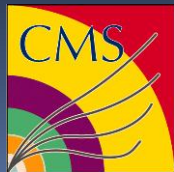


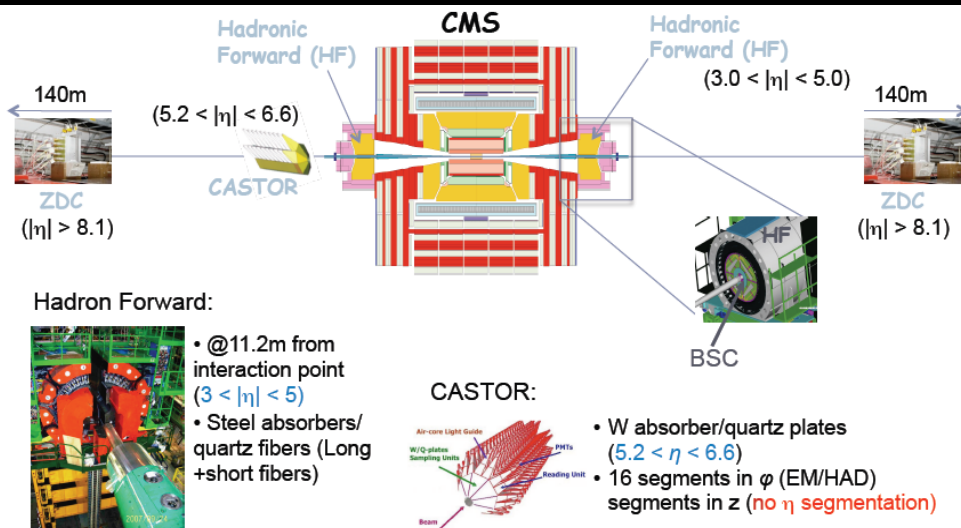
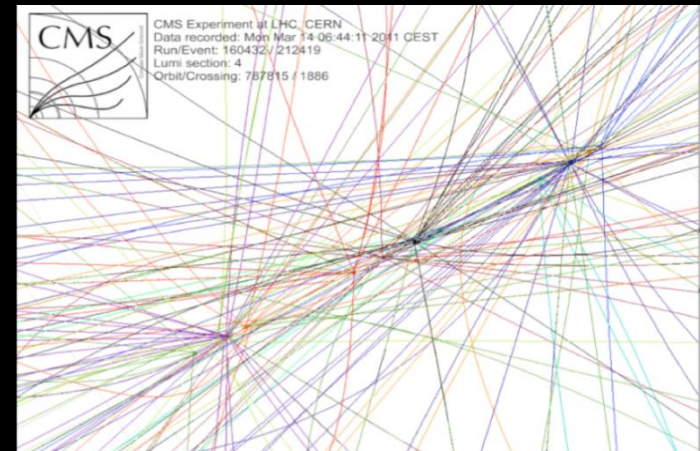
# Diffraction at CMS

Gilvan A. Alves – Lafex/CBPF  
for the CMS collaboration



# The setting: CMS@LHC

- High energy and high luminosity
  - Allows high statistics precision measurements, and sensitivity to “rare” processes (hard diffraction, exclusive production)
  - **But high luminosity comes with high “pileup” – average 2-4 extra interactions/crossing in 2010, 5-8 in 2011**



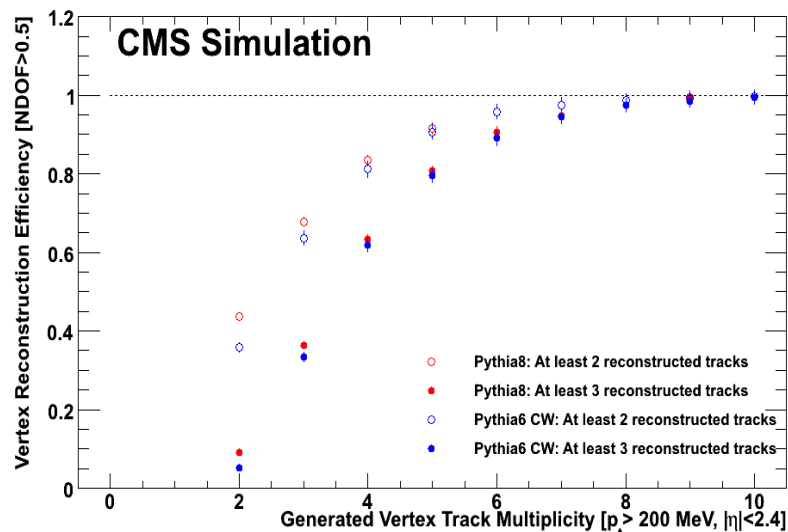
- Good detector coverage
  - Tracking to  $|\eta| < 2.4$
  - Hadronic calorimeter (HF) to  $|\eta| < 5$
  - Forward calorimeters (cover  $-6.6 < \eta < -5.2$  (CASTOR) and  $|\eta| > 8.1$  (ZDC))

# *Measurement of the inelastic cross-section using pileup events*

# Motivation & method

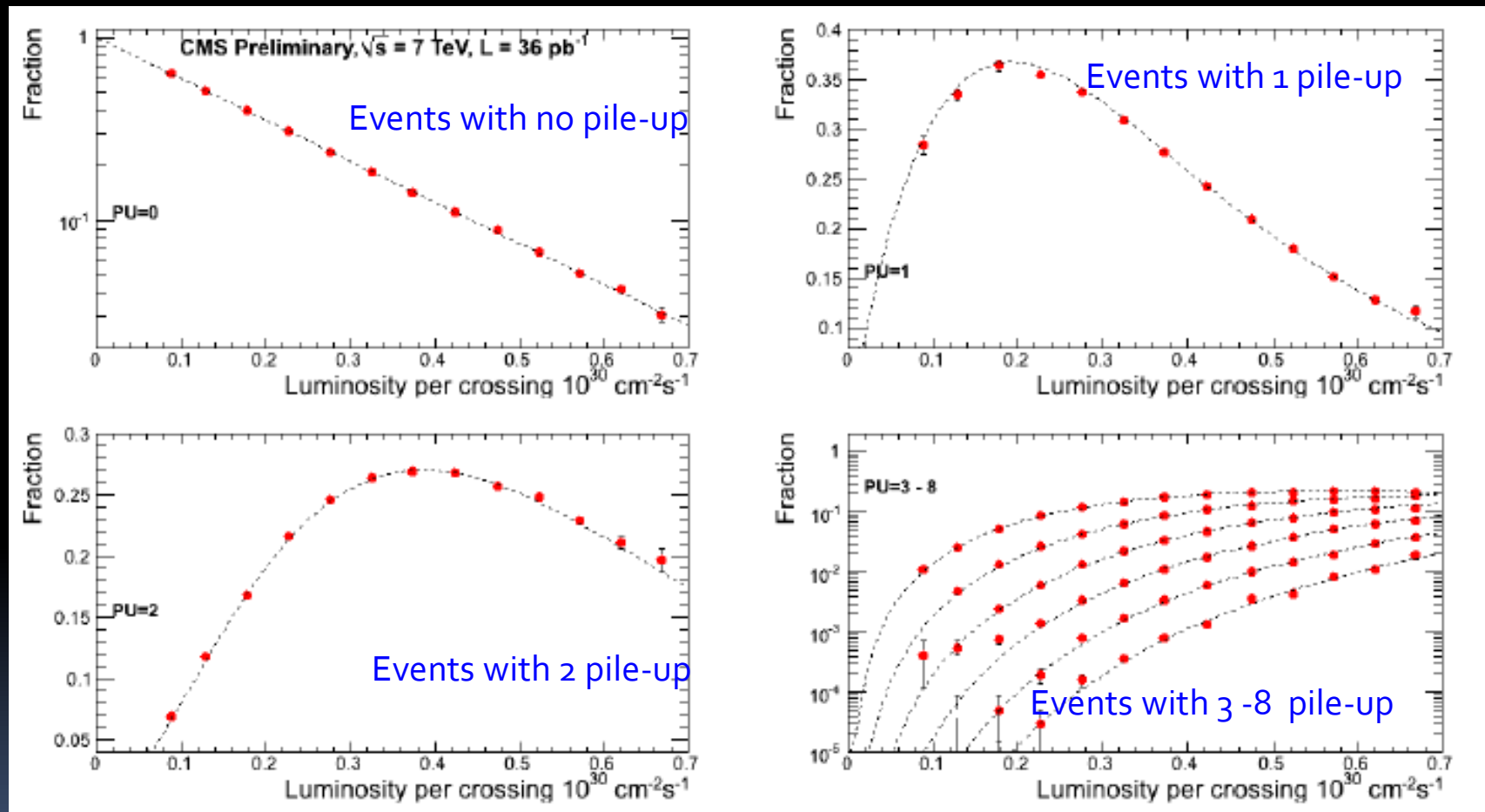
- Probability of a number interactions occurring in a crossing depends on the total  $pp$  cross-section
  - => Turn pileup into an advantage for measuring  $\sigma(pp)$

$$P(n_{pileup}) = \frac{(L \cdot \sigma)^{n_{pileup}}}{n_{pileup}!} \cdot e^{-(L \cdot \sigma)}$$



- Method based on counting # of vertices as a function of luminosity
  - Samples collected with high-efficiency triggers (e.g. di-electrons)
  - Data is corrected for vertex merging, and inefficiencies in reconstructing vertices with low track multiplicity

# Fitting

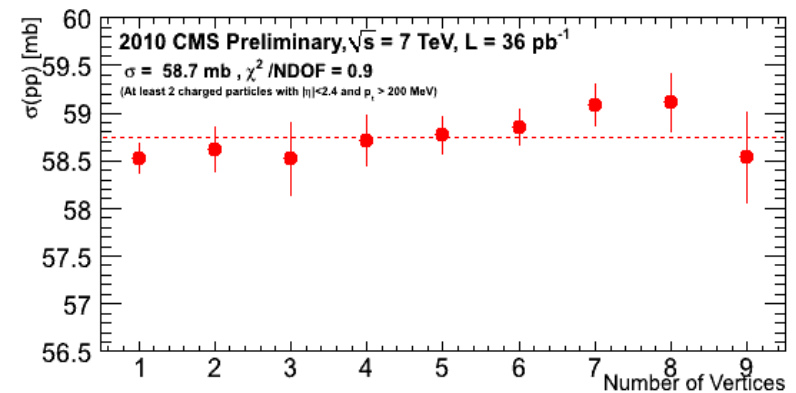
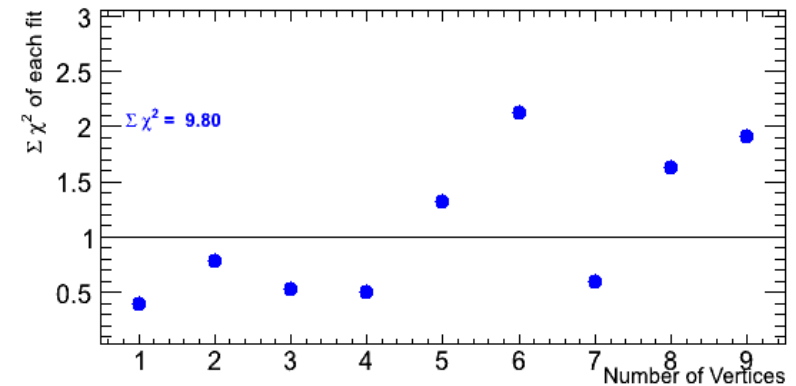


- Unfolded distributions are fit to a Poisson distribution for each value of pileup ( $=N_{\text{vertices}} - 1$ ) from 0-8

# Results (I)

- Nine statistically independent measurements, for each value of the pileup
- Final result from a fit to all nine points
- For 3 tracks with  $p_T > 200 \text{ MeV}$ ,  $|\eta| < 2.4$ , the resulting cross-section is:

$$\sigma = 58.7 \pm 2.0 (\text{Sys}) \pm 2.4 (\text{Lumi}) \text{ mb}$$



# cross-section systematics

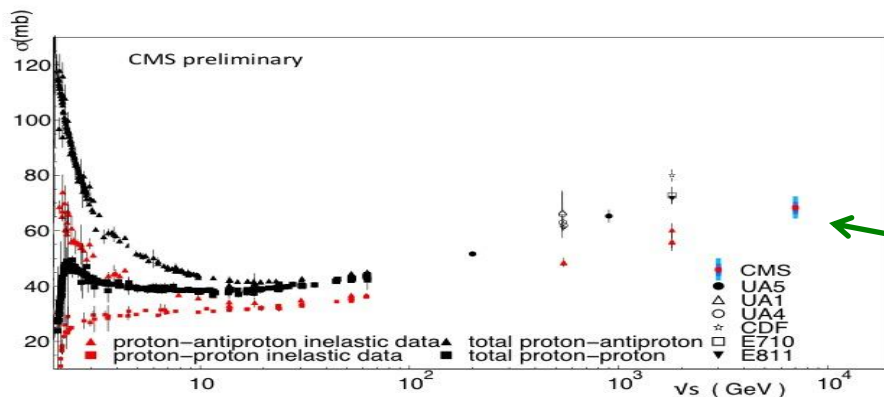
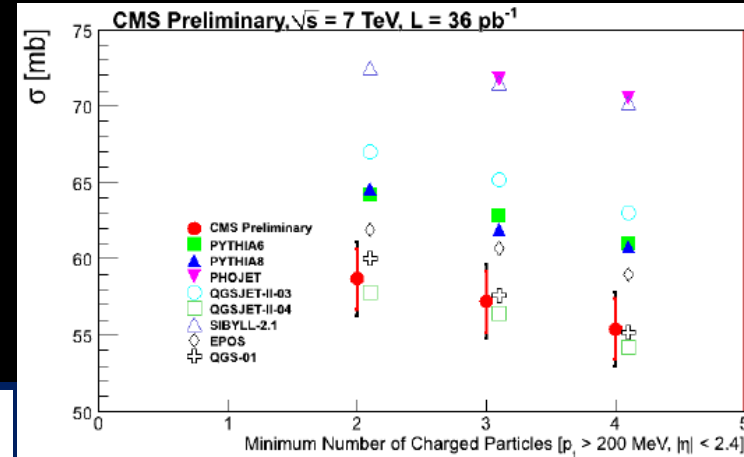
Luminosity	$\Delta\sigma_{\text{vtx}}$
Scale the luminosity by +4%	-2.3
Scale the luminosity by -4%	+2.4

Analysis parameters	$\Delta\sigma_{\text{vtx}}$
Perform Analysis on a different dataset	+0.9
Change the fit upper limit from 0.6 to 0.5 $\cdot 10^{30}\text{cm}^{-2}\text{s}^{-1}$	0.3
Change the fit lower limit from 0.05 to 0.15 $\cdot 10^{30}\text{cm}^{-2}\text{s}^{-1}$ : $\Delta\sigma_{\text{vtx}} = -0.3$	-0.3
Reduce the z-vertex range from 20 to 10 cm	-0.1
Change the $\epsilon$ correction by 2%	-0.4
Change the $\epsilon$ correction by -2%	0.3
Impose the minimum distance of $\pm 1\text{mm}$ between two vertices	0.1

# Results (II)

- Measurement is compared to predictions of several models
  - Gives a range of extrapolation factors that can be used to bound the total inelastic cross-section:

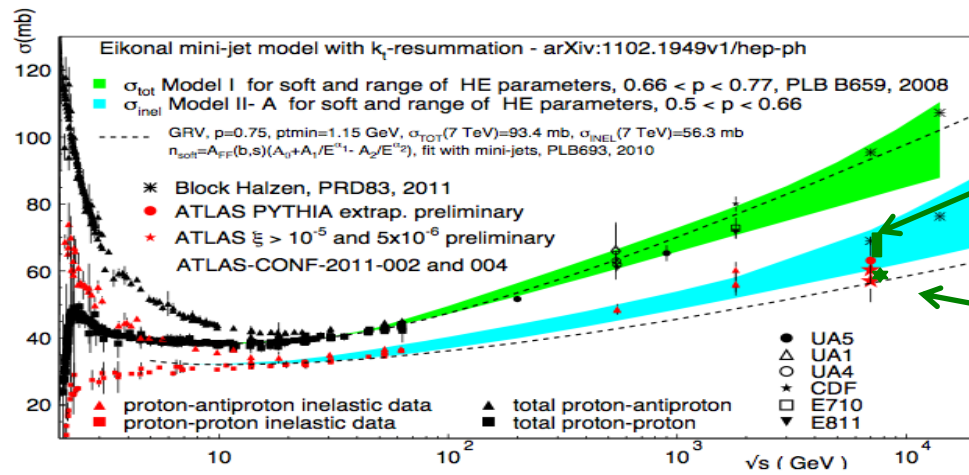
$$\sigma(pp) = 68.0 \pm 2.0 (\text{Sys}) \pm 2.4 (\text{Lumi}) \pm 4.0 (\text{Extr.}) \text{ mb}$$



CMS model-dependent extrapolation



# Total cross-section



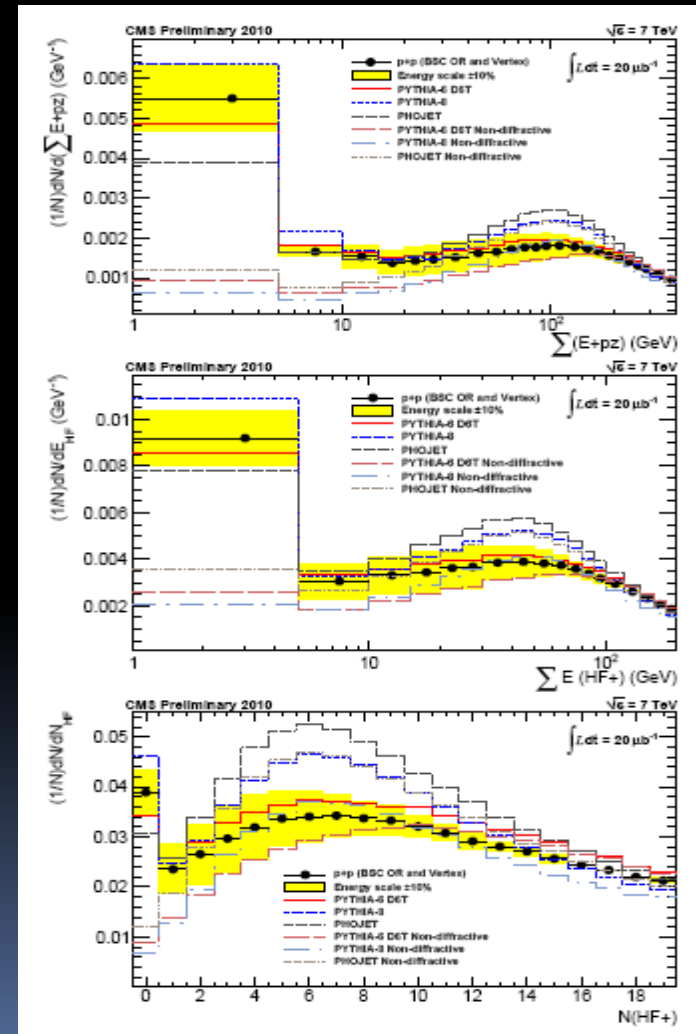
CMS Model dependent extrapolation

CMS

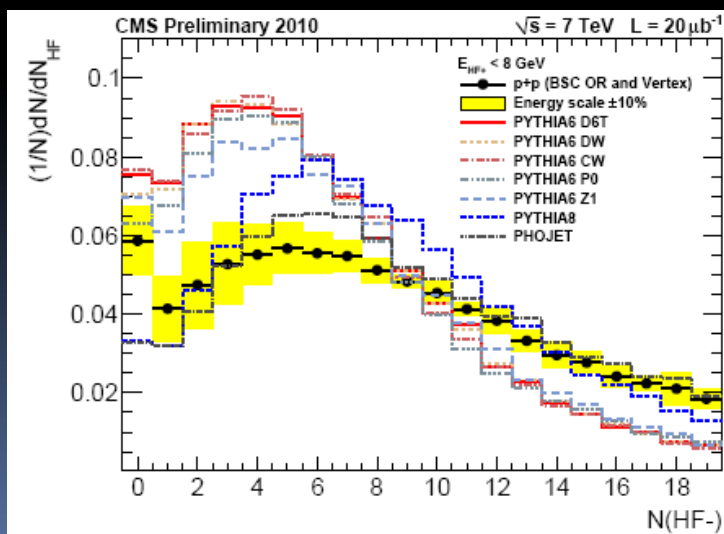
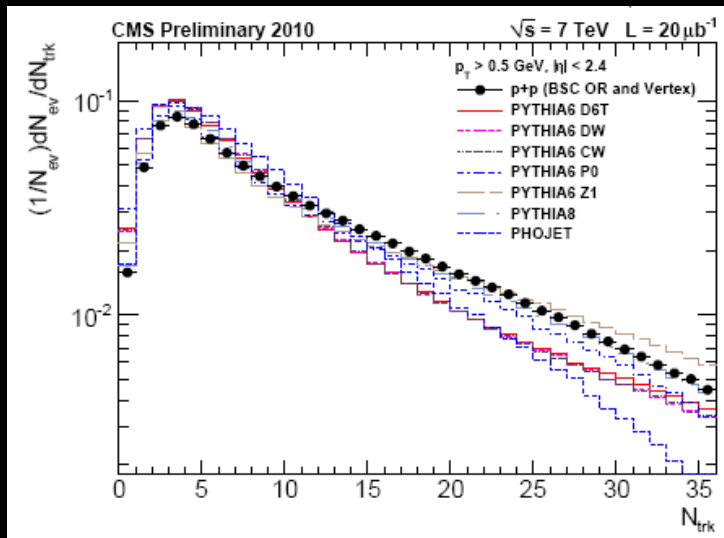
# *Diffraction @ 7TeV*

# Inclusive Diffraction

- Analysis based on  $20\mu\text{b}^{-1}$  of low-pileup 7TeV data
  - Extends previous CMS results on diffraction at 900GeV and 2.36TeV
  - Trigger with scintillator counters (BSC) and require a vertex consistent with collisions
- Diffractive signal appears as an enhancement near zero in several sensitive variables
  - $N(\text{HF towers over threshold})$
  - $\Sigma E(\text{HF})$
  - $\Sigma E-p_z$  ( $\sim \xi$ ), summed over all calorimeter towers



# Event distributions

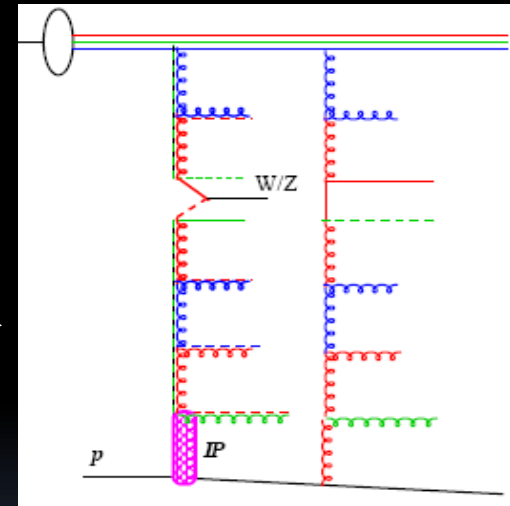


- Select a diffractively enhanced sample by requiring  $< 8 \text{ GeV}$  in HF+
- Track multiplicities, track  $p_T$  distributions, and energy deposits opposite the gap side compared a range of models
  - Pythia 8 and Phojet better describe the diffractive component, while Pythia 8 and several Pythia 6 tunes perform better for inclusive distributions
- None of the models describe all features of the data

# *Diffractive W/Z*

# Introduction and selection

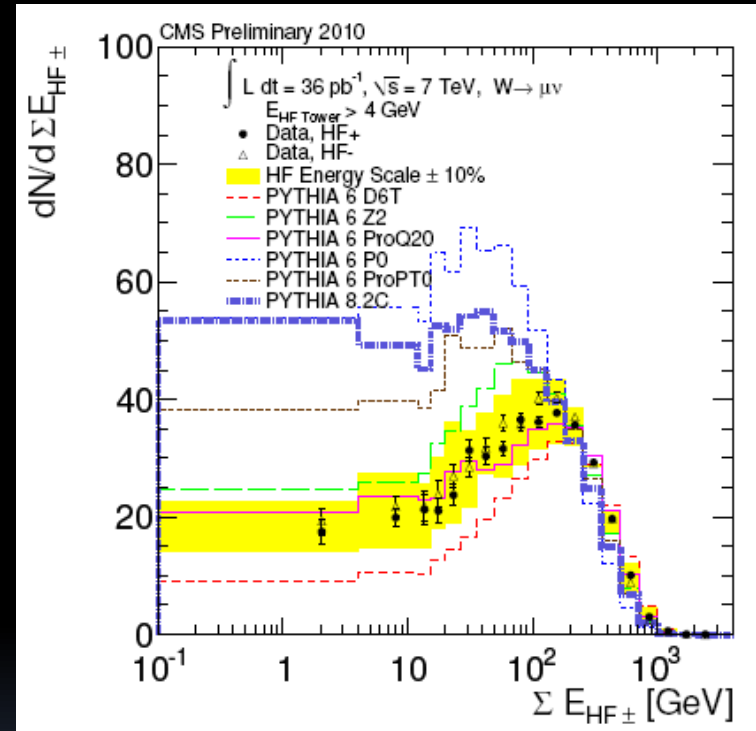
- Part of a larger systematic study of track multiplicity and forward energy flow in  $W/Z$  events
- Search for a diffractive component in  $W/Z$  events
- Sensitive to multi-parton interactions (MPI), gap-survival probabilities
  - Additional interactions may “fill the gap” in diffractive interactions



- Select  $W/Z$  events with a single-vertex to suppress pileup
  - Residual contamination from soft pileup events studied in MC, and in data as a function of average instantaneous luminosity

# W/Z with gaps

- Search for a diffractive component in W/Z events
- Define Large Rapidity Gap selection using sum of calorimeter towers in HF ( $3 < |\eta| < 5$ ) above 4 GeV
- Excess of events with zero energy compared to Pythia 6 D6T tune
  - But – deficit compared to Pythia 6 Z2, Pythia 8



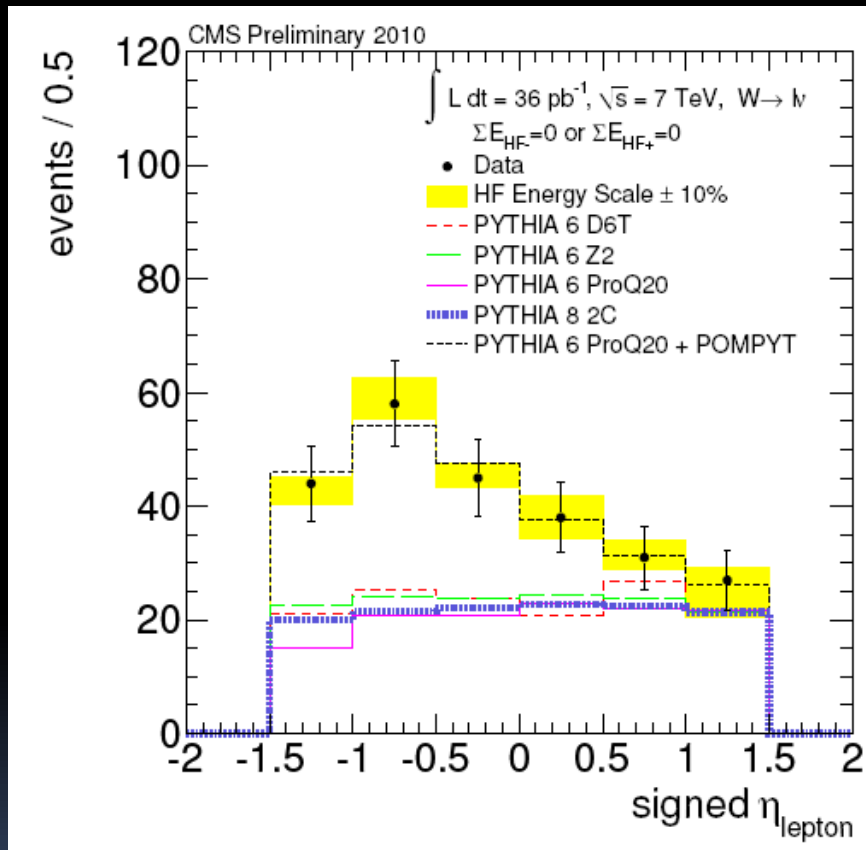
$W \rightarrow \mu\nu X$

Fraction of LRG events

$$W \rightarrow l\nu = 1.46 \pm 0.09 \text{ (stat.)} \pm 0.38 \text{ (syst.)} \%$$

$$Z \rightarrow ll = 1.60 \pm 0.25 \text{ (stat.)} \pm 0.42 \text{ (syst.)} \%$$

# Lepton asymmetry



$W \rightarrow l \nu X$

- Additional sensitivity to diffraction from the charged lepton asymmetry  $\eta_{\text{Lepton}}$ 
  - POMPYP MC predicts leptons from diffractive  $W/Z$  are preferentially produced opposite the LRG (small- $x$  diffractive PDF's)
  - All Pythia tunes predict a flat distribution
- Large asymmetry observed in the LRG sample in data, with best-fit fraction for the diffractive component:

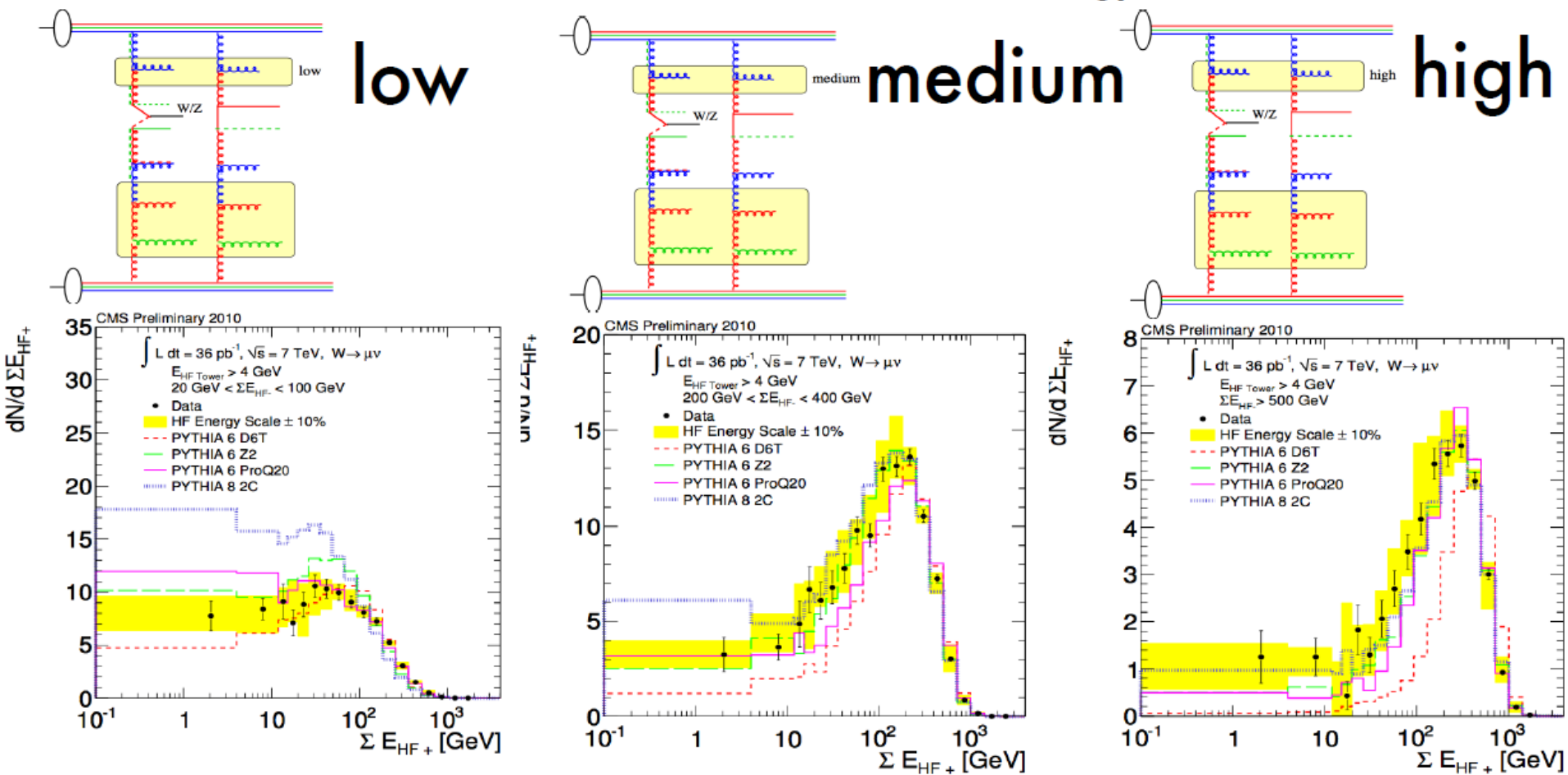
$50.0 \pm 9.3 \text{ (stat.)} \pm 4.2 \text{ (syst.)} \%$



# Energy Flow

CMS-PAS-FWD-10-008

## Correlation between forward and backward energy distribution

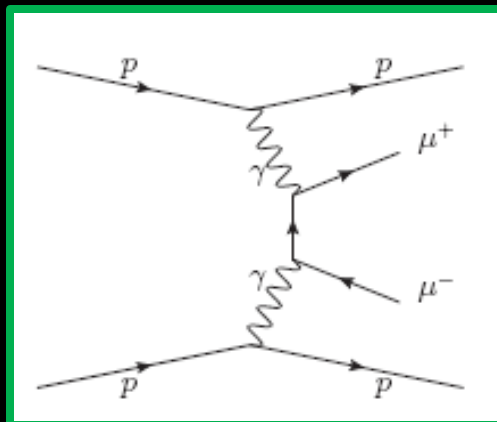


- Energy distribution in forward/backward region strongly correlated
- Energy spectra and correlations are not well modeled

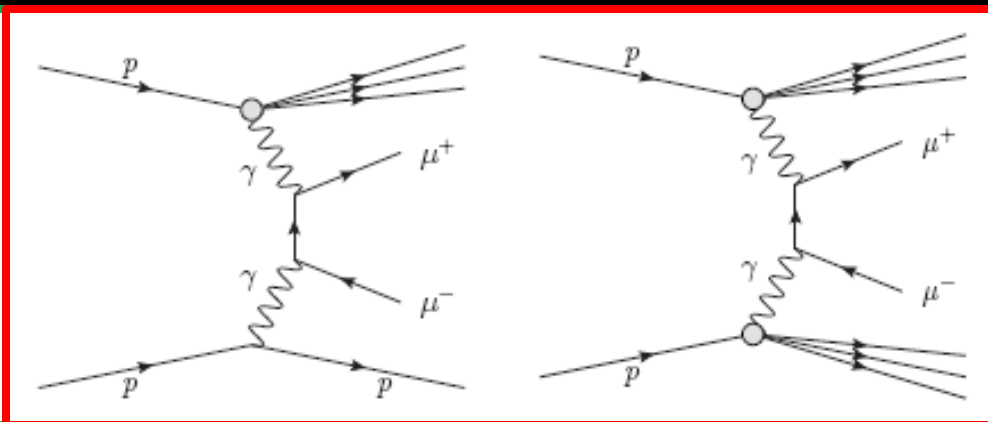
# *Exclusive $\gamma\gamma \rightarrow \mu\mu$*

$$\gamma\gamma \rightarrow \mu\mu$$

Exclusive production



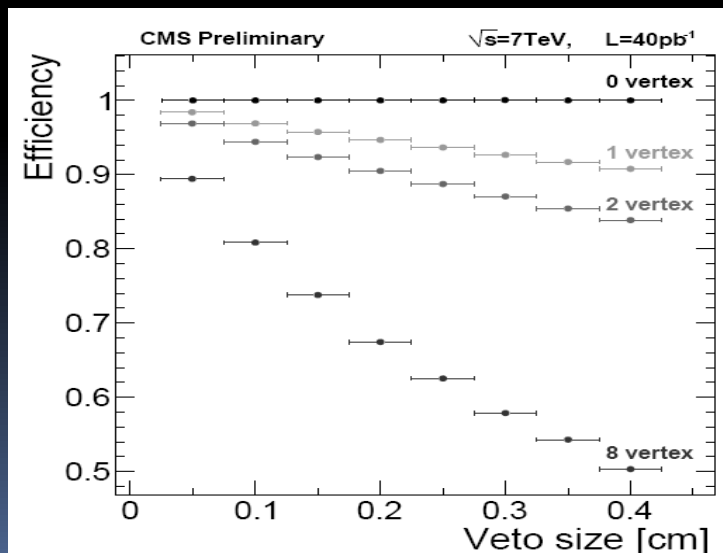
Proton dissociation



- Exclusive production  $pp \rightarrow p\mu\mu p$ 
  - QED like “Standard Candle”, proposed as a possible future luminosity measurement
- Largest “background” from  $\gamma\gamma \rightarrow \mu\mu$  with proton dissociation
  - $pp \rightarrow p\mu\mu Y$ , or  $pp \rightarrow X\mu\mu Y$  with proton remnants undetected

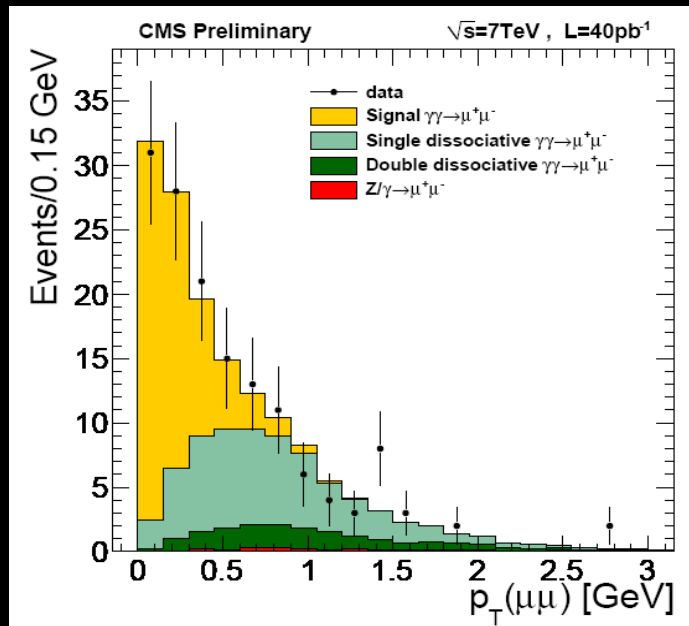
# Exclusive dimuons

- Selection based on tracking only, to keep high efficiency with pileup
- Require a  $\mu\mu$  vertex, with no other tracks associated
  - Measurement in a restricted phase space  $p_T(\mu) > 4$  GeV,  $|\eta(\mu)| < 2.1$ ,  $m(\mu\mu) > 11.5$  GeV, to minimize systematic errors and remove  $Y$  photoproduction



- Efficiency of the track veto is measured in beam-crossing triggered data
  - 92% for full 2010 sample
  - ~70% for events with 8 vertices and 2mm veto size

# Exclusive dimuons

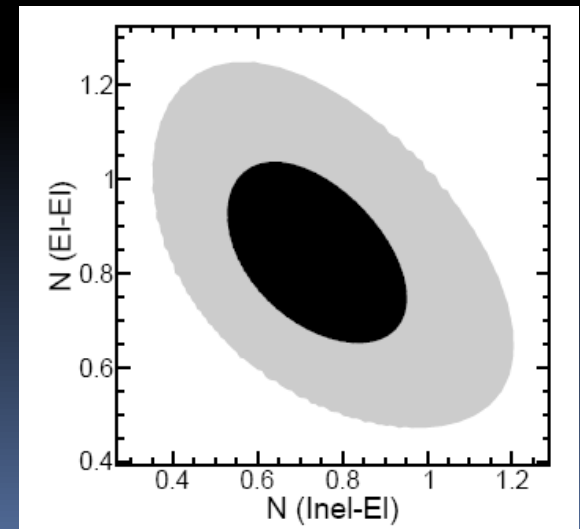


- Signal yield and ratio to the prediction obtained from a fit to the  $p_T(\mu\mu)$  distribution
  - Signal yield, single  $p$ -dissociation yield, and a correction to the slope of the  $p$ -dissociation are free parameters
  - Signal and  $p$ -dissociation yields are highly anti-correlated

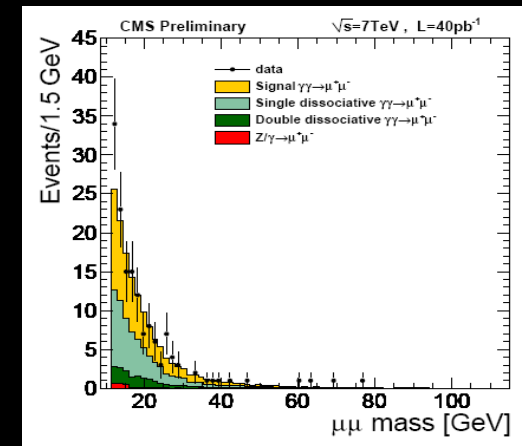
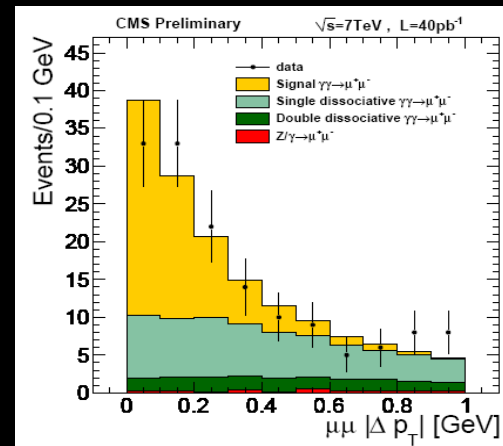
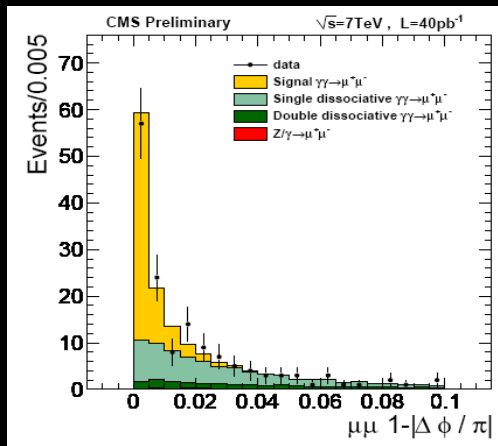
For  $p_T(\mu) > 4$  GeV,  $|\eta| < 2.1$ ,  $m(\mu\mu) > 11.5$  GeV:

$$\sigma = 3.38^{+0.58}_{-0.55} \text{ (stat.)} \pm 0.16 \text{ (syst.)} \pm 0.14 \text{ (lum.) pb}$$

$$\text{Ratio} = 0.83^{+0.14}_{-0.13} \text{ (stat.)} \pm 0.04 \text{ (syst.)}$$



# Kinematic distributions

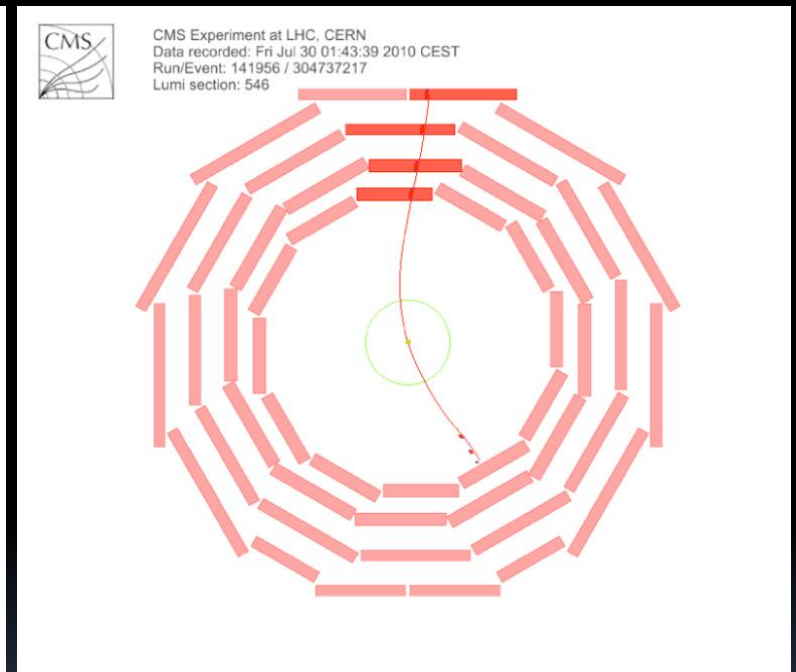
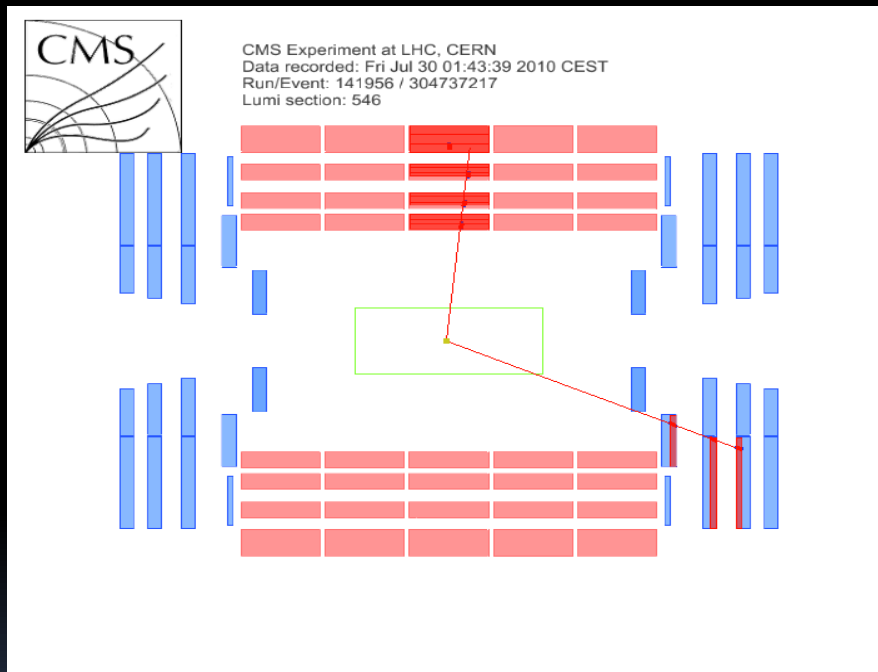


- Kinematic distributions compared to LPAIR MC with best fit normalization
- Good agreement with expectations for exclusive  $\gamma\gamma\rightarrow\mu\mu$  plus proton dissociation
  - $|1-\Delta\phi(\mu\mu)/\pi|$ ,  $\Delta p_T(\mu\mu)$  peak at  $\sim 0$ , consistent with exclusive production
  - $m(\mu\mu)$  spectrum extends to 76 GeV, no events consistent with  $Z\rightarrow\mu\mu$  (consistent with suppression of spin-1 resonance production  $\gamma\gamma$  interactions)

# $\gamma\gamma \rightarrow \mu\mu$ systematics

Selection	Variation from nominal yield
track veto size	3.6%
track quality	2.5%
Drell-Yan background	0.4%
double $p$ -dissociation background	0.9%
Crossing-angle	1.0%
Tracking efficiency	0.1%
Vertexing efficiency	0.1%
Momentum scale	0.1%
Efficiency correlations in $J/\psi$ control sample	0.7%
Muon and trigger efficiency statistical error	0.8%
Total	4.8%

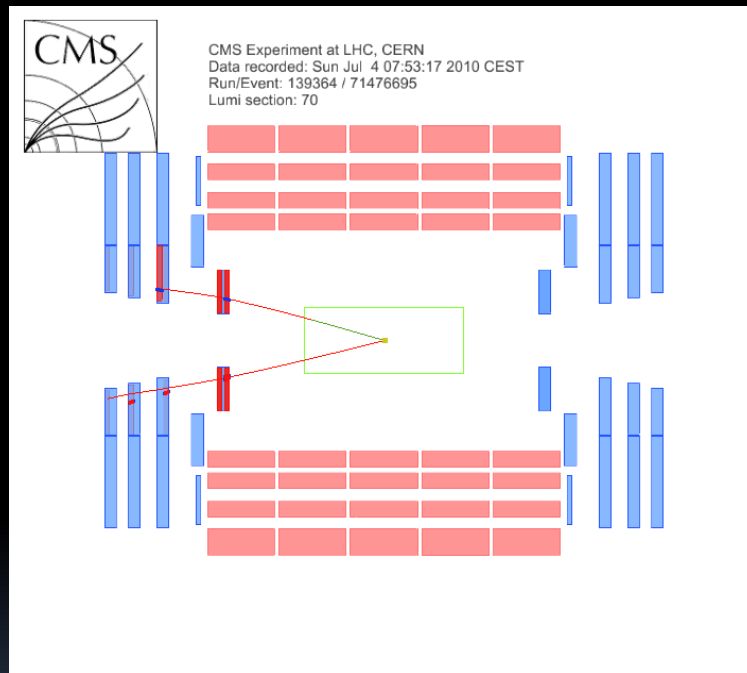
# Exclusive $\gamma\gamma \rightarrow \mu\mu$ candidates



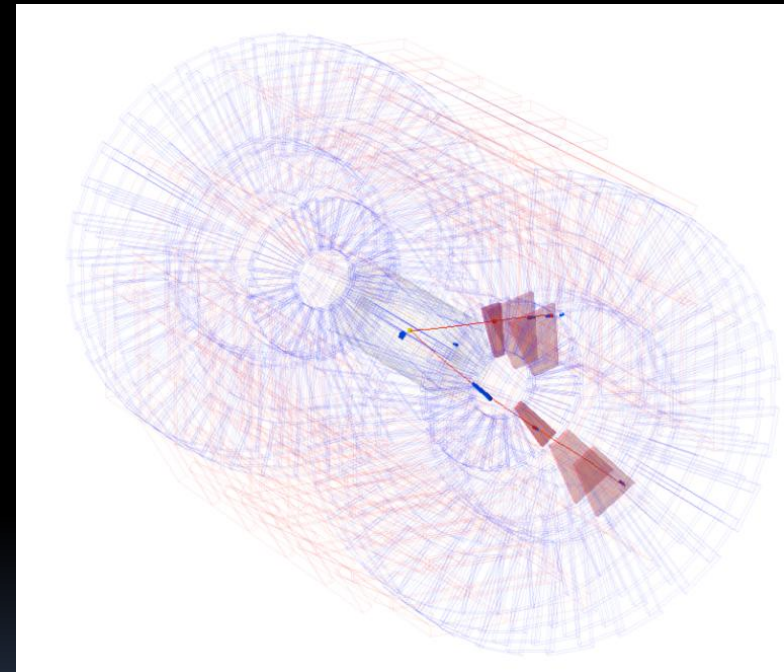
$$\begin{aligned} m &= 20.51 \pm 0.2 \text{ GeV} \\ \frac{\Delta\phi}{\pi} &= 0.98 \\ \Delta p_T &= 0.48 \end{aligned}$$



# Exclusive quarkonia candidates



$$\begin{aligned} m &= 3.05 \pm 0.03 \text{ GeV} \\ \frac{\Delta\phi}{\pi} &= 0.98 \\ \Delta p_T &= 0.05 \text{ GeV} \end{aligned}$$

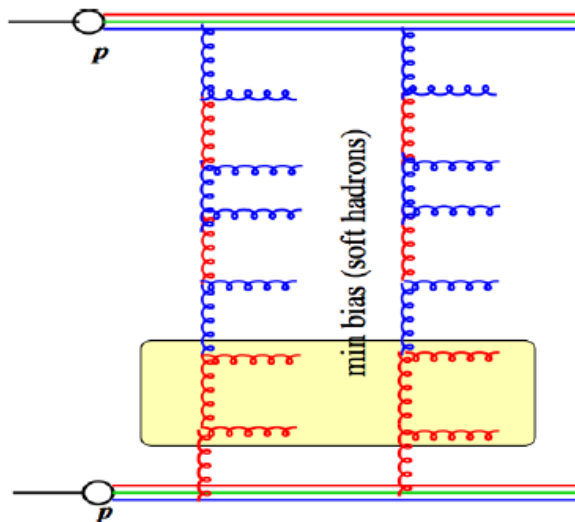


$$\begin{aligned} m &= 9.44 \pm 0.08 \text{ GeV} \\ \frac{\Delta\phi}{\pi} &= 0.99 \\ \Delta p_T &= 0.20 \text{ GeV} \end{aligned}$$

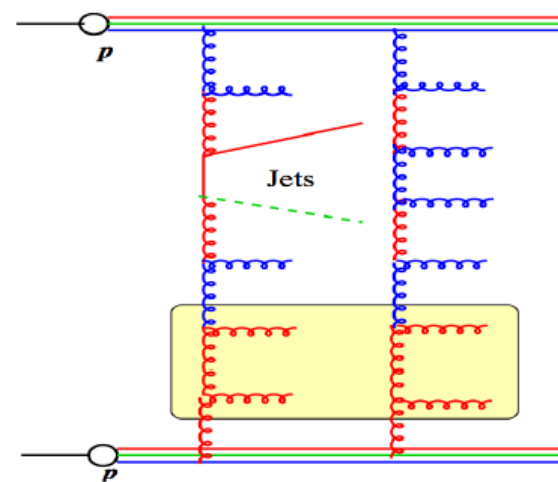
# *Energy Flow measurements*

# Measure $\frac{dE}{Nd\eta}$ in min bias and dijet events

- **minimum bias events**  
at  $\sqrt{s} = 0.9$  (7) TeV

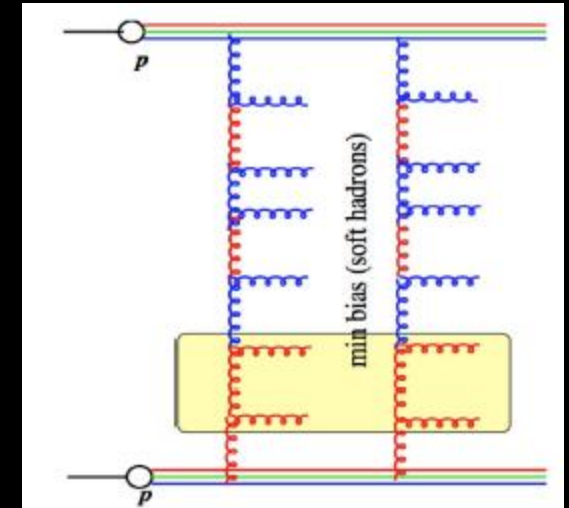
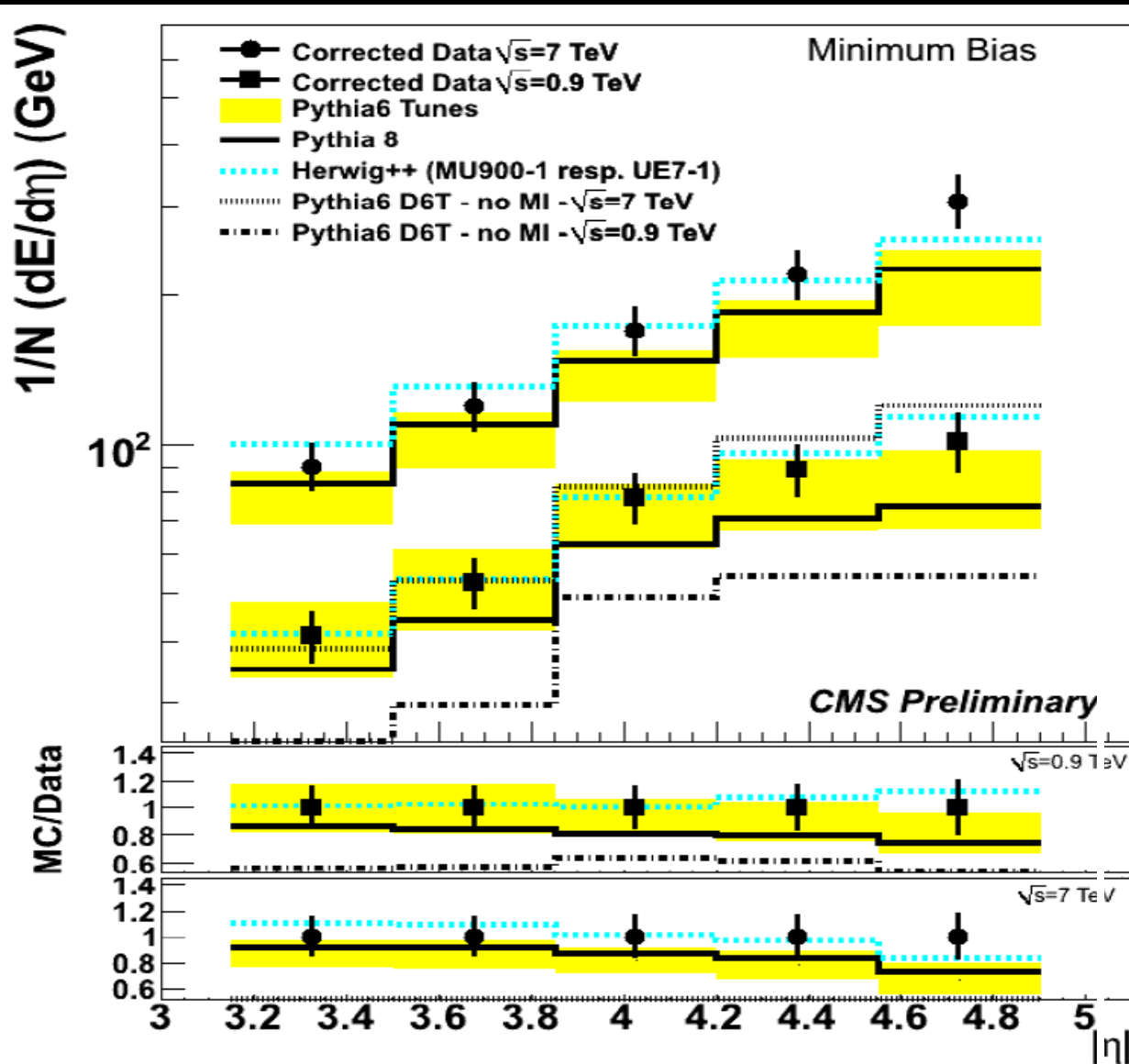


- **central dijet events**  $|\eta| < 2.5$ ,  
 $E_T > 8$  (20) GeV at  $\sqrt{s} = 0.9$  (7) TeV



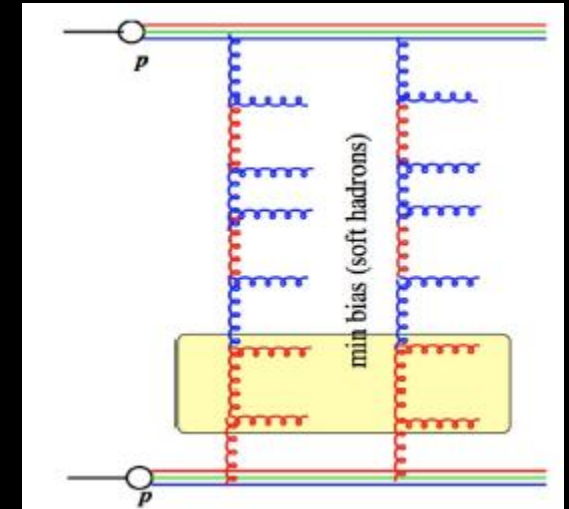
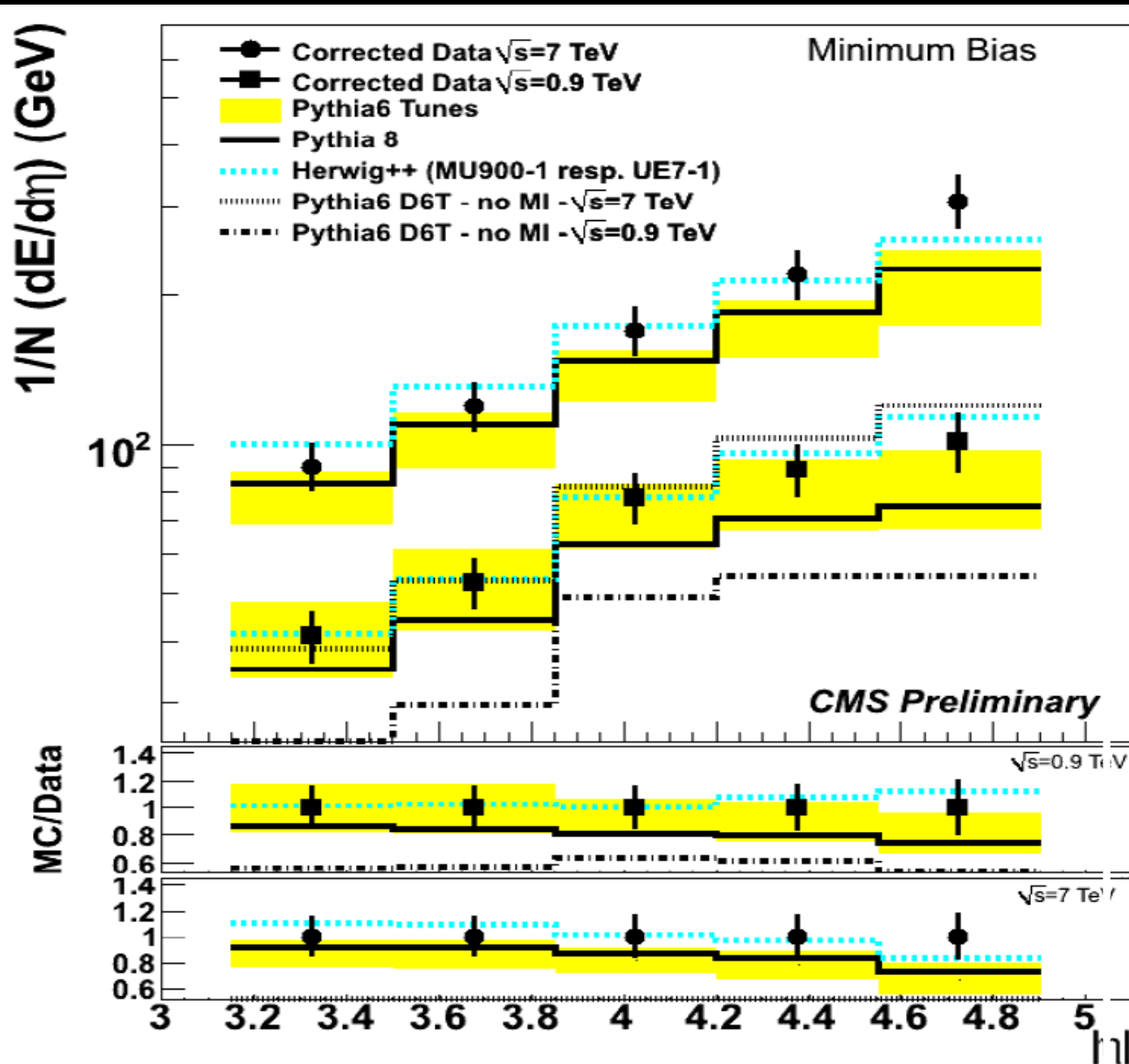
- Trigger: charged particles in fwd/back region ( $3.9 < |\eta| < 4.4$ )
- Systematics: energy scale uncertainty  $\rightarrow$  10%
  - Model uncertainty: 3 – 8% (min bias) ; 4 – 18% (dijets)
  - Total 11 – 14% (min bias) ; 13 – 22% (dijets)

# MinBias Energy Flow measurements



- Measurements at  $\sqrt{s} = 0.9$  and  $7 \text{ TeV}$
- Rise with  $\eta$  corresponds to flat  $E_t$ -flow at  $2.5$  ( $6$ )  $\text{GeV}$
- Change in  $E_t$ -flow from  $0.9$  to  $7 \text{ TeV}$  similar to change in  $N_{ch}$
- At  $\sqrt{s} = 0.9 \text{ TeV}$  similar to UA1 measurement

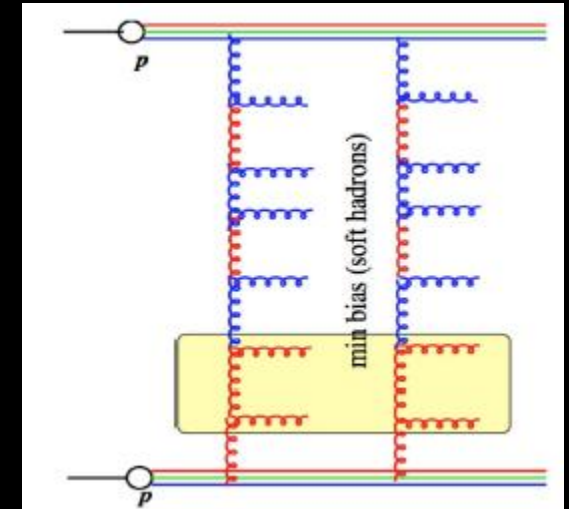
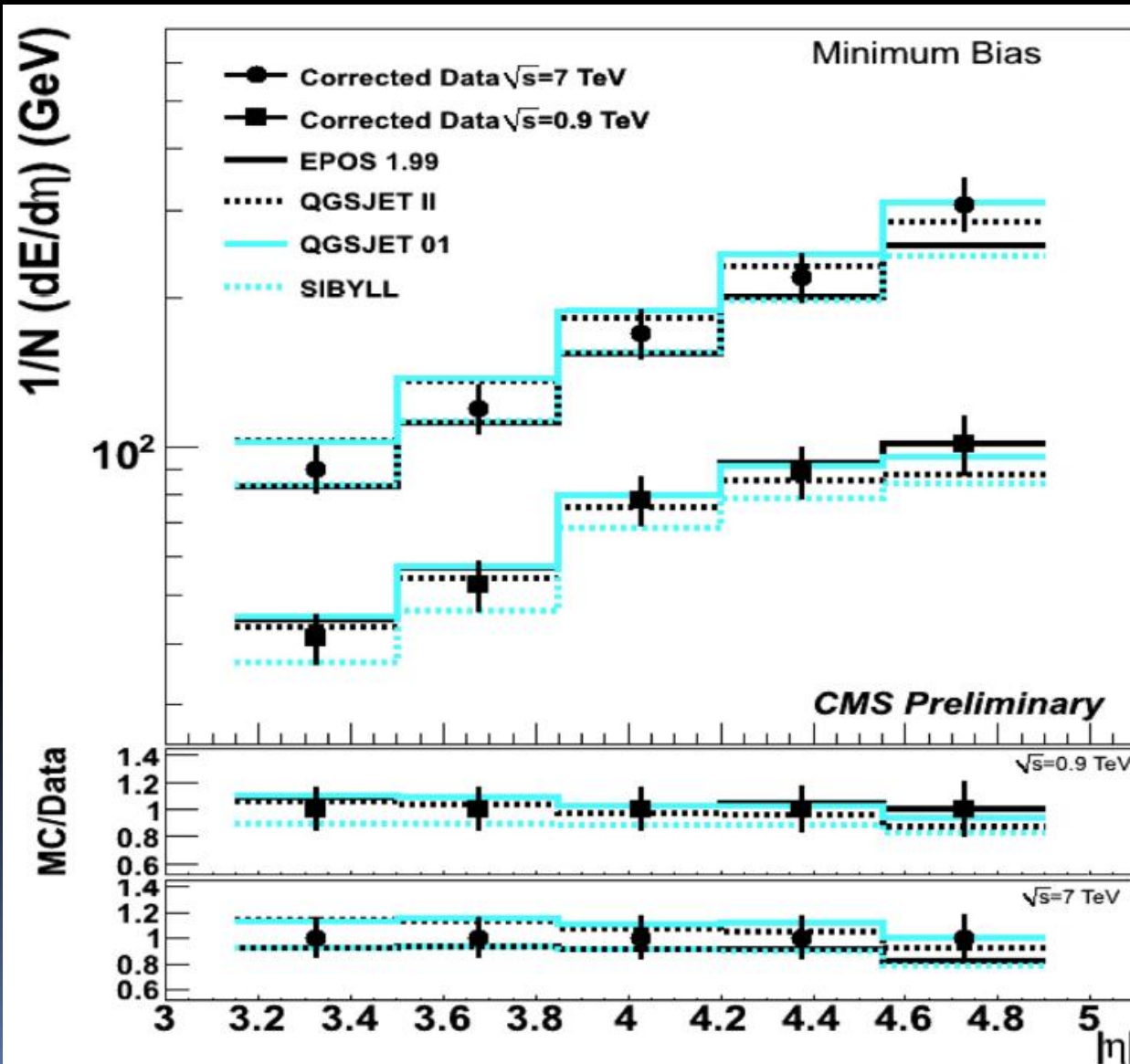
# MinBias Energy Flow measurements



## Comparison to MC

- Predictions w/o MI too low
- Herwig
  - $\sqrt{s}$  dependent tunes work
- Pythia
  - Large spread between tunes
  - Even LHC tunes do not work well

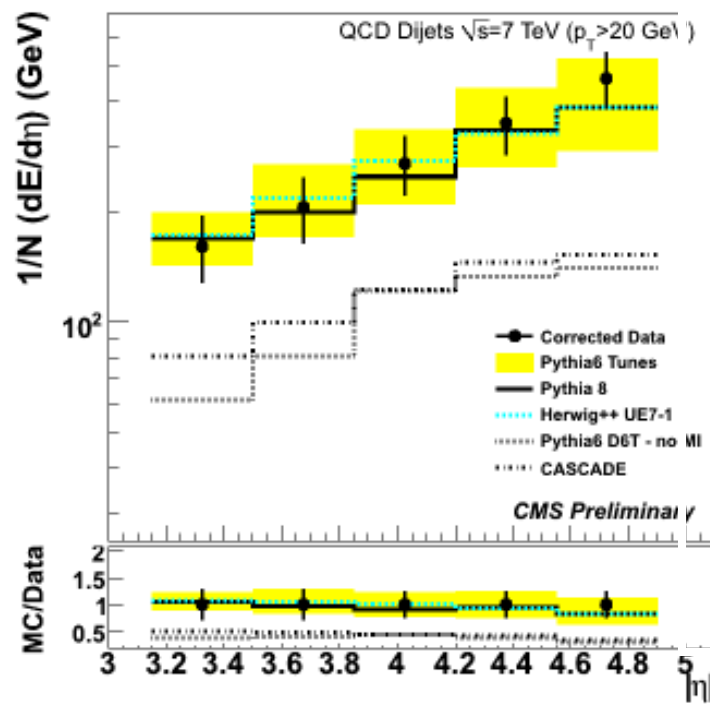
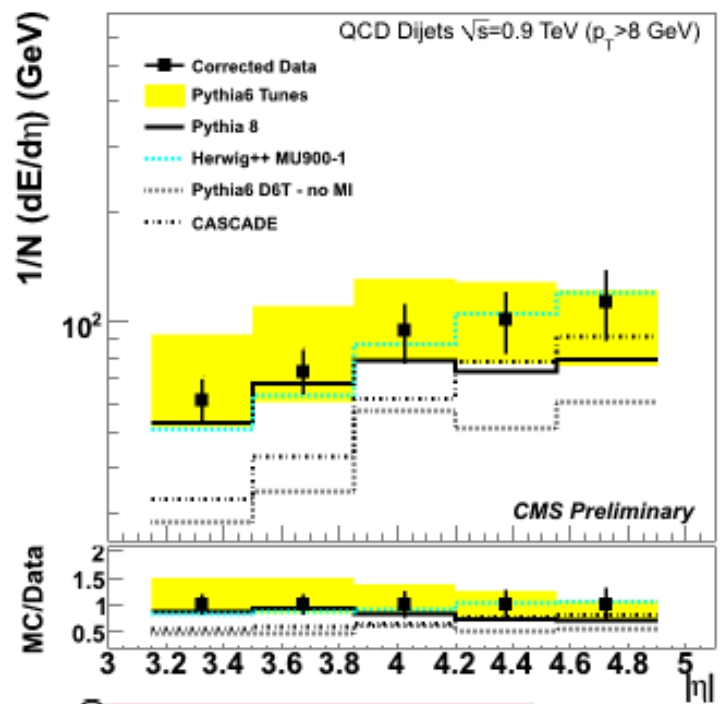
# MinBias Energy Flow measurements



## Comparison to MC

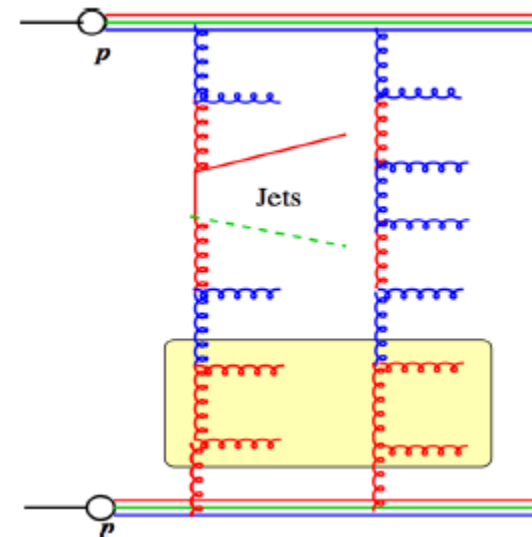
- Cosmic Ray generators
  - Work fairly well without extra tuning

# Dijet Energy Flow measurements



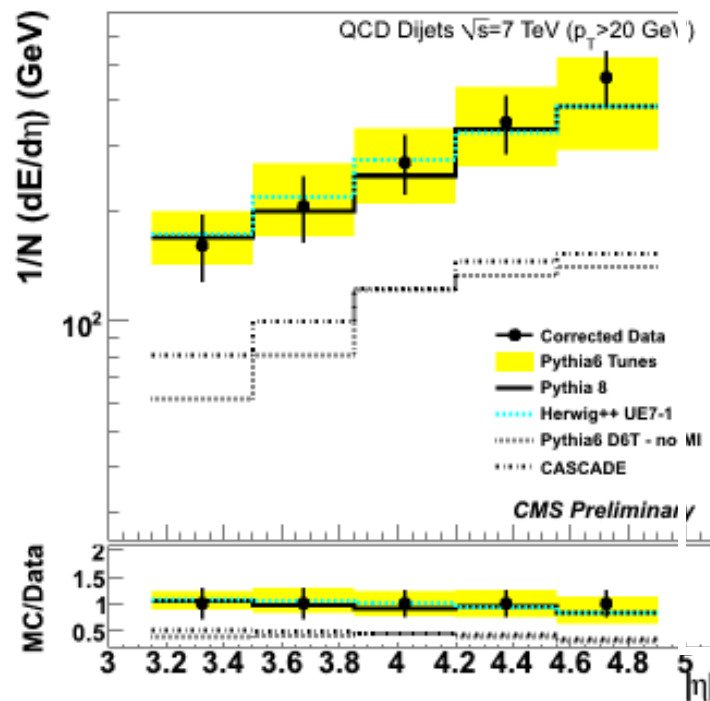
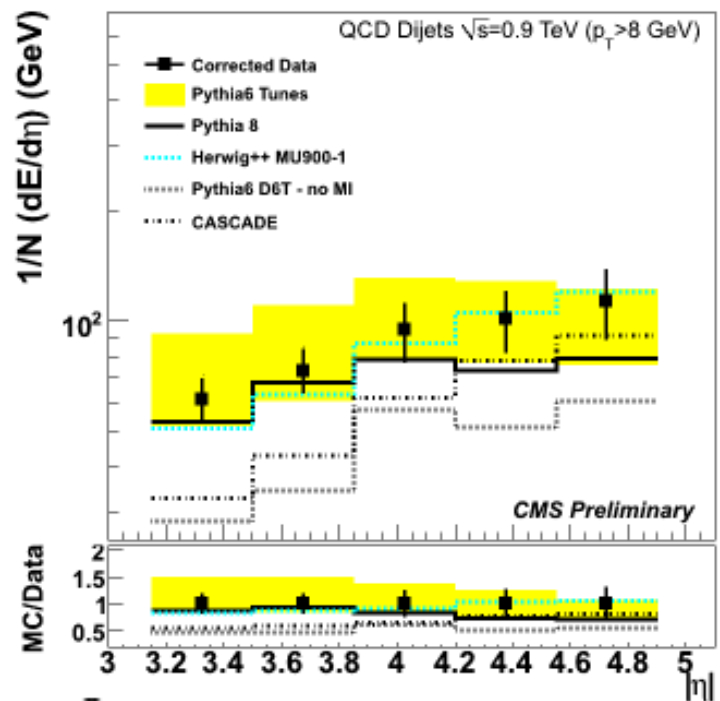
Dijets with

- $P_t > 8$  GeV for  $\sqrt{s} = 0.9$  GeV
- $P_t > 20$  GeV for  $\sqrt{s} = 7$  GeV



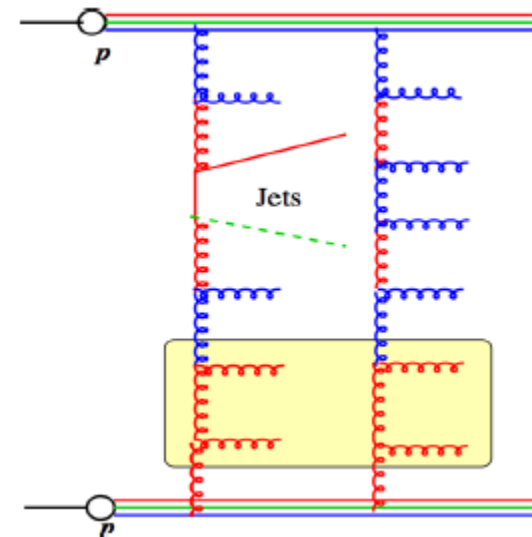
- Rise with  $\eta$  corresponds to decreasing  $E_t$ -flow with  $E_t \approx 11.5$  (9) GeV at  $\eta \approx 3$  (5)
- $E_t$ -flow is much larger than observed at HERA (by a factor of 3–5)

# Dijet Energy Flow measurements



Dijets with

- $P_t > 8$  GeV for  $\sqrt{s} = 0.9$  GeV
- $P_t > 20$  GeV for  $\sqrt{s} = 7$  GeV

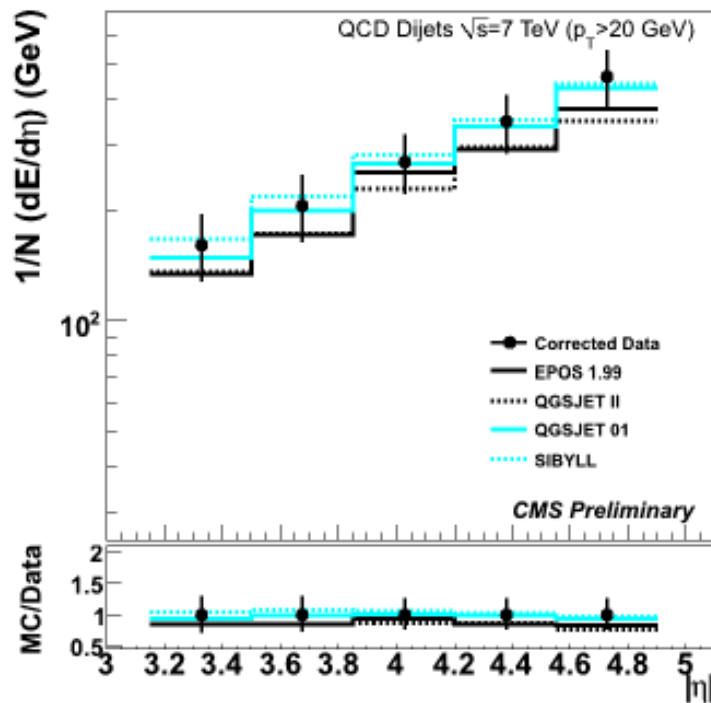
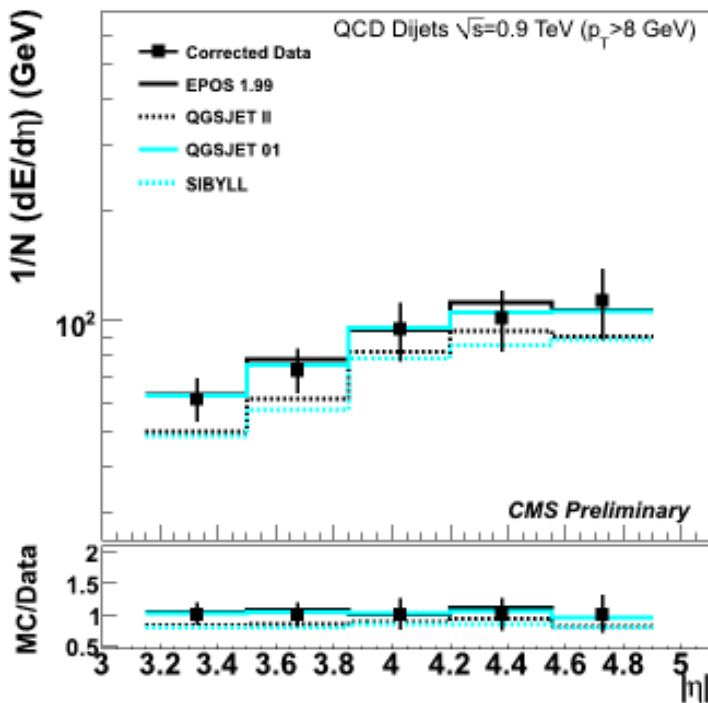


Comparison to MC predictions

- Predictions without MI too low
- Herwig
  - $\sqrt{s}$  dependent tunes work well
- Pythia
  - Different tunes cover the data

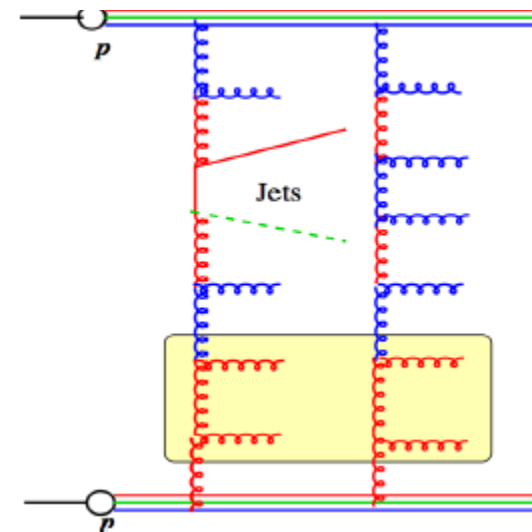


# Dijet Energy Flow measurements



Dijets with

- $P_t > 8$  GeV for  $\sqrt{s} = 0.9$  GeV
- $P_t > 20$  GeV for  $\sqrt{s} = 7$  GeV



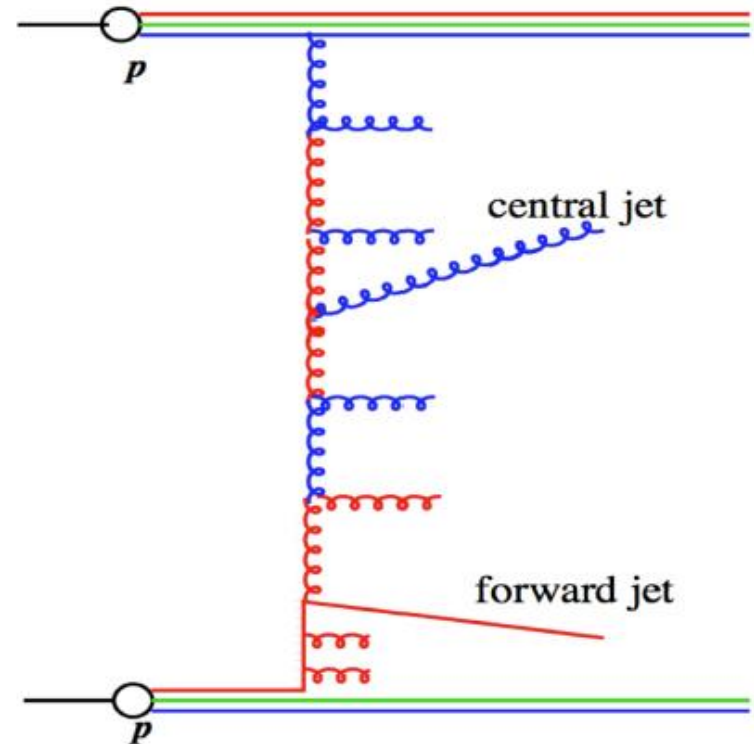
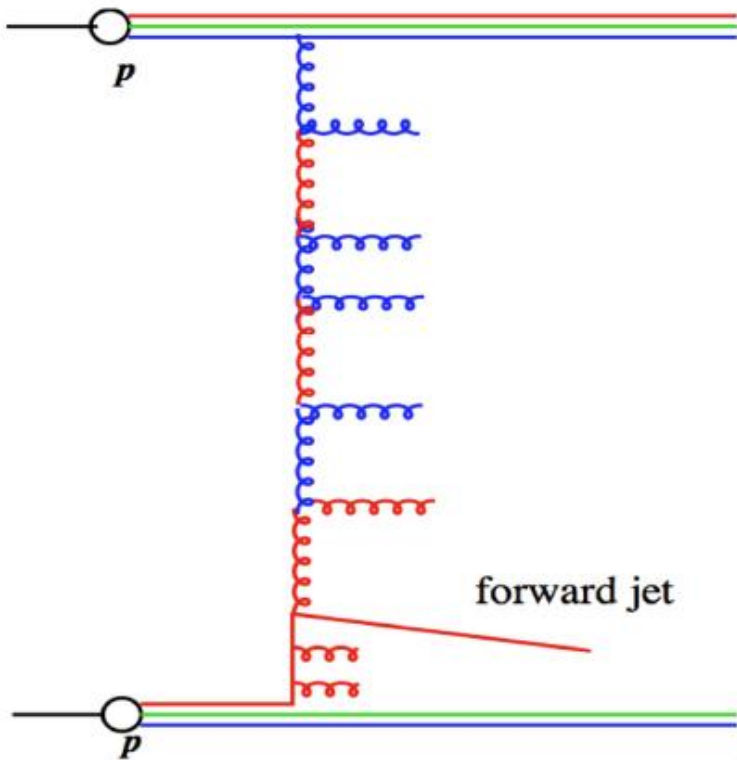
Comparison to MC predictions

- Cosmic Ray generators
  - Describe the data fairly well ( $\sqrt{s} = 7$  GeV)

# *Jets in the forward region*

CMS PAS FWD 10-003  
CMS PAS FWD 10-006

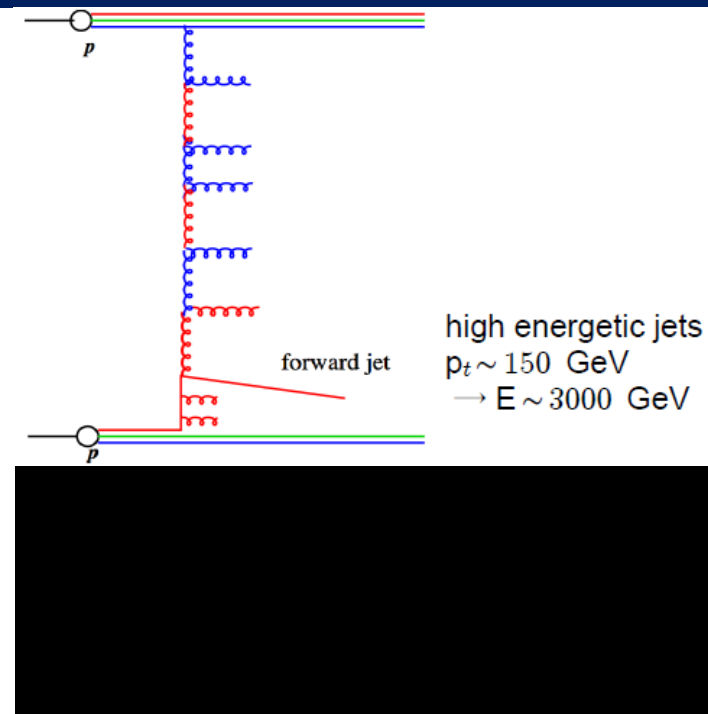
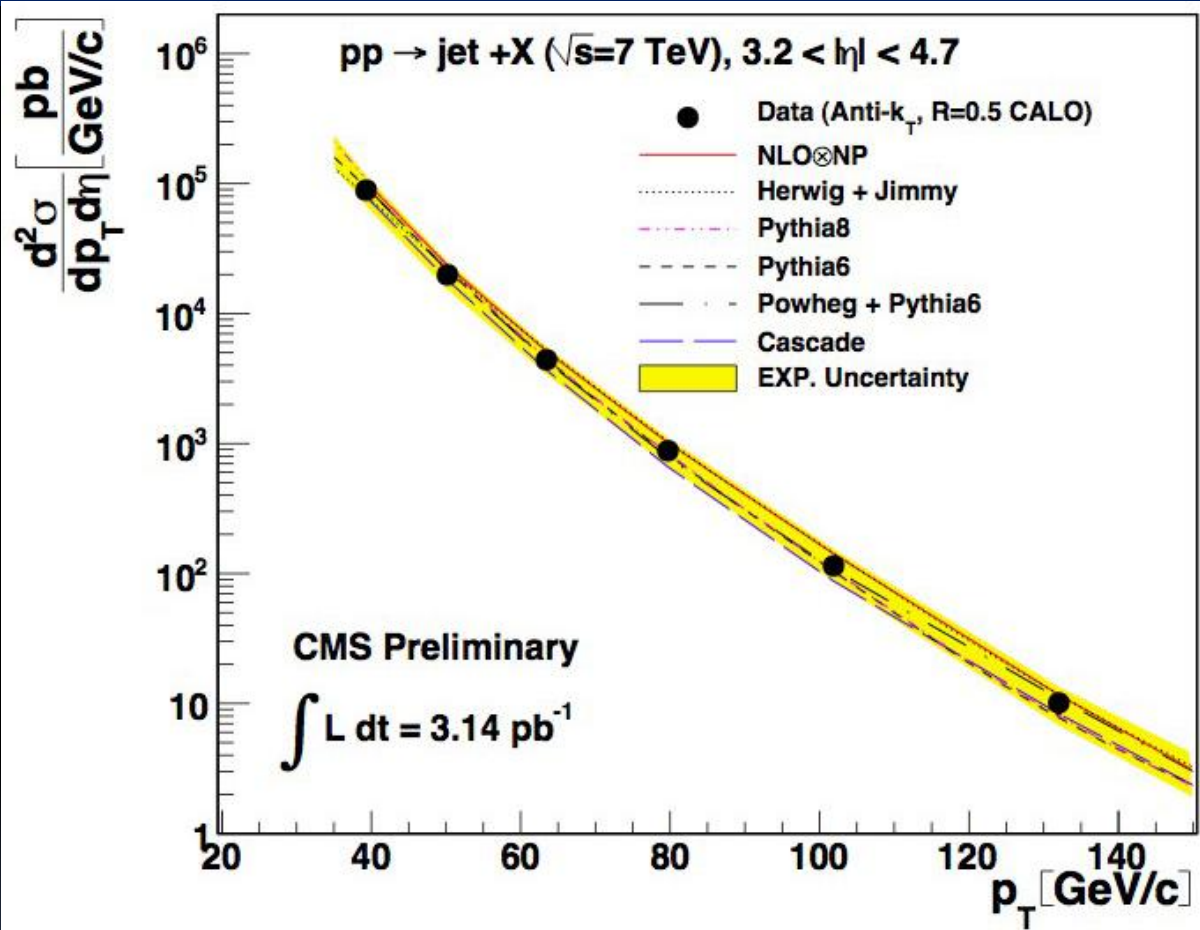
# Jets in the forward region



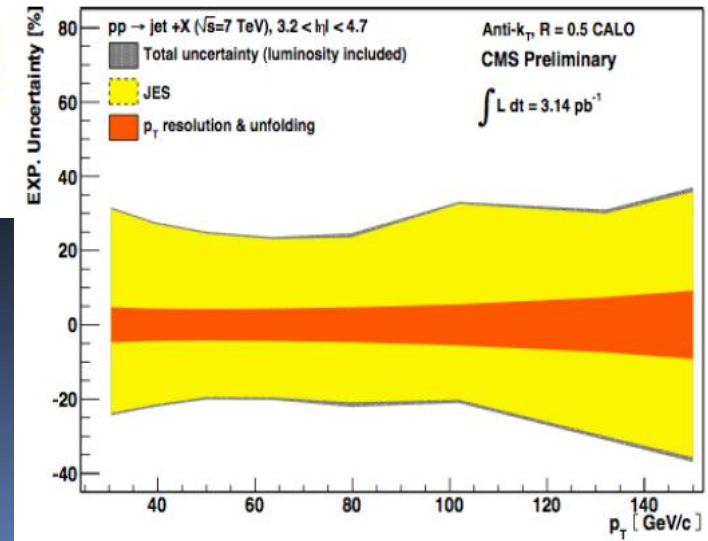
- Inclusive forward jets
  - $E_t > 35$  GeV (anti-kt,  $R = 0.5$ )
- $3.2 < |\eta_f| < 4.7$

- Associated forward/central jets
  - $E_t > 35$  GeV (anti-kt,  $R = 0.5$ )
- $|\eta_c| < 2.8$  and  $3.2 < |\eta_f| < 4.7$

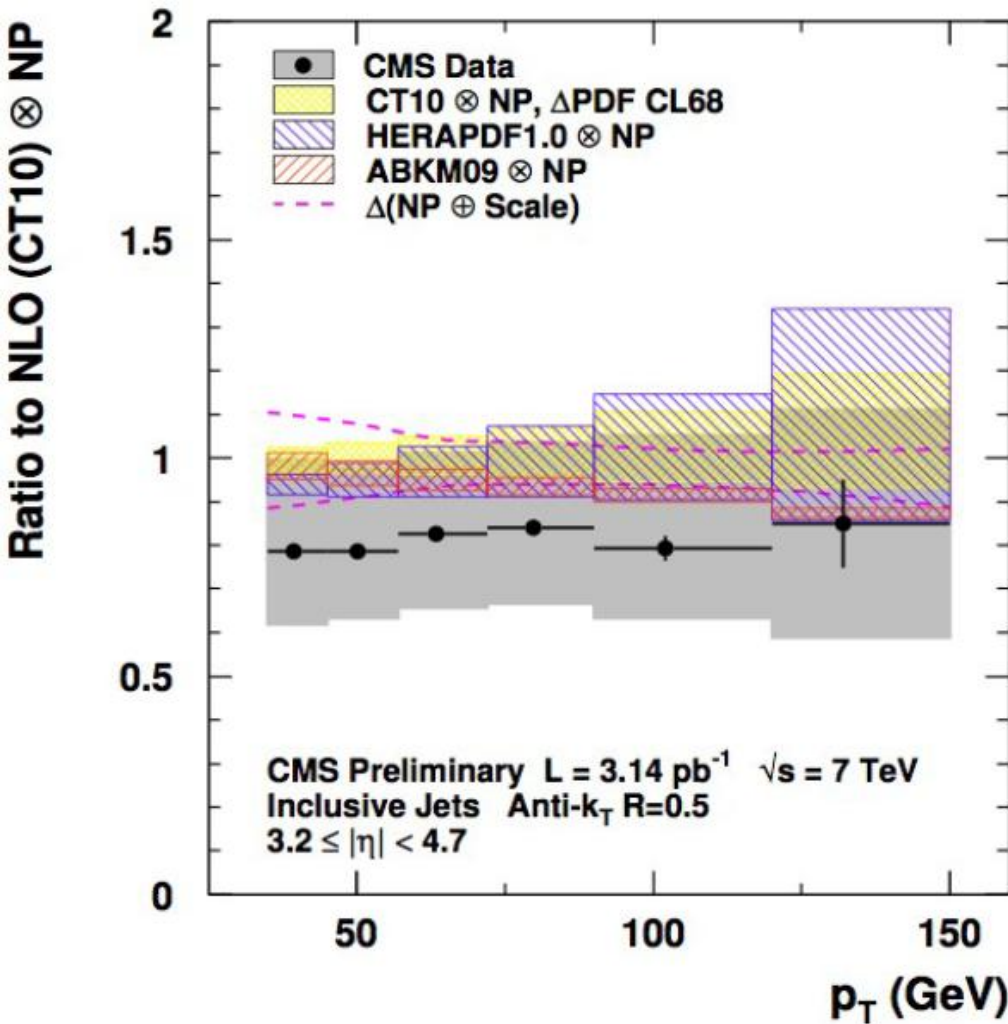
# Inclusive forward Jets



- Jets measured in  $3.2 < |\eta_f| < 4.7$
- Largest systematic uncertainty: JES
- Theory predictions agree with data within experimental uncertainties



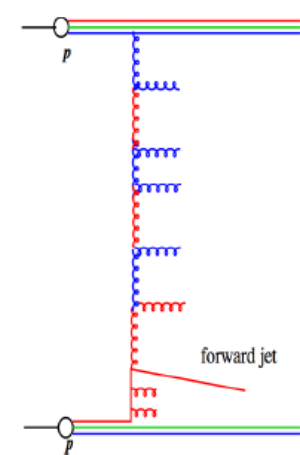
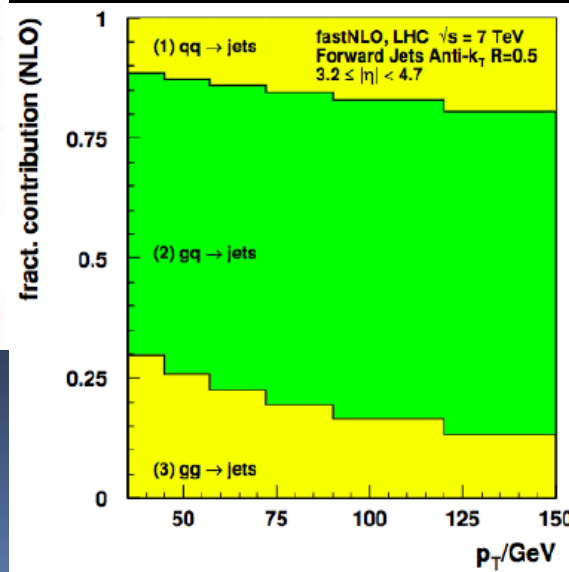
# Inclusive forward Jets



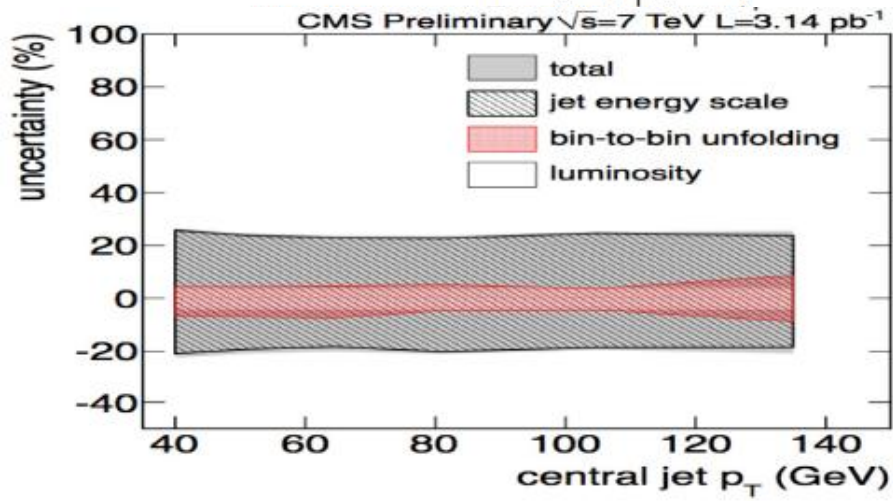
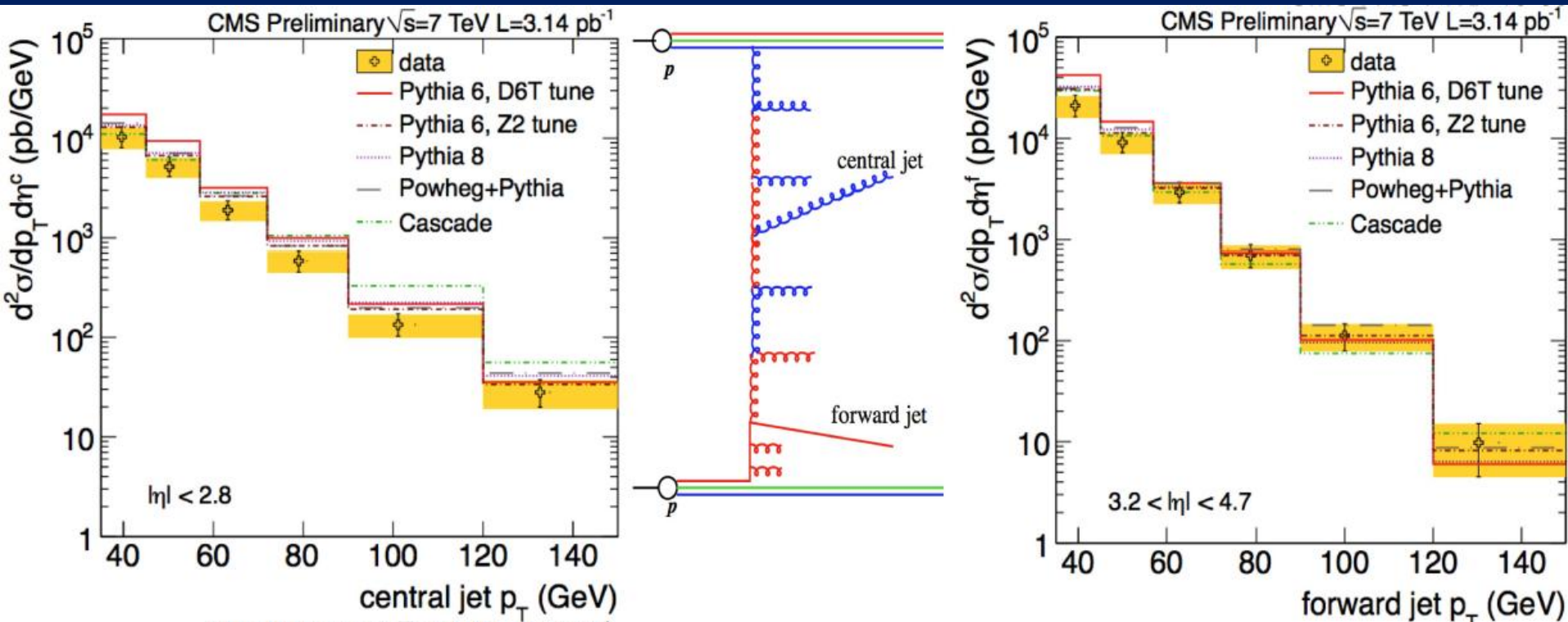
CMS Note 2011-004

- Non-perturbative (NP) corrections
  - Hadronization and MPI
- Scales:  $\mu_f$  &  $\mu_r$  varied independently ( $\times 2$ )
- $\approx 10\%$  uncertainty
- PDF uncertainties largest at high  $p_T$   
→ high  $x$  partons
- $\approx 10 - 30\%$

- Forward Jet measurements can constrain high and low  $x$  parton distributions



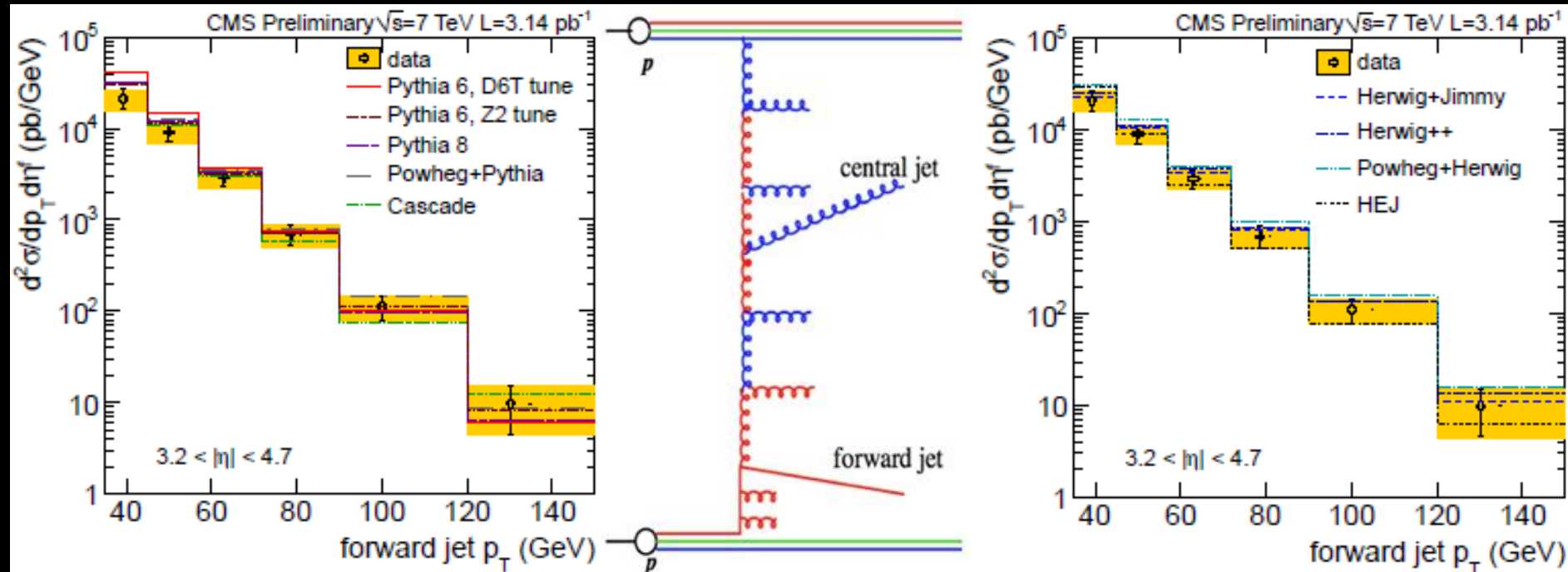
# Forward and central Jets



- Forward and central jets measured with  $p_T > 35$  GeV
- Largest uncertainty : JES
- Large spread in theory predictions



# Forward and central Jets



- Predictions from collinear approach:
- Differences between Pythia - Herwig
- Differences also in POWHEG predictions using Pythia/ Herwig for PS

- Predictions from small x calculations:
  - HEJ (at parton level): within experimental uncertainties
  - CASCADE: large deviation from data
- Room for improvement

# Conclusions

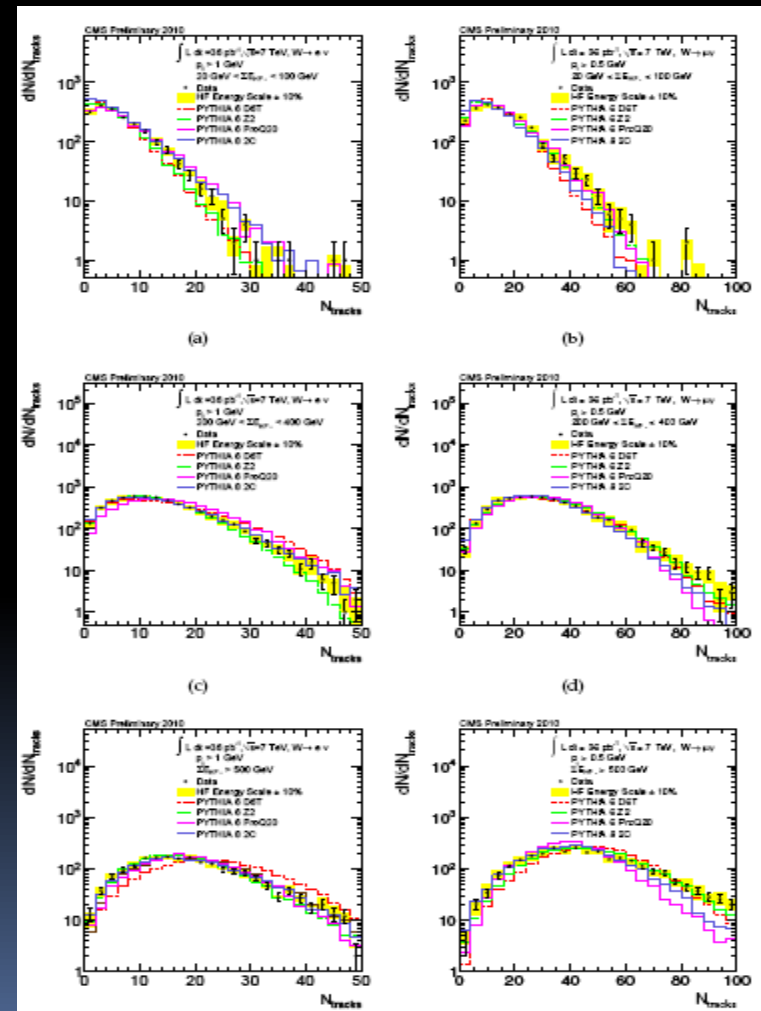
- Inelastic cross-section
  - New measurement based on counting vertices in pileup events
- Inclusive diffraction at 7 TeV
  - No models completely describe calorimeter and charged track distributions
- $W/Z$ 
  - No models completely describe energy flow and charged track distributions
  - Study of LRG events, and measurement of diffractive component from  $\eta_{\text{lepton}}$
- Exclusive production
  - Observation of  $\gamma\gamma \rightarrow \mu\mu$  standard candle, data well-described by LPAIR MC
- Inclusive forward and forward/central jets
  - Test small  $x$  predictions and PDFs
- Correlations between central and forward region – challenge to the theory
- More data (2011) currently being analysed



*Extra*

# W/Z Distributions

- Measurements of
  - Energy flow in HF ( $3 < |\eta| < 4.9$ ), summing calorimeter towers above  $4\text{ GeV}$
  - Track multiplicities ( $|\eta| < 2.4$ ), for  $p_T > 0.5\text{ GeV}$  and  $p_T > 1.0\text{ GeV}$
  - Correlations – track multiplicities in HF+ vs. HF-
- Comparison to a range of Pythia6 and Pythia8 tunes
  - No tune simultaneously describes all multiplicity and energy flow distributions in data



# LHC as a small x machine

J. M. Campbell, J. W. Huston, and W. J. Stirling.  
 Hard Interactions of Quarks and Gluons: A Primer for LHC Physics.  
*Rept. Prog. Phys.*, 70:89, 2007.

## LHC parton kinematics

- LHC can access lowest x values
  - for central W/Z production at
    - 7 TeV:  $x \sim 0.01$
    - 14 TeV:  $x \sim 0.005$
  - at forward rapidities ( $\eta \sim 5$ ):
    - 7 TeV  $x \sim 6 \cdot 10^{-5}$
    - 14 TeV  $x \sim 3 \cdot 10^{-5}$
  - for central jets with  $p_t > 20 \text{ GeV}$ 
    - 7 TeV:  $x \sim 0.006$
    - 14 TeV:  $x \sim 0.003$
  - at forward rapidities ( $\eta \sim 5$ ):
    - 7 TeV:  $x \sim 4 \cdot 10^{-5}$
    - 14 TeV:  $x \sim 2 \cdot 10^{-5}$

