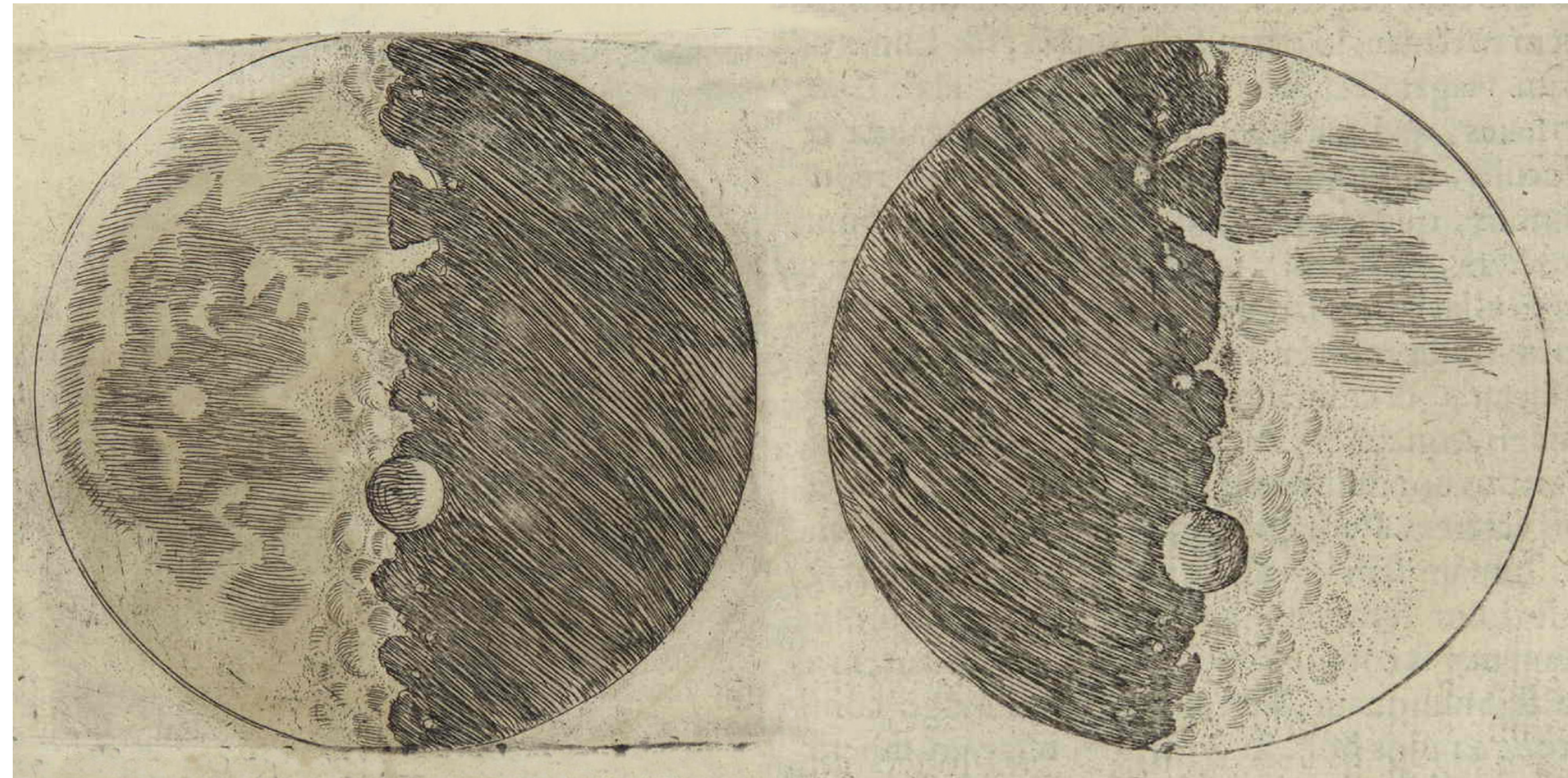


Majorana phases beyond $0\nu\beta\beta$

On the yet unknown parameters of the lepton sector



Galileo Galilei, 1610

AD, Gori, Grossman, Ligeti [arXiv:2406.18647]

Aloni, **AD** [arXiv:2211.09638]

Avital Dery, CERN TH, BSM Forum, Nov 21 2024

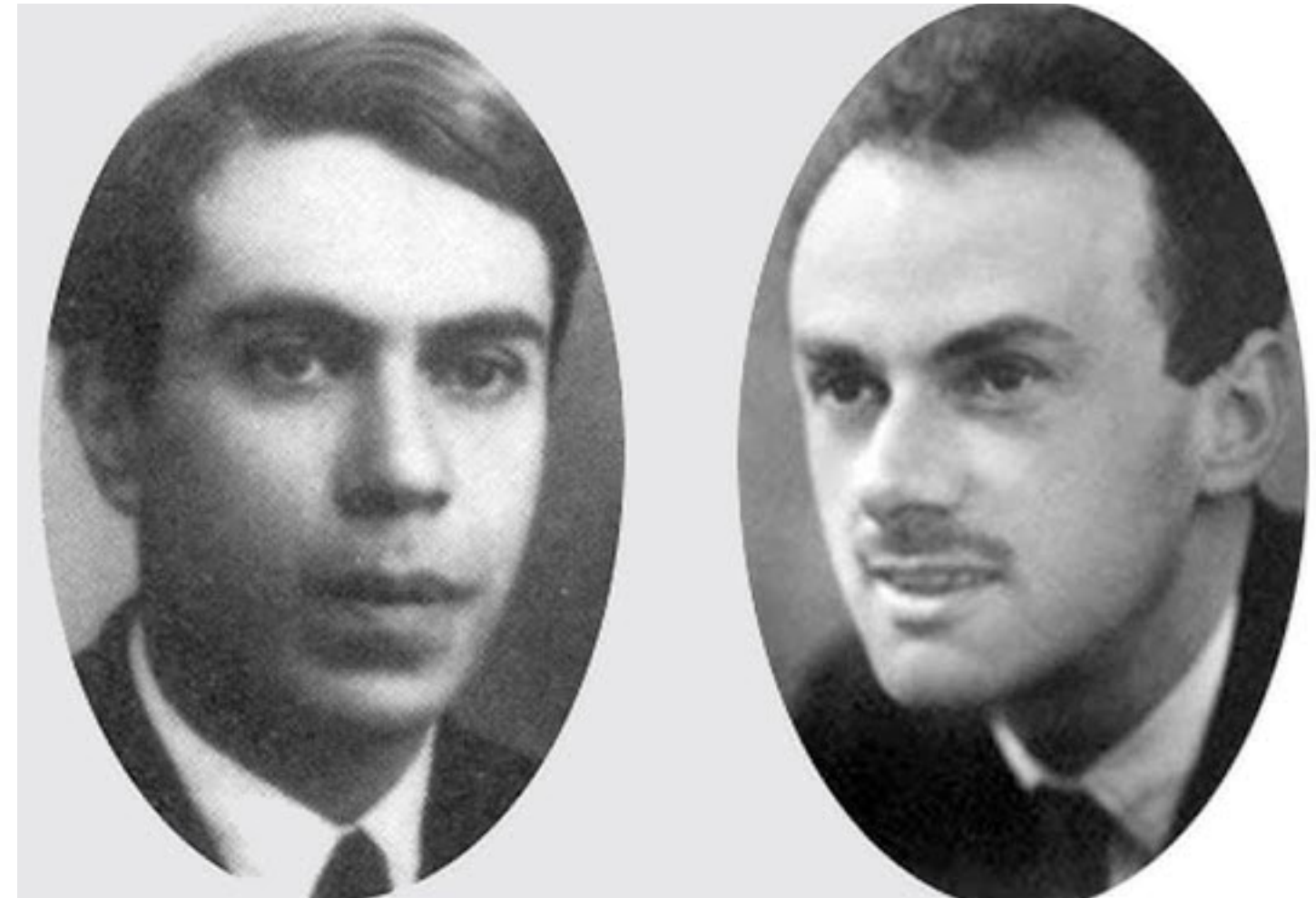
Neutrino masses = BSM

$$Y_M \frac{\phi \phi L L}{\Lambda}$$

$$\Delta L = 2$$

$$\nu_M \text{SM}$$

~~L~~



$$Y_D \bar{L}_L \widetilde{\phi} \nu_R + \text{impose } L$$

$$\Delta L = 0$$

$$\nu_D \text{SM}$$

L

Leptonic mixing parameters

Determined
by oscillation
experiments

Mixing angles known to $\mathcal{O}(1\%)$

Two mass squared differences known,
up to one sign

$\Delta m_{32}^2 > 0 \rightarrow$ Normal Ordering (NO)

$\Delta m_{32}^2 < 0 \rightarrow$ Inverted Ordering (IO)

Determining the ordering is one of
the primary goals of DUNE

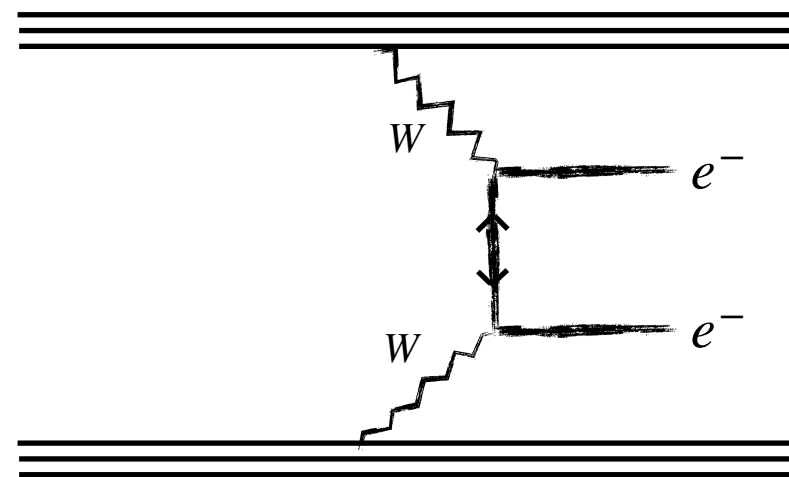
Parameter	Value	
	NO	IO
θ_{12}	$(33.41^{+0.75}_{-0.72})^\circ$	same
θ_{23}	$(49.1^{+1.0}_{-1.3})^\circ$	$(49.5^{+0.9}_{-1.2})^\circ$
θ_{13}	$(8.54^{+0.11}_{-0.12})^\circ$	$(8.57^{+0.12}_{-0.11})^\circ$
$\Delta m_{21}^2 / (10^{-5} \text{ eV}^2)$	$7.41^{+0.21}_{-0.20}$	same
$\Delta m_{32}^2 / (10^{-3} \text{ eV}^2)$	$2.437^{+0.028}_{-0.027}$	$-2.498^{+0.032}_{-0.025}$

Prospects for determining the Dirac CP-phase at
Hyper-Kamiokande (after ~ 10 years of running):

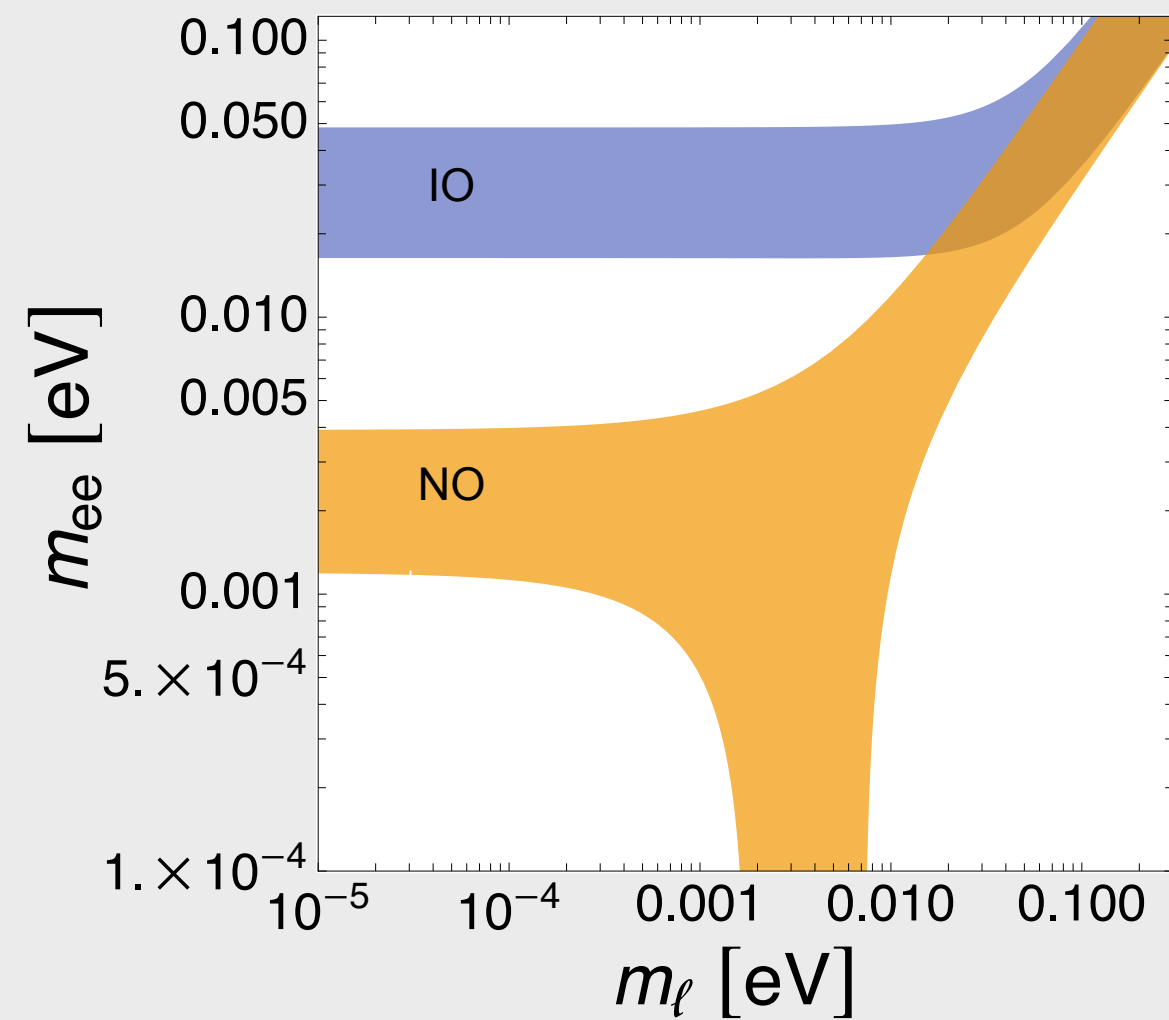
$$\delta = (0 \pm 7)^\circ, \quad \delta = (90 \pm 22)^\circ$$

Determining the nature of ν 's

$0\nu\beta\beta$



$\Delta L = 2$



Current exp. bound:

$$m_{ee} < (0.028 - 0.122) \text{ eV}$$

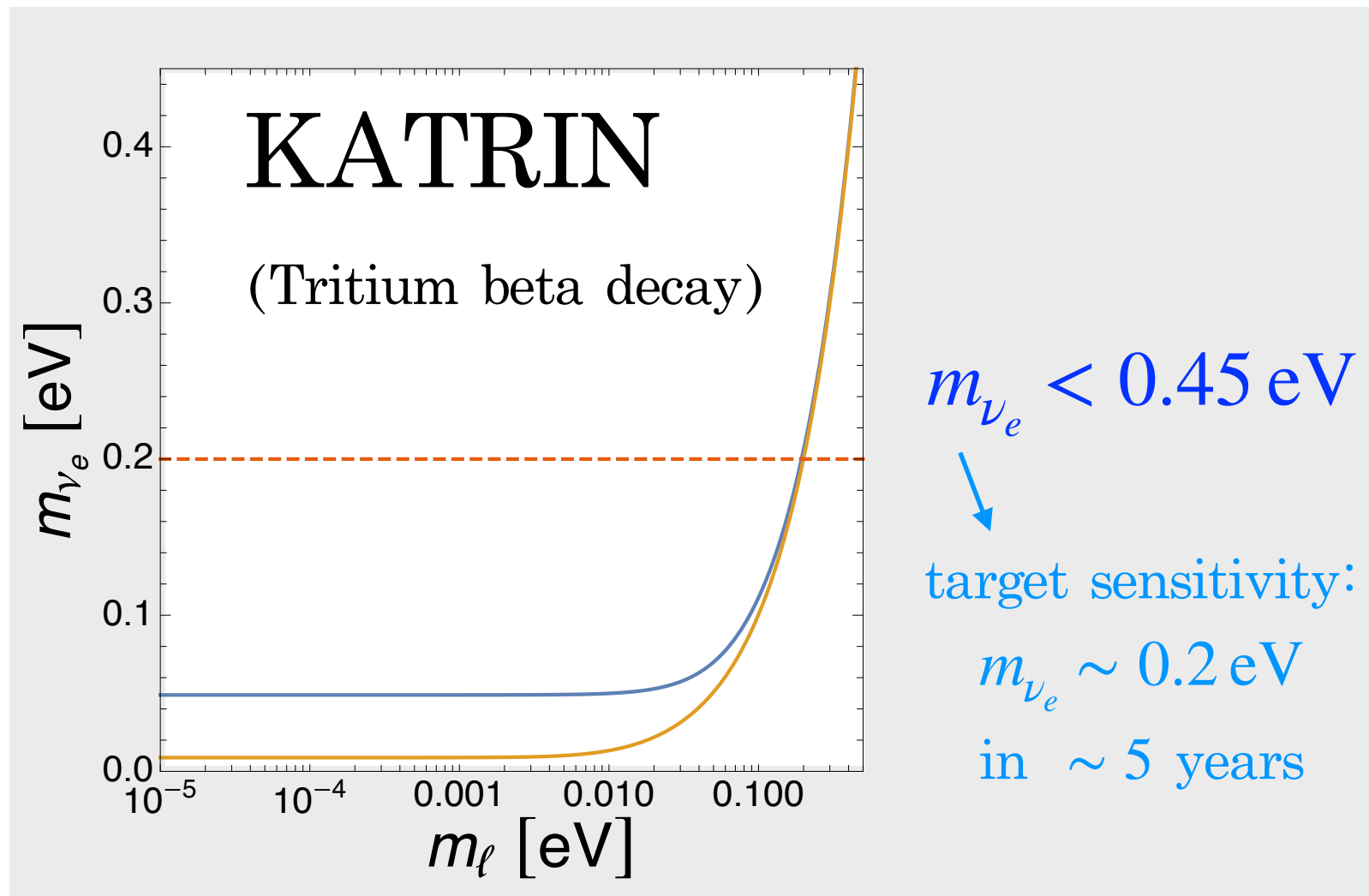
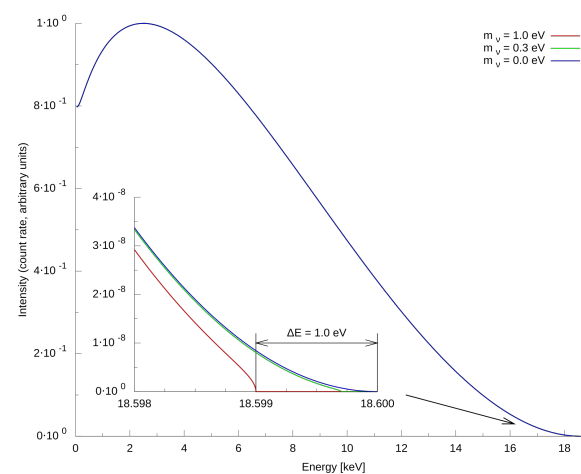
(Decay half life
 $T_{1/2}^{0\nu} > 3.8 \times 10^{26} \text{ yr}$)

[KamLAND-Zen
2406.11438]

Future exp. sensitivity:

$$m_{ee} \sim (0.005 - 0.020) \text{ eV}$$

in ~ 10 years

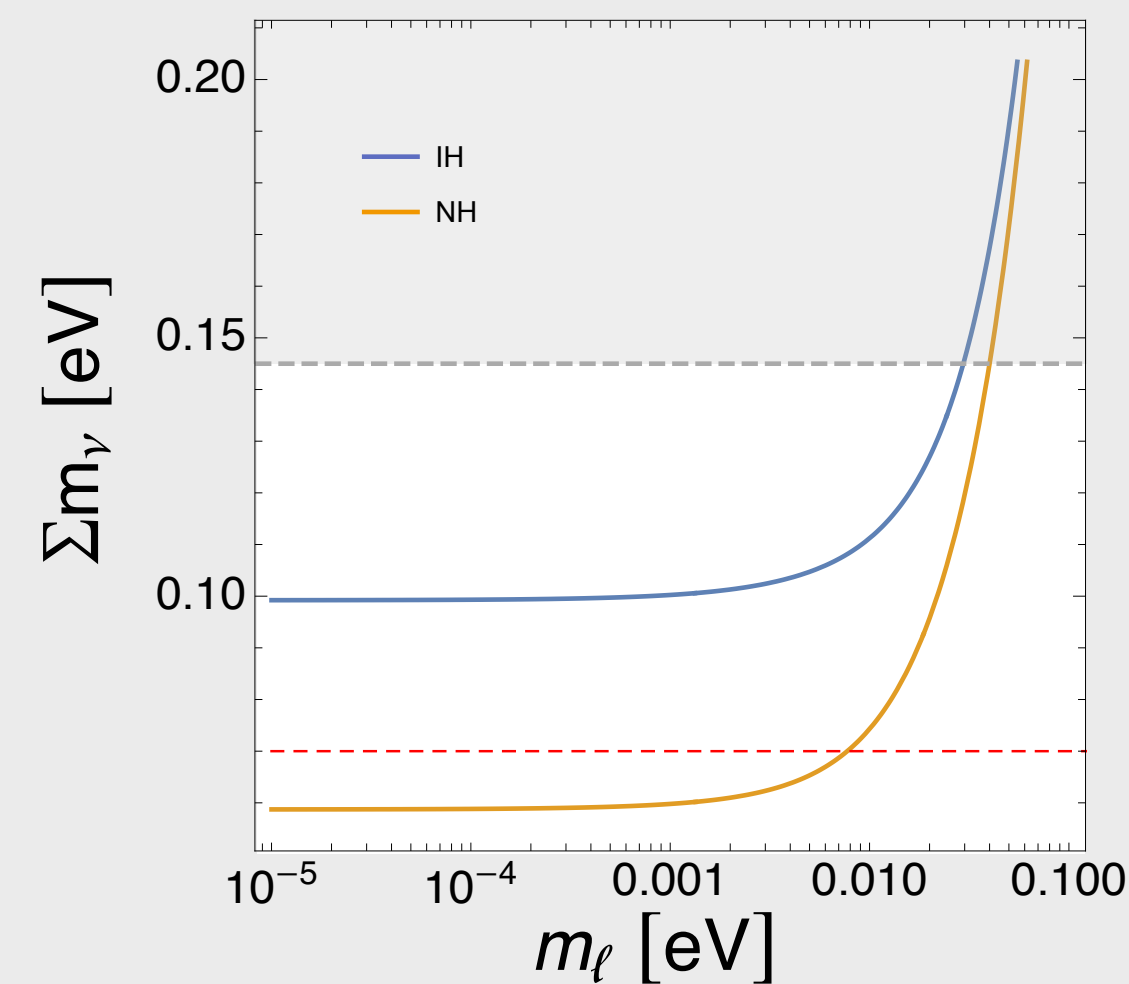


$$m_{\nu_e} < 0.45 \text{ eV}$$

target sensitivity:

$$m_{\nu_e} \sim 0.2 \text{ eV}$$

in ~ 5 years



DESI BAO + CMB

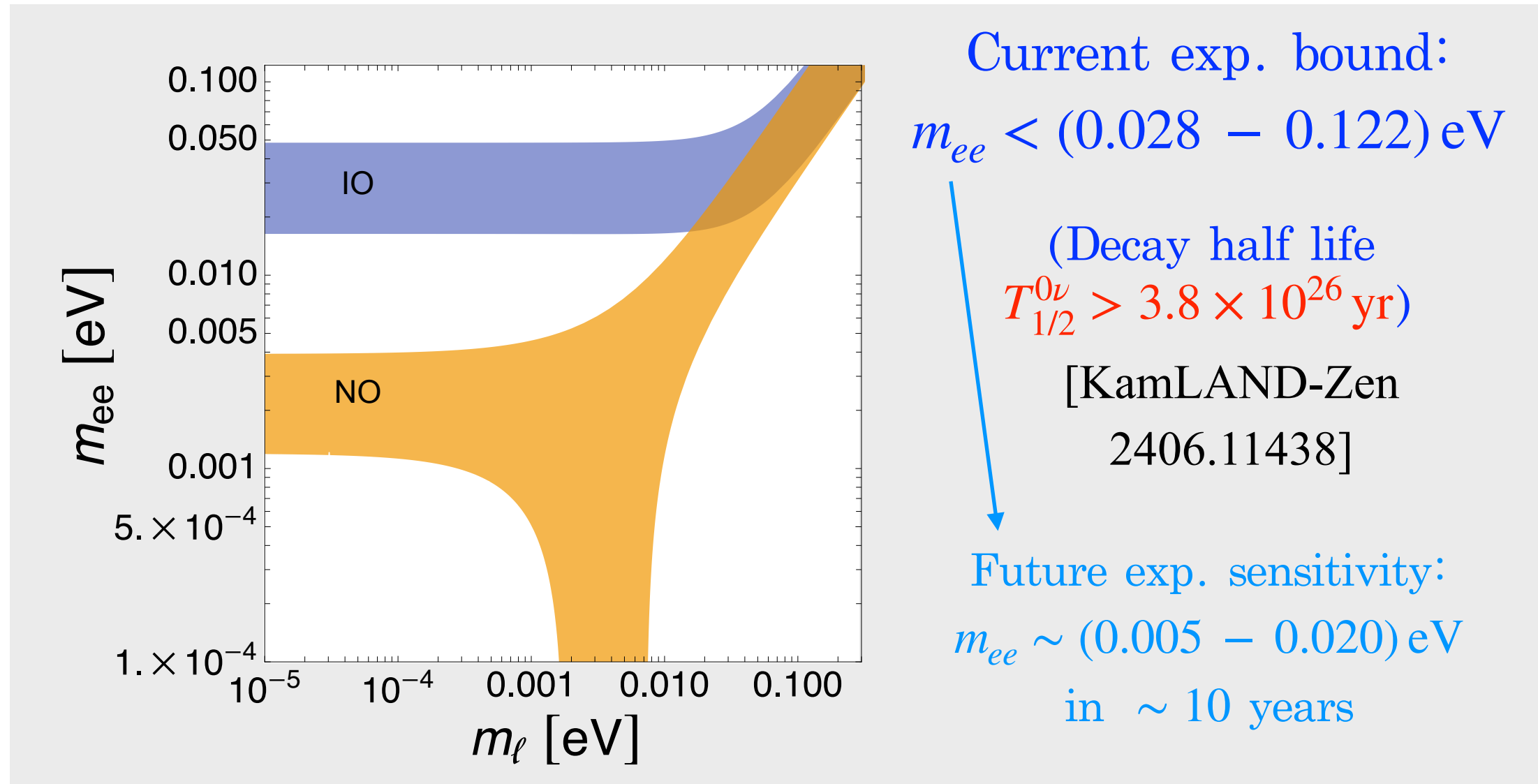
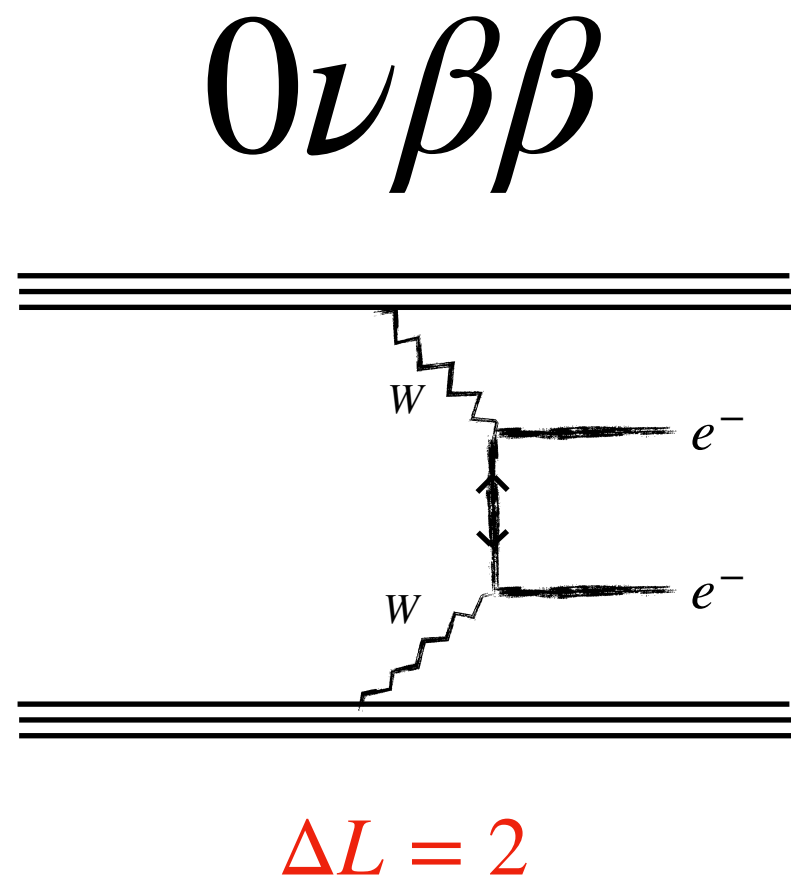
$$\sum_i m_i < 0.072 \text{ eV}$$

$$\sum_i m_i < 0.113 \text{ eV}$$

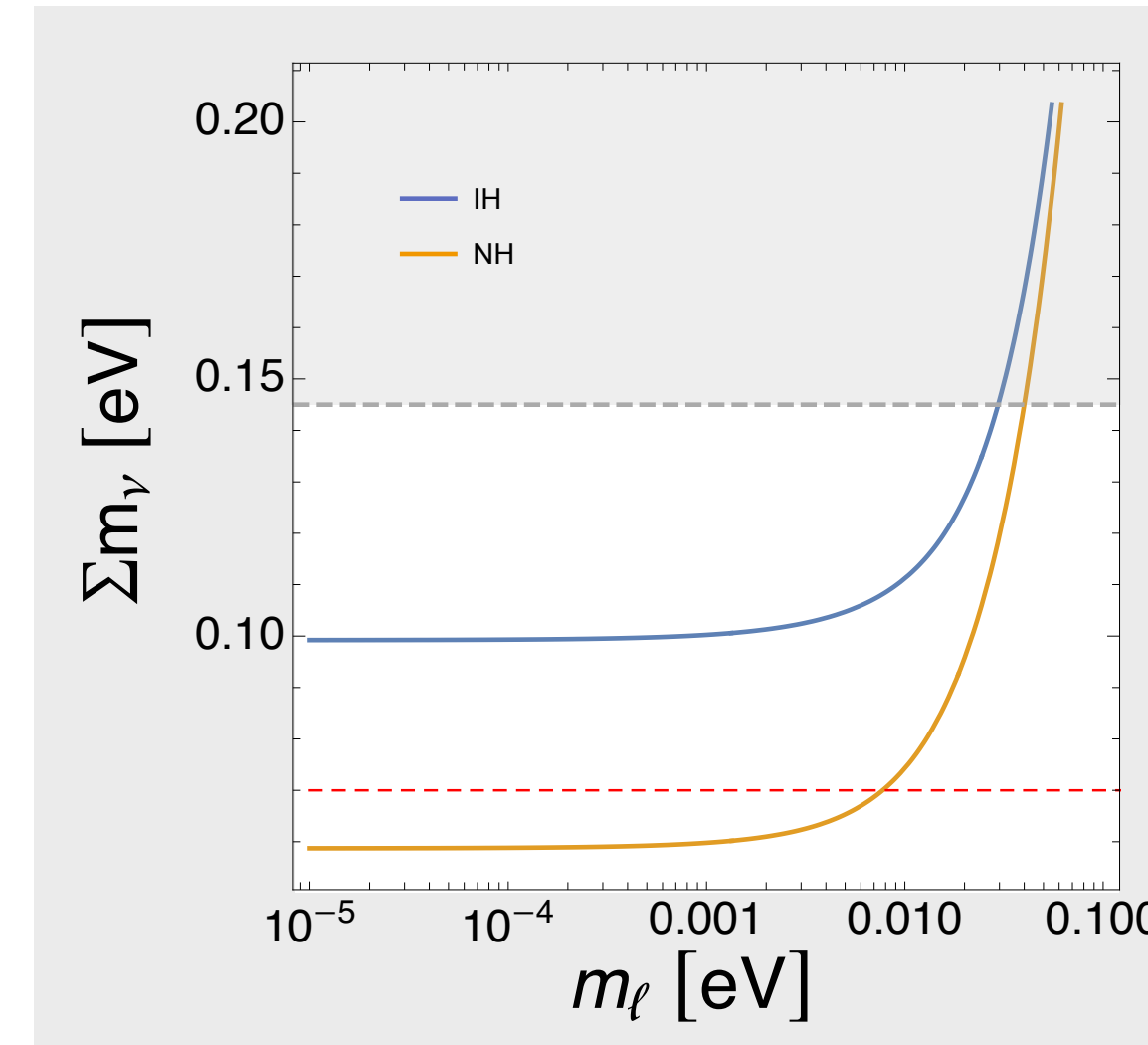
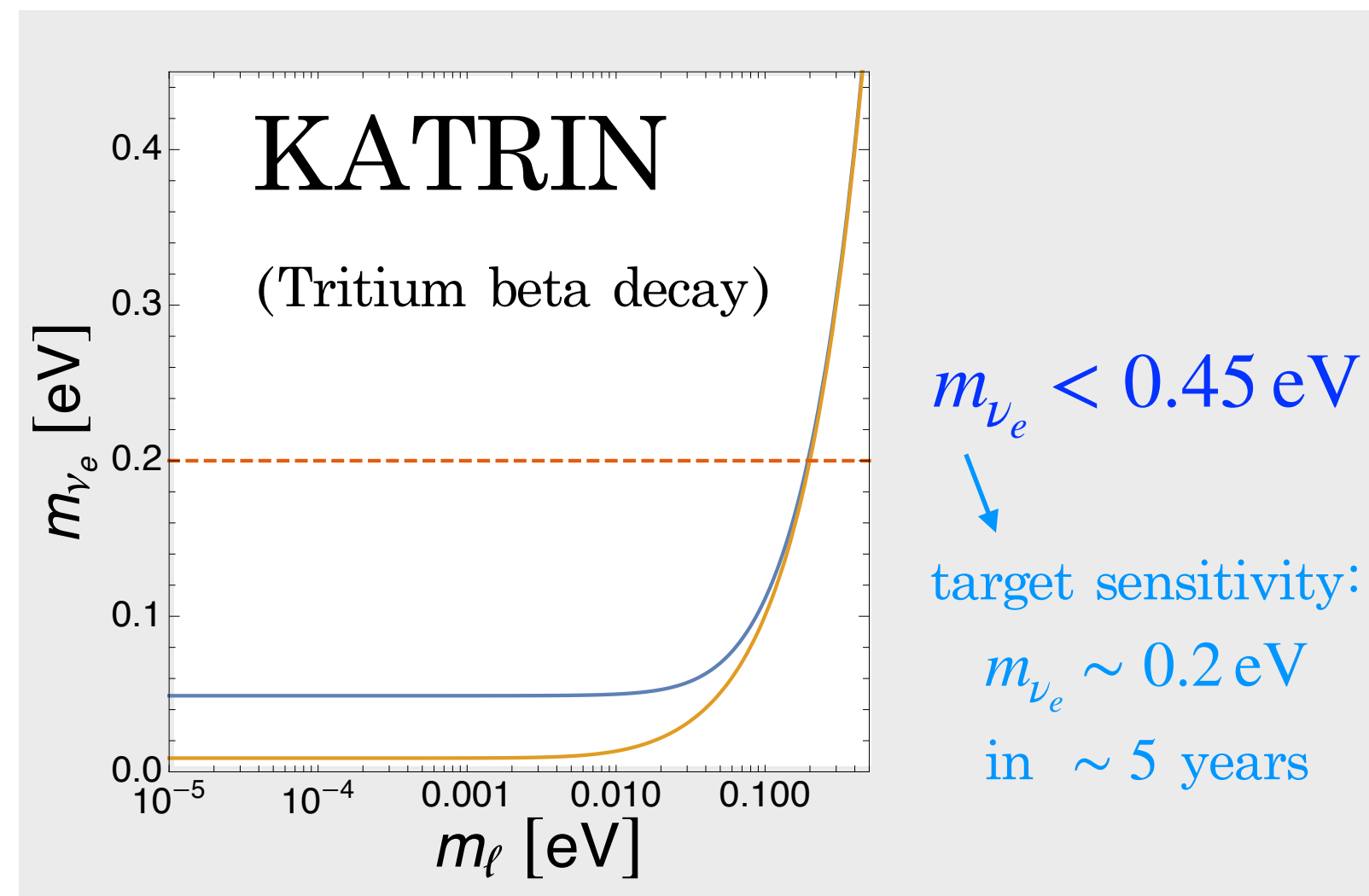
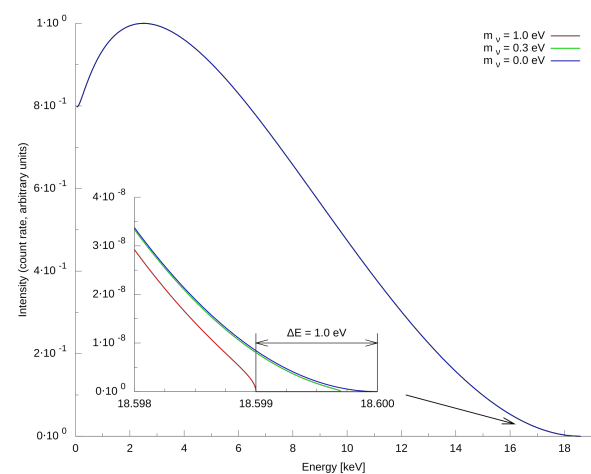
$$\sum_i m_i < 0.145 \text{ eV}$$

depending
on prior

Determining the nature of ν 's



If neutrino masses are Normally Ordered (NO), non-observation of $0\nu\beta\beta$ may not be sufficient to exclude the Majorana nature of neutrinos



DESI BAO + CMB

$$\sum_i m_i < 0.072 \text{ eV}$$

$$\sum_i m_i < 0.113 \text{ eV}$$

$$\sum_i m_i < 0.145 \text{ eV}$$

depending on prior

Leptonic CP phases

$$U = \begin{pmatrix} 1 & & \\ & c_{23} & s_{23} \\ & -s_{23} & c_{23} \end{pmatrix} \times \begin{pmatrix} c_{13} & & s_{13} e^{-i\delta} \\ & 1 & \\ -s_{13} e^{i\delta} & & c_{13} \end{pmatrix} \times \begin{pmatrix} c_{12} & s_{12} & \\ -s_{12} & c_{12} & \\ & & 1 \end{pmatrix} \times \begin{pmatrix} e^{i\eta_1} & & \\ & e^{i\eta_2} & \\ & & 1 \end{pmatrix}$$

Leptonic CP phases

$$U = \begin{pmatrix} 1 & & \\ & c_{23} & s_{23} \\ & -s_{23} & c_{23} \end{pmatrix} \times \begin{pmatrix} c_{13} & & s_{13} e^{-i\delta} \\ & 1 & \\ -s_{13} e^{i\delta} & & c_{13} \end{pmatrix} \times \begin{pmatrix} c_{12} & s_{12} & \\ -s_{12} & c_{12} & \\ & & 1 \end{pmatrix} \times \begin{pmatrix} e^{i\eta_1} & & \\ & e^{i\eta_2} & \\ & & 1 \end{pmatrix}$$

Majorana

Leptonic CP phases

$$U = \begin{pmatrix} 1 & & \\ & c_{23} & s_{23} \\ & -s_{23} & c_{23} \end{pmatrix} \times \begin{pmatrix} c_{13} & & s_{13} e^{-i\delta} \\ & 1 & \\ -s_{13} e^{i\delta} & & c_{13} \end{pmatrix} \times \begin{pmatrix} c_{12} & s_{12} & \\ -s_{12} & c_{12} & \\ & & 1 \end{pmatrix} \times \begin{pmatrix} e^{i\eta_1} & & \\ & e^{i\eta_2} & \\ & & 1 \end{pmatrix}$$

Majorana

$$\begin{pmatrix} \bar{e} & \bar{\mu} & \bar{\tau} \end{pmatrix} \gamma^\rho W_\rho^- \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

\downarrow
 $3R + 6I$
 parameters a priori

$$\begin{pmatrix} e^{i\phi_e} \bar{e} & e^{i\phi_\mu} \bar{\mu} & e^{i\phi_\tau} \bar{\tau} \end{pmatrix}$$

$$3R + 3I$$



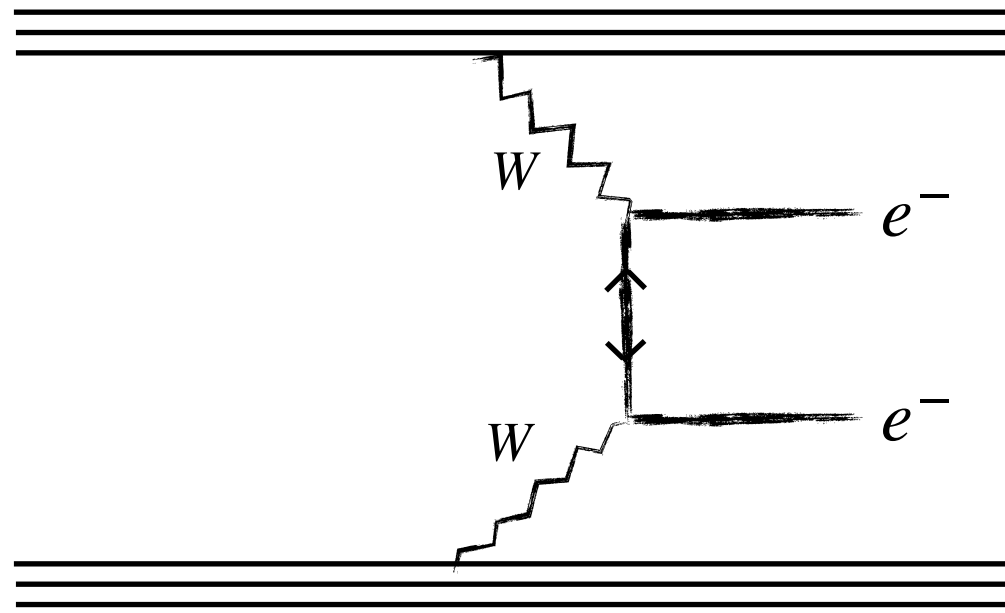
$$3R + 3I$$

$$3R + 1I$$

physical parameters

massless lightest ν

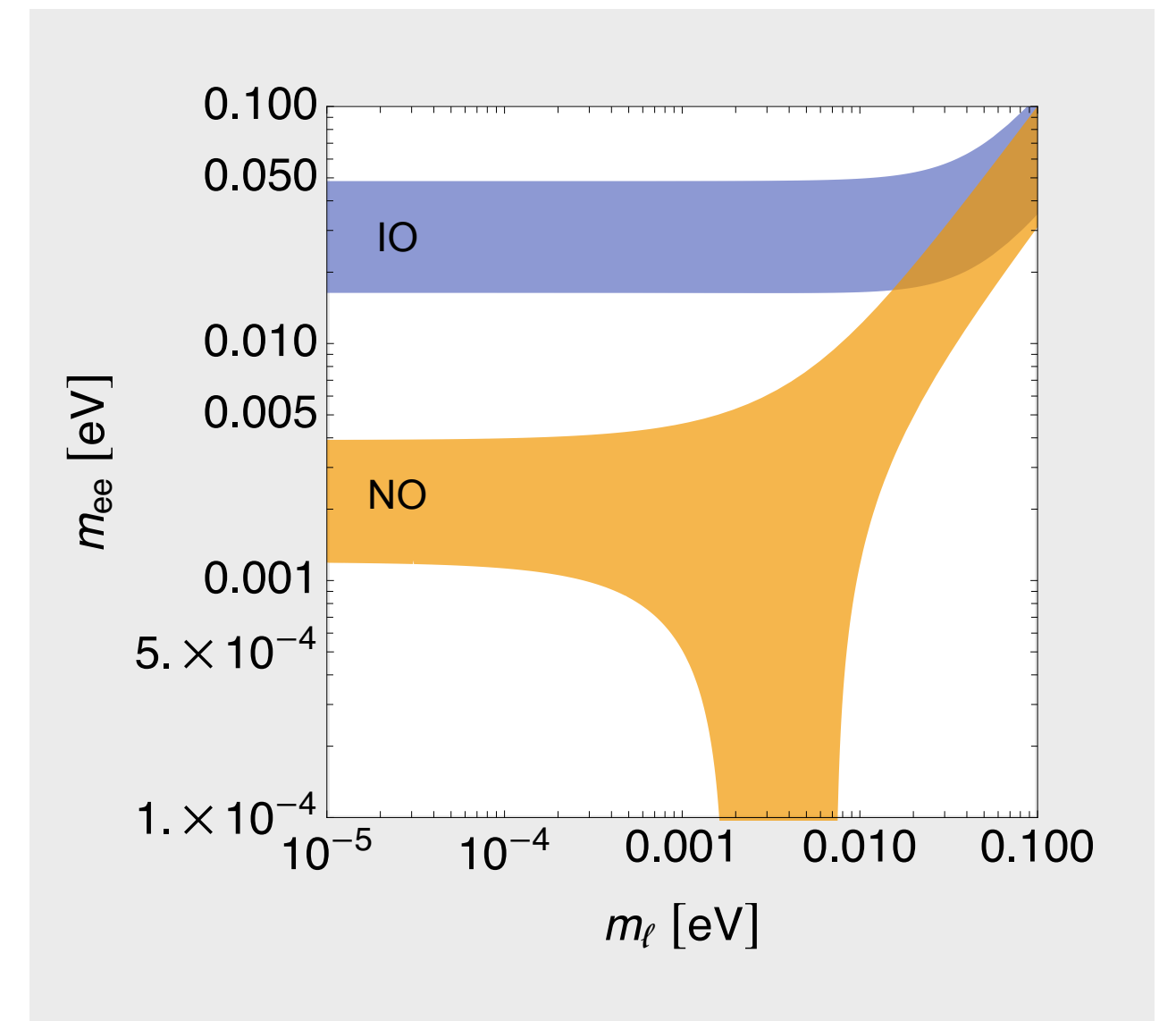
$$3R + 2I$$



$0\nu\beta\beta$

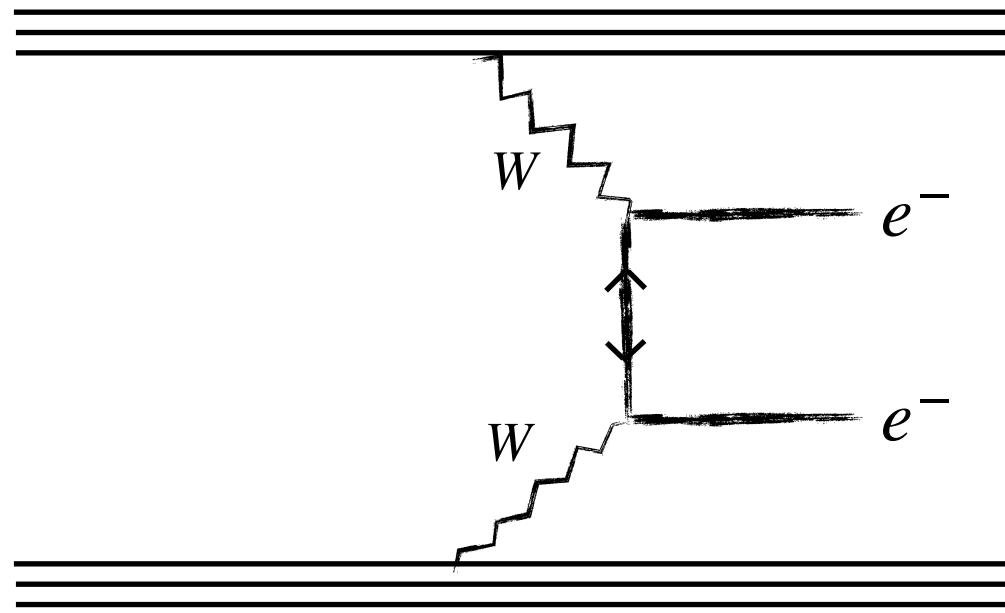
$$\Gamma_{0\nu\beta\beta} \propto m_{ee}^2 = \left| \sum_i m_i U_{ei}^2 \right|^2$$

$$m_{ee}^2 = \sum_i m_i^2 |U_{ei}|^4 + 2 m_1 m_2 |U_{e1}|^2 |U_{e2}|^2 \cos(\eta_1 - \eta_2) \\ + 2 m_2 m_3 |U_{e2}|^2 |U_{e3}|^2 \cos(\eta_2 + \delta) \\ + 2 m_1 m_3 |U_{e1}|^2 |U_{e3}|^2 \cos(\eta_1 + \delta)$$



Q:

- What type of observables would have sensitivity to the orthogonal combination of Majorana phases?
- How does the sensitivity to Majorana phases vary as the lightest neutrino mass approaches zero (but non-zero)?



$0\nu\beta\beta$

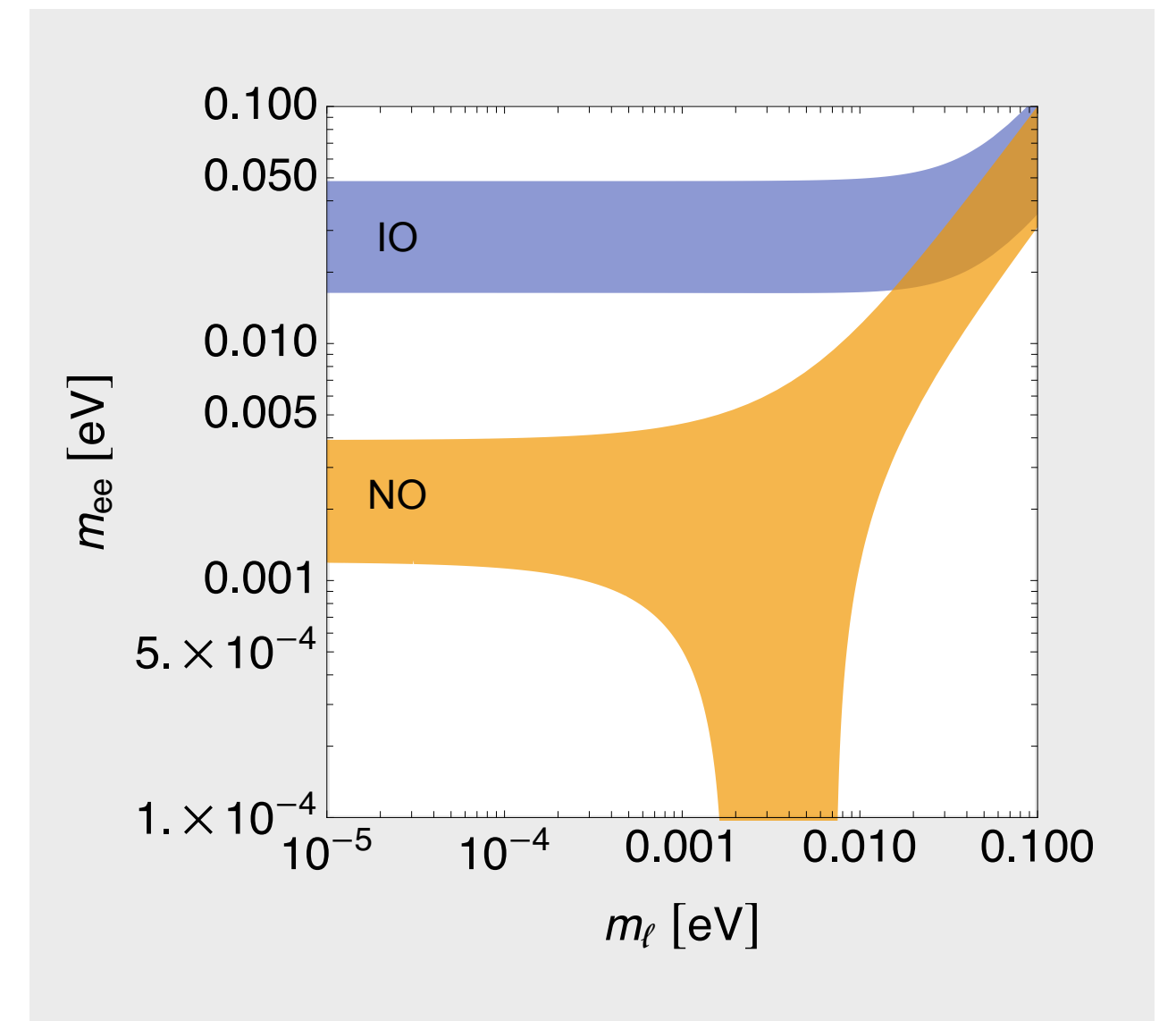
$$\Gamma_{0\nu\beta\beta} \propto m_{ee}^2 = \left| \sum_i m_i U_{ei}^2 \right|^2$$

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Notice that:

- I. Dependent on two phase combinations
- II. Allowed range is independent of any knowledge of δ

} This is no coincidence.



Q:

- a) What type of observables would have sensitivity to the orthogonal combination of Majorana phases?
- b) How does the sensitivity to Majorana phases vary as the lightest neutrino mass approaches zero (but non-zero)?

Phase convention dependence

$$U = \begin{pmatrix} 1 & & \\ & c_{23} & s_{23} \\ & -s_{23} & c_{23} \end{pmatrix} \times \begin{pmatrix} c_{13} & & s_{13} e^{-i\delta} \\ & 1 & \\ -s_{13} e^{i\delta} & & c_{13} \end{pmatrix} \times \begin{pmatrix} c_{12} & s_{12} & \\ -s_{12} & c_{12} & \\ & & 1 \end{pmatrix} \times \begin{pmatrix} e^{i\eta_1} & & \\ & e^{i\eta_2} & \\ & & 1 \end{pmatrix}$$

could have chosen a different convention, e.g.,

$$\begin{pmatrix} 1 & & \\ & e^{i\eta_2} & \\ & & e^{i\eta_3} \end{pmatrix}$$

Phase-convention dependent quantities (e.g., η_1) cannot correspond to physical parameters.

Working with phase-convention invariants

Quartic invariants

$$t_{\alpha i \beta j} = U_{\alpha i} U_{\beta j} U_{\alpha j}^* U_{\beta i}^*$$

$$\delta_{ij}^{\alpha\beta} = \arg(t_{\alpha i \beta j})$$

Quadratic invariants

$$s_{\alpha ij} = U_{\alpha i} U_{\alpha j}^*$$

$$\Phi_{ij}^{\alpha} = \arg(s_{\alpha ij})$$

physical if ν 's are Majorana

[Nieves and Pal, *Phys. Rev. D* **36** (1987) 315]

A choice of basis:

$$\{|t_{e1e2}|, |t_{e3e3}|, |t_{\mu2e3}|, \Psi_D\}$$

$$\{\Phi_{12}^e, \Phi_{23}^e\}$$

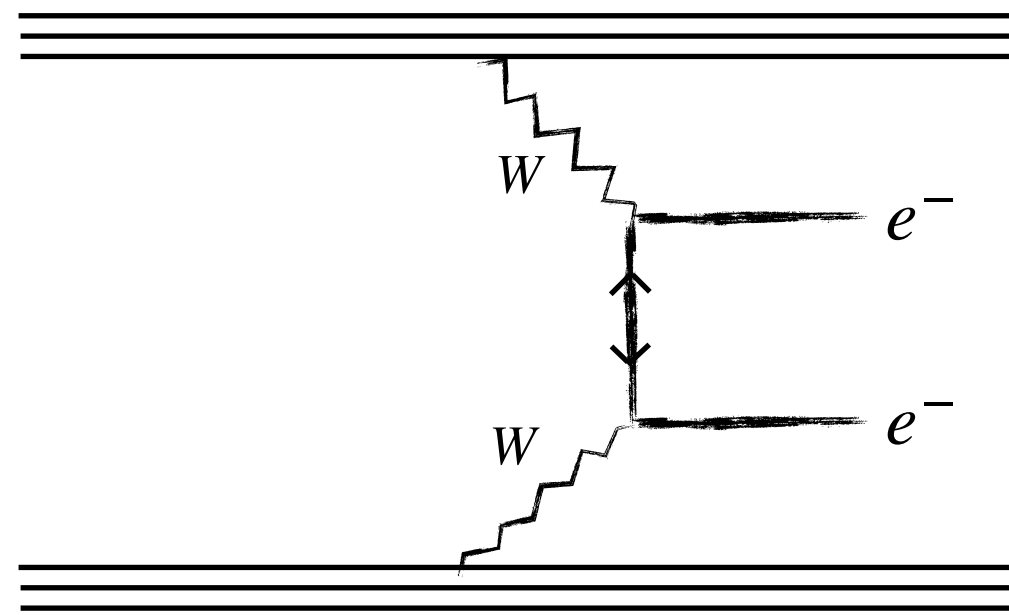
3R+3I ✓

with $\Psi_D \equiv \delta_{23}^{\mu e}$

Phases of other flavors are related by

$$\Phi_{ij}^{\beta} = \Phi_{ij}^{\alpha} + \delta_{ij}^{\beta\alpha}$$

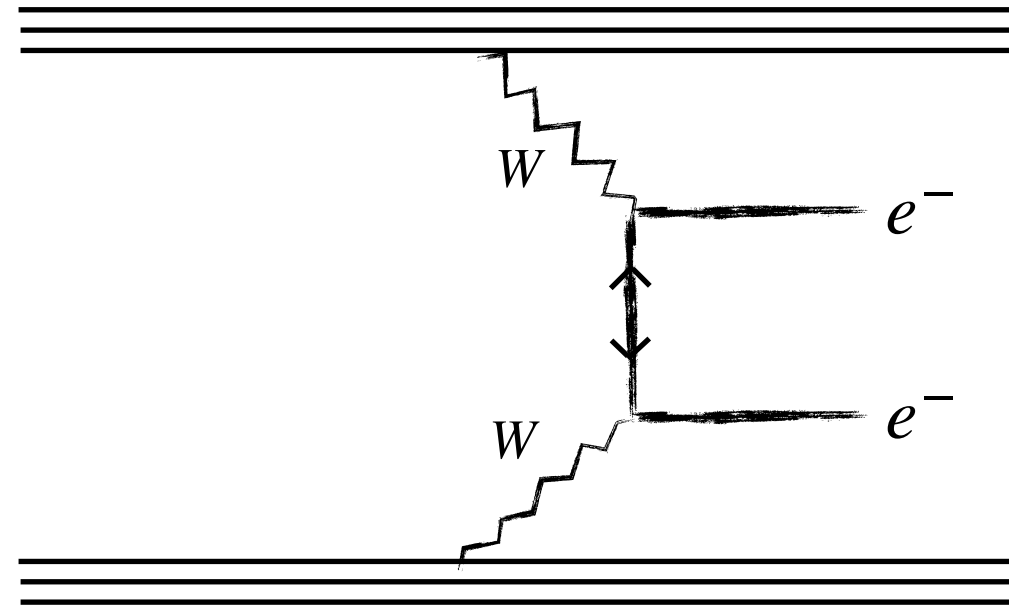
The sum of a Majorana phase and a Dirac phase is a Majorana phase.



$0\nu\beta\beta$

$$\begin{aligned}
 m_{ee}^2 = & \sum_i m_i^2 |t_{eiei}| + 2 m_1 m_2 |t_{e1e2}| \cos(2\Phi_{12}^e) \\
 & + 2 m_2 m_3 |t_{e2e3}| \cos(2\Phi_{23}^e) \\
 & + 2 m_1 m_3 |t_{e1e3}| \cos(2(\Phi_{12}^e + \Phi_{23}^e))
 \end{aligned}$$

- I. Dependent on two Majorana phases
- II. Independent of the Dirac phase
- III. The sensitivity to phase Φ_{ij} is reduced linearly as $m_i \rightarrow 0$ or $m_j \rightarrow 0$



$0\nu\beta\beta$

Generalized effective Majorana mass matrix

$$m_{\alpha\beta} = \left| \sum_i m_i U_{\alpha i} U_{\beta i} \right| \quad \alpha, \beta \in \{e, \mu, \tau\}$$

$$m_{ee}^2 = \sum_i m_i^2 |t_{eie i}| + 2 m_1 m_2 |t_{e1e2}| \cos(2\Phi_{12}^e) \\ + 2 m_2 m_3 |t_{e2e3}| \cos(2\Phi_{23}^e) \\ + 2 m_1 m_3 |t_{e1e3}| \cos(2(\Phi_{12}^e + \Phi_{23}^e))$$

Generalize to
any α, β



$$m_{\alpha\beta}^2 = \sum_i m_i^2 |t_{\alpha i \beta i}| + 2 m_1 m_2 |t_{\alpha 1 \beta 2}| \cos(2\Phi_{12}^{\alpha\beta}) \\ + 2 m_2 m_3 |t_{\alpha 2 \beta 3}| \cos(2\Phi_{23}^{\alpha\beta}) \\ + 2 m_1 m_3 |t_{\alpha 1 \beta 3}| \cos(2(\Phi_{12}^{\alpha\beta} + \Phi_{23}^{\alpha\beta})),$$

where $\Phi_{ij}^{\alpha\beta} = \frac{\Phi_{ij}^\alpha + \Phi_{ij}^\beta}{2}$

(relevant observables are, e.g.,
 $\mu^- \rightarrow e^+$ conversion, $\tau^- \rightarrow \ell^+ M_1^- M_2^-$)

I. Dependent on two Majorana phases

II. Independent of the Dirac phase

III. The sensitivity to phase Φ_{ij} is reduced linearly as $m_i \rightarrow 0$ or $m_j \rightarrow 0$

When considering more than one entry of $m_{\alpha\beta}$ at once, the Dirac phase does creep in.

$$m_{\alpha\beta}$$

$$m_{\alpha\beta}^2 = \sum_i m_i^2 |t_{\alpha i \beta i}| + 2 m_1 m_2 |t_{\alpha 1 \beta 2}| \cos(2\Phi_{12}^{\alpha\beta}) \\ + 2 m_2 m_3 |t_{\alpha 2 \beta 3}| \cos(2\Phi_{23}^{\alpha\beta}) \\ + 2 m_1 m_3 |t_{\alpha 1 \beta 3}| \cos(2(\Phi_{12}^{\alpha\beta} + \Phi_{23}^{\alpha\beta})),$$

$$m_{\alpha'\beta'}$$

$$\Phi_{ij}^{\alpha'\beta'} = \Phi_{ij}^{\alpha\beta} + (\delta_{ij}^{\alpha'\alpha} + \delta_{ij}^{\beta'\beta})/2$$

In order to write an expression for $m_{\alpha'\beta'}$ in the same basis, we have to involve Dirac-type phases.

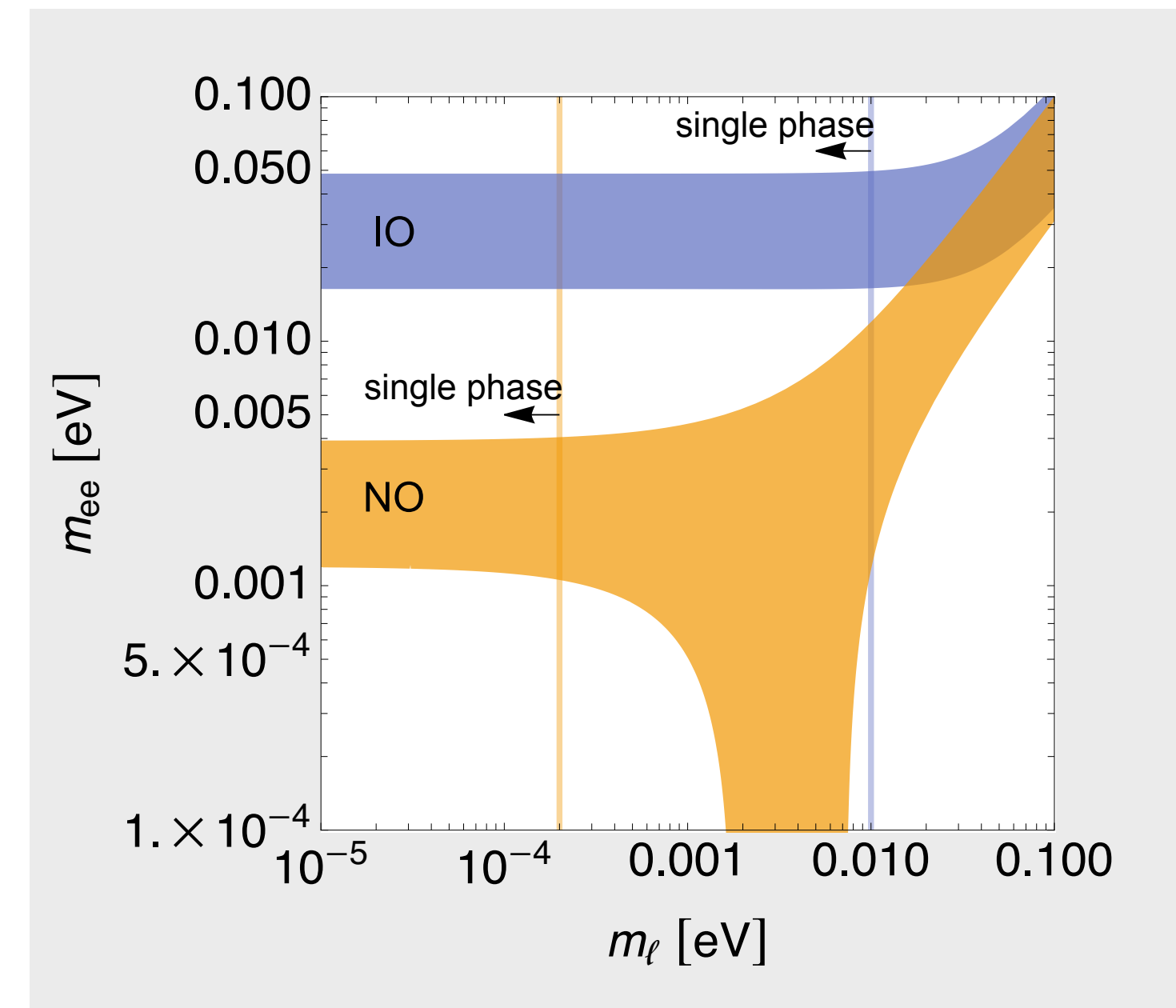
=> In principle, a measurement of three different entries of $m_{\alpha\beta}$ would determine all three phases - two Majorana and one Dirac.

The single phase limit

Unified naming scheme for both orderings -

$$\left\{ \begin{array}{l} \nu_\ell, \nu_2, \nu_o \\ \text{lightest} \quad \text{other} \end{array} \right\}$$

$$m_{\alpha\beta}^2 = \sum_i m_i^2 |t_{\alpha i \beta i}| + 2 m_2 m_o |t_{\alpha 2 \beta o}| \cos(2\Phi_{2o}^{\alpha\beta}) \\ + 2 m_\ell m_2 |t_{\alpha \ell \beta 2}| \cos(2\Phi_{2\ell}^{\alpha\beta}) + 2 m_\ell m_o |t_{\alpha \ell \beta o}| \cos(2(\Phi_{2\ell}^{\alpha\beta} + \Phi_{2o}^{\alpha\beta}))$$



For hierarchical masses, $m_\ell \ll m_o$, one phase term dominates, and we can write the approximate expression

$$m_{\alpha\beta}^2 \approx m_2^2 |t_{\alpha 2 \beta 2}| \left[1 + \frac{m_o^2 |t_{\alpha o \beta o}|}{m_2^2 |t_{\alpha 2 \beta 2}|} + 2 \frac{m_o |t_{\alpha o \beta o}|^{1/2}}{m_2 |t_{\alpha 2 \beta 2}|^{1/2}} \cos(2\Phi_{2o}^e - \delta_{2o}^{\alpha e} - \delta_{2o}^{\beta e}) \right]$$

Dependent on the same Majorana phase for all α, β

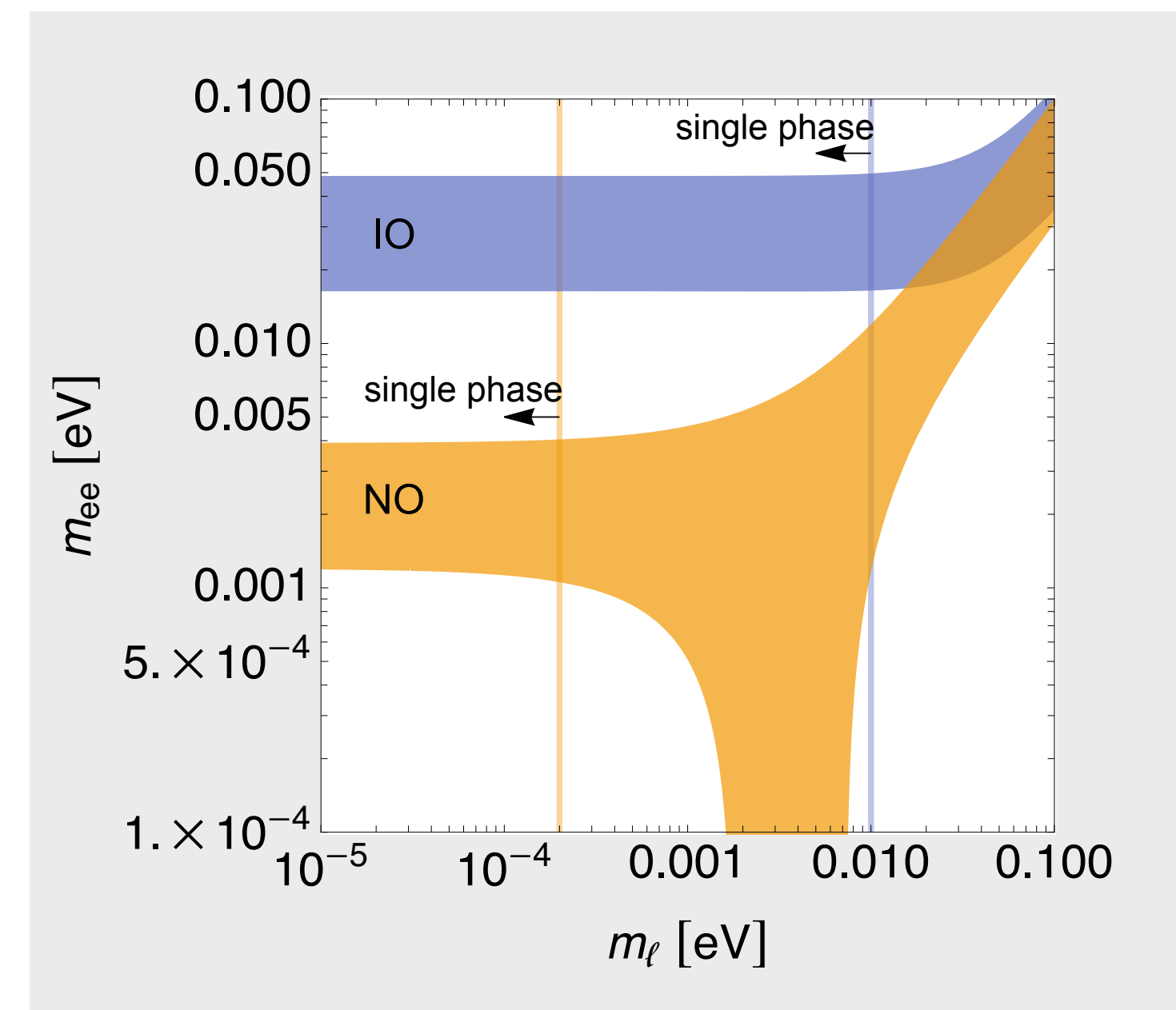
(assuming we know the Dirac phase from oscillation data)

The single phase limit

The corrections to this expression are of

$$\mathcal{O} \left(\max \left[\frac{m_\ell}{m_2} \frac{|t_{\alpha\ell\beta 2}|}{|t_{\alpha 2\beta 2}|}, \frac{m_\ell m_o}{m_2^2} \frac{|t_{\alpha\ell\beta o}|}{|t_{\alpha 2\beta 2}|} \right] \right)$$

For given $\{\alpha, \beta\}$, this gives us the precision needed in order to be sensitive to the sub-leading Majorana phase.



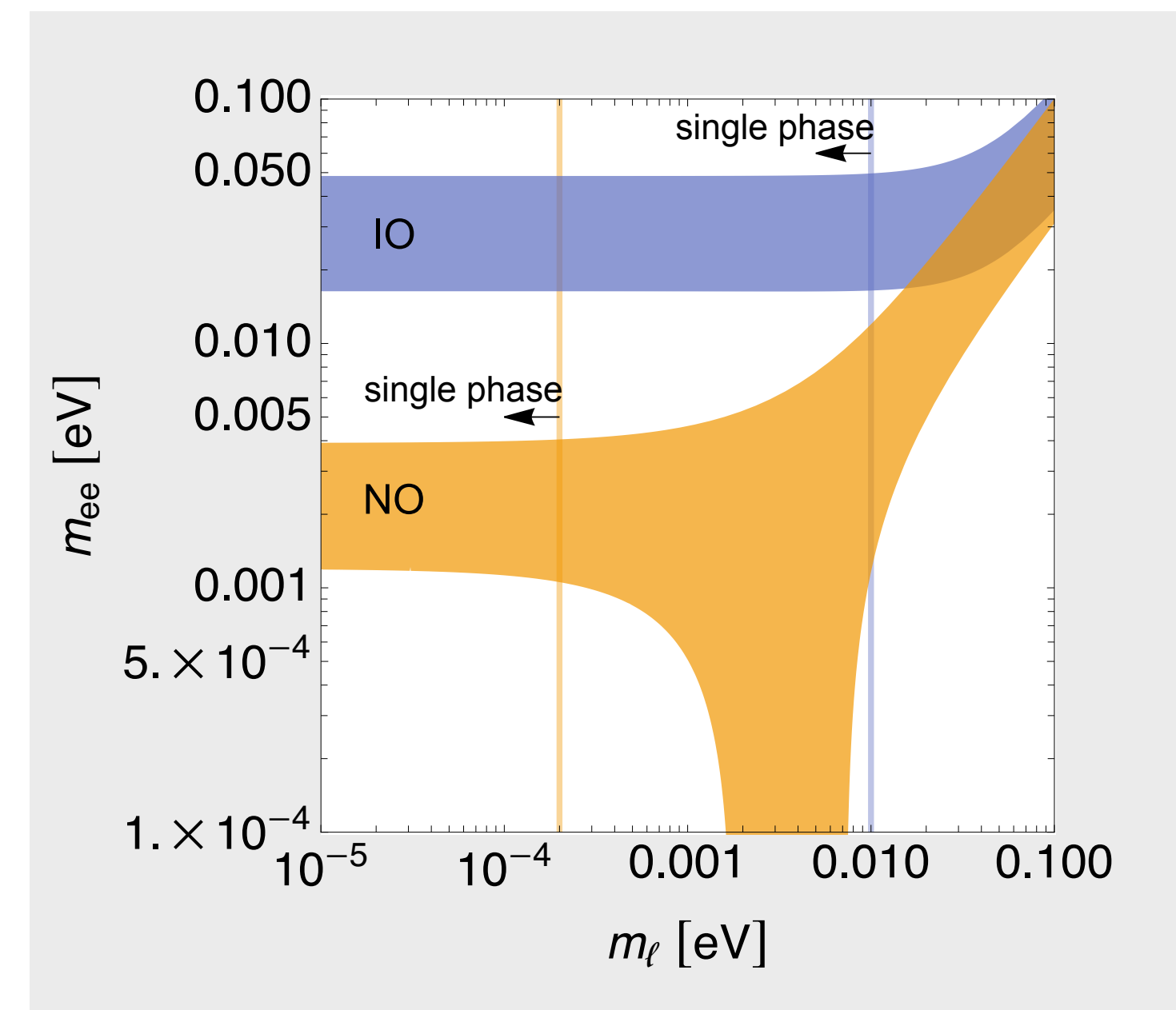
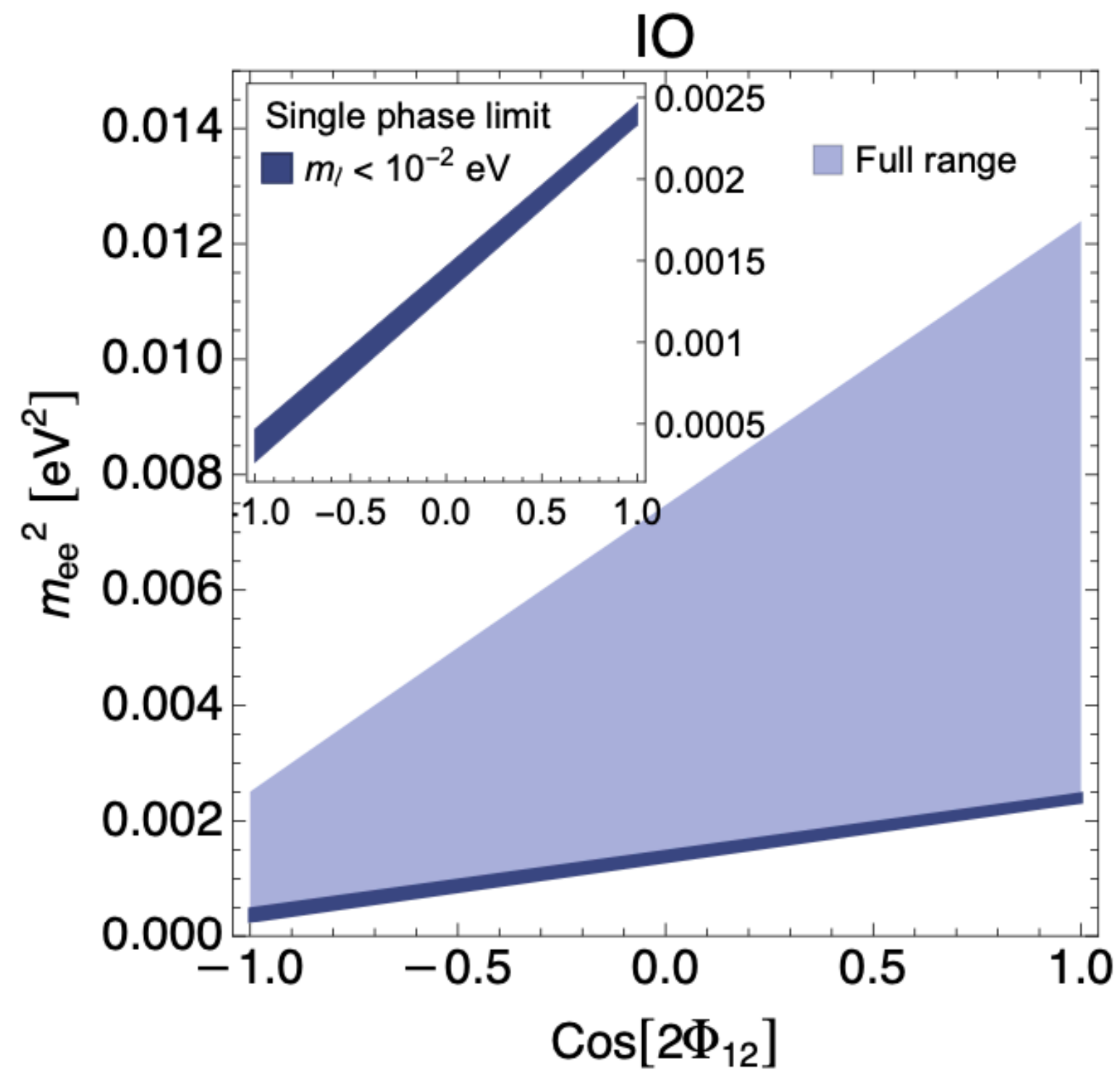
$$m_{\alpha\beta}^2 \approx m_2^2 |t_{\alpha 2\beta 2}| \left[1 + \frac{m_o^2}{m_2^2} \frac{|t_{\alpha o\beta o}|}{|t_{\alpha 2\beta 2}|} + 2 \frac{m_o}{m_2} \frac{|t_{\alpha o\beta o}|^{1/2}}{|t_{\alpha 2\beta 2}|^{1/2}} \cos(2\Phi_{2o}^e - \delta_{2o}^{\alpha e} - \delta_{2o}^{\beta e}) \right]$$

Dependent on the same Majorana phase for all α, β

(assuming we know the Dirac phase from oscillation data)

The single phase limit

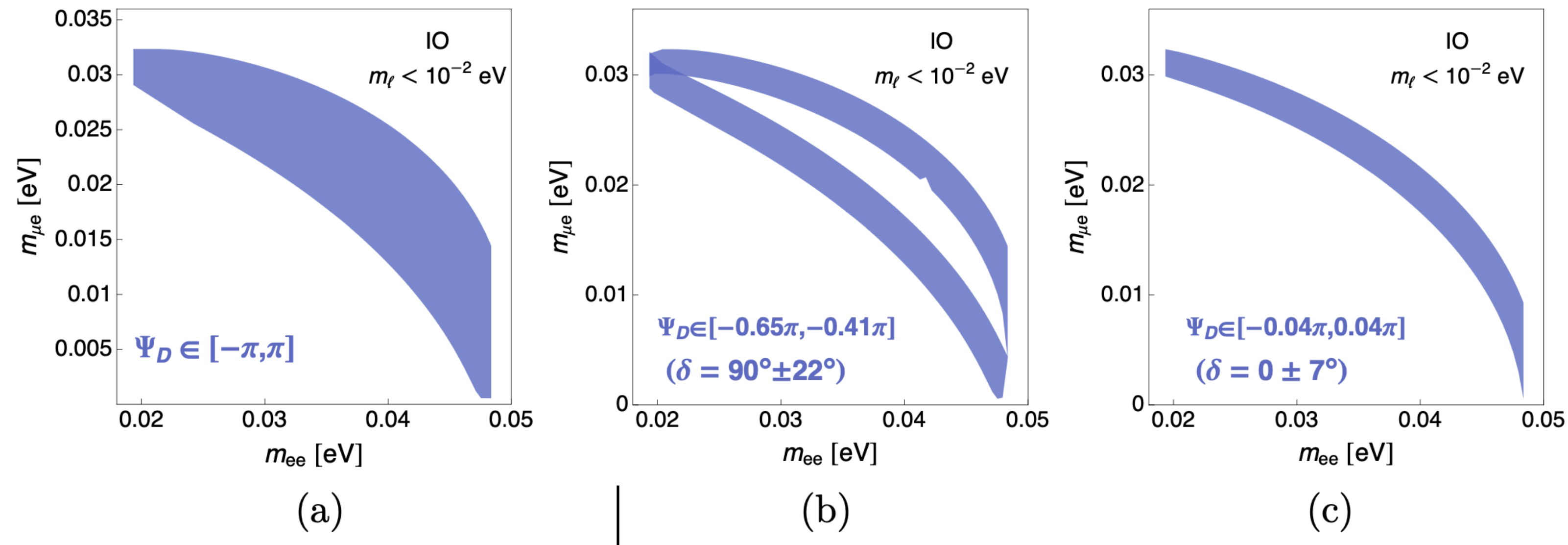
In this limit ($m_\ell \ll m_o$), a measurement of any entry of $m_{\alpha\beta}$ (e.g., m_{ee}) is a measurement of $\cos(2\Phi_{2o}^e)$



The single phase limit

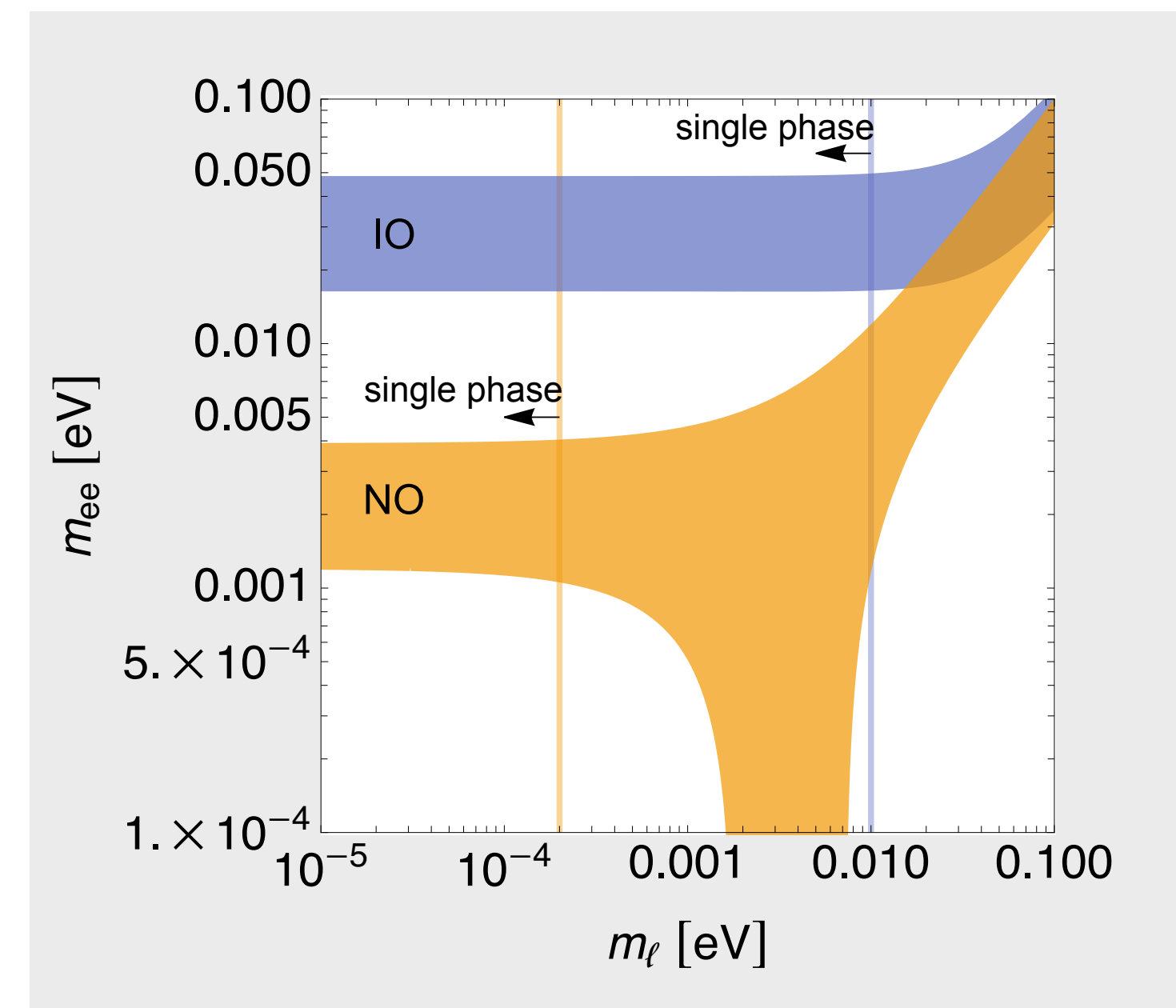
In this limit, only one of the six entries of $m_{\alpha\beta}$ is independent.

=> e.g. knowledge of m_{ee} fixes $m_{\mu e}$



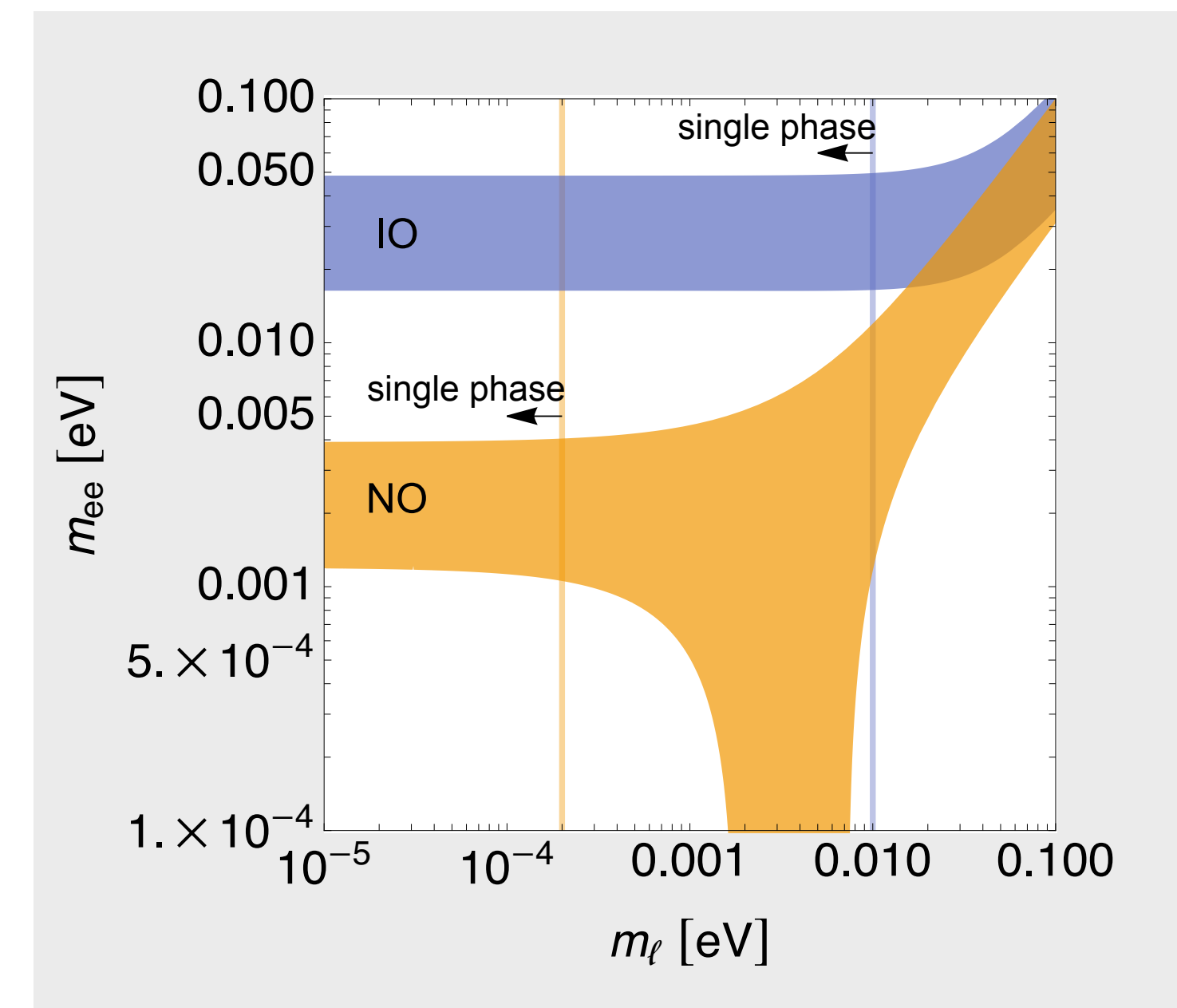
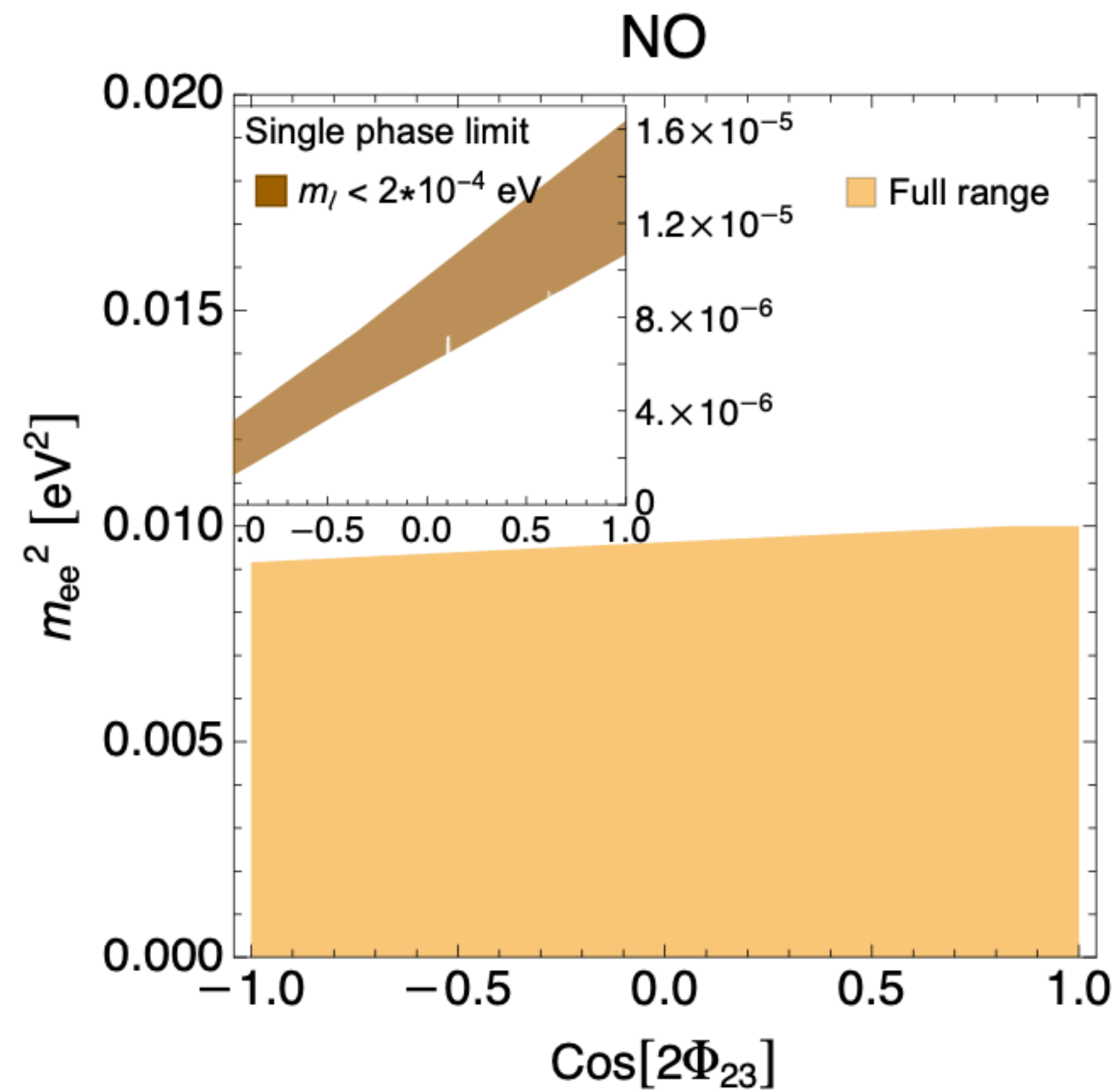
Assuming no knowledge of δ

Assuming we know δ , for two representative values



The single phase limit, NO

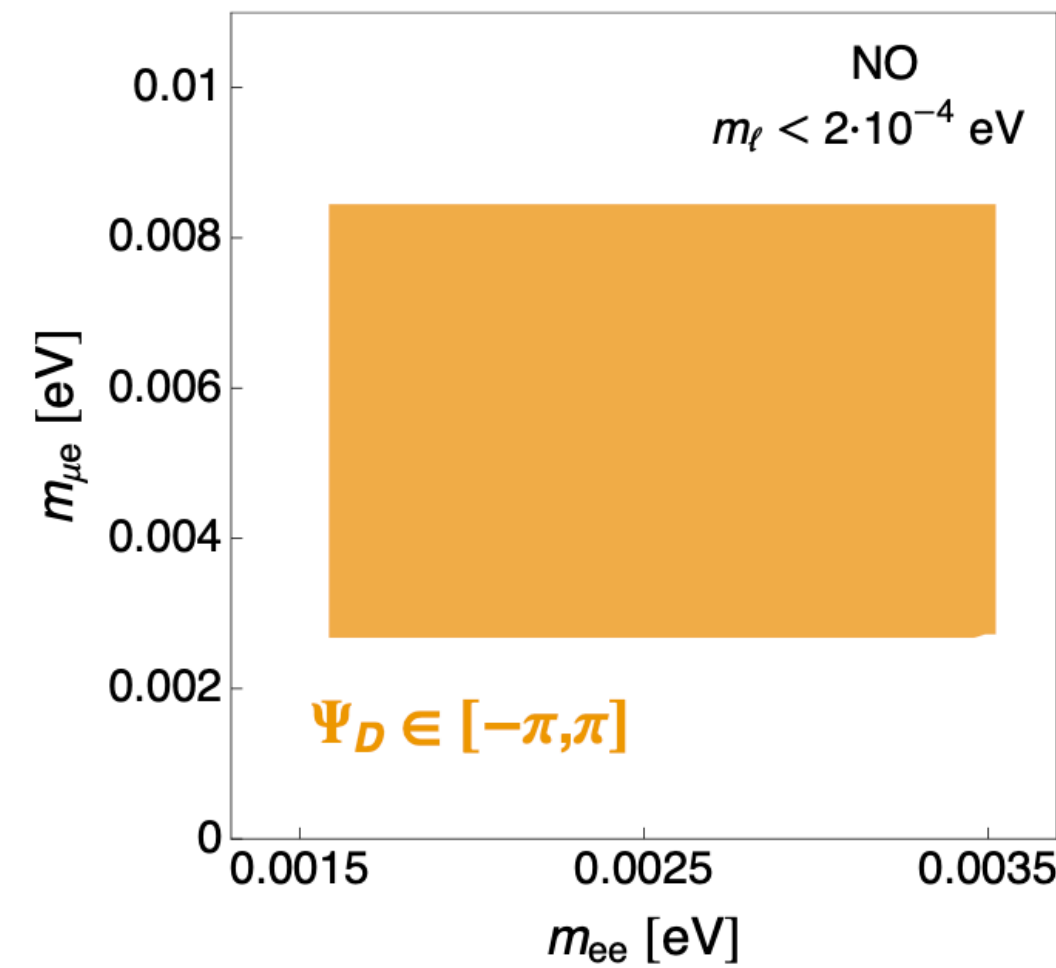
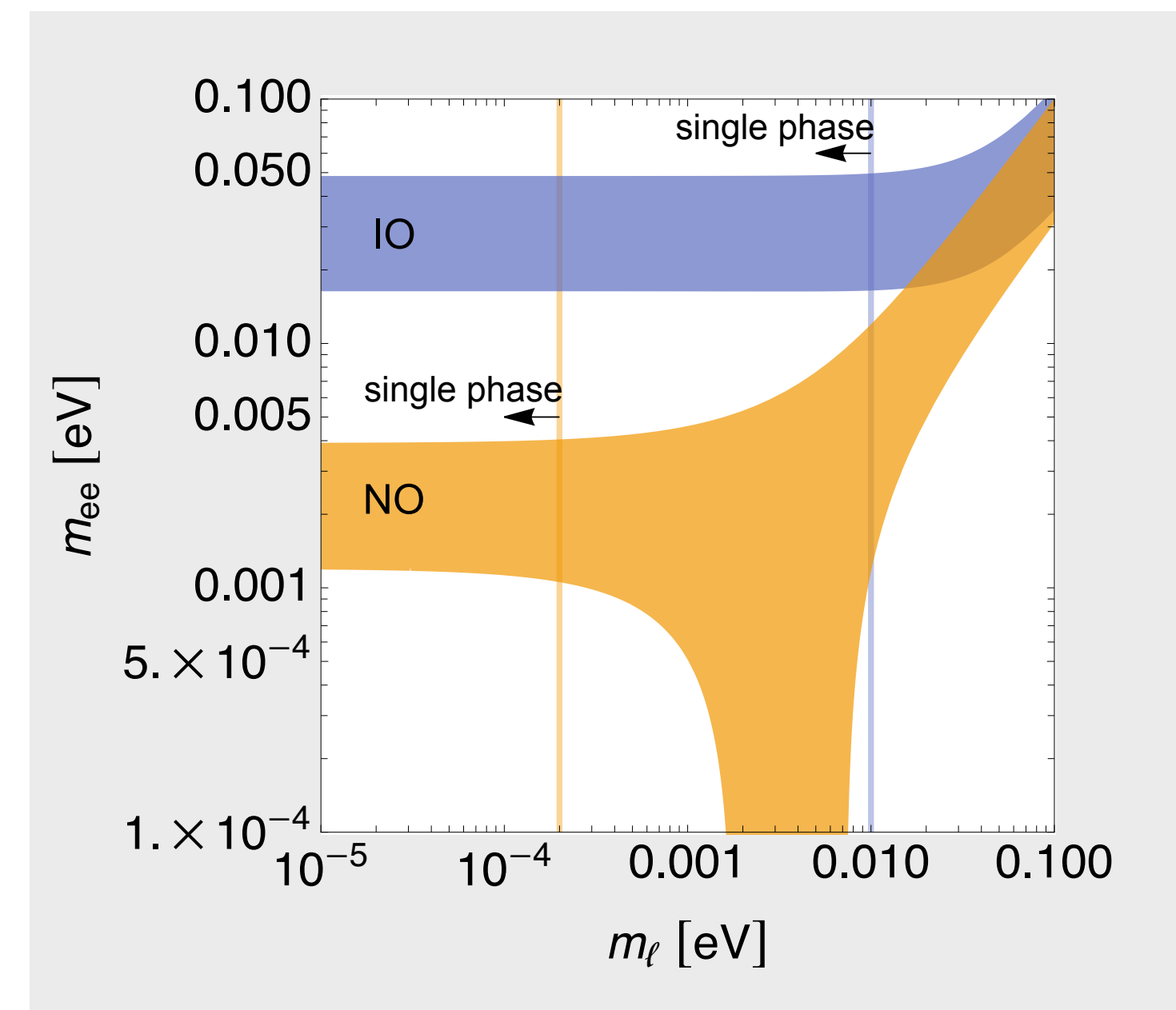
In this limit ($m_\ell \ll m_o$), a measurement of any entry of $m_{\alpha\beta}$ (e.g., m_{ee}) is a measurement of $\cos(2\Phi_{2o}^e)$



The single phase limit, NO

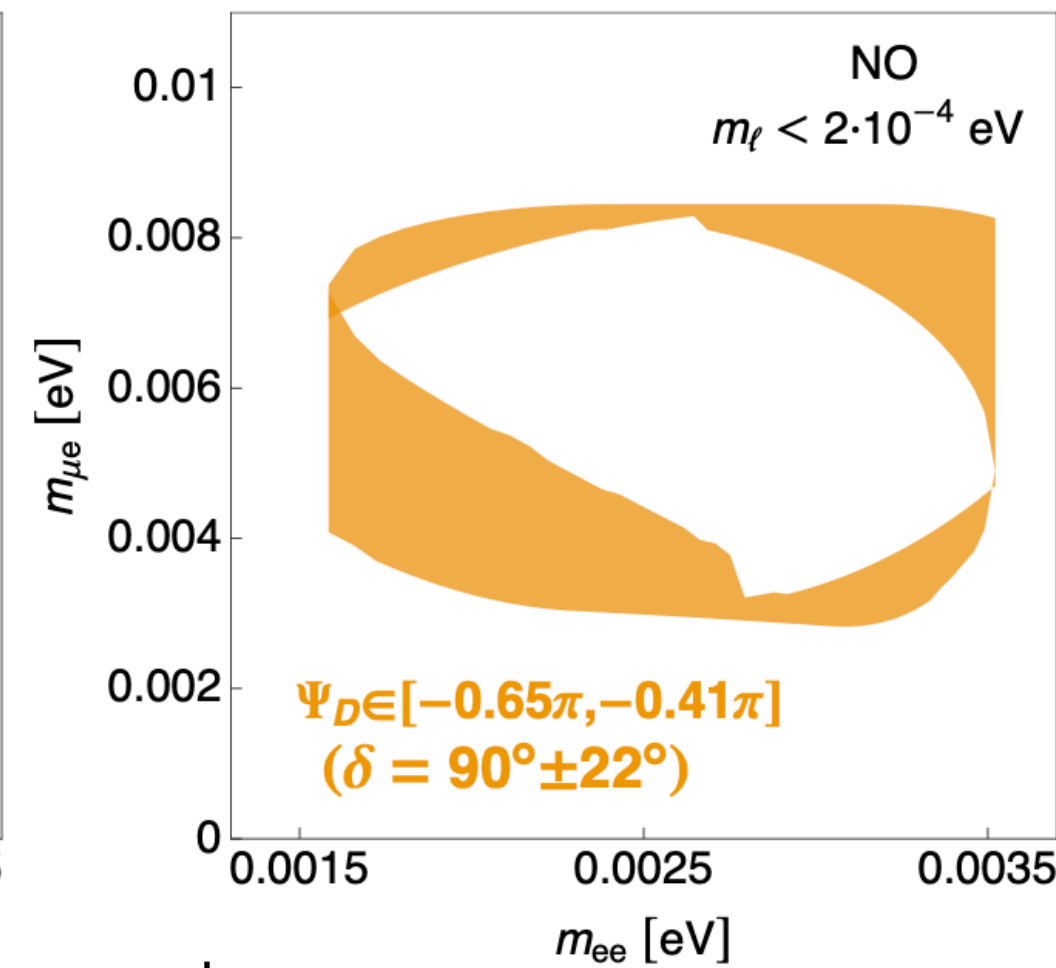
In this limit, only one of the six entries of $m_{\alpha\beta}$ is independent.

=> e.g. knowledge of m_{ee} fixes $m_{\mu e}$

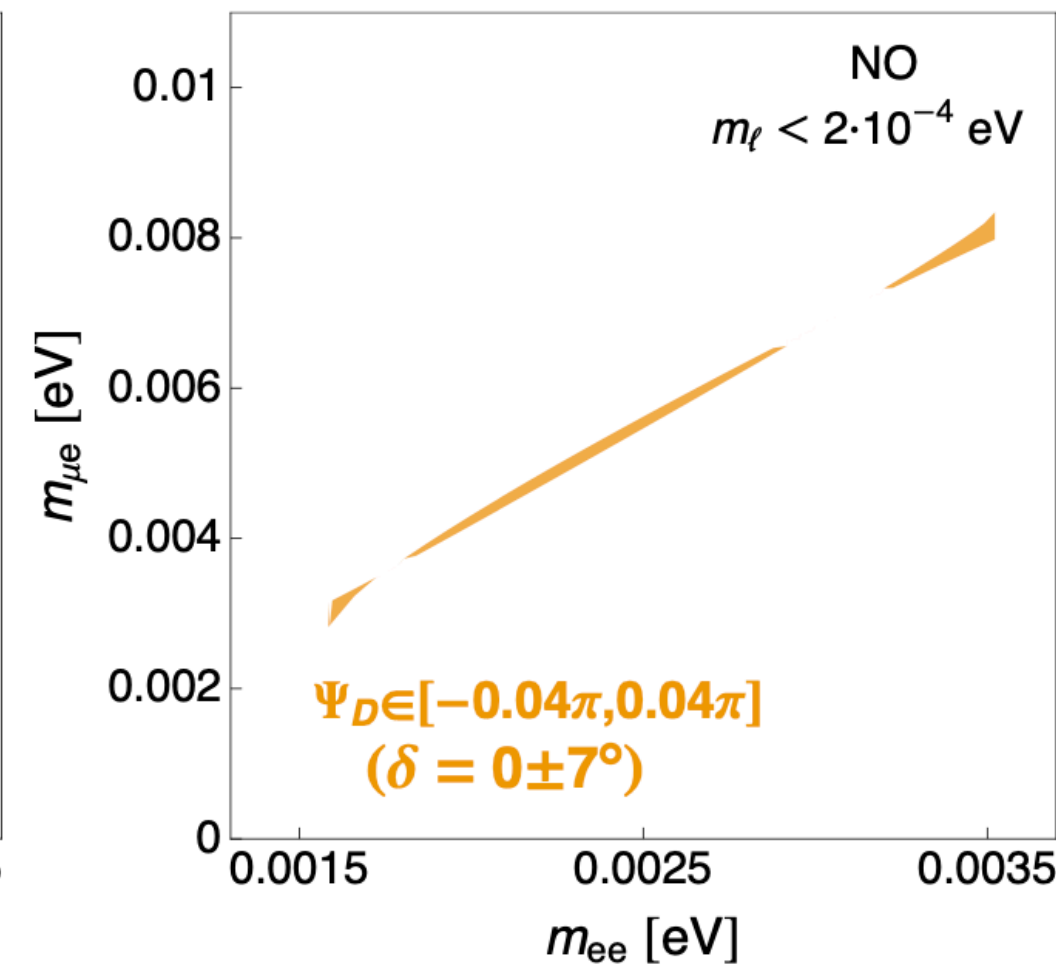


(d)

Assuming no knowledge of δ



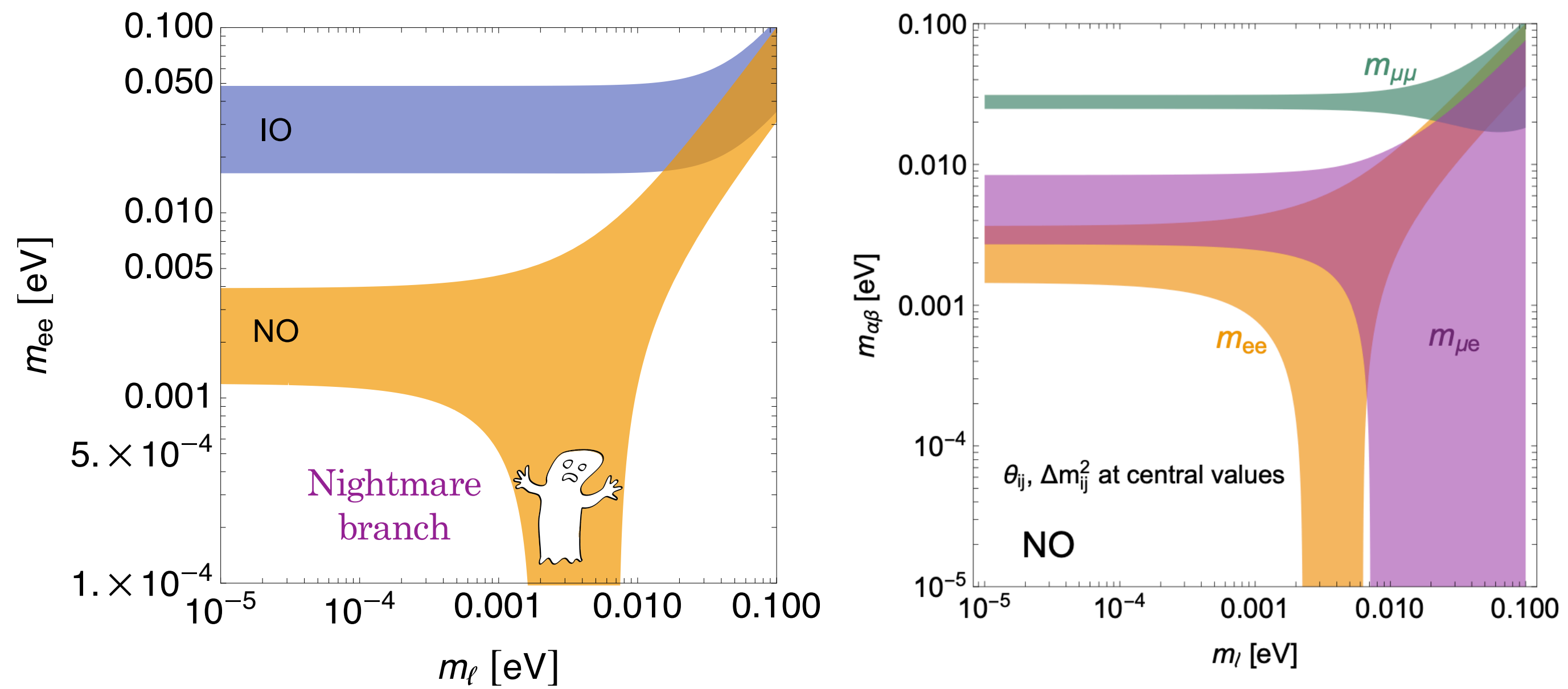
(e)



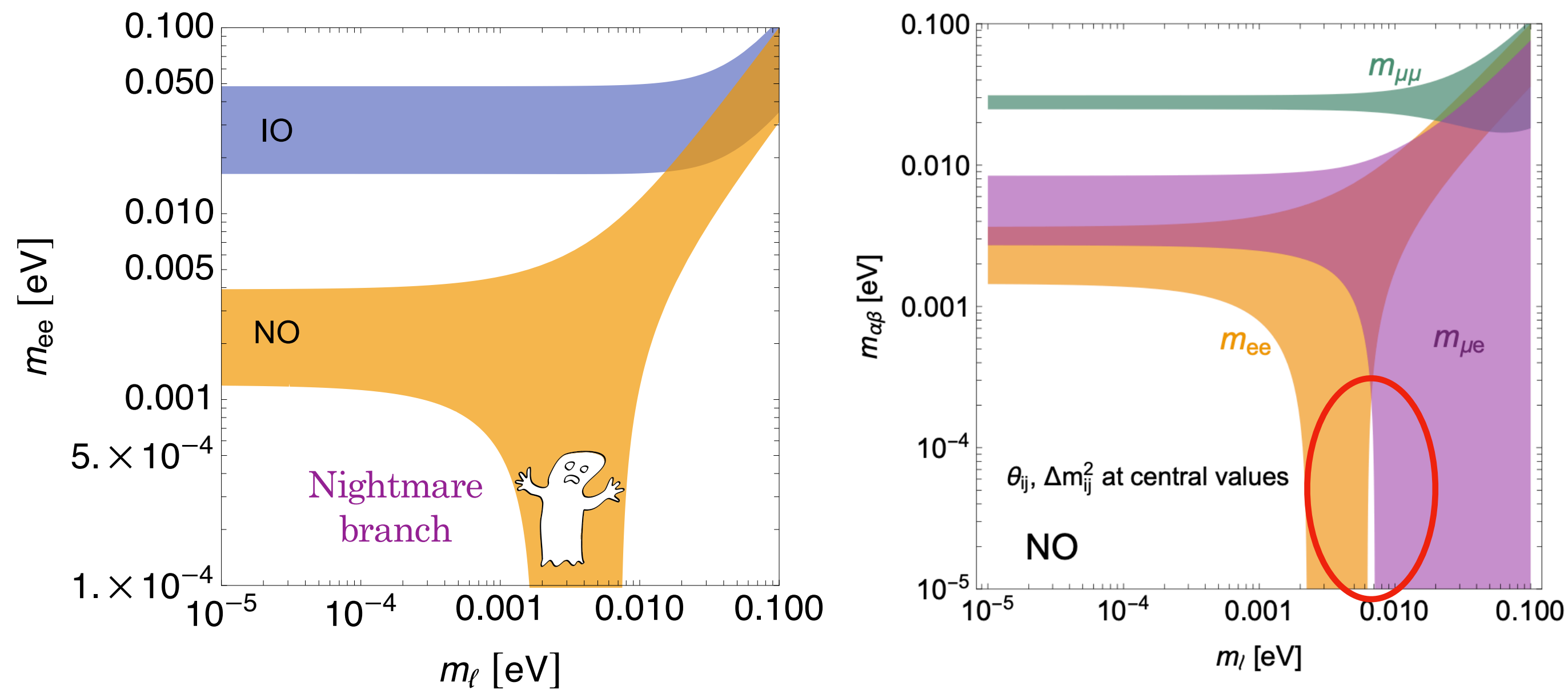
(f)

Assuming we know δ , for two representative values

A no-lose proposition



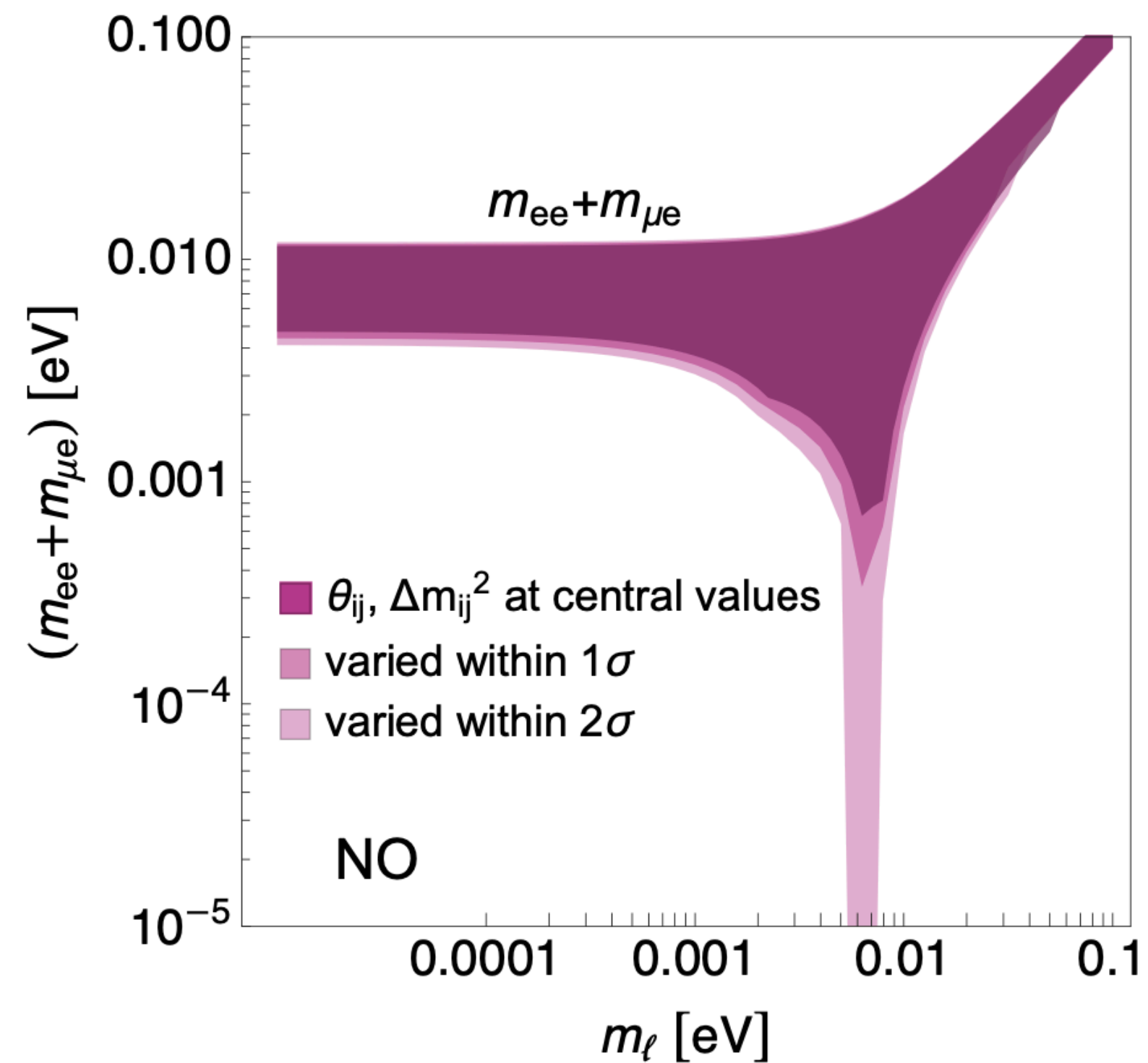
A no-lose proposition



Neither $m_{\mu e}$ nor $m_{\mu\mu}$ vanish together with m_{ee} , for current central values of the oscillation parameters.

In principle, a measurement (or non-measurement) of two of them with the required sensitivity is able to exclude the ν_M SM, or discover LNV.

A no-lose proposition



This picture will be impacted by further precision on oscillation parameters!

Currently, expected sensitivity to $m_{\mu e}^2$ (Mu2e, COMET) is orders of magnitudes away from ν_M SM sensitivity (10^{-16} vs. 10^{-40})

Crazy ideas on how to probe $m_{\mu e}$??

Revisiting Leptonic non-unitarity

$$\left(\begin{array}{c} \left(\begin{array}{c} \text{LMM} \\ \vdots \\ \vdots \end{array} \right)_{3 \times 3} \quad \dots \\ \vdots \end{array} \right)$$

Motivation

1. [IceCube](#) has measured astrophysical tau neutrinos
2. Collider ν experiments ([FASER \$\nu\$](#) , [SND@LHC](#)) started running, expected to see $\mathcal{O}(100)$ ν_τ events

What can we learn about τ elements?

Could we have $\mathcal{O}(1)$ deviation from τ -row unitarity?

In analogy - CKM non-unitarity

$$-\frac{g}{\sqrt{2}} (\bar{u}_L \quad \bar{c}_L \quad \bar{t}_L) \left(\begin{array}{c} \\ CKM \\ \end{array} \right) W_{\mu}^{+} \gamma^{\mu} \begin{pmatrix} d_L \\ s_L \\ b_L \end{pmatrix}$$

In analogy - CKM non-unitarity

$$\begin{array}{c}
 \beta \text{ decay} \quad K \rightarrow \pi \ell \nu \quad B \rightarrow \pi \ell \nu \\
 \begin{array}{c}
 -\frac{g}{\sqrt{2}} (\bar{u}_L \quad \bar{c}_L \quad \bar{t}_L) \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} W_\mu^+ \gamma^\mu \begin{pmatrix} d_L \\ s_L \\ b_L \end{pmatrix} \\
 D \rightarrow \pi \ell \nu \quad D_s \text{ decays} \quad t \rightarrow Wb \quad B \rightarrow DX
 \end{array}
 \end{array}$$

$$N_u \equiv |V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 0.9985 \pm 0.0007$$

$$|1 - N_c| < 0.025 \quad @ 2\sigma$$

$$|1 - N_t| < 0.089 \quad @ 2\sigma$$

Deviations from unitarity of
at most $\mathcal{O}(10\%)$

What about the PMNS?

$$-\frac{g}{\sqrt{2}} (\bar{e}_L \quad \bar{\mu}_L \quad \bar{\tau}_L) \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} W_{\mu}^{+} \gamma^{\mu} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Cannot measure neutrino mass eigenstates

(unlike the quark case - quarks hadronize before they oscillate)

$$\cancel{\tau \rightarrow \nu_1 \bar{u} d}$$

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Oscillation experiments measure combinations of elements, e.g.

$$P(\nu_{\mu} \rightarrow \nu_{\tau}) = 4 |U_{\mu3}|^2 |U_{\tau3}|^2 \sin^2 \left(\frac{\Delta m_{32}^2 L}{4E} \right)$$

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$U_{\tau1}, U_{\tau2},$ difficult to directly constrain (no ν_{τ} beam)

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Our a-priori naive expectation (backed by the literature):

$\{|U_{ei}|\}$ elements well measured \Rightarrow fix N_e

$\{|U_{\mu i}|\}$ elements somewhat constrained $\Rightarrow N_{\mu}$ constrained

$|U_{\tau 3}|$ constrained by $\nu_{\mu} \rightarrow \nu_{\tau}$ $\Rightarrow N_{\tau}$ unconstrained

$$N_{\alpha} \equiv \sum_i |U_{\alpha i}|^2$$

Define scenario: non-unit. from kinematically inaccessible states

Extra ν states exist, kinematically inaccessible,
resulting in effective low-E non-unitarity.

$$M \gg m_W,$$

$$\left(\begin{array}{c} \left(\begin{array}{c} \text{LMM} \\ \vdots \\ \vdots \end{array} \right) \quad \cdots \end{array} \right)$$

=> Low energy processes are affected, e.g.,

$$\pi^+ \rightarrow \mu^+ \nu_\mu \quad |\nu_\mu\rangle^{\text{eff.}} = \frac{1}{\sqrt{N_\mu}} \sum_{i=1}^3 U_{\mu i}^* |\nu_i\rangle$$

$$\Gamma(\pi \rightarrow \mu\nu) = N_\mu \cdot \Gamma(\pi \rightarrow \mu\nu)^{\text{SM}}$$

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Rates of processes with an external neutrino are modified by a normalization factor

=> non-orthogonality, **Zero distance effect**

$$\langle \nu_\beta | \nu_\alpha \rangle = \underbrace{\sum_{i=1}^3 U_{\alpha i}^* U_{\beta i}}_{t_{\alpha\beta}} \neq 0$$

non-closure factors

NOMAD
experiment

$$\frac{|t_{\mu e}|^2}{N_\mu N_e} \lesssim 10^{-3}$$

$$\frac{|t_{\mu\tau}|^2}{N_\mu N_\tau} \lesssim 10^{-4}$$

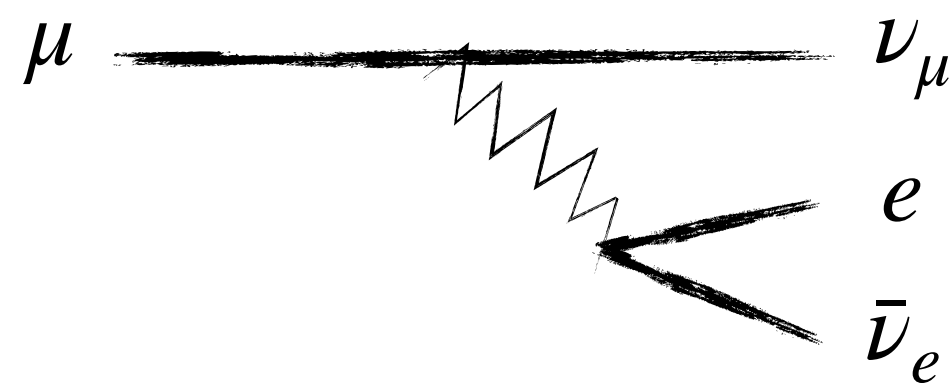
$$\frac{|t_{e\tau}|^2}{N_e N_\tau} \lesssim 10^{-2}$$

Cauchy-Schwarz $|t_{\alpha\beta}|^2 \leq (1 - N_\alpha)(1 - N_\beta)$

Indirect constraints on N_α

[Antusch and Fischer, *JHEP* **10** (2014) 094]

G_F measured in muon decay



$$\propto N_\mu N_e$$

$$G_\mu^2 = N_e N_\mu G_F^2$$

Compare to LHC measurements of $\sin^2 \theta_W$ in FB asymmetries

$$Z \rightarrow f\bar{f} \quad (\text{no } \nu\text{'s here})$$

$$\sin^2 \theta_W \cos^2 \theta_W = \frac{\alpha(m_Z) \pi}{\sqrt{2} G_F m_Z^2}$$

$$\Rightarrow \sqrt{N_e N_\mu} = 1.0004 \pm 0.0007$$

N_τ ?

Lepton non-universality (LFU) constraints

$$\left. \begin{aligned}
 \frac{\Gamma(\tau \rightarrow \mu \nu_\tau \bar{\nu}_\mu)}{\Gamma(\tau \rightarrow e \nu_\tau \bar{\nu}_e)} &\propto \frac{N_\mu}{N_e} \\
 \frac{\Gamma(\mu \rightarrow \mu \nu_\mu \bar{\nu}_\mu)}{\Gamma(\tau \rightarrow e \nu_\tau \bar{\nu}_e)} &\propto \frac{N_e}{N_\tau} \\
 \vdots & \\
 \vdots & \\
 \vdots &
 \end{aligned} \right\}
 \begin{aligned}
 \frac{N_\mu}{N_e} &= 1.004 \pm 0.003 \\
 \frac{N_\tau}{N_e} &= 1.006 \pm 0.003 \\
 \frac{N_\tau}{N_\mu} &= 0.999 \pm 0.003
 \end{aligned}$$

$$\Rightarrow N_e \approx N_\mu \approx N_\tau \equiv N_{\text{univ.}} \quad \text{Up to } \mathcal{O}(10^{-3})$$

LFU + weak angle

Without any input from ν experiments, the combination of these two constraints leads to

$$|1 - N_e| < 0.005, \quad |1 - N_\mu| < 0.001, \quad |1 - N_\tau| < 0.002 \quad @ 2\sigma$$

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$$|t_{\alpha\beta}|^2 \leq (1 - N_\alpha)(1 - N_\beta) \lesssim 10^{-6}, \quad \alpha, \beta = e, \mu, \tau$$
$$\sum_i N_i = \sum_\alpha N_\alpha \rightarrow (1 - N_i) \lesssim 10^{-3}, \quad i = 1, 2, 3$$

$\mathcal{O}(10^{-3})$, better than CKM.

↓
 $\mathcal{O}(0.1)$

Fairly robust bound, hard to evade.

How well can oscillation exp.'s do?

$$P_{\alpha\beta} : |U_{\alpha i}|^2 \rightarrow \frac{|U_{\alpha i}|^2}{N_\alpha}$$

The oscillation probability is only sensitive to the normalized magnitudes of elements, $\{|U_{\alpha i}|^2/N_\alpha\}$, and not to the overall normalization itself.

$$n_{\nu\beta} = \Phi_{\nu\alpha} \cdot P_{\alpha\beta} \cdot \sigma_{\nu\beta}$$

According to the literature, the sensitivity to $\{N_\alpha\}$ comes from the normalization of fluxes and cross sections.

How well can oscillation exp.'s do?

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$$P_{\alpha\beta} : |U_{\alpha i}|^2 \rightarrow \frac{|U_{\alpha i}|^2}{N_\alpha}$$

$$\Gamma(\pi \rightarrow \mu\nu) = N_\mu \cdot \Gamma(\pi \rightarrow \mu\nu)^{\text{SM}}$$

Rates of processes with an external neutrino are modified by a normalization factor, with respect to the SM

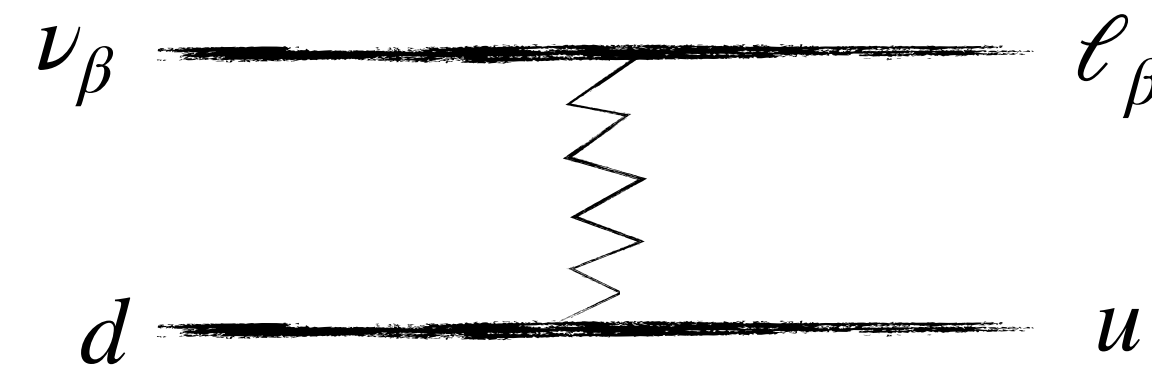
However, in practice, SM predictions often involve measured inputs.

e.g., if one uses as input the pion decay constant, f_π , from a measurement of $\pi \rightarrow \ell\nu$, then there is no need to normalize (no sensitivity to N) (Recurring mistake in the leptonic non-unit. literature)

Deep Inelastic Scattering predictions are also contaminated.

$$n_{\nu\beta} = \Phi_{\nu\alpha} \cdot P_{\alpha\beta} \cdot \sigma_{\nu\beta}^{\text{DIS}}$$

High energy, no need for hadronic input

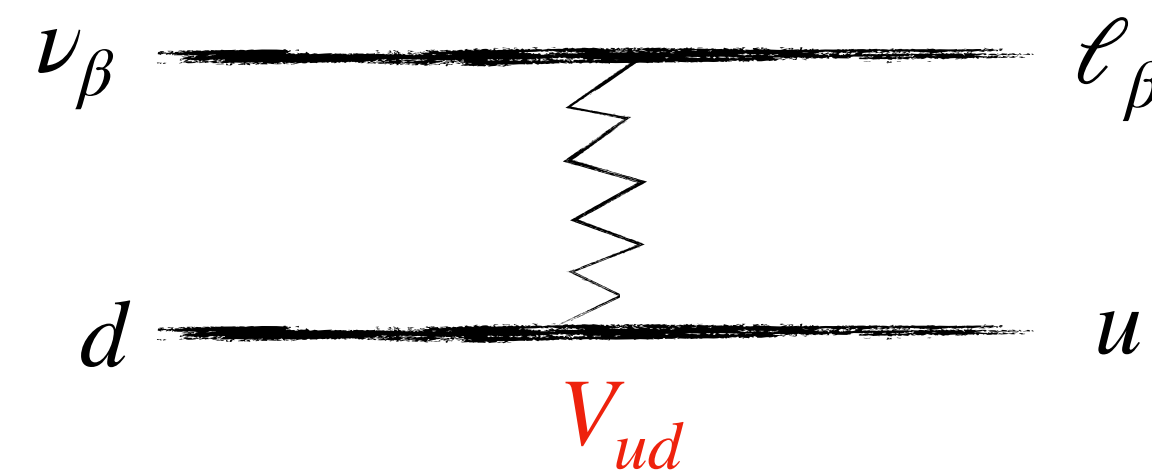


Deep Inelastic Scattering predictions are also contaminated.

$$n_{\nu_\beta} = \Phi_{\nu_\alpha} \cdot P_{\alpha\beta} \cdot \sigma_{\nu_\beta}^{\text{DIS}}$$

High energy, no need for hadronic input

BUT, $|V_{ud}|$ is measured in beta decays, involving a neutrino in the final state (and G_μ).



=> Again, no need to normalize, meaning that, in general, there is no sensitivity of oscillation experiments to $\{N_\alpha\}$. (Matter effects do not change this conclusion)

This is contrary to current literature, including the DUNE physics book, which claims $\mathcal{O}(10\%)$ sensitivity to $(1 - \alpha_{ee})^2 = N_e$.

Summary and conclusions

For $\Delta L = 2$ processes within the ν_M SM:



1. For small lightest neutrino mass, $m_\ell \ll m_o$, there is approximately only one independent element of the symmetric matrix $m_{\alpha\beta}$
2. The sensitivity to the subleading Majorana phase scales as m_ℓ (and requires a second observable in order to disentangle)
3. If future progress allows to reach ν_M SM sensitivity to $m_{\mu e}$, the Majorana nature of neutrinos could be ruled out by non observation of both m_{ee} and $m_{\mu e}$ (no-lose proposition). Precision measurement of oscillation parameters will impact this.

Summary and conclusions

For an extended leptonic mixing matrix (kinematically inaccessible states):

1. The combination of LFU + weak angle measurements places a strong constraint of $\mathcal{O}(10^{-3})$ on non-unit. of the 3×3 LMM, **surpassing analogous CKM constraints by two orders of magnitude.**
2. Oscillation experiments are **insensitive to $\{N_\alpha\}$** . The focus (in terms of non-unit.) should be on improving the NOMAD bounds on the non-closure factors, $\{t_{\alpha\beta}\}$.
3. Measured inputs to SM predictions should be treated with care.

Thank you for your attention!