

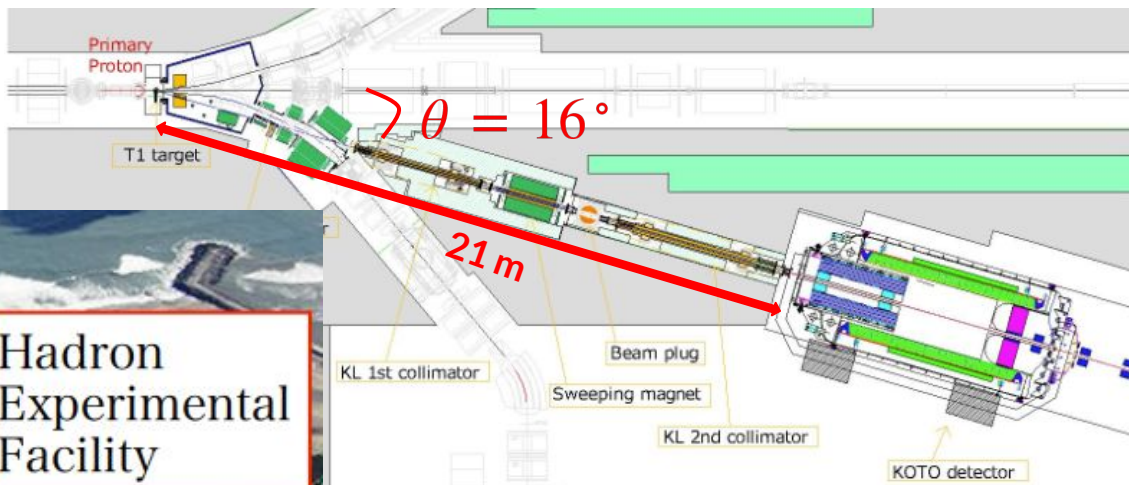
Dark Matter Searches at KOTO

Name: Joseph Redeker

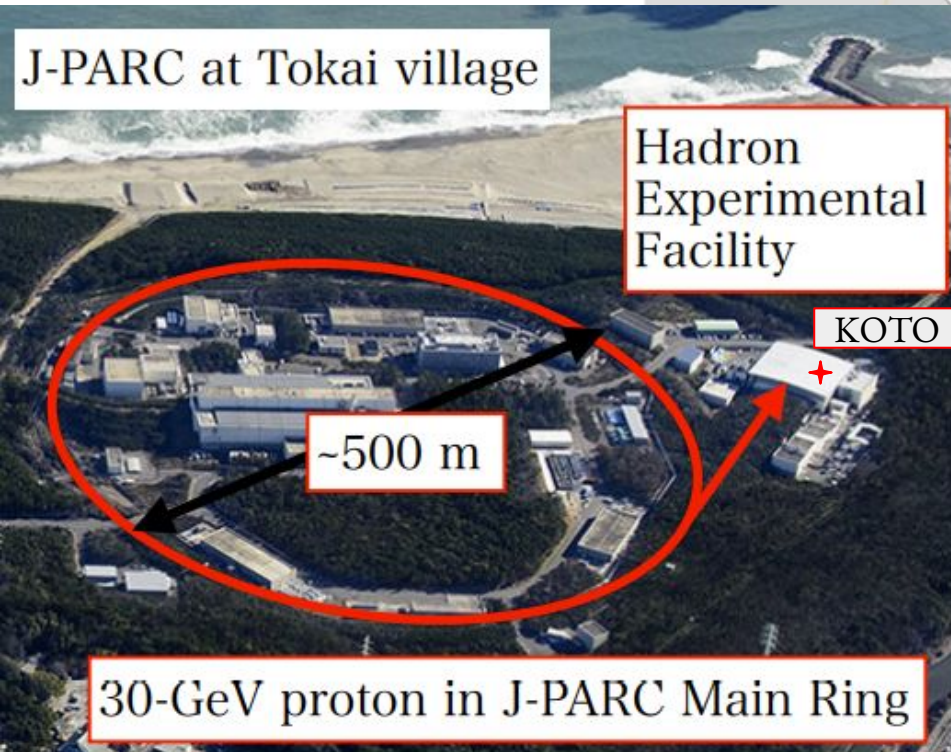
Email: jredeker@uchicago.edu



KOTO at J-PARC

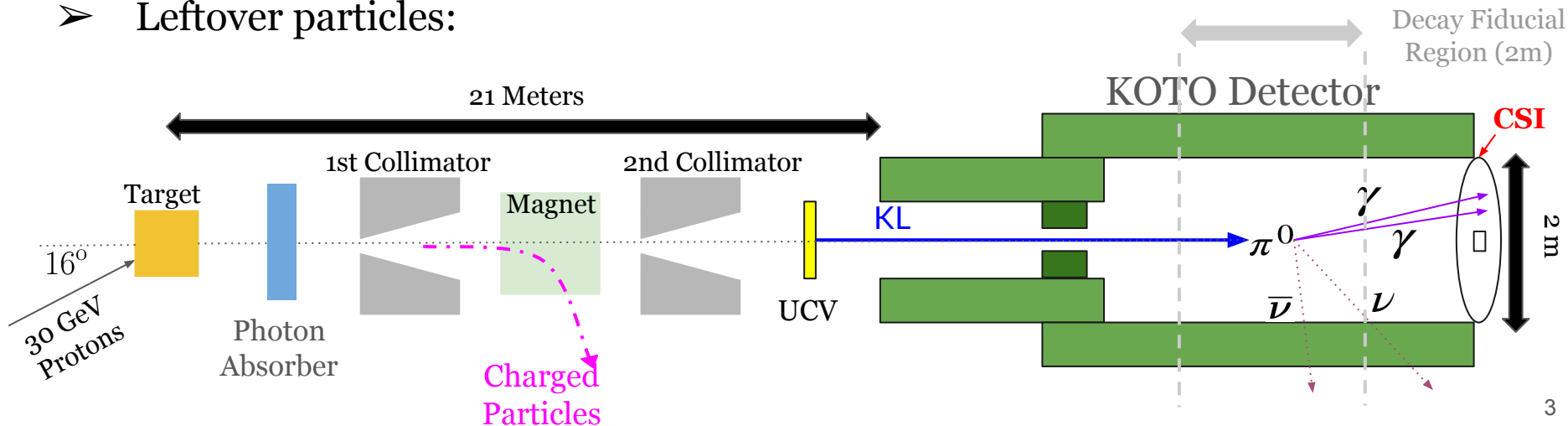


J-PARC at Tokai village

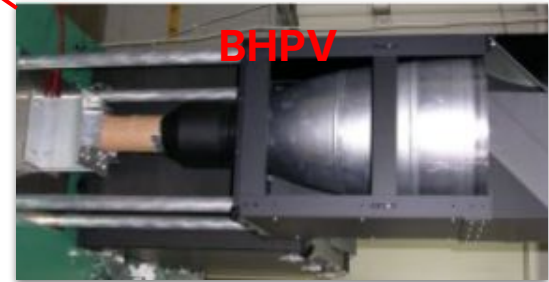
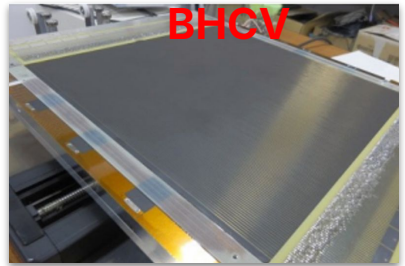
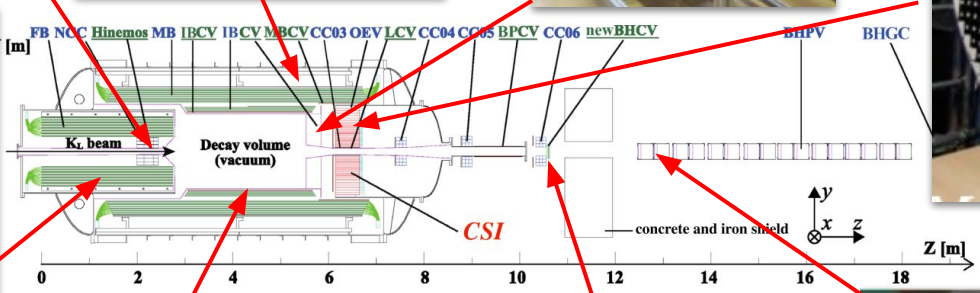
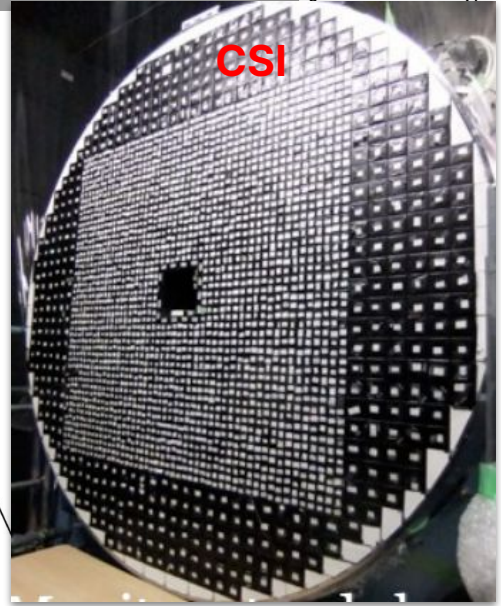
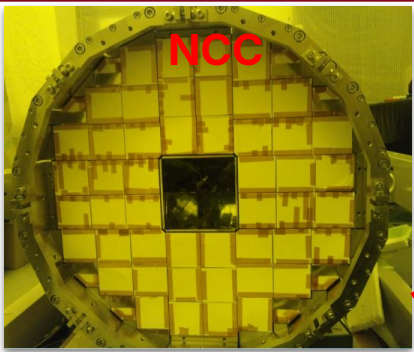


The KOTO Beamline

- 21 Meter Beamline \Rightarrow Only long lived particles.
- Sweeping magnet \Rightarrow No charged particles. \mathbf{K}_L, n, γ
- Leftover particles:
- 2 Collimators to tightly control beam spread.
- Small \mathbf{K}_L transverse momentum.



The KOTO Detector



Novel Physics Searches?

Access to high intensity beam ✓

High performance detectors ✓

Sub-GeV energy levels ✓

⇒ KOTO is in a prime position to study mid-energy NP!

Just need to look...



The Search for $K_L \rightarrow \pi^0\pi^0 X$ and $K_L \rightarrow 2\pi^0\gamma\gamma$ in the KOTO Experiment

(Based on data taken in 2021)



Multi-Purpose Analysis

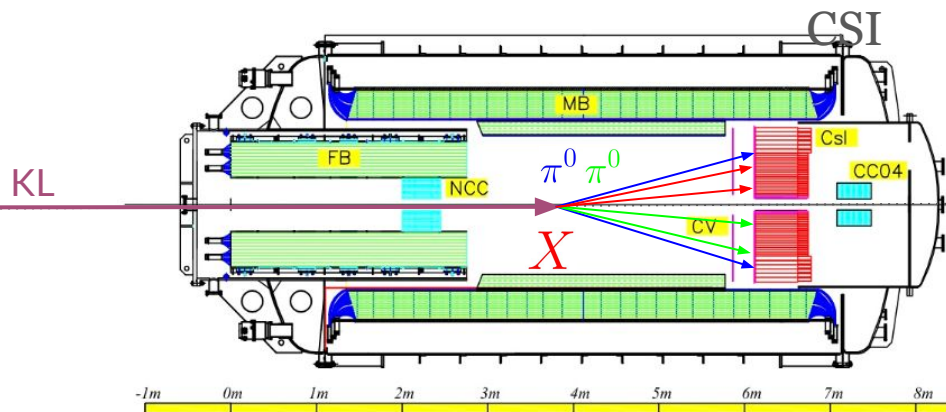
- Study sub-GeV quark coupling to dark matter, through modes with photons in the final state [1] [3]
- A test of ChPT via the mode $K_L \rightarrow 2\pi^0\gamma\gamma$ [2] (BR $\sim O(-8)$)
- Study energy mismeasurement in $K_L \rightarrow 3\pi^0$ decays.

Assumption:

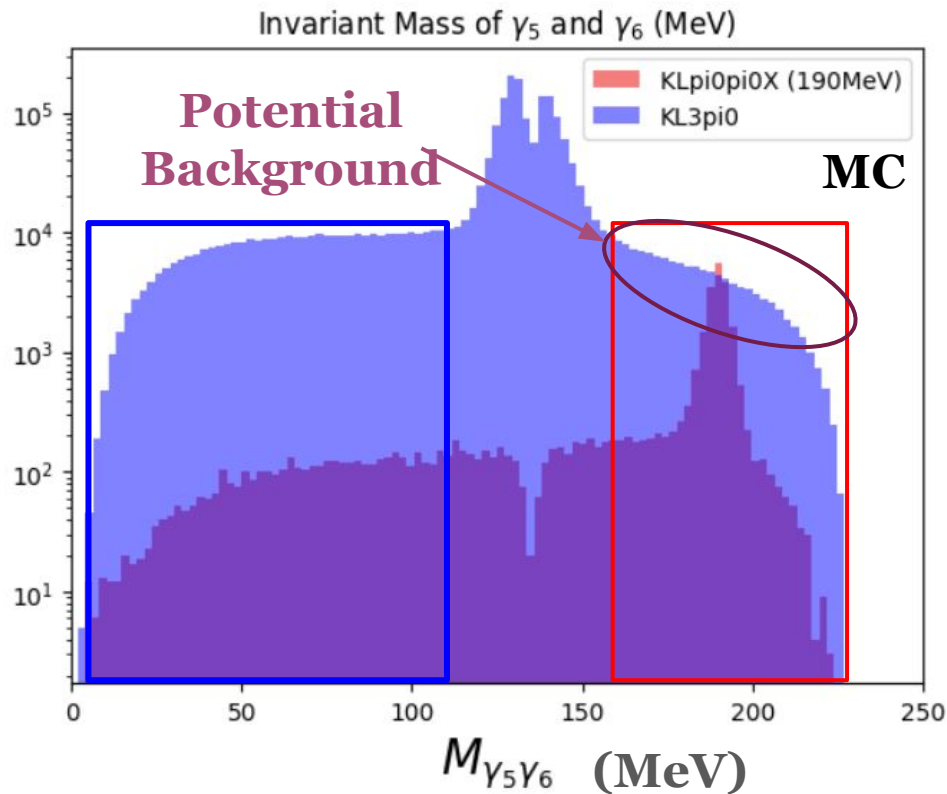
X decays promptly to 2 photons

Main Question:

How to determine X is not a pion from $K_L \rightarrow 3\pi^0$ decays



Analysis Strategy



- Signal Region:

- Control Region

Blind Box strategy \Rightarrow Cannot observe data within the signal region during the analysis.

Study $M_X \in [160, 220]$ MeV

Single Event Sensitivity (SES)

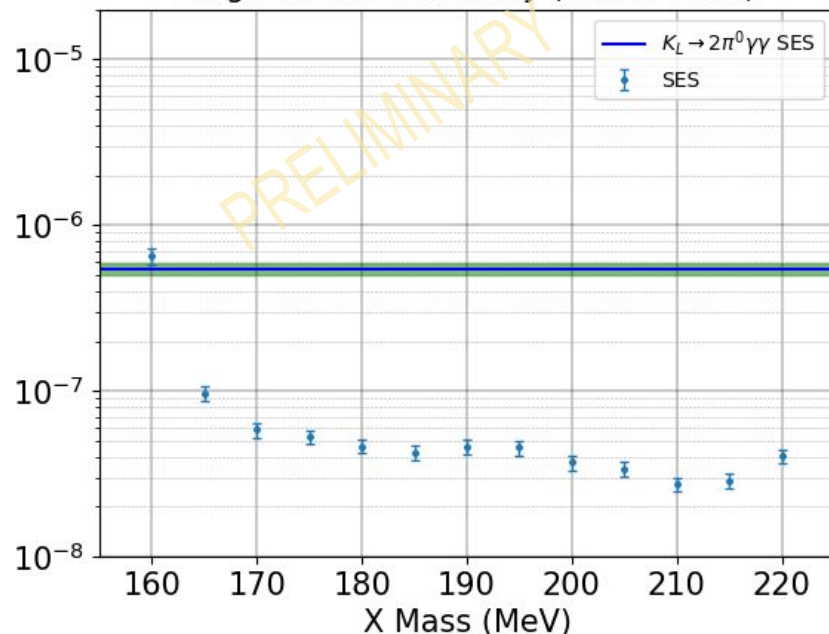
- SES \Rightarrow The central value of the branching ratio if one signal event is observed.

$$\text{SES} = \frac{1}{A_{sig} \cdot N_{K_L}}$$

- The number of kaons in data is determined through $K_L \rightarrow 3\pi^0$ decays.

$$Y = (4.27 \pm 0.03_{stat} \pm 0.31_{sys}) \times 10^{12}$$

Single Event Sensitivity (Run87 Data)



Each X mass has optimized selection criteria in order to obtain the best SES.



Open the Blinded Regions...

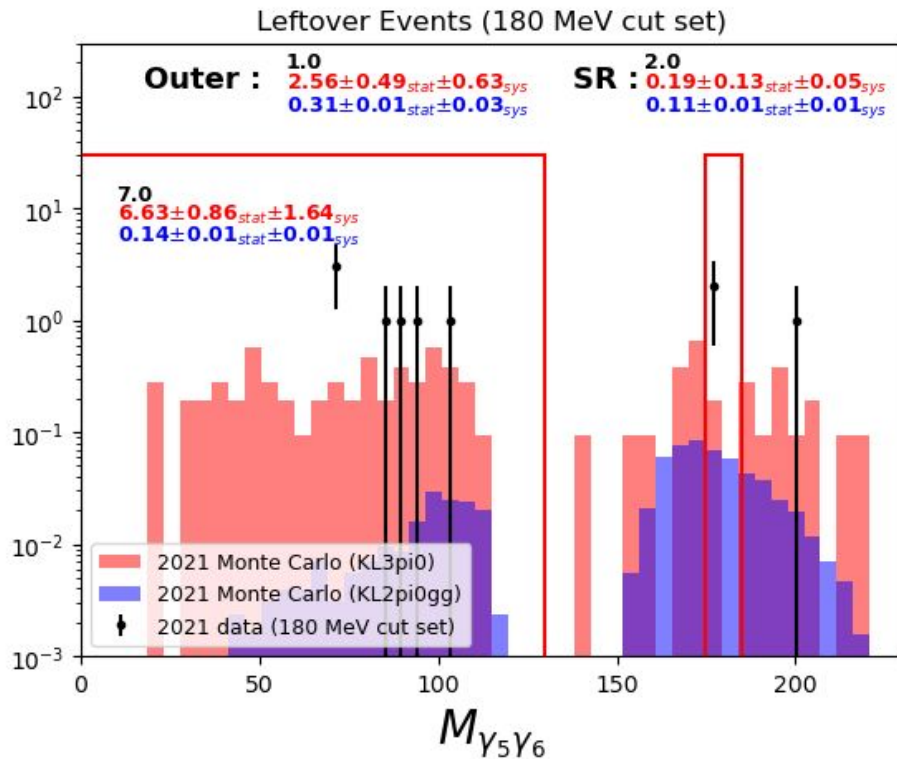
Red : $K_L \rightarrow 3\pi^0$ background

Blue: $K_L \rightarrow 2\pi^0\gamma\gamma$ expectation

Black: Number observed in data.

Two events observed in the signal region with an invariant mass within 2 MeV of each other.

Outer: Outside signal region (high mass)
SR: Signal region





Summary of Results

Three unique events observed in the different analyses.

Feldman-Cousins limits were determined accounting for the uncertainty in the background levels.

Results are not uncorrelated...

Analysis	N_{obs}	Result @95% C.L. ($\times 10^{-7}$)
$M_X = 160$	0	$BR(K_L \rightarrow \pi^0 \pi^0 X, X \rightarrow \gamma\gamma) < 18.6$
$M_X = 165$	0	$BR(K_L \rightarrow \pi^0 \pi^0 X, X \rightarrow \gamma\gamma) < 2.37$
$M_X = 170$	0	$BR(K_L \rightarrow \pi^0 \pi^0 X, X \rightarrow \gamma\gamma) < 1.17$
$M_X = 175$	1	$BR(K_L \rightarrow \pi^0 \pi^0 X, X \rightarrow \gamma\gamma) < 2.02$
$M_X = 180$	2	$BR(K_L \rightarrow \pi^0 \pi^0 X, X \rightarrow \gamma\gamma) < 3.04$
$M_X = 185$	0	$BR(K_L \rightarrow \pi^0 \pi^0 X, X \rightarrow \gamma\gamma) < 1.18$
$M_X = 190$	0	$BR(K_L \rightarrow \pi^0 \pi^0 X, X \rightarrow \gamma\gamma) < 1.24$
$M_X = 195$	0	$BR(K_L \rightarrow \pi^0 \pi^0 X, X \rightarrow \gamma\gamma) < 1.25$
$M_X = 200$	0	$BR(K_L \rightarrow \pi^0 \pi^0 X, X \rightarrow \gamma\gamma) < 0.988$
$M_X = 205$	0	$BR(K_L \rightarrow \pi^0 \pi^0 X, X \rightarrow \gamma\gamma) < 0.902$
$M_X = 210$	1	$BR(K_L \rightarrow \pi^0 \pi^0 X, X \rightarrow \gamma\gamma) < 1.3$
$M_X = 215$	0	$BR(K_L \rightarrow \pi^0 \pi^0 X, X \rightarrow \gamma\gamma) < 0.845$
$M_X = 220$	0	$BR(K_L \rightarrow \pi^0 \pi^0 X, X \rightarrow \gamma\gamma) < 1.12$
$K_L \rightarrow \pi^0 \pi^0 \gamma\gamma$	0	$BR(K_L \rightarrow \pi^0 \pi^0 \gamma\gamma) < 11.2$



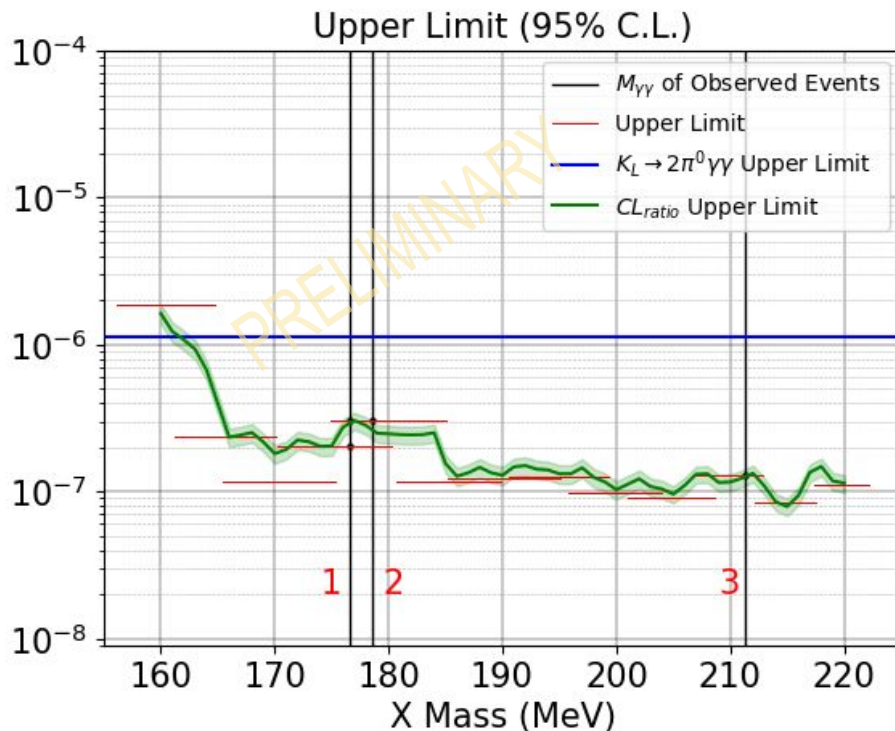
Interpretation of Results

- Account for the correlation between nearby results.
- Take the ratio of weighted probabilities under $s + b$ and b only assumptions.

$$CL_{ratio} = \frac{P_w(n_{s+b} \leq n_{obs} | s + b)}{P_w(n_b \leq n_{obs} | b)}$$

- The upper limit is the branching ratio such that $CL_{ratio} = 5\%$

Low Background \Rightarrow Push further with new data



The Search for $K_L \rightarrow XX, X \rightarrow 2\gamma$ in the KOTO Experiment

(Based on data taken in June 2018)

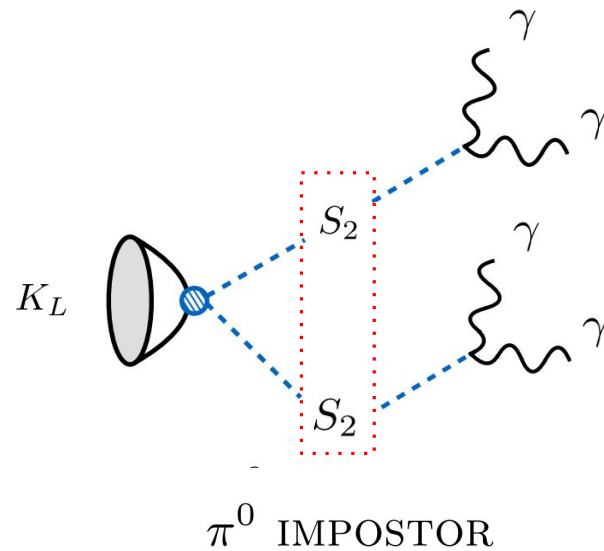


Dark Pair Production in Meson Decays

Challenging Backgrounds

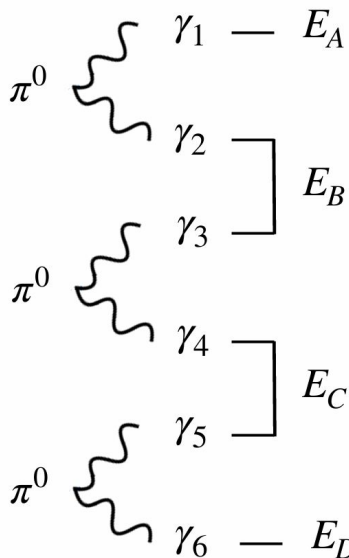
- $s \rightarrow d$ quark transition may result in the production of a “dark pair” (pion imposter). [4]
- Unique! May happen in K_L decays, but not in K^\pm .
- KOTO is in a good position to search for such decays, such as when $X \rightarrow 2\gamma$.

1. $K_L \rightarrow 2\pi^0$ (mispairing).
2. $K_L \rightarrow 3\pi^0$ (double fusion)





Background Analysis: Double Fusion



$$E_{\gamma_1} = E_A$$

$$E_{\gamma_2} + E_{\gamma_3} = E_B$$

$$E_{\gamma_4} + E_{\gamma_5} = E_C$$

$$E_{\gamma_6} = E_D$$

$$2E_{\gamma_1}E_{\gamma_2}(1 - \cos \theta_{\gamma_1\gamma_2}) = 2E_{\gamma_5}E_{\gamma_6}(1 - \cos \theta_{\gamma_5\gamma_6})$$

$$2E_{\gamma_3}E_{\gamma_4}(1 - \cos \theta_{\gamma_3\gamma_4}) = M_{\pi^0}$$

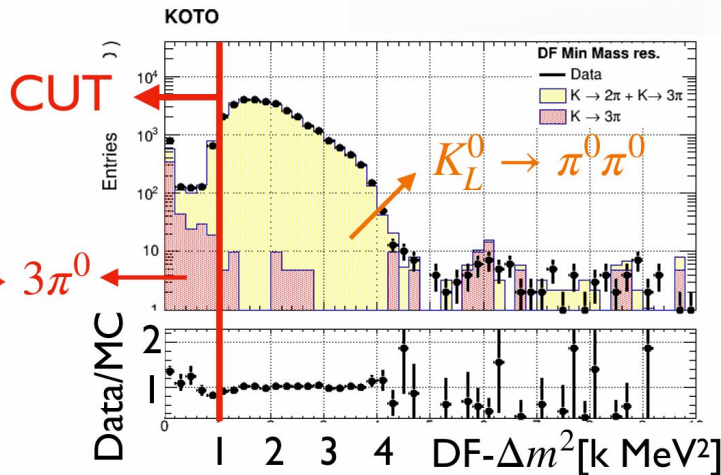
$M_{\gamma_1\gamma_2}$

$M_{\gamma_5\gamma_6}$

6 constraints \Rightarrow 6 unknowns \Rightarrow solve

$$\text{DF-}\Delta m^2 = (M_{\gamma_1\gamma_2} - M_{\pi^0})^2 + (M_{\gamma_5\gamma_6} - M_{\pi^0})^2$$

$K_L^0 \rightarrow 3\pi^0$



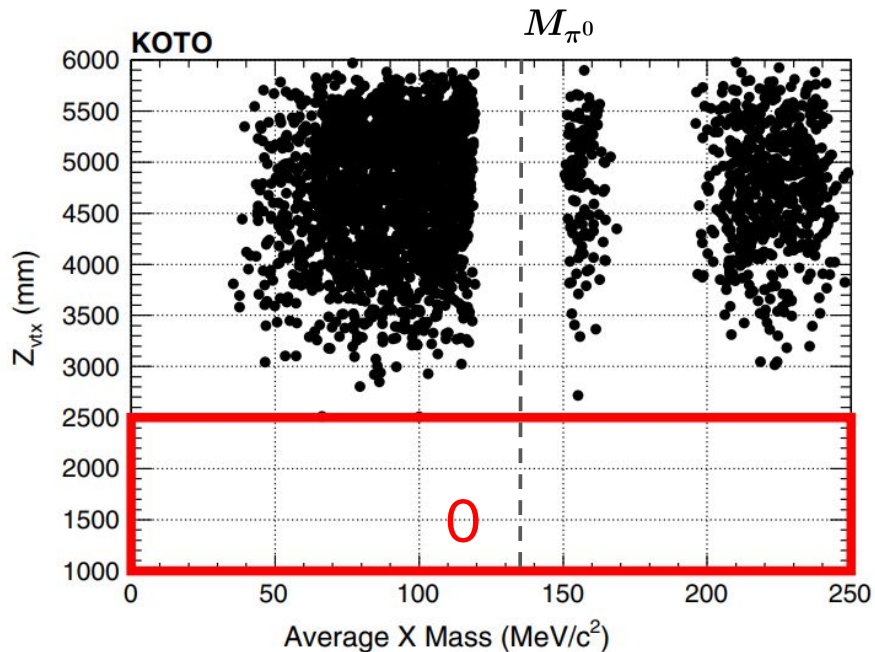
$K_L \rightarrow 2\pi^0$

- Loop over six possible photon pairs and select the one that is closest to M_{π^0} .
- If it is within $M_{\pi^0} \pm 15$ MeV, this event is rejected.

Nearly all $K_L \rightarrow 2\pi^0$ background are rejected this way.

Open Box Result

Low Background \Rightarrow Push further with new data



\rightarrow

Source	Estimated Value
$K_L \rightarrow 2\pi^0$	0.61 ± 0.61
$K_L \rightarrow 3\pi^0$	< 0.62 (90% C.L.)

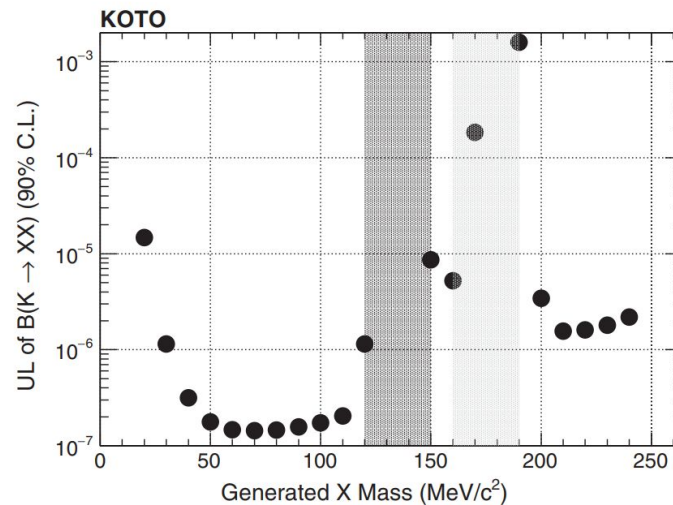


FIG. 6. Upper limit (UL) of $\mathcal{B}(K_L^0 \rightarrow XX)$ for different M_X at the 90% C.L. The dark (light) gray region indicates the exclusion by the cuts against the $K_L^0 \rightarrow \pi^0\pi^0$ background (the ΔM_{DF}^2 cut).

The Search for $K_L \rightarrow \gamma\bar{\gamma}$ in the KOTO Experiment

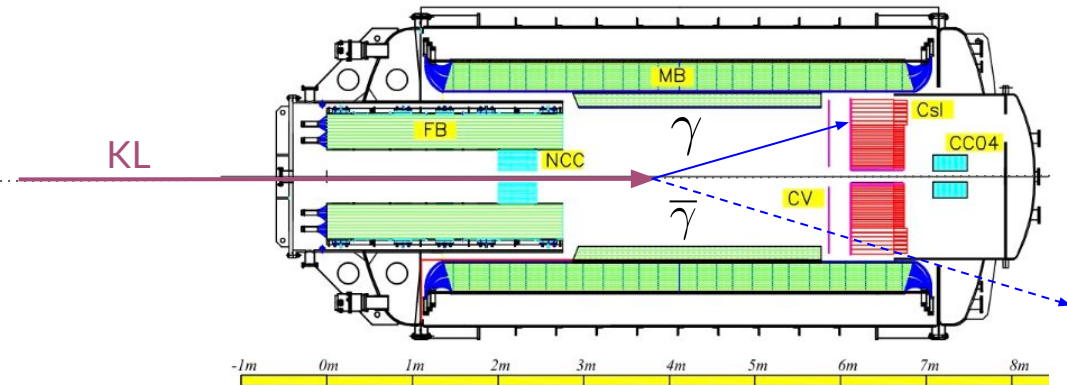
(Based on a special run taken in 2021)

The Massless Dark Photon

- Does not couple to normal matter \Rightarrow cannot be directly detected [6]
- Theoretical calculations predict the possibility of dark photons in neutral kaon decays with BR $\sim \mathcal{O}(10^{-3})$ [7]
- One photon in the CSI \Rightarrow nothing hits the veto

Challenging Backgrounds

1. Neutron induced single cluster (accidental hit).
2. $K_L \rightarrow \gamma\gamma$ with one missing photon (veto inefficiency).



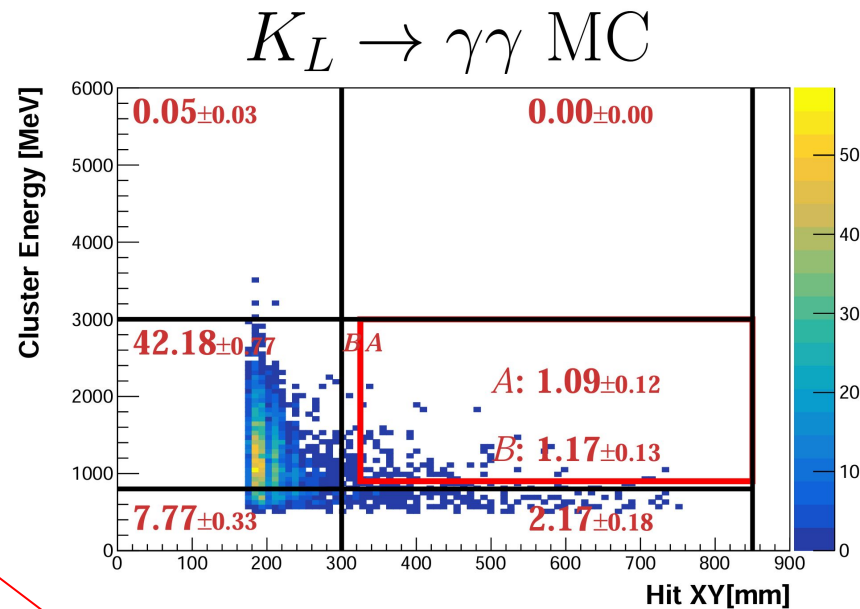
Analysis Strategy

Blind Box strategy used to avoid human bias \Rightarrow Cluster Energy vs. HitXY : blinded (signal) region **B** (**A**)

Neutron Induced Bkg Reduction

1. Deep Learning Cluster Shape Discriminator (CSDDL)
2. CsI Dual End Readout using MPPC's (deltaT)
3. Pulse Shape Discriminator using Fourier Transform (FPSD)

Type	Source	Background Level
K_L^0 decay	$K_L^0 \rightarrow 2\gamma$	$1.09 \pm 0.12_{stat.} \pm 0.05_{syst.}$
	$K_L^0 \rightarrow 3\pi^0$	< 0.18 (90% C.L.)
	$K_L^0 \rightarrow 2\pi^0$	< 0.51 (90% C.L.)
	$K_L^0 \rightarrow \pi^\pm e^\mp \nu_e$	< 0.27 (90% C.L.)
	$K_L^0 \rightarrow \pi^\pm \mu^\mp \nu_\mu$	< 0.25 (90% C.L.)
	$K_L^0 \rightarrow \pi^+ \pi^- \pi^0$	< 0.17 (90% C.L.)
Neutron		$11.57 \pm 4.42_{stat.} \pm 2.13_{syst.}$
Total (exclude sources without central value)		$12.66 \pm 4.42_{stat.} \pm 2.13_{syst.}$



Largest Bkg Source

Table 1: Background Level predicted for each source inside the signal region

Result

1 cluster trigger (two hour special run)
 $\Rightarrow 1.29 \times 10^{10} K_L^0$

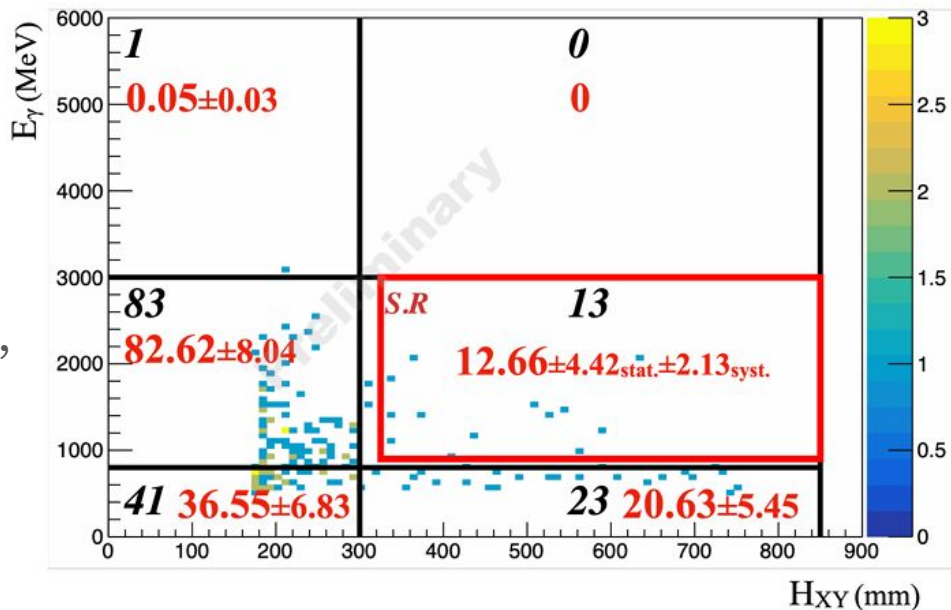
Achieved,

$$SES = \frac{1}{A_{sig} \times N(K_L^0)} = (2.91 \pm 0.05) \times 10^{-8}$$

Using FC, KOTO set the upper limit at,

$$\mathcal{BR}(K_L^0 \rightarrow \gamma \bar{\gamma}) < 3.47 \times 10^{-7}$$

Black \Rightarrow Number Observed
 Red \Rightarrow Number Expected (Bkg)



Conclusion

- The analysis of the novel decay mode $K_L \rightarrow 2\pi^0\gamma\gamma$ was performed on 2021 data, a first search which sets an upper limit on the branching ratio (95% C.L.),

$$\text{BR}(K_L \rightarrow \pi^0\pi^0\gamma\gamma) < 1.12 \times 10^{-6}$$

- The secondary analysis of $K_L \rightarrow \pi^0\pi^0 X, X \rightarrow \gamma\gamma$ was also performed, with two events with an invariant mass near 177 MeV observed in the signal region.
- No signal was observed in the mass range of 40–110 MeV and 210–240 MeV.
 $\Rightarrow \text{BR}(K_L \rightarrow XX) < (1-4) \times 10^{-7}$ and $\text{BR}(K_L \rightarrow XX) < (1-2) \times 10^{-6}$ (90% C.L.)
- An upper limit on the branching ratio of $K_L \rightarrow \gamma\bar{\gamma}$ at 90% C.L. was set at,

$$\mathcal{BR}(K_L^0 \rightarrow \gamma\bar{\gamma}) < 3.47 \times 10^{-7}$$

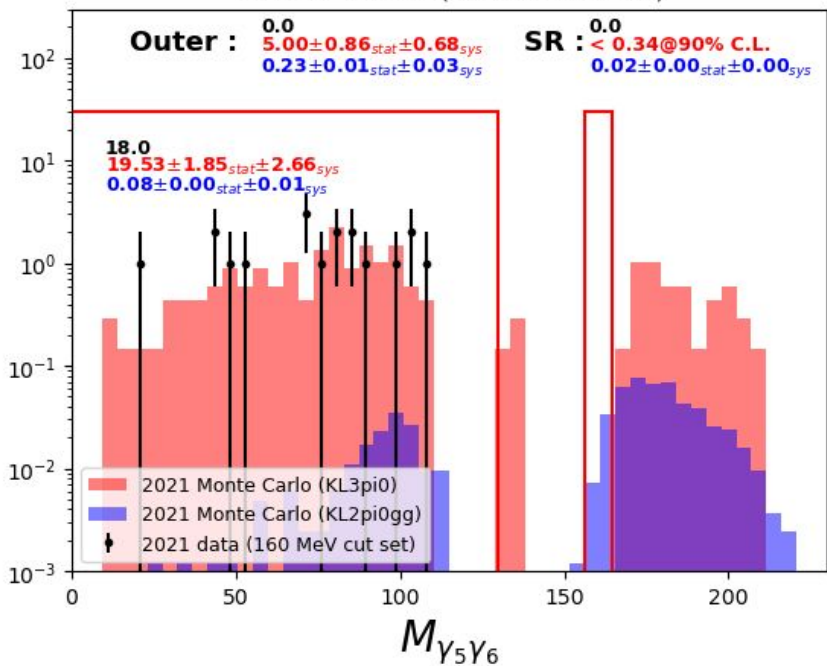
Backup

Plots for all open box results shown in following slides

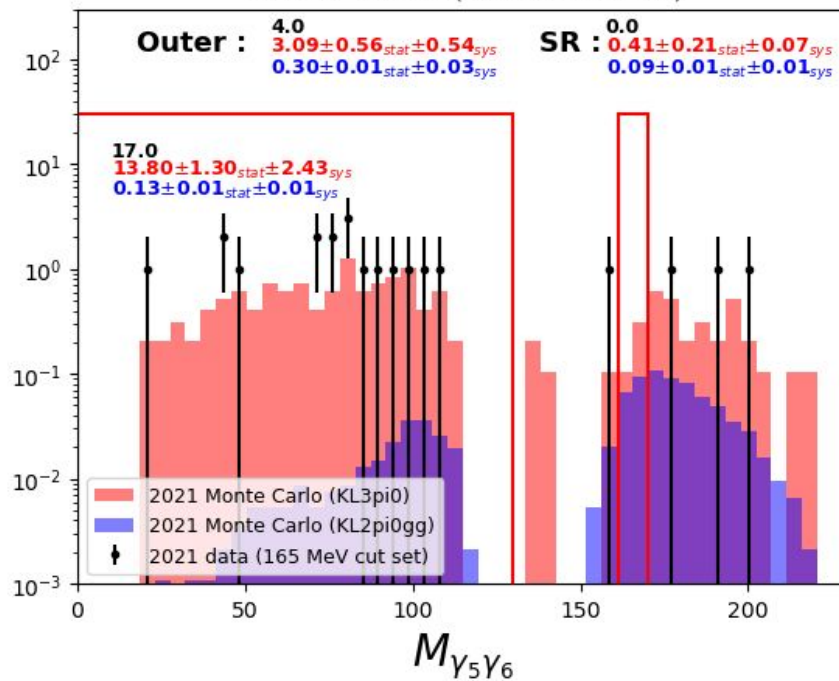


160-165 MeV

Leftover Events (160 MeV cut set)



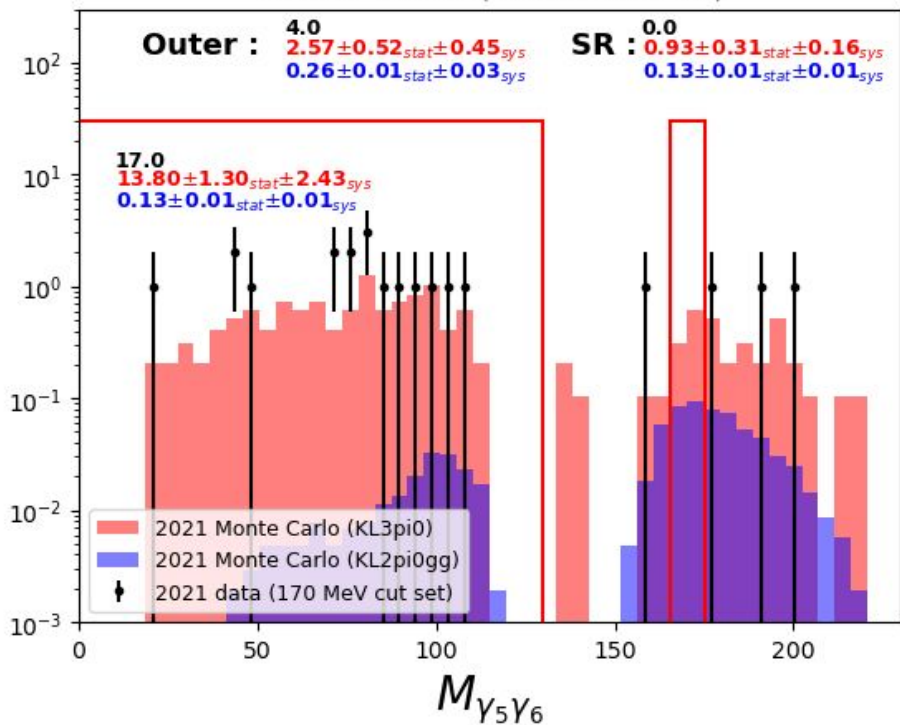
Leftover Events (165 MeV cut set)



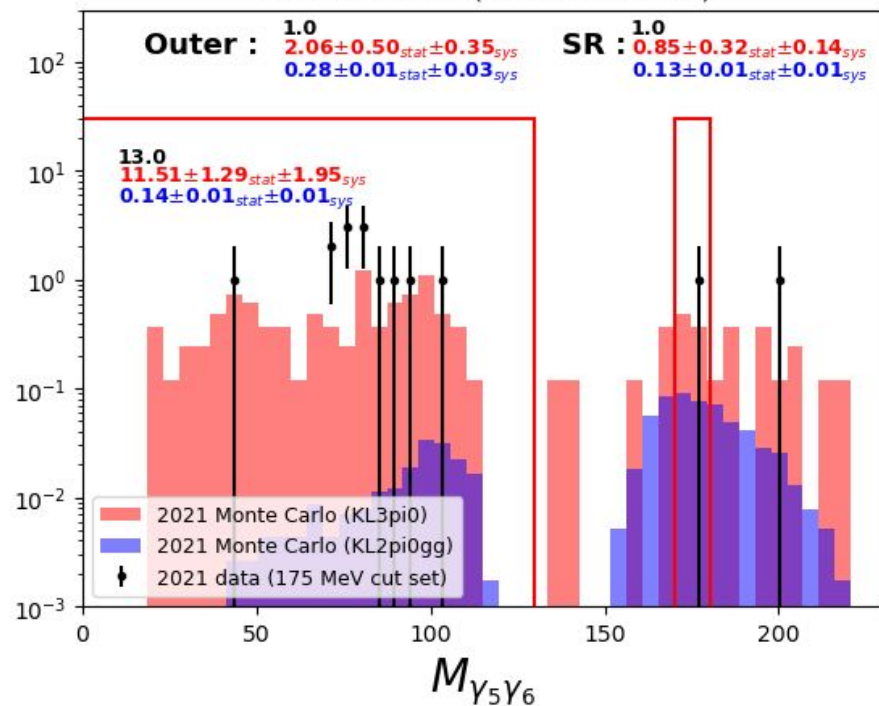


(170-175 MeV)

Leftover Events (170 MeV cut set)

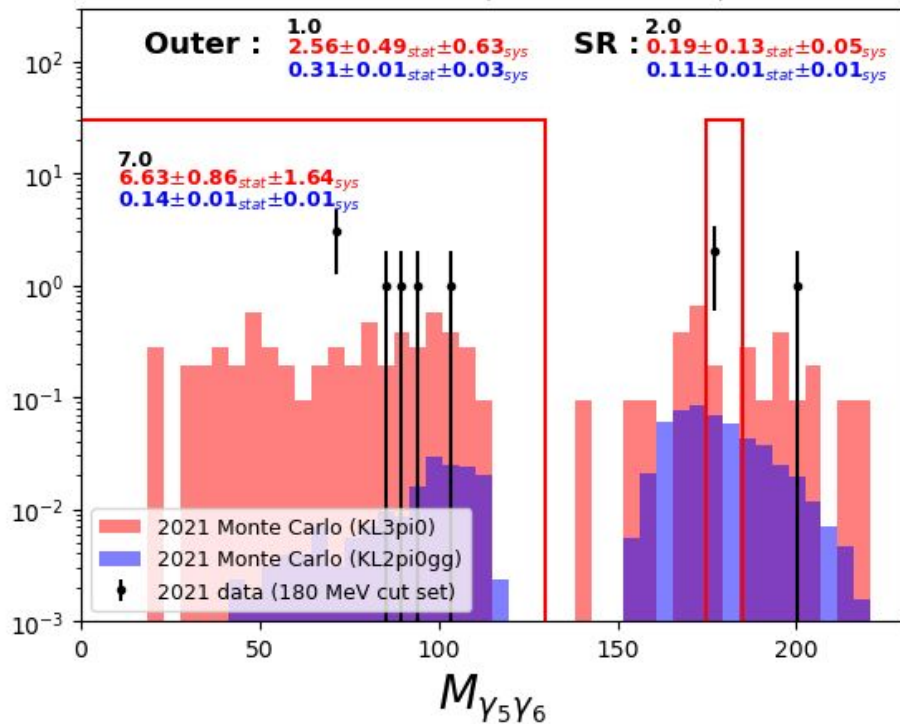


Leftover Events (175 MeV cut set)

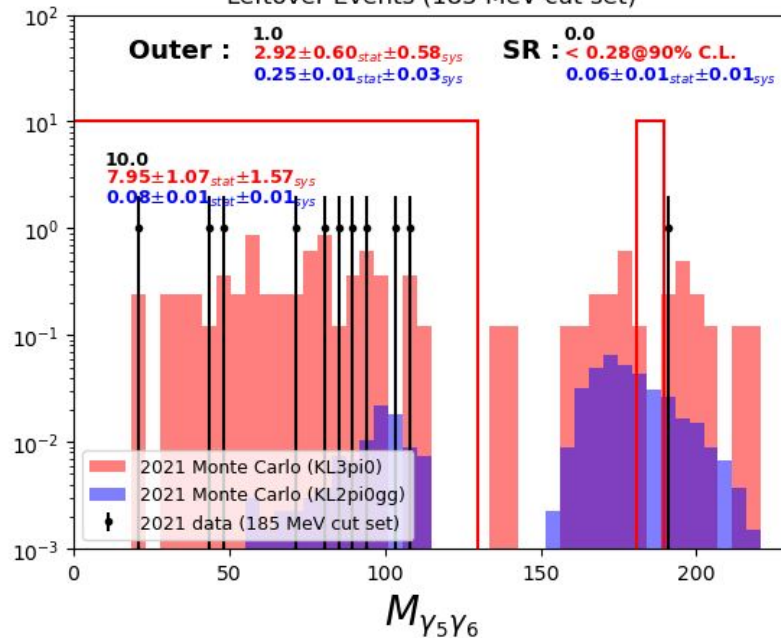


180-185

Leftover Events (180 MeV cut set)

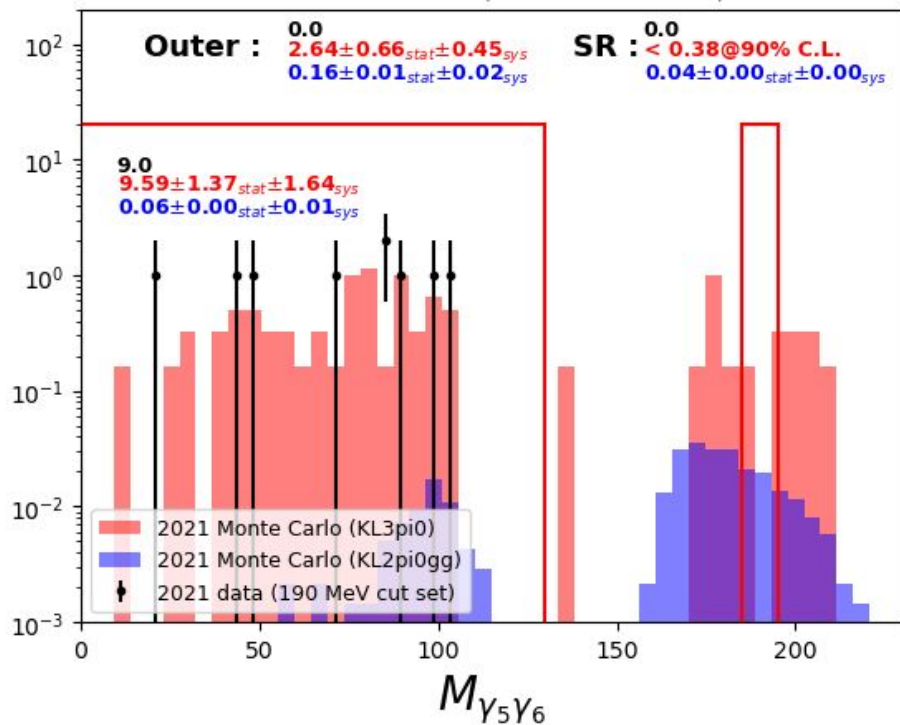


Leftover Events (185 MeV cut set)

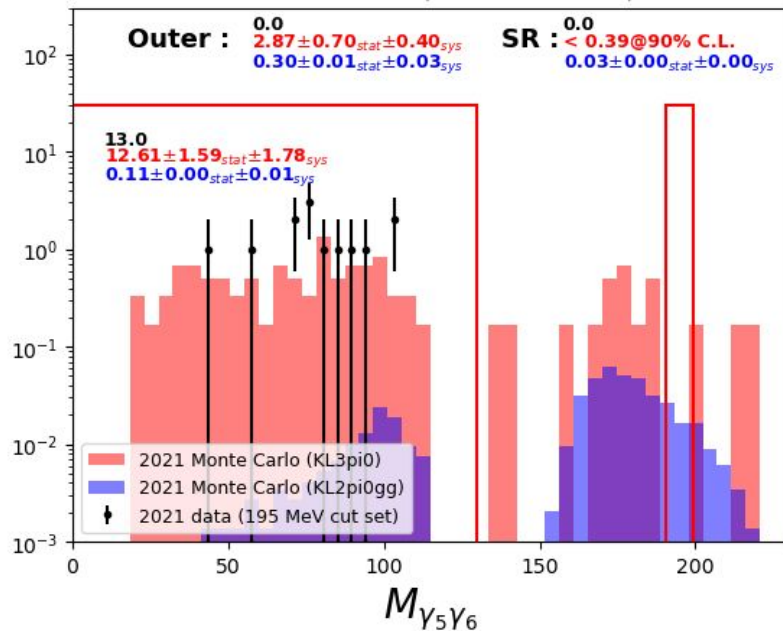


190-195

Leftover Events (190 MeV cut set)

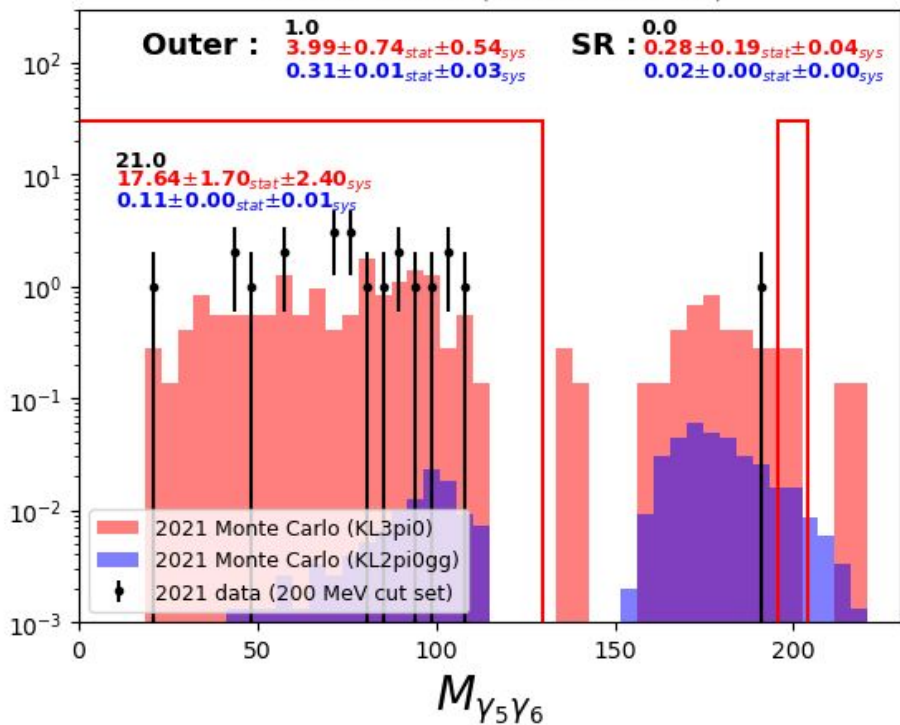


Leftover Events (195 MeV cut set)

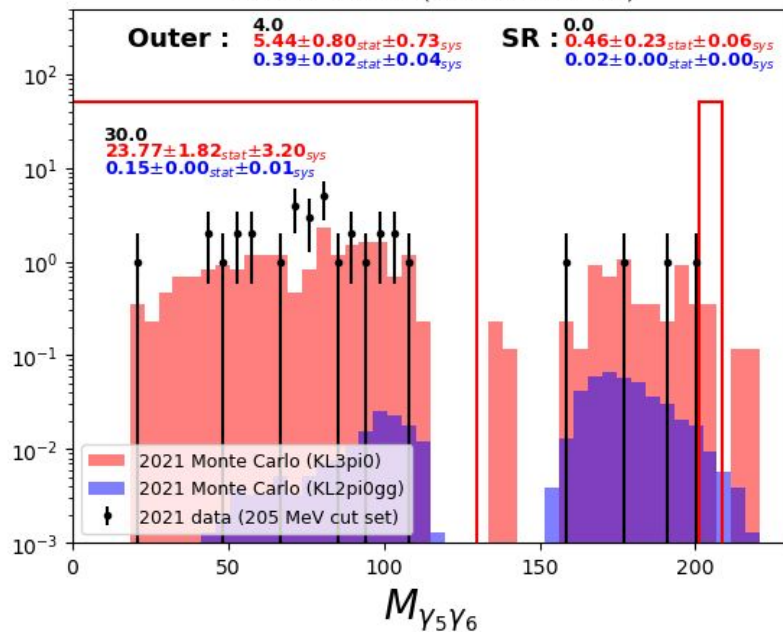


200-205

Leftover Events (200 MeV cut set)

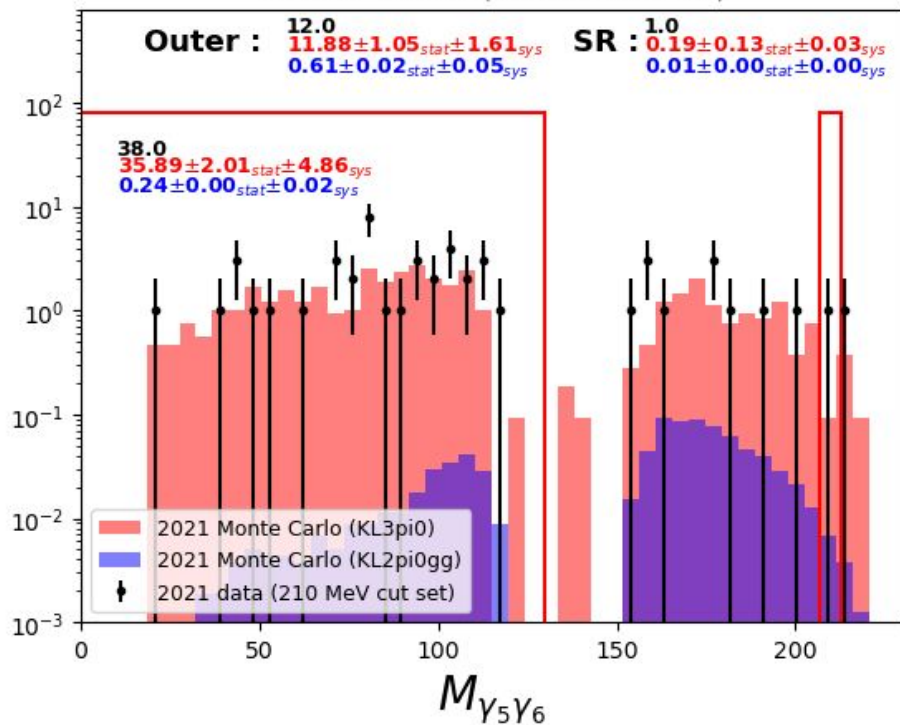


Leftover Events (205 MeV cut set)

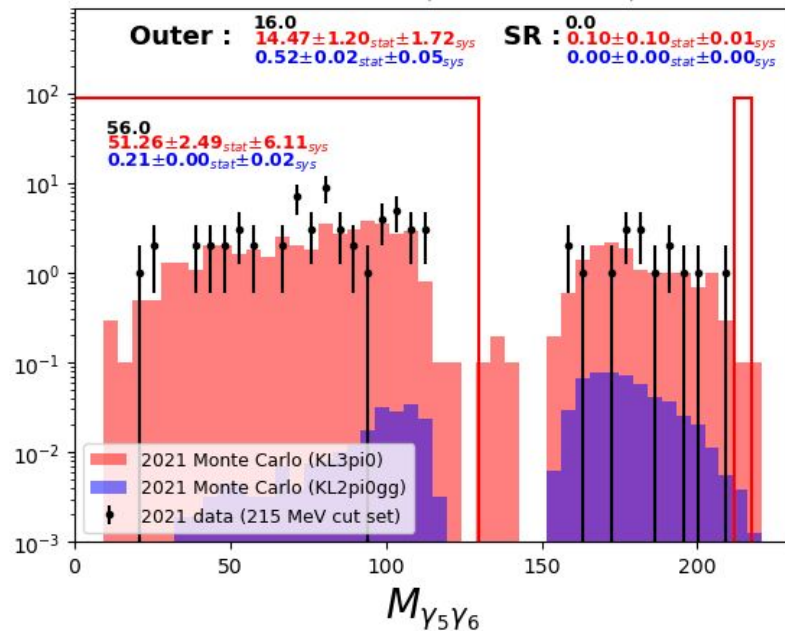


210-215

Leftover Events (210 MeV cut set)



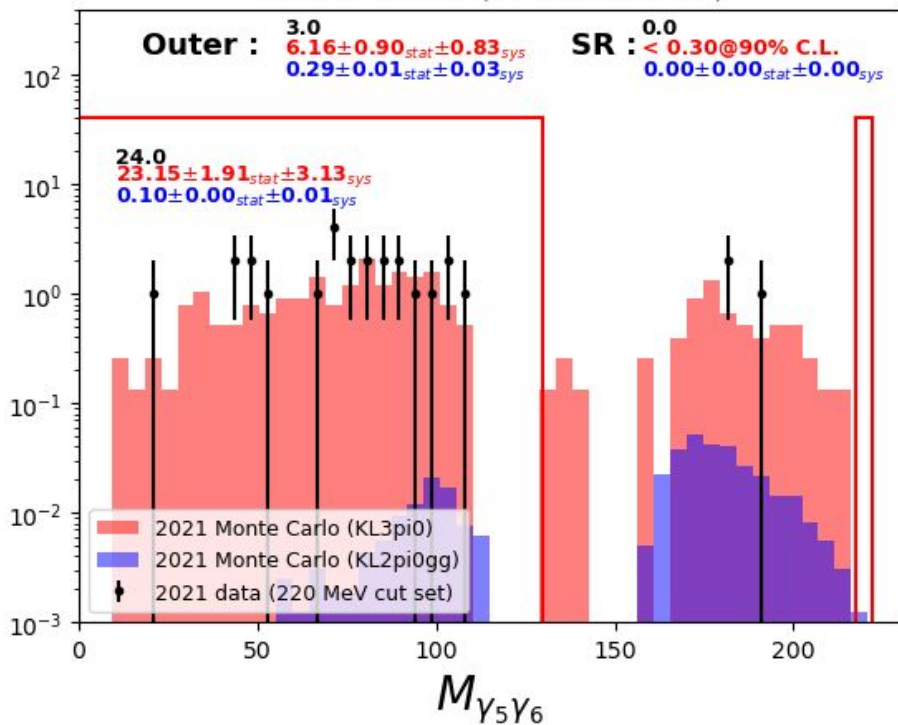
Leftover Events (215 MeV cut set)



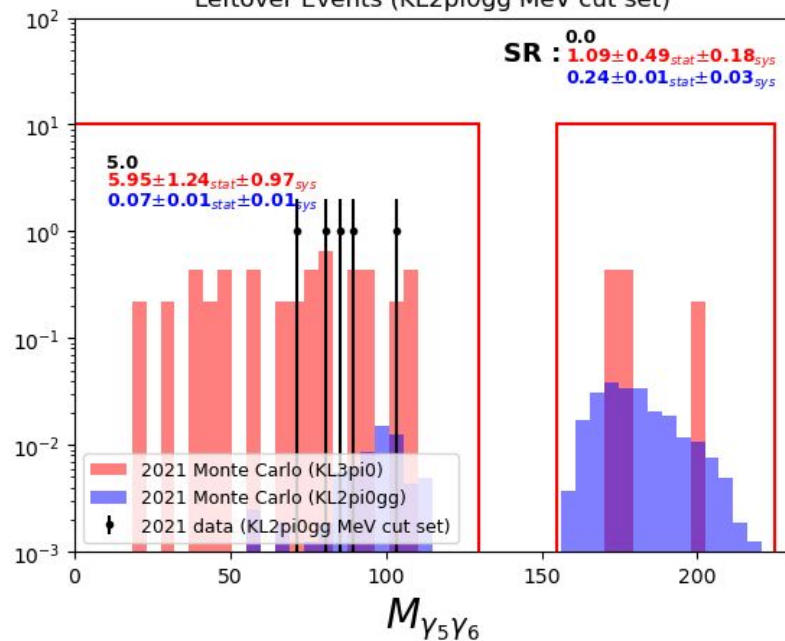


220 MeV + KL2pi0gg

Leftover Events (220 MeV cut set)



Leftover Events (KL2pi0gg MeV cut set)



Backup: Details on CL Ratio

1. For each cut condition, I evaluated the signal acceptance in mass bins with enough statistics (some cases it is low).
 2. Select a test mass x_m , and determine the acceptance at that mass bin (width 1 MeV) for the two nearest analysis results.
 3. Scan signal branching ratio values, and evaluate $s + b$ and b .
 4. For each value of $s + b$ and b , evaluate the probability ratio for the two nearby analysis,
- width at the test mass (weights closest result more)

$$r = \frac{P(n_{s+b} \leq n_{obs} | s+b)}{P(n_b \leq n_{obs} | b)}$$

5. Calculate the gaussian weighted ratio for the two nearest analyses, where $\text{Gaus}_i(x_m)$ is the amplitude of the gaussian fit mass

$$r_w = \frac{\sum_i \text{Gaus}_i(x_m) \cdot r_i}{\sum_i \text{Gaus}_i(x_m)}$$

6. Choose the r_w closest to 10%, and the corresponding branching ratio is the 90% C.L. upper limit

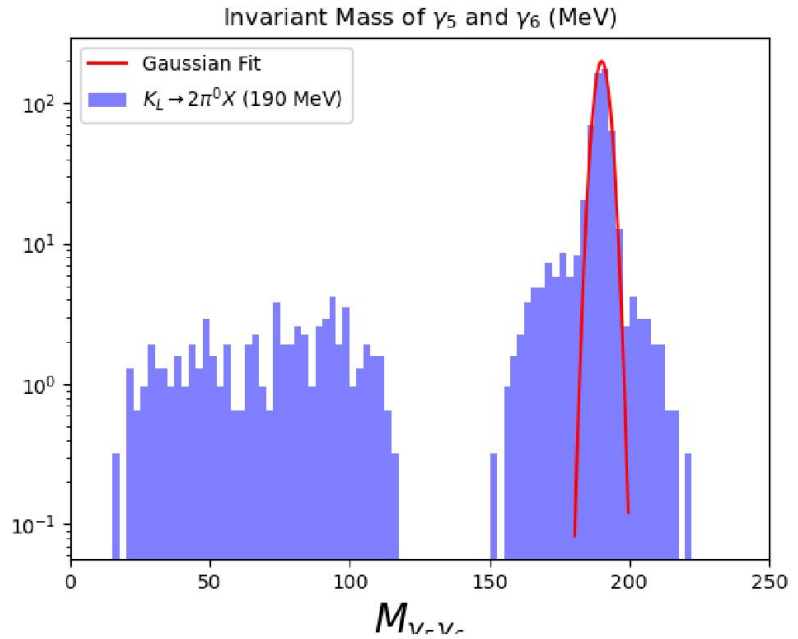
Backup: Cut Optimization for KL2pioX Analysis

Regions of Interest

- X masses studied range from 160 - 220 MeV (5 MeV intervals)
- Regions of interest are decided based on the signal Monte Carlo mass widths

$$\mu_{peak} \pm 2\sigma$$

- Analysis of $KL \Rightarrow 2\pi^0 X$ ROI is above 160 MeV

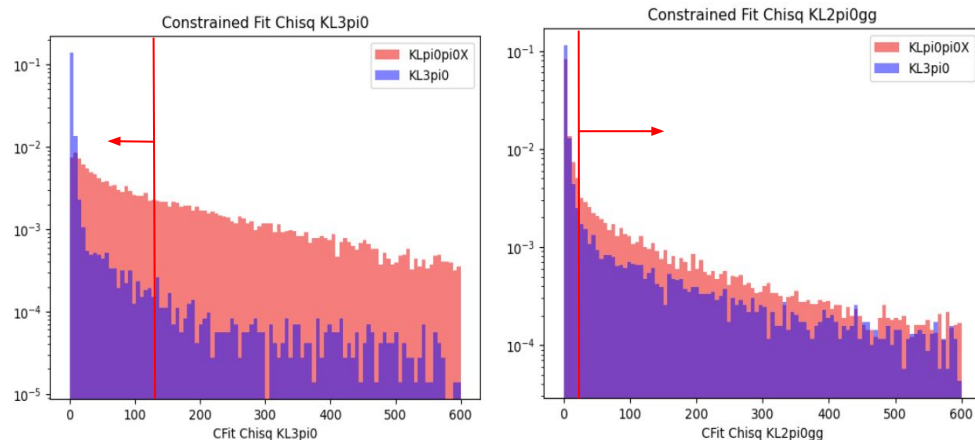


(g) Gaussian fit for X mass of 190 MeV.

Important Variables

$$\chi^2 = \sum_i \frac{(x_{i, fit} - x_{i, meas})^2}{\sigma_x^2} + \frac{(y_{i, fit} - y_{i, meas})^2}{\sigma_y^2} + \frac{(E_{i, fit} - E_{i, meas})^2}{\sigma_E^2}$$

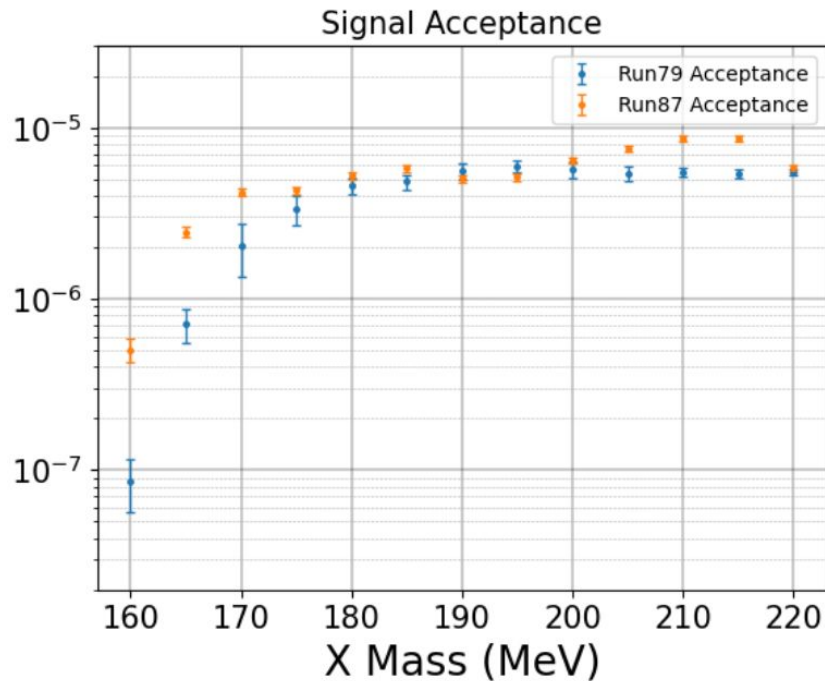
1. KL \Rightarrow 2pi0X Constrained Fit χ^2 :
 - a. Only assume two pion mass constraints.
 - b. Require this value to be *small*.
2. KL \Rightarrow 3pi0 Constrained Fit χ^2 :
 - a. Used an additional pion mass constraint.
 - b. Require this value to be *large*.
3. MinCSDDLVal
 - a. Developed for n-gamma discrimination
 - b. Effective at discriminating odd cluster shapes (photo-nuclear interactions)



Example Cuts

Flexible Cut Conditions: Optimization

- Need to optimize cut conditions from the previous slide.
- Same cut set for all X-masses is not efficient
 - Different X mass analyses want different thresholds.
- FOM study for each individual X mass \Rightarrow Dynamic thresholds
- Optimize within each X mass ROI:
 - ROI $\Rightarrow \mu_{peak} \pm 2\sigma$

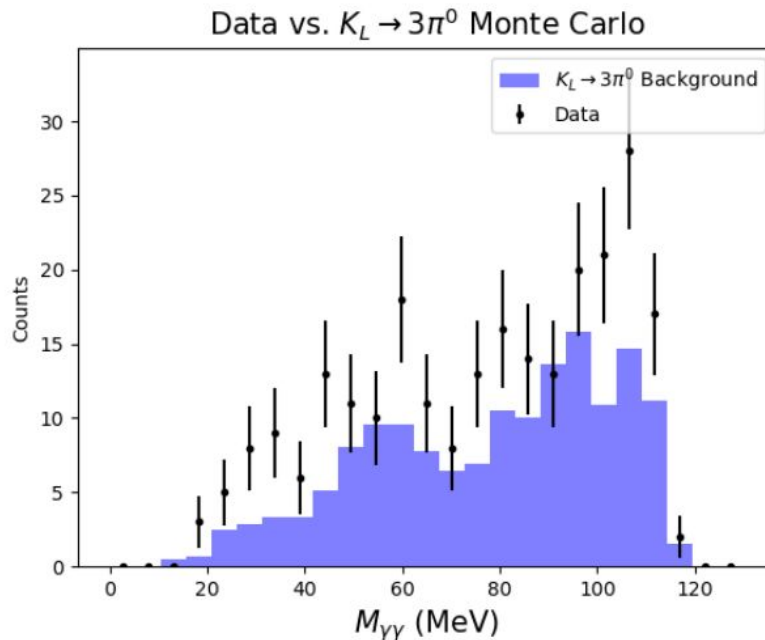


$$f_3(N_{sig}, N_{bkg}) = \sqrt{2 \ln(Q)}, \quad Q = e^{-N_{sig}} \left(1 + \frac{N_{sig}}{N_{bkg}} \right)^{N_{sig} + N_{bkg}}$$

Backup: Control Region Study

Low Mass Discrepancy: Background Level Scale Factor

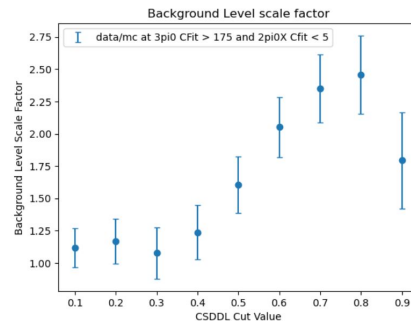
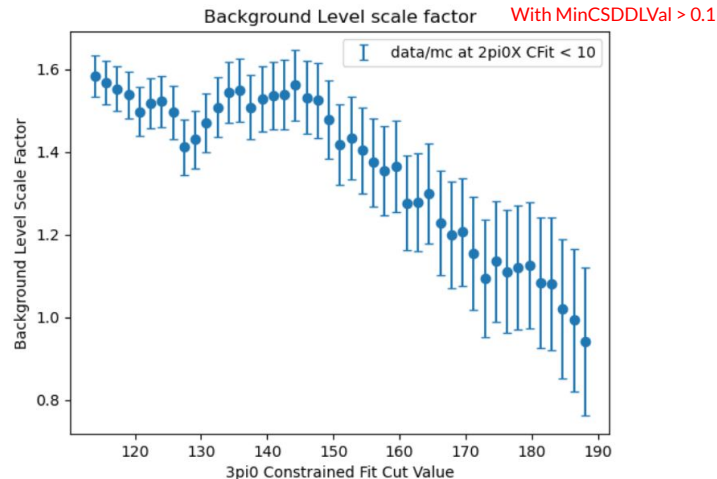
- Under loose cut conditions, a discrepancy between data and Monte Carlo emerges.
- Observed in the low mass tail of the $M_{\gamma\gamma}$ distribution of $K_L \Rightarrow 3\pi^0$ data
- \Rightarrow Energy mis-measurement is not well understood (photo-nuclear effect???)
- Introduce a scale factor on the background level in the signal region.





Control Region Analysis

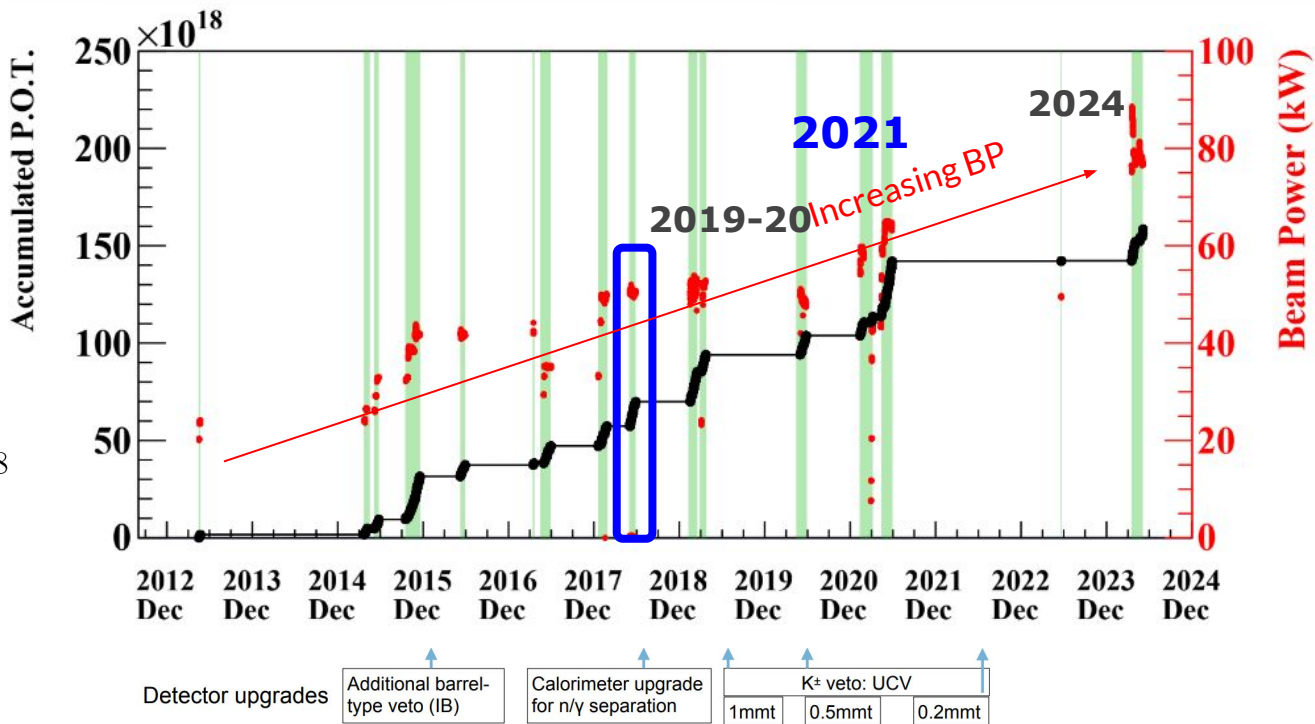
- Study the low mass region while changing the $3\pi_0$ constrained fit cut value.
 - Data/mc \Rightarrow “Background level scale factor”
- Found large correlations with $csddl$, for example...
- For a given cut set, the background level scale factor is evaluated considering multivariate correlations (tends to be ~ 2)



Dark Pair Backup Slides:



KOTO Run History

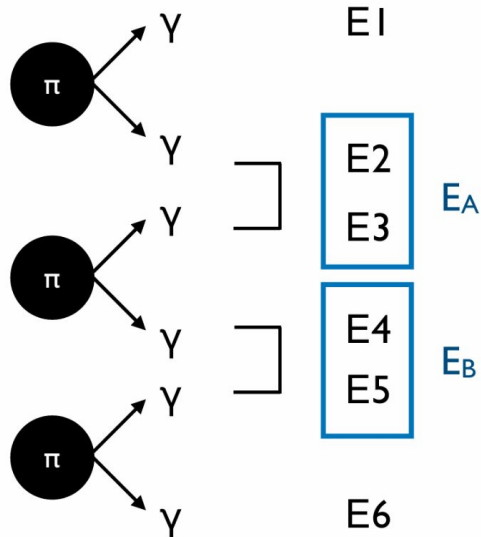


- 2021 Data:
 - Average Beam Power ⇒ 60kW
 - In 2021 data Analysis: $\approx 40 \times 10^{18}$

➤ Nearly 4 times larger than June 2018 data taking.

Further Explanation of Double Fusion Rejection

**Slide taken from internal KOTO slides by Chieh Lin



Vertex is well reconstructed \implies Opening angle can be obtained.

$$2E_1(E_2 + E_3)(1 - \cos \theta_{12}) = 2(E_4 + E_5)E_6(1 - \cos \theta_{56}) = M_X^2 \quad (\text{eq. 1})$$

$$2E_1E_2(1 - \cos \theta_{12}) = 2E_5E_6(1 - \cos \theta_{56}) = M_{\pi^0}^2 \quad (\text{eq. 2})$$

By substituting blue box in (eq. 1), we have $\implies \frac{E_3}{E_2} = \frac{E_4}{E_5}$

Define “k” as $E_3 = kE_A$ and $E_4 = kE_B$

$$2E_3E_4(1 - \cos \theta_{34}) = M_{\pi^0}^2 \implies k^2 = \frac{M_{\pi^0}^2}{2E_AE_B(1 - \cos \theta_{34})}$$

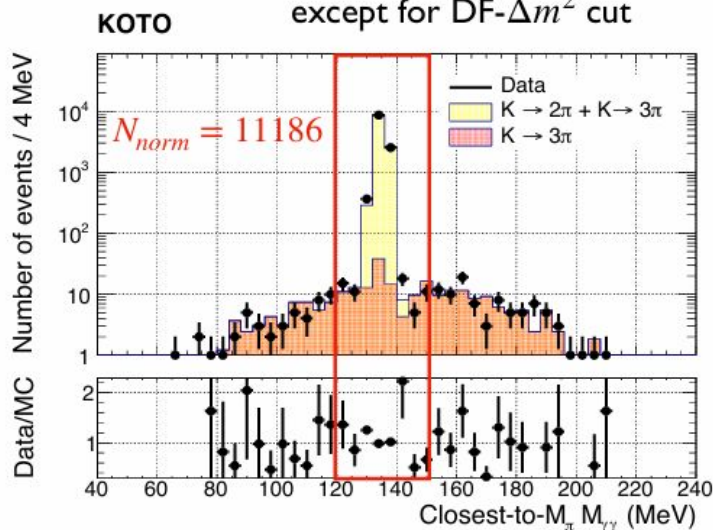
If an event is induced by such topology, $0 < k < 1$ is expected.



$K_L^0 \rightarrow \pi^0 \pi^0$ suppression and normalization

- By requiring M_X to be outside of $M_{\pi^0} \pm 15$ MeV, 99.2% of the $K_L^0 \rightarrow \pi^0 \pi^0$ events can be removed.
- Remaining event property:
Other pairing have smaller $|m_{12} - m_{34}|$.
- Loop over six possible photon pairs and select the one that is closest to M_{π^0} .
- If it is within $M_{\pi^0} \pm 15$ MeV, this event is rejected.
- $\sim 100\%$ $K_L^0 \rightarrow \pi^0 \pi^0$ background can be suppressed.
- Normalization:
 - The red box is dominated by $K_L^0 \rightarrow \pi^0 \pi^0$ and used to normalize MC to data.

Impose all selection criteria
except for $DF-\Delta m^2$ cut

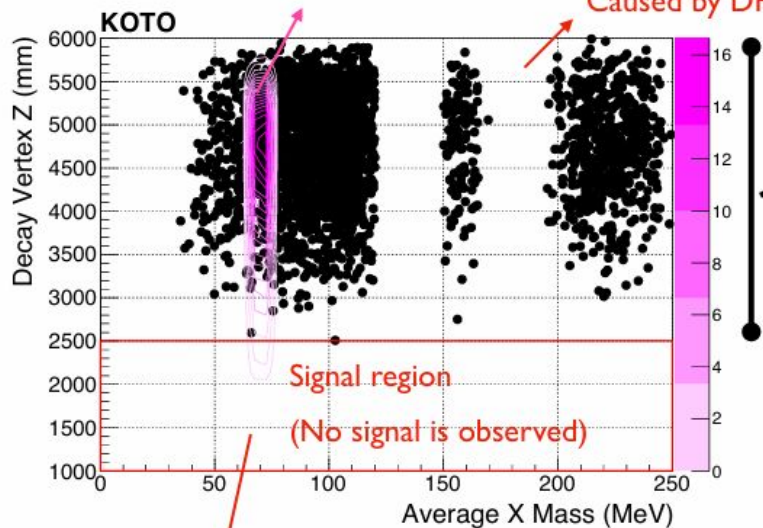


Signal region and background estimation

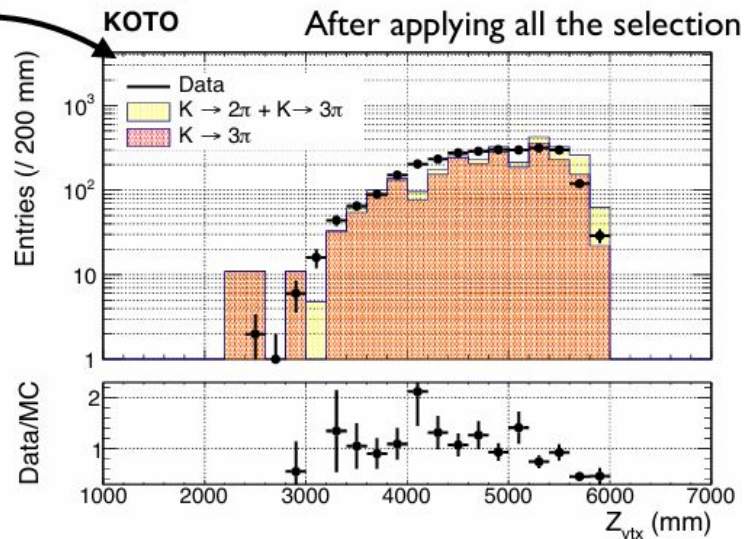
Signal contour of $M_X = 70$ MeV.

● Data points Assuming BR = 10^{-6} .

Caused by DF- Δm^2 cut



Downstream region can be predicted by $K_L^0 \rightarrow 3\pi^0$ MC.



$$N(K_L^0 \rightarrow 3\pi^0) = 0.61 \pm 0.61$$

$$N(K_L^0 \rightarrow \pi^0\pi^0) < 0.62 \text{ (90\% CL)}$$

Acceptance Distribution

Acceptance drop around 160-190 MeV caused by double fusion cut selection.

Acceptance loss near pion mass is due to $K_L^0 \rightarrow \pi^0 \pi^0$ background

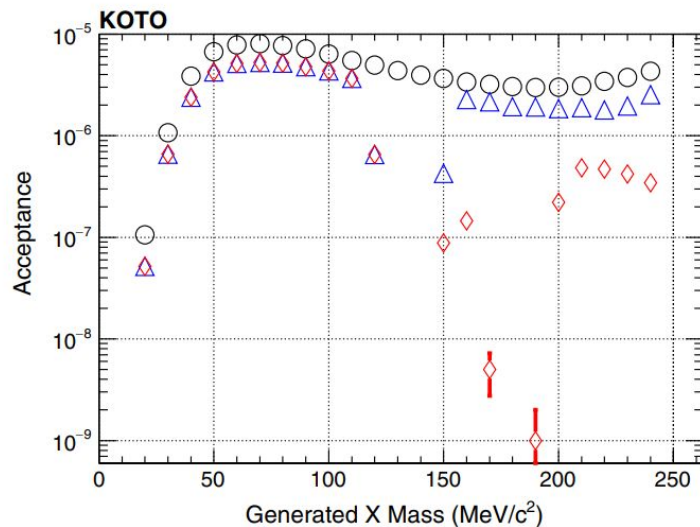
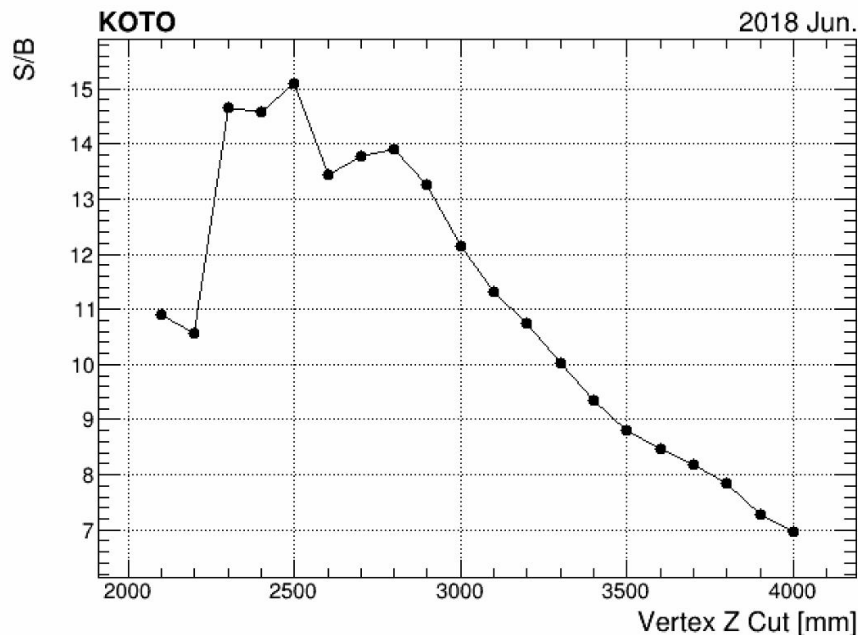


FIG. 5. Signal acceptance versus M_X . The red diamond, blue triangle, black circular markers indicate the acceptance after imposing all the cuts, all but the ΔM_{DF}^2 cut, and further excluding the cuts against the $K_L^0 \rightarrow \pi^0 \pi^0$ background, respectively.

Vertex Z Optimization

Require upstream decay vertex position to minimize $KL \Rightarrow 3\pi^0$ fusion background.

Longer ToF \Rightarrow further separation of hit positions.



Dark “Photon”: Mass Dependence on UL

Larger mass \Rightarrow Lower acceptance
mostly due to the high deposit
energy requirement (550 MeV).

