



ICT is intrinsically a dual-use technology



Increasing integration of conventional warfare domains (land, sea, air, space) via battlefield digitization













ICT is intrinsically a dual-use technology



Inc dc



Operate OODA loop at a faster tempo than adversaries

ess and less time to reflect/think

.above a certain speed of ops humans are overwhelmed.

Warfare digitization

& Weaponization of cyber environment











- Big Data: acquisition, storage, analysis, transfer, visualization, querying, privacy. US DoD clouds: <u>Air</u> <u>Force Cloud One</u>, <u>Flank Speed Transition</u>, <u>Cloud.mil</u>
- > AI being(?!) integrated across full spectrum of military operations:
 - Increases reliability/efficiency of cyber infrastructure defense in real time, especially against Alenabled cyber threats on critical networks: real time, pattern recognition, anomaly detection
 - Force multiplier in digital spaces, addressing challenges of scale & sophistication: threat monitoring, navigation, decision support, offensive/defensive cyber operations
 - Human decision-making support & Lethal Autonomous Weapon Systems (LAWS) control (VERY advanced prototype phase)

"AI Beyond Weapons: application and impact of AI in the military domain" (UNIDIR 2023)

- ➢ Human *in*, *on*, *out* of the loop? (remote ctrl, semi-autonomous, autonomous). → "<u>Meaningful Human</u> <u>Control</u>" (MHC) to maintain oversight/accountability in compliance with ethical, moral, legal constrains. Humans must make critical decisions concerning life & death, not machines
- Cyber-intelligence? Current algorithms not capable of human level reasoning. Presently employed to process & manage (sensor) data, monitor systems integrity, support vocal commands, navigate
- T seminar, CERN- November 2024 IT seminar, CERN- November 2024 Intelligence, Surveillance, Targeting Acquisition & Reconnaissance



We already have weapons that can use AI to search, select and engage targets in specific situations.

We are currently in an evolutionary phase of unmanned integration.

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- Support C4ISTAR system: Command Control, Communications, Computing, Information, Intelligence, Surveillance, Targeting Acquisition & Reconnaissance

manned systems

enicles used for various military operations with different levels of autono



THALES

Aerial Vehicles

long-range (stealth) missions for surveillance & isition, combat support, EW. Real-time data sharing with

Ground Vehicles (UGV): land robotic systems for reconnaissance, reducing risk in hazardous environments, bomb disposal, logistics. Autonomous navigation, obstacle avoidance, payload delivery. (M5 UGV)

Marine Systems (UMV): underwater (UUV) drones & surface (USV) vessels for (coastal) surveillance, anti-submarine warfaré, mine countermeasures. Autonomous operation, long-duration missions, data collection. (Orca XLUUV)

Trends & Challenges

Trends: Increased autonomy. Fast integration of AI & (military) clouds for data collection/analysis & ML. Enhanced interoperability between unmanned & manned systems, Human/Machine Interface for robotic systems. Swarms of drones. > Challenges:

- Cybersecurity & vulnerabilities
- Cybersecurity & vulnerabilities
 Legal issues: compliance with international laws & regulations, including law
- of armed conflict & navigation rules
- Ethical considerations
 - ethical boundaries in autonomous decision-making in combat scenarios (use of lethal force)
 - clear lines of accountability for actions taken by immanned sy
 - (complex operational environments)
- Meàningful Human Control (MHC)





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surface (USV) vessels for untermeasures. Autonomous XLUUV)

ENG SYS ETF

clouds for data anned & manned



Ground Vehicles (UGV) hazardous environments avoidance, payload deliv Marine Systems (UMV): (coastal) surveillance, anti-s operation, long-duration mise

Trends & Challenges Trends: Incr

Food for thought: collection/analy

warfare domains

Asymmetric warfare

systems, Huma Challenges:

military strategies and tactics? How? Consequences? Cyberse o

Légal is:

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- Ethical c
 - ethi
 - **Targeting and Precision Strikes** 0 use o **Cost-Effectiveness**
 - clea **Operational Safety**
 - comp

Meaning

Unmanned Systems Integrated Roadmap 2017-2042 (US DoD)

Are unmanned autonomous systems and drones reshaping

Intelligence, Surveillance, Reconnaissance (ISR),

Situational Awareness and Electronic Warfare

Integration cyber/physical military assets with traditional

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Drones' swarms

Multiple drones operating together as a coordinated/cohesive unit, controlled by Al.

Used in military operations for surveillance, attack missions, electronic warfare etc. Recent developments: high-altitude operability, rough-weather performance, synchronized attacks. Also applied in civilian areas like disaster response & agriculture.

US, China, Russia, India, Israel (and a few more countries) actively developing & deploying drones' swarms.

Expected to revolutionize various sectors by enhancing efficiency, precision & operational capabilities.

- Autonomous swarms
- Collaborative Combat Aircraft (CCA, Kratos XQ-58 Valkyrie) to escort/support F-22/F-35 fighters in achieving air dominance and other roles. CCAs incorporating cutting-edge advancement in Al & autonomy (estimated ~\$20-28M\$). Deploying additional UAVs







- Future of Drone Swarm Proliferation (Modern War Institute, West Point 2024)
- o The US Navy wants swarms of thousands of small drones (MIT Technology Review 202

• **Drones' swarm in dense forest (video 2022)** IT seminar CERN - November 2024

Gian Piero Siroli

Autonomous Weapon Systems (AWS)

Open Letter to the United Nations Convention on Certain Conventional Weapons by tech companies on AI & robotics (Future of Life Institute 2017)

"As companies building the technologies in Artificial Intelligence and Robotics that may be repurposed to develop autonomous weapons, we feel especially responsible in raising this alarm.

Lethal autonomous weapons threaten to become the third revolution in warfare. Once developed, they will permit armed conflict to be fought at a scale greater than ever, and at timescales faster than humans can comprehend. These weapons can be used against innocent populations and hacked to behave in undesirable ways. Not much time to act, once this Pandora's box is opened, it will be hard to close.

International humanitarian law continues to apply fully to all weapons systems, including the potential development and use of lethal autonomous weapons systems"

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REMINDER

In the cyber domain full autonomy is already operational

Attacks can be delivered at a non-human time scale

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Role of private ICT corporations in the defense sector

Anthropic and Palantir Partner to Bring Claude Al Models to Amazon Web Services for US Government Intelligence and Defense Operations (Business Wire nov '24)

<u>The Al Machine Gun of the Future Is Already Here</u> -The Pentagon is pursuing every available option to keep US troops safe from the rising tide of adversary drones, including a robotic twist on its standard-issue small arms (Wired nov '24)

How Silicon Valley is prepping for War - As frontier models join forces with the Pentagon, corporations and the state are becoming too close

→<u>The case for targeted regulation</u> - Anthropic warns of AI catastrophe if governments don't regulate in 18 months. real risks in the cyber & CBRN domains (Anthropic oct '24)



D.Eisenhower (Farewell Address 1961): "we must guard against the acquisition of unwarranted influence, whether sought or unsought, by the military-industrial complex. The potential for the disastrous rise of misplaced power exists and will persist"

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Al in nuclear domain: promises and realities

Al in Military Use: Recent advances in Al/ML increased interest in leveraging Al for military purposes, including nuclear deterrence.

Potential Impact: Al integration in nuclear-armed states* can affect missile early-warning systems, Intelligence, Surveillance, Reconnaissance (ISR) & Nuclear Command, Control, Communications (NC3). Evidence that some states made Al a strategic priority.

Challenges: Al adoption in nuclear domain faces challenges as limited training, unreliability of output, susceptibility to cyberattacks, lack of good-quality data, inadequate hardware.

Rule-based AI vs. ML: Traditional rule-based AI already used in NC3 systems but ML & DL offer more advanced capabilities.

Foundation Models: large-scale AI models (GPT-like) can perform a wide range of tasks but require significant computational resources & high-quality data.

Integration Realities: Despite the potential, integrating advanced AI in nuclear systems is complex and requires addressing technical, integration and resource access challenges. Stabilizing/destabilizing effects at strategic level?

IT seminar, CERN - November 2024 (*) CHN, FRA, ISR, IND, PRK, PAK, RUS, GBR, USA

SUPPT Publications Nuclear Weapons and Artificial Intelligence: Technological Promises and Practical Realities







BC A dilemma has haunted nuclear strategy since the first detonation of an atomic bomb: How do you prevent a nuclear attack while preserving the ability to launch one? PHOTOGRAPH BY ANDY CROSS / THE DENVER POST VIA GETTY

Consequences:

- Investment in AI (even non-nuclear-related) by the adversary could threaten a state's future second-strike capability, generating insecurity, decreasing strategic stability and increasing risk of a nuclear conflict
- Al could fail or be misused in ways triggering an accidental or inadvertent escalation of a crisis or conflict into a nuclear conflict
- Support awareness-raising measures helping relevant stakeholders (governments, industry & civil society) to understand challenges posed by AI in the nuclear arena
- Support transparency & CBMs to reduce misperception/misunderstanding among nuclear-armed states
- Discuss and agree on concrete limits to the use of AI in nuclear forces/infrastructures

Al intrinsic vulnerabilities

- Growing pervasiveness gives rise to "adversarial AI": exploiting machine learning models to misinterpret inputs into the system and behave in a way that's favorable to the attacker
- Produce unexpected behavior: attackers create "adversarial examples" often appearing as normal inputs but instead meticulously optimized to break the model (instability & inaccurate predictions). <a>Hallucinations
- > Exploiting a particular behavior in AI internals (Neural Networks) unknown to developers
- Opacity of AI / Machine Learning / Deep Learning internals (NN level). Black box model (even designers cannot explain why an AI system reaches a specific result)
- Poisoning attacks" during supervised learning phase (wrong, noisy, manipulated, non balanced data). "Garbage In / Garbage Out". Biases (intellectual, ethical)
- Slowly time drifting conditions in unsupervised learning
- Backdoors
- Various classes of vulnerabilities ("Failure Modes in Machine Learning" 2019)
- > Al algorithms currently lack of interpretability, predictability, verifiability, reliability
- NN used to hide/store/transfer (EvilModel) and/or trigger (DeepLocker) payload/malware
- Inserting a backdoor into a ML system: "ImpNet: Imperceptible and blackboxundetectable backdoors in compiled neural networks"

AI = Artificial Intelligence or Automating Ignorance??

XAI (eXplainable Artificial Intelligence): evolution of AI such that results can be understood by humans

("<u>Peeking inside the black box: a survey on explainable artificial intelligence</u>" 2018)

Securing AI supply chain: 1.secure AI infrastructure 2.secure algorithms 3.secure training process & data 4.identify & manage external data dependencies. Assurance of provenance along the entire technical pipeline (data model architecture, compiler, h/w specification)



Cyber vulnerabilities & threats evolve following digital technology evolution. Often new technologies bring in a new spectrum of vulnerabilities. What are the security threats unique to NN & DL algorithms? Do we know them all?

When Artificial Intelligence Goes Wrong

→OODA Loop special report (May 2023)

- > Summary of AI key concerns:
 - Self-Corruption: algorithms that can teach themselves can corrupt themselves
 - Hallucination (→ "Why ChatGPT answered queries in gibberish on Tuesday" ZDNET Feb 2024)
 - Inscrutability: no human can understand what (many/most/all?) ML/DL algorithms are doing
 - Deceivability: most AI systems assume the trusted training data, what if...
 - New attack vectors
 - Data protection
 - Bias (Google had to pause the image generation feature of its new Gemini Al model yesterday... Feb 2024)
 - Adversary use of AI

Most of these challenges can be mitigated, and those that cannot might be better understood and dealt with in other creative ways

> AI "weaponization"

- Inability to ensure security and compliance, complicated by the unexplainability of complex AI. Issues of fairness and ethics also require deep scrutiny.
- Some regulations start impacting AI deployments

> Entering/(jumping into?) the era of geopolitical AI: cyber-diplomacy

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When /

Summary of Al

- Self-Corru
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Some regulatio

LLM application lifecycle can be compromised by vulnerable components or services, leading to security attacks. Using third-party datasets, pre- trained models, and plugins can add vulnerabilities.

Entering/(jumpi

OWASP Top 10 for LLM Applications

LLM application security

LLM01: Prompt Injection

This manipulates a large language model (LLM) through crafty inputs, causing unintended actions by the LLM. Direct injections overwrite system prompts, while indirect ones manipulate inputs from external sources.

This vulnerability occurs when an LLM output is accepted

without scrutiny, exposing backend systems. Misuse may

lead to severe consequences like XSS, CSRF, SSRF,

privilege escalation, or remote code execution.

LLM03: Training Data Poisoning

This occurs when LLM training data is tampered,

introducing vulnerabilities or biases that compromise

include Common Crawl, WebText, OpenWebText, & books.

security, effectiveness, or ethical behavior. Sources

LLM02: Insecure Output Handling

LLM07: Insecure Plugin Design

LLM plugins can have insecure inputs and insufficient access control. This lack of application control makes them easier to exploit and can result in consequences like remote code execution.

LLM08: Excessive Agency

LLM-based systems may undertake actions leading to unintended consequences. The issue arises from excessive functionality, permissions, or autonomy granted to the LLM-based systems.

Systems or people overly depending on LLMs without

oversight may face misinformation, miscommunication,

legal issues, and security vulnerabilities due to incorrect or

LLM04: Model Denial of Service

LLM05: Supply Chain Vulnerabilities

Attackers cause resource-heavy operations on LLMs. leading to service degradation or high costs. The vulnerability is magnified due to the resource-intensive nature of LLMs and unpredictability of user inputs.

inappropriate content generated by LLMs.

LLM10: Model Theft

LLM09: Overreliance

This involves unauthorized access, copying, or exfiltration of proprietary LLM models. The impact includes economic losses, compromised competitive advantage, and potential access to sensitive information.

LLM06: Sensitive Information Disclosure

LLMs may inadvertently reveal confidential data in their responses, leading to unauthorized data access, privacy violations, and security breaches. It's crucial to implement data sanitization and strict user policies to mitigate this.

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Wrong

ish on Tuesday" nost/all?) ML/DL what if...

Information Warfare & PSYOPs

- Consider Internet as a global communication "medium", forget about bits
- Information Operations (10): into manipulation for (counter)propaganda, disinformation, conserve building discrimination, defamation, delegitimation, censorship/content filtering determination, influence attitudes, manipulate target's values, receptions defines defines defines emotions, reasoning and behavior. Counter-intelligence ops secure,

Traditional techniques (centuries old) on a new medium

"Nihil est quod videtur" "..Cicero.."

- Real world examples: support to dissident groups, recruitment campaigns & proselytism, use/manipulation of social media/networks for disinformation on wide scale. EZLN ('90 & →today) The Zapatista "Social Netwar" in Mexico (RAND 1998), "Strategic information warfare: a new face of war" (RAND 1996), <u>ÙS Army document</u> (2005), Wikileaks (2010, Assange), <u>NSAL</u>eaks (2013, Snowden), CIALeaks (2017), Cambridge Analytica (20
- Network is an ubiquitous surveillance environment
- Info war: primary political (strategic) value. "c contribute to political and social instability of a cour between military and civilian domains/infrastructures
- US Psychological operations (strategic, operational **PSYOPs units in US Army to convey selected info** audiences to influence emotions, motives, objective i of governments, organizations, groups, individuals many other countries have similar activities)
- "Service member *influencers* are helping DOD recruit, Per IT seminar, CERN - November 2024 What, when no one will trust anything anymore?



Cyber diplomacy

Going forward: from a Single Track (GGE) to two Parallel Tracks (GGE + OEWG).

In 2018 established two parallel (hopefully converging & complementary) processes to discuss ICT security in 2019-2021 - Outcome: VERY difficult & hard convergence reached in 2021



GGE of 25 members (chaired Amb.Guilherme de Aguiar Patriota BR). Final report in 2021. Chair (Amb. G.de Aguiar Patriota BR) holding consultations with the wider membership in between sessions. Consultations with regional organizations (AU, EU, OAS, OSCE, ASEAN)

→ Final report (march 2021)

Open-Ended Working Group (OEWG) open to all Member States. Report to GA in 2020. OEWG holding inter-sessional consultative meetings with private sector, civil society*, NGOs, academia. New wider <u>multistakeholder</u> approach ("<u>The Value of Multistakeholder Engagement</u>", hypothetical form of new UN governance?!)

Cyber-OEWG should refer to shared conclusions of previous GGEs (2015 <u>A70/174</u>) and represents by itself a sort of CBM

Current process: OEWG 2021-2025 (Chair Amb.B.Gafoor, SG)

(*) GPS representing Pugwash Conferences on Science and World Affairs in cyber-OEWG

• "The right to privacy in the digital age", <u>A/RES/68/167</u> (2013)

• Proposed universal code of conduct for Information Security (2015)

IT seminar GTE Reclaration 2004 responsible state behaviour in cybers (POLT) Siroli

UN GGE¹ on LAWS? Al, robotics, and weapons

in the context of CCW (Convention on Conventional interpretations)

- Mandate: group is to consider proposals and elaborate (by consensus) possible measures related to normative & operational framework on emerging technologies in the area of LAWS, bringing in expertise on legal, military & technological aspects
- International law, in particular the UN Charter and IHL (International Humanitarian Law), as well as relevant ethical perspectives should guide the work of the Group
- Several proposals:
 - Legally VS non legally binding instruments under the framework of the CCW
 - Clarify implementation of existing obligations under international law (in particular IHL)
 - Discussion on prohibition VS regulation and whether further legal measures are needed
 - Limitation of types of targets, duration & scope of operations with which weapon systems Political landscape 2024 can engage
 - Adequate training to human operators
 - In cases where the weapon system based on technologies in the ar comply with international law, the system must not be deployed

UNIDIR on LAWS (2021): defining AWS, characteristics, human element, responsite

What happens when a lethal autonomous weapon relies on an incomplete datase





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Overview & 2022 GGE/LAWS Report (Digital Watch Observatory) CCW GGE on LAWS Documents and working papers (2022) Advance version of 2023 report (CCW/GGE.1/2023/2) 2024 CCW GGE on LAWS resources (Reaching Critical Will)

(1) Group of Governmental Experts (2) Lethal Autonomous Weapon Systems IT seminar, CERN - November 2024

ethical considerations, legal reviews

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A Diplomat's Guide to Autonomous Weapons Systems

UN SG report on AWS (jul 2024)

Views of states, civil society, academia, industry & others.

Stop Killer Robots stressed the urgency for international preventive action to address humanitarian, legal, security, technological & ethical issues related to AWS. Opportunity to take meaningful action by next UN GA.

Support towards negotiations of an international legally binding instrument banning AWS.

"Time is running out for the international community to take preventive action on this issue. I therefore reiterate my call for the conclusion, by 2026, of a legally binding instrument to prohibit lethal autonomous weapons systems that function without human control or oversight and that cannot be used in compliance with international humanitarian law".

Earlier <u>Vienna Conference on Autonomous Weapons</u> with <u>Chair's summary</u> on regulation of AWS supported by <u>35 states</u> (as of report's writing)



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UN Security Council discussion on Al

(Geopolitics of Al)

On July 4th 2023 UN Security Council held its first-ever meeting on the potential threats of AI to international peace and security, organized by UK. AI has tremendous potential but also major risks about possible use for example in autonomous weapons or in control of nuclear weapons.

China said the technology should not become a "runaway horse" and US warned against its use to censorship or repression. The UN Secretary General emphasized the potential of AI to accelerate human development while also cautioning against the malicious use of AI.

Secretary-General's remarks to the Security Council on Artificial Intelligence (UN Secretary-General) (July 18th 2023)

- <u>UN council to hold first meeting addressing th</u>
- <u>Guterres calls for Al 'that bridges divides'</u>, rather
- International Community Must Urgently Confront Speakers Stress as Security Council Debates Rist

UN Security Council discussion on Al

(Geopolitics of Al)

A.Guterres (UN SG): "...Al has tremendous potential but also major risks about possible use for example in autonomous weapons or in control of nuclear weapons...shocked by the newest form of generative AI, a radical advance in its capabilities (probably a step function in AI evolution)...even its own designers have no idea where their stunning technological breakthrough may lead...consider the impact of AI on peace and security, already raising political, legal, ethical & humanitarian concerns..."

An example:

"Dual use of artificial-intelligence-powered drug discovery" (Nature 2022) - How AI can be used to design toxic/deadly biochemical weapons. "In less than 6 hours...on our in-house server, our model generated 40,000 molecules...AI designed not only VX (nerve agent), but also many other known chemical warfare agents...predicted to be more toxic...The genie is out of the medicine bottle when it comes to repurposing our machine learning".

Implications for Chemical and Biological Weapons Conventions.

AlxBio White Paper 1: Introduction to Al and Biotechnology (US Senate, NSCEB, jan 2024)

 <u>GPT-4 'mildy useful' in creating bioweapons, says ChatGPT</u> (feb 2024)

UN Security Council discussion on Al (Geopolitics of Al)

UN adopts first global artificial intelligence resolution (Reuters march 2024)



A.Guterres (2024): "<u>We cannot sleepwalk into a dystopian future</u> where the power of AI is controlled by a few people - or worse, by opaque algorithms beyond human understanding. We need rules. Safety. Universal guardrails. How we act now will define our era".

An example of how things can go fast at UN



United Nations cyber-OEWG (<u>Open-Ended Working</u> <u>Group on Information and Communication Technologies</u> (2021-2015)

July 2024: <u>3rd Annual Progress Report</u> (APR) adopted by consensus. Chairman: "this APR is an important step towards the future UN singletrack mechanism to drive ICT security" ...and also a CBM by itself...

- 3rd APR Main topics:
- Existing and Potential Threats
- Rules, Norms and Principles of Responsible State Behaviour
- International Law
- Confidence-Building Measures
- Capacity-Building
- Regular Institutional Dialogue
- Point of Contact (<u>PoC</u>) directory at technical and diplomatic level (already being operationalized)
- Program of Action (<u>PoA</u>) for permanent, global, inclusive mechanism to coordinate ICT domain in the context of international security

United Nations	A/AC.292/2024/CRP.1
General Assembly	12 July 2024 English only

Open-ended working group on security of and in the use of information and communications technologies 2021-2025 Eighth substantive session, New York 8-12 July 2024

Draft Annual Progress Report

A. Overview

- The sixth, seventh and eighth formal sessions as well as the dedicated intersessional meetings of the Open-ended Working Group (OEWG) on the security of and in the use of Information and Communications Technologies (ICTs) 2021-2025 took place in a geopolitical environment that continues to be challenging, with rising concerns over the malicious use of ICTs by State and nonstate actors that impact international peace and security.
- 2. At these sessions, States recalled the consensus decisions and resolutions of the General Assembly in which States agreed they should be guided in their use of ICTs by the OEWG and GGE reports.¹ In this regard, States further recalled the contributions of the first OEWG, established pursuant to General Assembly Resolution 73/27, which concluded its work in 2021, through its final report agreed by consensus,² as well as noted the Chair's summary and list of non-exhaustive proposals annexed to the Chair's summary, and recalled the contributions of the sixth Group of Governmental Experts (GGE), established pursuant to General Assembly Resolution 73/266, which concluded its work in 2021, through its final report agreed by consensus.³
- 3. Furthermore, States reaffirmed the consensus first and second annual progress reports (APRs) of the current OEWG,⁴ the consensus report of the 2021 OEWG on developments in the field of ICTs in the context of international security and the consensus reports of the 2010, 2013, 2015, and 2021 GGEs.⁵ States recalled and reaffirmed that the reports of these Groups "recommended 11 voluntary, non-binding norms of responsible State behaviour and recognized that additional norms could be developed over time", and that "specific confidence-building, capacity-building and cooperation measures were recommended". States also recalled and reaffirmed that "international law, in particular the Charter of the United Nations, is applicable and essential to maintaining peace, security

¹ GA decisions 77/512 and 75/564, GA resolutions 70/237 and 76/19.
² A/75/816.
³ A/76/135

IT seminar, CERN - November 2024

⁴ A/77/275 and A/78/265 respectively. ⁵ A/65/201, A/68/98, A/70/174 and A/76/135.



Solution is not at the ICT technical level only

"The importance of securing international peace was recognized by the really great men of former generations. But the technical advances of our times have turned this ethical postulate into a matter of life and death for civilized mankind today, and made it a moral duty to take an active part in the solution of the problem of peace, a duty which no conscientious man can shirk" (A. Einstein 1934) <u>Russel-Einstein Manifesto</u> (1955) (Pugwash founding charter)

