

Beam Extraction and Transport

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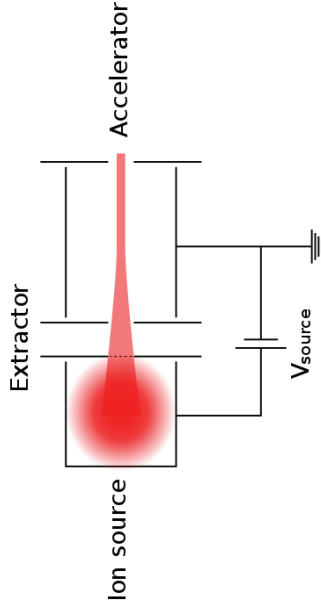
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Presentation outline

- Introduction to ion source extraction systems
- Low energy beam transport
 - Emittance
 - Beam line elements
 - Space charge, beam potential and compensation
- Beam extraction from plasma
 - Child-Langmuir law
 - Plasma sheath models for positive and negative ions
- Examples

Basic beam extraction and transport

The extractor takes the plasma flux $J = \frac{1}{4} qn\bar{v}$ and forms a beam with energy $E = q(V_{\text{source}} - V_{\text{end}})$ transporting it to the following application.



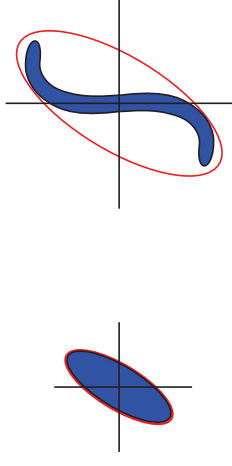
Extraction complications

- Plasma-beam transition physics
 - Plasma parameters: density, potential, temperature, etc
 - Beam intensity, quality, uniformity, species
- Application requirements for beam spatial and temporal structure
 - Need for focusing, chopping, beam analysis
- Space charge
- Practical engineering constraints
 - Space for diagnostics, pumping, etc
 - Materials, power supplies, money

Emittance

Traditionally the emittance is defined as the 6-dimensional volume limited by a contour of particle density in the (x, p_x, y, p_y, z, p_z) phase space. This volume obeys the Liouville theorem and is constant in conservative fields.

With practical accelerators a more important beam quality measure is the volume of the elliptical envelope of the beam bunch. This is not conserved generally — only in the case where forces are linear.



Emittance

Transverse emittance

The transverse emittances are 4 and 2-dimensional reductions of the 6-dimensional definition, usually assuming that p_z is constant and replacing p_x with $x' = p_x/p_z$ and p_y with $y' = p_y/p_z$. The 2D emittance ellipse then becomes

$$\gamma x'^2 + 2\alpha x x' + \beta x'^2 = \epsilon_x,$$

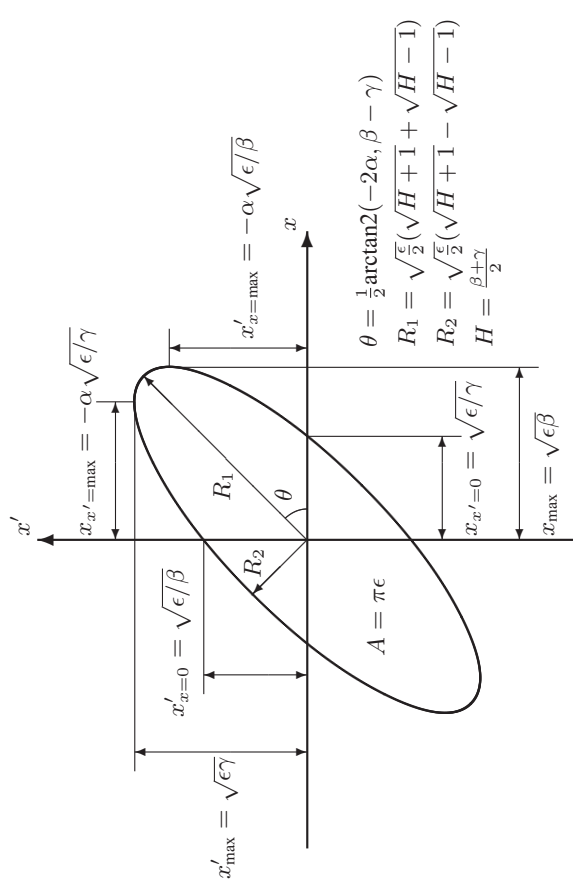
where scaling

$$\beta\gamma - \alpha^2 = 1$$

is chosen. The ϵ_x is the product of the half-axes of the ellipse (A/π) and α , β and γ are known as the Twiss parameters defining the ellipse orientation and aspect ratio.

Because of the connection between the area of the ellipse and ϵ there is confusion on which is used in quoted numbers. Sometimes π is included in the unit of emittance (π mm mrad) to emphasize that the quoted value is not the area, but the product of half-axes as defined here.

Ellipse geometry



Emittance envelope

How to define the “envelope”?

Numerous algorithms exist for defining the ellipse from beam data. Often a minimum area ellipse containing some fraction of the beam is wanted (e.g. $\epsilon_{90\%}$). Unfortunately this is difficult to produce in a robust way.

A well-defined way for producing the ellipse is the rms emittance:

$$\epsilon_{\text{rms}} = \sqrt{\langle x'^2 \rangle \langle x^2 \rangle - \langle xx' \rangle^2},$$

and similarly the Twiss parameters

$$\alpha = -\frac{\langle xx' \rangle}{\epsilon},$$

$$\beta = \frac{\langle x^2 \rangle}{\epsilon},$$

$$\gamma = \frac{\langle x'^2 \rangle}{\epsilon},$$

Assuming $\langle x \rangle = 0$ and $\langle x' \rangle = 0$.

where

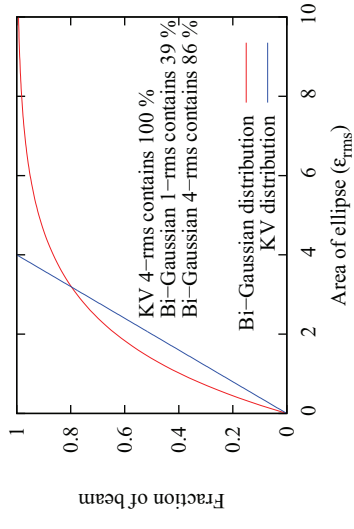
$$\langle x^2 \rangle = \frac{\iint x^2 I(x, x') dx dx'}{\iint I(x, x') dx dx'},$$

$$\langle x'^2 \rangle = \frac{\iint x'^2 I(x, x') dx dx'}{\iint I(x, x') dx dx'},$$

$$\langle xx' \rangle = \frac{\iint xx' I(x, x') dx dx'}{\iint I(x, x') dx dx'}.$$

Meaning of rms emittance

How much beam does the rms ellipse contain?



Depends on the distribution shape. For real simulated or measured distributions there is no direct rule.

Normalization of emittance

The transverse emittance defined in this way is dependent on the beam energy. If p_z increases, $x' = p_x/p_z$ decreases. The effect is eliminated by using normalized emittance

$$\epsilon_n = \frac{\epsilon\beta}{\sqrt{1-\beta^2}} \approx \epsilon \frac{v_z}{c}$$

Emittance from plasma temperature

Assume circular extraction hole and Gaussian transverse ion distribution

$$I(x, x') = \frac{2}{\pi r^2} \sqrt{r^2 - x^2} \sqrt{\frac{m}{2\pi kT}} \exp\left(-\frac{m(x'v_z)^2}{2kT}\right).$$

The rms emittance can be integrated using the definition and normalized

$$\epsilon_{\text{rms},n} = \frac{1}{2} \sqrt{\frac{kT}{m}} \frac{r}{c}.$$

Similarly for a slit-beam extraction

$$\epsilon_{\text{rms},n} = \frac{1}{2} \sqrt{\frac{kT}{3m}} \frac{w}{c}.$$

Emittance from solenoidal B-field

If a circular beam starts from a solenoidal magnetic field (ECR) particles receive a azimuthal thrust of

$$v_\theta = r_0 \frac{qB}{2m},$$

when exiting the magnetic field. Far from solenoid the motion is cylindrically symmetric and

$$r' = \frac{v_r}{v_z} = \frac{v_\theta}{v_z} = \frac{qBr_0}{2mv_z}$$

The emittance of the beam is

$$\epsilon_{\text{rms}} = \frac{1}{5} r_0 r' = \frac{qBr_0^2}{10mv_z}$$

and normalized

$$\epsilon_{\text{rms,n}} = r_0 r' = \frac{qBr_0^2}{10mc}$$

Low Energy Beam Transport

Beam line elements

Beam control happens with electromagnetic forces a.k.a. ion-optics.

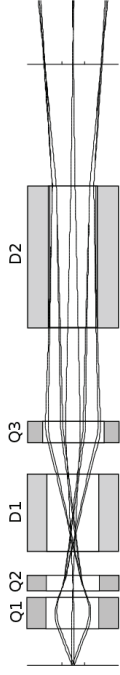
The classic beam line elements are also in use at low energies:

- | | |
|------------------------------|--------------|
| Electrostatic | Magnetic |
| • Diode (accel or decel gap) | • Solenoid |
| • Einzel lens | • Dipole |
| • Dipole | • Quadrupole |
| • Quadrupole | • Multipole |

Tools of trade

- Ion-optical software based on N^{th} -order approximation of trajectories (commonly used at higher energies)
- Electro-magnetic field programs: POISSON SUPERFISH, OPERA, FEMM, RADIA-3D, COMSOL MULTIPHYSICS, etc. Some with and some without particle tracking.
- Specialized ion source extraction software
- Many other specialized programs for modelling beam space charge compensation, bunching, cyclotron injection, collisional ion source plasmas, etc. with PIC-MCC type of methods.

Traditional transfer matrix optics



Treats ion-optical elements (and drifts) as black boxes with transfer matrices describing the effect to trajectories. In TRANSPORT $X = (x, x', y, y', l, \delta p/p)$

$$X_i(1) = \sum_j R_{ij} X_j(0) + \sum_{jk} T_{ijk} X_j(0) X_k(0) + \dots$$

Ideal 1st order quadrupole:

$$R = \begin{pmatrix} \cos kL & \frac{1}{k} \sin kL & 0 & 0 & 0 & 0 \\ -k \sin kL & \cos kL & 0 & 0 & 0 & 0 \\ 0 & 0 & \cosh kL & \frac{1}{k} \sinh kL & 0 & 0 \\ 0 & 0 & k \sinh kL & \cosh kL & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}$$

Traditional transfer matrix optics

- Matrices based on analytic formulation or fitting experimental/simulation data.
- The whole system can be described with one matrix:

$$R_{\text{system}} = R_N \cdots R_2 \cdot R_1$$

- Can also transport elliptical envelopes in addition to trajectories:

$\sigma_1 = R\sigma_0 R^T$, where

$$\sigma = \epsilon \begin{pmatrix} \beta & -\alpha \\ \alpha & \gamma \end{pmatrix}$$

- Advantage: calculation is fast (automatic optimization, etc)
- May include additional space charge induced divergence growth for beam envelopes and/or rms emittance growth modelling for particle distributions.

Codes of this type

- TRANSPORT — One of the classics, up to 2nd or 3rd order calculation, no space charge
- COSY INFINITY — Up to infinite order, no space charge
- GIOS — Up to 3rd order, space charge of KV-beam
- DIMAD — Up to 3rd order, space charge of KV-beam
- TRACE-3D — Mainly linear with space charge of KV-beam
- PATH MANAGER (TRAVEL) — Up to 2nd order, more advanced space charge modelling for particle distributions (mesh or Coulomb)

Some of the codes are suitable for low energies, choose carefully!

Differences to high energy transport

Now $v \ll c$ and J is large

- Space charge plays a major role with several ion species
- Beam generated B-field is negligible.
- Beam line elements often not well separated (no drift spaces in between).
- Complex electrostatic electrode shapes used.
- Nonlinear effects are significant!

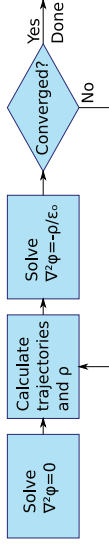
Traditional transfer matrix optics cannot be used (well) close to ion sources. More fundamental methods are needed.

⇒ [Particle tracking method](#)

Particle tracking codes

Particle tracking codes for ion source extraction and LEBT systems:

- Calculation of electrostatic fields in electrode geometry including space charge effects.
- Calculation/importing of magnetostatic fields.
- Tracking of particles in the fields.
- Diagnostics and other supportive methods.



Available codes of this type

- IGUN — Plasma modelling for negative and positive ions, 2D only
- PBGUNS — Plasma modelling for negative and positive ions, 2D only
- SIMION — Simple 3D E-field solver and particle tracer, low quality space charge modelling, no plasma
- KOBRA — More advanced 3D E-field solver, positive ion plasma modelling, PIC capability
- LORENTZ — State of the art 3D EM solver and particle tracer with a lot of capabilities, no plasma modelling
- IBSIMU — Plasma modelling for negative and positive ions, 1D–3D

Ion Beam Simulator

IBSimu is an ion optical code package made especially for the needs of ion source extraction design. Using Finite Difference Method (FDM) in a regular cartesian mesh the code can model

- Systems of electrostatic and magnetic lenses
- High space charge beams (low energy)
- Positive and negative multispecies **3D plasma extraction**

The code is made as a C++ library and is released freely under GNU Public Licence* .

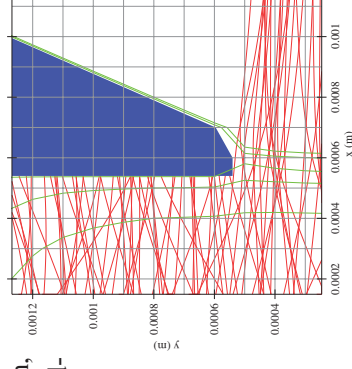
- Highly versatile and customizable.
- Can be used for batch processing and automatic tuning of parameters.

*) <http://ibsimu.sourceforge.net/>

Ion optics with FDM

Calculation is based on evenly sized square cartesian grid(s):

- Solid mesh (node type): vacuum, solid, near solid, neumann boundary condition, ...
- Electric potential
- Electric field
- Magnetic field
- Space charge density
- Trajectory density



Electrostatic field solver

Poisson's equation

$$\nabla^2 \phi = -\frac{\rho}{\epsilon_0}$$

Finite Difference representation for vacuum node i :

$$\frac{\phi_{i-1} - 2\phi_i + \phi_{i+1}}{h^2} = -\frac{\rho_i}{\epsilon_0},$$

Neumann boundary node i :

$$\frac{-3\phi_i + 4\phi_{i+1} - \phi_{i+2}}{2h} = \frac{d\phi}{dx}$$

and Dirichlet (fixed) node i :

$$\phi_i = \phi_{\text{const}}$$

1D example

Solve a 1D system of length $L = 10$ cm, charge $\rho = 1 \cdot 10^{-6}$ C/m³ and boundary conditions

$$\frac{\partial \phi}{\partial x}(x=0) = 0 \text{ V/m} \quad \text{and} \quad \phi(x=L) = 0 \text{ V}.$$

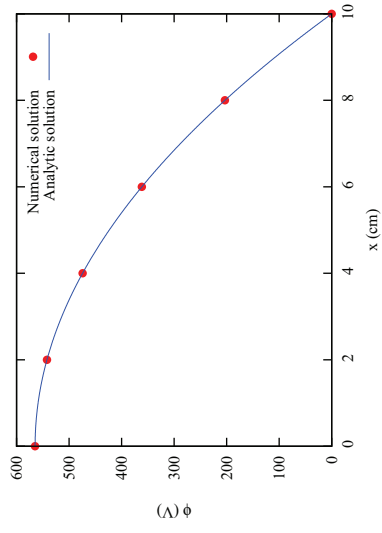
The system is discretized to $N = 6$ nodes. Problem in matrix form:

$$\begin{pmatrix} -3 & 4 & -1 & 0 & 0 & 0 \\ 1 & -2 & 1 & 0 & 0 & 0 \\ 0 & 1 & -2 & 1 & 0 & 0 \\ 0 & 0 & 1 & -2 & 1 & 0 \\ 0 & 0 & 0 & 1 & -2 & 1 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} \phi_1 \\ \phi_2 \\ \phi_3 \\ \phi_4 \\ \phi_5 \\ \phi_6 \end{pmatrix} = \begin{pmatrix} 2h \frac{\partial \phi}{\partial x}(0) \\ -h^2 \frac{\rho}{\epsilon_0} \\ -h^2 \frac{\rho}{\epsilon_0} \\ -h^2 \frac{\rho}{\epsilon_0} \\ -h^2 \frac{\rho}{\epsilon_0} \\ \phi(L) \end{pmatrix}$$

Solving the matrix equation we get ...

1D example

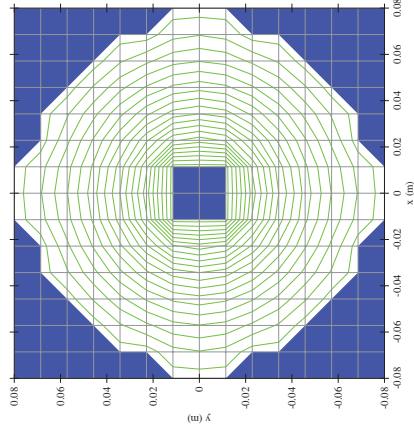
... perfect agreement with analytic result



but only because of flat charge distribution and boundaries defined exactly at node locations.

Jagged boundaries

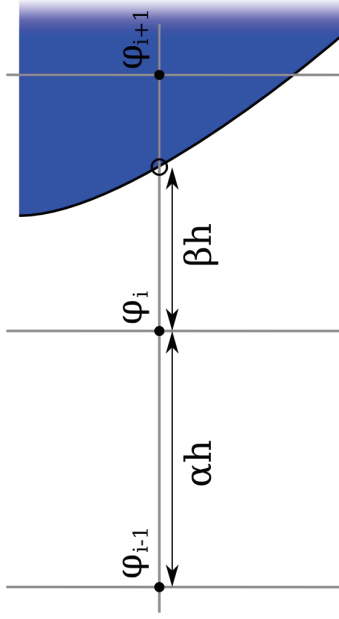
In higher dimensions basic FDM generally suffers from jagged boundaries (nodes don't coincide with surfaces).



Smooth boundaries

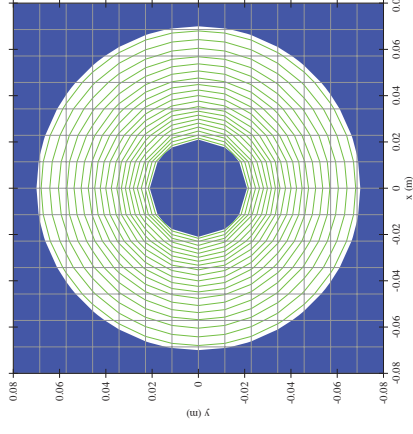
Derivatives in Poisson's equation featured with uneven distances

$$\frac{\beta\phi(x_0 - \alpha h) - (\alpha + \beta)\phi(x_0) + \alpha\phi(x_0 + \beta h)}{\frac{1}{2}(\alpha + \beta)\alpha\beta h^2} = \frac{\rho(x_0)}{\epsilon_0}$$



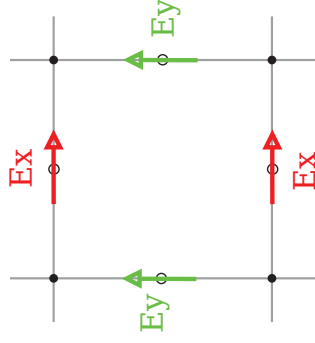
Smooth boundaries

A much better solution with smooth boundaries is achieved.



Electric field calculation

Electric field is calculated between the nodes simply by $E = \frac{V}{h}$.



Electric field nodes between potential nodes.

Trajectory calculation

Population of virtual particles is calculated with following properties:

- Charge: q
- Mass: m
- Current carried: I
- Time, position and velocity coordinates:
 - 2D: (t, x, v_x, y, v_y)
 - Cylindrical symmetry: $(t, x, v_x, r, v_r, \omega)$, $\omega = \frac{d\theta}{dt}$
 - 3D: $(t, x, v_x, y, v_y, z, v_z)$

Trajectory calculation

Calculation of trajectories done by integrating the equations of motion

$$\begin{aligned}
 \frac{dx}{dt} &= v_x \\
 \frac{dy}{dt} &= v_y \\
 \frac{dz}{dt} &= v_z \\
 \frac{dv_x}{dt} &= a_x = \frac{q}{m} (E_x + v_y B_z - v_z B_y) \\
 \frac{dv_y}{dt} &= a_y = \frac{q}{m} (E_y + v_z B_x - v_x B_z) \\
 \frac{dv_z}{dt} &= a_z = \frac{q}{m} (E_z + v_x B_y - v_y B_x)
 \end{aligned}$$

Trajectory calculation

... and in cylindrical symmetry:

$$\begin{aligned}
 \frac{dx}{dt} &= v_x \\
 \frac{dr}{dt} &= v_r \\
 \frac{dv_x}{dt} &= a_x = \frac{q}{m} (E_x + v_r B_\theta - v_\theta B_r) \\
 \frac{dv_r}{dt} &= a_r + r\omega^2 = \frac{q}{m} (E_r + v_\theta B_x - v_r B_\theta) + r\omega^2 \\
 \frac{d\omega}{dt} &= \frac{1}{r} (v_\theta - v_r \omega) = \frac{1}{r} \left(\frac{q}{m} (v_x B_r - v_r B_x) - 2v_r \omega \right),
 \end{aligned}$$

where $v_\theta = r \frac{d\theta}{dt} = r\omega$

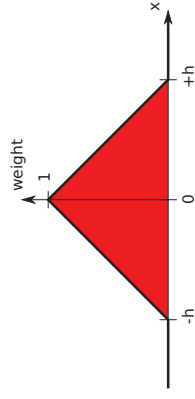
Space charge deposition

Particle trajectories deposit space charge to the geometry

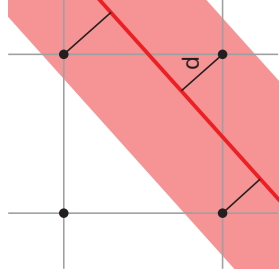
$$\rho = \frac{I}{Av}$$

where A is the cross section of the particle.

Linear/bilinear weighing used (finite particle size):



Several particles needed per mesh for smooth space charge field.



Emittance growth

The rms emittance can grow and shrink:

- Particle-particle scattering
- EM-field fluctuations
 - Power supply ripples
 - Plasma instabilities
- Nonlinear fields in electrostatic and magnetic optics
- Nonlinear fields from beam/plasma space charge
- Collimation

Typically accelerator systems are designed to be as linear as possible.

Beam space charge effects

Assuming constant space charge of the beam $\rho = J/v$. In cylindrical case one can calculate the E-fields from Gauss law:

$$E = \frac{I}{2\pi\epsilon_0 v} \frac{r}{r_{\text{beam}}^2}, r < r_{\text{beam}}$$

$$E = \frac{I}{2\pi\epsilon_0 v} \frac{1}{r}, r > r_{\text{beam}}$$

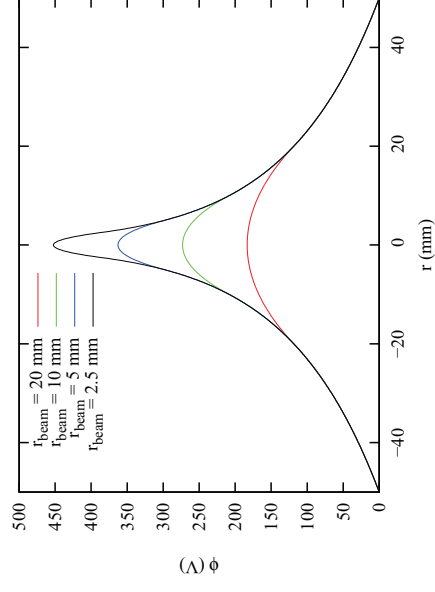
and the potential in the beam tube:

$$\phi = \frac{I}{2\pi\epsilon_0 v} \left[\frac{r^2}{2r_{\text{beam}}^2} + \log\left(\frac{r_{\text{beam}}}{r_{\text{tube}}}\right) - \frac{1}{2} \right], r < r_{\text{beam}}$$

$$\phi = \frac{I}{2\pi\epsilon_0 v} \log\left(\frac{r}{r_{\text{tube}}}\right), r > r_{\text{beam}}$$

Beam space charge effects

Potential in a 100 mm tube with a 10 mA, 10 keV proton beam



Beam space charge blow-up

Ion at the beam boundary experiences a repulsive force

$$F_r = qE_r = ma_r = \frac{qI}{2\pi\epsilon_0rv_z}.$$

The particle acceleration is

$$a_r = \frac{d^2r}{dt^2} = \frac{d^2r}{dz^2} \frac{dz}{dt} = v_z \frac{d^2r}{dz^2}.$$

Therefore

$$\frac{d^2r}{dz^2} = \frac{1}{v_z^2} a_r = K \frac{1}{r}, \text{ where}$$

$$K = \frac{qI}{2\pi\epsilon_0mv_z^3}.$$

The DE can be integrated after change of variable $\lambda = \frac{dr}{dz}$ and gives

$$\frac{dr}{dz} = \sqrt{2K \log(r/r_0)},$$

assuming $\frac{dr}{dz} = 0$ at $z = 0$.

Beam space charge blow-up

The solution is separable and can be again integrated to a final solution

$$z = \frac{r_0}{\sqrt{2K}} F\left(\frac{r}{r_0}\right), \text{ where}$$

$$F\left(\frac{r}{r_0}\right) = \int_{y=1}^{r/r_0} \frac{dy}{\sqrt{\log y}}.$$

- (1) Low divergence was assumed to be able to use equation for E_r .
- (2) Constant v_z was assumed (beam potential changes neglected).

Example: Parallel zero-emittance beam of $^{181}\text{Ta}^{20+}$ accelerated with 60 kV has initial radius of $r_0 = 15$ mm. The size of a 120 mA beam after a drift of 100 mm can be solved from $F(r/r_0) = 1.189$, which gives $r = 20$ mm.

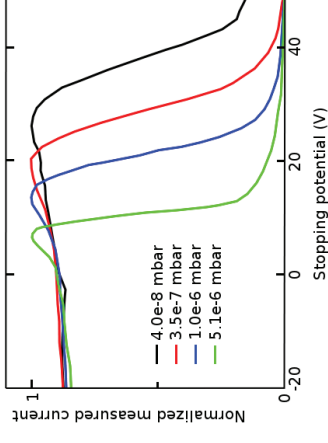
Beam space charge compensation

Transport of high-intensity, low-energy beams can be difficult due to space charge blow-up. Beam compensation helps in low E-field areas.

- Background gas ionization: e^- and X^+ created within the beam.
- Opposite sign to beam trapped in beam potential, while same sign particles accelerated out \Rightarrow decreasing beam potential.
- Secondary electron emission from beam halo hitting beam tube providing compensating particles for positive beams.
- Also methods for active compensation: running electron beam in opposite direction of the main beam.
- Usually increased by feeding background gas into the beamline.

Beam space charge compensation

Measurement of ion energy distribution ejected from beam



Reproduced from D. S. Todd, BIW 2008

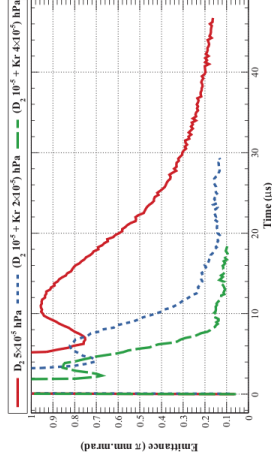
Beam space charge compensation

Compensation by thermal particles trapped in the beam potential is difficult to estimate. Creation rate

$$\frac{d\rho_c}{dt} = Jn\sigma_c$$

$$\tau = \frac{\rho_{\text{beam}}}{\left(\frac{d\rho_c}{dt}\right)} = \frac{1}{v n \sigma_c}$$

Pulsed beams may or may not be long enough for reaching equilibrium.



From N. Chauvin, ICIS 2011

Beam space charge compensation

If creation rate is high, the SCC is finally limited by leakage of compensating particles from the potential well as SCC approaches 100 %.
 Electrons are fast $\Rightarrow X^+$ SCC < 100 %
 Ions are slow $\Rightarrow X^-$ overcompensation is possible.

SCC is location dependent because compensating particles move in the potential well. Leakage in the beam ends cause at least local loss of SCC.
 Leakage may be limited by accelerating einzel lens or by magnetic fields.
 Background gas causes beam losses. Typically a 1–2 % sacrifice is sufficient for good SCC.

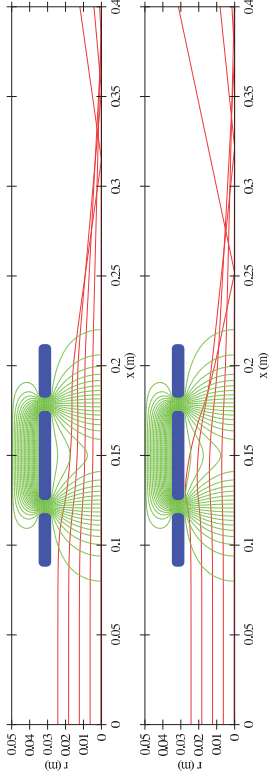
- Simple model for SCC: scaling the effective beam current globally or locally with a SCC-factor.
- PIC simulation (for example WARP or SOLMAXP) with modelling of trapped particle dynamics

Einzel focusing

Einzel is a cylindrically symmetric focusing lens, which is characterized by voltage ratio

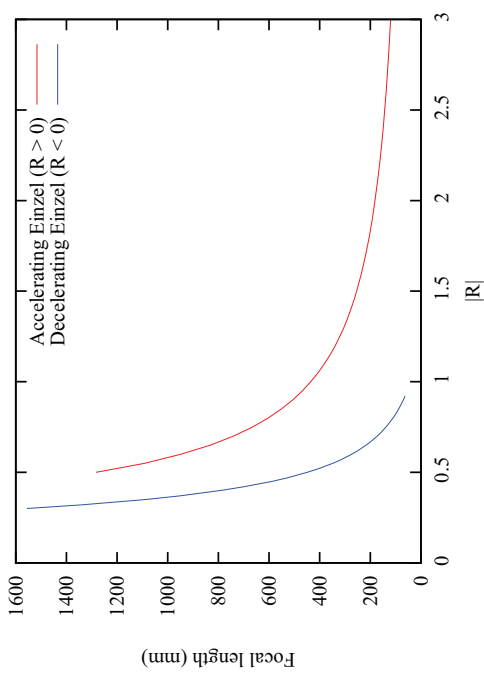
$$R = \frac{V_{\text{einzel}} - V_{\text{tube}}}{V_{\text{tube}} - V_0},$$

where V_{einzel} is the center electrode potential, V_{tube} is the beam tube potential and V_0 is the potential where particle kinetic energy is zero. The einzel lens can be accelerating ($R > 0$) or decelerating ($R < 0$).



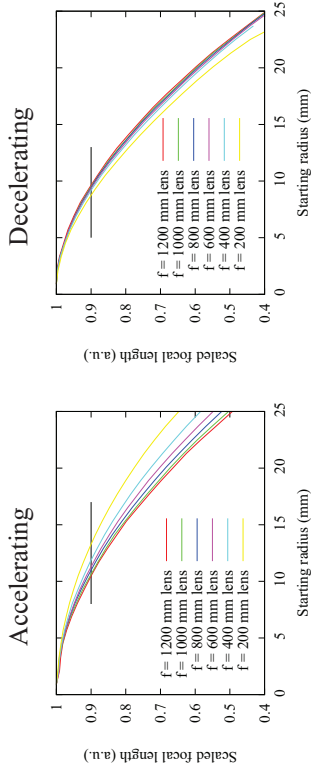
Einzel focusing

Focusing power as a function of R.



Einzel focusing

Focal length changes with particle radius: aberrations



- Beam should fill less than half of the Einzel radius (28 mm in the example case).
- Accelerating should be preferred if not voltage/E-field limited (less aberrations, limits space charge compensation leakage)

Magnetic solenoid lens

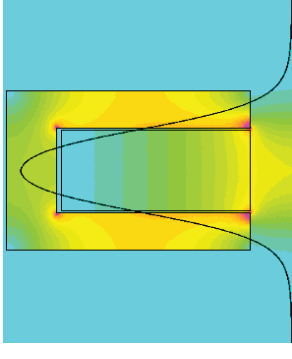
Magnetic equivalent to Einzel lens
Solenoid field using on-axis field:

$$B_z(r, z) \approx B_0(z)$$

$$B_r(r, z) \approx -\frac{1}{2}B_0'(z)r$$

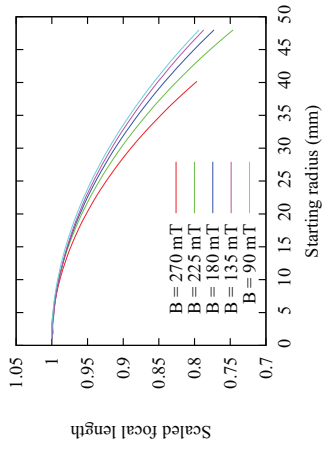
Focal length of solenoid

$$\frac{1}{f} = \frac{q^2}{8Em} \int B_z^2 dz$$



Magnetic solenoid lens

Solenoid spherical aberrations



Filling about half of the bore leads to ~5–10 % focal length variation.

Parallel plates for beam deflection

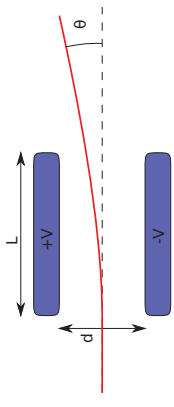
Simplest possible electrostatic dipole

$$v_z^2 = 2 \frac{q}{m} V_{\text{acc}}$$

$$v_x = a_x \Delta t = \frac{q}{m} E_x \frac{L}{v_z}$$

$$\theta \approx \frac{v_x}{v_z} = \frac{q}{m} E_x \frac{L}{v_z^2}$$

$$\theta = \frac{V_{\text{plate}} L}{V_{\text{acc}} d}$$



Good example: q and m do not effect trajectories in electrostatic systems.

Magnetic beam deflection

Cyclotron motion

$$r = \frac{mv_z}{qB}$$

$$= \frac{1}{B} \sqrt{\frac{2mV_{\text{acc}}}{q}}$$

$$r\theta \approx L$$

$$\theta = LB \sqrt{\frac{q}{2mV_{\text{acc}}}}$$

Valid for small angles

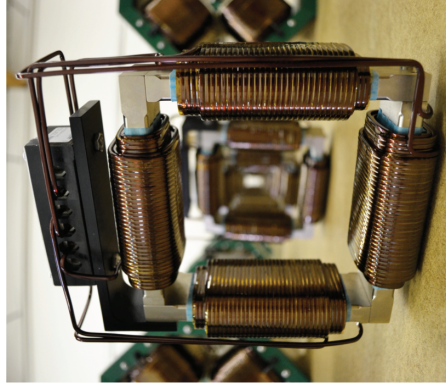


Image from Radia Beam Technologies

• X

Magnetic dipole for species selection

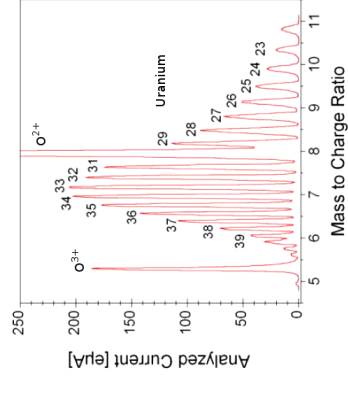
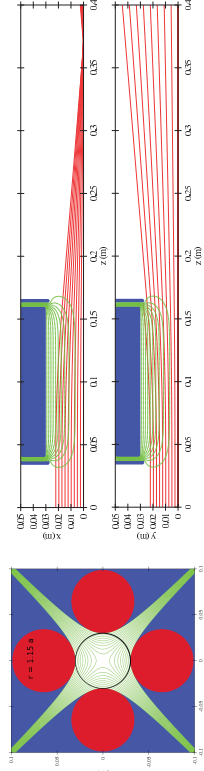


Image from D. Leitner, BIW 2010

Electrostatic quadrupole focusing

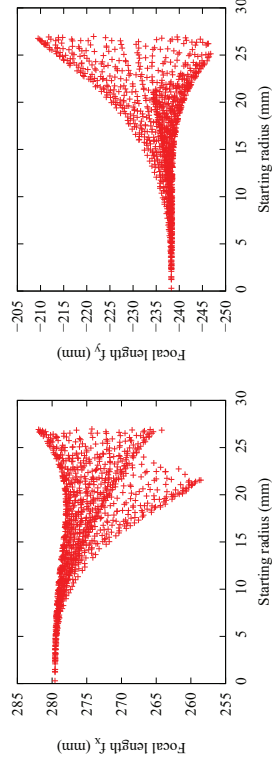
Electrostatic quadrupole: ideally hyperbolic electrodes, cylindrical ok



- Focusing in one direction, defocusing in other.
- Used as doublets or triplets for focusing in both directions.
- Can also provide beam steering if electrodes independently controlled.

Electrostatic quadrupole focusing

Aberrations as a function of trajectory radius



Electrostatic vs magnetic LEBT

- Electrostatic fields do not separate ion species.
 - Same focusing for all species.
 - Magnetic: separation of important (minor) beam.
- Electrostatic lenses are more compact.
- Power efficiency: Einzel ~ 1 W, Solenoid ~ 1000 W.
- Space charge compensation can be conserved in magnetic lenses.
- Magnets are spark-free.

Beam Extraction from Plasma

Plasma-beam interface

Ions are extracted from a plasma ion source

1. Full space charge compensation ($\rho_- = \rho_+$) in the plasma
2. No compensation in extracted beam (single polarity)

The boundary is often thought as a sharp surface known as the *plasma meniscus* dividing the two areas.

- Works as a thought model.
- In reality compensation drops going from plasma to beam in a transition layer with thickness $\sim \lambda_D \Rightarrow$ plasma sheath.

Plasma flux

The plasma flux to a surface is

$$J = \frac{1}{4} q n \bar{v} = q n \sqrt{\frac{kT}{2\pi m}}$$

Extraction hole: ion beam samples plasma species with weight $\propto m^{-1/2}$.

Plasma flux sets the maximum current extractable

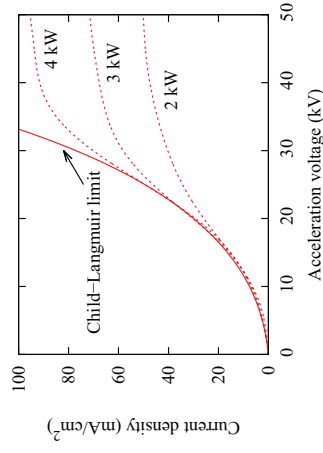
$$I = J A_{\text{meniscus}},$$

where the area of plasma meniscus $A_{\text{meniscus}} \neq A_{\text{aperture}}$ and therefore not quite constant. N-dimensional simulations needed for better estimates.

Child-Langmuir law

Ion beam propagation may also be limited by space charge. The 1D Child-Langmuir law gives the maximum current density for the special case where the beam is starting with $v_0 = 0$ (not plasma).

$$J = \frac{4}{9} \epsilon_0 \sqrt{\frac{2q}{m}} \frac{V^{3/2}}{d^2}.$$



Thermal plasma sheath

Classic 1D plasma sheath theory: In an electron-ion plasma a positive plasma potential is formed due to higher mobility of electrons. Situation is described by Poisson equation

$$\frac{d^2U}{dx^2} = -\frac{en_0}{\epsilon_0} \left[\sqrt{1 - \frac{2eU}{m_i v_0^2}} - \exp\left(\frac{eU}{kT_e}\right) \right],$$

where the entering the sheath have an initial velocity

$$v_0 > v_{\text{Bohm}} = \sqrt{\frac{kT_e}{m_i}}.$$

Model applies quite well for positive ion plasma extraction.

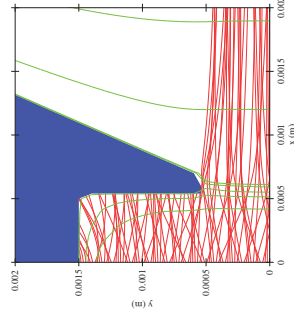
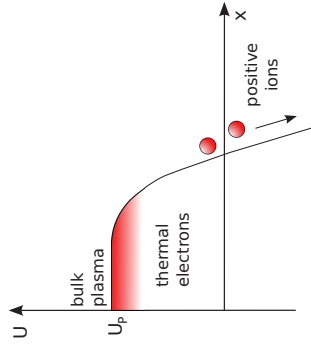
Positive ion plasma extraction model

Example: Triode extraction

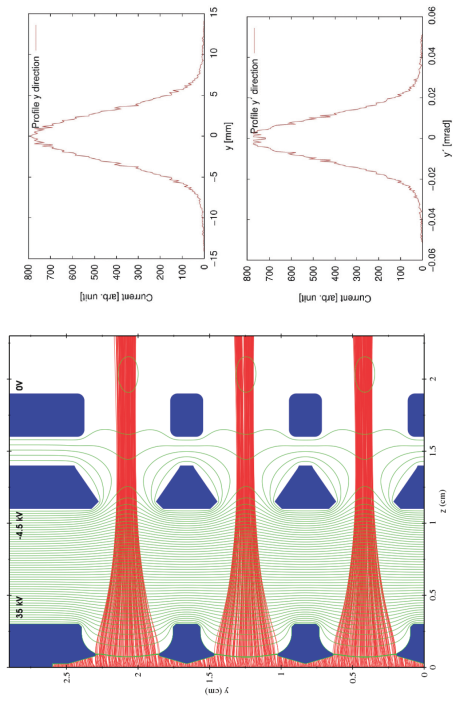
Modelling of positive ion extraction

- Ray-traced positive ions entering sheath with initial velocity
- Nonlinear space charge term (analytic in Poisson's equation):

$$\rho_e = \rho_{e0} \exp\left(\frac{U - U_P}{kT_e/e}\right)$$



Three dimensional modelling of slit-beam system for PPPL

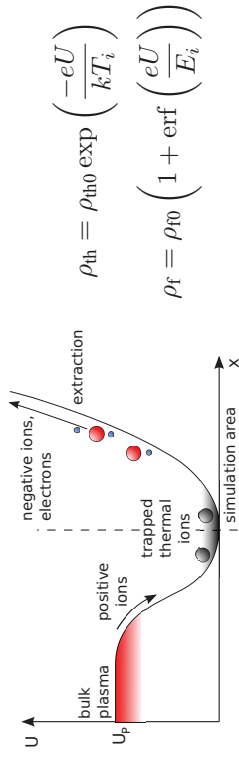


ICIS 2007, J. H. Vainionpaa, et. al., Rev. Sci. Instrum. 79, 02C102 (2008)

Negative ion plasma extraction model

Modelling of negative ion extraction

- Ray-traced negative ions and electrons
- Analytic thermal and fast positive charges
- Magnetic field suppression for electrons inside plasma



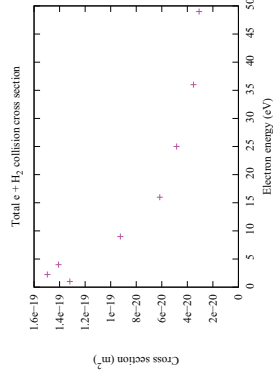
$$\rho_{th} = \rho_{th0} \exp\left(\frac{-eU}{kT_i}\right)$$

$$\rho_f = \rho_{f0} \left(1 + \operatorname{erf}\left(\frac{eU}{E_i}\right)\right)$$

Negative ion plasma extraction model

Magnetic field suppression for electrons inside plasma

- Electrons highly collisional until velocity large enough
- Magnetic field suppression for electrons inside plasma



Electron dumping

Negative ion source extraction systems need to dispose of the co-extracted electrons \Rightarrow magnetic elements needed

- Solenoidal focusing field (LANSCE, BNL)
- Source B-field (Penning)
- Dipole field bending e^- to dump, source tilt for ions
- Dipole-antidipole dump and correction.

Practical boundary conditions:

- X-ray generation
- Heat load on dump (continuous, peak)
- Current load on power supplies

Difficulties in modelling extraction systems

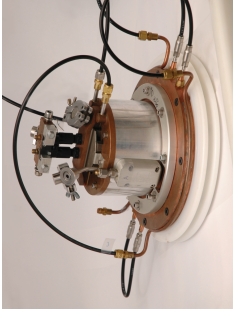
Amount of parameters fed to the model is quite large

- Extracted species: $J_i, T_{t,i}, v_0$
- Positive ion plasma model: T_e, U_P
- Negative ion plasma model: $T_i, E_i/T_i$,
gas stripping loss of ions
- All: space charge compensation degree and localization in LEBT

Methods: educated guessing (literature data), plasma measurements and matching to beam measurements (emittance scans).

Design project example

K150 cyclotron at the Texas A&M needed a H^-/D^- source and extraction

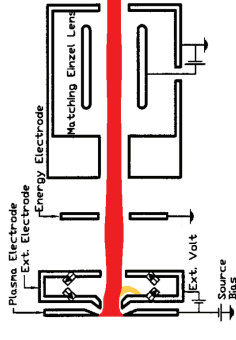


Using spare LBNL style H^- multicusp ion source. Requirements:

- DC beam of 1 mA H^- and 0.5 mA D^- .
- Beam energy from 5 keV to 15 keV.

The application at the cyclotron needed a new H^-/D^- extraction for 1 mA:

- Negative ion extraction design is dominated by the necessary removal of co-extracted electrons (Factor of 10–20 more than ions).
- Design by T. Kuo for newer TRIUMF sources has fixed energy at puller electrode and two anti-parallel B-fields for removing electrons and returning the H^- back to original angle.



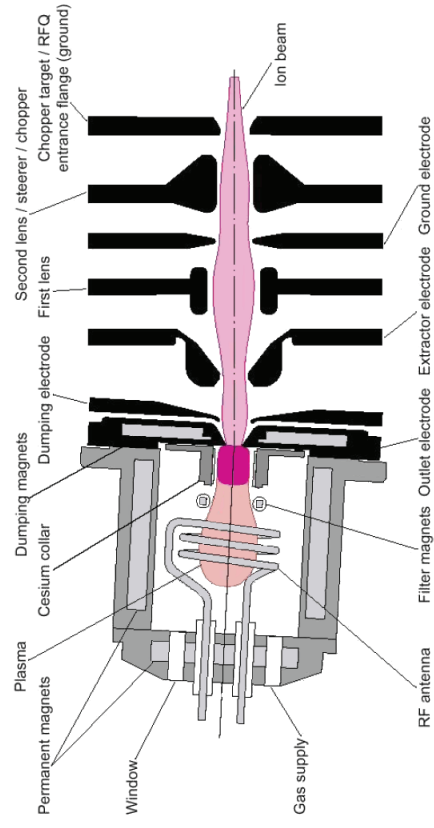
- With the LBNL source, this is not possible, because of internal filter field extends to extraction. Going with simple dipole field, tilted source design and fixed energy at tilt.

Example: SNS ion source baseline extraction

SNS: plasma parameters

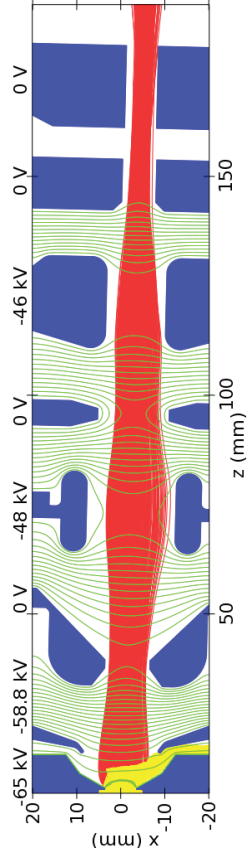
Previously, the same plasma parameters were used as in other published simulation work. Fine tuning was now made to match results to experimental emittance data.

- Transverse temperature of e^- and H^- $T_t = 2.0$ eV
- Plasma potential $U_P = 15$ V
- Emitted electron to ion ratio $I_{e^-} / I_{H^-} = 10$
- Thermal positive ion to negative ion ratio $\rho_{X^+} / \rho_{H^-} = 0.5$
- Initial energy of particles $\bar{E}_0 = 2.0$ eV

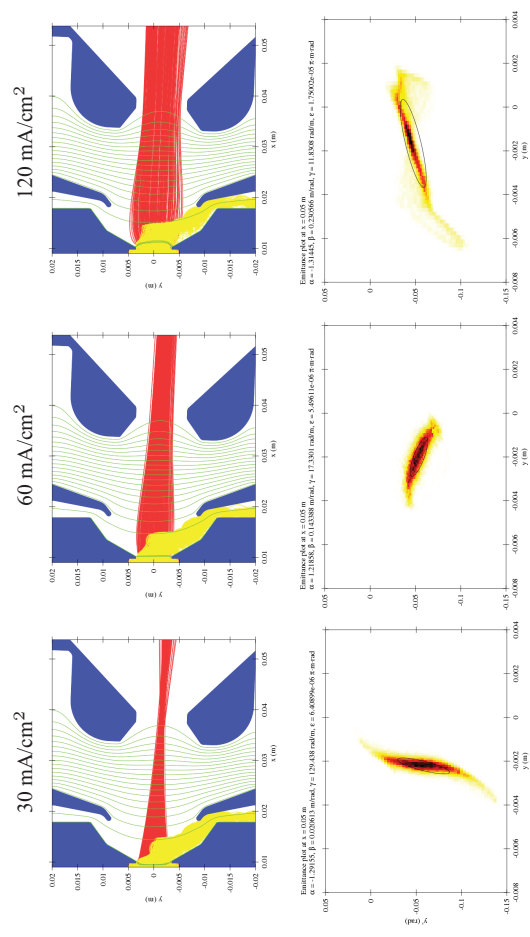


SNS: Extraction simulation

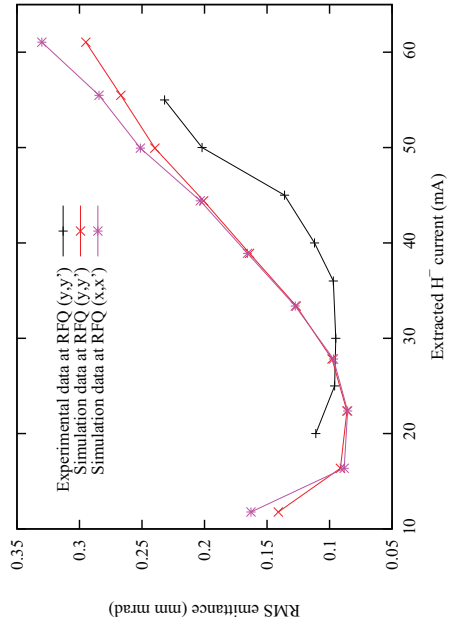
Tilted SNS extraction delivering 64 mA of H^- beam to the RFQ.



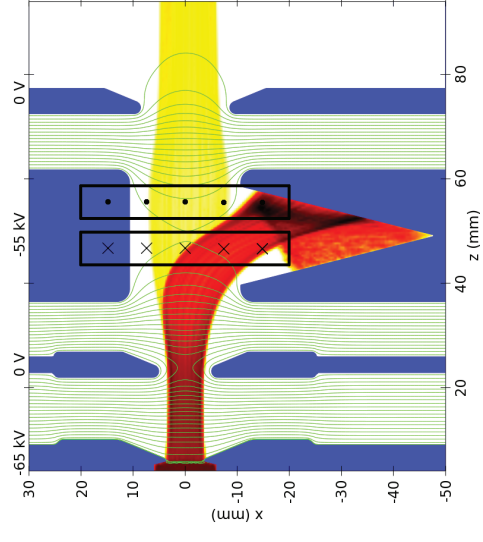
Plasma-beam transition behaviour



Emittance comparison

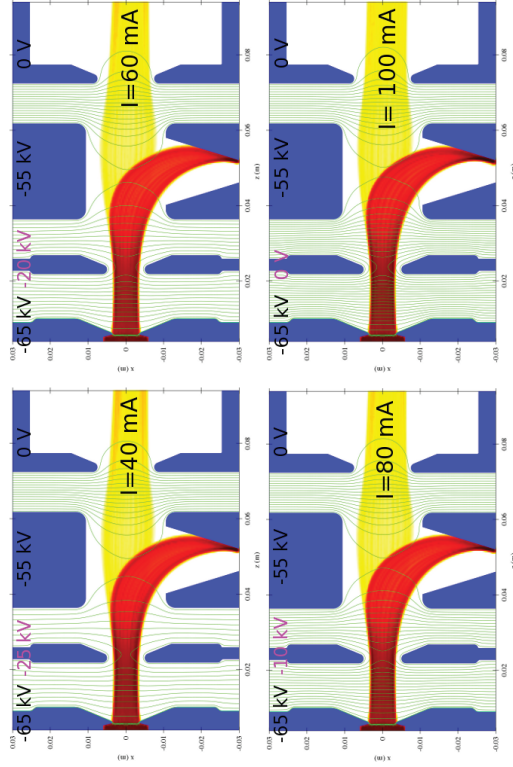


Proposed design

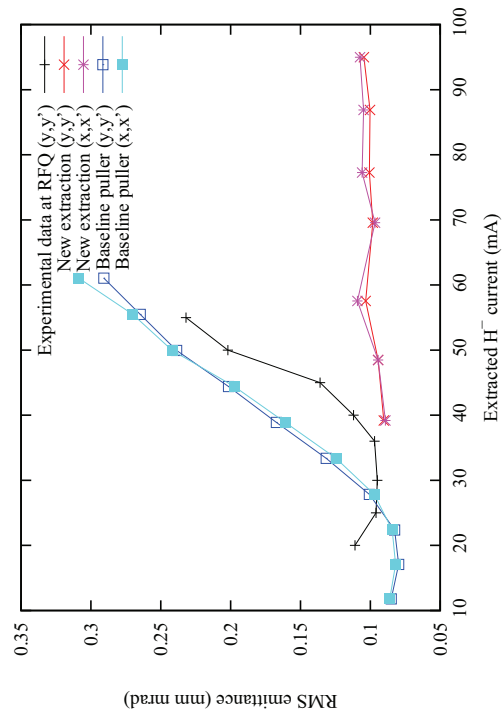


Experimental emittance data: B. X. Han, RSI 81 02B721 (2010)

Puller voltage adjust

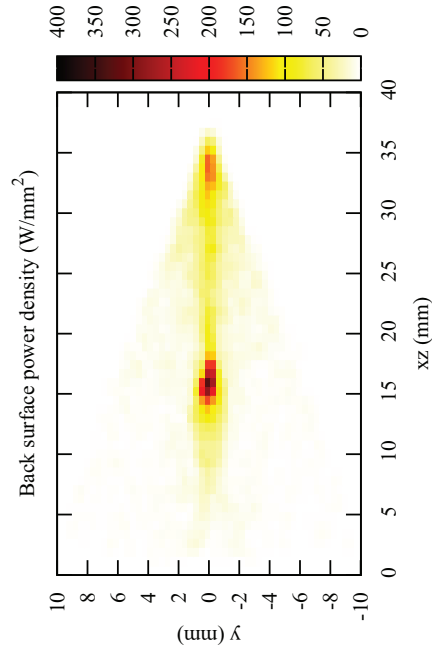


Emittance comparison



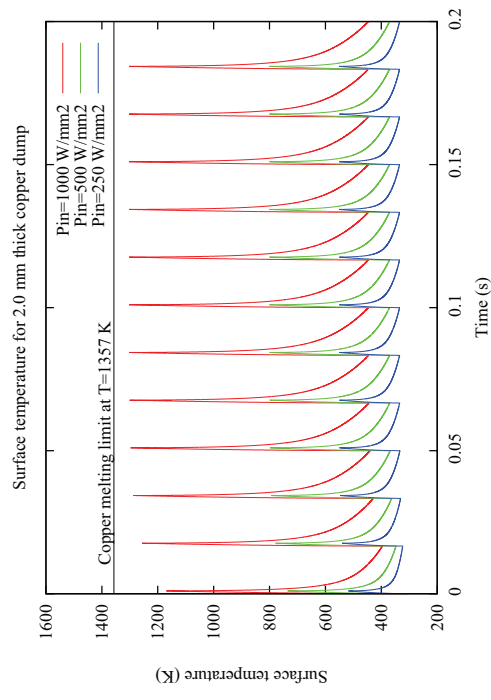
Power density on dump

Assuming 100 mA of H^- and e^- to H^- ratio of 10



Thermal considerations

SNS pulse pattern of 60 Hz, 1 ms beam on.



Thank you for your attention!