

# Neutrino flavor instabilities in dense astrophysical environments

**Julien Froustey**

*N3AS Fellow, UC San Diego / UC Berkeley*

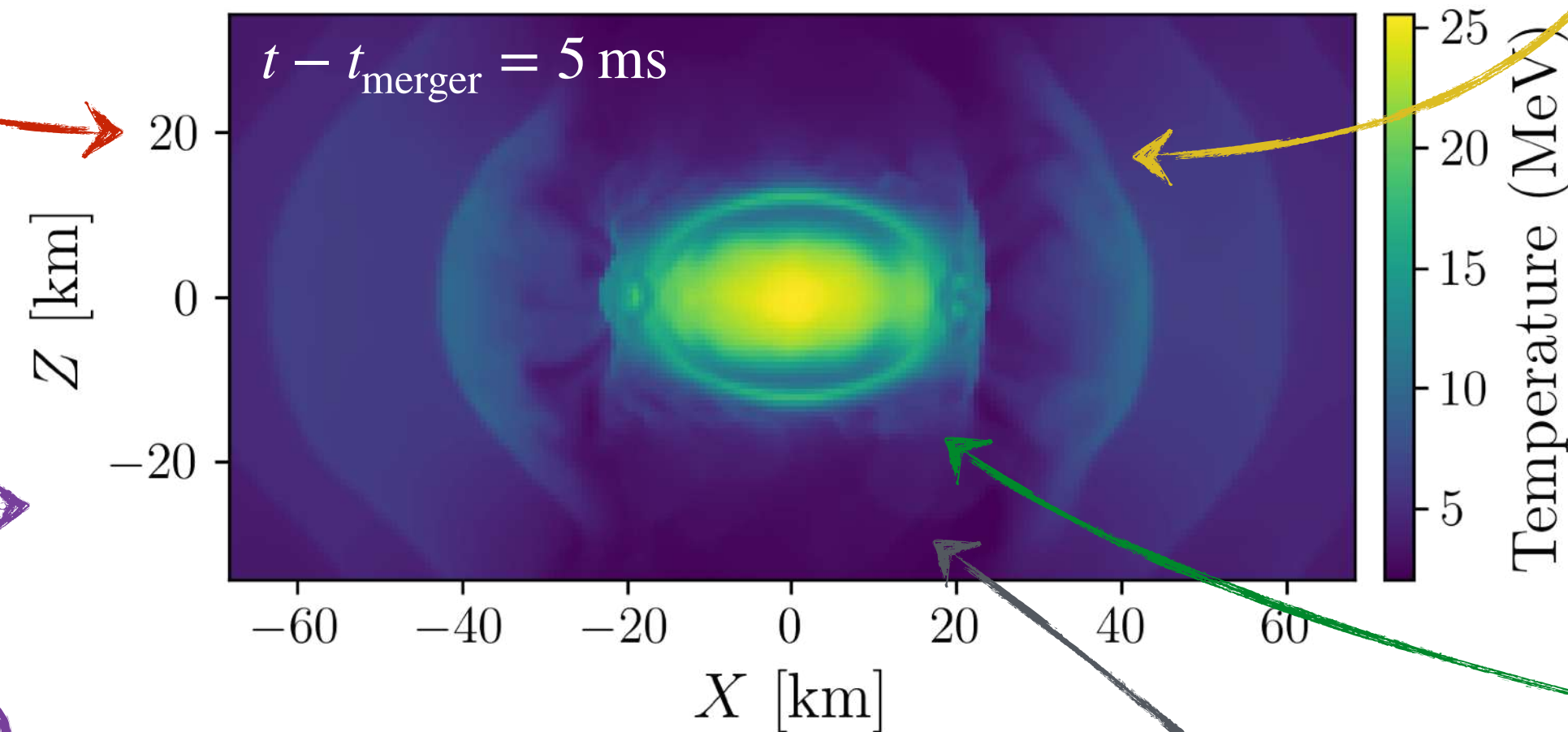
**Invisibles25 Workshop** — 01/09/2025 —

# Large-scale simulations: what's included and what's not

General relativity

Hydrodynamics

Merger of two  $1.2 M_{\odot}$  neutron stars



Neutrino transport

Equation of state

...

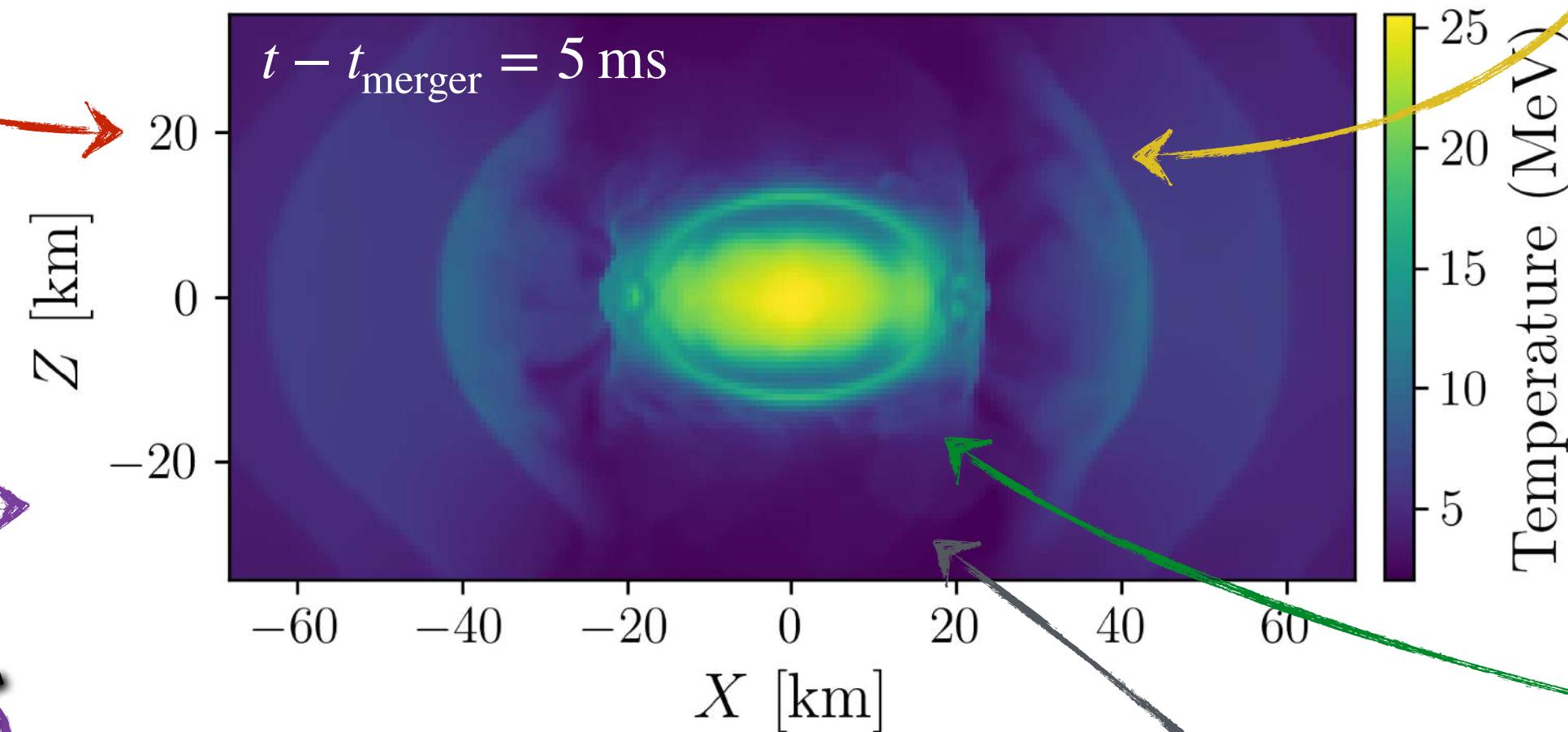
F. Foucart *et al.* [[1607.07450](#)]

# Large-scale simulations: what's included and what's not

**General relativity**

**Hydrodynamics**

Merger of two  $1.2 M_{\odot}$  neutron stars



**Neutrino transport**\*

**Equation of state**

...

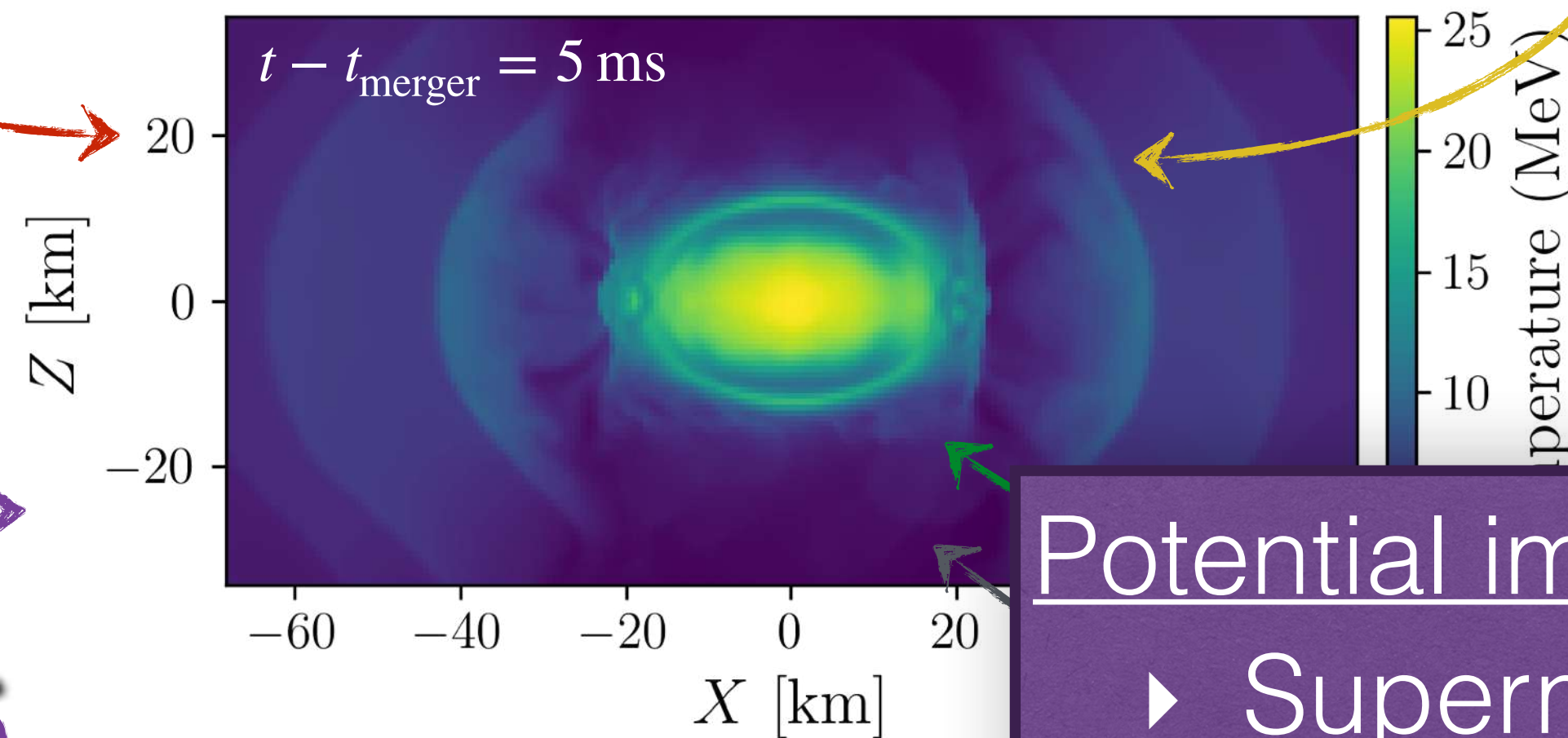
\* without neutrino flavor oscillations

# Large-scale simulations: what's included and what's not

General relativity

Hydrodynamics

Merger of two  $1.2 M_{\odot}$  neutron stars



F. Foucart *et al.* [[1607.0745](#)]

Neutrino transport\*

Potential impact:

- ▶ Supernova explosion
- ▶ Nucleosynthesis
- ▶ Neutrino signal
- ▶ GW signal
- ▶ ...

\* without neutrino flavor oscillations

# Classical and quantum transport

- Classical neutrino transport:

**Boltzmann's equation**

$$\left( \frac{\partial}{\partial t} + \mathbf{v} \cdot \nabla \right) f_{\nu_\alpha} = C_{\nu_\alpha}$$

Distribution function
Collision term

↓
↙

- Quantum neutrino transport:

**One-body density matrix**

$$\varrho = \begin{pmatrix} \varrho_{ee} & \varrho_{ex} \\ \varrho_{ex}^* & \varrho_{xx} \end{pmatrix} = \begin{pmatrix} \text{Distribution function of } \nu_e & \text{Flavor coherence} \\ \text{Flavor coherence} & \text{Distribution function of } \nu_x \end{pmatrix}$$

**Quantum Kinetic Equation**

$$\left( \frac{\partial}{\partial t} + \mathbf{v} \cdot \nabla \right) \varrho = -i [\mathcal{H}, \varrho] + \mathcal{C}$$

# Classical and quantum transport

- Classical neutrino transport:

**Boltzmann's equation**

$$\left( \frac{\partial}{\partial t} + \mathbf{v} \cdot \nabla \right) f_{\nu_\alpha} = C_{\nu_\alpha}$$

Distribution function
Collision term

↓
↙

- Quantum neutrino transport:

**One-body density matrix**

$$\varrho = \begin{pmatrix} \varrho_{ee} & \varrho_{ex} \\ \varrho_{ex}^* & \varrho_{xx} \end{pmatrix} = \begin{pmatrix} \text{Distribution function of } \nu_e & \text{Flavor coherence} \\ \text{Flavor coherence} & \text{Distribution function of } \nu_x \end{pmatrix}$$

**Quantum Kinetic Equation**

$$\left( \frac{\partial}{\partial t} + \mathbf{v} \cdot \nabla \right) \varrho = -i [\mathcal{H}, \varrho] + \mathcal{C} \text{ Collisions}$$

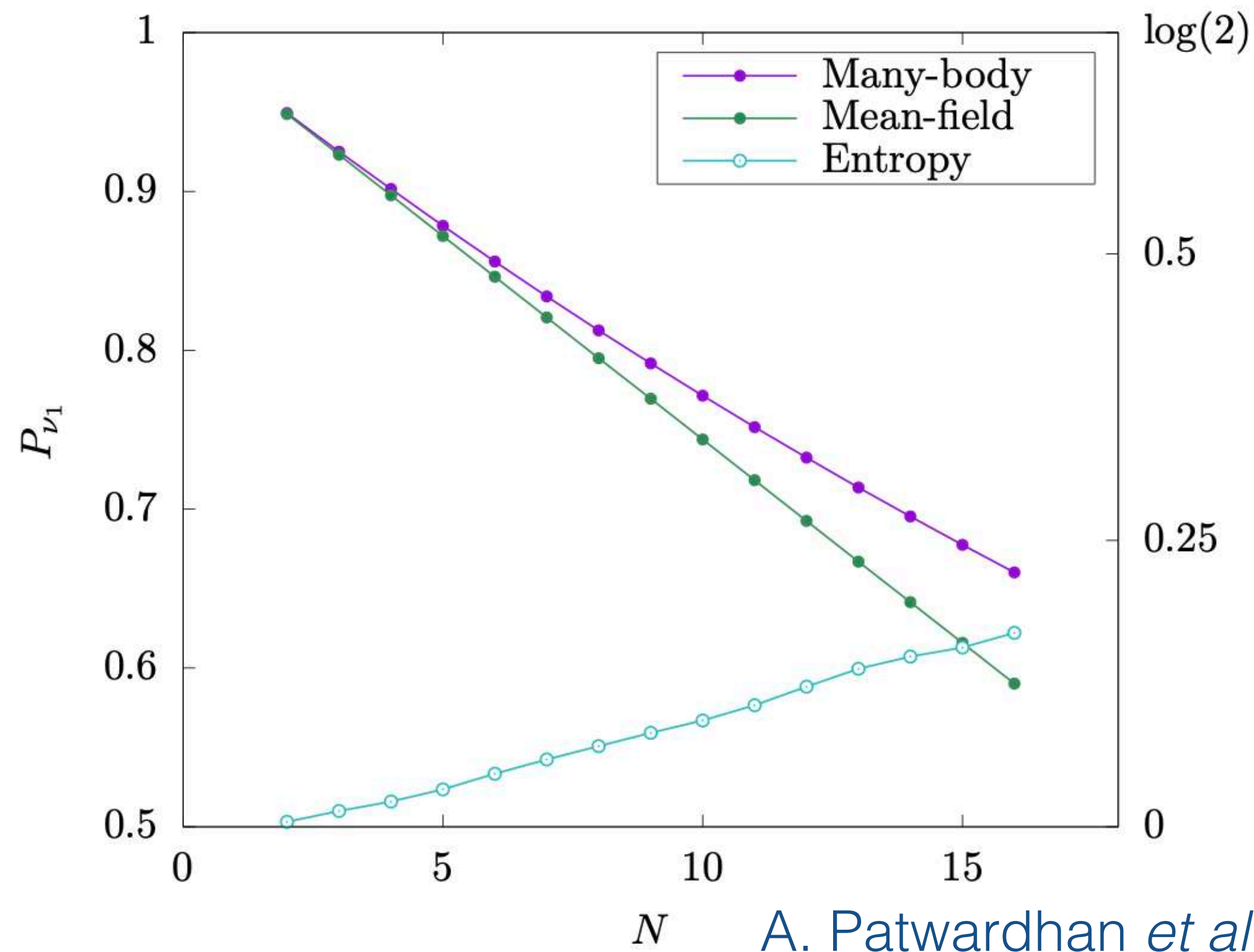
Vacuum term  
 +  
 mean-field potentials

# Quantum many-body approaches

- Growing literature on possible signatures of **many-body effects**, discarded in the QKE (mean-field) approach

Rrapaj [[1905.13335](#)], Martin+ [[2112.12686](#)], Cervia+ [[2202.01865](#)], Patwardhan+ [[2301.00342](#)], Balantekin+ [[2305.01150](#)], Siwach+ [[2411.05169](#)]...

Impact on heavy-element nucleosynthesis? Balantekin+ [[2311.02562](#)]



$$i \frac{d|\Psi_{(N)}\rangle}{dt} = \hat{H} |\Psi_{(N)}\rangle$$

*N*-body quantum state

$$i \frac{d\rho_{(1)}}{dt} = [\mathcal{H}^{(\text{MF})}, \rho_{(1)}]$$

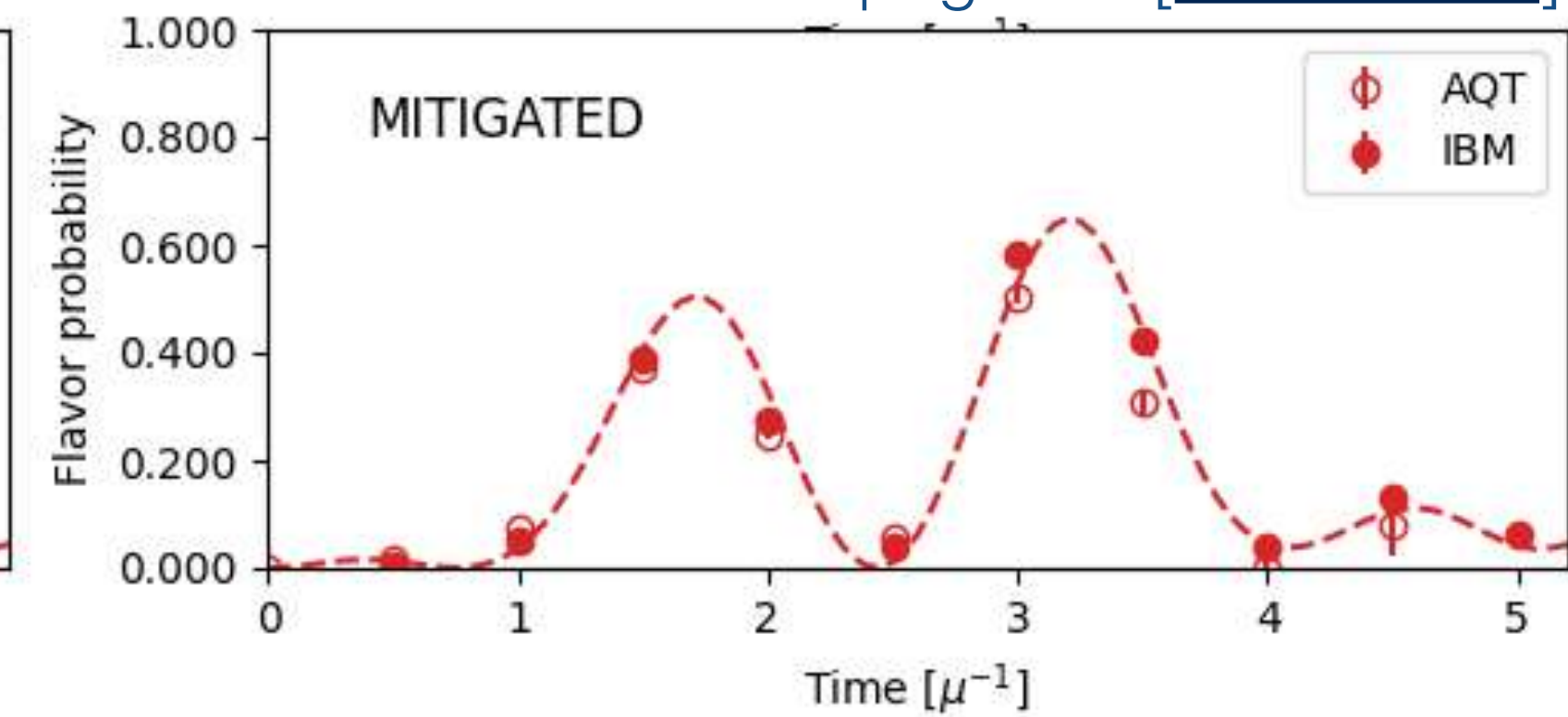
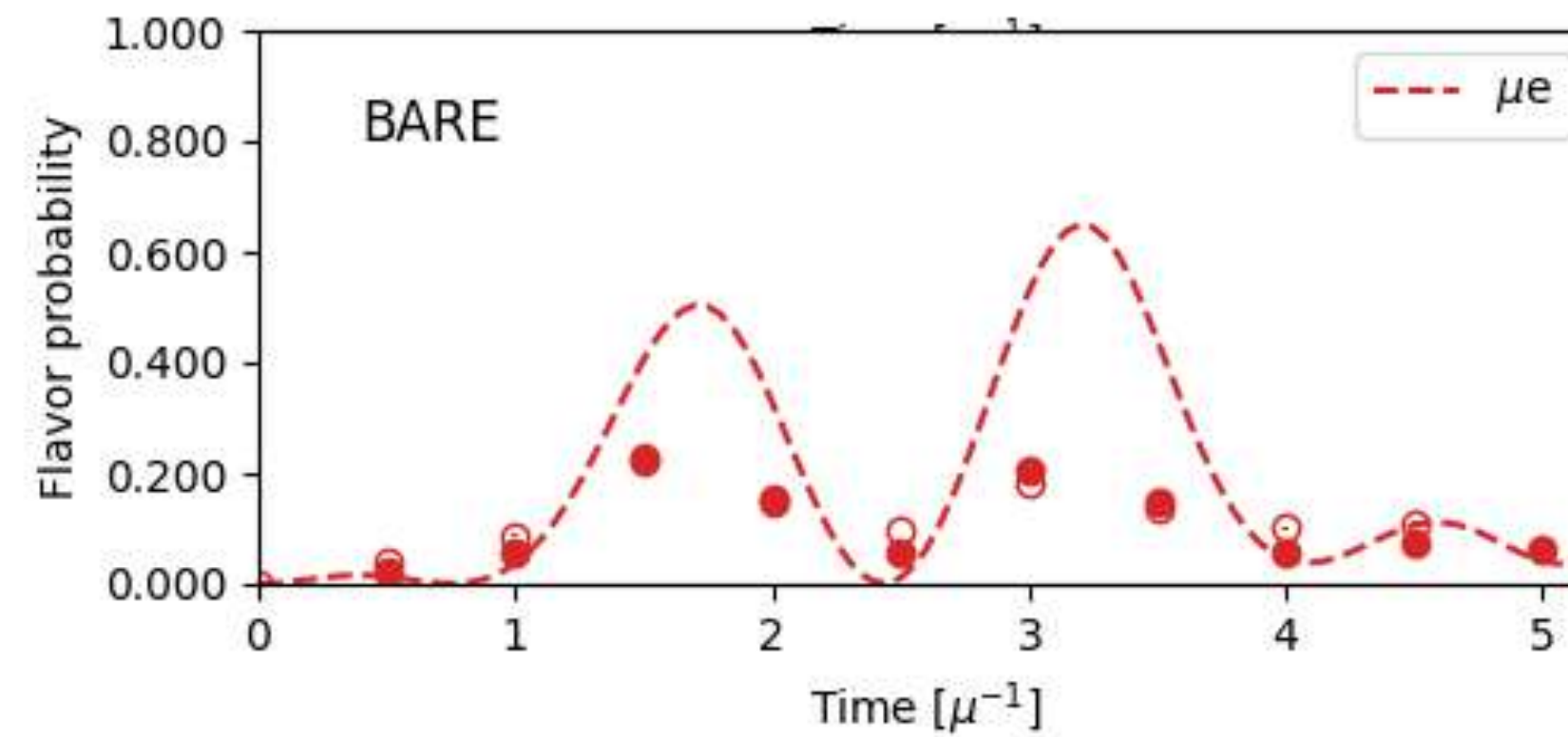
1-body density matrix

# Quantum many-body approaches

- *Quantum computers* are a promising tool to solve this quantum many-body problem.

Spagnoli+ [2503.00607]

Hall+ [2102.12556], Yeter-Aydeniz+ [2104.03273], Illa+ [2202.12340, 2210.08656], Amitrano+ [2207.03189], Siwach+ [2308.09123], Spagnoli+ [2503.00607], ...

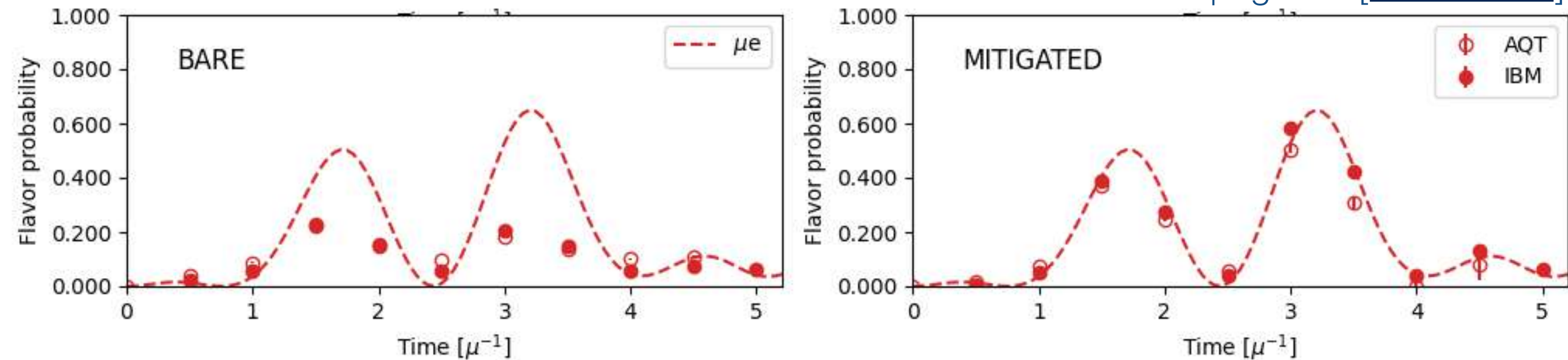


# Quantum many-body approaches

- Quantum computers are a promising tool to solve this quantum many-body problem.

Spagnoli+ [2503.00607]

Hall+ [2102.12556], Yeter-Aydeniz+ [2104.03273], Illa+ [2202.12340, 2210.08656], Amitrano+ [2207.03189], Siwach+ [2308.09123], Spagnoli+ [2503.00607], ...



- But these “many-body studies” **may not be applicable** in actual environments (*separation of scales not satisfied*)

**Do we have enough evidence to invalidate the mean-field approximation adopted to model collective neutrino oscillations?**

[Shashank Shalgar](#) and [Irene Tamborra](#)

Show more

Phys. Rev. D **107**, 123004 – Published 5 June, 2023

[Shalgar & Tamborra \[2304.13050\]](#),  
[Johns \[2305.04916\]](#)

International Journal of Modern Physics A | Vol. 39, No. 30, 2450122 (2024) | Research Paper

**Neutrino many-body correlations**

Lucas Johns

# Classical and quantum transport


- Classical neutrino transport:

**Boltzmann's equation**  $\left(\frac{\partial}{\partial t} + \mathbf{v} \cdot \nabla\right) f_{\nu_\alpha} = C_{\nu_\alpha}$

- Quantum neutrino transport:

**One-body density matrix**  $\varrho = \begin{pmatrix} \varrho_{ee} & \varrho_{ex} \\ \varrho_{ex}^* & \varrho_{xx} \end{pmatrix} = \begin{pmatrix} \text{Distrib} & \\ & \text{Flavor} \end{pmatrix}$

In the following, we assume the Quantum Kinetic Equation accurately describes neutrino evolution in astrophysical environments

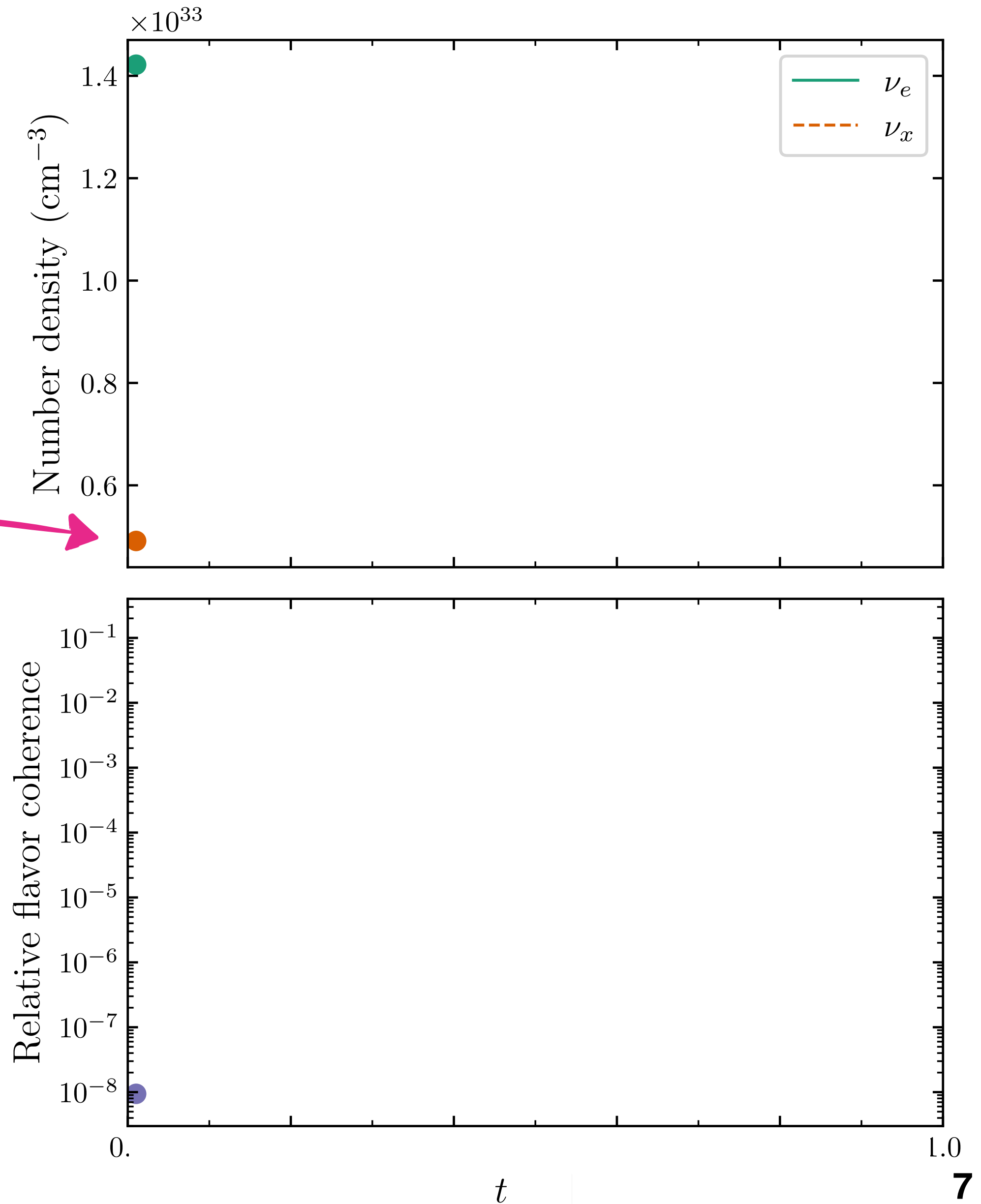
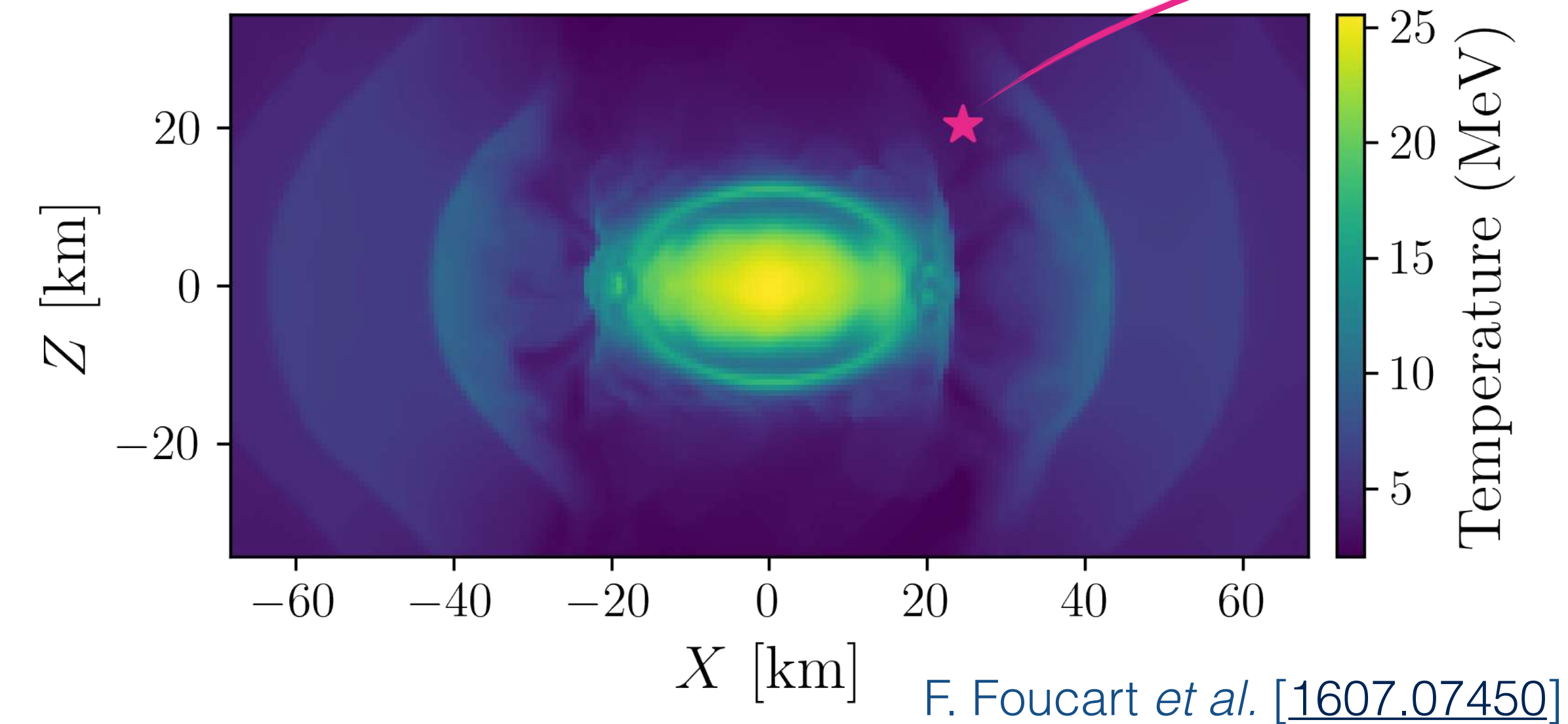
 **Quantum Kinetic Equation**  $\left(\frac{\partial}{\partial t} + \mathbf{v} \cdot \nabla\right) \varrho = -i [\mathcal{H}, \varrho] + \mathcal{C}$  Collisions

Vacuum term  
+  
mean-field potentials

# Flavor instabilities in dense astrophysical environments

- We can check if classical simulations are self-consistent.
- Take the classical results, and solve the QKE.

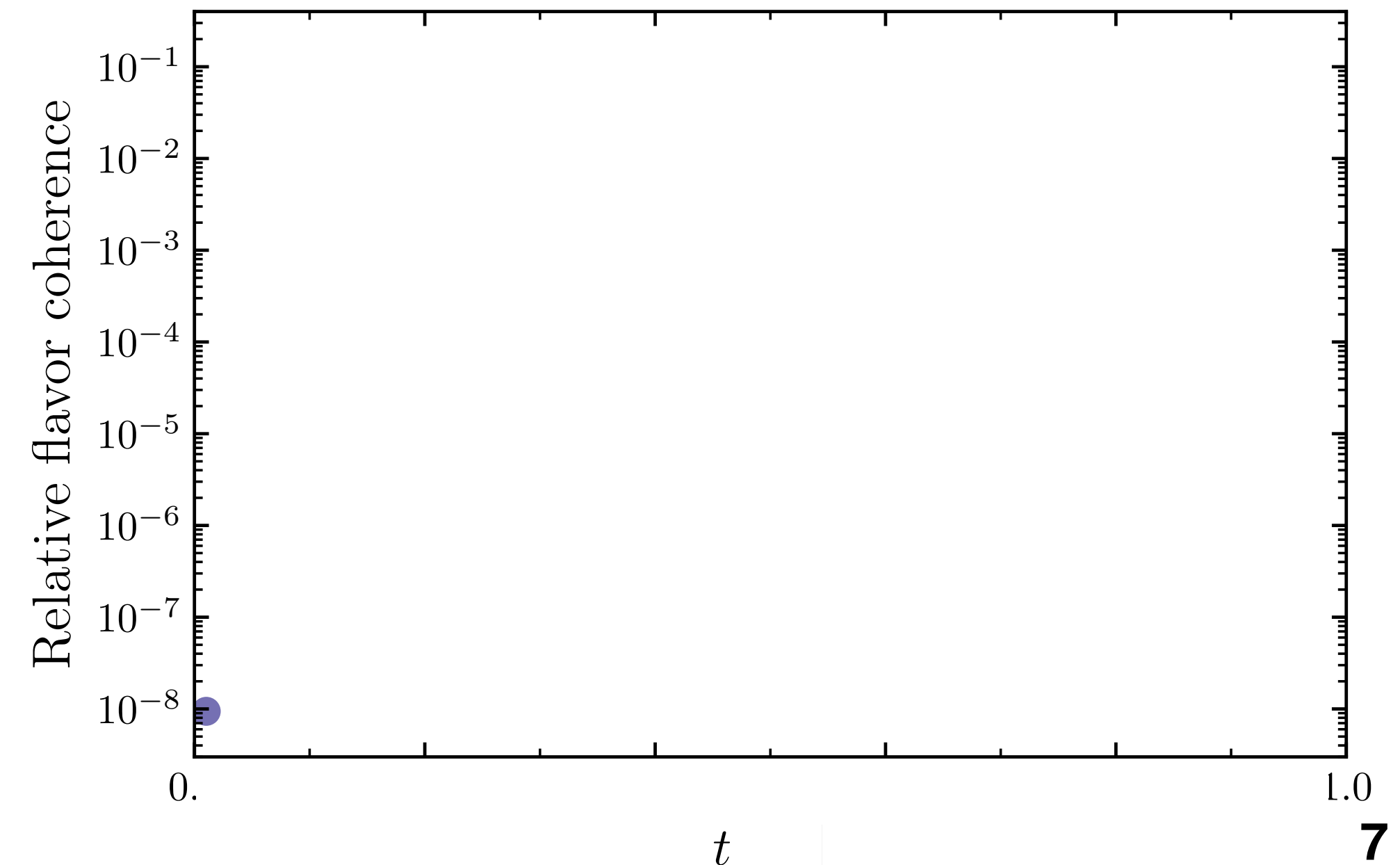
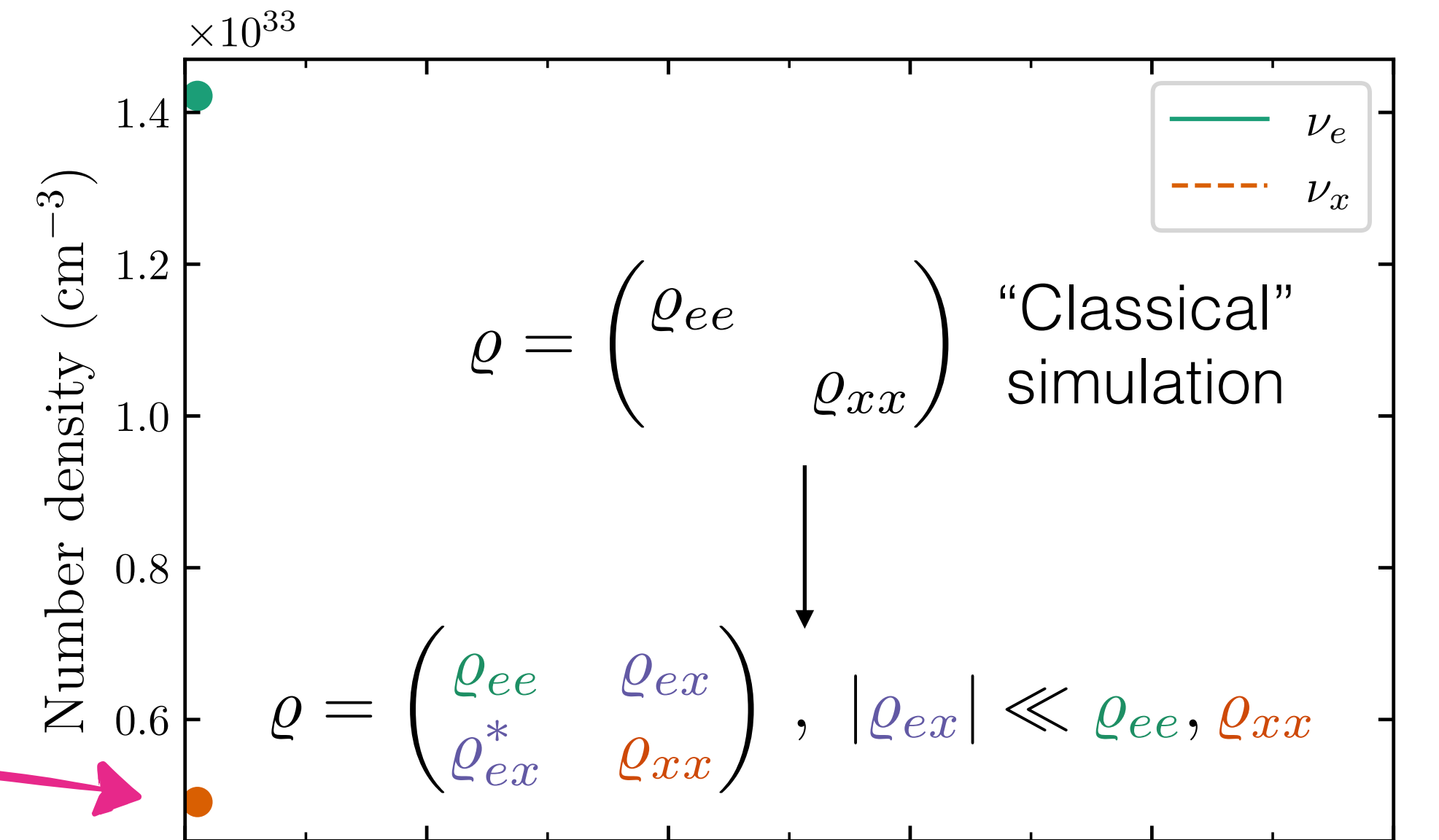
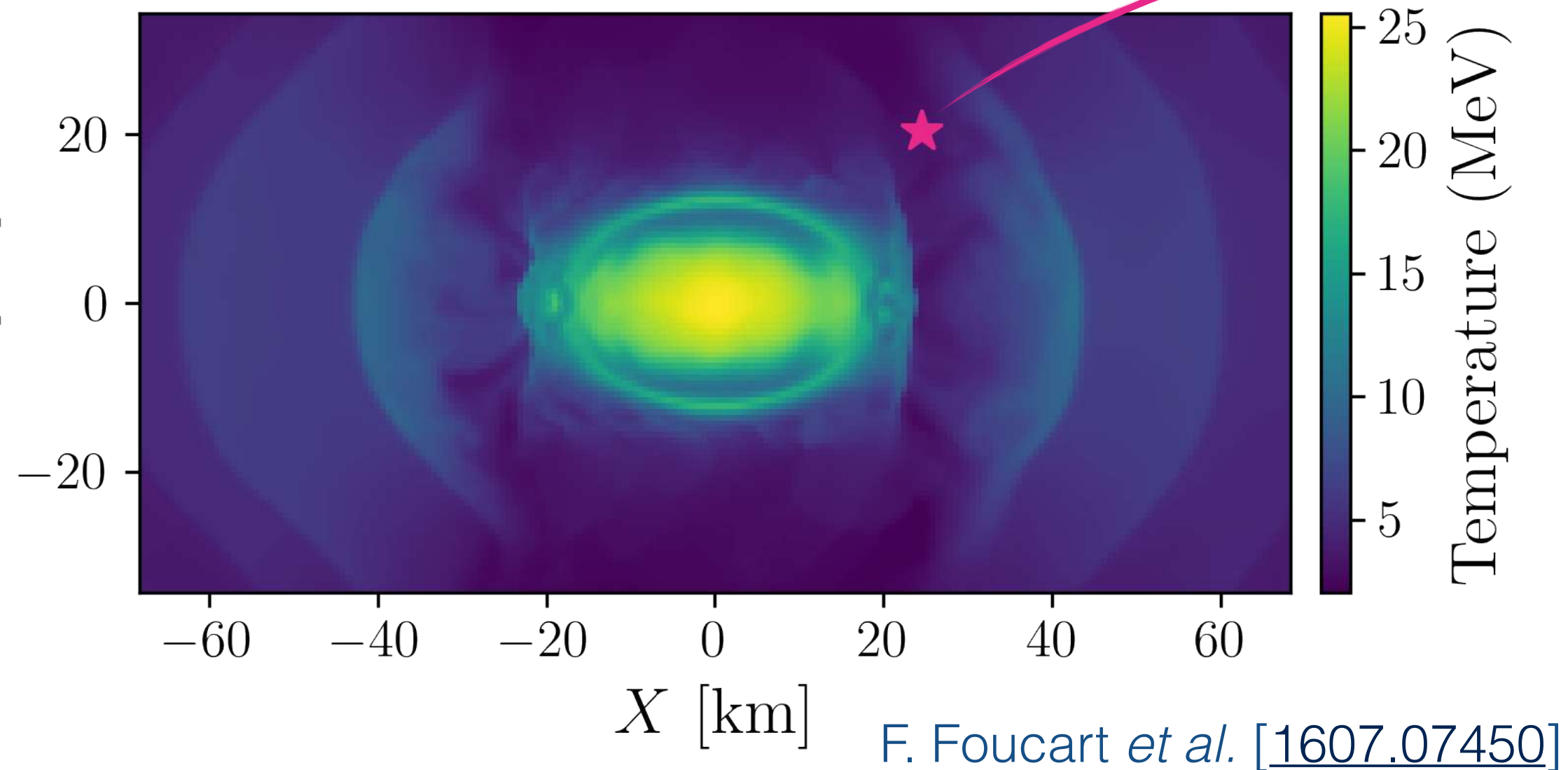
Merger of two  $1.2 M_{\odot}$  neutron stars



# Flavor instabilities in dense astrophysical environments

- We can check if classical simulations are self-consistent.
- Take the classical results, and solve the QKE.

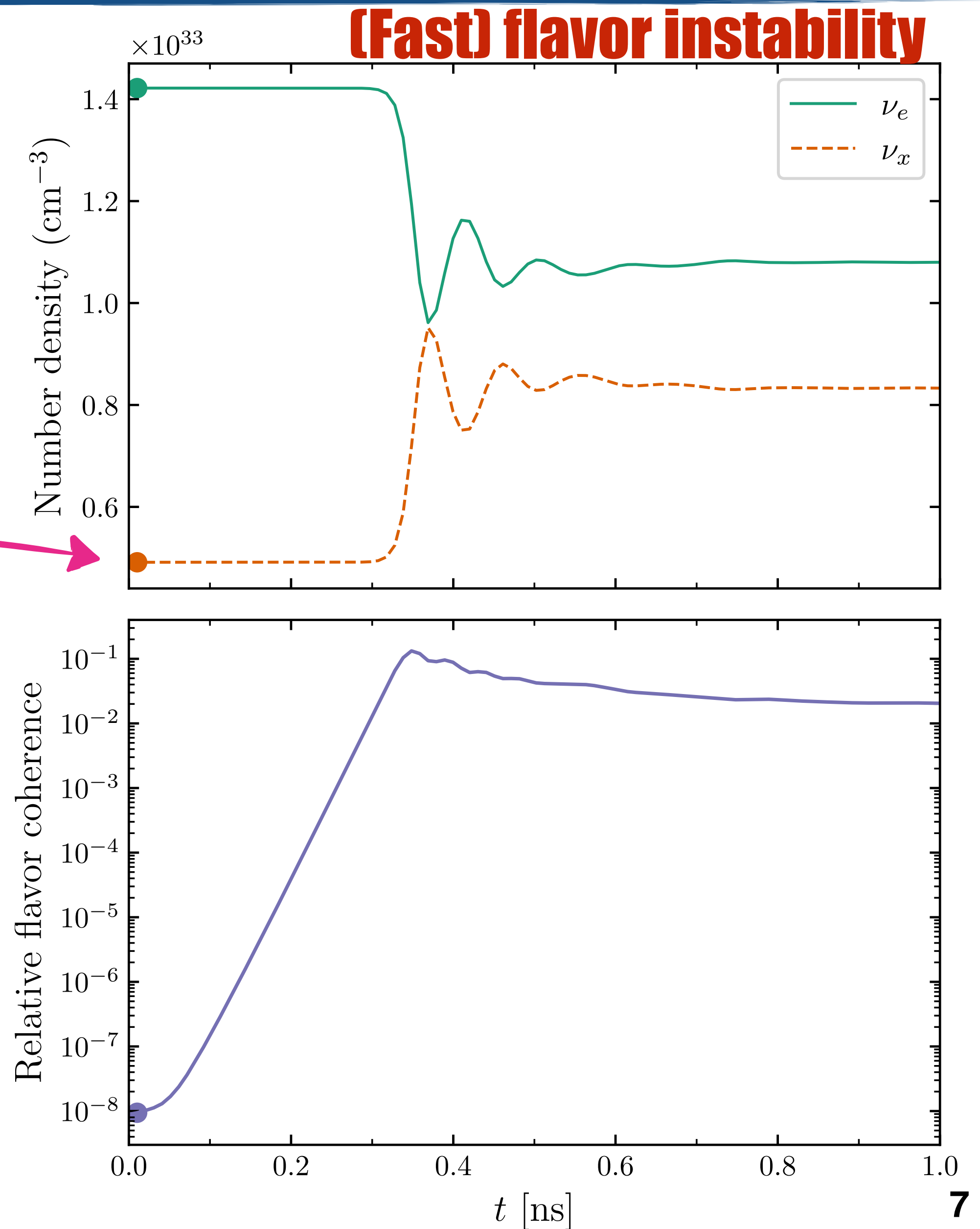
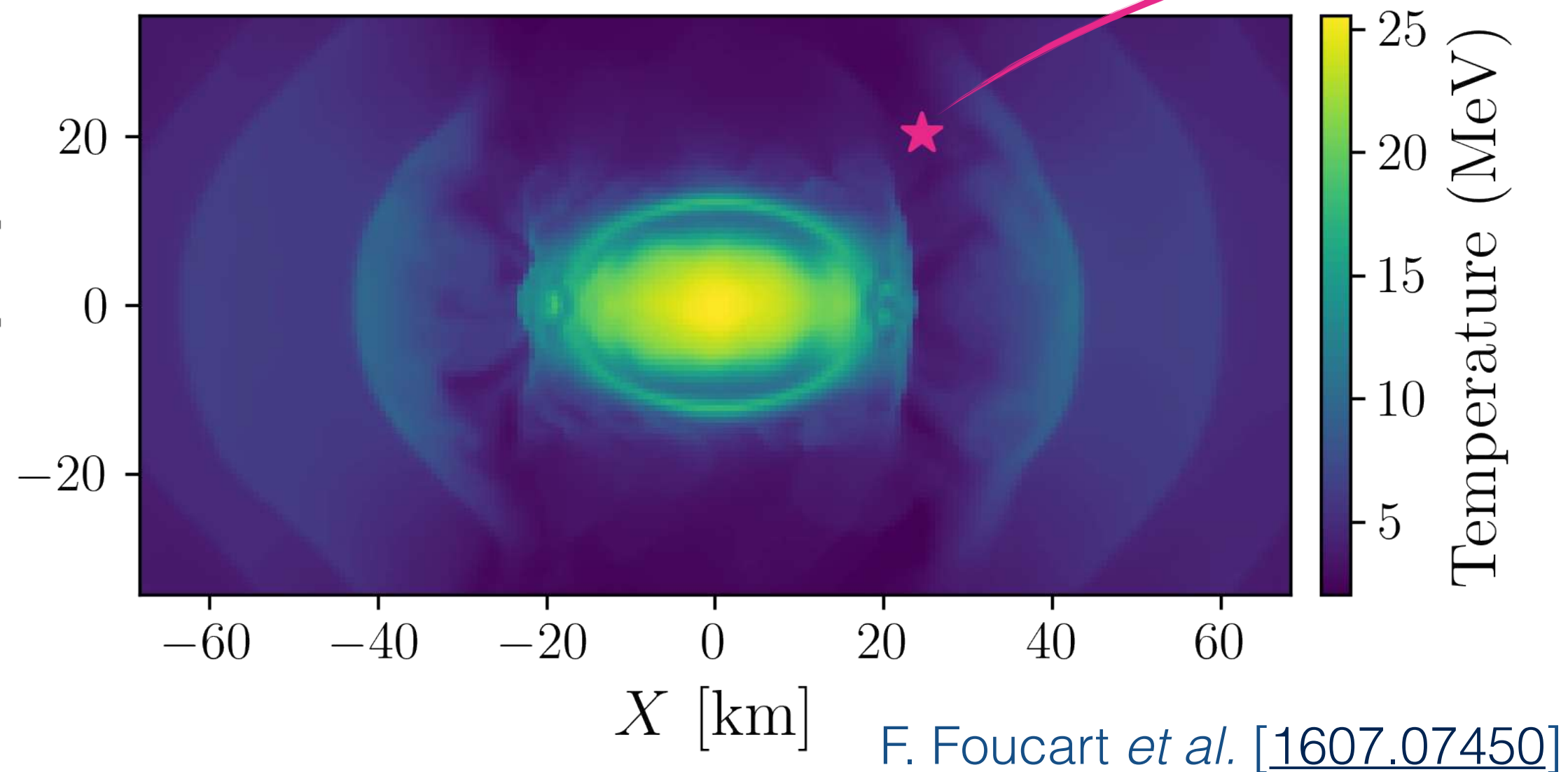
Merger of two  $1.2 M_{\odot}$  neutron stars



# Flavor instabilities in dense astrophysical environments

- We can check if classical simulations are self-consistent.
- Take the classical results, and solve the QKE.

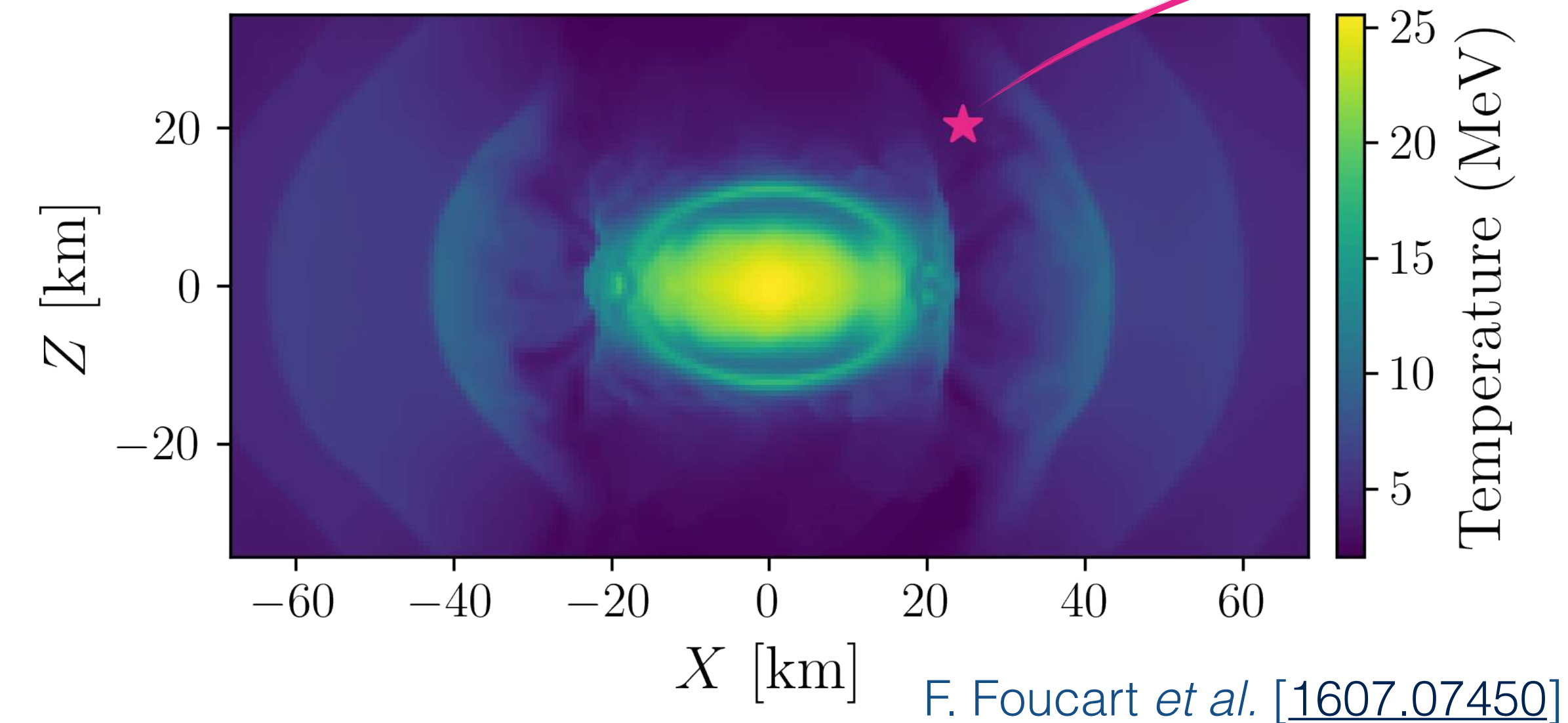
Merger of two  $1.2 M_{\odot}$  neutron stars



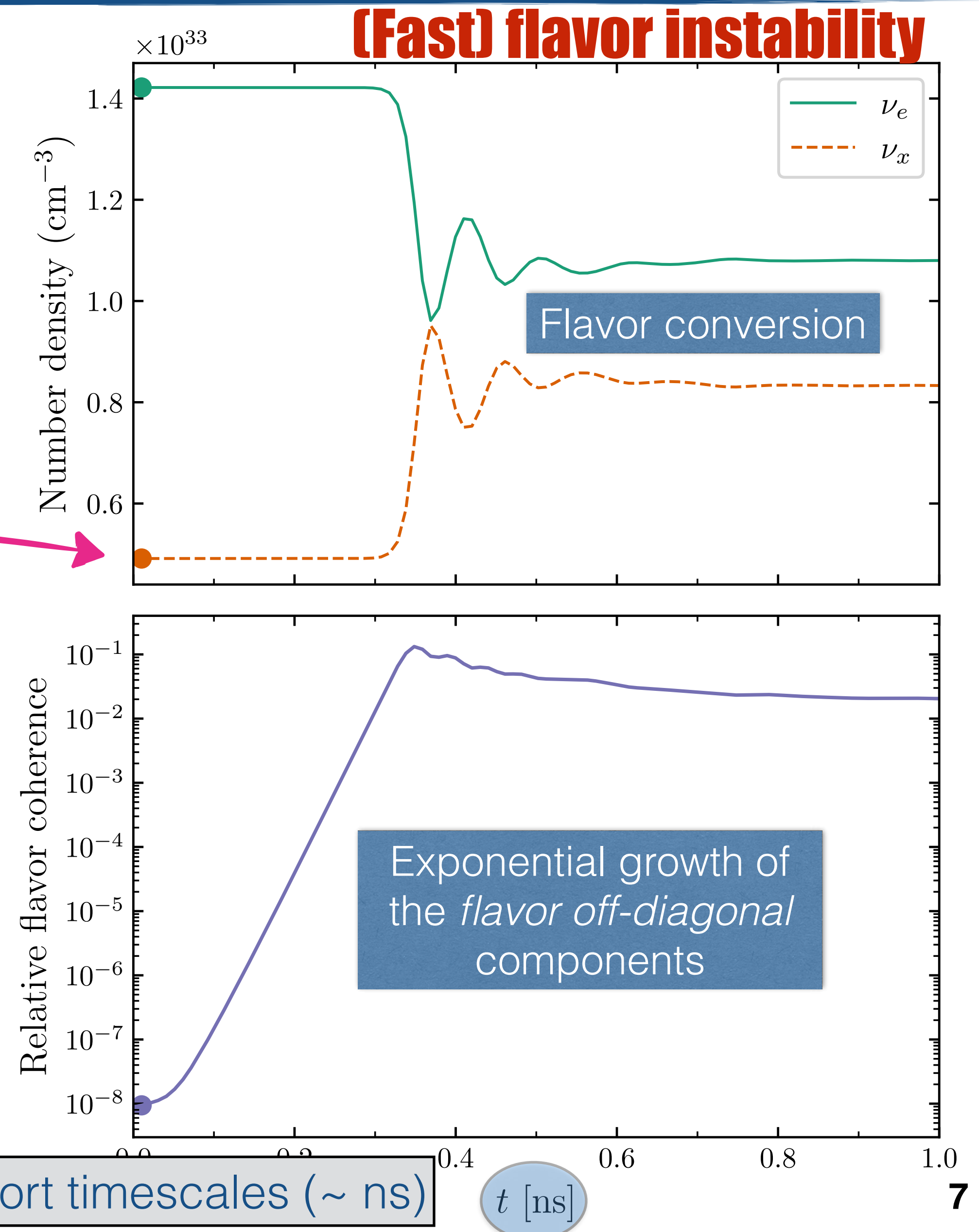
# Flavor instabilities in dense astrophysical environments

- We can check if classical simulations are self-consistent.
- Take the classical results, and solve the QKE.

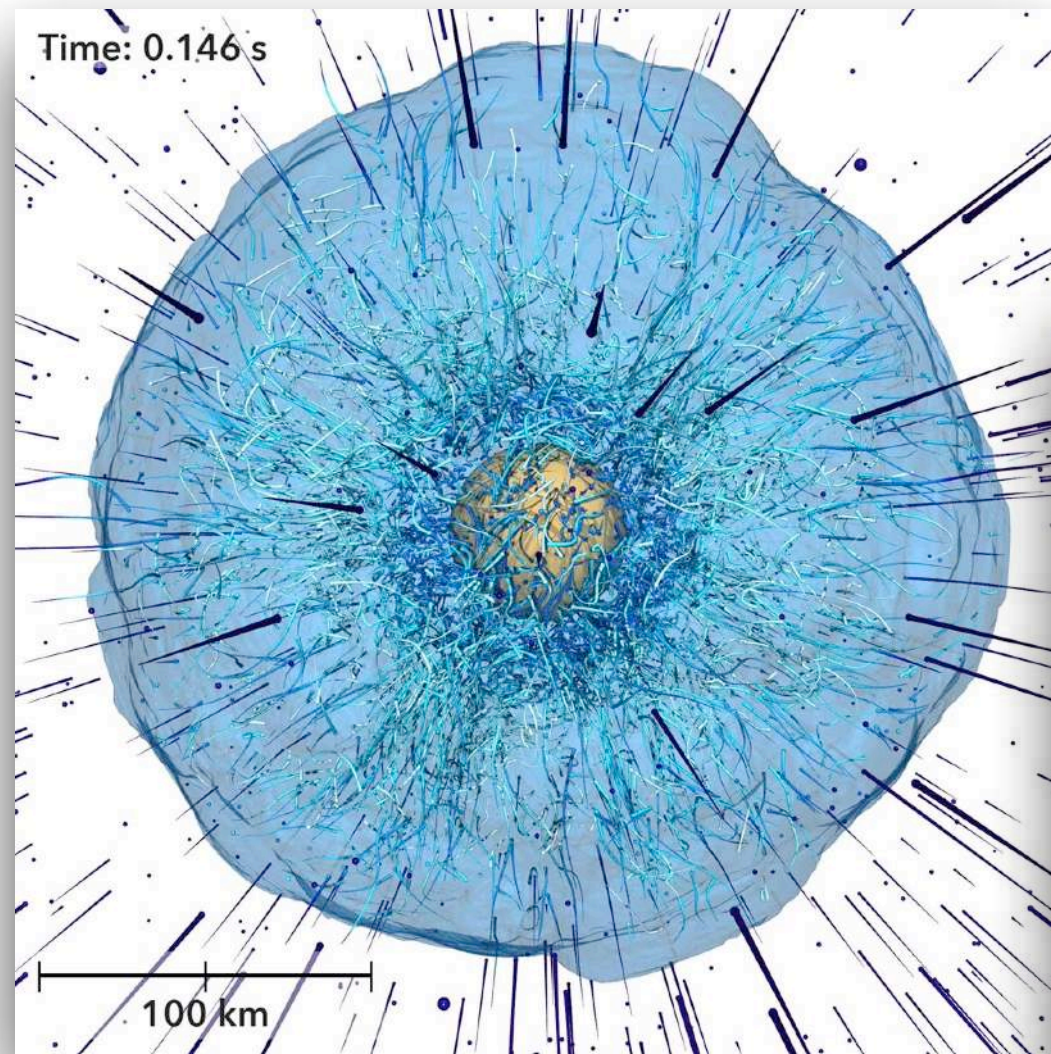
Merger of two  $1.2 M_{\odot}$  neutron stars



F. Foucart *et al.* [[1607.07450](#)]

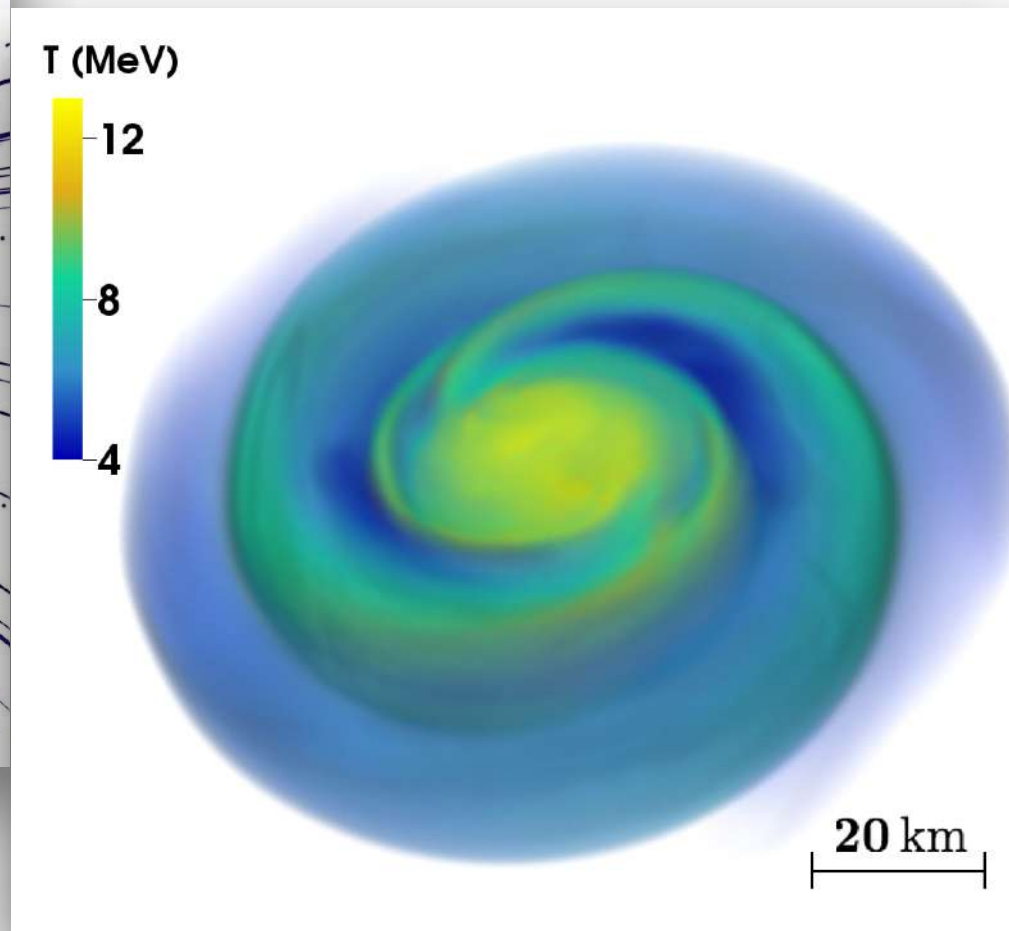


# How to include neutrino flavor conversion in large-scale simulations?



A. Burrows & D. Vartanyan,  
[2009.14157]

F. Foucart *et al.*, [1502.04146]



## 1 Direct simulation

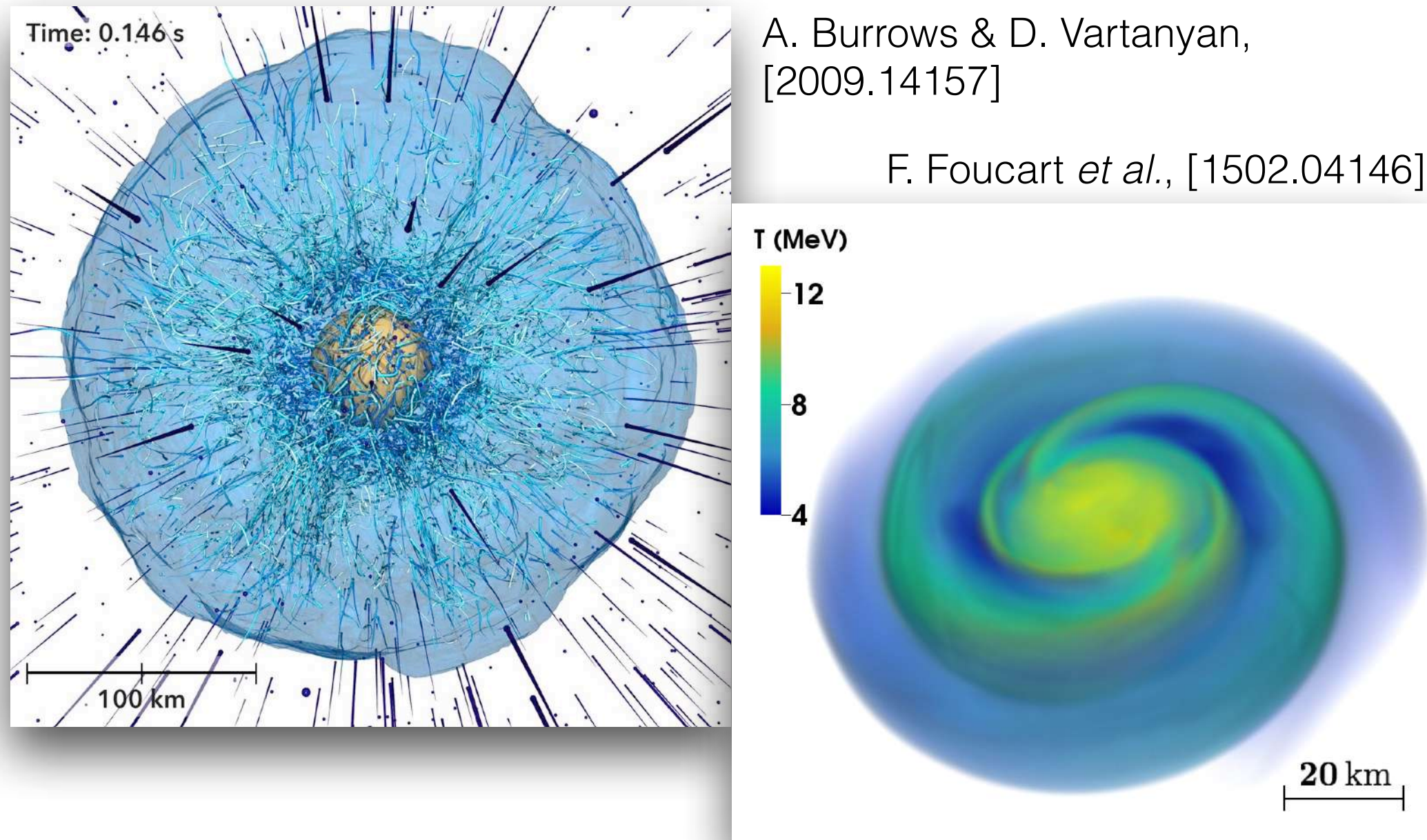
but hugely different scales!

$$t_{\mathcal{H}} \ll t_{\text{coll}}, t_{\text{adv}}$$
$$\sim 0.1 \text{ ns} \quad \sim \mu\text{s}$$

How to reduce the computational cost?

$$\left( \frac{\partial}{\partial t} + \vec{v} \cdot \vec{\nabla} \right) \varrho(\vec{x}, \vec{p}, t) = -i [\mathcal{H}, \varrho] + \mathcal{C}(\varrho, \bar{\varrho})$$

# How to include neutrino flavor conversion in large-scale simulations?



## 1 Direct simulation

but hugely different scales!

$$t_{\mathcal{H}} \ll t_{\text{coll}}, t_{\text{adv}}$$

$$\sim 0.1 \text{ ns} \quad \sim \mu\text{s}$$

How to reduce the computational cost?

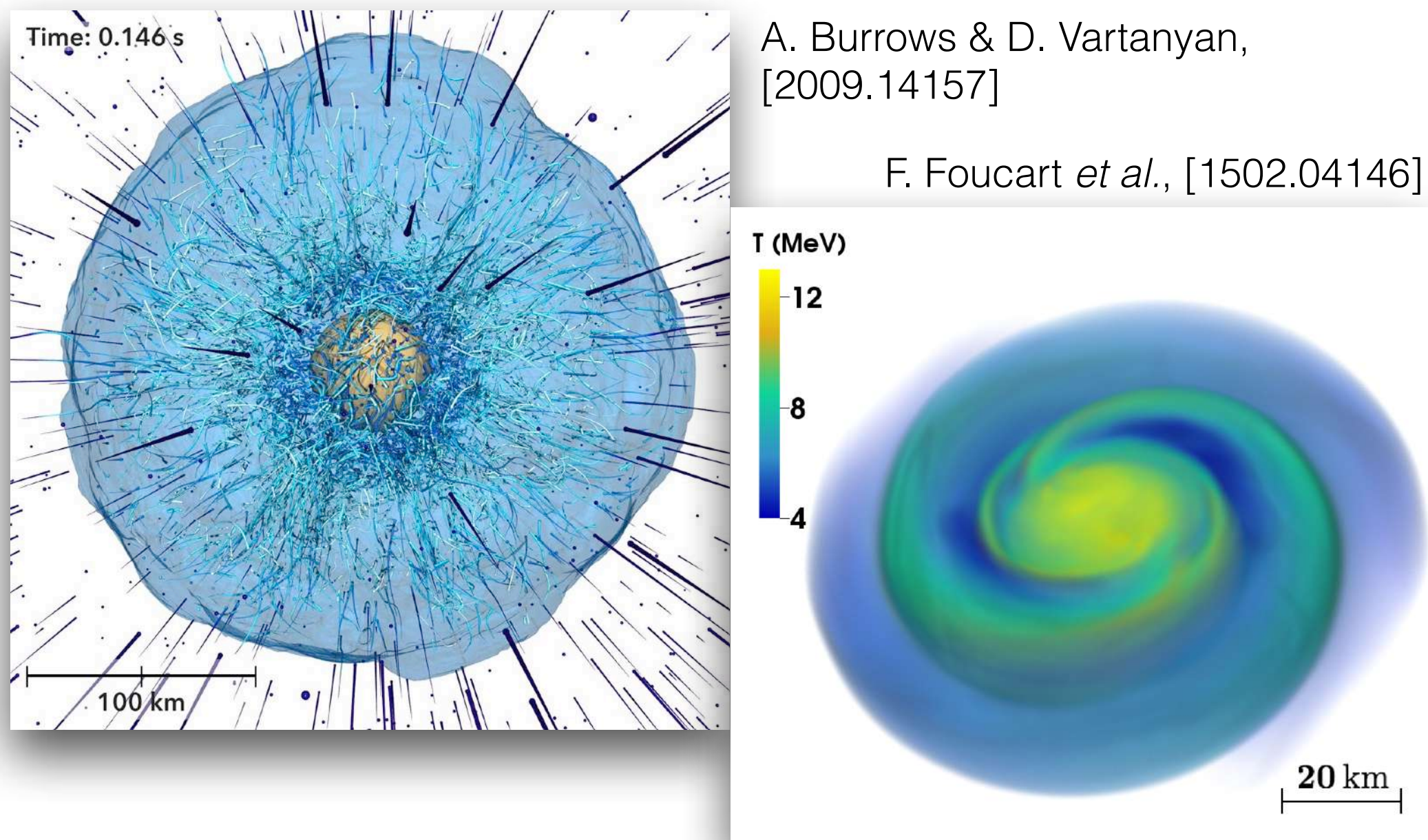
- **Attenuation** of the Hamiltonian (then extrapolation of results)

Nagakura+ [2206.04097, 2211.01398],  
 Xiong+ [2402.19252]...

$$\eta < 10^{-2}$$

$$\left( \frac{\partial}{\partial t} + \vec{v} \cdot \vec{\nabla} \right) \varrho(\vec{x}, \vec{p}, t) = -i [\eta \mathcal{H}, \varrho] + \mathcal{C}(\varrho, \bar{\varrho})$$

# How to include neutrino flavor conversion in large-scale simulations?



## 1 Direct simulation

but hugely different scales!

$$t_{\mathcal{H}} \ll t_{\text{coll}}, t_{\text{adv}}$$

$$\sim 0.1 \text{ ns} \quad \sim \mu\text{s}$$

How to reduce the computational cost?

- **Attenuation** of the Hamiltonian (then extrapolation of results)

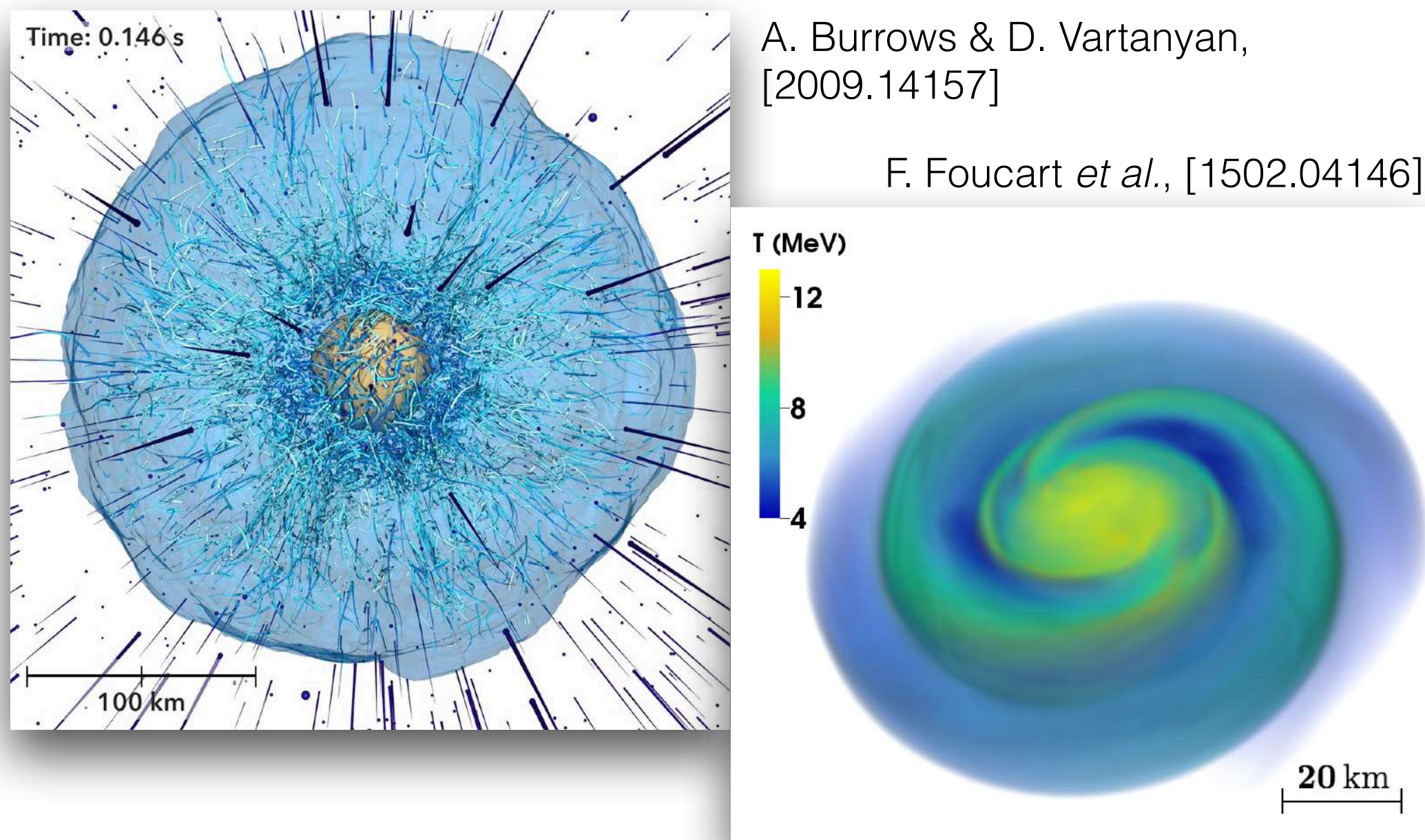
Nagakura+ [[2206.04097](#), [2211.01398](#)],  
Xiong+ [[2402.19252](#)]...

$$\eta < 10^{-2}$$

$$\left( \frac{\partial}{\partial t} + \vec{v} \cdot \vec{\nabla} \right) \varrho(\vec{x}, \vec{p}, t) = -i [\mathcal{H}, \varrho] + \mathcal{C}(\varrho, \bar{\varrho})$$

**7-dimensional problem**

# How to include neutrino flavor conversion in large-scale simulations?



## 1 Direct simulation

but hugely different scales!

$$t_{\mathcal{H}} \ll t_{\text{coll}}, t_{\text{adv}}$$

$$\sim 0.1 \text{ ns} \quad \sim \mu\text{s}$$

How to reduce the computational cost?

- **Attenuation** of the Hamiltonian (then extrapolation of results)

Nagakura+ [2206.04097, 2211.01398],  
 Xiong+ [2402.19252]...

$$\eta < 10^{-2}$$

- Angular moment approaches

Grohs+ [2207.02214, 2309.00972, 2501.05740],  
 Froustey+ [2311.11968, 2409.05807],  
 Kneller+ [2410.00719]

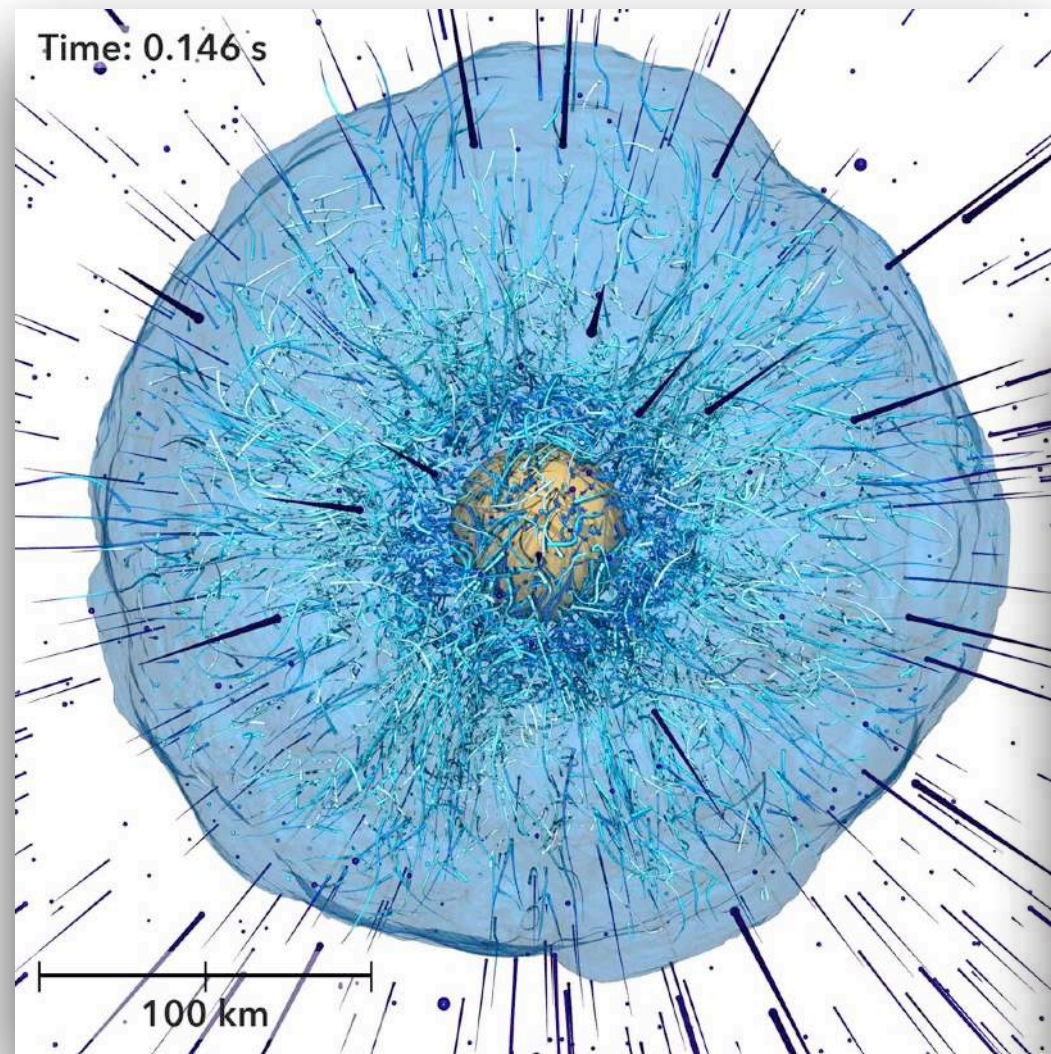
$$\left( \frac{\partial}{\partial t} + \vec{v} \cdot \vec{\nabla} \right) \varrho(\vec{x}, \vec{p}, t) = -i [\mathcal{H}, \varrho] + \mathcal{C}(\varrho, \bar{\varrho})$$

### 7-dimensional problem

$$\int d\Omega_{\vec{p}} \dots, \quad \int d\Omega_{\vec{p}} \frac{\vec{p}}{|\vec{p}|} \dots$$

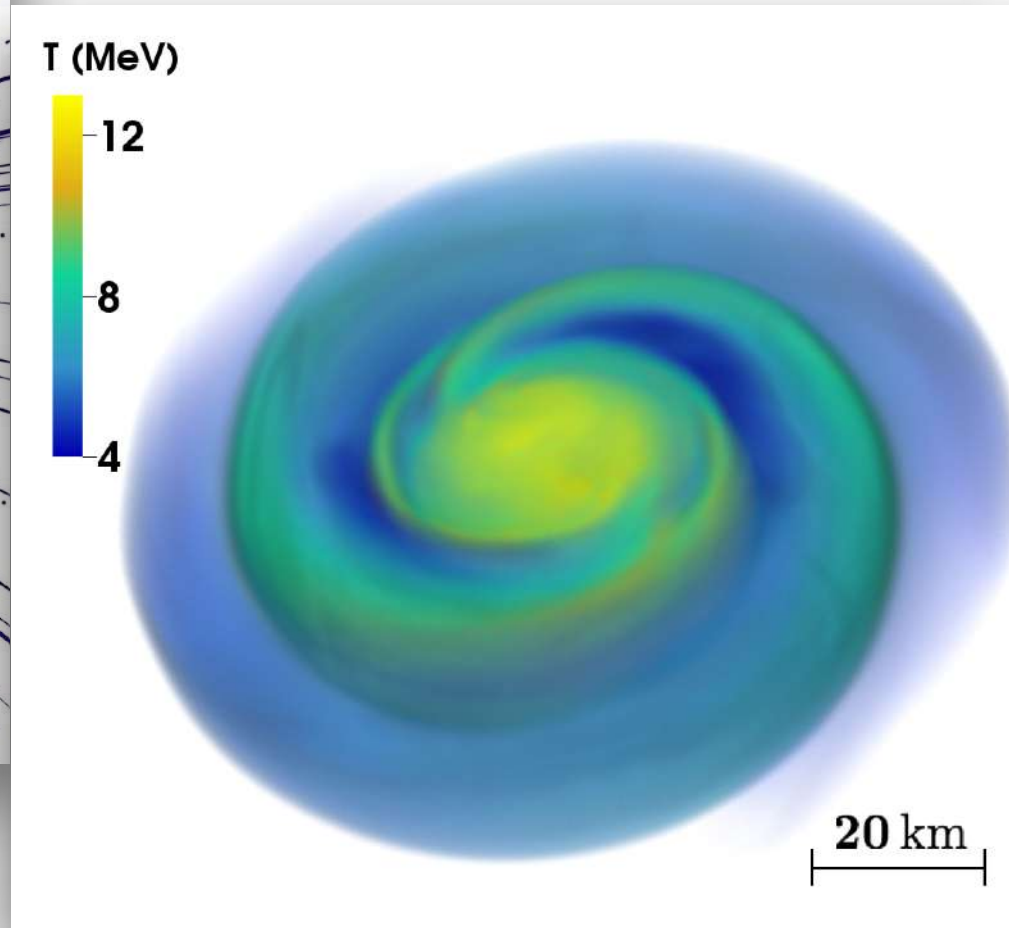
$\varrho \longrightarrow$  Angular moments

# How to include neutrino flavor conversion in large-scale simulations?



A. Burrows & D. Vartanyan,  
[2009.14157]

F. Foucart *et al.*, [1502.04146]



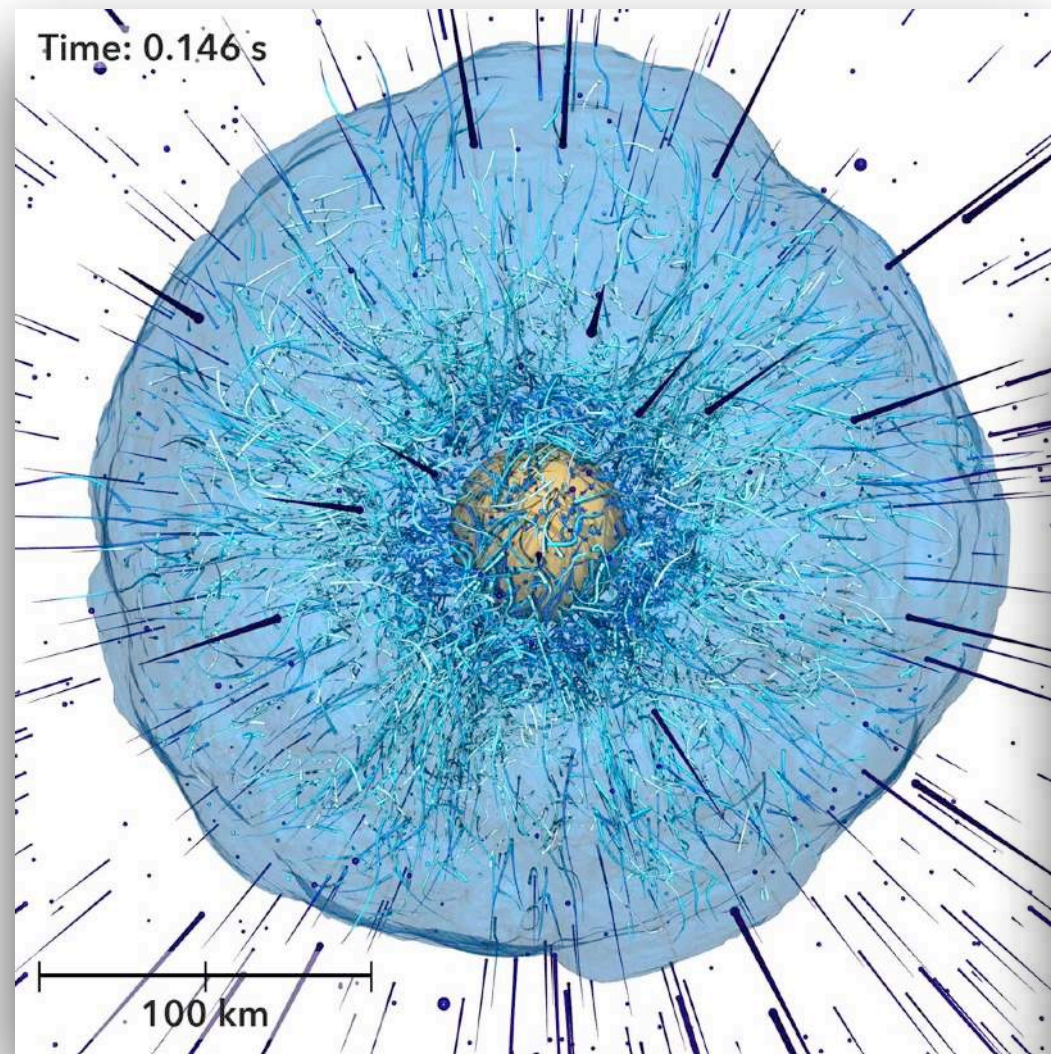
## 1 Direct simulation

but hugely different scales!

→ Attenuation of the Hamiltonian, moment methods...

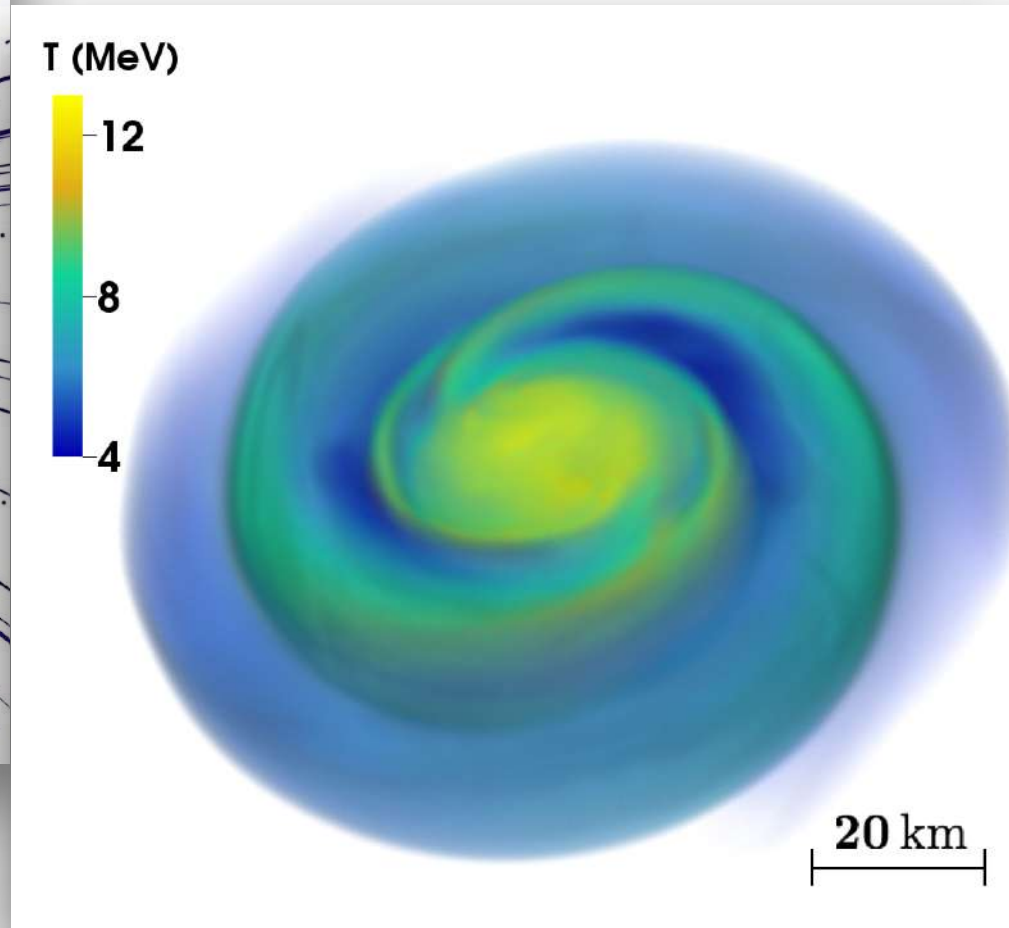
$$\left( \frac{\partial}{\partial t} + \vec{v} \cdot \vec{\nabla} \right) \varrho(\vec{x}, \vec{p}, t) = -i [\mathcal{H}, \varrho] + \mathcal{C}(\varrho, \bar{\varrho})$$

# How to include neutrino flavor conversion in large-scale simulations?



A. Burrows & D. Vartanyan,  
[2009.14157]

F. Foucart *et al.*, [1502.04146]



## 1 Direct simulation

but hugely different scales!

→ Attenuation of the Hamiltonian, moment methods...

## 2 Phenomenological models

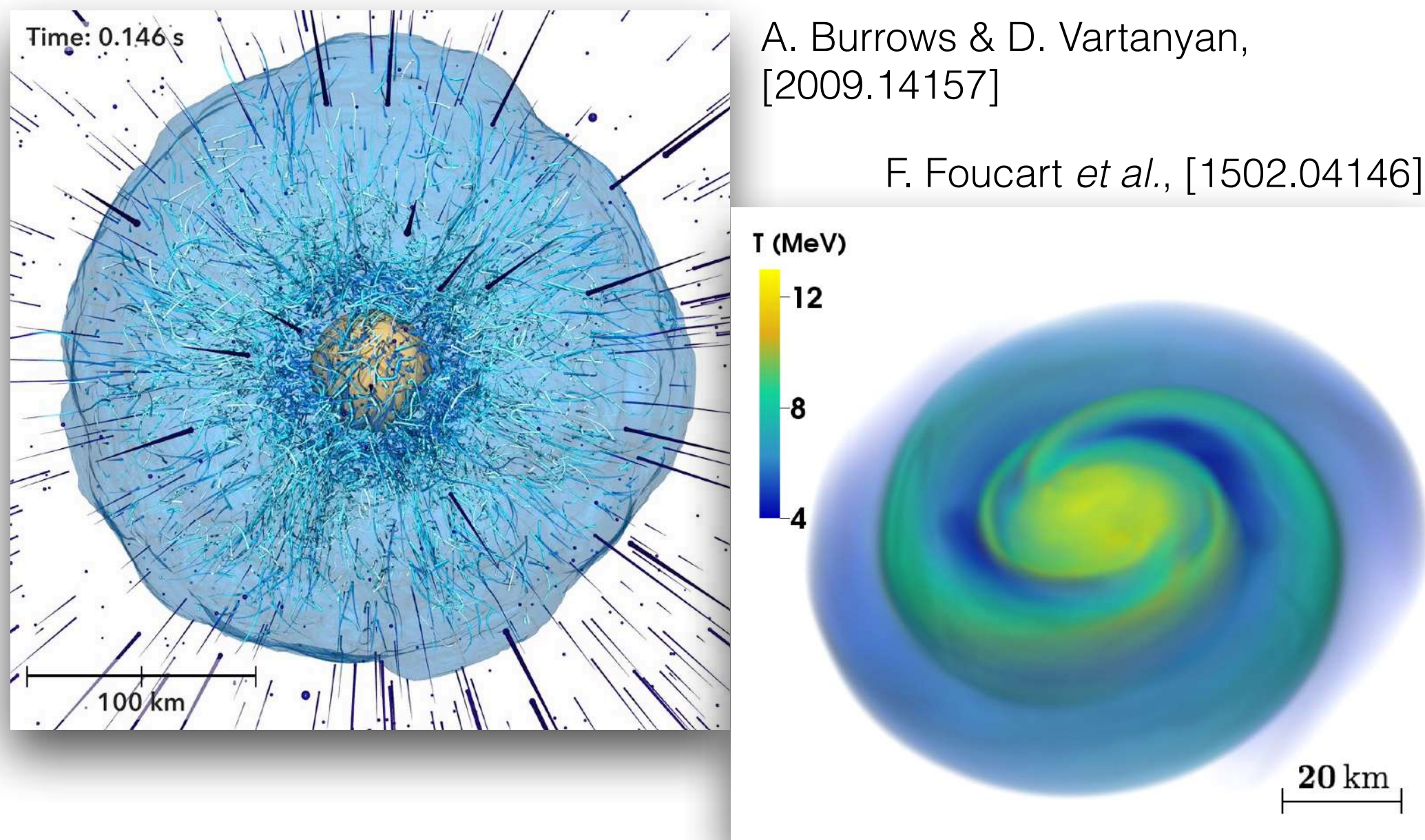
e.g., full flavor equilibration in some regions

Li & Siegel [2103.02616], Just+ [2203.16559]...

Included in some supernova models (Ehring+ [2301.11938, 2305.11207], Mori+ [2501.15256] → impact on explosion), and neutron star merger simulations (Qiu+ [2503.11758]).

$$\left( \frac{\partial}{\partial t} + \vec{v} \cdot \vec{\nabla} \right) \varrho(\vec{x}, \vec{p}, t) = -i [\mathcal{H}, \varrho] + \mathcal{C}(\varrho, \bar{\varrho})$$

# How to include neutrino flavor conversion in large-scale simulations?



## 1 Direct simulation

but hugely different scales!

→ Attenuation of the Hamiltonian, moment methods...

## 2 Phenomenological models

e.g., full flavor equilibration in some regions

Li & Siegel [2103.02616], Just+ [2203.16559]...

Included in some supernova models (Ehring+ [2301.11938, 2305.11207], Mori+ [2501.15256] → impact on explosion), and neutron star merger simulations (Qiu+ [2503.11758]).

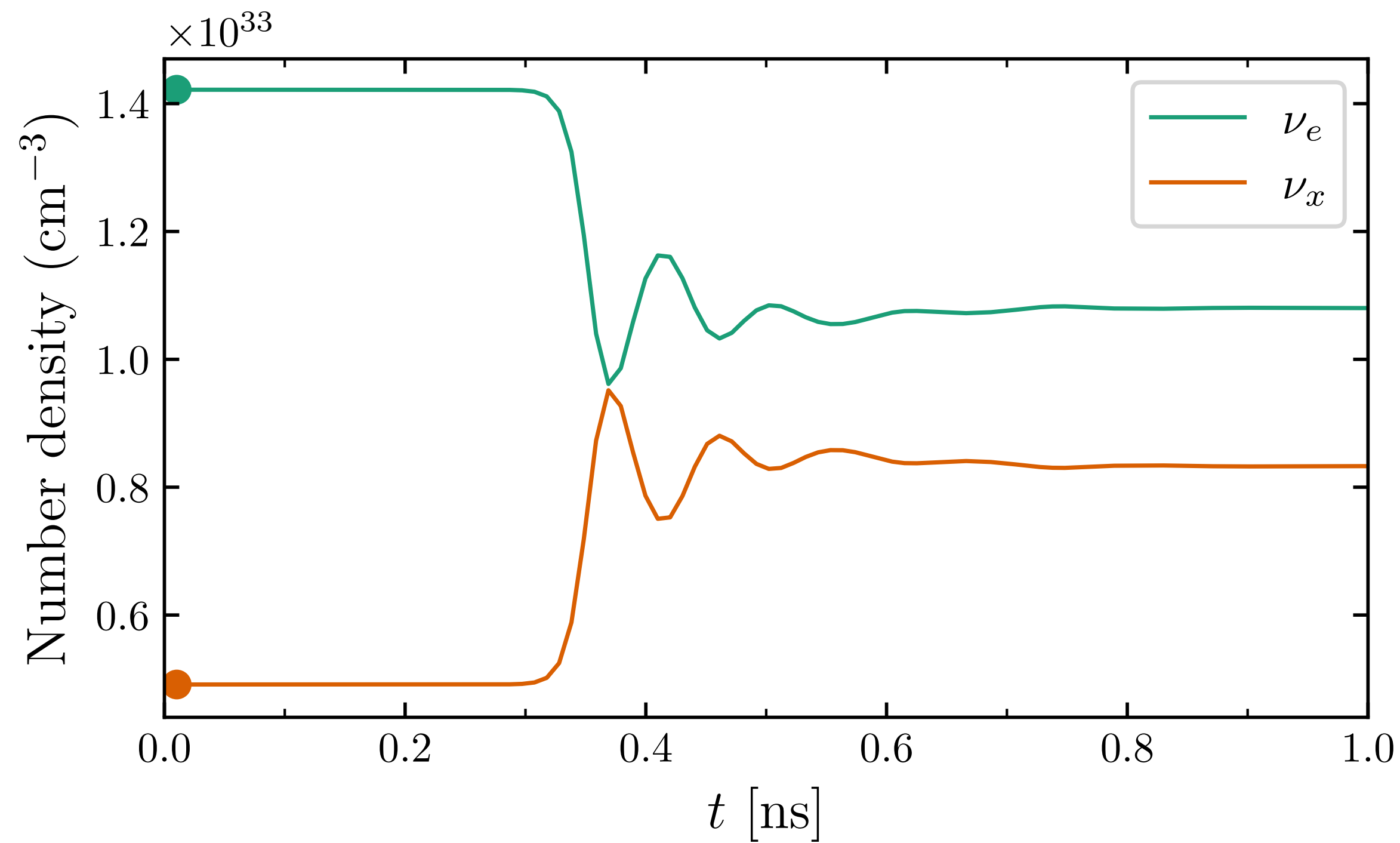
## 3 Subgrid models

$$\left( \frac{\partial}{\partial t} + \vec{v} \cdot \vec{\nabla} \right) \varrho(\vec{x}, \vec{p}, t) = -i [\mathcal{H}, \varrho] + \mathcal{C}(\varrho, \bar{\varrho})$$

# Subgrid models

- BGK approach:

H. Nagakura *et al.*, [[2312.16285](#)]

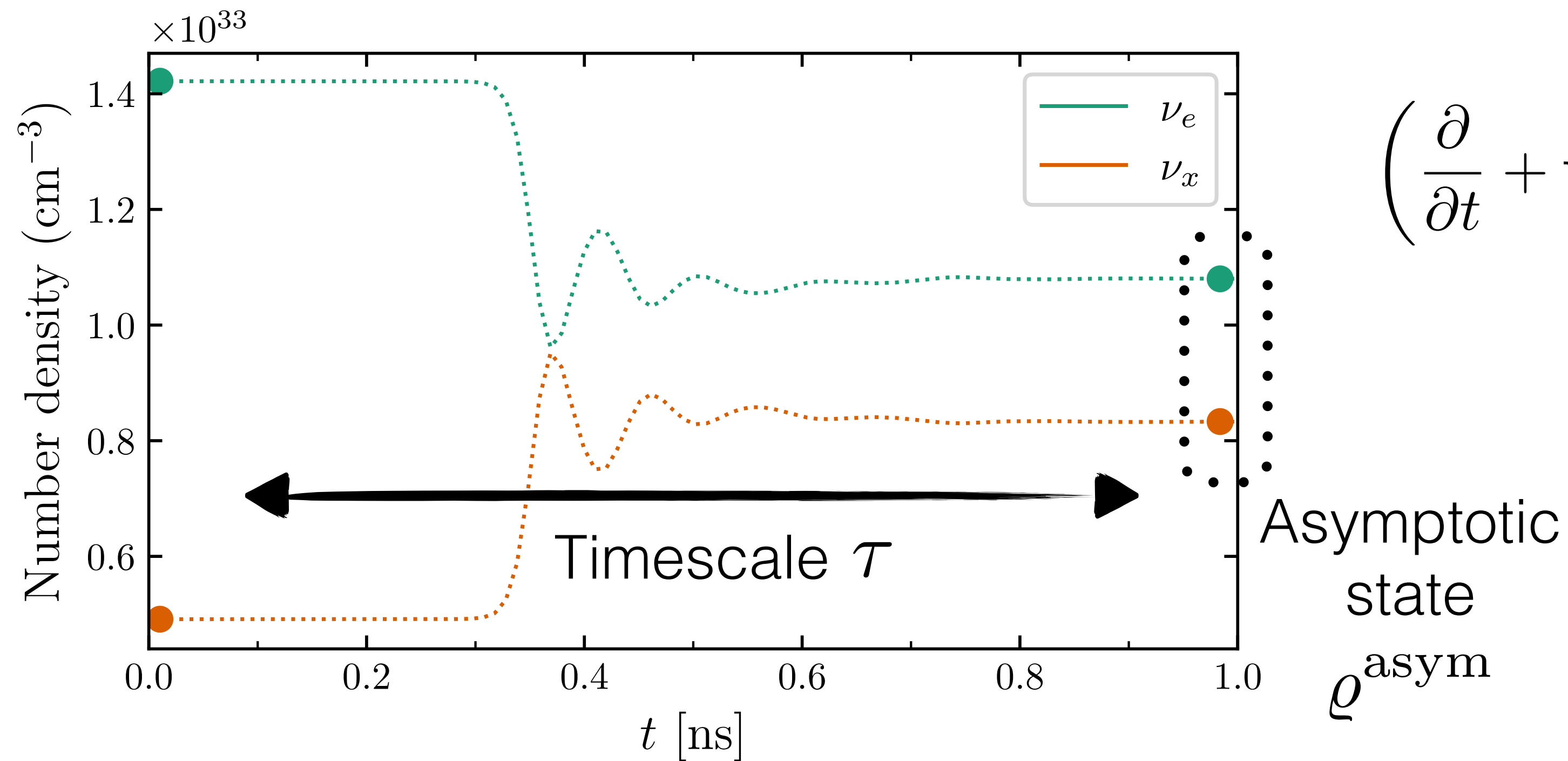


$$\left( \frac{\partial}{\partial t} + \mathbf{v} \cdot \nabla \right) \varrho(t, \mathbf{x}, \mathbf{p}) = -i [\mathcal{H}, \varrho(t, \mathbf{x}, \mathbf{p})]$$

# Subgrid models

- BGK approach:

H. Nagakura *et al.*, [[2312.16285](#)]

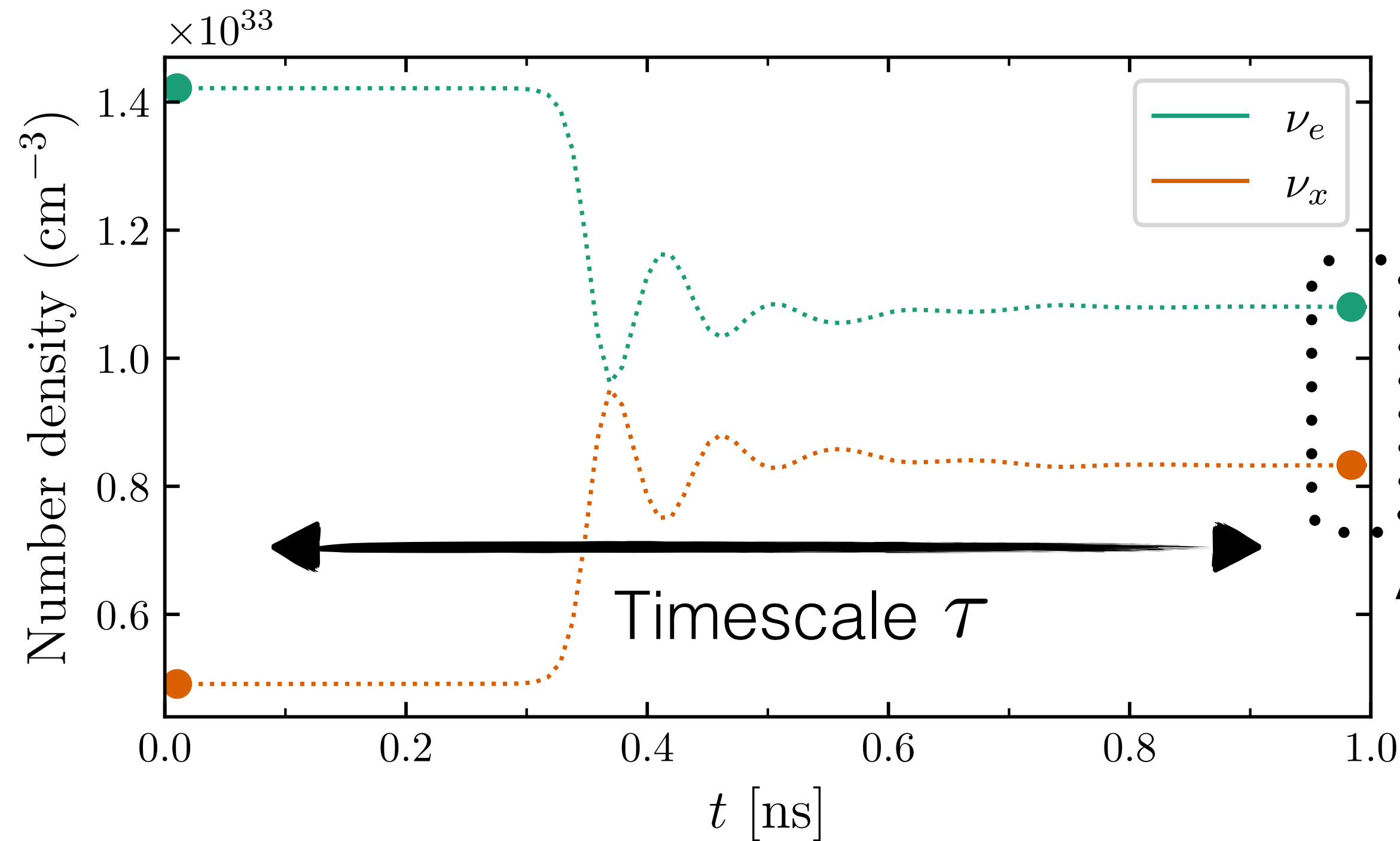


$$\left( \frac{\partial}{\partial t} + \mathbf{v} \cdot \nabla \right) \varrho(t, \mathbf{x}, \mathbf{p}) = -i [\mathcal{H}, \varrho(t, \mathbf{x}, \mathbf{p})]$$

# Subgrid models

- BGK approach:

H. Nagakura *et al.*, [2312.16285]



$$\left( \frac{\partial}{\partial t} + \mathbf{v} \cdot \nabla \right) \varrho(t, \mathbf{x}, \mathbf{p}) = -i [\mathcal{H}, \varrho(t, \mathbf{x}, \mathbf{p})]$$

$$-\frac{1}{\tau} (\varrho - \varrho^{\text{asym}})$$

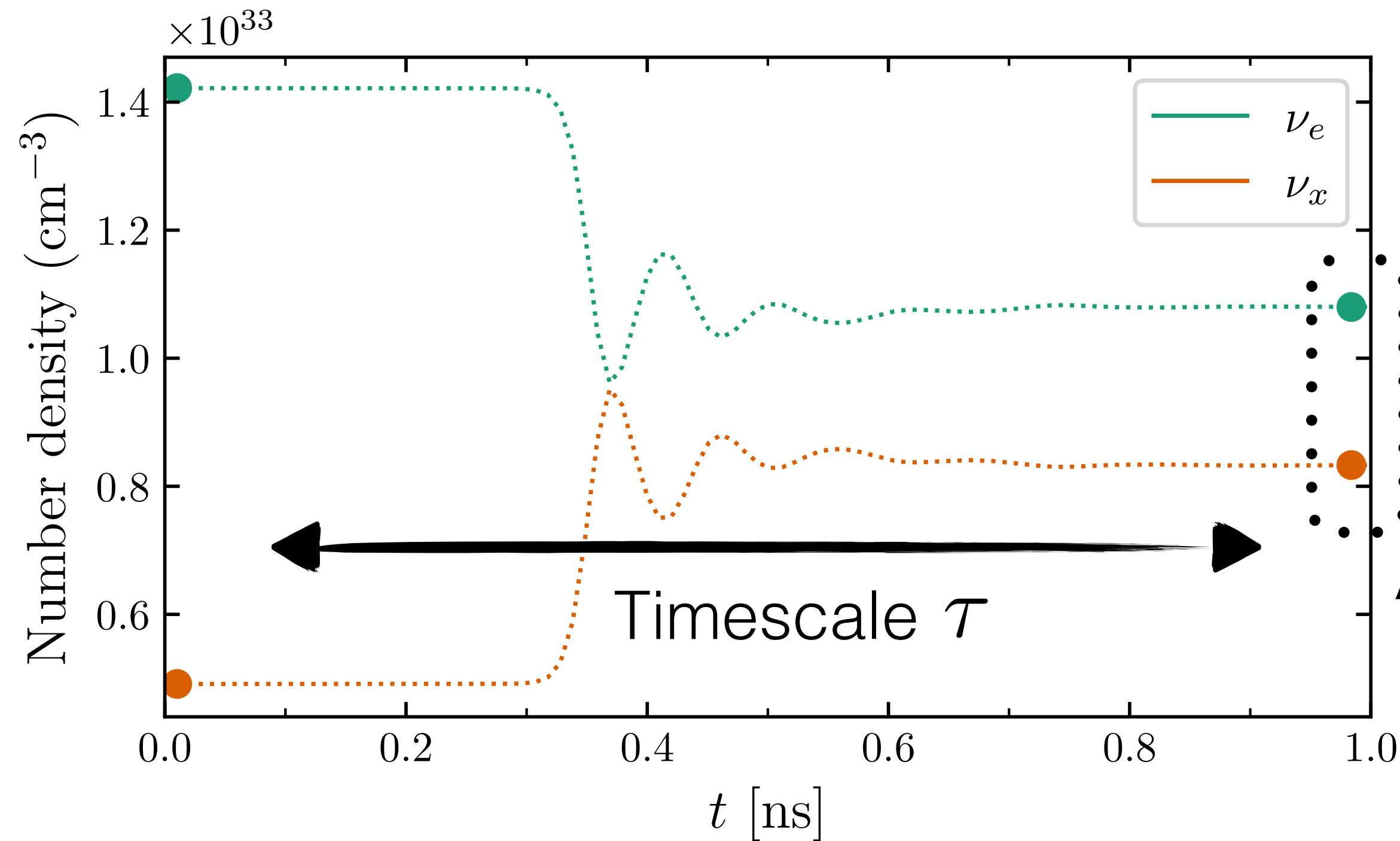
- Timescale: *linear stability analysis* (Banerjee *et al.* [1107.2308], Izaguirre *et al.* [1610.01612], Froustey *et al.*, [2311.11968], Fiorillo and Raffelt [2505.20389], and many many others)

- **Asymptotic state?**

# Subgrid models

- BGK approach:

H. Nagakura *et al.*, [2312.16285]



$$\left( \frac{\partial}{\partial t} + \mathbf{v} \cdot \nabla \right) \varrho(t, \mathbf{x}, \mathbf{p}) = -i [\mathcal{H}, \varrho(t, \mathbf{x}, \mathbf{p})]$$

$$-\frac{1}{\tau} (\varrho - \varrho^{\text{asym}})$$

- Timescale: *linear stability analysis* (Banerjee *et al.* [1107.2308], Izaguirre *et al.* [1610.01612], Froustey *et al.*, [2311.11968], Fiorillo and Raffelt [2505.20389], and many many others)

- **Asymptotic state?**

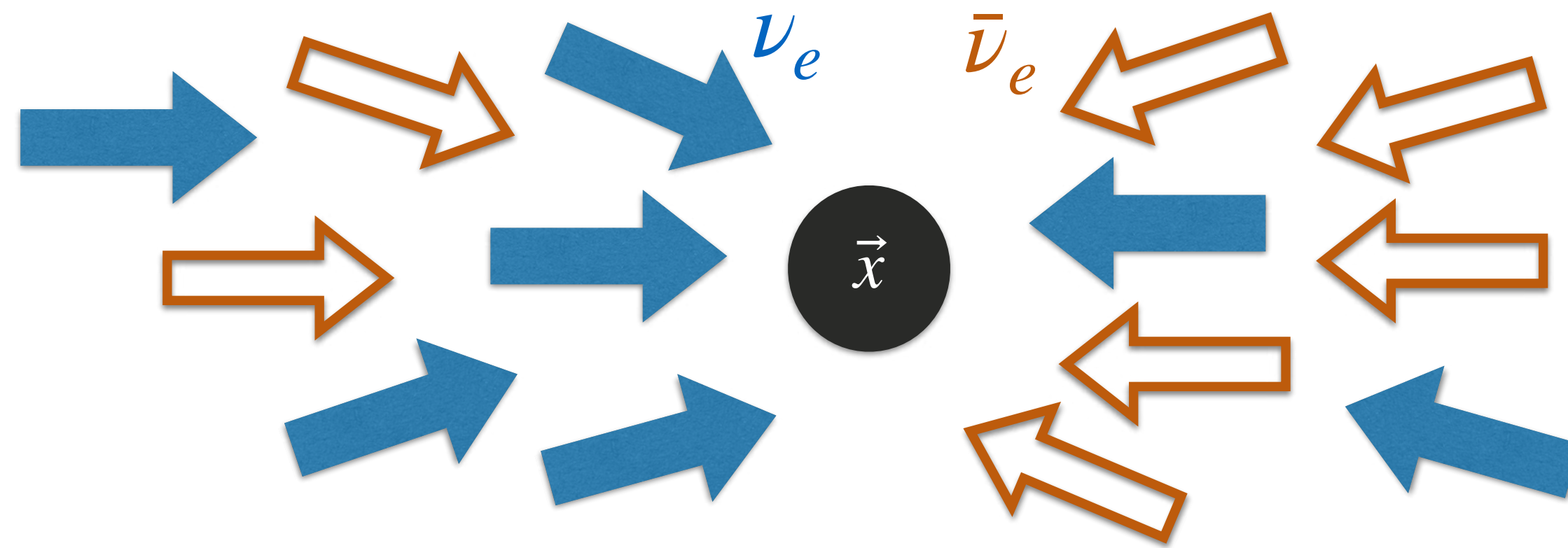
**Method:** local (cm-scale) high-resolution QKE calculations with periodic boundary conditions

# Some flavor instabilities

- **Fast flavor instability (FFI)**

*R. Sawyer*, [[hep-ph/0503013](https://arxiv.org/abs/hep-ph/0503013)]

related to an **angular crossing** between neutrino and antineutrino distributions



$$f_{\nu_e}(\vec{x}, p, \theta_1) - f_{\bar{\nu}_e}(\vec{x}, p, \theta_1) > 0$$

and

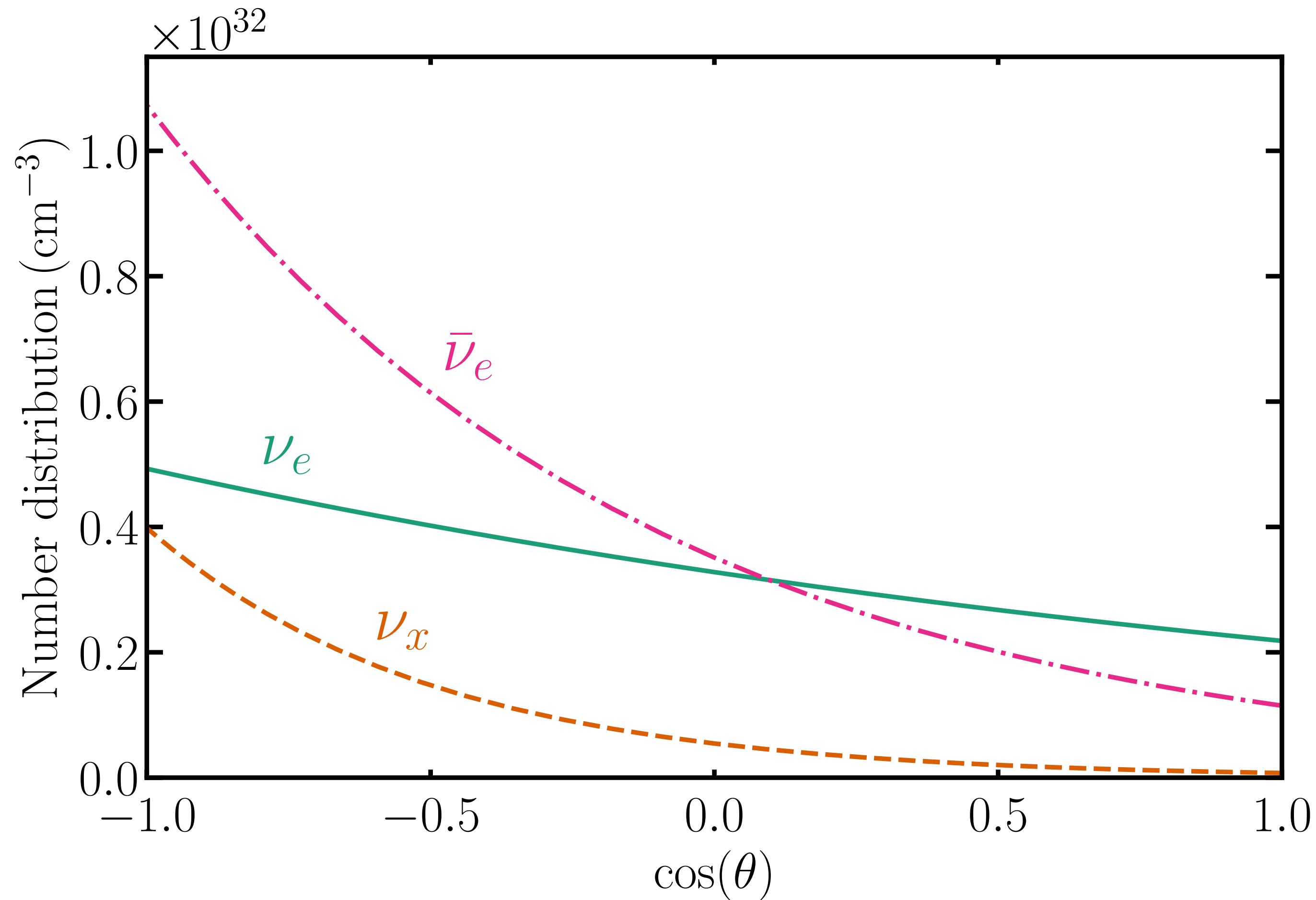
$$f_{\nu_e}(\vec{x}, p, \theta_2) - f_{\bar{\nu}_e}(\vec{x}, p, \theta_2) < 0$$

- **Collisional flavor instability (CFI)**

*L. Johns*, [[2104.11369](https://arxiv.org/abs/2104.11369)]

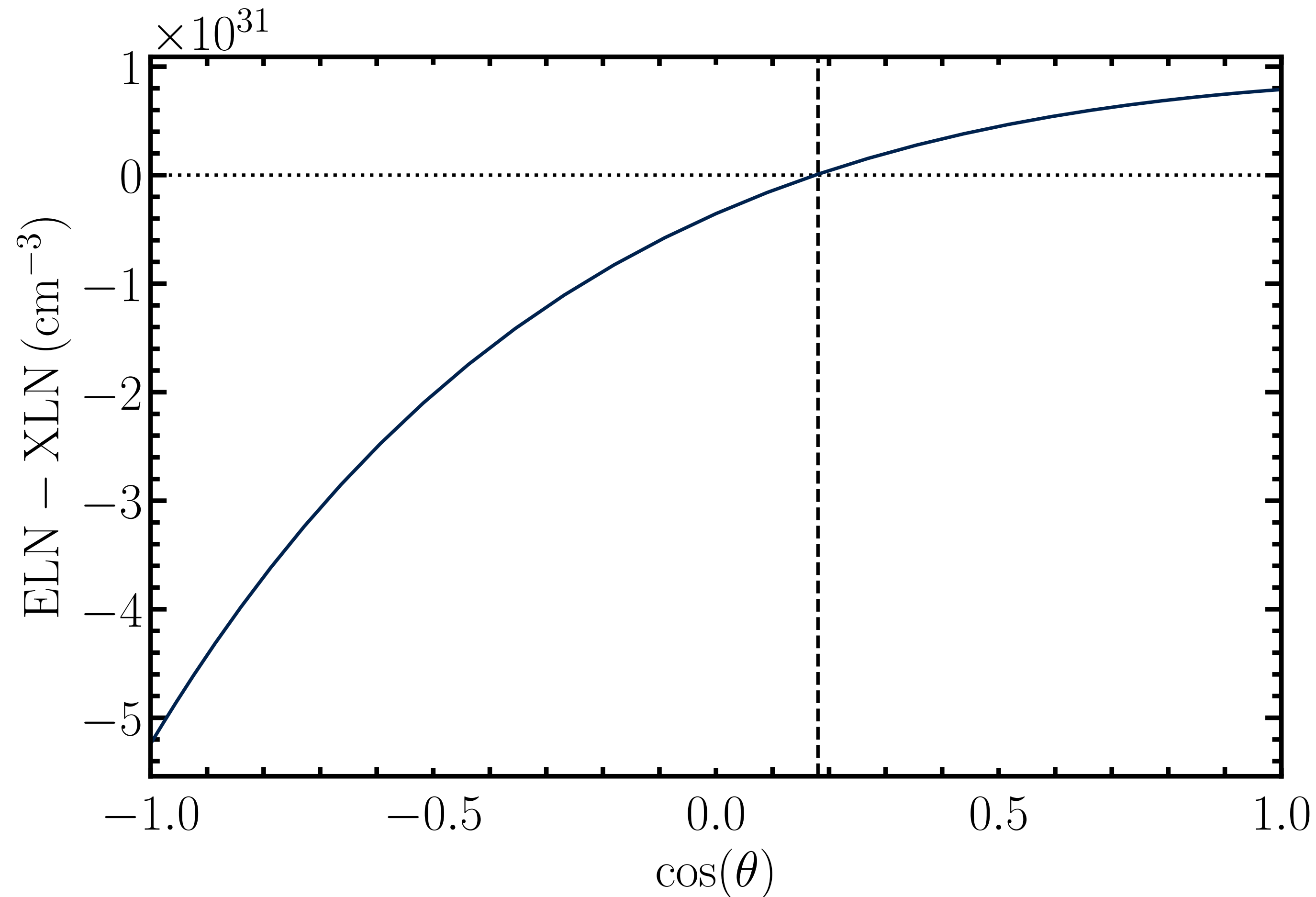
related to **different collision rates** between neutrinos and antineutrinos

# Asymptotic state of FFI — Axisymmetric case



Data: *E. Urquilla* with the  
particle-in-cell code **Emu**  
*S. Richers et al.*, [[2101.02745](#)]

# Asymptotic state of FFI — Axisymmetric case



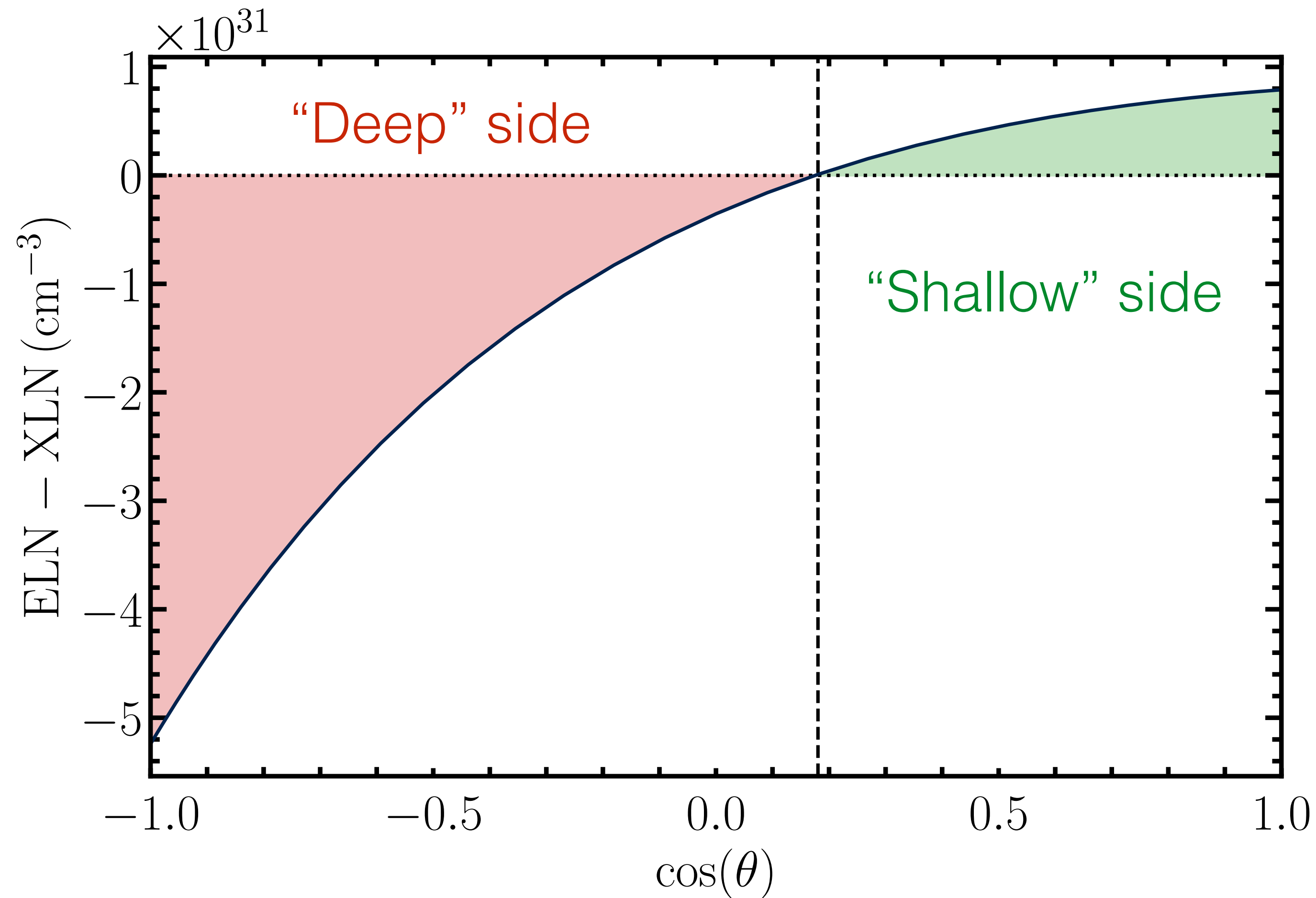
Data: *E. Urquilla* with the  
particle-in-cell code **Emu**  
*S. Richers et al.*, [[2101.02745](#)]

$$ELN = \rho_{ee}(\theta) - \bar{\rho}_{ee}(\theta)$$

$$XLN = \rho_{xx}(\theta) - \bar{\rho}_{xx}(\theta)$$

Instability  $\iff$  Crossing in  $ELN - XLN$

# Asymptotic state of FFI — Axisymmetric case



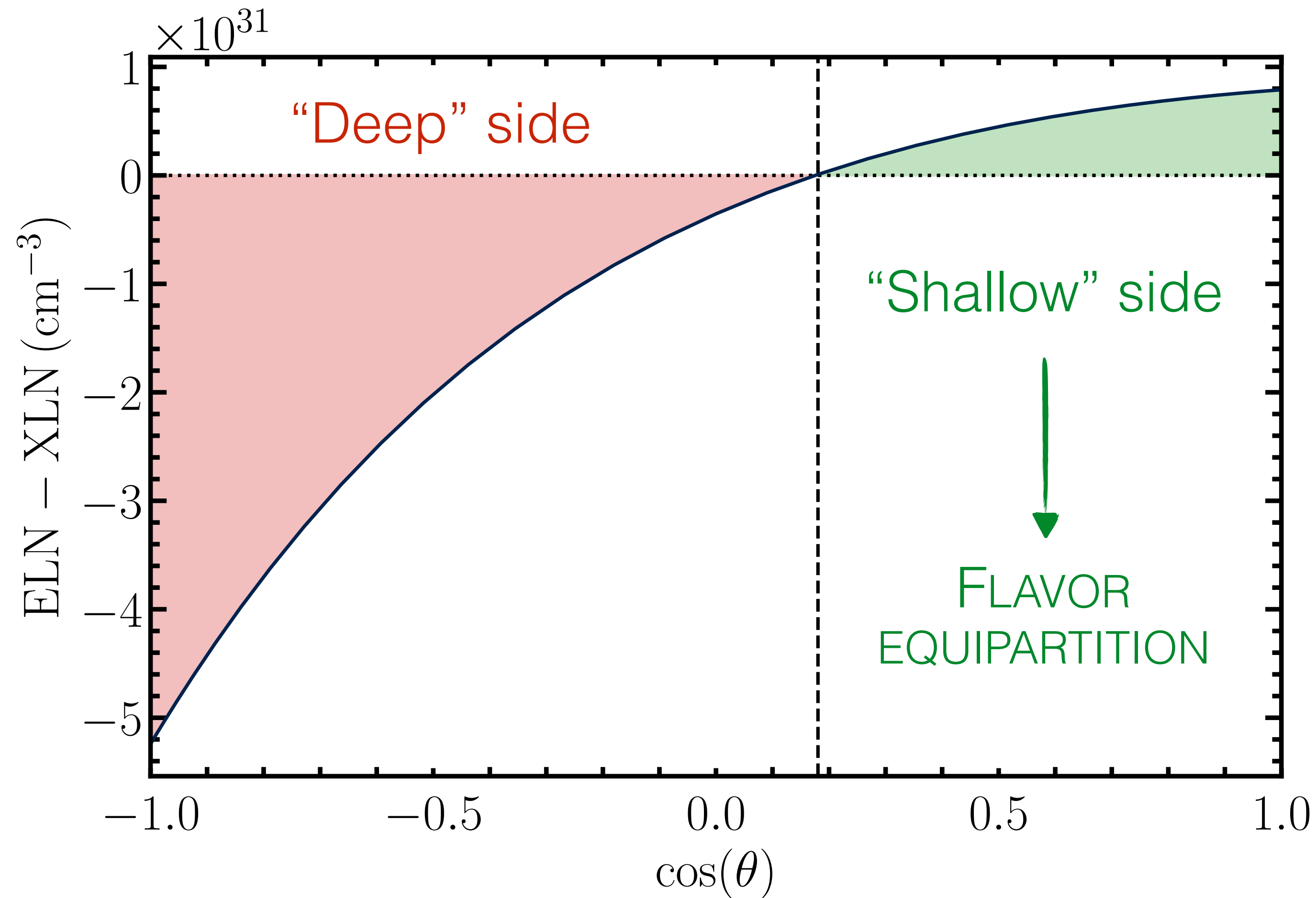
Data: *E. Urquilla* with the  
particle-in-cell code **Emu**  
*S. Richers et al.*, [[2101.02745](#)]

$$\text{ELN} = \rho_{ee}(\theta) - \bar{\rho}_{ee}(\theta)$$

$$\text{XLN} = \rho_{xx}(\theta) - \bar{\rho}_{xx}(\theta)$$

Instability  $\iff$  Crossing in ELN - XLN

# Asymptotic state of FFI — Axisymmetric case



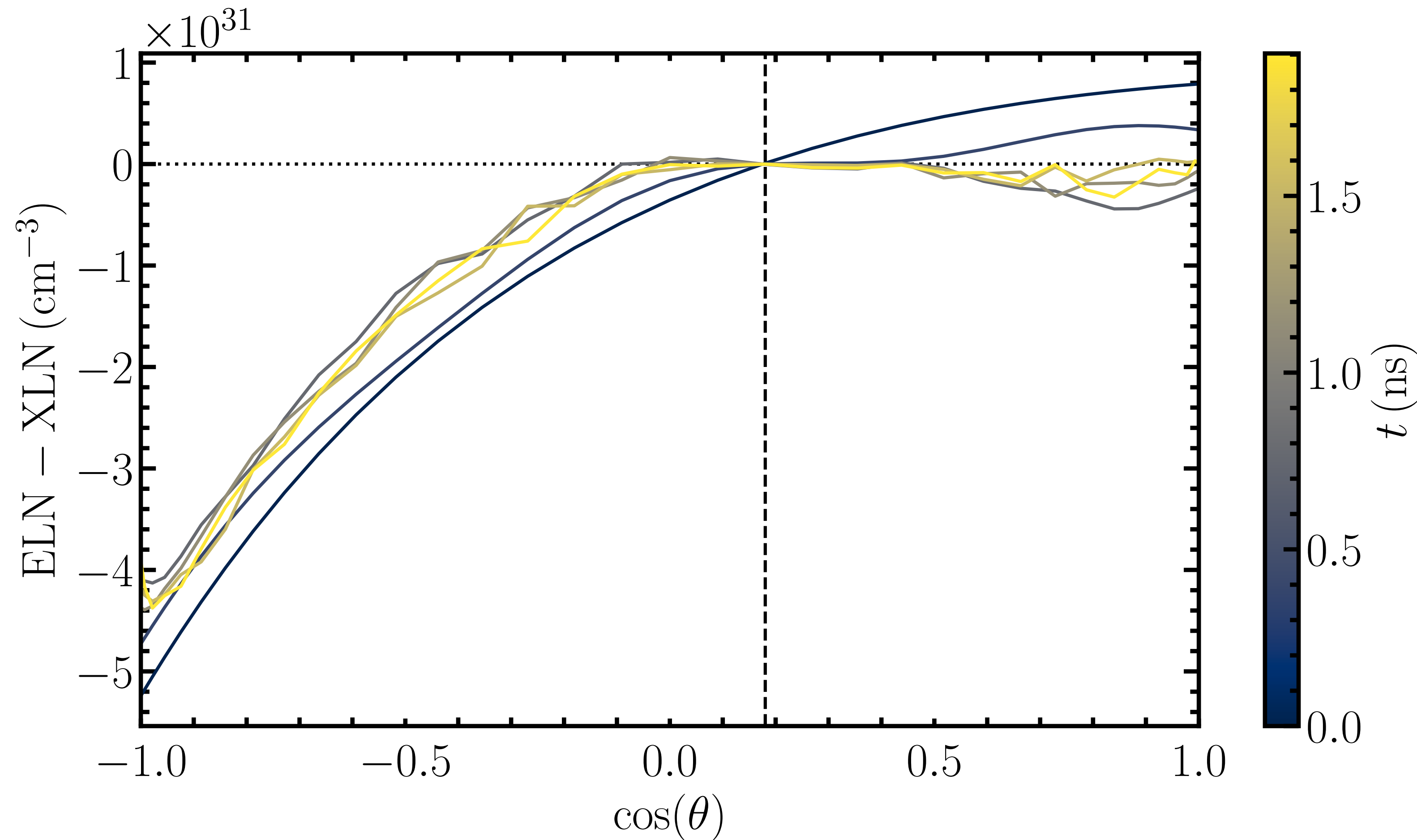
Data: *E. Urquilla* with the particle-in-cell code **Emu**  
*S. Richers et al.*, [[2101.02745](#)]

$$\text{ELN} = \rho_{ee}(\theta) - \bar{\rho}_{ee}(\theta)$$

$$\text{XLN} = \rho_{xx}(\theta) - \bar{\rho}_{xx}(\theta)$$

Instability  $\iff$  Crossing in  $\text{ELN} - \text{XLN}$

# Asymptotic state of FFI — Axisymmetric case



Data: *E. Urquilla* with the particle-in-cell code **Emu**  
*S. Richers et al.*, [[2101.02745](#)]

This “localized crossing erasure” can be expressed as a survival probability.  
*M. Zaizen and H. Nagakura*, [[2211.09343](#)]

$$\text{ELN} = \rho_{ee}(\theta) - \bar{\rho}_{ee}(\theta)$$

$$\text{XLN} = \rho_{xx}(\theta) - \bar{\rho}_{xx}(\theta)$$

Instability  $\iff$  Crossing in ELN - XLN

ELN-XLN distribution

$$G(\mathbf{n}) \equiv [f_{\nu_e}(\mathbf{n}) - f_{\bar{\nu}_e}(\mathbf{n})] - [f_{\nu_x}(\mathbf{n}) - f_{\bar{\nu}_x}(\mathbf{n})]$$

Positive and negative parts

$$I_+ = \left| \int_{G(\mathbf{n}) > 0} d\mathbf{n} G(\mathbf{n}) \right| ,$$
$$I_- = \left| \int_{G(\mathbf{n}) < 0} d\mathbf{n} G(\mathbf{n}) \right| ,$$

$$I_{>} = \max \{ I_+, I_- \} ,$$
$$I_{<} = \min \{ I_+, I_- \} .$$

**Recall:**  
*instability = crossing =  
both integrals are non-zero*

ELN-XLN distribution

$$G(\mathbf{n}) \equiv [f_{\nu_e}(\mathbf{n}) - f_{\bar{\nu}_e}(\mathbf{n})] - [f_{\nu_x}(\mathbf{n}) - f_{\bar{\nu}_x}(\mathbf{n})]$$

Positive and negative parts

$$I_+ = \left| \int_{G(\mathbf{n}) > 0} d\mathbf{n} G(\mathbf{n}) \right| ,$$

$$I_- = \left| \int_{G(\mathbf{n}) < 0} d\mathbf{n} G(\mathbf{n}) \right| ,$$

$$I_{>} = \max \{ I_+, I_- \} ,$$

$$I_{<} = \min \{ I_+, I_- \} .$$

**Recall:**

*instability = crossing =  
both integrals are non-zero*

Survival probability (3 flavors)

$$\mathbb{P}(\mathbf{n}) = \begin{cases} \frac{1}{3} & \text{for } \mathbf{n} \in \Omega_{<} , \\ 1 - \frac{2I_{<}}{3I_{>}} & \text{for } \mathbf{n} \in \Omega_{>} . \end{cases}$$

## ELN-XLN distribution

$$G(\mathbf{n}) \equiv [f_{\nu_e}(\mathbf{n}) - f_{\bar{\nu}_e}(\mathbf{n})] - [f_{\nu_x}(\mathbf{n}) - f_{\bar{\nu}_x}(\mathbf{n})]$$

Positive and negative parts

$$I_+ = \left| \int_{G(\mathbf{n}) > 0} d\mathbf{n} G(\mathbf{n}) \right| ,$$

$$I_- = \left| \int_{G(\mathbf{n}) < 0} d\mathbf{n} G(\mathbf{n}) \right| ,$$

$$I_{>} = \max \{I_+, I_-\} ,$$

$$I_{<} = \min \{I_+, I_-\} .$$

**Recall:**

*instability = crossing =  
both integrals are non-zero*

Survival probability (3 flavors)

$$\mathbb{P}(\mathbf{n}) = \begin{cases} \frac{1}{3} & \text{for } \mathbf{n} \in \Omega_{<} , \\ 1 - \frac{2I_{<}}{3I_{>}} & \text{for } \mathbf{n} \in \Omega_{>} . \end{cases}$$



## Asymptotic state

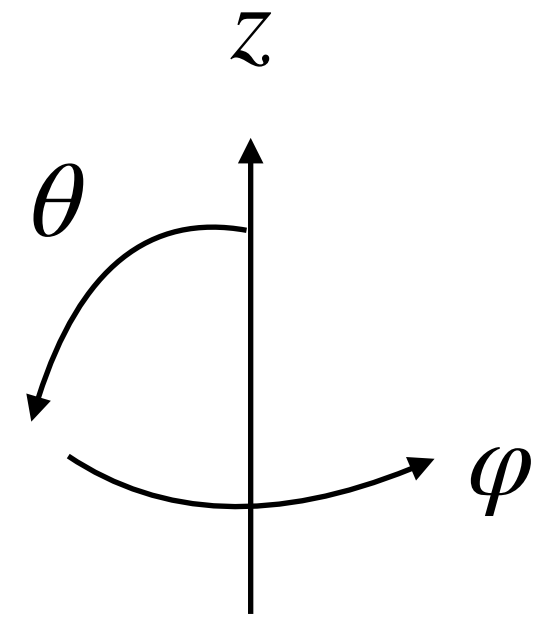
$$f'_{\nu_e}(\mathbf{n}) = \mathbb{P}(\mathbf{n}) f_{\nu_e}(\mathbf{n}) + [1 - \mathbb{P}(\mathbf{n})] f_{\nu_x}(\mathbf{n}) ,$$

$$f'_{\bar{\nu}_e}(\mathbf{n}) = \mathbb{P}(\mathbf{n}) f_{\bar{\nu}_e}(\mathbf{n}) + [1 - \mathbb{P}(\mathbf{n})] f_{\bar{\nu}_x}(\mathbf{n}) ,$$

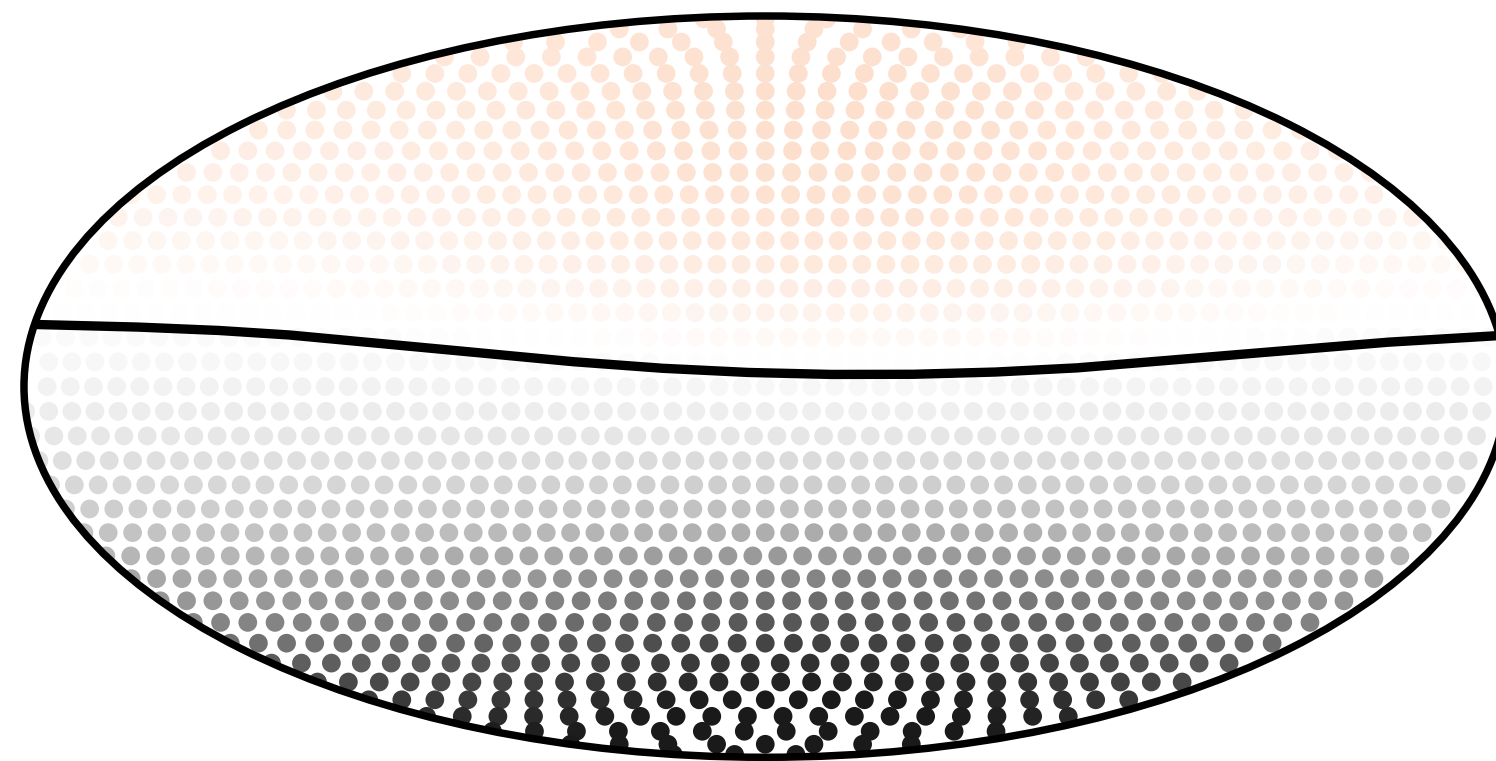
$$f'_{\nu_x}(\mathbf{n}) = \frac{1}{2} [1 - \mathbb{P}(\mathbf{n})] f_{\nu_e}(\mathbf{n}) + \frac{1}{2} [1 + \mathbb{P}(\mathbf{n})] f_{\nu_x}(\mathbf{n}) ,$$

$$f'_{\bar{\nu}_x}(\mathbf{n}) = \frac{1}{2} [1 - \mathbb{P}(\mathbf{n})] f_{\bar{\nu}_e}(\mathbf{n}) + \frac{1}{2} [1 + \mathbb{P}(\mathbf{n})] f_{\bar{\nu}_x}(\mathbf{n}) ,$$

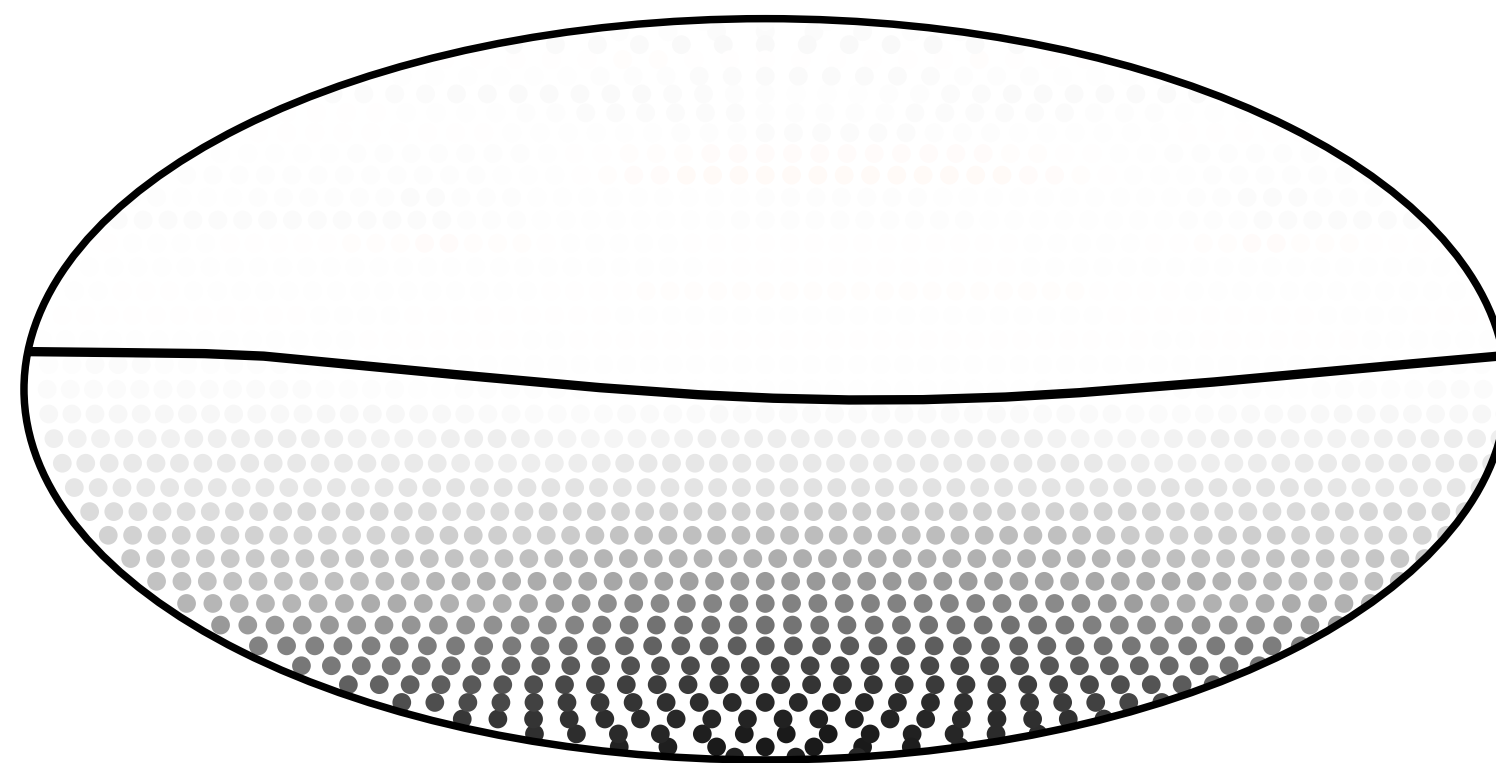
# Three-dimensional asymptotic state



Initial distribution



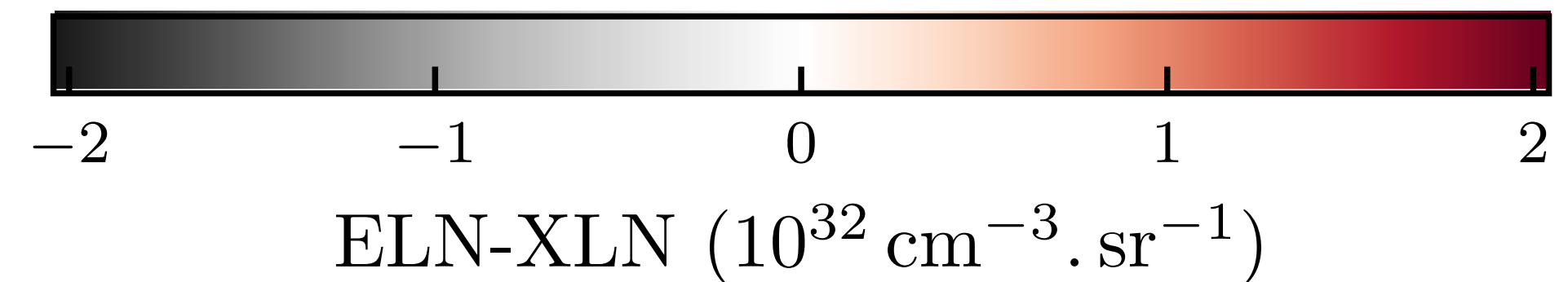
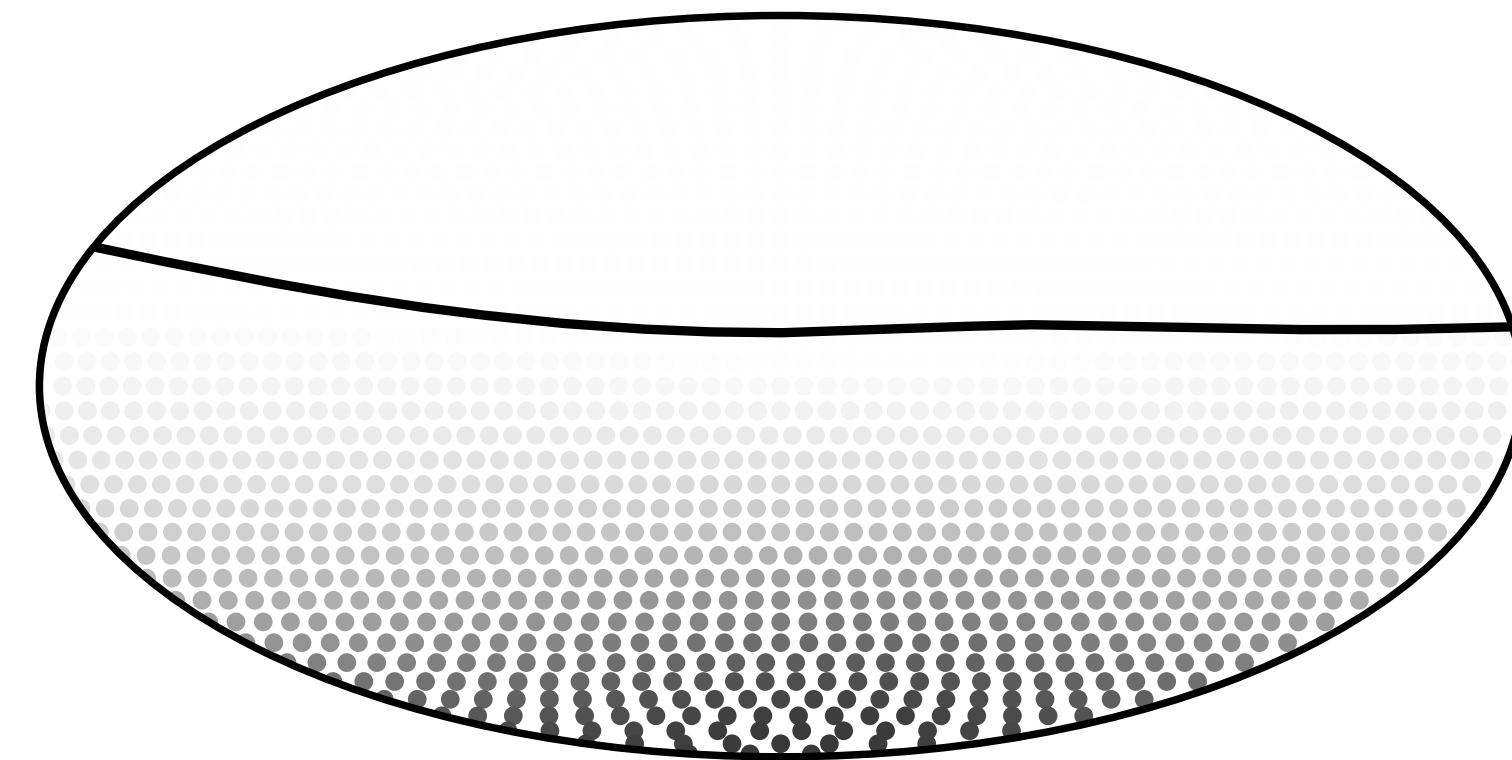
Emu



Final state  
obtained with  
**Emu**

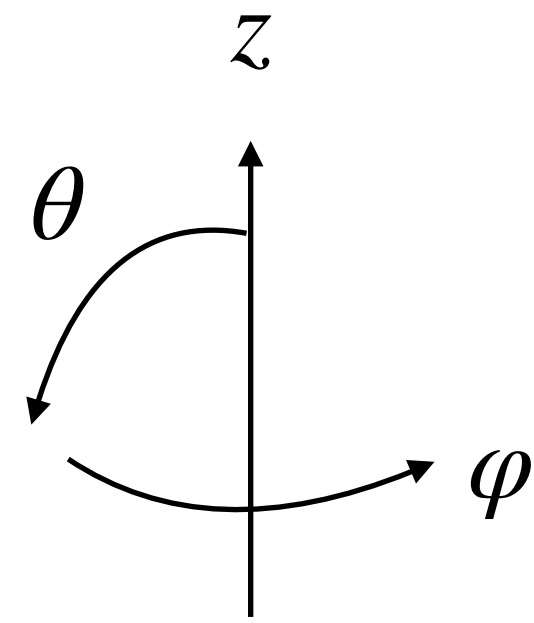
Three-dimensional generalization  
of ELN-XLN disappearance

Box3D

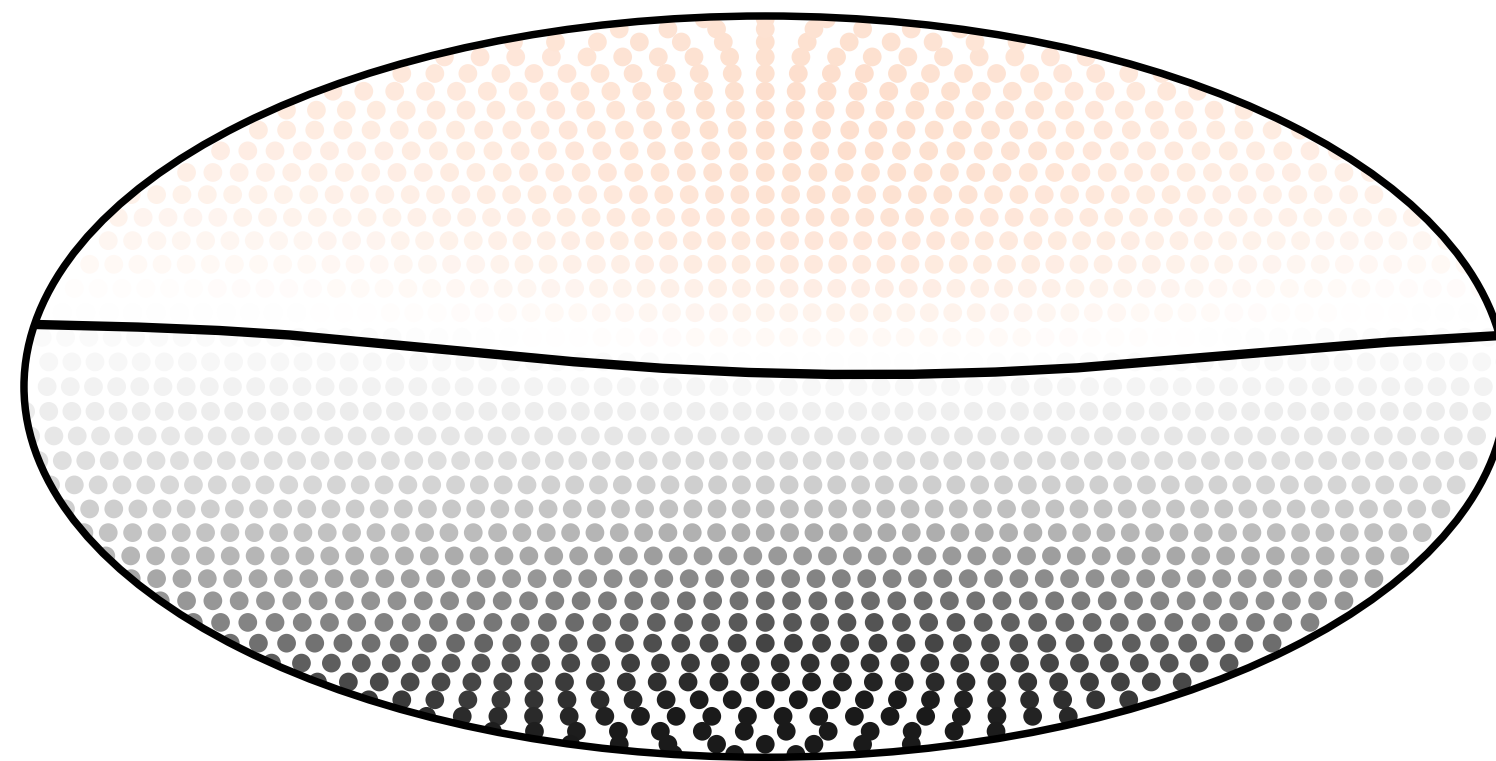


S. Richers, **JF**, S. Ghosh, F. Foucart, J. Gomez, [[2409.04405](#)]

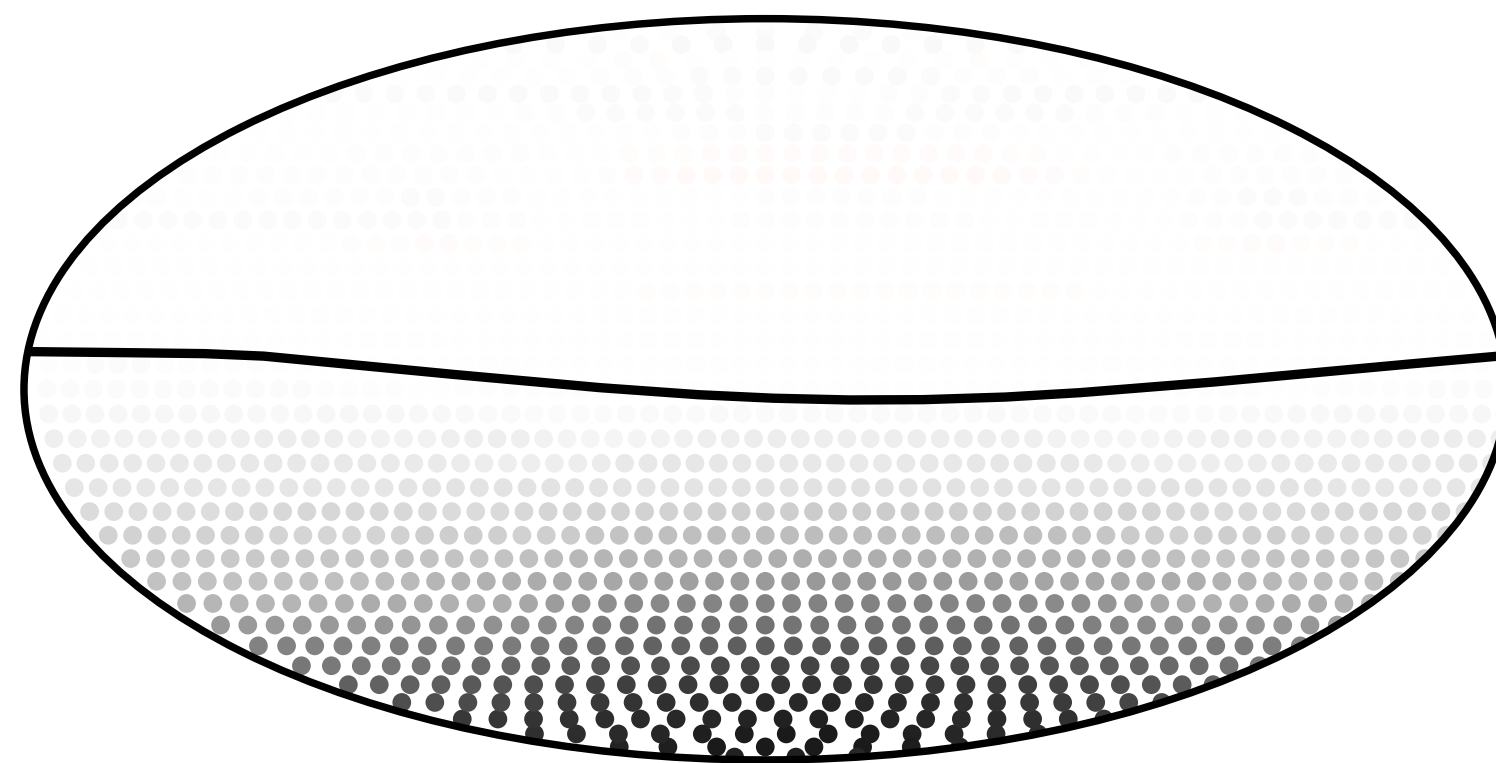
# Three-dimensional asymptotic state



Initial distribution



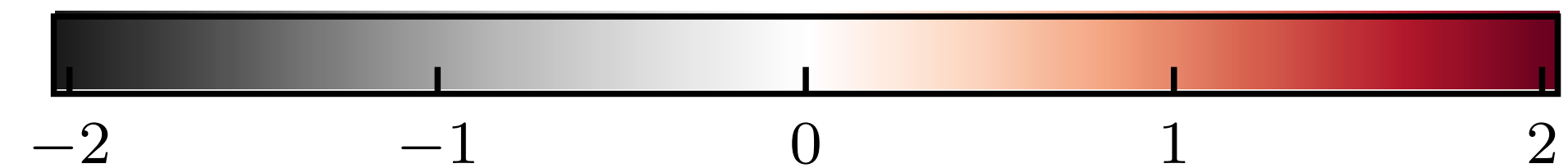
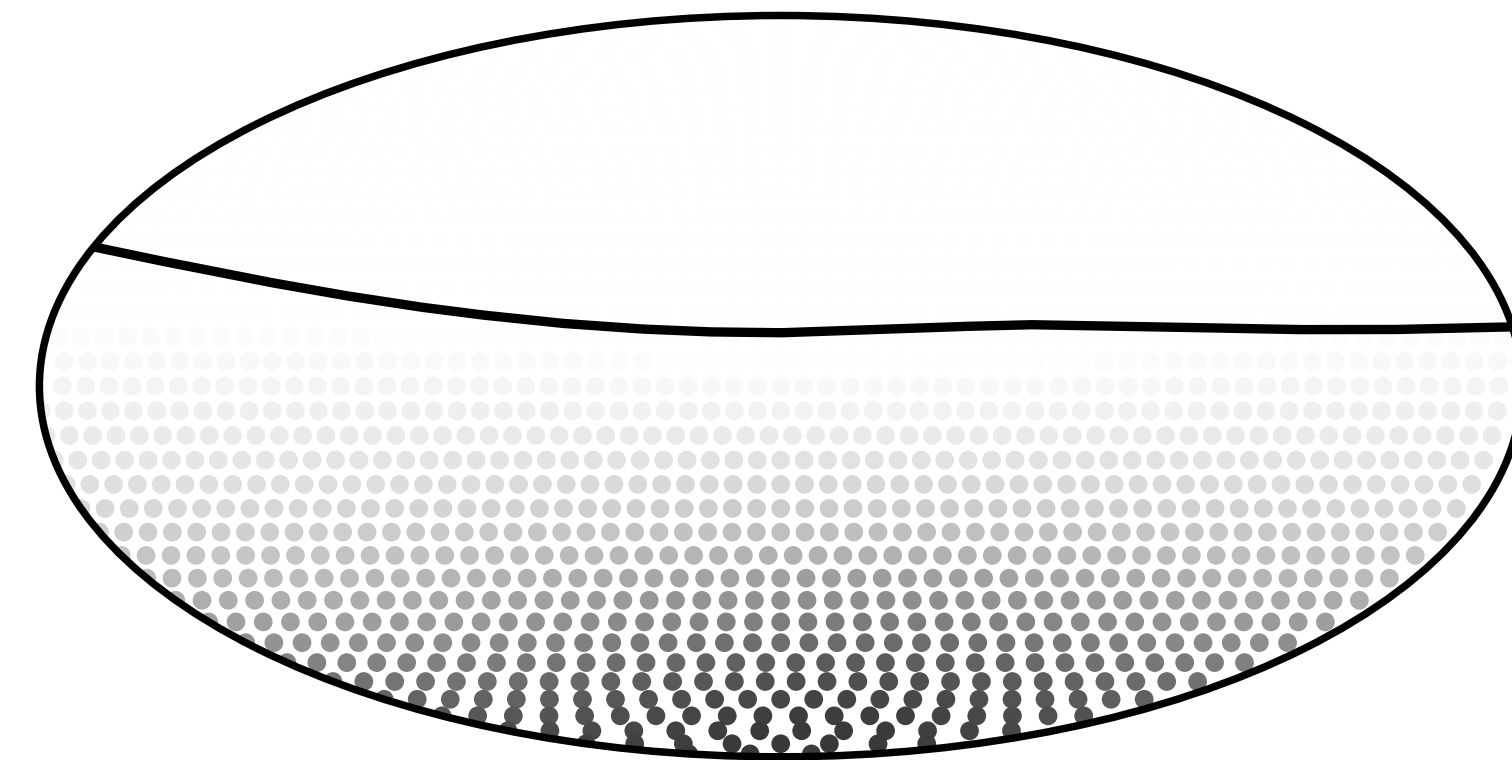
Emu



Final state  
obtained with  
**Emu**

Three-dimensional generalization  
of ELN-XLN disappearance

Box3D



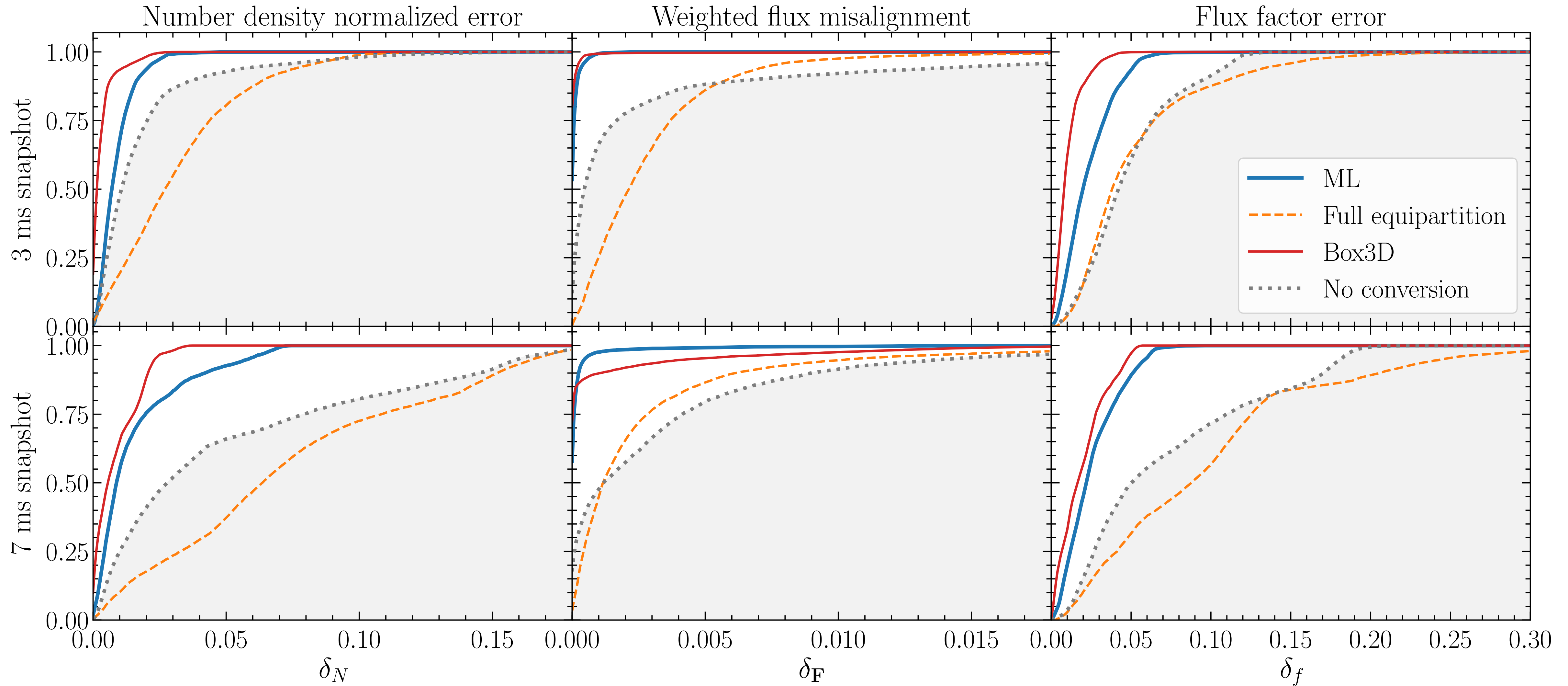
ELN-XLN ( $10^{32} \text{ cm}^{-3} \cdot \text{sr}^{-1}$ )

S. Richers, **JF**, S. Ghosh, F. Foucart, J. Gomez, [[2409.04405](#)]

Scheme tested and validated on more  
than 15,000 **Emu** calculations

# Global performance on unstable configurations

Richers+ [2409.04405]



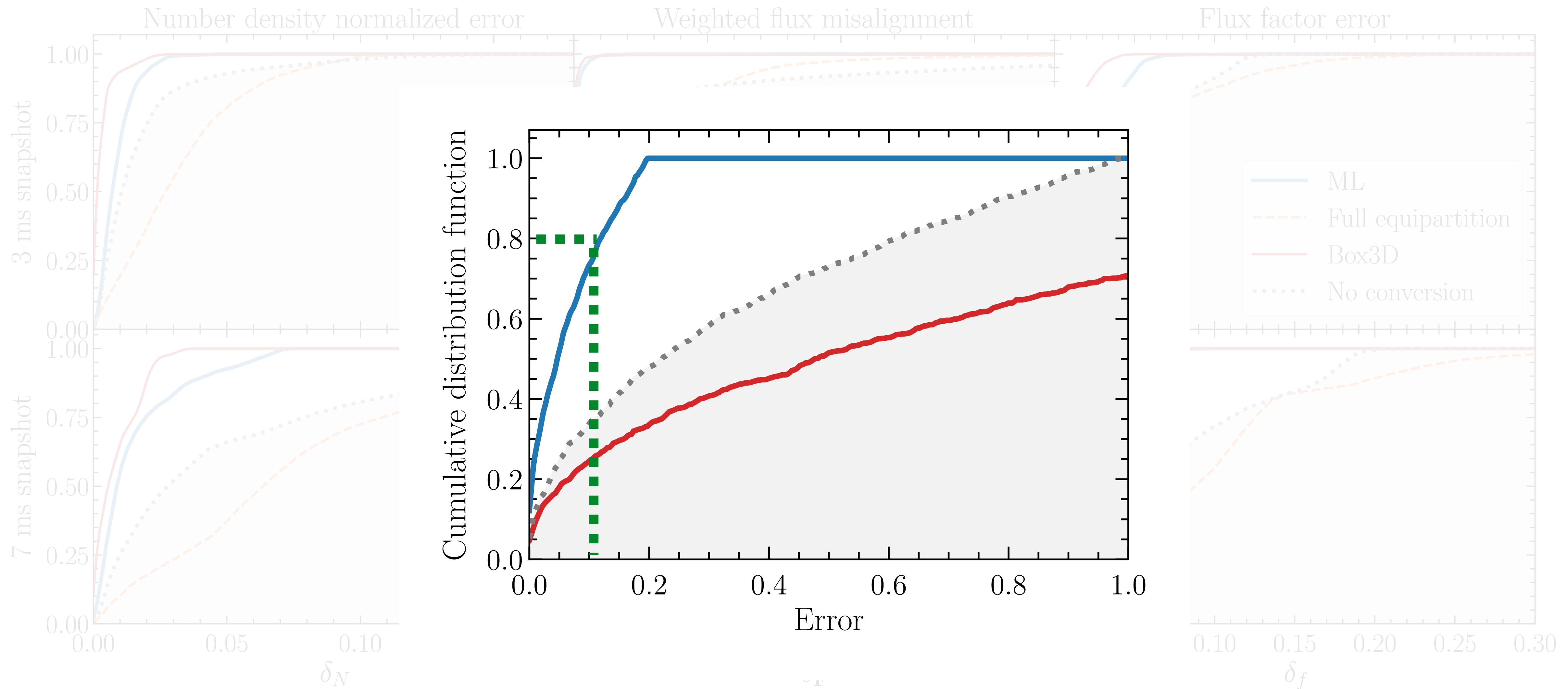
$$\delta_N = \max_{\alpha} \frac{|N_{\alpha}^{(\text{pred})} - N_{\alpha}^{(\text{true})}|}{N_{\text{tot}}}$$

$$\delta_{\mathbf{F}} = \max_{\alpha} \left[ \frac{1}{2} \left( 1 - \frac{\mathbf{F}_{\alpha}^{(\text{pred})} \cdot \mathbf{F}_{\alpha}^{(\text{true})}}{|\mathbf{F}_{\alpha}^{(\text{pred})}| |\mathbf{F}_{\alpha}^{(\text{true})}|} \right) \times \frac{|\mathbf{F}_{\alpha}^{(\text{true})}|}{N_{\alpha}^{(\text{true})}} \right]$$

$$\delta_f = \max_{\alpha} \left| \frac{|\mathbf{F}_{\alpha}^{(\text{pred})}|}{N_{\alpha}^{(\text{pred})}} - \frac{|\mathbf{F}_{\alpha}^{(\text{true})}|}{N_{\alpha}^{(\text{true})}} \right|$$

# Global performance on unstable configurations

Richers+ [2409.04405]



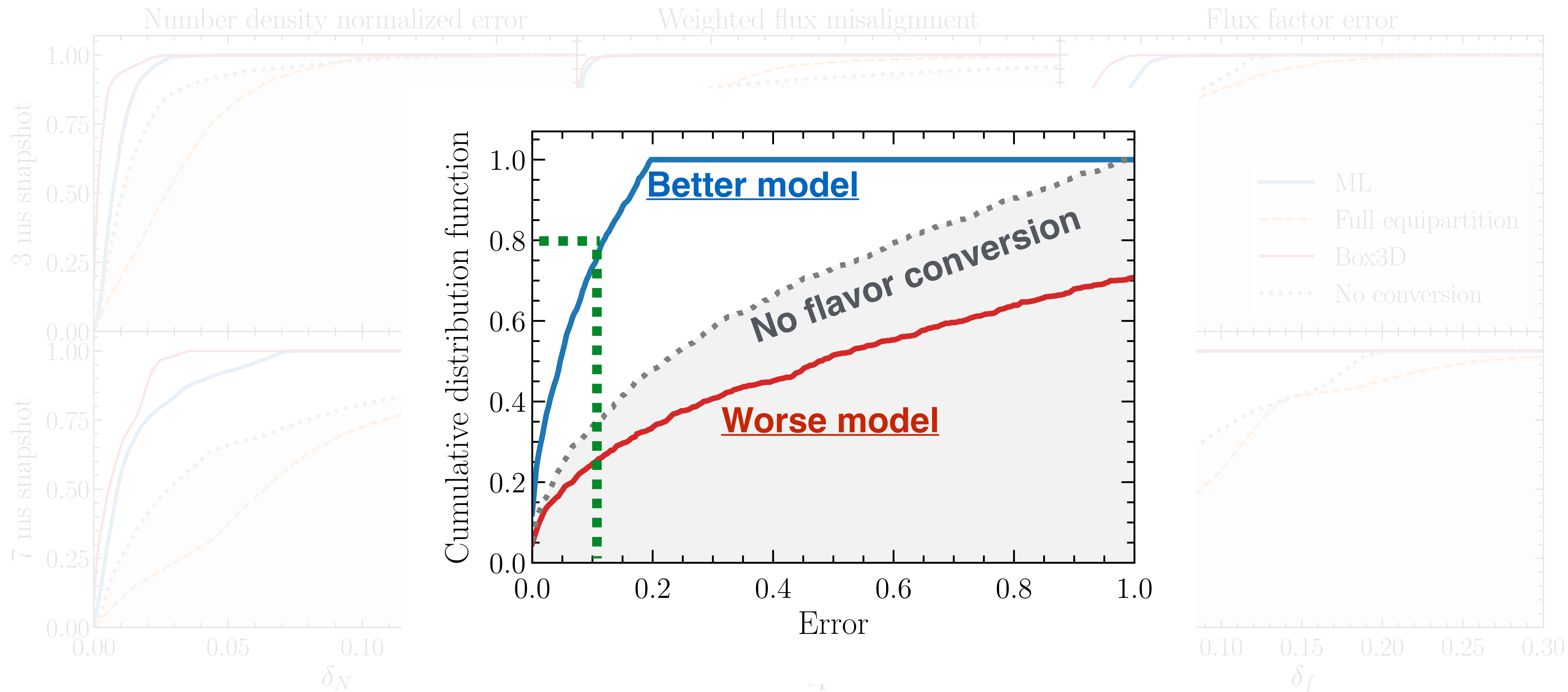
$$\delta_N = \max_{\alpha} \frac{|N_{\alpha}^{(\text{pred})} - N_{\alpha}^{(\text{true})}|}{N_{\text{tot}}}$$

$$\delta_F = \max_{\alpha} \left[ \frac{1}{2} \left( 1 - \frac{\mathbf{F}_{\alpha}^{(\text{pred})} \cdot \mathbf{F}_{\alpha}^{(\text{true})}}{|\mathbf{F}_{\alpha}^{(\text{pred})}| |\mathbf{F}_{\alpha}^{(\text{true})}|} \right) \times \frac{F_{\alpha}^{(\text{true})}}{N_{\alpha}^{(\text{true})}} \right]$$

$$\delta_f = \max_{\alpha} \left| \frac{|\mathbf{F}_{\alpha}^{(\text{pred})}|}{N_{\alpha}^{(\text{pred})}} - \frac{|\mathbf{F}_{\alpha}^{(\text{true})}|}{N_{\alpha}^{(\text{true})}} \right|$$

# Global performance on unstable configurations

Richers+ [2409.04405]



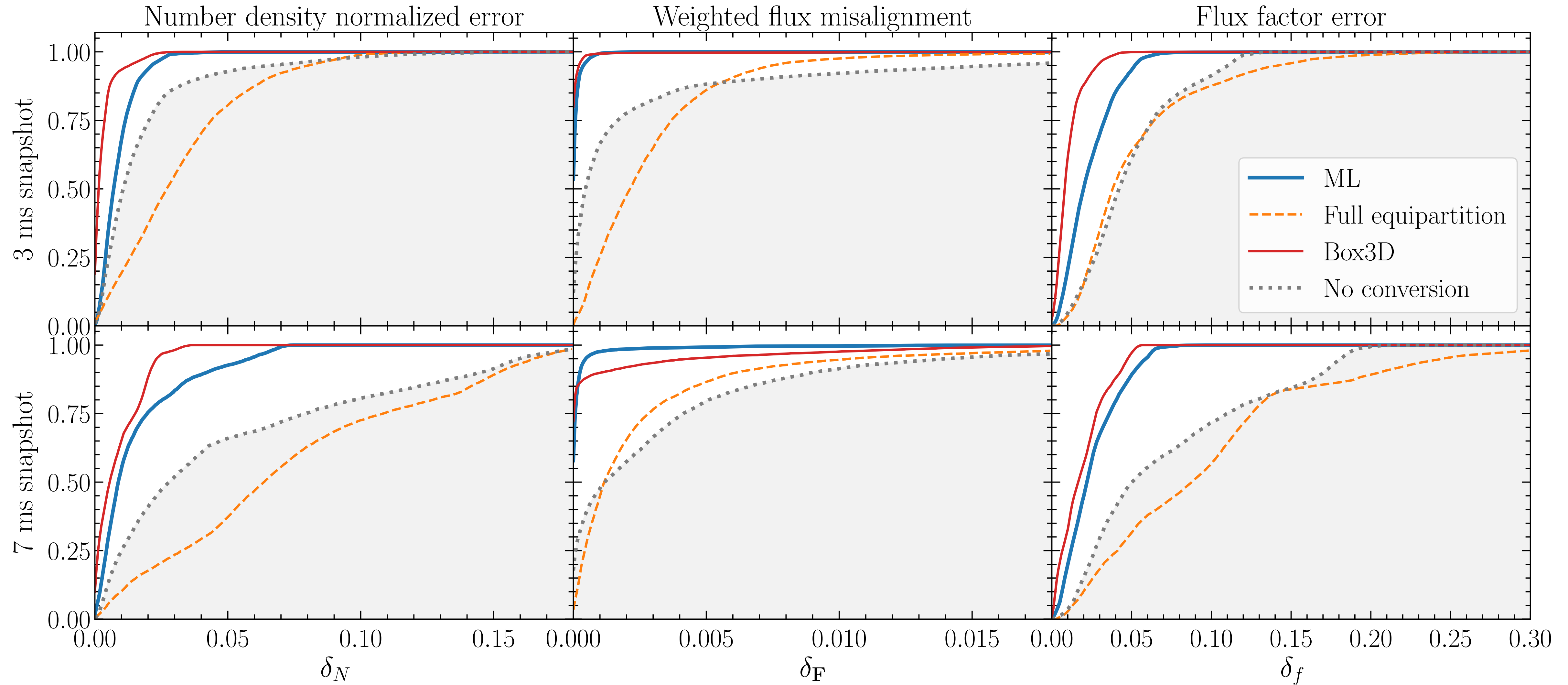
$$\delta_N = \max_{\alpha} \frac{|N_{\alpha}^{(\text{pred})} - N_{\alpha}^{(\text{true})}|}{N_{\text{tot}}}$$

$$\delta_F = \max_{\alpha} \left[ \frac{1}{2} \left( 1 - \frac{\mathbf{F}_{\alpha}^{(\text{pred})} \cdot \mathbf{F}_{\alpha}^{(\text{true})}}{|\mathbf{F}_{\alpha}^{(\text{pred})}| |\mathbf{F}_{\alpha}^{(\text{true})}|} \right) \times \frac{F_{\alpha}^{(\text{true})}}{N_{\alpha}^{(\text{true})}} \right]$$

$$\delta_f = \max_{\alpha} \left| \frac{|\mathbf{F}_{\alpha}^{(\text{pred})}|}{N_{\alpha}^{(\text{pred})}} - \frac{|\mathbf{F}_{\alpha}^{(\text{true})}|}{N_{\alpha}^{(\text{true})}} \right|$$

# Global performance on unstable configurations

Richers+ [2409.04405]



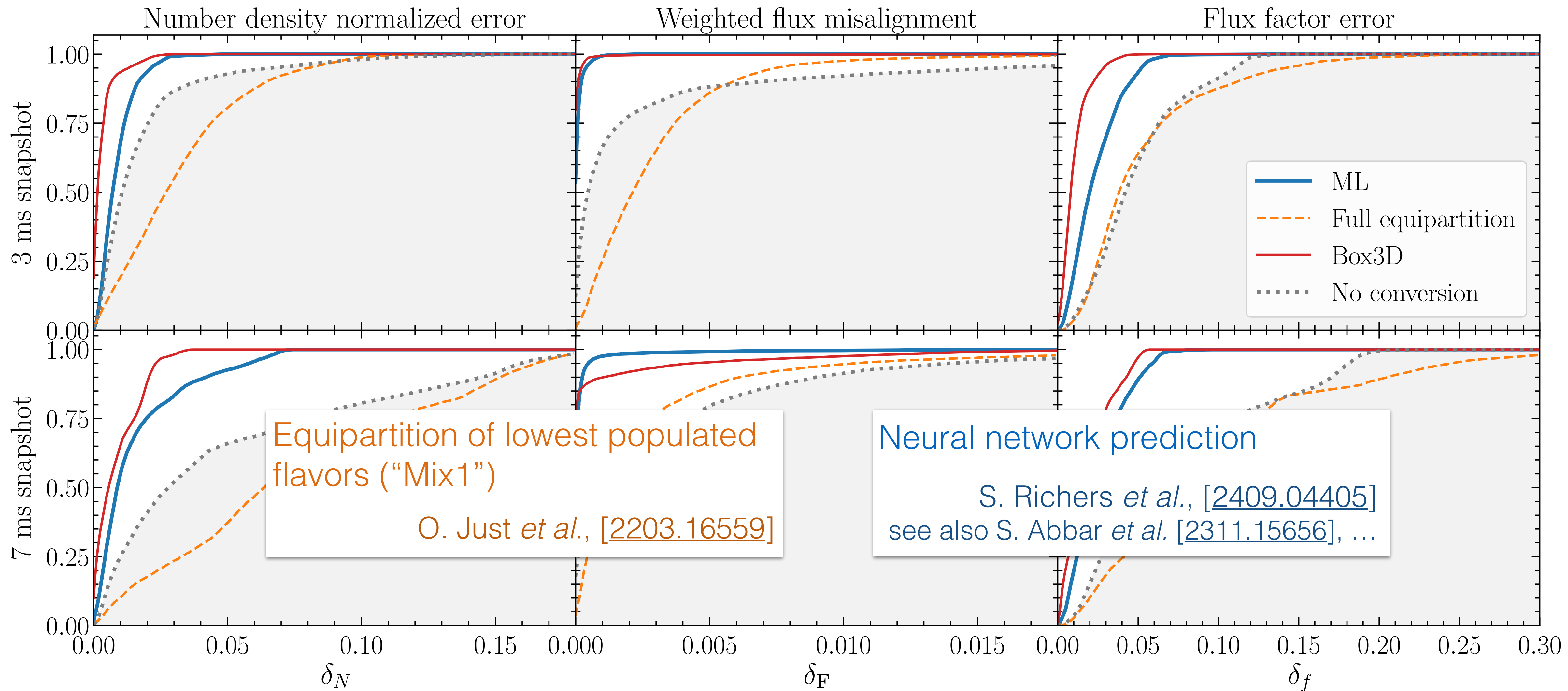
$$\delta_N = \max_{\alpha} \frac{|N_{\alpha}^{(\text{pred})} - N_{\alpha}^{(\text{true})}|}{N_{\text{tot}}}$$

$$\delta_{\mathbf{F}} = \max_{\alpha} \left[ \frac{1}{2} \left( 1 - \frac{\mathbf{F}_{\alpha}^{(\text{pred})} \cdot \mathbf{F}_{\alpha}^{(\text{true})}}{|\mathbf{F}_{\alpha}^{(\text{pred})}| |\mathbf{F}_{\alpha}^{(\text{true})}|} \right) \times \frac{|\mathbf{F}_{\alpha}^{(\text{true})}|}{N_{\alpha}^{(\text{true})}} \right]$$

$$\delta_f = \max_{\alpha} \left| \frac{|\mathbf{F}_{\alpha}^{(\text{pred})}|}{N_{\alpha}^{(\text{pred})}} - \frac{|\mathbf{F}_{\alpha}^{(\text{true})}|}{N_{\alpha}^{(\text{true})}} \right|$$

# Global performance on unstable configurations

Richers+ [2409.04405]



Equipartition of lowest populated flavors ("Mix1")  
 O. Just *et al.*, [2203.16559]

Neural network prediction  
 S. Richers *et al.*, [2409.04405]  
 see also S. Abbar *et al.* [2311.15656], ...

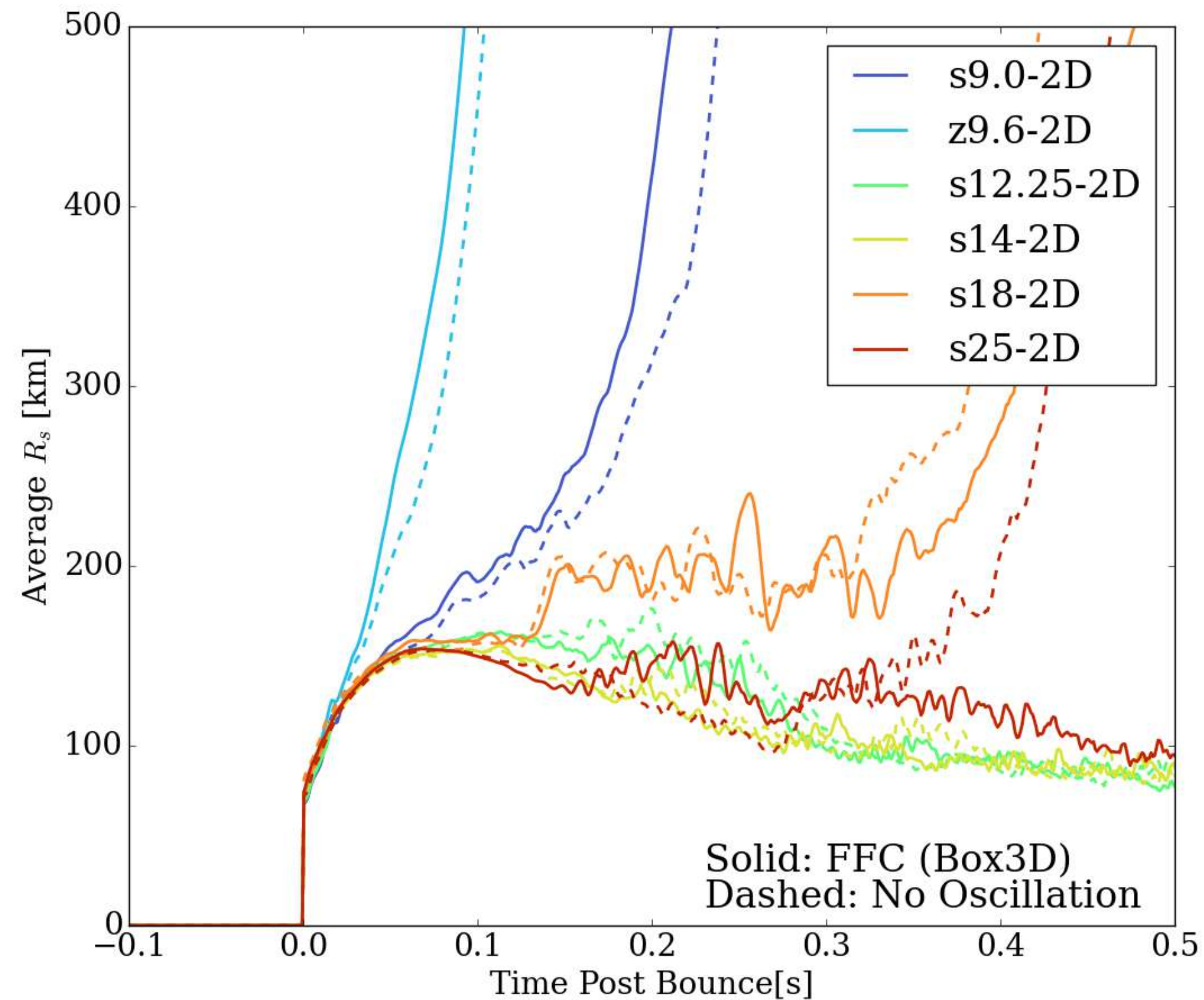
$$\delta_N = \max_{\alpha} \frac{|N_{\alpha}^{(\text{pred})} - N_{\alpha}^{(\text{true})}|}{N_{\text{tot}}}$$

$$\delta_F = \max_{\alpha} \left[ \frac{1}{2} \left( 1 - \frac{\mathbf{F}_{\alpha}^{(\text{pred})} \cdot \mathbf{F}_{\alpha}^{(\text{true})}}{|\mathbf{F}_{\alpha}^{(\text{pred})}| |\mathbf{F}_{\alpha}^{(\text{true})}|} \right) \times \frac{N_{\alpha}^{(\text{true})}}{N_{\alpha}^{(\text{pred})}} \right]$$

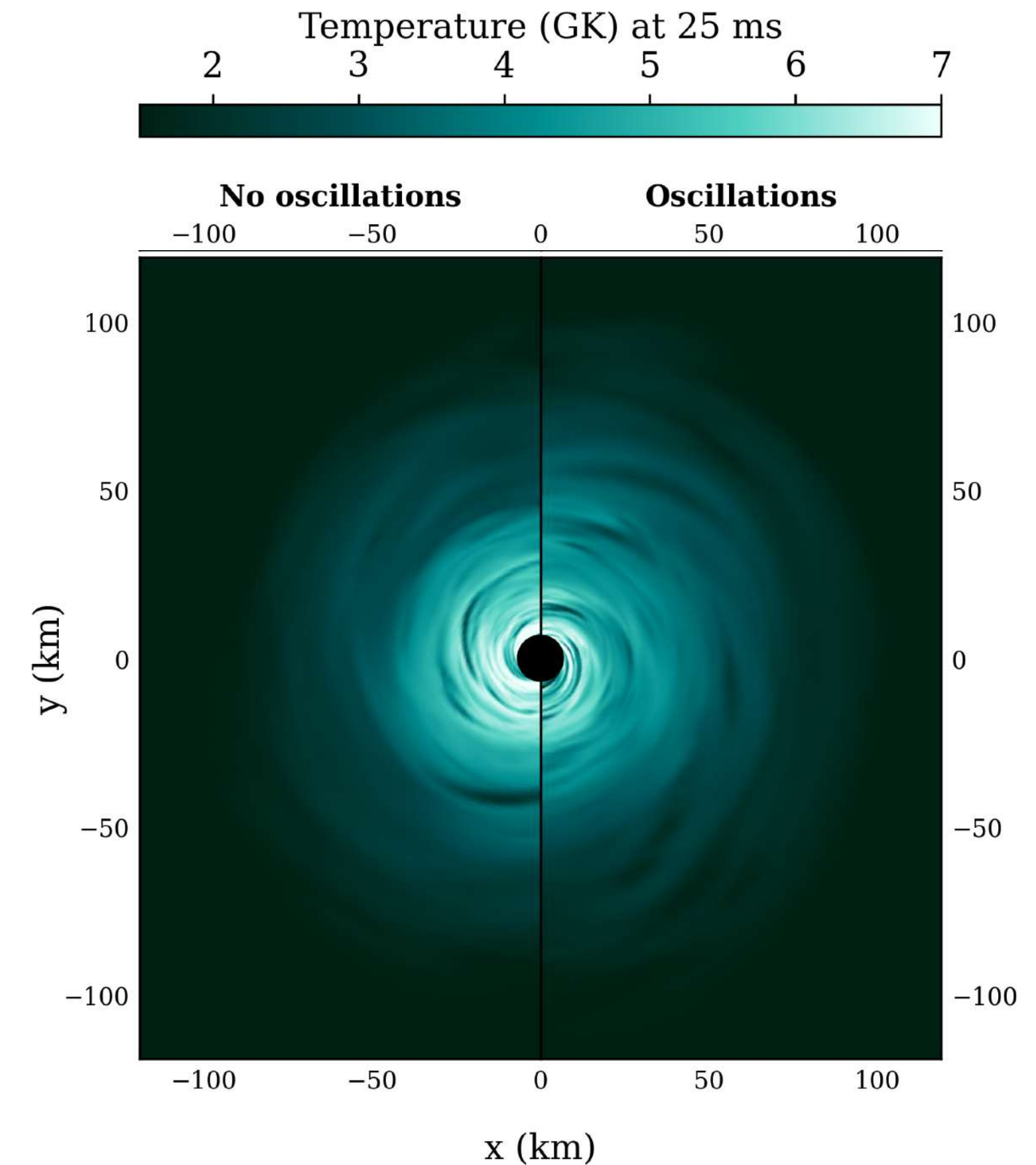
$$\delta_f = \max_{\alpha} \left| \frac{|\mathbf{F}_{\alpha}^{(\text{pred})}|}{N_{\alpha}^{(\text{pred})}} - \frac{|\mathbf{F}_{\alpha}^{(\text{true})}|}{N_{\alpha}^{(\text{true})}} \right|$$

# Inclusion of FFIs in large-scale simulations

T. Wang and A. Burrows, *The effect of the fast-flavor instability on core-collapse supernova models*,  
[2503.04896]



K. Lund et al., *Angle-dependent in-situ fast flavor transformations in post-neutron star merger disks*,  
[2503.23727]



# Collisional flavor instabilities (CFIs)

- Collisions are generally expected to **damp** flavor coherence.
- In some regimes, a discrepancy between the neutrino and antineutrino reaction rates can actually **amplify** coherence *through the non-linear self-interaction term*.

PHYSICAL REVIEW LETTERS **130**, 191001 (2023)

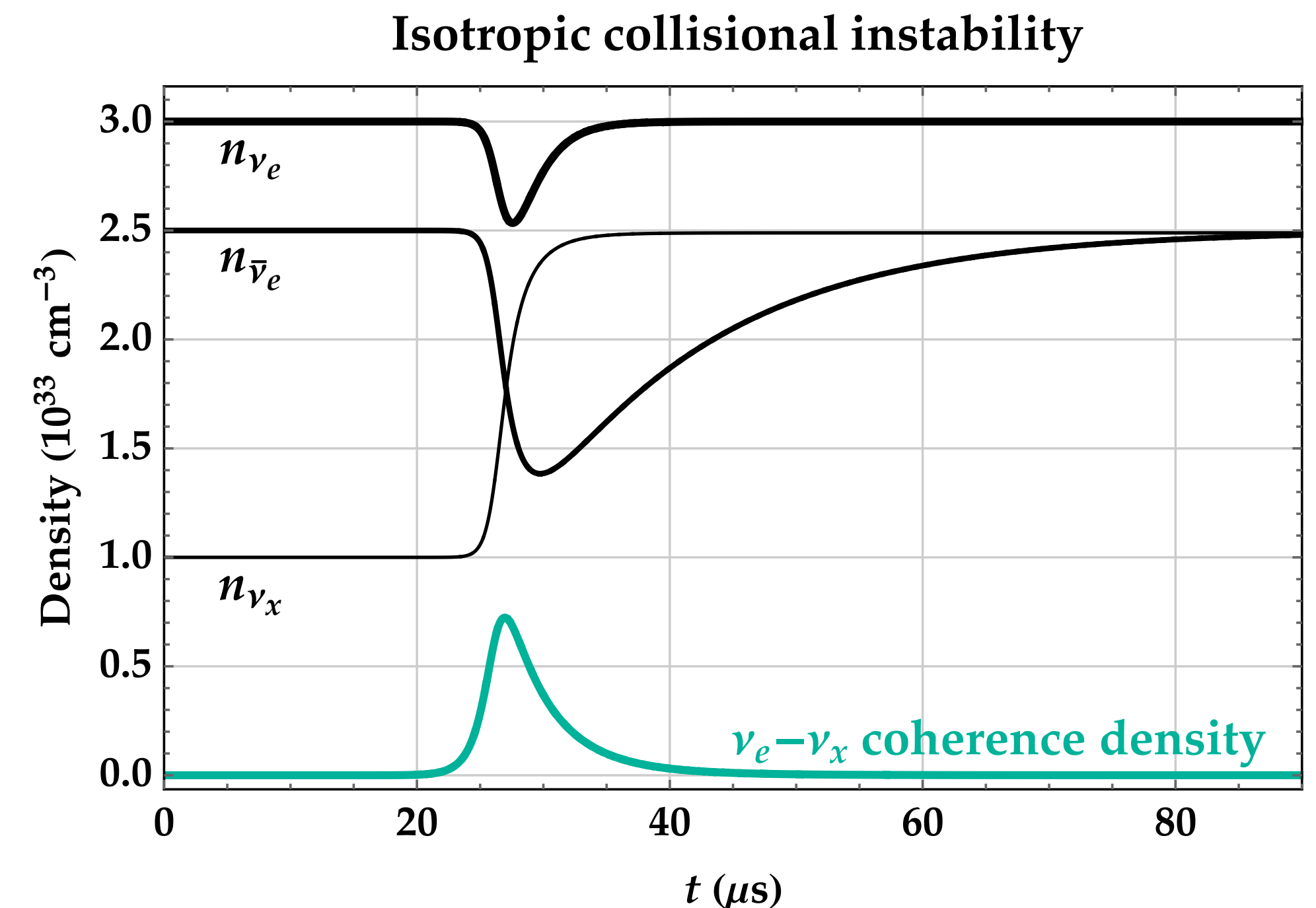
## Collisional Flavor Instabilities of Supernova Neutrinos

Lucas Johns \*

Departments of Astronomy and Physics, University of California, Berkeley, California 94720, USA

 (Received 27 April 2021; revised 15 December 2022; accepted 24 April 2023; published 8 May 2023)

A lingering mystery in core-collapse supernova theory is how collective neutrino oscillations affect the dynamics. All previously identified flavor instabilities, some of which might make the effects considerable, are essentially collisionless phenomena. Here, it is shown that collisional instabilities exist as well. They are associated with asymmetries between the neutrino and antineutrino interaction rates, are possibly prevalent deep inside supernovae, and pose an unusual instance of **decoherent interactions** with a thermal environment **causing the sustained growth of quantum coherence**.



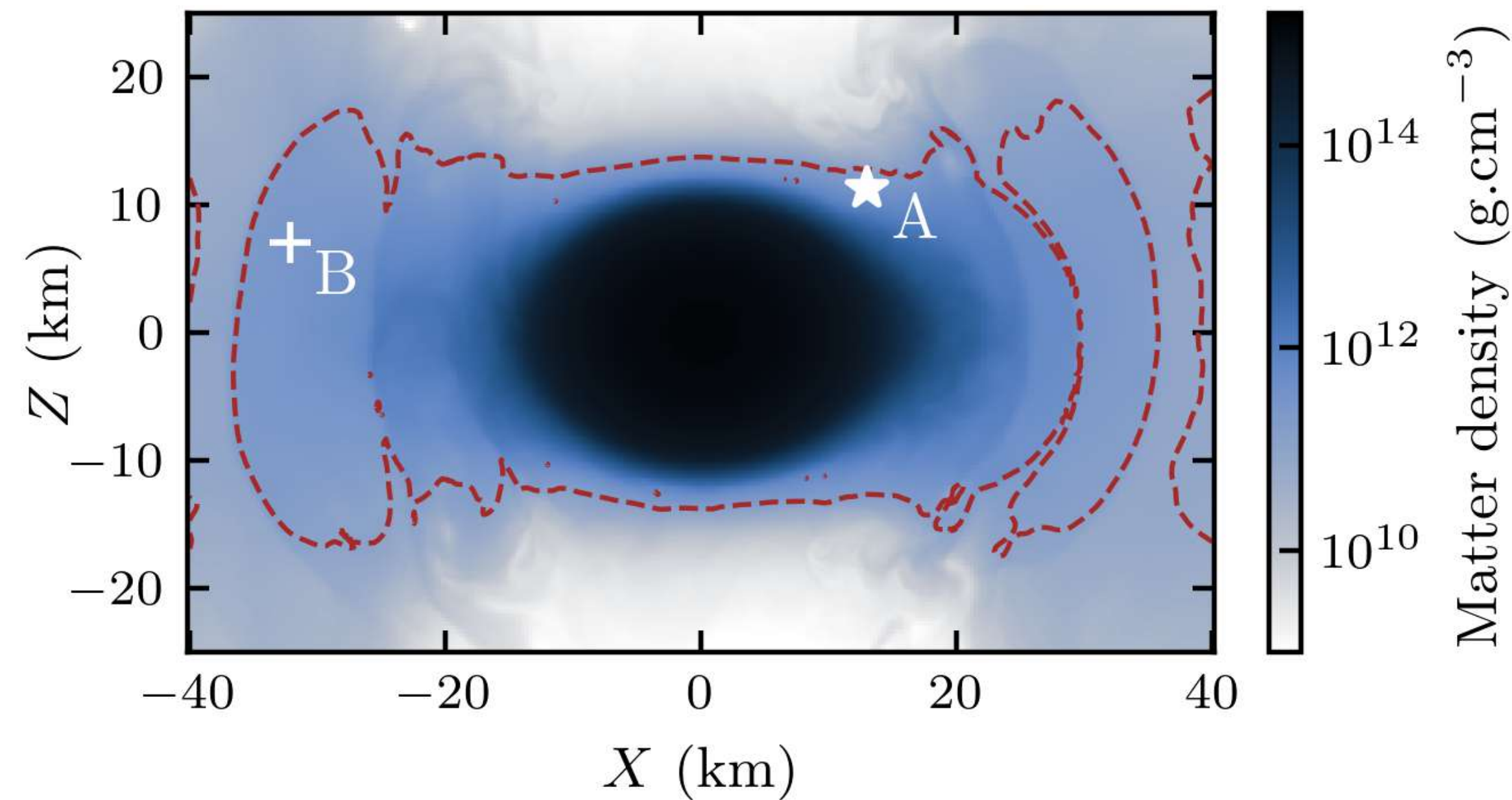
$$\begin{aligned}\frac{dN}{dt} &= -i [\mathcal{H}, N] + \frac{1}{2} \left\{ \begin{pmatrix} \kappa_e & 0 \\ 0 & \kappa_x \end{pmatrix}, \begin{pmatrix} N_{ee}^{(cl)} & 0 \\ 0 & N_{xx}^{(cl)} \end{pmatrix} - N \right\} \\ \frac{d\bar{N}}{dt} &= -i [\bar{\mathcal{H}}, \bar{N}] + \frac{1}{2} \left\{ \begin{pmatrix} \bar{\kappa}_e & 0 \\ 0 & \bar{\kappa}_x \end{pmatrix}, \begin{pmatrix} \bar{N}_{ee}^{(cl)} & 0 \\ 0 & \bar{N}_{xx}^{(cl)} \end{pmatrix} - \bar{N} \right\}\end{aligned}$$

**Oscillations**

**Collisions (classical relaxation)**

- Approximate model: homogeneity, isotropy, mono-energetic system
- Quantum Kinetic Equations with collision-like term, driving the system back to the initial *classical steady-state*

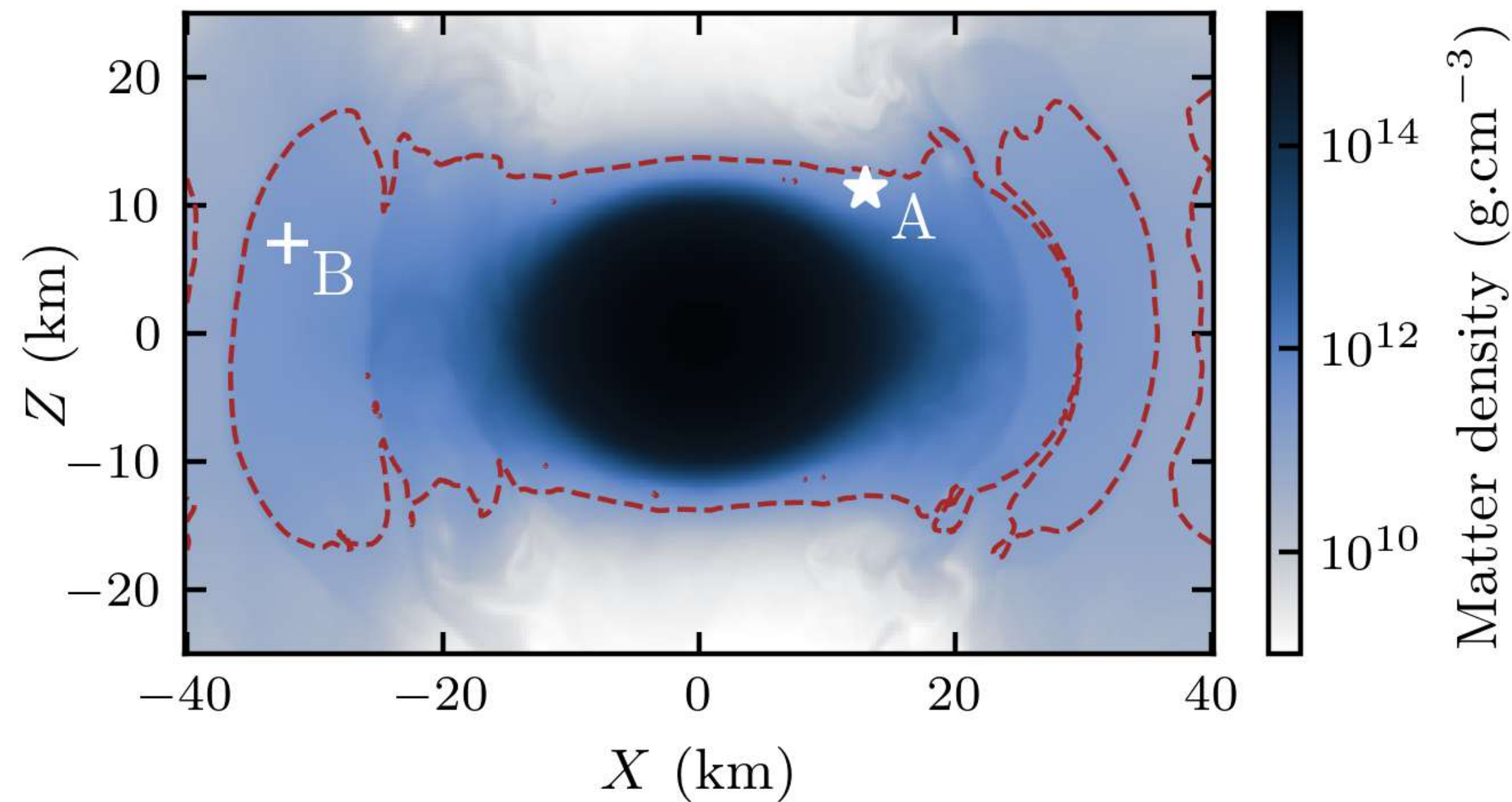
- 7 ms post-merger snapshot of NSM simulation by Foucart *et al.* [2407.15989]



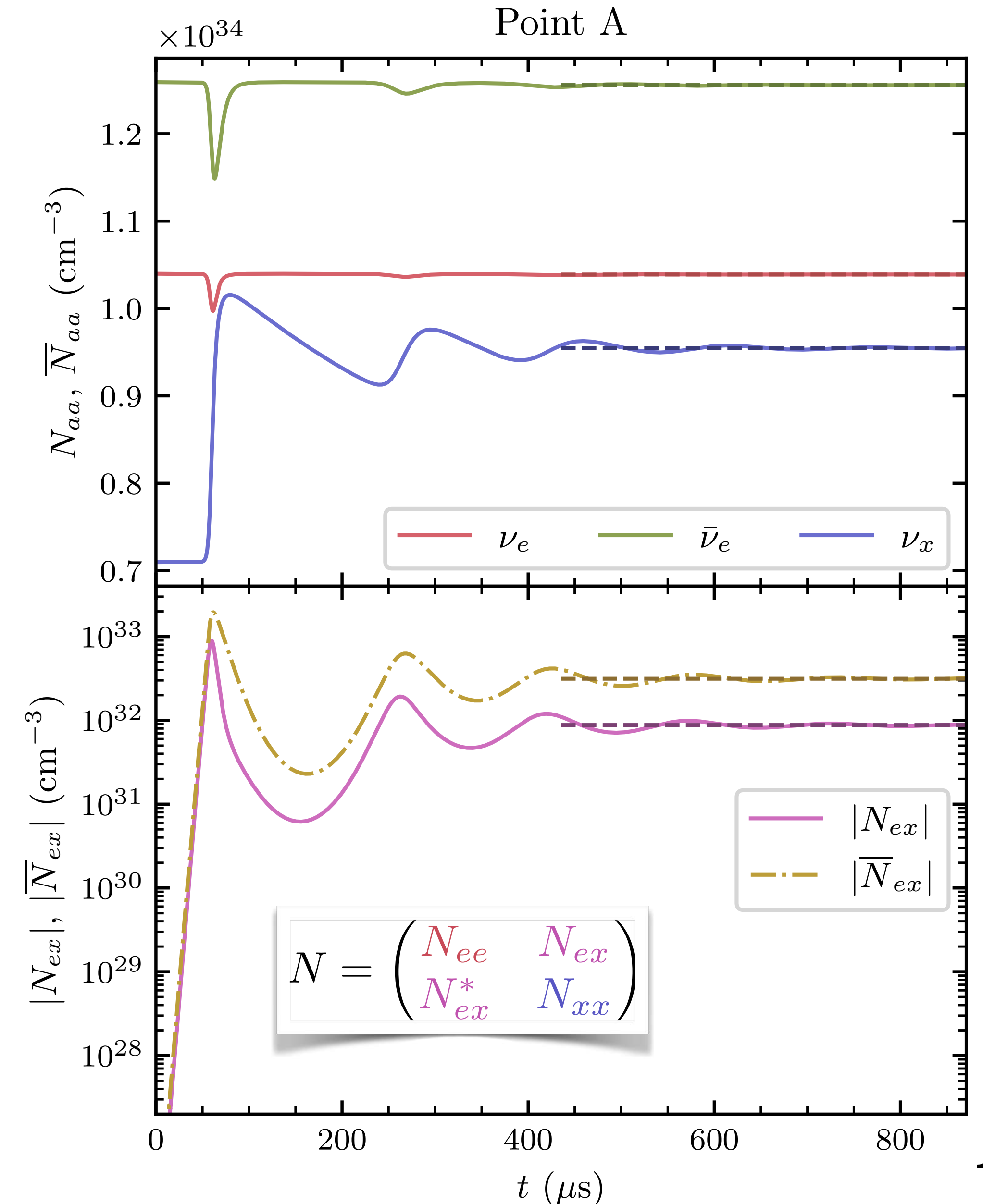
- Assume isotropy, number densities from simulation = classical steady-state
- Solve the homogeneous and isotropic QKEs

# NSM-like configuration

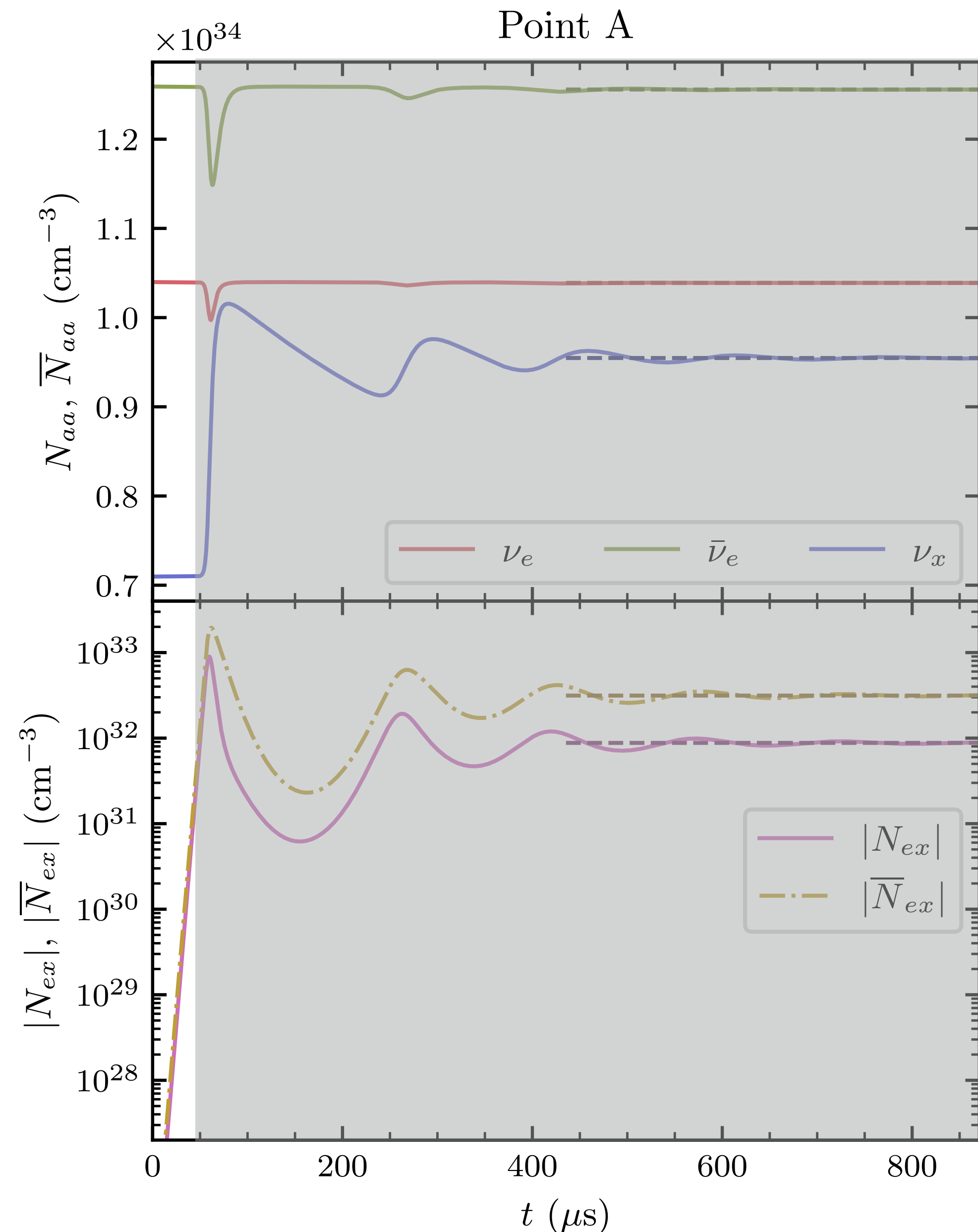
- 7 ms post-merger snapshot of NSM simulation by Foucart *et al.* [2407.15989]



- Assume isotropy, number densities from simulation = classical steady-state
- Solve the homogeneous and isotropic QKEs



# Evolution and asymptotic state

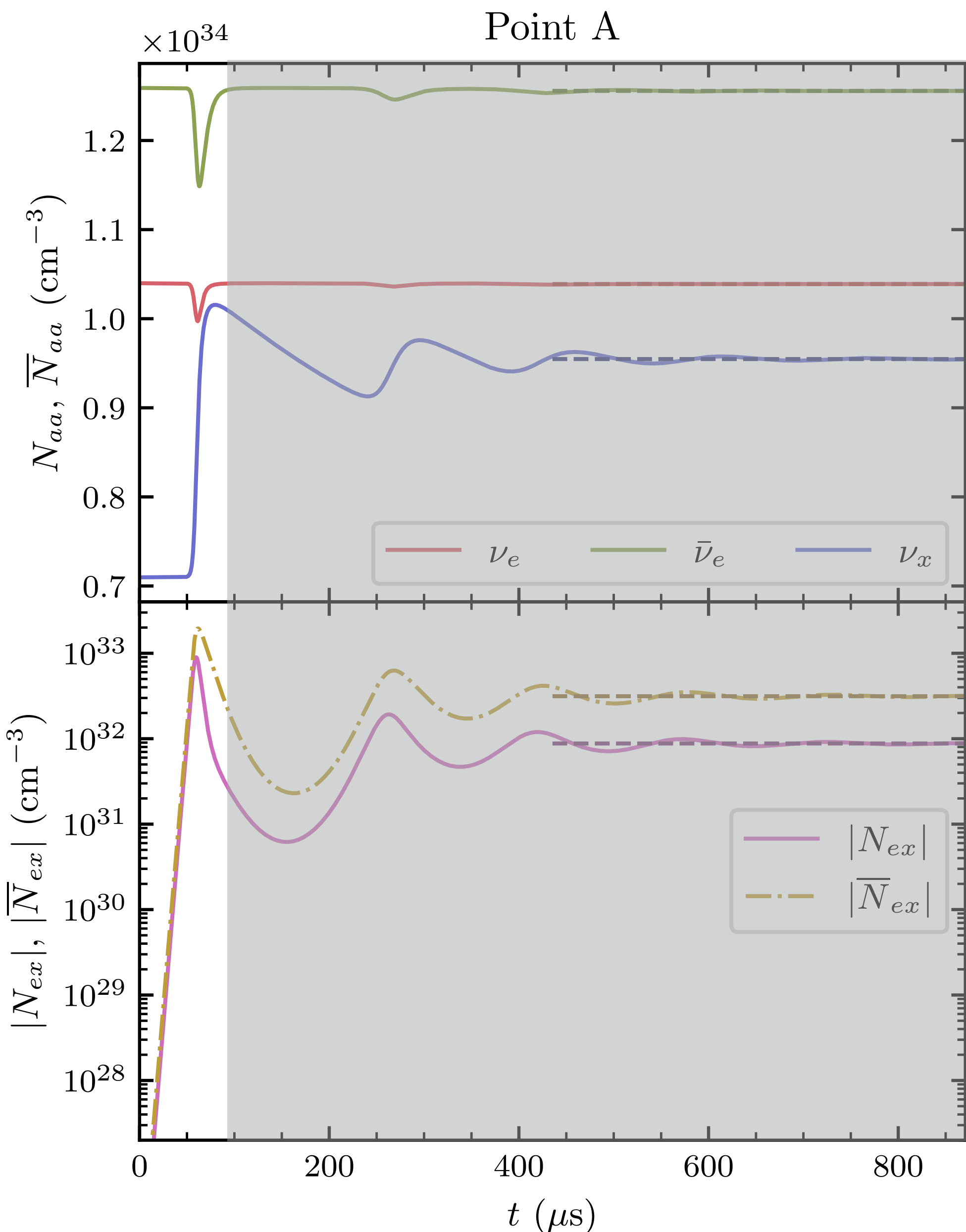


$$\frac{dN}{dt} = [\text{Oscillations}] + [\text{Collisions}]$$

$$\sim -\kappa [N - N^{(\text{cl})}]$$

- The classical steady-state is **unstable**  
 $\implies$  instability and flavor conversion

# Evolution and asymptotic state

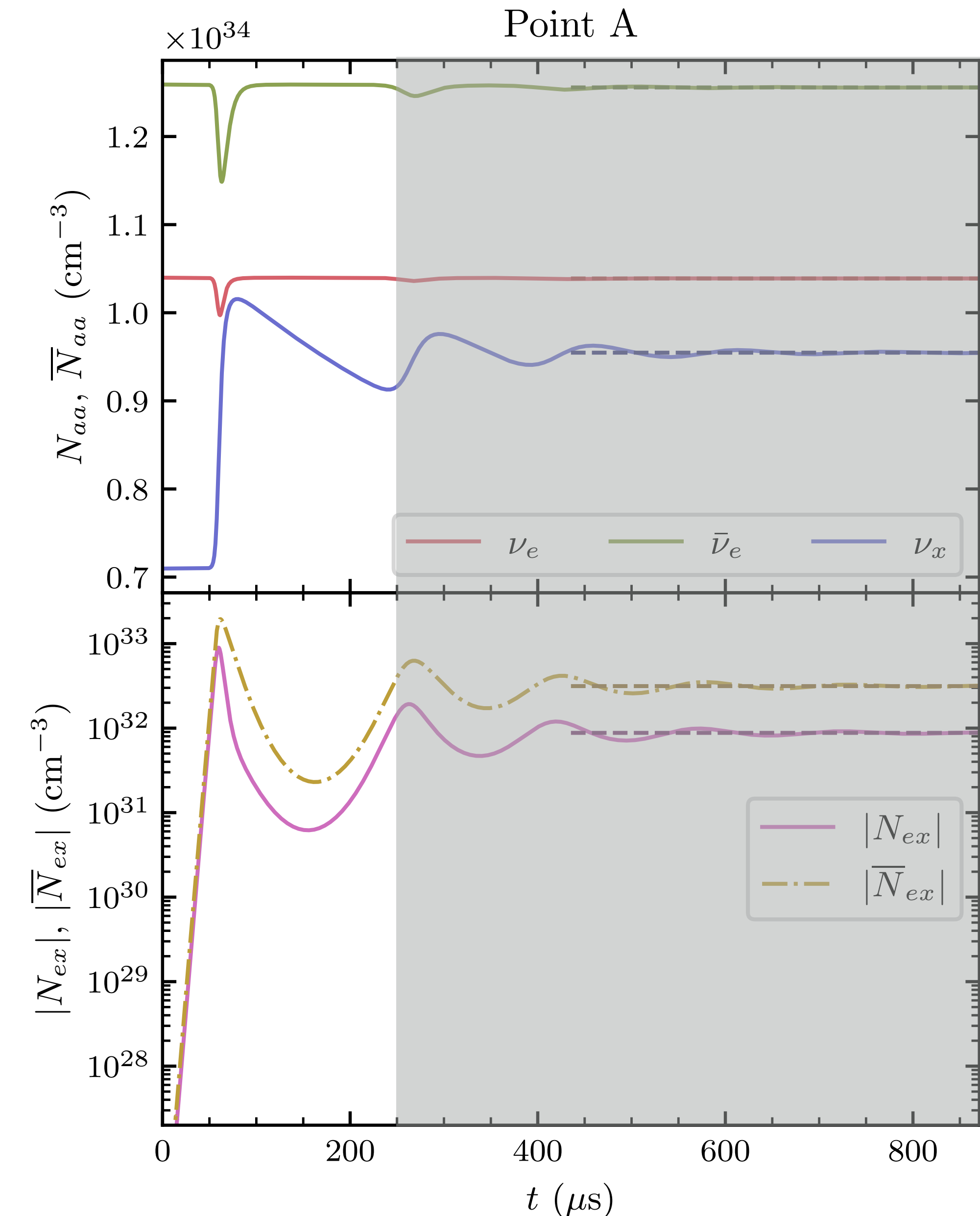


$$\frac{dN}{dt} = [\text{Oscillations}] + [\text{Collisions}]$$

$$\sim -\kappa [N - N^{(cl)}]$$

- The classical steady-state is **unstable**  
 $\implies$  instability and flavor conversion
- $\nu_e$  and  $\bar{\nu}_e$  are quickly brought back to classical equilibrium

# Evolution and asymptotic state

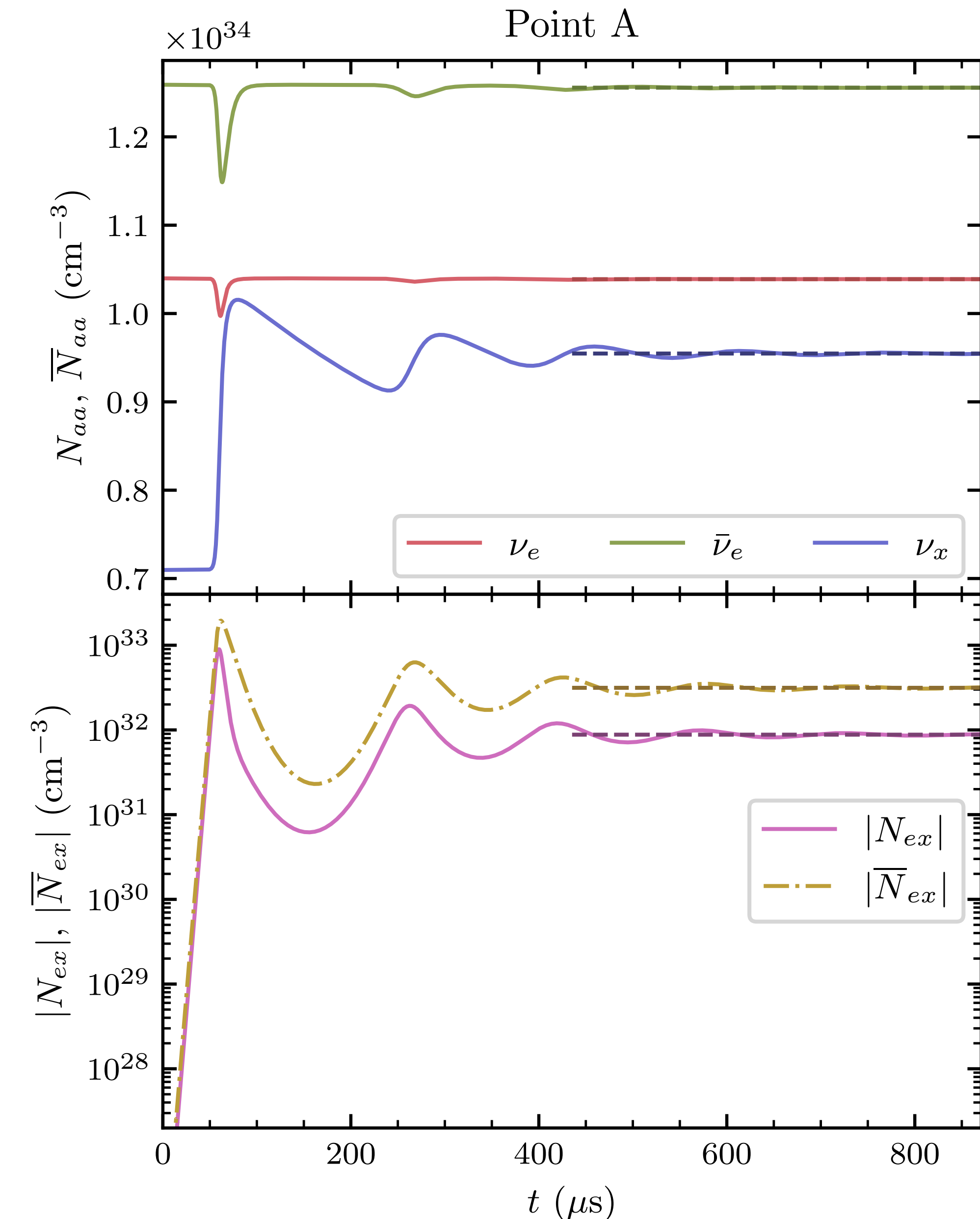


$$\frac{dN}{dt} = [\text{Oscillations}] + [\text{Collisions}]$$

$$\sim -\kappa [N - N^{(\text{cl})}]$$

- The classical steady-state is **unstable**  
 $\implies$  instability and flavor conversion
- $\nu_e$  and  $\bar{\nu}_e$  are quickly brought back to classical equilibrium
- Long-term relaxation of  $\nu_x$  toward  $N_{xx}^{(\text{cl})}$  (difference of timescales  $\kappa_x \ll \kappa_e, \bar{\kappa}_e$ )
- System **unstable again**

# Evolution and asymptotic state

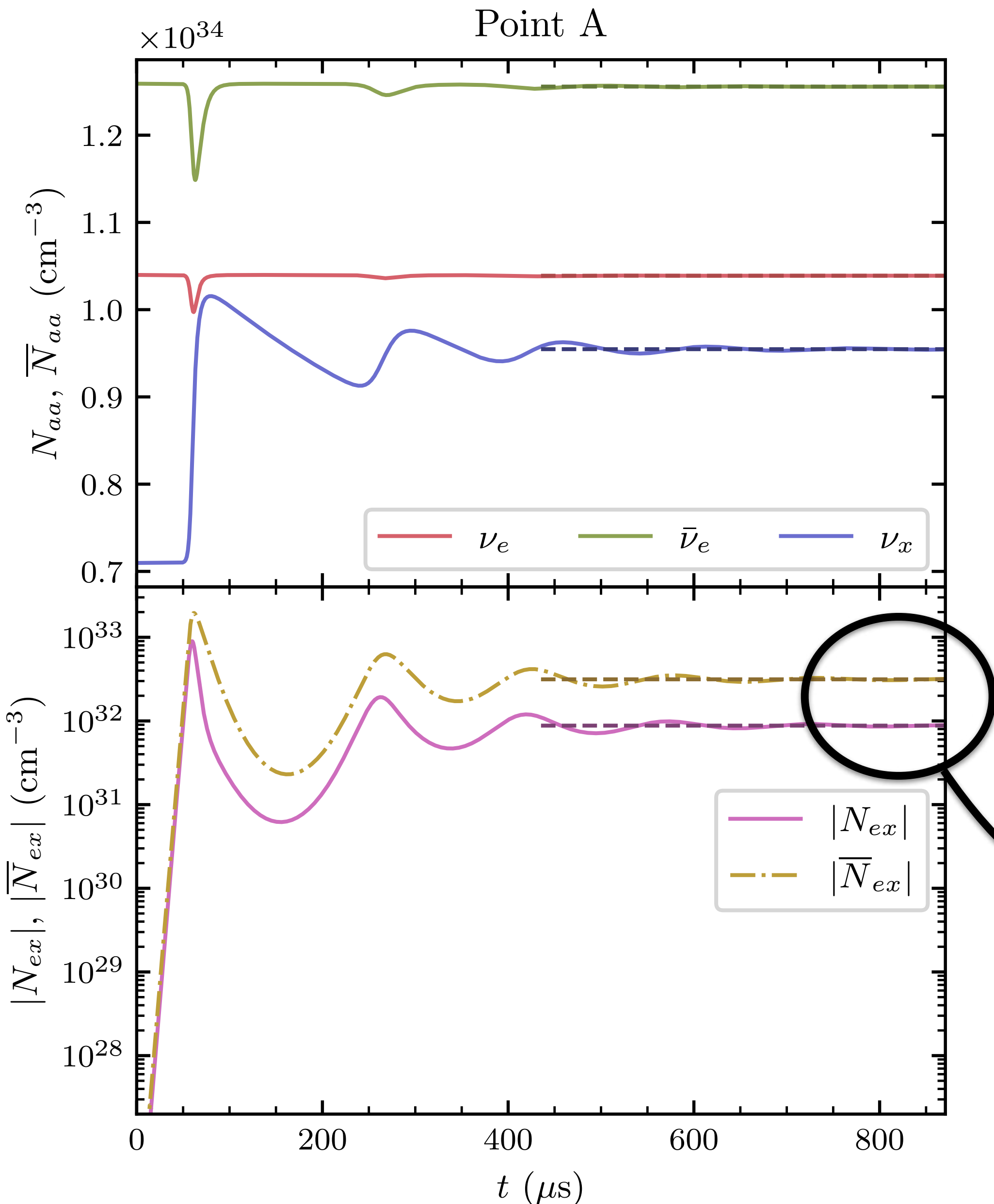


$$\frac{dN}{dt} = [\text{Oscillations}] + [\text{Collisions}]$$

$$\sim -\kappa [N - N^{(\text{cl})}]$$

- The classical steady-state is **unstable**
- $\implies$  instability and flavor conversion
- $\nu_e$  and  $\bar{\nu}_e$  are quickly brought back to classical equilibrium
- Long-term relaxation of  $\nu_x$  toward  $N_{xx}^{(\text{cl})}$  (difference of timescales  $\kappa_x \ll \kappa_e, \bar{\kappa}_e$ )
- System **unstable again**
- $\implies$  instability and (smaller) flavor conversion
- ...

# Evolution and asymptotic state



$$\frac{dN}{dt} = [\text{Oscillations}] + [\text{Collisions}]$$

$$\sim -\kappa [N - N^{(cl)}]$$

- The classical steady-state is unstable  $\implies$  instability and flavor conversion
- $\nu_e$  and  $\bar{\nu}_e$  are quickly brought back to classical equilibrium
- In the “quantum” equilibrium, the collision term does not vanish
- System unstable again  $\implies$  instability and (smaller) flavor conversion
- ...

**Nonzero flavor coherence**

# Summary

---

- Asymptotic state of **fast flavor instabilities**: *erasure of ELN-XLN crossing in the “shallow” angular domain*
  - ▶ Used in large-scale simulations! [Wang & Burrows, [2503.04896](#)], [Lund *et al.*, [2503.23727](#)]
  - ▶ Caveat: dependence on the boundary conditions [Zaizen & Nagakura, [2304.05044](#)]
- Study of the outcome of **collisional flavor instabilities**
  - ▶ “Compromise” between *relaxation to* / *instability of* classical steady-state
  - ▶ Flavor coherence cannot necessarily be neglected in the asymptotic state!

Limitations: single-energy, homogeneous calculation (large-scale advection effects?)

- Other instabilities (e.g., “slow”),... → need a *diversity of approaches*, **theoretical** and **numerical**, to address neutrino flavor transformation in astrophysical environments.



# Moment methods for classical neutrino transport

- Many large-scale simulations use angular moments:

$$\begin{array}{l}
 \text{Number density} \\
 \text{Flux density} \\
 \text{Pressure tensor}
 \end{array}
 \begin{bmatrix} N \\ F^i \\ P^{ij} \end{bmatrix}
 = \frac{p^2}{(2\pi)^3} \int d\Omega_{\vec{p}} \begin{bmatrix} 1 \\ \frac{p^i}{p} \\ \frac{p^i p^j}{p^2} \end{bmatrix} g(t, \vec{x}, \vec{p})$$

distribution function,  $\geq 0$

- Boltzmann equation:

$$\left( \frac{\partial}{\partial t} + \vec{v} \cdot \vec{\nabla} \right) g(t, \vec{x}, \vec{p}) = \left[ \frac{dg}{dt} \right]_{\text{coll}}$$

**TWO-MOMENT SCHEME ( $N, \vec{F}$ )**

**Hierarchy of moment equations**

$$\int d\Omega_{\vec{p}} \dots, \int d\Omega_{\vec{p}} \frac{p^i}{p} \dots$$

$$\begin{aligned}
 \frac{\partial N}{\partial t} + \frac{\partial F^j}{\partial x^j} &= S_N \\
 \frac{\partial F^i}{\partial t} + \frac{\partial P^{ij}}{\partial x^j} &= S_{F^i}
 \end{aligned}$$

Need for a closure

$$P^{ij}(N, F^k)$$

# Moment methods for quantum neutrino transport

- Many large-scale simulations use angular moments:

$$\begin{array}{l}
 \text{Number density} \\
 \text{Flux density} \\
 \text{Pressure tensor}
 \end{array}
 \begin{bmatrix}
 N_{\alpha\beta} \\
 F_{\alpha\beta}^i \\
 P_{\alpha\beta}^{ij}
 \end{bmatrix}
 = \frac{p^2}{(2\pi)^3} \int d\Omega_{\vec{p}} \begin{bmatrix}
 1 \\
 \frac{p^i}{p} \\
 \frac{p^i p^j}{p^2}
 \end{bmatrix} \varrho_{\alpha\beta}(t, \vec{x}, \vec{p})$$

2-flavor system

$$\varrho = \begin{pmatrix} \varrho_{ee} & \varrho_{ex} \\ \varrho_{ex}^* & \varrho_{xx} \end{pmatrix} \in \mathbb{C}$$

**Flavor matrix**

⇒ much more complicated closure problem!

- Quantum Kinetic equation:

$$\left( \frac{\partial}{\partial t} + \vec{v} \cdot \vec{\nabla} \right) \varrho_{\alpha\beta}(t, \vec{x}, \vec{p}) = -i [\mathcal{H}, \varrho]_{\alpha\beta} + \left[ \frac{d\varrho}{dt} \right]_{\text{coll}, \alpha\beta}$$

**Hierarchy of moment equations**

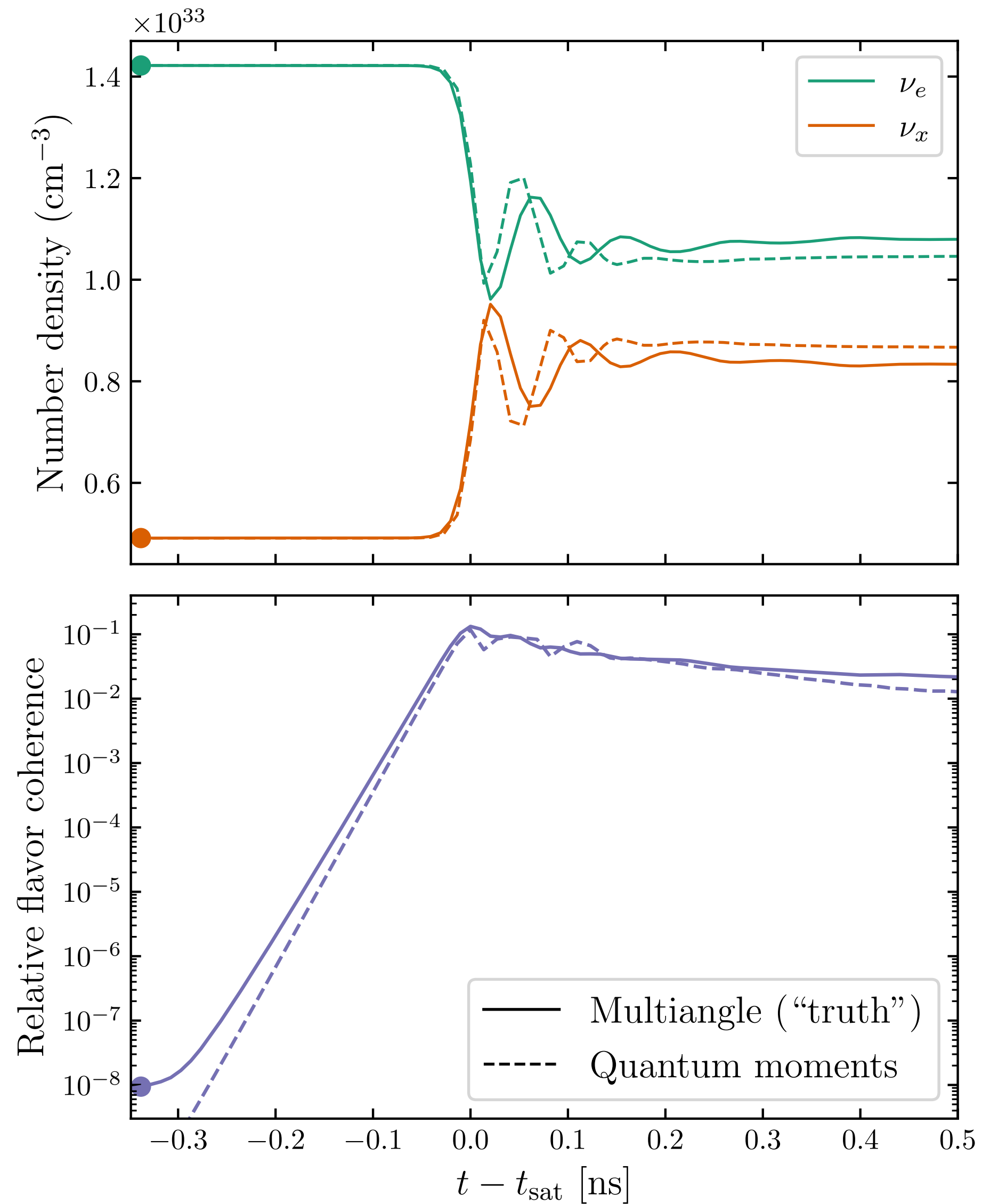
$$\int d\Omega_{\vec{p}} \dots, \int d\Omega_{\vec{p}} \frac{p^i}{p} \dots$$

$$\begin{aligned}
 \frac{\partial N_{\alpha\beta}}{\partial t} + \frac{\partial F_{\alpha\beta}^j}{\partial x^j} &= S_{N, \alpha\beta} \\
 \frac{\partial F_{\alpha\beta}^i}{\partial t} + \frac{\partial P_{\alpha\beta}^{ij}}{\partial x^j} &= S_{F^i, \alpha\beta}
 \end{aligned}$$

Need for a closure

$$P_{\alpha\beta}^{ij}(N_{\gamma\delta}, F_{\sigma\kappa}^k)$$

# Quantum moments and neutrino flavor instabilities

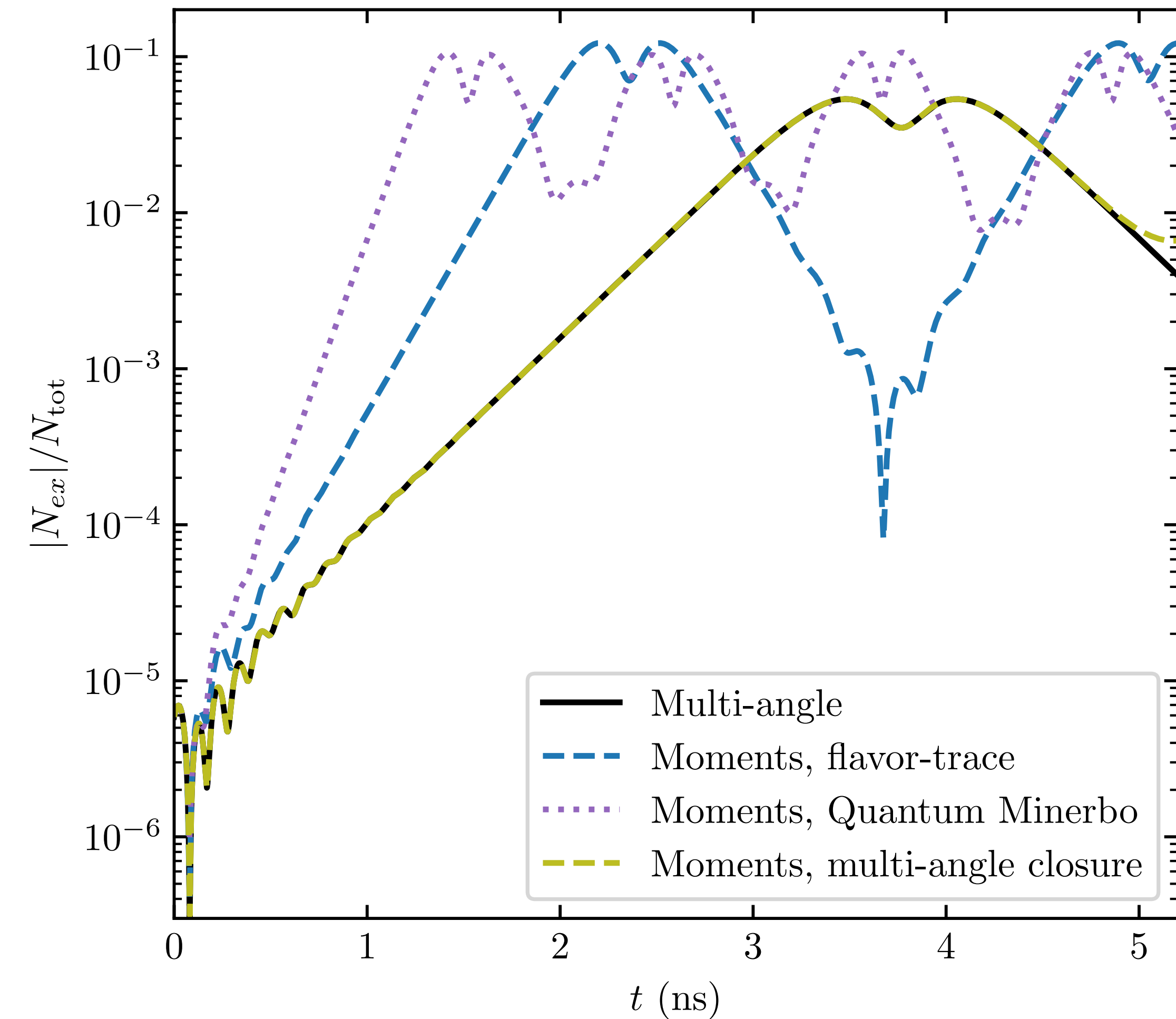


- Moments can describe flavor instabilities!

E. Grohs *et al.* [[2207.02214](#), [2309.00972](#), [2501.05740](#)]

# Quantum moments and neutrino flavor instabilities

Homogeneous FFI from [2410.00719]



- Moments can describe flavor instabilities!

E. Grohs *et al.* [[2207.02214](#), [2309.00972](#), [2501.05740](#)]

- Underlying issue: **the “closure” problem**

- ▶ Quantum extension of the *maximum entropy closure*

J. Froustey *et al.* [[2409.05807](#)]

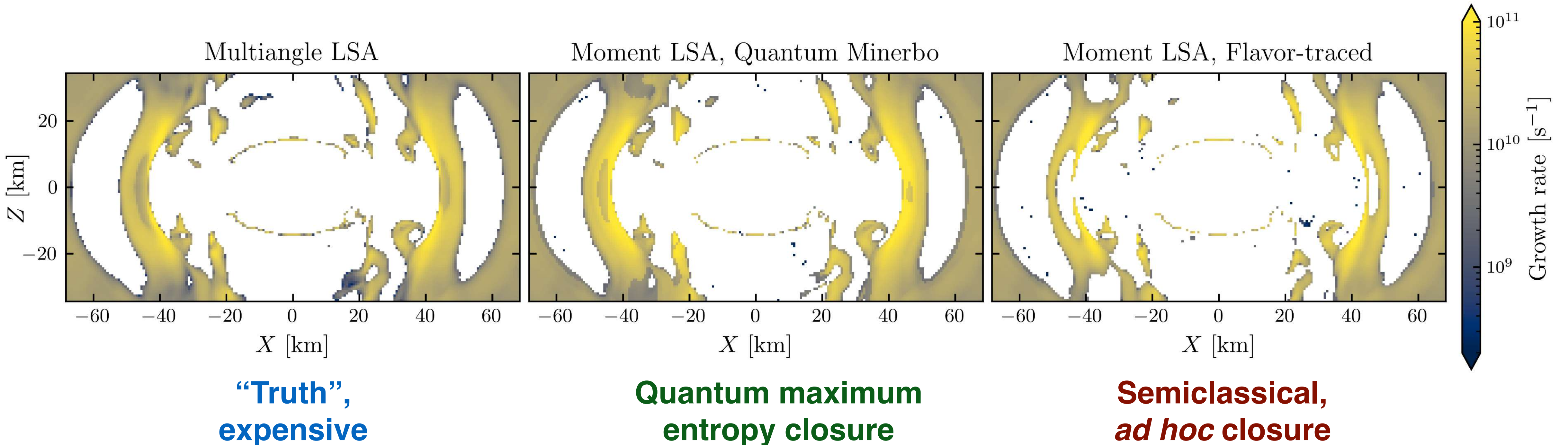
- ▶ General parameterization of quantum closures

J. Kneller *et al.* [[2410.00719](#)]

- ▶ Exploration needed for other instabilities and large-scale calculations

# Quantum maximum entropy closure

NSM 5 ms post-merger snapshot from F. Foucart *et al.* [1607.07450]



Better performance of the Quantum Minerbo closure in finding regions of instabilities

# Points A and B

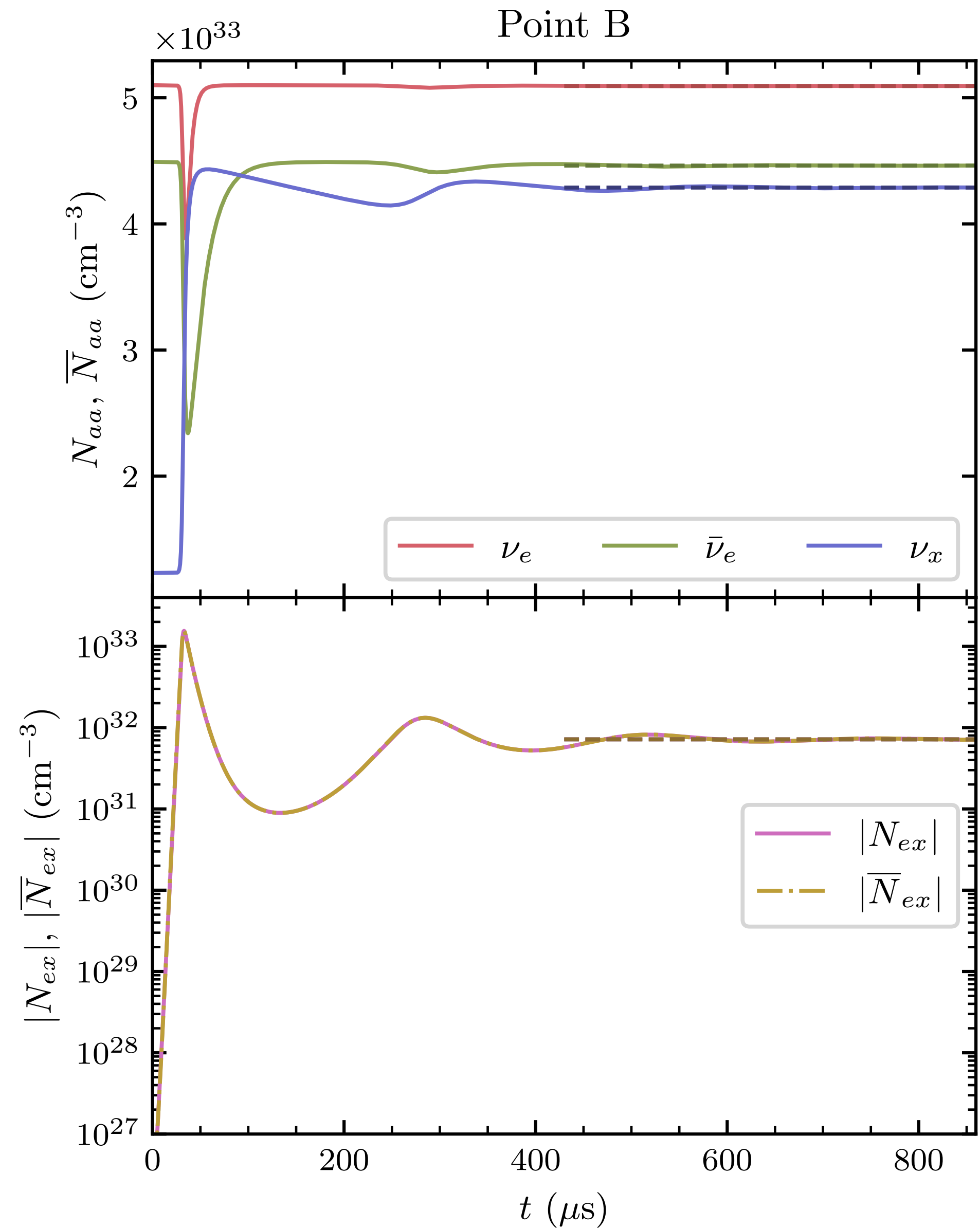
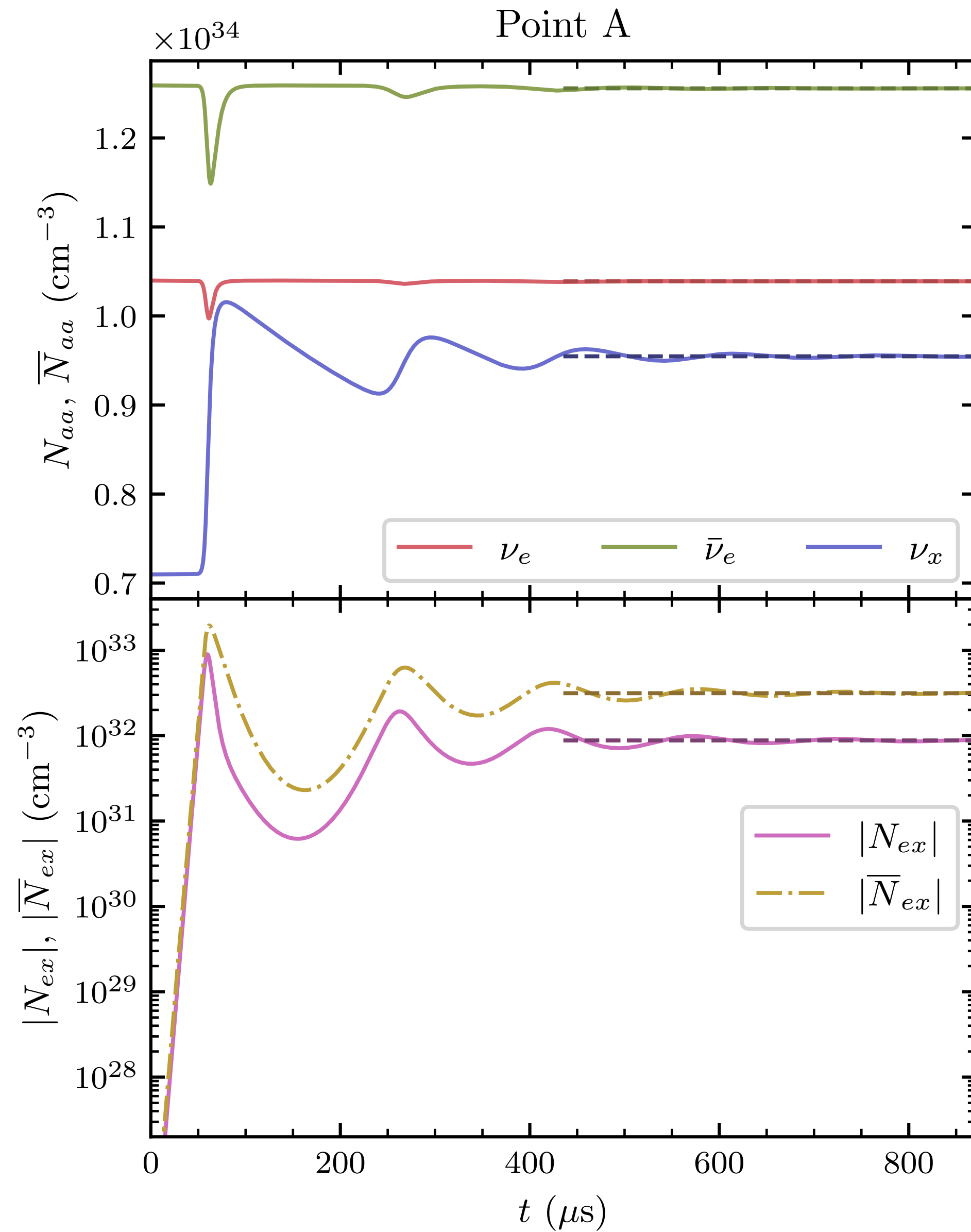
Parameters:

	Point A	Point B
$N_{ee}$ (cm <sup>-3</sup> )	$1.04 \times 10^{34}$	$5.10 \times 10^{33}$
$\bar{N}_{ee}$ (cm <sup>-3</sup> )	$1.26 \times 10^{34}$	$4.49 \times 10^{33}$
$N_{xx} = \bar{N}_{xx}$ (cm <sup>-3</sup> )	$7.10 \times 10^{33}$	$1.23 \times 10^{33}$
$\rho$ (g.cm <sup>-3</sup> )	$2.92 \times 10^{11}$	$2.50 \times 10^{11}$
$Y_e$	0.298	0.231
$\langle E_\nu \rangle$ (MeV)	35.7	34.6
$\kappa_e$ (s <sup>-1</sup> )	$7.63 \times 10^5$	$2.78 \times 10^5$
$\bar{\kappa}_e$ (s <sup>-1</sup> )	$2.11 \times 10^5$	$5.95 \times 10^4$
$\kappa_x = \bar{\kappa}_x$ (s <sup>-1</sup> )	$2.87 \times 10^3$	$5.82 \times 10^2$

Timescales:

	Point A	Point B
$\sqrt{2}G_F N_{ee} - \bar{N}_{ee} $ (s <sup>-1</sup> )	$4.2 \times 10^{11}$	$1.2 \times 10^{11}$
$\sqrt{2}G_F n_e$ (s <sup>-1</sup> )	$1.0 \times 10^{13}$	$6.9 \times 10^{12}$
$\Delta m^2 / 2\langle E_\nu \rangle$ (s <sup>-1</sup> )	$5.3 \times 10^4$	$5.5 \times 10^4$

# Points A and B



- We can also predict the asymptotic size of the off-diagonal components

## Modulus-phase

$$N_{ex} = R e^{iS}$$

$$\bar{N}_{ex} = \bar{R} e^{i\bar{S}}$$

$$\Delta S = S - \bar{S}$$

## Steady-state

$$\dot{N}_{ee} = 0$$

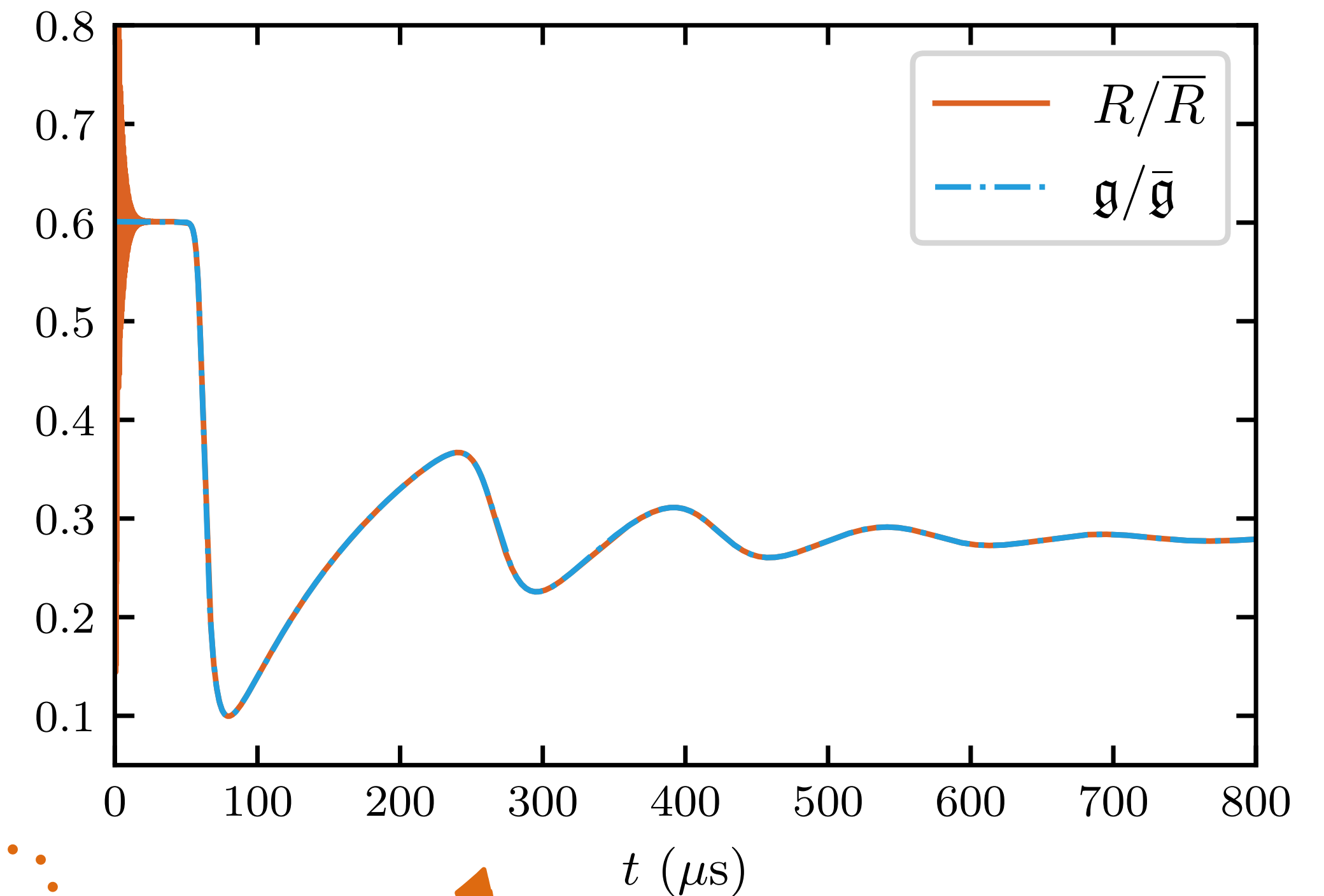
$$\dot{\bar{N}}_{ee} = 0$$

$$\dot{N}_{xx} = 0$$

$$\dot{R} = 0$$

$$\dot{\bar{R}} = 0$$

$$\frac{R}{\bar{R}} = \frac{g}{\bar{g}} = \frac{N_{ee} - N_{xx}}{\bar{N}_{ee} - N_{xx}}$$



- We can also predict the asymptotic size of the off-diagonal components

$$\kappa_e [N_{ee}^{(\infty)} - N_{ee}^{(\text{cl})}] = -\kappa_x [N_{xx}^{(\infty)} - N_{xx}^{(\text{cl})}],$$

$$\kappa_e [N_{ee}^{(\infty)} - N_{ee}^{(\text{cl})}] = \bar{\kappa}_e [\bar{N}_{ee}^{(\infty)} - \bar{N}_{ee}^{(\text{cl})}],$$

$$\kappa_e [N_{ee}^{(\infty)} - N_{ee}^{(\text{cl})}] = -2\sqrt{2}G_F R^{(\infty)} \bar{R}^{(\infty)} \sin[\Delta S^{(\infty)}],$$

$$\Gamma R^{(\infty)} = \sqrt{2}G_F [N_{ee}^{(\infty)} - N_{xx}^{(\infty)}] \bar{R}^{(\infty)} \sin[\Delta S^{(\infty)}],$$

$$\bar{\Gamma} \bar{R}^{(\infty)} = \sqrt{2}G_F [\bar{N}_{ee}^{(\infty)} - N_{xx}^{(\infty)}] R^{(\infty)} \sin[\Delta S^{(\infty)}],$$

ratio

$$\frac{R^{(\infty)}}{\bar{R}^{(\infty)}} = \frac{N_{ee}^{(\infty)} - N_{xx}^{(\infty)}}{\bar{N}_{ee}^{(\infty)} - N_{xx}^{(\infty)}}$$

$$\Gamma [N_{ee}^{(\infty)} - N_{xx}^{(\infty)}] = \bar{\Gamma} [\bar{N}_{ee}^{(\infty)} - N_{xx}^{(\infty)}]$$

Edge of instability,  $\text{Im}(\Omega_-) = 0$

- We can also predict the asymptotic size of the off-diagonal components

$$\kappa_e [N_{ee}^{(\infty)} - N_{ee}^{(\text{cl})}] = -\kappa_x [N_{xx}^{(\infty)} - N_{xx}^{(\text{cl})}],$$

$$\kappa_e [N_{ee}^{(\infty)} - N_{ee}^{(\text{cl})}] = \bar{\kappa}_e [\bar{N}_{ee}^{(\infty)} - \bar{N}_{ee}^{(\text{cl})}],$$

$$\kappa_e [N_{ee}^{(\infty)} - N_{ee}^{(\text{cl})}] = -2\sqrt{2}G_F R^{(\infty)} \bar{R}^{(\infty)} \sin[\Delta S^{(\infty)}],$$

$$\Gamma R^{(\infty)} = \sqrt{2}G_F [N_{ee}^{(\infty)} - N_{xx}^{(\infty)}] \bar{R}^{(\infty)} \sin[\Delta S^{(\infty)}],$$

$$\bar{\Gamma} \bar{R}^{(\infty)} = \sqrt{2}G_F [\bar{N}_{ee}^{(\infty)} - N_{xx}^{(\infty)}] R^{(\infty)} \sin[\Delta S^{(\infty)}],$$

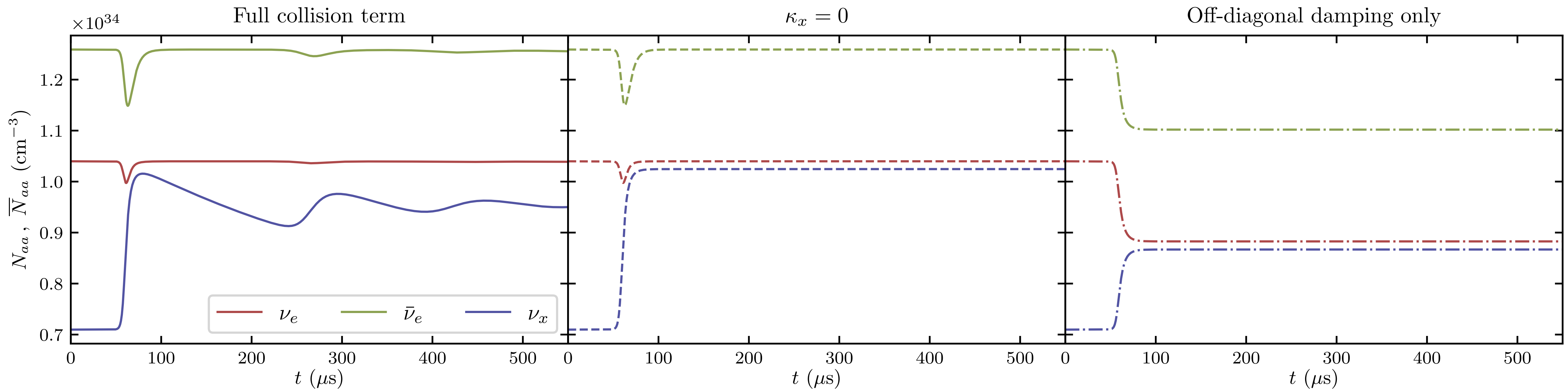
$$\frac{R^{(\infty)}}{\bar{R}^{(\infty)}} = \frac{N_{ee}^{(\infty)} - N_{xx}^{(\infty)}}{\bar{N}_{ee}^{(\infty)} - N_{xx}^{(\infty)}}$$

**Deviation from classical steady-state  
because of flavor coherence**

# Treatment of collisions

Collision term:

$$\begin{pmatrix} -\kappa_e [N_{ee} - N_{ee}^{(cl)}] & -\frac{\kappa_e + \kappa_x}{2} N_{ex} \\ -\frac{\kappa_e + \kappa_x}{2} N_{xe} & -\kappa_x [N_{xx} - N_{xx}^{(cl)}] \end{pmatrix} \quad \begin{pmatrix} -\kappa_e [N_{ee} - N_{ee}^{(cl)}] & -\frac{\kappa_e}{2} N_{ex} \\ -\frac{\kappa_e}{2} N_{xe} & 0 \end{pmatrix} \quad \begin{pmatrix} 0 & -\frac{\kappa_e + \kappa_x}{2} N_{ex} \\ -\frac{\kappa_e + \kappa_x}{2} N_{xe} & 0 \end{pmatrix}$$



→ Equipartition is an artifact of neglecting the repopulation of  $\nu_e, \bar{\nu}_e$