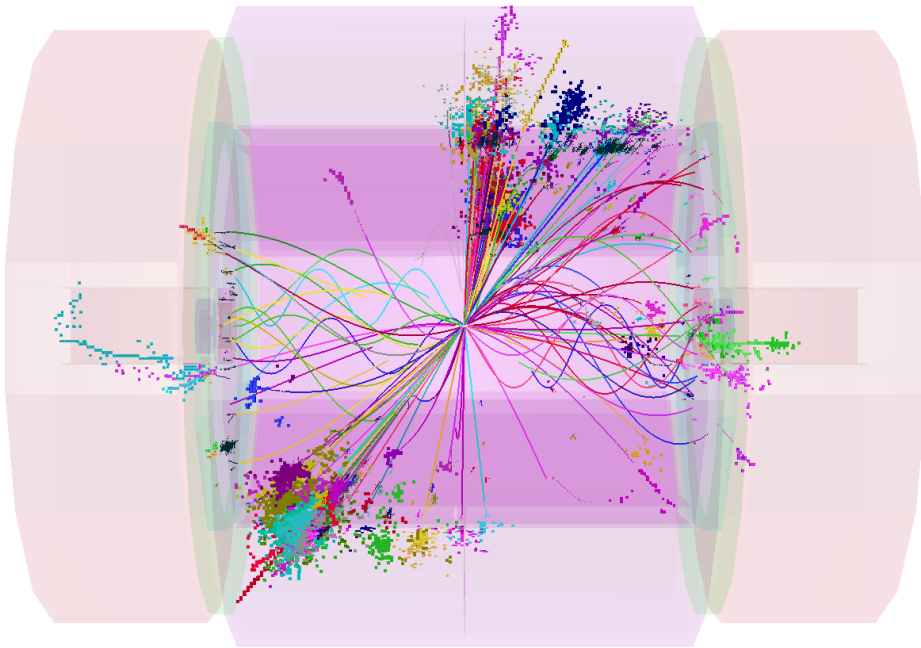


# Background Suppression

Mark Thomson  
University of Cambridge

on behalf of CLIC Physics and Detector Study



## This Talk:

- Introduction
- Simulation/Reconstruction
- Backgrounds and Timing
- Jet Finding
- Beam Halo Muons
- Conclusions

# Introduction



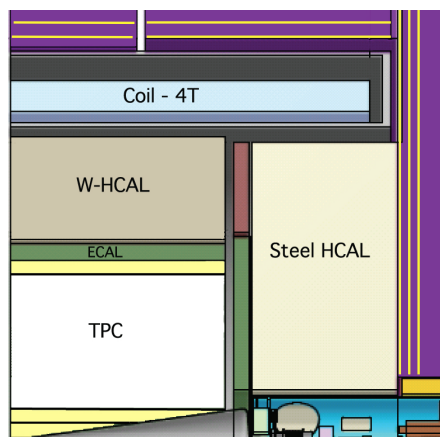
- ★ CLIC provides the potential for  $e^+e^-$  collisions up to  $\sqrt{s} = 3$  TeV
  - But machine environment is **much more challenging** than ILC
    - Background levels are high
    - 0.5 ns bunch-structure → integrate over multiple bunch crossings of background
  - One of the main **aims** of the CDR was to demonstrate possibility of **precision physics** measurements in this environment
  - A second **aim** was to understand the requirements for the detector readout – guide future R&D direction
- ★ Both aims require detailed simulation and reconstruction
  - Including pile-up from background is essential
  - Significant software challenge
  - Fortunately, not starting from scratch
    - **builds on existing work developed for the ILC**

# Simulation and Reconstruction

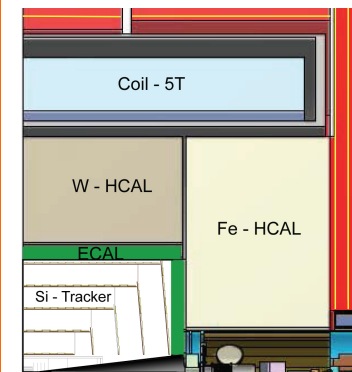
# Simulation



- ★ Both ILD and SiD have developed full **GEANT 4** based **detector simulations**:
  - Mokka (ILD) : already quite detailed, e.g. realistic gaps between detector elements for support/services
  - SLIC (SiD): fairly detailed and very flexible
- ★ Extensively validated/used for the ILD and SiD Lol documents
- ★ For CDR defined **two GEANT 4 detector models**: **CLIC\_ILD** and **CLIC\_SiD**



	CLIC_ILD	CLIC_SiD
Tracker	<b>TPC</b> , $r = 1.8$ m	<b>Silicon</b> , $r = 1.2$ m
B-field	4 T	5 T
ECAL	SiW	SiW
HCAL barrel	<b>W-Scint</b>	<b>W-Scint</b>
HCAL endcap	Steel-Scint	Steel-Scint

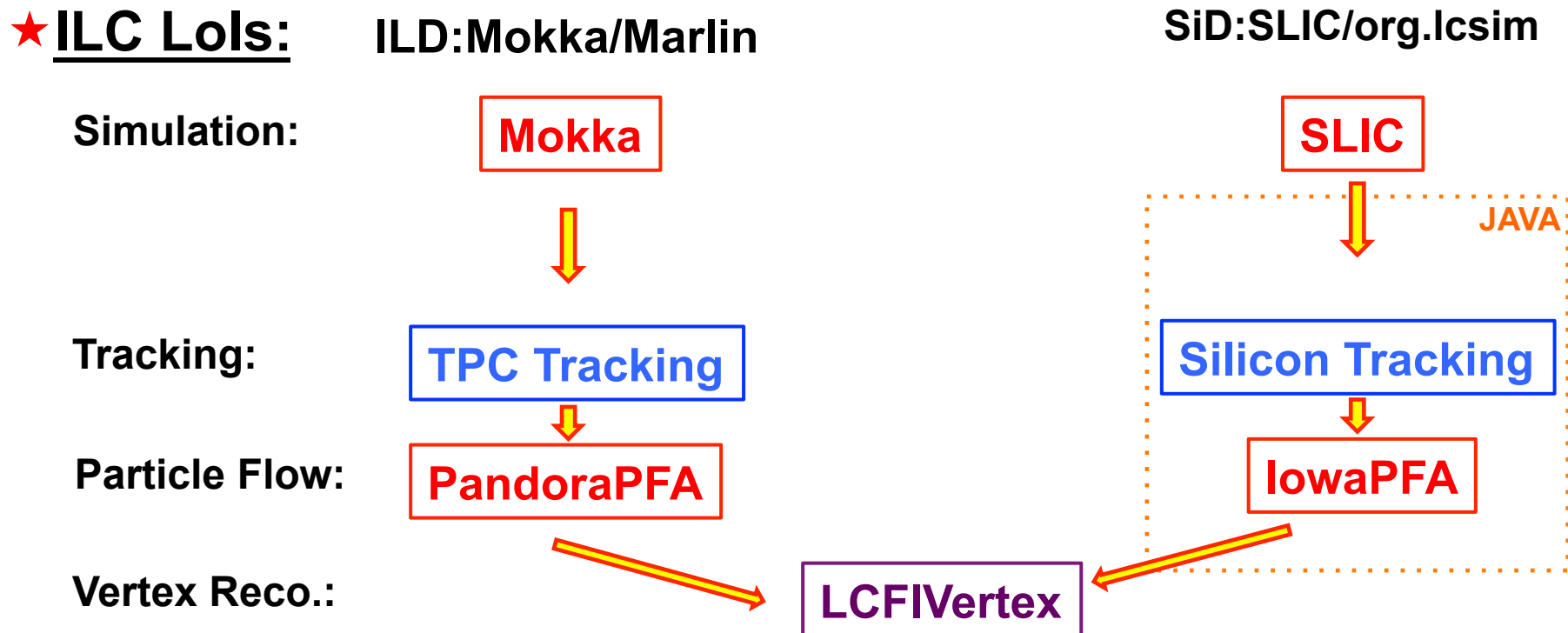


- ★ **Main modifications to existing detector models**
  - Thicker HCAL + **Tungsten absorber** for HCAL barrel
  - Design of forward region + location of inner detectors

# Reconstruction



- ★ All studies use full event reconstruction
  - Highly non-trivial exercise
    - Need full reconstruction chain - developed for ILC (twice)
    - Needs to be able to cope with CLIC environment
    - **Ideally** would have common framework for CLIC\_ILD and CLIC\_SiD
      - but only had **common data format** (nevertheless important)



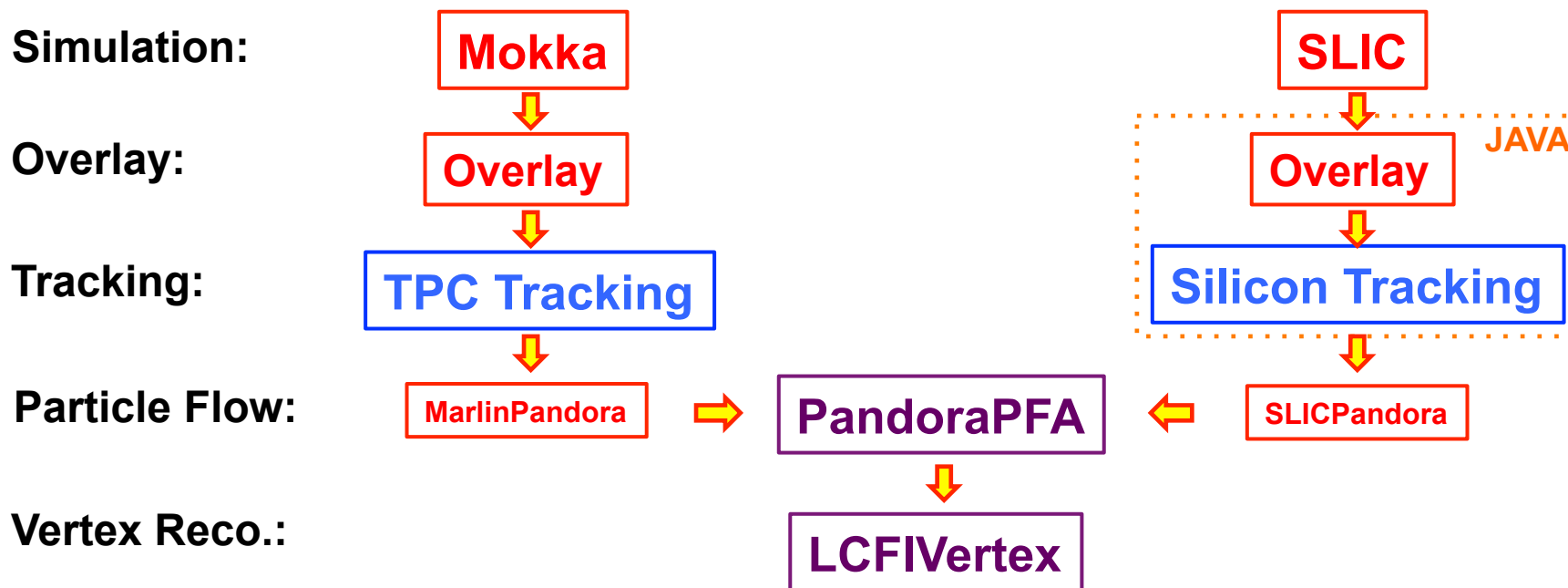
# Reconstruction



- ★ All studies use full event reconstruction
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    - Need full reconstruction chain - developed for ILC (twice)
    - Needs to be able to cope with CLIC environment
    - **Ideally** would have common framework for CLIC\_ILD and CLIC\_SiD
      - but only had **common data format** (nevertheless important)

★ CLIC CDR: ILD:Mokka/Marlin

SiD:SLIC/org.lcsim



# Software Challenges



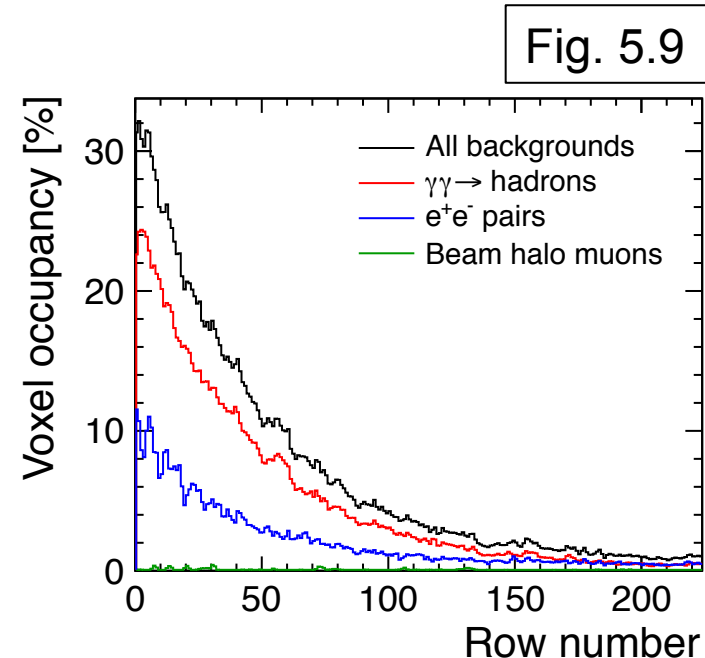
- ★ Major effort to develop and validate software for CLIC CDR
  - + two parallel software frameworks

- ★ A number of significant challenges

- Tracking work in high occupancy environment
- High hit multiplicities in calorimeters
- Reconstruction times/memory footprint
- GRID production with background overlay

- ★ Despite challenges and a few remaining rough edges ...

- All studies in CDR use full reconstruction including overlay from most significant background  $\gamma\gamma \rightarrow$  hadrons



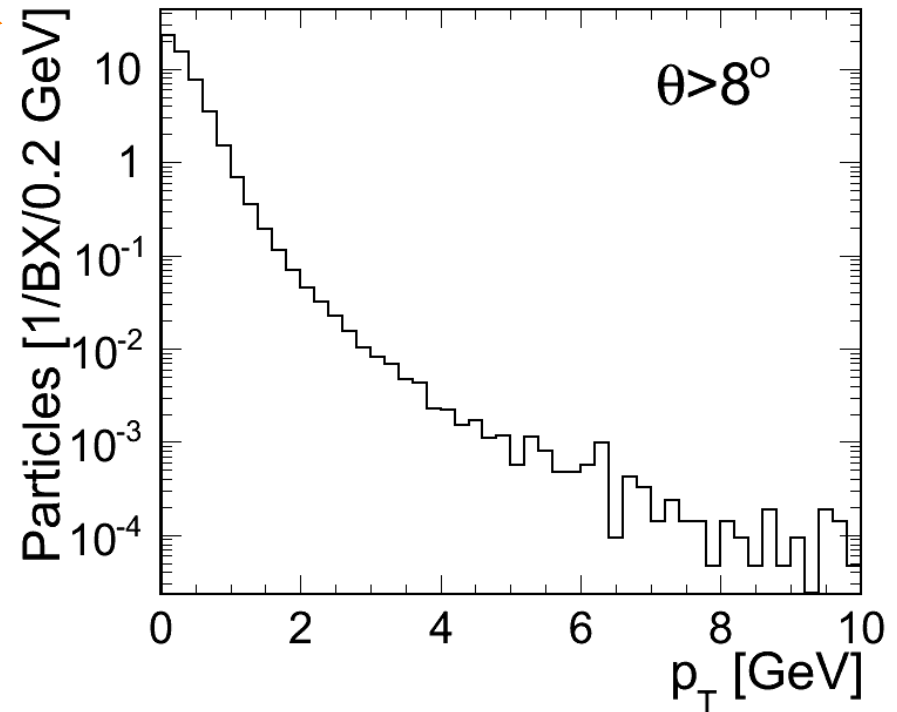
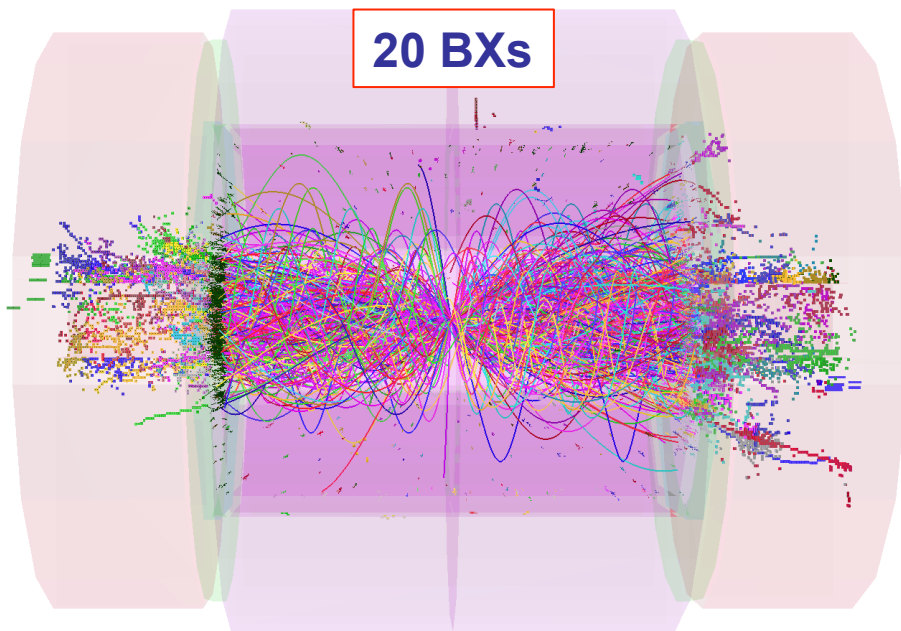
# Backgrounds and Timing Requirements



# Background from $\gamma\gamma \rightarrow$ hadrons



- ★ Pair Background largely affects very low angle region
- ★ Background in calorimeters, central tracker dominated by  $\gamma\gamma \rightarrow$  hadrons “mini-jets”
- ★ At 3 TeV, average 3.2 **events** per BX (approximately 5 tracks per **event**)
- ★ For entire bunch-train (312 BXs)
  - 5000 tracks (mean momentum 1.5 GeV) giving total track momentum : **7.3 TeV**
  - Total calorimetric energy (ECAL + HCAL) : **19 TeV**
- ★ Largely low  $p_T$  particles



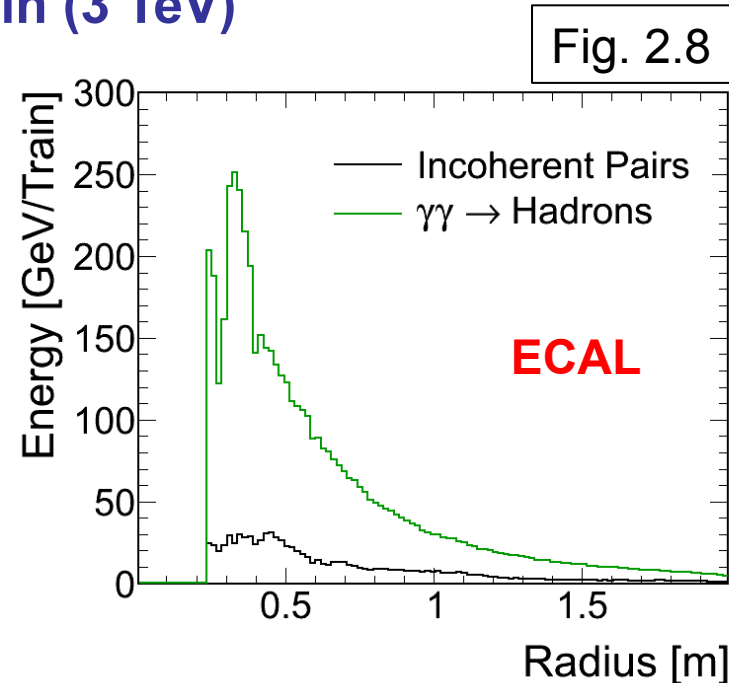
- ★ Irreducible background – it is physics

# Backgrounds in the Calorimeters

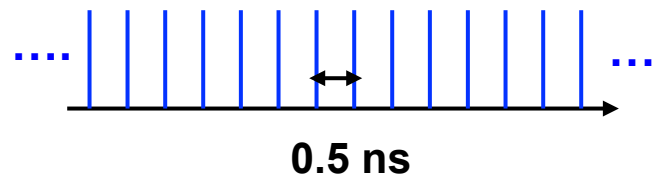


## ★ Calorimeter backgrounds per bunch-train (3 TeV)

Detector	$\gamma\gamma \rightarrow$ hadrons
ECAL endcaps	<b>11 TeV</b>
ECAL barrel	1.5 TeV
HCAL endcaps	<b>6 TeV</b>
HCAL barrel	0.3 TeV
Total	19 TeV



- ★ Calorimeter backgrounds **per bunch-crossing** are manageable, **~ 60 GeV**
- ★ Hence want to integrate over as few as possible BXs
- ★ **Tight timing requirements –  $O(\text{ns})$  !**



# Calorimeter Timing



- ★ But at ns timescale there are issues...
- ★ Can't just "assume" arbitrarily short time-stamping capability
- ★ Time needed to accumulate all calorimetric energy (due to low energy particles, nuclear break-up etc.) significant compared to 0.5 ns Bx
- ★ HCAL resolution depends on time window

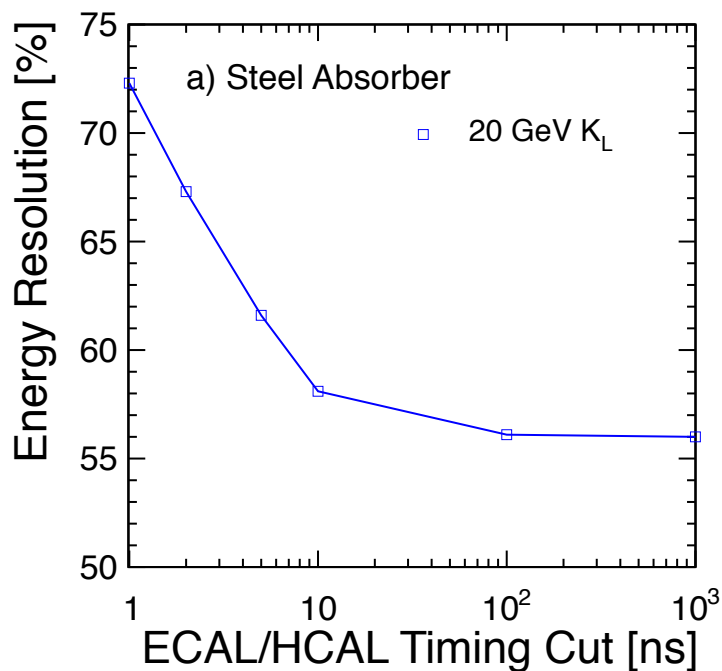


Fig. 6.3

**Steel (Endcap): ~10 ns**

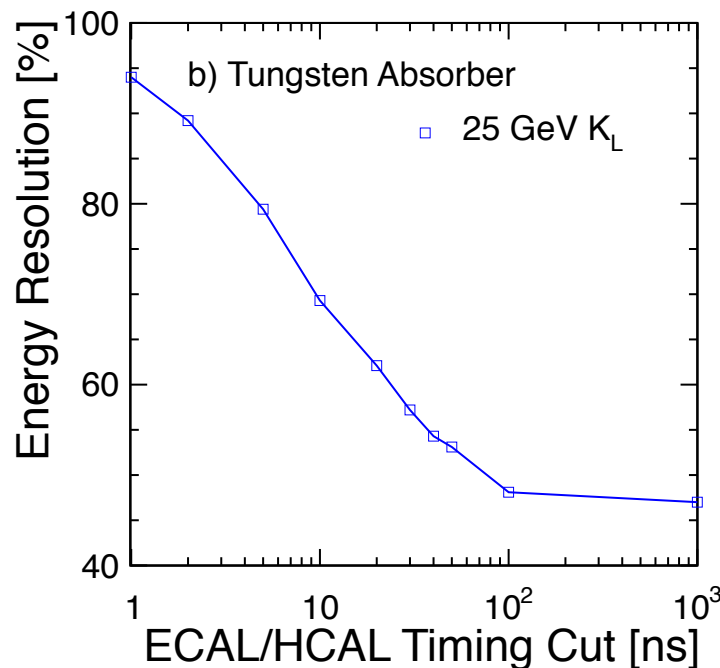


Fig. 6.3

**Tungsten (Barrel): ~100 ns**

# CLIC Timing cont.



★ **Tension** between calorimeter integration time and desire to minimize number of BXs of  $\gamma\gamma \rightarrow$  hadrons background

- e.g di-jet mass resolution from isolated  $W \rightarrow q\bar{q}$  decays

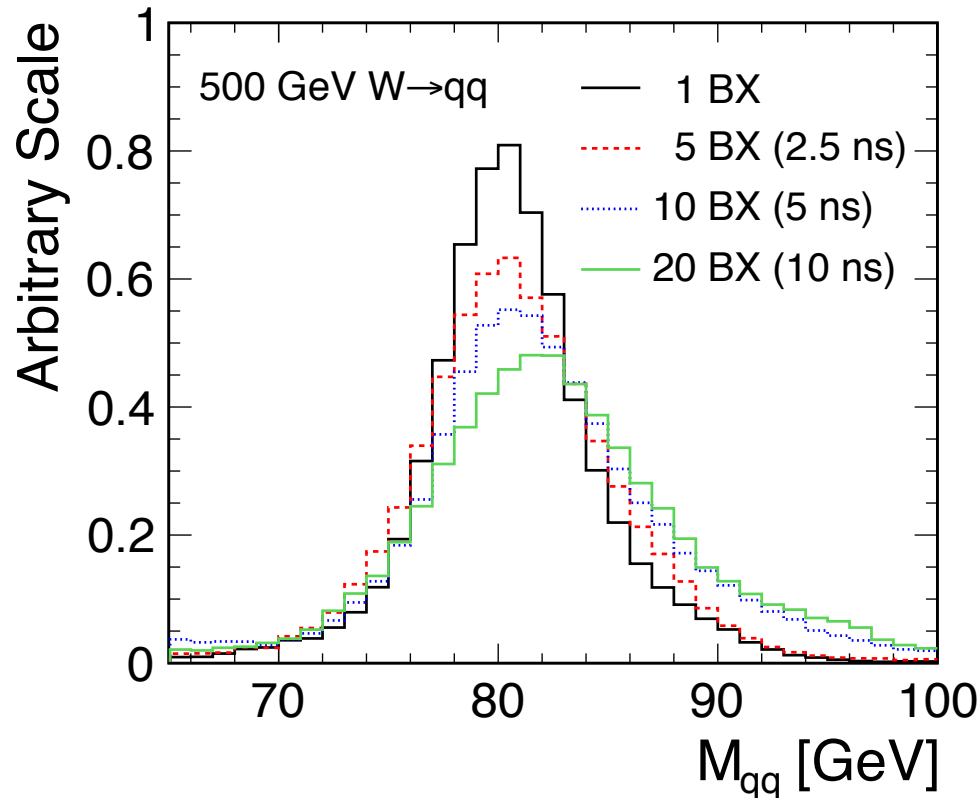
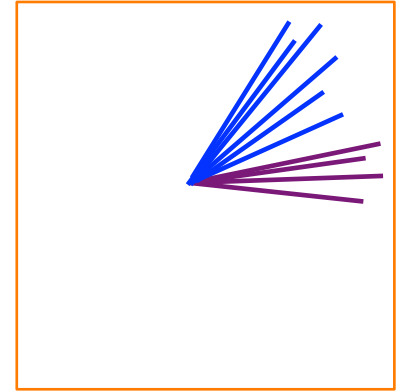


Fig. 2.10



**< 10 BX**

# CLIC Timing cont.



- ★ **Similar conclusions for reconstruction of high mass states**
  - e.g. reconstructed di-jet mass in  $e^+e^- \rightarrow H^0 A^0 \rightarrow b\bar{b}b\bar{b}$

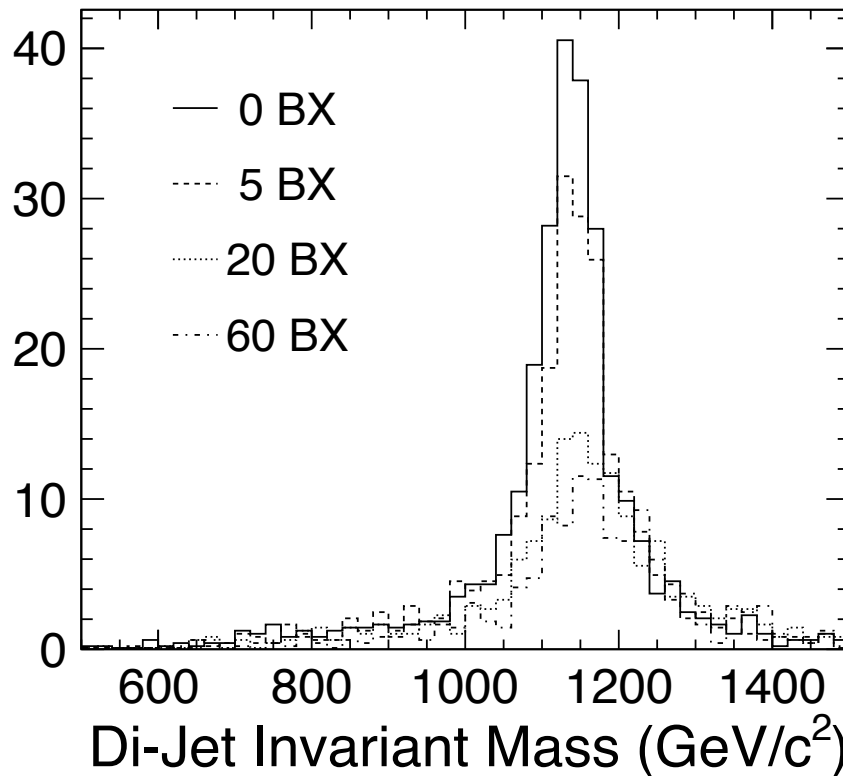
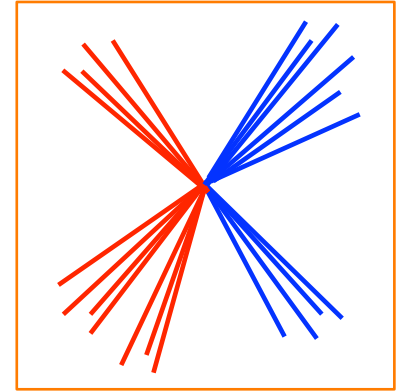


Fig. 2.10

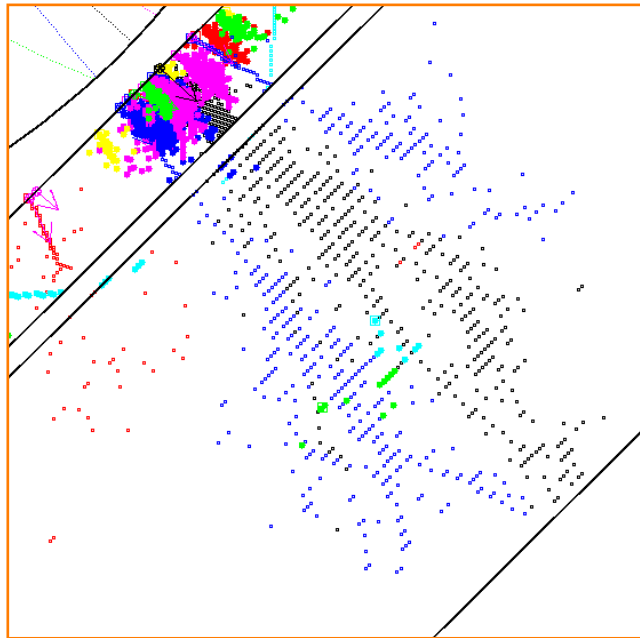


**< 10 BX**

**But < 2.5 ns not long enough for calorimetry**

## ★ Timing Requirements:

- integrate over  $> 20$  BXs to accumulate calorimetric signals
- integrate over  $< 5$  BXs for acceptable  $\gamma\gamma \rightarrow$  hadrons backgrounds
- ...



## ★ The Solution - a combination of:

- excellent time resolution
- high granularity calorimetry
- sophisticated reconstruction

# CLIC Timing Strategy



- ★ Based on **trigger-free readout** of detector hits all with time-stamps
  - assume multi-hit capability of 5 hits per bunch train
- ★ Assume can identify  $t_0$  of physics event in offline trigger/event filter
  - define “reconstruction” window around  $t_0$



- ★ Hits within window passed to track and particle flow reconstruction

Subdetector	Reco Window	Hit Resolution
ECAL	10 ns	1 ns
HCAL Endcap	10 ns	1 ns
HCAL Barrel	100 ns	1 ns
Silicon Detectors	10 ns	$10/\sqrt{12}$
TPC (CLIC_ILD)	Entire train	n/a

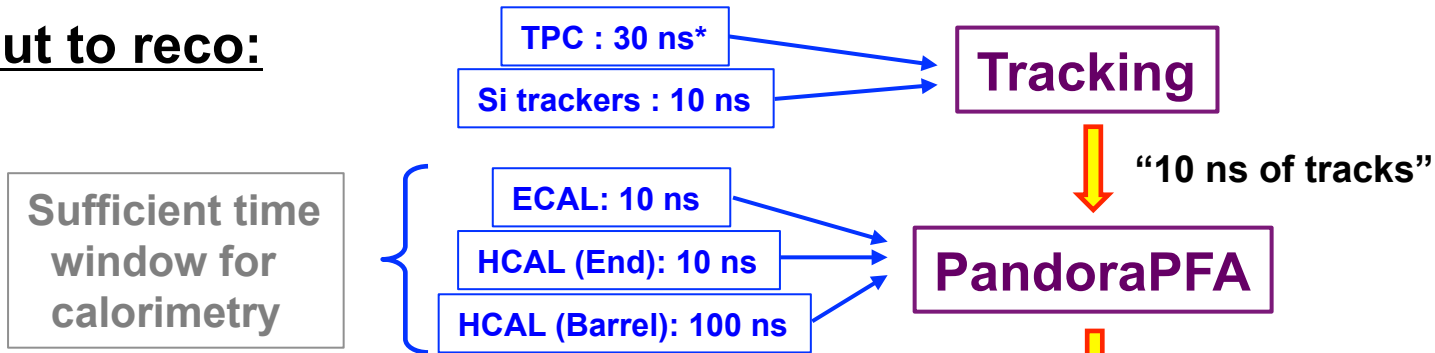
Sufficient calorimeter integration window

**CLIC hardware requirements**

# Reconstruction in Time

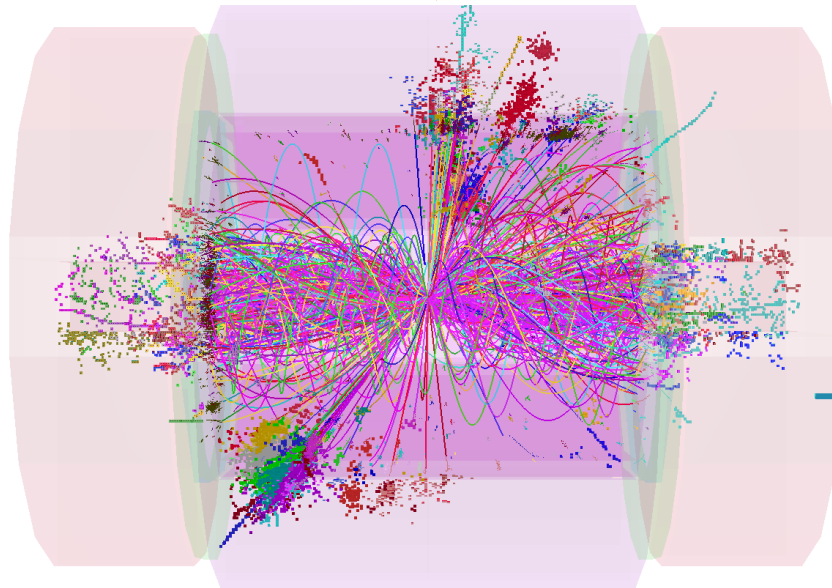


Input to reco:



$\gamma\gamma \rightarrow$  hadrons

**1.2 TeV**



★ Additional background rejection still required **post reconstruction**

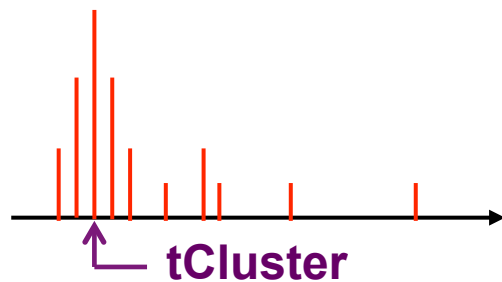
\*TPC readout integrates over whole train – only 60 BXs used due to limitations in heritage (LEP) tracking software



# Reconstruction in Time

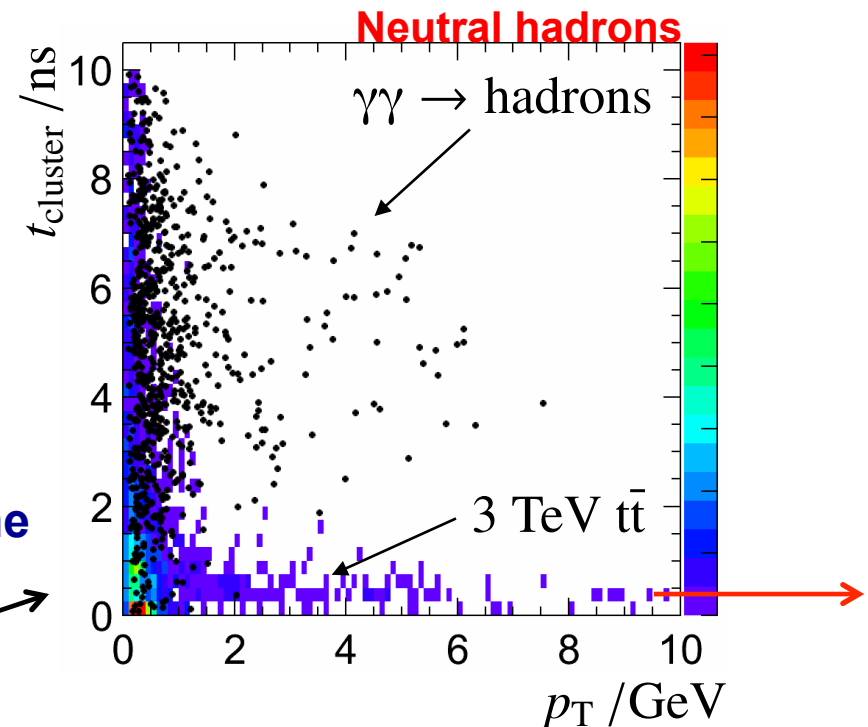


- ★ After reconstruction have a list of particles (PFOs):
  - charged particles – mostly matched to **clusters**
  - photons – EM **clusters**
  - neutral hadrons – **clusters**
- ★ High granularity calorimeter - even low energy clusters have many hits
  - calculate energy weighted truncated mean time of each **cluster**
    - calo hit times corrected for time-of-flight (straight-line)
    - sub-ns resolution



- use times to reject clusters
- also can reject associated tracks
  - account for helical propagation time

- ★ Reject PFOs from background
  - e.g. neutral hadrons in Endcap



# PFOSelection



- ★ Only apply to “low”  $p_T$  PFOs
- ★ Three sets of timing cuts applied in reconstruction
  - Loose, **Default**, Tight



Region	$p_T$ range	time cut
Photons		
central	$0.75 \text{ GeV} \leq p_T < 4.0 \text{ GeV}$	$t < 2.0 \text{ ns}$
$\cos \theta \leq 0.975$	$0 \text{ GeV} \leq p_T < 0.75 \text{ GeV}$	$t < 1.0 \text{ ns}$
forward	$0.75 \text{ GeV} \leq p_T < 4.0 \text{ GeV}$	$t < 2.0 \text{ ns}$
$\cos \theta > 0.975$	$0 \text{ GeV} \leq p_T < 0.75 \text{ GeV}$	$t < 1.0 \text{ ns}$
neutral hadrons		
central	$0.75 \text{ GeV} \leq p_T < 8.0 \text{ GeV}$	$t < 2.5 \text{ ns}$
$\cos \theta \leq 0.975$	$0 \text{ GeV} \leq p_T < 0.75 \text{ GeV}$	$t < 1.5 \text{ ns}$
forward	$0.75 \text{ GeV} \leq p_T < 8.0 \text{ GeV}$	$t < 2.0 \text{ ns}$
$\cos \theta > 0.975$	$0 \text{ GeV} \leq p_T < 0.75 \text{ GeV}$	$t < 1.0 \text{ ns}$
charged particles		
all	$0.75 \text{ GeV} \leq p_T < 4.0 \text{ GeV}$	$t < 3.0 \text{ ns}$
	$0 \text{ GeV} \leq p_T < 0.75 \text{ GeV}$	$t < 1.5 \text{ ns}$

Table B.2

# Impact of Timing Cuts



1.2 TeV

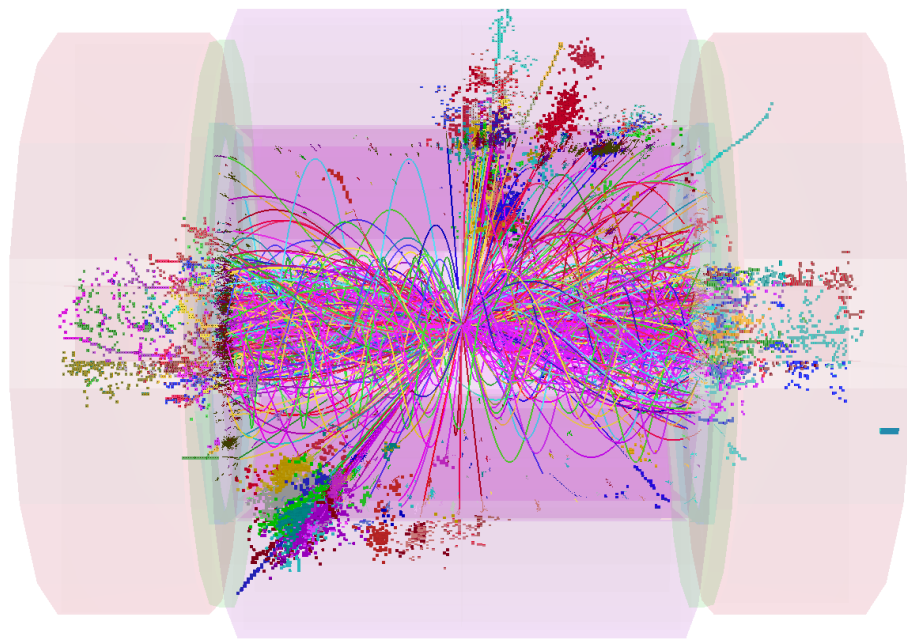


Table 12.1

Cut	$\gamma\gamma \rightarrow$ hadrons	500 GeV di-jet	
	Energy (GeV)	Energy (GeV)	energy loss
No cut	1210	500.2	0%
Loose	235	498.8	0.3%
Default	175	498.0	0.5%
Tight	85	496.1	0.8%
$p_T > 3.0$ GeV	160	454.2	9.2%

# Impact of Timing Cuts



1.2 TeV → 85 GeV

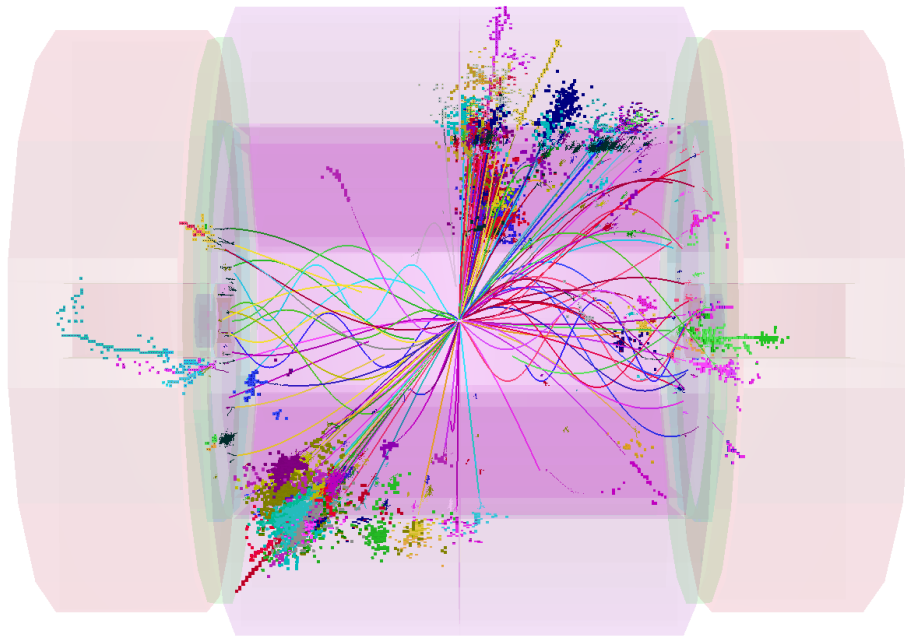


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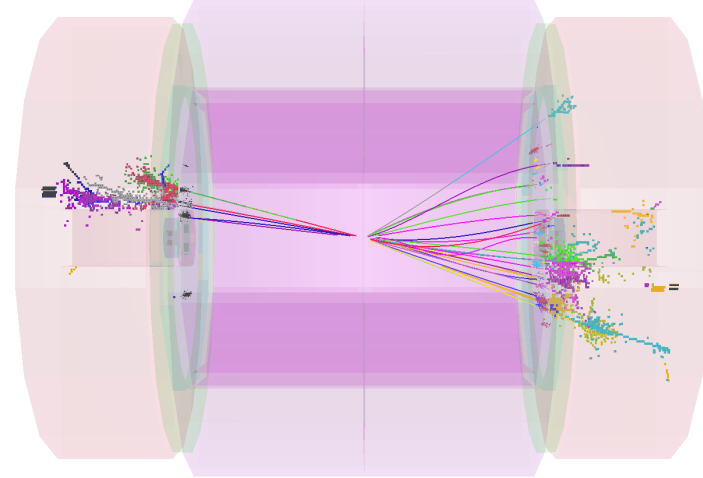
- ★ Reject **93 %** of background energy and **< 1%** of physics event
  - much more effective than simple  $p_T$  cut

# Forward Events

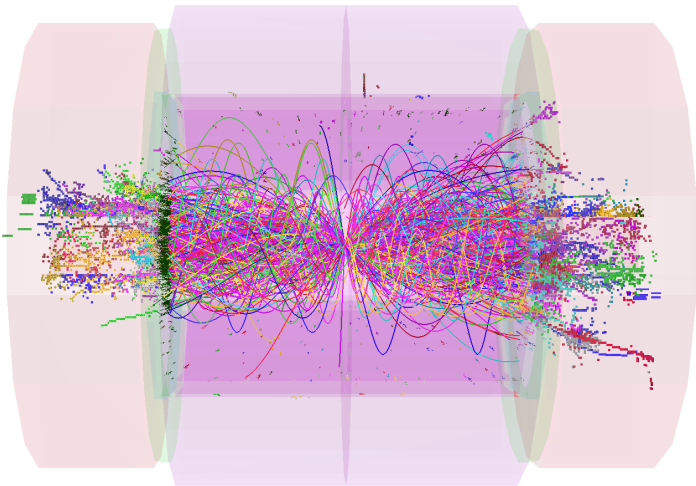


- ★ Also effective in forward region
  - qualitative example for hard case, 3 TeV  $W^+W^- \rightarrow q\bar{q}q\bar{q}$

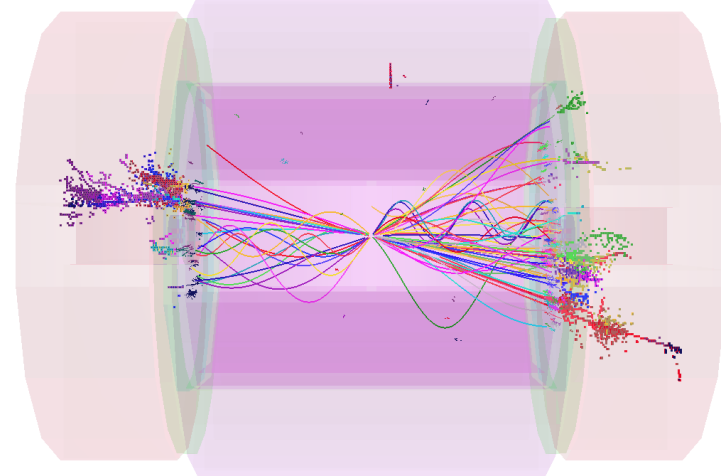
$$W^+W^- \rightarrow q\bar{q}q\bar{q}$$



$$W^+W^- \rightarrow q\bar{q}q\bar{q} + \gamma\gamma \rightarrow \text{hadrons}$$



TightSelectedPFOs

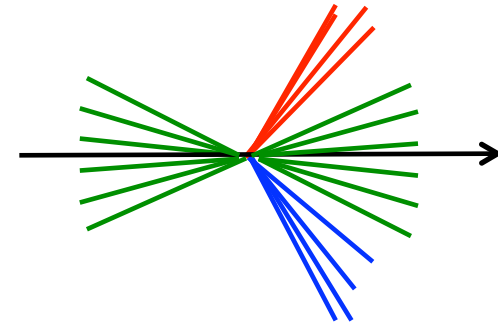


# Jet Finding

# Jet Finding at CLIC



- ★ At LEP, preferred jet-finding algorithm: **Durham  $k_T$** 
  - **all particles** in event clustered into the jets
  - not appropriate for CLIC



- ★ Events at CLIC
  - significant background from **forward-peaked**  $\gamma\gamma \rightarrow$  hadrons
  - events are often **boosted** along beam axis (beamstrahlung)
  - “hadron collider” type algorithms more appropriate

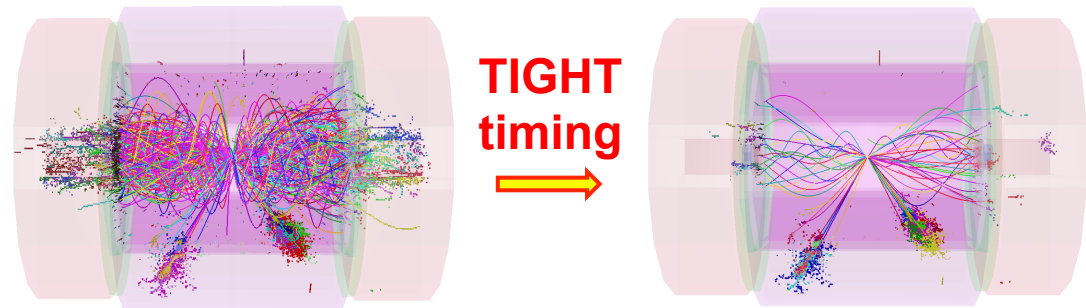
- ★ Jet finding at CLIC
  - studied for benchmark physics analyses (FASTJET package)
  - preferred option “ $k_T$ ” with distance measure  $\Delta R^2 = \Delta\eta^2 + \Delta\phi^2$ 
    - invariant under longitudinal boosts
  - particles either combined with existing jet or beam axis
    - reduces sensitivity to  $\gamma\gamma \rightarrow$  hadrons



# Jet Finding at CLIC



- ★ e.g.  $e^+e^- \rightarrow \tilde{q}_R\tilde{q}_R \rightarrow q\bar{q}\tilde{\chi}_1^0\tilde{\chi}_1^0$ 
  - two jets + missing energy



- ★ Using Durham  $k_T$  à la LEP
  - all particles clustered
  - timing cuts are effective

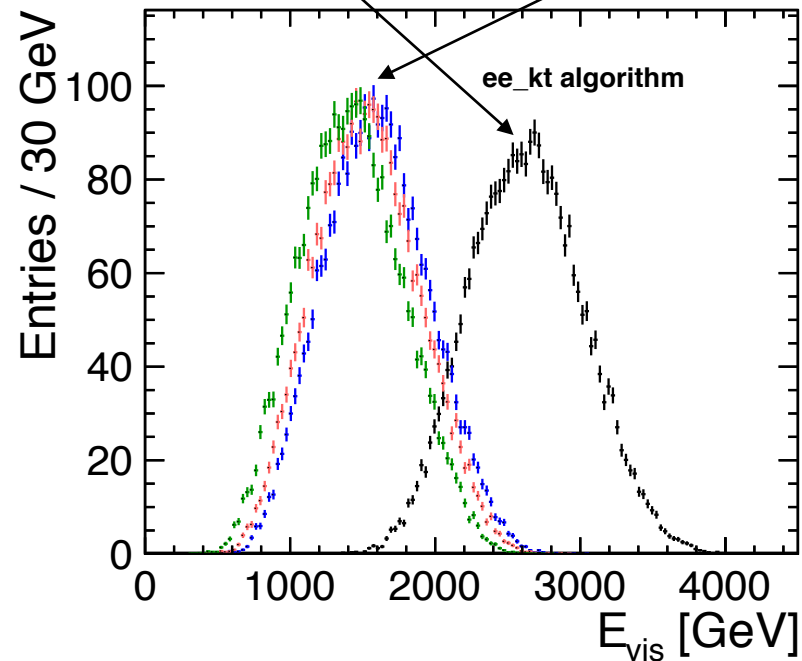


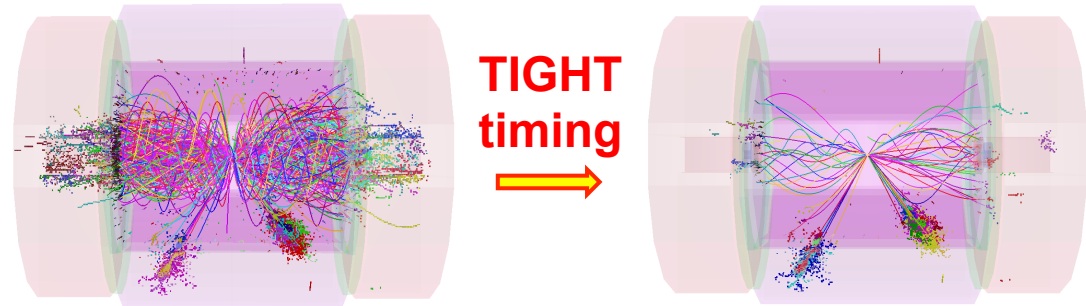
Fig. 12.7



# Jet Finding at CLIC



- ★ e.g.  $e^+e^- \rightarrow \tilde{q}_R \tilde{q}_R \rightarrow q\bar{q} \tilde{\chi}_1^0 \tilde{\chi}_1^0$ 
  - two jets + missing energy



- ★ “hadron collider”  $k_T$  :  $R = 0.7$ 
  - much of background clustered with beam axis
  - **timing cuts** do less work
  - relative impact of timing and jet-finding depends on event topology

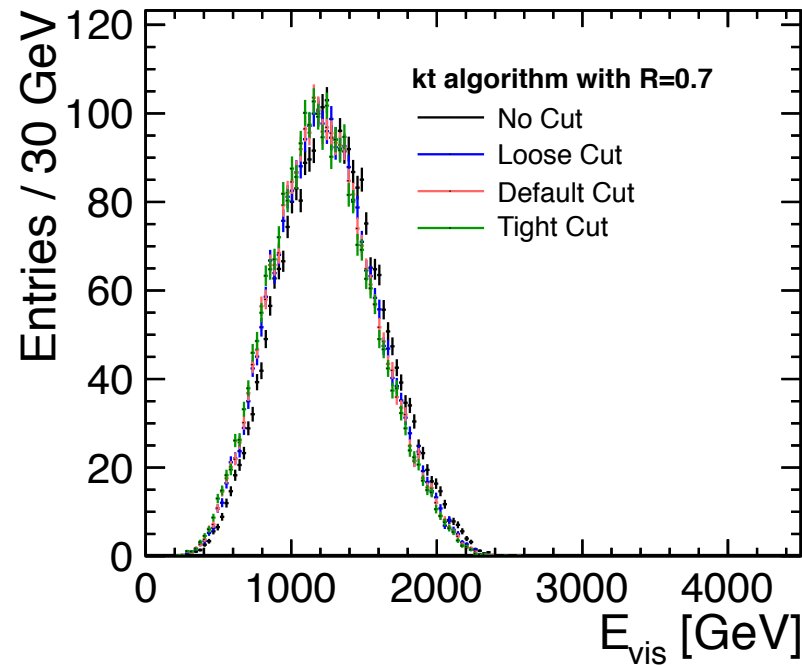


Fig. 12.7

- ★ Two “weapons” against background: **timing cuts + jet finding**

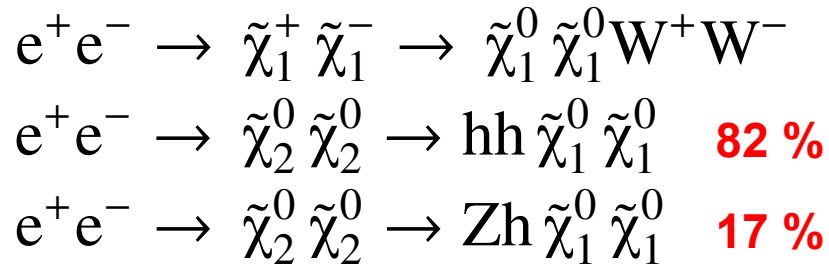
# Does it all work ?



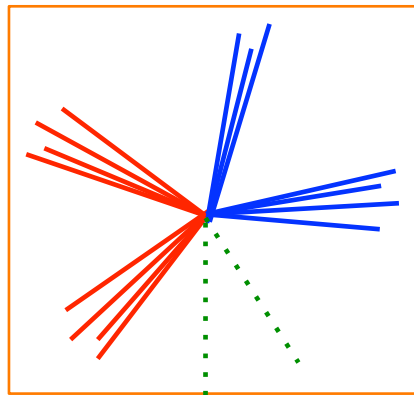
- ★ Aim was to show that can make precise measurements in CLIC environment
  - topic of talk by Frank Simon (quantitative results)
- ★ Here - just a taster (a particularly challenging case)

Fig. 12.9

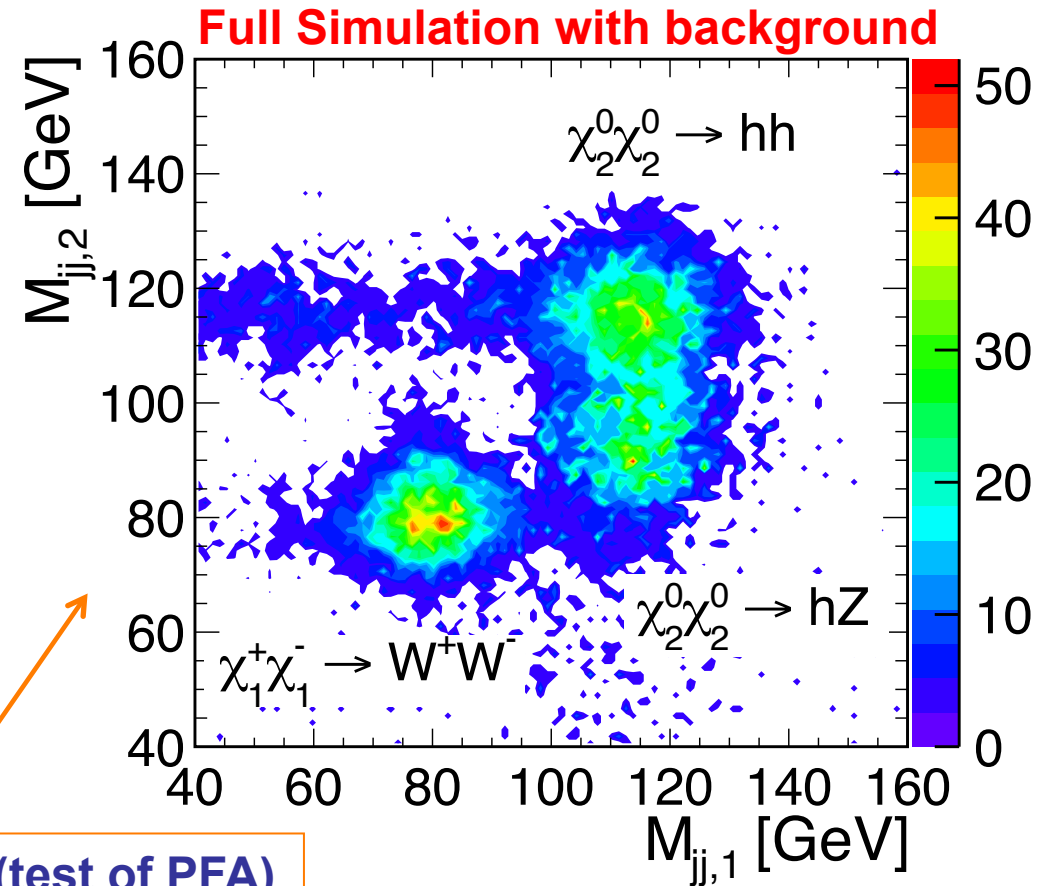
★ Pair production and decay:



★ Test of di-jet mass reconstruction



★ Separate using di-jet invariant masses (test of PFA)



- ★ Take a close look at the reconstructed W mass in  $e^+e^- \rightarrow \tilde{\chi}_1^+ \tilde{\chi}_1^- \rightarrow \tilde{\chi}_1^0 \tilde{\chi}_1^0 W^+ W^-$
- here the timing cuts do most of the work...
  - jet finding alone gives broad peak at 100 GeV
  - with timing cuts not too far from ideal no background case

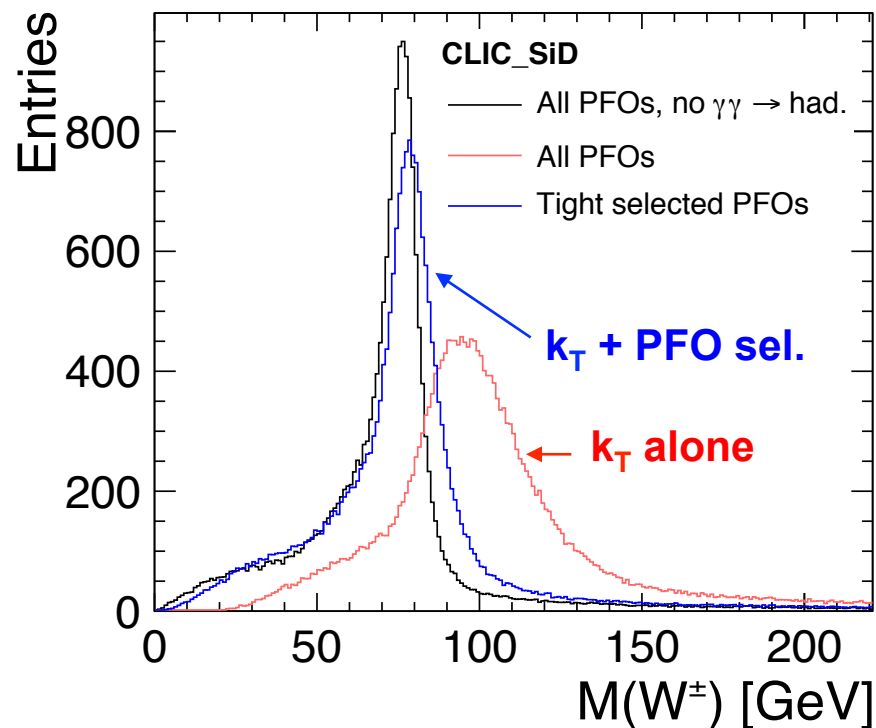
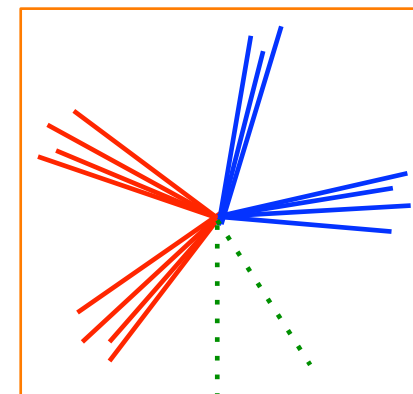


Fig. 12.25



# Beam Halo Muons

# Beam Halo Muons



- ★ Most work for CDR concentrated on impact of  $\gamma\gamma \rightarrow$  hadrons
  - also looked at beam halo muons

- ★ Simulated events with **entire bunch train** of beam halo muons
  - for study assumed **a bad case: 5 muons/BX crossing detector**

- ★ In 150 ns from start of bunchtrain:
  - ECAL
    - Total = 1.5 TeV (54k hits)
    - Barrel = 0.8 TeV (18k)
    - Endcap = 0.7 TeV (36k)
  - HCAL
    - Total = 10.8 TeV (128k hits)
    - Barrel = 5.3 TeV (32k)
    - Endcap = 5.5 TeV (96k)

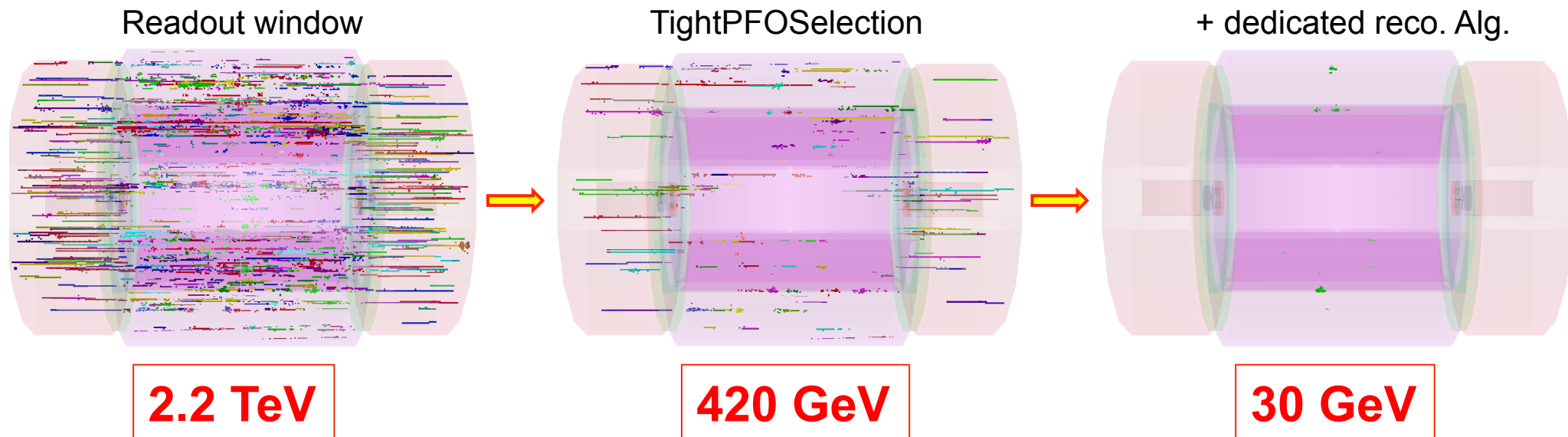
For this very conservative level of background:

**12 TeV**

# Software Mitigation



- ★ Three steps of background reduction
  - Initial reconstruction window of 10 ns (**50 ns** in HCAL barrel)
  - Timing cuts at cluster level (TightPFOSelection)
  - Build in beam halo muon rejection into **particle flow** reconstruction
- ★ For **very conservative** assumption of 5 muons per BX

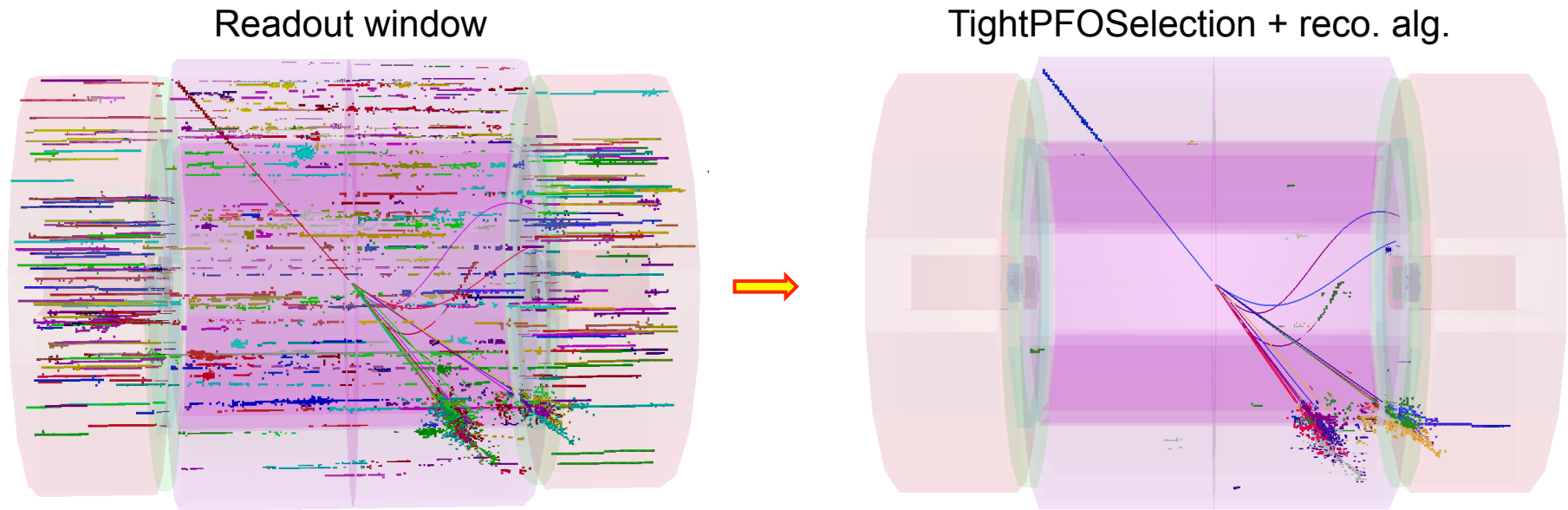


- ★ Background rejection very effective: due to **high granularity** of calorimeters

# Impact on Physics



- ★ Tested in by looking at W reconstruction in  $W^+W^- \rightarrow q\bar{q}\mu\nu$ 
  - Sample of 500 GeV hadronic W decays
  - Again very conservative assumptions (5 muons/BX)
- ★ Two effects observed
  - Extra energy from clusters from beam halo muons: **30 GeV**
  - Energy of reconstructed jets also biased “pick” up hits from muons: **30 GeV**



# Impact on W Reconstruction

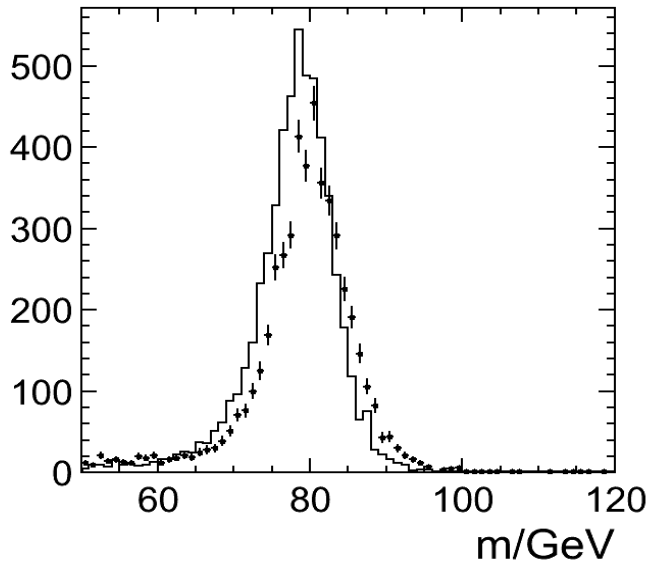


## ★ Compare W mass reconstruction

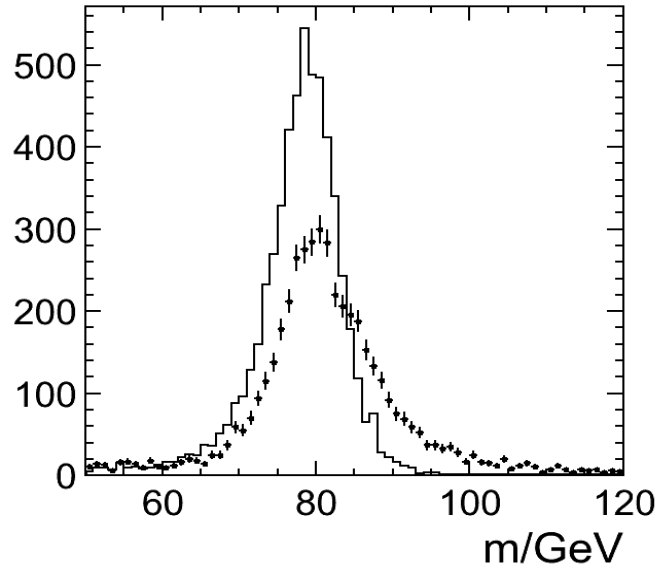
- no background
- $\gamma\gamma \rightarrow$  hadrons
- 5 muons per BX (very conservative)
- 1 muon per BX (conservative)

} Worst case as pattern recognition not optimal

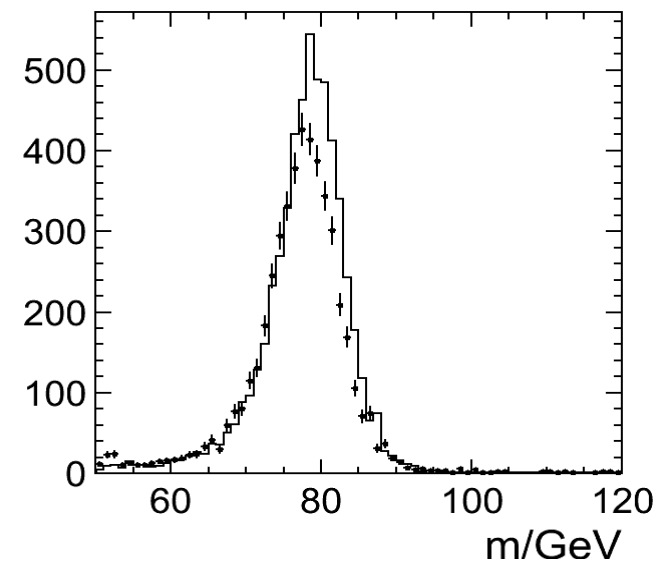
$\gamma\gamma \rightarrow$  hadrons



muon halo (5/BX)



muon halo (1/BX)



## ★ Conclude: a beam halo muon background of 1 muon/BX is acceptable

- Machine background likely to be much lower than this



# Conclusions



- ★ **Understanding of impact of background studied in detail**
  - **Full GEANT 4 simulation + full reconstruction**
  - **Developed strategy to mitigate effects of background**
    - requires **high granularity** in space and time
  - **Defines detector **timing** requirements - guide future R&D**

- ★ **I believe we have achieved the initial goal**
  - **Demonstrated ability to perform high precision physics measurements in CLIC machine environment**  
[More in Frank Simon's talk on physics benchmarks]