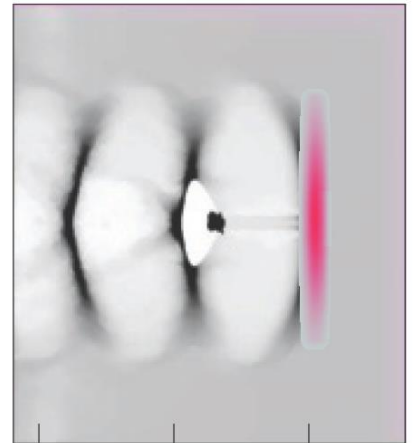


Options for proton-driven particle acceleration and simulation tools

Alexander Pukhov

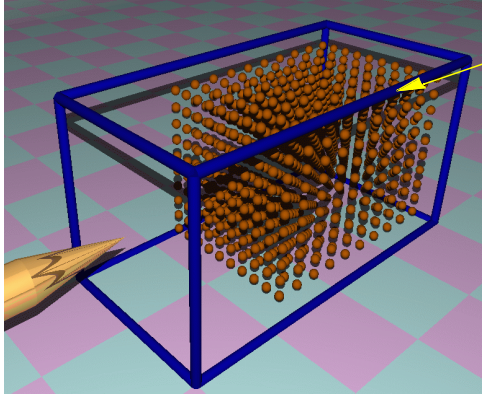
Heinrich-Heine-University Dusseldorf

01.10.2024



- **Explicit electromagnetic PIC codes**
- **Lorentz boost**
- **Quasi-static approximation**
- **Hybrid methods**

A. Pukhov, J. Plasma Phys. 61, 425 (1999)



Plasma or neutral gas

Gas of an arbitrary element can be used.

The code VLPL is written in C++, object oriented, parallelized using MPI for **Massively Parallel** performance
 10^9 particles and 10^8 cells can be treated

Advanced physics & numerics

Fields

$$\nabla \times \mathbf{B} = \frac{1}{c} \frac{\partial \mathbf{E}}{\partial t} + \frac{4\pi}{c} \mathbf{j}$$

$$\nabla \times \mathbf{E} = -\frac{1}{c} \frac{\partial \mathbf{B}}{\partial t}$$

Particles

$$\frac{d\mathbf{p}}{dt} = q\mathbf{E} + \frac{q}{c\gamma} \mathbf{p} \times \mathbf{B}$$

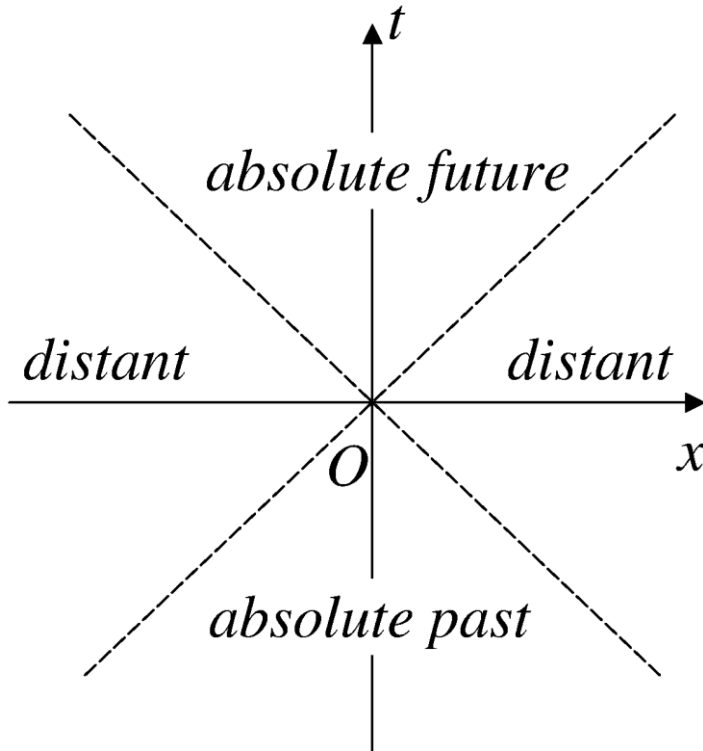
$$\gamma = \sqrt{1 + \frac{p^2}{(mc)^2}}$$

- Inelastic processes
- Radiation damping
- QED effects
- hybrid hydro model
- quasi-static approximation

Basic equations: full Maxwell

Ampere's law	$\frac{1}{c} \frac{\partial \mathbf{E}}{\partial t} = \nabla \times \mathbf{B} - \frac{4\pi}{c} \mathbf{j}$	} Dynamic equations
Faraday's law	$\frac{1}{c} \frac{\partial \mathbf{B}}{\partial t} = -\nabla \times \mathbf{E}$	
Poisson's eq.	$\nabla \cdot \mathbf{E} = 4\pi\rho$	} Static equations
No magnetic dipoles	$\nabla \cdot \mathbf{B} = 0$	

Locality of the equations



Only particles within the radius of $c\tau$ communicate to each other at every time step τ .

This allows for efficient parallelization using domain decomposition

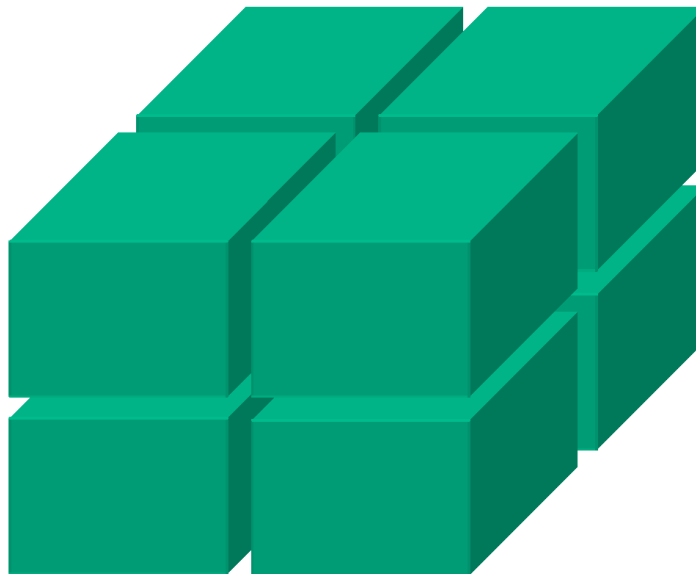
No global communications

Implementation of a PIC code

Parallelization: domain decomposition



Full simulation domain



3d domain decomposition

Distribution function and kinetic equation

N -particles distribution function:

$$F_N(t, \mathbf{r}_1, \mathbf{p}_1, \dots, \mathbf{r}_N, \mathbf{p}_N)$$

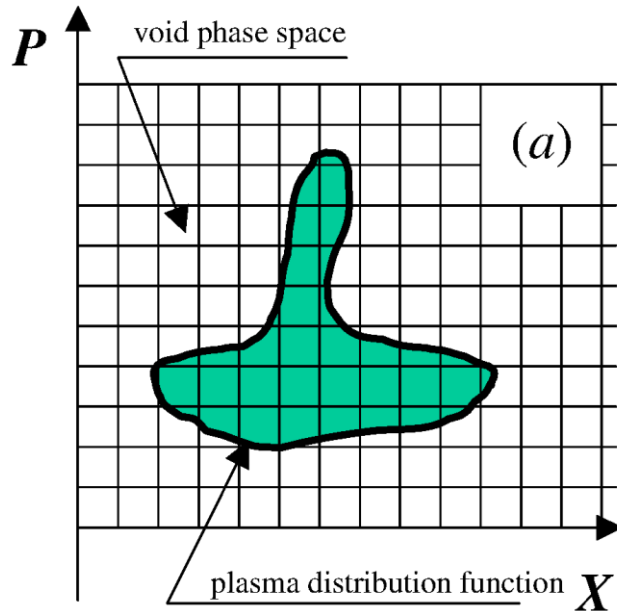
Nearly ideal plasma:
single particle distribution function:

$$f(t, \mathbf{r}, \mathbf{p})$$

$$\frac{\partial f(t, \mathbf{r}, \mathbf{p})}{\partial t} + \frac{\mathbf{p}}{m\gamma} \nabla_{\mathbf{r}} \cdot f(t, \mathbf{r}, \mathbf{p}) + q \left[\mathbf{E} + \frac{\mathbf{p}}{mc\gamma} \times \mathbf{B} \right] \nabla_{\mathbf{p}} \cdot f(t, \mathbf{r}, \mathbf{p}) = St$$

How to solve this equation?

Eulerian approach, FDTD “Vlasov codes”



Very inefficient:
a lot of empty phase space
has to be processed.

However, temperature effects
may be described more carefully.
Low noise.
May be subject
to numerical diffusion.

“Finite elements” approach, integrating along characteristics

Vlasov is a transport Eq in 6D space

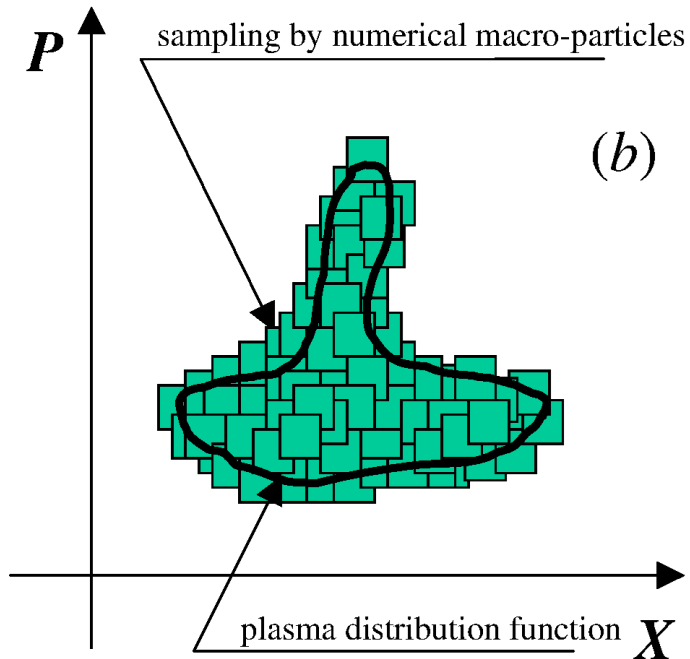
Characteristics of the Vlasov Eq.
coincide with the equations of motion

Thus, we just push
the numerical macroparticles
in self-consistent
electromagnetic fields

$$\frac{d\mathbf{p}}{dt} = q \left[\mathbf{E} + \frac{\mathbf{p}}{mc\gamma} \times \mathbf{B} \right] + \mathbf{F}_{st}$$

$$\frac{d\mathbf{r}}{dt} = \frac{\mathbf{p}}{m\gamma}$$

Sampling phase space: numerical macroparticles



Computationally efficient:
only filled parts of phase space
have to be processed.

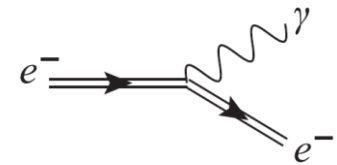
However, temperature effects
are poorly described,
or require very many particles.
Noisy as $N^{1/2}$.

- Quantify importance of QED with parameter

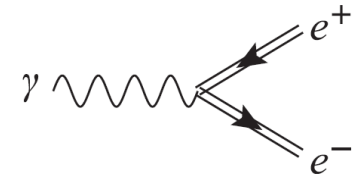
$$\chi = \frac{\sqrt{-(F_{\mu\nu}p^\nu)^2}}{m_e c E_{\text{cr}}}$$

- $E_{\text{cr}} \approx 1.3 \times 10^{18}$ V/m (“Schwinger field“)
- $\chi \rightarrow 1$: hard-photon emission, electron-positron pair creation, ... become important
- $\chi \approx 1600$: fully non-perturbative QED
 - no experiments
 - only preliminary analytical studies

Photon emission



Pair production



V. Ritus, *Ann. Phys.* **69**, 555 (1972)
V. Yakimenko et al., *PRL* **122**, 190404 (2019)

Monte-Carlo QED loop (Photon emission)

1. Randomized photon energy

$$\epsilon_\gamma = r_1 \epsilon_e, \quad r_1 \in [0,1]$$

2. Calculate probability rate

$$\frac{dW_{\text{rad}}}{d\epsilon_\gamma} = - \frac{\alpha m^2 c^4}{\hbar \epsilon_e^2} \left[\int_x^\infty \text{Ai}(\xi) d\xi + \left(\frac{2}{x} + \chi_\gamma \sqrt{x} \right) \text{Ai}'(x) \right]$$

3. Probability of decay process

$$W_{\text{decay}} = \frac{dW_{\text{rad}}}{d\epsilon_\gamma} \epsilon_e \Delta t$$

4. Second random number for photon emission

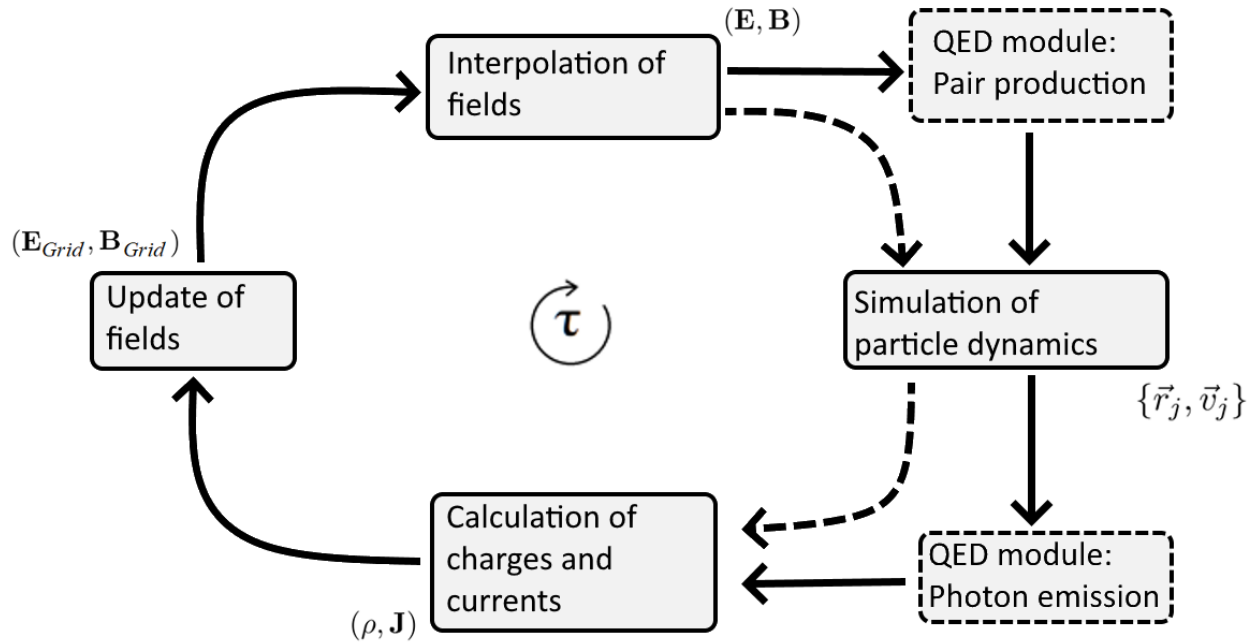
$$r_2 \geq W_{\text{decay}}, \quad r_2 \in [0,1]$$

(Similar procedure for electron-positron pair creation)

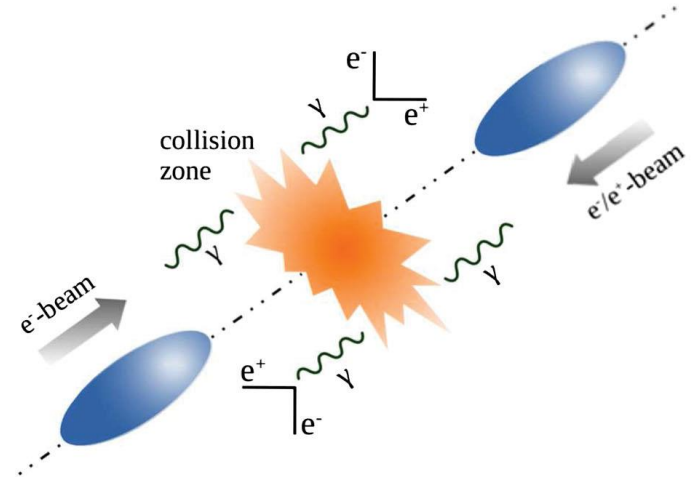
N. Elkina, PRAB 14, 054401 (2011)

Modeling QED effects

Modified PIC cycle



- Utilize particle beams instead of intense laser fields
- Particles of one beam experience the strong fields of the other
- Reach fields $> E_{cr}$ **in reference frame**
 - Creation of secondary particles
 - Study of non-perturbative QED possible



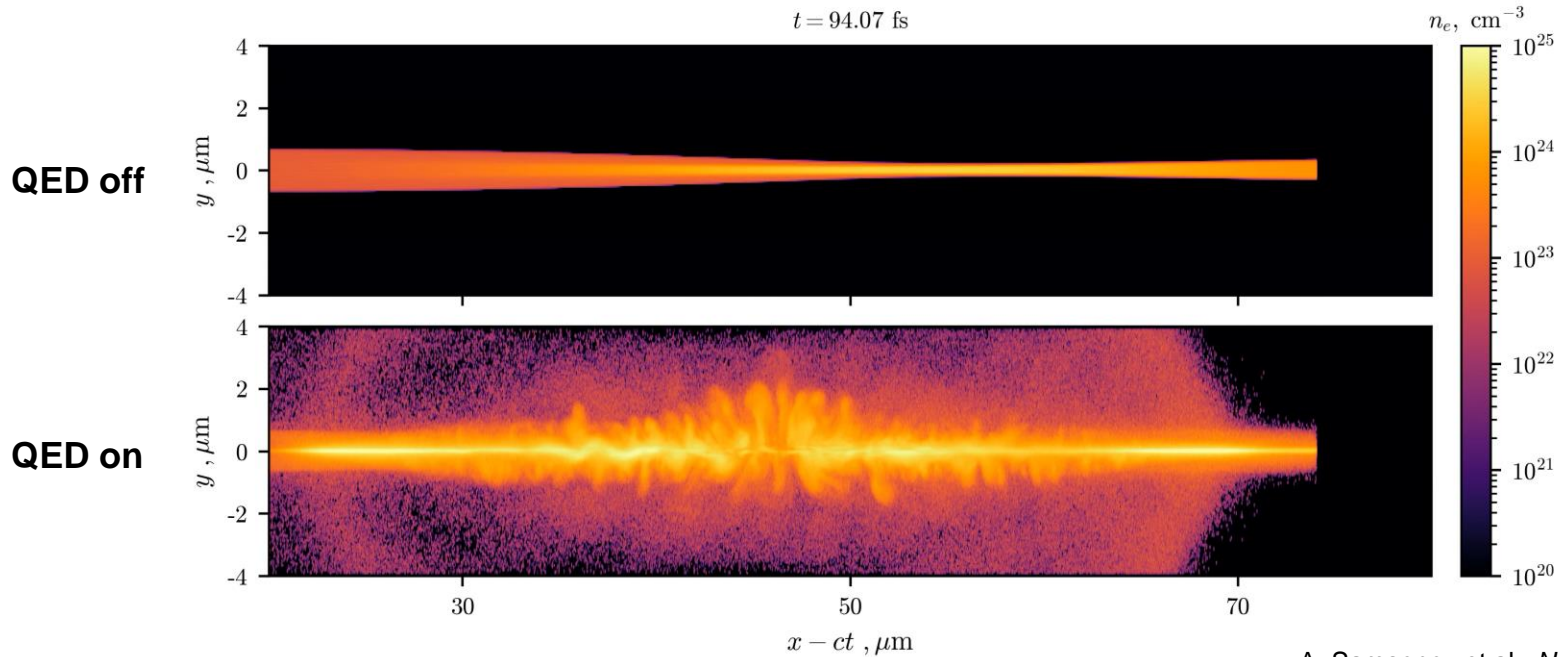
- Particles are subject to betatron oscillations during beam collision
- Disruption time: characteristic time for particle to reach central axis
 - Disruption parameter D quantifies beam distortion
- Generally: small disruption parameter wanted
 - Reduce interference in precision measurements
- Beamstrahlung: radiation reaction losses due to bent particle trajectory
 - Usually unwanted for colliders

$$D_0 = \frac{\omega_b^2 \sigma_z^2}{2\gamma c^2}$$

Goal: find expression for disruption parameter with RR

Beamstrahlung-enhanced disruption

Collision of two cylindrical beams (Movie)



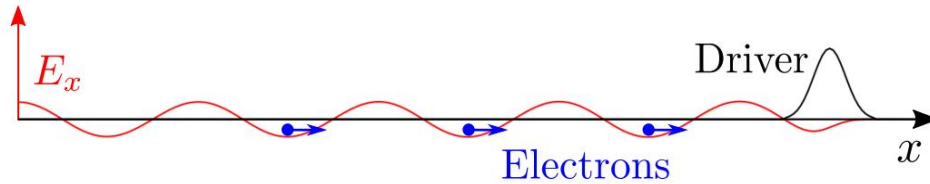
A. Samsonov et al., *NJP* **23**, 103040 (2021)

Results

- For $\chi_0 = 10$: high yield of electron-positron pairs
 - Formation of a QED cascade
- Simulations with QED exhibit kink instability
 - QED effects are probabilistic \rightarrow changes in symmetric particle distribution
- Requirement „ $D \rightarrow 0$ “ poses restrictions on beam length and diameter
 - Considering RR strengthens restrictions
- Estimate for disruption parameter in QED regime ($\chi_0 \gg 1$):

$$\frac{D}{D_0} \approx 30.8(\epsilon_b [100 \text{ GeV}] n_e [10^{21} \text{ cm}^{-3}] r_b [\mu\text{m}]^4)^{-1/3}$$

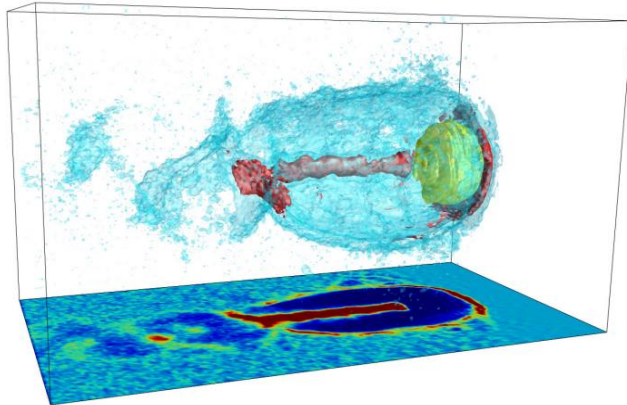
PIC codes for plasma-based acceleration



Bubble regime

A.Pukhov & J.Meyer-ter-Vehn,
Appl. Phys. B, **74**, p.355 (2002)

- Plasma wake fields offer a promising alternative to conventional particle accelerators



- Ultra-short laser pulse or particle bunch creates driven plasma oscillation
- Secondary particles can become accelerated in the wake
- Accelerating field reaches hundreds of GV/m
- Simulations carried out with Virtual Laser Plasma Lab

The multi-scale problem

The plasma acceleration has several very disparate scales

1. Small scale: laser wavelength λ or plasma wavelength λ_p
2. Medium scale: driver length
3. Large scale: acceleration length $L_A = 10^4 \dots 10^7 \lambda, \lambda_p$

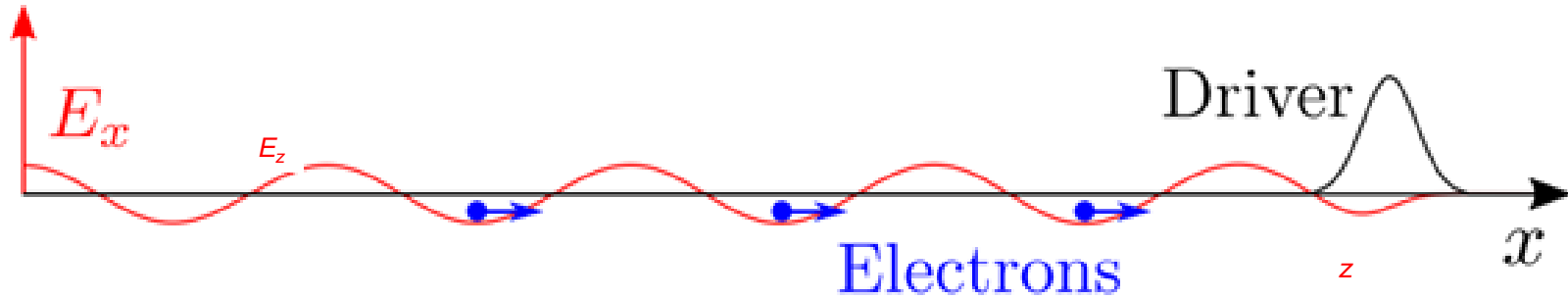
Analytical methods to bridge the scales gap

First-principles PIC codes are universal but computationally expensive. Efficient analytical methods exist to handle the multi-scale problems.

1. Envelope approximation for the laser removes the laser wavelength λ scale
2. Quasi-static approximation explicitly separates the fast coordinate $\zeta=z-ct$ and the slow evolution time $\tau=t$

Any approximation means some physics is neglected though...

Quasi-static approximation



We separate the fast coordinate $\zeta = z - ct$
and the slow evolution time $\tau = t$.

$$\frac{\partial}{\partial t} \ll \frac{\partial}{\partial \zeta}$$

It is assumed that the driver does not change during the time it passes its own length

Quasi-static approximation Field equations

We change variables to the fast coordinate $\zeta=z-ct$ and the slow evolution time $\tau=t$ in Maxwell Eqs.:

and neglect
the time derivatives
in (1) and (2), so that

$$\partial_t = -\partial_\zeta \quad \partial_\tau = 0$$

$$\nabla \times B = j + \partial_t E \quad (1)$$

$$\nabla \times E = -\partial_t B \quad (2)$$

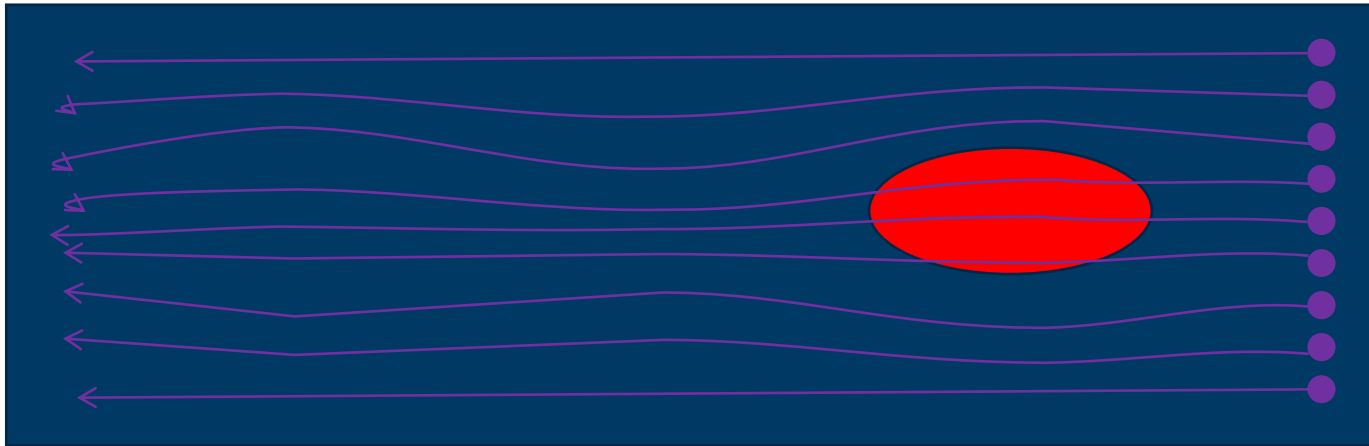
$$\nabla \cdot B = 0 \quad (3)$$

$$\nabla \cdot E = \rho \quad (4)$$

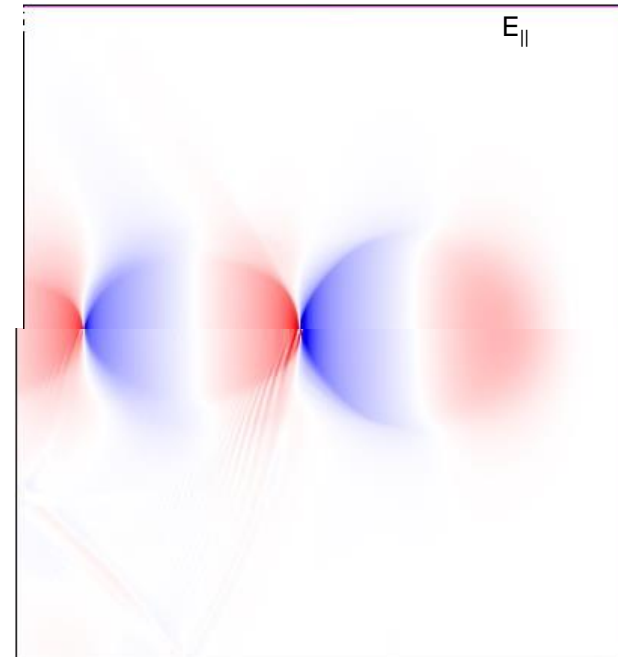
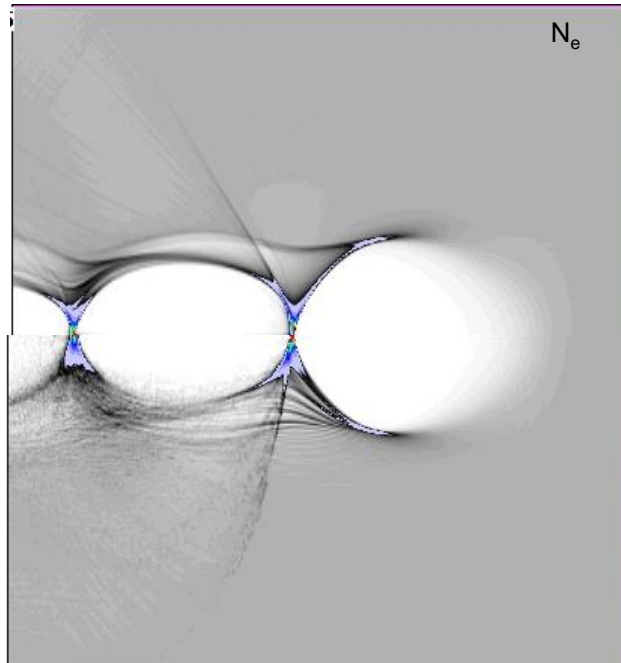
Thus, the quasi-static approximation cannot treat radiation anymore!

Pushing particles

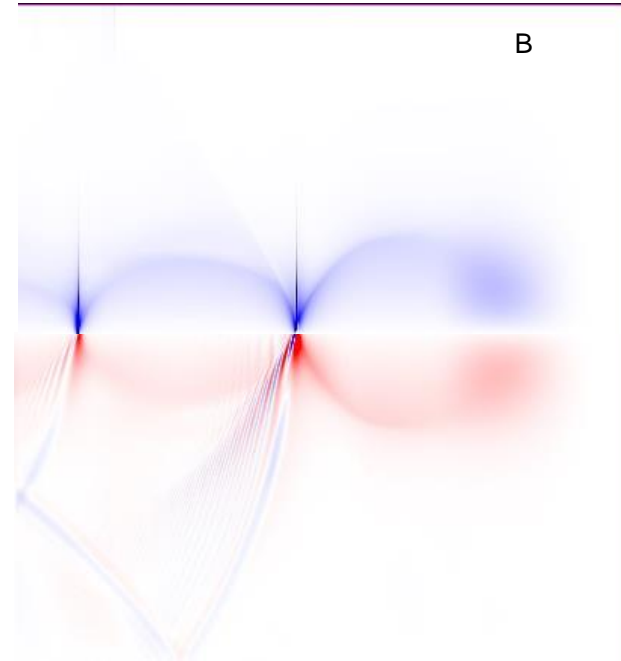
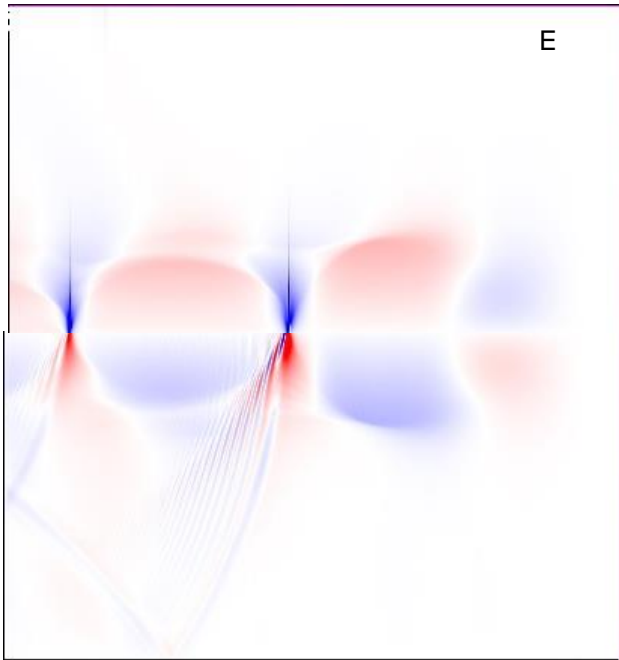
In quasi-static codes, one seeds a single layer of particles at the leading edge of the simulation box and pushes them through the driver



Compare quasi-static qs-VLPL3D vs explicit VLPL3D



Compare quasi-static VLPL3D vs explicit



What limits the time- and space-steps

- Witness betatron frequency limits the slow time step:
injecting 1GeV witness limits times step to $c\tau \leq 30\text{mm}$
for 300m acceleration distance we need $\sim 10^4$ time steps
- The simulation box must be ~ 5 plasma wavelengths because of dephasing
- Step in ξ must be small enough, $\Delta\xi k_p \sim 0.1$
- Because of the low normalized emittance, $\varepsilon_n \sim 100\text{nm}$, the witness bunch pinches to very small transverse sizes. The radial resolution must be $\sim 10\text{nm}$
- Reliable results require full 3D simulations, or at least quasi-3D.
2D radially symmetric should be considered with a grain of salt

- Full electromagnetic codes: VLPL3D, OSIRIS, WARP-X...
- Quasi-3D full electromagnetic codes: FBPIC
- Full 3D quasi-static codes: QV3D, HIPACE++, LCODE3D
- 2D radially-symmetric quasi-static codes: LCODE, WAKE-T
- quasi-3D quasi-static PIC code: QUICKPIC (?)

All codes have their advantages and caveats...

Alternative configurations

- We know how to accelerate protons to multi-TeV energies
- This energy must be converted into energy of leptons
- Single stage acceleration
- Wake field acceleration

Options for acceleration

Dielectric structures

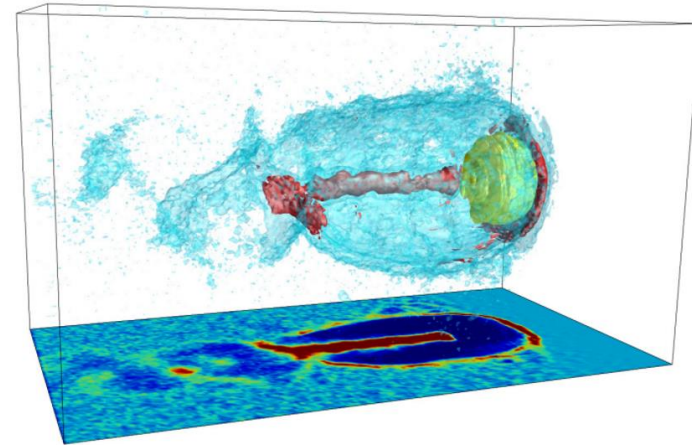
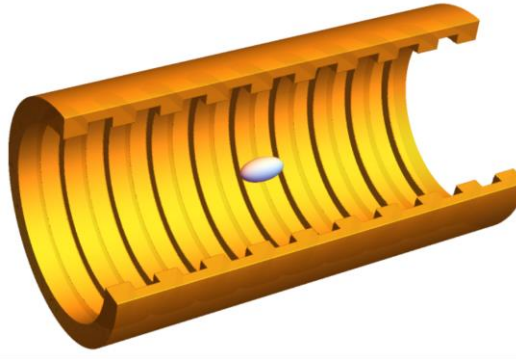
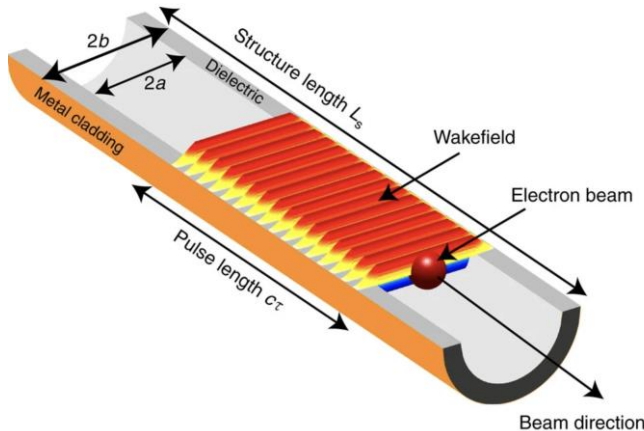
~1 GV/m

Corrugated metallic pipe

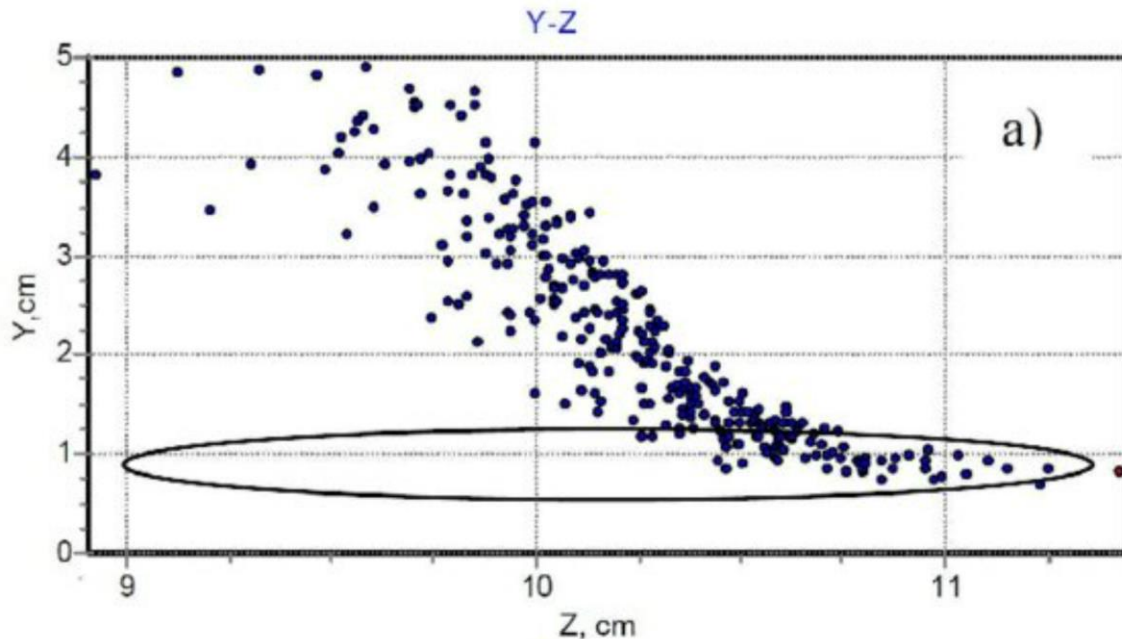
~100 MV/m

Plasmas

1GV...10TV/m



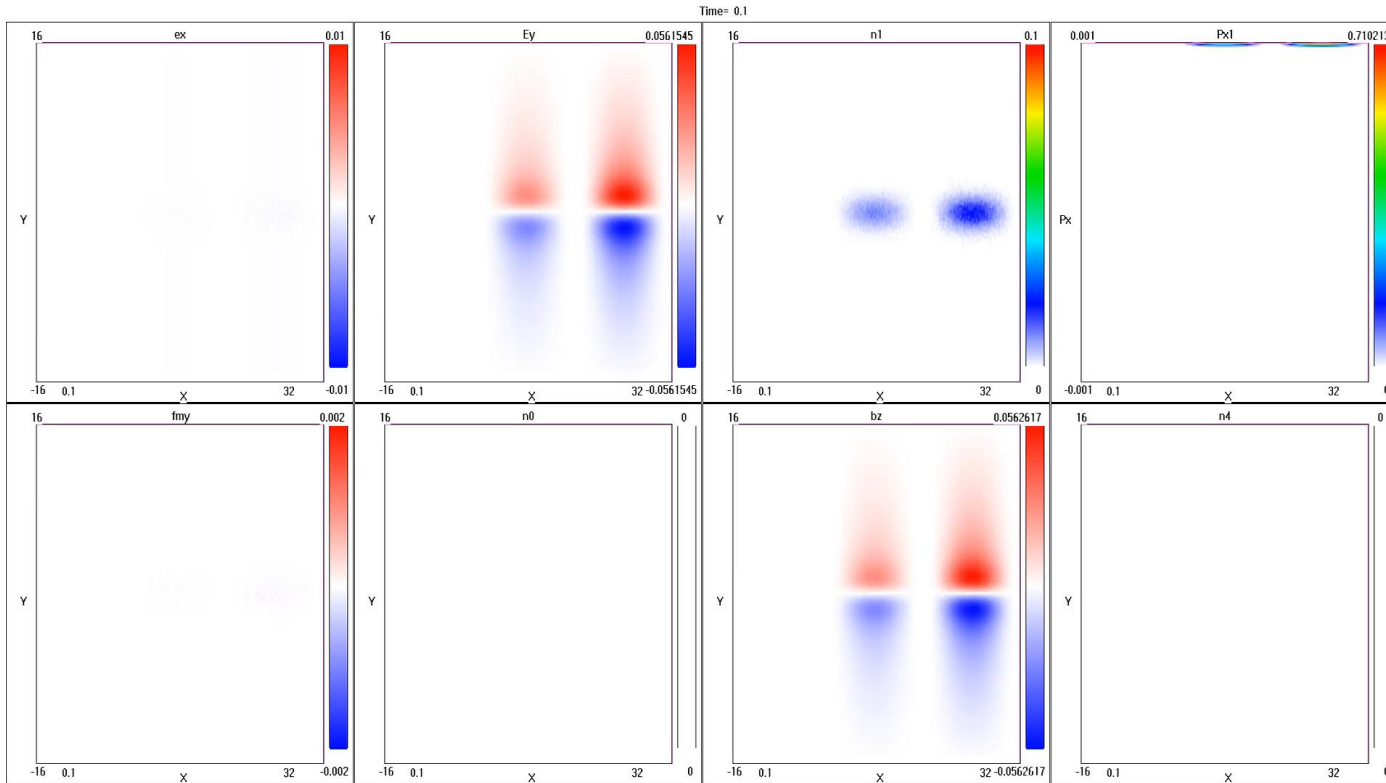
BBU limits wakefield in structures



Tail of the bunch
is attracted to the
wall

Fig. by Alexandr Moiseevich Altmark

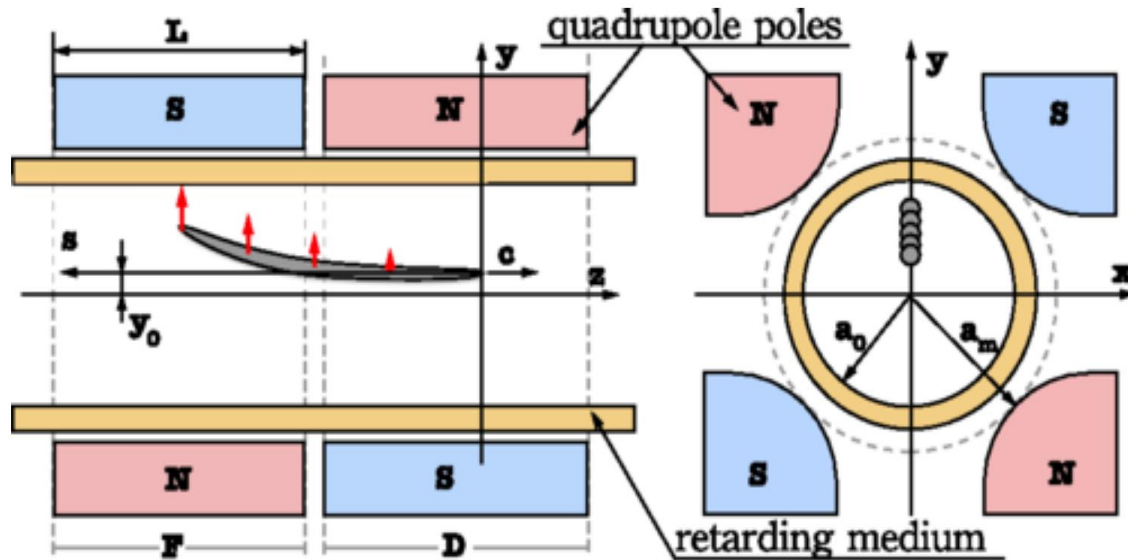
The main limit for SWFA: Beam Break Up (BBU)



Driver and
witness in a
corrugated
waveguide

VLPL3D
simulation

Strong focusing (and chirp) to stabilize the BBU



1.5 T quadrupoles
can provide (may be)
up to 100 MV/m
stable accelerating
fields

S. S. Baturin and A. Zholents Stability condition for the drive bunch in a collinear wakefield accelerator
Phys. Rev. Accel. Beams **21**, 031301 (2018)

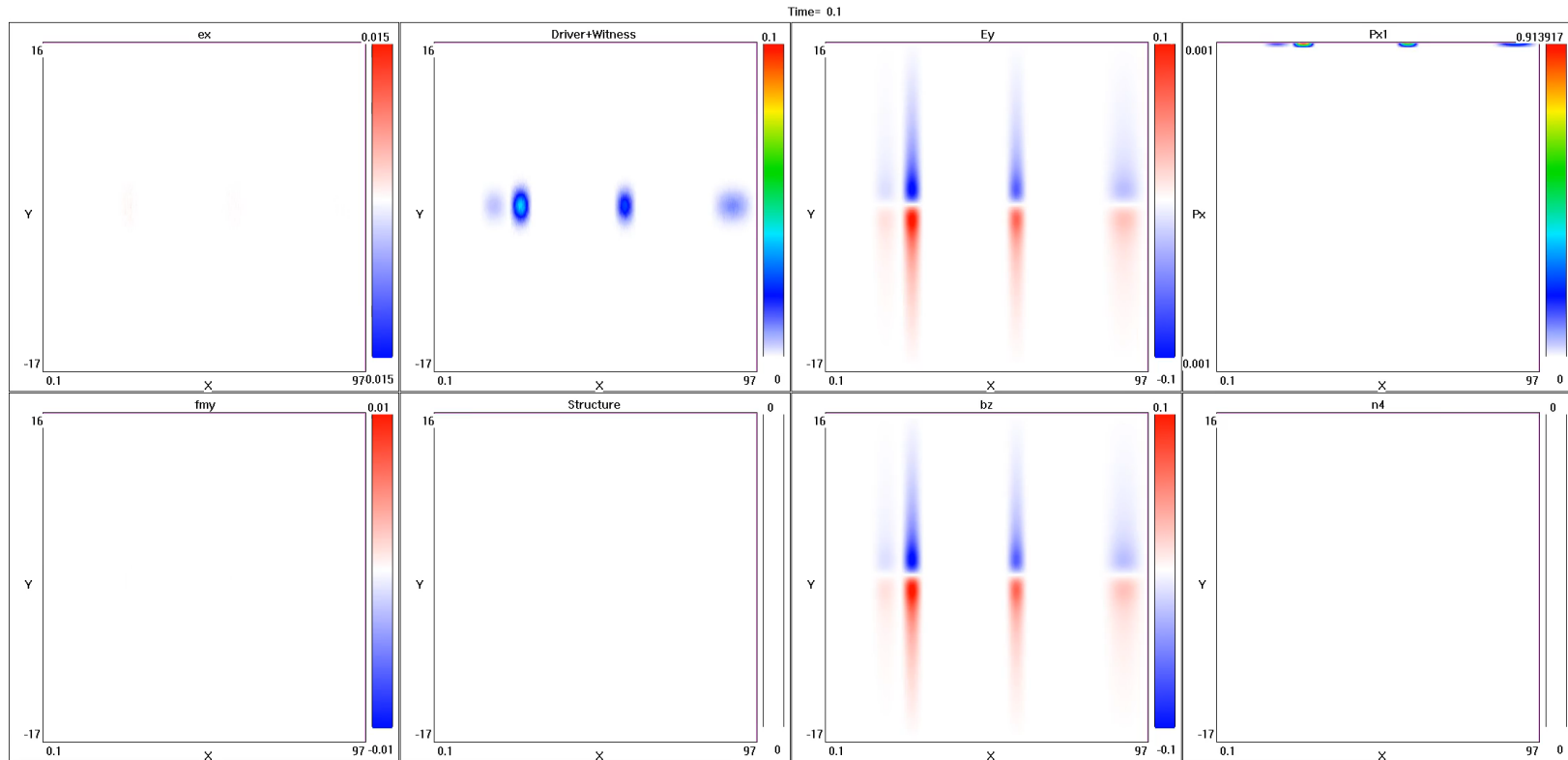
Let us *decouple acceleration and focusing*
We use the structure to support accelerating wake
while *plasma provides focusing only!*

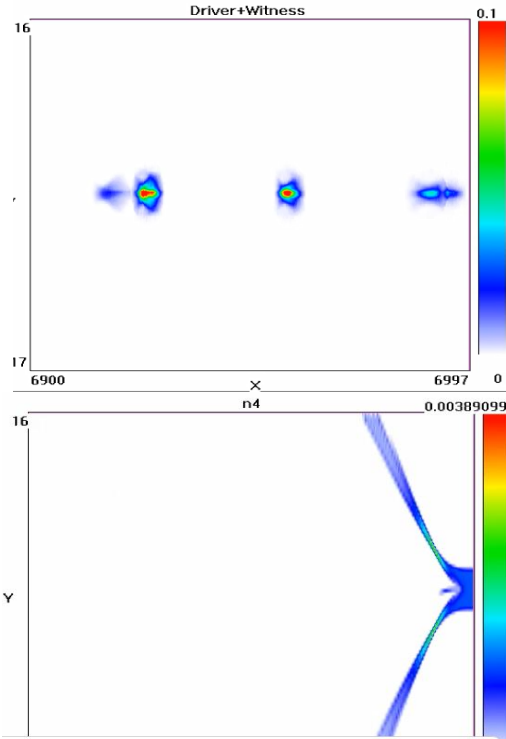
Plasma can provide focusing strength as high as

$$\frac{B}{r} [\text{T/mm}] \approx 6 \cdot 10^4 \sqrt{\frac{n_e [\text{cm}^{-3}]}{10^{15}}}$$

when all electrons are swept away from the plasma channel

Narrow plasma column: stabilization





bunches

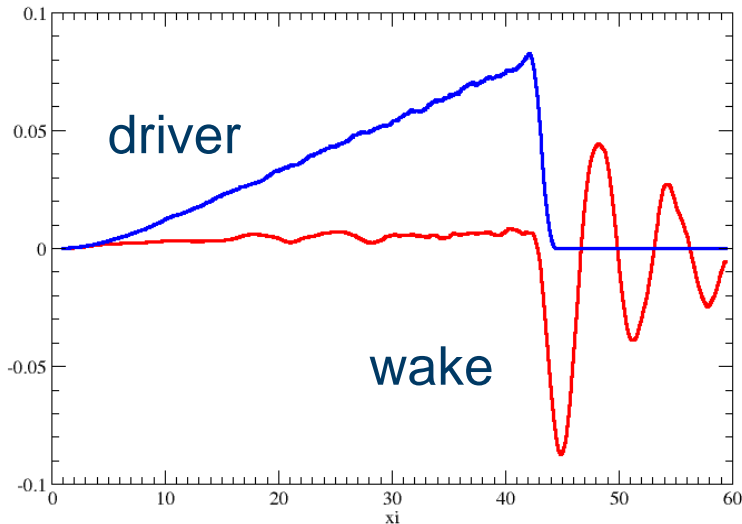
plasma
electrons

*The leading bunch scatters
away electrons
off the narrow plasma column*

*The trailing bunches stay
stable and tightly focused
by the ion column*

*How to create
the plasma column?*

■ Wake field:
$$E(\xi) = \int_0^\xi j_0 \frac{\xi'}{\sigma_z} \cos(\xi - \xi') d\xi' = j_0 \begin{cases} \frac{1}{\sigma_z} (1 - \cos \xi), & \xi < \sigma_z \\ \sin(\xi - \sigma_z), & \xi > \sigma_z \end{cases}$$



Triangular drivers with length $\sigma_z \gg 1/k_p$

can excite wakes
with large transformer ratios

$$R = k_p \sigma_z \gg 1$$

Positions are open at Dusseldorf Uni

- Positions at Ph.D. and PostDoc level are open at HHU, Dusseldorf
- Focus on Relativistic Plasma Simulations
- If interested, please, contact

pukhov@tp1.hhu.de