

Test of lepton flavour universality with $B_s^0 \rightarrow \phi \ell^+ \ell^-$ decays at LHCb

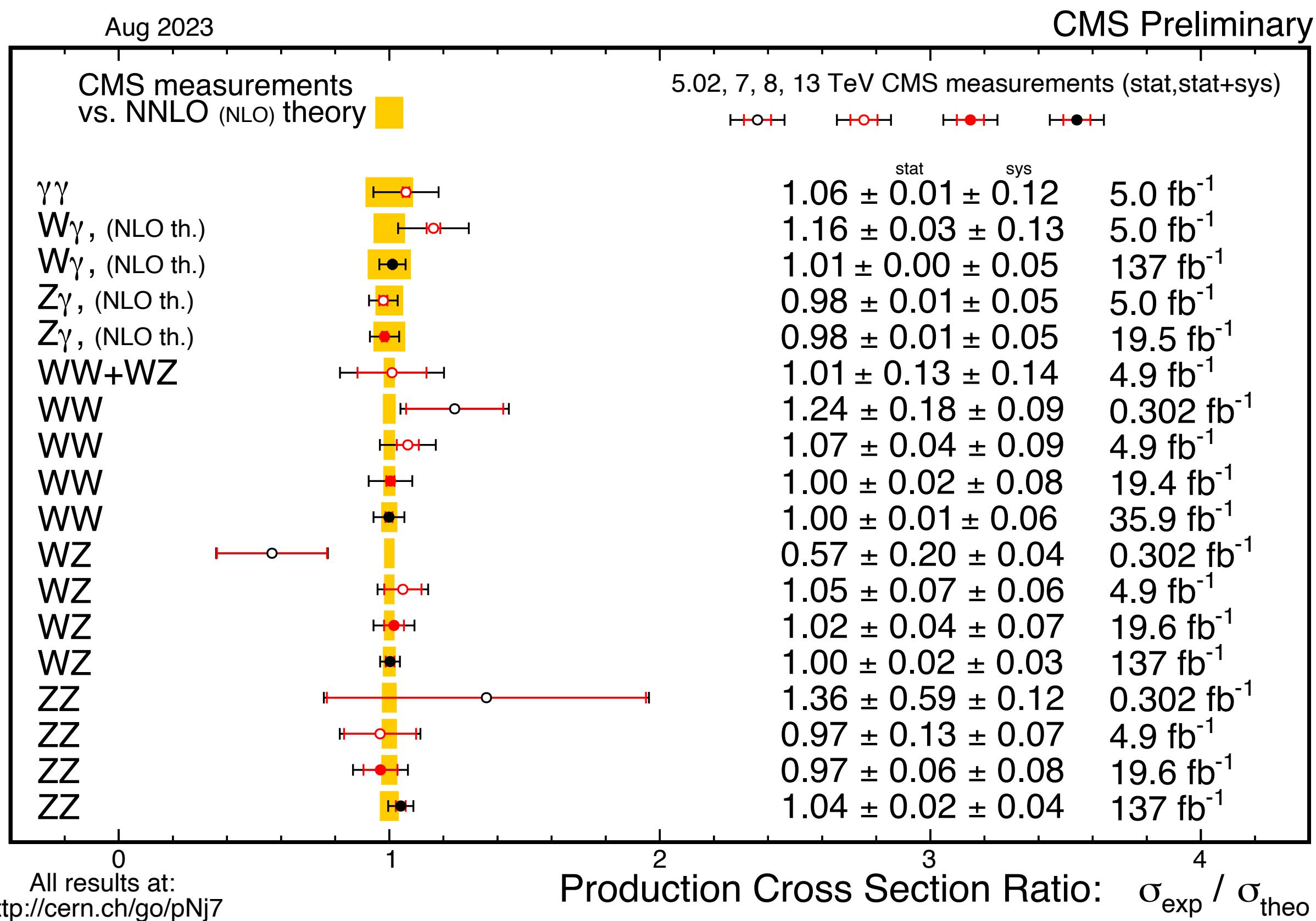
Sebastian Schmitt
on behalf of the LHCb collaboration

08.10.2024

[LHCb-PAPER-2024-032]
(In preparation)

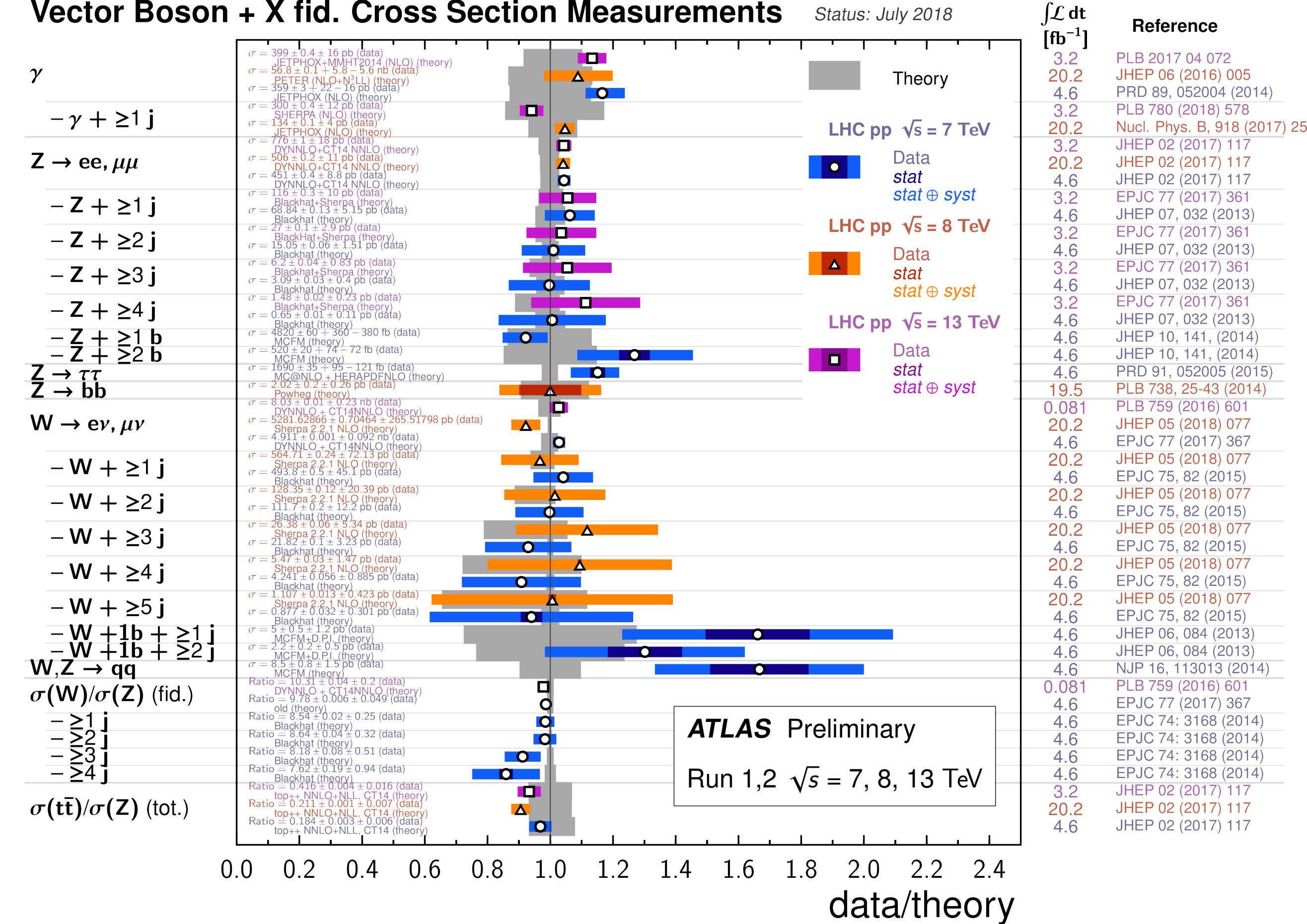
MOTIVATION

- Standard Model (SM) is a great success!



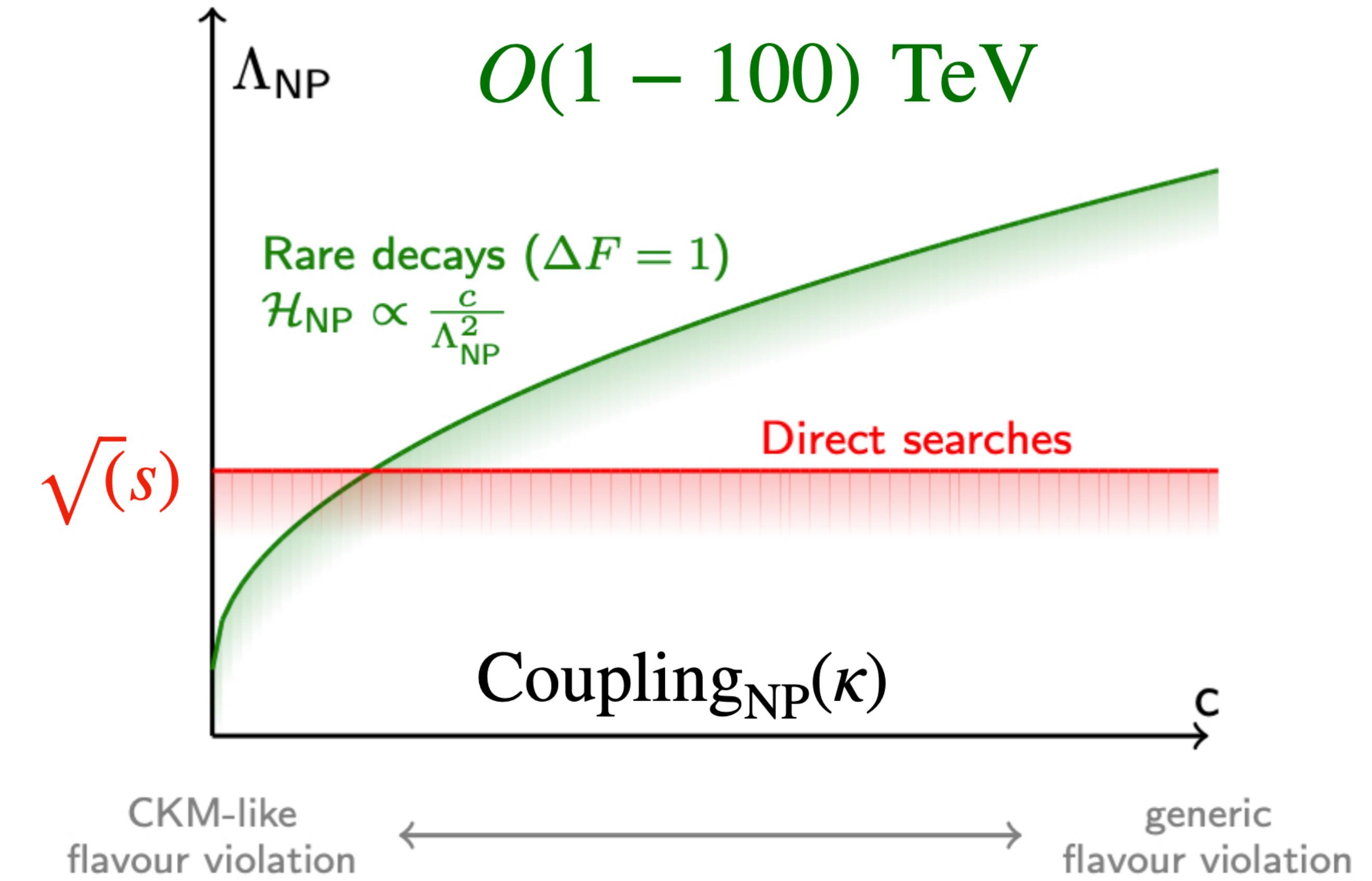
Excellent agreement with SM in direct searches

Vector Boson + X fid. Cross Section Measurements



MOTIVATION

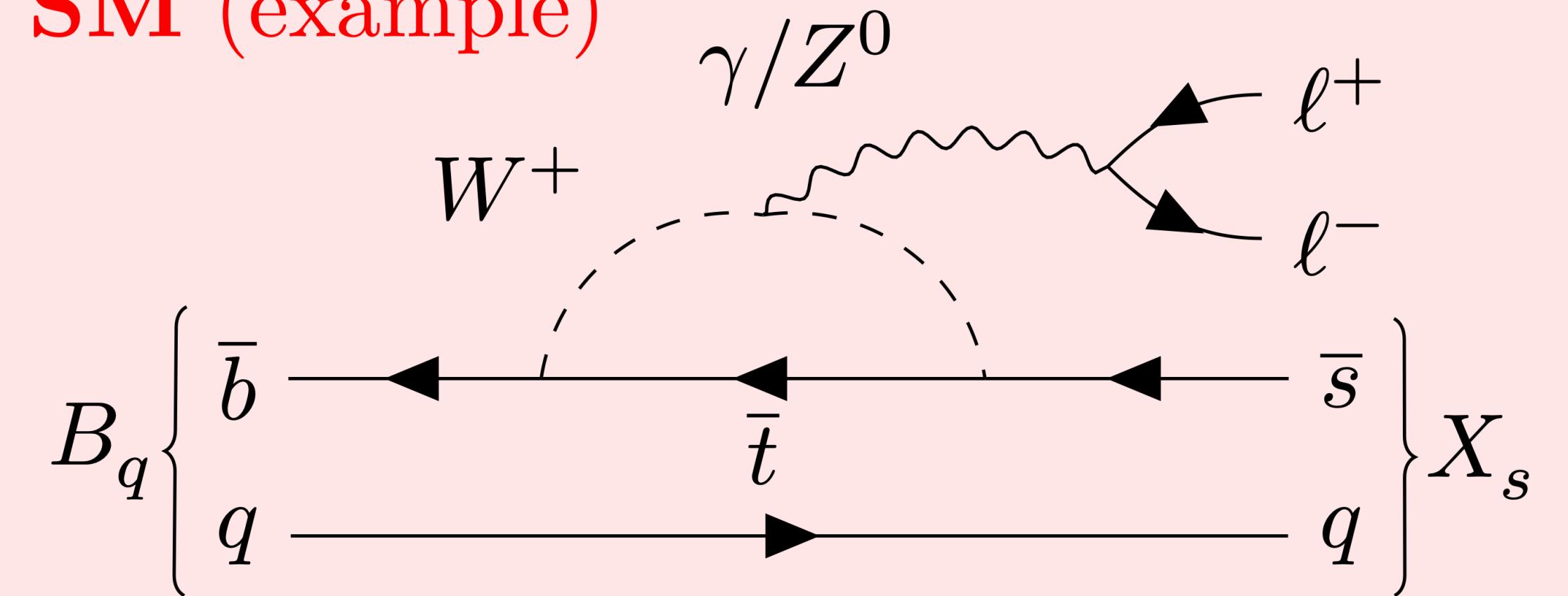
- **No direct evidence** for beyond SM particles yet
- Indirect searches can explore New Physics (NP) up to $\mathcal{O}(100 \text{ TeV})$
- $b \rightarrow s\ell^+\ell^-$ transitions **sensitive probes** for NP
 - Strongly suppressed in the SM $\mathcal{B} \approx \mathcal{O}(10^{-6})$
 - Potential NP may **alter decay rates** or their distributions



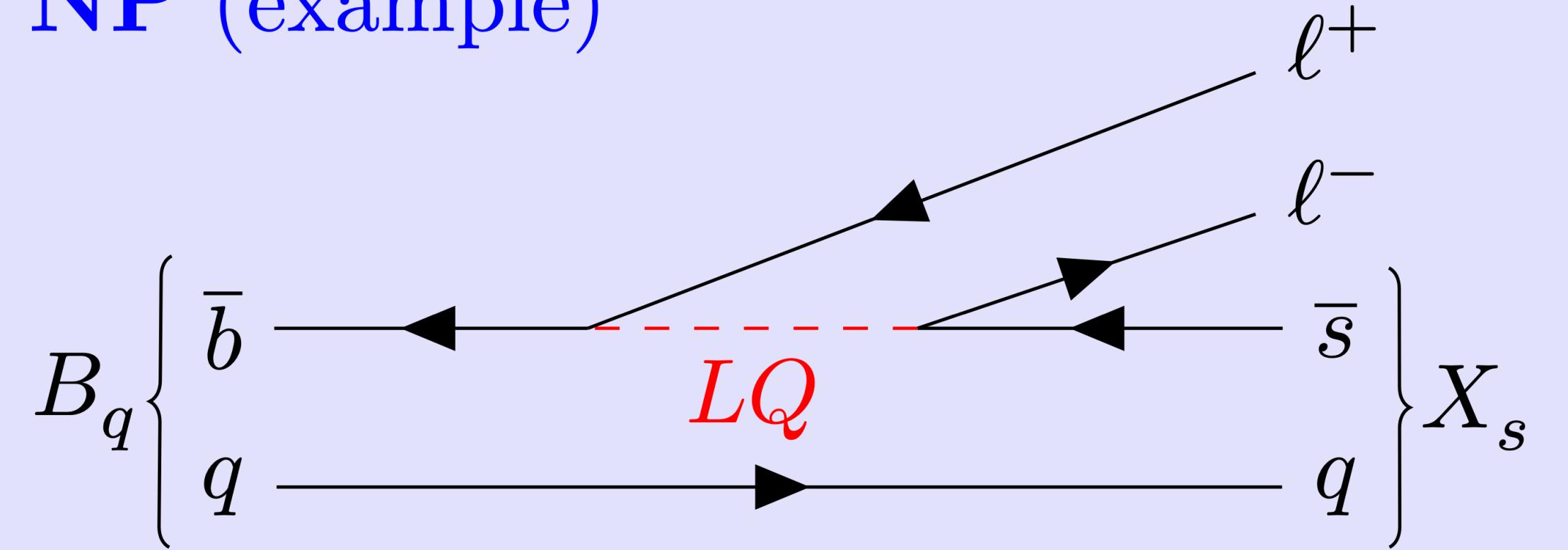
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SM (example)



NP (example)



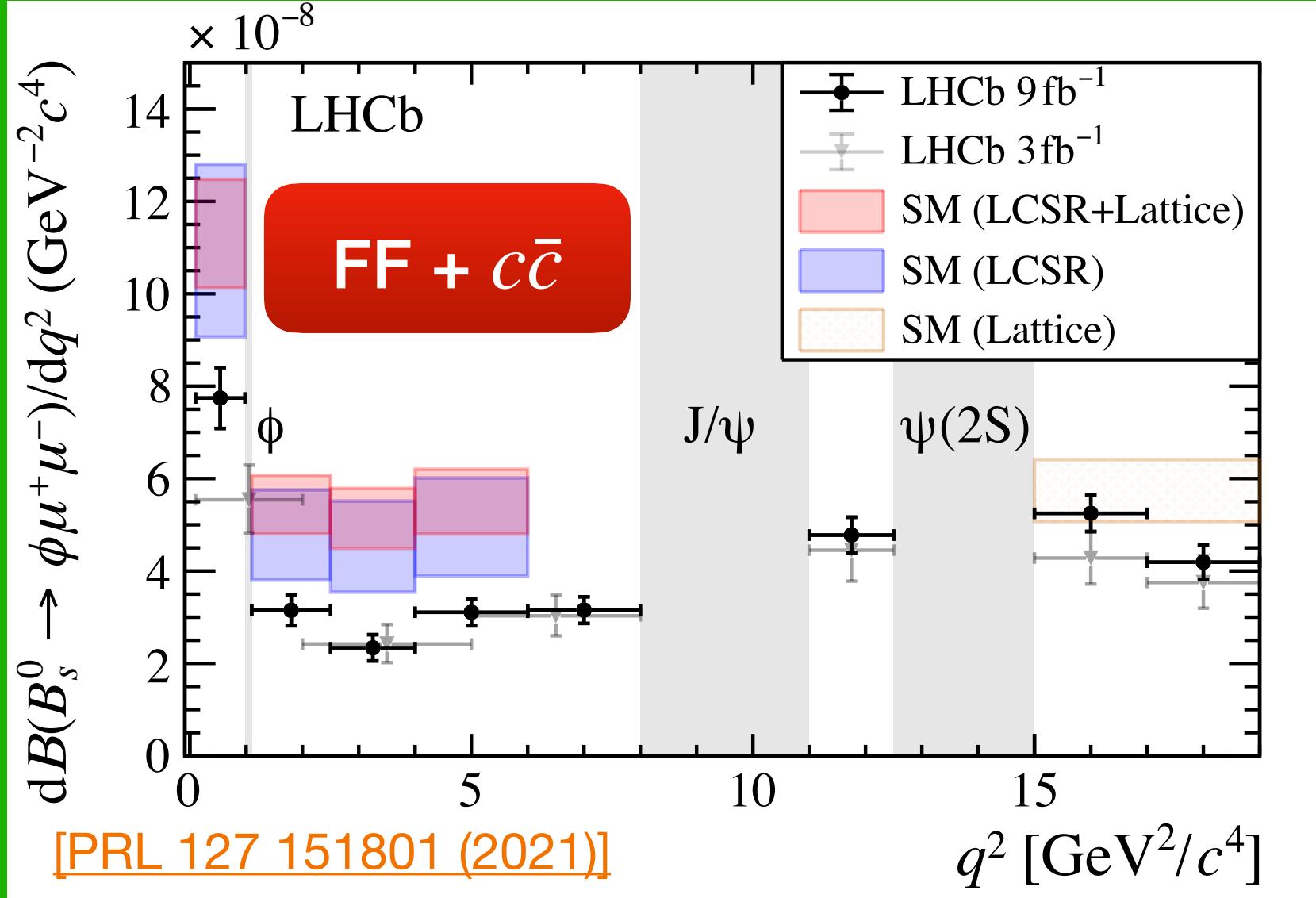
OBSERVABLES IN $b \rightarrow s\ell^+\ell^-$ DECAYS

- Varying cleanliness of the observables in $b \rightarrow s\ell^+\ell^-$ decays
- Hadronic **form factors** and **charm loops ($c\bar{c}$)** affect predictions

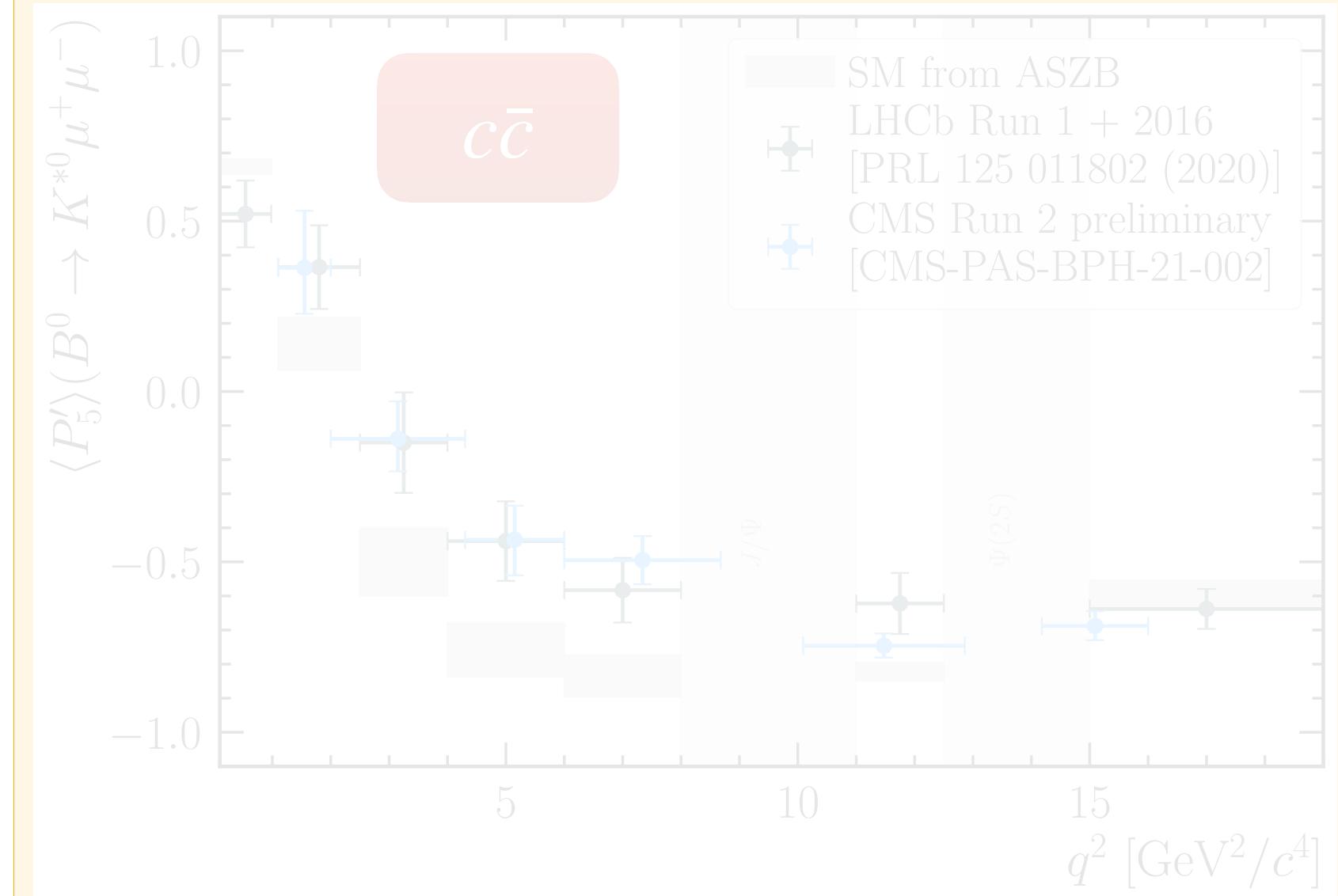
$$q^2 = m(\ell^+\ell^-)^2$$

Increasing precision of SM prediction

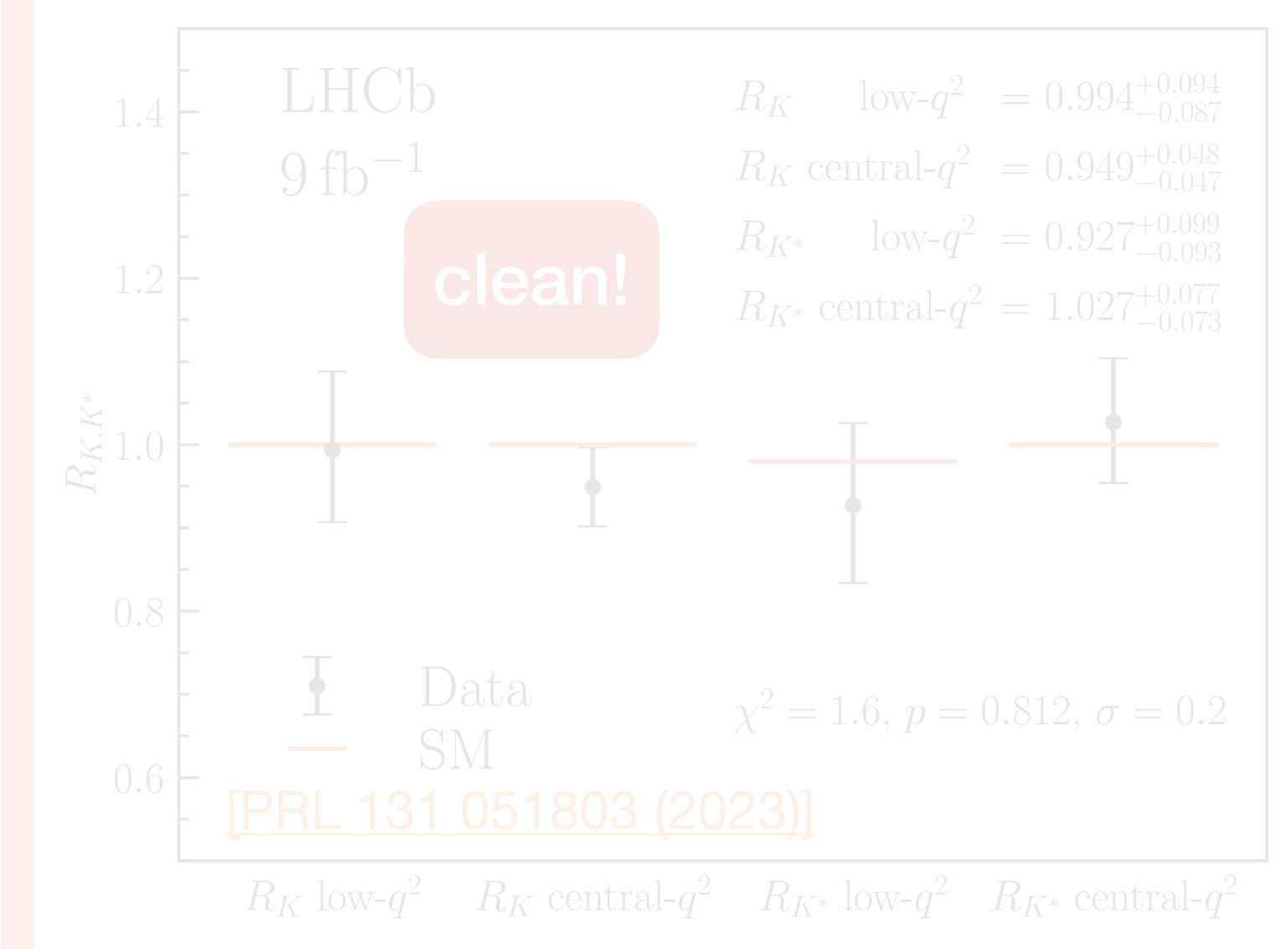
Branching Fractions



Angular Analyses



Universality Tests



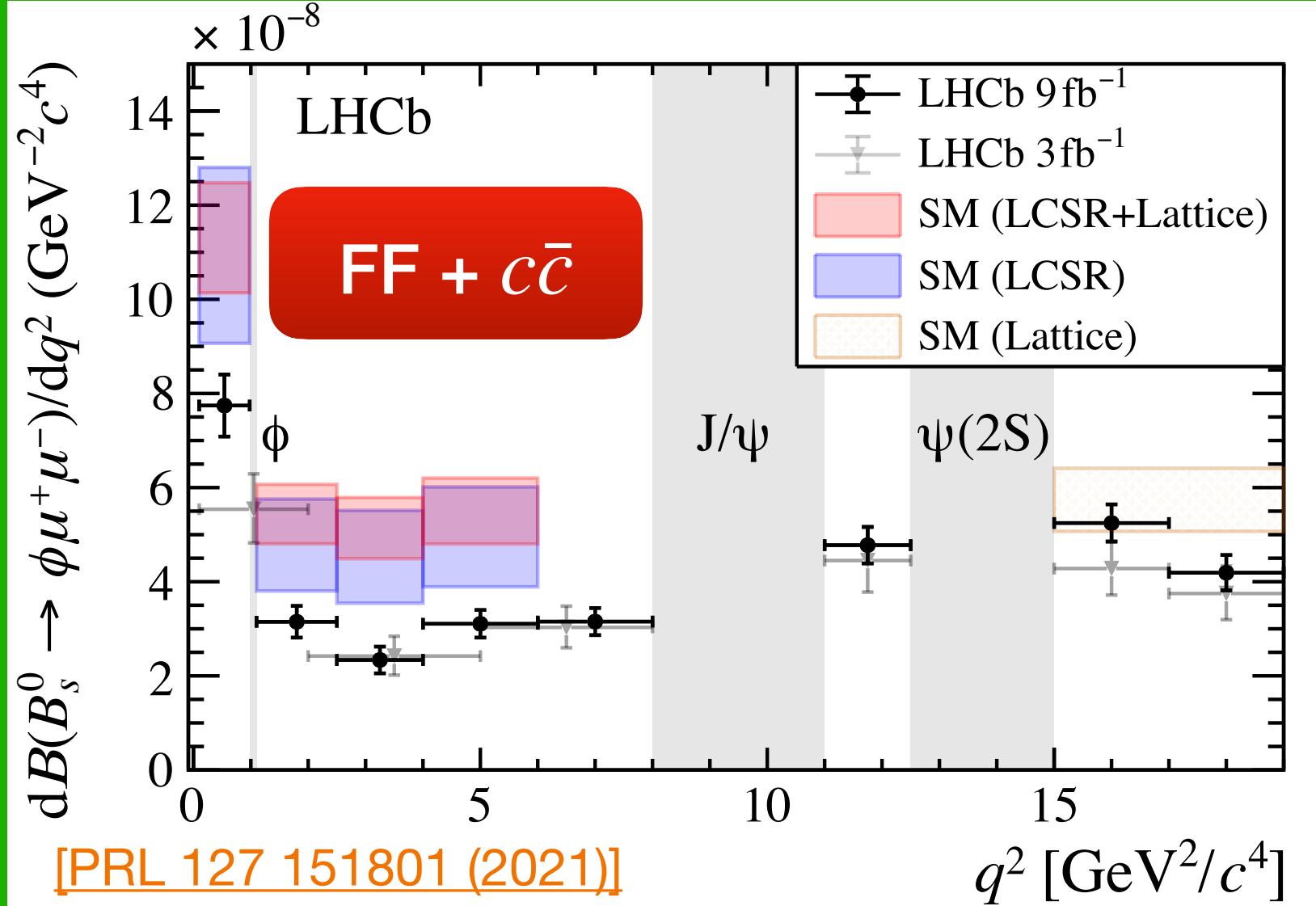
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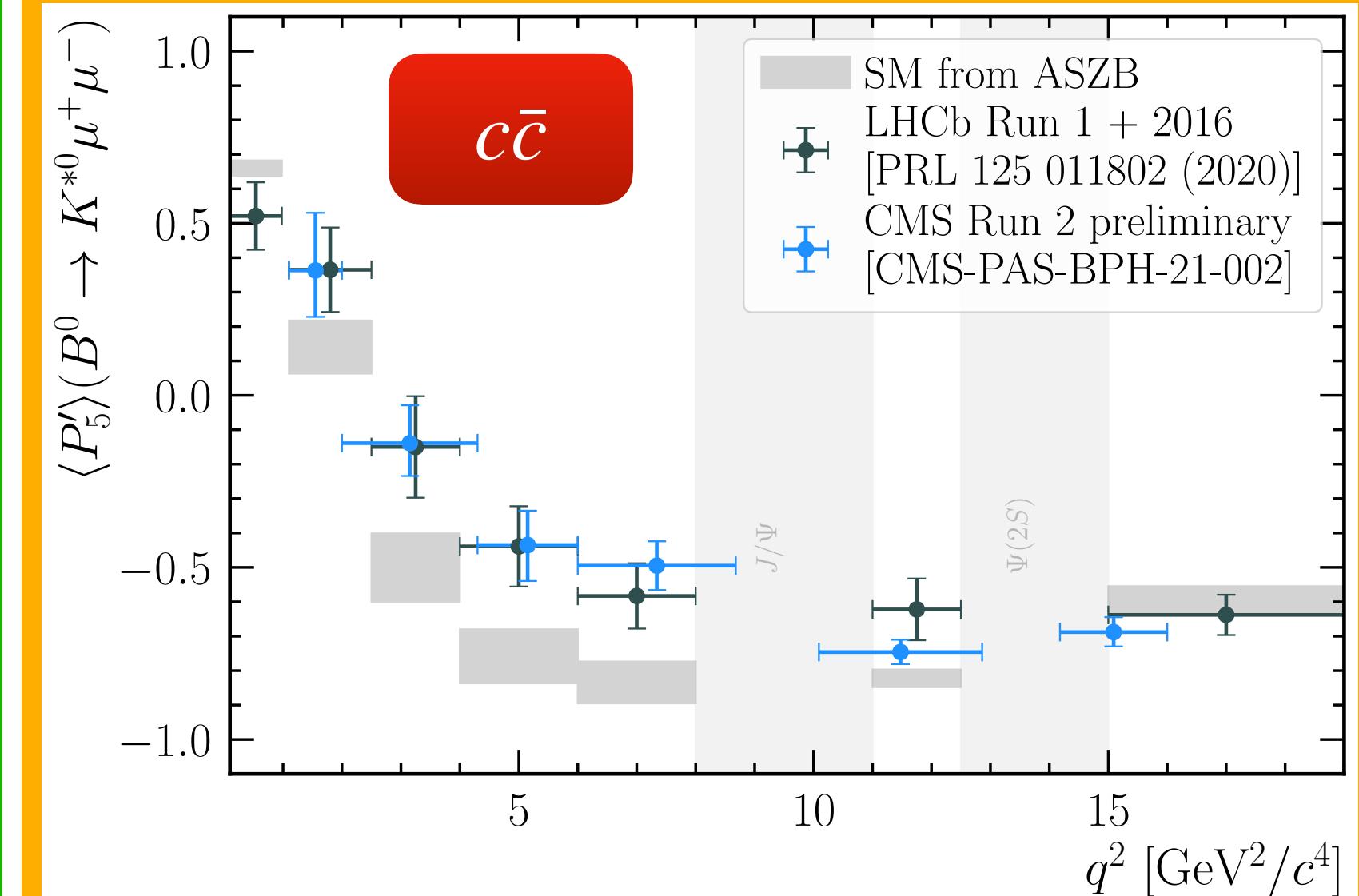
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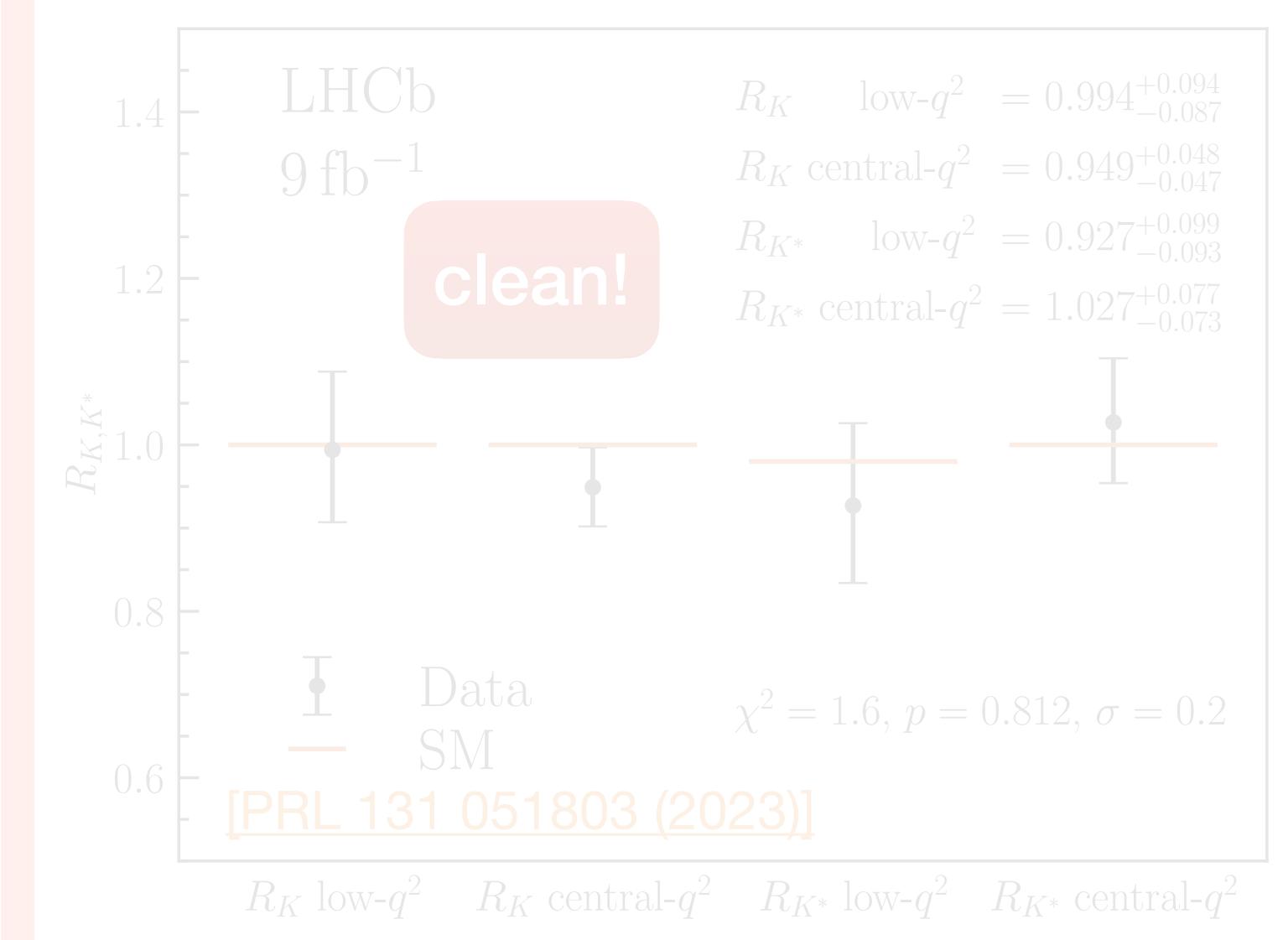
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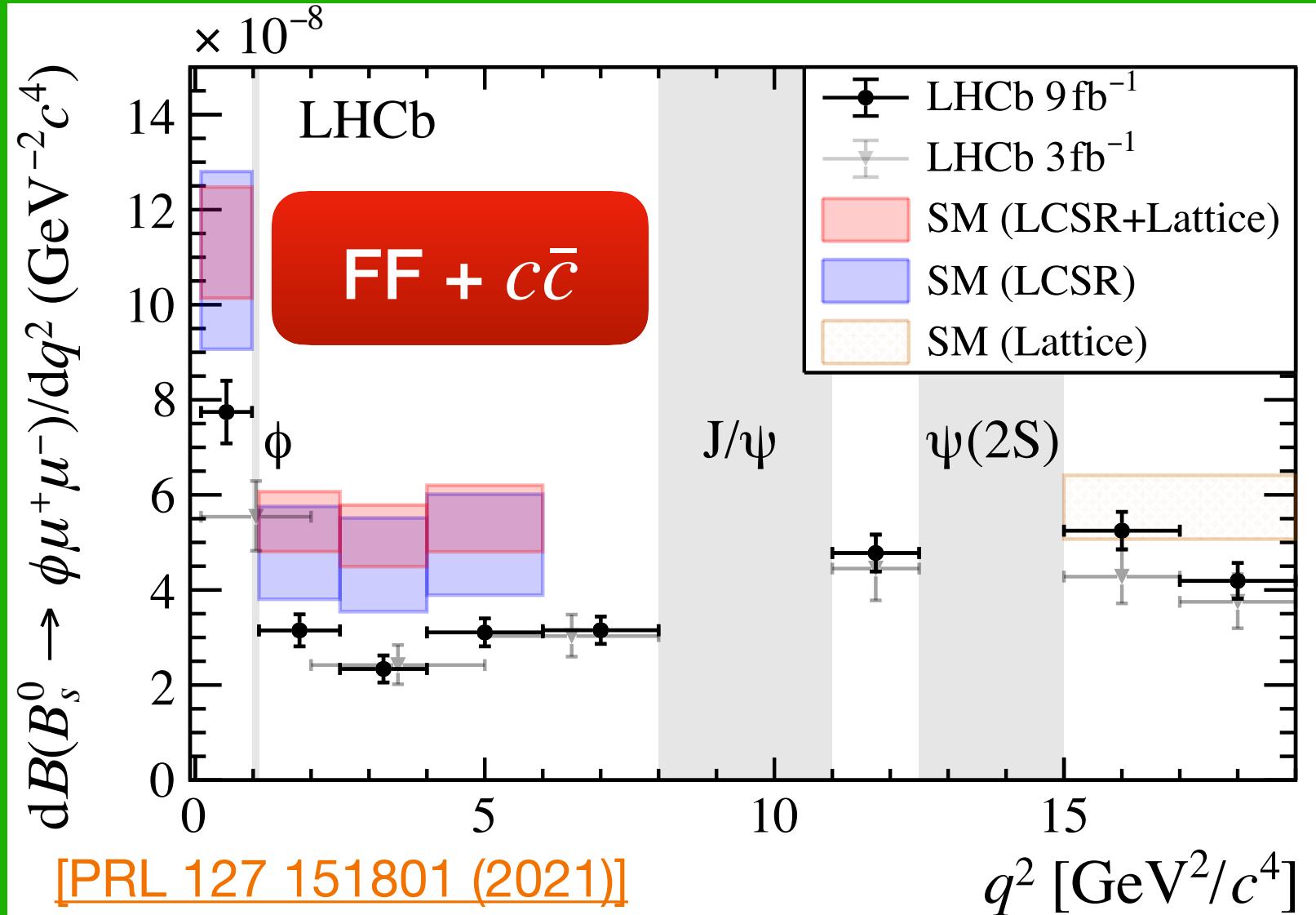
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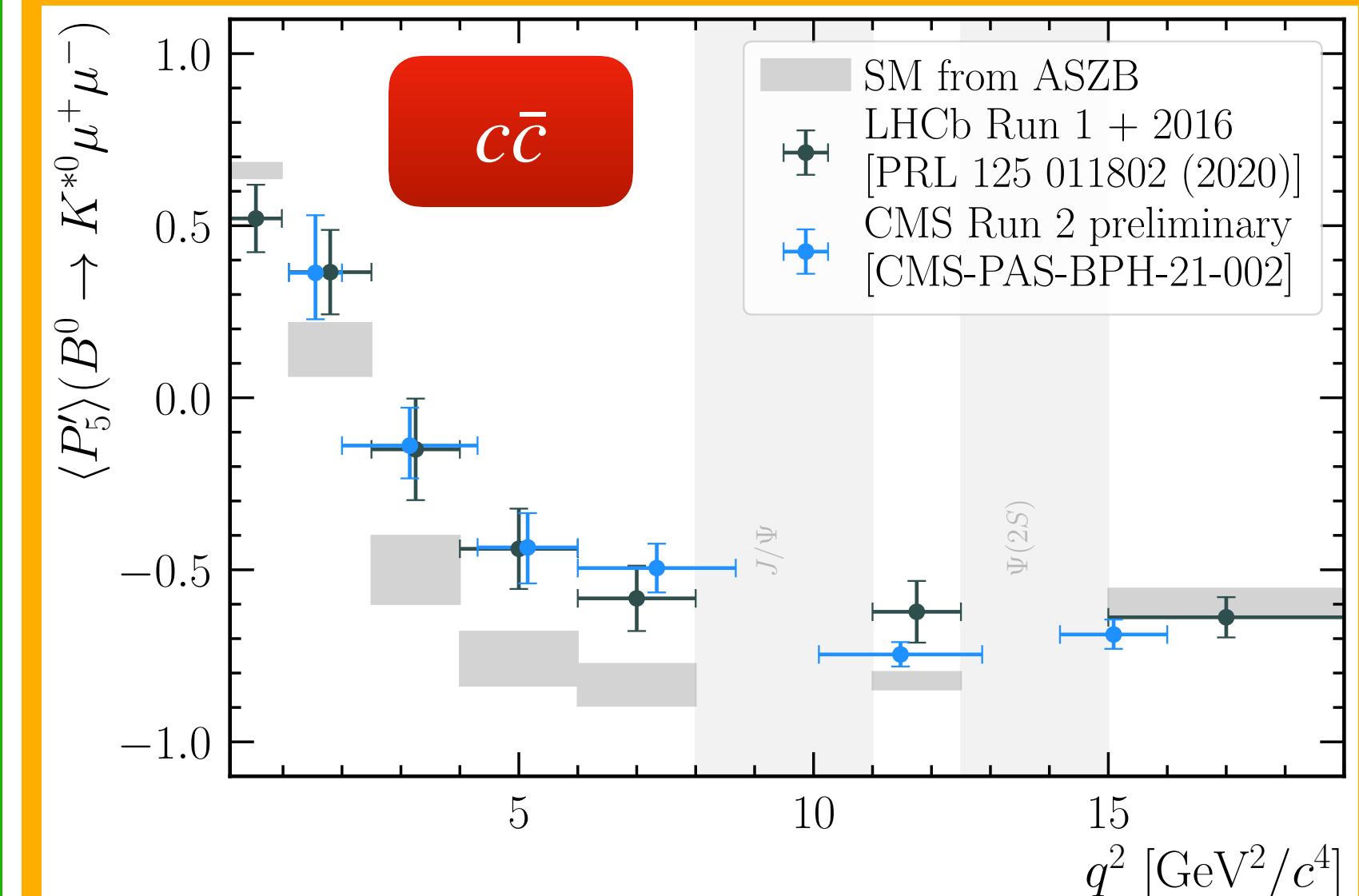
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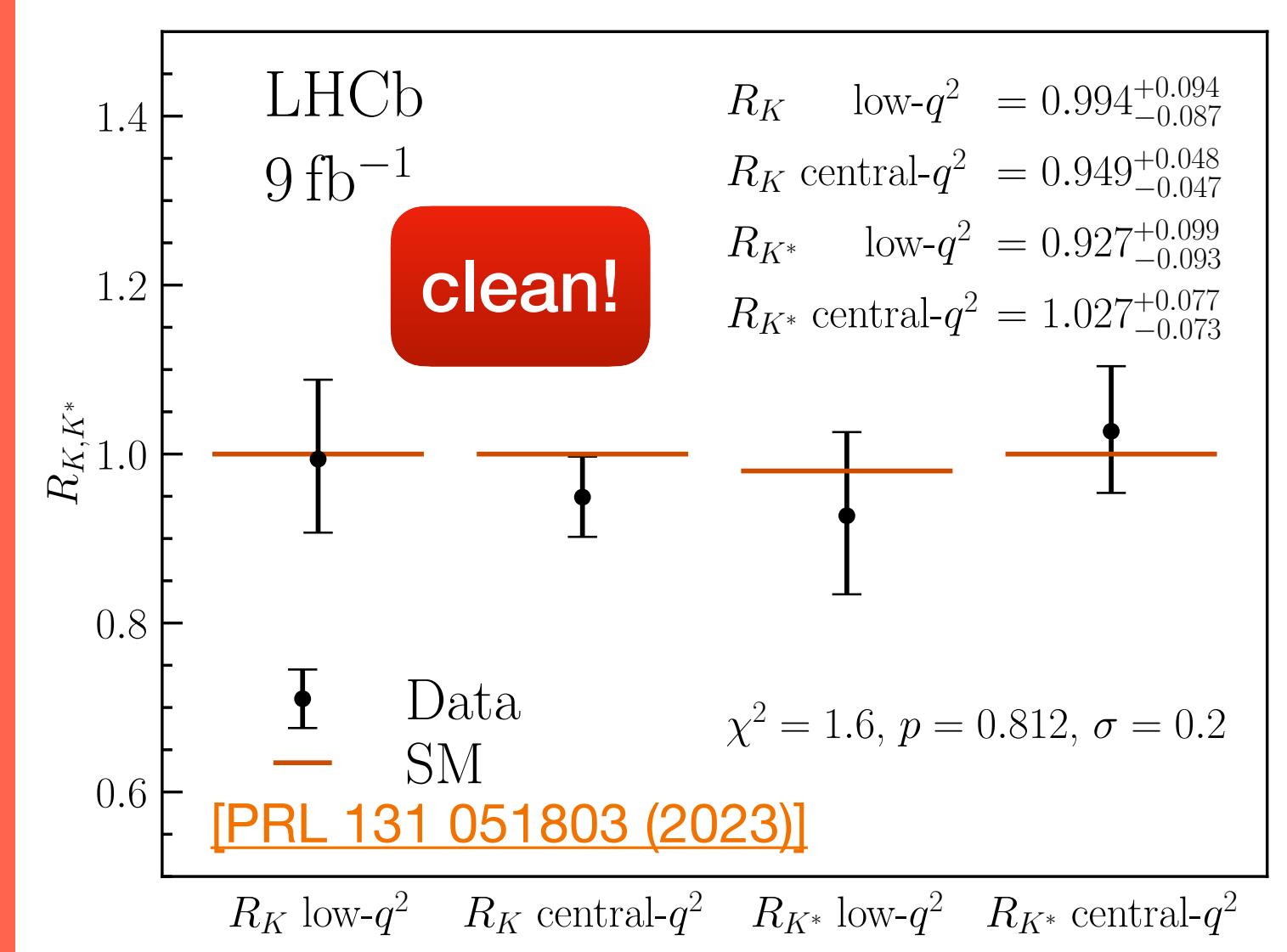
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INTERPRETING POTENTIAL DEVIATIONS

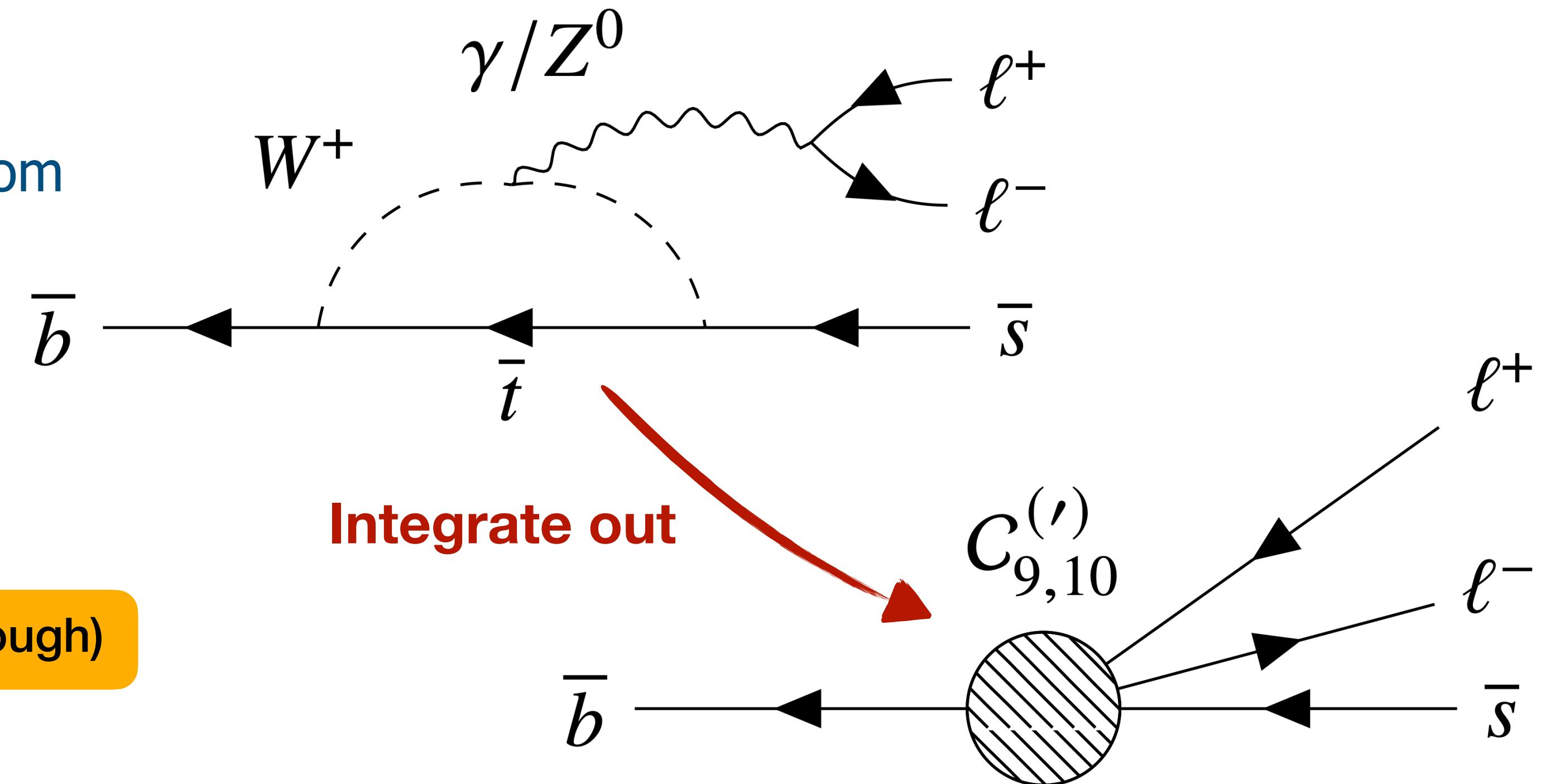
- Description of $b \rightarrow s\ell^+\ell^-$ with **effective field theory**
 - “Integrate out” heavy degrees of freedom
- Set of **effective couplings** C_i which can be computed in the SM
- Flavour universality tests use

$$R_X = \frac{\int_{q_{\min}^2}^{q_{\max}^2} \frac{\mathcal{B}(B \rightarrow X\mu^+\mu^-)}{dq^2} dq^2}{\int_{q_{\min}^2}^{q_{\max}^2} \frac{\mathcal{B}(B \rightarrow Xe^+e^-)}{dq^2} dq^2} \stackrel{\text{SM}}{=} 1 \pm 0.01$$

(If q^2 large enough)

[\[Eur.Phys.J.C 76 \(2016\) 8\]](#)

- Different q^2 -regions allow to **differentiate** between different NP scenarios



$$\mathcal{H}_{\text{eff}} = -\frac{G_F}{\sqrt{2}} V_{tb} V_{ts}^* \sum_i (C_i^{\text{SM}} + C_i^{\text{NP}}) \mathcal{O}_i$$

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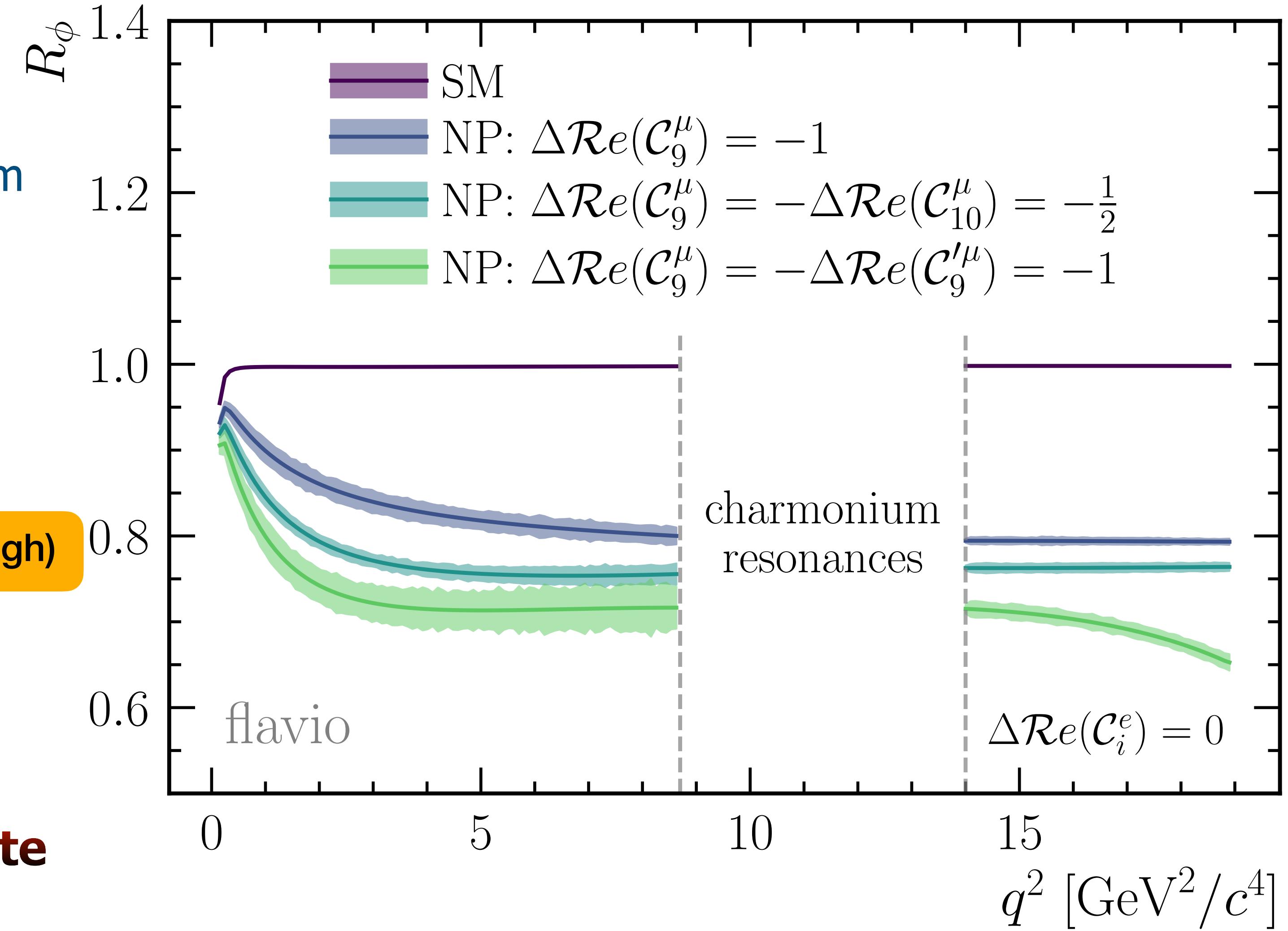
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[Eur.Phys.J.C 76 (2016) 8]

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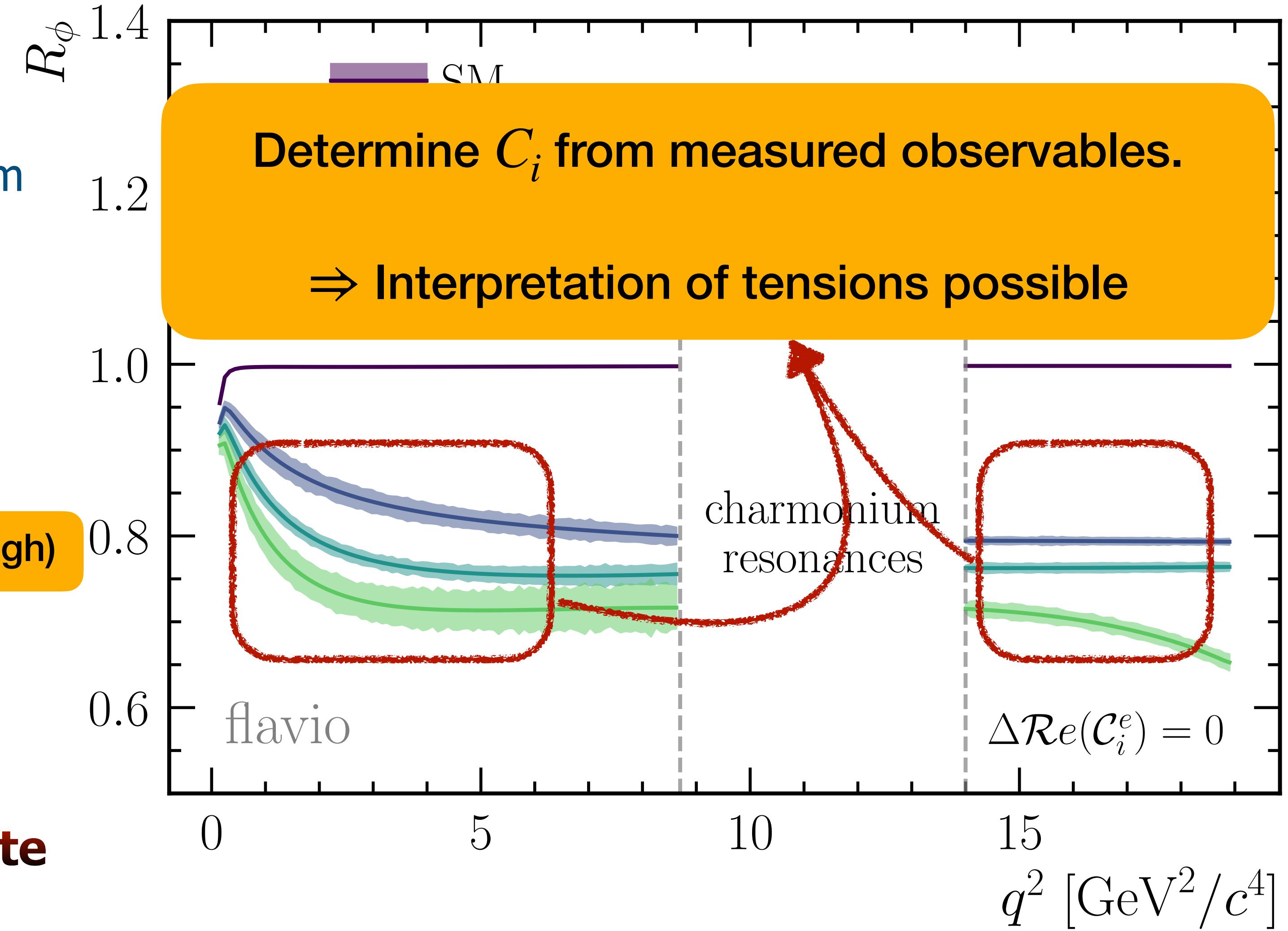
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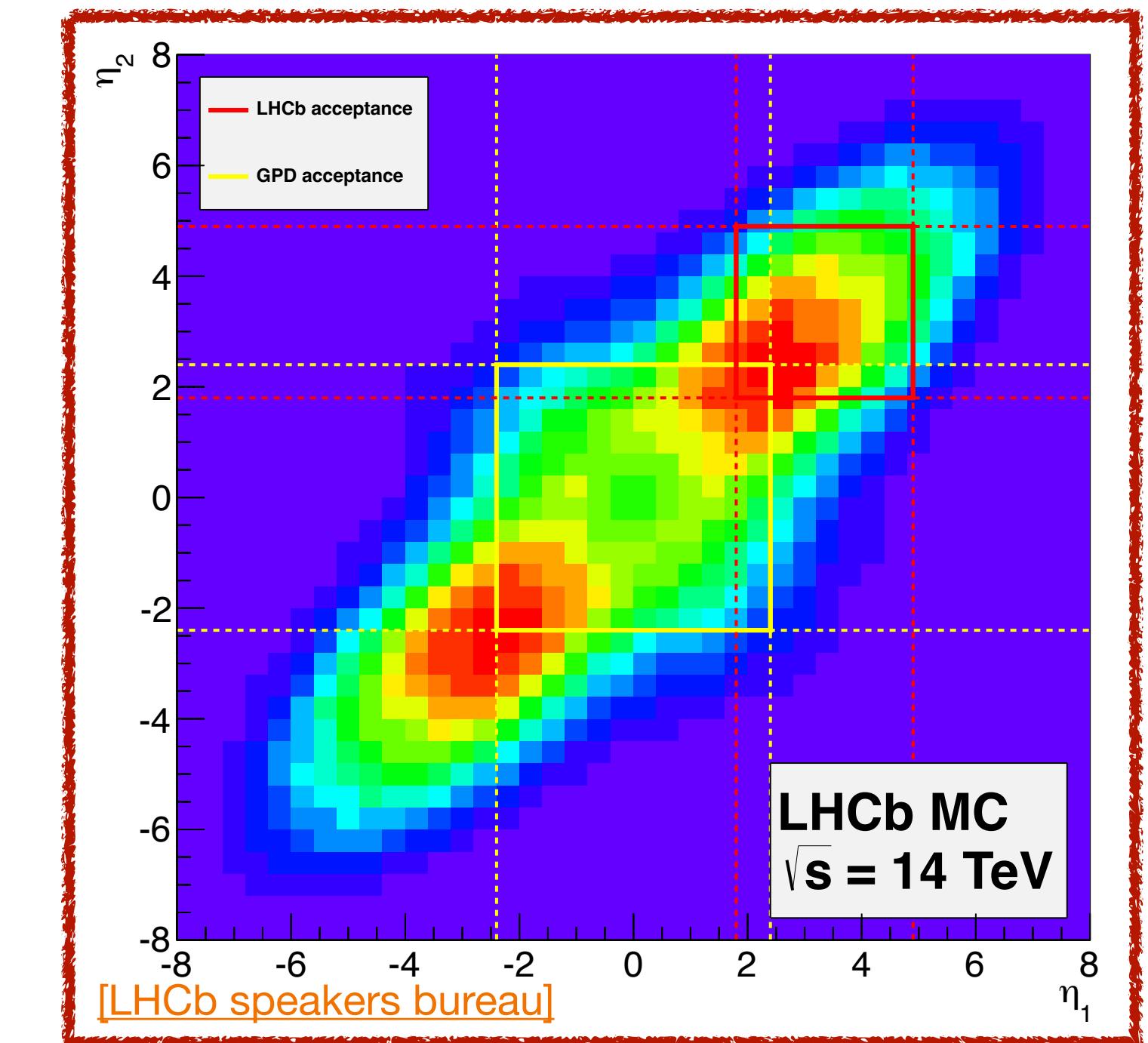
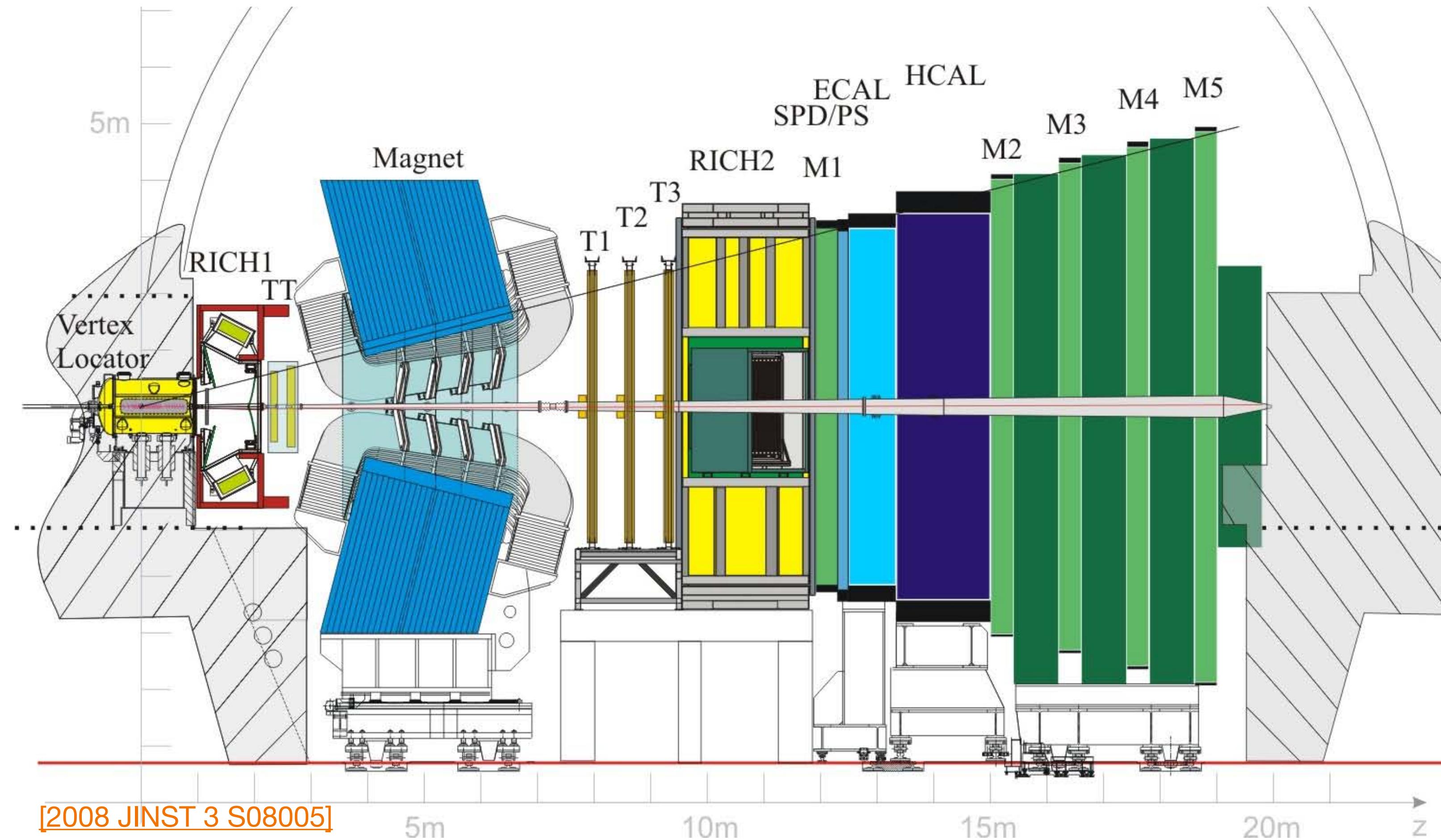
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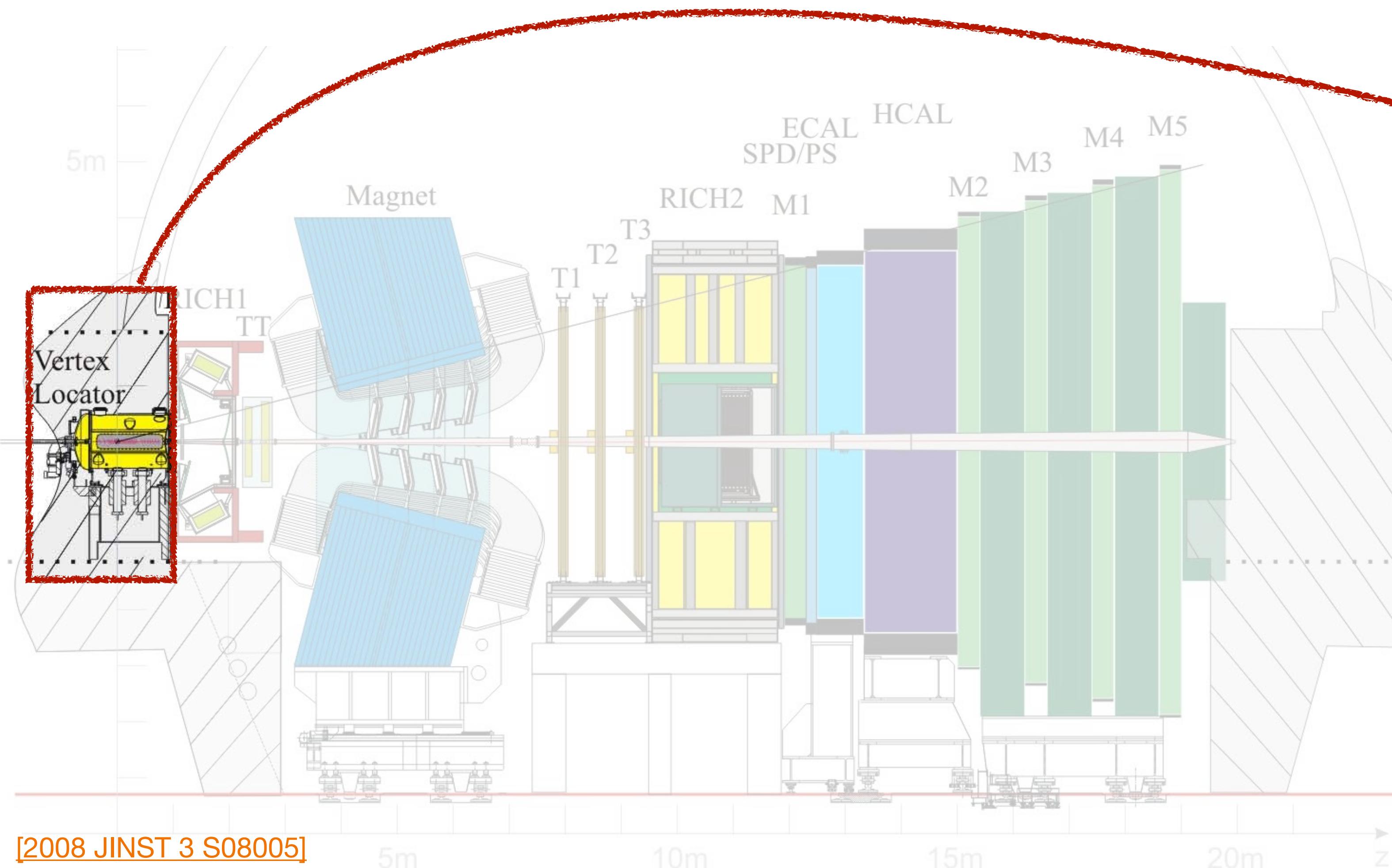


LHCb DETECTOR IN RUN 1 AND 2



- Single arm **forward spectrometer**
- Optimised for heavy **flavour physics**
- Coverage: $2 < \eta < 5$

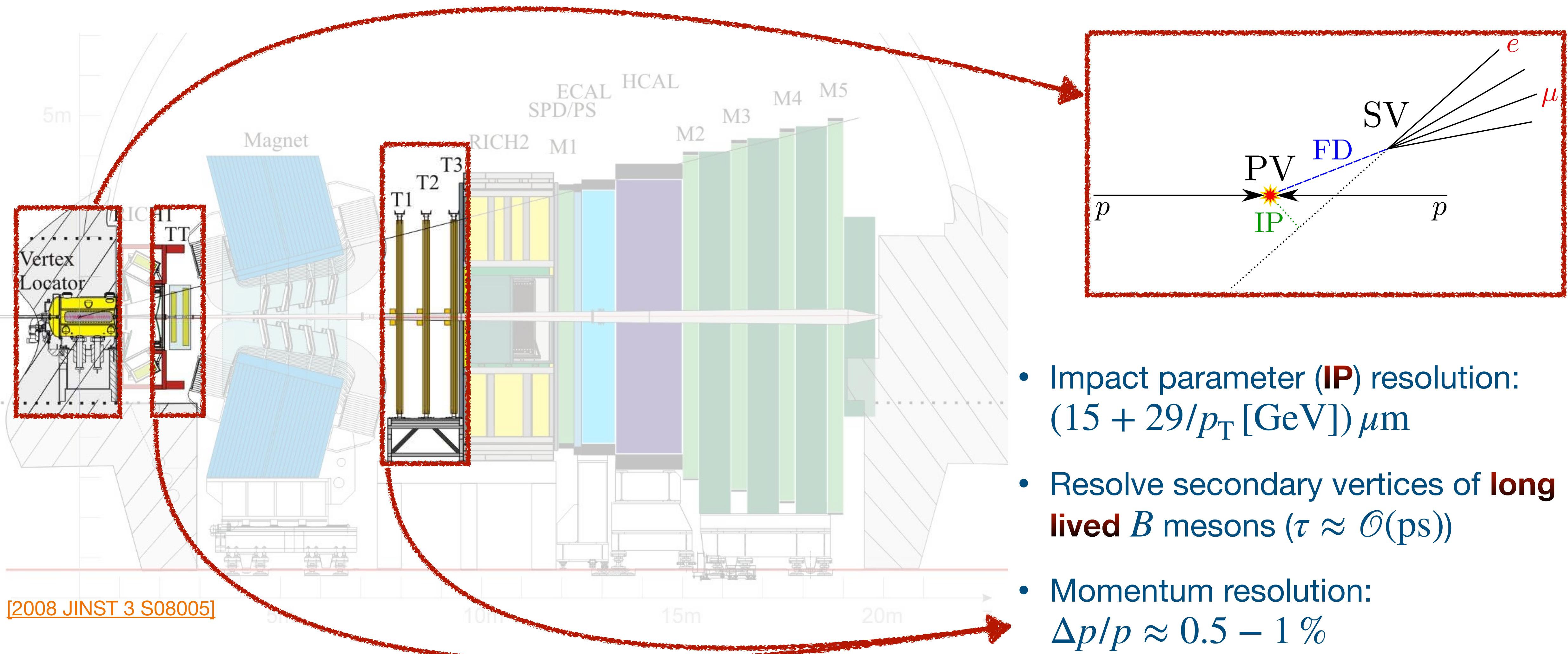
LHCb DETECTOR IN RUN 1 AND 2



- Impact parameter (**IP**) resolution:
 $(15 + 29/p_T \text{ [GeV]}) \mu\text{m}$
- Resolve secondary vertices of **long lived** B mesons ($\tau \approx \mathcal{O}(\text{ps})$)

[2008 JINST 3 S08005]

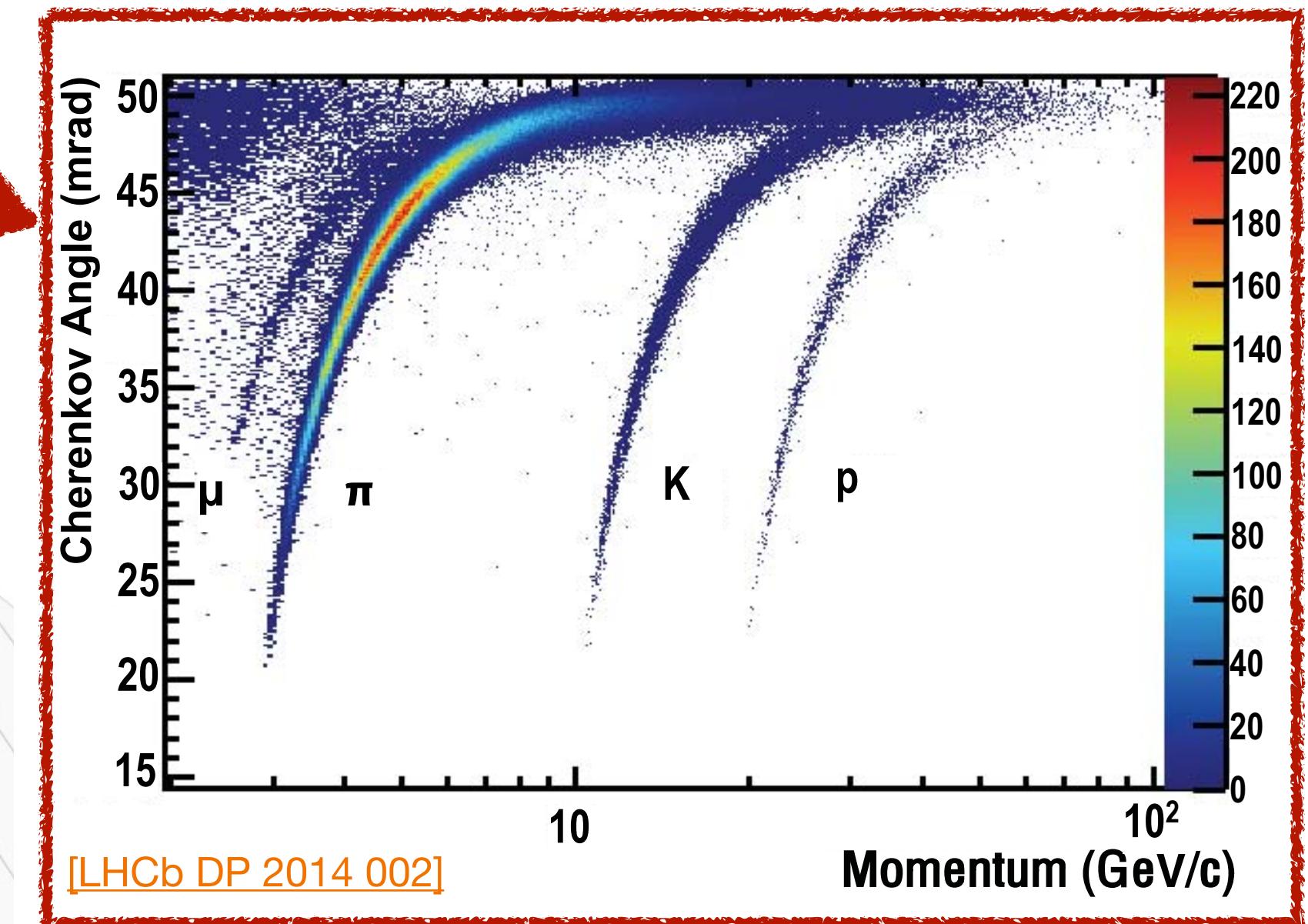
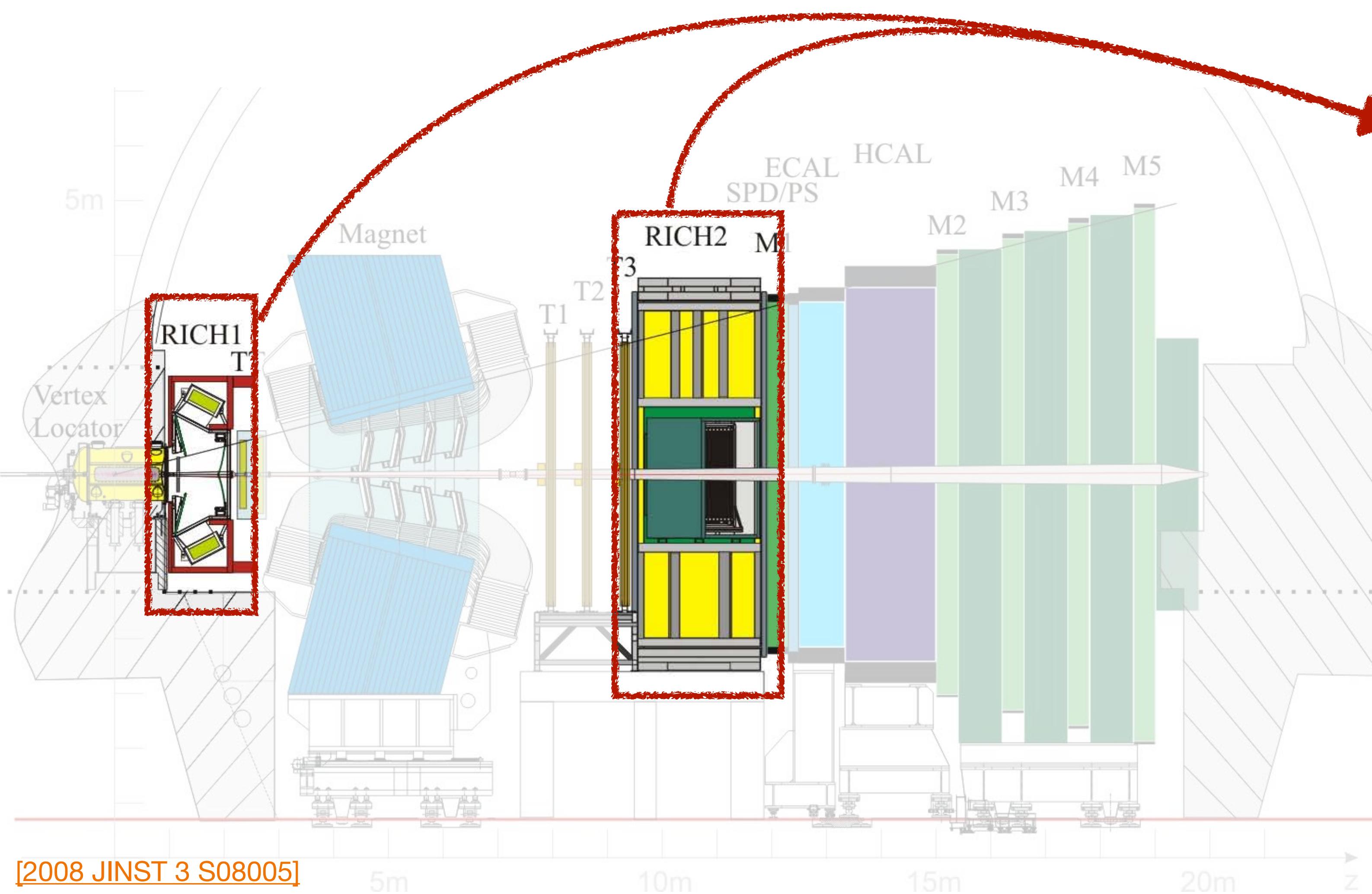
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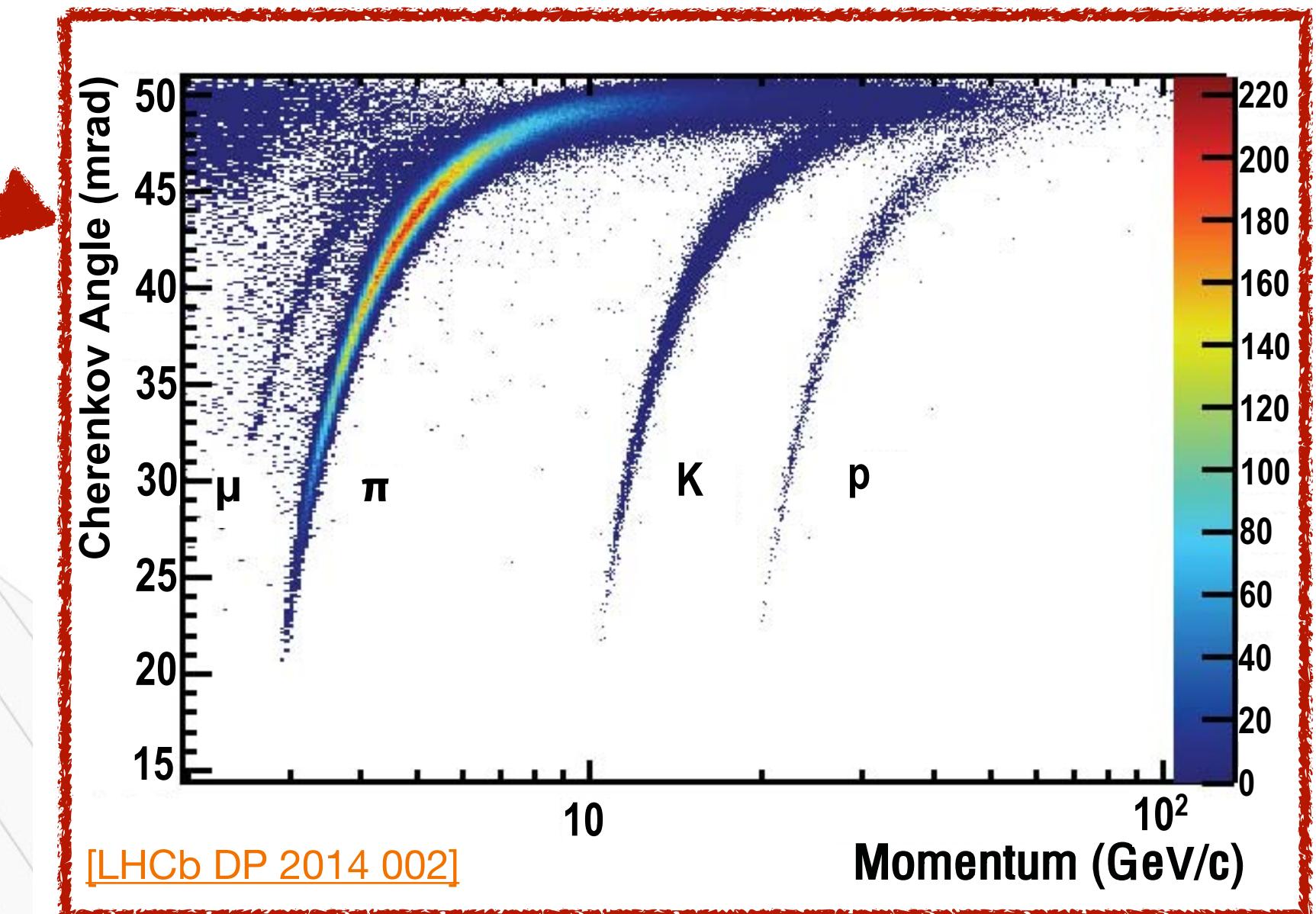
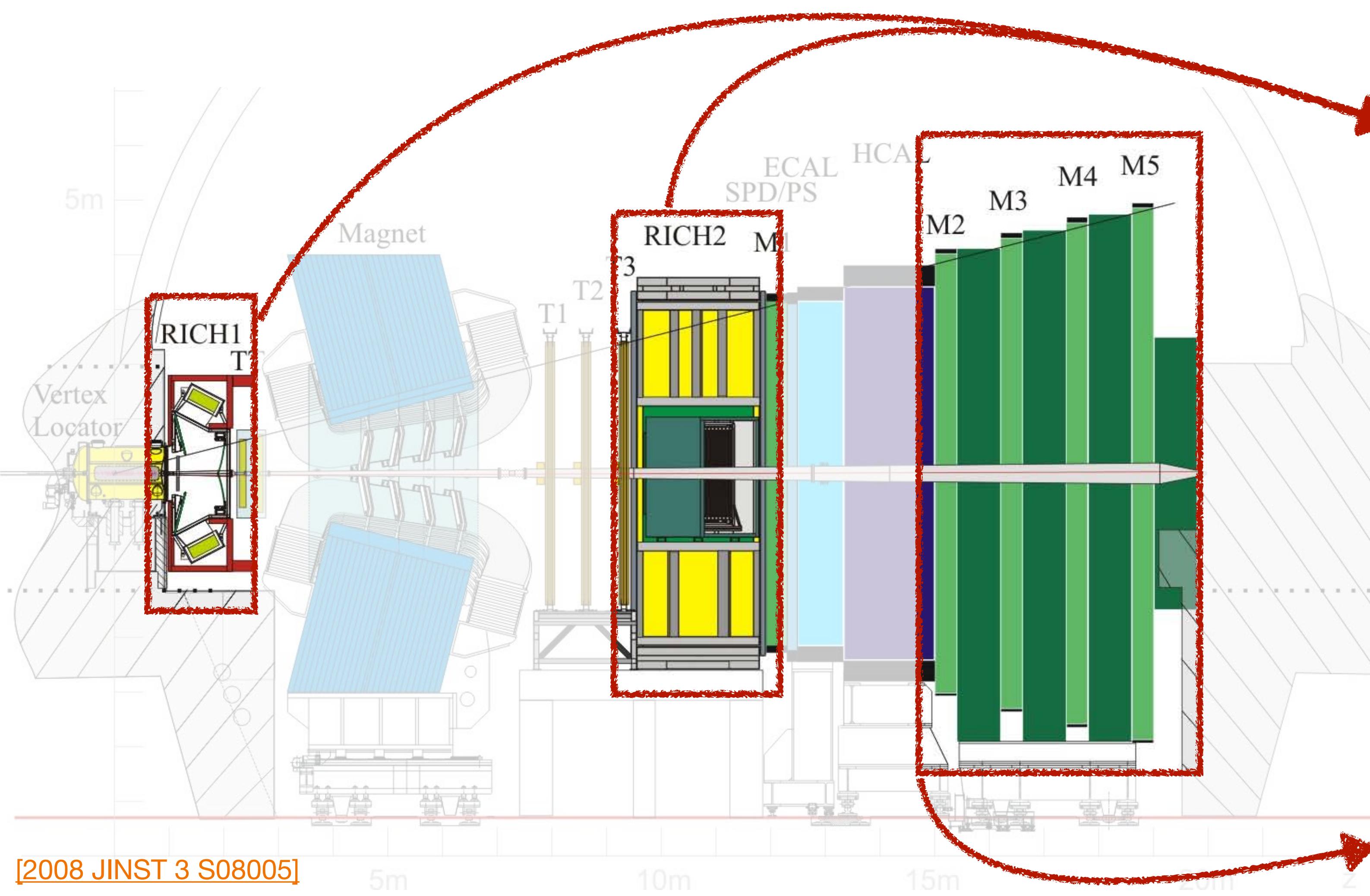
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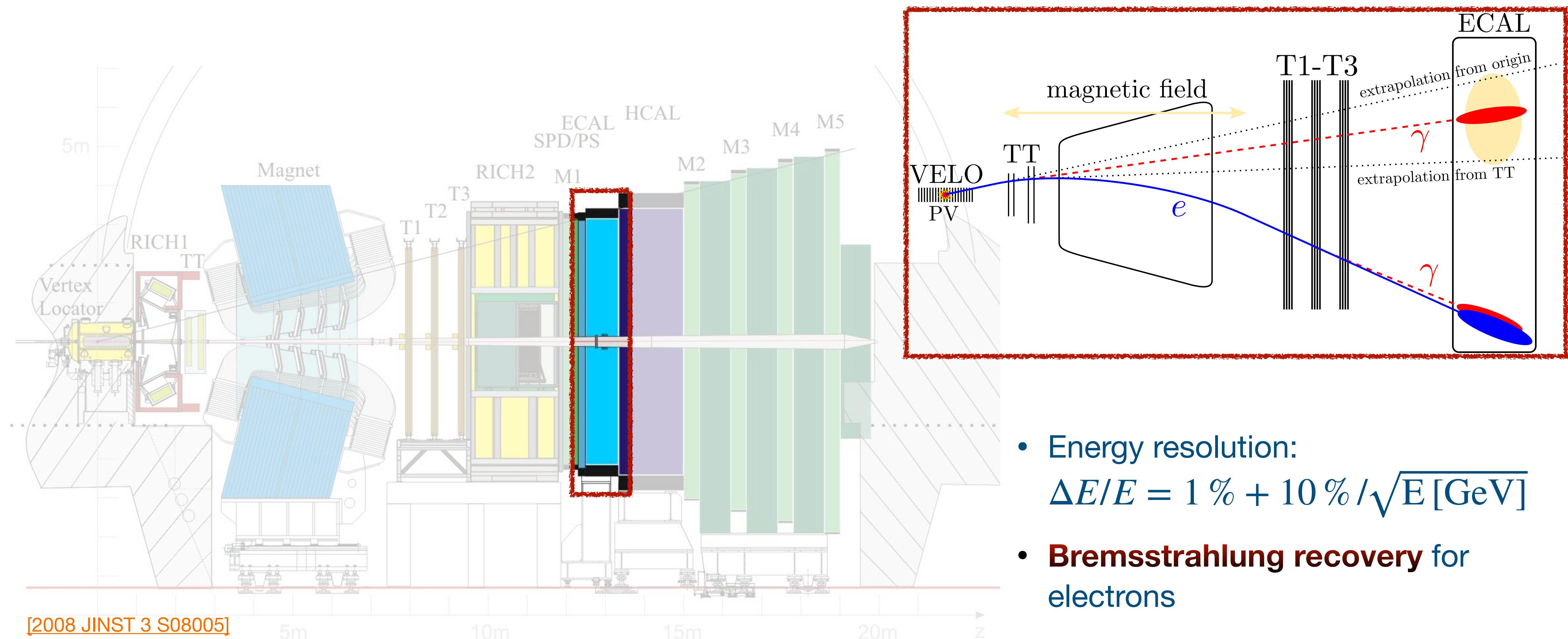
- **Excellent particle identification:**
 - $\mu : 97\% (\pi \rightarrow \mu : 1 - 3\%)$
 - $K : 95\% (\pi \rightarrow K : 5\%)$
 - $e : 90\% (h \rightarrow e : 5\%)$
- Combines info from **all** detectors, e.g. muon chambers

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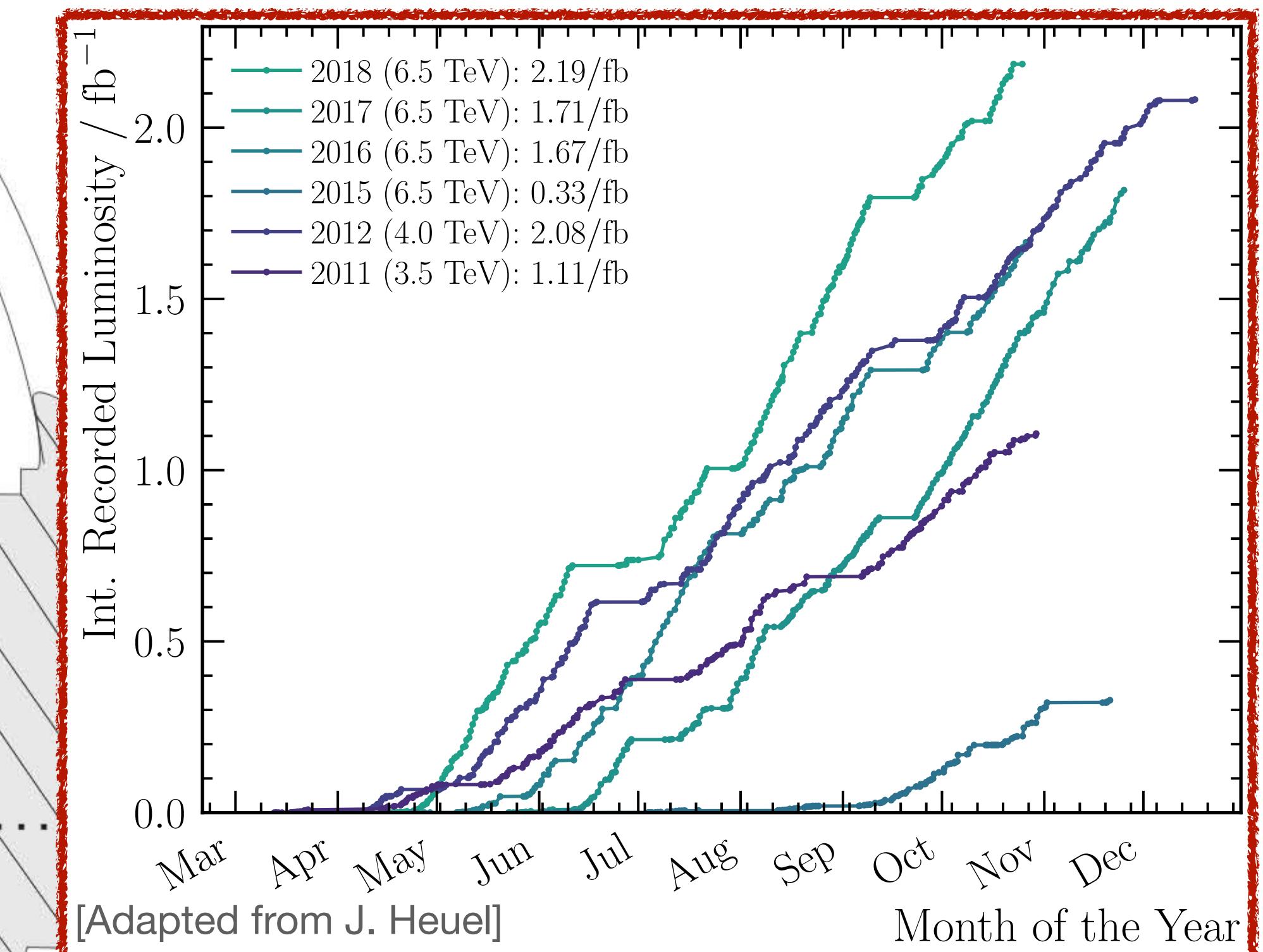
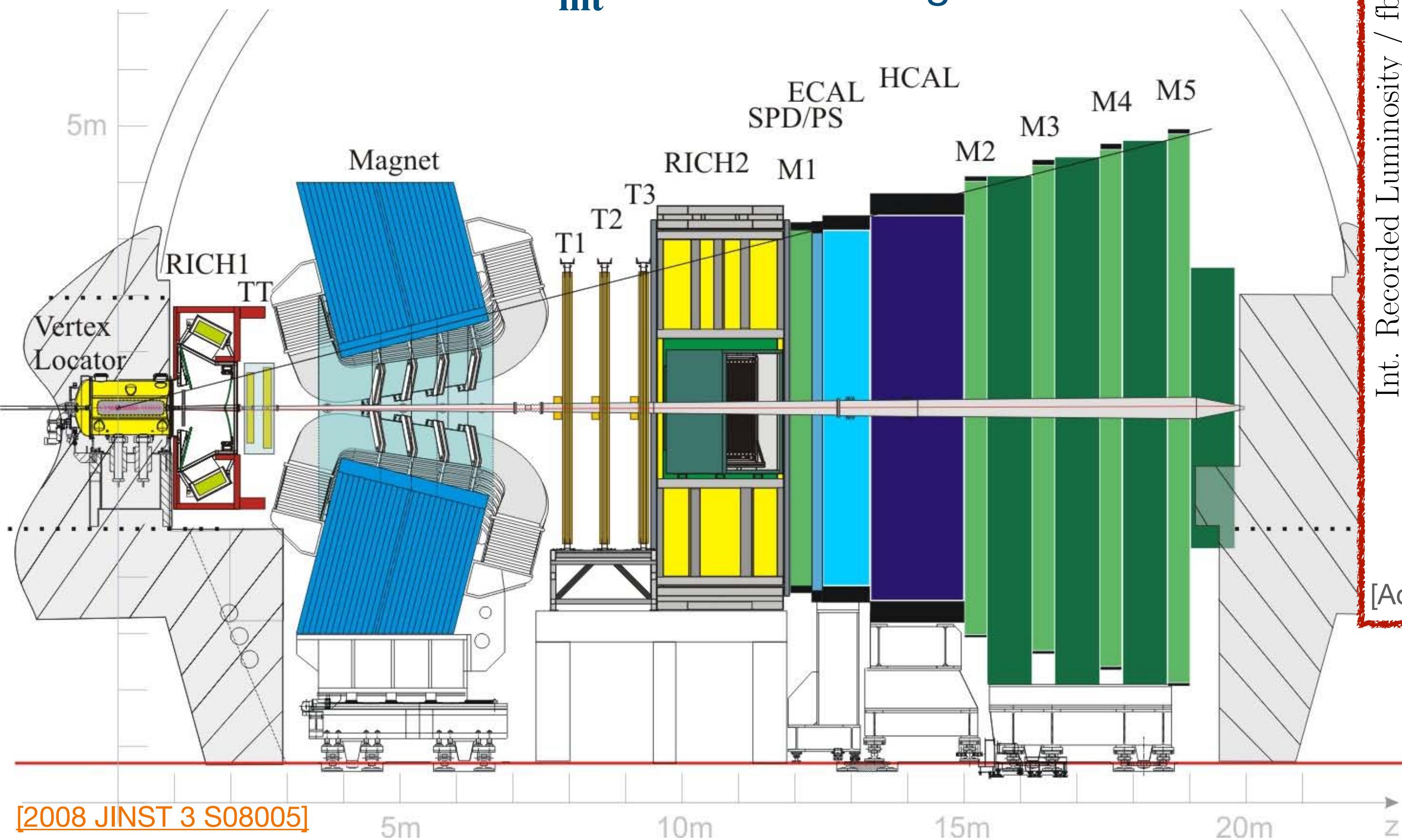
LHCb DETECTOR IN RUN 1 AND 2



- Energy resolution:
$$\Delta E/E = 1 \% + 10 \% / \sqrt{E [\text{GeV}]}$$
- Bremsstrahlung recovery for electrons

LHCb DETECTOR IN RUN 1 AND 2

- Collected a total of $\mathcal{L}_{\text{int}} = 9 \text{ fb}^{-1}$ during Run 1 and 2



- Only possible due to the great work of the people operating LHC and LHCb!

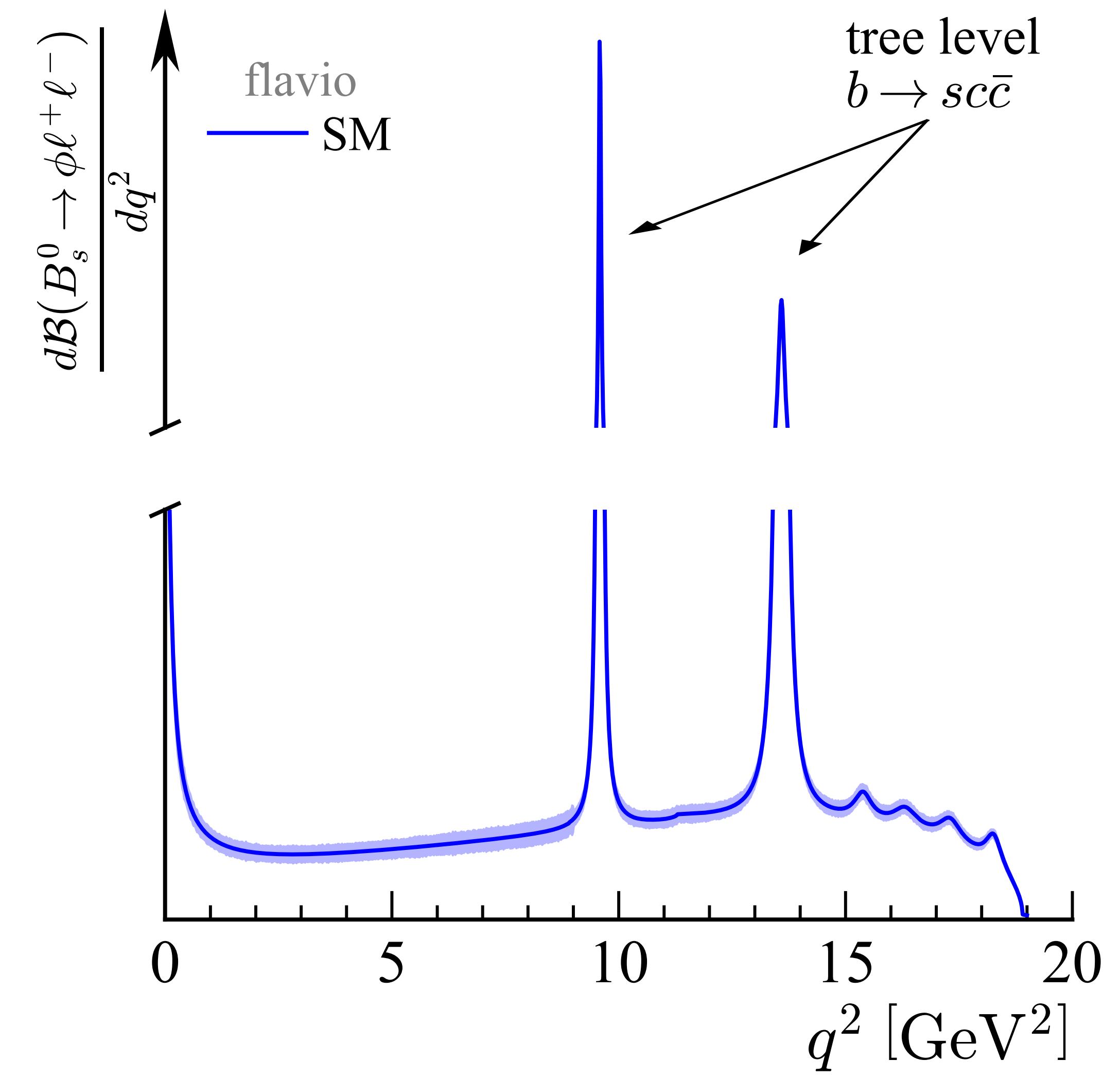
ANALYSIS STRATEGY

- Measure R_ϕ depending on $q^2 = m(\ell^+\ell^-)^2$
 - low- q^2 : $0.1 < q^2 < 1.1 \text{ GeV}^2/c^4$
 - central- q^2 : $1.1 < q^2 < 6.0 \text{ GeV}^2/c^4$
 - high- q^2 : $15 < q^2 < 19 \text{ GeV}^2/c^4 \Leftarrow \text{First time!}$

- Measure R_ϕ^{-1} as **blind double ratio**:

$$R_\phi^{-1} = \frac{\mathcal{B}(B_s^0 \rightarrow \phi e^+ e^-)}{\mathcal{B}(B_s^0 \rightarrow \phi \mu^+ \mu^-)} \Bigg/ \frac{\mathcal{B}(B_s^0 \rightarrow \phi J/\psi(e^+ e^-))}{\mathcal{B}(B_s^0 \rightarrow \phi J/\psi(\mu^+ \mu^-))}$$

- Most efficiency-related **systematic** uncertainties **cancel in double ratio**



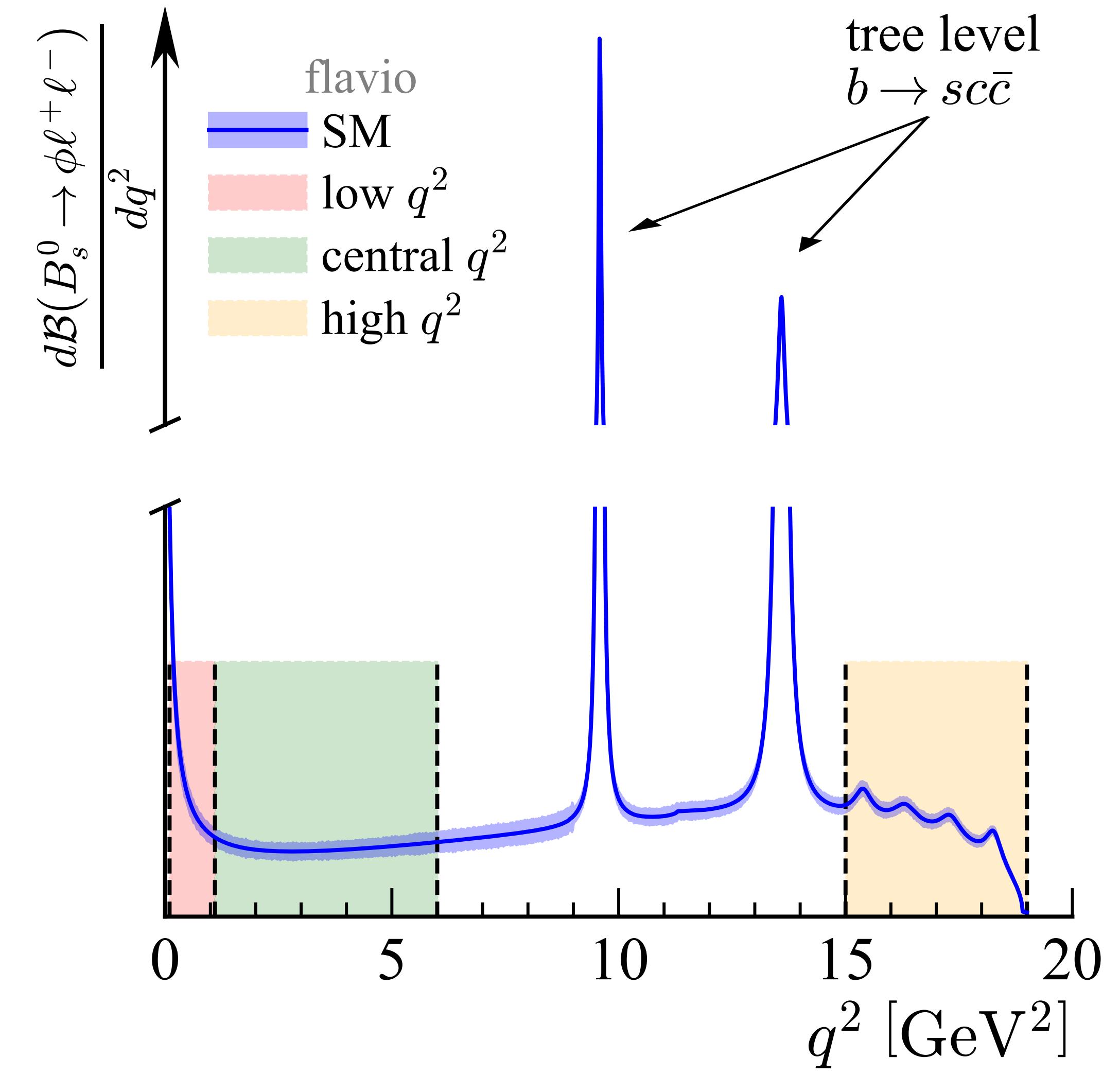
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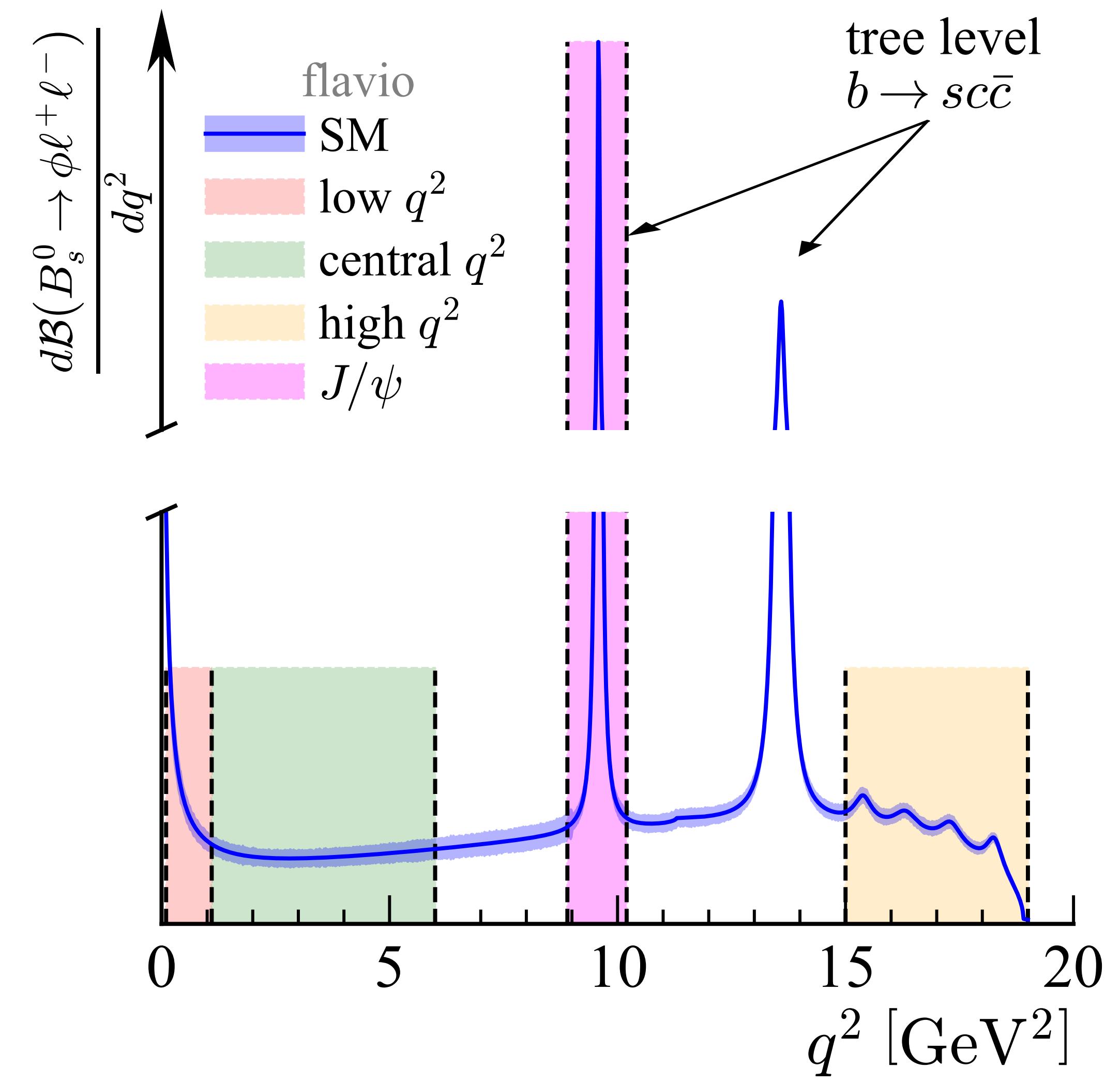
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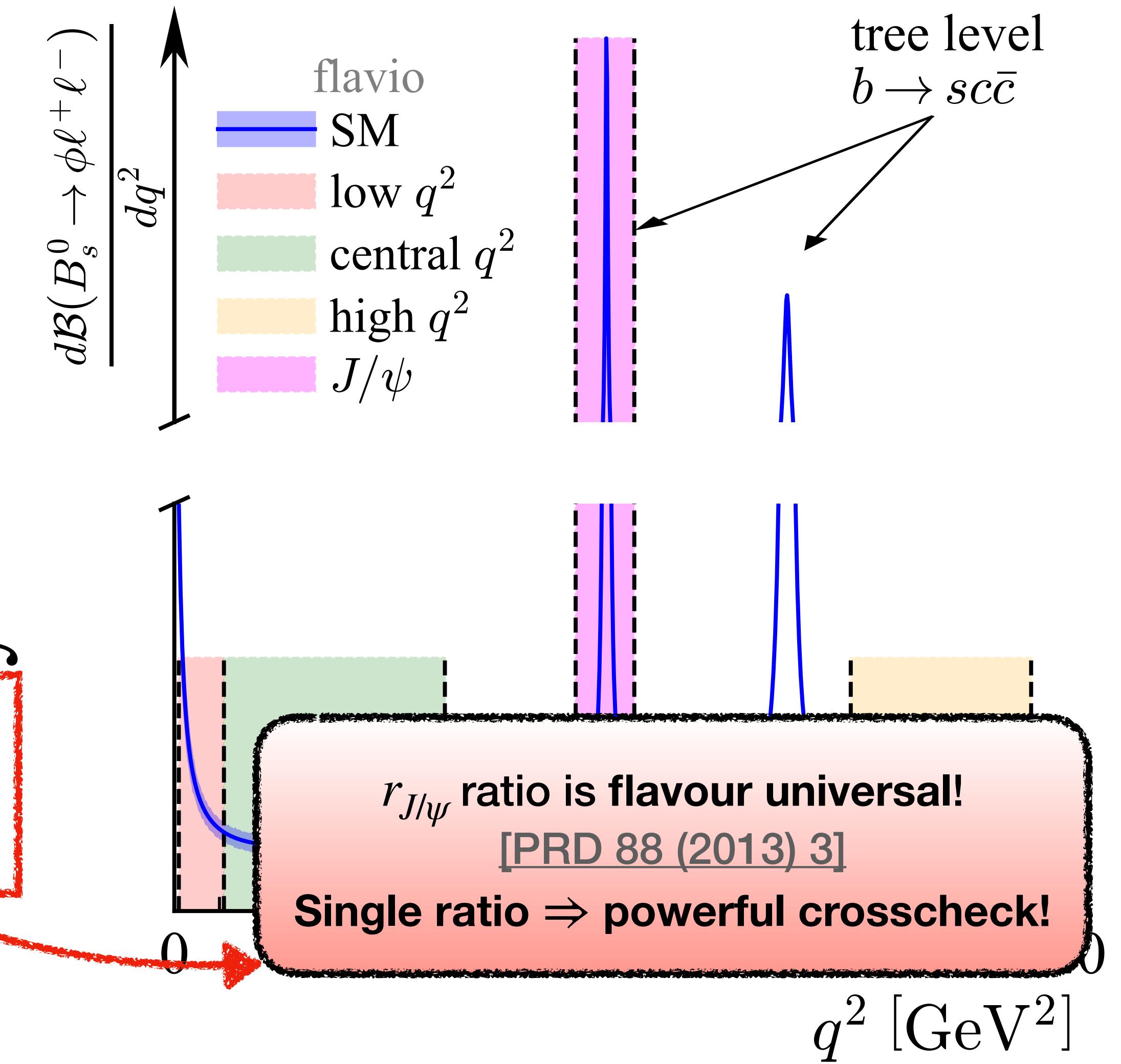
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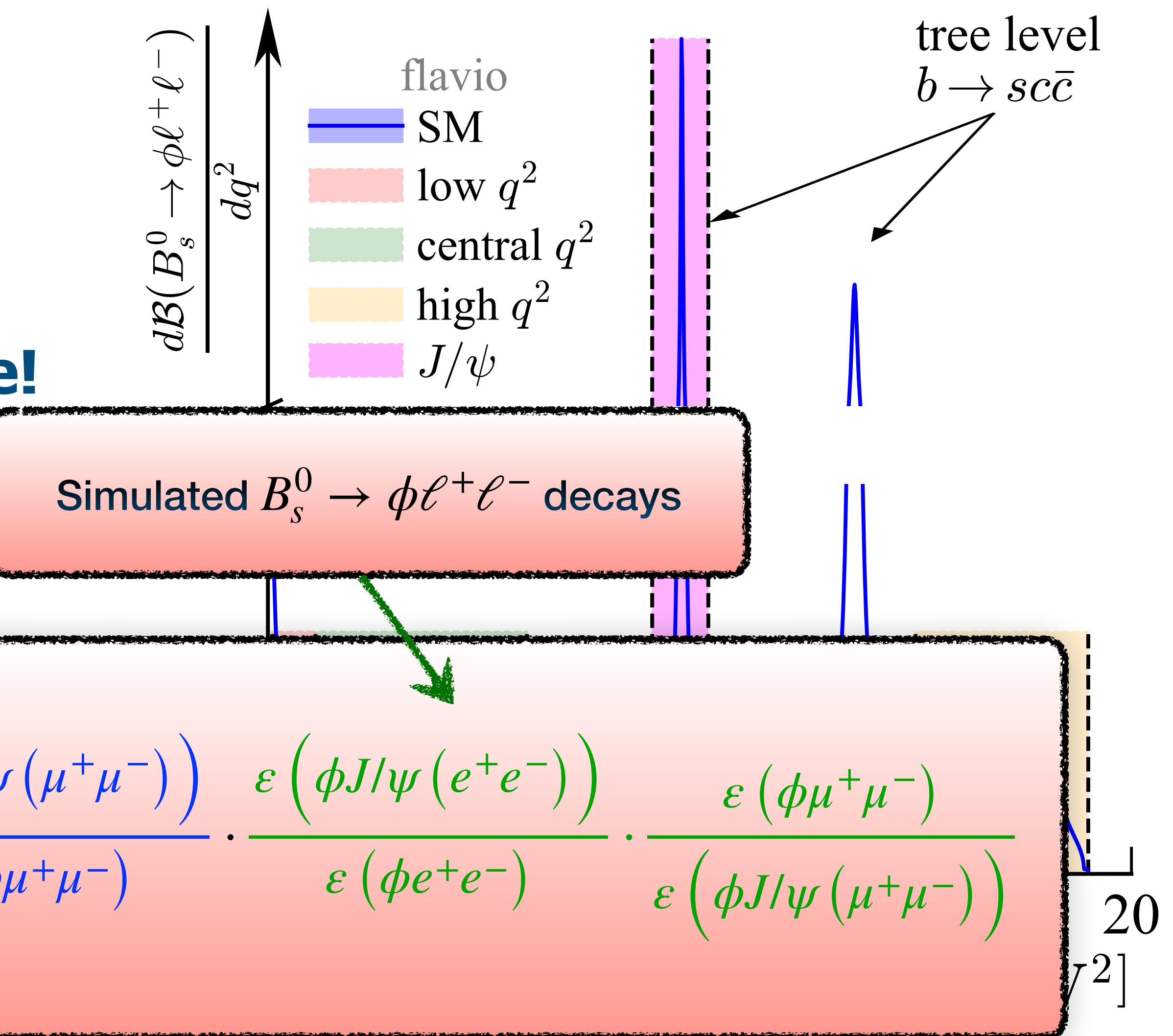


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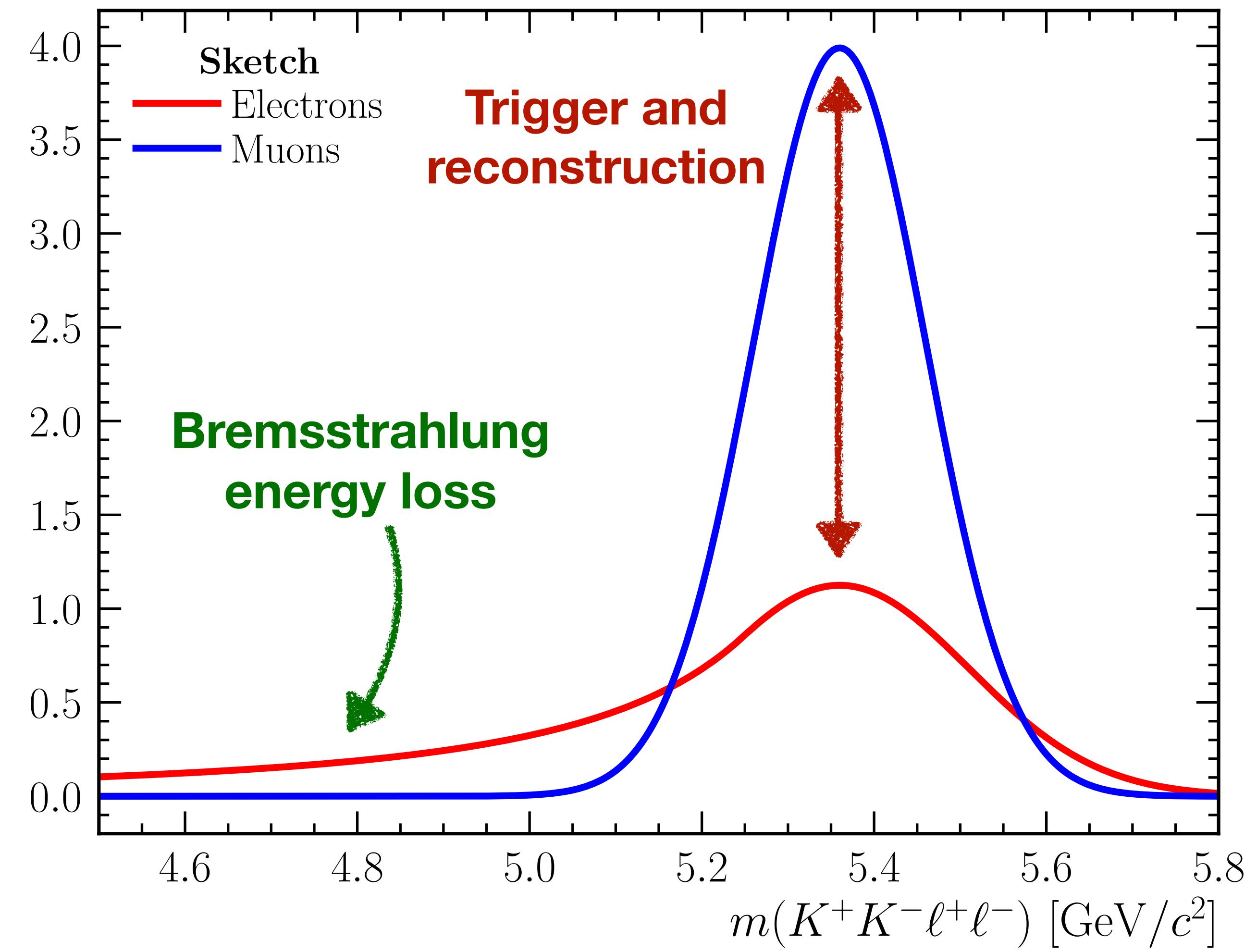
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Extended maximum likelihood fits
(blind!)



EXPERIMENTAL CHALLENGES WITH ELECTRONS

- Electron PID more challenging
 - Background control crucial
- Smaller hardware **trigger efficiency**
 - Higher thresholds compared to muons
- Electrons emit more **bremsstrahlung**
 - Main source of energy loss for e^+e^-



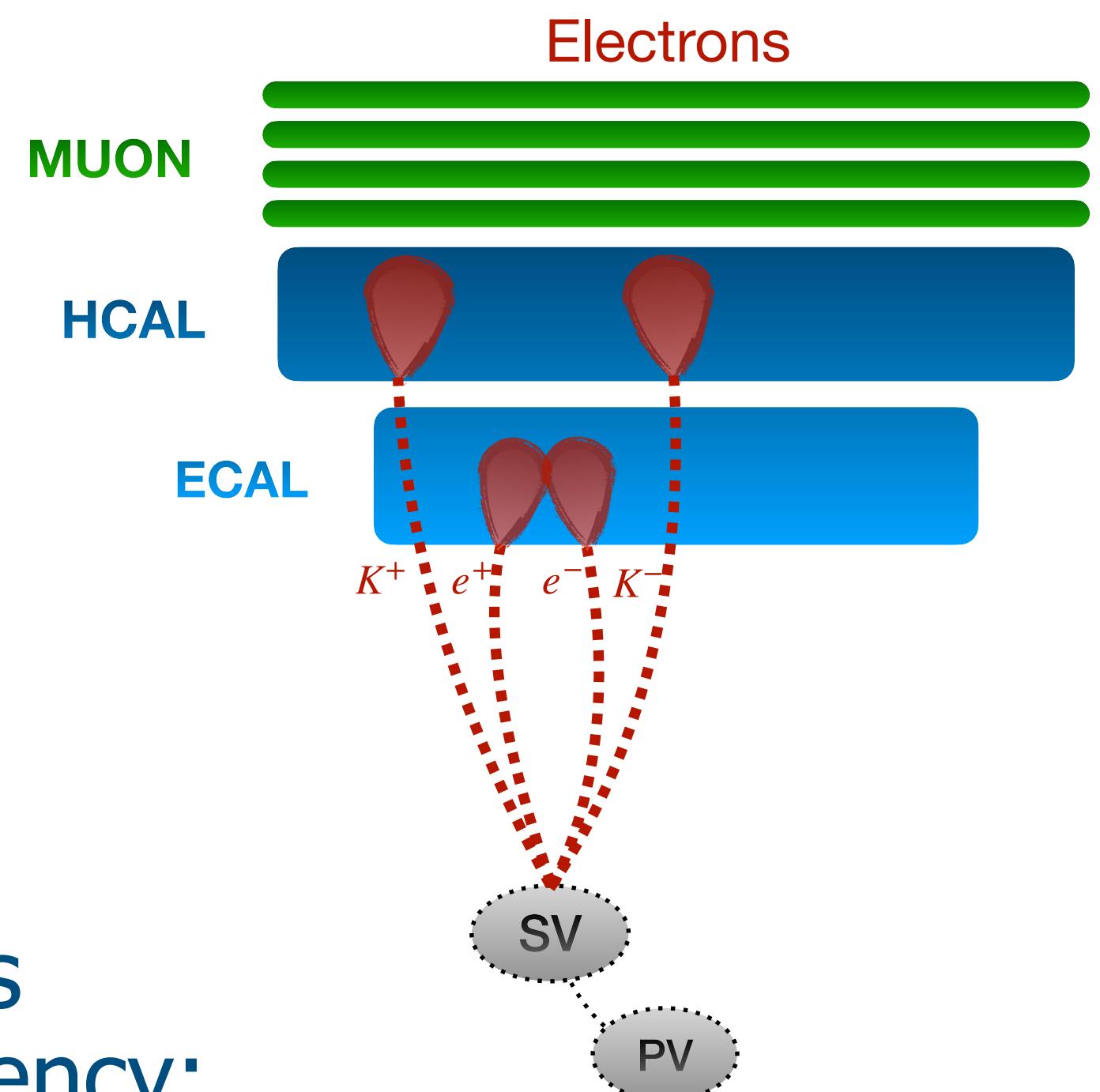
TRIGGERING ON ELECTRONS

- Thresholds **lower for muons** compared to electrons

- Muons: $p_T > 1.5 - 1.8 \text{ GeV}/c$
- Electrons: $E_T > 2.5 - 3.0 \text{ GeV}$

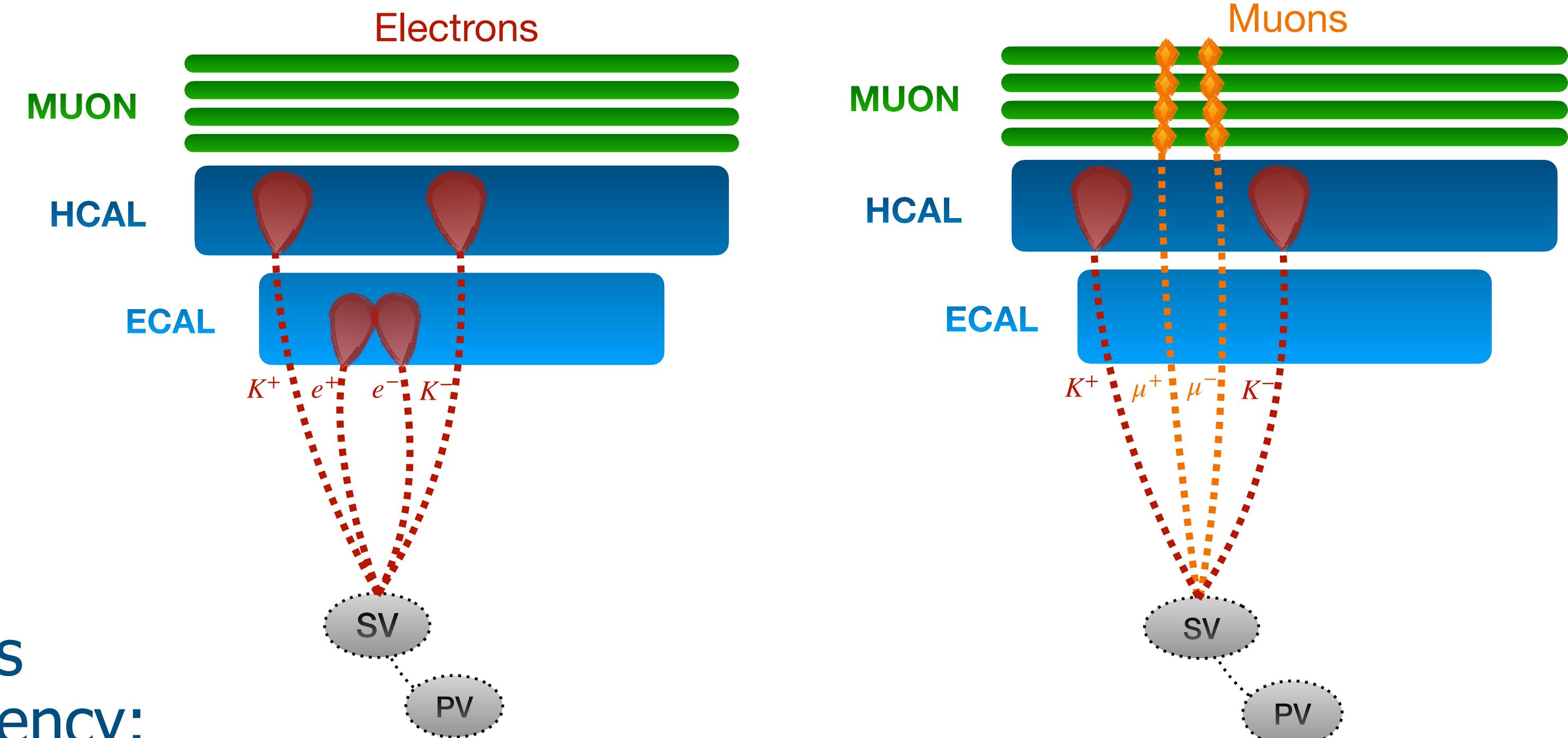
- Combine different trigger categories to **maximise** electron trigger efficiency:

1. Trigger independent of signal ("TIS")
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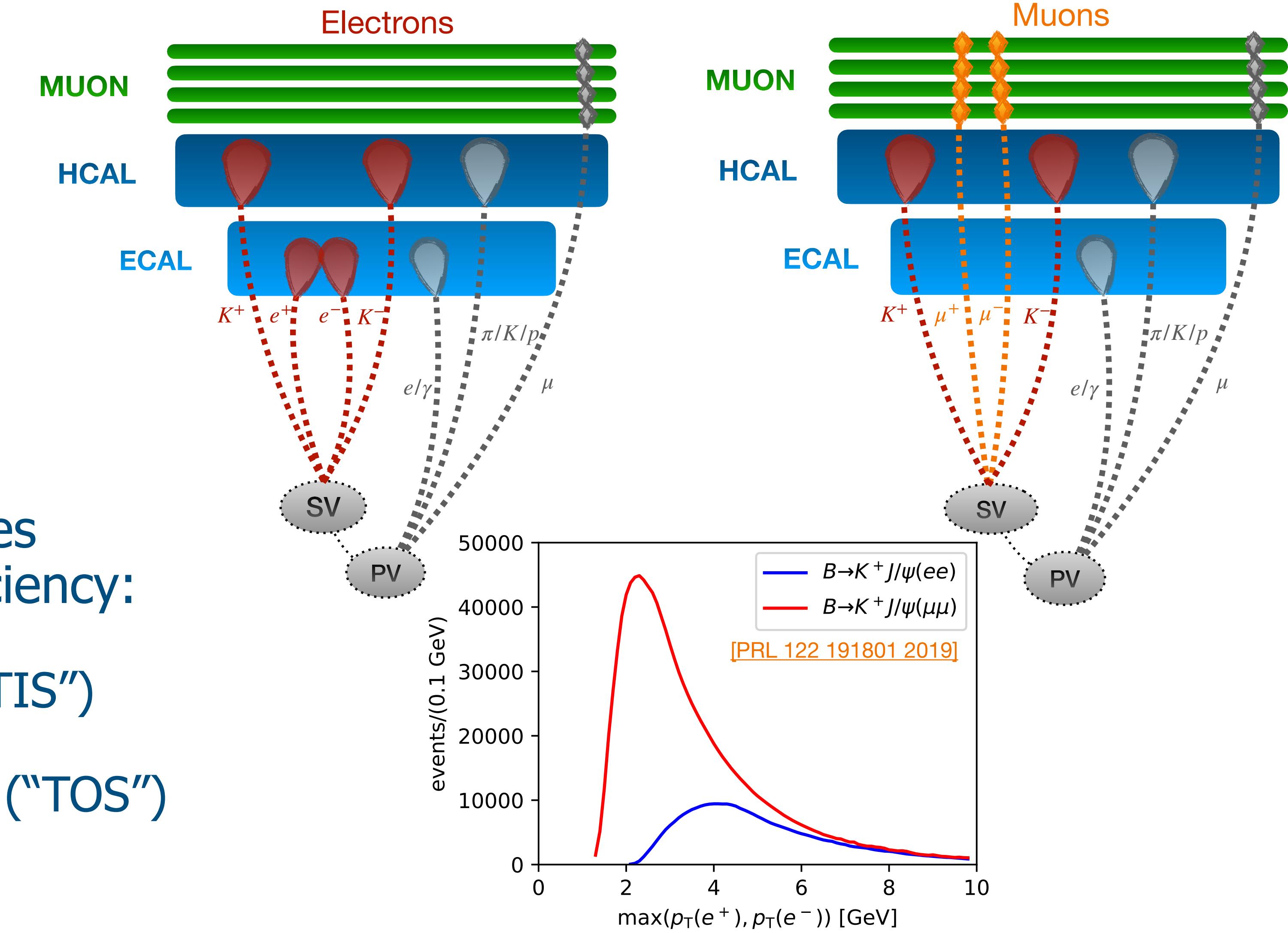
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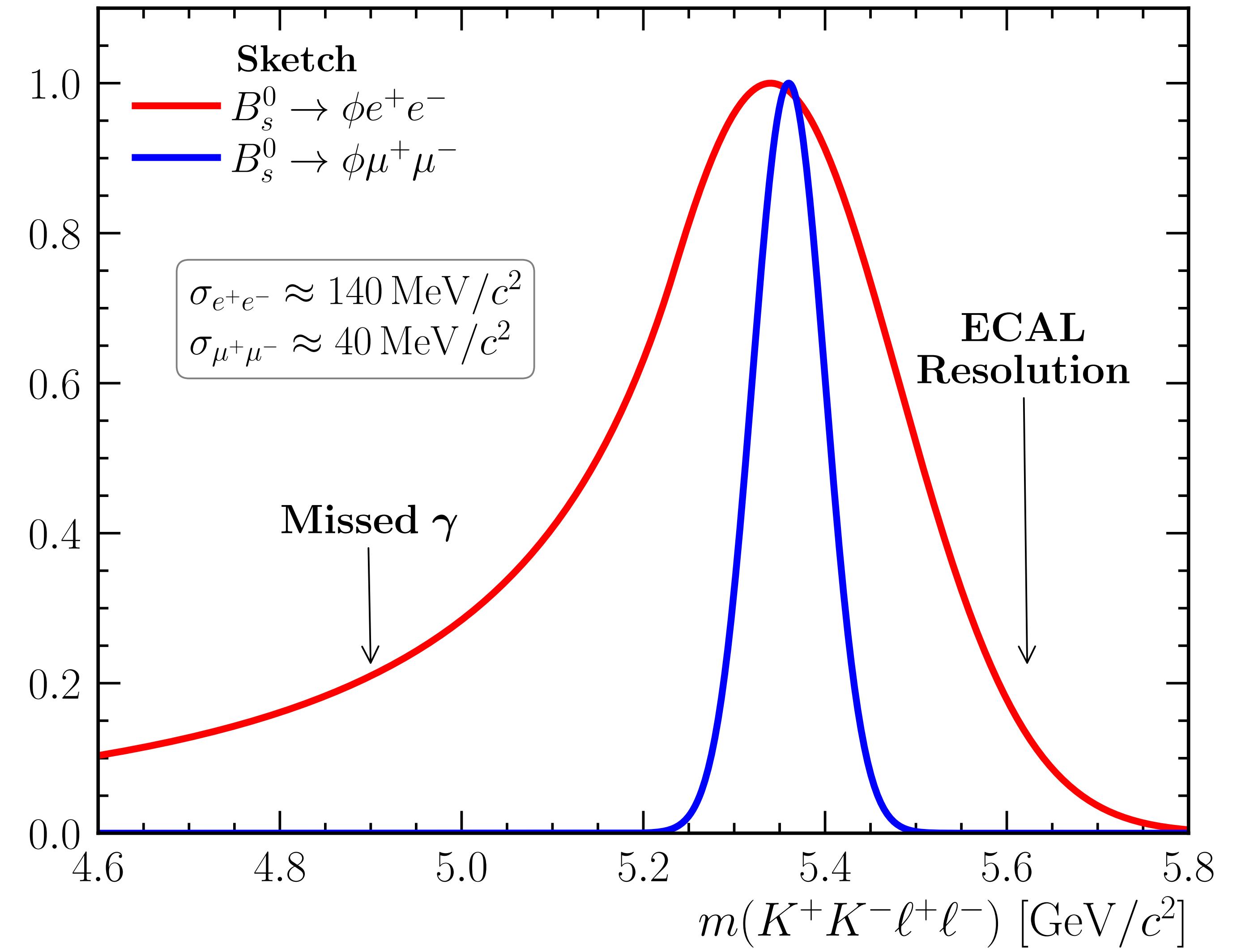
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BREMSSTRAHLUNG

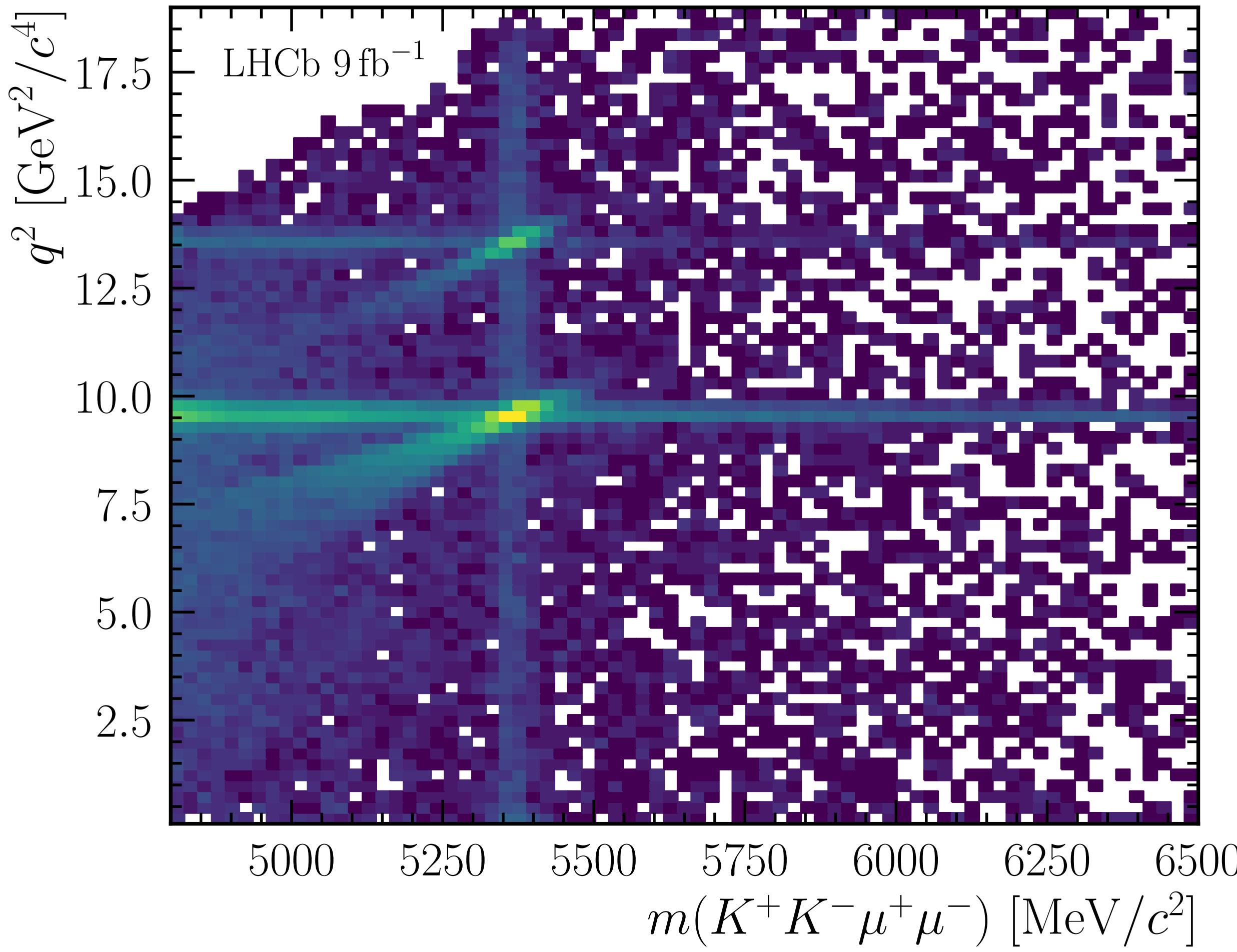
- Expect **on average one bremsstrahlung** photon emitted upstream of the magnet
- Corrected using **bremsstrahlung recovery**
 - $\mathcal{O}(50\%)$ efficient and **well modelled** in simulation
- Momentum resolution deteriorated
 - Wider fit range required
 - Higher background pollution



EFFECTS OF BREMSSTRAHLUNG

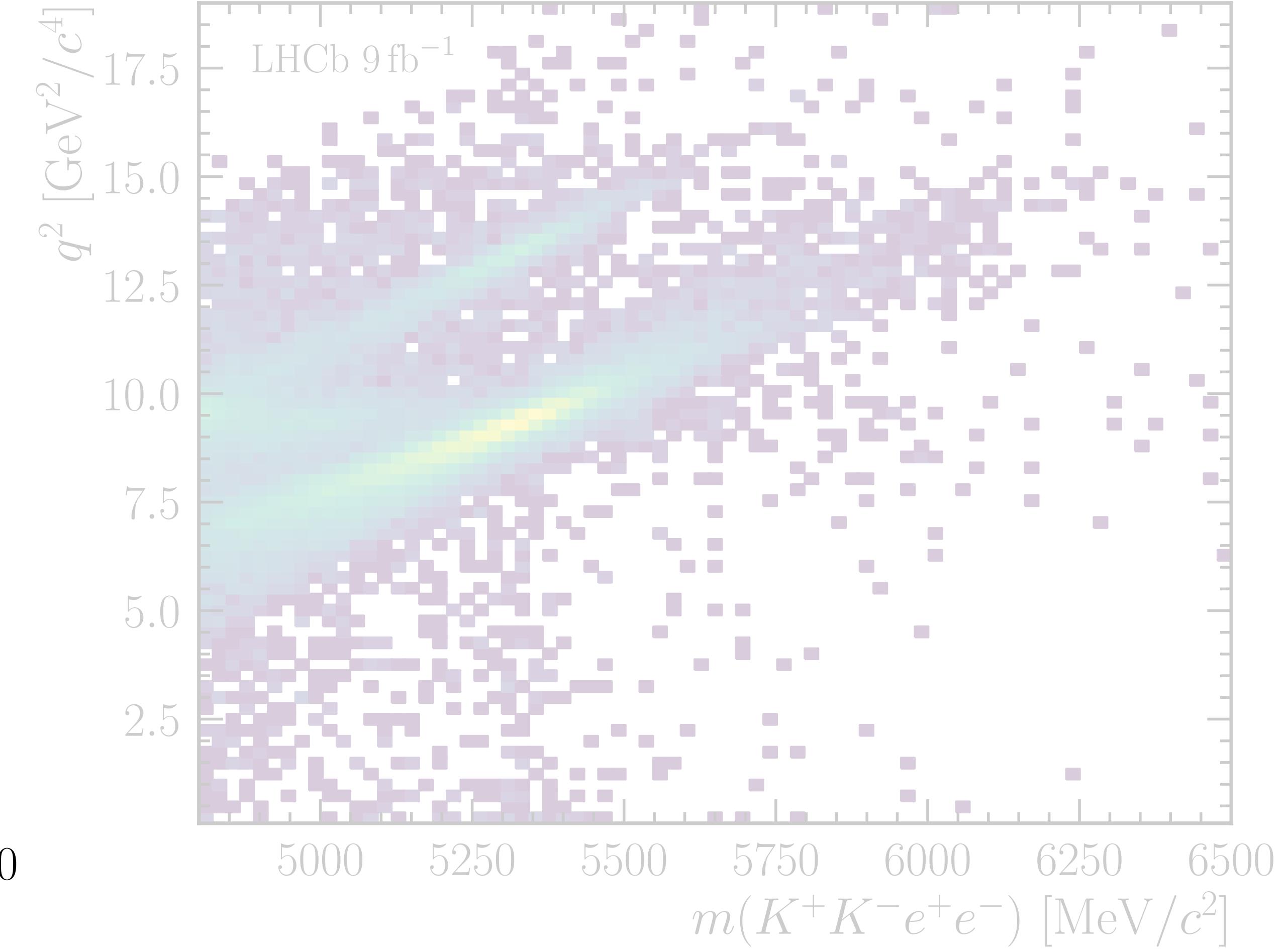
[LHCb-PAPER-2024-032]

preliminary



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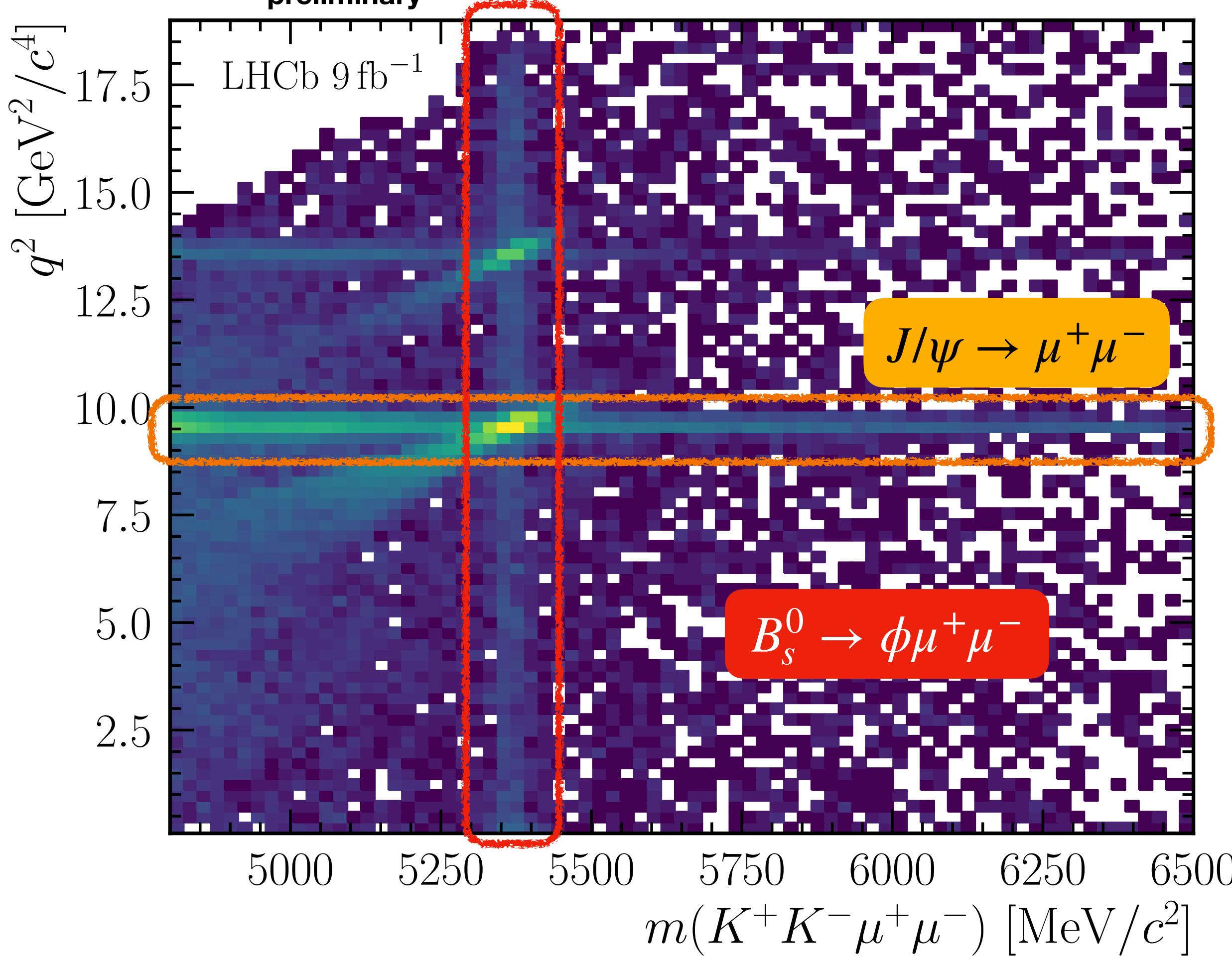
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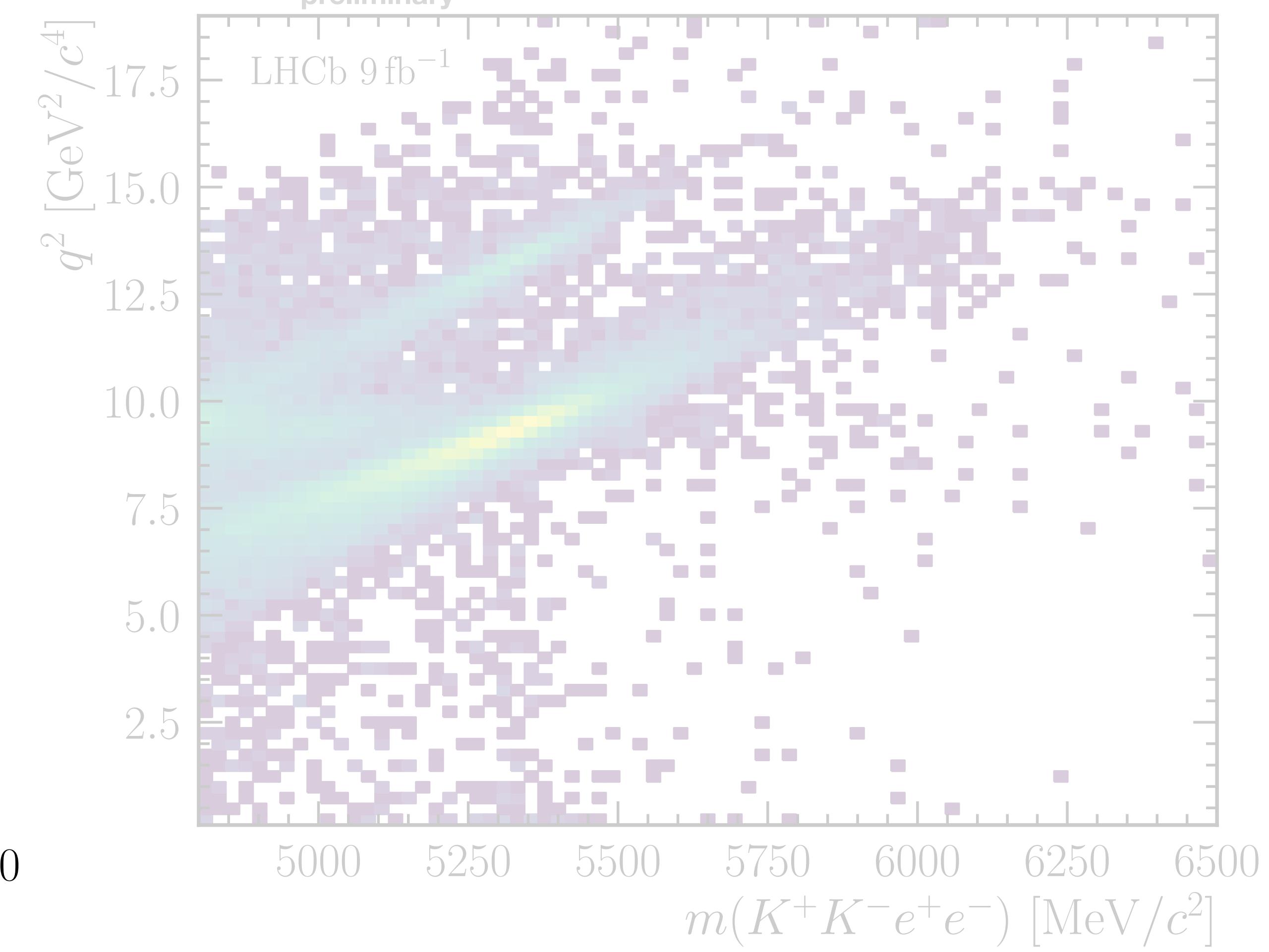
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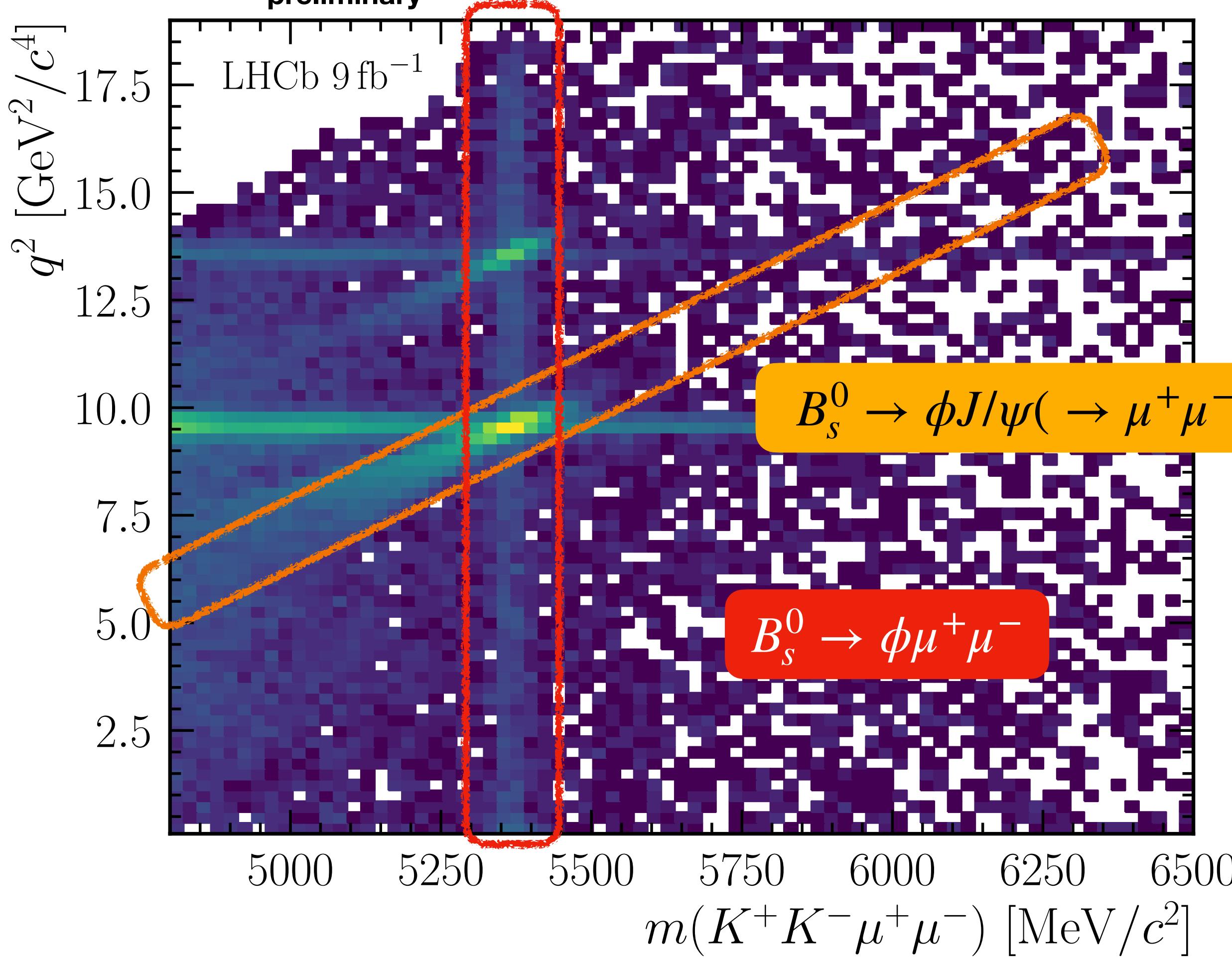
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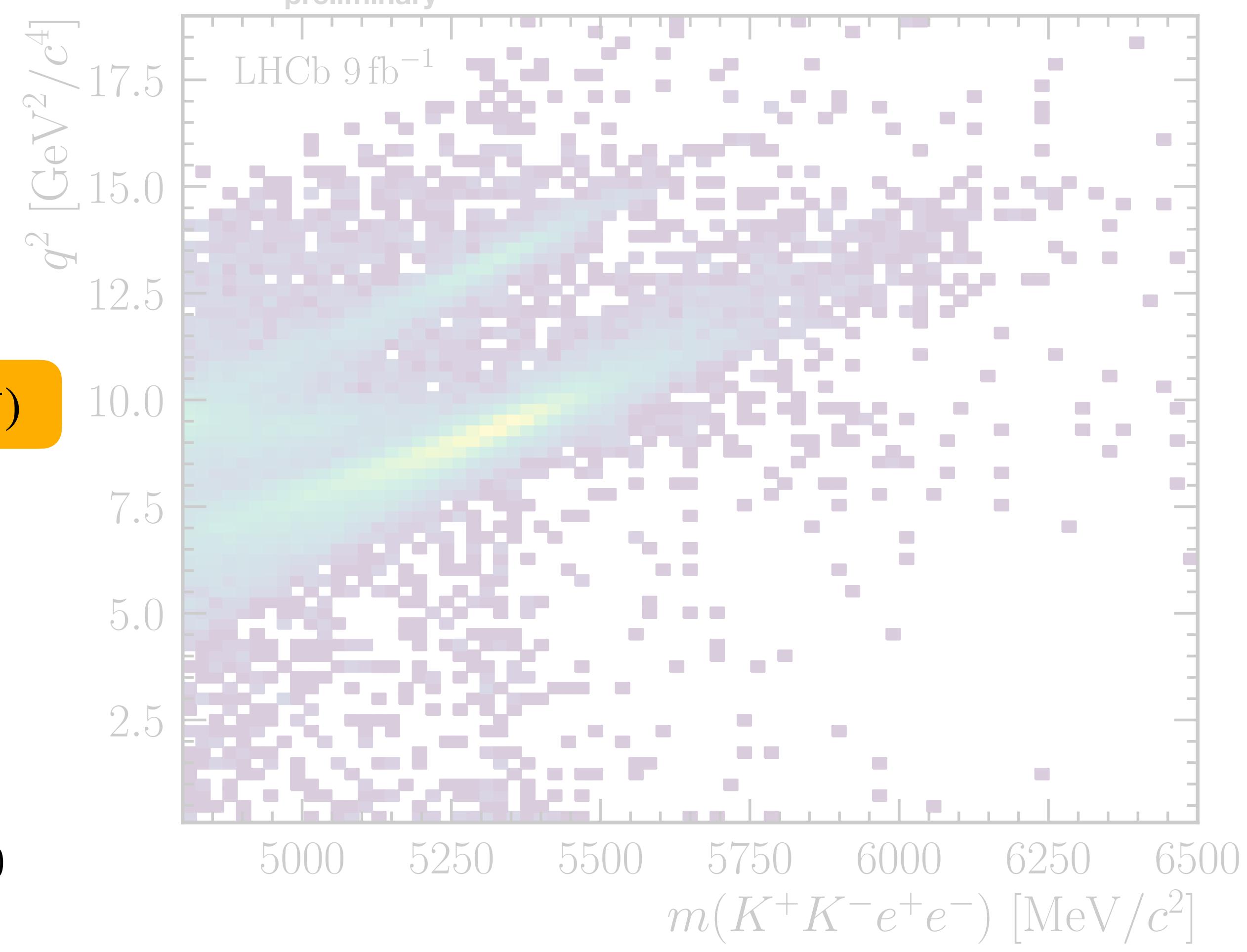
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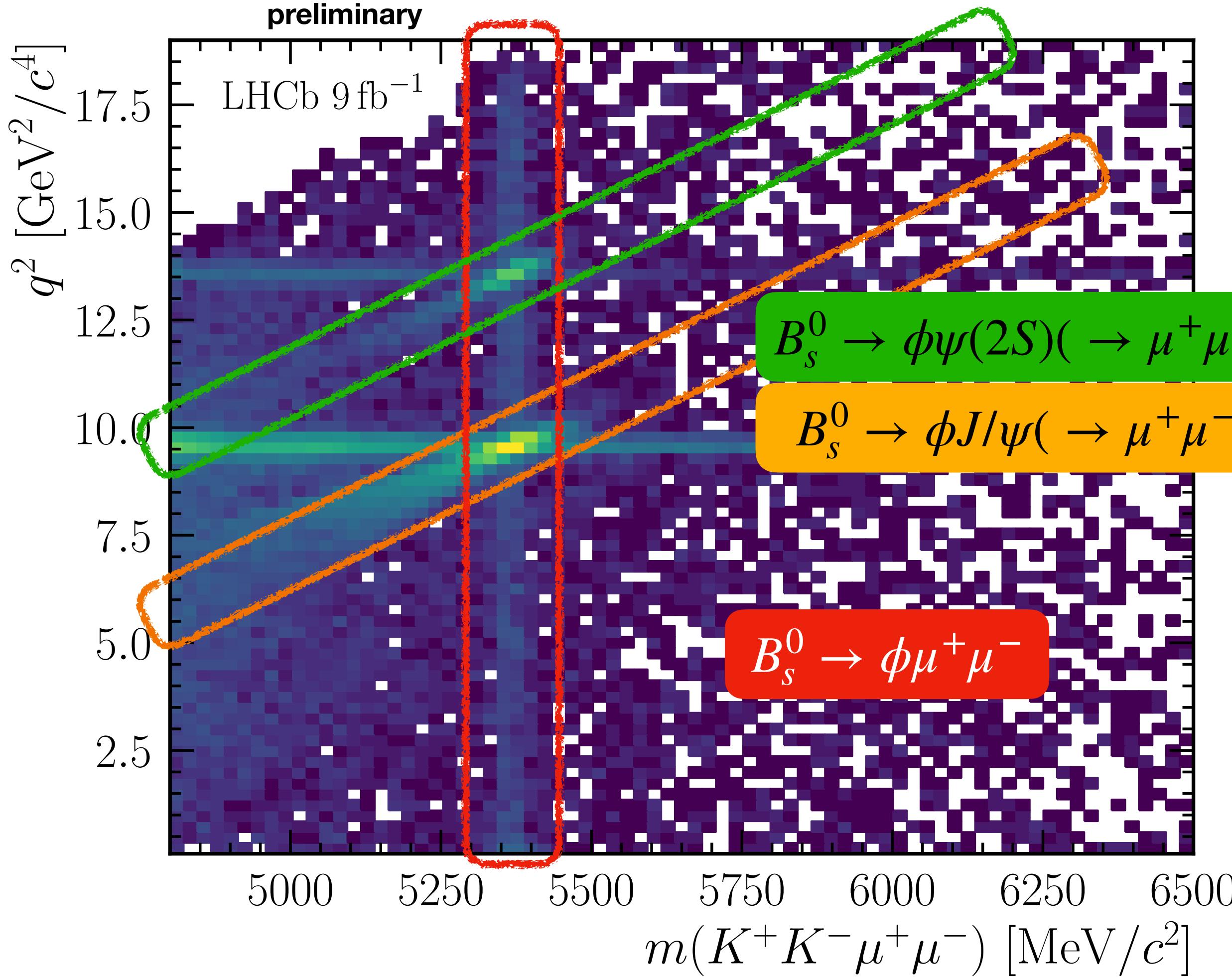
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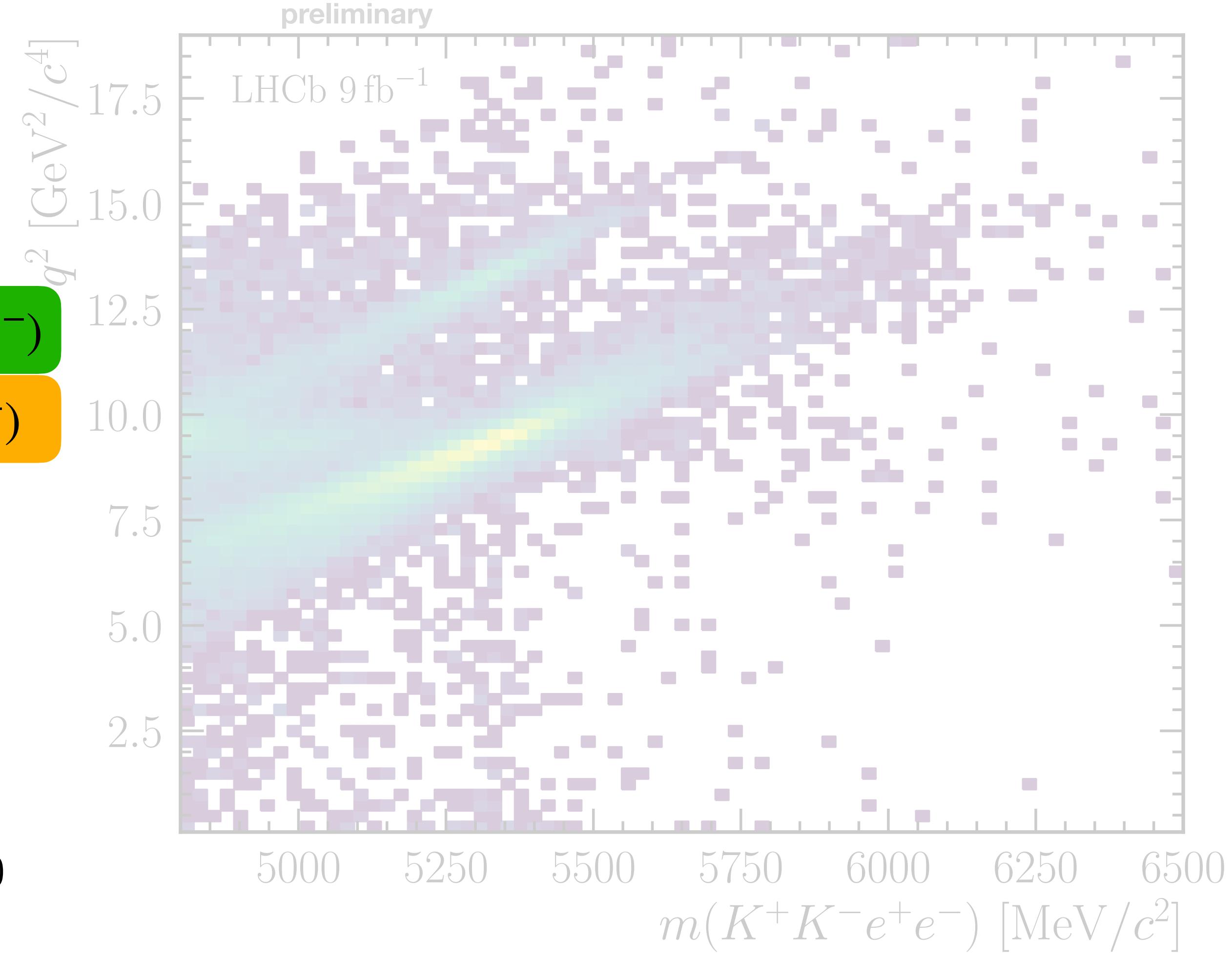
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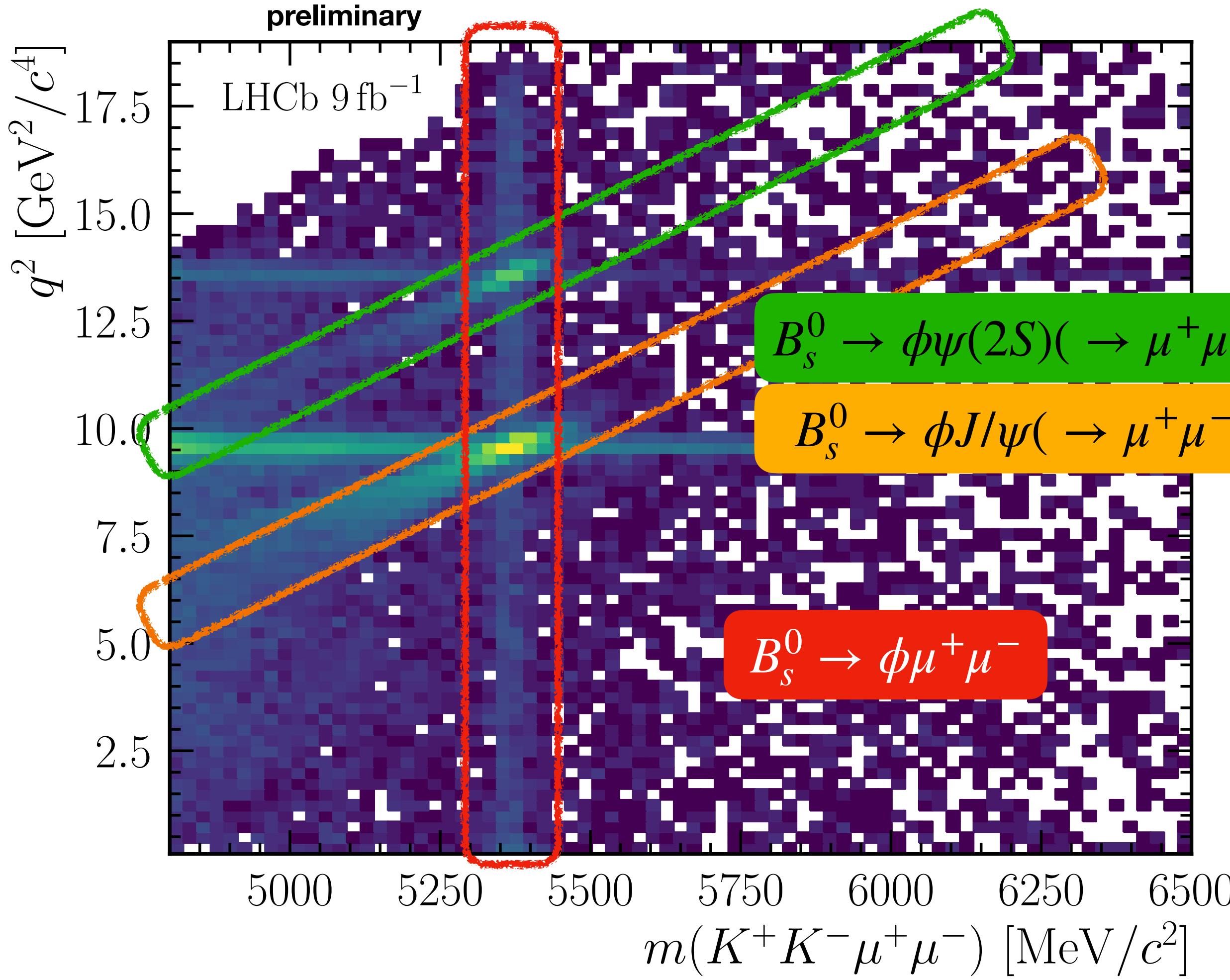
preliminary



EFFECTS OF BREMSSTRAHLUNG

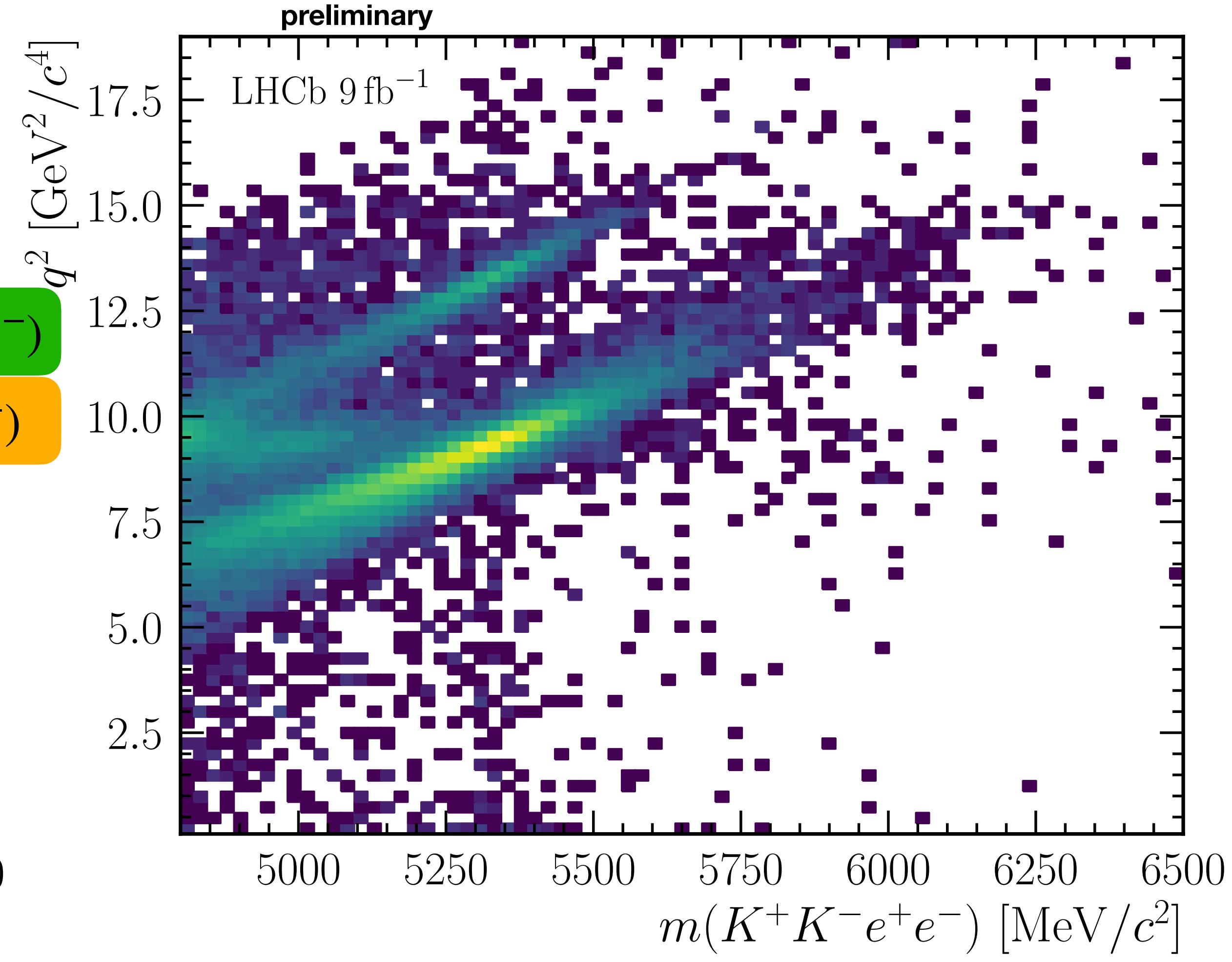
[LHCb-PAPER-2024-032]

preliminary



[LHCb-PAPER-2024-032]

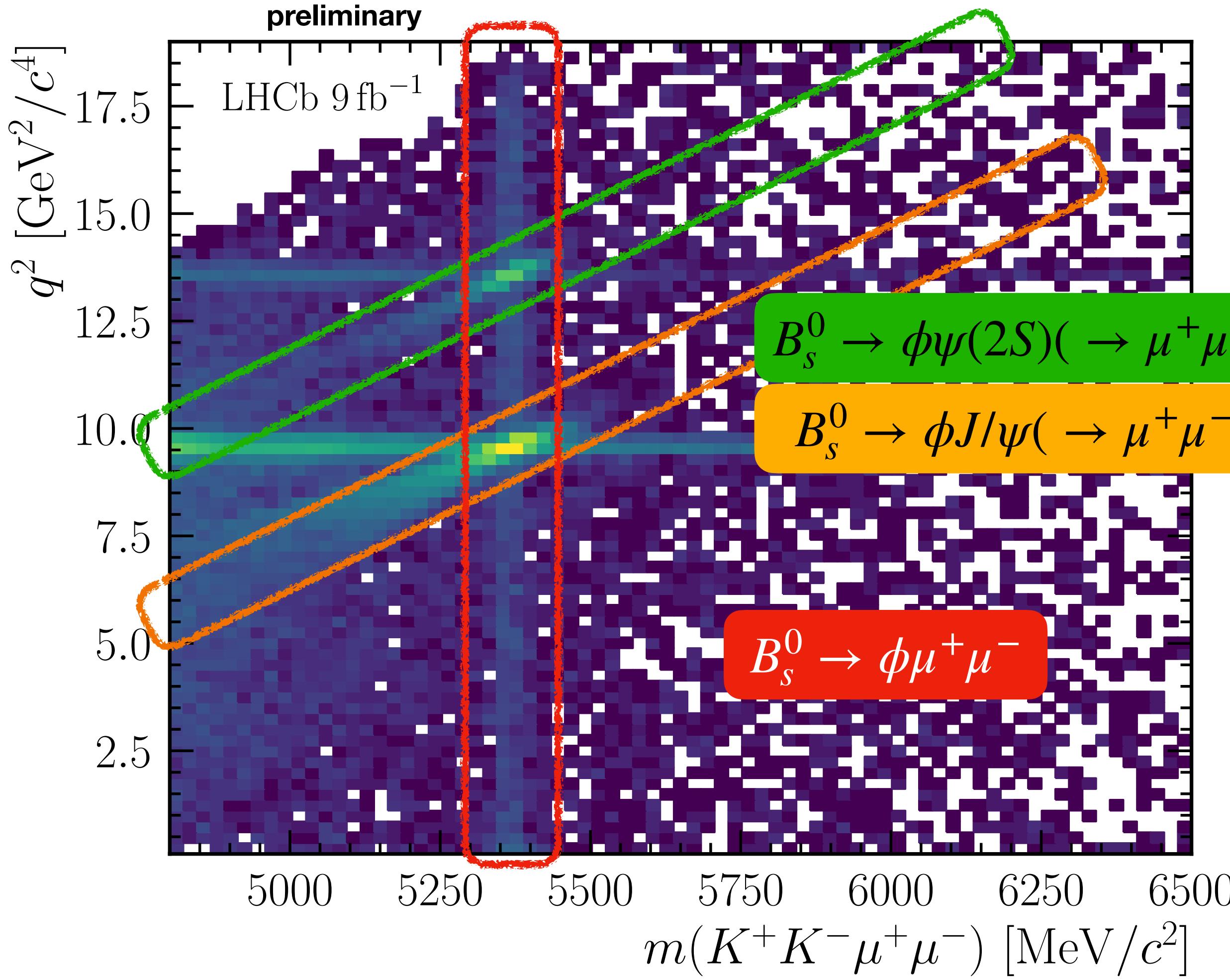
preliminary



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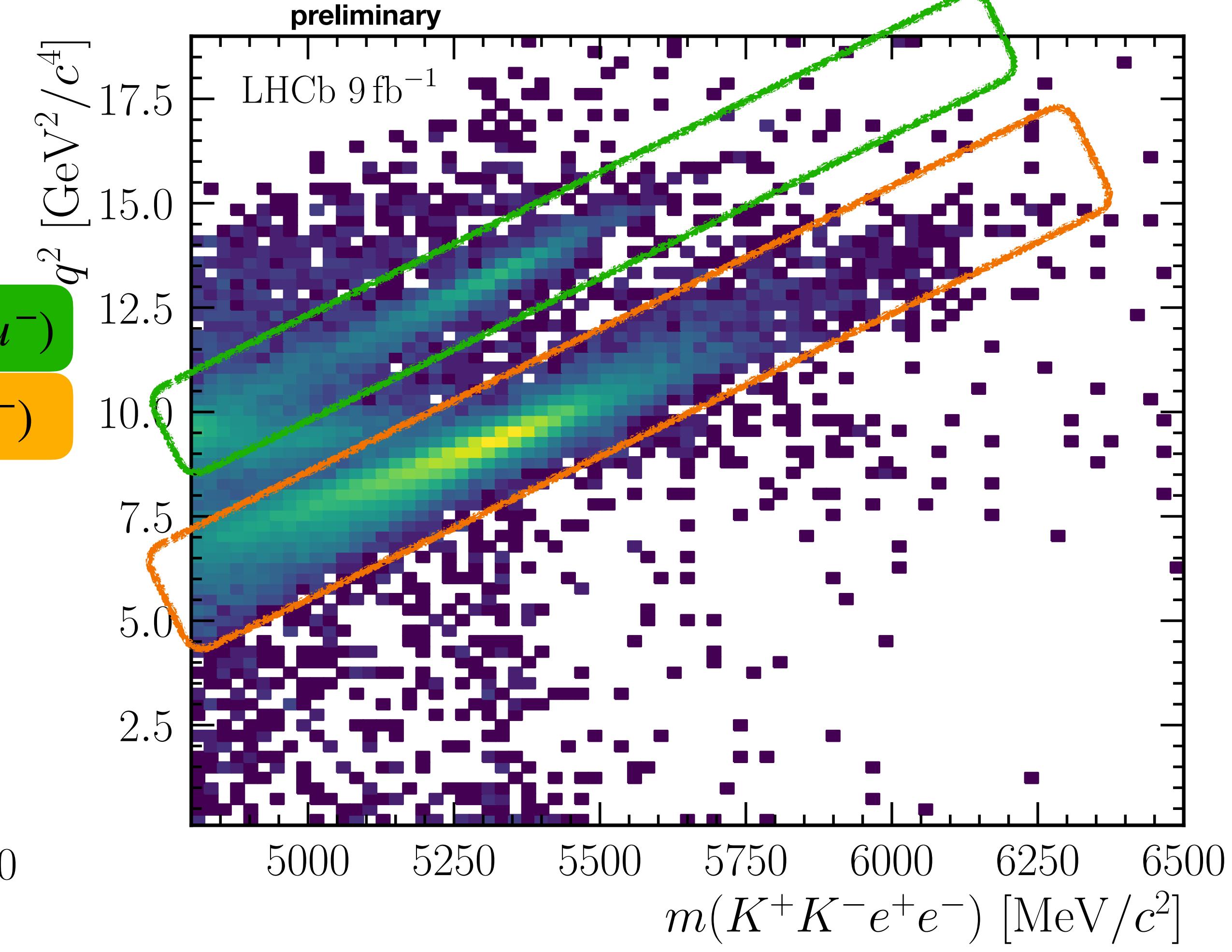
[LHCb-PAPER-2024-032]

preliminary

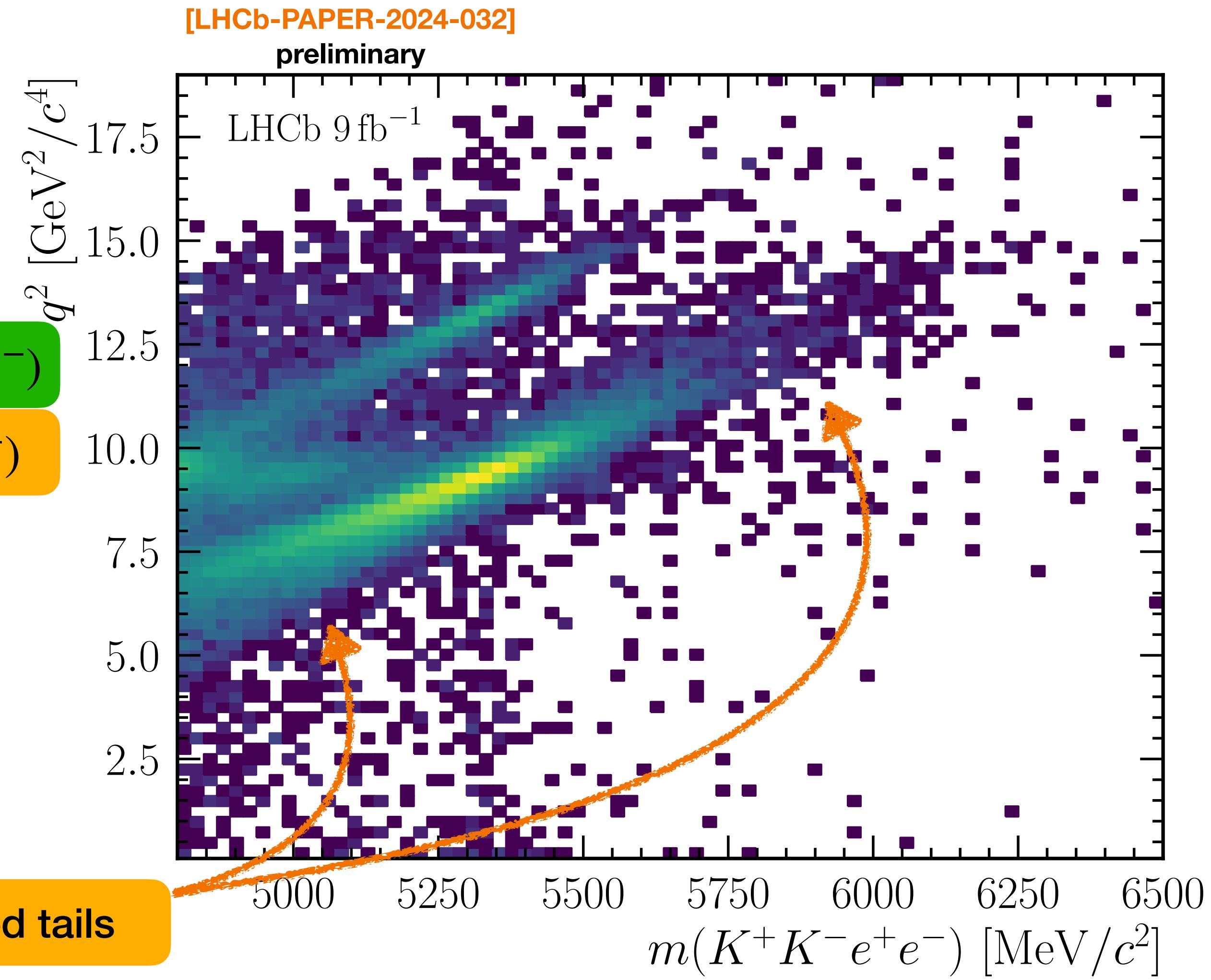
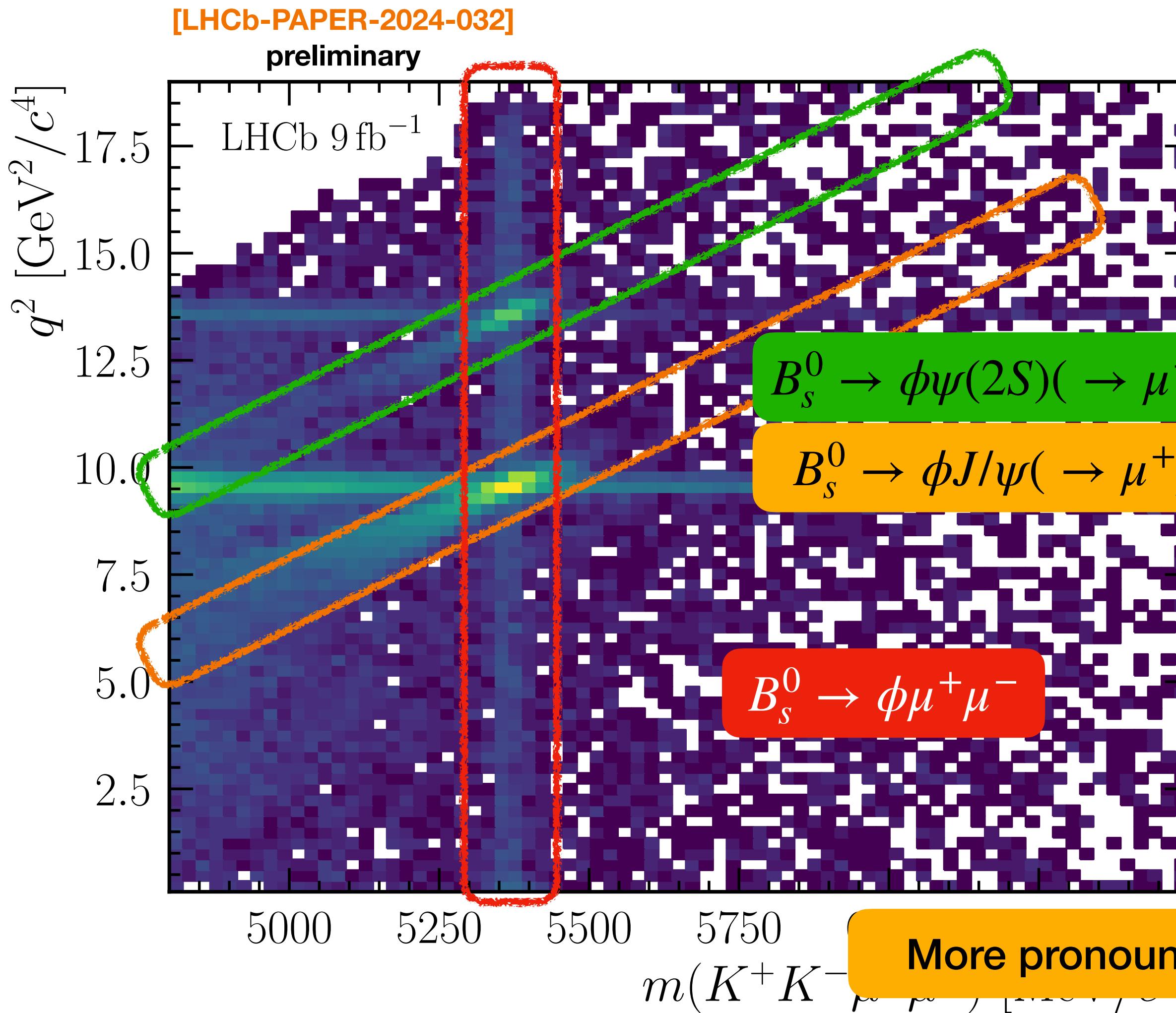


[LHCb-PAPER-2024-032]

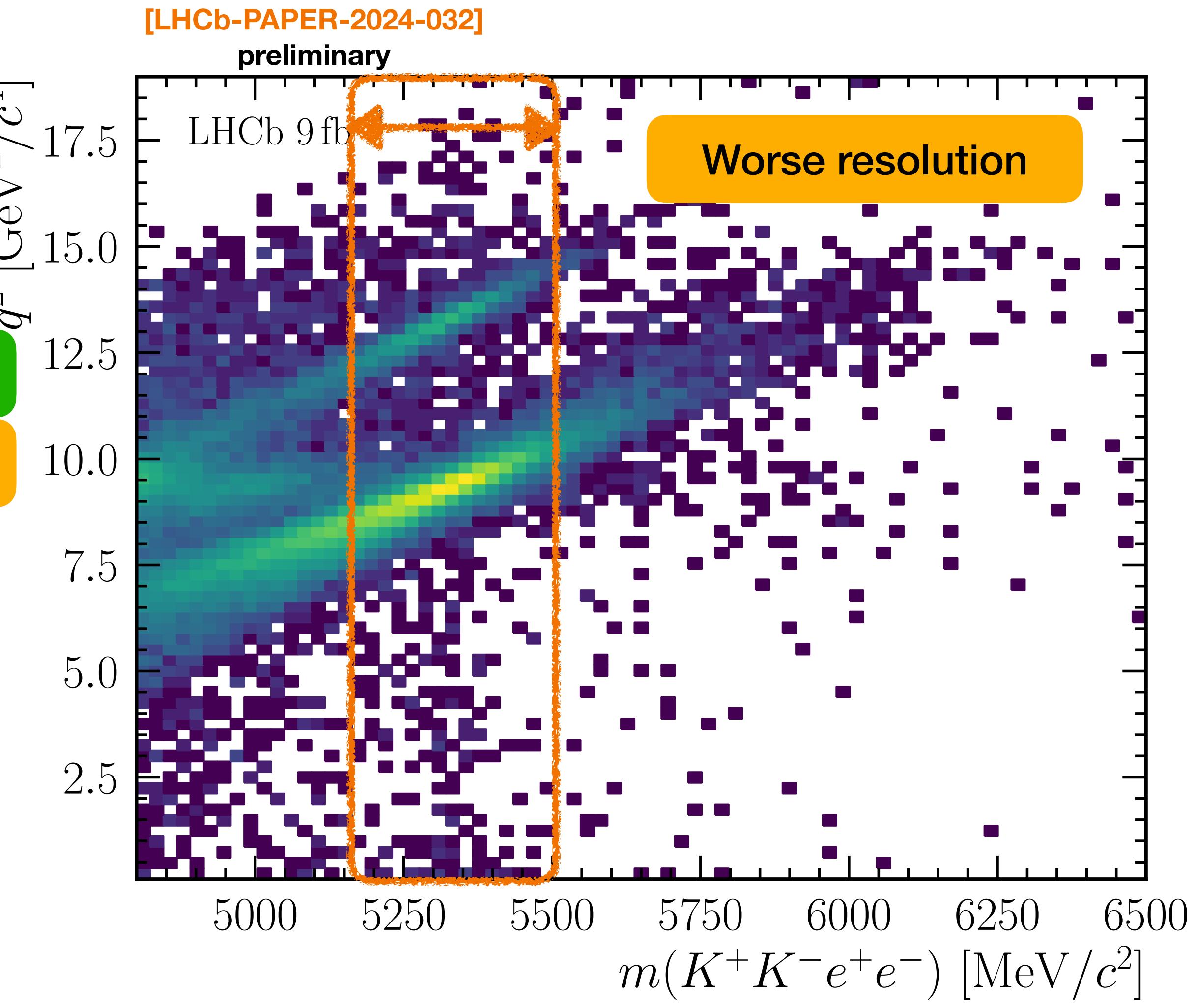
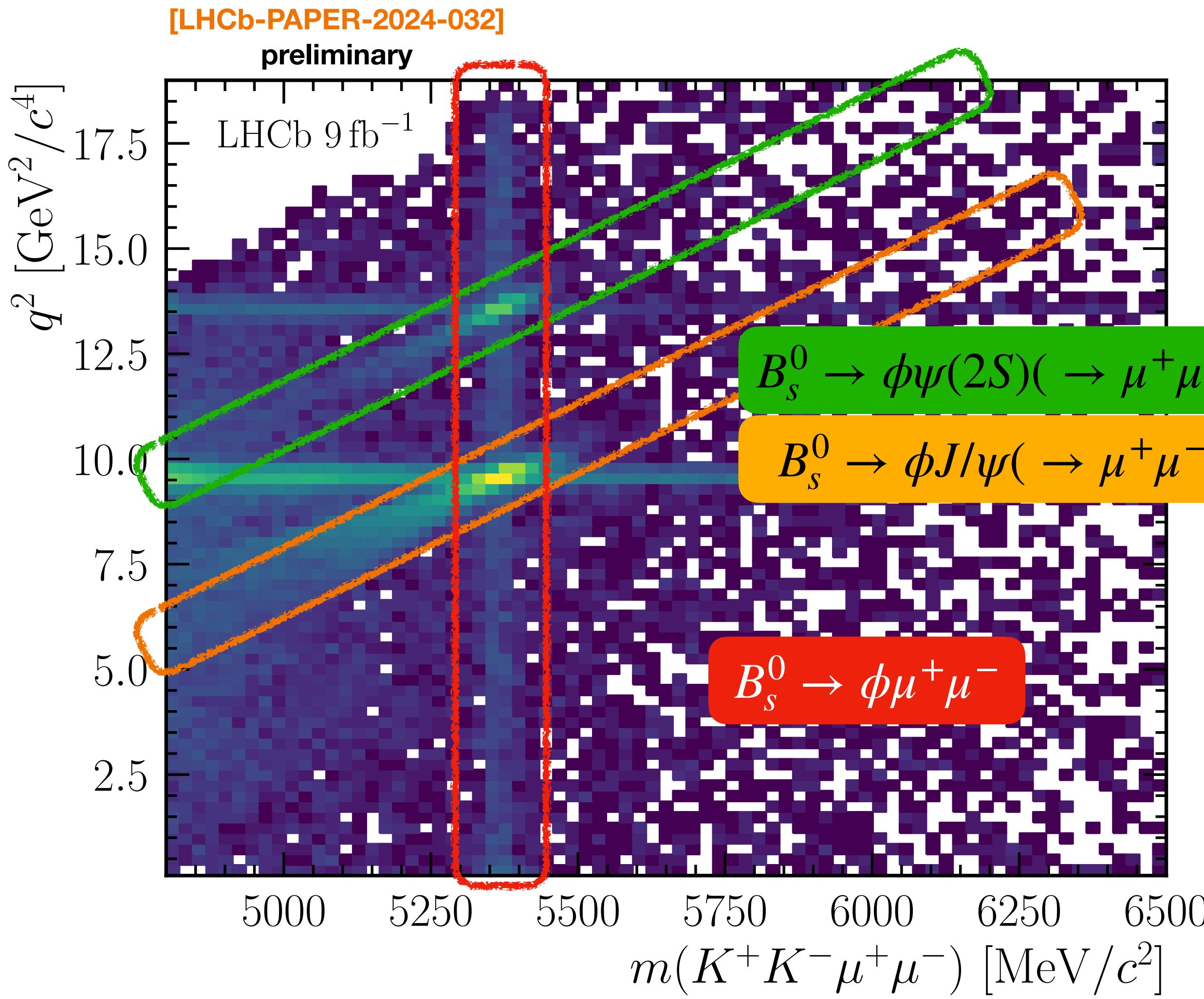
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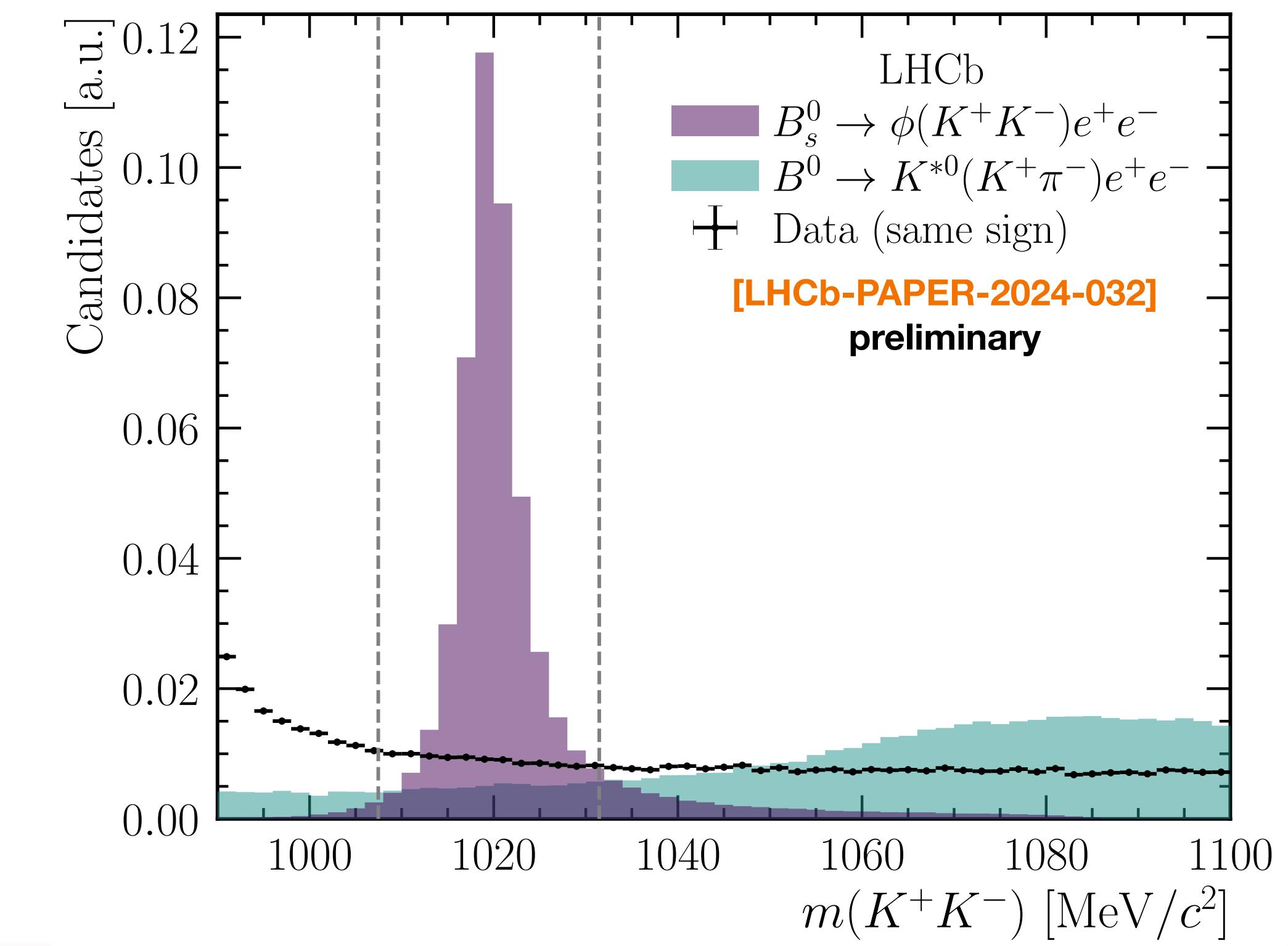
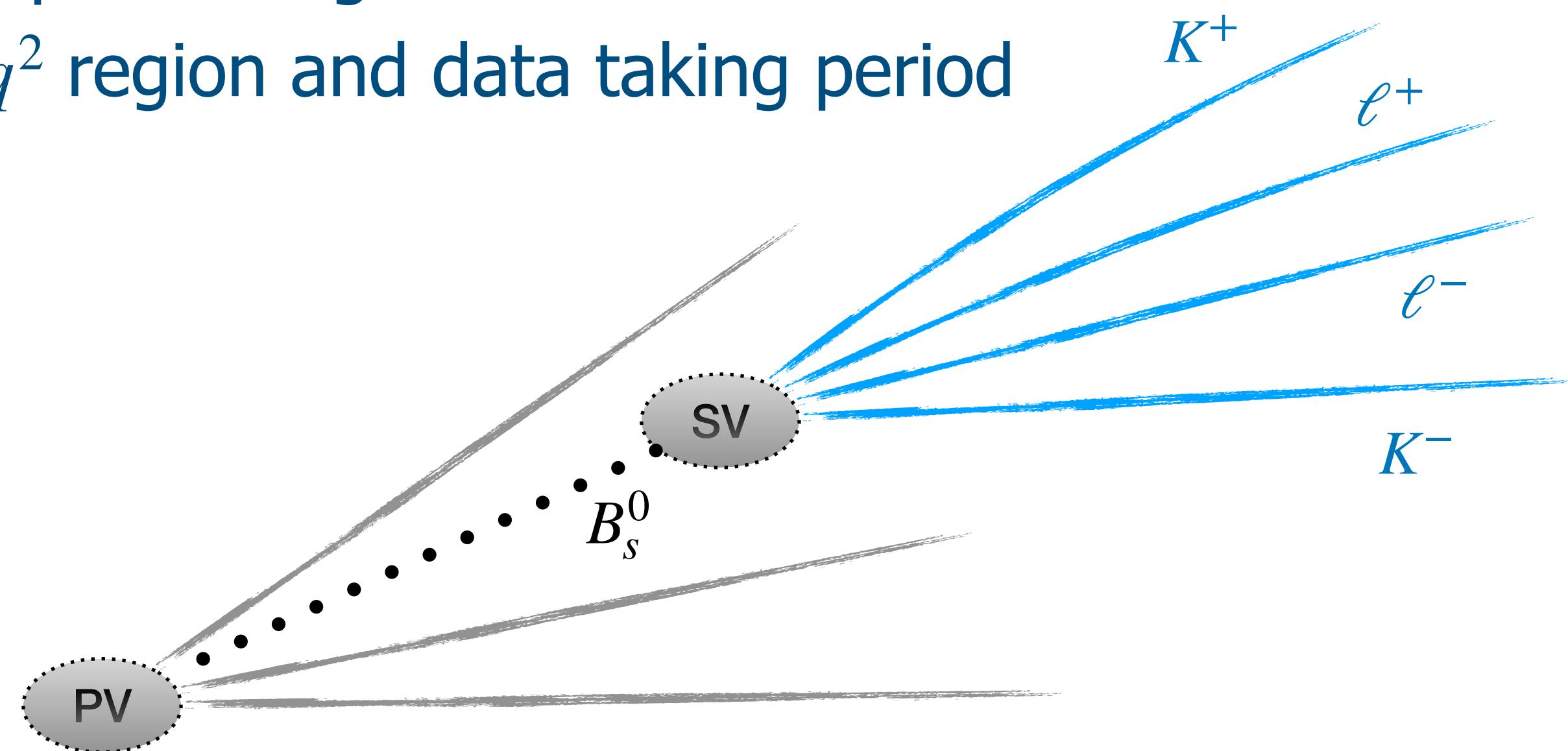


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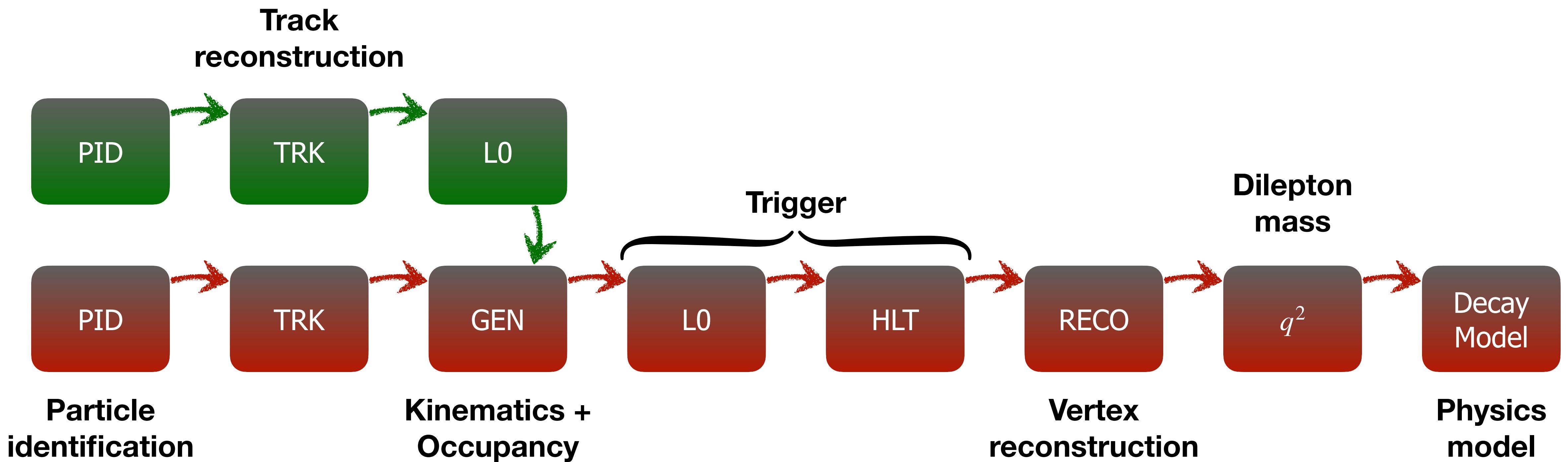
SELECTION

- Leverage **narrow ϕ resonance** and excellent K^+K^- mass resolution
- Require displaced secondary decay vertices
- **Multivariate classifiers** to suppress combinatorial background
 - Using vertex quality and kinematics
 - Optimise significance for each q^2 region and data taking period



EFFICIENCIES AND CORRECTIONS

- Data driven, staged **simulation correction chain**, similar to [\[PRD 108 \(2023\) 3, 032002\]](#)
- “**Prior corrections**” to ensure kinematics corrections universally applicable



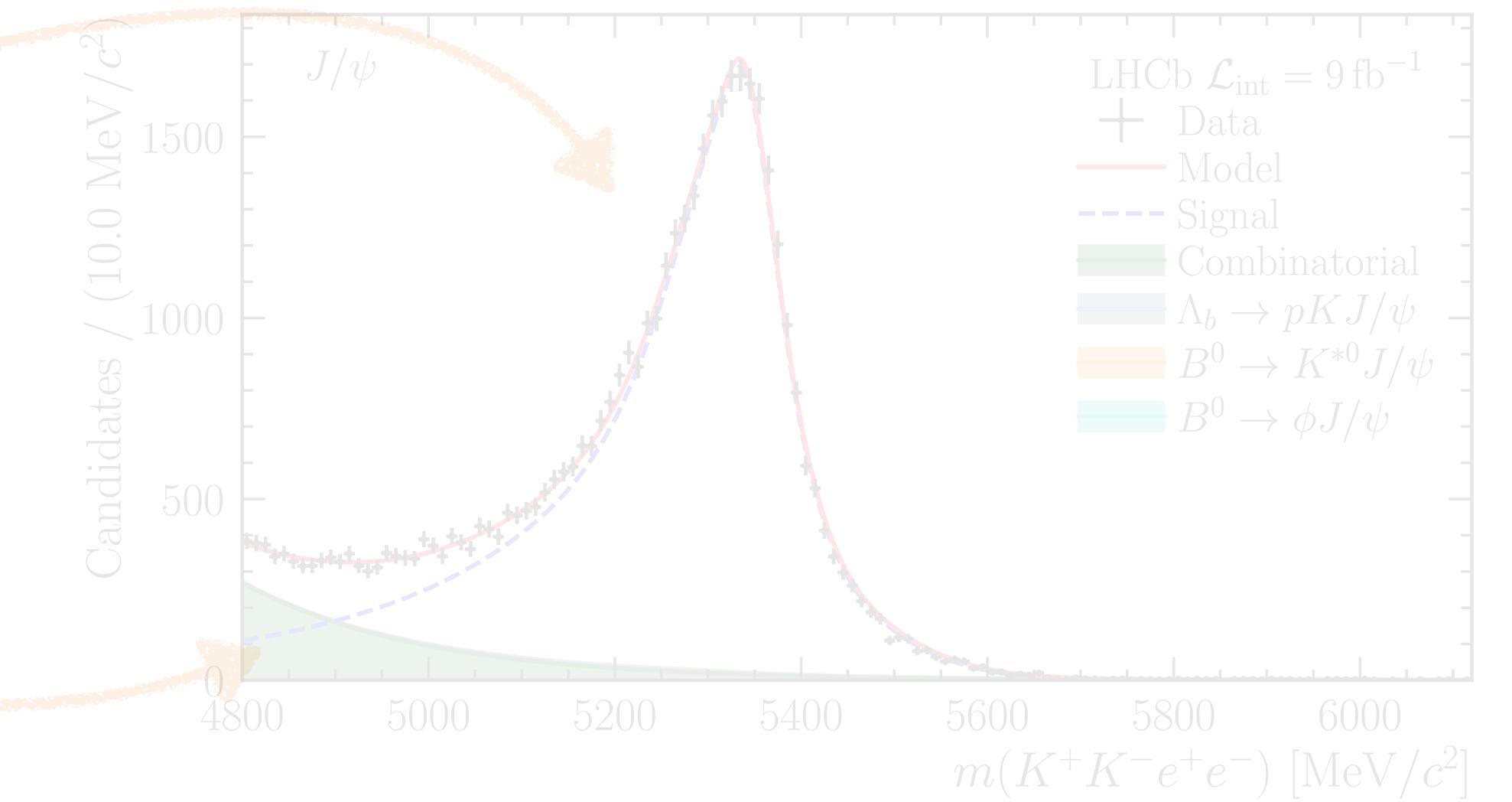
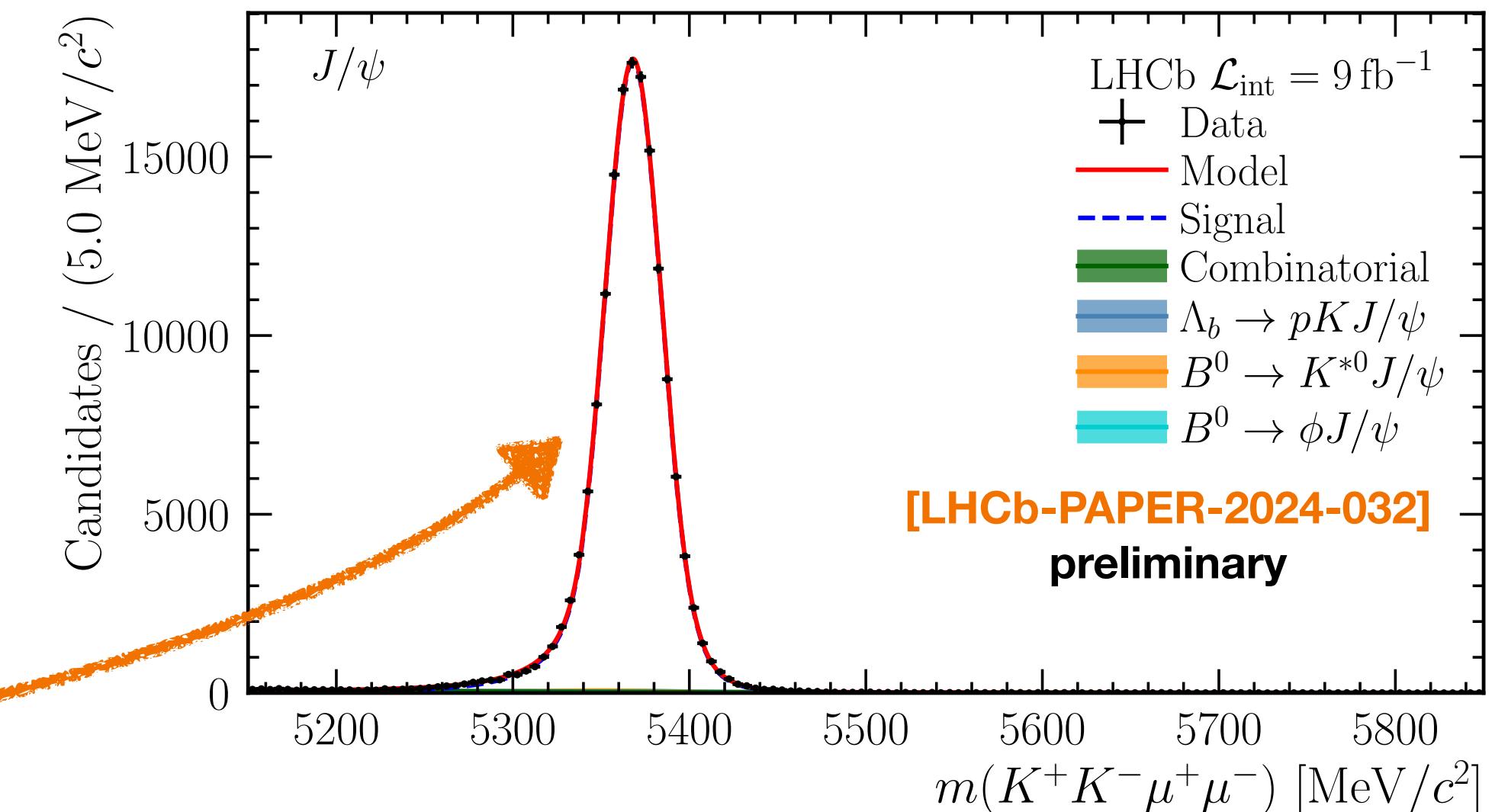
CROSSCHECKS $r_{J/\psi}$

- $$r_{J/\psi} = \frac{\mathcal{B}(B_s^0 \rightarrow \phi J/\psi(\mu^+ \mu^-))}{\mathcal{B}(B_s^0 \rightarrow \phi J/\psi(e^+ e^-))}$$

Very clean signal peak!
Negligible background levels!

Worse mass resolution
 \Rightarrow wider fit range

Higher background levels



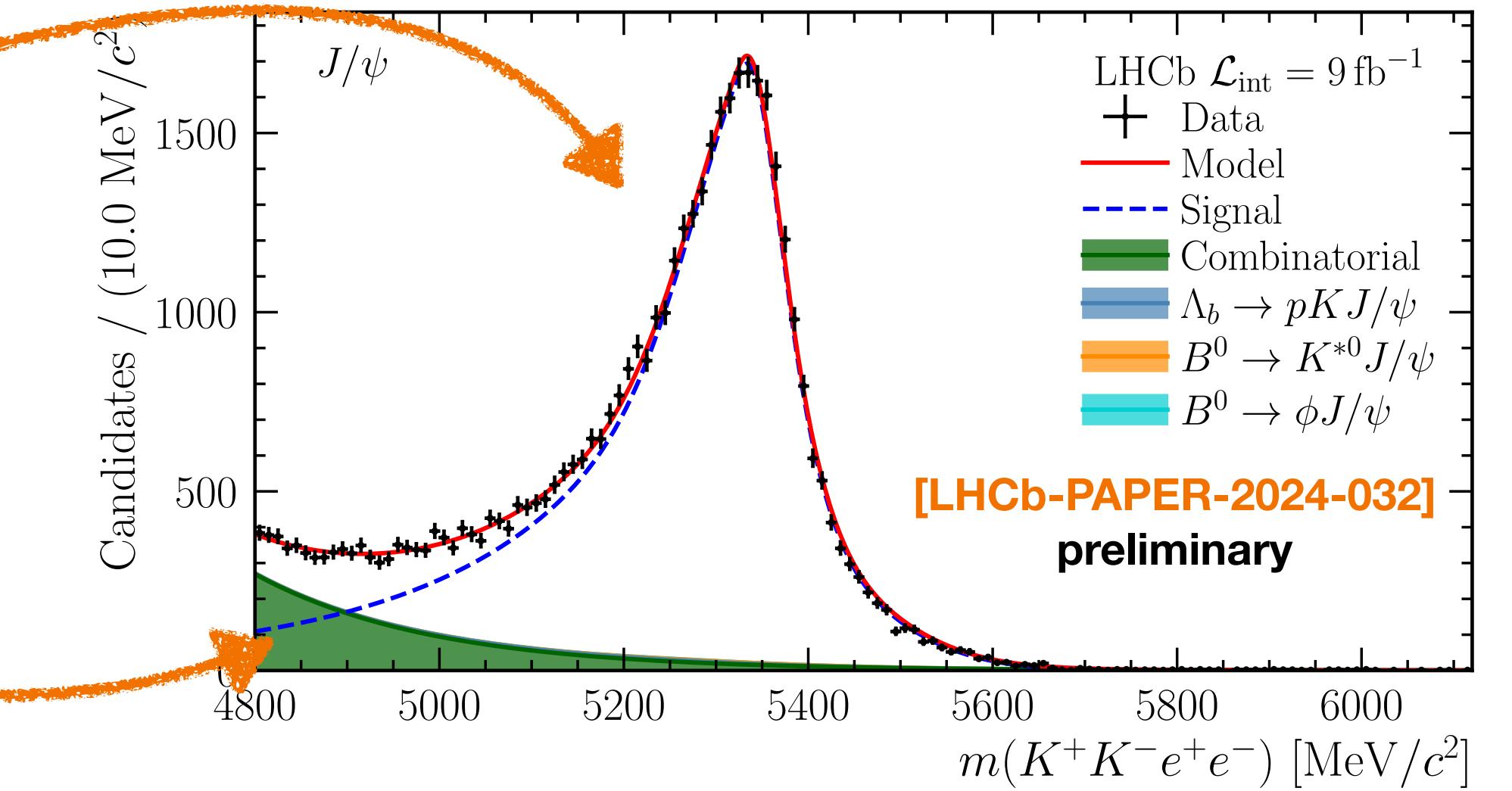
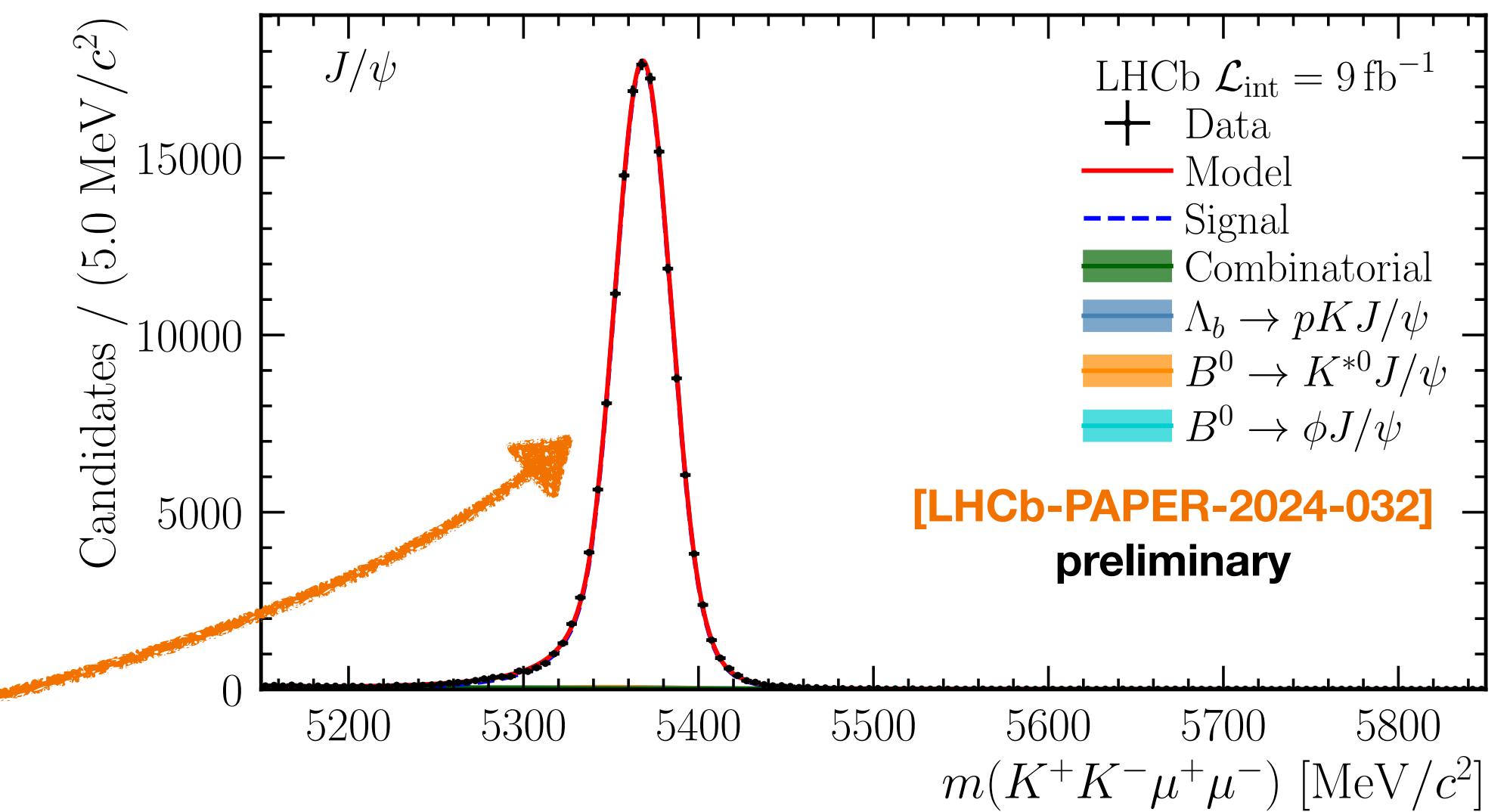
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CROSSCHECKS $r_{J/\psi}$

LHCb
RHCP

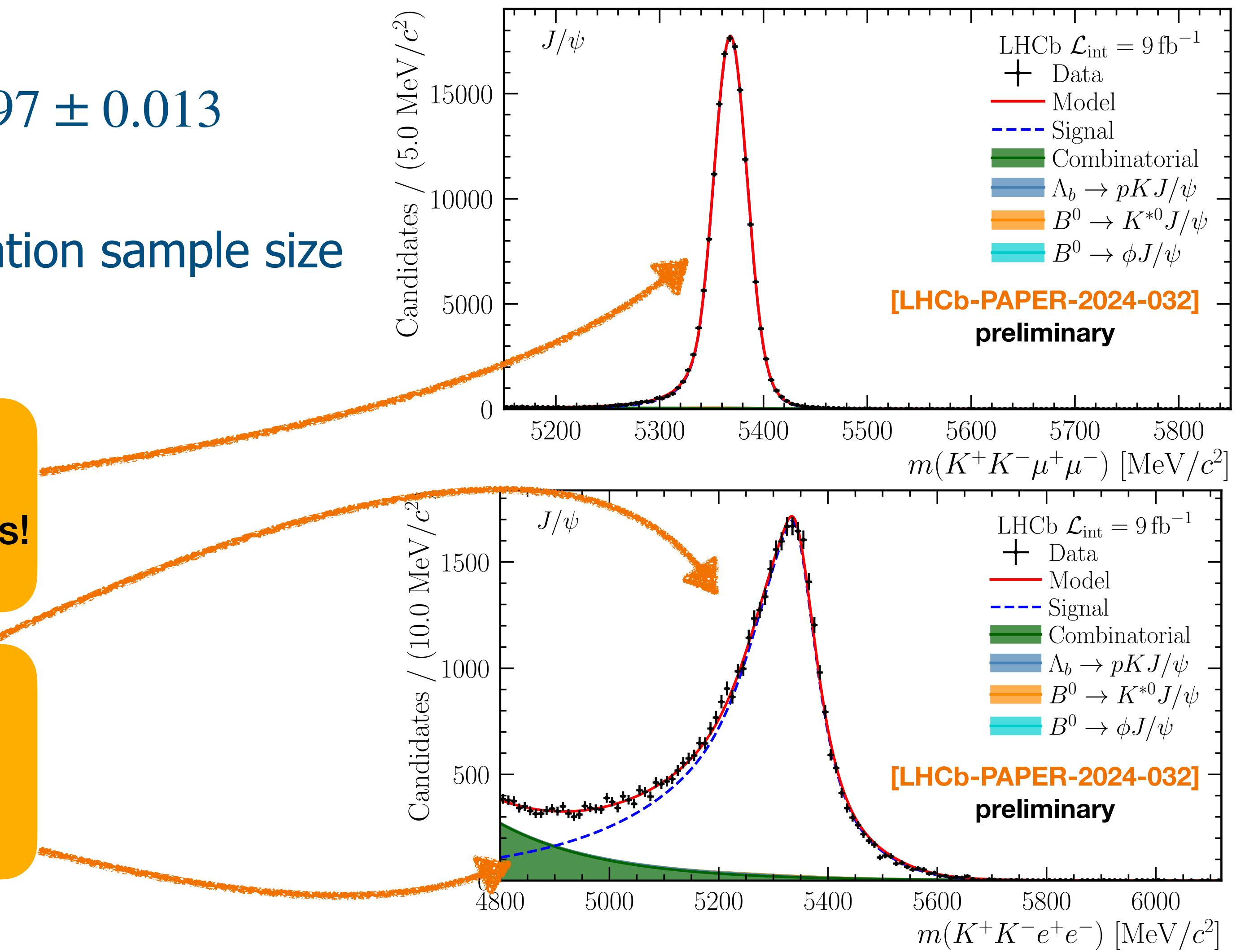
- $$r_{J/\psi} = \frac{\mathcal{B}(B_s^0 \rightarrow \phi J/\psi(\mu^+ \mu^-))}{\mathcal{B}(B_s^0 \rightarrow \phi J/\psi(e^+ e^-))} = 0.997 \pm 0.013$$

- σ includes systematics from calibration sample size

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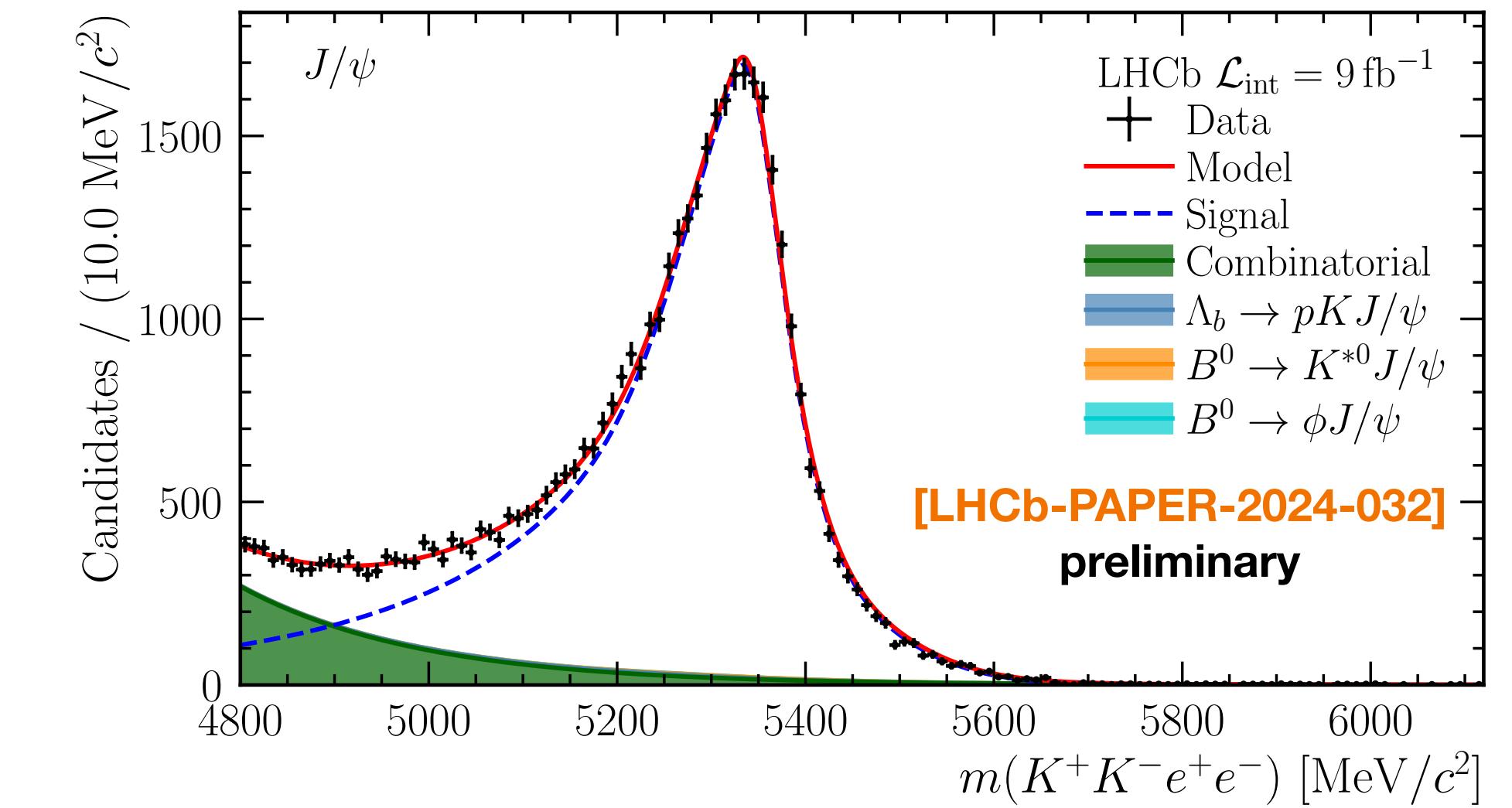
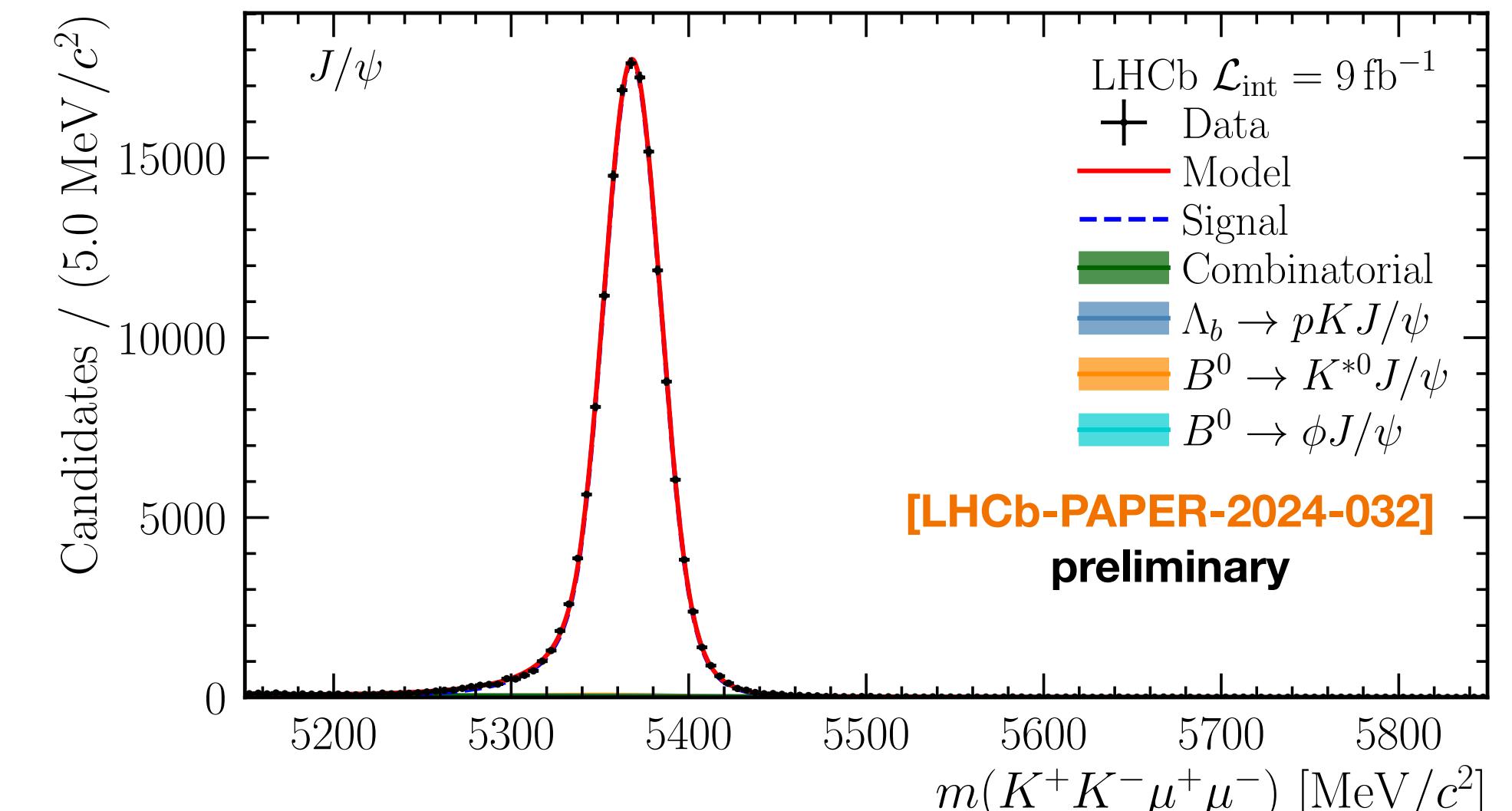
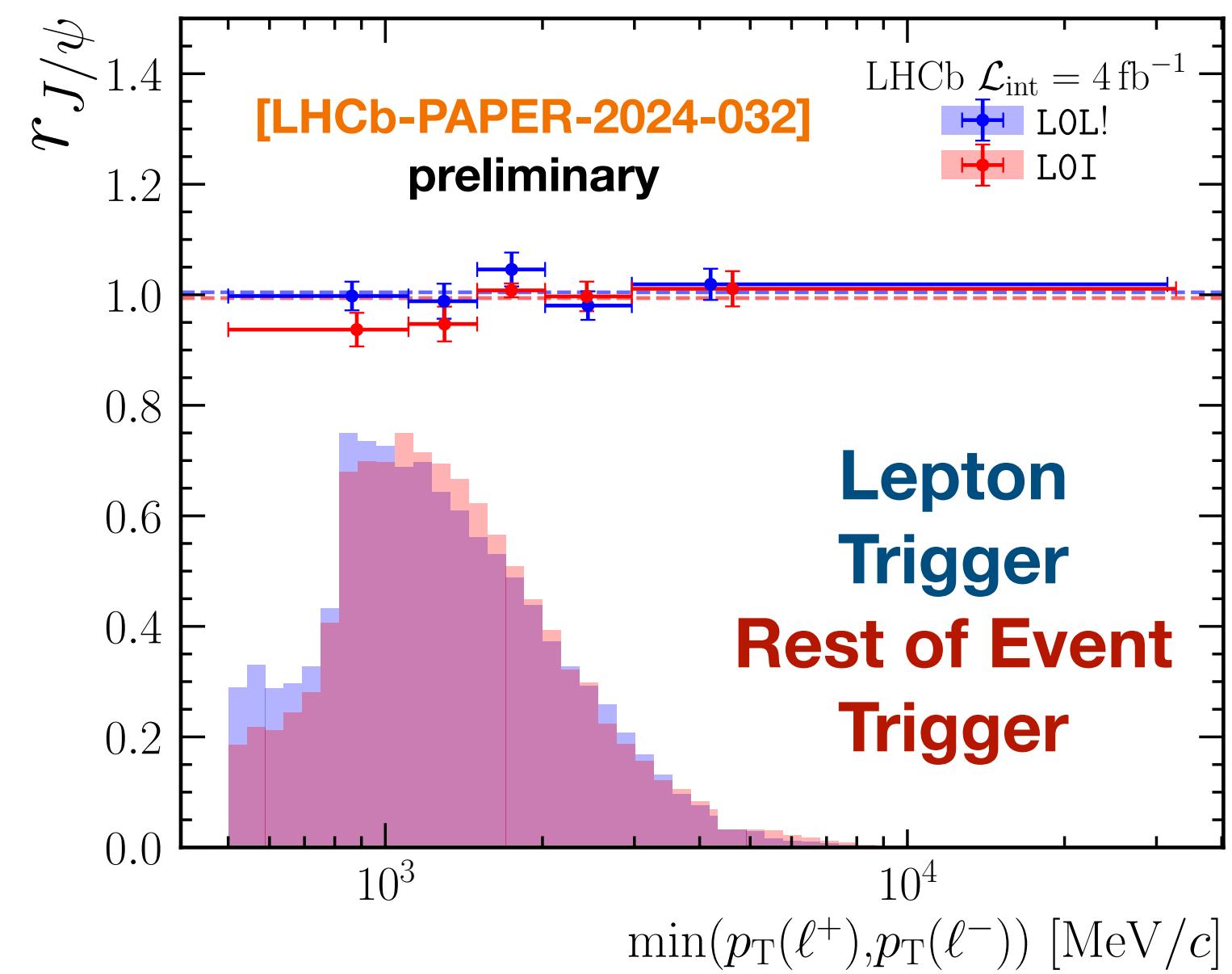
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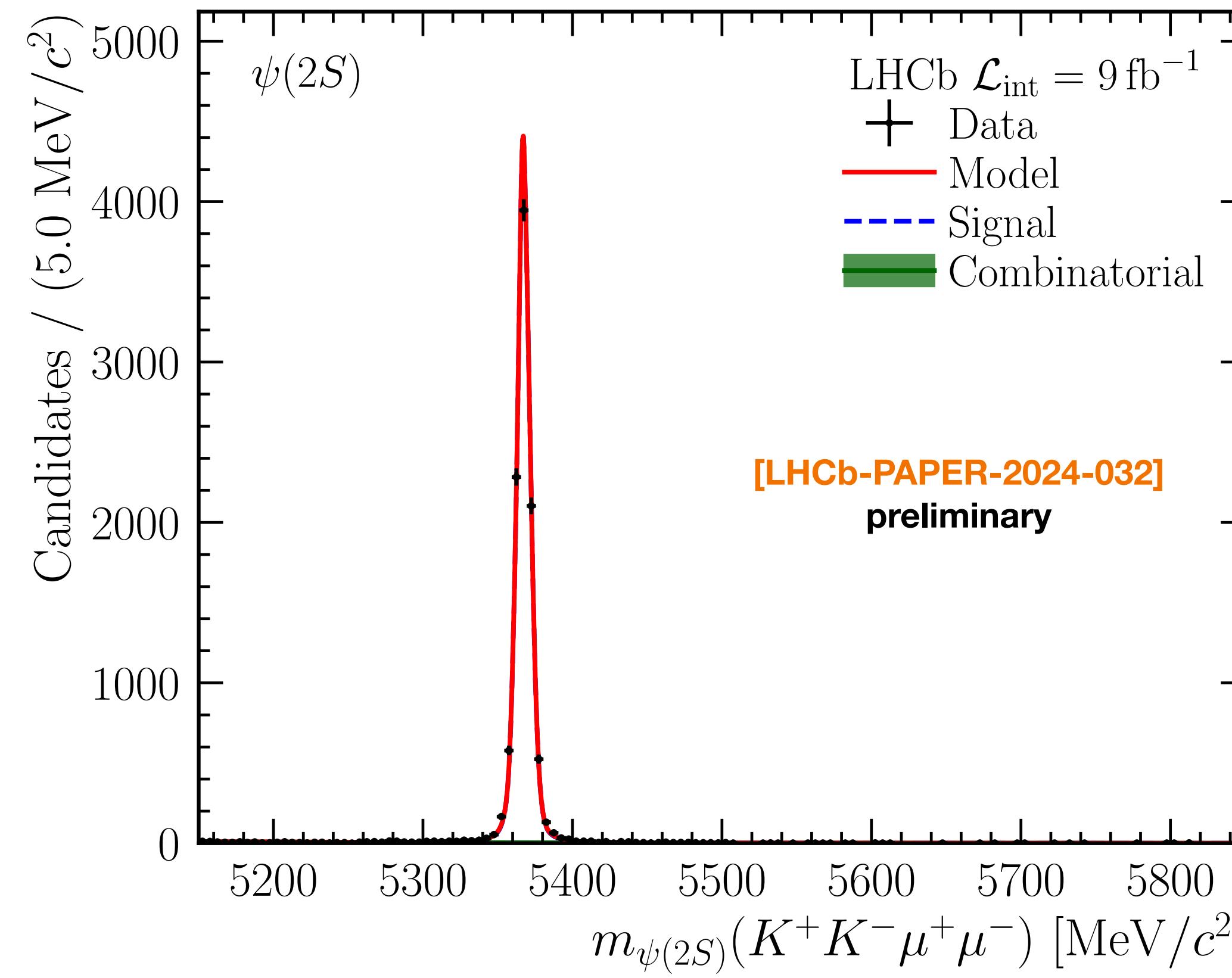
- σ includes systematics from calibration sample size
- $r_{J/\psi}$ independent of kinematics

Demonstrates control of electron and muon efficiency scales!

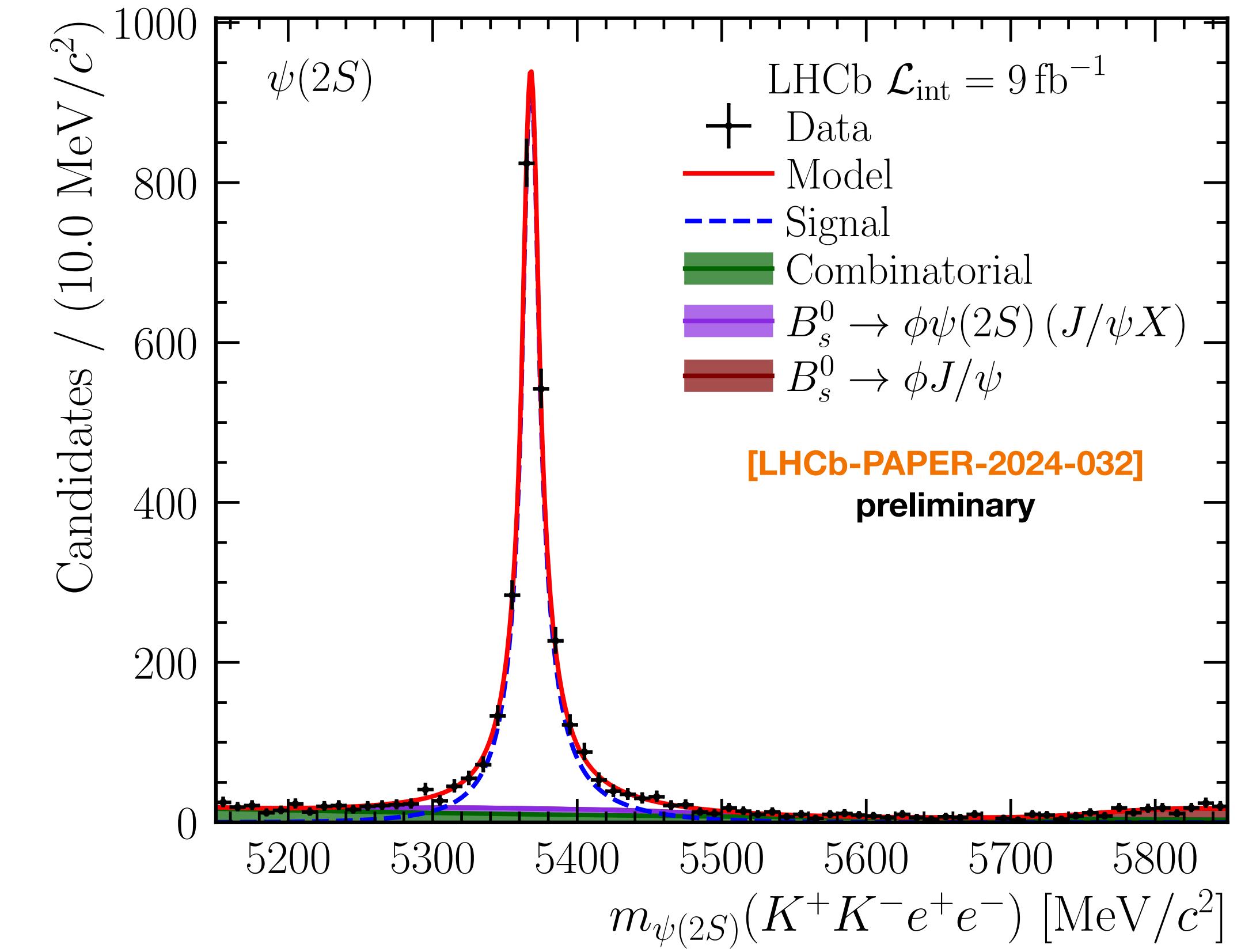


CROSSCHECKS $R_{\psi(2S)}$

$$\bullet R_{\psi(2S)} = \frac{\mathcal{B}(B_s^0 \rightarrow \phi\psi(2S)(\mu^+\mu^-))}{\mathcal{B}(B_s^0 \rightarrow \phi\psi(2S)(e^+e^-))} \times r_{J/\psi}^{-1}$$



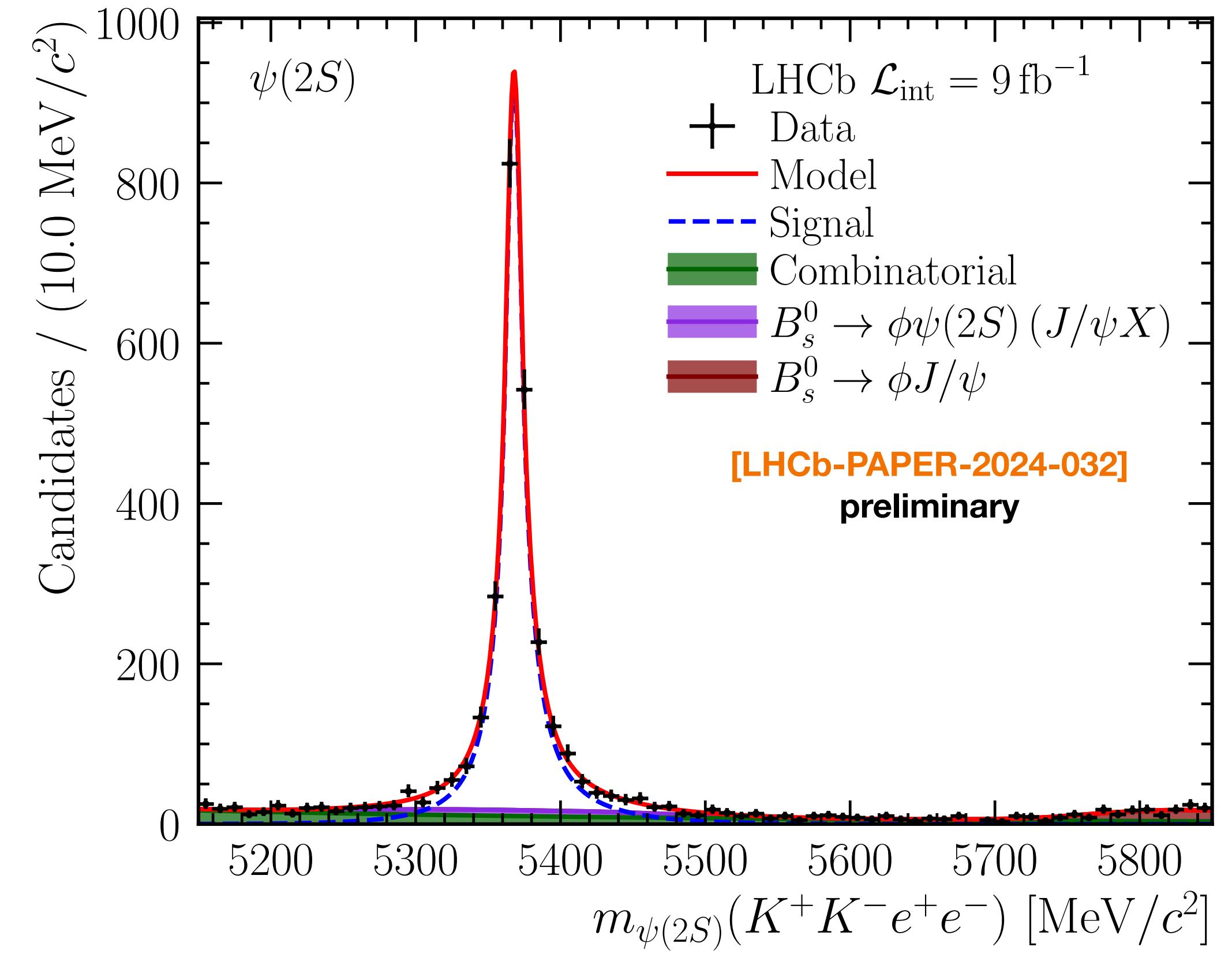
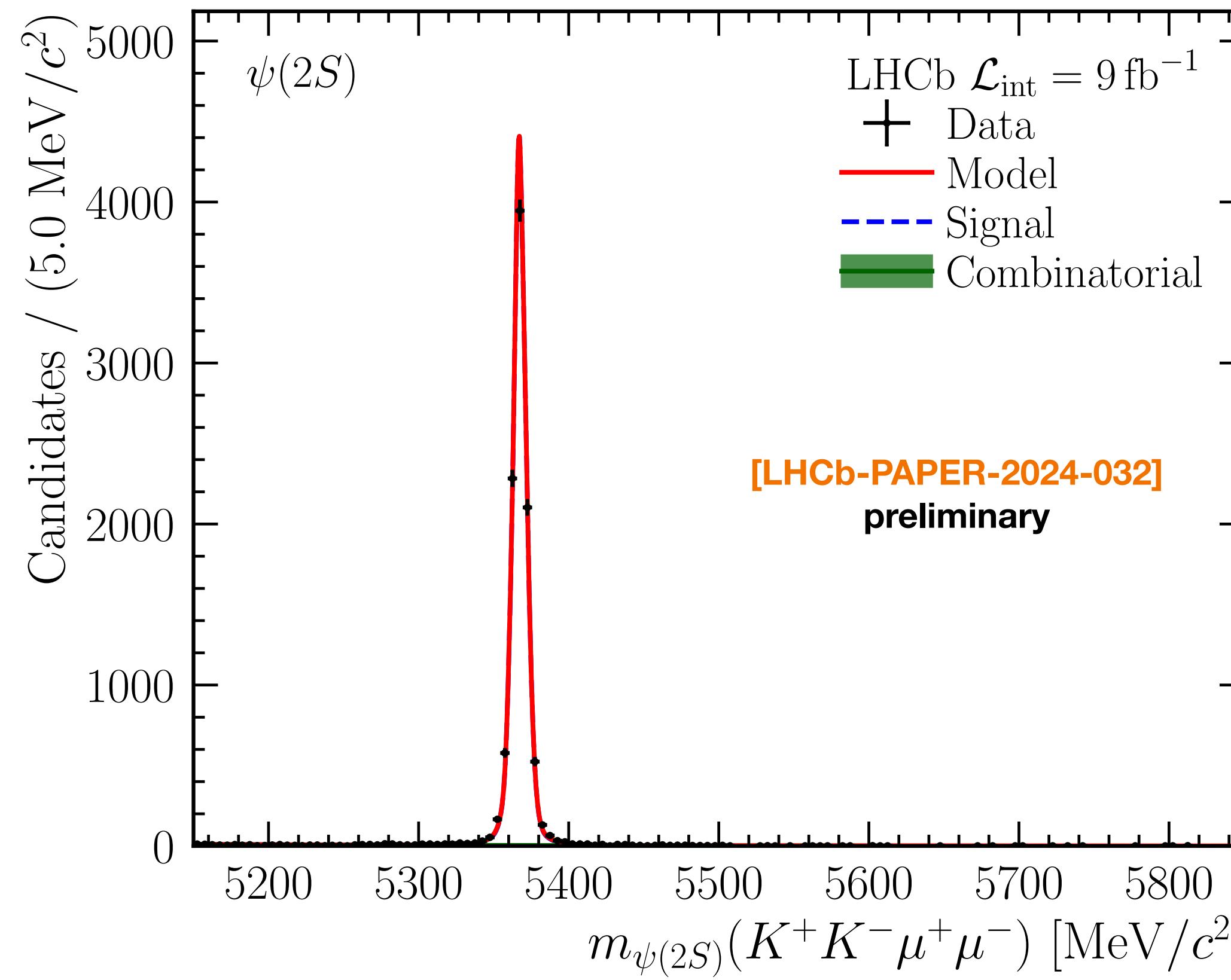
Constrained dilepton mass to
 $\psi(2S)$ mass
 \Rightarrow Improved resolution



CROSSCHECKS $R_{\psi(2S)}$

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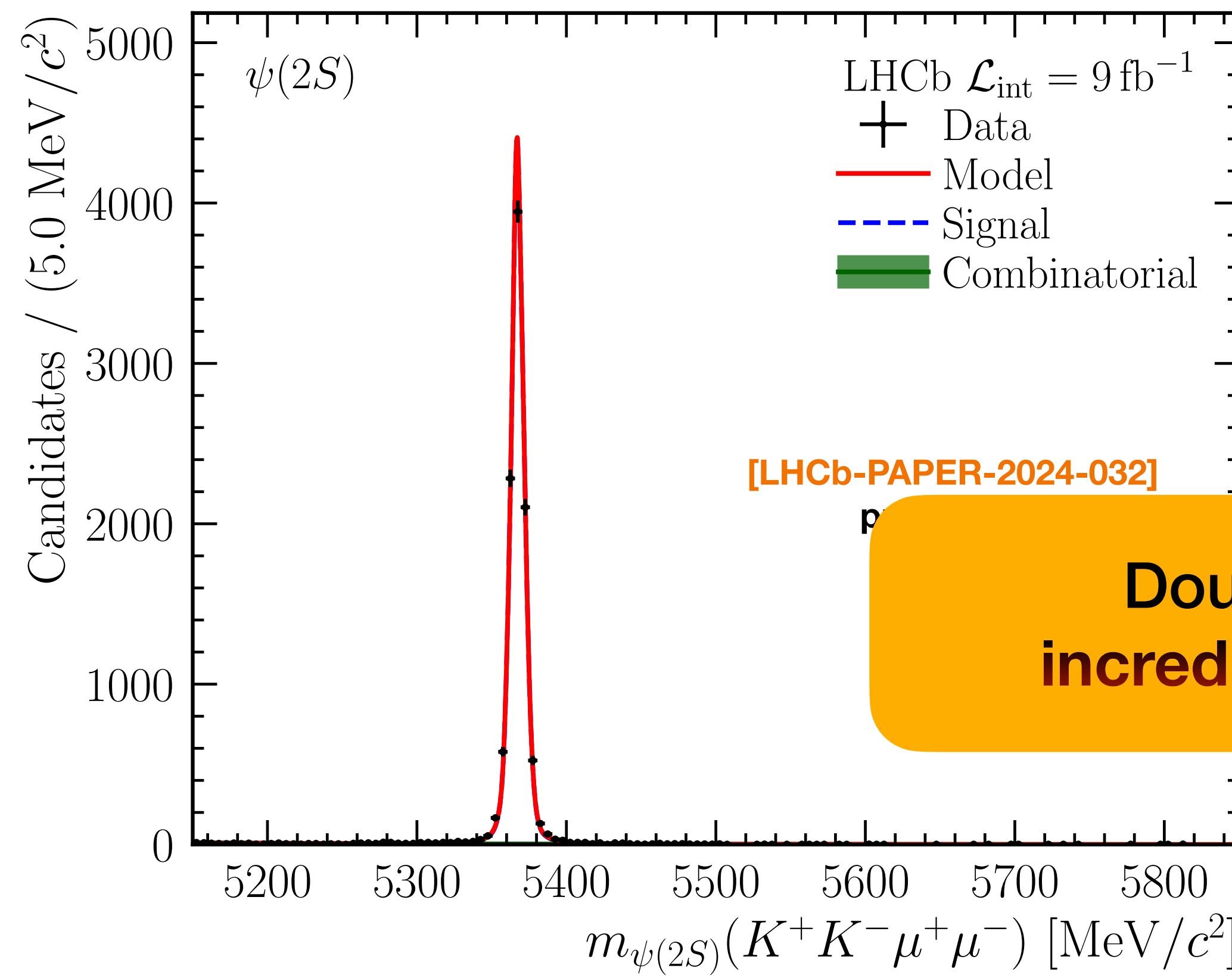
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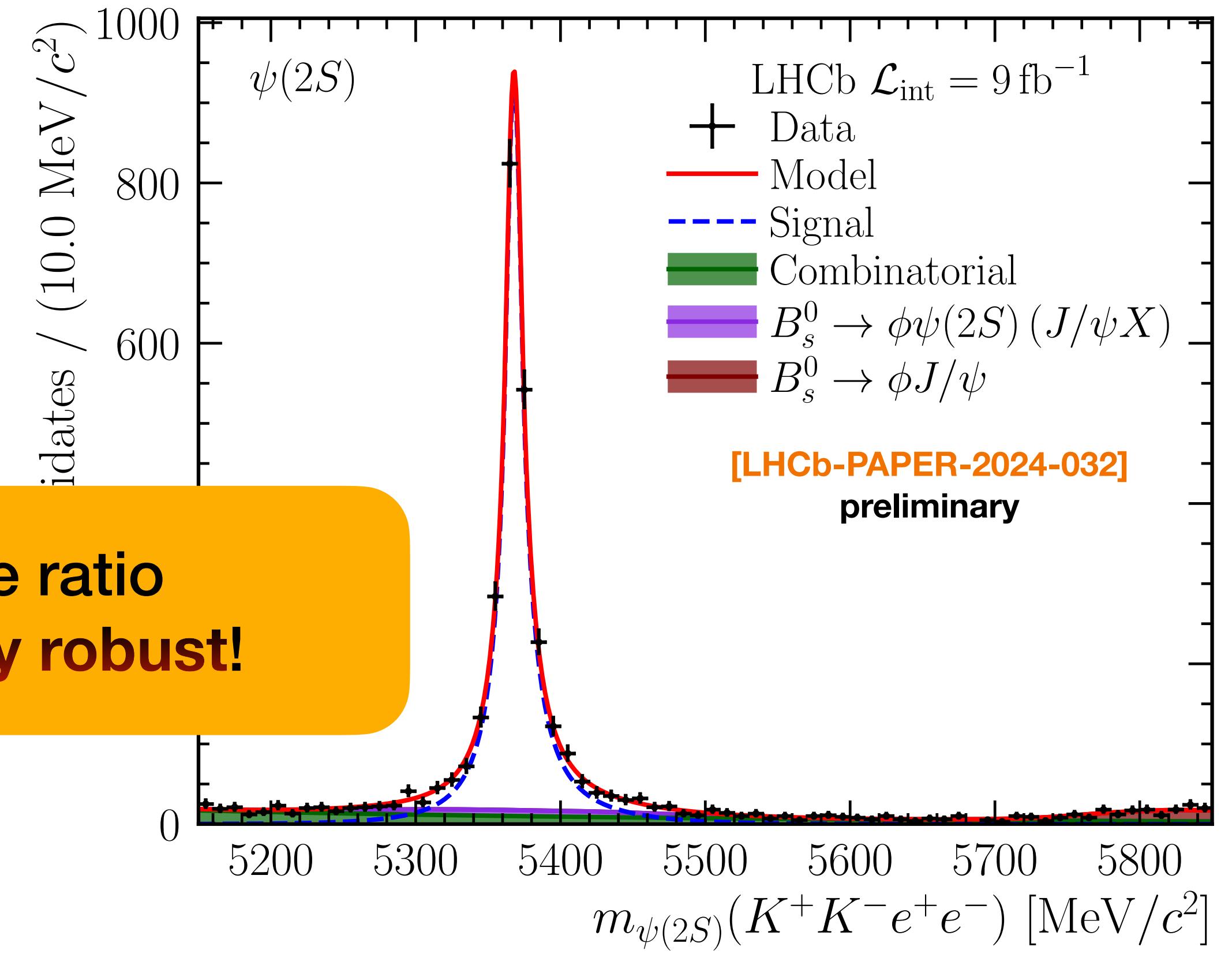
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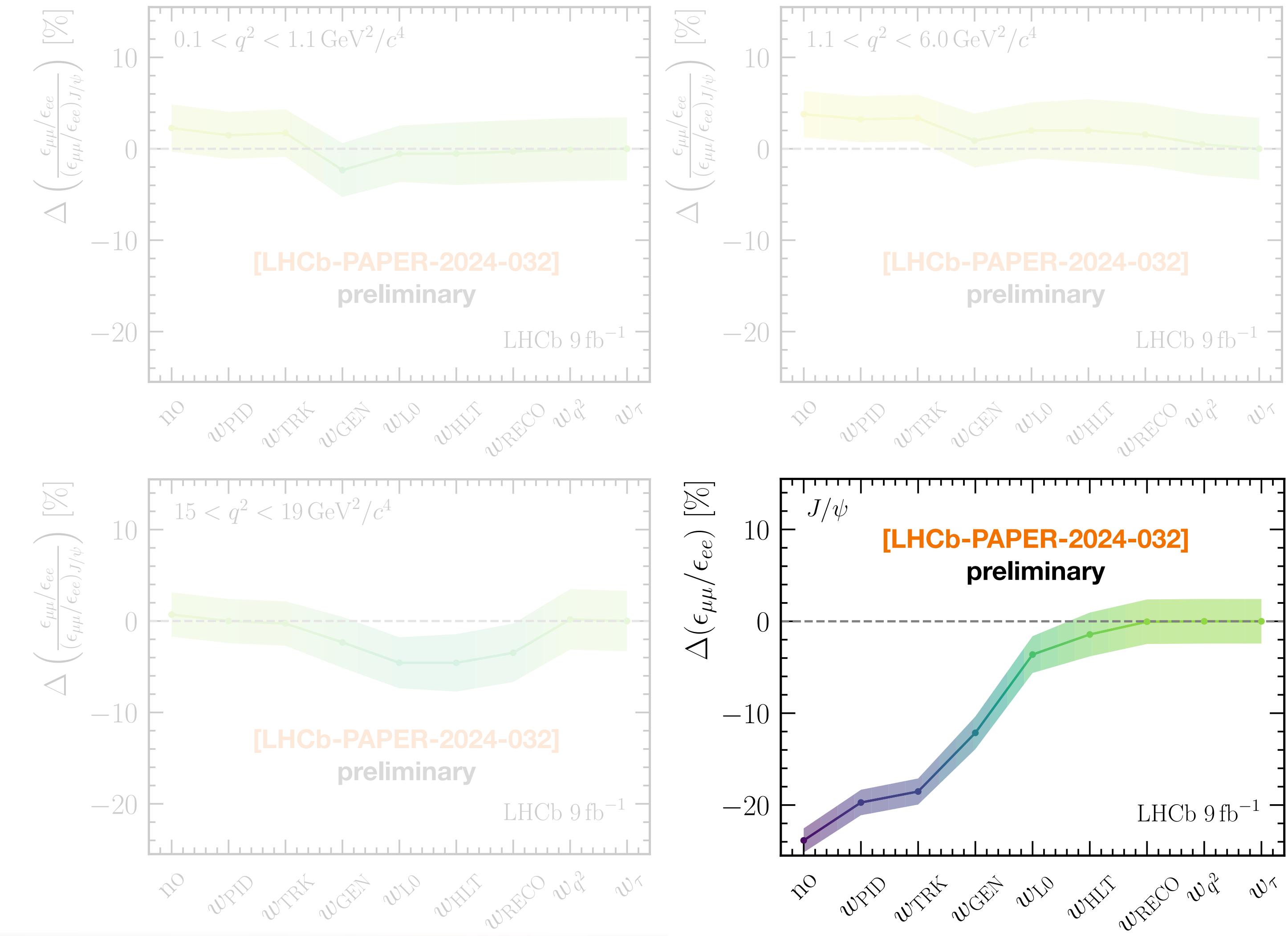


Double ratio
incredibly robust!



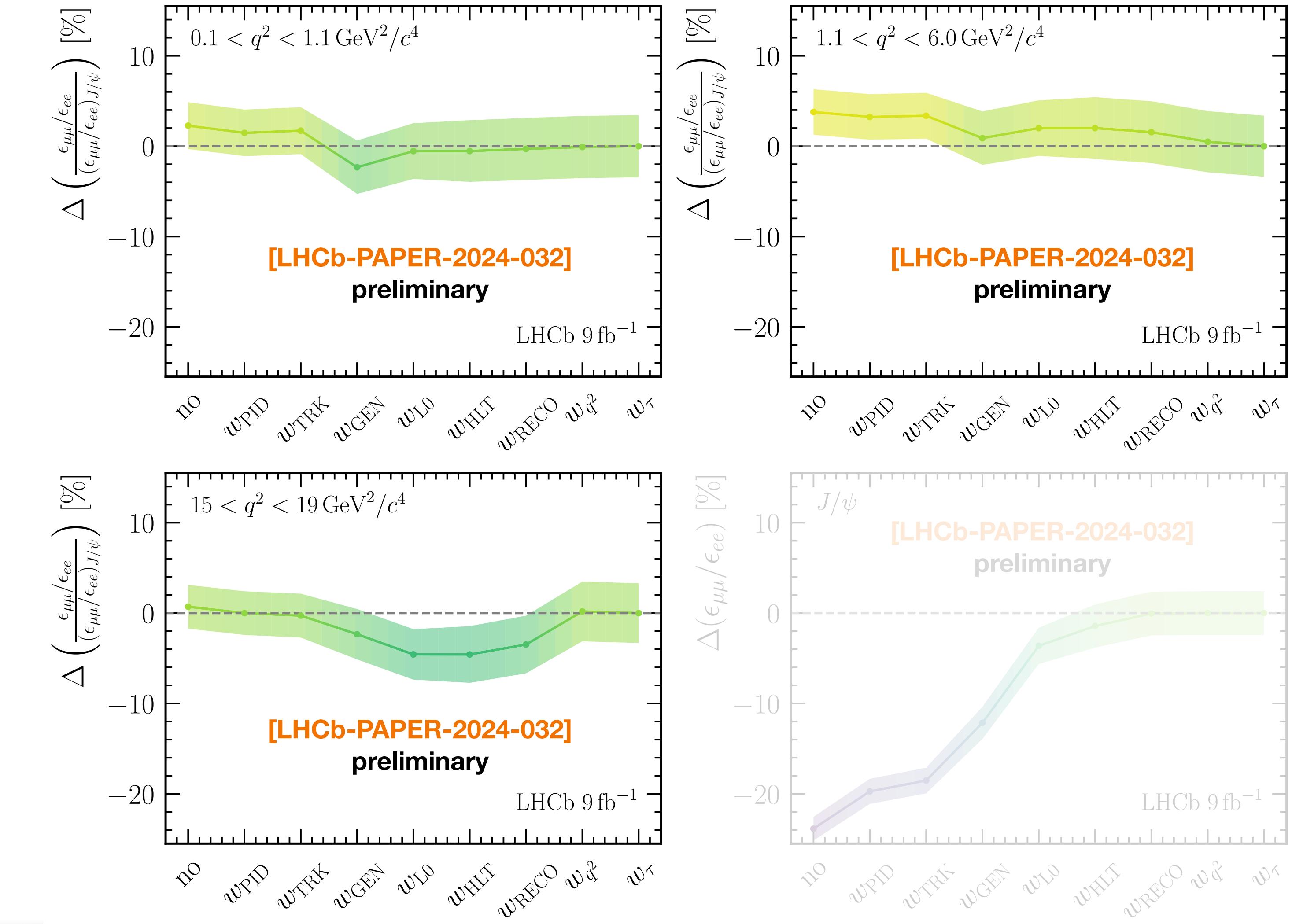
ROBUSTNESS OF THE DOUBLE RATIO

- Single ratio $r_{J/\psi}$ changes about 25 % after all simulation corrections applied



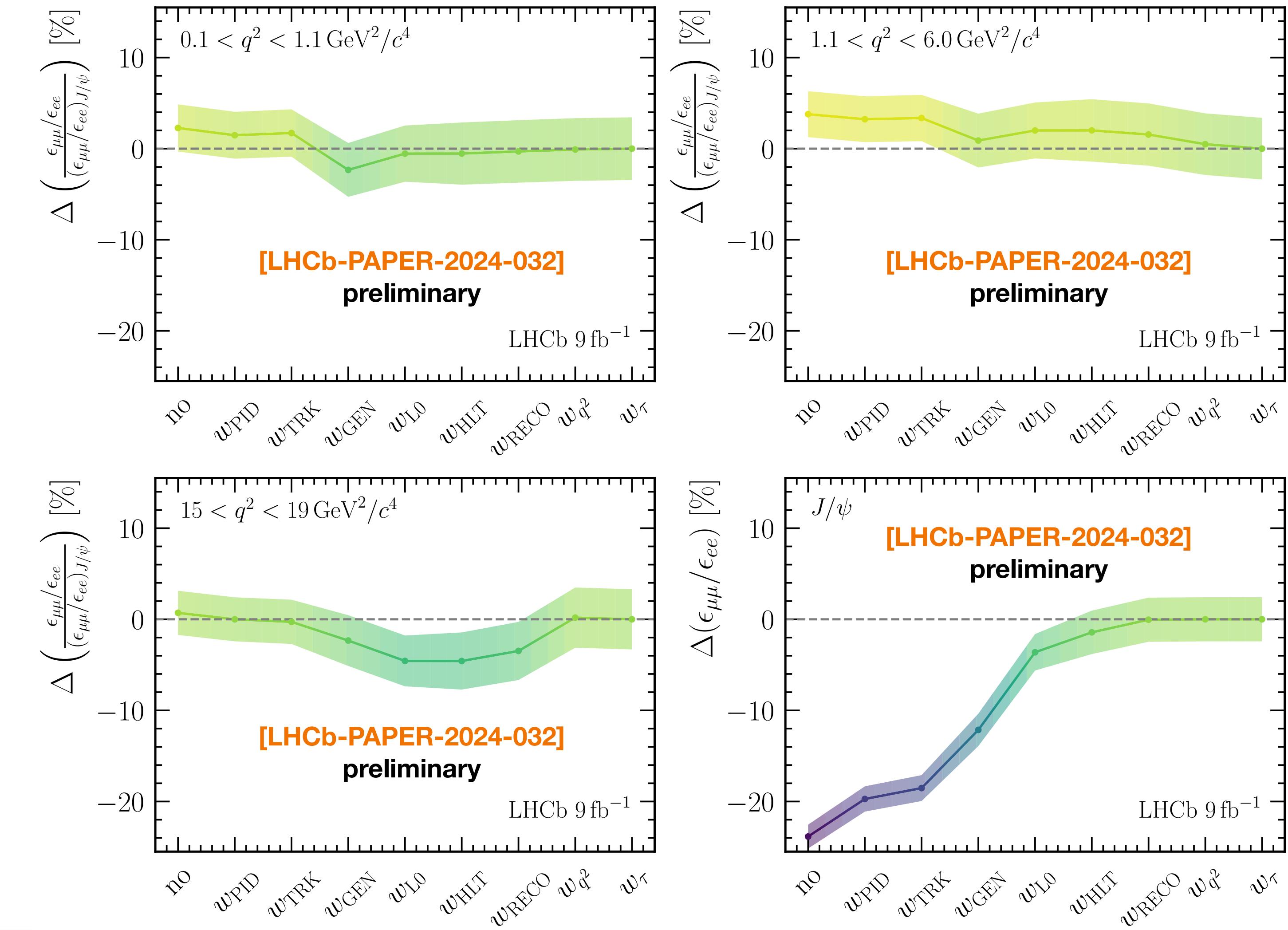
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- Double ratio R_ϕ and $R_{\psi(2S)}$ move only about $\mathcal{O}(1 \%)$



ROBUSTNESS OF THE DOUBLE RATIO

- Single ratio $r_{J/\psi}$ changes about 25 % after all simulation corrections applied
- Double ratio R_ϕ and $R_{\psi(2S)}$ move only about $\mathcal{O}(1 \%)$
- Reinforces **robustness** of the **double ratio approach**
- Highlights control of systematic uncertainties

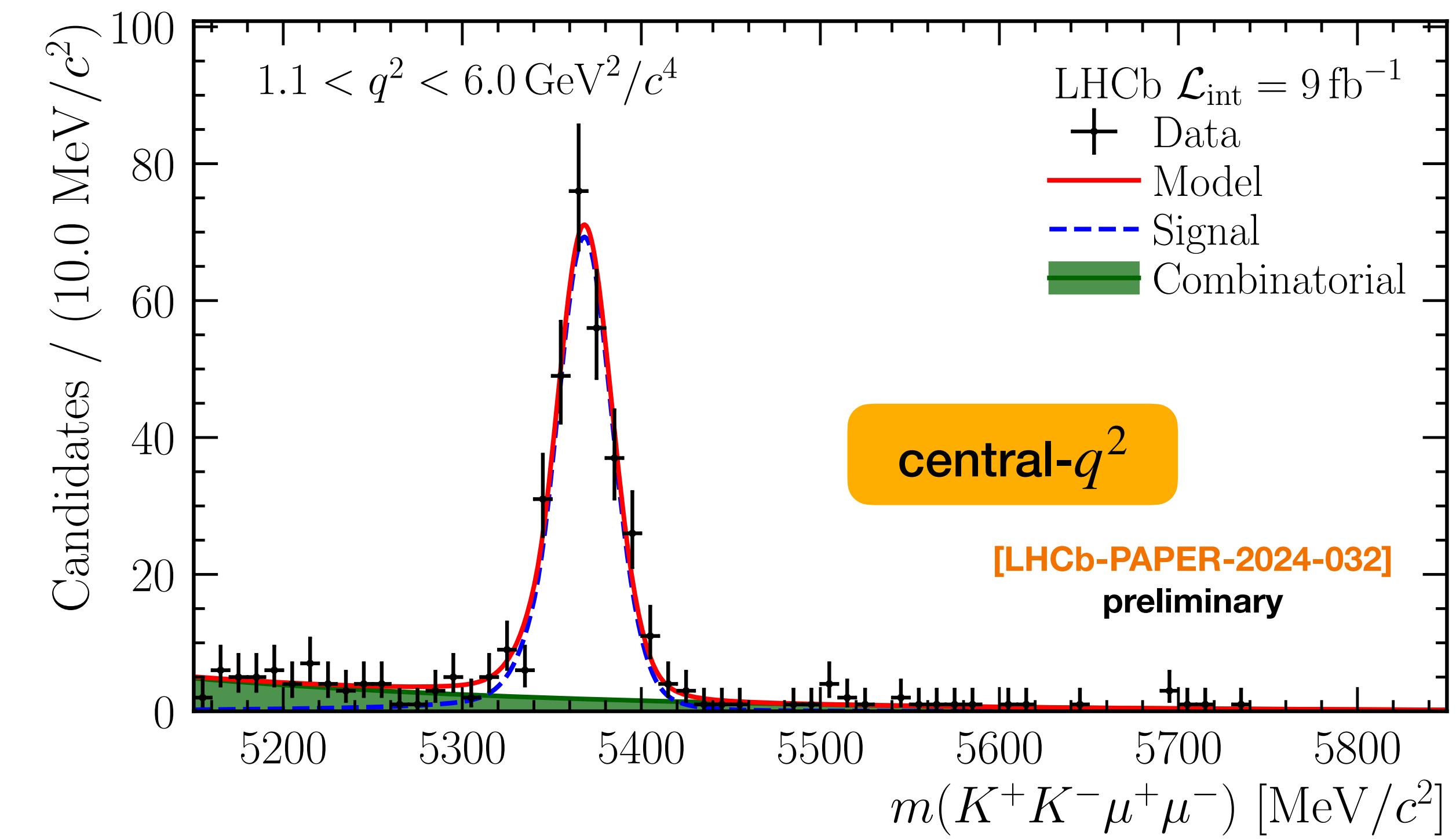
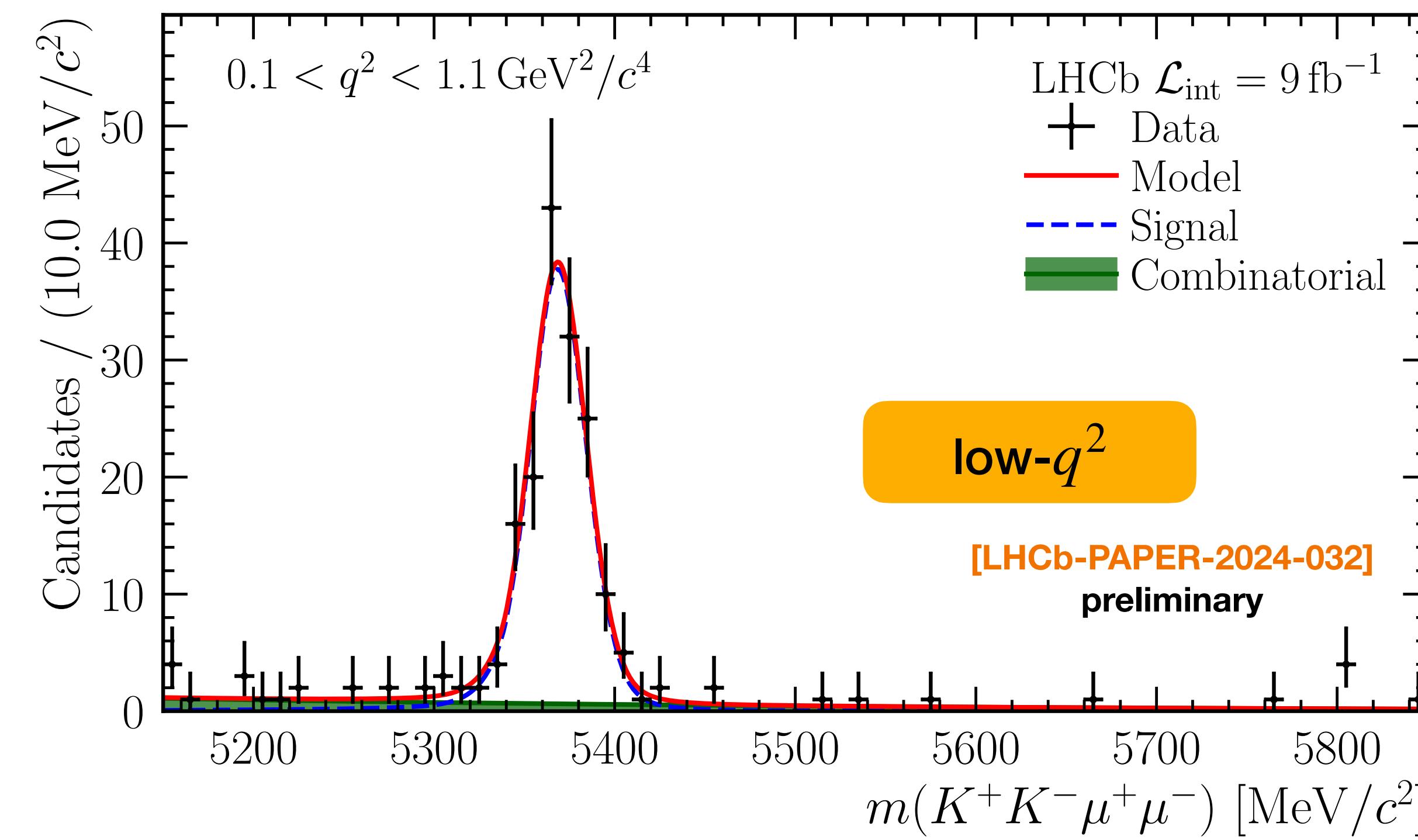


MASS FIT TO LOW AND CENTRAL q^2 - MUONS

LHCb
RHCP

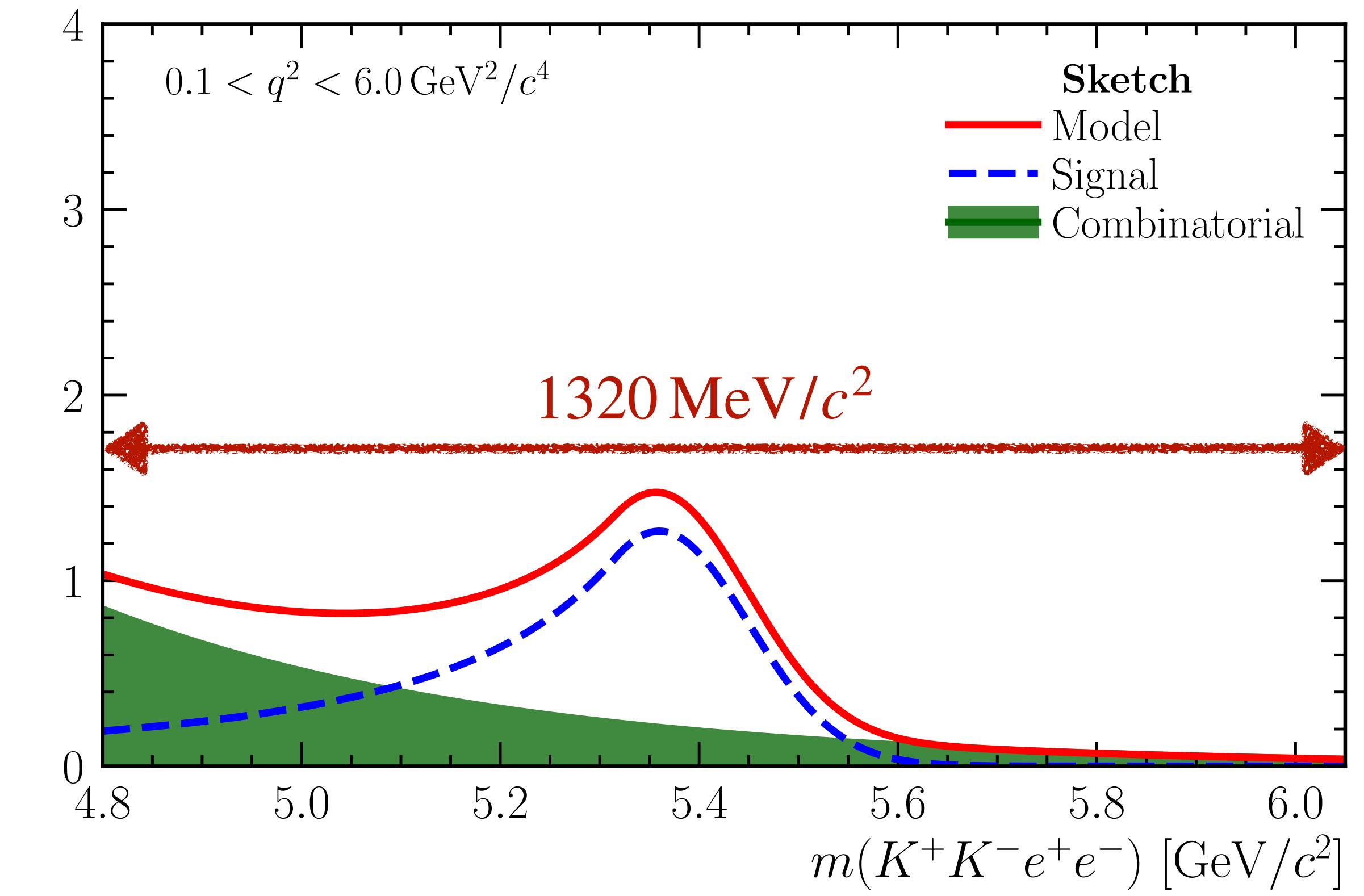
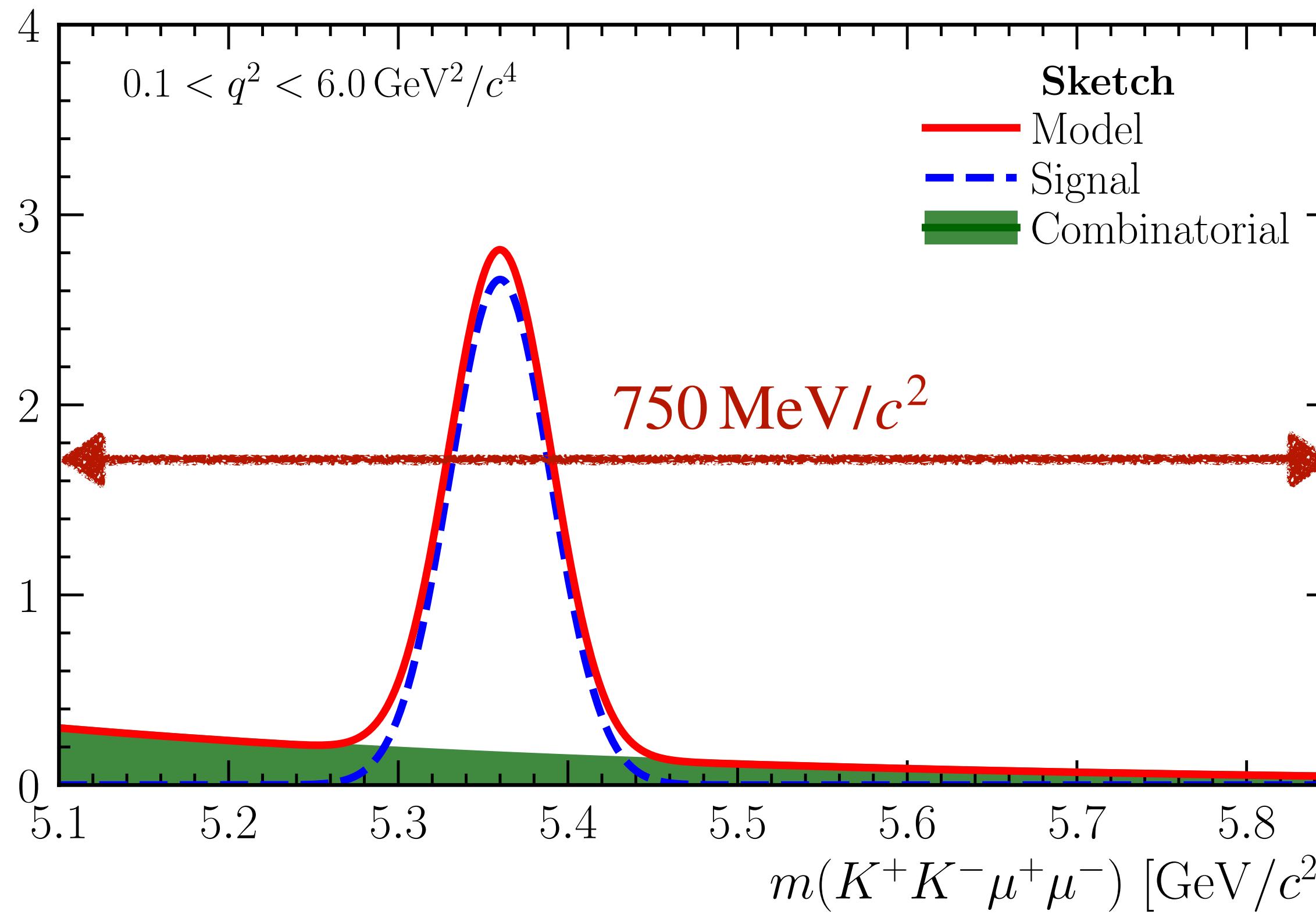
- Clean signal peak
- Almost **negligible** background levels

- Simultaneous fit: **mean shift** and **width scale** shared and constrained from J/ψ



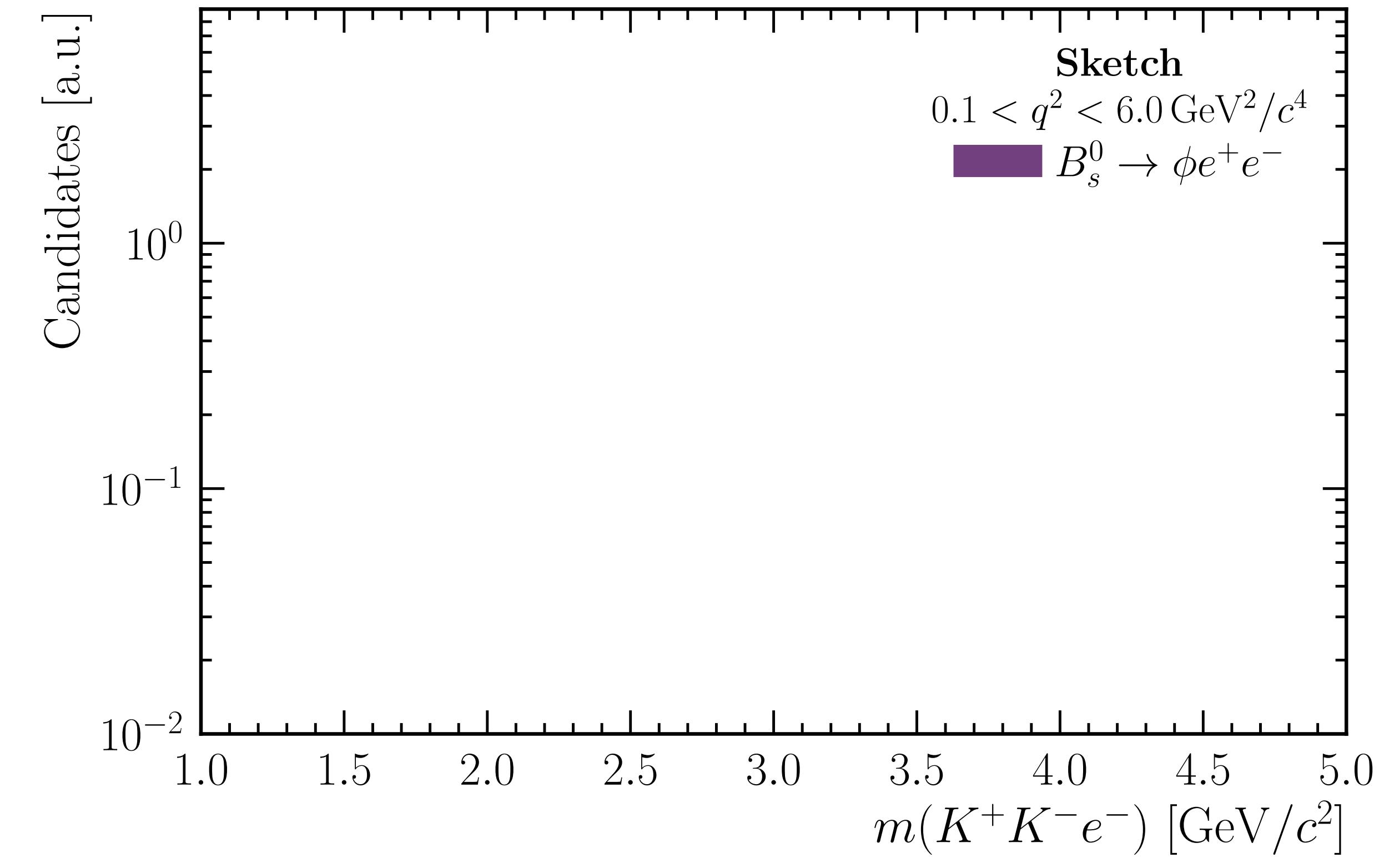
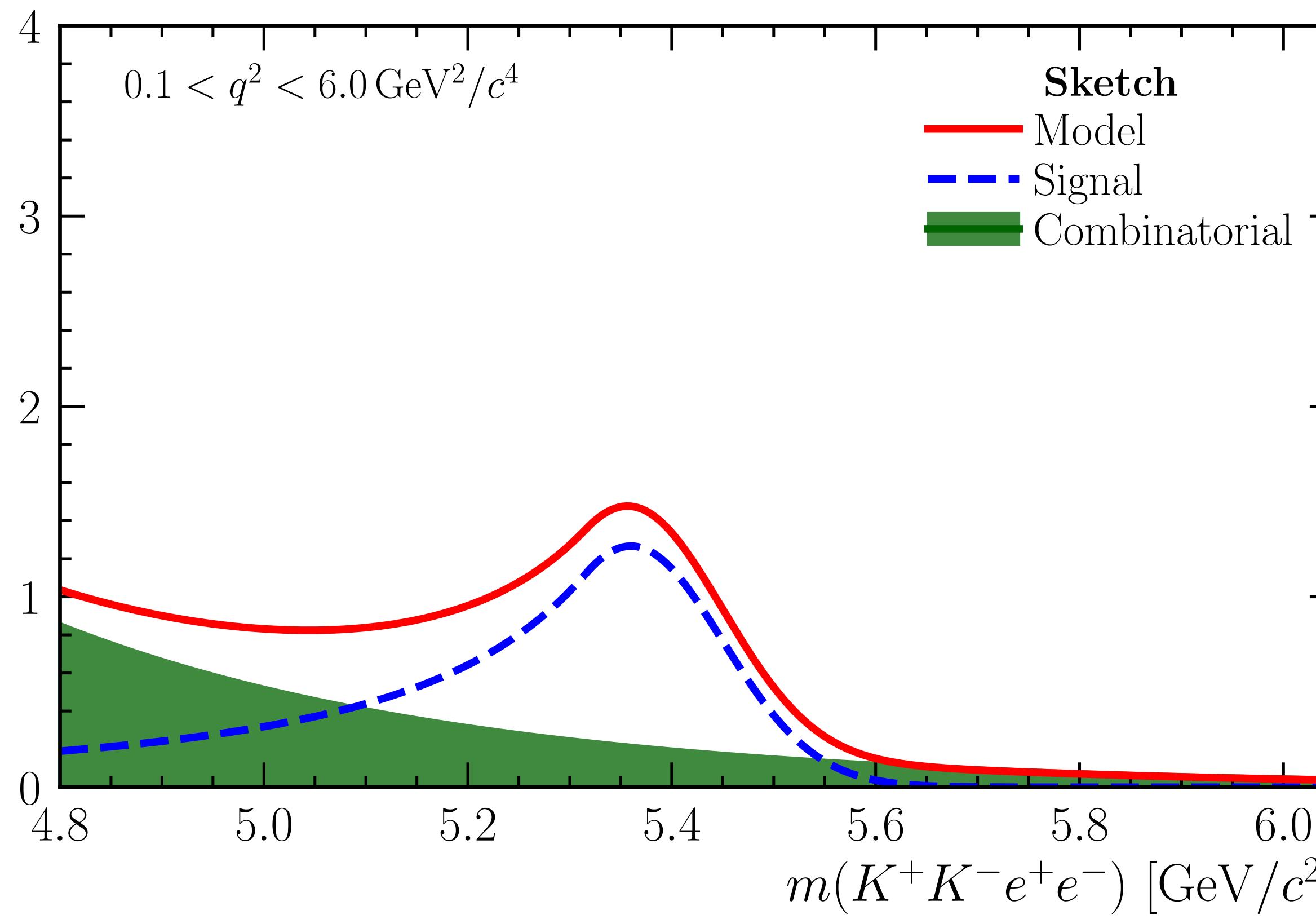
FROM MUON FITS TO ELECTRON FITS

- Wider mass range due to compromised resolution, pronounced tails



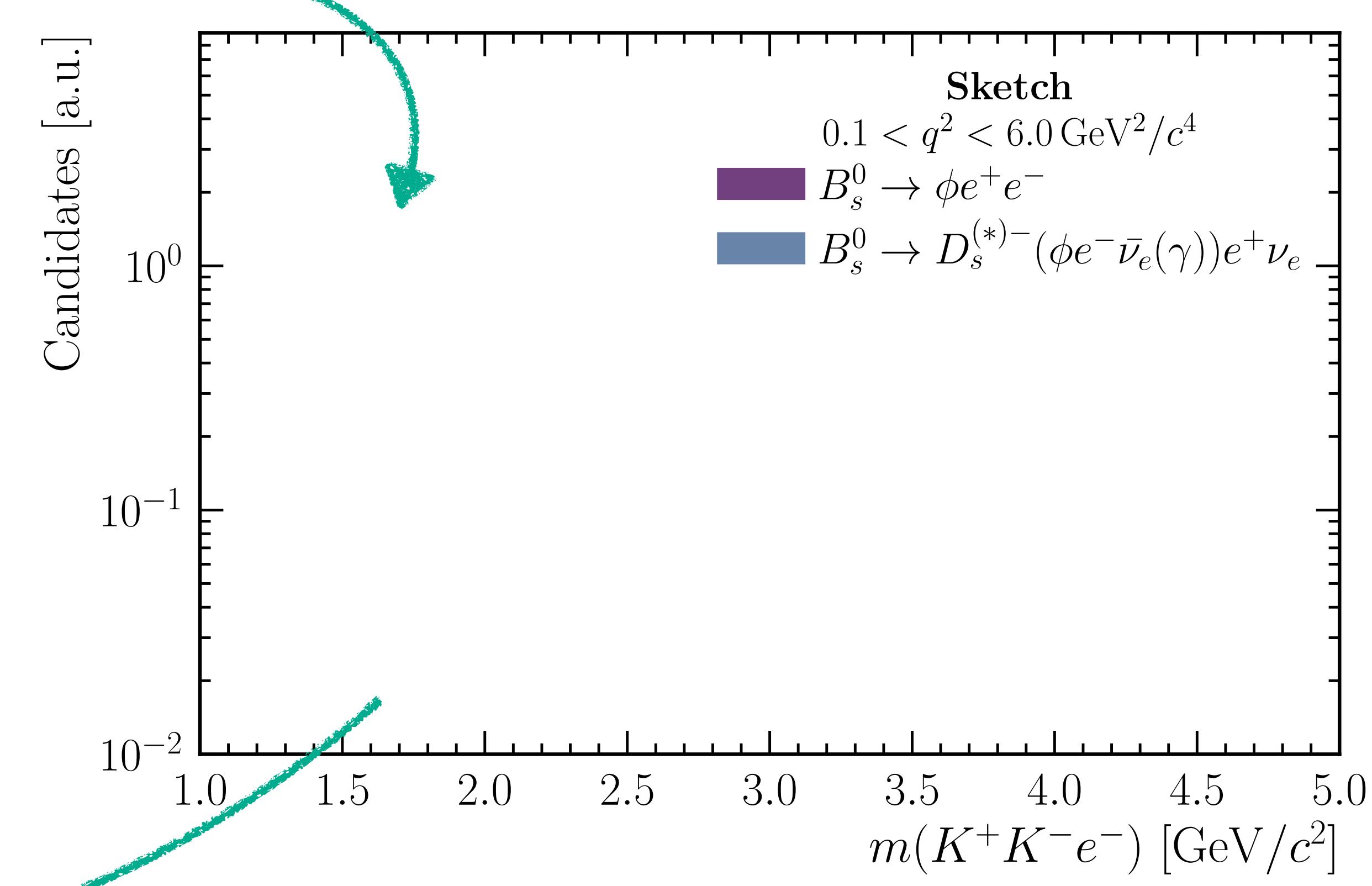
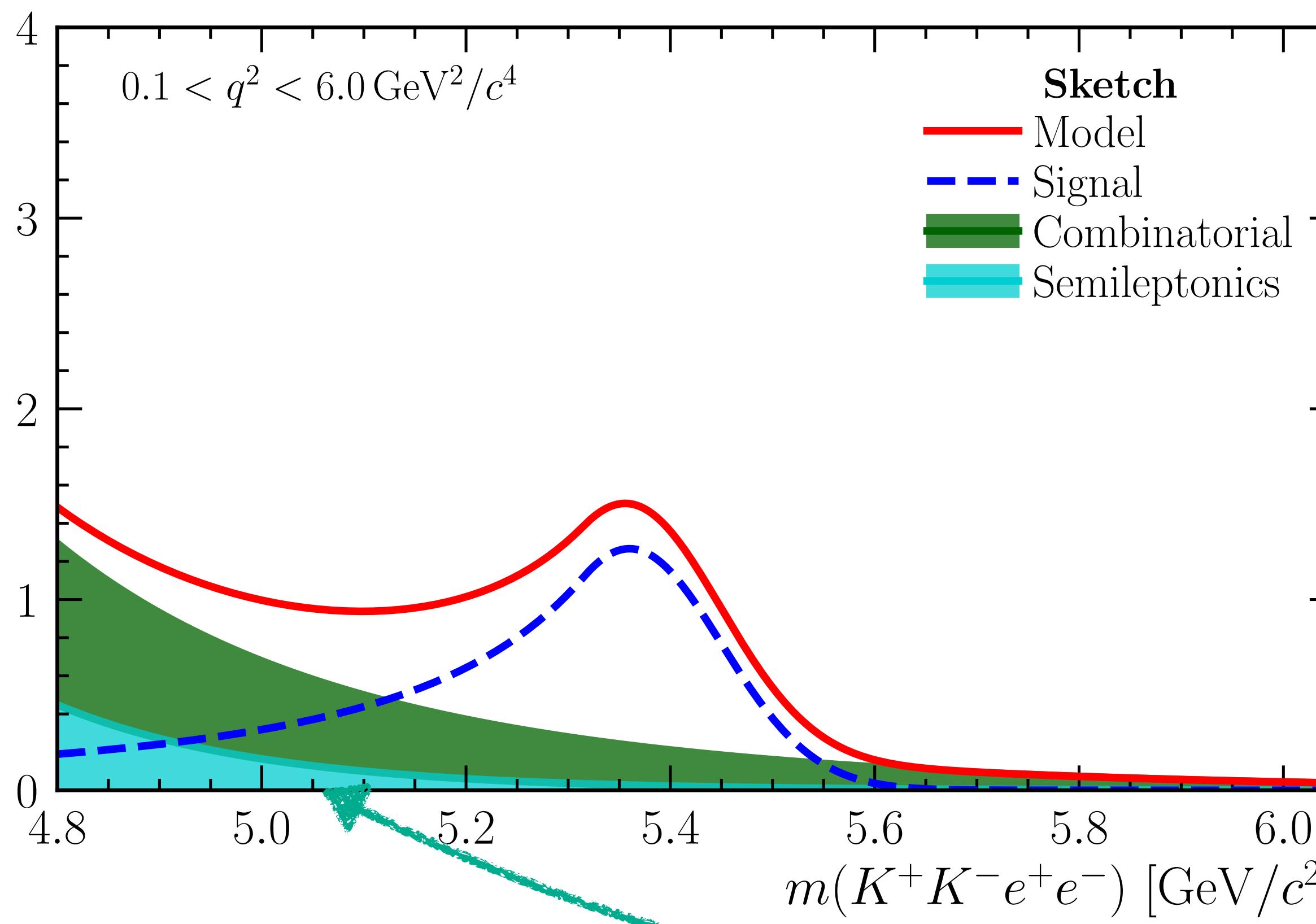
ELECTRON FITS: TREE LEVEL BACKGROUND

- **Wider mass range** due to compromised resolution, pronounced tails
 - Tree-level $B_s^0 \rightarrow D_s^- e^+ \nu_e$ become relevant



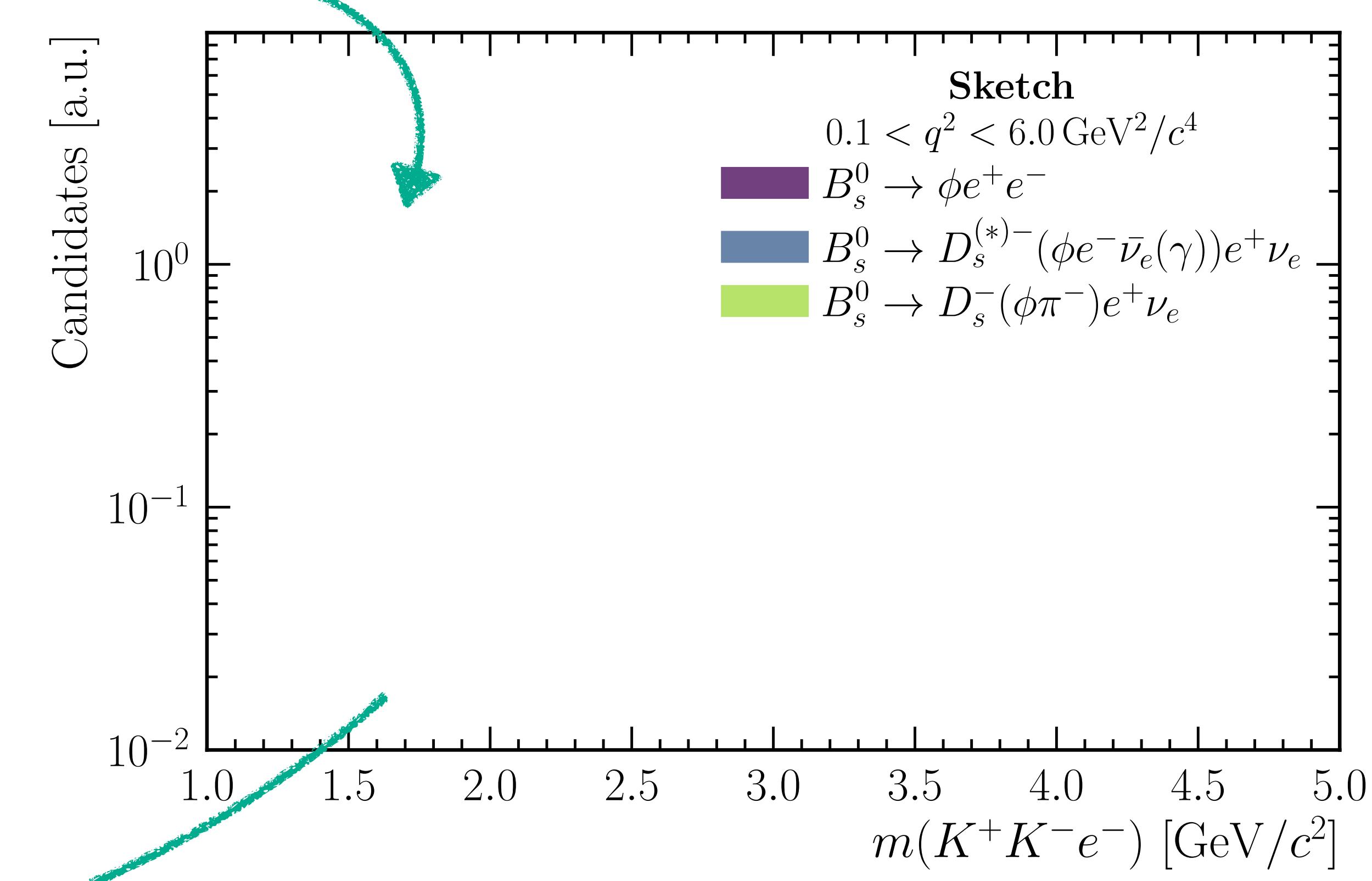
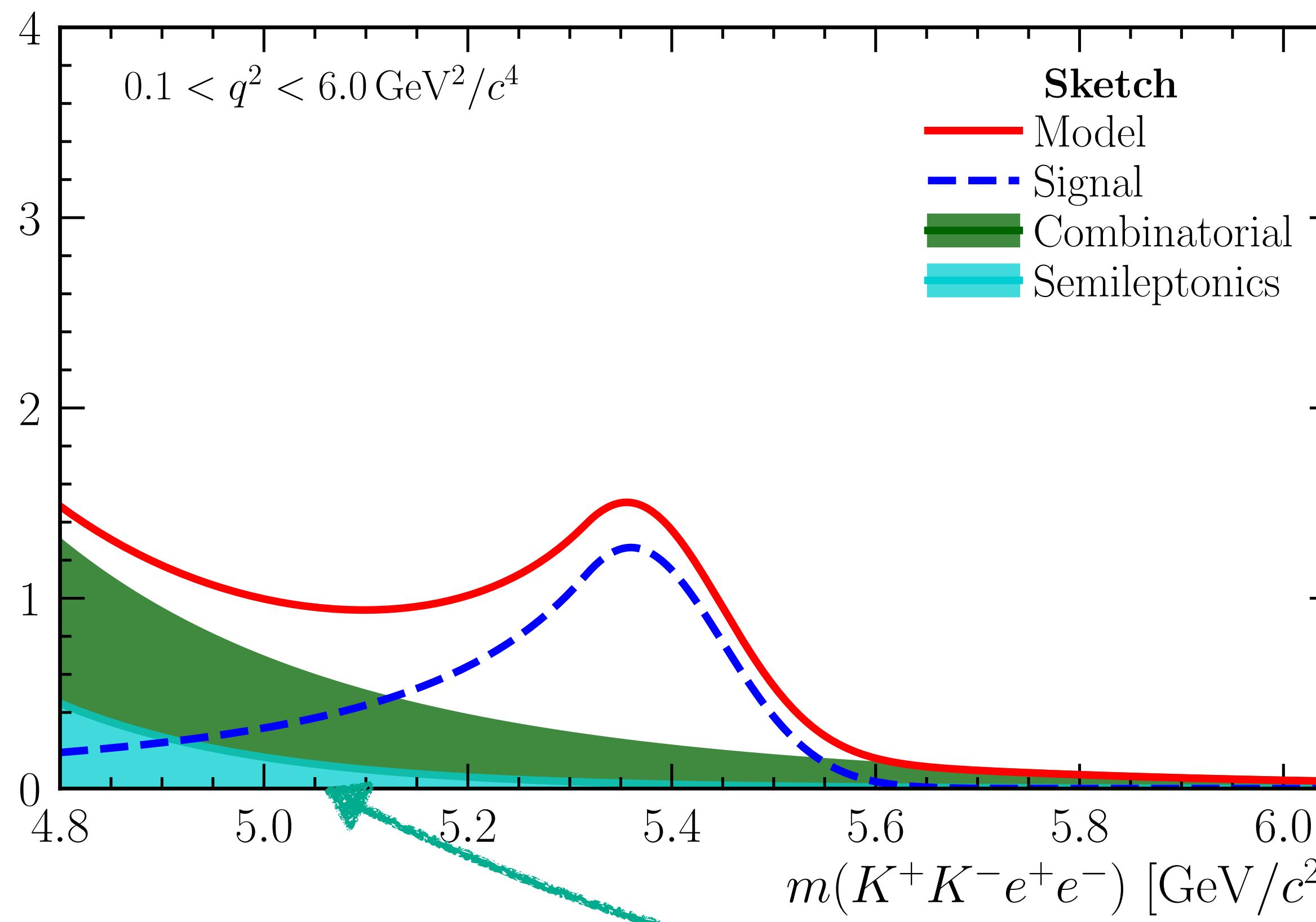
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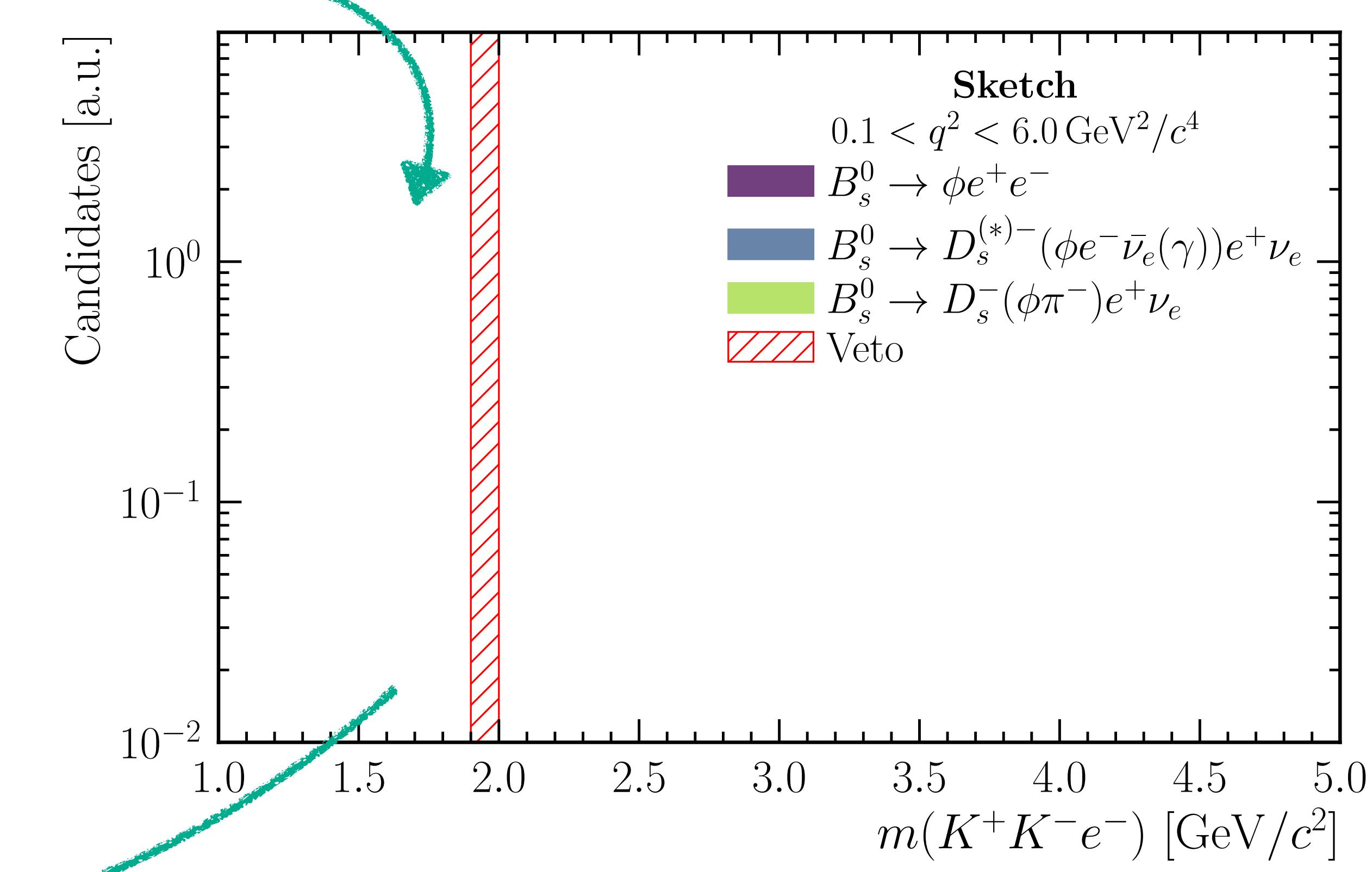
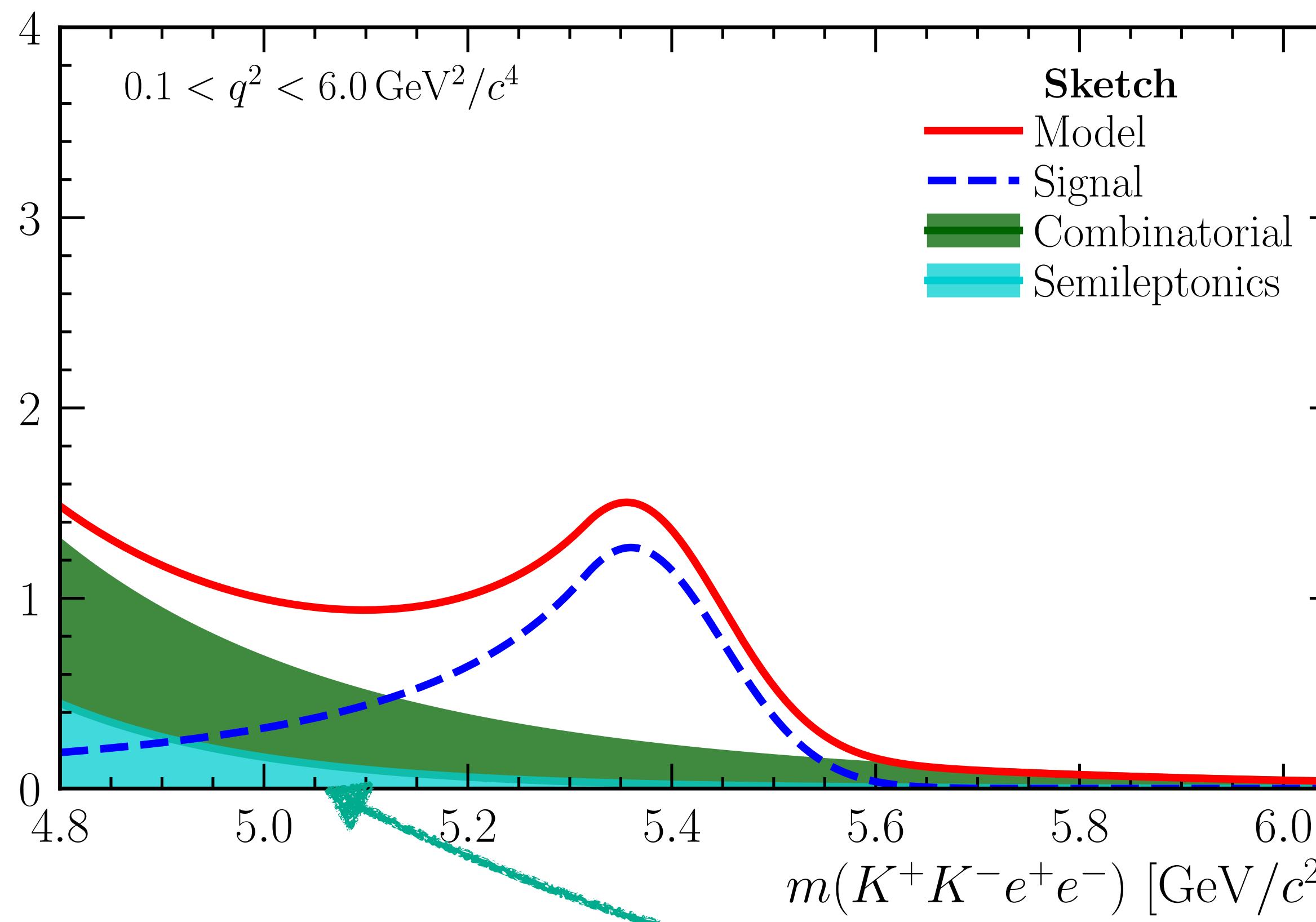
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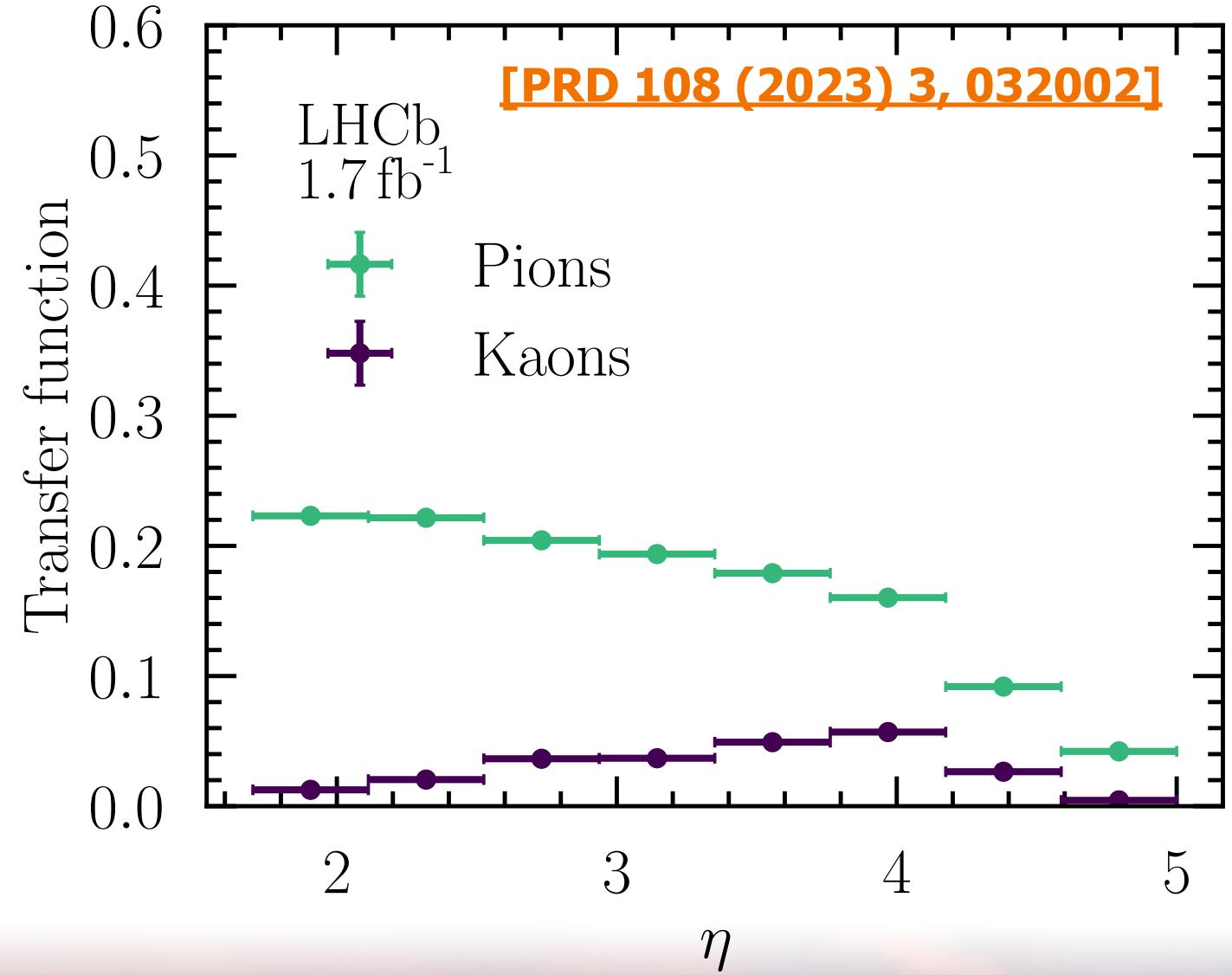
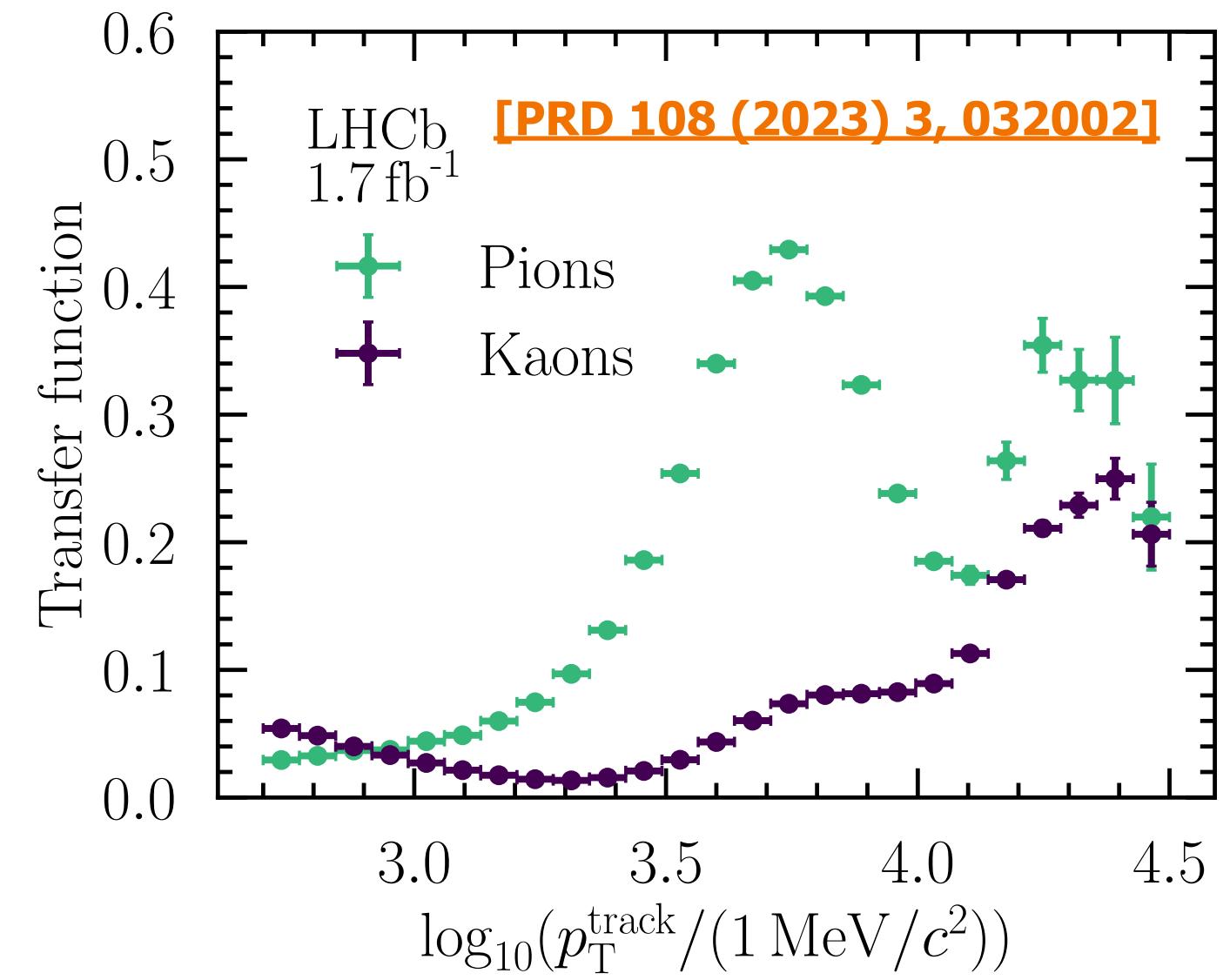
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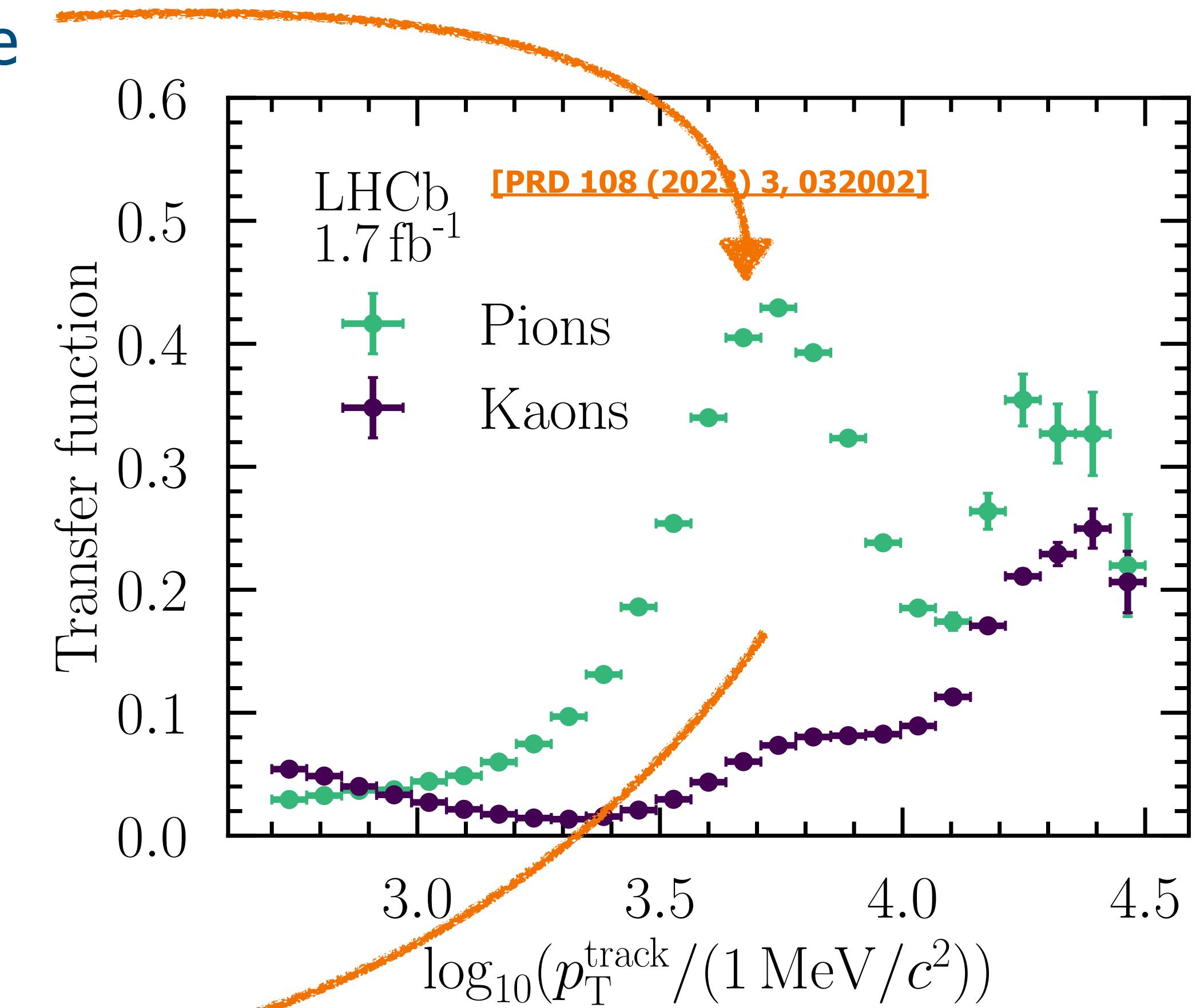
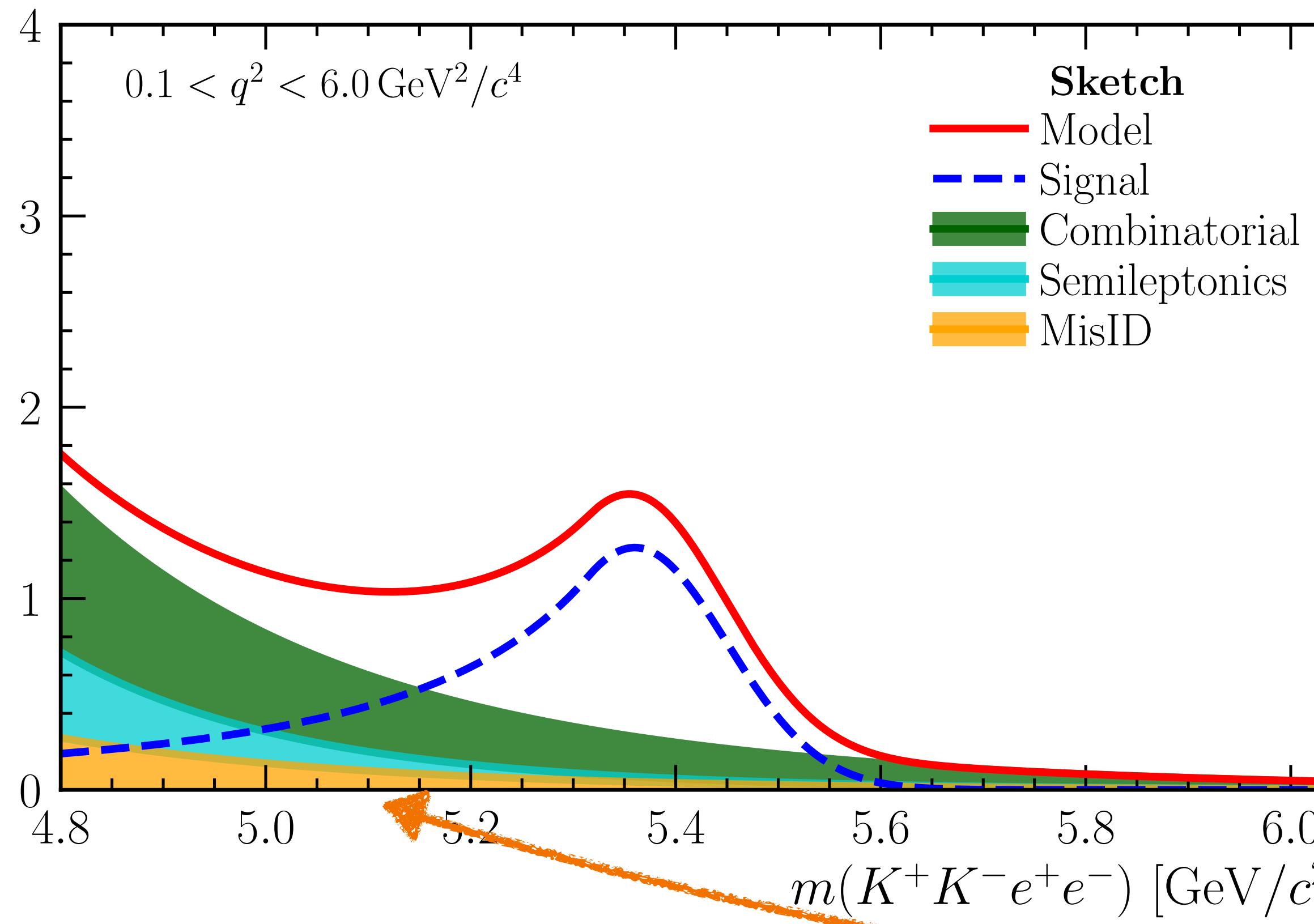
RESIDUAL BACKGROUND SOURCES

- **Data driven** estimate of residual $h \rightarrow e$ mis-ID similar to [\[PRD 108 \(2023\) 3, 032002\]](#)
 - Extrapolate from background enriched control region which inverts the PID requirements
 - 3 regions: $e_{\text{fail}}^+ e_{\text{pass}}^-$, $e_{\text{pass}}^+ e_{\text{fail}}^-$, and $e_{\text{fail}}^+ e_{\text{fail}}^-$
- Build **transfer functions** $T_h(p_T, \eta, L0_e)$ from calibration samples
 - Encode **probability** for candidate in background region to be reconstructed in signal region
 - Obtain prediction for **shape** and **amount** of residual backgrounds!
 - Validated using $D \rightarrow K\pi$ decays in $B_s^0 \rightarrow \phi e^+ e^-$ data



ELECTRON FITS: MISID BACKGROUND

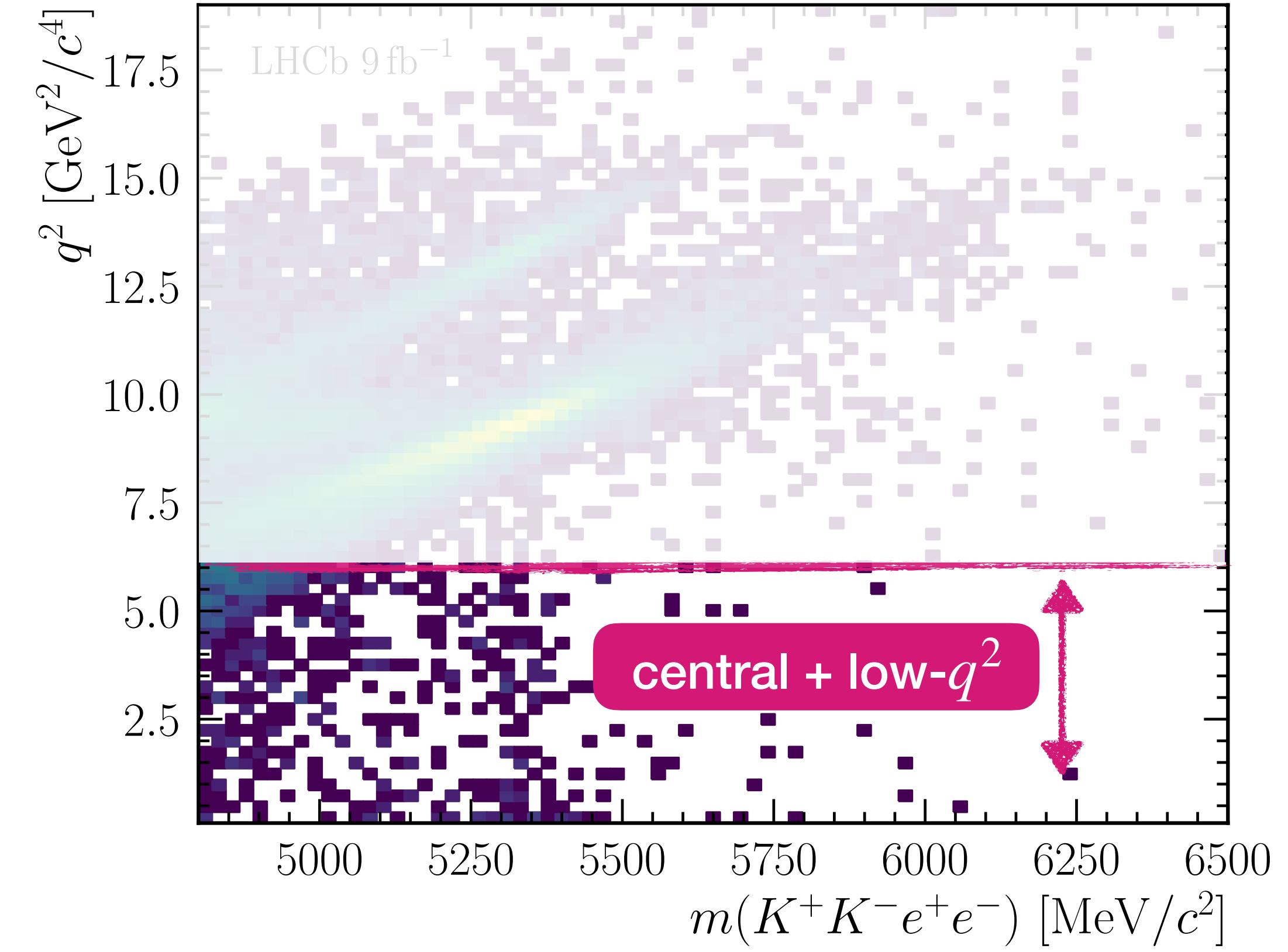
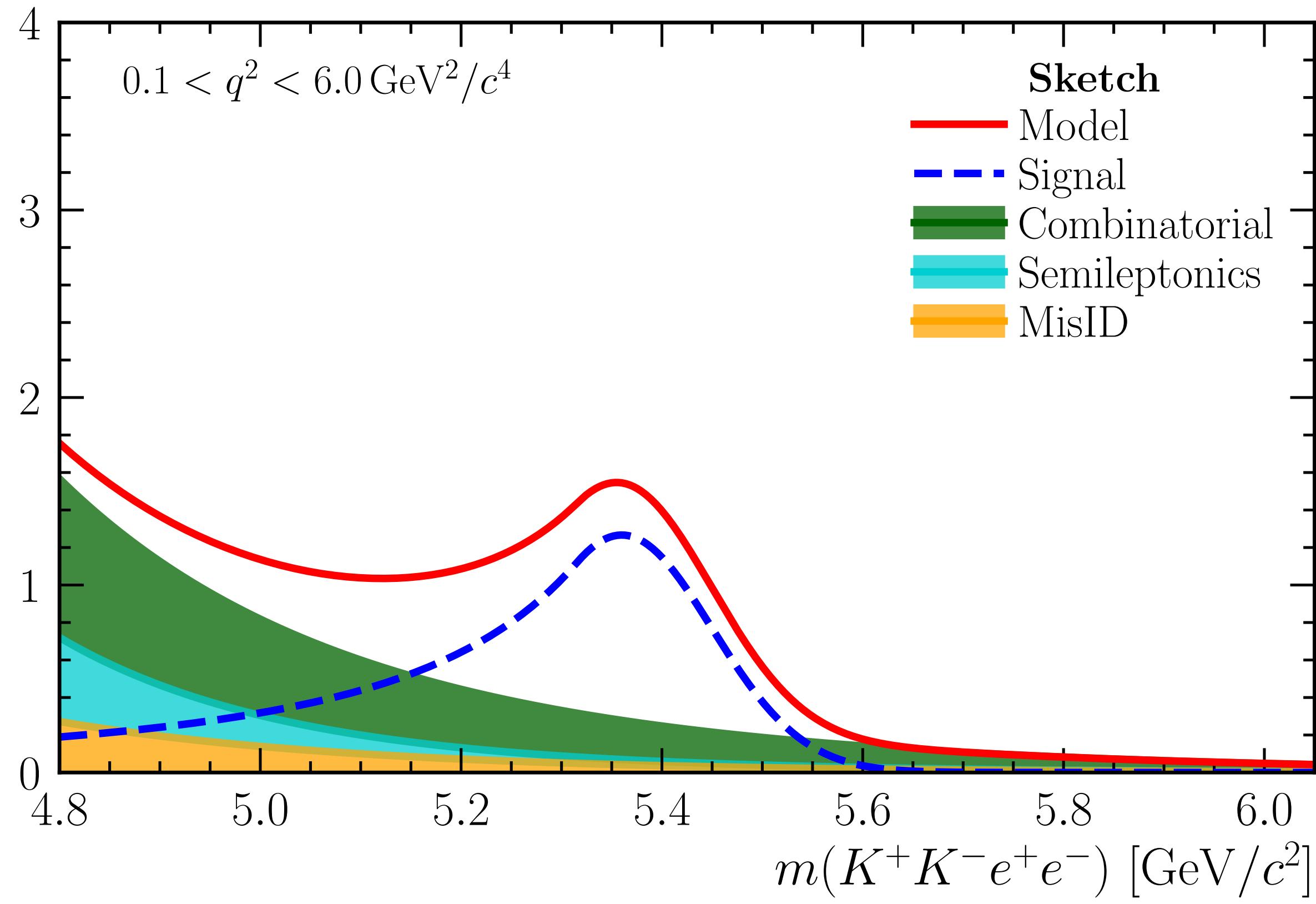
- **Wider mass range** due to compromised resolution, pronounced tails
 - Hadron to electron mis-identification plays a role



ELECTRON FITS: LEAKAGE BACKGROUND

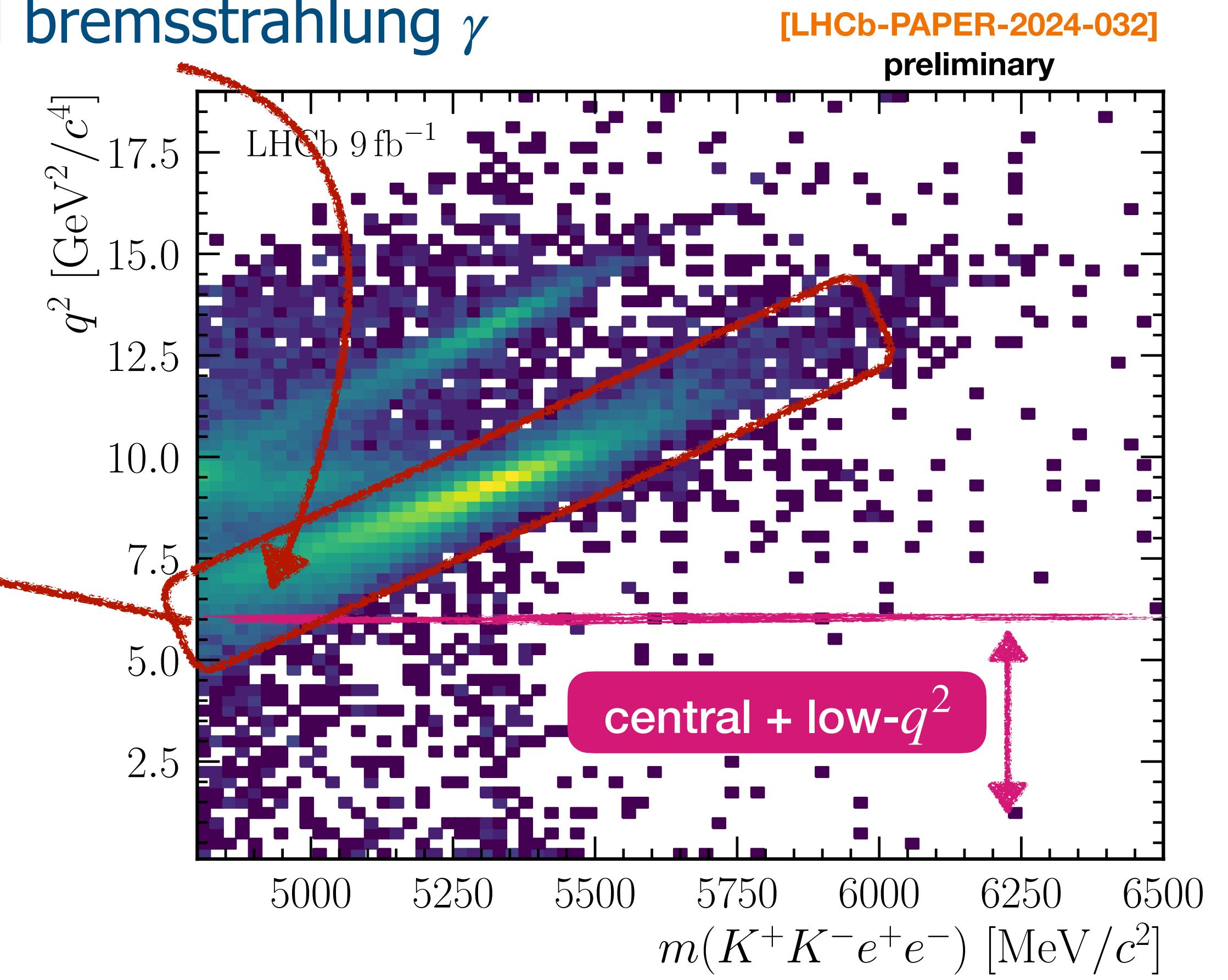
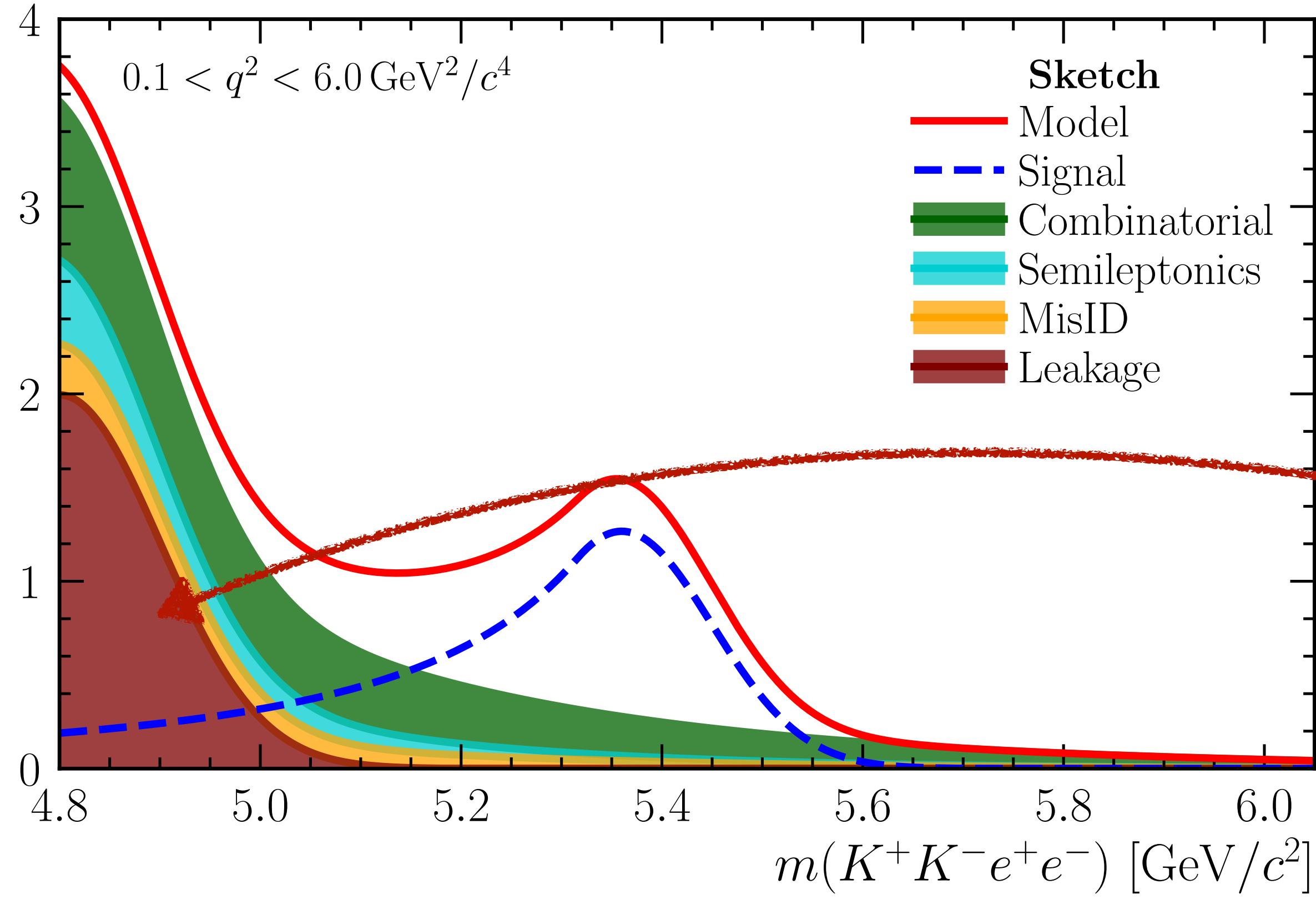
- **Wider mass range** due to compromised resolution, pronounced tails
 - Leakage from $B_s^0 \rightarrow \phi J/\psi(\rightarrow e^+e^-)$ with missed bremsstrahlung γ

[LHCb-PAPER-2024-032]
preliminary



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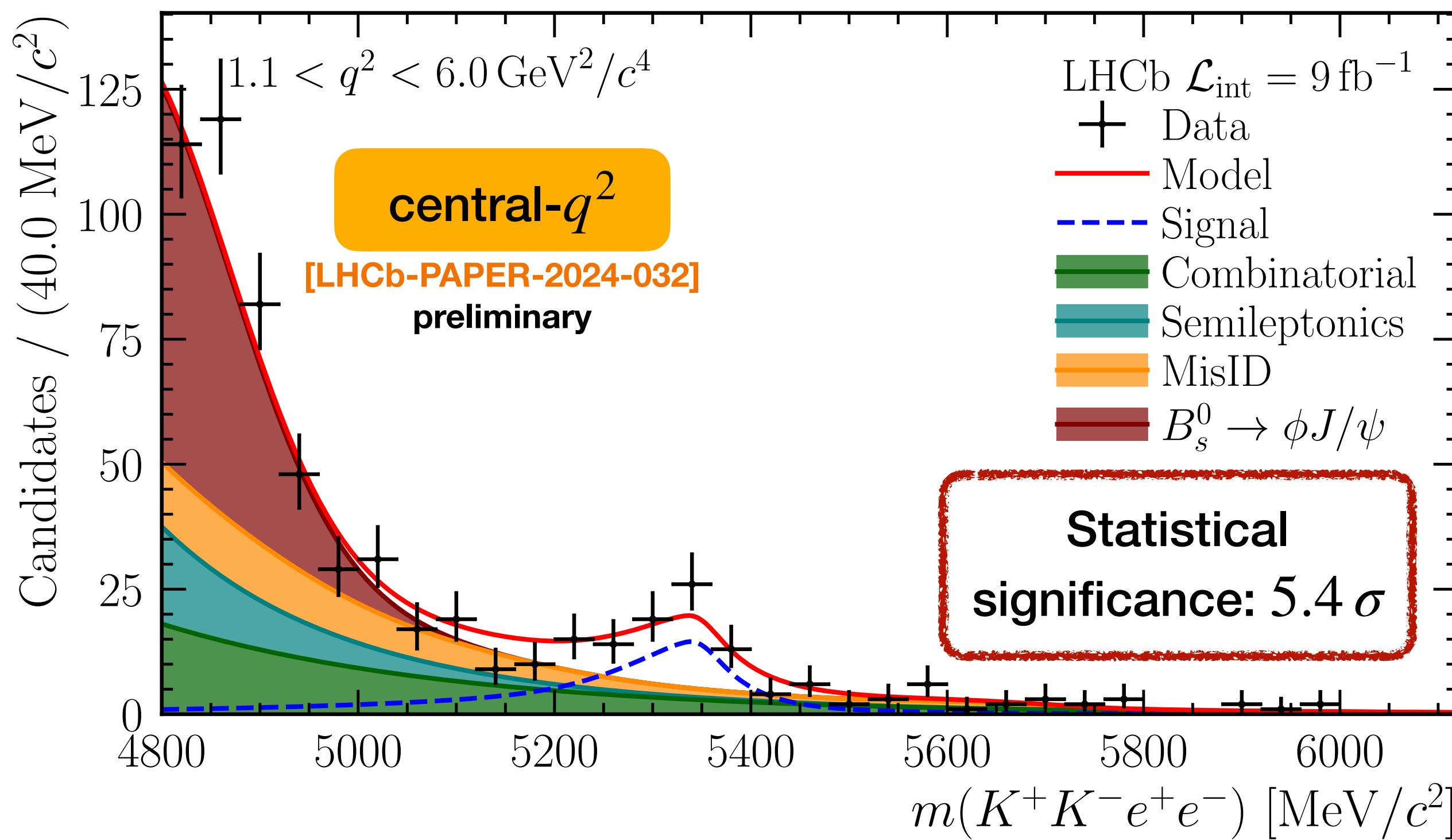
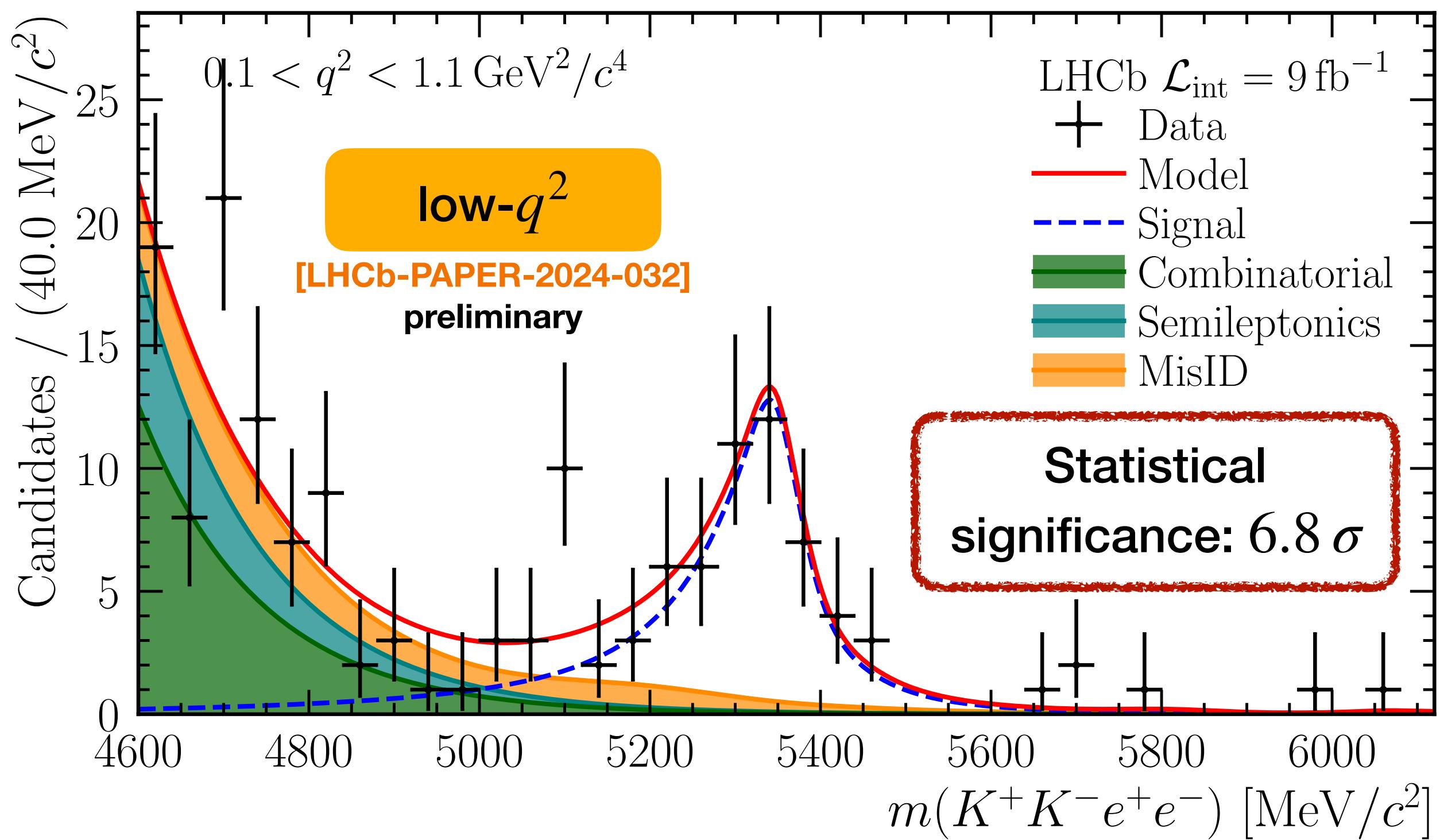
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MASS FITS TO LOW AND CENTRAL q^2 : ELECTRONS

- Relatively clean signal inspite of challenges
- $B_s^0 \rightarrow \phi J/\psi$ only relevant for central- q^2 (right)

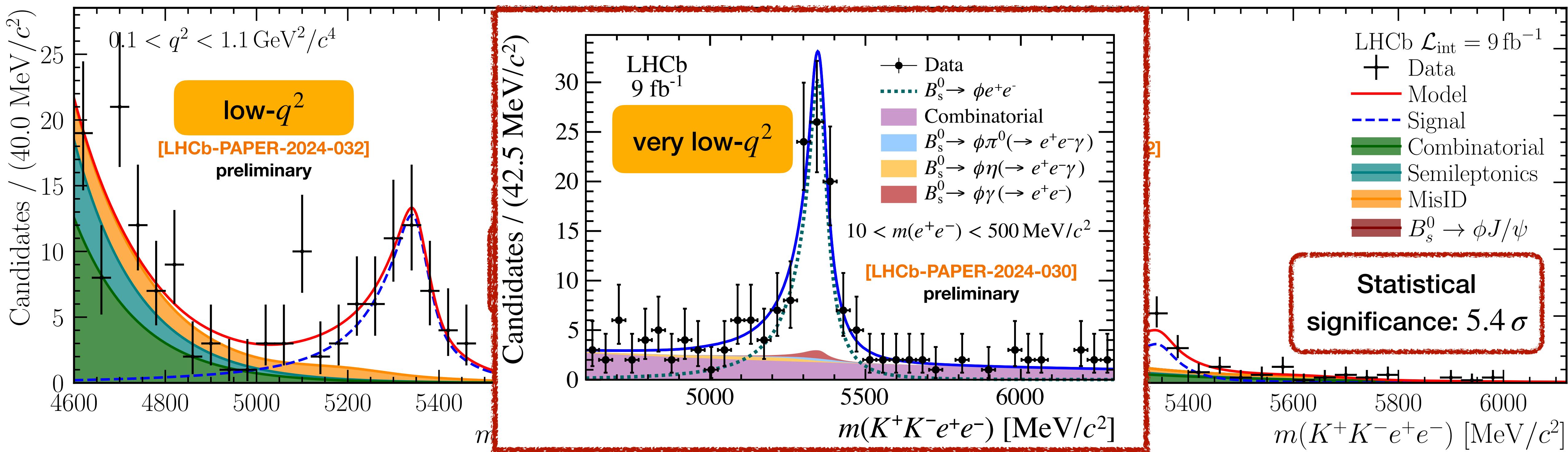
First observation of $B_s^0 \rightarrow \phi e^+e^-$!
 Together with [LHCb-PAPER-2024-030]
 (in preparation)



MASS FITS TO LOW AND CENTRAL q^2 : ELECTRONS

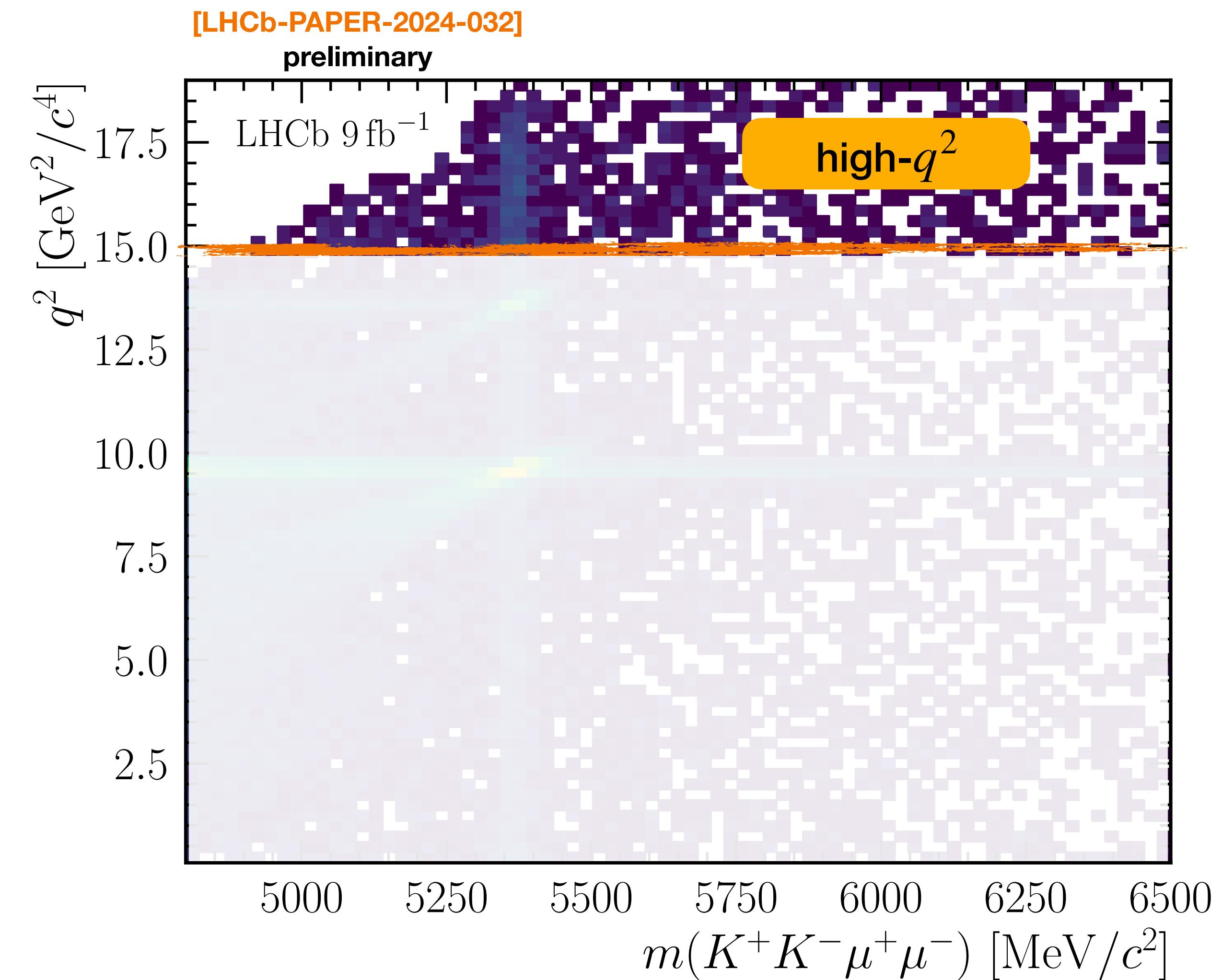
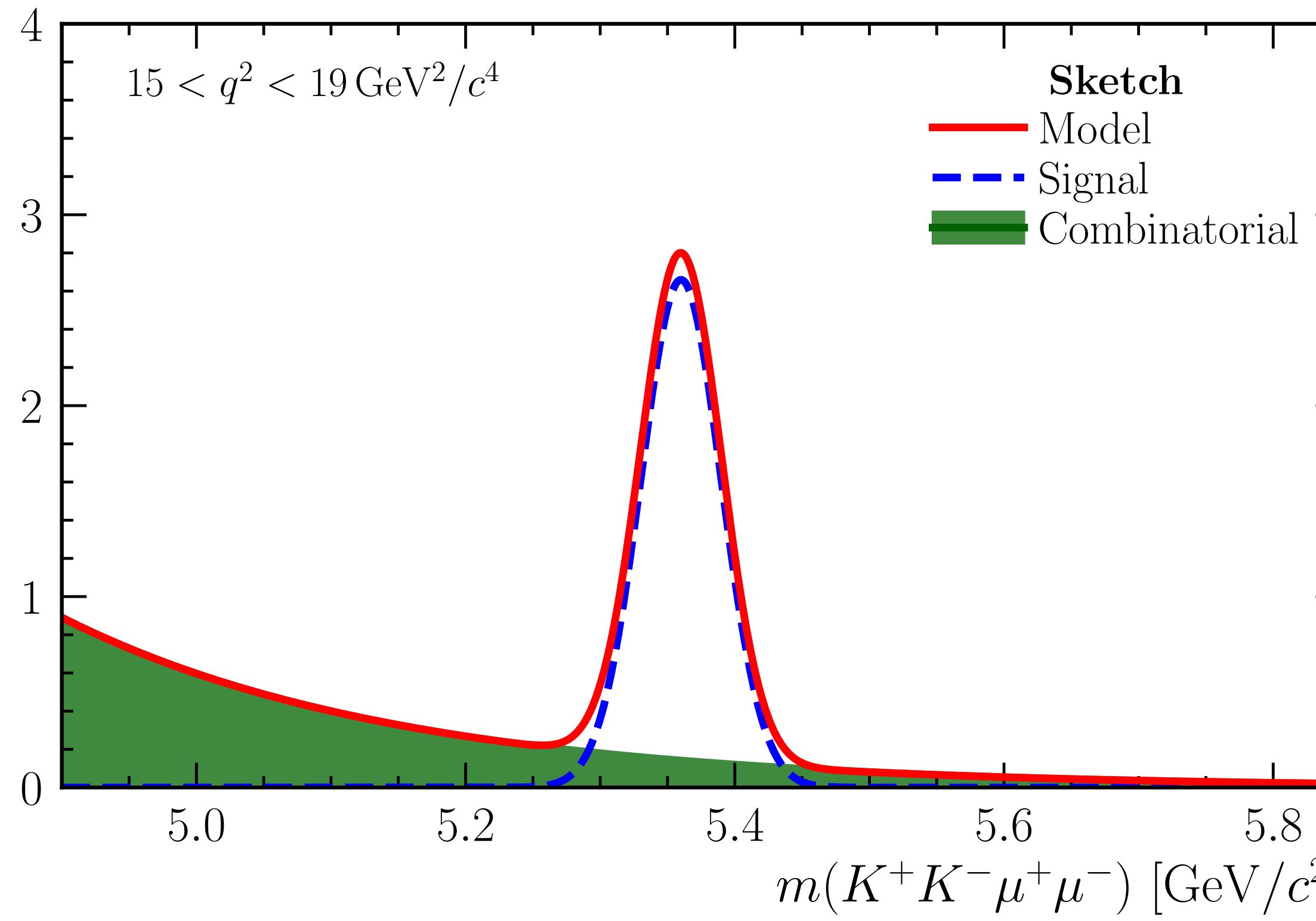
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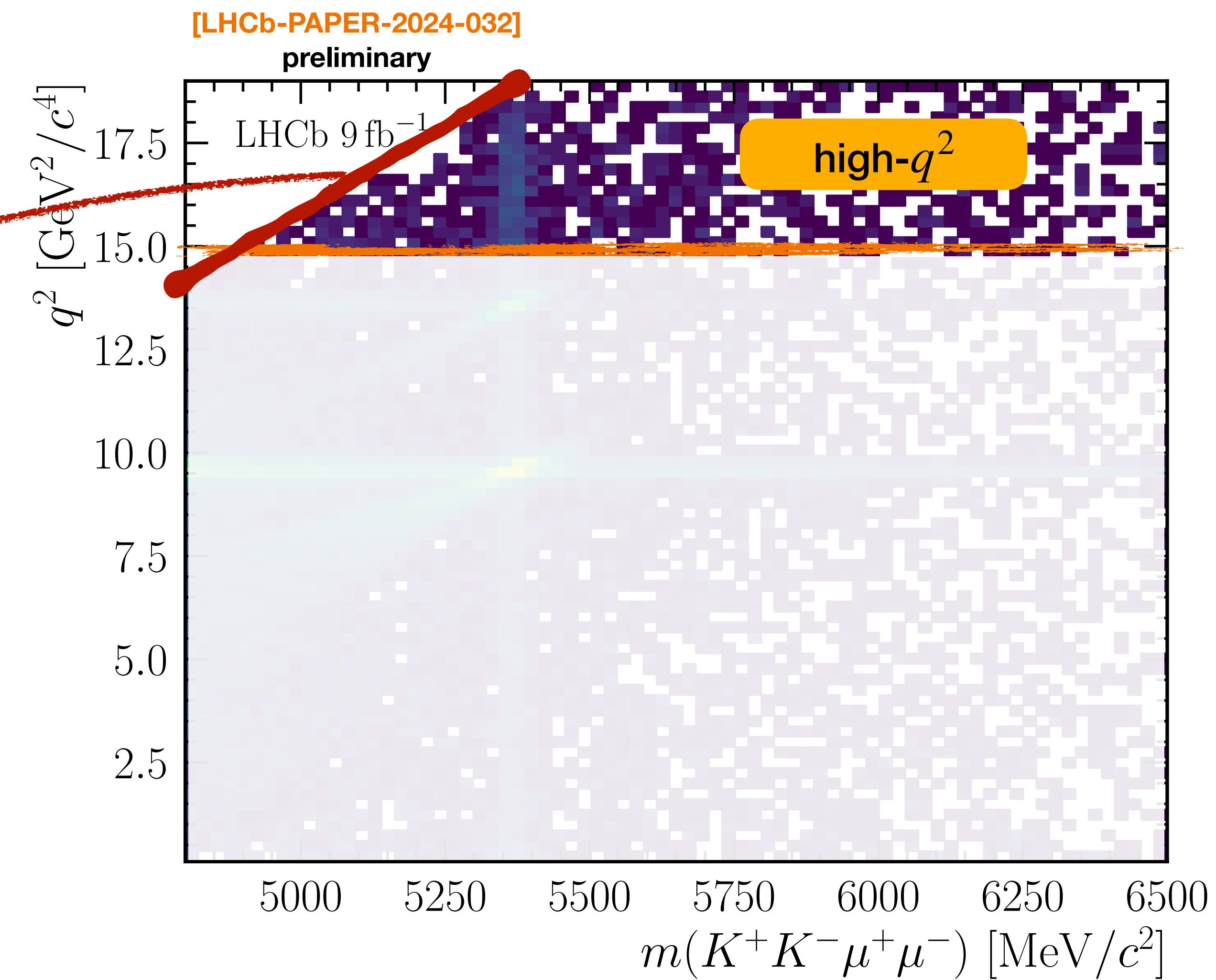
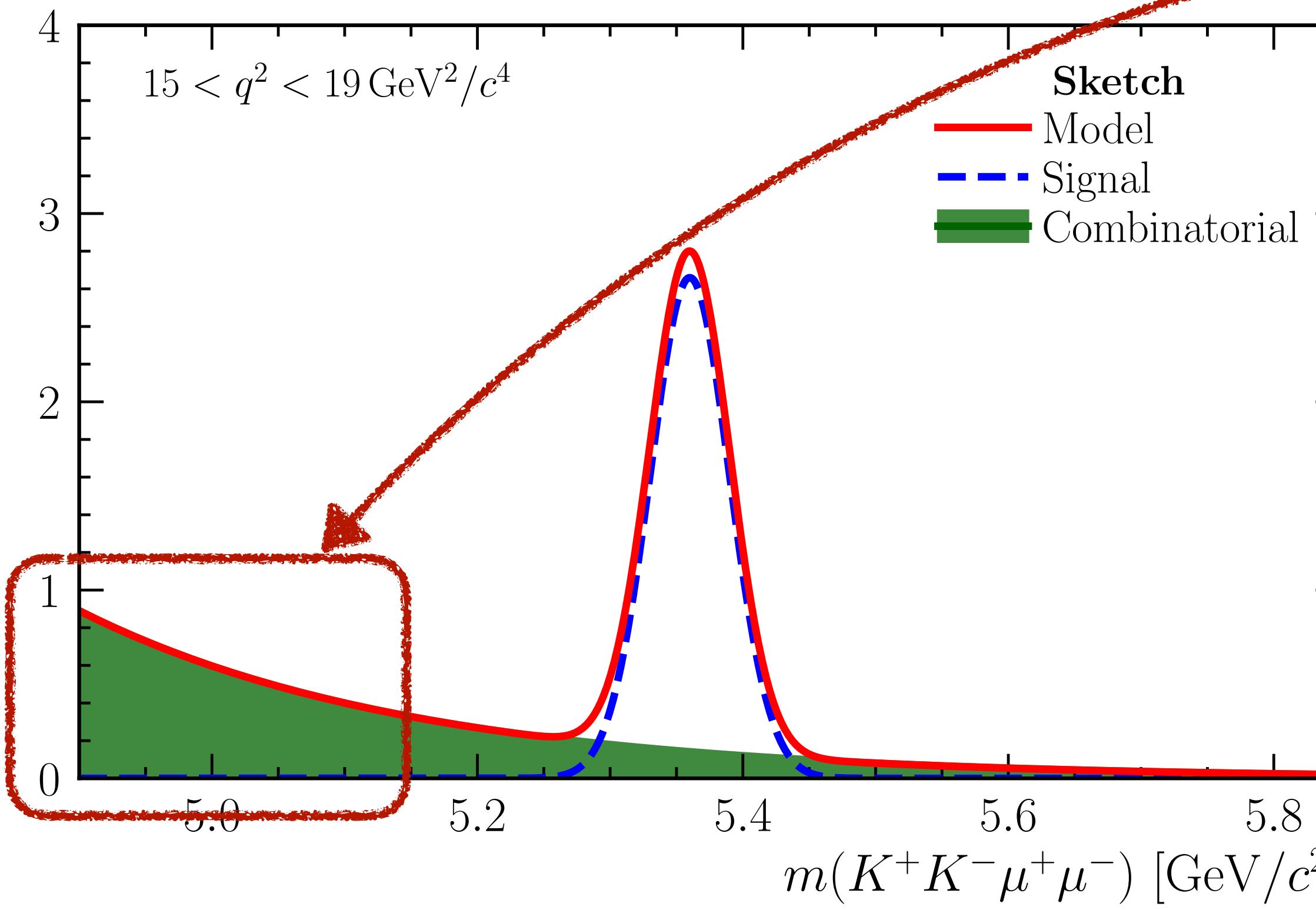
CHALLENGES AT HIGH- q^2 : COMBINATORIAL

- Phase-space **limited** due to selection
- Morphs distributions at high- q^2



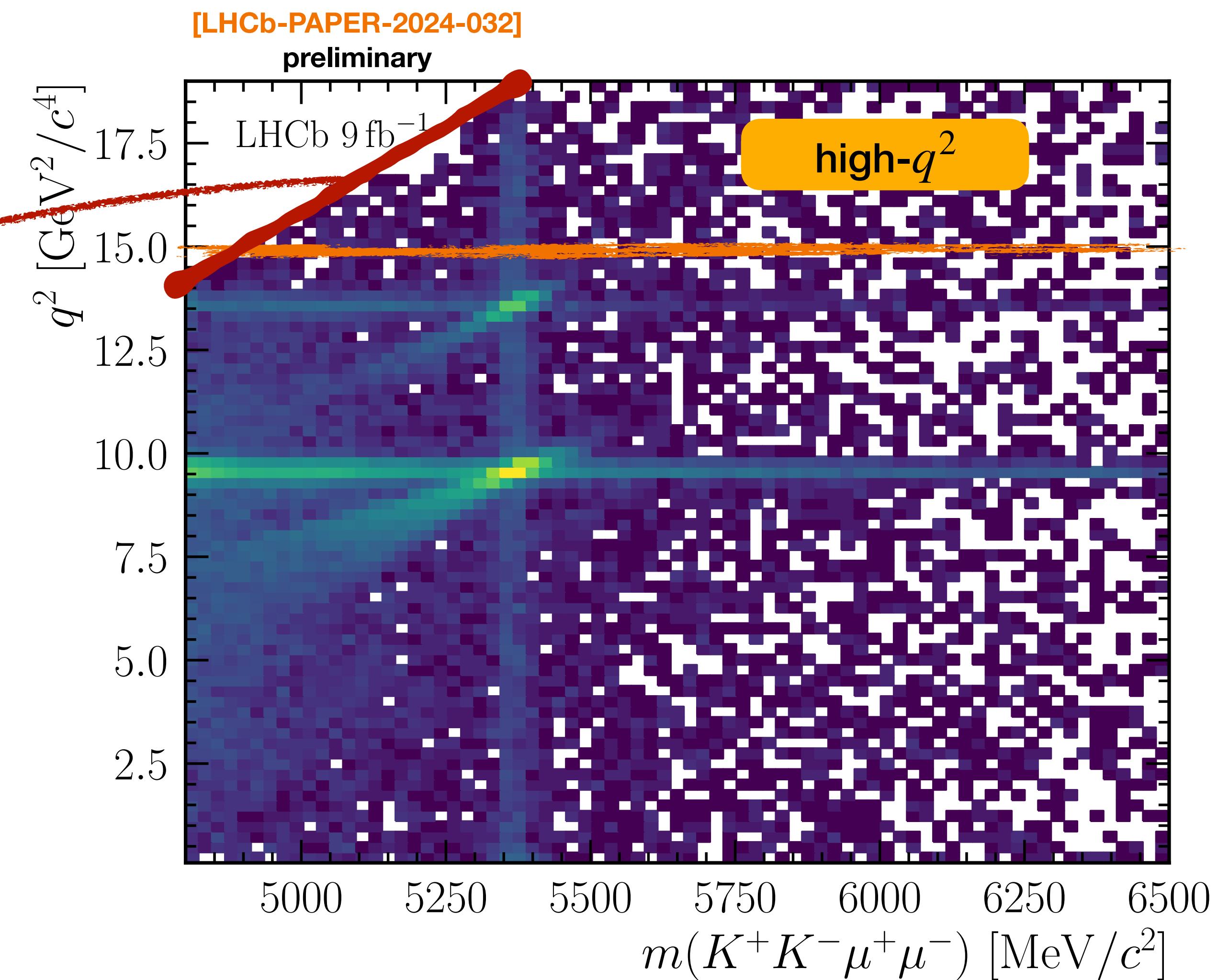
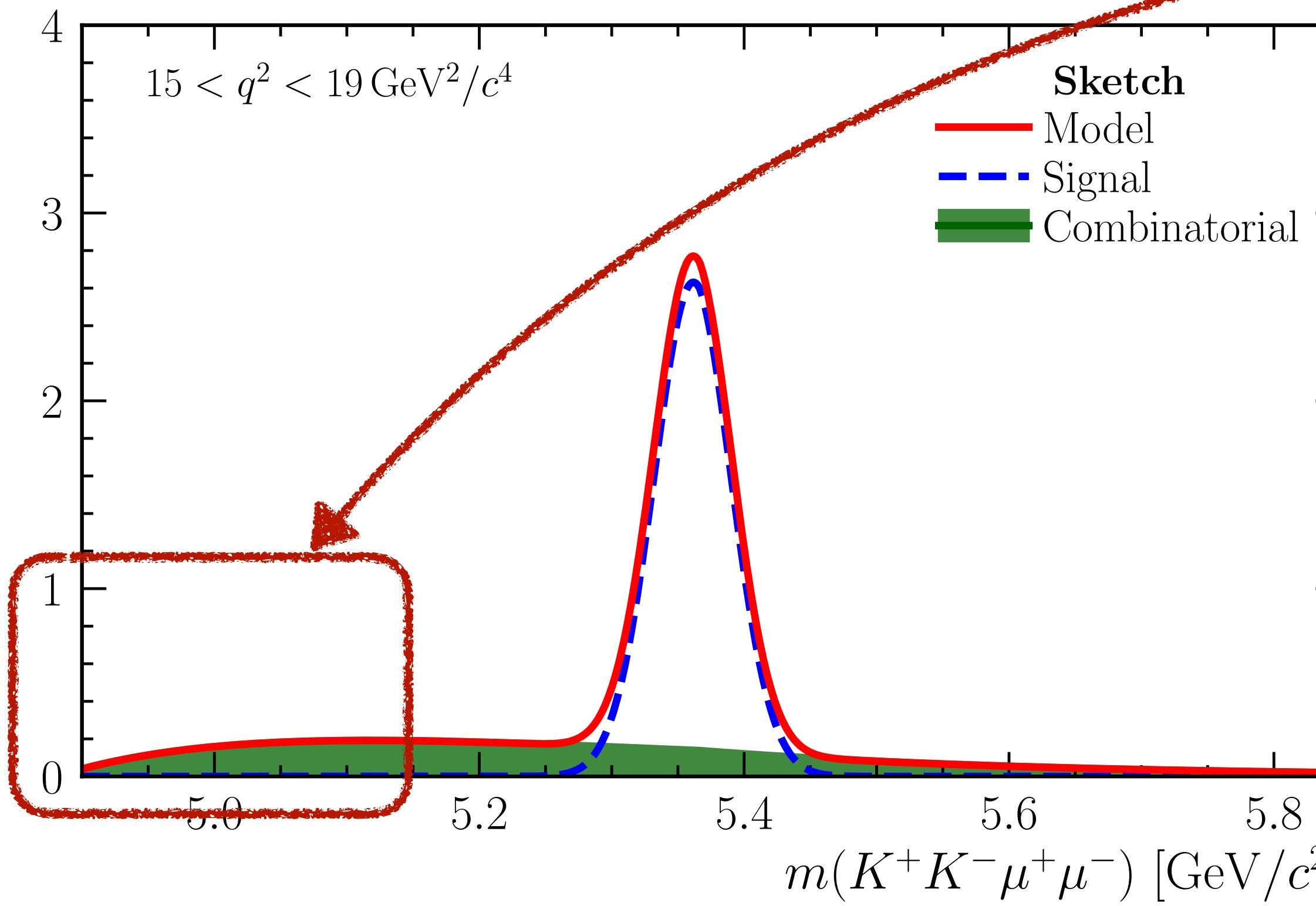
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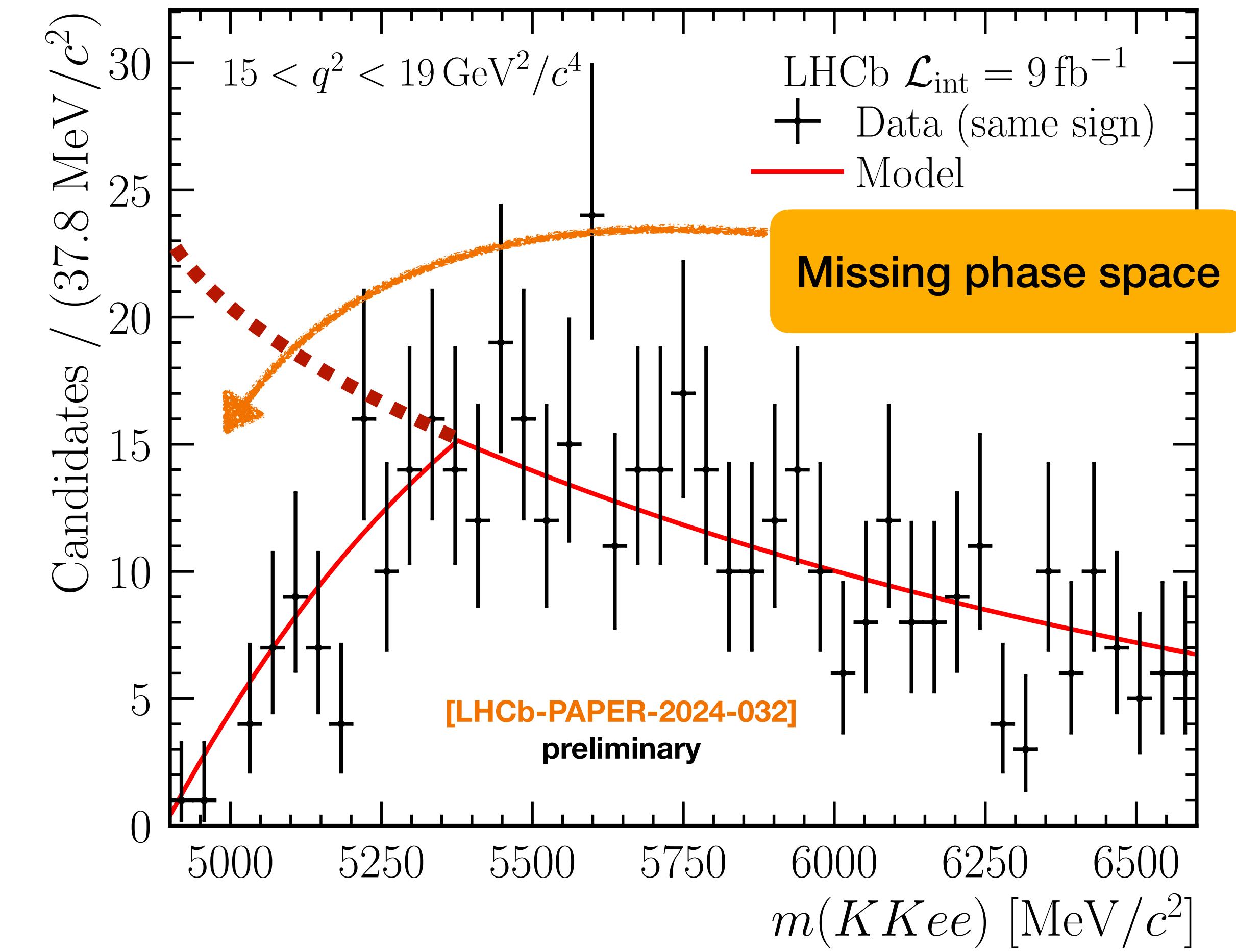
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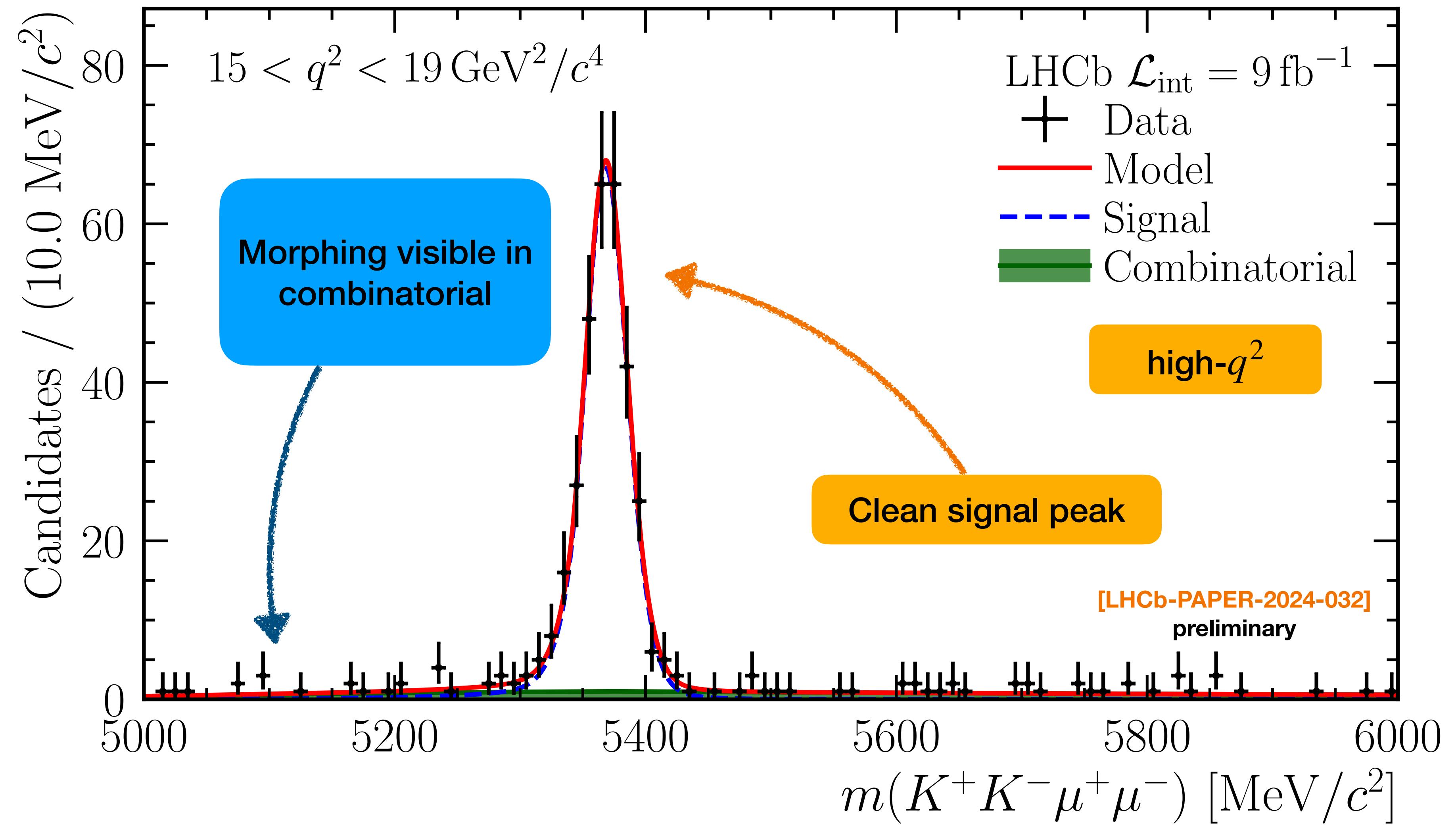
CHALLENGES AT HIGH- q^2 : COMBINATORIAL

- Phase-space **limited** due to selection
- Morphs distributions at high- q^2
- Missing part of phase space modelled using “**acceptance**” function
 - **Data driven** estimation of missing phase space at high- q^2
- **Validated** using same-sign data
- Extensive **systematic variations** explored



MASS FIT TO HIGH q^2 : MUONS

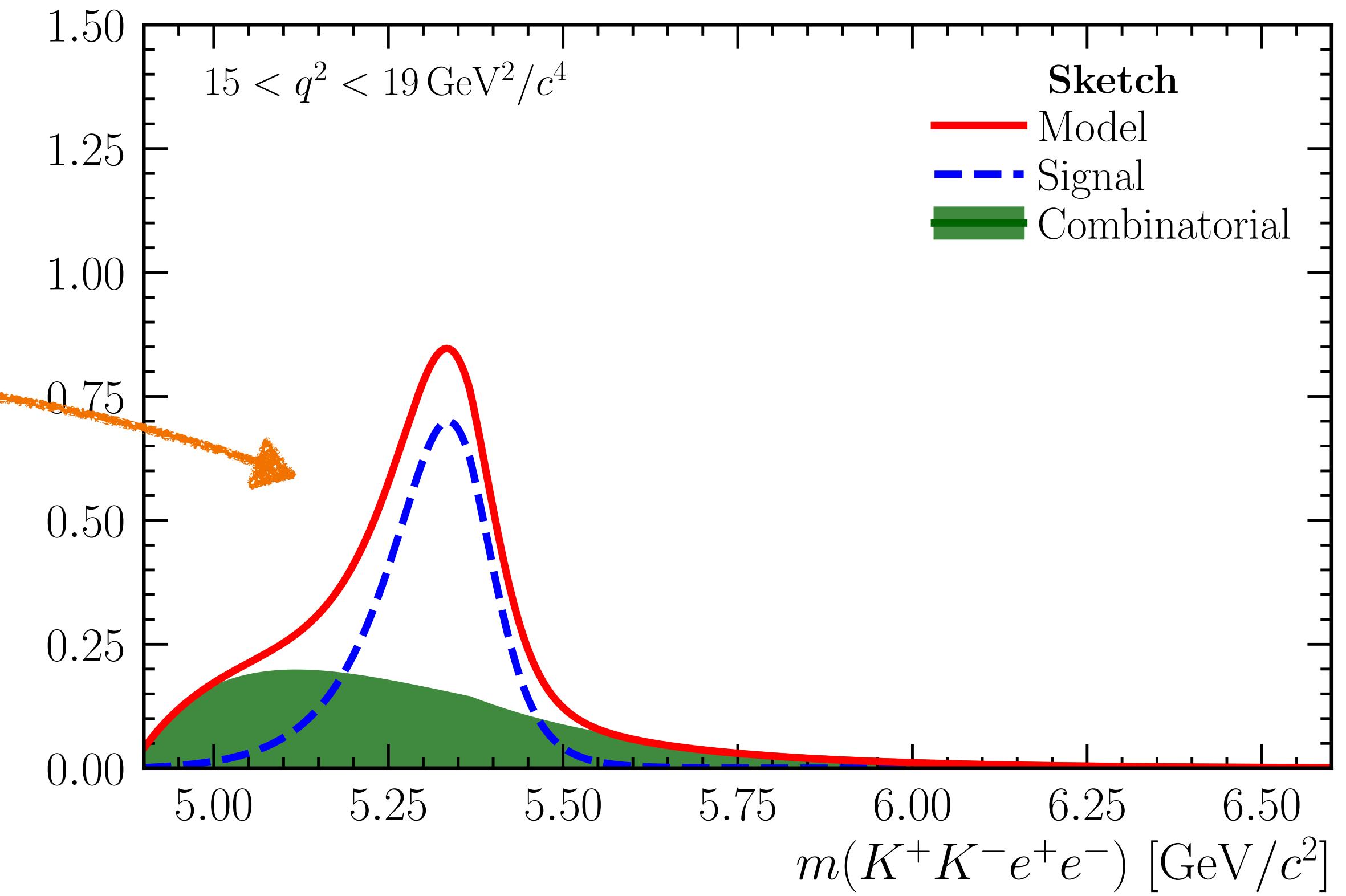
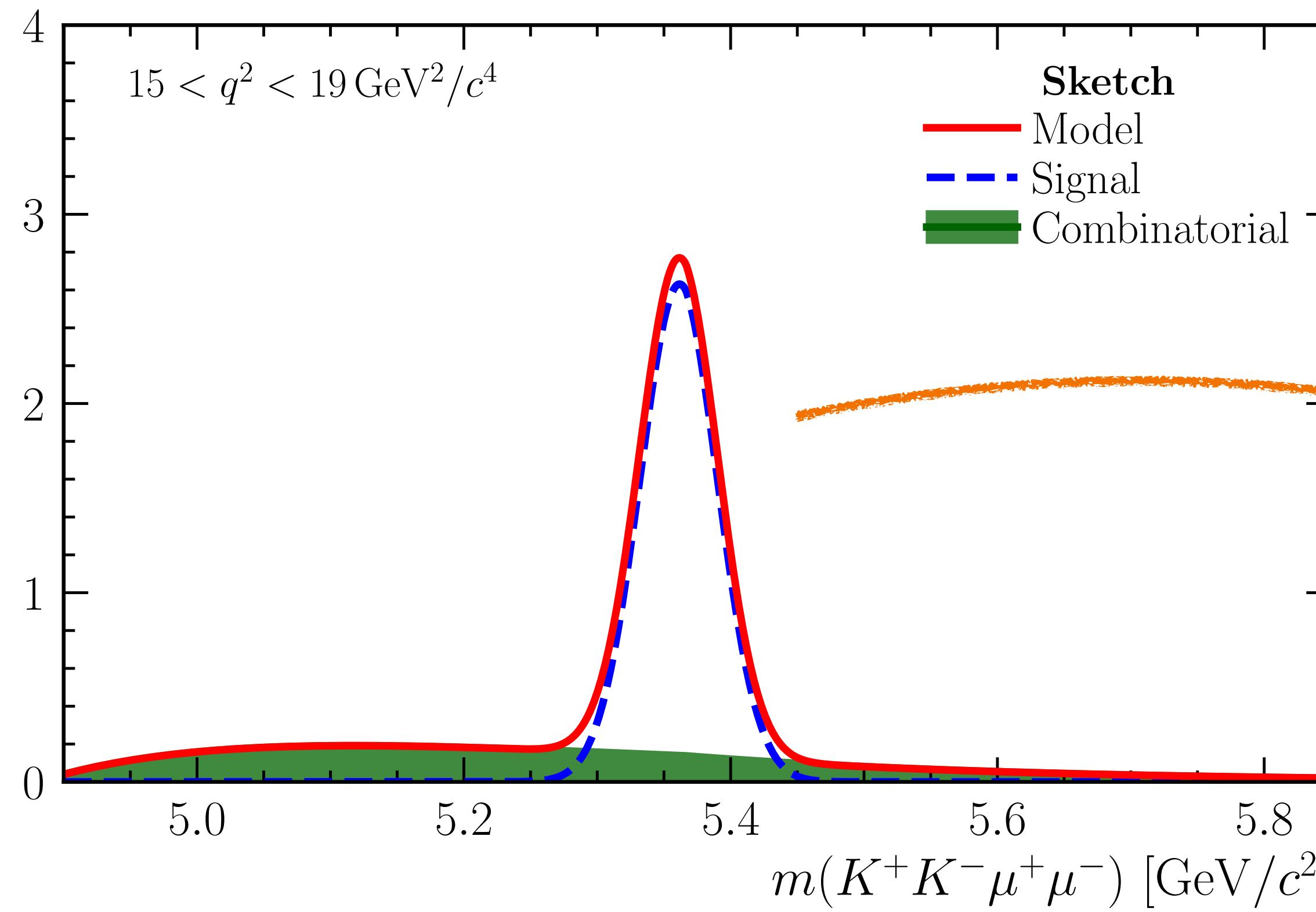
LHCb
RHCP



FROM MUON FITS TO ELECTRON FITS

- Deteriorated mass resolution and roll-over combinatorial

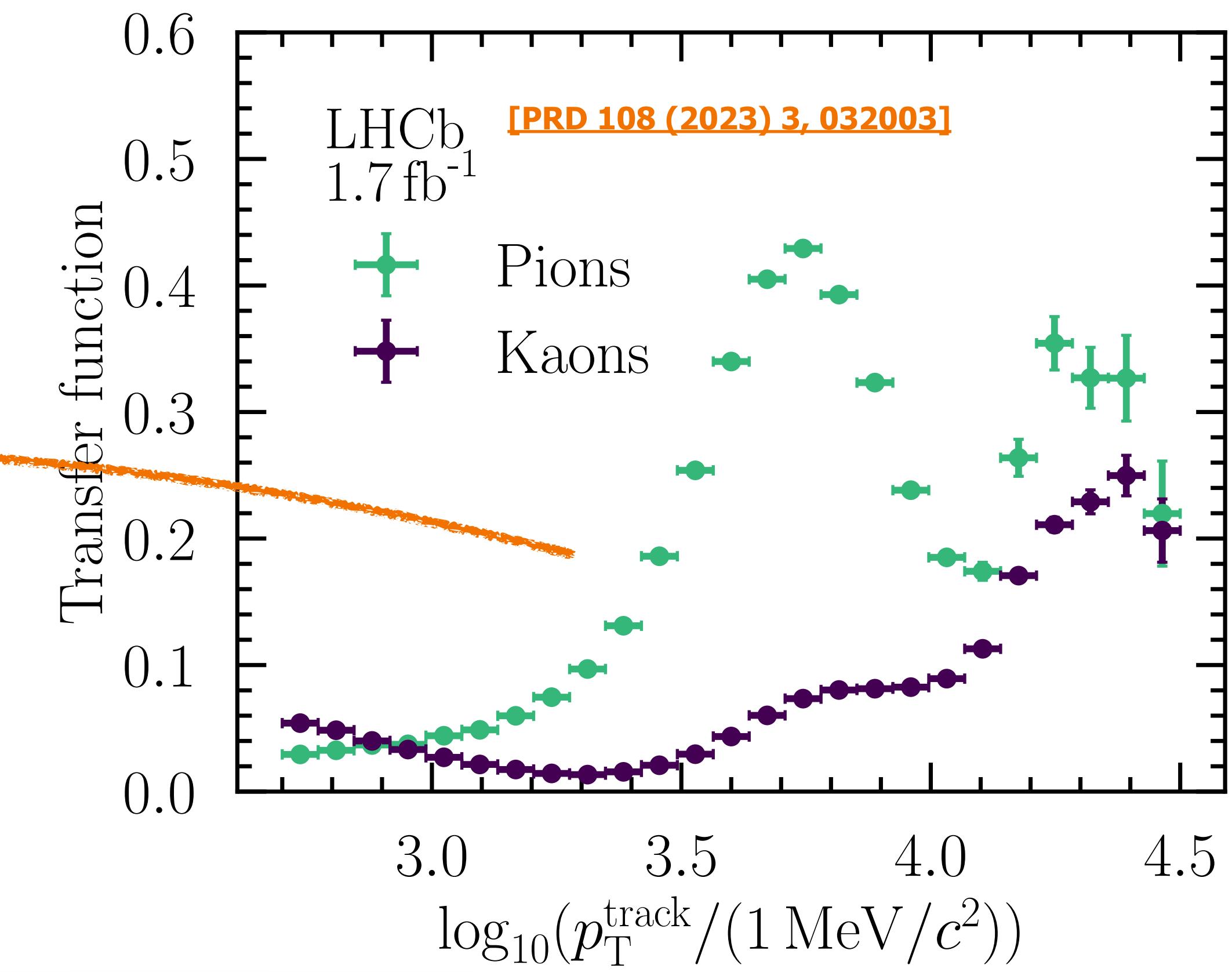
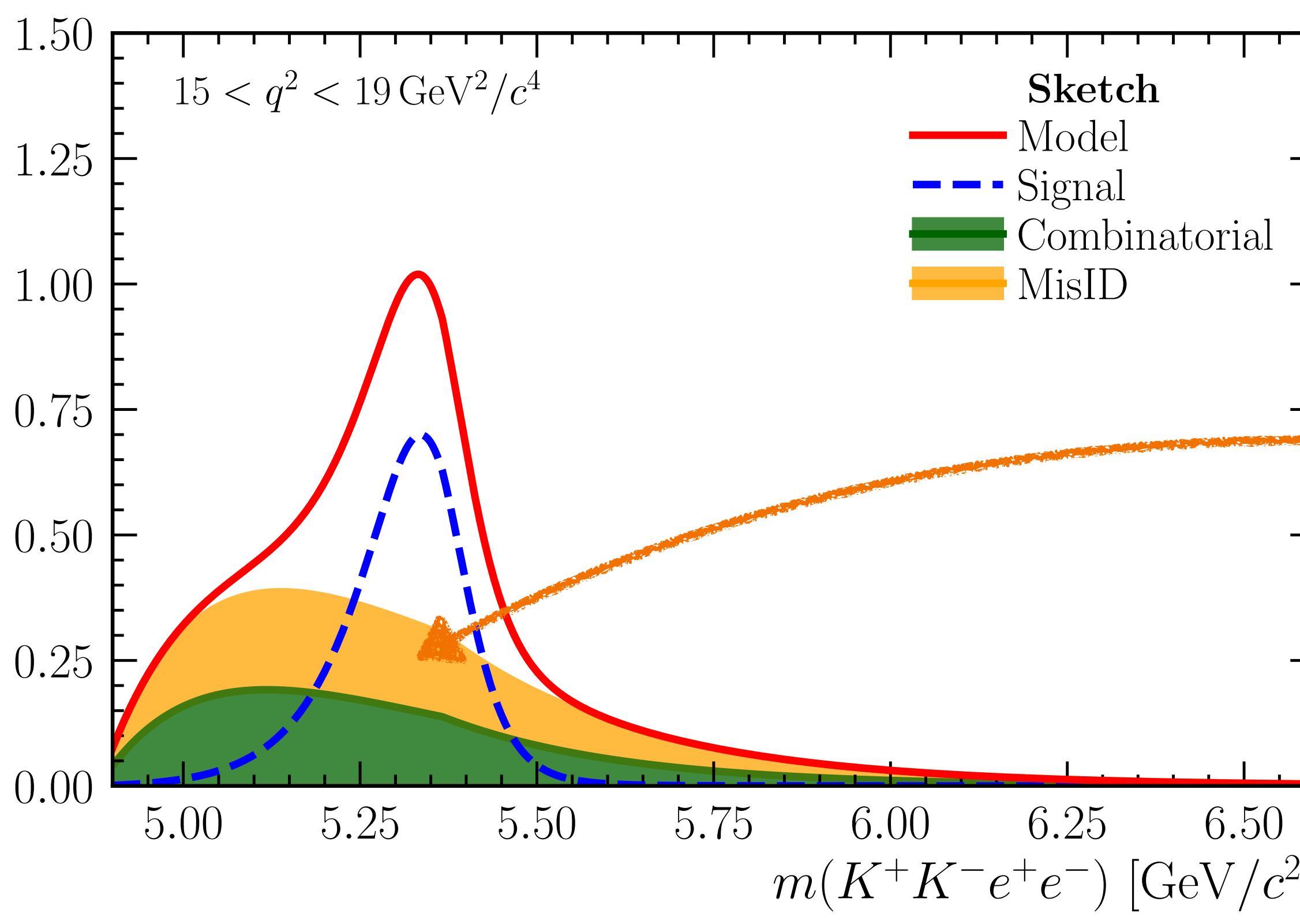
Two broad peaking structures overlayed



FROM MUON FITS TO ELECTRON FITS

- Deteriorated mass resolution and roll-over combinatorial
- Additional background from hadron to electron mis-identification

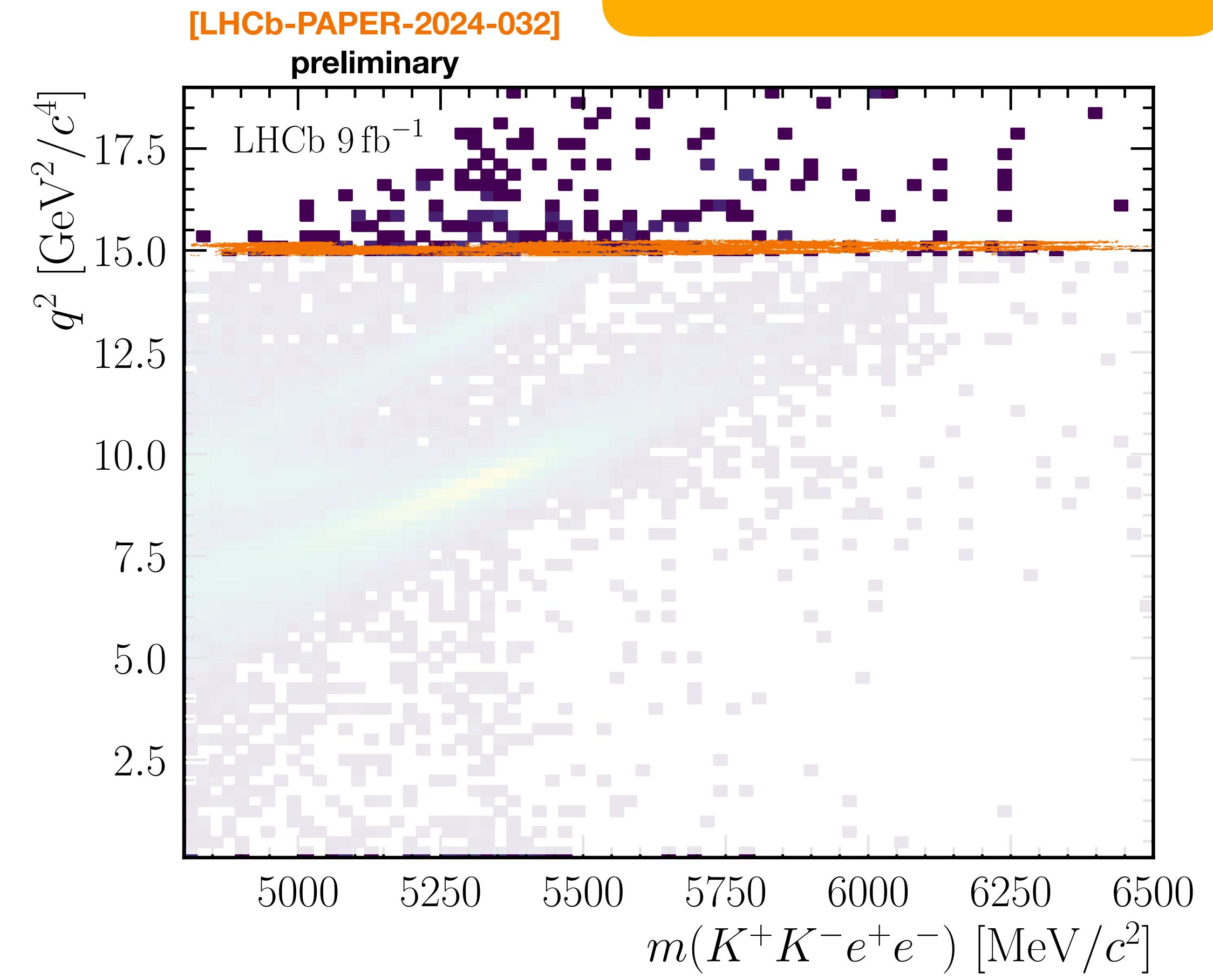
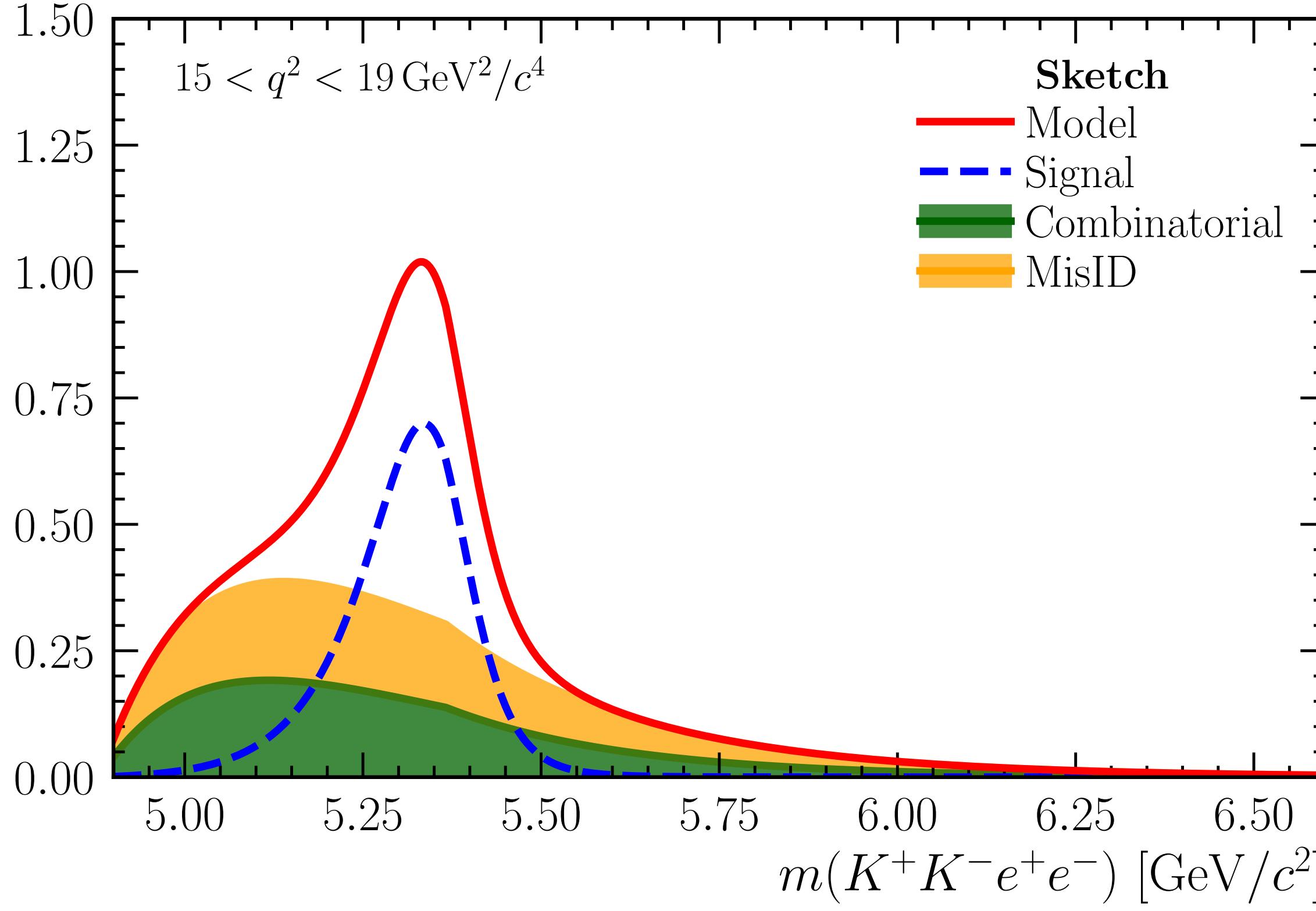
Three broad peaking structures overlayed



FROM MUON FITS TO ELECTRON FITS

- Deteriorated mass resolution and roll-over combinatorial
- Leakage from $B_s^0 \rightarrow \phi\psi(2S)$ decays

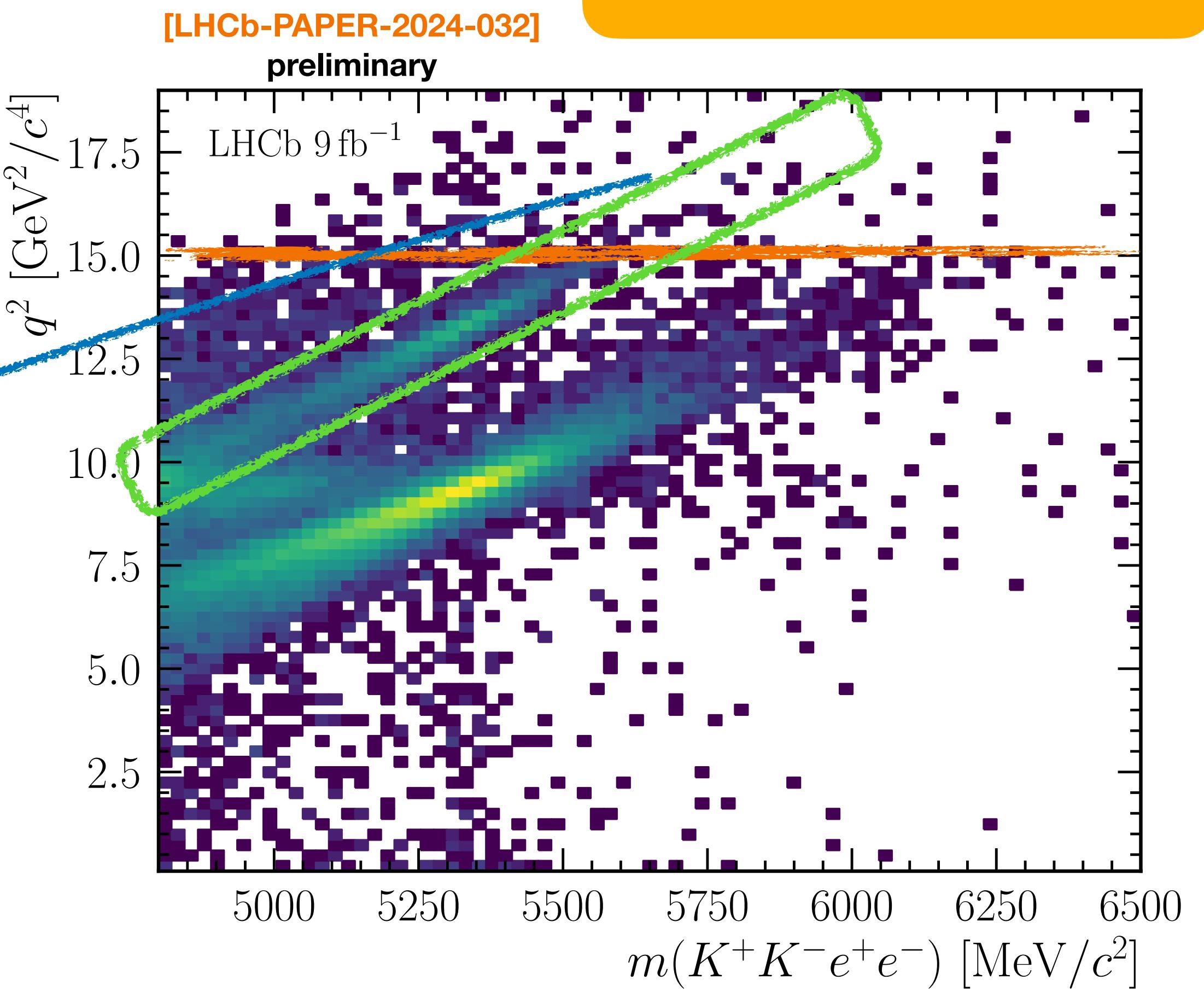
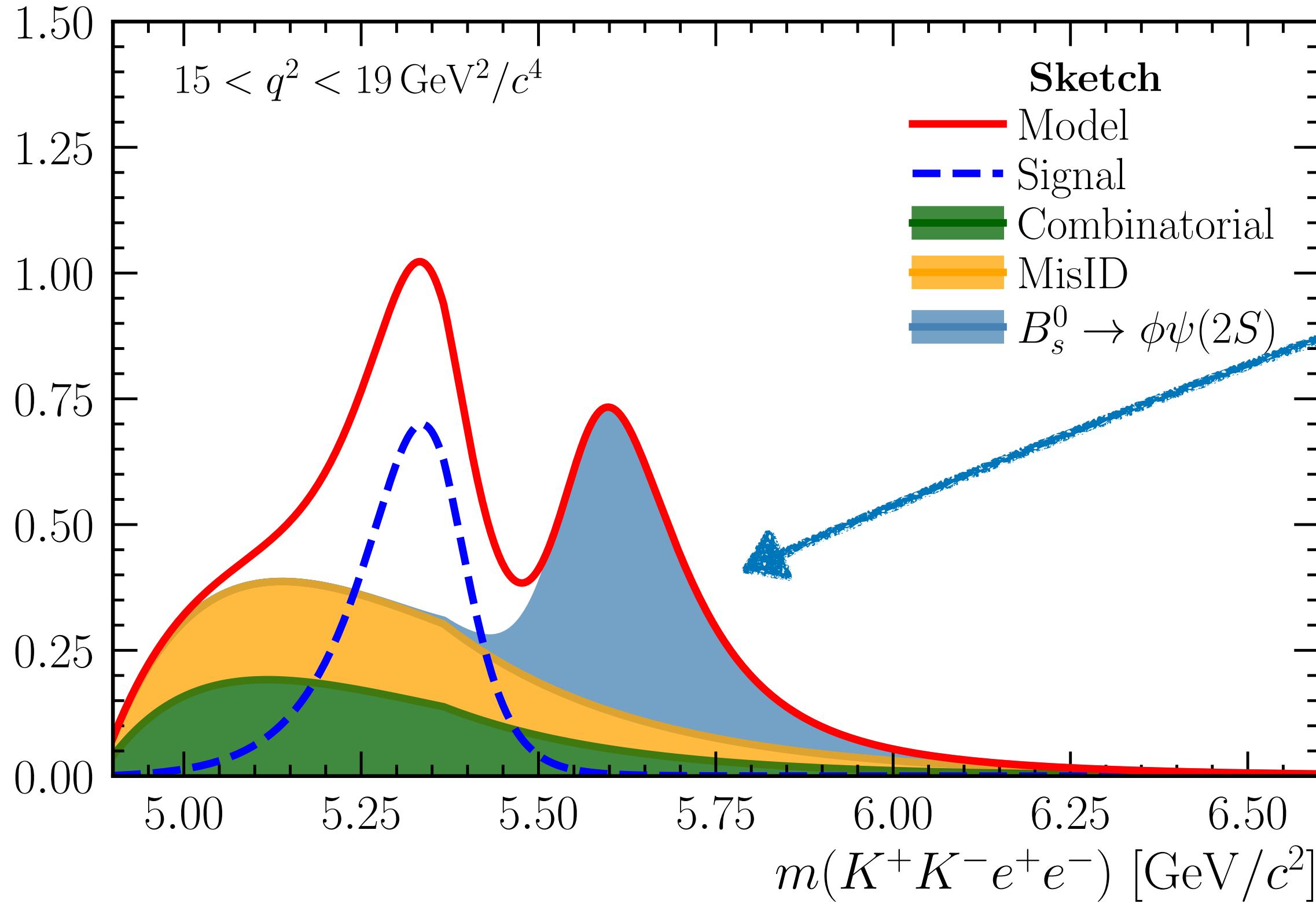
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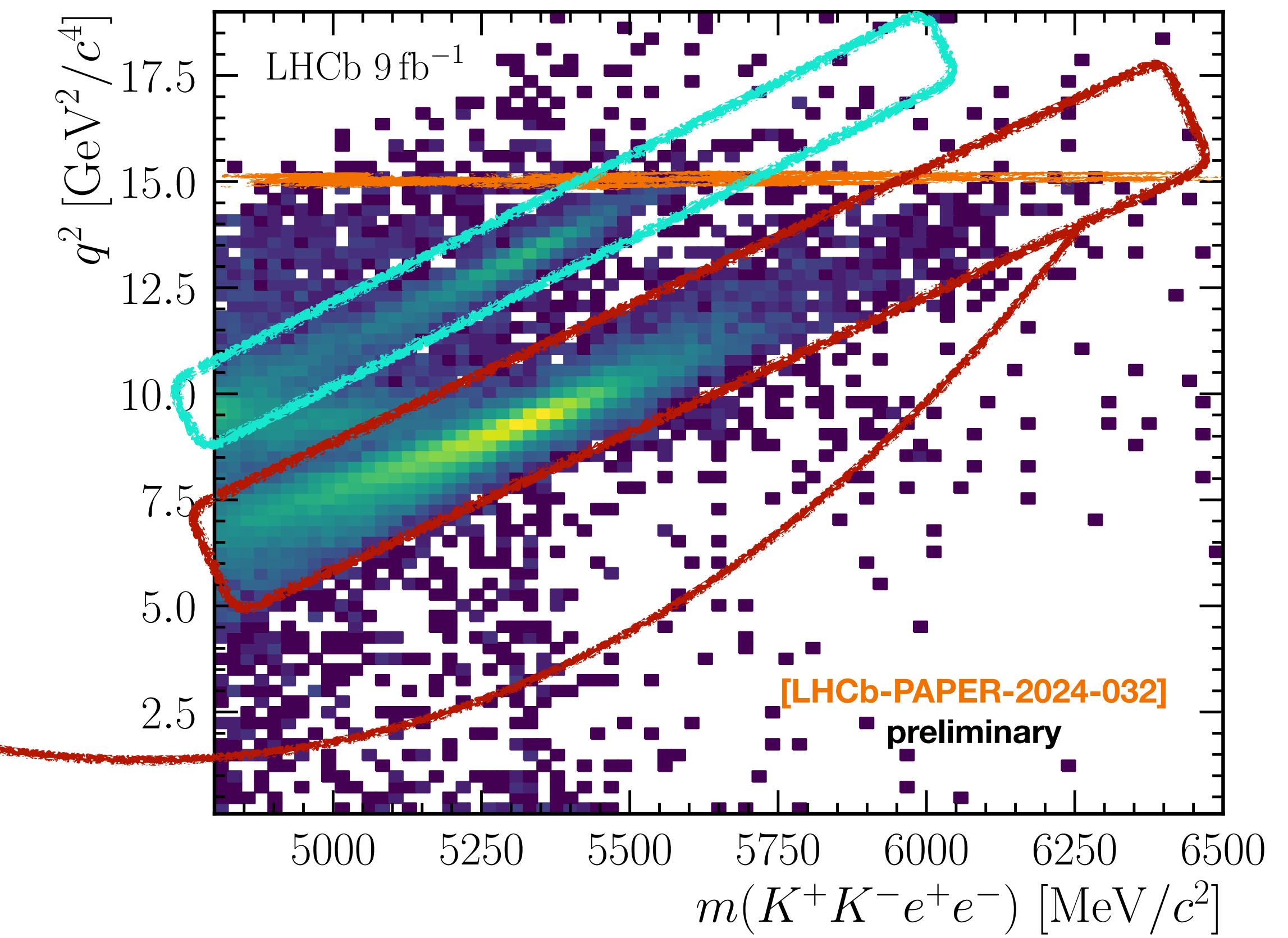
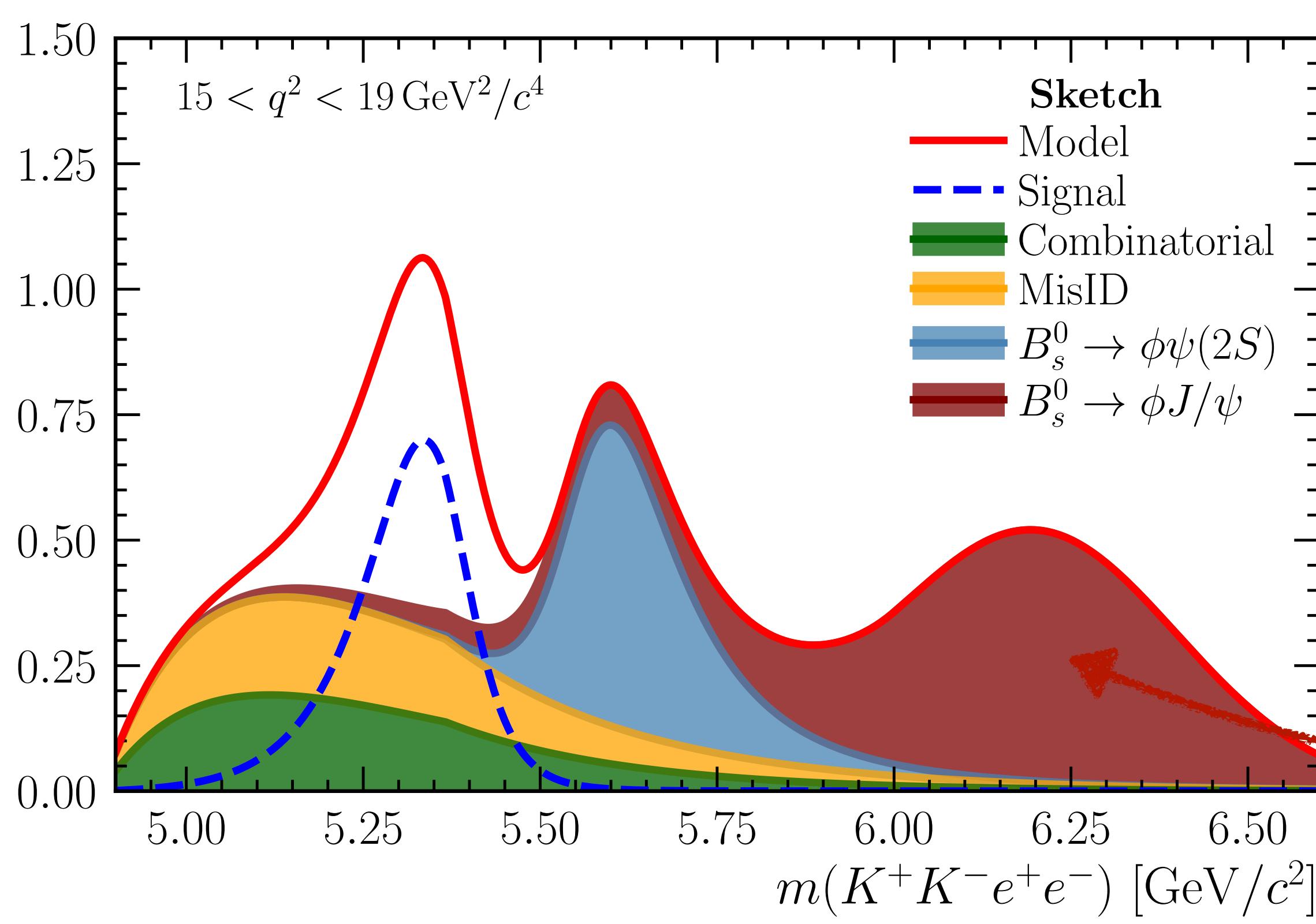
Four broad peaking structures overlayed



FROM MUON FITS TO ELECTRON FITS

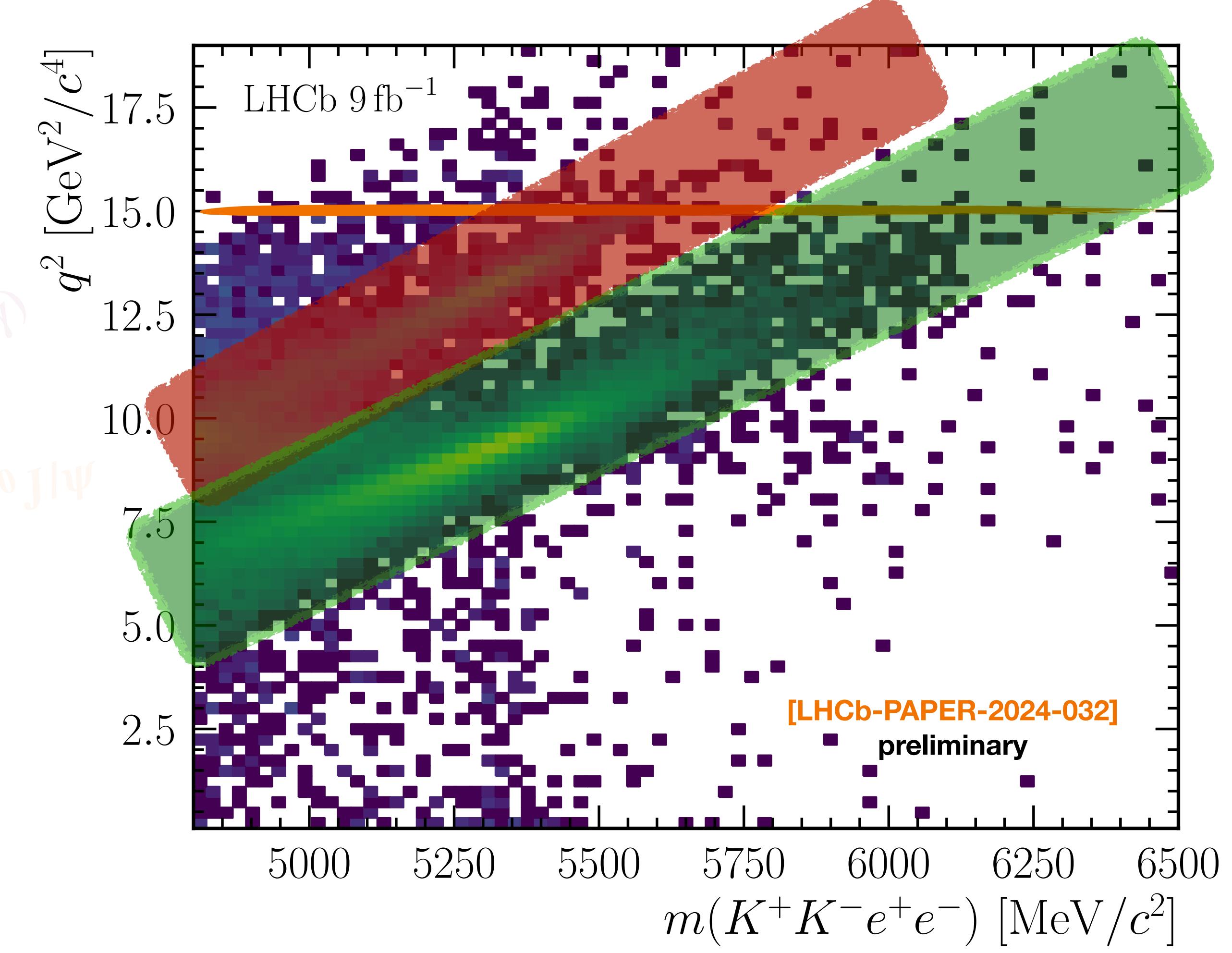
- Deteriorated mass resolution and roll-over combinatorial
- Leakage from $B_s^0 \rightarrow \phi J/\psi$ decays, with wrong bremsstrahlung γ

Five broad peaking structures overlayed



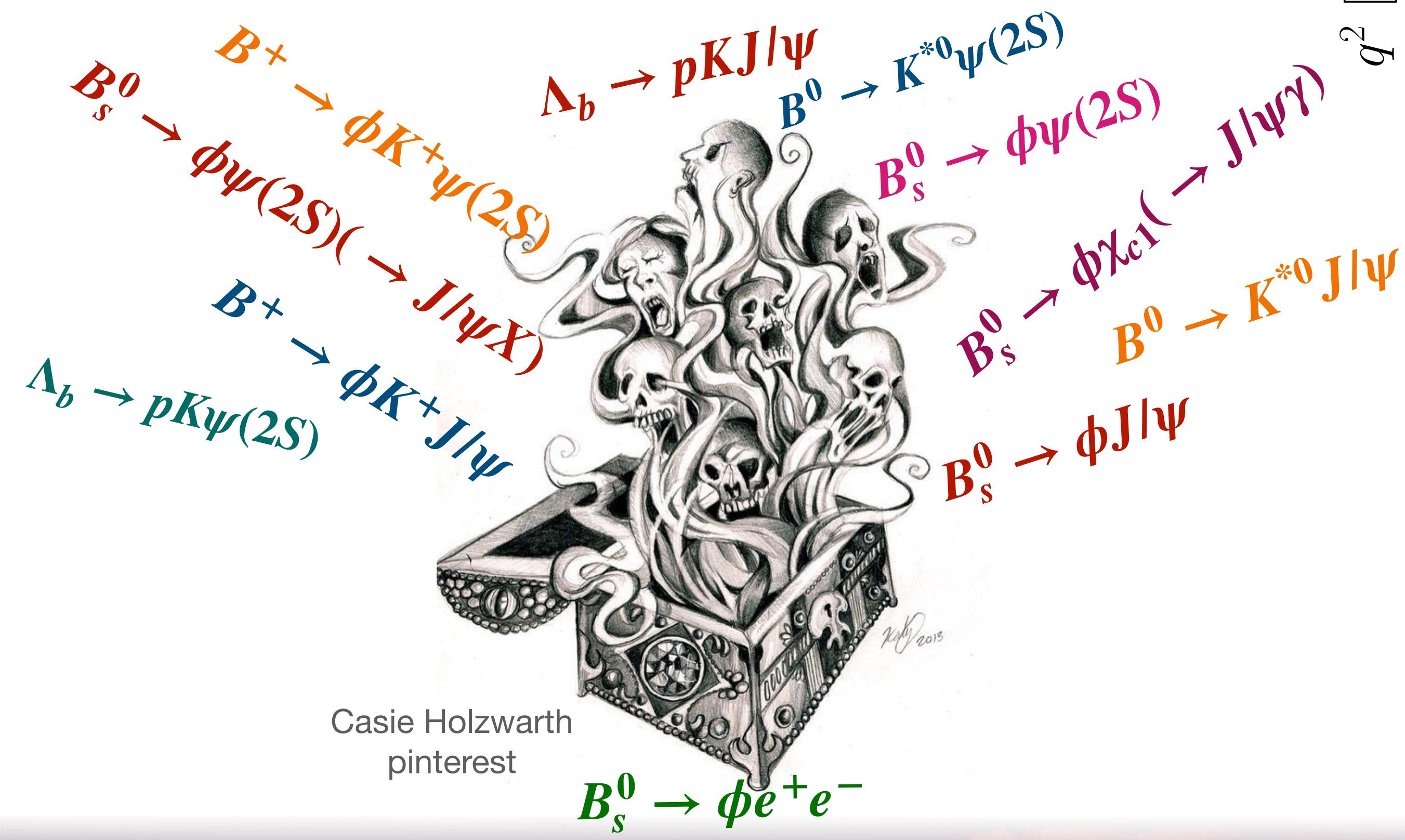
CHALLENGES AT HIGH- q^2 : LEAKAGE

- Leakage from $B_s^0 \rightarrow \phi\psi(2S)$ and $B_s^0 \rightarrow \phi J/\psi$
- But not limited to only these!



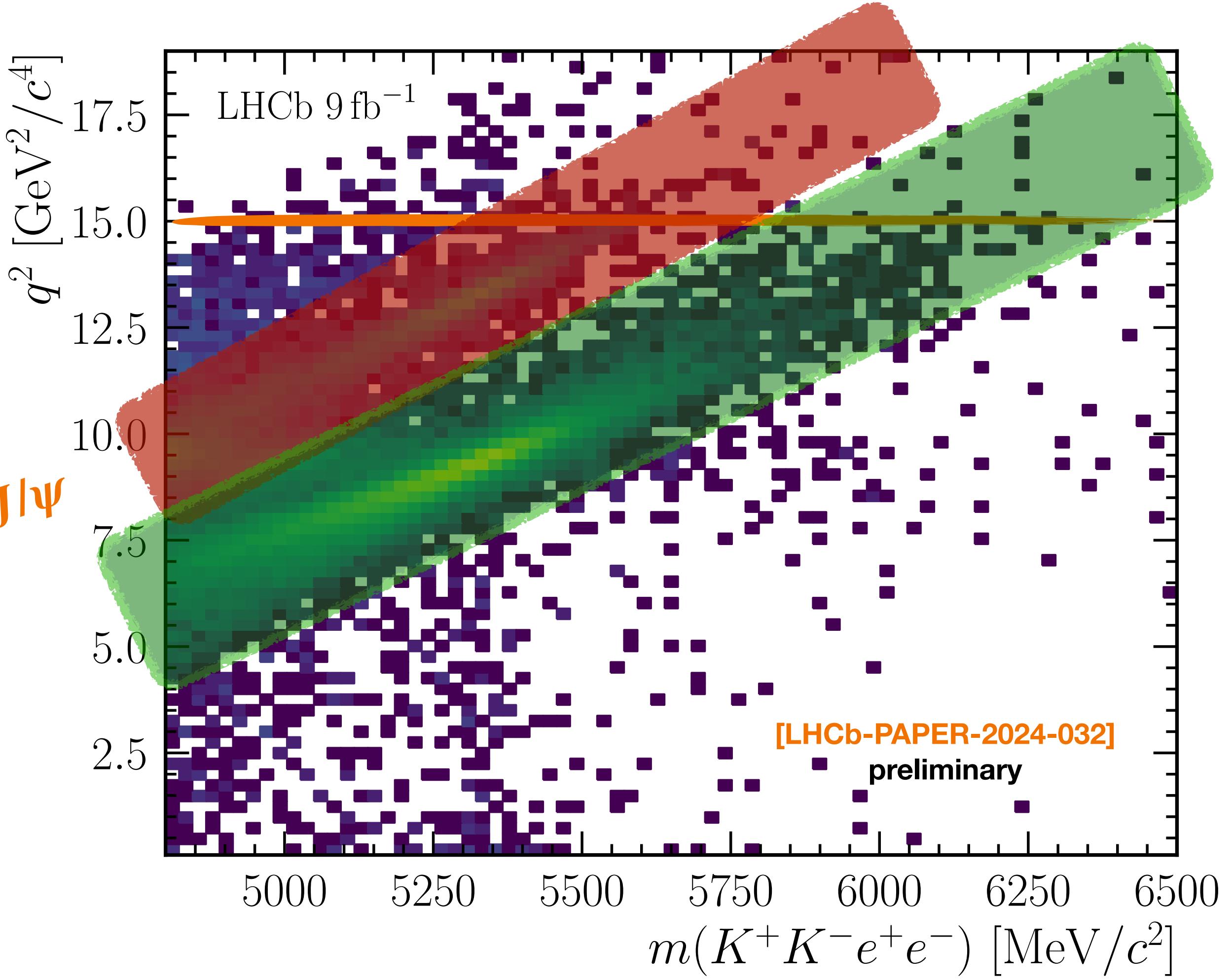
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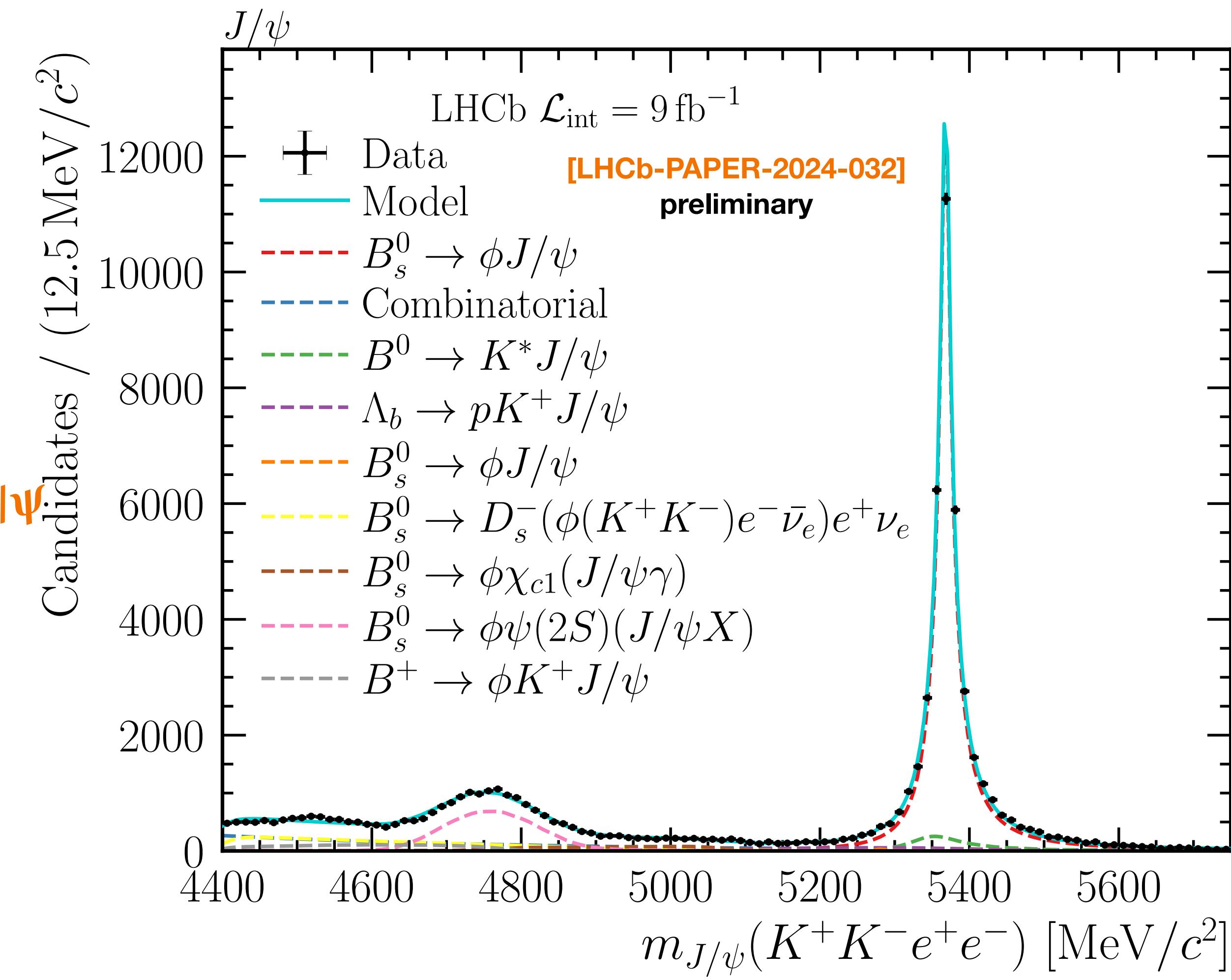
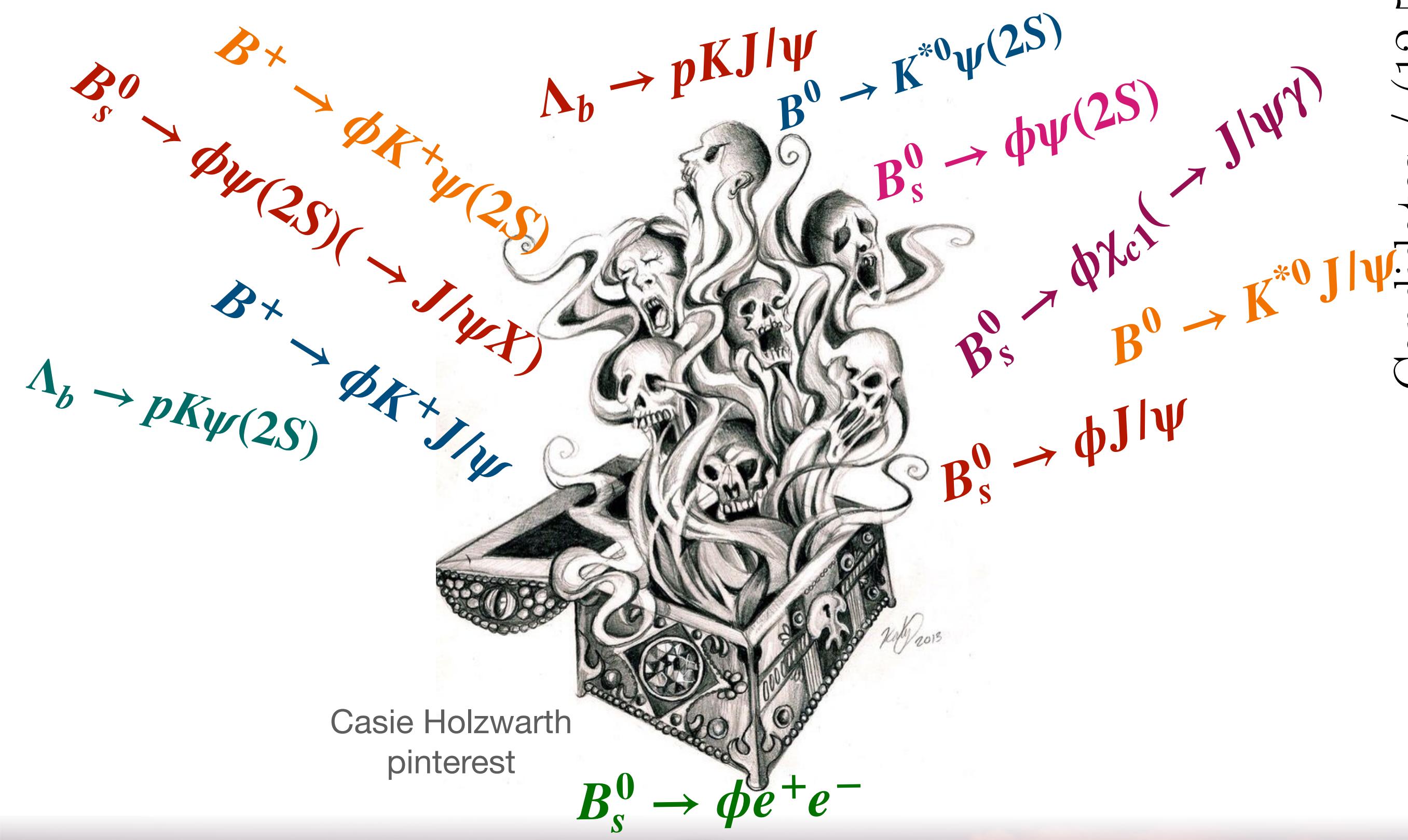
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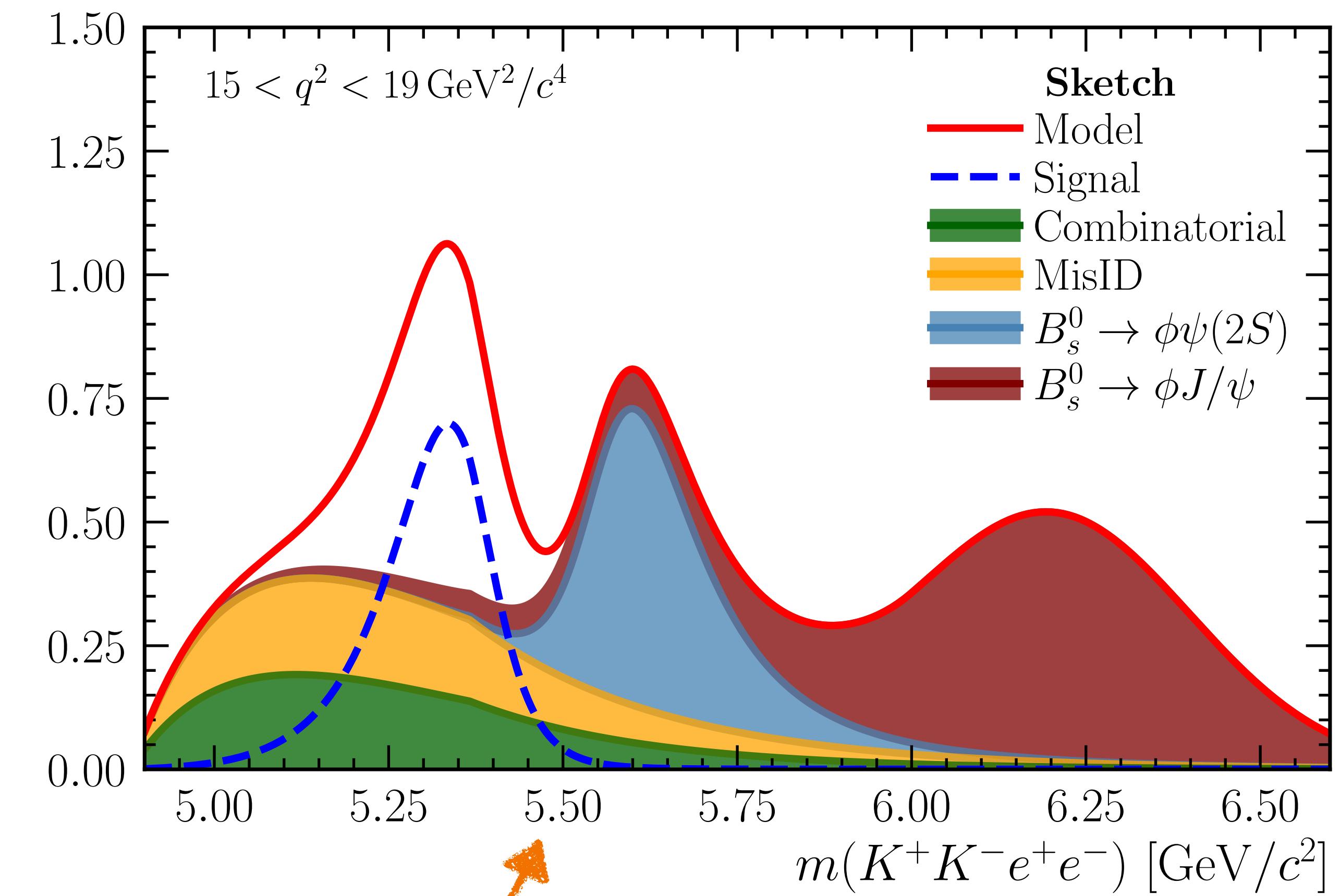
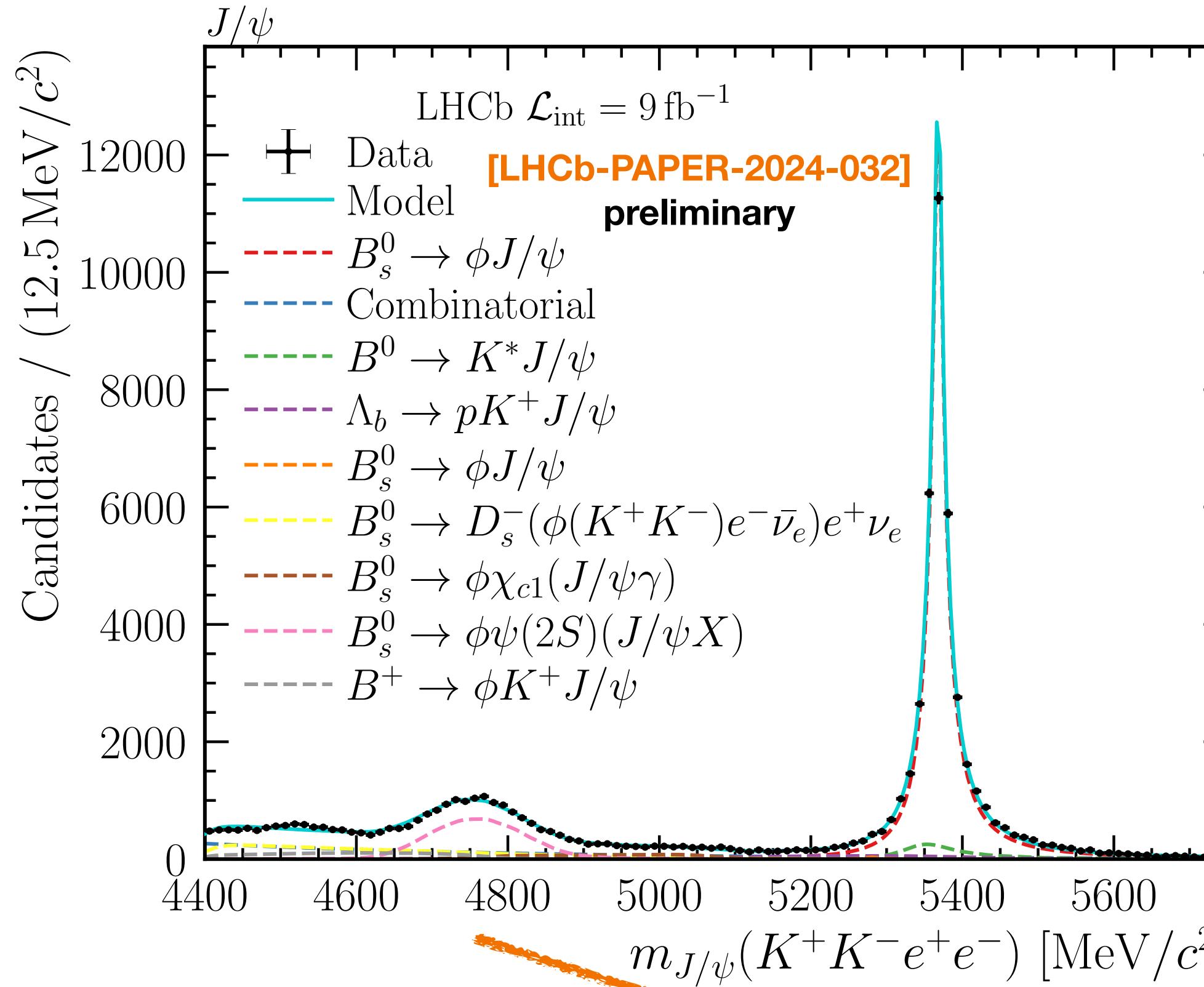
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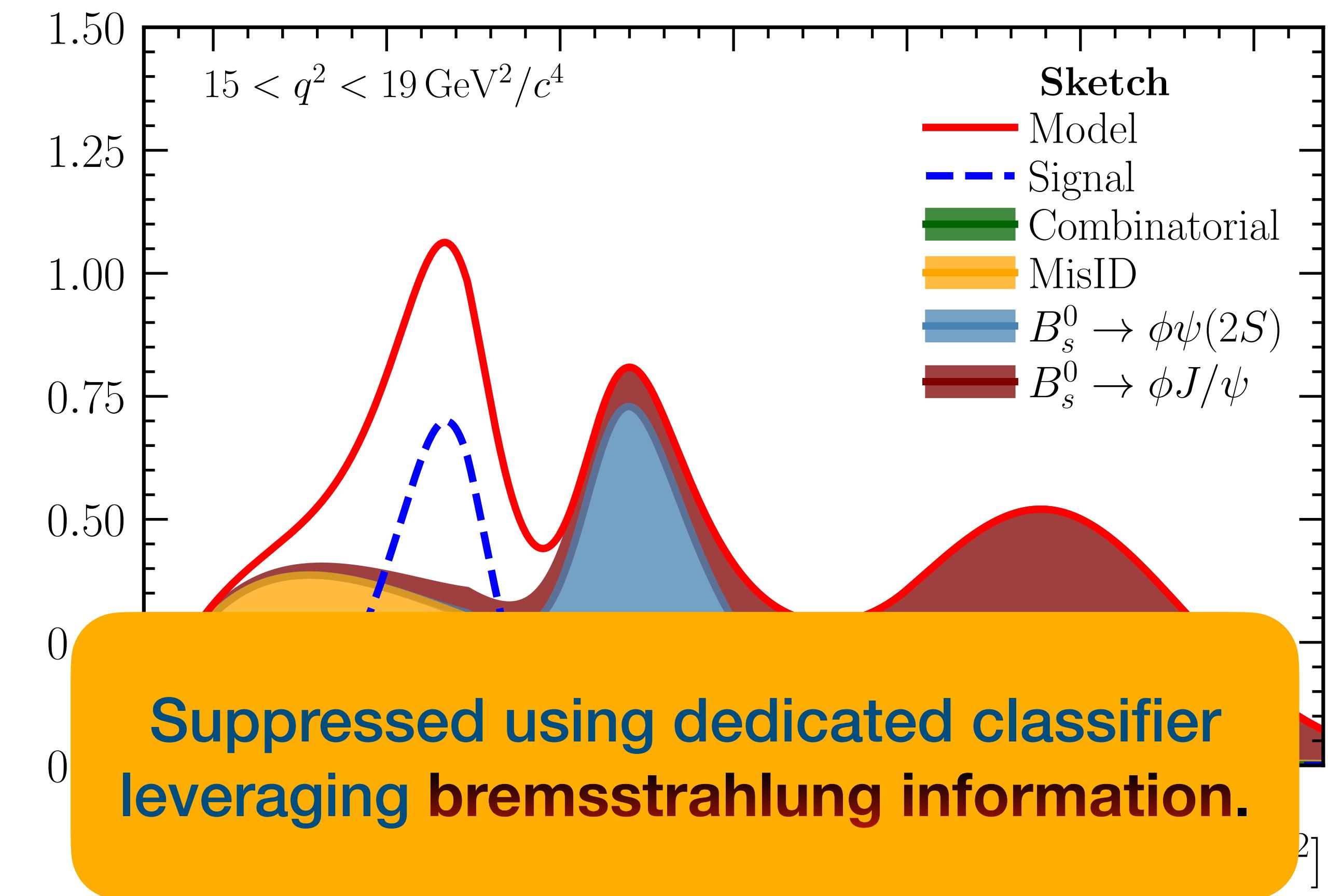
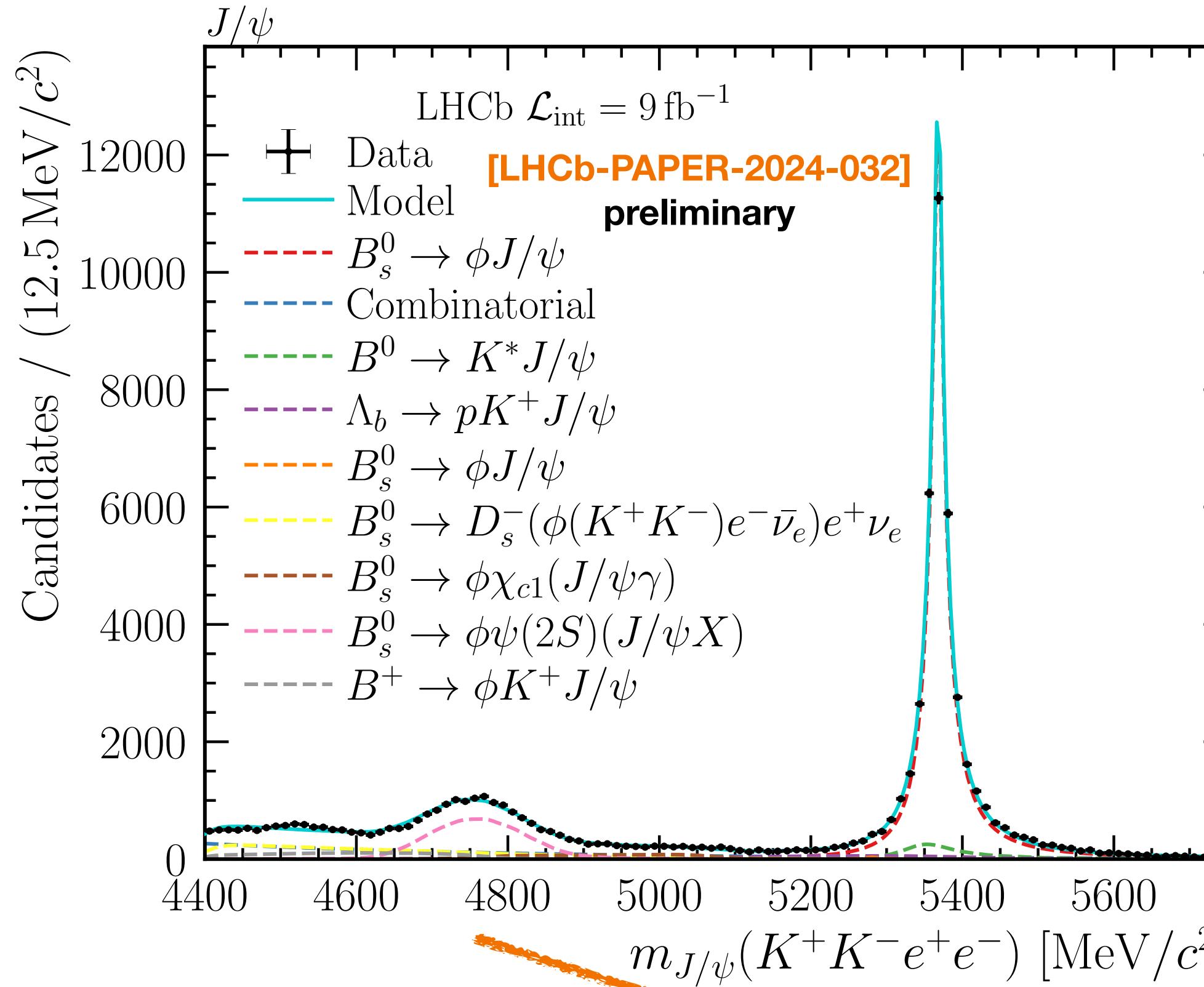
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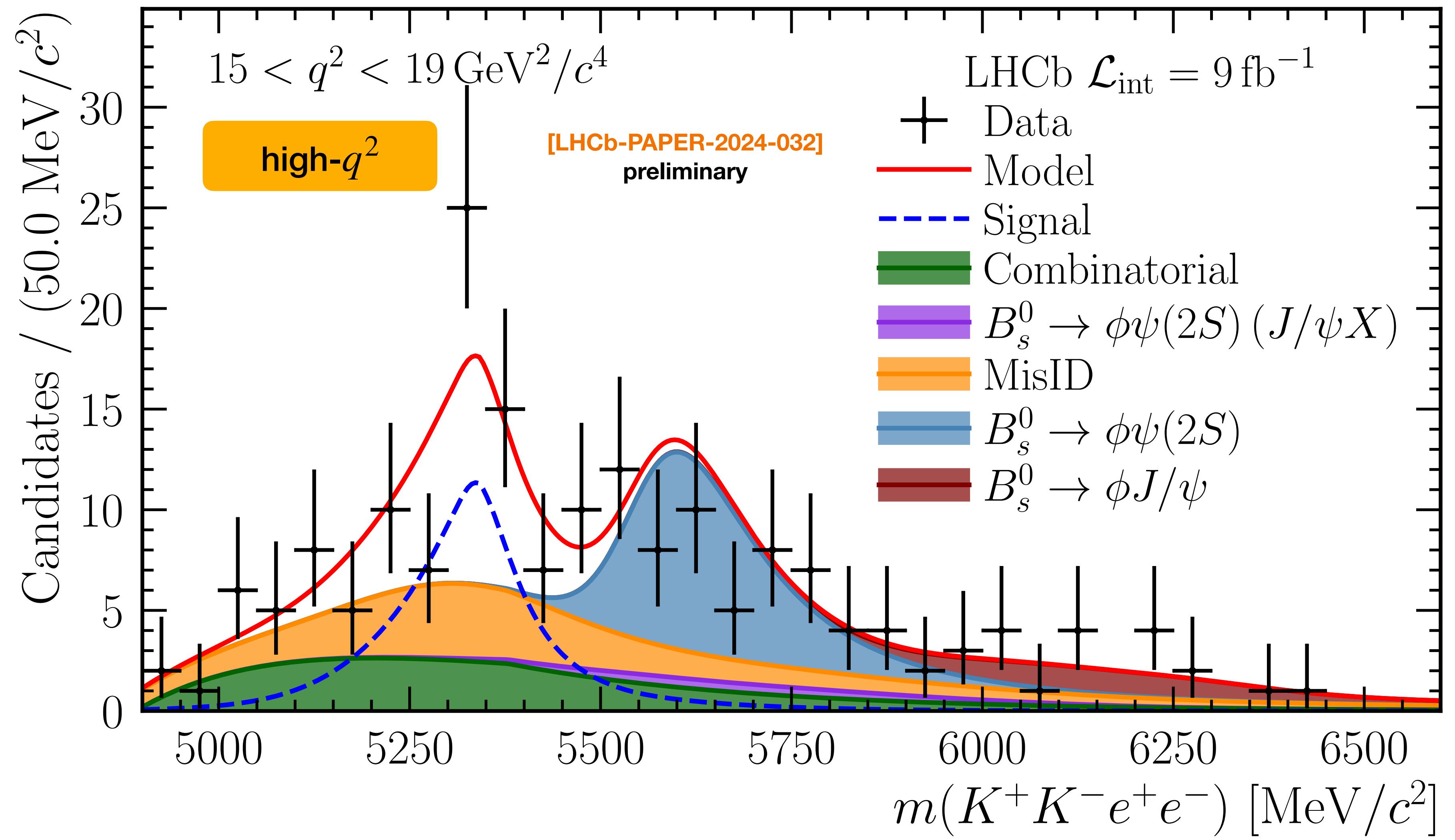
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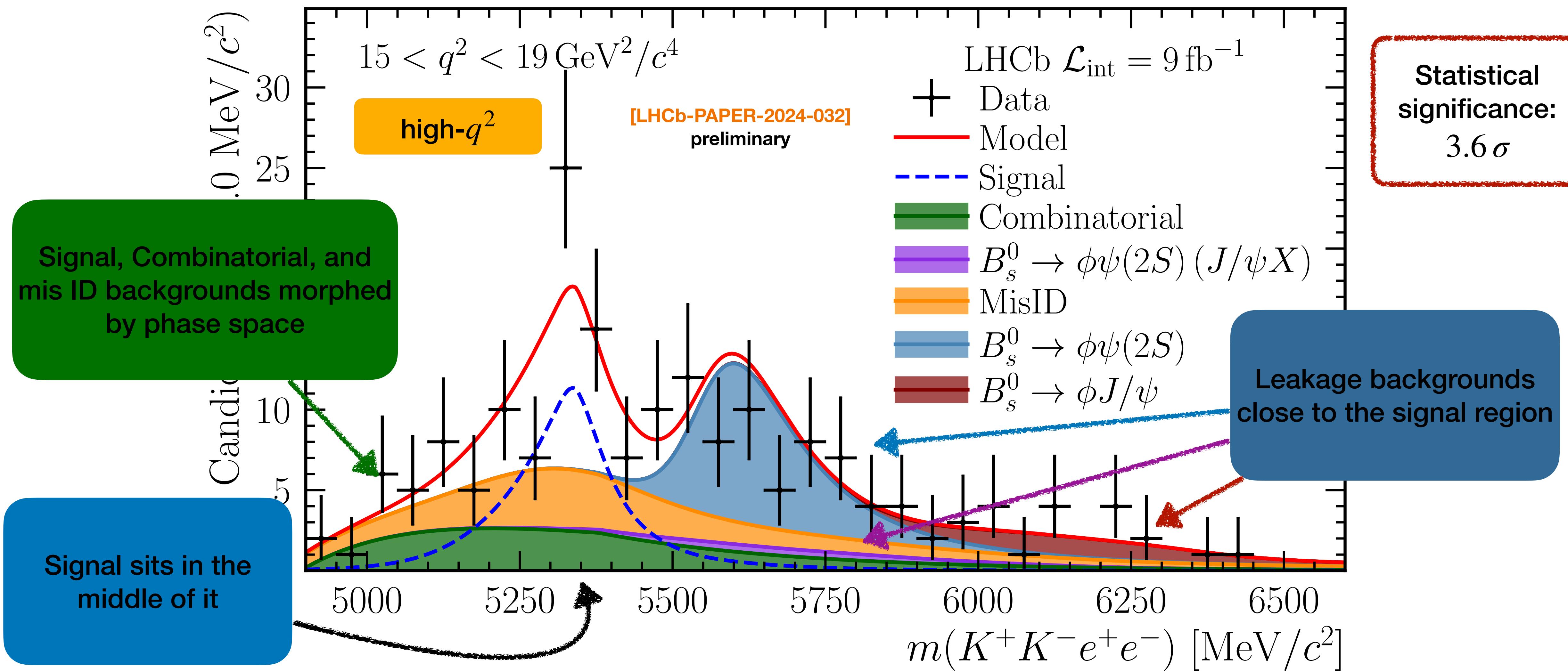


MASS FIT TO HIGH- q^2 : ELECTRONS

LHCb
RHCP

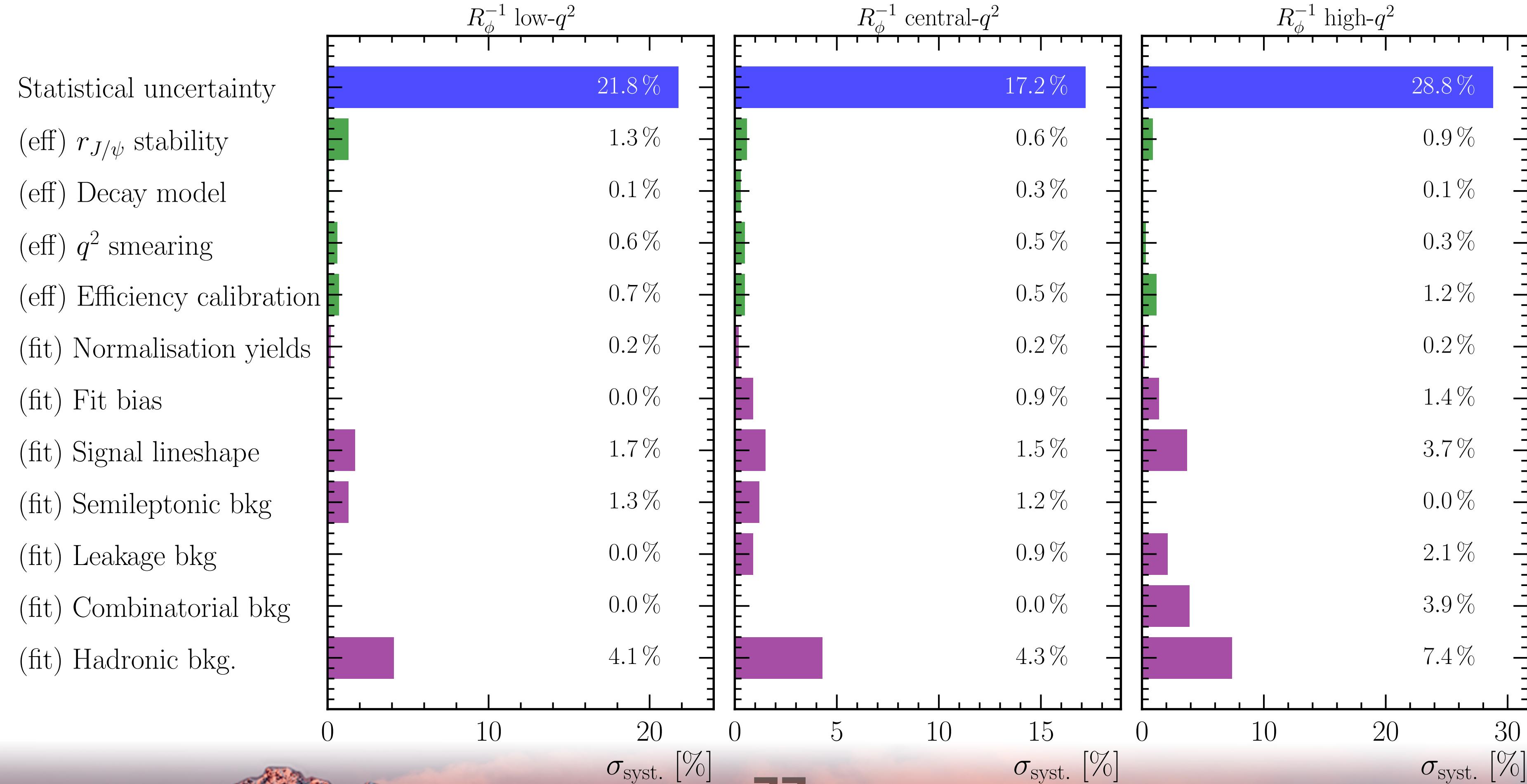


MASS FIT TO HIGH- q^2 : ELECTRONS



SYSTEMATIC UNCERTAINTIES

- Uncertainty dominated by **statistical component**
- Many systematics will **shrink with bigger sample size**



RESULTS

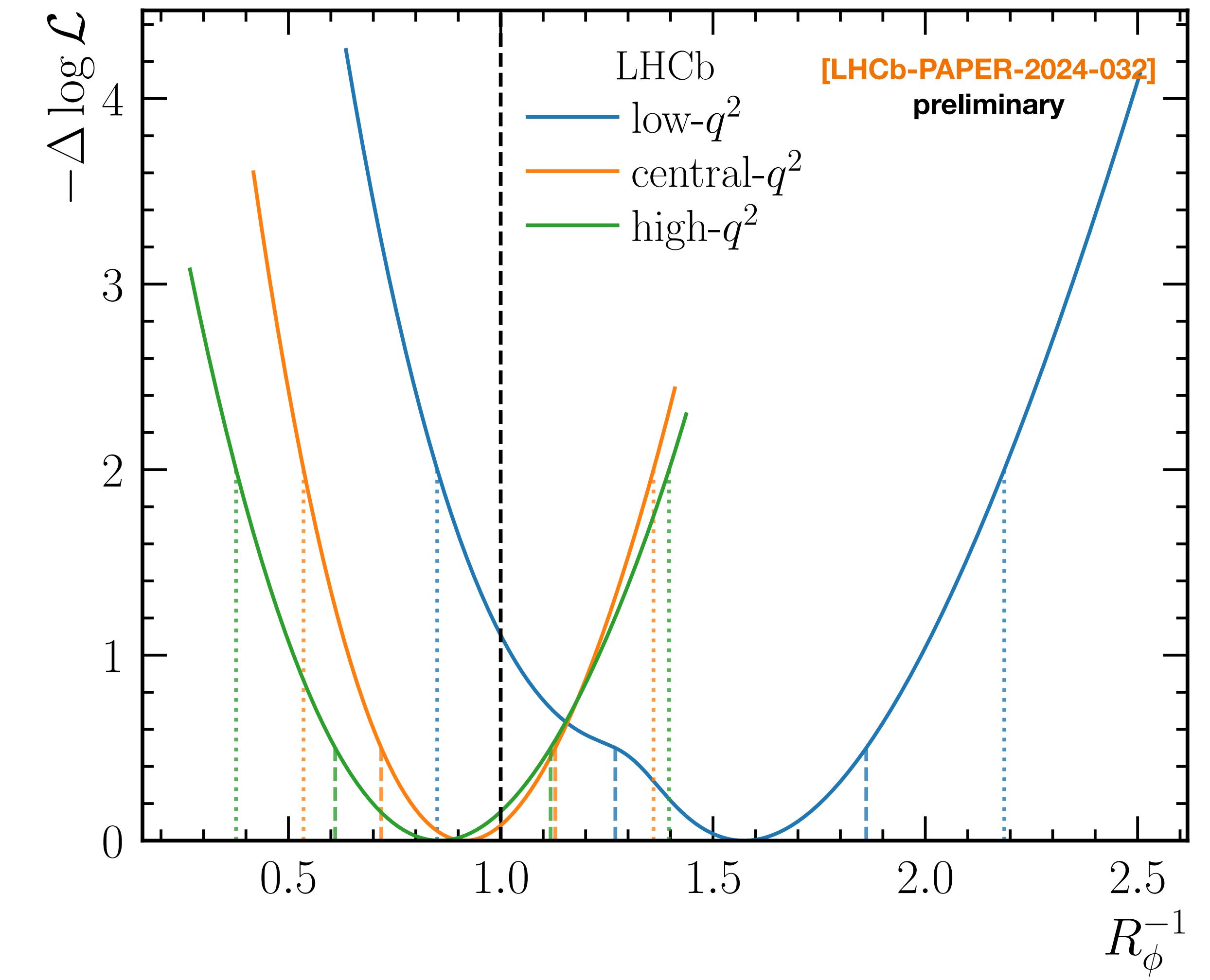
- Measurement is in **agreement with the SM predictions**

$$R_\phi^{-1}(\text{low} - q^2) = 1.57^{+0.28}_{-0.25} \pm 0.05$$

$$R_\phi^{-1}(\text{central} - q^2) = 0.91^{+0.20}_{-0.19} \pm 0.05$$

$$R_\phi^{-1}(\text{high} - q^2) = 0.85^{+0.24}_{-0.23} \pm 0.09$$

- Presence of local minimum at $\text{low}-q^2$
 - Fully visible in more dimensions
- ⇒ Likelihood is non-Gaussian



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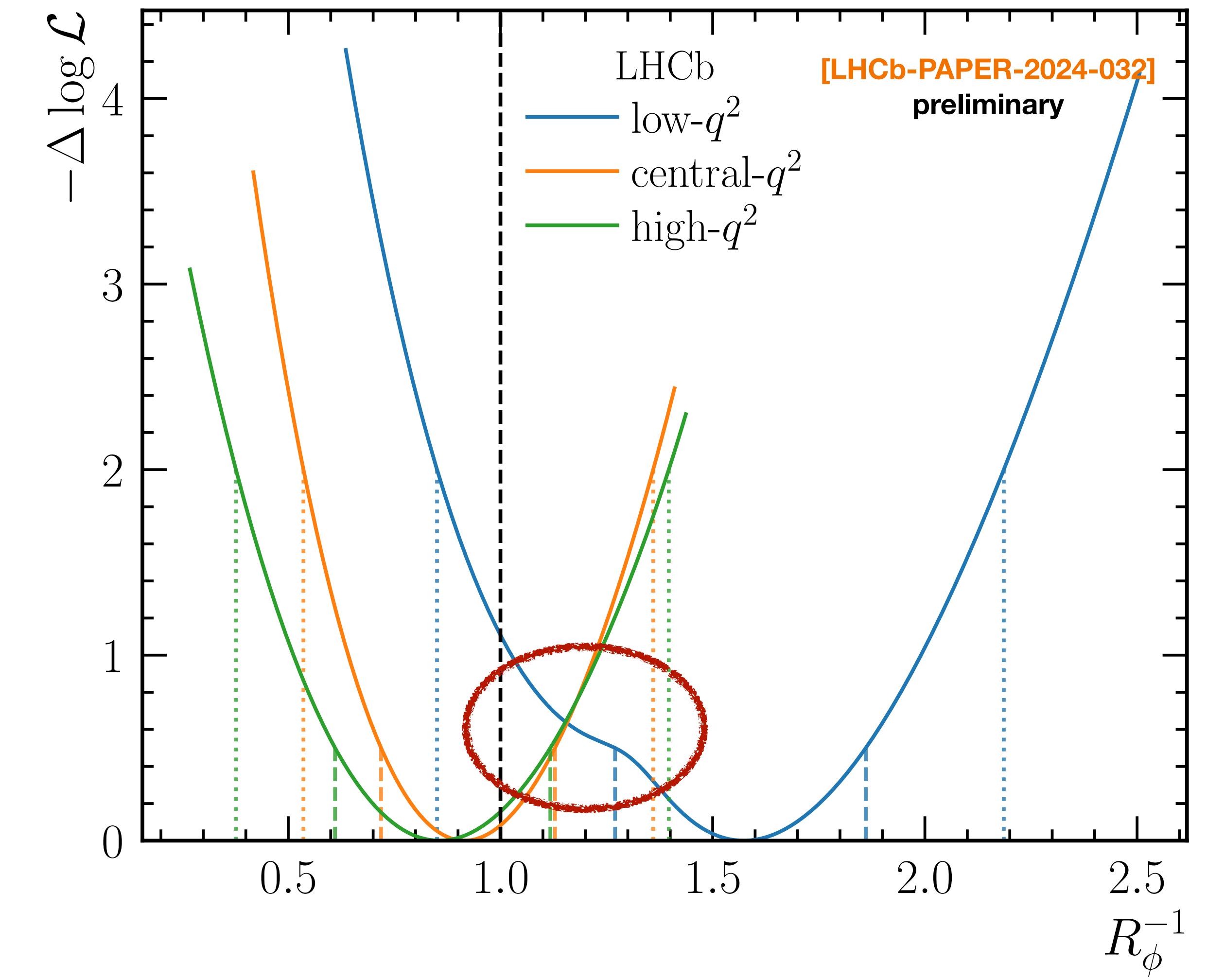
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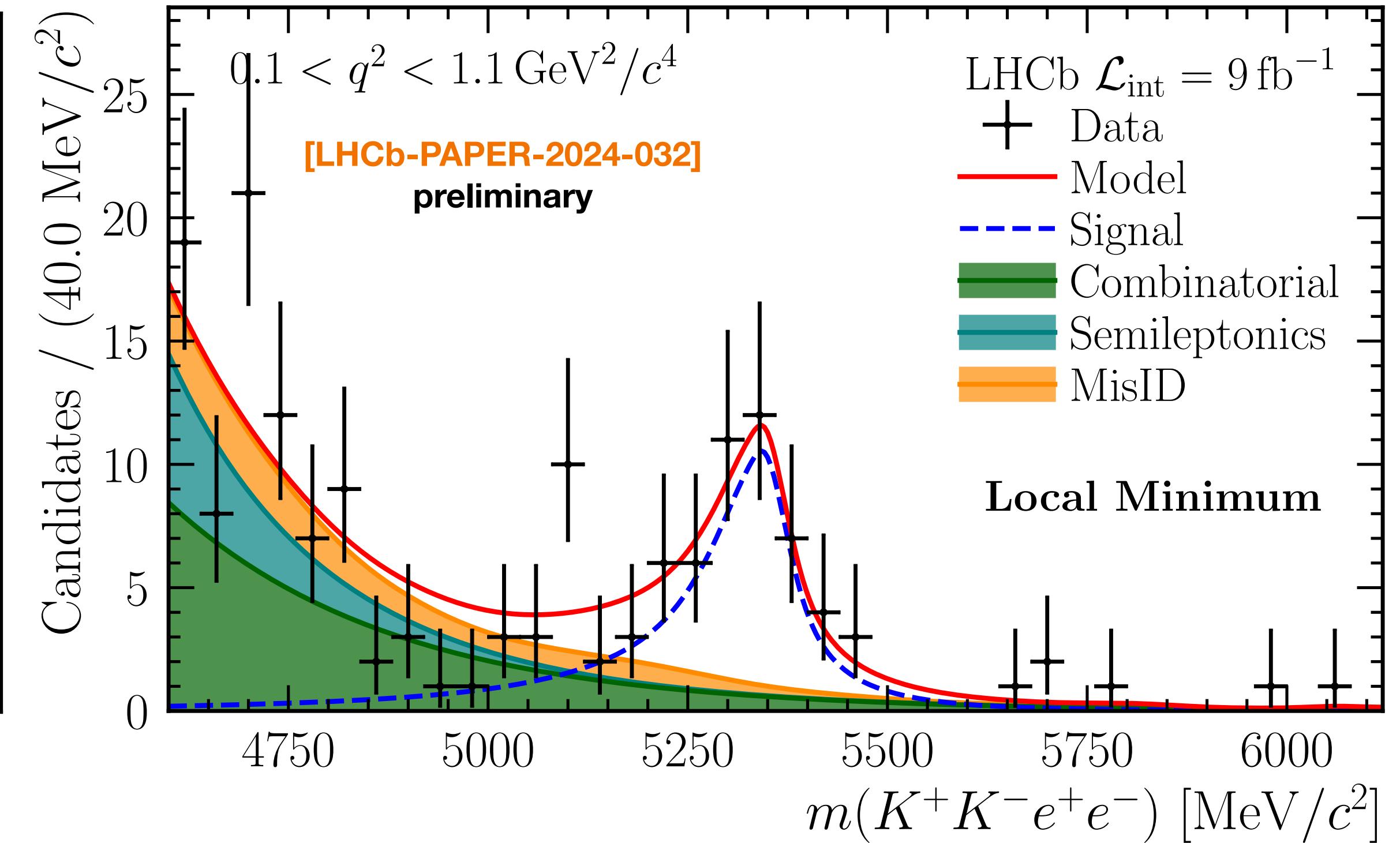
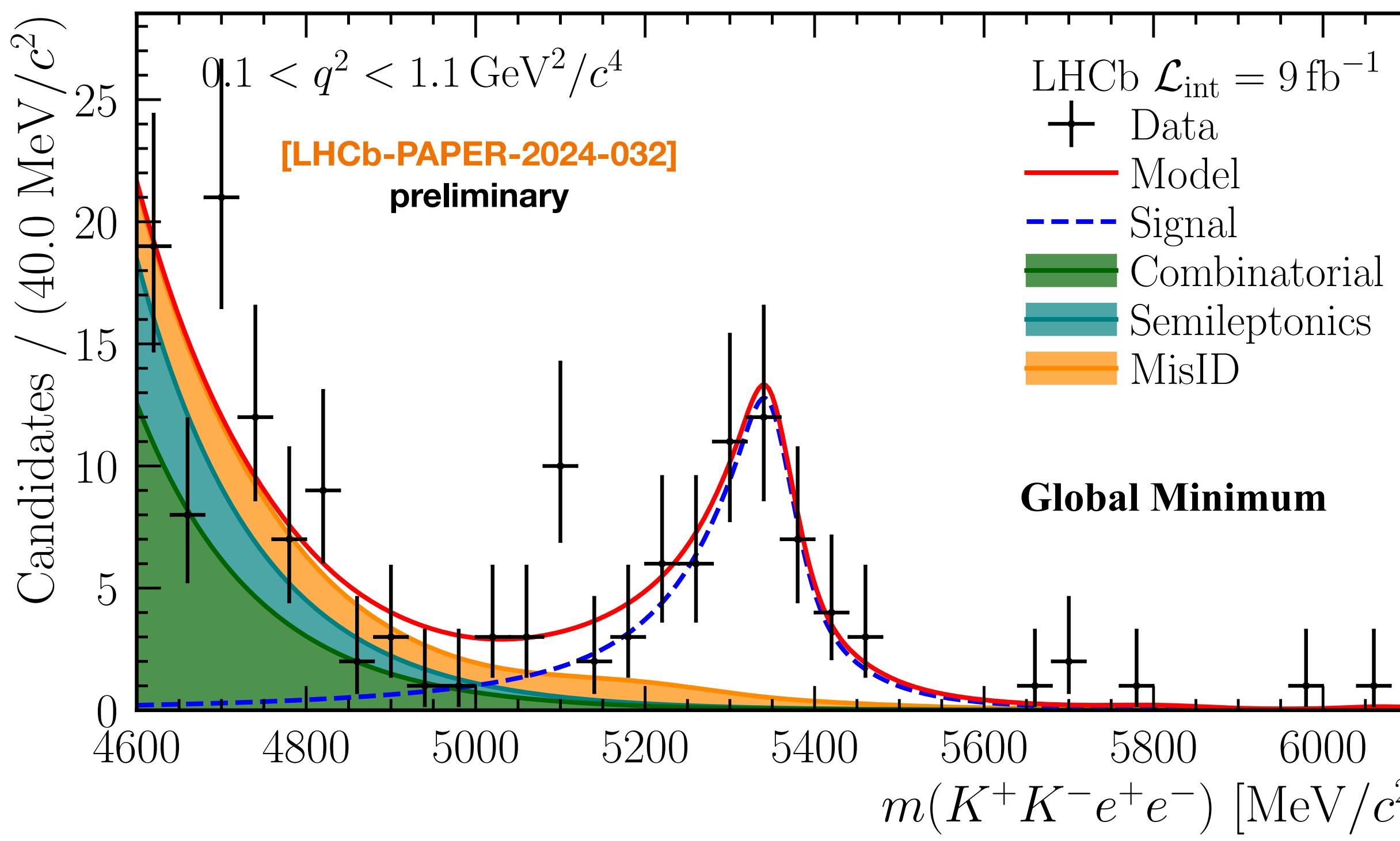
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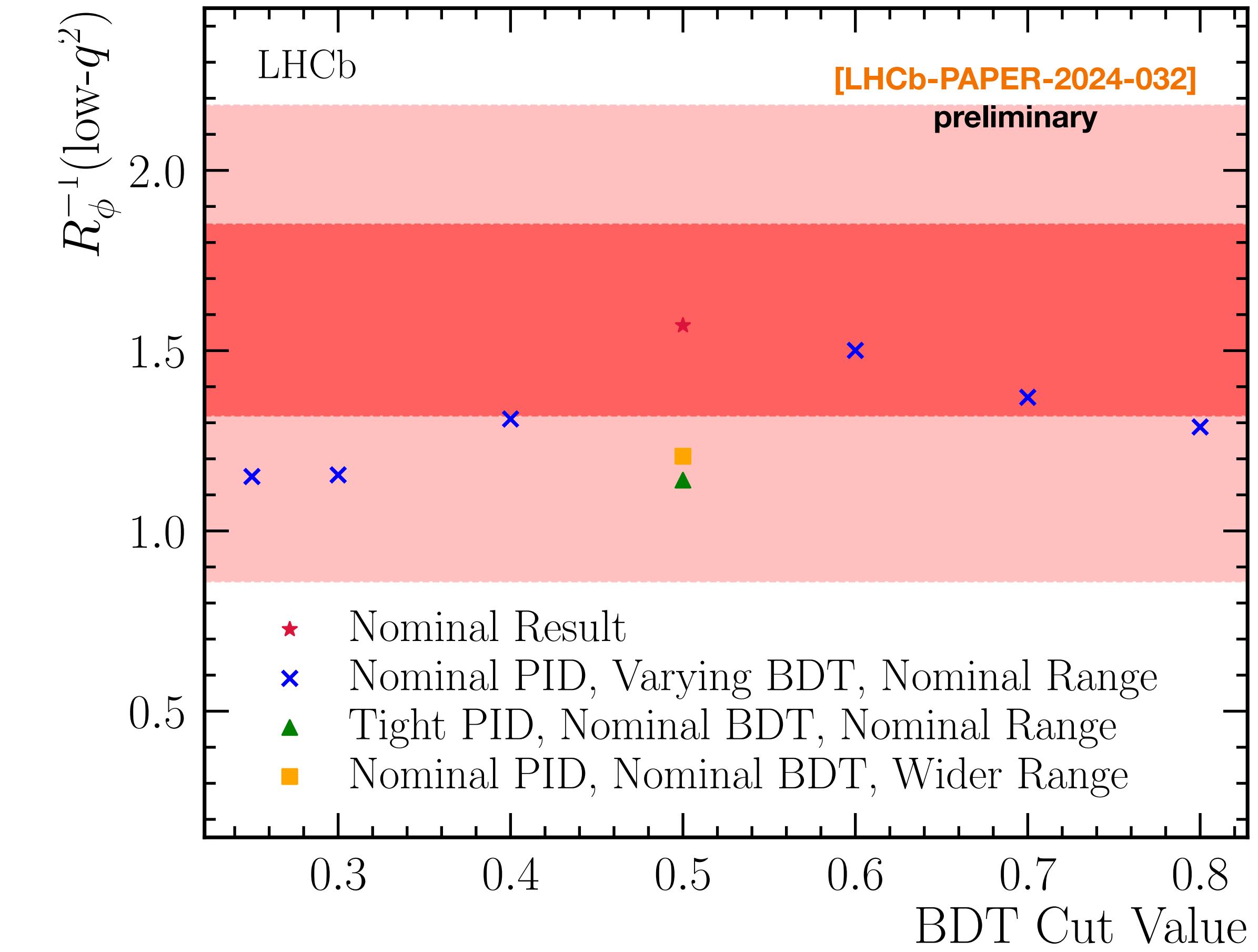
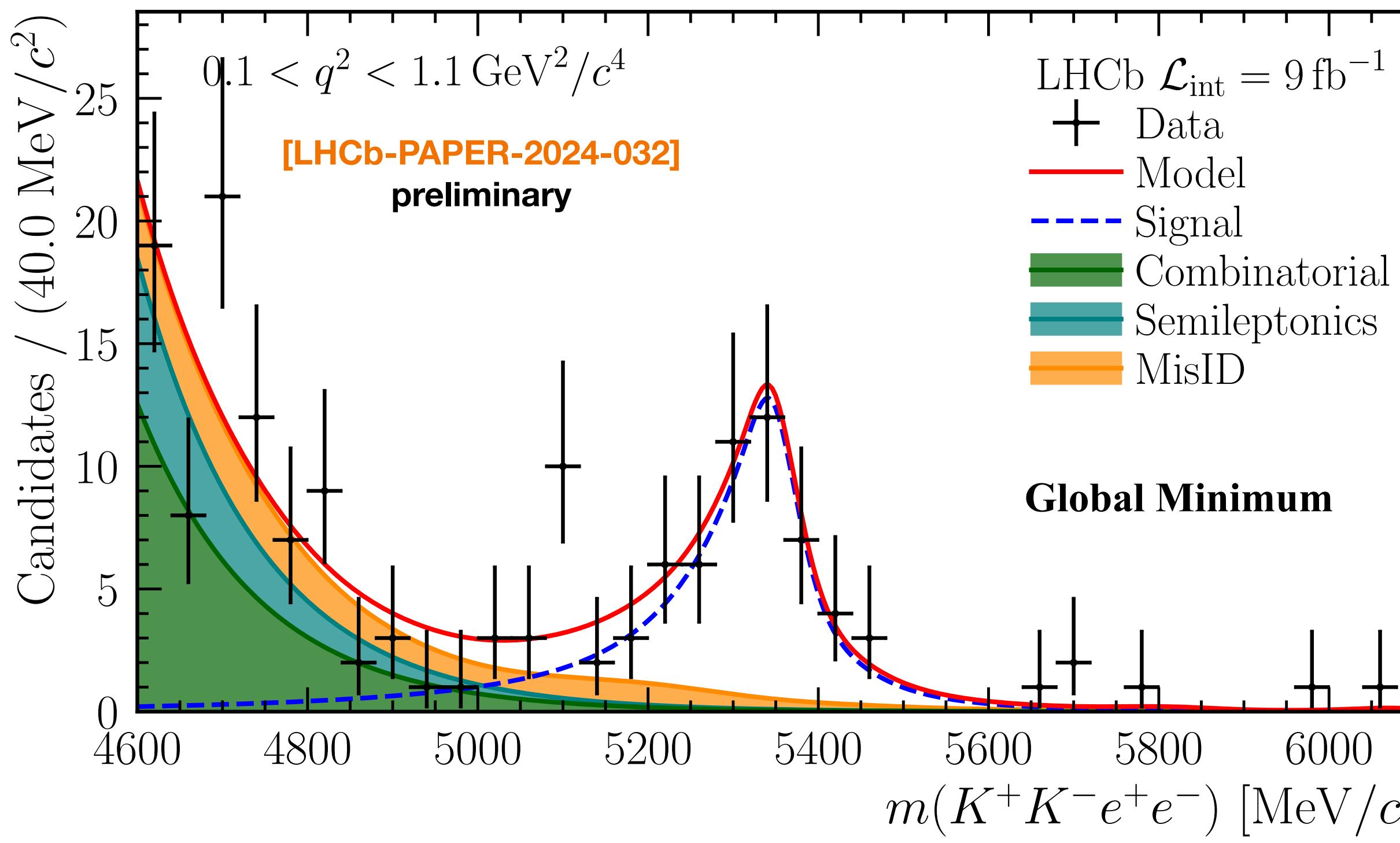
SECONDARY MINIMUM AT LOW- q^2

- Local minimum characterised by **flatter combinatorial**



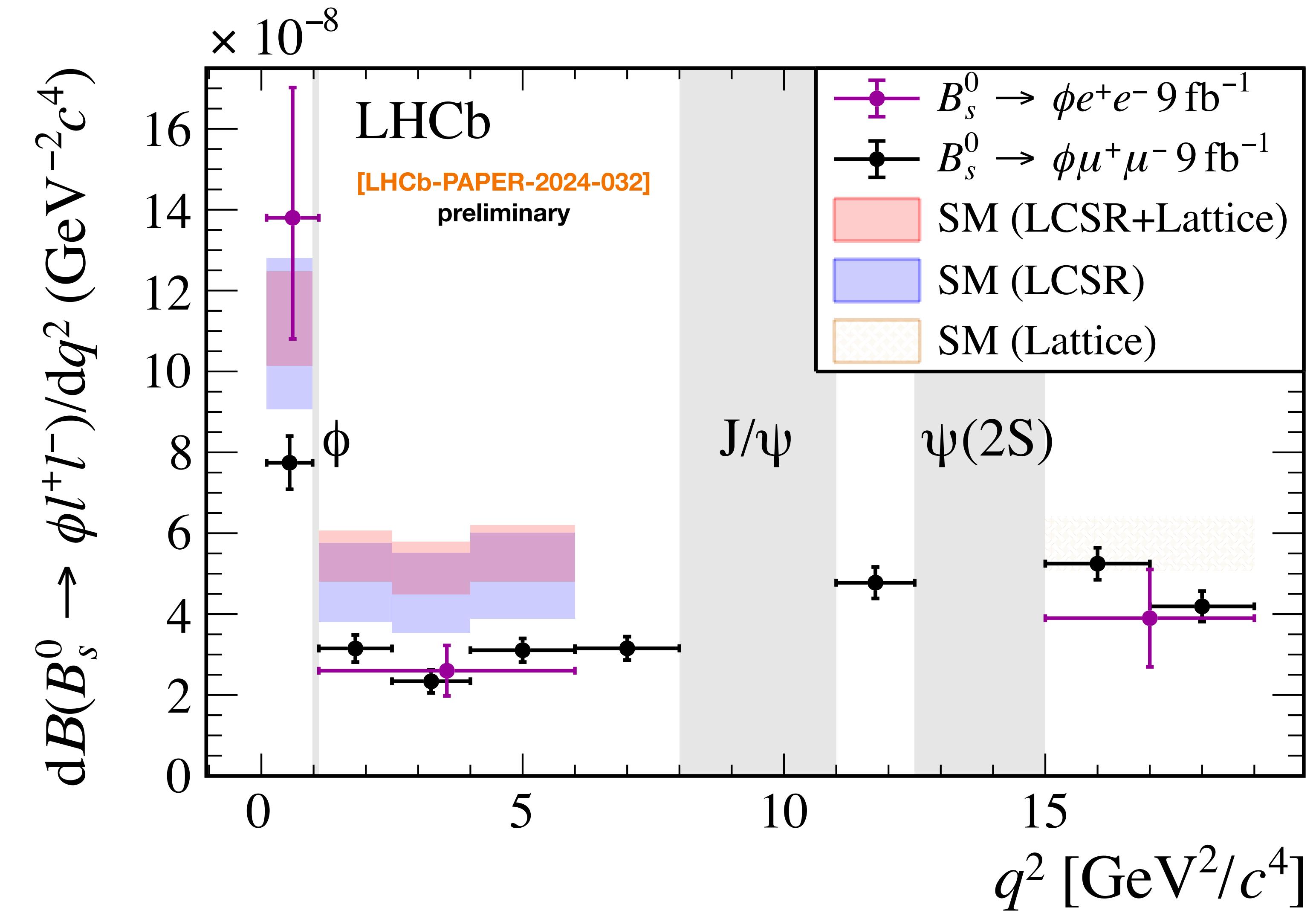
SECONDARY MINIMUM AT LOW- q^2

- Local minimum characterised by **flatter combinatorial**
- Variations of analysis choices affect which minimum is global



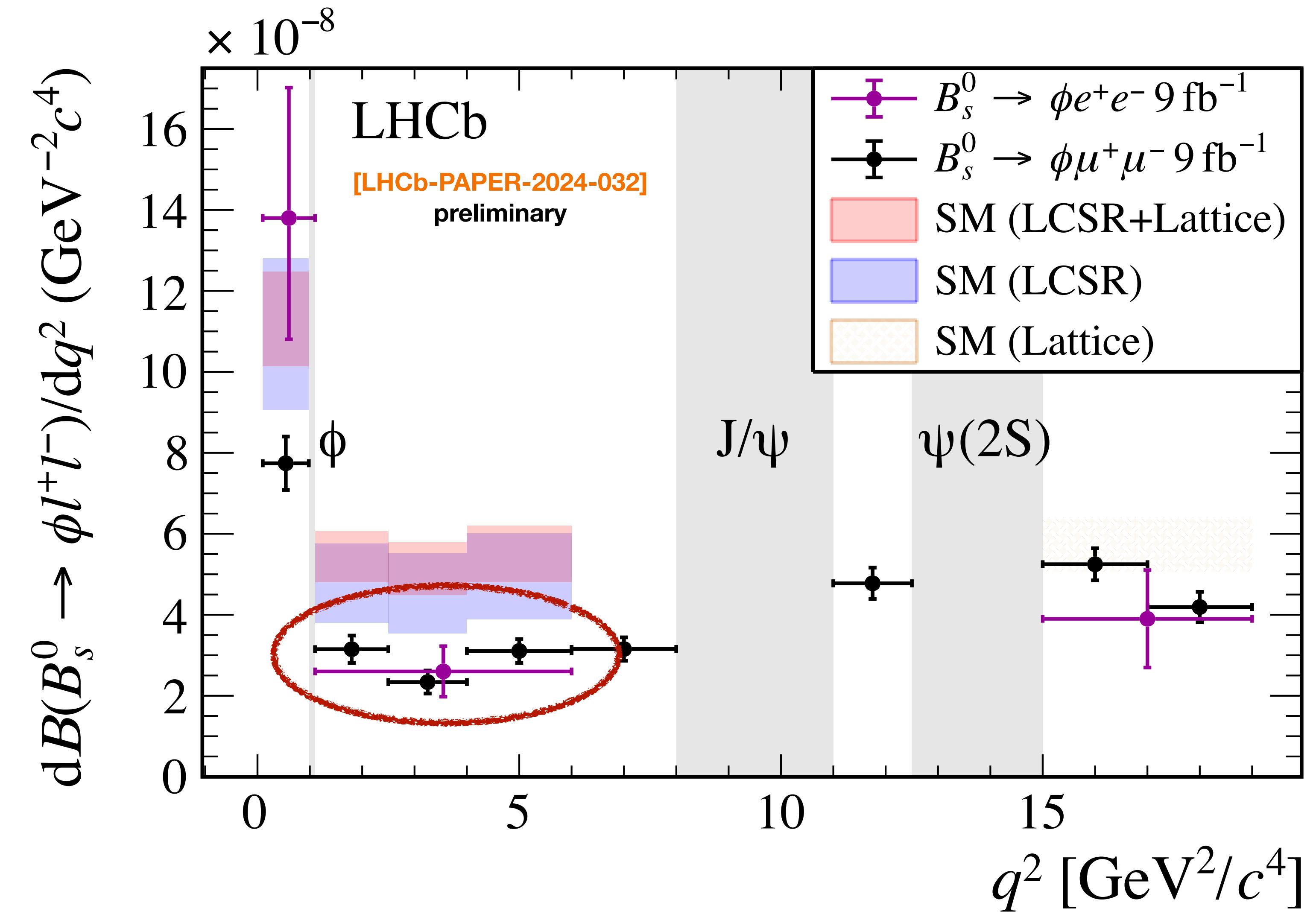
BRANCHING FRACTIONS

- Branching fraction extracted from R_ϕ^{-1} measurement
- $\mathcal{B}(B_s^0 \rightarrow \phi e^+ e^-)$ agrees with the SM and the measured $\mathcal{B}(B_s^0 \rightarrow \phi \mu^+ \mu^-)$
- Low- q^2 slightly above the $\mathcal{B}(B_s^0 \rightarrow \phi \mu^+ \mu^-)$ measurement
- Deviation in central- q^2 similar for both modes



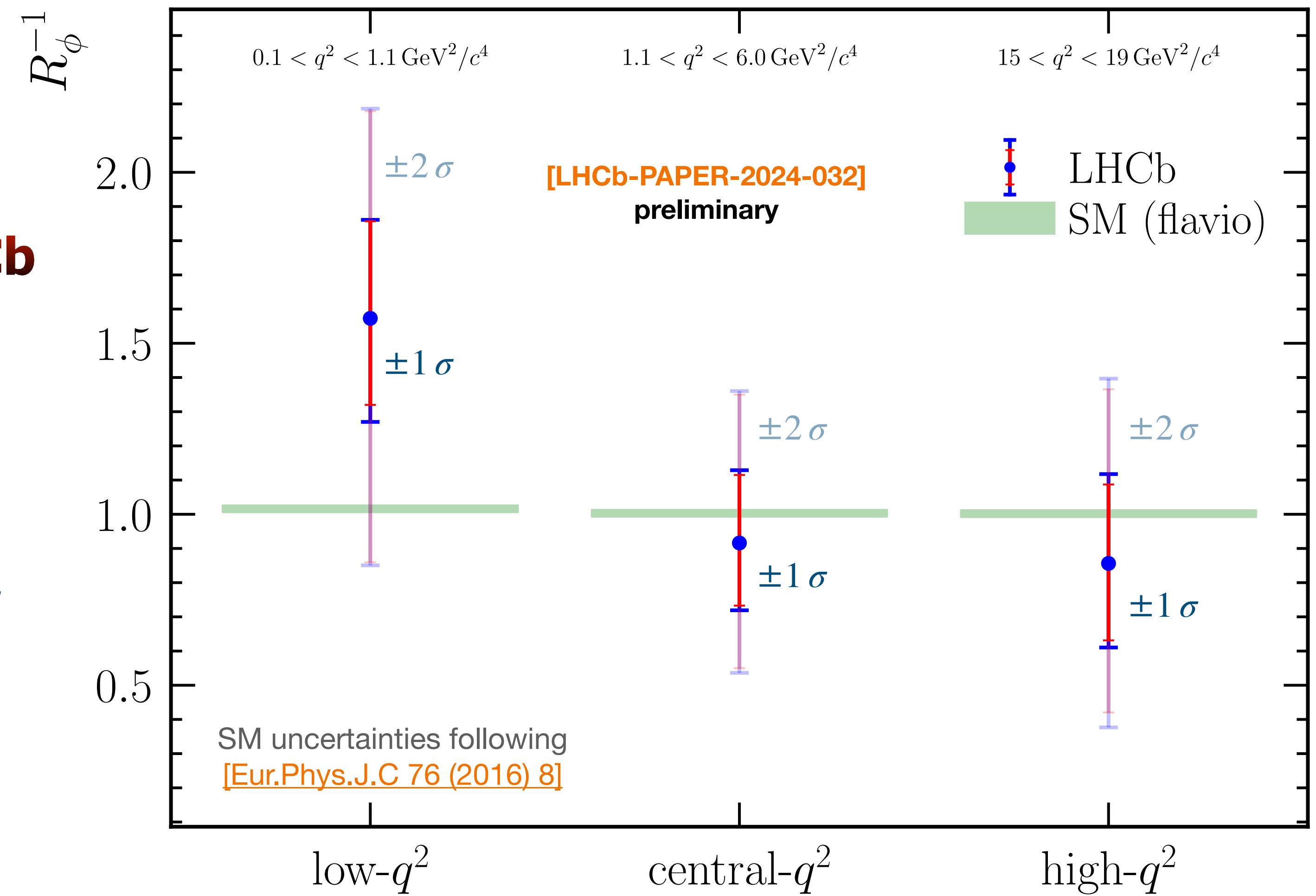
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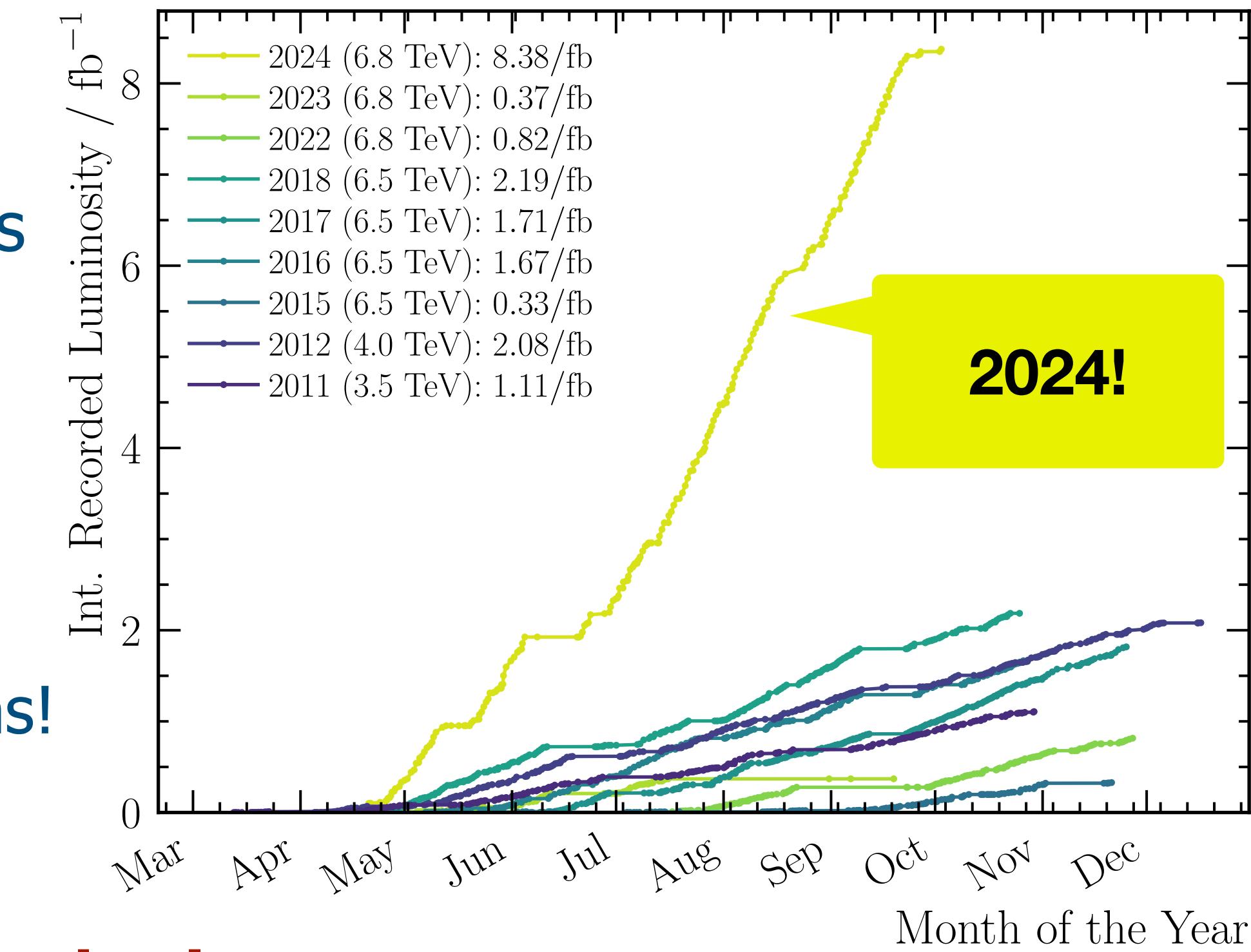
CONCLUSION

- A lot of **firs**ts!
- **First LFU test at high- q^2 for LHCb**
- **First LFU test in B_s^0 decays**
- **First observation of $B_s^0 \rightarrow \phi e^+e^-$**
- **Most precise LFU test at high- q^2**
- Results are in **good agreement** with the SM



OUTLOOK

- $b \rightarrow s\ell\ell$ transitions are **powerful probes** of the SM
- LFU tests are the most **precisely predictable** observables
- Data favour flavour universality in B^+ , B^0 , and B_s^0 decays
- With Run 3 and 4 LHCb will increase the number of recorded B_s^0 decays by a **factor of about 5**
 - **Removal of L0-trigger** drastic **improvement** for electrons!
 - **Unprecedented precision** for flavour observables
 - Systematic uncertainties for R_ϕ will **reduce with data sample size**
- Upgrade II aims to collect $\mathcal{L}_{\text{int}} \approx 300 \text{ fb}^{-1}$ \Rightarrow increase of factor ~ 6 compared to Run 3 and 4!
- **Tensions** in the branching fractions and angular observables **remain** but seem to be lepton flavour universal



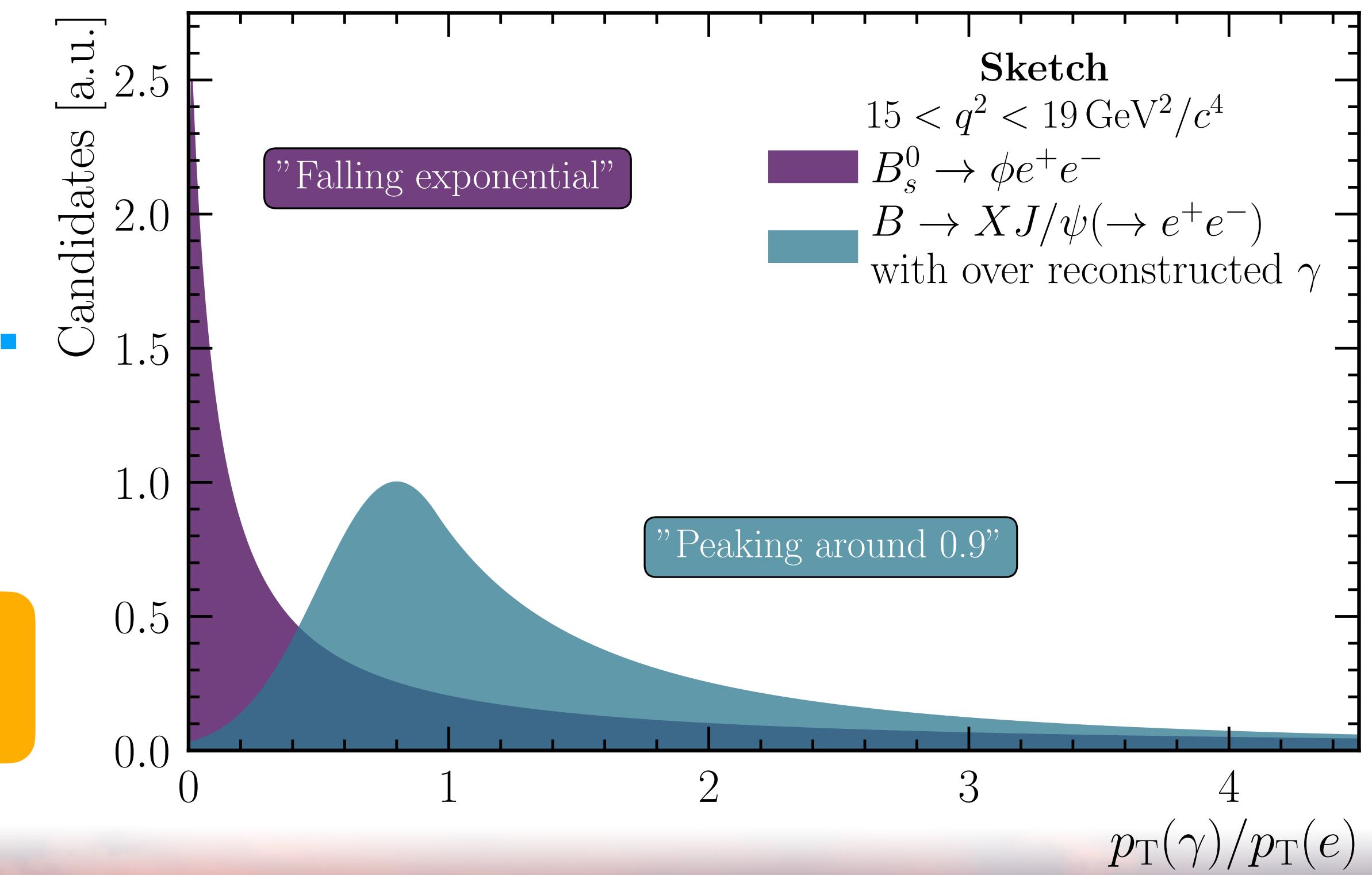
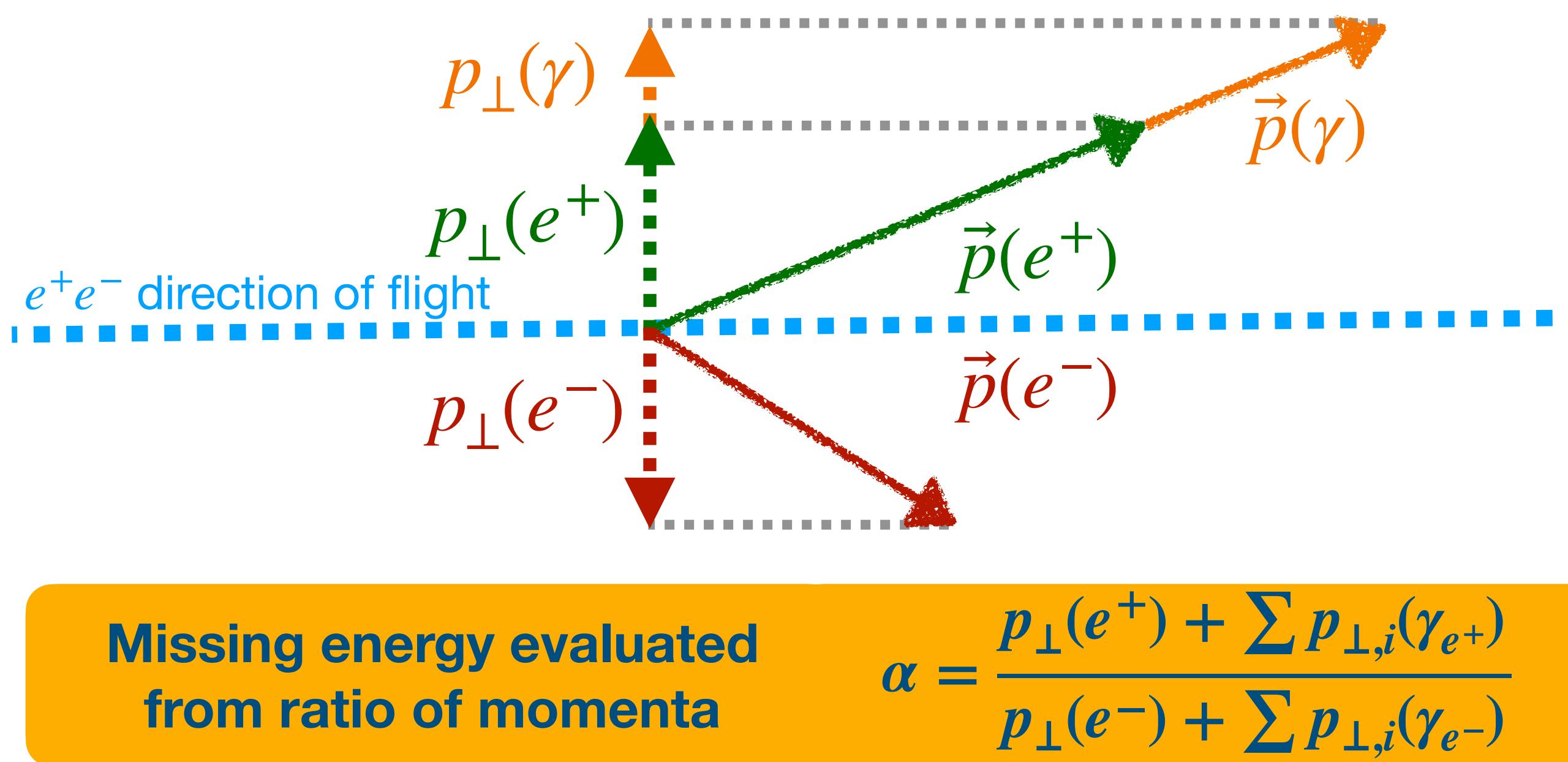
THANK YOU FOR LISTENING!



Photo: Sebastian Schmitt

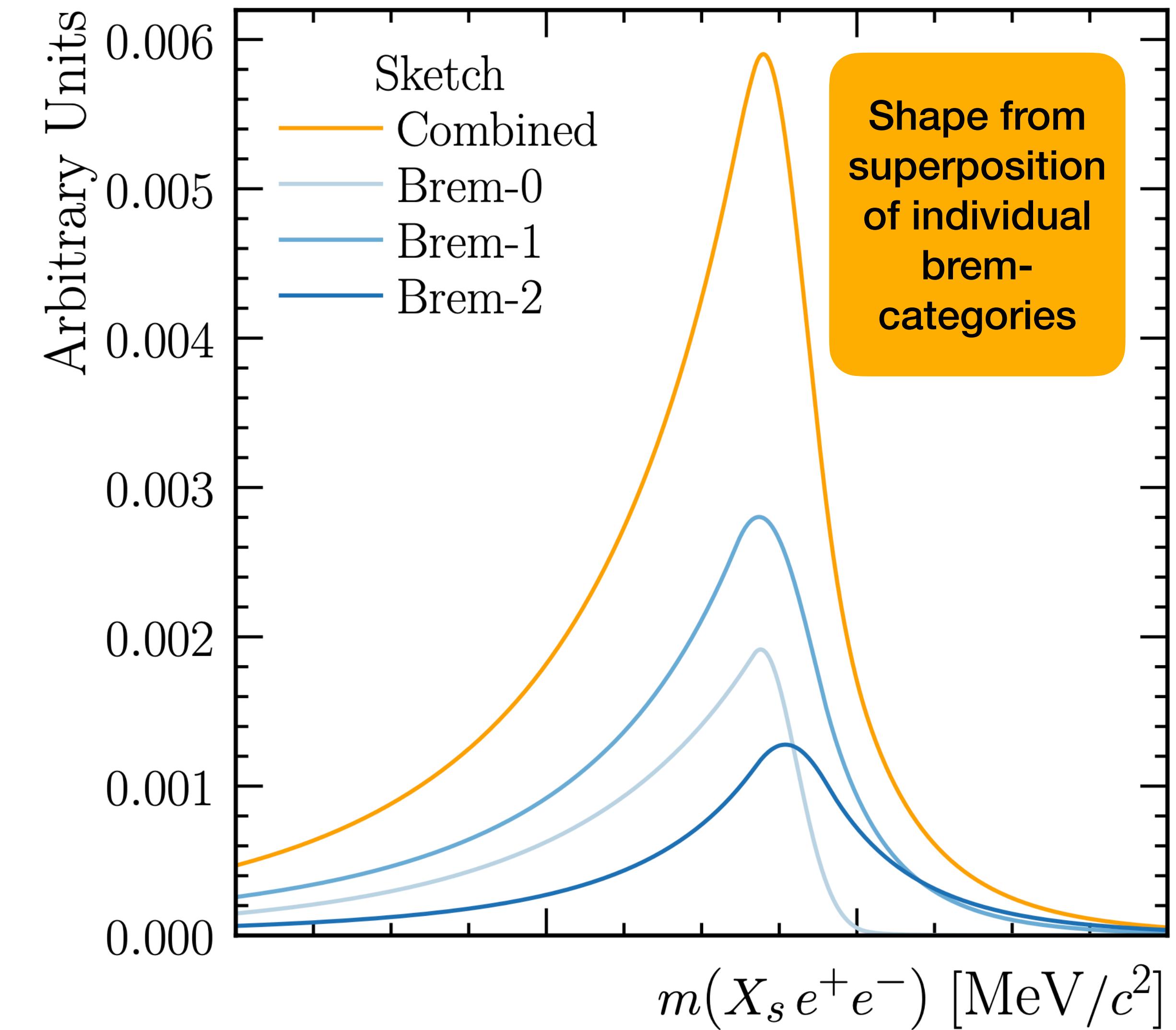
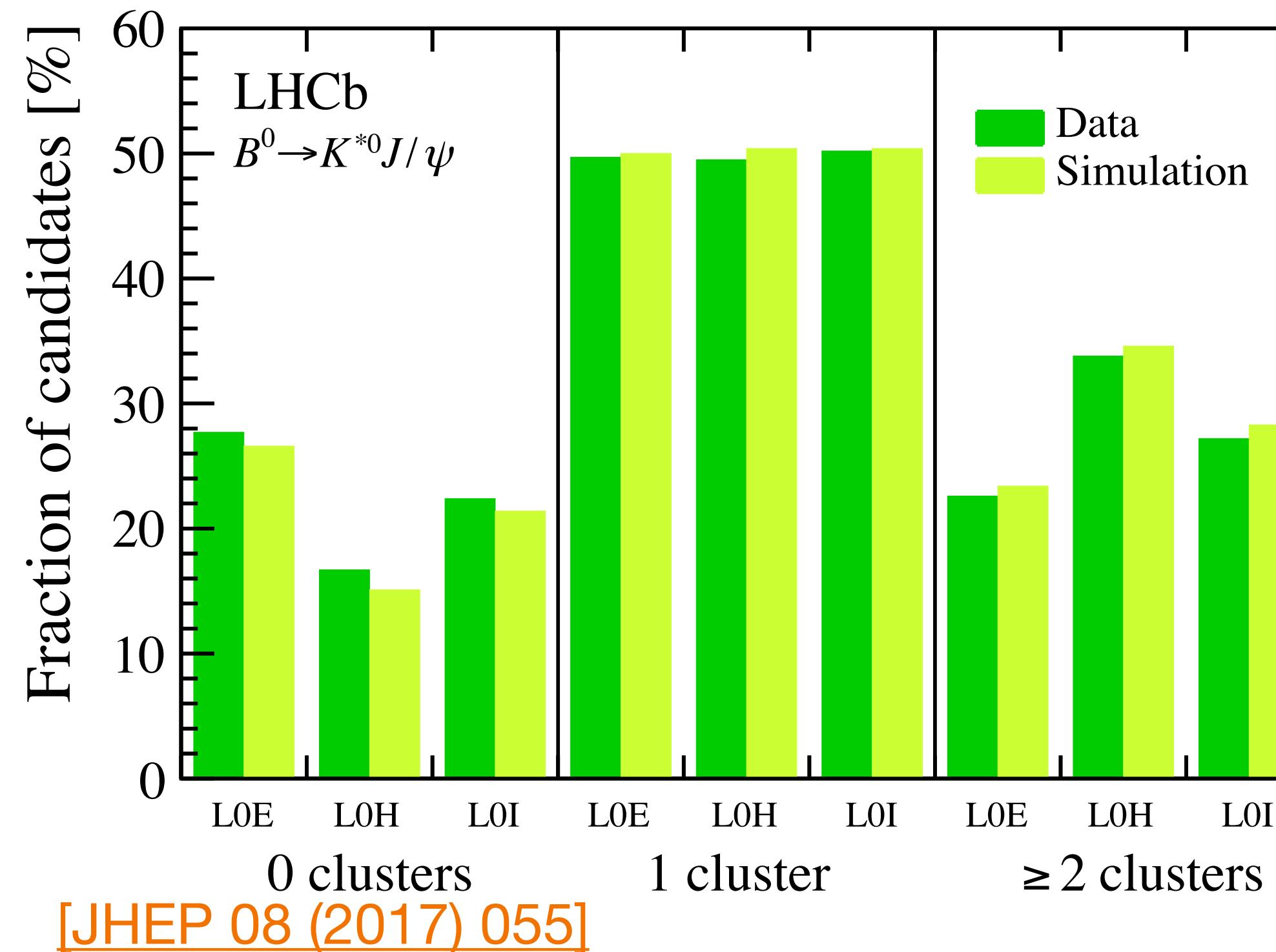
OVER RECONSTRUCTED BREMSSTRAHLUNG

- Inclusively suppress over reconstructed γ using multivariate classifier
- Leverage ratio of bremsstrahlung momentum to electron momentum
- Momentum asymmetries and isolation of the tracks



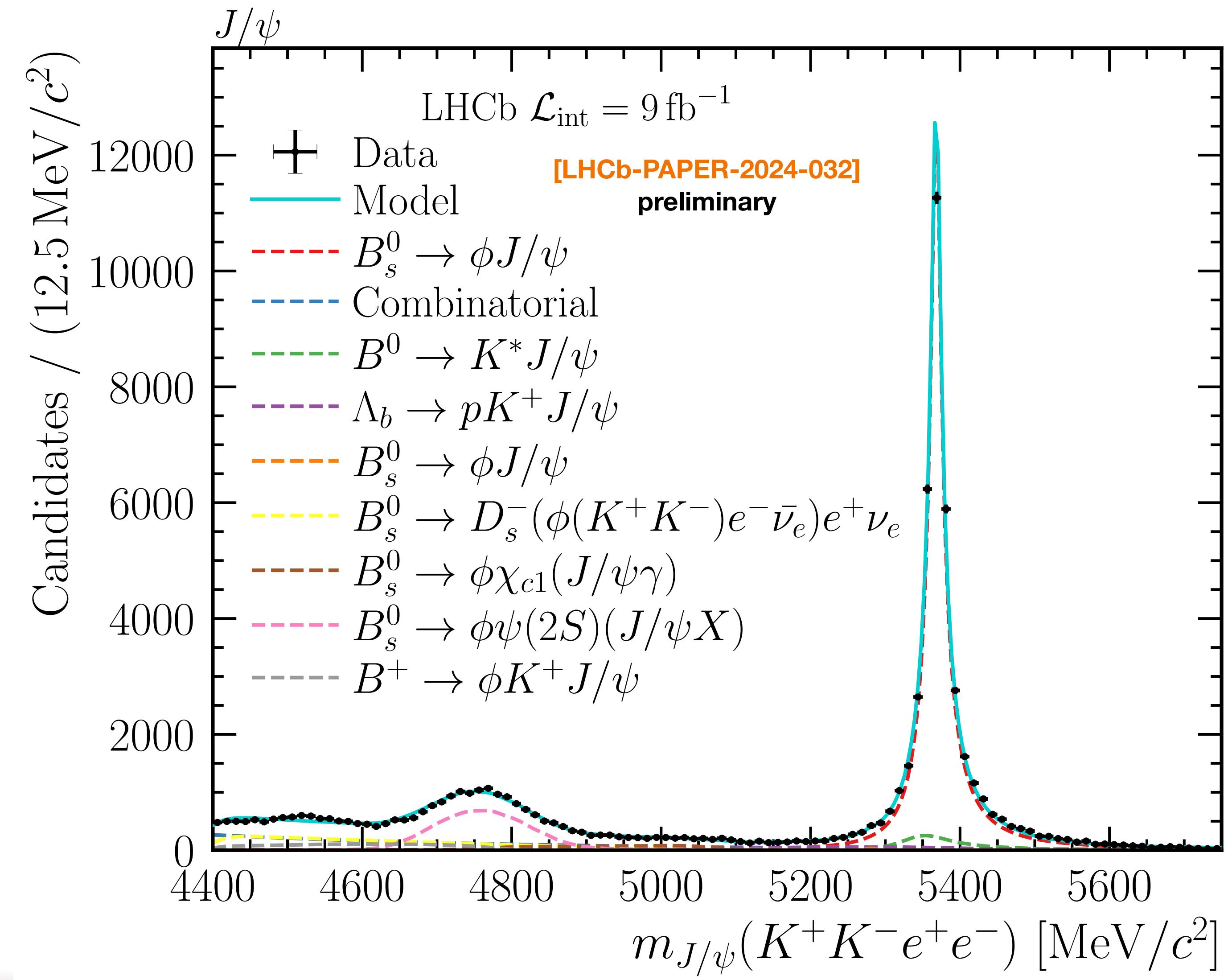
BREMSSTRAHLUNG AND SHAPES

- Different bremsstrahlung categories:
 - Brem-0: 0 clusters added
 - Brem-1: 1 cluster added
 - Brem-2: 2 or more clusters added



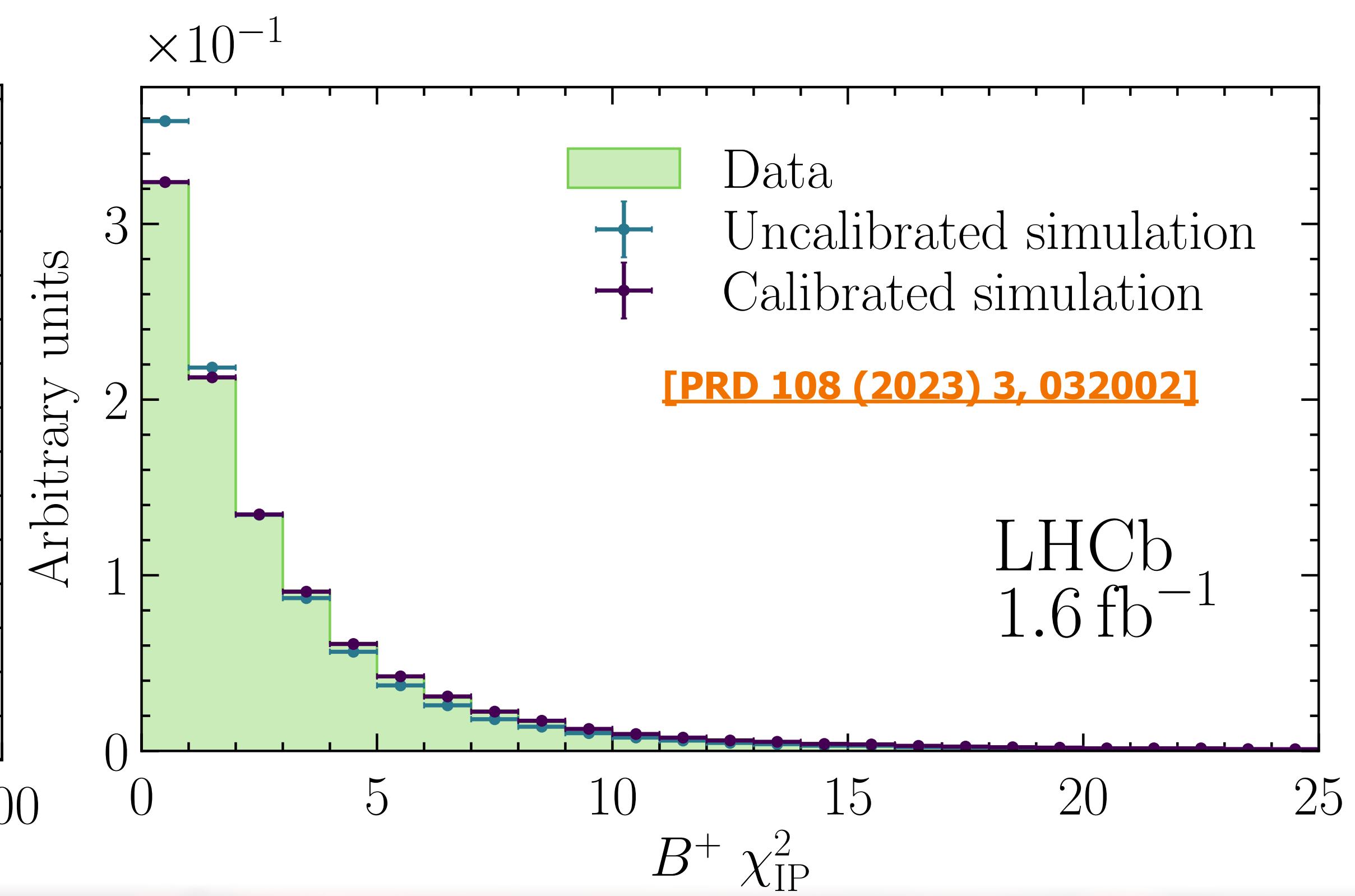
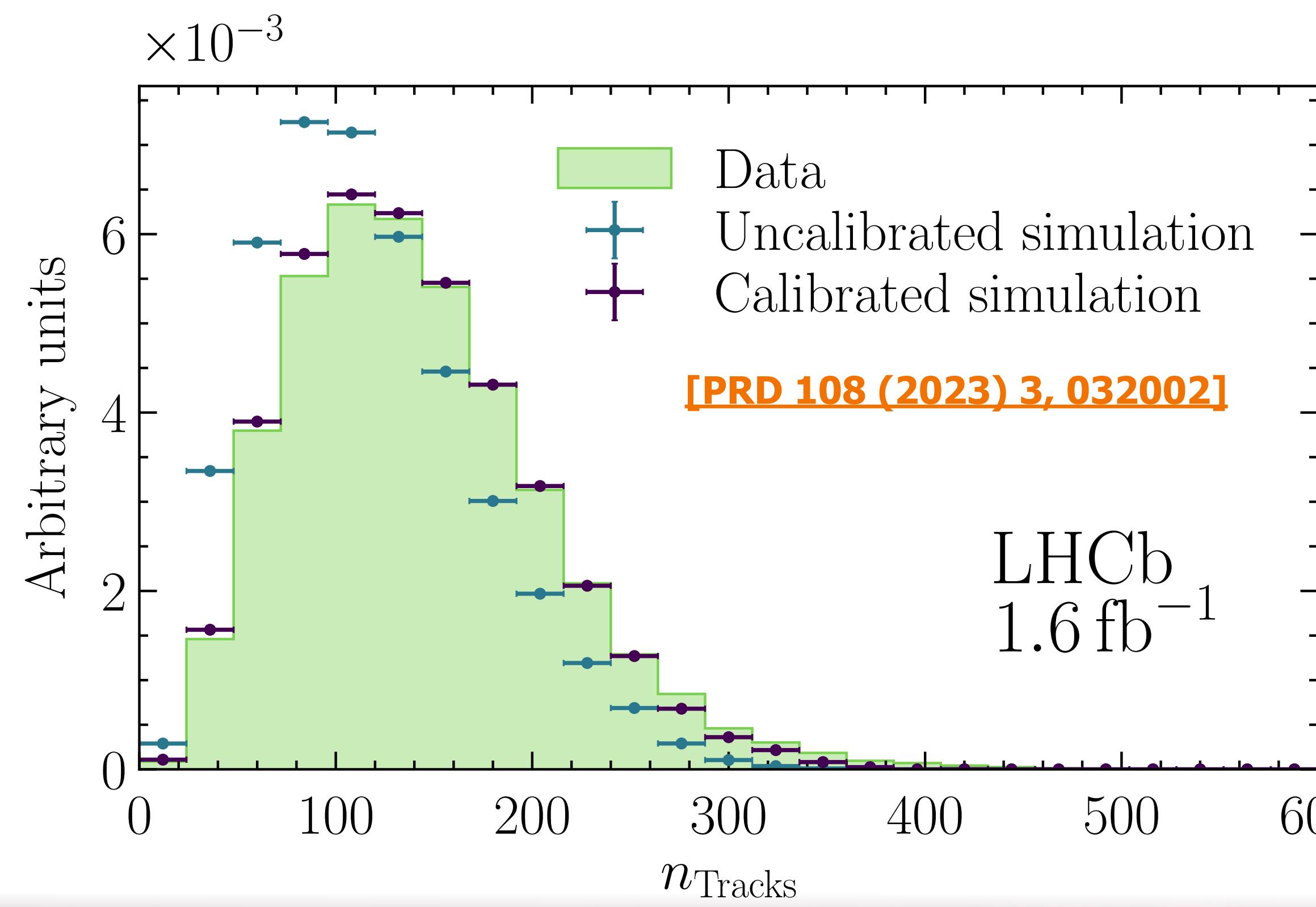
EXTENDED MASS RANGE FIT

- Demonstrate knowledge of full spectrum down to low $K^+K^-\ell^+\ell^-$ masses
- Considered all contributions when asserting leakage for high- q^2



SIMULATION CALIBRATION GEN + RECO

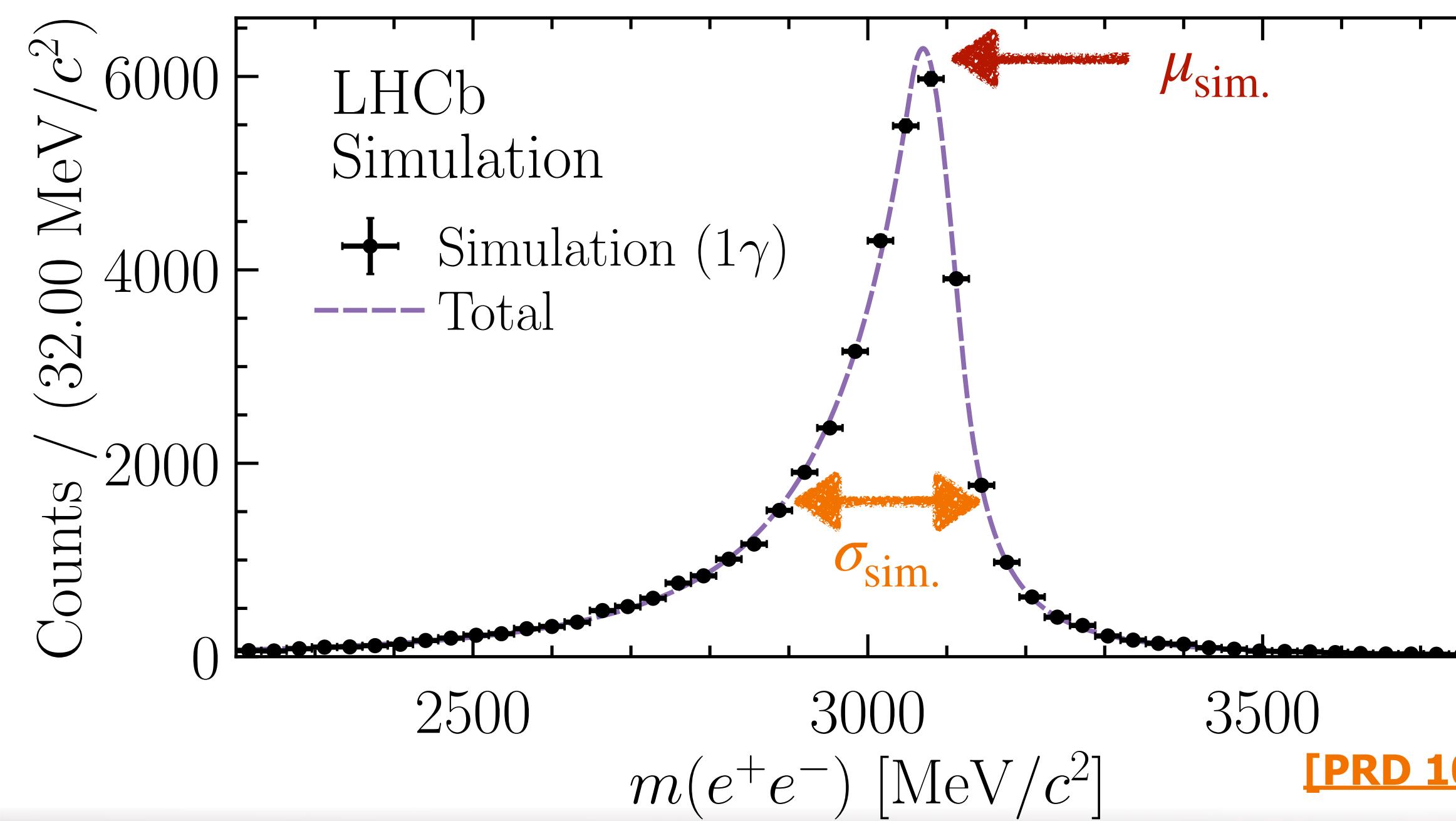
- Calibrated using $B_s^0 \rightarrow \phi J/\psi$ decays, similar procedure as [\[PRD 108 \(2023\) 3, 032002\]](#)
- Calibrated with BDT reweighter, background subtraction performed using s Weighting



DILEPTON MASS CALIBRATION

- Extracted from fits to $B_s^0 \rightarrow \phi J/\psi(\rightarrow e^+e^-)$ data and simulation
- Determine width scale s_σ and mean shift $\Delta\mu$ to compute corrected mass
- $m^{\text{corr.}} = m_{\text{pre FSR}}^{\text{true}} + s_\sigma(m^{\text{sim.}} - m_{\text{pre FSR}}^{\text{true}}) + \Delta\mu + (1 - s_\sigma)(\mu^{\text{sim.}} - m_{J/\psi}^{\text{pdg}})$

Depending on number of bremsstrahlung γ associated with candidate

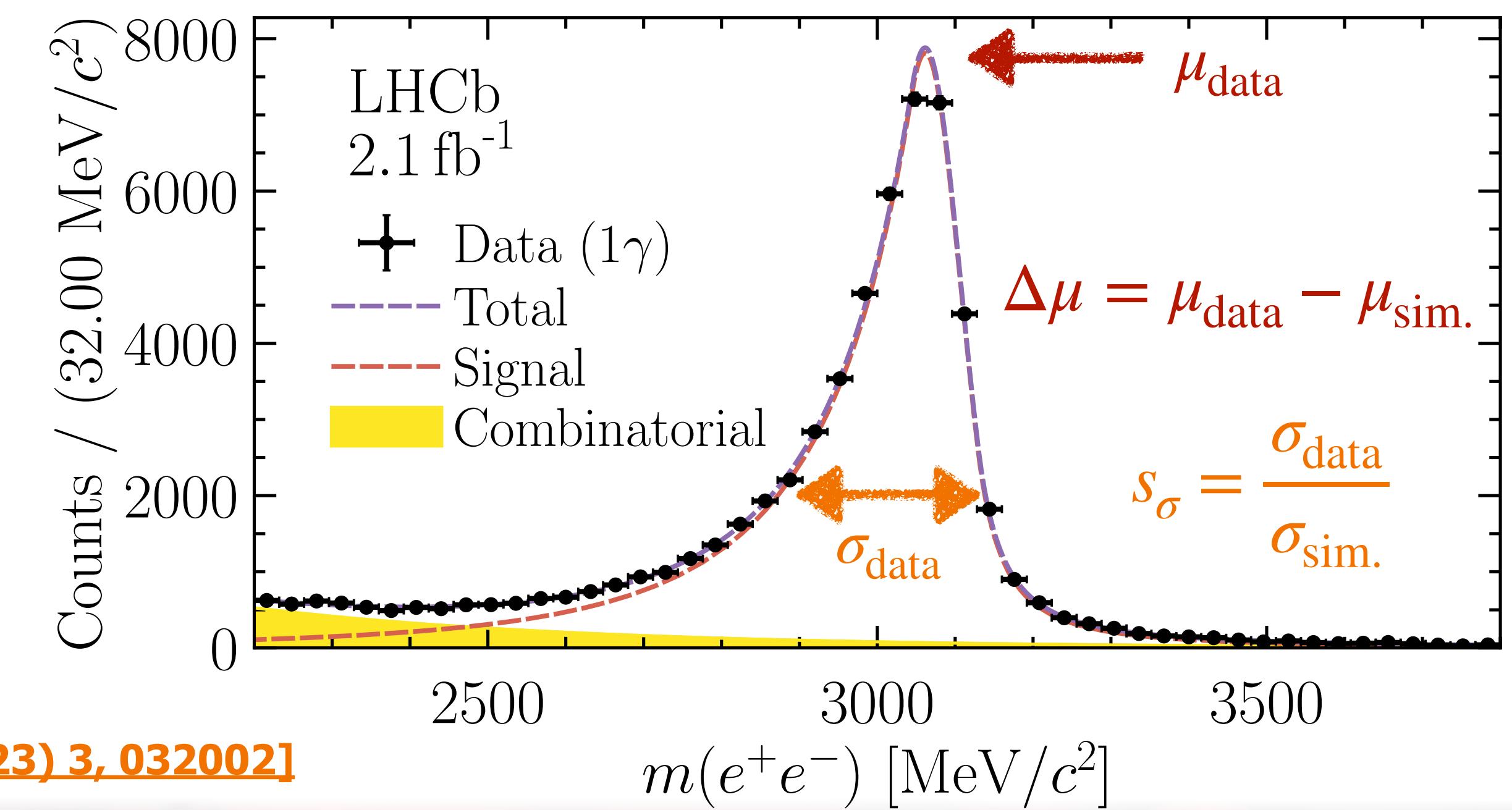


[PRD 108 (2023) 3, 032002]

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Photo: S. Schmitt



MISIDENTIFIED ELECTRON BACKGROUNDS

- Strategy follows [\[PRD 108 \(2023\) 3, 032002\]](#)
- **Calibration sample** with $D^{*-} \rightarrow \bar{D}^0(\rightarrow K^+\pi^-)\pi^-$ decays
 - High purity and sample size
- **Transfer function:** 
- **Validated** using $D \rightarrow K\pi$ decays in $B_s^0 \rightarrow \phi e^+e^-$ data
 - Can be isolated when removing selection requirements

