

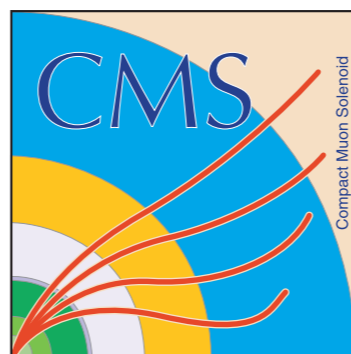
XX International Workshop on  
Deep-Inelastic Scattering and  
Related Subjects

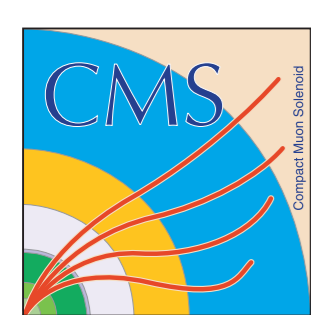
26-30 March 2012, University of Bonn



# Probing Hard Diffraction at CMS

A.Vilela Pereira, on behalf of the CMS collaboration  
INFN Torino





# Outline

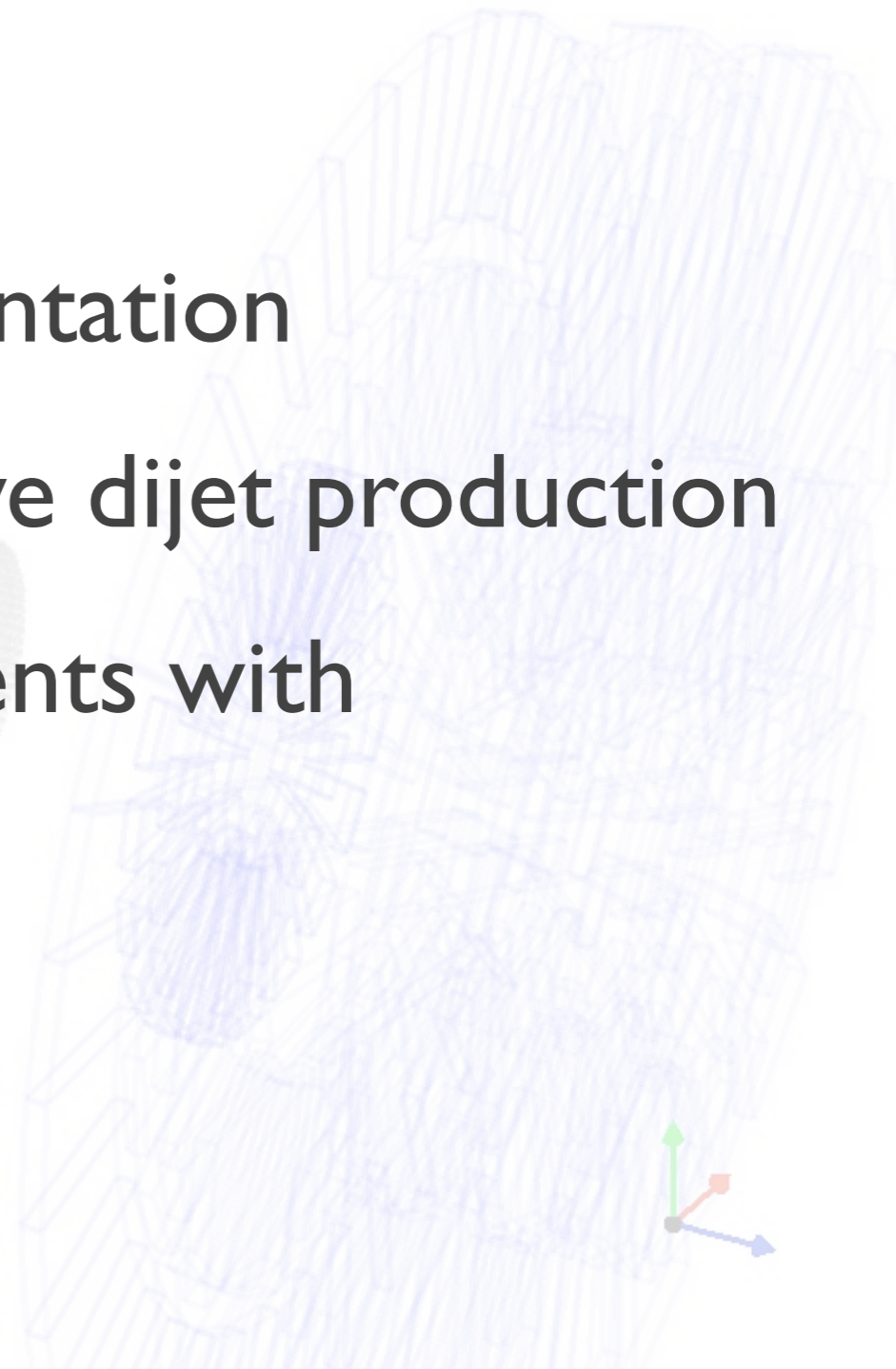
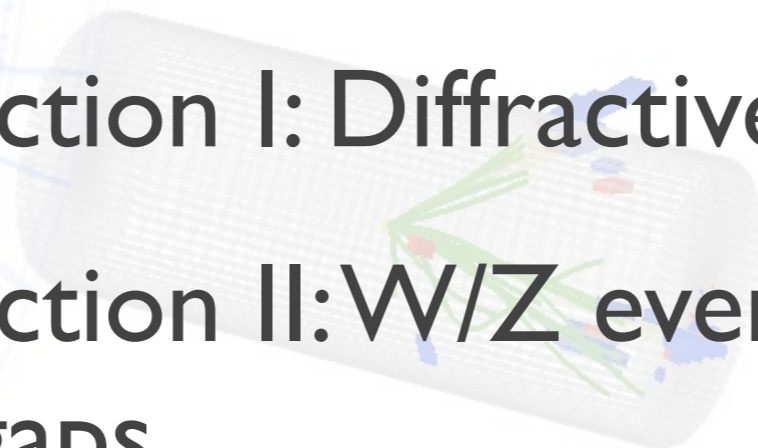


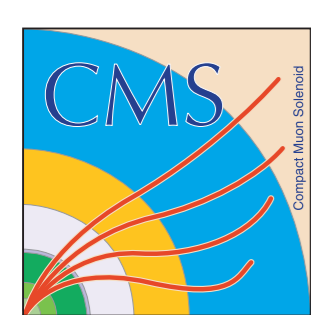
CMS Experiment at LHC, CERN  
Data recorded: Sat Apr 24 05:25:36 2010 CEST  
Run/Event: 133874 / 22902855  
Lumi section: 317

**CMS detector & forward instrumentation**

**Probing hard diffraction I: Diffractive dijet production**

**Probing hard diffraction II:  $W/Z$  events with (pseudo-)rapidity gaps**

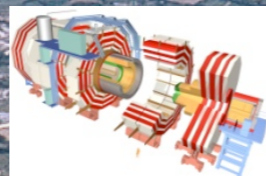




# The CMS detector

Large Hadron Collider  
27 km circumference

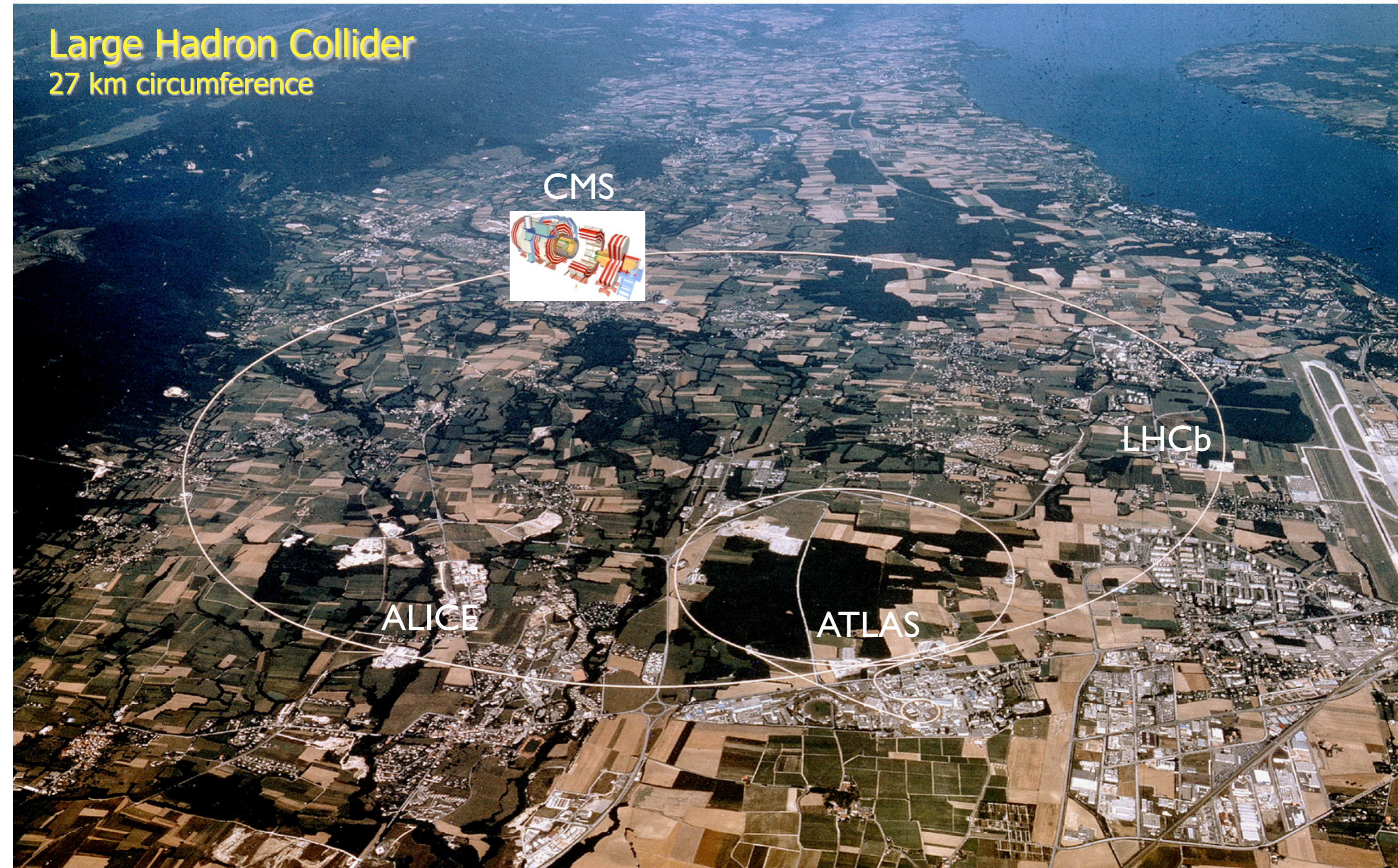
CMS

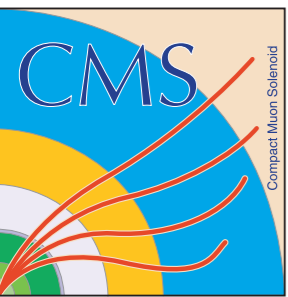


LHCb

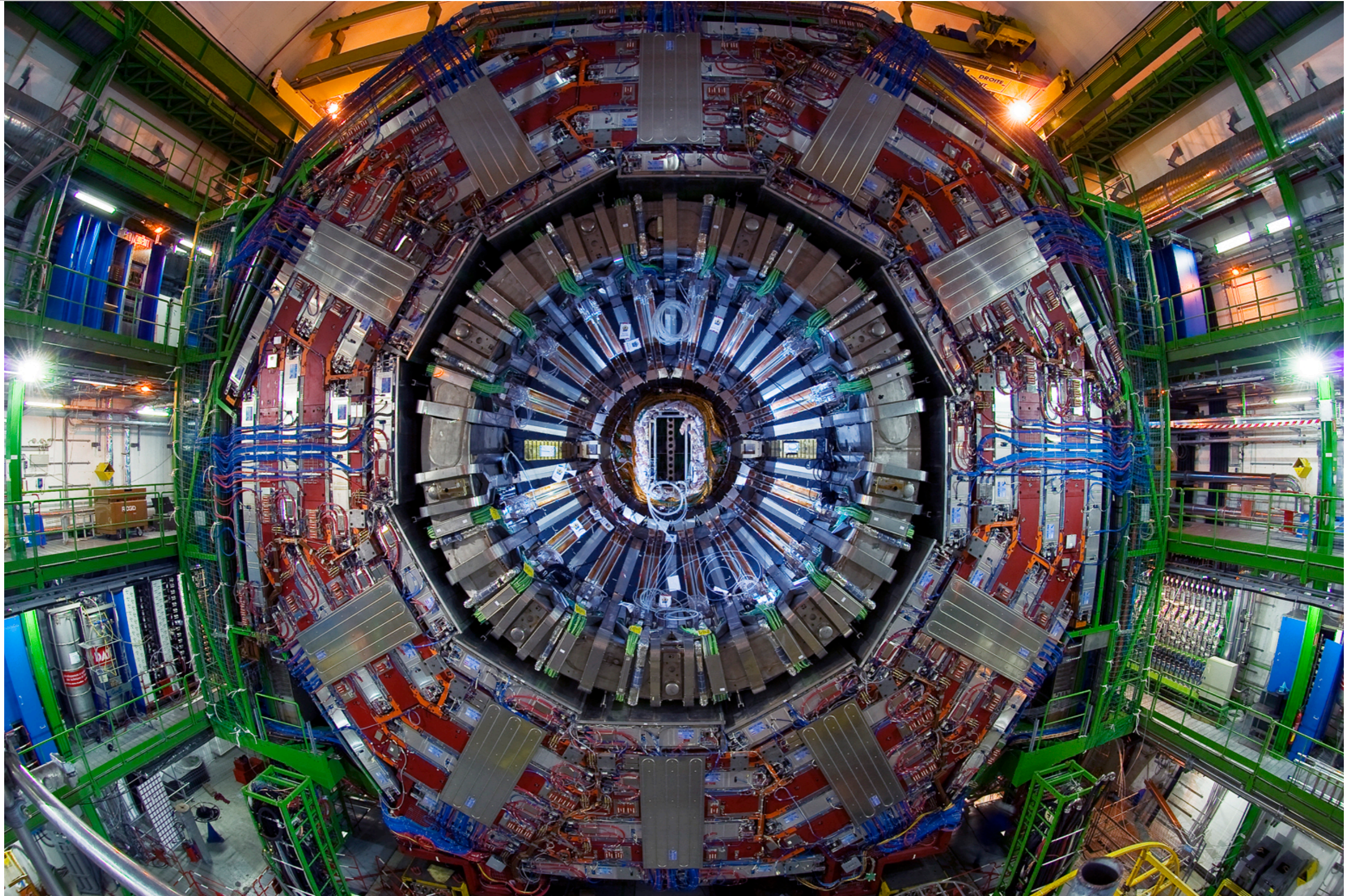
ALICE

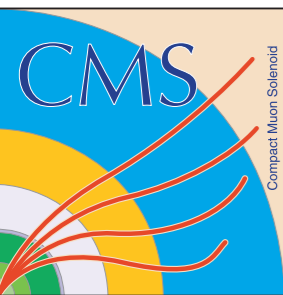
ATLAS





# The CMS detector

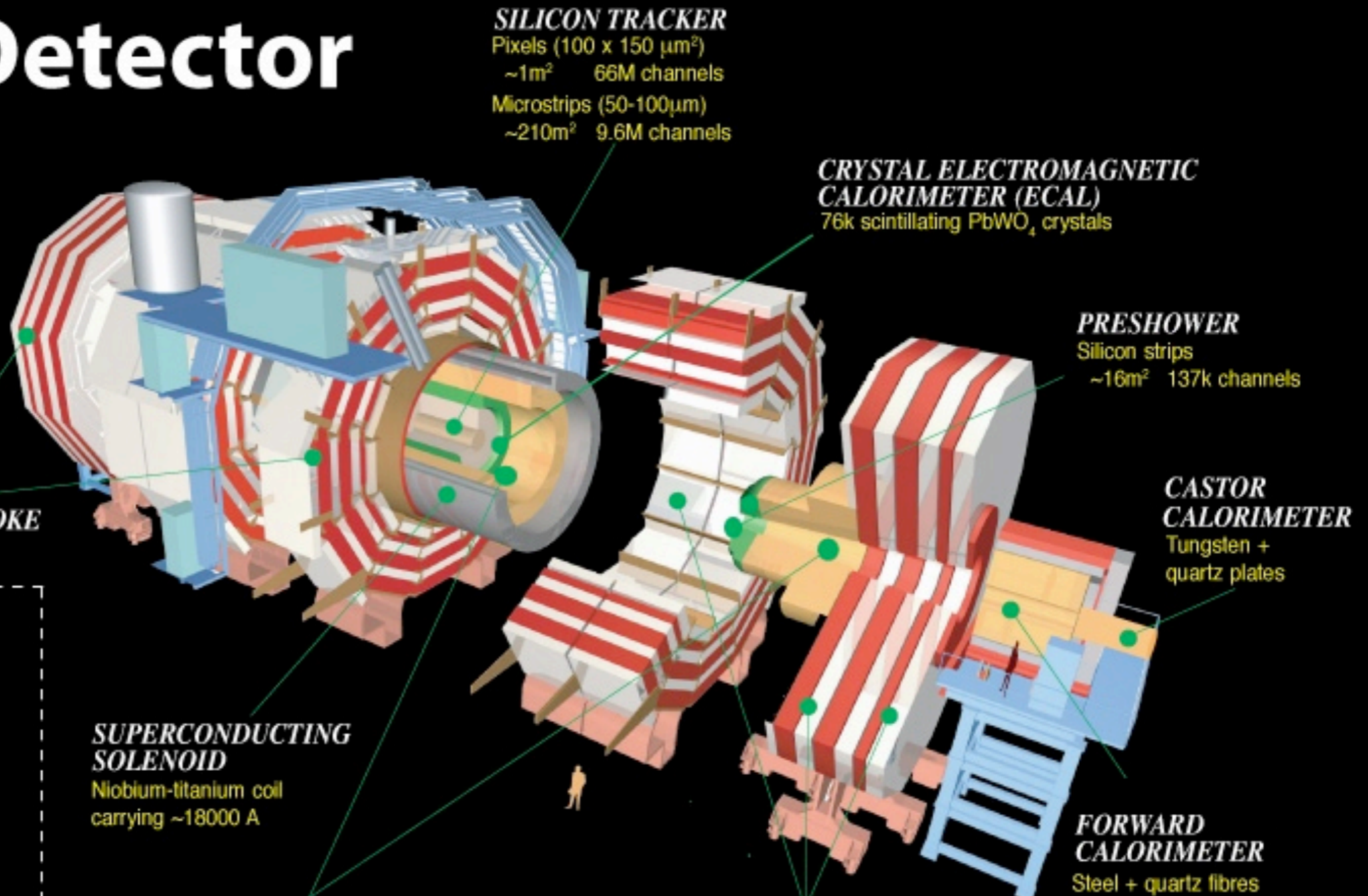




# The CMS detector

## CMS Detector

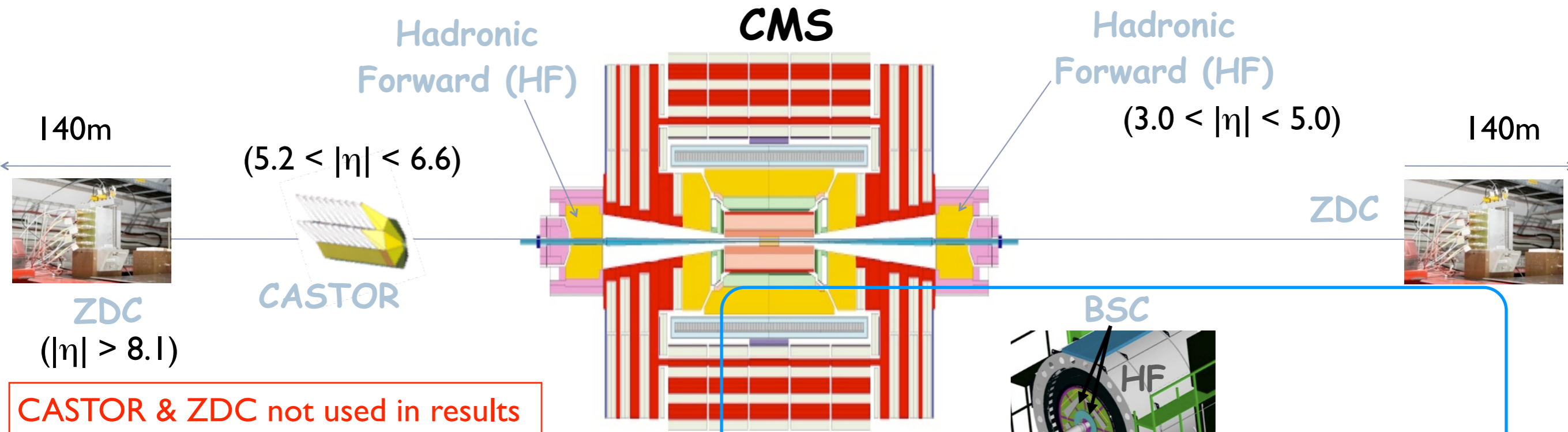
Pixels  
 Tracker  
 ECAL  
 HCAL  
 Solenoid  
 Steel Yoke  
 Muons



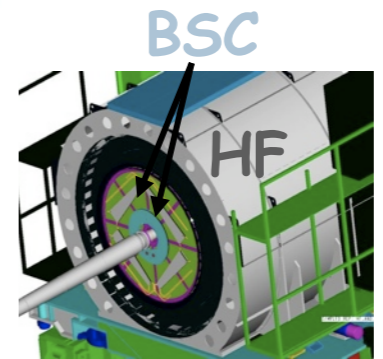
Total weight : 14000 tonnes  
 Overall diameter : 15.0 m  
 Overall length : 28.7 m  
 Magnetic field : 3.8 T



# Forward detectors @ CMS



CASTOR & ZDC not used in results presented in this talk



## CMS Detector

- PIXELS
- Tracker
- ECAL
- HCAL
- Solenoid
- Steel Yoke
- Muons

**SILICON TRACKER**  
 Pixels (100 x 150 μm<sup>2</sup>)  
 ~1m<sup>2</sup> 66M channels  
 Microstrips (50-100μm)  
 ~210m<sup>2</sup> 9.6M channels

**CRYSTAL ELECTROMAGNETIC CALORIMETER (ECAL)**  
 76k scintillating PbWO<sub>4</sub> crystals

**PRESHOWER**  
 Silicon strips  
 ~16m<sup>2</sup> 137k channels

**CASTOR CALORIMETER**  
 Tungsten + quartz plates

**FORWARD CALORIMETER**  
 Steel + quartz fibres

**MUON CHAMBERS**  
 Barrel: 250 Drift Tube & 500 Resistive Plate Chambers  
 Endcaps: 450 Cathode Strip & 400 Resistive Plate Chambers

**HADRON CALORIMETER (HCAL)**  
 Brass + plastic scintillator

**SUPERCONDUCTING SOLENOID**  
 Niobium-titanium coil carrying ~18000 A

**STEEL RETURN YOKE**  
 ~13000 tonnes

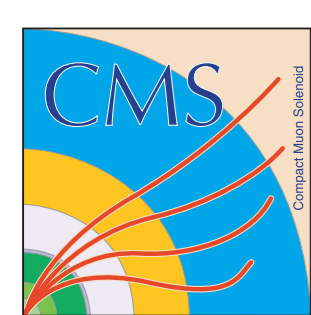
**ZERO-DEGREE CALORIMETER**

**Total weight** : 14000 tonnes  
**Overall diameter** : 15.0 m  
**Overall length** : 28.7 m  
**Magnetic field** : 3.8 T



Hadron Forward:  
 @ 11.2m from interaction point  
 Rapidity coverage:  $3 < |\eta| < 5$   
 Steel absorbers/quartz fibers (Long+short fibers)  
 0.175x0.175 η/φ segmentation

Acceptance limited to  $|\eta| < 4.9$  at analysis level



# Outline

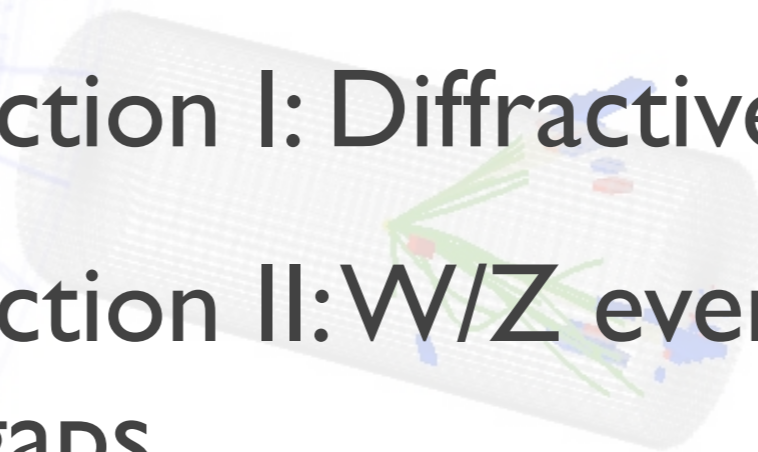


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CMS detector & forward instrumentation

Probing hard diffraction I: Diffractive dijet production

Probing hard diffraction II:  $W/Z$  events with  
(pseudo-)rapidity gaps



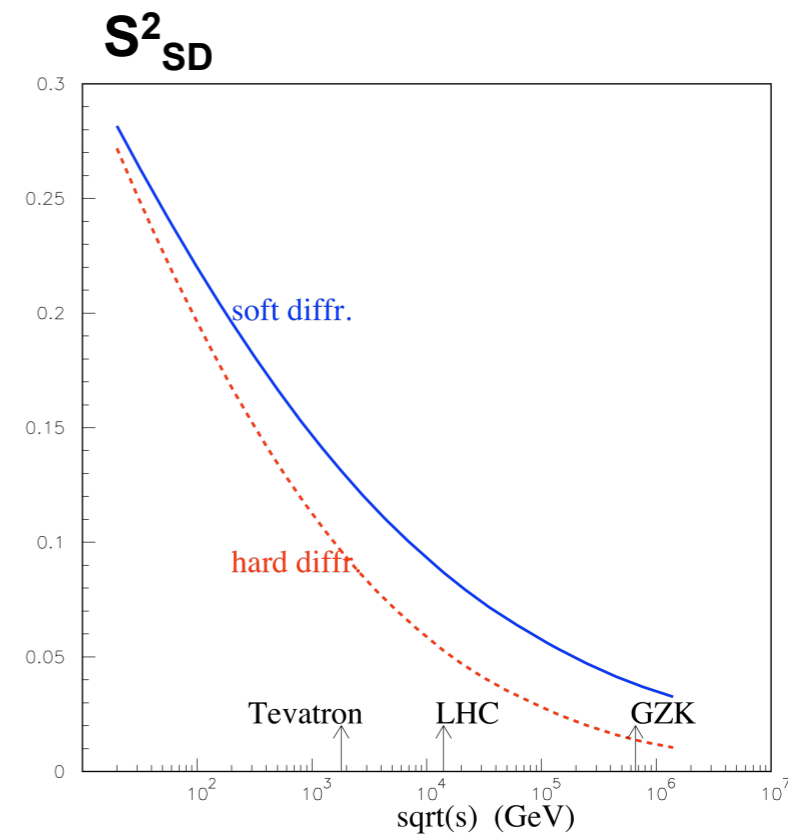
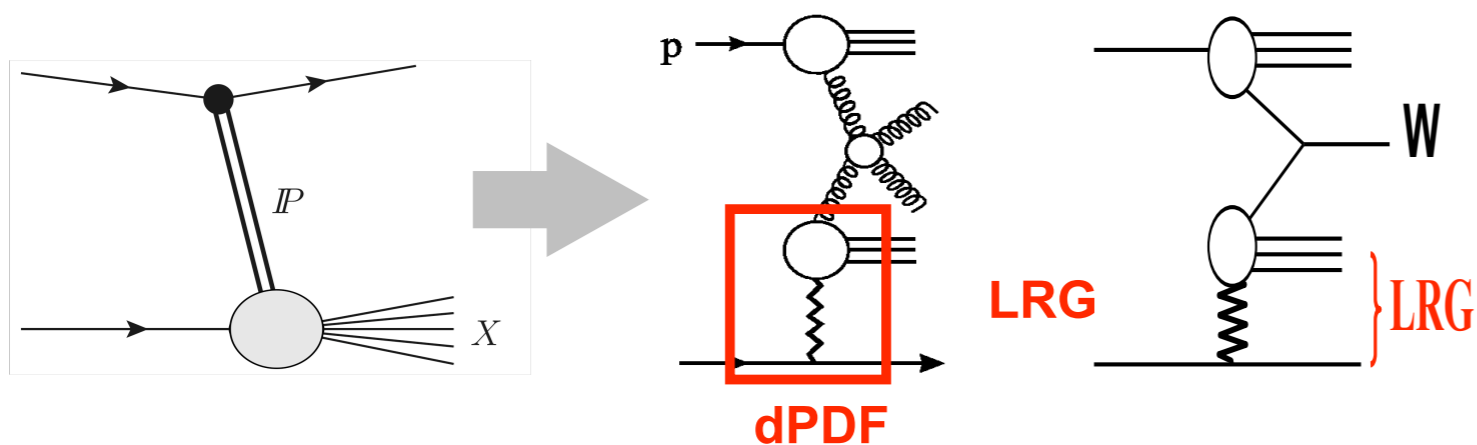


# Probing hard diffraction

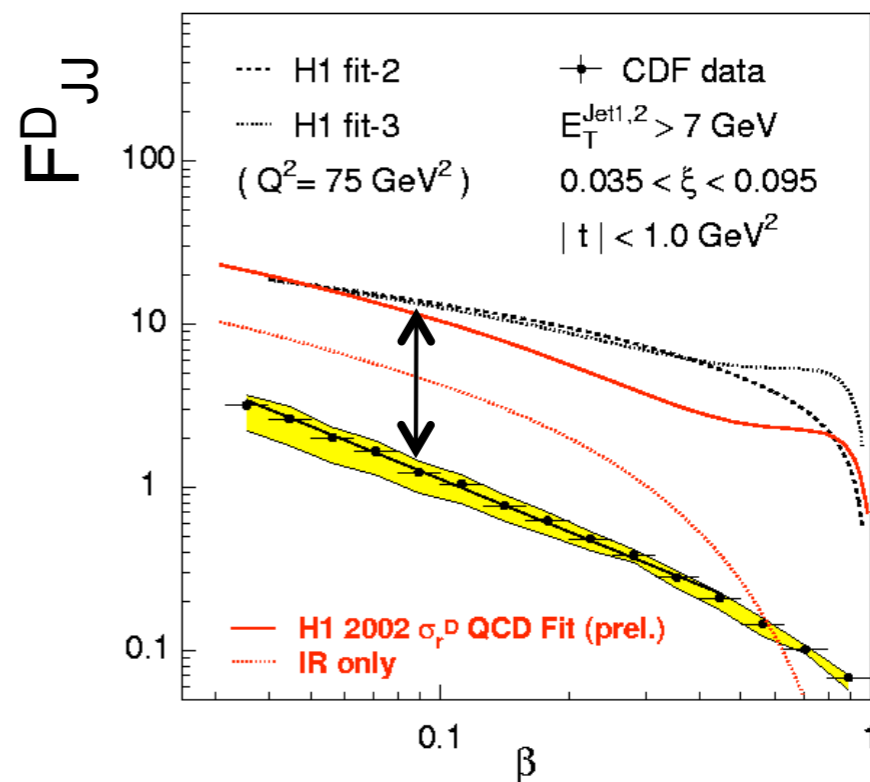
Diffractive events where a hard scale is present: high- $p_T$  jets,  $W/Z$ 's, ...

Extension of HERA/Tevatron studies on diffractive PDF's (dPDF), rapidity gap survival probability ( $\langle S^2 \rangle$ ) & exclusive processes

Set the framework for future searches with proton tagging at high(er) luminosity

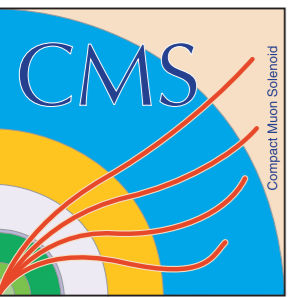


V.A.Khoze et al,  
Phys. Lett. B 643 (2006)



CDF Collaboration,  
Phys. Rev. Lett. 84, 5043 (2000)

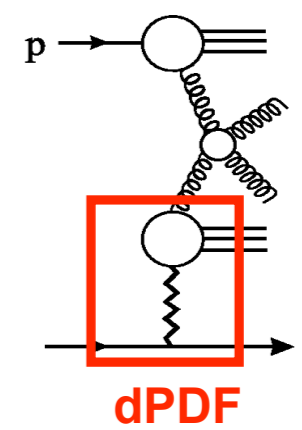
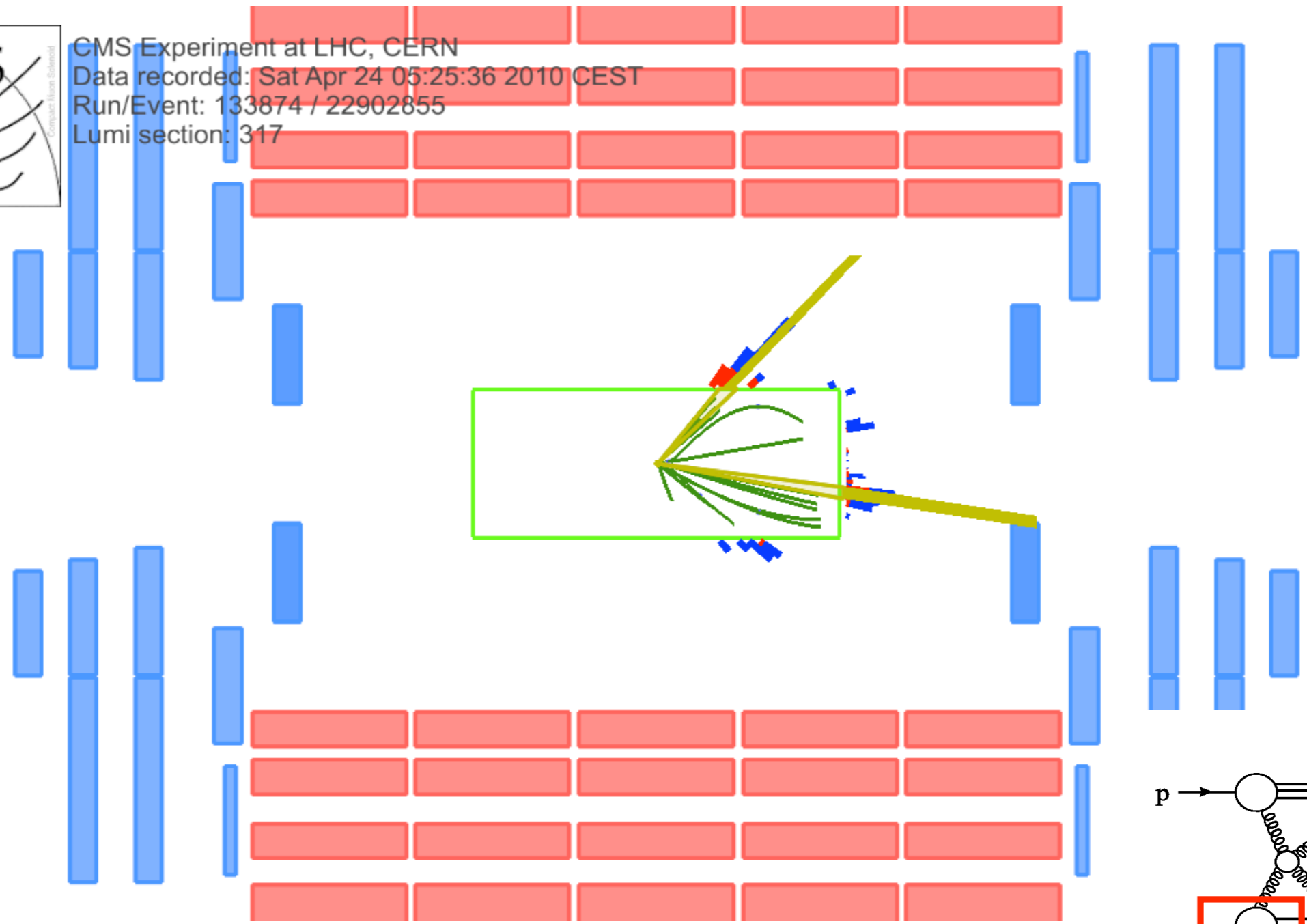




# Diffractive dijet candidate



CMS Experiment at LHC, CERN  
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LRG

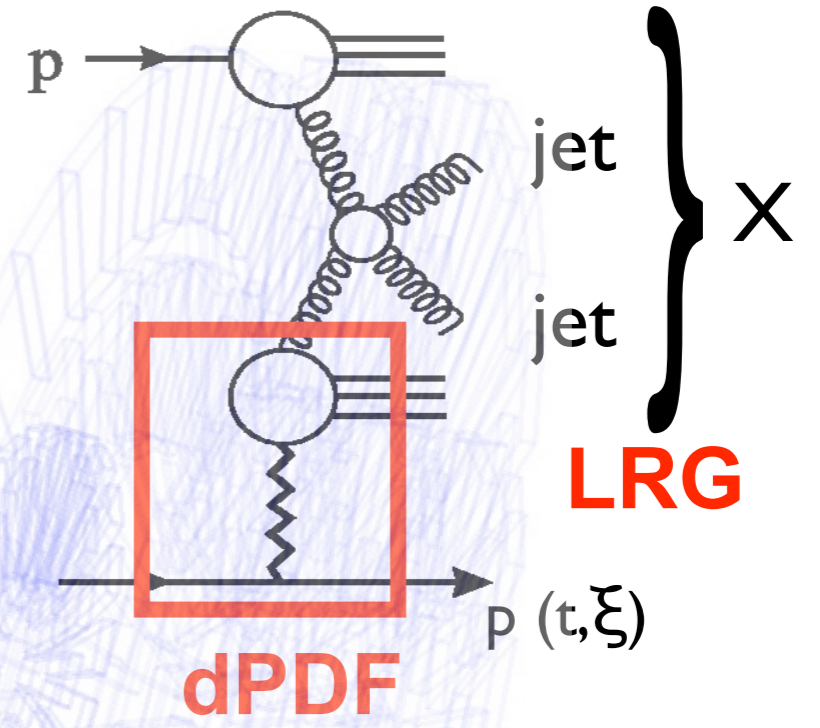
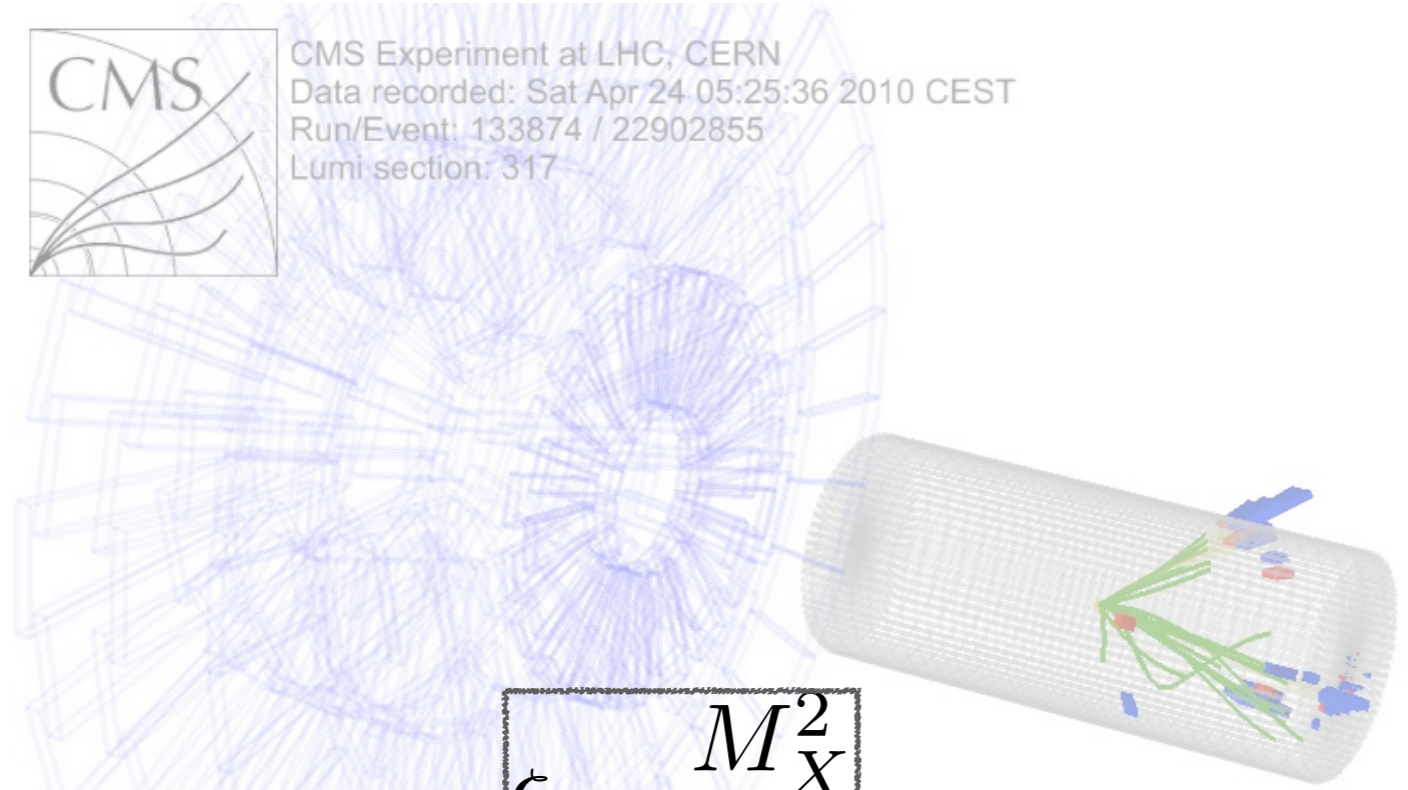
dPDF



# Kinematics & cross section



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$$\xi = \frac{M_X^2}{s}$$

diffractive pdf:  
 “pomeron” flux  $\otimes$  pdf

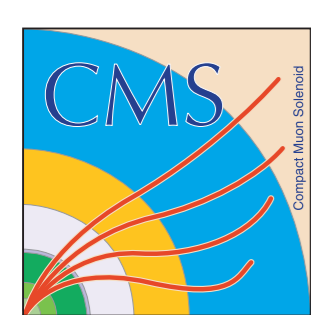
partonic cross section

$$\frac{d^2\sigma}{d\xi dt} = \sum \int dx_1 dx_2 \boxed{f(\xi, t)} \boxed{f_{IP}(x_1, \mu)} \boxed{f_p(x_2, \mu)} \boxed{\hat{\sigma}}$$

proton pdf

$$f(\xi, t) = \frac{e^{Bt}}{\xi^{2\alpha_{IP}(t)-1}}$$

Implemented in “hard-diffractive” MC’s:  
 POMPYT, POMWIG, PYTHIA8, etc.



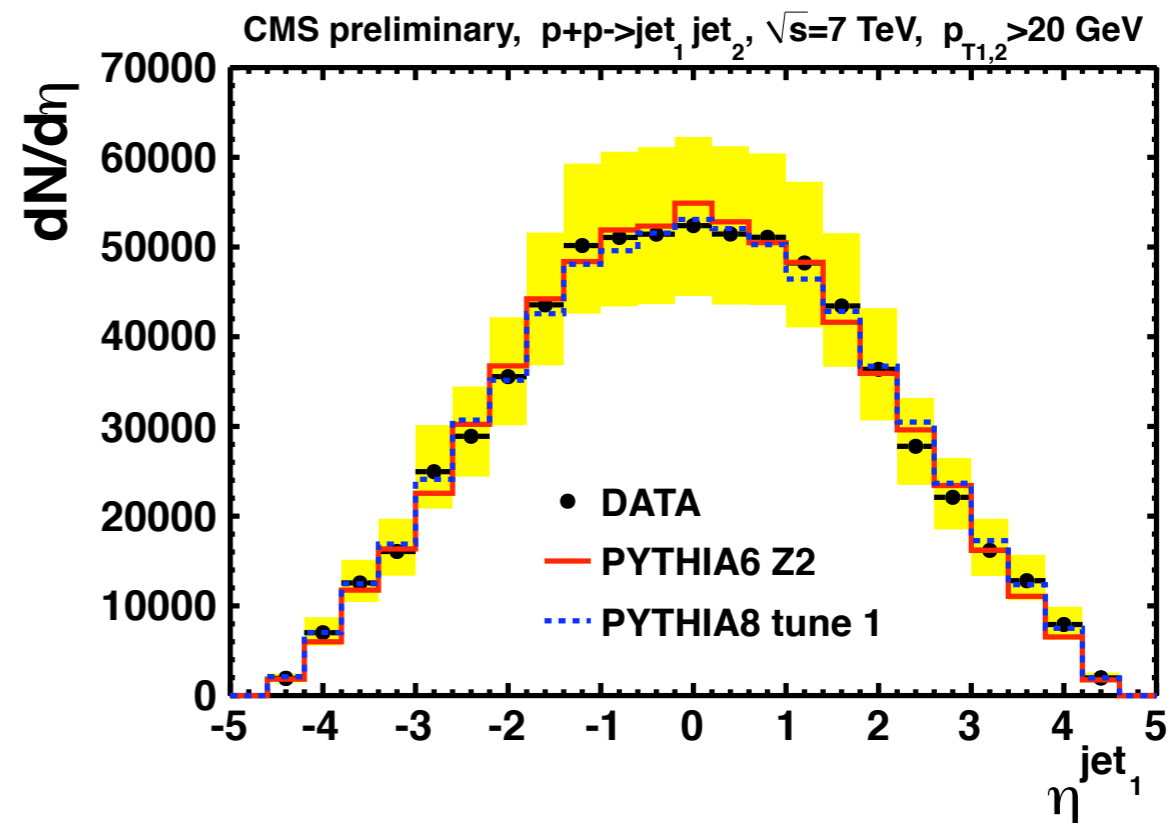
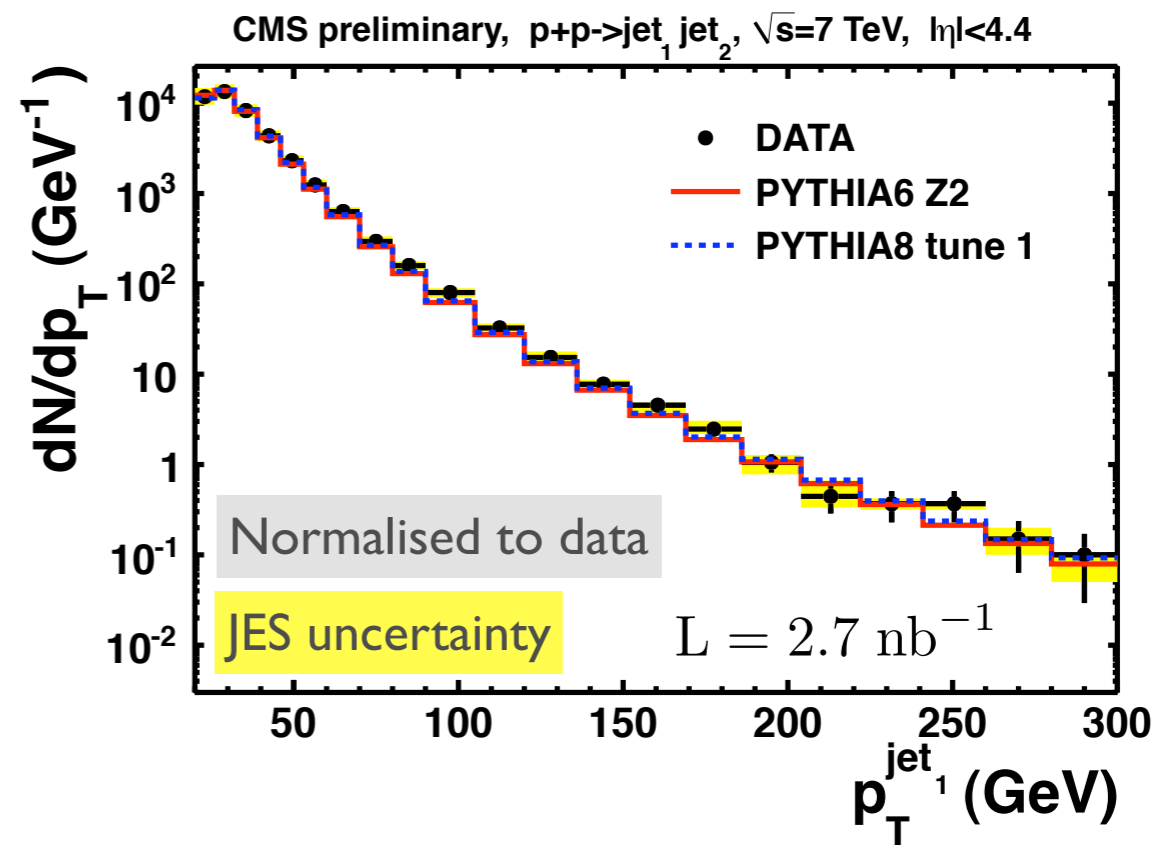
# Event selection

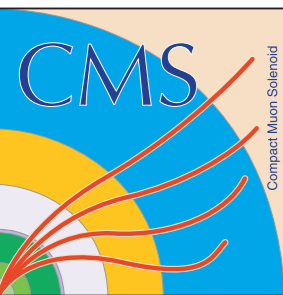
Low- $p_T$  trigger at 6 GeV (uncorrected)

High quality vertex + beam background and noise rejection

At least two jets with  $p_T > 20$  GeV and within  $-4.4 < \eta < 4.4$

$\eta_{\max(\min)}$ : most forward (backward) particle in the detector





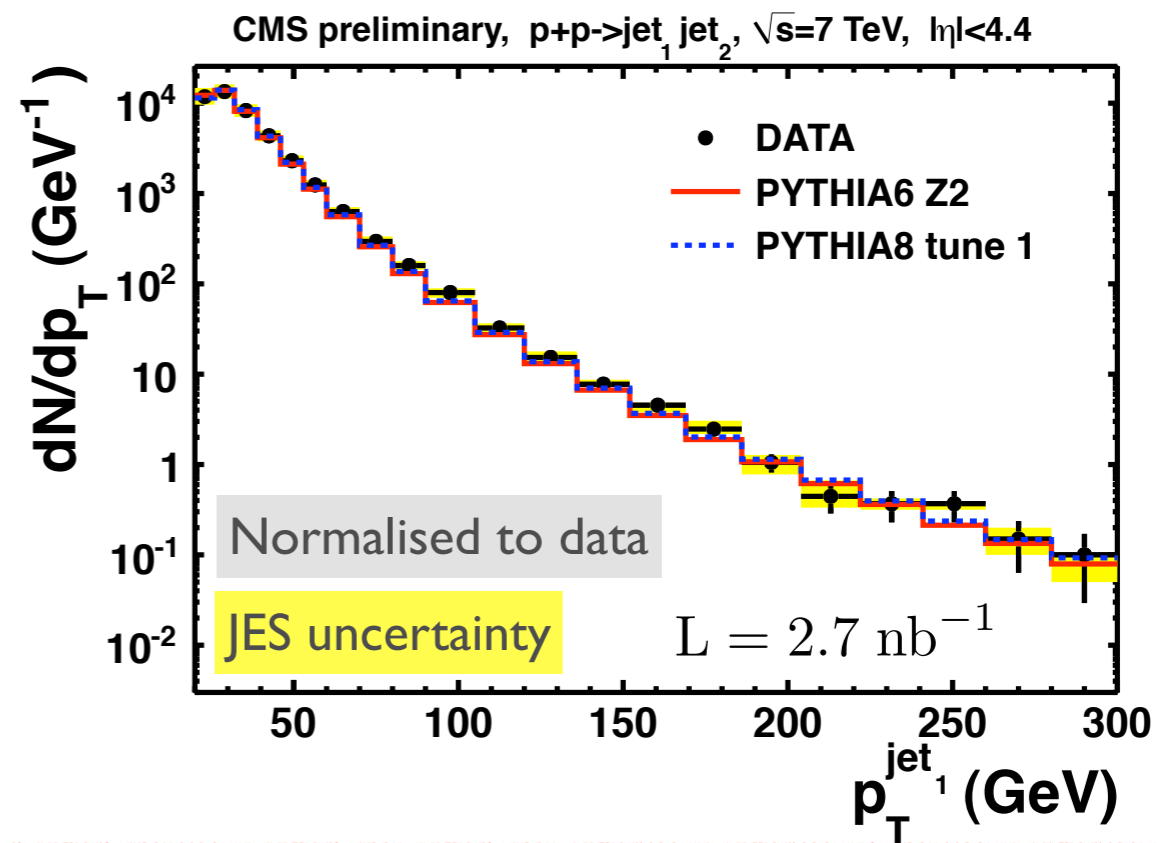
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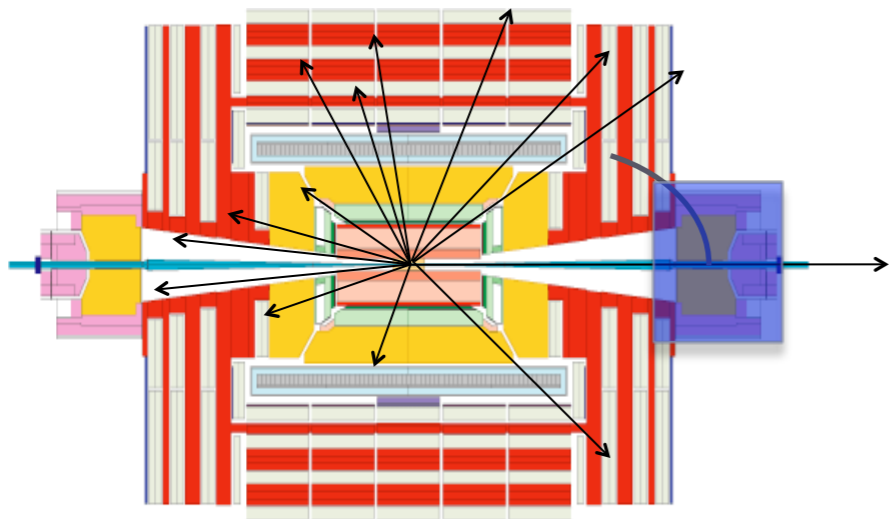
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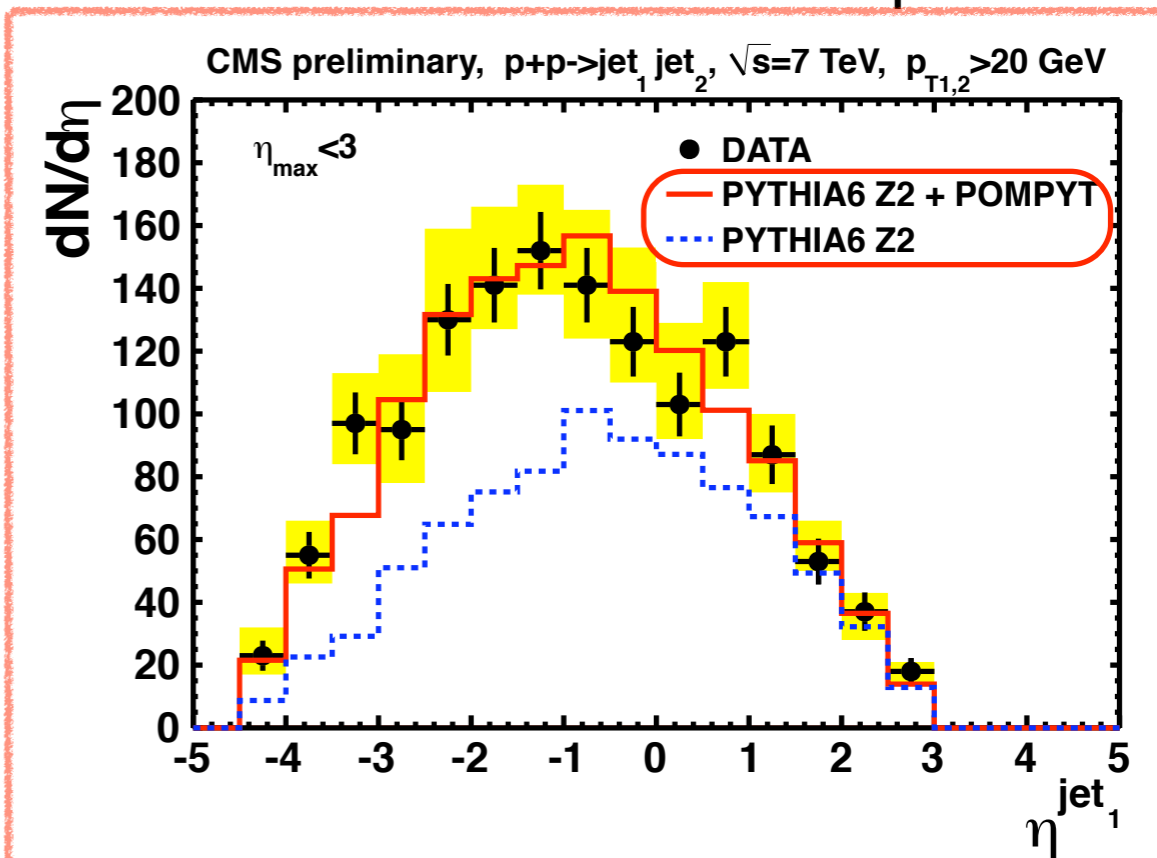


CMS PAS FWD-10-004

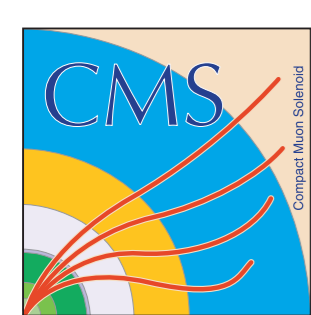
Forward  $\eta$ -gap selection



Selection comprising  $\Delta\eta \sim 1.9$  in forward calorimeter (HF) acceptance



Normalised to fit of PYTHIA6 + POMPYT



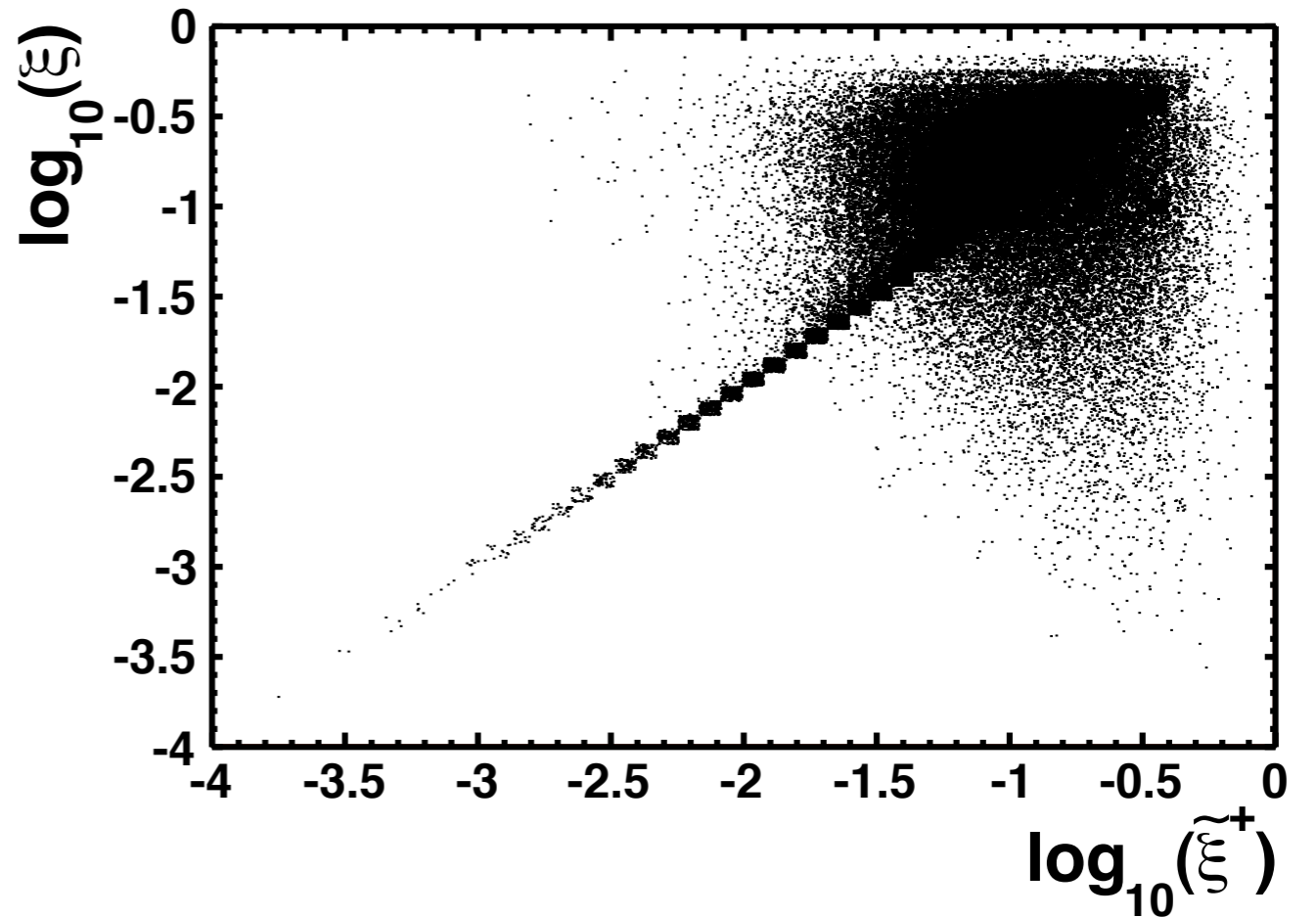
# $\xi$ definition

Sum over all final state particles with  $\eta < 4.9$  ( $\xi^+$ ) or  $\eta > -4.9$  ( $\xi^-$ ):

$$\tilde{\xi}^+ = \frac{\sum (E + p_z)}{\sqrt{s}} \approx \frac{M_X^2}{s}$$

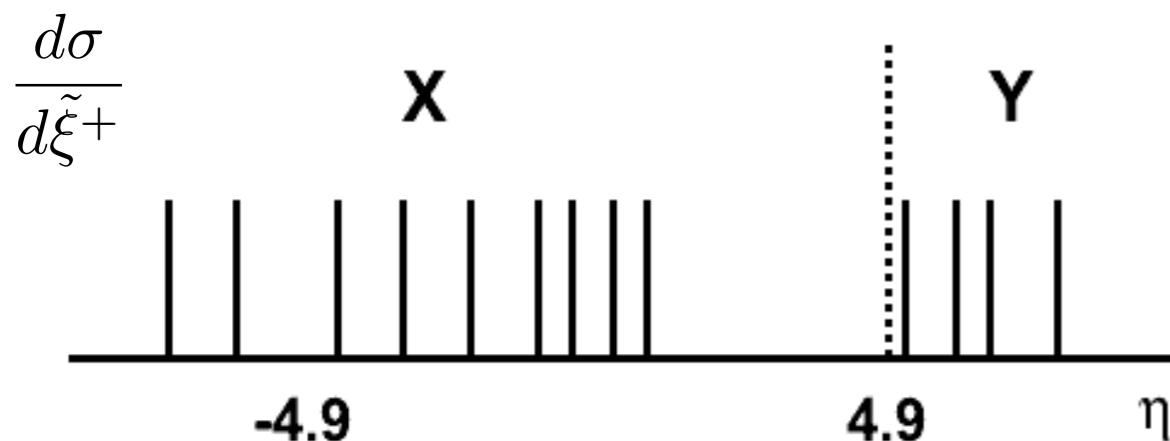
$$\tilde{\xi}^- = \frac{\sum (E - p_z)}{\sqrt{s}} \approx \frac{M_X^2}{s}$$

Definition converges to “true”  $\xi$  ( $M_X^2/s$ ) for SD events in low- $\xi$  region



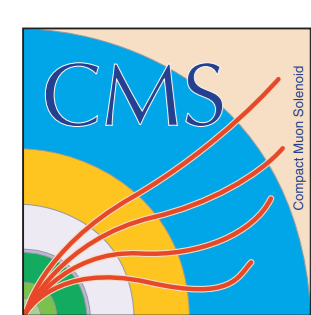
System X defined in acceptance region of CMS

System Y is undetected ( $M_Y$  mostly below  $\sim 12$  GeV)



At reconstruction level,  $\xi$  is defined from all particles (using a particle-flow algorithm) above threshold, and a scale correction factor (resolution  $\sim 25\%$ ):

$$\tilde{\xi}^\pm = C \frac{\sum (E \pm p_z)}{\sqrt{s}}$$



# Event distributions

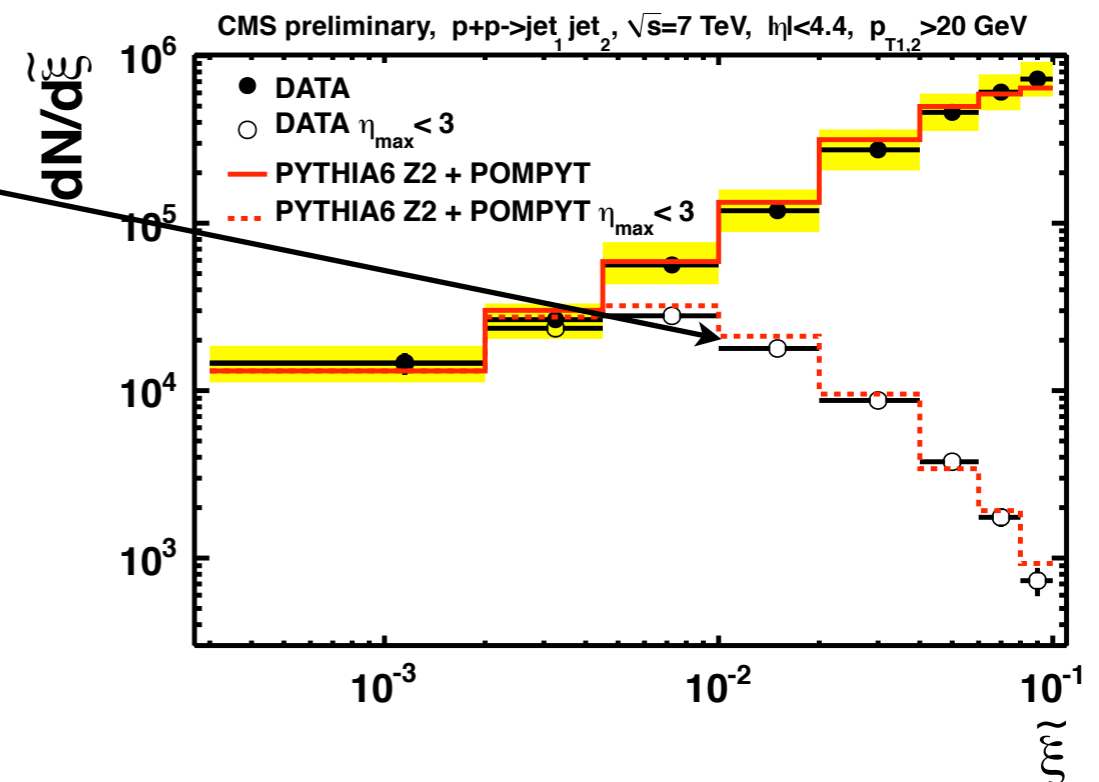
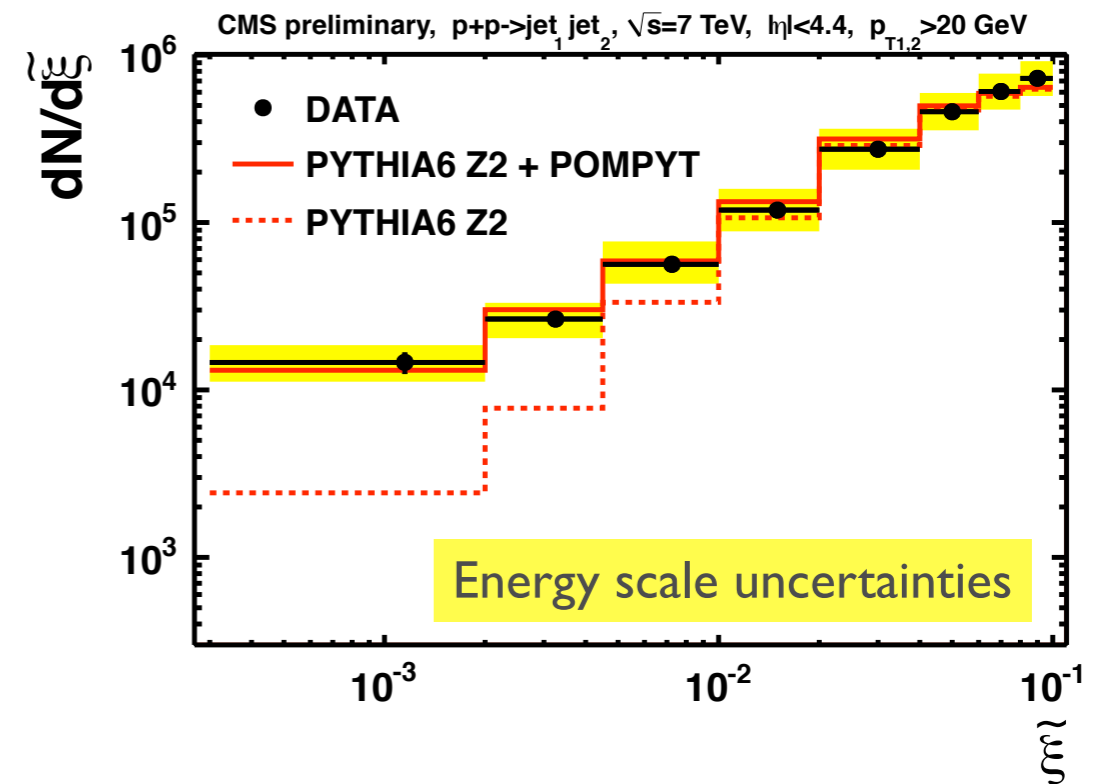
Distributions are obtained as a function of  $\xi^+$  and  $\xi^-$ , and averaged

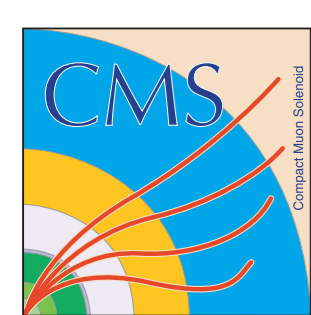
A combination of PYTHIA6 (Tune Z2) and POMPYT is used to describe the data, where their relative contributions are obtained from a fit to the  $\xi$  distribution

Note that different MC tunes would imply considerable variations in relative yields

Suppression of events with high  $\xi$  values after  $\eta_{\max} < 3$  (or  $\eta_{\min} > -3$ ) selection, while low- $\xi$  region is mostly unaffected

Results in three  $\xi$  bins: (0.0003,0.002); (0.002,0.0045); (0.0045,0.01)





# Event distributions

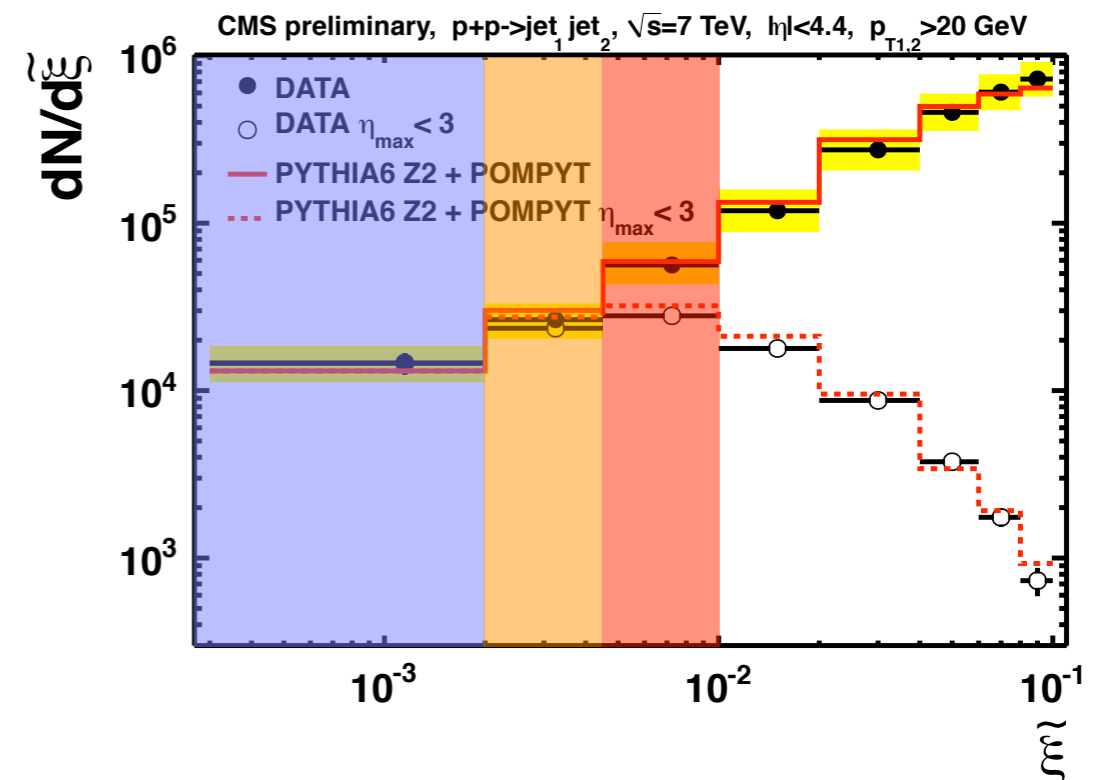
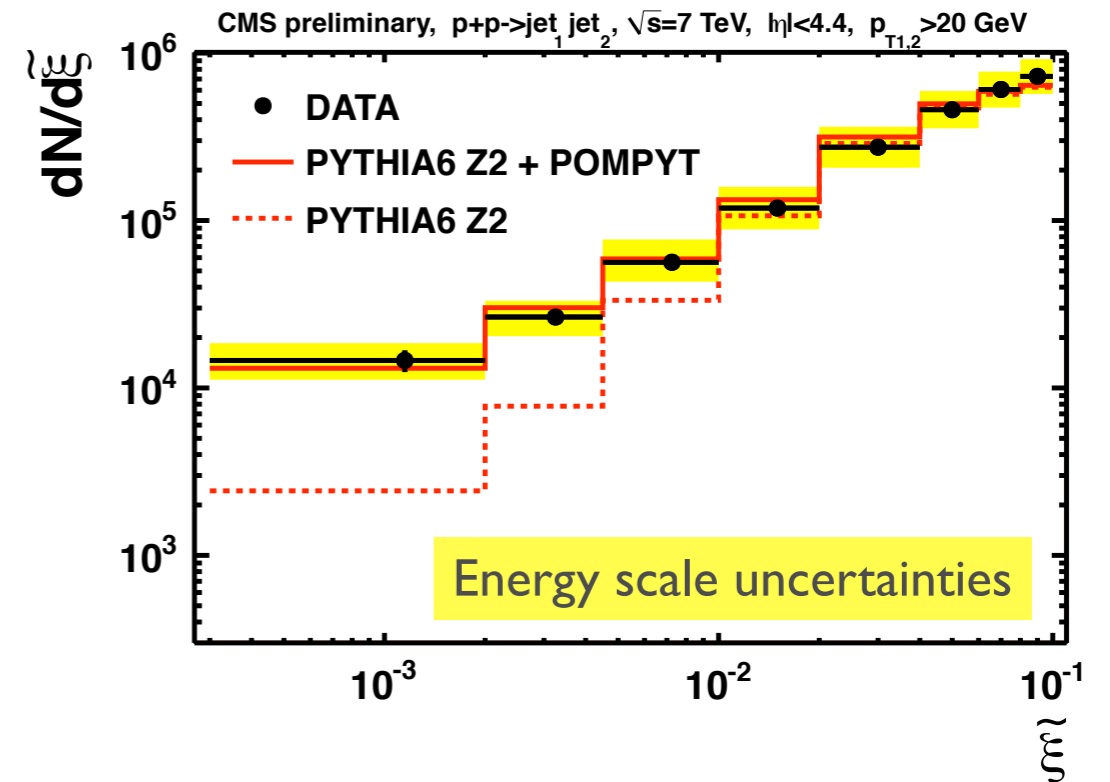
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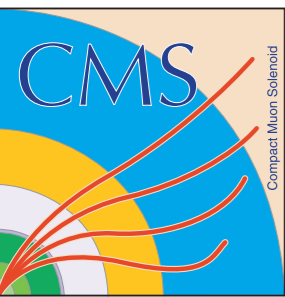
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# Dijet cross sections

$$\frac{d\sigma_{jj}}{d\tilde{\xi}} = \frac{N_{jj}^i}{L \cdot \epsilon \cdot A^i \cdot \Delta\xi^i}$$

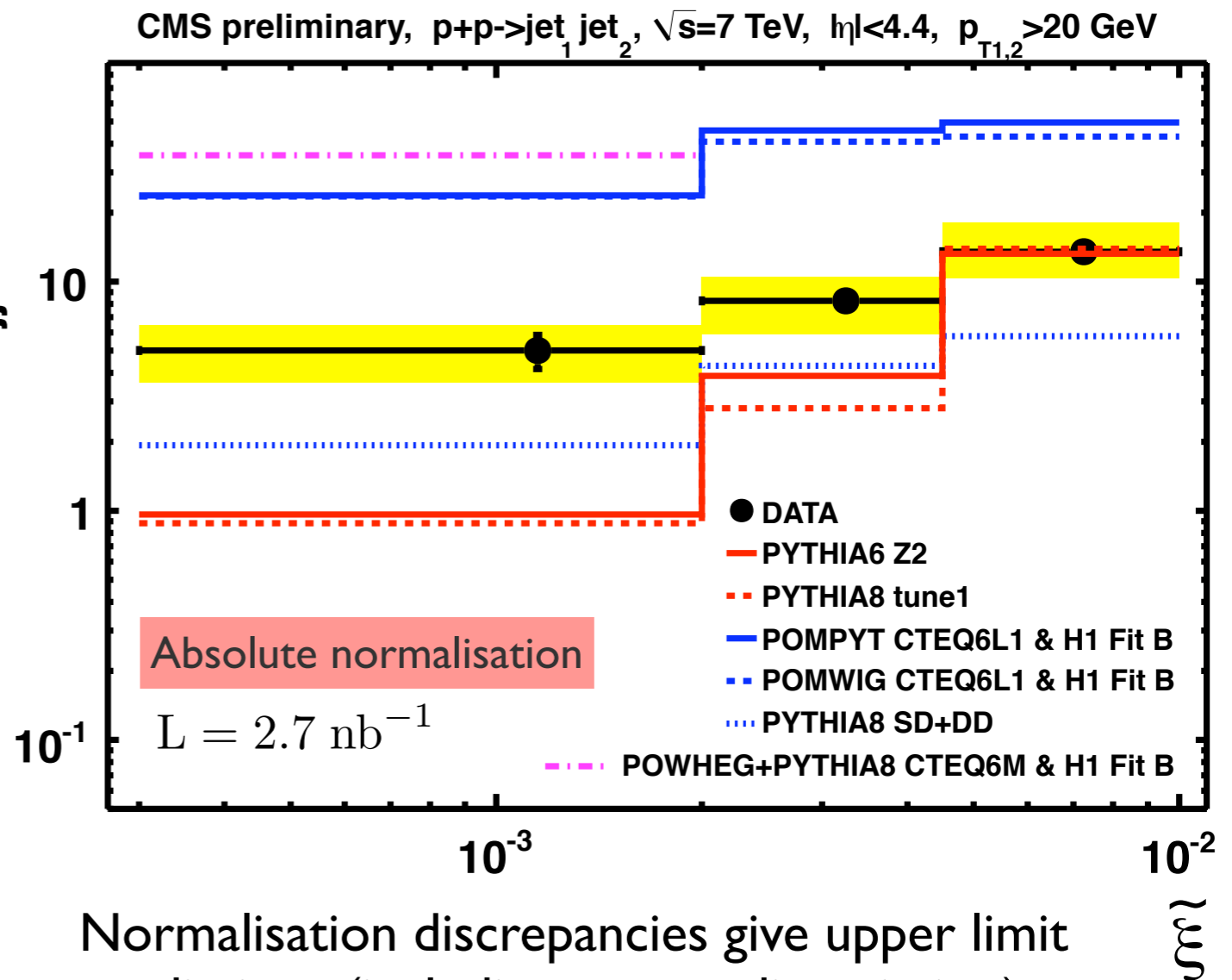
$$A_{MC}^i = \frac{N^i(\tilde{\xi}_{Rec})}{N^i(\tilde{\xi}_{Gen})}$$

Excess of events in low- $\xi$  region with respect to non-diffractive MC's PYTHIA6 and PYTHIA8

POMPYT and POMWIG (LO) diffractive MC's as well as the NLO calculation from POWHEG, using diffractive PDFs, are a factor  $\sim 5$  above the data in lowest  $\xi$  bin

PYTHIA8 diffractive cross sections are considerably lower due to different pomeron flux parametrisation

$d\sigma_{jj}/d\tilde{\xi}$  ( $\mu\text{b}$ )

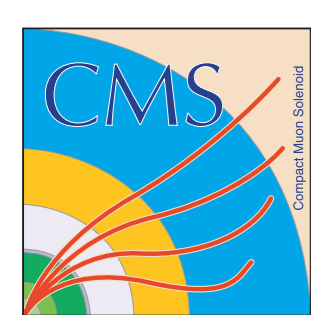


Normalisation discrepancies give upper limit predictions (including proton dissociation) to rapidity gap survival probability:

$$S_{\text{data/MC}}^2 = 0.21 \pm 0.07 \text{ (LO MC)}$$

$$S_{\text{data/MC}}^2 = 0.14 \pm 0.05 \text{ (NLO MC)}$$





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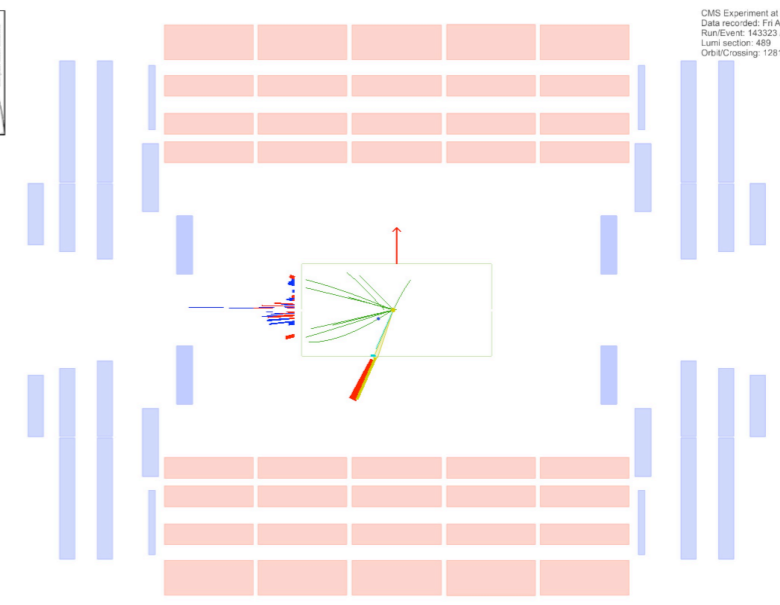
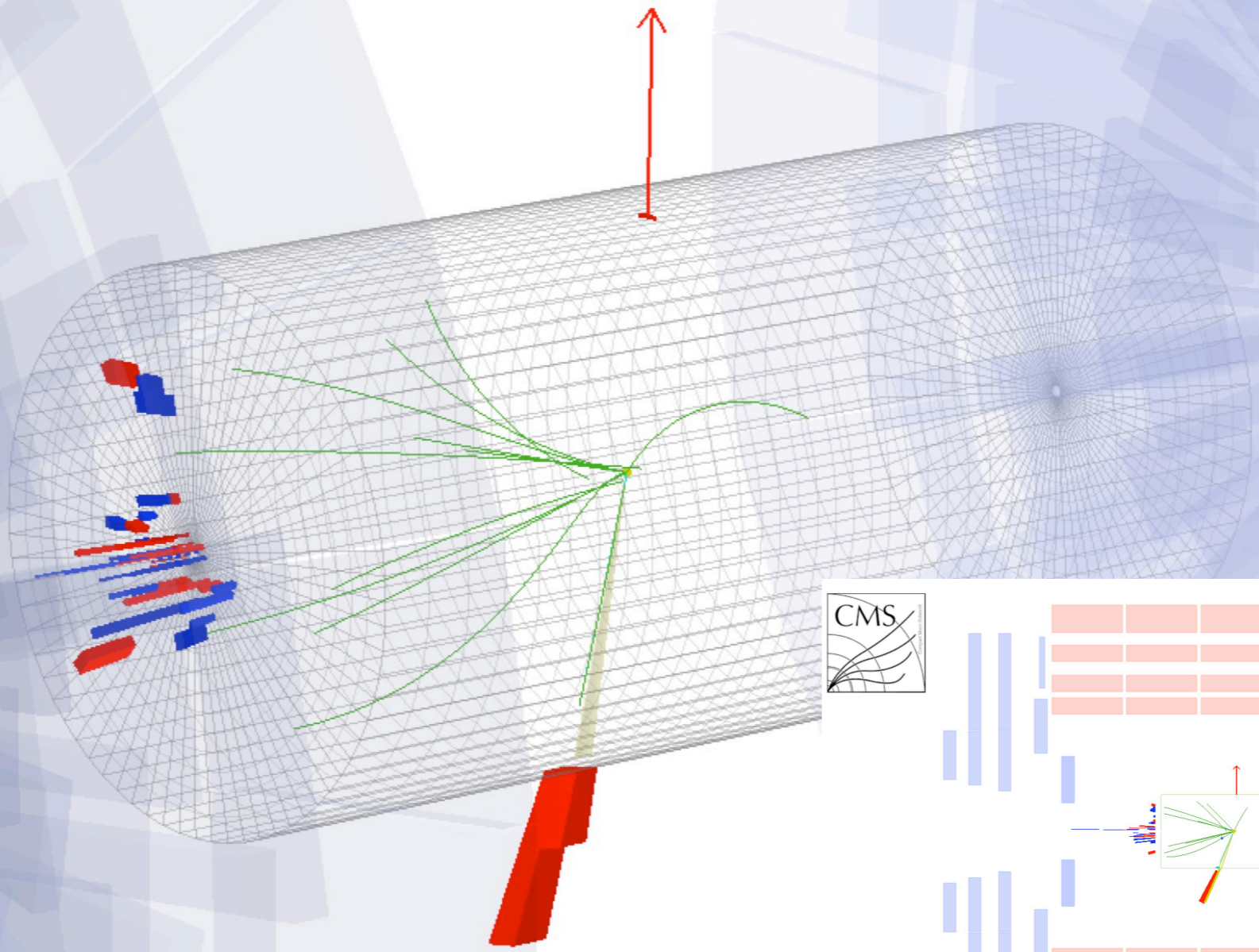




# W/Z events with pseudorapidity gaps



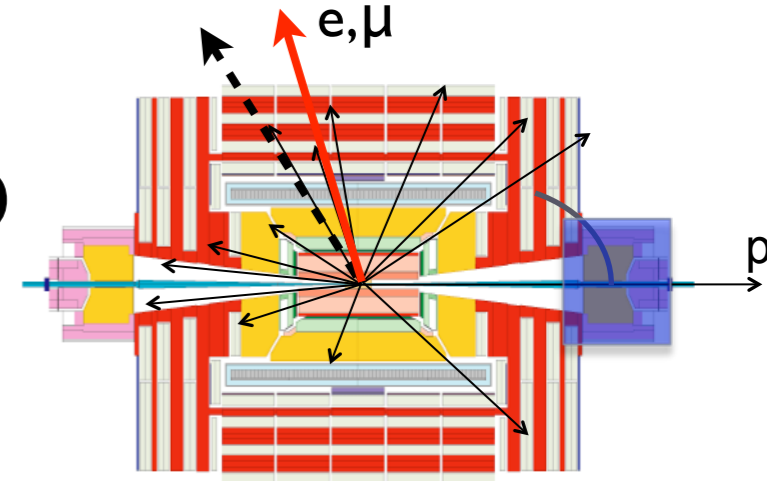
CMS Experiment at LHC, CERN  
Data recorded: Fri Aug 20 07:01:35 2010 CEST  
Run/Event: 143323 / 412966700  
Lumi section: 489  
Orbit/Crossing: 128136287 / 2771



CMS Experiment at LHC, CERN  
Data recorded: Fri Aug 20 07:01:35 2010 CEST  
Run/Event: 143323 / 412966700  
Lumi section: 489  
Orbit/Crossing: 128136287 / 2771



# W/Z events with an $\eta$ -gap



Diffractive component in W/Z data set

Events with low energy deposits at the forward calorimeters

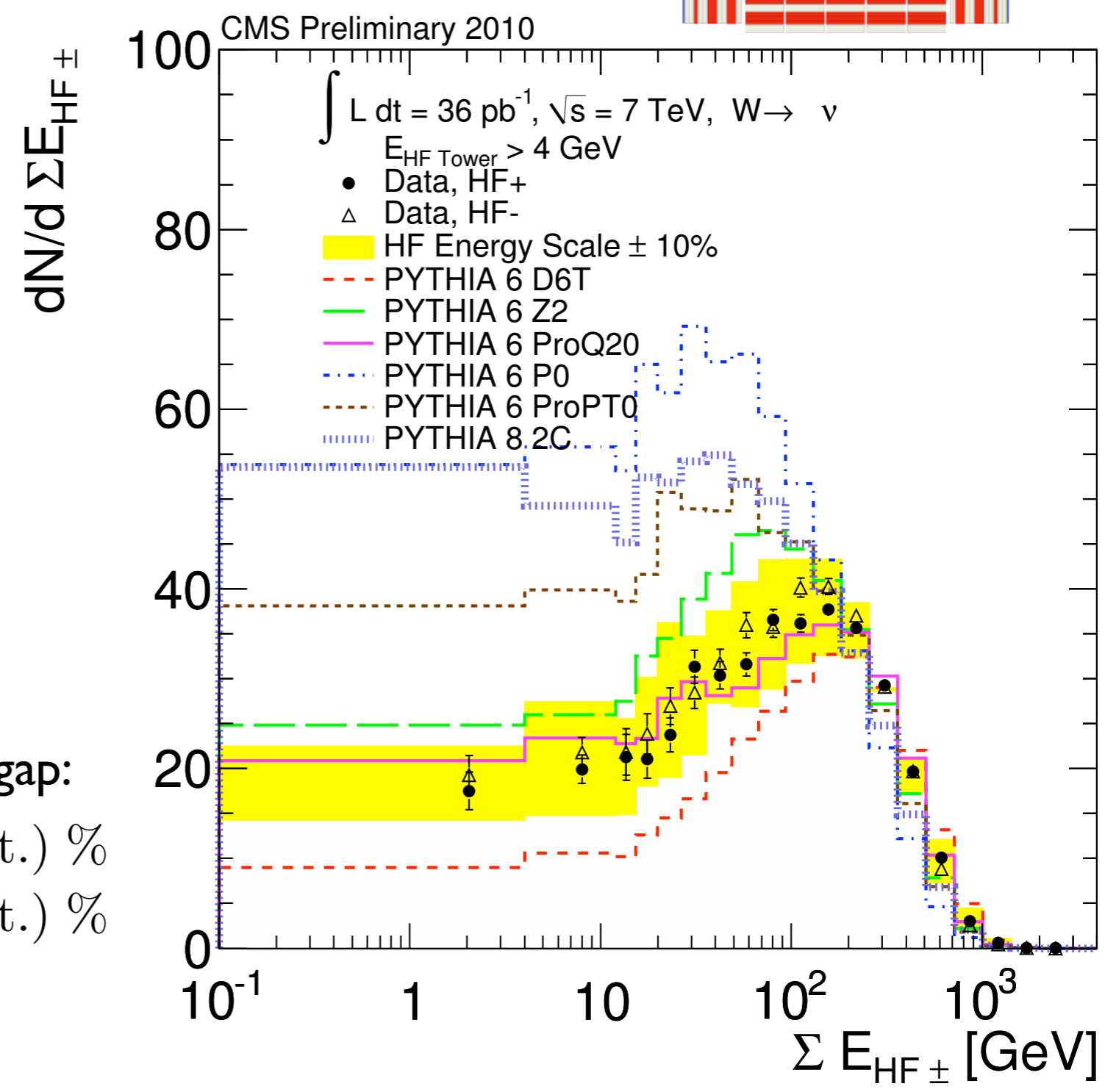
Monte Carlo generators cannot describe the data (extensive studies on overall energy flow and correlations in [supporting document](#))

Fraction of W/Z events with a forward gap:

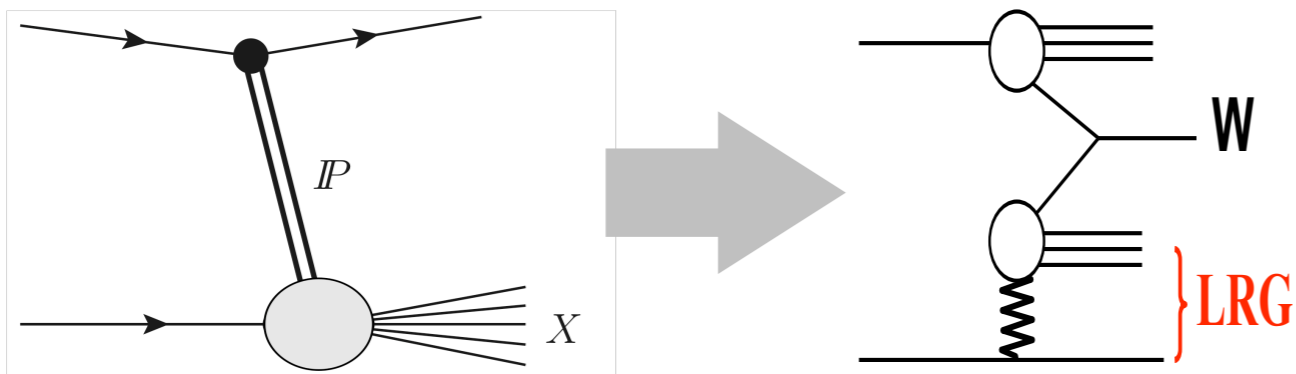
$$W \rightarrow \ell\nu: 1.46 \pm 0.09(\text{stat.}) \pm 0.38(\text{syst.}) \%$$

$$Z \rightarrow \ell\ell: 1.60 \pm 0.25(\text{stat.}) \pm 0.42(\text{syst.}) \%$$

[CMS PAS FWD-10-008](#)  
[Eur. Phys. J. C \(2012\) 72:1839](#)



# $W \rightarrow e\nu(\mu\nu)$ gap distributions



$$\Delta\eta^{\text{Gap}} \equiv 4.9 - \tilde{\eta}$$

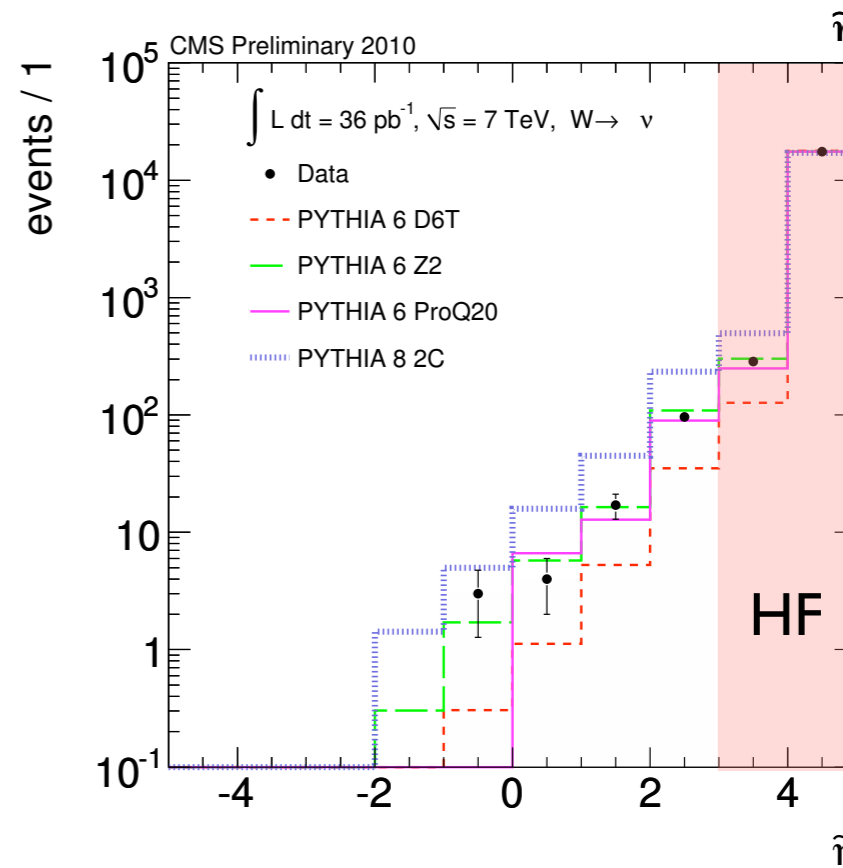
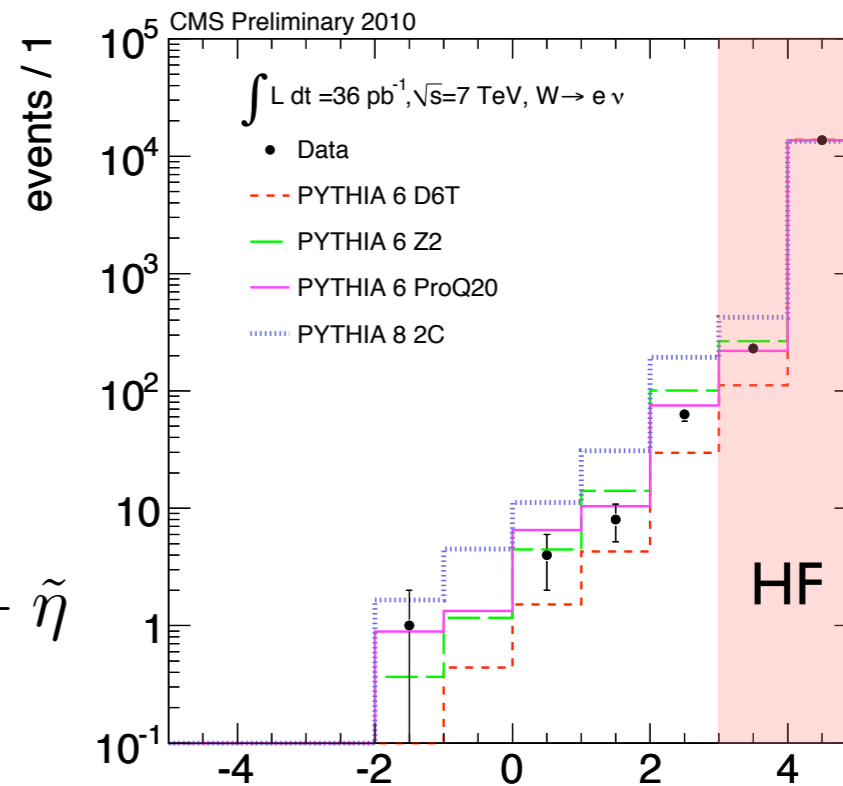
Single-vertex events to reject pile-up

Gap size ( $\Delta\eta$ ) distributions for W candidate events

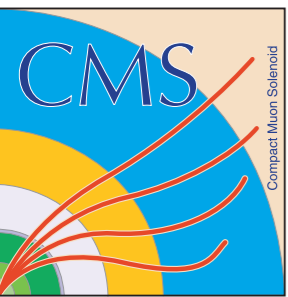
Note that large gap events from non-diffractive MC events as well as data

Large dependence on MC tune

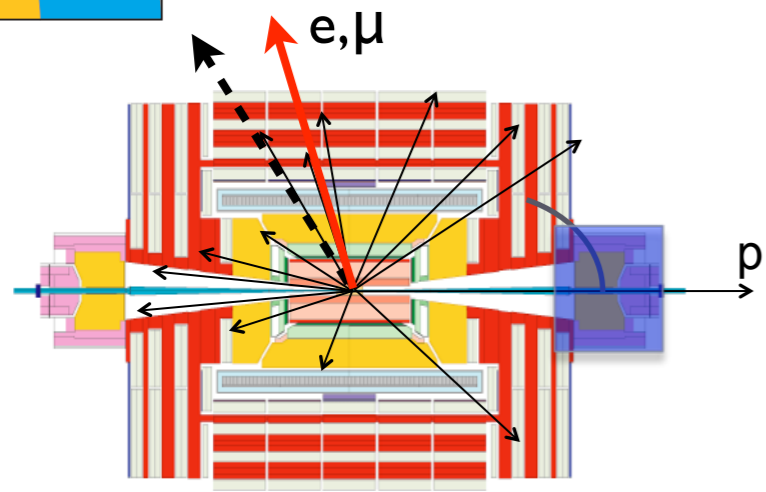
[CMS PAS FWD-10-008](#)



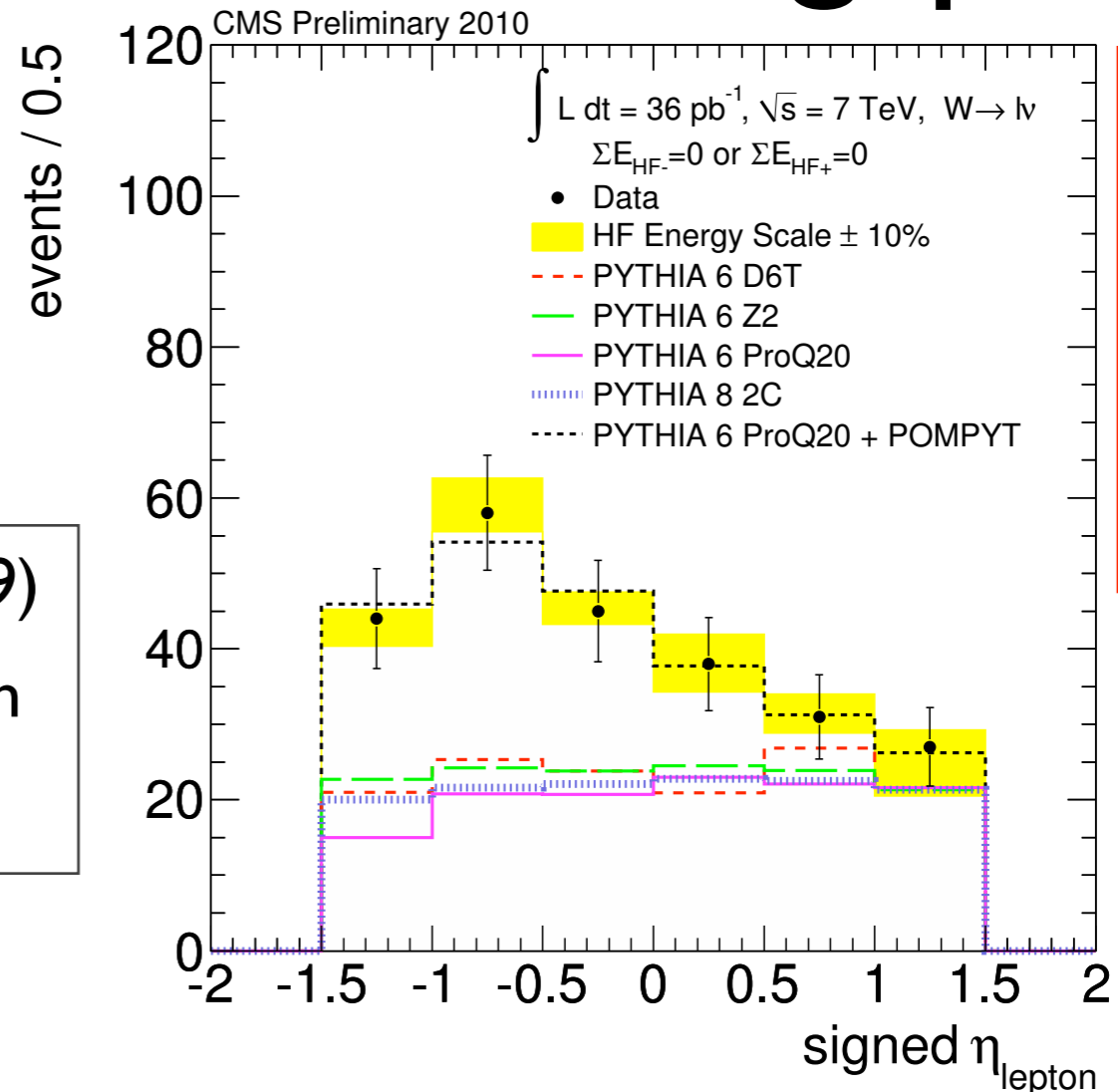
$\sum E_{\text{HF}} = 0 \Leftrightarrow \eta_{\text{max,min}} < 3$



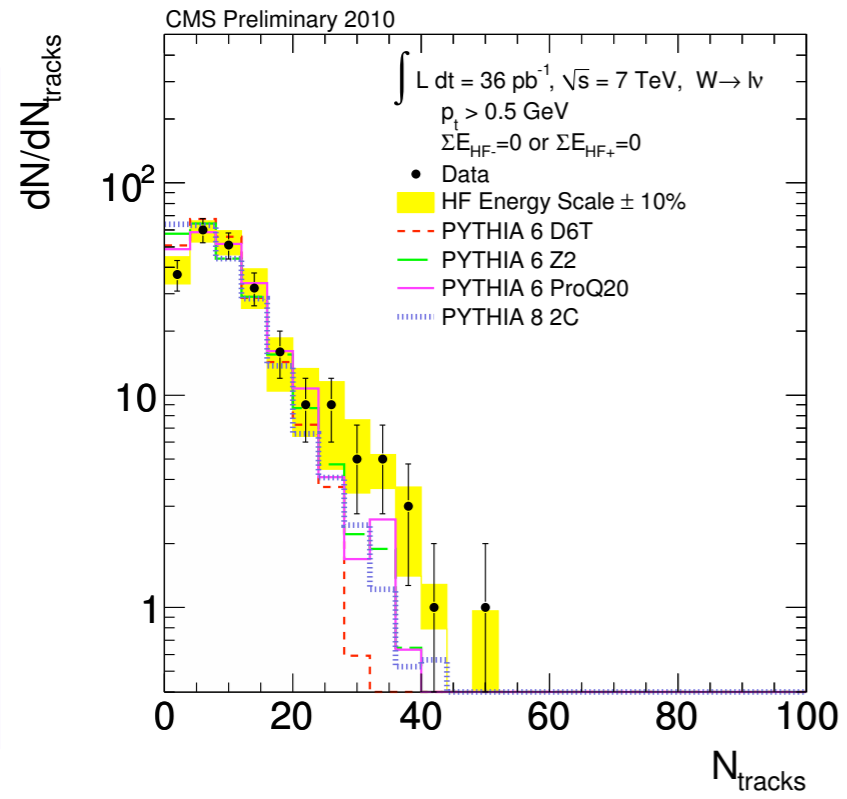
# $W \rightarrow e\nu(\mu\nu)$ events with a gap



Forward gap selection in HF ( $3 < |\eta| < 4.9$ )  
 Signed  $\eta_{\text{lepton}}$  distribution ( $\eta_{\text{lepton}} < 0$  when  $e, \mu$  opposite to the pseudorapidity gap)

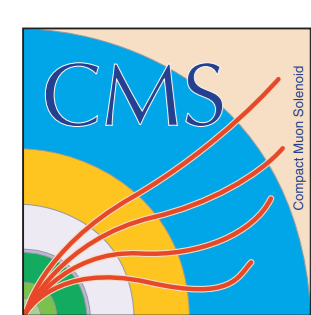


Normalised to fit of  
PYTHIA6 + POMPYT



Flat for non-diffractive, asymmetric for diffractive events  
 Evidence of diffractive W production in the data  
 Fit for PYTHIA (ND) + POMPYT (SD):  
 $f_{\text{SD}} = 50.0 \pm 9.3(\text{stat.}) \pm 5.2(\text{syst.}) \%$   
 ( $\eta$ -gap sample)

CMS PAS FWD-10-008



# Summary

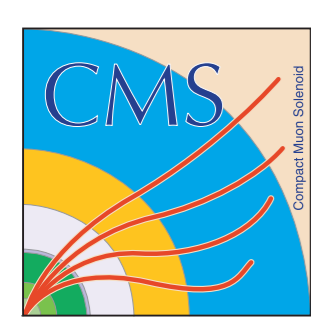
First measurements of hard diffraction at the LHC, associated with high- $p_T$  jets and  $W/Z$  bosons

The differential dijet cross section has been measured, as a function of a variable ( $\xi$ ) that approximates the momentum loss of protons in diffractive events

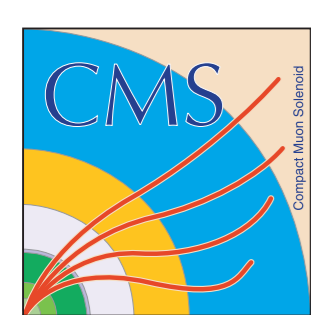
Diffractive dijet events dominate the low- $\xi$  region. Comparing the measured cross section to diffractive MC predictions based on dPDFs from HERA, an estimate of the survival probability was obtained

A large asymmetry is observed with the charged lepton in the opposite or same hemisphere as the pseudorapidity gap signature, in a  $W/Z$  data set, consistent with diffractive  $W/Z$  production

These measurements give constraints on hard-diffractive processes at the LHC, diffractive PDFs, and especially estimates of the survival probability. They form a benchmark for future searches in exclusive & diffractive channels with near beam proton detectors at the LHC



# Extra slides



# Forward physics results at CMS

Low- $x$  QCD & pdf's, diffraction,  $\gamma$  interactions, underlying event & MPI, etc.

Results on these subjects from the CMS collaboration, mostly with the data set collected during 2010

[CMS PAS FWD-11-001](#): Measurement of the inelastic pp cross section at 7 TeV

[CMS PAS FWD-10-005](#): Measurement of the exclusive two-photon production of muon pairs

[CMS PAS FWD-10-001](#): Observation of diffraction at 900 and 2360 GeV

[CMS PAS FWD-10-007](#): Observation of diffraction at 7 TeV

[CMS PAS FWD-10-008](#): Forward Energy Flow and Central Track Multiplicities in W and Z boson Events at 7 TeV ([Eur. Phys. J. C \(2012\) 72:1839](#))

[CMS PAS FWD-10-004](#): Evidence for hard-diffractive dijet production at 7 TeV

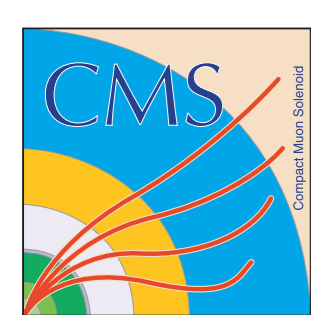
[CMS PAS FWD-11-004](#): Search for central exclusive gamma pair production and observation of central exclusive electron pair production at 7 TeV

[CMS PAS FWD-10-011](#): Forward energy flow

[CMS PAS FWD-10-003](#): Measurement of forward jets at 7 TeV

[CMS PAS FWD-10-006](#): Cross section measurement for simultaneous production of a central and a forward jet at 7 TeV





# Outline



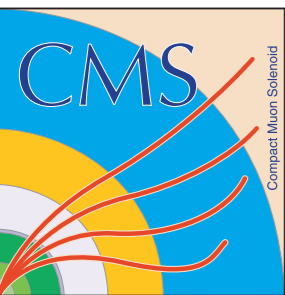
CMS Experiment at LHC, CERN  
Data recorded: Sat Apr 24 05:25:36 2010 CEST  
Run/Event: 133874 / 22902855  
Lumi section: 317

CMS detector & forward instrumentation

**Probing hard diffraction I: Diffractive dijet production**

Probing hard diffraction II:  $VW/Z$  events with  
(pseudo-)rapidity gaps

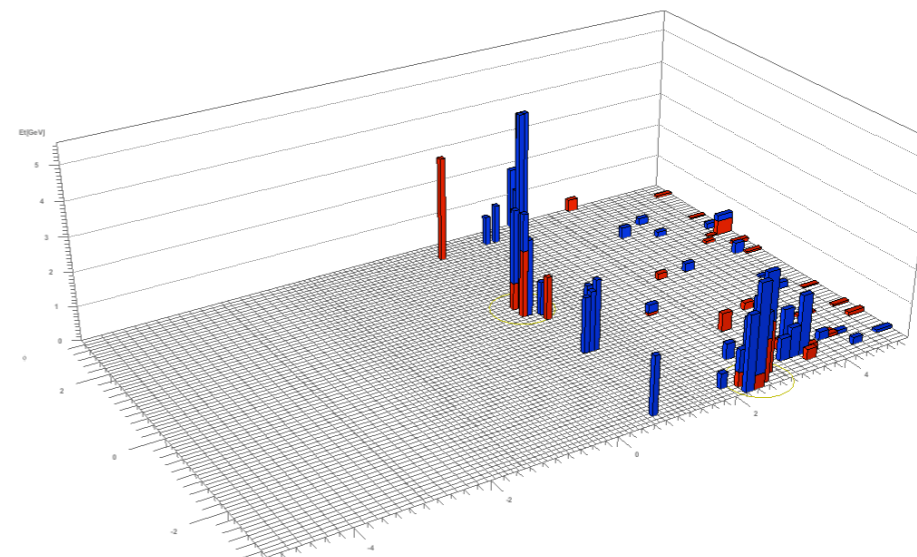
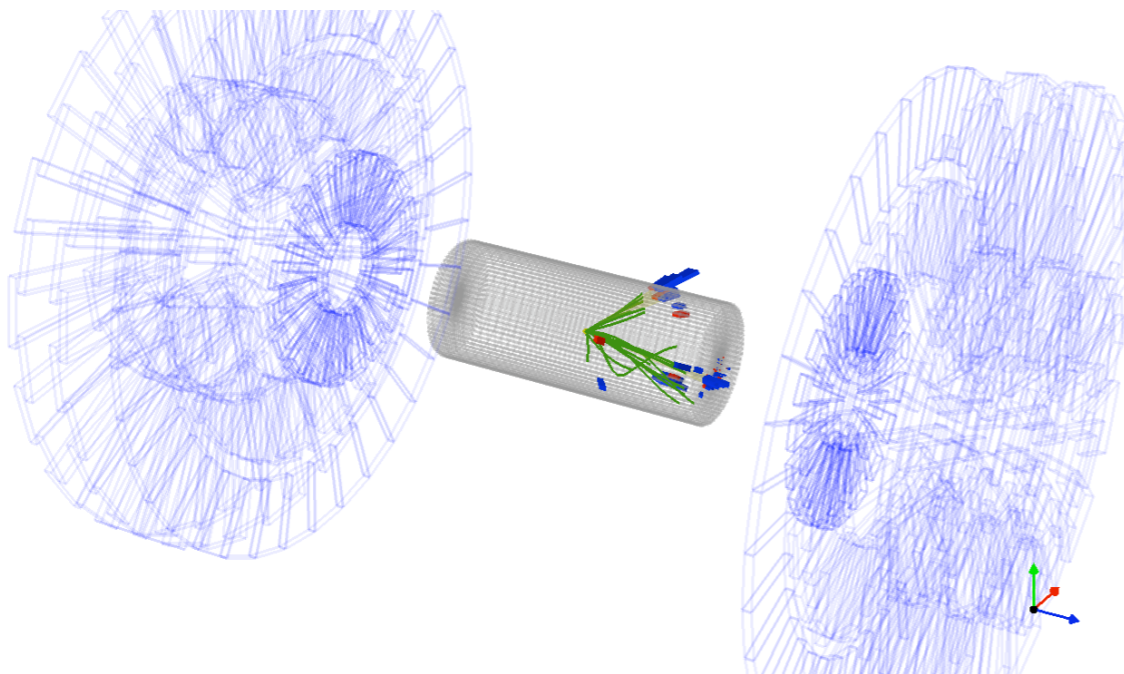
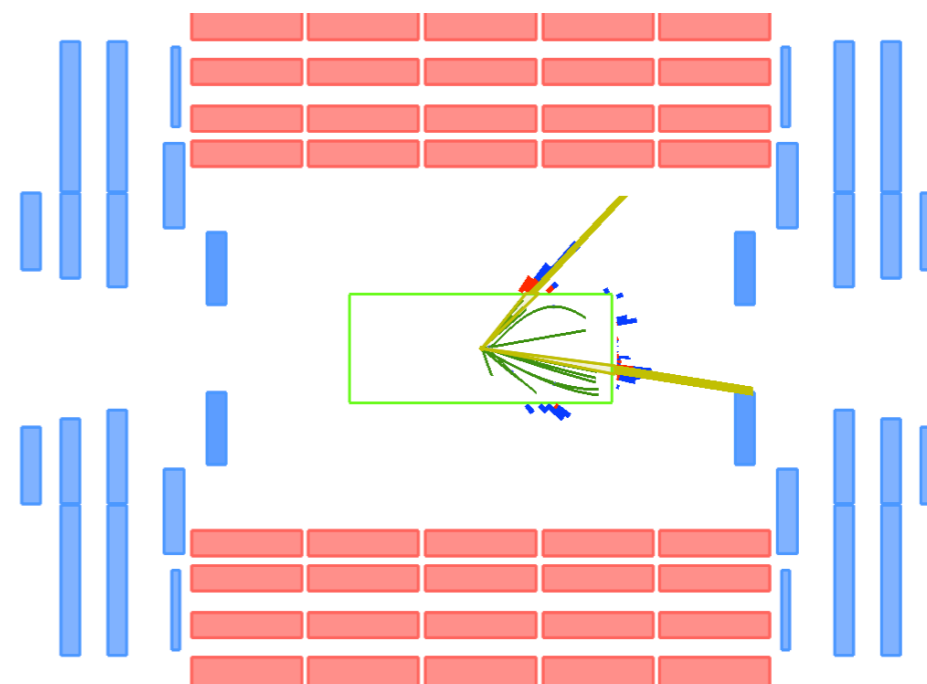
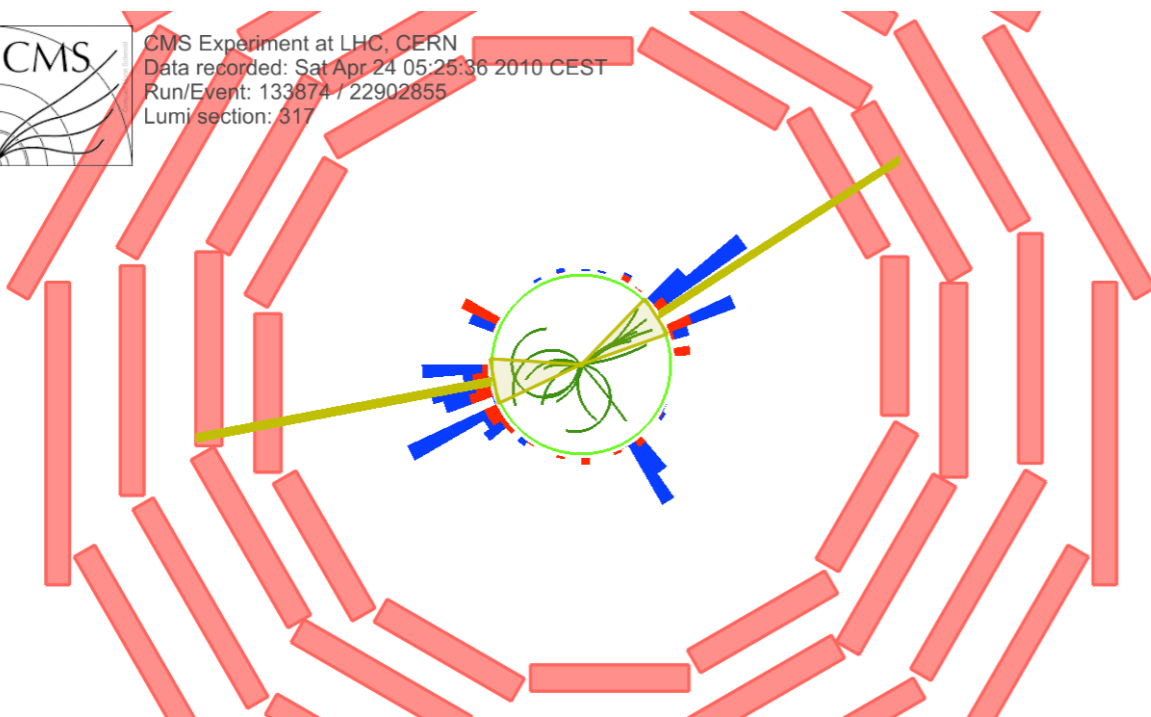




# Diffractive dijet candidate

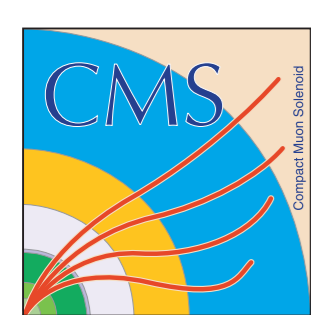


CMS Experiment at LHC, CERN  
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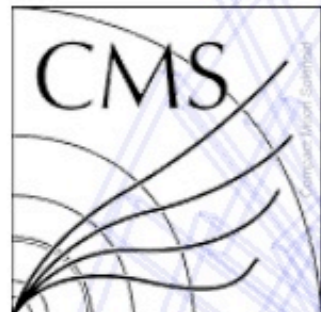


$E(\eta < 3.0) > 1.5 \text{ GeV}$      $p_T(\text{track}) > 0.5 \text{ GeV}$   
 $E(\eta \geq 3.0) > 2.0 \text{ GeV}$

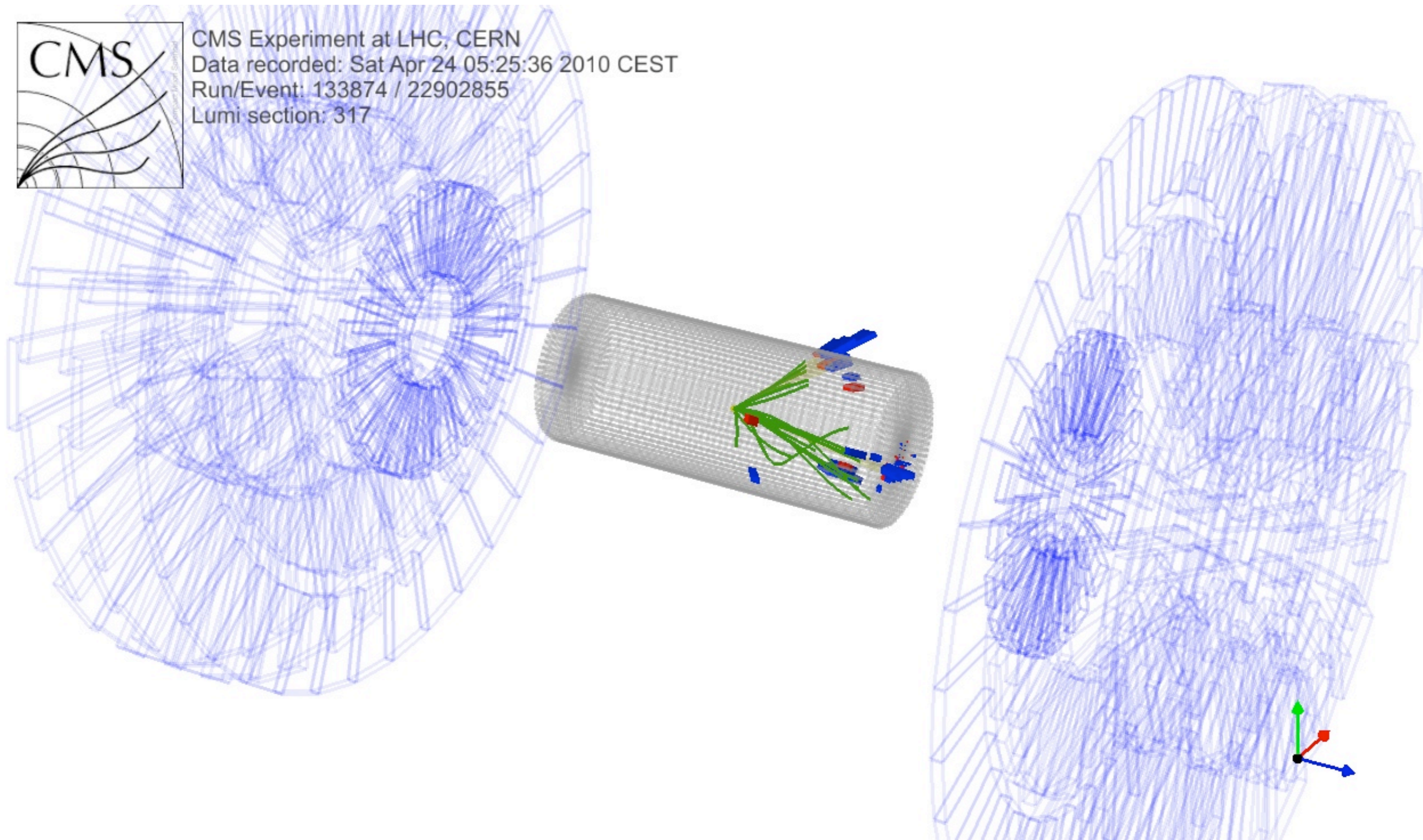
$p_T(\text{jet1}) = 43.5 \text{ GeV}$ ,     $p_T(\text{jet2}) = 36.9 \text{ GeV}$   
 $\eta(\text{jet1}) = 0.83$ ,          $\eta(\text{jet2}) = 2.55$

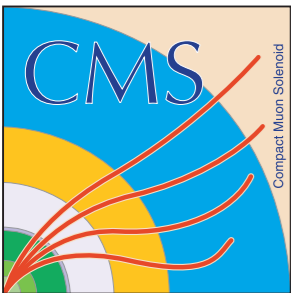


# Diffractive dijet candidate



CMS Experiment at LHC, CERN  
Data recorded: Sat Apr 24 05:25:36 2010 CEST  
Run/Event: 133874 / 22902855  
Lumi section: 317



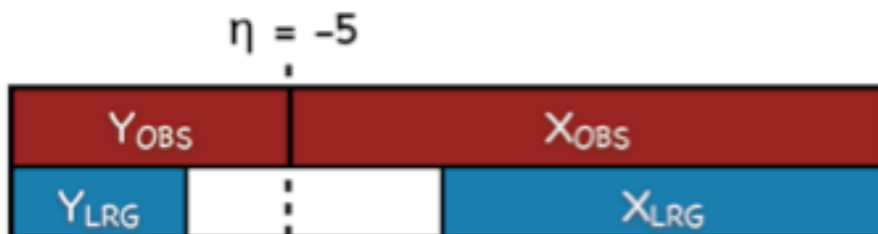


# $\xi$ definition

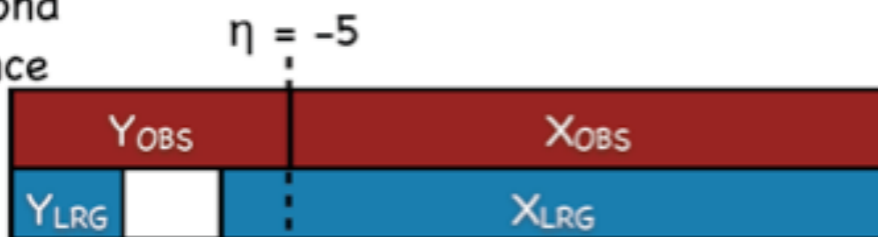
$$\tilde{\xi}^{\pm} = \frac{\sum (E \pm p_z)}{\sqrt{s}} \approx \frac{M_X^2}{s}$$



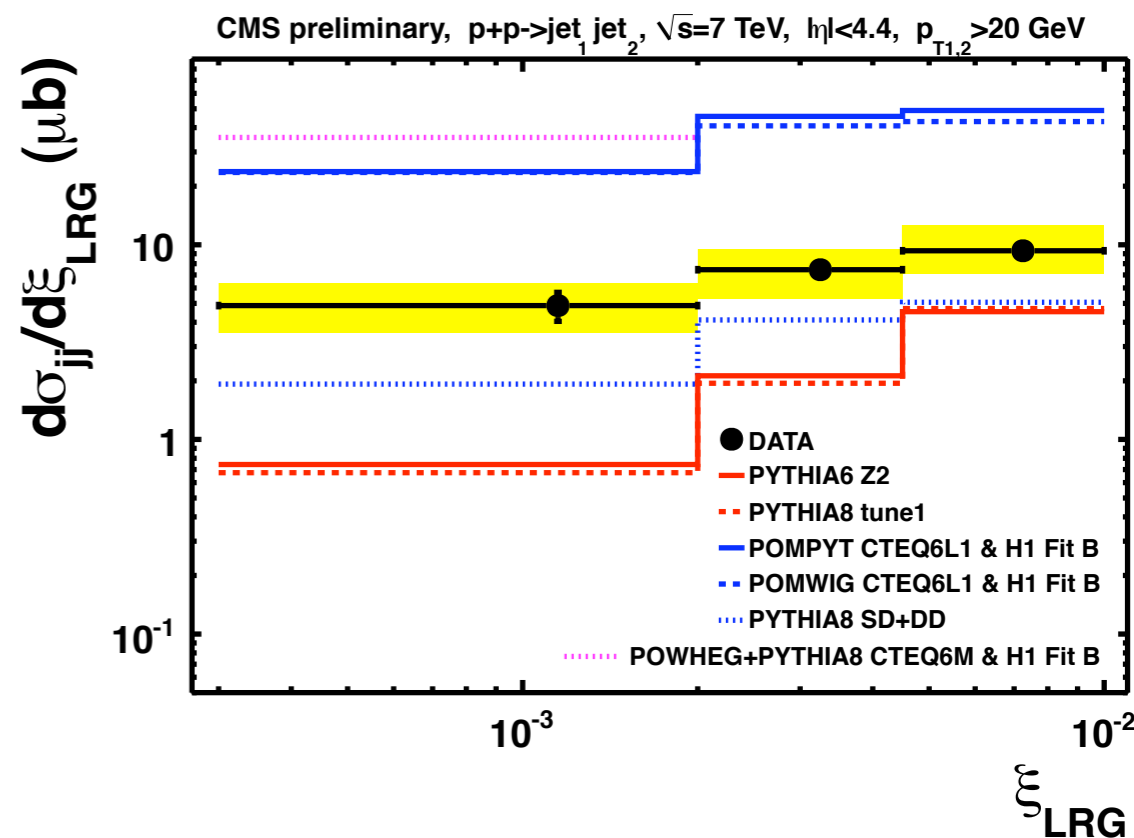
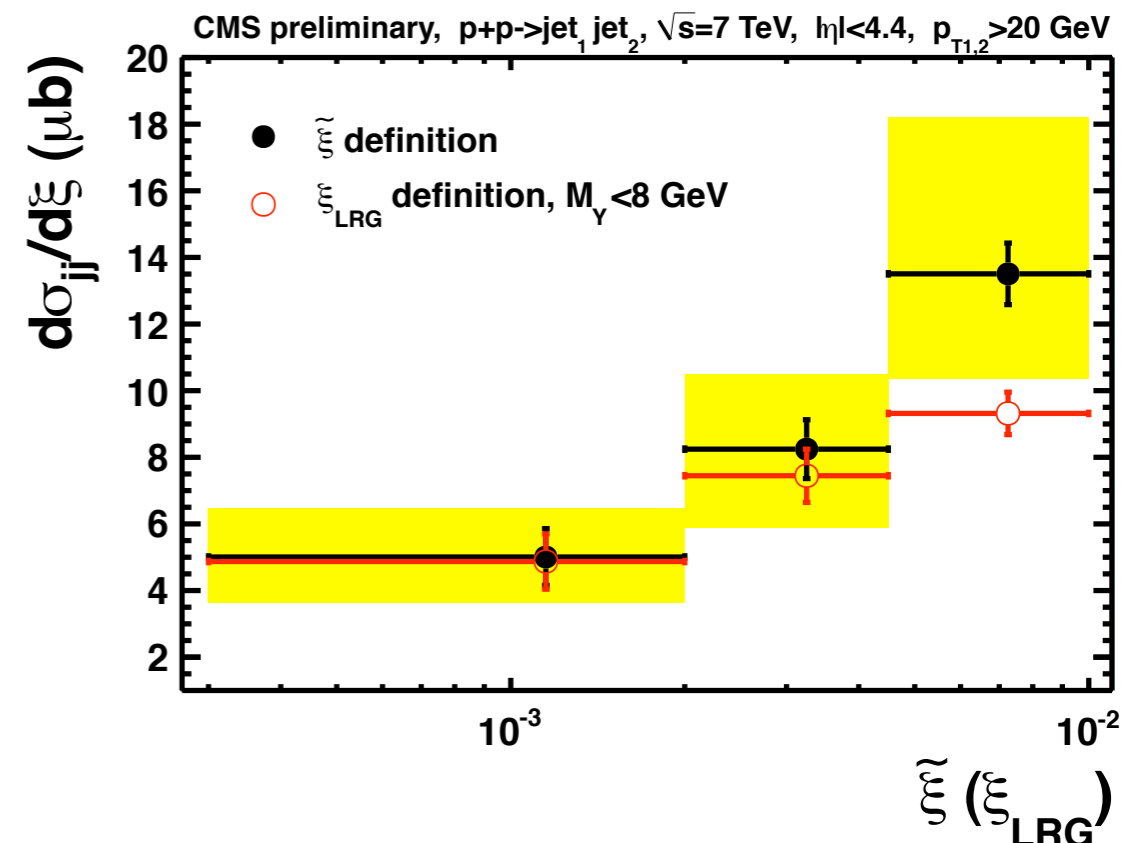
- ideal topology  
 $M_{X,OBS} = M_{X,LRG}$

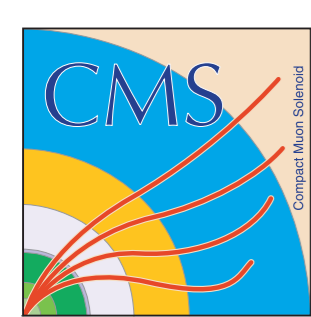


- $X_{LRG}$  extends beyond detector acceptance  
 $M_{X,OBS} \neq M_{X,LRG}$

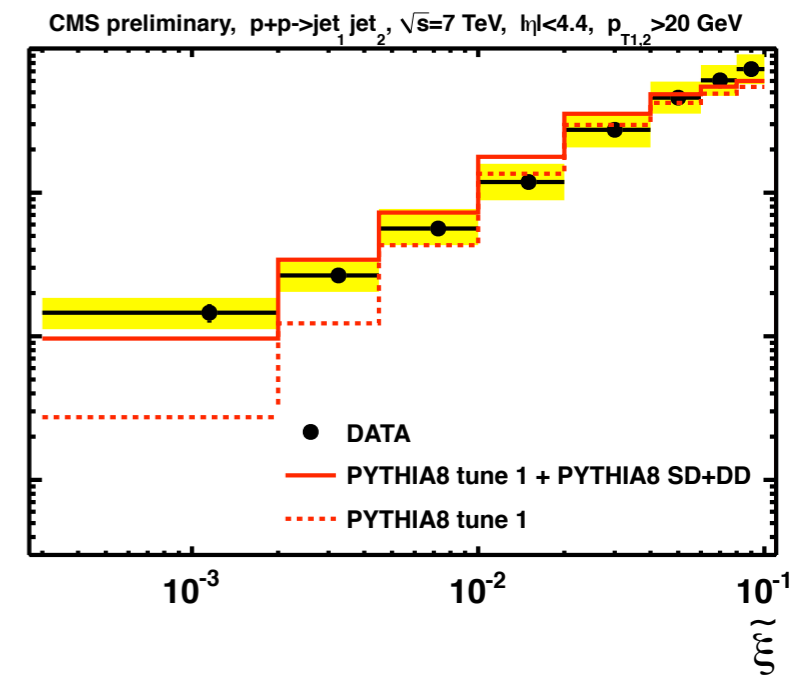
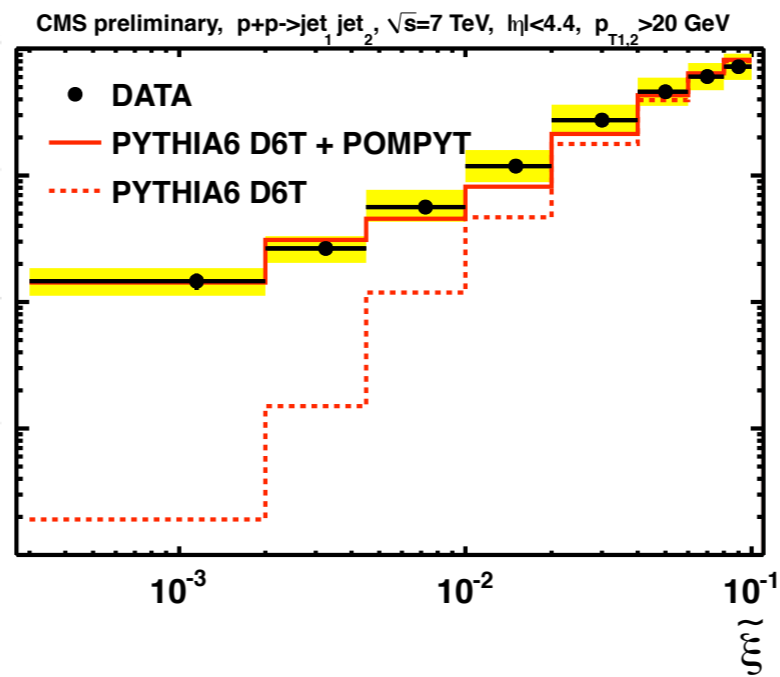
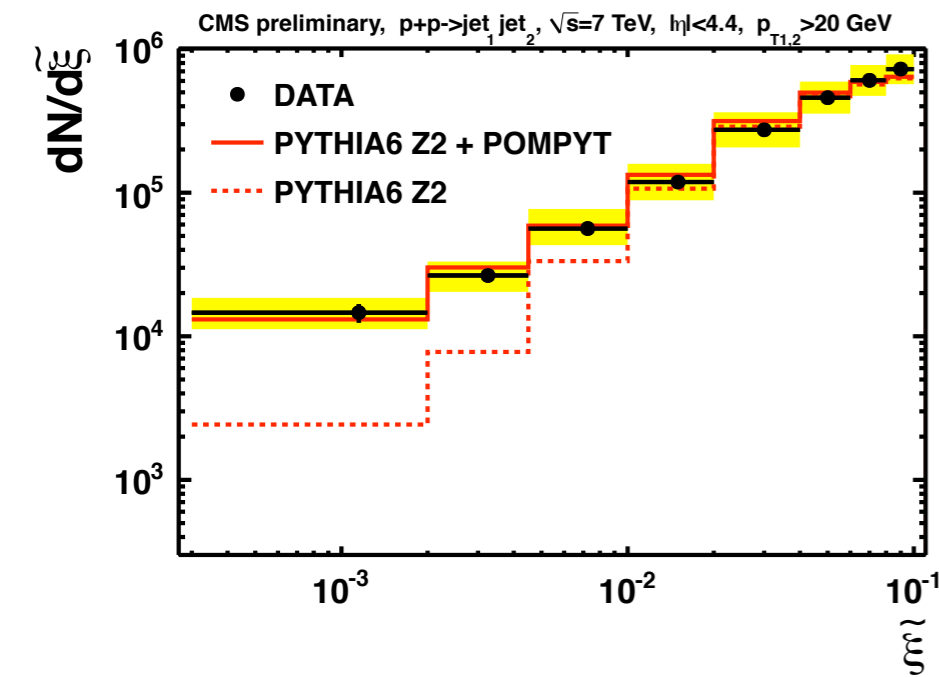


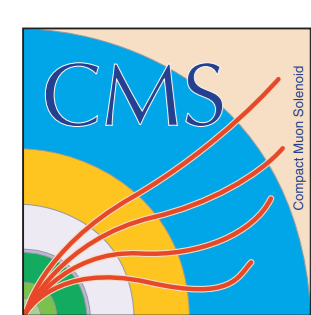
- $Y_{LRG}$  penetrates detector acceptance  
 $M_{X,OBS} \neq M_{X,LRG}$





# Event distributions



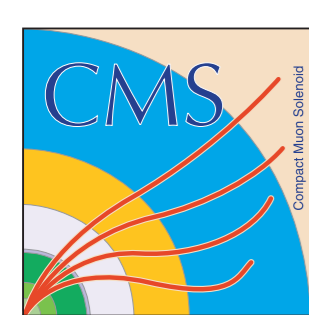


# Systematic uncertainties

Largest contribution from Jet Energy Scale uncertainty  
Average systematic error around 30%

$\tilde{\zeta}$ bin	$\Delta\sigma_{jj} / \Delta\tilde{\zeta}$ ( $\mu\text{b}$ )
$0.0003 < \tilde{\zeta} < 0.002$	$5.0 \pm 0.9(\text{stat.})_{-1.4}^{+1.5}(\text{syst.})$
$0.002 < \tilde{\zeta} < 0.0045$	$8.2 \pm 0.9(\text{stat.})_{-2.3}^{+2.3}(\text{syst.})$
$0.0045 < \tilde{\zeta} < 0.01$	$13.5 \pm 0.9(\text{stat.})_{-3.1}^{+4.7}(\text{syst.})$

Uncertainty source	$0.0003 < \tilde{\zeta} < 0.002$	$0.002 < \tilde{\zeta} < 0.004$	$0.0045 < \tilde{\zeta} < 0.01$
1. Jet energy scale	(+26 / -19)%	(+21 / -20)%	(+28 / -16)%
2. Jet energy resolution	(+5 / -3)%	(+2 / -1)%	(+3 / -1)%
3. Calorimeter energy scale	(+7 / -14)%	(+14 / -8)%	(+12 / -10)%
4. MC uncertainty	(+5 / -6)%	(+3 / -14)%	(+3 / -3)%
5. HF threshold	(+0 / -6)%	(+2 / -0)%	(+2 / -0)%
6. Tracks $p_T$ threshold	(+0 / -1)%	(+1 / -0)%	(+0 / -2)%
7. One vertex selection	(+6 / -0)%	(+0 / -1)%	(+1 / -0)%
8. Calorimeter jets	(+0 / -4)%	(+0 / -4)%	(+2 / -4)%
9. $\tilde{\zeta}^+, \tilde{\zeta}^-$ difference	$\pm 8\%$	$\pm 8\%$	$\pm 11\%$
10. $\eta_{max}$ ( $\eta_{min}$ ) cut	(+0 / -0)%	(+3 / -0)%	(+9 / -0)%
11. Trigger efficiency	$\pm 3\%$	$\pm 3\%$	$\pm 3\%$
12. Luminosity	$\pm 4\%$	$\pm 4\%$	$\pm 4\%$



# Outline



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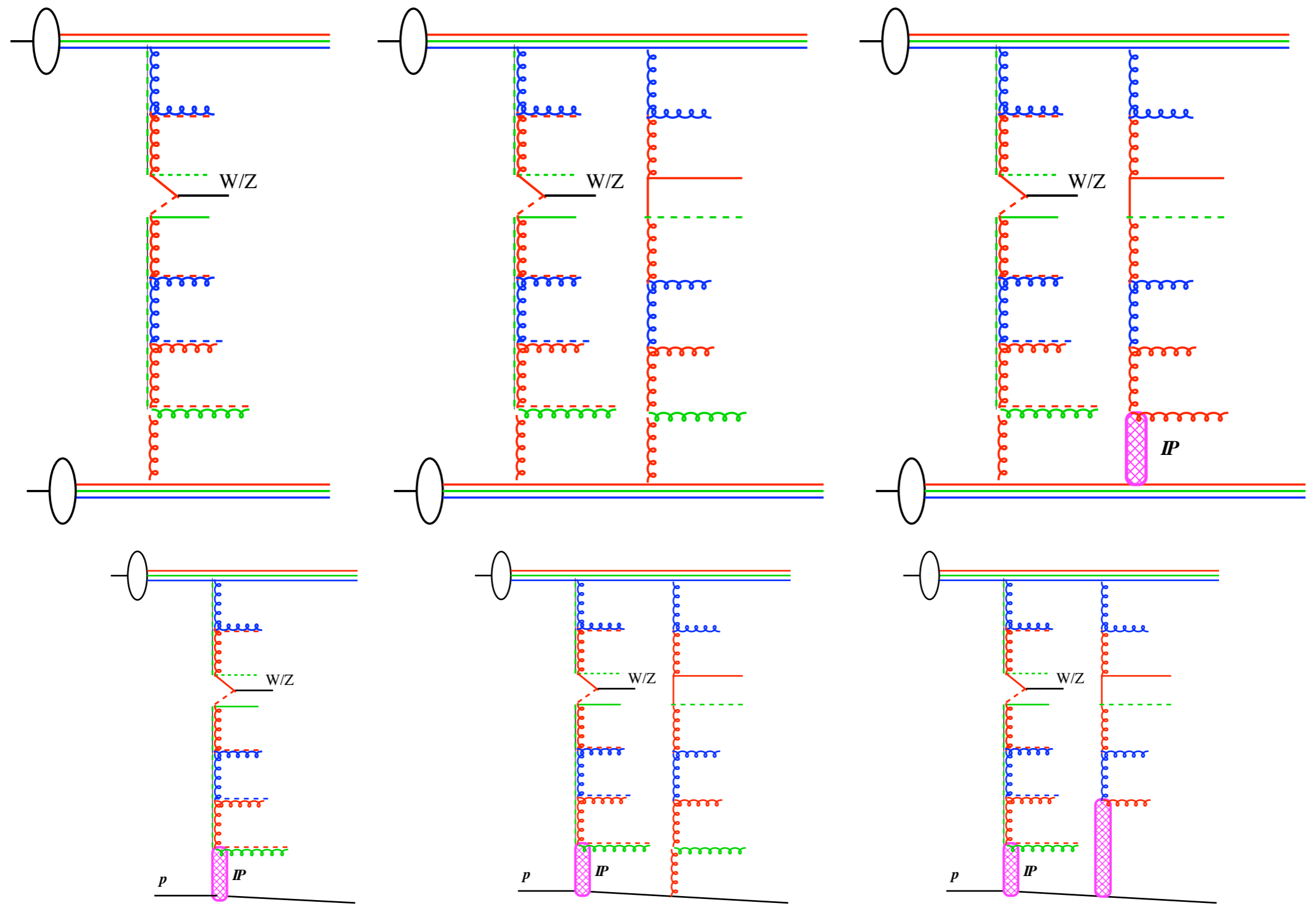
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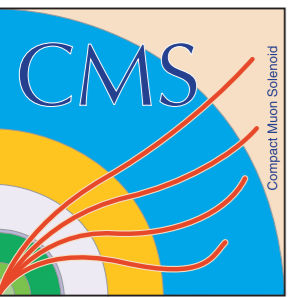
**Probing hard diffraction II:  $W/Z$  events with  
(pseudo-)rapidity gaps**



# Underlying event in hard interactions



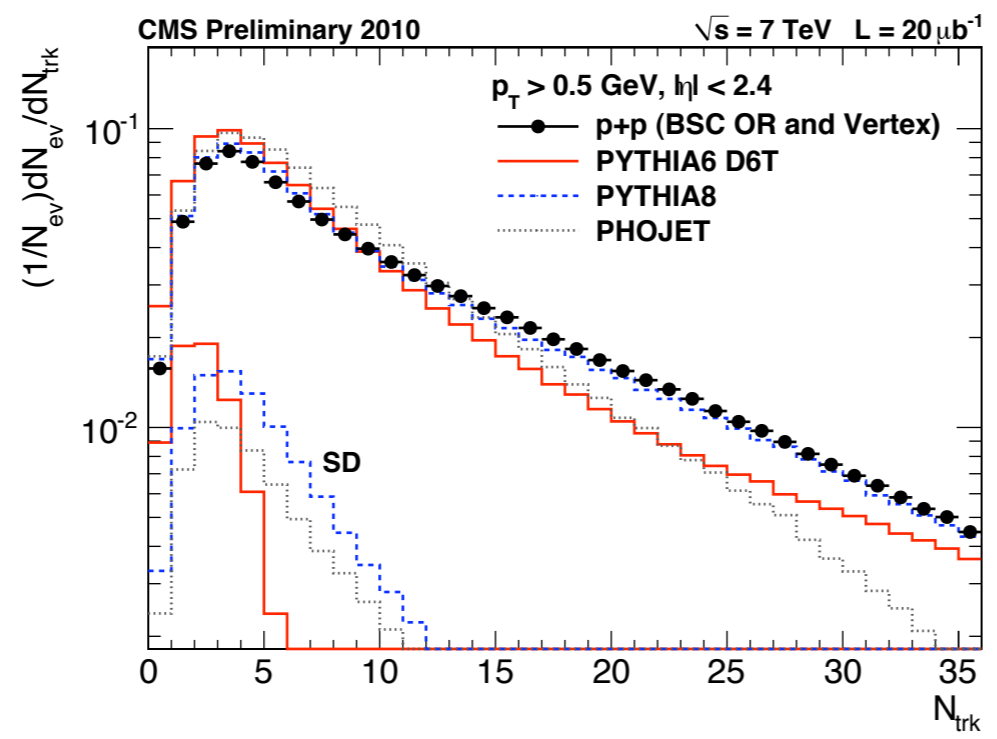




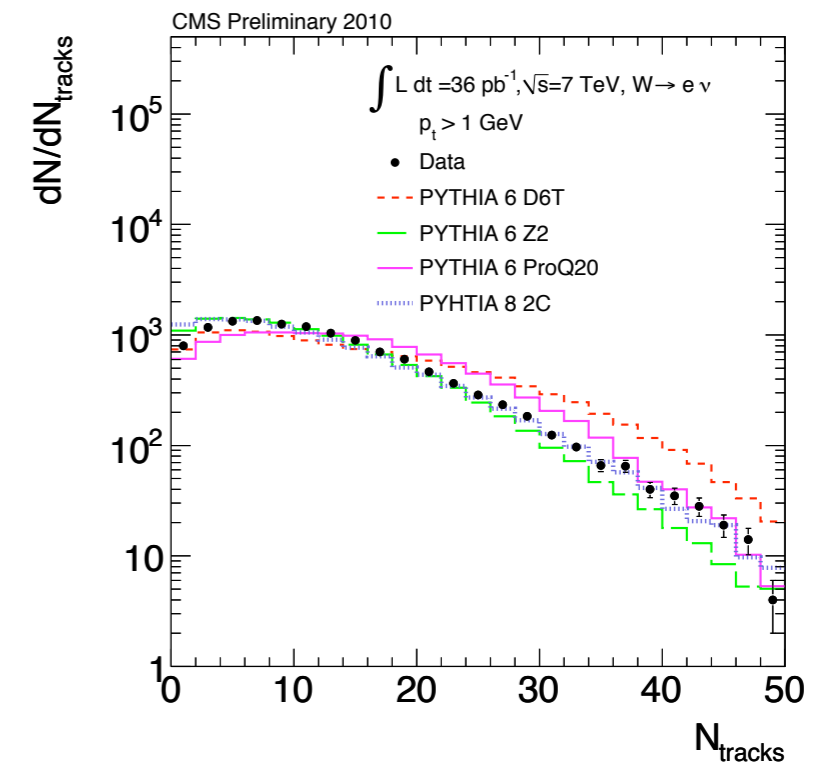
# Central vs Forward energy flow

Central track multiplicity

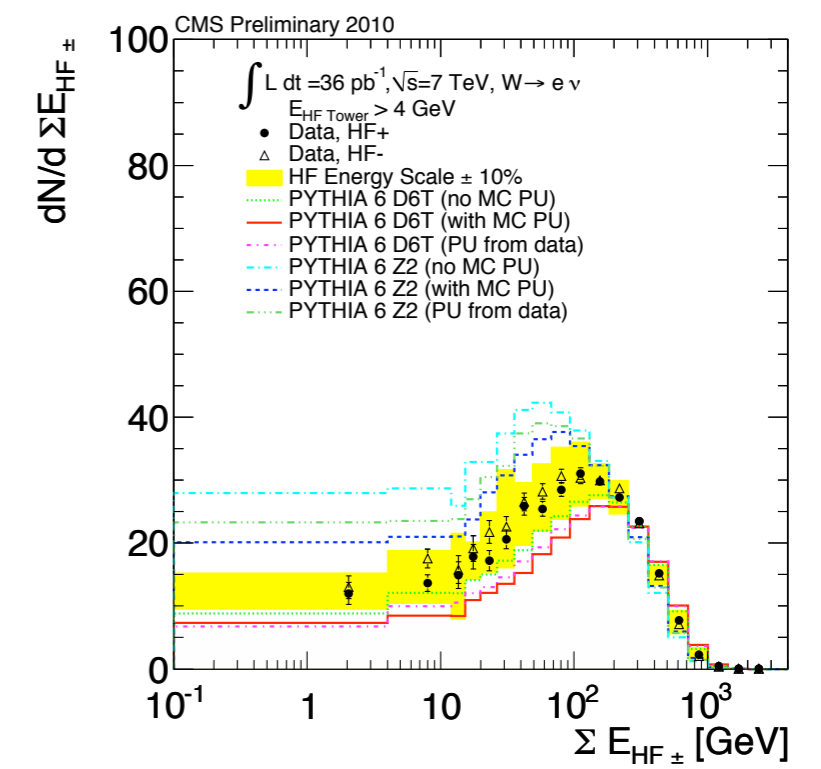
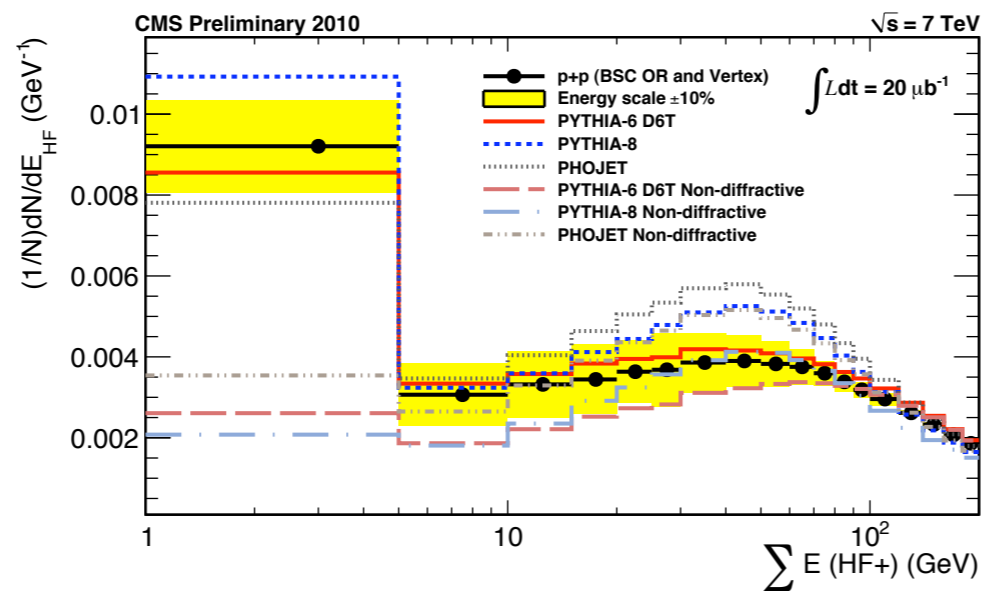
Minimum bias



$pp \rightarrow WX$



Forward energy

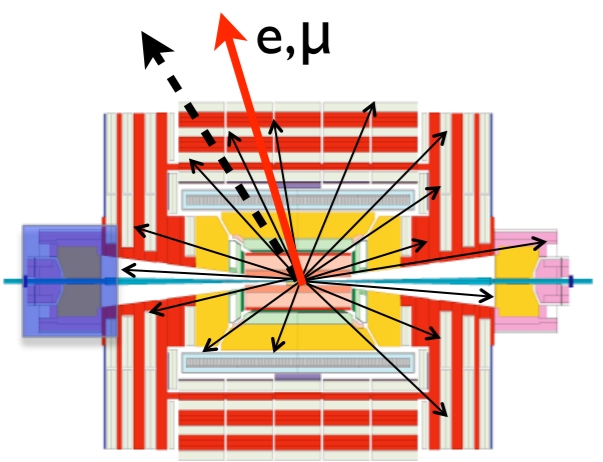
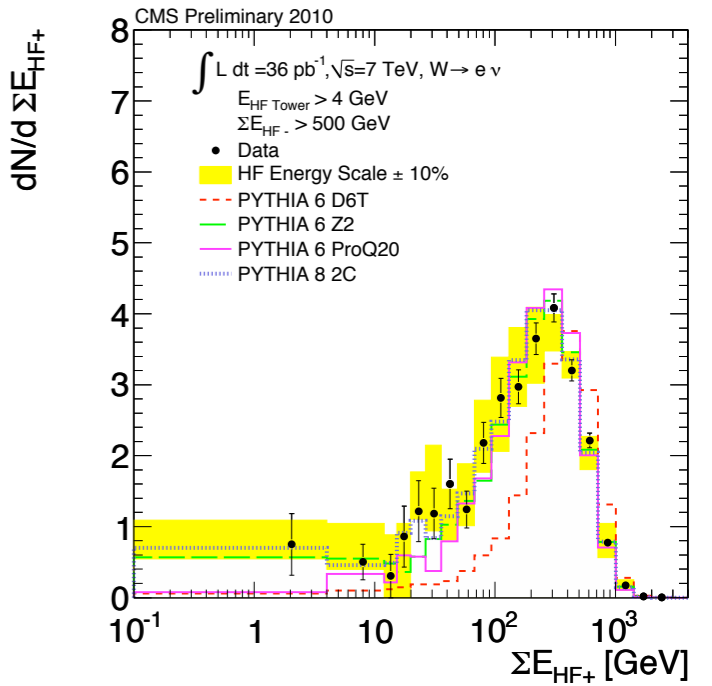
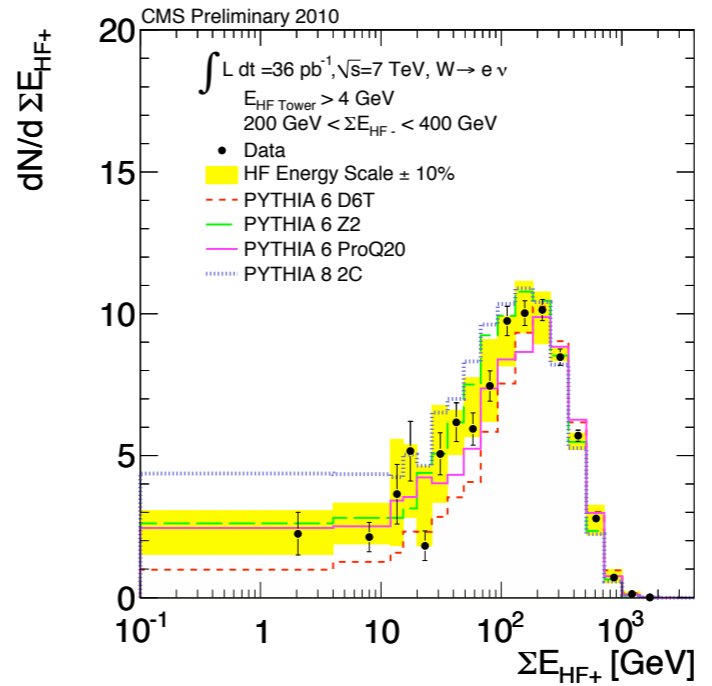
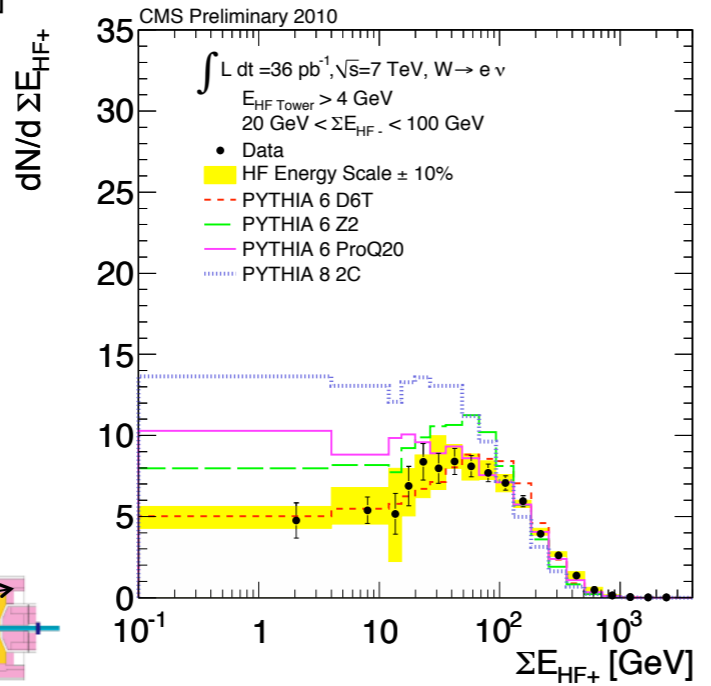
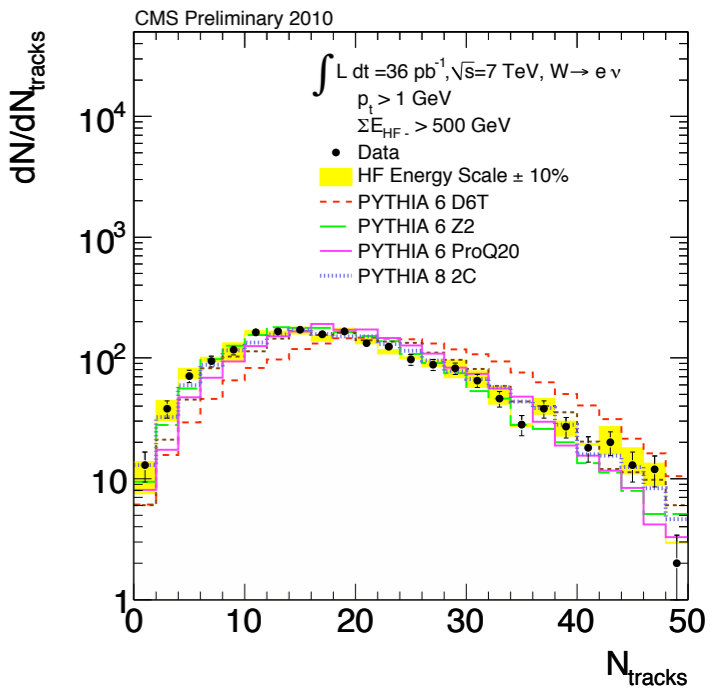
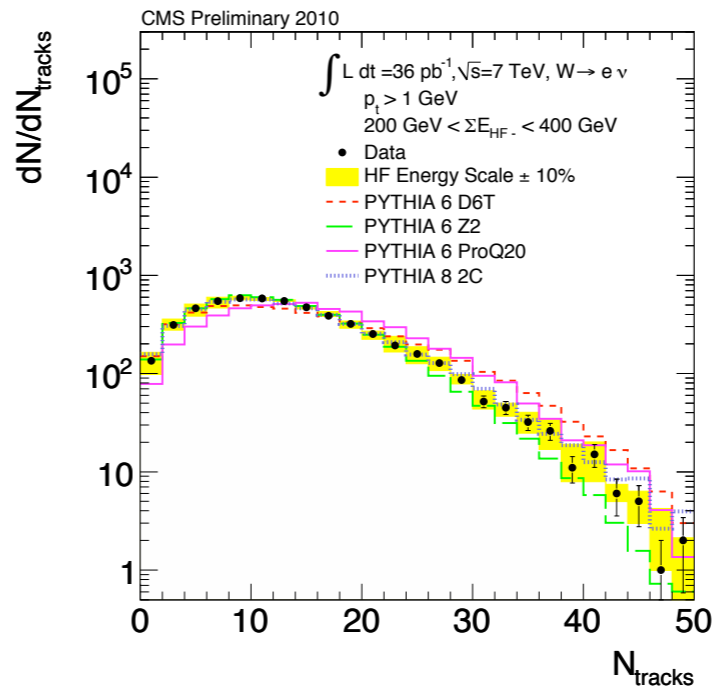
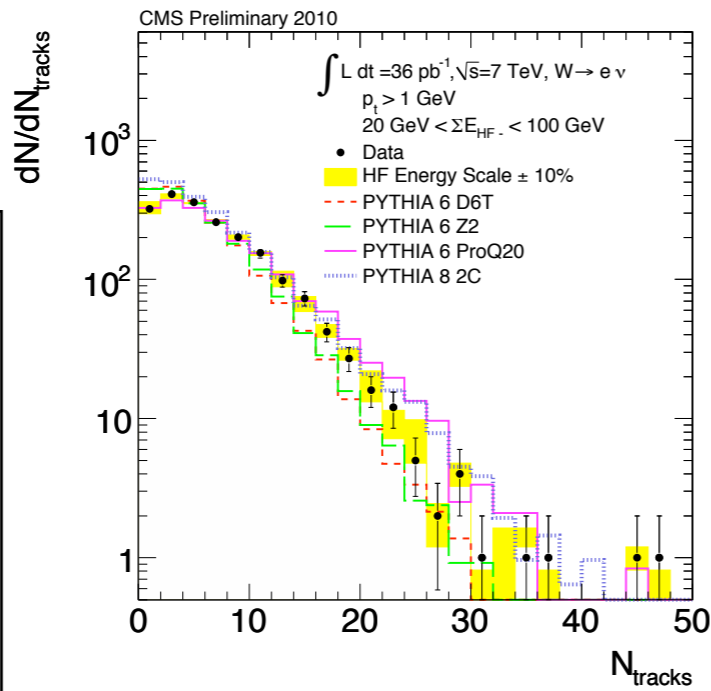


CMS PAS FWD-10-008

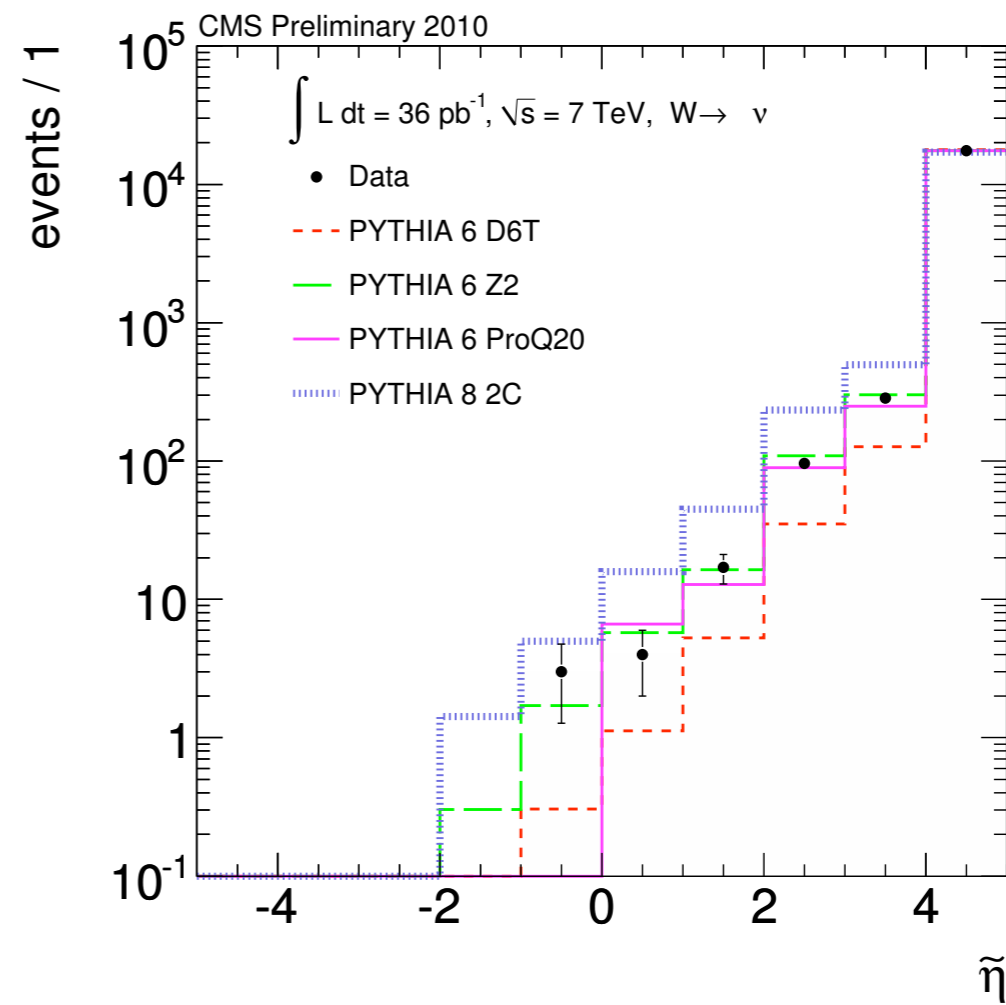
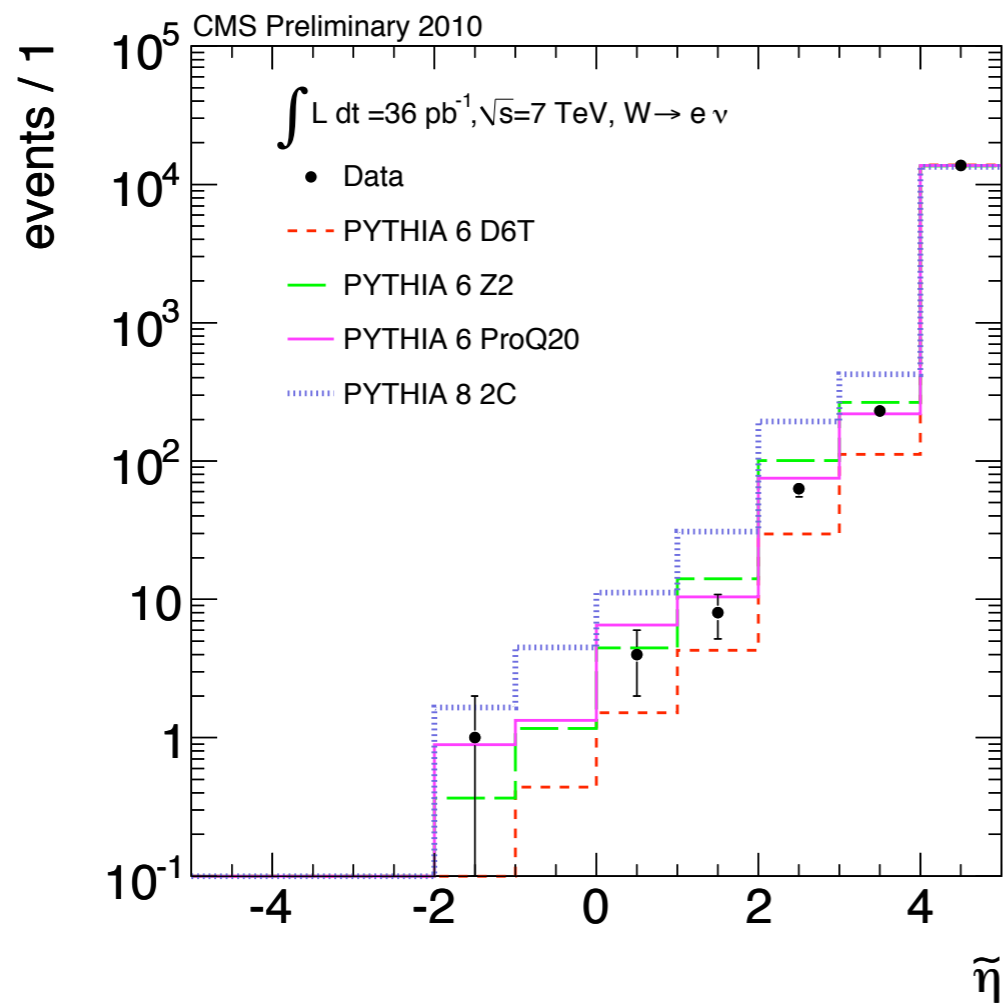
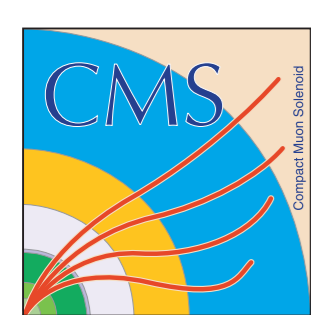
# Central vs Forward energy flow



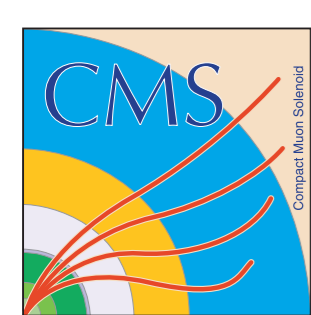
Central and forward activity with increasing forward deposition in the opposite side



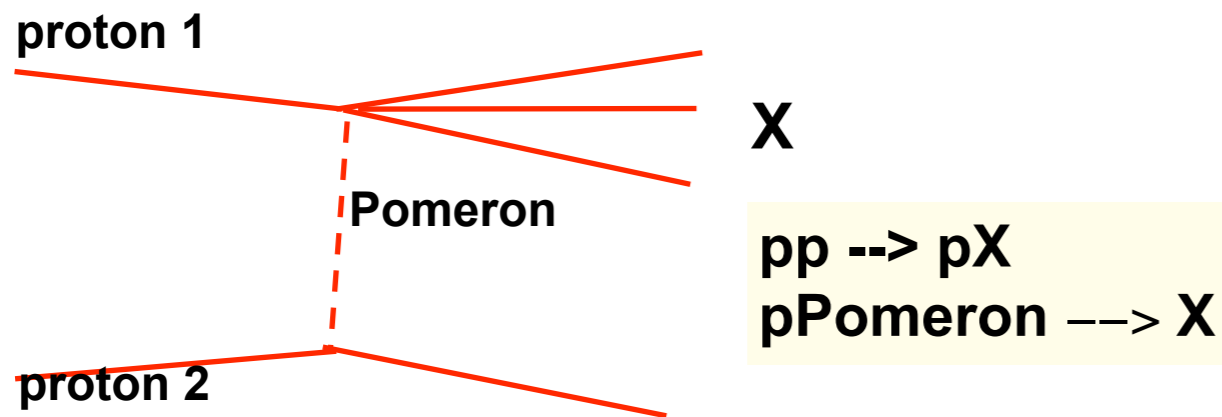
CMS PAS FWD-10-008



[CMS PAS FWD-10-008](#)



# Meaning of $E \pm p_z$



- $\Sigma(E \pm p_z)$  runs over all calo towers
- Measure for the momentum of the Pomeron = momentum loss of the proton

Momentum and energy conservation:

$$E(\text{Pomeron}) + E(\text{proton 1}) = E(X)$$

$$p_z(\text{Pomeron}) + p_z(\text{proton 1}) = p_z(X)$$

Recall: in SD events proton loses almost none of its initial momentum.

If proton 1 moves in positive z direction:  $E(\text{proton 1}) - p_z(\text{proton 1}) \approx 0$  (and proton 2, and Pomeron, move in the negative z direction)

Hence:

$$E(\text{Pomeron}) - p_z(\text{Pomeron}) \approx 2E(\text{Pomeron}) \approx E(X) - p_z(X)$$

$$\text{i.e. } \xi = 2E(\text{Pomeron})/\sqrt{s} \approx (E(X) - p_z(X))/\sqrt{s}$$

Conversely, if proton 1 moves in the negative z direction (and proton 2, and Pomeron, in the positive z direction),  $E(\text{proton 1}) + p_z(\text{proton 1}) \approx 0$ , hence:

$$E(\text{Pomeron}) + p_z(\text{Pomeron}) \approx 2E(\text{Pomeron}) \approx E(X) + p_z(X)$$

$$\text{i.e. } \xi = 2E(\text{Pomeron})/\sqrt{s} \approx (E(X) + p_z(X))/\sqrt{s}$$