



High-Quality Axions from Higher-Form Symmetries in Extra Dimensions

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Outline

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- **PART I: Higher-form Symmetries**

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- **PART IV: Breaking the Symmetries**

Part I

Higher-Form Symmetries

Warmup: $U(1)$ Zero-Form Symmetry

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- Invariant under $\phi \rightarrow \phi e^{i\alpha}$. Usual global U(1) symmetry.

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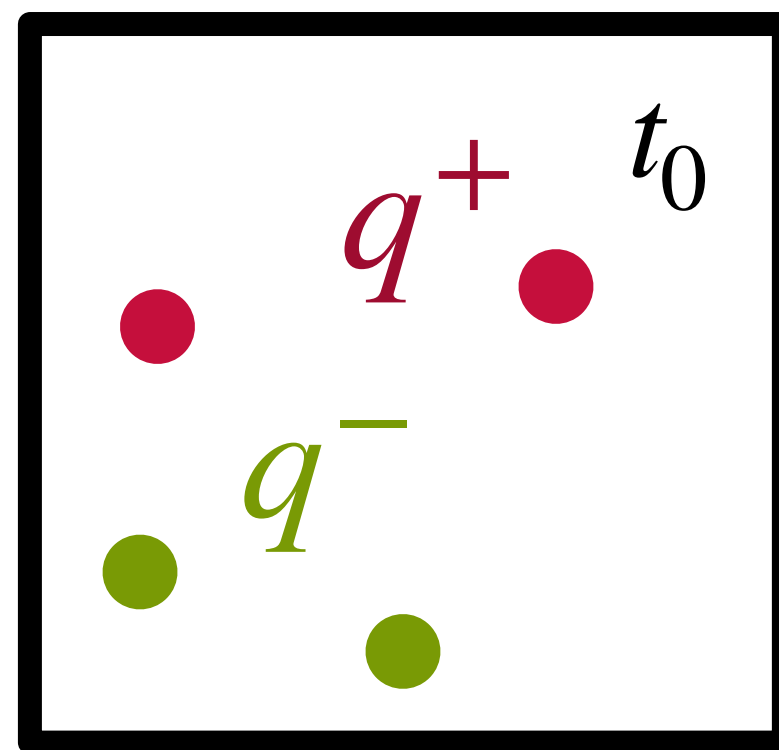
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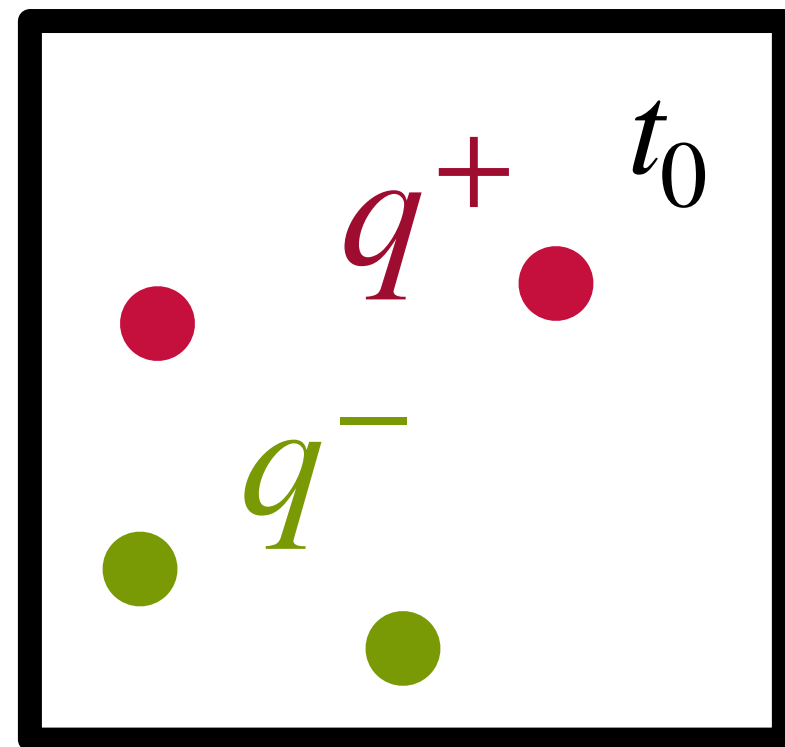
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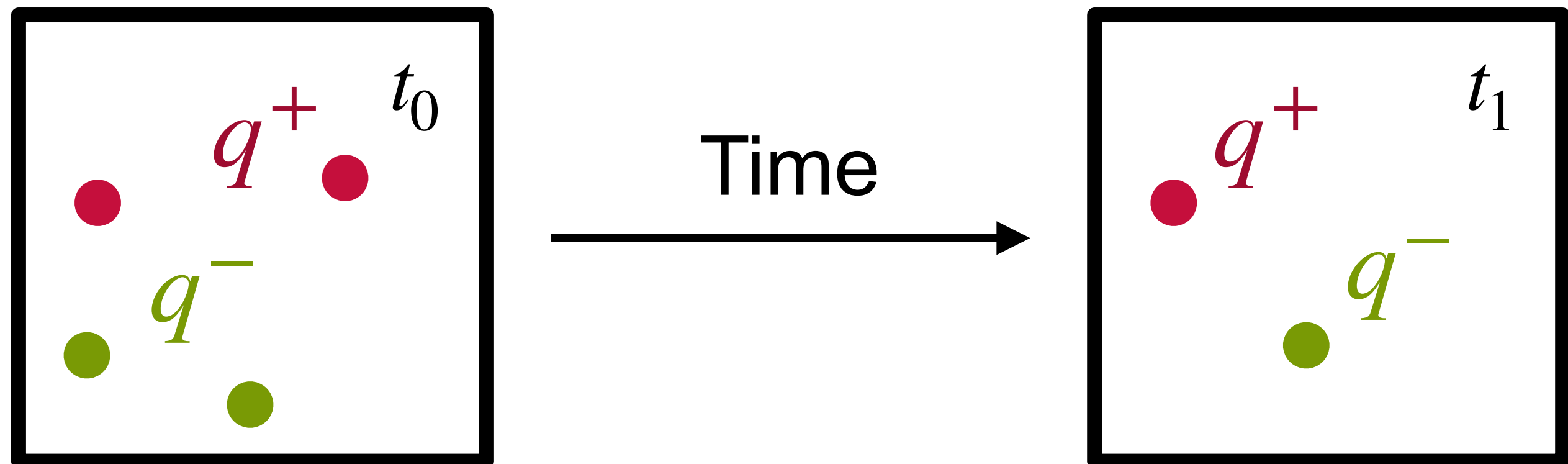
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$$U(\Sigma, \alpha) \equiv \exp \left(i\alpha \int_{\Sigma} J \right) \quad \text{Symmetry Defect Operator (SDO)}$$

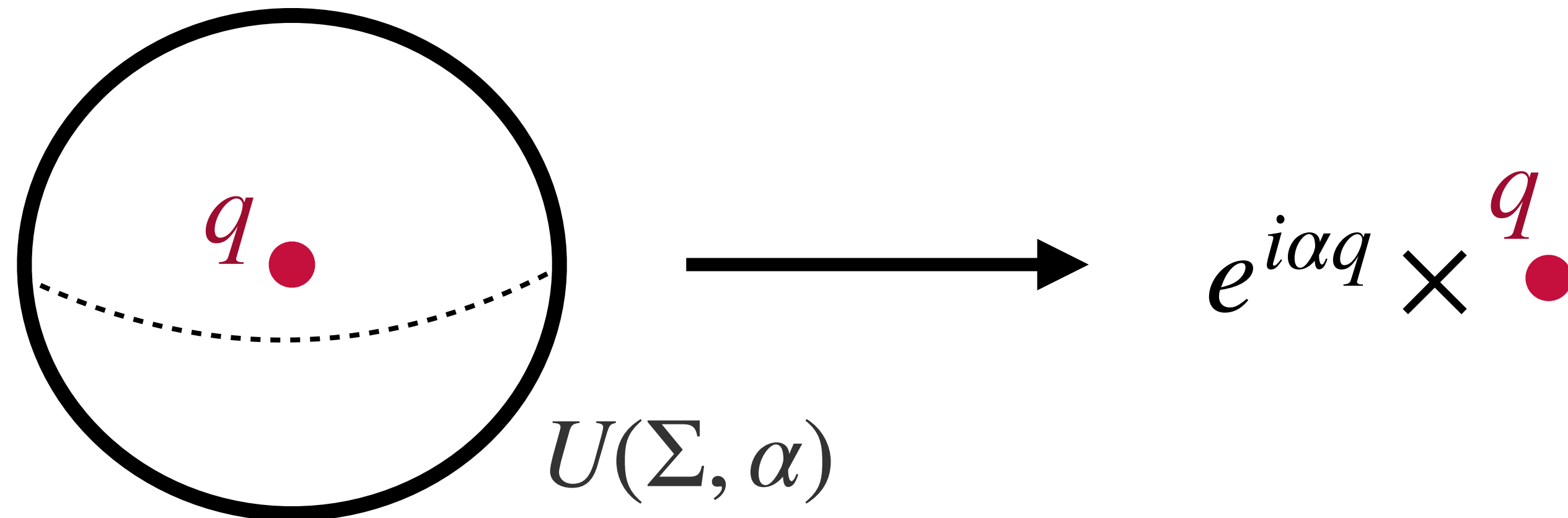
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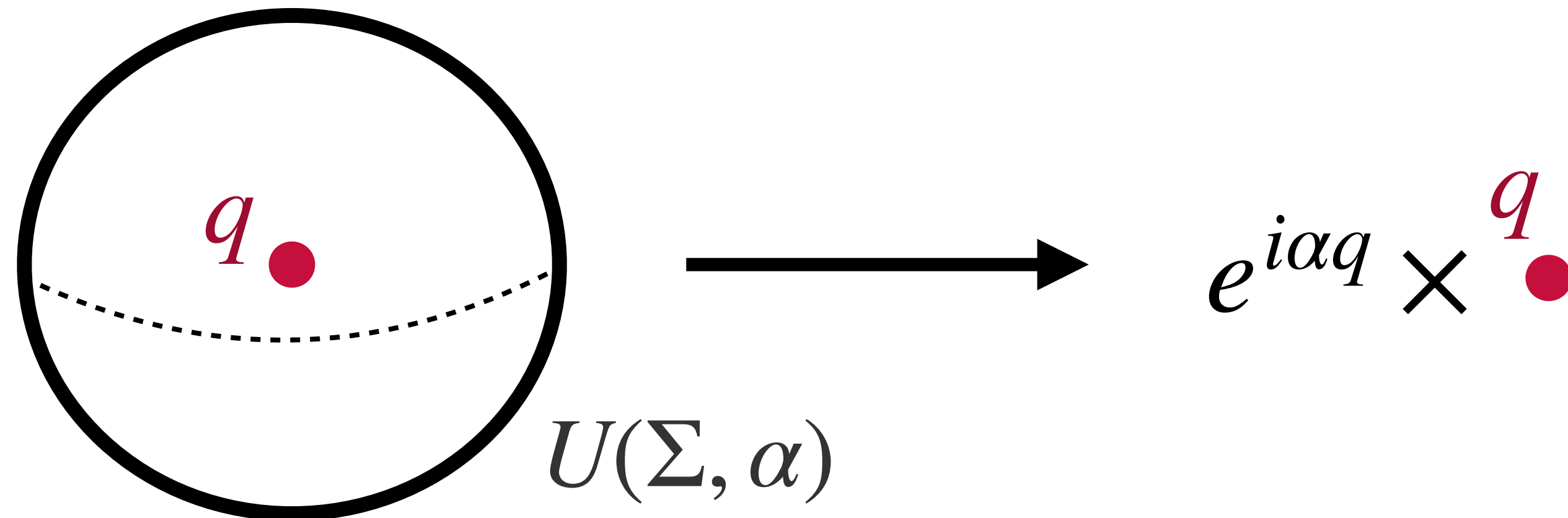
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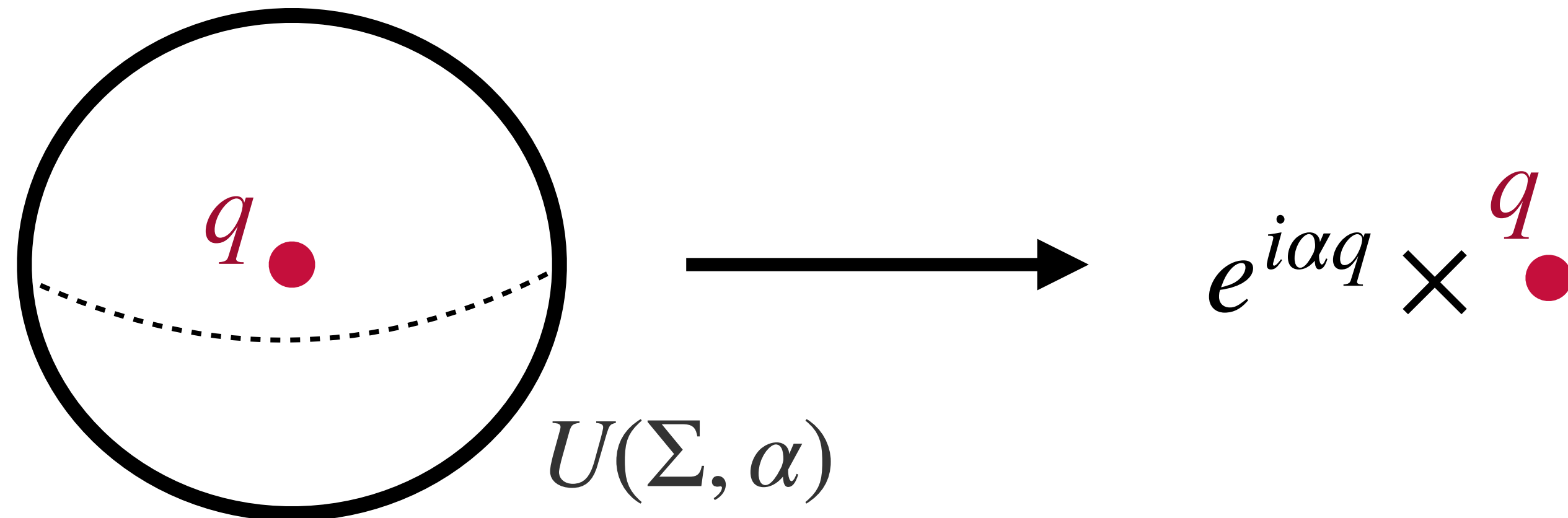
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- **Topological:** cannot be shrunk to a point without passing through pointlike operator.
- Follows **group multiplication rule:** $U(\Sigma, \alpha)U(\Sigma, \beta) = U(\Sigma, \alpha + \beta)$ ⁸

Higher-Form Symmetries

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- The basic idea: Generalize!

Properties of symmetry operator	Ordinary symmetry	Higher-form symmetry	Non-invertible symmetry	Subsystem symmetry
Codimension in spacetime	1	> 1	≥ 1	> 1
Topological	yes	yes	yes	not completely but conserved in time
Fusion rule	group	group	category	group

**Cordova, Dumitrescu, Intriligator, Shao: Snowmass White Paper: Generalized Symmetries in Quantum Field Theory and Beyond*

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Can be broken by electrically/magnetically charged matter and new operators in action.

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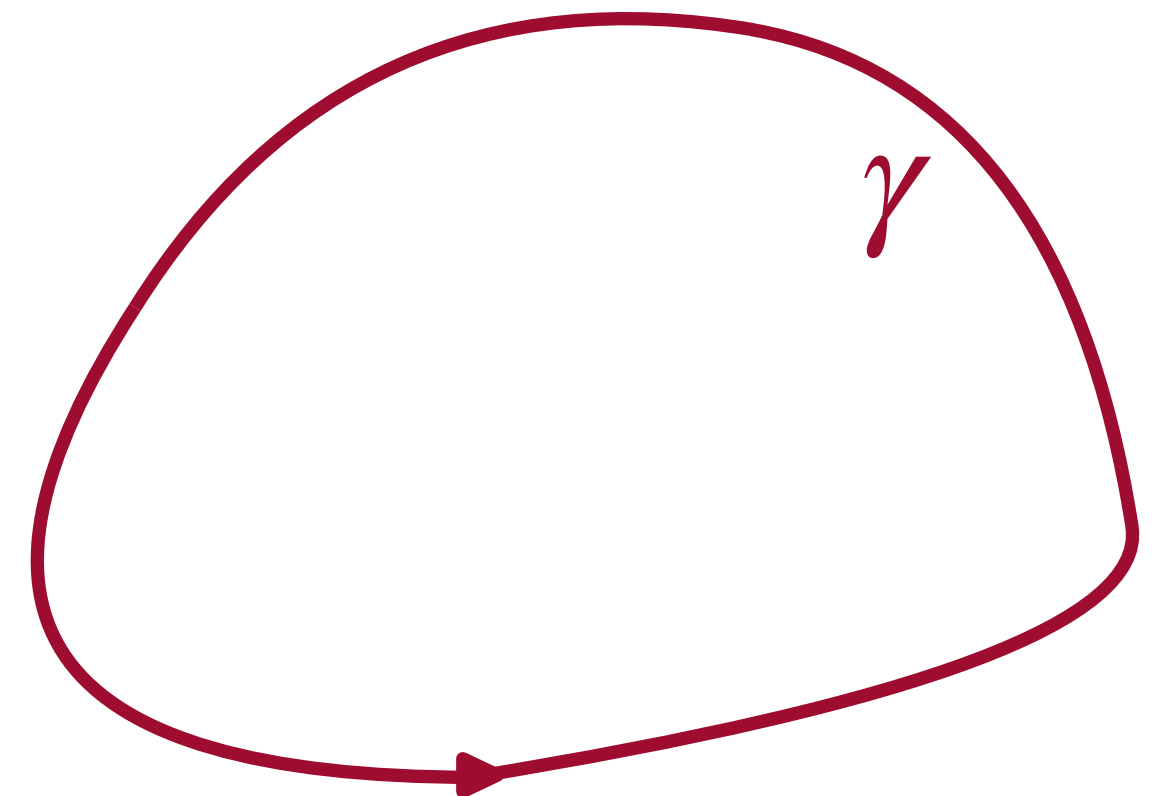
- Electric one-form symmetry shifts A by a *flat connection*

$$A_\mu \rightarrow A_\mu + \Lambda_\mu \quad \text{w/} \quad \int_\gamma dx^\mu \Lambda_\mu = 2\pi\mathbb{R} \quad \text{and} \quad \partial_{[\mu} \Lambda_{\nu]} = 0$$

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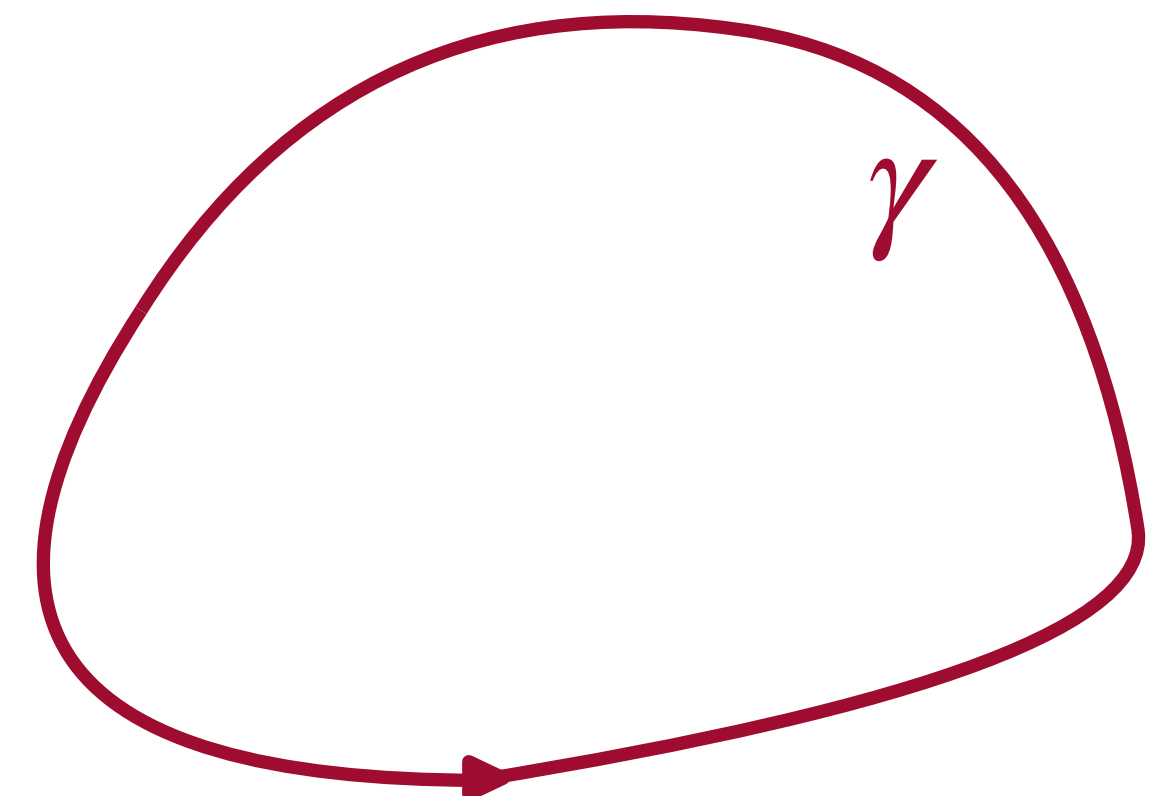


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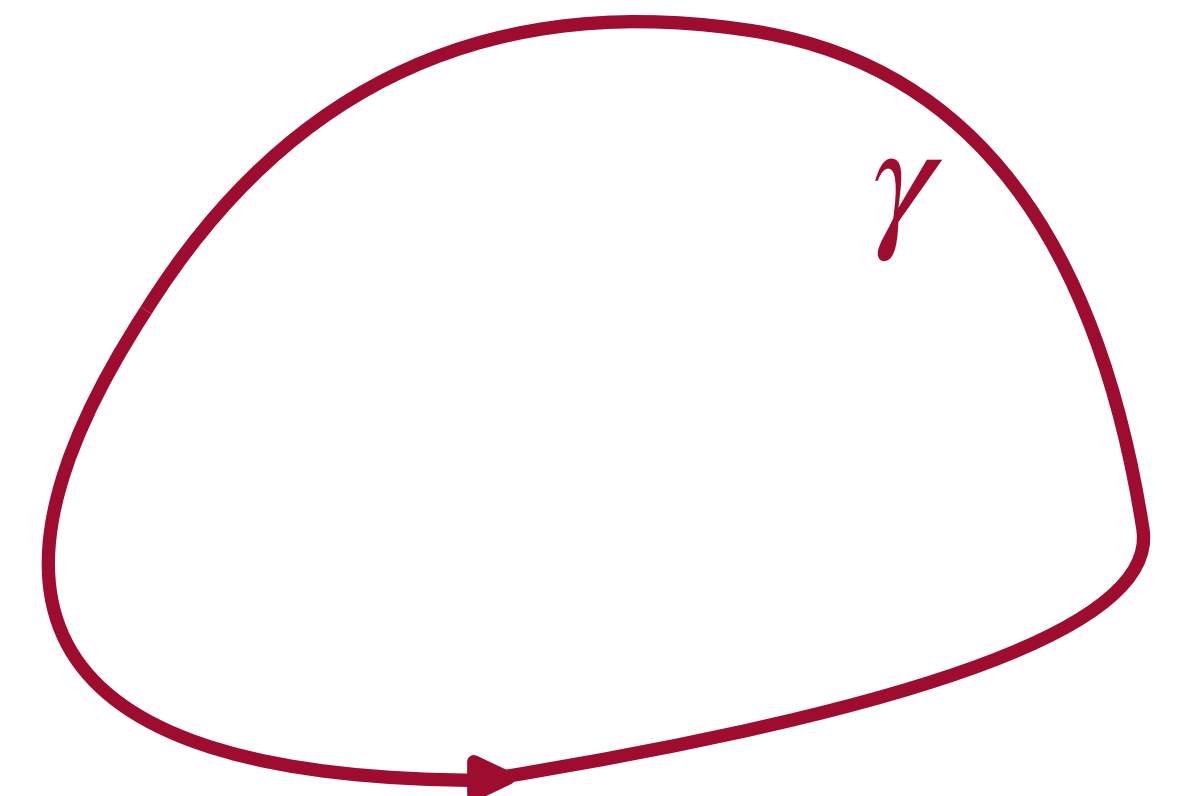
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$$W[\gamma] = \exp(iq \int_\gamma d\tau A_\tau) \rightarrow W[\gamma] \exp(iq2\pi\mathbb{R})$$



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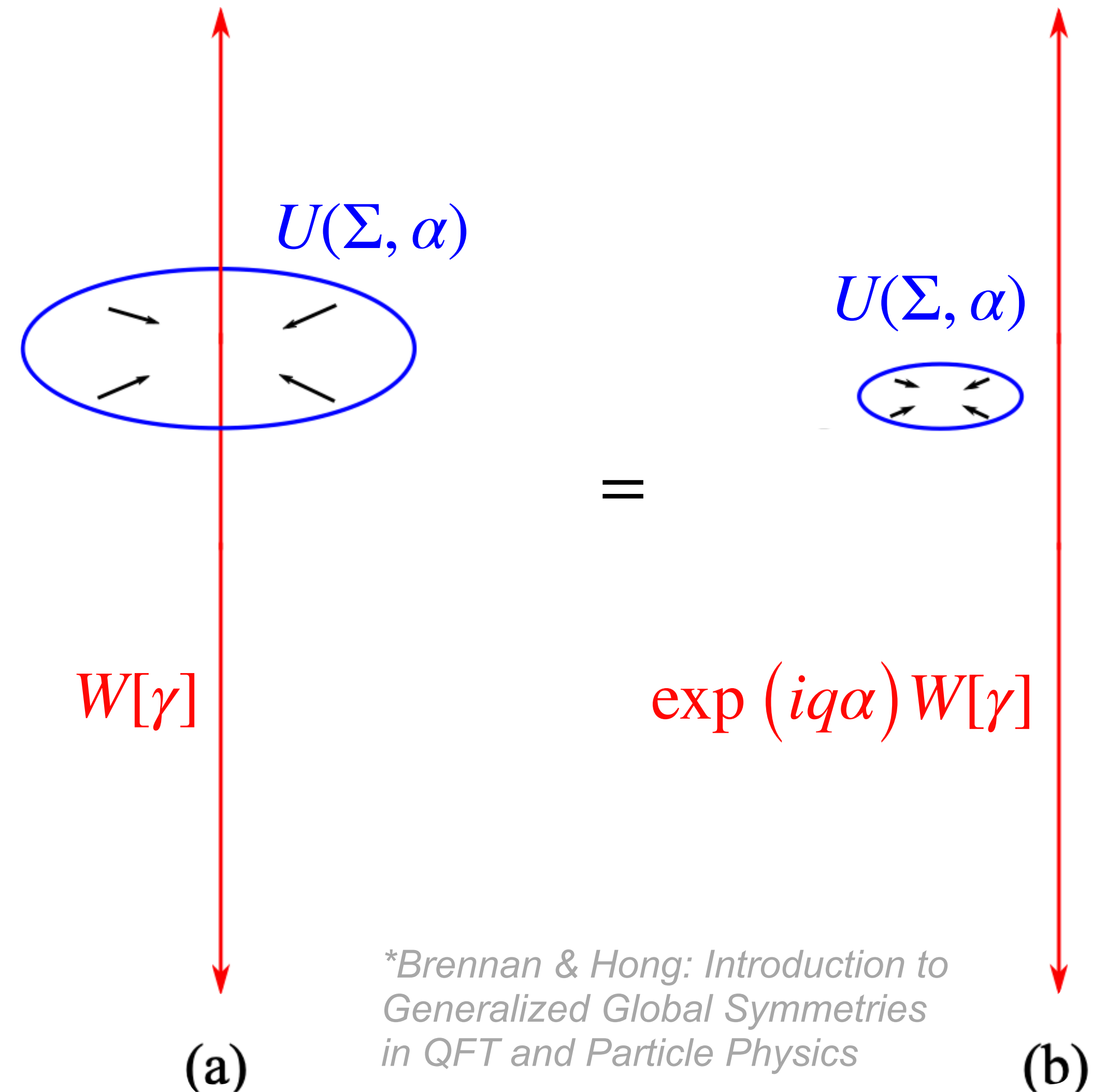
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**Brennan & Hong: Introduction to Generalized Global Symmetries in QFT and Particle Physics*

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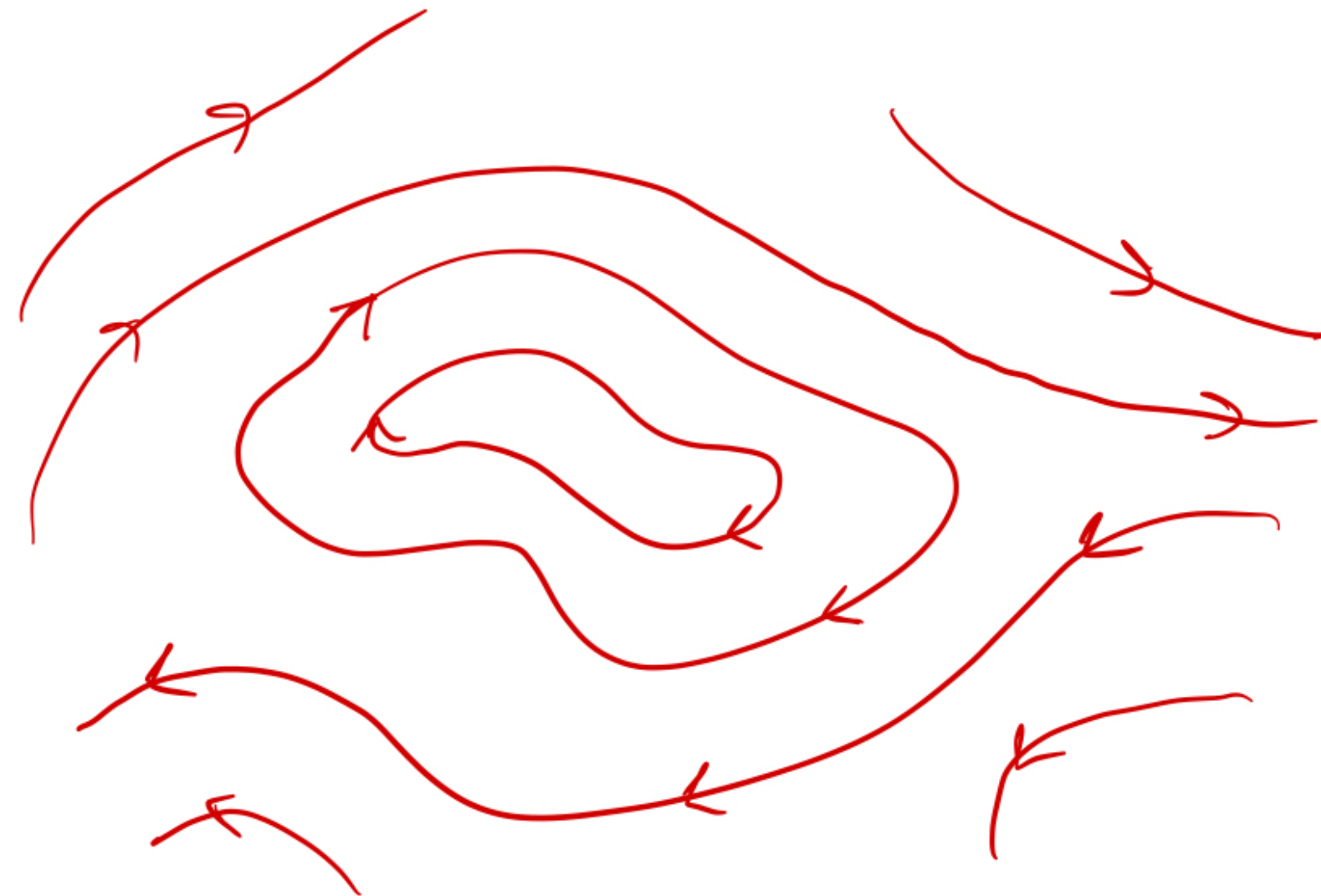
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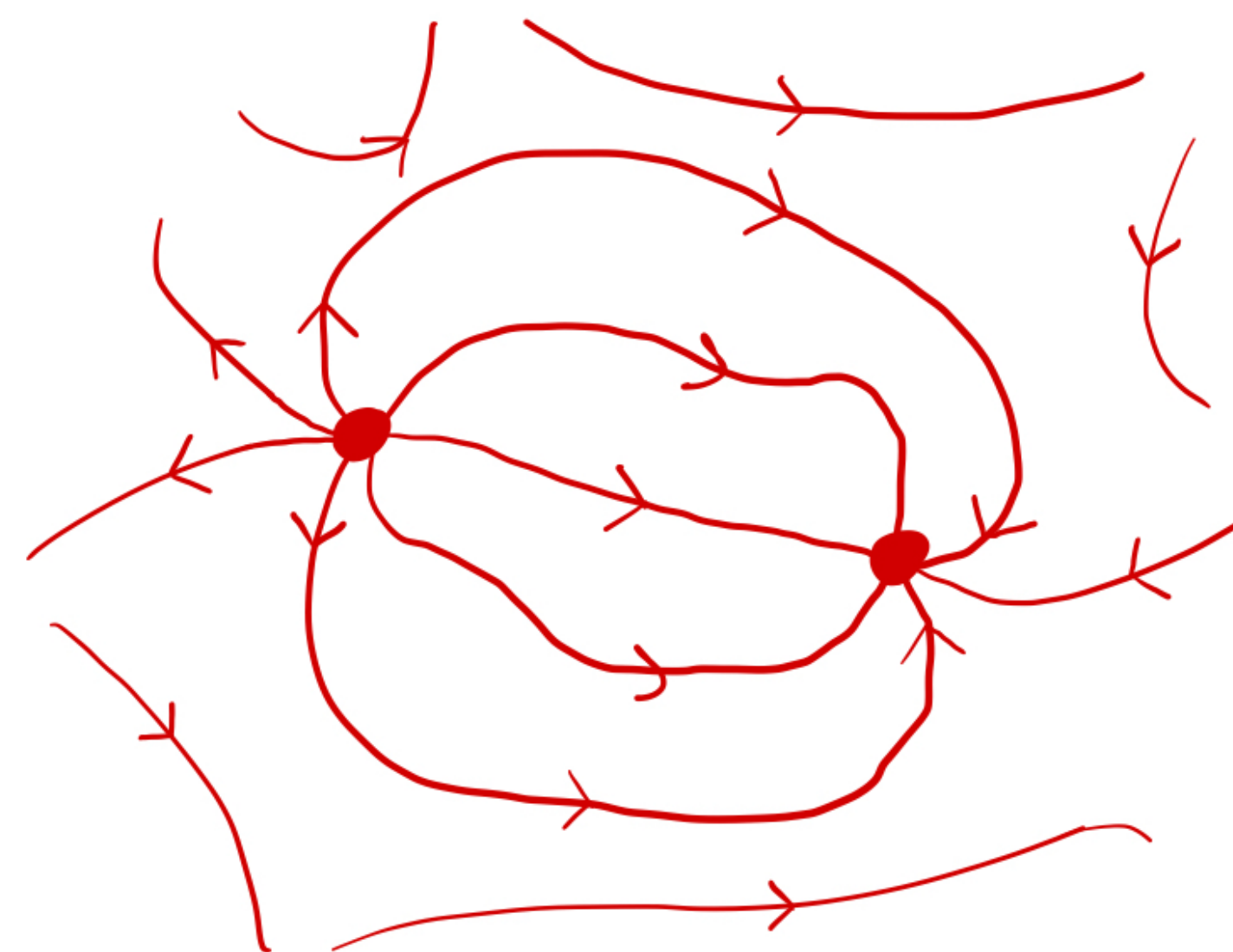
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Symmetry Intact



V.S.

Symmetry Broken



The Open Question

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Are generalized symmetries useful for phenomenology/BSM physics?

Part II

Axioms and the Quality Problem

The “Standard” Axiom

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$$\mathcal{L}_{\text{IR}} = -\frac{1}{2}f^2(\partial_\mu\theta)^2 + f\frac{g^2}{32\pi^2}\theta G_{\mu\nu}^a \tilde{G}^{a\mu\nu} + \dots$$

Axions in the IR

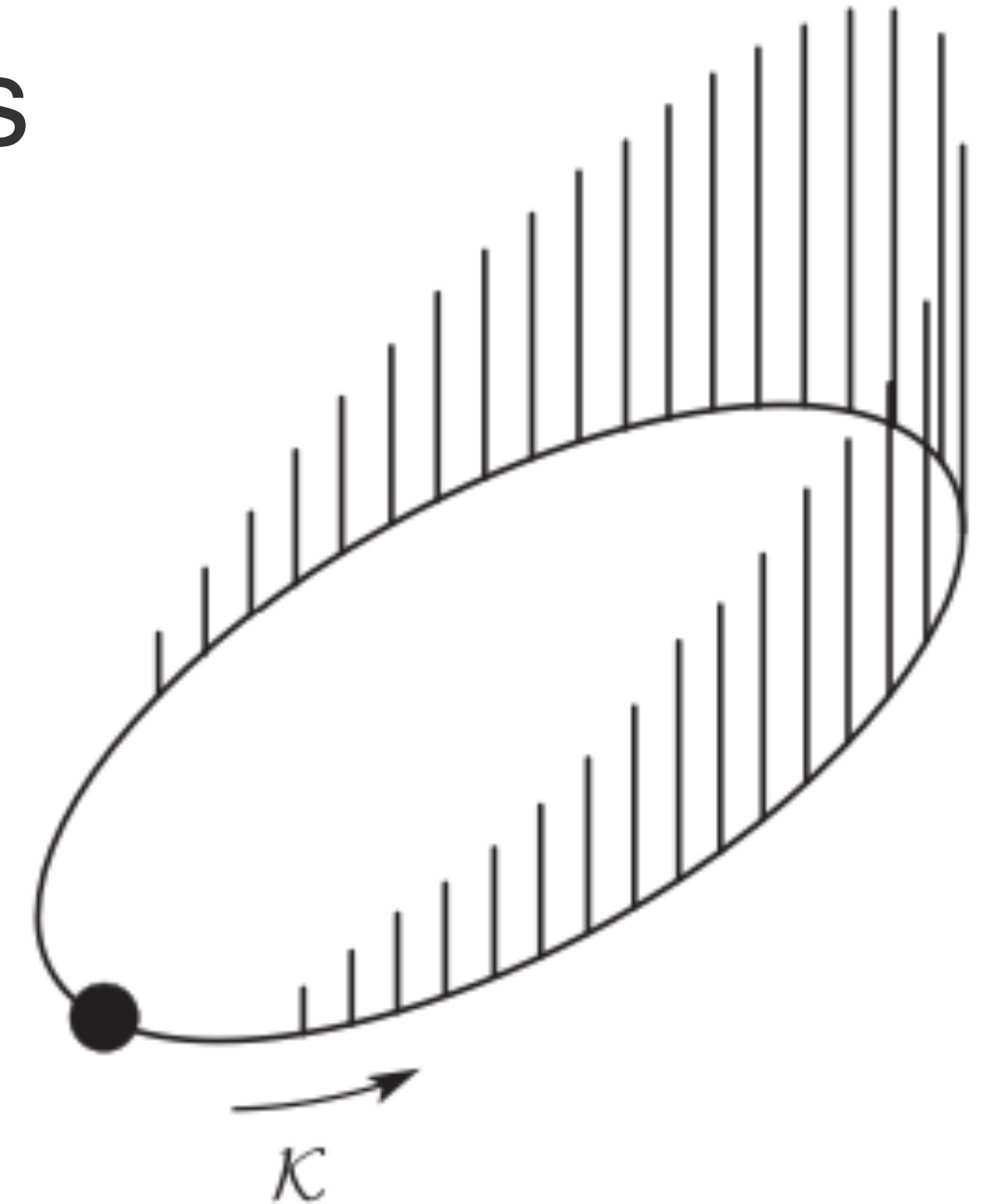
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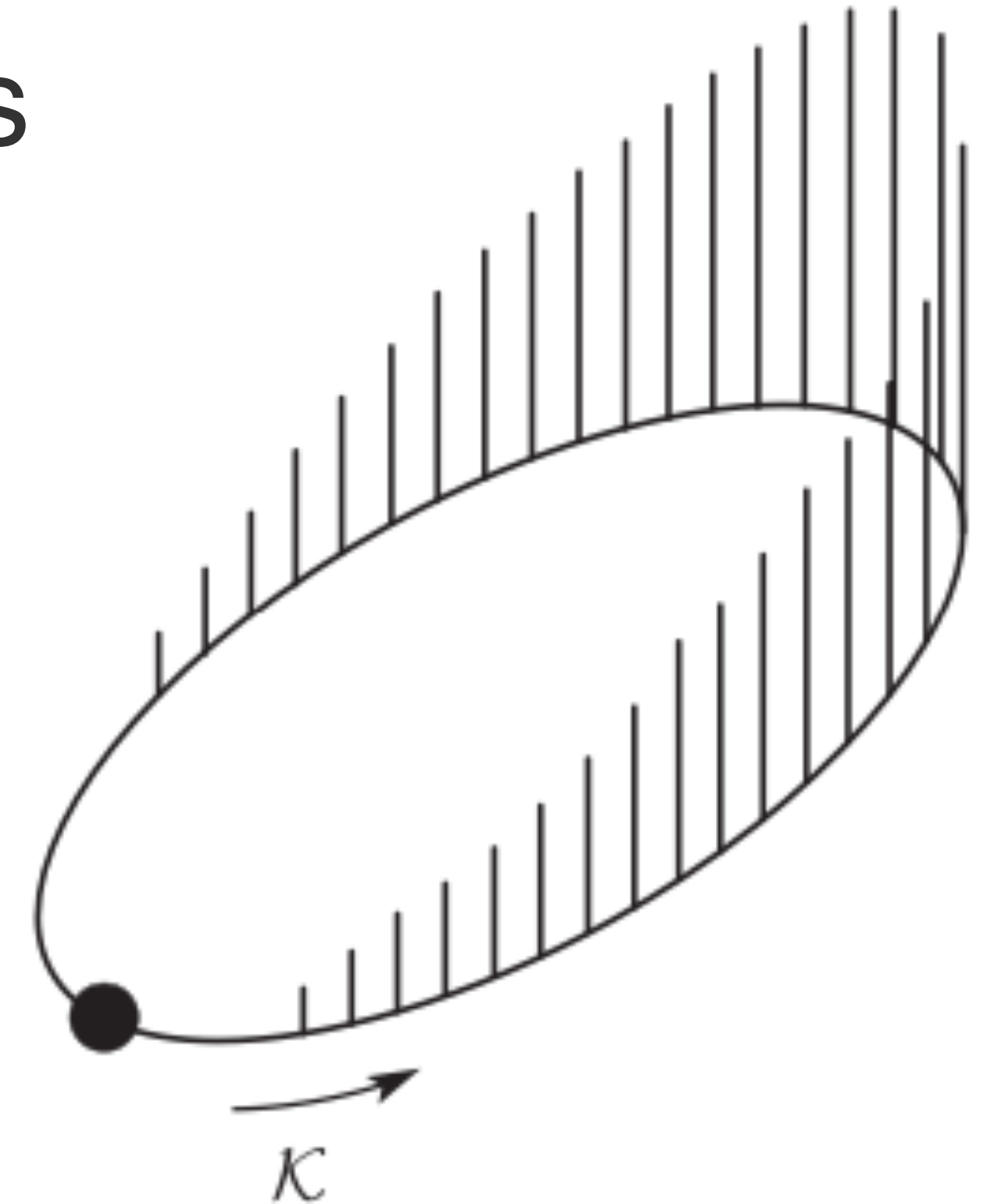
**Shifman: Advanced Topics in Quantum Field Theory*

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- Solves Strong CP Problem*



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- PQ symmetry could easily be accidental in the IR — by the No Global Symmetries conjecture, PQ must be broken in the UV.

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$$V(\theta) = 2|c|M_{\text{Pl}}^4 \left(\frac{f}{\sqrt{2}M_{\text{Pl}}} \right)^n \cos(n\theta + \varphi)$$

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- For $\theta_{\text{QCD}} < 10^{-10}$, need to suppress operators up to $n \geq 14$ for $f \simeq 10^{12}$ GeV.
- Has been tested for a few specific UV completions; swampland makes clear that we should worry in general.

Part III

The Extra-Dimensional Axion and its Symmetries

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$$= \int -\frac{1}{2g_{5D}^2} F \wedge \star F + \frac{N}{8\pi^2} C \wedge \text{Tr} [G \wedge G]$$

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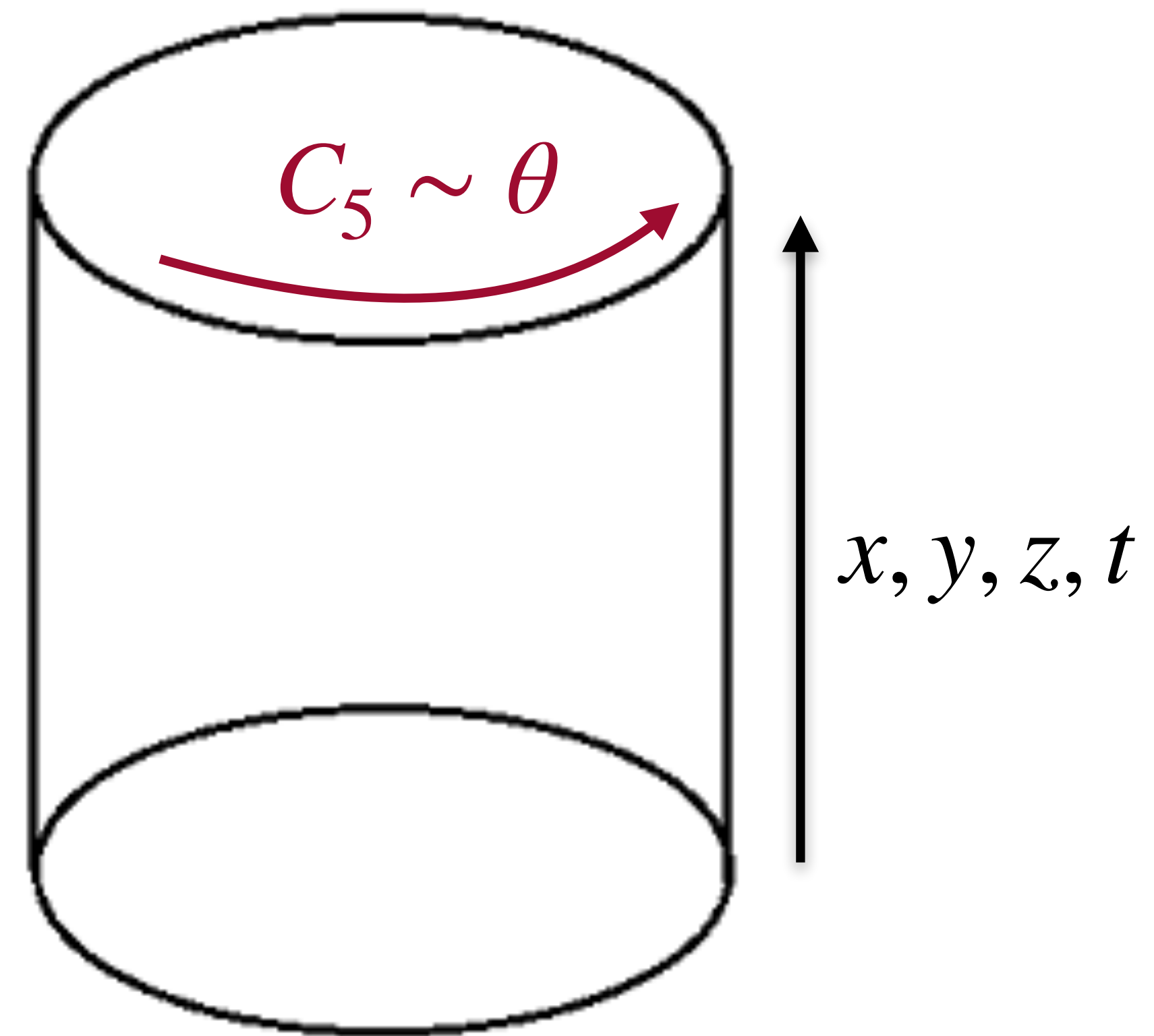
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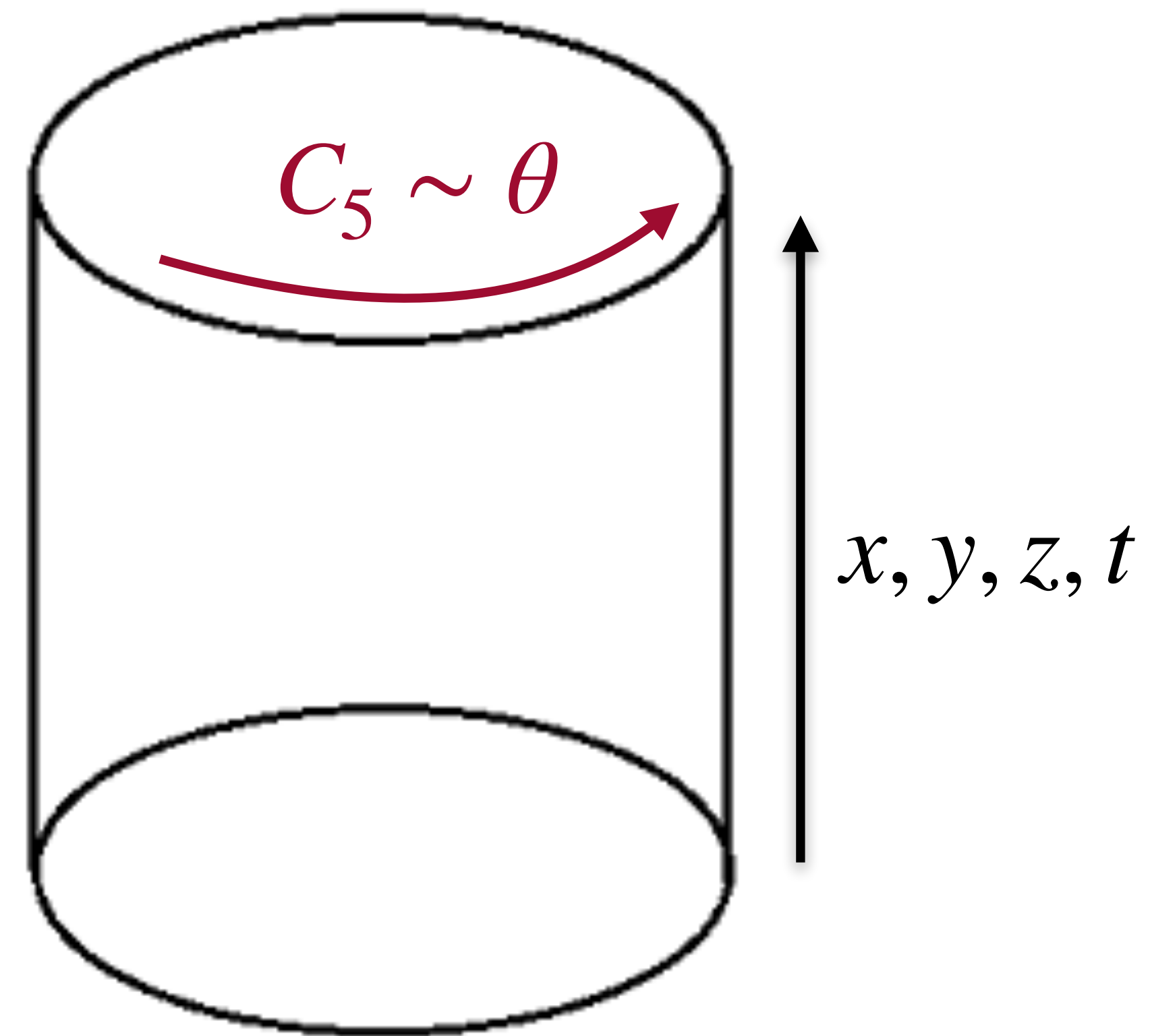


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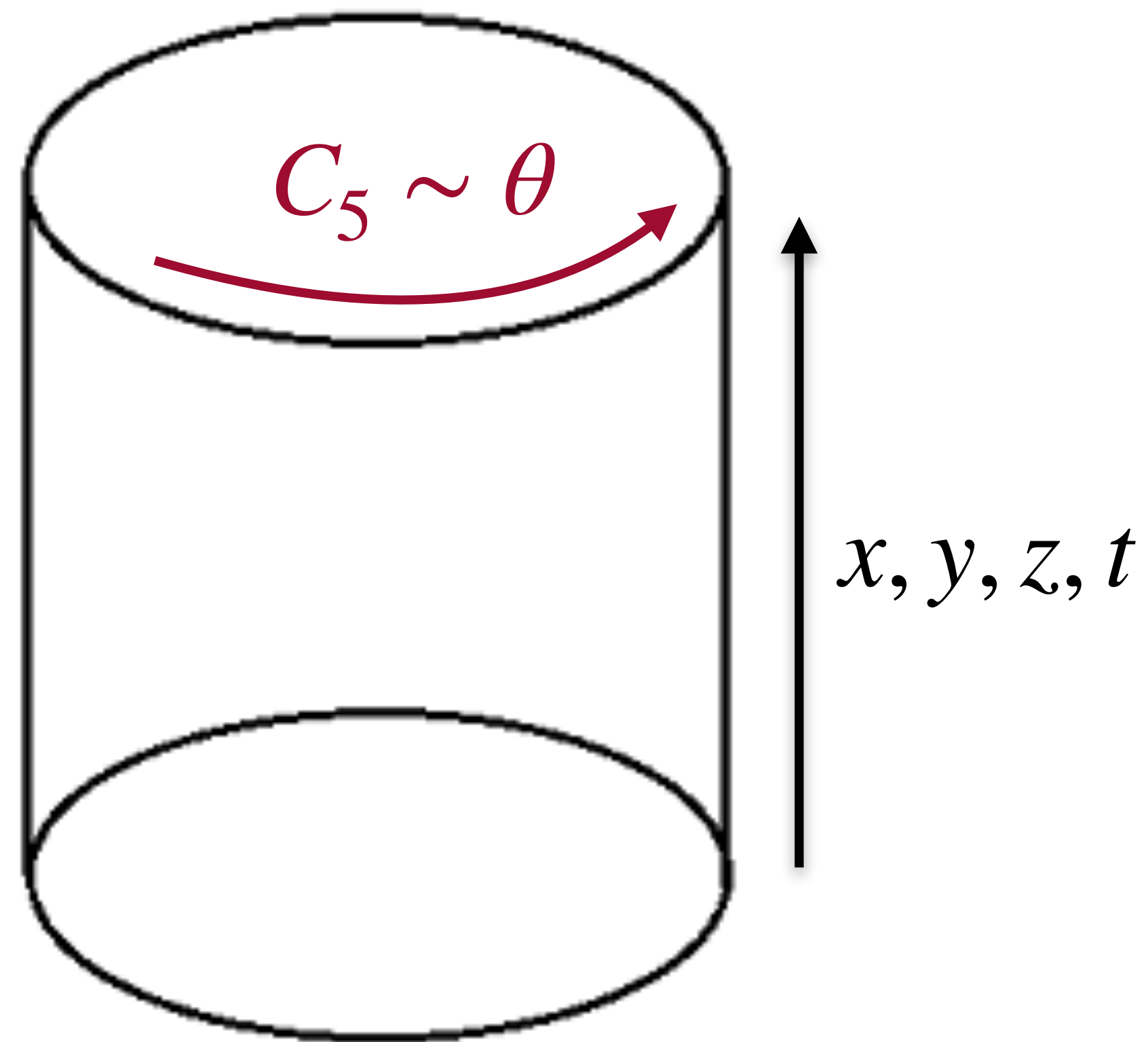
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- Axion decay constant is

$$f \equiv \frac{1}{g_5 \sqrt{2\pi R}}$$



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- “Gauge Symmetry Protects the Axion Potential”
- **Idea:** Can (almost) only write operators in UV consisting of field strengths, so e.g. no ϕ^6 , only F^3 and similar operators.

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- Does not tell you about where the potential protection fail:
has no instructive power.

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**Electric one-form
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- Plug into definition of extra-dimensional axion

$$\theta = \int_{S^1} dx^5 C_5 \rightarrow \int_{S^1} dx^5 (C_5 + \Lambda_5) = \int_{S^1} dx^5 C_5 + \int_{S^1} dx^5 \Lambda_5 = \theta + 2\pi\mathbb{R}$$

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- You will see this via practical examples.

Part IV

Breaking the One- Form Symmetry

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**New 5D
Mechanism**



A Symmetry Breaking Scorecard

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$$S_{\text{E,worldline}} = m_{5\text{D}} \int_{S^1} dx^5 + iq \int_{S^1} C$$

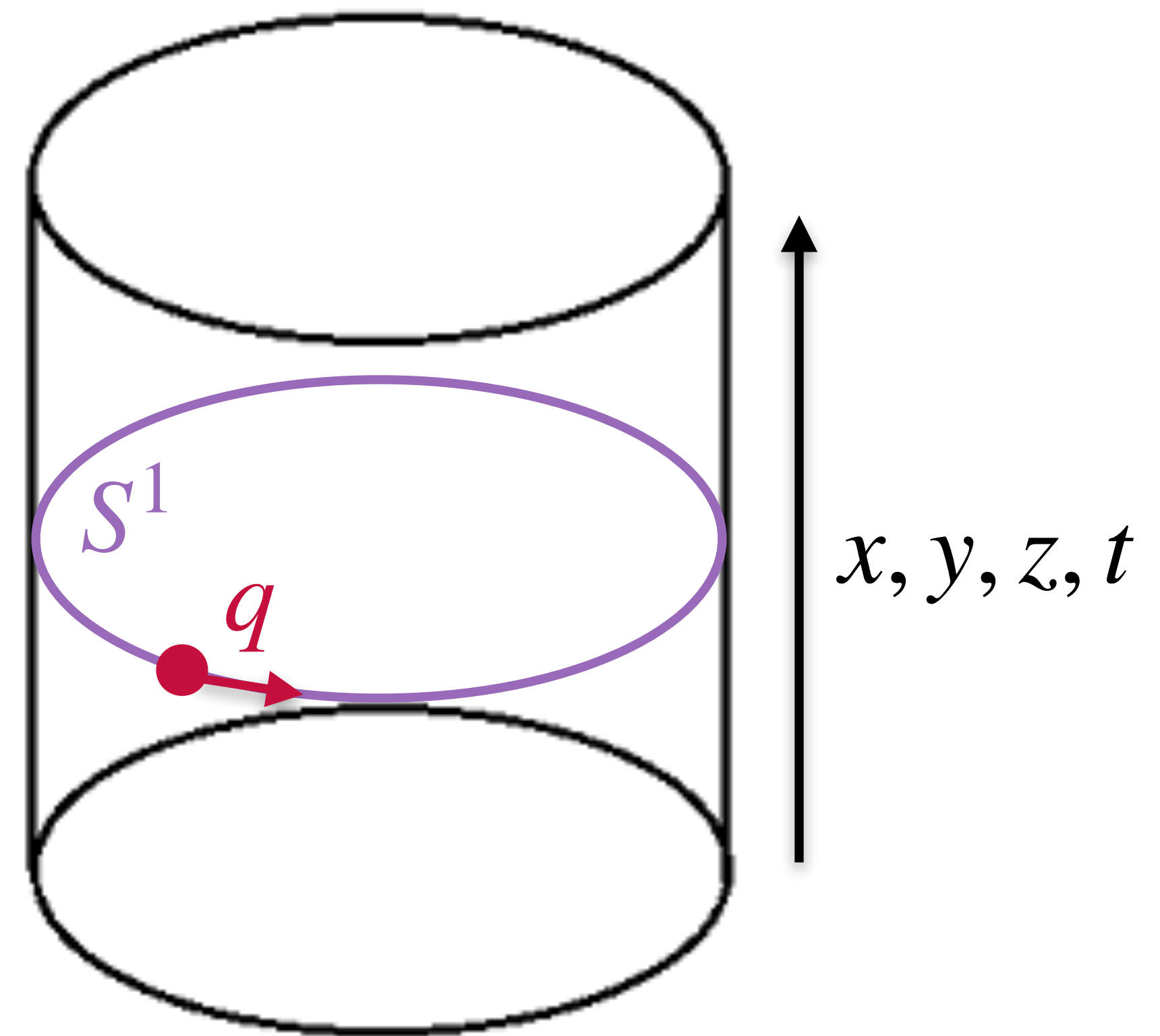
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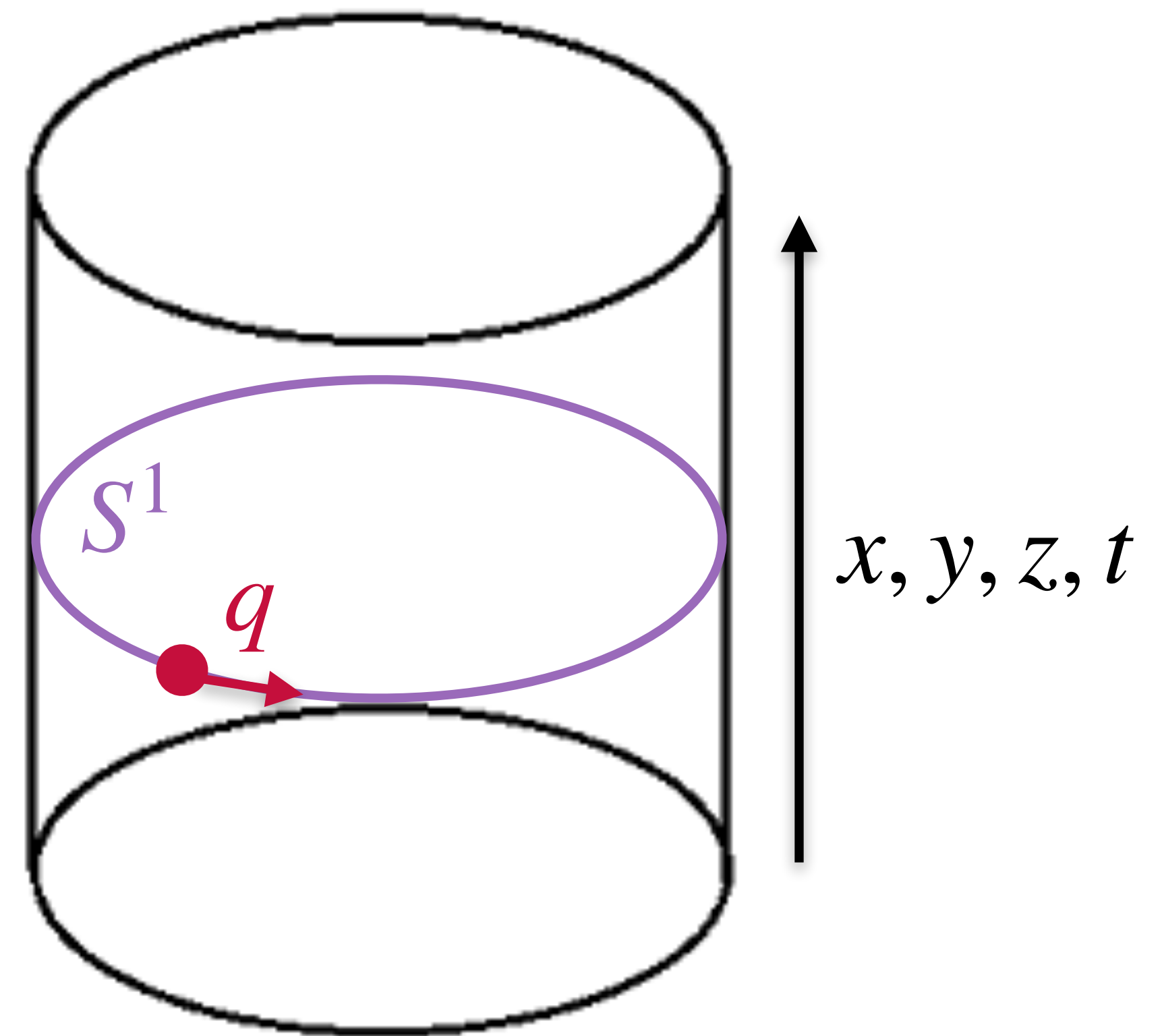
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$$\rightarrow V \simeq \frac{(m_{5D}R)^2}{(2\pi R)^4} e^{-m_{5d}R} \cos(q\theta)$$



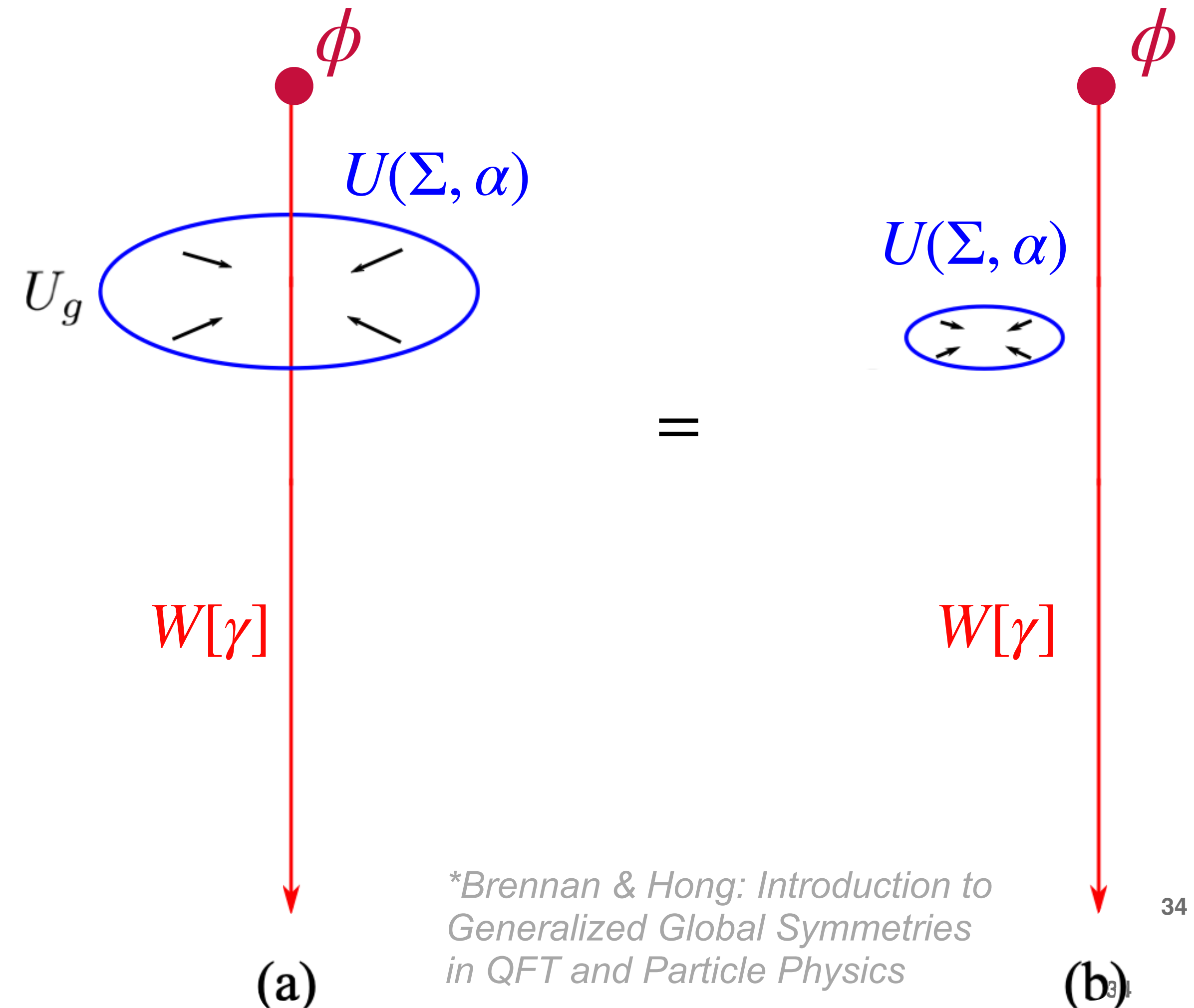
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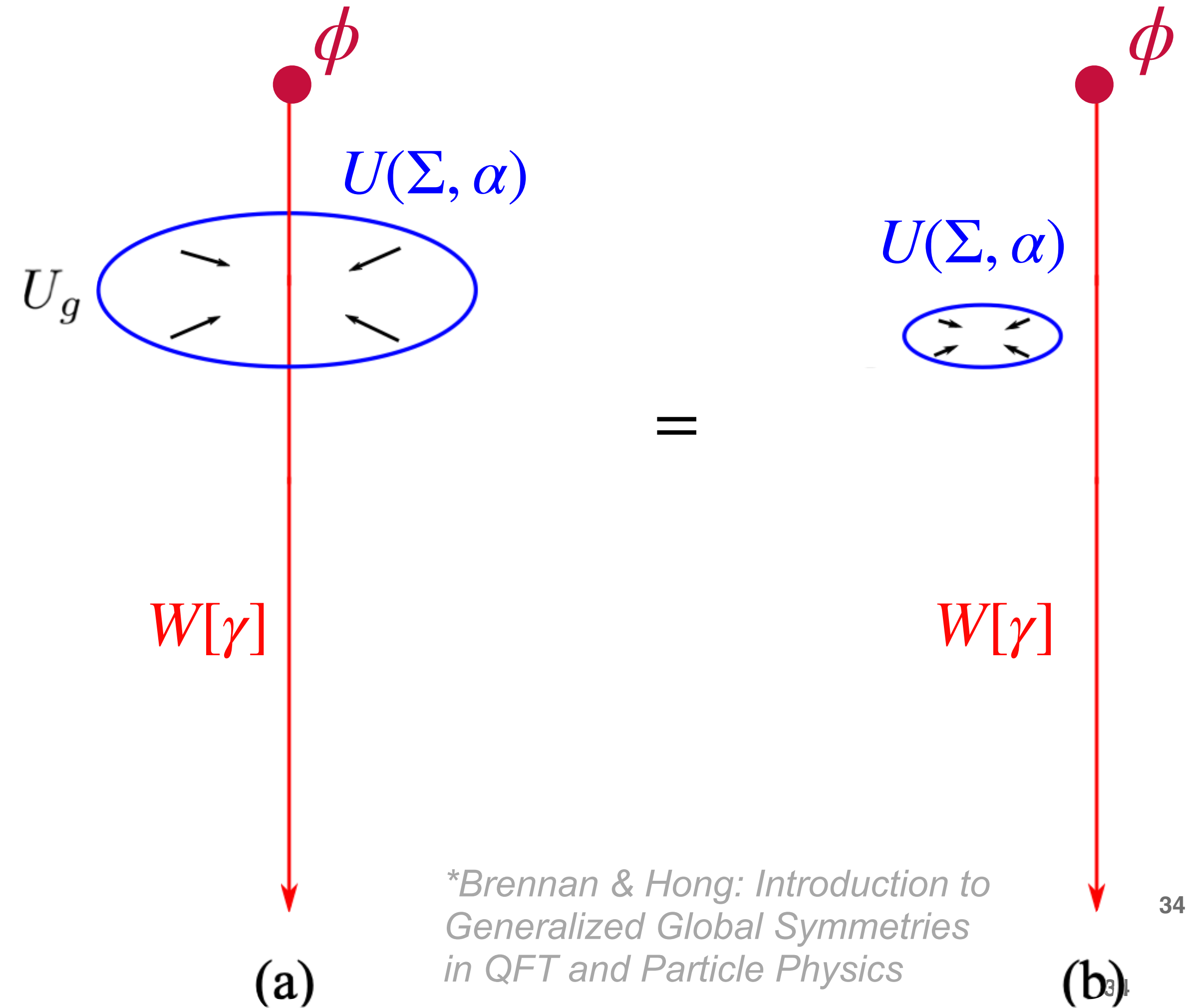
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- Creation/annihilation operators of the new field can “completely screen” Wilson lines of charge $\mathbb{Z}q$.
- Breaks $U(1)$ one-form symmetry down to $\mathbb{Z}q$ one-form symmetry.



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- Monodromatic: technically invariant under $\theta \rightarrow \theta + 2\pi/M$.

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- This is just the usual gluon potential after dimensional reduction.

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Potential generation for the extra-dimensional axion can be understood systematically via all the ways of breaking/gauging the electric one-form symmetry

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- No $U(1)$ instantons, so where is the potential?
- It has been shown that monopole loops in 4D yield axion potential contributions. *“Axion Mass From Magnetic Monopole Loops” Fan, Fraser, Reece, Stout.*

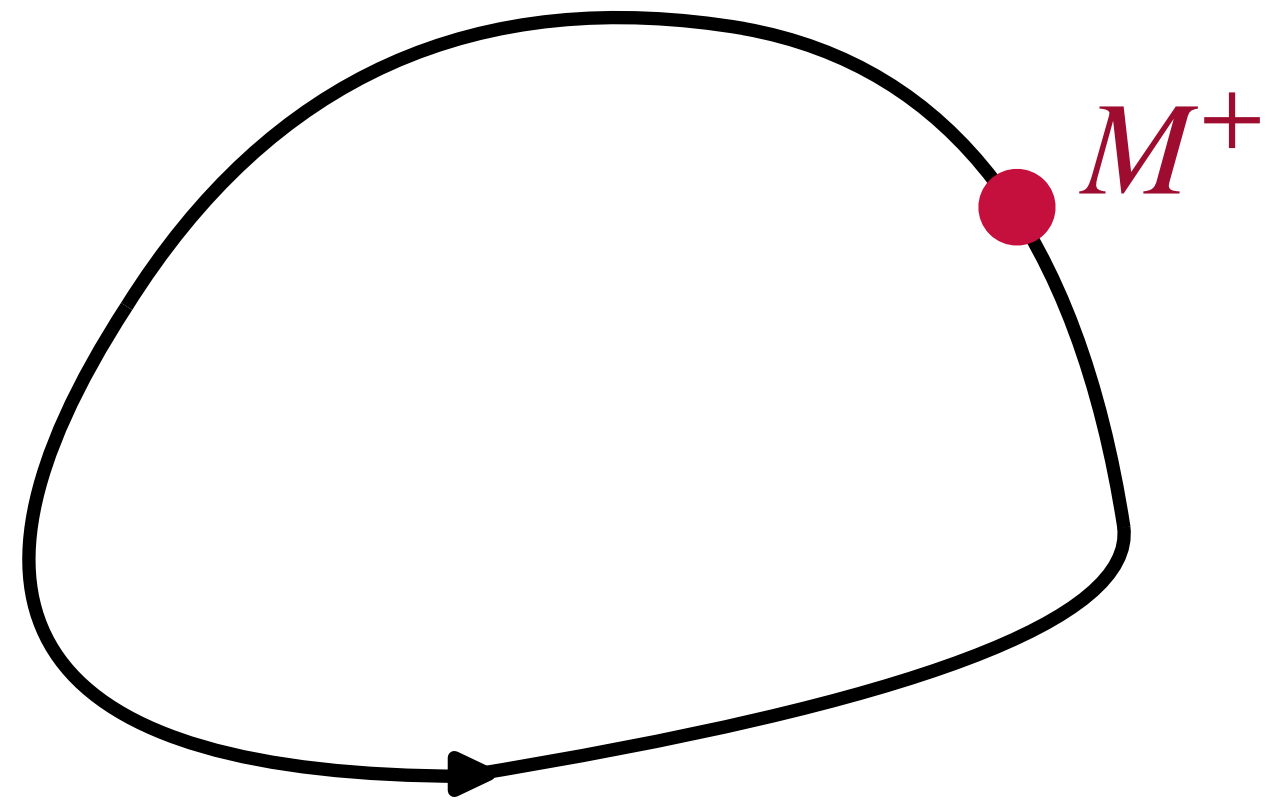
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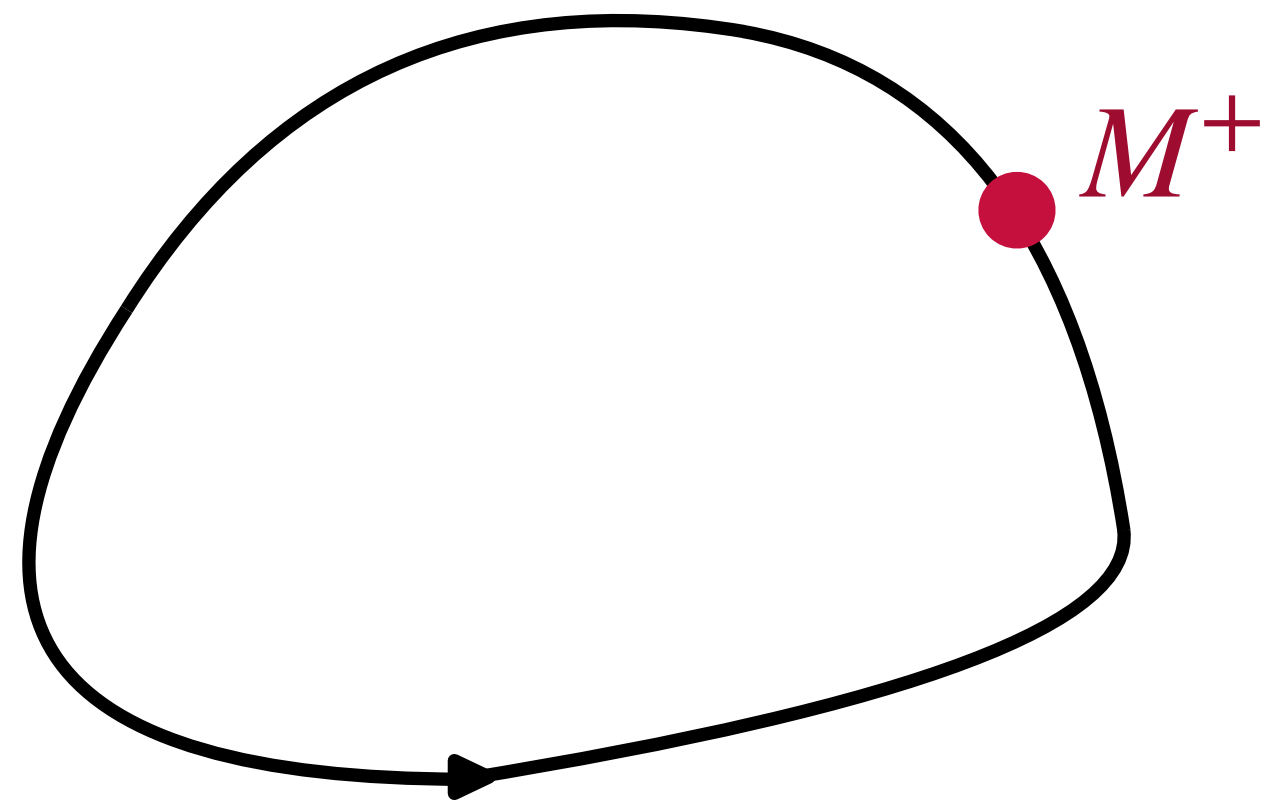
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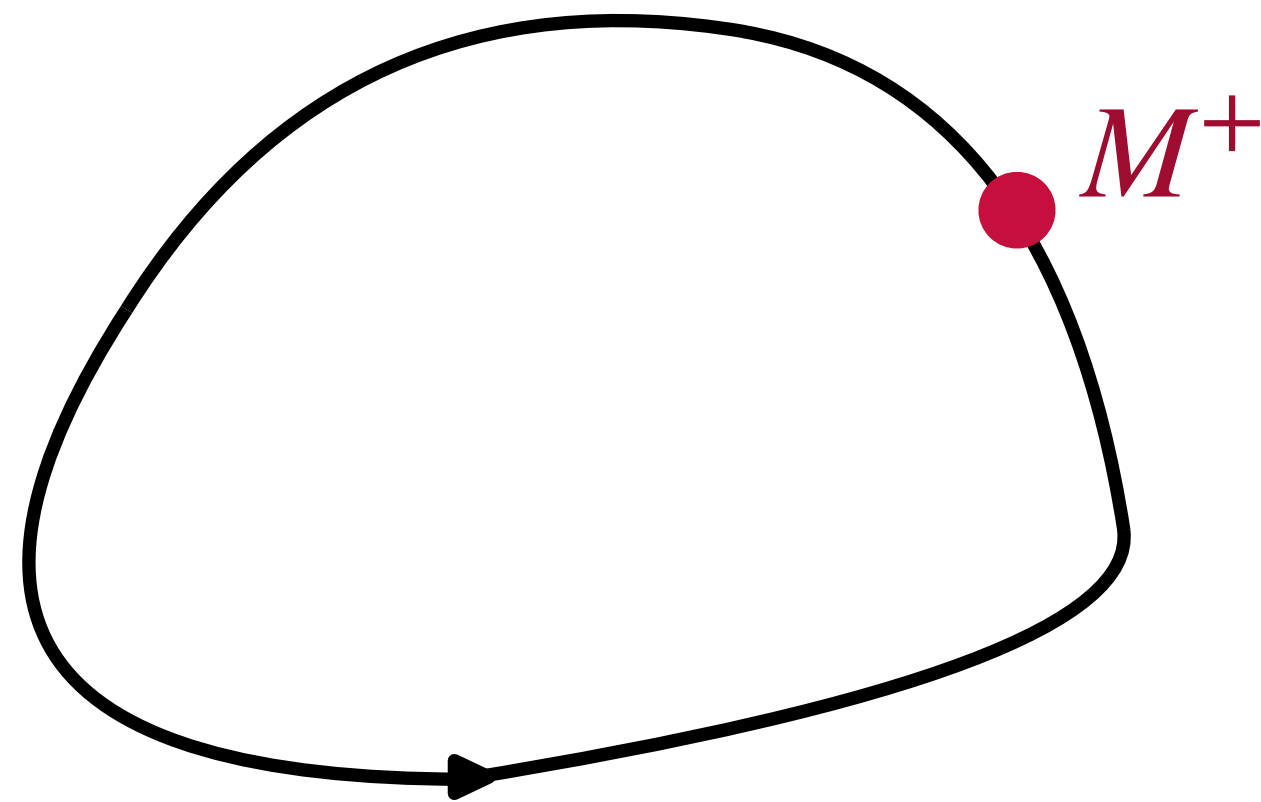
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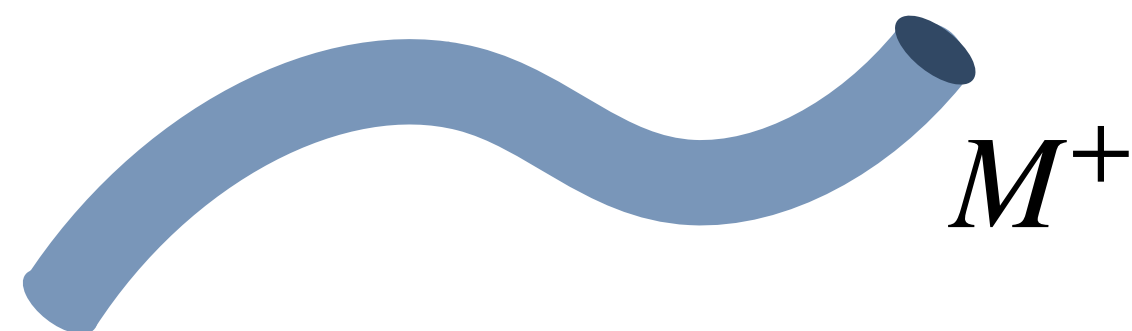
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**Closed worldsheets
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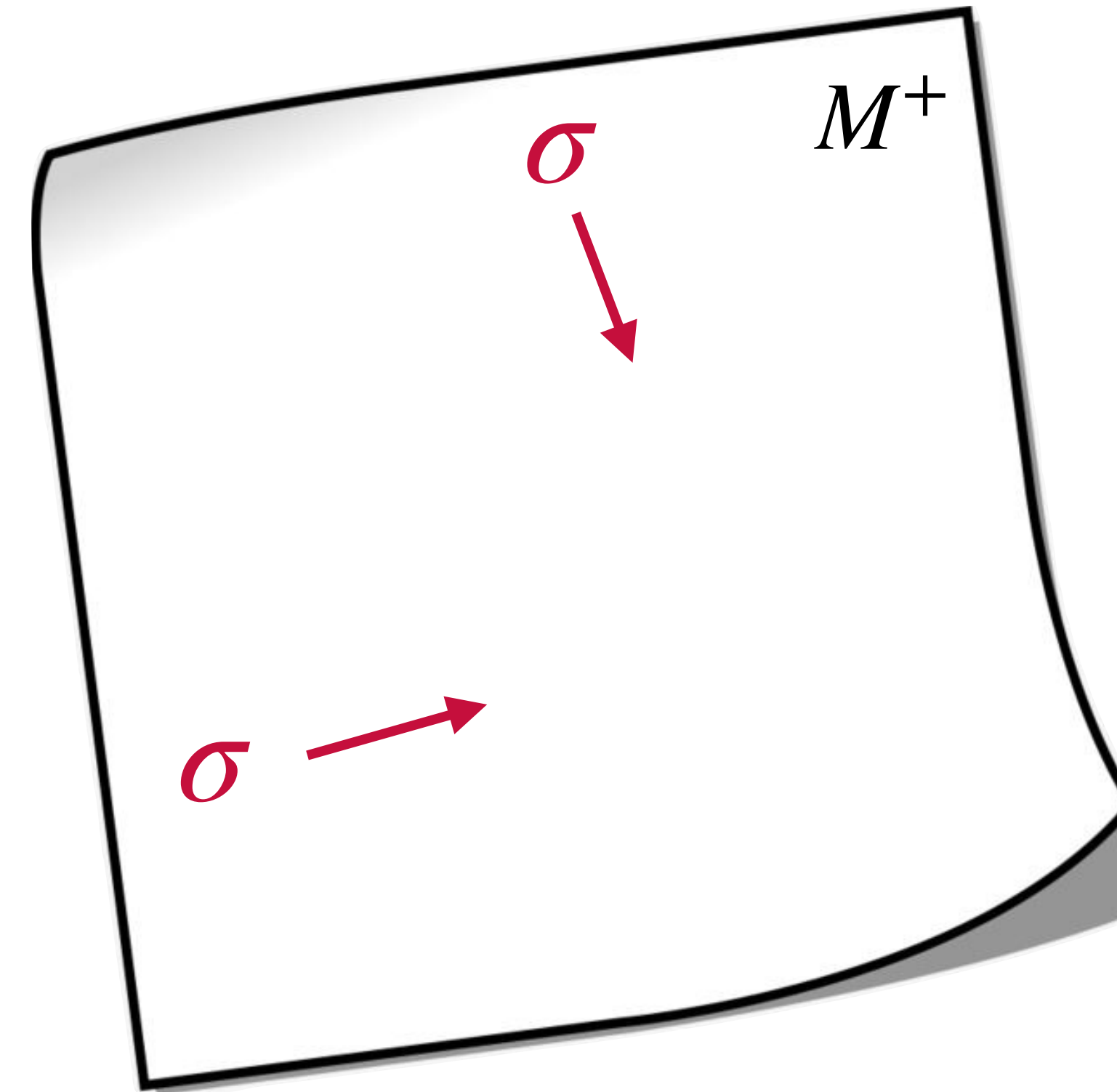
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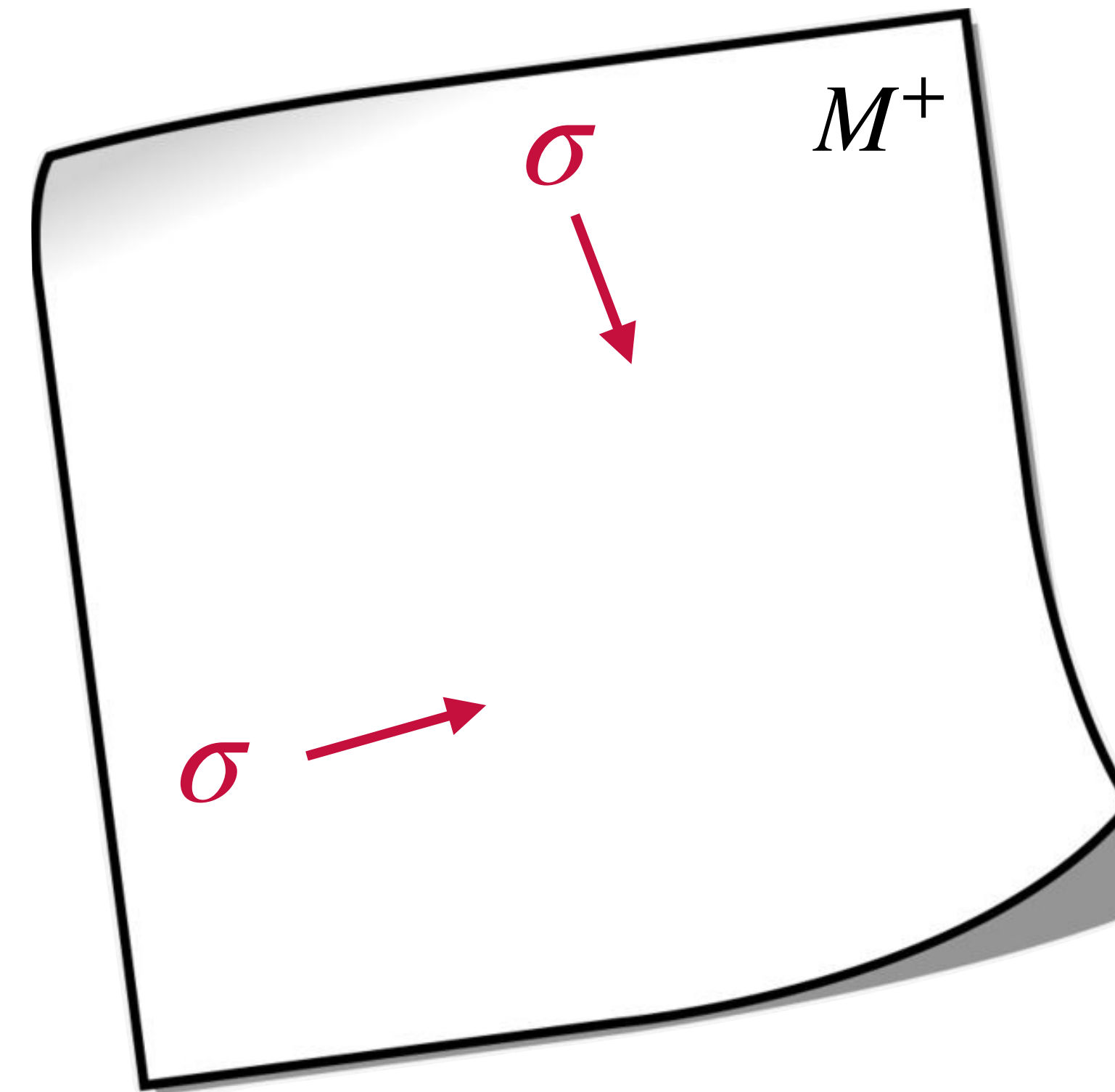


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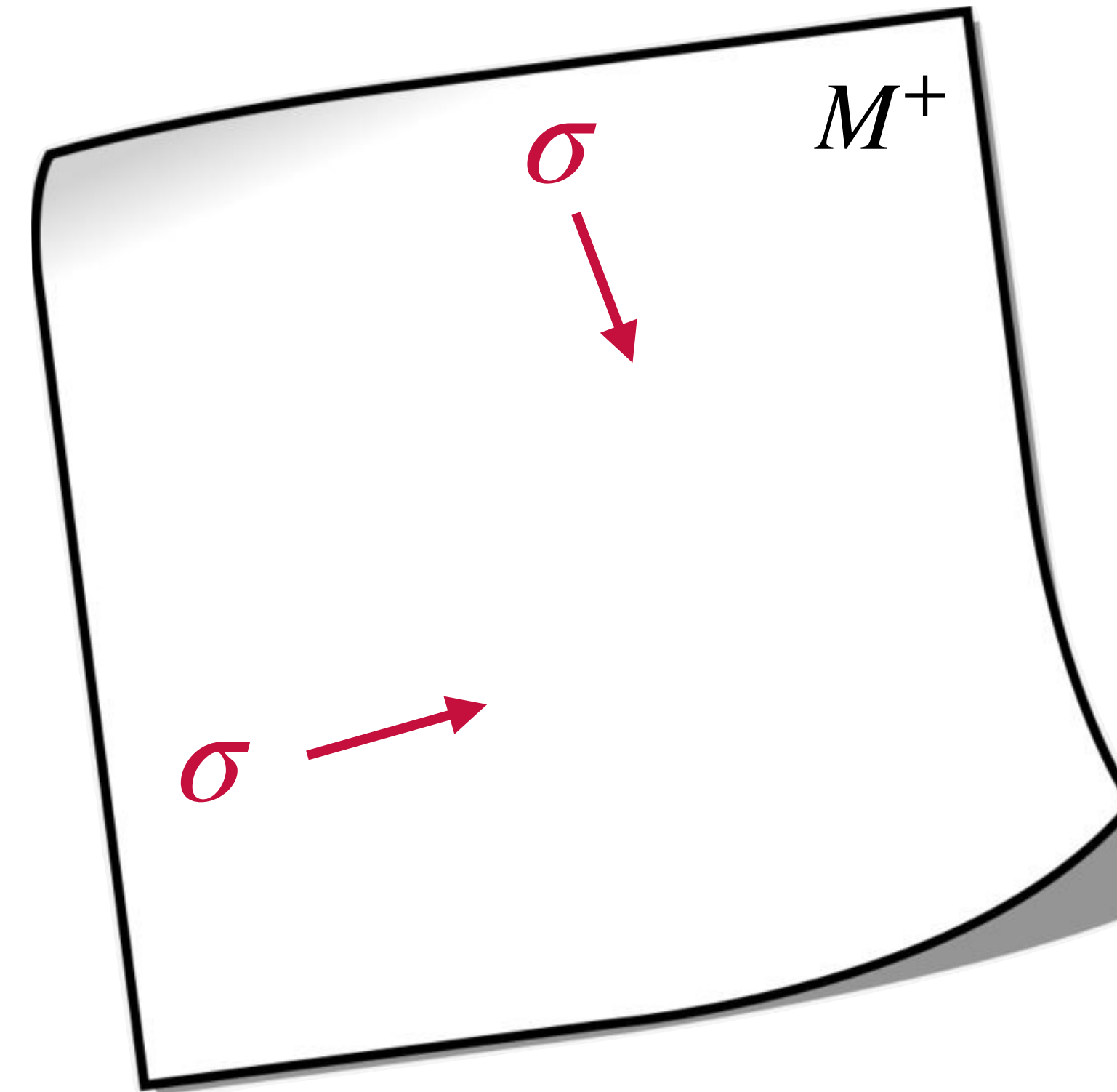
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$$V(\theta) = - \sum_{\ell=1}^{\infty} \frac{R^2 T_M^2 m_W^2}{8\pi^2 \ell^3} e^{-4\pi^2 \ell R T_M / m_W} \cos(\ell \theta) \times \left(1 + \frac{3m_W}{4\pi^2 \ell R T_M} + \frac{3m_W^2}{16\pi^4 \ell^2 R^2 T_M^2} \right)$$



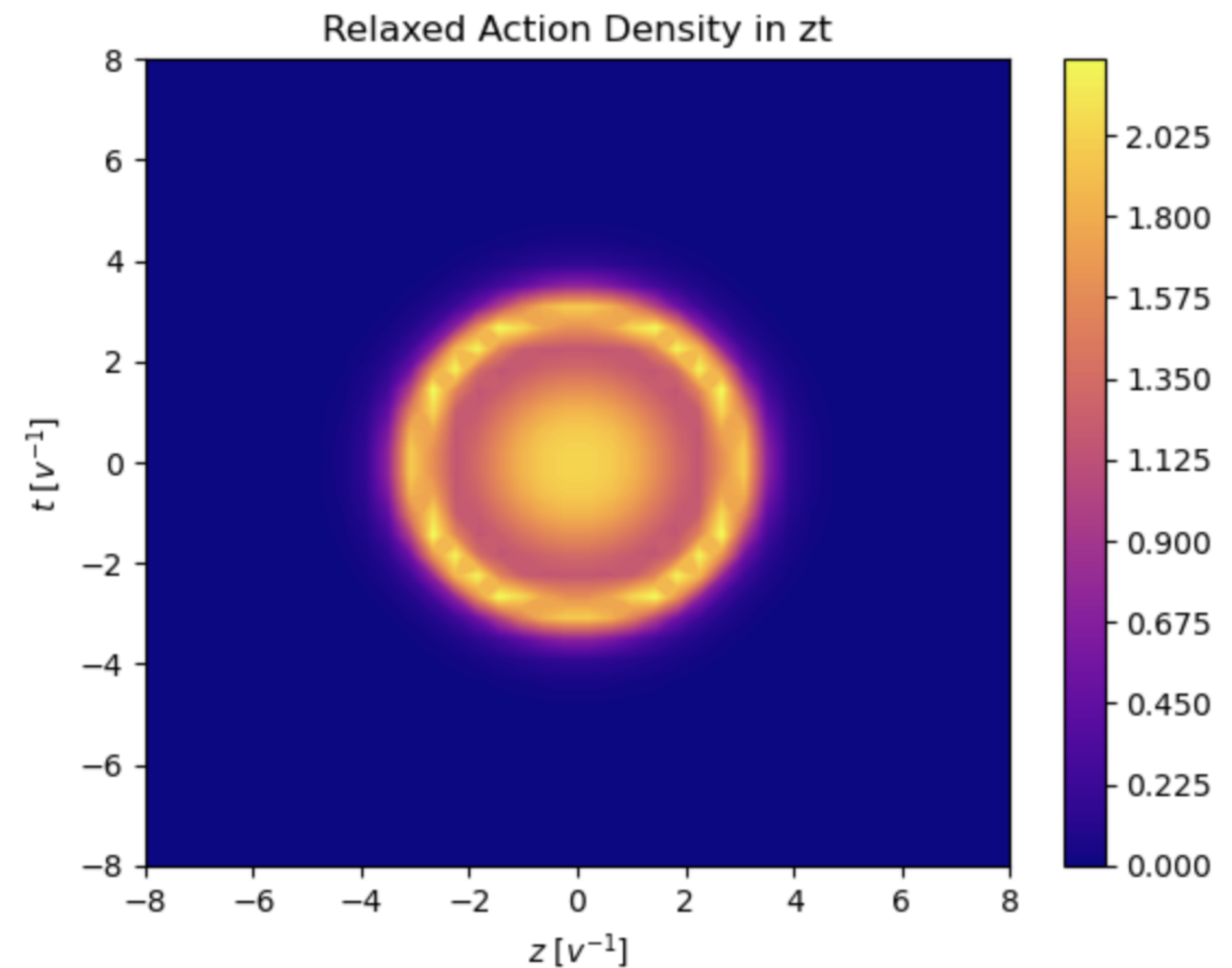
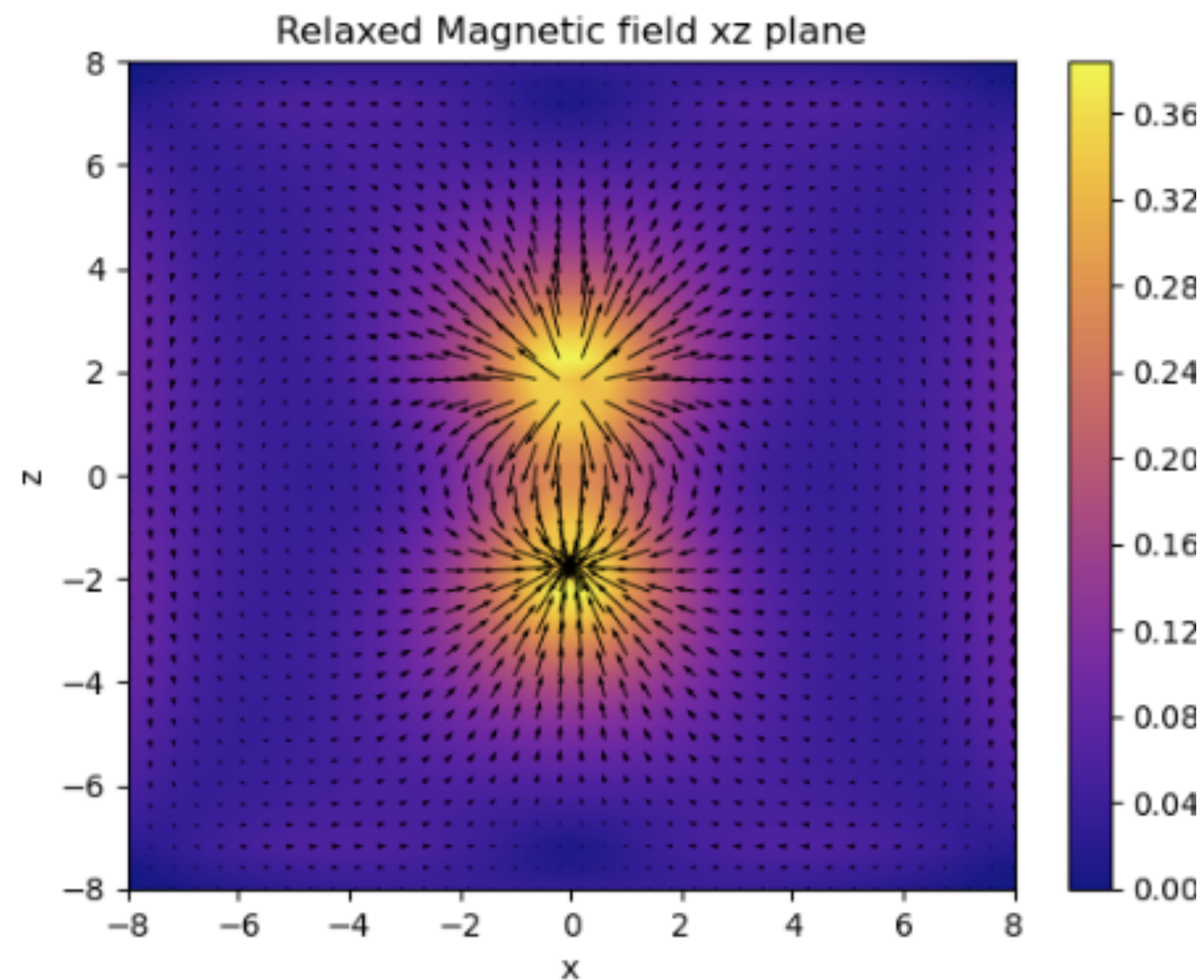
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A Symmetry Breaking Scorecard

	Current Equation	Remnant Symmetry	Potential
Electrically Charged Matter	$dJ_e = j_{\text{matter}}$	$\mathbb{Z}_q^{(1)}$	$V \simeq \frac{(m_{5D}R)^2}{(2\pi R)^4} e^{-m_{5d}R} \cos(q\theta)$
Gauging Two-Form Magnetic	$dJ_e = \frac{M}{2\pi} dK$	$\mathbb{Z}_M^{(1)}$	$m_\theta = \frac{M}{2\pi} g_4 e_{K,4}$
Gauging One-Form Electric	$kJ'_e = \frac{1}{e_B^2} d \star dB$	$U(1)^{(1)}$	$m_{\tilde{A}} = k e_{B,4} f$
ABJ Term	$dJ_e = \frac{N}{8\pi^2} \text{Tr} [G \wedge G]$	$\mathbb{Z}_N^{(1)}$	$V \simeq -\Lambda_{\text{monopole}}^4 \cos(N\theta)$

Conclusions

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- The masslessness of the extra-dimensional axion in the IR is protected by a one-form U(1) electric symmetry in the UV.
- Higher-form symmetries are “harder to break” and protect the quality of the axion potential (unlike PQ axions).
- All ways of generating a potential \leftrightarrow all ways of breaking $U(1)_e^{(1)}$.

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- The masslessness of the extra-dimensional axion in the IR is protected by a one-form U(1) electric symmetry in the UV.
- Higher-form symmetries are “harder to break” and protect the quality of the axion potential (unlike PQ axions).
- All ways of generating a potential \leftrightarrow all ways of breaking $U(1)_e^{(1)}$.
- Interesting interplay between electric and magnetic sector: stay tuned for future work!