

EFT Truncation Uncertainties at the LHC

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Work in progress with F. Montagnon, S. Chang, T. Ma, A. Wulzer

The Problem

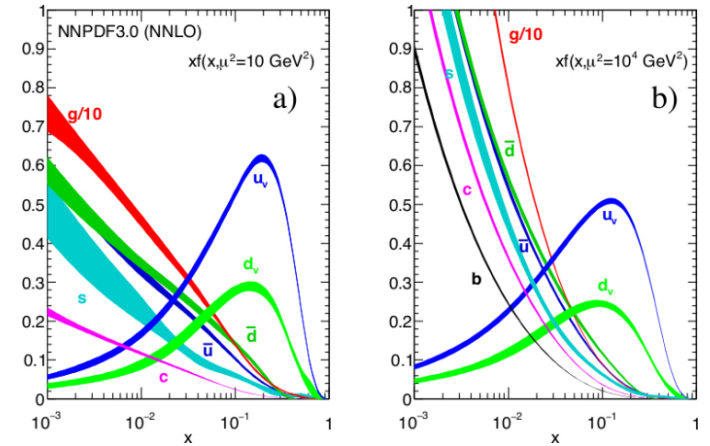
Need a *quantitative* method to treat EFT truncation uncertainties at the LHC.

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{SM}} + \sum_{A=1}^N G_A \mathcal{O}_A$$

$$\mathcal{M}(\hat{s}, \hat{t}, \hat{u}) = \mathcal{M}_{\text{SM}} + \sum_A G_A \mathcal{M}_A + \underbrace{O(G_A^2)}_{=?} + \dots$$

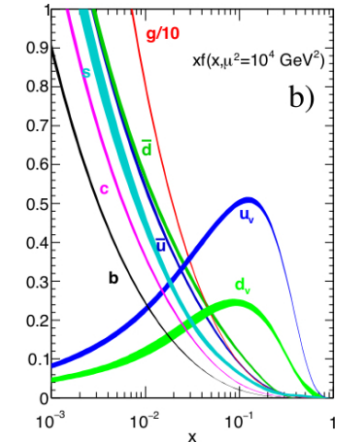
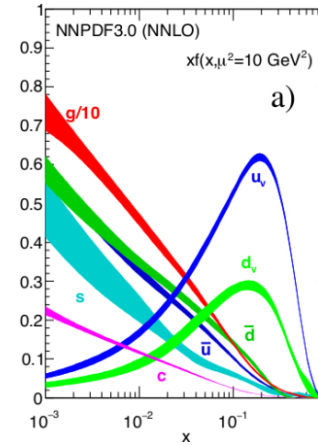
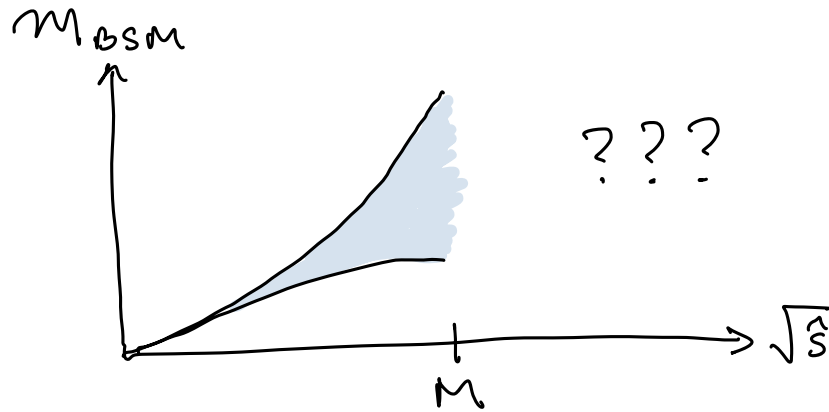
Our Proposal

Treat as a theory uncertainty:



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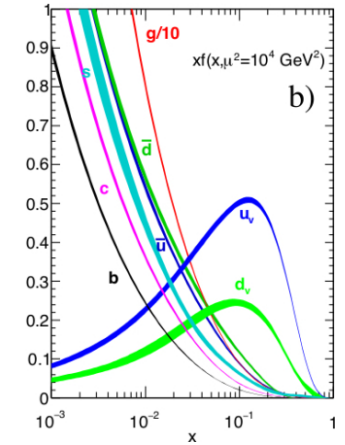
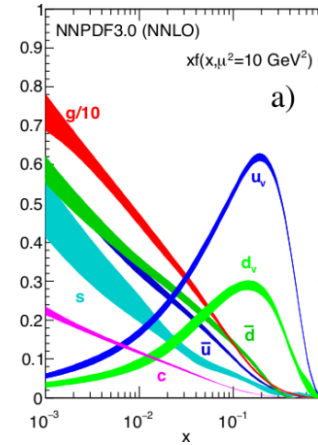
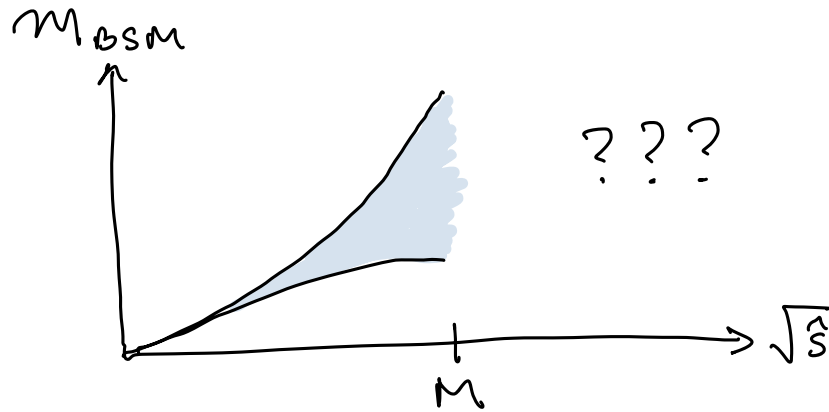
Treat as a theory uncertainty:



M = energy scale where EFT breaks down

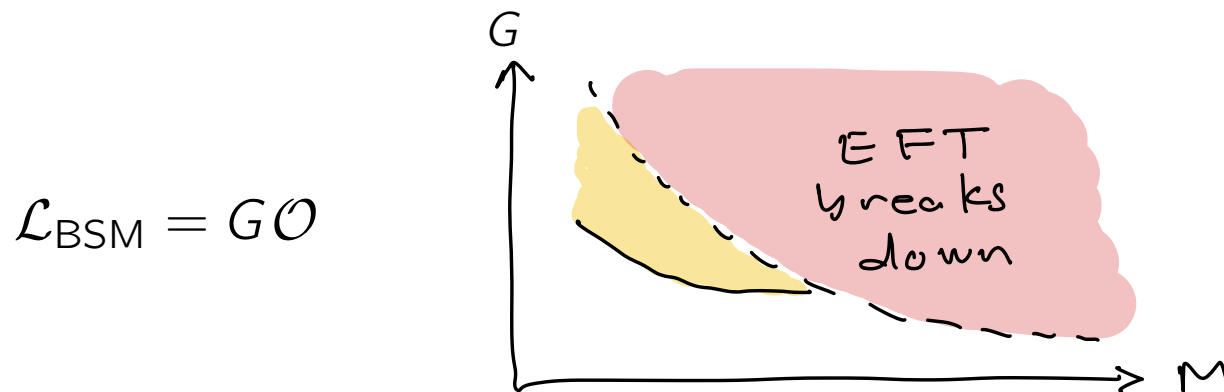
Our Proposal

Treat as a theory uncertainty:



M = energy scale where EFT breaks down

Perform search in (M, G) plane



Our Proposal

Parameterize EFT truncation uncertainty using nuisance parameters

x_a = event

Likelihood: $L = P(\nu)P(x|\theta, \nu)$

θ = parameters of interest (G, M, \dots)

ν = nuisance parameters

Constrain θ , marginalizing over ν

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$$\rightarrow \mathcal{M}_{\text{BSM}} \cdot \underbrace{\mathcal{F}(x, y|\nu)}_{\text{form factor}}$$

$$x = \frac{\hat{s}}{M^2} \quad y = \frac{\hat{t}}{M^2}$$

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$$\mathcal{F}(x, y|\boldsymbol{\nu}) = ?$$

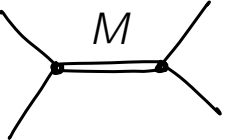
EFT Corrections

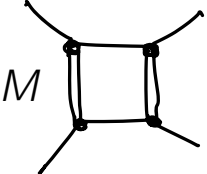
UV complete models will generate EFT operators + 'descendants'

$$\begin{array}{c} \diagup \\ \text{---} M \text{---} \\ \diagdown \end{array} = -\frac{g^2}{M^2} \left[1 + \frac{s}{M^2} + \frac{s^2}{M^4} + \dots \right]$$

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$$= -\frac{g^2}{M^2} \left[1 + \frac{s}{M^2} + \frac{s^2}{M^4} + \dots \right]$$


$$= \frac{g^2}{16\pi^2 M^2} \left[1 + c_1 \frac{s}{M^2} + c_2 \frac{t}{M^2} + c_3 \frac{st}{M^2} + \dots \right]$$

M = mass of heavy particle

$c_1, c_2, c_3, \dots \sim 1$

EFT Corrections

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$$\begin{array}{c} \text{Diagram: a horizontal double line with two external lines on each end, labeled } M \\ \hline = \underbrace{-\frac{g^2}{M^2}}_{= \mathcal{M}_0} \left[1 + \frac{s}{M^2} + \frac{s^2}{M^4} + \dots \right] \end{array}$$

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$$\begin{array}{c} \text{Diagram: A square loop with four dots at the corners, labeled } M \text{ to the left. Four external lines meet at the dots.} \\ = \underbrace{\frac{g^2}{16\pi^2 M^2}}_{= \mathcal{M}_0} \left[1 + \underbrace{c_1 \frac{s}{M^2} + c_2 \frac{t}{M^2} + c_3 \frac{st}{M^2} + \dots}_{\text{descendants}} \right] \end{array}$$

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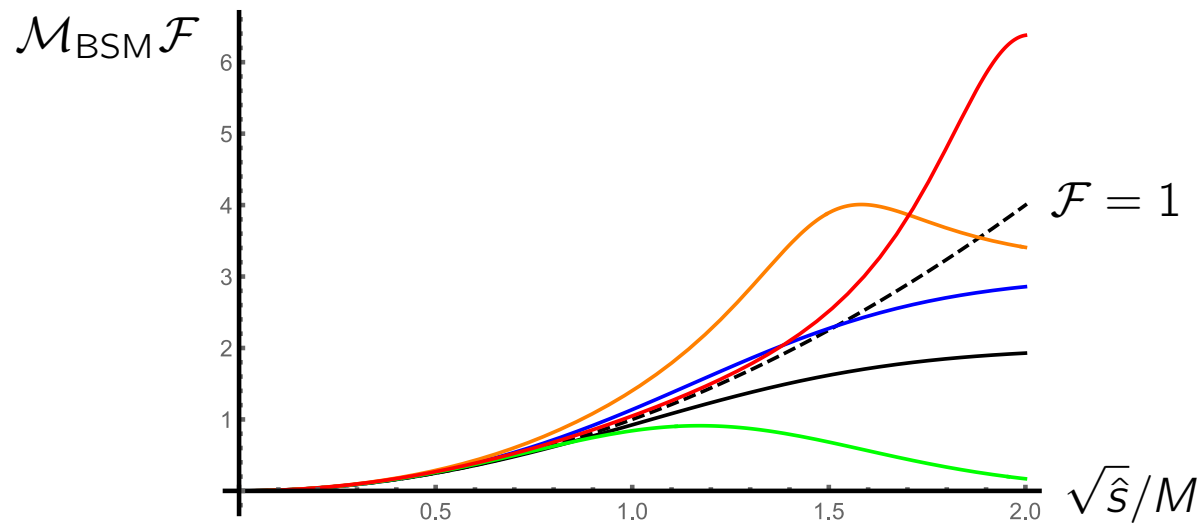
$$c_1, c_2, c_3, \dots \sim 1$$

Use descendants to parameterize higher derivative effects in EFT

Form Factors

Form factors parameterize possible high energy behavior

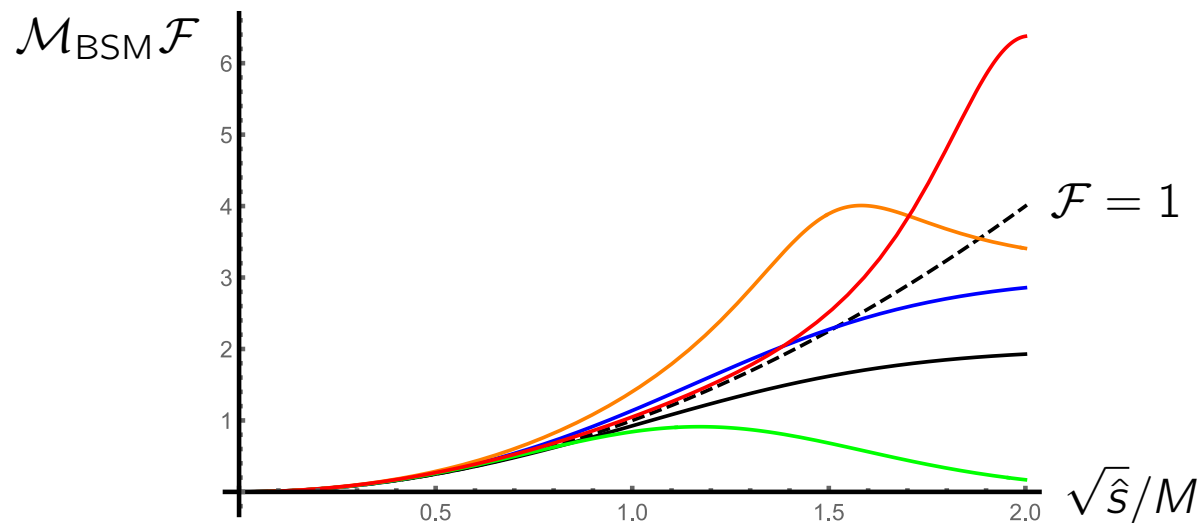
$$\mathcal{M}_{\text{BSM}}(\hat{s}, \hat{t}) \rightarrow \mathcal{M}_{\text{BSM}}(\hat{s}, \hat{t}) \mathcal{F}(x, y) \quad x = \frac{\hat{s}}{M^2} \quad y = \frac{\hat{t}}{M^2} \quad z = \frac{\hat{u}}{M^2}$$



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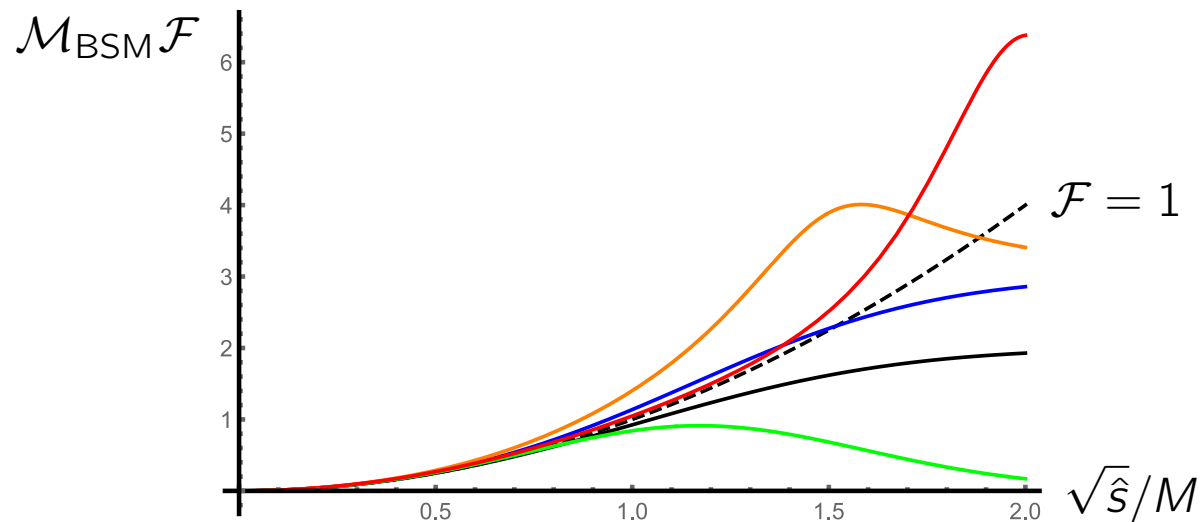
- $x, y, z \ll 1$: Parameterize descendants

$$\Rightarrow \mathcal{F}(x, y) = 1 + \nu_1 x + \nu_2 y + \nu_3 xy + \dots \quad \nu_a \sim 1$$

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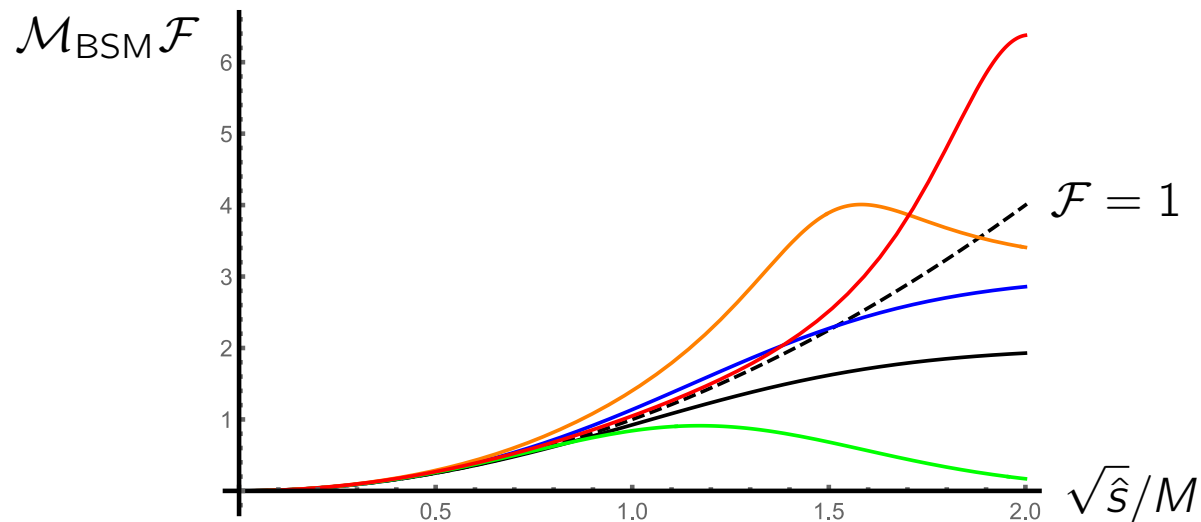


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- $x, y, z \gtrsim 1$: $\mathcal{M}_{\text{BSM}} \mathcal{F}$ has 100% uncertainty
- $x, y, z \gg 1$: $\mathcal{M}_{\text{BSM}} \mathcal{F} \rightarrow \text{constant}$ (scale invariant)

Form Factors

$$F(x) = \text{sigmoid} = \begin{cases} x + O(x^2) & x \rightarrow 0, \\ 1 + O(x^{-1}) & x \rightarrow \infty, \end{cases}$$

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Similar to L. Allwicher, D. Faroughy, F. Jaffredo, O. Sumensari, F. Wilsch (2023)

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Toy Analysis

SM \rightarrow QED

LHC \rightarrow 30 GeV *pp* collider

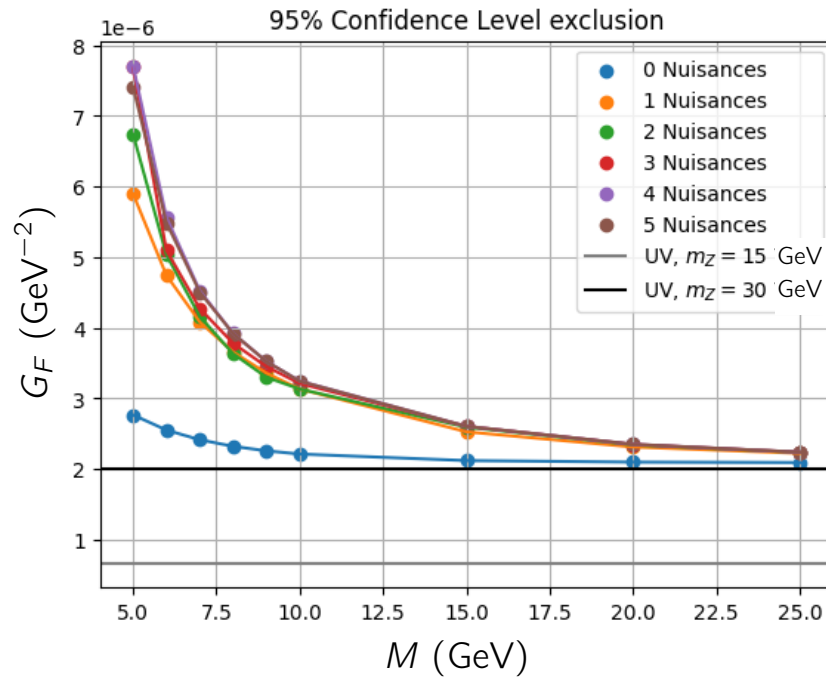
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$$u\bar{u} \rightarrow e^+e^-$$

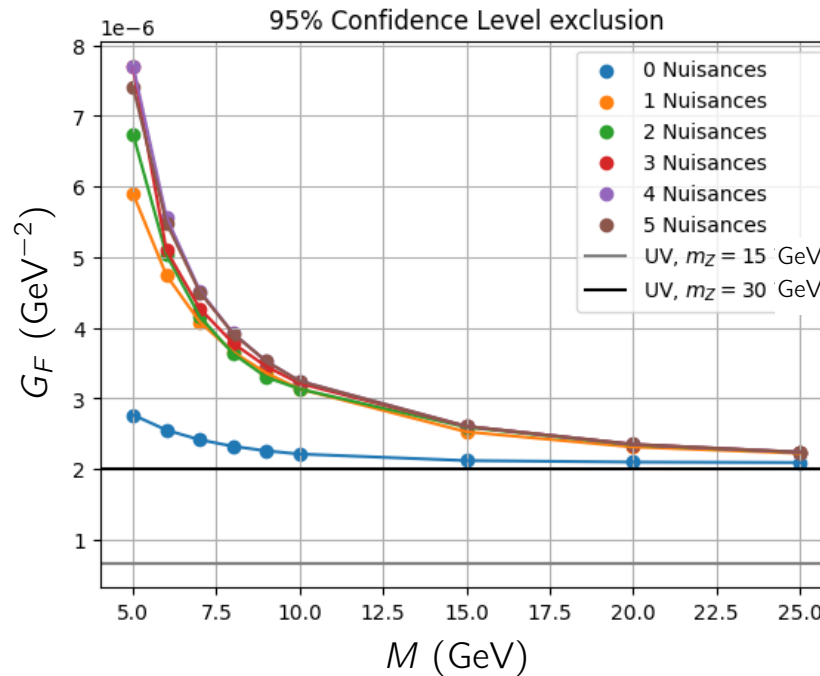
Binned in $m_{e^+e^-}$

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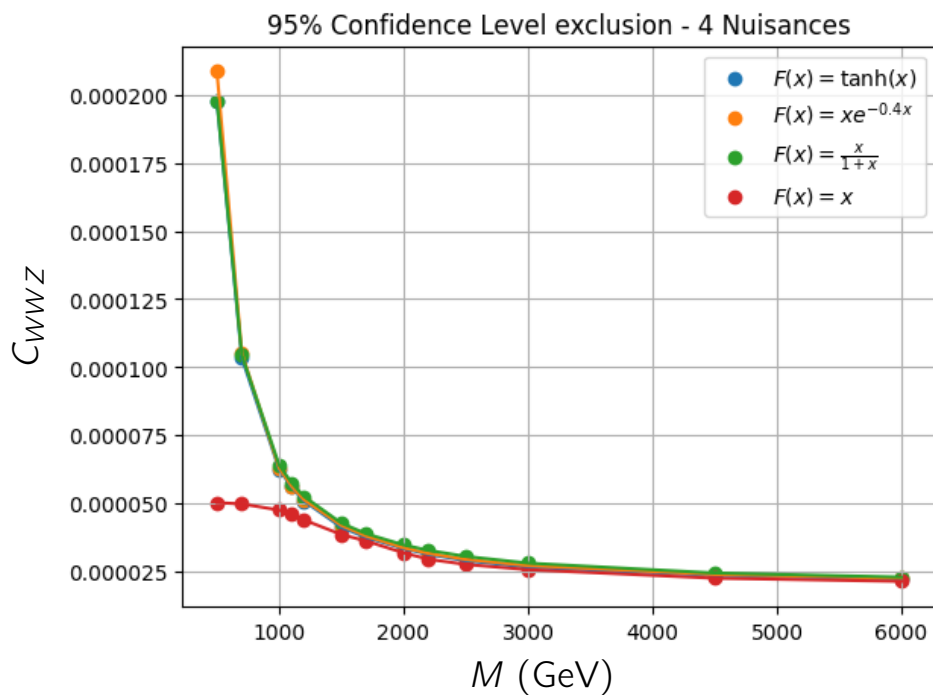


$$u\bar{u} \rightarrow e^+e^-$$

Binned in $m_{e^+e^-}$

- Nuisance parameters weaken the bound
 - They reduce the significance of events with large $\sqrt{\hat{s}}$
- Constraints are weaker at small M
- Results converge as more nuisance parameters are added
- EFT constraints are weaker than constraints from search for UV model

Form Factor Dependence

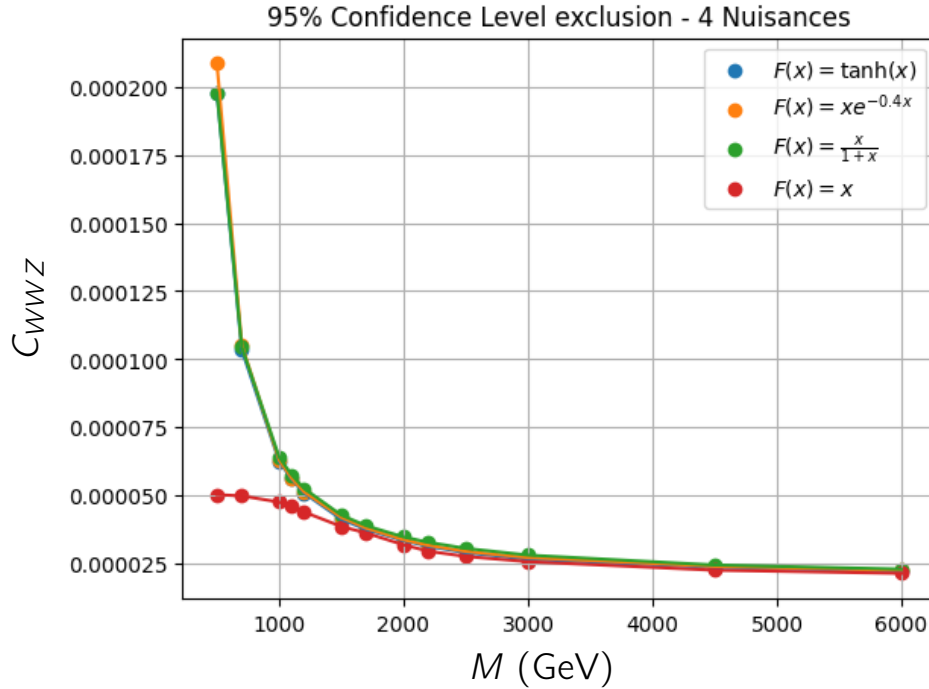


$pp \rightarrow WZ$ toy analysis

HL-LHC, 3 ab^{-1}

$$\mathcal{L}_{\text{BSM}} = C_{WWZ} \left[i(W^+)^{\mu\nu} W_\mu^- Z_\nu + \text{h.c.} \right]$$

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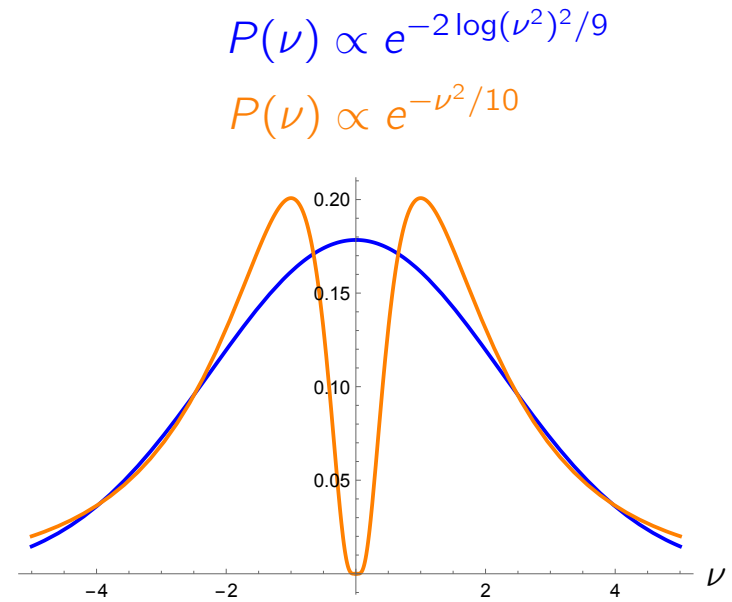
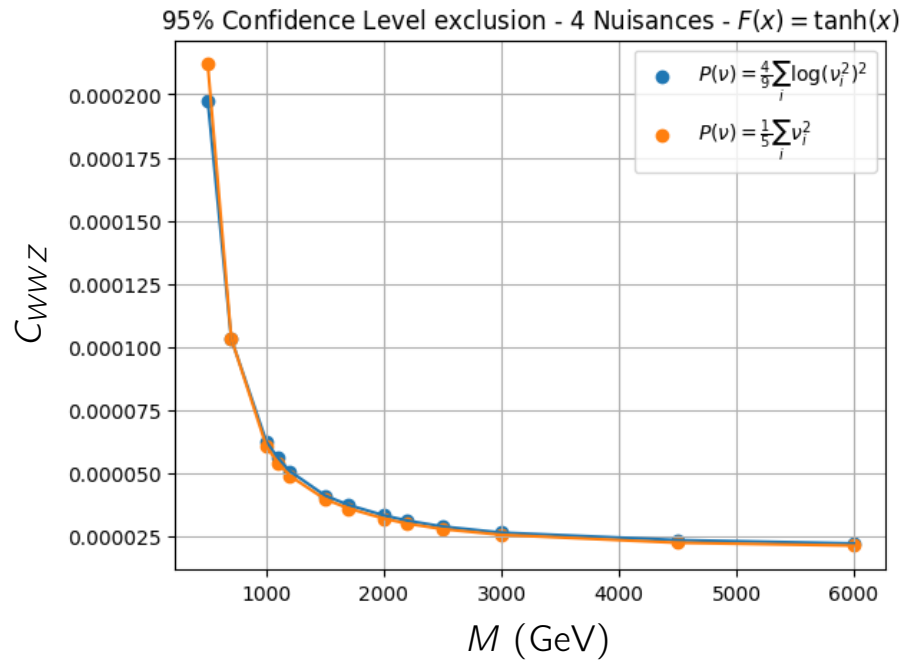
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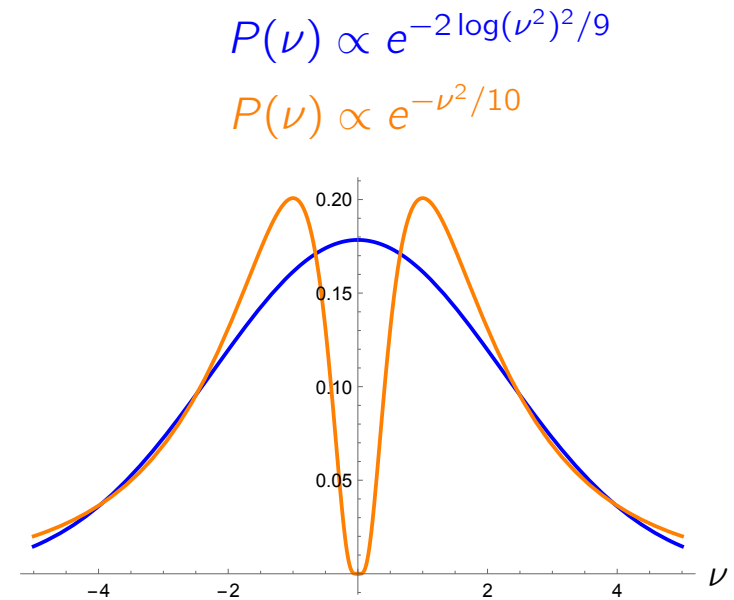
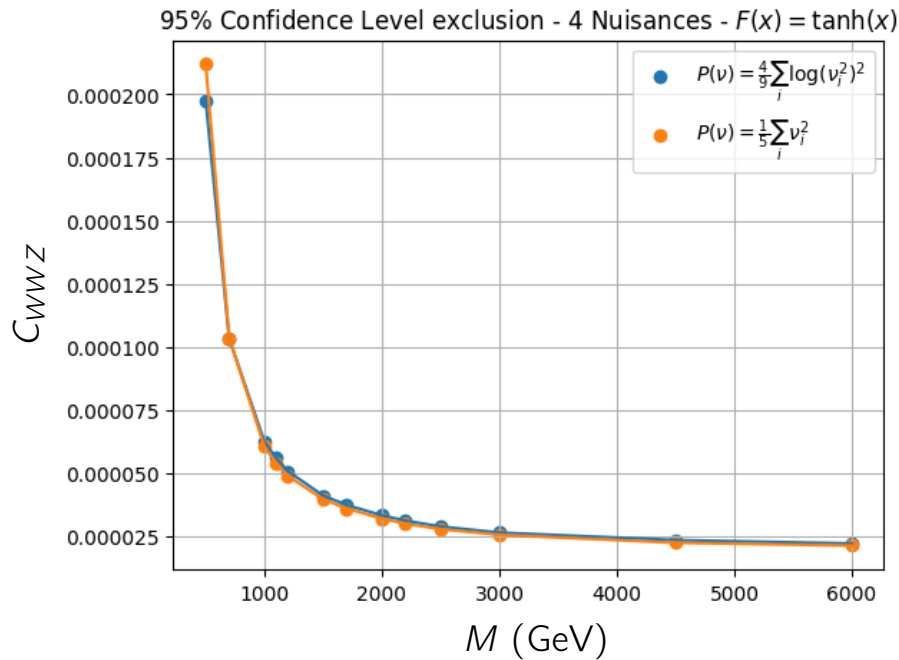
$$\mathcal{L}_{\text{BSM}} = C_{WWZ} \left[i(W^+)^{\mu\nu} W_\mu^- Z_\nu + \text{h.c.} \right]$$

Behavior of $F(x)$ for $x \gtrsim 1$ does not affect final results

Nuisance Probability



Nuisance Probability

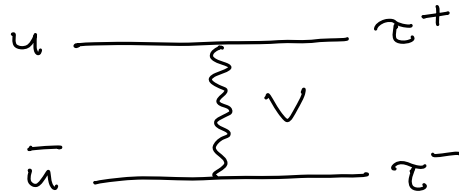


Results are insensitive to form of $P(\nu)$ if we fix tail of distribution

e.g. $\text{Prob}(\nu > \#) = \#$

Realistic Case Study

Fourth generation vector leptoquark model

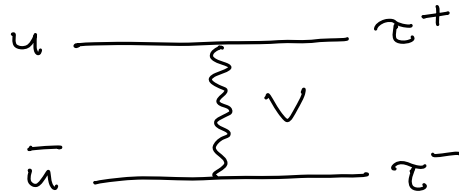


$$\mathcal{L}_{\text{UV}} = \frac{g}{\sqrt{2}} \bar{b} \gamma^\mu \tau V_\mu + \text{h.c.} + \dots \quad \rightarrow \quad \mathcal{L}_{\text{EFT}} = \frac{g^2}{2m_V^2} |\bar{b} \gamma^\mu \tau|^2 + \dots$$

$\underbrace{\hspace{10em}}_{= C_{\bar{b}b\bar{\tau}\tau}}$

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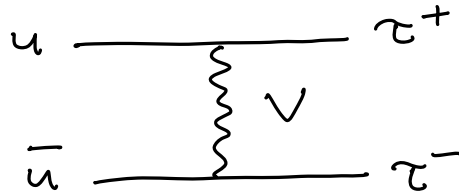


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- Motivated by b anomalies
Can be UV-completed into '4321' model

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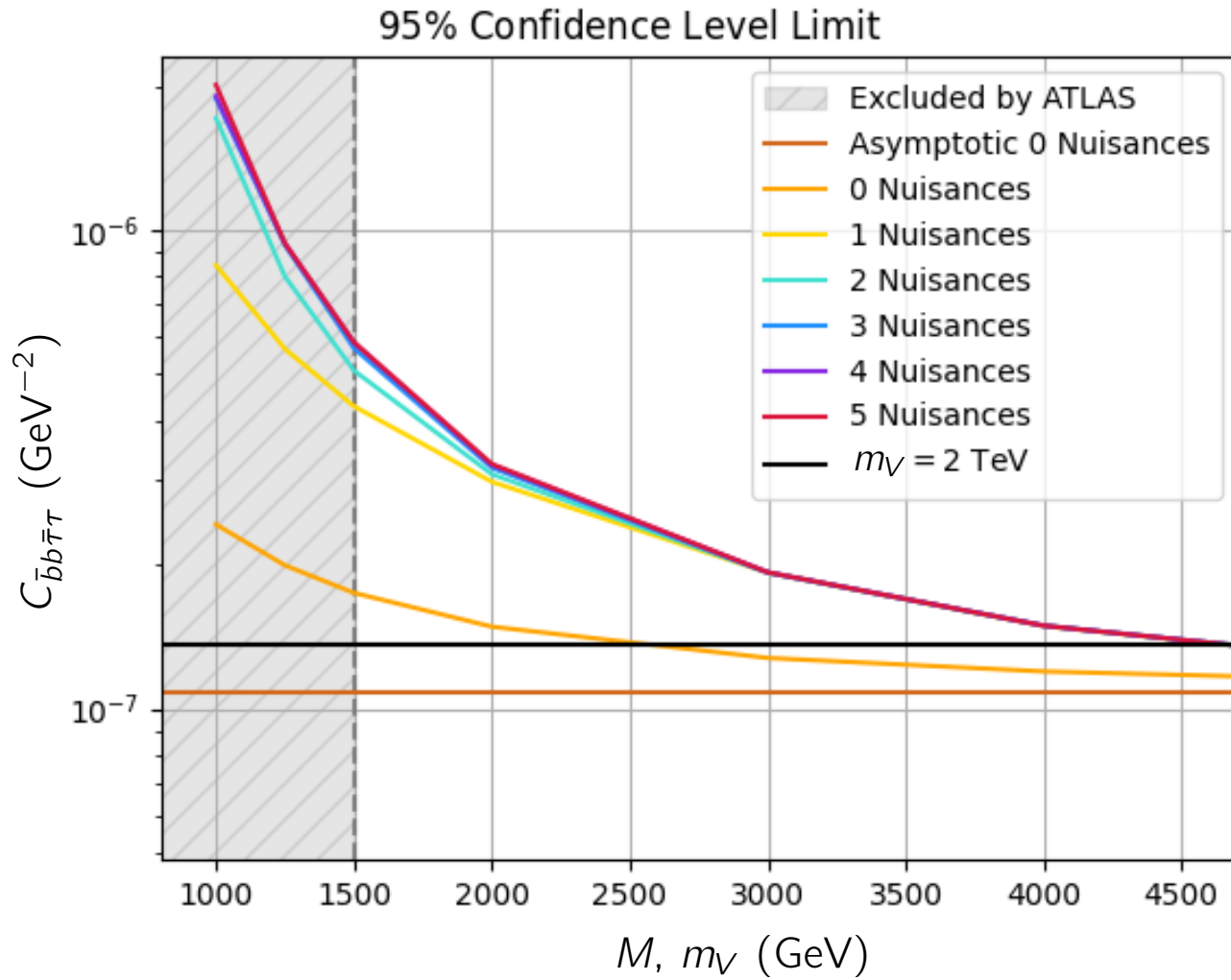
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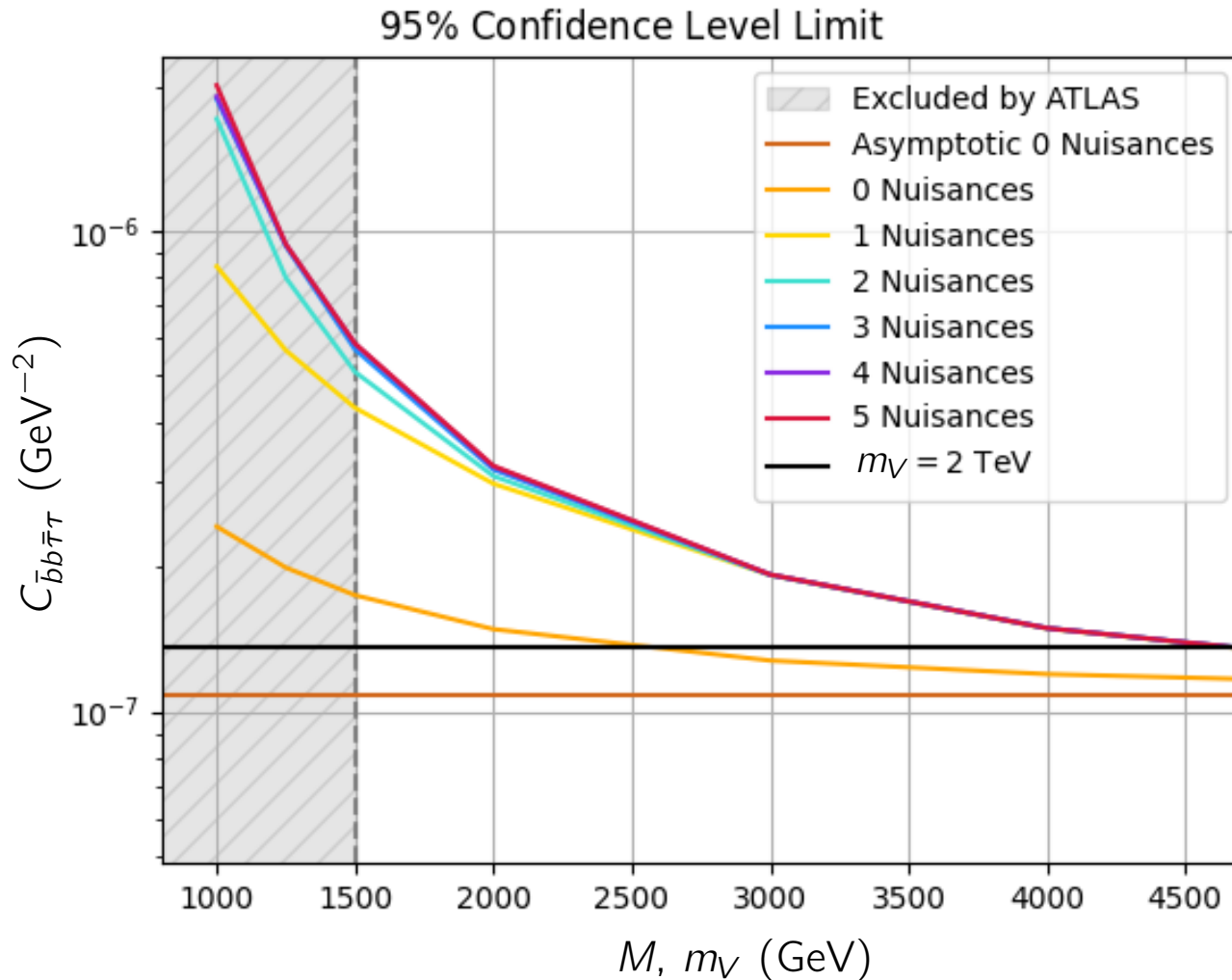
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- Motivated by b anomalies
Can be UV-completed into '4321' model
- Used data from CMS-HIG-21-001
Verified agreement with generated signal, background

Results

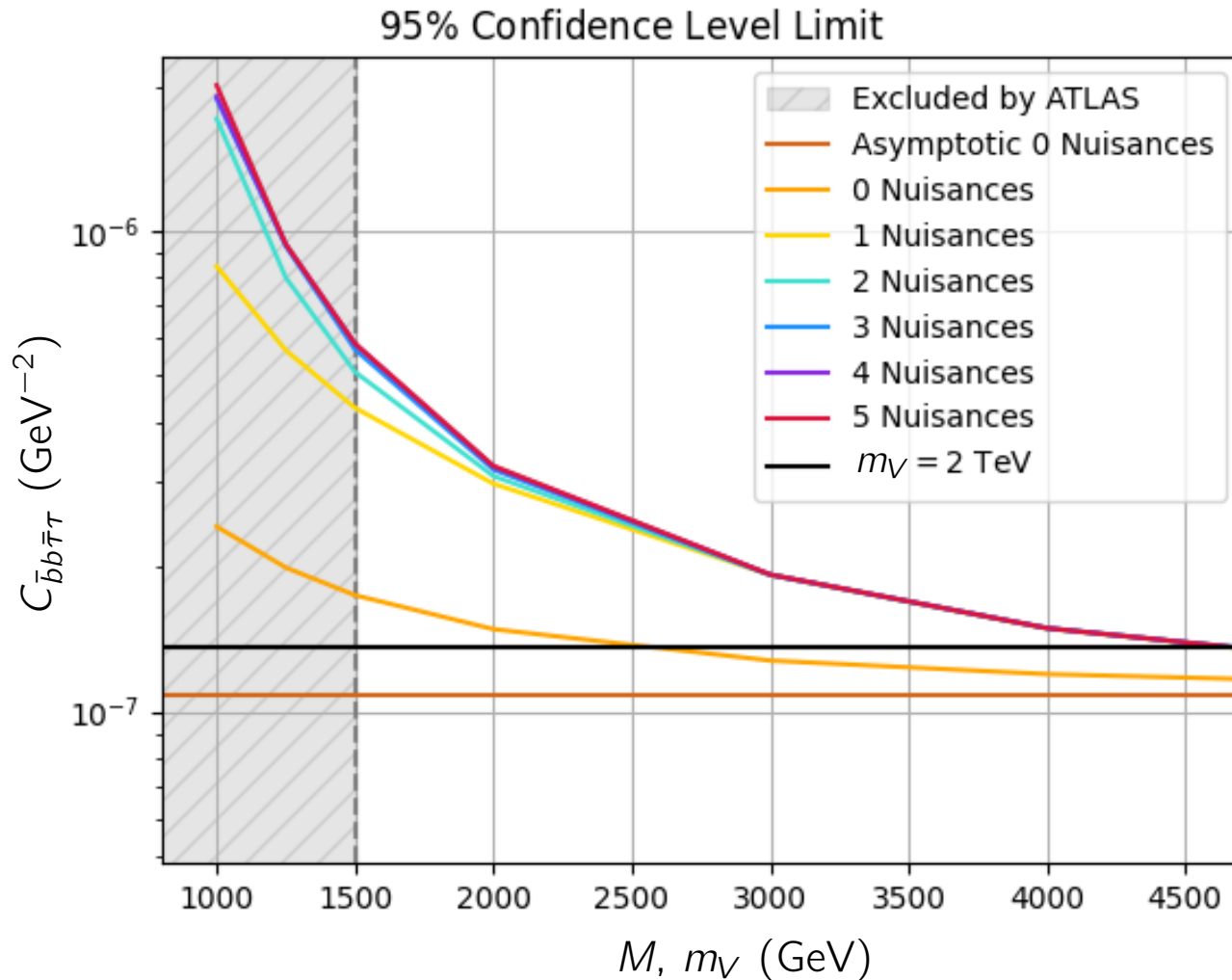


Results



- Standard EFT search gives too-strong bounds

Results



- Standard EFT search gives too-strong bounds
- EFT + nuisance parameter search gives conservative bounds

Conclusions

- Proposed treating EFT truncation as theory uncertainty
- Uses standard statistical methods (nuisance parameters)
⇒ EFT prediction is uncertain at high energies
- Parameterize higher order corrections using ‘descendants’
- Method is general and practical
- Results are convergent and robust

To do:

- Discovery examples
- Include nuisance form factors

⋮
?

Backup Slides



Operator Parameterization

Classify EFT operators using amplitude methods:

$$\mathcal{O} \leftrightarrow \mathcal{M}_{\mathcal{O}} = \text{local on-shell amplitude}$$

Write \mathcal{O} in terms of physical fields (massive W, Z, h, \dots)

$\Rightarrow \mathcal{M}_{\mathcal{O}}$ is Feynman rule for \mathcal{O} evaluated on shell

Finitely many 'primary' operators with ≤ 4 legs:

$$\underbrace{\sum_{\mathcal{O}} G_{\mathcal{O}} \mathcal{M}_{\mathcal{O}}(s, t)}_{\text{infinite sum}} = \underbrace{\sum_{\hat{A}} G_{\hat{A}} \mathcal{M}_{\mathcal{O}_{\hat{A}}}(s, t)}_{\mathcal{O}_{\hat{A}} = \text{primary}} \underbrace{\left[1 + C_{\hat{A}1} s + C_{\hat{A}2} t + C_{\hat{A}3} st + \dots \right]}_{\text{'descendants'}}.$$

G. Durieux, T. Kitahara, C. S. Machado, Y. Shadmi, Y. Weiss, arXiv:2008.09652

S. Chang, M. Chen, D. Liu, ML, arXiv:2212.06215

Primary Operators

i	$\mathcal{O}_i^{hZ\bar{f}f}$	CP	$d_{\mathcal{O}_i}$	SMEFT Operator	c Unitarity Bound
1	$hZ^\mu \bar{\psi}_L \gamma_\mu \psi_L$	+	5	$i(H^\dagger \overset{\leftrightarrow}{D}_\mu H) \bar{Q}_L \gamma^\mu Q_L$	$\frac{0.6}{E_{\text{TeV}}^2}, \frac{5}{E_{\text{TeV}}^4}$
2	$hZ^\mu \bar{\psi}_R \gamma_\mu \psi_R$	+		$i(H^\dagger \overset{\leftrightarrow}{D}_\mu H) \bar{u}_R \gamma^\mu u_R$	
3	$hZ^{\mu\nu} \bar{\psi}_L \sigma_{\mu\nu} \psi_R + \text{h.c.}$	+	6	$\bar{Q}_L \sigma^{\mu\nu} u_R \sigma^a \tilde{H} W_{\mu\nu}^a + \text{h.c.}$	$\frac{2}{E_{\text{TeV}}^2}, \frac{10}{E_{\text{TeV}}^4}$
4	$ih\tilde{Z}_{\mu\nu} \bar{\psi}_L \sigma^{\mu\nu} \psi_R + \text{h.c.}$	-		$i\bar{Q}_L \sigma^{\mu\nu} u_R \sigma^a \tilde{H} \tilde{W}_{\mu\nu}^a + \text{h.c.}$	
5	$ihZ^\mu (\bar{\psi}_L \overset{\leftrightarrow}{\partial}_\mu \psi_R) + \text{h.c.}$	+	6	$(H^\dagger \overset{\leftrightarrow}{D}_\mu H) (\bar{Q}_L \overset{\leftrightarrow}{D}^\mu u_R) \tilde{H} + \text{h.c.}$	$\frac{0.1}{E_{\text{TeV}}^3}, \frac{4}{E_{\text{TeV}}^6}$
6	$hZ^\mu \partial_\mu (\bar{\psi}_L \psi_R) + \text{h.c.}$	-		$i(H^\dagger \overset{\leftrightarrow}{D}_\mu H) D^\mu (\bar{Q}_L u_R) \tilde{H} + \text{h.c.}$	
7	$ihZ^\mu \partial_\mu (\bar{\psi}_L \psi_R) + \text{h.c.}$	+		$(H^\dagger \overset{\leftrightarrow}{D}_\mu H) D^\mu (\bar{Q}_L u_R) \tilde{H} + \text{h.c.}$	
8	$hZ^\mu (\bar{\psi}_L \overset{\leftrightarrow}{\partial}_\mu \psi_R) + \text{h.c.}$	-		$i(H^\dagger \overset{\leftrightarrow}{D}_\mu H) (\bar{Q}_L \overset{\leftrightarrow}{D}^\mu u_R) \tilde{H} + \text{h.c.}$	
9	$ih\tilde{Z}_{\mu\nu} (\bar{\psi}_L \gamma^\mu \overset{\leftrightarrow}{\partial}^\nu \psi_L)$	+	7	$i H ^2 \tilde{W}^{a\mu\nu} (\bar{Q}_L \gamma_\mu \sigma^a \overset{\leftrightarrow}{D}_\nu Q_L)$	$\frac{0.4}{E_{\text{TeV}}^3}, \frac{1}{E_{\text{TeV}}^4}$
10	$h\tilde{Z}_{\mu\nu} \partial^\mu (\bar{\psi}_L \gamma^\nu \psi_L)$	-		$ H ^2 \tilde{W}^{a\mu\nu} D_\mu (\bar{Q}_L \gamma_\nu \sigma^a Q_L)$	
11	$ih\tilde{Z}_{\mu\nu} (\bar{\psi}_R \gamma^\mu \overset{\leftrightarrow}{\partial}^\nu \psi_R)$	+		$i H ^2 \tilde{B}^{\mu\nu} (\bar{u}_R \gamma_\mu \overset{\leftrightarrow}{D}_\nu u_R)$	
12	$h\tilde{Z}_{\mu\nu} \partial^\mu (\bar{\psi}_R \gamma^\nu \psi_R)$	-		$ H ^2 \tilde{B}^{\mu\nu} D_\mu (\bar{u}_R \gamma_\nu u_R)$	