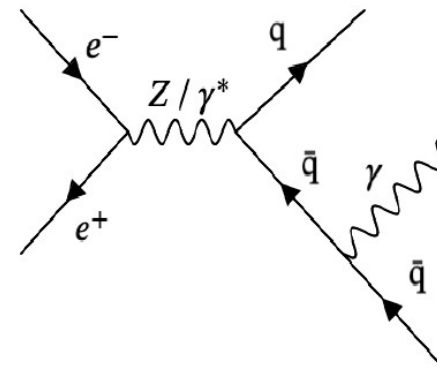
M. Meena, J. Andrea



Signal (left)



Backgrounds (middle & right)



Signal process:  
 $e^+e^- \rightarrow Z \rightarrow \gamma + a \ (a \rightarrow gg)$

Use UFO from

Brivio et al.:arXiv: 1701.05379

Production inside tracker+signal XS at least 10% of BG XS

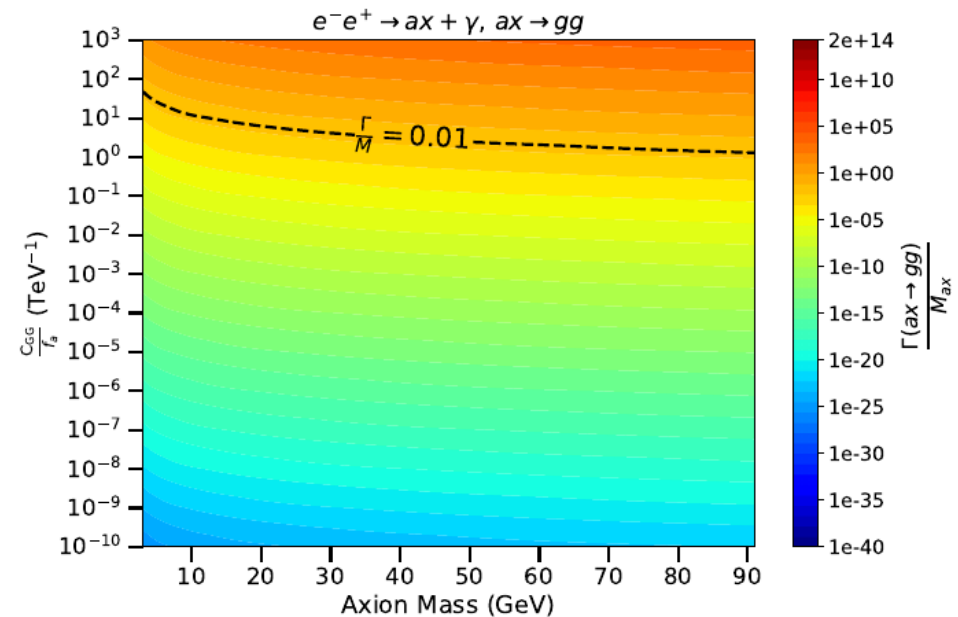
→ Bounds on  $C_{WW}$ ,  $C_{BB}$ ,  $C_{GG}$

•  $C_{GG}$ : Determines  $\Gamma_a$ ,

- Lower limit: lifetime bound,
- Upper limit: require  $\Gamma_a/M_a < 0.01$

•  $C_{WW}, C_{BB}$

- $C_{BB} = -0.3 \times C_{WW}$  to ensure  $BR(a \rightarrow \gamma\gamma) = 0$
- Upper limit:  $\Gamma(Z \rightarrow \gamma a) < 2.3e-3$ , compatibility with measured Z width
- Lower limit from XS requirement



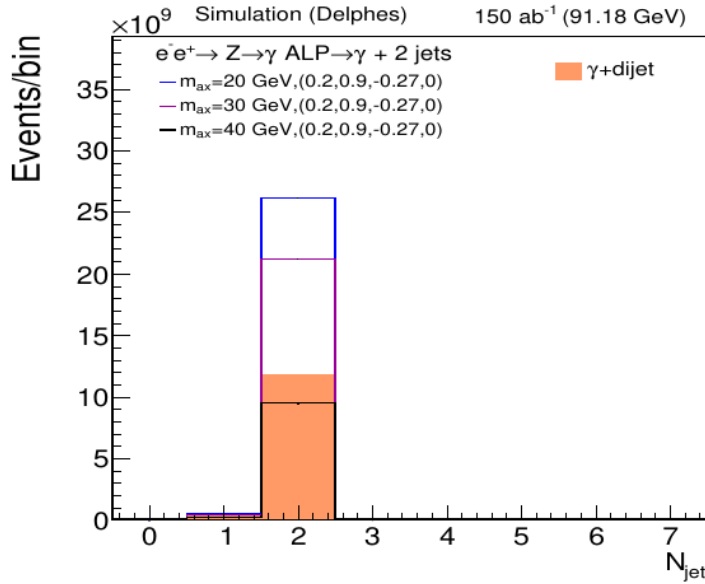
# Preliminary analysis

BMP:  $C_{GG}=0.2$ ,  $C_{WW}=0.9$ ,  $C_{BB}=-0.27$ ,  $C_\phi=0$ ,  $M_{ax}=20, 30, 40$  GeV,  $f_a=1$  TeV,  $N_T=10^6$

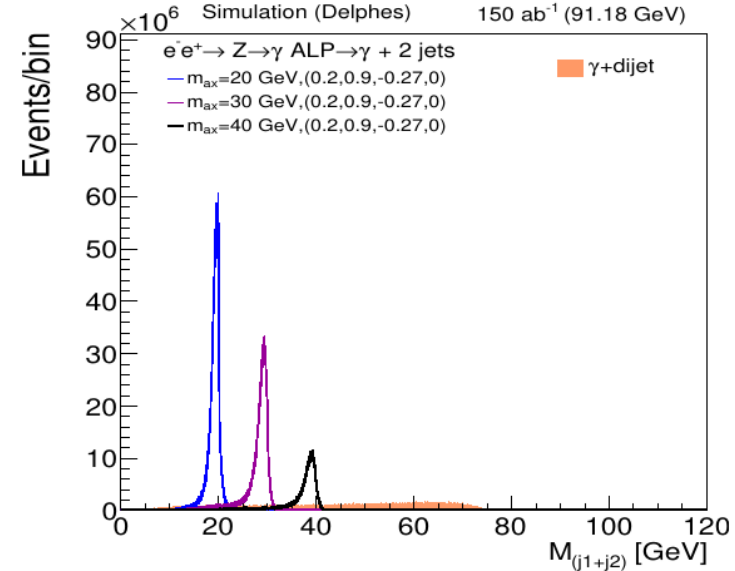
Event selection  $\gamma \geq 1 + \geq 2$  jets:

- $p_T^\gamma > 15$  GeV, clean jets:  $\Delta R(\text{jets}, \gamma) > 0.5$

Normalization:  $\frac{L \cdot \sigma}{N_T}$ , Sensitivity(S):  $\frac{n_{sig}}{\sqrt{n_{sig} + n_{bkg}}}$



No. jets after  $\gamma \geq 1$

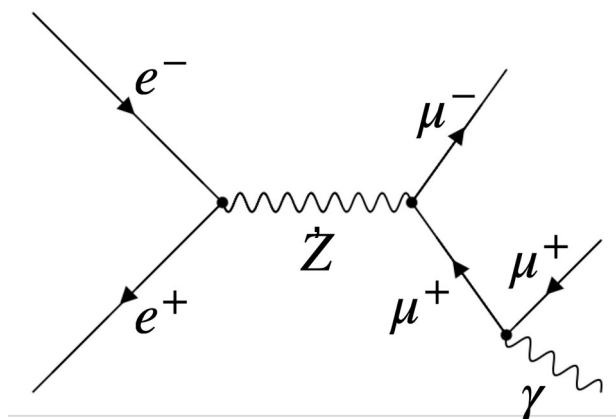
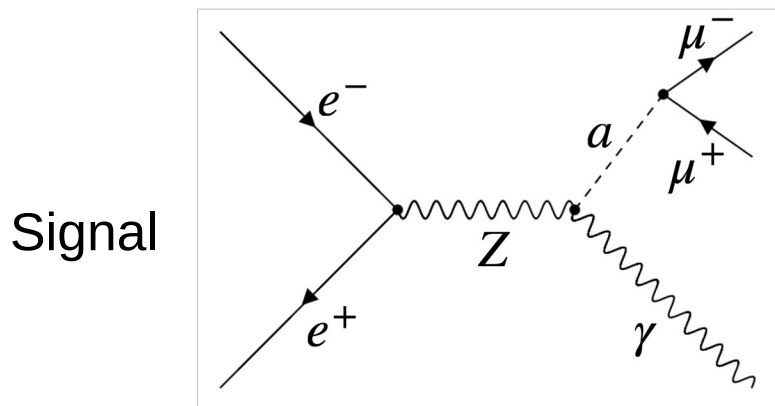


dijet mass after  $\gamma \geq 1 + \geq 2$  jets

MC samples	Cross section (pb)	$\gamma \geq 1 + \geq 2$ jets, $p_T^\gamma > 15$ GeV				$\gamma \geq 1 + \geq 2$ jets, $p_T^\gamma > 20$ GeV			
		$n_{raw} \pm \text{stat.uncer}(\%)$	n	Sel effi ( $n_{raw}/N_T$ )%	S	$n_{raw} \pm \text{stat.uncer}(\%)$	n	Sel effi ( $n_{raw}/N_T$ ) %	S
$M_{ax}=20$ GeV	$194.2 \pm 0.011$	$899023 \pm 948(0.1)$	$2.61885e+10$	90	134021	$829537 \pm 910(0.1)$	$2.41644e+10$	83	135735
$M_{ax}=30$ GeV	$159.6 \pm 0.0084$	$886771 \pm 941(0.1)$	$2.12293e+10$	89	116468	$807566 \pm 898(0.1)$	$1.93331e+10$	81	117959
$M_{ax}=40$ GeV	$118.6 \pm 0.0061$	$535105 \pm 731(0.1)$	$9.51952e+09$	53	64900	$475161 \pm 689(0.1)$	$8.45311e+09$	47	66865
$\gamma$ +dijet	$225.1 \pm 0.084$	$355256 \pm 596(0.1)$	$1.19952e+10$	35		$222988 \pm 47(0.2)$	$7.52919e+09$	22	

$$a \rightarrow \ell^+ \ell^-$$

S.Naseem, G. Polesello,  
R. Soualah, F. Arneodo



Parameter choice: high cross-section ( $C_{Z\gamma}$ ) and dominant  $BR(a \rightarrow \ell\ell)$

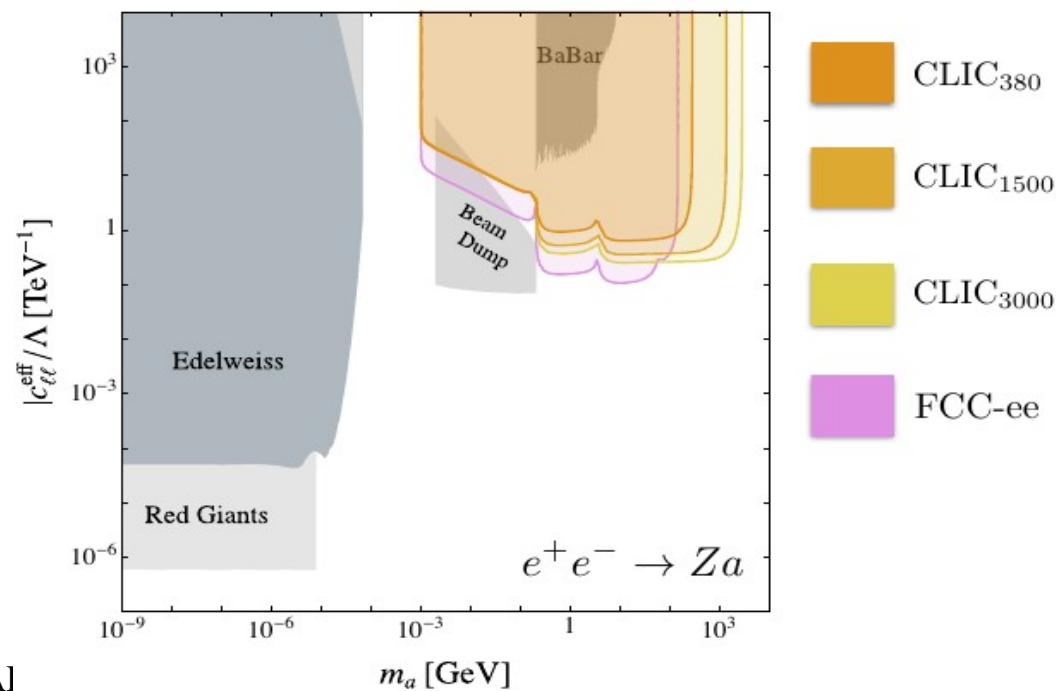
Proposed benchmark (arXiv:1808.10323):

ALP only couples to leptons.

- $C_{\ell\ell}$  universal over lepton flavours,  $BR(a \rightarrow \ell\ell) \sim 100\%$  for heaviest lepton for which decay is open
- Loop induced non-zero values for  $C_{\gamma\gamma}$  and  $C_{Z\gamma}$

Benchmark with  $C_{WW}=C_{BB}$  being also considered

13/01/2025.



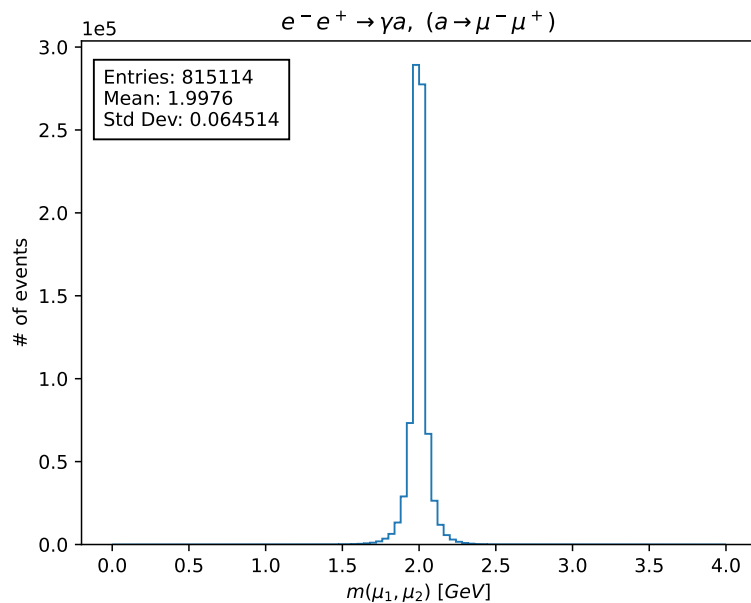
# $a \rightarrow \mu^+ \mu^-$ preliminary analysis

- Signal: Bauer et al. UFO, same simulation chain as for  $3\gamma$  analysis  
Generate signal for  $m_a = 2$  GeV ( $2m_e < m_a < 2m_\tau$ :  $\text{BR}(a \rightarrow \mu\mu) = 100\%$ ),  $C_{II} = 1$
- Background:  $e^+e^- \rightarrow a \mu^+\mu^-$  with MG5

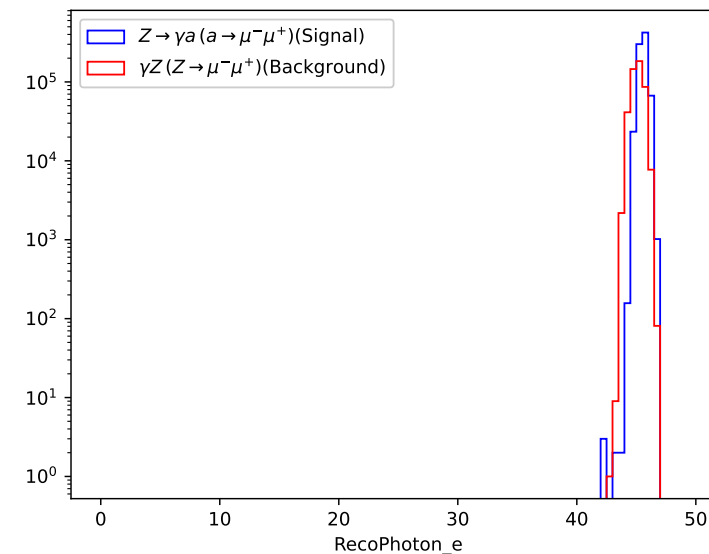
Photon for signal signal monochromatic with energy  $E_\gamma = 45.58$  GeV:

At generation level:

Require energy of photon between 44.5 and 46.5 GeV, photon  $\eta$  within 2.6



Reconstructed  $\mu\mu$  mass



Reconstructed photon energy



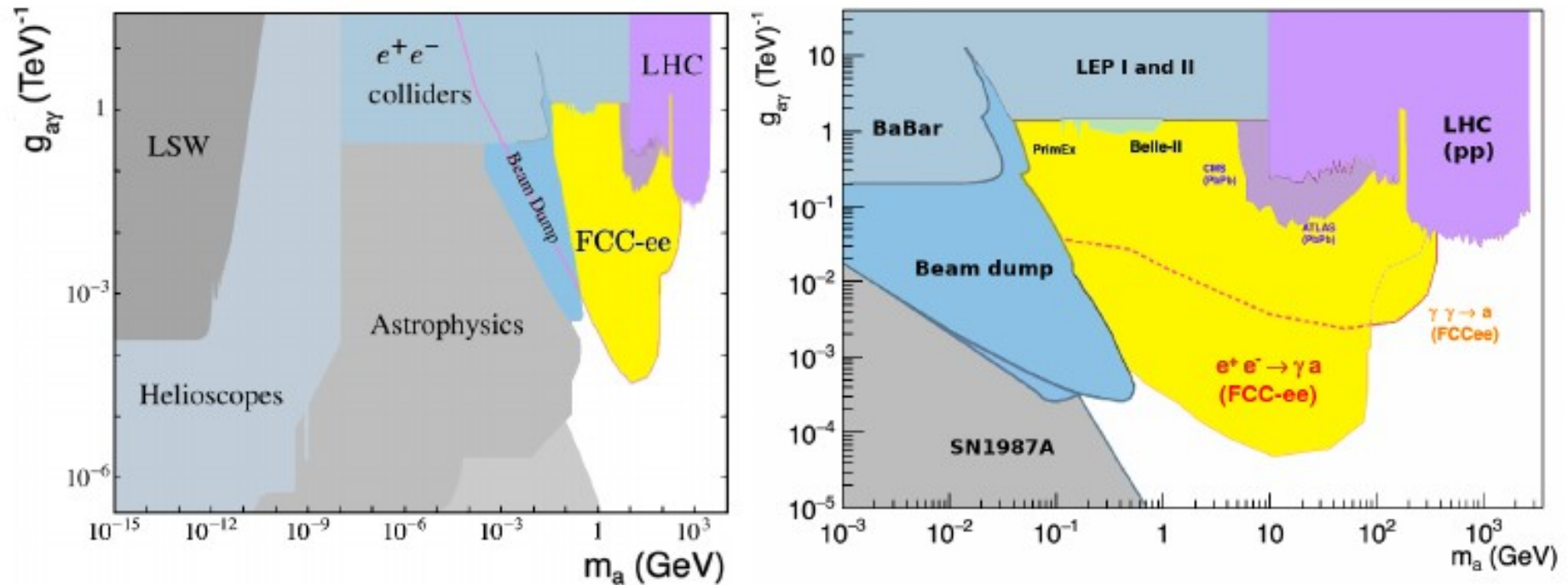
# Conclusion and outlook

Study of FCC-ee potential for ALP discovery in Z-pole run being performed for different ALP decays:

- $a \rightarrow \gamma\gamma$ 
  - Analyses for  $3\gamma$  final state, and for ALP decay outside detector provide good coverage of area of parameter space not accessible to other experiments
  - Reach sensitive to EM calorimeter energy and position resolution
  - Work in progress to refine the analysis: add reducible backgrounds, study impact of photon angle measurement, study photon reconstruction in fullsim
- $a \rightarrow gg, a \rightarrow \mu\mu$ :
  - Benchmarks in relevant parameter space defined
  - Analysis chain set up, and tested, with first signal and bg samples available
  - Full sensitivity analysis forthcoming

# Backup

# Parameter space coverage

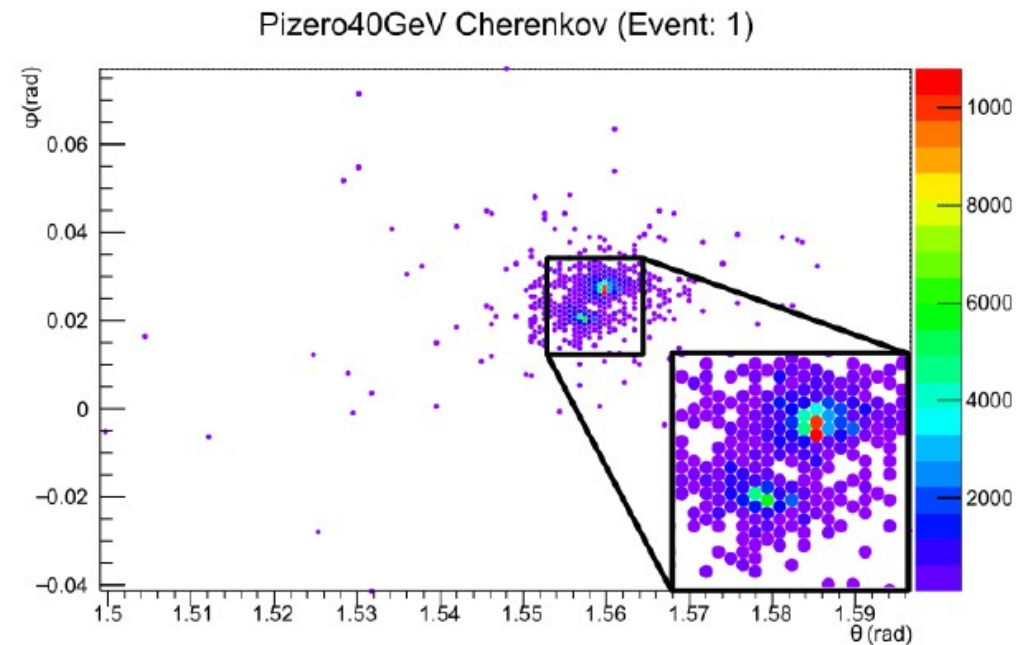
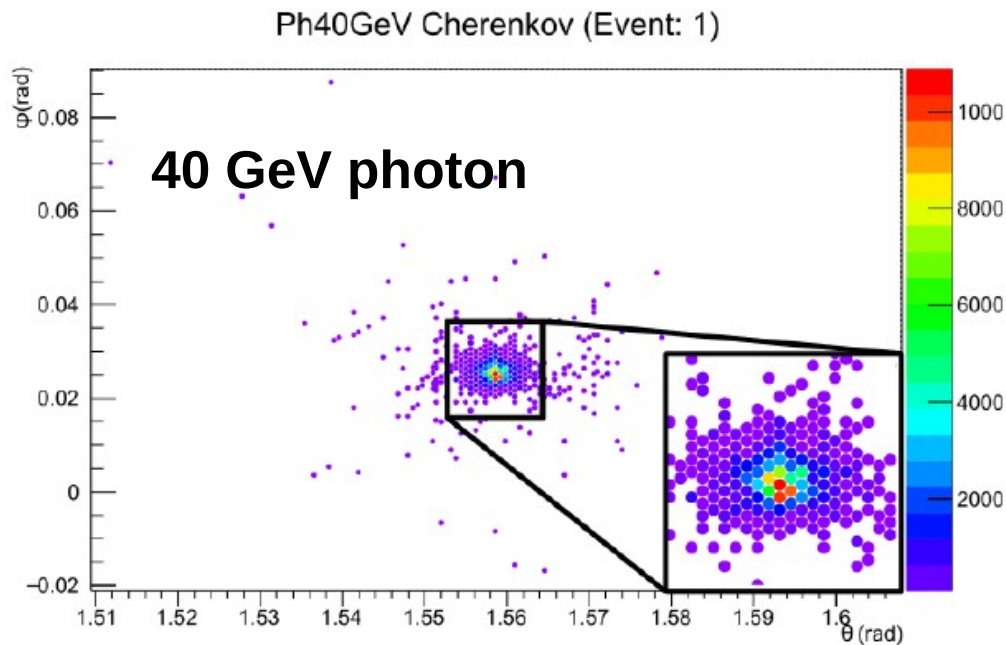


Plot in the MT report:  $e^+e^- \rightarrow \gamma a$  line is theory calculation requiring 4 ALP decays inside detector. 4 events might work for long-lived but prompt analysis has a huge irreducible background  $e^+e^+ \rightarrow \gamma\gamma\gamma$ , requiring detailed background analysis

Plots originally from [Rebello Teles et al.](#)

## Example: exploiting the full granularity of IDEA DR Calo

With Silicon PMs it is possible to read one by one all of the fibers in the calorimeter → possibility to separate very close photons and to precisely measure invariant mass



Ideal field of application for ML image recognition, work ongoing in Pavia (master thesis A. Villa)

13/01/2025.

# Calorimeter parametrisation

Take truth stable photons from PYTHIA tree in edm4hep, and smear them according to:

**For DR fiber:** performance figures from full simulation of testbeam prototype. Shown e.g in [talk at ICHEP](#)

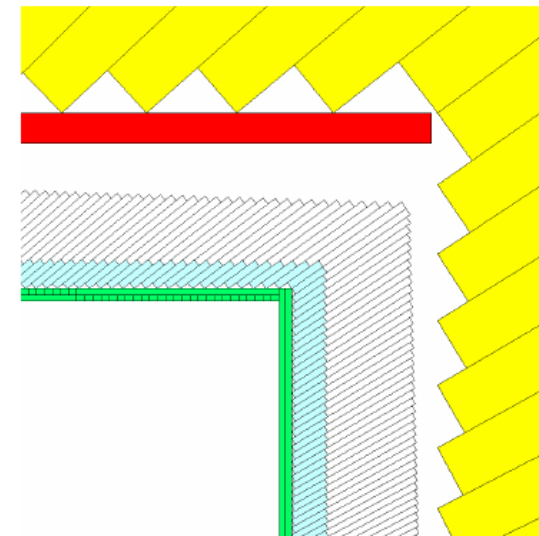
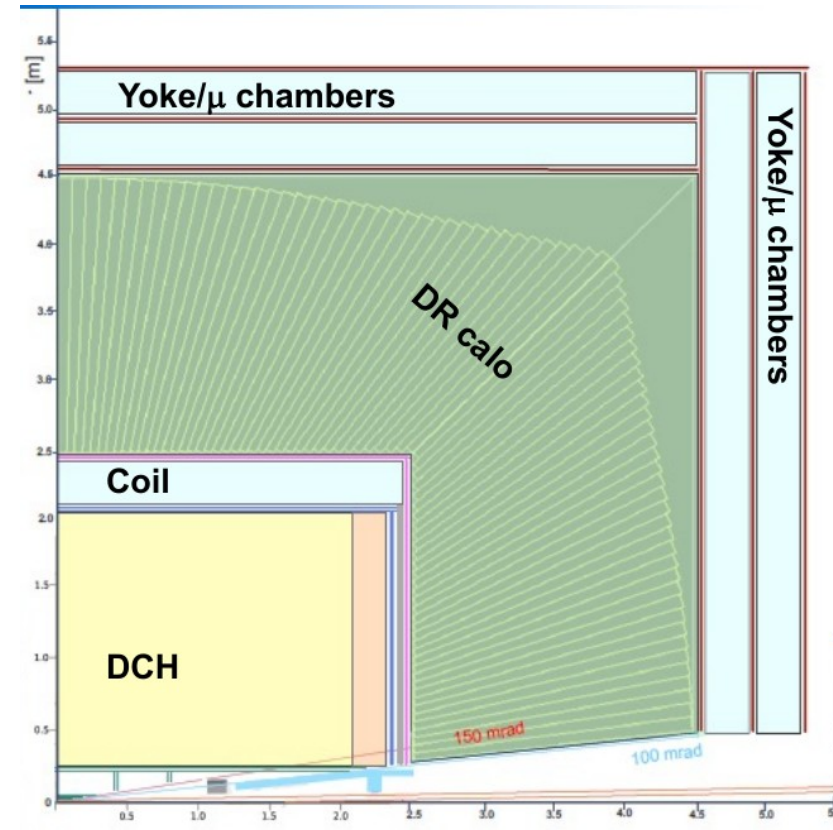
$$\frac{\sigma(E)}{E} = \frac{0.139}{\sqrt{E}} + 0.006$$

$$\sigma(x) = \frac{4.05}{\sqrt{E}} + 0.0 \quad \sigma(y) = \frac{3.23}{\sqrt{E}} + 0.0055$$

**For crystal:** energy resolution as in DELPHES card, Position resolution from [Lucchini et al. paper](#)

$$\frac{\sigma(E)}{E} = \frac{0.03}{\sqrt{E}} \oplus 0.005 \oplus \frac{0.002}{E}$$

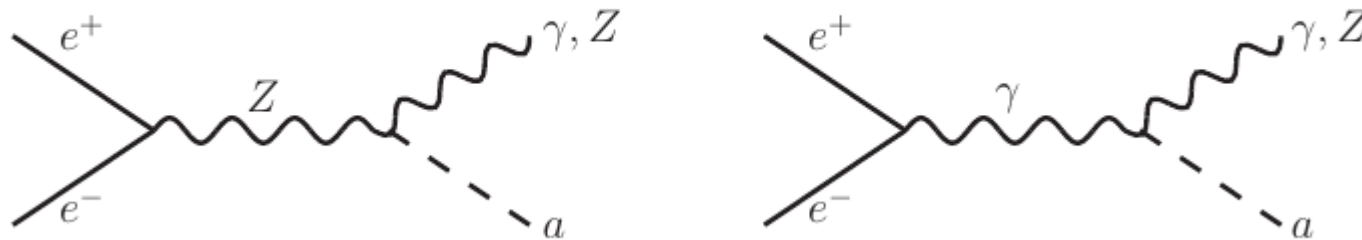
$$\sigma(\theta) = \frac{1.5}{\sqrt{E}} \oplus 0.33$$



# The model

$$\mathcal{L}_{\text{eff}} \ni e^2 C_{\gamma\gamma} \frac{a}{\Lambda} F_{\mu\nu} \tilde{F}^{\mu\nu} + \frac{2e^2}{s_w c_w} C_{\gamma Z} \frac{a}{\Lambda} F_{\mu\nu} \tilde{Z}^{\mu\nu} + \frac{e^2}{s_w^2 c_w^2} C_{ZZ} \frac{a}{\Lambda} Z_{\mu\nu} \tilde{Z}^{\mu\nu}.$$

We are interested in the associate production of  $a$  and  $\gamma$



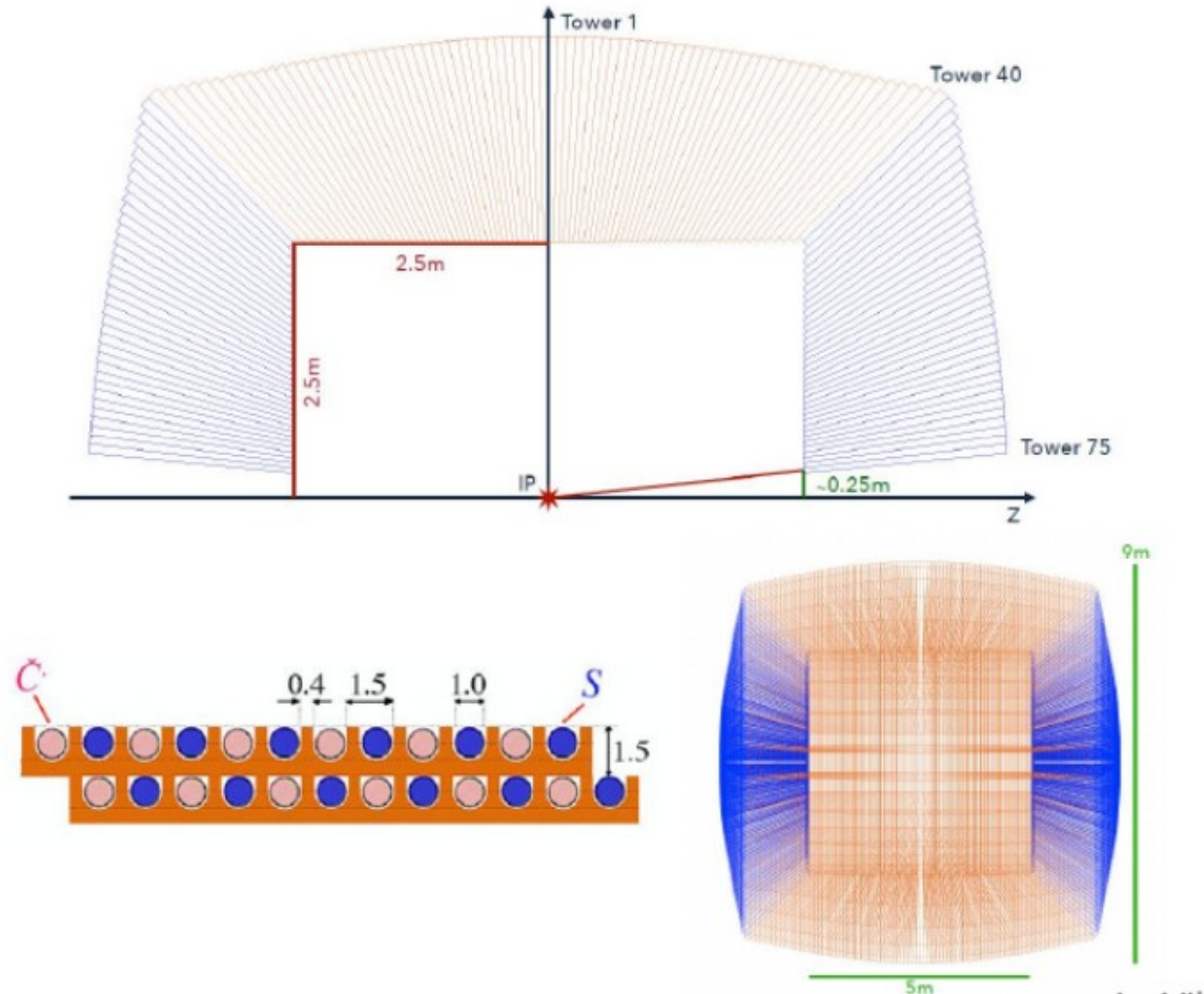
- Assume  $a$  only couples to hypercharge and not to SU2  $C_{\gamma Z} = -s_w^2 C_{\gamma\gamma}$
- Assume  $\text{BR}(a \rightarrow \gamma\gamma) = 100\% \rightarrow$  three-photon signature

Experimental reach can be represented in 2-d  $M_a$ - $C_{\gamma\gamma}$  plane

Implemented in two UFOs: [Brivio et al.:arXiv:1701.05379](#)  
[Bauer et al.:arXiv:1808.10323](#)

Checked that the two UFOs give the same results, use Bauer et al. for generation

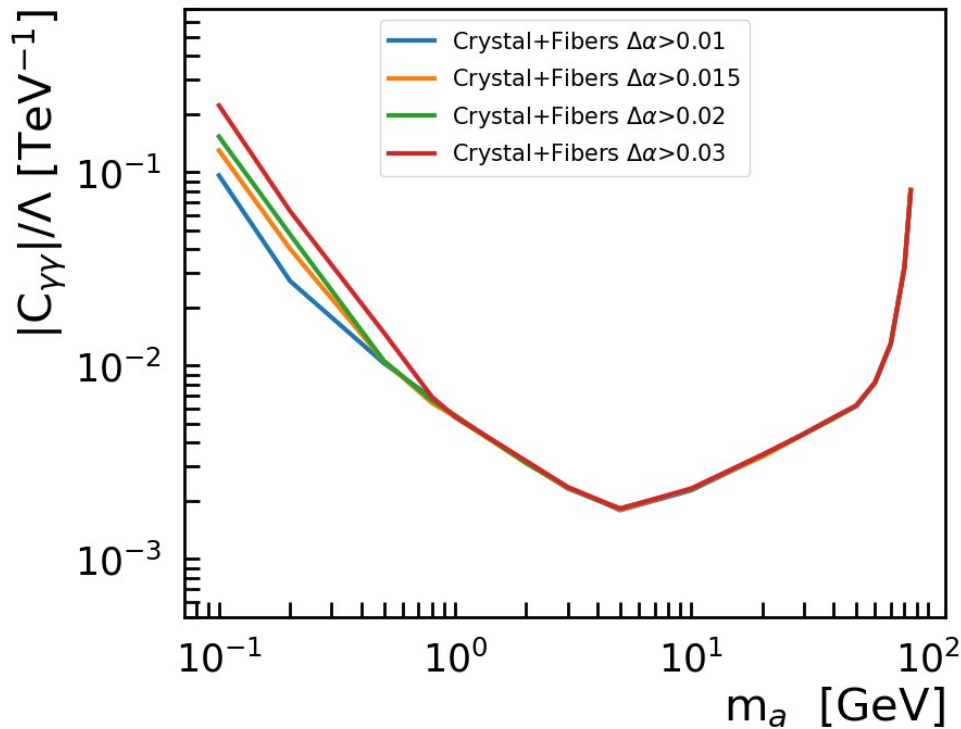
# IDEA DR Calorimeter, old version



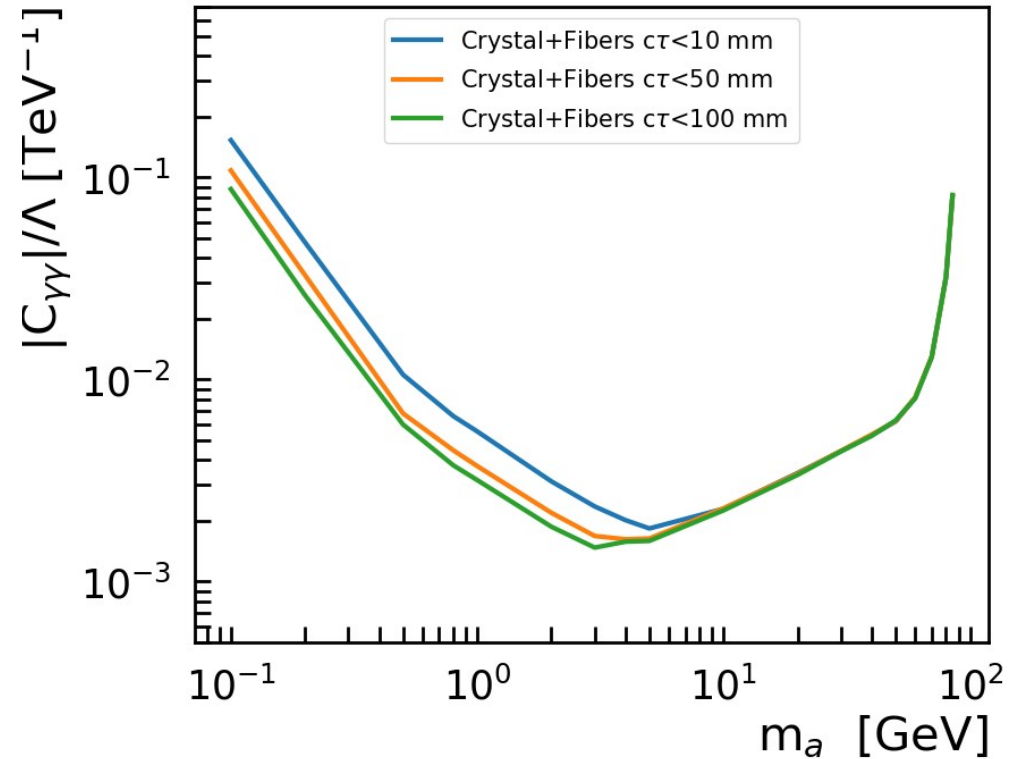


# Reach as a function of $\Delta\alpha$ and of cut on $c\tau$

$c\tau < 10$  mm



$\Delta\alpha > 0.02$



Plot  $2\sigma$  reach as function of mass and coupling, assuming 0.1% systematics

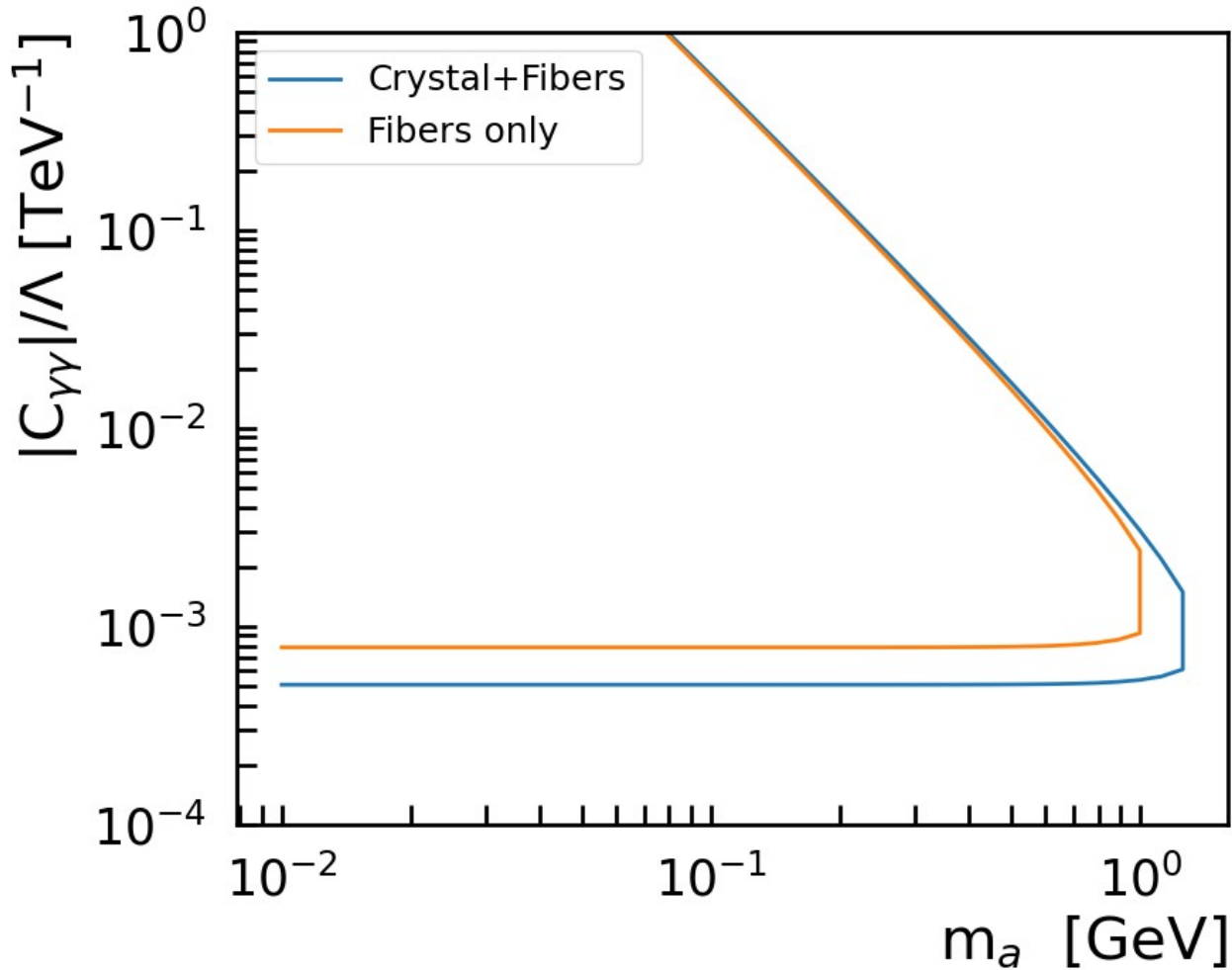
Define significance as:

$s$  = number of signal events after cuts  
 $b$  = background events after cuts  
 $n = s + b$ ,  $\sigma$  = systematic uncertainty on  $b$

$$Z = \sqrt{2\left(n \ln\left[\frac{n(b + \sigma^2)}{b^2 + n\sigma^2}\right] - \frac{b^2}{\sigma^2} \ln\left[1 + \frac{\sigma^2(n - b)}{b(b + \sigma^2)}\right]\right)}$$



# Results



Irreducible background small at 45.6 GeV, but it increases very fast as energy goes down.

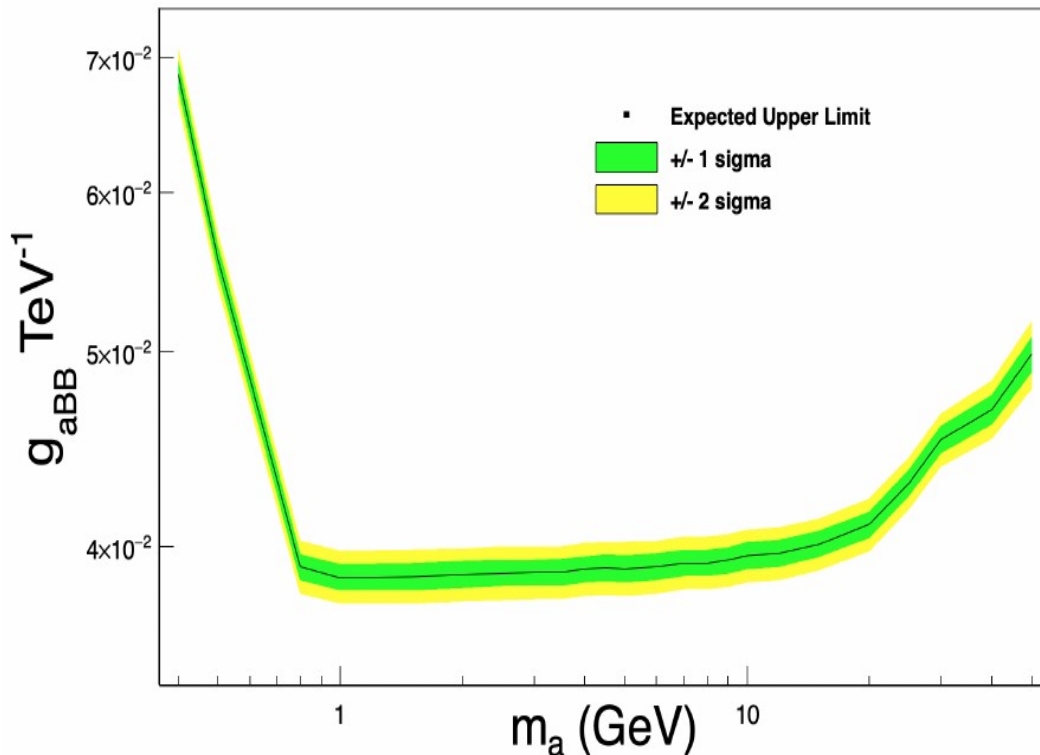
Smaller energy window determined by better resolution significantly increases reach

# A similar exercise

Recent paper: Steinberg, Wells, arXiv:2101.00520

Addressing the same model in the framework of ILC GigaZ

ILC detector: R(EScal)~1.85 m. GARLIC photon reco: require photons with  $\Delta R > 0.035$  and with less than 10% of energy in reconstructed cone from nearby photon



Simple analysis, require:

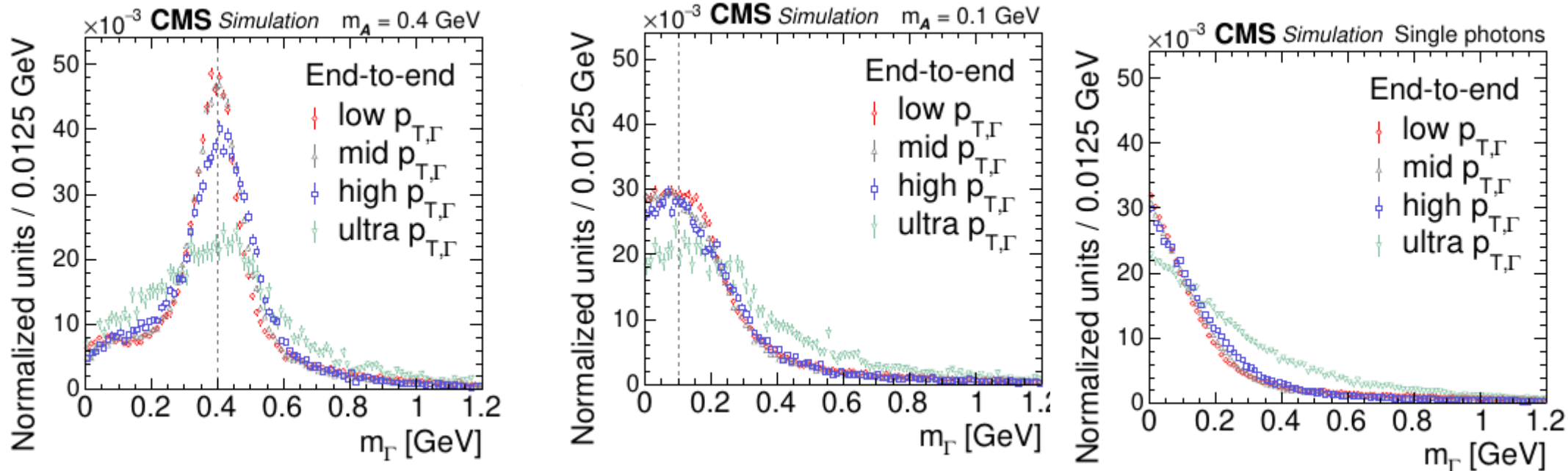
- 3 non-overlapping photons  $E > 2$  GeV
- $E_\gamma - E_\gamma^{\text{recoil}} < 5$  GeV

$$E_{\text{recoil}}^\gamma(m_a) = (M_Z^2 - m_a^2)/2M_Z$$

Significant loss in sensitivity, but in this setup search extended down to ALP masses if few hundred MeV

# An encouraging example from CMS

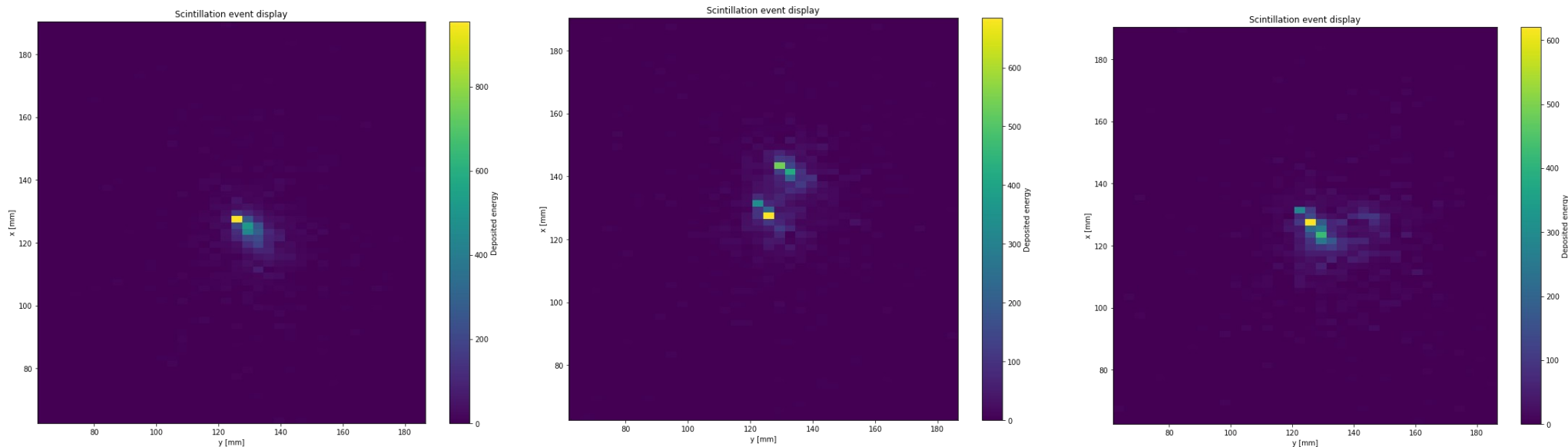
PRD 108 (2023) 052002



Using a CNN-based algorithm, reconstruct peak of 100 MeV particle.  
CMS granularity: 2.3 cm, IDEA Crystal: 1 cm IDEA Fiber: 2 mm  
Can probably improve on CMS result

# Two photons in fiber calorimeter

One fiber every 2 mm read with SiPMs



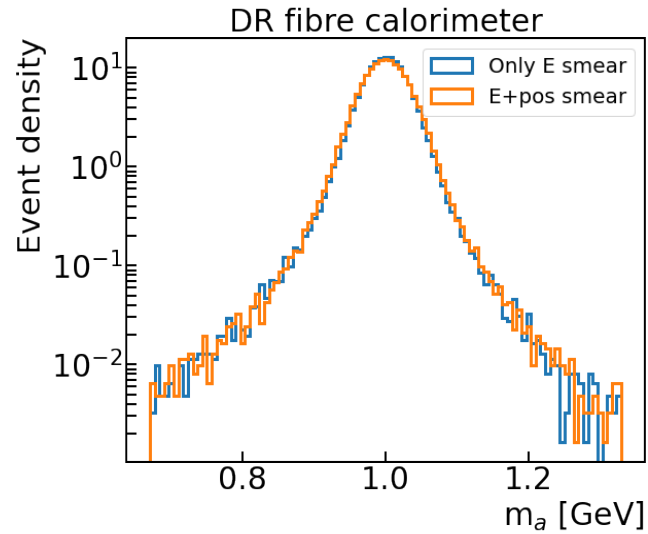
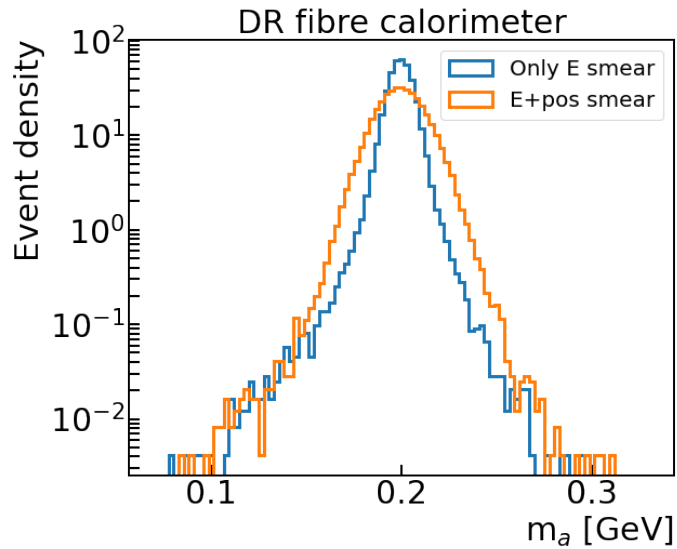
G4 simulation of energy deposition of a 40 GeV photon (left),  
and of two examples  $40 \pi^0$  produced at 2m from a fiber calorimeter prototype  
(Master thesis G.Salsi)

Very high granularity can be exploited to measure the two clusters using image  
reconstruction techniques → start work soon on that

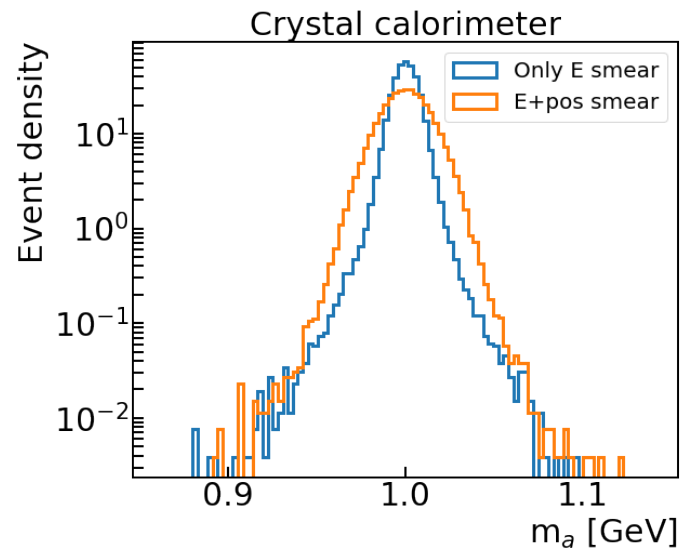
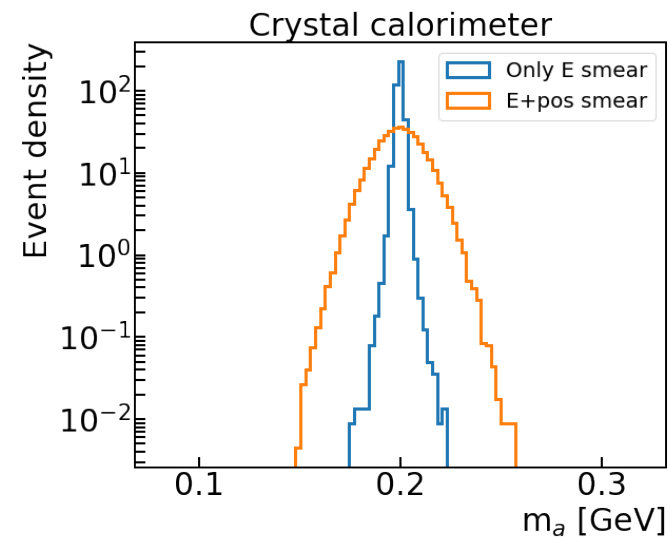
Waiting for results becoming available, reject events where  $\Delta\alpha$  between two  
photons smaller than 0.01, 0.015, 0.02, 0.03 and study reach as a function of cut

13/01/2025.

# Mass resolution



Compare mass resolution for  $m_a = 0.2, 1$  GeV for the two calorimeter options, for prompt decays of ALP



Position resolution dominant effect up to  $\sim 1$  GeV

# Coalescing Photons

For  $M_a < \sim 5$  GeV two photons  
 very collimated: e.g for  
 $M_a = 0.5$  GeV  $\Delta R_{\text{peak}} \sim 0.03$

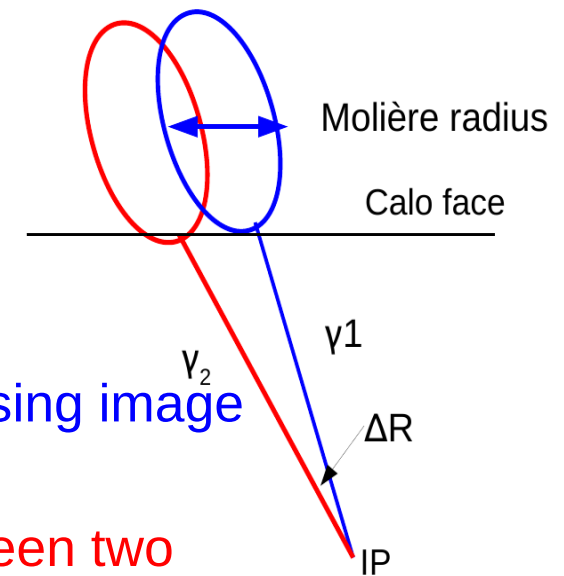
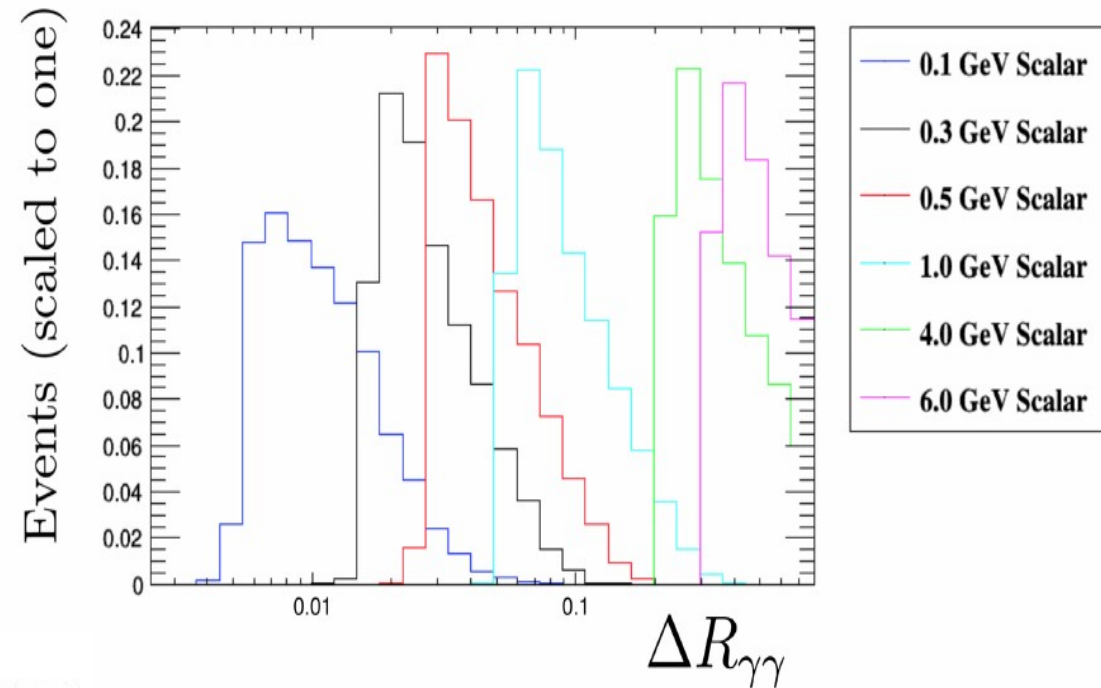
If distance from interaction point to  
 calo face = 2 m (IDEA),  
 two photons from 0.5 GeV ALP  
 have distance of 6 cm.

$$\Delta R_{\text{peak}} = 4m_a/m_Z$$

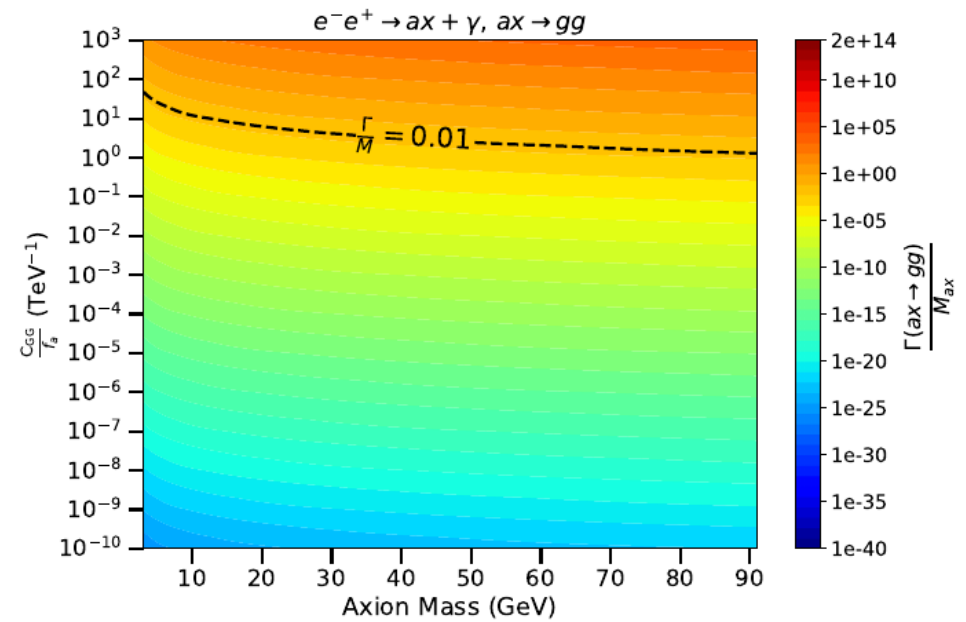
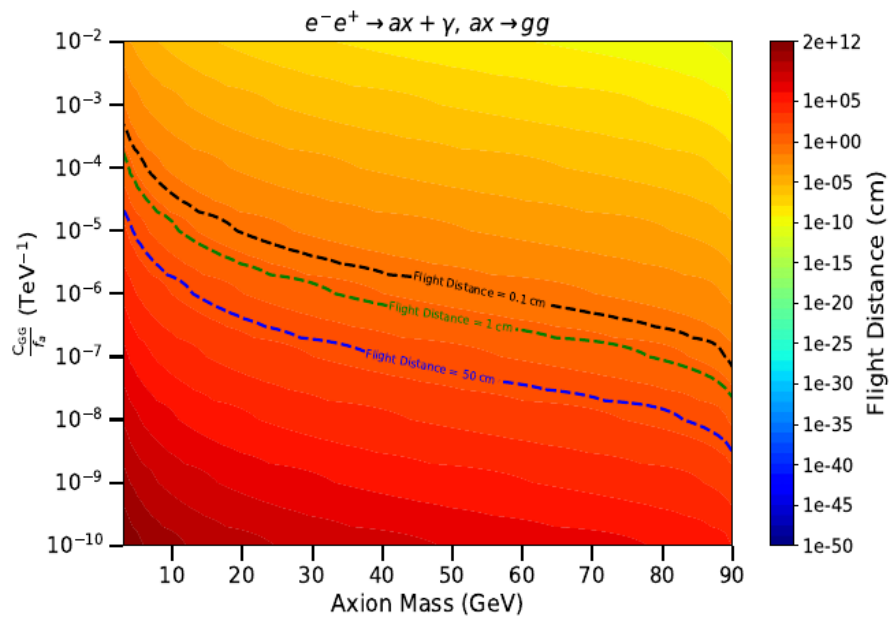
Size of photon shower in calorimeter: Molière radius, depends  
 on material and geometry, around 2 cm for crystal calorimeter,  
 $\sim 2.4$  cm for fibre calorimeter

Very high granularity can be exploited to measure the two clusters using image  
 reconstruction techniques  $\rightarrow$  start work soon on that

Waiting for results becoming available, reject events where  $\Delta\alpha$  between two  
 photons smaller than 0.01, 0.015, 0.02, 0.03 and study reach as a function of cut



# $a \rightarrow gg$ benchmark Choice



# $C_{ll}$ limits

