

1 Breakdown data analysis – preliminary report

2 Paarangat Pushkarna

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1 Analysis aim

Visual inspection of dark current waveforms recorded by upstream and downstream faraday cups (FCs) is useful for planning future analyses of dark current. Previous RF test stand data (X-Box 3 2018-2019) is filtered to isolate breakdown events, and dark current signals from breakdown and pre-breakdown pulses are visualised. Additional filtering measures are identified to improve breakdown isolation. Typical dark current signals are obtained, and signal characteristics benefitting from further investigation are highlighted. It is found that breakdown dark current waveforms closely match pre-breakdown waveforms until the point of breakdown ignition, for both upstream and downstream FCs.

2 Breakdown candidate filtering

The dark current waveforms inspected must be those from true breakdowns. In previous datasets, it is possible that non-breakdown events are tagged as breakdowns due to conservative thresholds on power reflected, or structure outgassing, for example. Additionally, structure breakdowns must be separated from breakdowns occurring in the pulse compressor or load, as we are only interested in structure performance. A data filtration pipeline that reduces a pool of breakdown candidates to the true breakdowns has been implemented. Similar pipelines are well documented [Raj16; Luc18; Woo15; Pus22], so a brief summary is given alongside any notable differences from existing literature.

2.1 Boolean filtering and noise rejection

The most straightforward filtering is on boolean flag variables indicating tripping of thresholds on reflected power and FC signals. Labelling of RF pulses in previous test stand data is explained on page 17 of [Pus22]. For all pulses labelled with log type “2”, indicating a breakdown pulse, the following logic statement must be met by boolean flag variables:

$$BD_{\text{structure}} = ((DC_UP_{\text{flag}} \vee DC_DOWN_{\text{flag}}) \wedge PSR_{\text{flag}}) \wedge \neg PER_{\text{flag}}, \quad (1)$$

where \wedge is the logical “and” operator, and \neg is the logical “not” operator, and \vee is the logical “or” operator. This indicates that the thresholds on the upstream or downstream FC can be tripped, but in addition the reflected power from the structure (PSR) must be tripped. Also, a tripped threshold on PER, power reflected from the load, indicates a load breakdown, which must be excluded. Equation 1 is more stringent than the logic of [Luc18] which reads:

$$BD_{\text{structure}} = (DC_UP_{\text{flag}} \vee DC_DOWN_{\text{flag}} \vee PSR_{\text{flag}}) \wedge \neg PER_{\text{flag}}, \quad (2)$$

and less stringent than the logic of [Pus22], which reads:

$$BD_{\text{structure}} = (PLR_{\text{flag}} \wedge PKR_{\text{flag}} \wedge DC_UP_{\text{flag}} \wedge DC_DOWN_{\text{flag}} \wedge PSR_{\text{flag}}) \wedge \neg PER_{\text{flag}}. \quad (3)$$

Equation 3 was made less stringent because a structure breakdown may not release enough charge to trigger reflected signal thresholds in the klystron and pulse compressor directional

47 couplers. It was thought that abandoning Equation 3 would admit breakdowns of smaller
 48 ‘intensity’. Equation 2 was made more stringent because triggering of PSR was thought a
 49 necessary BD criterion, but the \vee operator between upstream and downstream FC thresholds
 50 was maintained, as it is not guaranteed that a breakdown will trip both thresholds.

51 Calculation of average input power to the structure, as well as the flat top pulse width,
 52 is defined in [Luc18] and [Pus22]. Average input power must be greater than 650kW, and
 53 flat top pulse width less than 300ns, for a pulse to be considered as part of normal test stand
 54 operation. Pulses not meeting this criteria are considered noise.

55 2.2 Filtering on total signal energy

56 The total pulse energies, U_{INC} , U_{REF} and U_{TRA} , are determined in the following way:

$$U_{\text{SIGNAL}} = \int P_{\text{SIGNAL}}(t)dt \text{ [Joules]}, \quad (4)$$

$$P_{\text{SIGNAL}}(t) = C_2(A_{\text{SIGNAL}}(t))^2 + C_1A_{\text{SIGNAL}}(t) + C_0 \text{ [Watts]}. \quad (5)$$

57 Define two quantities m_{TRA} and m_{REF} using the total pulse energies, according to [Raj16]:

$$m_{\text{TRA}} = \frac{U_{\text{INC}} - U_{\text{TRA}}}{U_{\text{INC}} + U_{\text{TRA}}} \text{ [unitless]} \quad (6)$$

$$m_{\text{REF}} = \frac{U_{\text{INC}} + U_{\text{REF}}}{U_{\text{INC}} - U_{\text{REF}}} - 1 \text{ [unitless]}. \quad (7)$$

58 Better separation of breakdowns from non-breakdowns is achieved by putting thresholds on
 59 m_{REF} and m_{TRA} . This can be due to overly conservative breakdown thresholds, or gassing
 60 from the structure in early conditioning periods. Call the 2D-space defined by m_{REF} and
 61 m_{TRA} , “ m -space”. A breakdown implies that U_{REF} is closer to U_{INC} , so the denominator in
 62 Equation 7 gets smaller, making m_{REF} bigger. A non-breakdown implies that $U_{\text{REF}} \ll U_{\text{INC}}$ so
 63 the fraction in Equation 7 goes to 1, and m_{REF} goes to zero. This is better visualised on a log
 axis in Figure 1.

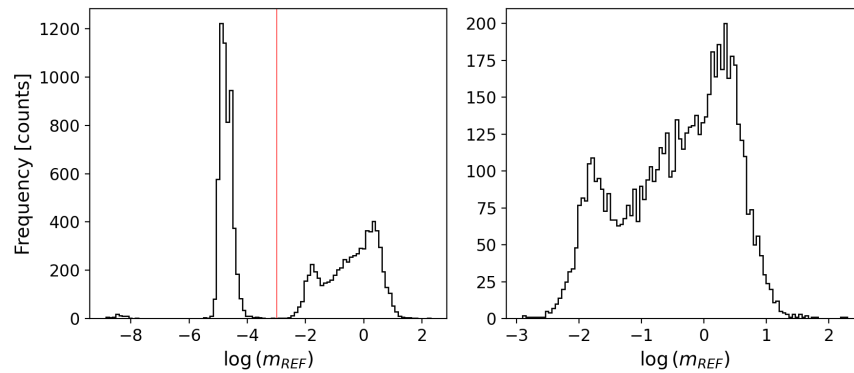


Figure 1: Left: the full space of m_{REF} , leftmost cluster showing breakdown candidates to reject due to low reflection. Right: distribution of m_{REF} after rejecting cluster to left of red line.

64

65 Apply a threshold $\log(m_{\text{REF}}) > -3$, indicated by the red line in Figure 1. Since the
 66 leftmost cluster in Figure 1 (left) has $\log(m_{\text{REF}}) \in (-6, -4]$, we suspect this reflection co-
 67 efficient is too low, and breakdown candidates in the leftmost cluster are rejected, modulo
 68 direct waveform inspection. It seems that applying this threshold automatically filters m_{TRA}
 69 as indicated in Figure 2. This means the two peaks in m_{TRA} and m_{REF} spaces are related. Since both are well clustered at low values of m_{TRA} and m_{REF} , we can justify their rejection.

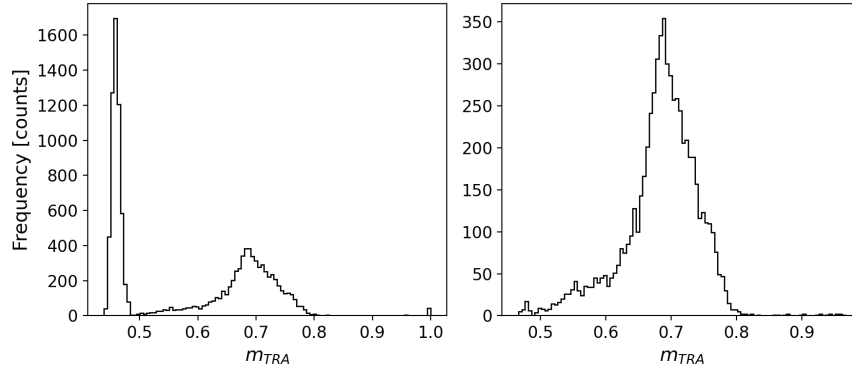


Figure 2: Left: the full space of m_{TRA} , leftmost cluster showing breakdown candidates to reject due to low reflection. Right: distribution of m_{TRA} after rejecting cluster to left of red line.

70

71 As a sanity check to ensure that the discarded candidates are not breakdowns, we directly
 72 examine the pulses that make up the leftmost clusters in figures 1 and 2. We also put a
 73 minimum threshold of 10MW on the average power to enable better waveform visualisation.
 74 We are confident that pulses within this rejected cluster are not breakdowns because the
 75 reflected waveforms are much diminished in intensity compared to their peak power during
 a breakdown (c.f. existing test stand literature).

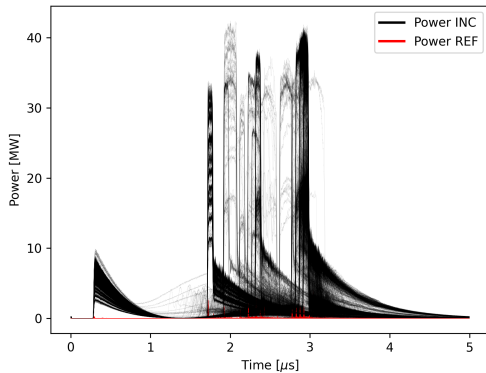


Figure 3: Waveforms belonging to the leftmost peak in figures 1 and 2

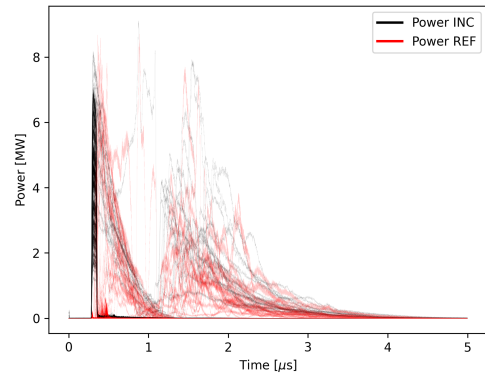


Figure 4: Non-standard pulses passing through average power filters.

76

77 Another straightforward filter is to exclude non-standard pulses that have slipped past
 78 the pulse width and average power filtering step. A subset is identified where the leading

79 edge of the flat-top occurs less than $1\mu\text{s}$ into the waveform window. These pulses cannot be
 80 compared to other breakdowns because charging of the pulse compressor is absent, and no
 81 tail is observed on the falling edge, as with other (non-)breakdown pulses. We are therefore
 82 justified in discarding these pulses, which are visualised in Figure 4. The m_{REF} distribution
 after discarding these spurious pulses is shown in Figure 5.

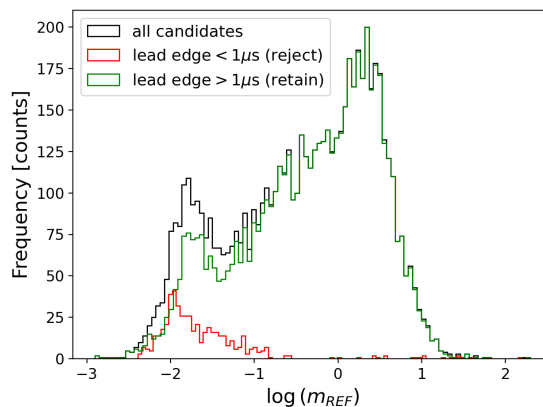


Figure 5: Rejection of spurious breakdown candidates with non-standard incident power, and lack of pulse compressor charging time.

83

84 2.3 TOP (Time-of-Propagation) exclusion in m_{REF} space

85 Despite filtering non-standard pulses in Figure 4, there still remains a protrusion on the
 86 left side of the distribution for remaining breakdown candidates in Figure 5 (in green), for
 87 $\log(m_{\text{REF}}) \in [-2, -1.3]$. A last, physically motivated filter can be instituted to investigate
 88 causes of this subsidiary peak.

89 Boolean flags triggered on reflected signal thresholds are used to isolate structure break-
 90 downs. However, cell-by-cell breakdown location is discerned from the raw RF waveforms
 91 incident, reflected and transmitted through the structure, using the “Time-of-Propagation”
 92 (TOP) and “Edge Correlation” techniques [Woo15; Luc18; Raj16]. TOP is calculated by
 93 subtracting the falling edge time of the transmitted waveform from the rising edge time of
 94 the reflected waveform. Usually, the 90 percent falling edge, and 10 percent rising edge times
 95 are used. In our analysis, we use a 75 percent falling edge on the transmitted waveform,
 96 and 25 percent rising edge on the reflected waveform, for better robustness to pulse shape
 97 aberrations. The condition

$$t_{\text{TOP}} \in [-t_{\text{fill}}, t_{\text{fill}}] \text{ or } t_{\text{TOP}} + t_{\text{fill}} \in [0, 2t_{\text{fill}}] \quad (8)$$

98 must hold for breakdowns occurring within the structure. TOP cannot exceed structure
 99 fill time for any structure breakdown. We can use the bounding condition in equation 8 to
 100 exclude breakdowns occurring outside the structure, for example in the RF load. While these
 101 should have been excluded by boolean thresholds, we ensure their rejection by calculating
 102 TOP and structure fill time (64.55ns).

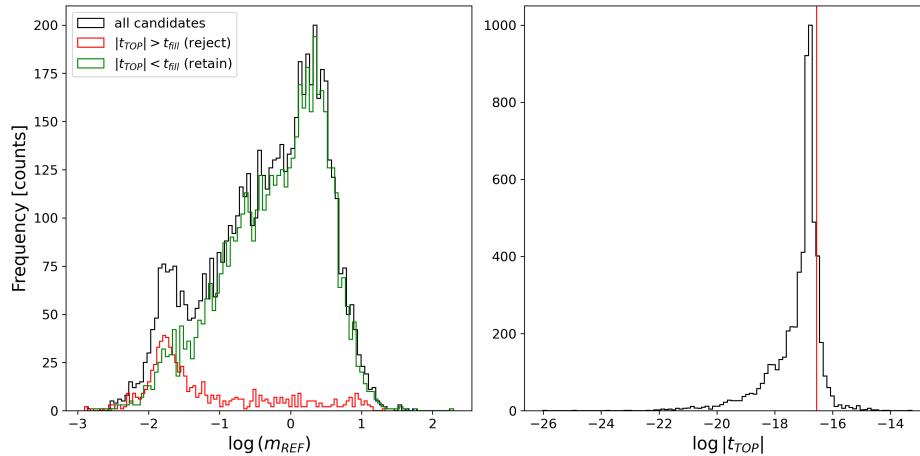


Figure 6: Rejection of spurious breakdown candidates with $|t_{TOP}| > t_{fill}$. Log-space used on $|t_{TOP}|$ to aid visualisation. Red-line showing cut threshold on $|t_{TOP}|$.

103 The subsidiary peak is well accounted for by pulses with TOP greater than the structure
 104 fill time (Figure 6). Imperfections in edge time calculations should mitigate impact on other
 105 parts of the distribution, which are already minimal when compared to filters based on pulse
 106 count, time stamp, and average power. Physical reasons for the red peak in Figure 6 relate to
 107 power reflection on timescales longer than t_{fill} , which points to load breakdowns and vacuum
 108 activity in the line between the hybrid board and structure as possible culprits.

109 There are two similar, separate lines in the XBOX3 dataset. Only data for line A has
 110 been shown, but the same filtering steps have been undertaken for line B. In what follows,
 111 calculations will be demonstrated mostly on line A, with the understanding that identical
 112 steps must be taken on data from line B. Differences between the two datasets, in filtering or
 113 otherwise, will be raised as they arise. As an example, the TOP filtering step is demonstrated
 114 in Figure 7 below, after leading edge, power, pulse width, flag and log type filtering has been
 115 undertaken. A summary of the filtering steps taken to remove spurious breakdown candidates
 116 is:

- 117 1. Boolean filtering by breakdown flags from triggered thresholds, and log types
- 118 2. Filtering noise and non-standard waveforms with average power and pulse width thresh-
 119 olds
- 120 3. Removing pulses with flat-top leading edges less than $1\mu s$, and with absent pulse com-
 121 pressor charging times
- 122 4. Removing pulses with $\log(m_{REF}) < -3$, as this reflection coefficient is too small to
 123 constitute breakdown
- 124 5. Removing pulses with breakdown TOP larger than the structure fill time.

125 Direct, numerical thresholds on average power and pulse width are avoided, other than those
 126 to remove obviously noisy pulses. Instead, cuts based on general physical principles, such as

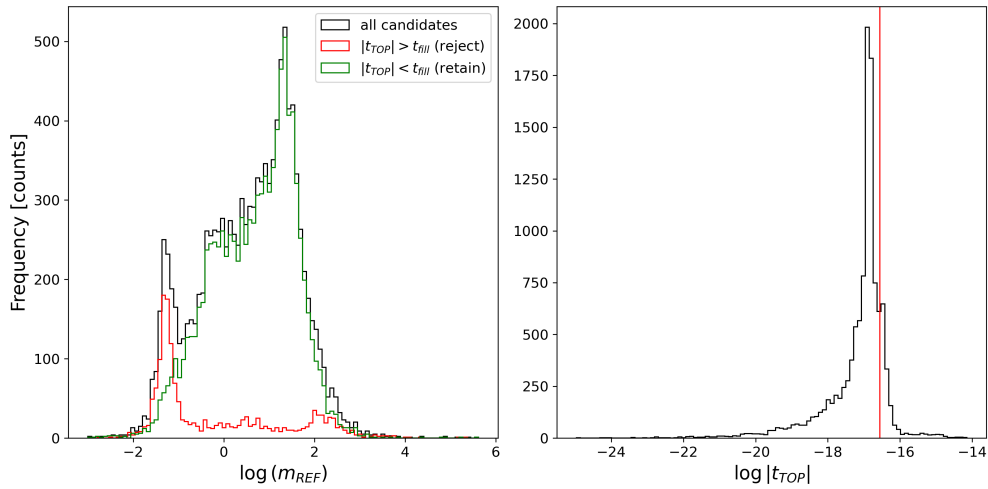


Figure 7: TOP rejection for line B dataset.

127 uniformity of incident pulse shape (to compare apples with apples), or structure fill time as
 128 a bound on breakdown location, have been adopted. Where doubts occur, the waveforms of
 129 the discarded pulses have been directly inspected to ensure that genuine breakdowns are not
 130 lost. This work is the first to exclude pulses by taking logs of the reflection coefficient, to
 131 expose non-breakdowns that in previous analyses would have been treated as breakdowns.
 132 Without putting m_{REF} on a logarithmic axis, the candidates rejected in step 4 above could
 133 not have been differentiated.

134 2.4 2d m -space visualisation

135 Having followed the steps outlined above, we can plot $\log(m_{\text{REF}})$ against m_{TRA} to examine
 136 the relationships between scattering parameters. Additionally, the impact of filtering steps
 137 on clusters in the 2d-space can also be observed. The first filtering step however, that of
 138 discarding pulses with $\log(m_{\text{REF}}) < -3$ has been omitted from the 2d plots in Figure 8
 139 for clarity of visualisation. The line B dataset contains more breakdowns than line A, and
 140 a range of $\log(m_{\text{REF}})$ values with a larger upper bound. If $\log(m_{\text{REF}})$ can be thought of
 141 as a representation of breakdown magnitude, then line B appears to have more numerous
 142 breakdowns that are also more severe than line A. This is why the bottom right 2d histogram
 143 in Figure 8 appears to have more empty space – a select few events have large $\log(m_{\text{REF}})$
 144 values, creating isolated bins on the $\log(m_{\text{REF}})$ axis. The cause of this discrepancy is not
 145 known. The DUT on line B was a TD24 cavity that had not been baked out, whereas
 146 line A hosted a baked out TD24 structure. A first reason could be increased robustness to
 147 breakdown after bake out.

148 Another note is on the linearity of $\log(m_{\text{REF}})$ with m_{TRA} . If m_{TRA} and m_{REF} are connected to
 149 magnitude of charge released during breakdown, then the exponential relationship between
 150 m_{TRA} and m_{REF} may be explained by trying to find a connection with Fowler-Nordheim theory.
 151 It might just be that m_{TRA} and m_{REF} are real parts of the complex valued RF reflection and
 152 transmission coefficients, and are as such exponentially related, which could be the less

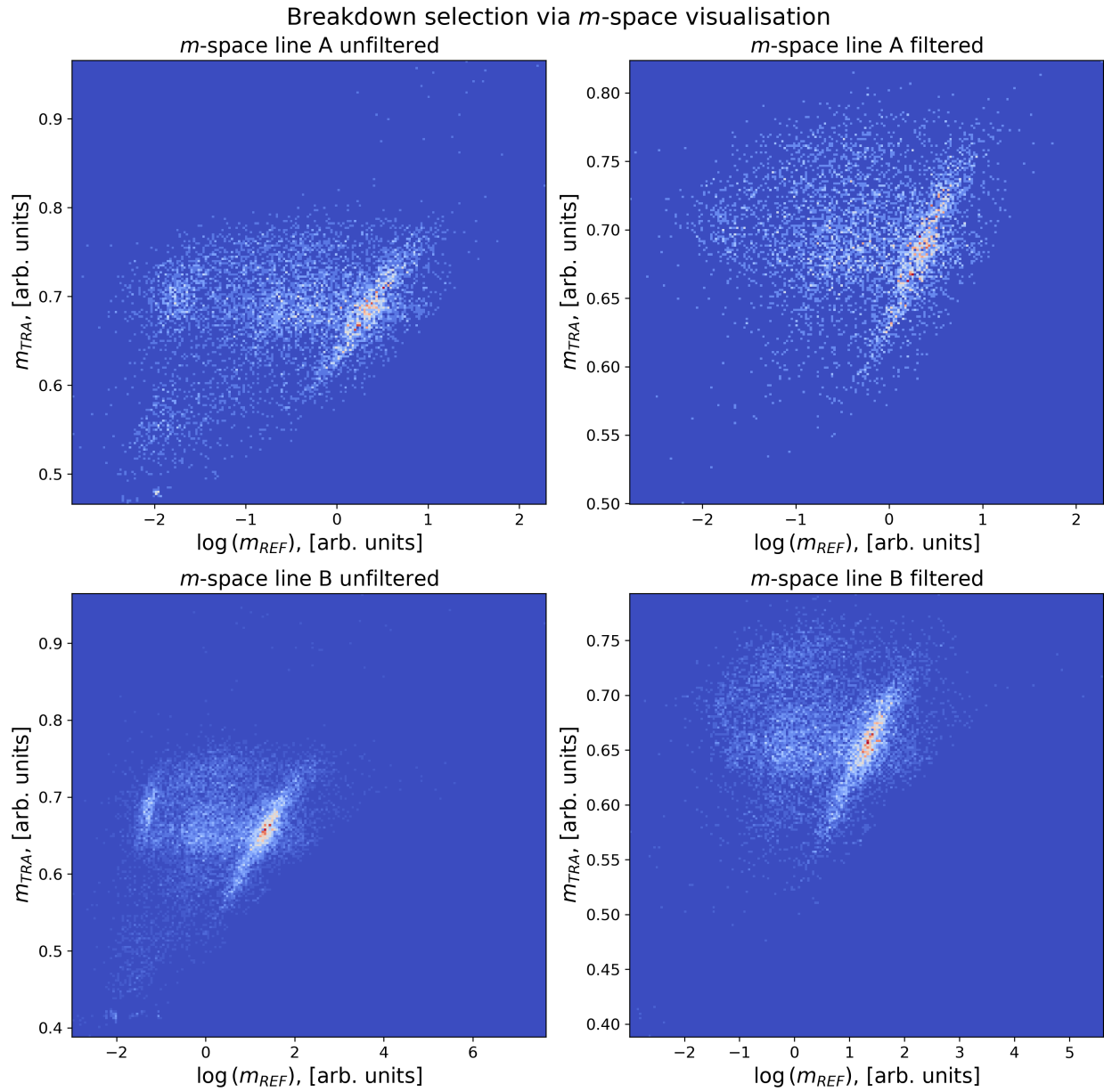


Figure 8: Final visualisation of scattering parameters after filtering steps.

153 interesting, and more likely, reason.

154 Finally, the clustering in the left column of Figure 8 can be explained partially by the
 155 filters applied. In the top left panel, at coordinates $(-2, 0.7)$ and in the bottom left panel at
 156 coordinates $(-1.75, 0.7)$, clustering due to pulses with TOP greater than the structure fill time
 157 is observed. It was found that after rejecting such pulses in the previous section, that this
 158 cluster was removed, as evidenced in the filtered 2d histograms in the right column of Figure
 159 8. Similarly, a cluster due to pulses with leading edges less than one microseconds manifests
 160 at the rough coordinates $(-2, 0.55)$ in the top left panel and at $(-2, 0.45)$ in the bottom left
 161 panel. This too is removed after filtering, and two main clusters remain. One evidences the
 162 linear relationship between $\log(m_{\text{REF}})$ with m_{TRA} , and another more diffuse cluster lies just
 163 behind, roughly at coordinates $(-0.5, \text{ and } 0.65)$ in both top right and bottom right panels.
 164 The physical reason for the diffuse cluster is not yet known, and it's relation to the main
 165 cluster is currently being probed. The diffuse cluster does not depend on the leading edge
 166 time of the incident power flat top.

167 Visualisation of m -space in 2d exposes several interesting behaviours, and enables detailed
 168 global data set inspection. This work is novel in plotting $\log(m_{\text{REF}})$ instead of just m_{REF} (as in
 169 [Raj16; Luc18]), and this has enabled finer isolation of breakdowns as compared to previous
 170 studies. This work is the first to reject breakdown candidates by TOP and structure fill
 171 time. m -space represents a useful projection of the full dataset onto two features, reducing
 172 dataset dimensionality, and allowing data of interest to be discriminated more conveniently
 173 for further analysis. This is preferable to an automated ML based dimensionality reduc-
 174 tion, as adopted by [Obe+22], since the features extracted are transparent, closed-form, and
 175 physically motivated. An example of an extended analysis may be a linear fit of $\log(m_{\text{REF}})$
 176 against m_{TRA} , and definition of a region of interest around the fitted line which captures data
 177 constituting the main cluster. Then, data falling inside and outside the region of interest
 178 can be examined separately, and physical reasons for the diffuse, secondary cluster narrowed
 179 down.

180 To highlight the utility of $\log(m_{\text{REF}})$ in studying breakdown behaviour, the variation of
 TOP with $\log(m_{\text{REF}})$ is shown in Figure 9. If $\log(m_{\text{REF}})$ represents the energy reflected as a

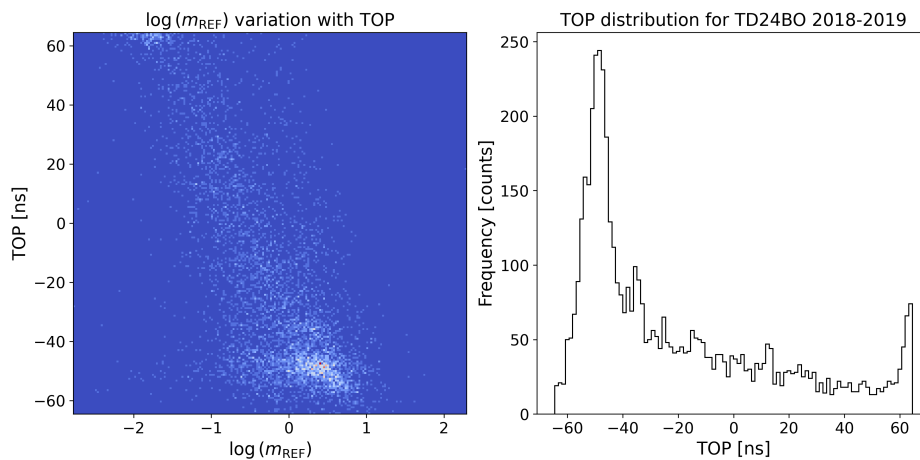


Figure 9: Variation of $\log(m_{\text{REF}})$ with TOP.

181 result of the breakdown, relative to the energy incident on the structure, then Figure 9 shows
 182 that not only are breakdowns concentrated at locations more upstream in the structure (the
 183 peak at $\text{TOP} \in [-60, -40]\text{ns}$, Figure 9 right) but that these breakdowns also reflected a
 184 greater proportion of the input energy than breakdowns occurring at locations downstream.
 185 That breakdowns occur in hot cells concentrated at the start of the structure was known
 186 [Woo15; Raj16; Luc18], but that they have correspondingly larger “intensities” is also in-
 187 teresting. This shows that this calculation, alongside others mentioned in the paragraphs
 188 above, are worth checking and formalising.

189 An important next step would solidify the interpretation of m_{REF} as an indicator of break-
 190 down intensity, by examining correlation of m_{REF} with charge measured on upstream and
 191 downstream faraday cups. Since a greater charge release should result in greater power
 192 reflection, m_{REF} should increase for pulses with large deflections in faraday cup signals. Evo-
 193 lution of breakdown intensity over the conditioning period, and association of breakdown
 194 intensity with ‘hot’ cell behaviour are possible questions that such a study could address.

195 3 Breakdown dark current waveform inspection

196 Once breakdowns are filtered, dark current waveforms can be retrieved. Input power varia-
 197 tion, and change in flat-top leading edge time, make it difficult to draw any inference from
 198 ‘persistence’ plots (several dark current waveforms plotted one over the other, with opacity
 199 adjusted). Instead, each dark current waveform is inserted as a row in a 2d matrix, with
 200 each cell containing the ADC counts recorded for that time step. The time base is common
 201 for all waveforms: 1250 samples at 250MSPS, meaning a 4ns sample spacing with a full $5\mu\text{s}$
 202 window. Individualised time data for each pulse is not available. This matrix is visualised as
 203 a 2d histogram or heatmap, so that global trends can be more conveniently exposed. Dark
 204 current heatmaps are shown in Figures 10 and 11.

205 The first μs (250 samples) of data in each waveform is used as a background sample,
 206 and the average background subtracted from each full waveform. The absolute value of each
 207 waveform is taken to assist visualisation and heatmap colour scaling, just for Figures 10 and
 208 11. Immediately clear is the leading edge time of dark current pulses in both upstream and
 209 downstream directions. This leading edge time is almost the same as the leading edge of the
 210 incident power flat top. Movement of the flat top within the $5\mu\text{s}$ window results in movement
 211 of the dark current leading edge. It is understandable that timing of dark current emission is
 212 correlated with incidence of RF power to the structure under test. Saturation of the 14-bit
 213 ADC in almost every breakdown dark current signal is apparent, with the maximum ADC
 214 count being 8000.

215 Most often, saturation occurs in the leading peak for the upstream signals, and then again
 216 in subsequent ‘aftershocks’, subsidiary peaks coming after the main peak. A leading peak
 217 is common to almost all waveforms, whilst the nature of aftershocks seems to change with
 218 upstream versus downstream signals, as well as the leading peak time-of-arrival. Aftershocks
 219 are less pronounced for the downstream signals, especially for dark current waveforms with
 220 leading edges at $\sim 3\mu\text{s}$. A gap between aftershocks and leading peaks appears for $\sim 3\mu\text{s}$
 221 waveforms in the upstream faraday cup, while no gap is apparent for waveforms with leading

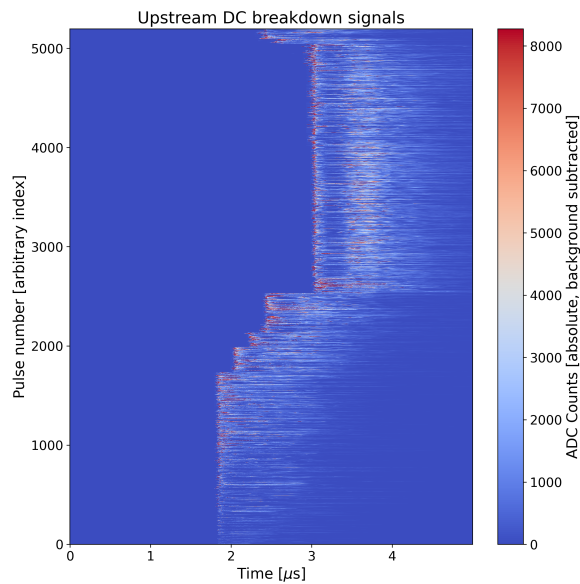


Figure 10: Upstream faraday cup waveforms for breakdown pulses.

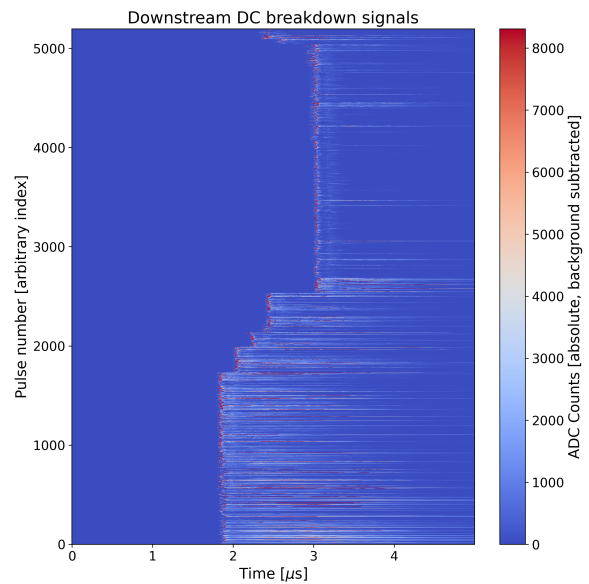


Figure 11: Downstream faraday cup waveforms for breakdown pulses.

edges $< 3\mu\text{s}$, or for waveforms in the downstream faraday cup. For waveforms with leading edge $< 3\mu\text{s}$, aftershocks in downstream signals do not seem to follow any specific pattern.

To better probe signal shape for waveforms with leading edge $\sim 3\mu\text{s}$, a ‘typical’ waveform was constructed by first amplitude normalising each waveform, then averaging waveforms. This is shown in Figure 12. To maintain uniformity, only waveforms with flat-top pulse width less than 60ns were selected. The same approach can be extended to other pulse widths and leading edge times. The gap between leading peaks and aftershocks for upstream signals is now more apparent. These aftershocks are also present in the downstream signals, but with a smaller separation. This could imply that charge travelling downstream may fall into RF buckets, and is accelerated and bunched, whereas upstream travelling charge is not. In both upstream and downstream cases, a short, high-amplitude leading peak is followed by a lower amplitude, broader peak. This could correspond to charge emission at different stages of the breakdown, which occur over few ns timescales in the onset phase, to sub- μs (few 100ns) timescales in the burning and extinction phases.

The assumption that downstream waveforms have greater activity and higher amplitude is not borne out by this data, since the upstream cup has secondary peaks of greater intensity. It could be that the first peak of the downstream is yet larger in amplitude, since more charge could be bunched into it by the RF, but may therefore be saturating the ADC.

Waveforms with leading edge $< 3\mu\text{s}$, are different to those with leading edge $\sim 3\mu\text{s}$. Providing a longer klystron input pulse, and therefore more average power to the structure, seems to change the nature of dark current emission as recorded by upstream and downstream faraday cups. This motivates correlating dark current signals with klystron input power, klystron output power, and incident power to the structure. The signal features exposed encourage comparison of signal artefacts to existing theory of breakdown arc mechanisms.

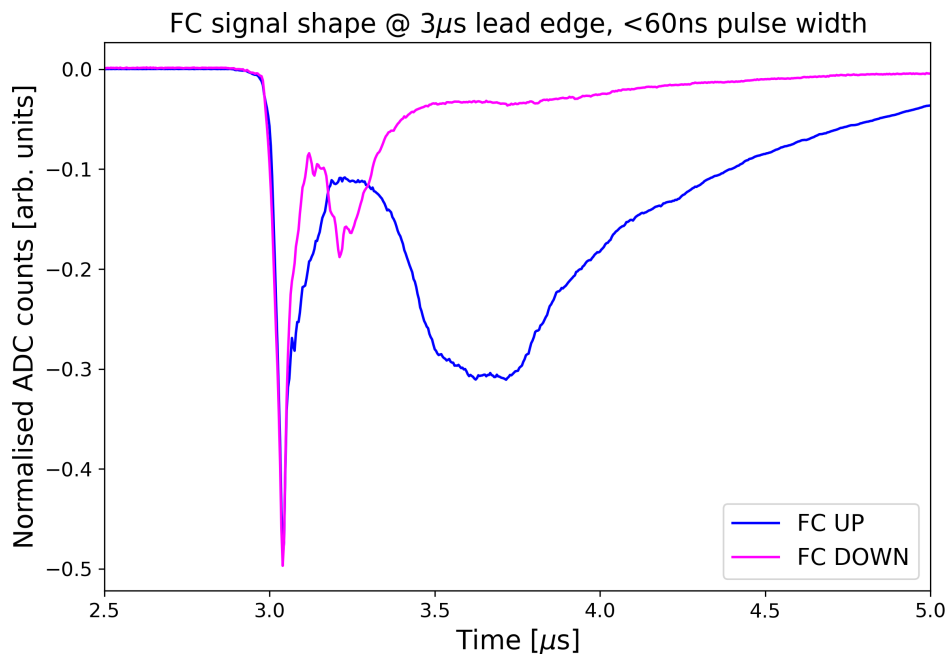


Figure 12: Typical breakdown pulse shapes, normalised and averaged.

3.1 Inspection of pre-breakdown waveforms

Just as breakdown waveforms have been inspected, pre-breakdown waveforms corresponding to those breakdowns can also be examined. Similar heatmaps and typical pulses can be created to expose the typical signal shape, and its variation over the chosen (pre-)breakdown waveforms.

Pre-breakdown activity is again related to incidence of the flat-top on the structure. Pre-breakdown leading edges follow the flat-top leading edge times (roughly $1.7\mu\text{s}$ and $2.9\mu\text{s}$), as shown in Figures 13 and 14. Additionally, since the breakdown is not ignited, and only field emission occurs, the duration of field emission matches the flat-top pulse width. The increase in pulse width during conditioning can be observed in both the upstream and downstream dark current signals, from roughly 50ns to 150ns. Since less charge is emitted during the pre-breakdown pulses, the corresponding ADC counts are also lower, not reaching more than 500. The field emission behaviour is regulated by the square pulse “on-off” nature of the flat-top – field emission only occurs during the flat-top, and no ‘tail’ is observed in the dark current signals, as it was for breakdown waveforms.

Pre-breakdown signals collected at the downstream faraday cup differ from those collected at the upstream faraday cup, as shown in Figure 15. Again, all waveforms have been normalised and averaged, and the same criteria for leading edge and pulse width as for the breakdown waveforms have been applied. The upstream faraday cup resembles a squarer pulse, with a FWHM larger than that for the downstream faraday cup. This could again be due to bunching and acceleration by the RF. The downstream cup also exhibits the expected electronic behaviour from such a device – an initially sharp rise time followed by a longer

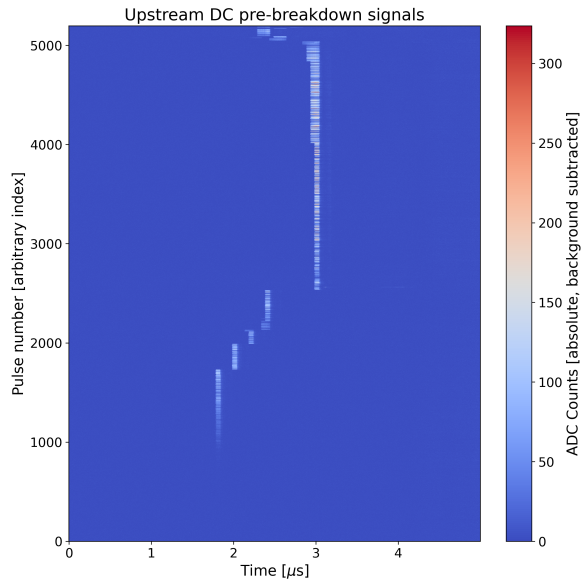


Figure 13: Upstream faraday cup waveforms for pre-breakdown pulses.

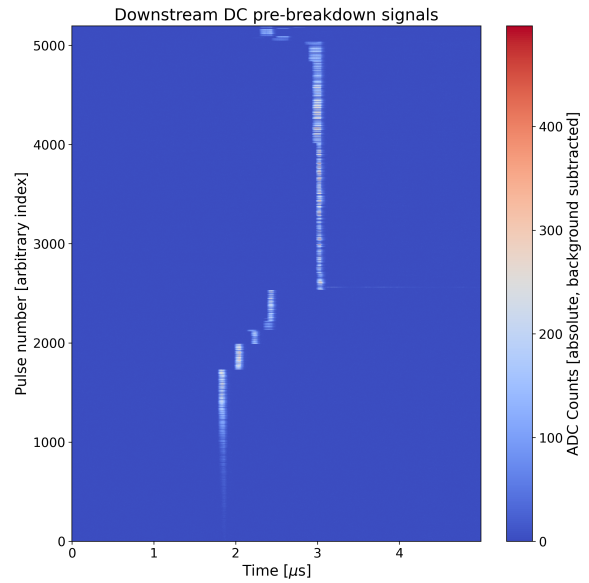


Figure 14: Downstream faraday cup waveforms for pre-breakdown pulses.

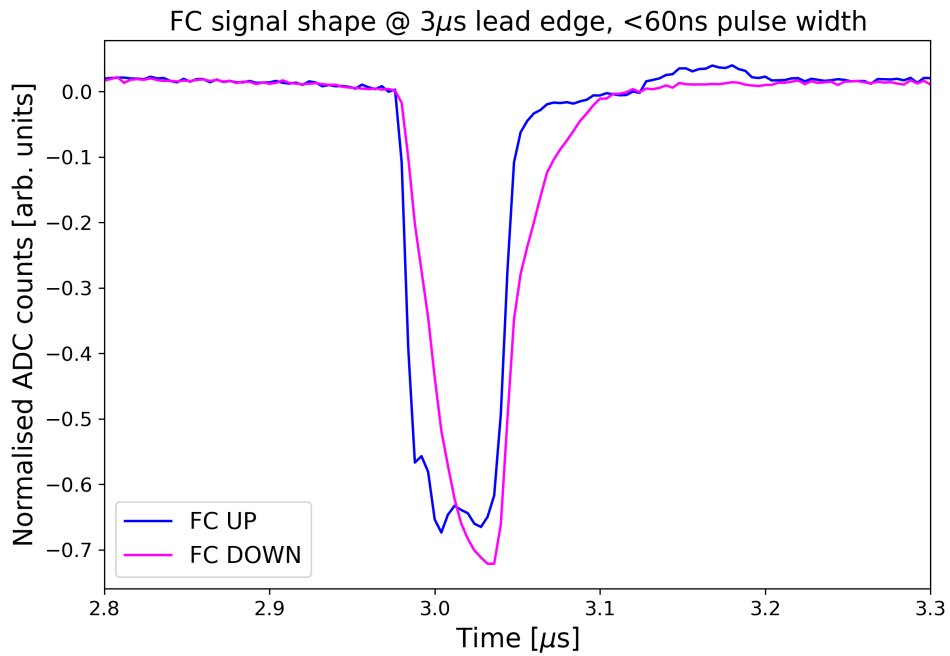


Figure 15: Typical pre-breakdown pulse shapes, normalised and averaged.

268 decay time, as produced by tests of the same faraday cup in single bunch at the synchrotron
 269 linac (single bunch faraday cup response test by Eugene earlier this year). It could be that
 270 due to bunching, the downstream cup is responding to an electron bunch resembling a ‘single’
 271 event, whereas the upstream cup is the superposition of several smaller ‘electron packets’
 272 that are dispersed longitudinally, having not been captured by the RF.

273 3.2 Breakdown ignition time

274 Searches for precursory phenomena giving predictive information on breakdown onset are
 275 ongoing [Obe+22; Pas20]. For this reason, pre-breakdown waveforms are of interest, since
 276 they provide information on the evolution of field emitter sites before they ignite into full
 277 breakdowns. Comparison of pre-breakdown waveforms with their “parent” breakdown wave-
 278 forms is therefore the aim of this section. Proper matching of pre-breakdown pulses, labelled
 279 “0” in the X-box 3 dataset, with their parent breakdowns is important for drawing accurate
 280 inferences.

281 As an aside, using pulse counts is not possible for this matching task because of non-
 282 uniform incrementation of pulse count variables. It was expected that pre-breakdowns will
 283 have a pulse count of one less than the breakdown pulse, making pre-breakdown waveform
 284 retrieval straightforward. However this was not borne out by the dataset, and raw timestamps
 285 were instead used. From a database organisation perspective, UTC timestamp is the only
 286 truly unique key.

287 Once matched, pre-breakdown waveforms can be plotted on common axes with their
 288 parent breakdown waveforms to enable comparison. These plots are shown in Figures 16
 289 and 17. An important note is that the upstream and downstream signals shown below are
 290 not from the same breakdown. The upstream signals show dark current waveforms from one
 291 breakdown event, and the downstream signals show dark current waveforms from another.

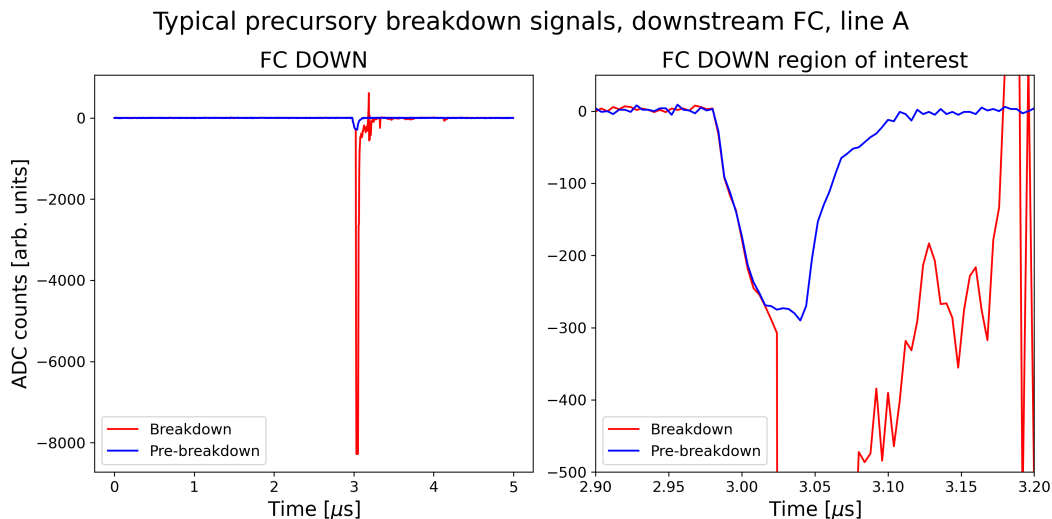


Figure 16: Precursory behaviour on the downstream faraday cup.

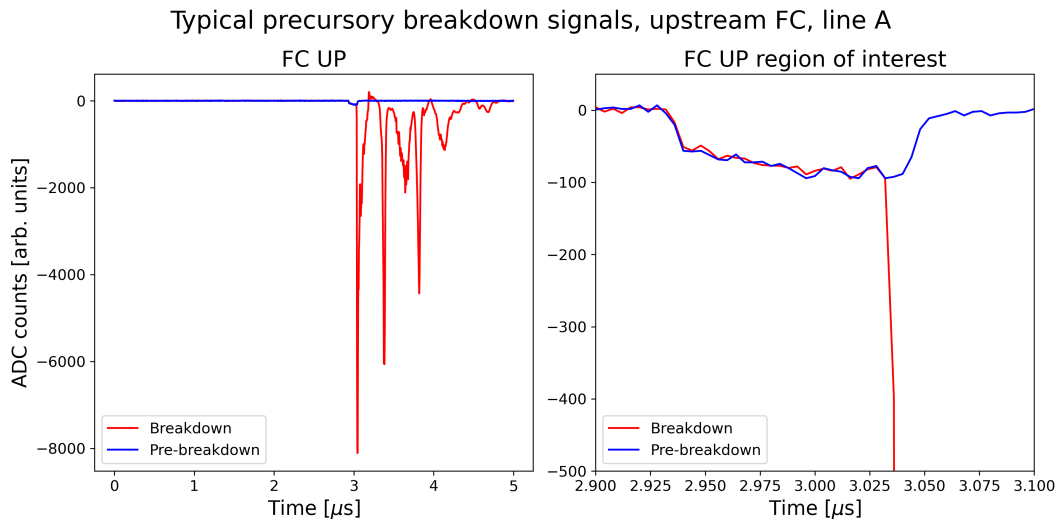


Figure 17: Precursory behaviour on the upstream faraday cup.

292 Firstly we observe the distinction between upstream and downstream dark current signals
 293 – leading peaks in both upstream and downstream signals saturate the ADC, but afterpulses
 294 in the upstream faraday cup are much more prominent than in the downstream cup. The
 295 width of the leading peak on the upstream signal is also larger than in the downstream
 296 signal. We reference the earlier discussion on waveform inspection regarding bunching of
 297 dark current due to incident RF as a possible explanation.

298 Once plotted on the same axes, it is apparent that the breakdown waveforms follow the
 299 same trajectory as the pre-breakdown waveforms up until the point of breakdown ignition,
 300 which is recorded on the faraday cup signals as a sharp, almost infinitely steep rising edge
 301 on the leading peak. The ignition point as implied by the rising edge is gated by the time
 302 for which the emitter site expels charge in the pre-breakdown dark current waveform. The
 303 waveforms are almost identical up until the ignition point, in both cases. This implies that
 304 the physical processes emitting and transporting charge to each faraday cup are the same
 305 until the point of breakdown “ignition”. It is crucial also that such precursory behaviour does
 306 not occur for all pulses examined; pre-breakdown pulses with only noise are also common.

307 It is possible that the width of the field emitter “gate” depends on the incident flat
 308 top pulse width. Then, the location of the ignition point within the gate becomes the
 309 first quantity of interest. Do breakdowns ignite predominantly in the earlier, or latter part
 310 of the gate? Can a distribution be created for the breakdown ignition time within this
 311 gate? Does the length of the gate matter, or is the distribution of ignition times unaffected
 312 by the gate length, and therefore the incident flat top pulse width? For the two different
 313 breakdowns shown in Figures 16 and 17, one ignition point occurs just before the gate
 314 midpoint (downstream signal, Figure 16) while the occurs later in the pulse (upstream signal,
 315 Figure 17). The expected distribution of breakdown ignition times is not yet known, so
 316 existing breakdown and field emission literature will be consulted. The number and nature
 317 of pulses without precursory behaviour also needs to be determined.

3.3 Consequences for future studies

The most immediate application of this result is to the development of data acquisition frameworks for recording dark current waveforms with higher bandwidths and sample rates. Design of a cyclic buffer to store the previous N pulses before a breakdown pulse is ongoing, but more information is needed to know how large N should be. Extra storage space used up by storing N pre-breakdown pulses is also unknown, as long as N is unknown. This question can be addressed further by examining X-Box 2 data, which stores not only pre-breakdown pulses, but pulses immediately prior to the pre-breakdown pulse. This would allow the evolution of the field emitter site to be monitored not just over two, but three waveforms. If the emitter is absent in the penultimate, or pulse before pre-breakdown pulse, then a cyclic buffer of $N = 10$ will not yield any extra information. The extra data wrangling effort of examining X-Box 2 data, which follows different naming conventions and organisation to X-Box 3 data, is therefore justified.

Should more data be required, the timing system of the PXI to store “normal”, non-breakdown pulses can be exploited. X-Boxes 2 and 3 save a “log” or normal pulse every 60 and 40 seconds respectively, without consideration to whether or not a breakdown occurred. It is therefore possible that a “log” pulse is saved within a few seconds of a breakdown occurring. Due to the short time separation, it would be possible to frame such pulses as part of the “chain” of pulses leading up to breakdown, and use them to shed light on emitter development.

Another application of the previous section is to the design and calibration of more sensitive diagnostics for dark current measurement. By accurately calibrating the ADCs with a DC input, and then measuring the ADC counts recorded, the ADC counts can be converted to Voltages. The vertical dynamic range and offset can therefore be adjusted so that saturation of the ADC is avoided, and leading peaks can be recorded with good fidelity. This is important because the relative charge contained underneath the leading peak of downstream signals, relative to the charge contained in upstream signals, is currently unknown. The dynamic range of the NI-5761 250MSPS 14-bit ADCs on the PXI has to be adjusted to allow the higher amplitude signals to be recorded.

To target pre-breakdown dark current waveforms, multimode optical fibers coupled to Silicon Photomultipliers (SiPMs) are being considered as a more sensitive means of dark current detection. Emission of secondary charge from the structure bulk will be incident on the silica fiber core, creating Cherenkov radiation, which will then be detected by Silicon Photomultipliers. If faraday cups address the upper end of the dark current dynamic range, then optical fibers are well suited to record the lower amplitude end (e.g. the pre-breakdown waveforms) with greater fidelity, as SiPMs can detect single photon events. Suitability of optical fibers in detecting the pre-breakdown waveforms shown in this work, with improved frequency response and time-resolution, should be assessed.

4 Conclusion

This document explained how breakdowns are identified in an RF test stand dataset. Tagging, filtration and cleaning of breakdown candidates was summarised, and useful tools for further analysis, such as m -space, were highlighted. The expected dark current signal shapes were explained, for both pre-breakdown and breakdown waveforms. It was found that breakdown and pre-breakdown waveforms are identical up until the point of breakdown ignition, providing impetus for studies of field emitter evolution using a combination of historical (X-box 2) data, new data acquisition frameworks under design (both hardware and software), and novel, fiber optical breakdown diagnostics.

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