



Neutrino

Phenomenology

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Part 2



Looking to the Future

The Open Questions

- What is the absolute scale of neutrino mass?
- Are neutrinos their own antiparticles?
- Are there *more* than 3 mass eigenstates?
 - Are there “sterile” neutrinos?
- What are the neutrino magnetic and electric dipole moments?

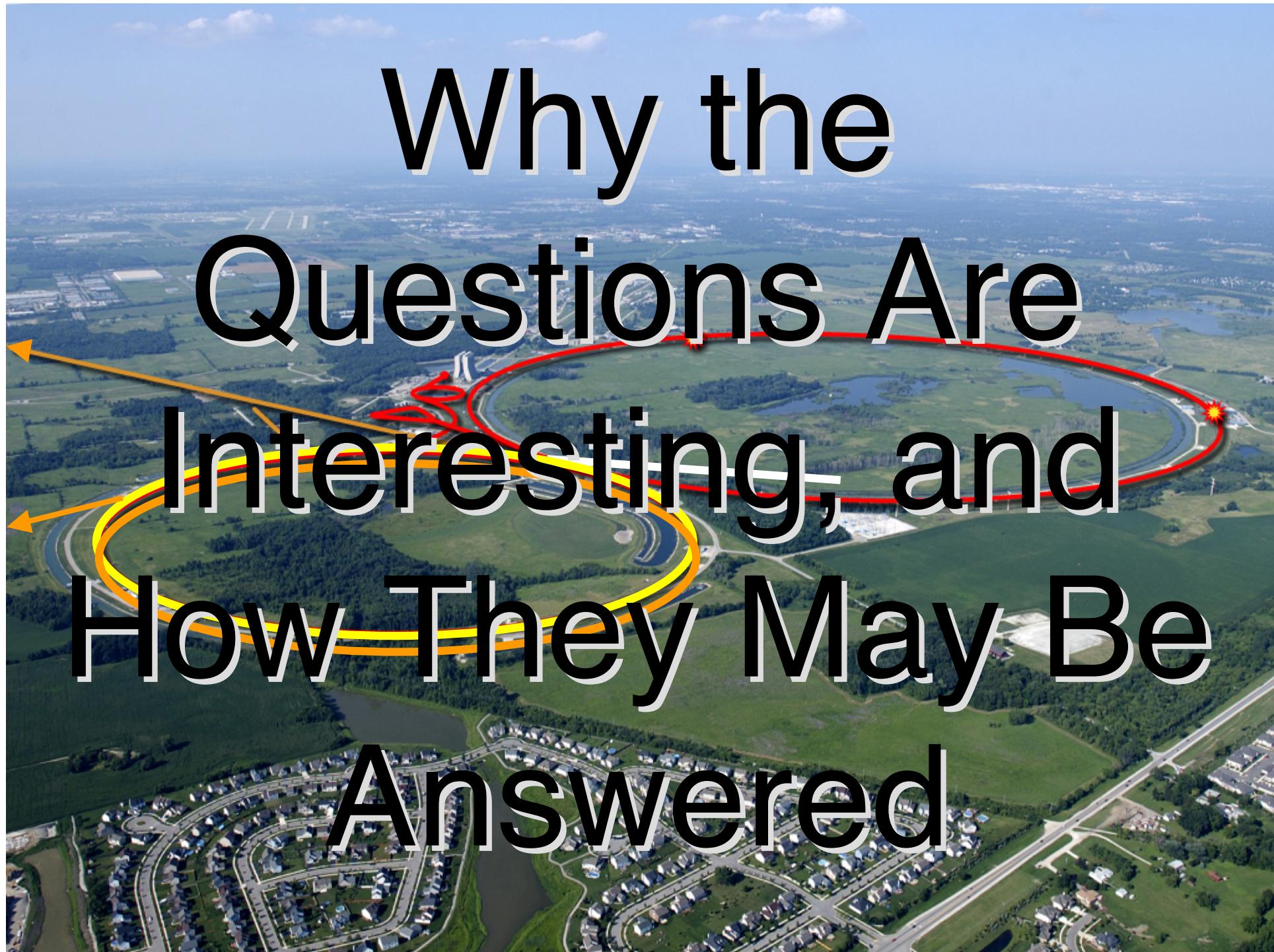
What is θ_{13} ?
How close to maximal is θ_{23} ?

• Is the spectrum like $\underline{=}$ or $\underline{=}$?

• Do neutrino interactions
violate CP?

Is $P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta) \neq P(\nu_\alpha \rightarrow \nu_\beta)$?

- What can neutrinos and the universe tell us about one another?
- Is CP violation involving neutrinos the key to understanding the matter – antimatter asymmetry of the universe?
- What physics is behind neutrino mass?
- What *surprises* are in store?



Why the
Questions Are
Interesting, and
How They May Be
Answered

What Is the Absolute Scale of Neutrino Mass?

Neutrino Mass From β Decay

Coming: The **K**Arlsruhe **T**RItium **N**eutrino
(**KATRIN**) experiment.

5σ signal if $m_i > 0.35$ eV

This requires good β energy resolution.

Good β energy resolution requires a ***BIG*** β spectrometer.





Does $\bar{v} = v$?

What Is the Question?

For each *mass eigenstate* ν_i , and *given helicity* h , does —

- $\bar{\nu}_i(h) = \nu_i(h)$ (Majorana neutrinos)

or

- $\bar{\nu}_i(h) \neq \nu_i(h)$ (Dirac neutrinos) ?

Equivalently, do neutrinos have *Majorana masses*? If they do, then the mass eigenstates are *Majorana neutrinos*.

Dirac Masses

Dirac neutrino masses are the neutrino analogues of the SM quark and charged lepton masses.

To build a Dirac mass for the neutrino ν , we require not only the left-handed field ν_L in the Standard Model, but also a right-handed neutrino field ν_R .

The Dirac neutrino mass term is —

$$m_D \bar{\nu}_L \nu_R$$


Dirac neutrino masses do not mix neutrinos and antineutrinos.

Majorana Masses

Out of, say, a left-handed neutrino field, ν_L , and its charge-conjugate, ν_L^c , we can build a Left-Handed Majorana mass term —

$$m_L \bar{\nu}_L \nu_L^c$$


Majorana masses do mix ν and $\bar{\nu}$, so they do not conserve the Lepton Number L defined by —

$$L(\nu) = L(\ell^-) = -L(\bar{\nu}) = -L(\ell^+) = 1.$$

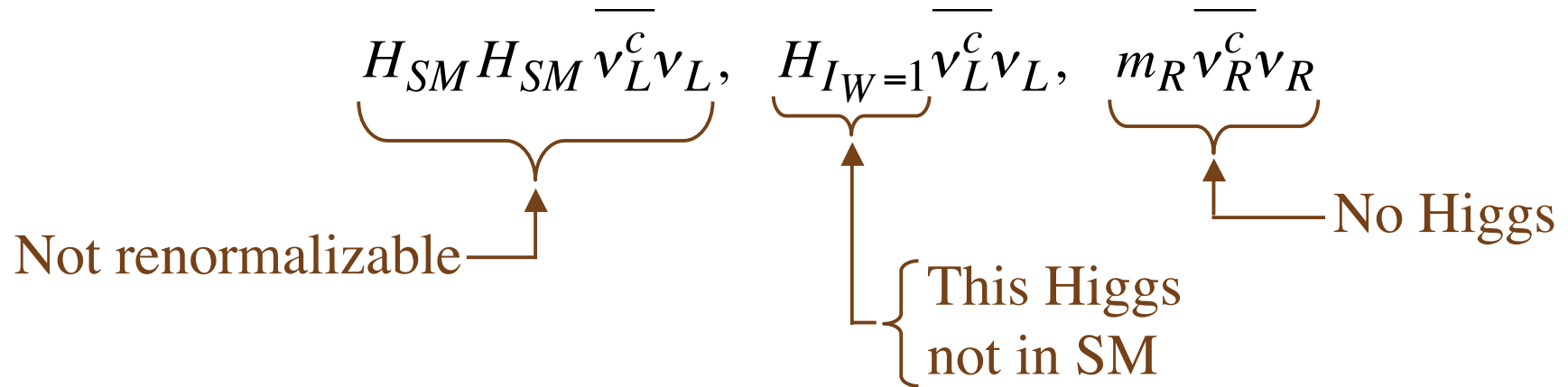
A Majorana mass for any fermion f causes $f \leftrightarrow \bar{f}$.

Quark and *charged-lepton* Majorana masses are forbidden by electric charge conservation.

***Neutrino* Majorana masses would make the neutrinos *very* distinctive.**

Majorana ν masses cannot come from $H_{SM} \bar{\nu}_L \nu_R$, the progenitor of the Dirac mass term, and the ν analogue of the Higgs coupling that leads to the q and ℓ masses.

Possible progenitors of Majorana mass terms:



Majorana neutrino masses must have a different origin than the masses of quarks and charged leptons.

Why Majorana Masses \longrightarrow Majorana Neutrinos

The objects ν_L and ν_L^c in $m_L \overline{\nu_L} \nu_L^c$ are not the mass eigenstates, but just the neutrinos in terms of which the model is constructed.

$m_L \overline{\nu_L} \nu_L^c$ induces $\nu_L \leftrightarrow \nu_L^c$ mixing.

As a result of $K^0 \leftrightarrow \overline{K}^0$ mixing, the neutral K mass eigenstates are —

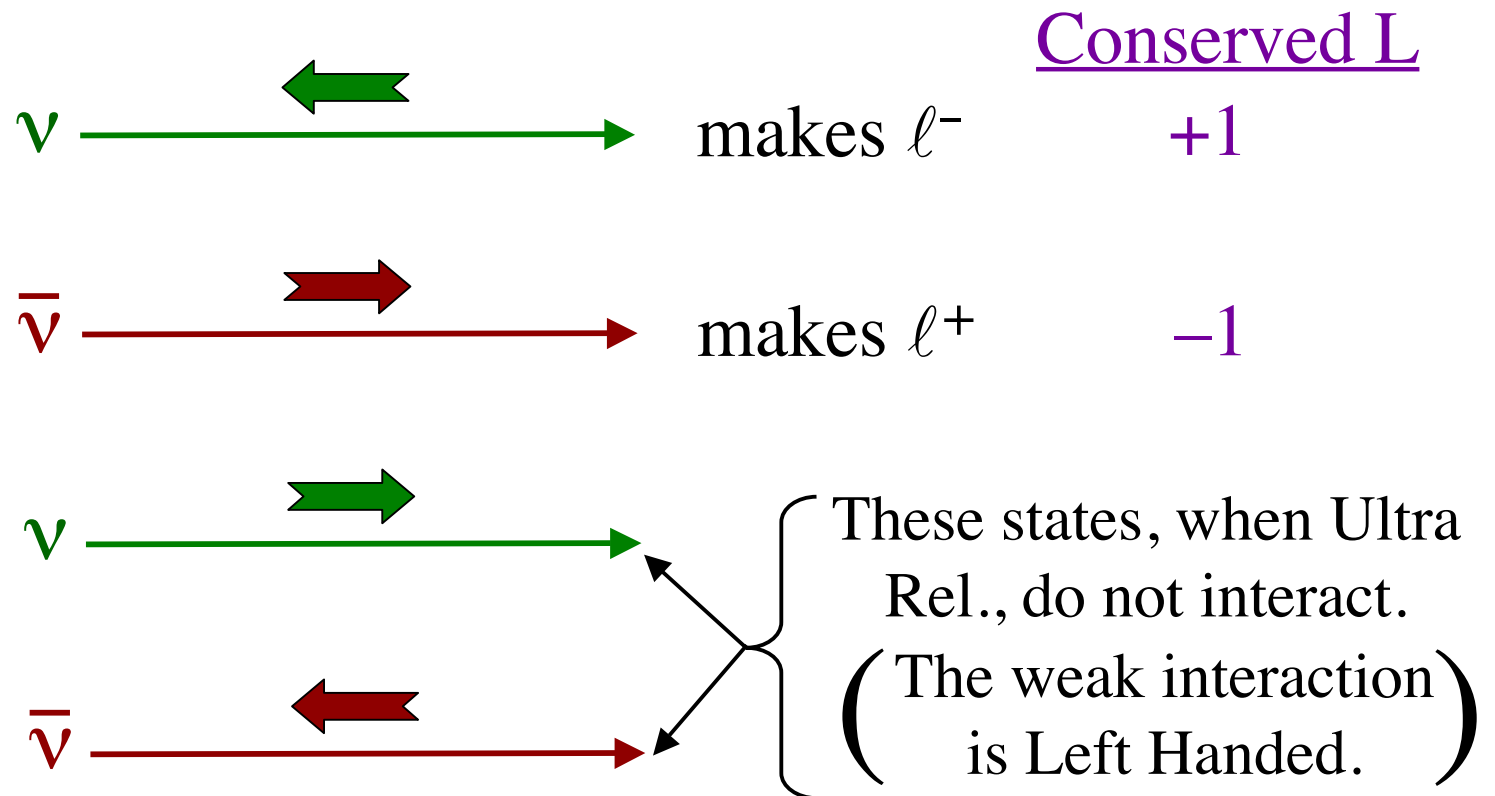
$$K_{S,L} \cong (K^0 \pm \overline{K}^0)/\sqrt{2} . \quad \overline{K_{S,L}} = K_{S,L} .$$

As a result of $\nu_L \leftrightarrow \nu_L^c$ mixing, the neutrino mass eigenstate is —

$$\nu_i = \nu_L + \nu_L^c = \text{“} \nu + \overline{\nu} \text{”} . \quad \overline{\nu_i} = \nu_i .$$

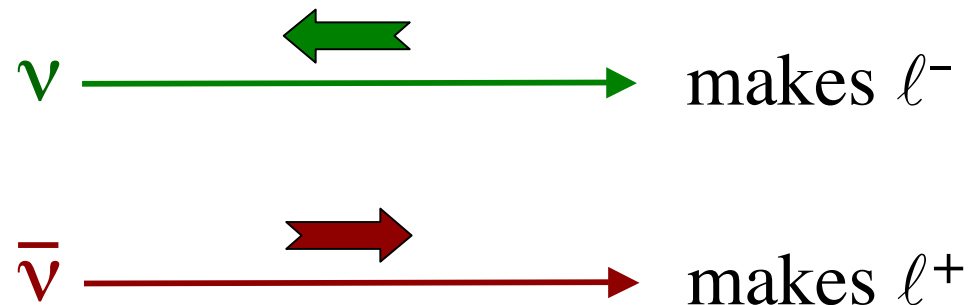
SM Interactions Of A Dirac Neutrino

We have 4 mass-degenerate states:



SM Interactions Of A Majorana Neutrino

We have only 2 mass-degenerate states:



The weak interactions violate *parity*.

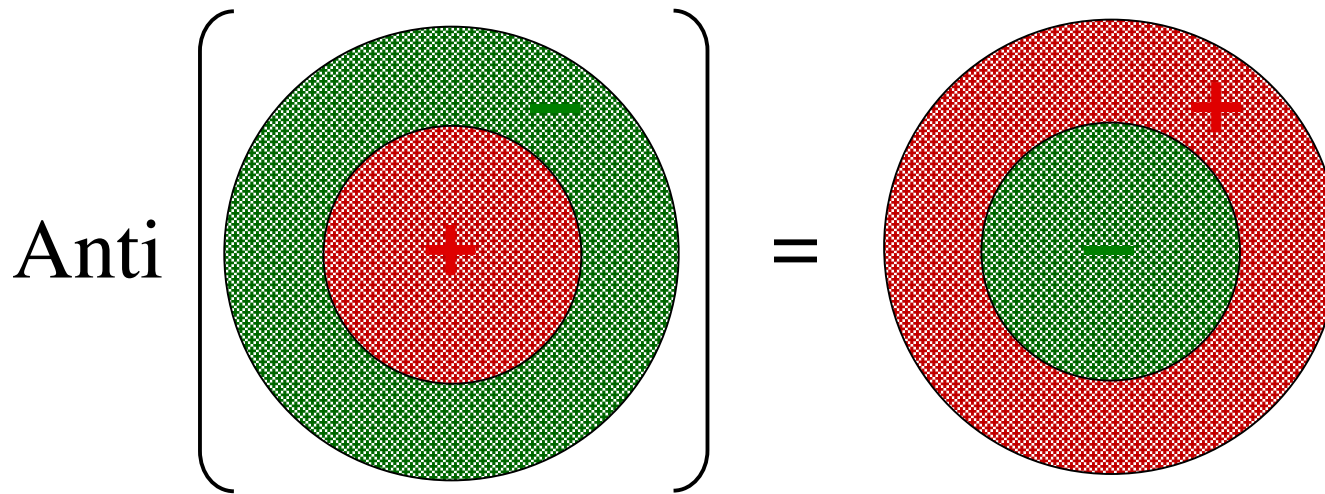
(They can tell *Left* from *Right*.)

An incoming left-handed neutral lepton makes ℓ^- .

An incoming right-handed neutral lepton makes ℓ^+ .

Can a Majorana Neutrino Have an Electric Charge *Distribution*?

No!

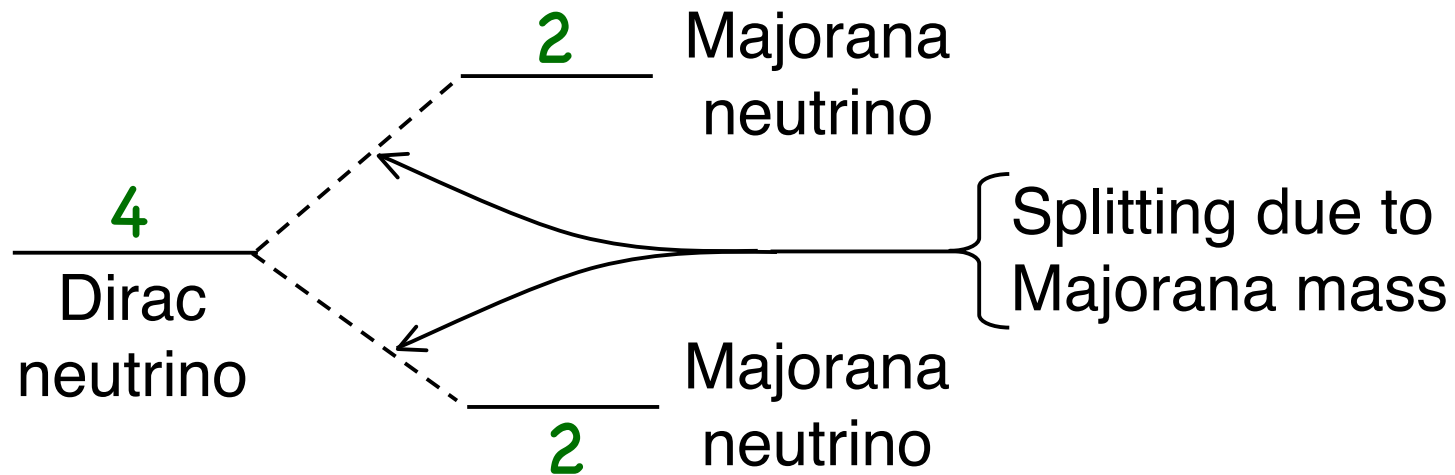


But for a Majorana neutrino —

$$\text{Anti}(\nu) = \nu$$

Majorana Masses Split Dirac Neutrinos

A Majorana mass term splits a Dirac neutrino into two Majorana neutrinos.



In the See-Saw picture, the Majorana mass is much larger than the Dirac mass, so the splitting is very large as well.

In a scheme where the Majorana mass is much smaller than the Dirac mass, a pair of Majorana neutrinos can look almost like one Dirac neutrino.

Why Most Theorists Expect Majorana Masses

The Standard Model (SM) is defined by the fields it contains, its *symmetries* (notably weak isospin invariance), and its renormalizability.

Leaving neutrino masses aside, anything allowed by the SM symmetries occurs in nature.

Right-Handed Majorana mass terms
are allowed by the SM symmetries.

Then quite likely *Majorana masses*
occur in nature too.

To Determine
Whether
Majorana Masses
Occur in Nature

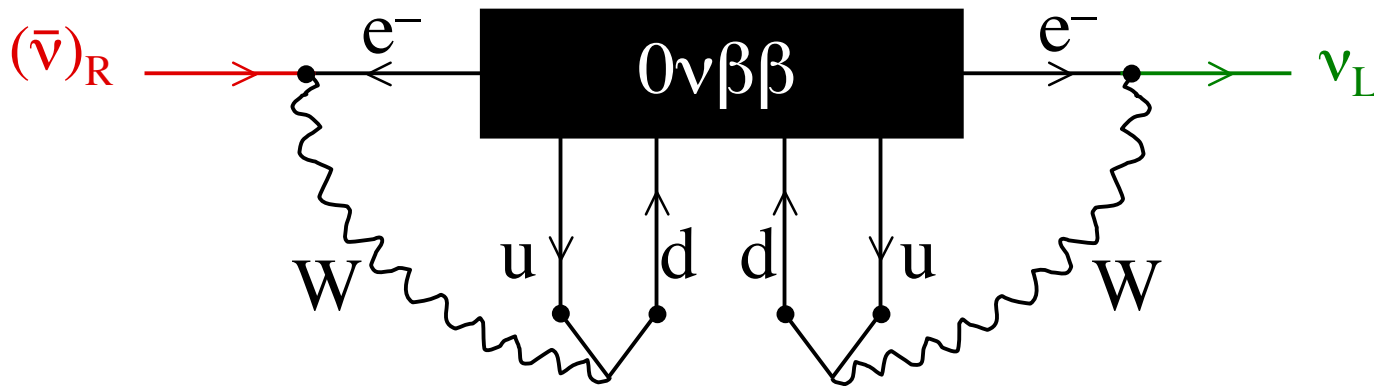
The Promising Approach — Seek Neutrinoless Double Beta Decay [$0\nu\beta\beta$]



We are looking for a *small* Majorana neutrino mass. Thus, we will need *a lot* of parent nuclei (say, one ton of them).

Whatever diagrams cause $0\nu\beta\beta$, its observation would imply the existence of a Majorana mass term:

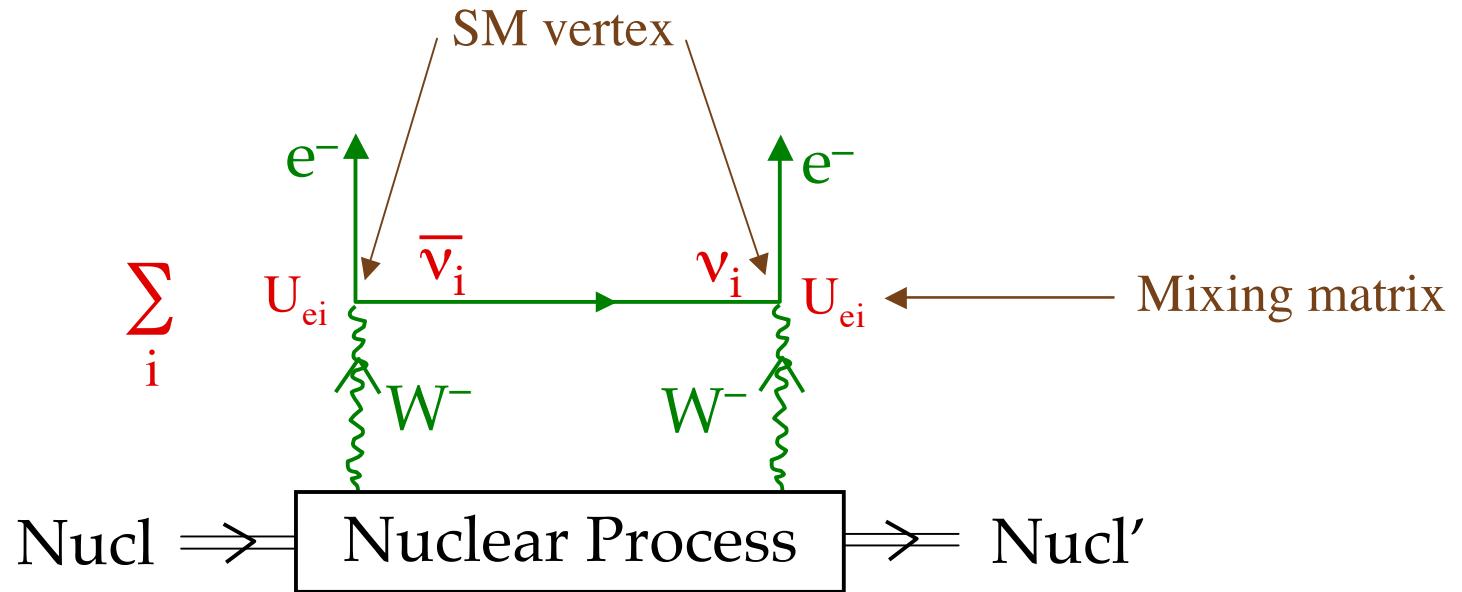
(Schechter and Valle)



$(\bar{\nu})_R \rightarrow \nu_L$: A (tiny) Majorana mass term

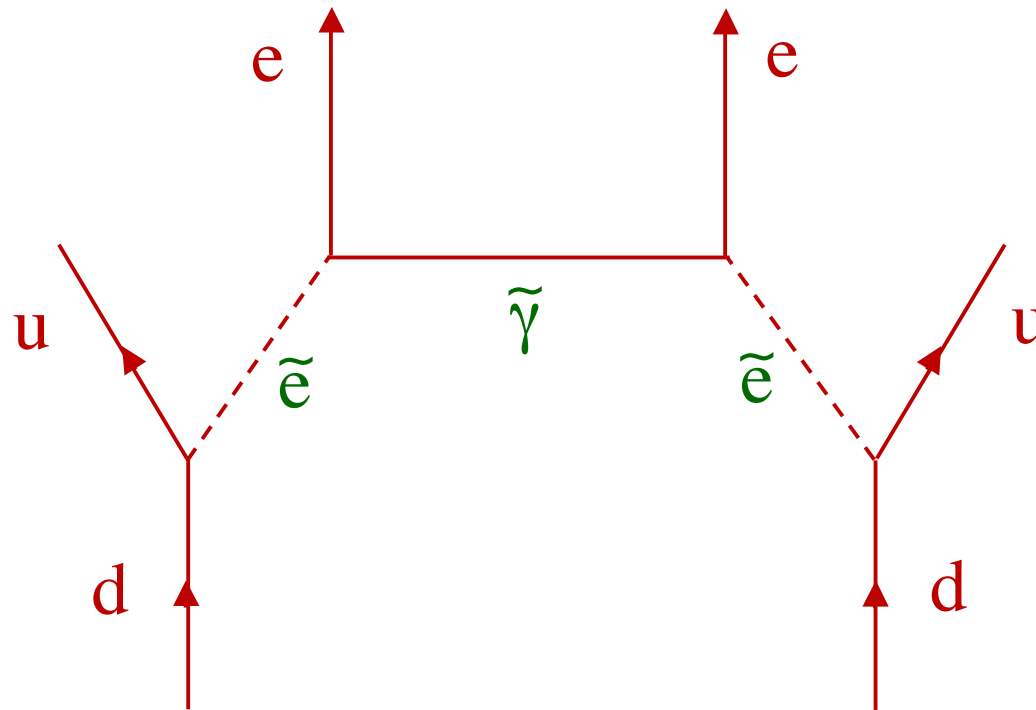
$\therefore 0\nu\beta\beta \rightarrow \bar{\nu}_i = \nu_i$

We anticipate that $0\nu\beta\beta$ is dominated by a diagram with Standard Model vertices:

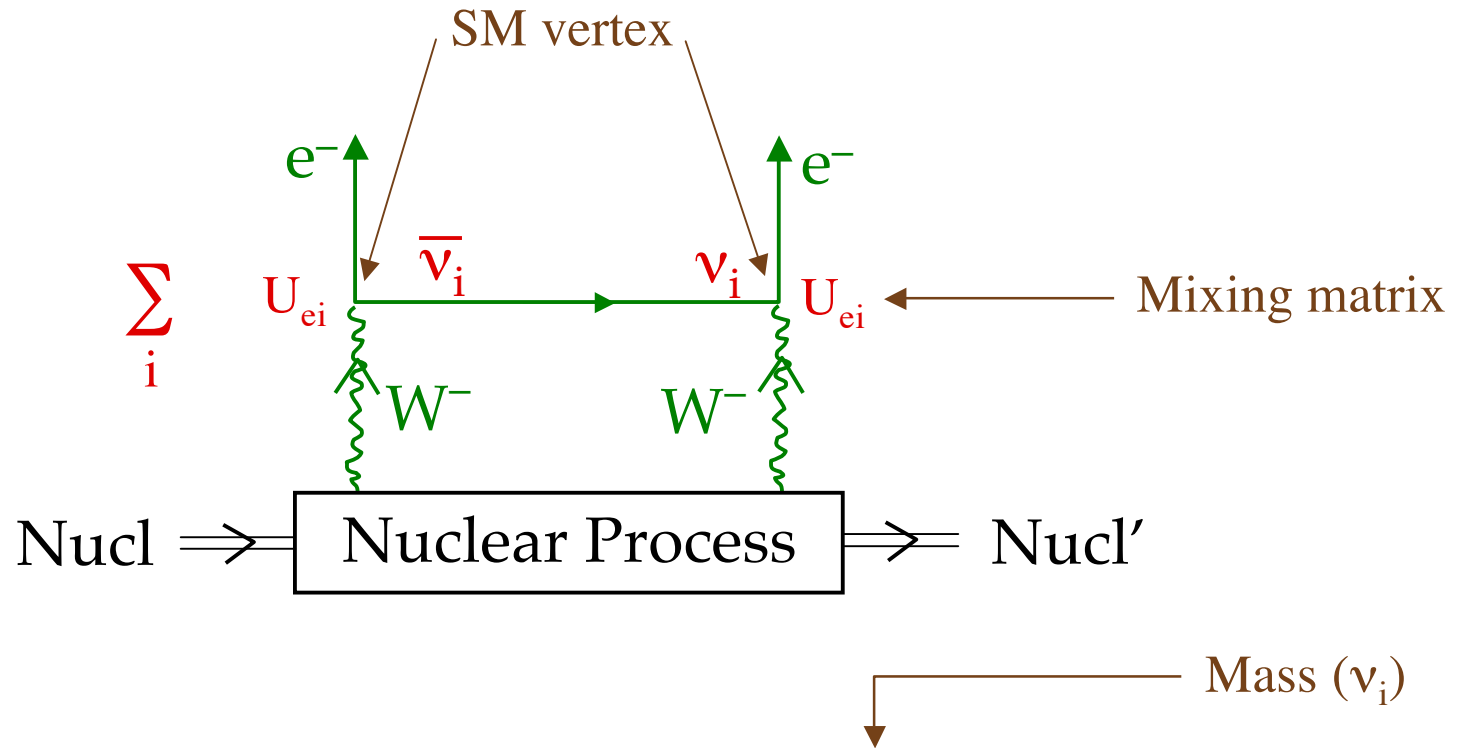


But there could be other contributions to $0\nu\beta\beta$,
which at the quark level is the process
 $dd \rightarrow uuee$.

An example from Supersymmetry:



Assume the dominant mechanism is —



The $\bar{\nu}_i$ is emitted [RH + $O\{m_i/E\}$ LH].

Thus, Amp [ν_i contribution] $\propto m_i$

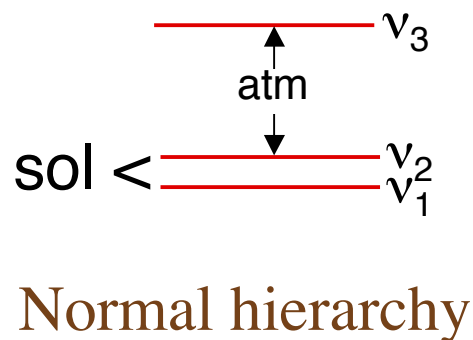
$$\text{Amp}[0\nu\beta\beta] \propto \left| \sum m_i U_{ei}^2 \right| \equiv m_{\beta\beta}$$

How Large is $m_{\beta\beta}$?

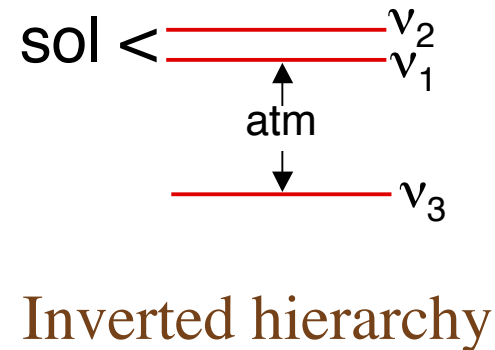
How sensitive need an experiment be?

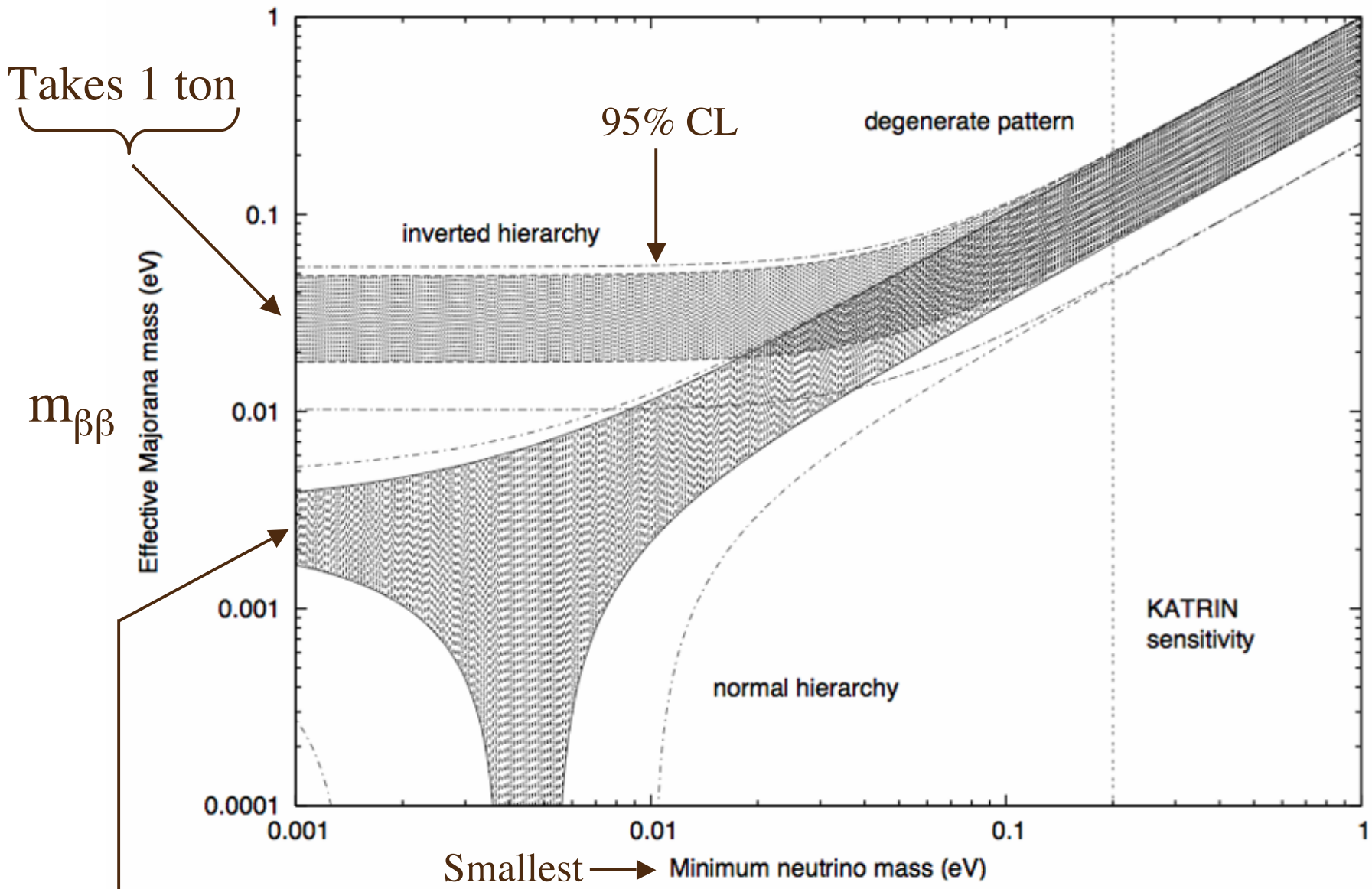
Suppose there are only 3 neutrino mass eigenstates. (More might help.)

Then the spectrum looks like —



or





$m_{\beta\beta}$ For Each Hierarchy

There is no clear theoretical preference
for either hierarchy.

If the hierarchy is **inverted**—

then $0\nu\beta\beta$ searches with sensitivity
to $m_{\beta\beta} = 0.01$ eV have
a very good chance to see a signal.

*Sensitivity in this range is the target
for the next generation of experiments.*

We Must Be
Alert
To *Surprises!*

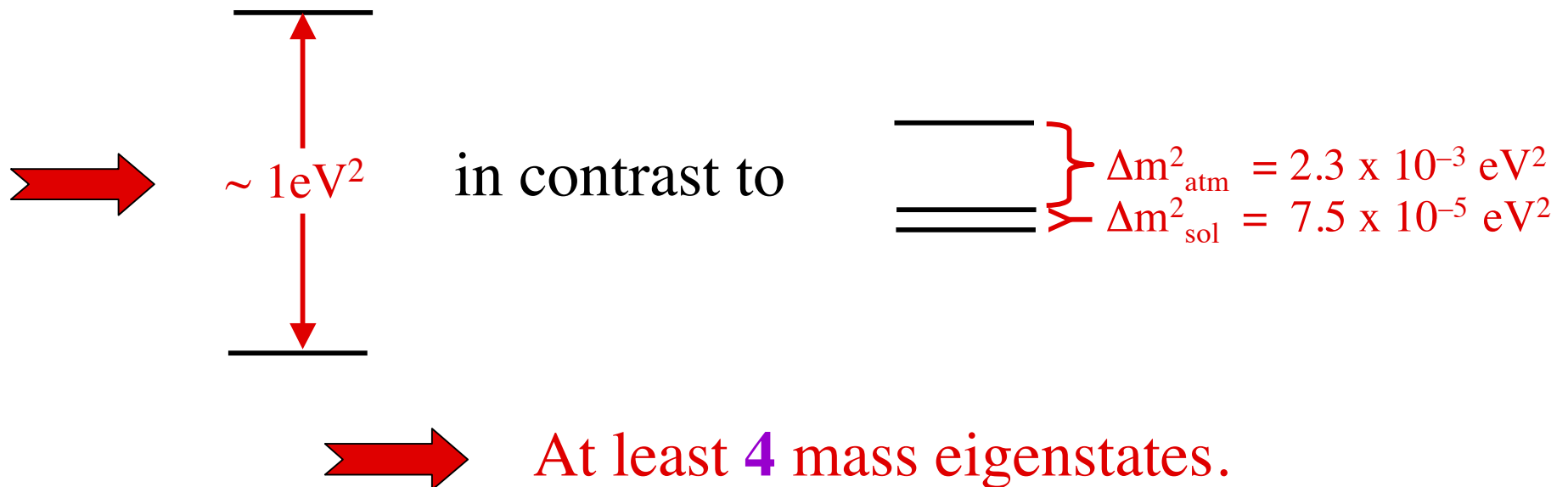
Are There
More Than 3
Mass Eigenstates?

Are There
Sterile Neutrinos?

The Hint From LSND

Rapid $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ neutrino oscillation reported by the
L(iquid) S(cintillator) N(eutrino) D(etector) —

$$P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) = \sin^2 2\theta \sin^2 \left[1.27 \Delta m^2 (eV^2) \frac{L(km)}{E(GeV)} \right]$$



Are There Sterile Neutrinos?

At least 4 mass eigenstates \Rightarrow At least 4 flavors.

Measured $\Gamma(Z \rightarrow \nu\bar{\nu}) \Rightarrow$ only 3 different flavor neutrinos made of light mass eigenstates couple to the Z.

If there are > 3 light mass eigenstates, as hinted by LSND, then the extra flavors do not couple to the Z.

In the Standard Model, flavor neutrinos that do not couple to the Z do not couple to the W either.

Such neutrinos, with no SM interactions, are called *sterile* neutrinos.

LSND hints at the existence of sterile neutrinos.

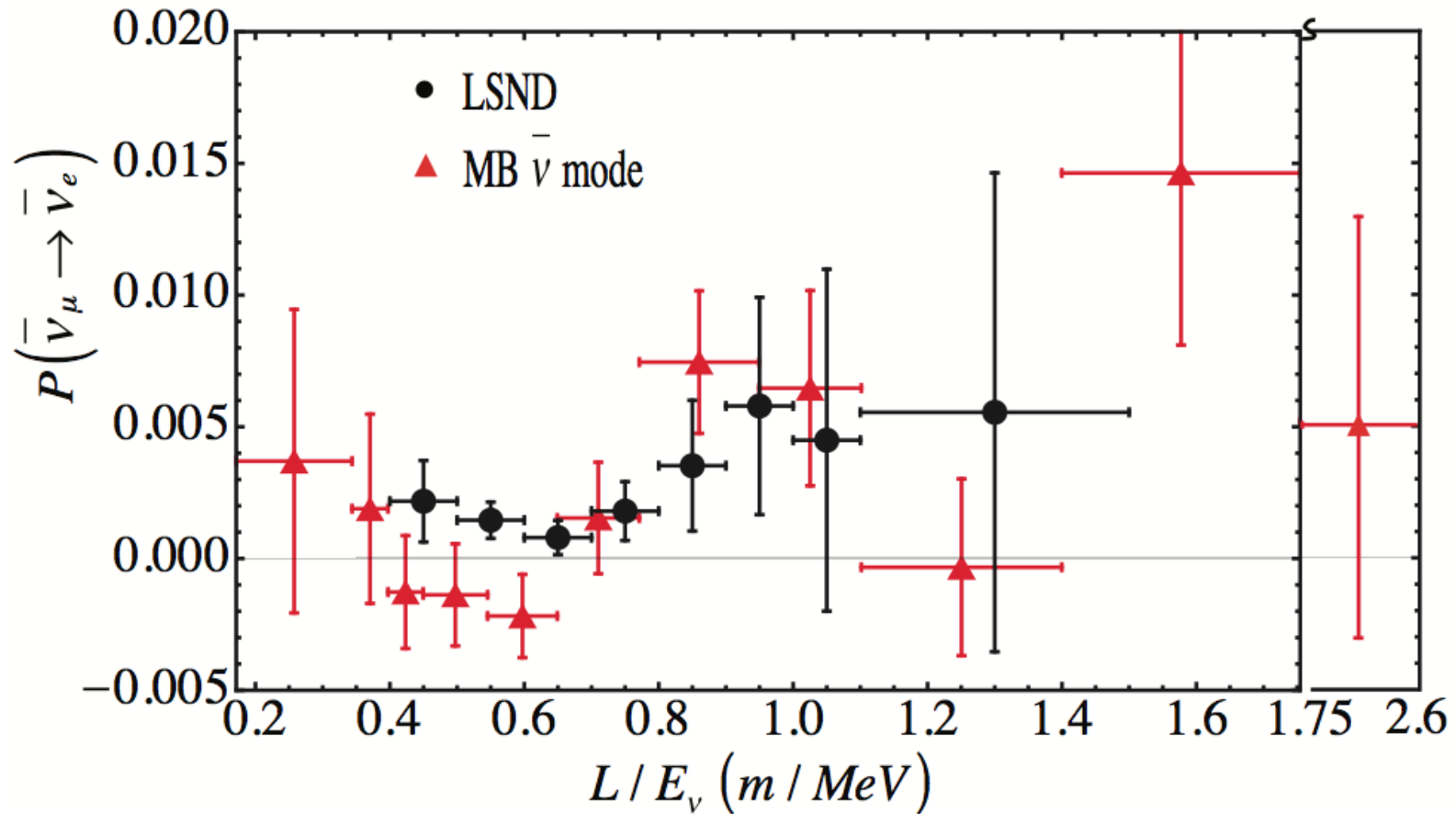
Is the LSND Signal Genuine Neutrino Oscillation?

The **MiniBooNE** experiment is trying to confirm or refute **LSND**.

In **MiniBooNE**, both L and E are ~ 17 times larger than they were in **LSND**, and L/E is comparable.

MiniBooNE has recently reported its $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ results.

Direct MiniBooNE-LSND Comparison of $\bar{\nu}$ Data



(Phys.Rev.Lett.105:181801, 2010)

Do LSND and MiniBooNE see the same thing???

The Reactor $\bar{\nu}_e$ Flux Surprise

The prediction for the un-oscillated $\bar{\nu}_e$ flux from reactors has increased by about 3%.

(Mueller et al.)

Measurements of the $\bar{\nu}_e$ flux at (10 – 100)m from reactor cores now show a $\sim 6\%$ disappearance.

(Mention et al.)

Disappearance at $L(\text{m})/E(\text{MeV}) \sim 1$ suggests oscillation with $\Delta m^2 \sim 1 \text{ eV}^2$, like LSND and MiniBooNE.

Fits to all data with 2 extra neutrinos are improved.

(Kopp et al.)

Clearly, more information is needed.

While awaiting further news –

*We will assume there are
only 3 neutrino mass eigenstates,
and no sterile neutrinos.*

Mixing, Mass Ordering, and ~~CP~~

The Central Role of θ_{13}

Both CP violation and our ability to tell whether the spectrum is normal or inverted depend on θ_{13} .

Determining θ_{13} is an important step.

Reactor Experiments To Determine θ_{13}

Looking for disappearance of reactor $\bar{\nu}_e$, which have $E \sim 3$ MeV, while they travel $L \sim 1.5$ km is the cleanest way to determine θ_{13} .

$P(\bar{\nu}_e \text{ Disappearance}) \cong$

$$\sin^2 2\theta_{13} \sin^2[1.27 \Delta m_{\text{atm}}^2 (\text{eV}^2) L(\text{km}) / E(\text{GeV})]$$

Accelerator Experiments

Accelerator neutrino experiments can also probe θ_{13} .

Now it is entwined with other parameters.

In addition, accelerator experiments can probe *whether the mass spectrum is normal or inverted*, and look for *CP violation*.

All of this is done by studying $\nu_{\mu} \rightarrow \nu_e$ and $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_e$, or their inverses, while the beams travel hundreds or thousands of kilometers.

The Mass Spectrum: $\underline{\underline{=}}$ or $\underline{=}$?

Generically, grand unified models (GUTS) favor —

$\underline{\underline{=}}$

GUTS relate the **Leptons** to the **Quarks**.

However, *Majorana masses*, with no quark analogues, could turn $\underline{\underline{=}}$ into $\underline{=}$.

How To Determine If The Spectrum Is Normal Or Inverted

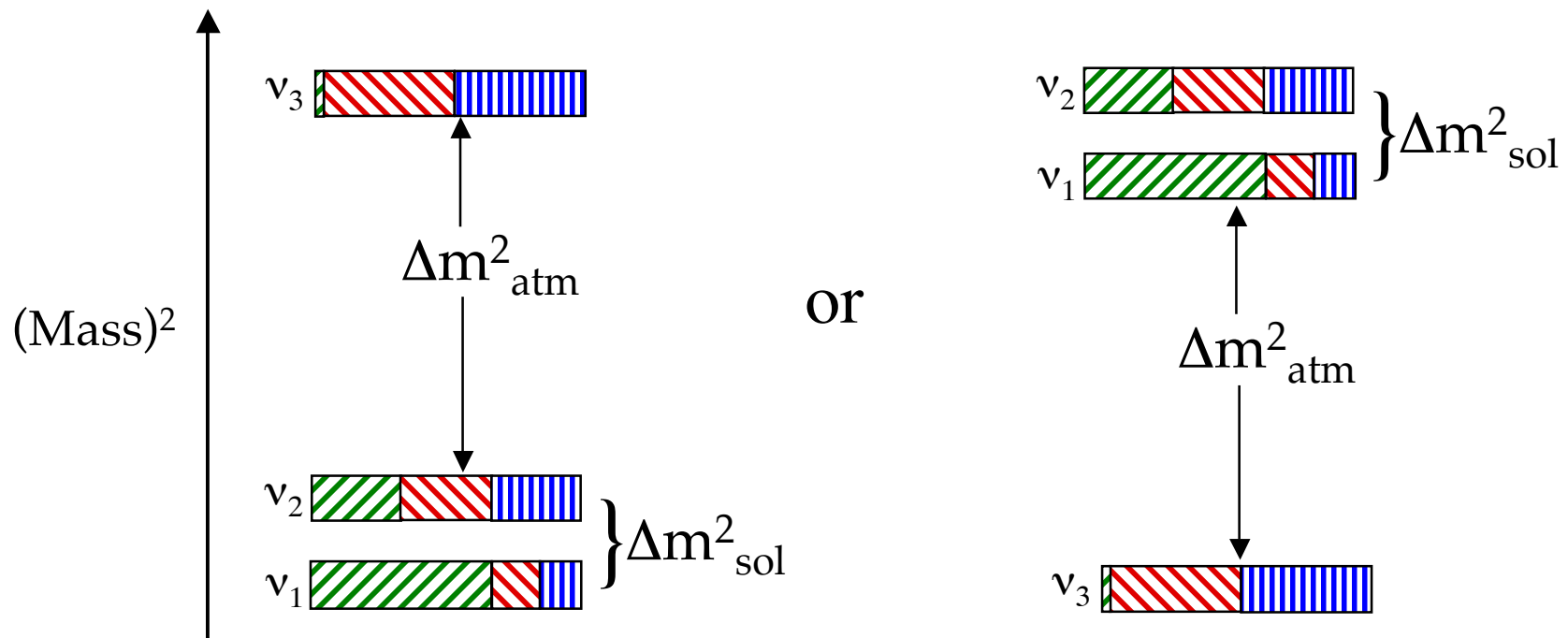
Exploit the *matter effect* on accelerator neutrinos.

Recall that the matter effect *raises* the effective mass of ν_e , but *lowers* that of $\bar{\nu}_e$. Thus, it affects ν and $\bar{\nu}$ oscillation *differently*, leading to:

$$\frac{P(\nu_\mu \rightarrow \nu_e)}{P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)} \begin{cases} > 1 ; \text{---} \\ < 1 ; \text{---} \end{cases} \quad \textit{Note fake CP}$$

Note dependence on the mass ordering

The matter effect depends on whether the spectrum is **Normal** or **Inverted**.



Normal

Inverted

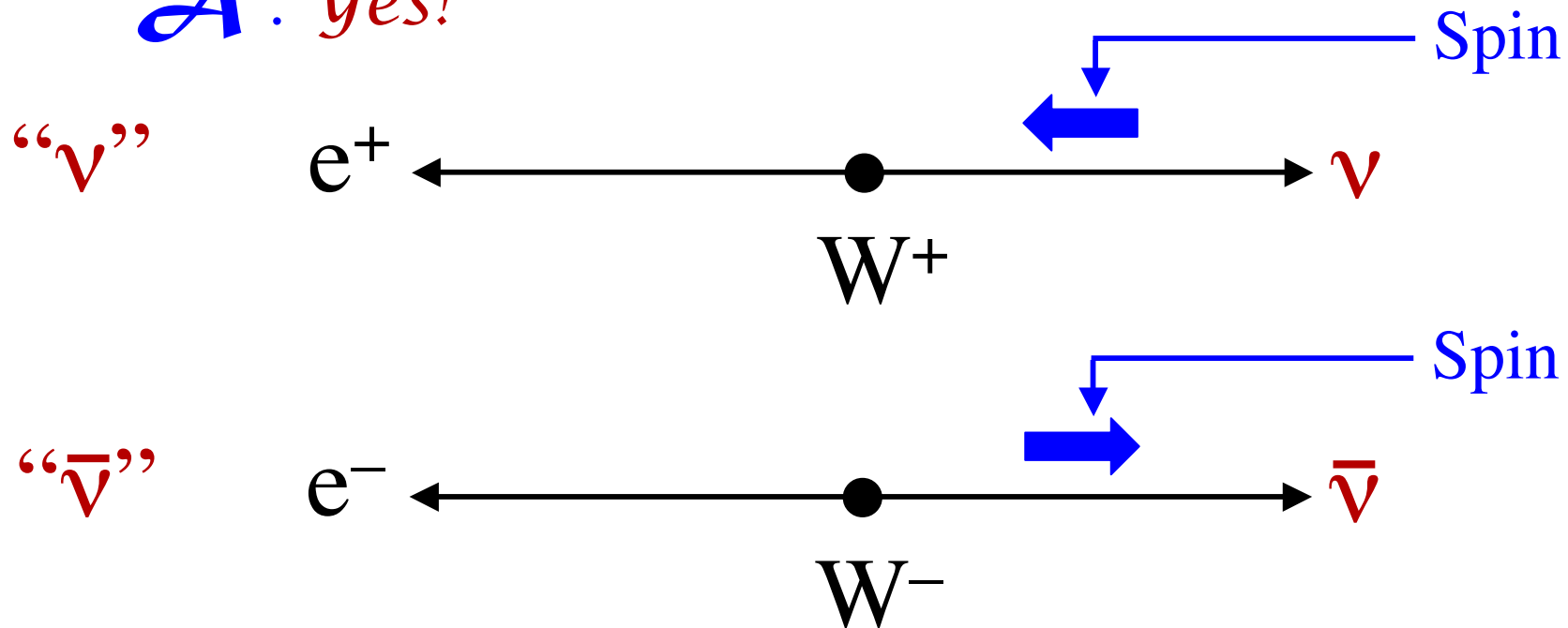
$\nu_e [|U_{ei}|^2]$

$\nu_\mu [|U_{\mu i}|^2]$

$\nu_\tau [|U_{\tau i}|^2]$

Q : Does matter still affect ν and $\bar{\nu}$ differently when $\bar{\nu} = \nu$?

A : Yes!



The weak interactions violate *parity*. Neutrino – matter interactions depend on the neutrino *polarization*.

Do Neutrino Interactions Violate CP?

CP violation involving the neutrinos would show that CP violation is not peculiar to quarks.

CP violation involving the neutrinos may be related, through *leptogenesis*, to why the universe contains matter but no antimatter.

(See Concha Gonzalez-Garcia)

(I will talk about the relationship later.)

*The observation of CP violation in neutrino oscillation would make it more plausible that **leptogenesis** occurred in the early universe.*

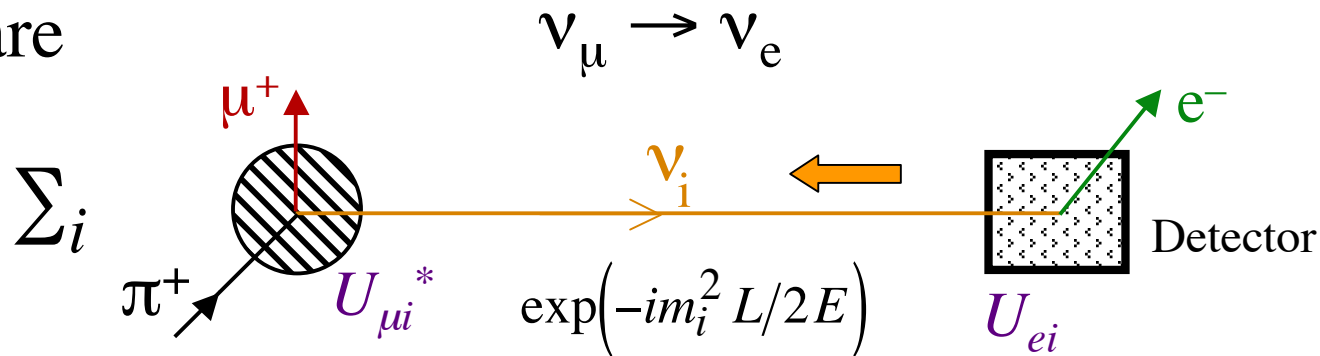
Seeking CP violation in neutrino oscillation is now a worldwide goal.

The search will use long-baseline accelerator neutrino beams to study $\nu_{\mu} \rightarrow \nu_e$ and $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_e$, or their inverses.

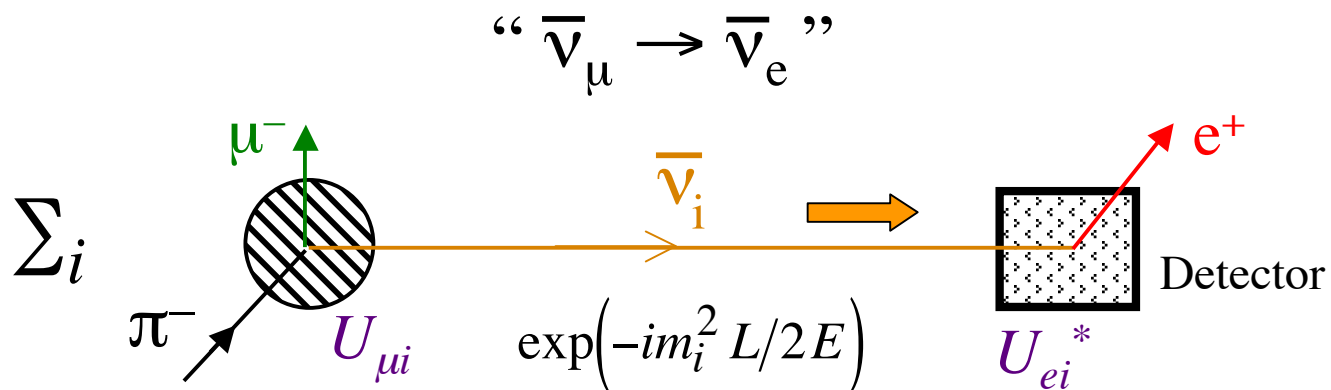
Q : Can CP violation still lead to $P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) \neq P(\nu_\mu \rightarrow \nu_e)$ when $\bar{\nu} = \nu$?

A : Certainly!

Compare



with



Accelerator ($\bar{\nu}$) Oscillation Probabilities

With $\alpha \equiv \Delta m_{21}^2 / \Delta m_{31}^2$, $\Delta \equiv \frac{\Delta m_{31}^2 L}{4E}$, and $x \equiv \frac{2\sqrt{2}G_F N_e E}{\Delta m_{31}^2}$ — $m^2(\text{---}) - m^2(\text{=})$

$$P[\nu_\mu \rightarrow \nu_e] \cong \sin^2 2\theta_{13} T_1 - \alpha \sin 2\theta_{13} T_2 + \alpha \sin 2\theta_{13} T_3 + \alpha^2 T_4 ;$$

Atmospheric
CP-odd interference

$$T_1 = \sin^2 \theta_{23} \frac{\sin^2[(1-x)\Delta]}{(1-x)^2}, \quad T_2 = \sin \delta \sin 2\theta_{12} \sin 2\theta_{23} \sin \Delta \frac{\sin(x\Delta)}{x} \frac{\sin[(1-x)\Delta]}{(1-x)},$$

CP-even interference
Solar

$$T_3 = \cos \delta \sin 2\theta_{12} \sin 2\theta_{23} \cos \Delta \frac{\sin(x\Delta)}{x} \frac{\sin[(1-x)\Delta]}{(1-x)}, \quad T_4 = \cos^2 \theta_{23} \sin^2 2\theta_{12} \frac{\sin^2(x\Delta)}{x^2}$$

$$P[\bar{\nu}_\mu \rightarrow \bar{\nu}_e] = P[\nu_\mu \rightarrow \nu_e] \text{ with } \delta \rightarrow -\delta \text{ and } x \rightarrow -x.$$

(Cervera *et al.*, Freund, Akhmedov *et al.*)

What Facility Is Needed?

$$P(\nu_\mu \rightarrow \nu_e) \sim \sin^2 2\theta_{13}$$

A conventional accelerator neutrino beam from π and K decay is mostly ν_μ , but has a $\sim 1\%$ ν_e contamination.

Studying $\nu_\mu \rightarrow \nu_e$ with a conventional beam would be difficult if $\sin^2 2\theta_{13} < 0.01$.

More Powerful Facilities

β Beam: β^+ emitting nuclei in a storage ring produce a flavor-pure ν_e beam. Look for $\nu_e \rightarrow \nu_\mu$.

ν Factory: The decays $\mu^+ \rightarrow e^+ \nu_e \bar{\nu}_\mu$ of muons in a storage ring, plus a magnetized detector with μ^+/μ^- discrimination, yields an effectively flavor-pure ν_e beam. Look for $\nu_e \rightarrow \nu_\mu$.

<u>$\sin^2 2\theta_{13}$</u>	<u>Use</u>
$> 10^{-(2-3)}$	Conventional “Superbeam”
$< 10^{-(2-3)}$	β Beam or ν Factory

From the T2K and MINOS evidence, there is at least a good chance that θ_{13} puts the search for CP violation in the Superbeam range.

A β Beam or ν Factory will then carry out the precision measurements.