



NN-based MPC for energy efficient HVAC systems for CERN accelerators

Nikolina Bunijevac
EN/CV/CL

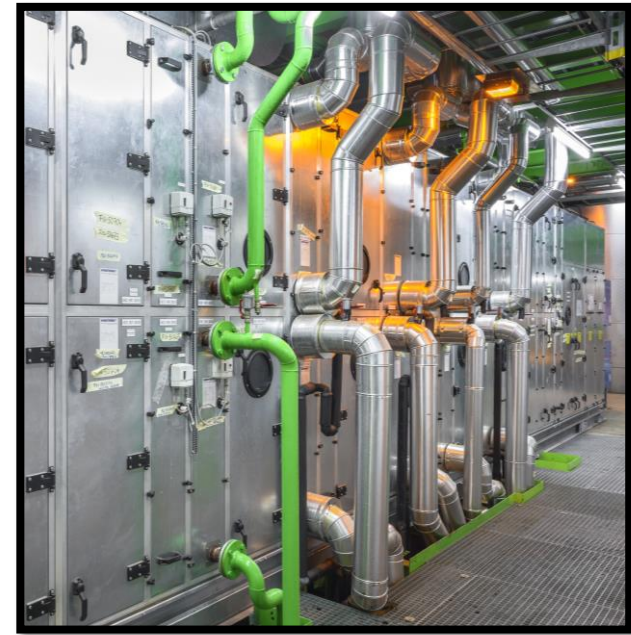
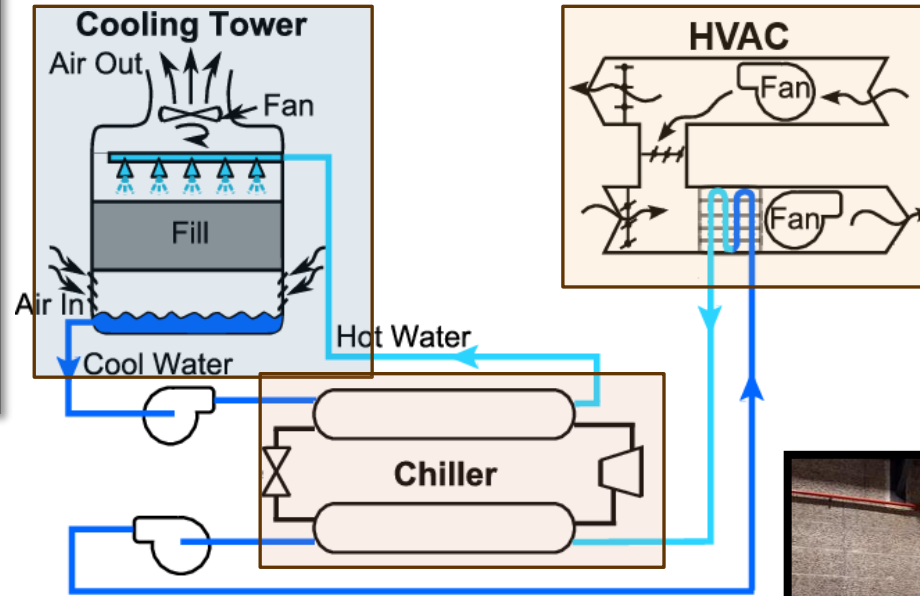


NN-based MPC for energy efficient HVAC systems for CERN accelerators



NN-based MPC for energy efficient HVAC systems for CERN accelerators

heating, ventilation, air conditioning



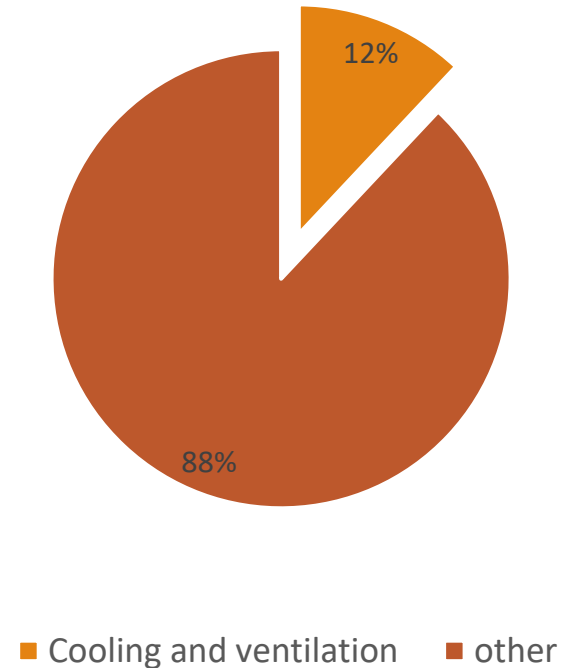


NN-based MPC for **energy efficient** HVAC systems for CERN accelerators

Research motivation

*Following global sustainability concerns, “pursuing actions and technologies aiming at **energy savings and reuse**” is listed as one of the main objectives for 2021-2025 at the European Organization for Nuclear Research (CERN). This objective extends to the Cooling and Ventilation group.*

CERN/LHC electricity consumption



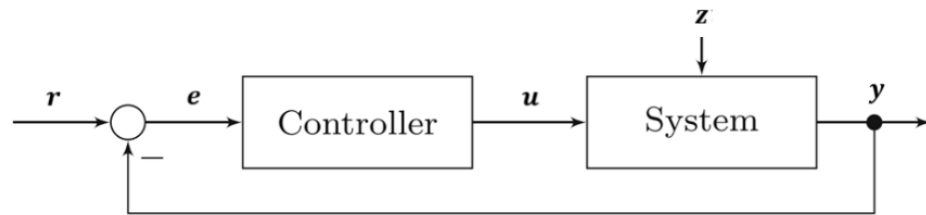


NN-based MPC for energy efficient HVAC systems for CERN accelerators

Controls optimization

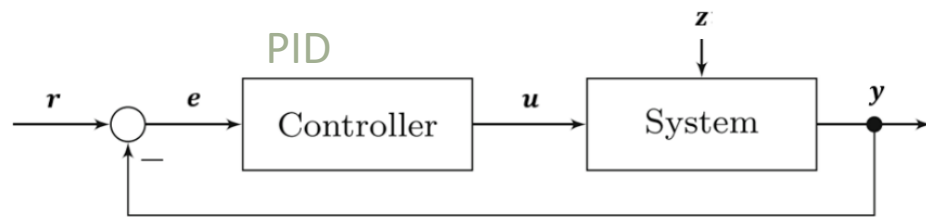
Controls optimization

CLASSICAL FEEDBACK CONTROLLER



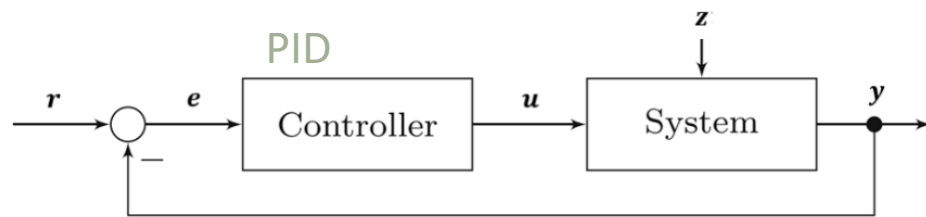
Controls optimization

CLASSICAL FEEDBACK CONTROLLER

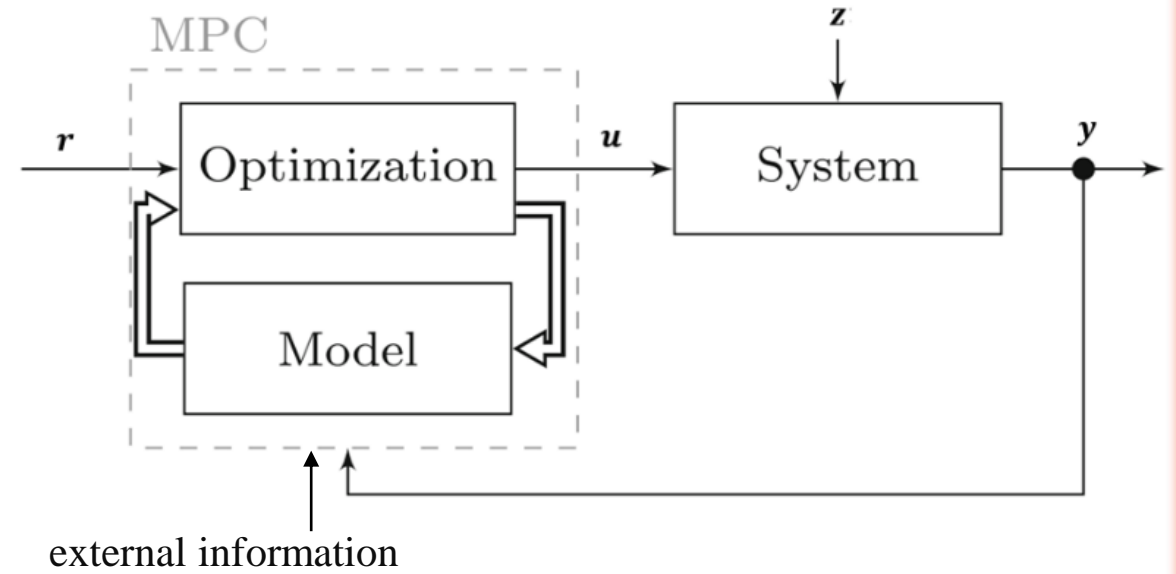


Controls optimization

CLASSICAL FEEDBACK CONTROLLER

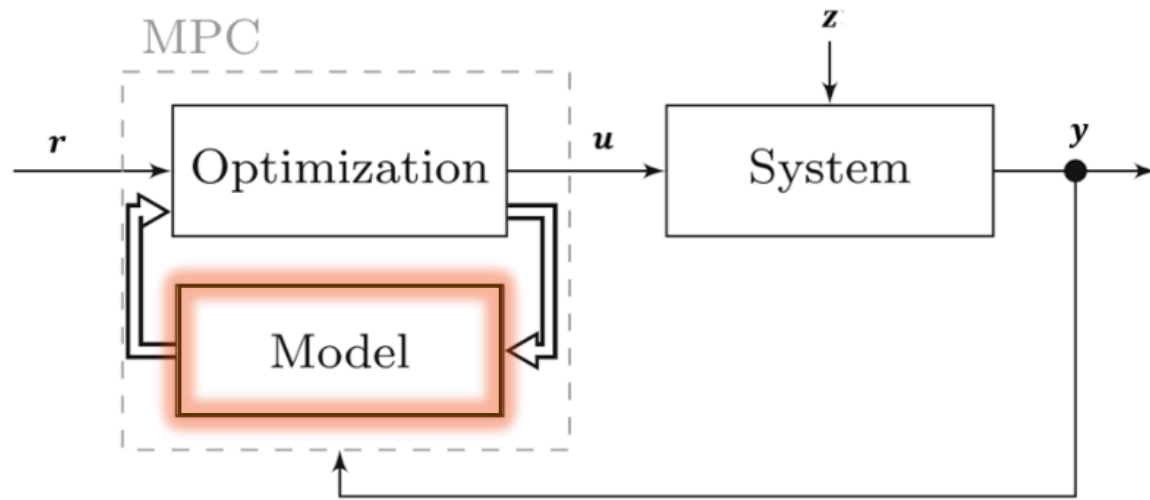


MODEL PREDICTIVE CONTROLLER



- prediction horizon

Modelling



System: Air Handling Unit (HVAC311)

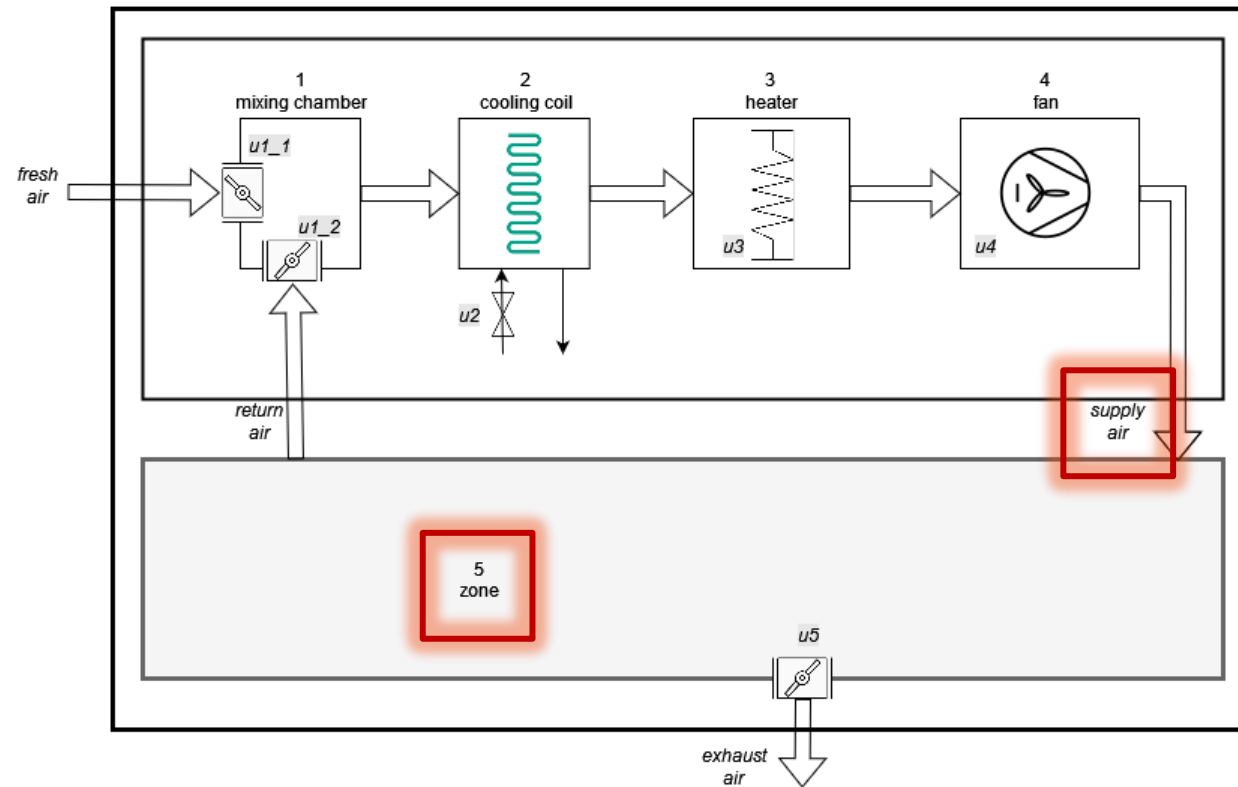


System: Air Handling Unit (HVAC311)



System: Air Handling Unit (HVAC311)

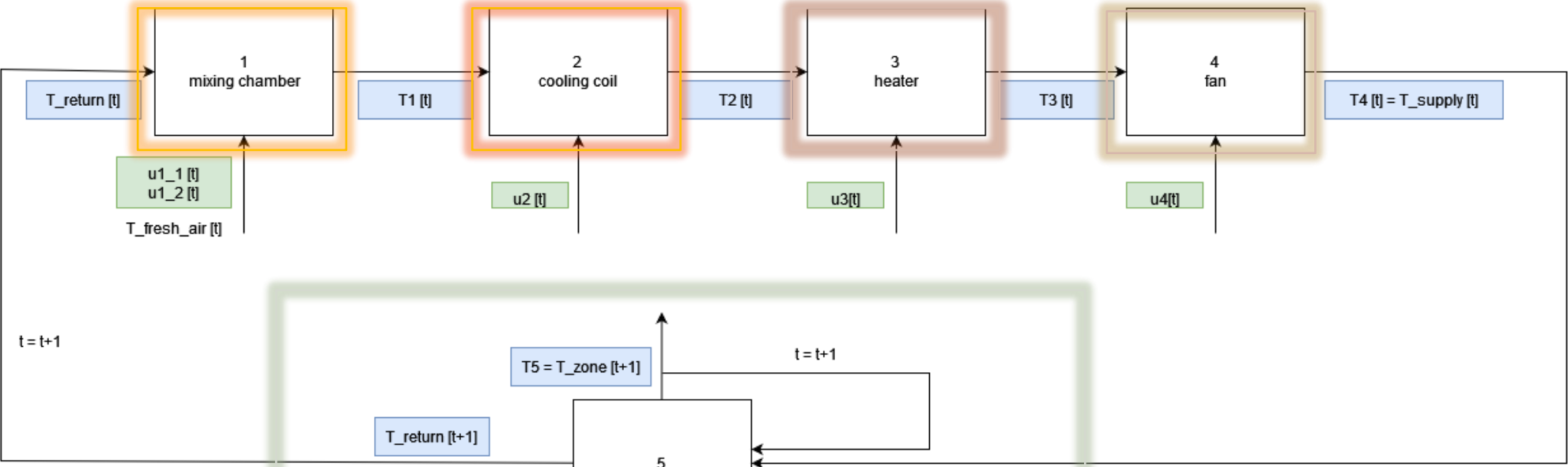
Simplified model scheme



Controlled variables

System diagram

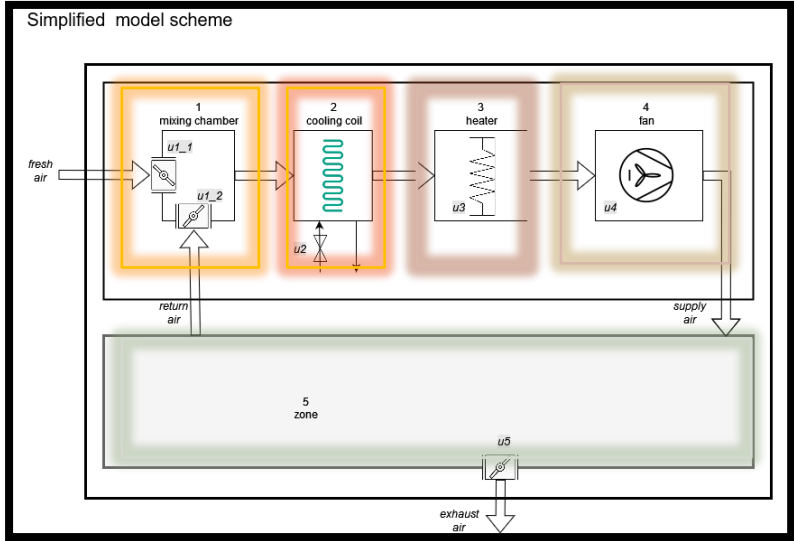
Physics-informed approach



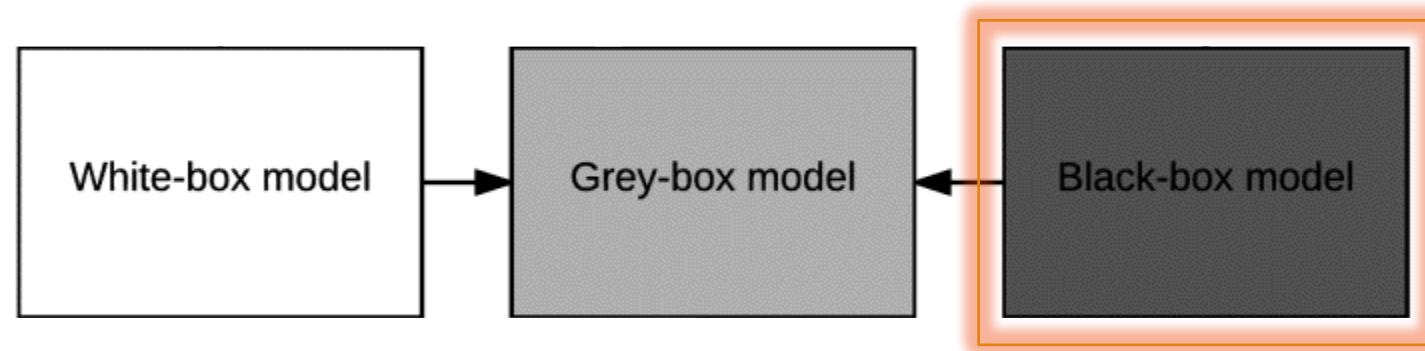
LEGEND

input: controls
input: measurements
temperature values

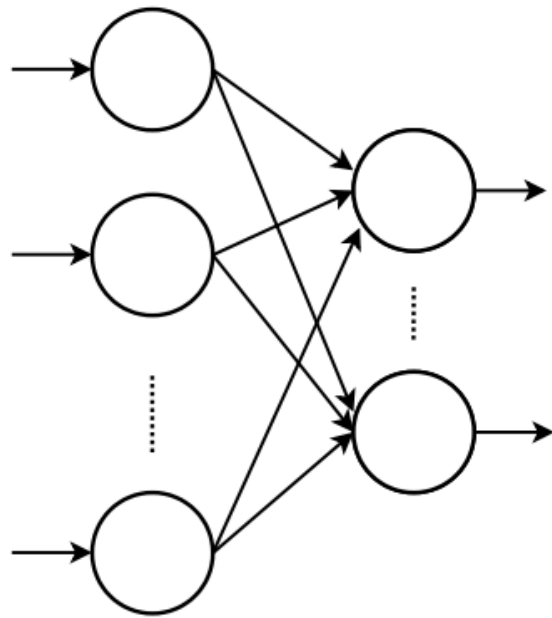
- LIST OF CONTROLS**
- $u1_1$ damper_opening_return_air
 - $u1_2$ damper_opening_fresh_air
 - $u2$ cooling_coil_valve_opening
 - $u3$ electrical_heater
 - $u4$ fan_speed
 - $u5$ extraction fan speed



Modelling: methodology

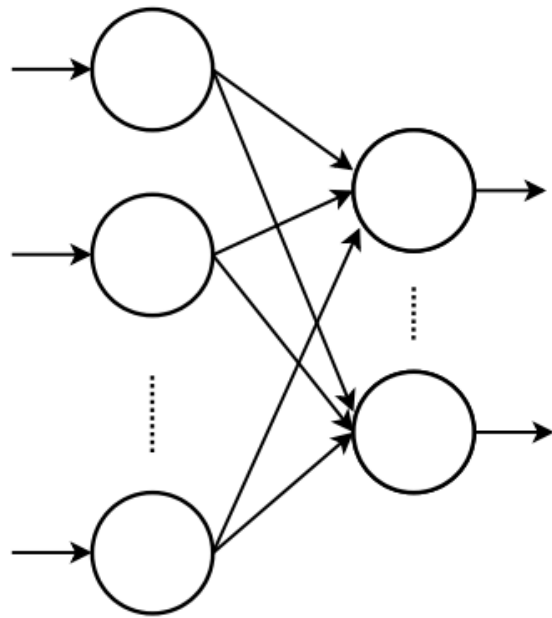


Modelling: methodology – black-box

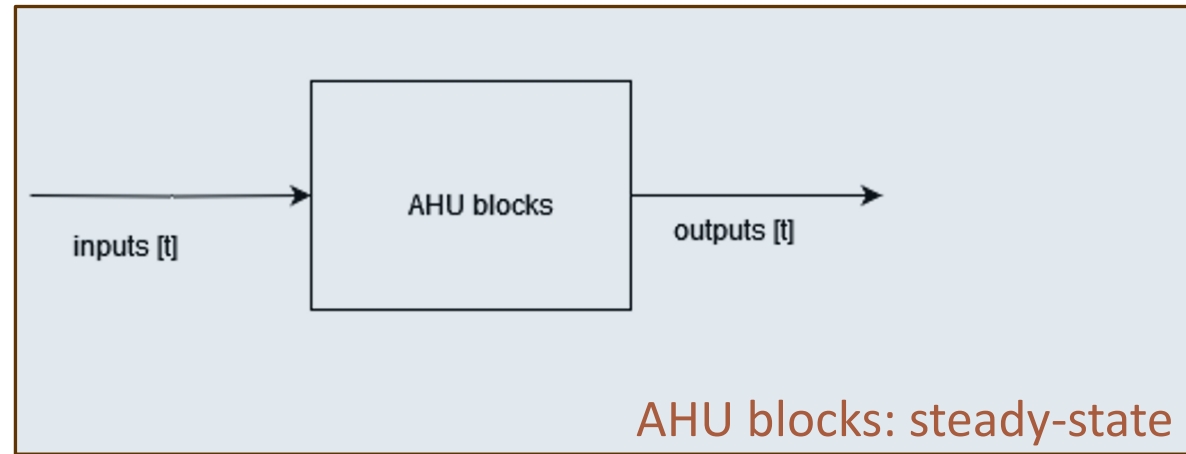


FFNN

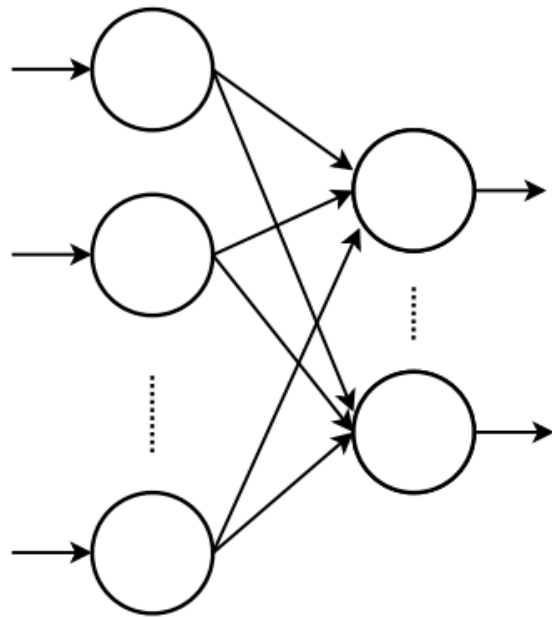
Modelling: methodology – black-box



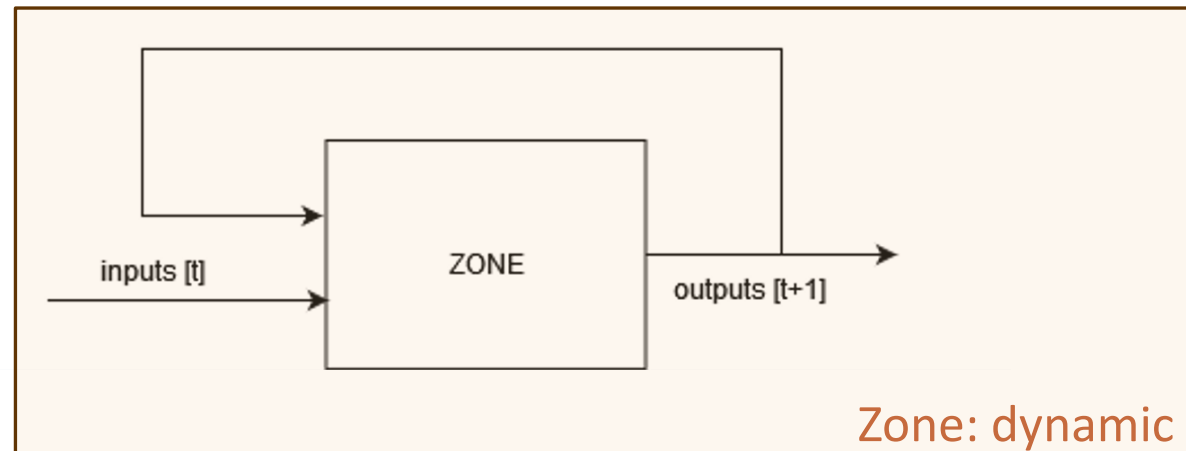
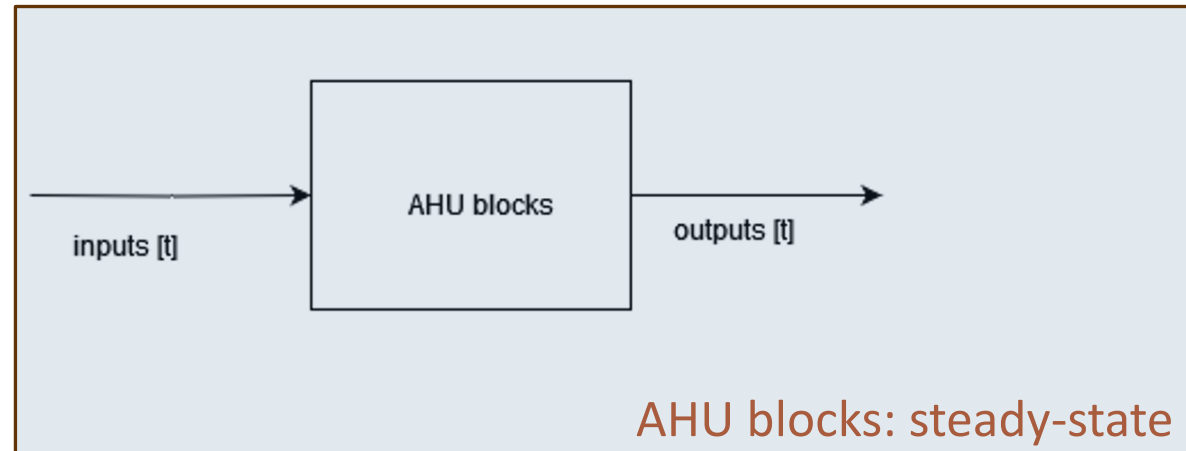
FFNN



Modelling: methodology – black-box

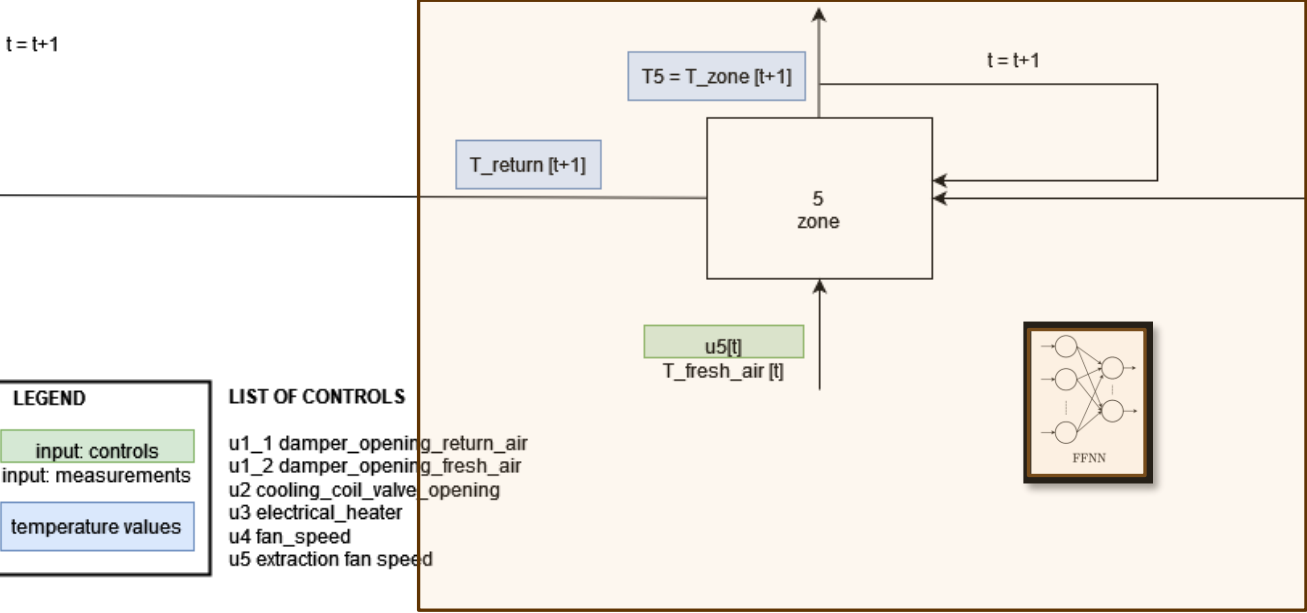
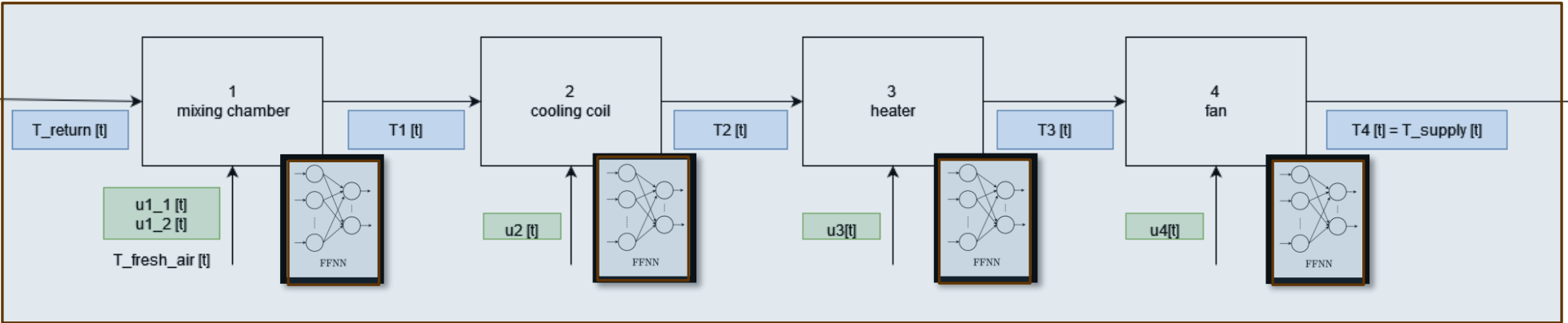


FFNN



System diagram

AHU
Steady state models

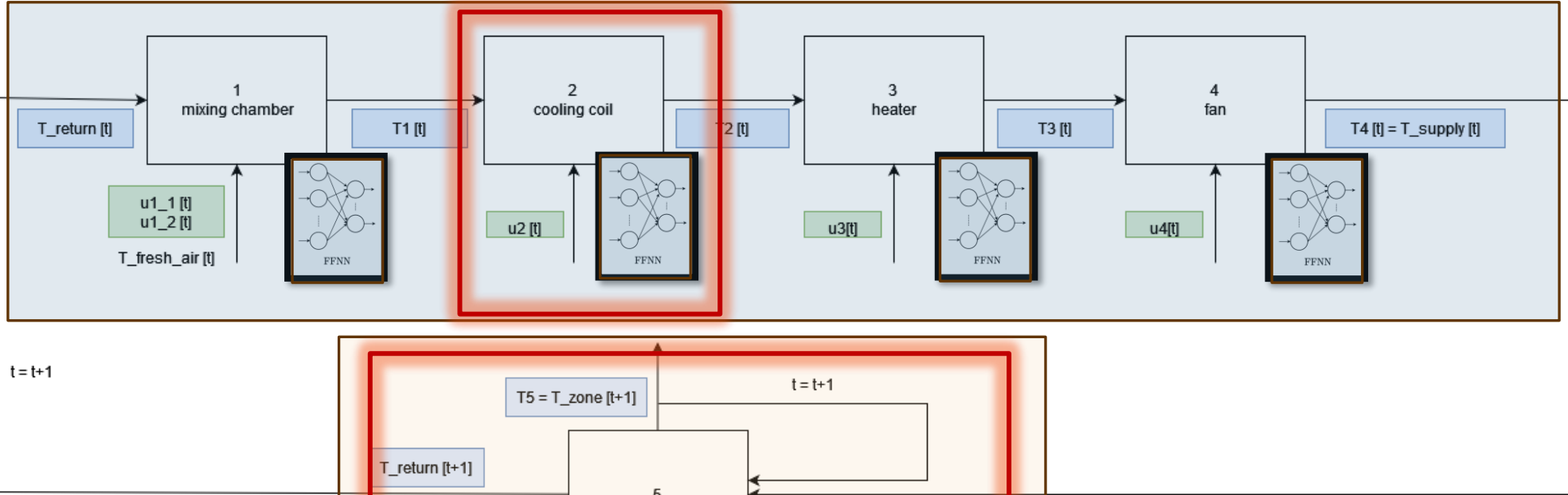


Zone
Dynamic model

LEGEND	
input: controls	
input: measurements	
temperature values	

LIST OF CONTROLS	
u1_1	damper_opening_return_air
u1_2	damper_opening_fresh_air
u2	cooling_coil_valve_opening
u3	electrical_heater
u4	fan_speed
u5	extraction fan speed

System diagram



AHU
Steady state models

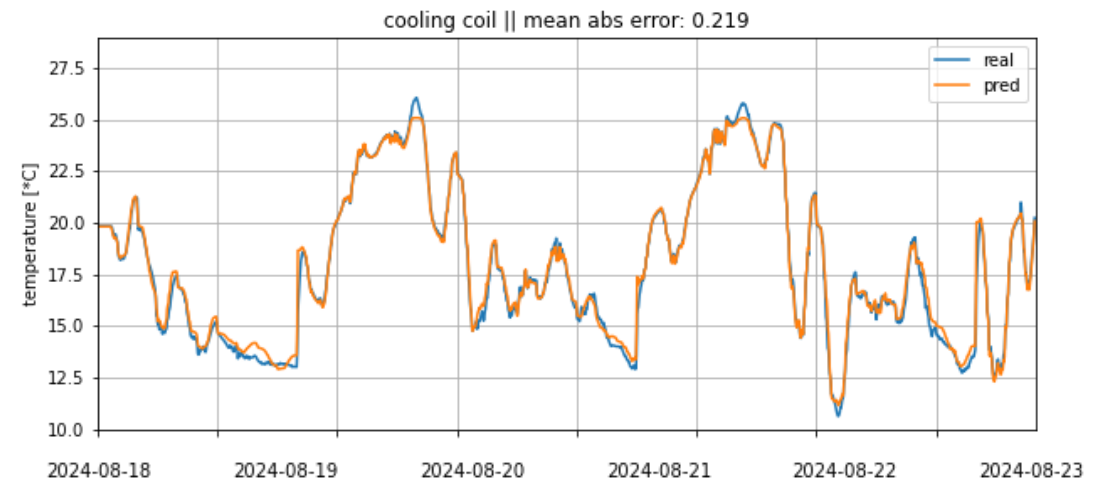
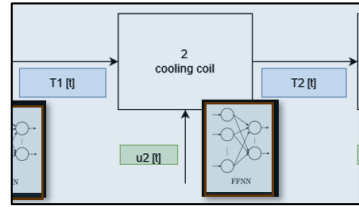
LEGEND

input: controls
input: measurements
temperature values

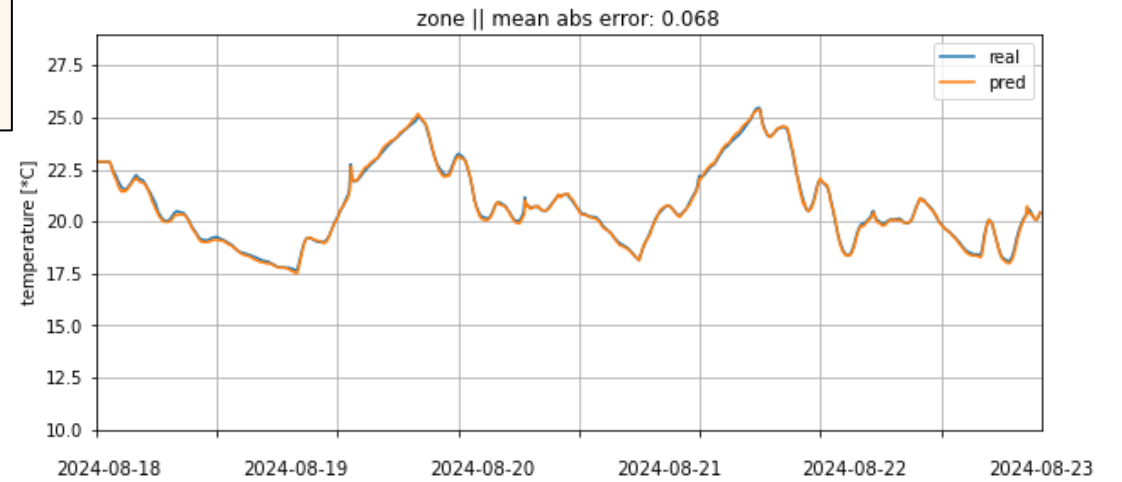
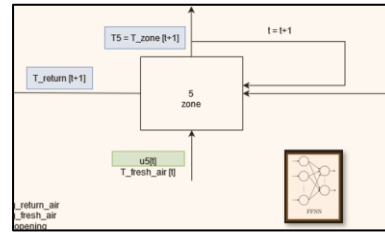
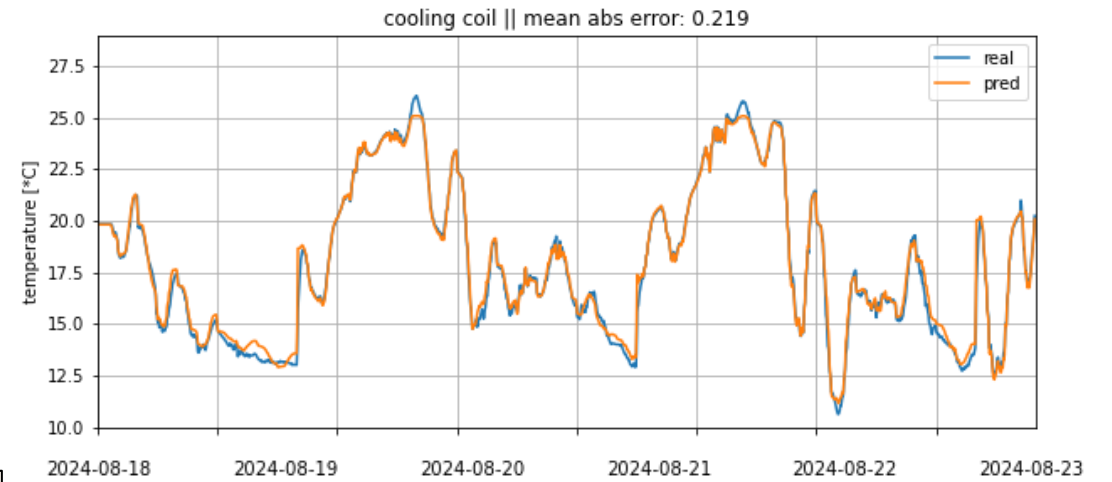
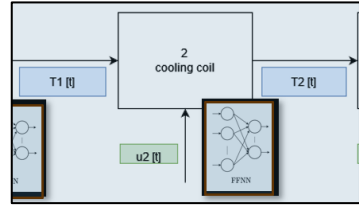
- LIST OF CONTROLS**
- u1_1 damper_opening_return_air
 - u1_2 damper_opening_fresh_air
 - u2 cooling_coil_valve_opening
 - u3 electrical_heater
 - u4 fan_speed
 - u5 extraction fan speed

Zone
Dynamic model

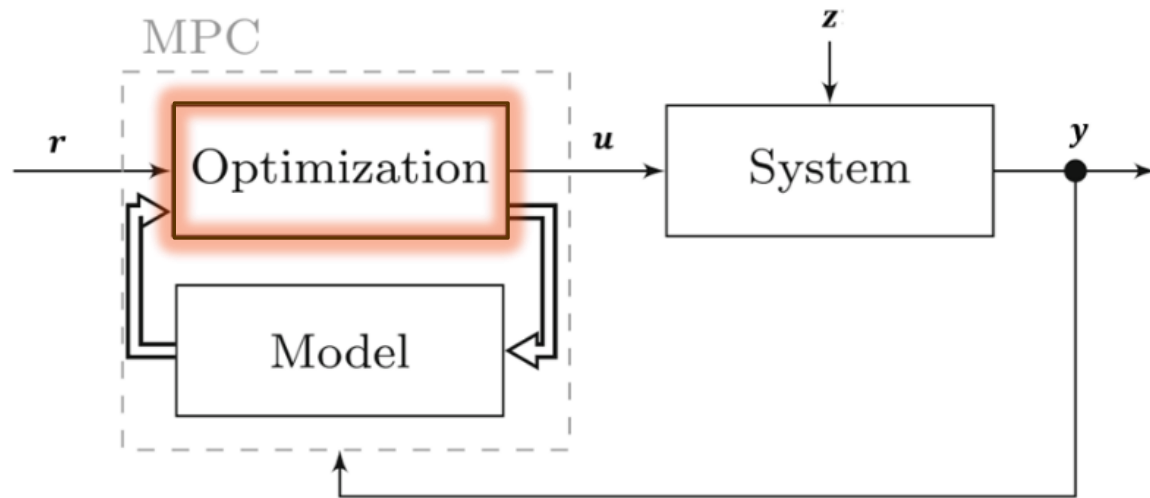
Example: cooling coil



Example: cooling coil & zone



Optimization



Optimization

Optimization

- optimization function: estimation of **electricity consumption** for the prediction horizon + **penalty**

$$J = \sum_{t \text{ in prediction horizon}} J[t]$$

$$J[t] = \sum_{k=1}^{Nc} J^k(u^k[t], x^k[t]) / J_{e_max} + \text{penalty}$$

Optimization

-optimization function: estimation of **electricity consumption** for the prediction horizon + **penalty**

-optimization variables:

u^1 (fresh air damper opening),
 u^2 (cooling coil valve),
 u^3 (heater command)

$$J = \sum_{t \text{ in prediction horizon}} J[t]$$

$$J[t] = \sum_{k=1}^{Nc} J^k(u^k[t], x^k[t]) / J_{e_max} + \text{penalty}$$

Optimization

- optimization function: estimation of **electricity consumption** for the prediction horizon + **penalty**

$$J = \sum_{t \text{ in prediction horizon}} J[t]$$

$$J[t] = \sum_{k=1}^{Nc} J^k(u^k[t], x^k[t]) / J_{e_max} + \text{penalty}$$

- optimization variables:

u^1 (fresh air damper opening),
 u^2 (cooling coil valve),
 u^3 (heater command)

- constraints:
 - control constraints

Optimization

- optimization function: estimation of **electricity consumption** for the prediction horizon + **penalty**

- optimization variables:

- constraints:
 - control constraints

$$J = \sum_{t \text{ in prediction horizon}} J[t]$$

$$J[t] = \sum_{k=1}^{Nc} J^k(u^k[t], x^k[t]) / J_{e_max} + \text{penalty}$$

u^1 (fresh air damper opening),
 u^2 (cooling coil valve),
 u^3 (heater command)

$$0 < u^k[t] < 100, k \text{ in } \{1,2,3\}, \forall t$$

$$u^2[t] * u^3[t] = 0, \forall t.$$

*embedded in
variable coding*

Optimization

- optimization function: estimation of **electricity consumption** for the prediction horizon + **penalty**

- optimization variables:

- constraints:
 - control constraints
 - variable constraints

$$J = \sum_{t \text{ in prediction horizon}} J[t]$$

$$J[t] = \sum_{k=1}^{N_c} J^k(u^k[t], x^k[t]) / J_{e_max} + \text{penalty}$$

u^1 (fresh air damper opening),
 u^2 (cooling coil valve),
 u^3 (heater command)

$$0 < u^k[t] < 100, k \text{ in } \{1,2,3\}, \forall t$$

$$u^2[t] * u^3[t] = 0, \forall t.$$

*embedded in
variable coding*

Optimization

$$J = \sum_{t \text{ in prediction horizon}} J[t]$$

- optimization function: estimation of **electricity consumption** for the prediction horizon + **penalty**

$$J[t] = \sum_{k=1}^{Nc} J^k(u^k[t], x^k[t]) / J_{e_max} + \text{penalty}$$

- optimization variables:

u^1 (fresh air damper opening),
 u^2 (cooling coil valve),
 u^3 (heater command)

- constraints:

- control constraints
- variable constraints

$$0 < u^k[t] < 100, k \text{ in } \{1,2,3\}, \forall t$$

*embedded in
variable coding*

$$u^2[t] * u^3[t] = 0, \forall t.$$

$$T_{SUPPLY_MIN} = 15^\circ\text{C} < T_{SUPPLY} < T_{SUPPLY_MAX} = 30^\circ\text{C},$$

*penalty when
violated*

$$T_{ZONE_MIN} = 21^\circ\text{C} < T_{ZONE} < T_{ZONE_MAX} = 24^\circ\text{C}.$$

Optimization

$$J = \sum_{t \text{ in prediction horizon}} J[t]$$

- optimization function: estimation of **electricity consumption** for the prediction horizon + **penalty**

$$J[t] = \sum_{k=1}^{Nc} J^k(u^k[t], x^k[t]) / J_{e_max} + \text{penalty}$$

- optimization variables:

u^1 (fresh air damper opening),
 u^2 (cooling coil valve),
 u^3 (heater command)

- constraints:

- control constraints
- variable constraints

$$0 < u^k[t] < 100, k \text{ in } \{1,2,3\}, \forall t$$

embedded in variable coding

$$u^2[t] * u^3[t] = 0, \forall t.$$

$$T_{SUPPLY_MIN} = 15^\circ\text{C} < T_{SUPPLY} < T_{SUPPLY_MAX} = 30^\circ\text{C},$$

penalty when violated

$$T_{ZONE_MIN} = 21^\circ\text{C} < T_{ZONE} < T_{ZONE_MAX} = 24^\circ\text{C}.$$

Optimization

$$J = \sum_{t \text{ in prediction horizon}} J[t]$$

- optimization function: estimation of **electricity consumption** for the prediction horizon + **penalty**

$$J[t] = \sum_{k=1}^{Nc} J^k(u^k[t], x^k[t]) // J_{e_max} + \text{penalty}$$

- optimization variables:

u^1 (fresh air damper opening),
 u^2 (cooling coil valve),
 u^3 (heater command)

- constraints:

- control constraints
- variable constraints

$$0 < u^k[t] < 100, k \text{ in } \{1,2,3\}, \forall t$$

embedded in variable coding

$$u^2[t] * u^3[t] = 0, \forall t.$$

$$T_{SUPPLY_MIN} = 15^\circ\text{C} < T_{SUPPLY} < T_{SUPPLY_MAX} = 30^\circ\text{C},$$

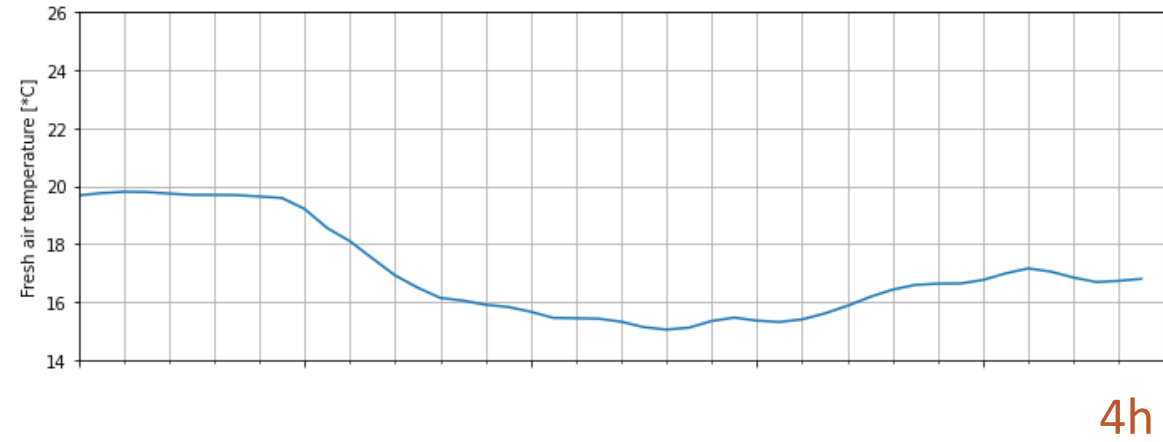
penalty when violated

$$T_{ZONE_MIN} = 21^\circ\text{C} < T_{ZONE} < T_{ZONE_MAX} = 24^\circ\text{C}.$$

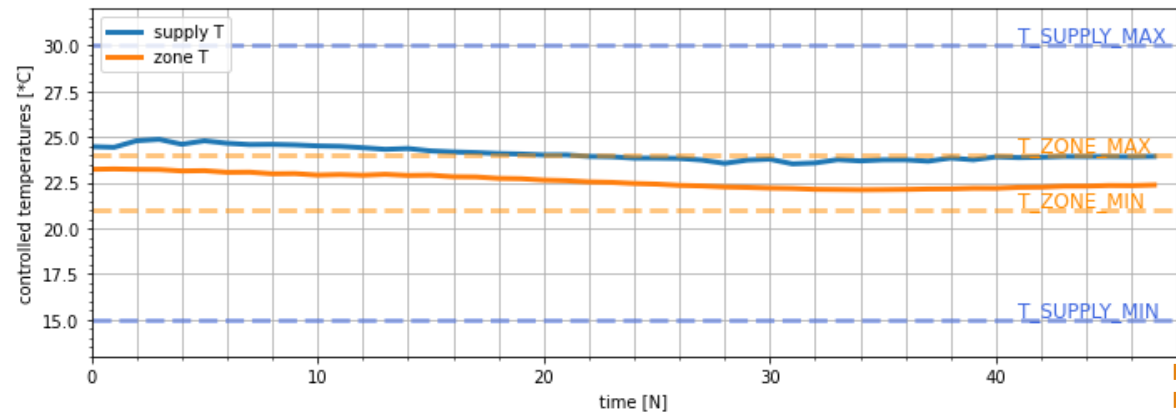
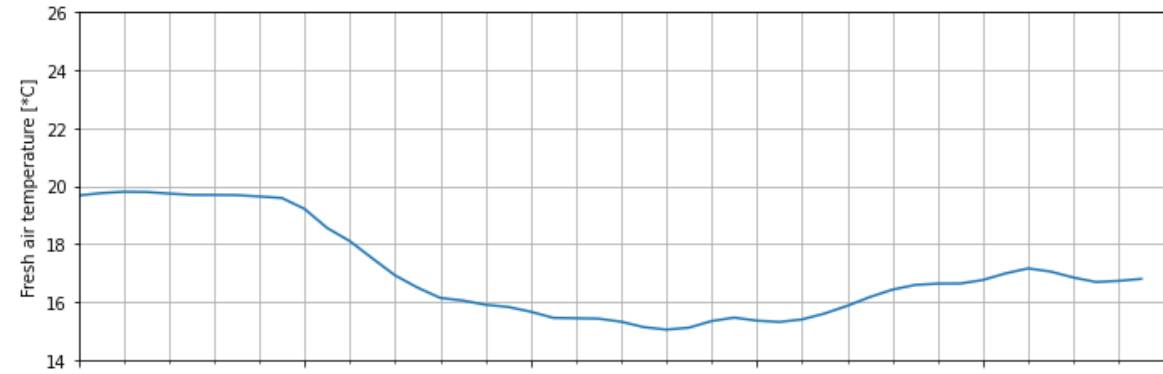
- **genetic algorithm**
binary

optimization technique based on evolutionary principles to find solutions to complex problems

Example: MPC solution

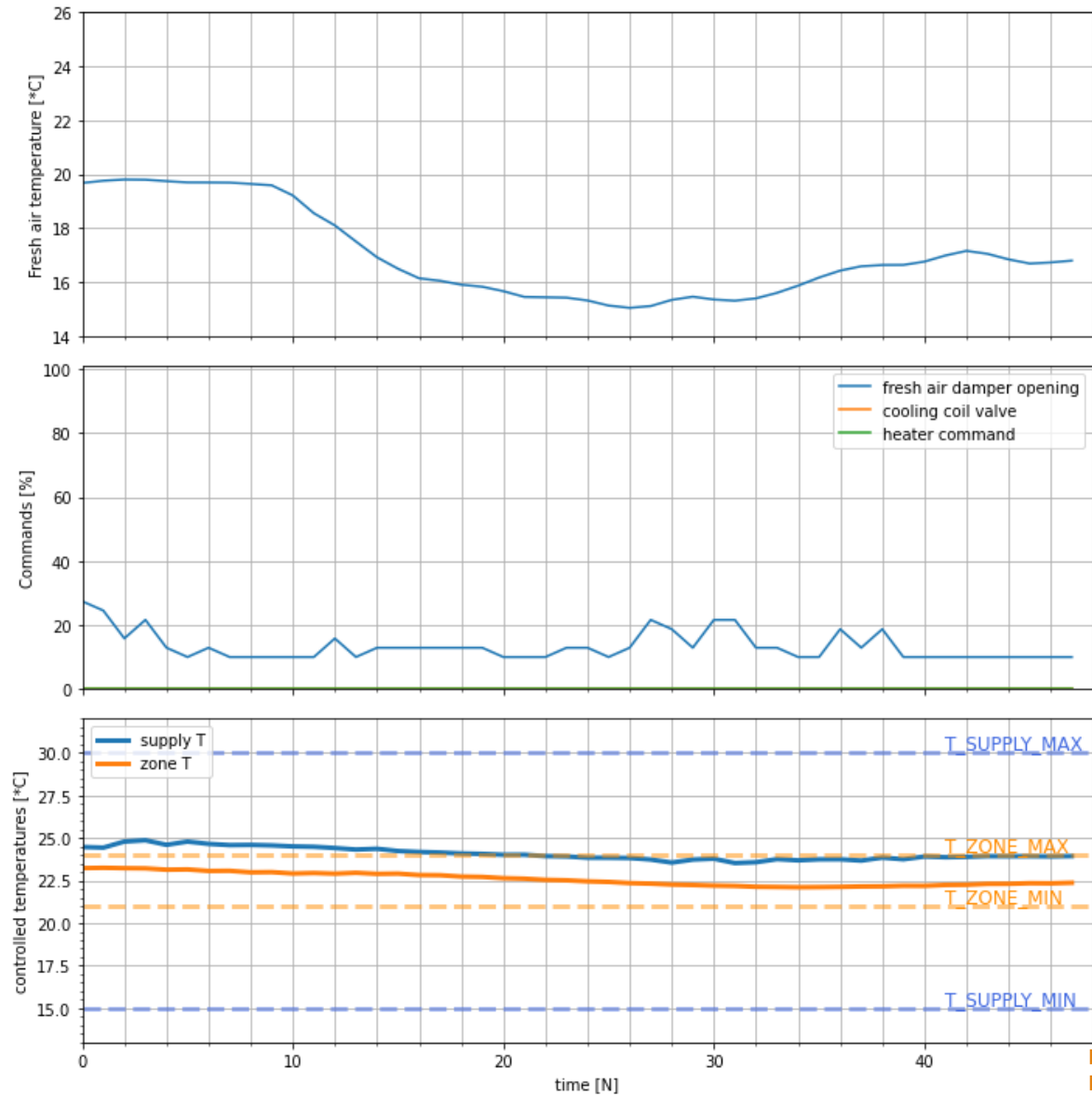


Example: MPC solution



4h

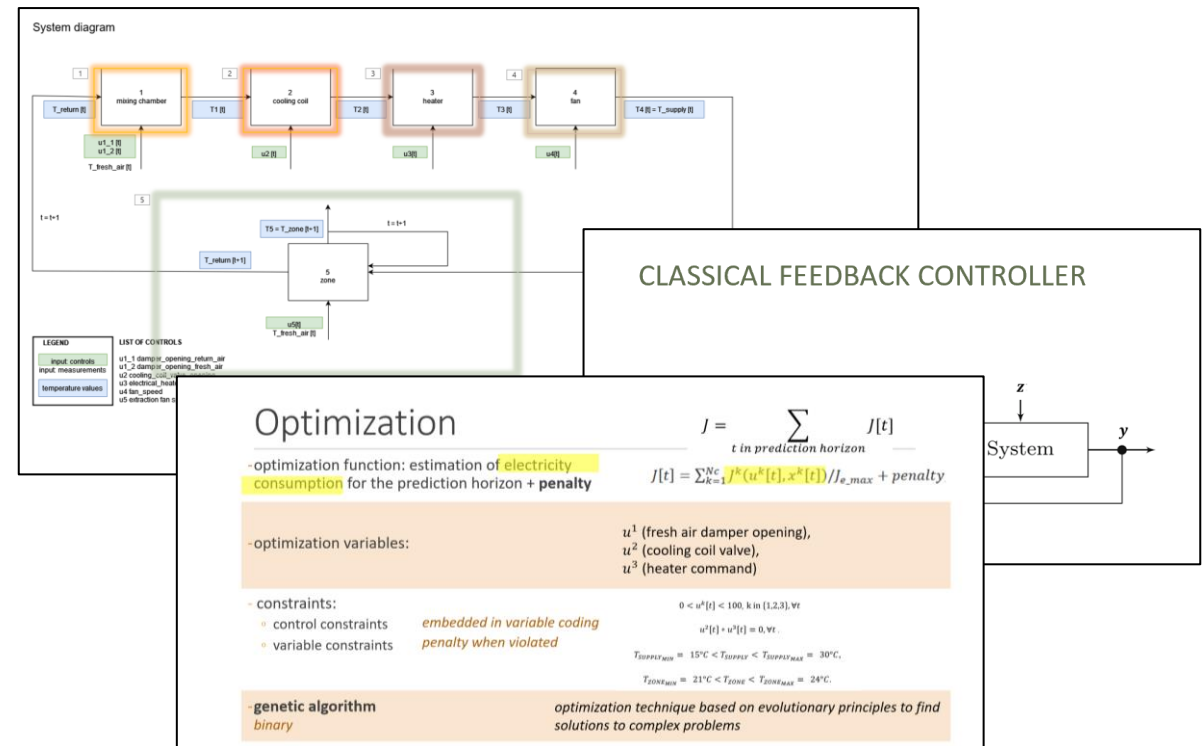
Example: MPC solution



4h

Next step

- thorough comparison between classical controls and MPC
- test zone on larger dataset
- If needed, MPC optimization upgrade for faster convergence

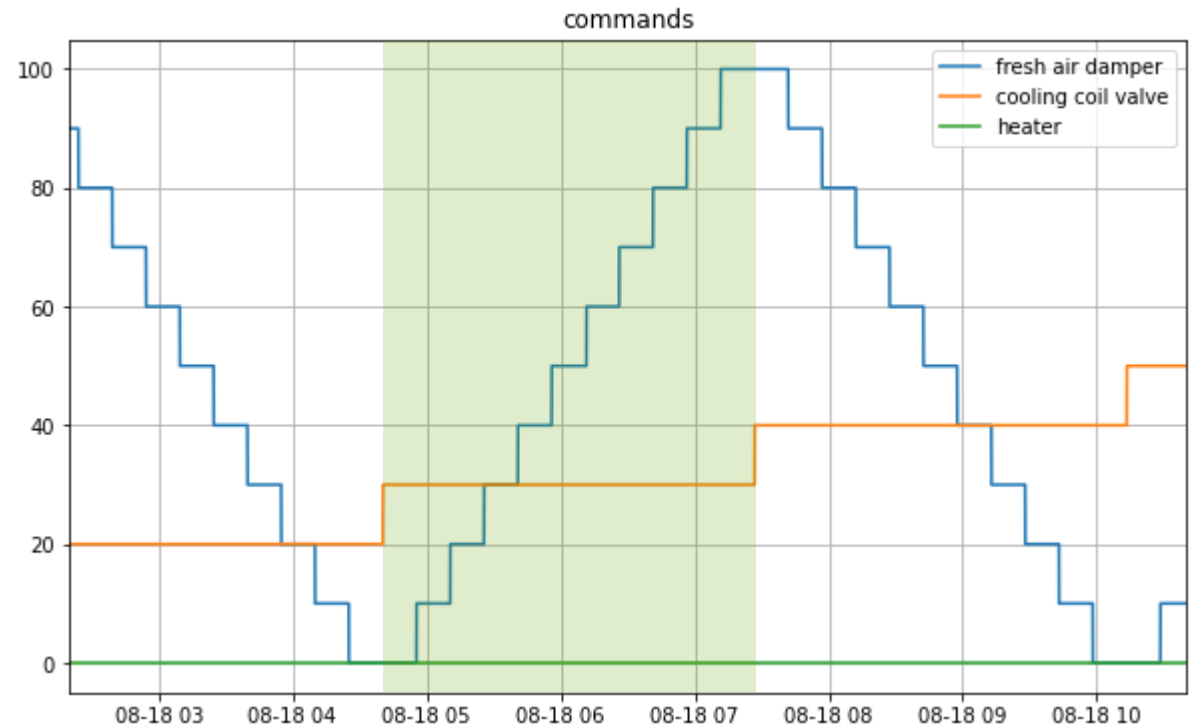
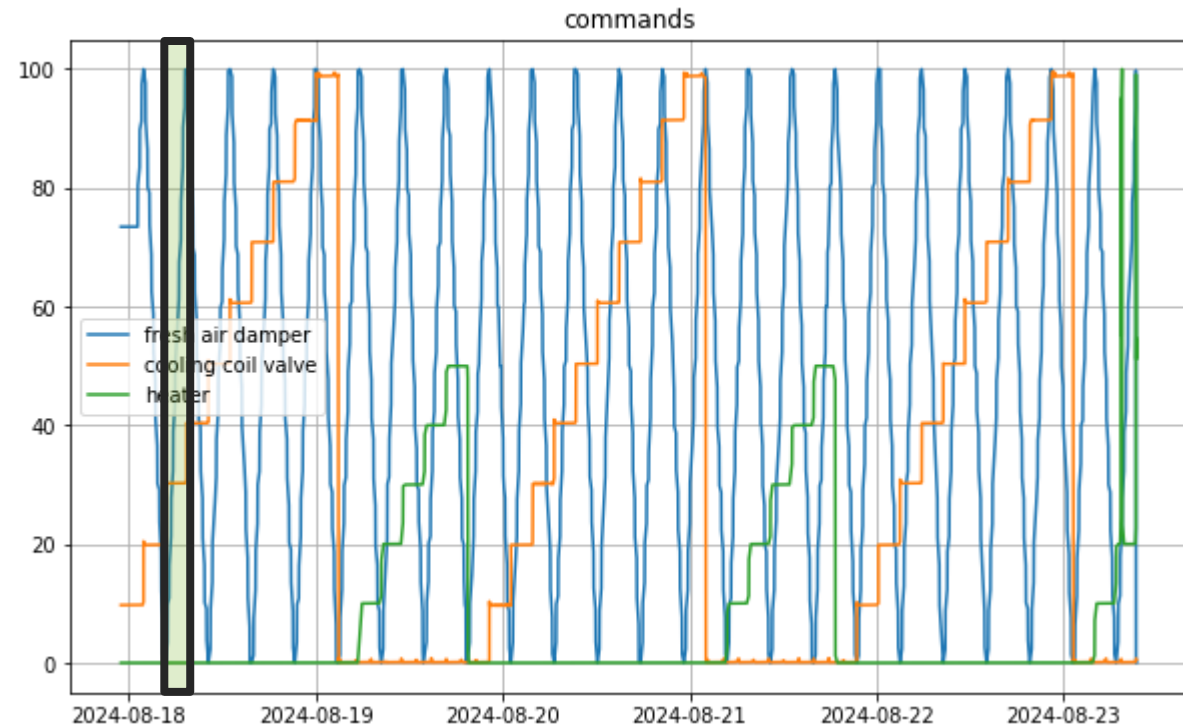


Discussion

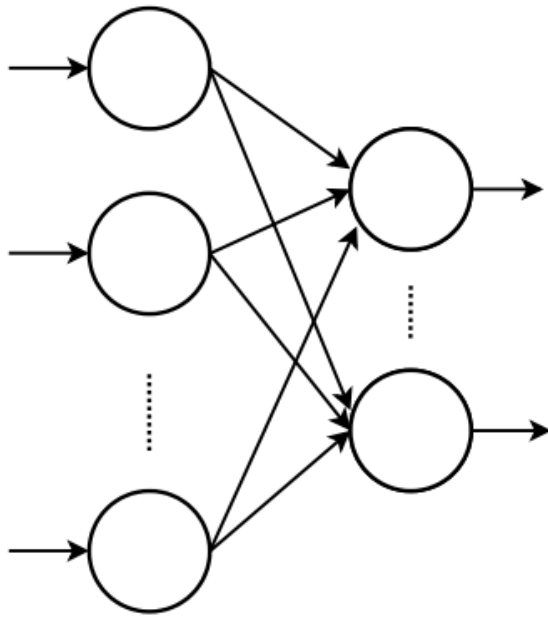
Extra slides

MPC for HVAC

Modelling upgrade: dataset



Modelling: methodology – black-box



FFNN

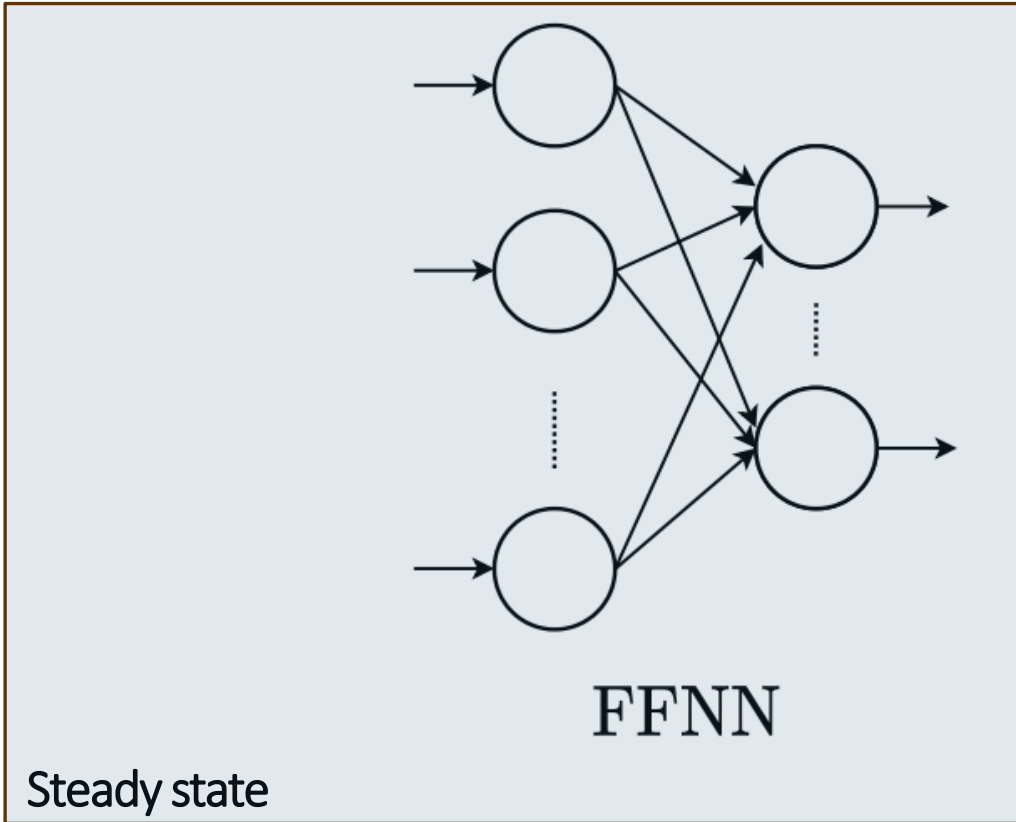
```
class SimpleNN(nn.Module):
    def __init__(self, input_size, output_size, hidden_size1=5, hidden_size2=5):
        super(SimpleNN, self).__init__()

        self.fc1 = nn.Linear(input_size, hidden_size1)
        self.fc2 = nn.Linear(hidden_size1, hidden_size2)
        self.fc3 = nn.Linear(hidden_size2, output_size)
```

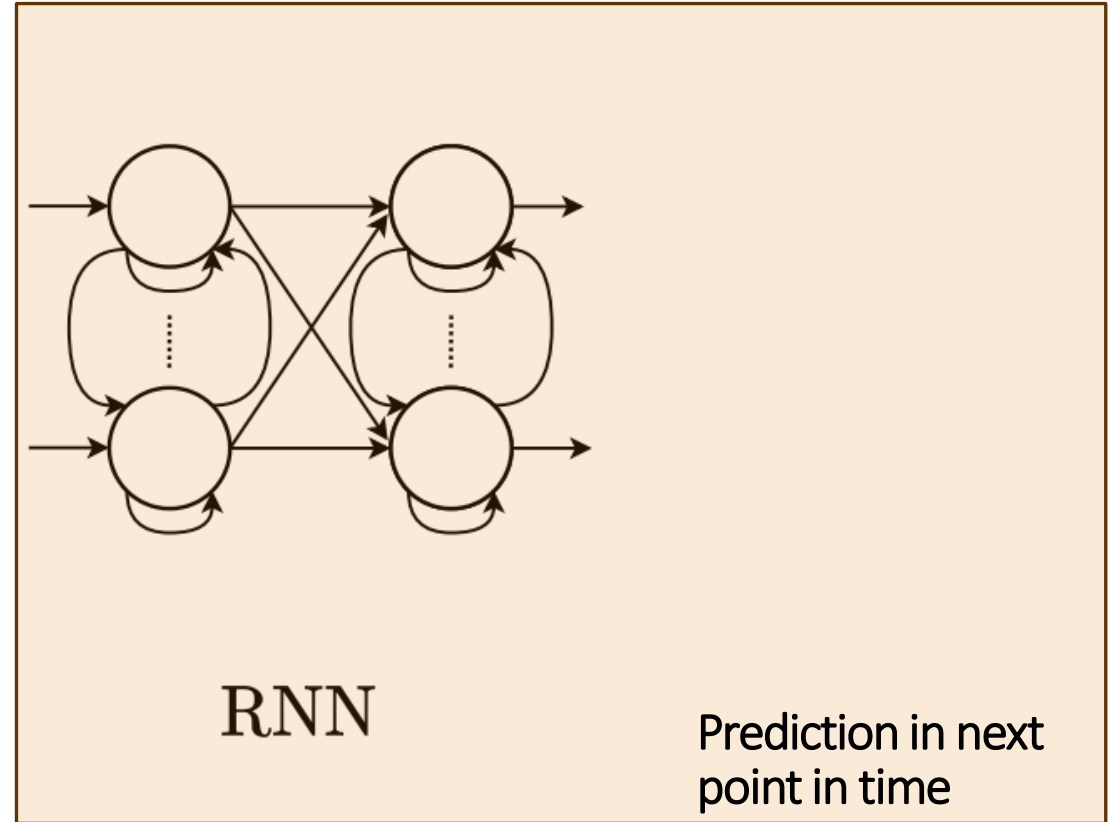
	AHU (4 NNs)	ZONE (1 NN)
Number of parameters	~50 (61, 51, 51, 46)	182
Size of dataset (6:1 training:test)	2290	1565
Individual precision Mean abs error [*C]	~0.25 (0.39, 0.33, 0.09, 0.17)	0.17 (0.25, 0.09)

Modelling: methodology - update

AHU - fast

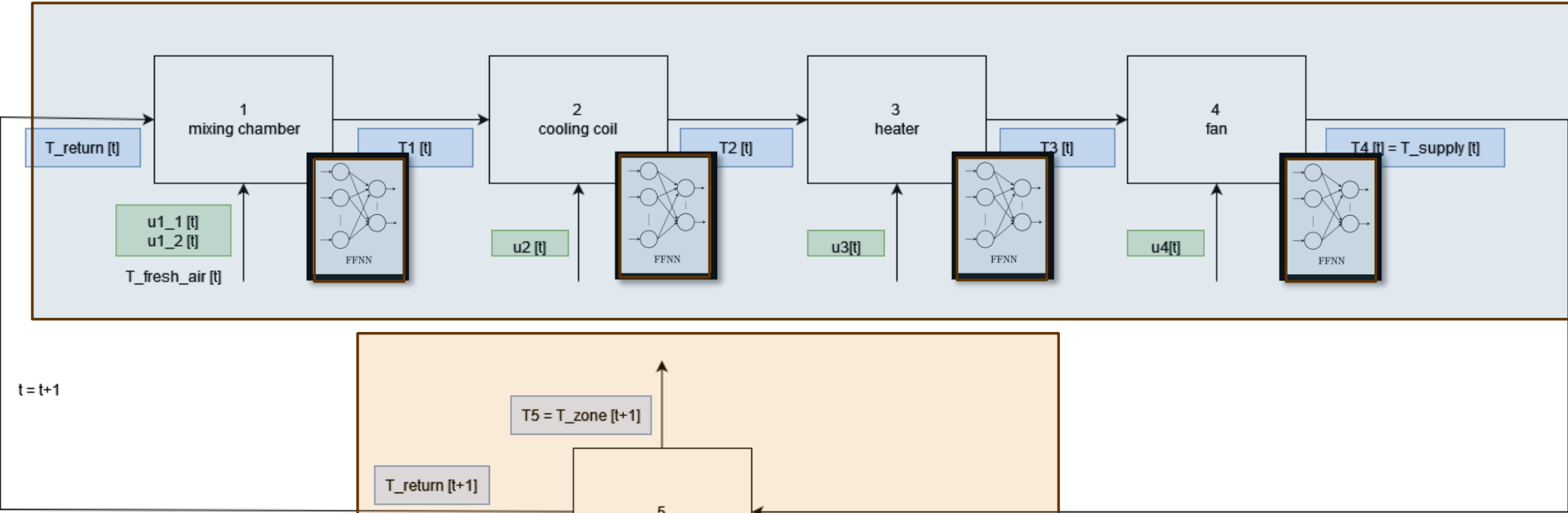


Zone - slow



System diagram

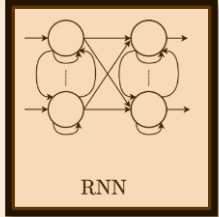
AHU
Steady state



LEGEND

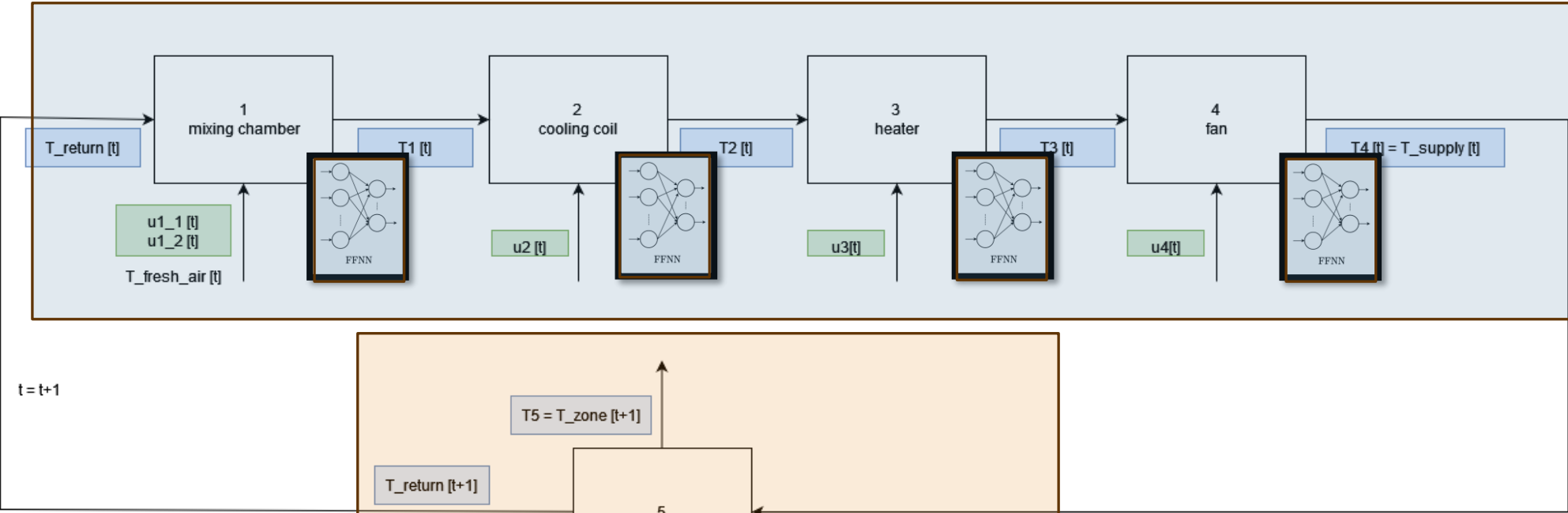
input: controls
input: measurements
temperature values

- LIST OF CONTROLS**
- $u1_1$ damper opening return air
 - $u1_2$ damper opening fresh air
 - $u2$ cooling coil valve opening
 - $u3$ electrical heater
 - $u4$ fan speed
 - $u5$ extraction fan speed



Zone
Prediction in next
point in time

System diagram

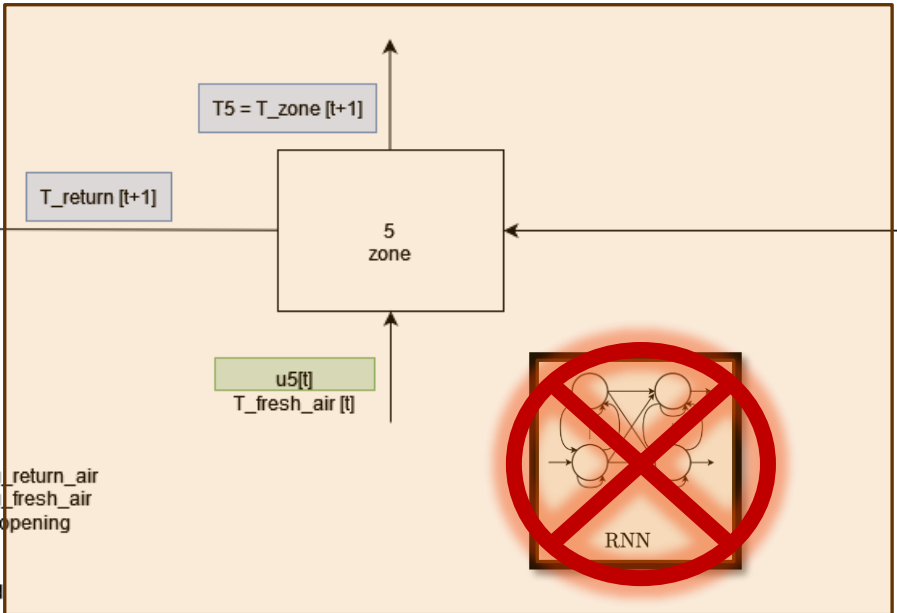


AHU
Steady state

LEGEND

input: controls
input: measurements
temperature values

- LIST OF CONTROLS**
- u1_1 damper_opening_return_air
 - u1_2 damper_opening_fresh_air
 - u2 cooling_coil_valve_opening
 - u3 electrical_heater
 - u4 fan_speed
 - u5 extraction fan speed

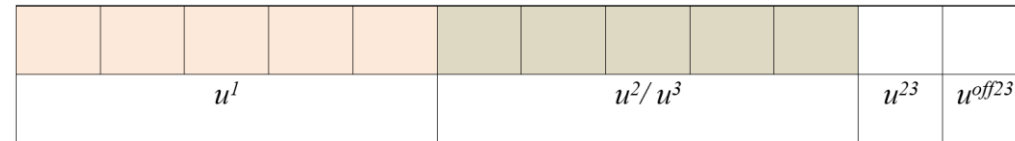


Zone
Prediction in next
point in time

Problems with
RNN initialization

MPC for HVAC: Genetic algorithm: implementation

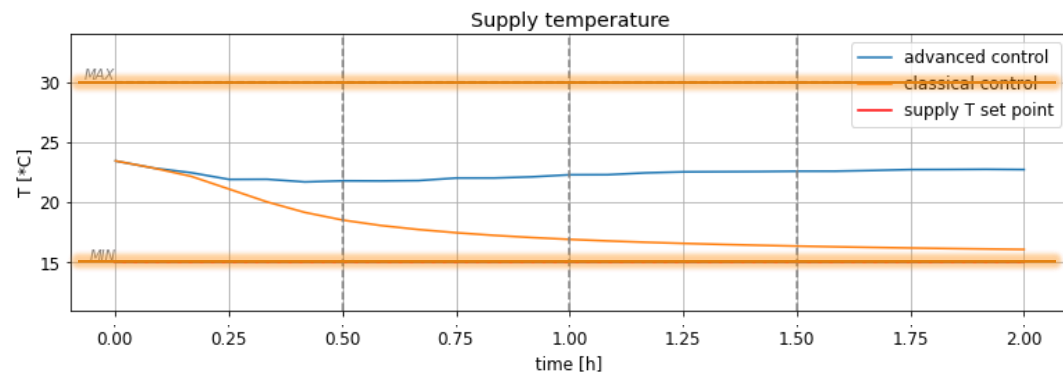
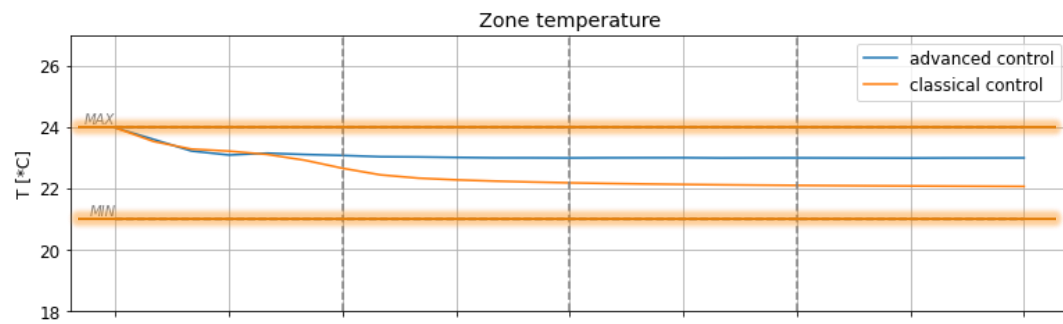
- prediction horizon: 2h ($T_s = 15\text{min} \rightarrow 8$ points)
- optimization variable binary representation for 1 pt in time:



- bits in total: $8 \times 12 = 96$ bits/optimization

- parameters:

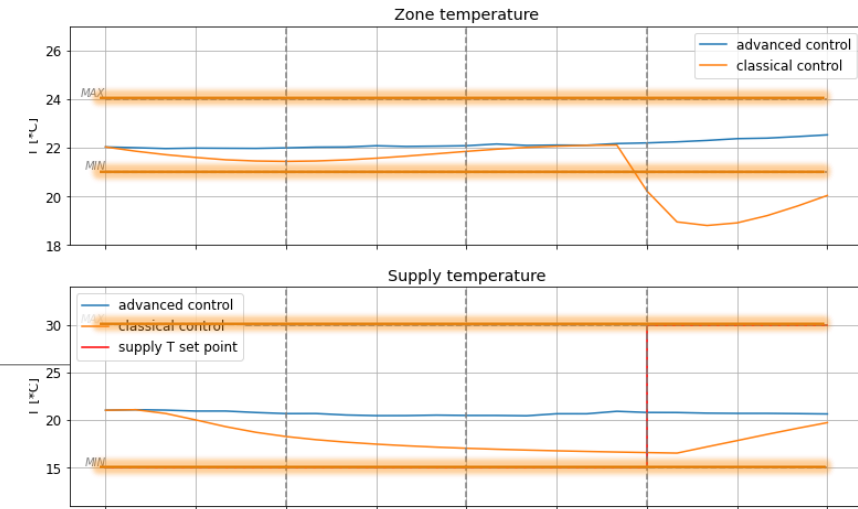
<i>POP_SIZE</i>	60
<i>NUM_GENERATIONS</i>	3
<i>CROSSOVER_PROB</i>	0.5
<i>MUTATION_PROB</i>	0.4
<i>TOURNAMENT_SIZE</i>	3



- temperatures within limits
- advance controls: damper management
- PID: more active components

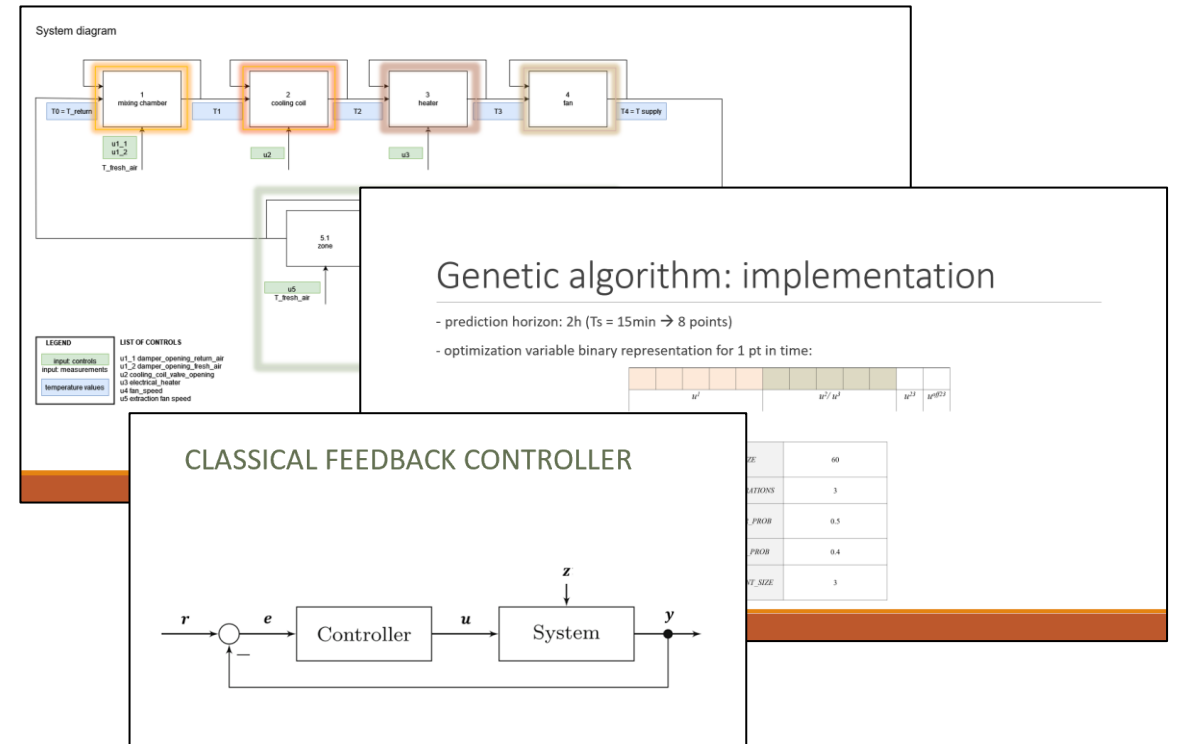
MPC for HVAC Results

- constraints violated
- unusual system response
- unusual PID response



Conclusion

- promising results, but too optimistic (savings 77% comparing to 20% in literature)
- improvements:
 - o improving model:
 - o dataset, architecture, training
 - o improving optimization algorithm:
 - o faster execution → faster test comparison
 - o tuning PID for fair comparison



Optimization

$$J = \sum_{t \text{ in prediction horizon}} J[t]$$

- optimization function: estimation of **electricity consumption** for the prediction horizon + **penalty**

$$J[t] = \sum_{k=1}^{Nc} J^k(u^k[t], x^k[t]) // J_{e_max} + \text{penalty}$$

- optimization variables:

u^1 (fresh air damper opening),
 u^2 (cooling coil valve),
 u^3 (heater command)

- constraints:

- control constraints
- variable constraints

$$0 < u^k[t] < 100, k \text{ in } \{1,2,3\}, \forall t$$

embedded in variable coding

$$u^2[t] * u^3[t] = 0, \forall t.$$

$$T_{SUPPLY_MIN} = 15^\circ\text{C} < T_{SUPPLY} < T_{SUPPLY_MAX} = 30^\circ\text{C},$$

penalty when violated

$$T_{ZONE_MIN} = 21^\circ\text{C} < T_{ZONE} < T_{ZONE_MAX} = 24^\circ\text{C}.$$

- **genetic algorithm**
binary

optimization technique based on evolutionary principles to find solutions to complex problems