

# Early time nonequilibrium heavy quark diffusion and energy loss



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on Heavy-ion Physics

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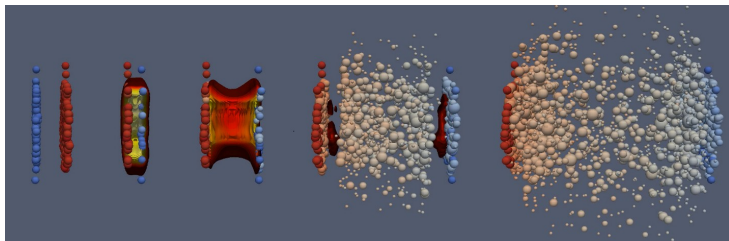
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- 1 Motivation
- 2 Initial stages
- 3 Hard probes and transport coefficients
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# Stages in heavy-ion collisions



MADAI collaboration

- High-energy collisions  $\Rightarrow$  **QGP** created
- Cooling during evolution, go through different **phases**
  - $\Rightarrow$  pre-equilibrium QGP (initial stages)  $\rightarrow$  fluid QGP  $\rightarrow$  hadrons
- **Pre-equilibrium QGP**: testing the very nature of quantum physics
  - $\Rightarrow$  Gluons first as (classical) waves  $\rightarrow$  scatterings of (quasi-)particles

## Goals

Learn about **real-time** properties of QCD in **extreme conditions**

## Non-equilibrium QCD

What are the initial stages of the quark-gluon plasma (QGP)?

- Significant progress from QCD calculations over the past decade(s)
- Interplay of different methods and models

## Experimental traces

How can we probe them experimentally? What are their signatures?

- What are the medium properties of the pre-equilibrium QGP?
- How do they affect hard probes? What do we learn?

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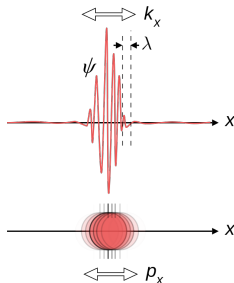
# Pre-equilibrium QGP: descriptions (for couplings $g^2 \ll 1$ )

- initially: **classical-statistical simulations**, ‘Glasma’
  - $\Rightarrow$  large gluon fields  $A \sim 1/g$ , full initial conditions
  - $\Rightarrow$  nonlinear dynamics of interacting classical waves
  - $\Rightarrow$  Valid while occupancies large  $f(t, p) \approx \langle AA \rangle p \gg 1$
- then, as energy decreases (dilution): **kinetic theory**
  - $\Rightarrow$  Boltzmann equation for  $f$

$$(\partial_t + v \cdot \nabla)f = \left| \begin{array}{c} \text{---} \\ \diagdown \\ \text{---} \\ \diagup \\ \text{---} \end{array} \right|^2 + \left| \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \right|^2$$

$$\frac{\partial f_{\vec{p}}}{\partial \tau} - \frac{p_z}{\tau} \frac{\partial f_{\vec{p}}}{\partial p_z} = -C^{2 \leftrightarrow 2}[f_{\vec{p}}] - C^{1 \leftrightarrow 2}[f_{\vec{p}}]$$

Arnold, Moore, Yaffe, JHEP 01, 030 (2003)



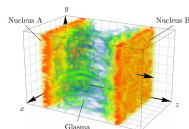
**Quantum wave particle duality, approximative descriptions**

classical fields  $A(t, \vec{x})$  ('waves')  $\rightarrow$  interacting particle distribution  $f(t, \vec{p})$

# Strong initial fields: classical-statistical lattice simulations

- Initial state: **Glasma** – large longitudinal fields

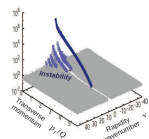
McLerran, Venugopalan (1999); Krasnitz, Venugopalan (1999, 2000, 2001); Krasnitz, Nara, Venugopalan (2001, 2003); Lappi (2003, 2006, 2011); Lappi, McLerran (2006); Schenke, Tribedy, Venugopalan (2012); Gelfand, Ipp, Müller (2016, 2017); ...



Ipp, Müller (2017)

- **Plasma instabilities** – from boost-invariant Glasma to highly occupied (mainly gluonic) plasma

Mrowczynski (1993); Arnold, Lenaghan, Moore (2003); Romatschke, Strickland (2003); Romatschke, Venugopalan (2006); Attems, Rebhan, Strickland (2012); Fukushima, Gelis (2012); Berges, KB, Schlichting, (2012, 2013); Epelbaum, Gelis (2013); ...



Berges, Schenke, Schlichting, Venugopalan (2014)

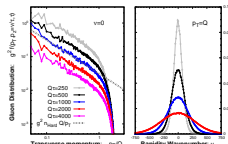
- Classical **self-similar attractor** far from equilibrium – universal dynamics of over-occupied plasma

⇒ agrees with 1. stage of 'bottom-up' scenario

Berges, KB, Schlichting, Venugopalan (2013, 2014); Kurkela, Zhu (2015); ...

⇒ Far-from-equilibrium universality class with scalars

Berges, KB, Schlichting, Venugopalan (2015); ...



Berges, KB, Schlichting, Venugopalan (2013)



# Bottom-up thermalization: QCD kinetic theory

- When **quasiparticles** have formed:  
Kinetic theory becomes applicable

*Note:* Assumes narrow excitations in spectral functions, which may not be true at low momenta for strong anisotropy  
KB, Kurkela, Lappi, Peuron (2018, 2019, 2021)

- Bottom-up** thermalization: Baier, Mueller, Schiff, Son (2001)

- 1 Classical attractor (see above)
- 2 Anisotropy freezes
- 3 Radiational breakup

- QCD effective **kinetic theory** (EKT) simulations

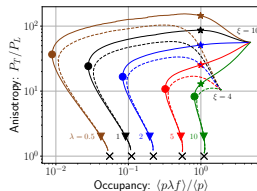
Arnold, Moore, Yaffe (2003); Kurkela, Zhu (2015); Kurkela, Mazeliauskas (2019);

$$-\frac{\partial f_{\vec{p}}}{\partial \tau} = C^{1 \leftrightarrow 2}[f_{\vec{p}}] + C^{2 \leftrightarrow 2}[f_{\vec{p}}] - \frac{p_z}{\tau} \frac{\partial f_{\vec{p}}}{\partial p_z}$$

- EKT: smooth transition to **hydrodynamics**;  
KoMPoST: EKT +  $\delta T^{\mu\nu}(\tau, \vec{x})$  perturbations

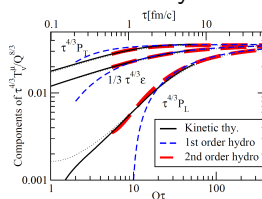
Kurkela, Zhu (2015); Kurkela, Mazeliauskas, Paquet, Schlichting, Teaney (2018)

## Bottom-up evolution



Kurkela, Zhu (2015); KB, Kurkela, Lappi, Lindenbauer, Peuron (2023)

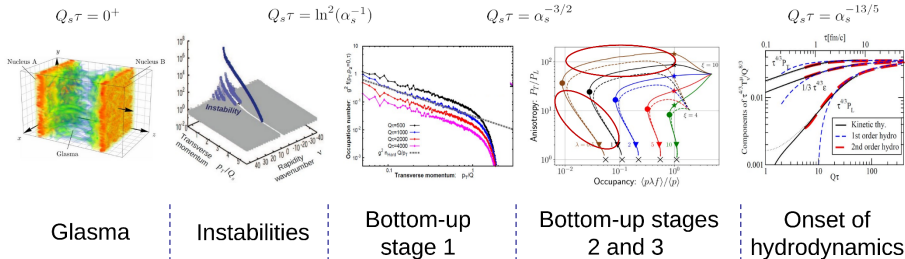
## Onset of hydro



Kurkela, Zhu (2015)

⇒ Talk by G. Denicol

# Initial stages in heavy-ion collisions (weak- $g^2$ perspective)



$$D_\mu F^{\mu\nu} = J^\nu$$

classical-statistical simulations

$$-\frac{\partial f_{\vec{p}}}{\partial \tau} = \mathcal{C}^{1 \leftrightarrow 2}[f_{\vec{p}}] + \mathcal{C}^{2 \leftrightarrow 2}[f_{\vec{p}}] - \frac{p_z}{\tau} \frac{\partial f_{\vec{p}}}{\partial p_z}$$

QCD effective kinetic theory simulations

hydrodynamics ...

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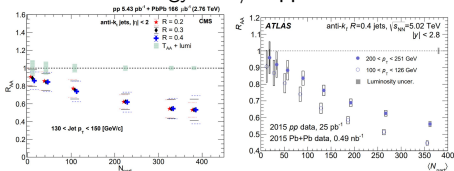
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# Hard probes show signatures of QGP

Hard probes are modified while traversing the QGP

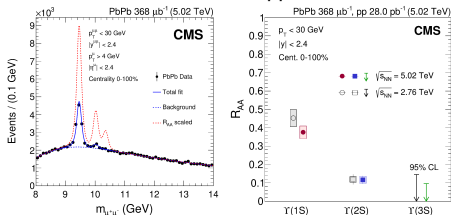
Examples: heavy quarks, quarkonia ( $q\bar{q}$ ), jets ( $p \gg T$ )

## Jet energy loss / suppression



CMS Collaboration, PRC (2017) ; ATLAS Collaboration, PLB (2019)

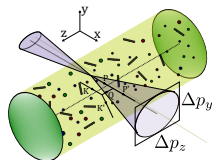
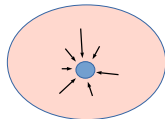
## Bottomonium suppression



# Transport coefficients from pre-equilibrium QGP

Jets, heavy ( $c, b$ ) quarks: potential for signatures of initial stages  
medium interactions  $\Rightarrow$  QGP properties encoded in observables

- Quarks/jets get 'kicks'  $\dot{p}_i(\tau) = \mathcal{F}_i(\tau)$
- **Heavy-quark diffusion** coefficient  $\kappa_i = \frac{d}{d\tau} \langle p_i^2 \rangle$   
 $\Rightarrow$  heavy quark ( $c, b$ ), small momentum  $p \ll M$
- $\kappa$  enters Lindblad eq. for **quarkonium dynamics**  
Brambilla, Escobedo, [Soto], [Strickland], Vairo, [v.d. Griend, Weber] (2016, 2021)  
 $\Rightarrow$  describe suppression of bottomonium ( $b\bar{b}$  states)
- **Jet quenching** parameter  $\hat{q}_i = \frac{d}{d\tau} \langle p_{\perp,i}^2 \rangle$   
 $\Rightarrow$  jet with high momentum  $p \gg Q_s, T$
- They **encode** also pre-equilibrium dynamics



KB, Kurkela, Lappi, Lindenbauer, Peuron (2023)

# Impact of initial stages on hard probes

## Transport coefficients encode pre-equilibrium dynamics

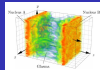
- Impact on jet energy loss, substructure?  $\Rightarrow$  Talk by Joao Barata
- Pheno. impact of  $\kappa_i(\tau)$  on heavy quarks?  $\Rightarrow$  Talk by Pooja

Some recent studies:

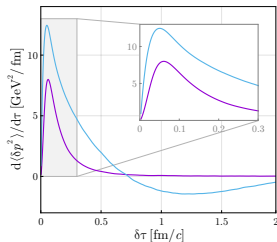
Transport coefficients during initial stages + impact on hard probes

Mrowczynski (2018); Ruggieri, Das (2018); Sun, Coci, Das, Plumari, Ruggieri, Greco (2019); Ipp, Müller, Schuh (2020); KB, Kurkela, Lappi, Peuron (2020); Khowal, Das, Oliva, Ruggieri (2022); Carrington, Czajka, Mrowczynski (2020, 2022); Avramescu, Baran, Greco, Ipp, Müller, Ruggieri (2023); KB, Kurkela, Lappi, Lindenbauer, Peuron (2023); Du (2023); Barata, Sadofyev, Wang (2023); Andres, Apolinário, Dominguez, Martinez, Salgado (2023); Pandey, Schlichting, Sharma (2024); Zhou, Brewer, Mazeliauskas (2024); Barata, Hauksson, Lopez, Sadofyev (2024); Priyam Adhya, Tywoniuk (2024); ...

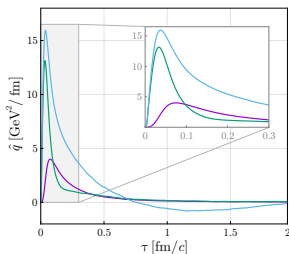
# $\kappa$ and $\hat{q}$ during Glasma phase



## $\kappa_i$ of beauty quarks



## $\hat{q}_i$ of jets



Avramescu, Baran, Greco, Ipp, Müller, Ruggieri PRD 107, 114021 (2023); 2307.07999

- Classical-statistical simulations of hard probes in the **Glasma** phase

- Extraction of  $\kappa_i$  and  $\hat{q}_i$

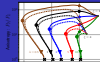
Ipp, Müller, Schuh (2020); KB, Kurkela, Lappi, Peuron (2020); Carrington, Czajka, Mrowczynski (2022); Khowal, Das, Oliva, Ruggieri (2022); Avramescu et al. (2023); Pandey, Schlichting, Sharma (2024); ...

- Often using  $\kappa, \hat{q} \sim \int d\tau \langle \mathcal{FF} \rangle$ , here via particle-in-cell method

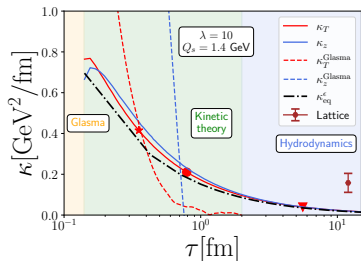
- Large values, anisotropic  $\kappa_z > \kappa_T$  and  $\hat{q}_z > \hat{q}_y$  ( $z$  is beam direction)

- Why are they large? Why do  $\kappa_z$  and  $\hat{q}_z$  become negative?

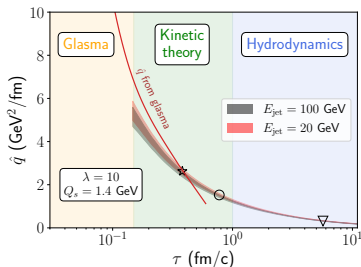
# $\kappa$ and $\hat{q}$ during kinetic regime



## $\kappa$ of heavy quarks



## $\hat{q}$ for jet quenching



KB, Kurkela, Lappi, Lindenbauer, Peuron, for  $\kappa$  PRD [2303.12520];

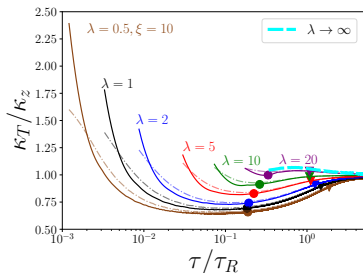
for  $\hat{q}$ : Phys. Lett. B (2024) [2303.12595], PRD [2312.00447]

- $\hat{q}$  smoothly connects Glasma and hydro,  $\kappa$  not so much
- Mostly the same ordering  $\kappa_z > \kappa_T$  and  $\hat{q}_z > \hat{q}_y$
- Evolution in kinetic regime understood, what about Glasma? (later)

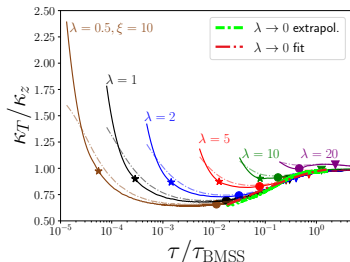


# Limiting attractors

Rescaling with  $\tau_R = \frac{4\pi\eta/s(\alpha_s)}{T_\epsilon(\tau)}$



Rescaling with  $\tau_{\text{BMSS}} = \alpha_s^{-13/5}/Q$



KB, Kurkela, Lappi, Lindembauer, Peuron, Phys. Lett. B (2024) [2312.11252]

- Limiting attractors from extrapolating coupling  $\lambda = 4\pi N_c \alpha_s$
  - Hydrodynamic lim. attr. ( $\lambda \rightarrow \infty$ ): very good description of  $P_L/P_T$
  - Bottom-up lim. attr. ( $\lambda \rightarrow 0$ ): early description of  $\hat{q}^{yy}/\hat{q}^{zz}$ ,  $\kappa_T/\kappa_z$
- ⇒ some 'ratios' better described with bottom-up even at  $\lambda \sim \mathcal{O}(10)$
- Also: HQ drag and diffusion coeff. **scale at hydro attractor** (Du, PRC (2024))

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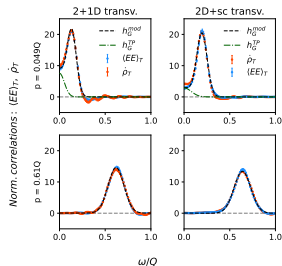
# Towards understanding $\kappa_i$ in the Glasma

L. Backfried, KB, P. Hotzy, 2408.12646

## Connect to collective excitations in the pre-equilibrium QGP

- Spectral functions  $\rho(t, \omega, p) \sim \langle [\hat{A}, \hat{A}] \rangle$  encode excitation spectrum!
- Compute  $\langle EE \rangle$  in class.-stat. + algorithm for  $\rho$  (KB, Kurkela, Lappi, Peuron (2018))

Transv. polarization (w.r.t.  $\vec{p}$ )



Models (non-exp. geometry)

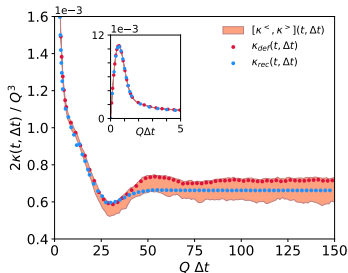
- 2+1D: Yang-Mills  $S_{YM}^{2D}$
  - 2D+sc:  $S_{YM}^{2D} + \text{adj. scalar } A_z$
- $\Rightarrow$  Glasma-like but at classical attractor + Minkowski

extending [KB, Kurkela, Lappi, Peuron (2019, 2021)]

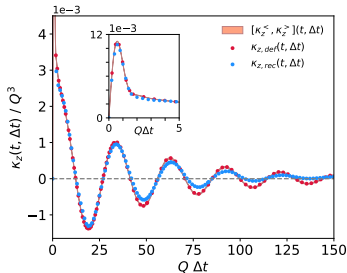
- HTL perturbation theory **breaks down**  $\Rightarrow$  broad Gaussian excitations
- New **transport peak**  $h_G^{TP}$  at  $\omega = 0$  for  $p \lesssim m_D \Rightarrow$  nonperturbative!

# Heavy-quark diffusion coefficients in 2+1D plasmas

## 2+1D gluonic $2\kappa$



## Glasma-like scalar $\kappa_z$

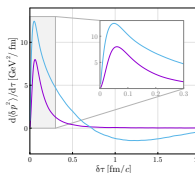


$$2\kappa(t, \Delta t) \approx \frac{g^2}{N_c} \int_t^{t+\Delta t} dt' \langle EE \rangle(t, t', \Delta \vec{x}=0), \quad \Rightarrow \text{gauge invariant}$$

$$\approx \frac{g^2}{N_c} \int_{\vec{p}} \int_{-\infty}^{\infty} \frac{d\omega}{2\pi} \frac{\sin(\omega \Delta t)}{\omega} \sum_{\alpha=T,L} \langle EE \rangle_{\alpha}(t, \omega, p)$$

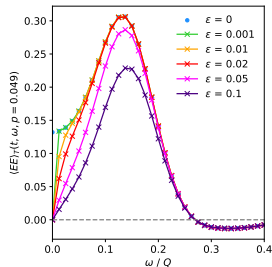
- Initial **linear** rise  $\kappa_i \sim \Delta t \langle EE \rangle_i(t, t)$  (KB, Kurkela, Lappi, Peuron ('20))
- Qualitatively **similar to Glasma**:  $2\kappa$  finite (diffusive),  $\kappa_z$  around 0
- Gauge-fixed **correlators**  $\langle EE \rangle_{\alpha}(t, \omega, p)$  reconstruct evolution

## Glasma reminder

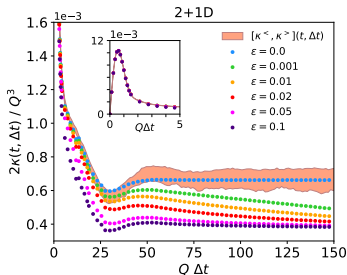


# Manipulate correlations $\Rightarrow$ study impact

Suppress low  $\omega$  of  $\langle EE \rangle_T$



2+1D gluonic  $2\kappa$

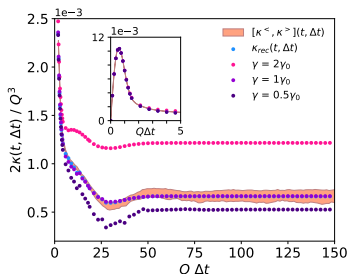


$$2\kappa(t, \Delta t) \approx \frac{g^2}{N_c} \int_{\vec{p}} \int_{-\infty}^{\infty} \frac{d\omega}{2\pi} \frac{\sin(\omega\Delta t)}{\omega} \sum_{\alpha=T,L} \langle EE \rangle_{\alpha}(t, \omega, p)$$

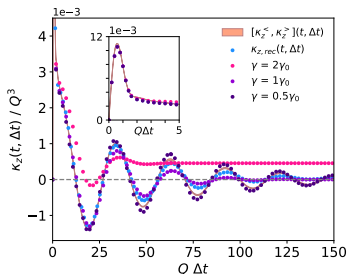
- Significant impact on late- $\Delta t$  evolution
  - $\Rightarrow$  Evidence of a new transport peak in Glasma-like systems!
- Preliminary: transport peak also in Glasma (KB, Hotzy, Müller, *in progress*)
  - $\Rightarrow$  Enhanced transport coefficients, relevance for initial stages?

# Manipulate correlations $\Rightarrow$ study impact II

## 2+1D gluonic $2\kappa$



## Glasma-like scalar $\kappa_z$



$$2\kappa(t, \Delta t) \approx \frac{g^2}{N_c} \int_{\vec{p}} \int_{-\infty}^{\infty} \frac{d\omega}{2\pi} \frac{\sin(\omega\Delta t)}{\omega} \sum_{\alpha=T,L} \langle EE \rangle_{\alpha}(t, \omega, p)$$

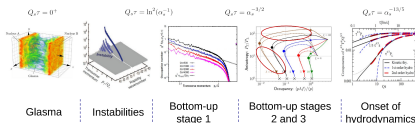
- Change peak width  $\gamma \Rightarrow$  mismatch with simulations  
 $\Rightarrow 2\kappa$  requires **broad**  $\langle EE \rangle_T$  and  $\kappa_z$  **narrow**  $\langle EE \rangle_z$
- We also demonstrate: scalars are **enhanced** at low  $p \lesssim m_D$  (Backup)

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- **Initial stages** of the QGP

⇒ waves vs. particles



- **Hard probes** (jets, heavy quarks, quarkonia): medium interactions

⇒ Coefficients  $\kappa$ ,  $\hat{q}$  in Glasma and bottom-up → large, anisotropic

⇒ Kinetics: hydrodynamic and limiting attractors useful

⇒ Phenomenology: Impact/signatures of pre-equilibrium dynamics?

- **Collective excitations** of pre-equilibrium QGP influence hard probes

⇒ Evidence of new transport peak in Glasma-like plasmas

⇒ Significant impact on transport coefficients

**Thank you for your attention!**



# Backup slides

# Kinetic theory $\Rightarrow$ Bottom-up thermalization scenario

- **Bottom-up scenario**

Baier, Mueller, Schiff, Son, PLB (2001)

- Consists of **three stages**

- ① Classical attractor
- ② Anisotropy freezes
- ③ Radiational breakup

- Different bottom-up stages **separated by markers** ( $\lambda = g^2 N_c$ )

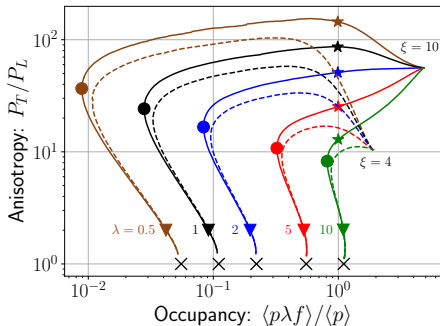
- ★ large pressure anisotropy

$P_T \gg P_L$ , occupancy  $f \sim 1/\lambda$

- minimum (mean) occupancy  $f$

▽ close to isotropic  $P_T/P_L = 2$

- Thermalization time scale  $\tau_{\text{BMSS}} = \alpha_s^{-13/5}/Q_s$ , initial momentum  $Q_s$



Kurkela, Zhu (2015); version from:  
KB, Kurkela, Lappi, Lindenbauer, Peuron (2023)

- Pressure  $P_{T,L} \sim \int d^3p \frac{p_{\perp,z}^2}{p} f$
- Mean  $\langle O \rangle = \int d^3p f(p) O(p)$

# QGP description: effective kinetic theory (EKT)

- When quasiparticles have formed: Kinetic theory applicable

*Note:* Assumes narrow excitations in spectral functions, which may not be true at low momenta for strong anisotropy (Backup in 'ρ': 'Gluonic 2+1D')

KB, Kurkela, Lappi, Peuron (2018, 2019, 2021)

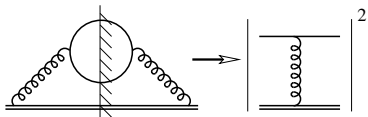
- Time evolution described by Boltzmann equation at LO

$$(\partial_t + \mathbf{v} \cdot \nabla) f = \left| \text{[Red vertex diagram]} \right|^2 + \left| \text{[Blue vertex diagram]} \right|^2$$

$$\frac{\partial f_{\vec{p}}}{\partial \tau} - \frac{p_z}{\tau} \frac{\partial f_{\vec{p}}}{\partial p_z} = -\mathcal{C}^{2 \leftrightarrow 2}[f_{\vec{p}}] - \mathcal{C}^{1 \leftrightarrow 2}[f_{\vec{p}}]$$

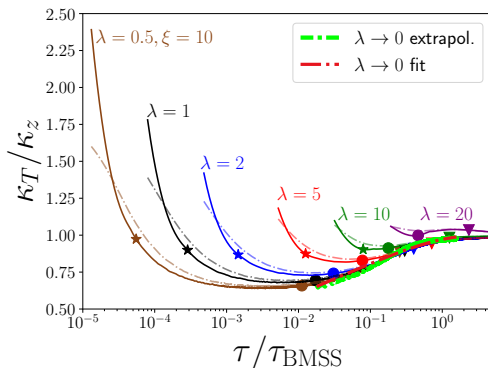
Arnold, Moore, Yaffe, JHEP 01, 030 (2003)

- Heavy-quark coefficient  $\kappa_i$ : Moore, Teaney (2005); Caron-Huot, Moore (2008)



# Transverse vs. longitudinal diffusion

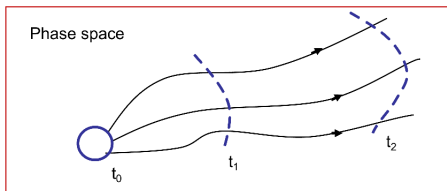
KB, Kurkela, Lappi, Lindenbauer, Peuron, 2303.12520



- $\kappa_T > \kappa_z$  during **over-occupied** stage (z is longitudinal/beam axis)
- $\kappa_T < \kappa_z$  after  $\star$  due to momentum anisotropy and **low occupancy**
- Most of the time  $\kappa_T < \kappa_z$ , anisotropy larger at weak coupling

# Classical-statistical simulations

- At initial time  $t_0$  set (quantum) **initial conditions** (IC):  
⇒ Choose  $\langle AA \rangle$ ,  $\langle EE \rangle$  in  $x$  or  $p$  space
- Approximate quantum dynamics with **classical EOMs**  $D_\mu F^{\mu\nu} = 0$   
⇒ Gauge co-variant lattice formulation using links  $U_j(x) = e^{ig a_j A_j(x)}$
- Obtain observables at  $t$  by **averaging** over trajectories (same IC)

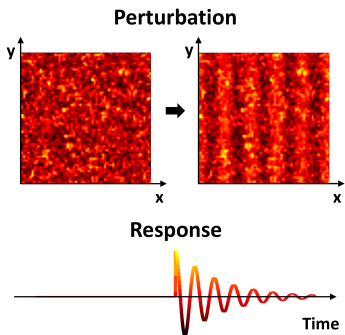


- Valid if occupancies large  $f(t, p) \approx \langle AA \rangle p \approx \langle EE \rangle / p \gg 1$
- Applicability limited to earliest times!

# Quasiparticles? Extract gluon spectral function $\rho$

Classical-statistical  $SU(N_c)$  simulations + linear response theory

KB, Kurkela, Lappi, Peuron, *PRD* 98, 014006 (2018)



- Similar algorithm for fermions
- Split  $A(t, \vec{x}) \mapsto A(t, \vec{x}) + \delta A(t, \vec{x})$  at  $t$ , perturb with plane wave  $j_0(\vec{p}) \delta(t' - t)$
- Response  $\langle \delta A(t', \vec{p}) \rangle = G_R(t', t, \vec{p}) j_0(\vec{p})$
- Linearized EOM for  $\delta A(t, \vec{x})$  such that Gauss law conserved (also in gauge-cov. formulation)

Kurkela, Lappi, Peuron, *EJJC* 76 (2016) 688

- $\theta(t' - t) \rho(t', t, p) = G_R(t', t, p)$
- Fourier transform  $\rho(\bar{t}, \omega, p)$  ( $\bar{t} = \frac{1}{2}(t + t')$ )

Very similar methods for scalars:

Aarts (2001); Piñeiro Orioli, Berges (2019); Schlichting, Smith, von Smekal (2020); KB, Piñeiro Orioli (2020); ...

# Spectral and statistical correlation functions

- Equal-time correlator  $\langle \{\hat{E}(t), \hat{E}(t)\} \rangle \propto f(t, \rho)$  is distribution  
 $\Rightarrow$  But what are the relevant **excitations**?
- Knowledge of **spectral function** needed ( $\dot{\rho} = \partial_t \rho$ ,  $E = \partial_t A$ )

$$\dot{\rho}(x, x') = \frac{i}{N_c^2 - 1} \left\langle \left[ \hat{E}(x), \hat{A}(x') \right] \right\rangle$$

- **Statistical correlator**  $\langle EE \rangle$  ( $\equiv \ddot{F}$ ) in general independent of  $\dot{\rho}$

$$\langle EE \rangle(x, x') = \frac{1}{2(N_c^2 - 1)} \left\langle \left\{ \hat{E}(x), \hat{E}(x') \right\} \right\rangle$$

- Fourier transf. in  $t - t'$  and  $\vec{x} - \vec{x}'$  to frequency  $\omega$  and momentum  $\vec{p}$   
Approximation: normally at fixed  $\bar{t} = \frac{1}{2}(t + t')$ , we hold  $t \approx \bar{t}$
- In **classical-statistical** simulations

$$\langle EE \rangle(t, t', \rho) = \frac{1}{N_c^2 - 1} \langle E(t, \vec{p}) E^*(t', \vec{p}) \rangle$$

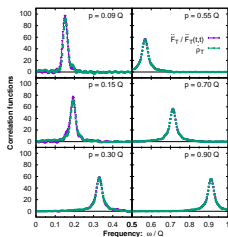
- Gauge: temporal  $A_0 = 0$  + Coulomb-type  $\partial^j A_j|_t = 0$

# What excitations drive the dynamics in the QGP?



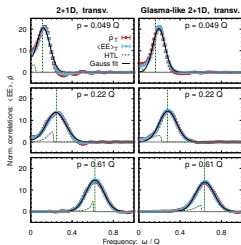
## Study microscopics of the Quark-Gluon plasma

- Spectral functions  $\rho(t, \omega, p) \sim \langle [\hat{A}, \hat{A}] \rangle$  encode excitation spectrum!
- Compute  $\langle EE \rangle$  in class.-stat. + algorithm for  $\rho$  (KB, Kurkela, Lappi, Peuron (2018))
- Generalized FDR observed  $\langle EE \rangle \sim \omega \rho$

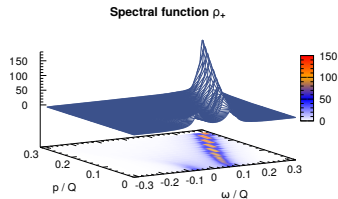


Gluonic 3+1D

KB, Kurkela, Lappi, Peuron (2018, 2019, 2021)



Gluonic 2+1D



Fermionic 3+1D

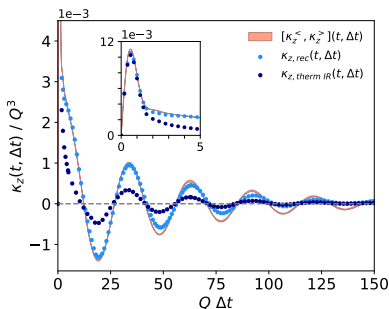
KB, Lappi, Mace, Schlichting (2022)

- Gauge fixed: temporal  $A_0 = 0$  + Coulomb-type  $\partial^j A_j|_t = 0$

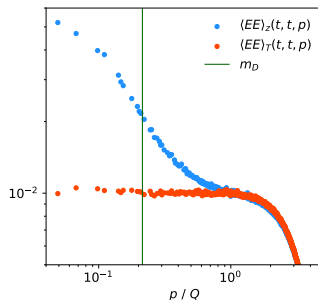


# 2+1D: Manipulate correlations $\Rightarrow$ study impact III

Glasma-like scalar  $\kappa_z$



Equal-time correlators  $\langle EE \rangle_\alpha(t, t, p)$



$$\kappa_z(t, \Delta t) \approx \frac{g^2}{N_c} \int_{\vec{p}} \int_{-\infty}^{\infty} \frac{d\omega}{2\pi} \frac{\sin(\omega \Delta t)}{\omega} \langle EE \rangle_z(t, t, p) \frac{\dot{\rho}_z(t, \omega, p)}{\dot{\rho}_z(t, t, p)}$$

- If no infrared excess of scalars, smaller oscillations  $\Rightarrow$  evidence of infrared enhancement!