

Plasma Diagnostics of Ion Sources

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Diagnostics – The Window to the Knowledge



- ▶ Langmuir probes: ϕ_{pl} , n_e , T_e
- ▶ Absorption techniques: $n_s \rightarrow \text{Cs}, \text{H}^-$
- ▶ Emission spectroscopy: $n_s, T_s \rightarrow \text{e}, \text{H}, \text{H}_2, \text{H}^-$

Monitoring and Quantification – Spatial and Temporal Resolution

CERN Accelerator School, Senec, Slovakia

29th May – 8th June 2012

Preliminary considerations

What do I want to know?



- ▶ identify the quantity (and the reason for it)
- ▶ define the required precision
- ▶ temporal behaviour and required time resolution
- ▶ necessity for spatial resolution
- ▶ ...



Adequate diagnostic technique?

- ▶ extensive or simple setup
- ▶ data acquisition and evaluation
- ▶ reliability
- ▶ costs and time (manpower) needed
- ▶ ...



Accessibility of the ion source?

- ▶ diagnostic ports
- ▶ test stand or continuous operation
- ▶ risks and feasibility
- ▶ reliability
- ▶ ...

invasive – non-invasive ; active – passive ; basic – specific parameters

Method	Standard	Sophisticated	Extras
Langmuir probe	single probe (cylindrical or planar)	double or triple probe emissive probe	special method: Boyd-Twiddy
emission spectroscopy	optical wavelength range with fibre optics & survey spectrometer	extended wavelength range VUV, UV or IF	sophisticated system spectral resolution, type of detector
absorption spectroscopy	white light absorption technique	tuneable laser absorption	cavity-ringdown spectroscopy
Laser methods	laser induced fluorescence	TDLAS	
mass spectrometry	residual gas analyser	energy resolved mass spectrometry	

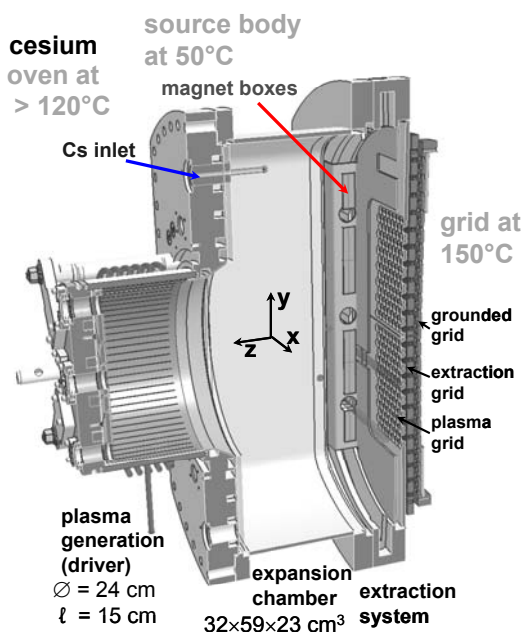
Introduction into techniques and applications for example in [1] – [7]

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CAS on Ion Sources, 6th June 2012

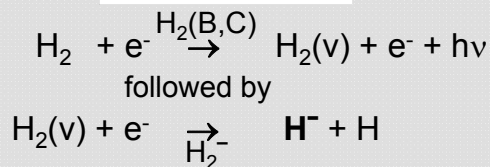
Example case: IPP ion source for negative hydrogen ions

ICP: $f = 1 \text{ MHz}$, $P = 70 \text{ kW}$, $p = 0.3 \text{ Pa}$
6s plasma (4s beam), every 3 min: BATMAN
cw, up to 1 hour, every 3 min: MANITU



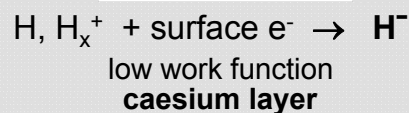
H^- formation and losses ...

volume process

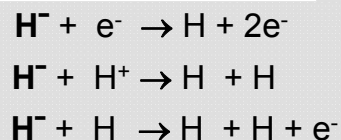


dissociative attachment

surface process



destruction processes

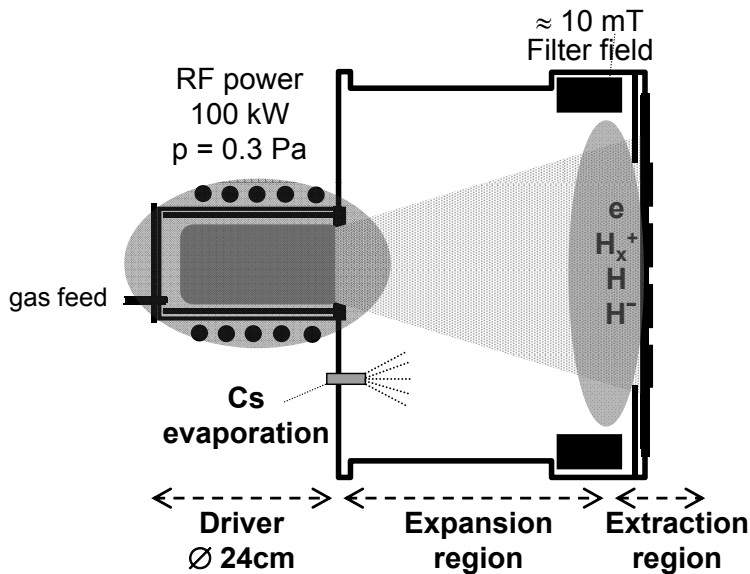


... determine source optimisation

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Ion sources for negative hydrogen ions: ionising – recombining plasma



$H, H_x^+ + \text{surface } e^- \rightarrow H^-$
Cs evaporation \rightarrow low work function

Plasma generation ionising plasma

- ▶ ionisation: $\alpha \approx 0.1$
- ▶ dissociation: $\delta \approx 0.3$
- ▶ $T_e \approx 10 \text{ eV}$, $n_e \approx 5 \times 10^{18} \text{ m}^{-3}$

H^- generation recombining plasma

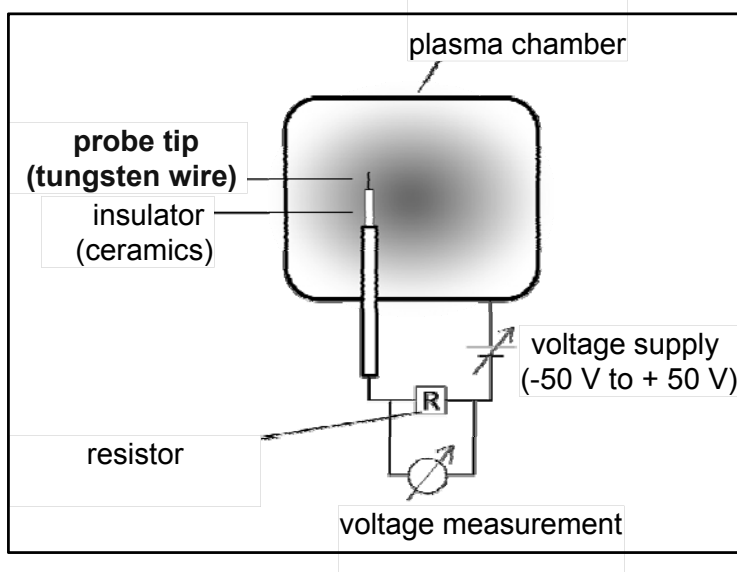
- ▶ $T_e \approx 1 \text{ eV}$, $n_e \approx 5 \times 10^{17} \text{ m}^{-3}$
- ▶ $H^-/n_e \approx 0.1 - 5$
- ▶ $Cs^+/n_e \approx 0.01 - 0.1$

Main issues

- ▶ Production and destruction of negative ions
- ▶ Extraction of negative ions
- ▶ Reduction of co-extracted electrons

**focus of diagnostics
(and modelling)
on plasma close to the grid**

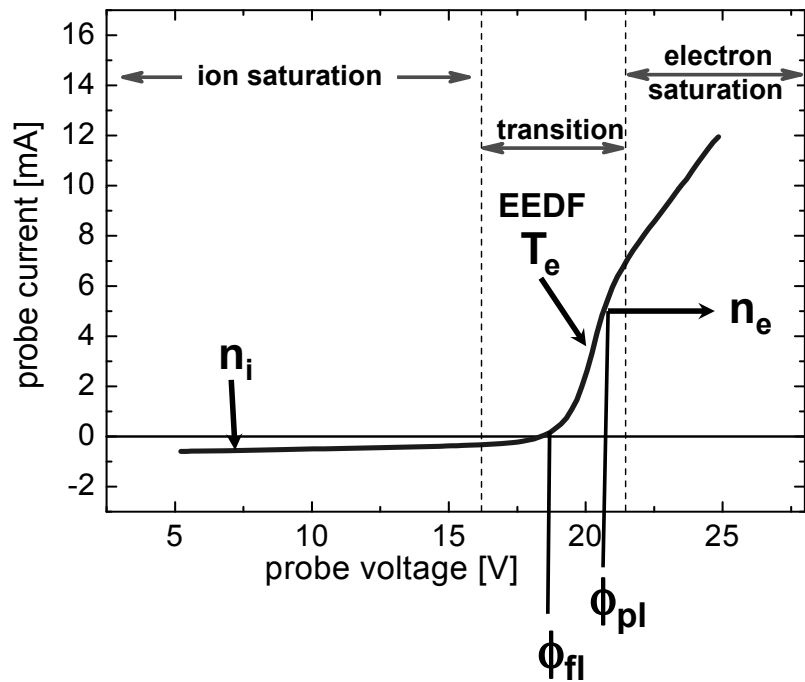
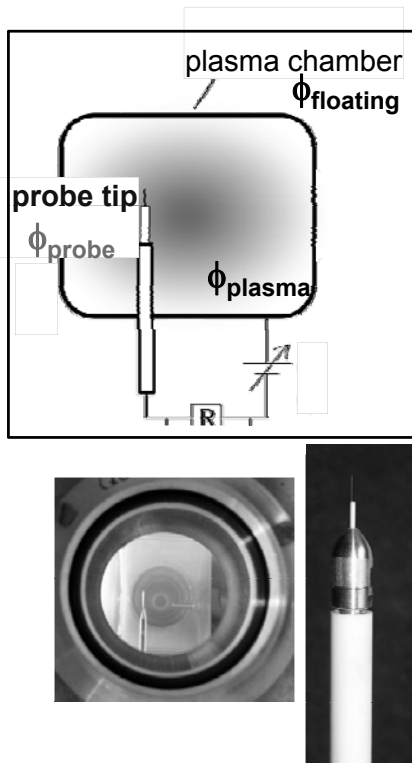
Main principle



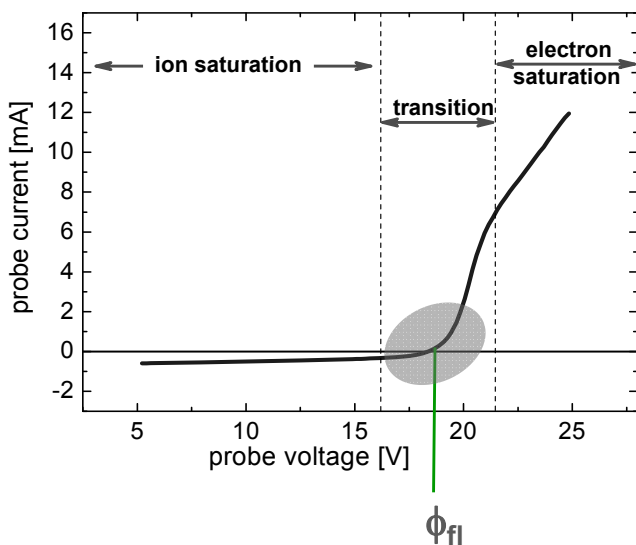
- ▶ stick a wire into the plasma tungsten, $\varnothing \approx 100 \mu\text{m}$, $l = 1 \text{ cm}$
- ▶ choose a reference electrode potential of plasma chamber
- ▶ apply a variable voltage typ. from -50 V to $+50 \text{ V}$

**I - V
characteristics**

Main principle



Floating potential



- ▶ same fluxes for ions and electrons

$$\Gamma_{ions} = \Gamma_{electrons}$$

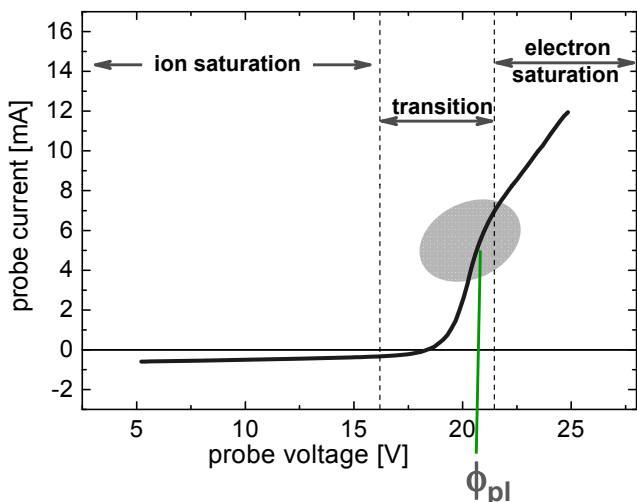
same currents

- ▶ no probe current



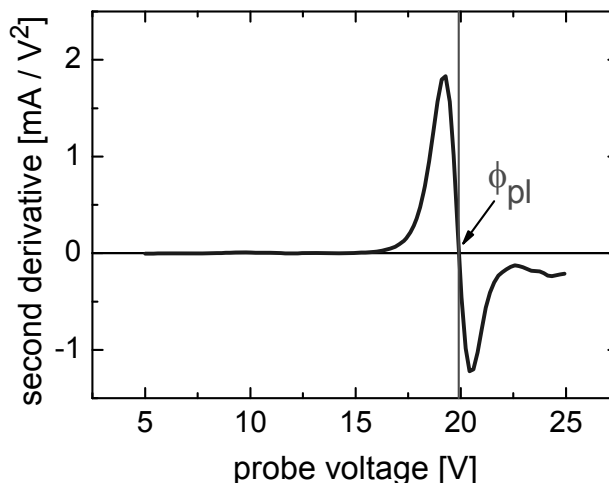
$$I_{probe} = 0 \rightarrow \phi_{fl}$$

Plasma potential



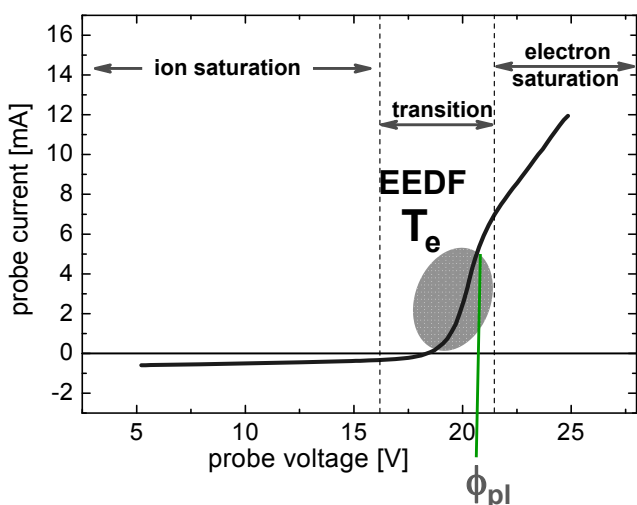
- ▶ determined by ambipolar diffusion
- ▶ turning point of I-V characteristics
- ▶ zero-crossing of second derivative

$$d^2 I / d \phi_{pr}^2 \rightarrow \phi_{pl}$$



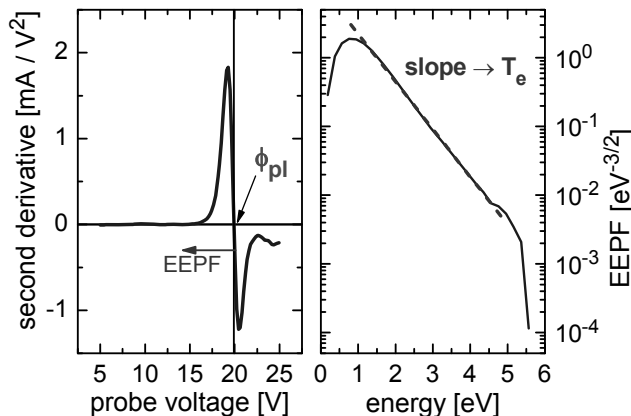
- ▶ for curves with high noise level crossing of linear fits to electrons saturation current and transition close to turning point

Electron energy distribution function (EEDF) and electron temperature



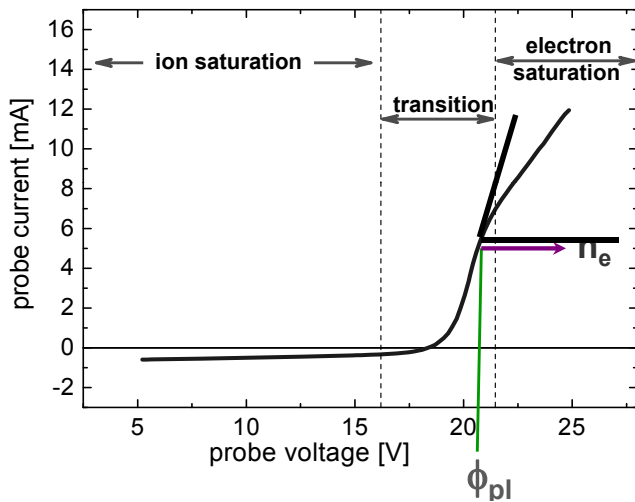
- ▶ distinguish: **EEDF = sqrt(E) × EEPF**
e.g.: Maxwell function probability function for both: normalisation

- ▶ plot $\log(I_{pr})$ versus $E = \phi_{pl} - \phi_{pr}$
- ▶ slope yields T_e for Maxwell EEDF
 $d(\ln I) / dE = e / (k_b T_e)$



- ▶ T_e also from potential difference
 $\phi_{pl} - \phi_{fl} = k_b T_e \times \ln(\sqrt{m_i / (2\pi m_e)})$
 $\approx 2-3 \times T_e$

The electron density



- ▶ electron saturation current

$$I_{e,sat} = \frac{1}{4} n_e e v_e A_{eff}$$

- ▶ problem: effective probe area due to increase of plasma sheath



- ▶ take current at plasma potential

$$\rightarrow A_{eff} = A_{probe}$$

$$n_e = \frac{I(\phi_{pl})}{r_{pr} l_{pr} e} \sqrt{\frac{m_e}{2\pi k_B T_e}}$$

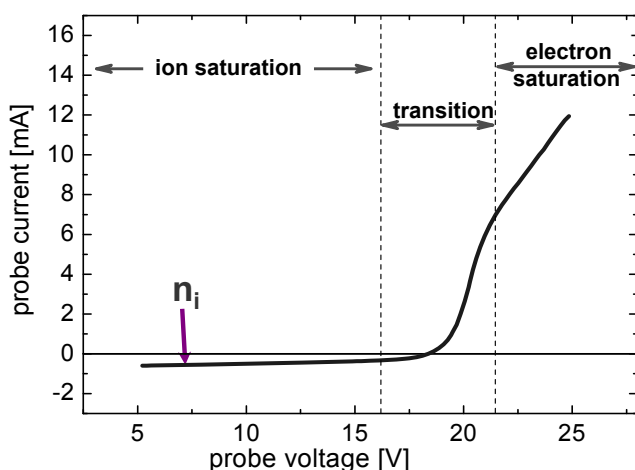
needs T_e

Probe geometry

influences shape of electron saturation current

- ▶ cylindrical probe (standard case)
- ▶ planar probe $\rightarrow A_{pr} \gg$ sheath
- ▶ spherical probe

Ion density (positive ions)



- ▶ ion saturation current
- ▶ basically three theories available
 - OML: Orbital-Motion-Limited
 - ABR: Allen-Boyd-Reynolds
 - BRL: Bernstein-Rabinowitz-Langmuir
 all of them assuming collision-less plasma sheath, i.e. $\lambda(\text{ions}) > r(\text{sheath})$

- ▶ simplest case: OML ($r_{pr} / r_{sheath} < 3$)

$$I_i = n_i e A_{pr} \sqrt{\frac{k_B T_e}{m_i}}$$

needs T_e

needs m_i


often unclear, e.g. hydrogen

$\rightarrow H^+, H_2^+, H_3^+$

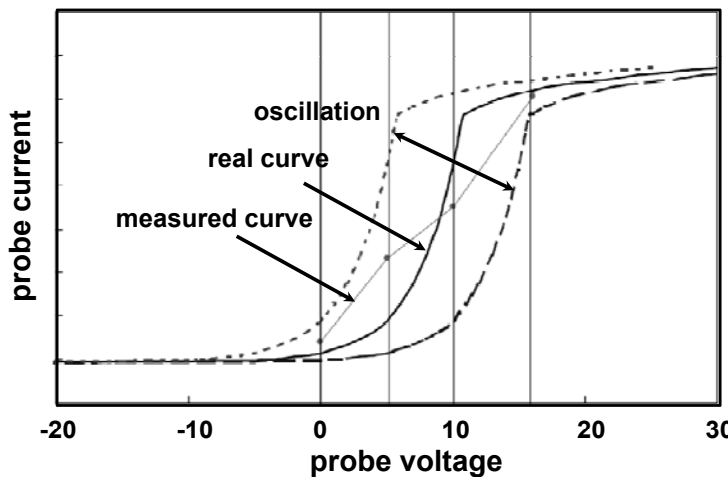
- ▶ choose proper ϕ_{pr}
guide line: $\phi = \phi_{pl} - 10 \times kT_e$
- ▶ check if $n_e = n_i$ is fulfilled
- ▶ $I_{sat, i}$ at fixed ϕ_{pr} : useful as monitor signal

Specific features – to keep in mind

- ▶ invasive method → probe size versus plasma volume
- ▶ level of noise → EEPF for typically three orders of magnitude average, smoothing, filtering
- ▶ RF field → oscillating ϕ_{pl}
measured curve \neq real curve

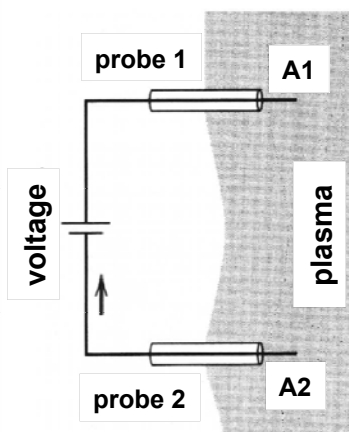


 RF compensation active or passive
- ▶ magnetic field → gyro motion
use I_{ion} instead of I_e
- ▶ negative ions → I_- instead of I_e
for same mass $I_{sat,ion} = I_{sat}$, symmetric curves



For monitoring or quantification with spatial and temporal resolution !

Double probe



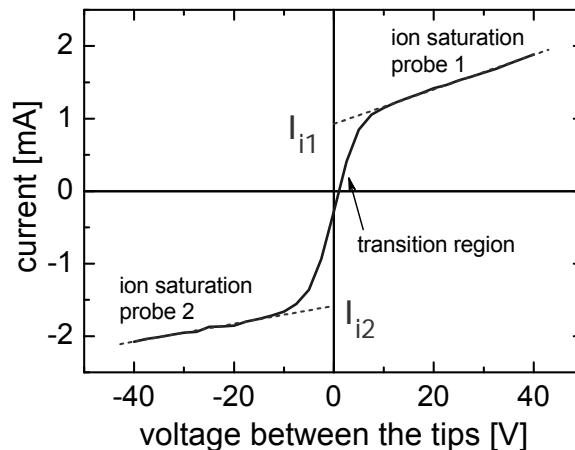
- ▶ two probe tips of same size
distance > 2 Debye length
- ▶ voltage between the probes
both probes are floating
no reference potential needed

➔ compatible with quartz or ceramic chamber and RF field

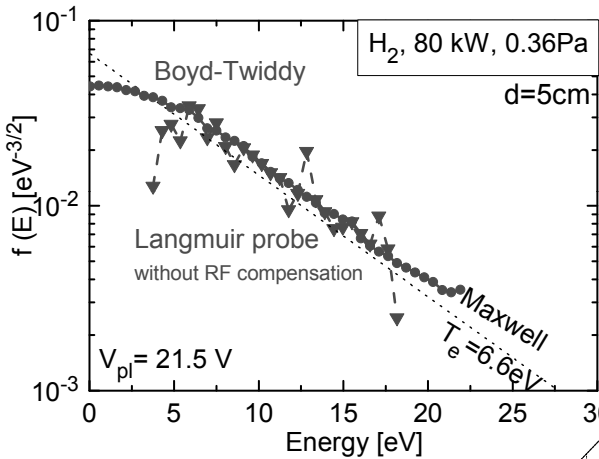
- ▶ symmetric curve: ion saturation current

$$I_{i,sat} = \frac{I_{isat,+} + I_{isat,-}}{2} \quad n_i = \frac{I_{i,sat}}{eA \sqrt{\frac{k_B T_e}{m_i}}}$$

- ▶ T_e from transition region



Comparison of EEDF



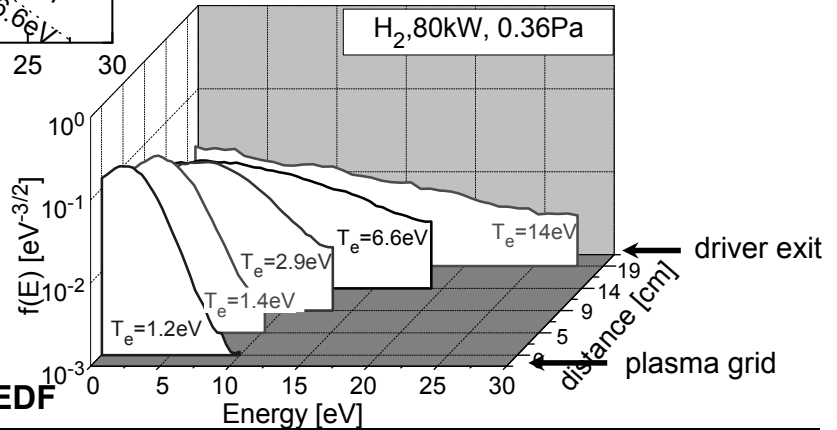
**Boyd-Twiddy method:
direct measurement of EEDF**

- ▶ voltage ramp is superimposed by AC modulated signal
- ▶ measure frequency spectrum of probe current

B. Crowley, S. Dietrich PSST 18 (2009) 014010

**Sophisticated
Langmuir probe system
for RF ion sources**

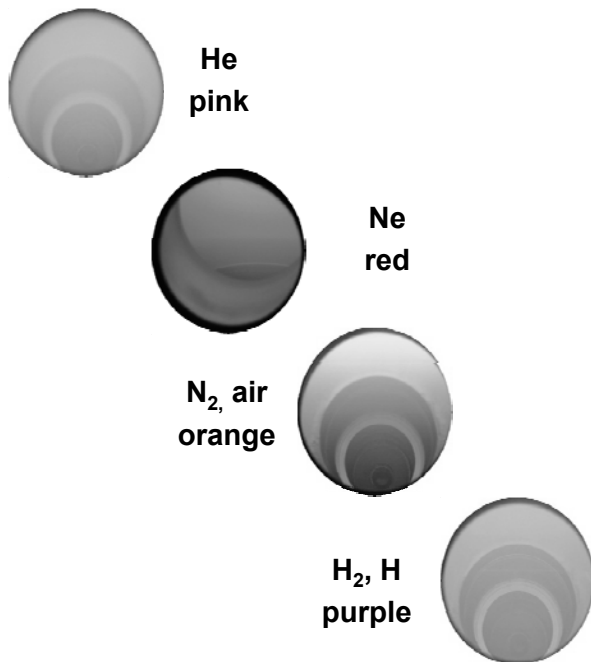
McNeely et al.
PSST 18 (2009) 014011



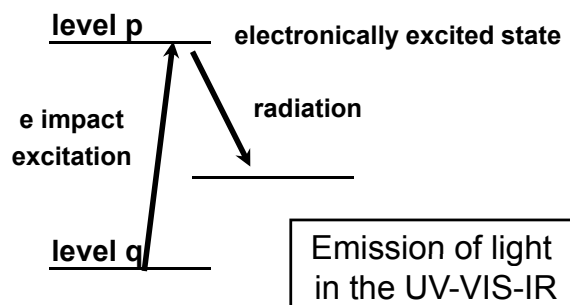
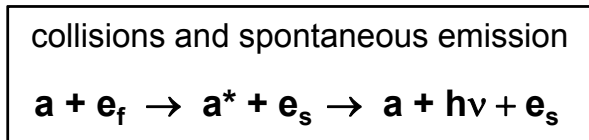
Maxwellian EEDF

Radiation of low temperature plasmas

Colourful plasmas !



- ▶ **Neutrals** atoms and molecules
- ▶ **Ions** single charged
- ▶ **Electrons** $n_e \ll n_n$



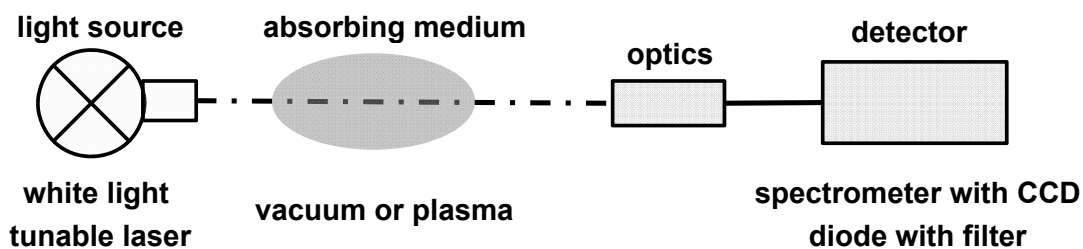
Emission versus absorption spectroscopy

Emission passive	<ul style="list-style-type: none"> ▶ photon energy $E = h\nu$ ▶ wavelength $\lambda = (E_p - E_k)/hc$ ▶ Einstein coefficients A_{pk}, B_{kp} 	Absorption active
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<ul style="list-style-type: none"> ▶ VIS: simple equipment ▶ information of upper level p 	<ul style="list-style-type: none"> ▶ expensive equipment ▶ information of lower level q
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Main principle of line absorption



Non-invasive and line of sight integrated method !

Absorption in a medium with path length l $I(\lambda, l) = I(\lambda, 0) \exp[-\kappa(\lambda)l]$ absorption coefficient $\kappa(\lambda)$ statistical weights g_i, g_k

$k(\lambda) = \frac{1}{l} \ln\left(\frac{I(\lambda, 0)}{I(\lambda, l)}\right)$ with Ladenburg relation $\int_{\text{line}} \kappa_{ki}(\lambda) d\lambda = n_k \frac{g_i}{g_k} \frac{\lambda_0^4}{c} \frac{A_{ik}}{8\pi}$

Density of lower state ground state, metastables

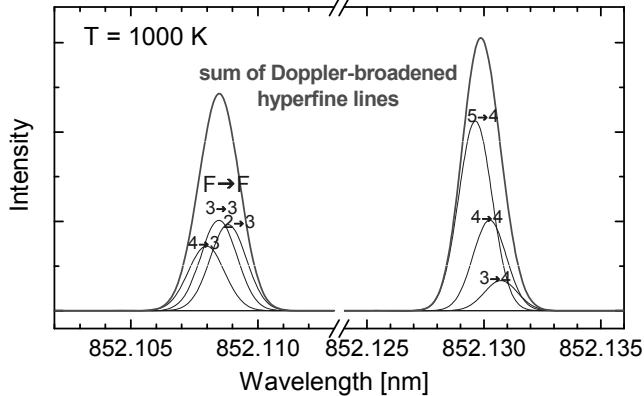
$$n_k = \frac{8\pi c}{\lambda_0^4} \frac{g_k}{g_i} \frac{1}{A_{ik} l} \int_{\text{line}} \ln\left(\frac{I(\lambda, l)}{I(\lambda, 0)}\right) d\lambda$$

Example: Cs line at 852.1 nm**resonance line $6^2S_{1/2} - 6^2P_{3/2}$ with hyperfine structure**

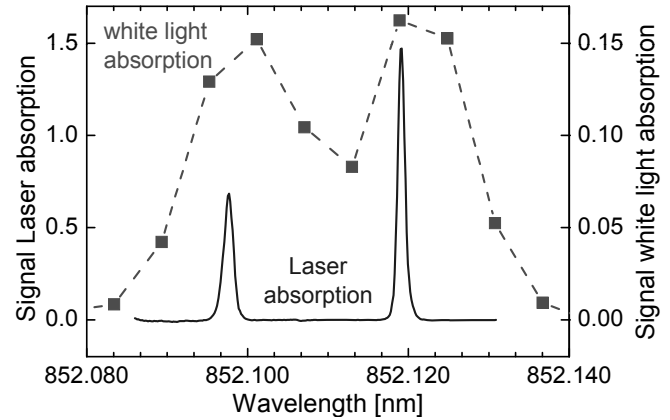
take line broadening into account

Doppler profile

$$\Delta\lambda_{D,FWHM} = \frac{\lambda}{c} \sqrt{\frac{8k_B T \ln 2}{m}}$$



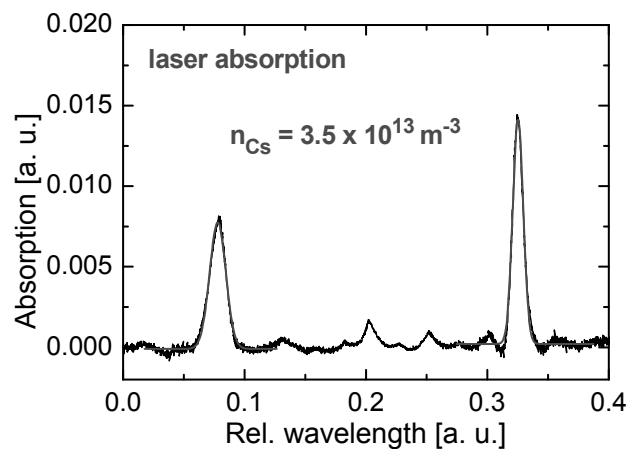
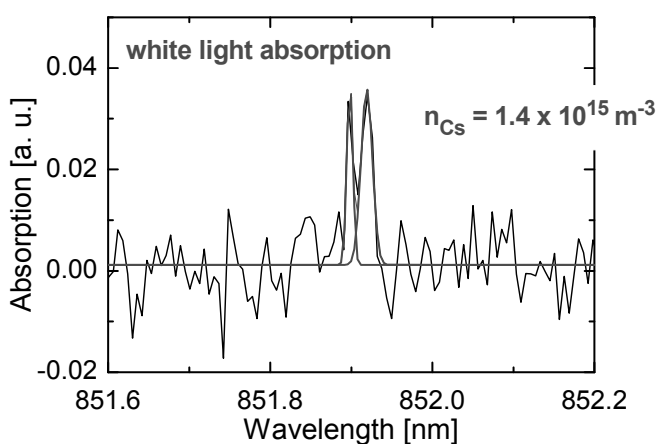
appearance depends on technique

white light absorption \leftrightarrow laser absorption

apparatus profile (spectrometer) Doppler profile

six hyperfine lines overlap to two peaks: $\Delta\lambda \approx 21$ pm**Example: Cs line at 852.1 nm**

white light absorption versus laser absorption

**Improved detection limit for laser absorption: factor > 40 !**sensitivity range: $3 \times 10^{13} \text{ m}^{-3} - 10^{17} \text{ m}^{-3}$ (path length = 15 cm)

being perfectly in the range required for the ion sources

Straightforward analysis

- ▶ in vacuum
- ▶ with plasma \rightarrow subtract emission

$$n_k = \frac{8\pi c}{\lambda_0^4} \frac{g_k}{g_i} \frac{1}{A_{ik} l_{\text{line}}} \int \ln \left(\frac{I(\lambda, l)}{I(\lambda, 0)} \right) d\lambda$$

... but

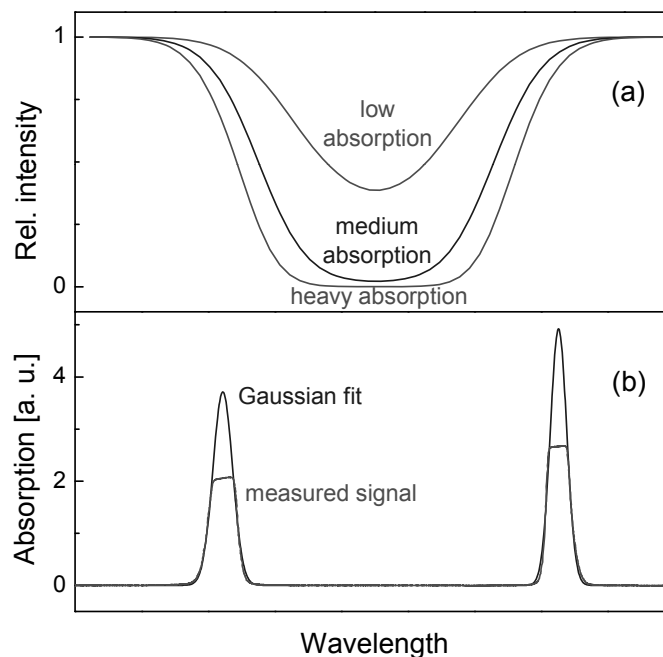
Line saturation

- ▶ strong absorption: $n_k \times l$
- ▶ correction factors by profile fitting

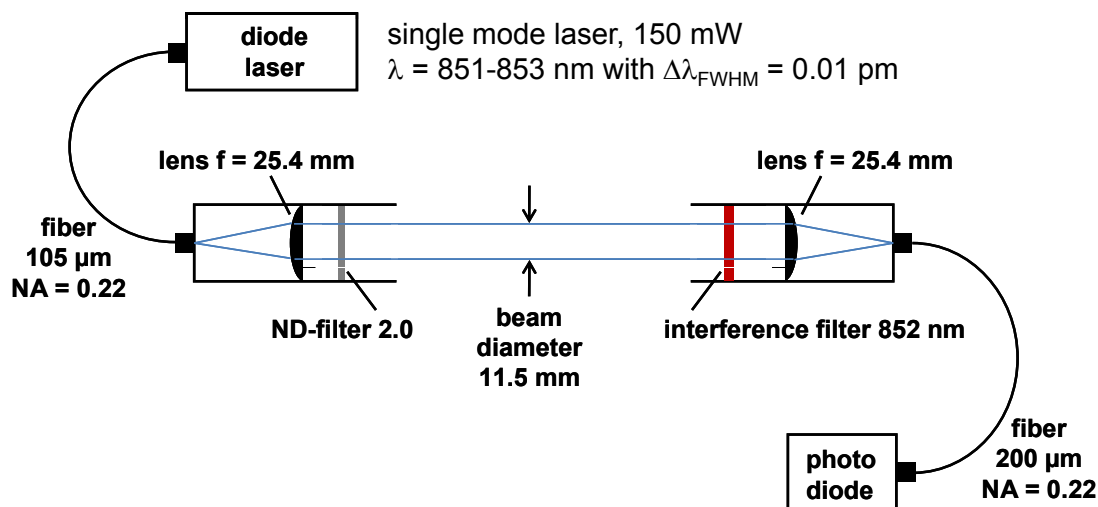
... and

Depopulation effect

- ▶ strong intensity
- ▶ attenuation of laser to $\approx 1\%$ \rightarrow trade-off with temporal resolution



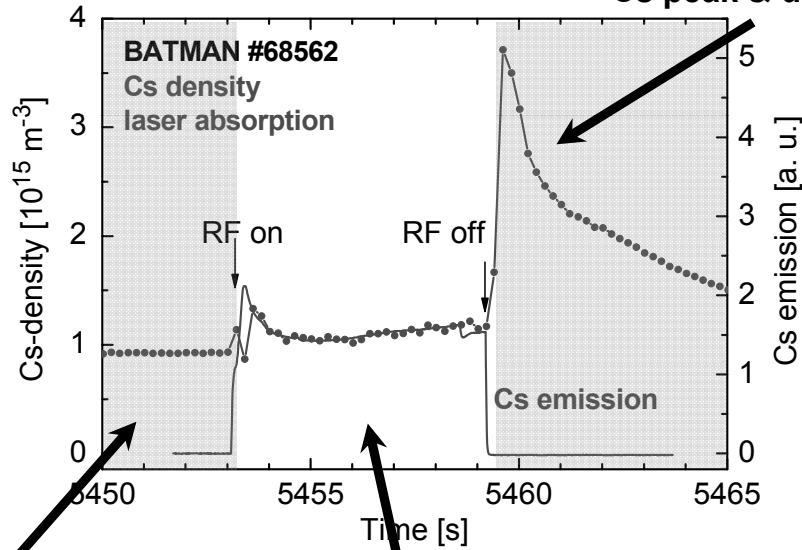
Tunable diode laser – Fibre optics – Photo diode with interference filter



Simple and robust setup for application to ion sources !

On-line monitoring: vacuum – plasma (6s with 4s beam) - vacuum

③ 30 s after pulse:
Cs peak & decay time



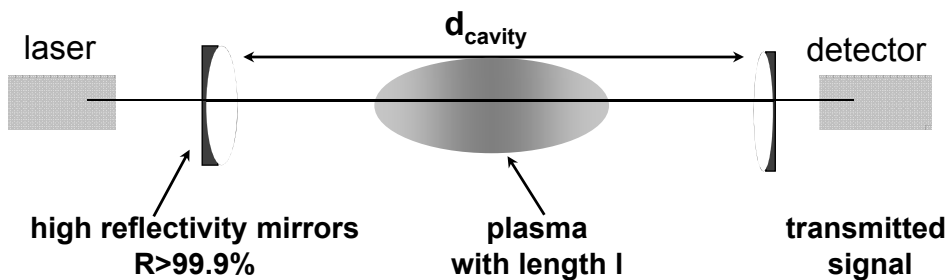
① 3 s before pulse: vacuum

② 6 s plasma with 4 s beam: plasma
90% of Cs is ionized

Absorption techniques: $n_{\text{species}} \rightarrow \text{H}^-, \text{Cs}, \text{Ar}, \text{H}_3^+ \dots$

Cavity – Ringdown – Absorption – Spectroscopy \rightarrow CRDS

pumping of an optical cavity by a (tunable) laser source
measurement of signal decay after laser source is switched off



Measurement of laser light attenuation trapped in a high-finesse optical cavity

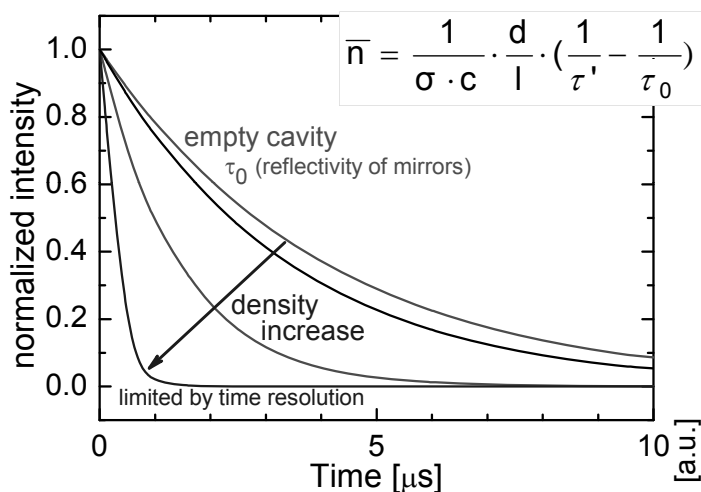
transfers absorption signal from wavelength into time dependence

empty cavity with decay time τ_0

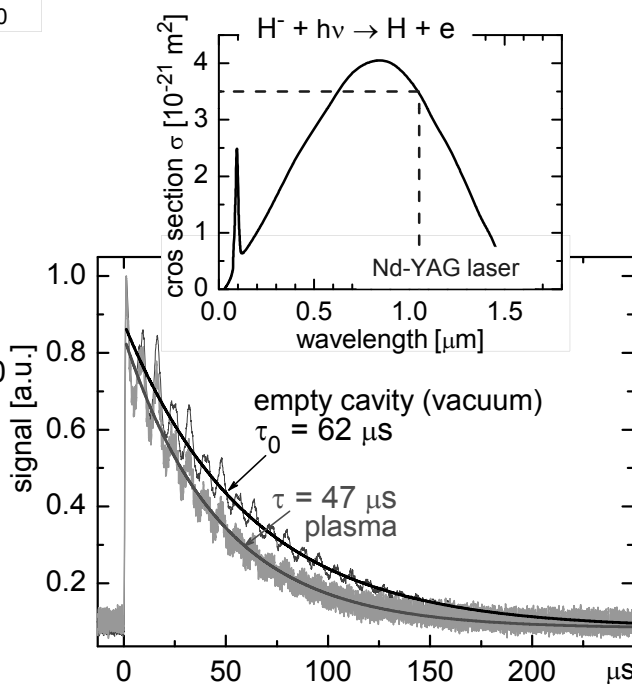
additional absorption $\tau_0 \rightarrow \tau'$

$$I(t) = I_0 \cdot e^{-t/\tau_0}; \quad \tau_0 = \frac{d}{c(1-R)}$$

$$\bar{n} = \frac{1}{\sigma \cdot c} \cdot \frac{d}{l} \cdot \left(\frac{1}{\tau'} - \frac{1}{\tau_0} \right)$$

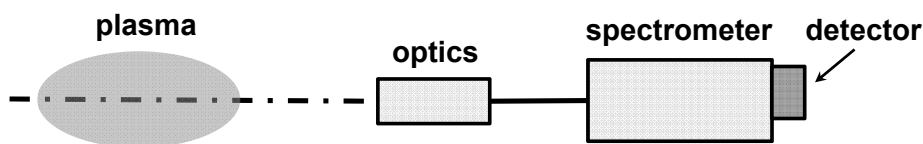
Cavity – Ringdown – Absorption – Spectroscopy \rightarrow CRDSExample H^-

cross section: photodetachment

 H^- density: line of sight averaged

- ▶ detection limit $\approx 10^{15} \text{ m}^{-3}$
- ▶ $\text{H}^- = 5 \times 10^{17} \text{ m}^{-3} \rightarrow t = 8 \mu\text{s}$

The main principle



UV and VUV: vacuum system
IF: detector

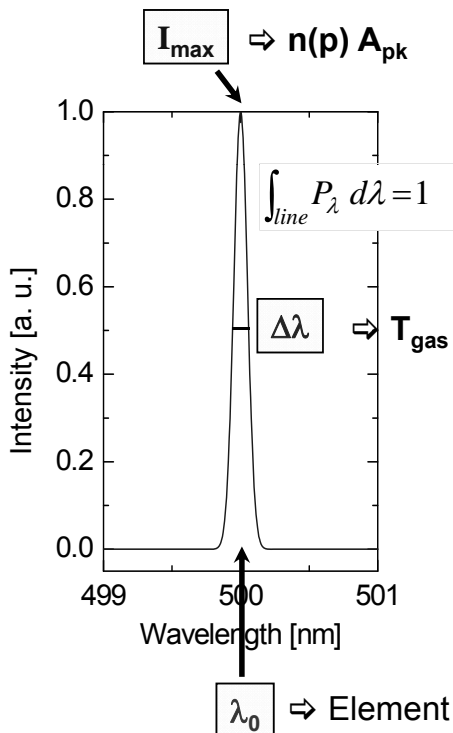
Non-invasive and line of sight integrated method !

Measures density of excited state ...

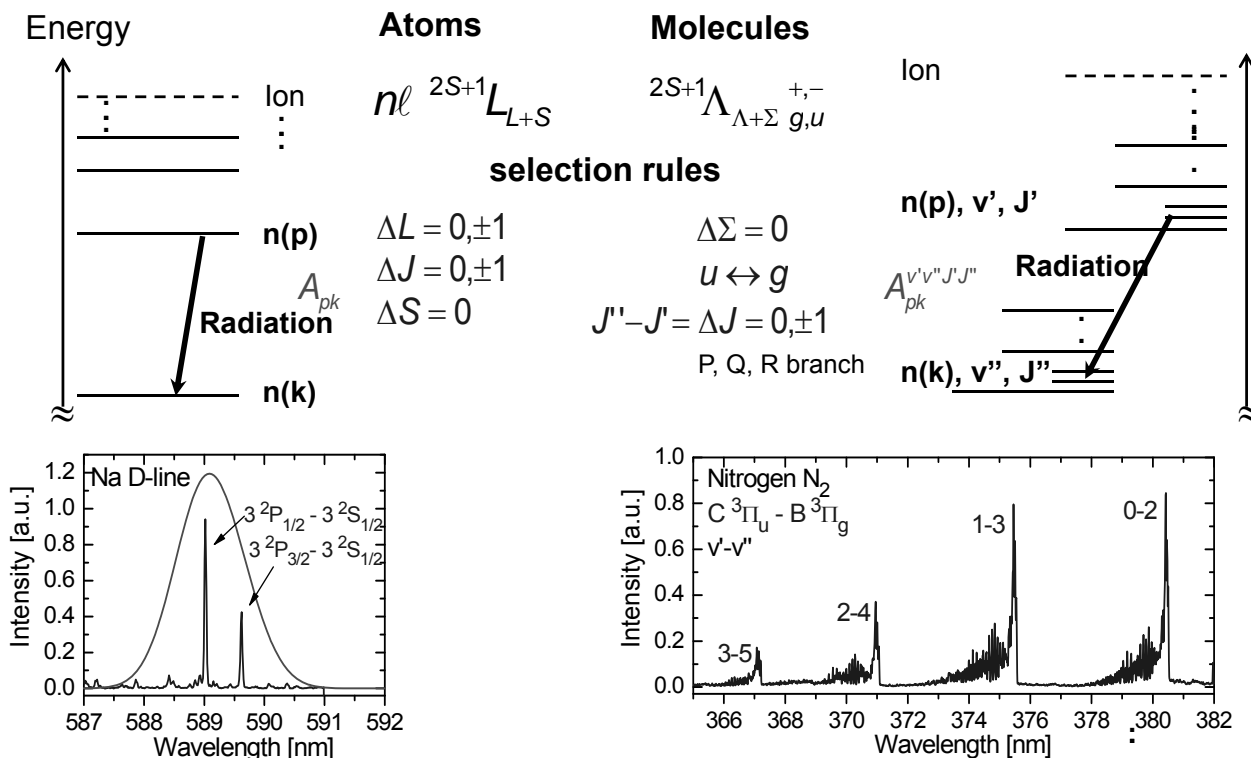
$$\varepsilon_{pk} = n(p) A_{pk} \frac{hc / \lambda}{4\pi}$$

... which depends on plasma parameters !

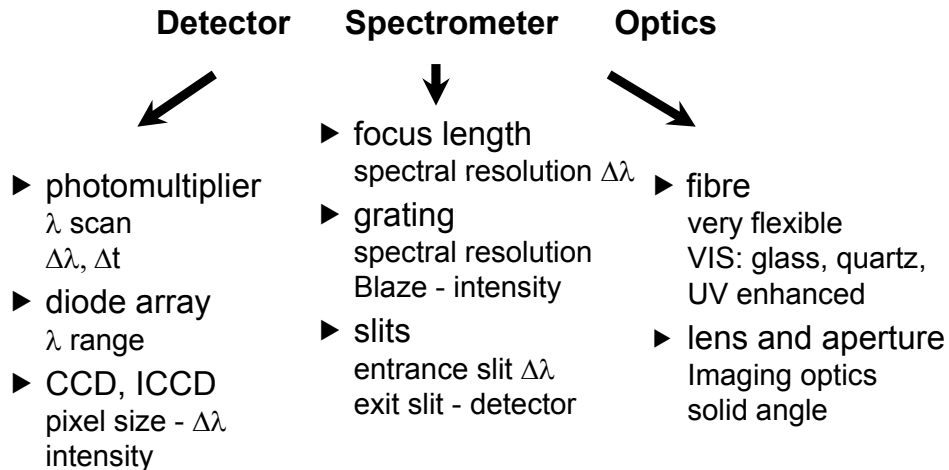
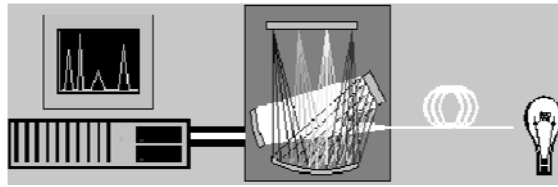
What information can be obtained from the line emission ?



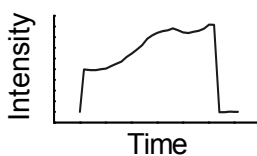
- ▶ **Intensity:** plasma parameters
density and temperature of neutrals, ions, electrons
insight in plasma processes
- ▶ **Line profile:** broadening mechanism
- ▶ **Doppler broadening:** particle temperature
- ▶ **Wavelength:** species
- ▶ **Wavelength shift:** particle velocity



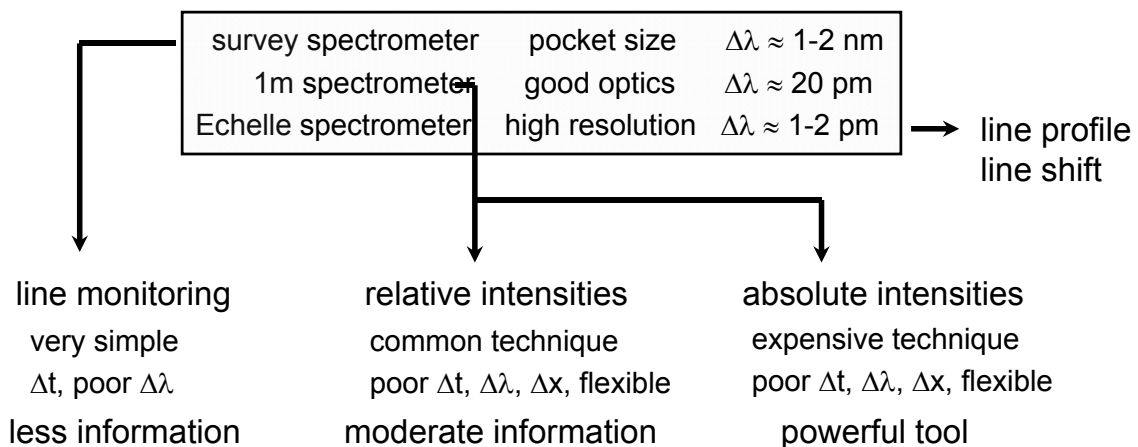
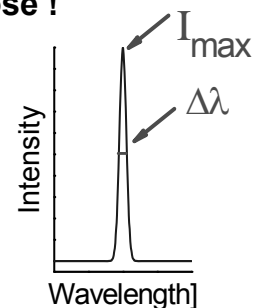
Spectroscopic system



The spectroscopic system is determined by the purpose !



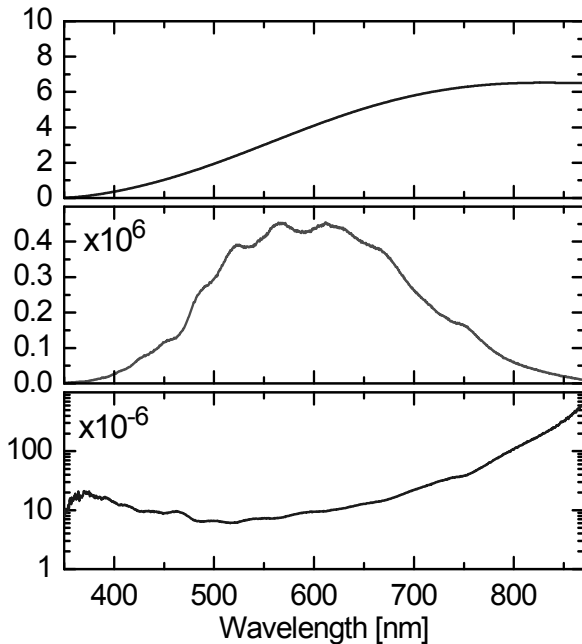
- | | |
|-----------------------|--------------------------|
| ▶ time resolution | detector |
| ▶ spatial resolution | detector, lines of sight |
| ▶ intensity | detector, spec., optics |
| ▶ spectral resolution | detector, spec., optics |



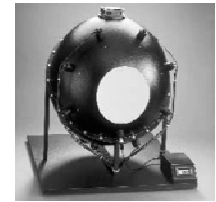
Calibration of the spectroscopic system

Wavelength: pixel \rightarrow nm
spectral lamps, plasma, λ tables

Radiance - Intensity
counts \rightarrow W/m²/sr, ph/m²/s



Ulbricht sphere



Calibrated spectrum
spectral radiance
[W/m²/sr/nm]

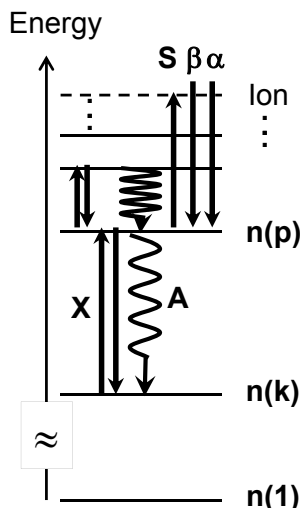
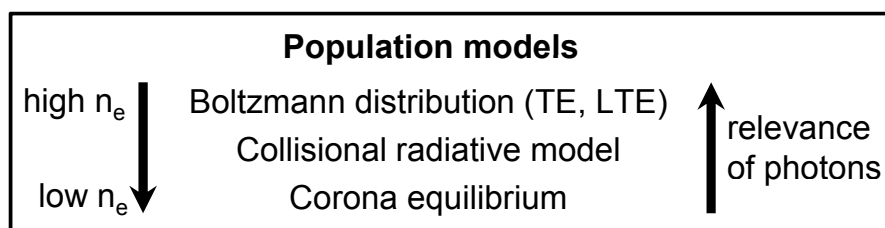
Measurement
intensity [counts/s]

Conversion factor
spectral sensitivity

$$\left[\frac{W}{m^2 \cdot sr \cdot nm \cdot (counts/s)} \right] \times \frac{4\pi \lambda}{hc} = \left[\frac{photons}{m^2 \cdot sr \cdot nm \cdot (counts/s)} \right]$$

↑
exposure time

From intensity $I_{pk} = n(p) A_{pk}$ to plasma parameter



Rate equations for excitation and de-excitation processes

- ▶ electron impact excitation and de-excitation
- ▶ absorption and emission, heavy particle collisions,

Corona model

$$\frac{dn(p)}{dt} = n_1 n_e X_{1p}(T_e) - n(p) \sum_k A_{pk} = 0$$

↑
rate coefficient
emission rate coefficient

$$I_{pk} = n_0 n_e X_{pk}(T_e) \quad \text{with} \quad X_{pk} = X_{1p}(T_e) A_{pk} / \sum_k A_{pk}$$

CR model

$$I_{pk} = n_0 n_e X_{pk}^{eff}(T_e, n_e, \dots)$$

Electron temperature from absolute line emission

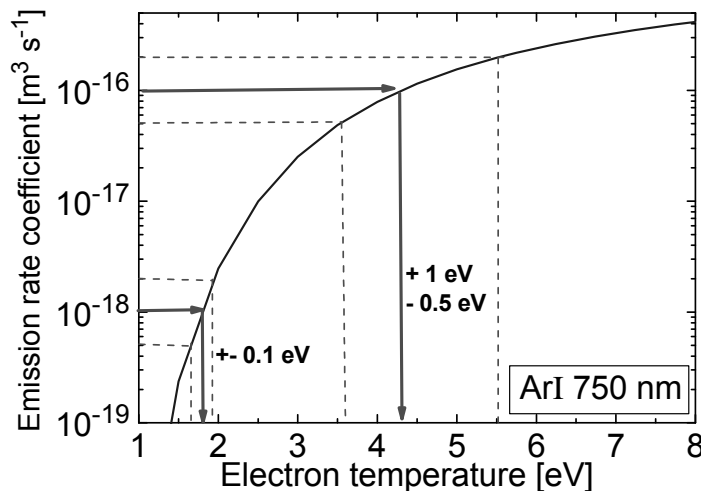
$$I_{pk} = n_0 n_e X_{pk}^{eff}(T_e, n_e, \dots)$$

$$n_0, n_e \text{ known} \Rightarrow X_{pk}^{eff}(T_e, n_e, \dots) = \frac{I_{pk}}{n_0 n_e}$$

Find suitable gases and diagnostic lines

- ▶ admixture of small amount of diagnostic gas
- ▶ prominent example: Ar

Very sensitive for low T_e !

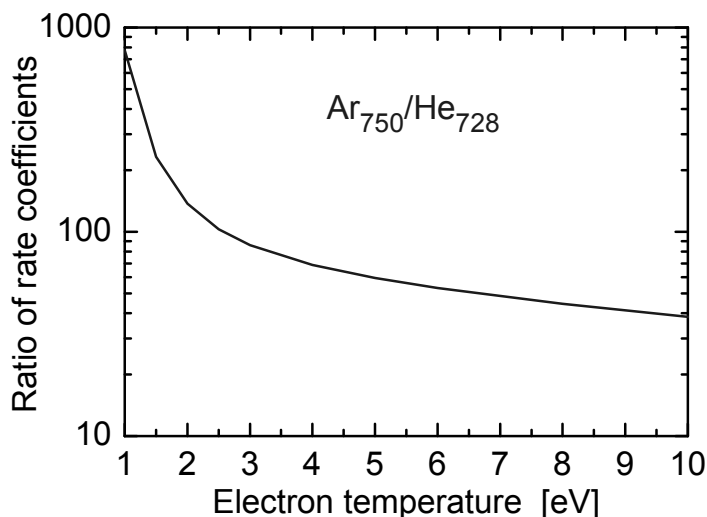


Electron temperature from line ratio (relative calibration)

$$\frac{I_{pk}^1}{I_{pk}^2} = \frac{n_1 \cancel{n_e} X_{pk}^1(T_e)}{n_2 \cancel{n_e} X_{pk}^2(T_e)} \rightarrow \text{ratio of rate coefficients for known densities}$$

Find suitable gases and diagnostic lines

- ▶ n_1, n_2 inert gases or $n_1 = n_2$
- ▶ I_{pk} undisturbed lines
- ▶ ground state excitation
- ▶ X_{pk} ratio depends on T_e



U. Fantz et al., Nucl. Fusion 49 (2009) 125007

Actinometry: density ratio from line ratio (relative calibration)

$$\frac{I_{pk}^1}{I_{pk}^2} = \frac{n_1 \cancel{n_e} X_{pk}^1(T_e)}{n_2 \cancel{n_e} X_{pk}^2(T_e)} \rightarrow \text{ratio of densities for known rate coefficients}$$

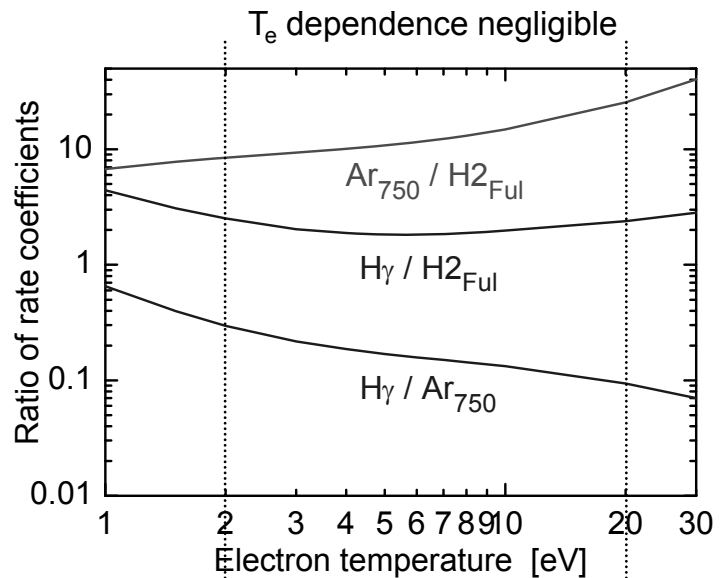
- ▶ density ratio (n_H/n_{H_2})

$$\frac{I_{434}^H}{I_{Ful}^{H_2}} = \frac{n_H \cancel{n_e} X_{434}^H(T_e)}{n_{H_2} \cancel{n_e} X_{Ful}^{H_2}(T_e)} \quad \text{independent on } n_e$$

- ▶ density ratio (n_{Ar}/n_{H_2})

$$\frac{I_{750}^{Ar}}{I_{Ful}^{H_2}} = \frac{n_{Ar} \cancel{n_e} X_{750}^{Ar}(T_e)}{n_{H_2} \cancel{n_e} X_{Ful}^{H_2}(T_e)}$$

- ▶ density ratio (n_{He}/n_{H_2})
dependence on T_e (factor of 10)
→needs iteration

**Particle density from absolute line emission**

$$I_{pk} = n_0 n_e X_{pk}^{eff}(T_e, n_e, \dots)$$

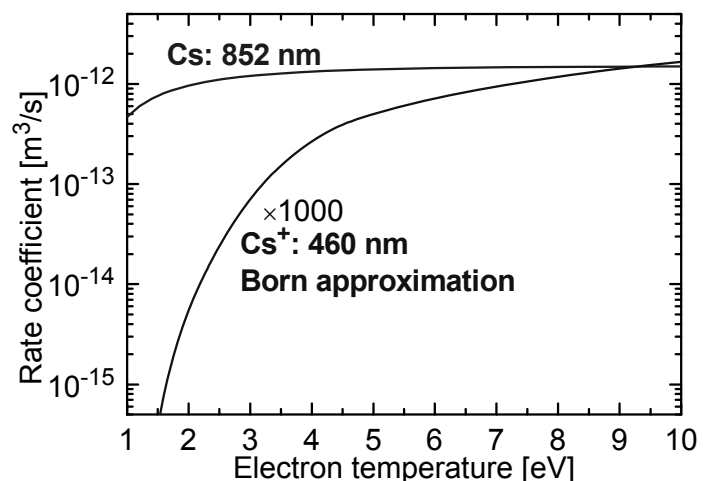
$$n_e, T_e \text{ known} \Rightarrow n_0 = \frac{I_{pk}}{n_e X_{pk}^{eff}(T_e, n_e, \dots)}$$

Knowledge of dominant excitation mechanism is essential !**Example: Cs and Cs⁺ lines**

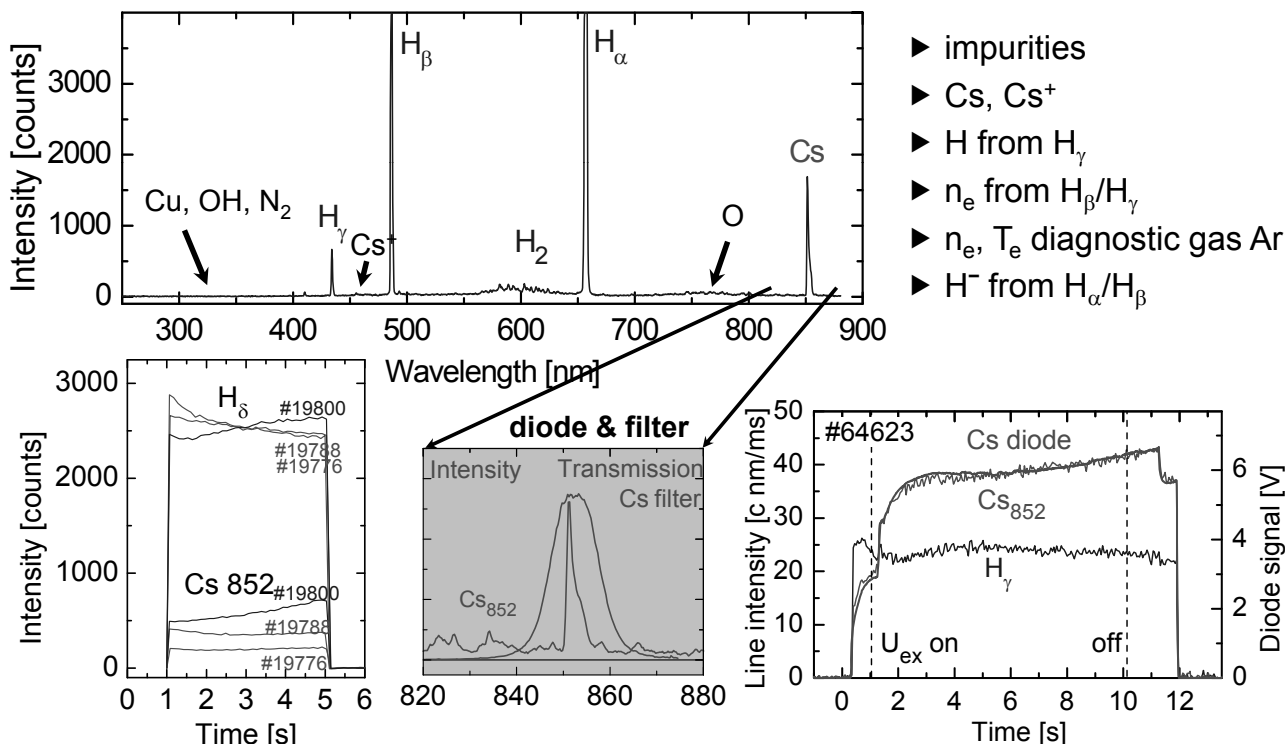
$$\text{Cs: } I_{852}^{Cs} = n_{Cs} n_e X_{852}^{Cs}(T_e)$$

needs n_e , almost independent of T_e

$$\text{Cs}^+: I_{460}^{Cs^+} = n_{Cs^+} n_e X_{460}^{Cs^+}(T_e)$$

needs n_e , strong dependence on T_e 

Survey spectrometer and on-line monitoring



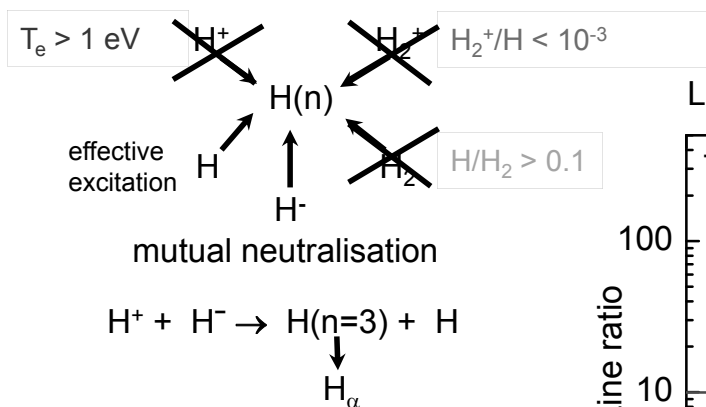
Ursel Fantz, p. 37

CAS on Ion Sources, 6th June 2012

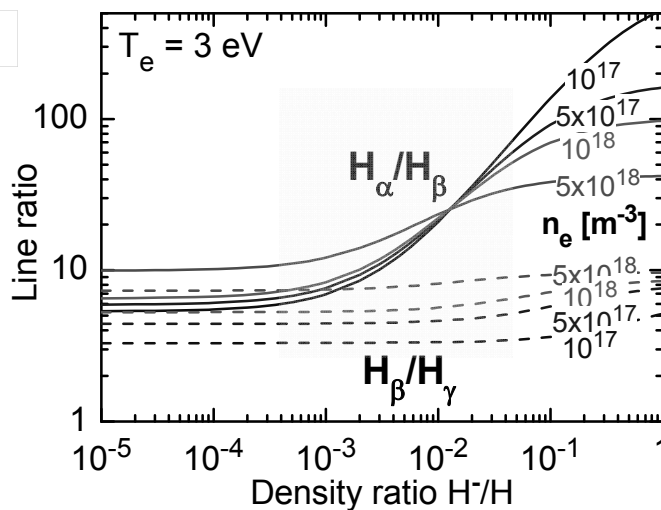
U. Fantz, D. Wunderlich

A novel diagnostic technique for H⁻ volume density NJP 8 (2006) 301

Population mechanisms for H



Line ratios depend on n_e, T_e and H⁻/H



Measurement of Balmer line ratios

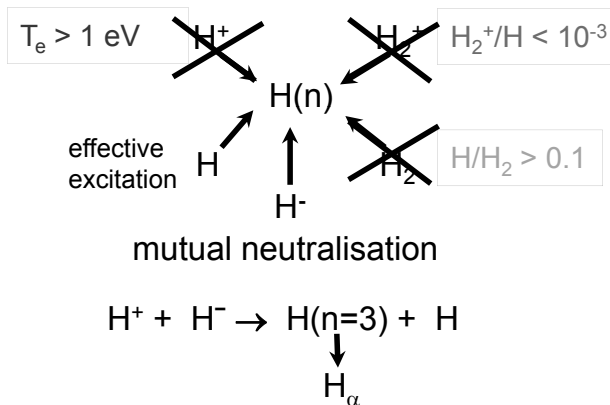
- ▶ H_α/H_β depends on H⁻/H
- ▶ H_β/H_γ reflects n_e and T_e

Ursel Fantz, p. 38

CAS on Ion Sources, 6th June 2012

A novel diagnostic technique for H^- volume density *NJP* 8 (2006) 301

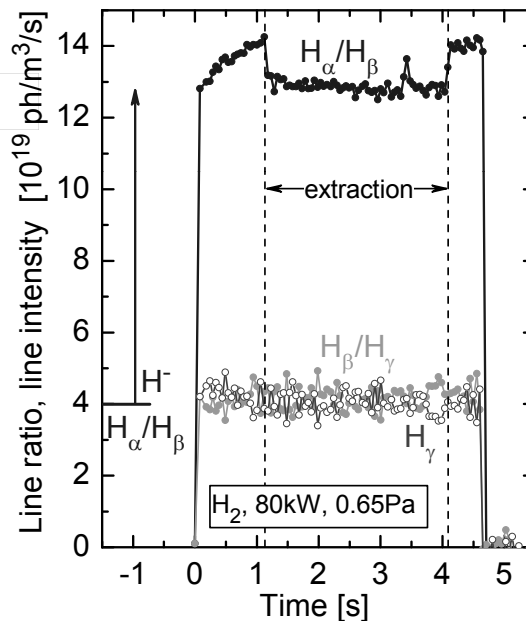
Population mechanisms for H



Collisional radiative model

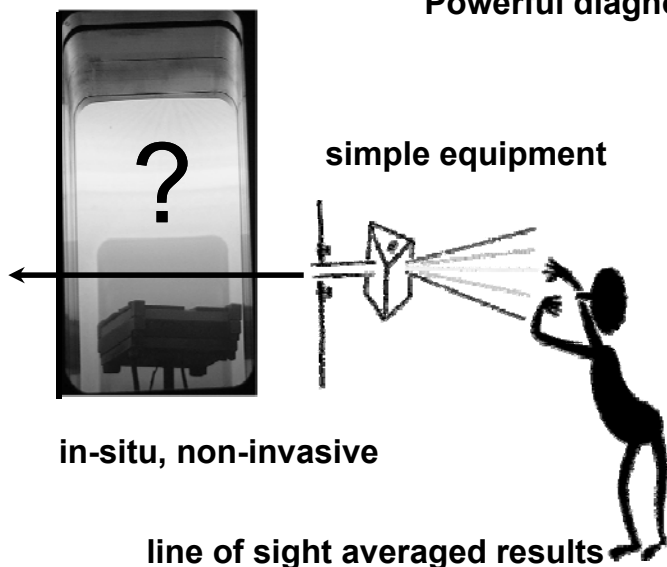
Measurement of Balmer line ratios

- ▶ H_α/H_β depends on H^-/H
- ▶ H_β/H_γ reflects n_e and T_e



- ▶ high H_α/H_β ratio: $H^- = 1 \times 10^{17} \text{ m}^{-3}$
- ▶ stable H_β/H_γ ratio, i.e. stable n_e and T_e

Powerful diagnostic tool



- ▶ identification of species
- ▶ particle densities
- ▶ particle temperatures
- ▶ on-line monitoring
- ▶ insight in plasma processes
- ▶ spatial resolution by several lines of sight

Analysis based on atomic and molecular physics

simple \Rightarrow quite complex

supported by collisional radiative models

Plasma Diagnostics of Ion Sources

The three W's

- ▶ What do I want to know ? → and why?
- ▶ What is the adequate technique ? → effort versus gain!
- ▶ What is the accessibility of the source ? → feasibility !

The three examples

- ▶ Langmuir probes → ϕ_{pl} , n_e , T_e , (EEDF)
- ▶ Absorption techniques → n_{species} → Cs, H⁻
- ▶ Emission spectroscopy → n_s , T_s → e, H, H₂, H⁻

The three “keep-in-mind’s”

- ▶ Monitoring versus quantification → trends or full information
- ▶ Spatial resolution → averaged or x-resolved (step width!)
- ▶ Temporal resolution → averaged or t-resolved (time scale!)

Diagnostics – The Window to the Knowledge !

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