



Vacuum Technology for Ion Sources

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Outline

- 1. Aim of this short course**
- 2. Gas flow in molecular regime**
 - a. Conductance and pumping speed.
 - b. Evaluation of pressure profiles.
 - c. Transient behaviour.
- 3. Electrical analogy**
- 4. Gas pumping:**
 - a. Momentum transfer pumps (turbomolecular)
 - b. Sputter ion pumps
 - c. Getter pumps
 - d. Comparison of pumps
- 5. Conclusions**

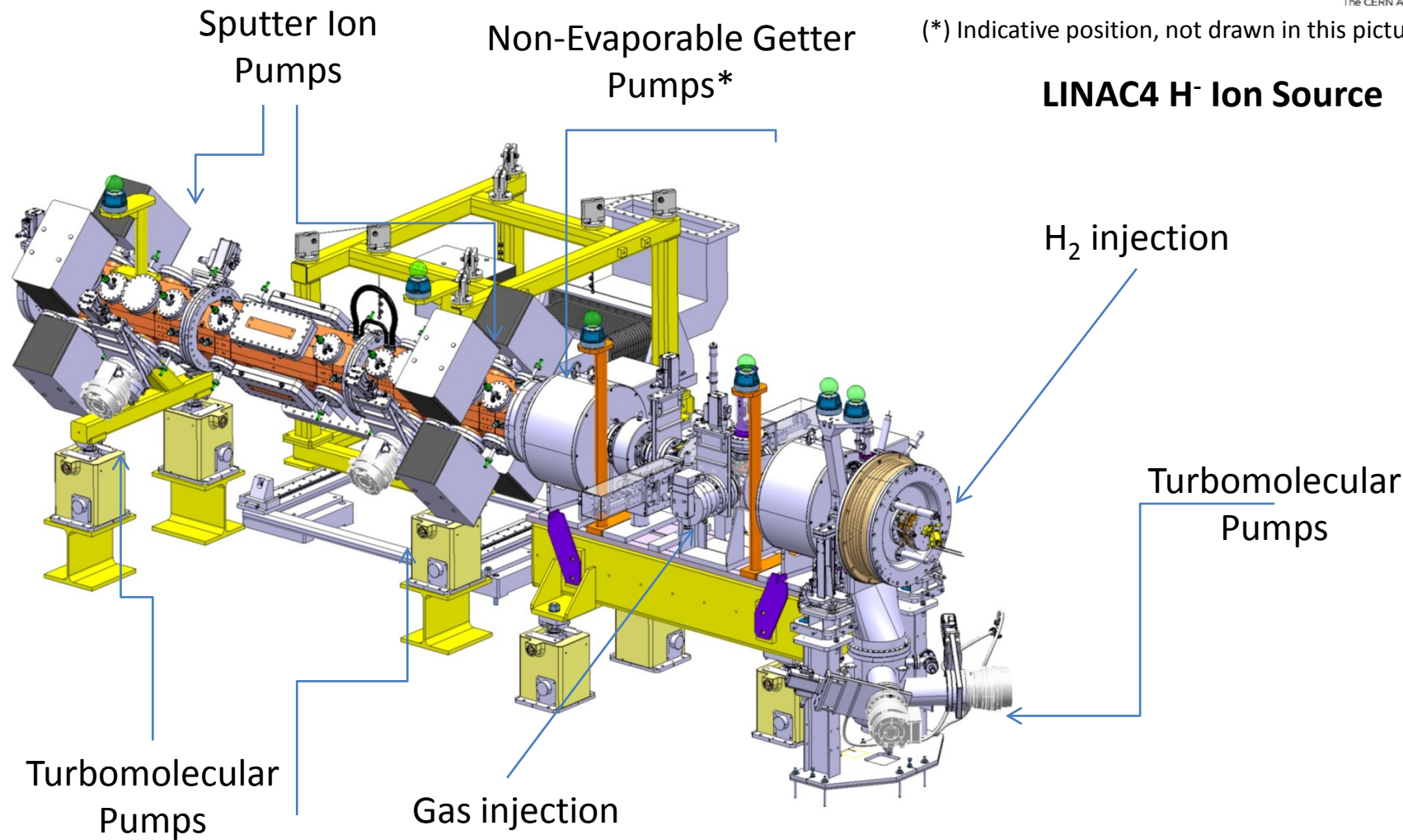
Appendix

- 1. Basic Notions***
- 2. Additional Examples of Electrical Analogy***
- 3. Outgassing***
- 4. Extra Info about Capture Pumps.***

Vacuum Peculiarities of Ion Sources

(*) Indicative position, not drawn in this picture

LINAC4 H⁻ Ion Source



Aim of this short course

- Pressure profile
- Pumps for ion sources

Courtesy of Didier Steyaert CERN, EN-MME

Basic Notions (see appendix 1)

- Ideal gas equation:

$$P V = N_{moles} \times R T \text{ (thermodynamic)}$$

$$P V = N_{molecules} \times k_B T \text{ (statistical mechanics)}$$
- Maxwell-Boltzmann model:

$$\varphi = \frac{1}{4} n \langle v \rangle = \frac{1}{4} n \sqrt{\frac{8 k_B T}{\pi m}}$$

φ rate of impingement
 n gas density $\frac{\text{molecules}}{\text{volume}}$
 m is the molecular mass [Kg]
- Knudsen number:

$$K_n = \frac{l}{D}$$

l is the mean free path of gas molecules, D typical distance of the vacuum system
- Molecular regime:

$$K_n > 1$$

Collisions with the wall of the vacuum system more likely than those between molecules
- Gas flow Q in molecular regime:

$$Q = C (P_1 - P_2)$$

C is the gas conductance independent of pressure
- Gas conductance of a wall slot:

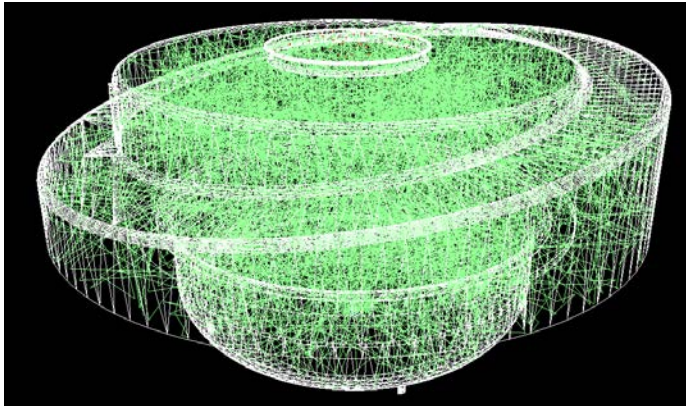
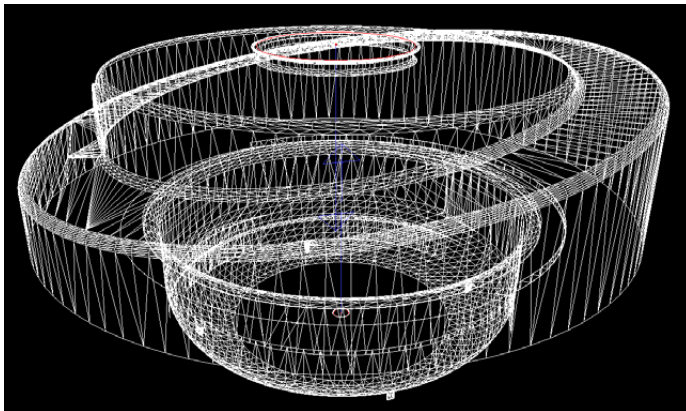
$$C = \frac{1}{4} A \langle v \rangle = A C' \rightarrow \propto \frac{1}{\sqrt{M}}$$

A is the wall slot area
 M is the molecular weight [Kg]
- Gas conductance of a duct:

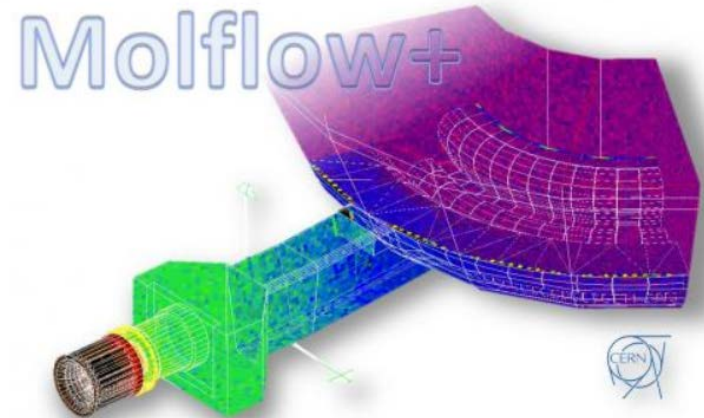
Conductance of the duct aperture ($A C'$) x transmission probability (τ)

Analytical expressions for the transmission probability can be found for ducts of circular, rectangular and elliptical cross section (see for example J. M. Lafferty, Foundation of Vacuum Science and Technology, Wiley Interscience).

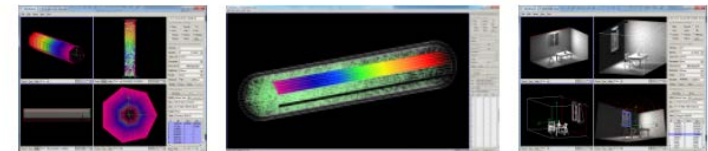
For more complicated geometry, Test-Particle Monte Carlo methods (TPMC) are used.



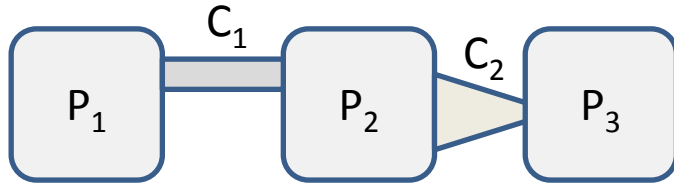
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A test-particle Monte-Carlo simulator for ultra-high-vacuum systems



<http://cern.ch/test-molflow>



$$Q_1 = C_1(P_1 - P_2)$$

$$Q_2 = C_2(P_2 - P_3)$$

$$Q_{TOT} = C_{TOT}(P_1 - P_3)$$

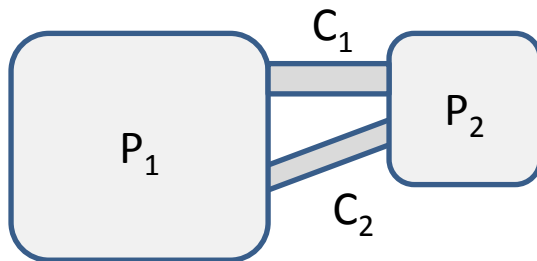
In stable conditions, there is no gas accumulation in the whole system: $Q_1 = Q_2 = Q_3$

It can be easily verified that: $C_{TOT} = \frac{C_1 C_2}{C_1 + C_2}$ and $\frac{1}{C_{TOT}} = \frac{1}{C_1} + \frac{1}{C_2}$:

In general for N vacuum components traversed by the same gas flux, i.e. placed **in series** :

$$\frac{1}{C_{TOT}} = \sum_1^N \frac{1}{C_i}$$

For components placed in parallel (same pressures at the extremities):



$$Q_1 = C_1(P_1 - P_2)$$

$$Q_2 = C_2(P_1 - P_2)$$

$$Q_{TOT} = C_{TOT}(P_1 - P_2)$$

$$Q_{TOT} = Q_1 + Q_2 \rightarrow C_{TOT} = C_1 + C_2 \rightarrow C_{TOT} = \sum_1^N C_i$$

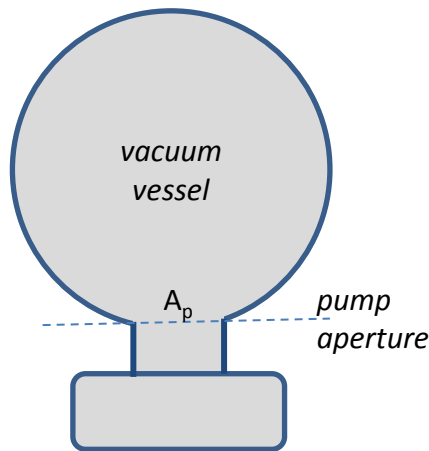
In vacuum technology a pump is any 'object' that remove gas molecules from the gas phase.

The pumping speed **S** of a pump is defined as the **ratio** between the **pump throughput** Q_p (flow of gas definitively removed) and the **pressure P at the entrance** of the pump:

$$S = \frac{Q_P}{P} \quad [S] = \frac{[Volume]}{[Time]} = [conductance]$$

In a more general way: $S = \frac{\delta Q_P}{\delta P}$

The gas removal rate can be written as: $Q_P = \frac{1}{4} A_P n \langle v \rangle \sigma = A_P C' n \sigma$



A_P : is the area of the pump aperture

C' : is the conductance of the unit surface area

n : the gas density

σ : the capture probability, i.e. the probability that a molecule entering the pump is definitively captured (Ho coefficient)

As usual, in term of pressure and PV units:

$$Q_P = A_P C' n \sigma (k_B T) = A_P C' \sigma P$$

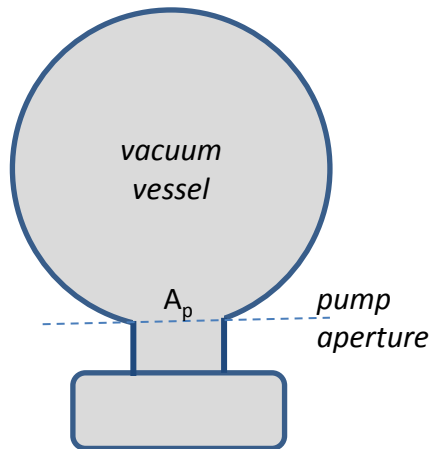
From the definition of pumping speed:

$$S = A_P C' \sigma$$

S depends on the conductance of the pump aperture $A_P C'$ and the capture probability σ . σ is in general not a constant; it may depend on many parameters including pressure, kind of gas and quantity of gas already pumped.

The **maximum pumping speed** is obtained for $\sigma = 1$ and is equal to the conductance of the pump aperture.

Maximum pumping speed [l s^{-1}] for different circular pump apertures



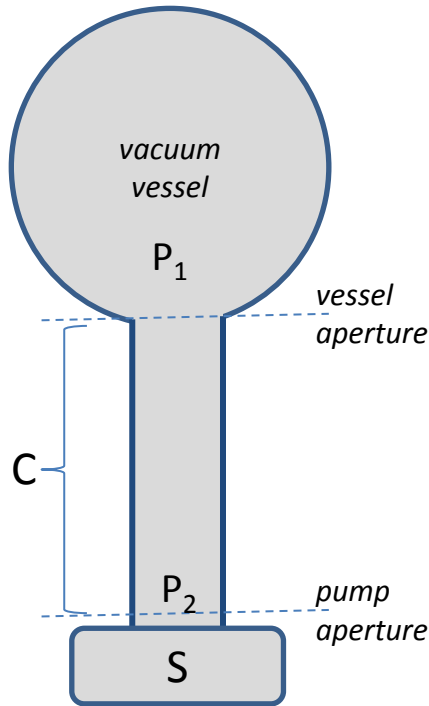
ID [mm]	H ₂	N ₂	Ar
36	448	120	100
63	1371	367	307
100	3456	924	773
150	7775	2079	1739

A gas flow restriction interposed between a pump and a vacuum vessel reduces the 'useful' pumping speed. The effective pumping speed S_{eff} seen by the vacuum vessel is easily calculated:

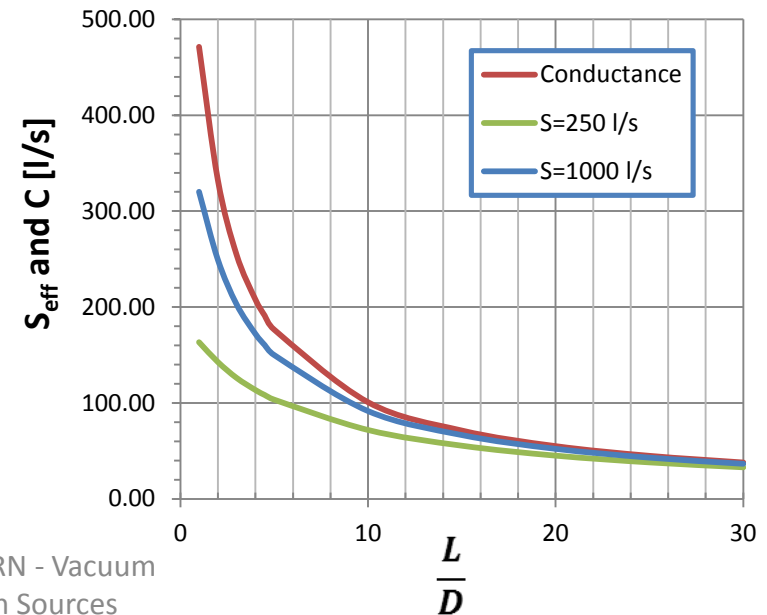
$$Q = C_1(P_1 - P_2) = SP_2 = S_{eff}P_1$$

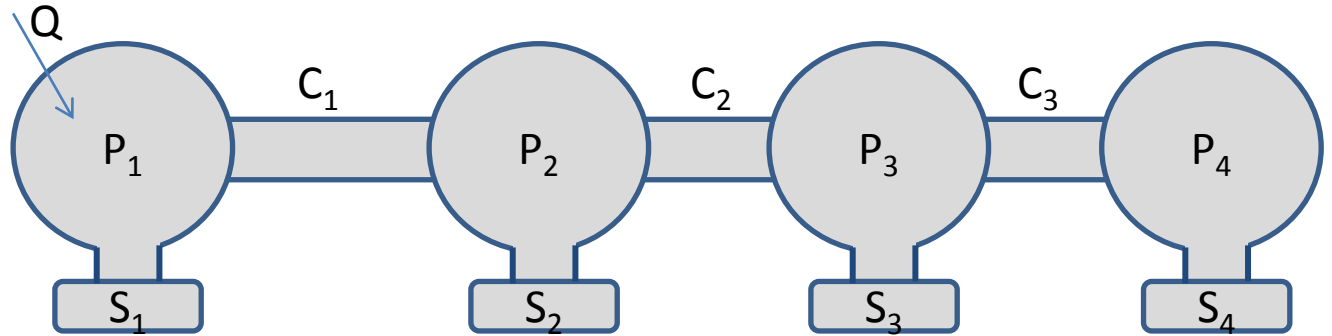
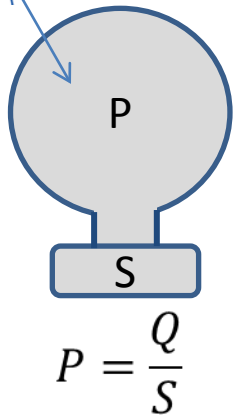
$$\frac{1}{S_{eff}} = \frac{1}{S} + \frac{1}{C}$$

For $C \ll S$: $S_{eff} \approx C$



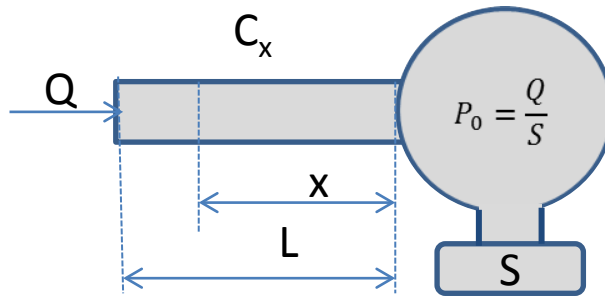
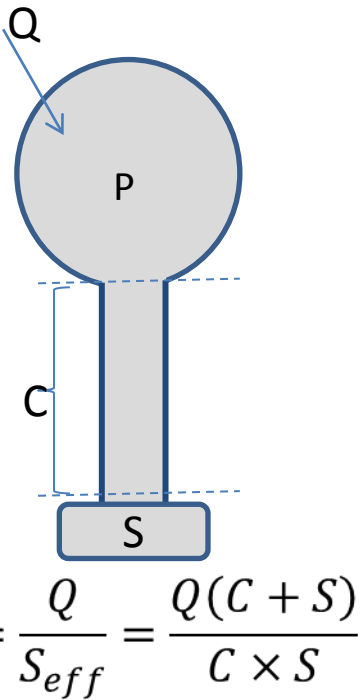
Example
 Vessel and pump connected by a 100 mm diameter tube; nitrogen, $S=250$ l/s and 1000 l/s.





Flux balance at the connexions (node analysis):

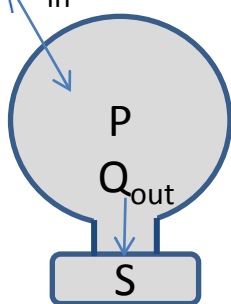
$$\left\{ \begin{aligned} Q &= P_1 S_1 + C_1(P_1 - P_2) \\ C_1(P_1 - P_2) &= C_2(P_2 - P_3) + P_2 S_2 \\ C_2(P_2 - P_3) &= C_3(P_3 - P_4) + P_3 S_3 \\ C_3(P_3 - P_4) &= P_4 S_4 \end{aligned} \right.$$



$$P_x = P_0 + \frac{Q}{C(x)}$$

$$C(x) = C(L) \frac{L}{x}$$

Gas Flow in Molecular Regime: Time Dependence

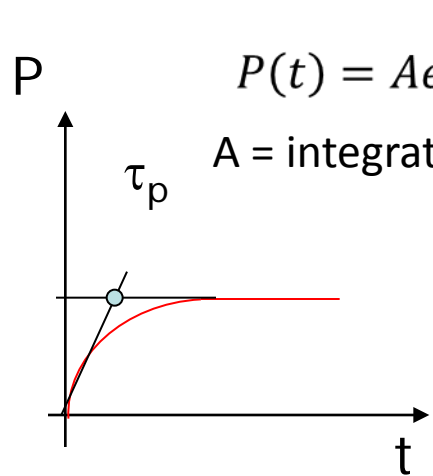


From the ideal gas equation: $PV = Nk_B T \rightarrow V \frac{dP}{dt} = k_B T \frac{dN}{dt}$

A gas balance equation can be written as: $\frac{dN}{dt} = Q_{in} - Q_{out}$

$$V \frac{dP}{dt} = k_B T (Q_{in} - Q_{out}); \text{ in PV units: } V \frac{dP}{dt} = (Q_{in} - Q_{out})$$

The pumped gas rate is: $Q_{out} = SP \rightarrow V \frac{dP}{dt} = Q_{in} - SP$



$$P(t) = A e^{-\frac{t}{\tau_p}} + \frac{Q_{in}}{S}$$

A = integration constant

$$P(0) = P_0 \rightarrow P(t) = \left(P_0 - \frac{Q_{in}}{S} \right) e^{-\frac{t}{\tau_p}} + \frac{Q_{in}}{S}$$

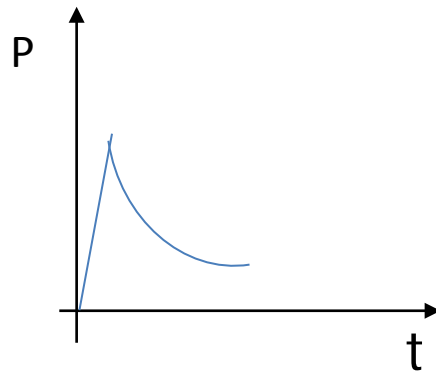
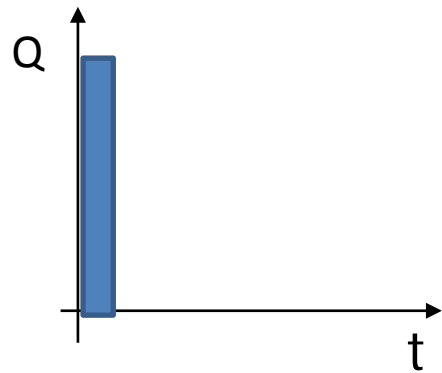
$$P(0) = 0 \rightarrow P(t) = \frac{Q_{in}}{S} \left(1 - e^{-\frac{t}{\tau_p}} \right)$$

$$\tau_p = \frac{V}{S} \text{ characteristic time of pumping}$$

When Q_{in} is a function of time:






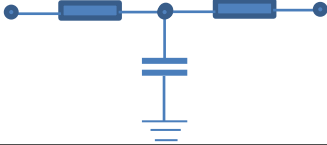
$$P(t) = \frac{\int e^{\frac{t}{\tau_p}} \frac{Q_{in}(t)}{V} dt + A}{e^{\frac{t}{\tau_p}}} = \frac{\int Q_{in}(t) dt}{V} - \frac{e^{-\frac{t}{\tau_p}}}{V\tau_p} \int e^{\frac{t}{\tau_p}} \left[\int Q_{in}(t) dt \right] dt + A e^{-\frac{t}{\tau_p}}$$

A = integration constant



For a network of vacuum chambers, systems of coupled differential equations for each chamber have to be solved.

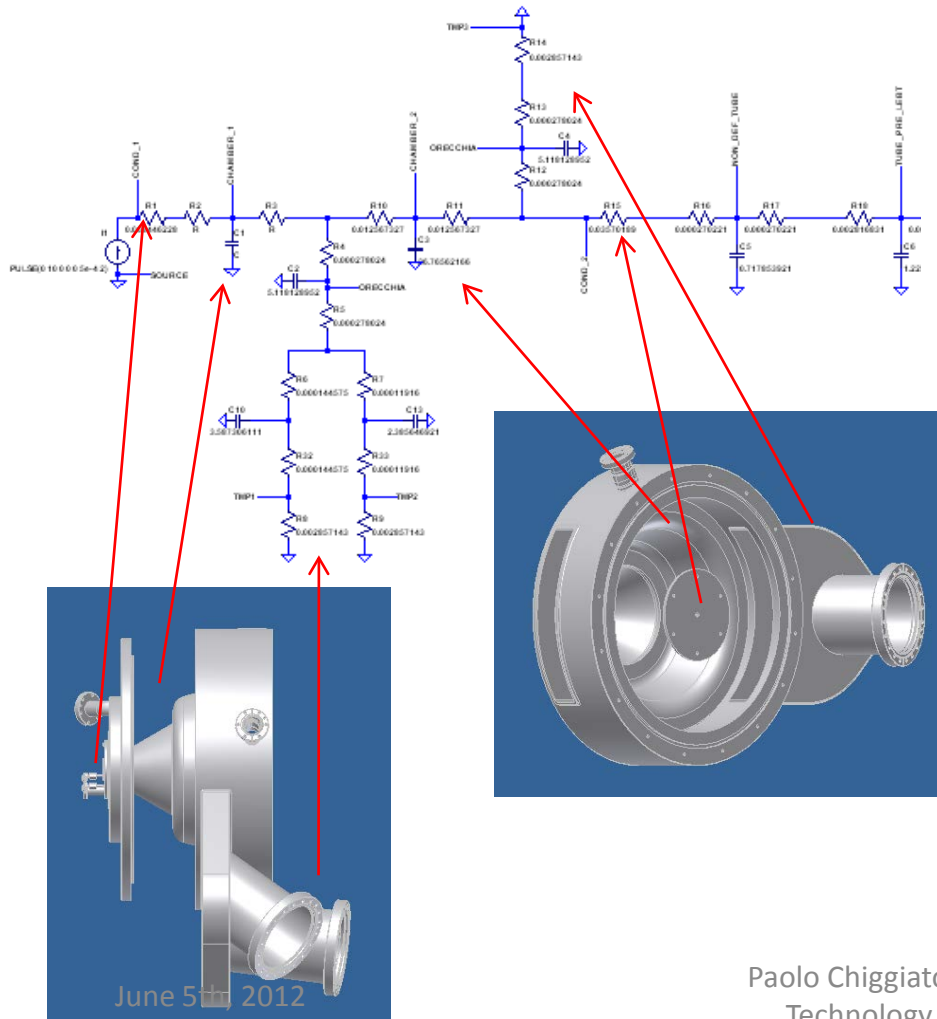
However, a simpler method exists. It is based on the analogy between vacuum systems and electrical networks. Very powerful software is available for the time dependent analysis of electrical networks.

Vacuum element	Electrical elements
Conductance C	Conductance $1/R$ 
Gas Flow Q	Current I
Pressure P	Voltage V
Volume V	Capacitance C 
Pump	Conductance to ground 
Gas source	Current generator 
Constant pressure source	Voltage supply 
Vacuum chamber with conductance and volume	

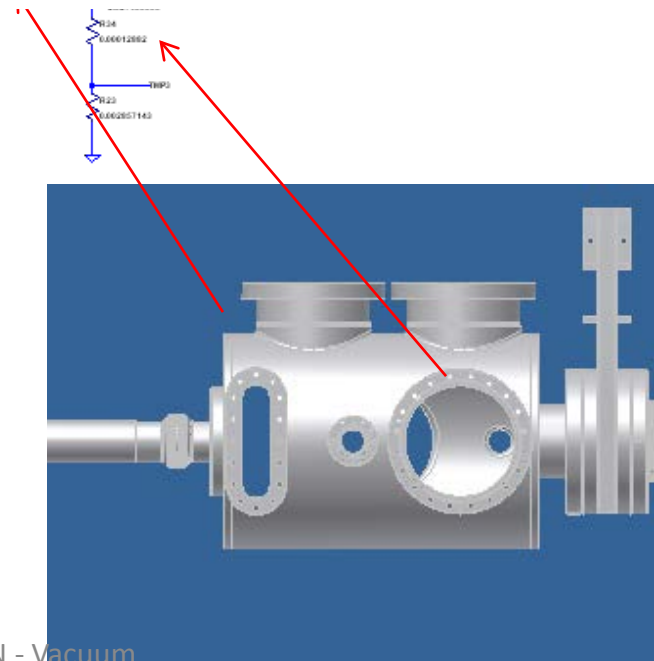
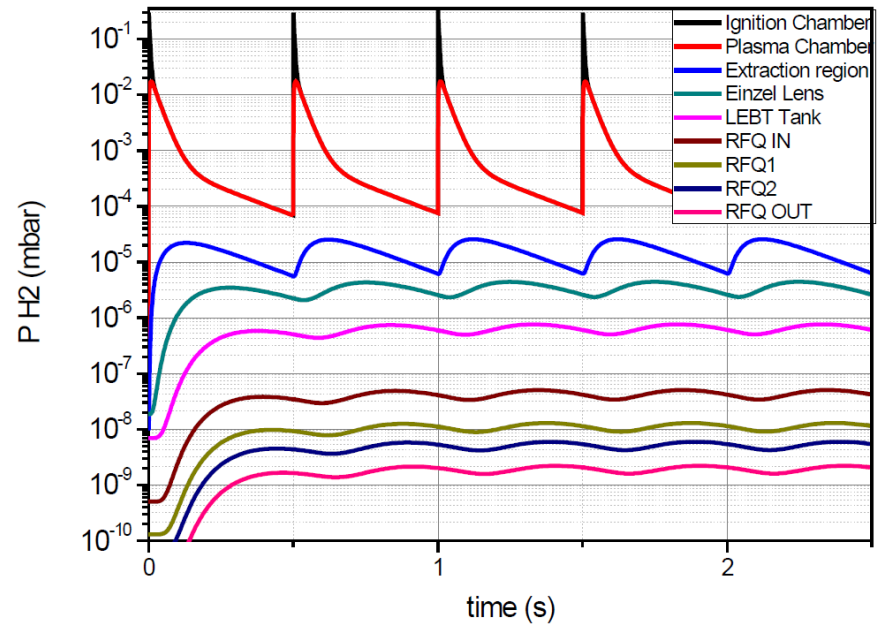
- The ground potential is equivalent to zero pressure.
- Long tubes are subdivided in smaller units and considered as single vacuum chambers (conductance + volume) in series.
- Non-linear electric characteristics can be used to simulate pressure and time dependent conductance and pumping speed.
- In this way pressure excursions into viscous regime can be evaluated

Simple example: differential pumping

A more complex example: part of the Linac4 H- source
 (from C. Pasquino et al., CERN, AT/Note/2012/043 TECH)



H2 partial pressure profiles: build up effect



In molecular regime:

- gas molecules cannot be removed by suction: the molecules do not transfer energy and momentum amongst them; pumps act on each molecule singularly;
- pumps are classified in two families:
 1. **momentum transfer pumps;**
 2. **capture pumps.**
- Capture pumps remove molecules from the gas phase by fixing them onto an internal wall.
- To do so the sojourn time on the wall has to be much longer than the typical time of the accelerator run. An estimation of sojourn time is given by the Frenkel law *J. Frenkel, Z. Physik, 26, 117 (1924)*:

$$t_s = t_0 e^{\frac{E_a}{k_B T}}$$

where E_a is the adsorption energy and $t_0 \approx \frac{h}{k_B T} \approx 10^{-13} \text{ s}$.

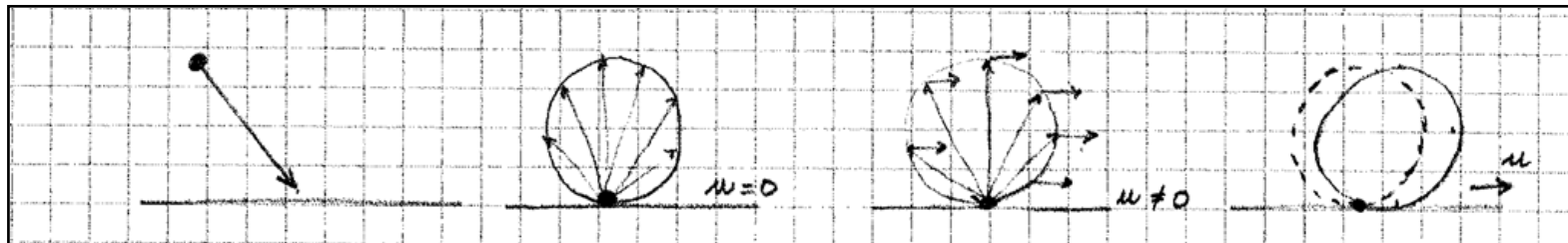
$E_a \gg k_B T \rightarrow$ Chemical pumps (**getter pumps**)

$T \ll \frac{E_a}{k_B} \rightarrow$ **Cryopumps**

The molecules receive a momentum components pointing toward the pump outlet where the gas is compressed and finally evacuated by pumps working in viscous regime.

In molecular pumps, molecules are headed for the outlet by moving surfaces.

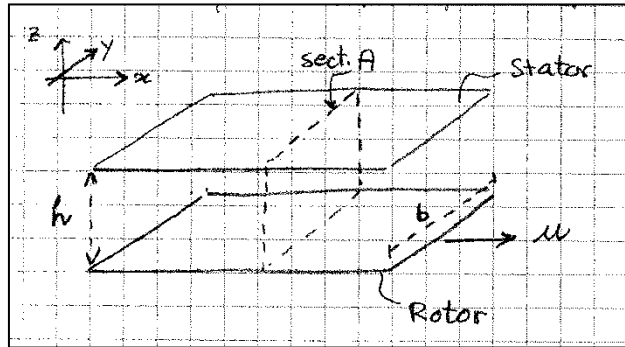
Molecules impinge and adsorb on the moving surface; on desorption the velocity distribution is superimposed by the drift velocity of the wall → a moving wall produces a gas flow



The most important characteristics of molecular pumps are:

1. Pumping speed S
2. Maximum compression ratio $K_0 = \left(\frac{P_{OUT}}{P_{IN}} \right)_{MAX}$

The parameters affecting S and K_0 can be identified by a simple model.



At any point in time, half of the molecules has just collided with the moving surface and drift in the 'x' direction with velocity 'u'.

The other half comes from the stator where the drift component is lost.

The molecular flow toward an imaginary section (A) is:

$$Q = \frac{1}{2} n \cdot u \cdot bh = \frac{1}{2} \frac{P}{k_B T} \cdot u \cdot bh \quad \text{in PV units} \rightarrow Q = \frac{1}{2} P \cdot u \cdot bh$$

$$S = \frac{Q}{P} = \frac{1}{2} u \cdot bh$$

The pumping speed of molecular pumps:

- Depends linearly on the speed of the moving wall
- Does not depend on the nature of the gas (in the frame of this model) → molecular pumps are not selective!

It can be shown that the maximum compression ratio is:

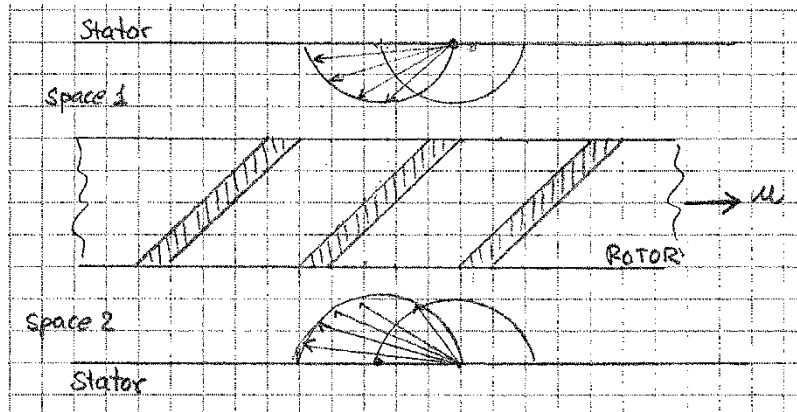
$$K_0 = \left(\frac{P_{OUT}}{P_{IN}} \right)_{MAX} \propto \exp \left[\frac{u}{\langle v \rangle} \times \frac{L}{h} \right] \propto \exp \left[\left(u \sqrt{m_i} \frac{L}{h} \right) \right]$$

L and h
length and width
of the pump duct

High compression ratio for molecular pumps can be obtained for:

- Fast moving surfaces: at least of the same order of the average molecular velocity ($>100 \text{ ms}^{-1}$).
- Narrow and long pumping ducts (lower backstreaming).
- Heavy masses \rightarrow the maximum compression ratio depends strongly on the molecular mass, the lowest being for $\text{H}_2 \rightarrow$ The ultimate pressure of molecular pumps is dominated by H_2 .

To overcome the problem of the required narrow pump duct, in 1957 Backer introduced the turbomolecular pumps (TMP) based on rapidly rotating blades.



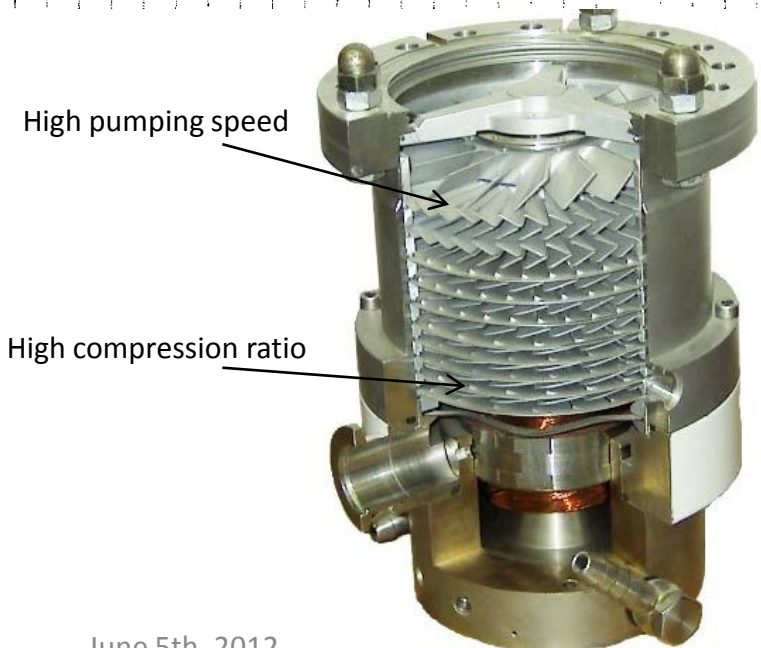
The molecules seen from the blades have a velocity oriented toward the blades' channels when they come from space 1. From space 2, most of the molecules hit the blades and are backscattered → a significant gas flow is set if at least $\langle v \rangle \approx u$.

Every series of rotating blades (rotor) is followed by a series of static blades (stator).

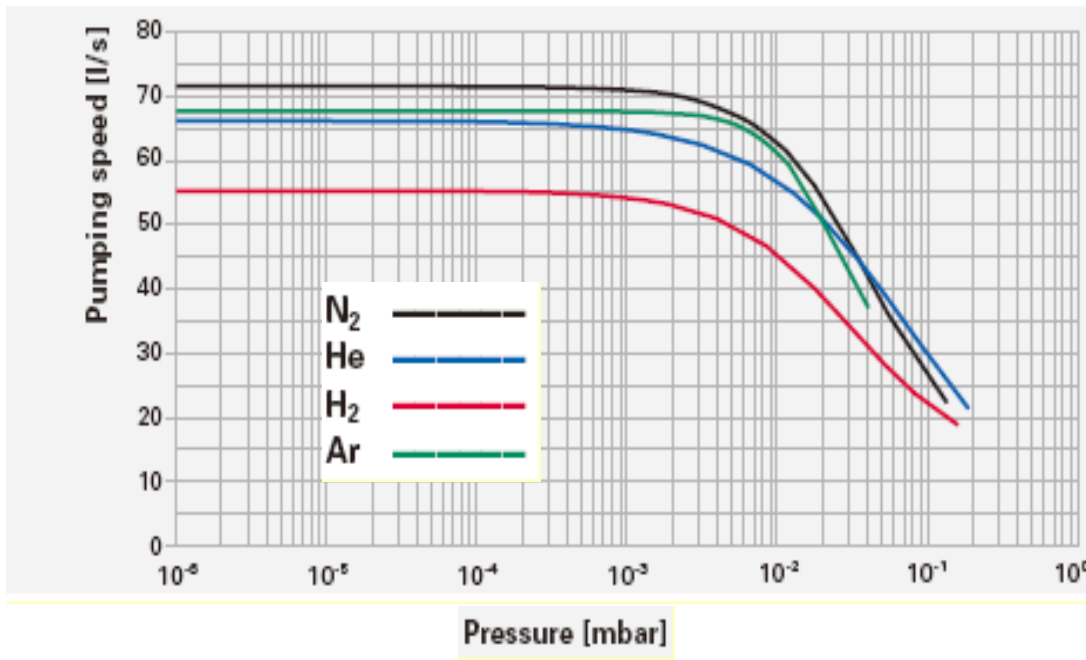
The indications given by the simplified model for molecular pumps hold also for the TMP:

S: no strong influence of gas molecular mass + linear dependence on revolution speed

K_0 : exponentially dependent on revolution speed and square root of gas molecular mass



Courtesy of Pfeiffer Vacuum



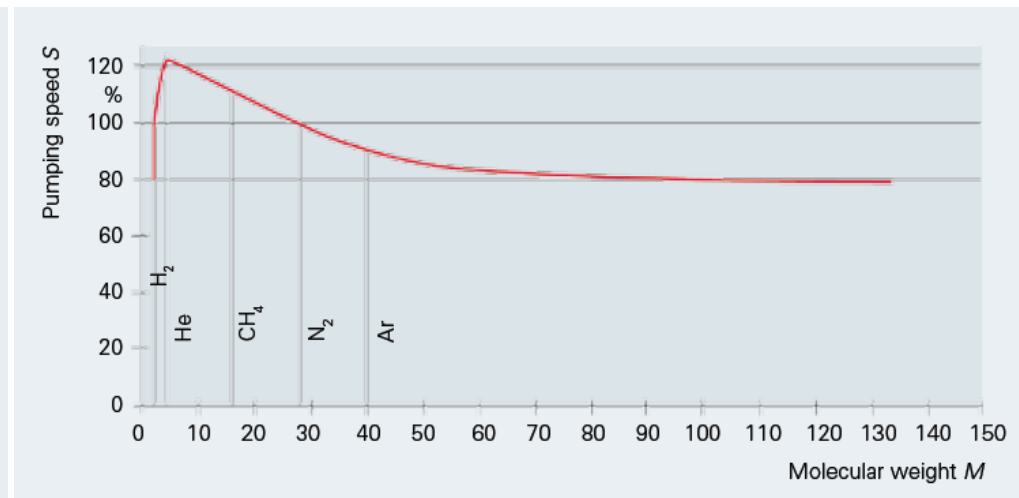
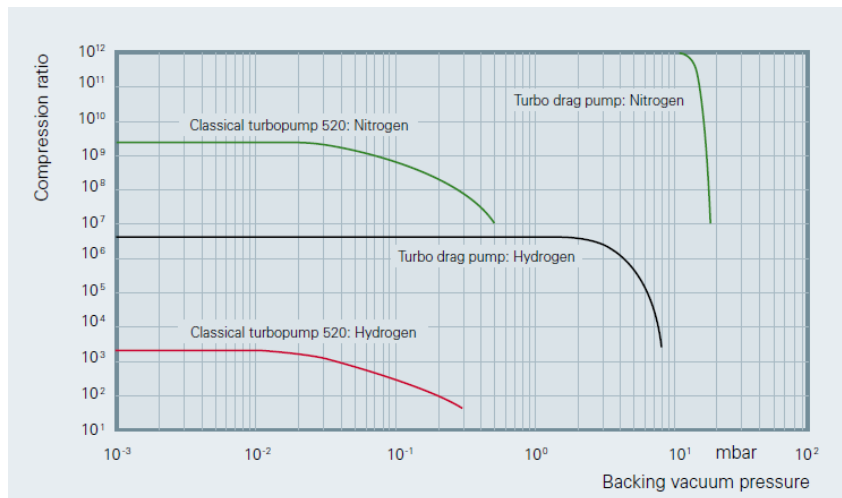
TMP pumping speeds are in the range from 10 l/s to 25,000 l/s.

Their ultimate pressure (H₂) is of the order of 10⁻¹⁰, 10⁻¹¹ mbar

Courtesy of Pfeiffer Vacuum

<http://www.pfeiffer-vacuum.com>

Vacuum Technology KnowHow



Advantages of TMP:

1. constant pumping speed in a large range of pressure
2. no memory effect (the gas is definitively evacuated) nor gas selectivity
3. start working at relatively high pressure (as soon as molecular regime is attained)

Disadvantages of TMP:

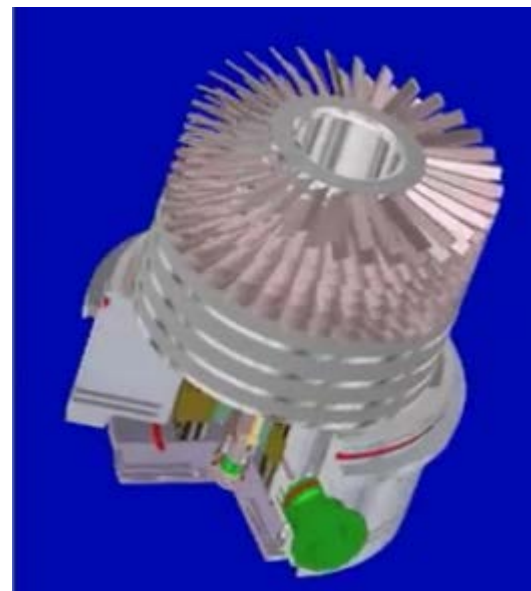
1. mechanical fragility
2. risk of contamination from the backing pump
3. need of venting anytime the pump is stopped to block backstreaming of contaminations → need of valve between TMP and vacuum vessel
4. intrinsic limitation in ultimate pressure of H₂

Present trend:

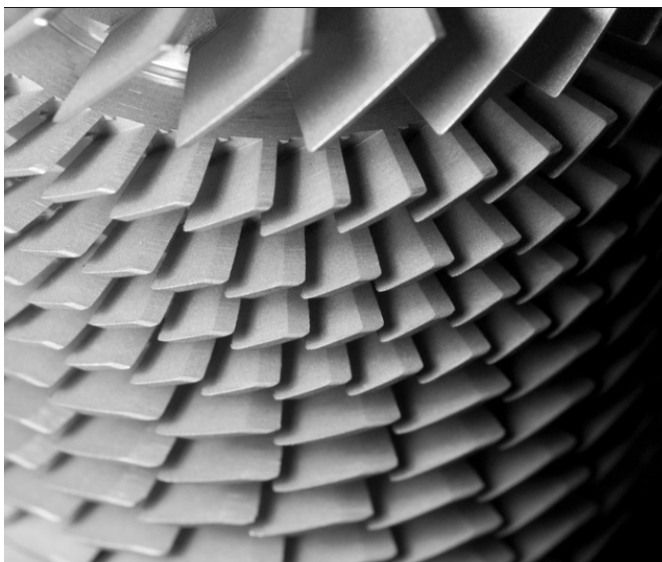
1. Use of dry pumps as backing pumps (but lower compression ratio than oil pumps)
2. Increase compression ratio by adding molecular drag stages below the set of TMP blades (very compact design)
3. Remove all lubricated mechanical bearing by magnetic rotor suspension (higher cost).

Momentum Transfer Pumps: Turbomolecular Pumps (TMP)

*Front page of J. F. O'Hanlon
A user's Guide to Vacuum Technology*



Courtesy of Agilent Vacuum

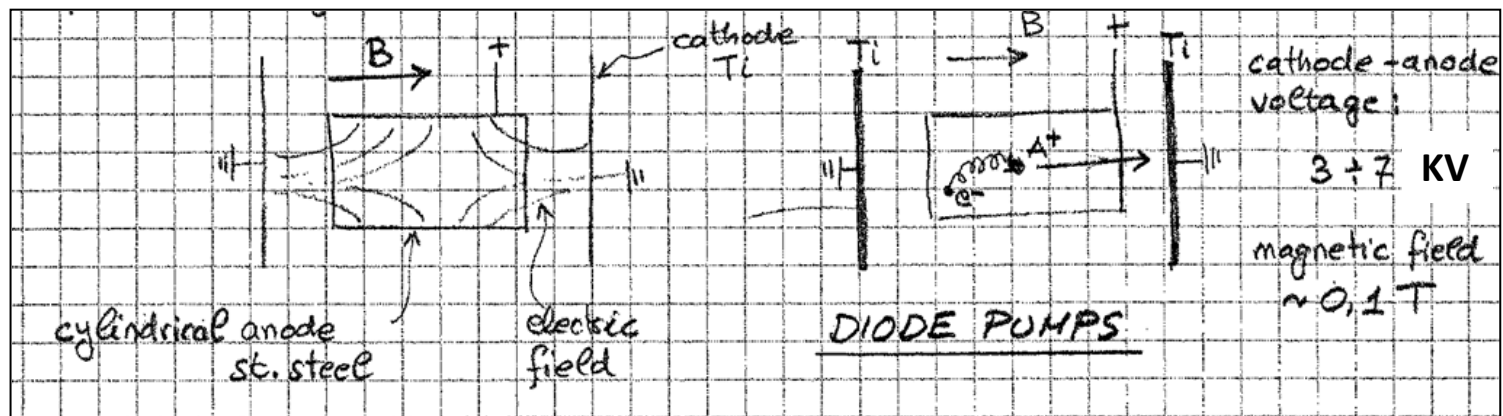


<http://www.flickrriver.com/photos/tags/turbopump/interesting/>

⌋ http://www.youtube.com/watch?v=1xZe1H2XHhM&feature=youtube_gdata_player

Capture Pumps: Sputter Ion Pumps (SIP)

- In SIP the residual gas is ionized in a Penning cell.
- The ions are accelerated toward a cathode made of reactive metal
- The collisions provoke sputtering of reactive metal atoms that are deposited on the nearby surfaces.
- The pumping action is given by:
 1. **chemical adsorption onto the reactive metal layer** and subsequent burial by additional metallic atoms of gas molecules: all gases except rare gases
 2. **implantation of gas ions in the cathode and of energetic neutrals** bounced back from the cathode in the deposited film: only mechanism of pumping for rare gases
 3. **diffusion** into the cathode and the deposited film: only H_2



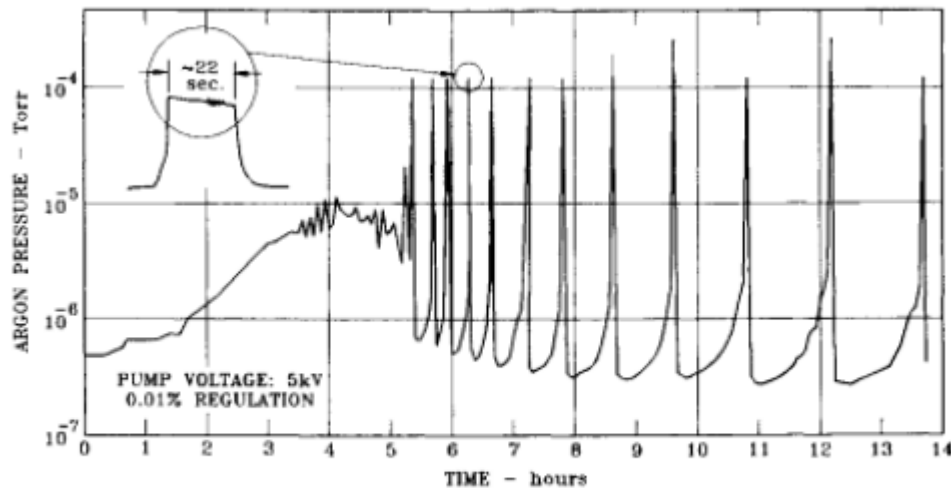
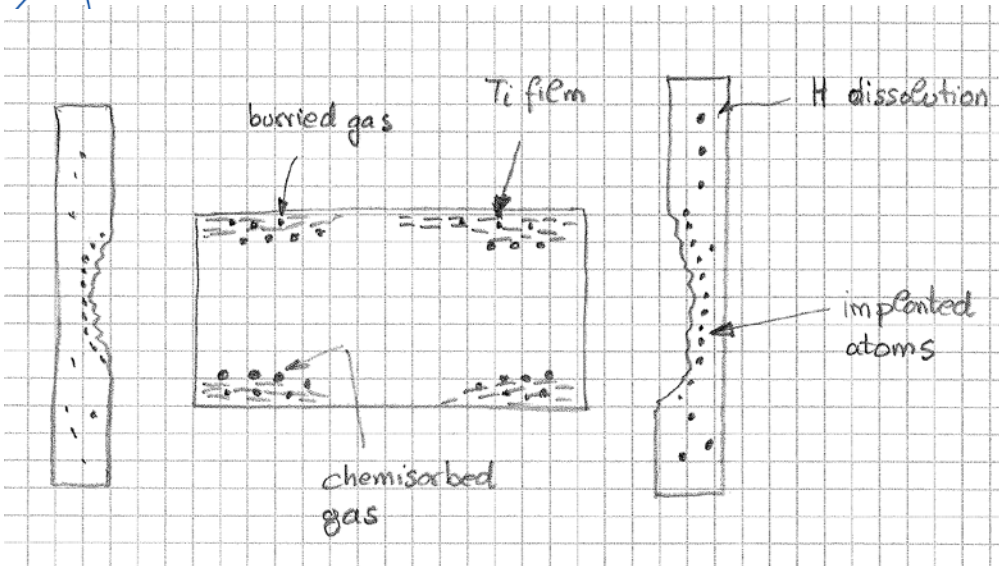


Figure 2.4.12. Example of instabilities in a system with one sputter-ion pump with titanium cathodes, after pumping argon at 5×10^{-7} Torr for a few hours.

Kimo M. Welch, *Capture Pumping Technology*, North-Holland, p.106

An excessive quantity of noble gas implanted in the cathode can produce pressure instabilities:

- the continuous erosion extract noble gas atoms from the cathode;
- as a result the pressure increases and the erosion is accelerated;
- a pressure rise is obtained, which terminate when most of the gas is implanted again in the sputtered film or in a deeper zone of the cathode.

To increase the pumping efficiency of noble gas, the rate of ions implantation in the cathode has to be reduced while increasing the rate of energetic neutrals impingement on the anode and their burial probability.

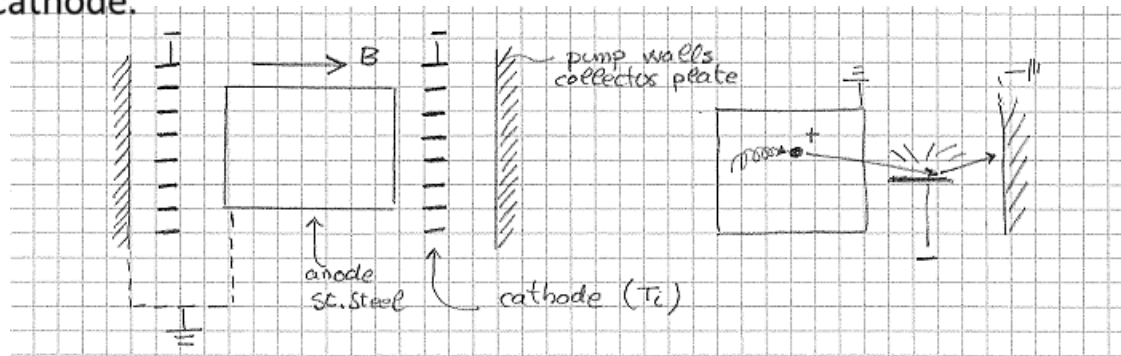
Two different approaches:

1. Heavier atoms for the cathode

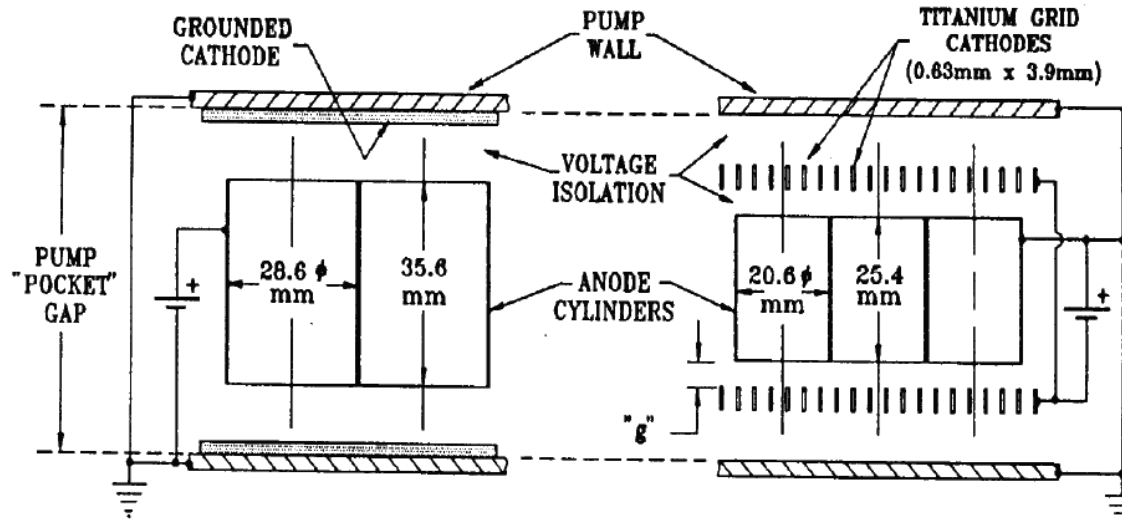
Ta (181 amu) is used instead of Ti (48 amu). The ions, once neutralized, bounce back at higher energy and rate → these pumps are called '**noble diode**'

2. Different geometry of the Penning cell.

- a) Three electrodes are used: **triode pumps**. The cathodes consists of a series of small platelets aligned along the cell axis.
- b) The collisions ion-cathode are at glancing angle → higher sputtering rate of Ti atoms + higher probability of neutralization + higher energy of bouncing + lower probability of implantation in the cathode.

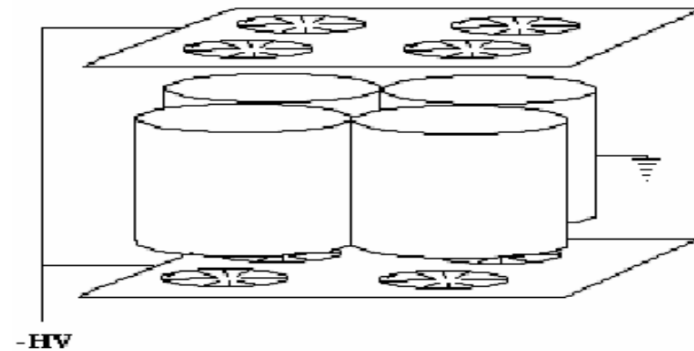
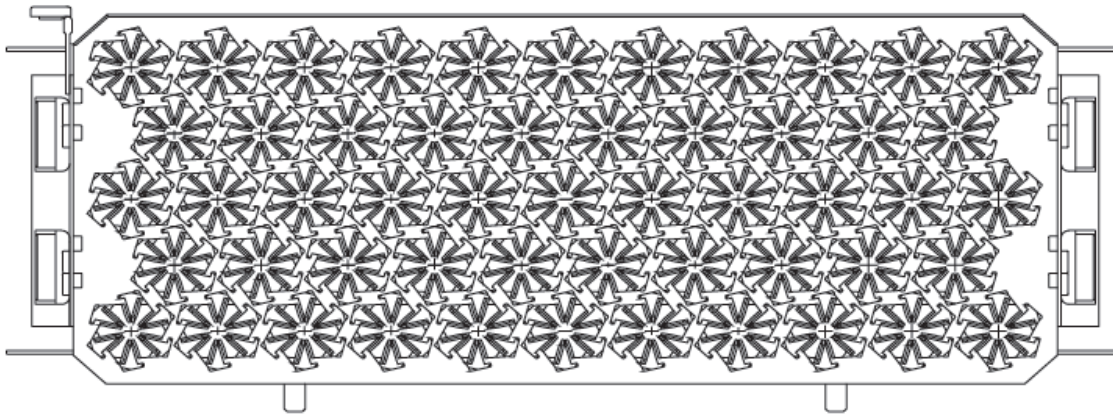


Capture Pumps: Sputter Ion Pumps (SIP)



Kimo M. Welch, Capture Pumping Technology, North-Holland, p.113

An improved triode ion pump is the StarCell (Agilent Vacuum)



Pumping speed for SIP depends on the **pressure at the pump inlet** and the **nature of the gas**.

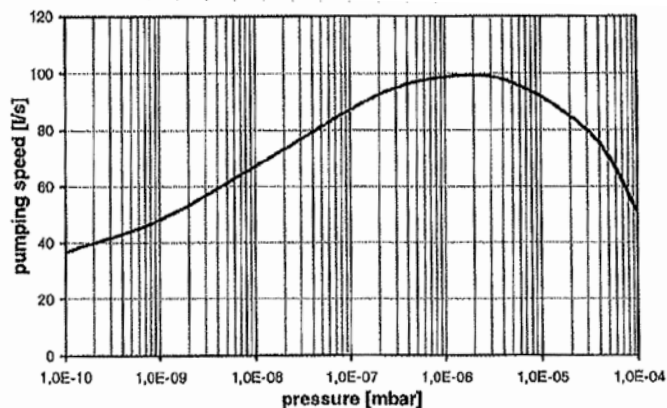


Fig. 5 Pumping speed vs pressure for a standard diode with $S_N \approx 100$ l/s (for air after saturation).

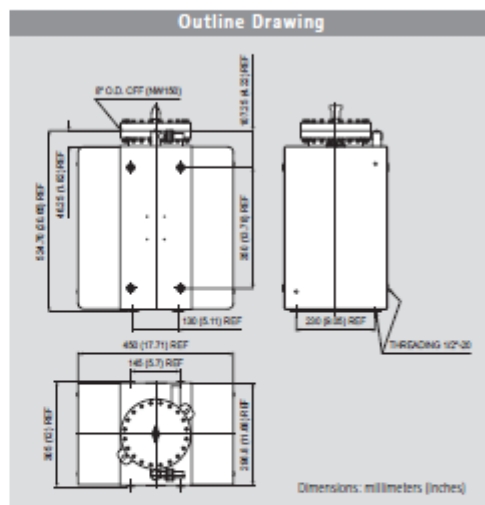
Nominal pumping speed for N_2 :
Agilent starcell

DN	S [$l\ s^{-1}$]
63	50
100	70/125
150	240/500

GAS	DIODE PUMPS	TRIODE PUMPS
AIR	1	1
N_2	1	1
O_2	1	1
H_2	1.5-2	1.5-2
CO	0.9	0.9
CO_2	0.9	0.9
H_2O	0.8	0.8
CH_4	0.6-1	0.6-1
Ar	0.03	0.25
He	0.1	0.3

Pumping speed normalized to air

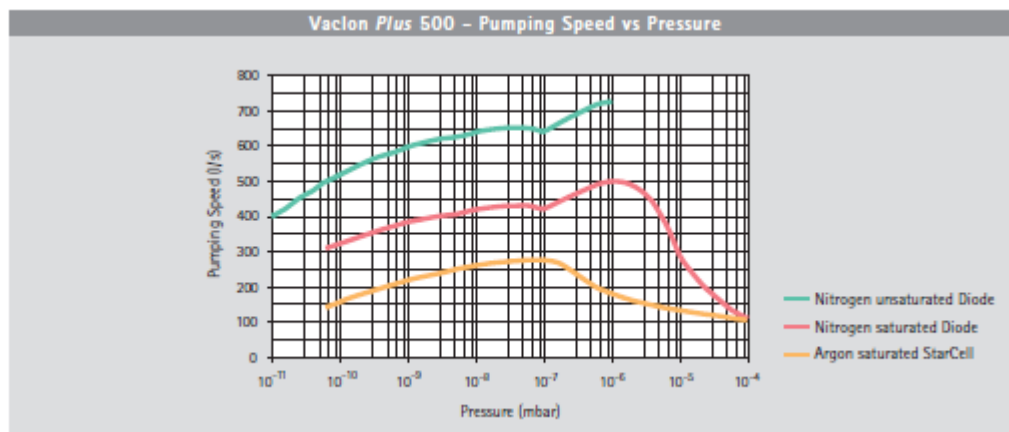
Capture Pumps: Sputter Ion Pumps (SIP)



Technical Specifications

	StarCell®	Noble Diode	Diode
Nominal pumping speed for Nitrogen (*) (l/s)	410	440	500
Operating life at 1x10 ⁻⁶ mbar (hours)	80,000	50,000	50,000
Maximum starting pressure (mbar)	≤ 1x10 ⁻³		≤ 1x10 ⁻³
Ultimate pressure		Below 10 ⁻¹¹	
Inlet flange		B" CFF (NW 150) AISI 304 ESR	
Maximum baking temperature (°C)		350	
Weight, kg (lbs)		120 (264)	

(*) Tested according to ISO/DIS 3556-1-1992



Courtesy of Agilent Vacuum
<http://www.chem.agilent.com/en-US/Products/Instruments/vacuum>

The surface of getter materials reacts with gas molecules by forming **stable chemical compounds**.

This is **possible only if** the surface is clean, **free of contamination and native oxide**.

The clean metallic surface is obtained by:

1. **Sublimating** the reactive metal in situ → **Evaporable Getters, Sublimation Pumps**
2. **Dissolving** the surface contamination into the bulk of the getter material by heating in situ (**activation**): Non-Evaporable Getters **NEG**.

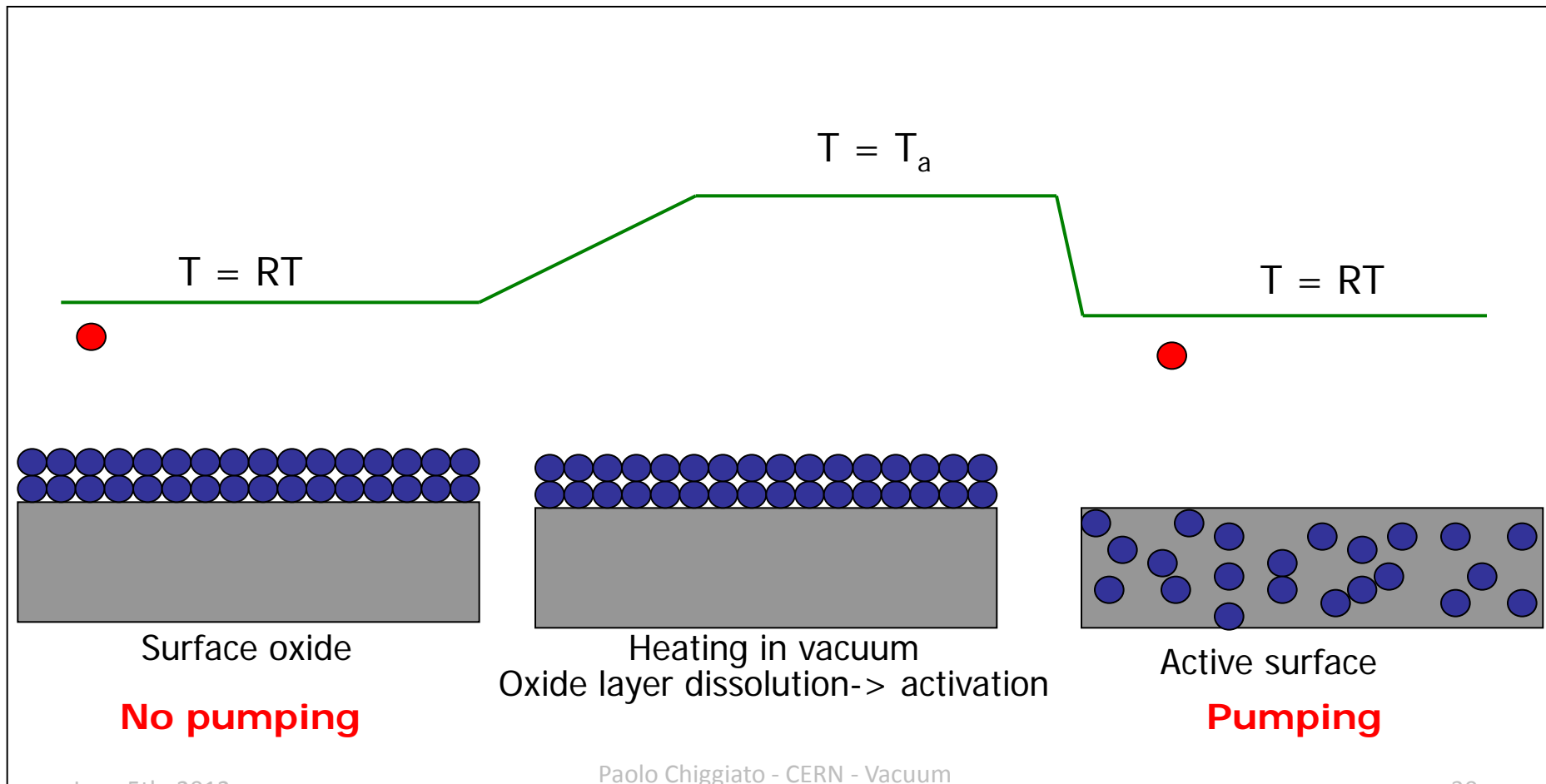
Getter surfaces are characterized by the sticking probability α :

$$\alpha = \frac{\textit{number of molecules captured}}{\textit{number of molecules impinging}}$$

$$0 \leq \alpha \leq 1 \quad S = \alpha A_{\text{getter}} C'$$

For $\alpha=1$, the pumping speed of the surface is equal to its maximum pumping speed. Getter materials do not pump rare gases and methane at room temperature.

The dissolution of the oxide layer is possible only in metals having very high oxygen solubility limit, namely the elements of the 4th group: Ti, Zr and Hf.



The activation temperature of the 4th group elements can be decreased by adding selected elements which increase oxygen diffusivity.

NEG materials are produced industrially by powder technology. Small grains are sintered to form pellets, discs or plates. The grains can also be pressed at room temperature on metallic ribbon.

A typical alloy produced by SAES Getter is **St707**:

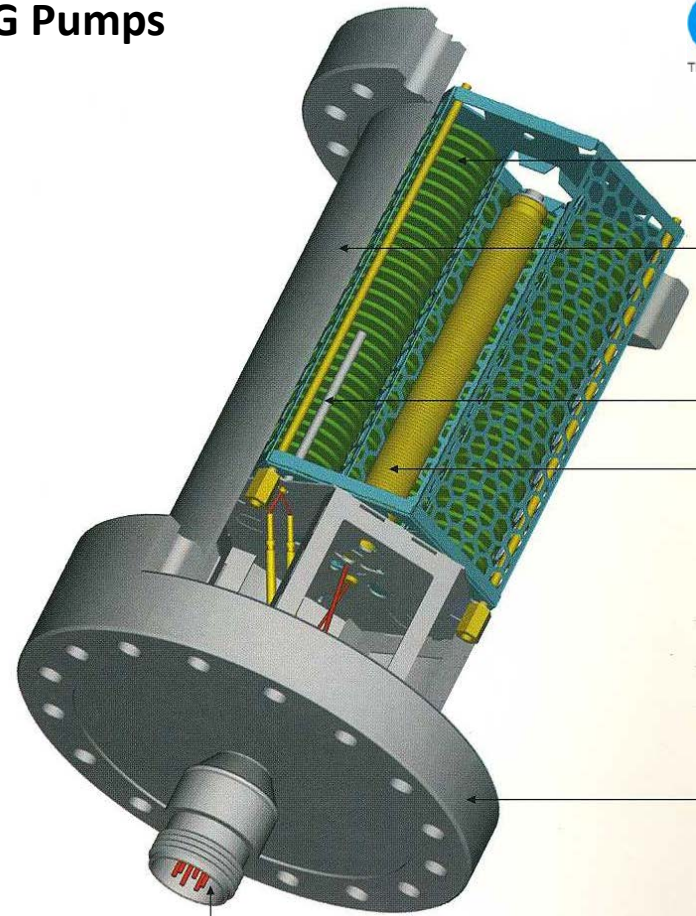
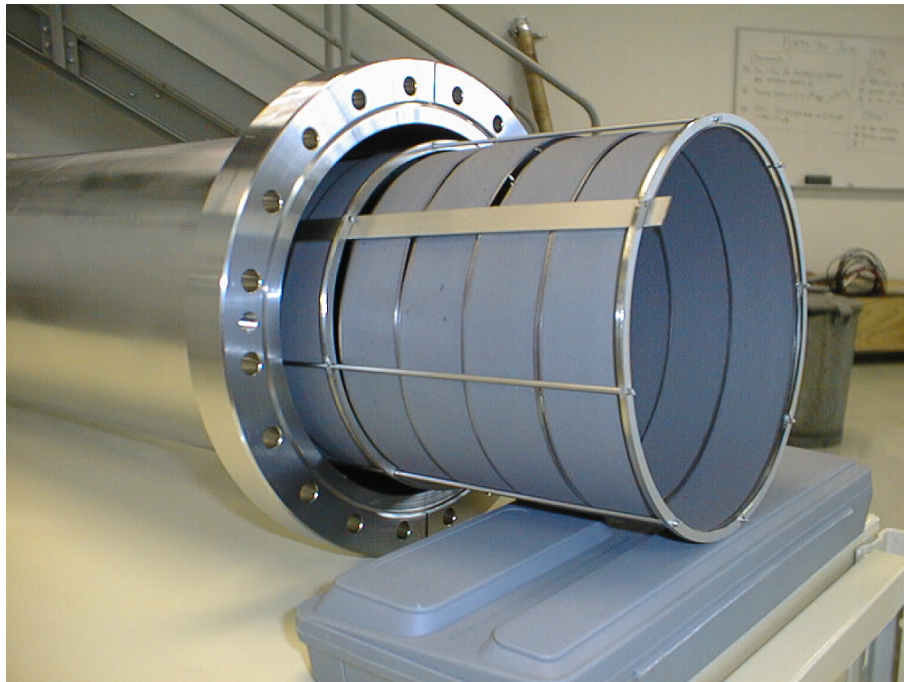
Element	Concentration [wt. %]	Main role in the alloy
Zr	70	- High O solubility limit. - Chemical reactivity
V	24.6	- Increases O diffusivity, - Chemical reactivity
Fe	5.4	- Reduces pyrophoricity

Full pumping speed is obtained after heating at 400°C for 45' or 300°C for 24h

Capture Pumps: NEG Pumps



St 171® and St 172 - Sintered Porous Getters



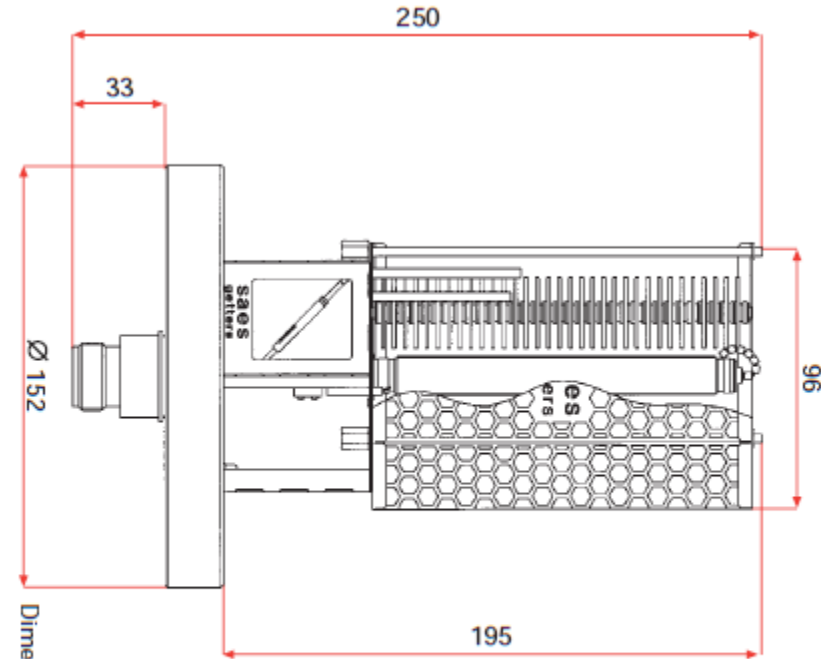
Courtesy of SAES Getters, www.saesgetters.com

The maximum H_2 sorption capacity is limited by H_2 embrittlement of the NEG elements. In general a safe limit is 20 Torr l/g is given by the supplier.

The stored H_2 can be desorbed by heating and pumping with an auxiliary pump (for example a TMP).

The **high porosity of NEG materials** allows pumping of relatively high quantities of gas without reactivation: for CO about 100 times higher than those for sublimation pumps per unit of geometrical surface of active metal.

Courtesy of SAES Getters, www.saesgetters.com

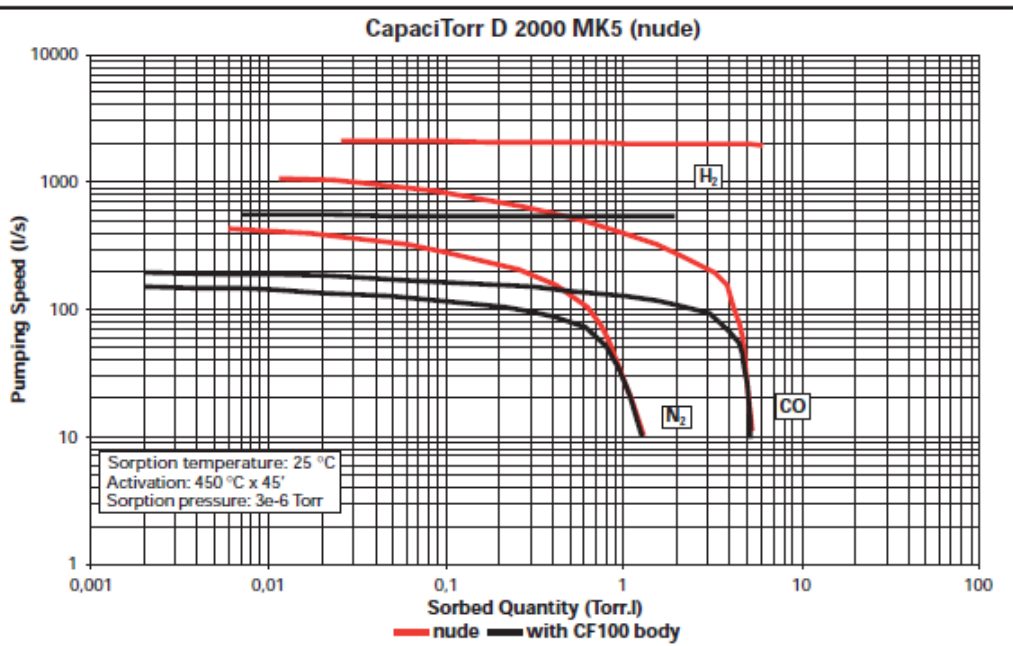


Dimensions in mm

Typical Pump Characteristics

Alloy Type	St 172®	
Alloy Composition	ZrVFe	
Getter Mass(g)	225	
Getter Surface (cm ²)	1900	
Pumping Speed (l/s)	H ₂	2000
	CO	1000
Sorption Capacity (Torr l)	H ₂	4500
	CO Room Temperature	5
	CO Total	2000
Note: Pumping speed data refer to the initial values of the pump without the pump body. CO capacity based on speed below 50 l/s.		

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rces



	Advantages	Disadvantages
TMP	<ul style="list-style-type: none"> - No memory effects - Constant pumping speed for pressures lower than 10^{-3} mbar - Pumping speed independent of total gas load - Starts working at high pressures (molecular regime) 	<ul style="list-style-type: none"> - Mechanical fragility - Risk of contamination from the backing pump - Need of venting anytime the pump is stopped - Need of valve on the main flange - Intrinsic limitation in ultimate pressure of H_2 - Possible vibrations - Maintenance
SIP	<ul style="list-style-type: none"> - Clean pumping - No maintenance - No vibrations - Installation in any orientation - Relatively long lifetime - Relatively low cost - Limited but high H_2 capacity - The pump current gives a pressure reading 	<ul style="list-style-type: none"> - Low capture probability - Gas Selectivity and limited capacity - Memory effects (in particular for rare gases) - Ignition in 10^{-5} mbar range - Bulky - Difficult starting for old pumps - Production of charged particles in particular at start-up - Field emission problems for old pumps - Fringing magnetic field - Safety issue: high voltage

	Advantages	Disadvantages
NEG pumps	<ul style="list-style-type: none"> - Clean vacuum - High pumping speed for reactive gases - With SIP, extremely low vacuum can be achieved - High gas capacity for porous NEG - Low cost - Electrical power needed only for activation; it works in case of power cut - No maintenance - No vibration 	<ul style="list-style-type: none"> - Selective pumping (no pumping of rare gases and methane) - H₂ embrittlement if regeneration is not applied - Formation of dust particles is not excluded - Safety issue: pyrophoric, it burns when heated in air at high temperature

Final Remarks

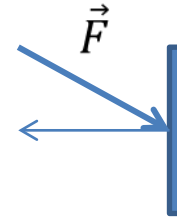
- For vacuum technology, an ion source is a special place in particle accelerators. Gas is injected at high rate for the beam production. The main task of vacuum experts is to remove this gas as close as possible to the source.
- The gas removal efficiency is limited by space constraints and the available pumping mechanism.
- The requirement for high vacuum in presence of high gas load define the choice and combination of pumps.
- I have chosen to focus this course on pumps because in ion sources, as in no other places in modern accelerators, they work near their limits.
- Pressure measurement is not an issue in ion sources: commercial Penning-Pirani heads are generally used.

Appendix

Appendix 1

Basic Notions : Gas Pressure

Definition of pressure: $\frac{|Force\ component\ in\ normal\ direction|}{Surface\ area}$



Unit of measurement: $\frac{[Force]}{[Surface]} \rightarrow \frac{N}{m^2} = Pa \rightarrow 10^5 Pa = 1 bar \rightarrow 1 atm = 1.013 bar$

In vacuum technology : *mbar or Pa*

Still used in vacuum technology:

1 Torr = pressure exerted by a column of 1 mm of Hg; 1 atm = 760 Torr

Conversion Table

	Pa	bar	atm	Torr
1 Pa	1	10^{-5}	$9.87 \cdot 10^{-6}$	$7.5 \cdot 10^{-3}$
1 bar	10^2	1	0.987	750.06
1 atm	$1.013 \cdot 10^5$	1.013	1	760
1 Torr	133.32	$1.33 \cdot 10^{-3}$	$1.32 \cdot 10^{-3}$	1

Appendix 1

Basic Notions : Gas Pressure

Degree of Vacuum

	Pressure boundaries [mbar]	Pressure boundaries [Pa]	
Operation of ion sources	Low Vacuum LV	$1000-1$	10^5-10^2
	Medium Vacuum MV	$1-10^{-3}$	10^2-10^{-1}
	High Vacuum HV	$10^{-3}-10^{-9}$	$10^{-1}-10^{-7}$
	Ultra High vacuum UHV	$10^{-9}-10^{-12}$	$10^{-7}-10^{-10}$
	Extreme Vacuum XHV	$<10^{-12}$	$<10^{-10}$

Pressures and gas quantities are correlated by the gas equation of state. In vacuum the ideal gas law is always fulfilled :

$$P V = n R T \text{ (thermodynamic)}$$

$$P V = N k_B T \text{ (statistical mechanics)}$$

P pressure, **V** volume, **T** temperature, quantity of gas in moles (**n**) and number of molecules (**N**)

R gas constant, **k_B** Boltzmann constant

Appendix 1

Basic Notions : Gas Quantity

$$P V = N k_B T \rightarrow P = \left(\frac{N}{V}\right) k_B T \quad k_B = 1.38 \cdot 10^{-23} \frac{\text{Pa} \cdot \text{m}^3}{\text{K}} = 1.04 \cdot 10^{22} \frac{\text{Torr} \cdot \text{l}}{\text{K}}$$

molecules
cm³

	Pressure [Pa]	Gas Density 293 K	Gas Density 4.3K
Atmosphere	1.013 10 ⁵	2.5 10 ¹⁹	1.7 10 ²¹
Plasma chambers	1	2.5 10 ¹⁴	1.7 10 ¹⁶
LINAC pressure upper limit	10 ⁻⁵	2.5 10 ⁹	1.7 10 ¹¹
Lowest pressure ever measured at room T	10 ⁻¹²	250	1.7 10 ⁴

Gas quantities can be expressed in:

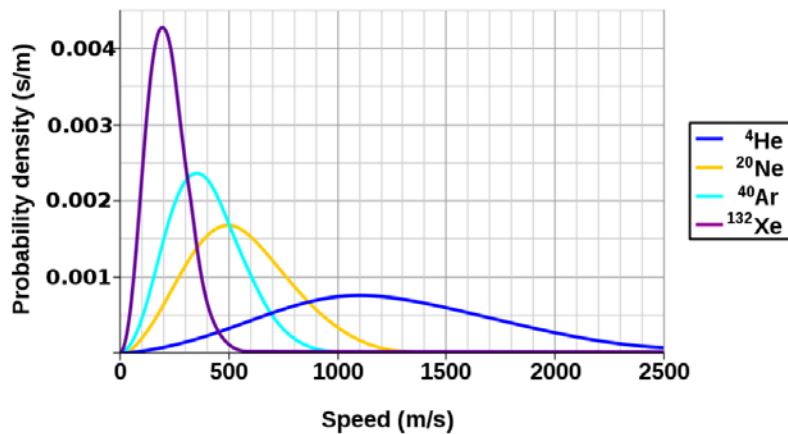
- Number of molecules: N
- Moles: $\frac{N}{N_A} \left(N_A = 6.022 \cdot 10^{23} \left[\frac{\text{molecules}}{\text{mole}} \right] \right)$
- PV quantities if the temperature is known and constant : $P V = N(k_B T)$

Example: 1 Pa m³ at **293K** contains $N = \frac{1 [\text{Pa} \cdot \text{m}^3]}{1.38 \cdot 10^{-23} \left[\frac{\text{J}}{\text{K}} \right] 293 [\text{K}]} = 2.47 \cdot 10^{20}$ molecules

1 Torr l = 3.3 10¹⁹ molecules; 1 mbar l = 2.47 10¹⁹ molecules

Basic Notions : Molecular Mean Speed

Maxwell-Boltzmann Molecular Speed Distribution for Noble Gases



Courtesy of Wikipedia:

http://en.wikipedia.org/wiki/Maxwell%E2%80%93Boltzmann_distribution

[/Maxwell%E2%80%93Boltzmann_distribution](http://en.wikipedia.org/wiki/Maxwell%E2%80%93Boltzmann_distribution)

In the kinetic theory of gas the mean speed of a molecule is the mathematical average of the speed distribution:

$$\langle v \rangle = \sqrt{\frac{8 k_B T}{\pi m}} = \sqrt{\frac{8 R T}{\pi M}}$$

m is the molecular mass [Kg]

M is the molecular weight [Kg]

Gas	$\langle v \rangle$ at 293 K $\left[\frac{m}{s} \right]$	$\langle v \rangle$ at 4.3 K $\left[\frac{m}{s} \right]$
H ₂	1761	213
He	1244	151
CH ₄	622	75
N ₂	470	57
Ar	394	48

Gas	Pressure [mbar]	Impingement rate 293 K [cm ⁻² s ⁻¹]
H2	10 ⁻³	1.1 10 ¹⁸
	10 ⁻⁸	1.1 10 ¹⁴
	10 ⁻¹⁴	1.1 10 ⁸
N2	10 ⁻³	2.9 10 ¹⁷
	10 ⁻⁸	2.9 10 ¹³
Ar	10 ⁻³	2.4 10 ¹⁷
	10 ⁻⁸	2.4 10 ¹³

$$\varphi = \frac{1}{4} n \langle v \rangle = \frac{1}{4} n \sqrt{\frac{8 k_B T}{\pi m}}$$

$$\varphi [cm^{-2} s^{-1}] = 2.635 \cdot 10^{22} \frac{P [mbar]}{\sqrt{M[g] T[K]}}$$

The molecular collision rate ω in a gas is:

$$\omega = \sqrt{2} n \langle v \rangle \sigma_c$$

where σ_c is the collision cross section.

For a single gas, in case of elastic collision of solid spheres:

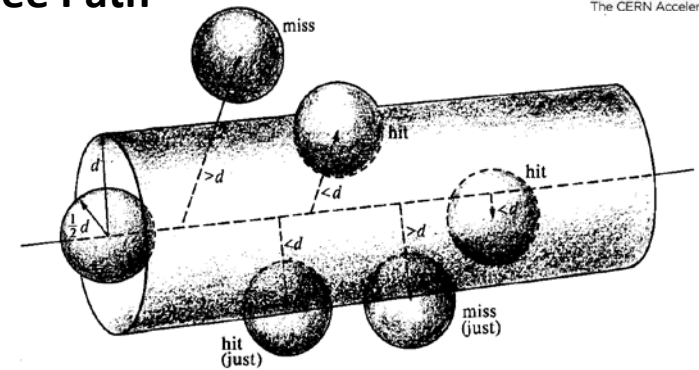
$$\sigma_c = \pi \delta^2 \rightarrow \omega = \sqrt{2} \pi n \langle v \rangle \delta^2$$

and δ is the molecular diameter.

The mean free path l , i.e. the average distance travelled by a molecule between collisions, is given by:

$$l = \frac{\langle v \rangle}{\omega} = \frac{1}{\sqrt{2} \pi n \delta^2} = \frac{k_B T}{\sqrt{2} \pi P \delta^2}$$

$$l_{H_2} [m] = 4.3 \cdot 10^{-5} \frac{T [K]}{P [Pa]}$$



Gas	σ_c [nm^2]
H ₂	0.27
He	0.21
N ₂	0.43
O ₂	0.40
CO ₂	0.52

Appendix 1

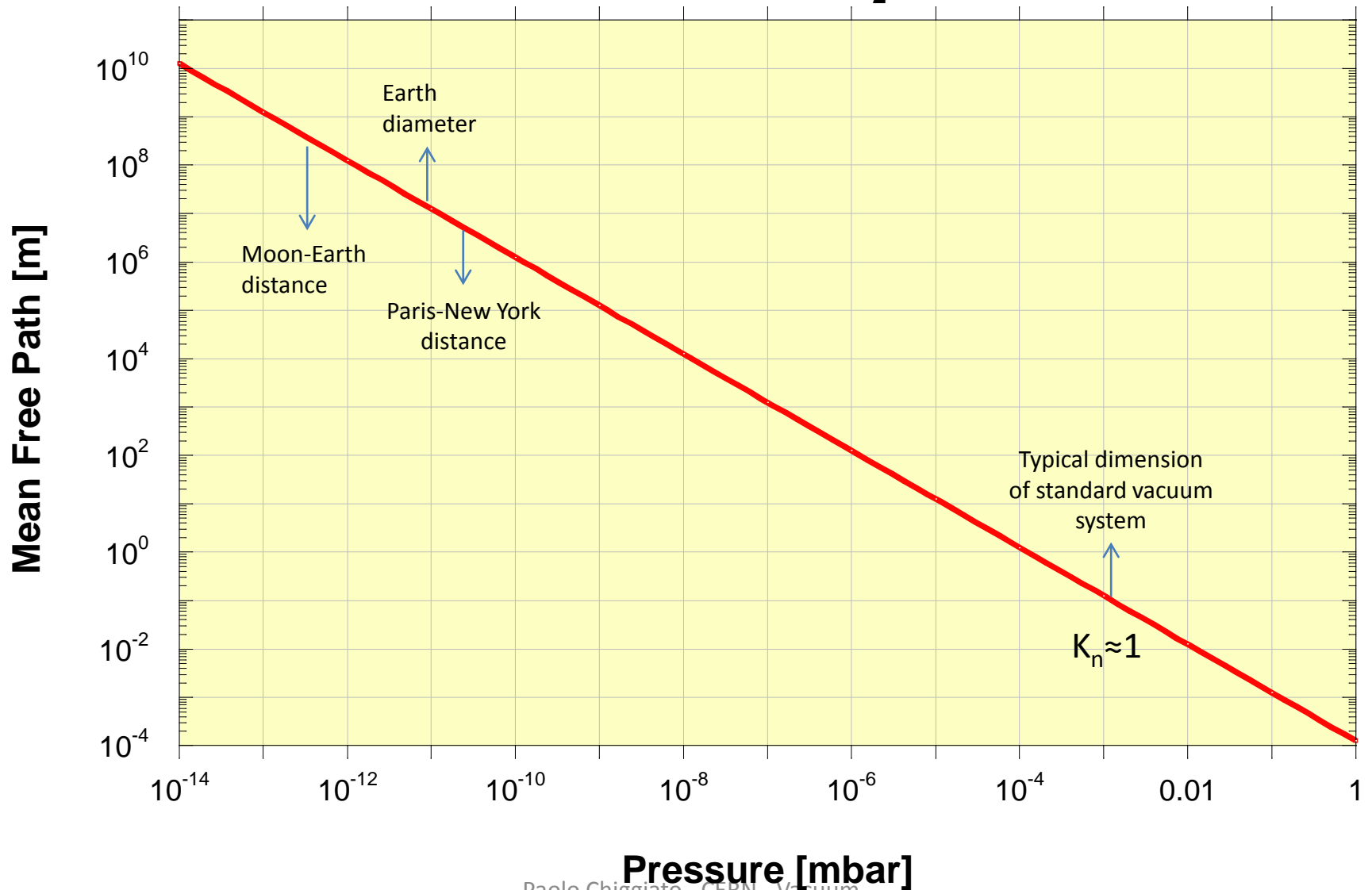
Basic Notions : Knudsen Number

$$K_n = \frac{l}{D}$$

l is the mean free path and D is a characteristic dimension of a vacuum system (p.ex. the diameter of a beam pipe).

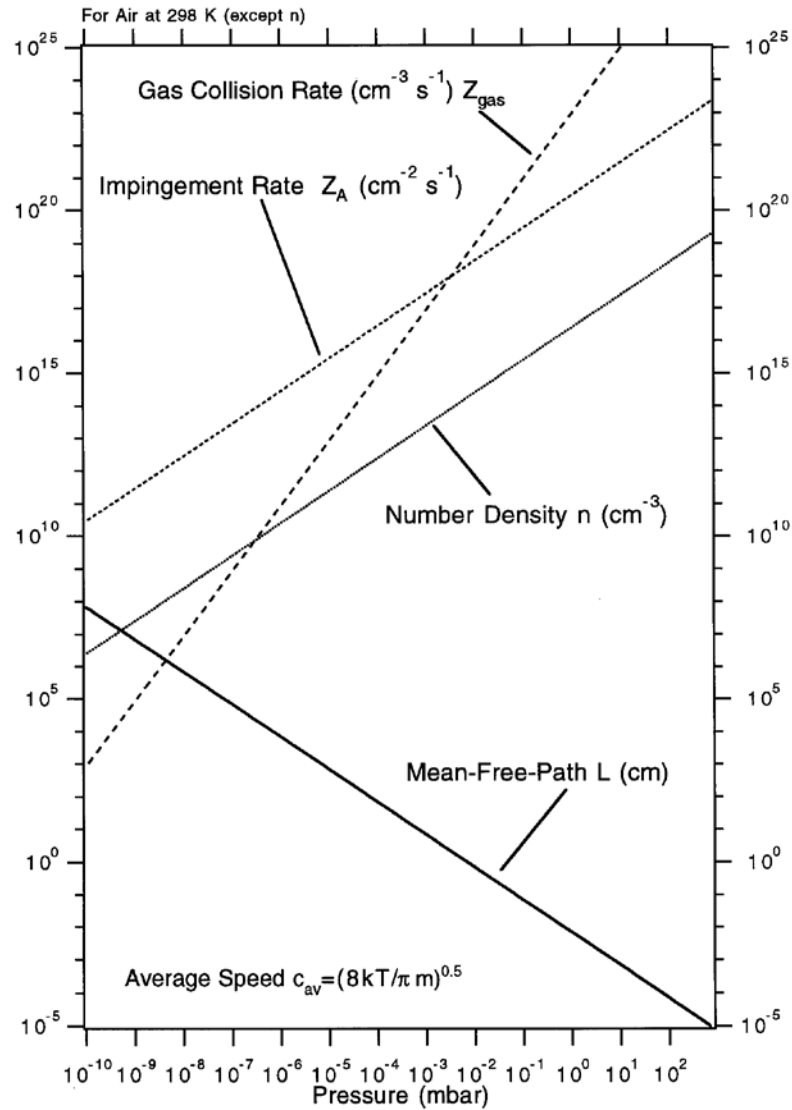
K_n range	Regime	Description
$K_n > 0.5$	Free molecular flow	The gas dynamic is dominated by molecular collisions with the walls of the system
$K_n < 0.01$	Continuous (viscous) flow	The gas dynamic is dominated by intermolecular collisions
$0.5 < K_n < 0.01$	Transitional flow	Transition between molecular and viscous flow

Mean Free Path for H₂ at 293 K



Appendix 1

Basic Notions : Knudsen Number

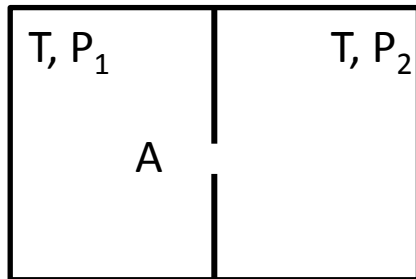


In molecular regime the net flux of molecules from one point (1) to another (2) is proportional to the pressure drop:

$$Q = C (P_1 - P_2)$$

C is called the gas conductance from the two points. It does not depend on pressure.

The simplest example is the gas flow through a small wall slot of area A and infinitesimal thickness



$$\text{Gas flow } 1 \rightarrow 2 : \varphi_{1 \rightarrow 2} = \frac{1}{4} A n_1 \langle v \rangle$$

$$\text{Gas flow } 2 \rightarrow 1 : \varphi_{2 \rightarrow 1} = \frac{1}{4} A n_2 \langle v \rangle$$

$$\text{Net flow: } \frac{1}{4} A (n_1 - n_2) \langle v \rangle = \frac{1}{4} A \frac{\langle v \rangle}{k_B T} (P_1 - P_2)$$

$$\varphi = \frac{1}{4} n \langle v \rangle = \frac{1}{4} n \sqrt{\frac{8 k_B T}{\pi m}}$$

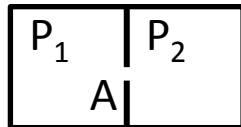
$$\text{In PV units } (PV = Nk_B T) \rightarrow Q = \frac{1}{4} A \langle v \rangle (P_1 - P_2) \rightarrow C = \frac{1}{4} A \langle v \rangle$$

Conductance of a wall aperture in PV units, per unit area: $C' = \frac{1}{4} \langle v \rangle$

T= 293 K

Gas	$\langle v \rangle$ at 293 K $\left[\frac{m}{s} \right]$	C' at 293 K $\left[\frac{m^3}{s m^2} \right]$	C' at 293 K $\left[\frac{l}{s cm^2} \right]$
H ₂	1761	440.25	44
He	1244	311	31.1
CH ₄	622	155.5	15.5
H ₂ O	587	146.7	14.7
N ₂	470	11.75	11.75
Ar	394	98.5	9.85

Example: H₂ $P_1 = 5 \cdot 10^{-4} mbar, P_2 = 7 \cdot 10^{-5} mbar, A = 0.8 cm^2$



$$\rightarrow Q = 44 \times 0.8 \times (5 \cdot 10^{-4} - 7 \cdot 10^{-5}) = 1.5 \times 10^{-2} \frac{mbar l}{s}$$

$$\rightarrow Q = 1.5 \times 10^{-2} \frac{mbar l}{s} \times 2.47 \cdot 10^{19} \frac{molecules}{mbar l} = 3.74 \cdot 10^{17} \frac{molecules}{s}$$

For more complicated gas flow restrictions, the transmission probability τ is introduced.



$$\text{Gas flow } 1 \rightarrow 2 : \varphi_{1 \rightarrow 2} = \frac{1}{4} A_1 n_1 \langle v \rangle \tau_{1 \rightarrow 2}$$

$$\text{Gas flow } 2 \rightarrow 1 : \varphi_{2 \rightarrow 1} = \frac{1}{4} A_2 n_2 \langle v \rangle \tau_{2 \rightarrow 1}$$

In absence of net flow: $\varphi_{1 \rightarrow 2} = \varphi_{2 \rightarrow 1}$
and $P_1 = P_2 \rightarrow A_1 \tau_{1 \rightarrow 2} = A_2 \tau_{2 \rightarrow 1}$

$$Q = \frac{1}{4} A_1 n_1 \langle v \rangle \tau_{1 \rightarrow 2} - \frac{1}{4} A_2 n_2 \langle v \rangle \tau_{2 \rightarrow 1} = \frac{1}{4} A_1 \langle v \rangle \tau_{1 \rightarrow 2} \frac{(P_1 - P_2)}{k_B T}$$

In PV units:

$$Q = \frac{1}{4} A_1 \langle v \rangle \tau_{1 \rightarrow 2} (P_1 - P_2) = C (P_1 - P_2)$$

$$C = C' A_1 \tau_{1 \rightarrow 2}$$

$C = [\text{conductance of the aperture}] \times [\text{molecular transmission probability}]$

The molecular transmission probabilities:

- depend only on the geometry of the vacuum system;
- can be calculated only for simple geometry;
- nowadays are accurately obtained by Monte Carlo methods.

Example:

- Tubes of uniform circular cross section (L length, R radius); Santeler formula (max error 0.7%):

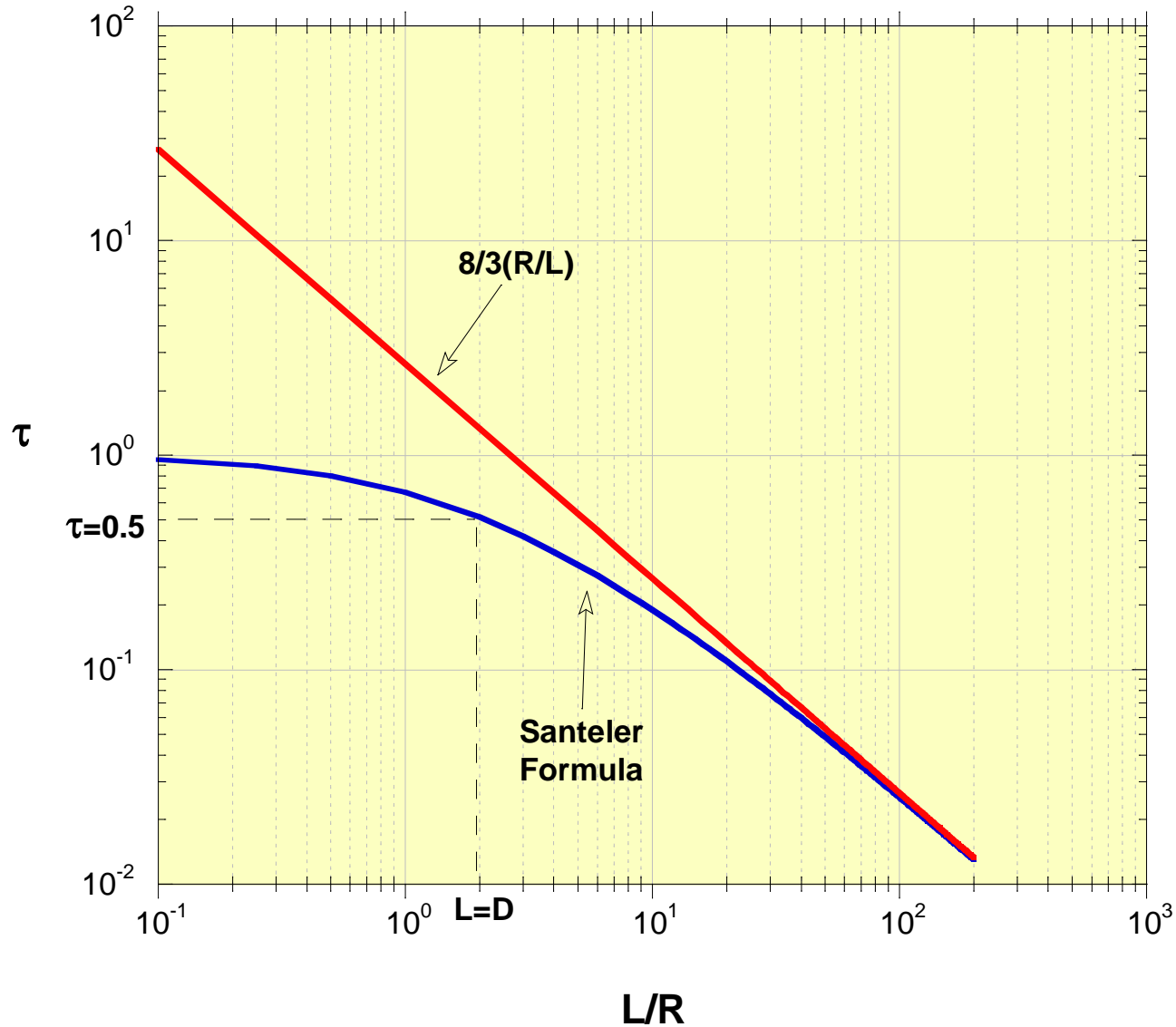
$$\tau = \tau_{1 \rightarrow 2} = \tau_{2 \rightarrow 1} = \frac{1}{1 + \frac{3L}{8R} \left(1 + \frac{1}{3 \left(1 + \frac{L}{7R} \right)} \right)}$$

For long tubes ($\frac{L}{R} \gg 1$): $\tau \approx \frac{1}{1 + \frac{3L}{8R}} \approx \frac{8R}{3L}$

For N_2 and $\frac{L}{R} \gg 1 \rightarrow C = C' A \tau \approx 11.75 \times \frac{\pi D^2}{4} \times \frac{4D}{3L} = 12.3 \frac{D^3}{L} \left[\frac{l}{s} \right]$ ($[D]$ and $[l] = cm$)

Appendix 1

Basic Notions : Conductance



L/R	Cole mean value ^e
0.1	0.952 398 907
0.2	0.909 215 028
0.3	0.869 928 148
0.5	0.801 271 420
0.7	0.743 409 790
0.9	0.694 043 732
1.0	0.671 983 902
1.2	0.632 228 253
1.4	0.597 364 010
1.6	0.566 507 335
1.8	0.538 974 541
2.0	0.514 230 527
3.0	0.420 055 30
4.0	0.356 572 25
5.0	0.310 524 62
6.0	0.275 438 20
7.0	0.247 735 33
8.0	0.225 262 78
9.0	0.206 640 69
10.0	0.190 941 0
20.0	0.109 304
30.0	0.076 912
40.0	0.059 422
50.0	0.048 448
60.0	0.040 913
70.0	0.035 415
80.0	0.031 225
90.0	0.027 925
100.0	0.025 258
200.0	
500.0	
1000.0	0.002 6461

Other expressions can be found for ducts of rectangular and elliptical cross section (see J. M. Lafferty, Foundation of Vacuum Science and Technology, Wiley Interscience).

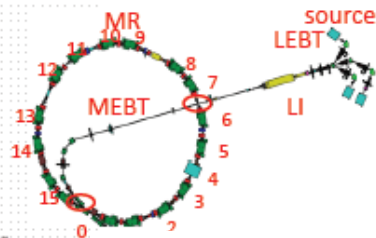
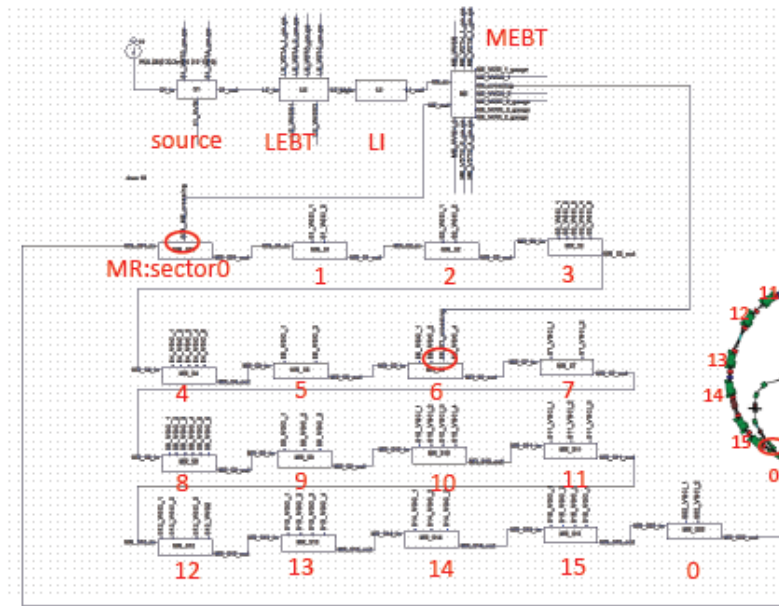
For more complicated geometry, Test-Particle Monte Carlo methods (TPMC) are used.

The TPMC:

- generates “random” molecules according to the cosine distribution at the entrance of the tube;
- then follows their traces until they reach the exit of the tube
- the molecules are re-emitted from the wall again randomly
- each collision with the walls is followed by a random emission
- many simulated molecules are needed in order to reduce the statistical scattering

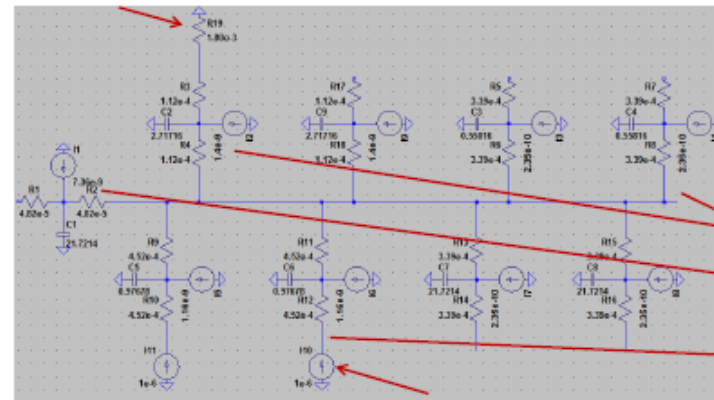
The software used at CERN is Molflow+, written and maintained by **Roberto Kersevan** and Marton Szakacs (CERN, TE-VSC)

The full simulation of the MedAustron facility.

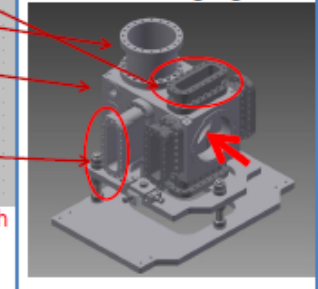


Ch. Yin Vallgren et al., CERN, ATS/Note/2012/043 TECH

TMP: HiPace 700l/s

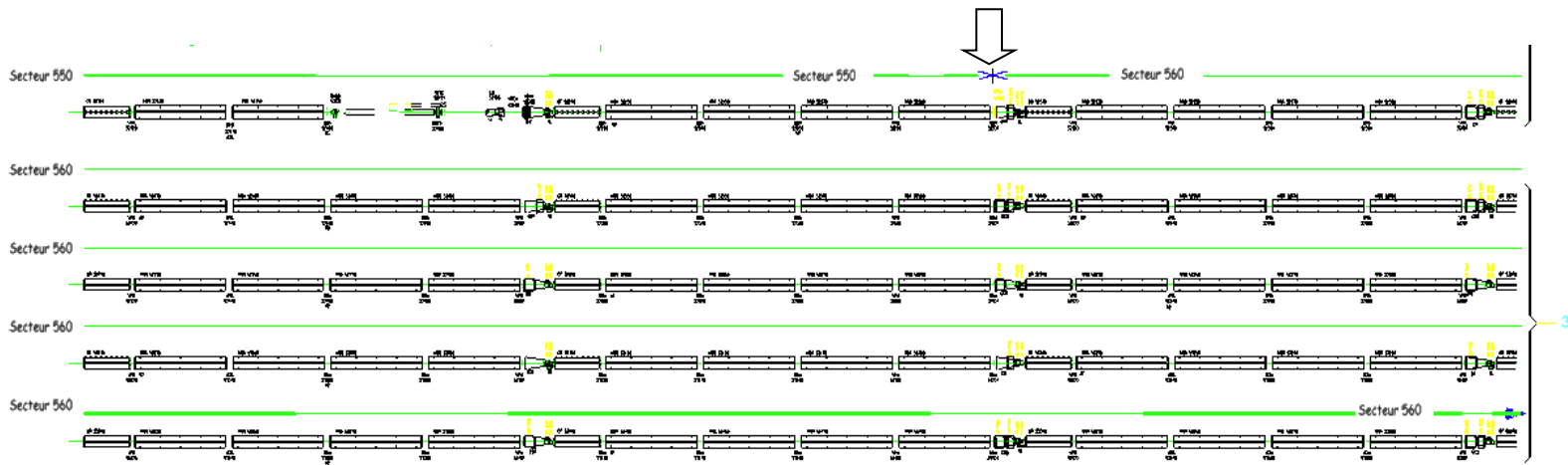


- Vacuum Diagnostics Tank:
1. Main tank
 2. 1x DN160 for TMP HiPace 700l/s
 3. 1x DN160 for Beam Diagnostics (BD)
 4. 6x rectangular for BD
 5. 3x DN40 for gauges

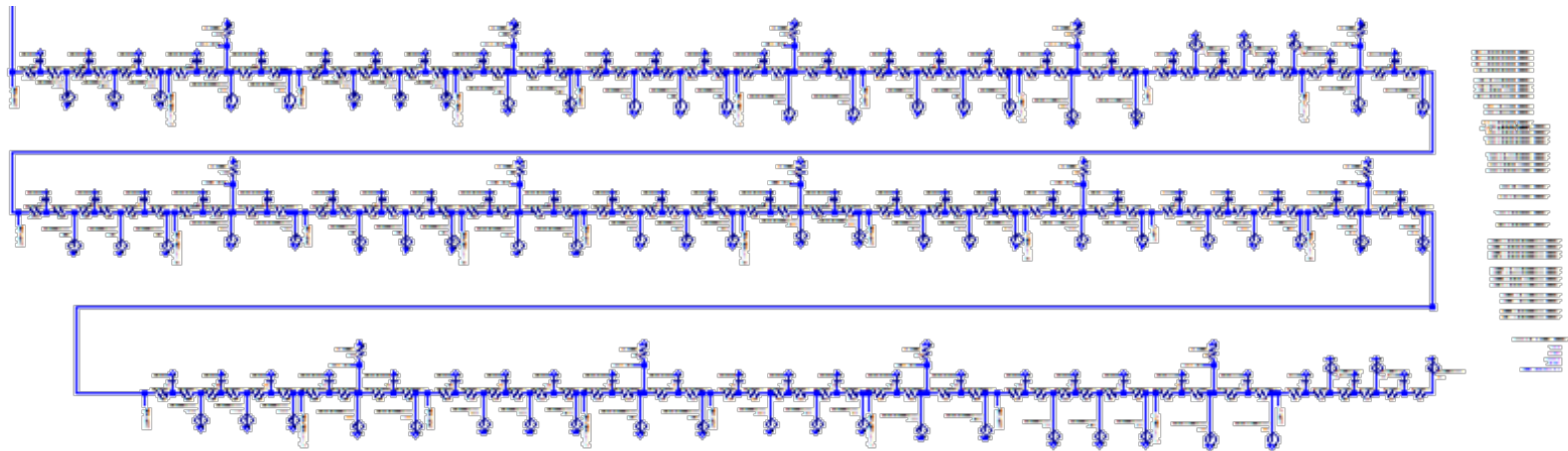


Degassing rate (H₂O) of profile grid monitor after 100h pumping (the number is just an example)

Evaluation of pressure profile during pump-down in the SPS ring *J. A. Ferreira Somoza, CERN, TE-VSC internal note, 05-2012*

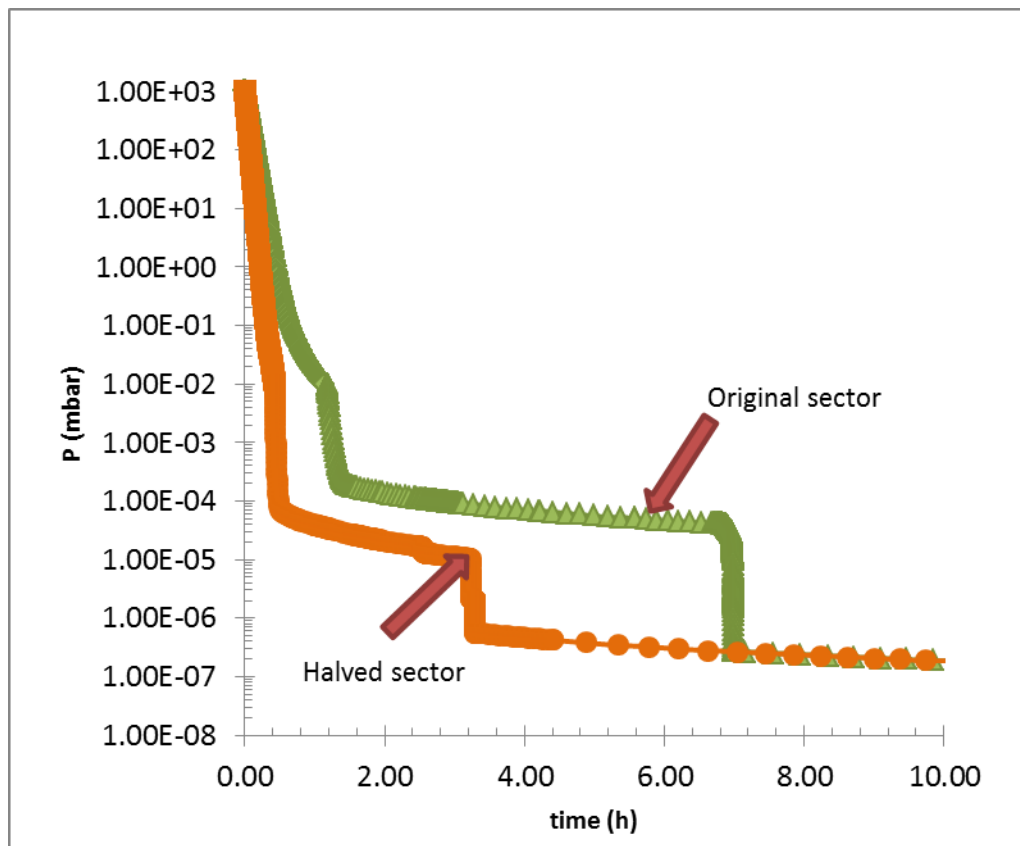


Lay-out of the SPS vacuum sector 560.

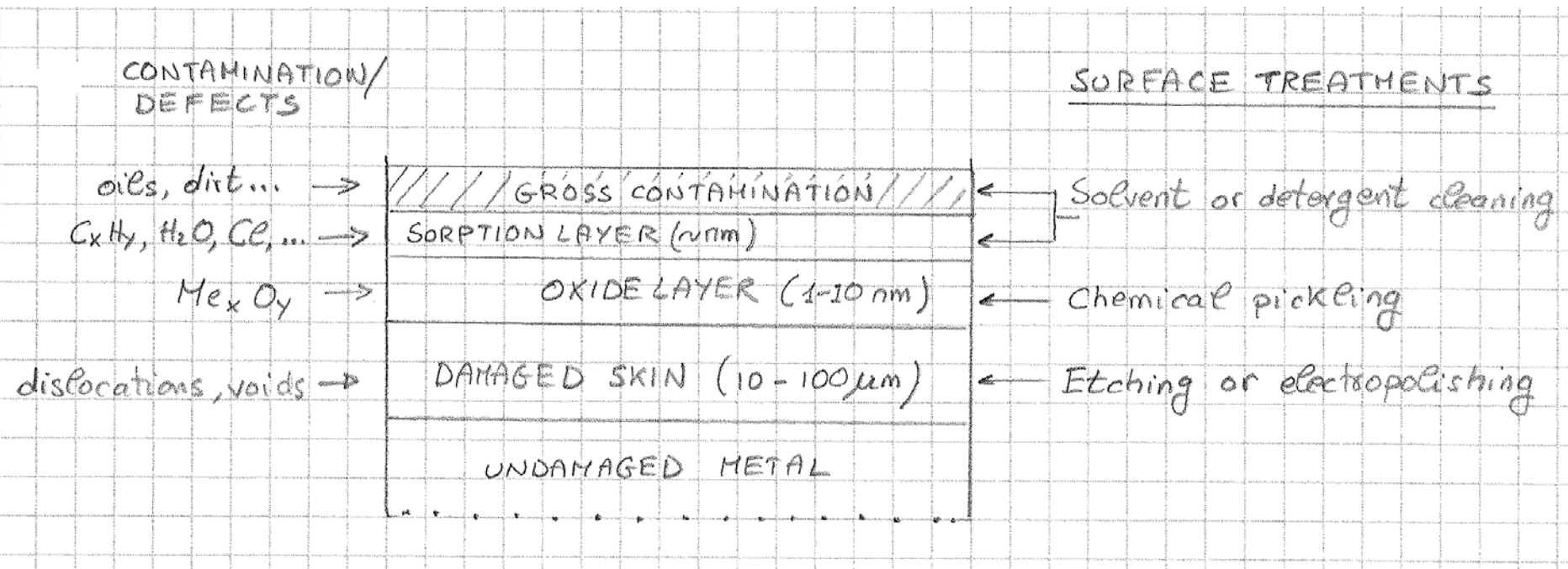


Equivalent electrical network for sector 560.

Current dependent resistances simulate conductance variations in the whole pressure range, even in viscous regime.



Comparison between calculated pumpdown curves for original (green) and halved (orange) longest SPS vacuum sector.



Solvents: their molecules interact and transport contaminants away by diffusion (dilution) -> quite selective! (C_2Cl_4 , wide spectrum; HFC, more restricted action)

Detergents in water: allows organics and water to combine by forming micelle (surfactant: **surface acting agent**). Based on molecule with hydrophilic heads and lipophilic tail: less selective than solvents

Materials of which a vacuum system is made of are spontaneous source of gas.

Two main categories of materials:

Metals

After state-of-art surface cleaning

- If not heated in situ: mainly **H₂O** for the first months in vacuum, then also H₂.

$$q_{H_2O} \approx \frac{3 \times 10^{-9}}{t[h]} \left[\frac{\text{mbar l}}{\text{s cm}^2} \right]$$

The source of H₂O is recharged after each venting to air.

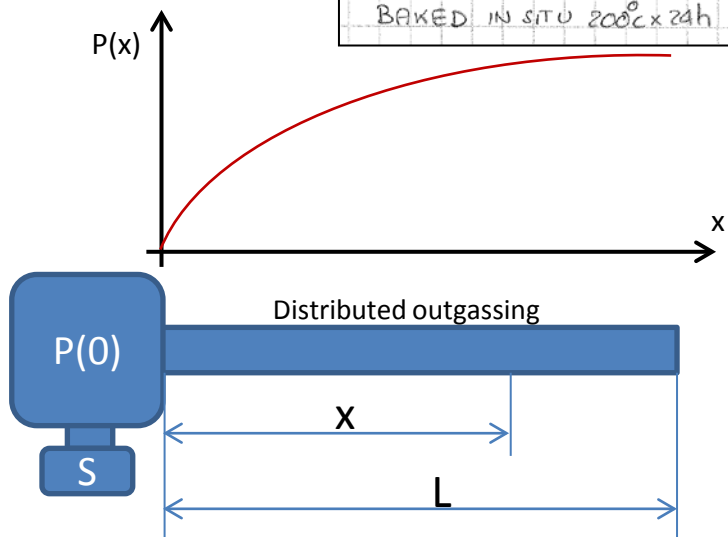
- If heated in situ (baked-out): mainly **H₂**. The outgassing rate can be assumed as constant; it depends on the accumulated effect of the previous thermal treatments

Organics (Polymers)

- High solubility of gas in the bulk, in particular **H₂O**.
- In general, the outgassing process is dominated by H₂O release.
- In the initial phase of pumping:

$$q_{H_2O} \propto \frac{1}{\sqrt{t}}$$
- Heavier gas molecules can be outgassed (remnant of polymerisation, fraction of polymeric chains)
- The permeation of light molecules is not negligible, in particular He

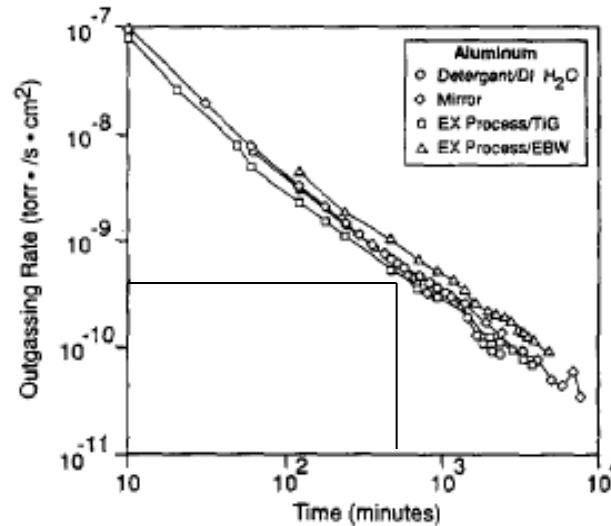
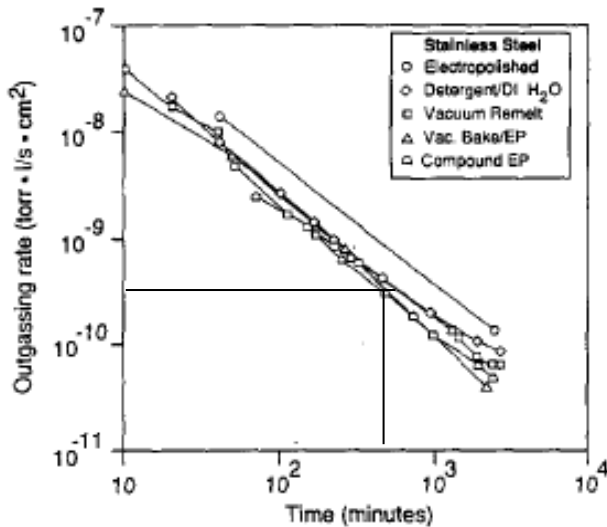
MATERIAL	$\frac{\text{ Torr} \cdot \text{ l}}{\text{ s} \cdot \text{ cm}^2} (20^\circ\text{C})$	$\frac{\text{ molecules}}{\text{ s} \cdot \text{ cm}^2}$	main gas
<u>NEOPRENE, NOT BAKED</u> AFTER 10h PUMPING	10^{-5}	$3,3 \times 10^{14}$	H ₂ O
<u>VITON, NOT BAKED</u> AFTER 10 h PUMPING	10^{-7}	$3,3 \times 10^{12}$	H ₂ O
<u>AUSTENITIC ST. STEEL</u> NOT BAKED, 10h PUMPING	2×10^{-10}	$6,6 \times 10^9$	H ₂ O
<u>AUSTENITIC ST. STEEL</u> BAKED IN SITU $150^\circ\text{C} \times 24\text{h}$	2×10^{-12}	$6,6 \times 10^7$	H ₂
<u>OFS COPPER</u> BAKED IN SITU $200^\circ\text{C} \times 24\text{h}$	$\approx 10^{-14}$	$6,6 \times 10^5$	H ₂



Pressure profiles with distributed outgassing

- Analytical model works for simple geometry
- Electrical analogy can be applied subdividing the vacuum pipes in small volumes.
- Monte Carlo simulation is the best tool for complex geometry

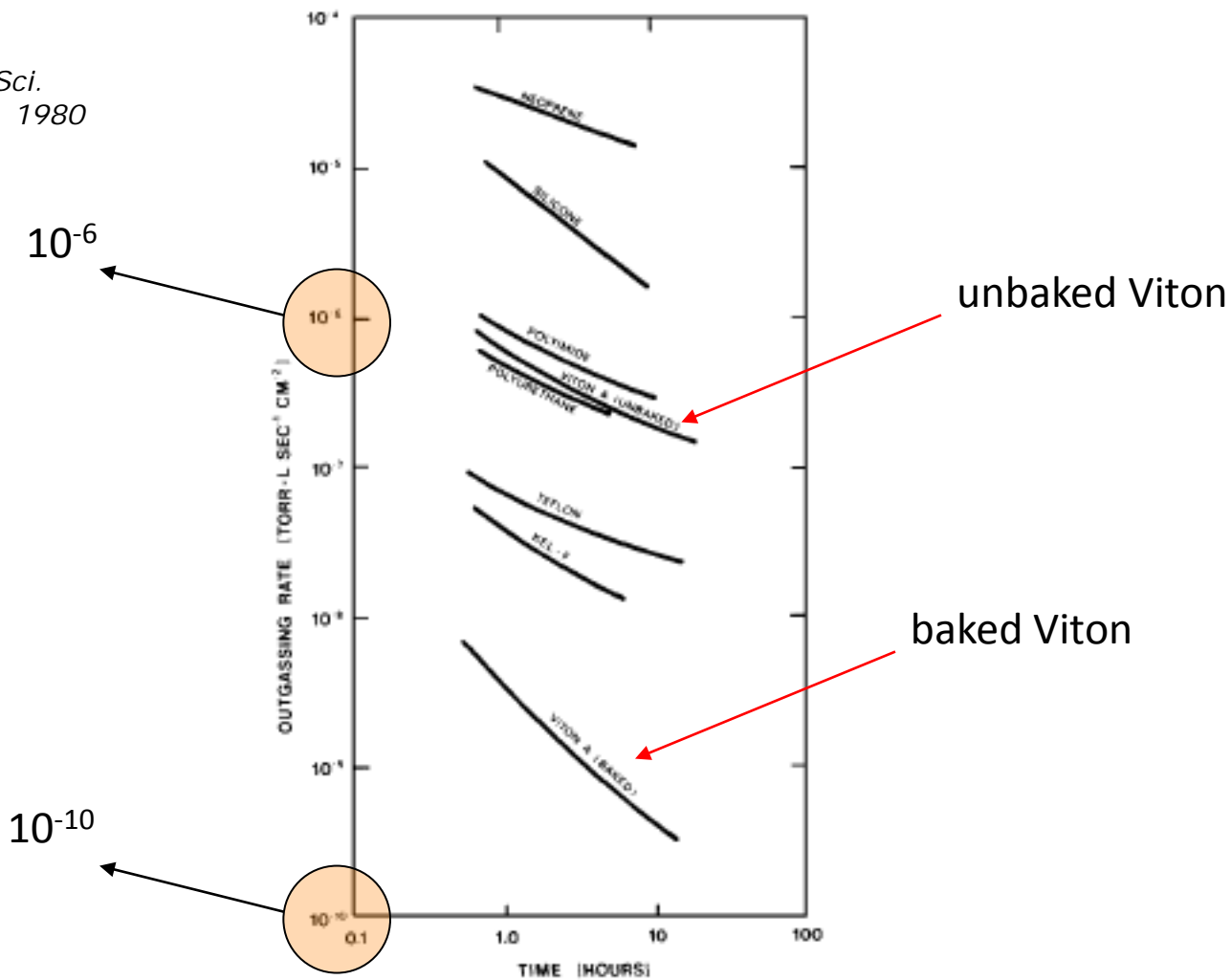
Outgassing rate of water vapour for unbaked metals



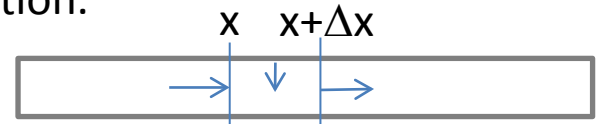
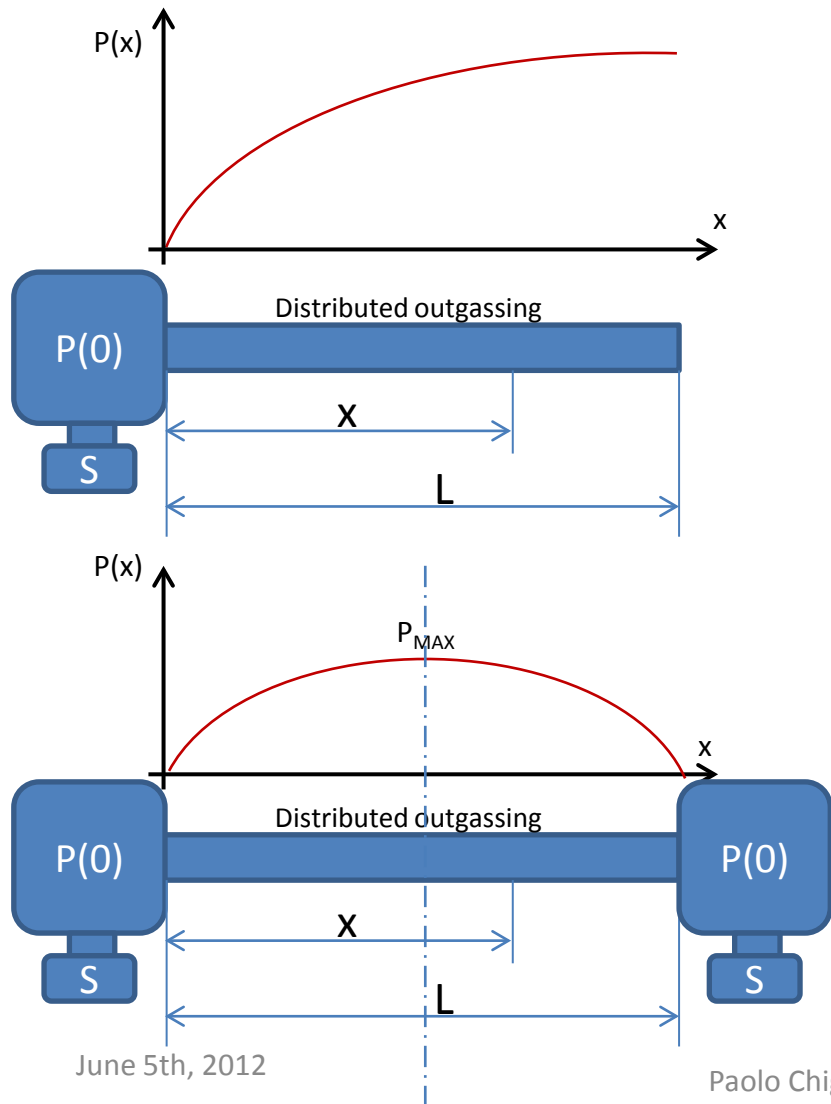
H.F. Dylla, D. M. Manos, P.H. LaMarche Jr. Journal of Vacuum Science and Tech. A, 11(1993)2623

Outgassing rate of water vapour for polymers

R. N. Peacock, *J. Vac. Sci. Technol.*, 17(1), p.330, 1980



Pressure profiles with distributed outgassing can be calculated analytically (for simple geometry), by electrical analogy or by Monte Carlo simulation.



$$Q(x + \Delta x) - Q(x) = 2\pi R \Delta x \cdot q \rightarrow \frac{dQ}{dx} = 2\pi R \cdot q$$

$$Q(x + \Delta x) = -C \frac{L}{\Delta x} (P(x + \Delta x) - P(x)) = -CL \frac{dP}{dx}$$

$$\rightarrow CL \frac{d^2 P}{dx^2} = -2\pi R \cdot q$$

$$P(0) = \frac{2\pi R L \cdot q}{S} = \frac{Q_{TOT}}{S}$$

$$\left(\frac{dP}{dx}\right)_{x=L} = 0$$

$$P(x) - P(0) = -\frac{Q_{TOT}}{C} \left[\left(\frac{x}{L}\right) - \frac{1}{2} \left(\frac{x}{L}\right)^2 \right]$$

$$P(L) - P(0) = \frac{Q_{TOT}}{2C}$$

$$P(0) = \frac{2\pi R L \cdot q}{2S} = \frac{Q_{TOT}}{2S}$$

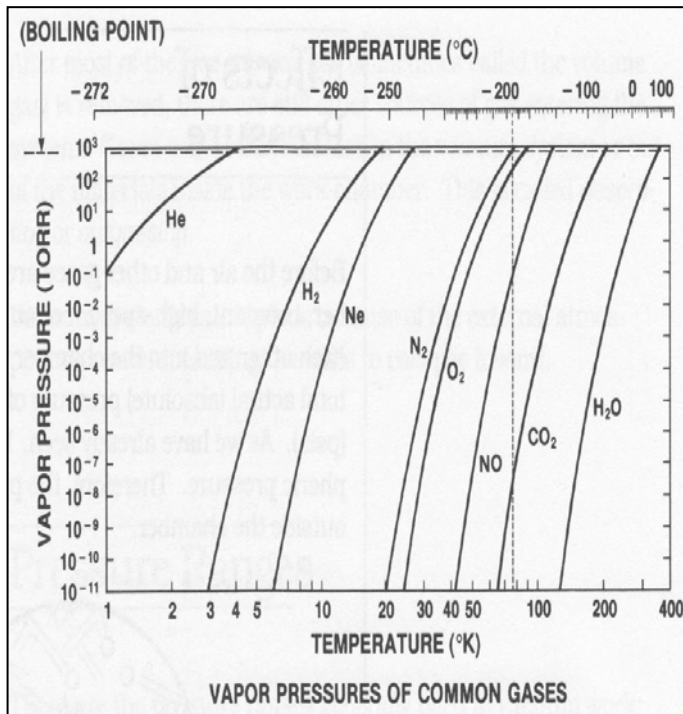
$$\left(\frac{dP}{dx}\right)_{x=L/2} = 0$$

$$P(x) - P(0) = -\frac{Q_{TOT}}{2C} \left[\left(\frac{x}{L}\right) - \left(\frac{x}{L}\right)^2 \right]$$

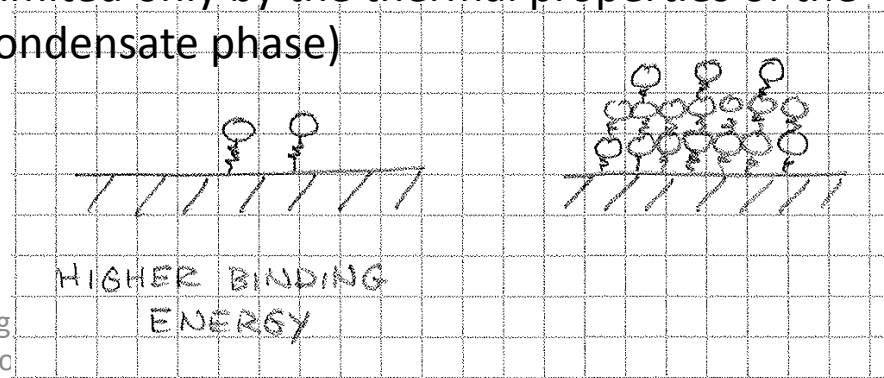
$$P\left(\frac{L}{2}\right) - P(0) = \frac{Q_{TOT}}{8C}$$

Cryopumps rely on three different pumping mechanisms:

1. **Cryocondensation:** is based on the mutual attraction of **similar** molecules at low temperature:
 - a. the key property is the saturated vapour pressure, i.e. the pressure of the gas phase in equilibrium with the condensate at a given temperature. The attainable pressure is limited by the saturated vapour pressure.
 - b. Only Ne, H₂ and He have saturated vapour pressures higher than 10⁻¹¹ Torr at 20 K.



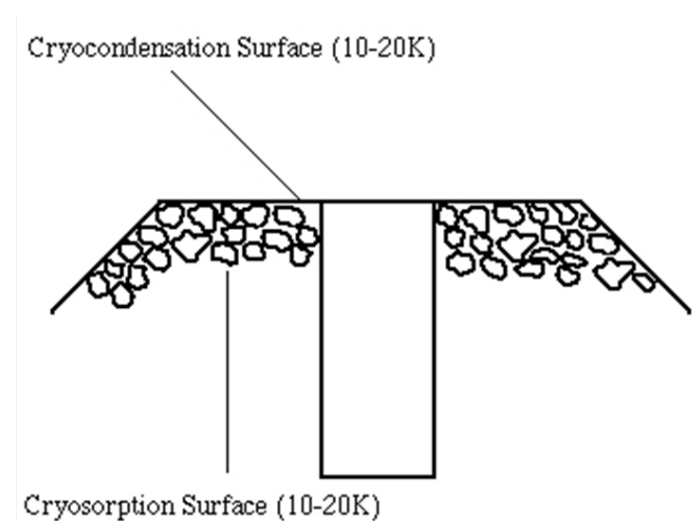
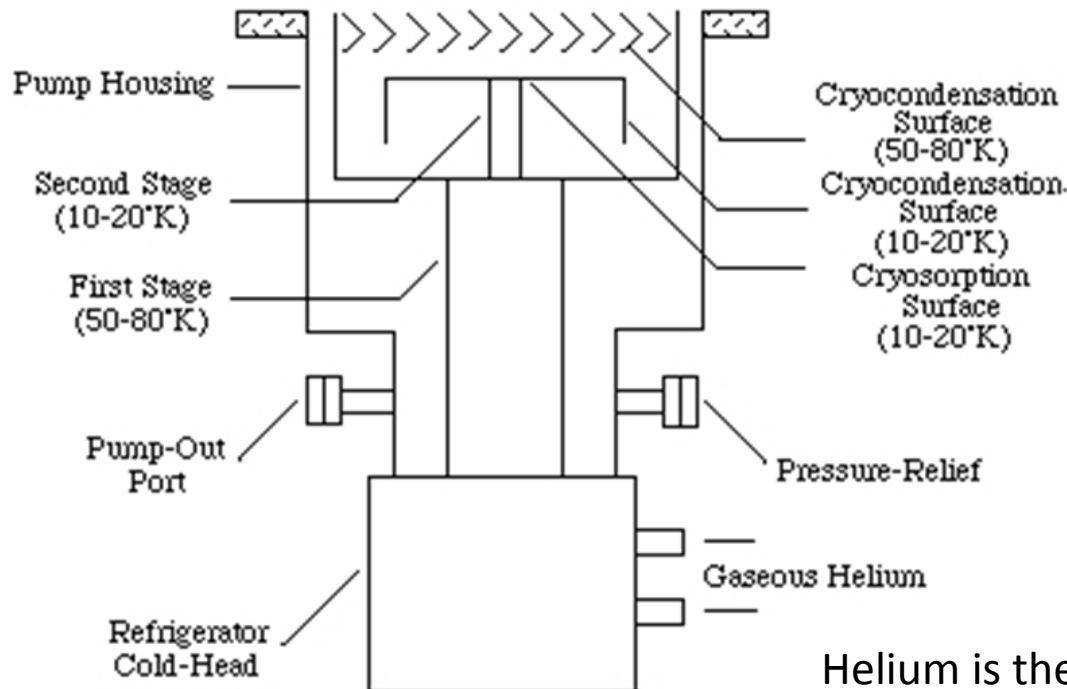
- c. The vapour pressure of H₂ at 4.3 K is in the 10⁻⁷ Torr range, at 1.9 lower than 10⁻¹² Torr.
- d. Large quantity of gas can be cryocondensated (limited only by the thermal properties of the condensate phase)



2. **Cryosorption:** is based on the attraction between molecules and substrate. The van der Waals forces are much stronger than those between similar molecules:
 - a) Gas molecules are pumped at pressure much lower than the saturated vapour pressure providing the adsorbed quantity is lower than one monolayer.
 - a) Porous materials are used to increase the specific surface area; for charcoal about 1000 m² per gram are normally achieved.
 - b) The important consequence is that significant quantities of H₂ can be pumped at 20 K and He at 4.3 K.
 - c) Submonolayer quantities of all gases may be effectively cryosorbed at their own boiling temperature; for example at 77 K all gases except He, H₂ and Ne.
3. **Cryotrapping** : low boiling point gas molecules are trapped in the layer of an easily condensable gas. The trapped gas has a saturation vapor pressure by several orders of magnitude lower than in the pure condensate. Examples: Ar trapped in CO₂ at 77 K; H₂ trapped in N₂ at 20 K.

Modern cryopumps take advantages of the first two mechanisms.

1. The cryocondensation takes place on a cold surfaces, in general at 80 K for H₂O and 10 or 20 K for the other gases.
2. The cryosorption of H₂, Ne and He is localised on a hidden surface where a porous material is fixed. This surface is kept away from the reach of the other molecules.

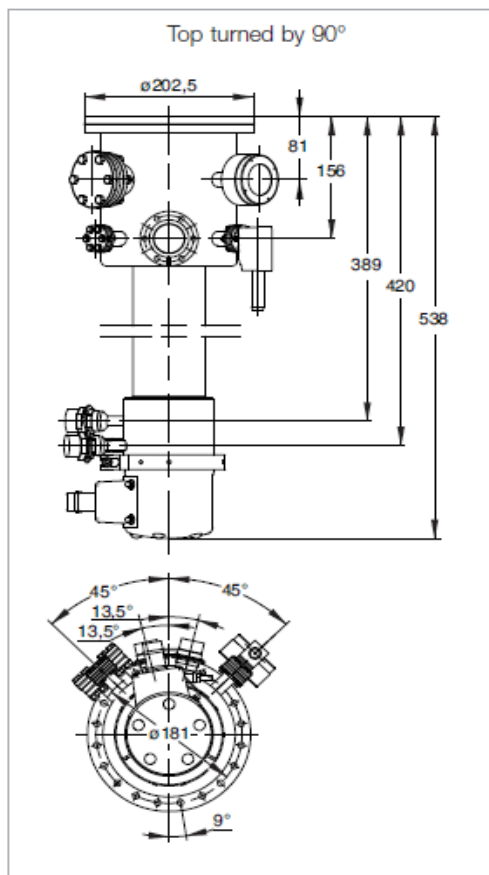


Helium is the working fluid of modern cryopumps.

Technical Data

800 BL UHV (CF)

High vacuum flange	DN	160 CF
Fore vacuum flange	DN	40 CF
Flange for other purposes	DN	16 CF (1x), 40 CF (1x)
Safety valve with DN 40 KF flange connection for gas exhaust line		burst disk mounted on DN 16 CF
Pumping speed		
H ₂ O	l x s ⁻¹	2600
Ar / N ₂	l x s ⁻¹	640 / 800
H ₂ / He	l x s ⁻¹	1000 / 300
Capacity		
Ar / N ₂	bar x l (Torr x l)	300 (225 000) / 300 (225 000)
H ₂ at 10 ⁻⁶ mbar	bar x l (Torr x l)	4.3 (3225)
He	bar x l (Torr x l)	0.5 (375)
Built-in cold head	COOLPOWER	7/25
Max. throughput		
Ar / N ₂	mbar x l x s ⁻¹ (Torr x l x s ⁻¹)	4 (3) / 4 (3)
H ₂	mbar x l x s ⁻¹ (Torr x l x s ⁻¹)	2 (1.5)
Crossover value	mbar x l (Torr x l)	150 (112)
Cool down time to 20 K	min	50
Overall height	mm (in.)	538 (21.18)
Weight	kg (lbs)	12 (26.5)
Silicon diode for temperature measurements at second stage of the cold head		built-in to a DN 16 CF with 4 way with UHV feedthrough



Dimensional for the COOLVAC 800 BL UHV (160 CF)

Cryopumps require periodic regeneration to evacuate the gas adsorbed or condensed.

To remove all captured gas, the pump is warmed at room temperature. The desorbed gas is removed by mechanical pumps (in general, for accelerators, mobile TMP). During regeneration, the rest of the system must be separated by a valve.

Characteristics of Cryopumps

1. Starting Pressure

- Cryopumps should be started when the mean free path of molecules is higher than the pump vessel diameter: $P < 10^{-3}$ mbar. Otherwise the thermal load is too high.
- In addition a thick condensate layer must be avoided.
- They need auxiliary pumps.

2. Pumping speed

- High effective pumping speed for all gases. Pumping speed from 800 l/s up to 60000 l/s are commercially available .
- Pumping speed for water vapour close to the theoretical maximum.

3. Maximum Gas Intake (Capacity)

- At the maximum gas intake, the initial pumping speed of the gas is reduced by a factor of 2.
- Condensed gases: the limitation is given by the thermal conductivity of the gas layer.
- Adsorbed gases: the capacity depends on the quantity and properties of the sorption agent; it is pressure dependent and generally several orders of magnitude lower compared to that of condensable gases.

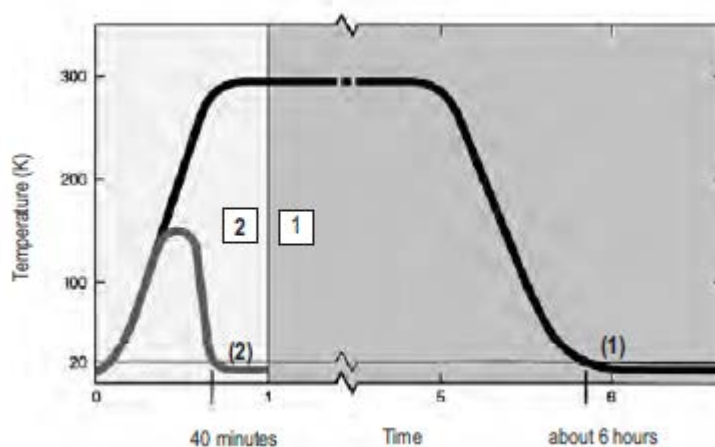
Cryopumps require periodic regeneration to evacuate the gas adsorbed or condensed.

To remove all captured gas, the pump is warmed at room temperature. The desorbed gas is removed by mechanical pumps (in general, for accelerators, mobile TMP).

During regeneration, the rest of the system must be separated by a valve.

In the majority of application, the performance deterioration is given by the gas adsorbed on the second stage (10-20 K). A partial regeneration may be carried out for a shorter time while water vapour is kept on the first stage at temperatures lower than 140 K.

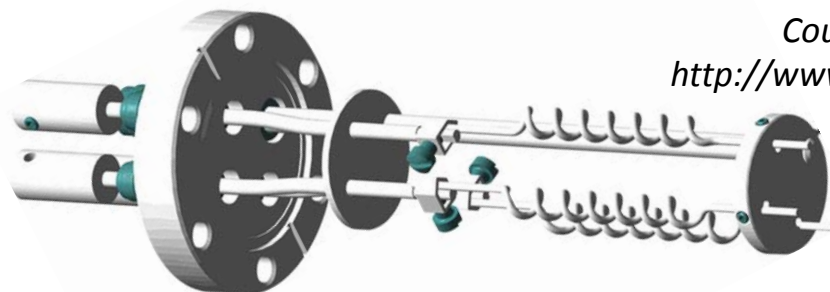
*Courtesy of Oerlikon Leybold Vacuum
www.oerlikon.com/leyboldvacuum*



	Advantages	Disadvantages
Cryopumps	<ul style="list-style-type: none">- Very large pumping speed for all gases- Clean vacuum- High pumping capacity- Limited selectivity	<ul style="list-style-type: none">- Cost and maintenance- Relatively large volume needed (including refrigerator)- Gas release in case of power cut- Reduced pumping efficiency for H₂ for high quantity of gas adsorbed: regeneration needed- Need of valve on the main flange

For particle accelerators Ti is the sublimated metal.

Ti alloy rods are heated up to 1500°C attaining a Ti vapour pressure of about 10^{-3} mbar.



Courtesy of Kurt J. Lesker Company
http://www.lesker.com/newweb/Vacuum_Pumps

The sticking probabilities depend on the nature of the gas and the quantity of gas already pumped.

$$\max \begin{cases} H_2: 10^{-2} \leq \alpha \leq 10^{-1} \\ CO: 5 \times 10^{-1} \leq \alpha \leq 1 \end{cases}$$

The sticking probability is negligible:

- For CO, one monolayer adsorbed
- For of O₂ several monolayer
- For N₂ fraction of monolayer

→ one additional sublimation is needed

Hydrogen diffuses in the Ti film → much higher capacity

June 5th, 2012

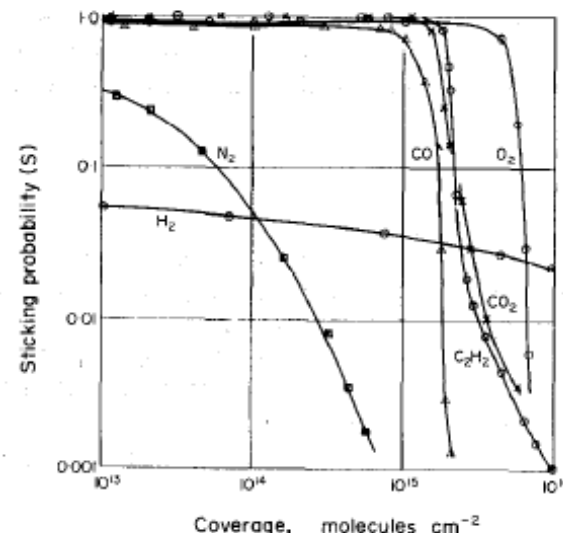


Figure 4. Room temperature sorption characteristics for pure gases on batch evaporated clean titanium films.

A K Gupta and J H Leek, *Vacuum*, 25(1975)362

	Advantages	Disadvantages
Sublimation Pumps	<ul style="list-style-type: none"> - Clean vacuum - High pumping speed for reactive gases - With SIP, extremely low vacuum can be achieved - Low cost - Electrical power only for sublimation; it works in case of power cut - Limited maintenance (filament change) - No vibration 	<ul style="list-style-type: none"> - Very limited capacity - Need frequent sublimations at high pressure - Ti film peel-off for high sublimation rates - Selective pumping (no pumping of rare gases and methane) - Risk of leakage current in high voltage insulators - Ideal for low pressure applications

Hydrogen pumping by SIP

- H_2 is mainly pumped by diffusion into the cathode.
- To be adsorbed, H_2 must be dissociated. Only 2.5% of the ions created in a low-pressure H_2 Penning discharge are H^+ ions.
- The dissociation is possible only on atomically clean Ti.
- H_2^+ ions have poor sputtering yield: 0.01 at 7 KeV on Ti.

- When H_2 is the main gas, it takes a long time to clean the cathode surface by sputtering.
- As a consequence, at the beginning of the operation the pumping speed for H_2 is lower than the nominal and increases gradually with time.
- The simultaneous pumping of another gas has strong effects on H_2 pumping speed.
 - Higher sputtering yield \rightarrow faster cleaning \rightarrow higher pumping speed
 - Contaminating of the Ti surface \rightarrow lower pumping speed
 - Desorption of implanted H ions \rightarrow lower pumping speed

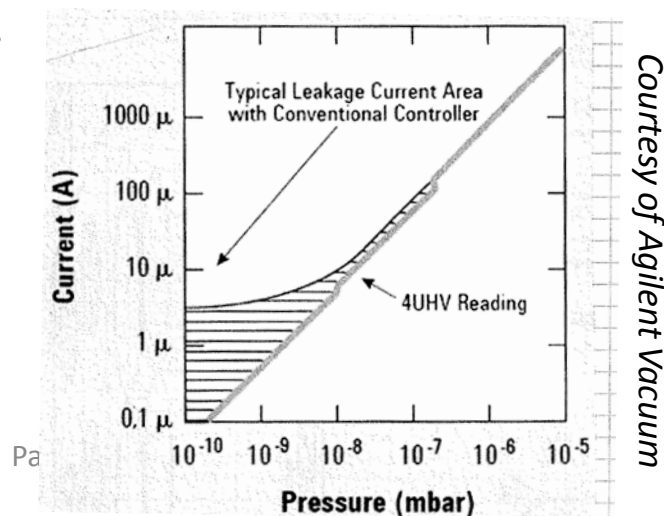
- When the concentration of H_2 is higher than the solubility limit in Ti, hydride precipitates are formed \rightarrow Ti expansion and hydrogen embrittlement \rightarrow short circuits and cathode brittleness (for 500 l/s pumps: typical values are 10000 Torr l of H_2)

High Pressure Operation

- High pressure ($>10^{-5}$ mbar) operation can **generate thermal run-away**. It is frequently noticeable during the pumping of H_2 or after the absorption of high quantity of H_2 (for example due to pumping of H_2O).
- The Penning discharge heats the cathode and provokes gas desorption, which enhance the discharge. This positive feedback mechanism can melt locally the cathode.
- The total electrical power given to the pump has to be limited at high pressure.

Pressure measurement by ion pumps

- The discharge current of the penning cells can be used for pressure measurement.
- In the low pressure range, the current measurement is limited by field emission (leakage current): pressure reading limitation in the 10^{-9} mbar range.
- By reducing the applied voltage in the lower pressure range, the pressure measurement is possible down to 10^{-10} mbar.



Courtesy of SAES Getters, www.saesgetters.com

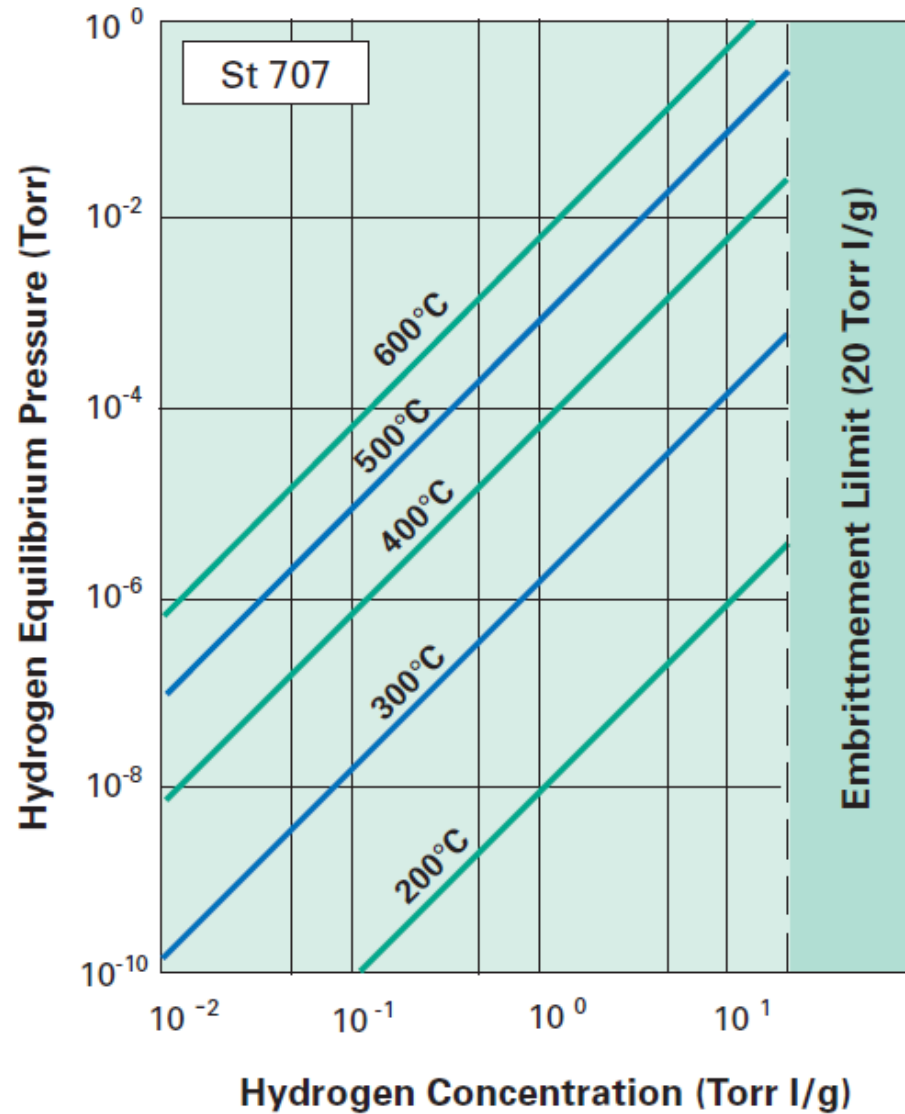


Figure 3 - Hydrogen equilibrium pressure for the St 707 alloy

The time t_R needed to regenerate a NEG pump is given by:

$$t_R = \frac{M_{NEG}}{S_{aux}} \left(\frac{1}{q_f} - \frac{1}{q_i} \right) \cdot 10^{-\left(A + \frac{B}{T}\right)}$$

Where:

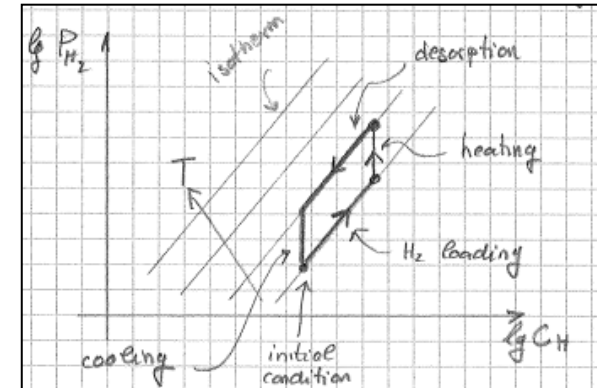
q_f and q_i are the final and initial concentrations in Torr l/g

S_{aux} is the pumping speed of the TMP

M_{NEG} the mass of the NEG in g

A and B typical values of the NEG material

For St707: A=4.8, B= -6116



The pressure during the regeneration heating is given by: $\text{Log}(P) = A + 2\text{Log}(q) + \frac{B}{T}$

q is the actual hydrogen concentration